Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note**: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2009**

**For calendar year 2009, or tax year beginning** 06/01 , 2009, and ending 05/31, 20 10

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.

Name of foundation
39-407052574375 J.A. & H.G. WOODRUFF, JR. CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address) Room/suite
666 5TH AVENUE - FLOOR 12B

City or town, state, and ZIP code
NEW YORK, NY 10103

A Employer identification number
65-6246750

B Telephone number (see page 10 of the instructions)
( ) -

C If exemption application is pending, check here ▶ [ ]
D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 4,845,734.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ______
*(Part I, column (d) must be on cash basis.)*

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 Check ▶ [X] if the foundation is **not** required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 118,040. | 117,046. | | STMT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 25,831. | | | |
| | b Gross sales price for all assets on line 6a 1,796,706. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 20,582. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10 a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 **Total.** Add lines 1 through 11 | 143,871. | 137,628. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) STMT 2 | 47,181. | 23,591. | | 23,590. |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) STMT 3 | 5,471. | 1,929. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) STMT 4 | 223. | 223. | | |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 52,875. | 25,743. | | 23,590. |
| | 25 Contributions, gifts, grants paid | 208,000. | | | 208,000. |
| | 26 Total expenses and disbursements Add lines 24 and 25 | 260,875. | 25,743. | | 231,590. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | -117,004. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 111,885. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

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## Part II Balance Sheets

| | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 240,101. | 248,099. | 248,099. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) | | | |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) STMT 5 | 4,520,014. | 4,399,704. | 4,597,635. |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 4,760,115. | 4,647,803. | 4,845,734. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒** | | | |
| | 27 | Capital stock, trust principal, or current funds | 4,760,115. | 4,647,803. | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 4,760,115. | 4,647,803. | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 4,760,115. | 4,647,803. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,760,115. |
| 2 | Enter amount from Part I, line 27a | 2 | -117,004. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6 | 3 | 10,743. |
| 4 | Add lines 1, 2, and 3 | 4 | 4,653,854. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7 | 5 | 6,051. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 4,647,803. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV DETAIL | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 20,582. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).<br>If (loss), enter -0- in Part I, line 8 | | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 274,505. | 4,587,388. | 0.05983906310 |
| 2007 | 278,962. | 5,653,301. | 0.04934497562 |
| 2006 | 272,699. | 5,521,548. | 0.04938814260 |
| 2005 | 256,880. | 5,450,421. | 0.04713030425 |
| 2004 | 264,275. | 5,249,191. | 0.05034585329 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.25604833886 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.05120966777 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 4,864,352. |
| 5 | Multiply line 4 by line 3 | 5 | 249,102. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,119. |
| 7 | Add lines 5 and 6 | 7 | 250,221. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 231,590. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____________ (attach copy of ruling letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 2,238. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 2,238. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 2,238. |
| 6 | Credits/Payments: | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 2,800. | | |
| b | Exempt foreign organizations-tax withheld at source — 6b: NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: NONE | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 2,800. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 562. |
| 11 | Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 562. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | Line | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶$ ________ (2) On foundation managers ▶$ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 8 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ CITIBANK, N.A. Telephone no ▶ (800)770-8444

Located at ▶ 666 FIFTH AVE, FLOOR 12B, NEW YORK, NY ZIP + 4 ▶ 10103

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | Line | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.) | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955) or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
*If "Yes," attach the statement required by Regulations section 53 4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X
*If "Yes" to 6b, file Form 8870*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 9 | | -0- | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 10 | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| SEE STATEMENT 11 | | NONE |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ NONE

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions | |
| 3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 4,786,207. |
| b | Average of monthly cash balances | 1b | 152,221. |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 4,938,428. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 4,938,428. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 74,076. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 4,864,352. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 243,218. |

## Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 243,218. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 2,238. | | |
| b | Income tax for 2009. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 2,238. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 240,980. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 240,980. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 240,980. |

## Part XII Qualifying Distributions (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 231,590. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 231,590. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 231,590. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 240,980. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 205,359. | |
| b Total for prior years. 20____,20____,20____ | | NONE | | |
| 3 Excess distributions carryover, if any, to 2009 | | | | |
| a From 2004 . . . . . . NONE | | | | |
| b From 2005 . . . . . . NONE | | | | |
| c From 2006 . . . . . . NONE | | | | |
| d From 2007 . . . . . . NONE | | | | |
| e From 2008 . . . . . . NONE | | | | |
| f Total of lines 3a through e | NONE | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 231,590. | | | | |
| a Applied to 2008, but not more than line 2a | | | 205,359. | |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) | NONE | | | |
| d Applied to 2009 distributable amount | | | | 26,231. |
| e Remaining amount distributed out of corpus | NONE | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).) | NONE | | | NONE |
| **6 Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | NONE | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions | | NONE | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions | | | NONE | |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | | | | 214,749. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | NONE | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | NONE | | | |
| **9 Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | NONE | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 . . . NONE | | | | |
| b Excess from 2006 . . . NONE | | | | |
| c Excess from 2007 . . . NONE | | | | |
| d Excess from 2008 . . . NONE | | | | |
| e Excess from 2009 . . . NONE | | | | |

## Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year (a) 2009 | Prior 3 years (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
|---|---|---|---|---|---|
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE STATEMENT 12 | | | | |
| **Total** . . . . . . . . . . . . . . . . ▶ **3a** | | | | 208,000. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 118,040. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 25,831. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 143,871. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 143,871.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 10/21/10 — Title Trustee

**Paid Preparer's Use Only**

| Preparer's signature | Date 10/08/2010 | Check if self-employed ☐ | Preparer's identifying number (See Signature on page 30 of the instructions) P00353001 |
|---|---|---|---|
| Firm's name (or yours if self-employed), address, and ZIP code | KPMG LLP<br>100 WESTMINSTER ST, 6TH FL, SUITE A<br>PROVIDENCE, RI 02903-9605 | | EIN ▶ 13-5565207<br>Phone no 800-770-8444 |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 506. | |
| | | 303. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 06/12/2009 |
| 9,088.00 | | 11,197.00 | | | | | -2,109.00 | |
| | | 57. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 06/15/2009 |
| 1,696.00 | | 2,106.00 | | | | | -410.00 | |
| | | 170. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 06/16/2009 |
| 5,037.00 | | 6,282.00 | | | | | -1,245.00 | |
| | | 127. SK TELECOM CO LTD-ADR<br>PROPERTY TYPE: SECURITIES | | | | | 08/28/2006 | 06/18/2009 |
| 1,949.00 | | 2,785.00 | | | | | -836.00 | |
| | | .6763 SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 06/25/2009 |
| 33.00 | | 35.00 | | | | | -2.00 | |
| | | 99. SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 06/30/2009 |
| 5,109.00 | | 5,156.00 | | | | | -47.00 | |
| | | 483. SK TELECOM CO LTD-ADR<br>PROPERTY TYPE: SECURITIES | | | | | 08/28/2006 | 07/01/2009 |
| 7,505.00 | | 10,591.00 | | | | | -3,086.00 | |
| | | 119. SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 07/01/2009 |
| 6,196.00 | | 6,197.00 | | | | | -1.00 | |
| | | 285. MCKESSON HBOC INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2008 | 07/14/2009 |
| 12,513.00 | | 10,675.00 | | | | | 1,838.00 | |
| | | 180. J. P . MORGAN CHASE & CO F/K/A<br>PROPERTY TYPE: SECURITIES | | | | | 03/14/2007 | 07/20/2009 |
| 6,623.00 | | 8,512.00 | | | | | -1,889.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 330. MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2000 | 07/20/2009 |
| 8,009.00 | | 10,037.00 | | | | | -2,028.00 | |
| | | 88. ABBOTT LABS<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2007 | 07/31/2009 |
| 3,966.00 | | 4,586.00 | | | | | -620.00 | |
| | | 61. ABBOTT LABS<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2007 | 08/03/2009 |
| 2,734.00 | | 3,179.00 | | | | | -445.00 | |
| | | 77. ABBOTT LABS<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2007 | 08/04/2009 |
| 3,412.00 | | 4,012.00 | | | | | -600.00 | |
| | | 260. PENSKE AUTO GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/01/2008 | 08/04/2009 |
| 5,464.00 | | 2,989.00 | | | | | 2,475.00 | |
| | | 156. ABBOTT LABS<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2007 | 08/05/2009 |
| 6,876.00 | | 8,129.00 | | | | | -1,253.00 | |
| | | .5351 MARRIOTT INTERNATIONAL-CL A<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2009 | 08/05/2009 |
| 12.00 | | 9.00 | | | | | 3.00 | |
| | | 62. ABBOTT LABS<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2007 | 08/07/2009 |
| 2,728.00 | | 3,231.00 | | | | | -503.00 | |
| | | 25. GAMCO INVS INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/27/2009 | 08/07/2009 |
| 1,145.00 | | 725.00 | | | | | 420.00 | |
| | | 123. TRANSOCEAN INC COM USD0.01 'REGISTE<br>PROPERTY TYPE: SECURITIES | | | | | 08/24/2006 | 08/11/2009 |
| 9,133.00 | | 13,032.00 | | | | | -3,899.00 | |
| | | 12000. U.S. TREASURY NOTES 4.250% 11/15<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2009 | 08/19/2009 |
| 13,027.00 | | 12,947.00 | | | | | 80.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | Description | P or D | Date acquired | Date sold | Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss) |
|---|---|---|---|---|---|---|---|---|---|---|---|
| PROPERTY TYPE: SECURITIES | 178000. U.S. TREASURY NOTES 4.250% 11/1 | | 04/03/2008 | 08/19/2009 | 193,227.00 | | 191,880.00 | | | | 1,347.00 |
| PROPERTY TYPE: SECURITIES | 260. GENERAL ELEC CO. | | 12/21/2006 | 08/28/2009 | 3,660.00 | | 9,869.00 | | | | -6,209.00 |
| PROPERTY TYPE: SECURITIES | 295. MCDERMOTT INTL INC | | 09/15/2008 | 08/28/2009 | 7,028.00 | | 9,023.00 | | | | -1,995.00 |
| PROPERTY TYPE: SECURITIES | 10. MCDERMOTT INTL INC | | 08/20/2008 | 08/28/2009 | 238.00 | | 340.00 | | | | -102.00 |
| PROPERTY TYPE: SECURITIES | 18. EAST WEST BANCORP INC PAR .001 | | 08/21/2008 | 08/31/2009 | 166.00 | | 216.00 | | | | -50.00 |
| PROPERTY TYPE: SECURITIES | 3. EAST WEST BANCORP INC PAR .001 | | 08/21/2008 | 09/01/2009 | 28.00 | | 36.00 | | | | -8.00 |
| PROPERTY TYPE: SECURITIES | 120. WPP PLC | | 11/03/2008 | 09/01/2009 | 4,941.00 | | 3,688.00 | | | | 1,253.00 |
| PROPERTY TYPE: SECURITIES | 230. WPP PLC | | 08/08/2006 | 09/01/2009 | 9,471.00 | | 13,448.00 | | | | -3,977.00 |
| PROPERTY TYPE: SECURITIES | 36. EAST WEST BANCORP INC PAR .001 | | 08/26/2008 | 09/09/2009 | 331.00 | | 432.00 | | | | -101.00 |
| PROPERTY TYPE: SECURITIES | .5496 MARRIOTT INTERNATIONAL-CL A | | 03/24/2009 | 09/09/2009 | 12.00 | | 9.00 | | | | 3.00 |
| PROPERTY TYPE: SECURITIES | 3. EAST WEST BANCORP INC PAR .001 | | 08/26/2008 | 09/11/2009 | 28.00 | | 36.00 | | | | -8.00 |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 5. GAMCO INVS INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/16/2009 | 09/16/2009 |
| 229.00 | | 145.00 | | | | | 84.00 | |
| | | 80. ANADARKO PETE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 09/17/2009 |
| 5,129.00 | | 3,833.00 | | | | | 1,296.00 | |
| | | 105. EBAY INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/18/2007 | 09/17/2009 |
| 2,552.00 | | 4,005.00 | | | | | -1,453.00 | |
| | | 28. GAMCO INVS INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/22/2009 | 09/17/2009 |
| 1,282.00 | | 810.00 | | | | | 472.00 | |
| | | 130. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 09/17/2009 |
| 3,613.00 | | 4,667.00 | | | | | -1,054.00 | |
| | | 55. NESTLE S A SPONSORED ADR REPSTG REG<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 09/17/2009 |
| 2,330.00 | | 1,720.00 | | | | | 610.00 | |
| | | 45. TARGET CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/07/2008 | 09/17/2009 |
| 2,186.00 | | 2,204.00 | | | | | -18.00 | |
| | | 40. TELEFONICA DE ESPANA SPONSORED<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 09/17/2009 |
| 3,292.00 | | 1,993.00 | | | | | 1,299.00 | |
| | | 135. UNITED OVERSEAS BK LTDADR<br>PROPERTY TYPE: SECURITIES | | | | | 08/29/2006 | 09/17/2009 |
| 3,220.00 | | 2,707.00 | | | | | 513.00 | |
| | | 180. VODAFONE GROUP SPD ADR REP 10 ORD S<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 09/17/2009 |
| 4,157.00 | | 4,604.00 | | | | | -447.00 | |
| | | 80. WAL-MART DE MEXICO SA-SP ADR<br>PROPERTY TYPE: SECURITIES | | | | | 08/08/2006 | 09/17/2009 |
| 2,980.00 | | 2,600.00 | | | | | 380.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 20. GAMCO INVS INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/02/2009 | 09/18/2009 |
| 915.00 | | 578.00 | | | | | 337.00 | |
| | | 109. SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/18/2009 | 09/21/2009 |
| 7,873.00 | | 5,697.00 | | | | | 2,176.00 | |
| | | 290. COMERICA INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/13/2008 | 09/22/2009 |
| 8,638.00 | | 8,871.00 | | | | | -233.00 | |
| | | 12. GAMCO INVS INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2009 | 09/22/2009 |
| 549.00 | | 327.00 | | | | | 222.00 | |
| | | 92. SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/19/2009 | 09/22/2009 |
| 6,654.00 | | 4,696.00 | | | | | 1,958.00 | |
| | | .4442 SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 09/24/2009 |
| 32.00 | | 23.00 | | | | | 9.00 | |
| | | 645. GENERAL ELEC CO.<br>PROPERTY TYPE: SECURITIES | | | | | 12/19/2006 | 10/12/2009 |
| 10,561.00 | | 24,435.00 | | | | | -13,874.00 | |
| | | 85. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/11/2006 | 10/26/2009 |
| 10,440.00 | | 3,103.00 | | | | | 7,337.00 | |
| | | 81000. U S TREAS NTS 3.375% 11/30<br>PROPERTY TYPE: SECURITIES | | | | | 05/29/2009 | 10/26/2009 |
| 85,303.00 | | 85,987.00 | | | | | -684.00 | |
| | | 13. COMERICA INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/13/2008 | 10/28/2009 |
| 370.00 | | 389.00 | | | | | -19.00 | |
| | | 93. COMERICA INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/13/2008 | 10/29/2009 |
| 2,651.00 | | 2,784.00 | | | | | -133.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 70. COMERICA INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/19/2009 | 11/03/2009 |
| 1,915.00 | | 1,502.00 | | | | | 413.00 | |
| | | 19. COMERICA INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/13/2008 | 11/03/2009 |
| 520.00 | | 569.00 | | | | | -49.00 | |
| | | 505. INTEL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 11/03/2009 |
| 9,315.00 | | 9,615.00 | | | | | -300.00 | |
| | | 75. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/01/2006 | 11/05/2009 |
| 8,929.00 | | 2,094.00 | | | | | 6,835.00 | |
| | | 340. CISCO SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/27/2008 | 11/05/2009 |
| 8,112.00 | | 8,617.00 | | | | | -505.00 | |
| | | 50. NOVELLUS SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/04/2008 | 11/18/2009 |
| 1,091.00 | | 1,174.00 | | | | | -83.00 | |
| | | 10. VERIGY LTD<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2009 | 11/18/2009 |
| 94.00 | | 117.00 | | | | | -23.00 | |
| | | 87. NOVELLUS SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/04/2008 | 11/19/2009 |
| 1,820.00 | | 2,013.00 | | | | | -193.00 | |
| | | 151. VERIGY LTD<br>PROPERTY TYPE: SECURITIES | | | | | 06/09/2009 | 11/19/2009 |
| 1,360.00 | | 1,767.00 | | | | | -407.00 | |
| | | 43. NOVELLUS SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 11/20/2009 |
| 899.00 | | 993.00 | | | | | -94.00 | |
| | | 327. VERIGY LTD<br>PROPERTY TYPE: SECURITIES | | | | | 09/25/2009 | 11/20/2009 |
| 3,169.00 | | 3,797.00 | | | | | -628.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 155. TOLL BROS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/20/2009 | 11/25/2009 |
| 3,025.00 | | 2,959.00 | | | | | 66.00 | |
| | | 10. TOLL BROS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/20/2009 | 11/27/2009 |
| 195.00 | | 191.00 | | | | | 4.00 | |
| | | 140. FRANKLIN RES INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/15/2008 | 11/30/2009 |
| 15,027.00 | | 11,922.00 | | | | | 3,105.00 | |
| | | 79. TOLL BROS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/20/2009 | 11/30/2009 |
| 1,540.00 | | 1,508.00 | | | | | 32.00 | |
| | | .187 DIRECTV COM USD0.01 CLASS 'A'<br>PROPERTY TYPE: SECURITIES | | | | | 06/15/2007 | 12/01/2009 |
| 6.00 | | 4.00 | | | | | 2.00 | |
| | | 269. TOLL BROS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/20/2009 | 12/01/2009 |
| 5,259.00 | | 5,136.00 | | | | | 123.00 | |
| | | 14. TOLL BROS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/20/2009 | 12/02/2009 |
| 273.00 | | 267.00 | | | | | 6.00 | |
| | | 131. UNITED PARCEL SERVICE -CL B<br>PROPERTY TYPE: SECURITIES | | | | | 04/09/2008 | 12/03/2009 |
| 7,587.00 | | 9,206.00 | | | | | -1,619.00 | |
| | | 9. PACCAR INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/06/2009 | 12/04/2009 |
| 331.00 | | 287.00 | | | | | 44.00 | |
| | | 65. PACCAR INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/15/2008 | 12/04/2009 |
| 2,393.00 | | 2,782.00 | | | | | -389.00 | |
| | | 96. UNITED PARCEL SERVICE -CL B<br>PROPERTY TYPE: SECURITIES | | | | | 07/24/2008 | 12/04/2009 |
| 5,576.00 | | 6,425.00 | | | | | -849.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 205000. U S TREASURY NOTES 4.500% 2/2 | | | | | 09/21/2009 | 12/04/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 215,186.00 | | 216,704.00 | | | | | -1,518.00 | |
| | | 213. UNITED PARCEL SERVICE -CL B | | | | | 10/24/2008 | 12/08/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,347.00 | | 12,448.00 | | | | | -101.00 | |
| | | .4945 MARRIOTT INTERNATIONAL-CL A | | | | | 03/24/2009 | 12/09/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 13.00 | | 9.00 | | | | | 4.00 | |
| | | 112. PACCAR INC | | | | | 02/06/2009 | 12/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,122.00 | | 3,509.00 | | | | | 613.00 | |
| | | 1. ING GROEP N.V. 12/11/09 | | | | | 11/28/2009 | 12/22/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2.00 | | | | | | | 2.00 | |
| | | 14. PACCAR INC | | | | | 02/06/2009 | 12/22/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 516.00 | | 433.00 | | | | | 83.00 | |
| | | 45. PACCAR INC | | | | | 10/24/2008 | 12/22/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,658.00 | | 1,034.00 | | | | | 624.00 | |
| | | 2. TOLL BROS INC | | | | | 04/20/2009 | 12/23/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 39.00 | | 38.00 | | | | | 1.00 | |
| | | 64. NOVELLUS SYS INC | | | | | 02/28/2008 | 12/28/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,508.00 | | 1,478.00 | | | | | 30.00 | |
| | | 20. NOVELLUS SYS INC | | | | | 02/28/2008 | 12/29/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 469.00 | | 462.00 | | | | | 7.00 | |
| | | 448. NOVELLUS SYS INC | | | | | 04/08/2008 | 12/30/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 10,506.00 | | 10,261.00 | | | | | 245.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 225. XTO ENERGY INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/12/2009 | 12/30/2009 |
| 10,575.00 | | 9,259.00 | | | | | 1,316.00 | |
| | | 28. NOVELLUS SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2008 | 12/31/2009 |
| 658.00 | | 618.00 | | | | | 40.00 | |
| | | 305. XTO ENERGY INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/11/2009 | 12/31/2009 |
| 14,285.00 | | 12,357.00 | | | | | 1,928.00 | |
| | | 11. TOLL BROS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/20/2009 | 01/07/2010 |
| 216.00 | | 210.00 | | | | | 6.00 | |
| | | 77000. U.S. TREAS NTS 4.625% 12/31<br>PROPERTY TYPE: SECURITIES | | | | | 12/04/2009 | 01/07/2010 |
| 82,450.00 | | 82,977.00 | | | | | -527.00 | |
| | | 40. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/01/2006 | 01/13/2010 |
| 5,111.00 | | 1,042.00 | | | | | 4,069.00 | |
| | | 183. WILLIAMS SONOMA INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/23/2008 | 01/14/2010 |
| 3,991.00 | | 4,113.00 | | | | | -122.00 | |
| | | 600. INTEL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 07/11/2006 | 01/15/2010 |
| 12,595.00 | | 11,422.00 | | | | | 1,173.00 | |
| | | 97. WILLIAMS SONOMA INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/24/2008 | 01/15/2010 |
| 2,021.00 | | 2,109.00 | | | | | -88.00 | |
| | | 255. BERKSHIRE HATHAWAY INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2009 | 01/27/2010 |
| 18,041.00 | | 11,770.00 | | | | | 6,271.00 | |
| | | 72000. FED NATL MTG ASSN 6.000% 5/15<br>PROPERTY TYPE: SECURITIES | | | | | 12/15/2009 | 02/01/2010 |
| 76,995.00 | | 78,685.00 | | | | | -1,690.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 90000. FED NATL MTG ASSN 6.000% 5/15<br>PROPERTY TYPE: SECURITIES | | | | | 07/17/2008 | 02/01/2010 |
| 96,244.00 | | 95,515.00 | | | | | 729.00 | |
| | | .3437 TETON ADVISORS INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/08/2010 | 02/08/2010 |
| 4.00 | | 4.00 | | | | | | |
| | | 50. VARIAN SEMICONDUCTOR EQUIPTMENT<br>PROPERTY TYPE: SECURITIES | | | | | 01/05/2009 | 02/16/2010 |
| 1,558.00 | | 900.00 | | | | | 658.00 | |
| | | 1. VARIAN SEMICONDUCTOR EQUIPTMENT<br>PROPERTY TYPE: SECURITIES | | | | | 01/05/2009 | 02/17/2010 |
| 31.00 | | 18.00 | | | | | 13.00 | |
| | | 31. VARIAN SEMICONDUCTOR EQUIPTMENT<br>PROPERTY TYPE: SECURITIES | | | | | 01/05/2009 | 02/18/2010 |
| 966.00 | | 558.00 | | | | | 408.00 | |
| | | 18. VARIAN SEMICONDUCTOR EQUIPTMENT<br>PROPERTY TYPE: SECURITIES | | | | | 01/05/2009 | 02/19/2010 |
| 561.00 | | 324.00 | | | | | 237.00 | |
| | | 126000. U.S. TREAS NTS 4.625% 12/3<br>PROPERTY TYPE: SECURITIES | | | | | 12/15/2009 | 03/25/2010 |
| 134,141.00 | | 135,784.00 | | | | | -1,643.00 | |
| | | 1. TETON ADVISORS INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/08/2010 | 04/06/2010 |
| 11.00 | | 11.00 | | | | | | |
| | | 50. CREE INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 04/14/2010 |
| 4,105.00 | | 1,195.00 | | | | | 2,910.00 | |
| | | 155. EMERSON ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 04/16/2010 |
| 8,062.00 | | 6,498.00 | | | | | 1,564.00 | |
| | | 520. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 04/16/2010 |
| 18,200.00 | | 18,668.00 | | | | | -468.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 55. AUTODESK INC | | | | | 06/30/2006 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,863.00 | | 1,903.00 | | | | | -40.00 | |
| | | 50. BED BATH & BEYOND INC COM | | | | | 08/09/2006 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,388.00 | | 1,738.00 | | | | | 650.00 | |
| | | 50. CABLEVISION SYS CORP | | | | | 08/10/2006 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,308.00 | | 916.00 | | | | | 392.00 | |
| | | 35. CREE INC | | | | | 06/30/2006 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,793.00 | | 837.00 | | | | | 1,956.00 | |
| | | 125. DIRECTV COM USD0.01 CLASS 'A' | | | | | 06/15/2007 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,559.00 | | 2,833.00 | | | | | 1,726.00 | |
| | | 50. JONES LANG LASALLE INC PAR .01 | | | | | 06/11/2009 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,076.00 | | 1,756.00 | | | | | 2,320.00 | |
| | | 200. LIBERTY MEDIA HLDG CORP | | | | | 06/15/2007 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,288.00 | | 4,995.00 | | | | | -1,707.00 | |
| | | 75. LIBERTY MEDIA HLDG CORP | | | | | 06/15/2007 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,322.00 | | 1,335.00 | | | | | 1,987.00 | |
| | | 60. SANDISK CORP | | | | | 06/30/2006 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,661.00 | | 3,069.00 | | | | | -408.00 | |
| | | 73. TEVA PHARMACEUTICAL INDS ADR | | | | | 08/04/2005 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,502.00 | | 2,398.00 | | | | | 2,104.00 | |
| | | 120. TEXAS INSTRS INC | | | | | 10/31/2006 | 04/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,189.00 | | 3,628.00 | | | | | -439.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 70. WEYERHAEUSER CO<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2008 | 04/23/2010 |
| 3,699.00 | | 4,551.00 | | | | | -852.00 | |
| | | 50. TYCO INTERNATIONAL COM USD0.80<br>PROPERTY TYPE: SECURITIES | | | | | 07/23/2007 | 04/23/2010 |
| 2,022.00 | | 2,472.00 | | | | | -450.00 | |
| | | 45. ASML HOLDING NV-UY REG SHS<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 04/23/2010 |
| 1,604.00 | | 953.00 | | | | | 651.00 | |
| | | 1400. NATIONAL BANK OF GREECE ADR<br>PROPERTY TYPE: SECURITIES | | | | | 04/01/2009 | 05/04/2010 |
| 3,953.00 | | 7,211.00 | | | | | -3,258.00 | |
| | | 26. ABBOTT LABS<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2007 | 05/05/2010 |
| 1,297.00 | | 1,355.00 | | | | | -58.00 | |
| | | 45. AKAMAI TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/18/2009 | 05/05/2010 |
| 1,725.00 | | 803.00 | | | | | 922.00 | |
| | | 85. APPLIED MATERIALS INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 05/05/2010 |
| 1,133.00 | | 1,684.00 | | | | | -551.00 | |
| | | 60. AUTODESK INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 1,954.00 | | 2,076.00 | | | | | -122.00 | |
| | | 30. BASF AKTIENGESELLSCHAFT-LEVEL 1<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 1,640.00 | | 1,207.00 | | | | | 433.00 | |
| | | 80. BAKER HUGHES INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 3,782.00 | | 6,556.00 | | | | | -2,774.00 | |
| | | 105. BANK OF AMERICA CORP<br>PROPERTY TYPE: SECURITIES | | | | | 10/17/2008 | 05/05/2010 |
| 1,852.00 | | 2,500.00 | | | | | -648.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50. BED BATH & BEYOND INC COM | | | | | 08/09/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,275.00 | | 1,724.00 | | | | | 551.00 | |
| | | 100. BIOGEN IDEC INC | | | | | 06/30/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 5,227.00 | | 4,688.00 | | | | | 539.00 | |
| | | 125. BROADCOM CORP-CL A | | | | | 11/09/2007 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,240.00 | | 3,731.00 | | | | | 509.00 | |
| | | 35. CVS CORPORATION (DEL) | | | | | 11/06/2008 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,276.00 | | 1,049.00 | | | | | 227.00 | |
| | | 100. CABLEVISION SYS CORP | | | | | 08/10/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,696.00 | | 1,833.00 | | | | | 863.00 | |
| | | 30. CARNIVAL CORP PAIRED CTF 1 COM CARNI | | | | | 04/20/2009 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,195.00 | | 766.00 | | | | | 429.00 | |
| | | 180. CHARMING SHOPPES INC | | | | | 06/30/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,098.00 | | 2,028.00 | | | | | -930.00 | |
| | | 20. CHUBB CORP. | | | | | 03/12/2008 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,041.00 | | 1,004.00 | | | | | 37.00 | |
| | | 90. CISCO SYS INC | | | | | 05/27/2008 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,399.00 | | 2,281.00 | | | | | 118.00 | |
| | | 25. CITRIX SYS INC | | | | | 09/12/2008 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,169.00 | | 725.00 | | | | | 444.00 | |
| | | 40. COCA COLA COMPANY | | | | | 08/28/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,141.00 | | 1,761.00 | | | | | 380.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 105. COMCAST CORP NEW CL A SPL<br>PROPERTY TYPE: SECURITIES | | | | | 08/14/2007 | 05/05/2010 |
| 1,968.00 | | 2,652.00 | | | | | -684.00 | |
| | | 55. CREE INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 3,952.00 | | 1,315.00 | | | | | 2,637.00 | |
| | | 175. DIRECTV COM USD0.01 CLASS 'A'<br>PROPERTY TYPE: SECURITIES | | | | | 06/15/2007 | 05/05/2010 |
| 6,171.00 | | 3,917.00 | | | | | 2,254.00 | |
| | | 35. DOLBY LABORATORIES INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/31/2008 | 05/05/2010 |
| 2,311.00 | | 1,099.00 | | | | | 1,212.00 | |
| | | 2000. FEDERAL HOME LN MTG CORP DTD 01/<br>PROPERTY TYPE: SECURITIES | | | | | 05/12/2009 | 05/05/2010 |
| 2,163.00 | | 2,219.00 | | | | | -56.00 | |
| | | 3000. FEDERAL HOME LN MTG 4.125% 9/27/<br>PROPERTY TYPE: SECURITIES | | | | | 04/15/2009 | 05/05/2010 |
| 3,228.00 | | 3,246.00 | | | | | -18.00 | |
| | | 6000. FEDL NATL MTG ASSN 2.125% 1/25/<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2010 | 05/05/2010 |
| 6,000.00 | | 6,038.00 | | | | | -38.00 | |
| | | 3000. FEDL NATL MTG ASSN 2.750% 2/05/<br>PROPERTY TYPE: SECURITIES | | | | | 04/15/2009 | 05/05/2010 |
| 3,081.00 | | 3,062.00 | | | | | 19.00 | |
| | | 20. FLUOR CORP NEW<br>PROPERTY TYPE: SECURITIES | | | | | 01/07/2009 | 05/05/2010 |
| 1,014.00 | | 962.00 | | | | | 52.00 | |
| | | 45. GAP INC. DEL<br>PROPERTY TYPE: SECURITIES | | | | | 07/17/2008 | 05/05/2010 |
| 1,124.00 | | 733.00 | | | | | 391.00 | |
| | | 30. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 1,068.00 | | 1,077.00 | | | | | -9.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 60. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES | | | | | 07/17/2008 | 05/05/2010 |
| 2,765.00 | | 3,050.00 | | | | | -285.00 | |
| | | 532. HONG KONG & CHINA GAS-SP ADR PROPERTY TYPE: SECURITIES | | | | | 08/08/2006 | 05/05/2010 |
| 1,250.00 | | 980.00 | | | | | 270.00 | |
| | | 35. JONES LANG LASALLE INC PAR .01 PROPERTY TYPE: SECURITIES | | | | | 06/11/2009 | 05/05/2010 |
| 2,799.00 | | 1,229.00 | | | | | 1,570.00 | |
| | | 130. JUNIPER NETWORK INC PROPERTY TYPE: SECURITIES | | | | | 10/22/2008 | 05/05/2010 |
| 3,694.00 | | 2,407.00 | | | | | 1,287.00 | |
| | | 195. KEYCORP (NEW) F/K/A SOCIETY CORP PROPERTY TYPE: SECURITIES | | | | | 06/11/2009 | 05/05/2010 |
| 1,687.00 | | 1,169.00 | | | | | 518.00 | |
| | | 60. PHILIPS ELECTRONICS-NY SHR PROPERTY TYPE: SECURITIES | | | | | 08/29/2006 | 05/05/2010 |
| 1,871.00 | | 2,050.00 | | | | | -179.00 | |
| | | 40. LIBERTY GLOBAL INC COM SER A PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 1,074.00 | | 853.00 | | | | | 221.00 | |
| | | 460. LIBERTY MEDIA HLDG CORP PROPERTY TYPE: SECURITIES | | | | | 06/15/2007 | 05/05/2010 |
| 7,026.00 | | 11,488.00 | | | | | -4,462.00 | |
| | | 505. LIBERTY MEDIA HLDG CORP PROPERTY TYPE: SECURITIES | | | | | 06/27/2008 | 05/05/2010 |
| 22,473.00 | | 7,785.00 | | | | | 14,688.00 | |
| | | 50. MERCK & CO INC NEW PROPERTY TYPE: SECURITIES | | | | | 03/17/2008 | 05/05/2010 |
| 1,770.00 | | 2,095.00 | | | | | -325.00 | |
| | | 20. METTLER-TOLEDO INTL INC PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 2,423.00 | | 1,218.00 | | | | | 1,205.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50. MICROSOFT CORP<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2000 | 05/05/2010 |
| 1,498.00 | | 1,521.00 | | | | | -23.00 | |
| | | 30. NATIONAL-OILWELL INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 1,298.00 | | 2,244.00 | | | | | -946.00 | |
| | | 175. NEWS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 2,930.00 | | 3,532.00 | | | | | -602.00 | |
| | | 25. NOVARTIS AG-ADR<br>PROPERTY TYPE: SECURITIES | | | | | 08/28/2006 | 05/05/2010 |
| 1,226.00 | | 1,424.00 | | | | | -198.00 | |
| | | 200. NVIDIA CORP 00<br>PROPERTY TYPE: SECURITIES | | | | | 06/02/2008 | 05/05/2010 |
| 2,942.00 | | 4,918.00 | | | | | -1,976.00 | |
| | | 25. PALL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/05/2010 |
| 957.00 | | 700.00 | | | | | 257.00 | |
| | | 15. PARKER HANNIFIN CORP<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2009 | 05/05/2010 |
| 1,009.00 | | 638.00 | | | | | 371.00 | |
| | | 10. PEPSICO INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/14/2006 | 05/05/2010 |
| 653.00 | | 619.00 | | | | | 34.00 | |
| | | 15. PROCTOR & GAMBLE CO<br>PROPERTY TYPE: SECURITIES | | | | | 11/14/2006 | 05/05/2010 |
| 933.00 | | 951.00 | | | | | -18.00 | |
| | | 35. SAFEWAY INC NEW<br>PROPERTY TYPE: SECURITIES | | | | | 06/15/2009 | 05/05/2010 |
| 849.00 | | 718.00 | | | | | 131.00 | |
| | | 170. SANDISK CORP<br>PROPERTY TYPE: SECURITIES | | | | | 11/14/2006 | 05/05/2010 |
| 7,108.00 | | 8,651.00 | | | | | -1,543.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 15. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES | | | | | 10/17/2008 | 05/05/2010 |
| 1,012.00 | | 768.00 | | | | | 244.00 | |
| | | 40. SCHWAB CHARLES CORP NEW<br>PROPERTY TYPE: SECURITIES | | | | | 01/29/2009 | 05/05/2010 |
| 756.00 | | 559.00 | | | | | 197.00 | |
| | | 20. SHIRE PHARMACEUTICALS GR-ADR<br>PROPERTY TYPE: SECURITIES | | | | | 03/06/2008 | 05/05/2010 |
| 1,300.00 | | 1,202.00 | | | | | 98.00 | |
| | | 25. SMITH & NEPHEW P L C SPD ADR NEW<br>PROPERTY TYPE: SECURITIES | | | | | 05/18/2005 | 05/05/2010 |
| 1,248.00 | | 1,718.00 | | | | | -470.00 | |
| | | 50. TARGET CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/07/2008 | 05/05/2010 |
| 2,822.00 | | 2,449.00 | | | | | 373.00 | |
| | | 60. TEVA PHARMACEUTICAL INDS ADR<br>PROPERTY TYPE: SECURITIES | | | | | 08/04/2005 | 05/05/2010 |
| 3,576.00 | | 2,263.00 | | | | | 1,313.00 | |
| | | 150. TEXAS INSTRS INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/31/2006 | 05/05/2010 |
| 3,890.00 | | 4,535.00 | | | | | -645.00 | |
| | | 60. UNILEVER PLC SPNSRD ADR NEW<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2008 | 05/05/2010 |
| 1,739.00 | | 1,920.00 | | | | | -181.00 | |
| | | 80. UNITED OVERSEAS BK LTDADR<br>PROPERTY TYPE: SECURITIES | | | | | 08/29/2006 | 05/05/2010 |
| 2,224.00 | | 1,604.00 | | | | | 620.00 | |
| | | 5000. U.S. TREASURY NOTES 4.750% 5/15/<br>PROPERTY TYPE: SECURITIES | | | | | 03/25/2010 | 05/05/2010 |
| 5,563.00 | | 5,513.00 | | | | | 50.00 | |
| | | 10000. U S TREASURY NOTES 4.875% 8/15<br>PROPERTY TYPE: SECURITIES | | | | | 12/15/2009 | 05/05/2010 |
| 11,242.00 | | 11,418.00 | | | | | -176.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 4000. U S TREAS NTS 3.375% 11/30/ | | | | | 05/29/2009 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,226.00 | | 4,246.00 | | | | | -20.00 | |
| | | 14000. U S TREASURY NTS 3.750% 11/15 | | | | | 01/30/2009 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 14,412.00 | | 15,082.00 | | | | | -670.00 | |
| | | 100. UNITEDHEALTH GROUP INC | | | | | 10/31/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,972.00 | | 4,867.00 | | | | | -1,895.00 | |
| | | 35. WAL-MART DE MEXICO SA-SP ADR | | | | | 08/08/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 798.00 | | 569.00 | | | | | 229.00 | |
| | | 40. WEYERHAEUSER CO | | | | | 04/29/2008 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,936.00 | | 2,601.00 | | | | | -665.00 | |
| | | 47. COVIDIEN PLC USD0.20 | | | | | 06/30/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,176.00 | | 1,643.00 | | | | | 533.00 | |
| | | 175. SEAGATE TECHNOLOGY | | | | | 03/01/2007 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,316.00 | | 4,444.00 | | | | | -1,128.00 | |
| | | 55. WEATHERFORD INT LTD COM USD1.00 | | | | | 06/30/2006 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 929.00 | | 1,369.00 | | | | | -440.00 | |
| | | 100. TYCO INTERNATIONAL COM USD0.80 | | | | | 07/23/2007 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,874.00 | | 4,543.00 | | | | | -669.00 | |
| | | 70. TYCO ELECTRONICS LTD COM CHF2.60 | | | | | 07/18/2007 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,146.00 | | 2,648.00 | | | | | -502.00 | |
| | | 125. ASML HOLDING NV-UY REG SHS | | | | | 09/19/2008 | 05/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,959.00 | | 2,648.00 | | | | | 1,311.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 301. NIPPON TELEGRAPH & TELEPHONE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/11/2010 |
| 6,097.00 | | 7,390.00 | | | | | -1,293.00 | |
| | | 150. AUTODESK INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/12/2010 |
| 4,890.00 | | 5,191.00 | | | | | -301.00 | |
| | | 35. CREE INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/12/2010 |
| 2,710.00 | | 837.00 | | | | | 1,873.00 | |
| | | 75. DIRECTV COM USD0.01 CLASS 'A'<br>PROPERTY TYPE: SECURITIES | | | | | 04/24/2007 | 05/12/2010 |
| 2,865.00 | | 1,663.00 | | | | | 1,202.00 | |
| | | 100. LIBERTY MEDIA HLDG CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/06/2007 | 05/12/2010 |
| 1,408.00 | | 1,930.00 | | | | | -522.00 | |
| | | 60. LIBERTY MEDIA HLDG CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/27/2008 | 05/12/2010 |
| 2,529.00 | | 855.00 | | | | | 1,674.00 | |
| | | 319. NIPPON TELEGRAPH & TELEPHONE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 05/12/2010 |
| 6,503.00 | | 7,073.00 | | | | | -570.00 | |
| | | 75. SANDISK CORP<br>PROPERTY TYPE: SECURITIES | | | | | 11/14/2006 | 05/12/2010 |
| 3,289.00 | | 3,501.00 | | | | | -212.00 | |
| | | 75. TYCO INTERNATIONAL COM USD0.80<br>PROPERTY TYPE: SECURITIES | | | | | 06/30/2006 | 05/12/2010 |
| 2,989.00 | | 3,223.00 | | | | | -234.00 | |
| | | 167000. U S TREAS NTS 3.375% 11/3<br>PROPERTY TYPE: SECURITIES | | | | | 03/29/2010 | 05/20/2010 |
| 177,072.00 | | 176,980.00 | | | | | 92.00 | |
| | | 115. BERKSHIRE HATHAWAY INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2009 | 05/21/2010 |
| 8,308.00 | | 5,308.00 | | | | | 3,000.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 60. PEPSICO INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/14/2006 | 05/21/2010 |
| 3,778.00 | | 3,713.00 | | | | | 65.00 | |
| TOTAL GAIN(LOSS) | | | | | | | 20,582. | |

JSA
9E1730 1 000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| DIVIDENDS & INTEREST | | 118,040. | 117,046. |
| | TOTAL | 118,040. | 117,046. |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| CITI TRUST IM FEES | 47,181. | 23,591. | 23,590. |
| TOTALS | 47,181. | 23,591. | 23,590. |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FED EXCISE BAL DUE | 3,542. | |
| FOREIGN TAXES | 1,929. | 1,929. |
| TOTALS | 5,471. | 1,929. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| ADR FOREIGN FEES | 223. | 223. |
| TOTALS | 223. | 223. |

## FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|---|
| SEE ATTTACHED STATEMENTS | C | 4,520,014. | 4,399,704. | 4,597,635. |
| TOTALS | | 4,520,014. | 4,399,704. | 4,597,635. |

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| ACCRUED MARKET DISCOUNT | 36. |
| CARRYING VALUE ADJ/ING GROEP N.V. | 5,790. |
| CARRYING VALUE ADJ/US TEASURY NTS | 6. |
| TAX COST ADJ/TETON ADVISORS INC | 14. |
| TAX COST ADJTEVA PHARMECEUTICAL INDS | 4,661. |
| TAX COST ADJ/SIMON PPTY GROUP | 192. |
| FOREIGN TAX ADJ/GROUP DANONE SP | 44. |
| TOTAL | 10,743. |

## FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| CARRYING VALUE ADJ/HSBC HOLDINGS PLC | 1,088. |
| TAX COST ADJ/ING GROEP N.V. | 4,963. |
| TOTAL | 6,051. |

| STATE(S) WHERE THE FOUNDATION IS REGISTERED |
| --- |
| FL |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
JAMES WOODRUFF III
ADDRESS:
711 SHORE ROAD
VERO BEACH, FL 32963
TITLE:
TRUSTEE, AS REQ'D

OFFICER NAME:
THOMAS WOODRUFF
ADDRESS:
620 SOUTHARD STREET
KEY WEST, FL 33040
TITLE:
TRUSTEE, AS REQ'D

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES
==================================================================

EMPLOYEE NAME:
NONE

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
======================================================================

NAME:
NONE

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SEE ATTACHED STATEMENTS
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL CHARITABLE PURPOSES
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID ........................................... 208,000.

TOTAL GRANTS PAID: 208,000.

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust: 39-407052574375 J.A. & H.G. WOODRUFF, JR.

Employer identification number: 65-6246750

Note: *Form 5227 filers need to complete only Parts I and II.*

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr.) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|---|---|---|
| b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | 18,761. |
| 2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | |
| 3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | |
| 4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet | 4 | ( ) |
| 5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶ | 5 | 18,761. |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a LONG-TERM CAPITAL GAIN DIVIDENDS | | STMT 1 | | | 506. |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|---|---|---|
| b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | 1,315. |
| 7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | |
| 8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | |
| 9 Capital gain distributions | 9 | |
| 10 Gain from Form 4797, Part I | 10 | |
| 11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet | 11 | ( ) |
| 12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶ | 12 | 1,821. |

**Part III** **Summary of Parts I and II**
**Caution:** *Read the instructions **before** completing this part.*

| | | | (1) Beneficiaries' (see page 5) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 13 | **Net short-term gain or (loss)** | 13 | | | 18,761. |
| 14 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 14a | | | 1,821. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 14b | | | |
| c | 28% rate gain | 14c | | | |
| 15 | **Total net gain or (loss).** Combine lines 13 and 14a ▶ | 15 | | | 20,582. |

**Note:** *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.*

**Part IV** **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

**Note:** *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.*

**Part V** **Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

**Caution:** *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 17 | | | |
| 18 | Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 | | | | | |
| 19 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | | | | | |
| 20 | Add lines 18 and 19 | 20 | | | | | |
| 21 | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ | 21 | | | | | |
| 22 | Subtract line 21 from line 20. If zero or less, enter -0- | | | 22 | | | |
| 23 | Subtract line 22 from line 17. If zero or less, enter -0- | | | 23 | | | |
| 24 | Enter the **smaller** of the amount on line 17 or $2,300 | | | 24 | | | |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24?<br>☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box<br>☐ **No.** Enter the amount from line 23 | | | 25 | | | |
| 26 | Subtract line 25 from line 24 | | | 26 | | | |
| 27 | Are the amounts on lines 22 and 26 the same?<br>☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 | | | 27 | | | |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-) | | | 28 | | | |
| 29 | Subtract line 28 from line 27 | | | 29 | | | |
| 30 | Multiply line 29 by 15% (.15) | | | | | 30 | |
| 31 | Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | | 31 | |
| 32 | Add lines 30 and 31 | | | | | 32 | |
| 33 | Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | | 33 | |
| 34 | **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | | | | | 34 | |

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

OMB No 1545-0092

2009

Name of estate or trust: 39-407052574375 J.A. & H.G. WOODRUFF, JR.

Employer identification number: 65-6246750

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a .6763 SIMON PPTY GROUP INC NEW COM | 05/07/2009 | 06/25/2009 | 33.00 | 35.00 | -2.00 |
| 99. SIMON PPTY GROUP INC NEW COM | 05/07/2009 | 06/30/2009 | 5,109.00 | 5,156.00 | -47.00 |
| 119. SIMON PPTY GROUP INC NEW COM | 05/07/2009 | 07/01/2009 | 6,196.00 | 6,197.00 | -1.00 |
| 285. MCKESSON HBOC INC | 11/04/2008 | 07/14/2009 | 12,513.00 | 10,675.00 | 1,838.00 |
| 260. PENSKE AUTO GROUP INC | 10/01/2008 | 08/04/2009 | 5,464.00 | 2,989.00 | 2,475.00 |
| .5351 MARRIOTT INTERNATIONAL-CL A | 03/24/2009 | 08/05/2009 | 12.00 | 9.00 | 3.00 |
| 25. GAMCO INVS INC | 02/27/2009 | 08/07/2009 | 1,145.00 | 725.00 | 420.00 |
| 12000. U.S. TREASURY NOTES 4.250% 11/15/13 | 08/04/2009 | 08/19/2009 | 13,027.00 | 12,947.00 | 80.00 |
| 295. MCDERMOTT INTL INC | 09/15/2008 | 08/28/2009 | 7,028.00 | 9,023.00 | -1,995.00 |
| 120. WPP PLC | 11/03/2008 | 09/01/2009 | 4,941.00 | 3,688.00 | 1,253.00 |
| .5496 MARRIOTT INTERNATIONAL-CL A | 03/24/2009 | 09/09/2009 | 12.00 | 9.00 | 3.00 |
| 5. GAMCO INVS INC | 01/16/2009 | 09/16/2009 | 229.00 | 145.00 | 84.00 |
| 28. GAMCO INVS INC | 01/22/2009 | 09/17/2009 | 1,282.00 | 810.00 | 472.00 |
| 20. GAMCO INVS INC | 03/02/2009 | 09/18/2009 | 915.00 | 578.00 | 337.00 |
| 109. SIMON PPTY GROUP INC NEW COM | 09/18/2009 | 09/21/2009 | 7,873.00 | 5,697.00 | 2,176.00 |
| 12. GAMCO INVS INC | 03/05/2009 | 09/22/2009 | 549.00 | 327.00 | 222.00 |
| 92. SIMON PPTY GROUP INC NEW COM | 06/19/2009 | 09/22/2009 | 6,654.00 | 4,696.00 | 1,958.00 |
| .4442 SIMON PPTY GROUP INC NEW COM | 05/07/2009 | 09/24/2009 | 32.00 | 23.00 | 9.00 |
| 81000. U S TREAS NTS 3.375% 11/30/12 | 05/29/2009 | 10/26/2009 | 85,303.00 | 85,987.00 | -684.00 |
| 70. COMERICA INC | 05/19/2009 | 11/03/2009 | 1,915.00 | 1,502.00 | 413.00 |
| 10. VERIGY LTD | 06/09/2009 | 11/18/2009 | 94.00 | 117.00 | -23.00 |
| 151. VERIGY LTD | 06/09/2009 | 11/19/2009 | 1,360.00 | 1,767.00 | -407.00 |
| 327. VERIGY LTD | 09/25/2009 | 11/20/2009 | 3,169.00 | 3,797.00 | -628.00 |
| 155. TOLL BROS INC | 04/20/2009 | 11/25/2009 | 3,025.00 | 2,959.00 | 66.00 |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-407052574375 J.A. & H.G. WOODRUFF, JR.

Employer identification number: 65-6246750

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 10. TOLL BROS INC | 04/20/2009 | 11/27/2009 | 195.00 | 191.00 | 4.00 |
| 79. TOLL BROS INC | 04/20/2009 | 11/30/2009 | 1,540.00 | 1,508.00 | 32.00 |
| 269. TOLL BROS INC | 04/20/2009 | 12/01/2009 | 5,259.00 | 5,136.00 | 123.00 |
| 14. TOLL BROS INC | 04/20/2009 | 12/02/2009 | 273.00 | 267.00 | 6.00 |
| 9. PACCAR INC | 01/06/2009 | 12/04/2009 | 331.00 | 287.00 | 44.00 |
| 205000. U S TREASURY NOTES 4.500% 2/28/11 | 09/21/2009 | 12/04/2009 | 215,186.00 | 216,704.00 | -1,518.00 |
| .4945 MARRIOTT INTERNATIONAL-CL A | 03/24/2009 | 12/09/2009 | 13.00 | 9.00 | 4.00 |
| 112. PACCAR INC | 02/06/2009 | 12/10/2009 | 4,122.00 | 3,509.00 | 613.00 |
| 1. ING GROEP N.V. 12/11/09 | 11/28/2009 | 12/22/2009 | 2.00 | | 2.00 |
| 14. PACCAR INC | 02/06/2009 | 12/22/2009 | 516.00 | 433.00 | 83.00 |
| 2. TOLL BROS INC | 04/20/2009 | 12/23/2009 | 39.00 | 38.00 | 1.00 |
| 225. XTO ENERGY INC | 08/12/2009 | 12/30/2009 | 10,575.00 | 9,259.00 | 1,316.00 |
| 305. XTO ENERGY INC | 08/11/2009 | 12/31/2009 | 14,285.00 | 12,357.00 | 1,928.00 |
| 11. TOLL BROS INC | 04/20/2009 | 01/07/2010 | 216.00 | 210.00 | 6.00 |
| 77000. U.S. TREAS NTS 4.625% 12/31/11 | 12/04/2009 | 01/07/2010 | 82,450.00 | 82,977.00 | -527.00 |
| 255. BERKSHIRE HATHAWAY INC | 03/05/2009 | 01/27/2010 | 18,041.00 | 11,770.00 | 6,271.00 |
| 72000. FED NATL MTG ASSN 6.000% 5/15/11 | 12/15/2009 | 02/01/2010 | 76,995.00 | 78,685.00 | -1,690.00 |
| .3437 TETON ADVISORS INC | 02/08/2010 | 02/08/2010 | 4.00 | 4.00 | |
| 126000. U.S. TREAS NTS 4.625% 12/31/11 | 12/15/2009 | 03/25/2010 | 134,141.00 | 135,784.00 | -1,643.00 |
| 1. TETON ADVISORS INC | 02/08/2010 | 04/06/2010 | 11.00 | 11.00 | |
| 50. JONES LANG LASALLE INC PAR .01 | 06/11/2009 | 04/23/2010 | 4,076.00 | 1,756.00 | 2,320.00 |
| 45. AKAMAI TECHNOLOGIES INC | 08/18/2009 | 05/05/2010 | 1,725.00 | 803.00 | 922.00 |
| 2000. FEDERAL HOME LN MTG CORP DTD 01/14/02 | 05/12/2009 | 05/05/2010 | 2,163.00 | 2,219.00 | -56.00 |
| 6000. FEDL NATL MTG ASSN 2.125% 1/25/13 | 03/24/2010 | 05/05/2010 | 6,000.00 | 6,038.00 | -38.00 |

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-407052574375 J.A. & H.G. WOODRUFF, JR.

Employer identification number: 65-6246750

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh. 7% preferred of "Z" Co ) | (b) Date acquired (mo., day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 35. JONES LANG LASALLE INC PAR .01 | 06/11/2009 | 05/05/2010 | 2,799.00 | 1,229.00 | 1,570.00 |
| 195. KEYCORP (NEW) F/K/A SOCIETY CORP | 06/11/2009 | 05/05/2010 | 1,687.00 | 1,169.00 | 518.00 |
| 15. PARKER HANNIFIN CORP | 05/21/2009 | 05/05/2010 | 1,009.00 | 638.00 | 371.00 |
| 35. SAFEWAY INC NEW | 06/15/2009 | 05/05/2010 | 849.00 | 718.00 | 131.00 |
| 5000. U.S. TREASURY NOTES 4.750% 5/15/14 | 03/25/2010 | 05/05/2010 | 5,563.00 | 5,513.00 | 50.00 |
| 10000. U S TREASURY NOTES 4.875% 8/15/16 | 12/15/2009 | 05/05/2010 | 11,242.00 | 11,418.00 | -176.00 |
| 4000. U S TREAS NTS 3.375% 11/30/12 | 05/29/2009 | 05/05/2010 | 4,226.00 | 4,246.00 | -20.00 |
| 167000. U S TREAS NTS 3.375% 11/30/12 | 03/29/2010 | 05/20/2010 | 177,072.00 | 176,980.00 | 92.00 |

1b **Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . 18,761.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: 39-407052574375 J.A. & H.G. WOODRUFF, JR.

Employer identification number: 65-6246750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 303. VERIZON COMMUNICATIONS | 02/28/2008 | 06/12/2009 | 9,088.00 | 11,197.00 | -2,109.00 |
| 57. VERIZON COMMUNICATIONS | 02/28/2008 | 06/15/2009 | 1,696.00 | 2,106.00 | -410.00 |
| 170. VERIZON COMMUNICATIONS | 02/28/2008 | 06/16/2009 | 5,037.00 | 6,282.00 | -1,245.00 |
| 127. SK TELECOM CO LTD-ADR | 08/28/2006 | 06/18/2009 | 1,949.00 | 2,785.00 | -836.00 |
| 483. SK TELECOM CO LTD-ADR | 08/28/2006 | 07/01/2009 | 7,505.00 | 10,591.00 | -3,086.00 |
| 180. J. P . MORGAN CHASE & CO F/K/A | 03/14/2007 | 07/20/2009 | 6,623.00 | 8,512.00 | -1,889.00 |
| 330. MICROSOFT CORP | 12/13/2000 | 07/20/2009 | 8,009.00 | 10,037.00 | -2,028.00 |
| 88. ABBOTT LABS | 08/17/2007 | 07/31/2009 | 3,966.00 | 4,586.00 | -620.00 |
| 61. ABBOTT LABS | 08/17/2007 | 08/03/2009 | 2,734.00 | 3,179.00 | -445.00 |
| 77. ABBOTT LABS | 08/17/2007 | 08/04/2009 | 3,412.00 | 4,012.00 | -600.00 |
| 156. ABBOTT LABS | 08/17/2007 | 08/05/2009 | 6,876.00 | 8,129.00 | -1,253.00 |
| 62. ABBOTT LABS | 08/17/2007 | 08/07/2009 | 2,728.00 | 3,231.00 | -503.00 |
| 123. TRANSOCEAN INC COM USD0.01 'REGISTER | 08/24/2006 | 08/11/2009 | 9,133.00 | 13,032.00 | -3,899.00 |
| 178000. U.S. TREASURY NOTES 4.250% 11/15/13 | 04/03/2008 | 08/19/2009 | 193,227.00 | 191,880.00 | 1,347.00 |
| 260. GENERAL ELEC CO. | 12/21/2006 | 08/28/2009 | 3,660.00 | 9,869.00 | -6,209.00 |
| 10. MCDERMOTT INTL INC | 08/20/2008 | 08/28/2009 | 238.00 | 340.00 | -102.00 |
| 18. EAST WEST BANCORP INC PAR .001 | 08/21/2008 | 08/31/2009 | 166.00 | 216.00 | -50.00 |
| 3. EAST WEST BANCORP INC PAR .001 | 08/21/2008 | 09/01/2009 | 28.00 | 36.00 | -8.00 |
| 230. WPP PLC | 08/08/2006 | 09/01/2009 | 9,471.00 | 13,448.00 | -3,977.00 |
| 36. EAST WEST BANCORP INC PAR .001 | 08/26/2008 | 09/09/2009 | 331.00 | 432.00 | -101.00 |
| 3. EAST WEST BANCORP INC PAR .001 | 08/26/2008 | 09/11/2009 | 28.00 | 36.00 | -8.00 |
| 80. ANADARKO PETE CORP | 06/30/2006 | 09/17/2009 | 5,129.00 | 3,833.00 | 1,296.00 |
| 105. EBAY INC | 10/18/2007 | 09/17/2009 | 2,552.00 | 4,005.00 | -1,453.00 |
| 130. HOME DEPOT INC | 06/30/2006 | 09/17/2009 | 3,613.00 | 4,667.00 | -1,054.00 |
| 55. NESTLE S A SPONSORED ADR REPSTG REG SH | 06/30/2006 | 09/17/2009 | 2,330.00 | 1,720.00 | 610.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

39-407052574375 J.A. & H.G. WOODRUFF, JR.

Employer identification number

65-6246750

**Part II** **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 45. TARGET CORP | 01/07/2008 | 09/17/2009 | 2,186.00 | 2,204.00 | -18.00 |
| 40. TELEFONICA DE ESPANA SPONSORED | 06/30/2006 | 09/17/2009 | 3,292.00 | 1,993.00 | 1,299.00 |
| 135. UNITED OVERSEAS BK LTDADR | 08/29/2006 | 09/17/2009 | 3,220.00 | 2,707.00 | 513.00 |
| 180. VODAFONE GROUP SPD ADR REP 10 ORD SH | 06/30/2006 | 09/17/2009 | 4,157.00 | 4,604.00 | -447.00 |
| 80. WAL-MART DE MEXICO SA-SP ADR | 08/08/2006 | 09/17/2009 | 2,980.00 | 2,600.00 | 380.00 |
| 290. COMERICA INC | 08/13/2008 | 09/22/2009 | 8,638.00 | 8,871.00 | -233.00 |
| 645. GENERAL ELEC CO. | 12/19/2006 | 10/12/2009 | 10,561.00 | 24,435.00 | -13,874.00 |
| 85. AMAZON COM INC | 07/11/2006 | 10/26/2009 | 10,440.00 | 3,103.00 | 7,337.00 |
| 13. COMERICA INC | 08/13/2008 | 10/28/2009 | 370.00 | 389.00 | -19.00 |
| 93. COMERICA INC | 08/13/2008 | 10/29/2009 | 2,651.00 | 2,784.00 | -133.00 |
| 19. COMERICA INC | 08/13/2008 | 11/03/2009 | 520.00 | 569.00 | -49.00 |
| 505. INTEL CORP | 06/30/2006 | 11/03/2009 | 9,315.00 | 9,615.00 | -300.00 |
| 75. AMAZON COM INC | 08/01/2006 | 11/05/2009 | 8,929.00 | 2,094.00 | 6,835.00 |
| 340. CISCO SYS INC | 05/27/2008 | 11/05/2009 | 8,112.00 | 8,617.00 | -505.00 |
| 50. NOVELLUS SYS INC | 04/04/2008 | 11/18/2009 | 1,091.00 | 1,174.00 | -83.00 |
| 87. NOVELLUS SYS INC | 04/04/2008 | 11/19/2009 | 1,820.00 | 2,013.00 | -193.00 |
| 43. NOVELLUS SYS INC | 02/28/2008 | 11/20/2009 | 899.00 | 993.00 | -94.00 |
| 140. FRANKLIN RES INC | 10/15/2008 | 11/30/2009 | 15,027.00 | 11,922.00 | 3,105.00 |
| .187 DIRECTV COM USD0.01 CLASS 'A' | 06/15/2007 | 12/01/2009 | 6.00 | 4.00 | 2.00 |
| 131. UNITED PARCEL SERVICE -CL B | 04/09/2008 | 12/03/2009 | 7,587.00 | 9,206.00 | -1,619.00 |
| 65. PACCAR INC | 09/15/2008 | 12/04/2009 | 2,393.00 | 2,782.00 | -389.00 |
| 96. UNITED PARCEL SERVICE -CL B | 07/24/2008 | 12/04/2009 | 5,576.00 | 6,425.00 | -849.00 |
| 213. UNITED PARCEL SERVICE -CL B | 10/24/2008 | 12/08/2009 | 12,347.00 | 12,448.00 | -101.00 |
| 45. PACCAR INC | 10/24/2008 | 12/22/2009 | 1,658.00 | 1,034.00 | 624.00 |
| 64. NOVELLUS SYS INC | 02/28/2008 | 12/28/2009 | 1,508.00 | 1,478.00 | 30.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| 39-407052574375 J.A. & H.G. WOODRUFF, JR. | 65-6246750 |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 sh. 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 20. NOVELLUS SYS INC | 02/28/2008 | 12/29/2009 | 469.00 | 462.00 | 7.00 |
| 448. NOVELLUS SYS INC | 04/08/2008 | 12/30/2009 | 10,506.00 | 10,261.00 | 245.00 |
| 28. NOVELLUS SYS INC | 04/08/2008 | 12/31/2009 | 658.00 | 618.00 | 40.00 |
| 40. AMAZON COM INC | 08/01/2006 | 01/13/2010 | 5,111.00 | 1,042.00 | 4,069.00 |
| 183. WILLIAMS SONOMA INC | 06/23/2008 | 01/14/2010 | 3,991.00 | 4,113.00 | -122.00 |
| 600. INTEL CORP | 07/11/2006 | 01/15/2010 | 12,595.00 | 11,422.00 | 1,173.00 |
| 97. WILLIAMS SONOMA INC | 06/24/2008 | 01/15/2010 | 2,021.00 | 2,109.00 | -88.00 |
| 90000. FED NATL MTG ASSN 6.000% 5/15/11 | 07/17/2008 | 02/01/2010 | 96,244.00 | 95,515.00 | 729.00 |
| 50. VARIAN SEMICONDUCTOR EQUIPTMENT | 01/05/2009 | 02/16/2010 | 1,558.00 | 900.00 | 658.00 |
| 1. VARIAN SEMICONDUCTOR EQUIPTMENT | 01/05/2009 | 02/17/2010 | 31.00 | 18.00 | 13.00 |
| 31. VARIAN SEMICONDUCTOR EQUIPTMENT | 01/05/2009 | 02/18/2010 | 966.00 | 558.00 | 408.00 |
| 18. VARIAN SEMICONDUCTOR EQUIPTMENT | 01/05/2009 | 02/19/2010 | 561.00 | 324.00 | 237.00 |
| 50. CREE INC | 06/30/2006 | 04/14/2010 | 4,105.00 | 1,195.00 | 2,910.00 |
| 155. EMERSON ELEC CO | 06/30/2006 | 04/16/2010 | 8,062.00 | 6,498.00 | 1,564.00 |
| 520. HOME DEPOT INC | 06/30/2006 | 04/16/2010 | 18,200.00 | 18,668.00 | -468.00 |
| 55. AUTODESK INC | 06/30/2006 | 04/23/2010 | 1,863.00 | 1,903.00 | -40.00 |
| 50. BED BATH & BEYOND INC COM | 08/09/2006 | 04/23/2010 | 2,388.00 | 1,738.00 | 650.00 |
| 50. CABLEVISION SYS CORP | 08/10/2006 | 04/23/2010 | 1,308.00 | 916.00 | 392.00 |
| 35. CREE INC | 06/30/2006 | 04/23/2010 | 2,793.00 | 837.00 | 1,956.00 |
| 125. DIRECTV COM USD0.01 CLASS 'A' | 06/15/2007 | 04/23/2010 | 4,559.00 | 2,833.00 | 1,726.00 |
| 200. LIBERTY MEDIA HLDG CORP | 06/15/2007 | 04/23/2010 | 3,288.00 | 4,995.00 | -1,707.00 |
| 75. LIBERTY MEDIA HLDG CORP | 06/15/2007 | 04/23/2010 | 3,322.00 | 1,335.00 | 1,987.00 |
| 60. SANDISK CORP | 06/30/2006 | 04/23/2010 | 2,661.00 | 3,069.00 | -408.00 |
| 73. TEVA PHARMACEUTICAL INDS ADR | 08/04/2005 | 04/23/2010 | 4,502.00 | 2,398.00 | 2,104.00 |
| 120. TEXAS INSTRS INC | 10/31/2006 | 04/23/2010 | 3,189.00 | 3,628.00 | -439.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| 39-407052574375 J.A. & H.G. WOODRUFF, JR. | 65-6246750 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 70. WEYERHAEUSER CO | 04/29/2008 | 04/23/2010 | 3,699.00 | 4,551.00 | -852.00 |
| 50. TYCO INTERNATIONAL COM USD0.80 | 07/23/2007 | 04/23/2010 | 2,022.00 | 2,472.00 | -450.00 |
| 45. ASML HOLDING NV-UY REG SHS | 09/19/2008 | 04/23/2010 | 1,604.00 | 953.00 | 651.00 |
| 1400. NATIONAL BANK OF GREECE ADR | 04/01/2009 | 05/04/2010 | 3,953.00 | 7,211.00 | -3,258.00 |
| 26. ABBOTT LABS | 08/17/2007 | 05/05/2010 | 1,297.00 | 1,355.00 | -58.00 |
| 85. APPLIED MATERIALS INC | 02/28/2008 | 05/05/2010 | 1,133.00 | 1,684.00 | -551.00 |
| 60. AUTODESK INC | 06/30/2006 | 05/05/2010 | 1,954.00 | 2,076.00 | -122.00 |
| 30. BASF AKTIENGESELLSCHAF T-LEVEL 1 | 06/30/2006 | 05/05/2010 | 1,640.00 | 1,207.00 | 433.00 |
| 80. BAKER HUGHES INC | 06/30/2006 | 05/05/2010 | 3,782.00 | 6,556.00 | -2,774.00 |
| 105. BANK OF AMERICA CORP | 10/17/2008 | 05/05/2010 | 1,852.00 | 2,500.00 | -648.00 |
| 50. BED BATH & BEYOND INC COM | 08/09/2006 | 05/05/2010 | 2,275.00 | 1,724.00 | 551.00 |
| 100. BIOGEN IDEC INC | 06/30/2006 | 05/05/2010 | 5,227.00 | 4,688.00 | 539.00 |
| 125. BROADCOM CORP-CL A | 11/09/2007 | 05/05/2010 | 4,240.00 | 3,731.00 | 509.00 |
| 35. CVS CORPORATION (DEL) | 11/06/2008 | 05/05/2010 | 1,276.00 | 1,049.00 | 227.00 |
| 100. CABLEVISION SYS CORP | 08/10/2006 | 05/05/2010 | 2,696.00 | 1,833.00 | 863.00 |
| 30. CARNIVAL CORP PAIRED CTF 1 COM CARNI | 04/20/2009 | 05/05/2010 | 1,195.00 | 766.00 | 429.00 |
| 180. CHARMING SHOPPES INC | 06/30/2006 | 05/05/2010 | 1,098.00 | 2,028.00 | -930.00 |
| 20. CHUBB CORP. | 03/12/2008 | 05/05/2010 | 1,041.00 | 1,004.00 | 37.00 |
| 90. CISCO SYS INC | 05/27/2008 | 05/05/2010 | 2,399.00 | 2,281.00 | 118.00 |
| 25. CITRIX SYS INC | 09/12/2008 | 05/05/2010 | 1,169.00 | 725.00 | 444.00 |
| 40. COCA COLA COMPANY | 08/28/2006 | 05/05/2010 | 2,141.00 | 1,761.00 | 380.00 |
| 105. COMCAST CORP NEW CL A SPL | 08/14/2007 | 05/05/2010 | 1,968.00 | 2,652.00 | -684.00 |
| 55. CREE INC | 06/30/2006 | 05/05/2010 | 3,952.00 | 1,315.00 | 2,637.00 |
| 175. DIRECTV COM USD0.01 CLASS 'A' | 06/15/2007 | 05/05/2010 | 6,171.00 | 3,917.00 | 2,254.00 |
| 35. DOLBY LABORATORIES INC | 10/31/2008 | 05/05/2010 | 2,311.00 | 1,099.00 | 1,212.00 |
| **6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . | | | | | |

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

39-407052574375 J.A. & H.G. WOODRUFF, JR.

Employer identification number
65-6246750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 3000. FEDERAL HOME LN MTG 4.125% 9/27/13 | 04/15/2009 | 05/05/2010 | 3,228.00 | 3,246.00 | -18.00 |
| 3000. FEDL NATL MTG ASSN 2.750% 2/05/14 | 04/15/2009 | 05/05/2010 | 3,081.00 | 3,062.00 | 19.00 |
| 20. FLUOR CORP NEW | 01/07/2009 | 05/05/2010 | 1,014.00 | 962.00 | 52.00 |
| 45. GAP INC. DEL | 07/17/2008 | 05/05/2010 | 1,124.00 | 733.00 | 391.00 |
| 30. HOME DEPOT INC | 06/30/2006 | 05/05/2010 | 1,068.00 | 1,077.00 | -9.00 |
| 60. HONEYWELL INTERNATIONA L INC | 07/17/2008 | 05/05/2010 | 2,765.00 | 3,050.00 | -285.00 |
| 532. HONG KONG & CHINA GAS-SP ADR | 08/08/2006 | 05/05/2010 | 1,250.00 | 980.00 | 270.00 |
| 130. JUNIPER NETWORK INC | 10/22/2008 | 05/05/2010 | 3,694.00 | 2,407.00 | 1,287.00 |
| 60. PHILIPS ELECTRONICS-NY SHR | 08/29/2006 | 05/05/2010 | 1,871.00 | 2,050.00 | -179.00 |
| 40. LIBERTY GLOBAL INC COM SER A | 06/30/2006 | 05/05/2010 | 1,074.00 | 853.00 | 221.00 |
| 460. LIBERTY MEDIA HLDG CORP | 06/15/2007 | 05/05/2010 | 7,026.00 | 11,488.00 | -4,462.00 |
| 505. LIBERTY MEDIA HLDG CORP | 06/27/2008 | 05/05/2010 | 22,473.00 | 7,785.00 | 14,688.00 |
| 50. MERCK & CO INC NEW | 03/17/2008 | 05/05/2010 | 1,770.00 | 2,095.00 | -325.00 |
| 20. METTLER-TOLEDO INTL INC | 06/30/2006 | 05/05/2010 | 2,423.00 | 1,218.00 | 1,205.00 |
| 50. MICROSOFT CORP | 12/13/2000 | 05/05/2010 | 1,498.00 | 1,521.00 | -23.00 |
| 30. NATIONAL-OILWELL INC | 06/30/2006 | 05/05/2010 | 1,298.00 | 2,244.00 | -946.00 |
| 175. NEWS CORP | 06/30/2006 | 05/05/2010 | 2,930.00 | 3,532.00 | -602.00 |
| 25. NOVARTIS AG-ADR | 08/28/2006 | 05/05/2010 | 1,226.00 | 1,424.00 | -198.00 |
| 200. NVIDIA CORP 00 | 06/02/2008 | 05/05/2010 | 2,942.00 | 4,918.00 | -1,976.00 |
| 25. PALL CORP | 06/30/2006 | 05/05/2010 | 957.00 | 700.00 | 257.00 |
| 10. PEPSICO INC | 11/14/2006 | 05/05/2010 | 653.00 | 619.00 | 34.00 |
| 15. PROCTOR & GAMBLE CO | 11/14/2006 | 05/05/2010 | 933.00 | 951.00 | -18.00 |
| 170. SANDISK CORP | 11/14/2006 | 05/05/2010 | 7,108.00 | 8,651.00 | -1,543.00 |
| 15. SCHLUMBERGER LTD | 10/17/2008 | 05/05/2010 | 1,012.00 | 768.00 | 244.00 |
| 40. SCHWAB CHARLES CORP NEW | 01/29/2009 | 05/05/2010 | 756.00 | 559.00 | 197.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

39-407052574375 J.A. & H.G. WOODRUFF, JR.

**Employer identification number** 65-6246750

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example: 100 sh. 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 20. SHIRE PHARMACEUTICALS GR-ADR | 03/06/2008 | 05/05/2010 | 1,300.00 | 1,202.00 | 98.00 |
| 25. SMITH & NEPHEW P L C SPD ADR NEW | 05/18/2005 | 05/05/2010 | 1,248.00 | 1,718.00 | -470.00 |
| 50. TARGET CORP | 01/07/2008 | 05/05/2010 | 2,822.00 | 2,449.00 | 373.00 |
| 60. TEVA PHARMACEUTICAL INDS ADR | 08/04/2005 | 05/05/2010 | 3,576.00 | 2,263.00 | 1,313.00 |
| 150. TEXAS INSTRS INC | 10/31/2006 | 05/05/2010 | 3,890.00 | 4,535.00 | -645.00 |
| 60. UNILEVER PLC SPNSRD ADR NEW | 03/11/2008 | 05/05/2010 | 1,739.00 | 1,920.00 | -181.00 |
| 80. UNITED OVERSEAS BK LTDADR | 08/29/2006 | 05/05/2010 | 2,224.00 | 1,604.00 | 620.00 |
| 14000. U S TREASURY NTS 3.750% 11/15/18 | 01/30/2009 | 05/05/2010 | 14,412.00 | 15,082.00 | -670.00 |
| 100. UNITEDHEALTH GROUP INC | 10/31/2006 | 05/05/2010 | 2,972.00 | 4,867.00 | -1,895.00 |
| 35. WAL-MART DE MEXICO SA-SP ADR | 08/08/2006 | 05/05/2010 | 798.00 | 569.00 | 229.00 |
| 40. WEYERHAEUSER CO | 04/29/2008 | 05/05/2010 | 1,936.00 | 2,601.00 | -665.00 |
| 47. COVIDIEN PLC USD0.20 | 06/30/2006 | 05/05/2010 | 2,176.00 | 1,643.00 | 533.00 |
| 175. SEAGATE TECHNOLOGY | 03/01/2007 | 05/05/2010 | 3,316.00 | 4,444.00 | -1,128.00 |
| 55. WEATHERFORD INT LTD COM USD1.00 | 06/30/2006 | 05/05/2010 | 929.00 | 1,369.00 | -440.00 |
| 100. TYCO INTERNATIONAL COM USD0.80 | 07/23/2007 | 05/05/2010 | 3,874.00 | 4,543.00 | -669.00 |
| 70. TYCO ELECTRONICS LTD COM CHF2.60 | 07/18/2007 | 05/05/2010 | 2,146.00 | 2,648.00 | -502.00 |
| 125. ASML HOLDING NV-UY REG SHS | 09/19/2008 | 05/05/2010 | 3,959.00 | 2,648.00 | 1,311.00 |
| 301. NIPPON TELEGRAPH & TELEPHONE CORP | 06/30/2006 | 05/11/2010 | 6,097.00 | 7,390.00 | -1,293.00 |
| 150. AUTODESK INC | 06/30/2006 | 05/12/2010 | 4,890.00 | 5,191.00 | -301.00 |
| 35. CREE INC | 06/30/2006 | 05/12/2010 | 2,710.00 | 837.00 | 1,873.00 |
| 75. DIRECTV COM USD0.01 CLASS 'A' | 04/24/2007 | 05/12/2010 | 2,865.00 | 1,663.00 | 1,202.00 |
| 100. LIBERTY MEDIA HLDG CORP | 09/06/2007 | 05/12/2010 | 1,408.00 | 1,930.00 | -522.00 |
| 60. LIBERTY MEDIA HLDG CORP | 06/27/2008 | 05/12/2010 | 2,529.00 | 855.00 | 1,674.00 |
| 319. NIPPON TELEGRAPH & TELEPHONE CORP | 02/28/2008 | 05/12/2010 | 6,503.00 | 7,073.00 | -570.00 |
| 75. SANDISK CORP | 11/14/2006 | 05/12/2010 | 3,289.00 | 3,501.00 | -212.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

39-407052574375 J.A. & H.G. WOODRUFF, JR.

Employer identification number

65-6246750

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 75. TYCO INTERNATIONAL COM USD0.80 | 06/30/2006 | 05/12/2010 | 2,989.00 | 3,223.00 | -234.00 |
| 115. BERKSHIRE HATHAWAY INC | 03/05/2009 | 05/21/2010 | 8,308.00 | 5,308.00 | 3,000.00 |
| 60. PEPSICO INC | 11/14/2006 | 05/21/2010 | 3,778.00 | 3,713.00 | 65.00 |

**6b Total** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . 1,315.00

## FEDERAL CAPITAL GAIN DIVIDENDS

### LONG-TERM CAPITAL GAIN DIVIDENDS

| | | |
|---|---|---|
| 15% RATE CAPITAL GAIN DIVIDENDS | | |
| AXA S A SPONSORED ADR | 504.00 | |
| SIMON PPTY GROUP INC NEW COM | 1.00 | |
| TOTAL 15% RATE CAPITAL GAIN DIVIDENDS | | 506.00 |
| TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | 506.00 |

990PF, PART VII-B LINE 2B – SECTION 4942a2 EXPLANATION STATEMENT

This question does not apply. The foundation does not have any undistributed income from prior years

| | | | | |
|---|---|---|---|---|
| 102 | CHARITABLE DEDUCTION - CURRENT INCOME | | | |
| 10/07/09 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM INCO<br>9 WOODRUFF FNDTN GRANT-FUNDING FOR GRANT WRITING | -5000 00 | -5000 00 | 0910000014 |

| TAX CODE/DATE | TRANSACTIONS | TAXABLE | INCOME | PRINCIPAL | EDIT NUMBER |
|---|---|---|---|---|---|
| 102 | CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)<br>FOR 65-6246750<br>PAID TO<br>CAMP AMERIKIDS<br>TR TRAN#=ES000128000004 TR CD=072 REG=0 PORT=INC | | | | |
| 10/08/09 | REVERSAL - NEGATES PREVIOUS ENTRY<br>CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN FOR ODYSSEY OF THE MIND PROG<br>STOP PAYMENT<br>REVERSED<br>FOR: 65-6246750<br>PAID TO·<br>GERALD ADAMS ELEMENTARY SCHOOL<br>ATTN. GRACE WILLIS<br>5855 COLLEGE ROAD<br>KEY WEST, FL 33040<br>TR TRAN#=ES000172000005 TR CD=071 REG=0 PORT=PRIN | 5000.00 | REVERSAL TRANSACTION - REVIEW | 5000.00 | 0910000018 |
| 10/09/09 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 REISSUED 4/2/09 CHECK FOR ODYSSEY OF THE MIND<br>FOR 65-6246750<br>PAID TO<br>GERALD ADAMS ELEMENTARY SCHOOL<br>ATTN GRACE WILLIS<br>5855 COLLEGE ROAD<br>KEY WEST, FL 33040<br>TR TRAN#=ES000183000005 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 0910000022 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- FUNDING FOR ONGOING PROGRAM<br>FOR 65-6246750<br>PAID TO<br>UNDERSTANDING GOVERNMENT<br>TR TRAN#=ES000073000011 TR CD=071 REG=0 PORT=PRIN | -10000 00 | | -10000 00 | 1005000008 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- $7500 MAINTAINING PROGRAMS<br>$2500 FOR TEEN PROGRAM<br>FOR 65-6246750<br>PAID TO<br>BOYS & GIRLS CLUBS OF ATHENS<br>TR TRAN#=ES000073000012 TR CD=071 REG=0 PORT=PRIN | -10000 00 | | -10000 00 | 1005000009 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- FOR THE GOODPITCH PROGRAM<br>FOR 65-6246750<br>PAID TO<br>WORKING FILMS<br>TR TRAN#=ES000073000016 TR CD=071 REG=0 PORT=PRIN | -20000 00 | | -20000 00 | 1005000011 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- 5K FOR THE SUNSHINE FUND<br>5K FOR THE GRACE KEY WILLIS PRINCIPAL AWARD<br>PROGRAM<br>FOR 65-6246750<br>PAID TO<br>GERALD ADAMS SCHOOL<br>TR TRAN#=ES000073000014 TR CD=071 REG=0 PORT=PRIN | -10000 00 | **REVERSAL STOP** | -10000 00 | 1005000010 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- 10 K FOR HUMAN RIGHTS PANEL<br>15K FOR VOLUNTEER SUPPORT<br>15K FOR DOCU FILM PROJECT<br>FOR 65-6246750<br>PAID TO<br>SUNDANCE INSTITUTE<br>TR TRAN#=ES000073000019 TR CD=071 REG=0 PORT=PRIN | -40000 00 | | -40000 00 | 1005000013 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- FOR SPORTS EQUIP<br>AND ARTS & CRAFTS SUPPLIES<br>FOR 65-6246750<br>PAID TO<br>CAMP BOGGY CREEK<br>TR TRAN#=ES000073000021 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000014 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- FUNDING FOR ONE AQUISITION<br>A GIFT FROM PEARL EPSTEIN MADE POSSIBLE BY THE<br>JA & HG WOODRUFF CHARITABLE TRUST<br>FOR 65-6246750<br>PAID TO | -5000 00 | | -5000 00 | 1005000015 |

| TAX CODE/DATE | TRANSACTIONS | TAXABLE | INCOME | PRINCIPAL | EDIT NUMBER |
|---|---|---|---|---|---|
| 102 | CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)<br>MORIKAMI MUSEUM & GARDEN<br>TR TRAN#=ES000073000023 TR CD=071 REG=0 PORT=PRIN | | | | |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- 2010 ART CONTEST<br>FOR 65-6246750<br>PAID TO<br>FRIENDS OF THE STATE BOTANICAL<br>GARDENS OF GEORGIA<br>TR TRAN#=ES000073000025 TR CD=071 REG=0 PORT=PRIN | -6000 00 | | -6000 00 | 1005000016 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- CHALLENGE GRANT FOR<br>THE 'SPRING DRIVE'<br>FOR 65-6246750<br>PAID TO<br>WITNESS<br>TR TRAN#=ES000073000017 TR CD=071 REG=0 PORT=PRIN | -35000 00 | | -35000 00 | 1005000012 |
| 05/05/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- FOR SPORTS EQUIP & ARTS<br>& CRAFTS SUPPLIES<br>FOR 65-6246750<br>PAID TO<br>CAMP AMERIKIDS<br>TR TRAN#=ES000073000026 TR CD=071 REG=0 PORT=PRIN | -2000 00 | | -2000 00 | 1005000017 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- FOR ARTS & CRAFTS & EQUIP<br>FOR 65-6246750<br>PAID TO<br>MARC INC<br>TR TRAN#=ES000153000012 TR CD=071 REG=0 PORT=PRIN | -2500 00 | | -2500 00 | 1005000039 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN<br>GIFT FROM MICHAEL & DINA MCCLURE<br>MADE POSSIBLE BY THE JA & HG WOODRUFF JR<br>CHARITABLE TRUST<br>FOR 65-6246750<br>PAID TO<br>TROPIC CINEMA<br>TR TRAN#=ES000153000013 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000040 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN- FUNDING FOR PHYLLIS POPE'S<br>READING PROGRAM<br>FOR 65-6246750<br>PAID TO<br>GLYNN ARCHER ELEM SCHOOL<br>TR TRAN#=ES000153000015 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000041 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT-WOODRUFF FDTN<br>GIFT FROM R BRESLIN & E PECORARO<br>MADE POSSIBLE BY THE JA & HG WOODRUFF JR<br>CHARITABLE TRUST<br>FOR 65-6246750<br>PAID TO<br>SOBE INSTITUTE OF THE ARTS<br>TR TRAN#=ES000153000017 TR CD=071 REG=0 PORT=PRIN | -1500 00 | | -1500 00 | 1005000042 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN FUNDING FOR THEIR<br>EMERGENCY SHELTER<br>FOR 65-6246750<br>PAID TO<br>PROJECT SAFE INC<br>TR TRAN#=ES000153000021 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000044 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN FOR ANNUAL CHAMPAIGN<br>FOR 65-6246750<br>PAID TO<br>SOUTH KENT SCHOOL<br>TR TRAN#=ES000153000023 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000045 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN FUNDING FOR THE FFA PROGRAM<br>A GIFT FROM TONEY SZWED MADE POSSIBLE BY THE<br>JA & HG WOODRUFF CHARITABLE TRUST | -5000 00 | | -5000 00 | 1005000046 |

| TAX CODE/DATE | TRANSACTIONS | TAXABLE | INCOME | PRINCIPAL | EDIT NUMBER |
|---|---|---|---|---|---|
| 102 | CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)<br>FOR 65-6246750<br>PAID TO<br>SOUTH HUNTERDON FFA ALMUNI ASSOC<br>TR TRAN#=ES000153000024 TR CD=071 REG=0 PORT=PRIN | | | | |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN<br>FOR 65-6246750<br>PAID TO<br>BOYS & GIRLS CLUBS OF THE KEYS<br>TR TRAN#=ES000153000026 TR CD=071 REG=0 PORT=PRIN | -2500 00 | | -2500 00 | 1005000047 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN FUNDING FOR THEIR<br>FOR 65-6246750<br>PAID TO<br>THE ALCOVE INC<br>TR TRAN#=ES000153000019 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000043 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN FOR THE RICKS CENTER<br>TUITION ASSIST FUND<br>FOR 65-6246750<br>PAID TO<br>UNIVERSITY OF DENVER<br>TR TRAN#=ES000153000028 TR CD=071 REG=0 PORT=PRIN | -6500 00 | | -6500 00 | 1005000049 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN FOR YOUR WISH LIST<br>FOR 65-6246750<br>PAID TO<br>THE GIRAFFE HEROES PROJECT<br>TR TRAN#=ES000153000030 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000050 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN FOR REPLACEMENT & MAINT<br>OF BRIDGE ST TREES<br>FOR 65-6246750<br>PAID TO<br>BOROUGH OF STOCKTON<br>TR TRAN#=ES000153000031 TR CD=071 REG=0 PORT=PRIN | -2000 00 | | -2000 00 | 1005000051 |
| 05/10/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT WOODRUFF FDTN FOR YOUR WISH LIST<br>FOR 65-6246750<br>PAID TO<br>ATHENS AREA HOMELESS SHELTER<br>TR TRAN#=ES000153000027 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000048 |
| 05/13/10 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 GRANT- WOODRUFF FDTN-<br>A GIFT FROM JULIE MEYER & ARLEEN RICHTER MADE<br>POSSIBLE BY THE JA & HG WOODRUFF CHAR TRUST<br>FOR 65-6246750<br>PAID TO<br>LEARNING LEADERS, INC<br>TR TRAN#=ES000248000021 TR CD=071 REG=0 PORT=PRIN | -5000 00 | | -5000 00 | 1005000061 |
| | TOTAL CODE 102 - CHARITABLE DEDUCTION - CURRENT INCOME | -208000 00 | -5000 00 | -203000 00 | |

## *Statement of Value and Activity*

*May 1, 2010 - May 31, 2010*

### Asset Detail

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *U.S Treasuries and Agencies* | | | | | | |
| *U.S. Treasury Bonds and Notes* | | | | | | |
| U S. Treasury Notes 4.750% 5/15/14 CUSIP: 912828CJ7 | 119,000 00 | $132,843.27 | $131,216.06 | $1,627.21 | $5,652.50 | 2 74% |
| U S Treasury Notes 4.875% 8/15/16 CUSIP· 912828FQ8 | 237,000.00 | $269,532.99 | $249,862.03 | $19,670.96 | $11,553.75 | 5.56% |
| U S Treasury Nts 3.750% 11/15/18 CUSIP: 912828JR2 | 257,000.00 | $269,148.39 | $269,197.20 | -$48.81 | $9,637.50 | 5.55% |
| | | $671,524.65 | $650,275.29 | $21,249.36 | $26,843.75 | 13.85% |
| *Government Agency Bonds/Notes* | | | | | | |
| Fed Home Ln Mtg Corp 5.750% 1/15/12 CUSIP. 3134A4JT2 | 157,000.00 | $169,216.17 | $162,985.53 | $6,230.64 | $9,027.50 | 3.49% |
| Fedl Natl Mtg Assn 2.125% 1/25/13 CUSIP: 31398AD33 | 177,000.00 | $178,161.12 | $178,022.10 | $139.02 | $3,761 25 | 3.68% |
| Federal Home Ln Mtg 4.125% 9/27/13 CUSIP: 3137EABS7 | 281,000.00 | $303,744.14 | $292,013.63 | $11,730.51 | $11,591.25 | 6.32% |
| Fedl Natl Mtg Assn 2.750% 2/05/14 CUSIP: 31398AVD1 | 253,000.00 | $261,538.75 | $254,885.40 | $6,653.35 | $6,957.50 | 5.40% |
| | | $912,660.18 | $887,906.66 | $24,753.52 | $31,337.50 | 18.89% |
| Total U.S. Treasuries and Agencies | | $1,584,184.83 | $1,538,181.95 | $46,002.88 | $58,181.25 | 32.74% |
| *Common Equity Securities* | | | | | | |
| *Domestic Common Stocks* | | | | | | |
| Abbott Labs Inc Com CUSIP: 002824100 | 490 00 | $23,304.40 | $21,587.84 | $1,716.56 | $862.40 | 0.48% |

## Statement of Value and Activity

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| AGCO Corp<br>CUSIP: 001084102 | 175 00 | $5,034.75 | $5,640.33 | -$605.58 | $0.00 | 0.10% |
| Akamai Technologies Inc<br>CUSIP: 00971T101 | 500.00 | $19,860.00 | $8,356.74 | $11,503.26 | $0.00 | 0.41% |
| Amazon Com Inc<br>CUSIP: 023135106 | 280.00 | $35,128.80 | $7,293.69 | $27,835.11 | $0.00 | 0.72% |
| AMGEN Inc Com<br>CUSIP: 031162100 | 620.00 | $32,103.60 | $37,548.52 | -$5,444.92 | $0.00 | 0 66% |
| Anadarko Petroleum Corp<br>CUSIP: 032511107 | 825.00 | $43,172.25 | $35,361.25 | $7,811.00 | $297.00 | 0.89% |
| Applied Materials Com<br>CUSIP: 038222105 | 2,475.00 | $31,977.00 | $38,057.56 | -$6,080.56 | $693.00 | 0 66% |
| AT & T Inc<br>CUSIP: 00206R102 | 650.00 | $15,795.00 | $16,176.03 | -$381.03 | $1,092.00 | 0 33% |
| Autodesk Inc<br>CUSIP: 052769106 | 470.00 | $13,752.20 | $11,931.21 | $1,820.99 | $0.00 | 0.28% |
| Baker Hughes Inc Com<br>CUSIP: 057224107 | 580 00 | $22,121.20 | $29,324.37 | -$7,203.17 | $348.00 | 0 46% |
| Bank of America Corp<br>CUSIP: 060505104 | 2,175.00 | $34,234.50 | $37,692.60 | -$3,458.10 | $87.00 | 0.71% |
| Bed Bath & Beyond Inc Com<br>CUSIP: 075896100 | 600.00 | $26,922.00 | $17,955.99 | $8,966.01 | $0.00 | 0.56% |
| Berkshire Hathaway Inc<br>CUSIP: 084670702 | 230.00 | $16,226.50 | $10,615.78 | $5,610.72 | $0.00 | 0.33% |
| Biogen IDEC Inc<br>CUSIP: 09062X103 | 1,125.00 | $53,358.75 | $50,469 22 | $2,889.53 | $0.00 | 1.10% |
| Blackrock Inc<br>CUSIP: 09247X101 | 100.00 | $16,788 00 | $13,520.39 | $3,267.61 | $400.00 | 0.35% |

## Statement of Value and Activity

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Broadcom Corp Com<br>CUSIP: 111320107 | 740.00 | $25,530.00 | $17,554.84 | $7,975.16 | $236.80 | 0 53% |
| Cablevision Sys Corp<br>CUSIP: 12686C109 | 1,050.00 | $26,050.50 | $17,496.80 | $8,553.70 | $525.00 | 0.54% |
| Celgene Corp<br>CUSIP: 151020104 | 370.00 | $19,521.20 | $18,685.11 | $836.09 | $0 00 | 0.40% |
| Charming Shoppes Inc<br>CUSIP: 161133103 | 490.00 | $2,234.40 | $5,521.81 | -$3,287.41 | $0.00 | 0.05% |
| Chubb Corp Com<br>CUSIP: 171232101 | 650.00 | $32,656.00 | $17,288.19 | $15,367.81 | $962.00 | 0 67% |
| CISCO Systems Inc Com<br>CUSIP: 17275R102 | 2,475.00 | $57,321.00 | $46,495.84 | $10,825.16 | $0.00 | 1.18% |
| CITRIX Sys Inc Com<br>CUSIP. 177376100 | 190.00 | $8,285.90 | $4,794.31 | $3,491.59 | $0.00 | 0.17% |
| Coca Cola Co Com<br>CUSIP: 191216100 | 490 00 | $25,186.00 | $21,269 50 | $3,916.50 | $862.40 | 0.52% |
| Comcast Corp New CL A Spl<br>CUSIP: 20030N200 | 2,200.00 | $37,884.00 | $46,303.58 | -$8,419.58 | $831.60 | 0.78% |
| ConocoPhillips<br>CUSIP: 20825C104 | 245.00 | $12,705.70 | $11,110.83 | $1,594.87 | $539.00 | 0 26% |
| Cree Inc<br>CUSIP: 225447101 | 195.00 | $12,942.15 | $4,616.00 | $8,326.15 | $0.00 | 0.27% |
| Cvs/Caremark Corp<br>CUSIP· 126650100 | 625.00 | $21,643.75 | $17,301.18 | $4,342.57 | $218.75 | 0.45% |
| Deere & Co.<br>CUSIP· 244199105 | 425 00 | $24,514.00 | $23,199.07 | $1,314.93 | $510.00 | 0.51% |

## *Statement of Value and Activity*

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Devon Energy Corporation New CUSIP: 25179M103 | 340.00 | $21,709.00 | $21,457.67 | $251.33 | $217.60 | 0.45% |
| DirecTV Com Usd0 01 Class 'a' CUSIP· 25490A101 | 725.00 | $27,325.25 | $13,850.82 | $13,474.43 | $0.00 | 0.56% |
| Dolby Laboratories Inc CUSIP: 25659T107 | 250.00 | $16,502.50 | $7,435.92 | $9,066.58 | $0.00 | 0.34% |
| Ebay Inc CUSIP: 278642103 | 2,075.00 | $44,425.75 | $54,633.42 | -$10,207.67 | $0.00 | 0.92% |
| Electronic Arts Inc CUSIP: 285512109 | 1,050 00 | $17,335.50 | $33,964.82 | -$16,629.32 | $0.00 | 0.36% |
| Emerson Electric Com CUSIP: 291011104 | 490.00 | $22,755.60 | $17,689.16 | $5,066.44 | $656.60 | 0.47% |
| First Solar Inc CUSIP: 336433107 | 100.00 | $11,236.00 | $11,482.36 | -$246.36 | $0.00 | 0 23% |
| Fluor Corp New CUSIP· 343412102 | 600.00 | $28,152.00 | $22,846.86 | $5,305.14 | $300.00 | 0.58% |
| Forest Labs Inc CL A Com CUSIP: 345838106 | 950.00 | $24,586.00 | $34,246.11 | -$9,660.11 | $0.00 | 0.51% |
| Franklin RES Inc Com CUSIP: 354613101 | 165.00 | $16,184.85 | $10,265.35 | $5,919.50 | $145.20 | 0.33% |
| Gap Inc Com CUSIP: 364760108 | 590.00 | $12,862.00 | $9,613.53 | $3,248.47 | $236.00 | 0.27% |
| General Electric Corp Com CUSIP. 369604103 | 1,255.00 | $20,519.25 | $44,489.19 | -$23,969.94 | $502.00 | 0.42% |
| Genzyme Corp Com CUSIP: 372917104 | 405.00 | $19,703.25 | $25,065.40 | -$5,362.15 | $0.00 | 0.41% |
| Google Inc CUSIP: 38259P508 | 55.00 | $26,709.65 | $19,972.91 | $6,736 74 | $0.00 | 0.55% |

### *Statement of Value and Activity*

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Halliburton Co Com<br>CUSIP· 406216101 | 805.00 | $19,988.15 | $20,921.56 | -$933.41 | $289.80 | 0.41% |
| Hess Corp<br>CUSIP: 42809H107 | 455.00 | $24,206.00 | $24,352.09 | -$146.09 | $182.00 | 0.50% |
| Home Depot Inc Com<br>CUSIP: 437076102 | 1,350.00 | $45,711.00 | $43,972 49 | $1,738.51 | $1,275.75 | 0.94% |
| Honeywell Intl Inc<br>CUSIP: 438516106 | 670.00 | $28,655 90 | $28,501.05 | $154.85 | $810.70 | 0.59% |
| Intel Corp Com<br>CUSIP: 458140100 | 670.00 | $14,351.40 | $11,802.30 | $2,549.10 | $422.10 | 0.30% |
| Jacobs Engr Group Inc<br>CUSIP: 469814107 | 125.00 | $5,220.00 | $5,853.39 | -$633.39 | $0.00 | 0.11% |
| Johnson & Johnson Com<br>CUSIP: 478160104 | 800.00 | $46,640.00 | $38,046.97 | $8,593.03 | $1,728.00 | 0.96% |
| Jones Lang Lasalle Inc<br>CUSIP: 48020Q107 | 240.00 | $17,908.80 | $8,024.36 | $9,884.44 | $48.00 | 0.37% |
| JPMorgan Chase & Co<br>CUSIP· 46625H100 | 880.00 | $34,830.40 | $36,838.61 | -$2,008.21 | $176.00 | 0.72% |
| Juniper Networks Inc<br>CUSIP: 48203R104 | 1,100 00 | $29,282.00 | $18,261.98 | $11,020.02 | $0.00 | 0.60% |
| Key Corp<br>CUSIP. 493267108 | 1,875.00 | $15,037.50 | $10,610.95 | $4,426.55 | $75.00 | 0.31% |
| Lawson Software Inc New<br>CUSIP: 52078P102 | 1,040.00 | $8,574.80 | $5,976.19 | $2,598.61 | $0.00 | 0.18% |
| Liberty Global Inc Com Ser A<br>CUSIP: 530555101 | 130.00 | $3,354 00 | $2,772.55 | $581.45 | $0.00 | 0.07% |

### *Statement of Value and Activity*

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Liberty Media Corp New<br>CUSIP: 53071M708 | 110.00 | $5,755.20 | $2,474.16 | $3,281.04 | $0.00 | 0.12% |
| Liberty Media Hldg Corp<br>CUSIP: 53071M104 | 1,700.00 | $22,049.00 | $30,201.90 | -$8,152.90 | $0.00 | 0.46% |
| Liberty Media Hldg Corp<br>CUSIP: 53071M302 | 500.00 | $21,030.00 | $3,306.38 | $17,723.62 | $0 00 | 0.43% |
| Madison Square Garden Inc<br>CUSIP· 55826P100 | 300.00 | $6,321.00 | $4,164.47 | $2,156.53 | $0.00 | 0.13% |
| Marriott Intl Inc New CL A<br>CUSIP: 571903202 | 145.00 | $4,850 25 | $2,495.46 | $2,354.79 | $23.20 | 0 10% |
| Mattel Inc Com<br>CUSIP: 577081102 | 440.00 | $9,530.40 | $8,704.08 | $826.32 | $330.00 | 0.20% |
| Merck & Co Inc New<br>CUSIP. 58933Y105 | 1,000.00 | $33,690.00 | $27,024.46 | $6,665.54 | $1,520.00 | 0.70% |
| Mettler-Toledo Intl Inc Com<br>CUSIP: 592688105 | 200.00 | $22,906.00 | $12,178.00 | $10,728.00 | $0 00 | 0.47% |
| Microsoft Corp Com<br>CUSIP: 594918104 | 1,620.00 | $41,796.00 | $41,903.08 | -$107.08 | $842.40 | 0.86% |
| Morgan Stanley<br>CUSIP: 617446448 | 855.00 | $23,179.05 | $24,901.13 | -$1,722 08 | $171 00 | 0.48% |
| Murphy Oil Corp<br>CUSIP· 626717102 | 50.00 | $2,669 00 | $2,588.48 | $80.52 | $50.00 | 0.06% |
| Nasdaq Omx Group Inc<br>CUSIP: 631103108 | 715.00 | $13,291.85 | $22,977.01 | -$9,685.16 | $0.00 | 0.27% |
| Nat'l Oilwell Varco<br>CUSIP: 637071101 | 320.00 | $12,201.60 | $13,625.70 | -$1,424.10 | $128.00 | 0.25% |
| News Corp<br>CUSIP. 65248E203 | 1,550.00 | $23,808.00 | $25,120.15 | -$1,312.15 | $232.50 | 0.49% |

## Statement of Value and Activity

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Nucor Corp<br>CUSIP: 670346105 | 430 00 | $18,511.50 | $18,492.38 | $19.12 | $619.20 | 0.38% |
| NVIDIA Corp<br>CUSIP: 67066G104 | 1,675.00 | $22,009.50 | $21,596.17 | $413.33 | $0.00 | 0 45% |
| Pall Corp Com<br>CUSIP: 696429307 | 435.00 | $14,811.75 | $12,112.05 | $2,699.70 | $278.40 | 0.31% |
| Parker Hannifin Corp<br>CUSIP: 701094104 | 230.00 | $14,135.80 | $9,782.34 | $4,353.46 | $239.20 | 0.29% |
| Pepsico Inc Com<br>CUSIP· 713448108 | 320.00 | $20,124.80 | $19,415.80 | $709.00 | $614.40 | 0 42% |
| Procter & Gamble Company Com<br>CUSIP: 742718109 | 495.00 | $30,239.55 | $27,269.00 | $2,970.55 | $953.87 | 0.62% |
| Qualcomm Inc Com<br>CUSIP: 747525103 | 495.00 | $17,602.20 | $18,636.93 | -$1,034.73 | $376 20 | 0.36% |
| Robert Half International Inc<br>CUSIP: 770323103 | 135.00 | $3,414.15 | $3,349.20 | $64.95 | $70.20 | 0.07% |
| Safeway Stores Inc Com New<br>CUSIP: 786514208 | 1,470 00 | $32,545.80 | $29,160.32 | $3,385.48 | $705.60 | 0.67% |
| Sandisk Corp<br>CUSIP. 80004C101 | 575.00 | $26,806.50 | $14,763.87 | $12,042.63 | $0.00 | 0.55% |
| Schwab Charles Corp New<br>CUSIP: 808513105 | 840.00 | $13,725.60 | $11,278.41 | $2,447.19 | $201.60 | 0.28% |
| Seagate Technology<br>CUSIP: G7945J104 | 1,575.00 | $24,192.00 | $23,555.64 | $636.36 | $0.00 | 0.50% |
| State Street Corp<br>CUSIP: 857477103 | 650.00 | $24,810.50 | $35,829.15 | -$11,018.65 | $26.00 | 0.51% |
| Target Corp Com<br>CUSIP: 87612E106 | 500.00 | $27,265.00 | $24,386.19 | $2,878.81 | $340.00 | 0.56% |

### *Statement of Value and Activity*

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Texas Instruments Com<br>CUSIP: 882508104 | 2,450.00 | $59,829.00 | $43,858.97 | $15,970.03 | $1,176.00 | 1.23% |
| Tutor Perini Corp<br>CUSIP: 901109108 | 270.00 | $6,021.00 | $5,330.69 | $690.31 | $0.00 | 0.12% |
| United Sts Stl Corp New<br>CUSIP: 912909108 | 410.00 | $19,356.10 | $21,420 02 | -$2,063.92 | $82.00 | 0.40% |
| UnitedHealth Group Inc<br>CUSIP: 91324P102 | 1,450 00 | $42,151.50 | $34,221.32 | $7,930.18 | $725.00 | 0.87% |
| Vertex Pharmaceuticals Inc<br>CUSIP: 92532F100 | 280 00 | $9,685.20 | $7,498.47 | $2,186.73 | $0.00 | 0 20% |
| Visa Inc-Class A Shares<br>CUSIP: 92826C839 | 75.00 | $5,434.50 | $6,360.15 | -$925.65 | $37 50 | 0.11% |
| Walt Disney Company Com<br>CUSIP: 254687106 | 830.00 | $27,738.60 | $24,272.35 | $3,466.25 | $290.50 | 0.57% |
| Weyerhaeuser Company Com<br>CUSIP· 962166104 | 610.00 | $25,973.80 | $29,597.31 | -$3,623 51 | $122.00 | 0 54% |
| YAHOO Inc<br>CUSIP: 984332106 | 885.00 | $13,575.90 | $11,931.03 | $1,644.87 | $0.00 | 0.28% |
| | | $2,043,057.70 | $1,851,898.81 | $191,158.89 | $25,684.47 | 42.14% |
| *ADRs* | | | | | | |
| Allied Wrld Assurance Com Ltshs<br>CUSIP: G0219G203 | 389.00 | $17,469.99 | $16,562.33 | $907.66 | $311.20 | 0.36% |
| ASML Holding Nv-Uy Reg SHS<br>CUSIP: N07059186 | 740.00 | $20,912.40 | $13,782.54 | $7,129.86 | $168 72 | 0.43% |
| Atlas Copco AB<br>CUSIP: 049255805 | 975 00 | $12,567.75 | $11,863.41 | $704.34 | $266.18 | 0 26% |

## Statement of Value and Activity

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| AXA S.A SPD ADR<br>CUSIP: 054536107 | 1,150.00 | $18,975.00 | $29,799.35 | -$10,824 35 | $731.40 | 0.39% |
| BASF Aktiengesllschaft-Level I<br>CUSIP: 055262505 | 320.00 | $16,720.00 | $11,805.60 | $4,914.40 | $517.44 | 0.35% |
| BHP Billiton Ltd<br>CUSIP: 088606108 | 160.00 | $10,374.40 | $10,566.02 | -$191.62 | $265.60 | 0.21% |
| BP PLC ADR<br>CUSIP: 055622104 | 410.00 | $17,609.50 | $26,867.80 | -$9,258.30 | $1,377.60 | 0.36% |
| Canon Inc ADR Repstg 5 SHS<br>CUSIP: 138006309 | 430.00 | $17,578.40 | $14,772.13 | $2,806.27 | $466.55 | 0.36% |
| Carnival Corp Paired CTF 1 Com Carni<br>CUSIP: 143658300 | 375.00 | $13,586.25 | $9,347.17 | $4,239.08 | $150.00 | 0.28% |
| Covidien PLC Usd0 20<br>CUSIP: G2554F105 | 330 00 | $13,988.70 | $11,231.22 | $2,757.48 | $237.60 | 0.29% |
| CRH PLC<br>CUSIP: 12626K203 | 570.00 | $12,916.20 | $19,187.95 | -$6,271.75 | $468.54 | 0.27% |
| Danone<br>CUSIP: 23636T100 | 970.00 | $9,884.30 | $12,522.80 | -$2,638.50 | $195.94 | 0 20% |
| Diageo PLC Spns ADR<br>CUSIP: 25243Q205 | 150.00 | $9,192.00 | $7,284.23 | $1,907.77 | $349.65 | 0.19% |
| Ericsson (Lm)Tel Adr-Each Rep 10 Ord<br>CUSIP: 294821608 | 2,420.00 | $24,296.80 | $22,937.14 | $1,359.66 | $469.48 | 0.50% |
| Glaxo Smithkline<br>CUSIP. 37733W105 | 525.00 | $17,566.50 | $16,937.12 | $629.38 | $1,042.13 | 0.36% |
| Grupo Televisa SA-Sponsored ADR<br>CUSIP: 40049J206 | 580.00 | $10,782.20 | $13,409.60 | -$2,627 40 | $676.28 | 0.22% |

## *Statement of Value and Activity*

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Honda Mtr Ltd ADR New<br>CUSIP: 438128308 | 395.00 | $12,004.05 | $11,570.88 | $433.17 | $151.29 | 0.25% |
| Hong Kong & China Gas Ltd<br>CUSIP: 438550303 | 6,425 00 | $13,749 50 | $11,841.12 | $1,908.38 | $263 43 | 0.28% |
| HSBC Hldgs PLC Sponsored ADR New<br>CUSIP: 404280406 | 145.00 | $6,577.20 | $11,189.59 | -$4,612.39 | $246.50 | 0.14% |
| Hutchinson Whampoa Ltd ADR<br>CUSIP· 448415208 | 280.00 | $8,668.80 | $13,090.00 | -$4,421.20 | $300.16 | 0.18% |
| Icon Pub Ltd Co<br>CUSIP· 45103T107 | 350.00 | $9,733.50 | $9,476.39 | $257.11 | $0.00 | 0.20% |
| ING Groep N V Sponsored ADR<br>CUSIP: 456837103 | 1,717.00 | $13,598.64 | $24,693.14 | -$11,094.50 | $0.00 | 0.28% |
| Koninklijke Philips Electrs N V<br>CUSIP: 500472303 | 530.00 | $15,804.60 | $13,340.40 | $2,464.20 | $420.29 | 0.33% |
| McDermott Intl Inc<br>CUSIP: 580037109 | 945.00 | $20,960.10 | $22,426.63 | -$1,466.53 | $0.00 | 0.43% |
| Mitsubishi UFJ Finl Group Apd ADR<br>CUSIP: 606822104 | 1,555.00 | $7,526.20 | $17,564.30 | -$10,038.10 | $169.50 | 0.16% |
| Nestle SA Spon ADR Repstg Reg<br>CUSIP: 641069406 | 420.00 | $18,958 80 | $12,558.58 | $6,400.22 | $376 74 | 0.39% |
| Nokia Corp Spnsd ADR<br>CUSIP: 654902204 | 1,055.00 | $10,676.60 | $20,673.91 | -$9,997.31 | $370.31 | 0.22% |
| Nomura Hldgs Inc SPD ADR<br>CUSIP· 65535H208 | 1,700.00 | $10,489.00 | $19,598.63 | -$9,109.63 | $71.40 | 0.22% |
| Novartis AG Spons ADR<br>CUSIP: 66987V109 | 870.00 | $39,158 70 | $42,651.92 | -$3,493.22 | $1,438.11 | 0.81% |
| Novo-Nordisk A S<br>CUSIP: 670100205 | 195.00 | $14,979.90 | $6,202.95 | $8,776.95 | $190.91 | 0.31% |

## Statement of Value and Activity

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Orix Corp Spnd ADR<br>CUSIP: 686330101 | 130 00 | $4,971.20 | $13,289.40 | -$8,318.20 | $43.29 | 0.10% |
| Petroleo Brasileiro SA Petrobras<br>CUSIP: 71654V408 | 320.00 | $11,398.40 | $9,539.14 | $1,859.26 | $48.00 | 0.24% |
| Rio Tinto PLC Spn ADR<br>CUSIP: 767204100 | 300.00 | $13,830 00 | $12,094.46 | $1,735.54 | $135.00 | 0.29% |
| Roche Holdings Ltd-Sponsored ADR<br>CUSIP: 771195104 | 485.00 | $16,635.50 | $19,062.09 | -$2,426.59 | $562 60 | 0.34% |
| Sap AG<br>CUSIP: 803054204 | 450.00 | $19,093.50 | $22,279.00 | -$3,185.50 | $214.65 | 0.39% |
| Schlumberger Ltd<br>CUSIP: 806857108 | 410.00 | $23,021.50 | $21,004.06 | $2,017.44 | $344.40 | 0.48% |
| Shire PLC<br>CUSIP: 82481R106 | 260.00 | $15,914 60 | $14,000.53 | $1,914.07 | $88.92 | 0 33% |
| Smith & Nephew P L C SPD ADR New<br>CUSIP: 83175M205 | 295.00 | $13,322.20 | $13,518.47 | -$196.27 | $206.21 | 0.27% |
| Suncor Energy Inc<br>CUSIP 867224107 | 365.00 | $11,341.35 | $11,195.75 | $145.60 | $0.00 | 0.23% |
| Telefonica S A<br>CUSIP. 879382208 | 140.00 | $8,031.80 | $6,974.80 | $1,057.00 | $531.02 | 0.17% |
| Tesco PLC Sponsored ADR<br>CUSIP: 881575302 | 610.00 | $10,803.10 | $13,816.50 | -$3,013.40 | $350.14 | 0.22% |
| TEVA Pharmaceutical Inds ADR<br>CUSIP· 881624209 | 170.00 | $9,319.40 | $0.00 | $9,319.40 | $98.09 | 0 19% |
| Total S.A. ADR<br>CUSIP: 89151E109 | 118 00 | $5,502.34 | $4,001.00 | $1,501.34 | $324.50 | 0.11% |
| Trend Micro Inc ADR<br>CUSIP. 89486M206 | 420 00 | $12,159.00 | $13,363.27 | -$1,204.27 | $372.12 | 0.25% |

### *Statement of Value and Activity*

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Tyco Electronics Ltd Com Chf2.60 CUSIP: H8912P106 | 840.00 | $24,208.80 | $24,114.74 | $94.06 | $537.60 | 0.50% |
| Tyco International Com Usd0.80 CUSIP: H89128104 | 900.00 | $32,571.00 | $28,948.08 | $3,622.92 | $687.60 | 0.67% |
| UBS AG CUSIP: H89231338 | 950 00 | $12,663.50 | $18,767.26 | -$6,103.76 | $0.00 | 0.26% |
| Unilever PLC Spnsrd ADR New CUSIP: 904767704 | 990.00 | $26,769.60 | $29,006.75 | -$2,237.15 | $1,537.47 | 0 55% |
| United Overseas Bk Ltdadr CUSIP: 911271302 | 620.00 | $16,144.80 | $12,431.00 | $3,713.80 | $520.80 | 0 33% |
| Vestas Wind Sys A/S CUSIP. 925458101 | 655.00 | $10,407.95 | $11,253.51 | -$845.56 | $0.00 | 0.21% |
| Vodafone Group SPD ADR Rep 10 Ord SH CUSIP: 92857W209 | 1,840.00 | $36,984.00 | $35,505.18 | $1,478.82 | $2,228.24 | 0.76% |
| Wal-Mart DE Mexico S.A.B DE C V CUSIP: 93114W107 | 605.00 | $13,642.75 | $9,831.25 | $3,811.50 | $346.67 | 0 28% |
| Weatherford Int Ltd Com Usd1 00 CUSIP: H27013103 | 2,720.00 | $38,406.40 | $49,792.19 | -$11,385.79 | $0.00 | 0.79% |
| | | $834,448.67 | $879,543.28 | -$45,094.61 | $20,870.27 | 17.19% |
| *Real Estate Investment Trusts* | | | | | | |
| Lasalle Hotel Pptys CUSIP: 517942108 | 399.00 | $8,977 50 | $3,120.69 | $5,856.81 | $813.96 | 0 19% |
| | | $8,977.50 | $3,120.69 | $5,856.81 | $813.96 | 0.19% |
| Total Common Equity Securities | | $2,886,483.87 | $2,734,562.78 | $151,921.09 | $47,368.70 | 59.52% |

### *Statement of Value and Activity*

*May 1, 2010 - May 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *Cash Equivalents* | | | | | | |
| *Taxable Money Market Funds* | | | | | | |
| Western Asset/Citi Inst U S Treasury CUSIP: 52470G841 | 232,489.73 | $232,489.73 | $232,489.73 | $0.00 | $45.87 | 4.80% |
| Western Asset/Citi Inst U S Treasury CUSIP: 52470G841 | 15,609.08 | $15,609.08 | $15,609.08 | $0.00 | $3.08 | 0.32%(I) |
| | | $248,098.81 | $248,098.81 | $0.00 | $48.95 | 5.12% |
| *U.S. Treasury Bills* | | | | | | |
| U S Treas Bills 8/05/10 CUSIP: 912795V24 | 127,000.00 | $126,966.98 | $126,959.74 | $7.24 | $193.35 | 2.62% |
| | | $126,966.98 | $126,959.74 | $7.24 | $193 35 | 2.62% |
| Total Cash Equivalents | | $375,065.79 | $375,058.55 | $7.24 | $242.30 | 7.74% |
| **Total All Assets** | | **$4,845,734.49** | **$4,647,803.28** | **$197,931.21** | **$105,792.25** | **100.00%** |

***Your holdings are valued using the most current prices available to us. For Alternative Investments, unrealized gain/ loss and original tax cost (excluding acquisition costs) are shown for information purposes only and do not reflect any adjustments necessitated by annual K-1 forms. Consult your tax advisor regarding use of this information. Estimated income for Alternative Investments and certain non-publicly traded Partnerships cannot be accurately forecasted and is therefore not listed.***

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545-1709

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box . . . . . . . . . . . . . . . . ▶ [X]
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing *(e-file)*.** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

| **Type or print** | Name of Exempt Organization 39-407052574375 J.A. & H.G. WOODRUFF, JR. CHARITABLE TRUST | **Employer identification number** 65-6246750 |
|---|---|---|
| File by the due date for filing your return See instructions | Number, street, and room or suite no If a P.O. box, see instructions 666 5TH AVENUE - FLOOR 12B | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10103 | |

**Check type of return to be filed** (file a separate application for each return):

| | | |
|---|---|---|
| [ ] Form 990 | [ ] Form 990-T (corporation) | [ ] Form 4720 |
| [ ] Form 990-BL | [ ] Form 990-T (sec. 401(a) or 408(a) trust) | [ ] Form 5227 |
| [ ] Form 990-EZ | [ ] Form 990-T (trust other than above) | [ ] Form 6069 |
| [X] Form 990-PF | [ ] Form 1041-A | [ ] Form 8870 |

- The books are in the care of ▶ CITIBANK, N.A.

Telephone No. ▶ (800)770-8444 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . ▶ [ ] . If it is for part of the group, check this box . . ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 01/17,2011 , to file the exempt organization return for the organization named above. The extension is for the organization's return for.

▶ [ ] calendar year ______ or
▶ [X] tax year beginning 06/01, 2009 , and ending 05/31, 2010 .

**2** If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | **3a** | $ 2,800. |
| **b** | If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | **3b** | $ 1,038. |
| **c** | **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions **PAID BY EFTPS | **3c** | $ 1,762. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**For Privacy Act and Paperwork Reduction Act Notice, see Instructions.** Form **8868** (Rev. 4-2009)