Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2009

ENVELOPE POSTMARK DATE OCT 06 2010

SCANNED OCT 19 2010

For calendar year 2009, or tax year beginning 06/01 , 2009, and ending 05/31, 2010

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE BALLMANN FAMILY PRIVATE FOUNDATION

Number and street (or P O. box number if mail is not delivered to street address) / Room/suite: P.O. BOX 387

City or town, state, and ZIP code: ST. LOUIS, MO 63166

A Employer identification number: 43-6466750

B Telephone number (see page 10 of the instructions): (314) 418-2643

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 25,509,287.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ____________
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	796,485.	796,272.	213.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-835,890.			
	b	Gross sales price for all assets on line 6a 16,178,599.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	-39,405.	796,272.	213.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	77,472.	38,736.		38,736.
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 1	16,140.	NONE	NONE	16,140.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) STMT 2	19,700.	4,039.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	113,312.	42,775.	NONE	54,876.
	25	Contributions, gifts, grants paid	994,990.			994,990.
	26	Total expenses and disbursements Add lines 24 and 25	1,108,302.	42,775.	NONE	1,049,866.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-1,147,707.			
	b	**Net investment income** (if negative, enter -0-)		753,497.		
	c	**Adjusted net income** (if negative, enter -0-)			213.	

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16a

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,844.	-7,916.	-7,916.
	2 Savings and temporary cash investments	691,705.	212,476.	212,476.
	3 Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STMT 3	23,249,410.	21,891,693.	24,619,202.
	c Investments - corporate bonds (attach schedule) STMT 10		700,000.	685,525.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	23,943,959.	22,796,253.	25,509,287.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
	27 Capital stock, trust principal, or current funds	23,943,959.	22,796,253.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see page 17 of the instructions)	23,943,959.	22,796,253.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	23,943,959.	22,796,253.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,943,959.
2	Enter amount from Part I, line 27a	2	-1,147,707.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 11	3	1.
4	Add lines 1, 2, and 3	4	22,796,253.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	22,796,253.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a	SEE PART IV DETAIL			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	-835,890.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2008	1,061,950.	22,870,314.	0.04643355574
2007	1,533,802.	29,252,669.	0.05243289082
2006	1,493,521.	28,756,895.	0.05193610089
2005	1,418,727.	27,834,741.	0.05096964976
2004	1,256,589.	26,790,260.	0.04690469596

2	**Total** of line 1, column (d)	2	0.24867689317
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.04973537863
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	24,764,179.
5	Multiply line 4 by line 3	5	1,231,656.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,535.
7	Add lines 5 and 6	7	1,239,191.
8	Enter qualifying distributions from Part XII, line 4	8	1,049,866.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	15,070.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	15,070.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	15,070.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	15,230.
b	Exempt foreign organizations-tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	15,230.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	160.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 160. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶$ ________ (2) On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 12			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US BANK NA Telephone no. ▶ (314)418-2643

Located at ▶ PO BOX 387, ST LOUIS, MO ZIP + 4 ▶ 63166

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ________, ________, ________, ________				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ________, ________, ________, ________				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		77,472.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions. 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	25,141,298.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	25,141,298.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) [1e]		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	25,141,298.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	377,119.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	24,764,179.
6	**Minimum investment return.** Enter 5% of line 5	6	1,238,209.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,238,209.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	15,070.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	15,070.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,223,139.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	1,223,139.
6	Deduction from distributable amount (see page 25 of the instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,223,139.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,049,866.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,049,866.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,049,866.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,223,139.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			NONE	
b Total for prior years 20 07 ,20 ,20		NONE		
3 Excess distributions carryover, if any, to 2009				
a From 2004 . . . NONE				
b From 2005 . . . NONE				
c From 2006 . . . 59,384.				
d From 2007 . . . 93,243.				
e From 2008 . . . NONE				
f Total of lines 3a through e	152,627.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 1,049,866.				
a Applied to 2008, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2009 distributable amount				1,049,866.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	152,627.			152,627.
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions			NONE	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				20,646.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2005 . . . NONE				
b Excess from 2006 . . . NONE				
c Excess from 2007 . . . NONE				
d Excess from 2008 . . . NONE				
e Excess from 2009 . . . NONE				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 26				
Total . . . . ▶ **3a**				994,990.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	796,485.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-835,890.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				-39,405.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 -39,405.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

John F. Looze | 06/23/2010 | TRUSTEE

Signature of officer or trustee U.S. BANK NA | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code		EIN	Phone no.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	16,140.			16,140.
TOTALS	16,140.	NONE	NONE	16,140.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAXES	15,661.	
FOREIGN TAXES PAID	4,039.	4,039.
TOTALS	19,700.	4,039.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
FIRST AMER CORE BOND FD		
MARATHON OIL CORP	180,480.	161,668.
SCHLUMBERGER LTD	145,775.	140,375.
STAPLES INC	122,500.	107,600.
PEPSICO INC	235,097.	251,560.
ABBOTT LABS	219,767.	237,800.
WYETH		
GOLDMAN SACHS GROUP INC	143,540.	144,260.
JP MORGAN CHASE & CO	251,198.	241,438.
HEWLETT PACKARD CO	165,838.	230,050.
ECOLAB INC	248,402.	259,765.
APACHE CORP	162,642.	223,850.
CHEVRON CORP	193,739.	221,610.
CVS/CAREMARK CORP	103,532.	103,890.
PROCTER & GAMBLE CO	236,540.	244,360.
AT& T INC	299,664.	243,000.
RAYTHEON CO WT		
APPLE INC	168,057.	642,200.
CISCO SYS INC	155,625.	173,700.
CARPENTER TECHNOLOGY CORP		
BE AEROSPACE INC	7,956.	23,350.
GARDNER DENVER INC	11,053.	13,844.
MWI VETERINARY SUPPLY INC	11,935.	16,663.
TELEDYNE TECHNOLOGIES INC	10,291.	11,282.
WMS INDS INC	8,296.	13,899.
WOODWARD GOVERNOR CO	14,387.	22,558.
BRIGHTPOINT INC	16,053.	20,366.
JACK IN THE BOX INC		
MIDDLEBY CORP	19,014.	16,048.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PHILLIPS VAN HEUSEN CORP	17,019.	16,693.
J & J SNACK FOODS CORP		
VCA ANTECH INC		
ENTERTAINMENT PPTYS TR	11,254.	13,551.
ATLANTIC TELE-NETWORK INC		
AMEDISYS INC	18,034.	19,391.
EMERGENCY MED SVCS CORP	10,226.	16,660.
ADC TELECOMMUNICATIONS INC		
AVOCENT CORP		
COMMSCOPE INC		
DIGITAL RIVER INC	18,713.	9,336.
MICROS SYS INC	11,742.	14,809.
ANIXTER INTL INC		
BELDEN INC		
CITY HLDG CO	11,342.	10,461.
COMTECH TELECOMMUNICATIONS	17,748.	13,944.
DECKERS OUTDOOR CORP	8,374.	12,301.
FOSSIL INC	6,881.	8,625.
GULFMARK OFFSHORE INC		
HORNBECK OFFSHORE SERVICES		
HURON CONSULTING GROUP INC		
ICU MED INC	13,017.	13,205.
INTERDIGITAL INC		
J2 GLOBAL COMMUNICATIONS INC	8,832.	9,071.
LMI AEROSPACE INC		
MARINER ENERGY INC		
MARVEL ENTERTAINMENT INC		
NET 1 UEPS TECHNOLOGIES INC	10,452.	8,964.
PROASSURANCE CORPORATION	11,878.	13,656.

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PSYCHIATRIC SOLUTIONS INC		
SILIGAN HLDGS INC	14,509.	16,034.
SUN HEALTHCARE GROUP INC		
SVB FINL GROUP	4,170.	10,901.
TUPPERWARE BRANDS CORP	9,351.	12,874.
VECTREN CORPORATION	14,785.	12,954.
WATSON WYATT WORLDWIDE INC		
ACME PACKET INC		
ALPHA NATURAL RESOURCES INC		
AMGEN INC	203,225.	181,230.
ARRIS GROUP INC		
AZZ INCORPORATED		
BANCORPSOUTH INC		
BANK OF HAWAII CORP	16,204.	14,265.
BERRY PETE CO	7,775.	13,679.
BIOMED REALTY TRUST INC	4,139.	11,074.
BRANDYWINE REALTY TRUST	5,983.	17,095.
BWAY HOLDING COMPANY		
CAPELLA EDUCATION CO		
COMCAST CORP	129,986.	135,675.
COMPLETE PRODUCTION SERVICES		
CRANE CO	17,968.	14,151.
CUBIST PHARMACEUTICALS INC	13,907.	14,556.
DAKTRONICS INC		
DISNEY WALT CO	181,390.	250,650.
DREAMWORKS ANIMATION SKG INC	8,390.	10,926.
DUPONT FABROS TECHNOLOGY	4,783.	14,558.
EMERSON ELEC CO	245,491.	348,300.
ENERSYS	8,412.	12,533.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ENGLOBAL CORP		
FLUSHING FINANCIAL CORPORATION	7,538.	14,407.
GENOPTIX INC	14,957.	12,983.
GILEAD SCIENCES INC		
GLACIER BANCORP INC NEW	13,879.	10,563.
GT SOLAR INTERNATIONAL INC		
HARMONIC INC	18,722.	14,145.
HARRIS STRATEX NETWORKS		
HAWAIIAN HLDGS INC	11,751.	14,012.
HOT TOPIC INC		
ITT CORPORATION		
IBM CORP	170,960.	250,520.
INTREPID POTASH INC		
KBR INC		
MEDNAX INC	15,661.	15,325.
NOVELL INC		
NTELOS HOLDINGS CORP		
OMNICOM GROUP INC		
OPLINK COMMUNICATIONS INC	9,377.	12,258.
ORACLE CORPORATION	226,494.	282,125.
OSI PHARMACEUTICALS INC		
RAYTHEON COMPANY	124,925.	131,025.
RED ROBIN GOURMET BURGERS		
SPX CORP		
SEACHANGE INTERNATIONAL INC		
SL GREEN RLTY CORP		
ST JUDE MED INC	143,156.	149,360.
STATE STR CORP	125,930.	114,510.
SYKES ENTERPRISES INC	16,019.	15,689.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SYNAPTICS INC		
SYNIVERSE HLDGS INC	13,791.	16,208.
TJX COS INC		
TELE COMMUNICATIONS SYSTEMS	11,858.	7,971.
TELDYNE TECHNOLOGIES INC		
TITAN MACHY INC		
TRUE RELIGION APPAREL INC		
WELLS FARGO CO	112,585.	114,760.
WET SEAL INC	7,574.	10,086.
WILSHIRE BANCORP INC	13,353.	9,590.
ACCENTURE LTD		
ACE LTD		
IPC HLDGS LTD		
PLATINUM UNDERWRITER HLDGS	8,670.	9,276.
I SHARES MSCI EAFE INDEX FUND	886,400.	966,400.
I SHARES S&P SMALLCAP 600		
MIDCAP SPDR TRUST SER 1	1,049,478.	1,496,988.
PIMCO TOTAL RETURN FUND	10,250,000.	10,877,151.
ATP OIL & GAS CORPORATION	14,044.	6,597.
AIR PRODS CHEMICALS INC	170,978.	172,650.
AIRTRAN HLDGS INC	8,712.	8,189.
ALLERGAN INC	94,646.	120,380.
AMERICAN ITALIAN PASTA CO	12,429.	15,720.
AMERICAN MED SYS HLDGS INC	14,595.	15,431.
AMTRUST FINANCIAL SERVICES	13,965.	14,131.
BIG 5 SPORTING GOODS CORP	8,719.	7,291.
BLACKROCK INC	233,538.	209,850.
BRIDGEPOINT EDUCATION INC	9,393.	11,475.
CINEMARK HLDGS INC	18,218.	15,574.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CITI TRENDS INC	6,564.	8,895.
COINSTAR INC	9,877.	17,869.
CONOCOPHILLIPS	191,919.	207,440.
DIAMONDROCK HOSPITALITY CO	9,184.	9,113.
EVERCORE PARTNERS INC	13,157.	14,344.
EXPRESS SCRIPTS INC	193,373.	301,800.
EXXON MOBIL CORP	182,450.	151,150.
FAIRCHILD SEMICON INTL	14,645.	14,660.
FASTENAL CO	108,119.	151,320.
FLOUR CORP	150,880.	140,760.
FREEPORT MCMORAN COPPER GOLD	211,668.	280,200.
GENERAL MILLS INC	184,205.	249,305.
GEOEYE INC	15,563.	18,490.
GLIMCHER REALTY TRUST	5,115.	9,500.
GRAFTECH INTL LTD	17,215.	18,719.
HANGER ORTHOPEDIC GROUP INC	15,494.	15,192.
HHGREGG INC	20,385.	29,740.
HJ HEINZ CO	130,691.	154,630.
IBERIABANK CORPORATION	12,050.	11,755.
IDACORP INC	13,640.	14,046.
INTERACTIVE INTELLIGENCE INC	14,354.	12,443.
INTERNATIONAL COAL GROUP INC	13,317.	12,613.
JAMES RIV COAL CO	14,462.	13,634.
JO ANN STORES INC	13,368.	16,262.
JOHNSON JOHNSON	140,531.	145,750.
KOPPERS HLDGS INC	8,020.	7,341.
LAKELAND FINANCIAL CORP	6,997.	6,868.
LSB INDS INC	10,753.	10,088.
MAGELLAN HEALTH SVCS INC	16,524.	16,317.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MASTEC INC	12,561.	12,886.
MCDONALDS CORP	211,922.	234,045.
MEADOWBROOK INS GROUP INC	8,534.	9,898.
NCI INC	13,705.	10,882.
NEWMARKET CORP	15,836.	15,341.
NORFOLK SOUTHN CORP	121,087.	169,380.
PERICOM SEMICONDUCTOR CORP	16,694.	15,365.
PFIZER INC	87,978.	76,500.
REHABCARE GROUP INC	11,721.	14,283.
RENASANT CORP	6,646.	6,271.
ROCK TENN CO	15,343.	16,313.
SCHULMAN A INC	13,248.	14,402.
SIGNATURE BK	11,024.	9,801.
SKYWORKS SOLUTIONS INC	9,019.	11,231.
SOLUTIA INC	16,175.	14,438.
SONIC WALL INC	15,540.	16,598.
SOUTHWESTERN ENERGY CO	98,375.	94,025.
TEXAS ROADHOUSE INC	13,710.	13,417.
TRIQUINT SEMOCONDUCTOR INC	14,403.	13,415.
VEECO INSTRS INC	20,064.	20,459.
WAL MART STORES INC	199,954.	202,240.
CARNIVAL CORP	202,345.	271,725.
HARBIN ELECTRIC INC	12,078.	15,455.
LULULEMON ATHLETICA INC	9,811.	12,707.
TEVA PHARMACEUTICAL INDS LTD	185,101.	219,280.
ISHARES MSCI EMERGING MKTS ETF	513,600.	571,500.
SPDR GOLD TRUST	241,023.	297,203.
TOTALS	21,891,693.	24,619,202.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ROYAL BANK OF CANADA 6/8/10	250,000.	248,125.
JPMORGAN CHASE 18.37% 11/24/10	250,000.	250,000.
RBC 4YR GSCI COMMOD 8/30/13	200,000.	187,400.
TOTALS	700,000.	685,525.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
COST ADJ		1.
	TOTAL	1.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

STATE(S) WHERE THE FOUNDATION IS REGISTERED
MO

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
US BANK NA
ADDRESS:
PO BOX 387
ST LOUIS, MO 63166
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0
COMPENSATION .... 77,472.

TOTAL COMPENSATION: 77,472.

RECIPIENT NAME:
CHILDREN'S HOME SOCIETY OF MISSOURI
ATTN KAREN NOLTE PRES & CEO
ADDRESS:
9445 LITZSINGER RD
SAINT LOUIS, MO 63144-2113
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
PEREGRINE SOCIETY INC OF STL
ATTN: MR ALFRED WESSELS JR EXEC DIR
ADDRESS:
2343 HAMPTON AVE
SAINT LOUIS, MO 63139-2908
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
GOOD SHEPARD CHILDREN & FAMILY SVCS
ATTN: PEGGY SLATER, EXEC DIR
ADDRESS:
1340 PARTRIDGE AVE
SAINT LOUIS, MO 63140
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
RANKEN TECHNICAL COLLEGE
ATTN: MR BEN ERNST, PRESIDENT
ADDRESS:
4431 FINNEY AVE
SAINT LOUIS, MO 63113-2811
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
INDEPENDENCE CENTER
ATTN: J. MICHAEL KELLER EXE DIRECTO
ADDRESS:
4245 FOREST PARK AVE
ST LOUIS, MO 63108-2810
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
THE GOOD SAMARITAN HOME
C/O ST ANDREWS MGMT SVCS
ADDRESS:
6633 DELMAR BLVD STE 300
ST LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 75,000.

RECIPIENT NAME:
SHRINERS CHILDRENS HOSPI
ATTN: JIM ROWE
ADDRESS:
PO BOX 863770
ORLANDO, FL 32886-3770
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
MISSOURI COUNCIL FOR THE BLIND
ATTN: DANIEL J WHEELER
ADDRESS:
5453 CHIPPEWA ST
SAINT LOUIS, MO 63109-1635
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
HUMANE SOCIETY OF MISSOURI
ATTN: ANNE GOECKNER, CFO
ADDRESS:
1201 MACKLIND AVE
SAINT LOUIS, MO 63110-1431
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
ANNIE MALONE CHILDRENS H
ATTN MR RICHARD L KIN
ADDRESS:
2612 ANNIE MALONE DRIVE
SAINT LOUIS, MO 63113-2929
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
ST LOUIS COLLEGE OF PHARMACY
ATTN BRET SCHOTT
ADDRESS:
4588 PARKVIEW PLACE
SAINT LOUIS, MO 63110-1029
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
THE YOUTHBRIDGE CAMPUS
ADDRESS:
12685 OLIVE STREET ROAD STE 100
ST LOUIS, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
MEALS ON WHEELS
ATTN: MS KATHRYN WORKMAN, TREASURER
ADDRESS:
ATTN: MS 25 BEAVER DRIVE
SAINT LOUIS, MO 63141-7901
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
BEREA COLLEGE
ADDRESS:
C P O 2214 BEREA COLLEGE
BEREA, KY 40404
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
RANKEN JORDAN HOME FOR CHILDREN
ATTN: LAUREEN K TANNER R N,M S N
ADDRESS:
11365 DORSETT ROAD
MARYLAND HEIGHTS, MO 63043-3411
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ST LOUIS ALTENHEIM
ATTN: MS MARY E BEHRNS
ADDRESS:
5408 S BROADWAY
SAINT LOUIS, MO 63111-2023
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
MIRIAM FOUNDATION
ATTN LAURA K DERICKSON, DEV DIR
ADDRESS:
501 BACON AVE
SAINT LOUIS, MO 63119-1512
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
EPWORTH CHILDRENS HOME
ADDRESS:
110 N ELM AVE
ST LOUIS, MO 63119-2418
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
ST ANDREWS AT HOME SERVICES
MRS. MARY ALICE RYAN
ADDRESS:
6633 DELMAR BLVD
SAINT LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
THE SALVATION ARMY
ATTN KATHY PROBST
ADDRESS:
1130 HAMPTON AVE
SAINT LOUIS, MO 63139-3147
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
BARNES-JEWISH HOSPITAL
FOUNDATION
ADDRESS:
1001 HIGHLANDS PLAZA DR WEST STE 14
ST LOUIS, MO 63110-1337
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 30,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
VISITING NURSE ASSOCIATION
ADDRESS:
11440 OLIVE BLVD SUITE 200
CREVE COEUR, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
EDGEWOOD CHILDRENS CENTE
ATTN WAYNE CRULL, PRESIDENT & CEO
ADDRESS:
330 N GORE AVE
SAINT LOUIS, MO 63119-1600
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
THE DEACONESS FOUNDATION
ATTN JERRY W PAUL, CEO & PRES
ADDRESS:
211 N BROADWAY STE 1260
SAINT LOUIS, MO 63102-2733
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ST LOUIS UNIVERSITY SCHOOL NURSING
ATTN STEVE SIEVERS DIR OF DEVELOPMN
ADDRESS:
3437 CAROLINE ST RM 3111
SAINT LOUIS, MO 63104-1111
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
UNITY SCHOOL OF CHRISTIANITY OF MO
ATTN BRUCE SCHALL
ADDRESS:
1901 NW BLUE PKWY
UNITY VILLAGE, MO 64065-0001
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
UNIVERSITY OF MO - ST LOUIS
ADDRESS:
ONE UNIVERSITY BLVD
ST LOUIS, MO 63121
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 12,500.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
UNIVERSITY OF ARKANSAS AT
LITTLE ROCK
ADDRESS:
2801 SOUTH UNIVERSITY AVE
LITTLE ROCK, AR 72204-1099
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 5,000.

RECIPIENT NAME:
UNIVERSITY OF MO - KANSAS CITY
ADDRESS:
5100 ROCKHILL ROAD
KANSAS CITY, MO 64110
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 2,500.

RECIPIENT NAME:
PHILANDER SMITH COLLEGE
ADDRESS:
ONE TRUDIE KIBBE REED DR
LITTLE ROCK, AR 72202
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 5,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
FRANKLIN COLLEGE
ADDRESS:
101 BRANIGIN BLVD
FRANKLIN, IN 46131-2623
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 2,500.

RECIPIENT NAME:
ST LOUIS COMMUNITY COLLEGE -
WILDWOOD
ADDRESS:
2645 GENERATIONS DRIVE
WILDWOOD, MO 63040
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 2,490.

RECIPIENT NAME:
UNIVERSITY OF MISSOURI AT
COLUMBIA
ADDRESS:
11 JESSE HALL
COLUMBIA, MO 65211
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
TRUMAN STATE UNIVERSITY
ADDRESS:
100 EAST NORMAL
KIRKSVILLE, MO 63501
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,500.

RECIPIENT NAME:
UNIVERSITY OF KANSAS
ADDRESS:
1450 JAYHAWK BLVD
LAWRENCE, KS 60045
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 5,000.

RECIPIENT NAME:
RHODES COLLEGE
ADDRESS:
2000 N PARKWAY
MEMPHIS, TN 38112
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 2,500.

RECIPIENT NAME:
MARYVILLE UNIVERSITY
ADDRESS:
650 MARYVILLE UNIVERSITY DR
ST LOUIS, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,500.

RECIPIENT NAME:
WEBSTER UNIVERSITY
ADDRESS:
430 E LOCKWOOD AVE
ST LOUIS, MO 63119
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 7,500.

TOTAL GRANTS PAID: 994,990.

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust	Employer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	323,436.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	323,436.

Part II **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			23,685.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-1,183,011.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-1,159,326.

Part III **Summary of Parts I and II**
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			323,436.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-1,159,326.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-835,890.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary.*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 ..... 16 (3,000)

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31. ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2009

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 3600. COMCAST CORP NEW CL A	11/07/2008	06/02/2009	52,022.00	62,393.00	-10,371.00
4400. I T T CORPORATION	11/07/2008	06/02/2009	192,186.00	188,287.00	3,899.00
27400. MFC ISHARES TR MSCI EAFE INDEX FD	11/07/2008	06/02/2009	1,341,406.00	1,214,368.00	127,038.00
23600. ISHARES S&P SMALLCAP 600 INDEX E T F	11/07/2008	06/02/2009	1,087,561.00	1,049,964.00	37,597.00
383. KBR INC	12/19/2008	06/02/2009	7,313.00	4,888.00	2,425.00
6900. OMNICOM GROUP INC	11/07/2008	06/02/2009	222,174.00	190,829.00	31,345.00
1600. RAYTHEON COMPANY	01/27/2009	06/02/2009	72,722.00	79,952.00	-7,230.00
2900. TJX COS INC NEW	11/07/2008	06/02/2009	88,944.00	69,867.00	19,077.00
5700. ACCENTURE LTD BERMUDA CL A	11/07/2008	06/02/2009	177,210.00	173,907.00	3,303.00
3000. ACE LTD	11/07/2008	06/02/2009	136,898.00	159,151.00	-22,253.00
767. HOT TOPIC INC	05/08/2009	06/05/2009	5,718.00	7,080.00	-1,362.00
296. OSI PHARMACEUTICALS INC	12/05/2008	06/05/2009	9,088.00	12,551.00	-3,463.00
117. SL GREEN RLTY CORP	04/22/2009	06/05/2009	3,214.00	2,026.00	1,188.00
582. DAKTRONICS INC	03/30/2009	06/11/2009	4,593.00	5,172.00	-579.00
160. TITAN MACHY INC	05/04/2009	06/11/2009	2,213.00	1,885.00	328.00
136. ALPHA NATURAL RESOURCES INC	05/04/2009	06/12/2009	4,000.00	3,435.00	565.00
104. SL GREEN RLTY CORP	04/22/2009	06/12/2009	2,552.00	1,683.00	869.00
43. S P X CORP	11/18/2008	06/12/2009	2,133.00	1,444.00	689.00
379. COMPLETE PRODUCTION SERVICES	12/11/2008	06/17/2009	2,685.00	2,886.00	-201.00
5000. TJX COS INC NEW	11/07/2008	06/29/2009	157,796.00	120,460.00	37,336.00
119. DREAMWORKS ANIMATION SKG INC CL A	03/04/2009	07/02/2009	3,186.00	2,287.00	899.00
136. INTREPID POTASH INC	04/22/2009	07/02/2009	3,821.00	2,623.00	1,198.00
39. S P X CORP	11/18/2008	07/02/2009	1,933.00	1,310.00	623.00
350. SEACHANGE INTERNATIONAL INC	01/16/2009	07/07/2009	2,611.00	2,253.00	358.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 84. SYNAPTICS INC	03/12/2009	07/07/2009	3,007.00	2,000.00	1,007.00
28. CAPELLA EDUCATION CO	04/16/2009	07/17/2009	1,682.00	1,410.00	272.00
646. ACME PACKET INC	01/16/2009	07/20/2009	6,219.00	2,942.00	3,277.00
74. ALPHA NATURAL RESOURCES INC	03/26/2009	07/29/2009	2,261.00	1,597.00	664.00
172. BERRY PETE CO CL A	05/28/2009	07/29/2009	3,867.00	3,293.00	574.00
230. BIOMED REALTY TRUST INC	04/21/2009	07/29/2009	2,529.00	2,055.00	474.00
448. BRANDYWINE REALTY TRUST	04/14/2009	07/29/2009	3,601.00	2,625.00	976.00
1090. HARRIS STRATEX NETWORKS INC	02/18/2009	07/29/2009	7,304.00	5,455.00	1,849.00
1539. NOVELL INC	01/16/2009	07/29/2009	7,132.00	5,540.00	1,592.00
244. SL GREEN RLTY CORP	04/21/2009	07/29/2009	5,849.00	3,840.00	2,009.00
105. AZZ INCORPORATED	12/11/2008	08/03/2009	4,090.00	2,461.00	1,629.00
213. INTREPID POTASH INC	03/30/2009	08/03/2009	5,505.00	3,809.00	1,696.00
60. ALPHA NATURAL RESOURCES INC	03/26/2009	08/14/2009	2,130.00	1,295.00	835.00
585. HAWAIIAN HLDGS INC	05/04/2009	08/14/2009	3,984.00	3,184.00	800.00
412. SUN HEALTHCARE GROUP INC	07/07/2009	08/18/2009	3,400.00	3,797.00	-397.00
100. SL GREEN RLTY CORP	01/27/2009	08/31/2009	3,506.00	1,535.00	1,971.00
310. I P C HLDGS LTD ORD	09/04/2009	09/04/2009	532.00		532.00
118. SL GREEN RLTY CORP	01/27/2009	09/08/2009	4,131.00	1,811.00	2,320.00
741. SIGMA DESIGNS INC	07/29/2009	09/08/2009	10,758.00	11,381.00	-623.00
.537 VALIDUS HOLDINGS LTD	12/31/2008	09/10/2009	14.00	13.00	1.00
114. ALPHA NATURAL RESOURCES INC	03/26/2009	09/17/2009	4,461.00	1,909.00	2,552.00
124. ALPHA NATURAL RESOURCES INC	03/06/2009	09/21/2009	4,568.00	1,933.00	2,635.00
740. ENGLOBAL CORP	01/16/2009	09/21/2009	3,146.00	2,585.00	561.00
77. SL GREEN RLTY CORP	01/23/2009	09/21/2009	3,263.00	1,181.00	2,082.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2009

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 615. TELE COMMUNICATIONS SYSTEMS INC	05/04/2009	09/30/2009	4,887.00	5,281.00	-394.00
158. L M I AEROSPACE INC	03/26/2009	10/06/2009	1,469.00	1,239.00	230.00
84. SL GREEN RLTY CORP	03/26/2009	10/06/2009	3,662.00	1,117.00	2,545.00
220. SYNAPTICS INC	03/10/2009	10/06/2009	5,006.00	5,034.00	-28.00
2. ARENA RES INC	09/17/2009	10/16/2009	84.00	72.00	12.00
12. B E AEROSPACE INC	10/16/2008	10/16/2009	246.00	111.00	135.00
9. BERRY PETE CO CL A	05/28/2009	10/16/2009	263.00	172.00	91.00
2. BIOMED REALTY TRUST INC	03/30/2009	10/16/2009	25.00	13.00	12.00
5. BRANDYWINE REALTY TRUST	04/14/2009	10/16/2009	51.00	24.00	27.00
4. BRIGHTPOINT INC	07/29/2009	10/16/2009	34.00	24.00	10.00
2. CAPELLA EDUCATION CO	04/16/2009	10/16/2009	143.00	101.00	42.00
4. CITI TRENDS INC	07/29/2009	10/16/2009	118.00	110.00	8.00
3. DREAMWORKS ANIMATION SKG INC CL A	03/04/2009	10/16/2009	99.00	58.00	41.00
9. DUPONT FABROS TECHNOLOGY	05/21/2009	10/16/2009	147.00	76.00	71.00
3. ENERSYS	05/04/2009	10/16/2009	69.00	56.00	13.00
7. FLUSHING FINANCIAL CORPORATION	02/11/2009	10/16/2009	78.00	58.00	20.00
2. GT SOLAR INTERNATIONAL INC	04/16/2009	10/16/2009	11.00	15.00	-4.00
5. GENOPTIX INC	02/18/2009	10/16/2009	176.00	172.00	4.00
2. GEOEYE INC	09/30/2009	10/16/2009	55.00	52.00	3.00
16. HARBIN ELECTRIC INC	07/08/2009	10/16/2009	303.00	244.00	59.00
23. HAWAIIAN HLDGS INC	04/16/2009	10/16/2009	201.00	121.00	80.00
12. MEADOWBROOK INS GROUP INC	08/13/2009	10/16/2009	88.00	95.00	-7.00
4. NCI INC CL A	10/06/2009	10/16/2009	103.00	116.00	-13.00
4. NTELOS HOLDINGS CORP	05/04/2009	10/16/2009	67.00	77.00	-10.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2009

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4. RED ROBIN GOURMET BURGERS	06/05/2009	10/16/2009	76.00	73.00	3.00
2. SL GREEN RLTY CORP	03/26/2009	10/16/2009	89.00	25.00	64.00
22. TELE COMMUNICATIONS SYSTEMS INC	01/12/2009	10/16/2009	190.00	172.00	18.00
323. INTERDIGITAL INC	09/08/2009	10/20/2009	6,360.00	7,764.00	-1,404.00
.5 PFIZER INC	10/15/2009	10/20/2009	9.00	9.00	
91. SL GREEN RLTY CORP	03/26/2009	10/21/2009	3,992.00	1,132.00	2,860.00
209. SL GREEN RLTY CORP	03/26/2009	11/02/2009	7,848.00	2,599.00	5,249.00
105. STARENT NETWORKS CORP	09/10/2009	11/09/2009	3,555.00	2,666.00	889.00
42. CAPELLA EDUCATION CO	05/04/2009	11/11/2009	2,990.00	2,085.00	905.00
166. STARENT NETWORKS CORP	09/10/2009	11/11/2009	5,654.00	4,215.00	1,439.00
1000. NORFOLK SOUTHN CORP COM	06/02/2009	11/23/2009	52,081.00	40,362.00	11,719.00
253. ADC TELECOMMUNICATION S INC	03/30/2009	12/17/2009	1,533.00	1,062.00	471.00
1241. 3COM CORP	10/09/2009	12/17/2009	9,220.00	6,936.00	2,284.00
428. GX INTERNATIONAL HOLDINGS	07/31/2009	12/17/2009	8,320.00	5,686.00	2,634.00
967. 3COM CORP	09/30/2009	12/24/2009	7,223.00	5,067.00	2,156.00
512. DUPONT FABROS TECHNOLOGY	05/21/2009	01/07/2010	8,691.00	4,304.00	4,387.00
264. MASTEC INC	06/19/2009	01/07/2010	3,390.00	3,233.00	157.00
87. COMPASS MINERALS INTERNATIONAL	09/08/2009	01/21/2010	6,033.00	4,899.00	1,134.00
141. CYBERSOURCE CORP	10/27/2009	01/21/2010	2,637.00	2,296.00	341.00
43. SVB FINL GROUP	03/18/2009	01/21/2010	2,009.00	819.00	1,190.00
870. SEACHANGE INTERNATION AL INC	10/16/2009	01/25/2010	5,607.00	6,004.00	-397.00
846. NTELOS HOLDINGS CORP	08/14/2009	01/28/2010	13,561.00	15,140.00	-1,579.00
388. TITAN MACHY INC	05/04/2009	01/29/2010	4,137.00	4,210.00	-73.00
388. TITAN MACHY INC	03/30/2009	02/01/2010	4,217.00	3,337.00	880.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2009

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 257. TRUE RELIGION APPAREL INC	11/06/2009	02/02/2010	4,985.00	3,880.00	1,105.00
787. GT SOLAR INTERNATIONAL INC	04/16/2009	02/04/2010	4,858.00	5,559.00	-701.00
130. COMPASS MINERALS INTERNATIONAL	08/13/2009	02/18/2010	9,689.00	6,846.00	2,843.00
37. CAPELLA EDUCATION CO	05/04/2009	02/22/2010	3,024.00	1,811.00	1,213.00
875. GT SOLAR INTERNATIONAL INC	04/08/2009	02/22/2010	4,832.00	5,143.00	-311.00
855. HILL INTERNATIONAL INC	09/22/2009	02/22/2010	4,188.00	6,538.00	-2,350.00
29. AMEDISYS INC	10/28/2009	03/01/2010	1,692.00	1,206.00	486.00
103. CAPELLA EDUCATION CO	04/14/2009	03/05/2010	8,733.00	5,030.00	3,703.00
306. TRIQUINT SEMICONDUCTOR INC	10/21/2009	03/05/2010	2,176.00	2,543.00	-367.00
97. AMERICAN ITALIAN PASTA CO CL A	07/29/2009	03/15/2010	3,604.00	3,082.00	522.00
230. BIOMED REALTY TRUST INC	03/30/2009	03/15/2010	3,835.00	1,462.00	2,373.00
339. BAKER MICHAEL CORP	08/18/2009	03/19/2010	11,257.00	14,100.00	-2,843.00
111. DUPONT FABROS TECHNOLOGY	05/12/2009	03/19/2010	2,492.00	931.00	1,561.00
143. CITI TRENDS INC	07/29/2009	03/25/2010	4,821.00	3,863.00	958.00
86. LULULEMON ATHLETICA INC	01/29/2010	03/25/2010	3,448.00	2,486.00	962.00
141. HHGREGG INC	03/15/2010	03/29/2010	3,605.00	3,199.00	406.00
201. RED ROBIN GOURMET BURGERS	06/05/2009	03/29/2010	4,937.00	3,692.00	1,245.00
475. BWAY HOLDING COMPANY	12/11/2009	03/30/2010	9,502.00	5,386.00	4,116.00
177. BANCORPSOUTH INC	09/21/2009	04/15/2010	4,035.00	4,183.00	-148.00
84. CITI TRENDS INC	07/01/2009	04/15/2010	3,133.00	2,169.00	964.00
270. MARINER ENERGY INC	05/28/2009	04/16/2010	6,858.00	3,757.00	3,101.00
834. CYBERSOURCE CORP	09/08/2009	04/22/2010	21,400.00	12,392.00	9,008.00
76. BANCORPSOUTH INC	09/21/2009	04/26/2010	1,699.00	1,796.00	-97.00
70. COINSTAR INC	03/29/2010	05/03/2010	3,351.00	2,316.00	1,035.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 72. ATLANTIC TELE-NETWORK INC	11/02/2009	05/05/2010	3,853.00	3,386.00	467.00
629. CORINTHIAN COLLEGES INC	03/29/2010	05/07/2010	8,963.00	11,760.00	-2,797.00
944. CRYOLIFE INC	07/29/2009	05/10/2010	4,832.00	5,453.00	-621.00
112. COINSTAR INC	03/29/2010	05/11/2010	5,616.00	3,558.00	2,058.00
283. JACK IN THE BOX INC	09/14/2009	05/14/2010	6,443.00	5,993.00	450.00
272. PSYCHIATRIC SOLUTIONS INC	03/01/2010	05/18/2010	8,807.00	5,664.00	3,143.00
1000. FREEPORT MCMORAN COPPER GOLD	06/02/2009	05/19/2010	67,563.00	56,909.00	10,654.00
1400. GILEAD SCIENCES INC	06/02/2009	05/19/2010	54,643.00	60,493.00	-5,850.00
381. ARENA RES INC	01/14/2010	05/21/2010	11,365.00	14,395.00	-3,030.00
267. JAMES RIV COAL CO	04/06/2010	05/21/2010	4,133.00	4,795.00	-662.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 323,436.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 550. APACHE CORP	08/30/2006	06/02/2009	47,007.00	35,781.00	11,226.00
1200. APPLE COMPUTER INC	08/30/2006	06/02/2009	167,639.00	80,667.00	86,972.00
4700. CISCO SYS INC	03/10/2006	06/02/2009	91,791.00	97,525.00	-5,734.00
2600. HEWLETT PACKARD CO COM	03/10/2006	06/02/2009	93,156.00	86,236.00	6,920.00
139. MICROS SYS INC	06/29/2007	06/02/2009	3,928.00	3,788.00	140.00
2800. STAPLES INC	03/10/2006	06/02/2009	61,040.00	68,600.00	-7,560.00
1044624.575 FIRST AMER CORE BOND FD CL Y	11/22/2006	06/03/2009	10,373,122.00	11,616,475.00	-1,243,353.00
442. RAYTHEON CO WT 6/16/11	06/14/2006	06/03/2009	4,339.00	1.00	4,338.00
73. JACK IN THE BOX INC	05/08/2008	06/05/2009	2,021.00	1,980.00	41.00
94. MARVEL ENTERTAINMENT INC	03/20/2008	06/12/2009	3,471.00	2,557.00	914.00
497. COMPLETE PRODUCTION SERVICES	06/06/2008	06/17/2009	3,521.00	14,853.00	-11,332.00
. AIG 2008 SECURITIES LITIGATION		06/24/2009	4,191.00		4,191.00
161. PSYCHIATRIC SOLUTIONS INC	04/19/2007	07/01/2009	3,713.00	6,287.00	-2,574.00
131. COMMSCOPE INC	11/16/2007	07/02/2009	3,404.00	5,601.00	-2,197.00
89. MICROS SYS INC	06/29/2007	07/02/2009	2,228.00	2,419.00	-191.00
275. ANIXTER INTL INC	11/08/2007	07/07/2009	9,995.00	20,441.00	-10,446.00
232. ARRIS GROUP INC	06/06/2008	07/07/2009	2,687.00	2,374.00	313.00
115. ENTERTAINMENT PPTYS TR	06/14/2007	07/29/2009	2,960.00	6,864.00	-3,904.00
215. WATSON WYATT WORLDWIDE INC A	04/19/2007	07/29/2009	8,006.00	10,313.00	-2,307.00
473. BELDEN INC	04/29/2008	07/30/2009	8,582.00	16,628.00	-8,046.00
81. AMEDISYS INC	04/19/2007	08/04/2009	3,605.00	2,598.00	1,007.00
156. HURON CONSULTING GROUP INC	04/19/2007	08/12/2009	1,893.00	10,015.00	-8,122.00
299. NET 1 UEPS TECHNOLOGIES INC	02/14/2008	08/14/2009	5,063.00	6,942.00	-1,879.00
972. SUN HEALTHCARE GROUP INC	07/13/2007	08/18/2009	8,022.00	13,846.00	-5,824.00
68. MEDNAX INC	11/08/2007	08/21/2009	3,571.00	4,006.00	-435.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 223. MARVEL ENTERTAINMENT INC	03/20/2008	09/17/2009	11,137.00	6,067.00	5,070.00
138. SVB FINL GROUP	04/29/2008	09/21/2009	5,852.00	6,028.00	-176.00
92. ATLANTIC TELE-NETWORK INC	04/19/2007	09/30/2009	4,815.00	2,362.00	2,453.00
117. GARDNER DENVER INC	04/19/2007	09/30/2009	4,091.00	4,250.00	-159.00
523. L M I AEROSPACE INC	02/14/2008	10/06/2009	4,862.00	11,896.00	-7,034.00
245. AVOCENT CORP	04/19/2007	10/09/2009	6,069.00	6,631.00	-562.00
231. AVOCENT CORP	04/19/2007	10/14/2009	5,724.00	6,252.00	-528.00
244. AVOCENT CORP	06/06/2008	10/16/2009	6,061.00	5,455.00	606.00
4. BANK OF HAWAII CORP	08/06/2008	10/16/2009	167.00	219.00	-52.00
4. CARPENTER TECHNOLOGY CORP	04/19/2007	10/16/2009	95.00	248.00	-153.00
5. CITY HLDG CO	05/08/2008	10/16/2009	149.00	211.00	-62.00
4. CRANE CO	04/19/2007	10/16/2009	108.00	165.00	-57.00
3. CUBIST PHARMACEUTICALS INC	08/06/2008	10/16/2009	57.00	68.00	-11.00
2. DECKERS OUTDOOR CORP	04/29/2008	10/16/2009	175.00	281.00	-106.00
4. DIGITAL RIVER INC	04/19/2007	10/16/2009	100.00	221.00	-121.00
3. ENTERTAINMENT PPTYS TR	06/14/2007	10/16/2009	101.00	170.00	-69.00
4. FOSSIL INC	02/14/2008	10/16/2009	119.00	141.00	-22.00
3. HARMONIC INC	06/20/2008	10/16/2009	20.00	31.00	-11.00
3. INTERDIGITAL INC	04/19/2007	10/16/2009	73.00	101.00	-28.00
4. JACK IN THE BOX INC	05/08/2008	10/16/2009	81.00	109.00	-28.00
2. MARINER ENERGY INC	07/13/2007	10/16/2009	32.00	50.00	-18.00
2. MIDDLEBY CORP	04/19/2007	10/16/2009	104.00	137.00	-33.00
2. PHILLIPS VAN HEUSEN CORP	04/19/2007	10/16/2009	86.00	112.00	-26.00
2. PROASSURANCE CORPORATION	04/25/2008	10/16/2009	104.00	106.00	-2.00
3. SVB FINL GROUP	04/15/2008	10/16/2009	132.00	120.00	12.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number

43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5. SYNIVERSE HLDGS INC	09/29/2008	10/16/2009	92.00	84.00	8.00
3. VECTREN CORPORATION	11/08/2007	10/16/2009	71.00	87.00	-16.00
20. WILSHIRE BANCORP INC	09/15/2008	10/16/2009	151.00	284.00	-133.00
4. WOODWARD GOVERNOR CO	04/19/2007	10/16/2009	100.00	84.00	16.00
5100. WYETH	03/10/2006	10/16/2009	256,989.00	250,541.00	6,448.00
266. J & J SNACK FOODS CORP	05/19/2008	10/19/2009	11,309.00	9,150.00	2,159.00
304. INTERDIGITAL INC	08/07/2007	10/20/2009	5,986.00	9,490.00	-3,504.00
124. TUPPERWARE BRANDS CORP	06/20/2008	10/21/2009	5,929.00	4,614.00	1,315.00
967. ARRIS GROUP INC	06/06/2008	11/02/2009	9,763.00	9,897.00	-134.00
128. ENTERTAINMENT PPTYS TR	01/11/2008	11/02/2009	4,332.00	7,189.00	-2,857.00
71. ATLANTIC TELE-NETWORK INC	04/19/2007	11/10/2009	3,818.00	1,823.00	1,995.00
. RAYTHEON COMPANY SECURITY LITIGATION		11/10/2009	107.00		107.00
. WORLDCOM INC SECURITY LITIGATION		11/10/2009	5.00		5.00
. WORLDCOM INC SECURITY LITIGATION		11/10/2009	16.00		16.00
800. ABBOTT LABORATORIES	03/10/2006	11/23/2009	42,593.00	35,163.00	7,430.00
1700. CVS CORP	08/30/2006	11/23/2009	54,384.00	58,668.00	-4,284.00
83. SVB FINL GROUP	04/15/2008	11/23/2009	3,211.00	3,320.00	-109.00
400. ST JUDE MEDICAL INC	11/07/2008	11/23/2009	13,968.00	14,316.00	-348.00
700. SCHLUMBERGER LTD ISIN #AN8068571086	03/10/2006	11/23/2009	45,793.00	40,817.00	4,976.00
2500. STAPLES INC	03/10/2006	11/23/2009	57,824.00	61,250.00	-3,426.00
900. STATE STREET CORP	11/07/2008	11/23/2009	37,486.00	37,779.00	-293.00
1700. WELLS FARGO & CO NEW	11/07/2008	11/23/2009	47,701.00	47,848.00	-147.00
199. TUPPERWARE BRANDS CORP	06/20/2008	12/10/2009	9,724.00	6,689.00	3,035.00
916. ADC TELECOMMUNICATIONS INC	10/10/2008	12/17/2009	5,551.00	11,637.00	-6,086.00
344. CARPENTER TECHNOLOGY CORP	10/21/2008	12/17/2009	8,747.00	17,582.00	-8,835.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number 43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 67. SILGAN HLDGS INC	04/20/2007	01/05/2010	3,726.00	3,554.00	172.00
181. AMEDISYS INC	11/26/2008	01/07/2010	9,159.00	6,513.00	2,646.00
386. MARINER ENERGY INC	10/12/2007	01/14/2010	5,140.00	9,517.00	-4,377.00
37. AMEDISYS INC	04/19/2007	01/21/2010	2,130.00	1,187.00	943.00
124. B E AEROSPACE INC	10/16/2008	01/21/2010	2,935.00	1,146.00	1,789.00
62. SVB FINL GROUP	04/15/2008	01/21/2010	2,897.00	2,480.00	417.00
299. VCA ANTECH INC	04/19/2007	01/21/2010	7,520.00	11,387.00	-3,867.00
301. VALIDUS HOLDINGS LTD	12/31/2008	01/21/2010	7,932.00	7,349.00	583.00
279. AZZ INCORPORATED	11/26/2008	01/22/2010	8,532.00	5,762.00	2,770.00
354. SEACHANGE INTERNATIONAL INC	01/16/2009	01/25/2010	2,282.00	2,279.00	3.00
242. TRUE RELIGION APPAREL INC	12/19/2008	02/02/2010	4,694.00	3,013.00	1,681.00
249. RED ROBIN GOURMET BURGERS	01/16/2009	02/05/2010	4,671.00	2,906.00	1,765.00
342. COMMSCOPE INC	11/18/2008	02/24/2010	8,588.00	4,046.00	4,542.00
371. JACK IN THE BOX INC	10/10/2008	02/25/2010	7,505.00	7,404.00	101.00
82. TELEDYNE TECHNOLOGIES INC	04/20/2007	02/25/2010	3,065.00	3,277.00	-212.00
123. AMEDISYS INC	02/26/2009	03/01/2010	7,178.00	4,175.00	3,003.00
235. HORNBECK OFFSHORE SERVICES	01/18/2008	03/01/2010	4,363.00	9,202.00	-4,839.00
29. DECKERS OUTDOOR CORP	04/29/2008	03/05/2010	3,723.00	4,081.00	-358.00
139. J2 GLOBAL COMMUNICATONS INC	04/04/2008	03/05/2010	3,164.00	3,156.00	8.00
278. VECTREN CORPORATION	11/08/2007	03/08/2010	6,675.00	8,097.00	-1,422.00
222. GULFMARK OFFSHORE INC CL A	01/18/2008	03/11/2010	6,316.00	8,982.00	-2,666.00
211. PLATINUM UNDERWRITER HLDGS	08/31/2007	03/11/2010	7,758.00	7,299.00	459.00
92. B E AEROSPACE INC	10/16/2008	03/15/2010	2,648.00	850.00	1,798.00
12. DECKERS OUTDOOR CORP	04/29/2008	03/25/2010	1,674.00	1,689.00	-15.00
95. FOSSIL INC	02/14/2008	03/25/2010	3,607.00	3,341.00	266.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number 43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 234. RED ROBIN GOURMET BURGERS	01/16/2009	03/29/2010	5,747.00	2,689.00	3,058.00
. WORLDCOM INC SECURITY LITIGATION		04/05/2010	6.00		6.00
53. MIDDLEBY CORP	04/19/2007	04/06/2010	3,054.00	3,627.00	-573.00
122. S P X CORP	11/18/2008	04/15/2010	8,284.00	4,098.00	4,186.00
146. WMS INDS INC	06/26/2008	04/15/2010	6,782.00	4,434.00	2,348.00
914. MARINER ENERGY INC	01/16/2009	04/16/2010	23,216.00	10,250.00	12,966.00
805. WET SEAL INC CL A	03/10/2009	04/20/2010	4,026.00	2,349.00	1,677.00
71. BANK OF HAWAII CORP	08/06/2008	04/22/2010	3,772.00	3,879.00	-107.00
476. BANCORPSOUTH INC	10/03/2008	04/26/2010	10,643.00	12,529.00	-1,886.00
167. ATLANTIC TELE-NETWORK INC	04/19/2007	05/05/2010	8,937.00	4,287.00	4,650.00
197. PSYCHIATRIC SOLUTIONS INC	04/19/2007	05/07/2010	6,010.00	7,693.00	-1,683.00
61. WMS INDS INC	06/26/2008	05/10/2010	3,010.00	1,747.00	1,263.00
77. FOSSIL INC	02/06/2008	05/12/2010	3,209.00	2,697.00	512.00
195. JACK IN THE BOX INC	10/10/2008	05/14/2010	4,440.00	3,386.00	1,054.00
451. PSYCHIATRIC SOLUTIONS INC	03/18/2009	05/18/2010	14,603.00	12,926.00	1,677.00
500. WALT DISNEY CO	11/07/2008	05/19/2010	16,720.00	12,093.00	4,627.00
3600. GILEAD SCIENCES INC	11/07/2008	05/19/2010	140,511.00	162,096.00	-21,585.00
105. BERRY PETE CO CL A	05/12/2009	05/21/2010	3,046.00	1,816.00	1,230.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 1,183,011.00

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS	23,685.00
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS	23,685.00

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					23,685.	
		550. APACHE CORP PROPERTY TYPE: SECURITIES					08/30/2006	06/02/2009
47,007.00		35,781.00					11,226.00	
		1200. APPLE COMPUTER INC PROPERTY TYPE: SECURITIES					08/30/2006	06/02/2009
167,639.00		80,667.00					86,972.00	
		4700. CISCO SYS INC PROPERTY TYPE: SECURITIES					03/10/2006	06/02/2009
91,791.00		97,525.00					-5,734.00	
		3600. COMCAST CORP NEW CL A PROPERTY TYPE: SECURITIES					11/07/2008	06/02/2009
52,022.00		62,393.00					-10,371.00	
		2600. HEWLETT PACKARD CO COM PROPERTY TYPE: SECURITIES					03/10/2006	06/02/2009
93,156.00		86,236.00					6,920.00	
		4400. I T T CORPORATION PROPERTY TYPE: SECURITIES					11/07/2008	06/02/2009
192,186.00		188,287.00					3,899.00	
		27400. MFC ISHARES TR MSCI EAFE INDEX FD PROPERTY TYPE: SECURITIES					11/07/2008	06/02/2009
1341406.00		1214368.00					127,038.00	
		23600. ISHARES S&P SMALLCAP 600 INDEX E PROPERTY TYPE: SECURITIES					11/07/2008	06/02/2009
1087561.00		1049964.00					37,597.00	
		383. KBR INC PROPERTY TYPE: SECURITIES					12/19/2008	06/02/2009
7,313.00		4,888.00					2,425.00	
		139. MICROS SYS INC PROPERTY TYPE: SECURITIES					06/29/2007	06/02/2009
3,928.00		3,788.00					140.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		6900. OMNICOM GROUP INC PROPERTY TYPE: SECURITIES					11/07/2008	06/02/2009
222,174.00		190,829.00					31,345.00	
		1600. RAYTHEON COMPANY PROPERTY TYPE: SECURITIES					01/27/2009	06/02/2009
72,722.00		79,952.00					-7,230.00	
		2800. STAPLES INC PROPERTY TYPE: SECURITIES					03/10/2006	06/02/2009
61,040.00		68,600.00					-7,560.00	
		2900. TJX COS INC NEW PROPERTY TYPE: SECURITIES					11/07/2008	06/02/2009
88,944.00		69,867.00					19,077.00	
		5700. ACCENTURE LTD BERMUDA CL A PROPERTY TYPE: SECURITIES					11/07/2008	06/02/2009
177,210.00		173,907.00					3,303.00	
		3000. ACE LTD PROPERTY TYPE: SECURITIES					11/07/2008	06/02/2009
136,898.00		159,151.00					-22,253.00	
		1044624.575 FIRST AMER CORE BOND FD CL Y PROPERTY TYPE: SECURITIES					11/22/2006	06/03/2009
1037312200		1161647500					-124335300	
		442. RAYTHEON CO WT 6/16/1 PROPERTY TYPE: SECURITIES					06/14/2006	06/03/2009
4,339.00		1.00					4,338.00	
		767. HOT TOPIC INC PROPERTY TYPE: SECURITIES					05/08/2009	06/05/2009
5,718.00		7,080.00					-1,362.00	
		73. JACK IN THE BOX INC PROPERTY TYPE: SECURITIES					05/08/2008	06/05/2009
2,021.00		1,980.00					41.00	
		296. OSI PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					12/05/2008	06/05/2009
9,088.00		12,551.00					-3,463.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		117. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					04/22/2009	06/05/2009
3,214.00		2,026.00					1,188.00	
		582. DAKTRONICS INC PROPERTY TYPE: SECURITIES					03/30/2009	06/11/2009
4,593.00		5,172.00					-579.00	
		160. TITAN MACHY INC PROPERTY TYPE: SECURITIES					05/04/2009	06/11/2009
2,213.00		1,885.00					328.00	
		136. ALPHA NATURAL RESOURCES INC PROPERTY TYPE: SECURITIES					05/04/2009	06/12/2009
4,000.00		3,435.00					565.00	
		94. MARVEL ENTERTAINMENT INC PROPERTY TYPE: SECURITIES					03/20/2008	06/12/2009
3,471.00		2,557.00					914.00	
		104. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					04/22/2009	06/12/2009
2,552.00		1,683.00					869.00	
		43. S P X CORP PROPERTY TYPE: SECURITIES					11/18/2008	06/12/2009
2,133.00		1,444.00					689.00	
		379. COMPLETE PRODUCTION SERVICES PROPERTY TYPE: SECURITIES					12/11/2008	06/17/2009
2,685.00		2,886.00					-201.00	
		497. COMPLETE PRODUCTION SERVICES PROPERTY TYPE: SECURITIES					06/06/2008	06/17/2009
3,521.00		14,853.00					-11,332.00	
		. AIG 2008 SECURITIES LITIGATION PROPERTY TYPE: SECURITIES						06/24/2009
4,191.00							4,191.00	
		5000. TJX COS INC NEW PROPERTY TYPE: SECURITIES					11/07/2008	06/29/2009
157,796.00		120,460.00					37,336.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		161. PSYCHIATRIC SOLUTIONS INC PROPERTY TYPE: SECURITIES					04/19/2007	07/01/2009
3,713.00		6,287.00					-2,574.00	
		131. COMMSCOPE INC PROPERTY TYPE: SECURITIES					11/16/2007	07/02/2009
3,404.00		5,601.00					-2,197.00	
		119. DREAMWORKS ANIMATION SKG INC CL A PROPERTY TYPE: SECURITIES					03/04/2009	07/02/2009
3,186.00		2,287.00					899.00	
		136. INTREPID POTASH INC PROPERTY TYPE: SECURITIES					04/22/2009	07/02/2009
3,821.00		2,623.00					1,198.00	
		89. MICROS SYS INC PROPERTY TYPE: SECURITIES					06/29/2007	07/02/2009
2,228.00		2,419.00					-191.00	
		39. S P X CORP PROPERTY TYPE: SECURITIES					11/18/2008	07/02/2009
1,933.00		1,310.00					623.00	
		275. ANIXTER INTL INC PROPERTY TYPE: SECURITIES					11/08/2007	07/07/2009
9,995.00		20,441.00					-10,446.00	
		232. ARRIS GROUP INC PROPERTY TYPE: SECURITIES					06/06/2008	07/07/2009
2,687.00		2,374.00					313.00	
		350. SEACHANGE INTERNATIONAL INC PROPERTY TYPE: SECURITIES					01/16/2009	07/07/2009
2,611.00		2,253.00					358.00	
		84. SYNAPTICS INC PROPERTY TYPE: SECURITIES					03/12/2009	07/07/2009
3,007.00		2,000.00					1,007.00	
		28. CAPELLA EDUCATION CO PROPERTY TYPE: SECURITIES					04/16/2009	07/17/2009
1,682.00		1,410.00					272.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property: Gross sale price less expenses of sale	Depreciation allowed/ allowable	Description	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	P or D	Date acquired / Gain or (loss)	Date sold
6,219.00		646. ACME PACKET INC PROPERTY TYPE: SECURITIES	2,942.00					01/16/2009 3,277.00	07/20/2009
2,261.00		74. ALPHA NATURAL RESOURCES INC PROPERTY TYPE: SECURITIES	1,597.00					03/26/2009 664.00	07/29/2009
3,867.00		172. BERRY PETE CO CL A PROPERTY TYPE: SECURITIES	3,293.00					05/28/2009 574.00	07/29/2009
2,529.00		230. BIOMED REALTY TRUST INC PROPERTY TYPE: SECURITIES	2,055.00					04/21/2009 474.00	07/29/2009
3,601.00		448. BRANDYWINE REALTY TRUST PROPERTY TYPE: SECURITIES	2,625.00					04/14/2009 976.00	07/29/2009
2,960.00		115. ENTERTAINMENT PPTYS TR PROPERTY TYPE: SECURITIES	6,864.00					06/14/2007 -3,904.00	07/29/2009
7,304.00		1090. HARRIS STRATEX NETWORKS INC PROPERTY TYPE: SECURITIES	5,455.00					02/18/2009 1,849.00	07/29/2009
7,132.00		1539. NOVELL INC PROPERTY TYPE: SECURITIES	5,540.00					01/16/2009 1,592.00	07/29/2009
5,849.00		244. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES	3,840.00					04/21/2009 2,009.00	07/29/2009
8,006.00		215. WATSON WYATT WORLDWIDE INC A PROPERTY TYPE: SECURITIES	10,313.00					04/19/2007 -2,307.00	07/29/2009
8,582.00		473. BELDEN INC PROPERTY TYPE: SECURITIES	16,628.00					04/29/2008 -8,046.00	07/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		105. AZZ INCORPORATED PROPERTY TYPE: SECURITIES					12/11/2008	08/03/2009
4,090.00		2,461.00					1,629.00	
		213. INTREPID POTASH INC PROPERTY TYPE: SECURITIES					03/30/2009	08/03/2009
5,505.00		3,809.00					1,696.00	
		81. AMEDISYS INC PROPERTY TYPE: SECURITIES					04/19/2007	08/04/2009
3,605.00		2,598.00					1,007.00	
		156. HURON CONSULTING GROUP INC PROPERTY TYPE: SECURITIES					04/19/2007	08/12/2009
1,893.00		10,015.00					-8,122.00	
		60. ALPHA NATURAL RESOURCES INC PROPERTY TYPE: SECURITIES					03/26/2009	08/14/2009
2,130.00		1,295.00					835.00	
		585. HAWAIIAN HLDGS INC PROPERTY TYPE: SECURITIES					05/04/2009	08/14/2009
3,984.00		3,184.00					800.00	
		299. NET 1 UEPS TECHNOLOGIES INC PROPERTY TYPE: SECURITIES					02/14/2008	08/14/2009
5,063.00		6,942.00					-1,879.00	
		412. SUN HEALTHCARE GROUP INC PROPERTY TYPE: SECURITIES					07/07/2009	08/18/2009
3,400.00		3,797.00					-397.00	
		972. SUN HEALTHCARE GROUP INC PROPERTY TYPE: SECURITIES					07/13/2007	08/18/2009
8,022.00		13,846.00					-5,824.00	
		68. MEDNAX INC PROPERTY TYPE: SECURITIES					11/08/2007	08/21/2009
3,571.00		4,006.00					-435.00	
		100. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					01/27/2009	08/31/2009
3,506.00		1,535.00					1,971.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		310. I P C HLDGS LTD ORD PROPERTY TYPE: SECURITIES					09/04/2009	09/04/2009
		310. I P C HLDGS LTD ORD PROPERTY TYPE: SECURITIES					09/04/2009	09/04/2009
532.00							532.00	
		118. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					01/27/2009	09/08/2009
4,131.00		1,811.00					2,320.00	
		741. SIGMA DESIGNS INC PROPERTY TYPE: SECURITIES					07/29/2009	09/08/2009
10,758.00		11,381.00					-623.00	
		.537 VALIDUS HOLDINGS LTD PROPERTY TYPE: SECURITIES					12/31/2008	09/10/2009
14.00		13.00					1.00	
		114. ALPHA NATURAL RESOURCES INC PROPERTY TYPE: SECURITIES					03/26/2009	09/17/2009
4,461.00		1,909.00					2,552.00	
		223. MARVEL ENTERTAINMENT INC PROPERTY TYPE: SECURITIES					03/20/2008	09/17/2009
11,137.00		6,067.00					5,070.00	
		124. ALPHA NATURAL RESOURCES INC PROPERTY TYPE: SECURITIES					03/06/2009	09/21/2009
4,568.00		1,933.00					2,635.00	
		740. ENGLOBAL CORP PROPERTY TYPE: SECURITIES					01/16/2009	09/21/2009
3,146.00		2,585.00					561.00	
		77. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					01/23/2009	09/21/2009
3,263.00		1,181.00					2,082.00	
		138. SVB FINL GROUP PROPERTY TYPE: SECURITIES					04/29/2008	09/21/2009
5,852.00		6,028.00					-176.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		92. ATLANTIC TELE-NETWORK INC PROPERTY TYPE: SECURITIES					04/19/2007	09/30/2009
4,815.00		2,362.00					2,453.00	
		117. GARDNER DENVER INC PROPERTY TYPE: SECURITIES					04/19/2007	09/30/2009
4,091.00		4,250.00					-159.00	
		615. TELE COMMUNICATIONS SYSTEMS INC PROPERTY TYPE: SECURITIES					05/04/2009	09/30/2009
4,887.00		5,281.00					-394.00	
		158. L M I AEROSPACE INC PROPERTY TYPE: SECURITIES					03/26/2009	10/06/2009
1,469.00		1,239.00					230.00	
		523. L M I AEROSPACE INC PROPERTY TYPE: SECURITIES					02/14/2008	10/06/2009
4,862.00		11,896.00					-7,034.00	
		84. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					03/26/2009	10/06/2009
3,662.00		1,117.00					2,545.00	
		220. SYNAPTICS INC PROPERTY TYPE: SECURITIES					03/10/2009	10/06/2009
5,006.00		5,034.00					-28.00	
		245. AVOCENT CORP PROPERTY TYPE: SECURITIES					04/19/2007	10/09/2009
6,069.00		6,631.00					-562.00	
		231. AVOCENT CORP PROPERTY TYPE: SECURITIES					04/19/2007	10/14/2009
5,724.00		6,252.00					-528.00	
		2. ARENA RES INC PROPERTY TYPE: SECURITIES					09/17/2009	10/16/2009
84.00		72.00					12.00	
		244. AVOCENT CORP PROPERTY TYPE: SECURITIES					06/06/2008	10/16/2009
6,061.00		5,455.00					606.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		4. BANK OF HAWAII CORP PROPERTY TYPE: SECURITIES					08/06/2008	10/16/2009
167.00		219.00					-52.00	
		12. B E AEROSPACE INC PROPERTY TYPE: SECURITIES					10/16/2008	10/16/2009
246.00		111.00					135.00	
		9. BERRY PETE CO CL A PROPERTY TYPE: SECURITIES					05/28/2009	10/16/2009
263.00		172.00					91.00	
		2. BIOMED REALTY TRUST INC PROPERTY TYPE: SECURITIES					03/30/2009	10/16/2009
25.00		13.00					12.00	
		5. BRANDYWINE REALTY TRUST PROPERTY TYPE: SECURITIES					04/14/2009	10/16/2009
51.00		24.00					27.00	
		4. BRIGHTPOINT INC PROPERTY TYPE: SECURITIES					07/29/2009	10/16/2009
34.00		24.00					10.00	
		2. CAPELLA EDUCATION CO PROPERTY TYPE: SECURITIES					04/16/2009	10/16/2009
143.00		101.00					42.00	
		4. CARPENTER TECHNOLOGY CORP PROPERTY TYPE: SECURITIES					04/19/2007	10/16/2009
95.00		248.00					-153.00	
		4. CITI TRENDS INC PROPERTY TYPE: SECURITIES					07/29/2009	10/16/2009
118.00		110.00					8.00	
		5. CITY HLDG CO PROPERTY TYPE: SECURITIES					05/08/2008	10/16/2009
149.00		211.00					-62.00	
		4. CRANE CO PROPERTY TYPE: SECURITIES					04/19/2007	10/16/2009
108.00		165.00					-57.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		3. CUBIST PHARMACEUTICALS INC PROPERTY TYPE: SECURITIES					08/06/2008	10/16/2009
57.00		68.00					-11.00	
		2. DECKERS OUTDOOR CORP PROPERTY TYPE: SECURITIES					04/29/2008	10/16/2009
175.00		281.00					-106.00	
		4. DIGITAL RIVER INC PROPERTY TYPE: SECURITIES					04/19/2007	10/16/2009
100.00		221.00					-121.00	
		3. DREAMWORKS ANIMATION SKG INC CL A PROPERTY TYPE: SECURITIES					03/04/2009	10/16/2009
99.00		58.00					41.00	
		9. DUPONT FABROS TECHNOLOGY PROPERTY TYPE: SECURITIES					05/21/2009	10/16/2009
147.00		76.00					71.00	
		3. ENERSYS PROPERTY TYPE: SECURITIES					05/04/2009	10/16/2009
69.00		56.00					13.00	
		3. ENTERTAINMENT PPTYS TR PROPERTY TYPE: SECURITIES					06/14/2007	10/16/2009
101.00		170.00					-69.00	
		7. FLUSHING FINANCIAL CORPORATION PROPERTY TYPE: SECURITIES					02/11/2009	10/16/2009
78.00		58.00					20.00	
		4. FOSSIL INC PROPERTY TYPE: SECURITIES					02/14/2008	10/16/2009
119.00		141.00					-22.00	
		2. GT SOLAR INTERNATIONAL INC PROPERTY TYPE: SECURITIES					04/16/2009	10/16/2009
11.00		15.00					-4.00	
		5. GENOPTIX INC PROPERTY TYPE: SECURITIES					02/18/2009	10/16/2009
176.00		172.00					4.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		2. GEOEYE INC PROPERTY TYPE: SECURITIES					09/30/2009	10/16/2009
55.00		52.00					3.00	
		16. HARBIN ELECTRIC INC PROPERTY TYPE: SECURITIES					07/08/2009	10/16/2009
303.00		244.00					59.00	
		3. HARMONIC INC PROPERTY TYPE: SECURITIES					06/20/2008	10/16/2009
20.00		31.00					-11.00	
		23. HAWAIIAN HLDGS INC PROPERTY TYPE: SECURITIES					04/16/2009	10/16/2009
201.00		121.00					80.00	
		3. INTERDIGITAL INC PROPERTY TYPE: SECURITIES					04/19/2007	10/16/2009
73.00		101.00					-28.00	
		4. JACK IN THE BOX INC PROPERTY TYPE: SECURITIES					05/08/2008	10/16/2009
81.00		109.00					-28.00	
		2. MARINER ENERGY INC PROPERTY TYPE: SECURITIES					07/13/2007	10/16/2009
32.00		50.00					-18.00	
		12. MEADOWBROOK INS GROUP INC PROPERTY TYPE: SECURITIES					08/13/2009	10/16/2009
88.00		95.00					-7.00	
		2. MIDDLEBY CORP PROPERTY TYPE: SECURITIES					04/19/2007	10/16/2009
104.00		137.00					-33.00	
		4. NCI INC CL A PROPERTY TYPE: SECURITIES					10/06/2009	10/16/2009
103.00		116.00					-13.00	
		4. NTELOS HOLDINGS CORP PROPERTY TYPE: SECURITIES					05/04/2009	10/16/2009
67.00		77.00					-10.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		2. PHILLIPS VAN HEUSEN CORP PROPERTY TYPE: SECURITIES					04/19/2007	10/16/2009
86.00		112.00					-26.00	
		2. PROASSURANCE CORPORATION PROPERTY TYPE: SECURITIES					04/25/2008	10/16/2009
104.00		106.00					-2.00	
		4. RED ROBIN GOURMET BURGERS PROPERTY TYPE: SECURITIES					06/05/2009	10/16/2009
76.00		73.00					3.00	
		2. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					03/26/2009	10/16/2009
89.00		25.00					64.00	
		3. SVB FINL GROUP PROPERTY TYPE: SECURITIES					04/15/2008	10/16/2009
132.00		120.00					12.00	
		5. SYNIVERSE HLDGS INC PROPERTY TYPE: SECURITIES					09/29/2008	10/16/2009
92.00		84.00					8.00	
		22. TELE COMMUNICATIONS SYSTEMS INC PROPERTY TYPE: SECURITIES					01/12/2009	10/16/2009
190.00		172.00					18.00	
		3. VECTREN CORPORATION PROPERTY TYPE: SECURITIES					11/08/2007	10/16/2009
71.00		87.00					-16.00	
		20. WILSHIRE BANCORP INC PROPERTY TYPE: SECURITIES					09/15/2008	10/16/2009
151.00		284.00					-133.00	
		4. WOODWARD GOVERNOR CO PROPERTY TYPE: SECURITIES					04/19/2007	10/16/2009
100.00		84.00					16.00	
		5100. WYETH PROPERTY TYPE: SECURITIES					03/10/2006	10/16/2009
256,989.00		250,541.00					6,448.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		266. J & J SNACK FOODS CORP PROPERTY TYPE: SECURITIES					05/19/2008	10/19/2009
11,309.00		9,150.00					2,159.00	
		323. INTERDIGITAL INC PROPERTY TYPE: SECURITIES					09/08/2009	10/20/2009
6,360.00		7,764.00					-1,404.00	
		304. INTERDIGITAL INC PROPERTY TYPE: SECURITIES					08/07/2007	10/20/2009
5,986.00		9,490.00					-3,504.00	
		.5 PFIZER INC PROPERTY TYPE: SECURITIES					10/15/2009	10/20/2009
9.00		9.00						
		91. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					03/26/2009	10/21/2009
3,992.00		1,132.00					2,860.00	
		124. TUPPERWARE BRANDS CORP PROPERTY TYPE: SECURITIES					06/20/2008	10/21/2009
5,929.00		4,614.00					1,315.00	
		967. ARRIS GROUP INC PROPERTY TYPE: SECURITIES					06/06/2008	11/02/2009
9,763.00		9,897.00					-134.00	
		128. ENTERTAINMENT PPTYS TR PROPERTY TYPE: SECURITIES					01/11/2008	11/02/2009
4,332.00		7,189.00					-2,857.00	
		209. SL GREEN RLTY CORP PROPERTY TYPE: SECURITIES					03/26/2009	11/02/2009
7,848.00		2,599.00					5,249.00	
		105. STARENT NETWORKS CORP PROPERTY TYPE: SECURITIES					09/10/2009	11/09/2009
3,555.00		2,666.00					889.00	
		71. ATLANTIC TELE-NETWORK INC PROPERTY TYPE: SECURITIES					04/19/2007	11/10/2009
3,818.00		1,823.00					1,995.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		. RAYTHEON COMPANY SECURITY LITIGATION PROPERTY TYPE: SECURITIES						11/10/2009
107.00							107.00	
		. WORLDCOM INC SECURITY LITIGATION PROPERTY TYPE: SECURITIES						11/10/2009
5.00							5.00	
		. WORLDCOM INC SECURITY LITIGATION PROPERTY TYPE: SECURITIES						11/10/2009
16.00							16.00	
		42. CAPELLA EDUCATION CO PROPERTY TYPE: SECURITIES					05/04/2009	11/11/2009
2,990.00		2,085.00					905.00	
		166. STARENT NETWORKS CORP PROPERTY TYPE: SECURITIES					09/10/2009	11/11/2009
5,654.00		4,215.00					1,439.00	
		800. ABBOTT LABORATORIES PROPERTY TYPE: SECURITIES					03/10/2006	11/23/2009
42,593.00		35,163.00					7,430.00	
		1700. CVS CORP PROPERTY TYPE: SECURITIES					08/30/2006	11/23/2009
54,384.00		58,668.00					-4,284.00	
		1000. NORFOLK SOUTHN CORP COM PROPERTY TYPE: SECURITIES					06/02/2009	11/23/2009
52,081.00		40,362.00					11,719.00	
		83. SVB FINL GROUP PROPERTY TYPE: SECURITIES					04/15/2008	11/23/2009
3,211.00		3,320.00					-109.00	
		400. ST JUDE MEDICAL INC PROPERTY TYPE: SECURITIES					11/07/2008	11/23/2009
13,968.00		14,316.00					-348.00	
		700. SCHLUMBERGER LTD ISIN #AN8068571086 PROPERTY TYPE: SECURITIES					03/10/2006	11/23/2009
45,793.00		40,817.00					4,976.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		2500. STAPLES INC PROPERTY TYPE: SECURITIES					03/10/2006	11/23/2009
57,824.00		61,250.00					-3,426.00	
		900. STATE STREET CORP PROPERTY TYPE: SECURITIES					11/07/2008	11/23/2009
37,486.00		37,779.00					-293.00	
		1700. WELLS FARGO & CO NEW PROPERTY TYPE: SECURITIES					11/07/2008	11/23/2009
47,701.00		47,848.00					-147.00	
		199. TUPPERWARE BRANDS CORP PROPERTY TYPE: SECURITIES					06/20/2008	12/10/2009
9,724.00		6,689.00					3,035.00	
		253. ADC TELECOMMUNICATIONS INC PROPERTY TYPE: SECURITIES					03/30/2009	12/17/2009
1,533.00		1,062.00					471.00	
		916. ADC TELECOMMUNICATIONS INC PROPERTY TYPE: SECURITIES					10/10/2008	12/17/2009
5,551.00		11,637.00					-6,086.00	
		344. CARPENTER TECHNOLOGY CORP PROPERTY TYPE: SECURITIES					10/21/2008	12/17/2009
8,747.00		17,582.00					-8,835.00	
		1241. 3COM CORP PROPERTY TYPE: SECURITIES					10/09/2009	12/17/2009
9,220.00		6,936.00					2,284.00	
		428. GX INTERNATIONAL HOLDINGS PROPERTY TYPE: SECURITIES					07/31/2009	12/17/2009
8,320.00		5,686.00					2,634.00	
		967. 3COM CORP PROPERTY TYPE: SECURITIES					09/30/2009	12/24/2009
7,223.00		5,067.00					2,156.00	
		67. SILGAN HLDGS INC PROPERTY TYPE: SECURITIES					04/20/2007	01/05/2010
3,726.00		3,554.00					172.00	

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Kind of Property / Description	Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	P or D	Date acquired	Date sold	Gain or (loss)
181. AMEDISYS INC PROPERTY TYPE: SECURITIES	9,159.00		6,513.00					11/26/2008	01/07/2010	2,646.00
512. DUPONT FABROS TECHNOLOGY PROPERTY TYPE: SECURITIES	8,691.00		4,304.00					05/21/2009	01/07/2010	4,387.00
264. MASTEC INC PROPERTY TYPE: SECURITIES	3,390.00		3,233.00					06/19/2009	01/07/2010	157.00
386. MARINER ENERGY INC PROPERTY TYPE: SECURITIES	5,140.00		9,517.00					10/12/2007	01/14/2010	-4,377.00
37. AMEDISYS INC PROPERTY TYPE: SECURITIES	2,130.00		1,187.00					04/19/2007	01/21/2010	943.00
124. B E AEROSPACE INC PROPERTY TYPE: SECURITIES	2,935.00		1,146.00					10/16/2008	01/21/2010	1,789.00
87. COMPASS MINERALS INTERNATIONAL PROPERTY TYPE: SECURITIES	6,033.00		4,899.00					09/08/2009	01/21/2010	1,134.00
141. CYBERSOURCE CORP PROPERTY TYPE: SECURITIES	2,637.00		2,296.00					10/27/2009	01/21/2010	341.00
43. SVB FINL GROUP PROPERTY TYPE: SECURITIES	2,009.00		819.00					03/18/2009	01/21/2010	1,190.00
62. SVB FINL GROUP PROPERTY TYPE: SECURITIES	2,897.00		2,480.00					04/15/2008	01/21/2010	417.00
299. VCA ANTECH INC PROPERTY TYPE: SECURITIES	7,520.00		11,387.00					04/19/2007	01/21/2010	-3,867.00

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Kind of Property		Description				P or D	Date acquired	Date sold
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		301. VALIDUS HOLDINGS LTD PROPERTY TYPE: SECURITIES					12/31/2008	01/21/2010
7,932.00		7,349.00					583.00	
		279. AZZ INCORPORATED PROPERTY TYPE: SECURITIES					11/26/2008	01/22/2010
8,532.00		5,762.00					2,770.00	
		870. SEACHANGE INTERNATIONAL INC PROPERTY TYPE: SECURITIES					10/16/2009	01/25/2010
5,607.00		6,004.00					-397.00	
		354. SEACHANGE INTERNATIONAL INC PROPERTY TYPE: SECURITIES					01/16/2009	01/25/2010
2,282.00		2,279.00					3.00	
		846. NTELOS HOLDINGS CORP PROPERTY TYPE: SECURITIES					08/14/2009	01/28/2010
13,561.00		15,140.00					-1,579.00	
		388. TITAN MACHY INC PROPERTY TYPE: SECURITIES					05/04/2009	01/29/2010
4,137.00		4,210.00					-73.00	
		388. TITAN MACHY INC PROPERTY TYPE: SECURITIES					03/30/2009	02/01/2010
4,217.00		3,337.00					880.00	
		257. TRUE RELIGION APPAREL INC PROPERTY TYPE: SECURITIES					11/06/2009	02/02/2010
4,985.00		3,880.00					1,105.00	
		242. TRUE RELIGION APPAREL INC PROPERTY TYPE: SECURITIES					12/19/2008	02/02/2010
4,694.00		3,013.00					1,681.00	
		787. GT SOLAR INTERNATIONAL INC PROPERTY TYPE: SECURITIES					04/16/2009	02/04/2010
4,858.00		5,559.00					-701.00	
		249. RED ROBIN GOURMET BURGERS PROPERTY TYPE: SECURITIES					01/16/2009	02/05/2010
4,671.00		2,906.00					1,765.00	

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		130. COMPASS MINERALS INTERNATIONAL PROPERTY TYPE: SECURITIES					08/13/2009	02/18/2010
9,689.00		6,846.00					2,843.00	
		37. CAPELLA EDUCATION CO PROPERTY TYPE: SECURITIES					05/04/2009	02/22/2010
3,024.00		1,811.00					1,213.00	
		875. GT SOLAR INTERNATIONAL INC PROPERTY TYPE: SECURITIES					04/08/2009	02/22/2010
4,832.00		5,143.00					-311.00	
		855. HILL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					09/22/2009	02/22/2010
4,188.00		6,538.00					-2,350.00	
		342. COMMSCOPE INC PROPERTY TYPE: SECURITIES					11/18/2008	02/24/2010
8,588.00		4,046.00					4,542.00	
		371. JACK IN THE BOX INC PROPERTY TYPE: SECURITIES					10/10/2008	02/25/2010
7,505.00		7,404.00					101.00	
		82. TELEDYNE TECHNOLOGIES INC PROPERTY TYPE: SECURITIES					04/20/2007	02/25/2010
3,065.00		3,277.00					-212.00	
		29. AMEDISYS INC PROPERTY TYPE: SECURITIES					10/28/2009	03/01/2010
1,692.00		1,206.00					486.00	
		123. AMEDISYS INC PROPERTY TYPE: SECURITIES					02/26/2009	03/01/2010
7,178.00		4,175.00					3,003.00	
		235. HORNBECK OFFSHORE SERVICES PROPERTY TYPE: SECURITIES					01/18/2008	03/01/2010
4,363.00		9,202.00					-4,839.00	
		103. CAPELLA EDUCATION CO PROPERTY TYPE: SECURITIES					04/14/2009	03/05/2010
8,733.00		5,030.00					3,703.00	

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		29. DECKERS OUTDOOR CORP PROPERTY TYPE: SECURITIES					04/29/2008	03/05/2010
3,723.00		4,081.00					-358.00	
		139. J2 GLOBAL COMMUNICATONS INC PROPERTY TYPE: SECURITIES					04/04/2008	03/05/2010
3,164.00		3,156.00					8.00	
		306. TRIQUINT SEMICONDUCTOR INC PROPERTY TYPE: SECURITIES					10/21/2009	03/05/2010
2,176.00		2,543.00					-367.00	
		278. VECTREN CORPORATION PROPERTY TYPE: SECURITIES					11/08/2007	03/08/2010
6,675.00		8,097.00					-1,422.00	
		222. GULFMARK OFFSHORE INC CL A PROPERTY TYPE: SECURITIES					01/18/2008	03/11/2010
6,316.00		8,982.00					-2,666.00	
		211. PLATINUM UNDERWRITER HLDGS PROPERTY TYPE: SECURITIES					08/31/2007	03/11/2010
7,758.00		7,299.00					459.00	
		97. AMERICAN ITALIAN PASTA CO CL A PROPERTY TYPE: SECURITIES					07/29/2009	03/15/2010
3,604.00		3,082.00					522.00	
		92. B E AEROSPACE INC PROPERTY TYPE: SECURITIES					10/16/2008	03/15/2010
2,648.00		850.00					1,798.00	
		230. BIOMED REALTY TRUST INC PROPERTY TYPE: SECURITIES					03/30/2009	03/15/2010
3,835.00		1,462.00					2,373.00	
		339. BAKER MICHAEL CORP PROPERTY TYPE: SECURITIES					08/18/2009	03/19/2010
11,257.00		14,100.00					-2,843.00	
		111. DUPONT FABROS TECHNOLOGY PROPERTY TYPE: SECURITIES					05/12/2009	03/19/2010
2,492.00		931.00					1,561.00	

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		143. CITI TRENDS INC PROPERTY TYPE: SECURITIES					07/29/2009	03/25/2010
4,821.00		3,863.00					958.00	
		12. DECKERS OUTDOOR CORP PROPERTY TYPE: SECURITIES					04/29/2008	03/25/2010
1,674.00		1,689.00					-15.00	
		95. FOSSIL INC PROPERTY TYPE: SECURITIES					02/14/2008	03/25/2010
3,607.00		3,341.00					266.00	
		86. LULULEMON ATHLETICA INC PROPERTY TYPE: SECURITIES					01/29/2010	03/25/2010
3,448.00		2,486.00					962.00	
		141. HHGREGG INC PROPERTY TYPE: SECURITIES					03/15/2010	03/29/2010
3,605.00		3,199.00					406.00	
		201. RED ROBIN GOURMET BURGERS PROPERTY TYPE: SECURITIES					06/05/2009	03/29/2010
4,937.00		3,692.00					1,245.00	
		234. RED ROBIN GOURMET BURGERS PROPERTY TYPE: SECURITIES					01/16/2009	03/29/2010
5,747.00		2,689.00					3,058.00	
		475. BWAY HOLDING COMPANY PROPERTY TYPE: SECURITIES					12/11/2009	03/30/2010
9,502.00		5,386.00					4,116.00	
		. WORLDCOM INC SECURITY LITIGATION PROPERTY TYPE: SECURITIES						04/05/2010
6.00							6.00	
		53. MIDDLEBY CORP PROPERTY TYPE: SECURITIES					04/19/2007	04/06/2010
3,054.00		3,627.00					-573.00	
		177. BANCORPSOUTH INC PROPERTY TYPE: SECURITIES					09/21/2009	04/15/2010
4,035.00		4,183.00					-148.00	

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		84. CITI TRENDS INC PROPERTY TYPE: SECURITIES					07/01/2009	04/15/2010
3,133.00		2,169.00					964.00	
		122. S P X CORP PROPERTY TYPE: SECURITIES					11/18/2008	04/15/2010
8,284.00		4,098.00					4,186.00	
		146. WMS INDS INC PROPERTY TYPE: SECURITIES					06/26/2008	04/15/2010
6,782.00		4,434.00					2,348.00	
		270. MARINER ENERGY INC PROPERTY TYPE: SECURITIES					05/28/2009	04/16/2010
6,858.00		3,757.00					3,101.00	
		914. MARINER ENERGY INC PROPERTY TYPE: SECURITIES					01/16/2009	04/16/2010
23,216.00		10,250.00					12,966.00	
		805. WET SEAL INC CL A PROPERTY TYPE: SECURITIES					03/10/2009	04/20/2010
4,026.00		2,349.00					1,677.00	
		71. BANK OF HAWAII CORP PROPERTY TYPE: SECURITIES					08/06/2008	04/22/2010
3,772.00		3,879.00					-107.00	
		834. CYBERSOURCE CORP PROPERTY TYPE: SECURITIES					09/08/2009	04/22/2010
21,400.00		12,392.00					9,008.00	
		76. BANCORPSOUTH INC PROPERTY TYPE: SECURITIES					09/21/2009	04/26/2010
1,699.00		1,796.00					-97.00	
		476. BANCORPSOUTH INC PROPERTY TYPE: SECURITIES					10/03/2008	04/26/2010
10,643.00		12,529.00					-1,886.00	
		70. COINSTAR INC PROPERTY TYPE: SECURITIES					03/29/2010	05/03/2010
3,351.00		2,316.00					1,035.00	

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		72. ATLANTIC TELE-NETWORK INC PROPERTY TYPE: SECURITIES					11/02/2009	05/05/2010
3,853.00		3,386.00					467.00	
		167. ATLANTIC TELE-NETWORK INC PROPERTY TYPE: SECURITIES					04/19/2007	05/05/2010
8,937.00		4,287.00					4,650.00	
		629. CORINTHIAN COLLEGES INC PROPERTY TYPE: SECURITIES					03/29/2010	05/07/2010
8,963.00		11,760.00					-2,797.00	
		197. PSYCHIATRIC SOLUTIONS INC PROPERTY TYPE: SECURITIES					04/19/2007	05/07/2010
6,010.00		7,693.00					-1,683.00	
		944. CRYOLIFE INC PROPERTY TYPE: SECURITIES					07/29/2009	05/10/2010
4,832.00		5,453.00					-621.00	
		61. WMS INDS INC PROPERTY TYPE: SECURITIES					06/26/2008	05/10/2010
3,010.00		1,747.00					1,263.00	
		112. COINSTAR INC PROPERTY TYPE: SECURITIES					03/29/2010	05/11/2010
5,616.00		3,558.00					2,058.00	
		77. FOSSIL INC PROPERTY TYPE: SECURITIES					02/06/2008	05/12/2010
3,209.00		2,697.00					512.00	
		283. JACK IN THE BOX INC PROPERTY TYPE: SECURITIES					09/14/2009	05/14/2010
6,443.00		5,993.00					450.00	
		195. JACK IN THE BOX INC PROPERTY TYPE: SECURITIES					10/10/2008	05/14/2010
4,440.00		3,386.00					1,054.00	
		272. PSYCHIATRIC SOLUTIONS INC PROPERTY TYPE: SECURITIES					03/01/2010	05/18/2010
8,807.00		5,664.00					3,143.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		451. PSYCHIATRIC SOLUTIONS INC PROPERTY TYPE: SECURITIES					03/18/2009	05/18/2010
14,603.00		12,926.00					1,677.00	
		500. WALT DISNEY CO PROPERTY TYPE: SECURITIES					11/07/2008	05/19/2010
16,720.00		12,093.00					4,627.00	
		1000. FREEPORT MCMORAN COPPER GOLD PROPERTY TYPE: SECURITIES					06/02/2009	05/19/2010
67,563.00		56,909.00					10,654.00	
		1400. GILEAD SCIENCES INC PROPERTY TYPE: SECURITIES					06/02/2009	05/19/2010
54,643.00		60,493.00					-5,850.00	
		3600. GILEAD SCIENCES INC PROPERTY TYPE: SECURITIES					11/07/2008	05/19/2010
140,511.00		162,096.00					-21,585.00	
		381. ARENA RES INC PROPERTY TYPE: SECURITIES					01/14/2010	05/21/2010
11,365.00		14,395.00					-3,030.00	
		105. BERRY PETE CO CL A PROPERTY TYPE: SECURITIES					05/12/2009	05/21/2010
3,046.00		1,816.00					1,230.00	
		267. JAMES RIV COAL CO PROPERTY TYPE: SECURITIES					04/06/2010	05/21/2010
4,133.00		4,795.00					-662.00	
TOTAL GAIN(LOSS)							-835,890.	