Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
MANDARIN ORIENTAL FOUNDATION
C/O MANDARIN ORIENTAL MANAGEMENT (USA)

Number and street (or P O box number if mail is not delivered to street address) 345 CALIFORNIA STREET — Room/suite 1250

City or town, state, and ZIP code SAN FRANCISCO, CA 94104

A Employer identification number 99-6009108

B Telephone number (415) 772-8805

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 1,638,902.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	11.	11.		STATEMENT 1
	4 Dividends and interest from securities	57,957.	57,957.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-248,189.			
	b Gross sales price for all assets on line 6a 1,864,402.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	5,555.	5,555.	0.	STATEMENT 3
	12 **Total.** Add lines 1 through 11	-184,666.	63,523.	0.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	8,000.	0.	0.	0.
	c Other professional fees STMT 5	13,324.	13,324.	0.	0.
	17 Interest				
	18 Taxes STMT 6	507.	0.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	493.	0.	0.	0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	22,324.	13,324.	0.	0.
	25 Contributions, gifts, grants paid	80,000.			80,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	102,324.	13,324.	0.	80,000.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-286,990.			
	b **Net investment income** (if negative, enter -0-)		50,199.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	15,095.	38,309.	38,309.
	2 Savings and temporary cash investments	211,136.	13,207.	13,207.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		3,316.	3,316.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	1,160,544.	694,292.	627,413.
	c Investments - corporate bonds STMT 9	145,979.	515,584.	956,657.
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	1,532,754.	1,264,708.	1,638,902.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	1,532,754.	1,264,708.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	1,532,754.	1,264,708.	
	31 **Total liabilities and net assets/fund balances**	1,532,754.	1,264,708.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,532,754.
2	Enter amount from Part I, line 27a	2	-286,990.
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED G/L ADJUSTMENT	3	18,944.
4	Add lines 1, 2, and 3	4	1,264,708.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,264,708.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,864,402.		2,112,591.	-248,189.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				-248,189.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 -248,189.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	46,818.	1,668,345.	.028063
2007	141,414.	2,038,765.	.069363
2006	75,000.	1,865,827.	.040197
2005	50,060.	1,696,787.	.029503
2004	94,935.	1,631,642.	.058184

2	**Total** of line 1, column (d)	2	.225310
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045062
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,457,832.
5	Multiply line 4 by line 3	5	65,693.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	502.
7	Add lines 5 and 6	7	66,195.
8	Enter qualifying distributions from Part XII, line 4	8	80,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	502.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	502.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	502.
6 Credits/Payments:				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	3,818.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	3,818.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,316.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶		3,316. **Refunded** ▶	11	0.

Part VII-A | Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MANDARIN ORIENTAL MGMT (USA) Telephone no. ▶ 415-772-8805
Located at ▶ 345 CALIFORNIA ST., STE 1250, SAN FRANCISCO, CA ZIP+4 ▶ 94104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X
If "Yes" to 6b, file Form 8870
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,465,680.
b	Average of monthly cash balances	1b	14,352.
c	Fair market value of all other assets	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,480,032.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,480,032.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	22,200.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,457,832.
6	**Minimum investment return.** Enter 5% of line 5	6	72,892.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	72,892.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 502.		
b	Income tax for 2009. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	502.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	72,390.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	72,390.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	72,390.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	80,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	80,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	502.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	79,498.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				72,390.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007 17,389.				
e From 2008				
f Total of lines 3a through e	17,389.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 80,000.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				72,390.
e Remaining amount distributed out of corpus	7,610.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	24,999.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	24,999.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007 17,389.				
d Excess from 2008				
e Excess from 2009 7,610.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

MEGAN CHEN, 415-772-8805
345 CALIFORNIA STREET, SAN FRANCISCO, CA 94104

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ASIAN CULTURAL COUNCIL 437 MADISON AVENUE, 37TH FLOOR NEW YORK, NY 10022-7001	N/A	501(C)(3)	CHARITABLE PURPOSE	30,000.
METRO ATLANTA RECOVERY RESIDENCE, INC. 2815 CLEARVIEW PLACE, SUITE 100 DORAVILLE, GA	N/A	501(C)(3)	CHARITABLE PURPOSE	50,000.
Total			▶ 3a	80,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	11.	
4 Dividends and interest from securities			14	57,957.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	5,555.	
8 Gain or (loss) from sales of assets other than inventory			18	-248,189.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		-184,666.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 -184,666.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 8/13/10 Title: Treasurer

Paid Preparer's Use Only

Preparer's signature: Michelle L. McCann	Date: 8/12/10	Check if self-employed ☐
Preparer's identifying number	P01063637	
Firm's name (or yours if self-employed), address, and ZIP code	LINDQUIST LLP 5000 EXECUTIVE PARKWAY, SUITE 400 SAN RAMON, CA 94583	EIN ▶
Phone no.	(925)277-9100	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SMITH BARNEY - #13608	P	11/19/08	10/19/09
b	SMITH BARNEY - #13608	P	07/23/08	10/19/09
c	SMITH BARNEY - #40860	P	09/27/08	01/07/09
d	SMITH BARNEY - #40860	P	08/24/06	01/02/09
e	CHARLES SCHWAB	P	VARIOUS	VARIOUS
f	CHARLES SCHWAB	P	VARIOUS	VARIOUS
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	37,970.		37,725.	245.
b	53,775.		52,751.	1,024.
c	1,488.		1,681.	-193.
d	1,324.		1,732.	-408.
e	645,905.		662,011.	-16,106.
f	1,123,940.		1,356,691.	-232,751.
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				245.
b				1,024.
c				-193.
d				-408.
e				-16,106.
f				-232,751.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	-248,189.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

923591
04-24-09

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST - HSBC CHECKING	11.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	11.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CHARLES SCHWAB DIVIDENDS	51,426.	0.	51,426.
SMITH BARNEY #13608 (INTEREST)	4,283.	0.	4,283.
SMITH BARNEY #40860	365.	0.	365.
SMITH BARNEY #40861	1,788.	0.	1,788.
SMITH BARNEY MAIN	95.	0.	95.
TOTAL TO FM 990-PF, PART I, LN 4	57,957.	0.	57,957.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
SMITH BARNEY #40860 NON DIVIDEND DISTRIBUTION	5,555.	5,555.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	5,555.	5,555.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,000.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	8,000.	0.	0.	0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTING CONSULTING & ADVISOR	13,324.	13,324.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	13,324.	13,324.	0.	0.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEE	5.	0.	0.	0.
FEDERAL INCOME TAXES	502.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	507.	0.	0.	0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	-10.	0.	0.	0.
GENERAL & ADMINISTRATIVE	275.	0.	0.	0.
LEGAL FEE	160.	0.	0.	0.
MAILING/COURIER	68.	0.	0.	0.
	0.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	493.	0.	0.	0.

FORM 990-PF	CORPORATE STOCK	STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB - EQUITY FUNDS	694,292.	627,413.
TOTAL TO FORM 990-PF, PART II, LINE 10B	694,292.	627,413.

FORM 990-PF	CORPORATE BONDS	STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB - BOND FUNDS	515,584.	956,657.
TOTAL TO FORM 990-PF, PART II, LINE 10C	515,584.	956,657.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
TERRY STINSON 10 TENTH STREET NE, SUITE 350 ATLANTA, GA 30309-3484	PRESIDENT & TRUSTEE 0.00	0.	0.	0.
VALERIE THOMPSON 345 CALIFORNIA STREET, SUITE 1250 SAN FRANCISCO, CA 94104	VP, SEC, TREAS & TEE 0.00	0.	0.	0.
RICHARD BAKER 345 CALIFORNIA STREET, SUITE 1250 SAN FRANCISCO, CA 94104	TRUSTEE 0.00	0.	0.	0.
JILL KLUGE KINGS COURT 2-16 GOODGE STREET LONDON, UNITED KINGDOM	TRUSTEE 0.00	0.	0.	0.
STUART DICKIE 7TH FLOOR, 281 GLOUCESTER ROAD CAUSEWAY BAY, HONG KONG	TRUSTEE 0.00	0.	0.	0.

JORGE GONZALEZ 500 BRICKELL KEY DRIVE MIAMI, FL	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

MANDARIN ORIENTAL FOUNDATION
Account Number 512-13608-11 299

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	17,000	FHLMC PL#G04251 DTD 04/01/2008 DUE 04/01/2038 RATE 6.500 YIELDS VARY DUE TO CHANGES IN PREPAYMENT SPEEDS. SPECIFICS	05/07/08	01/08/09	$ 15,191.97	$ 15,176.67		$ 15.30
125000040	11,000	FNMA PL#889298 DTD 03/01/2008 DUE 12/01/2036 RATE 5.000 YIELD 5.12 9.98 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	11/07/08	10/19/09	8,497.57	8,341.87		155.70
125000070	19,000	FNMA PL#973575 DTD 02/01/2008 DUE 03/01/2038 RATE 5.500 YIELD 5.34 10.38 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	11/19/08	10/19/09	14,280.57	14,206.83		73.74
Total					**$ 37,970.11**	**$ 37,725.37**		**$ 244.74**

2009 YEAR END SUMMARY

MANDARIN ORIENTAL FOUNDATION
Account Number 512-13608-11 299

Details of Long Term Gain (Loss) 2009 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000050	3,000	FNMA PL#959514 DTD 11/01/2007 DUE 12/01/2037 RATE 5.500 YIELDS VARY DUE TO CHANGES IN PREPAYMENT SPEEDS. SPECIFICS	02/21/08	10/19/09	$ 2,119.54	$ 2,112.58		$ 6.96
125000060	17,000	FNMA PL#961767 DTD 02/01/2008 DUE 03/01/2038 RATE 5.000 YIELD 5.12 10.26 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	02/20/08	10/19/09	13,022.84	12,475.78		547.06
125000080	14,000	FNMA PL#975646 DTD 07/01/2008 DUE 07/01/2038 RATE 5.500 YIELD 5.34 10.56 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	07/18/08	10/19/09	11,627.96	11,109.25		518.71
125000090	14,000	FNMA PL#984689 DTD 07/01/2008 DUE 07/01/2038 RATE 5.500 YIELD 5.34 10.56 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	07/23/08	10/19/09	11,735.94	11,166 68		569.26
Total					**$ 53,775.25**	**$ 52,750.86**	**($ 617.60)**	**$ 1,641.99**

2009 YEAR END SUMMARY

MANDARIN ORIENTAL FOUNDATION

Account Number 512-40860-17 299

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	20	ATMOS ENERGY CORP	09/24/08	01/07/09	$ 475.19	$ 540.41	($ 65.22)	
125000030	7	VECTREN CORP	09/24/08	01/07/09	174.79	192.45	(17.66)	
125000040	12	VECTREN CORP	09/24/08	01/08/09	298.25	329.91	(31.66)	
125000050	27	WESTAR ENERGY INC	09/24/08	01/07/09	540.21	618.71	(78.50)	
Total					**$ 1,488.44**	**$ 1,681.48**	**($ 193.04)**	

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	44	SCOTTS MIRACLE GRO CO	08/24/06	01/02/09	$ 1,323.80	$ 1,732.24	($ 408.44)	
Total					**$ 1,323.80**	**$ 1,732.24**	**($ 408.44)**	

2009 YEAR END SUMMARY

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method: First In First Out (FIFO)

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
A F L A C INC: AFL	170.0000	05/02/08	02/18/09	$3.133.48	$11.390.00 [1]	($8.256.52)
Security Subtotal				**$3,133.48**	**$11,390.00**	**($8,256.52)**
AARON RENTS INC: RNT	8.0000	07/07/08	02/18/09	$194.84	$181.13 [1]	$13.71
Security Subtotal				**$194.84**	**$181.13**	**$13.71**
ACE LIMITED NEW F: ACE	40.0000	06/03/08	02/18/09	$1.603.11	$2.388.84 [1]	($785.73)
ACE LIMITED NEW F: ACE	100.0000	06/03/08	02/18/09	$4.007.78	$5.972.10 [1]	($1.964 32)
Security Subtotal				**$5,610.89**	**$8,360.94**	**($2,750.05)**
ACTUANT CORP CL A NEW: ATU	18.0000	03/25/08	02/18/09	$270.29	$565.88 [1]	($295.59)
ACTUANT CORP CL A NEW: ATU	16.0000	05/02/08	02/18/09	$240.26	$548.86 [1]	($308.60)
ACTUANT CORP CL A NEW: ATU	12.0000	07/07/08	02/18/09	$180.20	$359.69 [1]	($179.49)
ACTUANT CORP CL A NEW: ATU	9.0000	07/25/08	02/18/09	$135.15	$276.47 [1]	($141.32)
ACTUANT CORP CL A NEW. ATU	11.0000	12/04/08	02/18/09	$165.18	$193.22 [1]	($28.04)
ACTUANT CORP CL A NEW: ATU	1.0000	12/10/08	02/18/09	$15.01	$16.53 [1]	($1.52)
Security Subtotal				**$1.006.09**	**$1.960.65**	**($954.56)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
AIM INTERNATIONAL GROWTH FUND CL A: AIIEX	175.1110	12/12/08	02/18/09	$2,961.12	$3,123.98 t	($162.86)
Security Subtotal				**$2,961.12**	**$3,123.98**	**($162.86)**
AIRGAS INC: ARG	7.0000	03/14/08	02/18/09	$255.03	$300.18 t	($45.15)
AIRGAS INC: ARG	3.0000	07/07/08	02/18/09	$109.30	$172.95 t	($63.65)
AIRGAS INC: ARG	2.0000	07/28/08	02/18/09	$72.87	$110.84 t	($37.97)
AIRGAS INC: ARG	1.0000	09/23/08	02/18/09	$36.43	$51.34 t	($14.91)
AIRGAS INC: ARG	6.0000	10/07/08	02/18/09	$218.60	$253.08 t	($34.48)
AIRGAS INC: ARG	4.0000	12/18/08	02/18/09	$145.71	$153.00 t	($7.29)
Security Subtotal				**$837.94**	**$1,041.39**	**($203.45)**
ALLIANT TECHSYSTEMS INC: ATK	1.0000	07/07/08	02/18/09	$78.30	$101.12 t	($22.82)
ALLIANT TECHSYSTEMS INC: ATK	3.0000	09/23/08	02/18/09	$234.90	$297.82 t	($62.92)
ALLIANT TECHSYSTEMS INC: ATK	2.0000	10/02/08	02/18/09	$156.60	$180.44 t	($23.84)
ALLIANT TECHSYSTEMS INC: ATK	4.0000	10/15/08	02/18/09	$313.20	$336.01 t	($22.81)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
ALLIANT TECHSYSTEMS INC: ATK	8.0000	12/09/08	02/18/09	$626.40	$669.04	($42.64)
Security Subtotal				**$1,409.40**	**$1,584.43**	**($175.03)**
AMERICAN BEACON INTL EQ INST CLASS. AAIEX	1,576.0200	02/18/09	12/08/09	$25,474.52	$16 384.18	$9 090 34
Security Subtotal				**$25,474.52**	**$16,384.18**	**$9,090.34**
AMERICAN BEACON LARGECAP VALUE INSTL CL: AADEX	987.7980	02/18/09	12/08/09	$16,980.00	$11.583 59	$5.396.41
Security Subtotal				**$16,980.00**	**$11,583.59**	**$5.396.41**
AMGEN INCORPORATED: AMGN	100.0000	09/17/08	02/18/09	$5.738.48	$6.425.00	($686.52)
AMGEN INCORPORATED: AMGN	150.0000	09/17/08	02/18/09	$8.607.73	$9.637.50	($1.029.77)
Security Subtotal				**$14,346.21**	**$16,062.50**	**($1,716.29)**
AMSURG CORP: AMSG	30.0000	11/07/08	02/18/09	$553.53	$719 15	($165.62)
AMSURG CORP: AMSG	12.0000	11/12.08	02/18/09	$221.41	$273.33	($51 92)
AMSURG CORP. AMSG	11.0000	12/09/08	02/18/09	$202.96	$236.05	($33.09)
Security Subtotal				**$977.90**	**$1,228.53**	**($250.63)**
ARENA RESOURCES INC: ARD	37.0000	09/23/08	02/18/09	$781.15	$1 693.70	($912.55)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701 003056 787576

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
ARENA RESOURCES INC: ARD	10.0000	11/03/08	02/18/09	$211.12	$277.58 t	($66.46)
ARENA RESOURCES INC: ARD	3.0000	11/19/08	02/18/09	$63.34	$65.13 t	($1.79)
ARENA RESOURCES INC: ARD	15.0000	12/09/08	02/18/09	$316.68	$370.53 t	($53.85)
Security Subtotal				**$1,372.29**	**$2,406.94**	**($1,034.65)**
ARTISAN MIDCAP VALUE FD: ARTQX	285.7140	02/18/09	12/08/09	$5,000.00	$3,377.14	$1,622.86
Security Subtotal				**$5,000.00**	**$3,377.14**	**$1,622.86**
ASPEN INSURANCE HOLDINGF: AHL	57.0000	06/24/08	02/18/09	$1,238.50	$1,384.59 t	($146.09)
ASPEN INSURANCE HOLDINGF: AHL	5.0000	06/25/08	02/18/09	$108.64	$122.73 t	($14.09)
ASPEN INSURANCE HOLDINGF: AHL	5.0000	07/07/08	02/18/09	$108.64	$114.91 t	($6.27)
ASPEN INSURANCE HOLDINGF: AHL	8 0000	07/17/08	02/18/09	$173.82	$184.54 t	($10.72)
ASPEN INSURANCE HOLDINGF: AHL	2.0000	12/10/08	02/18/09	$43.46	$41.26 t	$2.20
ASPEN INSURANCE HOLDINGF: AHL	11.0000	12/18/08	02/18/09	$239.01	$251.81 t	($12.80)
Security Subtotal				**$1,912.07**	**$2,099.84**	**($187.77)**
ATMOS ENERGY CORP: ATO	19.0000	09/24/08	02/18/09	$451.12	$513.38 t	($62.26)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
ATMOS ENERGY CORP: ATO	23.0000	10/07/08	02/18/09	$546.09	$640.52 [1]	($94.43)
ATMOS ENERGY CORP: ATO	19 0000	10/27/08	02/18/09	$451 12	$419.21 [1]	$31.91
Security Subtotal				**$1,448.33**	**$1.573.11**	**($124.78)**
BANK OF AMERICA CORP: BAC	150.0000	11/18/08	02/18/09	$713.68	$2.237.97 [1]	($1.524.29)
Security Subtotal				**$713.68**	**$2,237.97**	**($1.524.29)**
BELDEN INC: BDC	16.0000	10/02/08	02/18/09	$206.18	$447.96 [1]	($241.78)
BELDEN INC: BDC	18.0000	11/03/08	02/18/09	$231.95	$389.32 [1]	($157.37)
BELDEN INC: BDC	10.0000	12/04/08	02/18/09	$128 87	$183 62 [1]	($54 75)
Security Subtotal				**$567.00**	**$1,020.90**	**($453.90)**
BUCYRUS INTL INC: BUCY	23.0000	11/07/08	02/18/09	$306.51	$520.88 [1]	($214.37)
BUCYRUS INTL INC: BUCY	4.0000	12/09/08	02/18/09	$53.31	$72.61 [1]	($19.30)
BUCYRUS INTL INC: BUCY	15.0000	12/17/08	02/18/09	$199 90	$327.26 [1]	($127.36)
Security Subtotal				**$559.72**	**$920.75**	**($361.03)**
BUNGE LIMITED F: BG	180.0000	09/17/08	02/18/09	$8.794.80	$12.772.94 [1]	($3.978 14)
Security Subtotal				**$8,794.80**	**$12,772.94**	**($3,978.14)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
C A C I INC CL A: CAI	66.0000	10/07/08	02/18/09	$2,881.42	$3,114.47 [t]	($233.05)
C A C I INC CL A: CAI	2.0000	10/09/08	02/18/09	$87.32	$86.47 [t]	$0.85
C A C I INC CL A: CAI	12.0000	10/27/08	02/18/09	$523.89	$478.71 [t]	$45.18
Security Subtotal				**$3,492.63**	**$3,679.65**	**($187.02)**
C V S CAREMARK CORP: CVS	380 0000	03/07/08	02/18/09	$10,338.77	$14,861.80 [t]	($4,523.03)
Security Subtotal				**$10,338.77**	**$14,861.80**	**($4,523.03)**
CATERPILLAR INC: CAT	170.0000	05/02/08	02/18/09	$4,885.49	$14,086.93 [t]	($9,201.44)
Security Subtotal				**$4,885.49**	**$14,086.93**	**($9,201.44)**
CLIFFS NATURAL RES INC: CLF	25.0000	12/03/08	02/18/09	$567.23	$488.14 [t]	$79.09
CLIFFS NATURAL RES INC: CLF	13.0000	12/05/08	02/18/09	$294.96	$248.04 [t]	$46.92
CLIFFS NATURAL RES INC: CLF	9.0000	12/19/08	02/18/09	$204.21	$239.85 [t]	($35.64)
Security Subtotal				**$1,066.40**	**$976.03**	**$90.37**
COMPUTER SCIENCES CORP: CSC	260.0000	07/16/08	02/18/09	$9.869.43	$11,732.14 [t]	($1.862.71)
Security Subtotal				**$9,869.43**	**$11,732.14**	**($1,862.71)**
CONCHO RESOURCES INC: CXO	6.0000	09/12/08	02/18/09	$128.85	$164.24 [t]	($35.39)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
CONCHO RESOURCES INC. CXO	47.0000	10/02/08	02/18/09	$1,009.35	$1.137.19	($127.84)
CONCHO RESOURCES INC. CXO	6.0000	11/19/08	02/18/09	$128.86	$122.35	$6.51
Security Subtotal				**$1,267.06**	**$1,423.78**	**($156.72)**
CONESTOGA SMALL CAP: CCASX	175.4390	02/18/09	12/08/09	$3,000.00	$2.219.30	$780.70
Security Subtotal				**$3,000.00**	**$2,219.30**	**$780.70**
CULLEN FROST BANKERS: CFR	7.0000	03/19/08	02/18/09	$296.33	$365.15	($68.82)
CULLEN FROST BANKERS: CFR	8.0000	07/17/08	02/18/09	$338.67	$411.65	($72.98)
CULLEN FROST BANKERS: CFR	7.0000	10/24/08	02/18/09	$296.34	$357.64	($61.30)
Security Subtotal				**$931.34**	**$1,134.44**	**($203.10)**
DFA INTL REAL ESTATE SECURITIES PORT: DFITX	2.141.3280	02/18/09	12/08/09	$9.980.00	$6.709.06	$3.270.94
Security Subtotal				**$9,980.00**	**$6,709.06**	**$3.270.94**
DFA INTL SMALL COMPANY PORTFOLIO FUND: DFISX	281.2940	02/18/09	12/08/09	$3,980.00	$2.533.05	$1.446 95
Security Subtotal				**$3,980.00**	**$2,533.05**	**$1,446.95**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
DFA REAL ESTATE SECURITIES PORTFOLIO: DFREX	170.0680	02/18/09	04/28/09	$1.980.00	$1.658.12	$321.88
DFA REAL ESTATE SECURITIES PORTFOLIO: DFREX	547.7780	02/18/09	12/08/09	$8,980.00	$5,340.69	$3,639.31
Security Subtotal				**$10,960.00**	**$6,998.81**	**$3,961.19**
DFA US TARGETED VALUE PORTFOLIO CL I: DFFVX	402.9010	02/18/09	12/08/09	$4,980.00	$3,266.76	$1,713.24
Security Subtotal				**$4,980.00**	**$3,266.76**	**$1,713.24**
EQT CORP: EQT	85.0000	10/20/08	02/18/09	$2,778.75	$2,401.42 t	$377.33
EQT CORP: EQT	18.0000	11/14/08	02/18/09	$588.44	$543.76 t	$44.68
EQT CORP: EQT	8.0000	11/19/08	02/18/09	$261.53	$227.96 t	$33.57
EQT CORP: EQT	3.0000	11/26/08	02/18/09	$98.08	$94.30 t	$3.78
Security Subtotal				**$3,726.80**	**$3,267.44**	**$459.36**
FACTSET RESEARCH SYSTEMS: FDS	60.0000	11/03/08	02/18/09	$2,512.09	$2,356.30 t	$155.79
FACTSET RESEARCH SYSTEMS: FDS	5.0000	11/05/08	02/18/09	$209.34	$195.18 t	$14.16

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-000056 787581

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
FACTSET RESEARCH SYSTEMS: FDS	3.0000	11/14/08	02/18/09	$125.60	$112.09 [1]	$13.51
Security Subtotal				$2,847.03	$2,663.57	$183.46
FED HM LN MTG 5.5%11NOTES DUE 09/15/11: 3134A4HF4	8,000.0000	01/08/09	02/18/09	$8,695.60	$8,788.88 [1]	($93.28)
					$8,754.97	($59.37) [b]
Security Subtotal				$8,695.60	$8,788.88	($93.28)
FED NATL MTG 6%11NOTES DUE 05/15/11: 31359MJH7	12,000.0000	09/30/08	02/18/09	$13,074.68	$12,801.60 [1]	$273.08
					$12,686.96	$387.72 [b]
Security Subtotal				$13,074.68	$12,801.60	$273.08
FED NATL MTG 4.75%10NOTES DUE 12/15/10: 31359MZL0	8,000.0000	04/23/08	02/18/09	$8,441.76	$8,369.48 [1]	$72.28
					$8,257.22	$184.54 [b]
FED NATL MTG 4.75%10NOTES DUE 12/15/10: 31359MZL0	5,000.0000	09/23/08	02/18/09	$5,276.11	$5,176.11 [1]	$100.00
					$5,144.78	$131.33 [b]
Security Subtotal				$13,717.87	$13,545.59	$172.28
FED NATL MTG 5.25%16NOTES DUE 09/15/16: 31359MW41	6,000.0000	07/29/08	02/18/09	$6,716.84	$6,254.72 [1]	$462.12
					$6,240.30	$476.54 [b]

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
FED NATL MTG 5.25%16NOTES DUE 09/15/16: 31359MW41	10,000.0000	08/07/08	02/18/09	$11,194.74	$10,409.90 [1]	$784.84
					$10,387.55	*$807.19* [D]
FED NATL MTG 5.25%16NOTES DUE 09/15/16: 31359MW41	9,000.0000	08/11/08	02/18/09	$10,075.27	$9,344.02 [1]	$731.25
					$9,325.65	*$749.62* [D]
Security Subtotal				**$27,986.85**	**$26,008.64**	**$1,978.21**
FED NATL MTG 4.625%13NOTES DUE 10/15/13: 31359MTG8	11,000.0000	12/17/08	02/18/09	$11,970.32	$12,345.23 [1]	($374.91)
					$12,298.37	*($328.05)* [D]
Security Subtotal				**$11,970.32**	**$12,345.23**	**($374.91)**
FIRST CASH FINL SVCS INC: FCFS	20.0000	03/25/08	02/18/09	$320.12	$220.18 [1]	$99.94
Security Subtotal				**$320.12**	**$220.18**	**$99.94**
FIRSTENERGY CORP: FE	180.0000	11/18/08	02/18/09	$8,516 34	$9,541.78 [1]	($1,025.44)
Security Subtotal				**$8,516.34**	**$9,541.78**	**($1,025.44)**
FLUOR CORPORATION NEW: FLR	180.0000	11/18/08	02/18/09	$7,081.75	$5,836.90 [1]	$1.244.85
Security Subtotal				**$7,081.75**	**$5,836.90**	**$1,244.85**
FORWARD AIR CORPORATION: FWRD	3.0000	09/23/08	02/18/09	$55.42	$88.33 [1]	($32.91)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
FORWARD AIR CORPORATION. FWRD	6.0000	12/05/08	02/18/09	$110.84	$141.99 [1]	($31.15)
FORWARD AIR CORPORATION: FWRD	7.0000	12/19/08	02/18/09	$129.31	$151.49 [1]	($22.18)
Security Subtotal				**$295.57**	**$381.81**	**($86.24)**
FOSTER WHEELER AG ORD F: FWLT	24.0000	12/03/08	02/18/09	$496.48	$551.15 [1]	($54.67)
Security Subtotal				**$496.48**	**$551.15**	**($54.67)**
GALLAGHER ARTHUR J & CO: AJG	102.0000	10/16/08	02/18/09	$1.783.23	$2.408.40 [1]	($625.17)
GALLAGHER ARTHUR J & CO: AJG	14.0000	10/27/08	02/18/09	$244.76	$315.51 [1]	($70.75)
Security Subtotal				**$2,027.99**	**$2,723.91**	**($695.92)**
GAMESTOP CORP CL A NEW: GME	290.0000	09/17/08	02/18/09	$7.357.58	$11.216.77 [1]	($3.859.19)
Security Subtotal				**$7,357.58**	**$11,216.77**	**($3,859.19)**
GAP INC. GPS	720.0000	11/18/08	02/18/09	$8.149.32	$7.508.88 [1]	$640.44
Security Subtotal				**$8,149.32**	**$7,508.88**	**$640.44**
GENERAL CABLE CP DE NEW: BGC	6.0000	06/26/08	02/18/09	$100.30	$363.59 [1]	($263.29)
GENERAL CABLE CP DE NEW: BGC	9.0000	06/27/08	02/18/09	$150 45	$534.35 [1]	($383.90)
GENERAL CABLE CP DE NEW: BGC	12.0000	09/16/08	02/18/09	$200.60	$423.78 [1]	($223.18)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
GENERAL CABLE CP DE NEW: BGC	8.0000	10/15/08	02/18/09	$133.73	$139.02 t	($5.29)
GENERAL CABLE CP DE NEW: BGC	18.0000	10/24/08	02/18/09	$300.90	$256.55 t	$44.35
GENERAL CABLE CP DE NEW: BGC	23.0000	10/28/08	02/18/09	$384.48	$320.08 t	$64.40
Security Subtotal				**$1,270.46**	**$2,037.37**	**($766.91)**
H C C INSURANCE HOLDINGS: HCC	27.0000	03/19/08	02/18/09	$640.50	$596 14 t	$44.36
H C C INSURANCE HOLDINGS: HCC	21.0000	06/26/08	02/18/09	$498.17	$459.62 t	$38.55
H C C INSURANCE HOLDINGS: HCC	4.0000	07/07/08	02/18/09	$94.89	$83.34 t	$11.55
H C C INSURANCE HOLDINGS: HCC	14.0000	07/17/08	02/18/09	$332.11	$284.35 t	$47.76
H C C INSURANCE HOLDINGS: HCC	16.0000	08/04/08	02/18/09	$379.56	$369.69 t	$9.87
H C C INSURANCE HOLDINGS: HCC	11.0000	12/19/08	02/18/09	$260.94	$282.21 t	($21.27)
Security Subtotal				**$2,206.17**	**$2,075.35**	**$130.82**
HANESBRANDS INC: HBI	45.0000	11/18/08	02/18/09	$319.58	$610.66 t	($291.08)
HANESBRANDS INC: HBI	86.0000	11/18/08	02/18/09	$609.89	$1,167.04 t	($557.15)
HANESBRANDS INC: HBI	21.0000	12/04/08	02/18/09	$149.14	$267 81 t	($118 67)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out (FIFO)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
HANESBRANDS INC: HBI	1.0000	12/05/08	02/18/09	$7.10	$12.31 [1]	($5.21)
HANESBRANDS INC: HBI	33.0000	12/19/08	02/18/09	$234.36	$439.59 [1]	($205.23)
Security Subtotal				**$1,320.07**	**$2,497.41**	**($1,177.34)**
HARBOR CAP APPRECIATION FUND INST CL: HACAX	440.2050	02/18/09	06/05/09	$11,980.00	$10,057.89	$1,922.11
HARBOR CAP APPRECIATION FUND INST CL: HACAX	297.3400	02/18/09	12/08/09	$9,480.00	$6,793.68	$2,686.32
Security Subtotal				**$21,460.00**	**$16,851.57**	**$4,608.43**
HEALTHCARE SVC GROUP INC: HCSG	3.0000	09/23/08	02/18/09	$47.01	$59.91 [1]	($12.90)
Security Subtotal				**$47.01**	**$59.91**	**($12.90)**
HEALTHSPRING INC: HS	16.0000	04/01/08	02/18/09	$237.63	$234.95 [1]	$2.68
HEALTHSPRING INC: HS	17.0000	07/07/08	02/18/09	$252.48	$272.23 [1]	($19.75)
HEALTHSPRING INC: HS	6.0000	08/04/08	02/18/09	$89.12	$118.58 [1]	($29.46)
Security Subtotal				**$579.23**	**$625.76**	**($46.53)**
I P C HOLDINGS LTD ORD F: IPCR	2.0000	09/23/08	02/18/09	$57.51	$62.76 [1]	($5.25)
Security Subtotal				**$57.51**	**$62.76**	**($5.25)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
INVENTIV HEALTH INC: VTIV	9.0000	05/07/08	02/18/09	$92.85	$294.50 t	($201.65)
INVENTIV HEALTH INC: VTIV	7.0000	07/07/08	02/18/09	$72.22	$193.46 t	($121.24)
INVENTIV HEALTH INC: VTIV	8.0000	07/21/08	02/18/09	$82.53	$192.18 t	($109.65)
INVENTIV HEALTH INC: VTIV	30.0000	08/25/08	02/18/09	$309.50	$651.02 t	($341.52)
INVENTIV HEALTH INC: VTIV	4.0000	09/23/08	02/18/09	$41.27	$70.22 t	($28.95)
INVENTIV HEALTH INC: VTIV	1.0000	10/10/08	02/18/09	$10.31	$10.94 t	($0.63)
Security Subtotal				**$608.68**	**$1,412.32**	**($803.64)**
J M SMUCKER CO NEW: SJM	67.0000	11/10/08	02/18/09	$2,762.44	$2,787.66 t	($25.22)
J M SMUCKER CO NEW: SJM	8.0000	11/14/08	02/18/09	$329.84	$316.22 t	$13.62
Security Subtotal				**$3,092.28**	**$3,103.88**	**($11.60)**
JACOBS ENGINEERING GROUP: JEC	130.0000	09/17/08	02/18/09	$4,838.85	$7,351.89 t	($2.513.04)
Security Subtotal				**$4,838.85**	**$7,351.89**	**($2,513.04)**
KAYDON CORPORATION: KDN	28.0000	05/01/08	02/18/09	$726.93	$1,501.20 t	($774.27)
KAYDON CORPORATION: KDN	7.0000	08/25/08	02/18/09	$181.73	$375.18 t	($193.45)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-000056 787587

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
KAYDON CORPORATION: KDN	3.0000	11/07/08	02/18/09	$77.88	$92.62 [1]	($14.74)
KAYDON CORPORATION: KDN	5.0000	12/18/08	02/18/09	$129.81	$159.76 [1]	($29.95)
Security Subtotal				**$1,116.35**	**$2,128.76**	**($1,012.41)**
L H C GROUP: LHCG	24.0000	05/07/08	02/18/09	$731.96	$499.05 [1]	$232.91
Security Subtotal				**$731.96**	**$499.05**	**$232.91**
L-3 COMMUNICATIONS HLDGS: LLL	60.0000	09/17/08	02/18/09	$4.563.02	$6.013.56 [1]	($1.450.54)
Security Subtotal				**$4,563.02**	**$6,013.56**	**($1,450.54)**
LINCOLN ELEC HLDGS INC: LECO	6.0000	03/17/08	02/18/09	$245.46	$386.74 [1]	($141.28)
LINCOLN ELEC HLDGS INC: LECO	5.0000	07/17/08	02/18/09	$204.55	$345.18 [1]	($140.63)
LINCOLN ELEC HLDGS INC: LECO	4.0000	12/12/08	02/18/09	$163.63	$184.27 [1]	($20.64)
Security Subtotal				**$613.64**	**$916.19**	**($302.55)**
MEDNAX INC: MD	13.0000	05/12/08	02/18/09	$480.17	$765.75 [1]	($285.58)
MEDNAX INC: MD	7.0000	05/20/08	02/18/09	$258.55	$387.39 [1]	($128.84)
MEDNAX INC: MD	14.0000	05/30/08	02/18/09	$517.11	$746 33 [1]	($229.22)
MEDNAX INC: MD	4.0000	06/26/08	02/18/09	$147.75	$202 60 [1]	($54.85)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
MEDNAX INC: MD		2.0000	07/07/08	02/18/09	$73.87	$96.56 t	($22.69)
MEDNAX INC: MD		5.0000	10/03/08	02/18/09	$184.69	$217.53 t	($32.84)
MEDNAX INC: MD		9.0000	10/03/08	02/18/09	$332.43	$391.56 t	($59.13)
MEDNAX INC: MD		9.0000	10/07/08	02/18/09	$332.43	$368.57 t	($36.14)
MEDNAX INC: MD		4.0000	10/27/08	02/18/09	$147.74	$140.85 t	$6.89
Security Subtotal					**$2,474.74**	**$3,317.14**	**($842.40)**
MFA FINANCIAL INC	REIT: MFA	313.0000	09/24/08	02/18/09	$1,853.30	$2,006.17 t	($152.87)
MFA FINANCIAL INC	REIT: MFA	56.0000	10/16/08	02/18/09	$331.58	$286.46 t	$45.12
MFA FINANCIAL INC	REIT: MFA	66.0000	11/05/08	02/18/09	$390.79	$383.72 t	$7.07
MFA FINANCIAL INC	REIT: MFA	27.0000	12/04/08	02/18/09	$159.87	$159.63 t	$0.24
MFA FINANCIAL INC	REIT: MFA	3.0000	12/05/08	02/18/09	$17.76	$18.00 t	($0.24)
MFA FINANCIAL INC	REIT: MFA	9.0000	12/18/08	02/18/09	$53.29	$54.45 t	($1.16)
Security Subtotal					**$2,806.59**	**$2,908.43**	**($101.84)**
MICROS SYSTEMS INC: MCRS		6.0000	05/02/08	02/18/09	$99.90	$177.26 t	($77.36)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
MICROS SYSTEMS INC: MCRS	18.0000	05/08/08	02/18/09	$299.69	$551.25	($251.56)
MICROS SYSTEMS INC: MCRS	8.0000	06/10/08	02/18/09	$133.19	$250.44 [1]	($117.25)
MICROS SYSTEMS INC: MCRS	6.0000	10/15/08	02/18/09	$99.90	$121.70 [1]	($21.80)
MICROS SYSTEMS INC. MCRS	17.0000	10/24/08	02/18/09	$283.04	$317.66 [1]	($34.62)
MICROS SYSTEMS INC: MCRS	11.0000	10/27/08	02/18/09	$183 14	$199.22 [1]	($16.08)
Security Subtotal				**$1,098.86**	**$1,617.53**	**($518.67)**
MICROSEMI CORPORATION: MSCC	11.0000	03/10/08	02/18/09	$110.91	$232.21 [1]	($121.30)
MICROSEMI CORPORATION: MSCC	17.0000	03/17/08	02/18/09	$171 41	$344.50 [1]	($173.09)
MICROSEMI CORPORATION: MSCC	13.0000	07/07/08	02/18/09	$131.08	$296.46 [1]	($165.38)
MICROSEMI CORPORATION: MSCC	3.0000	07/14/08	02/18/09	$30.25	$70.73 [1]	($40.48)
MICROSEMI CORPORATION: MSCC	25.0000	12/12/08	02/18/09	$252 07	$337.30 [1]	($85.23)
Security Subtotal				**$695.72**	**$1,281.20**	**($585.48)**
MONSANTO CO NEW DEL: MON	110.0000	11/18/08	02/18/09	$8 476.73	$7,983.84 [1]	$492.89
Security Subtotal				**$8,476.73**	**$7,983.84**	**$492.89**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
NICE SYSTEMS LTD ADR FSPONSORED ADR 1 ADR REP 1: NICE	76.0000	10/16/08	02/18/09	$1,603.24	$1,537.27 [1]	$65.97
NICE SYSTEMS LTD ADR FSPONSORED ADR 1 ADR REP 1: NICE	11.0000	10/17/08	02/18/09	$232.05	$237.13 [1]	($5.08)
NICE SYSTEMS LTD ADR FSPONSORED ADR 1 ADR REP 1: NICE	3.0000	10/24/08	02/18/09	$63.29	$59.86 [1]	$3.43
NICE SYSTEMS LTD ADR FSPONSORED ADR 1 ADR REP 1: NICE	9.0000	11/14/08	02/18/09	$189.86	$191.02 [1]	($1.16)
NICE SYSTEMS LTD ADR FSPONSORED ADR 1 ADR REP 1: NICE	21.0000	12/18/08	02/18/09	$442.99	$491.37 [1]	($48.38)
Security Subtotal				**$2,531.43**	**$2,516.65**	**$14.78**
OIL STATES INTERNATIONAL: OIS	10.0000	08/25/08	02/18/09	$160.55	$540 27 [1]	($379.72)
OIL STATES INTERNATIONAL: OIS	5.0000	09/12/08	02/18/09	$80.28	$210.97 [1]	($130.69)
OIL STATES INTERNATIONAL: OIS	1.0000	09/23/08	02/18/09	$16.05	$39.50 [1]	($23.45)
Security Subtotal				**$256.88**	**$790.74**	**($533.86)**
ORACLE CORPORATION: ORCL	650.0000	03/07/08	02/18/09	$11.269.13	$12.557.35 [1]	($1,288.22)
Security Subtotal				**$11,269.13**	**$12,557.35**	**($1,288.22)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
OWENS &MINOR INC HLDG CO: OMI	14.0000	11/07/08	02/18/09	$506.38	$581.72 [1]	($75.34)
Security Subtotal				**$506.38**	**$581.72**	**($75.34)**
OWENS ILLINOIS INC NEW: OI	126 0000	10/24/08	02/18/09	$1.948.88	$2 424.91 [1]	($476.03)
OWENS ILLINOIS INC NEW: OI	17.0000	11/05/08	02/18/09	$262.94	$406.51 [1]	($143.57)
Security Subtotal				**$2,211.82**	**$2,831.42**	**($619.60)**
PACTIV CORPORATION: PTV	51.0000	03/10/08	02/18/09	$945.27	$1 229.25 [1]	($283.98)
PACTIV CORPORATION: PTV	13.0000	03/19/08	02/18/09	$240.95	$338.86 [1]	($97.91)
PACTIV CORPORATION: PTV	32.0000	03/25/08	02/18/09	$593 11	$869.99 [1]	($276.88)
PACTIV CORPORATION: PTV	11.0000	05/01/08	02/18/09	$203.88	$268.13 [1]	($64.25)
PACTIV CORPORATION: PTV	5.0000	05/02/08	02/18/09	$92.67	$122.21 [1]	($29.54)
PACTIV CORPORATION: PTV	23.0000	06/05/08	02/18/09	$426.30	$573.54 [1]	($147.24)
PACTIV CORPORATION. PTV	9.0000	07/17/08	02/18/09	$166.81	$189.17 [1]	($22.36)
PACTIV CORPORATION: PTV	16.0000	10/03/08	02/18/09	$296 56	$412.07 [1]	($115.51)
PACTIV CORPORATION: PTV	7.0000	10/09/08	02/18/09	$129 74	$175 90 [1]	($46.16)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
PACTIV CORPORATION: PTV	27.0000	10/27/08	02/18/09	$500.44	$675.09 [t]	($174.65)
Security Subtotal				**$3,595.73**	**$4,854.21**	**($1,258.48)**
PATTERSON COMPANIES: PDCO	125.0000	11/18/08	02/18/09	$2,320.44	$2,694.16 [t]	($373.72)
PATTERSON COMPANIES: PDCO	18.0000	11/26/08	02/18/09	$334.14	$331.13 [t]	$3.01
PATTERSON COMPANIES: PDCO	21.0000	12/04/08	02/18/09	$389.83	$376.11 [t]	$13.72
PATTERSON COMPANIES: PDCO	18.0000	12/09/08	02/18/09	$334.14	$327.69 [t]	$6.45
PATTERSON COMPANIES: PDCO	7.0000	12/19/08	02/18/09	$129.96	$129.77 [t]	$0.19
Security Subtotal				**$3,508.51**	**$3,858.86**	**($350.35)**
PHILLIPS-VAN HEUSEN CORP: PVH	12.0000	03/19/08	02/18/09	$213.62	$416.60 [t]	($202.98)
PHILLIPS-VAN HEUSEN CORP: PVH	18.0000	06/11/08	02/18/09	$320.43	$749.13 [t]	($428.70)
PHILLIPS-VAN HEUSEN CORP: PVH	7.0000	06/26/08	02/18/09	$124.61	$264.83 [t]	($140.22)
PHILLIPS-VAN HEUSEN CORP: PVH	11.0000	06/30/08	02/18/09	$195.82	$416.15 [t]	($220.33)
PHILLIPS-VAN HEUSEN CORP: PVH	3.0000	07/07/08	02/18/09	$53.41	$106.78 [t]	($53.37)
PHILLIPS-VAN HEUSEN CORP: PVH	10.0000	10/27/08	02/18/09	$178.02	$230.29 [t]	($52 27)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
PHILLIPS-VAN HEUSEN CORP: PVH	8.0000	11/05/08	02/18/09	$142.41	$189.47	($47.06)
PHILLIPS-VAN HEUSEN CORP: PVH	11.0000	11/26/08	02/18/09	$195.82	$181.22	$14.60
PHILLIPS-VAN HEUSEN CORP. PVH	7.0000	12/04/08	02/18/09	$124.61	$130.95	($6.34)
PHILLIPS-VAN HEUSEN CORP: PVH	17.0000	12/09/08	02/18/09	$302.63	$344.11	($41.48)
Security Subtotal				**$1,851.38**	**$3,029.53**	**($1,178.15)**
PIMCO FOREIGN BOND FD UNHEDGED INSTL CL: PFUIX	96.2460	02/18/09	12/08/09	$980.00	$790.81	$189.19
Security Subtotal				**$980.00**	**$790.81**	**$189.19**
PIMCO HIGH YIELD FUND INST CL: PHIYX	979.2630	02/18/09	12/08/09	$8.480.00	$6.516.41	$1.963.59
Security Subtotal				**$8,480.00**	**$6,516.41**	**$1,963.59**
PROSPERITY BANCSHARES: PRSP	16.0000	02/22/08	02/18/09	$424.42	$420.03	$4.39
PROSPERITY BANCSHARES: PRSP	12.0000	03/19/08	02/18/09	$318.31	$352.78	($34.47)
PROSPERITY BANCSHARES. PRSP	16 0000	07/17/08	02/18/09	$424.42	$447 12	($22.70)
PROSPERITY BANCSHARES. PRSP	23.0000	10/09/08	02 18/09	$610.10	$698 88	($88.78)
PROSPERITY BANCSHARES: PRSP	23.0000	10/27/08	02/18/09	$610.10	$697.31	($87.21)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-300056 767594

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
PROSPERITY BANCSHARES: PRSP	3.0000	11/18/08	02/18/09	$79.58	$90.35 [t]	($10.77)
PROSPERITY BANCSHARES: PRSP	14.0000	12/19/08	02/18/09	$371.37	$382.89 [t]	($11.52)
Security Subtotal				**$2,838.30**	**$3,089.36**	**($251.06)**
RALCORP HOLDINGS INC NEW: RAH	5.0000	03/19/08	02/18/09	$311.50	$280.19 [t]	$31.31
RALCORP HOLDINGS INC NEW: RAH	4.0000	07/07/08	02/18/09	$249.20	$193.67 [t]	$55.53
RALCORP HOLDINGS INC NEW: RAH	7.0000	07/30/08	02/18/09	$436.11	$374.13 [t]	$61.98
RALCORP HOLDINGS INC NEW: RAH	2.0000	10/27/08	02/18/09	$124.60	$131.02 [t]	($6.42)
Security Subtotal				**$1,121.41**	**$979.01**	**$142.40**
RAYMOND JAMES FINL INC: RJF	10.0000	06/03/08	02/18/09	$179.93	$292.54 [t]	($112.61)
RAYMOND JAMES FINL INC: RJF	12.0000	06/11/08	02/18/09	$215.92	$352.86 [t]	($136.94)
RAYMOND JAMES FINL INC: RJF	29.0000	07/30/08	02/18/09	$521.80	$856.41 [t]	($334.61)
RAYMOND JAMES FINL INC: RJF	9.0000	09/12/08	02/18/09	$161.94	$280.27 [t]	($118.33)
RAYMOND JAMES FINL INC: RJF	1.0000	10/15/08	02/18/09	$17.99	$23.20 [t]	($5.21)
Security Subtotal				**$1,097.58**	**$1,805.28**	**($707.70)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
ROFIN-SINAR TECHNOLOGIES: RSTI	14.0000	05/02/08	02/18/09	$212.61	$534.54 [1]	($321.93)
ROFIN-SINAR TECHNOLOGIES: RSTI	6.0000	05/30/08	02/18/09	$91.12	$209.94 [1]	($118.82)
ROFIN-SINAR TECHNOLOGIES: RSTI	5.0000	06/02/08	02/18/09	$75.93	$175.08 [1]	($99.15)
ROFIN-SINAR TECHNOLOGIES: RSTI	6.0000	06/11/08	02/18/09	$91.12	$202.25 [1]	($111.13)
ROFIN-SINAR TECHNOLOGIES: RSTI	4 0000	07/07/08	02/18/09	$60.75	$117.49 [1]	($56.74)
ROFIN-SINAR TECHNOLOGIES: RSTI	7.0000	07/14/08	02/18/09	$106.31	$206.25 [1]	($99 94)
Security Subtotal				**$637.84**	**$1,445.55**	**($807.71)**
SCHERING PLOUGH CORP: SGP	640.0000	07/16/08	02/18/09	$11.767.62	$14.226.11 [1]	($2.458.49)
Security Subtotal				**$11,767.62**	**$14,226.11**	**($2,458.49)**
SCOTTS MIRACLE GRO CO: SMG	8.0000	07/07/08	02/18/09	$249.95	$137.52 [1]	$112.43
SCOTTS MIRACLE GRO CO: SMG	18.0000	07/25/08	02/18/09	$562 39	$378.62 [1]	$183.77
SCOTTS MIRACLE GRO CO SMG	40.0000	08/05/08	02/18/09	$1.249.75	$932.46 [1]	$317 29
SCOTTS MIRACLE GRO CO: SMG	2 0000	09/23/08	02/18/09	$62 49	$49.80 [1]	$12.69
Security Subtotal				**$2.124.58**	**$1,498.40**	**$626.18**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
SEI INST INTERNATIONAL TRUST EMERGING MKT DEBT: SITEX	293.2550	02/18/09	12/08/09	$2,980.00	$2,263.26	$716.74
Security Subtotal				**$2,980.00**	**$2,263.26**	**$716.74**
SILGAN HOLDINGS INC: SLGN	12.0000	02/22/08	02/18/09	$594.63	$567.90 t	$26.73
SILGAN HOLDINGS INC: SLGN	7.0000	03/10/08	02/18/09	$346.87	$334.61 t	$12.26
SILGAN HOLDINGS INC: SLGN	12.0000	03/25/08	02/18/09	$594.63	$584.60 t	$10.03
SILGAN HOLDINGS INC: SLGN	2.0000	09/23/08	02/18/09	$99.11	$105.48 t	($6.37)
SILGAN HOLDINGS INC: SLGN	8.0000	10/03/08	02/18/09	$396.42	$405.09 t	($8.67)
SILGAN HOLDINGS INC: SLGN	8.0000	10/09/08	02/18/09	$396.42	$359.15 t	$37.27
SILGAN HOLDINGS INC: SLGN	10.0000	10/27/08	02/18/09	$495.54	$428.19 t	$67.35
Security Subtotal				**$2,923.62**	**$2,785.02**	**$138.60**
SMITH A O: AOS	16.0000	08/25/08	02/18/09	$420.28	$637.75 t	($217.47)
SMITH A O: AOS	23.0000	08/26/08	02/18/09	$604.16	$920.17 t	($316.01)
SMITH A O: AOS	2.0000	08/27/08	02/18/09	$52.54	$81.56 t	($29.02)

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-000056 787597

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out (FIFO)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
SMITH A O: AOS	11.0000	09/12/08	02/18/09	$288.94	$479.72 [1]	($190.78)
Security Subtotal				**$1,365.92**	**$2,119.20**	**($753.28)**
SONIC CORP: SONC	99.0000	08/25/08	02/18/09	$893.62	$1,468.25 [1]	($574.63)
SONIC CORP: SONC	20.0000	10/10/08	02/18/09	$180.53	$226.77 [1]	($46.24)
SONIC CORP: SONC	22.0000	10/24/08	02/18/09	$198.58	$206.36 [1]	($7.78)
Security Subtotal				**$1,272.73**	**$1,901.38**	**($628.65)**
SRA INTERNATIONAL INC: SRX	100.0000	08/12/08	02/18/09	$1,376.58	$2,300.74 [1]	($924.16)
SRA INTERNATIONAL INC: SRX	58.0000	08/13/08	02/18/09	$798.42	$1,358.40 [1]	($559.98)
SRA INTERNATIONAL INC: SRX	11.0000	09/23/08	02/18/09	$151.42	$250.41 [1]	($98.99)
SRA INTERNATIONAL INC. SRX	17.0000	10/07/08	02/18/09	$234.02	$348.16 [1]	($114.14)
SRA INTERNATIONAL INC: SRX	1.0000	10/09/08	02/18/09	$13.77	$18.59 [1]	($4.82)
SRA INTERNATIONAL INC: SRX	16.0000	10/27/08	02/18/09	$220.25	$269.70 [1]	($49.45)
Security Subtotal				**$2,794.46**	**$4,546.00**	**($1,751.54)**
SSGA EMERGING MARKETS FD: SSEMX	315.0000	02/18/09	04/28/09	$3,843.45	$3,266.55	$576.90

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1C1701-000056 787595

G000000561429

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
SSGA EMERGING MARKETS FD: SSEMX	852.8780	02/18/09	12/08/09	$16.000.00	$8,844.34	$7,155.66
Security Subtotal				**$19,843.45**	**$12,110.89**	**$7,732.56**
STATE STREET CORP: STT	150.0000	03/07/08	02/18/09	$3,535.83	$11,513.22 [1]	($7,977.39)
Security Subtotal				**$3,535.83**	**$11,513.22**	**($7,977.39)**
STEEL DYNAMICS INC: STLD	73.0000	12/04/08	02/18/09	$784.51	$544.86 [1]	$239.65
STEEL DYNAMICS INC: STLD	23.0000	12/05/08	02/18/09	$247.17	$185.45 [1]	$61.72
Security Subtotal				**$1,031.68**	**$730.31**	**$301.37**
SYNIVERSE HOLDINGS: SVR	133.0000	09/24/08	02/18/09	$1,904.99	$2,185.99 [1]	($281.00)
SYNIVERSE HOLDINGS: SVR	63.0000	10/03/08	02/18/09	$902.36	$1,025.21 [1]	($122.85)
SYNIVERSE HOLDINGS: SVR	3.0000	10/09/08	02/18/09	$42.97	$51.46 [1]	($8.49)
SYNIVERSE HOLDINGS: SVR	1.0000	11/26/08	02/18/09	$14.32	$9.69 [1]	$4.63
SYNIVERSE HOLDINGS: SVR	44.0000	12/19/08	02/18/09	$630.23	$439.34 [1]	$190.89
Security Subtotal				**$3,494.87**	**$3,711.69**	**($216.82)**
TEAM INCORPORATED: TISI	8.0000	03/10/08	02/18/09	$102.32	$249.72 [1]	($147.40)
TEAM INCORPORATED: TISI	9.0000	03/19/08	02/18/09	$115.11	$289.34 [1]	($174.23)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-000056 787599

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out (FIFO)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
TEAM INCORPORATED: TISI	8.0000	11/07/08	02/18/09	$102.32	$204.38 [1]	($102.06)
TEAM INCORPORATED: TISI	1.0000	11/26/08	02/18/09	$12.79	$26.14 [1]	($13.35)
Security Subtotal				**$332.54**	**$769.58**	**($437.04)**
TELEFLEX INCORPORATED: TFX	4.0000	03/17/08	02/18/09	$206.20	$195.90 [1]	$10 30
TELEFLEX INCORPORATED: TFX	4.0000	03/25/08	02/18/09	$206.20	$201.05 [1]	$5 15
TELEFLEX INCORPORATED: TFX	10.0000	05/01/08	02/18/09	$515.51	$560.22 [1]	($44.71)
TELEFLEX INCORPORATED: TFX	10.0000	06/10/08	02/18/09	$515.51	$584.62 [1]	($69.11)
TELEFLEX INCORPORATED. TFX	4.0000	12/05/08	02/18/09	$206.20	$180.42 [1]	$25.78
TELEFLEX INCORPORATED: TFX	4.0000	12/09/08	02/18/09	$206.21	$188.25 [1]	$17.96
Security Subtotal				**$1,855.83**	**$1,910.46**	**($54.63)**
TRANSOCEAN INC NEW F: RIG	80.0000	05/02/08	02/18/09	$4.546.72	$12.184.45 [1]	($7.637.73)
TRANSOCEAN INC NEW F: RIG	40 0000	05/29/08	02/18/09	$2.273.36	$6.110.40 [1]	($3 837.04)
TRANSOCEAN INC NEW F: RIG	60.0000	01/07/09	02/18/09	$3,410.03	$3.290 40 [1]	$119.63
Security Subtotal				**$10,230.11**	**$21,585.25**	**($11,355.14)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-030056 757500

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
TREEHOUSE FOODS INC: THS	49.0000	03/14/08	02/18/09	$1,370.53	$1,108.14 t	$262.39
TREEHOUSE FOODS INC: THS	7.0000	03/17/08	02/18/09	$195.79	$157.72 t	$38.07
Security Subtotal				**$1,566.32**	**$1,265.86**	**$300.46**
TYLER TECHNOLOGIES INC: TYL	13.0000	07/09/08	02/18/09	$163.25	$188.74 t	($25.49)
TYLER TECHNOLOGIES INC: TYL	11.0000	10/27/08	02/18/09	$138.01	$128.45 t	$9.56
TYLER TECHNOLOGIES INC: TYL	18.0000	10/27/08	02/18/09	$226.03	$210.18 t	$15.85
Security Subtotal				**$527.29**	**$527.37**	**($0.08)**
U R S CORP NEW: URS	22.0000	03/14/08	02/18/09	$694.87	$748.52 t	($53.65)
U R S CORP NEW: URS	10.0000	03/19/08	02/18/09	$315.85	$323.42 t	($7.57)
U R S CORP NEW: URS	12.0000	04/01/08	02/18/09	$379.02	$403.60 t	($24.58)
U R S CORP NEW: URS	16.0000	07/07/08	02/18/09	$505.36	$587.16 t	($81.80)
U R S CORP NEW: URS	12.0000	10/27/08	02/18/09	$379.02	$261.81 t	$117.21
Security Subtotal				**$2,274.12**	**$2,324.51**	**($50.39)**
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	11.0000	03/17/08	02/18/09	$186.48	$276.11 t	($89.63)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-000056 787601

G000000561529

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	13.0000	06/11/08	02/18/09	$220.39	$336.76 [1]	($116 37)
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	4.0000	07/07/08	02/18/09	$67.81	$84.45 [1]	($16.64)
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	13.0000	07/17/08	02/18/09	$220.39	$308.46 [1]	($88.07)
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	8 0000	08/04/08	02/18/09	$135 62	$206.97 [1]	($71.35)
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	3.0000	10/27/08	02/18/09	$50.85	$81.01 [1]	($30.16)
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	5.0000	10/27/08	02/18/09	$84.77	$135.02 [1]	($50.25)
Security Subtotal				**$966.31**	**$1,428.78**	**($462.47)**
UNITED STATES STEEL CORP: X	95 0000	11/18/08	02/18/09	$2 458.10	$2 653.26 [1]	($195 16)
Security Subtotal				**$2,458.10**	**$2,653.26**	**($195.16)**
US TREAS NOT 2 875%01/13UST NOTE DUE 01/31.13 912828HQ6	8.000.0000	07/07/08	02/18/09	$8.444.02	$7.916.90 [1]	$527.12
					$7 927.57	*$516 45* [9]

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1C1701-000056 767602

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
US TREAS NOT 2.875%01/13UST NOTE DUE 01/31/13: 912828HQ6	8,000.0000	09/09/08	02/18/09	$8,444.01	$8.021.59 [t]	$422.42
					$8,019.65	*$424.36* [b]
Security Subtotal				**$16,888.03**	**$15,938.49**	**$949.54**
US TREAS NOT 3.625%06/10UST NOTE DUE 06/15/10: 912828DX5	13,000.0000	08/07/08	02/18/09	$13,501.21	$13,304.73 [t]	$196.48
					$13,218.04	*$283.17* [b]
Security Subtotal				**$13,501.21**	**$13,304.73**	**$196.48**
US TREAS NT 2.75%10/13UST NOTE DUE 10/31/13: 912828JQ4	10,000.0000	11/04/08	02/18/09	$10,475.29	$10,022.66 [t]	$452.63
					$10.021.41	*$453.88* [b]
Security Subtotal				**$10,475.29**	**$10,022.66**	**$452.63**
US TREAS NT 4.25% 08/14U S T NOTE DUE 08/15/14: 912828CT5	2,000.0000	08/15/08	02/18/09	$2.251.80	$2,112.35 [t]	$139.45
					$2,103.60	*$148.20* [b]
US TREAS NT 4.25% 08/14U S T NOTE DUE 08/15/14: 912828CT5	4,000.0000	09/09/08	02/18/09	$4,503.59	$4.253.30 [t]	$250.29
					$4,235.99	*$267.60* [b]

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
US TREAS NT 4.25% 08/14U S T NOTE DUE 08/15/14: 912828CT5	6,000.0000	11/14/08	02/18/09	$6,755 39	$6.597.21 [1]	$158.18
					$6.571.76	*$183.63* [a]
Security Subtotal				**$13,510.78**	**$12,962.86**	**$547.92**
US TREAS NT 4.875%01/09UST NOTE DUE 01/31/09: 912828GE4	24,000 0000	12/30/08	01/31/09	$24,000.00	$24,095.63 [1]	($95.63)
					$24,000.00	*$0.00* [b]
Security Subtotal				**$24,000.00**	**$24,095.63**	**($95.63)**
V F CORPORATION: VFC	180.0000	07/16/08	02/18/09	$9,486.35	$12.931.96 [1]	($3 445 61)
Security Subtotal				**$9,486.35**	**$12,931.96**	**($3.445.61)**
VALERO ENERGY CORP NEW: VLO	430.0000	11/18/08	02/18/09	$9.262.22	$7.757.03 [1]	$1.505.19
Security Subtotal				**$9,262.22**	**$7,757.03**	**$1,505.19**
VANGUARD INTL GROWTH FD INVESTOR SHARE. VWIGX	336.1340	02/18/09	04/28/09	$3,980.00	$3.514.93	$465.07
VANGUARD INTL GROWTH FD INVESTOR SHARE: VWIGX	1.318.1020	02/18/09	12/08/09	$22,477.52	$13.783.29	$8.694.23
Security Subtotal				**$26,457.52**	**$17,298.22**	**$9,159.30**
VANGUARD 500 INDEX SIGNAL: VIFSX	208.7680	02/18/09	06/05/09	$14,980.00	$12.562.12	$2.417.88

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

CG1C1701 000055 787604

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
VANGUARD 500 INDEX SIGNAL: VIFSX	371.3020	02/18/09	12/08/09	$30,969.03	$22,342.22	$8,626.81
Security Subtotal				**$45,949.03**	**$34,904.34**	**$11,044.69**
VARIAN SEMICON EQUIPMENT: VSEA	11.0000	06/03/08	02/18/09	$217.37	$416.08 t	($198.71)
VARIAN SEMICON EQUIPMENT: VSEA	6.0000	06/10/08	02/18/09	$118.57	$217.34 t	($98.77)
VARIAN SEMICON EQUIPMENT: VSEA	17.0000	06/30/08	02/18/09	$335.94	$608.04 t	($272.10)
VARIAN SEMICON EQUIPMENT: VSEA	4.0000	07/07/08	02/18/09	$79.04	$130.42 t	($51.38)
VARIAN SEMICON EQUIPMENT: VSEA	11.0000	07/14/08	02/18/09	$217.37	$337.93 t	($120.56)
VARIAN SEMICON EQUIPMENT: VSEA	24.0000	07/25/08	02/18/09	$474.27	$661.16 t	($186.89)
VARIAN SEMICON EQUIPMENT: VSEA	11.0000	11/05/08	02/18/09	$217.37	$241.62 t	($24.25)
VARIAN SEMICON EQUIPMENT: VSEA	6.0000	12/04/08	02/18/09	$118.57	$107.69 t	$10.88
VARIAN SEMICON EQUIPMENT: VSEA	1.0000	12/05/08	02/18/09	$19.76	$16.35 t	$3.41
VARIAN SEMICON EQUIPMENT: VSEA	10.0000	12/18/08	02/18/09	$197.62	$197.40 t	$0.22
Security Subtotal				**$1,995.88**	**$2,934.03**	**($938.15)**
VECTREN CORP: VVC	27.0000	09/24/08	02/18/09	$617.91	$742.30 t	($124.39)

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-000056 787805

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
VECTREN CORP: VVC	14.0000	10/03/08	02/18/09	$320.40	$375.39 [1]	($54.99)
VECTREN CORP: VVC	17.0000	10/27/08	02/18/09	$389.05	$382.02 [1]	$7.03
Security Subtotal				**$1,327.36**	**$1,499.71**	**($172.35)**
WADDELL & REED FINL CL A: WDR	32.0000	03/14/08	02/18/09	$472.10	$985.08 [1]	($512.98)
WADDELL & REED FINL CL A: WDR	10.0000	04/16/08	02/18/09	$147.53	$325.02 [1]	($177.49)
WADDELL & REED FINL CL A: WDR	3.0000	05/01/08	02/18/09	$44.26	$102.75 [1]	($58.49)
WADDELL & REED FINL CL A: WDR	17.0000	06/11/08	02/18/09	$250.80	$601.53 [1]	($350.73)
WADDELL & REED FINL CL A: WDR	7.0000	07/14/08	02/18/09	$103.27	$219.18 [1]	($115.91)
WADDELL & REED FINL CL A: WDR	8.0000	07/17/08	02/18/09	$118.02	$254.14 [1]	($136.12)
WADDELL & REED FINL CL A: WDR	4.0000	08/19/08	02/18/09	$59.01	$121.24 [1]	($62.23)
WADDELL & REED FINL CL A: WDR	30.0000	10/02/08	02/18/09	$442.59	$701.26 [1]	($258.67)
WADDELL & REED FINL CL A: WDR	26.0000	10/10/08	02/18/09	$383.58	$381.76 [1]	$1.82
WADDELL & REED FINL CL A: WDR	9.0000	11/18/08	02/18/09	$132.78	$94.46 [1]	$38.32

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG*C17C*-000056 787606

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
WADDELL & REED FINL CL A: WDR	51.0000	12/19/08	02/18/09	$752.41	$700.19 t	$52.22
Security Subtotal				**$2,906.35**	**$4,486.61**	**($1,580.26)**
WASTE CONNECTIONS INC: WCN	33.0000	02/20/08	02/18/09	$855.91	$1,006.89 t	($150.98)
WASTE CONNECTIONS INC: WCN	11.0000	03/19/08	02/18/09	$285.30	$341.60 t	($56.30)
WASTE CONNECTIONS INC: WCN	5.0000	05/07/08	02/18/09	$129.68	$156.11 t	($26.43)
WASTE CONNECTIONS INC: WCN	14.0000	06/11/08	02/18/09	$363.11	$451.80 t	($88.69)
WASTE CONNECTIONS INC: WCN	4.0000	07/07/08	02/18/09	$103.75	$122.59 t	($18.84)
WASTE CONNECTIONS INC: WCN	7.0000	09/24/08	02/18/09	$181.56	$233.09 t	($51.53)
WASTE CONNECTIONS INC: WCN	14.0000	10/07/08	02/18/09	$363.12	$479.18 t	($116.06)
Security Subtotal				**$2,282.43**	**$2,791.26**	**($508.83)**
WATSON PHARMACEUTICALS: WPI	380.0000	11/18/08	02/18/09	$10,932.32	$8.711.39 t	$2,220 93
Security Subtotal				**$10,932.32**	**$8,711.39**	**$2,220.93**
WATSON WYATT WORLDWIDE: WW	7.0000	06/26/08	02/18/09	$349.32	$383.53 t	($34.21)
WATSON WYATT WORLDWIDE: WW	5.0000	07/07/08	02/18/09	$249.52	$255.88 t	($6.36)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-000056 787607

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out (FIFO)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
WATSON WYATT WORLDWIDE: WW	5.0000	08/14/08	02/18/09	$249.52	$292.47	($42.95)
WATSON WYATT WORLDWIDE. WW	1.0000	09/23/08	02/18/09	$49 90	$51.89	($1.99)
WATSON WYATT WORLDWIDE: WW	5.0000	10/07/08	02/18/09	$249.52	$220.86	$28 66
WATSON WYATT WORLDWIDE: WW	6.0000	10/15/08	02/18/09	$299 42	$226.94	$72.48
WATSON WYATT WORLDWIDE: WW	1.0000	10/16/08	02/18/09	$49 90	$37.30	$12.60
Security Subtotal				**$1,497.10**	**$1.468.87**	**$28.23**
WENDYS ARBYS GROUP INC: WEN	42.0000	09/23/08	02/18/09	$213.78	$217.45	($3.67)
Security Subtotal				**$213.78**	**$217.45**	**($3.67)**
WESTAR ENERGY INC. WR	23.0000	09/24/08	02/18/09	$410.87	$527.04	($116.17)
WESTAR ENERGY INC: WR	21.0000	10/03/08	02/18/09	$375.14	$492.26	($117.12)
WESTAR ENERGY INC: WR	27.0000	10/27/08	02/18/09	$482.32	$482.39	($0.07)
Security Subtotal				**$1,268.33**	**$1,501.69**	**($233.36)**
WESTCORE MIDCO GROWTH INST WIMGX	1 200.0000	02/18/09	12/08/09	$5.980.00	$3,939 94	$2.040.06
Security Subtotal				**$5,980.00**	**$3,939.94**	**$2,040.06**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701 000056 787606

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
WILEY JOHN & SON CL A: JWA	41.0000	11/26/08	02/18/09	$1,365.77	$1,268.46 [1]	$97.31
Security Subtotal				**$1,365.77**	**$1,268.46**	**$97.31**
Total Short-Term				**$645,905.09**	**$662,631.69**	**($16,726.60)**
					$662,011.20	***($16,106.11)*** [b]

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
A T & T INC NEW: T	690.0000	06/12/06	02/18/09	$15,951.94	$18,642.42 [t]	($2,690.48)
A T & T INC NEW: T	49.0000	01/30/08	02/18/09	$1,132.82	$1,839.95 [t]	($707.13)
Security Subtotal				**$17,084.76**	**$20,482.37**	**($3,397.61)**
AARON RENTS INC: RNT	7.0000	06/12/06	02/18/09	$170.47	$178.83 [t]	($8.36)
AARON RENTS INC: RNT	40.0000	07/27/06	02/18/09	$974.14	$993.20 [t]	($19.06)
AARON RENTS INC: RNT	21.0000	09/18/06	02/18/09	$511.42	$534.28 [t]	($22.86)
AARON RENTS INC: RNT	29.0000	11/14/06	02/18/09	$706.25	$753.33 [t]	($47.08)
AARON RENTS INC: RNT	20.0000	07/27/07	02/18/09	$487.07	$478.79 [t]	$8.28

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1C1701-000056 787609

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AARON RENTS INC: RNT	10.0000	10/03/07	02/18/09	$243.54	$221.61	$21.93
Security Subtotal				**$3,092.89**	**$3,160.04**	**($67.15)**
AIM INTERNATIONAL GROWTH FUND CL A. AIIEX	7,973.3980	multiple	02/18/09	$134,830.17	$142,854.70	($8,024.53)
Security Subtotal				**$134,830.17**	**$142,854.70**	**($8,024.53)**
AIRGAS INC: ARG	20.0000	06/18/07	02/18/09	$728.65	$846.46	($117.81)
AIRGAS INC: ARG	14.0000	07/27/07	02/18/09	$510.06	$643.18	($133.12)
AIRGAS INC. ARG	18.0000	08/22/07	02/18/09	$655.79	$797.05	($141.26)
AIRGAS INC: ARG	17.0000	01/25/08	02/18/09	$619.35	$706.02	($86.67)
Security Subtotal				**$2,513.85**	**$2,992.71**	**($478.86)**
ALLIANT TECHSYSTEMS INC: ATK	8.0000	09/22/06	02/18/09	$626.40	$646.59	($20.19)
ALLIANT TECHSYSTEMS INC: ATK	13.0000	11/13/06	02/18/09	$1,017.90	$1,020.88	($2.98)
Security Subtotal				**$1,644.30**	**$1,667.47**	**($23.17)**
ALLSTATE CORPORATION: ALL	275.0000	10/13/05	02/18/09	$5,390.92	$14,726.25	($9,335.33)
ALLSTATE CORPORATION: ALL	50.0000	12/20/05	02/18/09	$980.17	$2,727.50	($1,747.33)
Security Subtotal				**$6,371.09**	**$17,453.75**	**($11,082.66)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out (FIFO)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ALTRIA GROUP INC: MO	200.0000	10/14/05	02/18/09	$3,115.47	$3,252.96 t	($137.49)
ALTRIA GROUP INC: MO	160.0000	05/02/06	02/18/09	$2,492.37	$2,706.43 t	($214.06)
ALTRIA GROUP INC: MO	20.0000	01/02/08	02/18/09	$311.55	$456.04 t	($144.49)
Security Subtotal				**$5,919.39**	**$6,415.43**	**($496.04)**
AMERICAN INTL GROUP INC: AIG	170.0000	02/08/07	02/18/09	$125.37	$11,818.28 t	($11,692.91)
AMERICAN INTL GROUP INC: AIG	200.0000	02/08/07	02/18/09	$147.49	$13,903.86 t	($13,756.37)
Security Subtotal				**$272.86**	**$25,722.14**	**($25,449.28)**
APPLE INC: AAPL	90.0000	10/14/05	02/18/09	$8,560.80	$4,804.20 t	$3,756.60
Security Subtotal				**$8,560.80**	**$4,804.20**	**$3,756.60**
BANK OF AMERICA CORP: BAC	15.0000	08/18/04	02/18/09	$71.37	$654.24 t	($582.87)
BANK OF AMERICA CORP: BAC	80.0000	12/10/04	02/18/09	$380.63	$3,680.60 t	($3,299.97)
BANK OF AMERICA CORP: BAC	75.0000	04/08/05	02/18/09	$356.84	$3,374.47 t	($3,017.63)
BANK OF AMERICA CORP: BAC	400.0000	10/14/05	02/18/09	$1,903.15	$16,796.00 t	($14,892.85)
BANK OF AMERICA CORP: BAC	20.0000	05/02/06	02/18/09	$95.16	$990.00 t	($894.84)
Security Subtotal				**$2,807.15**	**$25,495.31**	**($22,688.16)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BOEING CO: BA	130.0000	02/23/06	02/18/09	$4,978.08	$9.614.62 [1]	($4.636.54)
Security Subtotal				**$4,978.08**	**$9,614.62**	**($4,636.54)**
C S X CORP: CSX	260 0000	06/12/06	02/18/09	$7.300.12	$8.089.68 [1]	($789.56)
Security Subtotal				**$7,300.12**	**$8,089.68**	**($789.56)**
CHEVRON CORPORATION: CVX	280.0000	01/30/08	02/18/09	$18.619.62	$23.097.06 [1]	($4 477.44)
Security Subtotal				**$18,619.62**	**$23,097.06**	**($4,477.44)**
CITIGROUP INC: C	300.0000	09/25/07	02/18/09	$885.04	$13 857.00 [1]	($12.971.96)
Security Subtotal				**$885.04**	**$13,857.00**	**($12,971.96)**
CONCHO RESOURCES INC: CXO	13.0000	12/17/07	02/18/09	$279.18	$248.44 [1]	$30.74
Security Subtotal				**$279.18**	**$248.44**	**$30.74**
CONOCOPHILLIPS. COP	260.0000	10/14/05	02/18/09	$11 129.64	$15.914.60 [1]	($4.784 96)
Security Subtotal				**$11,129.64**	**$15,914.60**	**($4,784.96)**
CULLEN FROST BANKERS: CFR	29.0000	10/19/07	02/18/09	$1.227.67	$1,408.23 [1]	($180.56)
CULLEN FROST BANKERS: CFR	20.0000	10/25/07	02/18/09	$846.67	$1.031.55 [1]	($184.88)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CULLEN FROST BANKERS: CFR	6.0000	01/03/08	02/18/09	$254.00	$292.74 t	($38.74)
Security Subtotal				**$2,328.34**	**$2,732.52**	**($404.18)**
DISNEY WALT CO: DIS	415.0000	10/14/05	02/18/09	$7,384.45	$9,537.95 t	($2,153.50)
DISNEY WALT CO: DIS	110.0000	08/15/07	02/18/09	$1,957.32	$3,569.50 t	($1,612.18)
Security Subtotal				**$9,341.77**	**$13,107.45**	**($3,765.68)**
EATON CORPORATION: ETN	100.0000	10/14/05	02/18/09	$4,221.42	$5,940.00 t	($1,718.58)
Security Subtotal				**$4,221.42**	**$5,940.00**	**($1,718.58)**
FIRST CASH FINL SVCS INC: FCFS	1.0000	11/27/06	02/18/09	$16.01	$20.71 t	($4.70)
FIRST CASH FINL SVCS INC: FCFS	3.0000	04/12/07	02/18/09	$48.02	$65.05 t	($17.03)
FIRST CASH FINL SVCS INC: FCFS	15.0000	04/13/07	02/18/09	$240.08	$324.88 t	($84.80)
FIRST CASH FINL SVCS INC: FCFS	20.0000	04/25/07	02/18/09	$320.11	$486.71 t	($166.60)
FIRST CASH FINL SVCS INC: FCFS	19.0000	06/06/07	02/18/09	$304.11	$471.11 t	($167.00)
FIRST CASH FINL SVCS INC: FCFS	18.0000	08/22/07	02/18/09	$288.10	$407.01 t	($118.91)
FIRST CASH FINL SVCS INC: FCFS	15.0000	09/21/07	02/18/09	$240.08	$337.95 t	($97.87)
FIRST CASH FINL SVCS INC: FCFS	13.0000	10/25/07	02/18/09	$208.07	$247.00 t	($38.93)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
FIRST CASH FINL SVCS INC: FCFS	20.0000	12/24/07	02/18/09	$320.11	$316.32 [1]	$3 79
FIRST CASH FINL SVCS INC· FCFS	58.0000	01/29/08	02/18/09	$928.32	$555.27 [1]	$373.05
Security Subtotal				**$2,913.01**	**$3.232.01**	**($319.00)**
FORWARD AIR CORPORATION: FWRD	19.0000	03/07/07	02/18/09	$351.00	$591.20 [1]	($240.20)
FORWARD AIR CORPORATION. FWRD	24.0000	04/25/07	02/18/09	$443.37	$749.63 [1]	($306.26)
FORWARD AIR CORPORATION: FWRD	8.0000	07/27/07	02/18/09	$147 79	$275 00 [1]	($127.21)
FORWARD AIR CORPORATION: FWRD	10.0000	10/30/07	02/18/09	$184.74	$316 19 [1]	($131.45)
FORWARD AIR CORPORATION· FWRD	16 0000	10/31/07	02/18/09	$295.58	$519.68 [1]	($224.10)
Security Subtotal				**$1,422.48**	**$2,451.70**	**($1,029.22)**
GENERAL CABLE CP DE NEW: BGC	7.0000	11/10/06	02/18/09	$117 02	$276.83 [1]	($159.81)
GENERAL CABLE CP DE NEW: BGC	7.0000	02/13/07	02/18/09	$117.02	$353.32 [1]	($236.30)
GENERAL CABLE CP DE NEW: BGC	6.0000	04/25/07	02/18/09	$100.30	$338.30 [1]	($238 00)
Security Subtotal				**$334.34**	**$968.45**	**($634.11)**
GENERAL ELECTRIC COMPANY: GE	140.0000	11/28.01	02/18/09	$1.547.03	$5 544.00 [1]	($3 996.97)
GENERAL ELECTRIC COMPANY. GE	85.0000	03/31/03	02/18/09	$939.27	$2 194.56 [1]	($1.255.29)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GENERAL ELECTRIC COMPANY: GE	90.0000	02/23/04	02/18/09	$994.52	$2,969.42 t	($1,974.90)
GENERAL ELECTRIC COMPANY: GE	35.0000	07/15/04	02/18/09	$386.76	$1,161.30 t	($774.54)
GENERAL ELECTRIC COMPANY: GE	55.0000	08/04/04	02/18/09	$607 76	$1,812.25 t	($1,204.49)
GENERAL ELECTRIC COMPANY: GE	245.0000	10/14/05	02/18/09	$2,707.30	$8,386.35 t	($5,679.05)
GENERAL ELECTRIC COMPANY: GE	150.0000	02/23/06	02/18/09	$1,657.53	$4,984.50 t	($3,326.97)
GENERAL ELECTRIC COMPANY: GE	120.0000	02/08/07	02/18/09	$1.326.02	$4.286.40 t	($2,960.38)
Security Subtotal				**$10,166.19**	**$31,338.78**	**($21,172.59)**
GOLDMAN SACHS GROUP INC: GS	50.0000	10/13/05	02/18/09	$4.258.02	$5,604.00 t	($1,345.98)
Security Subtotal				**$4,258.02**	**$5,604.00**	**($1,345.98)**
GOOGLE INC CLASS A: GOOG	30.0000	10/25/06	02/18/09	$10.490.99	$14.266.66 t	($3.775.67)
Security Subtotal				**$10,490.99**	**$14,266.66**	**($3,775.67)**
H C C INSURANCE HOLDINGS: HCC	37.0000	09/12/07	02/18/09	$877.72	$1,007.06 t	($129.34)
H C C INSURANCE HOLDINGS: HCC	48.0000	10/25/07	02/18/09	$1,138.67	$1,389.90 t	($251.23)
H C C INSURANCE HOLDINGS: HCC	10.0000	01/15/08	02/18/09	$237.22	$281.54 t	($44.32)
Security Subtotal				**$2,253.61**	**$2,678.50**	**($424.89)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
HEALTHCARE SVC GROUP INC: HCSG	37.5000	09/29/05	02/18/09	$587.60	$449.25	$138.35
HEALTHCARE SVC GROUP INC: HCSG	19.5000	11/13/06	02/18/09	$305.55	$338.33	($32.78)
HEALTHCARE SVC GROUP INC. HCSG	30.0000	06/29/07	02/18/09	$470.08	$593.93	($123.85)
HEALTHCARE SVC GROUP INC: HCSG	37.0000	10/18/07	02/18/09	$579.77	$774.85	($195.08)
Security Subtotal				**$1,943.00**	**$2,156.36**	**($213.36)**
HEALTHSPRING INC: HS	59.0000	03/13/07	02/18/09	$876.27	$1,286.05	($409.78)
HEALTHSPRING INC: HS	36.0000	06/21/07	02/18/09	$534.67	$665.83	($131.16)
HEALTHSPRING INC: HS	35.0000	06/29/07	02/18/09	$519.82	$666.66	($146.84)
HEALTHSPRING INC: HS	18.0000	07/27/07	02/18/09	$267.34	$318.69	($51.35)
Security Subtotal				**$2,198.10**	**$2,937.23**	**($739.13)**
HEWLETT-PACKARD COMPANY: HPQ	270.0000	02/23/06	02/18/09	$9,222.56	$8,758.80	$463.76
Security Subtotal				**$9,222.56**	**$8,758.80**	**$463.76**
I P C HOLDINGS LTD ORD F: IPCR	89.0000	10/25/07	02/18/09	$2,558.93	$2,579.59	($20.66)
I P C HOLDINGS LTD ORD F: IPCR	11.0000	01/23/08	02/18/09	$316.27	$279.84	$36.43
Security Subtotal				**$2,875.20**	**$2,859.43**	**$15.77**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
INTERNATIONAL PAPER CO: IP	75.0000	02/08/07	02/18/09	$494.54	$2,739.61 '	($2,245.07)
INTERNATIONAL PAPER CO: IP	485.0000	02/08/07	02/18/09	$3,198.57	$17,716.18 '	($14,517.61)
Security Subtotal				**$3,693.11**	**$20,455.79**	**($16,762.68)**
INTL BUSINESS MACHINES: IBM	120.0000	11/07/05	02/18/09	$11,002.42	$10,014.00 '	$988.42
Security Subtotal				**$11,002.42**	**$10,014.00**	**$988.42**
INVENTIV HEALTH INC: VTIV	22.0000	01/23/08	02/18/09	$226.96	$725 13 '	($498.17)
INVENTIV HEALTH INC: VTIV	27.0000	01/23/08	02/18/09	$278.54	$889.93 '	($611.39)
INVENTIV HEALTH INC: VTIV	14.0000	02/15/08	02/18/09	$144.43	$429.81 '	($285.38)
Security Subtotal				**$649.93**	**$2,044.87**	**($1,394.94)**
L H C GROUP: LHCG	5.0000	05/03/07	02/18/09	$152.49	$135.87 '	$16.62
L H C GROUP: LHCG	26.0000	06/21/07	02/18/09	$792.95	$660.40 '	$132.55
L H C GROUP: LHCG	13.0000	06/29/07	02/18/09	$396.47	$343.33 '	$53.14
L H C GROUP: LHCG	20.0000	07/27/07	02/18/09	$609.96	$500.00 '	$109.96
Security Subtotal				**$1,951.87**	**$1,639.60**	**$312.27**
LINCOLN ELEC HLDGS INC: LECO	5.0000	09/27/07	02/18/09	$204.55	$381.83 '	($177.28)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
LINCOLN ELEC HLDGS INC: LECO	14.0000	09/28/07	02/18/09	$572.74	$1,083.53 [1]	($510.79)
LINCOLN ELEC HLDGS INC: LECO	15.0000	10/30/07	02/18/09	$613.65	$1,102.68 [1]	($489.03)
LINCOLN ELEC HLDGS INC: LECO	7.0000	01/25/08	02/18/09	$286.37	$433.04 [1]	($146.67)
Security Subtotal				**$1,677.31**	**$3,001.08**	**($1,323.77)**
MARATHON OIL CORP: MRO	320.0000	09/26/06	02/18/09	$7,924.12	$11,791.78 [1]	($3,867.66)
Security Subtotal				**$7,924.12**	**$11,791.78**	**($3,867.66)**
MC DONALDS CORP: MCD	190.0000	08/15/07	02/18/09	$10,671.08	$9,215.00 [1]	$1,456.08
Security Subtotal				**$10,671.08**	**$9,215.00**	**$1,456.08**
MEDNAX INC: MD	23.0000	07/26/06	02/18/09	$849.53	$986.07 [1]	($136.54)
MEDNAX INC: MD	29.0000	11/13/06	02/18/09	$1,071.15	$1,409.42 [1]	($338.27)
MEDNAX INC: MD	3.0000	01/23/08	02/18/09	$110.81	$192.80 [1]	($81.99)
Security Subtotal				**$2,031.49**	**$2,588.29**	**($556.80)**
MEMC ELECTRNC MATERIALS: WFR	90.0000	07/31/06	02/18/09	$1,302.34	$2,747.56 [1]	($1,445.22)
Security Subtotal				**$1,302.34**	**$2,747.56**	**($1,445.22)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MERCK & CO INC: MRK	380.0000	07/31/06	02/18/09	$10,828.96	$15,283.64 t	($4,454.68)
Security Subtotal				**$10,828.96**	**$15,283.64**	**($4,454.68)**
METLIFE INC: MET	250.0000	09/25/07	02/18/09	$5,999.01	$17,039.48 t	($11,040.47)
Security Subtotal				**$5,999.01**	**$17,039.48**	**($11,040.47)**
MICROS SYSTEMS INC: MCRS	23.0000	11/13/06	02/18/09	$382.93	$590.07 t	($207.14)
Security Subtotal				**$382.93**	**$590.07**	**($207.14)**
MICROSEMI CORPORATION: MSCC	11.0000	04/25/07	02/18/09	$110.91	$269.13 t	($158.22)
MICROSEMI CORPORATION: MSCC	14.0000	06/29/07	02/18/09	$141.16	$334.60 t	($193.44)
MICROSEMI CORPORATION: MSCC	16.0000	10/25/07	02/18/09	$161.33	$420.80 t	($259.47)
MICROSEMI CORPORATION: MSCC	16.0000	11/26/07	02/18/09	$161.33	$353.26 t	($191.93)
MICROSEMI CORPORATION: MSCC	31.0000	01/02/08	02/18/09	$312.57	$678.00 t	($365.43)
Security Subtotal				**$887.30**	**$2,055.79**	**($1,168.49)**
MICROSOFT CORP: MSFT	30.0000	11/29/00	02/18/09	$549.00	$973.13 t	($424.13)
MICROSOFT CORP: MSFT	150.0000	07/19/05	02/18/09	$2,744.98	$3,903.00 t	($1,158.02)
MICROSOFT CORP: MSFT	550.0000	10/14/05	02/18/09	$10,064.91	$13,508.00 t	($3,443.09)

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MICROSOFT CORP: MSFT	80.0000	04/13/07	02/18/09	$1 463.98	$2.286.40	($822.42)
Security Subtotal				**$14,822.87**	**$20,670.53**	**($5,847.66)**
NIKE INC CLASS B: NKE	200.0000	10/13/05	02/18/09	$8,679.09	$8.014.00	$665 09
NIKE INC CLASS B. NKE	40.0000	05/02/06	02/18/09	$1.735.82	$1.642.40	$93.42
Security Subtotal				**$10,414.91**	**$9,656.40**	**$758.51**
NORDSON CORP: NDSN	3.0000	11/13/06	02/18/09	$91.63	$138 56 [1]	($46.93)
NORDSON CORP: NDSN	14.0000	07/27/07	02/18/09	$427.60	$662.30 [1]	($234.70)
Security Subtotal				**$519.23**	**$800.86**	**($281.63)**
NORTHROP GRUMMAN CORP: NOC	120.0000	10/14/05	02/18/09	$5,516.05	$6.382.80 [1]	($866.75)
Security Subtotal				**$5,516.05**	**$6,382.80**	**($866.75)**
OCCIDENTAL PETE CORP: OXY	210.0000	10/14/05	02/18/09	$10,892.71	$7.646.10 [1]	$3,246.61
Security Subtotal				**$10,892.71**	**$7,646.10**	**$3,246.61**
OIL STATES INTERNATIONAL: OIS	9 0000	05/29/07	02/18/09	$144.50	$339.99 [1]	($195.49)
OIL STATES INTERNATIONAL: OIS	10 0000	06/21/07	02/18/09	$160.55	$410.62 [1]	($250.07)

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
OIL STATES INTERNATIONAL: OIS	11.0000	07/27/07	02/18/09	$176 61	$474.82 ι	($298.21)
Security Subtotal				**$481.66**	**$1,225.43**	**($743.77)**
OWENS &MINOR INC HLDG CO: OMI	14.0000	04/25/07	02/18/09	$506.38	$507.19 ι	($0.81)
Security Subtotal				**$506.38**	**$507.19**	**($0.81)**
PFIZER INCORPORATED: PFE	600.0000	12/20/05	02/18/09	$8,533.62	$14,394.00 ι	($5,860.38)
PFIZER INCORPORATED: PFE	400.0000	05/02/06	02/18/09	$5,689.08	$10,108.00 ι	($4,418.92)
PFIZER INCORPORATED: PFE	90.0000	01/02/08	02/18/09	$1,280.05	$2,061.90 ι	($781.85)
Security Subtotal				**$15,502.75**	**$26,563.90**	**($11,061.15)**
PHILIP MORRIS INTL INC: PM	200.0000	10/14/05	02/18/09	$7,091.25	$7,412.49 ι	($321.24)
PHILIP MORRIS INTL INC: PM	160.0000	05/02/06	02/18/09	$5,673.00	$6,167.12 ι	($494.12)
PHILIP MORRIS INTL INC: PM	20.0000	01/02/08	02/18/09	$709.12	$1,039.16 ι	($330.04)
Security Subtotal				**$13,473.37**	**$14,618.77**	**($1,145.40)**
PHILLIPS-VAN HEUSEN CORP: PVH	50.0000	01/15/08	02/18/09	$890.09	$1,660.26 ι	($770.17)
Security Subtotal				**$890.09**	**$1,660.26**	**($770.17)**
PUB SVC ENT GROUP INC PEG	80.0000	02/08/07	02/18/09	$2,337.30	$2,803.10 ι	($465.80)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PUB SVC ENT GROUP INC: PEG	100.0000	02/08/07	02/18/09	$2,921.62	$3.503.88 [1]	($582.26)
PUB SVC ENT GROUP INC: PEG	200.0000	02/08/07	02/18/09	$5 843.45	$7.007.75 [1]	($1.164.30)
Security Subtotal				**$11,102.37**	**$13.314.73**	**($2,212.36)**
RALCORP HOLDINGS INC NEW: RAH	14.0000	05/25/07	02/18/09	$872.21	$825 78 [1]	$46.43
RALCORP HOLDINGS INC NEW: RAH	21.0000	06/21/07	02/18/09	$1.308.32	$1.140.12 [1]	$168.20
Security Subtotal				**$2,180.53**	**$1,965.90**	**$214.63**
RAYTHEON COMPANY NEW: RTN	100.0000	07/31/06	02/18/09	$4,631.12	$4.506.05 [1]	$125.07
Security Subtotal				**$4.631.12**	**$4,506.05**	**$125.07**
ROYAL CARIBBEAN CRUISESF: RCL	135.0000	10/13/05	02/18/09	$840.86	$5.451.30 [1]	($4 610.44)
ROYAL CARIBBEAN CRUISESF: RCL	150.0000	08/15/07	02/18/09	$934.28	$5.599.77 [1]	($4 665.49)
Security Subtotal				**$1.775.14**	**$11,051.07**	**($9,275.93)**
SCOTTS MIRACLE GRO CO: SMG	3.0000	08/24/06	02/18/09	$93 73	$118 11 [1]	($24.38)
SCOTTS MIRACLE GRO CO: SMG	17.0000	11/13/06	02/18/09	$531.14	$840.14 [1]	($309.00)
SCOTTS MIRACLE GRO CO. SMG	8.0000	06/29/07	02/18/09	$249 95	$343.55 [1]	($93.60)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SCOTTS MIRACLE GRO CO: SMG	8.0000	01/23/08	02/18/09	$249.95	$253.97 [1]	($4.02)
Security Subtotal				**$1,124.77**	**$1,555.77**	**($431.00)**
SILGAN HOLDINGS INC: SLGN	44.0000	01/23/08	02/18/09	$2,180.32	$2,048.61 [1]	$131.71
Security Subtotal				**$2,180.32**	**$2,048.61**	**$131.71**
SYBASE INC: SY	91.0000	05/23/07	02/18/09	$2,542.23	$2,119.59 [1]	$422.64
SYBASE INC: SY	13.0000	06/18/07	02/18/09	$363.18	$306.57 [1]	$56.61
SYBASE INC: SY	28.0000	06/21/07	02/18/09	$782.22	$689.47 [1]	$92.75
SYBASE INC: SY	15.0000	06/29/07	02/18/09	$419.05	$364.18 [1]	$54.87
SYBASE INC: SY	21.0000	08/22/07	02/18/09	$586.66	$479.29 [1]	$107.37
Security Subtotal				**$4,693.34**	**$3,959.10**	**$734.24**
TEAM INCORPORATED. TISI	6.0000	08/14/06	02/18/09	$76.74	$75.58 [1]	$1.16
Security Subtotal				**$76.74**	**$75.58**	**$1.16**
TEXTRON INCORPORATED: TXT	220.0000	02/23/06	02/18/09	$1.421.26	$9,618.01 [1]	($8,196.75)
Security Subtotal				**$1,421.26**	**$9,618.01**	**($8,196.75)**
TYLER TECHNOLOGIES INC: TYL	15.0000	08/02/07	02/18/09	$188.36	$186.47 [1]	$1.89

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TYLER TECHNOLOGIES INC: TYL	29.0000	10/16/07	02/18/09	$364.17	$419.23 [1]	($55.06)
TYLER TECHNOLOGIES INC: TYL	85 0000	10/16/07	02/18/09	$1,067.39	$1.228 78 [1]	($161.39)
TYLER TECHNOLOGIES INC: TYL	15.0000	10/31/07	02/18/09	$188.36	$240.00 [1]	($51.64)
TYLER TECHNOLOGIES INC: TYL	25.0000	01/04/08	02/18/09	$313 94	$309.46 [1]	$4 48
Security Subtotal				**$2,122.22**	**$2,383.94**	**($261.72)**
U R S CORP NEW. URS	6.0000	06/29/07	02/18/09	$189.51	$293.32 [1]	($103.81)
Security Subtotal				**$189.51**	**$293.32**	**($103.81)**
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	26.0000	12/11/07	02/18/09	$440 78	$829.91 [1]	($389.13)
UNITED BANKSHRS INC W VAWEST VIRGINIA: UBSI	20.0000	01/09/08	02/18/09	$339.06	$527.58 [1]	($188.52)
Security Subtotal				**$779.84**	**$1,357.49**	**($577.65)**
UNITED FIRE&CASUALTY CO: UFCS	21.0000	05/15/06	02/18/09	$407.91	$651.76 [1]	($243.85)
UNITED FIRE&CASUALTY CO: UFCS	13.0000	11/14/06	02/18/09	$252.51	$471.71 [1]	($219.20)
UNITED FIRE&CASUALTY CO: UFCS	7 0000	02/13/07	02/18/09	$135.97	$243.28 [1]	($107.31)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
UNITED FIRE&CASUALTY CO: UFCS	13.0000	06/29/07	02/18/09	$252.51	$471.89 t	($219.38)
Security Subtotal				**$1,048.90**	**$1,838.64**	**($789.74)**
UNITED STATES STEEL CORP: X	20.0000	10/13/05	02/18/09	$517.49	$694.80 t	($177.31)
UNITED STATES STEEL CORP: X	75.0000	11/07/05	02/18/09	$1,940.61	$2,854.50 t	($913.89)
Security Subtotal				**$2,458.10**	**$3,549.30**	**($1,091.20)**
UNITED TECHNOLOGIES CORP: UTX	130.0000	10/14/05	02/18/09	$5,884.17	$6,666.40 t	($782.23)
Security Subtotal				**$5,884.17**	**$6,666.40**	**($782.23)**
WADDELL & REED FINL CL A: WDR	46.0000	09/21/07	02/18/09	$678.64	$1,208.75 t	($530.11)
Security Subtotal				**$678.64**	**$1,208.75**	**($530.11)**
WASTE CONNECTIONS INC: WCN	44.0000	09/13/06	02/18/09	$1,141.22	$1,050.57 t	$90.65
WASTE CONNECTIONS INC: WCN	10.0000	01/23/08	02/18/09	$259.37	$283.22 t	($23.85)
Security Subtotal				**$1,400.59**	**$1,333.79**	**$66.80**
WATSON WYATT WORLDWIDE: WW	63.0000	01/25/08	02/18/09	$3,143.92	$2,951.07 t	$192.85
Security Subtotal				**$3,143.92**	**$2,951.07**	**$192.85**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WELLPOINT INC: WLP	200.0000	10/14/05	02/18/09	$8,181.60	$14,742.00 [1]	($6,560.40)
Security Subtotal				**$8,181.60**	**$14,742.00**	**($6,560.40)**
WENDYS ARBYS GROUP INC: WEN	68.0000	11/26/07	02/18/09	$346.13	$582.89 [1]	($236.76)
WENDYS ARBYS GROUP INC: WEN	66.0000	01/02/08	02/18/09	$335.95	$577.16 [1]	($241.21)
Security Subtotal				**$682.08**	**$1,160.05**	**($477.97)**
Total Long-Term				**$478,035.02**	**$694,680.17**	**($216,645.15)**
Total Realized Gain or (Loss)				**$1,123,940.11**	**$1,357,311.86**	**($233,371.75)**
					$1,356,691.37	***($232,751.26)*** [b]

Schwab has provided realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses for additional information on Options

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the extended due date for filing the return See instructions	Name of Exempt Organization MANDARIN ORIENTAL FOUNDATION C/O MANDARIN ORIENTAL MANAGEMENT (USA)	**Employer identification number** 99-6009108
	Number, street, and room or suite no. If a P.O. box, see instructions 345 CALIFORNIA STREET, NO. 1250	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions. SAN FRANCISCO, CA 94104	

Check type of return to be filed (File a separate application for each return)

[ ] Form 990	[ ] Form 990-EZ	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 1041-A	[ ] Form 5227	[ ] Form 8870
[ ] Form 990-BL	[X] Form 990-PF	[ ] Form 990-T (trust other than above)	[ ] Form 4720	[ ] Form 6069	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ MANDARIN ORIENTAL MGMT (USA) 345 CALIFORNIA ST., STE 1250 - SAN FRANCISCO, CA 94104

Telephone No ▶ 415-772-8805 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010

5 For calendar year 2009 , or other tax year beginning ______ , and ending ______

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
THE INFORMATION NECESSARY FOR THE ACCURATE COMPLETION OF THE RETURN HAS NOT YET BEEN RECEIVED.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 635.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 2,818.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Michelle K. McCann Title ▶ CPA/agent Date ▶ 8/10/10

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05-26-09

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BTO

8868 (April 2009)
Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Type or print	Name of Exempt Organization	Employer identification number
	MANDARIN ORIENTAL FOUNDATION C/O MANDARIN ORIENTAL MANAGEMENT (USA)	99-6009108
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O. box, see instructions 345 CALIFORNIA STREET, NO. 1250	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SAN FRANCISCO, CA 94104	

Check type of return to be filed (file a separate application for each return)·

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ MANDARIN ORIENTAL MGMT (USA) 345 CALIFORNIA ST., STE. 1250 - SAN FRANCISCO, CA 94104

Telephone No ▶ 415-772-8805 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until AUGUST 16, 2010 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 2009 or

▶ [ ] tax year beginning ______ , and ending ______ .

2 If this tax year is for less than 12 months, check reason· [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 0.
b	If this application is for Form 990 PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	$ 0.
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

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