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Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)**

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

**2009**

**Open to Public Inspection**

**A For the 2009 calendar year, or tax year beginning 04-01-2009 and ending 03-31-2010**

**B** Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

**Please use IRS label or print or type. See Specific Instructions.**

**C** Name of organization
NEIGHBORHOOD HOUSING SERVICES OF CHICAGO INC

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
1279 N MILWAUKEE

City or town, state or country, and ZIP + 4
CHICAGO, IL 60622

**D Employer identification number**
23-7443009

**E Telephone number**
(773) 329-4010

**G** Gross receipts $ 6,526,821

**F** Name and address of principal officer
JAMES WHEATON
1279 N MILWAUKEE
CHICAGO, IL 60622

**H(a)** Is this a group return for affiliates? ☐ Yes ☑ No

**H(b)** Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

**H(c)** Group exemption number ▶

**I** Tax-exempt status ☑ 501(c) ( 3 ) ◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

**J Website:** ▶ WWW NHSCHICAGO ORG

**K** Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶ | **L** Year of formation 1975 | **M** State of legal domicile IL

## Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
THE MISSION OF NEIGHBORHOOD HOUSING SERVICES OF CHICAGO, INC (NHS) IS TO CREATE OPPORTUNITIES FOR PEOPLE TO LIVE IN AFFORDABLE HOMES, IMPROVE THEIR LIVES, AND STRENGTHEN THEIR NEIGHBORHOODS

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 16 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 16 |
| 5 | Total number of employees (Part V, line 2a) | 5 | 88 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 600 |
| 7a | Total gross unrelated business revenue from Part VIII, column (C), line 12 | 7a | 0 |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | 0 |

| | | Prior Year | Current Year |
|---|---|---|---|
| Revenue 8 | Contributions and grants (Part VIII, line 1h) | 5,293,003 | 4,110,154 |
| 9 | Program service revenue (Part VIII, line 2g) | 2,101,273 | 2,277,067 |
| 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d ) | 3,748 | 309 |
| 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | -33,541 | 73,793 |
| 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 7,364,483 | 6,461,323 |
| Expenses 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3 ) | 0 | 0 |
| 14 | Benefits paid to or for members (Part IX, column (A), line 4) | 0 | 0 |
| 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 2,923,974 | 3,037,805 |
| 16a | Professional fundraising fees (Part IX, column (A), line 11e) | 0 | 0 |
| b | Total fundraising expenses (Part IX, column (D), line 25) ▶463,953 | | |
| 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f) | 1,518,881 | 2,047,807 |
| 18 | Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 4,442,855 | 5,085,612 |
| 19 | Revenue less expenses Subtract line 18 from line 12 | 2,921,628 | 1,375,711 |

| | | Beginning of Current Year | End of Year |
|---|---|---|---|
| Net Assets or Fund Balances 20 | Total assets (Part X, line 16) | 3,583,228 | 2,980,883 |
| 21 | Total liabilities (Part X, line 26) | 5,968,330 | 3,990,274 |
| 22 | Net assets or fund balances Subtract line 21 from line 20 | -2,385,102 | -1,009,391 |

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

****** | 2010-10-25
Signature of officer | Date

JAMES WHEATON Interim CEO
Type or print name and title

**Paid Preparer's Use Only**

| Preparer's signature | Date | Check if self-empolyed ▶ ☐ | Preparer's identifying number (see instructions) |
|---|---|---|---|
| Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ CROWE HORWATH LLP<br>70 West Madison Street<br>Suite 700<br>Chicago, IL 606024903 | | | EIN ▶<br>Phone no ▶ (312) 899-7000 |

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

## Part III Statement of Program Service Accomplishments

**1** Briefly describe the organization's mission

NEIGHBORHOOD HOUSING SERVICES OF CHICAGO, INC (NHS) WAS ORGANIZED IN 1975 EXCLUSIVELY FOR CHARITABLE PURPOSES UNDER THE ILLINOIS GENERAL NOT-FOR-PROFIT CORPORATION ACT AND IS TAX EXEMPT UNDER SECTION 501(C)(3) CONTINUED IN SCHEDULE O

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

**4** Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses $ 3,366,547 including grants of $ 0 ) (Revenue $ 2,293,563 )

NEIGHBORHOOD HOUSING SERVICES OF CHICAGO, INC (NHS) EMPLOYED A NETWORK OF EIGHT NEIGHBORHOOD-BASED OFFICES AND STAFF AS WELL AS A CENTRAL 'CITYWIDE' OFFICE AND STAFF IN ITS FY 2010 TO PROVIDE SERVICES TO HOME OWNERS AND POTENTIAL HOME BUYERS IN THE CITY OF CHICAGO AND THE CITY OF ELGIN NHS ACHIEVES IT MISSION BY *EDUCATING AND PREPARING NEW HOMEOWNERS FOR SUCCESS *LENDING TO HELP PEOPLE BUY, FIX AND KEEP THEIR HOMES *SUSTAINING HOMEOWNERSHIP THROUGH FORECLOSURE PREVENTION SERVICES *PRESERVING, REHABBING AND INVESTING IN HOUSING *BUILDING POWERFUL AND ENDURING COMMUNITY PARTNERSHIPS CONTINUED IN SCHEDULE O

**4b** (Code ) (Expenses $ 185,246 including grants of $ 0 ) (Revenue $ 0 )

NHS' INNOVATION DIVISION IS RESPONSIBLE FOR CRAFTING NEW SOLUTIONS TO THE EVER-CHANGING ISSUES FACING CHICAGO & ELGIN NEIGHBORHOODS NHS PARTNERS AND STAFF ARE PIONEERING EFFORTS TO RESPOND TO NEIGHBORHOOD AND REGIONAL ISSUES AND TO ANTICIPATE FUTURE NEEDS FOR NHS' TARGET NEIGHBORHOODS AND CUSTOMERS THE DIVISION CONVERTS THE KNOWLEDGE AND EXPERIENCE NHS GAINS THROUGH ITS DAILY WORK IN THE NEIGHBORHOODS TO NEW PROGRAMS AND POLICY INITIATIVES THAT CAN DIRECTLY BENEFIT THOSE COMMUNITIES AND THE CHICAGO REGION OVER THE PAST SEVERAL YEARS, THE INNOVATION DIVISION HAS SPEARHEADED RESEARCH ON THE FORECLOSURE CRISIS, DEVELOPED A STATEWIDE PARTNERSHIP FOR FORECLOSURE COUNSELING AGENCIES, MANAGED THE CREATION AND AFFILIATION OF THE NHS OF THE FOX VALLEY IN ELGIN, AND LED NHS' PUSH FOR CHANGES TO THE FEDERAL HOME AFFORDABLE MODIFICATION PROGRAM (HAMP)

**4c** (Code ) (Expenses $ including grants of $ ) (Revenue $ )

**4d** Other program services (Describe in Schedule O )
(Expenses $ including grants of $ ) (Revenue $ )

**4e** **Total program service expenses** ▶ $ 3,551,793

## Part IV Checklist of Required Schedules

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | Yes | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors? | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | No |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II* | 4 | | No |
| 5 | **Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III* | 5 | | |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | No |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | No |
| 9 | Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | No |
| 10 | Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | | No |
| 11 | Is the organization's answer to any of the following questions "Yes"? *If so,complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.* | 11 | Yes | |
| | • Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.* | | | |
| | • Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.* | | | |
| | • Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.* | | | |
| | • Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.* | | | |
| | • Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.* | | | |
| | • Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.* | | | |
| 12 | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII* | 12 | | No |
| 12A | Was the organization included in consolidated, independent audited financial statements for the tax year? **Yes** / **No** | | | |
| | *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — **12A** Yes | | | |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | No |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | No |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I* | 14b | | No |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II* | 15 | | No |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III* | 16 | | No |
| 17 | Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* | 17 | | No |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | Yes | |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | No |
| 20 | Did the organization operate one or more hospitals? *If "Yes," complete Schedule H* | 20 | | No |

**Part IV Checklist of Required Schedules** *(continued)*

| | | | | |
|---|---|---|---|---|
| **21** | Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | **21** | | No |
| **22** | Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | **22** | | No |
| **23** | Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | **23** | | No |
| **24a** | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25* | **24a** | | No |
| **b** | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | **24b** | | |
| **c** | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | **24c** | | |
| **d** | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | **24d** | | |
| **25a** | **Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | **25a** | | No |
| **b** | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | **25b** | | No |
| **26** | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II* | **26** | | No |
| **27** | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III* | **27** | | No |
| **28** | Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| **a** | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | **28a** | | No |
| **b** | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | **28b** | | No |
| **c** | An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV* | **28c** | | No |
| **29** | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | **29** | | No |
| **30** | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | **30** | | No |
| **31** | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | **31** | | No |
| **32** | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | **32** | | No |
| **33** | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I* | **33** | | No |
| **34** | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1* | **34** | Yes | |
| **35** | Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | **35** | Yes | |
| **36** | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | **36** | | No |
| **37** | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | **37** | | No |
| **38** | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O | **38** | Yes | |

## Part V Statements Regarding Other IRS Filings and Tax Compliance

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | Enter the number reported in Box 3 of Form 1096, *Annual Summary and Transmittal of U.S. Information Returns. Enter -0-* if not applicable . . . . | 1a | 23 | | |
| b | Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable | 1b | 0 | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . . | 1c | | Yes | |
| 2a | Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return . . . . . | 2a | 88 | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note:** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions) | 2b | | Yes | |
| 3a | Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return? . . . . . | 3a | | | No |
| b | If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O* . . . . . | 3b | | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . . | 4a | | | No |
| b | If "Yes," enter the name of the foreign country ▶ _____ See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . | 5a | | | No |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | | | No |
| c | If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction? . . . . . | 5c | | | |
| 6a | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible? . . . . . | 6a | | | No |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . . | 6b | | | |
| 7 | **Organizations that may receive deductible contributions under section 170(c).** | | | | |
| a | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? . . . . . | 7a | | Yes | |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . . | 7b | | Yes | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . . | 7c | | | No |
| d | If "Yes," indicate the number of Forms 8282 filed during the year . . . . | 7d | | | |
| e | Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . | 7e | | | No |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . | 7f | | | No |
| g | For all contributions of qualified intellectual property, did the organization file Form 8899 as required? . . . | 7g | | | |
| h | For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? . . . . . | 7h | | | |
| 8 | **Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? . . . . . | 8 | | | |
| 9 | **Sponsoring organizations maintaining donor advised funds.** | | | | |
| a | Did the organization make any taxable distributions under section 4966? . . . . . | 9a | | | |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? . . . . . | 9b | | | |
| 10 | **Section 501(c)(7) organizations.** Enter | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 . . . | 10a | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | |
| 11 | **Section 501(c)(12) organizations.** Enter | | | | |
| a | Gross income from members or shareholders . . . . . | 11a | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) . . . . . | 11b | | | |
| 12a | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | 12a | | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | |

**Part VI** **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

## Section A. Governing Body and Management

| | | | Yes | No |
|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body . . | 1a 16 | | |
| b | Enter the number of voting members that are independent . . | 1b 16 | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . . | 2 | | No |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . | 3 | | No |
| 4 | Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? | 4 | | No |
| 5 | Did the organization become aware during the year of a material diversion of the organization's assets? . . | 5 | | No |
| 6 | Does the organization have members or stockholders? . . . . . | 6 | | No |
| 7a | Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? . . . . . | 7a | | No |
| b | Are any decisions of the governing body subject to approval by members, stockholders, or other persons? . . | 7b | | No |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | |
| a | The governing body? . . . . . | 8a | Yes | |
| b | Each committee with authority to act on behalf of the governing body? . . . . . | 8b | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . . | 9 | | No |

## Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Does the organization have local chapters, branches, or affiliates? . . . . . | 10a | | No |
| b | If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? . . . . | 10b | | |
| 11 | Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? | 11 | Yes | |
| 11A | Describe in Schedule O the process, if any, used by the organization to review the Form 990 . . . . . | | | |
| 12a | Does the organization have a written conflict of interest policy? *If "No," go to line 13* . . . . . | 12a | Yes | |
| b | Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . . | 12b | Yes | |
| c | Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done . . . . . | 12c | Yes | |
| 13 | Does the organization have a written whistleblower policy? . . . . . | 13 | Yes | |
| 14 | Does the organization have a written document retention and destruction policy? . . . . . | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official . . . . . | 15a | Yes | |
| b | Other officers or key employees of the organization . . . . . | 15b | | No |
| | If "Yes" to line a or b, describe the process in Schedule O (See instructions ) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . . | 16a | Yes | |
| b | If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? . . . . . | 16b | Yes | |

## Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶ IL

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

THOMAS MATHEW
1279 N MILWAUKEE
CHICAGO, IL 60622
(773) 329-4144

## Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| See add'l data | | | | | | | | | | |
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| | | | |
|---|---|---|---|
| **1b Total** . . . . . ▶ | 367,175 | 0 | 17,948 |

**2** Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 3

| | | | Yes | No |
|---|---|---|---|---|
| **3** | Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . . . . | **3** | | No |
| **4** | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . . . . | **4** | | No |
| **5** | Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . . . . | **5** | | No |

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| CROWE HORWATH LLP<br>70 W MADISON SUITE 700<br>CHICAGO, IL 60602 | ACCOUNTING SERVICES | 133,150 |
| | | |
| | | |
| | | |
| | | |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 1

## Part VIII Statement of Revenue

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
|---|---|---|---|---|---|---|---|
| Contributions, gifts, grants and other similar amounts | 1a | Federated campaigns | 1a 0 | | | | |
| | b | Membership dues | 1b 0 | | | | |
| | c | Fundraising events | 1c 384,280 | | | | |
| | d | Related organizations | 1d 0 | | | | |
| | e | Government grants (contributions) | 1e 793,625 | | | | |
| | f | All other contributions, gifts, grants, and similar amounts not included above | 1f 2,932,249 | | | | |
| | g | Noncash contributions included in lines 1a-1f $ 0 | | | | | |
| | h | **Total.** Add lines 1a-1f | | 4,110,154 | | | |
| Program Service Revenue | | | Business Code | | | | |
| | 2a | CONTRACTUAL SERVICES | | 2,276,415 | 2,276,415 | 0 | 0 |
| | b | INTEREST ON NOTES RECEIVABLE | | 652 | 652 | 0 | 0 |
| | c | | | 0 | 0 | 0 | 0 |
| | d | | | 0 | 0 | 0 | 0 |
| | e | | | 0 | 0 | 0 | 0 |
| | f | All other program service revenue | | 0 | 0 | 0 | 0 |
| | g | **Total.** Add lines 2a–2f | | 2,277,067 | | | |
| | 3 | Investment income (including dividends, interest and other similar amounts) | | 309 | 0 | 0 | 309 |
| | 4 | Income from investment of tax-exempt bond proceeds | | 0 | 0 | 0 | 0 |
| | 5 | Royalties | | 0 | 0 | 0 | 0 |
| | | | (i) Real / (ii) Personal | | | | |
| | 6a | Gross Rents | 0 / 0 | | | | |
| | b | Less rental expenses | 0 / 0 | | | | |
| | c | Rental income or (loss) | 0 / 0 | | | | |
| | d | Net rental income or (loss) | | 0 | 0 | 0 | 0 |
| | | | (i) Securities / (ii) Other | | | | |
| | 7a | Gross amount from sales of assets other than inventory | 0 / 0 | | | | |
| | b | Less cost or other basis and sales expenses | 0 / 0 | | | | |
| | c | Gain or (loss) | 0 / 0 | | | | |
| | d | Net gain or (loss) | | 0 | 0 | 0 | 0 |
| Other Revenue | 8a | Gross income from fundraising events (not including $ 384,280 of contributions reported on line 1c) See Part IV, line 18 a 122,795 | | | | | |
| | b | Less direct expenses b 65,498 | | | | | |
| | c | Net income or (loss) from fundraising events | | 57,297 | 0 | 0 | 57,297 |
| | 9a | Gross income from gaming activities See Part IV, line 19 a 0 | | | | | |
| | b | Less direct expenses b 0 | | | | | |
| | c | Net income or (loss) from gaming activities | | 0 | 0 | 0 | 0 |
| | 10a | Gross sales of inventory, less returns and allowances a 0 | | | | | |
| | b | Less cost of goods sold b 0 | | | | | |
| | c | Net income or (loss) from sales of inventory | | 0 | 0 | 0 | 0 |
| | | Miscellaneous Revenue | Business Code | | | | |
| | 11a | OTHER INCOME | | 16,496 | 16,496 | 0 | 0 |
| | b | | | 0 | 0 | 0 | 0 |
| | c | | | 0 | 0 | 0 | 0 |
| | d | All other revenue | | 0 | 0 | 0 | 0 |
| | e | **Total.** Add lines 11a–11d | | 16,496 | | | |
| | 12 | **Total revenue.** See Instructions | | 6,461,323 | 2,293,563 | 0 | 57,606 |

## Part IX Statement of Functional Expenses

**Section 501(c)(3) and 501(c)(4) organizations must complete all columns.**
**All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).**

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21 | 0 | 0 | | |
| 2 Grants and other assistance to individuals in the U S See Part IV, line 22 | 0 | 0 | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16 | 0 | 0 | | |
| 4 Benefits paid to or for members | 0 | 0 | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 367,165 | 275,374 | 91,791 | 0 |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 0 | 0 | 0 | 0 |
| 7 Other salaries and wages | 2,198,932 | 1,601,772 | 406,329 | 190,831 |
| 8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions) | 20,695 | 12,654 | 4,688 | 3,353 |
| 9 Other employee benefits | 257,769 | 175,618 | 58,392 | 23,759 |
| 10 Payroll taxes | 193,244 | 122,864 | 43,775 | 26,605 |
| 11 Fees for services (non-employees) | | | | |
| a Management | 0 | 0 | 0 | 0 |
| b Legal | 0 | 0 | 0 | 0 |
| c Accounting | 303,260 | 202,466 | 98,891 | 1,903 |
| d Lobbying | 0 | 0 | 0 | 0 |
| e Professional fundraising *See Part IV, line 17* | 0 | | | 0 |
| f Investment management fees | 0 | 0 | 0 | 0 |
| g Other | 0 | 0 | 0 | 0 |
| 12 Advertising and promotion | 312,750 | 165,914 | 13,614 | 133,222 |
| 13 Office expenses | 198,541 | 146,085 | 25,465 | 26,991 |
| 14 Information technology | 135,031 | 0 | 135,031 | 0 |
| 15 Royalties | 0 | 0 | 0 | 0 |
| 16 Occupancy | 281,383 | 200,877 | 47,350 | 33,156 |
| 17 Travel | 28,901 | 26,639 | 591 | 1,671 |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | 0 | 0 | 0 | 0 |
| 19 Conferences, conventions, and meetings | 81,195 | 40,279 | 37,218 | 3,698 |
| 20 Interest | 38,668 | 38,571 | 97 | 0 |
| 21 Payments to affiliates | 0 | 0 | 0 | 0 |
| 22 Depreciation, depletion, and amortization | 276,469 | 207,791 | 68,678 | 0 |
| 23 Insurance | 33,306 | 21,835 | 9,694 | 1,777 |
| 24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below ) | | | | |
| a EQUIPMENT & MAINTENANCE | 156,787 | 126,115 | 16,022 | 14,650 |
| b BAD DEBT EXPENSE | 112,301 | 112,301 | 0 | 0 |
| c PROJECTS & EVENTS | 62,017 | 53,455 | 8,562 | 0 |
| d DUES & SUBSCRIPTIONS | 12,725 | 6,754 | 3,634 | 2,337 |
| e OTHER EXPENSES | 14,473 | 14,429 | 44 | 0 |
| f All other expenses | 0 | 0 | 0 | 0 |
| 25 **Total functional expenses.** Add lines 1 through 24f | 5,085,612 | 3,551,793 | 1,069,866 | 463,953 |
| 26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation | 0 | 0 | 0 | 0 |

## Part X Balance Sheet

| | | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | | 39,603 | 1 | 270,186 |
| | 2 | Savings and temporary cash investments | | 31,970 | 2 | 8,716 |
| | 3 | Pledges and grants receivable, net | | 907,465 | 3 | 332,500 |
| | 4 | Accounts receivable, net | | 1,485,181 | 4 | 1,413,282 |
| | 5 | Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L | | 0 | 5 | 0 |
| | 6 | Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L | | 0 | 6 | 0 |
| | 7 | Notes and loans receivable, net | | 48,504 | 7 | 57,077 |
| | 8 | Inventories for sale or use | | 530,048 | 8 | 51,000 |
| | 9 | Prepaid expenses and deferred charges | | 116,446 | 9 | 302,495 |
| | 10a | Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D* | 10a 1,528,228 | | | |
| | b | Less accumulated depreciation | 10b 1,127,794 | 414,341 | 10c | 400,434 |
| | 11 | Investments—publicly traded securities | | 0 | 11 | 0 |
| | 12 | Investments—other securities See Part IV, line 11 | | 0 | 12 | 0 |
| | 13 | Investments—program-related See Part IV, line 11 | | 0 | 13 | 0 |
| | 14 | Intangible assets | | 0 | 14 | 0 |
| | 15 | Other assets See Part IV, line 11 | | 9,670 | 15 | 145,193 |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | | 3,583,228 | 16 | 2,980,883 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 702,208 | 17 | 672,839 |
| | 18 | Grants payable | | 0 | 18 | 0 |
| | 19 | Deferred revenue | | 0 | 19 | 0 |
| | 20 | Tax-exempt bond liabilities | | 0 | 20 | 0 |
| | 21 | Escrow or custodial account liability *Complete Part IV of Schedule D* | | 0 | 21 | 0 |
| | 22 | Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L* | | 0 | 22 | 0 |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | 2,879,345 | 23 | 2,686,483 |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | 0 | 24 | 0 |
| | 25 | Other liabilities Complete Part X of Schedule D | | 2,386,777 | 25 | 630,952 |
| | 26 | **Total liabilities.** Add lines 17 through 25 | | 5,968,330 | 26 | 3,990,274 |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.** | | | | |
| | 27 | Unrestricted net assets | | -4,027,688 | 27 | -1,834,306 |
| | 28 | Temporarily restricted net assets | | 1,642,586 | 28 | 824,915 |
| | 29 | Permanently restricted net assets | | 0 | 29 | 0 |
| | | **Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.** | | | | |
| | 30 | Capital stock or trust principal, or current funds | | 0 | 30 | 0 |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | 0 | 31 | 0 |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | 0 | 32 | 0 |
| | 33 | Total net assets or fund balances | | -2,385,102 | 33 | -1,009,391 |
| | 34 | Total liabilities and net assets/fund balances | | 3,583,228 | 34 | 2,980,883 |

## Part XI Financial Statements and Reporting

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | No |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | Yes | |
| c | If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | 2c | Yes | |
| d | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separated basis | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | Yes | |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | 3b | Yes | |

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# SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

## Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No 1545-0047

**2009**

**Open to Public Inspection**

Name of the organization
NEIGHBORHOOD HOUSING SERVICES OF CHICAGO INC

**Employer identification number**
23-7443009

## Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

_____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II )

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )

9 ☐ An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

**a** ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

**e** ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

**f** If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

**g** Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | Yes | No |
|---|---|---|
| **(i)** a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization? **11g(i)** | | |
| **(ii)** a family member of a person described in (i) above? **11g(ii)** | | |
| **(iii)** a 35% controlled entity of a person described in (i) or (ii) above? **11g(iii)** | | |

**h** Provide the following information about the supported organization(s)

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions)) | (iv) Is the organization in col (i) listed in your governing document? Yes | (iv) No | (v) Did you notify the organization in col (i) of your support? Yes | (v) No | (vi) Is the organization in col (i) organized in the U S ? Yes | (vi) No | (vii) Amount of support? |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| **Total** | | | | | | | | | |

**Part II** **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | 3,333,935 | 3,297,630 | 4,399,094 | 5,293,003 | 4,110,154 | 20,433,816 |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 **Total.** Add lines 1 through 3 | 3,333,935 | 3,297,630 | 4,399,094 | 5,293,003 | 4,110,154 | 20,433,816 |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | 4,805,217 |
| 6 **Public Support.** Subtract line 5 from line 4 | | | | | | 15,628,599 |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 3,333,935 | 473 | 4,399,094 | 5,293,003 | 4,110,154 | 20,433,816 |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 399 | 473 | 471 | 548 | 309 | 2,200 |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | 0 | 0 | 0 | 0 | 0 | 0 |
| 10 Other income (Explain in Part IV ) Do not include gain or loss from the sale of capital assets | 97,473 | 535,536 | 137,134 | 86,572 | 139,291 | 996,006 |
| 11 **Total support** (Add lines 7 through 10) | | | | | | 21,432,022 |

| | | | |
|---|---|---|---|
| 12 | Gross receipts from related activities, etc (See instructions ) | 12 | 7,361,733 |

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ☐

## Section C. Computation of Public Support Percentage

| | | | |
|---|---|---|---|
| 14 | Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f)) | 14 | 72 922 % |
| 15 | Public Support Percentage for 2008 Schedule A, Part II, line 14 | 15 | 84 66 % |

16a **33 1/3% support test—2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☑

b **33 1/3% support test—2008.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

17a **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ☐

b **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ☐

## Part III Support Schedule for Organizations Described in IRC 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

### Section A. Public Support

| Calendar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total.** Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 **Public Support** (Subtract line 7c from line 6 ) | | | | | | |

### Section B. Total Support

| Calendar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 13 **Total support** (Add lines 9, 10c, 11 and 12 ) | | | | | | |

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶

### Section C. Computation of Public Support Percentage

| | | |
|---|---|---|
| 15 | Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f)) | 15 |
| 16 | Public support percentage from 2008 Schedule A, Part III, line 15 | 16 |

### Section D. Computation of Investment Income Percentage

| | | |
|---|---|---|
| 17 | Investment income percentage for **2009** (line 10c column (f) divided by line 13 column (f)) | 17 |
| 18 | Investment income percentage from **2008** Schedule A, Part III, line 17 | 18 |

19a **33 1/3% support tests—2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶

b **33 1/3% support tests—2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶

**Part IV** **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

| Explanation |
| --- |
| OTHER INCOME, PART II, SECTION B, LINE 10, FUNDRAISING GROSS RECEIPTS - 2005=$43,200, 2006=$58,267, 2007=$103,436, 2008=$44,850, 2009=$122,795, TOTAL=$372,548 RENTAL INCOME - 2005=$7,250, 2007=$18,478, TOTAL=$25,728 MISCELLANEOUS - 2005=$47,023, 2006=$477,269, 2007=$15,220, 2008=$41,722, 2009=$16,496, TOTAL=$597,730, |

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 23-7443009

**Name:** NEIGHBORHOOD HOUSING SERVICES OF CHICAGO INC

**Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| BETH WITCZAK<br>SECRETARY | 5 | X | | X | | | | 0 | 0 | 0 |
| PAUL J LOPEZ<br>PRESIDENT | 5 | X | | X | | | | 0 | 0 | 0 |
| DAN WATTS<br>DIRECTOR - PART YEAR | 5 | X | | | | | | 0 | 0 | 0 |
| KAREN MUCHIN<br>DIRECTOR - PART YEAR | 5 | X | | | | | | 0 | 0 | 0 |
| THOMAS FITZGIBBON<br>DIRECTOR - PART YEAR | 5 | X | | | | | | 0 | 0 | 0 |
| YASMINE BATES<br>DIRECTOR - PART YEAR | 5 | X | | | | | | 0 | 0 | 0 |
| TONY SMITH<br>DIRECTOR - PART YEAR | 5 | X | | | | | | 0 | 0 | 0 |
| DAVE KAPTAIN<br>DIRECTOR - PART YEAR | 5 | X | | | | | | 0 | 0 | 0 |
| DAVE VEURINK<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| ELIZABETH TILMON<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| BETTY JO SWANSON<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| ROBERT STEELE<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| JIMMY SIMMONS<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| ALLEN RODRIQUEZ<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| THOMAS D PANOS<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| FLOYD MINOR<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| THOMAS HARAZIM<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| CRAIG GILMORE<br>DIRECTOR | 5 | X | | | | | | 0 | 0 | 0 |
| BRUCE MARTIN<br>DIRECTOR - PART YEAR | 5 | X | | | | | | 0 | 0 | 0 |
| JUDITH C RICE<br>DIRECTOR - PART YEAR | 5 | X | | | | | | 0 | 0 | 0 |
| KATHLEEN WALSH<br>CHIEF FINANCIAL OFFICER | 14 | | | X | | | | 125,422 | 0 | 7,383 |
| JAMES WHEATON<br>PROGRAM SERVICE & STRATEGIES/INTERIM EXECUTIVE DIRECTOR | 14 | | | X | | | | 105,915 | 0 | 8,266 |
| BRUCE GOTTSCHALL<br>EXECUTIVE DIRECTOR - PART YEAR | 14 | | | X | | | | 135,838 | 0 | 2,299 |

**Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -**

| | Business Code | (A) Total Revenue | (B) Related or Exempt Function Revenue | (C) Unrelated Business Revenue | (D) Revenue Excluded from Tax under IRC 512, 513, or 514 |
|---|---|---|---|---|---|
| CONTRACTUAL SERVICES | | 2,276,415 | 2,276,415 | 0 | 0 |
| INTEREST ON NOTES RECEIVABLE | | 652 | 652 | 0 | 0 |
| | | 0 | 0 | 0 | 0 |
| | | 0 | 0 | 0 | 0 |
| | | 0 | 0 | 0 | 0 |

## Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

| *Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.* | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| EQUIPMENT & MAINTENANCE | 156,787 | 126,115 | 16,022 | 14,650 |
| BAD DEBT EXPENSE | 112,301 | 112,301 | 0 | 0 |
| PROJECTS & EVENTS | 62,017 | 53,455 | 8,562 | 0 |
| DUES & SUBSCRIPTIONS | 12,725 | 6,754 | 3,634 | 2,337 |
| OTHER EXPENSES | 14,473 | 14,429 | 44 | 0 |

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**SCHEDULE D** (Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

**2009**

**Open to Public Inspection**

**Name of the organization**
NEIGHBORHOOD HOUSING SERVICES OF CHICAGO INC

**Employer identification number**
23-7443009

## Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | | |
| 2 | Aggregate contributions to (during year) | | |
| 3 | Aggregate grants from (during year) | | |
| 4 | Aggregate value at end of year | | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

## Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically importantly land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | | | Held at the End of the Year |
|---|---|---|---|
| a | Total number of conservation easements | 2a | |
| b | Total acreage restricted by conservation easements | 2b | |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after 8/17/06 | 2d | |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a ☐ Public exhibition
- b ☐ Scholarly research
- c ☐ Preservation for future generations
- d ☐ Loan or exchange programs
- e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

## Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

| | | Amount |
|---|---|---|
| c Beginning balance | 1c | |
| d Additions during the year | 1d | |
| e Distributions during the year | 1e | |
| f Ending balance | 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

## Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | (a) Current Year | (b) Prior Year | (c) Two Years Back | (d) Three Years Back | (e) Four Years Back |
|---|---|---|---|---|---|
| 1a Beginning of year balance | | | | | |
| b Contributions | | | | | |
| c Investment earnings or losses | | | | | |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | | | | | |
| f Administrative expenses | | | | | |
| g End of year balance | | | | | |

2 Provide the estimated percentage of the year end balance held as

- a Board designated or quasi-endowment ▶ %
- b Permanent endowment ▶ %
- c Term endowment ▶ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

| | | Yes | No |
|---|---|---|---|
| (i) unrelated organizations | 3a(i) | | |
| (ii) related organizations | 3a(ii) | | |
| b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b | | |

4 Describe in Part XIV the intended uses of the organization's endowment funds

## Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

| Description of investment | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|---|---|---|---|
| 1a Land | 0 | 0 | | 0 |
| b Buildings | 0 | 133,038 | 68,736 | 64,302 |
| c Leasehold improvements | 0 | 446,195 | 323,200 | 122,995 |
| d Equipment | 0 | 948,995 | 735,858 | 213,137 |
| e Other | 0 | 0 | 0 | 0 |
| **Total.** Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶ | | | | 400,434 |

**Part VII Investments—Other Securities.** See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| Financial derivatives | | |
| Closely-held equity interests | | |
| Other | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 12 )* ▶ | | |

**Part VIII Investments—Program Related.** See Form 990, Part X, line 13.

| (a) Description of investment type | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| | | |
| | | |
| | | |
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| | | |
| | | |
| | | |
| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 13 )* ▶ | | |

**Part IX Other Assets.** See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|---|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . . ▶ | |

**Part X Other Liabilities.** See Form 990, Part X, line 25.

| 1 (a) Description of Liability | (b) Amount |
|---|---|
| Federal Income Taxes | |
| PROGRAM INCOME DUE TO CITY | 609,665 |
| PRINCIPAL INTEREST DUE TO INVESTORS | 21,287 |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) should equal Form 990, Part X, col (B) line 25 )* ▶ | 630,952 |

**2.** Fin 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

## Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

| | | | |
|---|---|---|---|
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | 1 | 6,461,323 |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | 2 | 5,085,612 |
| 3 | Excess or (deficit) for the year Subtract line 2 from line 1 | 3 | 1,375,711 |
| 4 | Net unrealized gains (losses) on investments | 4 | 0 |
| 5 | Donated services and use of facilities | 5 | 0 |
| 6 | Investment expenses | 6 | 0 |
| 7 | Prior period adjustments | 7 | 0 |
| 8 | Other (Describe in Part XIV) | 8 | 0 |
| 9 | Total adjustments (net) Add lines 4 - 8 | 9 | 0 |
| 10 | Excess or (deficit) for the year per financial statements Combine lines 3 and 9 | 10 | 1,375,711 |

## Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 6,556,821 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | | | |
| a | Net unrealized gains on investments | 2a | 0 | | |
| b | Donated services and use of facilities | 2b | 30,000 | | |
| c | Recoveries of prior year grants | 2c | 0 | | |
| d | Other (Describe in Part XIV) | 2d | 65,498 | | |
| e | Add lines **2a** through **2d** | | | 2e | 95,498 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 6,461,323 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line **1** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | 0 | | |
| b | Other (Describe in Part XIV) | 4b | 0 | | |
| c | Add lines **4a** and **4b** | | | 4c | 0 |
| 5 | Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12 ) | | | 5 | 6,461,323 |

## Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | 5,181,110 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | | |
| a | Donated services and use of facilities | 2a | 30,000 | | |
| b | Prior year adjustments | 2b | 0 | | |
| c | Other losses | 2c | 0 | | |
| d | Other (Describe in Part XIV) | 2d | 65,498 | | |
| e | Add lines **2a** through **2d** | | | 2e | 95,498 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 5,085,612 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line **1:** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | 0 | | |
| b | Other (Describe in Part XIV) | 4b | 0 | | |
| c | Add lines **4a** and **4b** | | | 4c | 0 |
| 5 | Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18 ) | | | 5 | 5,085,612 |

## Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

| Identifier | Return Reference | Explanation |
|---|---|---|
| FIN 48 footnote | Schedule D, Part X, Line 2 | THE ORGANIZATION ADOPTED GUIDANCE ISSUED BY THE FINANCIAL ACCOUNTING STANDARDS BOARD (FASB) WITH RESPECT TO ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES AS OF APRIL 1, 2009 A TAX POSITION IS RECOGNIZED AS A BENEFIT ONLY IF IT IS MORE LIKELY THAN NOT THAT THE TAX POSITION WOULD BE SUSTAINED IN A TAX EXAMINATION, WITH A TAX EXAMINATION BEING PRESUMED TO OCCUR THE AMOUNT RECOGNIZED IS THE LARGEST AMOUNT OF TAX BENEFIT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED ON EXAMINATION FOR TAX POSITIONS NOT MEETING THE MORE LIKELY THAN NOT TEST, NO TAX BENEFIT IS RECORDED MANAGEMENT BELIEVE THE ORGANIZATION HAS NO MATERIAL UNRECOGNIZED INCOME TAX BENEFITS, INCLUDING ANY POTENTIAL LOSS OF ITS TAX EXEMPT STATUS THE ORGANIZATION HAS NO ONGOING FEDERAL, STATE OR LOCAL TAX AUDITS, HOWEVER, NHS'S TAX RETURNS FOR 2007 AND SUBSEQUENT YEARS ARE OPEN TO EXAMINATION |
| Other revenues in audited financial statements not in form 990 | Schedule D, Part XII, Line 2d | SPECIAL EVENT EXPENSE - 65498, OTHER - 0, TOTAL - 65498 |
| Other expenses in audited financial statements not in form 990 | Schedule D, Part XIII, Line 2d | SPECIAL EVENT EXPENSE - 65498, OTHER - 0, TOTAL - 65498 |

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SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

# Supplemental Information Regarding Fundraising or Gaming Activities

**Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.**
**▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No. 1545-0047

**2009**

**Open to Public Inspection**

Name of the organization
NEIGHBORHOOD HOUSING SERVICES OF CHICAGO INC

**Employer identification number**
23-7443009

**Part I** **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

**1** Indicate whether the organization raised funds through any of the following activities Check all that apply

- **a** ☐ Mail solicitations
- **b** ☐ Internet and e-mail solicitations
- **c** ☐ Phone solicitations
- **d** ☐ In-person solicitations
- **e** ☐ Solicitation of non-government grants
- **f** ☐ Solicitation of government grants
- **g** ☐ Special fundraising events

**2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities? ☐ **Yes** ☐ **No**

**b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization Form 990-EZ filers are not required to complete this table

| **(i)** Name of individual or entity (fundraiser) | **(ii)** Activity | **(iii)** Did fundraiser have custody or control of contributions? Yes | (iii) No | **(iv)** Gross receipts from activity | **(v)** Amount paid to (or retained by) fundraiser listed in col **(i)** | **(vi)** Amount paid to (or retained by) organization |
|---|---|---|---|---|---|---|
| | | | | | | |
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| **Total** . . . . . ▶ | | | | | | |

**3** List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

**Part II** **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than $5,000.

| | | (a) Event #1 ANNUAL AWARDS DINNER (event type) | (b) Event #2 (event type) | (c) Other Events (total number) | (d) Total Events (Add col (a) through col (c)) |
|---|---|---|---|---|---|
| Revenue | 1 Gross receipts | 507,075 | | | 507,075 |
| | 2 Less Charitable contributions | 384,280 | | | 384,280 |
| | 3 Gross income (line 1 minus line 2) | 122,795 | | | 122,795 |
| Direct Expenses | 4 Cash prizes | 0 | | | 0 |
| | 5 Non-cash prizes | 0 | | | 0 |
| | 6 Rent/facility costs | 59,321 | | | 59,321 |
| | 7 Food and beverages | | | | |
| | 8 Entertainment | 600 | | | 600 |
| | 9 Other direct expenses | 5,577 | | | 5,577 |
| | 10 Direct expense summary Add lines 4 through 9 in column (d) ▶ | | | | 65,498 |
| | 11 Net income summary Combine lines 3, column d, and line 10 ▶ | | | | 57,297 |

**Part III** **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

| | | (a) Bingo | (b) Pull tabs/Instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming (Add col (a) through col (c)) |
|---|---|---|---|---|---|
| Revenue | 1 Gross revenue | | | | |
| Direct Expenses | 2 Cash prizes | | | | |
| | 3 Non-cash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| | 6 Volunteer labor | ☐ Yes ____ % ☐ No | ☐ Yes ____ % ☐ No | ☐ Yes ____ % ☐ No | |
| | 7 Direct expense summary Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 Net gaming income summary Combine lines 1, column d, and line 7 ▶ | | | | |

| | | | Yes | No |
|---|---|---|---|---|
| 9 | Enter the state(s) in which the organization operates gaming activities _____ | | | |
| a | Is the organization licensed to operate gaming activities in each of these states? | 9a | | |
| b | If "No," Explain | | | |
| 10a | Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? | 10a | | |
| b | If "Yes," Explain | | | |
| 11 | Does the organization operate gaming activities with nonmembers? | 11 | | |
| 12 | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? | 12 | | |

| | | | Yes | No |
|---|---|---|---|---|
| **13** | Indicate the percentage of gaming activity operated in | | | |
| **a** | The organization's facility | **13a** | | |
| **b** | An outside facility | **13b** | | |
| **14** | Enter the name and address of the person who prepares the organization's gaming/special events books and records | | | |
| | Name ▶ | | | |
| | Address ▶ | | | |
| **15a** | Does the organization have a contract with a third party from whom the organization receives gaming revenue? | **15a** | | |
| **b** | If "Yes," enter the amount of gaming revenue received by the organization ▶ $ _____ and the amount of gaming revenue retained by the third party ▶ $ _____ | | | |
| **c** | If "Yes," enter name and address | | | |
| | Name ▶ | | | |
| | Address ▶ | | | |
| **16** | Gaming manager information | | | |
| | Name ▶ | | | |
| | Gaming manager compensation ▶ $ _____ | | | |
| | Description of services provided ▶ | | | |
| | ☐ Director/officer ☐ Employee ☐ Independent contractor | | | |
| **17** | Mandatory distributions | | | |
| **a** | Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? | **17a** | | |
| **b** | Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $ | | | |

**SCHEDULE O** (Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Information to Form 990

**Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.**
**▶ Attach to Form 990.**

OMB No 1545-0047

**2009**

**Open to Public Inspection**

**Name of the organization**
NEIGHBORHOOD HOUSING SERVICES OF CHICAGO INC

**Employer identification number**
23-7443009

| Identifier | Return Reference | Explanation |
|---|---|---|
| Review of form 990 by governing body | Form 990, Part VI, Section B, Line 11A | THE ORGANIZATION'S FINANCE DEPARTMENT AND PAID TAX PREPARERS ARE RESPONSIBLE FOR THE PREPARATION OF THE FORM 990 AND ALL REQUIRED SCHEDULES BEFORE IT IS FILED WITH THE IRS, THE FORM 990 IS REVIEWED BY MANAGEMENT AS WELL AS THE AUDIT AND FINANCE COMMITTEES OF THE BOARD SUBSEQUENT TO THIS REVIEW, A COMPLETE COPY OF THE FORM 990 IS PROVIDED TO THE FULL NHS BOARD OF DIRECTORS PRIOR TO FILING WITH THE IRS |
| Conflict of interest policy | Form 990, Part VI, Section B, Line 12c | THE ORGANIZATION REQUIRES ALL PERSONNEL AND BOARD MEMBERS TO SIGN A CONFLICT OF INTEREST AFFIDAVIT ON AN ANNUAL BASIS THE PRESIDENT OF THE BOARD OF DIRECTORS WILL DETERMINE THE APPROPRIATE COURSE OF ACTION FOR ALL POTENTIAL OR ACTUAL CONFLICTS OF INTEREST THAT MAY EXIST INVOLVING A BOARD MEMBER IN THE INSTANCE THAT A POTENTIAL CONFLICT OF INTEREST ARISES WITH A BOARD MEMBER ON AN ISSUE REQUIRING A VOTE, THE BOARD MEMBER IS REQUIRED TO DISCLOSE THE CONFLICT, ABSTAIN FROM VOTING, AND MAY BE REQUIRED BY THE PRESIDENT OF THE BOARD TO LEAVE THE ROOM DURING THE VOTE THE EXECUTIVE DIRECTOR WILL DETERMINE THE APPROPRIATE COURSE OF ACTION FOR ALL POTENTIAL CONFLICTS OF INTEREST INVOLVING THE ORGANIZATION'S EMPLOYEES |
| Process used to establish compensation of top management official | Form 990, Part VI, Section B, Line 15a | COMPENSATION FOR ALL OFFICERS IS SUBJECT TO A COMPARABILITY REVIEW BY THE HUMAN RESOURCES DIRECTOR AND DOCUMENTED ON A TIMELY BASIS SALARY RANGES ON ALL POSITION CLASSIFICATIONS ARE REVIEWED AT LEAST ANNUALLY BY THE HUMAN RESOURCES COMMITTEE OF THE BOARD OF DIRECTORS IN ADDITION, THE SALARY OF THE TOP MANAGEMENT OFFICIAL IS REVIEWED AND APPROVED BY THE INDEPENDENT BOARD OF DIRECTORS ON AN ANNUAL BASIS DURING THE ORGANIZATION'S ANNUAL BUDGETING PROCESS THE COMPENSATION OF THE TOP MANAGEMENT OFFICIAL WAS LAST REVIEWED AND APPROVED IN APRIL OF 2009 THE COMPENSATION FOR THE ORGANIZATION'S OTHER OFFICERS IS REVIEWED AND APPROVED BY THE EXECUTIVE DIRECTOR THE EXECUTIVE DIRECTOR SIGNS A HIRING APPROVAL FORM FOR NEW HIRES TO APPROVE A NEW POSITION'S SALARY A PAYROLL CHANGE FORM IS ALSO COMPLETED FOR NEW POSITIONS TO ENSURE THE EMPLOYEE IS ESTABLISHED IN THE PAYROLL PROCESSING SYSTEM ON A TIMELY BASIS MERIT INCREASES FOR OTHER OFFICERS AND KEY EMPLOYEES ARE APPROVED BY THE EXECUTIVE DIRECTOR BY SIGNING A PAYROLL CHANGE FORM THE DELIBERATIONS AND DECISION MAKING REGARDING THE DETERMINATION OF COMPENSATION ARE DOCUMENTED ON A TIMELY BASIS BY THE HUMAN RESOURCES DEPARTMENT |
| Public Disclosure | Form 990, Part VI, Section C, Line 19 | FINANCIAL STATEMENTS, GOVERNING DOCUMENTS, AND CONFLICT OF INTEREST POLICIES ARE NOT REQUIRED DISCLOSURES PURSUANT TO INTERNAL REVENUE CODE (IRC) SECTION 6104 WITH THE EXCEPTION OF THE FINANCIAL STATEMENTS, WHICH ARE PROVIDED TO FUNDERS, GOVERNMENT AGENCIES, AND PROSPECTIVE DONORS, THESE DOCUMENTS ARE NOT AVAILABLE TO THE PUBLIC AT THIS TIME |
| PROCESS USED TO ESTABLISH COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES | FORM 990, PART VI, SECTION B, LINE 15A & B | COMPENSATION FOR ALL OFFICERS IS SUBJECT TO A COMPARABILITY REVIEW BY THE HUMAN RESOURCES DIRECTOR AND DOCUMENTED ON A TIMELY BASIS SALARY RANGES ON ALL POSITION CLASSIFICATIONS ARE REVIEWED AT LEAST ANNUALLY BY THE HUMAN RESOURCES COMMITTEE OF THE BOARD OF DIRECTORS IN ADDITION, THE SALARY OF THE TOP MANAGEMENT OFFICIAL IS REVIEWED AND APPROVED BY THE INDEPENDENT BOARD OF DIRECTORS AND WAS MOST RECENTLY PERFORMED IN MARCH, 2006 SALARIES FOR THE ORGANIZATION'S OTHER OFFICERS ARE REVIEWED AND APPROVED BY THE EXECUTIVE DIRECTOR THE EXECUTIVE DIRECTOR SIGNS A HIRING APPROVAL FORM FOR NEW HIRES TO APPROVE A NEW POSITION'S SALARY A PAYROLL CHANGE FORM IS ALSO COMPLETED FOR NEW POSITIONS TO ENSURE THE EMPLOYEE IS ESTABLISHED IN THE PAYROLL PROCESSING SYSTEM ON A TIMELY BASIS MERIT INCREASES FOR OTHER OFFICERS AND KEY EMPLOYEES ARE APPROVED BY THE EXECUTIVE DIRECTOR BY SIGNING A PAYROLL CHANGE FORM THE DELIBERATIONS AND DECISION MAKING REGARDING THE DETERMINATION OF COMPENSATION ARE DOCUMENTED ON A TIMELY BASIS BY THE HUMAN RESOURCES DEPARTMENT |
| COMPENSATION OF OFFICERS, DIRECTORS, TRUSTEES, KEY EMPLOYEES, ETC | FORM 990, PART VII, SECTION A, LINE 1(A), COLUMN (B) | BRUCE GOTTSCHALL (EXECUTIVE DIRECTOR - PART YEAR), KATHLEEN WALSH (CHIEF FINANCIAL OFFICER), AND JAMES WHEATON (PROGRAM SERVICE & STRATEGIES/INTERIM EXECUTIVE DIRECTOR) DEVOTE THE FOLLOWING HOURS PER WEEK TO THE RELATED TAX-EXEMPT AND TAXABLE ORGANIZATIONS LISTED BELOW 14 HOURS TO NEIGHBORHOOD HOUSING SERVICES OF CHICAGO, INC (NHS) 14 HOURS TO NEIGHBORHOOD LENDING SERVICES, INC (NLS) 4 HOURS TO NHS REDEVELOPMENT CORPORATION (NHSRC) 2 HOURS TO NHSRC INITIATIVES, INC (NHSRCI) 1 HOUR TO NHS OF THE FOX VALLEY (NHSFV) |
| ORGANIZATION'S MISSION | FORM 990, PART III, LINE 1 | NHS WAS ORGANIZED TO PROVIDE SERVICES TO RESIDENTS AND OWNERS OF REAL PROPERTY INCLUDING (I) DISSEMINATION OF INFORMATION CONCERNING HOUSING AND COMMUNITY IMPROVEMENT PROGRAMS, (II) STIMULATION IN THE AVAILABILITY OF IMPROVEMENT LOANS AND OTHER FINANCING TO ASSIST AND ENCOURAGE THE PRESERVATION, REPAIR, AND IMPROVEMENT OF THE SUPPLY OF RESIDENTIAL HOUSING, (III) ENCOURAGEMENT FOR ALL LEVELS OF GOVERNMENT TO PROVIDE SERVICES, IMPROVEMENTS, AND INCENTIVES TO STIMULATE THE HOUSING CONSERVATION PROCESS, (IV) IMPROVEMENT OF THE FLOW OF INFORMATION AND COMMUNICATION BETWEEN COMMUNITY RESIDENTS, CITY AND COUNTY GOVERNMENT, AND FINANCIAL INSTITUTIONS REGARDING FINANCING TERMS AND METHODS, AS WELL AS COOPERATIVE EFFORTS TO PREVENT COMMUNITY DETERIORATION, (V) PROVIDING TECHNICAL ASSISTANCE IN BOTH HOUSING MATTERS AND OTHER ACTIVITIES FOR THE COMMUNITY GOOD, (VI) ENCOURAGEMENT AND ASSISTANCE IN FORMATION OF LOCAL ORGANIZATIONS OF COMMUNITY RESIDENTS TO ACHIEVE THESE GOALS, AND (VII) FINANCIAL SUPPORT OF THESE COMMUNITY ORGANIZATIONS, AND PROVIDING LOAN FUNDS FOR THOSE WHO COULD NOT OTHERWISE OBTAIN FINANCING NHS IS A COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION (CDFI), CERTIFIED BY THE UNITED STATES DEPARTMENT OF THE TREASURY AS OF SEPTEMBER 19, 1996, AND IS A CHARTERED MEMBER OF NEIGHBORWORKS AMERICA |
| PROGRAM SERVICE DESCRIPTION | FORM 990, PART III, LINE 4A | NHS' COUNSELING AND EDUCATION SERVICES INCLUDED PROVIDING 1,606 POTENTIAL HOME BUYERS WITH INDIVIDUAL COUNSELING AND EDUCATION SO THAT THEY COULD SUCCESSFULLY PURCHASE A HOME, PROVIDING CLASSROOM EDUCATION TO 1,220 POTENTIAL HOME BUYERS THROUGH NHS' FREE 8-HOUR HOMEBUYER CURRICULUM (OFFERED IN BOTH ENGLISH AND SPANISH 7 TIMES WEEKLY), PROVIDING INDIVIDUAL COUNSELING AND INTERVENTION SERVICES TO 2,836 HOMEOWNERS AT RISK OF FORECLOSURE, AND PROVIDING EDUCATION AND GUIDANCE TO 2,876 HOMEOWNERS AT RISK OF FORECLOSURE THROUGH NHS' FREE FORECLOSURE PREVENTION WORKSHOPS (OFFERED 4 TIMES WEEKLY) NHS ALSO ASSISTED 1,072 HOMEOWNERS IN SUBMITTING LOAN MODIFICATION APPLICATIONS TO THEIR LENDERS THROUGH "FIX YOUR MORTGAGE" AND "SAVE YOUR HOME" MEGA EVENTS, LEVERAGING HUNDREDS OF VOLUNTEERS FROM THE BANKING, LAW AND HOUSING COUNSELING FIELDS ADDITIONALLY, NHS PROVIDED EDUCATION AND INDIVIDUAL COUNSELING TO OTHER TARGET POPULATIONS, SUCH AS INDIVIDUAL TAXPAYER IDENTIFICATION NUMBER (ITIN) HOLDERS SEEKING TO PURCHASE A HOME AND 62 SENIOR HOME OWNERS WHO WERE CONSIDERING GETTING HOME EQUITY CONVERSION MORTGAGES ('REVERSE' MORTGAGES) NHS HAS A VOLUNTEER NEIGHBORHOOD ADVISORY COUNCIL IN EACH OF ITS NINE TARGETED NEIGHBORHOODS IN ADDITION TO A BOARD OF DIRECTORS THE NEIGHBORHOOD ADVISORY COUNCILS ARE COMPRISED OF NEIGHBORHOOD RESIDENTS AND BUSINESS PARTNERS WHO PLAN FOR AND DIRECT THE STRATEGY THAT IS UNIQUE TO EACH NEIGHBORHOOD AND IMPLEMENTED BY THE STAFF AND VOLUNTEERS THE EXPERIENCE, DEPTH OF KNOWLEDGE, AND ON-THE-GROUND INTELLIGENCE THAT COMES FROM THE NEIGHBORHOOD RESIDENTS AND STAFF WORKING ON THE BLOCKS AND IN THE NEIGHBORHOODS INFORMS NHS' LEADERSHIP SO THAT NHS CAN CREATE AND IMPLEMENT INNOVATIVE STRATEGIES TO ADDRESS THE COMMUNITY DEVELOPMENT NEEDS OF LOW-MODERATE INCOME COMMUNITIES AN EXAMPLE OF THIS GRASS-ROOTS INTELLIGENCE LEADING TO AN INNOVATIVE STRATEGY IS NHS' HOME OWNERSHIP PRESERVATION INITIATIVE (HOPI) WHICH DEVELOPED OUT OF NEIGHBORHOOD STAFF AND RESIDENTS IDENTIFYING A GROWING PROBLEM OF SUB-PRIME MORTGAGE FORECLOSURES LONG BEFORE THE FORECLOSURE CRISIS BECAME REGIONAL OR NATIONAL ISSUE NHS PROVIDES THE FOLLOWING SERVICES THROUGH HOPI PRE-PURCHASE EDUCATION, FORECLOSURE PREVENTION WORKSHOPS, LOSS MITIGATION COUNSELING, FORECLOSURE PREVENTION LOANS, RECLAMATION OF FORECLOSED AND VACANT PROPERTIES AND PURCHASE OF REHAB LOANS |

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# SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

## Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

**2009**

**Open to Public Inspection**

**Name of the organization**
NEIGHBORHOOD HOUSING SERVICES OF CHICAGO INC

**Employer identification number**
23-7443009

## Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

| (a) Name, address, and EIN of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
|---|---|---|---|---|---|

## Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity |
|---|---|---|---|---|---|
| NEIGHBORHOOD LENDING SERVICES INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL 60622<br>36-3471392 | RESIDENTIAL MORTGAGE | IL | 501(C)(3) | 7 | NA |
| NHS REDEVELOPMENT CORPORATION<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL 60622<br>36-3014600 | RENOVATION & CONSTRUCTION | IL | 501(C)(3) | 9 | NA |
| NHS OF THE FOX VALLEY<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL 60622<br>36-3133793 | COMMUNITY DEVELOPMENT | IL | 501(C)(3) | 7 | NA |

**Part III** **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproprtionate allocations? Yes | (h) No | (i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? Yes | (j) No |
|---|---|---|---|---|---|---|---|---|---|---|---|
| PC AUSTIN LLC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>36-4149095 | PROPERTY RENTALS | IL | NHSRC | RELATED | | | | No | 0 | | No |
| ROSELAND NEW HOMES PHASE II LLC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>37-1510905 | DEVELOPMENT | IL | NHSRC | RELATED | | | | No | 0 | | No |

**Part IV** **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership |
|---|---|---|---|---|---|---|---|

See Additional Data Table

## Part V Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, or 36.)

| | | Yes | No |
|---|---|---|---|
| **Note.** Complete line 1 if any entity is listed in Parts II, III or IV | | | |
| **1** During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? | | | |
| **a** Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties **(iv)** rent from a controlled entity | 1a | | No |
| **b** Gift, grant, or capital contribution to other organization(s) | 1b | | No |
| **c** Gift, grant, or capital contribution from other organization(s) | 1c | | No |
| **d** Loans or loan guarantees to or for other organization(s) | 1d | Yes | |
| **e** Loans or loan guarantees by other organization(s) | 1e | Yes | |
| **f** Sale of assets to other organization(s) | 1f | | No |
| **g** Purchase of assets from other organization(s) | 1g | | No |
| **h** Exchange of assets | 1h | | No |
| **i** Lease of facilities, equipment, or other assets to other organization(s) | 1i | | No |
| **j** Lease of facilities, equipment, or other assets from other organization(s) | 1j | | No |
| **k** Performance of services or membership or fundraising solicitations for other organization(s) | 1k | | No |
| **l** Performance of services or membership or fundraising solicitations by other organization(s) | 1l | | No |
| **m** Sharing of facilities, equipment, mailing lists, or other assets | 1m | Yes | |
| **n** Sharing of paid employees | 1n | Yes | |
| **o** Reimbursement paid to other organization for expenses | 1o | Yes | |
| **p** Reimbursement paid by other organization for expenses | 1p | Yes | |
| **q** Other transfer of cash or property to other organization(s) | 1q | Yes | |
| **r** Other transfer of cash or property from other organization(s) | 1r | Yes | |

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| (a) Name of other organization | (b) Transaction type(a-r) | (c) Amount involved |
|---|---|---|
| **(1)** NEIGHBORHOOD LENDING SERVICES INC | N | 1,075,045 |
| **(2)** NHS REDEVELOPMENT CORPORATION | N | 299,754 |
| **(3)** NHS OF THE FOX VALLEY | N | 86,820 |
| **(4)** NHS REDEVELOPMENT CORPORATION | Q | 404,964 |
| **(5)** NEIGHBORHOOD LENDING SERVICES INC | Q | 3,886,518 |
| **(6)** NHS OF THE FOX VALLEY | Q | 419,752 |

## Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

| (a) Name, address, and EIN of entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Are all partners section 501(c)(3) organizations? Yes | (d) No | (e) Share of end-of-year assets | (f) Disproprtionate allocations? Yes | (f) No | (g) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065) | (h) General or managing partner? Yes | (h) No |
|---|---|---|---|---|---|---|---|---|---|---|

## Additional Data

Return to Form

**Software ID:** 09000329
**Software Version:** v2009.2.0
**EIN:** 23-7443009
**Name:** NEIGHBORHOOD HOUSING SERVICES OF CHICAGO INC

### Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income ($) | (g) Share of end-of-year assets ($) | (h) Percentage ownership |
|---|---|---|---|---|---|---|---|
| NHSRC INITIATIVES<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>26-2850045 | REHABILITATION | IL | NHSRC | C CORPORATION | | | |
| NEW PARTNERSHIP INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>36-3054270 | REAL ESTATE RENT | IL | NHSRC | C CORPORATION | | | |
| PINE CENTRAL INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>36-4149093 | REAL ESTATE RENT | IL | NHSRC | C CORPORATION | | | |
| ROSELAND RIDGE INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>36-4223129 | REAL ESTATE RENT | IL | NHSRC | C CORPORATION | | | |
| PINE RACE II INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>83-0348573 | REAL ESTATE RENT | IL | NHSRC | C CORPORATION | | | |
| NHS ROSELAND SLF INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>76-0768920 | REAL ESTATE RENT | IL | NHSRC | C CORPORATION | | | |
| NHS SOUTH CHICAGO SLF INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>76-3182160 | REAL ESTATE RENT | IL | NHSRC | C CORPORATION | | | |
| NHS SOUTH CHICAGO SA INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>61-1587714 | REAL ESTATE RENT | IL | NHSRC | C CORPORATION | | | |
| NHS WRIGHTWOOD INC<br>1279 N MILWAUKEE AVENUE<br>CHICAGO, IL60622<br>80-0361458 | REAL ESTATE RENT | IL | NHSRC | C CORPORATION | | | |

### Form 990, Schedule R, Part V - Transactions With Related Organizations

| | (a) Name of other organization | (b) Transaction type(a-r) | (c) Amount Involved ($) |
|---|---|---|---|
| (1) | NEIGHBORHOOD LENDING SERVICES INC | N | 1,075,045 |
| (2) | NHS REDEVELOPMENT CORPORATION | N | 299,754 |
| (3) | NHS OF THE FOX VALLEY | N | 86,820 |
| (4) | NHS REDEVELOPMENT CORPORATION | Q | 404,964 |
| (5) | NEIGHBORHOOD LENDING SERVICES INC | Q | 3,886,518 |
| (6) | NHS OF THE FOX VALLEY | Q | 419,752 |