Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 03/01 **, 2009, and ending** 02/28, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: DOTY FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) / Room/suite:
C/O BCRS ASSOCIATES, LLC
100 WALL STREET, 11TH FLOOR

City or town, state, and ZIP code: NEW YORK, NY 10005

A Employer identification number: 13-2921496

B Telephone number (see page 10 of the instructions): (212) 440-0800

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 6,073,191.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
				N/A	
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	NONE			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	3,378.	3,378.	0.	ATCH 1
4	Dividends and interest from securities	124,925.	124,925.	0.	ATCH 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-107,506.			
b	Gross sales price for all assets on line 6a 763,912.				
7	Capital gain net income (from Part IV, line 2)		NONE		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	20,797.	128,303.	0.	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	18,000.	13,500.	0.	4,500.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 3	8,200.	4,100.	0.	4,100.
c	Other professional fees (attach schedule) **	29,577.	29,577.	0.	0.
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) *	4,000.	0.	0.	0.
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 6	16,309.	8,334.	0.	7,975.
24	**Total operating and administrative expenses.** Add lines 13 through 23	76,086.	55,511.	0.	16,575.
25	Contributions, gifts, grants paid	614,865.			614,865.
26	**Total expenses and disbursements** Add lines 24 and 25	690,951.	55,511.	0.	631,440.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-670,154.			
b	**Net investment income** (if negative, enter -0-)		72,792.		
c	**Adjusted net income** (if negative, enter -0-)			-0-.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	0.	563.	563.
	2 Savings and temporary cash investments	195,711.	71,812.	71,812.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 7	3,871,121.	4,135,065.	4,257,687.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ ATCH 8)	2,676,358.	1,865,646.	1,743,129.
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	6,743,190.	6,073,086.	6,073,191.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
	27 Capital stock, trust principal, or current funds	6,743,190.	6,073,086.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	6,743,190.	6,073,086.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	6,743,190.	6,073,086.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,743,190.
2	Enter amount from Part I, line 27a	2	-670,154.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 9	3	50.
4	Add lines 1, 2, and 3	4	6,073,086.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,073,086.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-107,506.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	NONE

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	480,515.	6,654,688.	0.072207
2007	778,645.	8,160,687.	0.095414
2006	477,784.	7,960,537.	0.060019
2005	578,414.	7,936,980.	0.072876
2004	669,378.	8,137,157.	0.082262

2 **Total** of line 1, column (d)	2	0.382778
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.076556
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	5,932,663.
5 Multiply line 4 by line 3	5	454,181.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	728.
7 Add lines 5 and 6	7	454,909.
8 Enter qualifying distributions from Part XII, line 4	8	631,440.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: _____________ (attach copy of ruling letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	728.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3	Add lines 1 and 2		3	728.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	728.
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 4,909.		
b	Exempt foreign organizations-tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	4,909.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	4,181.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 4,181. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BCRS ASSOCIATES, LLC Telephone no ▶ 212-440-0800

Located at ▶ 100 WALL STREET, 11TH FLOOR, NEW YORK, NY ZIP + 4 ▶ 10005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)	2b		N/A
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		5b	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 10		18,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0.	0.	0.

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0.

Total number of others receiving over $50,000 for professional services . . . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION	0.
2 501(C)(3) OF THE INTERNAL REVENUE CODE.	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2 N/A	0.
All other program-related investments See page 24 of the instructions 3 N/A	0.
Total. Add lines 1 through 3 . . . . . ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	5,908,643.
b	Average of monthly cash balances	1b	114,365.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	6,023,008.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,023,008.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	90,345.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,932,663.
6	**Minimum investment return.** Enter 5% of line 5	6	296,633.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	296,633.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	728.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	728.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	295,905.
4	Recoveries of amounts treated as qualifying distributions			4	50.
5	Add lines 3 and 4			5	295,955.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	295,955.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	631,440.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	631,440.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	728.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	630,712.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				295,955.
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only			NONE	
b	Total for prior years 20____,20____,20____				
3	Excess distributions carryover, if any, to 2009				
a	From 2004 272,236.				
b	From 2005 191,139.				
c	From 2006 96,964.				
d	From 2007 379,471.				
e	From 2008 151,872.				
f	Total of lines 3a through e	1,091,682.			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 631,440.				
a	Applied to 2008, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2009 distributable amount				295,955.
e	Remaining amount distributed out of corpus	335,485.			
5	Excess distributions carryover applied to 2009 (*If an amount appears in column (d), the same amount must be shown in column (a).*)				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,427,167.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			NONE	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0.			
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	272,236.			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	1,154,931.			
10	Analysis of line 9				
a	Excess from 2005 191,139.				
b	Excess from 2006 96,964.				
c	Excess from 2007 379,471.				
d	Excess from 2008 151,872.				
e	Excess from 2009 335,485.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE STATEMENT ATTACHED

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE LIST ATTACHED				614,865.
Total . . . . . . ▶ **3a**				614,865.
b *Approved for future payment*				
NONE				0.
Total . . . . . . ▶ **3b**				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3,378.	
4 Dividends and interest from securities			14	124,925.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-107,506.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				20,797.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 20,797.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Anne Marie Paine | 6/3/10 | TRUSTEE

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Wille Rl	Date 6/1/10	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions) P00963994
Firm's name (or yours if self-employed), address, and ZIP code	BCRS ASSOCIATES, LLC 100 WALL STREET - 11TH FL NEW YORK, NY 10005	EIN 13-4078147	Phone no. 212-440-0800

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE REALIZED G/L STMT ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
703,717.		807,595.					-103,878.	
		SEE REALIZED G/L STMT ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
60,195.		63,823.					-3,628.	
TOTAL GAIN(LOSS)							-107,506.	

SUPPLEMENTARY STATEMENTS

PART: XV **LINE: 2** **SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST ON CREDIT BALANCE	3,371.	3,371.	0.
INTEREST ON CHECKING	7.	7.	0.
TOTAL	3,378.	3,378.	0.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDENDS THRU MERRILL LYNCH	69,576.	69,576.	0.
INTEREST ON BONDS THRU MERRILL LYNCH	55,348.	55,348.	0.
ACCRETION ON BONDS THRU MERRILL LYNCH	1.	1.	0.
TOTAL	124,925.	124,925.	0.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BCRS ASSOCIATES, LLC	8,200.	4,100.	0.	4,100.
TOTALS	8,200.	4,100.	0.	4,100.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	29,427.	29,427.	0.	0.
ANNUAL FEE-MERRILL LYNCH	150.	150.	0.	0.
TOTALS	29,577.	29,577.	0.	0.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FEDERAL TAX PAID: - 1ST QTR EST FYE 2/28/10	4,000.	0.	0.	0.
TOTALS	4,000.	0.	0.	0.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
AMORTIZATION	7,722.	7,722.	0.	0.
NYS FILING FEE	250.	0.	0.	250.
WIRE TRANSFER FEES	270.	270.	0.	0.
PUBLICATION NOTICE FEE	120.	0.	0.	120.
FOREIGN TAX PAID	342.	342.	0.	0.
BANK CHARGES	105.	0.	0.	105.
FADICA ANNUAL MEMBERSHIP DUES	7,500.	0.	0.	7,500.
TOTALS	16,309.	8,334.	0.	7,975.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 7

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE LONG POSITION ATTACHED	3,871,121.	4,135,065.	4,257,687.
TOTALS	3,871,121.	4,135,065.	4,257,687.

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 8

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
ML TREASURY FUND	1,690,779.	878,037.	878,037.
ISHARES TRUST S&P MIDCAP	341,428.	341,428.	314,195.
ISHARES S&P SMALLCAP 600	341,746.	341,746.	288,205.
THORNBURG INT'L VALUE FD CL A	302,405.	304,435.	262,692.
TOTALS	2,676,358.	1,865,646.	1,743,129.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
RECOVERY OF FUNDS TREATED AS QUALIFYING DISTRIBUTION IN PRIOR YEAR		50.
	TOTAL	50.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
GEORGE E. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
BARBARA E. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
GEORGE E. DOTY, JR. C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
WILLIAM W. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
ANNE MARIE PAINE C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	18,000.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
VIRGINIA M. DOTY C/O BCRS ASSOCIATES, LLC 100 WALL STREET, 11TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0.	0.	0.
	GRAND TOTALS	18,000.	0.	0.

SCHEDULE D (Form 1041) Department of the Treasury Internal Revenue Service	Capital Gains and Losses ▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).	OMB No 1545-0092 2009

Name of estate or trust	Employer identification number
DOTY FAMILY FOUNDATION	13-2921496

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-3,628.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	-3,628.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-103,878.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	-103,878.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2009**

Part III **Summary of Parts I and II**
Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-3,628.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-103,878.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-107,506.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 ... 16 (3,000)

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *on page 7 of the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col. (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17 If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: DOTY FAMILY FOUNDATION

Employer identification number: 13-2921496

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a SEE REALIZED G/L STMT ATTACHED			60,195.	63,823.	-3,628.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . -3,628.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2009**

JSA
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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | **Employer identification number**

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a SEE REALIZED G/L STMT ATTACHED			703,717.	807,595.	-103,878.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . -103,878.

DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2010
EIN: 13-2921496

DATE	NAME OF CHARITY	CITY	STATE	AMOUNT
3/4/2009	Sherman Elementary School	San Francisco	CA	$ 450 00
3/13/2009	Trustees of the University of Pennsylvania	Philadelphia	PA	75,000 00
3/3/2009	Gracie Mansion Conservancy	New York	NY	3,750 00
3/18/2009	St Baldrick's Foundation	Monrovia	CA	1,500 00
3/2/2009	Greenwich Council, BSA	Greenwich	CT	1,125 00
3/2/2009	Catholic Near East Welfare Association	New York	NY	30,000 00
3/4/2009	Middlebury Women's Lacrosse Team	Middlebury	VT	150 00
3/4/2009	St. Lawrence Church	Shelton	CT	600 00
3/4/2009	Trustees of the University of Pennsylvania	Philadelphia	PA	5,625.00
3/11/2009	Annual Bishop's Appeal	Bridgeport	CT	150.00
3/12/2009	School of the Holy Child	Rye	NY	300 00
3/26/2009	Trustees of the University of Pennsylvania	Philadelphia	PA	150 00
3/26/2009	Redeemer Church	New York	NY	187.50
3/26/2009	Wings World Quest	New York	NY	375.00
4/5/2009	St. Lawrence Church	Shelton	CT	600.00
4/5/2009	Catholic Big Sisters and Big Brothers	New York	NY	3,750 00
4/12/2009	St. Lawrence Church	Shelton	CT	150 00
4/7/2009	Trustees of the University of Pennsylvania	Philadelphia	PA	750 00
4/7/2009	St. Agatha-St James Church	Philadelphia	PA	375 00
4/13/2009	Institute of Contemporary Art	Philadelphia	PA	750 00
4/16/2009	Richie Ashburn Baseball Foundation	Blue Bell	PA	375.00
4/20/2009	Bishop's Annual Appeal	Trenton	NJ	750.00
4/20/2009	Babson College	Babson	MA	150.00
4/20/2009	Central New Jersey Council BSA	Dayton	NJ	150 00
4/22/2009	New York City Ballet	New York	NY	150 00
4/26/2009	Parkinson Unity Walk	Kingston	NJ	150 00
5/3/2009	St. Lawrence Church	Shelton	CT	600.00
5/3/2009	St. Lawrence Church	Shelton	CT	750.00
5/10/2009	Greenwich Council, BSA	Greenwich	CT	1,500.00
5/13/2009	Comp2Kids	Bronx	NY	15,000.00
5/20/2009	Our Lady of Divine Providence	Turks and Caicos		375.00
6/1/2009	St. Lawrence Church	Shelton	CT	600 00
6/15/2009	Inner-City Scholarship Fund - Project YESS	New York	NY	750 00
6/19/2009	Catholic Charities	New York	NY	750.00
6/21/2009	St. Lawrence Church	Shelton	CT	375 00
6/30/2009	Georgetown University	Washington	DC	600.00
7/7/2009	Iona Preparatory School	New Rochelle	NY	750.00
7/7/2009	St Vincent's Hospital Westchester	Harrison	NY	1,875.00
7/7/2009	Grace Church Community Center	White Plains	NY	15,000.00
7/7/2009	St. Luke School	Bronx	NY	18,750.00
7/7/2009	Get on the Bus	North Hollywood	CA	13,500.00
7/7/2009	Fairfield SEPTA	Fairfield	CT	9,637.50
7/7/2009	Fairfield SEPTA	Fairfield	CT	750.00
7/7/2009	Fairfield SEPTA	Fairfield	CT	1,875.00
7/7/2009	St Lawrence Church	Fairfield	CT	600.00
7/23/2009	New York City Rescue Mission	New York	NY	7,500.00
7/26/2009	Mt. Sinai Adolescent Center	New York	NY	750.00
7/26/2009	East Hampton Library	East Hampton	NY	150 00
8/1/2009	St. Lawrence Church	Shelton	CT	600.00
8/11/2009	College of Mount Saint Vincent	Riverdale	NY	1,500.00

DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2010
EIN: 13-2921496

DATE	NAME OF CHARITY	CITY	STATE	AMOUNT
8/25/2009	Greenwich Council, BSA	Greenwich	CT	750 00
9/1/2009	St. Lawrence Church	Shelton	CT	600.00
9/5/2009	St. Lawrence Church	Shelton	CT	750 00
9/17/2009	Ave Maria, Inc	New London	CT	750 00
9/17/2009	Auxiliary of St. Vincent's Hospital	New York	NY	300 00
9/17/2009	Newman Center of University of Pennsylvania	Philadelphia	PA	150 00
9/17/2009	The One Hundred Mile Man Foundation	New Fairfield	CT	150 00
9/17/2009	Church of St Vincent Ferrer	New York	NY	375 00
9/24/2009	National Multiple Sclerosis Society	Uniondale	NY	375.00
9/24/2009	Women's Venture Fund	New York	NY	4,875.00
9/24/2009	Memorial Sloan-Kettering Cancer Center	New York	NY	375 00
9/24/2009	Swim Across America	Boston	MA	375 00
9/30/2009	Partial Comfort Productions	New York	NY	375 00
10/1/2009	St. Lawrence Church	Shelton	CT	600 00
10/14/2009	United Way of Central & Northeastern Connecticut	Hartford	CT	150 00
10/14/2009	Gurwin Center for the Aging	Comack	NY	375 00
10/27/2009	Connecticut Children's Medical Center	Hartford	CT	1,500 00
10/29/2009	Big Brothers Big Sisters of Bay Area	San Francisco	CA	375 00
10/29/2009	Georgetown University	Washington	DC	375.00
10/28/2009	Georgetown University Hoya Hoops Club	Washington	DC	375.00
11/1/2009	St. Lawrence Church	Shelton	CT	600.00
11/1/2009	Greenwich Council, BSA	Greenwich	CT	1,500 00
11/1/2009	Trustees of Amherst College	Amherst	MA	750 00
11/5/2009	Link Community School	Newark	NJ	375.00
11/14/2009	Catholic Charities	New York	NY	1,500 00
11/14/2009	Trustees of the University of Pennsylvania	Philadelphia	PA	900 00
11/18/2009	Connecticut Food Bank	East Haven	CT	1,500.00
11/20/2009	College of Mount Saint Vincent	Riverdale	NY	3,000.00
11/24/2009	College of Mount Saint Vincent	Riverdale	NY	3,750 00
11/24/2009	St. Gregory the Great Church	Harrison	NY	1,875.00
11/24/2009	New York City Rescue Mission	New York	NY	1,875.00
11/24/2009	Part of the Solution	Bronx	NY	1,875 00
11/24/2009	Pregnancy Care Center	New Rochelle	NY	1,875 00
11/24/2009	New York Foundling	New York	NY	18,450.00
11/24/2009	Catholic Near East Welfare Association	New York	NY	5,040 00
11/24/2009	Harrison Athletic Facilities Citizens Organization	Harrison	NY	18,750 00
11/30/2009	St. Gregory the Great Church	Harrison	NY	1,050 00
11/30/2009	Church of the Resurrection	Rye	NY	675.00
11/30/2009	Church of the Resurrection	Rye	NY	11,250 00
11/30/2009	Madison Square Boys & Girls Club	New York	NY	1,875.00
11/30/2009	Rye Youth Council	Rye	NY	1,875.00
11/30/2009	Iona Preparatory School	New Rochelle	NY	3,750 00
12/1/2009	St. Lawrence Church	Shelton	CT	600.00
12/2/2009	Catholic Big Sisters and Big Brothers	New York	NY	1,875 00
12/2/2009	Save the Children	New York	NY	150.00
12/2/2009	St. Luke's Church	Bronx	NY	375.00
12/2/2009	Divine Word Missionaries	Techny	IL	150 00
12/2/2009	LSA Family Health Service	New York	NY	150.00
12/2/2009	Collegiate School	New York	NY	1,875.00
12/2/2009	The Dalton School	New York	NY	1,875.00

DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2010
EIN: 13-2921496

DATE	NAME OF CHARITY	CITY	STATE	AMOUNT
12/2/2009	Trustees of the University of Pennsylvania	Philadelphia	PA	5,625 00
12/2/2009	Trustees of the University of Pennsylvania	Philadelphia	PA	75,000 00
12/4/2009	Fairfield University	Fairfield	CT	150 00
12/5/2009	St Joseph Church	Boston	MA	600 00
12/7/2009	St Lawrence Church	Shelton	CT	15,000 00
12/7/2009	Mount Sinai SAVI	New York	NY	300 00
12/7/2009	Babson College	Babson Park	MA	300 00
12/7/2009	Equestrian Order of the Holy Sepulchre	New York	NY	600.00
12/7/2009	Catholic Near East Welfare Association	New York	NY	7,500.00
12/7/2009	Georgia State University Foundation	Atlanta	GA	7,500 00
12/7/2009	Smile Train	Washington	DC	1,875 00
12/7/2009	St. Luke Church	Bronx	NY	3,750.00
12/7/2009	Fairfield SEPTA	Fairfield	CT	1,875.00
12/15/2009	Cambridge in America	New York	NY	37,500.00
12/16/2009	School of the Holy Child	Rye	NY	750 00
12/16/2009	Asian Relief, Inc	Greenbelt	MD	1,500 00
12/16/2009	Rosemont College	Rosemont	PA	1,500 00
12/16/2009	Fish of Stamford Inc	Stamford	CT	750.00
12/16/2009	Connecticut Food Bank	New Haven	CT	1,500.00
12/21/2009	Johns Hopkins Parents Fund	Baltimore	MD	3,750.00
12/21/2009	Church of St. John the Martyr	New York	NY	3,750.00
12/21/2009	St. Andrew Church	Sag Harbor	NY	3,750.00
12/21/2009	Yale Law School Fund	New Haven	CT	750 00
12/21/2009	Collegiate School	New York	NY	750.00
12/21/2009	Trustees of Amherst College	Amherst	MA	750.00
12/21/2009	Catholic Big Sisters and Big Brothers	New York	NY	750.00
12/21/2009	The Dalton School	New York	NY	375.00
12/21/2009	Amnesty International	New York	NY	150.00
12/21/2009	Habitat for Humanity	Americus	GA	150 00
12/24/2009	Asian Relief, Inc.	Greenbelt	MD	3,000.00
12/24/2009	Boston College	Chestnut Hill	MA	300 00
1/3/2010	St. Lawrence Church	Shelton	CT	600 00
1/4/2010	Trustees of the University of Pennsylvania	Philadelphia	PA	300.00
1/5/2010	Catholic Near East Welfare Association	New York	NY	30,000 00
1/5/2010	The Frick Collection	New York	NY	375 00
1/10/2010	Sherman Elementary School	San Francisco	CA	450 00
1/10/2010	Friends In Deed	New York	NY	750 00
1/11/2010	Our Lady of Fatima Church	Wilmington	VT	3,750 00
1/11/2010	St Monica Catholic Church	Santa Monica	CA	3,750 00
1/11/2010	Church of the Resurrection	Rye	NY	3,750 00
1/20/2010	Care	Merrifield	VA	750.00
1/21/2010	Hartford Interval House, Inc	Hartford	CT	3,000 00
1/21/2010	American Red Cross International Relief Fund	New York	NY	3,750 00
1/21/2010	United States Fund for UNICEF	New York	NY	3,750 00
1/21/2010	Catholic Relief Services, Inc	Baltimore	MD	3,750.00
1/25/2010	The Kennedy Center, Inc.	Trumbull	CT	375 00
1/26/2010	Schenectady Theater for Children	Schenectady	NY	375.00
1/26/2010	Carnegie Hall Society Inc	New York	NY	375 00
1/26/2010	Habitat for Humanity	Bridgeport	CT	375 00
1/27/2010	Catholic Big Sisters and Big Brothers	New York	NY	375 00

DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2010
EIN: 13-2921496

DATE	NAME OF CHARITY	CITY	STATE	AMOUNT
1/27/2010	Metropolitan Museum of Art	New York	NY	375.00
1/27/2010	The Dalton School	New York	NY	375 00
1/27/2010	Collegiate School	New York	NY	375 00
1/27/2010	The Public Theater	New York	NY	1,500 00
2/3/2010	Cambridge in America	New York	NY	37,500.00
2/6/2010	St Lawrence Church	Shelton	CT	600.00
2/10/2010	Trenton Area Soup Kitchen	Trenton	NJ	150 00
2/10/2010	HomeFront	Lawrenceville	NJ	1,500.00
2/10/2010	Central New Jersey Council BSA	Dayton	NJ	150.00
2/10/2010	Georgetown University	Washington	DC	600.00
2/11/2010	Asian Relief, Inc.	Greenbelt	MD	750.00
2/15/2010	Asian Relief, Inc	Greenbelt	MD	1,500.00
	TOTAL CONTRIBUTIONS PAID *			**$ 614,865.00**

**ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE CLASSIFIED UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE*

DOTY FAMILY FOUNDATION

Merrill Lynch

EMA Fiscal Statement

FISCAL YEAR ACTIVITY

2/28/2010

Date	Transaction	Quantity	Description	Price	Debit	Credit

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
10,000.0000	HSBC USA INC 6.62%MAR01 09	01/24/03	03/02/09	10,000.00	10,000.00	0.00
3,000.0000	SCHERING PLOUGH CORP	09/08/05	03/11/09	62,731.83	67,682.93	(4,951.10) LT
2,000.0000	SCHERING PLOUGH CORP	06/25/08	03/11/09	41,821.23	39,925.35	1,895.88 ST
25,000.0000	DTE ENERGY CO 6.65% 2009	01/27/03	04/15/09	25,000.00	25,000.00	0 00
50,000.0000	FEDERAL NATL MTGE ASSOC	01/30/03	04/09/09	50,000.00	50,000.00	0 00
45,000.0000	GOLDMAN SACHS GRO 6.65% 09	01/27/03	05/15/09	45,000.00	45,000.00	0.00
45,000.0000	GENL ELEC CAP CP 8.30% 09	01/27/03	09/21/09	45,000.00	45,000.00	0.00
36,000.0000	COCA-COLA ENTERPR 7.12% 09	01/27/03	09/30/09	36,000.00	36,000.00	0 00
5,000.0000	GAP INC DELAWARE	12/11/07	12/18/09	102,290.90	107,061.35	(4,770.45) LT
1,000.0000	GAP INC DELAWARE	06/25/08	12/18/09	20,458.19	17,255.35	3,202.84 LT
2,500.0000	NOKIA CORP SPON ADR	03/28/06	12/18/09	30,622.77	50,252.15	(19,629.38) LT
1,500.0000	NOKIA CORP SPON ADR	06/25/08	12/18/09	18,373.67	39,226.60	(20,852.93) LT
1,500.0000	NOKIA CORP SPON ADR	09/23/09	12/18/09	18,373.67	23,897.80	(5,524.13) ST
12,077.0000	NOVELL INC	11/18/05	12/18/09	47,576.79	98,630.23	(51,053.44) LT
2,750.0000	TJX COS INC NEW	03/19/08	12/18/09	99,842.97	89,490.35	10,352.62 LT
750.0000	TJX COS INC NEW	06/25/08	12/18/09	27,229.91	24,812.85	2,417.06 LT
1,750.0000	THERMO FISHER SCIENTIFIC	12/11/07	12/18/09	83,590.18	102,183.30	(18,593.12) LT
				763,912.11	871,418.26	<107,506.15>
			LONG TERM	703,717.21	807,595.11	<103,877.90>
			SHORT TERM	60,194.90	63,823.15	<3,628.25>

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Statement Period Year Ending 12/31/09

Private Banking and Investment Group

DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL
Access Code: 67-869-07027

January 30, 2010 - February 26, 2010

YOUR EMA ASSETS

GOVERNMENT AND AGENCY SECURITIES [1]

Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ FEDERAL NATL MTGE ASSOC	01/24/03	100,000	102,113.93	106.6250	**106,625.00**	4,511.07	1,683.33	**6,000**	5.62
GLOBAL BENCHMARK NOTES 06.000% MAY 15 2011									
CUSIP: 31359MJH7									
ORIGINAL UNIT/TOTAL COST: 112.5793/112,579.37									

LONG POSITION

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DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

January 30, 2010 - February 26, 2010

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ FEDERAL HOME LN MTG CORP NOTES 05.125% JUL 15 2012 CUSIP: 3134A4QD9 ORIGINAL UNIT/TOTAL COST: 105.7981/105,798.12	01/24/03	100,000	101,688.80	109.4060	**109,406.00**	7,717.20	583.68	**5,125**	4.68
TOTAL		200,000	203,802.73		**216,031.00**	12,228.27	2,267.01	**11,125**	5.15

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ JP MORGAN CHASE & CO SUBORDINATED GLB 06.750% FEB 01 2011 MOODY'S: A1 S&P: A CUSIP: 46625HAJ9 ORIGINAL UNIT/TOTAL COST: 110.1712/49,577.06	01/23/03	45,000	45,630.81	105.2450	**47,360.25**	1,729.44	210.94	**3,038**	6.41
Δ HSBC FINANCE CORPORATION GLB 06.750% MAY 15 2011 MOODY'S: A3 S&P: A CUSIP: 40429CAA0 ORIGINAL UNIT/TOTAL COST: 106.8604/53,430.24	01/23/03	50,000	50,738.21	105.8010	**52,900.50**	2,162.29	946.88	**3,375**	6.37
Δ CONSOLIDATED EDISON CO SER 02-A 05.625% JUL 01 2012 MOODY'S: A3 S&P: A- CUSIP: 209111DZ3 ORIGINAL UNIT/TOTAL COST: 106.4481/53,224.09	01/23/03	50,000	50,936.81	109.6020	**54,801.00**	3,864.19	429.69	**2,813**	5.13
Δ ALLSTATE CORPORATION DEBENTURES 07.500% JUN 15 2013 MOODY'S: A3 S&P: A- CUSIP: 020002AC5 ORIGINAL UNIT/TOTAL COST: 110.8377/55,418.85	06/05/08	50,000	53,713.36	114.2960	**57,148.00**	3,434.64	739.58	**3,750**	6.56
Δ AMERICAN EXPRESS GLB 04.875% JUL 15 2013 MOODY'S: A3 S&P: BBB+ CUSIP: 025816AQ2 ORIGINAL UNIT/TOTAL COST: 100.1907/50,095.35	06/05/08	50,000	50,064.64	104.8550	**52,427.50**	2,362.86	277.60	**2,438**	4.64

LONG POSITION

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DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL
Access Code: 67-869-07027

YOUR EMA ASSETS

January 30, 2010 - February 26, 2010

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
CITIGROUP INC SUBORDINATED GLB 05.000% SEP 15 2014 MOODY'S: BAA1 S&P: A- CUSIP: 172967CQ2	06/04/09	50,000	43,569.35	99.4480	**49,724.00**	6,154.65	1,118.06	**2,500**	5.02
Δ NABISCO INCORPORATED 07.550% JUN 15 2015 MOODY'S: BAA2 S&P: BBB CUSIP: 629527AU6 ORIGINAL UNIT/TOTAL COST: 123.6183/12,361.83	01/24/03	10,000	11,186.95	118.2980	**11,829.80**	642.85	148.90	**755**	6.38
Δ NATIONSBANK CORP SUBORDINATED 07.750% AUG 15 2015 MOODY'S: A3 S&P: A- CUSIP: 638585AN9 ORIGINAL UNIT/TOTAL COST: 123.3315/49,332.62	01/24/03	40,000	44,807.66	111.9750	**44,790.00**	(17.66)	94.72	**3,100**	6.92
Δ JP MORGAN CHASE SUBORDINATED 05.150% OCT 01 2015 MOODY'S: A1 S&P: A CUSIP: 46625HDF4 ORIGINAL UNIT/TOTAL COST: 100.1817/50,090.85	06/05/09	50,000	50,081.22	105.8610	**52,930.50**	2,849.28	1,037.15	**2,575**	4.86
Δ AMERICAN EXPRESS CREDIT 05.300% DEC 02 2015 MOODY'S: A2 S&P: BBB+ CUSIP: 0258M0BZ1 ORIGINAL UNIT/TOTAL COST: 101.8157/50,907.85	09/17/09	50,000	50,852.48	106.4230	**53,211.50**	2,359.02	618.33	**2,650**	4.98
CITIGROUP INC GLB 05.300% JAN 07 2016 MOODY'S: A3 S&P: A CUSIP: 172967DE8	09/17/09	50,000	49,430.35	101.2140	**50,607.00**	1,176.65	360.69	**2,650**	5.23
Δ GOLDMAN SACHS GROUP INC GLB 05.350% JAN 15 2016 MOODY'S: A1 S&P: A CUSIP: 38141GEE0 ORIGINAL UNIT/TOTAL COST: 104.1047/52,052.35	09/17/09	50,000	51,929.85	105.2040	**52,602.00**	672.15	304.65	**2,675**	5.08
GENERAL ELEC CAP CORP SER MTN GLB 05.375% OCT 20 2016 MOODY'S: AA2 S&P: AA+ CUSIP: 36962GY40	06/05/08	75,000	74,615.60	105.9500	**79,462.50**	4,846.90	1,410.94	**4,032**	5.07

LONG POSITION

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DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

January 30, 2010 - February 26, 2010

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
MERRILL LYNCH & CO	06/05/09	50,000	46,705.35	104.1160	**52,058.00**	5,352.65	1,564.44	**3,200**	6.14
GLB 06.400% AUG 28 2017									
MOODY'S: A2 S&P: A CUSIP: 59018YJ69									
Δ WALT DISNEY COMPANY	06/05/08	50,000	51,983.27	112.0010	**56,000.50**	4,017.23	579.34	**2,938**	5.24
SER MTNB GLB 05.875% DEC 15 2017									
MOODY'S: A2 S&P: A CUSIP: 25468PCB0									
ORIGINAL UNIT/TOTAL COST: 104.6437/52,321.85									
GOLDMAN SACHS GROUP INC	06/05/09	50,000	47,870.85	104.9500	**52,475.00**	4,604.15	314.03	**2,975**	5.66
GLB 05.950% JAN 18 2018									
MOODY'S: A1 S&P: A CUSIP: 38141GFG4									
PEPSICO INC	06/05/08	50,000	49,277.35	106.0970	**53,048.50**	3,771.15	590.28	**2,500**	4.71
SENIOR NOTES 05.000% JUN 01 2018									
MOODY'S: AA3 S&P: A+ CUSIP: 713448BH0									
TOTAL		820,000	823,394.11		**873,376.55**	49,982.44	10,746.22	**47,964**	5.49

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AMGEN INC COM PV $0.0001	AMGN	03/08/96	1,258	15.2421	19,174.61	56.6100	71,215.38	52,040.77		
		06/25/08	992	46.9807	46,604.91	56.6100	56,157.12	9,552.21		
Subtotal			*2,250*		*65,779.52*		***127,372.50***	*61,592.98*		
ARCHER DANIELS MIDLD	ADM	02/01/08	2,000	45.4581	90,916.35	29.3600	58,720.00	(32,196.35)	1,200	2.04
		06/25/08	1,000	33.6953	33,695.35	29.3600	29,360.00	(4,335.35)	600	2.04
Subtotal			*3,000*		*124,611.70*		***88,080.00***	*(36,531.70)*	***1,800***	*2.04*
AT& T INC	T	09/23/09	3,000	27.5412	82,623.85	24.8100	**74,430.00**	(8,193.85)	**5,041**	6.77
AVON PROD INC	AVP	03/26/07	2,600	38.0158	98,841.23	30.4400	79,144.00	(19,697.23)	2,288	2.89
		06/25/08	400	36.6758	14,670.35	30.4400	12,176.00	(2,494.35)	352	2.89
Subtotal			*3,000*		*113,511.58*		***91,320.00***	*(22,191.58)*	***2,640***	*2.89*
BANK OF AMERICA CORP	BAC	12/18/09	7,000	15.0506	105,354.65	16.6600	**116,620.00**	11,265.35	**280**	.24

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LONG POSITION 4/7

DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL
Access Code: 67-869-07027

YOUR EMA ASSETS

January 30, 2010 - February 26, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BARCLAYS PLC ADR	BCS	09/06/06	1,750	50.4336	88,258.90	19.1700	33,547.50	(54,711.40)	279	.82
		03/19/08	1,000	32.9323	32,932.35	19.1700	19,170.00	(13,762.35)	159	.82
Subtotal			*2,750*		*121,191.25*		**52,717.50**	*(68,473.75)*	**438**	.82
CHESAPEAKE ENERGY OKLA	CHK	03/19/08	2,000	44.3425	88,685.15	26.5700	53,140.00	(35,545.15)	600	1.12
		09/23/09	1,000	28.8435	28,843.55	26.5700	26,570.00	(2,273.55)	300	1.12
Subtotal			*3,000*		*117,528.70*		**79,710.00**	*(37,818.70)*	**900**	*1.12*
CISCO SYSTEMS INC COM	CSCO	06/10/03	1,000	17.3690	17,369.01	24.3300	24,330.00	6,960.99		
		06/10/03	1,175	17.3690	20,408.58	24.3300	28,587.75	8,179.17		
		06/10/03	825	17.3700	14,330.25	24.3300	20,072.25	5,742.00		
		06/25/08	1,000	24.9053	24,905.35	24.3300	24,330.00	(575.35)		
Subtotal			*4,000*		*77,013.19*		**97,320.00**	*20,306.81*		
CITIGROUP INC	C	03/30/00	1,879	42.0894	79,086.17	3.4000	6,388.60	(72,697.57)		
		03/19/08	1,121	20.3947	22,862.54	3.4000	3,811.40	(19,051.14)		
		09/23/09	7,000	4.7607	33,325.35	3.4000	23,800.00	(9,525.35)		
Subtotal			*10,000*		*135,274.06*		**34,000.00**	*(101,274.06)*		
COCA COLA COM	KO	06/20/07	2,000	51.7426	103,485.35	52.7200	**105,440.00**	1,954.65	**3,521**	3.33
COLGATE PALMOLIVE	CL	06/27/06	1,250	60.1242	75,155.35	82.9400	103,675.00	28,519.65	2,651	2.55
		06/25/08	250	69.6814	17,420.35	82.9400	20,735.00	3,314.65	531	2.55
Subtotal			*1,500*		*92,575.70*		**124,410.00**	*31,834.30*	**3,182**	2.55
CONSTELLATION ENERGY GP	CEG	06/10/03	800	33.9600	27,168.01	35.0700	28,056.00	887.99	768	2.73
		06/10/03	100	33.8900	3,389.00	35.0700	3,507.00	118.00	96	2.73
		06/10/03	100	33.9200	3,392.00	35.0700	3,507.00	115.00	96	2.73
		09/23/09	1,000	33.1032	33,103.25	35.0700	35,070.00	1,966.75	961	2.73
Subtotal			*2,000*		*67,052.26*		**70,140.00**	*3,087.74*	**1,921**	2.73
DISNEY (WALT) CO COM STK	DIS	06/10/03	2,945	20.4370	60,187.03	31.2400	92,001.80	31,814.77	1,031	1.12
		06/10/03	300	20.4172	6,125.18	31.2400	9,372.00	3,246.82	105	1.12
Subtotal			*3,245*		*66,312.21*		**101,373.80**	*35,061.59*	**1,136**	*1.12*
EMERSON ELEC CO	EMR	09/27/01	2,000	22.9200	45,840.01	47.3400	**94,680.00**	48,839.99	**2,681**	2.83
† EXXON MOBIL CORP COM	XOM	06/27/06	1,000	59.7237	59,723.76	65.0000	**65,000.00**	5,276.24	**1,680**	2.58

LONG POSITION 5/7

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

January 30, 2010 - February 26, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GENERAL ELECTRIC	GE	09/27/01	110	36.2200	3,984.21	16.0600	1,766.60	(2,217.61)	44	2.49
		12/06/01	1,500	37.8400	56,760.00	16.0600	24,090.00	(32,670.00)	601	2.49
		12/16/02	1,000	26.4000	26,400.00	16.0600	16,060.00	(10,340.00)	401	2.49
		06/25/08	890	28.3218	25,206.48	16.0600	14,293.40	(10,913.08)	357	2.49
		06/22/09	3,500	11.6615	40,815.35	16.0600	56,210.00	15,394.65	1,401	2.49
		09/23/09	3,000	17.3608	52,082.65	16.0600	48,180.00	(3,902.65)	1,201	2.49
Subtotal			*10,000*		*205,248.69*		***160,600.00***	*(44,648.69)*	***4,005***	*2.49*
↑ GLAXOSMITHKLINE PLC ADR	GSK	08/23/05	1,722	47.7205	82,174.77	37.1400	63,955.08	(18,219.69)	3,329	5.20
		06/25/08	278	44.7391	12,437.47	37.1400	10,324.92	(2,112.55)	538	5.20
Subtotal			*2,000*		*94,612.24*		***74,280.00***	*(20,332.24)*	***3,867***	*5.20*
HOME DEPOT INC	HD	09/23/09	3,500	27.7807	97,232.55	31.2000	**109,200.00**	11,967.45	**3,308**	3.02
INTEL CORP	INTC	03/30/00	750	56.4070	42,305.26	20.5300	15,397.50	(26,907.76)	473	3.06
		12/16/02	3,000	18.1900	54,570.00	20.5300	61,590.00	7,020.00	1,891	3.06
		12/16/02	485	18.2130	8,833.31	20.5300	9,957.05	1,123.74	306	3.06
		12/16/02	15	18.2100	273.15	20.5300	307.95	34.80	10	3.06
Subtotal			*4,250*		*105,981.72*		***87,252.50***	*(18,729.22)*	***2,680***	*3.06*
INTL BUSINESS MACHINES *CORP IBM*	IBM	08/14/07	1,000	112.2653	112,265.35	127.1600	**127,160.00**	14,894.65	**2,200**	1.73
MERCK AND CO INC SHS	MRK	03/28/06	2,000	35.7798	71,559.67	36.8800	73,760.00	2,200.33	3,041	4.12
		06/25/08	1,000	36.8046	36,804.65	36.8800	36,880.00	75.35	1,521	4.12
Subtotal			*3,000*		*108,364.32*		***110,640.00***	*2,275.68*	***4,562***	*4.12*
MICROSOFT CORP	MSFT	N/A	777	53.1879	41,327.00	28.6700	22,276.59	(19,050.41)	405	1.81
		09/29/00	2,500	30.0825	75,206.25	28.6700	71,675.00	(3,531.25)	1,300	1.81
		06/22/09	1,723	23.4331	40,375.24	28.6700	49,398.41	9,023.17	896	1.81
Subtotal			*5,000*		156,908.49		***143,350.00***	(13,558.49)	***2,601***	*1.81*
↑ MONSANTO CO NEW DEL COM	MON	09/23/09	1,000	78.1148	78,114.85	70.6500	**70,650.00**	(7,464.85)	**1,061**	1.50
NOVARTIS ADR	NVS	09/23/09	1,750	49.1821	86,068.78	55.3200	**96,810.00**	10,741.22	**2,949**	3.04
ORACLE CORP $0.01 DEL	ORCL	06/20/07	5,000	19.7610	98,805.35	24.6500	**123,250.00**	24,444.65	**1,001**	.81
PEPSICO INC	PEP	05/25/06	1,500	60.4635	90,695.35	62.4700	**93,705.00**	3,009.65	**2,701**	2.88
PROCTER & GAMBLE CO	PG	03/30/00	1,562	29.2379	45,669.66	63.2800	**98,843.36**	53,173.70	**2,750**	2.78
RAYTHEON CO DELAWARE NEW	RTN	09/24/04	1,750	37.1100	64,942.51	56.2400	**98,420.00**	33,477.49	**2,170**	2.20

LONG POSITION

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DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL
Access Code: 67-869-07027

YOUR EMA ASSETS

January 30, 2010 - February 26, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
† SCHWAB CHARLES CORP NEW	SCHW	10/27/05	5,115	14.5506	74,426.47	18.3100	**93,655.65**	19,229.18	**1,228**	1.31
STATE STREET CORP	STT	11/18/05	1,478	57.8601	85,517.34	44.9100	**66,376.98**	(19,140.36)	**60**	.08
TEXAS INSTRUMENTS	TXN	12/18/09	4,000	25.2032	100,812.95	24.3800	**97,520.00**	(3,292.95)	**1,920**	1.96
UNITED TECHS CORP COM	UTX	06/27/97	1,250	21.2642	26,580.33	68.6500	**85,812.50**	59,232.17	**2,125**	2.47
WAL-MART STORES INC	WMT	06/20/07	2,000	48.8716	97,743.35	54.0700	**108,140.00**	10,396.65	**2,180**	2.01
TOTAL					3,107,868.09		**3,168,279.79**	60,411.70	**68,588**	2.16

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	4,135,064.93	4,257,687.34	122,622.41	13,013.23	**140,851**	2.35

Notes

Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion

[1] Some agency securities are not backed by the full faith and credit of the United States government.

Total values exclude N/A items

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

LONG POSITION 7/7

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