Form **990-PF**
Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**
**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
**2009**

**For calendar year 2009, or tax year beginning** 04/01 , 2009, and ending 03/31, 20 10

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label Otherwise, print or type See Specific Instructions.

Name of foundation
THERESE PLUNKETT FOUNDATION . 626905046404
C/O CITICORP TRUST, FSB

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
666 5TH AVENUE - FLOOR 12B

City or town, state, and ZIP code
NEW YORK, NY 10103

**A Employer identification number** 20-1078653

**B** Telephone number (see page 10 of the instructions) (   )   -

**C** If exemption application is pending, check here ▶ [ ]
**D** 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 3,178,998.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ________
*(Part I, column (d) must be on cash basis )*

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 Check ▶ [X] if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 73,924. | 64,530. | | STMT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -158,451. | | | |
| | b Gross sales price for all assets on line 6a 1,751,903. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | none | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10 a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 2,530. | | | STMT 2 |
| | 12 **Total** Add lines 1 through 11 | -81,997. | 64,530. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 30,253. | 15,126. | | 15,127. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) STMT 3 | 648. | 708. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) STMT 4 | 117. | 82. | | 35. |
| | 24 **Total operating and administrative expenses** Add lines 13 through 23 | 31,018. | 15,916. | | 15,162. |
| | 25 Contributions, gifts, grants paid | 155,000. | | | 155,000. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 186,018. | 15,916. | | 170,162. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | -268,015. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 48,614. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

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| Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)) | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 183,599. | 139,776. | 139,776. |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10 a Investments - U S and state government obligations (attach schedule) | | | |
| | b Investments - corporate stock (attach schedule) | | | |
| | c Investments - corporate bonds (attach schedule) | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other (attach schedule) STMT 5 | 2,992,645. | 2,768,116. | 3,039,222. |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 3,176,244. | 2,907,892. | 3,178,998. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ X** | | | |
| | 27 Capital stock, trust principal, or current funds | 3,176,244. | 2,907,892. | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 **Total net assets or fund balances** (see page 17 of the instructions) | 3,176,244. | 2,907,892. | |
| | 31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 3,176,244. | 2,907,892. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,176,244. |
| 2 | Enter amount from Part I, line 27a | 2 | -268,015. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6 | 3 | 1,981. |
| 4 | Add lines 1, 2, and 3 | 4 | 2,910,210. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7 | 5 | 2,318. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 2,907,892. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV DETAIL | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 -157,362.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8 3 none

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 191,219. | 3,011,577. | 0.06349464085 |
| 2007 | 233,149. | 3,911,463. | 0.05960659733 |
| 2006 | 296,381. | 3,906,602. | 0.07586669950 |
| 2005 | 241,916. | 3,572,807. | 0.06771034651 |
| 2004 | 23,861. | 2,687,764. | 0.00887763955 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.27555592374 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.05511118475 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 2,925,445. |
| 5 | Multiply line 4 by line 3 | 5 | 161,225. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 486. |
| 7 | Add lines 5 and 6 | 7 | 161,711. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 170,162. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ______________ (attach copy of ruling letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | 1 | 486. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 486. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 486. |
| 6 | Credits/Payments | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 484. | | |
| b | Exempt foreign organizations-tax withheld at source — 6b: NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: NONE | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 484. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** *Paid By EFTPS* ▶ | 9 | 2. |
| 10 | **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ **Refunded** ▶ | 11 | |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ ______ (2) On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 8 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ CITIGROUP TRUST-DELAWARE, N.A. Telephone no ▶ (800)770-8444

Located at ▶ 485 LEXINGTON AVENUE, 10TH FL., NEW YORK, NY ZIP + 4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ________ , ________ , ________ , ________ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions) | | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009 )* | | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, oh a personal benefit contract? **6b** No: X

*If "Yes" to 6b, file Form 8870*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 9 | | 30,253. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 10 | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| SEE STATEMENT 11 | | NONE |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ NONE

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 2,743,495. |
| b | Average of monthly cash balances | 1b | 226,500. |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 2,969,995. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 2,969,995. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 44,550. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 2,925,445. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 146,272. |

**Part XI** **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 146,272. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 — 2a: 486. | | |
| b | Income tax for 2009. (This does not include the tax from Part VI.) — 2b | | |
| c | Add lines 2a and 2b | 2c | 486. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 145,786. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | NONE |
| 5 | Add lines 3 and 4 | 5 | 145,786. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | 6 | NONE |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 145,786. |

**Part XII** **Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 170,162. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 170,162. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 486. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 169,676. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 145,786. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | NONE | |
| b Total for prior years 20____, 20____, 20____ | | NONE | | |
| 3 Excess distributions carryover, if any, to 2009 | | | | |
| a From 2004 — NONE | | | | |
| b From 2005 — NONE | | | | |
| c From 2006 — 52,764. | | | | |
| d From 2007 — 41,598. | | | | |
| e From 2008 — 41,606. | | | | |
| f Total of lines 3a through e | 135,968. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 170,162. | | | | |
| a Applied to 2008, but not more than line 2a | | | NONE | |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) | NONE | | | |
| d Applied to 2009 distributable amount | | | | 145,786. |
| e Remaining amount distributed out of corpus | 24,376. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).) | NONE | | | NONE |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 160,344. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions | | NONE | | |
| e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions | | | NONE | |
| f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | | | | NONE |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | NONE | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | NONE | | | |
| 9 **Excess distributions carryover to 2010** Subtract lines 7 and 8 from line 6a | 160,344. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2005 — NONE | | | | |
| b Excess from 2006 — 52,764. | | | | |
| c Excess from 2007 — 41,598. | | | | |
| d Excess from 2008 — 41,606. | | | | |
| e Excess from 2009 — 24,376. | | | | |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE STATEMENT 14 | | | | |
| **Total** . . . . . . . . . . ▶ 3a | | | | 155,000. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . ▶ 3b | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 73,924. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -158,451. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b FED EXCISE TAX REF | | | 01 | 2,530. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | -81,997. | |

13 Total: Add line 12, columns (b), (d), and (e) . . . 13 -81,997.

(See worksheet in line 13 instructions on page 28 to verify calculations)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

John L Ahrntz | 08/04/2010 | TRUSTEE
Signature of officer or trustee | Date | Title

**Paid Preparer's Use Only**

| Preparer's signature | Date | Check if self-employed | Preparer's identifying number (See Signature on page 30 of the instructions) |
|---|---|---|---|
| Jeffrey E Kuhlman | 8/4/10 | ☐ | P00353001 |
| Firm's name (or yours if self-employed), address, and ZIP code | KPMG LLP<br>P.O. BOX 6768<br>PROVIDENCE, RI 02940 | EIN ▶ 13-5565207 | Phone no 800-770-8444 |

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust: THERESE PLUNKETT FOUNDATION .

Employer identification number: 20-1078653

**Note:** *Form 5227 filers need to complete **only** Parts I and II*

**Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|---|---|---|
| b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | 30,382. |
| 2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | |
| 3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | |
| 4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet | 4 | ( ) |
| 5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶ | 5 | 30,382. |

**Part II — Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a LONG-TERM CAPITAL GAIN DIVIDENDS | | STMT 1 | | | 1,382. |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|---|---|---|
| b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | -189,126. |
| 7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | |
| 8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | |
| 9 Capital gain distributions | 9 | |
| 10 Gain from Form 4797, Part I | 10 | |
| 11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet | 11 | ( ) |
| 12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶ | 12 | -187,744. |

## Part III Summary of Parts I and II

**Caution:** *Read the instructions **before** completing this part.*

| | | | (1) Beneficiaries' (see page 5) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 13 | **Net short-term gain or (loss)** | 13 | | | 30,382. |
| 14 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 14a | | | -187,744. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht) | 14b | | | |
| c | 28% rate gain | 14c | | | |
| 15 | **Total net gain or (loss).** Combine lines 13 and 14a ▶ | 15 | | | -157,362. |

**Note:** *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

## Part IV Capital Loss Limitation

| | | | |
|---|---|---|---|
| 16 | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of a The loss on line 15, column (3) **or** b $3,000 | 16 | ( 3,000) |

**Note:** *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover*

## Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

**Caution**· *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col. (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col. (2) is more than zero

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 17 | | | |
| 18 | Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 | | | | | |
| 19 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | | | | | |
| 20 | Add lines 18 and 19 | 20 | | | | | |
| 21 | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ | 21 | | | | | |
| 22 | Subtract line 21 from line 20 If zero or less, enter -0- | | | 22 | | | |
| 23 | Subtract line 22 from line 17 If zero or less, enter -0- | | | 23 | | | |
| 24 | Enter the **smaller** of the amount on line 17 or $2,300 | | | 24 | | | |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23 | | | 25 | | | |
| 26 | Subtract line 25 from line 24 | | | 26 | | | |
| 27 | Are the amounts on lines 22 and 26 the same? ☐ **Yes** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 | | | 27 | | | |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-) | | | 28 | | | |
| 29 | Subtract line 28 from line 27 | | | 29 | | | |
| 30 | Multiply line 29 by 15% (.15) | | | | | 30 | |
| 31 | Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | | 31 | |
| 32 | Add lines 30 and 31 | | | | | 32 | |
| 33 | Figure the tax on the amount on line 17 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | | 33 | |
| 34 | **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | | | | | 34 | |

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THERESE PLUNKETT FOUNDATION .

Employer identification number: 20-1078653

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 20.8333 HSBC HOLDINGS PLC 3/31/09 | 03/20/2009 | 04/08/2009 | 175.00 | 312.00 | -137.00 |
| 260. AT&T INC | 10/21/2008 | 05/06/2009 | 6,907.00 | 6,789.00 | 118.00 |
| 250. AT&T INC | 10/21/2008 | 05/07/2009 | 6,395.00 | 6,528.00 | -133.00 |
| 235. AMERICAN EXPRESS CO | 03/13/2009 | 05/14/2009 | 5,800.00 | 2,908.00 | 2,892.00 |
| 16000. MORGAN STANLEY 4.000% 1/15/10 | 05/05/2009 | 05/28/2009 | 16,096.00 | 16,180.00 | -84.00 |
| 42000. FED NATL MTG ASSN 4.750% 3/12/10 | 05/20/2009 | 06/09/2009 | 43,334.00 | 43,471.00 | -137.00 |
| 70. ARCH COAL INC | 09/09/2008 | 08/11/2009 | 1,215.00 | 2,510.00 | -1,295.00 |
| 15000. PEPSICO INC 5.000% 6/01/18 | 05/05/2009 | 08/20/2009 | 15,671.00 | 15,711.00 | -40.00 |
| 80. AMERICAN EXPRESS CO | 03/09/2009 | 08/26/2009 | 2,627.00 | 866.00 | 1,761.00 |
| 48000. FEDERAL HOME LOAN MORTGAGE ASSN DTD 03/15/01 | 09/02/2009 | 09/17/2009 | 51,399.00 | 51,695.00 | -296.00 |
| 135. CONTINENTAL AIRLS INC CL B | 03/26/2009 | 09/22/2009 | 2,250.00 | 1,383.00 | 867.00 |
| 275. CIGNA CORP | 01/28/2009 | 09/23/2009 | 8,414.00 | 5,043.00 | 3,371.00 |
| 35. CIGNA CORP | 01/28/2009 | 10/01/2009 | 985.00 | 642.00 | 343.00 |
| 330. CIGNA CORP | 03/06/2009 | 10/08/2009 | 9,362.00 | 4,443.00 | 4,919.00 |
| 215. CONTINENTAL AIRLS INC CL B | 03/31/2009 | 10/08/2009 | 3,574.00 | 2,120.00 | 1,454.00 |
| 65. FREEPORT MCMORAN COPPER & GOLD | 02/11/2009 | 10/14/2009 | 4,954.00 | 1,766.00 | 3,188.00 |
| 60. AMERICAN EXPRESS CO | 03/09/2009 | 11/13/2009 | 2,408.00 | 650.00 | 1,758.00 |
| 310. COACH INC | 04/15/2009 | 11/20/2009 | 10,415.00 | 5,550.00 | 4,865.00 |
| 255. DONNELLEY R R & SONS CO | 09/09/2009 | 11/25/2009 | 5,403.00 | 4,431.00 | 972.00 |
| 15000. GOLDMAN SACHS GROUP 4.500% 6/15/10 | 05/05/2009 | 12/09/2009 | 15,307.00 | 15,313.00 | -6.00 |
| 37000. U S TREASURY NOTES 4.875% 8/15/16 | 05/05/2009 | 12/10/2009 | 41,718.00 | 42,358.00 | -640.00 |
| 4. ING GROEP N.V. 12/11/09 | 11/28/2009 | 12/22/2009 | 9.00 | | 9.00 |
| 16000. XTO ENERGY INC 6.250% 8/01/17 | 05/05/2009 | 01/06/2010 | 18,262.00 | 16,160.00 | 2,102.00 |
| 21000. BP CAPITAL MARKETS 5.250% 11/07/13 | 09/24/2009 | 01/14/2010 | 23,133.00 | 22,685.00 | 448.00 |

**1b Total** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

OMB No 1545-0092

2009

Name of estate or trust: THERESE PLUNKETT FOUNDATION .

Employer identification number: 20-1078653

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 130. BERKSHIRE HATHAWAY INC | 03/05/2009 | 01/27/2010 | 9,198.00 | 6,000.00 | 3,198.00 |
| 46000. FED NATL MTG ASSN 6.000% 5/15/11 | 12/15/2009 | 02/02/2010 | 49,184.00 | 50,223.00 | -1,039.00 |
| 110. WILLIAMS COS INC. DEL | 09/16/2009 | 02/19/2010 | 2,431.00 | 2,053.00 | 378.00 |
| 420. VALERO ENERGY CORP COM NEW | 05/07/2009 | 02/22/2010 | 7,461.00 | 9,483.00 | -2,022.00 |
| 150. MCDONALDS CORP | 10/16/2009 | 03/05/2010 | 9,523.00 | 8,852.00 | 671.00 |
| 17000. COMCAST CORP NEW 5.900% 3/15/16 | 09/23/2009 | 03/11/2010 | 18,610.00 | 17,234.00 | 1,376.00 |
| 17000. HEWLETT-PACK CO HPQ 4.250% 2/24/12 | 10/15/2009 | 03/11/2010 | 17,961.00 | 17,755.00 | 206.00 |
| 85. MERCK & CO INC NEW | 11/25/2009 | 03/15/2010 | 3,176.00 | 3,093.00 | 83.00 |
| 22000. VERIZON GLOBAL FDG 7.250% 12/01/10 | 01/14/2010 | 03/19/2010 | 23,014.00 | 23,554.00 | -540.00 |
| 80. WILLIAMS COS INC. DEL | 09/16/2009 | 03/22/2010 | 1,802.00 | 1,493.00 | 309.00 |
| 420. VALERO ENERGY CORP COM NEW | 07/09/2009 | 03/25/2010 | 8,542.00 | 8,165.00 | 377.00 |
| 20000. DISNEY WALT CO NEW 6.375% 3/01/12 | 08/26/2009 | 03/29/2010 | 21,899.00 | 21,841.00 | 58.00 |
| 22000. GEN ELEC CAP CORP 4.875% 3/04/15 | 06/15/2009 | 03/29/2010 | 23,059.00 | 22,031.00 | 1,028.00 |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . . 30,382.00

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D-1 (Form 1041) 2009**

JSA
9F1221 4 000

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: THERESE PLUNKETT FOUNDATION .

Employer identification number: 20-1078653

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a .6676 TIME WARNER INC | 07/20/2005 | 04/08/2009 | 15.00 | 25.00 | -10.00 |
| .138 TIME WARNER CABLE | 07/20/2005 | 04/08/2009 | 4.00 | 7.00 | -3.00 |
| 220. BED BATH & BEYOND INC COM | 08/23/2005 | 04/09/2009 | 6,840.00 | 9,164.00 | -2,324.00 |
| 440. BIOGEN IDEC INC | 05/20/2005 | 04/09/2009 | 23,287.00 | 23,645.00 | -358.00 |
| 100. DISCOVERY COMMUNICATN S NEW | 08/03/2004 | 04/09/2009 | 1,793.00 | 1,299.00 | 494.00 |
| 100. DISCOVERY COMMUNICATN S NEW | 08/03/2004 | 04/09/2009 | 1,628.00 | 1,167.00 | 461.00 |
| 90. GENZYME CORP | 03/17/2004 | 04/09/2009 | 5,052.00 | 4,250.00 | 802.00 |
| 460. HOME DEPOT INC | 08/23/2005 | 04/09/2009 | 11,918.00 | 17,316.00 | -5,398.00 |
| 45. HONDA MTR LTD | 04/28/2004 | 04/09/2009 | 1,271.00 | 928.00 | 343.00 |
| 55. TELEFONICA DE ESPANA SPONSORED | 02/17/2006 | 04/09/2009 | 3,301.00 | 2,516.00 | 785.00 |
| 27. TIME WARNER CABLE | 07/20/2005 | 04/09/2009 | 740.00 | 1,325.00 | -585.00 |
| 70. TREND MICRO INC ADR | 07/28/2005 | 04/09/2009 | 2,075.00 | 2,498.00 | -423.00 |
| 1235. BANK OF AMERICA CORP | 02/22/2008 | 04/15/2009 | 12,230.00 | 71,930.00 | -59,700.00 |
| 320. BANK OF AMERICA CORP | 03/04/2008 | 04/16/2009 | 3,372.00 | 12,274.00 | -8,902.00 |
| 90. TIME WARNER CABLE | 07/20/2005 | 04/16/2009 | 2,593.00 | 4,403.00 | -1,810.00 |
| 50000. CALIFORNIA HEALTH 5.625% 7/01/19 | 04/13/2003 | 05/01/2009 | 44,015.00 | 50,155.00 | -6,140.00 |
| 15000. CALIFORNIA HSG FIN 5.050% 8/01/11 | 04/13/2003 | 05/01/2009 | 14,865.00 | 15,054.00 | -189.00 |
| 5000. CALIF HLTH FACS FING 5.000% 8/15/38 | 04/13/2003 | 05/01/2009 | 4,988.00 | 5,015.00 | -27.00 |
| 30000. CALIF HLTH FACS FING 5.000% 8/15/38 | 04/13/2003 | 05/01/2009 | 25,802.00 | 30,073.00 | -4,271.00 |
| 25000. CALIFORNIA ST 4.750% 12/01/28 | 04/13/2003 | 05/01/2009 | 22,159.00 | 23,635.00 | -1,476.00 |
| 50000. CALIFORNIA ST G.O. B/E REOF 98.6118 YLD 5.400 | 04/13/2003 | 05/01/2009 | 51,873.00 | 51,033.00 | 840.00 |
| 10000. CALIFORNIA ST 5.000% 4/01/24 | 04/13/2003 | 05/01/2009 | 9,728.00 | 10,049.00 | -321.00 |
| 5000. CALIFORNIA ST 5.000% 4/01/27 | 04/13/2003 | 05/01/2009 | 5,358.00 | 5,017.00 | 341.00 |
| 5000. CALIFORNIA ST 5.000% 4/01/27 | 04/13/2003 | 05/01/2009 | 4,572.00 | 5,017.00 | -445.00 |
| 50000. CALIFORNIA ST PUB WK 5.625% 11/01/19 | 04/13/2003 | 05/01/2009 | 51,376.00 | 51,061.00 | 315.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| THERESE PLUNKETT FOUNDATION . | 20-1078653 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 35000. CALIFORNIA STATEWIDE 5.500% 10/01/14 | 04/13/2003 | 05/01/2009 | 38,231.00 | 35,000.00 | 3,231.00 |
| 25000. JURUPA CALIF CMNTY 4.750% 9/01/18 | 04/13/2003 | 05/01/2009 | 25,039.00 | 25,410.00 | -371.00 |
| 25000. LONG BEACH CALIF BD 5.000% 10/01/27 | 04/13/2003 | 05/01/2009 | 23,209.00 | 25,061.00 | -1,852.00 |
| 35000. LOS ANGELES CNTY CAL 4.750% 7/01/18 | 04/13/2003 | 05/01/2009 | 34,690.00 | 35,384.00 | -694.00 |
| 15000. MARIN CALIF 4.750% 8/15/21 | 04/13/2003 | 05/01/2009 | 13,494.00 | 15,157.00 | -1,663.00 |
| 25000. MODESTO CALIF IRR 4.750% 7/01/26 | 04/13/2003 | 05/01/2009 | 22,449.00 | 24,773.00 | -2,324.00 |
| 25000. MOULTON-NIGUEL CALIF 4.800% 9/01/17 | 04/13/2003 | 05/01/2009 | 24,638.00 | 25,000.00 | -362.00 |
| 20000. OAKLAND CALIF UNI 5.000% 8/01/26 | 04/13/2003 | 05/01/2009 | 16,761.00 | 20,170.00 | -3,409.00 |
| 25000. RIVERSIDE CNTY CALIF 5.000% 6/01/19 | 04/13/2003 | 05/01/2009 | 21,679.00 | 25,709.00 | -4,030.00 |
| 15000. RNR SCH FING AUTH 5.000% 9/01/30 | 04/13/2003 | 05/01/2009 | 10,965.00 | 15,185.00 | -4,220.00 |
| 10000. SACRAMENTO CALIF CIT 4.750% 5/01/23 | 04/13/2003 | 05/01/2009 | 8,485.00 | 10,005.00 | -1,520.00 |
| 15000. SAN DIEGO ST UNIV 5.000% 3/01/32 | 04/13/2003 | 05/01/2009 | 12,331.00 | 15,104.00 | -2,773.00 |
| 10000. SAN FRANCISCO CALIF 4.750% 7/01/23 | 04/13/2003 | 05/01/2009 | 9,711.00 | 10,005.00 | -294.00 |
| 25000. SAN JOSE CALIF REDEV 4.750% 8/01/18 | 04/13/2003 | 05/01/2009 | 22,378.00 | 25,120.00 | -2,742.00 |
| 25000. SAN MARCOS CALIF PUB 4.750% 8/01/26 | 04/13/2003 | 05/01/2009 | 22,750.00 | 24,772.00 | -2,022.00 |
| 25000. SANTA CRUZ CALIF 5.000% 9/01/29 | 04/13/2003 | 05/01/2009 | 23,845.00 | 25,023.00 | -1,178.00 |
| 10000. UPLAND CALIF CMTY 4.850% 9/01/23 | 04/13/2003 | 05/01/2009 | 8,561.00 | 10,049.00 | -1,488.00 |
| 50000. COMCAST CORP 5.500% 3/15/11 | 06/15/2007 | 05/05/2009 | 52,035.00 | 49,800.00 | 2,235.00 |
| 31000. CONOCO FUNDING 6.350% 10/15/11 | 02/15/2007 | 05/05/2009 | 33,788.00 | 32,482.00 | 1,306.00 |
| 50000. GEN ELEC CAP CORP 4.625% 9/15/09 | 04/21/2006 | 05/05/2009 | 50,405.00 | 49,885.00 | 520.00 |
| 100000. UNITED STATES TREAS NTS | 10/13/2006 | 05/05/2009 | 101,609.00 | 103,117.00 | -1,508.00 |
| 31000. WACHOVIA CORP NEW 5.250% 8/01/14 | 05/30/2007 | 05/05/2009 | 27,371.00 | 30,504.00 | -3,133.00 |
| 60. EXXON MOBIL CORP | 02/29/2008 | 05/06/2009 | 4,104.00 | 5,213.00 | -1,109.00 |
| 160. KOHLS CORP | 02/27/2008 | 05/12/2009 | 6,977.00 | 7,424.00 | -447.00 |
| 350. COMCAST CORP NEW CL A | 02/27/2008 | 05/26/2009 | 5,170.00 | 7,089.00 | -1,919.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| THERESE PLUNKETT FOUNDATION . | 20-1078653 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 65. GOLDMAN SACHS GROUP INC | 03/04/2008 | 05/26/2009 | 9,060.00 | 10,635.00 | -1,575.00 |
| 130. HOME DEPOT INC | 04/29/2004 | 05/28/2009 | 2,945.00 | 4,701.00 | -1,756.00 |
| 100. SOUTHWEST AIRLS CO | 02/29/2008 | 06/10/2009 | 667.00 | 1,246.00 | -579.00 |
| 100. DU PONT E I DE NEMOURS & CO. | 06/27/2006 | 06/11/2009 | 2,724.00 | 4,099.00 | -1,375.00 |
| 1350. COMCAST CORP NEW CL A | 02/27/2008 | 06/12/2009 | 19,294.00 | 27,344.00 | -8,050.00 |
| 250. DU PONT E I DE NEMOURS & CO. | 07/21/2006 | 06/16/2009 | 6,325.00 | 10,131.00 | -3,806.00 |
| 51. SK TELECOM CO LTD-ADR | 05/06/2004 | 06/18/2009 | 783.00 | 1,054.00 | -271.00 |
| 199. SK TELECOM CO LTD-ADR | 07/26/2005 | 07/01/2009 | 3,092.00 | 4,089.00 | -997.00 |
| 669. PFIZER INC. | 07/20/2005 | 07/09/2009 | 9,567.00 | 20,176.00 | -10,609.00 |
| 231. PFIZER INC. | 07/20/2005 | 07/10/2009 | 3,282.00 | 6,314.00 | -3,032.00 |
| 50. ARCH COAL INC | 02/29/2008 | 08/10/2009 | 912.00 | 2,599.00 | -1,687.00 |
| 120. ARCH COAL INC | 02/29/2008 | 08/11/2009 | 2,082.00 | 6,237.00 | -4,155.00 |
| 707. SMITHFIELD FOODS INC COM | 02/28/2008 | 08/12/2009 | 8,383.00 | 19,016.00 | -10,633.00 |
| 153. SMITHFIELD FOODS INC COM | 02/28/2008 | 08/13/2009 | 1,760.00 | 4,115.00 | -2,355.00 |
| 122. TIDEWATER INC | 02/29/2008 | 08/18/2009 | 5,253.00 | 6,876.00 | -1,623.00 |
| 113. TIDEWATER INC | 02/29/2008 | 08/19/2009 | 4,915.00 | 6,368.00 | -1,453.00 |
| 80. TIDEWATER INC | 02/29/2008 | 08/19/2009 | 3,480.00 | 4,509.00 | -1,029.00 |
| 103. TIDEWATER INC | 02/29/2008 | 08/21/2009 | 4,562.00 | 5,805.00 | -1,243.00 |
| 390. BOSTON SCIENTIFIC CORP | 03/05/2008 | 08/24/2009 | 4,459.00 | 4,979.00 | -520.00 |
| 62. TIDEWATER INC | 02/29/2008 | 08/24/2009 | 2,744.00 | 3,494.00 | -750.00 |
| 100. WPP PLC | 07/20/2005 | 09/01/2009 | 4,118.00 | 5,048.00 | -930.00 |
| 41. EXPEDIA INC DEL | 11/10/2004 | 09/10/2009 | 945.00 | 868.00 | 77.00 |
| 85. MARSH & MCLENNAN | 02/06/2007 | 09/16/2009 | 2,040.00 | 2,488.00 | -448.00 |
| 20. NOVARTIS AG-ADR | 03/29/2005 | 09/17/2009 | 970.00 | 938.00 | 32.00 |
| 10. TELEFONICA DE ESPANA SPONSORED | 03/17/2004 | 09/17/2009 | 823.00 | 443.00 | 380.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: THERESE PLUNKETT FOUNDATION .

Employer identification number: 20-1078653

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 466. TIME WARNER INC | 07/20/2005 | 09/17/2009 | 13,716.00 | 17,378.00 | -3,662.00 |
| 20. GOLDMAN SACHS GROUP INC | 03/06/2008 | 09/21/2009 | 3,644.00 | 3,251.00 | 393.00 |
| 545. SOUTHWEST AIRLS CO | 02/29/2008 | 09/21/2009 | 5,227.00 | 6,790.00 | -1,563.00 |
| 390. SOUTHWEST AIRLS CO | 02/29/2008 | 09/22/2009 | 3,727.00 | 4,859.00 | -1,132.00 |
| 30. KOHLS CORP | 02/27/2008 | 09/23/2009 | 1,654.00 | 1,392.00 | 262.00 |
| 590. BOSTON SCIENTIFIC CORP | 03/05/2008 | 09/25/2009 | 6,194.00 | 7,532.00 | -1,338.00 |
| 520. BOSTON SCIENTIFIC CORP | 03/05/2008 | 09/28/2009 | 5,651.00 | 6,639.00 | -988.00 |
| 145. UNITEDHEALTH GROUP INC | 03/13/2008 | 10/01/2009 | 3,601.00 | 6,392.00 | -2,791.00 |
| 15. UNITEDHEALTH GROUP INC | 03/17/2004 | 10/02/2009 | 368.00 | 476.00 | -108.00 |
| 235. ANADARKO PETE CORP | 02/06/2007 | 10/12/2009 | 16,018.00 | 9,886.00 | 6,132.00 |
| 65. AMAZON COM INC | 02/06/2007 | 10/26/2009 | 7,983.00 | 2,405.00 | 5,578.00 |
| 25. GOLDMAN SACHS GROUP INC | 03/06/2008 | 10/28/2009 | 4,326.00 | 3,986.00 | 340.00 |
| 160. INTEL CORP | 03/17/2004 | 11/03/2009 | 2,951.00 | 4,454.00 | -1,503.00 |
| 45. KOHLS CORP | 02/27/2008 | 11/03/2009 | 2,527.00 | 2,088.00 | 439.00 |
| 95. AMAZON COM INC | 04/28/2005 | 11/05/2009 | 11,310.00 | 3,086.00 | 8,224.00 |
| 435. CISCO SYS INC | 04/28/2004 | 11/05/2009 | 10,379.00 | 9,981.00 | 398.00 |
| 70. SEARS HLDGS CORP | 01/04/2008 | 11/05/2009 | 4,675.00 | 7,223.00 | -2,548.00 |
| 55. SAFEWAY INC NEW | 02/28/2008 | 11/20/2009 | 1,241.00 | 1,627.00 | -386.00 |
| 110. SEARS HLDGS CORP | 01/11/2008 | 11/20/2009 | 7,996.00 | 10,901.00 | -2,905.00 |
| .0595 DIRECTV COM USD0.01 CLASS 'A' | 03/27/2008 | 12/01/2009 | 2.00 | 1.00 | 1.00 |
| 79. EXPEDIA INC DEL | 11/10/2004 | 12/02/2009 | 2,042.00 | 1,672.00 | 370.00 |
| 120. MARSH & MCLENNAN | 06/07/2006 | 12/02/2009 | 2,662.00 | 3,479.00 | -817.00 |
| 505. MARSH & MCLENNAN | 03/04/2008 | 12/04/2009 | 11,077.00 | 13,612.00 | -2,535.00 |
| 35. AMAZON COM INC | 04/28/2005 | 01/13/2010 | 4,472.00 | 1,137.00 | 3,335.00 |
| 640. INTEL CORP | 04/28/2004 | 01/15/2010 | 13,435.00 | 17,661.00 | -4,226.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

THERESE PLUNKETT FOUNDATION .

**Employer identification number**

20-1078653

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo., day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 495. SAFEWAY INC NEW | 02/28/2008 | 01/21/2010 | 11,268.00 | 14,641.00 | -3,373.00 |
| 185. ASML HOLDING NV-UY REG SHS | 09/19/2008 | 01/21/2010 | 6,237.00 | 3,920.00 | 2,317.00 |
| 130. TOYOTA MTR CORP 00 | 02/27/2008 | 01/28/2010 | 10,016.00 | 14,635.00 | -4,619.00 |
| 30. BASF AKTIENGESELLSCHAFT-LEVEL 1 | 03/17/2004 | 02/09/2010 | 1,667.00 | 781.00 | 886.00 |
| 290. DEAN FOODS CO | 04/29/2008 | 02/18/2010 | 4,205.00 | 6,527.00 | -2,322.00 |
| 140. WILLIAMS COS INC. DEL | 02/29/2008 | 02/19/2010 | 3,095.00 | 5,040.00 | -1,945.00 |
| .5 MADISON SQUARE GARDEN INC | 04/08/2008 | 02/22/2010 | 10.00 | 8.00 | 2.00 |
| 570. GENERAL ELEC CO. | 02/06/2007 | 02/23/2010 | 9,092.00 | 19,483.00 | -10,391.00 |
| 140. DEAN FOODS CO | 04/29/2008 | 02/24/2010 | 2,066.00 | 3,151.00 | -1,085.00 |
| 200. NABORS INDUSTRIES LTD SHS | 08/29/2008 | 02/24/2010 | 4,377.00 | 7,194.00 | -2,817.00 |
| 40. CREE INC | 08/23/2005 | 03/05/2010 | 2,765.00 | 978.00 | 1,787.00 |
| 325. AMERICAN EXPRESS CO | 03/09/2009 | 03/10/2010 | 12,856.00 | 3,519.00 | 9,337.00 |
| 30. BP AMOCO PLC-SPONS ADR | 03/24/2004 | 03/18/2010 | 1,745.00 | 1,455.00 | 290.00 |
| 260. WILLIAMS COS INC. DEL | 11/24/2008 | 03/22/2010 | 5,856.00 | 3,865.00 | 1,991.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . -189,126.00

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 1,382. | |
| | | 20.8333 HSBC HOLDINGS PLC 3/3<br>PROPERTY TYPE: SECURITIES | | | | | 03/20/2009 | 04/08/2009 |
| 175.00 | | 312.00 | | | | | -137.00 | |
| | | .6676 TIME WARNER INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2005 | 04/08/2009 |
| 15.00 | | 25.00 | | | | | -10.00 | |
| | | .138 TIME WARNER CABLE<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2005 | 04/08/2009 |
| 4.00 | | 7.00 | | | | | -3.00 | |
| | | 220. BED BATH & BEYOND INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/23/2005 | 04/09/2009 |
| 6,840.00 | | 9,164.00 | | | | | -2,324.00 | |
| | | 440. BIOGEN IDEC INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/20/2005 | 04/09/2009 |
| 23,287.00 | | 23,645.00 | | | | | -358.00 | |
| | | 100. DISCOVERY COMMUNICATNS NEW<br>PROPERTY TYPE: SECURITIES | | | | | 08/03/2004 | 04/09/2009 |
| 1,793.00 | | 1,299.00 | | | | | 494.00 | |
| | | 100. DISCOVERY COMMUNICATNS NEW<br>PROPERTY TYPE: SECURITIES | | | | | 08/03/2004 | 04/09/2009 |
| 1,628.00 | | 1,167.00 | | | | | 461.00 | |
| | | 90. GENZYME CORP<br>PROPERTY TYPE: SECURITIES | | | | | 03/17/2004 | 04/09/2009 |
| 5,052.00 | | 4,250.00 | | | | | 802.00 | |
| | | 460. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/23/2005 | 04/09/2009 |
| 11,918.00 | | 17,316.00 | | | | | -5,398.00 | |
| | | 45. HONDA MTR LTD<br>PROPERTY TYPE: SECURITIES | | | | | 04/28/2004 | 04/09/2009 |
| 1,271.00 | | 928.00 | | | | | 343.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 55. TELEFONICA DE ESPANA SPONSORED<br>PROPERTY TYPE: SECURITIES | | | | | 02/17/2006 | 04/09/2009 |
| 3,301.00 | | 2,516.00 | | | | | 785.00 | |
| | | 27. TIME WARNER CABLE<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2005 | 04/09/2009 |
| 740.00 | | 1,325.00 | | | | | -585.00 | |
| | | 70. TREND MICRO INC ADR<br>PROPERTY TYPE: SECURITIES | | | | | 07/28/2005 | 04/09/2009 |
| 2,075.00 | | 2,498.00 | | | | | -423.00 | |
| | | 1235. BANK OF AMERICA CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/22/2008 | 04/15/2009 |
| 12,230.00 | | 71,930.00 | | | | | -59,700.00 | |
| | | 320. BANK OF AMERICA CORP<br>PROPERTY TYPE: SECURITIES | | | | | 03/04/2008 | 04/16/2009 |
| 3,372.00 | | 12,274.00 | | | | | -8,902.00 | |
| | | 90. TIME WARNER CABLE<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2005 | 04/16/2009 |
| 2,593.00 | | 4,403.00 | | | | | -1,810.00 | |
| | | 50000. CALIFORNIA HEALTH 5.625% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 44,015.00 | | 50,155.00 | | | | | -6,140.00 | |
| | | 15000. CALIFORNIA HSG FIN 5.050% 8/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 14,865.00 | | 15,054.00 | | | | | -189.00 | |
| | | 5000. CALIF HLTH FACS FING 5.000% 8/15/<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 4,988.00 | | 5,015.00 | | | | | -27.00 | |
| | | 30000. CALIF HLTH FACS FING 5.000% 8/15<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 25,802.00 | | 30,073.00 | | | | | -4,271.00 | |
| | | 25000. CALIFORNIA ST 4.750% 12/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 22,159.00 | | 23,635.00 | | | | | -1,476.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50000. CALIFORNIA ST G.O. B/E REOF 98.6<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 51,873.00 | | 51,033.00 | | | | | 840.00 | |
| | | 10000. CALIFORNIA ST 5.000% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 9,728.00 | | 10,049.00 | | | | | -321.00 | |
| | | 5000. CALIFORNIA ST 5.000% 4/01/<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 5,358.00 | | 5,017.00 | | | | | 341.00 | |
| | | 5000. CALIFORNIA ST 5.000% 4/01/<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 4,572.00 | | 5,017.00 | | | | | -445.00 | |
| | | 50000. CALIFORNIA ST PUB WK 5.625% 11/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 51,376.00 | | 51,061.00 | | | | | 315.00 | |
| | | 35000. CALIFORNIA STATEWIDE 5.500% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 38,231.00 | | 35,000.00 | | | | | 3,231.00 | |
| | | 25000. JURUPA CALIF CMNTY 4.750% 9/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 25,039.00 | | 25,410.00 | | | | | -371.00 | |
| | | 25000. LONG BEACH CALIF BD 5.000% 10/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 23,209.00 | | 25,061.00 | | | | | -1,852.00 | |
| | | 35000. LOS ANGELES CNTY CAL 4.750% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 34,690.00 | | 35,384.00 | | | | | -694.00 | |
| | | 15000. MARIN CALIF 4.750% 8/15<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 13,494.00 | | 15,157.00 | | | | | -1,663.00 | |
| | | 25000. MODESTO CALIF IRR 4.750% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 22,449.00 | | 24,773.00 | | | | | -2,324.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 25000. MOULTON-NIGUEL CALIF 4.800% 9/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 24,638.00 | | 25,000.00 | | | | | -362.00 | |
| | | 20000. OAKLAND CALIF UNI 5.000% 8/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 16,761.00 | | 20,170.00 | | | | | -3,409.00 | |
| | | 25000. RIVERSIDE CNTY CALIF 5.000% 6/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 21,679.00 | | 25,709.00 | | | | | -4,030.00 | |
| | | 15000. RNR SCH FING AUTH 5.000% 9/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 10,965.00 | | 15,185.00 | | | | | -4,220.00 | |
| | | 10000. SACRAMENTO CALIF CIT 4.750% 5/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 8,485.00 | | 10,005.00 | | | | | -1,520.00 | |
| | | 15000. SAN DIEGO ST UNIV 5.000% 3/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 12,331.00 | | 15,104.00 | | | | | -2,773.00 | |
| | | 10000. SAN FRANCISCO CALIF 4.750% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 9,711.00 | | 10,005.00 | | | | | -294.00 | |
| | | 25000. SAN JOSE CALIF REDEV 4.750% 8/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 22,378.00 | | 25,120.00 | | | | | -2,742.00 | |
| | | 25000. SAN MARCOS CALIF PUB 4.750% 8/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 22,750.00 | | 24,772.00 | | | | | -2,022.00 | |
| | | 25000. SANTA CRUZ CALIF 5.000% 9/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 23,845.00 | | 25,023.00 | | | | | -1,178.00 | |
| | | 10000. UPLAND CALIF CMTY 4.850% 9/01<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2003 | 05/01/2009 |
| 8,561.00 | | 10,049.00 | | | | | -1,488.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50000. COMCAST CORP 5.500% 3/15<br>PROPERTY TYPE: SECURITIES | | | | | 06/15/2007 | 05/05/2009 |
| 52,035.00 | | 49,800.00 | | | | | 2,235.00 | |
| | | 31000. CONOCO FUNDING 6.350% 10/15<br>PROPERTY TYPE: SECURITIES | | | | | 02/15/2007 | 05/05/2009 |
| 33,788.00 | | 32,482.00 | | | | | 1,306.00 | |
| | | 50000. GEN ELEC CAP CORP 4.625% 9/15<br>PROPERTY TYPE: SECURITIES | | | | | 04/21/2006 | 05/05/2009 |
| 50,405.00 | | 49,885.00 | | | | | 520.00 | |
| | | 100000. UNITED STATES TREAS NTS<br>PROPERTY TYPE: SECURITIES | | | | | 10/13/2006 | 05/05/2009 |
| 101,609.00 | | 103,117.00 | | | | | -1,508.00 | |
| | | 31000. WACHOVIA CORP NEW 5.250% 8/01<br>PROPERTY TYPE: SECURITIES | | | | | 05/30/2007 | 05/05/2009 |
| 27,371.00 | | 30,504.00 | | | | | -3,133.00 | |
| | | 260. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/21/2008 | 05/06/2009 |
| 6,907.00 | | 6,789.00 | | | | | 118.00 | |
| | | 60. EXXON MOBIL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 05/06/2009 |
| 4,104.00 | | 5,213.00 | | | | | -1,109.00 | |
| | | 250. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/21/2008 | 05/07/2009 |
| 6,395.00 | | 6,528.00 | | | | | -133.00 | |
| | | 160. KOHLS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/27/2008 | 05/12/2009 |
| 6,977.00 | | 7,424.00 | | | | | -447.00 | |
| | | 235. AMERICAN EXPRESS CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/13/2009 | 05/14/2009 |
| 5,800.00 | | 2,908.00 | | | | | 2,892.00 | |
| | | 350. COMCAST CORP NEW CL A<br>PROPERTY TYPE: SECURITIES | | | | | 02/27/2008 | 05/26/2009 |
| 5,170.00 | | 7,089.00 | | | | | -1,919.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 65. GOLDMAN SACHS GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/04/2008 | 05/26/2009 |
| 9,060.00 | | 10,635.00 | | | | | -1,575.00 | |
| | | 130. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2004 | 05/28/2009 |
| 2,945.00 | | 4,701.00 | | | | | -1,756.00 | |
| | | 16000. MORGAN STANLEY 4.000% 1/15<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2009 | 05/28/2009 |
| 16,096.00 | | 16,180.00 | | | | | -84.00 | |
| | | 42000. FED NATL MTG ASSN 4.750% 3/12<br>PROPERTY TYPE: SECURITIES | | | | | 05/20/2009 | 06/09/2009 |
| 43,334.00 | | 43,471.00 | | | | | -137.00 | |
| | | 100. SOUTHWEST AIRLS CO<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 06/10/2009 |
| 667.00 | | 1,246.00 | | | | | -579.00 | |
| | | 100. DU PONT E I DE NEMOURS & CO.<br>PROPERTY TYPE: SECURITIES | | | | | 06/27/2006 | 06/11/2009 |
| 2,724.00 | | 4,099.00 | | | | | -1,375.00 | |
| | | 1350. COMCAST CORP NEW CL A<br>PROPERTY TYPE: SECURITIES | | | | | 02/27/2008 | 06/12/2009 |
| 19,294.00 | | 27,344.00 | | | | | -8,050.00 | |
| | | 250. DU PONT E I DE NEMOURS & CO.<br>PROPERTY TYPE: SECURITIES | | | | | 07/21/2006 | 06/16/2009 |
| 6,325.00 | | 10,131.00 | | | | | -3,806.00 | |
| | | 51. SK TELECOM CO LTD-ADR<br>PROPERTY TYPE: SECURITIES | | | | | 05/06/2004 | 06/18/2009 |
| 783.00 | | 1,054.00 | | | | | -271.00 | |
| | | 199. SK TELECOM CO LTD-ADR<br>PROPERTY TYPE: SECURITIES | | | | | 07/26/2005 | 07/01/2009 |
| 3,092.00 | | 4,089.00 | | | | | -997.00 | |
| | | 669. PFIZER INC.<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2005 | 07/09/2009 |
| 9,567.00 | | 20,176.00 | | | | | -10,609.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 231. PFIZER INC.<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2005 | 07/10/2009 |
| 3,282.00 | | 6,314.00 | | | | | -3,032.00 | |
| | | 50. ARCH COAL INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/10/2009 |
| 912.00 | | 2,599.00 | | | | | -1,687.00 | |
| | | 70. ARCH COAL INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/09/2008 | 08/11/2009 |
| 1,215.00 | | 2,510.00 | | | | | -1,295.00 | |
| | | 120. ARCH COAL INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/11/2009 |
| 2,082.00 | | 6,237.00 | | | | | -4,155.00 | |
| | | 707. SMITHFIELD FOODS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 08/12/2009 |
| 8,383.00 | | 19,016.00 | | | | | -10,633.00 | |
| | | 153. SMITHFIELD FOODS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 08/13/2009 |
| 1,760.00 | | 4,115.00 | | | | | -2,355.00 | |
| | | 122. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/18/2009 |
| 5,253.00 | | 6,876.00 | | | | | -1,623.00 | |
| | | 113. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/19/2009 |
| 4,915.00 | | 6,368.00 | | | | | -1,453.00 | |
| | | 80. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/19/2009 |
| 3,480.00 | | 4,509.00 | | | | | -1,029.00 | |
| | | 15000. PEPSICO INC 5.000% 6/01<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2009 | 08/20/2009 |
| 15,671.00 | | 15,711.00 | | | | | -40.00 | |
| | | 103. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/21/2009 |
| 4,562.00 | | 5,805.00 | | | | | -1,243.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 390. BOSTON SCIENTIFIC CORP<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2008 | 08/24/2009 |
| 4,459.00 | | 4,979.00 | | | | | -520.00 | |
| | | 62. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/24/2009 |
| 2,744.00 | | 3,494.00 | | | | | -750.00 | |
| | | 80. AMERICAN EXPRESS CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/09/2009 | 08/26/2009 |
| 2,627.00 | | 866.00 | | | | | 1,761.00 | |
| | | 100. WPP PLC<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2005 | 09/01/2009 |
| 4,118.00 | | 5,048.00 | | | | | -930.00 | |
| | | 41. EXPEDIA INC DEL<br>PROPERTY TYPE: SECURITIES | | | | | 11/10/2004 | 09/10/2009 |
| 945.00 | | 868.00 | | | | | 77.00 | |
| | | 85. MARSH & MCLENNAN<br>PROPERTY TYPE: SECURITIES | | | | | 02/06/2007 | 09/16/2009 |
| 2,040.00 | | 2,488.00 | | | | | -448.00 | |
| | | 48000. FEDERAL HOME LOAN MORTGAGE ASSN<br>PROPERTY TYPE: SECURITIES | | | | | 09/02/2009 | 09/17/2009 |
| 51,399.00 | | 51,695.00 | | | | | -296.00 | |
| | | 20. NOVARTIS AG-ADR<br>PROPERTY TYPE: SECURITIES | | | | | 03/29/2005 | 09/17/2009 |
| 970.00 | | 938.00 | | | | | 32.00 | |
| | | 10. TELEFONICA DE ESPANA SPONSORED<br>PROPERTY TYPE: SECURITIES | | | | | 03/17/2004 | 09/17/2009 |
| 823.00 | | 443.00 | | | | | 380.00 | |
| | | 466. TIME WARNER INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/20/2005 | 09/17/2009 |
| 13,716.00 | | 17,378.00 | | | | | -3,662.00 | |
| | | 20. GOLDMAN SACHS GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/06/2008 | 09/21/2009 |
| 3,644.00 | | 3,251.00 | | | | | 393.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 545. SOUTHWEST AIRLS CO<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 09/21/2009 |
| 5,227.00 | | 6,790.00 | | | | | -1,563.00 | |
| | | 135. CONTINENTAL AIRLS INC CL B<br>PROPERTY TYPE: SECURITIES | | | | | 03/26/2009 | 09/22/2009 |
| 2,250.00 | | 1,383.00 | | | | | 867.00 | |
| | | 390. SOUTHWEST AIRLS CO<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 09/22/2009 |
| 3,727.00 | | 4,859.00 | | | | | -1,132.00 | |
| | | 275. CIGNA CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/28/2009 | 09/23/2009 |
| 8,414.00 | | 5,043.00 | | | | | 3,371.00 | |
| | | 30. KOHLS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/27/2008 | 09/23/2009 |
| 1,654.00 | | 1,392.00 | | | | | 262.00 | |
| | | 590. BOSTON SCIENTIFIC CORP<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2008 | 09/25/2009 |
| 6,194.00 | | 7,532.00 | | | | | -1,338.00 | |
| | | 520. BOSTON SCIENTIFIC CORP<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2008 | 09/28/2009 |
| 5,651.00 | | 6,639.00 | | | | | -988.00 | |
| | | 35. CIGNA CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/28/2009 | 10/01/2009 |
| 985.00 | | 642.00 | | | | | 343.00 | |
| | | 145. UNITEDHEALTH GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/13/2008 | 10/01/2009 |
| 3,601.00 | | 6,392.00 | | | | | -2,791.00 | |
| | | 15. UNITEDHEALTH GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/17/2004 | 10/02/2009 |
| 368.00 | | 476.00 | | | | | -108.00 | |
| | | 330. CIGNA CORP<br>PROPERTY TYPE: SECURITIES | | | | | 03/06/2009 | 10/08/2009 |
| 9,362.00 | | 4,443.00 | | | | | 4,919.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 215. CONTINENTAL AIRLS INC CL B<br>PROPERTY TYPE: SECURITIES | | | | | 03/31/2009 | 10/08/2009 |
| 3,574.00 | | 2,120.00 | | | | | 1,454.00 | |
| | | 235. ANADARKO PETE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/06/2007 | 10/12/2009 |
| 16,018.00 | | 9,886.00 | | | | | 6,132.00 | |
| | | 65. FREEPORT MCMORAN COPPER & GOLD<br>PROPERTY TYPE: SECURITIES | | | | | 02/11/2009 | 10/14/2009 |
| 4,954.00 | | 1,766.00 | | | | | 3,188.00 | |
| | | 65. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/06/2007 | 10/26/2009 |
| 7,983.00 | | 2,405.00 | | | | | 5,578.00 | |
| | | 25. GOLDMAN SACHS GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/06/2008 | 10/28/2009 |
| 4,326.00 | | 3,986.00 | | | | | 340.00 | |
| | | 160. INTEL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 03/17/2004 | 11/03/2009 |
| 2,951.00 | | 4,454.00 | | | | | -1,503.00 | |
| | | 45. KOHLS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/27/2008 | 11/03/2009 |
| 2,527.00 | | 2,088.00 | | | | | 439.00 | |
| | | 95. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/28/2005 | 11/05/2009 |
| 11,310.00 | | 3,086.00 | | | | | 8,224.00 | |
| | | 435. CISCO SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/28/2004 | 11/05/2009 |
| 10,379.00 | | 9,981.00 | | | | | 398.00 | |
| | | 70. SEARS HLDGS. CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/04/2008 | 11/05/2009 |
| 4,675.00 | | 7,223.00 | | | | | -2,548.00 | |
| | | 60. AMERICAN EXPRESS CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/09/2009 | 11/13/2009 |
| 2,408.00 | | 650.00 | | | | | 1,758.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 310. COACH INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/15/2009 | 11/20/2009 |
| 10,415.00 | | 5,550.00 | | | | | 4,865.00 | |
| | | 55. SAFEWAY INC NEW<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 11/20/2009 |
| 1,241.00 | | 1,627.00 | | | | | -386.00 | |
| | | 110. SEARS HLDGS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/11/2008 | 11/20/2009 |
| 7,996.00 | | 10,901.00 | | | | | -2,905.00 | |
| | | 255. DONNELLEY R R & SONS CO<br>PROPERTY TYPE: SECURITIES | | | | | 09/09/2009 | 11/25/2009 |
| 5,403.00 | | 4,431.00 | | | | | 972.00 | |
| | | .0595 DIRECTV COM USD0.01 CLASS 'A'<br>PROPERTY TYPE: SECURITIES | | | | | 03/27/2008 | 12/01/2009 |
| 2.00 | | 1.00 | | | | | 1.00 | |
| | | 79. EXPEDIA INC DEL<br>PROPERTY TYPE: SECURITIES | | | | | 11/10/2004 | 12/02/2009 |
| 2,042.00 | | 1,672.00 | | | | | 370.00 | |
| | | 120. MARSH & MCLENNAN<br>PROPERTY TYPE: SECURITIES | | | | | 06/07/2006 | 12/02/2009 |
| 2,662.00 | | 3,479.00 | | | | | -817.00 | |
| | | 505. MARSH & MCLENNAN<br>PROPERTY TYPE: SECURITIES | | | | | 03/04/2008 | 12/04/2009 |
| 11,077.00 | | 13,612.00 | | | | | -2,535.00 | |
| | | 15000. GOLDMAN SACHS GROUP 4.500% 6/15<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2009 | 12/09/2009 |
| 15,307.00 | | 15,313.00 | | | | | -6.00 | |
| | | 37000. U S TREASURY NOTES 4.875% 8/15<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2009 | 12/10/2009 |
| 41,718.00 | | 42,358.00 | | | | | -640.00 | |
| | | 4. ING GROEP N.V. 12/11/09<br>PROPERTY TYPE: SECURITIES | | | | | 11/28/2009 | 12/22/2009 |
| 9.00 | | | | | | | 9.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 16000. XTO ENERGY INC 6.250% 8/01<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2009 | 01/06/2010 |
| 18,262.00 | | 16,160.00 | | | | | 2,102.00 | |
| | | 35. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/28/2005 | 01/13/2010 |
| 4,472.00 | | 1,137.00 | | | | | 3,335.00 | |
| | | 21000. BP CAPITAL MARKETS 5.250% 11/07<br>PROPERTY TYPE: SECURITIES | | | | | 09/24/2009 | 01/14/2010 |
| 23,133.00 | | 22,685.00 | | | | | 448.00 | |
| | | 640. INTEL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 04/28/2004 | 01/15/2010 |
| 13,435.00 | | 17,661.00 | | | | | -4,226.00 | |
| | | 495. SAFEWAY INC NEW<br>PROPERTY TYPE: SECURITIES | | | | | 02/28/2008 | 01/21/2010 |
| 11,268.00 | | 14,641.00 | | | | | -3,373.00 | |
| | | 185. ASML HOLDING NV-UY REG SHS<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 01/21/2010 |
| 6,237.00 | | 3,920.00 | | | | | 2,317.00 | |
| | | 130. BERKSHIRE HATHAWAY INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2009 | 01/27/2010 |
| 9,198.00 | | 6,000.00 | | | | | 3,198.00 | |
| | | 130. TOYOTA MTR CORP 00<br>PROPERTY TYPE: SECURITIES | | | | | 02/27/2008 | 01/28/2010 |
| 10,016.00 | | 14,635.00 | | | | | -4,619.00 | |
| | | 46000. FED NATL MTG ASSN 6.000% 5/15<br>PROPERTY TYPE: SECURITIES | | | | | 12/15/2009 | 02/02/2010 |
| 49,184.00 | | 50,223.00 | | | | | -1,039.00 | |
| | | 30. BASF AKTIENGESELLSCHAFT-LEVEL 1<br>PROPERTY TYPE: SECURITIES | | | | | 03/17/2004 | 02/09/2010 |
| 1,667.00 | | 781.00 | | | | | 886.00 | |
| | | 290. DEAN FOODS CO<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2008 | 02/18/2010 |
| 4,205.00 | | 6,527.00 | | | | | -2,322.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 110. WILLIAMS COS INC. DEL | | | | | 09/16/2009 | 02/19/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,431.00 | | 2,053.00 | | | | | 378.00 | |
| | | 140. WILLIAMS COS INC. DEL | | | | | 02/29/2008 | 02/19/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,095.00 | | 5,040.00 | | | | | -1,945.00 | |
| | | .5 MADISON SQUARE GARDEN INC | | | | | 04/08/2008 | 02/22/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 10.00 | | 8.00 | | | | | 2.00 | |
| | | 420. VALERO ENERGY CORP COM NEW | | | | | 05/07/2009 | 02/22/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 7,461.00 | | 9,483.00 | | | | | -2,022.00 | |
| | | 570. GENERAL ELEC CO. | | | | | 02/06/2007 | 02/23/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,092.00 | | 19,483.00 | | | | | -10,391.00 | |
| | | 140. DEAN FOODS CO | | | | | 04/29/2008 | 02/24/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,066.00 | | 3,151.00 | | | | | -1,085.00 | |
| | | 200. NABORS INDUSTRIES LTD SHS | | | | | 08/29/2008 | 02/24/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,377.00 | | 7,194.00 | | | | | -2,817.00 | |
| | | 40. CREE INC | | | | | 08/23/2005 | 03/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,765.00 | | 978.00 | | | | | 1,787.00 | |
| | | 150. MCDONALDS CORP | | | | | 10/16/2009 | 03/05/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,523.00 | | 8,852.00 | | | | | 671.00 | |
| | | 325. AMERICAN EXPRESS CO | | | | | 03/09/2009 | 03/10/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,856.00 | | 3,519.00 | | | | | 9,337.00 | |
| | | 17000. COMCAST CORP NEW 5.900% 3/15 | | | | | 09/23/2009 | 03/11/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 18,610.00 | | 17,234.00 | | | | | 1,376.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 17000. HEWLETT-PACK CO HPQ 4.250% 2/24 | | | | | 10/15/2009 | 03/11/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 17,961.00 | | 17,755.00 | | | | | 206.00 | |
| | | 85. MERCK & CO INC NEW | | | | | 11/25/2009 | 03/15/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,176.00 | | 3,093.00 | | | | | 83.00 | |
| | | 30. BP AMOCO PLC-SPONS ADR | | | | | 03/24/2004 | 03/18/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,745.00 | | 1,455.00 | | | | | 290.00 | |
| | | 22000. VERIZON GLOBAL FDG 7.250% 12/01 | | | | | 01/14/2010 | 03/19/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 23,014.00 | | 23,554.00 | | | | | -540.00 | |
| | | 80. WILLIAMS COS INC. DEL | | | | | 09/16/2009 | 03/22/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,802.00 | | 1,493.00 | | | | | 309.00 | |
| | | 260. WILLIAMS COS INC. DEL | | | | | 11/24/2008 | 03/22/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 5,856.00 | | 3,865.00 | | | | | 1,991.00 | |
| | | 420. VALERO ENERGY CORP COM NEW | | | | | 07/09/2009 | 03/25/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 8,542.00 | | 8,165.00 | | | | | 377.00 | |
| | | 20000. DISNEY WALT CO NEW 6.375% 3/01 | | | | | 08/26/2009 | 03/29/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 21,899.00 | | 21,841.00 | | | | | 58.00 | |
| | | 22000. GEN ELEC CAP CORP 4.875% 3/04 | | | | | 06/15/2009 | 03/29/2010 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 23,059.00 | | 22,031.00 | | | | | 1,028.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| TOTAL GAIN(LOSS) | | | | | | | -157,362. | |

JSA
9E1730 1 000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| DIVIDENDS & INTEREST | | 73,924. | 64,530. |
| | TOTAL | 73,924. | 64,530. |

## FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS |
|---|---|---|
| FED EXCISE TAX REF | | 2,530. |
| | TOTALS | 2,530. |

## FORM 990PF, PART I - TAXES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| FOREIGN TAXES | | 648. | 708. |
| | TOTALS | 648. | 708. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION . | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| ADR FOREIGN FEES | 82. | 82. | |
| FRANCHISE TAX BOARD | 10. | | 10. |
| CA AG FILING FEE | 25. | | 25. |
| TOTALS | 117. | 82. | 35. |

THERESE PLUNKETT FOUNDATION .                20-1078653

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| SEE ATTACHED STATEMENTS | C | 2,768,116. | 3,039,222. |
| TOTALS | | 2,768,116. | 3,039,222. |

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| ACCRUED MARKET DISCOUNT | | 1,180. |
| TAX COST ADJ/HSBC | | 312. |
| ADJUSTMENT FOR ING GROEP | | 489. |
| | TOTAL | 1,981. |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| AMORTIZATION ADJUSTMENT | | 1,248. |
| FOREIGN TAX ADJUSTMENT | | 17. |
| RETURN OF CAPITAL/WEYTH | | 32. |
| PURCHASED ACCRUED INTEREST | | 1,021. |
| | TOTAL | 2,318. |

STATE(S) WHERE THE FOUNDATION IS REGISTERED

CA

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
CITIGROUP TRUST, N.A.
ADDRESS:
666 FIFTH AVE, FLOOR 12B
NEW YORK, NY 10103
TITLE:
TRUSTEE
COMPENSATION .............................................. 30,253.

TOTAL COMPENSATION: 30,253.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES
=================================================================

EMPLOYEE NAME:
NONE

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
==========================================================================

NAME:
NONE

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ASSISTANCE LEAGUE OF
HUNTINGTON BEACH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOOL BELL PROGRAM
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .......................................... 25,000.

RECIPIENT NAME:
BOYS & GIRLS CLUB OF
HUNTINGTON BEACH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAMS OFFERED IN HUNTINGTON BEACH
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .......................................... 5,000.

RECIPIENT NAME:
CHILDREN'S BUREAU OF
SOUTHERN CA
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT FOR HB SUMMER DAY CAMP
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .......................................... 15,000.

RECIPIENT NAME:
HUNTINGTON BEACH
ART CENTER
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
BEACH PARTNERS IN ART PROGRAM
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .......................................... 5,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
THERAPEUTIC RIDING CENTER
OF HUNTINGTON BEACH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL CHARITABLE PURPOSES
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .......................................... 5,000.

RECIPIENT NAME:
COMMUNITY SERVICE PROGRAMS, INC.
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
HUNTINGTON BEACH YOUTH SHELTER EXPS. BEACH
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .......................................... 45,000.

RECIPIENT NAME:
PROJECT SELF - SUFFICIENCY
FOUNDATION
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
HB SUMMER CAMP & CHILD CARE PROGRAM
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .......................................... 10,000.

RECIPIENT NAME:
HUNTINTON BEACH EDUCATIONAL
FOUNDATION
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ARTS ADVANTAGE PROGRAM
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .......................................... 15,000.

RECIPIENT NAME:
ALTA MED (CCHOC)
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT FOR PEDIATRIC SUPPLIES
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID ........................................... 5,000.

RECIPIENT NAME:
ALTA MED (CCHOC)
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GRANT FOR DUCK-A-THON
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID ........................................... 25,000.

TOTAL GRANTS PAID: 155,000.

FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

| | | |
|---|---|---|
| | 671.00 | |
| AMERICAN INTL GROUP INC | 711.00 | |
| TOTAL 15% RATE CAPITAL GAIN DIVIDENDS | | 1,382.00 |
| TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | 1,382.00 |

STATEMENT 1

990PF, PART VII-B LINE 2B – SECTION 4942a2 EXPLANATION STATEMENT

This question does not apply. The foundation does not have any undistributed income from prior years

## Statement of Value and Activity

*April 1, 2009 - March 31, 2010*

### Asset Detail

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *U.S. Treasuries and Agencies* | | | | | | |
| *U.S. Treasury Bonds and Notes* | | | | | | |
| U.S Treasury Notes 4.000% 11/15/12 CUSIP: 912828AP5 | 68,000.00 | $72,675.00 | $73,540 11 | -$865 11 | $2,720.00 | 2 29% |
| U.S Treasury Notes 4.250% 8/15/13 CUSIP: 912828BH2 | 74,000 00 | $80,082 06 | $81,191.20 | -$1,109.14 | $3,145.00 | 2 52% |
| U S Treasury Notes 4.875% 8/15/16 CUSIP: 912828FQ8 | 42,000 00 | $46,544.40 | $47,860.39 | -$1,315.99 | $2,047 50 | 1.46% |
| U S Treas Nts 3.375% 11/15/19 CUSIP. 912828LY4 | 49,000.00 | $47,281.08 | $48,535.14 | -$1,254.06 | $1,653.75 | 1 49% |
| | | $246,582.54 | $251,126 84 | -$4,544.30 | $9,566.25 | 7 76% |
| *Government Agency Bonds/Notes* | | | | | | |
| Fedl Natl Mtg Assn 3.625% 8/15/11 CUSIP: 31398ATL6 | 54,000 00 | $56,075 76 | $56,613 76 | -$538.00 | $1,957.50 | 1 76% |
| Fedl Natl Mtg Assn 2.125% 1/25/13 CUSIP: 31398AD33 | 52,000.00 | $52,130 00 | $52,331 00 | -$201.00 | $1,105.00 | 1 64% |
| Fed Home Ln Mtg Corp 5.000% 1/30/14 CUSIP: 3128X2TM7 | 64,000.00 | $70,560.00 | $70,637.32 | -$77.32 | $3,200.00 | 2.22% |
| Fed Natl Mtg Assn 5.250% 9/15/16 CUSIP: 31359MW41 | 47,000.00 | $51,861.68 | $52,716.09 | -$854.41 | $2,467.50 | 1 63% |
| | | $230,627 44 | $232,298.17 | -$1,670.73 | $8,730 00 | 7.25% |
| Total U.S. Treasuries and Agencies | | $477,209.98 | $483,425.01 | -$6,215.03 | $18,296.25 | 15.01% |

## Statement of Value and Activity

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *Corporate and Foreign Bonds* | | | | | | |
| *U.S. Corporate Bonds & Notes* | | | | | | |
| Conoco Funding 6.350% 10/15/11<br>CUSIP: 20825UAB0 | 22,000.00 | $23,731.62 | $23,178.81 | $552.81 | $1,397 00 | 0 75% |
| Disney Walt Co New 6 375% 3/01/12<br>CUSIP· 25468PBX3 | 20,000 00 | $21,918.40 | $21,840 52 | $77.88 | $1,275.00 | 0.69% |
| Goldman Sachs 3 250% 6/15/12<br>CUSIP. 38146FAA9 | 21,000.00 | $21,896.70 | $21,916.25 | -$19.55 | $682.50 | 0.69% |
| Bank of America Corp 3.125% 6/15/12<br>CUSIP: 06050BAA9 | 21,000.00 | $21,821.52 | $21,859.00 | -$37.48 | $656.25 | 0.69% |
| Merrill Lynch & Co 5.450% 2/05/13<br>CUSIP: 59018YM40 | 22,000.00 | $23,276.22 | $19,680.76 | $3,595 46 | $1,199.00 | 0.73% |
| Glaxosmithkline Cap 4.850% 5/15/13<br>CUSIP. 377372AC1 | 18,000 00 | $19,510.20 | $18,974 34 | $535.86 | $873.00 | 0 61% |
| Credit Suisse First 5 500% 8/15/13<br>CUSIP: 22541LAH6 | 22,000.00 | $24,071.30 | $22,726 06 | $1,345 24 | $1,210.00 | 0 76% |
| Wyeth 5 500% 2/01/14<br>CUSIP: 983024AE0 | 21,000 00 | $23,166 15 | $22,472.41 | $693.74 | $1,155.00 | 0 73% |
| Verizon Wireless Cap 5.550% 2/01/14<br>CUSIP: 92344SAP5 | 21,000.00 | $22,969.59 | $23,114.70 | -$145.11 | $1,165 50 | 0.72% |
| American Express Co 7 250% 5/20/14<br>CUSIP· 025816BA6 | 16,000 00 | $18,132.32 | $16,436.32 | $1,696 00 | $1,160 00 | 0.57% |
| JPMorgan Chase & Co 4 650% 6/01/14<br>CUSIP: 46625HHN3 | 23,000.00 | $24,303.18 | $23,053 74 | $1,249.44 | $1,069.50 | 0.76% |
| Wachovia Corp New 5.250% 8/01/14<br>CUSIP: 929903AJ1 | 19,000.00 | $19,957 41 | $18,696 19 | $1,261 22 | $997 50 | 0.63% |

## *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Pepsico Inc 3.100% 1/15/15<br>CUSIP: 713448BM9 | 23,000.00 | $23,244.03 | $23,199.18 | $44.85 | $713.00 | 0 73% |
| Gen Elec Cap Corp 4.875% 3/04/15<br>CUSIP: 36962GP65 | 22,000 00 | $23,118.04 | $22,031.28 | $1,086 76 | $1,072.50 | 0 73% |
| Time Warner Cable 5.850% 5/01/17<br>CUSIP. 88732JAH1 | 22,000 00 | $23,556 50 | $21,971.54 | $1,584.96 | $1,287 00 | 0 74% |
| At & T Inc 5 500% 2/01/18<br>CUSIP: 00206RAJ1 | 17,000.00 | $18,048.05 | $17,322.34 | $725.71 | $935.00 | 0.57% |
| Goldman Sachs 6 150% 4/01/18<br>CUSIP: 38141GFM1 | 18,000.00 | $19,045.44 | $19,602 80 | -$557.36 | $1,107.00 | 0 60% |
| CISCO Systems Inc 4.950% 2/15/19<br>CUSIP 17275RAE2 | 23,000.00 | $23,918.39 | $23,156 81 | $761.58 | $1,138.50 | 0 75% |
| Comcast Corp New 5.150% 3/01/20<br>CUSIP: 20030NBA8 | 18,000.00 | $18,161.82 | $18,098 46 | $63.36 | $927.00 | 0 57% |
| | | $413,846.88 | $399,331.51 | $14,515 37 | $20,020.25 | 13.02% |
| *Foreign Corporate Bonds* | | | | | | |
| Rio Tinto Finance PL 8.950% 5/01/14<br>CUSIP. 767201AF3 | 20,000.00 | $24,100 00 | $21,982.14 | $2,117.86 | $1,790.00 | 0.76% |
| Transocean Inc 6.000% 3/15/18<br>CUSIP: 893830AS8 | 17,000.00 | $18,505.35 | $18,390.09 | $115.26 | $1,020.00 | 0 58% |
| | | $42,605.35 | $40,372.23 | $2,233.12 | $2,810.00 | 1.34% |
| Total Corporate and Foreign Bonds | | $456,452.23 | $439,703.74 | $16,748.49 | $22,830.25 | 14.36% |

## *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *Common Equity Securities* | | | | | | |
| *Domestic Common Stocks* | | | | | | |
| Abercrombie & Fitch Co CL A<br>CUSIP 002896207 | 425 00 | $19,397.00 | $15,033.62 | $4,363.38 | $297 50 | 0 61% |
| Akamai Technologies Inc<br>CUSIP: 00971T101 | 595 00 | $18,694.90 | $10,259.93 | $8,434.97 | $0 00 | 0 59% |
| ALCOA Inc Com<br>CUSIP 013817101 | 500 00 | $7,120 00 | $16,835.19 | -$9,715.19 | $60 00 | 0 22% |
| Allstate Corp Com<br>CUSIP. 020002101 | 520 00 | $16,801.20 | $12,553 07 | $4,248 13 | $416 00 | 0 53% |
| Amazon Com Inc<br>CUSIP 023135106 | 305 00 | $41,409.85 | $9,906.26 | $31,503.59 | $0.00 | 1 30% |
| AMGEN Inc Com<br>CUSIP· 031162100 | 690 00 | $41,287.88 | $32,766.74 | $8,521 14 | $0 00 | 1 30% |
| Anadarko Petroleum Corp<br>CUSIP· 032511107 | 215 00 | $15,658.45 | $7,682.13 | $7,976 32 | $77.40 | 0 49% |
| Autodesk Inc<br>CUSIP: 052769106 | 325.00 | $9,548 50 | $4,626 37 | $4,922 13 | $0.00 | 0 30% |
| Bank of America Corp<br>CUSIP: 060505104 | 1,505.00 | $26,864.25 | $25,562.67 | $1,301.58 | $60.20 | 0.85% |
| Bed Bath & Beyond Inc Com<br>CUSIP 075896100 | 420 00 | $18,379.20 | $14,714 79 | $3,664.41 | $0 00 | 0 58% |
| Berkshire Hathaway Inc<br>CUSIP: 084670702 | 370 00 | $30,069 90 | $17,077 56 | $12,992.34 | $0 00 | 0 95% |
| Biogen IDEC Inc<br>CUSIP· 09062X103 | 675 00 | $38,738 25 | $27,163.35 | $11,574.90 | $0.00 | 1.22% |
| Blackrock Inc<br>CUSIP: 09247X101 | 69 00 | $15,025 44 | $9,378 53 | $5,646.91 | $276 00 | 0 47% |

## *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Boeing Company Com<br>CUSIP· 097023105 | 460 00 | $33,400.60 | $29,372.88 | $4,027.72 | $772.80 | 1 05% |
| Bristol Myers Squibb Co Com<br>CUSIP 110122108 | 715.00 | $19,090.50 | $15,630.05 | $3,460.45 | $915 20 | 0 60% |
| Broadcom Corp Com<br>CUSIP· 111320107 | 260.00 | $8,632 00 | $7,120.59 | $1,511.41 | $83.20 | 0 27% |
| Cablevision Sys Corp<br>CUSIP: 12686C109 | 490.00 | $11,828.60 | $6,833.60 | $4,995.00 | $196.00 | 0.37% |
| Caterpillar Inc DEL Com<br>CUSIP· 149123101 | 230.00 | $14,455.50 | $10,560 30 | $3,895 20 | $386 40 | 0 45% |
| Celgene Corp<br>CUSIP: 151020104 | 415 00 | $25,713 40 | $20,801.01 | $4,912 39 | $0 00 | 0.81% |
| Chesapeake Energy Corp<br>CUSIP: 165167107 | 275.00 | $6,501.00 | $7,446 51 | -$945.51 | $82 50 | 0.20% |
| Chubb Corp Com<br>CUSIP: 171232101 | 70.00 | $3,629.50 | $3,787.57 | -$158.07 | $103 60 | 0.11% |
| CISCO Systems Inc Com<br>CUSIP: 17275R102 | 1,215.00 | $31,626.45 | $24,458.58 | $7,167 87 | $0.00 | 0.99% |
| CIT Group Inc<br>CUSIP: 125581801 | 225.00 | $8,766.00 | $7,424.39 | $1,341.61 | $0.00 | 0.28% |
| Coach Inc<br>CUSIP: 189754104 | 220.00 | $8,694.40 | $3,938.97 | $4,755 43 | $66.00 | 0.27% |
| Coca Cola Co Com<br>CUSIP: 191216100 | 540 00 | $29,700.00 | $23,079.17 | $6,620.83 | $950.40 | 0.93% |
| Comcast Corp New CL A Spl<br>CUSIP: 20030N200 | 1,125.00 | $20,216.25 | $21,707.20 | -$1,490.95 | $425.25 | 0.64% |
| ConocoPhillips<br>CUSIP: 20825C104 | 330.00 | $16,886.10 | $23,266.48 | -$6,380.38 | $726.00 | 0.53% |

## *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Cree Inc<br>CUSIP. 225447101 | 210.00 | $14,746.20 | $4,305.45 | $10,440.75 | $0.00 | 0 46% |
| Cvs/Caremark Corp<br>CUSIP: 126650100 | 500.00 | $18,280.00 | $13,822.13 | $4,457 87 | $175.00 | 0.58% |
| Dean Foods Co New<br>CUSIP 242370104 | 890.00 | $13,964.10 | $15,609.45 | -$1,645.35 | $0 00 | 0.44% |
| Dell Inc<br>CUSIP: 24702R101 | 1,650 00 | $24,783.00 | $27,330.51 | -$2,547.51 | $0.00 | 0 78% |
| DirecTV Com Usd0.01 Class 'a'<br>CUSIP: 25490A101 | 350 00 | $11,833.50 | $5,186.84 | $6,646 66 | $0 00 | 0.37% |
| Dolby Laboratories Inc<br>CUSIP: 25659T107 | 114.00 | $6,688.38 | $3,418.69 | $3,269.69 | $0.00 | 0.21% |
| Donnelley R R & Sons Co Com<br>CUSIP: 257867101 | 525.00 | $11,208.75 | $8,325 16 | $2,883.59 | $546 00 | 0.35% |
| Dow Chem Company Com<br>CUSIP: 260543103 | 360.00 | $10,645 20 | $13,584.20 | -$2,939.00 | $216 00 | 0.33% |
| Eaton Corp<br>CUSIP: 278058102 | 105 00 | $7,955.85 | $7,676.68 | $279.17 | $210.00 | 0 25% |
| Ebay Inc<br>CUSIP: 278642103 | 820.00 | $22,113.35 | $24,017 72 | -$1,904.37 | $0 00 | 0.70% |
| Electronic Arts Inc<br>CUSIP: 285512109 | 1,100.00 | $20,526.00 | $39,766.17 | -$19,240.17 | $0.00 | 0.65% |
| Expedia Inc DEL<br>CUSIP: 30212P105 | 880 00 | $21,956.00 | $15,420.52 | $6,535.48 | $246.40 | 0.69% |
| Exxon Mobil Corp<br>CUSIP: 30231G102 | 485.00 | $32,485.30 | $35,089.63 | -$2,604.33 | $814 80 | 1.02% |

### *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Fidelity Natl Information Svcs Inc<br>CUSIP. 31620M106 | 310.00 | $7,266 40 | $7,560.13 | -$293.73 | $62.00 | 0.23% |
| First Solar Inc<br>CUSIP: 336433107 | 75.00 | $9,198.75 | $8,931.52 | $267.23 | $0.00 | 0.29% |
| Fluor Corp New<br>CUSIP: 343412102 | 150.00 | $6,976.50 | $5,065.91 | $1,910.59 | $75.00 | 0.22% |
| Forest Labs Inc CL A Com<br>CUSIP: 345838106 | 970.00 | $30,419 20 | $32,986.97 | -$2,567.77 | $0.00 | 0.96% |
| Freeport McMoran Copper B<br>CUSIP: 35671D857 | 160 00 | $13,366 40 | $3,793.28 | $9,573 12 | $96 00 | 0.42% |
| General Electric Corp Com<br>CUSIP: 369604103 | 1,430.00 | $26,026.00 | $41,228.84 | -$15,202.84 | $572.00 | 0.82% |
| Genzyme Corp Com<br>CUSIP: 372917104 | 190.00 | $9,847.70 | $8,893.40 | $954.30 | $0 00 | 0.31% |
| Goldman Sachs Group Inc<br>CUSIP: 38141G104 | 90.00 | $15,356.70 | $8,736.44 | $6,620 26 | $126.00 | 0 48% |
| Google Inc<br>CUSIP: 38259P508 | 43.00 | $24,386.16 | $16,199.47 | $8,186 69 | $0.00 | 0.77% |
| Hewlett-Packard Co Com<br>CUSIP: 428236103 | 325.00 | $17,273.75 | $14,175.46 | $3,098.29 | $104.00 | 0.54% |
| Home Depot Inc Com<br>CUSIP: 437076102 | 860.00 | $27,821 00 | $30,664.74 | -$2,843.74 | $812 70 | 0 88% |
| IBM Corporation Com<br>CUSIP. 459200101 | 115.00 | $14,748.75 | $14,613.93 | $134.82 | $253.00 | 0 46% |
| Intel Corp Com<br>CUSIP: 458140100 | 1,900 00 | $42,351.00 | $43,346.25 | -$995.25 | $1,197 00 | 1.33% |

## *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Johnson & Johnson Com CUSIP: 478160104 | 800 00 | $52,160.00 | $44,315.75 | $7,844.25 | $1,568.00 | 1.64% |
| JPMorgan Chase & Co CUSIP· 46625H100 | 580.00 | $25,955 00 | $23,856.84 | $2,098.16 | $116.00 | 0.82% |
| Juniper Networks Inc CUSIP: 48203R104 | 1,000 00 | $30,680.00 | $15,651.72 | $15,028.28 | $0.00 | 0.97% |
| Kohls Corp Com CUSIP. 500255104 | 160 00 | $8,764.80 | $6,353.17 | $2,411 63 | $0.00 | 0 28% |
| L-3 Communications Hldgs Inc CUSIP: 502424104 | 110 00 | $10,079 30 | $5,919 10 | $4,160 20 | $176 00 | 0.32% |
| Liberty Global Inc Com Ser A CUSIP: 530555101 | 136 00 | $3,965.76 | $2,726.19 | $1,239 57 | $0.00 | 0.12% |
| Liberty Media Corp New CUSIP: 53071M708 | 35.00 | $1,913.45 | $576.46 | $1,336.99 | $0.00 | 0.06% |
| Liberty Media Hldg Corp CUSIP: 53071M104 | 1,100.00 | $16,830.00 | $19,937.10 | -$3,107.10 | $0.00 | 0 53% |
| Liberty Media Hldg Corp CUSIP 53071M302 | 491.00 | $17,857.67 | $5,780.78 | $12,076.89 | $0.00 | 0.56% |
| Madison Square Garden Inc CUSIP· 55826P100 | 122.00 | $2,651.06 | $1,397.53 | $1,253.53 | $0.00 | 0.08% |
| McDonalds Corp Com CUSIP: 580135101 | 365.00 | $24,352.80 | $21,076.24 | $3,276.56 | $803.00 | 0 77% |
| Merck & Co Inc New CUSIP: 58933Y105 | 420.00 | $15,687.00 | $15,012.13 | $674.87 | $638 40 | 0.49% |
| Mettler-Toledo Intl Inc Com CUSIP: 592688105 | 95.00 | $10,374.00 | $4,033.70 | $6,340.30 | $0 00 | 0.33% |
| Microsoft Corp Com CUSIP: 594918104 | 900.00 | $26,358 75 | $22,638.00 | $3,720.75 | $468.00 | 0 83% |

## *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Monsanto Co New<br>CUSIP: 61166W101 | 145.00 | $10,355 90 | $11,166.00 | -$810.10 | $153.70 | 0.33% |
| Morgan Stanley<br>CUSIP: 617446448 | 245.00 | $7,176.05 | $7,270.18 | -$94.13 | $49 00 | 0.23% |
| Nasdaq Omx Group Inc<br>CUSIP: 631103108 | 690.00 | $14,572.80 | $23,550.78 | -$8,977.98 | $0.00 | 0.46% |
| Nat'l Oilwell Varco<br>CUSIP: 637071101 | 150.00 | $6,087.00 | $7,201.26 | -$1,114.26 | $60.00 | 0.19% |
| Newmont Mining Corp Com<br>CUSIP: 651639106 | 245 00 | $12,477.85 | $12,540 83 | -$62 98 | $98.00 | 0.39% |
| News Corp<br>CUSIP· 65248E203 | 470 00 | $7,994.70 | $8,199.67 | -$204.97 | $70.50 | 0.25% |
| Nucor Corp<br>CUSIP: 670346105 | 100.00 | $4,538.00 | $4,080 18 | $457 82 | $144.00 | 0.14% |
| NVIDIA Corp<br>CUSIP: 67066G104 | 1,500.00 | $26,100 00 | $26,859.29 | -$759.29 | $0.00 | 0.82% |
| Pall Corp Com<br>CUSIP: 696429307 | 300.00 | $12,147.00 | $6,963.00 | $5,184.00 | $192 00 | 0.38% |
| Pepsico Inc Com<br>CUSIP. 713448108 | 410.00 | $27,125.60 | $21,176 15 | $5,949 45 | $738.00 | 0.85% |
| Pfizer Inc Com<br>CUSIP: 717081103 | 1,300 00 | $22,295.00 | $28,694.22 | -$6,399.22 | $936.00 | 0 70% |
| Procter & Gamble Company Com<br>CUSIP 742718109 | 470.00 | $29,736 90 | $18,763.03 | $10,973.87 | $827.20 | 0.94% |
| Qualcomm Inc Com<br>CUSIP 747525103 | 530.00 | $22,238.80 | $21,149 42 | $1,089.38 | $360 40 | 0.70% |
| Sandisk Corp<br>CUSIP: 80004C101 | 402.00 | $13,921.26 | $9,310.69 | $4,610.57 | $0 00 | 0.44% |

## Statement of Value and Activity

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Schwab Charles Corp New CUSIP: 808513105 | 925.00 | $17,288.25 | $12,416.48 | $4,871.77 | $222.00 | 0.54% |
| Seagate Technology CUSIP: G7945J104 | 2,100 00 | $38,346.00 | $46,487 50 | -$8,141.50 | $0.00 | 1.21% |
| Sears Hldgs Corp CUSIP: 812350106 | 130.00 | $14,095.90 | $11,979.24 | $2,116.66 | $0.00 | 0.44% |
| Southwest Airlines Com CUSIP: 844741108 | 1,165.00 | $15,401.30 | $14,514 73 | $886.57 | $20.97 | 0.48% |
| State Street Corp CUSIP· 857477103 | 345.00 | $15,573.30 | $14,775.09 | $798.21 | $13.80 | 0 49% |
| Texas Instruments Com CUSIP: 882508104 | 1,200 00 | $29,364.00 | $32,061 00 | -$2,697.00 | $576.00 | 0.92% |
| Thermo Fisher Corp CUSIP· 883556102 | 180 00 | $9,259.20 | $6,414.80 | $2,844 40 | $0.00 | 0.29% |
| UnitedHealth Group Inc CUSIP: 91324P102 | 660.00 | $21,562 20 | $20,094 33 | $1,467.87 | $19.80 | 0.68% |
| Urs Corp New CUSIP: 903236107 | 165 00 | $8,185.65 | $7,417.93 | $767.72 | $0.00 | 0.26% |
| Vertex Pharmaceuticals Inc CUSIP: 92532F100 | 140 00 | $5,721.80 | $3,775.41 | $1,946.39 | $0 00 | 0.18% |
| WalMart Stores Inc Com CUSIP: 931142103 | 695.00 | $38,642.00 | $33,066.27 | $5,575.73 | $840 95 | 1.22% |
| Walt Disney Company Com CUSIP. 254687106 | 1,000 00 | $34,910.00 | $23,795.91 | $11,114.09 | $350.00 | 1.10% |
| Wells Fargo & Co New Com CUSIP. 949746101 | 450 00 | $14,004.00 | $12,704 52 | $1,299.48 | $90.00 | 0 44% |

## Statement of Value and Activity

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| YAHOO Inc<br>CUSIP: 984332106 | 910.00 | $15,042.30 | $13,003.90 | $2,038 40 | $0 00 | 0.47% |
| | | $1,742,151.41 | $1,507,443.52 | $234,707.89 | $20,932 07 | 54.79% |
| *ADRs* | | | | | | |
| ASML Holding Nv-Uy Reg SHS<br>CUSIP N07059186 | 130 00 | $4,602 00 | $2,177.31 | $2,424.69 | $29 64 | 0 14% |
| Atlas Copco AB<br>CUSIP 049255805 | 355 00 | $4,991 30 | $4,157.12 | $834.18 | $104 73 | 0.16% |
| AXA S.A SPD ADR<br>CUSIP· 054536107 | 280 00 | $6,171 20 | $6,372.84 | -$201.64 | $178.08 | 0 19% |
| Axis Capital Holdings<br>CUSIP: G0692U109 | 600.00 | $18,756.00 | $21,316.27 | -$2,560.27 | $504.00 | 0 59% |
| BASF Aktiengesllschaft-Level I<br>CUSIP: 055262505 | 120 00 | $7,476.00 | $3,125 40 | $4,350.60 | $226.92 | 0 24% |
| BP PLC ADR<br>CUSIP: 055622104 | 170.00 | $9,701.90 | $8,246.73 | $1,455.17 | $571.20 | 0.31% |
| Canon Inc ADR Repstg 5 SHS<br>CUSIP: 138006309 | 200.00 | $9,242.00 | $6,602.30 | $2,639.70 | $222 40 | 0.29% |
| Covidien PLC Usd0.20<br>CUSIP: G2554F105 | 168.00 | $8,447 04 | $6,362.47 | $2,084.57 | $120.96 | 0 27% |
| CRH PLC<br>CUSIP: 12626K203 | 250.00 | $6,222.50 | $5,165.31 | $1,057 19 | $215.50 | 0 20% |
| Danone<br>CUSIP: 23636T100 | 380 00 | $4,541 00 | $3,128.35 | $1,412.65 | $87 78 | 0 14% |
| Diageo PLC Spns ADR<br>CUSIP: 25243Q205 | 85.00 | $5,733.25 | $4,618.90 | $1,114.35 | $200 77 | 0 18% |

## *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Glaxo Smithkline<br>CUSIP: 37733W105 | 95 00 | $3,659 40 | $3,755.35 | -$95.95 | $183 73 | 0.12% |
| Grupo Televisa SA-Sponsored ADR<br>CUSIP: 40049J206 | 250.00 | $5,255.00 | $2,522.50 | $2,732.50 | $291 50 | 0.17% |
| Honda Mtr Ltd ADR New<br>CUSIP: 438128308 | 185.00 | $6,528.65 | $4,437.00 | $2,091.65 | $63.09 | 0 21% |
| Hong Kong & China Gas Ltd<br>CUSIP: 438550303 | 2,783.00 | $6,734 86 | $3,657.00 | $3,077.86 | $125.24 | 0.21% |
| HSBC Hldgs PLC Sponsored ADR New<br>CUSIP: 404280406 | 70.00 | $3,548.30 | $4,610.27 | -$1,061 97 | $119 00 | 0.11% |
| Hutchinson Whampoa Ltd ADR<br>CUSIP: 448415208 | 115.00 | $4,197.50 | $3,611.00 | $586.50 | $123 63 | 0.13% |
| ING Groep N V Sponsored ADR<br>CUSIP: 456837103 | 1,018 00 | $10,139.28 | $12,626.50 | -$2,487.22 | $0 00 | 0 32% |
| Koninklijke Philips Electrs N V<br>CUSIP: 500472303 | 165 00 | $5,283.30 | $4,443.87 | $839.43 | $133.32 | 0.17% |
| Mitsubishi UFJ Finl Group Apd ADR<br>CUSIP: 606822104 | 700.00 | $3,661.00 | $5,947 93 | -$2,286.93 | $76.30 | 0.12% |
| Nabors Industries Ltd SHS<br>CUSIP: G6359F103 | 510 00 | $10,011.30 | $14,314 22 | -$4,302.92 | $0.00 | 0.31% |
| National Bk Greece S A SPD ADR<br>CUSIP: 633643408 | 312 00 | $1,266.72 | $2,220.00 | -$953.28 | $0.00 | 0.04% |
| Nestle SA Spon ADR Repstg Reg<br>CUSIP. 641069406 | 180.00 | $9,216.00 | $4,626.00 | $4,590.00 | $173.88 | 0 29% |
| Nippon Teleg & Tel Corp ADR<br>CUSIP. 654624105 | 225 00 | $4,729 50 | $5,380.66 | -$651.16 | $129.15 | 0 15% |
| Nokia Corp Spnsd ADR<br>CUSIP. 654902204 | 1,000.00 | $15,540.00 | $19,391.65 | -$3,851.65 | $403.00 | 0.49% |

## *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Nomura Hldgs Inc SPD ADR<br>CUSIP. 65535H208 | 442.00 | $3,239 86 | $6,025.10 | -$2,785.24 | $18 56 | 0.10% |
| Novartis AG Spons ADR<br>CUSIP: 66987V109 | 90 00 | $4,869 00 | $4,033 10 | $835 90 | $151 65 | 0.15% |
| Novo-Nordisk A S<br>CUSIP: 670100205 | 75.00 | $5,784 00 | $1,723 12 | $4,060.88 | $75.98 | 0 18% |
| Orix Corp Spnd ADR<br>CUSIP: 686330101 | 60.00 | $2,646 60 | $3,997.85 | -$1,351.25 | $19 98 | 0 08% |
| Petroleo Brasileiro SA Petrobras<br>CUSIP. 71654V408 | 100.00 | $4,450.00 | $3,384.83 | $1,065 17 | $0.00 | 0.14% |
| Rio Tinto PLC Spn ADR<br>CUSIP: 767204100 | 25.00 | $5,918.25 | $3,341.58 | $2,576.67 | $45.00 | 0 19% |
| Roche Holdings Ltd-Sponsored ADR<br>CUSIP: 771195104 | 400.00 | $16,208 00 | $15,021.86 | $1,186.14 | $464 00 | 0 51% |
| Sap AG<br>CUSIP· 803054204 | 175.00 | $8,429 75 | $6,900.75 | $1,529.00 | $194.25 | 0.27% |
| Shire PLC<br>CUSIP· 82481R106 | 95 00 | $6,270.95 | $5,404 09 | $866.86 | $32 49 | 0 20% |
| Smith & Nephew P L C SPD ADR New<br>CUSIP 83175M205 | 100.00 | $5,006.00 | $4,955.06 | $50.94 | $67.90 | 0.16% |
| Suncor Energy Inc<br>CUSIP: 867224107 | 135 00 | $4,392.90 | $4,140.90 | $252.00 | $0.00 | 0.14% |
| Telefonica S A<br>CUSIP· 879382208 | 50.00 | $3,555.00 | $2,217.31 | $1,337.69 | $172.35 | 0.11% |
| Tesco PLC Sponsored ADR<br>CUSIP: 881575302 | 250.00 | $4,962.50 | $3,317.50 | $1,645.00 | $139 50 | 0.16% |
| Total S.A. ADR<br>CUSIP: 89151E109 | 70 00 | $4,061 40 | $3,123.43 | $937 97 | $192.50 | 0 13% |

### *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Toyota Mtr Corp<br>CUSIP: 892331307 | 200.00 | $16,084.00 | $22,515.88 | -$6,431 88 | $218.80 | 0 51% |
| Transocean Inc Com Usd0.01 'register<br>CUSIP H8817H100 | 255 00 | $22,026.90 | $21,429.29 | $597.61 | $0.00 | 0 69% |
| Trend Micro Inc ADR<br>CUSIP: 89486M206 | 130.00 | $4,524.00 | $4,638 57 | -$114.57 | $115.18 | 0.14% |
| Tyco Electronics Ltd Com Chf2 60<br>CUSIP: H8912P106 | 168 00 | $4,616.64 | $5,857 48 | -$1,240 84 | $107 52 | 0 15% |
| Tyco International Com Usd0.80<br>CUSIP: H89128104 | 168.00 | $6,426 00 | $7,819 35 | -$1,393.35 | $141.12 | 0.20% |
| UBS AG<br>CUSIP· H89231338 | 170 00 | $2,767.60 | $5,875.19 | -$3,107.59 | $0.00 | 0.09% |
| United Overseas Bk Ltdadr<br>CUSIP· 911271302 | 250.00 | $6,832 50 | $3,903.75 | $2,928.75 | $207 00 | 0 21% |
| Vodafone Group SPD ADR Rep 10 Ord SH<br>CUSIP· 92857W209 | 975.00 | $22,727.25 | $22,524 58 | $202.67 | $1,240 20 | 0 71% |
| Wal-Mart DE Mexico S.A B DE C V<br>CUSIP· 93114W107 | 150 00 | $7,680 00 | $2,145.00 | $5,535.00 | $83.55 | 0 24% |
| Weatherford Int Ltd Com Usd1.00<br>CUSIP· H27013103 | 900 00 | $14,274.00 | $10,431.75 | $3,842.25 | $0 00 | 0 45% |
| | | $363,408.10 | $337,544 27 | $25,863.83 | $7,971.35 | 11.46% |
| Total Common Equity Securities | | $2,105,559.51 | $1,844,987.79 | $260,571.72 | $28,903.42 | 66.25% |

### *Statement of Value and Activity*

*April 1, 2009 - March 31, 2010*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *Cash Equivalents* | | | | | | |
| *Invested Cash* | | | | | | |
| Citibank M.D.A. (L) CUSIP: 9900CST72 | 28,165.08 | $28,165 08 | $28,165.08 | $0.00 | $70.41 | 0.89%(I) |
| Citibank M.D A. (L) CUSIP. 9900CST72 | 111,610.83 | $111,610.83 | $111,610.83 | $0 00 | $279.03 | 3.49% |
| Total Cash Equivalents | | $139,775.91 | $139,775.91 | $0.00 | $349.44 | 4.38% |
| **Total All Assets** | | **$3,178,997.63** | **$2,907,892.45** | **$271,105.18** | **$70,379.36** | **100.00%** |

***Your holdings are valued using the most current prices available to us. For Alternative Investments, unrealized gain/ loss and original tax cost (excluding acquisition costs) are shown for information purposes only and do not reflect any adjustments necessitated by annual K-1 forms. Consult your tax advisor regarding use of this information. Estimated income for Alternative Investments and certain non-publicly traded Partnerships cannot be accurately forecasted and is therefore not listed.***