Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning 04-01 , 2009, and ending 03-31 , 20 10

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization UNITED WAY OF CHARLOTTE COUNTY INC

Doing Business As

Number and street (or P O box if mail is not delivered to street address) 17831 MURDOCK CR — Room/suite

City or town, state or country, and ZIP + 4 PORT CHARLOTTE, FL 33948

D Employer identification no. 59-1149995

E Telephone number (941) 627-3539

G Gross receipts $ 1,764,442

F Name and address of principal officer

H(a) Is this a group return for affiliates? [ ] Yes [X] No

H(b) Are all affiliates included? [ ] Yes [ ] No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶ 5

I Tax-exempt status [X] 501(c) (3) ◀ (insert no) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.UNITEDWAYCCFL.ORG

K Form of organization [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation 1974 **M** State of legal domicile FL

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities THE ORGANIZATION'S MISSION IS TO LEAD, INSPIRE AND ENCOURAGE ALL INDIVIDUALS AND BUSINESSES TO CONTRIBUTE ANNUALLY, USING THE POWER OF COLLECTIVE PHILANTHROPY TO IMPROVE THE QUALITY OF LIFE IN CHARLOTTE COUNTY.

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	20
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	20
5	Total number of employees (Part V, line 2a)	5	8
6	Total number of volunteers (estimate if necessary)	6	220
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	1,458,529	1,629,023
	9	Program service revenue (Part VIII, line 2g)		0
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	(83,009)	(7,095)
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	5,832	8,524
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,381,352	1,630,452
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	1,117,344	1,225,594
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	260,523	192,494
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 55,634		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	285,865	293,246
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	1,663,732	1,711,334
	19	Revenue less expenses Subtract line 18 from line 12	(282,380)	(80,882)
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	2,036,494	2,153,470
	21	Total liabilities (Part X, line 26)	1,234,409	1,286,004
	22	Net assets or fund balances Subtract line 21 from line 20	802,085	867,466

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Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer — Date 7/27/10

CECY COWGER GLENN

Type or print name and title

Paid Preparer's Use Only

Preparer's signature — Date 7/27/10 — Check if self-employed ▶ [ ] — Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4: Mark H Knauf PA, 2230 S McCall Road, Englewood, FL 34224 — EIN ▶ — Phone no ▶ 941-474-5450

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

SCANNED SEP 1 6 2010

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission

THE ORGANIZATION'S MISSION IS TO LEAD, INSPIRE AND ENCOURAGE ALL INDIVIDUALS AND BUSINESSES TO CONTRIBUTE ANNUALLY, USING THE POWER OF COLLECTIVE PHILANTHROPY TO IMPROVE THE QUALITY OF LIFE IN CHARLOTTE COUNTY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 1,531,303 including grants of $ 1,183,159) (Revenue $)

See SERVICES page for a description of this program service.

4b (Code) (Expenses $ including grants of $) (Revenue $)

4c (Code) (Expenses $ including grants of $) (Revenue $)

4d Other program services (Describe in Schedule O.)
(Expenses $ including grants of $) (Revenue $)

4e Total program service expenses ▶ 1,531,303

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4		X
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	X	
11	Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable	11	X	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI			
	• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII			
	• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX			
	• Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.			
12	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII	12	X	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional	12A		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		X
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		X

Part IV Checklist of Required Schedules (continued)

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	X	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23		X
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? If "Yes," complete Schedule M	29	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33		X
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34		X
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U S Information Returns Enter -0- if not applicable	1a	0			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	8			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a		X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O			3b		
4a	At any time during the calendar year did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		X
b	If "Yes," enter the name of the foreign country ▶					
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		X
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		X
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		X
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		X
b	Did the organization make a distribution to a donor donor advisor, or related person?			9b		X
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions.

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a	20		
b	Enter the number of voting members that are independent	1b	20		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2			X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3			X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4			X
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5			X
6	Does the organization have members or stockholders?	6			X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a			X
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b			X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?	8a		X	
b	Each committee with authority to act on behalf of the governing body?	8b		X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9			X

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	X	
11a	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	X	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	X	
13	Does the organization have a written whistleblower policy?	13	X	
14	Does the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

[X] Own website [X] Another's website [X] Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ UNITED WAY OF CHARLOTTE COUNTY INC (941)627-3539

17831 MURDOCK CR PORT CHARLOTTE, FL 33948

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order. individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

[X] Check this box if the organization did not compensate any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
DR PATRICIA LAND DIRECTOR	1.00	X						0	0	0
DR DAVID KLEIN PAST PRESIDENT	1.00	X								
KATHY SILVERBERG DIRECTOR	1.00	X								
DON ASHLEY TREASURER	1.00	X		X				0	0	0
MELISSA DOYLE VICE PRESIDENT	1.00	X		X				0	0	0
DR DAVID GAYLER PRESIDENT	1.00	X		X				0	0	0
JIM HARTSHORNE DIRECTOR	1.00	X								
MARCIA LOUDEN DIRECTOR	1.00	X								
JULIE MATHIS DIRECTOR	1.00	X								
MAURA MATZKO DIRECTOR	1.00	X								
CHARLOTTE MILLER DIRECTOR	1.00	X								
CHRIS PORTER DIRECTOR	1.00	X								
BRETT TESSIER VICE PRESIDENT	1.00	X		X				0	0	0
STEVEN J VITO PRESIDENT ELECT	1.00	X		X				0	0	0
CHRIS WENZEL DIRECTOR	1.00	X								
JOHN HALL DIRECTOR	1.00	X								

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
HOWARD KUNIK DIRECTOR	1.00	X								
TOM RICE DIRECTOR	1.00	X								
KEVIN RUSSELL DIRECTOR	1.00	X								
KRIS WISHARD DIRECTOR	1.00	X								
UNITED WAY OF CHARLOTTE COUNTY INC				X				0	0	0
1b Total ▶								0	0	0

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 0

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	3		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? If "Yes," complete Schedule J for such individual	4		X
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

Part VIII Statement of Revenue

Section	Line	Description	Box	Amount	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e	650,555				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	978,468				
	g	Noncash contributions included in lines 1a-1f $		106,623				
	h	Total. Add lines 1a-1f ▶			1,629,023			
Program Service Revenue			Business Code					
	2a							
	b							
	c							
	d							
	e							
	f	All other program service revenue						
	g	Total. Add lines 2a-2f ▶						
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶			21,125	21,125		
	4	Income from investment of tax-exempt bond proceeds ▶						
	5	Royalties ▶						
			(i) Real	(ii) Personal				
	6a	Gross Rents	4,677					
	b	Less rental expenses						
	c	Rental income or (loss)	4,677					
	d	Net rental income or (loss) ▶			4,677	4,677		
			(i) Securities	(ii) Other				
	7a	Gross amount from sales of assets other than inventory	105,770					
	b	Less cost or other basis and sales expenses	133,990					
	c	Gain or (loss)	(28,220)					
	d	Net gain or (loss) ▶			(28,220)	(28,220)		
	8a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from fundraising events ▶						
	9a	Gross income from gaming activities See Part IV, line 19	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from gaming activities ▶						
	10a	Gross sales of inventory, less returns and allowances	a					
	b	Less cost of goods sold	b					
	c	Net income or (loss) from sales of inventory ▶						
		Miscellaneous Revenue		Business Code				
	11a	OTHER INCOME			3,847	3,847		
	b							
	c							
	d	All other revenue						
	e	Total. Add lines 11a-11d ▶			3,847			
	12	Total revenue. See instructions ▶			1,630,452	1,429	0	0

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

	Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV line 21	1,172,420	1,172,420		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	53,174	53,174		
3	Grants and other assistance to governments, organizations, and individuals outside the U.S See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	115,832	63,708	28,958	23,166
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	35,413	19,477	8,854	7,082
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9	Other employee benefits	29,744	16,359	7,436	5,949
10	Payroll taxes	11,505	6,328	2,876	2,301
11	Fees for services (non-employees)				
a	Management				
b	Legal				
c	Accounting	13,295	3,324	9,971	
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees				
g	Other				
12	Advertising and promotion	8,539	853	3,843	3,843
13	Office expenses	19,359	3,872	13,551	1,936
14	Information technology				
15	Royalties				
16	Occupancy	21,812	15,269	5,453	1,090
17	Travel	8,540		8,540	
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings				
20	Interest	33,422	23,395	8,356	1,671
21	Payments to affiliates	8,235		8,235	
22	Depreciation, depletion, and amortization	21,794	15,256	5,448	1,090
23	Insurance	2,448	1,346	612	490
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	PROGRAM SERVICE EXPENSES	111,478	111,478		
b	POSTAGE AND PRINTING	23,762	16,634	2,376	4,752
c	MISCELLANEOUS EXPENSES	7,366	5,156	1,842	368
d	EQUIPMENT RENTAL	3,400	2,040	680	680
e	BANK FEES	1,176		1,176	
f	All other expenses	8,620	1,214	6,190	1,216
25	**Total functional expenses.** Add lines 1 through 24f	1,711,334	1,531,303	124,397	55,634
26	**Joint Costs.** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

			(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing	48,087	1	262,010
	2	Savings and temporary cash investments	242,757	2	52,911
	3	Pledges and grants receivable, net	515,893	3	531,090
	4	Accounts receivable, net		4	
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	3,224	9	4,279
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D — 10a: 718,222			
	b	Less accumulated depreciation — 10b: 57,262	679,192	10c	660,960
	11	Investments - publicly traded securities	547,341	11	642,220
	12	Investments - other securities See Part IV line 11		12	
	13	Investments - program-related See Part IV line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV line 11		15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	2,036,494	16	2,153,470
Liabilities	17	Accounts payable and accrued expenses	4,507	17	5,166
	18	Grants payable	295,240	18	324,537
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	500,536	23	487,436
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities Complete Part X of Schedule D	434,126	25	468,865
	26	**Total liabilities.** Add lines 17 through 25	1,234,409	26	1,286,004
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	692,054	27	717,279
	28	Temporarily restricted net assets	110,031	28	150,187
	29	Permanently restricted net assets		29	
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	802,085	33	867,466
	34	Total liabilities and net assets/fund balances	2,036,494	34	2,153,470

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990. ☐ Cash ☒ Accrual ☐ Other ______ If the organization changed its methods of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
UNITED WAY OF CHARLOTTE COUNTY INC

Employer identification number
59-1149995

Part I Reason for Public Charity Status (All organizations must complete this part) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III-Functionally integrated d ☐ Type III-Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)		
(ii) A family member of a person described in (i) above?	11g(ii)		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	No	(v) Did you notify the organization in col (i) of your support? Yes	No	(vi) Is the organization in col (i) organized in the U S ? Yes	No	(vii) Amount of support
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	1,484,443	1,282,742	946,291	1,458,529	1,567,382	6,739,387
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	1,484,443	1,282,742	946,291	1,458,529	1,567,382	6,739,387
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from ln 4						6,739,387

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	1,484,443	1,282,742	946,291	1,458,529	1,567,382	6,739,387
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	20,180	30,122	42,461	56,993	21,125	170,881
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 **Total support.** Add lines 7 through 10						6,910,268

12 Gross receipts from related activities, etc (see instructions) | 12 |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) | 14 | 97.53 %

15 Public support percentage from 2008 Schedule A, Part II, line 14 | 15 | 97.12 %

16a **33 1/3% support test - 2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test - 2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test - 2009.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or bus under sec 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16	Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2009** (line 10c, column (f) divided by line 13, column (f))	17	%
18	Investment income percentage from **2008** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private Foundation:** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ ▶ See separate instructions.

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2009
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If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III.

Name of organization	Employer identification number
UNITED WAY OF CHARLOTTE COUNTY INC	59-1149995

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
2 Political expenditures ▶ $
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☒ No
4a Was a correction made? ☐ Yes ☒ No
b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $
3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $
4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part I-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ▶ ☐ if the filing organization belongs to an affiliated group

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

	Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influence a legislative body (direct lobbying)		
c	Total lobbying expenditures (add lines 1a and 1b)		
d	Other exempt purpose expenditures		
e	Total exempt purpose expenditures (add lines 1c and 1d)		
f	Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is :
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

		(a)	(b)
g	Grassroots nontaxable amount (enter 25% of line 1f)		
h	Subtract line 1g from line 1a. If zero or less, enter -0-		
i	Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ Yes ☐ No

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		X	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		X	
c	Media advertisements?		X	
d	Mailings to members, legislators, or the public?		X	
e	Publications, or published or broadcast statements?		X	
f	Grants to other organizations for lobbying purposes?		X	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?		X	
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means?		X	
i	Other activities? If "Yes," describe in Part IV		X	
j	Total Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4; Part I-C, line 5, and Part II-B, line 1i.
Also, complete this part for any additional information

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 1, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Name of the organization: UNITED WAY OF CHARLOTTE COUNTY INC

Employer identification number: 59-1149995

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" to Form 990, Part IV, line 6

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements.
Complete if the organization answered "Yes" to Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year
▶ ______

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year
▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition

b ☐ Scholarly research

c ☐ Preservation for future generations

d ☐ Loan or exchange programs

e ☐ Other ______

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements.

Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds.

Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	547,341				
b Contributions					
c Net investment earnings, gains, and losses	124,879				
d Grants or scholarships					
e Other expenditures for facilities and programs	30,000				
f Administrative expenses					
g End of year balance	642,220				

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ 100.00 %

b Permanent endowment ▶ ______ %

c Term endowment ▶ ______ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		X
(ii) related organizations	3a(ii)		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments - Land, Buildings, and Equipment.

See Form 990, Part X, line 10

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings		671,963	30,515	641,448
c Leasehold improvements		9,700	2,771	6,929
d Equipment		36,559	23,976	12,583
e Other				
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) ▶				660,960

Part VII Investments - Other Securities. See Form 990, Part X, line 12

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total (Column (b) must equal Form 990, Part X col (B) line 12) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total (Column (b) must equal Form 990, Part X col (B) line 13) ▶		

Part IX Other Assets. See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. (Column (b) must equal Form 990, Part X, col (B) line 15) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25

1 (a) Description of liability	(b) Amount
Federal income taxes	
ACCRUED AGENCY ALLOCATIONS	468,865
Total (Column (b) must equal Form 990, Part X col (B) line 25) ▶	468,865

2. FIN 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,630,452
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	1,711,334
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	(80,882)
4	Net unrealized gains (losses) on investments	4	146,262
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 through 8	9	146,262
10	Excess or (deficit) for the year per audited financial statements Combine lines 3 and 9	10	65,380

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	1,715,073
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	146,262		
b	Donated services and use of facilities	2b	(61,641)		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines 2a through 2d			2e	84,621
3	Subtract line 2e from line 1			3	1,630,452
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines 4a and 4b			4c	
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)			5	1,630,452

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	1,649,693
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a	(61,641)		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines 2a through 2d			2e	(61,641)
3	Subtract line 2e from line 1			3	1,711,334
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines 4a and 4b			4c	
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)			5	1,711,334

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: UNITED WAY OF CHARLOTTE COUNTY INC

Employer identification number: 59-1149995

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000 Check this box if no one recipient received more than $5,000 Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ [ ]

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALZHEIMER'S ASSOCIATION 4075 TAMIAMI TRAIL 33952	59-2378435	3	17,411				CAREGIVERS
AMERICAN RED CROSS 866 TAMIAMI TRAIL 33953	53-0196605	3	21,041				DISASTER SVC
BIG BROTHERS BIG SISTERS 101 WEST VENICE AVE 34285	59-1361826	3	20,208				MENTORING PG
BOY SCOUTS OF SW FLORIDA 1801 BOY SCOUT DR 33907	59-1150488	3	5,500				DIRECT SVCS
CARE PO BOX 510234 33951	59-2435059	3	114,605				CRIME VICTIM
CENTER FOR INDEPENDENT LIVING 2321 BRUNER LANE 33912	65-1078816	3	5,417				CLUB HOUSE &
CHARLOTTE BEHAVIORAL HEALTH CA 1700A EDUCATION AVE 33950	59-1234922	3	42,917				HEALTHY FAM
CHARLOTTE COUNTY 4H FOUNDATION 25550 HARBORVIEW RD 33980	59-2863979	3	9,317				LIFE SKILLS
CHARLOTTE CNTY HEALTHY START C 17940 TOLEDO BLADE B 33948	65-0727055	3	14,758				PRENATAL CAR
CHARLOTTE CNTY HOMELESS COALIT PO BOX 380157 33938	65-0139525	3	145,750				EMERG SHELTE
CHARLOTTE LOCAL EDUCATION FD 1445 EDUCATION WAY 33952	59-2592844	3	8,756				LITERACY PGM
CHILDREN'S HOME SOCIETY 1940 MARAVILLA AVE 33901	59-0192430	3	18,320				IND LIVING
CHILDREN'S NETWORK OF SW FL 2232 ALTAMONT AVE 33901	20-4968228	3	5,833				RELA STIPEND

2 Enter total number of section 501(c)(3) and government organizations ▶ 35

3 Enter total number of other organizations ▶

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22
Use Part IV and Schedule I-1 (Form 990) if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
SEASON OF SHARING	165	53,000			

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information

01. Monitoring procedures (Part I, line 2)

PROCEDURES FOR MONITORING THE USE OF GRANTS. THE UNITED WAY REQUIRES REGULAR QUARTERLY REPORTING FROM THE RECIPIENT ORGANIZATIONS WITH DATA DETAILING HOW THE GRANT FUNDS ARE BEING SPENT. A COMPLETED ANNUAL REPORTING FORM IS SUBMITTED ALONG WITH FUNDING REQUESTS, IF ANY. THESE ARE PRESENTED TO A PANEL OF LOCAL COMMUNITY VOLUNTEERS FROM ALL WALKS OF LIVE WHO PRIORITIZE THE REQUESTS IN HARMONY WITH THE RESOURCES AVAILABLE AND THE COMMUNITY'S MOST PRESSING NEEDS.

SCHEDULE I-1
(Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)

▶ Attach to Form 990 to list additional information for
Schedule I (Form 990), Part II or Part III.

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the organization
UNITED WAY OF CHARLOTTE COUNTY INC

Employer identification number
59-1149995

Part I Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CIVIL AIR PATROL 2800 AIRPORT RD 33982	53-6016171	3	8,083				CADET PRGRM
COMM HAVEN FOR ADULTS & CHILDR 4405 DESOTO RD 34235	59-1305522	3	7,925				COMM SUPPORT
CROSSROADS WILDERNESS INSTIT 45991 BERMONT RD 33982	59-2661387	3	27,000				SUBST ABUSE
DRUG FREE CHARLOTTE COUNTY 1445 EDUCATION WAY 33948	02-0683619	3	69,916				SOCIAL NORMS
EARLY LEARNING COALITION 3028 CARING WAY 33952	65-1144775	3	61,900				EARLY CARE &
EDISON STATE COLLEDGE FOUND 26300 AIRPORT RD 33950	59-6173638	3	7,500				ADULT RETRAI
GIRL SCOUTS GULFCOAST COUNCIL 4780 CATTLEMAN RD 34233	59-0760212	3	7,992				BUILDING LEA
HARRY CHAPIN FOOD BANKS 2126 ALICIA ST 33901	59-2332120	3	12,942				FOOD RESCUE
NEW OPERATION COOPER ST PO BOX 510963 33951	65-0329777	3	148,744				STUDY PARTNE
SENIOR FRIENDSHIP CENTERS 1888 BROTHER GEENAN 34236	59-1522614	3	10,375				FREINDSHIP
VIRGINIA B ANDES COMM CLINIC PO BOX 381193 33938	65-0958642	3	95,366				CLINIC PHARM
THE BILL REILLY CENTER EXCHANG 3440 DEPEW AVE 33952	65-0047150	3	12,000				SUPERVISE VI
TIDWELL HOSPICE AND PALLIATIVE 5955 RAND BLVD 34238	59-1911861	3	7,000				FOOTPRINTS C
TIME OUT RESPITE CARE 24246 HARBORVIEW RD 33980	65-0155190	3	22,500				RESPITE CARE
VISUALLY IMPAIRED PERSONS 23312 HARPER AVE 33980	59-2857089	3	12,250				IND LIVING
VOICES FOR KIDS OF SW FLORIDA 13180 NORTH CLEVELAN 33903	59-2296529	3	5,083				CHILDRENS PG

SCHEDULE I-1
(Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)

▶ Attach to Form 990 to list additional information for Schedule I (Form 990), Part II or Part III.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: UNITED WAY OF CHARLOTTE COUNTY INC

Employer identification number: 59-1149995

Part I Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHARLOTTE COUNTY YMCA 1777 TAMIAMI TRAIL 33948	23-7193663	3	53,983				
ENGLEWOOD FAMILY YMCA PO BOX 1823 34295	59-1629660	3	44,766				SCHOOL AGE C
BOYS & GIRLS CLUBS 17831 MURDOCK CR SUI 33948	65-0725247	3	10,166				SCHOOL AGE C
BIG BROTHERS BIG SISTERS CHARL 1441 TAMIAMI TRAIL 33948	59-1408072	3	22,541				

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶ Complete if the organizations answered "Yes" on Form 990, Part IV lines 29 or 30.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: UNITED WAY OF CHARLOTTE COUNTY INC

Employer identification number: 59-1149995

Part I Types of Property

		(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1	Art-Works of art				
2	Art-Historical treasures				
3	Art-Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles				
7	Boats and planes				
8	Intellectual property				
9	Securities-Publicly traded				
10	Securities-Closely held stock				
11	Securities-Partnership, LLC, or trust interests				
12	Securities-Miscellaneous				
13	Qualified conservation contribution - Historic structures				
14	Qualified conservation contribution - Other				
15	Real estate-Residential				
16	Real estate-Commercial				
17	Real estate-Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ (DONATED SE)	X	4,692	95,013	PER IRC
26	Other ▶ (DONATED PR)	X	1	5,395	PER CONTRIBUTOR
27	Other ▶ (ADVERTISIN)	X	2	6,215	COST
28	Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV Donee Acknowledgement . . . 29

			Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		X
b	If "Yes," describe the arrangement in Part II			
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	X	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		X
b	If "Yes," describe in Part II			
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33 Also complete this part for any additional information.

SEE ATTACHED SCHEDULE M SUPPLEMENTAL INFORMATION

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: UNITED WAY OF CHARLOTTE COUNTY INC

Employer identification number: 59-1149995

01. Form 990 governing body review (Part VI, line 11)

MANAGEMENT HAS PROVIDED A COPY OF THIS FORM 990 IN ITS ENTIRETY TO THE FULL BOARD AT ITS AUGUST 11, 2010 MONTHLY BOARD MEETING FOR APPROVAL PRIOR TO SIGNING AND MAILING.

02. Conflict of interest policy compliance (Part VI, line 12c)

SEE ATTACHED STATEMENT FORM 990, PART VI LINE 12C - ENFORCEMENT OF CONFLICTS POLICY

03. CEO, executive director, top management comp (Part VI, line 15a)

POSITION SUMMARY: THE ROLE OF THE CHIEF EXECUTIVE OFFICER (CEO) IS TO ASSIST THE BOARD OF DIRECTORS IN THE FULFILLMENT OF THE ORGANIZATION'S MISSION AND IS RESPONSIBLE FOR ALL ADMINISTRATIVE, FUNDRAISING, FUND DISTRIBUTION OPERATIONS, AND STAKEHOLDER RELATIONSHIPS FOR THE ENTIRE ORGANIZATION UNDER THE DIRECTION OF THE BOARD OF DIRECTORS. ANNUALLY, ALL UNITED WAY OF CHARLOTTE COUNTY BOARD MEMBERS COMPLETE THE ANNUAL PERFORMANCE APPRAISAL AND RETURN THE FORM TO THE BOARD PRESIDENT. THE BOARD PRESIDENT REVIEWS THE APPRAISALS WITH THE EXECUTIVE COMMITTEE. FOR DETERMINING SALARY LEVEL AND MERIT PAY; INFORMATION FROM THE UNITED WAY OF AMERICA, SOUTHWEST FLORIDA AND LOCAL NONPROFIT ORGANIZATIONS COMPENSATION PACKAGES ARE USED FOR COMPARISON SAKE.

04. Other officer or key employee compensation (Part VI, line 15b

KEY STAFF IS REVIEWED ANNUALLY BY THE CHIEF EXECUTIVE OFFICER WITH COMPENSATION PACKAGES, INCLUDING COLA AND MERIT PAY INCREASES, DETERMINED THROUGH COMPARABLE POSITIONS THROUGHOUT THE UNITED WAY SYSTEM AND SOUTHWEST FLORIDA NONPROFIT ORGANIZATIONS.

05. Governing documents, etc, available to public (Part VI, line 19)

GOVERNING DOCUMENTS ARE AVAILABLE AT THE OFFICE AND POSTED ON THE ORGANIZATION'S WEB PAGE.

SCHEDULE M – SUPPLEMENTAL INFORMATION
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

United Way of Charlotte County
Solicitation and Acceptance of Gifts Policy

Purpose and Responsibility

The guidelines presented in this document have been established to:

- Ensure that informed and consistent decisions are made on the acceptance of all gifts and fund raising initiatives
- Ensure that appropriate administrative, legal, and accounting practices and procedures are followed and applied consistently from year to year
- Ensure equitable relations with all donors
- Ensure that the public image of the organization is maintained or even strengthened as a result of its gift acceptance policies and practices

The Board of Directors will approve all policies relating to the organization's solicitation and gift acceptance program. The committees within the organization involved with gift solicitation will advise the Chief Executive Officer (CEO) and Board of Directors as appropriate. The CEO, or his/her designee, will manage the use of volunteer support in gift solicitation and acceptance, as circumstances require.

The CEO and the Resource Development Division are responsible for all gift solicitations or fund raising initiatives and for ensuring the integrity of the policy/process. Gifts, however, may only be solicited or accepted with the CEO's knowledge and approval. Only the CEO is authorized to negotiate and execute gift acceptance agreements.

Gift Solicitation and Donor Relations

United Way of Charlotte County is committed to serving and protecting the best interests of the donor in the gift solicitation, planning and execution process. United Way of Charlotte County does this by:

- Encouraging donors to explore all aspects, opportunities, costs and alternatives with regard to gift planning decisions.
- Maintaining confidentiality throughout the gift planning process and only sharing pertinent information to the extent it is necessary with professional counsel, United Way staff and volunteers.
- Refraining from releasing information that is not public without the express written permission of the donor.
- Encouraging donors to seek independent professional counsel to represent their interests.

SCHEDULE M – SUPPLEMENTAL INFORMATION CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

Additionally, all staff, volunteers, and members of the United Way of Charlotte County Board of Directors will conduct themselves in accordance to the United Way of Charlotte County Code of Ethics.

- Staff and volunteers will inform, guide and otherwise assist donors who wish to support United Way of Charlotte County activities but in no way will they pressure or unduly persuade them.

- All information concerning prospective donors, including names and addresses, names of beneficiaries, nature and value of estates, amounts of provisions, etc., will be kept strictly confidential by staff, volunteers and members of the Board of Directors unless a donor grants permission to use selective information for purposes of referral, testimonial or example.

- Donor requests to remain anonymous, to have their names removed from mailing lists, or to restrict solicitations will be honored.

- United Way of Charlotte County staff or volunteers will not enter into any transaction that could form the basis of a conflict of interest

Before completion of a final gift agreement, the donor will be advised in writing to consult with his/her own attorney or accountant or professional advisor on matters relating to the tax and estate-planning aspects of the gift agreement.

General Policy Statements

United Way of Charlotte County only solicits and accepts gifts that are consistent with its mission and goals, support its program service priorities and do not conflict with its core values.

United Way of Charlotte County will solicit and accept contributions from any individual, company or organization, including not-for-profits, foundations, governmental and educational organizations, so long as the source of the gift does not represent a conflict with United Way of Charlotte County's mission, its core values and does not cause unfavorable perceptions among the organization's constituents.

United Way of Charlotte County will not participate in any cause-related marketing ventures. The Board of Directors must approve exceptions to this policy.

United Way of Charlotte County will not accept any gift that violates Internal Revenue Code requirements or any other federal, state or local laws.

SCHEDULE M – SUPPLEMENTAL INFORMATION CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

United Way of Charlotte County will not accept any gift that would improperly inure to the benefit of any individual or in a manner that would jeopardize the tax-exempt status of United Way of Charlotte County.

United Way of Charlotte County will not accept any gift that would result in the incurrence of excessive expense or administrative effort.

United Way of Charlotte County will value and receipt all gifts in accordance to all applicable federal, state and local laws.

United Way of Charlotte County will seek professional counsel in the exploration and execution of all planned gifts as required.

Gift Acceptance Guidelines by Type of Gift

Outright gifts of cash, checks

Outright gifts of cash will be accepted unless the origin of the cash is in question.

Life Insurance

The United Way of Charlotte County Board of Directors will consider the acceptance of life insurance gifts on a case-by-case basis. However, they generally will be restricted to paid-up or self-sustaining policies. Donors can name United Way of Charlotte County or the United Way of Charlotte County Endowment Fund as beneficiary of their policies.

Retirement Plans

Gifts of outright cash, marketable securities, closely held stock or personal property held in retirement accounts must adhere to the policies for those types of gifts.

Publicly Traded Securities

Titles of securities deemed to be readily transferable will be accepted. Securities generally will be liquidated upon receipt. The Board of Directors on a case-by-case basis will review any limitations on the transfer of warrants, stock options and stock appreciation rights.

Closely Held Stock.

Gifts of non-publicly traded securities (such as closely held stock, partnership interests or limited liability company units) may be accepted only after a thorough review by the Board of Directors and by such volunteers and/or professionals as the CEO and the Board deem appropriate.

SCHEDULE M – SUPPLEMENTAL INFORMATION CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

As applicable, the following criteria must be met in order for United Way of Charlotte County to approve and/or accept gifts of non-publicly traded securities:

- There must be a written donative instrument indicating the donor's intention to make the gift and a statement of its purpose.
- The donor must provide financial/valuation information on the stock, including appraisals and/or statements of value and information on potential liabilities.
- Copies of any governing instruments and agreements restricting transferability must be provided as well as information on other holdings.
- The donor must properly assign the ownership of the securities to United Way of Charlotte County.

Gifts of securities that are not generally accepted include the following:

- Securities that would create a liability.
- Securities that by their nature may not be assigned (such as Series E Savings Bonds).
- Securities that on investigation have no apparent value.

Real Estate

For the purposes of these policy guidelines, real estate will be defined to include land and anything permanently affixed to the land that is donated or bequeathed to United Way of Charlotte County regardless of type, location(s) or restricted use of the funds to be derived there from.

All gifts of real estate must be evaluated and inspected by an authorized representative of United Way of Charlotte County and will be subject to approval by the Board of Directors prior to acceptance.

Gifts of real estate presented to the United Way of Charlotte County must meet all city, county, state and federal environmental statutes regarding hazardous or toxic waste conditions. In addition, all gifts of real estate must be free of city; county, state or federal restrictions covering threatened or endangered species or other limiting regulations regarding future land use.

The property may be subject to liens and require interaction with financial institutions. United Way of Charlotte County will obtain the title features for review so as to limit any possible future liability. In addition, there may be pre-conditions that exist on a particular real estate title and all potential liens must be identified to be assured that the organization has proof of a clean transfer of title. An evaluation of the return expected from a gift of real estate will include but not be limited to such factors as income potential, development characteristics, type of property interest, holding costs, management requirements, holding period and location, restrictions of sale or existing easements.

SCHEDULE M – SUPPLEMENTAL INFORMATION CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

The Board of Directors will accept only real estate which (a) can be used by the United Way of Charlotte County or one of its supported organizations in furtherance of the United Way of Charlotte County's or the organization's charitable purpose; or, (b) can be sold within a reasonable period of time.

Prior to acceptance, the following information should be provided by the donor and reviewed by the appropriate volunteer committee and/or professionals as determined by the CEO:

- Map showing location of property.
- Legal description of property.
- Proof of ownership (deed).
- Survey of Subject property.
- List of improvements.
- Copies of current leases, if any.
- List of encumbrances, including deed restrictions or covenants, liens and any current expenses.
- Copy of current year's tax bill.
- Proof of payment of taxes and association fees, if any.
- Copy of title policy or a recent title commitment, including copies of all documents shown as exceptions to title on the report.
- Recent appraisal or other acceptable valuations, which will be attached to the Donor's IRS Form 8283.
- A written statement from donor identifying any known waste disposal sites or spills of hazardous waste material on the property or a statement to the contrary.
- Written statement from the donor outlining purpose of gift and any gift restrictions.
- Environmental Impact Study – Level 1 Environmental Impact Study and Audit and, should it be necessary, a Level 2 Study and Audit, with all costs to be borne by the Donor.

Tangible Personal Property

Potential contributions include art collections, antiques, jewelry, airplanes, automobiles, boats and other vehicles. The property will be reviewed for any lien or collateral claims against it. Arrangements for satisfaction of any such claims must be made prior to acceptance of the gift.

The valuation and marketability of tangible personal property gifts will be reviewed and determined on a case-by-case basis prior to gift acceptance by the CEO and the Board of Directors.

SCHEDULE M – SUPPLEMENTAL INFORMATION CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

Gift Acceptance Guidelines by Form of Gift

Charitable Trusts

Cash and marketable securities are preferred assets for funding charitable trusts. Real estate may also be used to establish a trust, in which case all the policies regarding real estate gifts will be followed.

Donors will be encouraged to use banks or other corporate fiduciaries as trustees for charitable trusts. However, if specifically requested by the donor, United Way of Charlotte County may act as a trustee or will appoint a trustee on a case-by-case basis.

Before agreeing to serve as the trustee of a charitable trust, the United Way of Charlotte County will determine the trust agreement or will contains the following provisions:

- United Way of Charlotte County is the sole or majority (greater than 50%) beneficiary.
- United Way of Charlotte County's remainder may not be decreased.
- The donor may retain the right to terminate United Way of Charlotte County as trustee but must appoint a successor trustee that is a professional corporate trustee.
- United Way of Charlotte County retains the power to resign as trustee and appoint a successor trustee that must be a professional corporate trustee.
- There will not be more than two income beneficiaries
- A minimum gift of $100,000 is required for the establishment of a charitable trust.
- The fixed percentage paid by a charitable trust will be no less than 5% (as required by law) and usually not more than 10%, and will be approved by the Board of Directors.
- Non-income producing property will be accepted to fund the trust only with the approval of the Board of Directors.
- Any fees associated with the administration of a charitable trust or for the payment of investment advisors will be paid out of the trust.
- United Way of Charlotte County will have total discretion for the investment of trust assets when acting as a sole trustee and may appoint investment advisors.

Donated Materials and Services

United Way of Charlotte County considers donated materials and services as contributions based on the following criteria: 1) the materials received/services performed are a normal part of the United Way of Charlotte County program and otherwise would be purchased or performed by staff, 2) the individual(s) providing services normally are compensated for such services and 3) the materials/services are substantially the same as those normally purchased by the organization.

Endowed Annual Gifts

A donor may endow his/her annual campaign gift with a gift to the endowment fund equal to an amount 20 times that of the desired annual commitment. An endowed annual gift will be counted as part of the annual campaign during the lifetime(s) of the donor(s). Upon the death of the donor(s), the annual gift will be transferred out of the endowed campaign contributions category and will be recorded as endowment fund income, (unless stipulated otherwise by the donor). Recognition of the gift will continue but it will be within the endowment and planned giving arena.

Memorial and Commemorative Gifts

All memorial and commemorative gifts received will be placed in the Endowment Fund, unless otherwise specified by the donor.

Bequests

All bequests received will be placed in the Endowment Fund, unless otherwise specified by the donor. If the bequest involves securities, real estate or personal property, etc., the respective policies for those items will be followed.

Charitable Gift Annuities/Pooled Income Funds

Charitable gift annuities (CGA) and pooled income funds are gift arrangements that return income to one or more recipients for life. United Way of Charlotte County offers such gift arrangements through the United Way of America's CGA program and Pooled Income Fund program.

Gift Annuity

United Way of Charlotte County will accept a gift annuity if the annuity administration and liability can be placed with a third party such as a bank or insurance company. The donor will be advised of the minimum contribution for a gift annuity.

Life Estates/Estate for Term of Years

United Way of Charlotte County may accept a gift subject to a life estate agreement or a Term of Years whereby the donor transfers the real estate to United Way of Charlotte County. Subject to previous policy statements and approval by the Board of Directors, the donor may retain the deed and the use of the property for life or the life of another. Any life estate agreement must clearly establish that the donor will assume responsibility for the cost of upkeep and maintenance, real estate taxes, insurance, capital repairs and other fees related to the property.

SCHEDULE M – SUPPLEMENTAL INFORMATION CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

Restricted Gifts/Annual Campaign

Gifts to the annual campaign may be designated to a particular health and human service agency in Charlotte County provided the agency is a member organization. Gifts designated to other United Ways also will be accepted. Designations to agencies in other communities will be accepted and distributed based on the designation rules of the respective local United Way. Exceptions to this policy will be made upon approval by the CEO. Other types of restricted gifts to the annual campaign will be accepted upon the review and approval of the CEO.

Restricted Gifts/Non-Annual Campaign

These procedures apply to permanently restricted gifts or endowment fund gifts with restrictions placed on the use of the income generated by the gift and to program/initiative restrictions. Acceptance of a restricted gift creates a "legal" obligation for the organization to fully comply with the restrictions established by the donor. The organization has developed procedures to ensure the restrictions of donors are monitored and honored.

Restricted gifts for an initiative or program will be accepted upon approval of the CEO. Prior to the acceptance of any restricted gift, however, senior management will discuss and determine if a donor's restriction is within the mission, etc. of United Way of Charlotte County and that the organization has the capacity to honor the donor's restriction. This includes an analysis of the organization's internal systems for capturing, processing and reporting program and financial information as well as an analysis of any program and accounting requirements. The results of these analyses will be documented and presented to the CEO for review and approval. They will be made a part of the permanent file of the restricted gift.

The Chief Financial Officer (CFO) will obtain the appropriate documentation from the donor, including the restriction placed on the use of the funds, and performance and reporting requirements, if any. The CFO is responsible for insuring all the necessary communication with the donor, and internally to staff and/or volunteers, is completed.

Named Permanently Restricted Funds

Upon request of the donor, where the value of a permanently restricted (endowment) gift, at the time of receipt, is at least twenty thousand dollars ($20,000), a named fund may be established.

FORM 990, PART VI, LINE 12C
ENFORCEMENT OF CONFLICTS POLICY
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

COMPLAINT PROCEDURES FOR FINANCIAL, ACCOUNTING AND AUDIT MATTERS

United Way of Charlotte County ("UWCC") is committed to fair, accurate and transparent accounting of its financial matters and expects all employees, officers, directors and agents to act in accordance with the highest ethical standards in the performance of their responsibilities. It requires full compliance with all applicable laws and regulations, accounting standards, accounting controls and audit practices. UWCC relies on all employees, officers, directors and agents of UWCC to conduct themselves in accordance with the requirements and spirit of this policy and to report any suspected violations of this policy or other questionable financial, accounting or audit matters without fear of retaliation.

Reporting Complaints, Concerns or Questionable Financial Practices

Any person or employee who has complaints or concerns about UWCC's accounting, internal accounting controls or auditing matters, or who becomes aware of questionable accounting or auditing matters, is strongly encouraged to report such matters to the UWCC Executive Committee.

To raise complaints or concerns about or report a questionable accounting or auditing matter to the Executive Committee, employees/volunteers should contact the Executive Committee Chair by mail, telephone or email. The Executive Committee Chair's contact information will be available upon request.

In order to facilitate a complete investigation, employees should be prepared to provide as many details as possible, including a description of the questionable practice or behavior, the names of any persons involved, the names of possible witnesses, dates, times, places, and any other available details. UWCC encourages all employees with complaints or concerns to come forward with information and prohibits retaliation against employees raising concerns. However, if an employee feels more comfortable doing so, reports may be made anonymously in the manner described above.

Supervisors and managers who become aware of any questionable accounting or auditing matters, or who receive complaints or concerns from other employees, must immediately report them directly to the Executive Committee in accordance with this policy. Supervisors and managers who receive complaints of questionable accounting or auditing matters must consult with the Executive Committee before undertaking an investigation or other action. The Executive Committee has final responsibility and authority for the investigation and handling of any concerns or complaints relating to accounting and auditing practices. Any supervisor or manager who fails to report allegations of questionable accounting or auditing practices in accordance with this policy or who otherwise fails to deal properly with such allegations may be subject to disciplinary action.

FORM 990, PART VI, LINE 12C
ENFORCEMENT OF CONFLICTS POLICY - CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

Investigation and Response

The Executive Committee will oversee the receipt and handling of allegations of questionable accounting or auditing matters, including directing an appropriate investigation and response.

Based on its investigation, the Executive Committee will direct UWCC to take prompt and appropriate corrective action in response to the complaint or concern if necessary to ensure compliance with legal and ethical requirements relating to financial, accounting and audit matters of UWCC. If the Executive Committee determines that a particular complaint or concern is not covered by this policy, it will refer the complaint or concern to the Chief Executive Officer or Board President at UWCC for appropriate handling and response.

United Way of Charlotte County
Records Retention Policy
(per Sarbane – Oxley Act)

Permanent

Corporate Records

- Articles of Incorporation
- Annual reports
- Appraisals by outside appraisers
- Corporate by-laws
- Deeds, leases, easements, mortgages and other documents relating to real property
- Insurance policies, endorsements thereto and related correspondence from insurers or insurance brokers
- Minutes and resolutions of the Board of Directors or Executive Committee
- Minutes and resolutions of standing committees or other committees
- Registrations to do business

Legal Records

- Consent decrees, administrative decrees, final orders, judgments and settlement agreements
- Records that relate to ongoing or threatened litigation or other proceedings

Tax Records

- Annual tax returns (Form 990, Form 5500, BCO-100, W-2's, 1099's, payroll tax returns)
- Tax-exempt application

FORM 990, PART VI, LINE 12C
ENFORCEMENT OF CONFLICTS POLICY - CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

Accounting and Financial Records

- Audited financial statements
- Cancelled checks for important payments
- Cash receipts and disbursements records
- Chart of accounts
- Contracts and leases still in effect
- Depreciation schedules
- End of year internal financial statements
- General ledgers and end of year trial balances

Pension Records

- Pension plans, amendments thereto and related documents
- Retiree and beneficiary records

Seven Years

Legal Records

- Accident reports and claims
- Expired contracts and leases (from completion)

Accounting and Financial Records

- All ledgers, accounts payable and receivable schedules, and other similar documents
- Bank records, bank statements, bank reconciliations, duplicate deposit slips
- Cancelled checks
- Cash receipt journals
- Check registers
- Employee personnel records (after termination)
- Investment records and reports
- Invoices
- Payroll records and summaries
- Petty cash records

Three Years

Accounting and Financial Records

- Employment applications
- Expired insurance policies
- Internal reports
- Year-end Treasurer's reports

Internal Memoranda

- Phone message pads

Until Superseded

Personnel Records

- Educational materials
- Employee handbook
- Posted legal notices
- Training manuals

While Current

Internal Memoranda and Correspondence

- Agendas
- Calendars, schedule book, appointment books, daily planners and similar scheduling documents

30 Days

- Drafts of all documents
- E-mail messages (both incoming and outgoing)
- Handwritten or other informal notes
- Press releases
- Telephone messages
- Voicemail messages

United Way Of Charlotte County, INC.
Code of Ethics

A Message from the United Way of the Charlotte County

At the core, to care for one another, we have to trust one another
As volunteers, employees, and representatives of United Way of the Charlotte County, we have a responsibility to uphold the highest of ethical standards—both for ourselves and for the benefit of our communities. We believe these standards go beyond compliance with laws and regulations—calling us to fulfill higher obligations as stewards of the public trust.

This Code of Ethics is an important way for us to reaffirm our ethical commitments. It sets forth the principles and standards that guide our decisions and actions. As you are probably aware, many of the ideas that are included within the Code have come from discussions with our employees and volunteers throughout the United Way system This collaborative process has been essential in developing the Code and has made it a document that reflects and is more responsive to our needs

As we read the Code, we must remember that it is more than a set of standards and responsibilities It is a way of connecting our values and our ideals with the work we do every day. The Code is not just about what we should do, but why we do it. Of course, no document can give us all the answers to our ethics questions. This Code is meant to help us further the discussion of ethics in our workplace. It also encourages us to seek guidance and clarification when we have questions about applying our principles and standards The involvement of all our employees and volunteers is essential to upholding these principles and standards. Only by continuing to work together on these issues can we ensure that the United Way of the Charlotte County fulfills its commitment to build and maintain the public trust in all that we do.

OUR CORE VALUES

The mission of United Way of the Charlotte County (UWCC) ***is to increase the organized capacity of people to care for one another.*** In support of this mission, UWCC seeks to advance our community's health and human services agenda by expanding resources and applying them effectively to build better more self-sufficient communities. This critical role requires that all of us involved in the UWCC, who foster such an essential public good, must assume the responsibility of earning public trust. Accordingly, United Way of the Charlotte County plays a unique role both as a leader in the health and human services sector and as a major resource to partner and collaborative organizations to build trust through all that we do. This bond of trust goes far beyond legal or regulatory requirements to include our core values, and ethics. To fulfill this special obligation, five core values provide the foundation on which we base our actions and decisions.

1. INTEGRITY

We act with integrity that inspires the highest truth.

• We stand as "one" in the relentless pursuit of instilling and promoting public confidence and trust.

• We maintain the highest standards of excellence and accountability, including prudent use of finances, and fair, accurate, and honest disclosures of information.

• We keep our promises People should know: "United Way makes my caring count United Way makes a difference!"

2. IMPACT

We make a positive difference and have a measurable impact of enduring consequence.

• We make a difference in our community and collectively in our world. Our efforts change lives.

• We are committed to a United Way that is relevant to its people, its community, and the times.

• We assume responsibility as good stewards of and are accountable for our work and sustainable results

3. VOLUNTEERISM

We are made relevant through the spirit of volunteerism.

• United Way is outstanding in the way it invites volunteers to express their philanthropic beliefs (expression of one's "love of humanity").

• We believe that the most effective models of service and excellence are created through the leadership of volunteers.

• We understand that volunteerism is one of the purest forms of democracy and are dedicated to preserving, encouraging and enhancing the volunteer experience.

4. INCLUSIVENESS

We are strong only when we are inclusive.

• We aspire to involve every segment of the community in every aspect of our work.

• We act in ways that respect the dignity, uniqueness, and intrinsic worth of every person—the community, the donors, our own staff and families, boards, and volunteers.

• We believe in a movement built from the rich diversity and gifts of all people in all systems

5. CATALYTIC LEADERSHIP

We initiate catalytic leadership for community building to effect positive change.

• We are effective educators and conveners—bringing all segments of the community together to promote individual well being and common good.

• We are leaders of a process that multiplies the impact of people's innate desire and capacity to care for one another.

• We help transform visions of compassion and giving into dynamic reality.

FORM 990, PART VI, LINE 12C
ENFORCEMENT OF CONFLICTS POLICY - CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

United Way of the Charlotte County (UWCC) is committed to the highest ethical standards Indeed, based on the unique trust placed in UWCC to serve the public good, we have a special obligation to act ethically. The success of the United Way system and our reputation depend upon the ethical conduct of everyone affiliated with the UWCC Volunteers, staff, and representatives set an example for each other, and for organizations with whom they interact, by their pursuit of excellence in high standards of performance, professionalism, and ethical conduct. This UWCC Code of Ethics (Code) is based on our mission and guided by our fundamental values· Integrity, Impact, Volunteerism, Inclusiveness, and Catalytic Leadership. We are mindful that these core values must be clearly articulated, communicated and continuously reinforced. In addition, more detailed policies, guidelines, explanations, definitions and examples are often needed to bring these values into actual practice While no document can anticipate all of the challenges that may arise, the Code communicates key guidelines and will assist UWCC volunteers, staff and representatives in making good decisions that are ethical and in accordance with applicable legal requirements. All are encouraged to discuss any questions or concerns they have with a the CEO

1. PERSONAL AND PROFESSIONAL INTEGRITY

A personal commitment to integrity in all circumstances benefits each individual as well as the organization We therefore:

- Strive to meet the highest standards of performance, quality, service and achievement in working towards the UWCC mission.
- Communicate honestly and openly and avoid misrepresentation.
- Promote a working environment where honesty, open communication and minority opinions are valued
- Exhibit respect and fairness toward all those with whom we come into contact.

2. ACCOUNTABILITY

UWCC is responsible to its stakeholders, which include member UWCC organizations, donors and others who have placed faith in UWCC To uphold this trust we

- Promote good stewardship of UWCC resources, including membership fees, grants and other contributions that are used to pay operating expenses, salaries, and employee benefits
- Refrain from using organizational resources for non-UWCC purposes
- Observe and comply with all laws and regulations affecting UWCC.

3. SOLICITATIONS AND VOLUNTARY GIVING

The most responsive contributors are those who have the opportunity to become informed and involved We therefore·

- Promote voluntary giving in dealing with donors and vendors
- Refrain from any use of coercion in fundraising activities, including predicating professional advancement on response to solicitations

4. DIVERSITY AND EQUAL OPPORTUNITY

UWCC is an equal opportunity employer and is committed to the principle of diversity We therefore:

- Value, champion, and embrace diversity in all aspects of UWCC activities and respect others without regard to race, color, religion, creed, age, sex, national origin or ancestry, marital status, veteran status, sexual orientation, or status as a qualified disabled or handicapped individual.
- Support affirmative action and equal employment opportunity programs throughout UWCC.
- Refuse to engage in or tolerate in any other form of discrimination or harassment

5. CONFLICTS OF INTEREST

To avoid any conflict of interest or the appearance of a conflict of interest which could tarnish the reputation of UWCC as well as undermine the public's trust in all United Way programs and initiatives, UWCC staff and representatives

- Avoid any activity or outside interest which conflicts or appears to conflict with the best interest of UWCC, including involvement with a current or potential UWCC vendor, grantee, or competing organization unless disclosed to and not deemed to be inappropriate by the UWCC CEO and UWCC Executive Committee
- Ensure that outside employment and other activities do not adversely affect the performance of their UWCC duties or the achievement of UWCC's mission.
- Ensure that travel, entertainment and related expenses are incurred on a basis consistent with the mission of UWCC and not for personal gain or interests.
- Decline any gift, gratuity or favor in the performance of UWCC duties except for promotional items of nominal value, and any food, transportation, lodging or entertainment unless directly related to UWCC business.

• Refrain from influencing the selection of staff, consultants or vendors who are relatives or personal friends or affiliated with, employ, or employed by a person with whom they have a relationship that adversely affects the appearance of impartiality.

UWCC VOLUNTEERS:
• Should not knowingly take any action, or make any statement, intended to influence the conduct of UWCC in such a way to confer any financial benefit on themselves, their immediate family members or any organization in which they or their immediate family members have a significant interest as stakeholders, directors or officers
• Disclose all known conflicts or potential conflicts of interest in any matter before the Board of Directors, if they are Board members, or any committee upon which they serve and withdraw from the meeting room during any discussion, review and voting in connection with such matter.
• Members of the Board shall annually file with the Staff Ethics Officer a disclosure of all known potential conflicts of interest.

6. CONFIDENTIALITY AND PRIVACY
Confidentiality is a hallmark of professionalism. We therefore.
• Ensure that all information, which is confidential, privileged or nonpublic, is not disclosed inappropriately
• Respect the privacy rights of all individuals in the performance of their UWCC duties.

7. POLITICAL CONTRIBUTIONS
UWCC encourages individual participation in civic affairs. However as a charitable organization, UWCC may not make contributions to any candidate for public office or political committee and may not intervene in any political campaign on behalf of or in opposition to any candidate for public office. We therefore:
• Refrain from making any contributions to any candidate for public office or political committee on behalf of UWCC
• Refrain from making any contributions to any candidate for public office or political committee in a manner that may create the appearance that the contribution is on behalf of UWCC.
• Refrain from using any organizational financial resources, facilities or personnel to endorse or oppose a candidate for public office
• Clearly communicate that we are not acting on behalf of the organization, if identified as an official of UWCC, while engaging in political activities in an individual capacity
• Refrain from engaging in political activities in a manner that that may create the appearance that such activity is by or on behalf of UWCC.

GUIDANCE AND DISCLOSURE
Volunteers, staff, and representatives are encouraged to seek guidance from the Board Ethics Committee and CEO concerning the interpretation or application of this Code of Ethics Any known or possible breaches of the Code of Ethics should be disclosed. Staff and representatives should contact a supervisor or the CEO. Volunteers should contact a member of the Executive Committee Reports of possible breaches will be handled in the following manner:
• All reports of possible breaches will be treated in confidence as much as the organization's duty to investigate and the law allow. If confidentiality cannot be maintained, the individual disclosing the possible breach will be notified
• All reported breaches will be investigated and, if needed, appropriate action taken based upon the policies of the organization
• Retaliation against a person who suspects and reports a Breach in Good Faith will be treated as an independent breach of the Code.
• UWCC affirms prompt and fair resolution of all reported breaches.

CODE OF ETHICS
GLOSSARY

Candidate for Public Office: An individual who offers herself or himself or is proposed by others as a contestant for an elected public office, whether such office is federal, state or local.
Contribution, political: Anything of value, including monetary and in-kind gifts, provided for the purpose of influencing the outcome of an election
Donors: All individuals and entities that make charitable or in-kind contributions to UWCC.
Immediate family members: An individual's spouse, children, parents, siblings, and spouses of children and siblings.

FORM 990, PART VI, LINE 12C
ENFORCEMENT OF CONFLICTS POLICY - CONTINUED
UNITED WAY OF CHARLOTTE COUNTY, INC. 59-1149995

Nonpublic Information: Any business, financial, or personal information, which is not publicly known or available

Political Committee: Any party, committee, association, fund or other organization organized and operated primarily for accepting contributions to influence the selection, nomination, or election of any individual to any federal, state or local office.

Privileged Information: Information that is protected from involuntary disclosure by legally recognized privileges such as attorney-client, doctor-patient, and others

Promotional Items of Nominal Value: Gifts used to promote an organization's name, products, or services which have as retail value of $25 or less.

Representatives: Individuals who provide personal services to UWCC as independent contractors, consultants or loaned executives.

Staff: All individuals, who provide services to UWCC as employees or leased employees.

Vendors: Entities which provide goods and services to UWCC for a fee.

Volunteers: All members of the UWCC Board of Directors and committees appointed by the Board of Directors, who perform their UWCC duties without compensation.

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2009

Attachment Sequence No **67**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
UNITED WAY OF CHARLOTTE COUNTY I	FORM 990 - 1	59-1149995

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I

1	Maximum amount See the instructions for a higher limit for certain businesses	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
6			
7	Listed property Enter the amount from line 29	7	

8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2008 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2010 Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (**Do not** include listed property.) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	20,861

Part III MACRS Depreciation (**Do not** include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2009	17	577
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2009 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only-see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property STATEMENT						356
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental			27 5 yrs	MM	S/L	
property			27 5 yrs	MM	S/L	
i Nonresidential real			39 yrs	MM	S/L	
property				MM	S/L	

Section C - Assets Placed in Service During 2009 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see instructions)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instructions	22	21,794
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions. EEA Form **4562** (2009)

Federal Supporting Statements

2009 PG01

Name(s) as shown on return

FEIN

STATEMENT # 50

FORM 4562 - LINE 19B

BASIS	RP	CV	METHOD	DEDUCTION
2,444	5	HY	S/L	244
1,117	5	HY	S/L	112
TOTAL				356

Statement of Program Service Accomplishments

2009 01

Name(s) as shown on return: UNITED WAY OF CHARLOTTE COUNTY INC

Your Social Security Number: 59-1149995

FORM 990, PART III(A)

PROGRAM SERVICE CODE	
PROGRAM SERVICE EXPENSES	$1531303
GRANTS AND ALLOCATIONS INCLUDED IN ABOVE EXPENSE	$1183159
PROGRAM SERVICES REVENUE	$0

EXPLANATION

THE ORGANIZATION RAISES FUNDS FOR APPROXIMATELY 31 AND 52 PROGRAMS OF THE UNITED WAY. BUT THE UNITED WAY IS ABOUT MORE THAN JUST RAISING MONEY. IT IS ABOUT FORMING PARTNERSHIPS WITH LOCAL NONPROFIT ORGANIZATIONS TO CHANGE THE LIVES FOR THE BETTER EVERY DAY. THROUGH THE GENEROSITY OF DONORS TO THE UNITED WAY CAMPAIGN THIS YEAR, THE FOLLOWING IS A SAMPLING OF THE SERVICES PROVIDED TO OUR NEIGHBORS IN NEED IN CHARLOTTE COUNTY.

- 2,090 INDIVIDUALS EXPERIENCING FINANCIAL HARDSHIPS ARE SERVED HOT NUTRITIONAL MEALS.
- 1,430 CHILDREN ARE PROVIDED WITH QUALITY CHILDCARE SO THEIR PARENTS CAN WORK.
- 535 CAREGIVERS OF ALZHEIMER'S PATIENTS RECEIVE ASSISTANCE IN AQUIRING A HIGHER LEVEL OF CARE AND QUALITY OF LIFE FOR THEMSELVES AND THE LOVED ONE FOR WHOM THEY ARE CARING.
- 30,028 RESIDENTS WHO ARE UNINSURED OR UNDERINSURED ARE PROVIDED WITH FREE MEDICAL CARE INCLUDING PRESCRIPTIONS, DENTAL & EYE EXAMS, OR PRENATAL CARE.
- 260 ADULTS ADDICTED TO DRUGS AND ALCOHOL ARE BEING REHABILITATED IN A STRUCTURED RESIDENTIAL TREATMENT PROGRAM.
- 1,386 PRE-TEENS AND TEENS ARE GIVEN OPPORTUNITIES TO LEARN AND DEMONSTRATE LEADERSHIP SKILLS WHICH WILL ENABLE THEM TO BE RESPONSIBLE CITIZENS AND FUTURE LEADERS IN THEIR COMMUNITY.
- 90 ADULTS ARE BEING TAUGHT TO READ BY CERTIFIED INSTRUCTORS IN AN EFFORT TO BREAK THE ILLITERACY CYCLE IN FAMILIES.
- 5,000 TEENS WILL RECEIVE DOMESTIC VIOLENCE AND SEXUAL ABUSE PREVENTION EDUCATION.
- 90 CHILDREN WHO HAVE BEEN REMOVED FROM THEIR HOMES DUE TO ABUSE OR NEGLECT ARE PLACED WITH OTHER RELATIVES AND PROVIDED WITH BASIC NEEDS.
- 132 CAREGIVERS OF CHILDREN AND ADULTS WITH DISABILITIES ARE BEING PROVIDED WITH SAFE CARE FOR THEIR LOVED ONES, ALLOWING A MUCH-NEEDED BREAK.
- 331 VISUALLY INPAIRED INDIVIDUALS ARE BEING TAUGHT SKILLS NEEDED TO LIVE IN THEIR HOMES, I.E. SAFE COOKING, CLEANING FOOD AND MEDICATION LABELING.
- 738 ISOLATED ELDERLY RESIDENTS ARE PAIRED WITH FRIENDLY VOLUNTEERS THAT ASSIST THEM WITH THEIR UNMET NEEDS.
- 513 AT-RISK YOUTHS ARE MATCHED WITH ONE-TO-ONE MENTORS WHO HELP THEM DEVELOP BETTER ACADEMIC AND SOCIAL SKILLS.
- 495 HOMELESS ADULTS AND CHILDREN HAVE A SAFE PLACE TO SLEEP.
- 787,050 MEALS ARE BEING PROVIDED TO THE 30 FOOD PANTRIES IN CHARLOTTE COUNTY.
- 10,000 MIDDLE AND HIGH SCHOOL STUDENTS ARE RECEIVING DRUG, ALCOHOL, AND TOBACCO OUTREACH EDUCATION.

THE UNITED WAY OF THE CHARLOTTE COUNTY'S COMMUNITY IMPACT AGENDA IS INTENDED TO FOCUS OUR FINANCIAL AND VOLUNTEER RESOURCES ON OUR COMMUNITY'S MOST PRESSING NEEDS. THROUGH THE GENEROSITY OF THE COMMUNITY'S SUPPORT THE UNITED WAY OF CHARLOTTE COUNTY IS FUNDING 52 PROGRAMS THROUGH 31 LOCAL NONPROFIT ORGANIZATIONS. THE 2010-2011 AGENDA IS COMPRISED OF 5 PRIORITY AREAS:

NURTURING CHILDREN

SUPPORTING COMMUNITY SERVICES GEARED TOWARD CHILDREN (INFANT TO AGE 12)AND THEIR PREPARATION FOR/PERFORMANCE IN SCHOOL. THIS INCLUDES:

EARLY LEARNING & LITERACY

QUALITY CHILD CARE

Statement of Program Service Accomplishments	2009 02
Name(s) as shown on return UNITED WAY OF CHARLOTTE COUNTY INC	Your Social Security Number 59-1149995

FORM 990, PART III (A) CONTINUED

EXPLANATION (CONTINUED)

PROGRAMS SUPPORTING ELEMENTARY EDUCATION
PARENTING SKILLS EDUCATION
CHILD ABUSE & NEGLECT

HELPING YOUTH SUCCEED
SUPPORTING COMMUNITY SERVICES GEARED TOWARD YOUTH (AGE 12 - 18), THEIR ACADEMIC ACHIEVEMENT AND INVOLVEMENT IN TEEN RISK BEHAVIORS. THIS INCLUDES:
HIGH SCHOOL COMPLETION
REDUCING TEEN RISK BEHAVIORS
ACADEMIC ACHIEVEMENT
LEADERSHIP DEVELOPMENT PROGRAMS

STRENGTHENING ADULTS & FAMILIES
SUPPORTING COMMUNITY SERVICES GEARED TOWARD ADULTS (OVER AGE 18) THAT PROMOTE THEIR EDUCATION, EMPLOYMENT, ABILITY TO PARENT AND DEVELOPMENT OF A STABLE AND PROSPEROUS LIFE. THIS INCLUDES:
ADULT EDUCATION & LITERACY
EMPLOYMENT SKILLS TRAINING
DOMESTIC VIOLENCE SERVICES
SEXUAL ASSAULT SERVICES
SUPPORT TO CAREGIVERS
FINANCIAL STABILITY

PROMOTING HEALTH & WELLNESS
SUPPORTING COMMUNITY SERVICES THAT PROMOTE PHYSICAL, EMOTIONAL, AND MENTAL HEALTH. THIS INCLUDES:
PREVENTATIVE HEALTH
ACCESS TO HEALTH SERVICES
MENTAL HEALTH SERVICES
ALCOHOL & DRUG ABUSE SERVICES
SERVICES FOR THE DISABLED
SENIOR WELLNESS AND INDEPENDENCE

NEIGHBORS HELPING NEIGHBORS
SUPPORTING COMMUNITY SERVICES THAT PROVIDE SHORT-TERM ASSISTANCE TO INDIVIDUALS AND FAMILIES IN NEED. THIS INCLUDES:
DISASTER RELIEF
EMERGENCY SHELTER
FINANCIAL ASSISTANCE FOR RENT/MORTGAGE/UTILITIES