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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

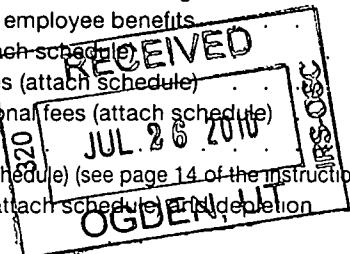
For calendar year 2009, or tax year beginning April 1, 2009, and ending March 31, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation Alvin I & Peggy S Brown Charitable Foundation		A Employer identification number 52-6041735	
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 7900 Wisconsin Avenue 403		B Telephone number (see page 10 of the instructions)	
	City or town, state, and ZIP code Bethesda, Maryland 20814-3633		C If exemption application is pending, check here ☐	
	H Check type of organization ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,554,352		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	170,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	14,606	14,606	14,606	
	4 Dividends and interest from securities	37,026	37,026	37,026	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	80,636			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		80,636		
	8 Net short-term capital gain			47,836	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	0.00	0.00	0.00	
	12 Total. Add lines 1 through 11	302,268	132,268	99,468	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	1,899	1,899	1,899	1,899
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	63,670	63,670	63,670	63,670
	24 Total operating and administrative expenses. Add lines 13 through 23	65,569	65,569	65,569	65,569
	25 Contributions, gifts, grants paid	247,211			247,211
	26 Total expenses and disbursements. Add lines 24 and 25	312,780	65,569	65,569	312,780
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	(10,512)			
	b Net investment income (if negative, enter -0-)		66,699		
	c Adjusted net income (if negative, enter -0-)			33,899	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	713,567	613,532	613,532
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges Federal Income Taxes	9,400	10,890	9,556
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	775,033	807,263	1,331,666
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment, basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,417,915	3,472,318	3,599,598
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,915,915	4,904,003	5,554,352
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	4,915,915	4,904,003	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,915,915	4,904,003	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,915,915
2	Enter amount from Part I, line 27a	2	(10,512)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,905,403
5	Decreases not included in line 2 (itemize) ▶ Federal Income Tax—FYE 3/31/09	5	1,400
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,904,003

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	Various Stocks			
c				
d	(See Schedule Attached)			
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j) if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	80,636
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 }	3	47,836

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	237,414	4,906,596	.0484
2007	251,631	5,135,867	.0490
2006	481,868	5,000,242	.0964
2005	610,060	4,666,432	.1307
2004	237,918	4,444,922	.0535

2 Total of line 1, column (d)	2	.3780
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0756
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	5,103,331
5 Multiply line 4 by line 3	5	385,812
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	667
7 Add lines 5 and 6	7	386,479
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	312,780

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	1,334
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	1,334
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,334
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	8000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	2890
7	Total credits and payments. Add lines 6a through 6d	7	10,890
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,556
11	Enter the amount of line 10 to be Credited to 2010 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		✓
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		N/A
Website address ▶ N/A				
14	The books are in care of ▶ The Foundation	Telephone no. ▶	301-656-5998	
	Located at ▶ 7900 Wisconsin Avenue, #403, Bethesda, Maryland	ZIP+4 ▶	20814-3633	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☐ No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b	✓
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐		5b	N/A
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b	✓
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Peggy S. Brown, 2500 So. Ocean Blvd, Palm Beach, Florida 33480	Pres. - 3 hrs	-0-	None	None
Barbara Brown, 243 Closson, #16 Santa Fe, New Mexico 87501	Vice Pres - 0 hrs	-0-	None	None
Patricia Brown, P. O. Box 32 Mendocino, California 95460	Vice Pres - 0 hrs	-0-	None	None
Donna Brown, 341 Lamprecht Drive Carbondale, Colorado 81623	Vice Pres. - 0 hrs	-0-	None	None
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
	None			
	N/A			
Total number of other employees paid over \$50,000				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
	N/A	

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Donations made to various charitable organizations as listed within	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,059,225
b	Average of monthly cash balances	1b	663,550
c	Fair market value of all other assets (see page 24 of the instructions)	1c	3,458,272
d	Total (add lines 1a, b, and c)	1d	5,181,047
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,181,047
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	77,716
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,103,331
6	Minimum investment return. Enter 5% of line 5	6	255,167

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	255,167
2a	Tax on investment income for 2009 from Part VI, line 5	2a	
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	1,400
c	Add lines 2a and 2b	2c	1,400
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	253,767
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	253,767
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	253,767

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	312,780
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	312,780
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	312,780

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				253,767
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004	19,017			
b From 2005	381,039			
c From 2006	234,106			
d From 2007	-0-			
e From 2008	-0-			
f Total of lines 3a through e	634,162			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 312,780				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus	59,013			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	693,175			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	(19,017)			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	674,158			
10 Analysis of line 9.				
a Excess from 2005	381,039			
b Excess from 2006	234,106			
c Excess from 2007	-0-			
d Excess from 2008	-0-			
e Excess from 2009	59,013			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 1/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

Peggy S Brown

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed.

Contributions are made to only preselected charitable organizations. Unsolicited applications for funds are not accepted.

b The form in which applications should be submitted and information and materials they should include.

See 2A

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

None

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
1 Jewish Federation of Palm Beach County, Palm Beach, Florida	N/A	Qualified Charity	Various	50,000
2 Southwestern College, Santa Fe, New Mexico	N/A	"	Various	34,000
3 Jewish Community Center, Palm Beach, Florida	N/A	"	Various	25,000
4 Creativity For Peace, Santa Fe, New Mexico	N/A	"	Various	20,000
5 South Florida Science Museum, Palm Beach, Florida	N/A	"	Various	16,500
6 Doctors Without Borders, New York, New York	N/A	"	Various	15,000
7 Heifer International, Little Rock, Arkansas	N/A	"	Various	15,000
8 Lion of Judah Foundation, Washington, D C	N/A	"	Various	10,000
9 Palm Beach Zoo, Palm Beach, Florida	N/A	"	Various	10,000
10 Jewish Foundation	N/A	"	Various	10,000
All other charities (see full list attached)	N/A	"	Various	41,711
Total			3a	247,211
b Approved for future payment	None			
Total			3b	

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|---|---|-------|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| | | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | |
| | (2) Other assets | 1a(2) | | |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | |
| | (4) Reimbursement arrangements | 1b(4) | | |
| | (5) Loans or loan guarantees | 1b(5) | | |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
		N/A

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.	
Signature of officer or trustee <u>Charles H. Hays</u> Assistant Treasurer	Date <u>7/19/10</u> Assistant Treasurer
Preparer's signature _____ Firm's name (or yours if self-employed), address, and ZIP code _____	Date _____ Check if self-employed ☐ Preparer's identifying number (see Signature on page 30 of the instructions) _____ EIN _____ Phone no. _____

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

CMB No. 1545-0047

2009

Name of the organization

Alvin I. & Peggy S. Brown Charitable Foundation

Employer identification number

52 : 6041735

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number
52 6041735**Part I** Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	Alvin I. & Peggy S. Brown 2500 South Ocean Boulevard, Apt. 2B2 Palm Beach, Florida 33480	\$ 170,000.00	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

AIB/PSB Charitable Foundation
Schedule of Contributions Paid
Year Ended March 31, 2010

Date	Description	Check	Amount
4/2/2009	JEWISH FED OF PALM BEACH	3130	\$50,000 00
8/25/2009	SOUTHWESTERN COLLEGE	3503	\$34,000 00
12/20/2009	JEWISH COMMUNITY CENTER	3047	\$25,000 00
5/1/2009	CREATIVITY FOR PEACE	3303	\$20,000 00
6/30/2009	SO FLORIDA SCIENCE	3150	\$16,500.00
12/18/2009	DOCTORS WITHOUT BORDERS	3506	\$15,000 00
12/18/2009	HEIFER INTERNATIONAL	3507	\$15,000 00
4/2/2009	LION OF JUDAH	3127	\$10,000 00
11/30/2009	PALM BEACH ZOO	3050	\$10,000 00
2/1/2010	JEWISH FEDERATION	3055	\$10,000.00
10/21/2009	SOUTH FLORIDA SCIENCE	3042	\$8,500.00
12/20/2009	SOUTH FLORIDA SCIENCE	3048	\$6,600 00
12/20/2009	SOUTH FLORIDA SCIENCE	3049	\$5,000.00
7/17/2009	NAMI	3502	\$2,500 00
4/17/2009	THE PERU FUND	3302	\$2,000 00
6/4/2009	JCC CHILDREN'S SCHOLARSHIP	3133	\$1,700.00
4/15/2009	NAMI	3301	\$1,500.00
4/2/2009	LOW VISION INFO CENTER	3128	\$1,000.00
4/2/2009	BIG CAT CLUB(PB ZOO)	3129	\$1,000.00
6/1/2009	INTERNATIONAL RESCUE	3501	\$1,000.00
10/20/2009	HEIFER INTERNATIONAL	3504	\$1,000.00
10/21/2009	TEMPLE ISRAEL	3041	\$1,000 00
11/16/2009	FRIENDS CLUB	3045	\$1,000.00
11/16/2009	REDWOOD COAST SENIORS	3505	\$1,000.00
2/17/2010	EMERGENCY HAITIAN RELIEF	3053	\$1,000.00
3/31/2010	HOPICE OF PALM BEACH	3062	\$1,000.00
3/31/2010	ROBBIE M. BRUCE	3063	\$1,000.00
5/29/2009	BCCHS EDUCATIONAL	3500	\$750.00
12/30/2009	BCCHS EDUCATIONAL	3508	\$500 00
2/10/2010	HABITAT FOR HUMANITY	3058	\$500.00
10/21/2009	WASHINTON HEBREW	3044	\$261.00
6/4/2009	SOUTHERN PROVERTY LAW	3132	\$250 00
6/18/2009	AMERICAN JEWISH WORLD	3135	\$250 00
12/20/2009	JEWISH FED OF PALM BEACH	3052	\$250 00
2/1/2010	SALVATION ARMY	3056	\$170 00
6/18/2009	NORTON MUSEUM OF ART	3139	\$125 00
3/31/2010	JEWISH COMMUNITY CENTER	3061	\$120 00
4/27/2009	HOSPICE OF PALM BEACH	3131	\$100 00
6/18/2009	PLANNED PARENTHOOD	3134	\$100 00

6/18/2009	MADD	3136	\$100 00
6/18/2009	REFORM JEWISH APPEAL	3137	\$100 00
6/18/2009	A D L	3138	\$100 00
6/18/2009	NCRC	3140	\$100 00
6/18/2009	ACLU	3141	\$100 00
6/18/2009	J S S A	3142	\$100 00
6/18/2009	ALZHEIMERS ASSN	3144	\$100 00
6/18/2009	WORLD WILDLIFE FUND	3145	\$100 00
6/18/2009	ENVIROMENTAL DEFENSE	3146	\$100 00
6/18/2009	CARE	3148	\$100.00
6/18/2009	NATURE CONSERVANCY	3149	\$100 00
10/21/2009	AMERICAN HEART ASSOC	3043	\$100 00
11/30/2009	JEWISH FAMILY AND CHILDREN	3046	\$100 00
12/20/2009	PLANNED PARENTHOOD	3051	\$100 00
2/16/2010	MORSE GERIATRICS	3054	\$100 00
3/31/2010	JCC CHILDREN'S SCHOLARSHIP	3057	\$100 00
3/31/2010	PALM BEACH CIVIC ASSOCIATION	3059	\$100 00
3/31/2010	SOSAN G. KOMEN	3060	\$100 00
6/18/2009	FAPEP	3147	\$50.00
6/18/2009	NCJW	3143	\$35.00
3/31/2010	92ND STREET Y - VOID CHECK	2795	(\$100.00)
	AMERICAN INSTITUTE FOR		
	CANCER RESEARCH - VOID		
3/31/2010	CHECK	2755	(\$250 00)
	AMERICAN JEWISH WORLD		
3/31/2010	SERVICE - VOID CHECK	2732	(\$1,000 00)
	Total		<u><u>\$247,211.00</u></u>

**ALVIN I. & PEGGY S. BROWN CHARITABLE FOUNDATION
SCHEDULE OF SHORT AND LONG TERM CAPITAL GAINS & LOSSES
FISCAL YEAR ENDED MARCH 31, 2010**

PAGE 3 - PART IV - LINES 2 AND 3 - CAPITAL GAINS AND LOSSES

	<u>PROCEEDS</u>	<u>COST</u>	<u>GAIN (LOSS)</u>	<u>PURCHASE DATE</u>	<u>SALE DATE</u>
<u>SHORT-TERM CAPITAL GAINS & LOSSES</u>					
CONSTABLE PARTNERS - FLOWTHROUGH INVESTMENTS	VARIOUS	VARIOUS	\$ 47,149.00	VARIOUS	VARIOUS
<u>PERSHING STOCKS - (SEE SCHEDULE ATTACHED)</u>	\$ 687 00	\$ -	\$ 687 00	VARIOUS	VARIOUS
TOTAL NET SHORT-TERM GAINS					
PAGE 3 PART IV - LINE 3	\$ 687.00	\$ -	\$ 47,836.00		

LONG-TERM CAPITAL GAINS AND LOSSES

CONSTABLE PARTNERS - FLOWTHROUGH INVESTMENT	VARIOUS	VARIOUS	\$ 49,638.00	VARIOUS	VARIOUS
<u>PERSHING STOCKS - (SEE SCHEDULE ATTACHED)</u>	\$ 56,300 00	\$ 73,138 00	\$ (16,838 00)	VARIOUS	VARIOUS
TOTAL NET LONG-TERM GAINS	\$ 56,300.00	\$ 73,138.00	\$ 32,800.00		
TOTAL SHORT AND LONG TERM GAINS					
PAGE 3 - PART IV - LINE 2			\$ 80,636.00		

Alvin and Peggy Brown Charitable Foundation
Schedule of Capital Gain and Losses- Pershing Stocks
Fiscal Year Ended March 31, 2010

Shares	Security	Selling Price	Cost	Gain(Loss)	Purchase Date	Sale Date
Short Term						
333 Shares	Scripps	\$687.05	\$0.00	\$687.05	7/16/08	7/6/09
Total Short Term Sales		\$687.05	\$0.00	\$687.05		
Long Term						
25 Shares	Hasbro	\$680.23	\$316.00	\$364.23	9/1/00	4/23/09
725 Shares	Pernod Rocard	\$2,583.53	\$23,635.26	-\$21,051.73	1/26/07	4/24/09
125 Shares	Altria	\$2,186.57	\$4,907.50	-\$2,720.93	3/2/01	8/17/09
100 Shares	Wells Fargo	\$2,722.17	\$2,221.00	\$501.17	10/18/00	11/2/09
975 Shares	Cadbury	\$12,245.70	\$12,431.25	-\$185.55	10/02/07	12/29/09
375 Shares	Cadbury	\$4,977.30	\$4,781.25	\$196.05	10/02/07	1/29/10
950 Shares	Cadbury	\$12,518.16	\$12,112.50	\$405.66	10/02/07	2/1/10
1375 Shares	Cadbury	\$18,386.82	\$12,733.81	\$5,653.01	10/2/07	2/2/10
Total Long Term Sales		\$56,300.48	\$73,138.57	-\$16,838.09		

ALVIN I. AND PEGGY S. BROWN CHARITABLE FOUNDATION
 SCHEDULE OF INVESTMENTS - CORPORATE STOCKS AND BONDS
 PART II - LINE 10B
 FISCAL YEAR ENDED MARCH 31, 2010

	A BEGINNING OF THE YEAR <u>BOOK VALUE</u>	B END OF THE YEAR <u>BOOK VALUE</u>	C YEAR END FAIR MARKET <u>VALUE</u>
STOCKS HELD IN PERSHING INVESTMENT	\$ <u>775,033 00</u>	\$ <u>807,263 00</u>	\$ <u>1,331,666 00</u>
TOTALS:	\$ <u>775,033.00</u>	\$ <u>807,263.00</u>	\$ <u>1,331,666.00</u>

PART II - LINE 13 - INVESTMENTS - OTHER CONSTABLE PARTNERS II PARTNERSHIP:	\$ <u>3,417,915.00</u>	\$ <u>3,472,318.00</u>	\$ <u>3,599,598.00</u>
---	------------------------	------------------------	------------------------

ALVIN I. AND PEGGY S. BROWN CHARITABLE FOUNDATION
CONTRIBUTIONS RECEIVED
APRIL 1, 2009 TO MARCH 31, 2010

PART I - LINE 1 - PAGE 1

CASH - ALVIN I AND PEGGY S BROWN	\$ 170,000 00
----------------------------------	---------------

TOTAL CONTRIBUTIONS RECEIVED	<u>\$ 170,000.00</u>
------------------------------	----------------------

PART I - LINE II - OTHER INCOME

TOTAL OTHER INCOME	\$0.00
--------------------	--------

ALVIN I. AND PEGGY S. BROWN CHARITABLE FOUNDATION
SCHEDULE OF OTHER EXPENSES
FISCAL YEAR ENDED MARCH 31, 2010

PART I - LINE 23 - OTHER EXPENSES

ADMINISTRATIVE EXPENSES - PROFESSIONAL FEES	\$ 10,531 00
PORTFOLIO DEDUCTIONS	\$ 53,105.00
BANK CHARGES	\$ <u>34 00</u>
TOTAL:	<u>\$ 63,670.00</u> ✓

PART I - LINE 18 - TAXES

FOREIGN TAXES	\$ 1,899 00
---------------	-------------