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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning FEB 1, 2009, and ending JAN 31, 2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE RICH FOUNDATION, INC.		A Employer identification number 58-6038037
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (404) 262-2499
	City or town, state, and ZIP code ATLANTA, GA 30305		C If exemption application is pending, check here ☐
			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 44,030,196. (Part I, column (d) must be on cash basis)			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	1,235,191.	1,235,191.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,032,715.			STATEMENT 1
b	Gross sales price for all assets on line 6a 16,242,870.				
7	Capital gain net income (from Part IV, line 2)		1,019,074.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	-165,543.	-166,195.		STATEMENT 3
12	Total. Add lines 1 through 11	2,102,363.	2,088,070.		
13	Compensation of officers, directors, trustees, etc	4,000.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 4	35,008.	26,256.		8,752.
c	Other professional fees STMT 5	233,500.	188,500.		45,000.
17	Interest				
18	Taxes STMT 6	23,476.	3,476.		0.
19	Depreciation and depletion				
20	Occupancy	19,907.	14,930.		4,977.
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 7	133,436.	125,911.		7,525.
24	Total operating and administrative expenses. Add lines 13 through 23	449,327.	359,073.		66,254.
25	Contributions, gifts, grants paid	1,770,003.			1,770,003.
26	Total expenses and disbursements. Add lines 24 and 25	2,219,330.	359,073.		1,836,257.
27	Subtract line 26 from line 12	-116,967.			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		1,728,997.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	603,439.	470,904.	470,904.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations	298,030.		
	b Investments - corporate stock STMT 8	11,460,666.	10,453,402.	11,443,343.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	28,482,550.	29,803,412.	32,115,947.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)	2.	2.	2.
	16 Total assets (to be completed by all filers)	40,844,687.	40,727,720.	44,030,196.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	40,844,687.	40,727,720.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	40,844,687.	40,727,720.		
31 Total liabilities and net assets/fund balances	40,844,687.	40,727,720.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	40,844,687.
2 Enter amount from Part I, line 27a	2	-116,967.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	40,727,720.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	40,727,720.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 16,242,870.		15,223,796.	1,019,074.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			1,019,074.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,019,074.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	2,328,809.	45,843,637.	.050799
2007	2,579,385.	52,804,304.	.048848
2006	2,401,375.	48,886,697.	.049121
2005	2,239,143.	47,363,456.	.047276
2004	2,124,747.	44,318,408.	.047943

2 Total of line 1, column (d)	2	.243987
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048797
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	39,771,553.
5 Multiply line 4 by line 3	5	1,940,732.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	17,290.
7 Add lines 5 and 6	7	1,958,022.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,836,257.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	34,580.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	34,580.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	34,580.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	40,365.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	30,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	70,365.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	35,785.
11	Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ 35,785. Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ GA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **▶** N/A

14 The books are in care of **▶** THOMAS J. ASHER Telephone no **▶** (404) 262-2499
 Located at **▶** 11 PIEDMONT CENTER, ATLANTA, GA ZIP+4 **▶** 30305

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **▶** 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOEL GOLDBERG 11 PIEDMONT CENTER ATLANTA, GA 30305	PRESIDENT 20.00	1,000.	0.	0.
THOMAS J. ASHER 11 PIEDMONT CENTER ATLANTA, GA 30305	V.P. & SEC. 1.00	1,000.	0.	0.
DAVID S. BAKER 11 PIEDMONT CENTER ATLANTA, GA 30305	TRUSTEE 1.00	1,000.	0.	0.
MARGARET S. WEILLER 11 PIEDMONT CENTER ATLANTA, GA 30305	TREASURER 1.00	1,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	10,786,293.
b	Average of monthly cash balances	1b	642,722.
c	Fair market value of all other assets	1c	28,948,196.
d	Total (add lines 1a, b, and c)	1d	40,377,211.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	40,377,211.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	605,658.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	39,771,553.
6	Minimum investment return. Enter 5% of line 5	6	1,988,578.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,988,578.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	34,580.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	3,629.
c	Add lines 2a and 2b	2c	38,209.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,950,369.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,950,369.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,950,369.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,836,257.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,836,257.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,836,257.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,950,369.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008	289.			
f Total of lines 3a through e	289.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,836,257.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,836,257.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	289.			289.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				113,823.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
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N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHMENT C-1	N/A	PUBLIC CHARITY	UNRESTRICTED	1,770,003.
Total				▶ 3a 1,770,003.
b Approved for future payment NONE				
Total				▶ 3b 0.

FORM 8688

EXPLANATION FOR EXTENSION

STATEMENT 2

EXPLANATION

ALL INFORMATION NECESSARY TO PREPARE THE RETURN HAS BEEN RECEIVED WITH THE EXCEPTION OF VERIFICATION OF INFORMATION RECEIVED CONCERNING A K-1 WHICH WILL EFFECT FMV. THE FMV OF SAID INVESTMENT WILL EFFECT THE FOUNDATION'S UNDISTRIBUTED INCOME FOR 2009 YEAR ENDED JANUARY 31, 2010

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	THE RICH FOUNDATION, INC.	58-6038037
	Number, street, and room or suite no. If a P.O. box, see instructions. 11 PIEDMONT CENTER	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ATLANTA, GA 30305	

Check type of return to be filed (File a separate application for each return):

- ☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec. 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JOEL GOLDBERG

- The books are in the care of ☒ 11 PIEDMONT CENTER - ATLANTA, GA 30305

Telephone No. ☒ (404) 262-2499

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until DECEMBER 15, 2010.
- 5 For calendar year 2009, or other tax year beginning FEB 1, 2009, and ending JAN 31, 2010.
- 6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension SEE STATEMENT 2

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	60,365.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	60,365.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒ Ronald Green Title ☒ CPT Date ☒ 9-7-10

Form 8868 (Rev 4-2009)

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization	Employer identification number
	THE RICH FOUNDATION, INC.	58-6038037
	Number, street, and room or suite no. If a P.O. box, see instructions. 11 PIEDMONT CENTER	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ATLANTA, GA 30305	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

JOEL GOLDBERG

- The books are in the care of ► **11 PIEDMONT CENTER - ATLANTA, GA 30305**

Telephone No. ► **(404) 262-2499**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **SEPTEMBER 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☐ calendar year _____ or
 ► ☒ tax year beginning **FEB 1, 2009**, and ending **JAN 31, 2010**.

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	60,365.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	30,365.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	30,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ATTACHED STATEMENT D1	P	VARIOUS	VARIOUS
b	13,100 SHS. SCHERING-PLOUGH	P	VARIOUS	11/05/09
c	PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.	P	VARIOUS	12/31/09
d	PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.	P	VARIOUS	12/31/09
e	PASSTHROUGH - COLCHESTER GLOBAL BOND FUND	P	VARIOUS	12/31/09
f	PASSTHROUGH - COLCHESTER GLOBAL BOND FUND	P	VARIOUS	12/31/09
g	PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.	P	VARIOUS	12/31/09
h	PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.	P	VARIOUS	12/31/09
i	PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND	P	VARIOUS	12/31/09
j	PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND	P	VARIOUS	12/31/09
k	LITIGATION - AIG	P	VARIOUS	06/17/09
l	LITIGATION - COCA-COLA	P	VARIOUS	10/26/09
m	LITIGATION - AOL-TIME	P	VARIOUS	01/12/10
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,203,269.		15,082,591.	1,120,678.
b 137,550.		127,564.	9,986.
c -45,961.			-45,961.
d -181,797.			-181,797.
e 360.			360.
f -1,470.			-1,470.
g 192,079.		17,961.	174,118.
h -68,273.		-4,320.	-63,953.
i 124.			124.
j 970.			970.
k 4,555.			4,555.
l 746.			746.
m 718.			718.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,120,678.
b			9,986.
c			-45,961.
d			-181,797.
e			360.
f			-1,470.
g			174,118.
h			-63,953.
i			124.
j			970.
k			4,555.
l			746.
m			718.
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,019,074.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

THE RICH FOUNDATION, INC.
 ASSETS - INVESTMENT - SECURITIES
 58-6038037
 1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
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EQUITY SECURITIES

DOMESTIC COMMON

ENERGY

5,800.000	CAMERON INTL CORP COM 1102734	133428105	218,428.00 37.660	0.50 0.00	208,344.29 35.92
1,400.000	EXXON MOBIL CORP COM 7914624	302316102	90,202.00 64.430	0.21 2.61	91,487.98 65.35

THE RICH FOUNDATION, INC.
ASSETS - INVESTMENT - SECURITIES
58-6038037
1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
2,600.000	OCCIDENTAL PETE CORP COM 1102734	674599105	203,684.00 78.340	0.46 1.69	177,560.30 68.29
9,800.000	TOTAL ENERGY MATERIALS		512,314.00	1.16 1.13	477,392.57
2,506.000	CALGON CARBON CORP COM 7928044	129603106	33,555.34 13.390	0.08 0.00	37,180.74 14.84
1,900.000	MONSANTO CO NEW COM 1102734	61166W101	144,172.00 75.880	0.33 1.40	158,709.11 83.53
4,406.000	TOTAL MATERIALS INDUSTRIALS		177,727.34	0.40 1.13	195,889.85
851.000	AEROVIRONMENT INC COM 7928044	008073108	28,993.57 34.070	0.07 0.00	23,836.51 28.01
2,000.000	C H ROBINSON WORLDWIDE INC COM NEW 7914624	12541W209	113,260.00 56.630	0.26 1.77	85,839.45 42.92
2,500.000	DONALDSON INC COM 7914624	257651109	95,600.00 38.240	0.22 1.20	84,309.00 33.72
2,200.000	EMERSON ELEC CO COM 1102734	291011104	91,388.00 41.540	0.21 3.23	106,065.84 48.21
3,900.000	FLUOR CORP COM NEW 1102734	343412102	176,826.00 45.340	0.40 1.10	160,737.39 41.21

THE RICH FOUNDATION, INC.
ASSETS - INVESTMENT - SECURITIES
58-6038037
1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
3,678.000	TASER INTL INC COM 7928044	87651B104	20,743.92 5.640	0.05 0.00	21,666.76 5.89
3,200.000	3M CO COM 1102734	88579Y101	257,568.00 80.490	0.59 2.54	212,127.93 66.29
18,329.000	TOTAL INDUSTRIALS		784,379.49	1.78 1.86	694,582.88
	CONSUMER DISCRETIONARY				
797.000	AEROPOSTALE INC COM 7928044	007865108	26,213.33 32.890	0.06 0.00	32,119.89 40.30
1,261.000	BRIDGEPOINT ED INC COM 7928044	10807M105	18,372.77 14.570	0.04 0.00	21,682.10 17.19
420.000	BUFFALO WILD WINGS INC COM 7928044	119848109	19,660.20 46.810	0.05 0.00	16,362.25 38.96
453.000	CAPELLA EDUCATION CO COM 7928044	139594105	33,241.14 73.380	0.08 0.00	31,388.37 69.29
5,600.000	WALT DISNEY CO COM 1102734	254687106	165,480.00 29.550	0.38 1.19	137,312.32 24.52
858.000	FOSSIL INC COM 7928044	349882100	28,013.70 32.650	0.06 0.00	24,126.96 28.12
615.000	FUEL SYSTEMS SOLUT COM USD 7928044	35952W103	21,918.60 35.640	0.05 0.00	22,426.69 36.47

THE RICH FOUNDATION, INC
ASSETS - INVESTMENT - SECURITIES
58-6038037
1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
1,586.000	K12 INC COM 7928044	48273U102	31,704.14 19.990	0.07 0.00	26,965.33 17.00
5,500.000	MCDONALDS CORP COM 1102734	580135101	343,365.00 62.430	0.78 3.52	290,546.95 52.83
2,800.000	NIKE INC CL B COM 1102734	654106103	178,500.00 63.750	0.41 1.70	152,708.97 54.54
6,500.000	TJX COS INC COM NEW 1102734	872540109	247,065.00 38.010	0.56 1.26	240,854.21 37.05
26,390.000	TOTAL CONSUMER DISCRETIONARY		1,113,533.88	2.53 1.82	996,494.04
	CONSUMER STAPLES				
6,500.000	COCA-COLA CO COM 1102734	191216100	352,625.00 54.250	0.80 3.02	340,021.33 52.31
3,100.000	COLGATE PALMOLIVE CO COM 1102734	194162103	248,093.00 80.030	0.56 2.20	209,923.95 67.72
4,800.000	COSTCO WHOLESALE CORP COM 1102734	22160K105	275,664.00 57.430	0.63 1.25	268,238.45 55.88
5,600.000	PEPSICO INC COM 1102734	713448108	333,872.00 59.620	0.76 3.02	322,466.91 57.58
1,800.000	PEPSICO INC COM 7914624	713448108	107,316.00 59.620	0.24 3.02	92,836.71 51.58

THE RICH FOUNDATION, INC.
ASSETS - INVESTMENT - SECURITIES
58-6038037
1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
2,106.000	PROCTER & GAMBLE CO COM 1102734	742718109	129,624.30 61.550	0.30 2.86	114,940.18 54.58
6,600.000	WAL-MART STORES INC COM 1102734	931142103	352,638.00 53.430	0.80 2.04	360,118.64 54.56
30,506.000	TOTAL CONSUMER STAPLES HEALTH CARE		1,799,832.30	4.09 2.43	1,708,546.17
7,000.000	ABBOTT LABS COM 1102734	002824100	370,580.00 52.940	0.84 3.02	365,260.50 52.18
4,200.000	ALLERGAN INC COM 1102734	018490102	241,500.00 57.500	0.55 0.35	238,034.19 56.67
3,374.000	CRYOLIFE INC COM 7928044	228903100	21,222.46 6.290	0.05 0.00	27,565.58 8.17
1,884.000	EV3 INC COM 7928044	26928A200	27,468.72 14.580	0.06 0.00	22,789.43 12.10
2,000.000	EXPRESS SCRIPTS INC COM 7914624	302182100	167,720.00 83.860	0.38 0.00	71,195.77 35.60
1,151.000	GENOPTIX INC COM 7928044	37243V100	37,476.56 32.560	0.09 0.00	39,311.55 34.15
6,300.000	GILEAD SCIENCES INC COM 1102734	375558103	304,101.00 48.270	0.69 0.00	290,811.72 46.16

THE RICH FOUNDATION, INC.
ASSETS - INVESTMENT - SECURITIES
58-6038037
1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOOYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
610.000	HAEMONETICS CORP COM 7928044	405024100	34,532.10 56.610	0.08 0.00	33,879.40 55.54
1,400.000	IDEXX LABS INC COM 7914624	45168D104	73,486.00 52.490	0.17 0.00	47,112.18 33.65
2,105.000	IRIS INTL INC COM 7928044	46270W105	21,786.75 10.350	0.05 0.00	23,415.88 11.12
1,324.000	MASIMO CORP COM 7928044	574795100	36,754.24 27.760	0.08 0.00	35,046.53 26.47
9,254.000	MERCK & CO INC NEW COM 1102734	58933Y105	353,317.72 38.180	0.80 3.98	243,639.53 26.33
1,907.000	OMNICELL INC COM 7928044	68213M109	22,845.86 11.980	0.05 0.00	21,040.50 11.03
3,500.000	PATTERSON COS INC COM 7914624	703395103	99,960.00 28.560	0.23 0.00	79,967.98 22.85
2,814.000	PHASE FORWARD INC COM 7928044	71721R406	41,140.68 14.620	0.09 0.00	38,936.81 13.84
1,029.000	SOMOSITE INC COM 7928044	83568G104	28,019.67 27.230	0.06 0.00	27,680.34 26.90
2,600.000	STRYKER CORP COM 1102734	863667101	134,992.00 51.920	0.31 1.16	134,106.33 51.58

THE RICH FOUNDATION, INC.
 ASSETS - INVESTMENT - SECURITIES
 58-6038037
 1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
1,400.000	STRYKER CORP COM 7914624	863667101	72,688.00 51.920	0.17 1.16	59,346.89 42.39
700.000	TECHNE CORP COM 7914624	878377100	45,934.00 65.620	0.11 1.59	46,927.09 67.04
745.000	THORATEC CORP COM 7928044	885175307	21,120.75 28.350	0.05 0.00	22,612.30 30.35
2,400.000	VARIAN MEDICAL SYS INC COM 7914624	92220P105	120,696.00 50.290	0.28 0.00	107,350.30 44.73
57,697.000	TOTAL HEALTH CARE FINANCIALS		2,277,342.51	5.17 1.28	1,976,030.80
400.000	CME GROUP INC COM 7914624	12572Q105	114,728.00 286.820	0.26 1.60	168,937.76 422.34
2,352.000	EHEALTH INC COM 7928044	28238P109	42,806.40 18.200	0.10 0.00	34,383.65 14.62
6,100.000	JP MORGAN CHASE & CO COM 1102734	46625H100	237,534.00 38.940	0.54 0.51	234,048.90 38.37
2,400.000	MSCI INC CL A 7914624	55354G100	70,944.00 29.560	0.16 0.00	65,788.56 27.41
1,905.000	OPTIONSXPRESS HLDGS INC COM 7928044	684010101	27,336.75 14.350	0.06 0.00	32,894.37 17.27

THE RICH FOUNDATION, INC.
 ASSETS - INVESTMENT - SECURITIES
 58-6038037
 1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
15,100.000	SCHWAB CHARLES CORP COM NEW 1102734	808513105	276,179.00 18.290	0.63 1.31	279,029.42 18.48
28,257.000	TOTAL FINANCIALS		769,528.15	1.75 0.87	815,082.66
	INFORMATION TECHNOLOGY				
648.000	ADVENT SOFTWARE INC COM 7928044	007974108	24,462.00 37.750	0.06 0.00	22,235.32 34.31
1,800.000	APPLE INC COM 1102734	037833100	345,713.40 192.063	0.79 0.00	188,041.36 104.47
2,800.000	AUTOMATIC DATA PROCESSING INC COM 7914624	053015103	114,212.00 40.790	0.26 3.34	115,270.13 41.17
2,433.000	COGENT INC COM 7928044	19239Y108	25,132.89 10.330	0.06 0.00	24,420.75 10.04
2,058.000	COMSCORE INC COM 7928044	20564W105	27,927.06 13.570	0.06 0.00	35,732.94 17.36
1,983.000	CYBERSOURCE CORP DEL COM 7928044	23251J106	35,852.64 18.080	0.08 0.00	33,453.21 16.87
1,344.000	DEALERTRACK HLDGS INC COM 7928044	242309102	24,151.68 17.970	0.06 0.00	25,795.86 19.19
3,283.000	DOUBLE-TAKE SOFTWARE INC COM 7928044	258598101	33,519.43 10.210	0.08 0.00	32,283.51 9.83

THE RICH FOUNDATION, INC.
 ASSETS - INVESTMENT - SECURITIES
 58-6038037
 1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
1,572.000	FEI CO COM 7928044	30241L109	32,697.60 20.800	0.08 0.00	38,419.66 24.44
1,800.000	GLOBAL PAYMENTS INC COM 7914624	37940X102	80,100.00 44.500	0.18 0.18	72,677.46 40.38
670.000	GOOGLE INC CL A COM 1102734	38259P508	355,062.48 529.944	0.81 0.00	319,909.83 477.48
7,700.000	HEWLETT-PACKARD CO COM 1102734	428236103	362,439.00 47.070	0.82 0.68	311,340.32 40.43
725.000	HITTITE MICROWAVE CORP COM 7928044	43365Y104	26,955.50 37.180	0.06 0.00	26,075.28 35.97
751.000	INFORMATICA CORP COM 7928044	45666Q102	17,791.19 23.690	0.04 0.00	17,130.31 22.81
381.000	ITRON INC COM 7928044	465741106	23,450.55 61.550	0.05 0.00	23,922.48 62.79
6,900.000	JUNIPER NETWORKS INC COM 1102734	48203R104	171,327.00 24.830	0.39 0.00	180,312.76 26.13
2,142.000	LIQUIDITY SVCS INC COM 7928044	53635B107	22,640.94 10.570	0.05 0.00	22,039.67 10.29
1,000.000	METTLER-TOLEDO INTL INC COM 7914624	592688105	97,470.00 97.470	0.22 0.00	74,758.87 74.76

THE RICH FOUNDATION, INC.
ASSETS - INVESTMENT - SECURITIES
58-6038037
1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
1,070.000	MONOLITHIC PWR SYS INC COM 7928044	609839105	22,063.40 20.620	0.05 0.00	22,962.20 21.46
5,686.000	NIC INC COM 7928044	629148100	48,217.28 8.480	0.11 0.00	49,870.77 8.77
3,504.000	NETSCOUT SYS INC COM 7928044	64115T104	49,196.16 14.040	0.11 0.00	48,775.68 13.92
2,663.000	NUANCE COMMUNICATIONS INC COM 7928044	67020Y100	39,998.26 15.020	0.09 0.00	39,439.03 14.81
1,194.000	POLYCOM INC COM 7928044	73172K104	26,781.42 22.430	0.06 0.00	28,705.41 24.04
8,200.000	QUALCOMM CORP COM 1102734	747525103	321,358.00 39.190	0.73 1.74	321,527.02 39.21
1,534.000	TALEO CORP COM CL A 7928044	87424N104	31,155.54 20.310	0.07 0.00	35,000.66 22.82
1,625.000	TRIMBLE NAV LTD COM 7928044	896239100	37,196.25 22.890	0.09 0.00	36,547.91 22.49
3,500.000	VISA INC CL A COM 1102734	92826C839	287,105.00 82.030	0.65 0.61	199,241.94 56.93
1,711.000	VOCUS INC COM 7928044	92858J108	27,581.32 16.120	0.06 0.00	35,741.84 20.89

THE RICH FOUNDATION, INC.
ASSETS - INVESTMENT - SECURITIES
58-6038037
1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOODYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
1,674.000	VOLTERRA SEMICONDUCTOR CORP COM 7928044	928708106	32,626.26 19.490	0.08 0.00	26,393.85 15.77
5,000.000	WESTERN UNION CO COM 7914624	959802109	92,700.00 18.540	0.21 0.32	103,831.80 20.77
77,351.000	TOTAL INFORMATION TECHNOLOGY		2,836,884.25	6.44 0.50	2,511,857.83
252,736.000	TOTAL DOMESTIC COMMON FOREIGN COMMON & ADR		10,271,541.92	23.33 1.33	9,375,876.80
1,432.000	ASIAINFO HLDGS INC COM 7928044	04518A104	34,224.80 23.900	0.08 0.00	28,351.74 19.80
2,200.000	CENOVUS ENERGY INC COM 7914624	15135U109	50,930.00 23.150	0.12 0.86	48,388.68 21.99
3,000.000	ENCANA CORP CAD COM US EXCHG 7914624	292505104	91,770.00 30.590	0.21 2.62	74,023.80 24.67
50.000	SGS HLDG CHF20 REGD 7914624	4824778	65,031.78 1,300.636	0.15 4.38	63,691.34 1,273.83
1,449.000	NICE SYS LTD SPONS ADR 7928044	653656108	42,180.39 29.110	0.10 0.00	44,041.27 30.39
4,000.000	RESEARCH IN MOTION CDA COM US EXCHG 1102734	760975102	251,640.40 62.910	0.57 0.00	224,907.88 56.23

THE RICH FOUNDATION, INC
ASSETS - INVESTMENT - SECURITIES
58-6038037
1/31/2010

SHARES PAR VALUE	ASSET DESCRIPTION	CUSIP NUMBER MOOYS	MARKET VALUE MARKET PRICE	% MKT YLD MKT	COST UNIT COST
592.000	SXC HEALTH SOLUTIONS CORP COM 7928044	78505P100	27,895.04 47.120	0.06 0.00	28,359.82 47.91
4,900.000	SCHLUMBERGER LTD COM 1102734	806857108	310,954.00 63.460	0.71 1.32	267,153.85 54.52
3,000.000	SGS S A ADR 7914624	818800104	38,400.00 12.800	0.09 2.06	38,880.48 12.96
2,046.000	SKILLSOFT PUB LTD CO SPONS ADR 7928044	830928107	19,948.50 9.750	0.05 0.00	20,372.54 9.96
22,669.000	TOTAL FOREIGN COMMON & ADR		932,974.91	2.12 1.14	838,171.40
	EXCHANGE TRADED FUNDS				
3,000.000	ISHARES TR 1-3 YR TREAS INDEX FD 7914624	464287457	250,860.00 83.620	0.57 1.68	251,627.08 83.88
3,000.000	TOTAL EXCHANGE TRADED FUNDS		250,860.00	0.57 1.68	251,627.08
278,405.000	TOTAL EQUITY SECURITIES		11,455,376.83	26.02 1.32	10,465,675.28

ADJ. FOR PENDING

PURCHASES/SALES RECORDED 1/31/2010

(12,034.00)

(12,272.79)

SECURITIES @ 1/31/2010

11,443,342.83

10,453,402.49

THE RICH FOUNDATION, INC.
 ASSETS - INVESTMENT - MUTUAL FUNDS
 58-6038037
 1/31/2010

STATEMENT A-2

SHS. PAR VALUE	ASSET DESCRIPTION		TAX COST		MARKET VALUE
126235.573	ARTIO GLOBAL INVT FDS INTL EQ		3,782,015.00		3,376,801.00
72263.13	FPA PERENNIAL FD INC		2,044,527.00		2,120,923.00
584353.965	WESTERN ASSET FDS INC		6,457,095.00		6,363,615.00
TOTAL ASSETS			12,283,637.00		11,861,339.00

THE RICH FOUNDATION, INC.
 ASSETS - INVESTMENTS - OTHER
 58-6038037
 1/31/2010

STATEMENT A-3

UNITS	ASSET DESCRIPTION	TAX COST	MARKET VALUE
3744.888	Tiff Absolute Return Pool II	4,500,000.00	6,554,191.00
1	MW Post Traditional High Yield LP	3,108,495.00	3,218,792.00
111956.03	The Colchester Global Bond Fund	2,742,876.00	2,898,082.00
4103545	Forester Opportunities	3,564,081.00	4,103,545.00
147766.225	The Sanderson International Value FD	3,604,323.00	3,479,998.00
TOTAL ASSETS		17,519,775.00	20,254,608.00

The Rich Foundation, Inc.
Schedule of Grants and Donations
Form 990-PF
1/31/2010

Recipient	Relationship	Status	Purpose	Amount
Adaptive Learning Center	N/A	Public	Educational	10,000.00
AID Atlanta	N/A	Public	Civic	5,000.00
American Jewish Committee - Atlanta Chapter	N/A	Public	Civic	10,000.00
Art Station	N/A	Public	Civic	5,000.00
Arthritis Foundation Georgia Chapter	N/A	Public	Medical	5,000.00
Atlanta Alliance of Dev Disabilities	N/A	Public	Civic	15,000.00
Atlanta Ballet Inc.	N/A	Public	Civic	100,000.00
Atlanta Botanical Gardens	N/A	Public	Civic	25,000.00
Atlanta Children's Shelter	N/A	Public	Civic	5,000.00
Atlanta Education Fund	N/A	Public	Educational	25,000.00
Atlanta Enterprise Center	N/A	Public	Civic	20,000.00
Atlanta International School	N/A	Public	Educational	25,000.00
Atlanta Opera	N/A	Public	Civic	25,000.00
Big Brothers Big Sisters	N/A	Public	Civic	5,000.00
Bobby Dodd Institute	N/A	Public	Educational	10,000.00
Boys & Girls Club of Metro Atlanta	N/A	Public	Civic	5,000.00
Camp Sunshine	N/A	Public	Civic	5,000.00
Camp Twine Lakes	N/A	Public	Civic	50,000.00
Center for Puppetry Arts	N/A	Public	Civic	15,000.00
Center for the Visually Impaired	N/A	Public	Civic	10,000.00
Child Development Association	N/A	Public	Civic	5,000.00
Chris Kids, Inc	N/A	Public	Civic	10,000.00
Covenant Community, Inc	N/A	Public	Civic	5,000.00
Create Your Dreams	N/A	Public	Civic	5,000.00
Creating Pride	N/A	Public	Civic	5,000.00
Devereux Foundation Treatment Center	N/A	Public	Medical	10,000.00
Elaine Clark Center	N/A	Public	Civic	10,000.00
Emory University	N/A	Public	Educational	225,000.00
Families First	N/A	Public	Civic	10,000.00
Fernbank Museum of Natural History	N/A	Public	Civic	25,000.00
Foundation Center Atlanta	N/A	Public	Civic	4,000.00
Furniture Bank of Metro Atlanta	N/A	Public	Civic	5,000.00
Genesis Shelter	N/A	Public	Civic	20,000.00
Georgia Campaign for Adolescent	N/A	Public	Civic	5,000.00
Georgia Center for Children	N/A	Public	Civic	10,000.00
Georgia Center for Non-Profits	N/A	Public	Civic	6,000.00
Georgia Ensemble Theatre	N/A	Public	Civic	5,000.00
Georgia Foundation for Independent Colleges	N/A	Public	Educational	20,000.00
Georgia Shakespeare Festival	N/A	Public	Civic	5,000.00
Girl Scouts of Greater Atlanta	N/A	Public	Civic	25,000.00
Goodwill Industries	N/A	Public	Civic	10,000.00
Grady Health System	N/A	Public	Medical	100,000.00
Hands on Atlanta	N/A	Public	Civic	20,000.00
High Museum of Art	N/A	Public	Civic	200,000.00
Horizon Theatre Company	N/A	Public	Civic	5,000.00
Housing Initiative of North Fulton	N/A	Public	Civic	5,000.00
Imagine It Children's Museum	N/A	Public	Civic	10,000.00

International Community School	N/A	Public	Civic	10,000.00
Jerusalem House Inc.	N/A	Public	Civic	15,000.00
Jewish Family & Career Services	N/A	Public	Civic	10,000.00
Jewish Museum	N/A	Public	Civic	25,000.00
Marcus Autism Center	N/A	Public	Medical	10,000.00
Medshare	N/A	Public	Medical	35,000.00
Midtown Assistance Center, Inc.	N/A	Public	Civic	10,000.00
Museum of Contemporary Art	N/A	Public	Civic	15,000.00
National Kidney Foundation of Georgia	N/A	Public	Medical	5,000.00
Prevent Blindness Georgia	N/A	Public	Medical	25,000.00
Project Open Hand	N/A	Public	Civic	10,000.00
Refugee Family Services	N/A	Public	Civic	5,000.00
Samaritan House of Atlanta	N/A	Public	Civic	10,000.00
Seven Stages, Inc.	N/A	Public	Civic	5,000.00
Shepherd Center Foundation, Inc	N/A	Public	Medical	50,000.00
Study Hall at Emmaus House	N/A	Public	Civic	5,000.00
Teach for America	N/A	Public	Educational	50,000.00
Tech High Foundation	N/A	Public	Educational	25,000.00
The Vi & Milton Weinstein Hospice	N/A	Public	Medical	5,000.00
Theatrical Outfit	N/A	Public	Civic	5,000.00
Training & Counseling Center	N/A	Public	Civic	5,000.00
Trinity Community Ministries	N/A	Public	Civic	10,000.00
Truancy Intervention Project GA	N/A	Public	Civic	5,000.00
United Negro College Fund, Inc	N/A	Public	Educational	10,000.00
United Way of Metropolitan Atlanta	N/A	Public	Civic	100,000.00
William Breman Jewish Home	N/A	Public	Civic	10,000.00
Woodruff Arts Center	N/A	Public	Civic	150,000.00
Youth Communication (VOX)	N/A	Public	Civic	10,000.00
Passtrough-Forester Opportunities Fd	N/A	Public	Civic	3.00
Total Grants and Donations				1,770,003.00



ASSETS DISPOSED / SOLD

2/01/09 THROUGH 1/31/10

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RICH FOUNDATION/COMBINED CUST

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
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6/15/09 SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.02 SETTLEMENT DATE 6/18/09 10 SHARES AT \$41.80 CUSIP 693762204 7928044 10.000 0.20 417.78 -218.42 199.36

6/22/09 SOLD THROUGH INSTINET MISC 0.12 SETTLEMENT DATE 6/25/09 115 SHARES AT \$40.0858 CUSIP 693762204 7928044 115.000 2.30 4,607.22 -2,611.75 1,995.47

TOTAL FOR ASSET 125.000 2.50 5,025.00 -2,830.17 2,194.83

ALVARION LTD ILS SHS

2/26/09 SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$3.16 CUSIP M0861T100 7928044 10.000 0.20 31.39 -36.04 -4.65

4/13/09 SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/16/09 340 SHARES AT \$3.0598 CUSIP M0861T100 7928044 340.000 6.80 1,033.50 -1,225.43 -191.93

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RICH FOUNDATION/COMBINED CUST

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/06/09	SOLD THROUGH INSTINET MISC 0.11 SETTLEMENT DATE 5/11/09 1,325 SHARES AT \$3.0554 CUSIP M0861T100 7928044	1,325.000	26.50	4,021.80	-4,775.56	-753.76
	TOTAL FOR ASSET	1,675.000	33.50	5,086.69	-6,037.03	-950.34
2/04/09	RRSAT GLOBAL COMM NETWORK LTD SHS SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/09/09 75 SHARES AT \$12.6998 CUSIP M8183P102 7928044	75.000	1.50	950.98	-655.48	295.50
5/07/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 5/12/09 200 SHARES AT \$12.4289 CUSIP M8183P102 7928044	200.000	4.00	2,481.71	-1,747.94	733.77
5/08/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 5/13/09 150 SHARES AT \$11.4457 CUSIP M8183P102 7928044	150.000	3.00	1,713.81	-1,310.95	402.86
7/28/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 7/31/09 10 SHARES AT \$11.8701 CUSIP M8183P102 7928044	10.000	0.20	118.49	-87.40	31.09

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/29/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 8/03/09 35 SHARES AT \$11.595 CUSIP M8183P102 7928044	35.000	0.70	405.11	-305.89	99.22
7/30/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 8/04/09 75 SHARES AT \$11.4124 CUSIP M8183P102 7928044	75.000	1.50	854.40	-655.48	198.92
7/31/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 8/05/09 220 SHARES AT \$11.26 CUSIP M8183P102 7928044	220.000	4.40	2,472.73	-1,922.73	550.00
8/03/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/06/09 135 SHARES AT \$11.4987 CUSIP M8183P102 7928044	135.000	2.70	1,549.58	-1,351.41	198.17
7/21/09	TOTAL FOR ASSET CASCAL N V COM SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 7/24/09 114 SHARES AT \$4.2863 CUSIP N1842P109 7928044	900.000 114.000	18.00 2.28	10,546.81 486.34	-8,037.28 -884.33	2,509.53 -397.99

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/22/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/27/09 436 SHARES AT \$4.1228 CUSIP N1842P109 7928044	436.000	8.72	1,788.77	-3,382.19	-1,593.42
	TOTAL FOR ASSET	550.000	11.00	2,275.11	-4,266.52	-1,991.41
	ABAXIS INC COM					
10/16/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.19 SETTLEMENT DATE 10/21/09 301 SHARES AT \$24.3298 CUSIP 002567105 7928044	301.000	15.05	7,308.03	-7,974.06	-666.03
	TOTAL FOR ASSET	154.000	7.70	3,706.54	-4,079.75	-375.21
	ABBOTT LABS COM					
2/03/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.20 SETTLEMENT DATE 2/06/09 600 SHARES AT \$56.7083 CUSIP 002824100 1102734	600.000	24.00	36,000.78	-27,673.74	6,327.04
	TOTAL FOR ASSET	455.000	22.75	11,012.57	-12,053.81	-1,041.24

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ASSETS DISPOSED / SOLD

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 2/19/09 400 SHARES AT \$55.0901 CUSIP 002824100 1102734	400.000	4.00	22,031.91	-18,675.33	3,356.58
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 5/18/09 200 SHARES AT \$45.5303 CUSIP 002824100 1102734	200.000	2.00	9,103.82	-9,375.36	-271.54
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.23 SETTLEMENT DATE 7/27/09 200 SHARES AT \$44.46 CUSIP 002824100 1102734	200.000	2.00	8,889.77	-9,375.36	-485.59
/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.23 SETTLEMENT DATE 8/17/09 200 SHARES AT \$43.8925 CUSIP 002824100 1102734	200.000	2.00	8,776.27	-9,375.36	-599.09
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.55 SETTLEMENT DATE 11/17/09 400 SHARES AT \$53.2301 CUSIP 002824100 1102734	400.000	4.00	21,287.49	-19,540.24	1,747.25

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ASSETS DISPOSED / SOLD

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.22 SETTLEMENT DATE 1/22/10 300 SHARES AT \$56.33 CUSIP 002824100 1102734	300.000	3.00	16,895.78	-14,655.18	2,240.60
	TOTAL FOR ASSET	2,300.000	41.00	120,985.82	-108,670.57	12,315.25
	ABIOMED INC COM					
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$6.91 CUSIP 003654100 7928044	10.000	0.20	68.89	-128.20	-59.31
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$7.51 CUSIP 003654100 7928044	10.000	0.20	74.89	-128.20	-53.31
7/13/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/16/09 232 SHARES AT \$7.9478 CUSIP 003654100 7928044	232.000	4.64	1,839.20	-2,974.24	-1,135.04

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RICH FOUNDATION/COMBINED CUST

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/14/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 7/17/09 308 SHARES AT \$7.9083 CUSIP 003654100 7928044	308.000	6.16	2,429.53	-3,948.56	-1,519.03
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 7/22/09 30 SHARES AT \$8.23 CUSIP 003654100 7928044	30.000	0.60	246.29	-384.60	-138.31
7/22/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 7/27/09 290 SHARES AT \$7.5258 CUSIP 003654100 7928044	290.000	5.80	2,176.62	-3,717.80	-1,541.18
7/23/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/28/09 230 SHARES AT \$7.6617 CUSIP 003654100 7928044	230.000	4.60	1,752.94	-3,296.11	-1,543.17
7/24/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 7/29/09 90 SHARES AT \$7.2244 CUSIP 003654100 7928044	90.000	1.80	648.38	-1,169.87	-521.49

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.40 SETTLEMENT DATE 10/09/09 1,495 SHARES AT \$10.1587 CUSIP 003654100 7928044	1,495.000	14.95	15,171.91	-11,566.93	3,606.98
	TOTAL FOR ASSET	2,695.000	38.95	24,408.65	-27,312.51	-2,903.86
	ACORDA THERAPEUTICS INC COM					
2/24/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/27/09 50 SHARES AT \$27.2411 CUSIP 00484M106 7928044	50.000	1.00	1,361.05	-917.43	443.62
	TOTAL FOR ASSET	20.000	0.80	477.26	-366.97	110.29
	THROUGH CREDIT SUISSE FIRST BOSTON COR					
2/26/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 3/03/09 20 SHARES AT \$23.9033 CUSIP 00484M106 7928044	20.000	0.80	1,602.68	-1,284.40	318.28
	TOTAL FOR ASSET	70.000	2.80	1,602.68	-1,284.40	318.28
	THROUGH CREDIT SUISSE FIRST BOSTON COR					
3/06/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 3/11/09 70 SHARES AT \$22.9355 CUSIP 00484M106 7928044	70.000	2.80	1,602.68	-1,284.40	318.28

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ASSETS DISPOSED / SOLD

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/10/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 3/13/09 10 SHARES AT \$24.6136 CUSIP 00484M106 7928044	10.000	0.40	245.73	-183.49	62.24
3/31/09	SOLD THROUGH DEUTSCHE BANK SECURITIES INC MISC 0.01 SETTLEMENT DATE 4/03/09 50 SHARES AT \$20.0548 CUSIP 00484M106 7928044	50.000	2.00	1,000.73	-917.43	83.30
	TOTAL FOR ASSET	200.000	7.00	4,687.45	-3,669.72	1,017.73
6/03/09	ADA-ES INC COM SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 6/08/09 247 SHARES AT \$2.769 CUSIP 005208103 7928044	247.000	4.94	678.98	-1,482.35	-803.37
6/04/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 6/09/09 103 SHARES AT \$2.8306 CUSIP 005208103 7928044	103.000	2.06	289.48	-618.14	-328.66
	TOTAL FOR ASSET	350.000	7.00	968.46	-2,100.49	-1,132.03
	AEROPOSTALE INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/02/09	SOLD THROUGH INSTINET MISC 0.10 SETTLEMENT DATE 6/05/09 100 SHARES AT \$36.0042 CUSIP 007865108 7928044	100.000	2.00	3,598.32	-2,179.57	1,418.75
	TOTAL FOR ASSET	100.000	2.00	3,598.32	-2,179.57	1,418.75
2/26/09	ADVANCED ENERGY INDS INC COM SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/27/09 100 SHARES AT \$6.5055 CUSIP 007973100 7928044	100.000	2.00	648.54	-891.88	-243.34
2/26/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.01 SETTLEMENT DATE 2/27/09 100 SHARES AT \$6.9758 CUSIP 007973100 7928044	100.000	4.00	693.57	-891.88	-198.31
	TOTAL FOR ASSET	200.000	6.00	1,342.11	-1,783.76	-441.65
2/04/09	ADVENT SOFTWARE INC COM SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.01 SETTLEMENT DATE 2/09/09 25 SHARES AT \$27.5753 CUSIP 007974108 7928044	25.000	1.00	688.37	-596.50	91.87

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/10/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.01 SETTLEMENT DATE 2/13/09 25 SHARES AT \$29.9976 CUSIP 007974108 7928044	25.000	1.00	748.93	-596.50	152.43
2/12/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/18/09 25 SHARES AT \$29.5192 CUSIP 007974108 7928044	25.000	0.50	737.47	-596.50	140.97
2/18/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/23/09 25 SHARES AT \$28.4885 CUSIP 007974108 7928044	25.000	0.50	711.70	-596.50	115.20
4/08/09	SOLD THROUGH JMP SECURITIES ON THE OVER THE COUNTER MISC 0.02 SETTLEMENT DATE 4/14/09 20 SHARES AT \$32.5433 CUSIP 007974108 7928044	20.000	0.80	650.05	-477.20	172.85
5/08/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 5/13/09 20 SHARES AT \$33.839 CUSIP 007974108 7928044	20.000	0.40	676.36	-477.20	199.16

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/11/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/14/09 30 SHARES AT \$33.7826 CUSIP 007974108 7928044	30.000	0.60	1,012.85	-715.80	297.05
5/18/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/21/09 30 SHARES AT \$32.4504 CUSIP 007974108 7928044	30.000	0.60	972.88	-715.80	257.08
7/21/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/26/09 50 SHARES AT \$34.7877 CUSIP 007974108 7928044	50.000	1.00	1,738.34	-1,193.00	545.34
7/26/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/29/09 50 SHARES AT \$35.1292 CUSIP 007974108 7928044	50.000	1.00	1,755.41	-1,193.00	562.41
7/27/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 7/30/09 10 SHARES AT \$36.352 CUSIP 007974108 7928044	10.000	0.20	363.31	-238.60	124.71

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$37.97 CUSIP 007974108 7928044	10.000	0.20	379.49	-238.60	140.89
	TOTAL FOR ASSET	320.000	7.80	10,435.16	-7,635.20	2,799.96
	AFFILIATED MANAGERS GROUP INC COM					
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE NEW YORK STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$38.61 CUSIP 008252108 7928044	10.000	0.20	385.89	-460.90	-75.01
4/13/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/16/09 20 SHARES AT \$51.4953 CUSIP 008252108 7928044	20.000	0.40	1,029.48	-921.80	107.68
4/15/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/20/09 20 SHARES AT \$49.2226 CUSIP 008252108 7928044	20.000	0.40	984.02	-921.80	62.22

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/11/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/16/09 20 SHARES AT \$57.6971 CUSIP 008252108 7928044	20.000	0.40	1,153.51	-921.80	231.71
6/25/09	SOLD THROUGH INSTINET MISC 0.12 SETTLEMENT DATE 6/30/09 80 SHARES AT \$55.4091 CUSIP 008252108 7928044	80.000	1.60	4,431.01	-3,687.20	743.81
7/22/09	SOLD THROUGH INSTINET MISC 0.32 SETTLEMENT DATE 7/27/09 195 SHARES AT \$62.5728 CUSIP 008252108 7928044	195.000	3.90	12,197.68	-6,667.54	5,529.94
5/15/09	TOTAL FOR ASSET AIRGAS INC COM	345.000	6.90	20,181.39	-13,581.04	6,600.35
	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/22/09 50 SHARES AT \$43.2634 CUSIP 009363102 7928044	50.000	1.00	2,162.11	-1,788.84	373.27
	TOTAL FOR ASSET AIRMEDIA GROUP INC ADR	50.000	1.00	2,162.11	-1,788.84	373.27

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$6.82 CUSIP 009411109 7928044	10.000	0.20	67.99	-67.21	0.78
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/06/09 20 SHARES AT \$5.83 CUSIP 009411109 7928044	20.000	0.40	116.19	-134.41	-18.22
8/17/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/20/09 190 SHARES AT \$7.0256 CUSIP 009411109 7928044	190.000	3.80	1,331.02	-1,276.89	54.13
9/04/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 9/10/09 170 SHARES AT \$8.1737 CUSIP 009411109 7928044	170.000	3.40	1,386.09	-1,142.49	243.60
9/17/09	SOLD THROUGH MORGAN STANLEY & CO INC PROGRAM MISC 0.02 SETTLEMENT DATE 9/22/09 90 SHARES AT \$8.1152 CUSIP 009411109 7928044	90.000	3.60	726.75	-604.84	121.91

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9/17/09	SOLD THROUGH LIQUIDNET INC MISC 0.06 SETTLEMENT DATE 9/22/09 250 SHARES AT \$8.0115 CUSIP 009411109 7928044	250.000	5.00	1,997.82	-1,648.78	349.04
9/23/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.04 SETTLEMENT DATE 9/28/09 190 SHARES AT \$7.8218 CUSIP 009411109 7928044	190.000	7.60	1,478.50	-1,245.29	233.21
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.23 SETTLEMENT DATE 10/09/09 1,210 SHARES AT \$7.2044 CUSIP 009411109 7928044	1,210.000	12.10	8,704.99	-7,322.89	1,382.10
	TOTAL FOR ASSET	2,130.000	36.10	15,809.35	-13,442.80	2,366.55
8/12/09	AIRTRAN HLDGS INC COM SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/17/09 190 SHARES AT \$6.8973 CUSIP 00949P108 7928044	190.000	3.80	1,306.65	-1,298.18	8.47

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/15/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.05 SETTLEMENT DATE 9/18/09 230 SHARES AT \$6.8503 CUSIP 00949P108 7928044	230.000	9.20	1,561.72	-1,478.24	83.48
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.22 SETTLEMENT DATE 10/09/09 1,330 SHARES AT \$6.17 CUSIP 00949P108 7928044	1,330.000	13.30	8,192.58	-7,873.39	319.19
	TOTAL FOR ASSET	1,750.000	26.30	11,060.95	-10,649.81	411.14
	ALLERGAN INC COM					
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 2/19/09 200 SHARES AT \$44.0525 CUSIP 018490102 1102734	200.000	2.00	8,808.45	-11,814.37	-3,005.92
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 5/18/09 100 SHARES AT \$47.5701 CUSIP 018490102 1102734	100.000	1.00	4,755.88	-5,907.19	-1,151.31

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 7/27/09 100 SHARES AT \$50.16 CUSIP 018490102 1102734	100.000	1.00	5,014.87	-5,907.18	-892.31
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 8/17/09 100 SHARES AT \$54.79 CUSIP 018490102 1102734	100.000	1.00	5,477.85	-5,907.19	-429.34
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.46 SETTLEMENT DATE 11/17/09 300 SHARES AT \$59.5701 CUSIP 018490102 1102734	300.000	3.00	17,867.57	-17,740.49	127.08
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.16 SETTLEMENT DATE 1/22/10 200 SHARES AT \$61.665 CUSIP 018490102 1102734	200.000	2.00	12,330.84	-11,833.31	497.53
	TOTAL FOR ASSET	1,000.000	10.00	54,255.46	-59,109.73	-4,854.27
	APPLE INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.12 SETTLEMENT DATE 2/19/09 200 SHARES AT \$99.40 CUSIP 037833100 1102734	200.000	2.00	19,877.88	-17,377.56	2,500.32
3/19/09	SOLD THROUGH UBS WARBURG ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 3/24/09 300 SHARES AT \$101.3952 CUSIP 037833100 1102734	300.000	12.00	30,406.38	-26,066.34	4,340.04
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.32 SETTLEMENT DATE 5/18/09 100 SHARES AT \$120.70 CUSIP 037833100 1102734	100.000	1.00	12,068.68	-8,688.78	3,379.90
6/09	SOLD THROUGH RBC DAIN RAUSCHER INC ON THE OVER THE COUNTER MISC 1.10 SETTLEMENT DATE 6/12/09 300 SHARES AT \$141.7383 CUSIP 037833100 1102734	300.000	12.00	42,508.39	-30,528.91	11,979.48
7/23/09	SOLD THROUGH INSTINET MISC 0.82 SETTLEMENT DATE 7/28/09 200 SHARES AT \$157.7758 CUSIP 037833100 1102734	200.000	4.00	31,550.34	-26,302.70	5,247.64

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.43 SETTLEMENT DATE 8/17/09 100 SHARES AT \$165.87 CUSIP 037833100 1102734	100.000	1.00	16,585.57	-13,151.35	3,434.22
8/19/09	SOLD THROUGH UBS WARBURG ON THE OVER THE COUNTER MISC 1.27 SETTLEMENT DATE 8/24/09 300 SHARES AT \$164.2686 CUSIP 037833100 1102734	300.000	12.00	49,267.31	-38,998.27	10,269.04
11/02/09	SOLD THROUGH UBS WARBURG ON THE OVER THE COUNTER MISC 0.98 SETTLEMENT DATE 11/05/09 200 SHARES AT \$189.7336 CUSIP 037833100 1102734	200.000	8.00	37,937.74	-25,391.14	12,546.60
11/02/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.52 SETTLEMENT DATE 11/17/09 100 SHARES AT \$203.2601 CUSIP 037833100 1102734	100.000	1.00	20,324.49	-12,695.57	7,628.92
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 1/22/10 100 SHARES AT \$214.4401 CUSIP 037833100 1102734	100.000	1.00	21,442.73	-12,695.57	8,747.16

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
	TOTAL FOR ASSET	1,900.000	54.00	281,969.51	-211,896.19	70,073.32
	ARENA RES INC COM					
2/01/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$21.44 CUSIP 040049108 7928044	10.000	0.20	214.19	-251.60	-37.41
4/14/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 4/17/09 40 SHARES AT \$30.1885 CUSIP 040049108 7928044	40.000	0.80	1,206.70	-1,006.40	200.30
5/08/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 5/13/09 50 SHARES AT \$35.3755 CUSIP 040049108 7928044	50.000	1.00	1,767.73	-1,258.00	509.73
5/20/09	SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 5/26/09 90 SHARES AT \$34.0489 CUSIP 040049108 7928044	90.000	1.80	3,062.52	-2,264.40	798.12
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$31.02 CUSIP 040049108 7928044	10.000	0.20	309.99	-251.60	58.39

Judgment



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.23 SETTLEMENT DATE 10/09/09 250 SHARES AT \$34.44 CUSIP 040049108 7928044	250.000	2.50	8,607.27	-6,684.05	1,923.22
	TOTAL FOR ASSET	450.000	6.50	15,168.40	-11,716.05	3,452.35
	ARIBA INC COM NEW					
8/11/09	SOLD THROUGH INSTINET MISC 0.11 SETTLEMENT DATE 8/14/09 370 SHARES AT \$11.424 CUSIP 04033V203 7928044	370.000	7.40	4,219.37	-3,351.24	868.13
	TOTAL FOR ASSET	370.000	7.40	4,219.37	-3,351.24	868.13
	ASIAINFO HLDGS INC COM					
11/11/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.16 SETTLEMENT DATE 11/16/09 239 SHARES AT \$25.6991 CUSIP 04518A104 7928044	239.000	4.78	6,137.14	-4,731.89	1,405.25
	TOTAL FOR ASSET	239.000	4.78	6,137.14	-4,731.89	1,405.25
	ATHEROS COMMUNICATIONS INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$12.44 CUSIP 04743P108 7928044	10.000	0.20	124.19	-161.80	-37.61
3/30/09	SOLD THROUGH JEFFERIES & COMPANY ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 4/02/09 60 SHARES AT \$14.2596 CUSIP 04743P108 7928044	60.000	2.40	853.17	-970.80	-117.63
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$18.85 CUSIP 04743P108 7928044	10.000	0.20	188.29	-161.80	26.49
7/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 7/07/09 120 SHARES AT \$19.5779 CUSIP 04743P108 7928044	120.000	2.40	2,346.88	-1,941.60	405.28
9/02/09	SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 9/08/09 100 SHARES AT \$27.2611 CUSIP 04743P108 7928044	100.000	2.00	2,724.03	-1,618.00	1,106.03

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.33 SETTLEMENT DATE 10/09/09 475 SHARES AT \$26.3605 CUSIP 04743P108 7928044	475.000	4.75	12,516.16	-9,737.20	2,778.96
	TOTAL FOR ASSET	775.000	11.95	18,752.72	-14,591.20	4,161.52
	ATWOOD OCEANICS INC COM					
2/26/09	SOLD THROUGH HIBERNIA SOUTHCOAST CAP INC ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 50 SHARES AT \$15.2159 CUSIP 050095108 7928044	50.000	2.00	758.79	-1,145.50	-386.71
	TOTAL FOR ASSET	160.000	3.20	3,860.94	-3,665.60	195.34
5/22/09	SOLD THROUGH INSTINET MISC 0.10 SETTLEMENT DATE 5/28/09 160 SHARES AT \$24.1515 CUSIP 050095108 7928044					
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$28.50 CUSIP 050095108 7928044	10.000	0.20	282.79	-229.10	53.69

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.40 SETTLEMENT DATE 10/09/09 430 SHARES AT \$35.806 CUSIP 050095108 7928044	430.000	4.30	15,391.88	-9,851.30	5,540.58
	TOTAL FOR ASSET	650.000	9.70	20,294.40	-14,891.50	5,402.90
	BANK OF THE OZARKS INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 10/09/09 410 SHARES AT \$25.8678 CUSIP 063904106 7928044	410.000	4.10	10,601.42	-10,195.44	405.98
	TOTAL FOR ASSET	410.000	4.10	10,601.42	-10,195.44	405.98
	BARE ESSENTIALS INC COM					
9/10/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.08 SETTLEMENT DATE 9/15/09 290 SHARES AT \$10.545 CUSIP 067511105 7928044	290.000	11.60	3,046.37	-2,186.60	859.77
	TOTAL FOR ASSET	120.000	4.80	1,386.39	-904.80	481.59
9/17/09	SOLD THROUGH U.S. BANCORP PIPER JAFFRAY INC ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 9/22/09 120 SHARES AT \$11.5936 CUSIP 067511105 7928044					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 10/09/09 770 SHARES AT \$11.7948 CUSIP 067511105 7928044	770.000	7.70	9,074.06	-6,042.21	3,031.85
	TOTAL FOR ASSET	1,180.000	24.10	13,506.82	-9,133.61	4,373.21
	BLACKBOARD INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.22 SETTLEMENT DATE 10/09/09 220 SHARES AT \$37.77 CUSIP 091935502 7928044	220.000	2.20	8,306.98	-7,076.65	1,230.33
	TOTAL FOR ASSET	220.000	2.20	8,306.98	-7,076.65	1,230.33
	BLUE NILE INC COM					
4/17/09	SOLD THROUGH LIQUIDNET INC MISC 0.03 SETTLEMENT DATE 4/20/09 30 SHARES AT \$37.0012 CUSIP 09578R103 7928044	30.000	0.60	1,109.41	-934.80	174.61
4/17/09	SOLD THROUGH INSTINET MISC 0.15 SETTLEMENT DATE 4/22/09 130 SHARES AT \$42.215 CUSIP 09578R103 7928044	130.000	2.60	5,485.20	-3,960.36	1,524.84

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/24/09	SOLD THROUGH BUCKINGHAM RESEARCH GROUP INC ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 4/29/09 40 SHARES AT \$41.5405 CUSIP 09578R103 7928044	40.000	1.60	1,659.97	-884.66	775.31
4/29/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/04/09 30 SHARES AT \$40.9146 CUSIP 09578R103 7928044	30.000	0.60	1,226.80	-677.36	549.44
4/30/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/05/09 20 SHARES AT \$42.881 CUSIP 09578R103 7928044	20.000	0.40	857.19	-451.57	405.62
6/08/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/11/09 30 SHARES AT \$46.8941 CUSIP 09578R103 7928044	30.000	0.60	1,406.18	-694.58	711.60
8/06/09	SOLD THROUGH INSTINET MISC 0.09 SETTLEMENT DATE 8/11/09 60 SHARES AT \$52.8313 CUSIP 09578R103 7928044	60.000	1.20	3,168.59	-1,384.32	1,784.27

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/26/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 8/31/09 20 SHARES AT \$56.8407 CUSIP 09578R103 7928044	20.000	0.40	1,136.38	-456.93	679.45
9/23/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 9/28/09 25 SHARES AT \$61.4146 CUSIP 09578R103 7928044	25.000	0.50	1,534.83	-571.17	963.66
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.19 SETTLEMENT DATE 10/09/09 115 SHARES AT \$61.21 CUSIP 09578R103 7928044	115.000	1.15	7,037.81	-5,029.09	2,008.72
9/23/09	TOTAL FOR ASSET BOWNE & CO INC COM SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 9/28/09 220 SHARES AT \$7.1688 CUSIP 103043105 7928044	500.000	9.65	24,622.36	-15,044.84	9,577.52
		220.000	4.40	1,572.69	-1,311.20	261.49

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.42 SETTLEMENT DATE 10/09/09 2,070 SHARES AT \$7.8534 CUSIP 103043105 7928044	2,070.000	20.70	16,235.42	-12,537.20	3,898.22
	TOTAL FOR ASSET	2,290.000	25.10	17,808.11	-13,648.40	4,159.71
8/12/09	BURLINGTON NORTHERN SANTA FE CO COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.22 SETTLEMENT DATE 8/17/09 100 SHARES AT \$82.5506 CUSIP 12189T104 1102734	100.000	1.00	8,253.84	-7,305.26	948.58
1/07/10	SOLD THROUGH UBS WARBURG ON THE OVER THE COUNTER MISC 1.53 SETTLEMENT DATE 1/12/10 600 SHARES AT \$98.7402 CUSIP 12189T104 1102734	600.000	24.00	59,218.59	-43,724.72	15,493.87
1/08/10	SOLD THROUGH UBS WARBURG ON THE OVER THE COUNTER MISC 2.04 SETTLEMENT DATE 1/13/10 800 SHARES AT \$98.7657 CUSIP 12189T104 1102734	800.000	32.00	78,978.52	-56,291.66	22,686.86
	TOTAL FOR ASSET	1,500.000	57.00	146,450.95	-107,321.64	39,129.31

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	CVS CAREMARK CORP COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 2/19/09 300 SHARES AT \$27.4802 CUSIP 126650100 1102734	300.000	3.00	8,241.01	-11,306.13	-3,065.12
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.17 SETTLEMENT DATE 5/18/09 200 SHARES AT \$32.04 CUSIP 126650100 1102734	200.000	2.00	6,405.83	-7,537.42	-1,131.59
7/16/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 1.07 SETTLEMENT DATE 7/21/09 1,300 SHARES AT \$32.0133 CUSIP 126650100 1102734	1,300.000	52.00	41,564.22	-48,993.23	-7,429.01
/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 7/27/09 100 SHARES AT \$33.1205 CUSIP 126650100 1102734	100.000	1.00	3,310.96	-3,768.71	-457.75

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 8/17/09 100 SHARES AT \$35.0301 CUSIP 126650100 1102734	100.000	1.00	3,501.91	-3,768.71	-266.80
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.15 SETTLEMENT DATE 11/17/09 200 SHARES AT \$29.7901 CUSIP 126650100 1102734	200.000	2.00	5,955.87	-7,537.42	-1,581.55
12/15/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 1.56 SETTLEMENT DATE 12/18/09 1,900 SHARES AT \$31.8441 CUSIP 126650100 1102734	1,900.000	76.00	60,426.23	-79,459.33	-19,033.10
12/16/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 1.38 SETTLEMENT DATE 12/21/09 1,700 SHARES AT \$31.4008 CUSIP 126650100 1102734	1,700.000	68.00	53,311.98	-67,938.80	-14,626.82
	TOTAL FOR ASSET	5,800.000	205.00	182,718.01	-230,309.75	-47,591.74
	CALIFORNIA PIZZA KITCHEN INC COM					

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7/21/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 7/24/09 170 SHARES AT \$15.307 CUSIP 13054D109 7928044	170.000	3.40	2,598.72	-2,195.94	402.78
	TOTAL FOR ASSET	170.000	3.40	2,598.72	-2,195.94	402.78
2/13/09	CAMERON INTL CORP COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 2/19/09 300 SHARES AT \$21.98 CUSIP 13342B105 1102734	300.000	3.00	6,590.96	-14,261.13	-7,670.17
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 5/18/09 200 SHARES AT \$27.28 CUSIP 13342B105 1102734	200.000	2.00	5,453.85	-9,507.42	-4,053.57
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.08 SETTLEMENT DATE 7/27/09 100 SHARES AT \$29.89 CUSIP 13342B105 1102734	100.000	1.00	2,987.92	-4,753.71	-1,765.79

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.27 SETTLEMENT DATE 8/17/09 300 SHARES AT \$33.8905 CUSIP 13342B105 1102734	300.000	3.00	10,163.88	-14,138.86	-3,974.98
9/10/09	SOLD THROUGH UBS WARBURG ON THE NEW YORK STOCK EXCHANGE MISC 1.05 SETTLEMENT DATE 9/15/09 1,100 SHARES AT \$36.8474 CUSIP 13342B105 1102734	1,100.000	44.00	40,487.09	-48,799.98	-8,312.89
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.41 SETTLEMENT DATE 11/17/09 400 SHARES AT \$39.9601 CUSIP 13342B105 1102734	400.000	4.00	15,979.63	-16,515.60	-535.97
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 1/22/10 200 SHARES AT \$41.6101 CUSIP 13342B105 1102734	200.000	2.00	8,319.91	-8,257.80	62.11
	TOTAL FOR ASSET	2,600.000	59.00	89,983.24	-116,234.50	-26,251.26
	CANADIAN SOLAR INC CDA COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/06/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/11/09 100 SHARES AT \$9.1894 CUSIP 136635109 7928044	100.000	2.00	916.91	-1,053.00	-136.09
6/04/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/09/09 90 SHARES AT \$15.0993 CUSIP 136635109 7928044	90.000	1.80	1,357.10	-947.70	409.40
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$13.63 CUSIP 136635109 7928044	10.000	0.20	136.09	-105.30	30.79
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.39 SETTLEMENT DATE 10/09/09 875 SHARES AT \$16.90 CUSIP 136635109 7928044	875.000	8.75	14,778.36	-9,213.75	5,564.61
TOTAL FOR ASSET		1,075.000	12.75	17,188.46	-11,319.75	5,868.71
CARDIONET INC COM						

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 3/03/09 30 SHARES AT \$25.881 CUSIP 14159L103 7928044	30.000	1.20	775.22	-645.00	130.22
3/05/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 3/10/09 30 SHARES AT \$24.2951 CUSIP 14159L103 7928044	30.000	1.20	727.64	-645.00	82.64
3/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 3/31/09 40 SHARES AT \$29.0893 CUSIP 14159L103 7928044	40.000	1.60	1,161.96	-860.00	301.96
4/07/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 4/13/09 10 SHARES AT \$25.9189 CUSIP 14159L103 7928044	10.000	0.20	258.98	-215.00	43.98
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$17.73 CUSIP 14159L103 7928044	10.000	0.20	177.09	-215.00	-37.91

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/13/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.08 SETTLEMENT DATE 7/16/09 490 SHARES AT \$5.8711 CUSIP 14159L103 7928044	490.000	19.60	2,857.16	-9,061.59	-6,184.43
	TOTAL FOR ASSET	610.000	24.00	5,958.05	-11,621.59	-5,663.54
10/06/09	CARRIZO OIL & GAS INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.06 SETTLEMENT DATE 10/09/09 90 SHARES AT \$25.64 CUSIP 144577103 7928044	90.000	0.90	2,306.64	-1,991.92	314.72
	TOTAL FOR ASSET	90.000	0.90	2,306.64	-1,991.92	314.72
2/2/09	CATALYST HEALTH SOLUTIONS INC COM SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/12/09 25 SHARES AT \$24.1664 CUSIP 14888B103 7928044	25.000	0.50	603.65	-470.10	133.55
2/25/09	SOLD THROUGH U.S. BANCORP PIPER JAFFRAY INC ON THE OVER THE COUNTER MISC 0.02 SETTLEMENT DATE 3/02/09 100 SHARES AT \$22.1716 CUSIP 14888B103 7928044	100.000	4.00	2,213.14	-1,880.40	332.74

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/03/09 20 SHARES AT \$21.5197 CUSIP 148888103 7928044	20.000	0.40	429.98	-317.11	112.87
7/28/09	SOLD THROUGH LIQUIDNET INC MISC 0.11 SETTLEMENT DATE 7/31/09 155 SHARES AT \$25.6759 CUSIP 148888103 7928044	155.000	3.10	3,976.55	-2,551.42	1,425.13
1/25/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.26 SETTLEMENT DATE 1/28/10 506 SHARES AT \$40.1416 CUSIP 148888103 7928044	506.000	25.30	20,286.09	-14,517.14	5,768.95
1/26/10	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.46 SETTLEMENT DATE 1/29/10 901 SHARES AT \$39.767 CUSIP 148888103 7928044	901.000	45.05	35,786.56	-25,846.99	9,937.57
TOTAL FOR ASSET		1,707.000	78.35	63,293.97	-45,583.16	17,710.81
CHART INDS INC COM						

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$7.00 CUSIP 16115Q308 7928044	10.000	0.20	69.79	-168.80	-99.01
3/18/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.03 SETTLEMENT DATE 3/23/09 565 SHARES AT \$7.1093 CUSIP 16115Q308 7928044	565.000	22.60	3,994.12	-8,645.11	-4,650.99
10/06/09	TOTAL FOR ASSET CHATTEN INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 10/09/09 85 SHARES AT \$65.61 CUSIP 162456107 7928044	575.000	22.80	4,063.91	-8,813.91	-4,750.00
		85.000	0.85	5,575.85	-5,618.31	-42.46
2/18/09	TOTAL FOR ASSET CHEESECAKE FACTORY INC COM SOLD THROUGH WACHOVIA SECURITIES CAPITAL MISC 0.01 SETTLEMENT DATE 2/23/09 150 SHARES AT \$7.7786 CUSIP 163072101 7928044	85.000	0.85	5,575.85	-5,618.31	-42.46
		150.000	6.00	1,160.78	-1,441.06	-280.28

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
0/09	TOTAL FOR ASSET	150.000	6.00	1,160.78	-1,441.06	-280.28
	CHEMSPEC INTL LTD ADR					
	SOLD					
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SM					
	ON THE OVER THE COUNTER					
	MISC 0.01	10.000	0.20	93.59	-90.00	3.59
	SETTLEMENT DATE 8/04/09					
	10 SHARES AT \$9.38					
	CUSIP 163868102					
	7928044					
10/06/09	TOTAL FOR ASSET	1,680.000	16.80	11,215.89	-15,047.57	-3,831.68
	SOLD					
	THROUGH BAYPOINT TRADING LLC					
	ON THE NEW YORK STOCK EXCHANGE					
	MISC 0.29					
	SETTLEMENT DATE 10/09/09					
	1,680 SHARES AT \$6.6863					
	CUSIP 163868102					
	7928044					
10/06/09	TOTAL FOR ASSET	1,690.000	17.00	11,309.48	-15,137.57	-3,828.09
	CHINA NAT GAS INC COM NEW					
	SOLD					
	THROUGH BAYPOINT TRADING LLC					
	ON THE OVER THE COUNTER					
	MISC 0.19	600.000	6.00	7,115.81	-5,250.00	1,865.81
	SETTLEMENT DATE 10/09/09					
	600 SHARES AT \$11.87					
	CUSIP 168910206					
	7928044					
10/06/09	TOTAL FOR ASSET	600.000	6.00	7,115.81	-5,250.00	1,865.81
	CHINA DIGITAL TV HLDG CO LTD ADR					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$6.56 CUSIP 169386107 7928044	10.000	0.20	65.19	-80.34	-15.15
5/01/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 5/06/09 230 SHARES AT \$10.1911 CUSIP 169386107 7928044	230.000	4.60	2,339.28	-1,847.79	491.49
5/08/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/13/09 90 SHARES AT \$11.1458 CUSIP 169386107 7928044	90.000	1.80	1,001.29	-723.05	278.24
5/14/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 5/19/09 295 SHARES AT \$7.9533 CUSIP 169386107 7928044	295.000	5.90	2,340.25	-2,370.00	-29.75
	TOTAL FOR ASSET	625.000	12.50	5,746.01	-5,021.18	724.83

CHIPOTLE MEXICAN GRILL INC CL A COM

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$54.53 CUSIP 169656105 7928044	10.000	0.20	545.09	-427.63	117.46
4/03/09	SOLD THROUGH BUCKINGHAM RESEARCH GROUP INC ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 4/08/09 20 SHARES AT \$70.3928 CUSIP 169656105 7928044	20.000	0.80	1,407.05	-855.26	551.79
4/08/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 4/14/09 20 SHARES AT \$69.1491 CUSIP 169656105 7928044	20.000	0.40	1,382.54	-855.26	527.28
6/3/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 4/16/09 20 SHARES AT \$73.306 CUSIP 169656105 7928044	20.000	0.40	1,465.68	-855.26	610.42
4/15/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 4/20/09 20 SHARES AT \$74.2991 CUSIP 169656105 7928044	20.000	0.40	1,485.54	-855.26	630.28

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/16/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/21/09 10 SHARES AT \$78.7505 CUSIP 169656105 7928044	10.000	0.20	787.28	-427.63	359.65
4/22/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 4/27/09 15 SHARES AT \$85.1117 CUSIP 169656105 7928044	15.000	0.30	1,276.34	-641.44	634.90
4/29/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/04/09 10 SHARES AT \$83.0812 CUSIP 169656105 7928044	10.000	0.20	830.58	-427.63	402.95
6/24/09	SOLD THROUGH INSTINET MISC 0.26 SETTLEMENT DATE 6/29/09 125 SHARES AT \$79.863 CUSIP 169656105 7928044	125.000	2.50	9,980.12	-5,345.37	4,634.75
	TOTAL FOR ASSET	250.000	5.40	19,160.22	-10,690.74	8,469.48
	CIENA CORP COM NEW					
4/01/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.01 SETTLEMENT DATE 4/06/09 100 SHARES AT \$8.1717 CUSIP 171779309 7928044	100.000	4.00	813.16	-827.00	-13.84

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.60 SETTLEMENT DATE 10/09/09 1,710 SHARES AT \$13.49 CUSIP 171779309 7928044	1,710.000	17.10	23,050.20	-17,059.02	5,991.18
	TOTAL FOR ASSET	1,810.000	21.10	23,863.36	-17,886.02	5,977.34
2/26/09	CLEARWIRE CORP CL A NEW SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 40 SHARES AT \$3.15 CUSIP 18538Q105 7928044	40.000	0.80	125.19	-296.80	-171.61
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 20 SHARES AT \$4.46 CUSIP 18538Q105 7928044	20.000	0.40	88.79	-148.40	-59.61
7/14/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 7/17/09 85 SHARES AT \$6.0752 CUSIP 18538Q105 7928044	85.000	1.70	514.67	-630.70	-116.03

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/14/09	SOLD THROUGH LIQUIDNET INC MISC 0.03 SETTLEMENT DATE 7/17/09 145 SHARES AT \$6.095 CUSIP 18538Q105 7928044	145.000	2.90	880.85	-1,075.90	-195.05
7/20/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/23/09 170 SHARES AT \$7.0653 CUSIP 18538Q105 7928044	170.000	3.40	1,197.66	-1,261.40	-63.74
7/21/09	SOLD THROUGH LIQUIDNET INC MISC 0.04 SETTLEMENT DATE 7/24/09 200 SHARES AT \$7.1574 CUSIP 18538Q105 7928044	200.000	4.00	1,427.44	-1,484.00	-56.56
7/22/09	SOLD THROUGH LIQUIDNET INC MISC 0.08 SETTLEMENT DATE 7/27/09 360 SHARES AT \$7.7172 CUSIP 18538Q105 7928044	360.000	7.20	2,770.91	-2,671.20	99.71
7/23/09	SOLD THROUGH PACIFIC CREST SECS ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 7/28/09 200 SHARES AT \$7.9499 CUSIP 18538Q105 7928044	200.000	8.00	1,581.93	-1,484.00	97.93

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/24/09	SOLD THROUGH INSTINET MISC 0.29 SETTLEMENT DATE 7/29/09 1,410 SHARES AT \$7.8224 CUSIP 18538Q105 7928044	1,410.000	28.20	11,001.09	-9,361.35	1,639.74
7/27/09	SOLD THROUGH INSTINET MISC 0.12 SETTLEMENT DATE 7/30/09 545 SHARES AT \$7.9924 CUSIP 18538Q105 7928044	545.000	10.90	4,344.84	-2,290.70	2,054.14
7/27/09	SOLD THROUGH MORGAN STANLEY&CO INC PROGRAM MISC 0.11 SETTLEMENT DATE 7/30/09 490 SHARES AT \$8.0015 CUSIP 18538Q105 7928044	490.000	19.60	3,901.03	-2,289.66	1,611.37
	TOTAL FOR ASSET	3,665.000	87.10	27,834.40	-22,994.11	4,840.29
	COBIZ INC COM					
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER&SH MISC 0.01 SETTLEMENT DATE 3/03/09 30 SHARES AT \$5.051 CUSIP 190897108 7928044	30.000	0.60	150.92	-146.58	4.34

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$6.92 CUSIP 190897108 7928044	10.000	0.20	68.99	-48.86	20.13
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 20 SHARES AT \$4.63 CUSIP 190897108 7928044	20.000	0.40	92.19	-97.72	-5.53
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.44 SETTLEMENT DATE 10/09/09 3,370 SHARES AT \$5.00 CUSIP 190897108 7928044	3,370.000	33.70	16,815.86	-16,078.07	737.79
	TOTAL FOR ASSET	3,430.000	34.90	17,127.96	-16,371.23	756.73
	COCA-COLA CO COM					
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 2/19/09 400 SHARES AT \$43.90 CUSIP 191216100 1102734	400.000	4.00	17,555.90	-19,180.08	-1,624.18

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.23 SETTLEMENT DATE 5/18/09 200 SHARES AT \$43.68 CUSIP 191216100 1102734	200.000	2.00	8,733.77	-9,554.93	-821.16
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.26 SETTLEMENT DATE 7/27/09 200 SHARES AT \$49.58 CUSIP 191216100 1102734	200.000	2.00	9,913.74	-9,519.82	393.92
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.26 SETTLEMENT DATE 8/17/09 200 SHARES AT \$48.9801 CUSIP 191216100 1102734	200.000	2.00	9,793.76	-9,519.82	273.94
10/2/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.58 SETTLEMENT DATE 11/17/09 400 SHARES AT \$56.0601 CUSIP 191216100 1102734	400.000	4.00	22,411.46	-19,039.64	3,371.82
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 1/22/10 200 SHARES AT \$56.3626 CUSIP 191216100 1102734	200.000	2.00	11,270.37	-9,519.82	1,750.55

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	TOTAL FOR ASSET	1,600.000	16.00	79,679.00	-76,334.11	3,344.89
	COGO GROUP INC COM					
	SOLD					
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH					
	MISC 0.01	10.000	0.20	66.19	-60.91	5.28
	SETTLEMENT DATE 8/04/09					
	10 SHARES AT \$6.64					
	CUSIP 192448108					
	7928044					
10/06/09	TOTAL FOR ASSET	1,370.000	13.70	8,509.67	-8,779.36	-189.69
	THROUGH BAYPOINT TRADING LLC					
	ON THE OVER THE COUNTER					
	MISC 0.23					
	SETTLEMENT DATE 10/09/09					
	1,370 SHARES AT \$6.28					
	CUSIP 192448108					
	7928044					
4/15/09	TOTAL FOR ASSET	1,380.000	13.90	8,655.86	-8,840.27	-184.41
	COLFAX CORP COM					
	SOLD					
	THROUGH INSTINET					
	MISC 0.05	250.000	5.00	1,585.45	-2,453.98	-868.53
	SETTLEMENT DATE 4/17/09					
	250 SHARES AT \$6.362					
	CUSIP 194014106					
	7928044					
	TOTAL FOR ASSET	250.000	5.00	1,585.45	-2,453.98	-868.53
	COLGATE PALMOLIVE CO COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 2/19/09 100 SHARES AT \$63.19 CUSIP 194162103 1102734	100.000	1.00	6,317.96	-5,753.15	564.81
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.17 SETTLEMENT DATE 5/18/09 100 SHARES AT \$64.125 CUSIP 194162103 1102734	100.000	1.00	6,411.33	-5,753.15	658.18
7/10/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 1.84 SETTLEMENT DATE 7/15/09 1,000 SHARES AT \$71.5631 CUSIP 194162103 1102734	1,000.000	40.00	71,521.26	-57,692.18	13,829.08
7/27/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.20 SETTLEMENT DATE 7/27/09 100 SHARES AT \$74.0602 CUSIP 194162103 1102734	100.000	1.00	7,404.82	-5,740.78	1,664.04
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.42 SETTLEMENT DATE 11/17/09 200 SHARES AT \$81.0001 CUSIP 194162103 1102734	200.000	2.00	16,197.60	-11,481.56	4,716.04

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 1/22/10 100 SHARES AT \$80.61 CUSIP 194162103 1102734	100.000	1.00	8,059.89	-5,740.78	2,319.11
	TOTAL FOR ASSET	1,600.000	46.00	115,912.86	-92,161.60	23,751.26
	COMMVAULT SYSTEMS INC COM					
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$10.97 CUSIP 204166102 7928044	10.000	0.20	109.49	-94.77	14.72
3/09/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.01 SETTLEMENT DATE 3/12/09 19 SHARES AT \$10.2883 CUSIP 204166102 7928044	19.000	0.76	194.71	-180.06	14.65
3/09/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/12/09 41 SHARES AT \$10.2086 CUSIP 204166102 7928044	41.000	0.82	417.72	-388.55	29.17

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/13/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 5/18/09 60 SHARES AT \$12.3432 CUSIP 204166102 7928044	60.000	1.20	739.37	-568.61	170.76
5/28/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/02/09 90 SHARES AT \$12.1388 CUSIP 204166102 7928044	90.000	1.80	1,090.66	-852.92	237.74
6/05/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/10/09 70 SHARES AT \$15.5355 CUSIP 204166102 7928044	70.000	1.40	1,086.06	-663.38	422.68
6/17/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/22/09 50 SHARES AT \$16.8337 CUSIP 204166102 7928044	50.000	1.00	840.66	-673.85	366.81
6/18/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 6/23/09 130 SHARES AT \$16.6529 CUSIP 204166102 7928044	130.000	2.60	2,162.22	-1,306.07	856.15

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.14 SETTLEMENT DATE 10/09/09 255 SHARES AT \$20.48 CUSIP 204166102 7928044	255.000	2.55	5,219.71	-2,906.09	2,313.62
	TOTAL FOR ASSET	725.000	12.33	11,860.60	-7,434.30	4,426.30
2/25/09	COMPLETE PRODTM SVCS INC COM SOLD THROUGH FRIEDMAN BILLINGS & RAMSEY MISC 0.01 SETTLEMENT DATE 3/02/09 325 SHARES AT \$3.4573 CUSIP 20453E109 7928044	325.000	9.75	1,113.86	-3,536.00	-2,422.14
	TOTAL FOR ASSET	325.000	9.75	1,113.86	-3,536.00	-2,422.14
2/25/09	CONSCORE INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 3/03/09 20 SHARES AT \$9.17 CUSIP 20564W105 7928044	20.000	0.40	182.99	-287.82	-104.83
3/04/09	SOLD THROUGH BARCLAYS CAPITAL LE ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/09/09 80 SHARES AT \$9.1071 CUSIP 20564W105 7928044	80.000	3.20	725.36	-1,151.27	-425.91

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/14/09	SOLD THROUGH PACIFIC CREST SECS ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 4/17/09 70 SHARES AT \$13.5124 CUSIP 20564W105 7928044	70.000	2.80	943.04	-1,007.36	-64.32
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$12.54 CUSIP 20564W105 7928044	10.000	0.20	125.19	-143.91	-18.72
8/11/09	SOLD THROUGH INSTINET MISC 0.17 SETTLEMENT DATE 8/14/09 470 SHARES AT \$14.07 CUSIP 20564W105 7928044	470.000	9.40	6,603.33	-6,159.90	443.43
	TOTAL FOR ASSET	650.000	16.00	8,579.91	-8,750.26	-170.35
	COMSTOCK RES INC COM NEW					
5/07/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 5/12/09 40 SHARES AT \$42.3673 CUSIP 205768203 7928044	40.000	0.80	1,693.84	-1,570.00	123.84

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/18/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/21/09 30 SHARES AT \$37.7331 CUSIP 205768203 7928044	30.000	0.60	1,131.36	-1,177.50	-46.14
5/26/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/29/09 30 SHARES AT \$37.2068 CUSIP 205768203 7928044	30.000	0.60	1,115.57	-1,177.50	-61.93
5/28/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/02/09 30 SHARES AT \$38.6916 CUSIP 205768203 7928044	30.000	0.60	1,160.12	-1,177.50	-17.38
6/01/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/04/09 30 SHARES AT \$41.3298 CUSIP 205768203 7928044	30.000	0.60	1,239.25	-1,209.04	30.21
6/04/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 6/09/09 40 SHARES AT \$39.6522 CUSIP 205768203 7928044	40.000	0.80	1,585.24	-1,696.17	-110.93
TOTAL FOR ASSET CONNED CORP COM		200.000	4.00	7,925.38	-8,007.71	-82.33

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/01/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/07/09 80 SHARES AT \$15.7734 CUSIP 207410101 7928044	80.000	1.60	1,260.23	-1,050.91	209.32
7/21/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 7/24/09 150 SHARES AT \$16.8944 CUSIP 207410101 7928044	150.000	3.00	2,531.09	-1,951.34	579.75
	TOTAL FOR ASSET	230.000	4.60	3,791.32	-3,002.25	789.07
2/13/09	COSTCO WHOLESALE CORP COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.08 SETTLEMENT DATE 2/19/09 300 SHARES AT \$43.16 CUSIP 22160K105 1102734	300.000	3.00	12,944.92	-15,598.68	-2,653.76
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 5/18/09 200 SHARES AT \$45.49 CUSIP 22160K105 1102734	200.000	2.00	9,095.76	-10,399.12	-1,303.36

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 7/27/09 100 SHARES AT \$48.81 CUSIP 22160K105 1102734	100.000	1.00	4,879.87	-5,199.56	-319.69
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 8/17/09 100 SHARES AT \$49.275 CUSIP 22160K105 1102734	100.000	1.00	4,926.37	-5,452.00	-525.63
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.46 SETTLEMENT DATE 11/17/09 300 SHARES AT \$60.1201 CUSIP 22160K105 1102734	300.000	3.00	18,032.57	-16,356.00	1,676.57
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.16 SETTLEMENT DATE 1/22/10 200 SHARES AT \$59.0725 CUSIP 22160K105 1102734	200.000	2.00	11,812.34	-10,904.00	908.34
	TOTAL FOR ASSET	1,200.000	12.00	61,691.83	-63,909.36	-2,217.53
	CREE INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$20.38 CUSIP 225447101 7928044	10.000	0.20	203.59	-190.60	12.99
4/14/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/17/09 30 SHARES AT \$27.4505 CUSIP 225447101 7928044	30.000	0.60	822.89	-571.80	251.09
5/20/09	SOLD THROUGH INSTINET MISC 0.21 SETTLEMENT DATE 5/26/09 285 SHARES AT \$28.0153 CUSIP 225447101 7928044	285.000	5.70	7,978.45	-4,952.09	3,026.36
8/07/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 8/12/09 50 SHARES AT \$31.4403 CUSIP 225447101 7928044	50.000	1.00	1,570.97	-1,573.04	-2.07
8/27/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 9/01/09 40 SHARES AT \$36.6399 CUSIP 225447101 7928044	40.000	0.80	1,464.76	-1,258.43	206.33

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/31/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 9/03/09 40 SHARES AT \$36.7408 CUSIP 225447101 7928044	40.000	0.80	1,468.79	-1,258.43	210.36
9/01/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 9/04/09 70 SHARES AT \$36.5619 CUSIP 225447101 7928044	70.000	1.40	2,557.86	-2,202.26	355.60
9/02/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 9/08/09 40 SHARES AT \$36.0584 CUSIP 225447101 7928044	40.000	0.80	1,441.50	-1,258.43	183.07
9/03/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 9/09/09 70 SHARES AT \$36.3263 CUSIP 225447101 7928044	70.000	1.40	2,541.37	-2,202.26	339.11
9/09/09	SOLD THROUGH PACIFIC CREST SECS ON THE OVER THE COUNTER MISC 0.16 SETTLEMENT DATE 9/14/09 160 SHARES AT \$37.5516 CUSIP 225447101 7928044	160.000	6.40	6,001.70	-5,047.83	953.87
	TOTAL FOR ASSET	795.000	19.10	26,051.88	-20,515.17	5,536.71

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
	CROCS INC COM					
4/06/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, MISC 0.01 SETTLEMENT DATE 4/09/09 300 SHARES AT \$1.6112 CUSIP 227046109 7928044	300.000	3.00	480.35	-611.58	-131.23
4/06/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 4/09/09 300 SHARES AT \$1.6468 CUSIP 227046109 7928044	300.000	3.00	491.03	-611.58	-120.55
4/07/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/13/09 700 SHARES AT \$1.4874 CUSIP 227046109 7928044	700.000	7.00	1,034.15	-1,427.02	-392.87
4/07/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.02 SETTLEMENT DATE 4/13/09 300 SHARES AT \$1.498 CUSIP 227046109 7928044	300.000	3.00	446.38	-611.58	-165.20
4/08/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/14/09 590 SHARES AT \$1.4616 CUSIP 227046109 7928044	590.000	5.90	856.41	-1,202.78	-346.37

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/11/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/16/09 270 SHARES AT \$4.3485 CUSIP 227046109 7928044	270.000	5.40	1,168.66	-550.42	618.24
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 20 SHARES AT \$3.71 CUSIP 227046109 7928044	20.000	0.40	73.79	-40.77	33.02
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 20 SHARES AT \$3.56 CUSIP 227046109 7928044	20.000	0.40	70.79	-40.77	30.02
8/05/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/10/09 330 SHARES AT \$3.9998 CUSIP 227046109 7928044	330.000	6.60	1,313.29	-672.74	640.55
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.44 SETTLEMENT DATE 10/09/09 2,530 SHARES AT \$6.7067 CUSIP 227046109 7928044	2,530.000	25.30	16,942.21	-3,947.98	12,994.23
TOTAL FOR ASSET		5,360.000	60.00	22,877.06	-9,717.22	13,159.84

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/04/09	CRYOLIFE INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.05 SETTLEMENT DATE 11/09/09 328 SHARES AT \$5.7733 CUSIP 228903100 7928044	328.000	6.56	1,887.03	-2,679.76	-792.73
11/05/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.07 SETTLEMENT DATE 11/10/09 403 SHARES AT \$5.8242 CUSIP 228903100 7928044	403.000	8.06	2,339.02	-3,292.51	-953.49
11/09/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.04 SETTLEMENT DATE 11/13/09 218 SHARES AT \$5.9337 CUSIP 228903100 7928044	218.000	4.36	1,289.15	-1,781.06	-491.91
11/10/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.03 SETTLEMENT DATE 11/16/09 177 SHARES AT \$5.5985 CUSIP 228903100 7928044	177.000	3.54	987.36	-1,446.09	-458.73
	TOTAL FOR ASSET	1,126.000	22.52	6,502.56	-9,199.42	-2,696.86
	CUBIST PHARMACEUTICALS INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/03/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 4/08/09 25 SHARES AT \$19.5163 CUSIP 229678107 7928044	25.000	0.50	487.40	-540.28	-52.88
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$17.01 CUSIP 229678107 7928044	10.000	0.20	169.89	-216.11	-46.22
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$18.34 CUSIP 229678107 7928044	10.000	0.20	183.19	-216.11	-32.92
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$20.18 CUSIP 229678107 7928044	10.000	0.20	201.59	-216.11	-14.52
9/11/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.05 SETTLEMENT DATE 9/16/09 80 SHARES AT \$21.9232 CUSIP 229678107 7928044	80.000	3.20	1,750.61	-1,728.90	21.71

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 1.14 SETTLEMENT DATE 10/09/09 2,270 SHARES AT \$19.45 CUSIP 229678107 7928044	2,270.000	22.70	44,127.66	-44,431.29	-303.63
	TOTAL FOR ASSET	2,405.000	27.00	46,920.34	-47,348.80	-428.46
10/06/09	CUMBERLAND PHARMACEUTICALS I COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.45 SETTLEMENT DATE 10/09/09 1,120 SHARES AT \$15.35 CUSIP 230770109 7928044	1,120.000	11.20	17,180.35	-18,971.78	-1,791.43
	TOTAL FOR ASSET	1,120.000	11.20	17,180.35	-18,971.78	-1,791.43
10/06/09	D6 FASTCHANNEL INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.19 SETTLEMENT DATE 11/16/09 268 SHARES AT \$26.8175 CUSIP 23326R109 7928044	268.000	5.36	7,181.54	-5,697.60	1,483.94

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/11/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 11/16/09 156 SHARES AT \$26.4707 CUSIP 23326R109 7928044	156.000	3.12	4,126.20	-3,316.51	809.69
11/12/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 11/17/09 217 SHARES AT \$26.0945 CUSIP 23326R109 7928044	217.000	4.34	5,658.02	-4,613.36	1,044.66
11/13/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 11/18/09 131 SHARES AT \$25.563 CUSIP 23326R109 7928044	131.000	2.62	3,346.04	-2,785.02	561.02
	TOTAL FOR ASSET	772.000	15.44	20,311.80	-16,412.49	3,899.31
	DEALERTRACK HLDGS INC COM					
7/01/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 7/07/09 150 SHARES AT \$16.9144 CUSIP 242309102 7928044	150.000	3.00	2,534.09	-2,284.61	249.48
	TOTAL FOR ASSET	150.000	3.00	2,534.09	-2,284.61	249.48
	DECKERS OUTDOOR CORP COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$53.62 CUSIP 243537107 7928044	10.000	0.20	535.99	-859.26	-323.27
4/23/09	SOLD THROUGH BUCKINGHAM RESEARCH GROUP INC ON THE OVER THE COUNTER MISC 0.06 SETTLEMENT DATE 4/28/09 35 SHARES AT \$62.8545 CUSIP 243537107 7928044	35.000	1.40	2,198.45	-3,007.42	-808.97
7/21/09	SOLD THROUGH INSTINET MISC 0.12 SETTLEMENT DATE 7/24/09 60 SHARES AT \$72.08 CUSIP 243537107 7928044	60.000	1.20	4,323.48	-5,155.58	-832.10
9/0/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.02 SETTLEMENT DATE 8/04/09 10 SHARES AT \$68.99 CUSIP 243537107 7928044	10.000	0.20	689.68	-859.26	-169.58
9/23/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 9/28/09 10 SHARES AT \$84.8873 CUSIP 243537107 7928044	10.000	0.20	848.64	-859.26	-10.62

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.70 SETTLEMENT DATE 10/09/09 340 SHARES AT \$79.02 CUSIP 24823Q107 7928044	340.000	3.40	26,862.70	-21,782.90	5,079.80
	TOTAL FOR ASSET	465.000	6.60	35,458.94	-32,523.68	2,935.26
	DENDREON CORP COM					
6/02/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/05/09 50 SHARES AT \$24.05 CUSIP 24823Q107 7928044	50.000	1.00	1,201.46	-967.71	233.75
	TOTAL FOR ASSET	60.000	1.20	1,468.92	-1,161.25	307.67
	DENDREON CORP COM					
6/03/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/08/09 60 SHARES AT \$24.5026 CUSIP 24823Q107 7928044	60.000	0.80	1,005.39	-858.73	166.66
	TOTAL FOR ASSET	150.000	3.00	3,675.77	-2,967.69	708.08
	DEVON ENERGY CORP NEW COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/11/09	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.13 SETTLEMENT DATE 2/17/09 400 SHARES AT \$54.0157 CUSIP 25179M103 1102734	400.000	16.00	21,590.15	-34,668.44	-13,078.29
2/12/09	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.18 SETTLEMENT DATE 2/18/09 600 SHARES AT \$51.2995 CUSIP 25179M103 1102734	600.000	24.00	30,755.52	-52,697.04	-21,941.52
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 2/19/09 100 SHARES AT \$52.84 CUSIP 25179M103 1102734	100.000	1.00	5,282.97	-9,229.39	-3,946.42
5/05/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.84 SETTLEMENT DATE 5/08/09 600 SHARES AT \$54.2769 CUSIP 25179M103 1102734	600.000	24.00	32,541.30	-52,461.16	-19,919.86
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.16 SETTLEMENT DATE 5/18/09 100 SHARES AT \$61.90 CUSIP 25179M103 1102734	100.000	1.00	6,188.84	-6,314.21	-125.37

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/02/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 1.08 SETTLEMENT DATE 7/09/09 800 SHARES AT \$52.5703 CUSIP 25179M103 1102734	800.000	32.00	42,023.16	-47,797.18	-5,774.02
7/06/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.91 SETTLEMENT DATE 7/09/09 700 SHARES AT \$50.4519 CUSIP 25179M103 1102734	700.000	28.00	35,287.42	-34,691.72	595.70
7/30/09	TOTAL FOR ASSET DICK'S SPORTING GOODS INC COM	3,300.000	126.00	173,669.36	-237,859.14	-64,189.78
10/06/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$20.20 CUSIP 253393102 7928044	10.000	0.20	201.79	-142.90	58.89
	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.94 SETTLEMENT DATE 10/09/09 1,580 SHARES AT \$23.0338 CUSIP 253393102 7928044	1,580.000	15.80	36,376.66	-26,810.18	9,566.48
	TOTAL FOR ASSET DIGITALGLOBE INC COM NEW	1,590.000	16.00	36,578.45	-26,953.08	9,625.37

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED/ GAIN/LOSS
6/25/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 6/30/09 120 SHARES AT \$18.5243 CUSIP 25389M877 7928044	120.000	2.40	2,220.46	-2,280.00	-59.54
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$18.20 CUSIP 25389M877 7928044	10.000	0.20	181.79	-190.00	-8.21
9/15/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 9/18/09 50 SHARES AT \$20.8766 CUSIP 25389M877 7928044	50.000	1.00	1,042.80	-950.00	92.80
9/16/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 9/21/09 20 SHARES AT \$20.9576 CUSIP 25389M877 7928044	20.000	0.40	418.73	-380.00	38.73
9/17/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 9/22/09 45 SHARES AT \$20.9045 CUSIP 25389M877 7928044	45.000	0.90	939.77	-855.00	84.77

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/18/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 9/23/09 105 SHARES AT \$21.0606 CUSIP 25389M877 7928044	105.000	2.10	2,209.20	-1,995.00	214.20
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.24 SETTLEMENT DATE 10/09/09 400 SHARES AT \$22.69 CUSIP 25389M877 7928044	400.000	4.00	9,071.76	-7,998.15	1,073.61
7/22/09	TOTAL FOR ASSET WALT DISNEY CO COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.07 SETTLEMENT DATE 7/27/09 100 SHARES AT \$25.365 CUSIP 254687106 1102734	750.000	11.00	16,084.51	-14,648.15	1,436.36
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.14 SETTLEMENT DATE 8/17/09 200 SHARES AT \$26.3402 CUSIP 254687106 1102734	200.000	2.00	5,265.90	-4,566.50	699.40

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.15 SETTLEMENT DATE 11/17/09 200 SHARES AT #29.0001 CUSIP 254687106 1102734	200.000	2.00	5,797.87	-4,566.50	1,231.37
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.08 SETTLEMENT DATE 1/22/10 200 SHARES AT #30.9504 CUSIP 254687106 1102734	200.000	2.00	6,188.00	-4,566.50	1,621.50
6/16/09	TOTAL FOR ASSET DREAMWORKS ANIMATION INC CL A COM SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/19/09 50 SHARES AT #27.0842 CUSIP 26153C103 7928044	700.000	7.00	19,785.20	-15,982.75	3,802.45
6/22/09	SOLD THROUGH INSTINET MISC 0.15 SETTLEMENT DATE 6/25/09 210 SHARES AT #27.5981 CUSIP 26153C103 7928044	50.000	1.00	1,353.17	-1,176.62	176.55
6/22/09	SOLD THROUGH INSTINET MISC 0.15 SETTLEMENT DATE 6/25/09 210 SHARES AT #27.5981 CUSIP 26153C103 7928044	210.000	4.20	5,791.25	-5,084.33	706.92
	TOTAL FOR ASSET DRUGSTORE.COM INC COM	260.000	5.20	7,144.42	-6,260.95	883.47

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 20 SHARES AT \$1.91 CUSIP 262241102 7928044	20.000	0.40	37.79	-33.36	4.43
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 20 SHARES AT \$1.98 CUSIP 262241102 7928044	20.000	0.40	39.19	-33.36	5.83
9/23/09	SOLD THROUGH LIQUIDNET INC MISC 0.04 SETTLEMENT DATE 9/28/09 550 SHARES AT \$2.621 CUSIP 262241102 7928044	550.000	5.50	1,436.01	-917.51	518.50
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 10/09/09 4,130 SHARES AT \$2.2519 CUSIP 262241102 7928044	4,130.000	41.30	9,258.81	-7,975.58	1,283.23
TOTAL FOR ASSET		4,720.000	47.60	10,771.80	-8,959.81	1,811.99

DUOYUAN GLOBAL WTR INC SPONS ADR

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/24/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 6/29/09 90 SHARES AT \$22.1509 CUSIP 266043108 7928044	90.000	1.80	1,991.72	-1,440.00	551.72
	TOTAL FOR ASSET	90.000	1.80	1,991.72	-1,440.00	551.72
2/26/09	DYNAMIC MATLS CORP COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$9.69 CUSIP 267888105 7928044	10.000	0.20	96.69	-161.58	-64.89
3/18/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/23/09 200 SHARES AT \$8.0162 CUSIP 267888105 7928044	200.000	4.00	1,599.23	-3,231.64	-1,632.41
3/19/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/24/09 90 SHARES AT \$8.143 CUSIP 267888105 7928044	90.000	1.80	731.06	-1,454.24	-723.18
	TOTAL FOR ASSET	300.000	6.00	2,426.98	-4,847.46	-2,420.48
	EAST WEST BANCORP INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 7/22/09 20 SHARES AT \$7.27 CUSIP 27579R104 7928044	20.000	0.40	144.99	-278.98	-133.99
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$8.39 CUSIP 27579R104 7928044	10.000	0.20	83.69	-139.49	-55.80
8/12/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 8/17/09 230 SHARES AT \$10.3952 CUSIP 27579R104 7928044	230.000	4.60	2,386.23	-3,131.13	-744.90
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.58 SETTLEMENT DATE 10/09/09 2,500 SHARES AT \$9.0172 CUSIP 27579R104 7928044	2,500.000	25.00	22,517.42	-17,511.14	5,006.28
	TOTAL FOR ASSET	2,760.000	30.20	25,132.33	-21,060.74	4,071.59
	EMERSON ELEC CO COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.06 SETTLEMENT DATE 2/19/09 300 SHARES AT \$32.23 CUSIP 291011104 1102734	300.000	3.00	9,665.94	-13,307.61	-3,641.67
3/12/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.16 SETTLEMENT DATE 3/17/09 1,000 SHARES AT \$27.1747 CUSIP 291011104 1102734	1,000.000	40.00	27,134.54	-43,249.78	-16,115.24
3/26/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.17 SETTLEMENT DATE 3/31/09 1,000 SHARES AT \$29.2049 CUSIP 291011104 1102734	1,000.000	40.00	29,164.73	-43,491.79	-14,327.06
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 5/18/09 100 SHARES AT \$33.56 CUSIP 291011104 1102734	100.000	1.00	3,354.91	-4,433.95	-1,079.04
5/20/09	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.78 SETTLEMENT DATE 5/26/09 900 SHARES AT \$33.6578 CUSIP 291011104 1102734	900.000	36.00	30,255.24	-43,150.78	-12,895.54

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 7/27/09 100 SHARES AT \$35.1401 CUSIP 291011104 1102734	100.000	1.00	3,512.91	-5,034.92	-1,522.01
8/05/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.81 SETTLEMENT DATE 8/10/09 900 SHARES AT \$34.8202 CUSIP 291011104 1102734	900.000	36.00	31,301.37	-45,280.17	-13,978.80
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 8/17/09 100 SHARES AT \$36.0006 CUSIP 291011104 1102734	100.000	1.00	3,598.96	-5,031.13	-1,432.17
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.11 SETTLEMENT DATE 11/17/09 100 SHARES AT \$41.4001 CUSIP 291011104 1102734	100.000	1.00	4,138.90	-5,031.13	-892.23
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.06 SETTLEMENT DATE 1/22/10 100 SHARES AT \$44.43 CUSIP 291011104 1102734	100.000	1.00	4,441.94	-5,042.82	-600.88

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	TOTAL FOR ASSET	4,600.000	160.00	146,569.44	-213,054.08	-66,484.64
	ENERGY CONVERSION DEVICES INC COM					
	SOLD					
	THROUGH INSTINET					
	MISC 0.05	125.000	2.50	1,618.20	-4,439.62	-2,821.42
2/26/09	SETTLEMENT DATE 7/22/09					
	125 SHARES AT \$12.966					
	CUSIP 292659109					
	7928044					
	TOTAL FOR ASSET	125.000	2.50	1,618.20	-4,439.62	-2,821.42
2/26/09	ENERGY RECOVERY INC COM					
	SOLD					
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SM					
	MISC 0.01	10.000	0.20	67.19	-67.70	-0.51
	SETTLEMENT DATE 3/03/09					
6/22/09	10 SHARES AT \$6.74					
	CUSIP 29270J100					
	7928044					
	SOLD					
	THROUGH LIQUIDNET INC					
5/27/09	MISC 0.01	150.000	3.00	1,148.99	-1,015.50	133.49
	SETTLEMENT DATE 4/07/09					
	150 SHARES AT \$7.68					
	CUSIP 29270J100					
	7928044					
5/27/09	TOTAL FOR ASSET	100.000	2.00	822.88	-677.00	145.88
	ENERGY RECOVERY INC COM					
	SOLD					
	THROUGH INSTINET					
	MISC 0.03					
5/27/09	SETTLEMENT DATE 6/01/09					
	100 SHARES AT \$8.2491					
	CUSIP 29270J100					
	7928044					
	TOTAL FOR ASSET	100.000	2.00	822.88	-677.00	145.88

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 20 SHARES AT \$7.06 CUSIP 29270J100 7928044	20.000	0.40	140.79	-135.40	5.39
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$7.01 CUSIP 29270J100 7928044	10.000	0.20	69.89	-67.70	2.19
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.30 SETTLEMENT DATE 10/09/09 1,940 SHARES AT \$5.9901 CUSIP 29270J100 7928044	1,940.000	19.40	11,601.09	-11,921.00	-319.91
	TOTAL FOR ASSET	2,230.000	25.20	13,850.83	-13,886.30	-33.47
	ENERGYSOLUTIONS INC COM					
3/17/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.01 SETTLEMENT DATE 3/20/09 210 SHARES AT \$7.5111 CUSIP 292756202 7928044	210.000	8.40	1,568.92	-947.10	621.82

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/01/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 4/06/09 165 SHARES AT \$9.65 CUSIP 292756202 7928044	165.000	3.30	1,588.94	-744.15	844.79
	TOTAL FOR ASSET	375.000	11.70	3,157.86	-1,691.25	1,466.61
2/26/09	EVERGREEN SOLAR INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 3/03/09 30 SHARES AT \$1.28 CUSIP 30033R108 7928044	30.000	0.60	37.79	-91.50	-53.71
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 60 SHARES AT \$2.40 CUSIP 30033R108 7928044	60.000	1.20	142.79	-183.00	-40.21
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 40 SHARES AT \$2.36 CUSIP 30033R108 7928044	40.000	0.80	93.59	-122.00	-28.41

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 10/09/09 5,270 SHARES AT \$1.76 CUSIP 30033R108 7928044	5,270.000	52.70	9,222.26	-14,432.67	-5,210.41
	TOTAL FOR ASSET	5,400.000	55.30	9,496.43	-14,829.17	-5,332.74
	FEI CO COM					
7/28/09	SOLD THROUGH LIQUIDNET INC MISC 0.07 SETTLEMENT DATE 7/31/09 110 SHARES AT \$24.2595 CUSIP 30241L109 7928044	110.000	2.20	2,666.28	-1,530.88	1,135.40
7/28/09	SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 7/31/09 120 SHARES AT \$24.3631 CUSIP 30241L109 7928044	120.000	2.40	2,921.09	-2,538.15	382.94
	TOTAL FOR ASSET	230.000	4.60	5,587.37	-4,069.03	1,518.34
	FLUOR CORP COM NEW					
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.08 SETTLEMENT DATE 2/19/09 300 SHARES AT \$42.45 CUSIP 343412102 1102734	300.000	3.00	12,731.92	-17,211.71	-4,479.79

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/03/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.32 SETTLEMENT DATE 4/08/09 1,500 SHARES AT \$37.5639 CUSIP 343412102 1102734	1,500.000	60.00	56,285.53	-90,159.01	-33,873.48
4/29/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.30 SETTLEMENT DATE 5/04/09 300 SHARES AT \$38.3919 CUSIP 343412102 1102734	300.000	12.00	11,505.27	-19,301.76	-7,796.49
4/30/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.81 SETTLEMENT DATE 5/05/09 800 SHARES AT \$39.1816 CUSIP 343412102 1102734	800.000	32.00	31,312.47	-61,211.60	-29,899.13
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.12 SETTLEMENT DATE 5/18/09 100 SHARES AT \$43.57 CUSIP 343412102 1102734	100.000	1.00	4,355.88	-5,510.14	-1,154.26
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.29 SETTLEMENT DATE 8/17/09 200 SHARES AT \$54.96 CUSIP 343412102 1102734	200.000	2.00	10,989.71	-11,020.28	-30.57

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/02/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.66 SETTLEMENT DATE 9/08/09 500 SHARES AT \$51.1934 CUSIP 343412102 1102734	500.000	20.00	25,576.04	-27,550.70	-1,974.66
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.23 SETTLEMENT DATE 11/17/09 200 SHARES AT \$64.25 CUSIP 343412102 1102734	200.000	2.00	8,847.77	-11,020.28	-2,172.51
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.07 SETTLEMENT DATE 1/22/10 100 SHARES AT \$49.1425 CUSIP 343412102 1102734	100.000	1.00	4,913.18	-5,066.62	-153.44
	TOTAL FOR ASSET	4,000.000	133.00	166,517.77	-248,052.10	-81,534.33
	FORWARD AIR CORP COM					
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$22.52 CUSIP 349853101 7928044	10.000	0.20	224.99	-180.99	44.00

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/07/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/12/09 50 SHARES AT \$24.3131 CUSIP 349853101 7928044	50.000	1.00	1,214.62	-904.96	309.66
8/11/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/14/09 60 SHARES AT \$23.8981 CUSIP 349853101 7928044	60.000	1.20	1,432.65	-1,085.95	346.70
8/14/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/19/09 50 SHARES AT \$24.3112 CUSIP 349853101 7928044	50.000	1.00	1,214.52	-904.96	309.56
8/24/09	SOLD THROUGH INSTINET MISC 0.09 SETTLEMENT DATE 8/27/09 130 SHARES AT \$24.1587 CUSIP 349853101 7928044	130.000	2.60	3,137.94	-2,504.26	633.68
TOTAL FOR ASSET		300.000	6.00	7,224.72	-5,581.12	1,643.60

FUEL SYSTEMS SOLUT COM

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/05/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.63 SETTLEMENT DATE 11/10/09 580 SHARES AT \$41.8825 CUSIP 35952W103 7928044	580.000	11.60	24,279.62	-21,123.49	3,156.13
11/19/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.20 SETTLEMENT DATE 11/24/09 151 SHARES AT \$49.7189 CUSIP 35952W103 7928044	151.000	3.02	7,504.33	-5,499.39	2,004.94
	TOTAL FOR ASSET	731.000	14.62	31,783.95	-26,622.88	5,161.07
4/27/09	FUEL-TECH NV USD SHS SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 4/30/09 210 SHARES AT \$13.6478 CUSIP 359523107 7928044	210.000	4.20	2,861.76	-2,419.20	442.56
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 20 SHARES AT \$10.51 CUSIP 359523107 7928044	20.000	0.40	209.79	-230.40	-20.61

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7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$9.112 CUSIP 359523107 7928044	10.000	0.20	90.91	-115.20	-24.29
8/12/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/17/09 120 SHARES AT \$10.6861 CUSIP 359523107 7928044	120.000	2.40	1,279.89	-1,382.40	-102.51
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.26 SETTLEMENT DATE 10/09/09 915 SHARES AT \$10.81 CUSIP 359523107 7928044	915.000	9.15	9,881.74	-9,911.79	-30.05
	TOTAL FOR ASSET	1,275.000	16.35	14,324.09	-14,058.99	265.10
2/26/09	GT SOLAR INTL INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$4.56 CUSIP 3623E0209 7928044	10.000	0.20	45.39	-54.70	-9.31

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/27/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/01/09 160 SHARES AT \$6.4847 CUSIP 3623E0209 7928044	160.000	3.20	1,034.32	-875.20	159.12
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 20 SHARES AT \$6.20 CUSIP 3623E0209 7928044	20.000	0.40	123.59	-109.40	14.19
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.23 SETTLEMENT DATE 10/09/09 1,560 SHARES AT \$5.7273 CUSIP 3623E0209 7928044	1,560.000	15.60	8,918.76	-8,737.70	181.06
	TOTAL FOR ASSET	1,750.000	19.40	10,122.06	-9,777.00	345.06
3/25/09	SSI COMMERCE INC COM SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/30/09 15 SHARES AT \$13.0002 CUSIP 362386102 7928044	15.000	0.30	194.69	-105.17	89.52

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/26/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/31/09 15 SHARES AT \$13.0403 CUSIP 362386102 7928044	15.000	0.30	195.29	-105.17	90.12
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$14.05 CUSIP 362386102 7928044	10.000	0.20	140.29	-70.12	70.17
7/14/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/17/09 80 SHARES AT \$14.9312 CUSIP 362386102 7928044	80.000	1.60	1,192.86	-560.93	631.93
7/21/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 7/24/09 70 SHARES AT \$15.5141 CUSIP 362386102 7928044	70.000	1.40	1,084.56	-594.65	489.91
7/29/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 8/03/09 60 SHARES AT \$16.1162 CUSIP 362386102 7928044	60.000	1.20	965.74	-538.38	427.36

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH LIQUIDNET INC MISC 0.05 SETTLEMENT DATE 8/04/09 90 SHARES AT \$17.9962 CUSIP 362386102 7928044	90.000	1.80	1,617.81	-853.43	764.38
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.36 SETTLEMENT DATE 10/09/09 705 SHARES AT \$19.6728 CUSIP 362386102 7928044	705.000	7.05	13,861.91	-6,250.97	7,610.94
	TOTAL FOR ASSET	1,045.000	13.85	19,253.15	-9,078.82	10,174.33
3/03/09	GAIAM INC SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.01 SETTLEMENT DATE 3/06/09 200 SHARES AT \$2.5848 CUSIP 36288Q103 7928044	200.000	6.00	510.95	-1,490.64	-979.69
3/03/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 3/06/09 100 SHARES AT \$2.5548 CUSIP 36288Q103 7928044	100.000	1.00	254.47	-745.32	-490.85

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/04/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.01 SETTLEMENT DATE 3/09/09 140 SHARES AT \$2.665 CUSIP 36268Q103 7928044	140.000	4.20	368.89	-1,063.45	-674.56
3/06/09	SOLD THROUGH JONES TRADING INSTITUTUAL SVC ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/11/09 160 SHARES AT \$2.45 CUSIP 36268Q103 7928044	160.000	4.80	387.19	-1,192.51	-805.32
3/06/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/11/09 100 SHARES AT \$2.4138 CUSIP 36268Q103 7928044	100.000	2.00	239.37	-745.32	-505.95
	TOTAL FOR ASSET	700.000	18.00	1,760.87	-5,217.24	-3,456.37
	GARTNER INC CL A COM					
7/28/09	SOLD THROUGH INSTINET MISC 0.10 SETTLEMENT DATE 7/31/09 210 SHARES AT \$16.9551 CUSIP 366651107 7928044	210.000	4.20	3,556.27	-3,379.47	176.80
	TOTAL FOR ASSET	210.000	4.20	3,556.27	-3,379.47	176.80
	GERON CORP COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$6.78 CUSIP 374163103 7928044	10.000	0.20	67.59	-47.28	20.31
7/10/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 7/15/09 280 SHARES AT \$7.2409 CUSIP 374163103 7928044	280.000	5.60	2,021.79	-1,346.56	675.23
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$8.79 CUSIP 374163103 7928044	10.000	0.20	87.69	-48.79	38.90
8/18/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 8/21/09 410 SHARES AT \$6.4616 CUSIP 374163103 7928044	410.000	8.20	2,640.99	-2,031.46	609.53
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 10/09/09 1,040 SHARES AT \$6.59 CUSIP 374163103 7928044	1,040.000	10.40	6,843.02	-6,304.36	538.66
TOTAL FOR ASSET		1,750.000	24.60	11,661.08	-9,778.45	1,882.63

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	GILEAD SCIENCES INC COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 2/19/09 300 SHARES AT \$50.52 CUSIP 375558103 1102734	300.000	3.00	15,152.91	-12,335.85	2,817.06
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.12 SETTLEMENT DATE 5/18/09 100 SHARES AT \$46.55 CUSIP 375558103 1102734	100.000	1.00	4,453.88	-4,111.95	341.93
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.25 SETTLEMENT DATE 7/27/09 200 SHARES AT \$46.983 CUSIP 375558103 1102734	200.000	2.00	9,394.35	-8,223.90	1,170.45
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 8/17/09 200 SHARES AT \$46.35 CUSIP 375558103 1102734	200.000	2.00	9,267.76	-8,473.84	793.92

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.48 SETTLEMENT DATE 11/17/09 400 SHARES AT \$46.8501 CUSIP 375558103 1102734	400.000	4.00	18,735.56	-17,447.56	1,288.00
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.12 SETTLEMENT DATE 1/22/10 200 SHARES AT \$46.263 CUSIP 375558103 1102734	200.000	2.00	9,250.48	-8,723.78	526.70
	TOTAL FOR ASSET	1,400.000	14.00	66,254.94	-59,316.88	6,938.06
5/18/09	GOODRICH PETROLEUM CORP COM SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/21/09 80 SHARES AT \$25.8417 CUSIP 382410405 7928044	80.000	1.60	2,065.68	-2,029.60	36.08
5/29/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/03/09 50 SHARES AT \$26.3433 CUSIP 382410405 7928044	50.000	1.00	1,316.13	-1,268.50	47.63

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/02/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/05/09 50 SHARES AT \$30.0879 CUSIP 382410405 7928044	50.000	1.00	1,503.36	-1,268.50	234.86
7/22/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/27/09 75 SHARES AT \$24.9263 CUSIP 382410405 7928044	75.000	1.50	1,867.92	-1,959.96	-92.04
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.16 SETTLEMENT DATE 10/09/09 220 SHARES AT \$27.17 CUSIP 382410405 7928044	220.000	2.20	5,975.04	-5,282.86	692.18
	TOTAL FOR ASSET	475.000	7.30	12,728.13	-11,809.42	918.71
	GOOGLE INC CL A COM					
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 2/19/09 100 SHARES AT \$359.40 CUSIP 38259P508 1102734	100.000	1.00	35,938.79	-34,299.26	1,639.53

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/16/09	SOLD THROUGH RBC CAPITAL MARKETS CORP ON THE OVER THE COUNTER MISC 1.01 SETTLEMENT DATE 7/21/09 90 SHARES AT \$435.591 CUSIP 38259P508 1102734	90.000	3.60	39,198.58	-31,020.88	8,177.70
7/17/09	SOLD THROUGH RBC CAPITAL MARKETS CORP ON THE OVER THE COUNTER MISC 0.56 SETTLEMENT DATE 7/22/09 50 SHARES AT \$430.2791 CUSIP 38259P508 1102734	50.000	2.00	21,511.40	-17,233.82	4,277.58
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 1.46 SETTLEMENT DATE 11/17/09 100 SHARES AT \$569.0201 CUSIP 38259P508 1102734	100.000	1.00	56,899.55	-41,530.98	15,368.57
12/10/09	SOLD THROUGH CREDIT USA ON THE OVER THE COUNTER MISC 0.77 SETTLEMENT DATE 12/15/09 50 SHARES AT \$592.1193 CUSIP 38259P508 1102734	50.000	0.50	29,604.70	-23,119.95	6,484.75
	TOTAL FOR ASSET	390.000	8.10	183,153.02	-147,204.89	35,948.13
	GRAFTECH INTL LTD COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.17 SETTLEMENT DATE 10/09/09 470 SHARES AT \$14.07 CUSIP 384313102 7928044	470.000	4.70	6,608.03	-6,029.15	578.88
	TOTAL FOR ASSET	470.000	4.70	6,608.03	-6,029.15	578.88
	GRAND CANYON ED INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.26 SETTLEMENT DATE 10/09/09 555 SHARES AT \$17.611 CUSIP 38526H106 7928044	555.000	5.55	9,768.30	-9,186.08	582.22
	TOTAL FOR ASSET	555.000	5.55	9,768.30	-9,186.08	582.22
	GRANITE CONSTR INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.22 SETTLEMENT DATE 10/09/09 280 SHARES AT \$29.33 CUSIP 387328107 7928044	280.000	2.80	8,209.38	-9,266.41	-1,057.03
	TOTAL FOR ASSET	280.000	2.80	8,209.38	-9,266.41	-1,057.03
	GREENHILL & CO INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/16/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/21/09 10 SHARES AT \$80.9621 CUSIP 395259104 7928044	10.000	0.20	809.39	-594.62	214.77
4/17/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/22/09 10 SHARES AT \$80.3156 CUSIP 395259104 7928044	10.000	0.20	802.93	-594.62	208.31
5/20/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/26/09 20 SHARES AT \$72.0686 CUSIP 395259104 7928044	20.000	0.40	1,440.93	-1,330.56	110.37
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.02 SETTLEMENT DATE 8/04/09 10 SHARES AT \$74.85 CUSIP 395259104 7928044	10.000	0.20	748.28	-773.34	-25.06
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.85 SETTLEMENT DATE 10/09/09 370 SHARES AT \$89.3843 CUSIP 395259104 7928044	370.000	3.70	33,067.64	-28,447.92	4,619.72
TOTAL FOR ASSET		420.000	4.70	36,869.17	-31,741.06	5,128.11

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	HALLIBURTON CO COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 2/19/09 400 SHARES AT \$18.52 CUSIP 406216101 1102734	400.000	4.00	7,323.95	-12,233.40	-4,909.45
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.12 SETTLEMENT DATE 5/18/09 200 SHARES AT \$21.68 CUSIP 406216101 1102734	200.000	2.00	4,333.88	-6,564.09	-2,230.21
6/18/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.90 SETTLEMENT DATE 6/23/09 1,600 SHARES AT \$21.6674 CUSIP 406216101 1102734	1,600.000	64.00	34,602.94	-56,091.84	-21,488.90
6/25/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 1.33 SETTLEMENT DATE 6/30/09 2,500 SHARES AT \$20.5775 CUSIP 406216101 1102734	2,500.000	100.00	51,342.42	-89,317.54	-37,975.12
7/02/09	SOLD THROUGH DEUTSCHE BANK SECURITIES INC MISC 1.51 SETTLEMENT DATE 7/08/09 3,000 SHARES AT \$19.6017 CUSIP 406216101 1102734	3,000.000	120.00	58,683.59	-130,282.80	-71,599.21

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/06/09	SOLD THROUGH DEUTSCHE BANK SECURITIES INC MISC 0.44 SETTLEMENT DATE 7/09/09 900 SHARES AT \$18.8548 CUSIP 406216101 1102734	900.000	36.00	16,932.88	-39,177.72	-22,244.84
	TOTAL FOR ASSET	8,600.000	326.00	173,219.66	-333,667.39	-160,447.73
	HARMONIC INC COM					
5/05/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/08/09 180 SHARES AT \$6.0756 CUSIP 413160102 7928044	180.000	3.60	1,089.98	-1,215.12	-125.14
9/11/09	SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 9/16/09 420 SHARES AT \$6.8639 CUSIP 413160102 7928044	420.000	8.40	2,874.36	-2,718.45	155.91
11/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.19 SETTLEMENT DATE 11/09/09 1,438 SHARES AT \$5.0872 CUSIP 413160102 7928044	1,438.000	28.76	7,286.44	-8,772.25	-1,485.81

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/05/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 11/10/09 1.094 SHARES AT \$5.0652 CUSIP 413160102 7928044	1,094.000	21.88	5,519.30	-6,815.62	-1,296.32
11/09/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 11/13/09 1.612 SHARES AT \$4.9588 CUSIP 413160102 7928044	1,612.000	32.24	7,961.14	-10,042.76	-2,081.62
	TOTAL FOR ASSET	4,744.000	94.88	24,731.22	-29,564.20	-4,832.98
6/15/09	HEALTH NET INC COM SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$12.76 CUSIP 422226108 7928044	10.000	0.20	127.39	-162.91	-35.52
7/14/09	SOLD THROUGH JEFFERIES & COMPANY MISC 0.03 SETTLEMENT DATE 7/17/09 90 SHARES AT \$12.1257 CUSIP 422226108 7928044	90.000	3.60	1,087.68	-1,466.18	-378.50

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.14 SETTLEMENT DATE 10/09/09 340 SHARES AT \$15.22 CUSIP 42224N101 7928044	340.000	3.40	5,171.26	-5,165.30	5.96
	TOTAL FOR ASSET	440.000	7.20	6,386.33	-6,794.39	-408.06
7/30/09	HEALTHSPRING INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/06/09 10 SHARES AT \$12.86 CUSIP 42224N101 7928044	10.000	0.20	128.39	-83.16	45.23
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.40 SETTLEMENT DATE 10/09/09 1,190 SHARES AT \$12.80 CUSIP 42224N101 7928044	1,190.000	11.90	15,219.70	-11,485.56	3,734.14
	TOTAL FOR ASSET	1,200.000	12.10	15,348.09	-11,568.72	3,779.37
7/21/09	HENRY JACK & ASSOC INC COM SOLD THROUGH INSTINET MISC 0.09 SETTLEMENT DATE 7/26/09 150 SHARES AT \$20.8074 CUSIP 426281101 7928044	150.000	3.00	3,118.02	-2,538.79	579.23

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/09	TOTAL FOR ASSET	150.000	3.00	3,118.02	-2,538.79	579.23
	HERCULES OFFSHORE INC COM					
	SOLD THROUGH LIQUIDNET INC					
	MISC 0.01	100.000	1.00	195.79	-709.00	-513.21
	SETTLEMENT DATE 3/24/09					
	100 SHARES AT \$1.968					
	CUSIP 427093109					
	7928044					
3/19/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER	200.000	4.00	387.99	-1,418.00	-1,030.01
	MISC 0.01					
	SETTLEMENT DATE 3/24/09					
	200 SHARES AT \$1.96					
	CUSIP 427093109					
	7928044					
3/19/09	SOLD THROUGH INSTINET	100.000	2.00	189.19	-709.00	-519.81
	MISC 0.01					
	SETTLEMENT DATE 3/24/09					
	100 SHARES AT \$1.912					
	CUSIP 427093109					
	7928044					
3/23/09	SOLD THROUGH INSTINET	20.000	0.40	38.98	-141.80	-102.82
	MISC 0.01					
	SETTLEMENT DATE 3/26/09					
	20 SHARES AT \$1.9694					
	CUSIP 427093109					
	7928044					

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4/01/09	SOLD THROUGH HIBERNIA SOUTHCOAST CAP INC ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 4/06/09 505 SHARES AT \$1.5993 CUSIP 427093109 7928044	505.000	10.10	797.54	-3,580.45	-2,782.91
	TOTAL FOR ASSET	925.000	17.50	1,609.49	-6,558.25	-4,948.76
2/13/09	HEWLETT-PACKARD CO COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 2/19/09 400 SHARES AT \$35.973 CUSIP 428236103 1102734	400.000	4.00	14,385.11	-13,455.64	929.47
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.27 SETTLEMENT DATE 5/18/09 300 SHARES AT \$34.33 CUSIP 428236103 1102734	300.000	3.00	10,295.73	-10,091.73	204.00
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 7/27/09 200 SHARES AT \$40.5103 CUSIP 428236103 1102734	200.000	2.00	8,099.85	-6,727.82	1,372.03

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.34 SETTLEMENT DATE 8/17/09 300 SHARES AT \$43.95 CUSIP 428236103 1102734	300.000	3.00	13,181.66	-10,091.73	3,089.93
8/28/09	SOLD THROUGH JEFFERIES & COMPANY MISC 0.70 SETTLEMENT DATE 9/02/09 600 SHARES AT \$44.7766 CUSIP 428236103 1102734	600.000	24.00	26,841.26	-20,183.46	6,657.80
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.77 SETTLEMENT DATE 11/17/09 600 SHARES AT \$49.6501 CUSIP 428236103 1102734	600.000	6.00	29,783.29	-20,183.46	9,599.83
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 1/22/10 300 SHARES AT \$52.67 CUSIP 428236103 1102734	300.000	3.00	15,797.79	-10,091.73	5,706.06
	TOTAL FOR ASSET	2,700.000	45.00	118,384.69	-90,825.57	27,559.12
	HEXCEL CORP COM					

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2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$6.50 CUSIP 428291108 7928044	10.000	0.20	64.79	-101.76	-36.97
5/19/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/22/09 110 SHARES AT \$9.9963 CUSIP 428291108 7928044	110.000	2.20	1,097.36	-1,119.33	-21.97
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$10.30 CUSIP 428291108 7928044	10.000	0.20	102.79	-101.76	1.03
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$9.59 CUSIP 428291108 7928044	10.000	0.20	95.69	-101.76	-6.07
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.37 SETTLEMENT DATE 10/09/09 1,275 SHARES AT \$11.03 CUSIP 428291108 7928044	1,275.000	12.75	14,050.13	-12,322.42	1,727.71

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
0/09	TOTAL FOR ASSET	1,415.000	15.55	15,410.76	-13,747.03	1,663.73
	HGREGG INC COM					
	SOLD					
	THROUGH MERRILL LYNCH,PIERCE,FENNER&SM					
	MISC 0.01	10.000	0.20	190.99	-51.10	139.89
	SETTLEMENT DATE 8/04/09					
	10 SHARES AT \$19.12					
	CUSIP 42833L108					
	7928044					
10/06/09	SOLD	585.000	5.85	10,986.01	-5,021.63	5,964.38
	THROUGH BAYPOINT TRADING LLC					
	ON THE NEW YORK STOCK EXCHANGE					
	MISC 0.29					
	SETTLEMENT DATE 10/09/09					
	585 SHARES AT \$18.79					
	CUSIP 42833L108					
	7928044					
	TOTAL FOR ASSET	595.000	6.05	11,177.00	-5,072.73	6,104.27
	HOT TOPIC INC COM					
	SOLD					
	THROUGH MERRILL LYNCH,PIERCE,FENNER&SM					
	ON THE OVER THE COUNTER	20.000	0.40	143.39	-177.10	-33.71
	MISC 0.01					
	SETTLEMENT DATE 7/22/09					
	20 SHARES AT \$7.19					
	CUSIP 441339108					
	7928044					
7/23/09	SOLD	700.000	28.00	5,388.95	-5,999.89	-610.94
	THROUGH U.S. BANCORP PIPER JAFFRAY INC					
	ON THE OVER THE COUNTER					
	MISC 0.14					
	SETTLEMENT DATE 7/28/09					
	700 SHARES AT \$7.7387					
	CUSIP 441339108					
	7928044					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/26/09	SOLD THROUGH U.S. BANCORP PIPER JAFFRAY INC ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 7/29/09 475 SHARES AT \$7.4486 CUSIP 441339108 7928044	475.000	19.00	3,518.99	-3,433.88	85.11
	TOTAL FOR ASSET	1,195.000	47.40	9,051.33	-9,610.87	-559.54
8/27/09	HUMAN GENOME SCIENCES INC COM SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 9/01/09 70 SHARES AT \$19.0439 CUSIP 444903108 7928044	70.000	1.40	1,331.63	-982.94	348.69
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 10/09/09 405 SHARES AT \$19.6249 CUSIP 444903108 7928044	405.000	4.05	7,943.82	-5,677.98	2,265.84
	TOTAL FOR ASSET	475.000	5.45	9,275.45	-6,660.92	2,614.53
2/26/09	HURON CONSULTING GROUP INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$42.05 CUSIP 447462102 7928044	10.000	0.20	420.29	-398.36	21.93

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/03/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 8/06/09 125 SHARES AT \$12.739 CUSIP 447462102 7928044	125.000	2.50	1,589.83	-5,351.53	-3,761.70
	TOTAL FOR ASSET	135.000	2.70	2,010.12	-5,749.89	-3,739.77
	ILLUMINA INC COM					
2/04/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.02 SETTLEMENT DATE 2/09/09 75 SHARES AT \$33.7615 CUSIP 452327109 7928044	75.000	3.00	2,529.09	-1,561.48	967.61
2/06/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 2/11/09 25 SHARES AT \$34.1008 CUSIP 452327109 7928044	25.000	1.00	851.51	-520.49	331.02
2/12/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/18/09 25 SHARES AT \$35.0392 CUSIP 452327109 7928044	25.000	0.50	875.47	-520.94	354.53
2/17/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 2/20/09 25 SHARES AT \$35.5708 CUSIP 452327109 7928044	25.000	1.00	888.26	-520.94	367.32

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/25/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/02/09 15 SHARES AT \$33.4684 CUSIP 452327109 7928044	15.000	0.30	501.72	-312.56	189.16
3/05/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 3/10/09 20 SHARES AT \$32.059 CUSIP 452327109 7928044	20.000	0.80	640.37	-416.75	223.62
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$37.41 CUSIP 452327109 7928044	10.000	0.20	373.89	-208.38	165.51
7/15/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.60 SETTLEMENT DATE 7/20/09 725 SHARES AT \$31.8514 CUSIP 452327109 7928044	725.000	29.00	23,062.67	-21,019.64	2,043.03
TOTAL FOR ASSET IMMERSION CORP COM		920.000	35.80	29,722.98	-25,081.18	4,641.80

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 60 SHARES AT \$4.05 CUSIP 452521107 7928044	60.000	1.20	241.79	-324.88	-83.09
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 30 SHARES AT \$5.05 CUSIP 452521107 7928044	30.000	0.60	150.89	-162.44	-11.55
7/01/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 7/07/09 200 SHARES AT \$3.8602 CUSIP 452521107 7928044	200.000	4.00	768.02	-1,082.94	-314.92
7/01/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 7/07/09 100 SHARES AT \$3.8495 CUSIP 452521107 7928044	100.000	1.00	383.94	-541.47	-157.53
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 20 SHARES AT \$4.43 CUSIP 452521107 7928044	20.000	0.40	88.19	-108.29	-20.10

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 10/09/09 2,390 SHARES AT \$4.45 CUSIP 452521107 7928044	2,390.000	23.90	10,611.32	-12,929.47	-2,318.15
	TOTAL FOR ASSET	2,800.000	31.10	12,264.15	-15,149.49	-2,905.34
	IMMUCOR INC COM					
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$23.28 CUSIP 452526106 7928044	10.000	0.20	232.59	-240.20	-7.61
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$14.75 CUSIP 452526106 7928044	10.000	0.20	147.29	-240.20	-92.91
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 10/09/09 590 SHARES AT \$18.18 CUSIP 452526106 7928044	590.000	5.90	10,720.02	-12,857.02	-2,137.00
	TOTAL FOR ASSET	610.000	6.30	11,099.90	-13,337.42	-2,237.52

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	INTERNET BRANDS INC COM CL A SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.35 SETTLEMENT DATE 10/09/09 1,655 SHARES AT \$7.9979 CUSIP 460608102 7928044	1,655.000	16.55	13,219.62	-10,722.98	2,496.64
	TOTAL FOR ASSET	1,655.000	16.55	13,219.62	-10,722.98	2,496.64
10/06/09	INTERSIL CORP COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.43 SETTLEMENT DATE 10/09/09 1,170 SHARES AT \$14.29 CUSIP 46069S109 7928044	1,170.000	11.70	16,707.17	-16,377.30	329.87
	TOTAL FOR ASSET	1,170.000	11.70	16,707.17	-16,377.30	329.87
8/07/09	INTREPID POTASH COM SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 8/12/09 90 SHARES AT \$25.7017 CUSIP 46121Y102 7928044	90.000	1.80	2,311.29	-2,401.57	-90.28
	TOTAL FOR ASSET	90.000	1.80	2,311.29	-2,401.57	-90.28
	ISHARES TR 1-3 YR TREAS INDEX FD					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/02/09	CAPITAL GAIN DIST. OF CUSIP 464287457 7914624	0.000	0.00	384.46	0.00	384.46
12/01/09	CAPITAL GAIN DIST. OF CUSIP 464287457 7914624	0.000	0.00	1,507.52	0.00	1,507.52
12/01/09	CAPITAL GAIN DIST. OF CUSIP 464287457 7914624	0.000	0.00	374.71	0.00	374.71
1/26/10	SOLD THROUGH BNY BROKERAGE INC (EST) ON THE OVER THE COUNTER MISC 0.85 SETTLEMENT DATE 1/29/10 800 SHARES AT \$83.53 CUSIP 464287457 7914624	800.000	40.00	66,783.15	-67,100.55	-317.40
	TOTAL FOR ASSET	800.000	40.00	69,049.84	-67,100.55	1,949.29
	J CREW GROUP INC COM					
5/29/09	SOLD THROUGH INSTINET MISC 0.29 SETTLEMENT DATE 6/03/09 450 SHARES AT \$24.8059 CUSIP 46612H402 7928044	450.000	9.00	11,153.37	-8,073.00	3,080.37
7/17/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/22/09 50 SHARES AT \$27.6086 CUSIP 46612H402 7928044	50.000	1.00	1,379.39	-897.00	482.39

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/21/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 7/24/09 40 SHARES AT #27.5613 CUSIP 46612H402 7928044	40.000	0.80	1,101.62	-717.60	384.02
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.23 SETTLEMENT DATE 10/09/09 245 SHARES AT #36.25 CUSIP 46612H402 7928044	245.000	2.45	8,878.57	-3,653.75	5,224.82
8/12/09	TOTAL FOR ASSET JP MORGAN CHASE & CO COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.22 SETTLEMENT DATE 8/17/09 200 SHARES AT #42.23 CUSIP 46625H100 1102734	785.000	13.25	22,512.95	-13,341.35	9,171.60
8/12/09	JP MORGAN CHASE & CO COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.22 SETTLEMENT DATE 8/17/09 200 SHARES AT #42.23 CUSIP 46625H100 1102734	200.000	2.00	8,443.78	-7,090.20	1,353.58
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.22 SETTLEMENT DATE 11/17/09 200 SHARES AT #43.6801 CUSIP 46625H100 1102734	200.000	2.00	8,733.80	-7,090.20	1,643.60

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.12 SETTLEMENT DATE 1/22/10 200 SHARES AT \$43.52 CUSIP 66625H100 1102734	200.000	2.00	8,701.88	-7,090.20	1,611.68
	TOTAL FOR ASSET	600.000	6.00	25,879.46	-21,270.60	4,608.86
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$4.98 CUSIP 47102X105 7928044	10.000	0.20	49.59	-79.29	-29.70
6/25/09	SOLD THROUGH IVY SECURITY INC ON THE NEW YORK STOCK EXCHANGE MISC 0.06 SETTLEMENT DATE 6/30/09 190 SHARES AT \$11.32 CUSIP 47102X105 7928044	190.000	7.60	2,143.14	-1,506.51	636.63
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$11.81 CUSIP 47102X105 7928044	10.000	0.20	117.89	-79.29	38.60

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$13.59 CUSIP 47102X105 7928044	10.000	0.20	135.69	-79.29	56.40
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.40 SETTLEMENT DATE 10/09/09 1,125 SHARES AT \$13.83 CUSIP 47102X105 7928044	1,125.000	11.25	15,547.10	-9,485.05	6,062.05
	TOTAL FOR ASSET	1,345.000	19.45	17,993.41	-11,229.43	6,763.98
4/16/09	JEFFERIES GROUP INC NEW COM SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 4/21/09 90 SHARES AT \$14.9837 CUSIP 472319102 7928044	90.000	1.80	1,346.69	-1,317.60	29.09
6/22/09	SOLD THROUGH INSTINET MISC 0.19 SETTLEMENT DATE 6/25/09 360 SHARES AT \$20.4924 CUSIP 472319102 7928044	360.000	7.20	7,369.87	-4,797.16	2,572.71
	TOTAL FOR ASSET	450.000	9.00	8,716.56	-6,114.76	2,601.80
	JETBLUE AIRWAYS CORP COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$5.15 CUSIP 477143101 7928044	10.000	0.20	51.29	-44.34	6.95
8/11/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 8/14/09 510 SHARES AT \$5.3194 CUSIP 477143101 7928044	510.000	10.20	2,702.62	-2,261.55	441.07
9/11/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.08 SETTLEMENT DATE 9/16/09 480 SHARES AT \$6.1427 CUSIP 477143101 7928044	480.000	19.20	2,929.22	-2,073.31	855.91
9/15/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.02 SETTLEMENT DATE 9/18/09 110 SHARES AT \$6.5919 CUSIP 477143101 7928044	110.000	4.40	720.69	-463.00	257.69
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 10/09/09 1,150 SHARES AT \$5.79 CUSIP 477143101 7928044	1,150.000	11.50	6,646.82	-5,213.72	1,433.10
TOTAL FOR ASSET		2,260.000	45.50	13,050.64	-10,055.92	2,994.72

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	JOHNSON CTLS INC COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 2/19/09 300 SHARES AT \$13.54 CUSIP 478366107 1102734	300.000	3.00	4,058.97	-9,180.09	-5,121.12
3/26/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.15 SETTLEMENT DATE 3/31/09 2,000 SHARES AT \$12.9201 CUSIP 478366107 1102734	2,000.000	80.00	25,760.05	-52,342.03	-26,581.98
3/27/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.14 SETTLEMENT DATE 4/01/09 1,900 SHARES AT \$12.5757 CUSIP 478366107 1102734	1,900.000	76.00	23,817.69	-35,882.14	-12,064.45
4/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.06 SETTLEMENT DATE 4/02/09 800 SHARES AT \$11.5627 CUSIP 478366107 1102734	800.000	32.00	9,218.10	-14,683.60	-5,465.50
	TOTAL FOR ASSET	5,000.000	191.00	62,854.81	-112,087.86	-49,233.05
	JONES LANG LASALLE INC COM					

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4/27/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/30/09 30 SHARES AT \$32.3722 CUSIP 48020Q107 7928044	30.000	0.60	970.54	-849.30	121.24
4/28/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/01/09 30 SHARES AT \$32.9104 CUSIP 48020Q107 7928044	30.000	0.60	986.68	-849.30	137.38
8/03/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.18 SETTLEMENT DATE 8/06/09 175 SHARES AT \$38.6432 CUSIP 48020Q107 7928044	175.000	7.00	6,755.38	-4,511.20	2,244.18
2/13/09	TOTAL FOR ASSET JUNIPER NETWORKS INC COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.07 SETTLEMENT DATE 2/19/09 700 SHARES AT \$15.5414 CUSIP 48203R104 1102734	235.000	8.20	8,712.60	-6,209.80	2,502.80
		700.000	7.00	10,871.91	-18,724.73	-7,852.82

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.17 SETTLEMENT DATE 5/18/09 300 SHARES AT \$21.33 CUSIP 48203R104 1102734	300.000	3.00	6,395.83	-7,676.37	-1,280.54
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.14 SETTLEMENT DATE 7/27/09 200 SHARES AT \$25.90 CUSIP 48203R104 1102734	200.000	2.00	5,177.86	-5,117.58	60.28
7/26/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.73 SETTLEMENT DATE 7/29/09 1,100 SHARES AT \$25.7695 CUSIP 48203R104 1102734	1,100.000	44.00	28,301.72	-28,327.61	-25.89
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.27 SETTLEMENT DATE 8/17/09 400 SHARES AT \$25.4501 CUSIP 48203R104 1102734	400.000	4.00	10,175.77	-10,550.92	-375.15
9/24/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.62 SETTLEMENT DATE 9/29/09 900 SHARES AT \$26.5827 CUSIP 48203R104 1102734	900.000	36.00	23,887.81	-23,478.85	408.96

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9/25/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.28 SETTLEMENT DATE 9/30/09 400 SHARES AT \$26.394 CUSIP 48203R104 1102734	400.000	16.00	10,541.32	-10,029.48	511.84
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.25 SETTLEMENT DATE 11/17/09 400 SHARES AT \$24.5201 CUSIP 48203R104 1102734	400.000	4.00	9,803.79	-10,029.48	-225.69
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 1/22/10 300 SHARES AT \$26.81 CUSIP 48203R104 1102734	300.000	3.00	8,039.89	-7,522.11	517.78
10/06/09	TOTAL FOR ASSET K12 INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.18 SETTLEMENT DATE 10/09/09 400 SHARES AT \$16.9525 CUSIP 48273U102 7928064	4,700.000	119.00	113,195.90	-121,457.13	-8,261.23
	TOTAL FOR ASSET KAYDON CORP COM	400.000	4.00	6,776.82	-7,548.13	-771.31

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/12/09	SOLD THROUGH MORGAN STANLEY&CO INC PROGRAM ON THE NEW YORK STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 2/18/09 25 SHARES AT #27.3246 CUSIP 486587108 7928044	25.000	1.00	682.11	-774.75	-92.64
2/26/09	SOLD THROUGH MERRILL LYNCH,PIERCE,FENNER&SM MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT #25.46 CUSIP 486587108 7928044	10.000	0.20	254.39	-309.90	-55.51
3/12/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/17/09 30 SHARES AT #26.2949 CUSIP 486587108 7928044	30.000	0.60	788.24	-929.70	-141.46
3/13/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/18/09 20 SHARES AT #26.7586 CUSIP 486587108 7928044	20.000	0.40	534.76	-619.80	-85.04
3/31/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 4/03/09 100 SHARES AT #27.5781 CUSIP 486587108 7928044	100.000	2.00	2,755.79	-3,099.00	-343.21

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/11/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/14/09 40 SHARES AT \$32.1245 CUSIP 486587108 7928044	40.000	0.80	1,284.14	-1,239.60	44.54
5/18/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/21/09 40 SHARES AT \$32.2758 CUSIP 486587108 7928044	40.000	0.80	1,290.19	-1,239.60	50.59
7/30/09	SOLD THROUGH MERRILL LYNCH,PIERCE,FENNER&SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$34.41 CUSIP 486587108 7928044	10.000	0.20	343.89	-309.90	33.99
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.17 SETTLEMENT DATE 10/09/09 205 SHARES AT \$32.15 CUSIP 486587108 7928044	205.000	2.05	6,588.53	-6,727.64	-139.11
TOTAL FOR ASSET		480.000	8.05	14,522.04	-15,249.89	-727.85

KENDLE INTL INC COM



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/07/09	SOLD THROUGH JEFFERIES & COMPANY ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 4/13/09 40 SHARES AT \$21.8027 CUSIP 4880L107 7928044	40.000	1.60	870.48	-705.62	164.86
4/21/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/24/09 110 SHARES AT \$10.4184 CUSIP 4880L107 7928044	110.000	2.20	1,163.79	-2,105.97	-962.18
	TOTAL FOR ASSET	150.000	3.80	2,014.27	-2,811.59	-797.32
10/06/09	KNOLL INC COM NEW SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.39 SETTLEMENT DATE 10/09/09 1,450 SHARES AT \$10.32 CUSIP 498904200 7928044	1,450.000	14.50	14,949.11	-10,584.63	4,364.48
	TOTAL FOR ASSET	1,450.000	14.50	14,949.11	-10,584.63	4,364.48
7/30/09	KNIGHT TRANSPORTATION INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$17.74 CUSIP 499064103 7928044	10.000	0.20	177.19	-162.10	15.09

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.18 SETTLEMENT DATE 10/09/09 395 SHARES AT \$17.23 CUSIP 499064103 7928044	395.000	3.95	6,801.72	-6,516.63	285.09
	TOTAL FOR ASSET	405.000	4.15	6,978.91	-6,678.73	300.18
2/26/09	KODIAK OIL & GAS CORP CDA COM US SHS SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 3/03/09 30 SHARES AT \$0.2714 CUSIP 50015Q100 7928044	30.000	0.60	7.53	-24.02	-16.49
4/30/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 5/05/09 610 SHARES AT \$0.9172 CUSIP 50015Q100 7928044	610.000	3.05	556.42	-488.43	67.99
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 40 SHARES AT \$1.12 CUSIP 50015Q100 7928044	40.000	0.80	43.99	-32.03	11.96

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 20 SHARES AT \$1.00 CUSIP 50015Q100 7928044	20.000	0.40	19.59	-16.01	3.58
9/10/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.06 SETTLEMENT DATE 9/15/09 1,205 SHARES AT \$1.8597 CUSIP 50015Q100 7928044	1,205.000	36.15	2,204.73	-964.85	1,239.88
9/10/09	SOLD THROUGH JEFFERIES & COMPANY MISC 0.05 SETTLEMENT DATE 9/15/09 815 SHARES AT \$1.957 CUSIP 50015Q100 7928044	815.000	24.45	1,570.46	-652.57	917.89
9/14/09	SOLD THROUGH JEFFERIES & COMPANY MISC 0.04 SETTLEMENT DATE 9/17/09 680 SHARES AT \$2.0483 CUSIP 50015Q100 7928044	680.000	20.40	1,372.40	-544.48	827.92
9/15/09	SOLD THROUGH JEFFERIES & COMPANY MISC 0.04 SETTLEMENT DATE 9/18/09 675 SHARES AT \$2.3017 CUSIP 50015Q100 7928044	675.000	20.25	1,533.36	-411.43	1,121.93
TOTAL FOR ASSET LIFEPOINT HOSPITALS INC COM		4,075.000	106.10	7,308.48	-3,133.82	4,174.66

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.43 SETTLEMENT DATE 10/09/09 580 SHARES AT \$28.2852 CUSIP 53219L109 7928044	580.000	5.80	16,399.19	-14,682.59	1,716.60
	TOTAL FOR ASSET	580.000	5.80	16,399.19	-14,682.59	1,716.60
10/06/09	LIMELIGHT NETWORKS INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 10/09/09 1,080 SHARES AT \$3.78 CUSIP 53261M104 7928044	1,080.000	10.80	4,071.49	-4,306.82	-235.33
	TOTAL FOR ASSET	1,080.000	10.80	4,071.49	-4,306.82	-235.33
10/06/09	LIQUIDITY SVCS INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.07 SETTLEMENT DATE 11/09/09 291 SHARES AT \$8.8217 CUSIP 53635B107 7928044	291.000	5.82	2,561.22	-2,994.19	-432.97

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/10/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.20 SETTLEMENT DATE 11/16/09 903 SHARES AT \$8.4161 CUSIP 53635B107 7928044	903.000	18.06	7,581.48	-9,291.24	-1,709.76
11/11/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 11/16/09 144 SHARES AT \$8.2444 CUSIP 53635B107 7928044	144.000	2.88	1,184.27	-1,481.66	-297.39
	TOTAL FOR ASSET	1,338.000	26.76	11,326.97	-13,767.09	-2,440.12
7/01/09	LOGMEIN INC COM					
	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 7/07/09 110 SHARES AT \$20.5452 CUSIP 54142L109 7928044	110.000	2.20	2,257.71	-1,760.00	497.71
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.22 SETTLEMENT DATE 10/09/09 455 SHARES AT \$18.5505 CUSIP 54142L109 7928044	455.000	4.55	8,435.71	-7,263.46	1,172.25
	TOTAL FOR ASSET	565.000	6.75	10,693.42	-9,023.46	1,669.96
	LONGTOP FINL TECHNOLOGIES LTD ADR					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
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10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.30 SETTLEMENT DATE 10/09/09 410 SHARES AT \$27.99 CUSIP 54318P108 7928044	410.000	4.10	11,471.50	-10,450.62	1,020.88
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TOTAL FOR ASSET						
LULULEMON ATHLETICA INC COM		410.000	4.10	11,471.50	-10,450.62	1,020.88

4/29/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/04/09 70 SHARES AT \$14.7872 CUSIP 550021109 7928044	70.000	1.40	1,033.67	-890.40	143.27
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6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$13.63 CUSIP 550021109 7928044	10.000	0.20	136.09	-127.20	8.89
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7/21/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/26/09 80 SHARES AT \$14.7148 CUSIP 550021109 7928044	80.000	1.60	1,175.54	-1,017.60	157.94
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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/22/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/27/09 110 SHARES AT \$16.1714 CUSIP 550021109 7928044	110.000	2.20	1,776.60	-1,399.20	377.40
7/23/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/28/09 100 SHARES AT \$16.7524 CUSIP 550021109 7928044	100.000	2.00	1,673.19	-1,272.00	401.19
7/24/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 7/29/09 20 SHARES AT \$17.055 CUSIP 550021109 7928044	20.000	0.40	340.69	-254.40	86.29
7/24/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/29/09 80 SHARES AT \$16.8421 CUSIP 550021109 7928044	80.000	1.60	1,345.73	-996.61	349.12
8/04/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.04 SETTLEMENT DATE 8/07/09 70 SHARES AT \$19.5975 CUSIP 550021109 7928044	70.000	2.80	1,368.99	-676.30	692.69

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.22 SETTLEMENT DATE 10/09/09 360 SHARES AT \$23.1838 CUSIP 550021109 7928044	360.000	3.60	8,342.35	-2,541.21	5,801.14
	TOTAL FOR ASSET	900.000	15.80	17,192.85	-9,174.92	8,017.93
	LUMINEX CORP DEL COM					
7/22/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 7/27/09 140 SHARES AT \$16.4078 CUSIP 55027E102 7928044	140.000	2.80	2,294.23	-2,282.27	11.96
	TOTAL FOR ASSET	140.000	2.80	2,294.23	-2,282.27	11.96
	MSCI INC CL A COM					
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 3/03/09 40 SHARES AT \$16.02 CUSIP 553546100 7928044	40.000	0.80	639.99	-709.78	-69.79
	TOTAL FOR ASSET	40.000	1.60	796.06	-709.78	86.28
4/08/09	SOLD THROUGH WACHOVIA SECURITIES CAPITAL ON THE NEW YORK STOCK EXCHANGE MISC 0.03 SETTLEMENT DATE 4/14/09 40 SHARES AT \$19.9423 CUSIP 553546100 7928044	40.000	1.60	796.06	-709.78	86.28

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/13/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.03 SETTLEMENT DATE 4/16/09 50 SHARES AT \$20.5581 CUSIP 553546100 7928044	50.000	2.00	1,025.88	-887.22	138.66
5/05/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 5/08/09 70 SHARES AT \$22.3637 CUSIP 553546100 7928044	70.000	1.40	1,566.01	-1,242.12	323.89
5/06/09	SOLD THROUGH INSTINET MISC 0.10 SETTLEMENT DATE 5/11/09 160 SHARES AT \$22.6776 CUSIP 553546100 7928044	160.000	3.20	3,625.12	-2,839.12	786.00
5/07/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/12/09 100 SHARES AT \$22.413 CUSIP 553546100 7928044	100.000	2.00	2,239.24	-1,774.45	464.79
5/08/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/13/09 50 SHARES AT \$22.9024 CUSIP 553546100 7928044	50.000	1.00	1,144.09	-887.22	256.87

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/11/09	SOLD THROUGH INSTINET MISC 0.09 SETTLEMENT DATE 5/14/09 140 SHARES AT #23.8308 CUSIP 553546100 7928044	140.000	2.80	3,333.42	-2,484.23	849.19
5/12/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/15/09 100 SHARES AT #23.3216 CUSIP 553546100 7928044	100.000	2.00	2,330.10	-1,756.53	573.57
5/14/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 5/19/09 70 SHARES AT #22.3012 CUSIP 553546100 7928044	70.000	1.40	1,559.63	-1,097.19	462.44
5/15/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/20/09 50 SHARES AT #23.1292 CUSIP 553546100 7928044	50.000	1.00	1,155.43	-614.55	540.88
5/18/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/21/09 90 SHARES AT #23.2137 CUSIP 553546100 7928044	90.000	1.80	2,087.37	-1,106.19	981.18

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/09/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/12/09 60 SHARES AT \$23.151 CUSIP 553546100 7928044	60.000	1.20	1,387.82	-811.45	576.37
6/10/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/15/09 50 SHARES AT \$23.2302 CUSIP 553546100 7928044	50.000	1.00	1,160.68	-809.05	351.43
6/16/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/19/09 60 SHARES AT \$23.5005 CUSIP 553546100 7928044	60.000	1.20	1,408.79	-972.97	435.82
6/24/09	SOLD THROUGH LIQUIDNET INC MISC 0.38 SETTLEMENT DATE 6/29/09 610 SHARES AT \$23.79 CUSIP 553546100 7928044	610.000	12.20	14,499.32	-10,572.54	3,926.78
TOTAL FOR ASSET		1,740.000	36.60	39,956.75	-29,274.39	10,682.36
MYR GROUP INC DEL COM						

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$19.94 CUSIP 55405W104 7928044	10.000	0.20	199.19	-175.20	23.99
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.35 SETTLEMENT DATE 10/09/09 685 SHARES AT \$19.8144 CUSIP 55405W104 7928044	685.000	6.85	13,565.66	-13,056.32	509.34
	TOTAL FOR ASSET	695.000	7.05	13,764.85	-13,231.52	533.33
2/26/09	MARCHEX INC CL B COM					
	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$3.79 CUSIP 56624R108 7928044	10.000	0.20	37.69	-73.15	-35.46
4/02/09	SOLD THROUGH JEFFERIES & COMPANY ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 4/07/09 300 SHARES AT \$4.0704 CUSIP 56624R108 7928044	300.000	9.00	1,212.11	-2,194.50	-982.39

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/03/09	SOLD THROUGH JEFFERIES & COMPANY ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 4/08/09 180 SHARES AT \$4.2913 CUSIP 56624R108 7928044	180.000	5.40	767.02	-1,316.70	-549.68
4/09/09	SOLD THROUGH U.S. BANCORP PIPER JAFFRAY INC ON THE OVER THE COUNTER MISC 0.06 SETTLEMENT DATE 4/15/09 510 SHARES AT \$3.9198 CUSIP 56624R108 7928044	510.000	15.30	1,983.74	-3,730.65	-1,746.91
5/05/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/08/09 450 SHARES AT \$4.8551 CUSIP 56624R108 7928044	450.000	9.00	2,175.74	-3,291.75	-1,116.01
5/07/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/12/09 270 SHARES AT \$4.0021 CUSIP 56624R108 7928044	270.000	5.40	1,075.14	-1,975.05	-899.91
7/21/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 7/24/09 175 SHARES AT \$4.1631 CUSIP 56624R108 7928044	175.000	3.50	725.02	-1,280.13	-555.11

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/21/09	SOLD THROUGH LIQUIDNET INC MISC 0.03 SETTLEMENT DATE 7/26/09 215 SHARES AT \$4.1212 CUSIP 56624R108 7928044	215.000	2.15	883.88	-1,572.73	-688.85
7/22/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/27/09 465 SHARES AT \$4.0482 CUSIP 56624R108 7928044	465.000	9.30	1,873.06	-2,049.01	-175.95
10/30/09	TOTAL FOR ASSET MASTEC INC COM	2,575.000	59.25	10,733.40	-17,683.67	-6,750.27
10/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$10.24 CUSIP 576323109 7928044	10.000	0.20	102.19	-120.73	-18.54
10/30/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.18 SETTLEMENT DATE 10/09/09 590 SHARES AT \$11.6908 CUSIP 576323109 7928044	590.000	5.90	6,891.49	-6,782.83	108.66
	TOTAL FOR ASSET MCCORMICK & SCHNICKS SEAFOOD COM	600.000	6.10	6,993.68	-6,903.56	90.12

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/28/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/02/09 150 SHARES AT \$6.2346 CUSIP 579793100 7928044	150.000	3.00	932.16	-770.78	161.38
7/01/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/07/09 215 SHARES AT \$7.2578 CUSIP 579793100 7928044	215.000	4.30	1,556.08	-1,104.78	451.30
7/01/09	SOLD THROUGH LIQUIDNET INC MISC 0.06 SETTLEMENT DATE 7/07/09 285 SHARES AT \$7.0727 CUSIP 579793100 7928044	285.000	5.70	2,009.96	-1,466.47	545.49
	TOTAL FOR ASSET	650.000	13.00	4,498.20	-3,340.03	1,158.17
	MCDONALDS CORP COM					
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 2/19/09 400 SHARES AT \$57.16 CUSIP 580135101 1102734	400.000	4.00	22,859.87	-20,942.56	1,917.31

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.14 SETTLEMENT DATE 5/18/09 100 SHARES AT \$53.54 CUSIP 580135101 1102734	100.000	1.00	5,352.86	-5,235.64	117.22
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.16 SETTLEMENT DATE 7/27/09 100 SHARES AT \$59.43 CUSIP 580135101 1102734	100.000	1.00	5,941.84	-5,254.91	686.93
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.29 SETTLEMENT DATE 8/17/09 200 SHARES AT \$56.1701 CUSIP 580135101 1102734	200.000	2.00	11,231.73	-10,509.82	721.91
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.48 SETTLEMENT DATE 11/17/09 300 SHARES AT \$62.2601 CUSIP 580135101 1102734	300.000	3.00	18,674.55	-15,773.39	2,901.16
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.17 SETTLEMENT DATE 1/22/10 200 SHARES AT \$63.68 CUSIP 580135101 1102734	200.000	2.00	12,733.83	-10,397.56	2,336.27

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
	TOTAL FOR ASSET	1,300.000	13.00	76,794.68	-68,113.88	8,680.80
	MCGRAW HILL COMPANIES INC COM					
8/04/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.64 SETTLEMENT DATE 8/07/09 800 SHARES AT \$31.0032 CUSIP 580645109 1102734	800.000	32.00	24,769.92	-25,453.84	-683.92
8/05/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.16 SETTLEMENT DATE 8/10/09 200 SHARES AT \$30.5436 CUSIP 580645109 1102734	200.000	8.00	6,100.56	-6,565.76	-465.20
8/06/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.24 SETTLEMENT DATE 8/11/09 300 SHARES AT \$29.9943 CUSIP 580645109 1102734	300.000	12.00	8,986.05	-9,848.64	-862.59
8/07/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.47 SETTLEMENT DATE 8/12/09 600 SHARES AT \$30.1052 CUSIP 580645109 1102734	600.000	24.00	18,038.65	-19,810.68	-1,772.03
8/11/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.23 SETTLEMENT DATE 8/14/09 300 SHARES AT \$29.4135 CUSIP 580645109 1102734	300.000	12.00	8,811.82	-9,606.84	-795.02

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/12/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.31 SETTLEMENT DATE 8/17/09 400 SHARES AT \$29.9506 CUSIP 580645109 1102734	400.000	16.00	11,963.93	-12,804.22	-840.29
8/13/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.31 SETTLEMENT DATE 8/18/09 400 SHARES AT \$29.1847 CUSIP 580645109 1102734	400.000	16.00	11,657.57	-13,093.30	-1,435.73
8/14/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.30 SETTLEMENT DATE 8/19/09 400 SHARES AT \$28.5352 CUSIP 580645109 1102734	400.000	16.00	11,397.78	-12,707.26	-1,309.48
8/17/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.15 SETTLEMENT DATE 8/20/09 200 SHARES AT \$27.9164 CUSIP 580645109 1102734	200.000	8.00	5,575.13	-6,175.16	-600.03
8/18/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.29 SETTLEMENT DATE 8/21/09 400 SHARES AT \$28.1151 CUSIP 580645109 1102734	400.000	16.00	11,229.75	-12,164.70	-934.95

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/19/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.45 SETTLEMENT DATE 8/26/09 600 SHARES AT \$28.5931 CUSIP 580645109 1102734	600.000	24.00	17,131.41	-18,120.54	-989.13
	TOTAL FOR ASSET	4,600.000	184.00	135,662.57	-146,350.94	-10,688.37
	MEDAREX INC COM					
2/02/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.01 SETTLEMENT DATE 2/05/09 100 SHARES AT \$6.1751 CUSIP 583916101 7928044	100.000	4.00	613.50	-578.50	35.00
2/03/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 2/06/09 100 SHARES AT \$6.0132 CUSIP 583916101 7928044	100.000	2.00	599.31	-578.50	20.81
2/03/09	SOLD THROUGH U.S. BANCORP PIPER JAFFRAY INC ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 2/06/09 175 SHARES AT \$6.0289 CUSIP 583916101 7928044	175.000	7.00	1,048.05	-1,012.38	35.67

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 60 SHARES AT \$4.32 CUSIP 583916101 7928044	60.000	1.20	257.99	-347.10	-89.11
5/14/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 5/19/09 270 SHARES AT \$6.4329 CUSIP 583916101 7928044	270.000	5.40	1,731.43	-1,561.95	169.48
5/27/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/01/09 160 SHARES AT \$7.0598 CUSIP 583916101 7928044	160.000	3.20	1,126.34	-925.60	200.74
5/29/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 6/03/09 300 SHARES AT \$7.2024 CUSIP 583916101 7928044	300.000	6.00	2,154.66	-1,735.50	419.16
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.02 SETTLEMENT DATE 6/18/09 80 SHARES AT \$7.13 CUSIP 583916101 7928044	80.000	1.60	568.78	-462.80	105.98

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/22/09	SOLD THROUGH INSTINET MISC 0.13 SETTLEMENT DATE 6/25/09 560 SHARES AT \$8.6821 CUSIP 583916101 7928044	560.000	11.20	4,850.65	-3,239.60	1,611.05
7/15/09	SOLD THROUGH JEFFERIES & COMPANY ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 7/20/09 160 SHARES AT \$8.68 CUSIP 583916101 7928044	160.000	6.40	1,382.36	-925.60	456.76
7/23/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.41 SETTLEMENT DATE 7/28/09 1,000 SHARES AT \$15.8967 CUSIP 583916101 7928044	1,000.000	40.00	15,856.29	-5,785.00	10,071.29
7/23/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.37 SETTLEMENT DATE 7/28/09 900 SHARES AT \$15.8537 CUSIP 583916101 7928044	900.000	36.00	14,231.96	-5,206.50	9,025.46
7/23/09	SOLD THROUGH IVY SECURITY INC MISC 0.52 SETTLEMENT DATE 7/28/09 1,270 SHARES AT \$15.8726 CUSIP 583916101 7928044	1,270.000	50.80	20,106.88	-7,346.95	12,759.93

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/24/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.20 SETTLEMENT DATE 7/29/09 480 SHARES AT \$15.8719 CUSIP 583916101 7928044	480.000	19.20	7,599.11	-2,776.80	4,822.31
7/27/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.22 SETTLEMENT DATE 7/30/09 530 SHARES AT \$15.88 CUSIP 583916101 7928044	530.000	21.20	8,394.98	-3,066.05	5,328.93
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$15.90 CUSIP 583916101 7928044	10.000	0.20	158.79	-57.85	100.94
8/05/09	SOLD THROUGH IVY SECURITY INC MISC 0.14 SETTLEMENT DATE 8/10/09 330 SHARES AT \$15.85 CUSIP 583916101 7928044	330.000	13.20	5,217.16	-1,909.05	3,308.11
8/05/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 8/10/09 100 SHARES AT \$15.86 CUSIP 583916101 7928044	100.000	2.00	1,583.95	-578.50	1,005.45

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
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8/07/09 SOLD THROUGH IVY SECURITY INC
MISC 0.14
SETTLEMENT DATE 8/12/09
340 SHARES AT \$15.86
CUSIP 583916101
7928044

8/14/09 SOLD THROUGH INSTINET
MISC 0.04
SETTLEMENT DATE 8/19/09
90 SHARES AT \$15.94
CUSIP 583916101
7928044

8/26/09 SOLD MISC 0.00
SETTLEMENT DATE 8/28/09
TENDER PROCEEDS RECEIVED
CUSIP 583916101
7928044

TOTAL FOR ASSET 8,260.00 246.00 114,213.61 -45,735.35 68,478.26

MEDICIS PHARMACEUTICAL CORP COM

3/19/09 SOLD THROUGH DEUTSCHE BANK SECURITIES INC
MISC 0.00
SETTLEMENT DATE 3/24/09
70 SHARES AT \$12.1632
CUSIP 584690309
7928044

3/20/09 SOLD THROUGH INSTINET
MISC 0.01
SETTLEMENT DATE 3/25/09
40 SHARES AT \$12.6819
CUSIP 584690309
7928044

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$15.49 CUSIP 584690309 7928044	10.000	0.20	154.69	-124.20	30.49
7/21/09	SOLD THROUGH LIQUIDNET INC MISC 0.07 SETTLEMENT DATE 7/24/09 170 SHARES AT \$15.5146 CUSIP 584690309 7928044	170.000	3.40	2,634.01	-2,111.40	522.61
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.40 SETTLEMENT DATE 10/09/09 715 SHARES AT \$21.43 CUSIP 584690309 7928044	715.000	7.15	15,314.90	-10,650.78	4,664.12
	TOTAL FOR ASSET	1,005.000	14.35	19,458.69	-14,252.58	5,206.11
	MERCK & CO INC NEW COM					
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.34 SETTLEMENT DATE 11/17/09 400 SHARES AT \$33.1901 CUSIP 58933V105 1102734	400.000	4.00	13,271.70	-11,319.76	1,951.94

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/17/09	SOLD MISC 0.00 SETTLEMENT DATE 11/17/09 TENDER PROCEEDS RECEIVED CUSIP 58933Y105 1102734	0.770	0.00	25.39	-21.79	3.60
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.16 SETTLEMENT DATE 1/22/10 300 SHARES AT \$40.5002 CUSIP 58933Y105 1102734	300.000	3.00	12,146.90	-8,489.82	3,657.08
	TOTAL FOR ASSET	700.770	7.00	25,443.99	-19,831.37	5,612.62
5/05/09	MERITAGE HOMES CORP COM SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/08/09 90 SHARES AT \$22.416 CUSIP 59001A102 7928044	90.000	1.80	2,015.58	-1,190.83	824.75
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$16.59 CUSIP 59001A102 7928044	10.000	0.20	165.69	-126.04	39.65

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/21/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 7/24/09 110 SHARES AT \$20.1134 CUSIP 59001A102 7928044	110.000	2.20	2,210.21	-1,706.53	503.68
7/23/09	SOLD THROUGH INSTINET MISC 0.12 SETTLEMENT DATE 7/28/09 195 SHARES AT \$23.0591 CUSIP 59001A102 7928044	195.000	3.90	4,492.50	-3,483.92	1,008.58
4/01/09	TOTAL FOR ASSET MERUELO MADDUX PTYS INC COM	405.000	8.10	8,883.98	-6,507.32	2,376.66
4/01/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.01 SETTLEMENT DATE 4/06/09 1,000 SHARES AT \$0.05 CUSIP 590473104 7928044	1,000.000	5.00	44.99	-719.70	-674.71
4/01/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, MISC 0.01 SETTLEMENT DATE 4/06/09 100 SHARES AT \$0.0702 CUSIP 590473104 7928044	100.000	0.30	6.71	-71.97	-65.26
4/01/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 4/06/09 1,500 SHARES AT \$0.0626 CUSIP 590473104 7928044	1,500.000	4.50	89.39	-1,079.55	-990.16

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/02/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.01 SETTLEMENT DATE 4/07/09 1,200 SHARES AT \$0.0446 CUSIP 590473104 7928044	1,200.000	3.60	49.91	-863.64	-813.73
4/02/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 4/07/09 100 SHARES AT \$0.0584 CUSIP 590473104 7928044	100.000	0.30	5.53	-71.97	-66.44
4/02/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 4/07/09 1,600 SHARES AT \$0.0502 CUSIP 590473104 7928044	1,600.000	4.80	75.51	-1,151.52	-1,076.01
4/03/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 4/08/09 3,175 SHARES AT \$0.036 CUSIP 590473104 7928044	3,175.000	9.53	104.76	-2,271.45	-2,166.69
TOTAL FOR ASSET METABOLIX INC COM		8,675.000	28.03	376.80	-6,229.80	-5,853.00

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 3/03/09 20 SHARES AT \$6.66 CUSIP 591018809 7928044	20.000	0.40	132.79	-180.40	-47.61
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 30 SHARES AT \$6.71 CUSIP 591018809 7928044	30.000	0.60	200.69	-270.60	-69.91
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 8/04/09 20 SHARES AT \$9.17 CUSIP 591018809 7928044	20.000	0.40	182.99	-180.40	2.59
9/22/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 9/25/09 15 SHARES AT \$10.9686 CUSIP 591018809 7928044	15.000	0.30	164.22	-135.30	28.92
9/22/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 9/25/09 5 SHARES AT \$11.001 CUSIP 591018809 7928044	5.000	0.10	54.90	-45.10	9.80

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.81 SETTLEMENT DATE 10/09/09 2,895 SHARES AT \$10.7583 CUSIP 591018809 7928044	2,895.000	28.95	31,115.52	-23,950.71	7,164.81
	TOTAL FOR ASSET	2,985.000	30.75	31,851.11	-24,762.51	7,088.60
	MICROSEMI CORP COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 10/09/09 580 SHARES AT \$15.5252 CUSIP 595137100 7928044	580.000	5.80	8,998.58	-8,288.66	709.92
	TOTAL FOR ASSET	580.000	5.80	8,998.58	-8,288.66	709.92
	MONSANTO CO NEW COM					
3/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 2/19/09 100 SHARES AT \$79.33 CUSIP 61166W101 1102734	100.000	1.00	7,931.95	-8,341.39	-409.44

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 5/18/09 100 SHARES AT \$90.29 CUSIP 61166W101 1102734	100.000	1.00	9,027.76	-8,341.39	686.37
5/28/09	SOLD THROUGH UBS WARBURG ON THE NEW YORK STOCK EXCHANGE MISC 1.02 SETTLEMENT DATE 6/02/09 500 SHARES AT \$79.268 CUSIP 61166W101 1102734	500.000	20.00	39,612.98	-41,706.95	-2,093.97
6/19/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.85 SETTLEMENT DATE 6/24/09 400 SHARES AT \$81.856 CUSIP 61166W101 1102734	400.000	16.00	32,725.55	-33,365.56	-640.01
7/02/09	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.94 SETTLEMENT DATE 7/08/09 500 SHARES AT \$72.4805 CUSIP 61166W101 1102734	500.000	20.00	36,219.31	-41,234.35	-5,015.04
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 7/27/09 100 SHARES AT \$79.6205 CUSIP 61166W101 1102734	100.000	1.00	7,960.84	-7,839.67	121.17

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/10/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 1.22 SETTLEMENT DATE 9/15/09 600 SHARES AT \$78.6957 CUSIP 61166W101 1102734	600.000	24.00	47,192.20	-46,744.44	447.76
	TOTAL FOR ASSET	2,300.000	83.00	180,670.59	-187,573.75	-6,903.16
7/30/09	MYRIAD GENETICS INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$27.58 CUSIP 62855J104 7928044	10.000	0.20	275.59	-310.05	-34.46
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 1.17 SETTLEMENT DATE 10/09/09 1,680 SHARES AT \$26.9295 CUSIP 62855J104 7928044	1,680.000	16.80	45,223.59	-51,860.31	-6,636.72
	TOTAL FOR ASSET	1,690.000	17.00	45,499.18	-52,170.36	-6,671.18
7/01/09	MYRIAD PHARMACEUTICALS INC COM SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.02 SETTLEMENT DATE 7/07/09 187 SHARES AT \$4.1509 CUSIP 62856H107 7928044	187.000	5.61	770.59	-968.14	-197.55

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/06/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 7/09/09 3 SHARES AT \$4.60 CUSIP 62856H107 7928044	3.000	0.06	13.73	-16.97	-3.24
	TOTAL FOR ASSET	190.000	5.67	784.32	-985.11	-200.79
5/20/09	MII HLDGS INC COM NEW CL B SOLD THROUGH INSTINET MISC 0.51 SETTLEMENT DATE 5/26/09 1,070 SHARES AT \$18.4897 CUSIP 62913F201 7928044	1,070.000	21.40	19,762.07	-15,797.52	3,964.55
	TOTAL FOR ASSET	1,070.000	21.40	19,762.07	-15,797.52	3,964.55
2/13/09	NIKE INC CL B COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 2/19/09 400 SHARES AT \$43.8825 CUSIP 654106103 1102734	400.000	4.00	17,548.90	-19,452.98	-1,904.08
5/07/09	THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.69 SETTLEMENT DATE 5/12/09 500 SHARES AT \$53.1636 CUSIP 654106103 1102734	500.000	20.00	26,561.11	-24,893.88	1,667.23

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 5/18/09 100 SHARES AT \$49.51 CUSIP 654106103 1102734	100.000	1.00	4,949.87	-5,346.62	-396.75
5/15/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.78 SETTLEMENT DATE 5/20/09 600 SHARES AT \$50.5242 CUSIP 654106103 1102734	600.000	26.00	30,289.74	-32,079.72	-1,789.98
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.30 SETTLEMENT DATE 8/17/09 200 SHARES AT \$57.22 CUSIP 654106103 1102734	200.000	2.00	11,441.70	-10,693.24	748.46
9/25/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.76 SETTLEMENT DATE 9/30/09 500 SHARES AT \$58.8386 CUSIP 654106103 1102734	500.000	20.00	29,398.54	-27,973.42	1,425.12
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP ON THE NEW YORK STOCK EXCHANGE MISC 0.33 SETTLEMENT DATE 11/17/09 200 SHARES AT \$63.8801 CUSIP 654106103 1102734	200.000	2.00	12,773.69	-11,520.12	1,253.57

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
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1/19/10 SOLD THROUGH INVESTMENT TECHNOLOGY GROUP,
ON THE OVER THE COUNTER
MISC 0.09
SETTLEMENT DATE 1/22/10
100 SHARES AT \$64.60
CUSIP 654106103
1102734

100.000 1.00 6,458.91 -5,760.06 698.85

TOTAL FOR ASSET
NUVASIVE INC COM

2,600.000 74.00 139,422.46 -137,720.04 1,702.42

6/24/09 SOLD THROUGH INSTINET
MISC 0.12
SETTLEMENT DATE 6/29/09
110 SHARES AT \$42.3393
CUSIP 670706105
7928044

110.000 2.20 4,655.00 -4,096.73 558.27

TOTAL FOR ASSET
OCCIDENTAL PETE CORP COM

110.000 2.20 4,655.00 -4,096.73 558.27

2/13/09 SOLD THROUGH INVESTMENT TECHNOLOGY GROUP,
ON THE OVER THE COUNTER
MISC 0.04
SETTLEMENT DATE 2/19/09
100 SHARES AT \$57.09
CUSIP 674599105
1102734

100.000 1.00 5,707.96 -7,120.24 -1,412.28

5/13/09 SOLD THROUGH INVESTMENT TECHNOLOGY GROUP,
ON THE OVER THE COUNTER
MISC 0.16
SETTLEMENT DATE 5/18/09
100 SHARES AT \$61.24
CUSIP 674599105
1102734

100.000 1.00 6,122.84 -7,120.24 -997.40

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 7/27/09 100 SHARES AT \$69.17 CUSIP 674599105 1102734	100.000	1.00	6,915.82	-7,313.05	-397.23
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 8/17/09 100 SHARES AT \$69.6803 CUSIP 674599105 1102734	100.000	1.00	6,966.85	-7,313.05	-346.20
10/09/09	SOLD THROUGH COWEN AND COMPANY,LLC ON THE NEW YORK STOCK EXCHANGE MISC 1.24 SETTLEMENT DATE 10/15/09 600 SHARES AT \$79.8769 CUSIP 674599105 1102734	600.000	24.00	47,900.90	-43,878.30	4,022.60
12/2/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.21 SETTLEMENT DATE 11/17/09 100 SHARES AT \$81.5001 CUSIP 674599105 1102734	100.000	1.00	8,148.80	-7,313.05	835.75
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 1/22/10 100 SHARES AT \$78.65 CUSIP 674599105 1102734	100.000	1.00	7,863.90	-7,313.05	550.85

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
	TOTAL FOR ASSET	1,200.000	30.00	89,627.07	-87,370.98	2,256.09
3/26/09	UCH ZIFF CAP MGMT GROUP LP-A					
	SOLD					
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH					
	ON THE OVER THE COUNTER					
	MISC 0.01	10.000	0.20	52.09	-49.05	3.04
	SETTLEMENT DATE 3/03/09					
	10 SHARES AT \$5.23					
	CUSIP 67551U105					
	7928044					
3/06/09	SOLD					
	THROUGH BARCLAYS CAPITAL LE					
	MISC 0.01	100.000	4.00	548.11	-490.50	57.61
	SETTLEMENT DATE 3/11/09					
	100 SHARES AT \$5.5212					
	CUSIP 67551U105					
	7928044					
3/09/09	SOLD					
	THROUGH LIQUIDNET INC					
	MISC 0.01	20.000	0.40	110.49	-98.10	12.39
	SETTLEMENT DATE 3/12/09					
	20 SHARES AT \$5.545					
	CUSIP 67551U105					
	7928044					
3/11/09	SOLD					
	THROUGH INSTINET					
	MISC 0.01	70.000	1.40	399.52	-343.35	56.17
	SETTLEMENT DATE 3/16/09					
	70 SHARES AT \$5.7275					
	CUSIP 67551U105					
	7928044					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/23/09	SOLD THROUGH JEFFERIES & COMPANY MISC 0.01 SETTLEMENT DATE 3/26/09 130 SHARES AT \$6.2382 CUSIP 67551U105 7928044	130.000	5.20	805.76	-637.65	168.11
4/29/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 5/06/09 60 SHARES AT \$7.7692 CUSIP 67551U105 7928044	60.000	1.20	466.93	-286.10	178.83
5/01/09	SOLD THROUGH INSTINET MISC 0.15 SETTLEMENT DATE 5/06/09 660 SHARES AT \$8.6725 CUSIP 67551U105 7928044	660.000	13.20	5,710.50	-3,150.22	2,560.28
5/11/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/14/09 100 SHARES AT \$9.9219 CUSIP 67551U105 7928044	100.000	2.00	990.16	-433.76	556.40
5/19/09	SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 5/22/09 300 SHARES AT \$9.5425 CUSIP 67551U105 7928044	300.000	6.00	2,856.67	-1,453.07	1,403.60
TOTAL FOR ASSET		1,450.000	33.60	11,938.23	-6,941.80	4,996.43
OMNITURE INC COM						

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$11.27 CUSIP 68212S109 7928044	10.000	0.20	112.49	-110.16	2.33
4/14/09	SOLD THROUGH MORGAN STANLEY & CO INC PROGRAM MISC 0.03 SETTLEMENT DATE 4/17/09 70 SHARES AT \$13.7567 CUSIP 68212S109 7928044	70.000	2.80	960.14	-771.12	189.02
4/17/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 4/22/09 50 SHARES AT \$14.3912 CUSIP 68212S109 7928044	50.000	1.00	718.54	-550.80	167.74
4/21/09	SOLD THROUGH LIQUIDNET INC MISC 0.08 SETTLEMENT DATE 4/24/09 200 SHARES AT \$14.0704 CUSIP 68212S109 7928044	200.000	4.00	2,810.00	-2,203.20	606.80
4/22/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/27/09 70 SHARES AT \$14.0092 CUSIP 68212S109 7928044	70.000	1.40	979.21	-771.12	208.09

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/14/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/19/09 90 SHARES AT \$10.6186 CUSIP 68212S109 7928044	90.000	1.80	953.84	-991.44	-37.60
6/16/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/19/09 110 SHARES AT \$12.4679 CUSIP 68212S109 7928044	110.000	2.20	1,369.23	-1,179.77	189.46
	TOTAL FOR ASSET	600.000	13.40	7,903.45	-6,577.61	1,325.84
5/20/09	ON SEMICONDUCTOR CORP COM SOLD THROUGH IVY SECURITY INC ON THE OVER THE COUNTER MISC 0.31 SETTLEMENT DATE 5/26/09 1,840 SHARES AT \$6.4341 CUSIP 682189105 7928044	1,840.000	73.60	11,764.83	-9,174.88	2,589.95
	TOTAL FOR ASSET	1,840.000	73.60	11,764.83	-9,174.88	2,589.95
6/29/09	ONXY PHARMACEUTICALS INC COM SOLD THROUGH INSTINET MISC 0.11 SETTLEMENT DATE 7/02/09 140 SHARES AT \$28.3312 CUSIP 683399109 7928044	140.000	2.80	3,963.46	-3,189.76	773.70

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/18/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 9/23/09 50 SHARES AT \$34.5247 CUSIP 683399109 7928044	50.000	1.00	1,725.19	-1,785.72	-60.53
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 10/09/09 380 SHARES AT \$28.32 CUSIP 683399109 7928044	380.000	3.80	10,757.52	-13,481.22	-2,723.70
	TOTAL FOR ASSET	570.000	7.60	16,446.17	-18,456.70	-2,010.53
	OPENTABLE INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.24 SETTLEMENT DATE 10/09/09 350 SHARES AT \$26.50 CUSIP 68372A104 7928044	350.000	3.50	9,271.26	-9,800.00	-528.74
	TOTAL FOR ASSET	350.000	3.50	9,271.26	-9,800.00	-528.74
	ORBITZ WORLDWIDE INC COM					
2/10/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/13/09 100 SHARES AT \$3.2977 CUSIP 68557K109 7928044	100.000	2.00	327.76	-358.05	-30.29

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/19/09 100 SHARES AT \$3.3972 CUSIP 68557K109 7928044	100.000	2.00	337.71	-358.05	-20.34
2/19/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/24/09 200 SHARES AT \$3.014 CUSIP 68557K109 7928044	200.000	4.00	598.79	-716.10	-117.31
2/20/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/25/09 125 SHARES AT \$2.7838 CUSIP 68557K109 7928044	125.000	2.50	345.47	-447.56	-102.09
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$2.68 CUSIP 68557K109 7928044	10.000	0.20	26.59	-35.81	-9.22
3/11/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/16/09 100 SHARES AT \$1.8826 CUSIP 68557K109 7928044	100.000	1.00	187.25	-358.05	-170.80

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 30 SHARES AT \$2.13 CUSIP 68557K109 7928044	30.000	0.60	63.29	-107.42	-44.13
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 8/04/09 40 SHARES AT \$2.60 CUSIP 68557K109 7928044	40.000	0.80	103.19	-143.22	-40.03
8/04/09	SOLD THROUGH LIQUIDNET INC MISC 0.19 SETTLEMENT DATE 8/07/09 1,880 SHARES AT \$3.7965 CUSIP 68557K109 7928044	1,880.000	18.80	7,118.43	-6,120.68	997.75
9/23/09	SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 9/28/09 500 SHARES AT \$6.0823 CUSIP 68557K109 7928044	500.000	10.00	3,031.07	-990.65	2,040.42
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.86 SETTLEMENT DATE 10/09/09 5,805 SHARES AT \$5.7634 CUSIP 68557K109 7928044	5,805.000	58.05	33,397.63	-13,081.20	20,316.43

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2/01/09	TOTAL FOR ASSET	8,890.000	99.95	45,537.18	-22,716.79	22,820.39
	PAIN THERAPEUTICS INC COM					
	SOLD THROUGH INSTINET					
	MISC 0.09	805.000	16.10	3,411.66	-4,105.29	-693.63
	SETTLEMENT DATE 7/27/09					
	805 SHARES AT \$4.2582					
	CUSIP 69562K100					
	7928044					
2/13/09	TOTAL FOR ASSET	805.000	16.10	3,411.66	-4,105.29	-693.63
	PEPSICO INC COM					
	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER					
	MISC 0.06	200.000	2.00	10,546.44	-9,302.80	1,243.64
	SETTLEMENT DATE 2/19/09					
	200 SHARES AT \$52.7425					
	CUSIP 713448108					
	1102734					
7/22/09	TOTAL FOR ASSET	200.000	2.00	9,935.82	-9,302.80	633.02
	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER					
	MISC 0.26	100.000	1.00	5,604.90	-4,651.40	953.50
	SETTLEMENT DATE 5/18/09					
	200 SHARES AT \$49.6904					
	CUSIP 713448108					
	1102734					
7/22/09	TOTAL FOR ASSET	100.000	1.00	5,604.90	-4,651.40	953.50
	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER					
	MISC 0.15					
	SETTLEMENT DATE 7/27/09					
	100 SHARES AT \$56.0605					
	CUSIP 713448108					
	1102734					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 8/17/09 100 SHARES AT \$57.3102 CUSIP 713448108 1102734	100.000	1.00	5,729.87	-4,651.40	1,078.47
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.63 SETTLEMENT DATE 11/17/09 400 SHARES AT \$61.6601 CUSIP 713448108 1102734	400.000	4.00	24,659.41	-18,605.60	6,053.81
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.16 SETTLEMENT DATE 1/22/10 200 SHARES AT \$62.34 CUSIP 713448108 1102734	200.000	2.00	12,465.84	-9,302.80	3,163.04
	TOTAL FOR ASSET	1,200.000	12.00	68,942.28	-55,816.80	13,125.48
3/03/09	PERFECT WORLD CO LTD SPON ADR REP B SOLD THROUGH DAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/06/09 100 SHARES AT \$9.866 CUSIP 71372U104 7928066	100.000	4.00	982.59	-1,995.00	-1,012.41
	TOTAL FOR ASSET	100.000	4.00	982.59	-1,995.00	-1,012.41
	PERKINELMER INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.21 SETTLEMENT DATE 10/09/09 410 SHARES AT \$19.49 CUSIP 714046109 7928044	410.000	4.10	7,986.59	-6,412.68	1,573.91
	TOTAL FOR ASSET	410.000	4.10	7,986.59	-6,412.68	1,573.91
7/28/09	PHASE FORWARD INC COM SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 7/31/09 215 SHARES AT \$14.3952 CUSIP 71721R406 7928044	215.000	4.30	3,090.59	-3,376.51	-285.92
	TOTAL FOR ASSET	215.000	4.30	3,090.59	-3,376.51	-285.92
6/09	POLARIS INDS INC COM SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 4/21/09 10 SHARES AT \$30.5006 CUSIP 731068102 7928044	10.000	0.20	304.80	-281.24	23.56
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$32.66 CUSIP 731068102 7928044	10.000	0.20	326.39	-281.25	45.14

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.21 SETTLEMENT DATE 10/09/09 205 SHARES AT \$39.82 CUSIP 731068102 7928044	205.000	2.05	8,160.84	-5,619.45	2,541.39
	TOTAL FOR ASSET	225.000	2.45	8,792.03	-6,181.94	2,610.09
	POLYCOM INC COM					
2/10/09	SOLD THROUGH THOMAS WEISEL PARTNERS LLC MISC 0.01 SETTLEMENT DATE 2/13/09 50 SHARES AT \$14.667 CUSIP 73172K104 7928044	50.000	2.00	731.34	-1,031.50	-300.16
	TOTAL FOR ASSET	75.000	1.50	1,072.00	-1,547.25	-475.25
	THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/18/09 75 SHARES AT \$14.3134 CUSIP 73172K104 7928044					
2/13/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 2/19/09 100 SHARES AT \$14.8317 CUSIP 73172K104 7928044	100.000	2.00	1,481.16	-2,063.00	-581.84

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$13.35 CUSIP 73172K104 7928044	10.000	0.20	133.29	-206.30	-73.01
3/09/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/12/09 100 SHARES AT \$13.25 CUSIP 73172K104 7928044	100.000	4.00	1,320.99	-2,063.00	-742.01
3/16/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/19/09 110 SHARES AT \$15.1775 CUSIP 73172K104 7928044	110.000	2.20	1,667.32	-2,269.30	-601.98
6/01/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 4/06/09 100 SHARES AT \$15.3685 CUSIP 73172K104 7928044	100.000	2.00	1,534.84	-2,063.00	-528.16
4/02/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.02 SETTLEMENT DATE 4/07/09 180 SHARES AT \$15.9271 CUSIP 73172K104 7928044	180.000	7.20	2,859.66	-3,713.40	-853.74

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/03/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 4/08/09 60 SHARES AT \$15.8758 CUSIP 73172K104 7928044	60.000	1.20	951.34	-1,237.80	-286.46
4/09/09	SOLD THROUGH JEFFERIES & COMPANY ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 4/15/09 60 SHARES AT \$16.6634 CUSIP 73172K104 7928044	60.000	2.40	997.37	-1,237.80	-240.43
4/13/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/16/09 50 SHARES AT \$16.917 CUSIP 73172K104 7928044	50.000	1.00	844.82	-1,031.50	-186.68
4/29/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/04/09 70 SHARES AT \$17.7165 CUSIP 73172K104 7928044	70.000	1.40	1,238.72	-1,444.10	-205.38
4/30/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/05/09 60 SHARES AT \$18.6847 CUSIP 73172K104 7928044	60.000	1.20	1,119.85	-1,237.80	-117.95

10 Payment



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/14/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 5/19/09 150 SHARES AT \$17.6532 CUSIP 73172K104 7928044	150.000	3.00	2,644.91	-3,094.50	-449.59
6/02/09	SOLD THROUGH IVY SECURITY INC ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 6/05/09 200 SHARES AT \$19.5346 CUSIP 73172K104 7928044	200.000	8.00	3,898.81	-4,126.00	-227.19
6/05/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/10/09 50 SHARES AT \$20.4017 CUSIP 73172K104 7928044	50.000	1.00	1,019.06	-1,031.50	-12.44
6/19/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 6/24/09 80 SHARES AT \$20.6307 CUSIP 73172K104 7928044	80.000	1.60	1,648.81	-1,650.40	-1.59
6/24/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/29/09 60 SHARES AT \$19.8666 CUSIP 73172K104 7928044	60.000	1.20	1,190.76	-1,237.80	-47.04
	TOTAL FOR ASSET	1,565.000	43.10	26,355.05	-32,285.95	-5,930.90

10 Takeover



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	POZEN INC COM SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$7.70 CUSIP 73941U102 7928044	10.000	0.20	76.79	-61.28	15.51
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$7.93 CUSIP 73941U102 7928044	10.000	0.20	79.09	-61.27	17.82
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.29 SETTLEMENT DATE 10/09/09 1,580 SHARES AT \$7.14 CUSIP 73941U102 7928044	1,580.000	15.80	11,265.11	-9,935.82	1,329.29
	TOTAL FOR ASSET	1,600.000	16.20	11,420.99	-10,058.37	1,362.62
2/06/09	PROCTER & GAMBLE CO COM SOLD THROUGH MORGAN KEEGAN & COMPANY INC. ON THE NEW YORK STOCK EXCHANGE MISC 0.13 SETTLEMENT DATE 2/11/09 400 SHARES AT \$53.6346 CUSIP 742718109 1102734	400.000	16.00	21,437.71	-12,783.92	8,653.79

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 2/19/09 300 SHARES AT \$51.1318 CUSIP 742718109 1102734	300.000	3.00	15,336.45	-9,576.43	5,760.02
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.14 SETTLEMENT DATE 5/18/09 100 SHARES AT \$51.01 CUSIP 742718109 1102734	100.000	1.00	5,099.86	-3,192.14	1,907.72
6/17/09	SOLD THROUGH COWEN AND COMPANY, LLC ON THE NEW YORK STOCK EXCHANGE MISC 1.04 SETTLEMENT DATE 6/22/09 800 SHARES AT \$50.3074 CUSIP 742718109 1102734	800.000	32.00	40,212.88	-25,537.15	14,675.73
2/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 7/27/09 100 SHARES AT \$55.2602 CUSIP 742718109 1102734	100.000	1.00	5,524.87	-3,192.14	2,332.73
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.14 SETTLEMENT DATE 8/17/09 100 SHARES AT \$52.06 CUSIP 742718109 1102734	100.000	1.00	5,204.86	-3,192.14	2,012.72

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/25/09	SOLD THROUGH MORGAN KEEGAN & COMPANY INC. MISC 0.83 SETTLEMENT DATE 8/28/09 600 SHARES AT \$53.4274 CUSIP 742718109 1102734	600.000	24.00	32,031.61	-19,152.87	12,878.74
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.32 SETTLEMENT DATE 11/17/09 200 SHARES AT \$61.6501 CUSIP 742718109 1102734	200.000	2.00	12,327.70	-6,384.29	5,943.41
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.08 SETTLEMENT DATE 1/22/10 100 SHARES AT \$61.6804 CUSIP 742718109 1102734	100.000	1.00	6,166.96	-3,192.14	2,974.82
1/20/10	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.62 SETTLEMENT DATE 1/25/10 800 SHARES AT \$60.3657 CUSIP 742718109 1102734	800.000	32.00	48,259.94	-36,749.45	11,510.49
	TOTAL FOR ASSET	3,500.000	113.00	191,602.84	-122,952.67	68,650.17
	PSYCHIATRIC SOLUTIONS INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/08/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 7/13/09 100 SHARES AT \$21.8129 CUSIP 74439H108 7928044	100.000	2.00	2,179.23	-3,157.00	-977.77
7/14/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 7/17/09 50 SHARES AT \$22.552 CUSIP 74439H108 7928044	50.000	1.00	1,126.57	-1,578.50	-451.93
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 10/09/09 405 SHARES AT \$26.3799 CUSIP 74439H108 7928044	405.000	4.05	10,679.53	-8,234.61	2,444.92
	TOTAL FOR ASSET	555.000	7.05	13,985.33	-12,970.11	1,015.22
	QUALCOMM CORP COM					
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 2/19/09 500 SHARES AT \$36.03 CUSIP 747525103 1102734	500.000	5.00	18,009.89	-19,258.30	-1,248.41

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH CITIGROUP GLOBAL MARKET INC. MISC 0.22 SETTLEMENT DATE 3/03/09 1,100 SHARES AT \$34.2317 CUSIP 747525103 1102734	1,100.000	44.00	37,610.65	-42,348.26	-4,757.61
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.31 SETTLEMENT DATE 5/18/09 300 SHARES AT \$40.0103 CUSIP 747525103 1102734	300.000	3.00	11,999.78	-11,554.98	444.80
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 7/27/09 100 SHARES AT \$48.0007 CUSIP 747525103 1102734	100.000	1.00	4,798.94	-3,851.66	947.28
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.36 SETTLEMENT DATE 8/17/09 300 SHARES AT \$46.4605 CUSIP 747525103 1102734	300.000	3.00	13,934.79	-11,554.98	2,379.81
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.46 SETTLEMENT DATE 11/17/09 400 SHARES AT \$44.8901 CUSIP 747525103 1102734	400.000	4.00	17,951.58	-15,406.64	2,544.94

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.19 SETTLEMENT DATE 1/22/10 300 SHARES AT \$49.4027 CUSIP 747525103 1102734	300.000	3.00	14,817.62	-11,554.98	3,262.64
	TOTAL FOR ASSET	3,000.000	63.00	119,123.25	-115,549.80	3,573.45
2/26/09	QUICKSILVER INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE NEW YORK STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$1.38 CUSIP 74838C106 7928044	10.000	0.20	13.59	-28.05	-14.46
3/18/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 3/23/09 100 SHARES AT \$1.1361 CUSIP 74838C106 7928044	100.000	1.00	112.40	-280.49	-168.09
3/18/09	SOLD THROUGH WEEDEN AND CO. ON THE NEW YORK STOCK EXCHANGE MISC 0.02 SETTLEMENT DATE 3/23/09 2,315 SHARES AT \$1.10 CUSIP 74838C106 7928044	2,315.000	46.30	2,500.18	-5,646.91	-3,146.73
	TOTAL FOR ASSET	2,425.000	47.50	2,626.17	-5,955.45	-3,329.28
	RHI ENTMT INC DEL COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/04/09	SOLD THROUGH CANTOR FITZGERALD & CO. MISC 0.01 SETTLEMENT DATE 2/09/09 175 SHARES AT \$4.28 CUSIP 74957T104 7928044	175.000	5.25	743.74	-2,103.50	-1,359.76
2/04/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 2/09/09 300 SHARES AT \$4.2946 CUSIP 74957T104 7928044	300.000	3.00	1,285.37	-3,606.00	-2,320.63
3/30/09	SOLD THROUGH CANTOR FITZGERALD & CO. MISC 0.01 SETTLEMENT DATE 6/02/09 650 SHARES AT \$1.19 CUSIP 74957T104 7928044	650.000	6.50	766.99	-7,763.00	-6,996.01
	TOTAL FOR ASSET	1,125.000	14.75	2,796.10	-13,472.50	-10,676.40
2/18/09	RTI INTL METALS COM SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 2/23/09 125 SHARES AT \$12.5676 CUSIP 74973W107 7928044	125.000	2.50	1,568.44	-1,653.44	-85.00



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.15 SETTLEMENT DATE 10/09/09 220 SHARES AT \$24.98 CUSIP 74973W107 7928044	220.000	2.20	5,493.25	-4,523.95	969.30
	TOTAL FOR ASSET	345.000	4.70	7,061.69	-6,177.39	884.30
	RED HAT INC COM					
2/05/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 2/10/09 50 SHARES AT \$15.685 CUSIP 756577102 7928044	50.000	1.00	783.24	-637.54	145.70
2/05/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/10/09 25 SHARES AT \$15.7174 CUSIP 756577102 7928044	25.000	0.50	392.43	-318.77	73.66
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 20 SHARES AT \$14.085 CUSIP 756577102 7928044	20.000	0.40	281.29	-255.02	26.27

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/03/09 80 SHARES AT \$14.0194 CUSIP 756577102 7928044	80.000	1.60	1,119.94	-1,020.07	99.87
3/02/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.01 SETTLEMENT DATE 3/05/09 60 SHARES AT \$13.4456 CUSIP 756577102 7928044	60.000	2.40	804.33	-765.05	39.28
3/04/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.01 SETTLEMENT DATE 3/09/09 40 SHARES AT \$14.3996 CUSIP 756577102 7928044	40.000	1.60	574.37	-510.04	64.33
3/05/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.01 SETTLEMENT DATE 3/10/09 50 SHARES AT \$13.7467 CUSIP 756577102 7928044	50.000	2.00	685.33	-637.55	47.78
3/09/09	SOLD THROUGH IVY SECURITY INC ON THE OVER THE COUNTER MISC 0.02 SETTLEMENT DATE 3/12/09 210 SHARES AT \$13.2025 CUSIP 756577102 7928044	210.000	8.40	2,764.11	-2,677.69	86.42

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/11/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.01 SETTLEMENT DATE 3/16/09 60 SHARES AT \$14.9475 CUSIP 756577102 7928044	60.000	2.40	894.44	-765.05	129.39
3/23/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/26/09 20 SHARES AT \$17.3432 CUSIP 756577102 7928044	20.000	0.40	346.45	-255.02	91.43
3/26/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/31/09 100 SHARES AT \$17.4956 CUSIP 756577102 7928044	100.000	2.00	1,747.55	-1,275.09	472.46
4/03/09	SOLD THROUGH PACIFIC CREST SECS ON THE NEW YORK STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 4/08/09 70 SHARES AT \$18.33 CUSIP 756577102 7928044	70.000	2.80	1,280.29	-892.56	387.73
4/09/09	SOLD THROUGH IVY SECURITY INC ON THE NEW YORK STOCK EXCHANGE MISC 0.05 SETTLEMENT DATE 4/15/09 100 SHARES AT \$18.8389 CUSIP 756577102 7928044	100.000	4.00	1,879.84	-1,275.09	604.75

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/30/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/05/09 60 SHARES AT \$17.5412 CUSIP 756577102 7928044	60.000	1.20	1,051.24	-765.05	286.19
5/04/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/07/09 80 SHARES AT \$17.7767 CUSIP 756577102 7928044	80.000	1.60	1,420.50	-1,020.07	400.43
5/05/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/08/09 80 SHARES AT \$18.0252 CUSIP 756577102 7928044	80.000	1.60	1,440.38	-1,020.07	420.31
5/07/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/12/09 60 SHARES AT \$17.5412 CUSIP 756577102 7928044	60.000	1.20	1,051.24	-765.05	286.19
5/11/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/14/09 70 SHARES AT \$17.9851 CUSIP 756577102 7928044	70.000	1.40	1,257.52	-892.56	364.96

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/14/09	SOLD THROUGH IVY SECURITY INC ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 5/19/09 70 SHARES AT \$17.9637 CUSIP 756577102 7928044	70.000	2.80	1,254.62	-892.56	362.06
5/15/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 5/20/09 80 SHARES AT \$19.5942 CUSIP 756577102 7928044	80.000	1.60	1,565.89	-1,020.07	545.82
5/19/09	SOLD THROUGH INSTINET MISC 0.11 SETTLEMENT DATE 5/22/09 210 SHARES AT \$19.4472 CUSIP 756577102 7928044	210.000	4.20	4,079.60	-2,677.69	1,401.91
5/20/09	SOLD THROUGH INSTINET MISC 0.64 SETTLEMENT DATE 5/26/09 1,280 SHARES AT \$19.1992 CUSIP 756577102 7928044	1,280.000	25.60	24,568.74	-15,944.16	8,604.58
TOTAL FOR ASSET		2,875.000	70.70	51,223.34	-36,281.82	14,941.52

RED ROBIN GOURMET BURGERS INC COM

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$20.22 CUSIP 75689M101 7928044	10.000	0.20	201.99	-162.60	39.39
7/21/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 7/24/09 50 SHARES AT \$19.6014 CUSIP 75689M101 7928044	50.000	1.00	979.04	-813.00	166.04
9/18/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.05 SETTLEMENT DATE 9/23/09 80 SHARES AT \$22.4185 CUSIP 75689M101 7928044	80.000	3.20	1,790.23	-1,202.40	587.83
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.17 SETTLEMENT DATE 10/09/09 320 SHARES AT \$19.89 CUSIP 75689M101 7928044	320.000	3.20	6,361.43	-5,263.80	1,097.63
TOTAL FOR ASSET		460.000	7.60	9,332.69	-7,441.80	1,890.89

REGENERON PHARMACEUTICALS INC COM

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/04/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 5/07/09 20 SHARES AT \$14.9602 CUSIP 75886F107 7928044	20.000	0.40	298.79	-266.12	32.67
5/05/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 5/08/09 40 SHARES AT \$15.0721 CUSIP 75886F107 7928044	40.000	0.80	602.06	-532.23	69.83
6/19/09	SOLD THROUGH LIQUIDNET INC MISC 0.04 SETTLEMENT DATE 6/24/09 70 SHARES AT \$18.1304 CUSIP 75886F107 7928044	70.000	1.40	1,267.69	-931.40	336.29
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 7/22/09 10 SHARES AT \$17.69 CUSIP 75886F107 7928044	10.000	0.20	176.69	-133.06	43.63
7/22/09	SOLD THROUGH INSTINET MISC 0.10 SETTLEMENT DATE 7/27/09 200 SHARES AT \$17.8975 CUSIP 75886F107 7928044	200.000	4.00	3,575.40	-2,660.62	914.78
TOTAL FOR ASSET		340.000	6.80	5,920.63	-4,523.43	1,397.20

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	RESEARCH IN MOTION CDA COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 2/19/09 300 SHARES AT \$67.98 CUSIP 760975102 1102734	300.000	3.00	14,390.91	-12,587.27	1,803.64
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.36 SETTLEMENT DATE 5/18/09 200 SHARES AT \$69.28 CUSIP 760975102 1102734	200.000	2.00	13,853.64	-8,391.51	5,462.13
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.19 SETTLEMENT DATE 7/27/09 100 SHARES AT \$73.06 CUSIP 760975102 1102734	100.000	1.00	7,304.81	-6,195.76	3,109.05
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.38 SETTLEMENT DATE 8/17/09 200 SHARES AT \$73.0806 CUSIP 760975102 1102734	200.000	2.00	14,613.74	-8,391.51	6,222.23

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
9/23/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.67 SETTLEMENT DATE 9/28/09 300 SHARES AT \$87.4179 CUSIP 760975102 1102734	300.000	6.00	26,218.70	-29,115.10	-2,896.40
9/25/09	SOLD THROUGH MORGAN KEEGAN & COMPANY INC. MISC 1.09 SETTLEMENT DATE 9/30/09 600 SHARES AT \$70.5921 CUSIP 760975102 1102734	600.000	24.00	42,330.17	-67,483.07	-25,152.90
10/28/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 1.44 SETTLEMENT DATE 11/02/09 900 SHARES AT \$62.2389 CUSIP 760975102 1102734	900.000	36.00	55,977.57	-43,738.52	12,239.05
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.33 SETTLEMENT DATE 11/17/09 200 SHARES AT \$63.2901 CUSIP 760975102 1102734	200.000	2.00	12,655.69	-8,907.46	3,748.23
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.17 SETTLEMENT DATE 1/22/10 200 SHARES AT \$65.43 CUSIP 760975102 1102734	200.000	2.00	13,083.83	-8,907.46	4,176.37
	TOTAL FOR ASSET	3,000.000	78.00	200,429.06	-191,717.66	8,711.40

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	RISKMETRICS GROUP INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$11.46 CUSIP 767735103 7928044	10.000	0.20	114.39	-145.22	-30.83
4/15/09	SOLD THROUGH JEFFERIES & COMPANY MISC 0.03 SETTLEMENT DATE 4/20/09 60 SHARES AT \$16.3575 CUSIP 767735103 7928044	60.000	2.40	979.02	-871.32	107.70
7/01/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/07/09 70 SHARES AT \$17.4874 CUSIP 767735103 7928044	70.000	1.40	1,222.68	-1,016.54	206.14
7/28/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 7/31/09 55 SHARES AT \$18.5504 CUSIP 767735103 7928044	55.000	1.10	1,019.14	-798.71	220.43
7/29/09	SOLD THROUGH INSTINET MISC 0.10 SETTLEMENT DATE 8/03/09 200 SHARES AT \$18.214 CUSIP 767735103 7928044	200.000	4.00	3,638.70	-2,877.58	761.12

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH INSTINET MISC 0.10 SETTLEMENT DATE 8/04/09 205 SHARES AT \$18.3222 CUSIP 767735103 7928044	205.000	4.10	3,751.85	-2,807.92	943.93
	TOTAL FOR ASSET	600.000	13.20	10,725.78	-8,517.29	2,208.49
2/26/09	RIVERBED TECHNOLOGY INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 30 SHARES AT \$10.90 CUSIP 768573107 7928044	30.000	0.60	326.39	-314.97	11.42
2/26/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/03/09 30 SHARES AT \$11.0001 CUSIP 768573107 7928044	30.000	0.60	329.39	-314.97	14.42
3/10/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 3/13/09 60 SHARES AT \$11.1241 CUSIP 768573107 7928044	60.000	2.40	665.04	-629.94	35.10

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/11/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 3/16/09 60 SHARES AT \$11.6444 CUSIP 768573107 7928044	60.000	2.40	696.25	-629.94	66.31
3/17/09	SOLD THROUGH WACHOVIA SECURITIES CAPITAL MISC 0.01 SETTLEMENT DATE 3/20/09 60 SHARES AT \$12.2196 CUSIP 768573107 7928044	60.000	2.40	730.77	-629.94	100.83
4/08/09	SOLD THROUGH DEUTSCHE BANK SECURITIES INC MISC 0.03 SETTLEMENT DATE 4/14/09 70 SHARES AT \$15.1964 CUSIP 768573107 7928044	70.000	2.80	1,060.92	-734.93	325.99
4/09/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.03 SETTLEMENT DATE 4/15/09 60 SHARES AT \$15.8581 CUSIP 768573107 7928044	60.000	2.40	949.06	-629.94	319.12
4/13/09	SOLD THROUGH JEFFERIES & COMPANY ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 4/16/09 60 SHARES AT \$15.2826 CUSIP 768573107 7928044	60.000	2.40	914.53	-629.94	284.59

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/22/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.03 SETTLEMENT DATE 4/27/09 70 SHARES AT \$15.3206 CUSIP 768573107 7928044	70.000	2.80	1,069.61	-734.93	334.68
4/24/09	SOLD THROUGH INSTINET MISC 0.14 SETTLEMENT DATE 4/29/09 290 SHARES AT \$17.4826 CUSIP 768573107 7928044	290.000	5.80	5,064.01	-3,044.71	2,019.30
4/27/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/30/09 50 SHARES AT \$18.0181 CUSIP 768573107 7928044	50.000	1.00	899.88	-524.95	374.93
4/29/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/04/09 50 SHARES AT \$18.5748 CUSIP 768573107 7928044	50.000	1.00	927.71	-524.95	402.76
5/11/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/14/09 80 SHARES AT \$17.7466 CUSIP 768573107 7928044	80.000	1.60	1,418.09	-839.92	578.17

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/05/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 6/10/09 10 SHARES AT \$21.4246 CUSIP 768573107 7928044	10.000	0.20	214.04	-104.99	109.05
6/10/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/15/09 60 SHARES AT \$22.4544 CUSIP 768573107 7928044	60.000	1.20	1,346.02	-629.94	716.08
6/11/09	SOLD THROUGH INSTINET MISC 0.13 SETTLEMENT DATE 6/16/09 210 SHARES AT \$22.9795 CUSIP 768573107 7928044	210.000	4.20	4,821.37	-2,204.79	2,616.58
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$22.78 CUSIP 768573107 7928044	10.000	0.20	227.59	-104.99	122.60
6/17/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 6/22/09 80 SHARES AT \$23.2218 CUSIP 768573107 7928044	80.000	1.60	1,856.09	-839.92	1,016.17

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/24/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/29/09 60 SHARES AT \$24.3618 CUSIP 768573107 7928044	60.000	1.20	1,460.47	-629.94	830.53
6/25/09	SOLD THROUGH IVY SECURITY INC ON THE OVER THE COUNTER MISC 0.06 SETTLEMENT DATE 6/30/09 90 SHARES AT \$24.1638 CUSIP 768573107 7928044	90.000	3.60	2,171.08	-944.91	1,226.17
7/01/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.06 SETTLEMENT DATE 7/07/09 90 SHARES AT \$23.6958 CUSIP 768573107 7928044	90.000	3.60	2,128.96	-944.91	1,184.05
7/21/09	SOLD THROUGH INSTINET MISC 0.10 SETTLEMENT DATE 7/24/09 160 SHARES AT \$23.8836 CUSIP 768573107 7928044	160.000	3.20	3,818.08	-1,679.84	2,138.24
7/23/09	SOLD THROUGH THOMAS WEISEL PARTNERS LLC MISC 0.08 SETTLEMENT DATE 7/28/09 120 SHARES AT \$25.5746 CUSIP 768573107 7928044	120.000	4.80	3,064.07	-1,259.88	1,804.19

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/24/09	SOLD THROUGH BARCLAYS CAPITAL LE MISC 0.20 SETTLEMENT DATE 7/29/09 360 SHARES AT \$20.7707 CUSIP 768573107 7928044	360.000	14.40	7,462.85	-3,779.64	3,683.21
8/07/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/12/09 60 SHARES AT \$20.4292 CUSIP 768573107 7928044	60.000	1.20	1,224.51	-629.94	594.57
8/11/09	SOLD THROUGH LIQUIDNET INC MISC 0.17 SETTLEMENT DATE 8/14/09 320 SHARES AT \$20.575 CUSIP 768573107 7928044	320.000	6.40	6,577.43	-3,326.88	3,250.55
8/17/09	TOTAL FOR ASSET ROSETTA STONE INC COM	2,600.000	74.00	51,424.21	-27,264.60	24,159.61
8/17/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 8/20/09 90 SHARES AT \$21.3948 CUSIP 77780107 7928044	90.000	1.80	1,923.68	-1,979.45	-55.77
9/23/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.05 SETTLEMENT DATE 9/28/09 70 SHARES AT \$23.1094 CUSIP 77780107 7928044	70.000	2.80	1,614.81	-1,763.23	-148.42

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.14 SETTLEMENT DATE 10/09/09 220 SHARES AT \$23.7459 CUSIP 777780107 7928044	220.000	2.20	5,221.76	-5,543.34	-321.58
	TOTAL FOR ASSET	380.000	6.80	8,760.25	-9,286.02	-525.77
	RUDOLPH TECHNOLOGIES INC COM					
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.26 SETTLEMENT DATE 10/09/09 1,385 SHARES AT \$7.2729 CUSIP 781270103 7928044	1,385.000	13.85	10,058.86	-9,957.24	101.62
	TOTAL FOR ASSET	1,385.000	13.85	10,058.86	-9,957.24	101.62
	SCHERING PLOUGH CORP COM					
10/06/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 2/19/09 1,000 SHARES AT \$19.34 CUSIP 806605101 1102734	1,000.000	10.00	19,329.89	-28,299.40	-8,969.51



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.25 SETTLEMENT DATE 5/18/09 400 SHARES AT \$23.47 CUSIP 806605101 1102734	400.000	4.00	9,383.75	-11,319.76	-1,936.01
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 7/27/09 300 SHARES AT \$26.7005 CUSIP 806605101 1102734	300.000	3.00	8,006.94	-8,489.82	-482.88
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 8/17/09 400 SHARES AT \$26.7901 CUSIP 806605101 1102734	400.000	4.00	10,711.76	-11,319.76	-608.00
TOTAL FOR ASSET		2,100.000	21.00	47,432.34	-59,428.74	-11,996.40
SCHLUMBERGER LTD COM						
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 2/19/09 400 SHARES AT \$41.73 CUSIP 806605108 1102734	400.000	4.00	16,687.90	-7,867.92	8,819.98

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/24/09	SOLD THROUGH DEUTSCHE BANK SECURITIES INC ON THE NEW YORK STOCK EXCHANGE MISC 1.03 SETTLEMENT DATE 4/29/09 800 SHARES AT \$49.8727 CUSIP 806857108 1102734	800.000	32.00	39,865.13	-15,735.84	24,129.29
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 5/18/09 200 SHARES AT \$53.18 CUSIP 806857108 1102734	200.000	2.00	10,633.72	-3,933.96	6,699.76
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 7/27/09 100 SHARES AT \$55.77 CUSIP 806857108 1102734	100.000	1.00	5,575.85	-1,966.98	3,608.87
2/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.42 SETTLEMENT DATE 8/17/09 300 SHARES AT \$53.84 CUSIP 806857108 1102734	300.000	3.00	16,148.58	-5,900.94	10,247.64
10/05/09	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 1.05 SETTLEMENT DATE 10/08/09 700 SHARES AT \$57.8669 CUSIP 806857108 1102734	700.000	28.00	40,477.78	-13,768.86	26,708.92

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.50 SETTLEMENT DATE 11/17/09 300 SHARES AT \$64.7701 CUSIP 806857108 1102734	300.000	3.00	19,427.53	-9,665.10	9,762.43
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 1/22/10 200 SHARES AT \$70.7902 CUSIP 806857108 1102734	200.000	2.00	14,155.86	-7,698.12	6,457.74
	TOTAL FOR ASSET	3,000.000	75.00	162,972.35	-66,537.72	96,434.63
2/13/09	SCHWAB CHARLES CORP COM NEW SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 2/19/09 1,300 SHARES AT \$12.9502 CUSIP 808513105 1102734	1,300.000	13.00	16,822.16	-27,950.10	-11,127.94
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.27 SETTLEMENT DATE 5/18/09 600 SHARES AT \$17.33 CUSIP 808513105 1102734	600.000	6.00	10,391.73	-13,146.48	-2,754.75

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
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7/30/09 SOLD
THROUGH HERRILL LYNCH, PIERCE, FENNER & SMITH
MISC 0.01
SETTLEMENT DATE 8/04/09
10 SHARES AT \$15.49
CUSIP 82568P304
7928044

76.94

154.69

-77.75

10/06/09 SOLD
THROUGH BAYPOINT TRADING LLC
ON THE OVER THE COUNTER
MISC 0.73
SETTLEMENT DATE 10/09/09
1,775 SHARES AT \$15.8261
CUSIP 82568P304
7928044

14,130.19

28,072.85

-13,942.66

TOTAL FOR ASSET

2,075.000

26.95

31,513.44

-16,275.16

15,238.28

SILICON MOTION TECHNOLOGY CORP

6/15/09 SOLD
THROUGH GOLDMAN, SACHS & CO.
ON THE PACIFIC STOCK EXCHANGE
MISC 0.01
SETTLEMENT DATE 6/18/09
10 SHARES AT \$4.08
CUSIP 82706C108
7928044

7.23

40.59

-33.36

6/24/09 SOLD
THROUGH INSTINET
MISC 0.03
SETTLEMENT DATE 6/29/09
300 SHARES AT \$3.4996
CUSIP 82706C108
7928044

43.14

1,043.85

-1,000.71



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/26/09	TOTAL FOR ASSET	6,600.000	132.00	113,743.07	-143,758.14	-30,015.07
	SHUTTERFLY INC COM					
	SOLD	10.000	0.20	81.69	-77.75	3.94
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SM					
	MISC 0.01					
	SETTLEMENT DATE 3/03/09					
	10 SHARES AT \$8.19					
	CUSIP 82568P304					
	7928044					
4/06/09	SOLD	160.000	6.40	1,555.80	-1,244.00	311.80
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SM					
	ON THE OVER THE COUNTER					
	MISC 0.01					
	SETTLEMENT DATE 4/09/09					
	160 SHARES AT \$9.7638					
	CUSIP 82568P304					
	7928044					
5/19/09	SOLD	110.000	2.20	1,518.02	-855.25	662.77
	THROUGH INSTINET					
	MISC 0.04					
	SETTLEMENT DATE 5/22/09					
	110 SHARES AT \$13.8205					
	CUSIP 82568P304					
	7928044					
6/15/09	SOLD	10.000	0.20	130.39	-77.75	52.64
	THROUGH GOLDMAN, SACHS & CO.					
	ON THE PACIFIC STOCK EXCHANGE					
	MISC 0.01					
	SETTLEMENT DATE 6/18/09					
	10 SHARES AT \$13.06					
	CUSIP 82568P304					
	7928044					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 7/27/09 400 SHARES AT \$16.85 CUSIP 808513105 1102734	400.000	4.00	6,735.82	-8,764.32	-2,028.50
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.29 SETTLEMENT DATE 8/17/09 600 SHARES AT \$18.785 CUSIP 808513105 1102734	600.000	6.00	11,264.71	-13,146.48	-1,881.77
10/05/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 1.06 SETTLEMENT DATE 10/08/09 2,200 SHARES AT \$18.579 CUSIP 808513105 1102734	2,200.000	88.00	40,784.74	-48,136.56	-7,351.82
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.42 SETTLEMENT DATE 11/17/09 900 SHARES AT \$17.99 CUSIP 808513105 1102734	900.000	9.00	16,181.58	-19,568.52	-3,386.94
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 1/22/10 600 SHARES AT \$19.2808 CUSIP 808513105 1102734	600.000	6.00	11,562.33	-13,045.68	-1,483.35

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/15/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 7/20/09 320 SHARES AT \$3.4798 CUSIP 82706C108 7928044	320.000	6.40	1,107.11	-1,067.42	39.69
7/21/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/24/09 340 SHARES AT \$3.5363 CUSIP 82706C108 7928044	340.000	6.80	1,195.50	-1,122.23	73.27
7/23/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 7/28/09 500 SHARES AT \$3.8549 CUSIP 82706C108 7928044	500.000	10.00	1,917.40	-1,650.12	267.28
7/24/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 7/29/09 170 SHARES AT \$3.8007 CUSIP 82706C108 7928044	170.000	3.40	642.70	-570.06	72.64
	TOTAL FOR ASSET	1,660.000	32.80	5,947.15	-5,443.90	503.25
	SKYWORKS SOLUTIONS INC COM					
2/06/09	SOLD THROUGH IVY SECURITY INC MISC 0.02 SETTLEMENT DATE 2/11/09 325 SHARES AT \$6.5951 CUSIP 83080M102 7928044	325.000	13.00	2,130.39	-2,125.50	4.89

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/18/09	SOLD THROUGH DAVIDSON, D.A., & CO, INC. ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 2/23/09 150 SHARES AT \$6.4041 CUSIP 83088M102 7928044	150.000	6.00	954.61	-981.00	-26.39
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$6.295 CUSIP 83088M102 7928044	10.000	0.20	62.74	-65.40	-2.66
3/09/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.01 SETTLEMENT DATE 3/12/09 100 SHARES AT \$6.9651 CUSIP 83088M102 7928044	100.000	4.00	692.50	-654.00	38.50
3/10/09	SOLD THROUGH PACIFIC CREST SEC ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/13/09 100 SHARES AT \$7.5059 CUSIP 83088M102 7928044	100.000	4.00	746.58	-654.00	92.58
3/18/09	SOLD THROUGH FRIEDMAN BILLINGS & RAMSEY MISC 0.01 SETTLEMENT DATE 3/23/09 130 SHARES AT \$7.9433 CUSIP 83088M102 7928044	130.000	5.20	1,027.42	-850.20	177.22

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/20/09	SOLD THROUGH FRIEDMAN BILLINGS & RANSEY MISC 0.01 SETTLEMENT DATE 3/25/09 110 SHARES AT \$7.7485 CUSIP 83088M102 7928044	110.000	4.40	847.93	-719.40	128.53
4/02/09	SOLD THROUGH PACIFIC CREST SECS ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 4/07/09 110 SHARES AT \$8.6946 CUSIP 83088M102 7928044	110.000	4.40	952.00	-719.40	232.60
4/08/09	SOLD THROUGH PACIFIC CREST SECS ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 4/14/09 100 SHARES AT \$8.7306 CUSIP 83088M102 7928044	100.000	4.00	869.03	-654.00	215.03
4/09/09	SOLD THROUGH WACHOVIA SECURITIES CAPITAL MISC 0.07 SETTLEMENT DATE 4/15/09 260 SHARES AT \$9.0766 CUSIP 83088M102 7928044	260.000	10.40	2,349.45	-1,700.40	649.05
4/14/09	SOLD THROUGH LIQUIDNET INC MISC 0.03 SETTLEMENT DATE 4/17/09 110 SHARES AT \$9.0077 CUSIP 83088M102 7928044	110.000	2.20	988.62	-719.40	269.22

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/16/09	SOLD THROUGH THOMAS WEISEL PARTNERS LLC ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 4/21/09 100 SHARES AT \$9.155 CUSIP 83088M102 7928044	100.000	4.00	911.47	-654.00	257.47
4/23/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/28/09 120 SHARES AT \$9.1448 CUSIP 83088M102 7928044	120.000	2.40	1,094.95	-784.80	310.15
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$10.10 CUSIP 83088M102 7928044	10.000	0.20	100.79	-65.40	35.39
6/15/09	SOLD THROUGH IVY SECURITY INC ON THE OVER THE COUNTER MISC 0.06 SETTLEMENT DATE 6/30/09 220 SHARES AT \$9.9584 CUSIP 83088M102 7928044	220.000	8.80	2,181.99	-1,438.80	743.19
7/01/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.04 SETTLEMENT DATE 7/07/09 120 SHARES AT \$10.0502 CUSIP 83088M102 7928044	120.000	4.80	1,201.18	-750.49	450.69

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$12.33 CUSIP 83088M102 7928044	10.000	0.20	123.09	-61.97	61.12
9/10/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.09 SETTLEMENT DATE 9/15/09 230 SHARES AT \$13.8715 CUSIP 83088M102 7928044	230.000	9.20	3,181.16	-1,390.95	1,790.21
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.36 SETTLEMENT DATE 10/09/09 1,105 SHARES AT \$12.41 CUSIP 83088M102 7928044	1,105.000	11.05	13,701.64	-8,110.38	5,591.26
TOTAL FOR ASSET		3,420.000	98.45	34,117.54	-23,099.49	11,018.05
SMART BALANCE INC COM						
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 20 SHARES AT \$5.80 CUSIP 83169Y108 7928044	20.000	0.40	115.59	-128.08	-12.49

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$7.74 CUSIP 83169Y108 7928044	10.000	0.20	77.19	-64.04	13.15
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$6.16 CUSIP 83169Y108 7928044	10.000	0.20	61.39	-64.04	-2.65
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.28 SETTLEMENT DATE 10/09/09 1,705 SHARES AT \$6.2912 CUSIP 83169Y108 7928044	1,705.000	17.05	10,709.17	-11,267.25	-558.08
	TOTAL FOR ASSET	1,745.000	17.85	10,963.34	-11,523.41	-560.07
	SOLARWINDS INC COM					
7/01/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/07/09 70 SHARES AT \$16.8945 CUSIP 83416B109 7928044	70.000	1.40	1,181.18	-875.00	306.18

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/21/09	SOLD THROUGH INSTINET MISC 0.02 SETTLEMENT DATE 7/24/09 40 SHARES AT \$17.8789 CUSIP 83416B109 7928044	40.000	0.80	714.34	-500.00	214.34
8/05/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/10/09 60 SHARES AT \$20.0887 CUSIP 83416B109 7928044	60.000	1.20	1,204.08	-750.00	454.08
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.22 SETTLEMENT DATE 10/09/09 380 SHARES AT \$22.05 CUSIP 83416B109 7928044	380.000	3.80	8,374.98	-5,031.09	3,343.89
	TOTAL FOR ASSET	550.000	7.20	11,474.58	-7,156.09	4,318.49
3/24/09	STATE STREET CORP COM SOLD THROUGH BNY BROKERAGE INC (ESI) ON THE NEW YORK STOCK EXCHANGE MISC 0.14 SETTLEMENT DATE 4/01/09 800 SHARES AT \$30.8633 CUSIP 857477103 7914624	800.000	40.00	24,650.50	-46,092.80	-21,442.30

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/03/09	SOLD THROUGH BNY BROKERAGE INC (ESI) MISC 0.22 SETTLEMENT DATE 4/08/09 1,200 SHARES AT \$31.636605 CUSIP 857477103 7914624	1,200.000	60.00	37,903.71	-70,623.56	-32,719.85
	TOTAL FOR ASSET	2,000.000	100.00	62,554.21	-116,716.36	-54,162.15
2/03/09	STERLING FINL CORP WASH COM SOLD THROUGH FRIEDMAN BILLINGS & RAMSEY ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 2/06/09 725 SHARES AT \$1.7106 CUSIP 859319105 7928044	725.000	14.50	1,225.68	-4,191.68	-2,966.00
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$3.82 CUSIP 859319105 7928044	10.000	0.20	37.99	-43.08	-5.09
7/27/09	SOLD THROUGH INSTINET MISC 0.12 SETTLEMENT DATE 7/30/09 2,010 SHARES AT \$2.2767 CUSIP 859319105 7928044	2,010.000	40.20	4,535.85	-8,060.64	-3,524.79
	TOTAL FOR ASSET	2,745.000	54.90	5,799.52	-12,295.40	-6,495.88
	STIFEL FINL CORP COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.22 SETTLEMENT DATE 10/09/09 150 SHARES AT \$54.52 CUSIP 860630102 7928044	150.000	1.50	8,176.28	-6,730.18	1,446.10
	TOTAL FOR ASSET	150.000	1.50	8,176.28	-6,730.18	1,446.10
	STRYKER CORP COM					
2/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 2/19/09 200 SHARES AT \$43.0603 CUSIP 863667101 1102734	200.000	2.00	8,610.01	-8,530.54	79.47
	TOTAL FOR ASSET	200.000	2.00	7,811.83	-8,530.54	-718.71
	STRYKER CORP COM					
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 5/18/09 200 SHARES AT \$39.0702 CUSIP 863667101 1102734	200.000	2.00	7,811.83	-8,530.54	-718.71
	TOTAL FOR ASSET	200.000	2.00	7,811.83	-8,530.54	-718.71
	STRYKER CORP COM					
5/22/09	SOLD THROUGH MORGAN STANLEY&CO INC PROGRAM ON THE NEW YORK STOCK EXCHANGE MISC 1.00 SETTLEMENT DATE 5/28/09 1,000 SHARES AT \$38.5455 CUSIP 863667101 1102734	1,000.000	40.00	38,504.50	-42,652.70	-4,148.20
	TOTAL FOR ASSET	1,000.000	40.00	38,504.50	-42,652.70	-4,148.20
	STRYKER CORP COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/27/09	SOLD THROUGH MORGAN STANLEY&CO INC PROGRAM ON THE NEW YORK STOCK EXCHANGE MISC 0.69 SETTLEMENT DATE 6/01/09 700 SHARES AT \$38.3114 CUSIP 863667101 1102734	700.000	28.00	26,789.29	-31,194.32	-4,405.03
5/28/09	SOLD THROUGH MORGAN STANLEY&CO INC PROGRAM ON THE NEW YORK STOCK EXCHANGE MISC 0.29 SETTLEMENT DATE 6/02/09 300 SHARES AT \$37.5132 CUSIP 863667101 1102734	300.000	12.00	11,241.67	-13,299.18	-2,057.51
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 7/27/09 100 SHARES AT \$39.095 CUSIP 863667101 1102734	100.000	1.00	3,908.39	-4,433.06	-524.67
12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 8/17/09 100 SHARES AT \$40.88 CUSIP 863667101 1102734	100.000	1.00	4,086.89	-4,433.06	-346.17
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.25 SETTLEMENT DATE 11/17/09 200 SHARES AT \$49.4601 CUSIP 863667101 1102734	200.000	2.00	9,889.77	-8,866.12	1,023.65

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.08 SETTLEMENT DATE 1/22/10 100 SHARES AT \$56.64 CUSIP 863667101 1102734	100.000	1.00	5,662.92	-4,433.06	1,229.86
	TOTAL FOR ASSET	2,900.000	89.00	116,505.27	-126,372.58	-9,867.31
2/05/09	SUN HEALTHCARE GROUP INC COM NEW					
	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/10/09 100 SHARES AT \$12.2622 CUSIP 866933401 7928044	100.000	2.00	1,224.21	-1,257.40	-33.19
2/11/09	SOLD THROUGH CREDIT SUISSE FIRST BOSTON COR MISC 0.01 SETTLEMENT DATE 2/17/09 25 SHARES AT \$12.4175 CUSIP 866933401 7928044	25.000	1.00	309.43	-314.35	-4.92
5/04/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/07/09 110 SHARES AT \$10.103 CUSIP 866933401 7928044	110.000	2.20	1,109.10	-1,383.14	-274.04

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$8.19 CUSIP 866933401 7928044	10.000	0.20	81.69	-125.74	-44.05
7/13/09	SOLD THROUGH LIQUIDNET INC MISC 0.29 SETTLEMENT DATE 7/16/09 1,190 SHARES AT \$9.395 CUSIP 866933401 7928044	1,190.000	23.80	11,155.96	-13,130.48	-1,974.52
	TOTAL FOR ASSET	1,435.000	29.20	13,880.39	-16,211.11	-2,330.72
	SUNPOWER CORP COM					
4/30/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/05/09 80 SHARES AT \$27.9296 CUSIP 867652109 7928044	80.000	1.60	2,232.71	-2,095.78	136.93
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$30.93 CUSIP 867652109 7928044	10.000	0.20	309.09	-261.97	47.12

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$33.12 CUSIP 867652109 7928044	10.000	0.20	330.99	-261.97	69.02
9/18/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.06 SETTLEMENT DATE 9/23/09 70 SHARES AT \$32.7173 CUSIP 867652109 7928044	70.000	2.80	2,287.35	-1,833.81	453.54
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 1.14 SETTLEMENT DATE 10/09/09 1,545 SHARES AT \$28.63 CUSIP 867652109 7928044	1,545.000	15.45	44,216.76	-41,055.44	3,161.32
	TOTAL FOR ASSET	1,715.000	20.25	49,376.90	-45,508.97	3,867.93
7/30/09	SYNCHRONOSS TECHNOLOGIES INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$13.15 CUSIP 871578103 7928044	10.000	0.20	131.29	-99.35	31.94

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.27 SETTLEMENT DATE 10/09/09 855 SHARES AT \$11.94 CUSIP 87157D109 7928044	855.000	8.55	10,199.88	-10,149.52	50.36
	TOTAL FOR ASSET	865.000	8.75	10,331.17	-10,248.87	82.30
7/31/09	SYNAPTICS INC COM SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 8/05/09 70 SHARES AT \$25.5762 CUSIP 87157D109 7928044	70.000	1.40	1,788.88	-2,257.16	-468.28
10/13/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.78 SETTLEMENT DATE 10/16/09 1,404 SHARES AT \$21.4295 CUSIP 87157D109 7928044	1,404.000	70.20	30,016.04	-32,636.80	-2,620.76
	TOTAL FOR ASSET	1,474.000	71.60	31,804.92	-34,893.96	-3,089.04
11/04/09	SYNOVIS LIFE TECHNOLOGIES INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 11/09/09 292 SHARES AT \$11.8136 CUSIP 87162G105 7928044	292.000	5.84	3,443.64	-4,001.39	-557.75

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/05/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 11/10/09 321 SHARES AT \$11.5517 CUSIP 871626105 7928044	321.000	6.42	3,701.58	-4,398.79	-697.21
11/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 11/12/09 149 SHARES AT \$11.8302 CUSIP 871626105 7928044	149.000	7.45	1,755.20	-2,041.81	-286.61
8/12/09	TOTAL FOR ASSET TJX COS INC COM NEW	762.000	19.71	8,900.42	-10,441.99	-1,541.57
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.10 SETTLEMENT DATE 8/17/09 100 SHARES AT \$35.61 CUSIP 872540109 1102734	100.000	1.00	3,559.90	-3,489.63	70.27
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.40 SETTLEMENT DATE 11/17/09 400 SHARES AT \$38.8301 CUSIP 872540109 1102734	400.000	4.00	15,527.64	-13,958.52	1,569.12

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 1/22/10 300 SHARES AT \$37.6933 CUSIP 872540109 1102734	300.000	3.00	11,304.84	-10,468.89	835.95
	TOTAL FOR ASSET	800.000	8.00	30,392.38	-27,917.04	2,475.34
10/06/09	TANGER FACTORY OUTLET CTRS INC REIT SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.17 SETTLEMENT DATE 10/09/09 180 SHARES AT \$36.71 CUSIP 875465106 7928044	180.000	1.80	6,605.83	-5,847.23	758.60
	TOTAL FOR ASSET	180.000	1.80	6,605.83	-5,847.23	758.60
2/25/09	TESCO CORP CDA COM SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/24/09 100 SHARES AT \$9.2019 CUSIP 88157K101 7928044	100.000	2.00	918.18	-1,012.00	-93.82
2/20/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/25/09 175 SHARES AT \$8.2858 CUSIP 88157K101 7928044	175.000	3.50	1,446.51	-1,771.00	-324.49

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	TOTAL FOR ASSET	275.000	5.50	2,364.69	-2,783.00	-418.31
	TEXAS ROADHOUSE INC COM					
	SOLD					
	THROUGH BAYPOINT TRADING LLC					
	ON THE OVER THE COUNTER					
	MISC 0.12	450.000	4.50	4,607.88	-5,178.88	-571.00
	SETTLEMENT DATE 10/09/09					
	450 SHARES AT \$10.25					
	CUSIP 882681109					
	7928044					
2/04/09	TOTAL FOR ASSET	450.000	4.50	4,607.88	-5,178.88	-571.00
	THERAVANCE INC COM					
	SOLD					
	THROUGH INSTINET					
	MISC 0.02	125.000	2.50	1,911.22	-1,364.76	546.46
	SETTLEMENT DATE 2/09/09					
	125 SHARES AT \$15.3099					
	CUSIP 88338T104					
	7928044					
2/05/09	TOTAL FOR ASSET	75.000	1.50	1,231.81	-818.86	412.95
	THROUGH LIQUIDNET INC					
	MISC 0.01					
	SETTLEMENT DATE 2/10/09					
	75 SHARES AT \$16.4443					
	CUSIP 88338T104					
	7928044					
2/05/09	TOTAL FOR ASSET	100.000	2.00	1,641.34	-1,091.81	549.53
	THROUGH INSTINET					
	MISC 0.01					
	SETTLEMENT DATE 2/10/09					
	100 SHARES AT \$16.4335					
	CUSIP 88338T104					
	7928044					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/25/09	TOTAL FOR ASSET	300.000	6.00	4,784.37	-3,275.43	1,508.94
	3SBIO INC SPONS ADR					
	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.01 SETTLEMENT DATE 3/30/09 130 SHARES AT \$6.6393 CUSIP 88575Y105 7928044	130.000	5.20	857.90	-766.74	93.16
4/13/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 4/16/09 120 SHARES AT \$7.9522 CUSIP 88575Y105 7928044	120.000	2.40	951.83	-705.91	245.92
5/01/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/06/09 270 SHARES AT \$7.5604 CUSIP 88575Y105 7928044	270.000	5.40	2,035.85	-1,588.30	447.55
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$8.59 CUSIP 88575Y105 7928044	10.000	0.20	85.69	-58.83	26.86

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/03/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.04 SETTLEMENT DATE 8/06/09 120 SHARES AT \$10.6319 CUSIP 88575Y105 7928044	120.000	4.80	1,270.99	-705.91	565.08
8/11/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 8/14/09 210 SHARES AT \$10.2971 CUSIP 88575Y105 7928044	210.000	4.20	2,158.13	-1,235.35	922.78
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 10/09/09 505 SHARES AT \$10.8724 CUSIP 88575Y105 7928044	505.000	5.05	5,485.36	-2,869.69	2,615.67
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.19 SETTLEMENT DATE 8/17/09 100 SHARES AT \$72.12 CUSIP 88579Y101 1102734	100.000	1.00	7,210.81	-6,019.15	1,191.66
	TOTAL FOR ASSET	1,365.000	27.25	12,845.75	-7,928.73	4,917.02
	3M CO COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.20 SETTLEMENT DATE 11/17/09 100 SHARES AT \$77.3801 CUSIP 88579Y101 1102734	100.000	1.00	7,736.81	-6,019.15	1,717.66
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.11 SETTLEMENT DATE 1/22/10 100 SHARES AT \$84.8901 CUSIP 88579Y101 1102734	100.000	1.00	8,487.90	-6,019.15	2,468.75
10/06/09	TOTAL FOR ASSET 3PAR INC COM SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.44 SETTLEMENT DATE 10/09/09 1,440 SHARES AT \$11.71 CUSIP 88580F109 7928044	300.000	3.00	23,435.52	-18,057.45	5,378.07
		1,440.000	14.40	16,847.56	-13,918.73	2,928.83
7/21/09	TOTAL FOR ASSET TRACTOR SUPPLY CO COM SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 7/24/09 50 SHARES AT \$46.8493 CUSIP 892356106 7928044	1,440.000	14.40	16,847.56	-13,918.73	2,928.83
		50.000	1.00	2,341.40	-1,691.50	649.90

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/05/09	TOTAL FOR ASSET	50.000	1.00	2,341.40	-1,691.50	649.90
	TRANSL INC COM					
	SOLD					
	THROUGH INSTINET					
	MISC 0.01					
	SETTLEMENT DATE 2/10/09					
	50 SHARES AT \$7.236	50.000	1.00	360.79	-387.34	-26.55
	CUSIP 89385X105					
	7928044					
2/06/09	TOTAL FOR ASSET	100.000	2.00	736.76	-774.67	-37.91
	THROUGH INSTINET					
	MISC 0.01					
	SETTLEMENT DATE 2/11/09					
	100 SHARES AT \$7.3877					
	CUSIP 89385X105					
	7928044					
2/26/09	TOTAL FOR ASSET	150.000	3.00	810.22	-1,162.00	-351.78
	THROUGH INSTINET					
	MISC 0.01					
	SETTLEMENT DATE 3/03/09					
	150 SHARES AT \$5.4215					
	CUSIP 89385X105					
	7928044					
2/03/09	TOTAL FOR ASSET	300.000	6.00	1,907.77	-2,324.01	-416.24
	TRIQUINT SEMICONDUCTOR INC COM					
	SOLD					
	THROUGH LIQUIDNET INC					
	MISC 0.01					
	SETTLEMENT DATE 2/06/09					
	200 SHARES AT \$2.1604	200.000	2.00	430.07	-805.92	-375.85
	CUSIP 89674K103					
	7928044					



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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/04/09	SOLD THROUGH DAVIDSON, D.A., & CO, INC. ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 2/09/09 275 SHARES AT \$2.3427 CUSIP 89674K103 7928044	275.000	8.25	635.98	-1,108.14	-472.16
2/06/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 2/11/09 350 SHARES AT \$2.7341 CUSIP 89674K103 7928044	350.000	3.50	953.43	-1,410.36	-456.93
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 20 SHARES AT \$2.22 CUSIP 89674K103 7928044	20.000	0.40	43.99	-80.59	-36.60
4/06/09	SOLD THROUGH LIQUIDNET INC MISC 0.01 SETTLEMENT DATE 4/09/09 150 SHARES AT \$3.525 CUSIP 89674K103 7928044	150.000	1.50	527.24	-604.44	-77.20
4/14/09	SOLD THROUGH LIQUIDNET INC MISC 0.03 SETTLEMENT DATE 4/17/09 250 SHARES AT \$3.855 CUSIP 89674K103 7928044	250.000	2.50	961.22	-1,007.40	-46.18

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/23/09	SOLD THROUGH WEEDEN AND CO. ON THE OVER THE COUNTER MISC 0.02 SETTLEMENT DATE 4/28/09 100 SHARES AT \$4.091 CUSIP 89674K103 7928044	100.000	3.00	406.08	-402.96	3.12
4/23/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 4/28/09 50 SHARES AT \$4.1522 CUSIP 89674K103 7928044	50.000	1.00	206.60	-201.48	5.12
4/23/09	SOLD THROUGH LIQUIDNET INC MISC 0.02 SETTLEMENT DATE 4/28/09 100 SHARES AT \$4.1914 CUSIP 89674K103 7928044	100.000	1.00	418.12	-402.96	15.16
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.02 SETTLEMENT DATE 6/18/09 70 SHARES AT \$5.90 CUSIP 89674K103 7928044	70.000	1.40	411.58	-282.07	129.51
7/17/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 7/22/09 20 SHARES AT \$6.12 CUSIP 89674K103 7928044	20.000	0.40	121.99	-80.59	41.40

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 30 SHARES AT \$6.94 CUSIP 89674K103 7928044	30.000	0.60	207.59	-120.89	86.70
8/24/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 8/27/09 180 SHARES AT \$7.4425 CUSIP 89674K103 7928044	180.000	3.60	1,336.01	-725.33	610.68
8/28/09	SOLD THROUGH LIQUIDNET INC MISC 0.04 SETTLEMENT DATE 9/02/09 185 SHARES AT \$7.5028 CUSIP 89674K103 7928044	185.000	3.70	1,384.28	-745.48	638.80
9/09/09	SOLD THROUGH MORGAN STANLEY & CO INC PROGRAM ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 9/14/09 190 SHARES AT \$8.016 CUSIP 89674K103 7928044	190.000	7.60	1,515.40	-765.62	749.78
9/10/09	SOLD THROUGH MORGAN STANLEY & CO INC PROGRAM MISC 0.04 SETTLEMENT DATE 9/15/09 170 SHARES AT \$8.0147 CUSIP 89674K103 7928044	170.000	6.80	1,355.66	-685.03	670.63

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 1.45 SETTLEMENT DATE 10/09/09 7,130 SHARES AT \$7.8853 CUSIP 89674K103 7928044	7,130.000	71.30	56,149.44	-24,122.68	32,026.76
	TOTAL FOR ASSET	9,470.000	118.55	67,064.68	-33,551.94	33,512.74
4/09/09	TRUE RELIGION APPAREL INC COM SOLD THROUGH DEUTSCHE BANK SECURITIES INC ON THE OVER THE COUNTER MISC 0.05 SETTLEMENT DATE 4/15/09 150 SHARES AT \$12.6082 CUSIP 89784N104 7928044	150.000	6.00	1,885.18	-2,469.00	-583.82
4/23/09	SOLD THROUGH BUCKINGHAM RESEARCH GROUP INC ON THE OVER THE COUNTER MISC 0.03 SETTLEMENT DATE 4/28/09 60 SHARES AT \$16.5489 CUSIP 89784N104 7928044	60.000	2.40	990.50	-987.60	2.90
4/29/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/04/09 120 SHARES AT \$16.8226 CUSIP 89784N104 7928044	120.000	2.40	2,016.25	-1,975.20	41.05

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/04/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 5/07/09 120 SHARES AT \$17.4657 CUSIP 89784N104 7928044	120.000	2.40	2,093.42	-1,975.20	118.22
5/05/09	SOLD THROUGH INSTINET MISC 0.09 SETTLEMENT DATE 5/08/09 180 SHARES AT \$17.7735 CUSIP 89784N104 7928044	180.000	3.60	3,195.54	-2,962.80	232.74
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$21.15 CUSIP 89784N104 7928044	10.000	0.20	211.29	-166.60	46.69
8/04/09	SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 8/07/09 130 SHARES AT \$22.8508 CUSIP 89784N104 7928044	130.000	2.60	2,967.92	-2,006.66	961.26
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 10/09/09 290 SHARES AT \$26.9069 CUSIP 89784N104 7928044	290.000	2.90	7,799.89	-3,663.90	4,135.99
TOTAL FOR ASSET		1,060.000	22.50	21,159.99	-16,204.96	4,955.03

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2/12/09	TUPPERWARE BRANDS COM					
	SOLD					
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SM	75.000	3.00	1,361.93	-1,636.50	-274.57
	MISC 0.01					
	SETTLEMENT DATE 2/18/09					
	75 SHARES AT \$18.1992					
	CUSIP 899896104					
	7928044					
3/12/09	SOLD					
	THROUGH CITIGROUP GLOBAL MARKET INC.	75.000	3.00	955.32	-1,636.50	-681.18
	MISC 0.01					
	SETTLEMENT DATE 3/17/09					
	75 SHARES AT \$12.7777					
	CUSIP 899896104					
	7928044					
2/26/09	TOTAL FOR ASSET	150.000	6.00	2,317.25	-3,273.00	-955.75
	UCBH HLDS INC COM					
	SOLD					
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SM	50.000	1.00	83.99	-249.05	-165.06
	ON THE OVER THE COUNTER					
	MISC 0.01					
	SETTLEMENT DATE 3/03/09					
	50 SHARES AT \$1.70					
	CUSIP 90262T308					
	7928044					
6/15/09	SOLD					
	THROUGH GOLDMAN, SACHS & CO.	120.000	2.40	189.59	-597.71	-408.12
	ON THE PACIFIC STOCK EXCHANGE					
	MISC 0.01					
	SETTLEMENT DATE 6/18/09					
	120 SHARES AT \$1.60					
	CUSIP 90262T308					
	7928044					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 90 SHARES AT \$1.23 CUSIP 90262T308 7928044	90.000	1.80	108.89	-448.28	-339.39
8/31/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 9/03/09 1,230 SHARES AT \$1.3044 CUSIP 90262T308 7928044	1,230.000	24.60	1,579.76	-6,126.50	-4,546.74
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.31 SETTLEMENT DATE 10/09/09 11,340 SHARES AT \$1.05 CUSIP 90262T308 7928044	11,340.000	113.40	11,793.29	-33,058.59	-21,265.30
	TOTAL FOR ASSET	12,830.000	143.20	13,755.52	-40,480.13	-26,724.61
	UMPQUA HLDGS CORP COM					
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$9.64 CUSIP 904214103 7928044	10.000	0.20	96.19	-101.10	-4.91

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STATEMENT
REALIZED
GAIN/LOSS

COST

CASH

BROKERAGE
COMMISSIONSHARES/
PAR VALUE

DESCRIPTION

EFFECTIVE
DATE

10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.39 SETTLEMENT DATE 10/09/09 1,425 SHARES AT \$10.41 CUSIP 904214103 7928044	14.25	14,819.61	-12,241.78	2,577.83
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TOTAL FOR ASSET		14.45	14,915.80	-12,342.88	2,572.92
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5/27/09	UNITED THERAPEUTICS CORP DEL CON SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 6/01/09 35 SHARES AT \$78.4623 CUSIP 91307C102 7928044	0.70	2,745.40	-2,231.34	514.06
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6/02/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/05/09 10 SHARES AT \$81.681 CUSIP 91307C102 7928044	0.20	816.58	-627.77	188.81
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6/03/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 6/08/09 20 SHARES AT \$83.4315 CUSIP 91307C102 7928044	0.40	1,668.18	-1,227.84	440.34
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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/05/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 6/10/09 15 SHARES AT \$82.6972 CUSIP 91307C102 7928044	15.000	0.30	1,240.12	-902.43	337.69
	TOTAL FOR ASSET	80.000	1.60	6,470.28	-4,989.38	1,480.90
2/05/09	UNIVERSAL DISPLAY CORP COM SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/10/09 30 SHARES AT \$7.5618 CUSIP 91347P105 7928044	30.000	0.60	226.24	-322.18	-95.94
2/06/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 2/11/09 220 SHARES AT \$7.8266 CUSIP 91347P105 7928044	220.000	4.40	1,717.44	-2,362.62	-645.18
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$6.05 CUSIP 91347P105 7928044	10.000	0.20	60.29	-107.39	-47.10

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 20 SHARES AT \$10.10 CUSIP 91347P105 7928044	20.000	0.40	201.59	-214.78	-13.19
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$12.24 CUSIP 91347P105 7928044	10.000	0.20	122.19	-107.39	14.80
8/04/09	SOLD THROUGH INSTINET MISC 0.05 SETTLEMENT DATE 8/07/09 140 SHARES AT \$12.2269 CUSIP 91347P105 7928044	140.000	2.80	1,708.92	-1,503.49	205.43
8/07/09	SOLD THROUGH INSTINET MISC 0.08 SETTLEMENT DATE 8/12/09 220 SHARES AT \$12.5838 CUSIP 91347P105 7928044	220.000	4.40	2,763.96	-2,362.63	401.33
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.38 SETTLEMENT DATE 10/09/09 1,250 SHARES AT \$11.7692 CUSIP 91347P105 7928044	1,250.000	12.50	14,698.62	-12,419.26	2,279.36
TOTAL FOR ASSET		1,900.000	25.50	21,499.25	-19,399.74	2,099.51

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/13/09	VISA INC CL A COM SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.07 SETTLEMENT DATE 2/19/09 200 SHARES AT \$55.81 CUSIP 92826C839 1102734	200.000	2.00	11,159.93	-9,829.68	1,330.25
5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.17 SETTLEMENT DATE 5/18/09 100 SHARES AT \$64.59 CUSIP 92826C839 1102734	100.000	1.00	6,457.83	-4,914.84	1,542.99
7/22/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 7/27/09 100 SHARES AT \$66.83 CUSIP 92826C839 1102734	100.000	1.00	6,681.82	-4,914.84	1,766.98
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.18 SETTLEMENT DATE 8/17/09 100 SHARES AT \$68.7803 CUSIP 92826C839 1102734	100.000	1.00	6,876.85	-4,914.84	1,962.01

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
11/12/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.62 SETTLEMENT DATE 11/17/09 300 SHARES AT \$79.8501 CUSIP 92826C839 1102734	300.000	3.00	23,951.41	-14,744.52	9,206.89
12/21/09	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 1.35 SETTLEMENT DATE 12/24/09 600 SHARES AT \$87.3682 CUSIP 92826C839 1102734	600.000	24.00	52,395.57	-28,922.95	23,472.62
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.12 SETTLEMENT DATE 1/22/10 100 SHARES AT \$87.40 CUSIP 92826C839 1102734	100.000	1.00	8,738.88	-4,348.75	4,390.13
1/22/10	SOLD THROUGH MORGAN STANLEY&CO INC PROGRAM ON THE NEW YORK STOCK EXCHANGE MISC 0.43 SETTLEMENT DATE 1/27/10 400 SHARES AT \$83.2933 CUSIP 92826C839 1102734	400.000	16.00	33,300.89	-17,395.00	15,905.89
	TOTAL FOR ASSET	1,900.000	49.00	149,563.18	-89,985.42	59,577.76
	VIVUS INC COM					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
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10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.25 SETTLEMENT DATE 10/09/09 970 SHARES AT \$9.90 CUSIP 928551100 7928044	970.000	9.70	9,593.05	-10,274.94	-681.89
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	TOTAL FOR ASSET WABTEC CORP COM	970.000	9.70	9,593.05	-10,274.94	-681.89
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10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE NEW YORK STOCK EXCHANGE MISC 0.29 SETTLEMENT DATE 10/09/09 290 SHARES AT \$37.9138 CUSIP 929740108 7928044	290.000	2.90	10,991.81	-10,383.53	608.28
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	TOTAL FOR ASSET WAL-MART STORES INC COM	290.000	2.90	10,991.81	-10,383.53	608.28
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3/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.08 SETTLEMENT DATE 2/19/09 300 SHARES AT \$46.6923 CUSIP 931142103 1102734	300.000	3.00	14,004.61	-17,643.00	-3,638.39
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5/13/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, MISC 0.26 SETTLEMENT DATE 5/18/09 200 SHARES AT \$49.90 CUSIP 931142103 1102734	200.000	2.00	9,977.74	-11,762.00	-1,784.26
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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
5/20/09	SOLD THROUGH MORGAN KEESAN & COMPANY INC. ON THE NEW YORK STOCK EXCHANGE MISC 0.89 SETTLEMENT DATE 5/26/09 700 SHARES AT \$49.6671 CUSIP 931142103 1102734	700.000	28.00	34,598.08	-40,575.04	-5,976.96
6/17/09	SOLD THROUGH SANFORD C BERNSTEIN & CO LLC MISC 0.75 SETTLEMENT DATE 6/22/09 600 SHARES AT \$48.6201 CUSIP 931142103 1102734	600.000	24.00	29,147.31	-34,333.72	-5,186.41
7/16/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 1.12 SETTLEMENT DATE 7/21/09 900 SHARES AT \$48.1882 CUSIP 931142103 1102734	900.000	36.00	43,332.26	-51,448.32	-8,116.06
8/12/09	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.26 SETTLEMENT DATE 8/17/09 200 SHARES AT \$50.46 CUSIP 931142103 1102734	200.000	2.00	10,089.74	-11,472.06	-1,382.32
1/19/10	SOLD THROUGH INVESTMENT TECHNOLOGY GROUP, ON THE OVER THE COUNTER MISC 0.21 SETTLEMENT DATE 1/22/10 300 SHARES AT \$54.1406 CUSIP 931142103 1102734	300.000	3.00	16,238.97	-17,208.09	-969.12

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
	TOTAL FOR ASSET	3,200.000	98.00	157,388.71	-184,442.23	-27,053.52
	WASHINGTON FEDERAL INC COM					
7/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$13.44 CUSIP 938824109 7928044	10.000	0.20	134.19	-123.24	10.95
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$14.00 CUSIP 938824109 7928044	10.000	0.20	139.79	-123.24	16.55
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.76 SETTLEMENT DATE 10/09/09 1,730 SHARES AT \$16.9764 CUSIP 938824109 7928044	1,730.000	17.30	29,351.11	-22,093.20	7,257.91
	TOTAL FOR ASSET	1,750.000	17.70	29,625.09	-22,339.68	7,285.41
	WATSON WYATT WORLDWIDE INC CL A COM					
7/17/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 7/22/09 60 SHARES AT \$36.4015 CUSIP 942712100 7928044	60.000	1.20	2,182.83	-2,423.93	-241.10

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/12/09	TOTAL FOR ASSET	60.000	1.20	2,182.83	-2,423.93	-241.10
	WELLS FARGO & CO NEW COM					
	SOLD					
	THROUGH MORGAN KEEGAN & COMPANY INC. ON THE NEW YORK STOCK EXCHANGE	3,700.000	148.00	57,843.62	-101,523.87	-43,680.25
	MISC 0.33 SETTLEMENT DATE 2/18/09 3,700 SHARES AT \$15.6735 CUSIP 949746101 1102734					
6/02/09	TOTAL FOR ASSET	3,700.000	148.00	57,843.62	-101,523.87	-43,680.25
	WESTPORT INNOVATIONS INC COM					
	SOLD					
	THROUGH INSTINET	90.000	1.80	639.72	-373.50	266.22
	MISC 0.02 SETTLEMENT DATE 6/05/09 90 SHARES AT \$7.1282 CUSIP 960908309 7928044					
6/04/09	TOTAL FOR ASSET	380.000	7.60	2,682.50	-1,577.00	1,105.50
	THROUGH INSTINET					
	MISC 0.07 SETTLEMENT DATE 6/09/09 380 SHARES AT \$7.0794 CUSIP 960908309 7928044					
6/15/09	TOTAL FOR ASSET	20.000	0.40	154.79	-83.00	71.79
	THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE					
	MISC 0.01 SETTLEMENT DATE 6/18/09 20 SHARES AT \$7.76 CUSIP 960908309 7928044					

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.27 SETTLEMENT DATE 10/09/09 860 SHARES AT \$12.1023 CUSIP 960908309 7928044	860.000	8.60	10,399.11	-3,721.78	6,677.33
	TOTAL FOR ASSET	1,350.000	18.60	13,876.12	-5,755.28	8,120.84
	WHOLE FOODS MKT INC COM					
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 30 SHARES AT \$12.37 CUSIP 966837106 7928044	30.000	0.60	370.49	-367.50	2.99
3/20/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/25/09 50 SHARES AT \$15.2623 CUSIP 966837106 7928044	50.000	1.00	762.11	-612.50	149.61
4/28/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.04 SETTLEMENT DATE 5/01/09 60 SHARES AT \$19.5508 CUSIP 966837106 7928044	60.000	2.40	1,170.61	-735.00	435.61

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
4/29/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/04/09 50 SHARES AT \$19.719 CUSIP 966837106 7928044	50.000	1.00	984.92	-612.50	372.42
4/30/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 5/05/09 60 SHARES AT \$20.4392 CUSIP 966837106 7928044	60.000	1.20	1,225.11	-735.00	490.11
5/06/09	SOLD THROUGH INSTINET MISC 0.07 SETTLEMENT DATE 5/07/09 110 SHARES AT \$22.1938 CUSIP 966837106 7928044	110.000	2.20	2,439.05	-1,347.50	1,091.55
5/07/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/12/09 50 SHARES AT \$23.139 CUSIP 966837106 7928044	50.000	1.00	1,155.92	-612.50	543.42
7/26/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/29/09 60 SHARES AT \$23.5824 CUSIP 966837106 7928044	60.000	1.20	1,413.70	-735.00	678.70

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/03/09	SOLD THROUGH J. P. MORGAN SECURITIES, INC. MISC 0.31 SETTLEMENT DATE 8/06/09 475 SHARES AT \$24.6505 CUSIP 966837106 7928044	475.000	19.00	11,689.68	-6,331.89	5,357.79
	TOTAL FOR ASSET	945.000	29.60	21,211.59	-12,089.39	9,122.20
	WILLBROS GROUP INC COM					
2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 3/03/09 30 SHARES AT \$7.13 CUSIP 969199108 7928044	30.000	0.60	213.29	-450.60	-237.31
	TOTAL FOR ASSET	30.000	0.60	213.29	-450.60	-237.31
	WILLBROS GROUP INC COM					
5/12/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 5/15/09 90 SHARES AT \$12.362 CUSIP 969203108 7928044	90.000	1.80	1,110.75	-1,351.80	-241.05
	TOTAL FOR ASSET	90.000	1.80	1,110.75	-1,351.80	-241.05
	WILLBROS GROUP INC COM					
5/29/09	SOLD THROUGH INSTINET MISC 0.03 SETTLEMENT DATE 6/03/09 70 SHARES AT \$15.276 CUSIP 969203108 7928044	70.000	1.40	1,067.89	-1,051.40	16.49

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/11/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 8/14/09 110 SHARES AT \$12.2233 CUSIP 969203108 7928044	110.000	2.20	1,342.32	-1,161.85	180.47
9/23/09	SOLD THROUGH GOLDMAN, SACHS & CO. MISC 0.08 SETTLEMENT DATE 9/28/09 200 SHARES AT \$15.0847 CUSIP 969203108 7928044	200.000	8.00	3,008.86	-1,848.94	1,159.92
	TOTAL FOR ASSET	470.000	13.40	6,529.82	-5,413.99	1,115.83
2/26/09	WILLIAMS SONOMA INC COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$8.88 CUSIP 969904101 7928044	10.000	0.20	88.59	-109.70	-21.11
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$12.17 CUSIP 969904101 7928044	10.000	0.20	121.49	-109.70	11.79

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/23/09	SOLD THROUGH LIQUIDNET INC MISC 0.26 SETTLEMENT DATE 7/28/09 710 SHARES AT \$14.0191 CUSIP 969904101 7928044	710.000	14.20	9,939.10	-8,667.30	1,271.80
	TOTAL FOR ASSET	730.000	14.60	10,149.18	-8,886.70	1,262.48
2/26/09	WIND RIVER SYS CORP COM SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SMITH ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$7.20 CUSIP 973149107 7928044	10.000	0.20	71.79	-79.13	-7.34
3/09/09	SOLD THROUGH STIFEL, NICOLAUS & CO., INC. MISC 0.01 SETTLEMENT DATE 3/12/09 120 SHARES AT \$5.8312 CUSIP 973149107 7928044	120.000	4.80	694.93	-949.54	-254.61
3/12/09	SOLD THROUGH INSTINET MISC 0.01 SETTLEMENT DATE 3/17/09 130 SHARES AT \$6.4056 CUSIP 973149107 7928044	130.000	2.60	830.12	-1,028.66	-198.54

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
6/05/09	SOLD THROUGH IVY SECURITY INC ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 6/10/09 300 SHARES AT \$11.6214 CUSIP 973149107 7928044	300.000	12.00	3,474.33	-2,373.84	1,100.49
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$11.45 CUSIP 973149107 7928044	10.000	0.20	114.29	-79.13	35.16
6/18/09	SOLD THROUGH IVY SECURITY INC MISC 0.09 SETTLEMENT DATE 6/23/09 290 SHARES AT \$11.45 CUSIP 973149107 7928044	290.000	11.60	3,308.81	-2,294.71	1,014.10
6/24/09	SOLD THROUGH IVY SECURITY INC ON THE OVER THE COUNTER MISC 0.15 SETTLEMENT DATE 6/29/09 480 SHARES AT \$11.4568 CUSIP 973149107 7928044	480.000	19.20	5,479.91	-3,798.14	1,681.77
7/02/09	SOLD THROUGH INSTINET MISC 0.04 SETTLEMENT DATE 7/08/09 110 SHARES AT \$11.44 CUSIP 973149107 7928044	110.000	2.20	1,256.16	-881.13	375.03

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ASSETS DISPOSED / SOLD

2/01/09 THROUGH 1/31/10

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
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7/06/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.09 SETTLEMENT DATE 7/09/09 300 SHARES AT \$11.4573 CUSIP 973149107 7928044	300.000	12.00	3,425.10	-2,304.16	1,120.94
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7/15/09	SOLD MISC 0.00 SETTLEMENT DATE 7/15/09 TENDER PROCEEDS RECEIVED CUSIP 973149107 7928044	485.000	0.00	5,577.50	-3,588.73	1,988.77
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TOTAL FOR ASSET		2,235.000	64.80	24,232.94	-17,377.17	6,855.77
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WOODWARD GOVERNOR CO COM

2/26/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/03/09 10 SHARES AT \$17.36 CUSIP 980745103 7928044	10.000	0.20	173.39	-296.97	-123.58
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3/02/09	SOLD THROUGH KNIGHT SECS BROADCAST CAP MISC 0.02 SETTLEMENT DATE 3/05/09 140 SHARES AT \$13.3582 CUSIP 980745103 7928044	140.000	5.60	1,864.53	-4,157.59	-2,293.06
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Statement D1



ASSETS DISPOSED / SOLD

2/01/09 THROUGH 1/31/10

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
3/18/09	SOLD THROUGH DAVIDSON, D.A., & CO, INC. ON THE OVER THE COUNTER MISC 0.01 SETTLEMENT DATE 3/23/09 150 SHARES AT \$9.5936 CUSIP 980745103 7928044	150.000	6.00	1,433.03	-3,117.44	-1,684.41
	TOTAL FOR ASSET	300.000	11.80	3,470.95	-7,572.00	-4,101.05
	XENOPORT INC COM					
6/15/09	SOLD THROUGH GOLDMAN, SACHS & CO. ON THE PACIFIC STOCK EXCHANGE MISC 0.01 SETTLEMENT DATE 6/18/09 10 SHARES AT \$20.83 CUSIP 98411C100 7928044	10.000	0.20	208.09	-410.70	-202.61
	TOTAL FOR ASSET	10.000	0.20	209.49	-410.70	-201.21
	THROUGH MERRILL LYNCH, PIERCE, FENNER & SM					
7/30/09	SOLD THROUGH MERRILL LYNCH, PIERCE, FENNER & SM MISC 0.01 SETTLEMENT DATE 8/04/09 10 SHARES AT \$20.97 CUSIP 98411C100 7928044	10.000	0.20	209.49	-410.70	-201.21
	TOTAL FOR ASSET	1,200.000	12.00	26,097.28	-29,105.06	-3,007.78
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.68 SETTLEMENT DATE 10/09/09 1,200 SHARES AT \$21.7583 CUSIP 98411C100 7928044					
	TOTAL FOR ASSET	1,220.000	12.40	26,514.86	-29,926.46	-3,411.60
	ZUMIEZ INC COM					

Statement D



ACCOUNT C110934

ASSETS DISPOSED / SOLD

2/01/09 THROUGH 1/31/10

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
8/26/09	SOLD THROUGH INSTINET MISC 0.06 SETTLEMENT DATE 8/31/09 150 SHARES AT \$13.5324 CUSIP 989817101 7928044	150.000	3.00	2,026.80	-1,520.79	506.01
9/17/09	SOLD THROUGH U.S. BANCORP PIPER JAFFRAY INC ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 9/22/09 90 SHARES AT \$15.3421 CUSIP 989817101 7928044	90.000	3.60	1,377.15	-882.28	494.87
9/18/09	SOLD THROUGH U.S. BANCORP PIPER JAFFRAY INC ON THE OVER THE COUNTER MISC 0.04 SETTLEMENT DATE 9/23/09 90 SHARES AT \$16.9857 CUSIP 989817101 7928044	90.000	3.60	1,525.07	-731.31	793.76
10/06/09	SOLD THROUGH BAYPOINT TRADING LLC ON THE OVER THE COUNTER MISC 0.13 SETTLEMENT DATE 10/09/09 300 SHARES AT \$15.62 CUSIP 989817101 7928044	300.000	3.00	4,682.87	-2,193.69	2,489.18
TOTAL FOR ASSET		630.000	13.20	9,611.89	-5,328.07	4,283.82
TOTAL EQUITY SECURITIES		345,893.770	7,024.79	7,256,418.29	-7,059,636.61	196,781.66
MUTUAL FUNDS						

Statement D1



ASSETS DISPOSED / SOLD

2/01/09 THROUGH 1/31/10

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
2/01/09	COLCHESTER GLOBAL BD FD SHS BEN INT					
	SOLD					
	ON THE OVER THE COUNTER					
	MISC 0.00	56.315	0.00	1,315.23	-1,248.75	66.48
	SETTLEMENT DATE 2/09/09					
	ADVISORY FEE PER 1/31/09 STMT					
	CUSIP 192805109					
	1129680					
3/01/09	SOLD					
	ON THE OVER THE COUNTER					
	MISC 0.00	56.287	0.00	1,242.18	-1,248.13	-5.95
	SETTLEMENT DATE 3/06/09					
	ADVISORY FEE PER 02/28/09 STMT					
	CUSIP 192805109					
	1129680					
4/01/09	SOLD					
	ON THE OVER THE COUNTER					
	MISC 0.00	56.259	0.00	1,298.48	-1,247.50	50.98
	SETTLEMENT DATE 4/08/09					
	ADVISORY FEE PER 03/31/09 STMT					
	CUSIP 192805109					
	1129680					
5/01/09	SOLD					
	ON THE OVER THE COUNTER					
	MISC 0.00	56.230	0.00	1,324.07	-1,246.88	77.19
	SETTLEMENT DATE 5/07/09					
	ADVISORY FEE PER 04/30/09 STMT					
	CUSIP 192805109					
	1129680					
6/01/09	SOLD					
	ON THE OVER THE COUNTER					
	MISC 0.00	56.202	0.00	1,369.98	-1,246.25	123.73
	SETTLEMENT DATE 6/08/09					
	ADVISORY FEE PER 05/31/09 STMT					
	CUSIP 192805109					
	1129680					

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ASSETS DISPOSED / SOLD

2/01/09 THROUGH 1/31/10

ACCOUNT C110934

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RICH FOUNDATION/COMBINED CUST

EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
7/01/09	SOLD ON THE OVER THE COUNTER MISC 0.00 SETTLEMENT DATE 7/13/09 ADVISORY FEE PER 06/30/09 STMT CUSIP 192805109 1129680	56.174	0.00	1,369.84	-1,245.63	124.21
8/01/09	SOLD ON THE OVER THE COUNTER MISC 0.00 SETTLEMENT DATE 8/07/09 ADVISORY FEE PER 07/31/09 STMT CUSIP 192805109 1129680	56.146	0.00	1,409.16	-1,245.01	164.15
9/01/09	SOLD ON THE OVER THE COUNTER MISC 0.00 SETTLEMENT DATE 9/08/09 ADVISORY FEE PER 08/31/09 STMT CUSIP 192805109 1129680	56.118	0.00	1,432.57	-1,244.39	188.18
10/01/09	SOLD ON THE OVER THE COUNTER MISC 0.00 SETTLEMENT DATE 10/07/09 ADVISORY FEE PER 09/31/09 STATEMENT CUSIP 192805109 1129680	56.090	0.00	1,469.00	-1,243.76	225.24
11/01/09	SOLD ON THE OVER THE COUNTER MISC 0.00 SETTLEMENT DATE 11/06/09 ADVISORY FEE PER 10/31/09 STMT CUSIP 192805109 1129680	56.062	0.00	1,471.66	-1,243.14	228.52

Statement 01



ASSETS DISPOSED / SOLD

2/01/09 THROUGH 1/31/10

ACCOUNT CL10934

RICH FOUNDATION/COMBINED CUST

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EFFECTIVE DATE	DESCRIPTION	SHARES/ PAR VALUE	BROKERAGE COMMISSION	CASH	COST	REALIZED GAIN/LOSS
12/01/09	SOLD MISC 0.00 SETTLEMENT DATE 12/07/09 ADVISORY FEE PER 11/30/09 STATEMENT CUSIP 192805109 1129680	56.034	0.00	1,513.00	-1,242.52	270.48
1/10	SOLD MISC 0.00 SETTLEMENT DATE 1/08/10 ADVISORY FEE PER 12/31/09 STMT CUSIP 192805109 1129680	56.006	0.00	1,449.77	-1,241.90	207.87
9/25/09	TOTAL FOR ASSET HARBOR FD INTL FD INSTL CL SOLD MISC 0.00 SETTLEMENT DATE 9/28/09 74,696.867 SHARES AT \$51.81 CUSIP 411511306 1129680	673.924 74,696.867	0.00 0.00	16,664.94 3,870,044.68	-14,943.86 -2,930,192.40	1,721.08 939,852.28
	TOTAL FOR ASSET	74,696.867	0.00	3,870,044.68	-2,930,192.40	939,852.28
	TOTAL MUTUAL FUNDS	75,370.791	0.00	3,886,709.62	-2,945,136.26	941,573.36

PY ADJ - PENDING SALES

91,427.62 (107,383.72) (15,956.10)

CY ADJ FOR CORRECTION OF ADVISORY FEES

(16,664.94) 14,943.86 (1,721.08)

TOTAL FOR YEAR ENDED 1/31/2010

16,203,269.27 15,082,591.41 1,120,677.86

Statement 01

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
ATTACHED STATEMENT D1				PURCHASED	VARIOUS	VARIOUS
	16,203,269.	15,082,591.	0.	0.	1,120,678.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
13,100 SHS. SCHERING-PLOUGH				PURCHASED	VARIOUS	11/05/09
	137,550.	127,564.	0.	0.	9,986.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.				PURCHASED	VARIOUS	12/31/09
	-45,961.	0.	0.	0.	-45,961.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.	PURCHASED	VARIOUS	12/31/09		
	-181,797.	0.	0.	0.	-181,797.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PASSTHROUGH - COLCHESTER GLOBAL BOND FUND	PURCHASED	VARIOUS	12/31/09		
	360.	0.	0.	0.	360.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PASSTHROUGH - COLCHESTER GLOBAL BOND FUND	PURCHASED	VARIOUS	12/31/09		
	-1,470.	0.	0.	0.	-1,470.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.	PURCHASED	VARIOUS	12/31/09		
	192,079.	0.	0.	0.	192,079.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.		PURCHASED	VARIOUS	12/31/09
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
-68,273.	0.	0.	0.	-68,273.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND		PURCHASED	VARIOUS	12/31/09
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
124.	0.	0.	0.	124.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND		PURCHASED	VARIOUS	12/31/09
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
970.	0.	0.	0.	970.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LITIGATION - AIG		PURCHASED	VARIOUS	06/17/09
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,555.	0.	0.	0.	4,555.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LITIGATION - COCA-COLA	746.	0.	0.	0.	746.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LITIGATION - AOL-TIME	718.	0.	0.	0.	718.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	1,032,715.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
PASSTHROUGH - COLCHESTER GLOBAL BOND FUND	157,696.	0.	157,696.
PASSTHROUGH - FORESTER OPPORTUNITIES	47,996.	0.	47,996.
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, L.P.	286,265.	0.	286,265.
PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND	26,017.	0.	26,017.
SUNTRUST NOMINEE - DIVIDENDS/INTEREST	717,217.	0.	717,217.
TOTAL TO FM 990-PF, PART I, LN 4	1,235,191.	0.	1,235,191.

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH - MW POST TRADITIONAL HIGH YIELD, LP	-2,434.	-2,434.	
PASSTHROUGH - THE COLCHESTER GLOBAL BOND FUND	-149,251.	-149,251.	
PASSTHROUGH - FORESTER OPPORTUNITIES	-14,876.	-14,876.	
PASSTHROUGH - FORESTER OPPORTUNITIES, L.P.	652.	0.	
PASSTHROUGH - SANDERSON INTERNATIONAL VALUE FUND	366.	366.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-165,543.	-166,195.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	35,008.	26,256.		8,752.
TO FORM 990-PF, PG 1, LN 16B	35,008.	26,256.		8,752.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODY FEES	56,989.	56,989.		0.
INVESTMENT ADVISORY FEES	131,511.	131,511.		0.
OTHER PROFESSIONAL FEES	45,000.	0.		45,000.
TO FORM 990-PF, PG 1, LN 16C	233,500.	188,500.		45,000.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	20,000.	0.		0.
FOREIGN TAXES	3,476.	3,476.		0.
TO FORM 990-PF, PG 1, LN 18	23,476.	3,476.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	6,383.	0.		6,383.
OTHER EXPENSES	2,255.	1,691.		564.
PASSTHROUGH - MW POST				
TRADITIONAL HIGH YIELD, L.P.	21,765.	21,765.		0.
COMPUTER MANAGEMENT EXPENSES	2,311.	1,733.		578.
PASSTHROUGH - FORESTER				
OPPORTUNITIES	100,722.	100,722.		0.
TO FORM 990-PF, PG 1, LN 23	133,436.	125,911.		7,525.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SUNTRUST - SEE ATTACHMENT A-1	10,453,402.	11,443,343.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,453,402.	11,443,343.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SUNTRUST - SEE ATTACHMENT A-2	COST	12,283,637.	11,861,339.
OTHER MISC. ASSETS - SEE ATTACHMENT A-3	COST	17,519,775.	20,254,608.
TOTAL TO FORM 990-PF, PART II, LINE 13		29,803,412.	32,115,947.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MS. ANNE BERG, THE RICH FOUNDATION
11 PIEDMONT CENTER, SUITE 204
ATLANTA, GA 30305

TELEPHONE NUMBER

404-262-2266

FORM AND CONTENT OF APPLICATIONS

1) NORMAL APPLICATION 2) AUTOBIOGRAPHICAL SKETCH
3) LIST OF OTHER GRANTS RECEIVED 4) ESTIMATED EXPENSES

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE