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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation
Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2009

For calendar year 2009, or tax year beginning 05/01 , 2009, and ending 04/30, 20 10

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: SOPHIA F ROMERO T/U/W

A Employer identification number: 04-6029538

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1802 Room/suite

B Telephone number (see page 10 of the instructions) ( ) -

City or town, state, and ZIP code: PROVIDENCE, RI 02901-1802

C If exemption application is pending, check here ▶ [ ]
D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 2,462,651.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ____________
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	70,540.	70,121.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	163,490.			
	b Gross sales price for all assets on line 6a 2,893,833.				
	7 Capital gain net income (from Part IV, line 2)		163,490.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	234,030.	233,611.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	24,499.	14,699.		9,799.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 5	800.	NONE	NONE	800.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) STMT 6	1,012.	362.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 7	435.			435.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	26,746.	15,061.	NONE	11,034.
	25 Contributions, gifts, grants paid	78,000.			78,000.
	26 Total expenses and disbursements Add lines 24 and 25	104,746.	15,061.	NONE	89,034.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	129,284.			
	b **Net investment income** (if negative, enter -0-)		218,550.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash - non-interest-bearing	NONE		
2	Savings and temporary cash investments	291,415.	338,027.	338,027.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶	NONE		
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)	NONE		
b	Investments - corporate stock (attach schedule)	1,347,258.	1,932,502.	2,124,624.
c	Investments - corporate bonds (attach schedule)	503,040.		
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶	NONE		
12	Investments - mortgage loans	NONE		
13	Investments - other (attach schedule)	NONE		
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	NONE		
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	2,141,713.	2,270,529.	2,462,651.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
27	Capital stock, trust principal, or current funds	2,141,713.	2,270,529.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	NONE		
30	**Total net assets or fund balances** (see page 17 of the instructions)	2,141,713.	2,270,529.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,141,713.	2,270,529.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,141,713.
2	Enter amount from Part I, line 27a	2	129,284.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,270,997.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	468.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,270,529.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a	SEE PART IV DETAIL			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	163,490.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	114,895.	2,116,164.	0.05429399612
2007	140,537.	2,581,782.	0.05443410791
2006	11,870.	2,536,441.	0.00467978557
2005	143,716.	2,468,972.	0.05820884157
2004	133,281.	2,445,176.	0.05450773278

2 **Total** of line 1, column (d)	2	0.22612446395
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.04522489279
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,220,277.
5 Multiply line 4 by line 3	5	100,412.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,186.
7 Add lines 5 and 6	7	102,598.
8 Enter qualifying distributions from Part XII, line 4	8	89,034.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	4,371.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	4,371.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	4,371.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 935.		
b	Exempt foreign organizations-tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	935.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	3,436.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶$ ______ **(2)** On foundation managers ▶$ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶$ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 9			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PRIVATE BANK TAX SERVICES Telephone no ▶ (888)866-3275

Located at ▶ P.O. BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	*During the year did the foundation pay or incur any amount to:*		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . . ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here . . . ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . **6b**		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		24,499.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		NONE	NONE	NONE

Total number of other employees paid over $50,000 . . . . ▶ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 12		NONE

Total *number of others receiving over $50,000 for professional services* . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,117,629.
b	Average of monthly cash balances	1b	136,459.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	2,254,088.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	2,254,088.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	33,811.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,220,277.
6	**Minimum investment return.** Enter 5% of line 5	6	111,014.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	111,014.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	4,371.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	4,371.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	106,643.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	106,643.
6	Deduction from distributable amount (see page 25 of the instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	106,643.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	89,034.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	89,034.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	89,034.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income *(see page 26 of the instructions)*

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				106,643.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			15,587.	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2009.				
a From 2004 . . . . . . NONE				
b From 2005 . . . . . . NONE				
c From 2006 . . . . . . NONE				
d From 2007 . . . . . . NONE				
e From 2008 . . . . . . NONE				
f **Total** of lines 3a through e	NONE			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 89,034.				
a Applied to 2008, but not more than line 2a			15,587.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2009 distributable amount				73,447.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				33,196.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2005 . . . NONE				
b Excess from 2006 . . . NONE				
c Excess from 2007 . . . NONE				
d Excess from 2008 . . . NONE				
e Excess from 2009 . . . NONE				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 15				
Total . . . . ▶ **3a**				78,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	70,540.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	163,490.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue. a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				234,030.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 234,030.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Austin Wentworth 05/19/2010 SVP Tax

Signature of officer or trustee Date Title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (See **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code		EIN	
		Phone no	

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AT&T INC	1,073.	1,073.
ABBOTT LABORATORIES	590.	590.
AMPHENOL CORP NEW CL A	2.	2.
APACHE CORPORATION	89.	89.
ARTIO GLOBAL HIGH INCOME FUND CL I	2,964.	2,707.
AVON PRODUCTS INC	453.	453.
BAKER HUGHES INCORPORATED	109.	109.
BEST BUY INCORPORATED	91.	91.
CVS CAREMARK CORP COM	78.	78.
CELANESE CORP DEL SER A COM	11.	11.
CHEVRONTEXACO CORP COM	187.	187.
CITIGROUP INC SR NT	6,446.	6,446.
COLUMBIA ACORN FUND CLASS Z SHARES	147.	147.
COLUMBIA ACORN INTERNATIONAL FUND CL Z	681.	681.
COLUMBIA MARSICO INT'L OPPORTUNITIES FD	1,198.	1,198.
COLUMBIA MID CAP VALUE FUND CLASS Z SHAR	72.	72.
COLUMBIA GOVERNMENT RESERVES CAPITAL CLA	87.	87.
COLUMBIA STRATEGIC INCOME FUND CLASS Z S	2,890.	2,884.
COLUMBIA INCOME FUND CLASS Z SHARES	5,283.	5,283.
COLUMBIA SMALL CAP VALUE I FUND CLASS Z	236.	236.
COLUMBIA CONSERVATIVE HIGH YIELD FUND CL	2,094.	2,094.
COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	234.	183.
COMCAST CORP	110.	110.
CONSOLIDATED EDISON INC	257.	257.
CORNING INC	144.	144.
DISNEY WALT CO	114.	114.
DISCOVER FINL SVCS COM	6.	6.
DOVER CORPORATION	20.	20.
DUN & BRADSTREET CORP DEL NEW COM	80.	80.
EOG RESOURCES INC	47.	47.
EATON VANCE LARGE-CAP VALUE FUND CL I	562.	562.
ENTERGY CORP NEW COM	105.	

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
EXELON CORP COM	265.	265.
EXXON MOBIL CORPORATION	689.	689.
FPL GROUP INC COM	325.	325.
FIFTH THIRD BANCORP COM	2.	2.
FLOWSERVE CORP	40.	40.
GENERAL ELEC CO	262.	262.
GENERAL ELEC CAP CORP MTN	5,539.	5,539.
GOLDMAN SACHS GROUP INC	170.	170.
HARBOR INTERNATIONAL FUND INSTL CL	727.	727.
HESS CORP COM	73.	73.
HEWLETT PACKARD COMPANY	122.	122.
INTEL CORPORATION	210.	210.
INTERNATIONAL BUSINESS MACHS	341.	341.
ISHARES MSCI EMERGING MKTS INDEX FUND	473.	473.
J P MORGAN CHASE & CO COM	109.	109.
JOHNSON & JOHNSON	309.	309.
KIMBERLY CLARK CORP COM	627.	627.
LOCKHEED MARTIN CORPORATION	235.	235.
LOWES COMPANIES INCORPORATED	118.	118.
MCKESSON HBOC INC	77.	77.
MERCK & CO INC COM	182.	182.
MERCK & CO INC SR NT	4,679.	4,679.
MICROSOFT CORPORATION	547.	547.
MOLSON COORS BREWING CO CL B	62.	62.
MONSANTO CO NEW COM	52.	52.
MORGAN STANLEY DEAN WITTER&CO 02/26/2003	1,163.	1,163.
NATIXIS FDS TR IV AEW REAL ESTATE FD CL	886.	886.
NORDSTROM INCORPORATED	197.	197.
OCCIDENTAL PETROLEUM CORPORATION	261.	261.
OLD MUTUAL TS&W MID CAP VALUE FUND CL I	171.	171.
PIMCO TOTAL RETURN FUND INSTL	10,948.	10,948.
PNC BK CORP	72.	72.
PACKAGING CORP OF AMERICA	128.	128.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PARKER HANNIFIN CORPORATION	73.	73.
PEPSICO INCORPORATED	101.	101.
PFIZER INC	596.	596.
PHILIP MORRIS INTL INC COM	1,021.	1,021.
PIMCO COMMODITY REALRETURN STRATEGY FUND	3,899.	3,899.
PIMCO DEVELOPING LOCAL MKTS FUND INSTL	228.	228.
POLO RALPH LAUREN CORPORATION	8.	8.
POTASH CORP SASK INC	11.	11.
PRAXAIR INCORPORATED	104.	104.
PRICE T ROWE GROUP INC COM	14.	14.
PRINCIPAL FINL GROUP INC COM	145.	145.
PROCTER & GAMBLE CO COM	180.	180.
PRUDENTIAL FINL INC COM	67.	67.
RIO TINTO PLC SPONSORED ADR	45.	45.
ROWE T PRICE INTL FUNDS INC INTL BD FUND	605.	605.
SEMPRA ENERGY	142.	142.
STAPLES INCORPORATED	133.	133.
STATE STREET CORP	7.	7.
TJX COMPANIES INCORPORATED NEW	24.	24.
TEVA PHARMACEUTICAL INDS LTD ADR	122.	122.
TEXAS INSTRS INC	221.	221.
US BANCORP DEL COM NEW	96.	96.
UNITED PARCEL SERVICE CL B	152.	152.
UNITED TECHNOLOGIES CORP	649.	649.
VALE S A ADR	66.	66.
VERIZON COMMUNICATIONS	149.	149.
WAL-MART STORES INCORPORATED	204.	204.
WASTE MANAGEMENT INC	173.	173.
WELLS FARGO COMPANY	96.	96.
WELLS FARGO & CO NEW SR NT	4,317.	4,317.
YUM BRANDS INC COM	126.	126.
AXIS CAPITAL HOLDINGS LTD COM	139.	139.
NOBLE CORP COM	6.	6.

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
TYCO INTL LTD NEW F COM		100.	100.
	TOTAL	70,540.	70,121.

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	800.			800.
TOTALS	800.	NONE	NONE	800.

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	39.	39.
FEDERAL ESTIMATES - PRINCIPAL	650.	
FOREIGN TAXES ON QUALIFIED FOR	258.	258.
FOREIGN TAXES ON NONQUALIFIED	65.	65.
TOTALS	1,012.	362.

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
OTHER ALLOCABLE EXPENSE-PRINCI	400.	400.
OTHER ALLOCABLE EXPENSE-INCOME	35.	35.
TOTALS	435.	435.

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
09-10 TYE INC ADJ		210.
ADJ CARRYING VALUE		258.
	TOTAL	468.

SOPHIA F ROMERO T/U/W 04-6029538

STATE(S) WHERE THE FOUNDATION IS REGISTERED
MA

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BANK OF AMERICA, N.A.
ADDRESS:
100 FEDERAL STREET
BOSTON, MA 02110
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .... 24,499.

TOTAL COMPENSATION: 24,499.

SOPHIA F ROMERO T/U/W 04-6029538

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

EMPLOYEE NAME:
NONE

SOPHIA F ROMERO T/U/W 04-6029538

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
==

NAME:
NONE

STATEMENT 12

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
DIGHTON COUNCIL ON AGING
ADDRESS:
300 LINCOLN AVENUE
NORTH DIGHTON, MA 02764
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROVIDE WELLNESS CENTER TO ELDERLY
AMOUNT OF GRANT PAID .... 12,000.

RECIPIENT NAME:
DIABETES ASSOCIATION, INC
ADDRESS:
101 ROCK STREET
FALL RIVER, MA 02722
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROVIDE ELDERLY WITH DIABETES EDUCATION
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
FAMILY SERVICE ASSOC OF
GREATER FALL RIVER, INC
ADDRESS:
151 ROCK STREET
FALL RIVER, MA 02720
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SUPPORT PROGRAMS FOR ELDERLY WOMEN
AMOUNT OF GRANT PAID .... 20,000.

STATEMENT 13

SOPHIA F ROMERO T/U/W 04-6029538
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
SHEPARD'S CENTER OF
FALL RIVER
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
AMOUNT OF GRANT PAID .... 7,500.

RECIPIENT NAME:
COMMUNITY COUNSELING OF
BRISTOL CO
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SUPPORT ELDER COMMUNITY & SERVICES
AMOUNT OF GRANT PAID .... 9,000.

RECIPIENT NAME:
CITIZENS FOR CITIZENS INC
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SUPPORT SENIOR HOME SAFETY REPAIR PROGRAM
AMOUNT OF GRANT PAID .... 2,500.

RECIPIENT NAME:
SOUTHEASTERN MASS HOME
HEALTH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SUPPORT ELDERLY HOME HEALTH CARE
AMOUNT OF GRANT PAID .... 4,000.

SOPHIA F ROMERO T/U/W 04-6029538

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
THE LEUKEMIA AND
LYMPHOMA SOCIETY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SUPPORT THE PATIENT AID PROGRAM
AMOUNT OF GRANT PAID .... 3,000.

TOTAL GRANTS PAID: 78,000.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Note: *Form 5227 filers need to complete **only** Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	178,537.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	178,537.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			992.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-16,039.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-15,047.

Part III	Summary of Parts I and II Caution: *Read the instructions* **before** *completing this part.*		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			178,537.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-15,047.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			163,490.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the **smaller** of the amount on line 17 or $2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

SCHEDULE D-1 (Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 574.564 COLUMBIA INTERNATIONAL STOCK FUND CL	12/18/2008	05/15/2009	5,229.00	5,033.00	196.00
1995.972 COLUMBIA INCOME FUND CLASS Z SHARES	06/09/2008	05/15/2009	16,147.00	18,004.00	-1,857.00
65. ADOBE SYS INC	05/15/2009	09/02/2009	2,015.00	1,687.00	328.00
75. AMAZON COM INC	05/15/2009	09/02/2009	5,953.00	5,634.00	319.00
120. AMGEN INC	05/15/2009	09/02/2009	7,070.00	5,780.00	1,290.00
30. APACHE CORPORATION	05/15/2009	09/02/2009	2,513.00	2,300.00	213.00
5. APOLLO GROUP INC CL A	05/15/2009	09/02/2009	321.00	320.00	1.00
155. AUTODESK INC (DEL)	05/15/2009	09/02/2009	3,513.00	2,963.00	550.00
80. AVON PRODUCTS INC	05/15/2009	09/02/2009	2,444.00	1,838.00	606.00
220. CIGNA CORPORATION	05/15/2009	09/02/2009	6,456.00	4,865.00	1,591.00
2335.049 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES	05/15/2009	09/02/2009	22,557.00	19,731.00	2,826.00
65. COMCAST CORP	05/15/2009	09/02/2009	1,001.00	949.00	52.00
40. CORNING INC	05/15/2009	09/02/2009	599.00	563.00	36.00
35. DUN & BRADSTREET CORP DEL NEW COM	05/15/2009	09/02/2009	2,557.00	2,825.00	-268.00
160. E M C CORP MASS	05/15/2009	09/02/2009	2,502.00	1,922.00	580.00
140. ENTERGY CORP NEW COM	05/15/2009	09/02/2009	11,053.00	10,162.00	891.00
25. EXELON CORP COM	05/15/2009	09/02/2009	1,230.00	1,178.00	52.00
60. EXPRESS SCRIPTS INC CL A	05/15/2009	09/02/2009	4,354.00	3,541.00	813.00
5. FLOWSERVE CORP	05/15/2009	09/02/2009	423.00	339.00	84.00
130. GILEAD SCIENCES INC	05/15/2009	09/02/2009	5,893.00	5,652.00	241.00
35. HESS CORP COM	05/15/2009	09/02/2009	1,740.00	2,045.00	-305.00
60. HEWLETT PACKARD COMPANY	05/15/2009	09/02/2009	2,655.00	2,110.00	545.00
65. INTERNATIONAL BUSINESS MACHS	05/15/2009	09/02/2009	7,601.00	6,615.00	986.00
85. J P MORGAN CHASE & CO COM	05/15/2009	09/02/2009	3,511.00	2,944.00	567.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092
2009

Name of estate or trust: SOPHIA F ROMERO T/U/W
Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 65. KIMBERLY CLARK CORP COM	05/15/2009	09/02/2009	3,788.00	3,291.00	497.00
20. LABORATORY CORP AMER HLDGS COM NEW	05/15/2009	09/02/2009	1,375.00	1,251.00	124.00
10. LIFE TECHNOLOGIES CORP COM	05/15/2009	09/02/2009	448.00	364.00	84.00
20. LOCKHEED MARTIN CORPORATION	05/15/2009	09/02/2009	1,507.00	1,592.00	-85.00
60. LOWES COMPANIES INCORPORATED	05/15/2009	09/02/2009	1,237.00	1,114.00	123.00
3593.083 MANAGERS EMERGING MKTS EQUITY FUND	05/15/2009	09/02/2009	40,817.00	34,530.00	6,287.00
100. MCKESSON HBOC INC	05/15/2009	09/02/2009	5,609.00	3,861.00	1,748.00
240. MERCK & CO INC COM	05/15/2009	09/02/2009	7,431.00	6,179.00	1,252.00
375. METLIFE INC	05/15/2009	09/02/2009	13,213.00	11,169.00	2,044.00
45. MONSANTO CO NEW COM	05/15/2009	09/02/2009	3,673.00	4,013.00	-340.00
705. NEWS CORP CL A	05/15/2009	09/02/2009	7,386.00	6,158.00	1,228.00
30. PNC BK CORP	05/15/2009	09/02/2009	1,206.00	1,256.00	-50.00
30. PACKAGING CORP OF AMERICA	05/15/2009	09/02/2009	602.00	471.00	131.00
70. PHILIP MORRIS INTL INC COM	05/15/2009	09/02/2009	3,197.00	3,028.00	169.00
5. POTASH CORP SASK INC	05/15/2009	09/02/2009	446.00	535.00	-89.00
40. PRAXAIR INCORPORATED	05/15/2009	09/02/2009	2,982.00	2,822.00	160.00
40. SOUTHWESTERN ENERGY CO	05/15/2009	09/02/2009	1,451.00	1,559.00	-108.00
80. STATE STREET CORP	05/15/2009	09/02/2009	4,033.00	3,063.00	970.00
95. TEXAS INSTRS INC	05/15/2009	09/02/2009	2,306.00	1,671.00	635.00
20. THERMO ELECTRON CORP	05/15/2009	09/02/2009	873.00	701.00	172.00
20. UNITED PARCEL SERVICE CL B	05/15/2009	09/02/2009	1,054.00	1,049.00	5.00
110. VERIZON COMMUNICATION S	05/15/2009	09/02/2009	3,314.00	3,271.00	43.00
210. WAL-MART STORES INCORPORATED	05/15/2009	09/02/2009	10,694.00	10,215.00	479.00
205. WASTE MANAGEMENT INC	05/15/2009	09/02/2009	6,017.00	5,530.00	487.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 225. AT&T INC	05/15/2009	01/12/2010	6,046.00	5,630.00	416.00
275. ADOBE SYS INC	05/15/2009	01/12/2010	9,785.00	7,138.00	2,647.00
25. AMGEN INC	05/15/2009	01/12/2010	1,396.00	1,204.00	192.00
50. APACHE CORPORATION	05/15/2009	01/12/2010	5,254.00	3,833.00	1,421.00
130. AUTODESK INC (DEL)	05/15/2009	01/12/2010	3,351.00	2,485.00	866.00
275. AVON PRODUCTS INC	05/15/2009	01/12/2010	8,837.00	6,319.00	2,518.00
245. CIGNA CORPORATION	05/15/2009	01/12/2010	8,909.00	5,418.00	3,491.00
101.93 COLUMBIA ACORN INTERNATIONAL FUND CL Z	05/15/2009	01/12/2010	3,604.00	2,619.00	985.00
148.525 COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARE	05/15/2009	01/12/2010	3,669.00	2,623.00	1,046.00
172.822 COLUMBIA SELECT SMALL CAP FUND CLASS Z SHAR	05/15/2009	01/12/2010	2,409.00	1,771.00	638.00
200. COMCAST CORP	05/15/2009	01/12/2010	3,287.00	2,921.00	366.00
935. CORNING INC	05/15/2009	01/12/2010	18,854.00	13,151.00	5,703.00
275. DEAN FOODS CO NEW COM	05/15/2009	01/12/2010	5,075.00	5,122.00	-47.00
75. DISNEY WALT CO	05/15/2009	01/12/2010	2,303.00	1,786.00	517.00
55. DUN & BRADSTREET CORP DEL NEW COM	05/15/2009	01/12/2010	4,503.00	4,439.00	64.00
275. E M C CORP MASS	05/15/2009	01/12/2010	4,786.00	3,304.00	1,482.00
100. EOG RESOURCES INC	05/15/2009	01/12/2010	9,540.00	6,848.00	2,692.00
75. EXELON CORP COM	05/15/2009	01/12/2010	3,678.00	3,534.00	144.00
100. EXPRESS SCRIPTS INC CL A	05/15/2009	01/12/2010	8,888.00	5,902.00	2,986.00
50. FPL GROUP INC COM	05/15/2009	01/12/2010	2,563.00	2,719.00	-156.00
25. GILEAD SCIENCES INC	05/15/2009	01/12/2010	1,122.00	1,087.00	35.00
230. HESS CORP COM	05/15/2009	01/12/2010	14,378.00	13,438.00	940.00
100. HEWLETT PACKARD COMPANY	05/15/2009	01/12/2010	5,183.00	3,517.00	1,666.00
75. INTERNATIONAL BUSINESS MACHS	05/15/2009	01/12/2010	9,773.00	7,633.00	2,140.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1235. ISHARES MSCI EMERGING MKTS INDEX FUND	09/02/2009	01/12/2010	52,289.00	43,349.00	8,940.00
200. J P MORGAN CHASE & CO COM	05/15/2009	01/12/2010	8,625.00	6,928.00	1,697.00
25. KIMBERLY CLARK CORP COM	05/15/2009	01/12/2010	1,575.00	1,266.00	309.00
25. LABORATORY CORP AMER HLDGS COM NEW	05/15/2009	01/12/2010	1,845.00	1,564.00	281.00
70. LIFE TECHNOLOGIES CORP COM	05/15/2009	01/12/2010	3,714.00	2,548.00	1,166.00
25. LOCKHEED MARTIN CORPORATION	05/15/2009	01/12/2010	1,893.00	1,990.00	-97.00
125. LOWES COMPANIES INCORPORATED	05/15/2009	01/12/2010	2,904.00	2,321.00	583.00
25. NAVISTAR INTERNATIONAL CORP NEW	09/02/2009	01/12/2010	999.00	1,073.00	-74.00
50. PNC BK CORP	05/15/2009	01/12/2010	2,824.00	2,093.00	731.00
350. PFIZER INC	09/02/2009	01/12/2010	6,533.00	5,728.00	805.00
125. PHILIP MORRIS INTL INC COM	05/15/2009	01/12/2010	6,123.00	5,406.00	717.00
25. POLO RALPH LAUREN CORPORATION	09/02/2009	01/12/2010	2,091.00	1,621.00	470.00
50. POTASH CORP SASK INC	05/15/2009	01/12/2010	5,853.00	5,345.00	508.00
60. PRAXAIR INCORPORATED	05/15/2009	01/12/2010	4,873.00	4,233.00	640.00
75. PRINCIPAL FINL GROUP INC COM	09/02/2009	01/12/2010	1,893.00	1,950.00	-57.00
150. SOUTHWESTERN ENERGY CO	05/15/2009	01/12/2010	7,274.00	5,848.00	1,426.00
205. STATE STREET CORP	05/15/2009	01/12/2010	9,033.00	7,850.00	1,183.00
200. TJX COMPANIES INCORPORATED NEW	09/02/2009	01/12/2010	7,605.00	7,091.00	514.00
225. TEXAS INSTRS INC	05/15/2009	01/12/2010	5,587.00	3,957.00	1,630.00
75. UNITED PARCEL SERVICE CL B	05/15/2009	01/12/2010	4,660.00	3,933.00	727.00
235. VALE S A ADR	09/02/2009	01/12/2010	7,281.00	4,546.00	2,735.00
70. VERIZON COMMUNICATIONS	05/15/2009	01/12/2010	2,225.00	2,081.00	144.00
270. WAL-MART STORES INCORPORATED	05/15/2009	01/12/2010	14,732.00	13,134.00	1,598.00
130. WASTE MANAGEMENT INC	05/15/2009	01/12/2010	4,514.00	3,507.00	1,007.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2009**

SCHEDULE D-1 (Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092
2009

Name of estate or trust: SOPHIA F ROMERO T/U/W
Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 150. WELLS FARGO COMPANY	09/02/2009	01/12/2010	4,181.00	3,974.00	207.00
100. YUM BRANDS INC COM	05/15/2009	01/12/2010	3,597.00	3,335.00	262.00
50. AXIS CAPITAL HOLDINGS LTD COM	05/15/2009	01/12/2010	1,398.00	1,231.00	167.00
150. NABORS INDUSTRIES INC COM	09/02/2009	01/12/2010	3,778.00	2,579.00	1,199.00
479.002 NATIXIS FDS TR IV AEW REAL ESTATE FD CL Y COM	05/15/2009	01/13/2010	5,959.00	3,947.00	2,012.00
325. AT&T INC	05/15/2009	03/05/2010	8,106.00	8,133.00	-27.00
100. ADOBE SYS INC	05/15/2009	03/05/2010	3,511.00	2,596.00	915.00
50. AMGEN INC	05/15/2009	03/05/2010	2,858.00	2,408.00	450.00
50. AMPHENOL CORP NEW CL A	01/12/2010	03/05/2010	2,192.00	2,210.00	-18.00
50. APACHE CORPORATION	05/15/2009	03/05/2010	5,361.00	3,833.00	1,528.00
50. APOLLO GROUP INC CL A	05/15/2009	03/05/2010	3,115.00	3,200.00	-85.00
100. AVON PRODUCTS INC	05/15/2009	03/05/2010	3,119.00	2,298.00	821.00
55. CELANESE CORP DEL SER A COM	09/02/2009	03/05/2010	1,761.00	1,360.00	401.00
20. CELANESE CORP DEL SER A COM	01/12/2010	03/05/2010	640.00	661.00	-21.00
150. CHEVRONTEXACO CORP COM	01/12/2010	03/05/2010	11,139.00	12,035.00	-896.00
150. CISCO SYSTEMS INCORPORATED	01/12/2010	03/05/2010	3,772.00	3,619.00	153.00
306.394 COLUMBIA ACORN INTERNATIONAL FUND CL Z	05/15/2009	03/05/2010	10,641.00	7,871.00	2,770.00
951.793 COLUMBIA MARSICO INT'L OPPORTUNITIES FD CLAS	05/15/2009	03/05/2010	10,051.00	8,290.00	1,761.00
508.535 COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES	05/15/2009	03/05/2010	20,504.00	14,798.00	5,706.00
276.721 COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES	09/02/2009	03/05/2010	11,157.00	9,417.00	1,740.00
142.808 COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARE	05/15/2009	03/05/2010	3,682.00	2,522.00	1,160.00
250.522 COLUMBIA SELECT SMALL CAP FUND CLASS Z SHAR	05/15/2009	03/05/2010	3,648.00	2,568.00	1,080.00
260. COMCAST CORP	05/15/2009	03/05/2010	4,520.00	3,797.00	723.00
100. DEAN FOODS CO NEW COM	05/15/2009	03/05/2010	1,593.00	1,863.00	-270.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 75. DISNEY WALT CO	05/15/2009	03/05/2010	2,487.00	1,786.00	701.00
25. DISCOVER FINL SVCS COM	01/12/2010	03/05/2010	353.00	373.00	-20.00
25. DIRECTV CL A COM	01/12/2010	03/05/2010	872.00	827.00	45.00
25. DOVER CORPORATION	01/12/2010	03/05/2010	1,174.00	1,136.00	38.00
400. E M C CORP MASS	05/15/2009	03/05/2010	7,126.00	4,805.00	2,321.00
25. EOG RESOURCES INC	09/02/2009	03/05/2010	2,431.00	1,763.00	668.00
25. EXELON CORP COM	05/15/2009	03/05/2010	1,136.00	1,178.00	-42.00
130. FPL GROUP INC COM	05/15/2009	03/05/2010	6,183.00	7,070.00	-887.00
5. FPL GROUP INC COM	09/02/2009	03/05/2010	238.00	279.00	-41.00
25. FLOWSERVE CORP	05/15/2009	03/05/2010	2,686.00	1,697.00	989.00
25. GILEAD SCIENCES INC	05/15/2009	03/05/2010	1,189.00	1,087.00	102.00
100. HEWLETT PACKARD COMPANY	05/15/2009	03/05/2010	5,196.00	3,517.00	1,679.00
50. INTERNATIONAL BUSINESS MACHS	05/15/2009	03/05/2010	6,359.00	5,089.00	1,270.00
125. J P MORGAN CHASE & CO COM	05/15/2009	03/05/2010	5,343.00	4,330.00	1,013.00
205. KIMBERLY CLARK CORP COM	05/15/2009	03/05/2010	12,316.00	10,380.00	1,936.00
50. LABORATORY CORP AMER HLDGS COM NEW	05/15/2009	03/05/2010	3,618.00	3,128.00	490.00
70. LOCKHEED MARTIN CORPORATION	05/15/2009	03/05/2010	5,686.00	5,572.00	114.00
50. LOWES COMPANIES INCORPORATED	05/15/2009	03/05/2010	1,200.00	928.00	272.00
50. MCKESSON HBOC INC	05/15/2009	03/05/2010	3,097.00	1,931.00	1,166.00
25. MEDCO HEALTH SOLUTIONS INC	01/12/2010	03/05/2010	1,588.00	1,625.00	-37.00
75. MOLSON COORS BREWING CO CL B	09/02/2009	03/05/2010	3,205.00	3,565.00	-360.00
50. MONSANTO CO NEW COM	05/15/2009	03/05/2010	3,625.00	4,459.00	-834.00
175. MYLAN LABS INC	09/02/2009	03/05/2010	3,805.00	2,487.00	1,318.00
50. NAVISTAR INTERNATIONAL CORP NEW	09/02/2009	03/05/2010	2,110.00	2,147.00	-37.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 100. NORDSTROM INCORPORATED	05/15/2009	03/05/2010	3,895.00	2,241.00	1,654.00
50. PNC BK CORP	05/15/2009	03/05/2010	2,775.00	2,093.00	682.00
75. PACKAGING CORP OF AMERICA	05/15/2009	03/05/2010	1,841.00	1,177.00	664.00
50. PARKER HANNIFIN CORPORATION	09/02/2009	03/05/2010	3,145.00	2,391.00	754.00
125. PEPSICO INCORPORATED	01/12/2010	03/05/2010	8,042.00	7,694.00	348.00
600. PFIZER INC	09/02/2009	03/05/2010	10,467.00	9,819.00	648.00
125. PHILIP MORRIS INTL INC COM	05/15/2009	03/05/2010	6,398.00	5,406.00	992.00
30. POLO RALPH LAUREN CORPORATION	09/02/2009	03/05/2010	2,475.00	1,945.00	530.00
75. PRINCIPAL FINL GROUP INC COM	09/02/2009	03/05/2010	1,857.00	1,950.00	-93.00
50. PRUDENTIAL FINL INC COM	09/02/2009	03/05/2010	2,728.00	2,348.00	380.00
50. SEMPRA ENERGY	09/02/2009	03/05/2010	2,483.00	2,485.00	-2.00
25. SOUTHWESTERN ENERGY CO	05/15/2009	03/05/2010	1,065.00	975.00	90.00
150. TEXAS INSTRS INC	05/15/2009	03/05/2010	3,739.00	2,638.00	1,101.00
50. THERMO ELECTRON CORP	05/15/2009	03/05/2010	2,472.00	1,752.00	720.00
175. WELLS FARGO COMPANY	09/02/2009	03/05/2010	5,094.00	4,636.00	458.00
120. YUM BRANDS INC COM	05/15/2009	03/05/2010	4,174.00	4,001.00	173.00
30. YUM BRANDS INC COM	09/02/2009	03/05/2010	1,044.00	1,009.00	35.00
65. AXIS CAPITAL HOLDINGS LTD COM	05/15/2009	03/05/2010	2,052.00	1,601.00	451.00
10. AXIS CAPITAL HOLDINGS LTD COM	09/02/2009	03/05/2010	316.00	295.00	21.00
100. NABORS INDUSTRIES INC COM	09/02/2009	03/05/2010	2,250.00	1,720.00	530.00
50. NOBLE CORP COM	01/12/2010	03/05/2010	2,212.00	2,186.00	26.00
100. TYCO INTL LTD NEW F COM	09/02/2009	03/05/2010	3,747.00	3,141.00	606.00
182.197 HARBOR INTERNATIONAL FUND INSTL CL	05/15/2009	03/08/2010	9,868.00	7,341.00	2,527.00
554.629 NATIXIS FDS TR IV AEW REAL ESTATE FD CL Y COM	05/15/2009	03/08/2010	7,255.00	4,570.00	2,685.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1458.154 OLD MUTUAL TS&W MID CAP VALUE FUND CL I	09/02/2009	03/08/2010	11,417.00	10,120.00	1,297.00
1134.593 ROWE T PRICE INTL FUNDS INC INTL BD FUND	05/15/2009	03/08/2010	11,074.00	10,359.00	715.00
7.753 COLUMBIA ACORN INTERNATIONAL FUND CL Z	05/15/2009	03/24/2010	271.00	199.00	72.00
113.546 COLUMBIA MARSICO INT'L OPPORTUNITIES FD CLAS	05/15/2009	03/24/2010	1,212.00	989.00	223.00
26.096 COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES	09/02/2009	03/24/2010	1,078.00	888.00	190.00
42.278 COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARE	05/15/2009	03/24/2010	1,128.00	747.00	381.00
61.646 COLUMBIA SELECT SMALL CAP FUND CLASS Z SHAR	05/15/2009	03/24/2010	927.00	632.00	295.00
13.014 HARBOR INTERNATIONA L FUND INSTL CL	05/15/2009	03/25/2010	702.00	524.00	178.00
241.266 NATIXIS FDS TR IV AEW REAL ESTATE FD CL Y COM	05/15/2009	03/25/2010	3,315.00	1,988.00	1,327.00
115.412 OLD MUTUAL TS&W MID CAP VALUE FUND CL I	09/02/2009	03/25/2010	916.00	801.00	115.00
75. AT&T INC	05/15/2009	04/05/2010	1,973.00	1,877.00	96.00
25. ADOBE SYS INC	05/15/2009	04/05/2010	899.00	649.00	250.00
25. ALPHA NATURAL RESOURCES INC COM	03/05/2010	04/05/2010	1,323.00	1,253.00	70.00
25. AMAZON COM INC	03/05/2010	04/05/2010	3,286.00	3,225.00	61.00
25. AMERICAN TOWER SYSTEMS CORP CL A	03/05/2010	04/05/2010	1,081.00	1,086.00	-5.00
25. AMPHENOL CORP NEW CL A	01/12/2010	04/05/2010	1,071.00	1,105.00	-34.00
25. APACHE CORPORATION	05/15/2009	04/05/2010	2,659.00	1,917.00	742.00
25. APOLLO GROUP INC CL A	01/12/2010	04/05/2010	1,557.00	1,489.00	68.00
50. AVON PRODUCTS INC	05/15/2009	04/05/2010	1,702.00	1,149.00	553.00
50. CELANESE CORP DEL SER A COM	01/12/2010	04/05/2010	1,651.00	1,651.00	
25. CHEVRONTEXACO CORP COM	01/12/2010	04/05/2010	1,940.00	2,006.00	-66.00
50. CISCO SYSTEMS INCORPORATED	01/12/2010	04/05/2010	1,303.00	1,206.00	97.00
8.804 COLUMBIA ACORN INTERNATIONAL FUND CL Z	05/15/2009	04/05/2010	318.00	226.00	92.00
47.814 COLUMBIA MARSICO INT'L OPPORTUNITIES FD CLAS	05/15/2009	04/05/2010	525.00	416.00	109.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092
2009

Name of estate or trust: SOPHIA F ROMERO T/U/W
Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 170. DEAN FOODS CO NEW COM	05/15/2009	04/05/2010	2,753.00	3,166.00	-413.00
35. DEAN FOODS CO NEW COM	09/02/2009	04/05/2010	567.00	621.00	-54.00
105. DISNEY WALT CO	05/15/2009	04/05/2010	3,699.00	2,501.00	1,198.00
20. DISNEY WALT CO	09/02/2009	04/05/2010	704.00	510.00	194.00
75. DISCOVER FINL SVCS COM	01/12/2010	04/05/2010	1,161.00	1,118.00	43.00
25. DIRECTV CL A COM	01/12/2010	04/05/2010	867.00	827.00	40.00
100. E M C CORP MASS	05/15/2009	04/05/2010	1,853.00	1,201.00	652.00
25. EXELON CORP COM	05/15/2009	04/05/2010	1,110.00	1,178.00	-68.00
25. FIFTH THIRD BANCORP COM	03/05/2010	04/05/2010	345.00	319.00	26.00
25. GILEAD SCIENCES INC	05/15/2009	04/05/2010	1,147.00	1,087.00	60.00
20.154 HARBOR INTERNATIONAL FUND INSTL CL	05/15/2009	04/05/2010	1,134.00	812.00	322.00
50. HEWLETT PACKARD COMPANY	05/15/2009	04/05/2010	2,685.00	1,758.00	927.00
25. INTERNATIONAL BUSINESS MACHS	05/15/2009	04/05/2010	3,237.00	2,544.00	693.00
75. J P MORGAN CHASE & CO COM	05/15/2009	04/05/2010	3,381.00	2,598.00	783.00
25. LABORATORY CORP AMER HLDGS COM NEW	05/15/2009	04/05/2010	1,924.00	1,564.00	360.00
214.608 LAZARD FUNDS INC EMERGING MKTS PORTFOLIO INS	01/13/2010	04/05/2010	4,193.00	3,968.00	225.00
75. LOWES COMPANIES INCORPORATED	05/15/2009	04/05/2010	1,860.00	1,392.00	468.00
25. MCKESSON HBOC INC	05/15/2009	04/05/2010	1,670.00	965.00	705.00
5. MOLSON COORS BREWING CO CL B	09/02/2009	04/05/2010	215.00	238.00	-23.00
100. MOLSON COORS BREWING CO CL B	01/12/2010	04/05/2010	4,309.00	4,516.00	-207.00
75. MYLAN LABS INC	09/02/2009	04/05/2010	1,759.00	1,066.00	693.00
50. NORDSTROM INCORPORATED	05/15/2009	04/05/2010	2,133.00	1,120.00	1,013.00
25. PNC BK CORP	05/15/2009	04/05/2010	1,528.00	1,046.00	482.00
25. PACKAGING CORP OF AMERICA	05/15/2009	04/05/2010	627.00	392.00	235.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25. PARKER HANNIFIN CORPORATION	09/02/2009	04/05/2010	1,656.00	1,196.00	460.00
25. PEPSICO INCORPORATED	01/12/2010	04/05/2010	1,657.00	1,539.00	118.00
325. PFIZER INC	09/02/2009	04/05/2010	5,481.00	5,319.00	162.00
50. PHILIP MORRIS INTL INC COM	05/15/2009	04/05/2010	2,637.00	2,163.00	474.00
214.247 PIMCO COMMODITY REALRETURN STRATEGY FUND	05/15/2009	04/05/2010	1,712.00	1,476.00	236.00
11.627 PIMCO DEVELOPING LOCAL MKTS FUND INSTL	05/15/2009	04/05/2010	120.00	102.00	18.00
25. PRICE T ROWE GROUP INC COM	03/05/2010	04/05/2010	1,388.00	1,349.00	39.00
25. PRINCIPAL FINL GROUP INC COM	09/02/2009	04/05/2010	743.00	650.00	93.00
25. PRUDENTIAL FINL INC COM	09/02/2009	04/05/2010	1,565.00	1,174.00	391.00
50. QUESTAR CORP	03/05/2010	04/05/2010	2,260.00	2,204.00	56.00
25. SEMPRA ENERGY	09/02/2009	04/05/2010	1,275.00	1,242.00	33.00
25. SOUTHWESTERN ENERGY CO	05/15/2009	04/05/2010	1,069.00	975.00	94.00
75. TEXAS INSTRS INC	05/15/2009	04/05/2010	1,902.00	1,319.00	583.00
25. THERMO ELECTRON CORP	05/15/2009	04/05/2010	1,293.00	876.00	417.00
25. UNITED PARCEL SERVICE CL B	05/15/2009	04/05/2010	1,604.00	1,311.00	293.00
50. WELLS FARGO COMPANY	09/02/2009	04/05/2010	1,574.00	1,325.00	249.00
25. AXIS CAPITAL HOLDINGS LTD COM	09/02/2009	04/05/2010	779.00	737.00	42.00
25. NABORS INDUSTRIES INC COM	09/02/2009	04/05/2010	514.00	430.00	84.00
25. NOBLE CORP COM	01/12/2010	04/05/2010	1,078.00	1,093.00	-15.00
25. TYCO INTL LTD NEW F COM	09/02/2009	04/05/2010	982.00	785.00	197.00
100. AT&T INC	05/15/2009	04/20/2010	2,656.00	2,502.00	154.00
25. ADOBE SYS INC	05/15/2009	04/20/2010	874.00	649.00	225.00
25. ALLERGAN INCORPORATED	04/05/2010	04/20/2010	1,610.00	1,633.00	-23.00
25. AMERICAN TOWER SYSTEMS CORP CL A	03/05/2010	04/20/2010	1,050.00	1,086.00	-36.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092
2009

Name of estate or trust: SOPHIA F ROMERO T/U/W
Employer identification number: 04-6029538

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25. AMGEN INC	05/15/2009	04/20/2010	1,504.00	1,204.00	300.00
25. AMPHENOL CORP NEW CL A	01/12/2010	04/20/2010	1,168.00	1,105.00	63.00
25. APACHE CORPORATION	05/15/2009	04/20/2010	2,686.00	1,917.00	769.00
25. APOLLO GROUP INC CL A	01/12/2010	04/20/2010	1,616.00	1,489.00	127.00
25. APPLE COMPUTER INCORPORATED	01/12/2010	04/20/2010	6,145.00	5,174.00	971.00
42.407 ARTIO GLOBAL HIGH INCOME FUND CL I	05/15/2009	04/20/2010	449.00	348.00	101.00
50. AVON PRODUCTS INC	05/15/2009	04/20/2010	1,687.00	1,149.00	538.00
50. CELANESE CORP DEL SER A COM	01/12/2010	04/20/2010	1,679.00	1,651.00	28.00
50. CHEVRONTEXACO CORP COM	01/12/2010	04/20/2010	4,104.00	4,012.00	92.00
100. CISCO SYSTEMS INCORPORATED	01/12/2010	04/20/2010	2,737.00	2,413.00	324.00
25. CLOROX COMPANY	03/05/2010	04/20/2010	1,623.00	1,539.00	84.00
25. DISNEY WALT CO	09/02/2009	04/20/2010	913.00	637.00	276.00
50. DISCOVER FINL SVCS COM	01/12/2010	04/20/2010	788.00	745.00	43.00
25. DIRECTV CL A COM	01/12/2010	04/20/2010	906.00	827.00	79.00
25. DOVER CORPORATION	01/12/2010	04/20/2010	1,209.00	1,136.00	73.00
150. E M C CORP MASS	05/15/2009	04/20/2010	2,906.00	1,802.00	1,104.00
25. EOG RESOURCES INC	09/02/2009	04/20/2010	2,762.00	1,763.00	999.00
25. EXELON CORP COM	05/15/2009	04/20/2010	1,100.00	1,178.00	-78.00
50. FIFTH THIRD BANCORP COM	03/05/2010	04/20/2010	720.00	638.00	82.00
10. FLOWSERVE CORP	05/15/2009	04/20/2010	1,153.00	679.00	474.00
15. FLOWSERVE CORP	01/12/2010	04/20/2010	1,729.00	1,573.00	156.00
25. GENERAL MILLS INC	04/05/2010	04/20/2010	1,756.00	1,772.00	-16.00
75. HEWLETT PACKARD COMPANY	05/15/2009	04/20/2010	4,030.00	2,637.00	1,393.00
25. INTERNATIONAL BUSINESS MACHS	05/15/2009	04/20/2010	3,246.00	2,544.00	702.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 100. J P MORGAN CHASE & CO COM	05/15/2009	04/20/2010	4,577.00	3,464.00	1,113.00
25. LABORATORY CORP AMER HLDGS COM NEW	05/15/2009	04/20/2010	1,991.00	1,564.00	427.00
100. LOWES COMPANIES INCORPORATED	05/15/2009	04/20/2010	2,684.00	1,857.00	827.00
25. MCKESSON HBOC INC	05/15/2009	04/20/2010	1,649.00	965.00	684.00
25. MEDCO HEALTH SOLUTIONS INC	01/12/2010	04/20/2010	1,606.00	1,625.00	-19.00
100. MYLAN LABS INC	09/02/2009	04/20/2010	2,176.00	1,421.00	755.00
17.944 NATIXIS FDS TR IV AEW REAL ESTATE FD CL Y COM	05/15/2009	04/20/2010	251.00	148.00	103.00
25. NAVISTAR INTERNATIONAL CORP NEW	09/02/2009	04/20/2010	1,273.00	1,073.00	200.00
75. NORDSTROM INCORPORATED	05/15/2009	04/20/2010	3,204.00	1,680.00	1,524.00
50. PNC BK CORP	05/15/2009	04/20/2010	3,198.00	2,093.00	1,105.00
50. PACKAGING CORP OF AMERICA	05/15/2009	04/20/2010	1,237.00	785.00	452.00
25. PARKER HANNIFIN CORPORATION	09/02/2009	04/20/2010	1,724.00	1,196.00	528.00
25. PEPSICO INCORPORATED	01/12/2010	04/20/2010	1,645.00	1,539.00	106.00
195. PFIZER INC	09/02/2009	04/20/2010	3,263.00	3,191.00	72.00
100. PHILIP MORRIS INTL INC COM	05/15/2009	04/20/2010	5,176.00	4,325.00	851.00
50. PRINCIPAL FINL GROUP INC COM	09/02/2009	04/20/2010	1,496.00	1,300.00	196.00
75. PROCTER & GAMBLE CO COM	03/05/2010	04/20/2010	4,740.00	4,776.00	-36.00
20. PRUDENTIAL FINL INC COM	09/02/2009	04/20/2010	1,297.00	939.00	358.00
30. PRUDENTIAL FINL INC COM	01/12/2010	04/20/2010	1,946.00	1,584.00	362.00
25. QUESTAR CORP	03/05/2010	04/20/2010	1,141.00	1,102.00	39.00
25. RIO TINTO PLC SPONSORED ADR	01/12/2010	04/20/2010	5,878.00	5,696.00	182.00
50. SEMPRA ENERGY	09/02/2009	04/20/2010	2,493.00	2,485.00	8.00
25. SOUTHWESTERN ENERGY CO	05/15/2009	04/20/2010	1,023.00	975.00	48.00
50. TJX COMPANIES INCORPORATED NEW	03/05/2010	04/20/2010	2,305.00	2,077.00	228.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25. TARGET CORP	03/05/2010	04/20/2010	1,402.00	1,337.00	65.00
100. TEXAS INSTRS INC	05/15/2009	04/20/2010	2,652.00	1,759.00	893.00
50. THERMO ELECTRON CORP	05/15/2009	04/20/2010	2,708.00	1,752.00	956.00
25. UNITED PARCEL SERVICE CL B	05/15/2009	04/20/2010	1,697.00	1,311.00	386.00
125. WELLS FARGO COMPANY	09/02/2009	04/20/2010	4,192.00	3,312.00	880.00
50. AXIS CAPITAL HOLDINGS LTD COM	09/02/2009	04/20/2010	1,537.00	1,474.00	63.00
75. NABORS INDUSTRIES INC COM	09/02/2009	04/20/2010	1,535.00	1,290.00	245.00
25. NOBLE CORP COM	01/12/2010	04/20/2010	1,051.00	1,093.00	-42.00
60. TYCO INTL LTD NEW F COM	09/02/2009	04/20/2010	2,393.00	1,884.00	509.00
15. TYCO INTL LTD NEW F COM	01/12/2010	04/20/2010	598.00	557.00	41.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 178,537.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 825. ABBOTT LABORATORIES	07/18/1990	05/15/2009	36,179.00	8,624.00	27,555.00
725. BAKER HUGHES INCORPORATED	01/08/2002	05/15/2009	25,476.00	25,114.00	362.00
652. BEST BUY INCORPORATED	03/23/2004	05/15/2009	23,341.00	19,910.00	3,431.00
1027. CVS CAREMARK CORP COM	03/23/2004	05/15/2009	31,728.00	20,149.00	11,579.00
470. CISCO SYSTEMS INCORPORATED	08/03/2000	05/15/2009	8,373.00	30,149.00	-21,776.00
435. CISCO SYSTEMS INCORPORATED	03/06/2001	05/15/2009	7,749.00	10,658.00	-2,909.00
300. CISCO SYSTEMS INCORPORATED	01/08/2002	05/15/2009	5,344.00	6,318.00	-974.00
695. CISCO SYSTEMS INCORPORATED	02/28/2003	05/15/2009	12,381.00	9,797.00	2,584.00
560. CITIGROUP INC	08/03/2000	05/15/2009	1,952.00	28,238.00	-26,286.00
200. CITIGROUP INC	02/28/2003	05/15/2009	697.00	6,724.00	-6,027.00
1879.087 COLUMBIA STRATEGIC INCOME FUND CLASS	09/20/2005	05/15/2009	9,959.00	11,554.00	-1,595.00
5434.431 COLUMBIA INTERNATIONAL STOCK FUND CL	09/24/1996	05/15/2009	49,453.00	130,248.00	-80,795.00
27.172 COLUMBIA INTERNATIONAL STOCK FUND CL	11/20/1996	05/15/2009	247.00	667.00	-420.00
.264 COLUMBIA INTERNATIONAL STOCK FUND CLASS Z SHARES	11/20/1996	05/15/2009	2.00	6.00	-4.00
50.483 COLUMBIA INTERNATIONAL STOCK FUND CL	11/21/1997	05/15/2009	459.00	1,219.00	-760.00
12.812 COLUMBIA INTERNATIONAL STOCK FUND CL	11/21/1997	05/15/2009	117.00	309.00	-192.00
32.919 COLUMBIA INTERNATIONAL STOCK FUND CL	12/18/1998	05/15/2009	300.00	840.00	-540.00
1120.021 COLUMBIA INTERNATIONAL STOCK FUND CL	11/17/1999	05/15/2009	10,192.00	30,496.00	-20,304.00
1467.65 COLUMBIA INTERNATIONAL STOCK FUND CL	05/22/2000	05/15/2009	13,356.00	33,046.00	-19,690.00
133.775 COLUMBIA INTERNATIONAL STOCK FUND CL	06/16/2000	05/15/2009	1,217.00	3,233.00	-2,016.00
1143.268 COLUMBIA INTERNATIONAL STOCK FUND CL	12/19/2000	05/15/2009	10,404.00	20,184.00	-9,780.00
96.959 COLUMBIA INTERNATIONAL STOCK FUND CL	12/22/2005	05/15/2009	882.00	1,640.00	-758.00
1012.943 COLUMBIA INTERNATIONAL STOCK FUND CL	12/21/2006	05/15/2009	9,218.00	18,790.00	-9,572.00
255.9 COLUMBIA INTERNATIONAL STOCK FUND CLASS Z SHARE	12/21/2007	05/15/2009	2,329.00	4,343.00	-2,014.00
1112.978 COLUMBIA INTERNATIONAL STOCK FUND CL	12/21/2007	05/15/2009	10,128.00	18,887.00	-8,759.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: SOPHIA F ROMERO T/U/W

Employer identification number: 04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 3657.387 COLUMBIA CONSERVATIVE HIGH YIELD FUN	03/03/2003	05/15/2009	24,175.00	30,868.00	-6,693.00
435. CONSOLIDATED EDISON INC	02/28/2003	05/15/2009	15,196.00	16,991.00	-1,795.00
650. DOMINION RESOURCES INC	08/21/2003	05/15/2009	19,717.00	19,955.00	-238.00
775. EXXON MOBIL CORPORATION	10/06/1953	05/15/2009	53,539.00	553.00	52,986.00
290. FEDEX CORP	08/21/2003	05/15/2009	15,370.00	19,885.00	-4,515.00
1670. GENERAL ELEC CO	01/09/1968	05/15/2009	21,370.00	1,706.00	19,664.00
85. GOLDMAN SACHS GROUP INC	08/21/2003	05/15/2009	11,419.00	7,471.00	3,948.00
470. INTEL CORPORATION	08/03/2000	05/15/2009	7,137.00	30,609.00	-23,472.00
330. INTEL CORPORATION	03/06/2001	05/15/2009	5,011.00	10,519.00	-5,508.00
200. INTEL CORPORATION	01/08/2002	05/15/2009	3,037.00	7,144.00	-4,107.00
500. INTEL CORPORATION	02/28/2003	05/15/2009	7,592.00	8,595.00	-1,003.00
80. JOHNSON & JOHNSON	02/28/2003	05/15/2009	4,413.00	4,233.00	180.00
375. MEDTRONIC INC	02/28/2003	05/15/2009	12,557.00	16,864.00	-4,307.00
10. MICROSOFT CORPORATION	08/03/2000	05/15/2009	203.00	348.00	-145.00
100000. MORGAN STANLEY DEAN WITTER&CO 02/26/2003 5	03/03/2003	05/15/2009	99,750.00	102,081.00	-2,331.00
595. OCCIDENTAL PETROLEUM CORPORATION	04/12/2004	05/15/2009	35,694.00	14,146.00	21,548.00
240. PEPSICO INCORPORATED	09/18/1996	05/15/2009	12,049.00	6,620.00	5,429.00
660. PEPSICO INCORPORATED	08/03/2000	05/15/2009	33,135.00	29,944.00	3,191.00
532. PFIZER INC	03/06/2001	05/15/2009	7,966.00	18,954.00	-10,988.00
460. PFIZER INC	05/24/2001	05/15/2009	6,888.00	19,440.00	-12,552.00
410. PROCTER & GAMBLE CO COM	02/28/2003	05/15/2009	20,657.00	16,826.00	3,831.00
655. STAPLES INCORPORATED	03/23/2004	05/15/2009	12,716.00	10,554.00	2,162.00
1000. SYSCO CORPORATION	09/18/1996	05/15/2009	22,804.00	8,598.00	14,206.00
510. TARGET CORP	08/21/2003	05/15/2009	20,611.00	19,993.00	618.00
590. TEVA PHARMACEUTICAL INDS LTD ADR	08/21/2003	05/15/2009	26,576.00	16,920.00	9,656.00

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SOPHIA F ROMERO T/U/W

Employer identification number
04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 45. UNITED TECHNOLOGIES CORP	08/21/2003	05/15/2009	2,294.00	1,783.00	511.00
1500. WALGREEN CO	09/18/1996	05/15/2009	44,620.00	13,553.00	31,067.00
810. WELLS FARGO COMPANY	08/21/2003	05/15/2009	20,043.00	20,080.00	-37.00
25. ABBOTT LABORATORIES	07/18/1990	09/02/2009	1,123.00	261.00	862.00
7051.224 COLUMBIA STRATEGIC INCOME FUND CLASS	09/20/2005	09/02/2009	39,346.00	43,353.00	-4,007.00
7531.443 COLUMBIA INCOME FUND CLASS Z SHARES	06/09/2008	09/02/2009	68,461.00	67,934.00	527.00
8190.954 COLUMBIA CONSERVATIVE HIGH YIELD FUN	03/03/2003	09/02/2009	58,156.00	69,132.00	-10,976.00
969.213 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	02/28/2003	09/02/2009	8,219.00	16,779.00	-8,560.00
3.885 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES	09/29/2004	09/02/2009	33.00	98.00	-65.00
82.724 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	12/15/2004	09/02/2009	702.00	2,157.00	-1,455.00
8.476 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES	09/29/2005	09/02/2009	72.00	227.00	-155.00
5.631 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES	12/15/2005	09/02/2009	48.00	138.00	-90.00
126.004 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	12/15/2005	09/02/2009	1,069.00	3,089.00	-2,020.00
8.767 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES	12/11/2006	09/02/2009	74.00	240.00	-166.00
211.255 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	12/11/2006	09/02/2009	1,791.00	5,776.00	-3,985.00
1.171 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES	03/29/2007	09/02/2009	10.00	27.00	-17.00
269.05 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	03/29/2007	09/02/2009	2,282.00	6,151.00	-3,869.00
671.514 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	12/10/2007	09/02/2009	5,694.00	9,765.00	-4,071.00
2.593 COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES	06/27/2008	09/02/2009	22.00	33.00	-11.00
200. EXXON MOBIL CORPORATION	10/06/1953	09/02/2009	13,761.00	143.00	13,618.00
825. GENERAL ELEC CO	01/09/1968	09/02/2009	10,886.00	843.00	10,043.00
35. GOLDMAN SACHS GROUP INC	08/21/2003	09/02/2009	5,587.00	3,076.00	2,511.00
90. JOHNSON & JOHNSON	02/28/2003	09/02/2009	5,385.00	4,762.00	623.00
150. MICROSOFT CORPORATION	08/03/2000	09/02/2009	3,613.00	5,227.00	-1,614.00
30. OCCIDENTAL PETROLEUM CORPORATION	04/12/2004	09/02/2009	2,176.00	713.00	1,463.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 170. STAPLES INCORPORATED	03/23/2004	09/02/2009	3,605.00	2,739.00	866.00
110. TEVA PHARMACEUTICAL INDS LTD ADR	08/21/2003	09/02/2009	5,602.00	3,155.00	2,447.00
320. US BANCORP DEL COM NEW	04/12/2004	09/02/2009	6,747.00	8,531.00	-1,784.00
55. UNITED TECHNOLOGIES CORP	08/21/2003	09/02/2009	3,253.00	2,179.00	1,074.00
100000. CITIGROUP INC SR NT	05/24/2001	01/12/2010	104,552.00	98,600.00	5,952.00
7329.852 COLUMBIA STRATEGIC INCOME FUND CLASS	09/20/2005	01/12/2010	43,026.00	45,066.00	-2,040.00
4194.631 COLUMBIA STRATEGIC INCOME FUND CLASS	04/03/2007	01/12/2010	24,622.00	24,993.00	-371.00
7102.297 COLUMBIA INCOME FUND CLASS Z SHARES	06/09/2008	01/12/2010	67,685.00	64,063.00	3,622.00
155. EXXON MOBIL CORPORATION	10/06/1953	01/12/2010	10,818.00	111.00	10,707.00
225. GENERAL ELEC CO	01/09/1968	01/12/2010	3,761.00	230.00	3,531.00
25. GOLDMAN SACHS GROUP INC	08/21/2003	01/12/2010	4,185.00	2,197.00	1,988.00
150. JOHNSON & JOHNSON	02/28/2003	01/12/2010	9,663.00	7,937.00	1,726.00
250. MICROSOFT CORPORATION	08/03/2000	01/12/2010	7,506.00	8,711.00	-1,205.00
50. OCCIDENTAL PETROLEUM CORPORATION	04/12/2004	01/12/2010	3,997.00	1,189.00	2,808.00
25. STAPLES INCORPORATED	03/23/2004	01/12/2010	624.00	403.00	221.00
100. UNITED TECHNOLOGIES CORP	08/21/2003	01/12/2010	7,146.00	3,963.00	3,183.00
100000. WELLS FARGO & CO NEW SR NT	09/20/2005	01/12/2010	102,325.00	100,250.00	2,075.00
45.384 COLUMBIA ACORN FUND CLASS Z SHARES	02/28/2003	03/05/2010	1,171.00	676.00	495.00
100. GENERAL ELEC CO	01/09/1968	03/05/2010	1,628.00	102.00	1,526.00
25. GOLDMAN SACHS GROUP INC	08/21/2003	03/05/2010	4,191.00	2,197.00	1,994.00
100000. MERCK & CO INC SR NT	03/03/2003	03/05/2010	107,451.00	101,954.00	5,497.00
275. MICROSOFT CORPORATION	08/03/2000	03/05/2010	7,848.00	9,582.00	-1,734.00
50. OCCIDENTAL PETROLEUM CORPORATION	04/12/2004	03/05/2010	4,092.00	1,189.00	2,903.00
225. STAPLES INCORPORATED	03/23/2004	03/05/2010	5,233.00	3,626.00	1,607.00
150. US BANCORP DEL COM NEW	04/12/2004	03/05/2010	3,775.00	3,999.00	-224.00

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Employer identification number: 04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 100. UNITED TECHNOLOGIES CORP	08/21/2003	03/05/2010	7,121.00	3,963.00	3,158.00
82.187 COLUMBIA ACORN FUND CLASS Z SHARES	02/28/2003	03/24/2010	2,169.00	1,225.00	944.00
25. ABBOTT LABORATORIES	07/18/1990	04/05/2010	1,321.00	261.00	1,060.00
25. GENERAL ELEC CO	01/09/1968	04/05/2010	463.00	26.00	437.00
25. GOLDMAN SACHS GROUP INC	08/21/2003	04/05/2010	4,312.00	2,197.00	2,115.00
100. MICROSOFT CORPORATION	08/03/2000	04/05/2010	2,914.00	3,484.00	-570.00
25. OCCIDENTAL PETROLEUM CORPORATION	04/12/2004	04/05/2010	2,203.00	594.00	1,609.00
50. STAPLES INCORPORATED	03/23/2004	04/05/2010	1,196.00	806.00	390.00
50. US BANCORP DEL COM NEW	04/12/2004	04/05/2010	1,317.00	1,333.00	-16.00
50. UNITED TECHNOLOGIES CORP	08/21/2003	04/05/2010	3,736.00	1,981.00	1,755.00
50. ABBOTT LABORATORIES	07/18/1990	04/20/2010	2,652.00	523.00	2,129.00
75. GENERAL ELEC CO	01/09/1968	04/20/2010	1,431.00	77.00	1,354.00
100000. GENERAL ELEC CAP CORP MTN	09/20/2005	04/20/2010	105,927.00	100,155.00	5,772.00
75. MICROSOFT CORPORATION	08/03/2000	04/20/2010	2,345.00	2,613.00	-268.00
125. MICROSOFT CORPORATION	04/12/2004	04/20/2010	3,908.00	3,211.00	697.00
50. OCCIDENTAL PETROLEUM CORPORATION	04/12/2004	04/20/2010	4,353.00	1,189.00	3,164.00
50. STAPLES INCORPORATED	03/23/2004	04/20/2010	1,205.00	806.00	399.00
100. US BANCORP DEL COM NEW	04/12/2004	04/20/2010	2,803.00	2,666.00	137.00
75. UNITED TECHNOLOGIES CORP	08/21/2003	04/20/2010	5,571.00	2,972.00	2,599.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -16,039.00

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SOPHIA F ROMERO T/U/W 04-6029538

FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

PIMCO TOTAL RETURN FUND INSTL	459.00	
PIMCO COMMODITY REALRETURN STRATEGY FUND	427.00	
CLASS ACTIONS SETTLEMENT FOR AIG INC (SEC) (2	105.00	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		992.00
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		992.00

ASSET DETAIL

AS OF 04/30/10

ACCOUNT
80-09-900-8528588 SOPHIA F ROMERO T/U/W

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNU INCOME
	CASH AND CASH EQUIVALENTS						
	MONEY MARKET FUNDS						
69,723.840	COLUMBIA GOVERNMENT RESERVES CAPITAL CLASS (INCOME INVESTMENT) CUSIP NO: 097100630	69,723.84	69,723.84	69,723.84	2.831	0.000	0.
268,303.570	COLUMBIA GOVERNMENT RESERVES CAPITAL CLASS CUSIP NO: 097100630	268,303.57	268,303.57	268,303.57	10.895	0.000	0.
	TOTAL MONEY MARKET FUNDS	338,027.41	338,027.41	338,027.41	13.726	0.000	0.
	TOTAL CASH AND CASH EQUIVALENTS	338,027.41	338,027.41	338,027.41	13.726	0.000	0.
	FIXED INCOME						
	MUTUAL FUNDS-FIXED						
6,800.780	ARTIO GLOBAL HIGH INCOME FUND CL I CUSIP NO: 04315J860	63,316.48	63,105.46	71,748.23	2.913	6.929	4,971.
45,869.455	PIMCO TOTAL RETURN FUND INSTL CUSIP NO: 693390700	492,295.99	492,295.99	510,527.03	20.731	4.394	22,430.
15,054.869	PIMCO COMMODITY REALRETURN STRATEGY FUND CUSIP NO: 722005667	116,780.24	116,965.69	121,944.44	4.952	7.593	9,258.
2,326.349	PIMCO DEVELOPING LOCAL MKTS FUND INSTL CUSIP NO: 72201F409	22,655.69	22,666.68	23,891.60	0.970	2.210	528.

A S S E T   D E T A I L

AS OF 04/30/10

ACCOUNT
80-09-900-8528588

SOPHIA F ROMERO T/U/W

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNU INCOME
3,690.500	ROWE T PRICE INTL FDS INC INTL BD FD CUSIP NO: 77956H104	35,419.47	35,949.02	35,539.52	1.443	2.783	989.
	TOTAL MUTUAL FUNDS-FIXED	730,467.87	730,982.84	763,650.82	31.009	4.999	38,177.
	TOTAL FIXED INCOME	730,467.87	730,982.84	763,650.82	31.009	4.999	38,177.
EQUITIES							
CONSUMER DISCRETIONARY							
25.000	AMAZON COM INC CUSIP NO: 023135106	3,224.62	3,224.62	3,427.50	0.139	0.000	0.
25.000	DISNEY WALT CO COM DISNEY CUSIP NO: 254687106	604.31	636.87	921.00	0.037	0.950	8.
25.000	DIRECTV CL A COM CUSIP NO: 25490A101	826.50	826.50	906.00	0.037	0.000	0.
125.000	GANNETT INC CUSIP NO: 364730101	2,150.63	2,150.63	2,127.50	0.086	0.940	20.
110.000	LOWES COS INC CUSIP NO: 548661107	2,042.15	2,042.15	2,983.20	0.121	1.327	39.
85.000	NORDSTROM INC CUSIP NO: 655664100	1,911.21	1,929.18	3,513.05	0.143	1.549	54.
70.000	STAPLES INC CUSIP NO: 855030102	1,127.93	1,127.93	1,647.63	0.067	1.529	25.
50.000	TJX COS INC NEW CUSIP NO: 872540109	2,107.88	2,139.01	2,317.00	0.094	1.295	30.

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A S S E T   D E T A I L

AS OF 04/30/10

ACCOUNT
80-09-900-8528588 SOPHIA F ROMERO T/U/W

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNU INCOME
25.000	TARGET CORP CUSIP NO: 87612E106	1,337.12	1,337.12	1,421.75	0.058	1.196	17.
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	TOTAL CONSUMER DISCRETIONARY	15,332.35	15,414.01	19,264.63	0.782	1.012	194.
CONSUMER STAPLES							
90.000	AVON PRODS INC CUSIP NO: 054303102	2,067.89	2,067.89	2,909.70	0.118	2.722	79.
25.000	CLOROX CO CUSIP NO: 189054109	1,538.62	1,538.62	1,617.50	0.066	3.091	50.
25.000	GENERAL MLS INC CUSIP NO: 370334104	1,771.87	1,771.87	1,779.00	0.072	2.754	49.
50.000	PEPSICO INC CUSIP NO: 713448108	3,077.41	3,077.41	3,261.00	0.132	2.944	96.
95.000	PHILIP MORRIS INTL INC CUSIP NO: 718172109	4,108.89	4,108.89	4,662.60	0.189	4.727	220.
75.000	PROCTER & GAMBLE CO CUSIP NO: 742718109	4,775.62	4,775.62	4,662.00	0.189	3.100	144.
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	TOTAL CONSUMER STAPLES	17,340.30	17,340.30	18,891.80	0.766	3.383	639.
ENERGY							
65.000	NABORS INDS INC ISIN BMG6359F1032 BERMUDA CUSIP NO: G6359F103	1,117.67	1,117.67	1,402.05	0.057	0.000	0.
25.000	NOBLE CORP ISIN CH0033347318 SWITZERLAND CUSIP NO: H5833N103	1,093.12	1,093.12	987.25	0.040	0.608	6.

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ASSET DETAIL

AS OF 04/30/10

ACCOUNT
80-09-900-8528588

SOPHIA F ROMERO T/U/W

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNU INCOME
55.000	APACHE CORP CUSIP NO: 037411105	4,216.57	4,216.57	5,596.80	0.227	0.590	33.
50.000	CHEVRON CORP CUSIP NO: 166764100	4,011.75	4,011.75	4,072.00	0.165	3.536	144.
40.000	OCCIDENTAL PETE CORP DEL CUSIP NO: 674599105	951.00	951.00	3,546.40	0.144	1.489	52.
50.000	SOUTHWESTERN ENERGY CO CUSIP NO: 845467109	1,949.25	1,949.25	1,984.00	0.081	0.000	0.
		---------	---------	---------	-----	-----	----
TOTAL	ENERGY	13,339.36	13,339.36	17,588.50	0.714	1.341	235.
FINANCIALS							
30.000	AXIS CAP HLDGS LTD BERMUDA CUSIP NO: G0692U109	811.45	884.19	935.10	0.038	2.695	25.
175.000	DISCOVER FINL SVCS CUSIP NO: 254709108	2,608.37	2,608.37	2,705.50	0.110	0.517	14.
75.000	FIFTH THIRD BANCORP CUSIP NO: 316773100	956.62	956.62	1,118.63	0.045	0.268	3.
35.000	GOLDMAN SACHS GROUP INC CUSIP NO: 38141G104	3,076.15	3,076.15	5,082.00	0.206	0.964	49.
105.000	J P MORGAN CHASE & CO CUSIP NO: 46625H100	3,637.27	3,637.27	4,470.90	0.182	0.470	21.
40.000	PNC FINL SVCS GROUP INC CUSIP NO: 693475105	1,674.14	1,674.14	2,688.40	0.109	0.595	16.
25.000	PRICE T ROWE GROUP INC CUSIP NO: 74144T108	1,349.12	1,349.12	1,438.50	0.058	1.877	27.

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SOPHIA F ROMERO T/U/W

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNU INCOME
65.000	PRINCIPAL FINL GROUP INC CUSIP NO: 74251V102	1,690.32	1,690.32	1,899.30	0.077	1.711	32.
45.000	PRUDENTIAL FINL INC CUSIP NO: 744320102	2,229.57	2,376.68	2,860.20	0.116	1.101	31.
135.000	US BANCORP DEL COM NEW CUSIP NO: 902973304	3,591.09	3,554.28	3,613.95	0.147	0.747	27.
130.000	WELLS FARGO & CO NEW COM CUSIP NO: 949746101	3,444.12	3,444.12	4,304.30	0.175	0.604	26.
	TOTAL FINANCIALS	25,068.22	25,251.26	31,116.78	1.263	0.875	272.
HEALTH CARE							
75.000	ABBOTT LABS CUSIP NO: 002824100	783.97	783.97	3,837.00	0.156	3.440	132.
25.000	ALLERGAN INC CUSIP NO: 018490102	1,632.62	1,632.62	1,592.25	0.065	0.314	5.
30.000	AMGEN INC CUSIP NO: 031162100	1,444.95	1,444.95	1,719.30	0.070	0.000	0.
30.000	GILEAD SCIENCES INC CUSIP NO: 375558103	1,304.25	1,304.25	1,191.30	0.048	0.000	0.
20.000	LABORATORY CORP AMER HLDGS NEW COM CUSIP NO: 50540R409	1,251.30	1,251.30	1,571.40	0.064	0.000	0.
30.000	MCKESSON CORP CUSIP NO: 58155Q103	1,294.34	1,747.45	1,944.30	0.079	0.741	14.

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25.000	MEDCO HLTH SOLUTIONS INC CUSIP NO: 58405U102	1,625.37	1,625.37	1,473.00	0.060	0.000	0.
155.000	MYLAN INC CUSIP NO: 628530107	2,325.26	2,601.50	3,411.55	0.139	0.000	0.
45.000	THERMO FISHER SCIENTIFIC CORP CUSIP NO: 883556102	1,667.10	1,918.58	2,487.60	0.101	0.000	0.
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TOTAL	HEALTH CARE	13,329.16	14,309.99	19,227.70	0.782	0.787	151.
INDUSTRIALS							
60.000	TYCO INTL LTD NEW F COM SWITZERLAND CUSIP NO: H89128104	1,983.65	2,228.70	2,327.40	0.095	2.310	53.
25.000	DOVER CORP CUSIP NO: 260003108	1,135.62	1,135.62	1,305.50	0.053	1.992	26.
10.000	FLOWSERVE CORP CUSIP NO: 34354P105	832.99	1,048.65	1,145.80	0.047	1.012	11.
80.000	GENERAL ELEC CO CUSIP NO: 369604103	89.09	81.70	1,508.80	0.061	2.121	32.
30.000	NAVISTAR INTL CORP NEW CUSIP NO: 63934E108	1,288.05	1,288.05	1,450.20	0.059	0.000	0.
45.000	PARKER HANNIFIN CORP CUSIP NO: 701094104	2,152.12	2,152.12	3,113.10	0.126	1.503	46.
30.000	UNITED PARCEL SVC INC CL B CUSIP NO: 911312106	1,639.85	1,750.84	2,074.20	0.084	2.719	56.

ASSET DETAIL

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SOPHIA F ROMERO T/U/W

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNU INCOME
75.000	UNITED TECHNOLOGIES CORP CUSIP NO: 913017109	2,971.87	2,971.87	5,621.25	0.228	2.268	127.
	TOTAL INDUSTRIALS	12,093.24	12,657.55	18,546.25	0.753	1.909	354.
	INFORMATION TECHNOLOGY						
15.000	ADOBE SYS INC CUSIP NO: 00724F101	389.32	389.32	504.00	0.020	0.000	0.
50.000	AMPHENOL CORP NEW CL A CUSIP NO: 032095101	2,209.75	2,209.75	2,310.50	0.094	0.130	3.
25.000	APPLE INC CUSIP NO: 037833100	5,173.87	5,173.87	6,527.25	0.265	0.000	0.
125.000	CISCO SYS INC CUSIP NO: 17275R102	3,015.86	3,015.86	3,366.25	0.137	0.000	0.
215.000	EMC CORP CUSIP NO: 268648102	2,582.90	2,582.90	4,087.15	0.166	0.000	0.
90.000	HEWLETT PACKARD CO CUSIP NO: 428236103	3,164.85	3,164.85	4,677.30	0.190	0.616	28.
35.000	INTERNATIONAL BUSINESS MACHS CUSIP NO: 459200101	3,562.12	3,562.12	4,515.00	0.183	2.016	91.
215.000	MICROSOFT CORP CUSIP NO: 594918104	6,933.79	5,523.35	6,565.03	0.267	1.703	111.
130.000	TEXAS INSTRS INC CUSIP NO: 882508104	2,286.05	2,286.05	3,381.30	0.137	1.845	62.
	TOTAL INFORMATION TECHNOLOGY	29,318.51	27,908.07	35,933.78	1.459	0.827	297.

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SOPHIA F ROMERO T/U/W

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNU INCOME
MATERIALS							
25.000	ALPHA NAT RES INC CUSIP NO: 02076X102	1,253.37	1,253.37	1,177.00	0.048	0.000	0.
55.000	CELANESE CORP DEL SER A COM CUSIP NO: 150870103	1,707.35	1,816.38	1,759.45	0.071	0.500	8.
75.000	PACKAGING CORP AMER CUSIP NO: 695156109	1,177.12	1,177.12	1,854.75	0.075	2.426	45.
	TOTAL MATERIALS	4,137.84	4,246.87	4,791.20	0.194	1.123	53.
TELECOMMUNICATION SERVICES							
145.000	AT&T INC CUSIP NO: 00206R102	3,629.14	3,632.79	3,778.70	0.153	6.447	243.
25.000	AMERICAN TOWER CORP CL A CUSIP NO: 029912201	1,086.12	1,086.12	1,020.25	0.041	0.000	0.
	TOTAL TELECOMMUNICATION SERVICES	4,715.26	4,718.91	4,798.95	0.194	5.076	243.
UTILITIES							
35.000	EXELON CORP CUSIP NO: 30161N101	1,649.02	1,649.02	1,525.65	0.062	4.818	73.
50.000	QUESTAR CORP CUSIP NO: 748356102	2,204.25	2,204.25	2,397.50	0.097	1.084	26.
30.000	SEMPRA ENERGY CUSIP NO: 816851109	1,513.50	1,607.85	1,475.40	0.060	3.172	46.

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	TOTAL UTILITIES	5,366.77	5,461.12	5,398.55	0.219	2.710	146.
	MUTUAL FUNDS-EQUITY						
3,106.307	COLUMBIA ACORN FUND CLASS Z SHARES CUSIP NO: 197199409	60,569.74	61,153.88	85,827.26	3.485	0.177	152.
990.122	COLUMBIA ACORN INTERNATIONAL FUND CLASS Z SHARES CUSIP NO: 197199813	26,278.87	26,640.47	35,783.01	1.453	1.469	525.
6,045.065	COLUMBIA MARSICO INTERNATIONAL OPPORTUNITIES FD CLASS Z SHARES CUSIP NO: 19765H636	58,102.55	59,084.50	63,835.89	2.592	2.822	1,801.
859.540	COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES CUSIP NO: 19765N567	29,318.46	31,798.88	37,398.59	1.519	0.496	185.
1,108.566	COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES CUSIP NO: 19765P596	21,005.51	21,403.06	30,385.79	1.234	0.000	0.
1,974.310	COLUMBIA SELECT SMALL CAP FUND CLASS Z SHARES CUSIP NO: 19765Y589	21,766.20	22,113.23	31,628.45	1.284	0.000	0.
33,186.404	COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES CUSIP NO: 19765Y688	342,828.90	342,828.90	356,421.98	14.473	0.000	0.
13,218.365	EATON VANCE LARGE-CAP VALUE FUND CL I CUSIP NO: 277905642	226,308.65	226,308.65	236,873.10	9.619	1.289	3,053.

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1,177.442	HARBOR INTERNATIONAL FUND INSTL CL CUSIP NO: 411511306	54,603.92	55,882.22	64,052.84	2.601	1.296	830.
6,857.371	LAZARD FUNDS INC EMERGING MKTS PORTFOLIO INSTL CUSIP NO: 52106N889	124,839.67	124,773.80	132,552.98	5.383	2.406	3,188.
7,443.552	OLD MUTUAL TS&W MID CAP VALUE FUND CL I CUSIP NO: 68002Q248	54,915.04	55,589.57	59,920.59	2.433	0.547	327.
TOTAL	MUTUAL FUNDS-EQUITY	1,020,537.51	1,027,577.16	1,134,680.48	46.076	0.887	10,064.
TOTAL	EQUITIES	1,160,578.52	1,168,224.60	1,310,238.62	53.202	0.966	12,653.
ALTERNATIVE	INVESTMENTS						
REAL ESTATE							
3,479.688	NATIXIS FDS TR IV AEW REAL ESTATE FD CL Y COM CUSIP NO: 63872W409	32,115.63	33,293.86	50,733.85	2.060	1.578	800.
TOTAL	REAL ESTATE	32,115.63	33,293.86	50,733.85	2.060	1.578	800.
TOTAL	ALTERNATIVE INVESTMENTS	32,115.63	33,293.86	50,733.85	2.060	1.578	800.
TOTAL	FOR ACCOUNT	2,261,189.43	2,270,528.71	2,462,650.70	100.000	2.097	51,630.