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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning MAY 1, 2009, and ending APR 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation STANLEY AND CATHERINE MAAS FOUNDATION		A Employer identification number 11-2690167
	Number and street (or P.O. box number if mail is not delivered to street address) 38 MALLARD RD		B Telephone number 516-627-1901
	City or town, state, and ZIP code MANHASSET, NY 11030-1220		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,413,246. (Part I, column (d) must be on cash basis.)		J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,095,827.			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	54,630.	54,505.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	34,067.			
	b Gross sales price for all assets on line 6a	281,417.			
	7 Capital gain net income (from Part IV, line 2)		34,067.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	1,184,524.	88,572.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2 8,000.	0.	0.	0.
	c Other professional fees	STMT 3 18,708.	18,708.	0.	0.
	17 Interest				
	18 Taxes	STMT 4 529.	0.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	27,237.	18,708.	0.	0.
	25 Contributions, gifts, grants paid	62,300.			62,300.
26 Total expenses and disbursements. Add lines 24 and 25	89,537.	18,708.	0.	62,300.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1,094,987.				
b Net investment income (if negative, enter -0-)		69,864.			
c Adjusted net income (if negative, enter -0-)			0.		

19

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	34,756.	14,356.	14,356.
	2 Savings and temporary cash investments	38,306.	86,666.	86,666.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	211,827.	595,736.	612,531.
	b Investments - corporate stock STMT 6	564,020.	1,251,401.	1,525,916.
	c Investments - corporate bonds STMT 7	152,668.	142,151.	166,571.
	11 Investments - land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
Liabilities	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 8)	952.	7,206.	7,206.
	16 Total assets (to be completed by all filers)	1,002,529.	2,097,516.	2,413,246.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,002,529.	2,097,516.	
	30 Total net assets or fund balances	1,002,529.	2,097,516.	
	31 Total liabilities and net assets/fund balances	1,002,529.	2,097,516.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,002,529.
2 Enter amount from Part I, line 27a	2	1,094,987.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,097,516.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,097,516.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 281,417.		247,350.	34,067.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			34,067.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	34,067.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	69,122.	1,047,944.	.065960
2007	64,081.	1,352,252.	.047388
2006	73,707.	1,372,910.	.053687
2005	64,802.	1,357,371.	.047741
2004	65,824.	1,310,174.	.050241

2 Total of line 1, column (d)	2	.265017
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053003
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,365,546.
5 Multiply line 4 by line 3	5	72,378.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	699.
7 Add lines 5 and 6	7	73,077.
8 Enter qualifying distributions from Part XII, line 4	8	62,300.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,397.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,397.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,397.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,460.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,460.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	63.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ 63. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► EVELYN LEV Located at ► 38 MALLARD RD, MANHASSET, NY	Telephone no. ► 516-627-1901 ZIP+4 ► 11030		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15 N/A		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CATHERINE NOLAN	SECRETARY			
19 TURKEY HILL CIRCLE				
WESTPORT, CT 06880	0.00	0.	0.	0.
EVELYN LEV	PRESIDENT			
38 MALLARD RD.				
MANHASSET, NY 11030	15.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	1,362,369.
b Average of monthly cash balances	1b	23,972.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	1,386,341.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,386,341.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	20,795.
5 Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,365,546.
6 Minimum investment return Enter 5% of line 5	6	68,277.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	68,277.
2a Tax on investment income for 2009 from Part VI, line 5	2a	1,397.
b Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	1,397.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	66,880.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	66,880.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	66,880.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	62,300.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	62,300.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	62,300.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				66,880.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			43,035.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 62,300.				
a Applied to 2008, but not more than line 2a			43,035.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				19,265.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				47,615.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

N/A

- ▶

☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	54,630.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	34,067.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		88,697.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	88,697.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <u>Evelyn Lev</u>		Date <u>9-13-2010</u>		OFFICER	
			Title			
Paid Preparer's Use Only	Preparer's signature <u>RICHARD CEPLER</u>		Date <u>9/18/10</u>		Check if self-employed ☐	
	Firm's name (or yours if self-employed), address, and ZIP code		EIN		Preparer's identifying number	
KOFLER, LEVENSTEIN, ROMANOTTO & CO., P.C. 100 MERRICK ROAD, SUITE 210E ROCKVILLE CENTRE, NY 11570-4881			Phone no. (516) 536-0300			

Phone no. (516) 536-0300

Form **990-PF** (2009)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

STANLEY AND CATHERINE MAAS FOUNDATION

11-2690167

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

STANLEY AND CATHERINE MAAS FOUNDATION

11-2690167

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	STANLEY E AND CATHERINE T MAAS CHARITABLE REMAINDER UNITRUST 38 MALLARD ROAD MANHASSET , NY 11030	\$ 1,095,827.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALLIANZ FIXED INCOME SHARES: SERIES C	P	04/01/09	09/18/09
b	ALLIANZ FIXED INCOME SHARES: SERIES M	P	04/01/09	09/18/09
c	ABBOTT LABS	P	05/20/09	09/18/09
d	ABBOTT LABS	P	05/20/09	02/16/10
e	ACCENTURE PLC IRELAND CL A	P	10/07/08	02/16/10
f	ACCENTURE PLC IRELAND CL A	P	10/07/08	02/10/10
g	ACCENTURE PLC IRELAND CL A	P	10/07/08	09/18/09
h	ALLSTATE CORP	P	10/07/08	09/09/09
i	ALLSTATE CORP	P	10/07/08	01/27/10
j	ALLSTATE CORP	P	10/07/08	09/18/09
k	ALLSTATE CORP	P	10/07/08	02/04/10
l	ALLSTATE CORP	P	03/27/09	02/04/10
m	ALTRIA GROUP INC	P	02/25/09	07/09/09
n	ALTRIA GROUP INC	P	02/25/09	07/15/09
o	ALTRIA GROUP INC	P	04/01/09	07/15/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,795.		10,575.	2,220.
b 7,589.		6,622.	967.
c 138.		131.	7.
d 55.		44.	11.
e 41.		32.	9.
f 919.		741.	178.
g 757.		676.	81.
h 809.		1,086.	-277.
i 902.		1,163.	-261.
j 153.		194.	-41.
k 1,369.		1,822.	-453.
l 262.		184.	78.
m 851.		807.	44.
n 268.		248.	20.
o 34.		33.	1.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,220.
b			967.
c			7.
d			11.
e			9.
f			178.
g			81.
h			-277.
i			-261.
j			-41.
k			-453.
l			78.
m			44.
n			20.
o			1.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALTRIA GROUP INC	P	04/02/09	07/15/09
b	ALTRIA GROUP INC	P	04/02/09	07/22/09
c	AON CORP	P	07/29/09	02/16/10
d	AON CORP	P	07/29/09	09/18/09
e	APACHE CORP	P	10/07/08	02/16/10
f	AT&T INC	P	10/07/08	09/18/09
g	BANK OF NEW YORK MELLON CORP	P	10/07/08	02/16/10
h	BANK OF NEW YORK MELLON CORP	P	10/07/08	02/19/10
i	BECTON DICKINSON & CO	P	12/22/09	02/16/10
j	CHEVRON CORP	P	06/26/08	02/16/10
k	CHEVRON CORP	P	06/26/08	09/18/09
l	CHUBB CORP	P	05/09/08	09/18/09
m	CVS CAREMARK CORP	P	10/07/08	03/24/10
n	CVS CAREMARK CORP	P	10/07/08	02/16/10
o	CVS CAREMARK CORP	P	10/07/08	12/02/09

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	201.		193.	8.
b	565.		531.	34.
c	40.		40.	0.
d	83.		79.	4.
e	104.		83.	21.
f	296.		287.	9.
g	194.		174.	20.
h	316.		274.	42.
i	77.		78.	-1.
j	73.		98.	-25.
k	73.		98.	-25.
l	148.		158.	-10.
m	2,789.		2,312.	477.
n	34.		30.	4.
o	955.		919.	36.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8.
b			34.
c			0.
d			4.
e			21.
f			9.
g			20.
h			42.
i			-1.
j			-25.
k			-25.
l			-10.
m			477.
n			4.
o			36.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CVS CAREMARK CORP	P	10/07/08	09/18/09
b	CVS CAREMARK CORP	P	10/07/08	05/13/09
c	DEVON ENERGY CORP NEW	P	11/19/08	01/06/10
d	DEVON ENERGY CORP NEW	P	11/19/08	10/19/09
e	DEVON ENERGY CORP NEW	P	11/19/08	09/18/09
f	DEVON ENERGY CORP NEW	P	12/03/08	01/06/10
g	DEVON ENERGY CORP NEW	P	12/03/08	01/14/10
h	DEVON ENERGY CORP NEW	P	03/27/09	01/14/10
i	DIAGEO PLC NEW GB SPON ADR	P	10/07/08	02/16/10
j	DIAGEO PLC NEW GB SPON ADR	P	10/07/08	09/18/09
k	DOMINION RESOURCES INC VA (NEW)	P	10/07/08	09/18/09
l	DOMINION RESOURCES INC VA (NEW)	P	10/07/08	10/15/09
m	EATON CORP	P	10/07/08	09/18/09
n	EATON CORP	P	10/07/08	10/21/09
o	EXXON MOBIL CORP	P	09/19/06	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 109.		89.	20.
b 572.		534.	38.
c 837.		779.	58.
d 925.		920.	5.
e 284.		283.	1.
f 152.		132.	20.
g 666.		594.	72.
h 222.		137.	85.
i 66.		61.	5.
j 129.		123.	6.
k 34.		39.	-5.
l 2,612.		2,938.	-326.
m 60.		49.	11.
n 1,023.		777.	246.
o 70.		66.	4.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			20.
b			38.
c			58.
d			5.
e			1.
f			20.
g			72.
h			85.
i			5.
j			6.
k			-5.
l			-326.
m			11.
n			246.
o			4.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FPL GROUP INC	P	10/07/08	09/16/09
b FPL GROUP INC	P	10/07/08	08/19/09
c GENL MILLS INC	P	10/19/09	02/16/10
d GOLDMAN SACHS GROUP INC	P	10/07/08	05/13/09
e GOLDMAN SACHS GROUP INC	P	10/07/08	10/19/09
f GOLDMAN SACHS GROUP INC	P	10/07/08	09/18/09
g HASBRO INC	P	01/07/09	09/18/09
h HESS CORP	P	10/07/08	02/16/10
i HESS CORP	P	10/07/08	09/18/09
j HEWLETT PACKARD CO	P	09/27/07	06/24/09
k HEWLETT PACKARD CO	P	05/09/08	07/01/09
l HEWLETT PACKARD CO	P	05/09/08	06/24/09
m HEWLETT PACKARD CO	P	10/29/08	07/01/09
n INTEL CORP	P	04/25/08	05/06/09
o INTEL CORP	P	04/25/08	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 887.		696.	191.
b 965.		740.	225.
c 70.		66.	4.
d 789.		700.	89.
e 919.		584.	335.
f 365.		233.	132.
g 174.		176.	-2.
h 121.		127.	-6.
i 111.		127.	-16.
j 488.		654.	-166.
k 387.		490.	-103.
l 300.		392.	-92.
m 968.		896.	72.
n 805.		1,113.	-308.
o 216.		245.	-29.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			191.
b			225.
c			4.
d			89.
e			335.
f			132.
g			-2.
h			-6.
i			-16.
j			-166.
k			-103.
l			-92.
m			72.
n			-308.
o			-29.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INTL BUSINESS MACH	P	10/07/08	09/18/09
b	INTL BUSINESS MACH	P	10/07/08	04/14/10
c	INTL BUSINESS MACH	P	10/07/08	01/14/10
d	JOHNSON & JOHNSON COM	P	10/07/08	02/19/10
e	JOHNSON & JOHNSON COM	P	10/07/08	09/18/09
f	JPMORGAN CHASE & CO	P	11/12/08	02/16/10
g	JPMORGAN CHASE & CO	P	11/12/08	09/18/09
h	KIMBERLY CLARK CORP	P	10/01/09	03/10/10
i	KIMBERLY CLARK CORP	P	02/16/10	03/10/10
j	KROGER COMPANY	P	12/03/08	01/27/10
k	KROGER COMPANY	P	12/03/08	09/18/09
l	KROGER COMPANY	P	12/10/08	02/17/10
m	KROGER COMPANY	P	12/10/08	02/16/10
n	KROGER COMPANY	P	12/10/08	01/27/10
o	KROGER COMPANY	P	01/27/09	02/17/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 122.		96.	26.
b 1,835.		1,341.	494.
c 659.		479.	180.
d 892.		883.	9.
e 183.		189.	-6.
f 40.		35.	5.
g 315.		243.	72.
h 4,937.		4,835.	102.
i 178.		177.	1.
j 471.		602.	-131.
k 125.		164.	-39.
l 370.		441.	-71.
m 22.		26.	-4.
n 429.		519.	-90.
o 348.		397.	-49.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			26.
b			494.
c			180.
d			9.
e			-6.
f			5.
g			72.
h			102.
i			1.
j			-131.
k			-39.
l			-71.
m			-4.
n			-90.
o			-49.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	KROGER COMPANY	P	02/06/09	02/17/10
b	KROGER COMPANY	P	04/08/09	02/17/10
c	KROGER COMPANY	P	04/08/09	03/03/10
d	KROGER COMPANY	P	04/09/09	03/03/10
e	KROGER COMPANY	P	12/02/09	03/03/10
f	KROGER COMPANY	P	12/03/09	03/03/10
g	KROGER COMPANY	P	02/19/10	03/03/10
h	LOCKHEED MARTIN CORP	P	10/19/05	09/18/09
i	LOCKHEED MARTIN CORP	P	11/22/05	12/02/09
j	LOCKHEED MARTIN CORP	P	11/22/05	09/18/09
k	MACY'S INC	P	08/12/09	12/22/09
l	MACY'S INC	P	08/12/09	09/18/09
m	MEDTRONIC INC	P	04/29/09	09/18/09
n	MERCK & CO **NAME CHANGE:11/ 2009**	P	04/25/08	10/28/09
o	MERCK & CO **NAME CHANGE:11/ 2009**	P	04/25/08	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	327.	339.	-12.
b	828.	784.	44.
c	202.	186.	16.
d	672.	604.	68.
e	1,233.	1,259.	-26.
f	672.	668.	4.
g	22.	22.	0.
h	240.	186.	54.
i	626.	486.	140.
j	240.	182.	58.
k	993.	917.	76.
l	72.	64.	8.
m	151.	125.	26.
n	776.	973.	-197.
o	192.	243.	-51.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-12.
b			44.
c			16.
d			68.
e			-26.
f			4.
g			0.
h			54.
i			140.
j			58.
k			76.
l			8.
m			26.
n			-197.
o			-51.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERCK & CO **NAME CHANGE:11/ 2009**	P	04/25/08	07/29/09
b	MERCK & CO **NAME CHANGE:11/ 2009**	P	05/09/08	10/28/09
c	MERCK & CO INC NEW COM	P	05/09/08	11/11/09
d	MERCK & CO INC NEW COM	P	05/29/08	12/16/09
e	MERCK & CO INC NEW COM	P	05/29/08	11/11/09
f	MERCK & CO INC NEW COM	P	07/15/08	12/16/09
g	MERCK & CO INC NEW COM	P	10/29/08	12/16/09
h	MERCK & CO INC NEW COM	P	10/29/08	01/14/10
i	MERCK & CO INC NEW COM	P	04/22/09	01/14/10
j	METLIFE INC	P	10/07/08	04/28/10
k	METLIFE INC	P	10/07/08	02/16/10
l	METLIFE INC	P	10/07/08	09/18/09
m	NATL-OILWELLVARCO INC	P	01/06/10	02/16/10
n	NESTLE S A SPONSORED ADR REPSTG REG SHS	P	10/07/08	03/24/10
o	NESTLE S A SPONSORED ADR REPSTG REG SHS	P	10/07/08	02/19/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 895.		1,217.	-322.
b 162.		196.	-34.
c 767.		901.	-134.
d 415.		432.	-17.
e 234.		275.	-41.
f 113.		109.	4.
g 415.		330.	85.
h 828.		630.	198.
i 749.		436.	313.
j 1,904.		1,637.	267.
k 140.		152.	-12.
l 79.		76.	3.
m 88.		94.	-6.
n 1,812.		1,411.	401.
o 146.		118.	28.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-322.
b			-34.
c			-134.
d			-17.
e			-41.
f			4.
g			85.
h			198.
i			313.
j			267.
k			-12.
l			3.
m			-6.
n			401.
o			28.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part-IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NESTLE S A SPONSORED ADR REPSTG REG SHS	P	10/07/08	11/11/09
b	NESTLE S A SPONSORED ADR REPSTG REG SHS	P	10/07/08	09/18/09
c	NIKE INC CL B	P	10/07/08	06/10/09
d	NIKE INC CL B	P	09/16/09	02/16/10
e	NIKE INC CL B	P	09/16/09	04/21/10
f	NIKE INC CL B	P	10/07/08	04/29/09
g	NORTHROP GRUMMAN CORP	P	10/07/08	08/26/09
h	NORTHROP GRUMMAN CORP	P	10/07/08	03/04/10
i	NORTHROP GRUMMAN CORP	P	10/07/08	02/16/10
j	NORTHROP GRUMMAN CORP	P	10/07/08	11/04/09
k	NORTHROP GRUMMAN CORP	P	10/07/08	09/18/09
l	NORTHROP GRUMMAN CORP	P	10/07/08	03/04/10
m	OMNICOM GROUP INC	P	10/07/08	08/12/09
n	OMNICOM GROUP INC	P	10/07/08	10/01/09
o	OMNICOM GROUP INC	P	10/07/08	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 950.		784.	166.
b 302.		274.	28.
c 2,172.		2,231.	-59.
d 63.		57.	6.
e 2,066.		1,530.	536.
f 601.		646.	-45.
g 975.		1,089.	-114.
h 1,180.		1,034.	146.
i 59.		54.	5.
j 405.		435.	-30.
k 466.		490.	-24.
l 126.		109.	17.
m 869.		852.	17.
n 1,607.		1,500.	107.
o 153.		136.	17.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			166.
b			28.
c			-59.
d			6.
e			536.
f			-45.
g			-114.
h			146.
i			5.
j			-30.
k			-24.
l			17.
m			17.
n			107.
o			17.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part-IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	OMNICOM GROUP INC	P	02/11/09	10/01/09
b	ORACLE CORP	P	10/07/08	08/19/09
c	ORACLE CORP	P	10/07/08	02/16/10
d	ORACLE CORP	P	10/07/08	09/18/09
e	PEPSICO INC	P	10/15/08	09/18/09
f	PFIZER INC	P	03/04/09	04/14/10
g	PFIZER INC	P	03/04/09	02/19/10
h	PFIZER INC	P	03/04/09	02/10/10
i	PFIZER INC	P	03/04/09	09/18/09
j	PFIZER INC	P	03/05/09	04/14/10
k	PFIZER INC	P	04/08/09	04/14/10
l	PFIZER INC	P	04/09/09	04/14/10
m	PG & E CORP (HOLDING COMPANY)	P	05/09/08	09/18/09
n	PHILIP MORRIS INTL INC	P	10/07/08	10/01/09
o	PHILIP MORRIS INTL INC	P	10/07/08	09/30/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 767.		587.	180.
b 910.		724.	186.
c 47.		34.	13.
d 196.		155.	41.
e 120.		108.	12.
f 458.		326.	132.
g 162.		109.	53.
h 1,049.		712.	337.
i 267.		193.	74.
j 747.		556.	191.
k 323.		259.	64.
l 289.		229.	60.
m 124.		121.	3.
n 48.		45.	3.
o 672.		629.	43.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			180.
b			186.
c			13.
d			41.
e			12.
f			132.
g			53.
h			337.
i			74.
j			191.
k			64.
l			60.
m			3.
n			3.
o			43.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PHILIP MORRIS INTL INC	P	10/07/08	09/18/09
b	PNC FINANCIAL SERVICES GROUP	P	09/26/07	06/02/09
c	PNC FINANCIAL SERVICES GROUP	P	05/09/08	06/02/09
d	PNC FINANCIAL SERVICES GROUP	P	01/20/10	02/16/10
e	PPG INDUSTRIES INC	P	10/07/08	04/14/10
f	PPG INDUSTRIES INC	P	10/07/08	02/16/10
g	PPG INDUSTRIES INC	P	10/07/08	01/20/10
h	PPG INDUSTRIES INC	P	10/07/08	09/18/09
i	PUBLIC SERVICE ENTERPRSE GROUP INC	P	10/07/08	09/18/09
j	PUBLIC SERVICE ENTERPRSE GROUP INC	P	10/07/08	04/07/10
k	PUBLIC SERVICE ENTERPRSE GROUP INC	P	10/07/08	02/16/10
l	SHERWIN WILLIAMS CO	P	10/08/08	09/18/09
m	SHERWIN WILLIAMS CO	P	10/08/08	05/20/09
n	SHERWIN WILLIAMS CO	P	10/08/08	01/06/10
o	SHERWIN WILLIAMS CO	P	10/22/08	01/06/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 434.		405.	29.
b 999.		1,651.	-652.
c 583.		941.	-358.
d 105.		117.	-12.
e 2,489.		1,855.	634.
f 122.		103.	19.
g 1,004.		824.	180.
h 536.		464.	72.
i 96.		86.	10.
j 2,048.		1,918.	130.
k 31.		29.	2.
l 124.		106.	18.
m 450.		426.	24.
n 416.		372.	44.
o 535.		511.	24.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			29.
b			-652.
c			-358.
d			-12.
e			634.
f			19.
g			180.
h			72.
i			10.
j			130.
k			2.
l			18.
m			24.
n			44.
o			24.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SMUCKER J M CO NEW	P	11/12/08	05/21/09
b	SMUCKER J M CO NEW	P	11/19/08	05/21/09
c	SMUCKER J M CO NEW	P	07/15/09	09/18/09
d	SMUCKER J M CO NEW	P	07/15/09	09/30/09
e	STATE STREET CORP	P	10/07/08	09/18/09
f	STATE STREET CORP	P	10/07/08	02/16/10
g	STATE STREET CORP	P	10/07/08	11/04/09
h	STATE STREET CORP	P	10/07/08	03/31/10
i	STATE STREET CORP	P	10/07/08	02/19/10
j	STATE STREET CORP	P	01/07/09	03/31/10
k	STATE STREET CORP	P	02/06/09	03/31/10
l	STATE STREET CORP	P	07/07/09	03/31/10
m	TOTAL S.A. FRANCE SPON ADR	P	10/07/08	09/18/09
n	TOTAL S.A. FRANCE SPON ADR	P	10/07/08	05/13/09
o	TOTAL S.A. FRANCE SPON ADR	P	10/07/08	04/07/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 790.		801.	-11.
b 395.		404.	-9.
c 53.		50.	3.
d 582.		545.	37.
e 218.		169.	49.
f 45.		42.	3.
g 832.		843.	-11.
h 3,804.		3,541.	263.
i 93.		84.	9.
j 408.		408.	0.
k 181.		122.	59.
l 226.		229.	-3.
m 369.		315.	54.
n 556.		524.	32.
o 2,048.		1,835.	213.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-11.
b			-9.
c			3.
d			37.
e			49.
f			3.
g			-11.
h			263.
i			9.
j			0.
k			59.
l			-3.
m			54.
n			32.
o			213.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TOTAL S.A. FRANCE SPON ADR	P	10/07/08	02/25/10
b	TOTAL S.A. FRANCE SPON ADR	P	10/07/08	10/28/09
c	TRAVELERS COS INC/THE	P	04/01/09	02/19/10
d	TRAVELERS COS INC/THE	P	04/01/09	09/18/09
e	UNTD TECHNOLOGIES CORP	P	10/07/08	09/18/09
f	VODAFONE GROUP PLC NEW SPON ADR	P	10/07/08	02/16/10
g	VODAFONE GROUP PLC NEW SPON ADR	P	10/07/08	09/18/09
h	WALT DISNEY CO (HOLDING CO) DISNEY COM	P	10/07/08	02/16/10
i	WALT DISNEY CO (HOLDING CO) DISNEY COM	P	10/07/08	09/18/09
j	WALT DISNEY CO (HOLDING CO) DISNEY COM	P	10/07/08	09/16/09
k	WELLS FARGO & CO NEW	P	04/16/09	02/16/10
l	WELLS FARGO & CO NEW	P	04/16/09	09/18/09
m	WESTERN UNION CO	P	06/10/09	07/29/09
n	WYETH ***MERGER EFF: 10/09***	P	10/07/08	10/07/09
o	WYETH ***MERGER EFF: 10/09***	P	10/07/08	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,800.		1,730.	70.
b 867.		734.	133.
c 951.		745.	206.
d 47.		41.	6.
e 126.		102.	24.
f 44.		43.	1.
g 229.		213.	16.
h 30.		27.	3.
i 114.		107.	7.
j 933.		882.	51.
k 27.		19.	8.
l 114.		75.	39.
m 1,503.		1,458.	45.
n 1,612.		1,169.	443.
o 96.		71.	25.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			70.
b			133.
c			206.
d			6.
e			24.
f			1.
g			16.
h			3.
i			7.
j			51.
k			8.
l			39.
m			45.
n			443.
o			25.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WYETH ***MERGER EFF: 10/09***	P	10/07/08	09/02/09
b	WYETH ***MERGER EFF: 10/09***	P	10/07/08	08/05/09
c	WYETH ***MERGER EFF: 10/09***	P	10/07/08	07/09/09
d	3M CO	P	12/10/08	07/29/09
e	3M CO	P	12/10/08	02/16/10
f	3M CO	P	12/10/08	09/18/09
g	ABB LTD SPON ADR	P	03/26/09	09/18/09
h	ABBOTT LABS	P	03/26/09	09/25/09
i	ABBOTT LABS	P	03/26/09	09/18/09
j	AMGEN INC	P	04/15/08	04/09/10
k	AMGEN INC	P	07/16/08	04/09/10
l	BARD C R INC	P	03/26/09	09/18/09
m	CAMERON INTL CORP	P	03/26/09	09/18/09
n	CERNER CORP	P	03/26/09	10/30/09
o	CERNER CORP	P	03/26/09	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,902.		1,417.	485.
b 1,120.		850.	270.
c 586.		460.	126.
d 828.		688.	140.
e 80.		57.	23.
f 75.		57.	18.
g 410.		289.	121.
h 2,976.		2,870.	106.
i 230.		228.	2.
j 851.		604.	247.
k 2,737.		2,391.	346.
l 402.		394.	8.
m 192.		125.	67.
n 1,462.		871.	591.
o 349.		229.	120.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			485.
b			270.
c			126.
d			140.
e			23.
f			18.
g			121.
h			106.
i			2.
j			247.
k			346.
l			8.
m			67.
n			591.
o			120.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CISCO SYSTEMS INC	P	07/25/05	09/18/09
b	COGNIZANT TECH SOLUTIONS CRP	P	03/26/09	09/18/09
c	DANAHER CORP	P	03/26/09	09/18/09
d	DARDEN RESTAURANTS INC	P	04/15/09	09/18/09
e	DIRECTV GROUP INC **MERGER EFF:11/09**	P	03/26/09	09/18/09
f	ECOLAB INC	P	03/26/09	09/18/09
g	EMERSON ELECTRIC CO	P	03/26/09	08/17/09
h	EXPRESS SCRIPTS INC	P	03/26/09	09/18/09
i	FAMILY DOLLAR STORES	P	03/26/09	09/25/09
j	FOSTER WHEELER AG	P	08/17/09	03/04/10
k	FOSTER WHEELER AG	P	08/17/09	09/18/09
l	FOSTER WHEELER AG	P	10/26/09	03/04/10
m	FTI CONSULTING INC	P	03/26/09	11/02/09
n	GAMESTOP CORP NEW (HOLDING CO) CL A	P	03/26/09	08/25/09
o	HALLIBURTON CO (HOLDING COMPANY)	P	03/26/09	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 468.		382.	86.
b 575.		319.	256.
c 344.		278.	66.
d 368.		363.	5.
e 156.		139.	17.
f 474.		347.	127.
g 2,249.		1,915.	334.
h 393.		228.	165.
i 2,075.		2,614.	-539.
j 1,224.		1,380.	-156.
k 339.		282.	57.
l 749.		981.	-232.
m 1,456.		1,782.	-326.
n 1,749.		2,032.	-283.
o 413.		263.	150.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			86.
b			256.
c			66.
d			5.
e			17.
f			127.
g			334.
h			165.
i			-539.
j			-156.
k			57.
l			-232.
m			-326.
n			-283.
o			150.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HARRIS CORP DELA	P	03/26/09	09/18/09
b HARRIS STRATEX NETWORKS INC*NAME CHANGE:	P	05/27/09	06/10/09
c HARRIS STRATEX NETWORKS INC*NAME CHANGE:	P	05/27/09	05/27/09
d INTEL CORP	P	04/24/97	09/18/09
e JACOBS ENGINEERING GROUP INC	P	03/26/09	09/18/09
f MICROSOFT CORP	P	07/25/05	09/18/09
g MONSANTO CO NEW	P	03/26/09	07/09/09
h MONSANTO CO NEW	P	03/26/09	04/15/10
i NATL-OILWELLVARCO INC	P	02/28/06	09/18/09
j NATL-OILWELLVARCO INC	P	02/28/06	10/01/09
k NTHN TRUST CORP	P	03/26/09	11/13/09
l PRICELINE.COM INC NEW	P	03/26/09	10/26/09
m PRICELINE.COM INC NEW	P	03/26/09	09/18/09
n PROCTER & GAMBLE CO	P	01/04/06	09/18/09
o RED HAT INC	P	03/26/09	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 400.		325.	75.
b 81.		70.	11.
c 5.		5.	0.
d 117.		113.	4.
e 242.		211.	31.
f 252.		259.	-7.
g 1,516.		1,817.	-301.
h 1,315.		1,730.	-415.
i 430.		735.	-305.
j 208.		367.	-159.
k 1,948.		2,501.	-553.
l 1,959.		917.	1,042.
m 819.		417.	402.
n 573.		589.	-16.
o 257.		176.	81.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			75.
b			11.
c			0.
d			4.
e			31.
f			-7.
g			-301.
h			-415.
i			-305.
j			-159.
k			-553.
l			1,042.
m			402.
n			-16.
o			81.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	RED HAT INC	P	03/26/09	01/15/10
b	SAP AG SPON ADR	P	03/26/09	02/19/10
c	SCHEIN HENRY INC	P	03/26/09	09/18/09
d	SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	03/26/09	09/18/09
e	SCHWAB CHARLES CORP NEW	P	01/30/09	10/26/09
f	SCHWAB CHARLES CORP NEW	P	02/19/09	10/26/09
g	SMITH INTL INC	P	03/26/09	05/20/09
h	SOWSTN ENERGY CO	P	03/26/09	02/04/10
i	SOWSTN ENERGY CO	P	03/26/09	10/01/09
j	STATE STREET CORP	P	03/26/09	04/01/10
k	STATE STREET CORP	P	03/26/09	10/30/09
l	STRYKER CORP	P	03/26/09	07/09/09
m	TEXAS INSTRUMENTS	P	07/25/05	09/18/09
n	VARIAN MEDICAL SYSTEMS INC	P	03/26/09	09/18/09
o	XILINX INC	P	04/15/09	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 234.		141.	93.
b 2,433.		2,054.	379.
c 552.		398.	154.
d 307.		229.	78.
e 325.		246.	79.
f 1,084.		780.	304.
g 1,436.		1,228.	208.
h 1,690.		1,346.	344.
i 693.		572.	121.
j 1,738.		1,135.	603.
k 1,122.		777.	345.
l 1,501.		1,368.	133.
m 143.		185.	-42.
n 434.		300.	134.
o 114.		102.	12.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			93.
b			379.
c			154.
d			78.
e			79.
f			304.
g			208.
h			344.
i			121.
j			603.
k			345.
l			133.
m			-42.
n			134.
o			12.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALCATEL-LUCENT SPON ADR	P	01/02/08	08/27/09
b	ALCATEL-LUCENT SPON ADR	P	01/02/08	08/26/09
c	BARRICK GOLD CORP	P	11/13/06	11/19/09
d	BARRICK GOLD CORP	P	11/13/06	10/20/09
e	BP PLC SPON ADR	P	02/23/07	10/27/09
f	BP PLC SPON ADR	P	02/26/07	10/27/09
g	DAIWA HOUSE IND LTD ADR JAPAN ADR	P	11/26/07	06/17/09
h	DAIWA HOUSE IND LTD ADR JAPAN ADR	P	02/13/08	06/17/09
i	FUJI FILM HOLDINGS CORP ADR	P	07/25/05	03/08/10
j	FUJI FILM HOLDINGS CORP ADR	P	10/19/05	07/21/09
k	FUJI FILM HOLDINGS CORP ADR	P	10/19/05	03/08/10
l	FUJI FILM HOLDINGS CORP ADR	P	10/26/05	03/08/10
m	FUJI FILM HOLDINGS CORP ADR	P	02/08/06	03/08/10
n	IMPALA PLATINUM HLDG LTD SPON ADR	P	08/20/08	09/11/09
o	IVANHOE MINES LTD	P	05/30/06	11/09/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 806.		1,559.	-753.
b 603.		1,160.	-557.
c 832.		551.	281.
d 379.		290.	89.
e 928.		1,007.	-79.
f 290.		322.	-32.
g 406.		499.	-93.
h 102.		105.	-3.
i 1,548.		1,501.	47.
j 636.		685.	-49.
k 452.		457.	-5.
l 452.		452.	0.
m 452.		453.	-1.
n 370.		408.	-38.
o 128.		69.	59.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-753.
b			-557.
c			281.
d			89.
e			-79.
f			-32.
g			-93.
h			-3.
i			47.
j			-49.
k			-5.
l			0.
m			-1.
n			-38.
o			59.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	IVANHOE MINES LTD	P	05/30/06	09/04/09
b	IVANHOE MINES LTD	P	05/30/06	11/16/09
c	KOREA ELECTRIC POWER CRP SPONSORED ADR	P	07/25/05	01/20/10
d	KOREA ELECTRIC POWER CRP SPONSORED ADR	P	10/19/05	01/20/10
e	KT CORP SPON ADR	P	10/19/05	05/20/09
f	KT CORP SPON ADR	P	10/19/05	12/07/09
g	KT CORP SPON ADR	P	10/26/05	12/07/09
h	KT CORP SPON ADR	P	04/11/06	12/08/09
i	KT CORP SPON ADR	P	04/11/06	12/07/09
j	KT CORP SPON ADR	P	05/16/08	12/09/09
k	KT CORP SPON ADR	P	05/16/08	12/08/09
l	LIHIR GOLD LTD SPON ADR	P	12/21/07	05/20/09
m	LIHIR GOLD LTD SPON ADR	P	04/29/08	05/20/09
n	LIHIR GOLD LTD SPON ADR	P	07/25/08	05/20/09
o	LIHIR GOLD LTD SPON ADR	P	07/25/08	05/29/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 562.		346.	216.
b 511.		277.	234.
c 179.		179.	0.
d 287.		254.	33.
e 1,185.		1,760.	-575.
f 67.		86.	-19.
g 333.		430.	-97.
h 366.		482.	-116.
i 433.		570.	-137.
j 34.		46.	-12.
k 299.		411.	-112.
l 46.		57.	-11.
m 253.		308.	-55.
n 92.		111.	-19.
o 753.		802.	-49.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			216.
b			234.
c			0.
d			33.
e			-575.
f			-19.
g			-97.
h			-116.
i			-137.
j			-12.
k			-112.
l			-11.
m			-55.
n			-19.
o			-49.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MAGNA INTL INC CL A SUB VTG CANADA ORD	P	06/05/08	11/06/09
b	NEWMONT MINING CORP (HOLDING CO)	P	06/15/07	07/07/09
c	NEWMONT MINING CORP (HOLDING CO)	P	06/25/07	07/09/09
d	NEWMONT MINING CORP (HOLDING CO)	P	06/25/07	07/07/09
e	NEWMONT MINING CORP (HOLDING CO)	P	08/16/07	10/29/09
f	NEWMONT MINING CORP (HOLDING CO)	P	08/16/07	07/09/09
g	NINTENDO LTD ADR NEW JAPAN ADR	P	08/03/09	03/25/10
h	NINTENDO LTD ADR NEW JAPAN ADR	P	08/03/09	03/24/10
i	NOVARTIS AG SPON ADR	P	02/17/09	01/12/10
j	NOVARTIS AG SPON ADR	P	02/17/09	01/08/10
k	NOVARTIS AG SPON ADR	P	02/17/09	12/02/09
l	NOVARTIS AG SPON ADR	P	04/09/09	01/12/10
m	NOVARTIS AG SPON ADR	P	04/09/09	01/20/10
n	NOVARTIS AG SPON ADR	P	04/09/09	01/14/10
o	NOVARTIS AG SPON ADR	P	05/08/09	01/20/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 403.		556.	-153.
b 198.		202.	-4.
c 1,072.		1,096.	-24.
d 79.		78.	1.
e 1,488.		1,364.	124.
f 230.		234.	-4.
g 289.		238.	51.
h 919.		747.	172.
i 681.		548.	133.
j 1,146.		927.	219.
k 1,181.		885.	296.
l 157.		110.	47.
m 428.		294.	134.
n 796.		551.	245.
o 1,230.		875.	355.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-153.
b			-4.
c			-24.
d			1.
e			124.
f			-4.
g			51.
h			172.
i			133.
j			219.
k			296.
l			47.
m			134.
n			245.
o			355.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PROMISE CO LTD UNSPON ADR	P	01/07/08	05/08/09
b	PROMISE CO LTD UNSPON ADR	P	08/15/08	08/17/09
c	PROMISE CO LTD UNSPON ADR	P	08/15/08	06/15/09
d	PROMISE CO LTD UNSPON ADR	P	08/15/08	05/08/09
e	ROHM CO LTD ADR	P	01/15/09	07/01/09
f	SANOFI-AVENTIS SA SPON ADR	P	10/02/07	10/28/09
g	SEKISUI HOUSE LTD SPON ADR	P	09/12/07	05/19/09
h	SEKISUI HOUSE LTD SPON ADR	P	09/26/07	05/19/09
i	SHISEIDO CO LTD SPONS ADR JAPAN	P	07/27/05	03/04/10
j	SIEMENS A G SPON ADR	P	09/29/08	10/29/09
k	SOCIETE GENERALE FRANCE SPONS ADR ADR	P	10/20/08	10/02/09
l	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	07/25/05	08/06/09
m	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	08/10/05	08/07/09
n	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	08/10/05	08/06/09
o	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	10/21/05	08/07/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	13.	26.	-13.
b	151.	371.	-220.
c	470.	811.	-341.
d	364.	637.	-273.
e	392.	273.	119.
f	808.	891.	-83.
g	309.	398.	-89.
h	75.	99.	-24.
i	1,264.	702.	562.
j	574.	558.	16.
k	630.	464.	166.
l	140.	295.	-155.
m	636.	1,383.	-747.
n	306.	657.	-351.
o	208.	419.	-211.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-13.
b			-220.
c			-341.
d			-273.
e			119.
f			-83.
g			-89.
h			-24.
i			562.
j			16.
k			166.
l			-155.
m			-747.
n			-351.
o			-211.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

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STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	10/21/05	08/19/09
b	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	10/28/05	09/15/09
c	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	10/28/05	08/19/09
d	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	12/13/05	09/15/09
e	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	06/02/08	09/15/09
f	STORA ENSO OYJ REPSTG SER R SHS SPON ADR	P	06/02/08	09/16/09
g	SUNCOR ENERGY INC NEW	P	01/28/09	08/20/09
h	SUNCOR ENERGY INC NEW	P	01/28/09	10/01/09
i	SUNCOR ENERGY INC NEW	P	03/30/09	10/01/09
j	SUNCOR INC **MERGER EFF: 07/2009**	P	07/23/08	07/15/09
k	SUNCOR INC **MERGER EFF: 07/2009**	P	01/28/09	07/15/09
l	TDK CORP ADR JAPAN ADR	P	07/03/08	08/03/09
m	TDK CORP ADR JAPAN ADR	P	07/25/08	08/03/09
n	TDK CORP ADR JAPAN ADR	P	10/22/08	08/24/09
o	TDK CORP ADR JAPAN ADR	P	10/22/08	08/03/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 778.		1,500.	-722.
b 87.		153.	-66.
c 66.		128.	-62.
d 43.		82.	-39.
e 123.		207.	-84.
f 552.		912.	-360.
g 733.		470.	263.
h 201.		123.	78.
i 570.		373.	197.
j 381.		700.	-319.
k 586.		409.	177.
l 212.		244.	-32.
m 793.		929.	-136.
n 923.		556.	367.
o 106.		69.	37.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-722.
b			-66.
c			-62.
d			-39.
e			-84.
f			-360.
g			263.
h			78.
i			197.
j			-319.
k			177.
l			-32.
m			-136.
n			367.
o			37.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TECHNIP SA NEW SPON ADR	P	10/19/06	05/07/09
b	TECHNIP SA NEW SPON ADR	P	10/19/06	07/24/09
c	TECHNIP SA NEW SPON ADR	P	01/30/08	07/24/09
d	TECHNIP SA NEW SPON ADR	P	09/05/08	07/24/09
e	TECHNIP SA NEW SPON ADR	P	11/20/08	07/24/09
f	TECHNIP SA NEW SPON ADR	P	11/20/08	02/24/10
g	TECHNIP SA NEW SPON ADR	P	11/20/08	02/23/10
h	TNT N V SPON ADR	P	03/31/09	10/13/09
i	TNT N V SPON ADR	P	04/01/09	10/13/09
j	TOMKINS PLC SPONSORED ADR UNTD KINGDOM	P	01/15/08	10/08/09
k	TOMKINS PLC SPONSORED ADR UNTD KINGDOM	P	01/15/08	10/07/09
l	TOMKINS PLC SPONSORED ADR UNTD KINGDOM	P	01/15/08	07/02/09
m	TOPPAN PRINTING LTD ADR	P	05/17/07	02/03/10
n	TOPPAN PRINTING LTD ADR	P	01/04/08	02/03/10
o	TOPPAN PRINTING LTD ADR	P	01/04/08	02/05/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 405.		511.	-106.
b 60.		57.	3.
c 179.		196.	-17.
d 536.		639.	-103.
e 358.		144.	214.
f 1,787.		599.	1,188.
g 2,236.		742.	1,494.
h 818.		510.	308.
i 136.		84.	52.
j 248.		267.	-19.
k 569.		636.	-67.
l 1,408.		1,858.	-450.
m 978.		1,197.	-219.
n 222.		246.	-24.
o 304.		344.	-40.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-106.
b			3.
c			-17.
d			-103.
e			214.
f			1,188.
g			1,494.
h			308.
i			52.
j			-19.
k			-67.
l			-450.
m			-219.
n			-24.
o			-40.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TOPPAN PRINTING LTD ADR	P	01/04/08	02/04/10
b	TOPPAN PRINTING LTD ADR	P	01/04/08	04/14/10
c	TOPPAN PRINTING LTD ADR	P	01/04/08	04/14/10
d	VODAFONE GROUP PLC NEW SPON ADR	P	06/17/08	11/30/09
e	AFFILIATED COMPUTER SVCS INC **MERGER EFF:	P	03/30/09	02/05/10
f	AFFILIATED COMPUTER SVCS INC **MERGER EFF:	P	09/18/09	02/05/10
g	AKAMAI TECHNOLOGIES INC	P	03/30/09	09/18/09
h	AMER TOWER CORP CL A	P	02/18/09	02/16/10
i	AMER TOWER CORP CL A	P	03/30/09	12/15/09
j	AMER TOWER CORP CL A	P	03/30/09	09/18/09
k	AUTODESK INC	P	02/18/09	02/16/10
l	AUTODESK INC	P	03/30/09	12/18/09
m	BECKMAN COULTER INC	P	02/18/09	02/16/10
n	BECKMAN COULTER INC	P	03/30/09	09/18/09
o	BIO RAD LABORATORIES INC CL A	P	03/30/09	12/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,722.		1,916.	-194.
b 45.		49.	-4.
c 3,742.		4,029.	-287.
d 363.		476.	-113.
e 2,417.		1,868.	549.
f 121.		93.	28.
g 75.		76.	-1.
h 172.		110.	62.
i 248.		186.	62.
j 373.		310.	63.
k 201.		133.	68.
l 340.		216.	124.
m 131.		100.	31.
n 139.		99.	40.
o 579.		390.	189.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-194.
b			-4.
c			-287.
d			-113.
e			549.
f			28.
g			-1.
h			62.
i			62.
j			63.
k			68.
l			124.
m			31.
n			40.
o			189.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BORG WARNER INC	P	02/18/09	02/16/10
b	BOSTON PROPERTIES INC	P	02/18/09	02/16/10
c	BOSTON PROPERTIES INC	P	03/30/09	09/18/09
d	BRINKER INTL INC	P	07/26/05	09/18/09
e	BRINKER INTL INC	P	07/26/05	02/16/10
f	BRINKER INTL INC	P	07/26/05	02/16/10
g	CHESAPEAKE ENERGY CORP OKLA	P	02/18/09	02/16/10
h	CHESAPEAKE ENERGY CORP OKLA	P	03/30/09	09/18/09
i	CORNING INC	P	02/18/09	02/22/10
j	CORNING INC	P	03/30/09	09/18/09
k	CORNING INC	P	03/30/09	02/22/10
l	CORNING INC	P	01/11/10	02/22/10
m	CORNING INC	P	02/16/10	02/22/10
n	COVANCE INC	P	07/26/05	09/18/09
o	CUMMINS INC	P	03/30/09	12/15/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 148.		80.	68.
b 128.		77.	51.
c 565.		264.	301.
d 164.		277.	-113.
e 18.		28.	-10.
f 163.		249.	-86.
g 52.		33.	19.
h 281.		174.	107.
i 5,156.		3,242.	1,914.
j 31.		26.	5.
k 3,898.		2,850.	1,048.
l 175.		205.	-30.
m 210.		216.	-6.
n 115.		97.	18.
o 650.		344.	306.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			68.
b			51.
c			301.
d			-113.
e			-10.
f			-86.
g			19.
h			107.
i			1,914.
j			5.
k			1,048.
l			-30.
m			-6.
n			18.
o			306.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CUMMINS INC	P	03/30/09	09/18/09
b	D R HORTON INC	P	02/18/09	02/16/10
c	D R HORTON INC	P	03/30/09	09/18/09
d	DARDEN RESTAURANTS INC	P	02/18/09	02/16/10
e	DARDEN RESTAURANTS INC	P	03/30/09	09/18/09
f	EATON VANCE CORP NON VTG	P	07/26/05	02/16/10
g	EATON VANCE CORP NON VTG	P	07/26/05	09/18/09
h	EXPRESS SCRIPTS INC	P	03/30/09	09/18/09
i	FREEPORT-MCMORAN COPPER & GOLD INC	P	03/30/09	10/08/09
j	FREEPORT-MCMORAN COPPER & GOLD INC	P	03/30/09	09/18/09
k	GENL DYNAMICS CORP	P	03/30/09	09/18/09
l	GLOBAL PAYMENTS INC	P	07/26/05	02/16/10
m	GLOBAL PAYMENTS INC	P	07/13/06	09/18/09
n	HARRIS CORP DELA	P	03/30/09	09/18/09
o	HARRIS STRATEX NETWORKS INC*NAME CHANGE:	P	05/27/09	06/04/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 92.		49.	43.
b 26.		18.	8.
c 27.		20.	7.
d 322.		221.	101.
e 222.		208.	14.
f 118.		98.	20.
g 59.		49.	10.
h 158.		88.	70.
i 600.		317.	283.
j 282.		159.	123.
k 129.		83.	46.
l 178.		133.	45.
m 92.		82.	10.
n 219.		166.	53.
o 98.		91.	7.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			43.
b			8.
c			7.
d			101.
e			14.
f			20.
g			10.
h			70.
i			283.
j			123.
k			46.
l			45.
m			10.
n			53.
o			7.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HARRIS STRATEX NETWORKS INC*NAME CHANGE:	P	05/27/09	05/27/09
b	HARSCO CORP	P	07/26/05	09/18/09
c	HELIX ENERGY SOLUTIONS GROUP INC	P	02/18/09	02/16/10
d	HELIX ENERGY SOLUTIONS GROUP INC	P	03/30/09	12/18/09
e	HELIX ENERGY SOLUTIONS GROUP INC	P	03/30/09	09/18/09
f	INTEGRYS ENERGY GROUP INC	P	02/18/09	02/16/10
g	INTERCONTINENTALEXCHANGE INC	P	02/18/09	02/16/10
h	INTERCONTINENTALEXCHANGE INC	P	03/30/09	09/18/09
i	INTL GAME TECHNOLOGY	P	02/18/09	02/16/10
j	INTL RECTIFIER CORP	P	02/18/09	02/16/10
k	INTUIT	P	03/30/09	09/18/09
l	JEFFERIES GROUP INC NEW	P	10/21/05	09/18/09
m	JOY GLOBAL INC	P	03/30/09	09/18/09
n	NEWFIELD EXPLORATION COS	P	02/18/09	02/16/10
o	NEWFIELD EXPLORATION COS	P	03/30/09	09/18/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2.		2.	0.
b 142.		120.	22.
c 110.		41.	69.
d 1,015.		459.	556.
e 310.		104.	206.
f 84.		80.	4.
g 207.		117.	90.
h 393.		302.	91.
i 185.		95.	90.
j 243.		159.	84.
k 111.		106.	5.
l 253.		206.	47.
m 479.		209.	270.
n 631.		244.	387.
o 265.		136.	129.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			22.
c			69.
d			556.
e			206.
f			4.
g			90.
h			91.
i			90.
j			84.
k			5.
l			47.
m			270.
n			387.
o			129.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PHARMACEUTICAL PRODUCT DEVELOPMENT INC	P	07/26/05	09/18/09
b	PIONEER NAT RES CO	P	02/18/09	04/14/10
c	PIONEER NAT RES CO	P	02/18/09	02/16/10
d	PIONEER NAT RES CO	P	03/30/09	09/18/09
e	PIONEER NAT RES CO	P	03/30/09	04/14/10
f	PULTE GROUP INC	P	03/30/09	09/18/09
g	RAYMOND JAMES FINANCIAL CORP	P	07/26/05	09/18/09
h	RAYMOND JAMES FINANCIAL CORP	P	10/21/05	09/18/09
i	REPUBLIC SERVICES INC	P	07/26/05	09/18/09
j	REPUBLIC SERVICES INC	P	07/26/05	02/16/10
k	REPUBLIC SERVICES INC	P	07/26/05	12/28/09
l	REPUBLIC SERVICES INC	P	10/21/05	12/28/09
m	SCIENTIFIC GAMES CORP CL A	P	02/18/09	02/16/10
n	SCIENTIFIC GAMES CORP CL A	P	03/30/09	09/18/09
o	SCOTTS MIRACLE-GRO CO CL A	P	07/26/05	02/16/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 91.		114.	-23.
b 6,230.		1,552.	4,678.
c 193.		62.	131.
d 211.		102.	109.
e 4,610.		1,255.	3,355.
f 78.		65.	13.
g 46.		40.	6.
h 92.		82.	10.
i 109.		74.	35.
j 327.		210.	117.
k 114.		74.	40.
l 171.		108.	63.
m 248.		203.	45.
n 32.		25.	7.
o 76.		74.	2.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-23.
b			4,678.
c			131.
d			109.
e			3,355.
f			13.
g			6.
h			10.
i			35.
j			117.
k			40.
l			63.
m			45.
n			7.
o			2.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SNAP ON INC	P	10/21/05	09/18/09
b	ST MARY LD & EXPL CO	P	12/18/09	02/16/10
c	TJX COS INC NEW	P	02/18/09	02/16/10
d	TJX COS INC NEW	P	03/30/09	09/18/09
e	UNION PACIFIC CORP	P	03/30/09	08/06/09
f	VALSPAR CORP	P	10/22/07	09/18/09
g	VALSPAR CORP	P	10/22/07	02/16/10
h	XEROX CORP	P	02/18/09	02/16/10
i	XEROX CORP	P	02/18/09	03/18/10
j	XEROX CORP	P	03/30/09	03/18/10
k	XEROX CORP	P	09/18/09	02/05/10
l	XEROX CORP	P	09/18/09	03/18/10
m	XEROX CORP	P	01/11/10	03/18/10
n	XTO ENERGY INC	P	03/30/09	09/18/09
o	XTO ENERGY INC	P	03/30/09	12/08/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 74.		74.	0.
b 206.		217.	-11.
c 76.		43.	33.
d 152.		103.	49.
e 2,689.		1,835.	854.
f 57.		51.	6.
g 110.		101.	9.
h 159.		153.	6.
i 2,232.		1,938.	294.
j 1,928.		1,673.	255.
k 2.		2.	0.
l 98.		81.	17.
m 489.		427.	62.
n 429.		304.	125.
o 2,821.		2,129.	692.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			-11.
c			33.
d			49.
e			854.
f			6.
g			9.
h			6.
i			294.
j			255.
k			0.
l			17.
m			62.
n			125.
o			692.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

STANLEY AND CATHERINE MAAS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	YUM! BRANDS INC	P	03/30/09	09/18/09
b	AKAMAI TECHNOLOGIES INC	P	07/10/09	02/19/10
c	AUTODESK INC	P	11/17/05	02/19/10
d	COVIDIEN PLC	P	01/03/06	02/19/10
e	CREE INC	P	08/16/05	02/19/10
f	LIBERTY MEDIA INTERACTIVE SER A	P	01/03/06	02/19/10
g	LIBERTY MEDIA CAPITAL CORP SER A	P	02/27/09	02/19/10
h	SANDISK CORP	P	01/02/08	02/19/10
i	SEAGATE TECHNOLOGY	P	02/27/09	02/19/10
j	THE DIRECTV GROUP CL A	P	01/03/06	02/19/10
k	TYCO ELECTRONICS LTD	P	01/03/06	02/19/10
l	TYCO ELECTRONICS LTD	P	02/02/06	02/19/10
m	TYCO ELECTRONICS LTD	P	07/18/08	02/19/10
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 209.		168.	41.
b 388.		277.	111.
c 389.		607.	-218.
d 608.		439.	169.
e 650.		241.	409.
f 797.		1,268.	-471.
g 1,258.		210.	1,048.
h 874.		1,006.	-132.
i 623.		127.	496.
j 991.		370.	621.
k 131.		166.	-35.
l 183.		216.	-33.
m 340.		475.	-135.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			41.
b			111.
c			-218.
d			169.
e			409.
f			-471.
g			1,048.
h			-132.
i			496.
j			621.
k			-35.
l			-33.
m			-135.
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

34,067.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

N/A

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT		
DIVIDENDS	39,307.	0.	39,307.		
INTEREST	15,323.	0.	15,323.		
TOTAL TO FM 990-PF, PART I, LN 4	54,630.	0.	54,630.		

FORM 990-PF		ACCOUNTING FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING	8,000.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 16B	8,000.	0.	0.	0.	

FORM 990-PF		OTHER PROFESSIONAL FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	18,708.	18,708.	0.	0.	
TO FORM 990-PF, PG 1, LN 16C	18,708.	18,708.	0.	0.	

FORM 990-PF		TAXES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NYS DEPT OF LAW	100.	0.	0.	0.	
FOREIGN TAXES PAID	429.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	529.	0.	0.	0.	

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
UBS 63388 20 SEE SCHEDULE ATTACHED	X		140,625.	144,058.
UBS 63388 20 SEE SCHEDULE ATTACHED	X		103,036.	103,626.
UBS 68328 20 SEE SCHEDULE ATTACHED	X		352,075.	364,847.
TOTAL U.S. GOVERNMENT OBLIGATIONS			595,736.	612,531.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			595,736.	612,531.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 63392 20 SEE SCHEDULE ATTACHED	221,877.	343,114.
UBS 63391 20 SEE SCHEDULE ATTACHED	346,424.	349,380.
UBS 63389 20 SEE SCHEDULE ATTACHED	341,860.	394,269.
UBS 63390 20 SEE SCHEDULE ATTACHED	135,960.	184,411.
UBS 68328 20 SEE SCHEDULE ATTACHED	34,781.	38,481.
UBS 68329 20 SEE SCHEDULE ATTACHED	170,499.	216,261.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,251,401.	1,525,916.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 63388 20 SEE SCHEDULE ATTACHED	142,151.	166,571.
TOTAL TO FORM 990-PF, PART II, LINE 10C	142,151.	166,571.

FORM 990-PF	OTHER ASSETS		STATEMENT 8
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	952.	7,206.	7,206.
TO FORM 990-PF, PART II, LINE 15	952.	7,206.	7,206.

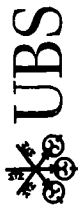
FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT 9
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN RED CROSS 2025 E STREET NW WASHINGTON, DC 20006	NONE GENERAL SUPPORT	PUBLIC CHARITY	2,000.
CALVARY HOSPITAL 150 55TH STREET BROOKLYN, NY 11220	NONE GENERAL SUPPORT	PUBLIC CHARITY	5,000.
CLARK GARDENS 193 I.U. WILLETS ROAD ALBERTSON, NY 11507	NONE GENERAL SUPPORT	PUBLIC CHARITY	300.
COVENANT HOUSE PO BOX 731 NEW YORK, NY 10108	NONE GENERAL SUPPORT	PUBLIC CHARITY	500.
HOSPICE CARE NETWORK 99 SUNNYSIDE BOULEVARD WOODBURY, NY 11797	NONE GENERAL SUPPORT	PUBLIC CHARITY	15,000.
IRISH AMERICAN CULTURAL INSTITUTE 1 LACKAWANNA PLACE MORRISTOWN, NJ 07960	NONE GENERAL SUPPORT	PUBLIC CHARITY	1,000.

JERICO JEWISH CENTER 430 N BROADWAY JERICO, NY 11753	NONE GENERAL SUPPORT	PUBLIC CHARITY	5,000.
LONG ISLAND ALZHEIMERS FOUNDATION 5 CHANNEL DRIVE PORT WASHINGTON, NY 11050	NONE GENERAL SUPPORT	FOUNDATION	1,000.
NORTH SHORE LI JEWISH HEALTH SYSTEM 125 COMMUNITY DRIVE GREAT NECK, NY 11021	NONE GENERAL SUPPORT	FOUNDATION	12,000.
PARKINSON'S DISEASE FOUNDATION, INC 1359 BROADWAY NEW YORK, NY 10018	NONE GENERAL SUPPORT	FOUNDATION	1,000.
SALVATION ARMY 615 SLATERS LANE - PO BOX 269 ALEXANDRIA, VA 22313	NONE GENERAL SUPPORT	PUBLIC CHARITY	1,500.
SIMON WISENTHAL CENTER 1399 SOUTH ROXBURY LOS ANGELES, CA 90035	NONE GENERAL SUPPORT	PUBLIC CHARITY	5,000.
ST FRANCIS HOSPITAL 100 PORT WASHINGTON BLVD ROSLYN, NY 11576	NONE GENERAL SUPPORT	PUBLIC CHARITY	12,000.
THE WHITEY FORD FOUNDATION PO BOX 160 SEA CLIFF, NY 11579	NONE GENERAL SUPPORT	PUBLIC CHARITY	500.
VOLUNTEERS OF AMERICA 1660 DUKE ST ALEXANDRIA, VA 22314	NONE GENERAL SUPPORT	PUBLIC CHARITY	500.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

62,300.



Portfolio Management Program
April 2010

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 68328 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

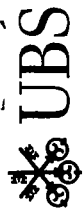
Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	19,221.50	17,967.94	1.00	0.01%	Mar 25 to Apr 25	32

Equities

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
COHEN & STEERS INFRASTRUCTURE FUND INC								
Symbol	UTF	Exchange	NYSE					
EAI	\$2,507	Current yield	6.51%					
Security total								
	Sep 22, 09	2,570.343	13.303	34,195.00	14.740	37,886.85	3,691.85	ST
	Earnings	40.283	14.552	586.23	14.740	593.77	7.54	
		2,610.626		34,781.23		38,480.62	3,699.39	





STANLEY AND CATHERINE MAAS
RF 68328 20

Account name:
Account number:

Your assets (continued)

Fixed income

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium

on coupon tax-exempt municipal securities and for accreted original issue discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Cost basis (\$)	Price on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
COUNTY OF TOMPKINS, NY								
NPEG BE/R/								
RATE 05 000% MATURES 02/15/11								
ACCRUED INTEREST \$260 41								
CUSIP 890091LG4								
Moody Aa1 S&P Not rated								
EAI \$1,250 Current yield 4 82%								
Original cost basis \$26,890 50	Feb 07, 08	25,000 000	102 031	25,507 94 1	103 758	25,939 50	431 56	LT
NEW YORK ST DORM AU								
REV AMBAC B/E /R/								
RATE 05 500% MATURES 07/01/11								
ACCRUED INTEREST \$454 51								
CUSIP 649839Y2								
Moody Aa3 S&P AA-								
EAI \$1,375 Current yield 5 20%								
Original cost basis \$26,842 50	Dec 31, 07	25,000 000	102 551	25,637 98 1	105 749	26,437 25	799 27	LT
TRIBOROUGH BRIDGE TUNNEL								
AU NY GEN PURP 97A BE/R/								
PRE-REFUNDED								
RATE 05 125% MATURES 01/01/17								
PREREFUNDED 01/01/12 @ 100 00								
ACCRUED INTEREST \$423 52								
CUSIP 896029S63								
Moody Aa2 S&P AAA								
EAI \$1,281 Current yield 4 77%								
Original cost basis \$27,171 50	Aug 09, 05	25,000 000	102 460	25,615 02 1	107 470	26,867 50	1,252 48	LT

continued next page



Portfolio Management Program
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68328 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Fixed income • Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
NEW YORK ST URBAN DEV RV								
SER A REV FSA B/E /R/								
RATE 05 000% MATURES 01/01/13								
ACCRUED INTEREST \$661.11								
CUSIP 6500344G3								
Moody Not rated S&P: AAA								
EAI: \$2,000 Current yield: 4.56%								
Original cost basis: \$43,063.20	Dec 16, 05	40,000,000	103.139	41,255.96 ¹	109.703	43,881.20	2,625.24	LT
NEW YORK ST DORM AUTH								
LEASE SER A REV /R/								
PRE-REFUNDED								
RATE 05 375% MATURES 05/15/23								
PREREFUNDED 05/15/13 @ 100.00								
ACCRUED INTEREST \$615.88								
CUSIP 64982PWT5								
Moody Aa3 S&P: AA-								
EAI: \$1,344 Current yield: 4.76%								
Original cost basis: \$27,931.25	Jan 10, 08	25,000,000	106.895	26,723.76 ¹	113.013	28,253.25	1,529.49	LT
BRENTWOOD N.Y. U.F.S.D.								
GO ULT NPFG B/E /R/								
RATE 05.000% MATURES 05/01/14								
ACCRUED INTEREST \$621.52								
CUSIP 107331HY9								
Moody: Aa2 S&P: Not rated								
EAI: \$1,250 Current yield: 4.41%								
Original cost basis: \$27,277.00	Jan 19, 06	25,000,000	104.747	26,186.79 ¹	113.381	28,345.25	2,158.46	LT
NEW YORK NY CITY TRANSTL								
FIN AU RV SER B B/E /R/								
RATE 05.250% MATURES 08/01/17								
CALLABLE 08/01/13 @ 100.00								
ACCRUED INTEREST \$324.48								
CUSIP 64971KUS3								
Moody: Aaa S&P: AAA								
EAI: \$1,313 Current yield: 4.72%								
Original cost basis: \$27,527.25	Feb 06, 08	25,000,000	106.204	26,551.16 ¹	111.296	27,824.00	1,272.84	LT

continued next page





Account name:
STANLEY AND CATHERINE MAAS

Account number:
RF 68328 20

Your assets • Fixed income • Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Cost basis (\$)	Price on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
LAKELAND CENTL SCH DST								
SER B FSA BE/R/								
RATE 05 000% MATURES 06/15/18								
ACCRUED INTEREST \$468 75								
CUSIP 511648JN8								
Moody Aa2 S&P Not rated								
EAI \$1,250 Current yield 4 34%								
Original cost basis \$28,514 25	Jan 24, 08	25,000 000	111 392	27,848 22 ¹	115 336	28,834 00	985 78	LT
NEW YORK ST ENVIRONMENTA								
ST WTR OID RV B/E /R/								
RATE 05 000% MATURES 06/15/20								
CALLABLE 06/15/16 @ 100 00								
ACCRUED INTEREST \$468 75								
CUSIP 64986APM7								
Moody Aa1 S&P AA+								
EAI \$1,250 Current yield 4 55%								
Original cost basis \$27,252 00	Jan 31, 08	25,000 000	106 853	26,713 48 ¹	109 825	27,456 25	742 77	LT
LONG ISLAND PWR AU NY								
SER E-CIF CRC CIFG /R/								
RATE 05 000% MATURES 12/01/22								
CALLABLE 12/01/16 @ 100 00								
ACCRUED INTEREST \$310 41								
CUSIP 542690876								
Moody A3 S&P A-								
EAI \$750 Current yield 4 72%								
Original cost basis \$16,042 50	Oct 20, 06	15,000 000	104 845	15,726 76 ¹	105 986	15,897 90	171 14	LT
PORT AUTH NY & NJ								
FSA RV BE/R/								
RATE 05 000% MATURES 12/01/22								
CALLABLE 06/01/15 @ 101 00								
ACCRUED INTEREST \$517 36								
CUSIP 73358TNL1								
Moody Aa2 S&P AAA								
EAI \$1,250 Current yield 4 63%								
Original cost basis \$26,140 25	Apr 02, 08	25,000 000	103 638	25,909 59 ¹	107 959	26,989 75	1,080 16	LT

continued next page



Portfolio Management Program
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68328 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Fixed income • Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
NYS DORM AUTH REV NORTH REV FGIC B/E /RV RATE 05 250% MATURES 07/01/23 ACCRUED INTEREST \$433.85 CUSIP 649903LM1 Moody Not rated S&P A EAI \$1,313 Current yield: 5.01% Original cost basis \$27,373.50	Jul 11, 07	25,000,000	108.267	27,066.97 ¹	104.847	26,211.75	-855.22	LT
NYC MUNI WTR FIN AU W&S SYS SER 8 RV AMBAC 8E/R/ RATE 05 000% MATURES 06/15/24 CALLABLE 12/15/14 @ 100.00 ACCRUED INTEREST \$562.50 CUSIP 64970KU54 Moody Aa1 S&P AAA EAI \$1,500 Current yield 4.70% Original cost basis \$31,574.10	May 19, 09	30,000,000	104.438	31,331.51	106.366	31,909.80	578.29	ST
Total		\$335,000.00		\$352,075.14		\$364,847.40	\$12,772.26	

Total accrued interest: \$6,123.05

Total estimated annual income: \$17,126

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services

Your total assets

	Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	17,967.94	4.20%	17,967.94		
Equities	38,480.62	9.00%	34,781.23	2,507.00	3,699.39
Fixed income	364,847.40		352,075.14	17,126.00	12,772.26
Total accrued interest	6,123.05				
Total fixed income	370,970.45	86.80%	352,075.14	17,126.00	12,772.26
Total	\$427,419.01	100.00%	\$404,824.31	\$19,633.00	\$16,471.65

① - 388,856.37 ✓





ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	2,139.75	1,556.48	1.00	0.01%	Mar 25 to Apr 25	32

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
AKAMAI TECHNOLOGIES INC								
Symbol AKAM	Jul 10, 09	15,000	18.443	276.65	38.830	582.45	305.80	ST
Exchange OTC	Jul 30, 09	45,000	16.692	751.16	38.830	1,747.35	996.19	ST
	Aug 18, 09	25,000	17.689	442.24	38.830	970.75	528.51	ST
Security total		85,000		1,470.05		3,300.55	1,830.50	
AMAZON COM INC								
Symbol AMZN	Jun 9, 06	15,000	33.840	507.60 ¹	137.100	2,056.50	1,548.90	LT
Exchange OTC	Aug 1, 06	15,000	26.050	390.75 ¹	137.100	2,056.50	1,665.75	LT
	Sep 29, 08	25,000	65.350	1,633.75 ¹	137.100	3,427.50	1,793.75	LT
Security total		55,000		2,532.10		7,540.50	5,008.40	
AMGEN INC								
Symbol AMGN	Jan 2, 08	70,000	46.670	3,266.90 ¹	57.310	4,011.70	744.80	LT
Exchange OTC	May 22, 08	5,000	42.870	214.35 ¹	57.310	286.55	72.20	LT
	Feb 19, 10	25,000	57.237	1,430.93	57.310	1,432.75	1.82	ST
Security total		100,000		4,912.18		5,731.00	818.82	
ANADARKO PETROLEUM CORP								
Symbol APC	Jul 25, 05	25,000	44.600	1,115.00 ¹	62.160	1,554.00	439.00	LT
Exchange NYSE	Oct 14, 05	20,000	44.490	889.80 ¹	62.160	1,243.20	353.40	LT
EAI \$29 Current yield 0.58%								

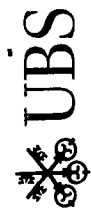
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00006344 00065100

APZ60004001999771 PZ6000196285 00001 0410 000000000 2 RF 20



Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 25, 06	35 000	41 610	1,456 35 ¹	62 160	2,175 60	719 25	LT
		80 000		3,461 15		4,972 80	1,511 65	
AUTODESK INC								
Symbol ADISK Exchange OTC	Nov 17, 05	30 000	40 480	1,214 40 ¹	33 990	1,019 70	-194 70	LT
	May 4, 06	35 000	40 030	1,401 05 ¹	33 990	1,189 65	-211 40	LT
	Feb 27, 09	50 000	12 777	638 89	33 990	1,699 50	1,060 61	LT
Security total		115 000		3,254 34		3,908 85	654 51	
BED BATH & BEYOND INC								
Symbol BBBY Exchange OTC	Jan 2, 08	80 000	28 480	2,278 72 ¹	45 960	3,676 80	1,398 08	LT
BIOGEN IDEC INC								
Symbol BIB Exchange OTC	Aug 17, 05	150 000	41 090	6,163 71 ¹	53 290	7,993 50	1,829 79	LT
BLACKROCK INC								
Symbol BLK Exchange NYSE	Mar 20, 09	4 000	114 340	457 36	184 000	736 00	278 64	LT
EAI \$44 Current yield 2 17%	Mar 30, 09	3 000	126 042	378 13	184 000	552 00	173 87	LT
	Apr 8, 09	4 000	128 523	514 09	184 000	736 00	221 91	LT
Security total		11 000		1,349 58		2,024 00	674 42	
BROADCOM CORP CL A								
Symbol BRCM Exchange OTC	Sep 7, 06	55 000	27 120	1,491 60 ¹	34 500	1,897 50	405 90	LT
EAI \$26 Current yield 0 94%	Jul 18, 08	25 000	28 130	703 25 ¹	34 500	862 50	159 25	LT
Security total		80 000		2,194 85		2,760 00	565 15	
CABLEVISION SYSTEMS CORP N Y								
GROUP CL A								
Symbol CVC Exchange NYSE	Jan 3, 06	110 000	19 226	2,114 91 ¹	27 440	3,018 40	903 49	LT
EAI \$52 Current yield 1 46%	Apr 9, 08	20 000	19 599	391 99 ¹	27 440	548 80	156 81	LT
Security total		130 000		2,506 90		3,567 20	1,060 30	
CELGENE CORP								
Symbol CELG Exchange OTC	Dec 2, 08	15 000	49 510	742 65 ¹	61 990	929 85	187 20	LT
	Feb 27, 09	20 000	45 145	902 91	61 990	1,239 80	336 89	LT
	Feb 19, 10	20 000	59 810	1,196 20	61 990	1,239 80	43 60	ST
Security total		55 000		2,841 76		3,409 45	567 69	

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC	Jan 3, 06	205 000	17 230	3,532 15 ¹	26 930	5,520 65	1,988 50	LT
COCA COLA CO COM								
Symbol KO Exchange NYSE	Jul 25, 05	80 000	44 020	3,521 60 ¹	53 450	4,276 00	754 40	LT
EAI \$158 Current yield 3 28%	Jul 18, 08	10 000	50 050	500 50 ¹	53 450	534 50	34 00	LT
Security total		90 000		4,022 10		4,810 50	788 40	
COMCAST CORP NEW SPECIAL CL A								
Symbol CMCSK Exchange OTC	Jan 3, 06	232 000	17 090	3,964 88 ¹	18 850	4,373 20	408 32	LT
EAI \$136 Current yield 2 00%	Mar 14, 08	5 000	18 610	93 07 ¹	18 850	94 25	1 18	LT
	Feb 19, 10	123 000	14 768	1,816 50	18 850	2,318 55	502 05	ST
Security total		360 000		5,874 45		6,786 00	911 55	
COVIDIEN PLC								
Symbol COV Exchange NYSE	Jan 3, 06	33 000	36 560	1,206 48 ¹	47 990	1,583 67	377 19	LT
EAI \$29 Current yield 1 51%	Feb 2, 06	7 000	34 040	238 31 ¹	47 990	335 93	97 62	LT
Security total		40 000		1,444 79		1,919 60	474 81	
CREE INC								
Symbol CREE Exchange OTC	Aug 16, 05	20 000	24 060	481 25 ¹	73 210	1,464 20	982 95	LT
CVS CAREMARK CORP								
Symbol CVS Exchange NYSE	Oct 22, 08	30 000	28 630	858 90 ¹	36 920	1,107 60	248 70	LT
EAI \$44 Current yield 0 95%	Oct 28, 08	5 000	25 990	129 97 ¹	36 920	184 60	54 63	LT
	Nov 6, 08	10 000	29 970	299 70 ¹	36 920	369 20	69 50	LT
	Feb 27, 09	15 000	25 840	387 60	36 920	553 80	166 20	LT
	Nov 19, 09	65 000	30 829	2,003 90	36 920	2,399 80	395 90	ST
Security total		125 000		3,680 07		4,615 00	934 93	
DOLBY LABORATORIES INC CL A								
Symbol DLB Exchange NYSE	Oct 24, 08	40 000	29 980	1,199 30 ¹	68 720	2,748 80	1,549 50	LT
EBAY INC								
Symbol EBAY Exchange OTC	Mar 14, 07	90 000	30 640	2,757 60 ¹	23 780	2,140 20	-617 40	LT
	Jul 18, 08	20 000	24 000	480 00 ¹	23 780	475 60	-4 40	LT

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		110 000		3,237 60		2,615 80	-621 80	
ELECTRONIC ARTS								
Symbol ERTS Exchange OTC	Sep 9, 05	35 000	55 980	1,959 30 ¹	19 370	677 95	-1,281 35	LT
	Jun 9, 06	50 000	42 780	2,139 00 ¹	19 370	968 50	-1,170 50	LT
	Aug 6, 08	10 000	45 010	450 10 ¹	19 370	193 70	-256 40	LT
	Nov 21, 08	35 000	17 660	618 26 ¹	19 370	677 95	59 69	LT
	Aug 19, 09	45 000	18 980	854 13	19 370	871 65	17 52	ST
	Feb 19, 10	35 000	16 647	582 65	19 370	677 95	95 30	ST
Security total		210 000		6,603 44		4,067 70	-2,535 74	
EXPEDIA INC (DELA)								
Symbol EXPE Exchange OTC								
EAI \$42 Current yield 1 19%	Jul 18, 08	45 000	19 420	873 90 ¹	23 610	1,062 45	188 55	LT
	Feb 27, 09	105 000	7 968	836 66	23 610	2,479 05	1,642 39	LT
Security total		150 000		1,710 56		3,541 50	1,830 94	
FIRST SOLAR INC								
Symbol FSLR Exchange OTC	Dec 2, 08	5 000	113 990	569 97 ¹	143 980	719 90	149 93	LT
	Feb 27, 09	5 000	106 382	531 91	143 980	719 90	187 99	LT
Security total		10 000		1,101 88		1,439 80	337 92	
FLUOR CORP NEW								
Symbol FLR Exchange NYSE								
EAI \$25 Current yield 0 95%	Nov 19, 08	15 000	33 240	498 60 ¹	52 840	792 60	294 00	LT
	Nov 20, 08	20 000	30 470	609 40 ¹	52 840	1,056 80	447 40	LT
	Feb 27, 09	15 000	33 366	500 50	52 840	792 60	292 10	LT
Security total		50 000		1,608 50		2,642 00	1,033 50	
FOREST LABORATORIES								
Symbol FRX Exchange NYSE	Jul 25, 05	95 000	40 280	3,826 60 ¹	27 260	2,589 70	-1,236 90	LT
	Oct 16, 07	10 000	38 320	383 22 ¹	27 260	272 60	-110 62	LT
	Jul 18, 08	15 000	36 710	550 65 ¹	27 260	408 90	-141 75	LT
Security total		120 000		4,760 47		3,271 20	-1,489 27	
FREEPORT-MCMORAN COPPER & GOLD INC								
Symbol FCX Exchange NYSE								
EAI \$24 Current yield 0 79%	Feb 27, 09	40 000	30 237	1,209 51	75 530	3,021 20	1,811 69	LT

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI \$84 Current yield 2 12%	Jul 18, 08	30 000	27 810	834 30 ¹	18 860	565 80	-268 50	LT
	Feb 27, 09	180 000	8 558	1,540 57	18 860	3,394 80	1,854 23	LT
Security total		210 000		2,374 87		3,960 60	1,585 73	
GENZYME CORP GEN DIV								
Symbol GENZ Exchange OTC								
	Jul 25, 05	30 000	68 400	2,052 00 ¹	53 220	1,596 60	-455 40	LT
	May 4, 06	40 000	58 590	2,343 60 ¹	53 220	2,128 80	-214 80	LT
Security total		70 000		4,395 60		3,725 40	-670 20	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC								
	Sep 22, 08	1 000	442 100	442 10 ¹	525 695	525 69	83 59	LT
	Sep 23, 08	1 000	436 130	436 13 ¹	525 695	525 69	89 56	LT
	Oct 22, 08	3 000	358 190	1,074 58 ¹	525 695	1,577 08	502 50	LT
Security total		5 000		1,952 81		2,628 47	675 65	
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI \$132 Current yield 2 68%	Jan 2, 08	140 000	26 970	3,776 13 ¹	35 230	4,932 20	1,156 07	LT
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$76 Current yield 2 77%	Jul 25, 05	120 000	26 790	3,214 80 ¹	22 840	2,740 80	-474 00	LT
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$151 Current yield 3 35%	Aug 15, 05	70 000	63 330	4,433 16 ¹	64 300	4,501 00	67 84	LT
JUNIPER NETWORKS INC								
Symbol JNPR Exchange NYSE								
	Oct 22, 08	50 000	18 520	926 00 ¹	28 410	1,420 50	494 50	LT
	Nov 6, 08	30 000	16 780	503 48 ¹	28 410	852 30	348 82	LT
	Feb 27, 09	60 000	14 358	861 49	28 410	1,704 60	843 11	LT
Security total		140 000		2,290 97		3,977 40	1,686 43	
L-3 COMMUNICATIONS HOLDINGS CORP								
Symbol LLL Exchange NYSE								
EAI \$64 Current yield 1 71%	Jul 25, 05	40 000	78 160	3,126 40 ¹	93 570	3,742 80	616 40	LT

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
LIBERTY MEDIA INTERACTIVE								
SER A								
Symbol LINTA Exchange OTC	Jan 3, 06	38 000	18 110	688 24 ¹	15 360	583 68	-104 56	LT
	Mar 17, 08	15 000	16 050	240 75 ¹	15 360	230 40	-10 35	LT
	Feb 27, 09	127 000	3 170	402 59	15 360	1,950 72	1,548 13	LT
Security total		180 000		1,331 58		2,764 80	1,433 22	
LIBERTY MEDIA CAPITAL CORP								
SER A								
Symbol LCAPA Exchange OTC	Feb 27, 09	80 000	5 258	420 66	44 310	3,544 80	3,124 14	LT
LIBERTY MEDIA STARZ SER A								
Symbol LSTZA Exchange OTC	Jan 3, 06	10 000	19 278	192 78 ¹	55 390	553 90	361 12	LT
LIBERTY GLOBAL INC CL A								
Symbol LBTYA Exchange OTC	May 4, 06	25 000	20 370	509 25 ¹	27 480	687 00	177 75	LT
MADISON SQUARE GARDEN INC CL A								
Symbol MSG Exchange OTC								
	Jan 3, 06	27 000	15 814	426 98	20 750	560 25	133 27	LT
	Apr 9, 08	5 000	16 122	80 61	20 750	103 75	23 14	LT
Security total		32 000		507 59		664 00	156 41	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
	Jul 25, 05	110 000	25 890	2,847 90 ¹	30 535	3,358 85	510 95	LT
	Aug 6, 08	15 000	26 750	401 25 ¹	30 535	458 02	56 77	LT
Security total		125 000		3,249 15		3,816 87	567 72	
MONSANTO CO NEW								
Symbol MON Exchange NYSE								
	Jan 21, 10	10 000	81 807	818 07	63 060	630 60	-187 47	ST
	Jan 27, 10	5 000	77 000	385 00	63 060	315 30	-69 70	ST
	Feb 19, 10	5 000	77 770	388 85	63 060	315 30	-73 55	ST
Security total		20 000		1,591 92		1,261 20	-330 72	
NASDAQ OMX GROUP (THE)								
Symbol NDAQ Exchange OTC								
	May 9, 08	70 000	38 710	2,709 70 ¹	21 000	1,470 00	-1,239 70	LT
	Jun 11, 08	10 000	31 890	318 90 ¹	21 000	210 00	-108 90	LT
	Dec 2, 09	45 000	19 380	872 14	21 000	945 00	72 86	ST
Security total		125 000		3,900 74		2,625 00	-1,275 74	

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
NATL-OILWELLVARCO INC								
Symbol NOV Exchange NYSE								
EAI \$19 Current yield 0.92%	Feb 28, 06	17 000	70 240	1,194 11 ¹	44 030	748 51	-445 60	LT
	Oct 24, 08	30 000	24 060	721 80 ¹	44 030	1,320 90	599 10	LT
Security total		47 000		1,915 91		2,069 41	153 50	
NUCOR CORP								
Symbol NUE Exchange NYSE								
EAI \$72 Current yield 3.18%	Feb 27, 09	30 000	33 728	1,011 85	45 320	1,359 60	347 75	LT
	Feb 19, 10	20 000	43 979	879 59	45 320	906 40	26.81	ST
Security total		50 000		1,891 44		2,266 00	374 56	
NVIDIA CORP								
Symbol NVDA Exchange OTC								
	May 30, 08	115 000	24 460	2,812 90 ¹	15 710	1,806 65	-1,006 25	LT
	Sep 10, 08	45 000	10 940	492 30 ¹	15 710	706 95	214 65	LT
Security total		160 000		3,305 20		2,513 60	-791 60	
PALL CORP								
Symbol PLL Exchange NYSE								
EAI \$51 Current yield 1.64%	Sep 20, 05	80 000	27 780	2,222 40 ¹	38 990	3,119 20	896 80	LT
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI \$108 Current yield 2.76%	Aug 24, 05	60 000	54 550	3,273 08 ¹	65 220	3,913 20	640 12	LT
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI \$116 Current yield 3.11%	Jan 4, 06	60 000	58 880	3,532 80 ¹	62 160	3,729 60	196 80	LT
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI \$76 Current yield 1.96%	Oct 6, 08	15 000	39 870	598 10 ¹	38 680	580 20	-17 90	LT
	Oct 10, 08	10 000	37 880	378 83 ¹	38 680	386 80	7 97	LT
	Oct 17, 08	25 000	39 730	993 25 ¹	38 680	967 00	-26 25	LT
	Nov 6, 09	25 000	43 656	1,091 42	38 680	967 00	-124 42	ST
	Feb 2, 10	25 000	39 685	992 13	38 680	967 00	-25 13	ST
Security total		100 000		4,053 73		3,868 00	-185 73	

continued next page





STANLEY AND CATHERINE MAAS
RF 68329 20

Account name:
Account number:

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr. 30 (\$)	Value on Apr. 30 (\$)	Unrealized gain or loss (\$)	Holding period
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol RHHBY Exchange OTC	Sep 17, 08	20 000	40 860	817 20 ¹	39 448	788 96	-28 24	LT
EAI \$87 Current yield 2.94%	Sep 23, 08	20 000	41 125	822 50 ¹	39 448	788 96	-33 54	LT
	Apr 22, 09	20 000	29 752	595 04	39 448	788 96	193 92	LT
	Feb 3, 10	15 000	42 105	631 58	39 448	591 72	-39 86	ST
Security total		75 000		2,866 32		2,958 60	92 28	
SANDISK CORP								
Symbol SNDK Exchange OTC	Jan 2, 08	55 000	33 540	1,844 70 ¹	39 840	2,191 20	346 50	LT
	Feb 27, 09	35 000	8 930	312 55	39 840	1,394 40	1,081 85	LT
Security total		90 000		2,157 25		3,585 60	1,428 35	
SCHWAB CHARLES CORP NEW								
Symbol SCHW Exchange NYSE	Feb 27, 09	130 000	12 828	1,667 67	19 290	2,507 70	840 03	LT
EAI \$31 Current yield 1.24%	Feb 27, 09	190 000	4 230	803 70	18 352	3,486 88	2,683 18	LT
SEAGATE TECHNOLOGY								
Symbol STX Exchange OTC	Feb 27, 09	20 000	37 146	742 94	120 950	2,419 00	1,676 06	LT
SEARS HOLDINGS CORP								
Symbol SHLD Exchange OTC	Jul 25, 05	95 000	30 840	2,930 13 ¹	26 010	2,470 95	-459 18	LT
	Oct 31, 05	40 000	28 600	1,144 00 ¹	26 010	1,040 40	-103 60	LT
	Aug 7, 08	35 000	25 730	900 55 ¹	26 010	910 35	9 80	LT
Security total		170 000		4,974 68		4,421 70	-552 98	
THE DIRECTV GROUP CL A								
Symbol DTV Exchange OTC	Jan 3, 06	70 000	12 320	862 41 ¹	36 240	2,536 80	1,674 39	LT
TYCO ELECTRONICS LTD								
Symbol TEL Exchange NYSE	Jul 18, 08	30 000	36 500	1,095 00	32 120	963 60	-131 40	LT
EAI \$99 Current yield 1.99%	Feb 27, 09	125 000	9 508	1,188 55	32 120	4,015 00	2,826 45	LT
Security total		155 000		2,283 55		4,978 60	2,695 05	

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
TYCO INTL LTD								
Symbol TYC Exchange NYSE								
EAI \$99 Current yield 2.32%	Jan 3, 06	45 000	45 000	2,027 98 ¹	38 790	1,745 55	-282 43	LT
	Feb 2, 06	7 000	41 900	293 77 ¹	38 790	271 53	-22 24	LT
	Nov 8, 07	25 000	41 060	1,026 50 ¹	38 790	969 75	-56 75	LT
	Jan 2, 08	20 000	39 210	784 26 ¹	38 790	775 80	-8 46	LT
	Jul 18, 08	13 000	41 420	538 46 ¹	38 790	504 27	-34 19	LT
Security total		110 000		4,670 97		4,266 90	-404 07	
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAI \$6 Current yield 0.10%	Jul 25, 05	95 000	50 950	4,840 25 ¹	30 310	2,879 45	-1,960 80	LT
	May 4, 06	40 000	46 900	1,876 00 ¹	30 310	1,212 40	-663 60	LT
	May 1, 08	30 000	32 960	988 80 ¹	30 310	909 30	-79 50	LT
	Apr 1, 09	40 000	20 691	827 68	30 310	1,212 40	384 72	LT
Security total		205 000		8,532 73		6,213 55	-2,319 18	
VERTEX PHARMACEUTICAL INC								
Symbol VRTX Exchange OTC								
	Nov 6, 08	45 000	26 970	1,213 76 ¹	38 770	1,744 65	530 89	LT
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI \$47 Current yield 0.95%	Oct 5, 01	110 000	19 590	2,154 90 ¹	36 840	4,052 40	1,897 50	LT
	Sep 26, 05	25 000	22 990	574 75 ¹	36 840	921 00	346 25	LT
Security total		135 000		2,729 65		4,973 40	2,243 75	
WEATHERFORD INTL LTD								
Symbol WFT Exchange NYSE								
	Jul 25, 05	210 000	15 860	3,331 13 ¹	18 110	3,803 10	471 97	LT
	Apr 1, 09	56 000	11 454	641 44	18 110	1,014 16	372 72	LT
Security total		266 000		3,972 57		4,817 26	844 69	
YAHOO INC								
Symbol YHOO Exchange OTC								
	Mar 20, 09	65 000	13 665	888 27	16 530	1,074 45	186 18	LT
	Feb 19, 10	80 000	15 480	1,238 40	16 530	1,322 40	84 00	ST
Security total		145 000		2,126 67		2,396 85	270 18	

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 68329 20

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Total				\$170,499.25		\$216,261.34	\$45,762.08	

Total estimated annual income: \$2,095

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services

Your total assets

Cash	Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	1,556.48	0.71%	1,556.48		
Equities	216,261.34	99.29%	170,499.25	2,095.00	45,762.08
Total	\$217,817.82	100.00%	\$172,055.73	\$2,095.00	\$45,762.08

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$2,139.75
Apr 1	Dividend	COCA COLA CO COM PAID ON	90		39 60		2,179 35
Apr 5	Dividend	FLUOR CORP NEW PAID ON	50 AS OF 04/02/10		6 25		2,185 60
Apr 20	Dividend	UNITEDHEALTH GROUP INC PAID ON	205		6 15		
Apr 20	Foreign Tax Withheld	ROCHE HLDG LTD SPONS ADR SWITZ ADR			-15 66		
Apr 20	Foreign Dividend	ROCHE HLDG LTD SPONS ADR SWITZ ADR PAID ON	75		104 38		
Apr 20	Fee	ROCHE HLDG LTD SPONS ADR SWITZ ADR			-1 76		2,278 71
Apr 23	Fee Charged	QUARTERLY FEE			-782 55		1,496 16
Apr 26	Dividend	GENL ELECTRIC CO PAID ON	210		21 00		1,517 16
Apr 28	Dividend	COMCAST CORP NEW SPECIAL CL A PAID ON	360		34 02		1,551 18
Apr 29	Dividend	MONSANTO CO NEW PAID ON	20		5 30		1,556 48
Apr 30		Closing cash and money balance					\$1,556.48



ACCESS
April 2010

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 63388 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT. PORTFOLIO	6,742.32	10,975.19	1.00	0.01%	Mar 25 to Apr 25	32

Fixed income

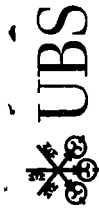
Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL 735141								
RATE 05.5000% MATURES 01/01/35								
CURRENT PAR VALUE		16,619						
ACCRUED INTEREST \$73.62								
CUSIP 31402QWA5								
EAI: \$914 Current yield: 5.19%	Oct 09, 07	40,000,000	98,160	16,313.23	105,954	17,608.49	1,295.26	LT
FNMA PL 848647								
RATE 05.5000% MATURES 01/01/36								
CURRENT PAR VALUE		3,530						
ACCRUED INTEREST \$15.63								
CUSIP 31408DZL1								
EAI: \$194 Current yield: 5.20%	Mar 17, 06	7,000,000	98,560	3,478.76	105,704	3,731.35	252.59	LT
FNMA PL 745275								
RATE 05.0000% MATURES 02/01/36								
CURRENT PAR VALUE		21,396						
ACCRUED INTEREST \$86.17								
CUSIP 31403C6L0								
EAI: \$1,070 Current yield: 4.80%	Apr 01, 09	35,000,000	103,398	22,123.22	104,075	22,267.88	144.66	LT

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63388 20

Your assets • Fixed income • Asset backed securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL 190391								
RATE 06 0000% MATURES 09/01/38								
FACTOR 0 994909100000								
CURRENT PAR VALUE 89,160								
ACCRUED INTEREST \$430 92								
CUSIP 31368HNG4								
EAI \$5,350 Current yield 5.64%	Apr 01, 09	120,000 000	104 609	78,819 37	106 445	80,202 60	1,383 23	LT
	Jun 25, 09	22,000 000	104 648	14,455 60	106 445	14,703 81	248 21	ST
Security total		142,000 000		93,274 97		94,906 36	1,631 44	

FNMA PL 889982								
RATE 05 5000% MATURES 11/01/38								
CURRENT PAR VALUE 5,257								
ACCRUED INTEREST \$23 28								
CUSIP 31410KXK5								
EAI \$289 Current yield 5.22%	Jun 25, 09	8,000 000	103 394	5,435 05	105 464	5,544 24	109 19	ST
Total		\$232,000.000		\$140,625.23		\$144,058.32	\$3,433.14	

Total accrued interest: \$629.62
Total estimated annual income: \$7,817

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
ALLIANZ FIXED INCOME									
SHARES SERIES C									
Trade date Apr 1, 09	5,885 000	11 190	65,853 15	65,853 15	13 150	77,387 75	11,534 60		LT
Trade date Jan 6, 10	540 000	12 370	6,679 80	6,679 80	13 150	7,101 00	421 20		ST
EAI \$5,763 Current yield 6.82%									
Security total	6,425 000		72,532 95	72,532 95	84,488 75	11,955 80	11,955 80		
ALLIANZ FIXED INCOME									
SHARES SERIES M									

continued next page



ACCESS
April 2010

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 63388 20

Your Financial Advisor:
ROBERT D. COHEN
516-745-8800/800-333-7707

Your assets • Fixed income • Mutual funds (continued)

Holding	Trade date	Apr 1, 09	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
EAI: \$7,224 Current yield 8.80%			8,200,000	8.490	69,618.00	69,618.00	10.010	82,082.00	12,464.00	12,464.00	LT
Total					\$142,150.95	\$142,150.95		\$166,570.75	\$24,419.80	\$24,419.80	
Total estimated annual income: \$12,987											

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a

tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
U.S. TSY INFL PROT NOTE								
RATE 2.3750% DUE 04/15/11								
CURRENT PAR VALUE 25,115								
ACCURED INTEREST \$24.44								
EAI: \$596 Current yield 2.30%	Apr 01, 09	23,000,000	102,742	25,803.73	103,094	25,892.05	88.32	LT

U.S. TREASURY NOTE

RATE 1.0000% MATURES 12/31/11
ACCURED INTEREST \$39.77
EAI: \$120 Current yield 1.00%

	Jan 12, 10	8,000,000	100,187	8,015.00	100,324	8,025.92	10.92	ST
	Jan 14, 10	4,000,000	100,156	4,006.25	100,324	4,012.96	6.71	ST
Security total		12,000,000		12,021.25	12,038.88		17.63	

U.S. TREASURY NOTE

RATE 0.8750% MATURES 02/29/12
ACCURED INTEREST \$23.20
EAI: \$140 Current yield 0.88%

	Mar 03, 10	6,000,000	100,132	6,007.97	99,973	5,998.38	-9.59	ST
	Mar 25, 10	9,000,000	99,660	8,969.41	99,973	8,997.57	28.16	ST
	Apr 06, 10	1,000,000	99,570	995.70	99,973	999.73	4.03	ST
Security total		16,000,000		15,973.08	15,995.68		22.60	

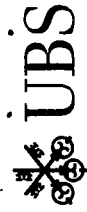
U.S. TSY INFL PROT NOTE

RATE 3.0000% DUE 07/15/12
CURRENT PAR VALUE 25,314
ACCURED INTEREST \$220.27
EAI: \$759 Current yield: 2.77%

	Apr 01, 09	20,000,000	106,734	25,732.50	108,266	26,101.38	368.88	LT
	Sep 10, 09	1,000,000	106,125	1,279.28	108,266	1,305.07	25.79	ST

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63388 20

Your assets • Fixed income • Government securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		21,000 000		27,011 78		27,406 45	394 67	
U S TREASURY NOTE								
RATE 1 3750% MATURES 03/15/13								
ACCURED INTEREST \$6 87								
EAI \$55 Current yield 1 38%	Mar 26, 10	4,000 000	99 265	3,970 63	99 789	3,991 56	20 93	ST
U S TREASURY NOTE								
RATE 4 0000% MATURES 02/15/15								
ACCURED INTEREST \$139 00								
EAI \$680 Current yield 3 72%	Sep 09, 09	4,000 000	107 285	4,291 42	107 656	4,306 24	14 82	ST
	Sep 28, 09	9,000 000	107 593	9,683 44	107 656	9,689 04	5 60	ST
	Oct 29, 09	2,000 000	107 343	2,146 88	107 656	2,153 12	6 24	ST
	Dec 30, 09	2,000 000	106 699	2,133 99	107 656	2,153 12	19 13	ST
Security total		17,000 000		18,255 73		18,301 52	45 79	
Total		\$93,000,000		\$103,036.20		\$103,626.14	\$589.94	

Total accrued interest: \$453.55

Total estimated annual income: \$2,350

Your total assets

Cash	Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances					
Asset backed securities	10,975.19	2.57%	10,975.19	7,817 00	3,433 14
Mutual funds	144,058 32		140,625 23		
Government securities	166,570 75		142,150 95	12,987 00	24,419 80
Total accrued interest	103,626 14		103,036 20	2,350 00	589 94
	1,083 17				
Total fixed income	415,338.38	97.43%	385,812.38	23,154.00	28,442.88
Total	\$426,313.57	100.00%	\$396,787.57	\$23,154.00	\$28,442.88



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your Financial Advisor:
ROBERT D. COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/interest period	Days in period
RMA MONEY MKT PORTFOLIO	5,868.94	6,710.20	1.00	0.01%	Mar 25 to Apr 25	32

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABB Exchange: NYSE								
EAI: \$338 Current yield: 3.44%								
	May 20, 09	77 000	43.508	3,350.12	51.160	3,939.32	589.20	ST
	Jul 29, 09	37 000	45.574	1,686.27	51.160	1,892.92	206.65	ST
	Sep 2, 09	40,000	45.166	1,806.65	51.160	2,046.40	239.75	ST
	Feb 19, 10	3 000	54.397	163.19	51.160	153.48	-9.71	ST
	Mar 11, 10	35 000	55.199	1,931.99	51.160	1,790.60	-141.39	ST
Security total		192 000		8,938.22		9,822.72	884.50	

ACCENTURE PLC IRELAND CL A

Symbol: ACN Exchange: OTC

EAI: \$170 Current yield: 1.72%

Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Oct 7, 08	135 000	32.210	4,348.35 ¹	43.640	5,891.40	1,543.05	LT
Feb 6, 09	8 000	32.787	262.30	43.640	349.12	86.82	LT
Feb 18, 09	34 000	31.080	1,056.86 ¹	43.640	1,483.76	426.90	LT
Feb 18, 09	14 000	31.084	435.18	43.640	610.96	175.78	LT
Feb 20, 09	15,000	29.864	447.96	43.640	654.60	206.64	LT
Mar 27, 09	16,000	27.840	445.44	43.640	698.24	252.80	LT
Feb 19, 10	5,000	40.858	204.29	43.640	218.20	13.91	ST
Security total	227 000		7,200.38		9,906.28	2,705.90	

continued next page





Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
AIR PROD & CHEMICAL INC								
Symbol: APD Exchange: NYSE								
EAI: \$53 Current yield: 2.56%	Apr 14, 10	27 000	75 480	2,037 97	76.780	2,073 06	35 09	ST
AON CORP								
Symbol: AON Exchange: NYSE								
EAI: \$69 Current yield: 1.41%	Jul 29, 09	70 000	39 680	2,777 66	42 460	2,972 20	194 54	ST
	Sep 9, 09	45 000	42 802	1,926 10	42 460	1,910 70	-15 40	ST
Security total		115 000		4,703.76		4,882 90	179 14	
APACHE CORP								
Symbol: APA Exchange: NYSE								
EAI: \$55 Current yield: 0.59%	Oct 7, 08	70 000	82 500	5,775 00 ¹	101 760	7,123 20	1,348 20	LT
	Oct 19, 09	22 000	102 882	2,263 42	101 760	2,238 72	-24 70	ST
Security total		92 000		8,038.42		9,361 92	1,323 50	
APOLLO GROUP INC CL A								
Symbol: APOL Exchange: OTC								
	Sep 30, 09	32 000	73 005	2,336 17	57 410	1,837 12	-499 05	ST
	Oct 1, 09	6 000	72 583	435 50	57 410	344 46	-91 04	ST
	Feb 16, 10	1 000	60 004	60 00	57 410	57 41	-2 59	ST
	Feb 19, 10	4 000	56 910	227 64	57 410	229 64	2 00	ST
Security total		43 000		3,059 31		2,468 63	-590 68	
AT&T INC								
Symbol: T Exchange: NYSE								
EAI: \$828 Current yield: 6.44%	Oct 7, 08	396 000	26 090	10,331.64 ¹	26 060	10,319 76	-11 88	LT
	Feb 6, 09	4 000	26 200	104 80	26 060	104 24	-0 56	LT
	Mar 27, 09	6 000	25 980	155 88	26 060	156 36	0 48	LT
	Nov 11, 09	81 000	26 367	2,135 75	26 060	2,110 86	-24 89	ST
	Feb 16, 10	1 000	25 310	25 31	26 060	26 06	0 75	ST
	Feb 19, 10	5 000	25 098	125 49	26 060	130 30	4 81	ST
Security total		493 000		12,878 87		12,847 58	-31 29	
BANK OF NEW YORK MELLON CORP								
Symbol: BK Exchange: NYSE								
EAI: \$167 Current yield: 1.16%	Oct 7, 08	206 000	24 910	5,131 46 ¹	31 130	6,412 78	1,281 32	LT
	Oct 8, 08	83 000	25 930	2,152.19 ¹	31 130	2,583 79	431 60	LT
	Oct 7, 09	51 000	28 347	1,445 74	31 130	1,587 63	141 89	ST
	Feb 3, 10	61 000	28 772	1,755 11	31 130	1,898 93	143 82	ST

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 8, 10	4,000	26 948	107 80	31 130	124 52	16 72	ST
	Mar 31, 10	59 000	30 837	1,819 41	31,130	1,836 67	17 26	ST
		464 000		12,411 71		14,444 32	2,032 61	
BECTON DICKINSON & CO								
Symbol BDX Exchange NYSE								
EAI: \$73 Current yield 1.95%								
Security total	Dec 22, 09	23 000	77.883	1,791 33	76.370	1,756.51	-34.82	ST
	Jan 14, 10	19 000	76 741	1,458 09	76 370	1,451 03	-7 06	ST
	Jan 21, 10	7 000	76 661	536 63	76 370	534 59	-2 04	ST
Security total		49 000		3,786.05		3,742 13	-43 92	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI: \$492 Current yield 3.53%								
Security total	Jun 26, 08	83 000	98 100	8,142 30 ¹	81 440	6,759 52	-1,382 78	LT
	Jul 31, 08	34,000	85 510	2,907.39 ¹	81 440	2,768 96	-138 43	LT
	Oct 7, 08	1 000	75 530	75 53 ¹	81 440	81 44	5 91	LT
Security total	Feb 6, 09	3 000	73 290	219 87	81 440	244 32	24 45	LT
	Oct 28, 09	25 000	76.810	1,920 27	81 440	2,036 00	115 73	ST
	Jan 6, 10	22 000	79 369	1,746 12	81 440	1,791 68	45 56	ST
Security total	Jan 7, 10	3 000	79 199	237.60	81 440	244 32	6 72	ST
		171 000		15,249 08		13,926.24	-1,322 84	
CHUBB CORP								
Symbol CB Exchange NYSE								
EAI: \$158 Current yield 2.79%								
Security total	Dec 19, 06	10 000	51.520	515 21 ¹	52 870	528 70	13 49	LT
	May 1, 08	24 000	53 670	1,288 08 ¹	52.870	1,268 88	-19 20	LT
	May 9, 08	28 000	52 680	1,475 04 ¹	52 870	1,480.36	5 32	LT
Security total	Jul 15, 08	5 000	46 500	232 50 ¹	52 870	264.35	31 85	LT
	Jun 24, 09	40 000	39 348	1,573 94	52 870	2,114.80	540.86	ST
		107 000		5,084 77		5,657.09	572 32	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI: \$224 Current yield 3.42%								
Security total	Oct 7, 08	93 000	61.340	5,704 62 ¹	68 140	6,337 02	632 40	LT
	Feb 6, 09	2 000	55 550	111 10	68 140	136 28	25 18	LT
	Feb 19, 10	1,000	66.339	66 34	68 140	68.14	1 80	ST
Security total		96 000		5,882.06		6,541 44	659 38	





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
EATON CORP								
Symbol: ETN Exchange: NYSE								
EAI: \$104 Current yield 2.59%	Oct 7, 08	51 000	48 570	2,477 07 ¹	77 160	3,935.16	1,458 09	LT
	Feb 19, 10	1 000	67 838	67 84	77 160	77 16	9 32	ST
Security total		52 000		2,544 91		4,012 32	1,467 41	
EXXON MOBIL CORP								
Symbol: XOM Exchange: NYSE								
EAI: \$225 Current yield 2.59%	Jul 26, 05	26 000	59 670	1,551 42 ¹	67 770	1,762 02	210 60	LT
	Nov 4, 05	3 000	57 970	173 91 ¹	67 770	203 31	29 40	LT
	Sep 19, 06	12 000	65 690	788 28 ¹	67 770	813 24	24 96	LT
	Oct 23, 06	20 000	69 480	1,389 60 ¹	67 770	1,355 40	-34.20	LT
	Sep 27, 07	17 000	93 080	1,582 36 ¹	67 770	1,152.09	-430 27	LT
	May 1, 08	16 000	89 080	1,425 28 ¹	67 770	1,084 32	-340 96	LT
	May 9, 08	28 000	88 660	2,482.48 ¹	67 770	1,897 56	-584 92	LT
	Jul 15, 08	4 000	85 030	340 12 ¹	67 770	271 08	-69 04	LT
	Feb 19, 10	2 000	65 988	131 98	67 770	135 54	3 56	ST
Security total		128 000		9,865 43		8,674 56	-1,190 87	
GENL MILLS INC								
Symbol: GIS Exchange: NYSE								
EAI: \$151 Current yield 2.76%	Oct 19, 09	46 000	66 022	3,037 02	71 160	3,273 36	236 34	ST
	Feb 19, 10	1 000	72 257	72.26	71 160	71 16	-1.10	ST
	Apr 14, 10	5 000	70 659	353 30	71 160	355 80	2 50	ST
	Apr 15, 10	25 000	70 422	1,760 55	71 160	1,779 00	18 45	ST
Security total		77 000		5,223 13		5,479 32	256 19	
GOLDMAN SACHS GROUP INC								
Symbol: GS Exchange: NYSE								
EAI: \$122 Current yield 0.97%	Oct 7, 08	57 000	116 730	6,653 85 ¹	145 200	8,276 40	1,622 55	LT
	Mar 11, 09	10 000	90 340	903 42 ¹	145 200	1,452.00	548 58	LT
	Mar 11, 09	4 000	90 341	361 37	145 200	580 80	219 43	LT
	Mar 31, 10	10 000	170 740	1,707 40	145 200	1,452.00	-255 40	ST
	Apr 7, 10	6 000	177 266	1,063 60	145 200	871.20	-192 40	ST
Security total		87 000		10,689 64		12,632 40	1,942 76	

continued next page



ACCESS
April 2010

Account name:
Account number:
STANLEY AND CATHERINE MAAS
RF 63389 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
HASBRO INC								
Symbol HAS Exchange NYSE								
EAI \$179 Current yield 2.61%								
	Jan 7, 09	23 000	29 360	675 28 ¹	38 360	882 28	207 00	LT
	Jan 21, 09	30 000	26 450	793 50 ¹	38 360	1,150 80	357 30	LT
	Feb 6, 09	56 000	23 646	1,324 22	38 360	2,148 16	823 94	LT
	Jun 10, 09	68 000	26 015	1,769 04	38 360	2,608 48	839 44	ST
	Feb 16, 10	2 000	34 890	69 78	38 360	76 72	6 94	ST
Security total		179 000		4,631 82		6,866 44	2,234 62	
HESS CORP								
Symbol HES Exchange NYSE								
EAI \$56 Current yield 0.63%								
	Oct 7, 08	92 000	63 310	5,824 75 ¹	63 550	5,846 60	21 85	LT
	Feb 6, 09	8 000	58 450	467 60	63 550	508 40	40 80	LT
	Mar 27, 09	10 000	58 460	584 60	63 550	635 50	50 90	LT
	Jul 9, 09	28 000	48 542	1,359 20	63 550	1,779 40	420 20	ST
	Feb 19, 10	2 000	60 808	121 62	63 550	127 10	5 48	ST
Security total		140 000		8,357 77		8,897 00	539 23	
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI \$144 Current yield 2.69%								
	Mar 3, 10	54 000	31 664	1,709 89	35 230	1,902 42	192 53	ST
	Mar 4, 10	36 000	31 514	1,134 53	35 230	1,268 28	133 75	ST
	Mar 25, 10	61 000	32 688	1,993 99	35 230	2,149 03	155 04	ST
	Mar 30, 10	1 000	32 698	32 70	35 230	35 23	2 53	ST
Security total		152 000		4,871 11		5,354 96	483 85	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$225 Current yield 2.76%								
	Apr 25, 08	219 000	22 260	4,875 48 ¹	22 840	5,001 96	126 48	LT
	May 1, 08	32 000	22 880	732 16 ¹	22 840	730 88	-1 28	LT
	May 9, 08	54 000	23 310	1,258 74 ¹	22 840	1,233 36	-25 38	LT
	Jul 15, 08	7 000	20 360	142 52 ¹	22 840	159 88	17 36	LT
	Oct 7, 08	26 000	16 450	427 70 ¹	22 840	593 84	166 14	LT
	Feb 6, 09	4 000	14 575	58 30	22 840	91 36	33 06	LT
	Mar 27, 09	10 000	15 317	153 18	22 840	228 40	75 22	LT
	Feb 16, 10	4 000	20 777	83 11	22 840	91 36	8 25	ST



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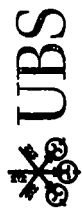


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 19, 10	1 000	20 807	20 81	22 840	22 84	2 03	ST
Security total		357 000		7,752.00		8,153.88	401.88	
INTL BUSINESS MACH								
Symbol: IBM Exchange NYSE								
EAI \$91 Current yield: 2.02%	Oct 7, 08	32 000	95 770	3,064.64 ¹	129 000	4,128.00	1,063.36	LT
Security total	Feb 6, 09	3 000	93 770	281.31	129 000	387.00	105.69	LT
Security total		35 000		3,345.95		4,515.00	1,169.05	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange NYSE								
EAI \$372 Current yield: 3.36%	Oct 7, 08	56 000	63 070	3,531.97 ¹	64 300	3,600.80	68.83	LT
Security total	Apr 22, 09	33 000	51 750	1,707.77	64 300	2,121.90	414.13	LT
Security total	Oct 28, 09	32 000	59 765	1,912.48	64 300	2,057.60	145.12	ST
Security total	Feb 10, 10	35 000	62 799	2,198.00	64,300	2,250.50	52.50	ST
Security total	Feb 16, 10	16 000	63 620	1,017.92	64 300	1,028.80	10.88	ST
Security total		172 000		10,368.14		11,059.60	691.46	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange NYSE								
EAI \$57 Current yield: 0.47%	Nov 12, 08	53 000	34 770	1,842.98 ¹	42 580	2,256.74	413.76	LT
Security total	Dec 3, 08	65 000	27 790	1,806.55 ¹	42 580	2,767.70	961.15	LT
Security total	Dec 17, 08	28 000	31 760	889.28 ¹	42 580	1,192.24	302.96	LT
Security total	Jan 7, 09	16 000	28 970	463.52 ¹	42 580	681.28	217.76	LT
Security total	Feb 6, 09	36 000	26 140	941.04	42 580	1,532.88	591.84	LT
Security total	Feb 11, 09	22 000	25 806	567.73	42 580	936.76	369.03	LT
Security total	Feb 11, 09	19 000	25 810	490.39 ¹	42 580	809.02	318.63	LT
Security total	Feb 12, 09	10 000	24 692	246.93	42 580	425.80	178.87	LT
Security total	Jun 2, 09	28 000	34 940	978.33	42 580	1,192.24	213.91	ST
Security total	Feb 19, 10	6 000	40 048	240.29	42 580	255.48	15.19	ST
Security total		283 000		8,467.04		12,050.14	3,583.10	
KELLOGG CO								
Symbol: K Exchange NYSE								
EAI \$56 Current yield: 2.75%	Mar 10, 10	37 000	52 558	1,944.67	54 940	2,032.78	88.11	ST
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange NYSE								
EAI \$590 Current yield: 2.97%	Nov 22, 05	44 000	60 710	2,671.24 ¹	84 890	3,735.16	1,063.92	LT

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Sep 27, 07	4 000	105 790	423 16 ¹	84 890	339 56	-83 60	LT
	May 1, 08	7 000	106 090	742 63 ¹	84 890	594 23	-148 40	LT
	May 9, 08	10 000	105 480	1,054 80 ¹	84 890	848 90	-205 90	LT
	Oct 7, 08	66 000	100 310	6,620 46 ¹	84 890	5,602.74	-1,017 72	LT
	Nov 5, 08	19 000	85.670	1,627.73 ¹	84 890	1,612 91	-14 82	LT
	Feb 6, 09	6 000	79.960	479 76	84 890	509 34	29 58	LT
	Mar 4, 09	14 000	60 890	852 52 ¹	84 890	1,188 46	335 94	LT
	Mar 5, 09	5 000	59 941	299 71	84 890	424 45	124 74	LT
	Jul 22, 09	16 000	75 707	1,211.32	84 890	1,358.24	146 92	ST
	Aug 26, 09	23 000	74 693	1,717.96	84 890	1,952 47	234 51	ST
	Nov 4, 09	18 000	70 868	1,275 62	84 890	1,528 02	252.40	ST
	Feb 19, 10	2 000	77 250	154 50	84 890	169 78	15 28	ST
Security total		234 000		19,131.41		19,864 26	732 85	

MEDTRONIC INC

Symbol MDT Exchange NYSE
EAI \$160 Current yield 1.88%

	Apr 29, 09	45 000	31 221	1,404.96	43 690	1,966 05	561 09	LT
	May 6, 09	48 000	32 020	1,536 98	43 690	2,097 12	560.14	ST
	Jul 7, 09	5 000	33 737	168 69	43 690	218.45	49 76	ST
	Aug 19, 09	48 000	37 113	1,781.45	43 690	2,097 12	315 67	ST
	Sep 2, 09	22 000	38 279	842.15	43 690	961 18	119 03	ST
	Sep 9, 09	25 000	39 018	975 45	43 690	1,092 25	116 80	ST
	Feb 16, 10	1 000	42.970	42 97	43 690	43 69	0 72	ST
	Feb 19, 10	1 000	43 588	43 59	43 690	43 69	0 10	ST
Security total		195 000		6,796 24		8,519 55	1,723 31	

METLIFE INC

Symbol MET Exchange NYSE
EAI \$229 Current yield 1.63%

	Oct 7, 08	184 000	38 060	7,003 04 ¹	45 580	8,386 72	1,383 68	LT
	Oct 7, 08	43 000	38 060	1,636 58 ¹	45 580	1,959 94	323 36	LT
	Oct 22, 08	77 000	31 330	2,412 47 ¹	45 580	3,509 66	1,097.19	LT
	Feb 6, 09	4 000	30 645	122 58	45 580	182 32	59 74	LT
	Feb 19, 10	1 000	35 008	35.01	45 580	45 58	10 57	ST
Security total		309 000		11,209 68		14,084 22	2,874 54	



continued next page



Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
NATL-OILWELLVARCO INC								
Symbol NOV Exchange NYSE								
EAI \$48 Current yield 0.91%								
	Jan 6, 10	6 000	46.858	281 15	44 030	264.18	-16 97	ST
	Jan 7, 10	33 000	47 024	1,551 82	44 030	1,452 99	-98 83	ST
	Jan 14, 10	42 000	46 847	1,967 60	44 030	1,849 26	-118 34	ST
	Jan 21, 10	2 000	44 857	89.72	44 030	88 06	-1 66	ST
	Mar 11, 10	37 000	43 693	1,616 64	44 030	1,629 11	12 47	ST
Security total		120,000		5,506.93		5,283 60	-223 33	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange OTC								
EAI \$130 Current yield 1.96%								
	Oct 7, 08	47 000	39 200	1,842 40 ¹	49 026	2,304 22	461 82	LT
	Oct 8, 08	26 000	39 670	1,031.42 ¹	49.026	1,274 68	243 26	LT
	Feb 6, 09	7 000	32.680	228 76	49.026	343 18	114 42	LT
	Mar 27, 09	8 000	32 900	263 20	49.026	392.21	129 01	LT
	Aug 19, 09	46 000	40 181	1,848.34	49 026	2,255 20	406.86	ST
	Aug 26, 09	1 000	40 436	40 44	49 026	49 03	8 59	ST
Security total		135 000		5,254 56		6,618.51	1,363 96	
NIKE INC CL B								
Symbol: NKE Exchange: NYSE								
EAI \$104 Current yield 1.43%								
	Sep 16, 09	24,000	56 676	1,360 24	75.910	1,821 84	461 60	ST
	Sep 17, 09	10 000	58.208	582 09	75 910	759.10	177 01	ST
	Jan 27, 10	31,000	63 635	1,972 70	75 910	2,353 21	380 51	ST
	Feb 17, 10	26 000	64 423	1,675 01	75 910	1,973 66	298 65	ST
	Feb 18, 10	3 000	64 287	192 86	75.910	227 73	34 87	ST
	Feb 19, 10	2,000	64.246	128 49	75 910	151 82	23 33	ST
Security total		96 000		5,911.39		7,287.36	1,375 97	
NORTHROP GRUMMAN CORP								
Symbol: NOC Exchange: NYSE								
EAI \$356 Current yield 2.54%								
	Oct 7, 08	118,000	54 430	6,422.74 ¹	67 830	8,003.94	1,581 20	LT
	Feb 6, 09	5 000	48 085	240 43	67 830	339.15	98 72	LT
	Mar 24, 09	17,000	41.872	711 83	67 830	1,153 11	441 28	LT
	Mar 27, 09	22 000	45 050	991 10	67 830	1,492.26	501 16	LT

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr. 30 (\$)	Value on Apr. 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 29, 09	41 000	44 606	1,828 86	67 830	2,781 03	952 17	ST
	Feb 19, 10	4 000	61 178	244 71	67 830	271 32	26 61	ST
Security total		207 000		10,439 67		14,040 81	3,601 14	

ORACLE CORP								
Symbol ORCL Exchange OTC								
EAI \$85 Current yield 0.77%								
	Oct 7, 08	274 000	17 230	4,721 02 ¹	25 867	7,087 56	2,366 54	LT
	Feb 6, 09	18 000	17 887	321 98	25 867	465 61	143 63	LT
	Dec 2, 09	54 000	22 618	1,221 42	25 867	1,396 82	175 40	ST
	Feb 19, 10	7 000	24 277	169 94	25 867	181 07	11 13	ST
	Apr 9, 10	73 000	25 980	1,896 54	25 867	1,888 29	-8 25	ST
Security total		426 000		8,330 90		11,019 34	2,688 45	

PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI \$146 Current yield 2.76%								
	Oct 15, 08	48 000	54 120	2,597 76 ¹	65 220	3,130 56	532 80	LT
	Jul 1, 09	31 000	56 492	1,751 27	65 220	2,021 82	270 55	ST
	Feb 16, 10	2 000	61 150	122 30	65 220	130 44	8 14	ST
Security total		81 000		4,471 33		5,282 82	811 49	

PFIZER INC								
Symbol PFE Exchange NYSE								
EAI \$305 Current yield 4.31%								
	Apr 9, 09	71 000	13 469	956 32	16 720	1,187 12	230 80	LT
	Aug 5, 09	122 000	15 711	1,916 83	16 720	2,039 84	123 01	ST
	Oct 7, 09	66 000	16 715	1,103 20	16 720	1,103 52	0 32	ST
	Oct 13, 09	56 000	16 816	941 72	16 720	936 32	-5 40	ST
	Nov 11, 09	107 000	17 692	1,893 14	16 720	1,789 04	-104 10	ST
	Feb 16, 10	1 000	17 679	17 68	16 720	16 72	-0 96	ST
Security total		423 000		6,828 89		7,072 56	243 67	

PG & E CORP (HOLDING COMPANY)								
Symbol PCG Exchange NYSE								
EAI \$322 Current yield 4.15%								
	May 1, 08	20 000	40 280	805 60 ¹	43 800	876 00	70 40	LT
	May 9, 08	16 000	40 170	642 72 ¹	43 800	700 80	58 08	LT
	Jul 15, 08	5 000	38 420	192 10 ¹	43 800	219 00	26 90	LT
	Oct 7, 08	2 000	35 950	71 90 ¹	43 800	87 60	15 70	LT
	Nov 26, 08	43 000	37 370	1,606 91 ¹	43 800	1,883 40	276 49	LT

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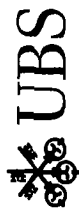


Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 6, 09	3 000	38 920	116 76	43 800	131 40	14 64	LT
	Jul 9, 09	43 000	37 753	1 623 40	43 800	1 883 40	260 00	ST
	Oct 21, 09	44 000	42 471	1 868 72	43 800	1 927 20	58 48	ST
	Feb 19, 10	1 000	42 710	42 71	43 800	43 80	1 09	ST
		177 000		6 970 82		7 752 60	781 78	
PHILIP MORRIS INTL INC								
Symbol: PM Exchange: NYSE								
EAI: \$696 Current yield: 4.73%								
Security total	Oct 7, 08	257 000	44 960	11 554 72 ¹	49 080	12 613 56	1 058 84	LT
	Nov 19, 08	32 000	38 380	1 228 28 ¹	49 080	1 570 56	342 28	LT
	Feb 6, 09	4 000	37 300	149 20	49 080	196 32	47 12	LT
	Feb 16, 10	4 000	49 250	197 00	49 080	196 32	-0 68	ST
	Feb 19, 10	3 000	49 897	149 69	49 080	147 24	-2 45	ST
		300 000		13 278 89		14 724 00	1 445 11	
PNC FINANCIAL SERVICES GROUP								
Symbol: PNC Exchange: NYSE								
EAI: \$42 Current yield: 0.59%								
Security total	Jan 20, 10	35 000	58 418	2 044 65	67 210	2 352 35	307 70	ST
	Feb 3, 10	26 000	54 373	1 413 70	67 210	1 747 46	333 76	ST
	Feb 8, 10	12 000	51 171	614 05	67 210	806 52	192 47	ST
	Feb 19, 10	1 000	51 678	51 68	67 210	67 21	15 53	ST
	Mar 31, 10	32 000	59 626	1 908 05	67 210	2 150 72	242 67	ST
		106 000		6 032 13		7 124 26	1 092 13	
PPG INDUSTRIES INC								
Symbol: PPG Exchange: NYSE								
EAI: \$229 Current yield: 3.07%								
Security total	Oct 7, 08	96 000	51 520	4 946 17 ¹	70 370	6 755 52	1 809 35	LT
	Mar 27, 09	9 000	35 630	320 67	70 370	633 33	312 66	LT
	Feb 19, 10	1 000	62 510	62 51	70 370	70 37	7 86	ST
		106 000		5 329 35		7 459 22	2 129 87	
PRUDENTIAL FINANCIAL INC								
Symbol: PRU Exchange: NYSE								
EAI: \$106 Current yield: 1.10%								
Security total	Jun 3, 09	45 000	40 516	1 823 22	63 560	2 860 20	1 036 98	ST
	Dec 16, 09	34 000	51 453	1 749 40	63 560	2 161 04	411 64	ST
	Jan 27, 10	21 000	50 048	1 051 02	63 560	1 334 76	283 74	ST
	Jan 28, 10	11 000	50 801	558 81	63 560	699 16	140 35	ST

continued next page



ACCESS
April 2010

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 63389 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

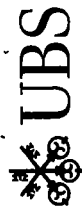
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 16, 10	3 000	49 760	149 28	63 560	190 68	41 40	ST
	Feb 19, 10	1 000	51 356	51 36	63 560	63 56	12 20	ST
	Feb 24, 10	32 000	51 293	1,641 40	63 560	2,033 92	392 52	ST
	Feb 25, 10	4 000	51 665	206 66	63 560	254 24	47 58	ST
		151 000		7,231 15		9,597 56	2,366 41	
PUBLIC SERVICE ENTERPRISE GROUP INC								
Symbol PEG Exchange NYSE								
EAI \$206 Current yield 4 27%								
Security total	Oct 7, 08	66 000	28 630	1,889 58 ¹	32 130	2,120 58	231 00	LT
	Feb 6, 09	5 000	31 710	158 55	32 130	160 65	2 10	LT
	Mar 27, 09	3 000	29 020	87 06	32 130	96 39	9 33	LT
	Oct 19, 09	74 000	31 263	2,313 46	32 130	2,377 62	64 16	ST
	Feb 19, 10	2 000	30 898	61 80	32 130	64 26	2 46	ST
Security total		150 000		4,510 45		4,819 50	309 05	
SHERWIN WILLIAMS CO								
Symbol SHW Exchange NYSE								
EAI \$46 Current yield 1 84%								
Security total	Oct 22, 08	13 000	56 760	737 88 ¹	78 070	1,014 91	277 03	LT
	Jan 27, 09	9 000	48 480	436 33 ¹	78 070	702 63	266 30	LT
	Feb 6, 09	10 000	49 010	490 10	78 070	780 70	290 60	LT
		32 000		1,664 31		2,498 24	833 93	
Security total								
ST JUDE MEDICAL INC								
Symbol STJ Exchange NYSE								
Security total	Mar 24, 10	44 000	40 460	1,780 28	40 820	1,796 08	15 80	ST
	Mar 25, 10	28 000	41 491	1,161 77	40 820	1,142 96	-18 81	ST
	Mar 30, 10	1 000	40 928	40 93	40 820	40 82	-0 11	ST
	Apr 21, 10	53 000	41 750	2,212 79	40 820	2,163 46	-49 33	ST
		126 000		5,195 77		5,143 32	-52 45	
TOTAL S.A. FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI \$311 Current yield 5 06%								
Security total	Oct 7, 08	53 000	52 430	2,779 02 ¹	54 380	2,882 14	103 12	LT
	Dec 3, 08	22 000	47 620	1,047 64 ¹	54 380	1,196 36	148 72	LT
	Feb 6, 09	2 000	53 678	107 36	54 380	108 76	1 40	LT
	Feb 18, 09	18 000	49 080	883 49 ¹	54 380	978 84	95 35	LT
	Feb 20, 09	15 000	48 679	730 19	54 380	815 70	85 51	LT



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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 19, 10	3 000	58 297	174 89	54 380	163.14	-11 75	ST
Security total		113 000		5,722 59		6,144 94	422 35	

TRAVELERS COS INC/THE

Symbol: TRV Exchange: NYSE
EAI: \$212 Current yield 2.84%

	Apr 1, 09	17 000	41.406	703 91	50 740	862 58	158.67	LT
	Apr 2, 09	36 000	42 136	1,516.92	50 740	1,826 64	309 72	LT
	Nov 4, 09	29 000	50 829	1,474 04	50 740	1,471 46	-2 58	ST
	Nov 10, 09	9 000	53 676	483 09	50 740	456 66	-26 43	ST
	Feb 10, 10	13 000	49 603	644 85	50 740	659 62	14 77	ST
	Feb 11, 10	25 000	49 422	1,235 56	50 740	1,268 50	32 94	ST
	Feb 16, 10	18 000	51 440	925 92	50 740	913 32	-12 60	ST
Security total		147 000		6,984 29		7,458 78	474 49	

UNTD TECHNOLOGIES CORP

Symbol: UTX Exchange: NYSE
EAI: \$192 Current yield 2.27%

	Oct 7, 08	106 000	50 870	5,392 22	74 950	7,944 70	2,552 48	LT
	Feb 6, 09	3 000	49 220	147 66	74 950	224 85	77 19	LT
	Mar 27, 09	3 000	44 460	133 38	74 950	224 85	91 47	LT
	Feb 16, 10	1 000	66 130	66 13	74 950	74 95	8 82	ST
Security total		113 000		5,739 39		8,469 35	2,729 96	

VODAFONE GROUP PLC NEW SPON

ADR

Symbol: VOD Exchange: OTC
EAI: \$425 Current yield 5.73%

	Oct 7, 08	225 000	21.330	4,799 25	22 200	4,995 00	195 75	LT
	Nov 5, 08	81 000	18.910	1,531 71	22 200	1,798 20	266 49	LT
	Feb 6, 09	9 000	20 390	183.51	22.200	199 80	16 29	LT
	Mar 27, 09	14 000	16 497	230 97	22.200	310.80	79 83	LT
	Feb 19, 10	5 000	22 197	110 99	22 200	111 00	0 01	ST
Security total		334 000		6,856 43		7,414 80	558 37	

WALT DISNEY CO (HOLDING CO)

DISNEY COM

Symbol: DIS Exchange: NYSE
EAI: \$53 Current yield 0.95%

	Oct 7, 08	136 000	26 730	3,635 28	36 840	5,010 24	1,374 96	LT
	Feb 6, 09	7 000	19 047	133 33	36 840	257 88	124 55	LT
	Mar 27, 09	8 000	18 567	148 54	36 840	294 72	146 18	LT
continued next page								



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63389 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
151 000								
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$69 Current yield 0.60%								
	Apr 16, 09	76 000	18.844	1,432.17	33.110	2,516.36	1,084.19	LT
	May 8, 09	29 000	26.229	760.64	33.110	960.19	199.55	ST
	May 13, 09	125 000	25.016	3,127.05	33.110	4,138.75	1,011.70	ST
	Dec 16, 09	72 000	26.035	1,874.57	33.110	2,383.92	509.35	ST
	Feb 19, 10	3 000	27.358	82.07	33.110	99.33	17.26	ST
	Mar 11, 10	41 000	29.543	1,211.28	33.110	1,357.51	146.23	ST
Security total								
346 000								
3M CO								
Symbol MMM Exchange NYSE								
EAI \$99 Current yield 2.38%								
	Dec 10, 08	2 000	57.360	114.72 ¹	88.670	177.34	62.62	LT
	Dec 17, 08	14 000	58.620	820.70 ¹	88.670	1,241.38	420.68	LT
	Feb 6, 09	14 000	52.510	735.14	88.670	1,241.38	506.24	LT
	Jul 1, 09	16 000	60.858	973.74	88.670	1,418.72	444.98	ST
	Feb 19, 10	1 000	81.507	81.51	88.670	88.67	7.16	ST
Security total								
47 000								
Total								
\$341,859.52								
Total estimated annual income: \$9,570								
\$394,268.70								
\$52,409.20								

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services

Your total assets

	Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	6,710.20	1.67%	6,710.20		
Cash and money balances	6,710.20	1.67%	6,710.20		
Equities	394,268.70	98.33%	341,859.52	9,570.00	52,409.20
Total	\$400,978.90	100.00%	\$348,569.72	\$9,570.00	\$52,409.20





ACCESS
April 2010

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	1,995.89	824.67	1.00	0.01%	Mar 25 to Apr 25	32

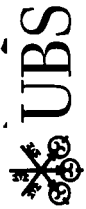
Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol ABB Exchange NYSE	Mar 26, 09	85,000	14.449	1,228.21	19.160	1,628.60	400.39	LT
	Aug 17, 09	34,000	17.815	605.72	19.160	651.44	45.72	ST
	Mar 4, 10	50,000	20.764	1,038.23	19.160	958.00	-80.23	ST
Security total		169,000		2,872.16		3,238.04	365.88	
ACCENTURE PLC IRELAND CL A								
Symbol. ACN Exchange: OTC	Mar 26, 09	57,000	31.629	1,802.85	43.640	2,487.48	684.63	LT
EAI: \$43 Current yield: 1.73%								
AECOM TECHNOLOGY CORP								
Symbol. ACM Exchange: NYSE	Mar 26, 09	52,000	26.570	1,381.64	30.070	1,563.64	182.00	LT
	Jul 9, 09	47,000	30.515	1,434.23	30.070	1,413.29	-20.94	ST
Security total		99,000		2,815.87		2,976.93	161.06	
AGCO CORP								
Symbol: AGCO Exchange NYSE	Mar 26, 09	33,000	20.700	683.10	35.020	1,155.66	472.56	LT
ALLERGAN INC								
Symbol AGN Exchange NYSE	Apr 9, 10	43,000	63.408	2,726.56	63.690	2,738.67	12.11	ST
EAI: \$9 Current yield: 0.33%								
AMERIPRISE FINANCIAL INC								
Symbol. AMP Exchange: NYSE	Apr 1, 10	40,000	45.975	1,839.03	46.360	1,854.40	15.37	ST
EAI: \$29 Current yield: 1.56%								

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
APPLE INC								
Symbol AAPL Exchange OTC	Mar 26, 09	28 000	108 535	3,039 00	261 090	7,310 52	4,271 52	LT
AVON PRODUCTS INC								
Symbol AVP Exchange NYSE								
EAI \$65 Current yield 2 72%	Nov 13, 09	74 000	34 603	2,560 69	32 330	2,392 42	-168 27	ST
BARD C R INC								
Symbol BCR Exchange NYSE								
EAI \$23 Current yield 0 78%	Mar 26, 09	34 000	78 700	2,675 80	86 530	2,942 02	266 22	LT
CAMERON INTL CORP								
Symbol CAM Exchange NYSE	Mar 26, 09	72 000	24 930	1,794 96	39 460	2,841 12	1,046 16	LT
CARNIVAL CORP NEW (PAIRED STOCK)								
Symbol CCL Exchange NYSE								
EAI \$30 Current yield 0 97%	Sep 25, 09	74 000	33 147	2,452 94	41 700	3,085 80	632 86	ST
CELGENE CORP								
Symbol CELG Exchange OTC	Apr 9, 10	15 000	61 663	924 95	61 990	929 85	4 90	ST
Security total	Apr 22, 10	31 000	57 963	1,796 87	61 990	1,921 69	124 82	ST
		46 000		2,721 82		2,851 54	129 72	
CERNER CORP								
Symbol CERN Exchange OTC	Mar 26, 09	32 000	45 866	1,467 74	84 770	2,712 64	1,244 90	LT
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC	Jul 25, 05	8 000	19 100	152 80 ¹	26 930	215 44	62 64	LT
	Oct 19, 05	95 000	17 000	1,615 00 ¹	26 930	2,558 35	943 35	LT
	Oct 28, 05	50 000	17 180	859 00 ¹	26 930	1,346 50	487 50	LT
	Jul 16, 08	20 000	21 170	423 40 ¹	26 930	538 60	115 20	LT
Security total		173 000		3,050 20		4,658 89	1,608 69	
CLOROX CO								
Symbol CLX Exchange NYSE								
EAI \$80 Current yield 3 09%	Mar 26, 09	40 000	51 410	2,056 40	64 700	2,588 00	531 60	LT
COGNIZANT TECH SOLUTIONS CRP								
Symbol CTSH Exchange OTC	Mar 26, 09	62 000	21 267	1,318 60	51 110	3,168 82	1,850 22	LT
COMPANHIA DE BEBIDAS DAS AMERS								
AMBEV SPON ADR								
Symbol ABV Exchange NYSE								
EAI \$65 Current yield 1 38%	Mar 26, 09	48 000	49 170	2,360 16	97 800	4,694 40	2,334 24	LT

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ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

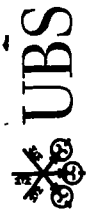
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
DANAHER CORP								
Symbol: DHR Exchange: NYSE								
EAI \$6 Current yield: 0.20%	Mar 26, 09	22 000	55 690	1,225 18	84 280	1,854 16	628 98	LT
	Oct 30, 09	14 000	69 093	967 31	84 280	1,179 92	212 61	ST
Security total		36 000		2,192 49		3,034 08	841 59	
DARDEN RESTAURANTS INC								
Symbol: DRI Exchange: NYSE								
EAI \$29 Current yield: 2.23%	Apr 15, 09	29 000	36 265	1,051 69	44 750	1,297 75	246 06	LT
DOLBY LABORATORIES INC CL A								
Symbol: DLB Exchange: NYSE								
	Oct 24, 08	25 000	29 980	749 56 ¹	68 720	1,718 00	968 44	LT
	Oct 30, 08	15 000	30 630	459 45 ¹	68 720	1,030 80	571 35	LT
	Mar 26, 09	4 000	34 260	137 04	68 720	274 88	137 84	LT
Security total		44 000		1,346 05		3,023 68	1,677 63	
DOVER CORP								
Symbol: DOV Exchange: NYSE								
EAI \$76 Current yield: 1.99%	Nov 9, 09	62 000	40 842	2,532 22	52 220	3,237 64	705 42	ST
	Mar 4, 10	11 000	45 977	505 75	52 220	574 42	68 67	ST
Security total		73 000		3,037 97		3,812 06	774 09	
ECOLAB INC								
Symbol: ECL Exchange: NYSE								
EAI \$38 Current yield: 1.28%	Mar 26, 09	61 000	34 739	2,119 08	48 840	2,979 24	860 16	LT
EXPRESS SCRIPTS INC								
Symbol: ESRX Exchange: OTC								
	Mar 26, 09	25 000	45 685	1,142 14	100 130	2,503 25	1,361 11	LT
FLOWERVE CORP								
Symbol: FLS Exchange: NYSE								
EAI \$27 Current yield: 1.02%	Mar 26, 09	23 000	58 330	1,341 59	114 580	2,635 34	1,293 75	LT
GAP INC								
Symbol: GPS Exchange: NYSE								
EAI \$45 Current yield: 1.61%	Sep 25, 09	113 000	21 605	2,441 41	24 730	2,794 49	353 08	ST
GOLDMAN SACHS GROUP INC								
Symbol: GS Exchange: NYSE								
EAI \$27 Current yield: 0.98%	Jul 9, 09	10 000	144 469	1,444 70	145 200	1,452 00	7 30	ST
	Nov 13, 09	9 000	176 626	1,589 64	145 200	1,306 80	-282 84	ST
Security total		19 000		3,034 34		2,758 80	-275 54	



continued next page



Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63390 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Sep 22, 08	1 000	442 100	442 10 ¹	525 695	525 70	83 60	LT
	Sep 23, 08	1 000	436 130	436 13 ¹	525 695	525 70	89 57	LT
	Oct 22, 08	2 000	358 190	716 38 ¹	525 695	1,051 39	335 01	LT
	Dec 29, 08	1 000	294 740	294 74 ¹	525 695	525 70	230 96	LT
	Mar 26, 09	3 000	355 250	1,065 75	525 695	1,577 09	511 34	LT
Security total		8 000		2,955 10		4,205 56	1,250 48	
HALLBURTON CO (HOLDING COMPANY)								
Symbol HAL Exchange NYSE								
EAI \$23 Current yield 1 17%	Mar 26, 09	64 000	17 547	1,123 06	30 650	1,961 60	838 54	LT
HARRIS CORP DELA								
Symbol HRS Exchange NYSE								
EAI \$40 Current yield 1 73%	Mar 26, 09	45 000	29 529	1,328 83	51 480	2,316 60	987 77	LT
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE								
EAI \$21 Current yield 0 60%	Mar 26, 09	67 000	32 997	2,210 85	51 970	3,481 99	1,271 14	LT
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$90 Current yield 2 76%	Apr 24, 97	78 000	18 820	1,468 33 ¹	22 840	1,781 52	313 19	LT
	Oct 28, 05	30 000	22 940	688 20 ¹	22 840	685 20	-3 00	LT
	Feb 14, 06	35 000	21 370	747 95 ¹	22 840	799 40	51 45	LT
Security total		143 000		2,904 48		3,266 12	361 64	
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI \$94 Current yield 2 02%	Mar 26, 09	36 000	98 270	3,537 72	129 000	4,644 00	1,106 28	LT
JACOBS ENGINEERING GROUP INC								
Symbol JEC Exchange NYSE	Mar 26, 09	41 000	42 250	1,732 25	48 220	1,977 02	244 77	LT
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$117 Current yield 3 37%	Aug 15, 05	14 000	63 330	886 64 ¹	64 300	900 20	13 56	LT
	Nov 3, 05	40 000	61 020	2,440 82 ¹	64 300	2,572 00	131 18	LT
Security total		54 000		3,327 46		3,472 20	144 74	

continued next page



ACCESS
April 2010

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 63390 20

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAI \$154 Current yield 3.12%	Mar 26, 09	70,000	55.277	3,869.45	70.590	4,941.30	1,071.85	LT
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI \$85 Current yield 1.71%	Jul 25, 05	40,000	25.890	1,035.60 ¹	30.535	1,221.40	185.80	LT
	Oct 19, 05	25,000	24.880	622.00 ¹	30.535	763.37	141.37	LT
	Oct 28, 05	35,000	25.430	890.05 ¹	30.535	1,068.72	178.67	LT
	Mar 26, 09	63,000	18.267	1,150.87	30.535	1,923.70	772.83	LT
Security total		163,000		3,698.52		4,977.20	1,278.67	
NATL-OILWELLVARCO INC								
Symbol: NOV Exchange: NYSE								
EAI \$24 Current yield 0.92%	Feb 28, 06	5,000	73.460	367.30 ¹	44.030	220.15	-147.15	LT
	Oct 24, 08	20,000	24.060	481.20 ¹	44.030	880.60	399.40	LT
	Mar 26, 09	34,000	33.500	1,139.00	44.030	1,497.02	358.02	LT
Security total		59,000		1,987.50		2,597.77	610.27	
NIKE INC CL B								
Symbol: NKE Exchange: NYSE								
EAI \$55 Current yield 1.42%	Mar 26, 09	35,000	47.840	1,674.40	75.910	2,656.85	982.45	LT
	Oct 6, 09	16,000	64.318	1,029.09	75.910	1,214.56	185.47	ST
Security total		51,000		2,703.49		3,871.41	1,167.92	
ORACLE CORP								
Symbol: ORCL Exchange: OTC								
EAI \$20 Current yield 0.78%	Feb 19, 10	99,000	24.315	2,407.27	25.867	2,560.83	153.56	ST
PEPSICO INC								
Symbol: PEP Exchange: NYSE								
EAI \$108 Current yield 2.76%	Aug 24, 05	30,000	54.550	1,636.54 ¹	65.220	1,956.60	320.06	LT
	Feb 10, 06	30,000	57.980	1,739.40 ¹	65.220	1,956.60	217.20	LT
Security total		60,000		3,375.94		3,913.20	537.26	
PETROLEO BRASILEIRO SA SPON ADR								
Symbol: PBR Exchange: NYSE								
EAI \$5 Current yield 0.33%	May 20, 09	36,000	41.707	1,501.47	42.400	1,526.40	24.93	ST

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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI \$83 Current yield 2.15%	Mar 26, 09	46 000	68 680	3,159 28	83 770	3,853 42	694 14	LT
PRICE T ROWE GROUP INC								
Symbol TROW Exchange OTC								
EAI \$82 Current yield 1.88%	Mar 26, 09	76 000	29 085	2,210 51	57 540	4,373 04	2,162 53	LT
PRICELINE COM INC NEW								
Symbol PCLN Exchange OTC								
Symbol PG Exchange NYSE								
EAI \$108 Current yield 3.10%	Mar 26, 09	14 000	83 370	1,167 18	262 050	3,668 70	2,501 52	LT
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI \$108 Current yield 3.10%	Jan 4, 06	56 000	58 880	3,297 28 ¹	62 160	3,480 96	183 68	LT
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI \$74 Current yield 1.95%	Oct 6, 08	10 000	39 870	398 74 ¹	38 680	386 80	-11 94	LT
	Oct 10, 08	10 000	37 880	378 83 ¹	38 680	386 80	7 97	LT
	Oct 28, 08	25 000	36 270	906 83 ¹	38 680	967 00	60 17	LT
	Mar 26, 09	53 000	39 367	2,086 49	38 680	2,050 04	-36 45	LT
Security total		98 000		3,770 89		3,790 64	19 75	
RED HAT INC								
Symbol RHT Exchange NYSE								
	Mar 26, 09	61 000	17 638	1,075 92	29 870	1,822 07	746 15	LT
SCHEIN HENRY INC								
Symbol HSIC Exchange OTC								
	Mar 26, 09	66 000	39 840	2,629 44	60 500	3,993 00	1,363 56	LT
SCHLUMBERGER LTD NETHERLANDS								
ANTILLES								
Symbol SLB Exchange NYSE								
EAI \$29 Current yield 1.19%	Mar 26, 09	34 000	45 800	1,557 20	71 420	2,428 28	871 08	LT
TEXAS INSTRUMENTS								
Symbol TXN Exchange NYSE								
EAI \$66 Current yield 1.84%	Jul 25, 05	18 000	30 850	555 30 ¹	26 010	468 18	-87 12	LT
	Oct 19, 05	65 000	29 250	1,901 25 ¹	26 010	1,690 65	-210 60	LT
	Oct 28, 05	55 000	27 620	1,519 10 ¹	26 010	1,430 55	-88 55	LT
Security total		138 000		3,975 65		3,589 38	-386 27	
THE DIRECTV GROUP CL A								
Symbol DTV Exchange OTC								
	Mar 26, 09	101 000	23 247	2,348 03	36 240	3,660 24	1,312 21	LT

continued next page



ACCESS
April 2010

Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63390 20

Your Financial Advisor:
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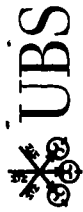
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE	Mar 26, 09	42 000	36 320	1,525 44	55 280	2,321 76	796 32	LT
	Feb 4, 10	12 000	47 549	570 59	55 280	663 36	92 77	ST
Security total		54 000		2,096 03		2,985 12	889 09	
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE	Mar 26, 09	31 000	43 830	1,358 73	75 660	2,345 46	986 73	LT
EAI \$60 Current yield: 1.42%	Jul 24, 09	25 000	57 859	1,446 50	75 660	1,891 50	445 00	ST
Security total		56 000		2,805 23		4,236 96	1,431 73	
VARIAN MEDICAL SYSTEMS INC								
Symbol VAR Exchange NYSE	Mar 26, 09	45 000	29 970	1,348 65	56 380	2,537 10	1,188 45	LT
	Feb 4, 10	25 000	48 700	1,217 50	56 380	1,409 50	192 00	ST
Security total		70 000		2,566 15		3,946 60	1,380 45	
VISA INC CL A								
Symbol: V Exchange NYSE	Apr 23, 09	24 000	58 669	1,408 07	90 230	2,165 52	757 45	LT
EAI \$12 Current yield: 0.55%								
WAL MART STORES INC								
Symbol WMT Exchange NYSE	Mar 26, 09	45 000	52 450	2,360 25	53 640	2,413 80	53 55	LT
EAI \$54 Current yield: 2.24%								
WESTN DIGITAL CORP								
Symbol WDC Exchange NYSE	Oct 1, 09	46 000	35 511	1,633 55	41 090	1,890 14	256 59	ST
	Oct 26, 09	25 000	36 319	907 99	41 090	1,027 25	119 26	ST
Security total		71 000		2,541 54		2,917 39	375 85	
XLINX INC								
Symbol XLNX Exchange OTC	Apr 15, 09	64 000	20 418	1,306 77	25 790	1,650 56	343 79	LT
EAI \$70 Current yield: 2.47%	Oct 26, 09	46 000	23 407	1,076 74	25 790	1,186 34	109 60	ST
Security total		110 000		2,383 51		2,836 90	453 39	
Total				\$135,960.19		\$184,411.26	\$48,451.08	

Total estimated annual income: \$2,066

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63390 20

Your assets (continued)

Your total assets

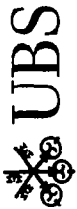
	Value on Apr. 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	824.67	0.45%	824.67		
Equities	184,411.26	99.55%	135,960.19	2,066.00	48,451.08
Total	\$185,235.93	100.00%	\$136,784.86	\$2,066.00	\$48,451.08

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$1,995.89
Apr 1	Dividend	NIKE INC CL B PAID ON	51	13 77			
Apr 1	Dividend	UNION PACIFIC CORP PAID ON	56	15 12			2,024 78
Apr 5	Foreign Dividend	SCHLUMBERGER LTD NETHERLANDS ANTILLES PAID ON 34 AS OF 04/02/10		7 14			
Apr 5	Dividend	WAL MART STORES INC PAID ON	45	13 61			2,045 53
Apr 7	Bought	AMERIPRISE FINANCIAL INC		40 000	45 975800	-1,839 03	
Apr 7	Dividend	Average Priced Trade ▶ SYMBOL AMP ▶ CUSIP NO 03076C106 ▶ Location of Execution 01 ▶ Capacity Agent					
Apr 7	Dividend	FLOWERVE CORP PAID ON	23	6 67			
Apr 7	Dividend	HEWLETT PACKARD CO PAID ON	67	5 36			
Apr 7	Sold	STATE STREET CORP Other fees and charges \$ 03					
Apr 7	Dividend	Average Priced Trade ▶ SYMBOL STT ▶ CUSIP NO 857477103 ▶ Location of Execution 01 ▶ Capacity Agent		-38 000	45 734800	1,737 89	1,956 42
Apr 8	Foreign Dividend	COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR PAID ON 48		13 24			
Apr 8	Foreign Tax Withheld	COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR		-1 99			1,967 67
Apr 12	Foreign Dividend	COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR PAID ON 48 AS OF 04/07/10		32 36			2,000 03
Apr 14	Bought	ALLERGAN INC		43 000	63 408300	-2,726 56	
Apr 14	Dividend	Average Priced Trade ▶ SYMBOL AGN ▶ CUSIP NO 018490102 ▶ Location of Execution 01 ▶ Capacity Agent					

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your Financial Advisor:
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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	23 12	0 00				
RMA MONEY MKT PORTFOLIO	27,082 24	22,949 58	1 00	0 01%	Mar 25 to Apr 25	32
Total	\$27,105.36	\$22,949.58				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
AEGON NV ADR N Y SHS								
NETHERLANDS ADR								
Symbol AEG Exchange NYSE								
	Jun 16, 06	73 000	16.310	1,190 63 ¹	7 020	512 46	-678 17	LT
	Jun 22, 06	62 000	16 350	1,013 70 ¹	7 020	435 24	-578 46	LT
	Oct 24, 08	116 000	4 830	560 28 ¹	7 020	814 32	254 04	LT
	Feb 20, 09	217 000	4 108	891 44	7 020	1,523 34	631 90	LT
	Feb 23, 09	162 000	4 170	675 75 ¹	7 020	1,137 24	461 49	LT
Security total		630 000		4,331 80		4,422 60	90 80	
ALCATEL-LUCENT SPON ADR								
Symbol ALU Exchange NYSE								
	Jan 2, 08	1,238 000	7 250	8,975 50 ¹	3 170	3,924 46	-5,051 04	LT
	Mar 30, 09	566 000	1 760	996 16	3 170	1,794 22	798 06	LT
Security total		1,804 000		9,971 66		5,718 68	-4,252 98	
ALUMINA LTD SPON ADR								
Symbol AWC Exchange NYSE								
EAI \$48 Current yield 1 29%								
	Jul 27, 05	194 000	17 400	3,375 62 ¹	5 610	1,088 34	-2,287 28	LT
	Oct 21, 05	76 000	17 130	1,302 18 ¹	5 610	426 36	-875 82	LT
	Oct 28, 05	5 000	17 390	86 95 ¹	5 610	28 05	-58 90	LT

continued next page





Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 19, 07	85 000	24 440	2,077 42 ¹	5 610	476 85	-1,600 57	LT
	Mar 30, 09	303 000	3 320	1,006 02	5 610	1,699 83	693 81	LT
		663 000		7,848 19		3,719 43	-4,128 76	
ANGLOGOLD ASHANTI LTD NEW SPON ADR								
Symbol AU Exchange NYSE								
EAI \$41 Current yield 0 41%	Mar 3, 08	25 000	36 490	912 30 ¹	41 860	1,046 50	134 20	LT
	Mar 18, 08	78 000	34 000	2,652 00 ¹	41 860	3,265 08	613 08	LT
	Jul 25, 08	112 000	24 620	2,757 44 ¹	41 860	4,688 32	1,930 88	LT
	Jan 20, 10	22 000	38 804	853 71	41 860	920 92	67 21	ST
Security total		237 000		7,175 45		9,920 82	2,745 37	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI \$386 Current yield 5 19%	Jan 11, 10	79 000	47 709	3,769 05	44 230	3,494 17	-274 88	ST
	Jan 14, 10	39 000	49 211	1,919 25	44 230	1,724 97	-194 28	ST
	Apr 22, 10	50 000	45 120	2,256 04	44 230	2,211 50	-44 54	ST
Security total		168 000		7,944 34		7,430 64	-513 70	
AXIS CAPITAL HOLDINGS LTD SHS								
Symbol AXS Exchange NYSE								
EAI \$160 Current yield 2 70%	Oct 22, 09	121 000	30 526	3,693 65	31 170	3,771 57	77 92	ST
	Feb 4, 10	69 000	28 501	1,966 57	31 170	2,150 73	184 16	ST
Security total		190 000		5,660 22		5,922 30	262 08	
BARRICK GOLD CORP								
Symbol ABX Exchange NYSE								
	Nov 13, 06	14 000	29 010	406 14 ¹	43 550	609 70	203 56	LT
	Mar 20, 08	35 000	43 190	1,511 78 ¹	43 550	1,524 25	12 47	LT
	Apr 30, 08	64 000	38 280	2,449 92 ¹	43 550	2,787 20	337 28	LT
	Sep 16, 08	33 000	27 680	913 48 ¹	43 550	1,437 15	523 67	LT
	Feb 27, 09	15 000	30 109	451 64	43 550	653 25	201 61	LT
	Feb 27, 09	11 000	30 850	339 35 ¹	43 550	479 05	139 70	LT
	Apr 9, 09	51 000	28 816	1,469 62	43 550	2,221 05	751 43	LT
	Aug 18, 09	77 000	33 483	2,578 20	43 550	3,353 35	775 15	ST
	Dec 17, 09	32 000	38 507	1,232 25	43 550	1,393 60	161 35	ST
Security total		332 000		11,352 38		14,458 60	3,106 22	

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your Financial Advisor:
ROBERT D. COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI \$647 Current yield 8.06%	Feb 23, 07	15 000	62 960	944 40 ¹	52 150	782 25	-162 15	LT
	Feb 26, 07	64 000	64 350	4,118 40 ¹	52 150	3,337 60	-780 80	LT
	Jun 5, 07	69 000	68 370	4,717 66 ¹	52 150	3,598 35	-1,119 31	LT
	Mar 30, 09	6 000	39 239	235 44	52 150	312 90	77 46	LT
Security total		154 000		10,015 90		8,031 10	-1,984 80	
CAMECO CORP CANADA								
Symbol CCJ Exchange NYSE								
EAI \$68 Current yield 0.97%	Aug 8, 08	60 000	32 330	1,939 95 ¹	24 610	1,476 60	-463 35	LT
	Sep 16, 08	81 000	23 680	1,918 08 ¹	24 610	1,993 41	75 33	LT
	Mar 30, 09	8 000	16 799	134 40	24 610	196 88	62 48	LT
	Mar 3, 10	52 000	27 016	1,404 95	24 610	1,279 72	-125 23	ST
	Apr 7, 10	83 000	26 917	2,234 14	24 610	2,042 63	-191 51	ST
Security total		284 000		7,631 52		6,989 24	-642 28	
CARREFOUR SA ADR								
Symbol CRERY Exchange OTC								
EAI \$182 Current yield 2.31%	Sep 25, 09	200 000	9 059	1,811 80	9 797	1,959 40	147 60	ST
	Oct 5, 09	210 000	8 738	1,835 08	9 797	2,057 37	222 29	ST
	Oct 22, 09	200 000	9 158	1,831 74	9 797	1,959 40	127 66	ST
	Oct 28, 09	193 000	8 794	1,697 39	9 797	1,890 82	193 43	ST
Security total		803 000		7,176 01		7,866 99	690 98	
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 COM (ELETROBRAS)								
Symbol EBR Exchange NYSE								
EAI \$11 Current yield 1.22%	Jun 6, 07	19 000	13 060	248 21 ¹	14 290	271 51	23 30	LT
	Aug 6, 07	44 000	12 760	561 44 ¹	14 290	628 76	67 32	LT
Security total		63 000		809 65		900 27	90 62	
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 PREF CL B ADR								
Symbol EBRB Exchange NYSE								
EAI \$293 Current yield 4.37%	Feb 9, 07	6 000	11 100	66 61 ¹	17 590	105 54	38 93	LT

continued next page





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
	May 24, 07	50 000	12 510	625 671	17 590	879 50	253 83	LT
	Dec 20, 07	86 000	12 550	1,079 301	17 590	1,512 74	433 44	LT
	Sep 10, 08	65 000	12 720	827 031	17 590	1,143 35	316 32	LT
	Oct 13, 08	55 000	9 870	543 071	17 590	967 45	424 38	LT
	Oct 22, 08	119 000	8 610	1,024 591	17 590	2,093 21	1,068 62	LT
Security total		381 000		4,166 27		6,701 79	2,535 52	
DAI NIPPON PRINTING CO SPON								
ADR								
Symbol DNPLY Exchange OTC								
EAI \$137 Current yield 1 86%	Feb 3, 10	193 000	13 906	2,683 91	13 882	2,679 23	-4 68	ST
	Feb 4, 10	287 000	13 757	3,948 49	13 882	3,984 13	35 64	ST
	Feb 5, 10	50 000	13 549	677 45	13 882	694 10	16 65	ST
Security total		530 000		7,309 85		7,357 46	47 61	
DAIWA HOUSE IND LTD ADR JAPAN								
ADR								
Symbol DWAHY Exchange OTC								
EAI \$108 Current yield 2 13%	Nov 26, 07	4 000	124 700	498 811	107 755	431 02	-67 79	LT
	Feb 13, 08	7 000	104 950	734 681	107 755	754 28	19 60	LT
	Mar 5, 08	20 000	96 410	1,928 201	107 755	2,155 10	226 90	LT
	Aug 28, 08	16 000	93 480	1,495 681	107 755	1,724 08	228 40	LT
Security total		47 000		4,657 37		5,064 48	407 11	
ELECTRICITE DE FRANCE ADR								
Symbol ECIFY Exchange OTC								
EAI \$86 Current yield 2 16%	Mar 22, 10	368 000	10 280	3,783 11	10 836	3,987 64	204 53	ST
EMBRAER AIRCRAFT CORP ADR								
Symbol ERJ Exchange NYSE								
EAI \$31 Current yield 0 71%	Oct 30, 09	80 000	22 042	1,763 38	24 080	1,926 40	163 02	ST
	Nov 3, 09	102 000	20 144	2,054 76	24 080	2,456 16	401 40	ST
Security total		182 000		3,818 14		4,382 56	564 42	
FUJI FILM HOLDINGS CORP ADR								
Symbol FUJIY Exchange OTC								
EAI \$47 Current yield 0 61%	Feb 8, 06	79 000	32 330	2,554 071	34 464	2,722 66	168 59	LT
	Mar 23, 06	89 000	32 510	2,893 391	34 464	3,067 30	173 91	LT
	Mar 5, 08	57 000	36 770	2,095 941	34 464	1,964 45	-131 49	LT

continued next page



ACCESS
April 2010

Account name:
Account number:
STANLEY AND CATHERINE MAAS
RF 63391 20

Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

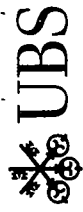
Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		225 000		7,543 40		7,754 40	211 01	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI \$389 Current yield 5 32%								
	Jan 8, 10	92 000	41 123	3,783 38	37 290	3,430 68	-352 70	ST
	Jan 14, 10	45 000	41 928	1,886 78	37 290	1,678 05	-208 73	ST
	Apr 22, 10	59 000	39 016	2,301 99	37 290	2,200 11	-101 88	ST
Security total		196 000		7,972 15		7,308 84	-663 31	
GOLD FIELDS LTD SPON ADR								
Symbol GFI Exchange NYSE								
EAI \$110 Current yield 1 24%								
	Nov 7, 07	2 000	18 190	36 39 ¹	13 440	26 88	-9 51	LT
	Feb 1, 08	109 000	14 570	1,588 52 ¹	13 440	1,464 96	-123 56	LT
	Feb 5, 08	229 000	13 580	3,110 58 ¹	13 440	3,077 76	-32 82	LT
	Apr 7, 08	58 000	13 850	803 50 ¹	13 440	779 52	-23 98	LT
	May 21, 08	159 000	14 150	2,249 85 ¹	13 440	2,136 96	-112 89	LT
	Feb 4, 10	101 000	11 124	1,123 54	13 440	1,357 44	233 90	ST
Security total		658 000		8,912 38		8,843 52	-68 86	
HACHIJUNI BANK LTD ADR								
Symbol HACBY Exchange OTC								
EAI \$30 Current yield 0 82%								
	Jun 12, 08	14 000	65 220	913 09 ¹	56 271	787 79	-125 30	LT
	Jul 28, 08	33 000	61 720	2,036 76 ¹	56 271	1,856 94	-179 82	LT
	Oct 6, 09	18 000	53 858	969 46	56 271	1,012 88	43 42	ST
Security total		65 000		3,919 31		3,657 61	-261 70	
IMPALA PLATINUM HLDG LTD SPON ADR								
Symbol IMPUY Exchange OTC								
EAI \$57 Current yield 1 36%								
	Aug 20, 08	19 000	27 170	516 26 ¹	28 648	544 31	28 05	LT
	Sep 4, 08	71 000	25 410	1,804 11 ¹	28 648	2,034 00	229 89	LT
	Mar 12, 09	34 000	13 530	460 02 ¹	28 648	974 03	514 01	LT
	Mar 12, 09	22 000	13 636	300 01	28 648	630 25	330 24	LT
Security total		146 000		3,080 40		4,182 60	1,102 19	
IVANHOE MINES LTD								
Symbol IVN Exchange AMEX								
	May 30, 06	112 000	6 920	775 43 ¹	15 820	1,771 84	996 41	LT
	Jan 9, 08	38 000	10 630	403 94 ¹	15 820	601 16	197 22	LT



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continued next page



Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
KAO CORP REPSTG 10 SHS COM								
SPON ADR								
Symbol KCRPY Exchange OTC								
EAI \$120 Current yield 1.33%								
	Mar 19, 08	17 000	10 100	171 701	15 820	268 94	97 24	LT
	Mar 30, 09	62 000	5 790	358 98	15 820	980 84	621 86	LT
Security total		229 000		1,710 05		3,622 78	1,912 73	
KINROSS GOLD CORP NEW								
Symbol KGC Exchange NYSE								
EAI \$54 Current yield 0.52%								
	Jul 7, 09	172 000	18 494	3,181 11	18 970	3,262 84	81 73	ST
	Jul 9, 09	85 000	17 958	1,526 43	18 970	1,612 45	86 02	ST
	Jul 10, 09	91 000	17 679	1,608 86	18 970	1,726 27	117 41	ST
	Oct 30, 09	137 000	18 263	2,502 14	18 970	2,598 89	96 75	ST
	Feb 4, 10	59 000	16 512	974 23	18 970	1,119 23	145 00	ST
Security total		544 000		9,792 77		10,319 68	526 91	
KOREA ELECTRIC POWER CRP								
SPONSORED ADR								
SHS								
Symbol KEP Exchange NYSE								
	Jul 25, 05	173 000	17 860	3,089 781	15 040	2,601 92	-487 86	LT
	Oct 19, 05	78 000	15 880	1,238 961	15 040	1,173 12	-65 84	LT
	Oct 3, 06	63 000	19 480	1,227 241	15 040	947 52	-279 72	LT
	Mar 17, 08	110 000	14 000	1,540 141	15 040	1,654 40	114 26	LT
Security total		424 000		7,096 12		6,376 96	-719 16	
MAGNA INTL INC CL A SUB VTG								
CANADA ORD								
Symbol MGA Exchange NYSE								
	Jun 5, 08	22 000	69 520	1,529 441	65 660	1,444 52	-84 92	LT
	Jun 20, 08	31 000	66 560	2,063 361	65 660	2,035 46	-27 90	LT
	Jul 14, 08	55 000	55 390	3,046 491	65 660	3,611 30	564 81	LT
Security total		108 000		6,639 29		7,091 28	451 99	

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
MS&AD INS GROUP HLDGS ADR								
Symbol MSADY Exchange OTC								
EAI \$99 Current yield 1 59%	Nov 8, 07	83 000	18 410	1,528 03 ¹	14 408	1,195 86	-332 17	LT
	Nov 28, 07	132 000	18 730	2,472 54 ¹	14 408	1,901 85	-570 69	LT
	Dec 4, 07	144 000	18 050	2,599 20 ¹	14 408	2,074 75	-524 45	LT
	Feb 25, 10	73 000	12 654	923 75	14 408	1,051 78	128 03	ST
Security total		432 000		7,523 52		6,224 25	-1,299 28	
NEWCREST MINING LTD SPON								
AUSTRALIA ADR								
Symbol NCMGY Exchange OTC								
EAI \$33 Current yield 0 52%	Jul 18, 06	72 000	14 340	1,032 60 ¹	30 802	2,217 74	1,185 14	LT
	Aug 13, 08	50 000	21 130	1,056 57 ¹	30 802	1,540 10	483 53	LT
	Aug 10, 09	84 000	25 11 ²	2,109 61	30 802	2,587 37	477 76	ST
Security total		206 000		4,198 78		6,345 21	2,146 43	
NEXEN INC								
Symbol NXY Exchange NYSE								
EAI \$62 Current yield 0 81%	Oct 9, 07	64 000	30 920	1,979 02 ¹	24 280	1,553 92	-425 10	LT
	Aug 7, 08	42 000	30 170	1,267 14 ¹	24 280	1,019 76	-247 38	LT
	Jan 28, 09	50 000	14 900	745 07 ¹	24 280	1,214 00	468 93	LT
	Feb 23, 09	74 000	12 055	892 11	24 280	1,796 72	904 61	LT
	Oct 28, 09	87 000	21 955	1,910 12	24 280	2,112 36	202 24	ST
Security total		317 000		6,793 46		7,696 76	903 30	
NINTENDO LTD ADR NEW JAPAN ADR								
Symbol NTDYO Exchange OTC								
EAI \$168 Current yield 2 97%	Aug 3, 09	22 000	33 949	746 89	42 150	927 30	180 41	ST
	Aug 20, 09	51 000	32 369	1,650 83	42 150	2,149 65	498 82	ST
	Aug 27, 09	61 000	32 483	1,981 49	42 150	2,571 15	589 66	ST
Security total		134 000		4,379 21		5,648 10	1,268 89	
NIPPON TELEG & TEL CORP SPON								
ADR								
Symbol NTT Exchange NYSE								
EAI \$298 Current yield 2 83%	Mar 3, 06	20 000	22 360	447 20 ¹	20 290	405 80	-41 40	LT
	May 15, 07	18 000	24 290	437 22 ¹	20 290	365 22	-72 00	LT





STANLEY AND CATHERINE MAAS
RF 63391 20

Account name:
Account number:

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	May 30, 07	112 000	22 860	2,560 86 ¹	20 290	2,272 48	-288 38	LT
	Jun 18, 07	125 000	22 720	2,840 25 ¹	20 290	2,536 25	-304 00	LT
	Sep 28, 07	107 000	23 270	2,489 89 ¹	20 290	2,171 03	-318 86	LT
	Apr 14, 09	137 000	19 537	2,676 68	20 290	2,779 73	103 05	LT
		519 000		11,452 10		10,530 51	-921 59	
NOKIA CORP SPONS ADR FINLAND								
ADR								
Symbol NOK Exchange NYSE								
EAI \$217 Current yield 3 31%								
Security total	Jan 30, 09	86 000	12 230	1,052 19 ¹	12 160	1,045 76	-6 43	LT
	Feb 19, 09	160 000	10 654	1,704 72	12 160	1,945 60	240 88	LT
	Feb 19, 09	36 000	10 650	383 56 ¹	12 160	437 76	54 20	LT
	Jul 20, 09	90 000	13 108	1,179 78	12 160	1,094 40	-85 38	ST
	Nov 18, 09	167 000	13 950	2,329 74	12 160	2,030 72	-299 02	ST
Security total								
PANASONIC CORP SPON ADR								
Symbol PC Exchange NYSE								
EAI \$40 Current yield 0 85%								
Security total	Aug 17, 07	195 000	17 620	3,435 90 ¹	14 600	2,847 00	-588 90	LT
	Sep 11, 07	129 000	17 160	2,213 64 ¹	14 600	1,883 40	-330 24	LT
		324 000		5,649 54		4,730 40	-919 14	
REXAM PLC SPON ADR								
Symbol REXMY Exchange OTC								
EAI \$129 Current yield 6 51%								
Security total	Oct 26, 09	10 000	22 741	227 41	24 751	247 51	20 10	ST
	Oct 27, 09	70 000	22 733	1,591 34	24 751	1,732 57	141 23	ST
		80 000		1,818 75		1,980 08	161 33	
ROHM CO LTD ADR								
Symbol ROHCY Exchange OTC								
EAI \$60 Current yield 1 61%								
Security total	Jan 15, 09	13 000	24 780	322 18 ¹	37 283	484 68	162 50	LT
	Jan 30, 09	26 000	25 320	658 32 ¹	37 283	969 36	311 04	LT
	Feb 19, 09	61 000	24 444	1,491 13	37 283	2,274 26	783 13	LT
		100 000		2,471 63		3,728 30	1,256 67	

continued next page



ACCESS
April 2010

Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63391 20

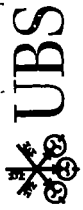
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr. 30 (\$)	Value on Apr. 30 (\$)	Unrealized gain or loss (\$)	Holding period
ROYAL DUTCH SHELL PLC ADS								
REPSTG 2 CL B ORD SHS SPON ADR								
Symbol RDSB Exchange NYSE								
EAI \$659 Current yield 5.54%								
	Jul 25, 05	112 000	62.830	7,036.96 ¹	60.680	6,796.16	-240.80	LT
	Oct 19, 05	32 000	62.200	1,990.40 ¹	60.680	1,941.76	-48.64	LT
	Mar 3, 06	8 000	64.880	519.05 ¹	60.680	485.44	-33.61	LT
	Feb 27, 07	7 000	67.990	475.95 ¹	60.680	424.76	-51.19	LT
	Feb 13, 08	8 000	69.800	558.40 ¹	60.680	485.44	-72.96	LT
	Feb 13, 08	5 000	69.790	348.97 ¹	60.680	303.40	-45.57	LT
	Mar 30, 09	12 000	43.071	516.86	60.680	728.16	211.30	LT
	Feb 2, 10	12 000	55.087	661.05	60.680	728.16	67.11	ST
Security total		196 000		12,107.64		11,893.28	-214.36	
SANOFI-AVENTIS SA SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$337 Current yield 3.58%								
	Oct 2, 07	50 000	42.450	2,122.50 ¹	34.110	1,705.50	-417.00	LT
	Apr 8, 08	27 000	38.420	1,037.34 ¹	34.110	920.97	-116.37	LT
	Apr 14, 08	33 000	38.130	1,258.29 ¹	34.110	1,125.63	-132.66	LT
	May 22, 08	73 000	37.490	2,737.10 ¹	34.110	2,490.03	-247.07	LT
	Aug 1, 08	41 000	35.430	1,452.63 ¹	34.110	1,398.51	-54.12	LT
	Mar 30, 09	12 000	27.275	327.31	34.110	409.32	82.01	LT
	Jul 1, 09	40 000	30.196	1,207.85	34.110	1,364.40	156.55	ST
Security total		276 000		10,143.02		9,414.36	-728.66	
SEGA SAMMY HLDG INC SPON ADR								
Symbol SGAMY Exchange OTC								
EAI \$96 Current yield 2.05%								
	Jan 8, 08	1,426 000	3.220	4,593.72 ¹	3.276	4,671.57	77.85	LT
SEKISUI HOUSE LTD SPON ADR								
Symbol SKHSY Exchange OTC								
EAI \$43 Current yield 0.92%								
	Sep 26, 07	188 000	12.390	2,329.32 ¹	9.563	1,797.84	-531.48	LT
	Mar 7, 08	299 000	8.930	2,670.34 ¹	9.563	2,859.34	189.00	LT
		487 000		4,999.66		4,657.18	-342.48	
Security total								

continued next page





Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
SEVEN & I HLDGS CO LTD ADR								
Symbol SVNDY Exchange OTC								
EAI \$232 Current yield 2 14%								
	Dec 24, 08	4 000	63 040	252 17 1	51 207	204 83	-47 34	LT
	Jan 7, 09	11 000	59 020	649 22 1	51 207	563 28	-85 94	LT
	Jan 8, 09	19 000	59 170	1,124 23 1	51 207	972 93	-151 30	LT
	Feb 17, 09	13 000	49 960	649 49 1	51 207	665 69	16 20	LT
	Feb 23, 09	66 000	43 492	2,870 52	51 207	3,379 66	509 14	LT
	Jun 5, 09	56 000	47 305	2,649 12	51 207	2,867 59	218 47	ST
	Aug 20, 09	43 000	46 846	2,014 37	51 207	2,201 90	187 53	ST
Security total		212 000		10,209 12		10,855 88	646 76	
SHISEIDO CO LTD SPONS								
ADR JAPAN								
Symbol SSDOY Exchange OTC								
EAI \$185 Current yield 2 18%								
	Jul 27, 05	126 000	12 540	1,580 04 1	20 966	2,641 72	1,061 68	LT
	Oct 21, 05	89 000	15 240	1,356 36 1	20 966	1,865 97	509 61	LT
	Dec 17, 08	51 000	20 520	1,046 72 1	20 966	1,069 27	22 55	LT
	Mar 31, 09	75 000	14 786	1,108 98	20 966	1,572 45	463 47	LT
	Apr 1, 09	64 000	14 579	933 06	20 966	1,341 82	408 76	LT
Security total		405 000		6,025 16		8,491 23	2,466 07	
SIEMENS A G SPON ADR								
Symbol SI Exchange NYSE								
EAI \$127 Current yield 1 67%								
	Sep 29, 08	48 000	92 970	4,462 56 1	97 640	4,686 72	224 16	LT
	Oct 24, 08	30 000	50 570	1,517 10 1	97 640	2,929 20	1,412 10	LT
Security total		78 000		5,979 66		7,615 92	1,636 26	
SK TELECOM CO LTD ADR								
Symbol SKM Exchange NYSE								
EAI \$444 Current yield 3 77%								
	Feb 14, 08	96 000	22 610	2,170 77 1	18 510	1,776 96	-393 81	LT
	Apr 28, 08	54 000	22 520	1,216 08 1	18 510	999 54	-216 54	LT
	Jun 11, 08	60 000	20 720	1,243 20 1	18 510	1,110 60	-132 60	LT
	Apr 13, 09	60 000	16 209	972 57	18 510	1,110 60	138 03	LT
	Apr 14, 09	65 000	16 066	1,044 29	18 510	1,203 15	158 86	LT
	May 20, 09	246 000	15 953	3,924 46	18 510	4,553 46	629 00	ST
	Aug 20, 09	56 000	15 794	884 48	18 510	1,036 56	152 08	ST
								continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your Financial Advisor
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		637 000		11,455 85		11,790 87	335 02	
SOCIETE GENERALE FRANCE SPONS								
ADR ADR								
Symbol SCGLY Exchange OTC								
EAI \$12 Current yield 0 48%								
	Oct 20, 08	28 000	11 320	317 02 ¹	10 743	300 80	-16 22	LT
	Jan 16, 09	72 000	8 460	609 15 ¹	10 743	773 50	164 35	LT
	Feb 23, 09	131 000	5 686	744 98	10 743	1,407 33	662 35	LT
Security total		231 000		1,671 15		2,481 63	810 48	
SUMITOMO TRUST & BANKING SPON								
ADR ADR								
Symbol STBUY Exchange OTC								
EAI \$38 Current yield 0 97%								
	Jan 17, 08	323 000	6 380	2,060 74 ¹	6 063	1,958 35	-102 39	LT
	Mar 14, 08	321 000	6 590	2,116 03 ¹	6 063	1,946 22	-169 81	LT
Security total		644 000		4,176 77		3,904 57	-272 20	
SWISSCOM AG SPON ADR								
Symbol SCMWY Exchange OTC								
EAI \$374 Current yield 4 61%								
	Jul 27, 05	101 000	33 060	3,339 06 ¹	33 933	3,427 23	88 17	LT
	Oct 21, 05	50 000	32 760	1,638 08 ¹	33 933	1,696 65	58 57	LT
	Oct 28, 05	6 000	32 680	196 08 ¹	33 933	203 60	7 52	LT
	Jan 30, 06	31 000	30 860	956 71 ¹	33 933	1,051 92	95 21	LT
	Jan 31, 06	34 000	30 670	1,042 78 ¹	33 933	1,153 72	110 94	LT
	Jun 8, 07	17 000	33 800	574 60 ¹	33 933	576 86	2 26	LT
Security total		239 000		7,747 31		8,109 98	362 67	
TDK CORP ADR JAPAN ADR								
Symbol TTDKY Exchange OTC								
EAI \$50 Current yield 1 31%								
	Dec 12, 08	59 000	33 280	1,963 59 ¹	64 568	3,809 51	1,845 92	LT
TELECOM ITALIA SPA NEW REPSTG								
10 SAVINGS SHS SPON ADR								
Symbol TIA Exchange NYSE								
EAI \$574 Current yield 6 47%								
	Jul 27, 05	153 000	27 020	4,134 52 ¹	11 210	1,715 13	-2,419 39	LT
	Sep 15, 05	15 000	27 090	406 35 ¹	11 210	168 15	-238 20	LT
	Oct 21, 05	84 000	25 130	2,111 00 ¹	11 210	941 64	-1,169 36	LT
	Oct 28, 05	9 000	23 870	214 84 ¹	11 210	100 89	-113 95	LT

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Account name:
Account number:
STANLEY AND CATHERINE MAAS
RF 63391 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
UNITED UTILITIES GROUP ADR Symbol UUGRY Exchange OTC EAI \$367 Current yield 6.42%	Nov 22, 05	26 000	23 200	603 20 ¹	11 210	291 46	-311 74	LT
	Nov 23, 05	16 000	23 940	383 08 ¹	11 210	179 36	-203 72	LT
	Nov 25, 05	47 000	23 710	1,114 37 ¹	11 210	526 87	-587 50	LT
	Feb 8, 06	331 000	23 830	7,887 73 ¹	11 210	3,710 51	-4,177 22	LT
	Oct 19, 06	36 000	24 600	885 60 ¹	11 210	403 56	-482 04	LT
	May 2, 08	75 000	16 610	1,245 75 ¹	11 210	840 75	-405 00	LT
Security total		792 000		18,986 44		8,878 32	-10,108 12	
UNITED UTILITIES GROUP ADR Symbol UUGRY Exchange OTC EAI \$367 Current yield 6.42%	Jul 27, 05	65 000	29 620	1,925 56 ¹	16 439	1,068 53	-857 03	LT
	Dec 5, 08	129 000	17 020	2,195 58 ¹	16 439	2,120 63	-74 95	LT
	Dec 10, 08	108 000	17 580	1,898 64 ¹	16 439	1,775 41	-123 23	LT
	Mar 30, 09	46 000	13 250	609 50	16 439	756 19	146 69	LT
Security total		348 000		6,629 28		5,720 77	-908 52	
VODAFONE GROUP PLC NEW SPON ADR Symbol VOD Exchange OTC EAI \$496 Current yield 5.73%	May 25, 06	13 000	24 690	320 97 ¹	22 200	288 60	-32 37	LT
	Aug 4, 06	41 000	22 330	915 69 ¹	22 200	910 20	-5 49	LT
	Jun 17, 08	30 000	29 740	892 25 ¹	22 200	666 00	-226 25	LT
	Jul 22, 08	98 000	25 620	2,510 98 ¹	22 200	2,175 60	-335 38	LT
	Sep 24, 08	83 000	22 360	1,856 12 ¹	22 200	1,842 60	-13 52	LT
	Nov 10, 08	125 000	16 830	2,103 98 ¹	22 200	2,775 00	671 02	LT
		390 000		8,599 99		8,658 00	58 01	
Security total								
WACOAL HOLDINGS CORP ADR Symbol WACLX Exchange OTC EAI \$117 Current yield 1.86%	Jul 27, 05	75 000	61 060	4,579 50 ¹	63 619	4,771 42	191 92	LT
	May 30, 07	12 000	62 600	751 20 ¹	63 619	763 43	12 23	LT
	Nov 5, 07	12 000	60 850	730 20 ¹	63 619	763 43	33 23	LT
Security total		99 000		6,060 90		6,298 28	237 38	
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR Symbol WTKWY Exchange OTC EAI \$281 Current yield 3.69%	Feb 24, 09	49 000	16 285	798 00	20 503	1,004 65	206 65	LT



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63391 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr. 30 (\$)	Value on Apr. 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 24, 09	35 000	16 260	569 10 ¹	20 503	717 60	148 50	LT
	Mar 30, 09	76 000	15 586	1,184 60	20 503	1,558 23	373 63	LT
	Apr 29, 09	48 000	16 603	796 97	20 503	984 14	187 17	LT
	Jun 3, 09	45 000	19 469	876 15	20 503	922 63	46 48	ST
	Jun 12, 09	95 000	17 667	1,678 42	20 503	1,947 78	269 36	ST
	Jun 15, 09	23 000	17 072	392 68	20 503	471 57	78 89	ST
Security total		371 000		6,295 92		7,606 61	1,310 68	
Total				\$346,424.08		\$349,380.13	\$2,956.02	

Total estimated annual income: **\$8,543**

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services

Your total assets

	Value on Apr. 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	22,949.58	6.16%	22,949.58 ✓		
Equities	349,380.13	93.84%	346,424.08 ✓	8,543.00	2,956.02
Total	\$372,329.71	100.00%	\$369,373.66	\$8,543.00	\$2,956.02

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$27,105.36
Apr 1	Foreign Dividend	NEXEN INC PAID ON	317		15 66		
Apr 1	Foreign Tax Withheld	NEXEN INC			-2 35		27,118 67
Apr 6	Name Change	MS&AD INS GROUP HLDGS ADR		432 000			
Apr 6	Name Change	MITSUMI SUMITOMO UNSPONS ADR **NAME CHANGE 2010** ADR		-432 000			27,118 67

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ACCESS
April 2010

Account name:
Account number:

STANLEY AND CATHERINE MAAS
RF 63392 20

Your Financial Advisor:
ROBERT D. COHEN
516-745-8800/800-333-7707

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	15,957.75	25,421.51	1.00	0.01%	Mar 25 to Apr 25	32

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
AGILENT TECHNOLOGIES INC								
Symbol A Exchange NYSE	Feb 23, 10	162 000	30.749	4,981.45	36.260	5,874.12	892.67	ST
AKAMAI TECHNOLOGIES INC								
Symbol AKAM Exchange OTC	Feb 18, 09	115 000	17.675	2,032.69	38.830	4,465.45	2,432.76	LT
	Mar 30, 09	81 000	19.123	1,549.00	38.830	3,145.23	1,596.23	LT
	Jan 11, 10	4 000	26.080	104.32	38.830	155.32	51.00	ST
	Feb 16, 10	2 000	25.620	51.24	38.830	77.66	26.42	ST
Security total		202 000		3,737.25		7,843.66	4,106.41	
AMER TOWER CORP CL A								
Symbol AMT Exchange NYSE	Feb 18, 09	136 000	27.418	3,728.85	40.810	5,550.16	1,821.31	LT
	Mar 30, 09	99 000	31.038	3,072.76	40.810	4,040.19	967.43	LT
	Jan 11, 10	4 000	43.790	175.16	40.810	163.24	-11.92	ST
Security total		239 000		6,976.77		9,753.59	2,776.82	
ANSYS INC								
Symbol ANSS Exchange OTC	Feb 18, 09	71 000	24.593	1,746.13	44.960	3,192.16	1,445.03	LT
	Mar 3, 09	5 000	18.971	94.86	44.960	224.80	129.94	LT
	Mar 30, 09	50 000	24.431	1,221.56	44.960	2,248.00	1,026.44	LT
	Feb 16, 10	2 000	41.910	83.82	44.960	89.92	6.10	ST
Security total		128 000		3,146.37		5,754.88	2,608.51	

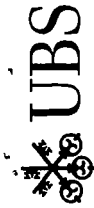
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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
AUTODESK INC								
Symbol ADSK Exchange OTC	Feb 18, 09	55 000	16 627	914 53	33 990	1,869 45	954 92	LT
	Mar 3, 09	5 000	12 067	60 34	33 990	169 95	109 61	LT
	Mar 18, 09	50 000	13 396	669 83	33 990	1,699 50	1,029 67	LT
	Mar 30, 09	81 000	15 417	1,248 84	33 990	2,753 19	1,504 35	LT
	Sep 18, 09	2 000	23 540	47 08	33 990	67 98	20 90	ST
Security total		193 000		2,940 62		6,560 07	3,619 45	
BARD C R INC								
Symbol BCR Exchange NYSE	Feb 18, 09	35 000	86 990	3,044 65	86 530	3,028 55	-16 10	LT
EAI \$42 Current yield 0 78%	Mar 30, 09	25 000	79 649	1,991 24	86 530	2,163 25	172 01	LT
	Jan 11, 10	2 000	81 820	163 64	86 530	173 06	9 42	ST
Security total		62 000		5,199 53		5,364 86	165 33	
BECKMAN COULTER INC								
Symbol BEC Exchange NYSE	Feb 18, 09	74 000	50 160	3,711 84	62 400	4,617 60	905 76	LT
EAI \$99 Current yield 1 16%	Mar 3, 09	5 000	40 980	204 90	62 400	312 00	107 10	LT
	Mar 30, 09	58 000	49 530	2,872 74	62 400	3,619 20	746 46	LT
Security total		137 000		6,789 48		8,548 80	1,759 32	
BIO RAD LABORATORIES INC CL A								
Symbol BIO Exchange NYSE	Feb 18, 09	31 000	63 000	1,953 00	111 690	3,462 39	1,509 39	LT
	Mar 30, 09	19 000	65 080	1,236 52	111 690	2,122 11	885 59	LT
	Sep 18, 09	2 000	93 970	187 94	111 690	223 38	35 44	ST
	Feb 16, 10	2 000	94 350	188 70	111 690	223 38	34 68	ST
Security total		54 000		3,566 16		6,031 26	2,465 10	
BORG WARNER INC								
Symbol BWA Exchange NYSE	Feb 18, 09	71 000	20 000	1,420 00	43 340	3,077 14	1,657 14	LT
	Mar 3, 09	5 000	16 160	80 80	43 340	216 70	135 90	LT
	Mar 30, 09	55 000	20 098	1,105 42	43 340	2,383 70	1,278 28	LT
	Sep 18, 09	4 000	31 010	124 04	43 340	173 36	49 32	ST
Security total		135 000		2,730 26		5,850 90	3,120 64	

continued next page



ACCESS
April 2010

Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63392 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

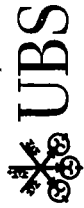
Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
BOSTON PROPERTIES INC								
Symbol BXP Exchange NYSE								
EAI \$152 Current yield 2.54%	Feb 18, 09	44 000	38 500	1,694 00	78 860	3,469 84	1,775 84	LT
	Mar 30, 09	32 000	32 987	1,055 58	78 860	2,523 52	1,467 94	LT
Security total		76 000		2,749 58		5,993 36	3,243 78	
BRINKER INTL INC								
Symbol EAT Exchange NYSE								
EAI \$124 Current yield 3.02%	Jul 26, 05	56 000	27 560	1,543 39 ¹	18 520	1,037 12	-506 27	LT
	Oct 21, 05	38 000	25 600	972 80 ¹	18 520	703 76	-269 04	LT
	Nov 8, 05	8 000	24 040	192 35 ¹	18 520	148 16	-44 19	LT
	Jan 15, 08	20 000	15 730	314 60 ¹	18 520	370 40	55 80	LT
	Aug 10, 09	100 000	14 670	1,467 00	18 520	1,852 00	385 00	ST
Security total		222 000		4,490 14		4,111 44	-378 70	
CEPHALON INC								
Symbol CEPH Exchange OTC								
	Feb 18, 09	30 000	74 700	2,241 00	64 200	1,926 00	-315 00	LT
	Mar 30, 09	20 000	68 691	1,373 82	64 200	1,284 00	-89 82	LT
Security total		50 000		3,614 82		3,210 00	-404 82	
CHESAPEAKE ENERGY CORP OKLA								
Symbol CHK Exchange NYSE								
EAI \$58 Current yield 1.26%	Feb 18, 09	108 000	16 468	1,778 54	23 800	2,570 40	791 86	LT
	Mar 3, 09	5 000	13 577	67 89	23 800	119 00	51 11	LT
	Mar 30, 09	80 000	17 368	1,389 44	23 800	1,904 00	514 56	LT
Security total		193 000		3,235 87		4,593 40	1,357 53	
COVANCE INC								
Symbol CVD Exchange NYSE								
	Jul 26, 05	48 000	48 360	2,321 28 ¹	57 140	2,742 72	421 44	LT
	Oct 21, 05	30 000	49 570	1,487 10 ¹	57 140	1,714 20	227 10	LT
	Nov 8, 05	15 000	47 170	707 61 ¹	57 140	857 10	149 49	LT
	Mar 7, 06	5 000	56 480	282 40 ¹	57 140	285 70	3 30	LT
	Jul 6, 06	5 000	61 280	306 40 ¹	57 140	285 70	-20 70	LT
	Jul 13, 06	5 000	59 960	299 80 ¹	57 140	285 70	-14 10	LT
	Feb 18, 09	10 000	40 260	402 60	57 140	571 40	168 80	LT
	Mar 30, 09	10 000	35 770	357 70	57 140	571 40	213 70	LT
	Jan 11, 10	2 000	55 644	111 29	57 140	114 28	2 99	ST



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Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 16, 10	2 000	54 997	109 99	57 140	114 28	4 29	ST
Security total		132 000		6,386 17		7,542 48	1,156 31	
CSX CORPORATION								
Symbol CSX Exchange NYSE								
EAI \$154 Current yield 1 72%	Feb 18, 09	93 000	28 220	2,624 46	56 050	5,212 65	2,588 19	LT
	Mar 30, 09	65 000	25 978	1,688 57	56 050	3,643 25	1,954 68	LT
	Sep 18, 09	2 000	45 540	91 08	56 050	112 10	21 02	ST
Security total		160 000		4,404 11	8,968 00		4,563 89	
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI \$151 Current yield 0 97%	Feb 18, 09	122 000	22 803	2,782 04	72 230	8,812 06	6,030 02	LT
	Mar 30, 09	89 000	24 559	2,185 80	72 230	6,428 47	4,242 67	LT
	Feb 16, 10	4 000	56 760	227 04	72 230	288 92	61 88	ST
Security total		215 000		5,194 88	15,529 45		10,334 57	
D R HORTON INC								
Symbol DHI Exchange NYSE								
EAI \$63 Current yield 1 02%	Feb 18, 09	218 000	8 929	1,946 72	14 690	3,202 42	1,255 70	LT
	Mar 3, 09	30 000	7 518	225 55	14 690	440 70	215 15	LT
	Mar 30, 09	173 000	10 029	1,735 12	14 690	2,541 37	806 25	LT
Security total		421 000		3,907 39	6,184 49		2,277 10	
DARDEN RESTAURANTS INC								
Symbol DRI Exchange NYSE								
EAI \$157 Current yield 2 23%	Feb 18, 09	82 000	27 649	2,267 22	44 750	3,669 50	1,402 28	LT
	Mar 3, 09	5 000	25 560	127 80	44 750	223 75	95 95	LT
	Mar 30, 09	64 000	34 710	2,221 44	44 750	2,864 00	642 56	LT
	Jan 11, 10	6 000	33 929	203 58	44 750	268 50	64 92	ST
Security total		157 000		4,820 04	7,025 75		2,205 71	
EASTMAN CHEMICAL CO								
Symbol EMN Exchange NYSE								
EAI \$174 Current yield 2 63%	Feb 18, 09	54 000	24 040	1,298 16	66 920	3,613 68	2,315 52	LT
	Mar 3, 09	5 000	19 408	97 04	66 920	334 60	237 56	LT
	Mar 30, 09	40 000	26 150	1,046 00	66 920	2,676 80	1,630 80	LT
Security total		99 000		2,441 20	6,625 08		4,183 88	

continued next page



ACCESS
April 2010

Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63392 20

Your Financial Advisor:
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
EATON VANCE CORP NON VTG								
Symbol EV Exchange NYSE								
EAI \$127 Current yield 1.82%	Jul 26, 05	108 000	24 500	2,646 00 ¹	35 240	3,805 92	1,159 92	LT
	Oct 21, 05	45 000	24 320	1,094 40 ¹	35 240	1,585 80	491 40	LT
	Mar 7, 06	15 000	26 910	403 65 ¹	35 240	528 60	124 95	LT
	Jul 6, 06	10 000	24 820	248 20 ¹	35 240	352 40	104 20	LT
	Jan 15, 08	20 000	37 410	748 20 ¹	35 240	704 80	-43 40	LT
Security total		198 000		5,140 45		6,977 52	1,837 07	
EXPRESS SCRIPTS INC								
Symbol ESRX Exchange OTC	Feb 18, 09	49 000	56 556	2,771 28	100 130	4,906 37	2,135 09	LT
	Mar 3, 09	5 000	46 215	231 08	100 130	500 65	269 57	LT
	Mar 30, 09	38 000	43 757	1,662 80	100 130	3,804 94	2,142 14	LT
	Feb 16, 10	2 000	86 750	173 50	100 130	200 26	26 76	ST
Security total		94 000		4,838 66		9,412 22	4,573 56	
FREEPORT-MCMORAN COPPER & GOLD INC								
Symbol FCX Exchange NYSE	Mar 3, 09	34 000	28 075	954 58	75 530	2,568 02	1,613 44	LT
EAI \$34 Current yield 0.79%	Mar 30, 09	23 000	39 657	912 13	75 530	1,737 19	825 06	LT
Security total		57 000		1,866 71		4,305 21	2,438 50	
GATX CORP								
Symbol GMT Exchange NYSE	Feb 18, 09	94 000	21 845	2,053 43	32 640	3,068 16	1,014 73	LT
EAI \$183 Current yield 3.44%	Mar 30, 09	65 000	20 029	1,301 89	32 640	2,121 60	819 71	LT
	Feb 16, 10	4 000	26 600	106 40	32 640	130 56	24 16	ST
Security total		163 000		3,461 72		5,320 32	1,858 60	
GENL DYNAMICS CORP								
Symbol GD Exchange NYSE	Feb 18, 09	55 000	52 970	2,913 37	76 360	4,199 80	1,286 43	LT
EAI \$156 Current yield 2.20%	Mar 30, 09	38 000	41 299	1,569 36	76 360	2,901 68	1,332 32	LT
Security total		93 000		4,482 73		7,101 48	2,618 75	

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Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
GLOBAL PAYMENTS INC								
Symbol GPN Exchange NYSE								
EAI \$8 Current yield 0.20%	Jul 26, 05	1 000	33 240	33 241	42 810	42 81	9 57	LT
	Nov 8, 05	5 000	43 900	219 501	42 810	214 05	-5 45	LT
	Nov 23, 05	5 000	42 650	213 251	42 810	214 05	0 80	LT
	Jul 6, 06	10 000	46 600	466 001	42 810	428 10	-37 90	LT
	Jul 13, 06	13 000	40 890	531 571	42 810	556 53	24 96	LT
	Mar 27, 07	20 000	39 020	780 421	42 810	856 20	75 78	LT
	Jan 15, 08	35 000	37 250	1,303 751	42 810	1,498 35	194 60	LT
	Jan 11, 10	6 000	47 607	285 64	42 810	256 86	-28 78	ST
Security total		95 000		3,833 37		4,066 95	233 58	
HARRIS CORP DELA								
Symbol HRS Exchange NYSE								
EAI \$143 Current yield 1.70%	Feb 18, 09	85 000	41 430	3,521 55	51 480	4,375 80	854 25	LT
	Mar 3, 09	10 000	32 786	327 87	51 430	514 80	186 93	LT
	Mar 30, 09	64 000	27 658	1,770 14	51 480	3,294 72	1,524 58	LT
	Feb 16, 10	4 000	45 600	182 40	51 480	205 92	23 52	ST
Security total		163 000		5,801 96		8,391 24	2,589 28	
HARSCO CORP								
Symbol HSC Exchange NYSE								
EAI \$125 Current yield 2.66%	Jul 26, 05	95 000	29 890	2,839 551	30 960	2,941 20	101 65	LT
	Oct 21, 05	20 000	30 660	613 201	30 960	619 20	6 00	LT
	Jul 13, 06	20 000	36 610	732 201	30 960	619 20	-113 00	LT
	Jan 15, 08	10 000	54 290	542 901	30 960	309 60	-233 30	LT
	Mar 3, 09	5 000	18 020	90 10	30 960	154 80	64 70	LT
	Feb 16, 10	2 000	29 711	59 42	30 960	61 92	2 50	ST
Security total		152 000		4,877 37		4,705 92	-171 45	
HELIUM ENERGY SOLUTIONS GROUP INC								
Symbol HLX Exchange NYSE								
	Feb 18, 09	93 000	4 099	381 29	14 580	1,355 94	974 65	LT
	Feb 25, 09	205 000	2 568	526 44	14 580	2,988 90	2,462 46	LT
	Mar 30, 09	212 000	5 219	1,106 53	14 580	3,090 96	1,984 43	LT
Security total		510 000		2,014 26		7,435 80	5,421 54	

continued next page



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your Financial Advisor:
ROBERT D. COHEN
516-745-8800/800-333-7707

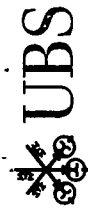
Your assets • Equities • Common stock (continued)

Holding	Trade Date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
INTEGRYS ENERGY GROUP INC								
Symbol TEG Exchange NYSE								
EAI \$305 Current yield 5.49%	Feb 18, 09	42 000	39 753	1,669 65	49 610	2,083 62	413 97	LT
	Mar 3, 09	25 000	22 197	554 93	49 610	1,240 25	685 32	LT
	Mar 30, 09	45 000	25 898	1,165 41	49 610	2,232 45	1,067 04	LT
Security total		112 000		3,389 99		5,556 32	2,166 33	
INTERCONTINENTAL EXCHANGE INC								
Symbol ICE Exchange NYSE								
	Feb 18, 09	43 000	58 690	2,523 67	116 630	5,015 09	2,491 42	LT
	Mar 30, 09	31 000	75 425	2,338 18	116 630	3,615 53	1,277 35	LT
	Jan 11, 10	2 000	108 090	216 18	116 630	233 26	17 08	ST
Security total		76 000		5,078 03		8,863 88	3,785 85	
INTL GAME TECHNOLOGY								
Symbol IGT Exchange NYSE								
EAI \$66 Current yield 1.13%	Feb 18, 09	155 000	9 479	1,469 25	21 080	3,267 40	1,798 15	LT
	Mar 30, 09	120 000	9 087	1,090 44	21 080	2,529 60	1,439 16	LT
	Jan 11, 10	2 000	19 760	39 52	21 080	42 16	2 64	ST
Security total		277 000		2,599 21		5,839 16	3,239 95	
INTL RECTIFIER CORP								
Symbol IRF Exchange NYSE								
	Feb 18, 09	143 000	13 280	1,899 04	23 020	3,291 86	1,392 82	LT
	Mar 30, 09	115 000	13 490	1,551 35	23 020	2,647 30	1,095 95	LT
	Sep 18, 09	2 000	19 750	39 50	23 020	46 04	6 54	ST
	Jan 11, 10	12 000	21 577	258 92	23 020	276 24	17 32	ST
Security total		272 000		3,748 81		6,261 44	2,512 63	
INTUIT								
Symbol INTU Exchange OTC								
	Feb 18, 09	150 000	22 250	3,337 50	36 190	5,428 50	2,091 00	LT
	Mar 30, 09	111 000	26 390	2,929 29	36 190	4,017 09	1,087 80	LT
	Jan 11, 10	4 000	31 330	125 32	36 190	144 76	19 44	ST
	Feb 16, 10	8 000	29 707	237 66	36 190	289 52	51 86	ST
Security total		273 000		6,629 77		9,879 87	3,250 10	
JEFFERIES GROUP INC NEW								
Symbol JEF Exchange NYSE								
EAI \$67 Current yield 1.11%	Jul 26, 05	57 000	20 910	1,191 87 ¹	27 220	1,551 54	359 67	LT
	Oct 21, 05	50 000	20 630	1,031 50 ¹	27 220	1,361 00	329 50	LT



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Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
JOY GLOBAL INC								
Symbol JOYG Exchange OTC								
EAI \$130 Current yield 1 23%	Mar 7, 06	10 000	27 900	279 001	27 220	272 20	-6 80	LT
	Jan 15, 08	85 000	17 470	1,484 951	27 220	2,313 70	828 75	LT
	Mar 3, 09	20 000	8 979	179 59	27 220	544 40	364 81	LT
Security total		222 000		4,166 91		6,042 84	1,875 93	
NEWFIELD EXPLORATION COS								
Symbol NFX Exchange NYSE								
	Feb 18, 09	42 000	19 830	832 86	56 800	2,385 60	1,552 74	LT
	Mar 25, 09	65 000	22 295	1,449 19	56 800	3,692 00	2,242 81	LT
	Mar 30, 09	75 000	20 870	1,565 25	56 800	4,260 00	2,694 75	LT
	Feb 16, 10	4 000	48 669	194 68	56 800	227 20	32 52	ST
Security total		186 000		4,041 98		10,564 80	6,522 82	
ONEOK INC NEW								
Symbol OKE Exchange NYSE								
EAI \$201 Current yield 3 59%	Feb 18, 09	108 000	20 335	2,196 18	58 190	6,284 52	4,088 34	LT
	Mar 30, 09	89 000	22 698	2,020 17	58 190	5,178 91	3,158 74	LT
	Jan 11, 10	6 000	49 310	295 86	58 190	349 14	53 28	ST
Security total		203 000		4,512 21		11,812 57	7,300 36	
PHARMACEUTICAL PROD DEVELOPMENT INC								
Symbol PPD Exchange OTC								
EAI \$118 Current yield 2 18%	Dec 10, 09	112 000	41 343	4,630 45	49 140	5,503 68	873 23	ST
	Feb 16, 10	2 000	42 320	84 64	49 140	98 28	13 64	ST
Security total		114 000		4,715 09		5,601 96	886 87	
PULTE GROUP INC								
Symbol PHM Exchange NYSE								
	Jul 26, 05	112 000	28 600	3,203 201	27 510	3,081 12	-122 08	LT
	Aug 9, 05	10 000	27 890	278 901	27 510	275 10	-3 80	LT
	Oct 21, 05	50 000	31 710	1,585 531	27 510	1,375 50	-210 03	LT
	Jul 13, 06	15 000	36 520	547 801	27 510	412 65	-135 15	LT
	Feb 16, 10	10 000	21 460	214 60	27 510	275 10	60 50	ST
Security total		197 000		5,830 03		5,419 47	-410 56	
continued next page								



ACCESS
April 2010

Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr. 30 (\$)	Value on Apr. 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 6, 09	54 000	12 041	650 26	13 090	706 86	56 60	ST
	Jan 11, 10	2 000	10 980	21 96	13 090	26 18	4 22	ST
	Feb 16, 10	6 000	11 509	69 06	13 090	78 54	9 48	ST
		296 000		3,118 87		3,874 64	755 77	
RAYMOND JAMES FINANCIAL CORP								
Symbol RJF Exchange NYSE								
EAI \$93 Current yield 1.44%								
Security total	Jul 26, 05	70 000	20 070	1,404 90 ¹	30 640	2,144 80	739 90	LT
	Oct 21, 05	34 000	20 600	700 40 ¹	30 640	1,041 76	341 36	LT
	Mar 7, 06	15 000	28 310	424 65 ¹	30 640	459 60	34 95	LT
	May 2, 06	20 000	29 600	592 00 ¹	30 640	612 80	20 80	LT
	Jul 13, 06	10 000	28 090	280 90 ¹	30 640	306 40	25 50	LT
	Mar 27, 07	25 000	30 360	759 00 ¹	30 640	766 00	7 00	LT
	Jan 15, 08	25 000	28 420	710 55 ¹	30 640	766 00	55 45	LT
	Mar 3, 09	10 000	13 309	133 10	30 640	306 40	173 30	LT
	Feb 16, 10	2 000	26 210	52 42	30 640	61 28	8 86	ST
		211 000		5,057 92		6,465 04	1,407 12	
REINSURANCE GROUP AMER INC NEW								
Symbol RGA Exchange NYSE								
EAI \$64 Current yield 0.93%								
Security total	Dec 10, 09	70 000	46 345	3,244 18	51 630	3,614 10	369 92	ST
	Dec 11, 09	64 000	46 829	2,997 10	51 630	3,304 32	307 22	ST
Security total		134 000		6,241 28		6,918 42	677 14	
REPUBLIC SERVICES INC								
Symbol RSG Exchange NYSE								
EAI \$293 Current yield 2.45%								
Security total	Jul 26, 05	39 000	17 480	681 72 ¹	31 030	1,210 17	528 45	LT
	Oct 21, 05	20 000	18 020	360 46 ¹	31 030	620 60	260 14	LT
	Jan 20, 06	2 000	22 280	44 56 ¹	31 030	62 06	17 50	LT
	May 2, 06	24 000	32 580	781 92 ¹	31 030	744 72	-37 20	LT
	May 2, 06	2 000	35 540	71 08 ¹	31 030	62 06	-9 02	LT
	Jun 13, 06	27 000	23 780	642 06 ¹	31 030	837 81	195 75	LT
	Jul 6, 06	6 000	27 200	163 20 ¹	31 030	186 18	22 98	LT
	Jul 13, 06	20 000	22 700	454 05 ¹	31 030	620 60	166 55	LT
	Jan 15, 08	7 000	14 030	98 21 ¹	31 030	217 21	119 00	LT

continued next page





Account name:
Account number

STANLEY AND CATHERINE MAAS
RF 63392 20

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 15, 08	4 000	12 280	49 121	31 030	124 12	75 00	LT
	Feb 18, 09	115 000	23 860	2,743 90	31 030	3,568 45	824 55	LT
	Mar 3, 09	25 000	19 180	479 50	31 030	775 75	296 25	LT
	Mar 30, 09	90 000	17 290	1,556 10	31 030	2,792 70	1,236 60	LT
	Jan 11, 10	4 000	28 827	115 31	31 030	124 12	8 81	ST
Security total		385 000		8,241 19		11,946 55	3,705 36	
SCIENTIFIC GAMES CORP CL A								
Symbol	SGMS	Exchange	OTC					
	Feb 18, 09	84 000	12 707	1,067 46	14 710	1,235 64	168 18	LT
	Mar 3, 09	5 000	10 317	51 59	14 710	73 55	21 96	LT
	Mar 30, 09	78 000	12 507	975 61	14 710	1,147 38	171 77	LT
Security total		167 000		2,094 66		2,456 57	361 91	
SCOTTS MIRACLE-GRO CO CL A								
Symbol	SMG	Exchange	NYSE					
EAI	\$60	Current yield	1 03%					
	Jul 26, 05	33 000	37 140	1,225 721	48 450	1,598 85	373 13	LT
	Oct 19, 06	10 000	46 140	461 421	48 450	484 50	23 08	LT
	Mar 27, 07	15 000	44 050	660 751	48 450	726 75	66 00	LT
	Jan 15, 08	60 000	34 090	2,045 401	48 450	2,907 00	861 60	LT
	Jan 11, 10	2 000	40 000	80 00	48 450	96 90	16 90	ST
Security total		120 000		4,473 29		5,814 00	1,340 71	
SNAP ON INC								
Symbol	SNA	Exchange	NYSE					
EAI	\$112	Current yield	2 50%					
	Jul 26, 05	3 000	35 570	106 711	48 180	144 54	37 83	LT
	Oct 21, 05	8 000	37 230	297 841	48 180	385 44	87 60	LT
	Mar 7, 06	5 000	38 070	190 351	48 180	240 90	50 55	LT
	Jul 6, 06	10 000	39 830	398 301	48 180	481 80	83 50	LT
	Jul 13, 06	5 000	38 900	194 501	48 180	240 90	46 40	LT
	Apr 29, 09	40 000	33 384	1,335 39	48 180	1,927 20	591 81	LT
	Aug 13, 09	20 000	37 732	754 66	48 180	963 60	208 94	ST
	Feb 16, 10	2 000	42 047	84 09	48 180	96 36	12 27	ST
Security total		93 000		3,361 84		4,480 74	1,118 90	
ST MARY LD & EXPL CO								
Symbol	SM	Exchange	NYSE					
EAI	\$22	Current yield	0 25%					
	Dec 18, 09	84 000	36 084	3,031 06	40 240	3,380 16	349 10	ST
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ACCESS
April 2010

Account name:
STANLEY AND CATHERINE MAAS
Account number:
RF 63392 20

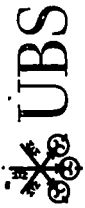
Your Financial Advisor
ROBERT D COHEN
516-745-8800/800-333-7707

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jan 11, 10	136 000	36 876	5,015 19	40 240	5,472 64	457 45	ST
Security total		220 000		8,046 25		8,852 80	806 55	
TIX COS INC NEW								
Symbol TIX Exchange NYSE								
EAI \$120 Current yield 1 29%	Feb 18, 09	113 000	21 569	2,437 38	46 340	5,236 42	2,799 04	LT
	Mar 30, 09	81 000	25 649	2,077 61	46 340	3,753 54	1,675 93	LT
	Jan 11, 10	6 000	38 450	230 70	46 340	278 04	47 34	ST
Security total		200 000		4,745 69		9,268 00	4,522 31	
VALSPAR CORP								
Symbol VAL Exchange NYSE								
EAI \$83 Current yield 2 05%	Oct 22, 07	34 000	25 250	858 50 ¹	31 320	1,064 88	206 38	LT
	Oct 23, 07	10 000	25 090	250 90 ¹	31 320	313 20	62 30	LT
	Jan 15, 08	25 000	19 340	483 50 ¹	31 320	783 00	299 50	LT
	Apr 28, 09	30 000	23 559	706 78	31 320	939 60	232 82	LT
	Aug 10, 09	30 000	26 465	793 96	31 320	939 60	145 64	ST
Security total		129 000		3,093 64		4,040 28	946 64	
XLINX INC								
Symbol XLNX Exchange OTC								
EAI \$123 Current yield 2 48%	Feb 18, 10	192 000	25 559	4,907 44	25 790	4,951 68	44 24	ST
YUM! BRANDS INC								
Symbol YUM Exchange NYSE								
EAI \$181 Current yield 1 98%	Feb 18, 09	120 000	29 000	3,480 00	42 420	5,090 40	1,610 40	LT
	Mar 3, 09	5 000	24 710	123 55	42 420	212 10	88 55	LT
	Mar 30, 09	84 000	27 960	2,348 64	42 420	3,563 28	1,214 64	LT
	Feb 16, 10	6 000	33 489	200 94	42 420	254 52	53 58	ST
Security total		215 000		6,153 13		9,120 30	2,967 17	
Total				\$221,876.55		\$343,113.58	\$121,237.03	
Total estimated annual income:			\$3,988					

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services





Account name: STANLEY AND CATHERINE MAAS
Account number: RF 63392 20

Your assets (continued)

Your total assets

	Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	25,421.51	6.90%	25,421.51		
Equities	343,113.58	93.10%	221,876.55	3,988.00	121,237.03
Total	\$368,535.09	100.00%	\$247,298.06	\$3,988.00	\$121,237.03

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$15,957.75
Apr 1	Dividend	EASTMAN CHEMICAL CO PAID ON	99			43 56	16,001 31
Apr 9	Dividend	INTL GAME TECHNOLOGY PAID ON	277			16 62	16,017 93
Apr 14	Dividend	PIONEER NAT RES CO PAID ON	174			6 96	16,024 89
Apr 15	Dividend	CHESAPEAKE ENERGY CORP OKLA PAID ON 193				14 48	
Apr 15	Dividend	RAYMOND JAMES FINANCIAL CORP PAID ON 211				23 21	
Apr 15	Dividend	REPUBLIC SERVICES INC PAID ON	385			73 15	
Apr 15	Dividend	VALSPAR CORP PAID ON	129			20 64	
Apr 19	Sold	PIONEER NAT RES CO Other fees and charges \$ 19 Average Priced Trade ▶ SYMBOL PXD ▶ CUSIP NO 723787107 ▶ Location of Execution 09 ▶ Capacity Agent		-174 000	62 299000	10,839 84	16,156 37
Apr 20	Fee Charged	CONTRIBUTION FEE				-348 35	26,647 86
Apr 23	Fee Charged	QUARTERLY FEE				-1,264 45	25,383 41
Apr 26	Dividend	RMA MONEY MKT PORTFOLIO AS OF 04/23/10				10	25,383 51
Apr 29	Dividend	BOSTON PROPERTIES INC PAID ON	76			38 00	25,421 51
Apr 30		Closing cash and money balance					\$25,421.51
		Proceeds from security transactions					\$10,839.84