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Return of Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning APR 1, 2009, and ending MAR 31, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

| | | | | |
|---|--|--|---|--|
| Use the IRS label. Otherwise, print or type. See Specific Instructions. | Name of foundation E. A. ROBERTS CHARITABLE TRUST | | A Employer identification number 63-6215720 | |
| | Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 2886 | | B Telephone number (251) 690-1411 | |
| | City or town, state, and ZIP code MOBILE, AL 36652 | | C If exemption application is pending, check here <input type="checkbox"/> | |
| | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 23,820,631. | | (Part I, column (d) must be on cash basis.) | | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | 611,324. | 602,761. | | STATEMENT 1 |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | 988,103. | | | |
| b Gross sales price for all assets on line 6a | | 13,563,612. | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 988,103. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | 2,745. | 0. | | STATEMENT 2 |
| 12 Total. Add lines 1 through 11 | | 1,602,172. | 1,590,864. | | |
| 13 Compensation of officers, directors, trustees, etc | | 155,924. | 94,720. | | 61,204. |
| 14 Other employee salaries and wages | | | | | |
| 15 Pension plans, employee benefits | | | | | |
| 16a Legal fees STMT 3 | | 250. | 0. | | 0. |
| b Accounting fees STMT 4 | | 18,150. | 9,075. | | 9,075. |
| c Other professional fees | | | | | |
| 17 Interest | | | | | |
| 18 Taxes STMT 5 | | 658. | 1,086. | | 0. |
| 19 Depreciation and depletion | | | | | |
| 20 Occupancy | | | | | |
| 21 Travel, conferences, and meetings | | | | | |
| 22 Printing and publications | | | | | |
| 23 Other expenses | | | | | |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 174,982. | 104,881. | | 70,279. |
| 25 Contributions, gifts, grants paid | | 1,026,671. | | | 1,026,671. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 1,201,653. | 104,881. | | 1,096,950. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | 400,519. | | | |
| b Net investment income (if negative, enter -0-) | | | 1,485,983. | | |
| c Adjusted net income (if negative, enter -0-) | | | | N/A | |

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|--------------------|--------------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 2,639,320. | 600,092. | 600,092. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations STMT 7 | 5,514,449. | 5,516,663. | 5,516,663. |
| | b Investments - corporate stock STMT 8 | 6,684,596. | 14,062,868. | 14,062,868. |
| | c Investments - corporate bonds STMT 9 | 3,154,200. | 3,297,664. | 3,297,664. |
| 11 Investments - land, buildings, and equipment basis ▶ | | | | |
| Less: accumulated depreciation ▶ | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other STMT 10 | 1,707,163. | 343,344. | 343,344. | |
| 14 Land, buildings, and equipment: basis ▶ | | | | |
| Less: accumulated depreciation ▶ | | | | |
| 15 Other assets (describe ▶) | | | | |
| 16 Total assets (to be completed by all filers) | 19,699,728. | 23,820,631. | 23,820,631. | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶) | | | |
| 23 Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> | | | |
| | and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | 19,699,728. | 23,820,631. | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> | | | |
| | and complete lines 27 through 31 | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | | | | |
| 30 Total net assets or fund balances | 19,699,728. | 23,820,631. | | |
| 31 Total liabilities and net assets/fund balances | 19,699,728. | 23,820,631. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | |
|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 19,699,728. |
| 2 Enter amount from Part I, line 27a | 400,519. |
| 3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6 | 3,720,384. |
| 4 Add lines 1, 2, and 3 | 23,820,631. |
| 5 Decreases not included in line 2 (itemize) ▶ | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 23,820,631. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a REGIONS ACCOUNT H705 1255000599 (SEE | | | |
| b ATTACHED) | P | VARIOUS | VARIOUS |
| c REGIONS ACCOUNT H705 1255000599 (SEE | | | |
| d ATTACHED) | P | VARIOUS | VARIOUS |
| e SECURITIES LITIGATION SETTLEMENTS | P | VARIOUS | VARIOUS |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | | | |
| b 4,063,710. | | 3,537,350. | 526,360. |
| c | | | |
| d 9,492,420. | | 9,038,159. | 454,261. |
| e 7,482. | | | 7,482. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a | | | |
| b | | | 526,360. |
| c | | | |
| d | | | 454,261. |
| e | | | 7,482. |

| | | | |
|--|--|----------|-----------------|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 988,103. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): | { If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2008 | 1,213,126. | 21,949,107. | .055270 |
| 2007 | 1,146,131. | 25,437,738. | .045056 |
| 2006 | 1,122,050. | 24,300,225. | .046174 |
| 2005 | 1,116,993. | 23,592,812. | .047345 |
| 2004 | 1,103,661. | 22,649,813. | .048727 |

| | | |
|---|----------|--------------------|
| 2 Total of line 1, column (d) | 2 | .242572 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .048514 |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 21,908,685. |
| 5 Multiply line 4 by line 3 | 5 | 1,062,878. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 14,860. |
| 7 Add lines 5 and 6 | 7 | 1,077,738. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,096,950. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|---------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 14,860. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 14,860. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 14,860. |
| 6 Credits/Payments: | | | |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009 | 6a | 16,663. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 16,663. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 1,803. | |
| 11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 1,803. Refunded <input checked="" type="checkbox"/> | 11 | 0. | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> AL | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

N/A

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Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|---|----|---|-----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u> | 13 | X | |
| 14 | The books are in care of ► <u>REGIONS MORGAN KEEGAN TRUST</u> Telephone no. ► <u>(251) 690-1411</u> Located at ► <u>11 NORTH WATER STREET, 25TH FLOOR, MOBILE, AL</u> ZIP+4 ► <u>36602</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year | 15 | | N/A |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|---|----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here | N/A | 1b |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | | 1c |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____, _____, _____ | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | N/A | 2b |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) | N/A | 3b |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | 4b |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 11 | | 155,924. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 N/A | |
| | |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 N/A | |
| | |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| Total. Add lines 1 through 3 | 0. |

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 20,812,222. |
| b | Average of monthly cash balances | 1b | 1,428,151. |
| c | Fair market value of all other assets | 1c | 1,947. |
| d | Total (add lines 1a, b, and c) | 1d | 22,242,320. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 22,242,320. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 333,635. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 21,908,685. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 1,095,434. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|---|----|------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,095,434. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 14,860. |
| b | Income tax for 2009. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 14,860. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 1,080,574. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 1,080,574. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 1,080,574. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 1,096,950. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,096,950. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 14,860. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 1,082,090. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

E. A. ROBERTS CHARITABLE TRUST

Form 990-PF (2009)

#1255000599

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Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---------------|----------------------------|-------------------|-------------------|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 1,080,574. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 1,026,671. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2009: | | | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 | | | | |
| d From 2007 | | | | |
| e From 2008 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4: \$ 1,096,950. | | | | |
| a Applied to 2008, but not more than line 2a | | | 1,026,671. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2009 distributable amount | | | | 70,279. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | | | | 1,010,295. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 | | | | |
| b Excess from 2006 | | | | |
| c Excess from 2007 | | | | |
| d Excess from 2008 | | | | |
| e Excess from 2009 | | | | |

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N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

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Part XV • **Supplementary Information** (continued)

| 3 Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|---|---|--------------------------------|----------------------------------|------------------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| INFIRMARY HEALTH SYSTEM, INC. P.O. BOX 2144 MOBILE, AL 36652 | N/A | 501(C)(3) ORG | UNRESTRICTED CONTRIBUTION | 513,335. |
| UMS-WRIGHT PREPATORY SCHOOL 65 NORTH MOBILE STREET MOBILE, AL 36607 | N/A | 501(C)(3) ORG | UNRESTRICTED CONTRIBUTION | 513,336. |
| Total | | | | ▶ 3a 1,026,671. |
| b Approved for future payment | | | | |
| NONE | | | | |
| Total | | | | ▶ 3b 0. |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|--|-------------------------|---------------------------|-------------------------------|--------------------------------------|----|---|
| | (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | | |
| 1 Program service revenue: | | | | | | |
| a _____ | | | | | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| f _____ | | | | | | |
| g Fees and contracts from government agencies | | | | | | |
| 2 Membership dues and assessments | | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | | |
| 4 Dividends and interest from securities | | | 14 | 611,324. | | |
| 5 Net rental income or (loss) from real estate: | | | | | | |
| a Debt-financed property | | | | | | |
| b Not debt-financed property | | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | | |
| 7 Other investment income | | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 988,103. | | |
| 9 Net income or (loss) from special events | | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | | |
| 11 Other revenue: | | | | | | |
| a <u>SECURITY LITIGATION</u> | | | | 2,745. | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 1,602,172. | | 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | | 13 | 1,602,172. |

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT | 1 |
|----------------------------------|--|----------------------------|----------------------|
| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
| CORPORATE INTEREST | 328,826. | 0. | 328,826. |
| DOMESTIC DIVIDENDS | 213,435. | 0. | 213,435. |
| FOREIGN DIVIDENDS | 7,018. | 0. | 7,018. |
| MUTUAL FUNDS | 0. | 0. | 0. |
| NONQUALIFIED DOMESTIC DIVIDENDS | 1,443. | 0. | 1,443. |
| NONQUALIFIED FOREIGN DIVIDENDS | 0. | 0. | 0. |
| OID INCOME ON USGI | 0. | 0. | 0. |
| OTHER INTEREST | 2,070. | 0. | 2,070. |
| U.S. GOVERNMENT | 58,532. | 0. | 58,532. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 611,324. | 0. | 611,324. |

| FORM 990-PF | OTHER INCOME | STATEMENT | 2 |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
| SECURITY LITIGATION | 2,745. | 0. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 2,745. | 0. | |

| FORM 990-PF | LEGAL FEES | | | STATEMENT | 3 |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| LEGAL FEES | 250. | 0. | | 0. | |
| TO FM 990-PF, PG 1, LN 16A | 250. | 0. | | 0. | |

| FORM 990-PF | ACCOUNTING FEES | | | STATEMENT | 4 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| ACCOUNTING & AUDITING FEES | 9,075. | 9,075. | | 0. | |
| TAX PREPARATION FEES | 9,075. | 0. | | 9,075. | |
| TO FORM 990-PF, PG 1, LN 16B | 18,150. | 9,075. | | 9,075. | |

| FORM 990-PF | TAXES | | | STATEMENT | 5 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| EXCISE TAX | 0. | 0. | | 0. | |
| FOREIGN TAX | 658. | 1,086. | | 0. | |
| TO FORM 990-PF, PG 1, LN 18 | 658. | 1,086. | | 0. | |

| FORM 990-PF | OTHER INCREASES IN NET ASSETS OR FUND BALANCES | | | STATEMENT | 6 |
|--|--|--|--|------------|---|
| DESCRIPTION | | | | AMOUNT | |
| NET UNREALIZED GAINS ON INVESTMENTS IAW SFAS NO. 124 | | | | 3,720,384. | |
| TOTAL TO FORM 990-PF, PART III, LINE 3 | | | | 3,720,384. | |

| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | | | STATEMENT | 7 |
|--|--|----------------|------------|----------------------|---|
| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE | |
| GOVERNMENT OBLIGATIONS | X | | 5,516,663. | 5,516,663. | |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | 5,516,663. | 5,516,663. | |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | | | |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 5,516,663. | 5,516,663. | |

| FORM 990-PF | CORPORATE STOCK | STATEMENT | 8 |
|---|-----------------|-------------|----------------------|
| DESCRIPTION | | BOOK VALUE | FAIR MARKET VALUE |
| COMMON STOCK | | 14,062,868. | 14,062,868. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | | 14,062,868. | 14,062,868. |

| FORM 990-PF | CORPORATE BONDS | STATEMENT | 9 |
|---|-----------------|------------|----------------------|
| DESCRIPTION | | BOOK VALUE | FAIR MARKET VALUE |
| CORPORATE OBLIGATIONS | | 3,297,664. | 3,297,664. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | | 3,297,664. | 3,297,664. |

| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 10 |
|--|---------------------|------------|----------------------|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
| EQUITY FUNDS | FMV | 248,696. | 248,696. |
| FIXED INCOME FUNDS | COST | 92,700. | 92,700. |
| REAL ESTATE ; OIL AND GAS | COST | 1,948. | 1,948. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 343,344. | 343,344. |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN- SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|--|--------------------------|-------------------|------------------------------|--------------------|
| REGIONS BANK TRUST DEPARTMENT P.O. BOX 2527 MOBILE, AL 36622 | TRUSTEE 0.00 | 121,707. | 0. | 0. |
| MRS. SARAH L. DAMSON P.O. BOX 160947 MOBILE, AL 36616 | DIRECTOR 0.00 | 150. | 0. | 0. |
| MR. T.K. JACKSON, III P.O. BOX 173 MOBILE, AL 36601-0713 | DIRECTOR 0.00 | 150. | 0. | 0. |
| MR. JOHN C. JOHNSON 3601 LONGVIEW LANE MOBILE, AL 36608 | DIRECTOR 0.00 | 150. | 0. | 0. |
| MRS. JOY M. GRODNICK 112 PINEBROOK DRIVE EAST MOBILE, AL 36608 | DIRECTOR 0.00 | 100. | 0. | 0. |
| MR. J. MANNING MCPHILLIPS P.O. BOX 169 MOBILE, AL 36601 | DIRECTOR 0.00 | 0. | 0. | 0. |
| ALLEN H. LADD 2065 OLD SHELL ROAD MOBILE, AL 36607-3350 | DIRECTOR 0.00 | 150. | 0. | 0. |
| REGIONS BANK TRUST DEPARTMENT P.O. BOX 2527 MOBILE, AL 36622 | CUSTODIAN 0.00 | 33,517. | 0. | 0. |
| MANSON MURRAY, ESQ. P.O. DRAWER 2568 MOBILE, AL 36652 | DIRECTOR 0.00 | 0. | 0. | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | 155,924. | 0. | 0. |

E. A. Roberts Charitable Trust #12550005

March 31, 2010

Capital gain (loss) schedule

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost Basis | Gain (Loss) |
|---|---------------|------------|----------------------|------------|----------------|
| Short-Term Gains (Losses): | | | | | |
| 800 shares AES Corp | 99/99/99 | 1/26/2010 | 10,504.00 | 5,464.00 | 5,040.00 |
| 1,300 shares Abbott Labs | 99/99/99 | 1/19/2010 | 73,216.00 | 58,347.00 | 14,869.00 |
| 12,000 Abbott Labs DTD | 3/9/2009 | 1/14/2010 | 12,643.00 | 11,995.00 | 648.00 |
| 2,150 shares Abercrombie & Fitch | 99/99/99 | 10/9/2009 | 66,627.00 | 49,482.00 | 17,145.00 |
| 100 shares Agnico-Eagle Mines | 99/99/99 | 11/4/2009 | 5,725.00 | 4,581.00 | 1,144.00 |
| 500 shares American Express | 99/99/99 | 1/19/2010 | 4,272.00 | 2,164.00 | 2,108.00 |
| 1,000 shares Ameriprise Financial | 99/99/99 | 1/19/2010 | 41,935.00 | 37,131.00 | 4,804.00 |
| 140 shares Apache Corp. | 99/99/99 | 3/24/2010 | 14,305.00 | 14,579.00 | (274.00) |
| 3,220 shares Best Buy | 99/99/99 | 99/99/99 | 125,231.00 | 121,311.00 | 3,920.00 |
| 400 shares Boyd Gaming Corp. | 99/99/99 | 8/20/2009 | 4,071.00 | 2,496.00 | 1,575.00 |
| 100 shares Cameron Intl Corp. | 99/99/99 | 10/15/2009 | 4,135.00 | 2,479.00 | 1,656.00 |
| 1,500 shares Celgene Corp. | 99/99/99 | 1/12/2010 | 16,977.00 | 12,278.00 | 4,699.00 |
| 650 shares Chicos | 99/99/99 | 99/99/99 | 6,384.00 | 4,570.00 | 1,814.00 |
| 125 shares Cimarex Energy Corp. | 99/99/99 | 99/99/99 | 6,702.00 | 3,101.00 | 3,601.00 |
| 43,000 shares Citigroup | 99/99/99 | 1/14/2010 | 43,517.00 | 43,807.00 | (290.00) |
| 1,400 shares Coach Inc. | 99/99/99 | 1/12/2010 | 53,064.00 | 24,990.00 | 28,074.00 |
| 16,000 shares Comcast Corp. | 6/18/2009 | 1/14/2010 | 16,922.00 | 16,170.00 | 752.00 |
| 400 shares Commscope Inc. | 99/99/99 | 3/3/2010 | 10,795.00 | 10,047.00 | 748.00 |
| 836 shares Conagra Inc. | 99/99/99 | 3/24/2010 | 21,806.00 | 20,089.00 | 1,717.00 |
| 200 shares Coventry Health Care | 99/99/99 | 2/12/2010 | 4,442.00 | 2,894.00 | 1,548.00 |
| 300 shares Cracker Barrel | 99/99/99 | 6/4/2009 | 9,783.00 | 9,585.00 | 198.00 |
| 33,000 shares Credit Suisse New York DTD | 99/99/99 | 99/99/99 | 35,569.00 | 34,543.00 | 1,026.00 |
| 2,249 shares Darden Restaurants | 99/99/99 | 99/99/99 | 98,920.00 | 82,510.00 | 16,410.00 |
| 800 shares Deere & Co | 99/99/99 | 1/22/2010 | 16,383.00 | 10,561.00 | 5,822.00 |
| 10,000 shares John Deere Capital | 99/99/99 | 12/14/2009 | 10,369.00 | 10,214.00 | 155.00 |
| 520 shares Denbury Resources | 99/99/99 | 9/1/2009 | 7,776.00 | 8,777.00 | (1,001.00) |
| 1,850 shares Devon Energy Corp | 99/99/99 | 3/24/2010 | 51,467.00 | 55,301.00 | (3,834.00) |
| 730 shares Walt Disney Corp | 99/99/99 | 2/17/2010 | 22,239.00 | 22,273.00 | (34.00) |
| 95,000 shares Walt Disney Co. DTD | 12/22/2008 | 8/12/2009 | 99,983.00 | 95,312.00 | 4,671.00 |
| 3,000 shares Directv Class A | 99/99/99 | 1/19/2010 | 100,655.00 | 82,028.00 | 18,627.00 |
| 150 shares Dun & Bradstreet Corp. | 99/99/99 | 9/17/2009 | 11,173.00 | 12,242.00 | (1,069.00) |
| Ensco Intl. Inc. | 99/99/99 | 12/23/2009 | 2,978.00 | - | 2,978.00 |
| 148 shares EOG Res Inc. | 99/99/99 | 3/24/2010 | 13,764.00 | 13,741.00 | 23.00 |
| 224 shares Eaton Corp | 99/99/99 | 3/24/2010 | 16,992.00 | 15,041.00 | 1,951.00 |
| 1,300 shares Express Scripts | 99/99/99 | 99/99/99 | 115,493.00 | 78,723.00 | 36,770.00 |
| 290 shares FMC Technologies Inc. | 99/99/99 | 99/99/99 | 12,130.00 | 10,388.00 | 1,742.00 |
| 936,026 shares Federal Home Loan MTG Corp Pool | 99/99/99 | 99/99/99 | 27,678.00 | 29,363.00 | (1,685.00) |
| 873,914 shares Federal National Mortgage Assn. Pool | 99/99/99 | 99/99/99 | 22,175.00 | 22,859.00 | (684.00) |
| 100 shares Flowserve Corp | 99/99/99 | 99/99/99 | 9,166.00 | 6,203.00 | 2,963.00 |
| 5,000 shares General Electric Co Com | 99/99/99 | 1/19/2010 | 82,594.00 | 79,150.00 | 3,444.00 |
| 32,000 shares General Electric Co | 99/99/99 | 1/11/2010 | 33,160.00 | 32,506.00 | 654.00 |
| 36,000 shares General Elec Cap Corp | 1/11/2009 | 1/11/2010 | 36,646.00 | 36,137.00 | 509.00 |
| 15,000 shares Goldman Sachs Group Inc. DTD | 5/6/2009 | 1/11/2010 | 16,545.00 | 15,271.00 | 1,274.00 |
| 36,000 shares Goldman Sachs Group Inc. | 1/29/2009 | 1/11/2010 | 36,412.00 | 36,002.00 | 410.00 |
| 300 shares Google Inc. | 99/99/99 | 1/13/2010 | 174,984.00 | 120,589.00 | 54,395.00 |
| 170 shares Guess ? Inc. | 99/99/99 | 10/27/2009 | 6,620.00 | 3,838.00 | 2,782.00 |
| 100 shares Harman Intl | 99/99/99 | 3/3/2010 | 4,311.00 | 3,003.00 | 1,308.00 |
| 75 shares Harris Corp | 99/99/99 | 3/3/2010 | 3,525.00 | 2,121.00 | 1,404.00 |
| 100 shares Harris Strategy Networks | 99/99/99 | 99/99/99 | 612.00 | 530.00 | 82.00 |
| 19,000 shares Hess Corp. | 2/3/2009 | 1/11/2010 | 22,982.00 | 22,565.00 | 417.00 |
| 3,000 shares Hewlett Packard | 99/99/99 | 99/99/99 | 153,226.00 | 110,378.00 | 42,848.00 |
| 670 shares Hologic Inc. | 99/99/99 | 99/99/99 | 10,896.00 | 9,715.00 | 1,181.00 |
| 625 shares Intercontinental Exchange Inc. | 99/99/99 | 2/4/2010 | 59,107.00 | 52,880.00 | 6,227.00 |
| 362 shares Labrotory Corp. Amer Hldgng | 99/99/99 | 3/24/2010 | 26,820.00 | 26,439.00 | 381.00 |
| 1,825 shares McDonald's Corp. | 99/99/99 | 99/99/99 | 118,746.00 | 109,492.00 | 9,254.00 |
| 3,100 shares Medtronic Inc. | 99/99/99 | 99/99/99 | 131,406.00 | 110,933.00 | 20,473.00 |
| 24,000 shares Merrill Lynch & Co. | 99/99/99 | 99/99/99 | 26,357.00 | 23,912.00 | 2,445.00 |
| 1,400 shares Mosaic Co. | 99/99/99 | 1/13/2010 | 86,693.00 | 65,379.00 | 21,314.00 |

| | | | | | |
|--|------------|------------|---------------------|---------------------|-------------------|
| 770 shares NRG Energy Inc | 99/99/99 | 2/2/2010 | 18,031.00 | 13,883.00 | 4,148.00 |
| 2,300 shares NYSE Euronext | 99/99/99 | 1/22/2010 | 55,087.00 | 62,563.00 | (7,476.00) |
| 300 shares NASDAQ OMX Group Inc. | 99/99/99 | 7/29/2009 | 6,160.00 | 5,886.00 | 274.00 |
| 125 shares National-Oilwell Inc. | 99/99/99 | 10/15/2009 | 5,913.00 | 4,352.00 | 1,561.00 |
| 70 shares Newfield Expl Co. | 99/99/99 | 9/23/2009 | 3,032.00 | 2,027.00 | 1,005.00 |
| 130 shares Nobile Energy Inc. | 99/99/99 | 1/15/2009 | 9,394.00 | 7,832.00 | 1,562.00 |
| 240 shares Oceaneering Intl Inc. | 99/99/99 | 99/99/99 | 11,487.00 | 10,181.00 | 1,306.00 |
| 1,500 Peabody Energy Corp | 99/99/99 | 1/13/2010 | 72,731.00 | 36,397.00 | 36,334.00 |
| 520 shares Pepsi Bottling Co. | 99/99/99 | 4/20/2009 | 15,814.00 | 13,125.00 | 2,689.00 |
| 19,000 shares Petrobras Intl Fin Co | 10/30/2009 | 1/12/2010 | 19,388.00 | 18,983.00 | 405.00 |
| 94,000 shares Proctor & Gamble | 99/99/99 | 9/16/2009 | 101,238.00 | 94,431.00 | 6,807.00 |
| 2,115 shares Robert Hald Intl Inc. Com | 99/99/99 | 99/99/99 | 62,908.00 | 57,134.00 | 5,774.00 |
| 3,370 shares Smith Intl Inc. | 99/99/99 | 99/99/99 | 50,541.00 | 32,986.00 | 17,555.00 |
| 150 shares Steel Dynamics Inc. | 99/99/99 | 6/16/2009 | 2,379.00 | 1,785.00 | 594.00 |
| 2,400 Stryker Corp. | 99/99/99 | 1/13/2010 | 132,511.00 | 99,144.00 | 33,367.00 |
| 11,000 shares Talisman Energy Inc. | 6/1/2009 | 1/11/2010 | 13,025.00 | 12,921.00 | 104.00 |
| 1,600 shares Teva Pharmaceutical | 99/99/99 | 1/13/2010 | 93,825.00 | 76,529.00 | 17,296.00 |
| 230 shares Testron Inc. | 99/99/99 | 7/29/2009 | 2,973.00 | 2,804.00 | 169.00 |
| 16,000 shares Time Warner | 7/1/2008 | 1/11/2010 | 17,768.00 | 17,523.00 | 245.00 |
| 4,000 United State Oil Fund | 99/99/99 | 6/22/2009 | 145,839.00 | 111,188.00 | 34,651.00 |
| 1,388,072 shares United States Treasury | 99/99/99 | 99/99/99 | 844,930.00 | 852,562.00 | (7,632.00) |
| 1,400 shares United States STL Corp. | 99/99/99 | 99/99/99 | 58,058.00 | 31,106.00 | 26,952.00 |
| 260 Western Digital Corp | 99/99/99 | 10/23/2009 | 9,517.00 | 5,780.00 | 3,737.00 |
| 330 shares Williams Companies, Inc. | 99/99/99 | 9/18/2009 | 5,997.00 | 4,409.00 | 1,588.00 |
| 3,000 shares Weatherford International LTD | 99/99/99 | 2/17/2010 | 45,556.00 | 53,340.00 | (7,784.00) |
| Total Short-Term Capital Gains (Losses) | | | 4,063,710.00 | 3,537,350.00 | 526,360.00 |

Long-term capital gains (losses):

| | | | | | |
|--|------------|------------|------------|------------|-------------|
| 14,000 shares AT&T | 5/13/2008 | 1/11/2010 | 14,900.00 | 14,012.00 | 888.00 |
| 61,000 shares Abbott Labs DTD | 3/3/2009 | 3/26/2010 | 64,420.00 | 60,780.00 | 3,640.00 |
| 93,000 shares AL Power Co. | 12/12/2007 | 7/7/2009 | 99,357.00 | 93,645.00 | 5,712.00 |
| 5,000 shares Altria Group | 99/99/99 | 1/19/2010 | 102,299.00 | 48,006.00 | 54,293.00 |
| 3,000 shares American Express | 99/99/99 | 99/99/99 | 97,332.00 | 108,630.00 | (11,298.00) |
| 10,000 shares Applied Materials Inc. | 99/99/99 | 1/22/2010 | 131,198.00 | 169,085.00 | (37,887.00) |
| 3,000 shares Archer Daniela Midland Co. | 99/99/99 | 7/9/2009 | 81,041.00 | 107,940.00 | (26,899.00) |
| 13,000 shares BP Capital Markets | 99/99/99 | 1/11/2010 | 13,829.00 | 12,992.00 | 837.00 |
| 3,400 shares Barrick Gold Corp | 99/99/99 | 1/13/2010 | 135,852.00 | 88,192.00 | 47,660.00 |
| 800 shares Burlington Northern Santa Fe Com | 99/99/99 | 11/3/2009 | 87,418.00 | 73,188.00 | 14,230.00 |
| 2,000 shares Caterpillar Inc. | 99/99/99 | 5/14/2009 | 72,092.00 | 128,874.00 | (56,782.00) |
| 11,000 shares Caterpillar Inc. DTD 12/5/08 | 12/5/2008 | 12/14/2009 | 23,290.00 | 18,975.00 | 4,315.00 |
| 1,500 shares Celgene Corp | 99/99/99 | 1/12/2010 | 67,908.00 | 69,555.00 | (1,647.00) |
| 8,500 shares Cisco Sys Inc | 99/99/99 | 1/12/2010 | 206,386.00 | 183,295.00 | 23,091.00 |
| 90,000 shares Credit Suisse FB | 99/99/99 | 7/7/2009 | 93,050.00 | 89,700.00 | 3,350.00 |
| 1,200 shares Deere & Co. | 99/99/99 | 99/99/99 | 50,174.00 | 35,608.00 | 14,566.00 |
| 88,000 shares Deere John Capital Corp | 12/17/2007 | 5/27/2009 | 90,842.00 | 90,236.00 | 606.00 |
| 35,000 shares John Deere Capital Corp Insd | 12/9/2008 | 1/11/2010 | 36,223.00 | 35,608.00 | 615.00 |
| 4,000 shares Devon Energy Corporation | 99/99/99 | 99/99/99 | 219,691.00 | 228,382.00 | (8,691.00) |
| 93,000 shares Dominion Res Inc. | 99/99/99 | 7/1/2009 | 94,925.00 | 89,203.00 | 5,722.00 |
| 1,000 shares Du Pont E I De Nemours | 99/99/99 | 1/22/2010 | 33,471.00 | 7,451.00 | 26,020.00 |
| 90,000 shares Duke Energy Corp | 99/99/99 | 1/11/2010 | 98,450.00 | 91,552.00 | 6,898.00 |
| 1,200 shares Exxon Mobile Corp | 99/99/99 | 1/22/2010 | 80,304.00 | 53,332.00 | 26,972.00 |
| 2,000 shares Family Dollar Stores Inc. | 99/99/99 | 5/15/2009 | 60,824.00 | 42,057.00 | 18,767.00 |
| Federal Home Loan Mortgage Corp Pool | 99/99/99 | 99/99/99 | 473,743.00 | 464,213.00 | 9,530.00 |
| 45,000 shares Federal National Mortgage Assn DTD | 3/7/2008 | 1/11/2010 | 46,151.00 | 44,052.00 | 2,099.00 |
| Federal National Mortgage Assn Pool | 99/99/99 | 99/99/99 | 863,007.00 | 839,265.00 | 23,742.00 |
| 3,100 shares Gilead Sciences Inc | 99/99/99 | 99/99/99 | 141,086.00 | 111,123.00 | 29,963.00 |
| 180,000 shares HSBC Finance Corp | 99/99/99 | 99/99/99 | 183,885.00 | 177,234.00 | 6,651.00 |
| 91,000 shares Hewlett-Packard Co. | 3/3/2008 | 9/11/2009 | 96,794.00 | 91,639.00 | 5,155.00 |
| 1,500 shares Home Dept | 99/99/99 | 1/22/2010 | 42,526.00 | 47,367.00 | (4,841.00) |
| 1,500 shares International Business Machs | 99/99/99 | 99/99/99 | 192,385.00 | 154,984.00 | 37,401.00 |
| 2,000 shares Ishares MSCI | 99/99/99 | 1/13/2010 | 114,279.00 | 130,914.00 | (16,635.00) |
| 1,000 Johnson & Johnson Com | 99/99/99 | 10/19/2009 | 61,228.00 | 71,662.00 | (10,434.00) |
| 91,000 shares Kellogg Co | 12/3/2007 | 1/11/2010 | 98,653.00 | 91,255.00 | 7,398.00 |
| 3,000 shares Eli Lilly & Co. | 99/99/99 | 10/19/2009 | 105,567.00 | 199,474.00 | (93,907.00) |
| 3,000 shares Marathon Oil Corp | 99/99/99 | 99/99/99 | 93,746.00 | 36,555.00 | 57,191.00 |

| | | | | | |
|---|------------|-----------|---------------------|---------------------|-------------------|
| 5,000 shares Market Vector Gold Miners | 99/99/99 | 1/13/2010 | 240,711.00 | 214,794.00 | 25,917.00 |
| 16,000 shares McDonalds Corp | 2/29/2008 | 1/11/2010 | 17,256.00 | 16,244.00 | 1,012.00 |
| 6,800 shares Microsoft Corp Com | 99/99/99 | 99/99/99 | 197,364.00 | 178,972.00 | 18,392.00 |
| 26,000 shares Morgan Stanley | 99/99/99 | 1/11/2010 | 27,094.00 | 25,897.00 | 1,197.00 |
| 1,600 shares Newmont Mining Corp | 99/99/99 | 1/13/2010 | 77,236.00 | 63,150.00 | 14,086.00 |
| 1,000 shares Oceaneering Intl | 99/99/99 | 99/99/99 | 48,145.00 | 28,932.00 | 19,213.00 |
| 16,000 Oracle Corp | 4/9/2008 | 1/11/2010 | 17,360.00 | 15,992.00 | 1,368.00 |
| 15,000 Pepsico Inc. | 10/24/2008 | 1/11/2010 | 18,505.00 | 16,426.00 | 2,079.00 |
| 9,000 shares Pfizer Inc | 99/99/99 | 99/99/99 | 164,698.00 | 325,670.00 | (160,972.00) |
| 6,000 Phillips Morris Intl Inc. | 99/99/99 | 1/19/2010 | 299,151.00 | 130,988.00 | 168,163.00 |
| 18,947 shares Pioneer Mid Cap Value Fund Cl A | 99/99/99 | 99/99/99 | 364,301.00 | 500,000.00 | (135,699.00) |
| 600 shares Proctor & Gamble | 99/99/99 | 2/17/2010 | 37,728.00 | 29,863.00 | 7,865.00 |
| 5,600 shares Questar Corp | 99/99/99 | 1/22/2010 | 251,108.00 | 40,227.00 | 210,881.00 |
| 69,333 shares Regions FDS Aggr CL A | 99/99/99 | 4/16/2009 | 744,645.00 | 1,000,000.00 | (255,355.00) |
| 3,000 shares SPDR Gold Trust | 99/99/99 | 99/99/99 | 321,380.00 | 174,390.00 | 146,990.00 |
| 4,000 shares Scana Corp New | 99/99/99 | 1/22/2010 | 145,479.00 | 101,758.00 | 43,721.00 |
| 250 shares Schlumberger Ltd | 99/99/99 | 2/17/2010 | 16,432.00 | 7,083.00 | 9,349.00 |
| 3,000 shares Smith Intl Inc | 99/99/99 | 99/99/99 | 71,252.00 | 55,610.00 | 15,642.00 |
| 4,000 shares Southern Co | 99/99/99 | 1/22/2010 | 131,296.00 | 33,415.00 | 97,881.00 |
| 2,000 shares Target Corp Com | 99/99/99 | 1/22/2010 | 101,859.00 | 85,541.00 | 16,318.00 |
| 108,000 shares Target Corp Callable Bond | 99/99/99 | 99/99/99 | 111,603.00 | 105,654.00 | 5,949.00 |
| 12,000 TN Valley Authority Ser A Putable | 99/99/99 | 1/11/2010 | 13,508.00 | 12,446.00 | 1,062.00 |
| 2,800 shares Texas Instruments Inc | 99/99/99 | 1/13/2010 | 69,859.00 | 62,988.00 | 6,871.00 |
| 3,000 Torchmark Corp | 99/99/99 | 1/11/2010 | 138,050.00 | 103,485.00 | 34,565.00 |
| 61,000 units U.S. Treasury Note | 2/15/2001 | 99/99/99 | 64,135.00 | 61,425.00 | 2,710.00 |
| 126,000 units U.S. Treasury N/B | 11/15/2002 | 11/9/2009 | 137,675.00 | 124,996.00 | 12,679.00 |
| 924,400 units U.S. Treasury Bnd | 99/99/99 | 99/99/99 | 677,618.00 | 693,652.00 | (16,034.00) |
| 1,400 shares Verizon Communications Com | 99/99/99 | 1/22/2010 | 43,121.00 | 52,980.00 | (9,859.00) |
| 16,000 shares Verizon Communications | 99/99/99 | 1/11/2010 | 17,261.00 | 16,120.00 | 1,141.00 |
| 12,000 shares Wachovia Corp | 6/8/2007 | 1/11/2010 | 12,631.00 | 12,111.00 | 520.00 |
| 3,000 shares Wellpoint Inc. | 99/99/99 | 99/99/99 | 170,759.00 | 231,540.00 | (60,781.00) |
| 2,000 shares Transocean Ltd | 99/99/99 | 1/22/2010 | 174,874.00 | 115,775.00 | 59,099.00 |
| Total Long-Term Capital Gains (Losses) | | | 9,492,420.00 | 9,038,159.00 | 454,261.00 |

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

| | | |
|---------------|---|--------------------------------|
| Type or print | Name of Exempt Organization | Employer identification number |
| | E. A. ROBERTS CHARITABLE TRUST #1255000599 | 63-6215720 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 2527 | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions MOBILE, AL 36622 | |

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

REGIONS MORGAN KEEGAN TRUST

- The books are in the care of ▶ **11 NORTH WATER STREET, 25TH FLOOR - MOBILE, AL 36602**

Telephone No. ▶ **(251) 690-1411**

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **NOVEMBER 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year _____ or▶ ☒ tax year beginning **APR 1, 2009**, and ending **MAR 31, 2010**

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|--|-----------|----|----------------|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ | 8,619. |
| b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 10,663. |
| c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ | 0. |

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

| | | |
|---|---|---|
| Type or print File by the due date for filing your return. See instructions. | Name of Exempt Organization E. A. ROBERTS CHARITABLE TRUST #1255000599 | Employer identification number 63-6215720 |
| | Number, street, and room or suite no. If a P.O. box, see instructions P.O. BOX 2886 | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. MOBILE, AL 36652 | |

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

REGIONS MORGAN KEEGAN TRUST

- The books are in the care of ► **11 NORTH WATER STREET, 25TH FLOOR - MOBILE, AL 36602**
Telephone No ► **(251) 690-1411** FAX No ► _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **NOVEMBER 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for.

► ☐ calendar year _____ or
► ☒ tax year beginning **APR 1, 2009**, and ending **MAR 31, 2010**

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|--|-----------|----|---------------|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ | 8,619. |
| b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 9,463. |
| c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ | 0. |

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions