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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning

JUNE 1, 2009, and ending

MAY 31, 2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

ROTHROFF/GREENBERG FAMILY FUND

A Employer identification number

11-2975013

Number and street (or P.O. box number if mail is not delivered to street address)

9 SPARROW LANE

Room/suite

B Telephone number (see page 10 of the instructions)

716-921-0861

City or town, state, and ZIP code

WOODBURY, N.Y. 11797

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated under
section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col (c),
line 16) **\$ 521,297**J Accounting method. ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)**Part I****Analysis of Revenue and Expenses** (The total of
amounts in columns (b), (c), and (d) may not necessarily equal
the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	21835	21835	21835	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		0		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	21835	21835	21835	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	300	300	86	86
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	107	107	107	107
24 Total operating and administrative expenses. Add lines 13 through 23	407	407	193	193
25 Contributions, gifts, grants paid	34110			34110
26 Total expenses and disbursements. Add lines 24 and 25	34517	407	193	34303
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	12682			
b Net investment income (if negative, enter -0-)		21728		
c Adjusted net income (if negative, enter -0-)			21642	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (20

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			31516	22843	22843
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)					
	7	Other notes and loans receivable (attach schedule) ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)			379103	369396	498454
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment: basis ▶					
Liabilities		Less: accumulated depreciation (attach schedule) ▶					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment: basis ▶					
		Less: accumulated depreciation (attach schedule) ▶					
	15	Other assets (describe ▶)					
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)			410619	392239	121297
	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶)					
	23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg., and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see page 17 of the instructions)			410619	392239	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)					

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	410619
2	Enter amount from Part I, line 27a	2	(12682)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3 <i>CAPITAL LOANS</i>	4	397937
5	Decreases not included in line 2 (itemize) ▶	5	2698
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	392239

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a <u>WYETH</u>	<u>P</u>	<u>11/28/00</u>	<u>10/16/09</u>
b <u>FRONTIER AIR</u>	<u>P</u>	<u>12/06/99</u>	<u>12/09/09</u>
c <u>MIRANT</u>	<u>P</u>	<u>10/01/00</u>	<u>11/09/09</u>
d <u>PLUM CREEK - CAP GAIN DIV</u>	<u>P</u>	<u>09/30/94</u>	
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a <u>5040</u>		<u>5938</u>	<u>(898)</u>
b <u>0</u>		<u>5550</u>	<u>(5550)</u>
c <u>10</u>		<u>0</u>	<u>10</u>
d <u>690</u>		<u>0</u>	<u>690</u>
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<u>(5748)</u>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	<u>28174</u>	<u>578648</u>	<u>.0543</u>
2007	<u>30527</u>	<u>671027</u>	<u>.0455</u>
2006	<u>35449</u>	<u>670732</u>	<u>.0529</u>
2005	<u>18724</u>	<u>609739</u>	<u>.0307</u>
2004	<u>18695</u>	<u>563659</u>	<u>.0322</u>

2 Total of line 1, column (d)	2	<u>.2166</u>
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	<u>.0433</u>
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	<u>521942</u>
5 Multiply line 4 by line 3	5	<u>22600</u>
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	<u>214</u>
7 Add lines 5 and 6	7	<u>22814</u>
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18	8	<u>34303</u>

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

- 1a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)
- b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b
- c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
- 2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)
- 3** Add lines 1 and 2
- 4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)
- 5 Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-
- 6 Credits/Payments:**
- a** 2009 estimated tax payments and 2008 overpayment credited to 2009
- b** Exempt foreign organizations—tax withheld at source
- c** Tax paid with application for extension of time to file (Form 8868)
- d** Backup withholding erroneously withheld *PER ATTACHED*
- 7** Total credits and payments. Add lines 6a through 6d
- 8** Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached
- 9 Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed**
- 10 Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**
- 11** Enter the amount of line 10 to be: **Credited to 2010 estimated tax** **Refunded**

1	214
2	
3	214
4	
5	214
6a	
6b	
6c	
6d	91
7	91
8	
9	123
10	
11	

Part VII-A Statements Regarding Activities

- 1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
- b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
- c** Did the foundation file **Form 1120-POL** for this year?
- d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation **\$** _____ (2) On foundation managers **\$** _____
- e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers **\$** _____
- 2** Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities
- 3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*
- 4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?
- b** If "Yes," has it filed a tax return on **Form 990-T** for this year?
- 5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T
- 6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
- 7** Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*
- 8a** Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) **NEW YORK**
- b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*
- 9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*
- 10** Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

	Yes	No
1a		<input checked="" type="checkbox"/>
1b		<input checked="" type="checkbox"/>
1c		<input checked="" type="checkbox"/>
2		<input checked="" type="checkbox"/>
3		<input checked="" type="checkbox"/>
4a		<input checked="" type="checkbox"/>
4b		<input checked="" type="checkbox"/>
5		<input checked="" type="checkbox"/>
6	<input checked="" type="checkbox"/>	
7	<input checked="" type="checkbox"/>	
8b	<input checked="" type="checkbox"/>	
9		<input checked="" type="checkbox"/>
10		<input checked="" type="checkbox"/>

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ▶				
14	The books are in care of ▶ <u>GEORGE ROTHKOPF</u> Telephone no ▶ <u>516-921-0861</u>			
	Located at ▶ <u>9 SPARROW LANE, WOODBURY, NY</u> ZIP+4 ▶ <u>11797-3206</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶	15		▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	✓
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
GEORGE ROTHKOPF 9 SPARROW LANE WOODBURY, NJ	TRUSTEE 1 HR PER WEEK	NONE	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions) If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
All other program-related investments See page 24 of the instructions		
3		

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	502,579
b	Average of monthly cash balances	1b	27,311
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	529,890
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	529,890
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	7,948
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	521,942
6	Minimum investment return. Enter 5% of line 5	6	26,097

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	26,097
2a	Tax on investment income for 2009 from Part VI, line 5	2a	214	
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b	2c	214	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	25,883	
4	Recoveries of amounts treated as qualifying distributions	4		
5	Add lines 3 and 4	5	25,883	
6	Deduction from distributable amount (see page 25 of the instructions)	6		
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	25,883	

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	34,303
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	34,303
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	214
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	34,089

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				21883
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				131
e From 2008				2846
f Total of lines 3a through e	2977			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ <u>34089</u>				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				25883
e Remaining amount distributed out of corpus	8206			0
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	11183			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	11183			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				131
d Excess from 2008				2846
e Excess from 2009				8206

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling N/A
- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

GEORGE ROTHKOPF

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year PER ATTACHED SCHEDULES " " "				15210 18900
Total				3a 34110
b Approved for future payment				
Total				3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities				21835	
5	Net rental income or (loss) from real estate.					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				21835	
13	Total. Add line 12, columns (b), (d), and (e)				21835	

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|-------------------------------------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | <input checked="" type="checkbox"/> |
| | (2) Other assets | 1a(2) | <input checked="" type="checkbox"/> |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | <input checked="" type="checkbox"/> |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | <input checked="" type="checkbox"/> |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | <input checked="" type="checkbox"/> |
| | (4) Reimbursement arrangements | 1b(4) | <input checked="" type="checkbox"/> |
| | (5) Loans or loan guarantees | 1b(5) | <input checked="" type="checkbox"/> |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | <input checked="" type="checkbox"/> |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | <input checked="" type="checkbox"/> |
| d | <p>If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p> | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

7/28/10
Date

MANAGER
Title

Paid preparer's use only

Preparer's signature 

Date _____

Check if self-employed ☐

Preparer's identifying
number (see **Signature** on
page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code

EIN ▶
Phone

Acct: HK37729**(none)****Type:** PT Self TTEE OS **Status:** Active **FA:** HK63 **Features:** HH,OLS,Premier,PCS**Address:** Rothkopf/Greenberg Family Foundation Trust **Money Mgr:** N/A **Objective:** Curr Income & Cap App
9 SPARROW LANE
WOODBURY, NY 11797-3206**Risk:** Conservative **Activity as of (Intra):** N/A **Activity as of:** 06/11/2010 **Last Stmt:** 05/10

Total Value: 518,541.33	Equity: 498,830.00	Money Funds/Sweep: 19,711.17	Debit/Credit Balance: 0.00	Funds Available: 19,711.17	Buying Power: 0.00
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Generated On: 06/14/2010**Account# In View:** HK37729**UBS Financial Services****Filtered by:** Entry Date 06/01/2009-12/31/2009, Rma Check

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/31/09	12/31/09	RMA CHECK	CHECK PAID 000348 Payee Unrecorded		1		RMA	-\$250 00
12/30/09	12/30/09	RMA CHECK	CHECK PAID 000287 CONGREGATION AGUDATH ACHIM C		1		RMA	-\$1,600 00
12/30/09	12/30/09	RMA CHECK	CHECK PAID 000345 FRAGILE KIDS FDN		1		RMA	-\$250 00
12/28/09	12/28/09	RMA CHECK	CHECK PAID 000286 NEWSDAY CHARITIES		1		RMA	-\$100 00
12/16/09	12/16/09	RMA CHECK	CHECK PAID 000285 CONGREGATION AGUDATH ACHIM		1		RMA	-\$250 00
12/07/09	12/07/09	RMA CHECK	CHECK PAID 000343 BNAI TORAH		1		RMA	-\$300 00
12/03/09	12/03/09	RMA CHECK	CHECK PAID 000341 RAINBOW HOUSE		1		RMA	-\$250 00
11/18/09	11/18/09	RMA CHECK	CHECK PAID 000342 SIDE BY SIDE CLUBHOUSE		1		RMA	-\$500 00
11/16/09	11/16/09	RMA CHECK	CHECK PAID 000339 ATLANTA UNION MISSION		1		RMA	-\$300 00
11/09/09	11/09/09	RMA CHECK	CHECK PAID 000335 SYCAMORE RIDGE		1		RMA	-\$250 00
11/09/09	11/09/09	RMA CHECK	CHECK PAID 000340 AUDITORY VERBAL CENTER INC		1		RMA	\$250 00
10/26/09	10/26/09	RMA CHECK	CHECK PAID 000283 FOCUS		1		RMA	-\$100 00
10/23/09	10/23/09	RMA CHECK	CHECK PAID 000337 CONN COLLEGE		1		RMA	-\$500 00
10/22/09	10/22/09	RMA CHECK	CHECK PAID 000284 ALZHEIMERS ASSN NYC CHAPTER		1		RMA	-\$250 00
10/19/09	10/19/09	RMA CHECK	CHECK PAID 000333 COUNTRY CLUB OF THE SOUTH C		1		RMA	\$100 00
10/19/09	10/19/09	RMA CHECK	CHECK PAID 000334 F O S S ANNE FRANK PARK		1		RMA	-\$300 00
10/16/09	10/16/09	RMA CHECK	CHECK PAID 000282 MIDWAY JEWISH CENTER		1		RMA	-\$350 00
10/16/09	10/16/09	RMA CHECK	CHECK PAID 000338 JEWISH FED OF GREATER ATLAN		1		RMA	-\$1,000 00
10/06/09	10/06/09	RMA CHECK	CHECK PAID 000281 LEKATEK		1		RMA	-\$3,000 00
10/01/09	10/01/09	RMA CHECK	CHECK PAID 000280 THE JEWISH GUILD OF THE BLI		1		RMA	-\$500 00
09/23/09	09/23/09	RMA CHECK	CHECK PAID 000279 LEKOTEK OF GA		1		RMA	-\$100 00
08/26/09	08/26/09	RMA CHECK	CHECK PAID 000331 ORT AMERICA		1		RMA	\$300 00
08/20/09	08/20/09	RMA CHECK	CHECK PAID 000330 JACK & JILL FDN		1		RMA	-\$300 00
08/20/09	08/20/09	RMA CHECK	CHECK PAID 000332 MUSCULAR DYSTROPHY ASSOCIAT		1		RMA	-\$300 00
08/12/09	08/12/09	RMA CHECK	CHECK PAID 000278 MIDWAY JEWISH CENTER		1		RMA	-\$350 00
08/11/09	08/11/09	RMA CHECK	CHECK PAID 000277 INSTITUTE OF SOUTHERN JEWIS		1		RMA	-\$100 00
08/06/09	08/06/09	RMA CHECK	CHECK PAID 000276 TORAH FUND		1		RMA	-\$300 00
07/23/09	07/23/09	RMA CHECK	CHECK PAID 000270 SOUTHAMPTON HOSP FDN		1		RMA	-\$250 00
07/22/09	07/22/09	RMA CHECK	CHECK PAID 000269 NYS-DEPT OF LAW		1		RMA	-\$100 00
07/20/09	07/20/09	RMA CHECK	CHECK PAID 000268 US TREAS		1		RMA	-\$214 00
07/08/09	07/08/09	RMA CHECK	CHECK PAID 000267 LEKATEK		1		RMA	-\$4,500 00
06/04/09	06/04/09	RMA CHECK	CHECK PAID 000272 YAVNEH		1		RMA	-\$2,000 00

¹ Total Rma Check Amount: -\$19,214 00

Page 1 of 1 Rows: 1 - 32 of 32

¹ The "Total" is a sum of the values displayed in the "Amount" column

* The above card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

Acct: HK37729**(none)****Type:** PT Self TTEE OS **Status:** Active **FA:** HK63 **Features:** HH,OLS,Premier,PCS**Address:** Rothkopf/Greenberg Family Foundation Trust **Money Mgr:** N/A **Objective:** Curr Income & Cap App
9 SPARROW LANE
WOODBURY, NY 11797-3206**Risk:** Conservative **Activity as of (Intra):** N/A **Activity as of:** 06/11/2010 **Last Stmt:** 05/10

Total Value: 518,541.33	Equity: 498,830.00	Money Funds/Sweep: 19,711.17	Debit/Credit Balance: 0.00	Funds Available: 19,711.17	Buying Power: 0.00
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Generated On: 06/14/2010**Account# In View:** HK37729**UBS Financial Services****Filtered by:** Entry Date 06/01/2009-05/31/2010, Fund Check

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
05/28/10	05/28/10	FUND CHECK	CHECK PAID 00300 PEOPLE MAKING PROGRESS		1		RMA	-\$250 00
05/12/10	05/12/10	FUND CHECK	CHECK PAID 00301 CHROHN'S COLITIS FOUNDATION		1		RMA	-\$250 00
05/03/10	05/03/10	FUND CHECK	CHECK PAID 00359 UNLOCK THE WAITING CAMPAIGN		1		RMA	-\$250 00
04/27/10	04/27/10	FUND CHECK	CHECK PAID 00361 COMM RENEWAL INTL		1		RMA	\$100 00
04/16/10	04/16/10	FUND CHECK	CHECK PAID 00273 IRVING HOSP FDN		1		RMA	-\$3,000 00
04/15/10	04/15/10	FUND CHECK	CHECK PAID 00358 JEWISH NATIONAL FUND		1		RMA	-\$250 00
04/14/10	04/14/10	FUND CHECK	CHECK PAID 00360 BNAI TORAH		1		RMA	-\$100 00
04/05/10	04/05/10	FUND CHECK	CHECK PAID 00299 LEKOTEK		1		RMA	-\$3,000 00
03/25/10	03/25/10	FUND CHECK	CHECK PAID 00298 MIDWAY JEWISH CENTER		1		RMA	-\$300 00
03/25/10	03/25/10	FUND CHECK	CHECK PAID 00357 CHILDREN'S HEALTHCARE OF ATL		1		RMA	\$150 00
03/05/10	03/05/10	FUND CHECK	CHECK PAID 00356 FATHER PURCELL'S CHILDREN'S		1		RMA	-\$500 00
03/05/10	03/05/10	FUND CHECK	CHECK PAID 00297 ST JUDE		1		RMA	-\$100 00
03/04/10	03/04/10	FUND CHECK	CHECK PAID 00296 CRONIES & COLITIS FDN		1		RMA	-\$100 00
02/19/10	02/19/10	FUND CHECK	CHECK PAID 00353 HONOR FLIGHT FAYETTE		1		RMA	-\$250 00
02/04/10	02/04/10	FUND CHECK	CHECK PAID 00355 WOW ACAD		1		RMA	\$250 00
02/01/10	02/01/10	FUND CHECK	CHECK PAID 00293 THIRTEEN		1		RMA	-\$100 00
01/29/10	01/29/10	FUND CHECK	CHECK PAID 00294 ANTI DEFAMATION LEAGUE		1		RMA	-\$100 00
01/28/10	01/28/10	FUND CHECK	CHECK PAID 00295 MIDWAY JEWISH CENTER		1		RMA	-\$350 00
01/27/10	01/27/10	FUND CHECK	CHECK PAID 00352 PROJECT EYE TO EYE		1		RMA	-\$250 00
01/27/10	01/27/10	FUND CHECK	CHECK PAID 00344 PROJECT EYE TO EYE		1		RMA	-\$250 00
01/27/10	01/27/10	FUND CHECK	CHECK PAID 00290 CONGREGATION AGUDATH ACHIM C		1		RMA	-\$110 00
01/25/10	01/25/10	FUND CHECK	CHECK PAID 00291 NJA FEDERATION		1		RMA	-\$100 00
01/21/10	01/21/10	FUND CHECK	CHECK PAID 00289 FOCUS		1		RMA	-\$500 00
01/21/10	01/21/10	FUND CHECK	CHECK PAID 00292 SEEDS OF PEACE		1		RMA	-\$100 00
01/20/10	01/20/10	FUND CHECK	CHECK PAID 00354 ALS GEORGIA CHAPTER		1		RMA	-\$250 00
01/20/10	01/20/10	FUND CHECK	CHECK PAID 00349 GALLOWAY SCH DAN WOOLEY FUND		1		RMA	\$250 00
01/15/10	01/15/10	FUND CHECK	CHECK PAID 00351 MEDICAL COLLEGE OF GA SICKLE		1		RMA	-\$250 00
01/12/10	01/12/10	FUND CHECK	CHECK PAID 00350 FORGET MET NOT FDN		1		RMA	-\$500 00
01/06/10	01/06/10	FUND CHECK	CHECK PAID 00346 CENTER FOR THE VISUALLY IMPA		1		RMA	\$250 00
01/05/10	01/05/10	FUND CHECK	CHECK PAID 00288 LEKATEK		1		RMA	-\$3,000 00

¹ Total Fund Check Amount: -\$15,210 00

Page: 1 of 1 Rows 1 - 30 of 30

¹ The "Total" is a sum of the values displayed in the "Amount" column

* The above card activity is debited from your account on the 26th of each month, assuming this date is a business day. If the date is not a business day, it is debited from your account on the following business day.

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UBS Financial Services Inc.
61 SOUTH PARAMUS ROAD, 2ND FL
MACK CENTRE IV
PARAMUS NJ 07652-1238

Account Number HK 37729 63
Tax Identification Number 11-2975013

Corrected 02/28/10 Page 1 of 12

UBS Financial Services Inc.

2009 Consolidated Form 1099

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1000 Harbor Boulevard,
Weehawken, NJ 07086-6790 (Federal ID 13-2638166)

Your Financial Advisor or Contact
EHRENREICH/DEANE
C12L109997-X23
201-845-4415/E00-698-2707

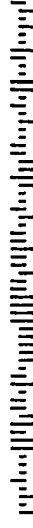
Form 1099-DIV Dividends and Distributions (OMB No 1545-0110)

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	\$ 17,686.94
1b	Qualified dividends	16,327.96
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	690.48
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nondividend distributions	848.95
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	91.05
7	Foreign country or U S possession	0.00
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-INT Interest Income (OMB No 1545-0112)

Line#	Category	Amount
1	Interest income (not included on line 3)	\$ 64.89
2	Early withdrawal penalty	0.00
3	Interest on U S Savings Bonds and Treasury obligations	0.00
4	Federal income tax withheld	0.00
5	Investment expenses (included on line 1)	0.00
6	Foreign tax paid	0.00
7	Foreign country or U S possession	0.00
8	Tax-exempt interest	0.00
9	Specified private activity bond interest (included on line 8)	0.00

00005015 02 AT 0.482 02 TR 00032 BDEAB121 011000 edg
ROTHKOPF/GREENBERG FAMILY
FOUNDATION TRUST
9 SPARROW LANE
WOODBURY NY 11797-3206



Only the forms applicable to your account are shown.
Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-MISC.

Form 1099-B Proceeds From Broker & Barter Exchange

Transactions (OMB No 1545-0715)

Line#	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc reported to IRS (gross proceeds less commissions)	\$ 5,039.51
3	Bartering	0.00
4	Federal income tax withheld	0.00
5	Number of shares exchanged	100
6	Classes of stock exchanged	Various
7	Description	See details
8	Profit or (loss) realized on futures contracts for 2009	0.00
9	Unrealized profit or (loss) on open contracts - 12/31/2008	0.00
10	Total Unrealized profit or (loss) on open contracts - 12/31/2009	0.00
11	Aggregate profit or (loss) (from lines 8, 9 and 10)	0.00

ROTHKOPF / GREENBERG FAMILY FOUNDATION
11-29-13 5/31/10

PART 1 - LINE 18 - TAXES

FEDERAL INCOME TAX	214
FOREIGN WITHHOLDING TAX	86
	300

PART 1 - LINE 23 - OTHER EXPENSES

STATE REGISTRATION	100
BANK CHARGE	7
	107

SECURITIES SCHEDULE

Date Acquired	TYPE AND NAME OF SECURITY	INVENTORY BEGINNING OF PERIOD		PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	No. Shares o Princ
		Shares or Principal	Cost or Acquisition Value	Number Shares	Cost Share	Gross Cost	No. of Shares	Price Share	Gross Selling Price			
	PWD		227513			1731					(5500)	
11/20/00	SYNGENTA AG	50	0									
11/13/96	UGI CORP	1200	9300									
6/7/98	VERIZON COMM	400	19112									
6/12/98	WALMART	400	11770									
11/28/00	WYETH	100	5938								(898)	
11/19/96	TUM BRANDS :	240	1449									
6/29/07	CASELL HEALTH	48	451									
10/1/98	GABELL EQUITY TR	962	8125									
10/1/98	GABELL UTILITY TR	169	896									
11/1/96	ENVIROLOGE ENERGY	400	13325									
8/6/08	MAGGELLEN MIDSTREAM	300	11059									
8/6/08	REGENCY ENERGY	400	10151									
7/10/03	FLANEGTY OVERMINE PKD	1000	12852									
7/10/03	FLANEGTY OCEAN/CATMORE	621	16040									
7/10/03	NULKEEN RURAL PFI	1000	16122									
4/2/06	BACALLAYS BANK	600	15000									
	MIRANT		0									
	PLUM CREEK TIMBER											
	TOTALS →		• 379103			• 1731					• 5740	(5698)

STATE OF NEW YORK, OFFICE OF THE ATTORNEY GENERAL
 CHARITIES BUREAU
 120 Broadway, NEW YORK, N.Y. 10271

THE ROTHKOPF GREENBERG FAMILY FOUNDATION SECURITIES SCHEDULE

NAME OF ORGANIZATION

Date Acquired	TYPE AND NAME OF SECURITY	INVENTORY BEGINNING OF PERIOD		PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	No. St or Princ
		Shares or Principal	Cost or Acquisition Value	Number Shares	Cost Share	Gross Cost	No. of Shares	Price Share	Gross Selling Price			
9/10/04	ALLEGHENY ENERGY	300	6544									32
11/19/96	ASTRAZENECA	403	13369									42
12/21/94	BP LLC	600	12040									62
1/24/97	CENTERPOINT ENERGY	1123	22063									11
6/3/98	CONOCO PHILLIPS	400	10188									4
9/30/94	EXXON MOBIL	792	11878									7
4/1/08	FAIRPOINT COMM	7	0									7
7/13/96	FRESENIUS MEDICAL	514	9950									7
12/6/99	FRONTIER AIRLINES	750	5500				750	0	0	12/9/09	(5500)	2
6/9/98	GLAXO SMITHKLINE	200	11506									3
10/11/96	GRACE, WA	300	8657									3
11/28/06	IDEARCH	20	0									1
12/13/01	MANULIFE FINL	176	3000									1
11/28/00	NABORS INDUST	336	9126									32
6/1/98	NJ RESOURCES	1125	11313									11
6/9/98	NY COMMUNITY BANK	749	11290									7
6/9/98	OLD REPUBLIC INTL	1265	19467									12
11/13/96	PEPSICO INC	600	17638									6
5/19/99	PKIERS INC	550	13440	92	1765	1731						6
9/30/94	PLUM CREEK TIMBER	411	6700									4
6/10/98	PNM RESOURCES	750	11545									7
1/24/97	RR1 ENERGY	885	4202									8
11/12/96	SEALED AIR CORP	320	6890									3
1/10/95	SOUTHERN CO	200	2459									2
5/6/97	SOUTHWEST AIR	600	3248									6
	TOTALS		227513			1731					(5500)	

5/31/10

SECURITIES SCHEDULE

CALENDAR OR OTHER FISCAL YEAR

ATTN

	INVENTORY BEGINNING OF PERIOD		PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITIONS			Date Sold	Amount Gain or Loss	INVENTORY END OF PERIOD			Income Received
	Shares or Principal	Cost or Acquisition Value	Number Shares	Cost Share	Gross Cost	No. of Shares	Price Share	Gross Selling Price			No. Shares or Principal	Cost or Acquisition Value	Market Value	
✓	300	6544									300	6544	6138	
	403	13369									403	13369	17027	
	600	12040									600	12040	25770	
67	1123	22763									1123	22763	15295	
	400	10188									400	10188	20744	
	792	11878									792	11878	47884	
	7	0									7	0	0	
✓	314	9950							12/9/09	(15500)	314	9950	15578	
✓	750	5500				750	0	0						
	200	11506									200	11506	6692	
	300	3657									300	3657	7689	
	20	0									20	0	0	
	176	3000									176	3000	2937	
	336	9126									336	9126	6394	
	1125	11313									1125	11313	39881	
✓	749	11290									749	11290	12021	
	1265	19467									1265	19467	17533	
	600	17638									600	17638	37734	
	550	13440	98	1765	1731						648	15171	9869	
✓	411	16700									411	6700	14393	
	750	11545									750	11545	9255	
	885	4202									885	4202	3912	
✓	320	6890									320	6890	6669	
	200	2459									200	2459	6540	
	600	3248									600	3248	7464	
→		227513			1731					(15500)		223744	337419	