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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2009

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 6/01, 2009, and ending 5/31, 2010

G Check all that apply: Initial return Initial Return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label otherwise, print or type See Specific Instructions	THE GREENFIELD FOUNDATION, INC. PO BOX 187 FORT WASHINGTON, PA 19034	A Employer identification number 65-0301946
		B Telephone number (see the instructions) 215-603-8822
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		C If exemption application is pending, check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 11,676,097.		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (att sch)				
2	Check <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	291,872.	291,872.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain/(loss) from sale of assets not on line 10	-70,997.			
b	Gross sales price for all assets on line 6a	533,975.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain		0.		
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit/(loss) (att sch)				
11	Other income (attach schedule) SEE STATEMENT 1	2,853.	2,853.		
12	Total. Add lines 1 through 11	223,728.	294,725.	0.	
13	Compensation of officers, directors, trustees, etc	79,000.	59,250.		19,750.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach sch)				
c	Other professional fees (attach sch)				
17	Interest				
18	Taxes (attach schedule) (see instr.) SEE STM 2	61.			
19	Depreciation (attach sch) and depletion				
20	Occupancy	460.	460.		
21	Travel, conferences, and meetings	6,576.	6,576.		
22	Printing and publications				
23	Other expenses (attach schedule) SEE STATEMENT 3	756.	756.		
24	Total operating and administrative expenses. Add lines 13 through 23	86,853.	67,042.		19,750.
25	Contributions, gifts, grants paid PART XV	651,600.			651,600.
26	Total expenses and disbursements. Add lines 24 and 25	738,453.	67,042.	0.	671,350.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-514,725.			
b	Net investment income (if negative, enter -0-)		227,683.		
c	Adjusted net income (if negative, enter -0-)			0.	

SCANNED OCT 28 2010
REVENUE
EXPENSES

RECEIVED
OCT 25 2010
DENVER

P 4

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	77,562.	17,701.	17,701.
	2 Savings and temporary cash investments	343,165.	270,611.	270,611.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less. allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)	1,390,762.	1,390,762.	1,500,777.
	b Investments – corporate stock (attach schedule)			
	c Investments – corporate bonds (attach schedule)	210,420.	137,661.	134,877.
	11 Investments – land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments – mortgage loans				
13 Investments – other (attach schedule) STATEMENT 4	10,384,355.	10,077,086.	9,735,804.	
14 Land, buildings, and equipment basis				
Less. accumulated depreciation (attach schedule)				
15 Other assets (describe SEE STATEMENT 5)	17,870.	16,327.	16,327.	
16 Total assets (to be completed by all filers – see instructions Also, see page 1, item l)	12,424,134.	11,910,148.	11,676,097.	
LIABILITIES	17 Accounts payable and accrued expenses	7,094.	7,833.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	7,094.	7,833.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>			
	24 Unrestricted	12,417,040.	11,902,315.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	12,417,040.	11,902,315.	
	31 Total liabilities and net assets/fund balances (see the instructions)	12,424,134.	11,910,148.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,417,040.
2 Enter amount from Part I, line 27a	2	-514,725.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	11,902,315.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	11,902,315.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 6			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	-70,997.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8]	3	-316.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	641,958.	11,067,797.	0.058002
2007	861,153.	15,271,347.	0.056390
2006	838,928.	15,108,365.	0.055527
2005	671,128.	12,869,032.	0.052151
2004	604,574.	10,153,005.	0.059546

2 Total of line 1, column (d)	2	0.281616
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.056323
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	11,290,553.
5 Multiply line 4 by line 3	5	635,918.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,277.
7 Add lines 5 and 6	7	638,195.
8 Enter qualifying distributions from Part XII, line 4	8	671,350.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,277.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,277.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,277.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6 a	5,418.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	5,418.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,141.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> 3,141. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
<i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ <u>JILL GREENFIELD FELDMAN</u> Telephone no ▶ <u>215-654-1938</u> Located at ▶ <u>PO BOX 187 FORT WASHINGTON PA</u> ZIP + 4 ▶ <u>19034</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		N/A	▶ <input type="checkbox"/> N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? ▶ <input type="checkbox"/>	1 b	N/A
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20__ , 20__ , 20__ , 20__		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20__ , 20__ , 20__ , 20__		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3 b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

	5b	N/A
	6b	X
	7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		79,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services -- (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1 a	11,307,488.
b	Average of monthly cash balances	1 b	155,002.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	11,462,490.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,462,490.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	171,937.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,290,553.
6	Minimum investment return. Enter 5% of line 5	6	564,528.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	564,528.
2a	Tax on investment income for 2009 from Part VI, line 5	2 a	2,277.
b	Income tax for 2009 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	2,277.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	562,251.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	562,251.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	562,251.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	671,350.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	671,350.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,277.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	669,073.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				562,251.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	140,528.			
b From 2005	35,448.			
c From 2006	92,202.			
d From 2007	115,820.			
e From 2008	96,132.			
f Total of lines 3a through e	480,130.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 671,350.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2009 distributable amount				562,251.
e Remaining amount distributed out of corpus	109,099.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	589,229.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions.		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	140,528.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	448,701.			
10 Analysis of line 9				
a Excess from 2005	35,448.			
b Excess from 2006	92,202.			
c Excess from 2007	115,820.			
d Excess from 2008	96,132.			
e Excess from 2009	109,099.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a 'Assets' alternative test — enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 8				
Total				▶ 3a 651,600.
b Approved for future payment				
Total				▶ 3b

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
LITIGATION SETTLEMENTS	\$ 2,853.	\$ 2,853.	
TOTAL	\$ 2,853.	\$ 2,853.	0.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEES	\$ 61.			
TOTAL	\$ 61.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	\$ 756.	\$ 756.		
TOTAL	\$ 756.	\$ 756.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT SECURITIES	COST	\$ 1,390,762.	\$ 1,500,777.
		\$ 1,390,762.	\$ 1,500,777.
TOTAL		\$ 1,390,762.	\$ 1,500,777.

STATEMENT 5
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CORPORATE SECURITIES	COST	\$ 137,661.	\$ 134,877.
	TOTAL	<u>\$ 137,661.</u>	<u>\$ 134,877.</u>

STATEMENT 6
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER PUBLICLY TRADED SECURITIES</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
MUTUAL FUNDS	COST	\$ 10,077,086.	\$ 9,735,804.
	TOTAL	<u>\$ 10,077,086.</u>	<u>\$ 9,735,804.</u>

STATEMENT 7
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

<u>ACCRUED INTEREST RECEIVABLE</u>		<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
	TOTAL	\$ 16,327.	\$ 16,327.
		<u>\$ 16,327.</u>	<u>\$ 16,327.</u>

STATEMENT 8
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

<u>ITEM</u>	<u>(A) DESCRIPTION</u>	<u>(B) HOW ACQUIRED</u>	<u>(C) DATE ACQUIRED</u>	<u>(D) DATE SOLD</u>
1	5000 BALL CORP	PURCHASED	12/23/2003	4/21/2010
2	5000 CONSTELLATION BRANDS	PURCHASED	7/29/2009	2/25/2010
3	5000 CORRECTIONS CORP AMERICA	PURCHASED	8/01/2003	7/06/2009
4	10000 CSC HOLDINGS, INC	PURCHASED	8/11/2003	7/15/2009
5	1000 DIRECT TV HOLDINGS, LLC	PURCHASED	10/07/2003	10/23/2009
6	5000 DIRECT TV HOLDINGS, LLC	PURCHASED	11/07/2003	10/23/2009
7	5000 L-3 COMMUNICATIONS CORP	PURCHASED	8/01/2003	11/02/2009
8	5000 L-3 COMMUNICATIONS CORP	PURCHASED	10/01/2003	11/02/2009
9	5000 PARK PLACE ENTERTAINMENT	PURCHASED	7/29/2003	9/01/2009
10	2000 RENT-A-CTR, INC	PURCHASED	9/29/2003	7/28/2009
11	5000 SHAW COMMUNICATIONS	PURCHASED	7/25/2003	10/20/2009
12	5000 SMITHFIELD FOODS	PURCHASED	7/25/2003	10/15/2009
13	5000 SMITHFIELD FOODS	PURCHASED	9/23/2003	10/15/2009
14	5000 UNISYS CORP	PURCHASED	7/25/2003	3/15/2010
15	3738 DREYFUS MID CAP	PURCHASED	1/01/2001	6/23/2009
16	7973 SPARTAN INTL INDEX FUND	PURCHASED	1/01/2001	6/23/2009

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	5,057.		5,233.	-176.				\$ -176.
2	5,000.		5,316.	-316.				-316.
3	5,000.		5,188.	-188.				-188.
4	10,000.		9,809.	191.				191.
5	1,028.		1,135.	-107.				-107.
6	5,140.		5,692.	-552.				-552.
7	5,064.		5,386.	-322.				-322.
8	5,064.		5,525.	-461.				-461.
9	5,000.		5,579.	-579.				-579.
10	2,000.		2,165.	-165.				-165.
11	5,622.		5,340.	282.				282.
12	5,000.		5,511.	-511.				-511.
13	5,000.		5,588.	-588.				-588.
14	5,000.		5,294.	-294.				-294.
15	65,000.		94,528.	-29,528.				-29,528.
16	400,000.		437,683.	-37,683.				-37,683.
								TOTAL \$ -70,997.

STATEMENT 9
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
RONALD FELDMAN PO BOX 187 FORT WASHINGTON, PA 19034	TRUSTEE 10.00	\$ 7,000.	\$ 0.	\$ 0.
WILLIAM GREENFIELD PO BOX 187 FORT WASHINGTON, PA 19034	PRESIDENT 10.00	14,000.	0.	0.
CHARLES KAHN PO BOX 187 FORT WASHINGTON, PA 19034	VICE PRESIDENT 2.00	2,500.	0.	0.
CLAUDIA CLEARY PO BOX 187 FORT WASHINGTON, PA 19034	TRUSTEE 2.00	4,000.	0.	0.
EMILY CLARK PO BOX 187 FORT WASHINGTON, PA 19034	TRUSTEE 2.00	4,000.	0.	0.

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
JILL FELDMAN PO BOX 187 FORT WASHINGTON, PA 19034	TREASURER 20.00	\$ 24,000.	\$ 0.	\$ 0.
MICHAEL GREENFIELD PO BOX 187 FORT WASHINGTON, PA 19034	TRUSTEE 2.00	10,000.	0.	0.
WILLIAM EPSTEIN PO BOX 187 FORT WASHINGTON, PA 19034	TRUSTEE 2.00	4,000.	0.	0.
JOAN GREENFIELD PO BOX 187 FORT WASHINGTON, PA 19034	TRUSTEE 2.00	5,500.	0.	0.
BEN GREENFIELD PO BOX 187 FORT WASHINGTON, PA 19034	TRUSTEE 2.00	4,000.	0.	0.
		TOTAL \$ 79,000.	\$ 0.	\$ 0.

STATEMENT 10
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
HARVARD UNIVERSITY 79 F. KENNEDY STREET CAMBRIDGE, MA 02138	NONE	501C 3	TO FUND GOLDSMITH INVESTIGATIVE AWARDS	\$ 305,000.
HERMITAGE ARTIST RETREAT, INC. 6650 MANASOTA KEY ROAD ENGLEWOOD, FL 34223	NONE	501C 3	TO FUND AN AWARD FOR ARTISTS.	126,500.
TEMPLE UNIVERSITY 1515 MARKET STREET PHILADELPHIA, PA 19102	NONE	501C 3	TO FUND EMERGENCY ACTION CORPS	140,000.
YMCA METRO ST. LOUIS 3820 WEST PINE BOULEVARD ST. LOUIS, MO 63108	NONE	501C 3	TO FUND YOUTH EDUCATION PROGRAMS.	5,000.

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
UPPER DUBLIN SCHOOL DISTRICT 1580 FORT WASHINGTON AVE MAPLE GLEN, PA 19002	NONE	501C 3	TO FUND THE GREENFIELD YOUTH FILM AND VARIOUS SCHOOL DISTRICT PROJECTS.	\$ 75,000.
CARINGBRIDGE PO BOX 131447 HOUSTON, TX 77219	NONE	501C 3	IN MEMORY OF SUSAN TIFFT	100.
			TOTAL \$	<u>651,600.</u>

For prior grant history click here

GUIDELINES FOR GRANT PROPOSALS

To assist you through the process of applying for a grant, when application is made to the Foundation, all required documentation (Items 1-20 attached) must be submitted in the quantities indicated. This information will not be returned to the applicant.

Foundation funding should be considered part of the strategic plan of your organization. Advanced planning on any request is beneficial because you can then contact the Foundation office with any clarifying questions well ahead of the deadline.

Generally the Foundation will *not* provide grants for:

- Endowments, deficit financing, debt reduction, or ordinary operating expenses
- Conferences, seminars, workshops, travel, surveys, advertising, fund-raising costs or research
- Annual giving campaigns
- Individuals
- Projects that have already been completed

There is no need to have an appointment to discuss your grant prior to application, but you are encouraged to contact the Foundation office if you have any questions.

The Foundation has a firm deadline date which means that the application must be in the Foundation Office before 5:00 p.m. EST on that day. Postmarks and electronic transmittals are not acceptable. If the deadline falls on a weekend or a holiday, the deadline is advanced to the next business day. All information required in an application must be complete at time of submittal. Applicants are encouraged to submit their completed applications in advance of the deadline.

When evaluating the application, the grant-making body attempts to determine the value of the project, the soundness of the sponsoring organization and the assurance of future maintenance and funding of the project.

Applicants will be notified in writing of the acceptance or rejection of their request.

After the grant is made, the organization is required to complete a Final Report of Grant Expenditure (sample below) with certain financial and programmatic information. Future grant requests will not be considered if reports on previous grants are not completed.

REQUIRED DOCUMENTATION

*No more than five (5) pages - Font size no smaller than 11
Do not include a cover page.*

Please use 8 1/2" x 11" paper using the number and letter sequence shown below for identification purposes. Each copy of Items 1-10 are to be paper clipped together (no staples). Please do not bind copies or put into folders. If a question is not applicable to your grant request, please list the number of the question and state "NA".

ITEMS 1-11 (Ten [10] copies) <i>Items 1 - 9 should be no more than five (5) pages total. Item 10 may be a separate page.</i>	ITEMS 12-21 (One [1] copy)
<ol style="list-style-type: none"> 1 Legal name of organization (name on IRS exemption letter) 2 Physical address and mailing address 3 Contact person, title (including e-mail address, if available) 4 Telephone number 5 Fax number and web site address 6 Amount of money requested for this grant application and total cost of the project 7 Using no more than three (3) sentences, describe how grant funds will be used 8 Mission of organization, primary goals, and recent major accomplishments of the organization. 9 Project description (description must, at a 	<ol style="list-style-type: none"> 12. If applicable, letters of agreement from collaborating organization(s). 13. Quotations from three separate sources for any individual item or system over \$1,000 requested in the grant and outlined in the budget 14. Amount of your agency's endowment, if any; AND amount of endowment of affiliated organization and/or foundation, if any 15. Where applicable, the percent of your annual budget that goes to your national affiliate. Will any percent of THIS grant go to your national affiliate? 16. A copy of your organization's audit or

<p>minimum, address the following):</p> <p>A Number of clients to be served by this grant</p> <p>B The community need met by the proposal</p> <p>C What other local agencies or services address the same problem(s) and how they do so—a statement of how your approach differs from or augments these services</p> <p>D Plan for output measurement: the direct product of program operation (e.g. # of classes taught, # of participants served)</p> <p>E Plan for outcomes measurement: the benefit to participants during or after participating in the program</p> <p>F. A timetable and/or work plan for the project</p> <p>G If your project/program will cost more than you are requesting from us, indicate how you will fund the balance. Show any in-kind support, other grants applied for and/or funds already received on your project budget.</p>	<p>statement of income and expenses for the previous two years AND most recent 990 if applicable</p> <p>17. Current annual agency budget with year to date actual and variances (revenue and expenses). Include next year's budget if available.</p> <p>18. A copy of the organization's most recent 501(c)(3) determination letter, which is the IRS letter of exemption from federal income tax (not state sales tax exemption certificate) or other proof of federal tax-exempt status</p> <p>19. A list of the Board of Directors, their addresses and occupations</p> <p>20. A letter signed by the President/ Chairman of the Board authorizing submission of the proposal.</p> <p>21. Name of trustee inviting organization to apply.</p> <p>Note: If applicant is a foundation supporting a non-profit organization, Item # 8 should be answered for both foundation and organization. Items # 15, 16, 17 and 18 should be for both the foundation and the organization.</p>
<p>H. If the program is ongoing, indicate a plan for continuing to fund the project after the initial grant period is over.</p> <p>10. A line item budget for the project in which you are applying. Include both revenue and expenses (sample format attached and on website)</p> <p>11. Board members have a personal responsibility to contribute financially to the organization. During the current fiscal year, how many board members have contributed? What percentage of the board has contributed? What is the dollar range of gifts and total amount contributed?</p>	

For printable budget forms, download the attached forms in PDF format

Sample Capital Budget

Sample Program Budget

Download Adobe Acrobat Reader



Final Report of Grant Expenditure

A final report of grant expenditure must be completed and returned to the The Goldsmith-Greenfield Foundation office as soon as all funds have been expended or by *<due date will be inserted here>*, whichever comes first. If the organization wishes to extend the grant period, it must secure permissions to do so by writing to the Foundation at the address below.

Include the following information in the Final Report of Grant Expenditure:

1. Organization Name, address, email address and website address
2. Grant Number
3. Amount of Grant
4. Description of Grant
5. Name and telephone number of person administering the grant
6. A financial accounting of how the funds were expended, in addition to copies of receipts and invoices over \$500. This should be presented in the form of your budget as shown in the original grant request. It should include Income and Expenses both Projected and Actual
7. Outcomes Measurement is a tool all agencies should use to ensure effectiveness of their programs. You must refer to the outcomes as listed in the grant contract and explain how this grant did or did not meet the outcomes

Program/project goal: A broad statement that describes what you accomplished with the funding

Outputs: Direct products of program/project operation (e.g. number of classes taught, number of participants)

Outcomes: Benefit to participants during or after participation in the program/project.

8. Provide an explanation of changes you are making or considering as a result of analyzing your outcomes measurement data
9. A candid assessment of the strengths and weaknesses of the project. This evaluation will help us as a Foundation improve our grantmaking
10. If there was a specific stipulation in the Grant Contract such as requiring a naming opportunity or requiring a match, please explain how you have met that stipulation
11. Publicity generated from or for your organization about this award, if applicable (enclose newspaper clippings, newsletter articles, photos, etc.)
12. Name of trustee who invited you to apply for the grant

Completing this Final Report is a very important responsibility of a grantee. An incomplete, late, or missing report may delay opportunities to apply for grants in the future.

Return to Foundations home page

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Sarasota, Florida 34236
941.957.0442