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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2009

For calendar year 2009, or tax year beginning **APR 1, 2009**, and ending **MAR 31, 2010**

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

| | | | | | |
|---|--|--|--------------------------------------|--|--|
| Use the IRS label. Otherwise, print or type. See Specific Instructions. | | Name of foundation DAVID AND LAURA FINN FAMILY FOUNDATION Number and street (or P O box number if mail is not delivered to street address) 90 WELLINGTON AVE City or town, state, and ZIP code NEW ROCHELLE, NY 10804 | | A Employer identification number 13-6179414 B Telephone number 212-593-6400 C If exemption application is pending, check here ► <input type="checkbox"/> D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/> | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | | | | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 268,271. | | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | | | |
| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small> | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
| Revenue 1 Contributions, gifts, grants, etc., received 2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B 3 Interest on savings and temporary cash investments 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 300,126. 7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain 9 Income modifications Gross sales less returns and allowances 10a RECEIVED b Less Cost of goods sold c Gross profit (loss) 11 Other income 12 Total. Add lines 1 through 11 JUL 13 2010 IRS-OSC OGDEN, UT 13 Compensation of officers, directors, trustees, etc. 14 Other employee salaries and wages. 15 Pension plans, employee benefits 16a Legal fees b Accounting fees c Other professional fees 17 Interest 18 Taxes STMT 3 216. 0. 0. 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses STMT 4 3,302. 3,302. 0. 24 Total operating and administrative expenses. Add lines 13 through 23 3,518. 3,302. 0. 25 Contributions, gifts, grants paid 169,891. 169,891. 26 Total expenses and disbursements. Add lines 24 and 25 173,409. 3,302. 169,891. 27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements -165,788. b Net investment income (if negative, enter -0-) 4,319. c Adjusted net income (if negative, enter -0-) N/A | | | | | |

**DAVID AND LAURA FINN FAMILY
FOUNDATION**

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| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only | | Beginning of year | End of year | |
|---|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| 1 | Cash - non-interest-bearing | 33,877. | 8,580. | 8,580. |
| 2 | Savings and temporary cash investments | | | |
| 3 | Accounts receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| 4 | Pledges receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| 7 | Other notes and loans receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments - U.S. and state government obligations | | | |
| b | Investments - corporate stock | STMT 5 | 301,953. | 164,208. |
| c | Investments - corporate bonds | | | |
| 11 | Investments - land, buildings, and equipment basis ► | | | |
| | Less accumulated depreciation ► | | | |
| 12 | Investments - mortgage loans | | | |
| 13 | Investments - other | STMT 6 | 64,817. | 64,817. |
| 14 | Land, buildings, and equipment basis ► | | | |
| | Less accumulated depreciation ► | | | |
| 15 | Other assets (describe ► DUE FROM BROKERAGE) | 4,645. | 1,899. | 1,899. |
| 16 | Total assets (to be completed by all filers) | 405,292. | 239,504. | 268,271. |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable | | | |
| 22 | Other liabilities (describe ►) | | | |
| 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | 0. |
| 24 | Foundations that follow SFAS 117, check here ► <input type="checkbox"/> | | | |
| | and complete lines 24 through 26 and lines 30 and 31. | | | |
| 25 | Unrestricted | | | |
| 26 | Temporarily restricted | | | |
| 27 | Permanently restricted | | | |
| 28 | Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> | | | |
| | and complete lines 27 through 31. | | | |
| 29 | Capital stock, trust principal, or current funds | 94,275. | 94,275. | |
| 30 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| 31 | Retained earnings, accumulated income, endowment, or other funds | 311,017. | 145,229. | |
| 32 | Total net assets or fund balances | 405,292. | 239,504. | |
| 33 | Total liabilities and net assets/fund balances | 405,292. | 239,504. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|-----------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 405,292. |
| 2 | Enter amount from Part I, line 27a | 2 | -165,788. |
| 3 | Other increases not included in line 2 (itemize) ► | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 239,504. |
| 5 | Decreases not included in line 2 (itemize) ► | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 239,504. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| | | | | | |
|---|---|---|---|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 1a SEE STATEMENT ATTACHED | | | P | VARIOUS | VARIOUS |
| b SEE STATEMENT ATTACHED | | | P | VARIOUS | VARIOUS |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | | |
| a 225,965. | | 218,082. | 7,883. | | |
| b 74,161. | | 77,633. | -3,472. | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | | |
| a | | | 7,883. | | |
| b | | | -3,472. | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | 4,411. | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | | 3 | N/A | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2008 | 171,685. | 499,896. | .343441 |
| 2007 | 167,796. | 453,923. | .369657 |
| 2006 | 12,631. | 266,936. | .047318 |
| 2005 | 12,638. | 256,309. | .049308 |
| 2004 | 11,028. | 247,272. | .044599 |

2 Total of line 1, column (d)

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5

5 Multiply line 4 by line 3

6 Enter 1% of net investment income (1% of Part I, line 27b)

7 Add lines 5 and 6

8 Enter qualifying distributions from Part XII, line 4

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on Investment Income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2009 estimated tax payments and 2008 overpayment credited to 2009

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ►

Refunded ►

| | |
|----|-----|
| 6a | |
| 6b | |
| 6c | |
| 6d | |
| 7 | 0. |
| 8 | |
| 9 | 43. |
| 10 | |
| 11 | |

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year?

If "Yes," complete Part II, col. (c), and Part XV.

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

NY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

of each state as required by General Instruction G? *If "No," attach explanation*

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*

10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

| | Yes | No |
|----|-----|----|
| 1a | X | |
| 1b | X | |
| 1c | X | |
| 2 | X | |
| 3 | X | |
| 4a | X | |
| 4b | | |
| 5 | X | |
| 6 | X | |
| 7 | X | |
| 8b | X | |
| 9 | X | |
| 10 | X | |

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) 11 X

12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12 X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► **N/A** 13 X

14 The books are in care of ► **LAURA FINN** Telephone no. ► **212-593-6400**
Located at ► **90 WELLINGTON AVE, NEW ROCHELLE, NY** ZIP+4 ► **10804**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► **15** N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes X No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here ► N/A

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1c X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): N/A

a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? Yes X No

If "Yes," list the years ► N/A

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► N/A

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No

b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes No

Yes No

Yes No

Yes No

Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A



Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

Yes No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A



Yes No

N/A



7b



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

| | | |
|---|-----|--|
| 1 | N/A | |
| | | |
| 2 | | |
| | | |
| 3 | | |
| | | |
| 4 | | |
| | | |

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

| | | |
|---|-----|--|
| 1 | N/A | |
| | | |
| 2 | | |
| | | |

All other program-related investments. See instructions.

| | | |
|---|--|--|
| 3 | | |
| | | |

Total. Add lines 1 through 3**0.**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | |
|---|--|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | |
| a | Average monthly fair market value of securities | 1a 286,238. |
| b | Average of monthly cash balances | 1b 69,979. |
| c | Fair market value of all other assets | 1c 3,272. |
| d | Total (add lines 1a, b, and c) | 1d 359,489. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 0. |
| 3 | Subtract line 2 from line 1d | 3 359,489. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 5,392. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 354,097. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 17,705. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

| | | |
|----|---|-----------|
| 1 | Minimum investment return from Part X, line 6 | 1 17,705. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a 43. |
| b | Income tax for 2009. (This does not include the tax from Part VI.) | 2b |
| c | Add lines 2a and 2b | 2c 43. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 17,662. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 0. |
| 5 | Add lines 3 and 4 | 5 17,662. |
| 6 | Deduction from distributable amount (see instructions) | 6 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 17,662. |

Part XII Qualifying Distributions (see instructions)

| | | |
|---|---|-------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a 169,891. |
| b | Program-related investments - total from Part IX-B | 1b 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | |
| a | Suitability test (prior IRS approval required) | 3a |
| b | Cash distribution test (attach the required schedule) | 3b |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 169,891. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 43. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 169,848. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 17,662. |
| 2 Undistributed income, if any, as of the end of 2009 | | | 0. | |
| a Enter amount for 2008 only | | | | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2009: | | | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 | | | | |
| d From 2007 | 138,834. | | | |
| e From 2008 | 146,902. | | | |
| f Total of lines 3a through e | 285,736. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 169,891. | | | 0. | |
| a Applied to 2008, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2009 distributable amount | | | | 17,662. |
| e Remaining amount distributed out of corpus | 152,229. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | 437,965. | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | 0. | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | 0. | | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | 0. | | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr. | 0. | | | |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | 0. | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a | 437,965. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 | | | | |
| b Excess from 2006 | | | | |
| c Excess from 2007 | 138,834. | | | |
| d Excess from 2008 | 146,902. | | | |
| e Excess from 2009 | 152,229. | | | |

**DAVID AND LAURA FINN FAMILY
FOUNDATION**

Form 990-PF (2009)

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ►

4942(j)(3) or 4942(j)(5)

b Check box to indicate whether the foundation is a private operating foundation described in section

| Tax year | Prior 3 years | | | (e) Total |
|---|---------------|----------|----------|-----------|
| | (a) 2009 | (b) 2008 | (c) 2007 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | |
| b 85% of line 2a | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | |
| a "Assets" alternative test - enter: | | | | |
| (1) Value of all assets | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | |
| c "Support" alternative test - enter: | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | |
| (3) Largest amount of support from an exempt organization | | | | |
| (4) Gross investment income | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--------------------------------------|---|--------------------------------|----------------------------------|-----------------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| SEE STATEMENT 7 | | | | |
| Total | | | ► 3a | 169,891. |
| <i>b Approved for future payment</i> | | | | |
| NONE | | | | |
| Total | | | ► 3b | 0. |

DAVID AND LAURA FINN FAMILY
FOUNDATION

Form 990-PF (2009)

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| | Unrelated business income | | Excluded by section 512, 513, or 514 | (e) Related or exempt function income |
|---|---------------------------|---------------|--------------------------------------|---|
| | (a) Business code | (b) Amount | | |
| 1 Program service revenue: | | | | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| f | | | | |
| g Fees and contracts from government agencies | | | | |
| 2 Membership dues and assessments | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 6. |
| 4 Dividends and interest from securities | | | 14 | 3,204. |
| 5 Net rental income or (loss) from real estate: | | | | |
| a Debt-financed property | | | | |
| b Not debt-financed property | | | | |
| 6 Net rental income or (loss) from personal property | | | | |
| 7 Other investment income | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 4,411. |
| 9 Net income or (loss) from special events | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | |
| 11 Other revenue: | | | | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 7,621. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 7,621. |
| (See worksheet in line 12 instructions to verify calculations.) | | | | |

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

DAVID AND LAURA FINN FAMILY
FOUNDATION

Form 990-PF (2009)

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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

↳ Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitation

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
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Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

| | | | | |
|---|---|---------|---|---|
| Sign Here | Signature of officer or trustee | Date | Title | |
| | Preparer's signature | 6/17/10 | Check if self-employed <input type="checkbox"/> | Preparer's identifying number P00429459 |
| Paid Preparer's Use Only | RAICH ENDE MALTER & CO., LLP 1375 BROADWAY NEW YORK, NY 10018 | | EIN <input type="checkbox"/> 11-2336434 | Phone no. 212-944-4433 |
| Mr./Ms./Title Firm's name (or yours if self-employed), address, and ZIP code | | | | |

DAVID AND LAURA FINN FAMILY FOUNDATION

13-6179414

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | AMOUNT |
|--|--------|
| WILMINGTON | 6. |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 6. |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|----------------------------------|--------------|-------------------------|-------------------|
| DIVIDENDS | 3,202. | 0. | 3,202. |
| US INTEREST | 2. | 0. | 2. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 3,204. | 0. | 3,204. |

FORM 990-PF TAXES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INTERNAL REVENUE SERVICE | 106. | 0. | | 0. |
| NYS DEPARTMENT OF LAW | 110. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 216. | 0. | | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| BANK CHARGES | 104. | 104. | | 0. |
| AGENCY FEES | 2,923. | 2,923. | | 0. |
| TAX SERVICE FEES | 275. | 275. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 3,302. | 3,302. | | 0. |

| | | | |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 5 |
|-------------|-----------------|-----------|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|-------------------|
| CORPORATE STOCK | 87,205. | 87,205. |
| OTHER STOCK | 77,003. | 89,685. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 164,208. | 176,890. |

| | | | |
|-------------|-------------------|-----------|---|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 6 |
|-------------|-------------------|-----------|---|

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|--|------------------|------------|-------------------|
| MUTUAL FUND | COST | 64,817. | 80,902. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 64,817. | 80,902. |

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 7

| RECIPIENT NAME AND ADDRESS | RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT | RECIPIENT STATUS | AMOUNT |
|---|--|---------------------|----------|
| THIRTEEN ASSOC. 450 WEST 33RD STREET NEW YORK, NY 10001 | NONE | PUBLIC CHARITY | 150. |
| AMERICAN JEWISH COMMITTEE 165 EAST 56 STREET NEW YORK, NY 10022 | NONE | PUBLIC CHARITY | 50. |
| WLIW21 450 WEST 33RD STREET NEW YORK, NY 10001 | NONE | PUBLIC CHARITY | 100. |
| UJA FEDERATION 130 EAST 59TH STREET NEW YORK, NY 10022 | NONE | PUBLIC CHARITY | 250. |
| BETH EL SYNAGOGUE NORTHFIELD ROAD NEW ROCHELLE, NY 10804 | NONE | PUBLIC CHARITY | 2,125. |
| BROWN UNIVERSITY BROWN UNIVERSITY PROVIDENCE, RI 02912 | NONE | PUBLIC CHARITY | 166,666. |
| WNYC 160 VARICK STREET NEW YORK, NY 10013 | NONE | PUBLIC CHARITY | 150. |
| THE UNITED SYNAGOGUE OF CONSERVATIVE JUDAISM 820 SECOND AVENUE 10TH FLOOR NEW YORK, NY 10017 | NONE | PUBLIC CHARITY | 50. |

DAVID AND LAURA FINN FAMILY FOUNDATION

13-6179414

**PUBLIC
CHARITY**

ADL **NEW YORK NEW ROCHELLE, NY 10804** **NONE**

PUBLIC
CHARITY

NY PUBLIC LIBRARY
476 FIFTH AVENUE NEW YORK, NY
10018

PUBLIC
CHARITY

PUBLIC
CHARITY

PUBLIC
CHARITY

TOTAL TO FORM 990-PF. PART XV. LINE 3A

169,891.

FSB-NY CUST/FINN FAMILY FOUNDATION
Schedule D Detail of Short-term Capital Gains and Losses

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Short-term Gain/Loss |
|--|---------------|------------|-------------------|---------------------|----------------------|
| 150. INGERSOLL RAND CO CLASS A | VAR | 04/01/2009 | 2,185.21 | 2,727.16 | -541.95 |
| 60. NOVO-NORDISK A/S ADR | VAR | 04/02/2009 | 2,848.67 | 3,076.06 | -227.39 |
| 50. GOODRICH B F CO COMMON | 12/04/2008 | 04/22/2009 | 2,116.54 | 1,650.12 | 466.42 |
| 10. GOODRICH B F CO COMMON | 10/22/2008 | 04/22/2009 | 423.40 | 324.93 | 98.47 |
| 170. MICROSOFT CORP COMMON | VAR | 04/24/2009 | 3,343.13 | 3,196.13 | 147.00 |
| 130. WABCO HOLDINGS INC | 12/19/2008 | 04/27/2009 | 2,067.17 | 2,129.34 | -62.17 |
| 100. CAMPBELL SOUP COMPANY COMMON | 12/30/2008 | 05/06/2009 | 2,629.59 | 2,934.90 | -305.31 |
| 10. MARSH & MC LENNAN COMPANIES COMMON | 10/16/2008 | 05/06/2009 | 212.88 | 261.96 | -49.08 |
| 80. MARSH & MC LENNAN COMPANIES COMMON | 10/16/2008 | 05/06/2009 | 1,726.27 | 2,095.68 | -369.41 |
| 50. CHEVRONTEXACO CORP COMMON | VAR | 05/20/2009 | 3,285.00 | 3,319.73 | -34.73 |
| 150. TYCO ELECTRONICS LTD | 08/01/2008 | 05/20/2009 | 2,640.98 | 4,939.64 | -2,298.66 |
| 10. ALCON INC ORD | 01/15/2009 | 05/27/2009 | 1,062.34 | 836.91 | 225.43 |
| 60. QUALCOMM COMMON | 12/04/2008 | 05/28/2009 | 2,551.20 | 1,851.93 | 699.27 |
| 110. INVESCO LIMITED | 11/13/2008 | 06/01/2009 | 1,802.16 | 1,318.65 | 483.51 |
| 150. ALLEGHENY ENERGY INC | VAR | 06/04/2009 | 3,768.32 | 4,810.87 | -1,042.55 |
| 30. CHEVRONTEXACO CORP COMMON | 10/23/2008 | 06/17/2009 | 2,063.07 | 1,913.85 | 149.22 |
| 80. NORFOLK SOUTHERN CORP COMMON | 05/06/2009 | 06/17/2009 | 3,063.02 | 3,020.30 | 42.72 |
| 100. ACCENTURE LTD CLASS A COMMON | VAR | 06/19/2009 | 3,190.16 | 3,263.05 | -72.89 |
| 40. AIR PRODUCTS & CHEMICALS | 05/06/2009 | 06/22/2009 | 2,489.93 | 2,671.99 | -182.06 |
| 60. AMERICAN ELECTRIC POWER CO COMMON | VAR | 06/22/2009 | 1,720.15 | 1,940.03 | -219.88 |
| 60. ANALOG DEVICES COMMON | 12/30/2008 | 06/22/2009 | 1,425.56 | 1,115.63 | 309.93 |
| 30. AON CORP COMMON | 10/09/2008 | 06/22/2009 | 1,134.57 | 1,287.71 | -153.14 |
| 100. BMC SOFTWARE COMMON | VAR | 06/22/2009 | 3,397.91 | 2,626.87 | 771.04 |
| 170. BANK OF AMERICA CORP | 05/20/2009 | 06/22/2009 | 2,099.75 | 1,973.41 | 126.34 |
| 70. CVS CORPORATION COMMON | VAR | 06/22/2009 | 2,184.64 | 2,041.45 | 143.19 |
| 110. THE DIRECTV GROUP INC COMMON | VAR | 06/22/2009 | 2,586.03 | 2,714.80 | -128.77 |
| 30. DUN & BRADSTREET CORP NEW COMMON | 08/20/2008 | 06/22/2009 | 2,383.13 | 2,809.35 | -426.22 |
| Totals | | | | | |

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FSB-NY CUST/FINN FAMILY FOUNDATION
Schedule D Detail of Short-term Capital Gains and Losses

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Short-term Gain/Loss |
|---|---------------|------------|-------------------|---------------------|----------------------|
| 60. EDWARDS LIFESCIENCE CORP | 12/30/2008 | 06/22/2009 | 3,956.29 | 3,280.49 | 675.80 |
| 80. ELECTRONIC ARTS COMMON | VAR | 06/22/2009 | 1,602.35 | 3,334.42 | -1,732.07 |
| 20. EXXON MOBIL CORPORATION | 03/27/2009 | 06/22/2009 | 1,387.16 | 1,407.05 | -19.89 |
| 20. GOLDMAN SACHS GROUP INC | 04/14/2009 | 06/22/2009 | 2,785.12 | 2,460.00 | 325.12 |
| 40. GOODRICH B F CO COMMON | 10/22/2008 | 06/22/2009 | 1,985.54 | 1,299.72 | 685.82 |
| 40. HESS CORPORATION | VAR | 06/22/2009 | 2,025.54 | 2,311.63 | -286.09 |
| 50. LOWE'S COMPANIES COMMON | 02/18/2009 | 06/22/2009 | 944.97 | 846.39 | 98.58 |
| 30. MANPOWER WISCONSIN COMMON | 12/30/2008 | 06/22/2009 | 1,221.86 | 973.58 | 248.28 |
| 100. MARSH & MC LENNAN COMPANIES COMMON | VAR | 06/22/2009 | 2,062.94 | 2,593.45 | -530.51 |
| 110. MATTEL COMMON | 10/22/2008 | 06/22/2009 | 1,764.35 | 1,517.95 | 246.40 |
| 50. MCCORMICK & COMPANY NON-VOTING COMMON | 12/19/2008 | 06/22/2009 | 1,619.45 | 1,587.75 | 31.70 |
| 100. MICROSOFT CORP COMMON | 05/28/2009 | 06/22/2009 | 2,342.20 | 2,040.63 | 301.57 |
| 40. NINTENDO CO LTD ADR | 12/30/2008 | 06/22/2009 | 1,306.76 | 1,869.00 | -562.24 |
| 40. OMNICOM GROUP COMMON | 07/24/2008 | 06/22/2009 | 1,265.56 | 1,684.16 | -418.60 |
| 70. ORACLE CORP COMMON | 06/19/2009 | 06/22/2009 | 1,402.76 | 1,458.51 | -55.75 |
| 130. PARAMETRIC TECHNOLOGY CORP COMMON | 12/19/2008 | 06/22/2009 | 1,545.74 | 1,578.01 | -32.27 |
| 80. PEOPLES UNITED FINANCIAL | 10/23/2008 | 06/22/2009 | 1,219.16 | 1,302.26 | -83.10 |
| 20. PRECISION CASTPARTS COMMON | 07/24/2008 | 06/22/2009 | 1,548.88 | 1,868.00 | -319.12 |
| 20. QUALCOMM COMMON | 06/19/2009 | 06/22/2009 | 889.57 | 911.09 | -21.52 |
| 60. STATE STREET CORPORATION | VAR | 06/22/2009 | 2,742.52 | 2,210.25 | 532.27 |
| 40. STRYKER CORP COMMON | VAR | 06/22/2009 | 1,605.95 | 2,089.55 | -483.60 |
| 70. TALISMAN ENERGY INC COMMON | 05/08/2009 | 06/22/2009 | 965.97 | 997.59 | -31.62 |
| 70. THERMO ELECTRON CORP | VAR | 06/22/2009 | 2,802.72 | 2,893.49 | -90.77 |
| 40. TOTAL FINA ELF S A SPONSORED ADR | VAR | 06/22/2009 | 2,093.94 | 2,024.66 | 69.28 |
| 30. UNION PACIFIC CORP COMMON | 04/06/2009 | 06/22/2009 | 1,483.46 | 1,311.68 | 171.78 |
| 90. WELLS FARGO & CO NEW | 05/08/2009 | 06/22/2009 | 2,081.64 | 1,980.00 | 101.64 |
| 130. WESTERN UNION CO | 01/15/2009 | 06/22/2009 | 2,025.34 | 1,838.47 | 186.87 |
| 110. YAHOO! INC COMMON | VAR | 06/22/2009 | 1,643.65 | 1,588.69 | 54.96 |
| 20. ALCON INC ORD | 01/15/2009 | 06/22/2009 | 2,221.94 | 1,673.83 | 548.11 |
| 70. TYCO INTERNATIONAL LTD | 03/02/2009 | 06/22/2009 | 1,800.35 | 1,400.50 | 399.85 |
| Totals | | | | | |

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FSB-NY CUST/FINN FAMILY FOUNDATION
Schedule D Detail of Short-term Capital Gains and Losses

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Short-term Gain/Loss |
|--|---------------|------------|-------------------|---------------------|----------------------|
| 10. MCCORMICK & COMPANY NON-VOTING COMMON | 12/19/2008 | 06/24/2009 | 320.92 | 317.55 | 3.37 |
| 10. MCCORMICK & COMPANY NON-VOTING COMMON | 12/19/2008 | 06/24/2009 | 322.60 | 317.36 | 5.24 |
| 50. MCCORMICK & COMPANY NON-VOTING COMMON | VAR | 06/24/2009 | 1,614.85 | 1,587.01 | 27.84 |
| 10. DUN & BRADSTREET CORP NEW COMMON | 08/20/2008 | 07/16/2009 | 800.98 | 936.45 | -135.47 |
| 10. DUN & BRADSTREET CORP NEW COMMON | 08/20/2008 | 07/16/2009 | 798.13 | 936.45 | -138.32 |
| 60. NINTENDO CO LTD ADR | VAR | 07/16/2009 | 2,066.14 | 2,569.45 | -503.31 |
| 30. STATE STREET CORPORATION | VAR | 07/23/2009 | 1,404.56 | 1,276.42 | 128.14 |
| 10. ALCON INC ORD | 11/13/2008 | 07/23/2009 | 1,258.85 | 799.38 | 459.47 |
| 30. MICROSOFT CORP COMMON | 05/28/2009 | 07/27/2009 | 692.46 | 612.19 | 80.27 |
| 20. YAHOO! INC COMMON | 03/24/2009 | 07/29/2009 | 307.64 | 274.80 | 32.84 |
| 30. YAHOO! INC COMMON | 03/24/2009 | 07/29/2009 | 462.19 | 412.20 | 49.99 |
| 10. THERMO ELECTRON CORP | 02/17/2009 | 08/03/2009 | 453.95 | 384.49 | 69.46 |
| 120. MARSH & MC LENNAN COMPANIES COMMON | 12/30/2008 | 08/10/2009 | 2,764.72 | 2,829.75 | -65.03 |
| 30. LOWE'S COMPANIES COMMON | 06/24/2009 | 08/13/2009 | 682.88 | 548.25 | 134.63 |
| 50. MCGRAW HILL COMPANIES INC COMMON | 08/13/2009 | 08/25/2009 | 1,554.11 | 1,479.41 | 74.70 |
| 10. GOODRICH B F CO COMMON | 10/22/2008 | 08/26/2009 | 555.58 | 324.93 | 230.65 |
| 10. GOODRICH B F CO COMMON | 10/22/2008 | 08/27/2009 | 565.18 | 324.93 | 240.25 |
| 70. AMERICAN ELECTRIC POWER CO COMMON | VAR | 09/14/2009 | 2,173.32 | 2,227.65 | -54.33 |
| 70. ANALOG DEVICES COMMON | 12/30/2008 | 09/14/2009 | 1,990.02 | 1,301.56 | 688.46 |
| 50. AON CORP COMMON | VAR | 09/14/2009 | 2,077.99 | 2,067.26 | 10.73 |
| 100. PARAMETRIC TECHNOLOGY CORP COMMON | 12/19/2008 | 09/14/2009 | 1,361.09 | 1,213.85 | 147.24 |
| 40. UNION PACIFIC CORP COMMON | 04/06/2009 | 09/14/2009 | 2,505.41 | 1,748.90 | 756.51 |
| 80. PARAMETRIC TECHNOLOGY CORP COMMON | 12/19/2008 | 09/15/2009 | 1,073.86 | 971.08 | 102.78 |
| 20. THERMO ELECTRON CORP | VAR | 09/16/2009 | 911.10 | 760.89 | 150.21 |
| 40. BANK OF NEW YORK MELLON | VAR | 09/21/2009 | 1,183.57 | 1,308.19 | -124.62 |
| Totals | | | | | |

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FSB-NY CUST/FINN FAMILY FOUNDATION
Schedule D Detail of Short-term Capital Gains and Losses

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Short-term Gain/Loss |
|---|---------------|------------|-------------------|---------------------|----------------------|
| 50. THE DIRECTV GROUP INC COMMON | VAR | 09/23/2009 | 1,355.86 | 1,250.81 | 105.05 |
| 20. BMC SOFTWARE COMMON | 10/17/2008 | 09/25/2009 | 752.28 | 521.24 | 231.04 |
| 70. AETNA INC NEW COMMON | 07/14/2009 | 09/28/2009 | 2,027.50 | 1,845.46 | 182.04 |
| 30. DUN & BRADSTREET CORP NEW COMMON | VAR | 09/28/2009 | 2,234.18 | 2,301.98 | -67.80 |
| 30. PROCTER & GAMBLE CO COMMON | 06/19/2009 | 10/02/2009 | 1,689.74 | 1,533.52 | 156.22 |
| 50. HONEYWELL INTERNATIONAL INC COMMON | 08/07/2009 | 10/15/2009 | 1,869.09 | 1,814.08 | 55.01 |
| 120. WELLS FARGO & CO NEW | 05/08/2009 | 10/23/2009 | 3,553.89 | 2,640.00 | 913.89 |
| 10. AIR PRODUCTS & CHEMICALS | 05/06/2009 | 10/27/2009 | 811.51 | 668.00 | 143.51 |
| 20. BMC SOFTWARE COMMON | 12/19/2008 | 10/27/2009 | 741.55 | 519.04 | 222.51 |
| 50. WESTERN UNION CO | VAR | 10/27/2009 | 937.61 | 702.85 | 234.76 |
| 60. IMPALA PLATINUM HOLDINGS LTD ADR | 10/09/2009 | 11/03/2009 | 1,283.47 | 1,397.79 | -114.32 |
| 40. WESTERN UNION CO | 12/19/2008 | 11/06/2009 | 745.62 | 561.42 | 184.20 |
| 30. DIRECTV CLASS A | VAR | 11/20/2009 | 943.94 | 807.32 | 136.62 |
| 20. AIR PRODUCTS & CHEMICALS | 06/17/2009 | 12/02/2009 | 1,671.55 | 1,289.51 | 382.04 |
| 25. AMERICAN ELECTRIC POWER CO COMMON | 11/06/2009 | 12/02/2009 | 828.97 | 775.13 | 53.84 |
| 30. BMC SOFTWARE COMMON | 12/19/2008 | 12/02/2009 | 1,167.26 | 778.55 | 388.71 |
| 90. BANK OF AMERICA CORP | 05/20/2009 | 12/02/2009 | 1,412.96 | 1,044.75 | 368.21 |
| 60. CVS CORPORATION COMMON | VAR | 12/02/2009 | 1,832.35 | 2,052.19 | -219.84 |
| 15. CREDIT SUISSE GROUP SPONSORED ADR | VAR | 12/02/2009 | 775.78 | 831.78 | -56.00 |
| 50. DIRECTV CLASS A | VAR | 12/02/2009 | 1,562.45 | 1,256.44 | 306.01 |
| 10. ENTERGY CORP NEW COMMON | 06/24/2009 | 12/02/2009 | 812.07 | 756.11 | 55.96 |
| 15. EXXON MOBIL CORPORATION | 07/30/2009 | 12/02/2009 | 1,137.87 | 1,061.15 | 76.72 |
| 10. HESS CORPORATION | 03/31/2009 | 12/02/2009 | 595.58 | 551.53 | 44.05 |
| 45. INTEL CORP COMMON | 11/24/2009 | 12/02/2009 | 887.15 | 876.73 | 10.42 |
| 5. JP MORGAN CHASE & CO | 10/28/2009 | 12/02/2009 | 209.09 | 216.70 | -7.61 |
| 45. KROGER COMPANY COMMON | 10/02/2009 | 12/02/2009 | 1,033.17 | 943.94 | 89.23 |
| 50. LOWE'S COMPANIES COMMON | VAR | 12/02/2009 | 1,126.47 | 1,026.07 | 100.40 |
| 15. MANPOWER WISCONSIN COMMON | VAR | 12/02/2009 | 751.63 | 690.28 | 61.35 |
| 35. MICROSOFT CORP COMMON | 05/28/2009 | 12/02/2009 | 1,044.89 | 714.22 | 330.67 |
| Totals | | | | | |

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FSB-NY CUST/FINN FAMILY FOUNDATION
Schedule D Detail of Short-term Capital Gains and Losses

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Short-term Gain/Loss |
|--|---------------|------------|-------------------|---------------------|----------------------|
| 10. MOLSON COORS BREWING COMPANY CL B COMMON | 10/27/2009 | 12/02/2009 | 456.38 | 500.40 | -44.02 |
| 40. ORACLE CORP COMMON | 06/19/2009 | 12/02/2009 | 899.38 | 833.44 | 65.94 |
| 20. PETROLEO BRASILEIRO S.A. | 11/24/2009 | 12/02/2009 | 1,060.37 | 1,022.34 | 38.03 |
| 70. PFIZER COMMON | 09/28/2009 | 12/02/2009 | 1,325.06 | 1,169.00 | 156.06 |
| 25. PRUDENTIAL FINANCIAL INC | VAR | 12/02/2009 | 1,237.46 | 1,299.88 | -62.42 |
| 30. QUALCOMM COMMON | 07/23/2009 | 12/02/2009 | 1,356.42 | 1,399.47 | -43.05 |
| 25. STATE STREET CORPORATION | VAR | 12/02/2009 | 1,060.22 | 1,002.00 | 58.22 |
| 25. STRYKER CORP COMMON | VAR | 12/02/2009 | 1,270.96 | 958.26 | 312.70 |
| 30. SUNCOR ENERGY INC | VAR | 12/02/2009 | 1,120.47 | 1,009.07 | 111.40 |
| 60. SYMANTEC CORP COMMON | VAR | 12/02/2009 | 1,080.27 | 965.29 | 114.98 |
| 50. TALISMAN ENERGY INC COMMON | 07/16/2009 | 12/02/2009 | 899.47 | 733.34 | 166.13 |
| 50. TEXAS INSTRUMENTS INCORPORATED COMMON | 09/14/2009 | 12/02/2009 | 1,311.46 | 1,238.38 | 73.08 |
| 30. WESTERN UNION CO | 12/19/2008 | 12/02/2009 | 556.18 | 421.07 | 135.11 |
| 65. YAHOO! INC COMMON | VAR | 12/02/2009 | 986.34 | 1,111.06 | -124.72 |
| 45. ACCENTURE PLC CLASS A | VAR | 12/02/2009 | 1,865.20 | 1,605.98 | 259.22 |
| 25. COVIDIEN LTD | 09/29/2009 | 12/02/2009 | 1,189.21 | 1,072.21 | 117.00 |
| 50. SEAGATE TECHNOLOGY COMMON | 09/23/2009 | 12/02/2009 | 794.47 | 782.49 | 11.98 |
| 35. TYCO INTERNATIONAL LTD | VAR | 12/02/2009 | 1,248.41 | 1,001.46 | 246.95 |
| 25. CREDIT SUISSE GROUP SPONSORED ADR | 09/14/2009 | 12/04/2009 | 1,326.83 | 1,367.53 | -40.70 |
| 110. PFIZER COMMON | 07/30/2009 | 12/22/2009 | 2,039.62 | 1,768.44 | 271.18 |
| 40. DIRECTV CLASS A | VAR | 01/04/2010 | 1,352.62 | 955.69 | 396.93 |
| 10. ALCON INC ORD | 12/18/2009 | 01/04/2010 | 1,554.70 | 1,610.74 | -56.04 |
| 80. TEXAS INSTRUMENTS INCORPORATED COMMON | VAR | 01/15/2010 | 1,938.64 | 1,932.99 | 5.65 |
| 20. QUALCOMM COMMON | 06/19/2009 | 02/02/2010 | 787.84 | 911.09 | -123.25 |
| 20. WELLS FARGO & CO NEW | 01/04/2010 | 02/02/2010 | 575.59 | 549.35 | 26.24 |
| 10. QUALCOMM COMMON | 06/19/2009 | 02/17/2010 | 394.29 | 455.55 | -61.26 |
| 30. SYMANTEC CORP COMMON | VAR | 02/17/2010 | 505.49 | 531.86 | -26.37 |
| 20. DIRECTV CLASS A | 06/01/2009 | 02/18/2010 | 657.58 | 462.18 | 195.40 |
| 20. DIRECTV CLASS A | 06/01/2009 | 02/18/2010 | 656.89 | 462.18 | 194.71 |
| 10. DIRECTV CLASS A | 06/01/2009 | 02/23/2010 | 333.77 | 231.09 | 102.68 |
| 85. KROGER COMPANY COMMON | 10/02/2009 | 02/23/2010 | 1,843.23 | 1,782.99 | 60.24 |
| Totals | | | | | |

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FSB-NY CUST/FINN FAMILY FOUNDATION
Schedule D Detail of Short-term Capital Gains and Losses

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Short-term Gain/Loss |
|--|---------------|------------|-------------------|---------------------|----------------------|
| 80. BANK OF AMERICA CORP | 12/03/2009 | 03/02/2010 | 1,313.86 | 1,200.00 | 113.86 |
| 20. QUALCOMM COMMON | 10/27/2009 | 03/02/2010 | 742.29 | 823.21 | -80.92 |
| 30. HESS CORPORATION | 03/31/2009 | 03/05/2010 | 1,844.61 | 1,654.59 | 190.02 |
| 10. CVS CORPORATION COMMON | 11/06/2009 | 03/09/2010 | 348.02 | 296.23 | 51.79 |
| 30. PETROLEO BRASILEIRO S.A. | 06/24/2009 | 03/09/2010 | 1,362.15 | 1,188.77 | 173.38 |
| 10. RAYTHEON CO COMMON NEW | 07/23/2009 | 03/09/2010 | 569.99 | 457.68 | 112.31 |
| 20. RAYTHEON CO COMMON NEW | 03/31/2009 | 03/11/2010 | 1,129.79 | 783.37 | 346.42 |
| 20. JP MORGAN CHASE & CO | 10/28/2009 | 03/18/2010 | 869.13 | 866.80 | 2.33 |
| 20. MOLSON COORS BREWING COMPANY CL B COMMON | 10/27/2009 | 03/23/2010 | 858.47 | 1,000.79 | -142.32 |
| 50. WELLS FARGO & CO NEW | VAR | 03/30/2010 | 1,542.46 | 1,369.56 | 172.90 |
| 5. ELECTRONIC ARTS COMMON | 10/07/2009 | 03/31/2010 | 93.07 | 100.02 | -6.95 |
| 30. OMNICOM GROUP COMMON | VAR | 03/31/2010 | 1,164.65 | 1,050.37 | 114.28 |
| Totals | | | 225,965.00 | 218,082.00 | 7,883.00 |

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FSB-NY CUST/FINN FAMILY FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Long-term Gain/Loss |
|--|---------------|------------|-------------------|---------------------|---------------------|
| 15% RATE CAPITAL GAINS (LOSSES) | | | | | |
| 190. ORACLE CORP COMMON | 07/31/2007 | 04/20/2009 | 3,430.27 | 3,718.03 | -287.76 |
| 100. TERADATA CORP | 10/01/2007 | 05/08/2009 | 2,051.31 | 2,631.95 | -580.64 |
| 50. TERADATA CORP | 10/01/2007 | 05/08/2009 | 1,034.42 | 1,315.97 | -281.55 |
| 100. INVESCO LIMITED | 02/06/2008 | 05/08/2009 | 1,642.82 | 2,666.77 | -1,023.95 |
| 100. INVESCO LIMITED | 02/06/2008 | 06/01/2009 | 1,638.33 | 2,666.77 | -1,028.44 |
| 70. BANK OF NEW YORK MELLON | 07/31/2007 | 06/22/2009 | 1,975.34 | 3,072.65 | -1,097.31 |
| 30. BARD C R INCORPORATED COMMON | 07/31/2007 | 06/22/2009 | 2,189.34 | 2,397.75 | -208.41 |
| 50. JP MORGAN CHASE & CO | 07/31/2007 | 06/22/2009 | 1,686.45 | 2,258.75 | -572.30 |
| 90. NESTLE S A SPONSORED ADR | VAR | 06/22/2009 | 3,315.51 | 3,836.31 | -520.80 |
| 30. PPL CORPORATION COMMON | 05/14/2004 | 06/22/2009 | 988.17 | 614.34 | 373.83 |
| 30. PEPSICO INCORPORATED | 07/31/2007 | 06/22/2009 | 1,599.85 | 1,988.70 | -388.85 |
| 20. PRECISION CASTPARTS COMMON | 02/06/2008 | 06/22/2009 | 1,549.16 | 2,191.88 | -642.72 |
| 40. PROCTER & GAMBLE CO COMMON | 07/31/2007 | 06/22/2009 | 2,023.14 | 2,512.80 | -489.66 |
| 50. RAYTHEON CO COMMON NEW | 07/31/2007 | 06/22/2009 | 2,265.44 | 2,803.00 | -537.56 |
| 60. ROCHE HOLDINGS LTD SPONSORED ADR | 07/31/2007 | 06/22/2009 | 1,973.94 | 2,671.20 | -697.26 |
| 20. WAL MART STORES COMMON | 07/31/2007 | 06/22/2009 | 966.77 | 928.40 | 38.37 |
| 30. NESTLE S A SPONSORED ADR | 07/31/2007 | 07/20/2009 | 1,199.66 | 1,157.88 | 41.78 |
| 20. NESTLE S A SPONSORED ADR | 07/31/2007 | 08/03/2009 | 825.58 | 771.92 | 53.66 |
| 40. PPL CORPORATION COMMON | 05/14/2004 | 08/05/2009 | 1,158.02 | 819.13 | 338.89 |
| 10. BARD C R INCORPORATED COMMON | 07/31/2007 | 09/16/2009 | 812.16 | 799.25 | 12.91 |
| 60. BANK OF NEW YORK MELLON | 07/31/2007 | 09/18/2009 | 1,834.21 | 2,633.70 | -799.49 |
| 10. BANK OF NEW YORK MELLON | 07/31/2007 | 09/21/2009 | 295.89 | 438.95 | -143.06 |
| 10. PROCTER & GAMBLE CO COMMON | 07/31/2007 | 10/02/2009 | 563.25 | 628.20 | -64.95 |
| 40. WAL MART STORES COMMON | 07/31/2007 | 10/15/2009 | 2,023.94 | 1,856.80 | 167.14 |
| 70. MATTEL COMMON | 10/22/2008 | 10/27/2009 | 1,340.31 | 965.97 | 374.34 |
| 20. NESTLE S A SPONSORED ADR | 07/31/2007 | 10/27/2009 | 920.12 | 771.92 | 148.20 |
| 20. RAYTHEON CO COMMON NEW | 07/31/2007 | 10/27/2009 | 926.04 | 1,121.20 | -195.16 |
| 10. GOODRICH B F CO COMMON | 10/22/2008 | 11/06/2009 | 573.33 | 324.93 | 248.40 |
| Totals | | | | | |

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FSB-NY CUST/FINN FAMILY FOUNDATION
 Schedule D Detail of Long-term Capital Gains and Losses

| Description | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Long-term Gain/Loss |
|--|---------------|------------|-------------------|---------------------|---------------------|
| 20. BARD C R INCORPORATED COMMON | 07/31/2007 | 12/02/2009 | 1,658.55 | 1,598.50 | 60.05 |
| 60. ELECTRONIC ARTS COMMON | VAR | 12/02/2009 | 1,016.67 | 1,556.38 | -539.71 |
| 15. GOODRICH B F CO COMMON | 10/22/2008 | 12/02/2009 | 910.02 | 487.40 | 422.62 |
| 30. HEWLETT-PACKARD CO COMMON | 07/17/2008 | 12/02/2009 | 1,480.76 | 1,296.36 | 184.40 |
| 30. JP MORGAN CHASE & CO | 07/31/2007 | 12/02/2009 | 1,254.57 | 1,355.25 | -100.68 |
| 40. MATTEL COMMON | 10/22/2008 | 12/02/2009 | 800.77 | 551.98 | 248.79 |
| 15. NESTLE S A SPONSORED ADR | 07/31/2007 | 12/02/2009 | 736.25 | 578.94 | 157.31 |
| 40. OMNICOM GROUP COMMON | 07/24/2008 | 12/02/2009 | 1,464.36 | 1,684.16 | -219.80 |
| 60. PEOPLES UNITED FINANCIAL | 10/23/2008 | 12/02/2009 | 969.28 | 976.70 | -7.42 |
| 15. PEPSICO INCORPORATED | 07/31/2007 | 12/02/2009 | 952.77 | 994.35 | -41.58 |
| 20. RAYTHEON CO COMMON NEW | 07/31/2007 | 12/02/2009 | 1,029.37 | 1,121.20 | -91.83 |
| 50. ROCHE HOLDINGS LTD SPONSORED ADR | 07/31/2007 | 12/02/2009 | 2,084.70 | 2,226.00 | -141.30 |
| 20. THERMO ELECTRON CORP | VAR | 12/02/2009 | 961.17 | 727.03 | 234.14 |
| 10. TOTAL FINA ELF S A SPONSORED ADR | 10/23/2008 | 12/02/2009 | 636.88 | 467.02 | 169.86 |
| 10. ALCON INC ORD | 11/13/2008 | 12/02/2009 | 1,496.06 | 799.38 | 696.68 |
| 20. MATTEL COMMON | 10/22/2008 | 12/03/2009 | 394.95 | 275.99 | 118.96 |
| 50. MATTEL COMMON | 10/22/2008 | 12/10/2009 | 988.32 | 689.97 | 298.35 |
| 90. PEOPLES UNITED FINANCIAL | 10/23/2008 | 01/04/2010 | 1,514.00 | 1,465.05 | 48.95 |
| 30. TOTAL FINA ELF S A SPONSORED ADR | 10/23/2008 | 01/04/2010 | 1,972.20 | 1,401.07 | 571.13 |
| 15. ALCON INC ORD | 11/20/2008 | 01/05/2010 | 2,341.61 | 1,077.62 | 1,263.99 |
| 15. GOODRICH B F CO COMMON | 10/22/2008 | 01/13/2010 | 983.75 | 487.40 | 496.35 |
| 20. OMNICOM GROUP COMMON | 07/24/2008 | 01/25/2010 | 730.89 | 842.08 | -111.19 |
| 10. CVS CORPORATION COMMON | 02/18/2009 | 03/09/2010 | 348.02 | 272.55 | 75.47 |
| 30. THERMO ELECTRON CORP | 11/13/2008 | 03/15/2010 | 1,490.57 | 1,051.87 | 438.70 |
| 30. NESTLE S A SPONSORED ADR | 07/31/2007 | 03/23/2010 | 1,527.64 | 1,157.88 | 369.76 |
| 45. ELECTRONIC ARTS COMMON | 11/04/2008 | 03/31/2010 | 837.62 | 1,084.05 | -246.43 |
| 20. OMNICOM GROUP COMMON | 07/24/2008 | 03/31/2010 | 776.44 | 842.07 | -65.63 |
| TOTAL 15% RATE CAPITAL GAINS (LOSSES) | | | 74,161.00 | 77,633.00 | -3,472.00 |
| Totals | | | 74,161.00 | 77,633.00 | -3,472.00 |

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