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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

(Check all that apply) Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: **SAMUEL N. & MARY CASTLE FOUNDATION**
 C/O BANK OF HAWAII

Number and street (or P.O. box number if mail is not delivered to street address): **P.O. BOX 3170, DEPT. 715**

Room/suite: _____

City or town, state, and ZIP code: **HONOLULU, HI 96802**

A Employer identification number: **99-6003321**

B Telephone number: **808-694-4540**

C If exemption application is pending, check here

D 1. Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

H Check type of organization. Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16): **\$ 39,884,461.** (Part I, column (d) must be on cash basis.)

J Accounting method Cash Accrual
 Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	1,708.	1,708.		STATEMENT 1
4	Dividends and interest from securities	993,965.	993,965.		STATEMENT 2
5a	Gross rents	17,456.	17,456.		STATEMENT 3
b	Net rental income or (loss)	16,543.			STATEMENT 4
6a	Net gain or (loss) from sale of assets not on line 10	-3,566,071.			
b	Gross sales price for all assets on line 6a	38,010,370.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	-2,552,942.	1,013,129.		
13	Compensation of officers, directors, trustees, etc.	91,095.	0.		91,095.
14	Other employee salaries and wages				
15	Pension plans, employee benefits	30,147.	0.		30,147.
16a	Legal fees				
b	Accounting fees	12,282.	5,161.		7,121.
c	Other professional fees	169,554.	127,165.		42,389.
17	Interest				
18	Taxes	16,300.	800.		0.
19	Depreciation and depletion				
20	Occupancy	23,330.	0.		23,330.
21	Travel, conferences, and meetings	9,259.	0.		9,259.
22	Printing and publications	1,266.	0.		1,266.
23	Other expenses	51,894.	25,135.		26,759.
24	Total operating and administrative expenses. Add lines 13 through 23	405,127.	158,261.		231,366.
25	Contributions, gifts, grants paid	1,722,840.			1,722,840.
26	Total expenses and disbursements. Add lines 24 and 25	2,127,967.	158,261.		1,954,206.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-4,680,909.			
b	Net investment income (if negative, enter -0-)		854,868.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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 Operating and Administrative Expenses

8014

Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	884,604.	844,961.	844,961.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ 86,837.			
Less accumulated depreciation ▶	91,407.	86,837.	711,360.	
12 Investments - mortgage loans				
13 Investments - other STMT 10	38,761,014.	34,136,375.	38,328,140.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	39,737,025.	35,068,173.	39,884,461.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	39,737,025.	35,068,173.		
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	39,737,025.	35,068,173.		
31 Total liabilities and net assets/fund balances	39,737,025.	35,068,173.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	39,737,025.
2 Enter amount from Part I, line 27a	2	-4,680,909.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	12,057.
4 Add lines 1, 2, and 3	4	35,068,173.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	35,068,173.

SAMUEL N. & MARY CASTLE FOUNDATION
C/O BANK OF HAWAII

Form 990-PF (2009)

99-6003321 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a STCL - SEE ATTACHED GLR-1	P	VARIOUS	VARIOUS
b LTCL - SEE ATTACHED GLR-1	P	VARIOUS	VARIOUS
c SALE OF KINALAU PLACE #1206	P	VARIOUS	11/17/09
d LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 24,454,785.		25,199,093.	-744,308.
b 13,437,267.		16,370,028.	-2,932,761.
c 76,000.		7,070.	68,930.
d 42,318.		250.	42,068.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-744,308.
b			-2,932,761.
c			68,930.
d			42,068.
e			

2 Capital gain net income or (net capital loss)	2	-3,566,071.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2008	2,289,741.	43,965,891.	.052080
2007	2,411,597.	50,593,618.	.047666
2006	2,351,202.	48,095,307.	.048886
2005	2,227,125.	46,380,126.	.048019
2004	2,057,535.	44,859,070.	.045867

2 Total of line 1, column (d)	2	.242518
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048504
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	35,614,534.
5 Multiply line 4 by line 3	5	1,727,447.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,549.
7 Add lines 5 and 6	7	1,735,996.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,954,206.

SAMUEL N. & MARY CASTLE FOUNDATION

Form 990-PF (2009)

C/O BANK OF HAWAII

99-6003321

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	8,549.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	8,549.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	8,549.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	17,587.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	17,587.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,038.
11	Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> 9,038. Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		N/A
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ HTTP://FOUNDATIONCENTER.ORG/GRANTMAKER/CASTLE/	X		
14	The books are in care of ▶ BANK OF HAWAII Telephone no ▶ 808-694-4540 Located at ▶ 130 MERCHANT STREET, HONOLULU, HI ZIP+4 ▶ 96813			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. N/A

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
 b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		91,095.	30,147.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

SAMUEL N. & MARY CASTLE FOUNDATION
C/O BANK OF HAWAII

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	34,316,209.
b Average of monthly cash balances	1b	1,094,518.
c Fair market value of all other assets	1c	746,160.
d Total (add lines 1a, b, and c)	1d	36,156,887.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	36,156,887.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	542,353.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	35,614,534.
6 Minimum investment return. Enter 5% of line 5	6	1,780,727.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1 Minimum investment return from Part X, line 6		1	1,780,727.
2a Tax on investment income for 2009 from Part VI, line 5	2a	8,549.	
b Income tax for 2009 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	8,549.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	1,772,178.
4 Recoveries of amounts treated as qualifying distributions		4	12,000.
5 Add lines 3 and 4		5	1,784,178.
6 Deduction from distributable amount (see instructions)		6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	1,784,178.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,954,206.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,954,206.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	8,549.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,945,657.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

SAMUEL N. & MARY CASTLE FOUNDATION
C/O BANK OF HAWAII

Form 990-PF (2009)

99-6003321 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,784,178.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			1,574,398.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ <u>1,954,206.</u>				
a Applied to 2008, but not more than line 2a			1,574,398.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				379,808.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (c), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				1,404,370.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:
SEE GRANTS INFORMATION STATEMENT ATTACHED.

- b The form in which applications should be submitted and information and materials they should include:
SEE GRANTS INFORMATION STATEMENT ATTACHED.

- c Any submission deadlines:
SEE GRANTS INFORMATION STATEMENT ATTACHED.

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
SEE GRANTS INFORMATION STATEMENT ATTACHED.

SAMUEL N. & MARY CASTLE FOUNDATION

Form 990-PF (2009)

C/O BANK OF HAWAII

99-6003321 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE 2009 GRANTS LIST ATTACHED				1,722,840.
Total				▶ 3a 1,722,840.
b Approved for future payment				
NONE				
Total				▶ 3b 0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash, (2) Other assets, b Other transactions: (1) Sales of assets to a noncharitable exempt organization, (2) Purchases of assets from a noncharitable exempt organization, (3) Rental of facilities, equipment, or other assets, (4) Reimbursement arrangements, (5) Loans or loan guarantees, (6) Performance of services or membership or fundraising solicitations, c Sharing of facilities, equipment, mailing lists, other assets, or paid employees, d If the answer to any of the above is "Yes," complete the following schedule...

Table with 3 columns: Question, Yes, No. Rows 1a(1) through 1c.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A'.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No [X]

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Row 1 contains 'N/A'.

Signature and identification section including: Under penalties of perjury, I declare that I have examined this return... Signature of officer or trustee, Date, Title, Preparer's signature, Date, Check if self-employed, Preparer's identifying number, Firm's name, address, and ZIP code, EIN, Phone no.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
PACIFIC CAPITAL CASH ASSETS TRUST	1,708.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,708.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BANK OF HAWAII ACCT. M28563	993,965.	0.	993,965.
TOTAL TO FM 990-PF, PART I, LN 4	993,965.	0.	993,965.

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
775 KINALAU PLACE, HONOLULU, HI	1	17,456.
TOTAL TO FORM 990-PF, PART I, LINE 5A		17,456.

FORM 990-PF RENTAL EXPENSES STATEMENT 4

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
INSURANCE		113.	
GENERAL EXCISE TAX		800.	
- SUBTOTAL -	1		913.
TOTAL RENTAL EXPENSES			913.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			16,543.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT FEES	7,382.	3,691.		3,691.
TAX PREPARATION FEES	4,900.	1,470.		3,430.
TO FORM 990-PF, PG 1, LN 16B	12,282.	5,161.		7,121.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FUND MANAGEMENT	169,554.	127,165.		42,389.
TO FORM 990-PF, PG 1, LN 16C	169,554.	127,165.		42,389.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2009 2ND QUARTER ESTIMATED TAX	9,000.	0.		0.
2009 3RD QUARTER ESTIMATED TAX	4,500.	0.		0.
2008 EXTENSION PAYMENT	2,000.	0.		0.
GENERAL EXCISE TAX	800.	800.		0.
TO FORM 990-PF, PG 1, LN 18	16,300.	800.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEMBERSHIP DUES	500.	0.		500.
OPERATING EXPENSES	9,004.	0.		9,004.
ANNUAL REPORT	5.	0.		5.
ACADEMIA - OUTSIDE SERVICES	15,000.	0.		15,000.
INSURANCE - LIABILITY	2,250.	0.		2,250.
INVESTMENT ADVISORY FEES	25,022.	25,022.		0.
INSURANCE	113.	113.		0.
TOTAL TO FORM 990-PF, PG 1, LN 23	51,894.	25,135.		26,759.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 9
DESCRIPTION		AMOUNT
PRIOR YEAR GRANTS RETURNED		12,000.
PRIOR PERIOD ADJUSTMENT		57.
TOTAL TO FORM 990-PF, PART III, LINE 3		12,057.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE OTHER INVESTMENT DETAIL STATEMENT ATTACHED	COST	34,136,375.	38,328,140.
TOTAL TO FORM 990-PF, PART II, LINE 13		34,136,375.	38,328,140.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALFRED L. CASTLE C/O P.O. BOX 3170 HONOLULU, HI 96802	TREASURER/EXEC DIRECTOR 40.00	91,095.	30,147.	0.
RANDOLPH MOORE C/O P.O. BOX 3170 HONOLULU, HI 96802	PRESIDENT/TRUSTEE 0.00	0.	0.	0.
JAMES C. MCINTOSH C/O P.O. BOX 3170 HONOLULU, HI 96802	VICE PRES/TRUSTEE 0.00	0.	0.	0.
CYNTHIA QUISENBERRY C/O P.O. BOX 3170 HONOLULU, HI 96802	SECRETARY/TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		91,095.	30,147.	0.

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
** SHORT TERM CAPITAL GAIN (LOSS)					
23 SHARES OF EXTERRAN HOLDINGS INC	4/27/2009	10/15/2008	480.68	0.00	480.68
600 SHARES OF BOSTON PROPERTIES INC	1/5/2009	8/22/2008	32,396.33	59,641.98	(27,245.65)
600 SHARES OF EMERSON ELECTRIC CO	1/5/2009	1/30/2008	22,585.97	30,826.50	(8,240.53)
1000 SHARES OF JOHNSON & JOHNSON	1/23/2009	5/13/2008	55,693.48	66,812.20	(11,118.72)
5000 SHARES OF TYSON FOODS INC CL A	1/26/2009	4/14/2008	45,020.24	85,230.50	(40,210.26)
1600 SHARES OF TYSON FOODS INC CL A	1/26/2009	5/13/2008	14,406.48	28,687.36	(14,280.88)
1600 SHARES OF CHARLES SCHWAB CORP	1/30/2009	8/19/2008	21,840.51	36,017.76	(14,177.25)
800 SHARES OF AFLAC INC	2/17/2009	11/11/2008	13,921.36	35,514.96	(21,593.60)
300 SHARES OF AMGEN INC	2/17/2009	8/22/2008	17,010.26	19,173.93	(2,163.67)
1300 SHARES OF BANK OF AMERICA CORP	2/17/2009	8/12/2008	6,458.10	40,315.99	(33,857.89)
800 SHARES OF BOSTON PROPERTIES INC	2/17/2009	8/22/2008	29,472.31	79,522.64	(50,050.33)
3600 SHARES OF CAMERON INTERNATIONAL CORP	2/17/2009	9/12/2008	71,572.63	152,445.96	(80,873.33)
3600 SHARES OF DELL INC	2/17/2009	12/8/2008	31,999.50	43,698.96	(11,699.46)
1600 SHARES OF DISNEY WALT CO	2/17/2009	8/12/2008	28,513.11	51,612.80	(23,099.69)
500 SHARES OF GRAINGER W W INC	2/17/2009	8/19/2008	36,438.99	45,464.00	(9,025.01)
2300 SHARES OF HUDSON CITY BANCORP INC	2/17/2009	5/13/2008	25,870.94	43,069.11	(17,198.17)
1200 SHARES OF HUDSON CITY BANCORP INC	2/17/2009	11/11/2008	13,497.88	21,211.20	(7,713.32)
100 SHARES OF HEWLETT-PACKARD CO	2/17/2009	3/25/2008	3,456.42	4,830.79	(1,374.37)
200 SHARES OF JOHNSON & JOHNSON	2/17/2009	5/13/2008	11,232.27	13,362.44	(2,130.17)
2300 SHARES OF OWENS-ILLINOIS INC	2/17/2009	3/25/2008	36,526.55	133,328.01	(96,801.46)
1100 SHARES OF OWENS-ILLINOIS INC	2/17/2009	10/8/2008	17,469.22	25,775.86	(8,306.64)
1560 SHARES OF PFIZER INC	2/17/2009	2/20/2008	22,256.71	34,722.32	(12,465.61)
400 SHARES OF PHILIP MORRIS INTERNATIONAL	2/17/2009	9/12/2008	13,983.48	21,533.48	(7,550.00)
1500 SHARES OF SYSCO CORP	2/17/2009	11/11/2008	34,592.65	36,436.50	(1,843.85)
5300 SHARES OF ACTIVISION BLIZZARD INC	2/17/2009	8/7/2008	50,246.89	91,476.15	(41,229.26)
2000 SHARES OF CHARLES SCHWAB CORP	2/17/2009	8/19/2008	25,479.45	45,022.20	(19,542.75)
500 SHARES OF JOHNSON & JOHNSON	3/10/2009	5/13/2008	23,708.51	33,406.10	(9,697.59)
900 SHARES OF H & R BLOCK INC	3/19/2009	11/11/2008	17,546.21	16,334.37	1,211.84
2900 SHARES OF ACTIVISION BLIZZARD INC	3/19/2009	8/7/2008	28,367.93	50,052.98	(21,685.05)
3600 SHARES OF ACTIVISION BLIZZARD INC	3/19/2009	11/11/2008	35,215.36	44,570.88	(9,355.52)
1000 SHARES OF EXPRESS SCRIPTS	3/19/2009	5/13/2008	46,135.44	71,422.40	(25,286.96)
700 SHARES OF FLUOR CORP NEW	3/19/2009	3/25/2008	27,485.95	49,293.23	(21,827.28)
400 SHARES OF GRAINGER W W INC	3/19/2009	8/19/2008	26,906.56	36,371.20	(9,464.64)
2800 SHARES OF MASSEY ENERGY COMPANY	3/19/2009	11/11/2008	35,342.80	53,200.00	(17,857.20)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
300 SHARES OF PHILIP MORRIS INTERNATIONAL	3/19/2009	9/12/2008	11,202.90	16,150.11	(4,947.21)
700 SHARES OF SOUTHERN CO	3/19/2009	12/8/2008	21,055.53	25,949.70	(4,894.17)
500 SHARES OF WAL-MART STORES INC	3/19/2009	5/13/2008	24,839.86	28,584.30	(3,744.44)
300 SHARES OF WAL-MART STORES INC	3/19/2009	12/8/2008	14,903.91	17,226.96	(2,323.05)
700 SHARES OF EXPRESS SCRIPTS	4/8/2009	5/13/2008	34,105.20	49,995.68	(15,890.48)
800 SHARES OF FMC TECHNOLOGIES INC	4/8/2009	6/17/2008	26,653.05	58,940.78	(32,287.73)
1100 SHARES OF H & R BLOCK INC	4/8/2009	11/11/2008	17,879.07	19,964.23	(2,085.16)
600 SHARES OF NORTHERN TRUST CORP	4/8/2009	6/17/2008	34,260.28	42,981.42	(8,721.14)
1500 SHARES OF QUALCOMM INC	4/8/2009	8/22/2008	59,714.06	83,172.45	(23,458.39)
8200 SHARES OF WINDSTREAM CORP	4/8/2009	11/12/2008	66,870.62	70,845.54	(3,974.92)
1900 SHARES OF WINDSTREAM CORP	4/8/2009	11/21/2008	15,494.41	13,144.96	2,349.45
600 SHARES OF LORILLARD INC	5/8/2009	4/8/2009	39,307.56	38,110.74	1,196.82
2700 SHARES OF PEPSI BOTTLING GROUP INC	5/8/2009	11/12/2008	86,655.89	55,234.98	31,420.91
1300 SHARES OF PEPSI BOTTLING GROUP INC	5/8/2009	2/17/2009	41,723.20	27,819.09	13,904.11
3400 SHARES OF UNUM GROUP	5/8/2009	8/22/2008	63,161.19	83,653.94	(20,492.75)
7900 SHARES OF ALTERA CORPORATION	5/8/2009	11/11/2008	121,704.26	121,710.56	(6.30)
900 SHARES OF FAMILY DOLLAR STORES INC	5/8/2009	2/17/2009	27,177.50	24,066.72	3,110.78
1300 SHARES OF FMC TECHNOLOGIES INC	5/8/2009	5/13/2008	49,995.02	93,404.12	(43,409.10)
600 SHARES OF FMC TECHNOLOGIES INC	5/8/2009	6/17/2008	23,074.63	44,205.58	(21,130.95)
2500 SHARES OF AK STEEL HOLDING CORP	5/8/2009	5/13/2008	34,520.36	171,923.75	(137,403.39)
1000 SHARES OF AK STEEL HOLDING CORP	5/8/2009	6/17/2008	13,808.14	69,205.30	(55,397.16)
1700 SHARES OF AK STEEL HOLDING CORP	5/8/2009	9/18/2008	23,473.84	47,263.40	(23,789.56)
1900 SHARES OF AMGEN INC	5/8/2009	8/22/2008	89,258.94	121,434.89	(32,175.95)
1970 SHARES OF DOW CHEMICAL CO	6/15/2009	5/8/2009	32,756.90	34,521.49	(1,764.59)
2364.675676 SHARES OF GENERAL ELECTRIC CO	6/15/2009	12/8/2008	31,071.04	44,875.16	(13,804.12)
6080.594594 SHARES OF GENERAL ELECTRIC CO	6/15/2009	3/19/2009	79,896.95	64,879.34	15,017.61
4053.72973 SHARES OF GENERAL ELECTRIC CO	6/15/2009	5/8/2009	53,264.64	58,888.93	(5,624.29)
708 SHARES OF APOLLO GROUP INC CL A	6/15/2009	2/17/2009	44,719.25	57,608.89	(12,889.64)
7250 SHARES OF MACY'S INC	6/15/2009	4/8/2009	85,771.09	73,634.63	12,136.46
1533 SHARES OF SYSCO CORP	6/15/2009	11/11/2008	35,151.85	37,238.10	(2,086.25)
1532.932551 SHARES OF VALERO ENERGY CORP	6/15/2009	11/11/2008	26,462.95	29,236.86	(2,773.91)
766.466276 SHARES OF VALERO ENERGY CORP	6/15/2009	2/17/2009	13,231.47	17,042.84	(3,811.37)
1296.68 SHARES OF ALLSTATE CORP	6/15/2009	5/8/2009	31,633.12	33,681.26	(2,048.14)
3400 SHARES OF ANALOG DEVICES	6/15/2009	6/17/2008	84,656.80	115,737.02	(31,080.22)
766.409091 SHARES OF ARCHER-DANIELS MIDLAND CO	6/15/2009	12/8/2008	21,272.59	20,565.74	706.85

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
530 590909 SHARES OF ARCHER-DANIELS MIDLAND CO	6/15/2009	2/17/2009	14,727.18	14,463.70	263.48
1294 SHARES OF GILEAD SCIENCES INC	6/16/2009	2/17/2009	57,262.94	63,536.69	(6,273.75)
1095 967741 SHARES OF MORGAN STANLEY	6/16/2009	11/11/2008	30,916.45	14,980.56	15,935.89
219 193549 SHARES OF MORGAN STANLEY	6/16/2009	3/19/2009	6,183.29	4,846.07	1,337.22
1164 SHARES OF NOBLE CORP	6/16/2009	2/17/2009	40,267.77	28,319.19	11,948.58
1633.172414 SHARES OF WAL-MART STORES INC	6/16/2009	10/17/2008	78,839.37	88,092.99	(9,253.62)
11908 SHARES OF SPRINT NEXTEL CORP	6/16/2009	4/8/2009	64,643.29	50,235.09	14,408.20
562 894609 SHARES OF AT&T INC	6/16/2009	12/8/2008	13,628.06	17,061.78	(3,433.72)
739 263158 SHARES OF NOBLE CORP	6/24/2009	12/8/2008	22,693.91	17,365.81	5,328.10
437 736842 SHARES OF NOBLE CORP	6/24/2009	2/17/2009	13,437.65	10,649.79	2,787.86
1768 448276 SHARES OF SOUTHWEST AIRLINES	6/24/2009	8/13/2008	11,128.21	26,190.54	(15,062.33)
3153 SHARES OF DOMINION RESOURCES INC /VA	6/24/2009	9/3/2008	103,390.52	134,557.74	(31,167.22)
766 518519 SHARES OF FMC CORP COM NEW	6/24/2009	9/12/2008	35,065.40	52,361.57	(17,296.17)
190.481481 SHARES OF FMC CORP COM NEW	6/24/2009	9/18/2008	8,713.83	11,222.27	(2,508.44)
1500 SHARES OF PHILIP MORRIS INTERNATIONAL	6/24/2009	5/8/2009	62,725.84	61,561.65	1,164.19
530 55 SHARES OF BUNGE LIMITED	6/24/2009	10/17/2008	32,479.65	22,771.69	9,707.96
1540 SHARES OF DOVER CORP	6/24/2009	3/19/2009	49,531.74	40,701.12	8,830.62
1800 SHARES OF HCP INC	6/25/2009	12/8/2008	37,166.70	43,578.54	(6,411.84)
800 SHARES OF HCP INC	6/25/2009	2/17/2009	16,518.54	15,394.64	1,123.90
500 SHARES OF HCP INC	6/25/2009	5/8/2009	10,324.08	11,376.90	(1,052.82)
1179.354419 SHARES OF AMERISOURCEBERGEN CORP	6/25/2009	8/12/2008	21,665.12	25,310.08	(3,644.96)
1632.638298 SHARES OF LILLY ELI & CO	6/25/2009	12/8/2008	57,200.13	57,354.75	(154.62)
1013.361702 SHARES OF LILLY ELI & CO	6/25/2009	2/17/2009	35,503.53	35,349.90	153.63
2815.322581 SHARES OF SOUTHERN CO	6/25/2009	11/18/2008	89,302.27	99,304.59	(10,002.32)
225.225806 SHARES OF SOUTHERN CO	6/25/2009	12/8/2008	7,144.18	8,349.35	(1,205.17)
450.451613 SHARES OF SOUTHERN CO	6/25/2009	2/17/2009	14,288.38	13,814.63	473.73
7400 SHARES OF NEWELL RUBBERMAID INC	6/25/2009	5/8/2009	77,914.81	83,602.98	(5,688.17)
2772 SHARES OF COMMSCOPE INC	6/29/2009	4/8/2009	73,142.05	43,653.18	29,488.87
1532.539326 SHARES OF SYMANTEC CORP	6/29/2009	8/13/2008	24,138.71	33,854.71	(9,716.00)
1591.483146 SHARES OF SYMANTEC CORP	6/29/2009	9/12/2008	25,067.13	32,544.72	(7,477.59)
2121.977528 SHARES OF SYMANTEC CORP	6/29/2009	3/19/2009	33,422.83	29,788.53	3,634.30
700 SHARES OF MOSAIC CO/THE	6/29/2009	10/8/2008	32,420.36	24,461.36	7,959.00
1400 SHARES OF NEWMONT MINING CORP HLDG CO	6/29/2009	3/19/2009	59,410.83	60,038.86	(628.03)
900 SHARES OF NEWMONT MINING CORP HLDG CO	6/29/2009	5/8/2009	38,192.68	39,437.46	(1,244.78)
616 SHARES OF AMAZON.COM INC	6/29/2009	3/19/2009	51,329.47	43,147.29	8,182.18

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
4186 SHARES OF COCA COLA ENTERPRISES INC	6/29/2009	5/8/2009	70,239.27	73,276.35	(3,037.08)
1295 SHARES OF TIME WARNER INC	6/29/2009	5/8/2009	32,938.90	32,684.60	254.40
400 SHARES OF NORTHROP GRUMMAN CORP	6/29/2009	5/8/2009	18,650.44	20,206.68	(1,556.24)
369 592476 SHARES OF ENSCO INTERNATIONAL INC	6/29/2009	9/12/2008	13,088.38	22,621.21	(9,532.83)
677.586207 SHARES OF ENSCO INTERNATIONAL INC	6/29/2009	5/8/2009	23,995.35	23,283.69	711.66
649 SHARES OF AUTOMATIC DATA PROCESSING INC	6/29/2009	11/12/2008	22,863.68	22,358.44	505.24
354 SHARES OF AUTOMATIC DATA PROCESSING INC	6/29/2009	2/17/2009	12,471.10	12,985.85	(514.75)
1179 SHARES OF WYETH	6/29/2009	1/26/2009	53,371.95	51,267.87	2,104.08
531 SHARES OF AMGEN INC	7/2/2009	8/22/2008	27,432.29	33,937.86	(6,505.57)
472 SHARES OF AMGEN INC	7/2/2009	11/11/2008	24,384.26	27,639.94	(3,255.68)
2700 SHARES OF BMC SOFTWARE INC	7/2/2009	2/17/2009	90,501.67	79,904.34	10,597.33
1170 SHARES OF QUALCOMM INC	7/2/2009	8/22/2008	52,075.01	64,874.51	(12,799.50)
1170 227273 SHARES OF NORFOLK SOUTHERN CORP	7/2/2009	11/11/2008	43,143.18	64,870.26	(21,727.08)
184 772727 SHARES OF NORFOLK SOUTHERN CORP	7/2/2009	11/21/2008	6,812.08	8,045.56	(1,233.48)
530 64 SHARES OF AGCO CORP COM	7/2/2009	11/18/2008	15,070.85	14,192.97	877.88
3359 968085 SHARES OF COMCAST CORP CL A	7/2/2009	12/8/2008	46,836.75	55,828.56	(8,991.81)
2181 031915 SHARES OF COMCAST CORP CL A	7/2/2009	1/5/2009	30,402.80	38,276.45	(7,873.65)
458 111111 SHARES OF FMC CORP COM NEW	7/2/2009	9/18/2008	20,690.65	26,989.76	(6,299.11)
176.888889 SHARES OF FMC CORP COM NEW	7/2/2009	11/21/2008	7,989.21	5,490.36	2,498.85
2653 SHARES OF LEGGETT & PLATT INC	7/2/2009	8/19/2008	38,446.02	54,292.05	(15,846.03)
1970 SHARES OF JOHNSON CONTROLS	7/6/2009	4/8/2009	39,773.27	29,405.01	10,368.26
1858 SHARES OF CONAGRA FOODS INC	7/6/2009	1/26/2009	35,803.66	33,101.01	2,702.65
1182.402439 SHARES OF WELLS FARGO COMPANY	7/6/2009	9/22/2008	26,934.43	41,499.61	(14,565.18)
2308.5 SHARES OF WELLS FARGO COMPANY	7/6/2009	11/11/2008	52,586.28	66,874.70	(14,288.42)
1126.097581 SHARES OF WELLS FARGO COMPANY	7/6/2009	2/17/2009	25,651.84	16,148.24	9,503.60
1800 SHARES OF NICOR INC	7/6/2009	11/12/2008	61,318.84	70,476.48	(9,157.64)
800 SHARES OF NICOR INC	7/6/2009	1/30/2009	27,252.82	27,948.24	(695.42)
3018 SHARES OF INTEL CORP	7/6/2009	8/12/2008	49,675.00	73,759.92	(24,084.92)
369.6 SHARES OF BARD C R INC	7/6/2009	1/23/2009	27,607.70	31,619.10	(4,011.40)
246.4 SHARES OF BARD C R INC	7/6/2009	1/30/2009	18,405.14	21,250.02	(2,844.88)
7074 SHARES OF MARVELL TECHNOLOGY GROUP LTD	7/6/2009	5/8/2009	79,590.35	75,320.41	4,269.94
825 363636 SHARES OF INT'L BUSINESS MACHINES	7/13/2009	2/17/2009	83,542.48	75,394.57	8,147.91
471.636364 SHARES OF INT'L BUSINESS MACHINES	7/13/2009	3/19/2009	47,738.56	43,608.21	4,130.35
8700 SHARES OF TECO ENERGY INC	7/13/2009	5/8/2009	98,078.66	106,435.80	(8,357.14)
412.611111 SHARES OF FLUOR CORP NEW	7/13/2009	2/17/2009	19,382.11	16,202.91	3,179.20
562 857143 SHARES OF CENTURYTEL INC	7/13/2009	2/17/2009	16,386.71	14,282.95	2,103.76

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
957 SHARES OF J C PENNEY CO INC	7/13/2009	5/8/2009	25,291.14	29,330.23	(4,039.09)
450 4 SHARES OF LORILLARD INC	7/13/2009	11/11/2008	30,175.53	28,998.06	3,177.47
112 6 SHARES OF LORILLARD INC	7/13/2009	4/8/2009	7,543.88	7,152.11	391.77
337 819905 SHARES OF JP MORGAN CHASE & CO	7/13/2009	8/12/2008	11,161.72	12,809.97	(1,448.25)
4928 SHARES OF COVENTRY HEALTH CARE INC	7/13/2009	3/19/2009	88,008.85	58,474.66	29,534.19
2000 SHARES OF SCHERING-PLOUGH CORP	7/13/2009	3/10/2009	48,550.75	42,080.00	6,470.75
58 949079 SHARES OF EXXON MOBIL CORP	7/13/2009	11/21/2008	3,832.05	4,168.93	(336.88)
235.796316 SHARES OF EXXON MOBIL CORP	7/13/2009	2/17/2009	15,328.18	16,993.65	(1,665.47)
1238 SHARES OF FAMILY DOLLAR STORES INC	7/13/2009	2/17/2009	37,461.65	33,105.11	4,356.54
1239 SHARES OF MCKESSON CORP	7/13/2009	2/17/2009	53,603.96	55,449.96	(1,846.00)
1853 910891 SHARES OF BANK OF AMERICA CORP	7/17/2009	4/8/2009	23,980.83	12,965.70	11,015.13
2307 089109 SHARES OF BANK OF AMERICA CORP	7/17/2009	5/8/2009	29,842.81	32,853.41	(3,010.60)
103 345455 SHARES OF GOLDMAN SACHS GROUP INC	7/17/2009	4/8/2009	16,194.80	11,715.04	4,479.76
619.236209 SHARES OF CONOCOPHILLIPS	7/17/2009	3/19/2009	26,431.85	24,483.30	1,948.55
3209 SHARES OF VERIZON COMMUNICATIONS	7/21/2009	8/12/2008	96,986.64	111,439.58	(14,452.94)
125000 UNITS OF FEDERAL HOME LOAN BANK BONDS 3 25% 01/14/2009	1/14/2009	9/24/2008	125,000.00	125,000.00	0.00
85000 UNITS OF BEAR STEARNS COS INC SR NOTES 5.5% 08/15/2011	1/15/2009	4/29/2008	87,405.50	85,715.70	1,689.80
100000 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP NOTES 5 75% 01/23/2017-2009	1/23/2009	7/14/2008	100,000.00	99,875.00	125.00
25000 UNITS OF FEDERAL HOME LN BANK BONDS 4 875% 11/27/2013	1/23/2009	2/13/2008	27,160.25	26,459.50	700.75
100000 UNITS OF WHIRLPOOL CORP NOTES SER MTN 5 5% 03/01/2013	1/22/2009	2/27/2008	88,000.00	100,722.00	(12,722.00)
50000 UNITS OF FEDERAL NATIONAL MTGE ASSN NOTES 2% 01/09/2012	2/3/2009	1/27/2009	49,827.00	50,187.00	(360.00)
100000 UNITS OF FEDERAL NATIONAL MTGE ASSN NOTES 2% 01/09/2012	2/10/2009	1/15/2009	99,926.43	100,365.00	(438.57)
50000 UNITS OF FEDERAL NATIONAL MTGE ASSN NOTES 2% 01/09/2012	2/10/2009	1/27/2009	49,963.21	50,187.00	(223.79)
175000 UNITS OF MUNICIPAL ELEC AUTH GA CP 2.5% 04/06/2009	4/6/2009	2/3/2009	175,000.00	175,000.00	0.00
125000 UNITS OF FEDERAL NATIONAL MTGE ASSN NOTES 4.25% 04/24/2013-2009	4/24/2009	5/5/2008	125,000.00	125,000.00	0.00
150000 UNITS OF FEDERAL HOME LOAN MTGE CORP NOTES 5% 04/30/2015-2008	4/30/2009	5/8/2008	150,000.00	150,000.00	0.00
60000 UNITS OF AMERICAN EXPRESS CREDIT SR UNSECURED 5% 12/02/2010	4/29/2009	10/15/2008	59,670.00	55,200.00	4,470.00
75000 UNITS OF MERRILL LYNCH & CO SR UNSECURED 1 29125% 05/08/2009	5/8/2009	9/4/2008	75,000.00	73,180.95	1,819.05
175000 UNITS OF MUNICIPAL ELEC AUTH GA CP 2.5% 05/27/2009	5/27/2009	4/6/2009	175,000.00	175,000.00	0.00

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-8003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
150000 UNITS OF MORGAN STANLEY FDIC GUARANTEED 1 85% 06/20/2012	6/4/2009	1/14/2009	150,057 00	149,937 00	120 00
50000 UNITS OF ANADARKO PETROLEUM CORP SR UNSECURED 5 75% 06/15/2014	6/12/2009	6/9/2009	50,822.00	49,866 50	955 50
175000 UNITS OF METROPOLITAN WASHINGTON AIRPORTS CP 2% 07/07/2009	7/7/2009	5/27/2009	175,000 00	175,000 00	0 00
225000 UNITS OF FEDERAL HOME LOAN MTGE CORP 4 125% 07/14/2011- 2009	7/14/2009	7/30/2008	225,000 00	224,853.75	146.25
200000 UNITS OF YORK CNTY SC POLLUTN CTL REV SAVRS-B1-RMKT 2 25% 09/15/2024	9/1/2009	2/25/2009	200,000 00	200,000 00	0.00
1000 59 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 735676 5% 07/01/2035	9/25/2009	8/26/2009	1,000.59	1,026 86	(26 27)
2857 3 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 888209 5.5% 05/01/2036	9/25/2009	8/26/2009	2,857.30	2,976 06	(118 76)
50000 UNITS OF BEAR STEARNS COS INC SR NOTES 6 4% 10/02/2017	9/23/2009	6/11/2009	54,567 00	49,759 00	4,808 00
200000 UNITS OF FEDERAL HOME LOAN MTGE CORP NOTES 2 45% 02/17/2012-2010	9/25/2009	2/6/2009	201,500.00	199,600.00	1,900.00
150000 UNITS OF PRIVATE EXPORT FUNDING SECURED 4 375% 03/15/2019	9/30/2009	2/18/2009	153,375.00	149,212.50	4,162.50
157000 UNITS OF US TREASURY NOTES 1.5% 07/15/2012	10/5/2009	7/16/2009	158,171.37	156,791.49	1,379.88
75000 UNITS OF US TREASURY NOTES 1 5% 07/15/2012	10/5/2009	7/22/2009	75,559.57	75,029.30	530.27
3214 1 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # C76358 5% 02/01/2033	10/15/2009	9/4/2009	3,214.10	3,309.02	(94 92)
3303.97 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # A47971 5% 08/01/2035	10/15/2009	9/25/2009	3,303.97	3,423.74	(119.77)
2155.24 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # G01857 5% 10/01/2033	10/15/2009	9/3/2009	2,155.24	2,220.57	(65.33)
3063.9 UNITS OF FEDERAL HOME LOAN MTGE CORP POOL # G05204 6% 01/01/2036	10/15/2009	9/21/2009	3,063 90	3,241.99	(178.09)
110000 UNITS OF US TREASURY NOTES 1 5% 07/15/2012	10/16/2009	7/16/2009	110,528.51	109,853.91	674 60
200000 UNITS OF FEDERAL HOME LOAN MTGE CORP NOTES 2 45% 06/29/2012-2010	10/21/2009	6/12/2009	201,800.00	200,550 00	1,250.00
160000 UNITS OF GENERAL ELEC CAP CORP FDIC GUARANTEED 3% 12/09/2011	10/20/2009	12/12/2008	165,967.36	161,643.20	4,324.16
2757.35 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 256022 5.5% 12/01/2035	10/26/2009	9/23/2009	2,757.35	2,888.32	(130.97)
876.48 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 735676 5% 07/01/2035	10/26/2009	8/26/2009	876.48	899.49	(23.01)
175.15 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 796243 6% 11/01/2034	10/26/2009	8/31/2009	175.15	184.95	(9.80)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
2043 16 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 826966 5.5% 06/01/2035	10/26/2009	9/15/2009	2,043.16	2,136.38	(93.22)
2927 42 UNITS OF FEDERAL NATIONAL MTGE ASSOC POOL # 834381 6% 08/01/2035	10/26/2009	8/31/2009	2,927.42	3,091.17	(163.75)
10165.27 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 844392 6.5% 11/01/2035	10/26/2009	9/1/2009	10,165.27	10,914.96	(749.69)
2415 13 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 888209 5.5% 05/01/2036	10/26/2009	8/26/2009	2,415.13	2,515.51	(100.38)
14421 83 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # C76358 5% 02/01/2033	11/16/2009	9/4/2009	14,421.83	14,847.72	(425.89)
541 93 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # A47971 5% 08/01/2035	11/16/2009	9/25/2009	541.93	561.57	(19.64)
1918 1 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # G01857 5% 10/01/2033	11/16/2009	9/3/2009	1,918.10	1,976.24	(58.14)
4536 54 UNITS OF FEDERAL HOME LOAN MTGE CORP POOL # G05204 6% 01/01/2036	11/16/2009	9/21/2009	4,536.54	4,800.23	(263.69)
1008 52 UNITS OF FEDERAL HOME LOAN MTGE CORP POOL # J11065 4.5% 10/01/2024	11/16/2009	10/16/2009	1,008.52	1,047.28	(38.76)
2535.43 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 256022 5.5% 12/01/2035	11/25/2009	9/23/2009	2,535.43	2,655.86	(120.43)
3871.28 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 735228 5.5% 02/01/2035	11/25/2009	10/5/2009	3,871.28	4,076.19	(204.91)
1015.18 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 735676 5% 07/01/2035	11/25/2009	8/26/2009	1,015.18	1,041.83	(26.65)
2319.43 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 796243 6% 11/01/2034	11/25/2009	8/31/2009	2,319.43	2,449.17	(129.74)
1517.54 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 826966 5.5% 06/01/2035	11/25/2009	9/15/2009	1,517.54	1,586.78	(69.24)
387.63 UNITS OF FEDERAL NATIONAL MTGE ASSOC POOL # 834381 6% 08/01/2035	11/25/2009	8/31/2009	387.63	409.31	(21.68)
201.64 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 844392 6.5% 11/01/2035	11/25/2009	9/1/2009	201.64	216.51	(14.87)
2937 96 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 888209 5.5% 05/01/2036	11/25/2009	8/26/2009	2,937.96	3,060.07	(122.11)
4571.74 UNITS OF FEDERAL NATIONAL MTGE ASSN POOL # 929225 5.5% 03/01/2023	11/25/2009	10/20/2009	4,571.74	4,866.05	(294.31)
2776.06 UNITS OF FEDERAL NATIONAL MTGE ASSOC POOL # 995112 5.5% 07/01/2036	11/25/2009	10/22/2009	2,776.06	2,921.37	(145.31)
100000 UNITS OF FEDERAL NATIONAL MTGE ASSOC NOTES 1.375% 10/07/2011-2010	12/7/2009	3/31/2009	100,100.00	100,000.00	100.00

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31,2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
100000 UNITS OF EUROPEAN INVT BK SR UNSUB 2 375% 03/14/2014	12/7/2009	1/21/2009	99,938.00	99,570.00	368.00
100000 UNITS OF HEWLETT-PACKARD CO NOTES 4 25% 02/24/2012	12/7/2009	2/23/2009	106,070.00	99,958.00	6,114.00
4740 37 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # C76358 5% 02/01/2033	12/15/2009	9/4/2009	4,740.37	4,880.36	(139.99)
526 25 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # A47971 5% 08/01/2035	12/15/2009	9/25/2009	526.25	545.33	(19.08)
3461 48 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL # G01857 5% 10/01/2033	12/15/2009	9/3/2009	3,461.48	3,566.41	(104.93)
6210 72 UNITS OF FEDERAL HOME LOAN MTGE CORP POOL # G05204 6% 01/01/2036	12/15/2009	9/21/2009	6,210.72	6,571.72	(361.00)
1390 67 UNITS OF FEDERAL HOME LOAN MTGE CORP POOL # J11065 4.5% 10/01/2024	12/15/2009	10/16/2009	1,390.67	1,444.12	(53.45)
1726 74 UNITS OF FEDERAL HOME LOAN MTGE CORP POOL # A37876 5% 09/01/2035	12/15/2009	11/19/2009	1,726.74	1,803.63	(76.89)
2578 35 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 256022 5.5% 12/01/2035	12/28/2009	9/23/2009	2,578.35	2,700.82	(122.47)
3698 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #735228 5.5% 02/01/2035	12/28/2009	10/5/2009	3,698.00	3,893.73	(195.73)
1094 45 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 735676 5% 07/01/2035	12/28/2009	8/26/2009	1,094.45	1,123.18	(28.73)
2784 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 796243 6% 11/01/2034	12/28/2009	8/31/2009	2,784.00	2,939.73	(155.73)
396.17 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 826966 5.5% 06/01/2035	12/28/2009	9/15/2009	396.17	414.25	(18.08)
5911 92 UNITS OF FEDERAL NATIONAL MTGE ASSOC POOL # 834381 6% 08/01/2035	12/28/2009	8/31/2009	5,911.92	6,242.62	(330.70)
11710 23 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 844392 6.5% 11/01/2035	12/28/2009	9/1/2009	11,710.23	12,573.86	(863.63)
2287 3 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL # 888209 5.5% 05/01/2036	12/28/2009	8/26/2009	2,287.30	2,382.37	(95.07)
1476.37 UNITS OF FEDERAL NATIONAL MTGE ASSN POOL # 929225 5 5% 03/01/2023	12/28/2009	10/20/2009	1,476.37	1,571.41	(95.04)
2860.59 UNITS OF FEDERAL NATIONAL MTGE ASSOC POOL # 995112 5.5% 07/01/2036	12/28/2009	10/22/2009	2,860.59	3,010.32	(149.73)
125000 UNITS OF US TREASURY BONDS 4 5% 02/15/2036	12/29/2009	1/5/2009	123,134.76	157,324.22	(34,189.46)
192.541 SHARES OF DODGE & COX INTERNATIONAL STOCK FUND	1/6/2009	12/23/2008	4,389.93	4,035.65	354.28
12 29 SHARES OF DODGE & COX INTERNATIONAL STOCK FUND	1/6/2009	12/23/2008	280.22	257.59	22.63
311.752 SHARES OF DODGE & COX INTERNATIONAL STOCK FUND	1/6/2009	12/23/2008	7,107.94	6,534.32	573.62

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
5000 SHARES OF ISHARES MSCI EAFE INDEX FUND	3/2/2009	11/4/2008	184,129.08	237,548.00	(73,418.92)
27491.409 SHARES OF SA INTERNATIONAL VALUE FUND	7/17/2009	10/30/2008	238,900.34	243,024.06	(4,123.72)
89585.666 SHARES OF SA INTERNATIONAL VALUE FUND	7/17/2009	11/4/2008	778,499.44	851,959.68	(73,460.24)
5754.277 SHARES OF SA INTERNATIONAL VALUE FUND	7/17/2009	12/19/2008	50,004.67	43,617.42	6,387.25
13488.268 SHARES OF SA INTERNATIONAL VALUE FUND	7/17/2009	12/19/2008	117,213.05	102,241.07	14,971.98
2500 SHARES OF ISHARES FTSE XINHUA CHINA 25 INDEX FUND	9/1/2009	2/9/2009	96,339.52	70,600.00	25,739.52
300 SHARES OF ISHARES MSCI BRAZIL INDEX FUND	10/30/2009	3/10/2009	20,686.42	10,570.58	10,115.88
1000 SHARES OF ISHARES MSCI BRAZIL INDEX FUND	10/30/2009	3/11/2009	68,954.72	35,987.50	32,967.22
1000 SHARES OF ISHARES MSCI BRAZIL INDEX FUND	10/30/2009	3/16/2009	68,954.73	37,742.00	31,212.73
50.585 SHARES OF PACIFIC CAPITAL SMALL CAP FUND	2/9/2009	12/15/2008	442.11	438.07	4.04
4451.369 SHARES OF COLUMBIA SMALL CAP VALUE I-Z	7/15/2009	9/12/2008	141,019.37	200,044.52	(59,025.15)
4250.797 SHARES OF COLUMBIA SMALL CAP VALUE I-Z	7/15/2009	9/22/2008	134,665.25	191,583.42	(56,918.17)
572.329 SHARES OF COLUMBIA SMALL CAP VALUE I-Z	7/15/2009	12/9/2008	18,131.38	16,826.51	1,304.87
1922.461 SHARES OF GOLDMAN SACHS SMALL CAP VALUE FD-I	9/25/2009	7/15/2009	59,711.64	51,925.67	7,785.97
2823.529 SHARES OF NATIXIS VAUGHN NELSON SMALL CAP VAL-Y	9/25/2009	7/15/2009	59,548.23	51,896.46	7,651.77
19070.322 SHARES OF OLD MUTUAL COPPER ROCK EMERGING GROWTH FD-I	10/8/2009	7/15/2009	161,525.63	140,929.68	20,595.95
5000 SHARES OF NATIXIS VAUGHN NELSON SMALL CAP VAL-Y	10/8/2009	7/15/2009	108,200.00	91,900.00	16,300.00
3425.096 SHARES OF GOLDMAN SACHS SMALL CAP VALUE FD-I	10/8/2009	7/15/2009	108,061.78	92,511.84	15,549.94
3500 SHARES OF ISHARES RUSSELL 3000 INDEX	2/2/2009	9/22/2008	167,010.31	252,094.50	(85,084.19)
3000 SHARES OF ISHARES RUSSELL 3000 INDEX	2/2/2009	9/25/2008	143,151.70	212,492.10	(69,340.40)
400 SHARES OF ISHARES RUSSELL 3000 INDEX	2/2/2009	10/17/2008	19,086.89	22,624.80	(3,537.91)
1300 SHARES OF ISHARES RUSSELL 3000 INDEX	2/17/2009	10/17/2008	59,914.32	73,530.60	(13,616.28)
3700 SHARES OF ISHARES RUSSELL 3000 INDEX	2/17/2009	12/22/2008	170,525.38	186,638.36	(16,112.98)
4000 SHARES OF ISHARES RUSSELL 3000 INDEX	2/23/2009	12/22/2008	173,650.22	201,771.20	(28,120.98)
2000 SHARES OF ISHARES RUSSELL 3000 INDEX	3/2/2009	12/22/2008	81,686.54	100,885.60	(19,199.06)
3000 SHARES OF SPDR S&P 500 ETF TRUST	1/6/2009	10/29/2008	279,928.43	289,400.10	(9,471.67)
1000 SHARES OF SPDR S&P 500 ETF TRUST	2/2/2009	10/24/2008	81,798.34	84,864.60	(3,066.26)
2500 SHARES OF SPDR S&P 500 ETF TRUST	2/2/2009	10/29/2008	204,495.85	241,166.75	(36,670.90)
4000 SHARES OF SPDR S&P 500 ETF TRUST	2/6/2009	10/24/2008	345,398.06	339,458.40	5,939.66
2000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	7/2/2009	2/6/2009	93,832.98	90,419.80	3,413.18

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
4000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	7/22/2009	2/6/2009	199,759.26	180,839.60	18,919.66
4000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	7/31/2009	2/6/2009	205,147.52	180,839.60	24,307.92
4000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	8/25/2009	2/6/2009	210,079.80	180,839.60	29,240.20
2000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	9/1/2009	2/6/2009	102,495.36	90,419.80	12,075.56
3000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	9/2/2009	2/6/2009	153,668.95	135,629.70	18,039.25
10000 SHARES OF FINANCIAL SELECT SECTOR SPDR	9/16/2009	8/7/2009	152,096.09	145,653.00	6,443.09
4000 SHARES OF POWERSHARES QQQ TRUST SER 1	9/16/2009	8/7/2009	169,653.63	160,148.00	9,505.63
1000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	9/16/2009	2/6/2009	54,587.60	45,209.90	9,377.70
2000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	9/16/2009	3/11/2009	109,175.19	77,700.00	31,475.19
5726.898 SHARES OF JANUS FORTY FUND-I	9/23/2009	7/22/2009	177,476.56	159,665.91	17,810.65
6828.269 SHARES OF JANUS FORTY FUND-I	9/23/2009	7/31/2009	211,608.06	200,341.41	11,266.65
3460.208 SHARES OF JANUS FORTY FUND-I	9/23/2009	9/2/2009	107,231.85	100,207.62	7,024.23
16167.444 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	9/23/2009	7/22/2009	167,494.72	148,902.16	18,592.56
21030.494 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	9/23/2009	7/31/2009	217,875.92	201,051.52	16,824.40
10330.579 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	9/23/2009	9/2/2009	107,024.80	99,793.39	7,231.41
2511.511 SHARES OF JANUS FORTY FUND-I	9/25/2009	7/17/2009	75,822.52	68,614.48	7,208.04
5490.483 SHARES OF JANUS FORTY FUND-I	9/25/2009	7/20/2009	165,757.68	152,251.09	13,506.59
5052.836 SHARES OF JANUS FORTY FUND-I	9/25/2009	7/22/2009	152,545.12	140,873.07	11,672.05
6192.129 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	9/25/2009	7/17/2009	62,912.03	56,162.61	6,749.42
16538.038 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	9/25/2009	7/20/2009	168,026.47	151,819.19	16,207.28
16370.517 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	9/25/2009	7/22/2009	166,324.45	150,772.46	15,551.99
4651.016 SHARES OF JANUS FORTY FUND-I	10/7/2009	6/29/2009	142,321.09	124,833.27	17,487.82
8489.589 SHARES OF JANUS FORTY FUND-I	10/7/2009	7/17/2009	259,781.42	231,935.57	27,845.85
11987.433 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	10/7/2009	6/29/2009	124,069.93	108,006.77	16,063.16
26847.519 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	10/7/2009	7/17/2009	277,871.82	243,506.99	34,364.83
28328.612 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	10/19/2009	6/29/2009	302,832.86	255,240.80	47,592.06
2930.448 SHARES OF JANUS FORTY FUND-I	10/19/2009	6/25/2009	93,305.46	77,862.00	15,443.46
6593.362 SHARES OF JANUS FORTY FUND-I	10/19/2009	6/29/2009	209,932.65	176,965.84	32,966.81
4901.961 SHARES OF JANUS FORTY FUND-I	10/30/2009	6/25/2009	146,078.44	130,245.11	15,833.33
1702.297 SHARES OF JANUS FORTY FUND-I	12/7/2009	6/25/2009	53,384.03	45,230.03	8,154.00

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
1477 353 SHARES OF JANUS FORTY FUND-I	12/7/2009	7/2/2009	46,329.79	38,736.20	7,593.59
9416 196 SHARES OF GOLDMAN SACHS LARGE CAP VALUE FD - INST	12/7/2009	6/29/2009	100,188.33	84,839.93	15,348.40
350 SHARES OF NORTHERN TRUST CORP	6/16/2009	6/17/2008	18,614.94	25,072.49	(6,457.55)
655 603448 SHARES OF SOUTHWEST AIRLINES	6/16/2009	8/13/2008	4,231.74	9,709.42	(5,477.68)
1990 322581 SHARES OF MORGAN STANLEY	6/16/2009	11/11/2008	56,539.83	27,205.33	29,334.50
508 064516 SHARES OF MORGAN STANLEY	6/16/2009	3/19/2009	14,432.78	11,232.59	3,200.19
1775 SHARES OF MACY'S INC	6/16/2009	4/8/2009	20,059.29	18,027.79	2,031.50
725 SHARES OF MCKESSON CORP	6/16/2009	2/17/2009	30,267.83	32,446.50	(2,178.67)
1875 SHARES OF MARVELL TECHNOLOGY GROUP LTD	6/16/2009	5/8/2009	22,552.30	19,964.07	2,588.23
275 090909 SHARES OF INT'L BUSINESS MACHINES	6/16/2009	2/17/2009	29,717.14	25,128.76	4,588.38
174 909091 SHARES OF INT'L BUSINESS MACHINES	6/16/2009	3/19/2009	18,894.84	16,172.35	2,722.49
1200 SHARES OF JOHNSON CONTROLS	6/16/2009	4/8/2009	25,253.71	17,911.68	7,342.03
675 SHARES OF BANK OF AMERICA CORP	6/16/2009	5/8/2009	8,666.77	9,612.13	(945.36)
550 SHARES OF CONAGRA FOODS INC	6/16/2009	1/26/2009	10,235.23	9,798.47	436.76
125 SHARES OF COCA COLA ENTERPRISES INC	6/16/2009	5/8/2009	2,178.19	2,188.14	(9.95)
480 763791 SHARES OF CONOCOPHILLIPS	6/16/2009	3/19/2009	20,858.60	19,008.39	1,850.21
238.142857 SHARES OF CENTURYTEL INC	6/16/2009	2/17/2009	7,519.14	6,043.07	1,476.07
2100 SHARES OF DOMINION RESOURCES INC IVA	6/16/2009	9/3/2008	88,367.31	89,619.81	(21,252.50)
1325 SHARES OF DOW CHEMICAL CO	6/16/2009	5/8/2009	21,370.91	23,218.77	(1,847.86)
150 SHARES OF FAMILY DOLLAR STORES INC	6/16/2009	2/17/2009	4,270.65	4,011.12	259.53
284 074074 SHARES OF FMC CORP COM NEW	6/16/2009	9/12/2008	14,225.35	19,405.36	(5,180.01)
15.925926 SHARES OF FMC CORP COM NEW	6/16/2009	9/18/2008	797.51	938.28	(140.77)
1835 324324 SHARES OF GENERAL ELECTRIC CO	6/16/2009	12/8/2008	23,583.13	34,829.50	(11,246.37)
2789.675676 SHARES OF GENERAL ELECTRIC CO	6/16/2009	5/8/2009	35,846.13	40,525.90	(4,679.77)
575 SHARES OF COMCAST CORP CL A	6/16/2009	1/5/2009	7,792.19	10,091.08	(2,298.89)
150 SHARES OF APOLLO GROUP INC CL A	6/16/2009	2/17/2009	9,552.76	12,205.28	(2,652.52)
1267.361702 SHARES OF LILLY ELI & CO	6/16/2009	12/8/2008	41,877.37	44,522.54	(2,645.17)
557 638298 SHARES OF LILLY ELI & CO	6/16/2009	2/17/2009	18,426.02	19,452.55	(1,026.53)
237.6 SHARES OF LORILLARD INC	6/16/2009	11/11/2008	15,756.44	14,242.31	1,514.13
87.4 SHARES OF LORILLARD INC	6/16/2009	4/8/2009	5,795.83	5,551.47	244.46
475 SHARES OF J C PENNEY CO INC	6/16/2009	5/8/2009	12,596.39	14,557.85	(1,961.46)
400 SHARES OF LEGGETT & PLATT INC	6/16/2009	8/19/2008	5,915.56	8,185.76	(2,270.20)
94.058824 SHARES OF AUTOMATIC DATA PROCESSING INC	6/16/2009	11/12/2008	3,420.95	3,240.38	180.57

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31,2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
130 941176 SHARES OF AUTOMATIC DATA PROCESSING INC	6/16/2009	2/17/2009	4,762.37	4,803.34	(40.97)
262 92 SHARES OF ALLSTATE CORP	6/16/2009	5/8/2009	6,270.85	6,829.35	(558.50)
838 793103 SHARES OF WAL-MART STORES INC	6/16/2009	10/17/2008	40,510.82	45,244.33	(4,733.51)
675 SHARES OF WELLS FARGO COMPANY	6/16/2009	9/22/2008	16,597.82	23,690.94	(7,093.12)
1175 SHARES OF VERIZON COMMUNICATIONS	6/16/2009	8/12/2008	34,790.03	40,804.46	(6,014.43)
325 SHARES OF TIME WARNER INC	6/16/2009	5/8/2009	8,122.61	8,202.68	(80.07)
700 SHARES OF SPRINT NEXTEL CORP	6/16/2009	4/8/2009	3,804.47	2,953.02	851.45
1950.225806 SHARES OF SOUTHERN CO	6/16/2009	11/18/2008	58,832.71	68,790.12	(9,957.41)
174 774194 SHARES OF SOUTHERN CO	6/16/2009	12/8/2008	5,272.43	6,479.05	(1,206.62)
568 202247 SHARES OF SYMANTEC CORP	6/16/2009	8/13/2008	8,992.25	12,551.93	(3,559.68)
590 05618 SHARES OF SYMANTEC CORP	6/16/2009	9/12/2008	9,338.11	12,066.23	(2,728.12)
241.741573 SHARES OF SYMANTEC CORP	6/16/2009	3/19/2009	3,825.75	3,393.59	432.16
196 56 SHARES OF AGCO CORP COM	6/30/2009	11/18/2008	5,716.09	5,257.37	458.72
196 411765 SHARES OF AMGEN INC	6/30/2009	8/22/2008	10,391.88	12,553.28	(2,161.40)
174 588235 SHARES OF AMGEN INC	6/30/2009	11/11/2008	9,237.22	10,223.75	(986.53)
229 SHARES OF AMGEN INC	6/30/2009	6/16/2009	12,116.08	11,751.46	364.62
112 SHARES OF APOLLO GROUP INC CL A	6/30/2009	2/17/2009	8,031.31	9,113.27	(1,081.96)
1300 SHARES OF ATMEL CORP	6/30/2009	6/16/2009	4,832.88	5,052.32	(219.44)
225 SHARES OF BJ'S WHOLESALE CLUB INC	6/30/2009	6/16/2009	7,281.80	7,129.26	152.54
225 SHARES OF CAREER EDUCATION CORP	6/30/2009	6/16/2009	5,588.85	4,642.94	945.91
650 SHARES OF CENTRAL EURO DISTRIBUTION CORP	6/30/2009	6/16/2009	17,318.54	18,280.73	(962.19)
150 SHARES OF CULLEN FROST BANKERS INC	6/30/2009	6/16/2009	6,923.82	7,007.18	(83.36)
300 SHARES OF MACK-CALI REALTY CORP	6/30/2009	6/16/2009	6,836.82	6,699.27	137.55
100 SHARES OF CENTURYTEL INC	6/30/2009	2/17/2009	3,069.92	2,537.58	532.34
600 SHARES OF FORD MOTOR COMPANY	6/30/2009	6/16/2009	3,635.90	3,437.46	198.44
575 SHARES OF FOOT LOCKER INC	6/30/2009	6/16/2009	6,048.84	5,929.63	119.21
350 SHARES OF HERBALIFE LTD	6/30/2009	6/16/2009	11,097.72	10,048.50	1,049.22
125 SHARES OF THE HERSHEY COMPANY	6/30/2009	6/16/2009	4,498.63	4,241.73	256.90
175 SHARES OF ARCHER-DANIELS MIDLAND CO	6/30/2009	2/17/2009	4,691.62	4,770.42	(78.80)
150 SHARES OF AFLAC INC	6/30/2009	6/16/2009	4,690.37	4,731.08	(40.71)
150 SHARES OF ALEXANDER & BALDWIN INC	6/30/2009	6/16/2009	3,523.54	3,523.91	(0.37)
225 SHARES OF AUTONATION INC	6/30/2009	6/16/2009	3,920.29	3,678.75	241.54
75 SHARES OF SOUTHWESTERN ENERGY CO	6/30/2009	6/16/2009	2,915.17	3,214.01	(298.84)
125 SHARES OF WAL-MART STORES INC	6/30/2009	10/17/2008	6,056.34	6,742.48	(686.14)
200 SHARES OF MASSEY ENERGY COMPANY	6/30/2009	6/16/2009	3,915.89	4,273.20	(357.31)
400 SHARES OF MORGAN STANLEY	6/30/2009	11/11/2008	11,452.70	5,467.52	5,985.18

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
500 SHARES OF PROCTER & GAMBLE CO	6/30/2009	6/16/2009	25,526.34	25,089.35	436.99
150 SHARES OF RENAISSANCE HOLDINGS LTD	6/30/2009	6/16/2009	6,995.82	6,933.68	62.14
200 SHARES OF SCOTTS MIRACLE GRO CO CL A	6/30/2009	6/16/2009	7,007.81	7,004.00	3.81
275 SHARES OF TEMPLE INLAND INC	6/30/2009	6/16/2009	3,613.40	3,342.52	270.88
242 597561 SHARES OF WELLS FARGO COMPANY	6/30/2009	9/22/2008	5,945.91	8,514.62	(2,568.71)
32 402439 SHARES OF WELLS FARGO COMPANY	6/30/2009	11/11/2008	794.16	938.66	(144.50)
425 SHARES OF WYNDHAM WORLDWIDE CORP	6/30/2009	6/16/2009	5,148.35	4,896.21	252.14
150 SHARES OF FAMILY DOLLAR STORES INC	6/30/2009	2/17/2009	4,267.89	4,011.12	256.77
500 SHARES OF LINCOLN NATL CORP	8/10/2009	6/16/2009	11,339.00	7,592.05	3,746.95
112 SHARES OF LORILLARD INC	8/10/2009	11/11/2008	8,221.70	6,713.55	1,508.15
275 SHARES OF LEXMARK INTERNATIONAL INC	8/10/2009	6/16/2009	4,720.52	4,254.39	466.13
25 SHARES OF MASTERCARD INC CLASS A	8/10/2009	6/16/2009	5,045.37	4,114.00	931.37
225 SHARES OF MCGRAW HILL	8/10/2009	6/16/2009	6,715.51	6,684.01	31.50
150 SHARES OF MORGAN STANLEY	8/10/2009	11/11/2008	4,596.44	2,050.32	2,546.12
175 SHARES OF ABBOTT LABORATORIES	8/10/2009	6/16/2009	7,776.80	7,861.39	(84.59)
450 SHARES OF AK STEEL HOLDING CORP	8/10/2009	6/30/2009	9,134.76	8,658.00	476.76
218 SHARES OF ALLSTATE CORP	8/10/2009	5/8/2009	5,880.98	5,662.55	218.43
150 SHARES OF ASHLAND INC	8/10/2009	6/16/2009	5,238.87	3,874.18	1,364.69
125 SHARES OF AVON PRODUCTS INC	8/10/2009	6/16/2009	4,011.14	3,188.54	822.60
275 SHARES OF BJ'S WHOLESALE CLUB INC	8/10/2009	6/16/2009	8,679.32	8,713.54	(34.22)
575 SHARES OF PROTECTIVE LIFE CORP	8/10/2009	6/16/2009	10,202.93	6,612.50	3,590.43
234.451613 SHARES OF SOUTHERN CO	8/10/2009	11/18/2008	7,300.83	8,269.79	(969.16)
349.548387 SHARES OF SOUTHERN CO	8/10/2009	2/17/2009	10,884.86	10,720.09	164.57
545 SHARES OF SYMANTEC CORP	8/10/2009	3/19/2009	8,343.73	7,650.77	692.96
675 SHARES OF TAUBMAN CENTERS INC	8/10/2009	6/30/2009	20,110.09	18,260.24	1,849.85
400 SHARES OF TESORO PETE CORP COM	8/10/2009	6/16/2009	5,111.86	5,684.84	(572.98)
353.035191 SHARES OF VALERO ENERGY CORP	8/10/2009	11/11/2008	6,501.55	6,733.26	(231.71)
284.017595 SHARES OF VALERO ENERGY CORP	8/10/2009	2/17/2009	5,230.50	6,315.30	(1,084.80)
400 SHARES OF VERIZON COMMUNICATIONS	8/10/2009	8/12/2008	12,321.56	13,890.88	(1,569.32)
150 SHARES OF WAL-MART STORES INC	8/10/2009	10/17/2008	7,396.47	8,090.97	(694.50)
475 SHARES OF WYNDHAM WORLDWIDE CORP	8/10/2009	6/16/2009	7,113.13	5,472.24	1,640.89
175 SHARES OF CROWN HOLDINGS INC	8/10/2009	6/16/2009	4,246.06	3,925.65	320.41
175 SHARES OF CRANE CO	8/10/2009	6/16/2009	3,859.19	4,026.89	(167.70)
825 SHARES OF FIFTH THIRD BANCORP	8/10/2009	6/30/2009	7,969.29	5,799.67	2,169.62

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
50 SHARES OF FLOWSERVE CORPORATION	8/10/2009	6/16/2009	4,368.88	3,956.00	412.88
224 444445 SHARES OF FMC CORP COM NEW	8/10/2009	9/18/2008	11,083.16	13,223.21	(2,140.05)
65 555555 SHARES OF FMC CORP COM NEW	8/10/2009	11/21/2008	3,237.16	2,034.75	1,202.41
175 SHARES OF FOREST LABS INC	8/10/2009	6/16/2009	4,580.30	4,056.96	523.34
150 SHARES OF FORTUNE BRANDS INC	8/10/2009	6/16/2009	5,939.30	5,262.54	676.76
125 SHARES OF GENUINE PARTS CO	8/10/2009	6/16/2009	4,508.36	4,141.80	366.56
175 SHARES OF HASBRO INC	8/10/2009	6/30/2009	4,641.55	4,236.35	405.20
350 SHARES OF HEWLETT-PACKARD CO	8/10/2009	6/30/2009	15,228.10	13,531.00	1,697.10
175 SHARES OF INGRAM MICRO INC CL A	8/10/2009	6/16/2009	2,922.96	2,986.81	(63.85)
2575 SHARES OF INTERPUBLIC GROUP COMPANIES	8/10/2009	6/16/2009	14,955.98	14,143.45	812.53
9 SHARES OF ALPHA NATURAL RESOURCES INC	8/26/2009	6/16/2009	29.74	23.20	6.54
125 SHARES OF NOBLE CORP	8/10/2009	6/30/2009	4,252.39	3,806.98	445.41
200 SHARES OF AK STEEL HOLDING CORP	9/14/2009	6/16/2009	4,299.88	3,694.00	605.88
146 SHARES OF AUTOMATIC DATA PROCESSING INC	9/14/2009	11/12/2008	5,590.19	5,029.79	560.40
425 SHARES OF BROCADE COMMUNICATIONS SYS	9/14/2009	6/30/2009	3,372.37	3,331.92	40.45
75 SHARES OF CAPITOL FEDERAL FINANCIAL	9/14/2009	6/16/2009	2,444.93	2,976.75	(531.82)
50 SHARES OF SEACOR HLDGS INC	9/14/2009	6/30/2009	3,896.64	3,763.50	133.14
1325 SHARES OF SPRINT NEXTEL CORP	9/14/2009	4/8/2009	5,499.53	5,589.64	(90.11)
175 SHARES OF CISCO SYSTEMS	9/14/2009	6/30/2009	3,978.18	3,260.25	717.93
99 SHARES OF CENTURYTEL INC	9/14/2009	2/17/2009	3,106.18	2,512.20	593.98
350 SHARES OF DISCOVER FINANCIAL SERVICES	9/14/2009	6/30/2009	5,155.36	3,587.50	1,567.86
100 SHARES OF SIGMA - ALDRICH CORP	9/14/2009	6/16/2009	5,120.86	4,813.77	307.09
450 SHARES OF SL GREEN REALTY CORP	9/14/2009	6/16/2009	16,983.64	10,185.21	6,798.43
1000 SHARES OF D.R. HORTON INC	9/14/2009	6/16/2009	12,521.77	9,461.80	3,059.97
200 SHARES OF EXPEDITORS INTL WASH INC	9/14/2009	6/30/2009	7,083.81	6,652.86	430.95
100 SHARES OF FIRST AMERICAN CORPORATION	9/14/2009	6/16/2009	3,295.91	2,500.22	795.69
475 SHARES OF SOUTHWESTERN ENERGY CO	9/14/2009	6/16/2009	19,652.19	20,355.42	(703.23)
75 SHARES OF MOLSON COORS BREWING CO B	9/14/2009	6/16/2009	3,724.40	3,250.40	474.00
268 SHARES OF J C PENNEY CO INC	9/14/2009	5/8/2009	8,363.58	8,213.69	149.89
215 SHARES OF VALERO ENERGY CORP	9/14/2009	11/11/2008	4,078.98	4,100.59	(21.61)
2300 SHARES OF WINDSTREAM CORP	9/14/2009	6/16/2009	20,607.47	19,277.45	1,330.02
75 SHARES OF WELLPOINT INC	9/14/2009	6/16/2009	4,052.29	3,552.00	500.29
200 SHARES OF LINCOLN NATL CORP	9/14/2009	6/16/2009	4,997.29	3,036.82	1,960.47
525 SHARES OF ALLIANT ENERGY CORP	9/14/2009	6/16/2009	13,801.31	12,952.01	849.30
350 SHARES OF WILLIAMS COMPANIES INC	10/13/2009	9/14/2009	6,787.34	6,408.50	378.84

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-8003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
250 SHARES OF WYNDHAM WORLDWIDE CORP	10/13/2009	6/18/2009	4,459.53	2,880.13	1,579.40
21 852854 SHARES OF EXXON MOBIL CORP	10/13/2009	11/21/2008	1,525.29	1,545.43	(20.14)
87 410618 SHARES OF EXXON MOBIL CORP	10/13/2009	2/17/2009	6,101.15	6,299.61	(198.46)
50 SHARES OF EXXON MOBIL CORP	10/13/2009	6/16/2009	3,489.94	3,594.00	(104.06)
800 SHARES OF HERTZ GLOBAL HOLDINGS INC	10/13/2009	8/10/2009	8,810.81	9,009.04	(198.23)
50 SHARES OF OVERSEAS SHIPHOLDING GROUP	10/13/2009	6/16/2009	2,061.94	1,922.75	139.19
100 SHARES OF P G & E CORPORATION COMMON	10/13/2009	6/16/2009	4,170.89	3,729.14	441.75
909 SHARES OF PFIZER INC	10/13/2009	6/16/2009	15,334.44	12,903.71	2,430.73
50 SHARES OF PNC FINANCIAL SERVICES GROUP	10/13/2009	6/16/2009	2,204.94	1,994.50	210.44
75 SHARES OF PINNACLE WEST CAP CORP	10/13/2009	6/16/2009	2,486.18	2,162.39	323.79
50 SHARES OF PARTNERRE LTD	10/13/2009	6/16/2009	4,037.89	3,249.00	788.89
275 SHARES OF PRUDENTIAL FINANCIAL INC	10/13/2009	6/16/2009	13,543.15	10,009.73	3,533.42
200 SHARES OF PLAINS EXPLORATION & PRODUCT CO	10/13/2009	6/30/2009	5,571.85	5,441.74	130.11
250 SHARES OF ROWAN COMPANIES INC	10/13/2009	6/16/2009	6,194.67	5,253.33	941.34
325 SHARES OF ROWAN COMPANIES INC	10/13/2009	6/30/2009	8,053.06	6,288.75	1,764.31
75 SHARES OF REINSURANCE GROUP AMER INC	10/13/2009	6/16/2009	3,464.91	2,572.17	892.74
250 SHARES OF RAYMOND JAMES FINANCIAL CORP	10/13/2009	6/16/2009	5,987.84	4,247.20	1,740.64
400 SHARES OF RAYTHEON CO	10/13/2009	6/16/2009	18,414.00	17,941.04	472.96
100 SHARES OF REYNOLDS AMERICAN INC	10/13/2009	6/16/2009	4,738.87	3,650.00	1,088.87
1175 SHARES OF SPRINT NEXTEL CORP	10/13/2009	4/8/2009	4,173.14	4,956.86	(783.72)
275 SHARES OF SAIC INC	10/13/2009	6/30/2009	5,007.62	5,093.00	(85.38)
350 SHARES OF SL GREEN REALTY CORP	10/13/2009	6/16/2009	14,884.62	7,921.83	6,962.79
200 SHARES OF ST MARY LAND & EXPLORATION	10/13/2009	9/14/2009	6,896.82	5,950.14	946.68
50 SHARES OF SANDISK CORP	10/13/2009	8/10/2009	1,056.47	855.50	200.97
175 SHARES OF SANDISK CORP	10/13/2009	9/14/2009	3,697.65	3,434.34	263.31
100 SHARES OF FREEPORT MCMORAN COPPER & GOLD CLASS B	10/13/2009	6/30/2009	7,376.10	5,017.75	2,358.35
325 SHARES OF NSTAR	10/13/2009	6/16/2009	10,297.49	10,055.50	241.99
75 SHARES OF FAMILY DOLLAR STORES INC	10/13/2009	2/17/2009	2,112.19	2,005.56	106.63
75 SHARES OF FEDEX CORPORATION	10/13/2009	9/14/2009	5,769.60	5,791.83	(22.23)
50 SHARES OF FIRSTENERGY CORP	10/13/2009	6/16/2009	2,287.44	1,963.50	323.94
9 833333 SHARES OF FLUOR CORP NEW	10/13/2009	2/17/2009	470.35	386.15	84.20
75 SHARES OF FLUOR CORP NEW	10/13/2009	6/30/2009	3,587.40	3,848.25	(260.85)
50 SHARES OF FPL GROUP INC	10/13/2009	6/30/2009	2,650.43	2,832.29	(181.86)
100 SHARES OF GENERAL DYNAMICS CORP	10/13/2009	6/16/2009	6,536.83	5,757.59	779.24
1943 405406 SHARES OF GENERAL ELECTRIC CO	10/13/2009	3/19/2009	31,553.68	20,735.94	10,817.74

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
356 594594 SHARES OF GENERAL ELECTRIC CO	10/13/2009	5/8/2009	5,789.77	5,180.29	609.48
400 SHARES OF GENERAL ELECTRIC CO	10/13/2009	6/30/2009	6,494.51	4,680.00	1,814.51
750 SHARES OF GLOBAL INDS LTD	10/13/2009	6/16/2009	6,479.83	4,851.97	1,627.86
450 SHARES OF HOME DEPOT INC	10/13/2009	9/14/2009	12,223.66	12,354.30	(130.64)
150 SHARES OF HAWAIIAN ELECTRIC INDS INC	10/13/2009	6/16/2009	2,828.92	2,796.41	32.51
700 SHARES OF DUKE ENERGY CORP	10/13/2009	6/16/2009	10,926.71	9,952.53	974.18
125 SHARES OF DEVON ENERGY CORP	10/13/2009	9/14/2009	8,691.72	8,182.47	509.25
100 SHARES OF BRINKER INT'L INC	10/13/2009	6/16/2009	1,556.95	1,580.29	(23.34)
75 SHARES OF ECOLAB INC	10/13/2009	6/16/2009	3,448.06	2,801.40	644.66
75 SHARES OF CON EDISON	10/13/2009	8/10/2009	3,080.92	2,991.00	89.92
50 SHARES OF EDISON INTERNATIONAL	10/13/2009	6/16/2009	1,647.19	1,575.59	71.60
375 SHARES OF EDISON INTERNATIONAL	10/13/2009	9/14/2009	12,353.95	12,674.10	(320.15)
750 SHARES OF EMC CORP	10/13/2009	8/10/2009	13,374.18	11,295.00	2,079.18
900 SHARES OF FORD MOTOR COMPANY	10/13/2009	8/10/2009	6,910.83	6,955.92	(45.09)
100 SHARES OF FIRST AMERICAN CORPORATION	10/13/2009	6/16/2009	3,224.91	2,500.22	724.69
100 SHARES OF HERBALIFE LTD	10/13/2009	6/16/2009	3,619.90	2,871.00	748.90
225 SHARES OF HEALTH NET INC	10/13/2009	6/16/2009	3,686.14	2,981.70	704.44
150 SHARES OF HOSPITALITY PROPERTIES TRUST	10/13/2009	6/16/2009	3,079.42	2,029.64	1,049.78
125 SHARES OF HILL-ROM HOLDINGS INC	10/13/2009	6/16/2009	2,717.18	1,901.25	815.93
25 SHARES OF HRPT PROPERTIES TRUST REITS	10/13/2009	6/16/2009	168.38	107.63	60.75
1625 SHARES OF HRPT PROPERTIES TRUST REITS	10/13/2009	8/10/2009	10,944.91	9,244.14	1,700.77
175 SHARES OF HESS CORP	10/13/2009	8/10/2009	10,106.99	9,544.91	562.08
50 SHARES OF HUMANA INC	10/13/2009	6/16/2009	1,911.95	1,422.08	489.87
25 SHARES OF INT'L BUSINESS MACHINES	10/13/2009	2/17/2009	3,165.08	2,283.68	881.40
550 SHARES OF INGRAM MICRO INC CL A	10/13/2009	6/16/2009	9,567.77	9,387.13	180.64
25 SHARES OF ILLINOIS TOOL WORKS INC	10/13/2009	6/30/2009	1,117.86	936.50	181.36
300 SHARES OF ILLINOIS TOOL WORKS INC	10/13/2009	8/10/2009	13,414.27	11,977.71	1,436.56
125 SHARES OF INVESCO LTD	10/13/2009	6/16/2009	2,862.83	2,177.81	685.02
150 SHARES OF JOHNSON CONTROLS	10/13/2009	4/8/2009	3,907.89	2,238.96	1,668.93
150 SHARES OF JEFFERIES GROUP INC (NEW)	10/13/2009	6/16/2009	4,350.89	3,211.10	1,139.79
50 SHARES OF JOY GLOBAL INC	10/13/2009	6/30/2009	2,454.43	1,790.50	663.93
375 SHARES OF JP MORGAN CHASE & CO	10/13/2009	8/10/2009	16,915.48	15,935.25	980.23
50 SHARES OF KIMBERLY CLARK CORP	10/13/2009	8/10/2009	2,952.82	2,883.50	69.32
425 SHARES OF CMS ENERGY CORP	10/13/2009	6/30/2009	5,738.58	5,138.25	600.33
50 SHARES OF CAPITAL ONE FINANCIAL CORP	10/13/2009	8/10/2009	1,907.95	1,709.34	198.61
500 SHARES OF CONOCOPHILLIPS	10/13/2009	9/14/2009	25,424.54	22,850.00	2,574.54
200 SHARES OF COVIDIEN PLC	10/13/2009	6/16/2009	8,570.97	7,032.58	1,538.39

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
200 SHARES OF COMPUTER SCIENCES CORP	10/13/2009	9/14/2009	10,482.67	10,324.50	158.17
200 SHARES OF CARLISLE COS INC	10/13/2009	9/14/2009	7,145.81	6,667.18	478.63
275 SHARES OF CSX CORP	10/13/2009	6/18/2009	12,149.82	9,536.45	2,613.37
50 SHARES OF CSX CORP	10/13/2009	6/30/2009	2,209.06	1,730.50	478.56
400 SHARES OF ADC TELECOMMUNICATIONS INC	10/13/2009	6/18/2009	2,855.92	3,221.88	(365.96)
21.772727 SHARES OF ARCHER-DANIELS MIDLAND CO	10/13/2009	2/17/2009	641.85	593.52	48.33
353.227273 SHARES OF ARCHER-DANIELS MIDLAND CO	10/13/2009	6/16/2009	10,413.05	9,601.60	811.45
50 SHARES OF AFLAC INC	10/13/2009	6/16/2009	2,270.69	1,577.03	693.66
100 SHARES OF AK STEEL HOLDING CORP	10/13/2009	6/16/2009	1,916.95	1,847.00	69.95
75 SHARES OF AMGEN INC	10/13/2009	6/16/2009	4,434.53	3,848.73	585.80
25 SHARES OF AUTONATION INC	10/13/2009	6/16/2009	454.21	408.75	45.46
200 SHARES OF AUTONATION INC	10/13/2009	8/10/2009	3,633.68	3,804.00	(170.32)
125 SHARES OF ALPHA NATURAL RESOURCES INC	10/13/2009	6/16/2009	4,690.37	3,222.15	1,468.22
100 SHARES OF APACHE CORP	10/13/2009	6/16/2009	9,741.75	8,041.24	1,700.51
200 SHARES OF APACHE CORP	10/13/2009	8/10/2009	19,483.49	17,588.54	1,894.95
125 SHARES OF ASHLAND INC	10/13/2009	6/16/2009	5,196.61	3,228.49	1,968.12
100 SHARES OF ATMOS ENERGY CORP	10/13/2009	6/16/2009	2,895.92	2,479.67	416.25
250 SHARES OF AVON PRODUCTS INC	10/13/2009	6/16/2009	8,534.16	6,377.08	2,157.08
100 SHARES OF AMERICAN WATER WORKS CO INC	10/13/2009	6/16/2009	2,007.94	1,838.80	169.14
15 SHARES OF AUTOZONE INC	10/13/2009	6/16/2009	2,179.14	2,297.70	(118.56)
1542 821782 SHARES OF BANK OF AMERICA CORP	10/13/2009	5/8/2009	27,399.19	21,970.09	5,429.10
332 178218 SHARES OF BANK OF AMERICA CORP	10/13/2009	6/30/2009	5,899.20	4,368.14	1,531.06
275 SHARES OF BB&T CORPORATION	10/13/2009	6/16/2009	7,567.80	6,132.23	1,435.57
600 SHARES OF BRANDYWINE REALTY TRUST	10/13/2009	6/16/2009	6,198.86	4,115.70	2,083.16
50 SHARES OF FRANKLIN RES INC	10/13/2009	6/30/2009	5,258.66	3,907.22	1,651.44
84 65 SHARES OF BUNGE LIMITED	10/13/2009	10/17/2008	5,443.19	3,633.25	1,809.94
125 SHARES OF BANK OF NEW YORK MELLON CORP	10/13/2009	6/16/2009	3,512.65	3,565.00	(52.35)
75 SHARES OF BLACKROCK INC	10/13/2009	8/10/2009	16,099.08	14,798.46	1,300.62
25 SHARES OF BLACKROCK INC	10/13/2009	9/14/2009	5,366.36	4,988.38	377.98
525 SHARES OF CITIGROUP INC	10/13/2009	6/16/2009	2,519.93	1,727.20	792.73
425 SHARES OF CONAGRA FOODS INC	10/13/2009	1/26/2009	9,082.01	7,571.55	1,510.46
125 SHARES OF CAMERON INTERNATIONAL CORP	10/13/2009	9/14/2009	4,950.08	4,701.26	248.82
75 SHARES OF CHUBB CORP	10/13/2009	8/10/2009	3,816.65	3,582.52	234.13
50 SHARES OF CHUBB CORP	10/13/2009	9/14/2009	2,544.43	2,437.00	107.43
200 SHARES OF COOPER INDUSTRIES PLC CL A	10/13/2009	6/16/2009	7,809.29	6,402.94	1,406.35
225 SHARES OF CABOT CORP	10/13/2009	9/14/2009	4,966.88	4,665.51	301.37
700 SHARES OF COCA COLA ENTERPRISES INC	10/13/2009	5/8/2009	14,449.09	12,253.57	2,195.52
200 SHARES OF CHESAPEAKE ENERGY CORP	10/13/2009	6/16/2009	5,826.35	4,503.18	1,323.17
300 SHARES OF CIGNA CORP	10/13/2009	6/16/2009	8,631.76	6,495.27	2,136.49
666.510638 SHARES OF COMCAST CORP CL A	10/13/2009	12/8/2008	10,213.01	11,074.60	(861.59)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
233 489362 SHARES OF COMCAST CORP CL A	10/13/2009	1/5/2009	3,577.78	4,097.67	(519.89)
125 SHARES OF STATE STREET CORP COMMON	10/13/2009	6/16/2009	6,729.11	5,672.50	1,056.61
175 SHARES OF SEAGATE TECHNOLOGY	10/13/2009	8/10/2009	2,772.59	2,113.09	659.50
125 SHARES OF CONSTELLATION BRANDS INC A	10/13/2009	6/30/2009	2,078.13	1,586.25	491.88
300 SHARES OF SYSCO CORP	10/13/2009	11/11/2008	7,826.70	7,287.30	339.40
187 105391 SHARES OF AT&T INC	10/13/2009	12/8/2008	4,839.51	5,671.32	(831.81)
100 SHARES OF TIDEWATER INC	10/13/2009	6/16/2009	4,491.88	4,694.77	(202.89)
250 SHARES OF TEMPLE INLAND INC	10/13/2009	6/16/2009	3,859.40	3,038.65	820.75
50 SHARES OF THERMO FISHER SCIENTIFIC INC	10/13/2009	8/10/2009	2,263.44	2,269.30	(5.86)
75 SHARES OF TIME WARNER CABLE INC	10/13/2009	6/16/2009	3,092.17	2,283.00	809.17
975 SHARES OF TIME WARNER INC	10/13/2009	8/10/2009	29,640.01	27,380.73	2,259.28
550 SHARES OF UGI CORP NEW	10/13/2009	6/16/2009	13,532.13	13,779.43	(247.30)
125 SHARES OF UNITEDHEALTH GROUP INC	10/13/2009	8/10/2009	3,063.67	3,374.48	(310.81)
250 SHARES OF TEXAS INSTRUMENTS INC	10/13/2009	6/16/2009	5,933.12	5,189.18	743.94
150 SHARES OF UNUM GROUP	10/13/2009	6/16/2009	3,253.91	2,418.14	835.77
500 SHARES OF UNITRIN INC	10/13/2009	8/10/2009	10,258.73	8,253.15	2,005.58
175 SHARES OF VENTAS INC	10/13/2009	9/14/2009	6,721.57	6,521.03	200.54
1475 SHARES OF WELLS FARGO COMPANY	10/13/2009	11/11/2008	44,141.92	42,729.13	1,412.79
50 SHARES OF WHIRLPOOL CORP	10/13/2009	6/16/2009	3,562.90	2,138.69	1,424.21
325 SHARES OF WINDSTREAM CORP	10/13/2009	6/16/2009	3,246.66	2,723.99	522.67
50 SHARES OF WELLPOINT INC	10/13/2009	6/16/2009	2,319.94	2,368.00	(48.06)
750 SHARES OF CHEVRON CORP	10/13/2009	6/16/2009	55,449.45	52,603.43	2,846.02
275 SHARES OF CYPRESS SEMICONDUCTOR CORP	10/13/2009	8/10/2009	2,745.41	2,861.65	(116.24)
250 SHARES OF DISNEY WALT CO	10/13/2009	6/16/2009	7,127.81	5,897.15	1,230.66
425 SHARES OF DISH NETWORK CORP A	10/13/2009	6/30/2009	8,188.51	6,880.75	1,307.76
100 SHARES OF DOW CHEMICAL CO	10/13/2009	5/8/2009	2,591.93	1,752.36	839.57
125 SHARES OF LILLY ELI & CO	10/13/2009	2/17/2009	4,173.39	4,360.47	(187.08)
150 SHARES OF LUBRIZOL CORP	10/13/2009	8/10/2009	10,774.22	8,899.62	1,874.60
450 SHARES OF MACY'S INC	10/13/2009	4/8/2009	9,015.78	4,570.42	4,445.36
100 SHARES OF MCKESSON CORP	10/13/2009	2/17/2009	6,046.84	4,475.38	1,571.46
250 SHARES OF MIRANT CORP	10/13/2009	6/16/2009	4,027.39	3,910.88	116.51
375 SHARES OF MARVELL TECHNOLOGY GROUP LTD	10/13/2009	5/8/2009	5,997.70	3,992.81	2,004.89
175 SHARES OF MURPHY OIL CORP	10/13/2009	6/30/2009	10,777.36	9,507.75	1,269.61
450 SHARES OF NCR CORPORATION COMMON (NEW)	10/13/2009	6/16/2009	5,622.33	5,433.12	189.21
50 SHARES OF NEWFIELD EXPLORATION COMPANY	10/13/2009	8/10/2009	2,281.94	2,000.00	281.94
375 SHARES OF ANNALY CAPITAL MANAGEMENT INC	10/13/2009	6/16/2009	6,476.08	5,498.89	977.19
200 SHARES OF ANNALY CAPITAL MANAGEMENT INC	10/13/2009	9/14/2009	3,453.91	3,537.00	(83.09)
225 SHARES OF NRG ENERGY INC	10/13/2009	8/10/2009	6,236.09	6,458.11	(222.02)
225 SHARES OF MEADWESTVACO CORP	10/13/2009	6/16/2009	5,383.62	3,533.85	1,849.77
150 SHARES OF NEUSTAR INC CLASS A	10/13/2009	8/10/2009	3,399.78	3,384.41	15.37
325 SHARES OF NEUSTAR INC CLASS A	10/13/2009	9/14/2009	7,366.20	7,528.63	(162.43)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
225 SHARES OF NUCOR CORP	10/13/2009	8/10/2009	10,010.98	10,542.15	(531.17)
100 SHARES OF NOVELLUS SYSTEMS INC	10/13/2009	6/30/2009	2,146.61	1,673.00	473.61
600 SHARES OF NEWS CORPORATION INC CL A	10/13/2009	6/30/2009	7,287.83	5,471.40	1,816.43
344 SHARES OF PFIZER INC	10/13/2009	10/15/2009	17,159.21	15,366.32	1,792.89
362 SHARES OF WYETH	10/15/2009	1/26/2009	18,243.03	15,741.28	2,501.75
1214 SHARES OF SPRINT NEXTEL CORP	10/30/2009	4/8/2009	3,629.76	5,121.38	(1,491.62)
100 SHARES OF ASHLAND INC	11/10/2009	6/16/2009	3,670.26	2,582.79	1,087.47
150 SHARES OF CSX CORP	11/10/2009	6/30/2009	7,148.81	5,191.50	1,957.31
467 SHARES OF CONAGRA FOODS INC	11/10/2009	1/26/2009	10,139.84	8,319.79	1,820.05
150 SHARES OF DISNEY WALT CO	11/10/2009	6/16/2009	4,353.26	3,538.29	814.97
175 SHARES OF DISH NETWORK CORP A	11/10/2009	6/30/2009	3,544.82	2,833.25	711.57
75 SHARES OF ECOLAB INC	11/10/2009	6/16/2009	3,463.42	2,801.40	662.02
50 SHARES OF FPL GROUP INC	11/10/2009	6/30/2009	2,527.03	2,832.28	(305.25)
150 SHARES OF JEFFERIES GROUP INC (NEW)	11/10/2009	6/16/2009	4,196.14	3,211.09	985.05
50 SHARES OF JOY GLOBAL INC	11/10/2009	6/30/2009	2,787.92	1,790.50	997.42
475 SHARES OF NCR CORPORATION COMMON (NEW)	11/10/2009	6/16/2009	4,891.90	5,734.96	(843.06)
150 SHARES OF NSTAR	11/10/2009	6/16/2009	4,832.08	4,841.00	191.08
50 SHARES OF NUCOR CORP	11/10/2009	8/10/2009	2,027.45	2,342.70	(315.25)
25 SHARES OF NUCOR CORP	11/10/2009	9/14/2009	1,013.72	1,160.69	(146.97)
50 SHARES OF OVERSEAS SHIPHOLDING GROUP	11/10/2009	6/16/2009	1,812.62	1,922.75	(110.13)
200 SHARES OF PLAINS EXPLORATION & PRODUCT CO	11/10/2009	6/30/2009	5,621.49	5,441.74	179.75
325 SHARES OF ROWAN COMPANIES INC	11/10/2009	6/30/2009	8,492.13	6,288.75	2,203.38
425 SHARES OF ADC TELECOMMUNICATIONS INC	11/10/2009	6/16/2009	2,748.52	3,423.25	(674.73)
118 SHARES OF ALPHA NATURAL RESOURCES INC	11/10/2009	6/16/2009	4,560.38	3,041.71	1,518.67
10 SHARES OF AUTOZONE INC	11/10/2009	6/16/2009	1,427.35	1,531.80	(104.45)
350 SHARES OF COMCAST CORP CL A	11/10/2009	12/8/2008	5,204.36	5,815.53	(611.17)
84 SHARES OF FAMILY DOLLAR STORES INC	11/10/2009	2/17/2009	2,474.45	2,246.23	228.22
25 SHARES OF GENERAL DYNAMICS CORP	11/10/2009	6/16/2009	1,673.26	1,439.40	233.86
50 SHARES OF GENERAL DYNAMICS CORP	11/10/2009	8/10/2009	3,346.52	2,825.33	521.19
180 SHARES OF JOHNSON CONTROLS	11/10/2009	4/8/2009	4,777.92	2,686.75	2,091.17
100 SHARES OF RAYMOND JAMES FINANCIAL CORP	11/10/2009	6/16/2009	2,566.93	1,698.88	868.05
350 SHARES OF SL GREEN REALTY CORP	11/10/2009	6/16/2009	14,216.25	7,921.83	6,294.42
200 SHARES OF ST MARY LAND & EXPLORATION	11/10/2009	9/14/2009	6,808.20	5,950.14	858.06
125 SHARES OF STATE STREET CORP COMMON	11/10/2009	6/16/2009	5,378.61	5,672.50	(293.89)
75 SHARES OF TIDEWATER INC	11/10/2009	6/16/2009	3,364.41	3,521.08	(156.67)
300 SHARES OF TELLABS INC	11/10/2009	10/20/2009	1,806.28	2,061.00	(254.72)
284 097561 SHARES OF WELLS FARGO COMPANY	11/10/2009	11/11/2008	7,959.75	8,230.00	(270.25)
40 902439 SHARES OF WELLS FARGO COMPANY	11/10/2009	8/10/2009	1,145.99	1,166.98	(20.99)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
100 SHARES OF AMERICAN WATER WORKS CO INC	12/18/2009	6/16/2009	2,256.49	1,838.80	417.69
275 SHARES OF PRUDENTIAL FINANCIAL INC	12/18/2009	6/16/2009	13,580.84	10,009.72	3,551.12
275 SHARES OF SAIC INC	12/18/2009	6/30/2009	5,197.44	5,093.00	104.44
375 SHARES OF UGI CORP NEW	12/18/2009	6/16/2009	9,219.89	9,395.06	(175.17)
104 SHARES OF LILLY ELI & CO	12/18/2009	2/17/2009	3,700.84	3,827.92	72.92
225 SHARES OF MACY'S INC	12/18/2009	4/8/2009	3,816.69	2,285.21	1,531.48
143 SHARES OF FLUOR CORP NEW	12/18/2009	2/17/2009	6,187.84	5,615.50	572.34
775 SHARES OF GLOBAL INDS LTD	12/18/2009	6/16/2009	4,825.64	5,013.71	(188.07)
150 SHARES OF INVESCO LTD	12/18/2009	6/16/2009	3,200.72	2,813.38	587.34
325 SHARES OF WINDSTREAM CORP	12/18/2009	6/16/2009	3,534.96	2,723.99	810.97
125 SHARES OF CIGNA CORP	12/18/2009	6/16/2009	4,453.63	2,706.36	1,747.27
150 SHARES OF AVON PRODUCTS INC	12/18/2009	6/16/2009	4,701.17	3,826.24	874.93
100 SHARES OF DISH NETWORK CORP A	12/18/2009	6/30/2009	2,085.03	1,619.00	466.03
100 SHARES OF APACHE CORP	12/18/2009	6/30/2009	10,018.97	7,217.27	2,801.70
100 SHARES OF AK STEEL HOLDING CORP	12/18/2009	6/16/2009	1,987.74	1,847.00	140.74
275 SHARES OF CYPRESS SEMICONDUCTOR CORP	12/18/2009	8/10/2009	2,859.15	2,861.65	(2.50)
09 SHARES OF AOL INC	12/10/2009	8/10/2009	2.15	1.80	0.35
125 SHARES OF EMC CORP	12/29/2009	8/10/2009	2,188.30	1,882.50	305.80
77 363636 SHARES OF ARCHER-DANIELS MIDLAND CO	6/16/2009	12/8/2008	2,101.55	2,075.97	25.58
172 636364 SHARES OF ARCHER-DANIELS MIDLAND CO	6/16/2009	2/17/2009	4,689.60	4,706.00	(16.40)
729.772727 SHARES OF NORFOLK SOUTHERN CORP	6/16/2009	11/11/2008	28,563.08	40,454.15	(11,891.07)
115.227273 SHARES OF NORFOLK SOUTHERN CORP	6/16/2009	11/21/2008	4,509.96	5,017.34	(507.38)
225 SHARES OF QUALCOMM INC	6/16/2009	8/22/2008	9,834.49	12,475.87	(2,641.38)
863.709678 SHARES OF MORGAN STANLEY	6/16/2009	11/11/2008	24,535.72	11,805.87	12,729.85
172.741935 SHARES OF MORGAN STANLEY	6/16/2009	3/19/2009	4,907.14	3,819.08	1,088.06
301.736842 SHARES OF NOBLE CORP	6/16/2009	12/8/2008	10,354.83	7,088.01	3,266.82
996.263156 SHARES OF NOBLE CORP	6/16/2009	2/17/2009	34,257.81	24,288.94	9,970.87
1525 SHARES OF MACY'S INC	6/16/2009	4/8/2009	17,234.03	15,488.66	1,745.37
1675 SHARES OF MARVELL TECHNOLOGY GROUP LTD	6/16/2009	5/8/2009	20,146.72	17,834.56	2,312.16
1882 SHARES OF INTEL CORP	6/16/2009	8/12/2008	29,960.67	45,996.08	(16,035.41)
2500 SHARES OF SPRINT NEXTEL CORP	6/16/2009	4/8/2009	13,587.40	10,546.50	3,040.90
449.910891 SHARES OF BANK OF AMERICA CORP	6/16/2009	4/8/2009	5,776.71	3,146.54	2,630.17
1075 089109 SHARES OF BANK OF AMERICA CORP	6/16/2009	5/8/2009	13,803.78	15,309.49	(1,505.71)
75 SHARES OF BARD C R INC	6/16/2009	1/30/2009	5,371.36	6,468.15	(1,096.79)
113.8 SHARES OF BUNGE LIMITED	6/16/2009	10/17/2008	7,059.50	4,884.40	2,175.10
1728 SHARES OF COMMSCOPE INC	6/16/2009	4/8/2009	41,616.08	27,212.37	14,403.71
2875 SHARES OF COVENTRY HEALTH CARE INC	6/16/2009	3/19/2009	48,225.45	34,114.18	14,111.27
750 SHARES OF DOVER CORP	6/16/2009	3/19/2009	25,859.33	19,821.98	6,037.35
115.195312 SHARES OF DEVON ENERGY CORP	6/16/2009	7/7/2008	7,242.52	13,358.84	(6,116.32)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
230 407524 SHARES OF ENSCO INTERNATIONAL INC	6/16/2009	9/12/2008	8,849.56	14,102.27	(5,252.71)
403 SHARES OF FAMILY DOLLAR STORES INC	6/16/2009	2/17/2009	11,473.80	10,776.54	697.26
249 407407 SHARES OF FMC CORP COM NEW	6/16/2009	9/12/2008	12,489.38	17,037.24	(4,547.86)
211 037037 SHARES OF FMC CORP COM NEW	6/16/2009	9/18/2008	10,567.94	12,433.31	(1,865.37)
57 555556 SHARES OF FMC CORP COM NEW	6/16/2009	11/21/2008	2,882.16	1,786.44	1,095.72
350 SHARES OF GILEAD SCIENCES INC	6/16/2009	2/17/2009	15,448.88	17,185.35	(1,736.47)
339 521277 SHARES OF COMCAST CORP CL A	6/16/2009	12/8/2008	4,601.07	5,641.42	(1,040.35)
710 478723 SHARES OF COMCAST CORP CL A	6/16/2009	1/5/2009	9,628.16	12,468.69	(2,840.53)
575 948276 SHARES OF SOUTHWEST AIRLINES	6/16/2009	8/13/2008	3,717.59	8,529.74	(4,812.15)
675 SHARES OF LEGGETT & PLATT INC	6/16/2009	8/19/2008	9,982.52	13,813.47	(3,830.95)
172 8 SHARES OF AGCO CORP COM	6/16/2009	11/18/2008	4,700.76	4,621.87	78.89
59 941176 SHARES OF AUTOMATIC DATA PROCESSING INC	6/16/2009	11/12/2008	2,180.08	2,065.01	115.07
115 058824 SHARES OF AUTOMATIC DATA PROCESSING INC	6/16/2009	2/17/2009	4,184.73	4,220.73	(36.00)
422 4 SHARES OF ALLSTATE CORP	6/16/2009	5/8/2009	10,074.57	10,971.84	(897.27)
200 SHARES OF WYETH	6/16/2009	1/26/2009	8,825.77	8,696.84	128.93
214 548387 SHARES OF VALERO ENERGY CORP	6/16/2009	2/17/2009	3,703.03	4,770.61	(1,067.58)
499 258427 SHARES OF SYMANTEC CORP	6/16/2009	8/13/2008	7,901.16	11,028.92	(3,127.76)
375 741573 SHARES OF SYMANTEC CORP	6/16/2009	9/12/2008	5,946.41	7,683.65	(1,737.24)
189 SHARES OF LEGGETT & PLATT INC	8/10/2009	8/19/2008	3,360.33	3,867.77	(507.44)
300 SHARES OF LEXMARK INTERNATIONAL INC	8/10/2009	6/16/2009	5,155.81	4,641.15	514.66
837 SHARES OF MACY'S INC	8/10/2009	4/8/2009	12,676.29	8,500.99	4,175.30
950 SHARES OF NABORS INDUSTRIES LTD	8/10/2009	6/16/2009	17,109.25	15,933.69	1,175.56
50 SHARES OF NIKE INC CL B	8/10/2009	6/16/2009	2,863.60	2,784.00	79.60
375 SHARES OF ALTERA CORPORATION	8/10/2009	6/16/2009	7,006.61	6,118.46	888.15
450 SHARES OF PROCTER & GAMBLE CO	8/10/2009	6/16/2009	23,403.40	22,580.42	822.98
325 SHARES OF QUALCOMM INC	8/10/2009	8/22/2008	14,813.79	18,020.70	(3,206.91)
525 SHARES OF CHARLES SCHWAB CORP	8/10/2009	6/16/2009	10,005.82	9,238.64	767.18
150 SHARES OF SUNOCO INC	8/10/2009	6/16/2009	3,879.98	3,876.00	3.98
142.719101 SHARES OF SYMANTEC CORP	8/10/2009	9/12/2008	2,196.39	2,918.51	(722.12)
691 280899 SHARES OF SYMANTEC CORP	8/10/2009	3/19/2009	10,638.54	9,704.27	934.27
414 SHARES OF BANK OF AMERICA CORP	8/10/2009	4/8/2009	6,862.16	2,895.39	3,966.77
200 SHARES OF TESORO PETE CORP COM	8/10/2009	6/16/2009	2,561.23	2,842.42	(281.19)
275 SHARES OF VARIAN MEDICAL SYSTEMS INC	8/10/2009	6/16/2009	9,989.86	9,980.85	9.01
499 032258 SHARES OF VALERO ENERGY CORP	8/10/2009	11/11/2008	9,186.94	9,517.79	(330.85)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
34 967742 SHARES OF VALERO ENERGY CORP	8/10/2009	2/17/2009	643.74	777.53	(133.79)
100 SHARES OF WHIRLPOOL CORP	8/10/2009	6/16/2009	5,958.13	4,277.38	1,680.75
225 SHARES OF WYNDHAM WORLDWIDE CORP	8/10/2009	6/16/2009	3,383.39	2,592.11	791.28
750 SHARES OF DELTA AIR LINES INC	8/10/2009	6/16/2009	5,292.99	4,631.40	661.59
350 SHARES OF DELL INC	8/10/2009	6/16/2009	4,742.37	4,492.29	250.08
210 SHARES OF DOVER CORP	8/10/2009	3/19/2009	7,047.66	5,550.15	1,497.51
75 SHARES OF GILEAD SCIENCES INC	8/10/2009	2/17/2009	3,435.73	3,682.58	(246.85)
225 SHARES OF HARRIS CORP	8/10/2009	6/16/2009	7,146.85	6,561.00	585.85
225 SHARES OF HONEYWELL INTERNATIONAL INC	8/10/2009	6/16/2009	8,058.57	7,474.50	584.07
975 SHARES OF INTERPUBLIC GROUP COMPANIES	8/10/2009	6/16/2009	5,723.10	5,355.29	367.81
925 SHARES OF CITIGROUP INC	9/14/2009	6/16/2009	4,097.64	3,043.16	1,054.48
20 SHARES OF CME GROUP INC	9/14/2009	6/16/2009	5,487.70	6,622.60	(1,154.90)
175 SHARES OF CONSOL ENERGY INC	9/14/2009	6/16/2009	7,424.00	6,586.42	837.58
325 SHARES OF NOVELLUS SYSTEMS INC	9/14/2009	6/16/2009	6,560.93	5,515.12	1,045.81
300 SHARES OF ROWAN COMPANIES INC	9/14/2009	6/16/2009	6,697.68	6,303.99	393.69
1378 SHARES OF SPRINT NEXTEL CORP	9/14/2009	4/8/2009	5,719.51	5,813.23	(93.72)
225 SHARES OF SEARS HOLDINGS CORP	9/14/2009	6/16/2009	14,400.05	14,405.63	(5.58)
475 SHARES OF SOUTHWESTERN ENERGY CO	9/14/2009	6/16/2009	19,652.19	20,355.41	(703.22)
275 SHARES OF GILEAD SCIENCES INC	9/14/2009	2/17/2009	12,720.40	13,502.77	(782.37)
475 SHARES OF TITANIUM METALS CORPORATION	9/14/2009	6/16/2009	4,660.30	4,754.70	(94.40)
150 SHARES OF ABBOTT LABORATORIES	10/13/2009	6/16/2009	7,426.80	6,738.33	688.47
264.034483 SHARES OF WAL-MART STORES INC	10/13/2009	10/17/2008	13,237.82	14,241.97	(1,004.15)
125 SHARES OF WESTERN UNION	10/13/2009	6/16/2009	2,373.93	2,082.09	291.84
100 SHARES OF WYNDHAM WORLDWIDE CORP	10/13/2009	6/16/2009	1,783.81	1,152.05	631.76
76.793066 SHARES OF EXXON MOBIL CORP	10/13/2009	2/17/2009	5,360.06	5,534.42	(174.36)
589 875408 SHARES OF EXXON MOBIL CORP	10/13/2009	6/16/2009	41,172.53	42,400.24	(1,227.71)
75 SHARES OF NYSE EURONEXT	10/13/2009	6/16/2009	2,117.19	2,142.00	(24.81)
350 SHARES OF ORACLE	10/13/2009	8/10/2009	7,279.81	7,399.00	(119.19)
150 SHARES OF PACCAR INC	10/13/2009	8/10/2009	5,487.35	5,361.37	125.98
150 SHARES OF PIONEER NATURAL RESOURCES CO COMMON	10/13/2009	9/14/2009	5,994.34	5,034.23	960.11
100 SHARES OF ROWAN COMPANIES INC	10/13/2009	6/16/2009	2,477.86	2,101.33	376.53
25 SHARES OF POLO RALPH LAUREN CORP COMMON	10/13/2009	6/16/2009	1,875.20	1,301.50	573.70
125 SHARES OF RAYTHEON CO	10/13/2009	6/16/2009	5,754.38	5,606.58	147.80
375 SHARES OF STARBUCKS CORP	10/13/2009	8/10/2009	7,571.31	7,170.79	400.52
50 SHARES OF SHERWIN-WILLIAMS CO	10/13/2009	6/16/2009	3,124.41	2,655.50	468.91
50 SHARES OF SIGMA - ALDRICH CORP	10/13/2009	6/16/2009	2,683.43	2,406.89	276.54
525 SHARES OF SANDISK CORP	10/13/2009	9/14/2009	11,092.96	10,303.02	789.94

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
25 SHARES OF FREEPORT MCMORAN COPPER & GOLD CLASS B	10/13/2009	6/16/2009	1,844.02	1,317.50	526.52
50 SHARES OF FREEPORT MCMORAN COPPER & GOLD CLASS B	10/13/2009	8/10/2009	3,688.05	3,102.17	585.88
225 SHARES OF FAMILY DOLLAR STORES INC	10/13/2009	8/10/2009	6,336.57	6,892.45	(555.88)
38 555556 SHARES OF FLUOR CORP NEW	10/13/2009	2/17/2009	1,844.19	1,514.04	330.15
150 SHARES OF FOREST LABS INC	10/13/2009	6/16/2009	4,427.88	3,477.39	950.49
275 SHARES OF GENERAL DYNAMICS CORP	10/13/2009	6/16/2009	17,976.28	15,833.38	2,142.90
50 SHARES OF GILEAD SCIENCES INC	10/13/2009	2/17/2009	2,262.94	2,455.05	(192.11)
25 SHARES OF GOOGLE INC CL A	10/13/2009	6/16/2009	13,115.41	10,438.50	2,676.91
5 SHARES OF GOOGLE INC CL A	10/13/2009	9/14/2009	2,623.08	2,377.25	245.83
100 SHARES OF BROWN FORMAN CORP CL B	10/13/2009	6/16/2009	4,913.87	4,361.25	552.62
100 SHARES OF ECOLAB INC	10/13/2009	6/16/2009	4,594.74	3,735.20	859.54
72 413793 SHARES OF ENSCO INTERNATIONAL INC	10/13/2009	5/8/2009	3,364.47	2,488.33	876.14
200 SHARES OF EXPEDITORS INTL WASH INC	10/13/2009	6/16/2009	6,721.32	6,557.44	163.88
25 SHARES OF THE HERSHEY COMPANY	10/13/2009	6/16/2009	984.22	848.35	135.87
300 SHARES OF HUMANA INC	10/13/2009	6/16/2009	11,471.70	8,532.48	2,939.22
150 SHARES OF INTL BUSINESS MACHINES	10/13/2009	3/19/2009	18,990.51	13,869.23	5,121.28
125 SHARES OF INTL BUSINESS MACHINES	10/13/2009	6/16/2009	15,825.43	13,512.50	2,312.93
325 SHARES OF INVESCO LTD	10/13/2009	6/16/2009	7,443.37	5,662.31	1,781.06
200 SHARES OF KIMBERLY CLARK CORP	10/13/2009	8/10/2009	11,811.29	11,530.48	280.81
50 SHARES OF LOEWS CORP	10/13/2009	6/16/2009	1,734.95	1,371.00	363.95
10 SHARES OF CME GROUP INC	10/13/2009	6/16/2009	2,995.93	3,311.30	(315.37)
275 SHARES OF CMS ENERGY CORP	10/13/2009	6/16/2009	3,713.20	3,312.38	400.82
50 SHARES OF COVIDIEN PLC	10/13/2009	8/10/2009	2,142.74	1,928.39	214.35
125 SHARES OF CAMPBELL SOUP CO	10/13/2009	6/16/2009	4,053.64	3,538.34	515.30
175 SHARES OF COMPUTER SCIENCES CORP	10/13/2009	6/16/2009	9,172.33	7,649.43	1,522.90
1850 SHARES OF CISCO SYSTEMS	10/13/2009	6/16/2009	44,300.44	35,495.03	8,805.41
150 SHARES OF ADOBE SYSTEMS INC	10/13/2009	6/16/2009	5,138.86	4,259.25	879.61
100 SHARES OF ARCHER-DANIELS MIDLAND CO	10/13/2009	12/8/2008	2,947.97	2,683.39	264.58
75 SHARES OF AUTOMATIC DATA PROCESSING INC	10/13/2009	11/12/2008	2,976.67	2,583.79	392.88
150 SHARES OF AFLAC INC	10/13/2009	6/16/2009	6,812.07	4,731.08	2,080.99
153.411765 SHARES OF AMGEN INC	10/13/2009	11/11/2008	9,070.81	8,983.67	87.14
99 SHARES OF AMGEN INC	10/13/2009	6/16/2009	5,853.58	5,080.32	773.26
75 SHARES OF AMGEN INC	10/13/2009	9/14/2009	4,434.54	4,429.86	4.68
100 SHARES OF AMAZON.COM INC	10/13/2009	3/19/2009	9,435.75	7,004.43	2,431.32
125 SHARES OF AMAZON.COM INC	10/13/2009	6/16/2009	11,794.70	10,273.75	1,520.95
50 SHARES OF AMAZON.COM INC	10/13/2009	9/14/2009	4,717.88	4,182.33	535.55
250 SHARES OF AUTONATION INC	10/13/2009	6/16/2009	4,542.11	4,087.50	454.61
200 SHARES OF APACHE CORP	10/13/2009	8/10/2009	19,483.49	17,574.28	1,909.21
175 SHARES OF APOLLO GROUP INC CL A	10/13/2009	2/17/2009	12,771.17	14,239.49	(1,468.32)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-8003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
150 SHARES OF AVON PRODUCTS INC	10/13/2009	6/16/2009	5,120.49	3,826.25	1,294.24
35 SHARES OF AUTOZONE INC	10/13/2009	6/16/2009	5,084.66	5,361.30	(276.64)
200 SHARES OF BED BATH & BEYOND INC	10/13/2009	9/14/2009	7,374.81	7,337.06	37.75
71 4 SHARES OF BARD C R INC	10/13/2009	1/23/2009	5,506.23	6,108.23	(602.00)
78 8 SHARES OF BARD C R INC	10/13/2009	1/30/2009	6,061.47	6,778.63	(717.16)
75 SHARES OF FRANKLIN RES INC	10/13/2009	8/10/2009	7,887.98	6,919.37	968.61
25 SHARES OF BUNGE LIMITED	10/13/2009	10/17/2008	1,607.55	1,073.02	534.53
50 SHARES OF BIG LOTS INC	10/13/2009	6/16/2009	1,295.46	1,096.28	199.18
50 SHARES OF BIOGEN IDEC INC	10/13/2009	9/14/2009	2,425.93	2,551.29	(125.36)
10 SHARES OF BLACKROCK INC	10/13/2009	9/14/2009	2,146.54	1,995.35	151.19
225 SHARES OF BMC SOFTWARE INC	10/13/2009	6/16/2009	8,598.80	7,777.76	821.04
250 SHARES OF BMC SOFTWARE INC	10/13/2009	9/14/2009	9,554.23	9,312.50	241.73
125 SHARES OF BROADCOM CORPORATION	10/13/2009	6/16/2009	3,787.15	3,199.50	587.65
350 SHARES OF CA INC	10/13/2009	6/16/2009	7,941.61	5,967.50	1,974.11
475 SHARES OF CAMERON INTERNATIONAL CORP	10/13/2009	9/14/2009	18,810.32	17,864.80	945.52
200 SHARES OF COOPER INDUSTRIES PLC CL A	10/13/2009	6/16/2009	7,809.29	6,402.94	1,406.35
725 SHARES OF COCA COLA ENTERPRISES INC	10/13/2009	5/8/2009	14,965.13	12,691.20	2,273.93
200 SHARES OF CHESAPEAKE ENERGY CORP	10/13/2009	6/16/2009	5,826.35	4,503.18	1,323.17
50 SHARES OF C.H. ROBINSON WORLDWIDE INC	10/13/2009	6/16/2009	2,932.92	2,498.78	434.14
125 SHARES OF C H. ROBINSON WORLDWIDE INC	10/13/2009	8/10/2009	7,332.31	6,777.50	554.81
375 SHARES OF COMCAST CORP CL A	10/13/2009	12/8/2008	5,746.16	6,230.93	(484.77)
50 SHARES OF STATE STREET CORP COMMON	10/13/2009	9/14/2009	2,691.65	2,642.79	48.86
150 SHARES OF SEAGATE TECHNOLOGY	10/13/2009	8/10/2009	2,376.50	1,819.12	557.38
425 SHARES OF CONSTELLATION BRANDS INC A	10/13/2009	9/14/2009	7,065.65	6,431.91	633.74
375 SHARES OF SYSCO CORP	10/13/2009	11/11/2008	9,533.38	9,109.13	424.25
100 SHARES OF MOLSON COORS BREWING CO B	10/13/2009	6/16/2009	4,996.67	4,393.66	603.01
525 SHARES OF TITANIUM METALS CORPORATION	10/13/2009	6/16/2009	5,311.86	5,255.20	56.66
325 SHARES OF TJX COMPANIES INC	10/13/2009	6/16/2009	12,292.42	9,702.49	2,589.93
225 SHARES OF TELLABS INC	10/13/2009	8/10/2009	1,598.72	1,332.00	266.72
1200 SHARES OF TELLABS INC	10/13/2009	9/14/2009	8,526.49	8,329.68	196.81
75 SHARES OF UNITEDHEALTH GROUP INC	10/13/2009	6/16/2009	1,838.20	1,809.00	29.20
200 SHARES OF TEXAS INSTRUMENTS INC	10/13/2009	6/16/2009	4,746.50	4,151.34	595.16
425 SHARES OF TEXAS INSTRUMENTS INC	10/13/2009	8/10/2009	10,086.30	10,028.26	58.04
150 SHARES OF UNUM GROUP	10/13/2009	6/16/2009	3,253.91	2,418.14	835.77
50 SHARES OF WATERS CORP	10/13/2009	6/16/2009	2,872.92	2,401.75	471.17
250 SHARES OF WESTERN DIGITAL CORP	10/13/2009	8/10/2009	9,449.25	7,988.18	1,461.07
100 SHARES OF COVENTRY HEALTH CARE INC	10/13/2009	3/19/2009	1,860.95	1,188.58	674.37
150 SHARES OF CVS/CAREMARK CORP	10/13/2009	6/16/2009	5,502.35	4,505.42	996.93

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31,2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
700 SHARES OF CHEVRON CORP	10/13/2009	6/16/2009	51,752.81	49,096.53	2,656.28
675 SHARES OF DELL INC	10/13/2009	6/16/2009	10,324.46	8,663.89	1,660.77
100 SHARES OF DISH NETWORK CORP A	10/13/2009	8/10/2009	1,926.71	1,961.56	(34.85)
75 SHARES OF DR PEPPER SNAPPLE GROUP	10/13/2009	6/16/2009	2,198.94	1,597.57	601.37
100 SHARES OF DIRECTV GROUP INC/THE	10/13/2009	6/16/2009	2,654.93	2,237.75	417.18
125 SHARES OF LUBRIZOL CORP	10/13/2009	8/10/2009	8,978.51	7,443.18	1,535.33
30 SHARES OF MASTERCARD INC CLASS A	10/13/2009	6/16/2009	6,451.03	4,938.80	1,514.23
200 SHARES OF MCAFEE INC	10/13/2009	8/10/2009	9,027.76	8,482.36	545.40
75 SHARES OF MCGRAW HILL	10/13/2009	6/16/2009	2,106.69	2,228.01	(121.32)
300 SHARES OF MEDCO HEALTH SOLUTIONS INC	10/13/2009	8/10/2009	16,699.58	15,751.95	947.63
250 SHARES OF 3M CO	10/13/2009	8/10/2009	18,695.51	17,725.00	970.51
325 SHARES OF MARVELL TECHNOLOGY GROUP LTD	10/13/2009	5/8/2009	5,198.01	3,460.44	1,737.57
75 SHARES OF MURPHY OIL CORP	10/13/2009	6/16/2009	4,618.87	4,275.75	343.12
175 SHARES OF MURPHY OIL CORP	10/13/2009	9/14/2009	10,777.36	10,516.22	261.14
75 SHARES OF NOBLE CORP	10/13/2009	12/8/2008	3,043.42	1,754.74	1,288.68
25 SHARES OF NIKE INC CL B	10/13/2009	6/16/2009	1,582.20	1,392.00	190.20
300 SHARES OF ANNALY CAPITAL MANAGEMENT INC	10/13/2009	8/10/2009	5,180.86	5,089.71	91.15
1025 SHARES OF NOVELL INC	10/13/2009	6/16/2009	4,640.16	4,119.17	520.99
200 SHARES OF NOVELLUS SYSTEMS INC	10/13/2009	6/16/2009	4,293.22	3,393.92	899.30
75 SHARES OF VIACOM INC CLASS B	10/13/2009	6/16/2009	2,135.94	1,665.00	470.94
73 SHARES OF PFIZER INC	10/13/2009	10/15/2009	3,676.97	3,222.46	454.51
109 SHARES OF WYETH	10/15/2009	1/26/2009	5,493.07	4,739.78	753.29
125 SHARES OF MCAFEE INC	11/10/2009	6/16/2009	5,399.74	4,951.80	447.94
75 SHARES OF MCAFEE INC	11/10/2009	8/10/2009	3,239.85	3,180.89	58.96
25 SHARES OF APACHE CORP	11/10/2009	6/16/2009	2,499.60	2,010.31	489.29
5 SHARES OF CME GROUP INC	11/10/2009	6/16/2009	1,555.21	1,655.65	(100.44)
275 SHARES OF CMS ENERGY CORP	11/10/2009	6/16/2009	3,845.34	3,312.37	532.97
125 SHARES OF CAMPBELL SOUP CO	11/10/2009	6/16/2009	4,095.70	3,538.34	557.36
150 SHARES OF CVS/CAREMARK CORP	11/10/2009	6/16/2009	4,493.79	4,505.41	(11.62)
25 SHARES OF ENSCO INTERNATIONAL INC	11/10/2009	5/8/2009	1,140.03	859.07	280.96
50 SHARES OF HUMANA INC	11/10/2009	6/16/2009	2,080.54	1,422.08	658.46
1025 SHARES OF NOVELL INC	11/10/2009	6/16/2009	4,257.43	4,119.17	138.26
25 SHARES OF MOLSON COORS BREWING CO B	11/10/2009	6/16/2009	1,117.37	1,083.47	33.90
55 SHARES OF APOLLO GROUP INC CL A	11/10/2009	2/17/2009	3,014.09	4,475.27	(1,461.18)
100 SHARES OF APOLLO GROUP INC CL A	11/10/2009	6/16/2009	5,480.17	6,372.00	(891.83)
40 SHARES OF AUTOZONE INC	11/10/2009	6/16/2009	5,709.41	6,127.20	(417.79)
175 SHARES OF PIONEER NATURAL RESOURCES CO COMMON	11/10/2009	9/14/2009	7,641.61	5,873.26	1,768.35
.88 SHARES OF PFIZER INC	11/10/2009	10/15/2009	15.47	38.85	(23.38)
106.12 SHARES OF PFIZER INC	11/10/2009	10/15/2009	1,865.54	1,874.17	(8.63)
380 SHARES OF COMCAST CORP CL A	11/10/2009	12/8/2008	5,650.45	6,314.00	(663.55)
200 SHARES OF EXPEDITORS INTL WASH INC	11/10/2009	6/16/2009	6,421.99	6,557.44	(135.45)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31,2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
50 SHARES OF GENERAL DYNAMICS CORP	11/10/2009	6/16/2009	3,346.52	2,878.79	467.73
19 198267 SHARES OF EXXON MOBIL CORP	11/10/2009	11/21/2008	1,392.97	1,357.71	35.26
335,124592 SHARES OF EXXON MOBIL CORP	11/10/2009	6/16/2009	24,315.57	24,088.76	226.81
25 SHARES OF STATE STREET CORP COMMON	11/10/2009	9/14/2009	1,075.72	1,321.40	(245.68)
1100 SHARES OF TELLABS INC	11/10/2009	6/16/2009	6,623.04	6,203.45	419.59
350 SHARES OF TELLABS INC	11/10/2009	8/10/2009	2,107.33	2,072.00	35.33
150 SHARES OF CF INDUSTRIES HOLDINGS	11/13/2009	6/16/2009	12,017.35	11,809.50	207.85
25 SHARES OF MASTERCARD INC CLASS A	12/18/2009	11/10/2009	6,293.81	5,972.72	321.09
25 SHARES OF NIKE INC CL B	12/18/2009	6/16/2009	1,617.81	1,392.00	225.81
150 SHARES OF UNUM GROUP	12/18/2009	6/16/2009	2,860.51	2,418.13	442.38
50 SHARES OF BED BATH & BEYOND INC	12/18/2009	9/14/2009	1,865.79	1,834.27	31.52
75 SHARES OF BED BATH & BEYOND INC	12/18/2009	11/10/2009	2,798.69	2,816.83	(18.14)
97 SHARES OF COVENTRY HEALTH CARE INC	12/18/2009	3/19/2009	2,356.98	1,150.98	1,206.00
700 SHARES OF DELL INC	12/18/2009	6/16/2009	9,581.21	8,984.57	596.64
75 SHARES OF AFLAC INC	12/18/2009	6/16/2009	3,441.11	2,365.54	1,075.57
10 SHARES OF AMAZON.COM INC	12/18/2009	3/19/2009	1,270.04	700.44	569.60
50 SHARES OF LOEWS CORP	12/18/2009	6/16/2009	1,792.26	1,371.00	421.26
525 SHARES OF TITANIUM METALS CORPORATION	12/18/2009	6/16/2009	5,953.45	5,255.20	698.25
175 SHARES OF AVON PRODUCTS INC	12/18/2009	6/16/2009	5,484.70	4,463.95	1,020.75
100 SHARES OF DISH NETWORK CORP A	12/18/2009	8/10/2009	2,085.03	1,961.56	123.47
75 SHARES OF NYSE EURONEXT	12/18/2009	6/16/2009	1,875.24	2,142.00	(266.76)
175 SHARES OF PACCAR INC	12/18/2009	8/10/2009	6,258.55	6,254.94	1.61
50 SHARES OF APACHE CORP	12/18/2009	6/16/2009	5,009.49	4,020.62	988.87
150 SHARES OF CONOCOPHILLIPS	12/18/2009	11/10/2009	7,463.89	8,004.42	(540.53)
75 SHARES OF COVIDIEN PLC	12/18/2009	8/10/2009	3,488.51	2,892.59	595.92
625 SHARES OF CHEVRON CORP	12/18/2009	6/16/2009	48,108.45	43,836.19	4,272.26
100 SHARES OF UNITEDHEALTH GROUP INC	12/18/2009	6/16/2009	3,145.53	2,412.00	733.53
200 SHARES OF VIRGIN MEDIA INC	12/18/2009	11/10/2009	3,357.25	3,200.28	156.97
50 SHARES OF WATERS CORP	12/18/2009	6/16/2009	3,005.73	2,401.75	603.98
50 SHARES OF FAMILY DOLLAR STORES INC	12/18/2009	8/10/2009	1,411.20	1,531.65	(120.45)
175 SHARES OF FAMILY DOLLAR STORES INC	12/18/2009	9/14/2009	4,939.21	4,742.50	196.71
125 SHARES OF C.H. ROBINSON WORLDWIDE INC	12/18/2009	6/16/2009	7,307.95	6,246.95	1,061.00
50 SHARES OF KIMBERLY CLARK CORP	12/29/2009	8/10/2009	3,225.41	2,882.62	342.79
55491.33 SHARES OF HARBOR MID CAP GROWTH FD-INS	7/13/2009	8/11/2008	297,433.53	458,913.30	(161,479.77)
3502.627 SHARES OF GOLDMAN SACHS MID CAP VALUE FD-I	9/24/2009	7/13/2009	96,777.58	79,369.52	17,408.06
5175.983 SHARES OF PERKINS MID CAP VALUE FUND CL T	9/24/2009	7/13/2009	97,722.56	82,971.01	14,751.55
2259.887 SHARES OF JANUS ENTERPRISE FUND CL T	9/24/2009	7/13/2009	97,762.71	82,101.69	15,661.02
8880.995 SHARES OF MANAGERS AMG TIMESSQUARE MID CAP GROWTH FD-IN	9/24/2009	7/13/2009	97,602.14	83,126.11	14,476.03

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-8003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
3078.515 SHARES OF PERKINS MID CAP VALUE FUND CL T	10/8/2009	3/23/2009	59,353.77	44,730.82	14,622.95
2584.831 SHARES OF PERKINS MID CAP VALUE FUND CL T	10/8/2009	7/13/2009	49,835.54	41,434.84	8,400.70
9639.64 SHARES OF MANAGERS AMG TIMESQUARE MID CAP GROWTH FD- IN	10/8/2009	7/13/2009	107,963.97	90,227.03	17,736.94
4400.716 SHARES OF GOLDMAN SACHS MID CAP VALUE FD-I	10/8/2009	7/13/2009	124,760.30	99,720.22	25,040.08
2524.673 SHARES OF JANUS ENTERPRISE FUND CL T	10/8/2009	7/13/2009	111,388.58	91,721.37	19,667.21
** TOTAL SHORT TERM CAPITAL GAIN (LOSS)			24,454,785.67	25,199,093.32	(744,307.65)
 ** LONG TERM CAPITAL GAIN (LOSS)					
200 SHARES OF EMERSON ELECTRIC CO	1/5/2009	12/26/2006	7,528.66	8,810.00	(1,281.34)
2390 SHARES OF PFIZER INC	1/26/2009	1/30/2006	37,465.90	61,948.80	(24,482.90)
2610 SHARES OF PFIZER INC	1/26/2009	12/26/2006	40,914.65	67,703.40	(26,788.75)
700 SHARES OF TRAVELERS COS INC	1/30/2009	12/26/2006	27,144.51	37,629.76	(10,485.25)
1350.274 SHARES OF BANK OF AMERICA CORP	2/17/2009	9/10/2001	6,707.85	42,745.06	(36,037.21)
2376.684 SHARES OF BANK OF AMERICA CORP	2/17/2009	5/2/2003	11,806.83	58,791.36	(46,984.53)
633.042 SHARES OF BANK OF AMERICA CORP	2/17/2009	6/4/2003	3,144.81	17,389.91	(14,245.10)
400 SHARES OF BANK OF AMERICA CORP	2/17/2009	1/30/2008	1,987.11	16,670.00	(14,682.89)
1330 SHARES OF DISNEY WALT CO	2/17/2009	12/26/2006	23,701.53	44,788.62	(21,087.09)
500 SHARES OF DISNEY WALT CO	2/17/2009	1/30/2008	8,910.35	14,700.00	(5,789.65)
1400 SHARES OF EMERSON ELECTRIC CO	2/17/2009	12/26/2006	41,981.56	61,670.00	(19,688.44)
700 SHARES OF HEWLETT-PACKARD CO	2/17/2009	12/26/2006	24,194.94	28,420.00	(4,225.06)
900 SHARES OF HEWLETT-PACKARD CO	2/17/2009	1/30/2008	31,107.79	38,862.00	(7,754.21)
300 SHARES OF PEPGIGG INC	2/17/2009	8/7/1995	15,438.87	6,390.00	9,048.87
1800 SHARES OF PFIZER INC	2/17/2009	1/30/2006	25,680.81	46,656.00	(20,975.19)
3570 SHARES OF PFIZER INC	2/17/2009	2/2/2006	50,933.62	92,021.75	(41,088.13)
290 SHARES OF PFIZER INC	2/17/2009	7/20/2006	4,137.46	6,846.90	(2,709.44)
80 SHARES OF PFIZER INC	2/17/2009	3/23/2007	1,141.37	2,051.20	(909.83)
1100 SHARES OF PFIZER INC	2/17/2009	1/30/2008	15,693.83	25,409.01	(9,715.18)
900 SHARES OF STATE STREET CORP COMMON	2/17/2009	11/13/2007	21,272.19	69,366.15	(48,093.96)
10 SHARES OF LOCKHEED MARTIN CORP	2/17/2009	3/23/2007	757.19	990.70	(233.51)
490 SHARES OF LOCKHEED MARTIN CORP	2/17/2009	5/31/2007	37,102.25	48,225.16	(11,122.91)
300 SHARES OF LOCKHEED MARTIN CORP	2/17/2009	1/30/2008	22,715.66	32,001.99	(9,286.33)
200 SHARES OF MASTERCARD INC CLASS A	2/17/2009	11/13/2007	30,740.60	37,587.22	(6,846.62)
1200 SHARES OF TJX COMPANIES INC	2/17/2009	8/20/2007	25,677.09	36,038.76	(10,361.67)
2000 SHARES OF YAHOO! INC	2/17/2009	2/11/2008	24,086.26	59,175.40	(35,089.14)
1500 SHARES OF PFIZER INC	3/10/2009	2/20/2008	19,453.24	33,386.85	(13,933.61)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
2100 SHARES OF CUMMINS ENGINE INC	3/19/2009	3/23/2007	51,368.86	78,955.69	(27,586.83)
1900 SHARES OF EMERSON ELECTRIC CO	3/19/2009	12/26/2008	52,540.97	83,695.00	(31,154.03)
2000 SHARES OF HEWLETT-PACKARD CO	3/19/2009	12/26/2008	58,328.47	81,200.00	(22,871.53)
1200 SHARES OF KROGER CO	3/19/2009	5/31/2007	24,880.66	36,643.68	(11,763.02)
930 SHARES OF PHILIP MORRIS INTERNATIONAL	3/19/2009	12/26/2008	34,728.97	41,823.36	(7,094.39)
70 SHARES OF PHILIP MORRIS INTERNATIONAL	3/19/2009	3/23/2007	2,614.01	3,211.36	(597.35)
400 SHARES OF PHILIP MORRIS INTERNATIONAL	3/19/2009	12/28/2007	14,937.20	21,238.81	(6,301.61)
300 SHARES OF PHILIP MORRIS INTERNATIONAL	3/19/2009	1/30/2008	11,202.90	15,878.67	(4,675.77)
2450 SHARES OF ALTRIA GROUP INC	4/8/2009	12/26/2008	39,908.31	48,359.14	(8,450.83)
70 SHARES OF ALTRIA GROUP INC	4/8/2009	3/23/2007	1,140.24	1,409.50	(269.26)
400 SHARES OF ALTRIA GROUP INC	4/8/2009	12/28/2007	6,515.64	9,321.95	(2,806.31)
300 SHARES OF ALTRIA GROUP INC	4/8/2009	1/30/2008	4,886.73	6,969.33	(2,082.60)
4800 SHARES OF TJX COMPANIES INC	4/8/2009	8/20/2007	127,135.92	144,155.04	(17,019.12)
300 SHARES OF MASTERCARD INC CLASS A	5/8/2009	11/13/2007	55,151.66	56,380.83	(1,229.17)
600 SHARES OF MCDONALDS CORP	5/8/2009	9/17/2007	32,850.53	33,051.24	(200.71)
200 SHARES OF MCDONALDS CORP	5/8/2009	1/30/2008	10,950.18	10,240.80	709.38
900 SHARES OF MOSAIC CO/THE	5/8/2009	11/13/2007	40,699.56	56,519.73	(15,820.17)
921 SHARES OF PROCTER & GAMBLE CO	5/8/2009	2/20/2008	47,254.09	60,800.74	(13,546.65)
600 SHARES OF GRAINGER W W INC	5/8/2009	3/13/2007	48,224.00	45,732.00	2,492.00
2070 SHARES OF HONEYWELL INTERNATIONAL INC	5/8/2009	12/26/2006	71,611.66	92,259.90	(20,648.24)
1600 SHARES OF JUNIPER NETWORKS INC	5/8/2009	8/20/2007	34,770.30	50,729.28	(15,958.98)
500 SHARES OF JP MORGAN CHASE & CO	5/8/2009	12/26/2006	19,064.50	24,005.00	(4,940.50)
1100 SHARES OF ACCENTURE LTD CL A	5/8/2009	9/6/2007	32,327.61	44,862.29	(12,534.68)
1603 683285 SHARES OF VALERO ENERGY CORP	6/15/2009	3/13/2007	27,684.31	98,482.19	(70,797.88)
117.917888 SHARES OF VALERO ENERGY CORP	6/15/2009	1/30/2008	2,035.61	7,234.26	(5,198.65)
1532.44 SHARES OF ALLSTATE CORP	6/15/2009	5/31/2007	37,384.60	94,231.11	(56,846.51)
117.88 SHARES OF ALLSTATE CORP	6/15/2009	1/30/2008	2,875.74	5,909.33	(3,033.59)
1591.603448 SHARES OF ACCENTURE LTD CL A	6/15/2009	9/6/2007	50,301.34	64,911.79	(14,610.45)
1296 862069 SHARES OF ACCENTURE LTD CL A	6/15/2009	12/11/2007	40,986.27	47,037.06	(6,050.79)
530 534483 SHARES OF ACCENTURE LTD CL A	6/15/2009	1/30/2008	16,767.11	18,117.75	(1,350.64)
2088.393635 SHARES OF KROGER CO	6/15/2009	3/23/2007	44,502.52	59,581.87	(15,079.35)
1023.132328 SHARES OF KROGER CO	6/15/2009	5/31/2007	21,802.39	31,242.78	(9,440.39)
481 474037 SHARES OF KROGER CO	6/15/2009	1/30/2008	10,259.95	12,263.14	(2,003.19)
5500 SHARES OF JUNIPER NETWORKS INC	6/16/2009	8/20/2007	127,608.27	174,381.90	(46,773.63)
600 SHARES OF JUNIPER NETWORKS INC	6/16/2009	1/30/2008	13,920.90	15,841.98	(1,921.08)
430 SHARES OF GRAINGER W W INC	6/16/2009	3/13/2007	35,059.27	32,774.60	2,284.67
2000 SHARES OF BAXTER INTL INC	6/16/2009	5/31/2007	96,407.52	113,830.60	(17,423.08)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
194 83871 SHARES OF MORGAN STANLEY	6/16/2009	6/28/2007	5,496.26	13,904.38	(8,408.12)
1152 827586 SHARES OF WAL-MART STORES INC	6/16/2009	5/13/2008	55,651.32	65,905.54	(10,254.22)
1152 SHARES OF EMERSON ELECTRIC CO	6/16/2009	12/26/2006	38,483.76	50,745.60	(12,261.84)
2540 SHARES OF OMNICOM GROUP	6/16/2009	12/26/2006	81,312.96	131,140.20	(49,827.24)
460 SHARES OF OMNICOM GROUP	6/16/2009	3/13/2007	14,725.97	24,122.88	(9,396.91)
200 SHARES OF OMNICOM GROUP	6/16/2009	3/23/2007	6,402.59	10,369.00	(3,966.41)
500 SHARES OF OMNICOM GROUP	6/16/2009	1/30/2008	16,006.49	22,355.00	(6,348.51)
1743 159574 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	3/13/2006	119,351.07	101,164.79	18,186.28
579 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	8/28/2006	39,643.11	34,108.89	5,534.22
18 478724 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	2/20/2007	1,265.20	1,258.95	6.25
307 978723 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	12/11/2007	21,086.76	24,499.03	(3,412.27)
246.382979 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	1/30/2008	16,869.41	18,232.35	(1,362.94)
1755.105391 SHARES OF AT&T INC	6/16/2009	12/26/2006	42,492.28	60,931.96	(18,439.68)
748 588235 SHARES OF TRAVELERS COS INC	6/24/2009	12/26/2006	30,543.71	40,241.71	(9,698.00)
619 133127 SHARES OF TRAVELERS COS INC	6/24/2009	2/20/2007	25,261.71	32,757.96	(7,496.25)
450.278638 SHARES OF TRAVELERS COS INC	6/24/2009	1/30/2008	18,372.16	21,915.06	(3,542.90)
5069 551724 SHARES OF SOUTHWEST AIRLINES	6/24/2009	2/20/2008	31,900.85	63,366.86	(31,466.01)
1520 SHARES OF PHILIP MORRIS INTERNATIONAL	6/24/2009	12/26/2006	63,562.18	68,356.47	(4,794.29)
412.65 SHARES OF BUNGE LIMITED	6/24/2009	12/11/2007	25,261.95	50,627.99	(25,366.04)
58 95 SHARES OF BUNGE LIMITED	6/24/2009	1/30/2008	3,608.85	6,720.89	(3,112.04)
176.85 SHARES OF BUNGE LIMITED	6/24/2009	2/20/2008	10,826.54	19,458.34	(8,631.80)
2476.645581 SHARES OF AMERISOURCEBERGEN CORP	6/25/2009	2/20/2008	45,496.79	53,887.09	(8,390.30)
1416.8 SHARES OF AVNET INC	6/25/2009	5/31/2007	29,576.64	60,562.96	(30,986.32)
123.2 SHARES OF AVNET INC	6/25/2009	1/30/2008	2,571.88	4,319.39	(1,747.51)
1300 SHARES OF MOSAIC CO/THE	6/29/2009	11/13/2007	60,209.25	81,639.61	(21,430.36)
2010 SHARES OF NORTHROP GRUMMAN CORP	6/29/2009	12/26/2006	93,718.46	136,519.20	(42,800.74)
733.026078 SHARES OF ENSCO INTERNATIONAL INC	6/29/2009	3/13/2007	25,958.61	38,062.84	(12,104.23)
184.796239 SHARES OF ENSCO INTERNATIONAL INC	6/29/2009	1/30/2008	6,544.18	9,426.45	(2,882.27)
2533 SHARES OF US BANCORP	6/29/2009	5/13/2008	46,074.08	86,789.19	(40,715.11)
628 078818 SHARES OF LOCKHEED MARTIN CORP	7/2/2009	12/26/2006	49,841.04	57,890.02	(8,048.98)
621.921182 SHARES OF LOCKHEED MARTIN CORP	7/2/2009	5/31/2007	49,352.41	61,208.68	(11,856.27)
389.206349 SHARES OF EMERSON ELECTRIC CO	7/2/2009	12/26/2006	11,853.06	16,263.54	(4,410.48)
30 793651 SHARES OF EMERSON ELECTRIC CO	7/2/2009	3/23/2007	988.60	1,353.69	(365.09)
648.56 SHARES OF AGCO CORP COM	7/2/2009	2/20/2008	18,419.93	40,820.76	(22,400.83)
294 8 SHARES OF AGCO CORP COM	7/2/2009	2/29/2008	8,372.69	19,137.03	(10,764.34)
1179 SHARES OF EXPRESS SCRIPTS	7/6/2009	5/13/2008	78,200.23	84,207.01	(6,006.78)
1121.212471 SHARES OF MICROSOFT CORP	7/6/2009	9/30/2002	25,928.04	24,649.07	1,278.97

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
3911 922633 SHARES OF MICROSOFT CORP	7/8/2009	10/16/2002	90,483.23	98,991.01	(8,527.78)
301 864896 SHARES OF MICROSOFT CORP	7/8/2009	7/20/2006	6,980.63	6,951.95	28.68
648 388889 SHARES OF FLUOR CORP NEW	7/13/2009	3/25/2008	30,457.61	45,658.83	(15,201.22)
1013 142857 SHARES OF CENTURYTEL INC	7/13/2009	2/20/2008	29,496.09	37,457.01	(7,960.92)
991 785156 SHARES OF DEVON ENERGY CORP	7/13/2009	12/26/2006	50,092.09	67,560.40	(17,468.31)
30.800781 SHARES OF DEVON ENERGY CORP	7/13/2009	2/20/2007	1,555.66	2,034.09	(478.43)
246.40625 SHARES OF DEVON ENERGY CORP	7/13/2009	12/11/2007	12,445.24	22,049.61	(9,604.37)
123 203125 SHARES OF DEVON ENERGY CORP	7/13/2009	1/30/2008	6,222.62	10,322.58	(4,099.96)
184.804688 SHARES OF DEVON ENERGY CORP	7/13/2009	7/7/2008	9,333.93	21,431.20	(12,097.27)
619 SHARES OF NORTHERN TRUST CORP	7/13/2009	6/17/2008	33,887.39	44,342.50	(10,455.11)
2893 990521 SHARES OF JP MORGAN CHASE & CO	7/13/2009	1/9/2006	95,618.75	117,544.92	(21,926.17)
106 976304 SHARES OF JP MORGAN CHASE & CO	7/13/2009	7/20/2006	3,534.55	4,590.35	(1,055.80)
174 540284 SHARES OF JP MORGAN CHASE & CO	7/13/2009	12/26/2006	5,766.88	8,379.68	(2,612.80)
619.336493 SHARES OF JP MORGAN CHASE & CO	7/13/2009	11/13/2007	20,463.16	27,737.48	(7,274.32)
619.336493 SHARES OF JP MORGAN CHASE & CO	7/13/2009	1/30/2008	20,463.16	29,146.54	(8,683.38)
436.241154 SHARES OF JOHNSON & JOHNSON	7/13/2009	9/3/2002	24,807.70	23,220.16	1,587.54
35.370904 SHARES OF JOHNSON & JOHNSON	7/13/2009	6/24/2003	2,011.43	1,899.82	111.61
88.427261 SHARES OF JOHNSON & JOHNSON	7/13/2009	8/26/2003	5,028.59	4,424.32	604.27
560 039318 SHARES OF JOHNSON & JOHNSON	7/13/2009	10/23/2003	31,847.72	28,241.60	3,606.12
324.23329 SHARES OF JOHNSON & JOHNSON	7/13/2009	2/24/2004	18,438.15	17,601.92	836.23
247.59633 SHARES OF JOHNSON & JOHNSON	7/13/2009	3/2/2004	14,080.05	13,151.80	928.25
64.846657 SHARES OF JOHNSON & JOHNSON	7/13/2009	7/20/2006	3,687.63	3,978.99	(291.36)
76.63696 SHARES OF JOHNSON & JOHNSON	7/13/2009	3/23/2007	4,358.11	4,635.00	(276.89)
247.59633 SHARES OF JOHNSON & JOHNSON	7/13/2009	1/30/2008	14,080.04	15,568.81	(1,488.77)
648.466579 SHARES OF JOHNSON & JOHNSON	7/13/2009	3/25/2008	36,876.31	41,878.36	(5,002.05)
353.709044 SHARES OF JOHNSON & JOHNSON	7/13/2009	4/14/2008	20,114.35	23,377.73	(3,263.38)
1414.836173 SHARES OF JOHNSON & JOHNSON	7/13/2009	5/13/2008	80,457.40	94,528.31	(14,070.91)
2770.608717 SHARES OF EXXON MOBIL CORP	7/13/2009	4/13/2000	180,106.14	111,395.71	68,710.43
406.748646 SHARES OF EXXON MOBIL CORP	7/13/2009	12/26/2006	26,441.11	30,820.77	(4,379.66)
35.369448 SHARES OF EXXON MOBIL CORP	7/13/2009	2/20/2007	2,299.23	2,637.14	(337.91)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31,2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
47 159262 SHARES OF EXXON MOBIL CORP	7/13/2009	3/13/2007	3,065.64	3,358.68	(293.04)
530 541713 SHARES OF EXXON MOBIL CORP	7/13/2009	8/20/2007	34,488.41	44,603.92	(10,115.51)
235 796318 SHARES OF EXXON MOBIL CORP	7/13/2009	12/28/2007	15,328.18	22,348.09	(7,019.91)
353 694474 SHARES OF EXXON MOBIL CORP	7/13/2009	1/30/2008	22,992.27	30,148.92	(7,156.65)
353 694475 SHARES OF EXXON MOBIL CORP	7/13/2009	2/20/2008	22,992.27	30,711.89	(7,719.62)
412 643554 SHARES OF EXXON MOBIL CORP	7/13/2009	3/25/2008	26,824.32	35,135.27	(8,310.95)
413 381818 SHARES OF GOLDMAN SACHS GROUP INC	7/17/2009	11/13/2007	64,779.19	94,988.86	(30,209.67)
236.218182 SHARES OF GOLDMAN SACHS GROUP INC	7/17/2009	11/13/2007	37,016.67	65,496.94	(28,480.27)
59.054545 SHARES OF GOLDMAN SACHS GROUP INC	7/17/2009	1/30/2008	9,254.17	11,515.04	(2,260.87)
585 459689 SHARES OF CONOCOPHILLIPS	7/17/2009	6/13/2002	24,990.11	16,398.20	8,591.91
1193.437058 SHARES OF CONOCOPHILLIPS	7/17/2009	6/26/2002	50,941.39	34,031.09	16,910.30
73 182461 SHARES OF CONOCOPHILLIPS	7/17/2009	7/20/2006	3,123.77	4,794.92	(1,671.15)
236 435643 SHARES OF CONOCOPHILLIPS	7/17/2009	7/25/2006	10,092.16	15,871.92	(5,779.76)
33.776521 SHARES OF CONOCOPHILLIPS	7/17/2009	2/20/2007	1,441.73	2,209.66	(767.93)
225 176803 SHARES OF CONOCOPHILLIPS	7/17/2009	1/30/2008	9,611.59	17,620.09	(8,008.50)
1013.295616 SHARES OF CONOCOPHILLIPS	7/17/2009	5/13/2008	43,252.12	89,457.28	(46,205.16)
800 428571 SHARES OF APPLE INC	7/17/2009	12/11/2007	121,002.32	156,555.50	(35,553.18)
61.571429 SHARES OF APPLE INC	7/17/2009	1/30/2008	9,307.87	8,038.77	1,269.10
1046.965517 SHARES OF MCDONALDS CORP	7/17/2009	12/26/2006	60,731.65	45,741.92	14,989.73
554.275862 SHARES OF MCDONALDS CORP	7/17/2009	8/20/2007	32,152.05	26,758.17	5,393.88
184 758621 SHARES OF MCDONALDS CORP	7/17/2009	1/30/2008	10,717.35	9,460.38	1,256.97
1290 SHARES OF PEPSICO INC	7/21/2009	9/7/1995	71,834.38	27,477.00	44,357.38
4563 SHARES OF PFIZER INC	7/21/2009	2/20/2006	71,044.08	101,562.80	(30,518.72)
3548.317808 SHARES OF HEWLETT-PACKARD CO	7/21/2009	6/28/2005	142,642.61	84,237.06	58,405.55
135.526028 SHARES OF HEWLETT-PACKARD CO	7/21/2009	7/20/2006	5,448.16	4,415.44	1,032.72
665.309589 SHARES OF HEWLETT-PACKARD CO	7/21/2009	12/26/2006	26,745.49	27,011.57	(266.08)
80 083561 SHARES OF HEWLETT-PACKARD CO	7/21/2009	3/13/2007	3,219.36	3,220.16	(0.80)
67 763014 SHARES OF HEWLETT-PACKARD CO	7/21/2009	3/23/2007	2,724.08	2,738.98	(14.90)
1562.21 UNITS OF BURLINGTON NORTH SANTA FE PASS THRU CERTS SER 02-2 5 14% 01/15/2021	1/15/2009	6/28/2005	1,562.21	1,612.98	(50.77)
7382 95 UNITS OF NEW VALLEY GENERATION IV TVA PASS THRU CERTS 4.687% 01/15/2022	1/15/2009	6/3/2005	7,382.95	7,481.96	(99.01)
95000 UNITS OF FEDERAL HOME LOAN BANK BONDS 6.5% 08/14/2009	1/27/2009	8/12/1999	97,802.50	91,737.70	6,064.80

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-8003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
500000 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP NOTES 4.02% 02/04/2013-2009	2/4/2009	1/28/2008	500,000.00	499,865.00	135.00
13836 385 UNITS OF TOYOTA MOTOR CREDIT CORP NOTES SER MTN 2.75% 08/06/2009	2/6/2009	4/13/2004	13,636.37	13,566.82	69.55
13836.385 UNITS OF TOYOTA MOTOR CREDIT CORP. NOTES SER MTN 2.75% 08/06/2009	2/6/2009	7/16/2004	13,636.36	13,467.27	169.09
4000 UNITS OF GENWORTH FINANCIAL INC NOTES 5.75% 06/15/2014	2/4/2009	9/20/2007	1,810.80	3,982.24	(2,171.44)
5000 UNITS OF GENWORTH FINANCIAL INC NOTES 5.75% 06/15/2014	2/4/2009	9/20/2007	2,210.00	4,977.80	(2,767.80)
116000 UNITS OF GENWORTH FINANCIAL INC NOTES 5.75% 06/15/2014	2/4/2009	9/20/2007	48,720.00	115,484.96	(66,764.96)
22727.5 UNITS OF FIFTH THIRD BANCORP NOTES SER BKNT 2.87% 08/10/2009	2/11/2009	2/24/2004	22,727.50	22,841.14	(113.64)
2272.75 UNITS OF FIFTH THIRD BANCORP NOTES SER BKNT 2.87% 08/10/2009	2/11/2009	7/28/2006	2,272.75	2,179.00	93.75
275000 UNITS OF FEDERAL HOME LOAN BANK BONDS 4.45% 02/12/2013- 2008	2/12/2009	1/29/2008	275,000.00	275,000.00	0.00
1601.20 UNITS OF BURLINGTON NORTH SANTA FE PASS THRU CERTS SER 02-2 5 14% 01/15/2021	2/25/2009	6/28/2005	1,601.20	1,653.24	(52.04)
225000 UNITS OF FEDERAL HOME LOAN BANK BONDS 4.6% 01/14/2015- 2010	3/18/2009	2/25/2008	228,965.62	224,662.50	4,303.12
25000 UNITS OF TEXTRON FINANCIAL CORP NOTES 5 125% 11/01/2010	3/17/2009	10/29/2007	16,877.50	24,975.25	(8,097.75)
95000 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN NOTES 5.55% 03/29/2019-2008	4/13/2009	3/25/2008	95,000.00	94,792.19	207.81
10000 UNITS OF CIT GROUP CO OF CANADA COMPANY GUARNT 4.65% 07/01/2010	4/24/2009	9/14/2007	8,050.00	9,225.00	(1,175.00)
20000 UNITS OF CIT GROUP CO OF CANADA COMPANY GUARNT 4.65% 07/01/2010	5/7/2009	9/14/2007	16,100.00	18,450.00	(2,350.00)
25000 UNITS OF STARBUCKS CORP SR UNSECURED 6.25% 08/15/2017	6/11/2009	11/6/2007	24,724.25	25,655.00	(930.75)
60000 UNITS OF CIT GROUP CO OF CANADA COMPANY GUARNT 4.65% 07/01/2010	6/12/2009	9/14/2007	54,000.00	55,350.00	(1,350.00)
75000 UNITS OF BOTTLING GROUP LLC COMPANY GUARNT 4.625% 11/15/2012	6/18/2009	12/6/2007	79,596.00	75,138.75	4,457.25
35000 UNITS OF SMITH INTERNATIONAL INC SENIOR NOTES 6% 06/15/2016	6/24/2009	8/31/2006	32,346.65	35,643.65	(3,297.00)
10000 UNITS OF SMITH INTERNATIONAL INC SENIOR NOTES 6% 06/15/2016	6/24/2009	11/30/2006	9,241.90	10,387.50	(1,145.60)
2040 95 UNITS OF BURLINGTON NORTH SANTA FE PASS THRU CERTS SER 02-2 5.14% 01/15/2021	7/16/2009	6/28/2005	2,040.95	2,107.28	(66.33)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
55000 UNITS OF STARBUCKS CORP SR UNSECURED 6.25% 08/15/2017	7/22/2009	10/17/2007	55,907.50	56,078.00	(170.50)
20000 UNITS OF STARBUCKS CORP SR UNSECURED 6.25% 08/15/2017	7/22/2009	11/8/2007	20,330.00	20,524.00	(194.00)
13836.38 UNITS OF TOYOTA MOTOR CREDIT CORP. NOTES SER MTN 2.75% 08/06/2009	8/6/2009	4/13/2004	13,637.88	13,566.82	71.04
13836.38 UNITS OF TOYOTA MOTOR CREDIT CORP. NOTES SER MTN 2.75% 08/06/2009	8/6/2009	7/16/2004	13,637.88	13,467.27	170.59
22725 UNITS OF FIFTH THIRD BANCORP NOTES SER BKNT 2.87% 08/10/2009	8/10/2009	2/24/2004	22,725.00	22,838.62	(113.62)
2272.5 UNITS OF FIFTH THIRD BANCORP NOTES SER BKNT 2.87% 08/10/2009	8/10/2009	7/28/2006	2,272.50	2,178.76	93.74
200000 UNITS OF US TREASURY BONDS 6.25% 08/15/2023	9/2/2009	4/7/2006	249,984.38	223,648.44	26,335.94
310000 UNITS OF US TREASURY BONDS 5.25% 11/15/2028	9/4/2009	8/1/2006	352,080.08	314,419.92	37,660.16
100000 UNITS OF SBC COMMUNICATIONS INC NOTES 4.125% 09/15/2009	9/15/2009	3/28/2008	100,000.00	100,762.00	(762.00)
55000 UNITS OF SBC COMMUNICATIONS INC NOTES 4.125% 09/15/2009	9/15/2009	6/3/2008	55,000.00	55,260.70	(260.70)
305000 UNITS OF US TREASURY NOTES 4.625% 07/31/2012	9/21/2009	8/30/2007	332,223.63	310,468.55	21,755.08
155000 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN NOTES 6.625% 11/15/2010	9/23/2009	5/14/2001	165,712.21	160,425.00	5,287.21
125000 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP NOTES 3.125% 10/25/2010	9/23/2009	9/3/2008	128,465.88	124,727.50	3,738.38
150000 UNITS OF US TREASURY BONDS 5.25% 11/15/2028	10/30/2009	8/1/2006	170,742.19	152,138.67	18,603.52
100000 UNITS OF FEDERAL FARM CREDIT BANK BONDS 3.375% 11/18/2011-2009	11/18/2009	11/13/2008	100,000.00	100,000.00	0.00
70000 UNITS OF WELLS FARGO COMPANY SR UNSECURED 5.625% 12/11/2017	12/7/2009	12/9/2007	72,650.99	69,708.80	2,942.19
40000 UNITS OF US TREASURY BONDS 5.25% 11/15/2028	12/22/2009	8/1/2006	43,637.50	40,570.31	3,067.19
6825 SHARES OF ISHARES MSCI EAFE INDEX FUND	3/2/2009	4/16/2004	224,036.19	320,138.00	(96,101.81)
4175 SHARES OF ISHARES MSCI EAFE INDEX FUND	3/2/2009	6/8/2004	137,047.78	196,392.00	(59,344.22)
3831 SHARES OF ISHARES MSCI EAFE INDEX FUND	3/6/2009	4/16/2004	123,179.36	179,699.44	(56,520.08)
4169 SHARES OF ISHARES MSCI EAFE INDEX FUND	3/6/2009	5/4/2004	134,047.19	194,593.49	(60,546.30)
3568 SHARES OF ISHARES MSCI EAFE INDEX FUND	3/6/2009	5/4/2004	114,120.41	166,541.04	(52,420.63)
4432 SHARES OF ISHARES MSCI EAFE INDEX FUND	3/6/2009	5/27/2004	141,754.95	205,323.33	(63,568.38)
2000 SHARES OF ISHARES MSCI EAFE INDEX FUND	7/17/2009	5/27/2004	93,757.58	92,654.93	1,102.65
2949.853 SHARES OF HARBOR INTL FD	10/13/2009	8/31/2007	158,112.12	204,395.31	(46,283.19)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
4365 541 SHARES OF HARBOR INTL FD	10/13/2009	9/6/2007	233,993.00	302,444.68	(68,451.68)
1980 924 SHARES OF HARBOR INTL FD	10/13/2009	9/11/2007	106,177.53	136,446.05	(30,268.52)
2436 355 SHARES OF HARBOR INTL FD	10/13/2009	9/11/2007	130,588.62	167,816.13	(37,227.51)
4631 47 SHARES OF HARBOR INTL FD	10/13/2009	10/5/2007	248,246.79	355,557.95	(107,311.16)
6522 306 SHARES OF HARBOR INTL FD	10/13/2009	11/30/2007	349,595.60	502,869.79	(153,274.19)
357 407 SHARES OF HARBOR INTL FD	10/13/2009	12/19/2007	19,157.02	24,675.36	(5,518.34)
116.72 SHARES OF HARBOR INTL FD	10/13/2009	12/19/2007	6,256.19	8,058.26	(1,802.07)
906 738 SHARES OF HARBOR INTL FD	10/13/2009	12/19/2007	48,601.16	62,601.20	(14,000.04)
5000 SHARES OF ISHARES MSCI EMERGING MARKETS INDEX	7/17/2009	12/27/2006	168,016.68	190,745.16	(22,728.48)
6000 SHARES OF ISHARES MSCI EMERGING MARKETS INDEX	9/2/2009	12/27/2006	210,138.59	228,894.20	(18,755.61)
5469 462 SHARES OF DREYFUS/THE BOSTON COMPANY SMALL/MID CAP GROWTH FD	9/25/2009	9/12/2008	59,726.53	70,063.81	(10,337.28)
9287 647 SHARES OF DREYFUS/THE BOSTON COMPANY SMALL/MID CAP GROWTH FD	10/8/2009	9/12/2008	104,300.27	118,974.75	(14,674.48)
3000 SHARES OF ISHARES S&P 500/BARRA GROWTH INDEX	7/2/2009	5/29/2008	140,749.48	204,315.00	(63,565.52)
589 810345 SHARES OF ACCENTURE LTD CL A	6/16/2009	9/6/2007	18,655.69	24,054.77	(5,399.08)
480 586207 SHARES OF ACCENTURE LTD CL A	6/16/2009	12/11/2007	15,200.93	17,430.81	(2,229.88)
196 603448 SHARES OF ACCENTURE LTD CL A	6/16/2009	1/30/2008	6,218.57	6,714.01	(495.44)
919.396552 SHARES OF SOUTHWEST AIRLINES	6/16/2009	2/20/2008	5,934.46	11,492.00	(5,557.54)
451.612903 SHARES OF MORGAN STANLEY	6/16/2009	6/28/2007	12,829.13	32,228.69	(19,399.56)
152.95 SHARES OF BUNGE LIMITED	6/16/2009	12/11/2007	9,488.15	18,765.42	(9,277.27)
21 85 SHARES OF BUNGE LIMITED	6/16/2009	1/30/2008	1,355.45	2,491.12	(1,135.67)
50.2 SHARES OF BUNGE LIMITED	6/16/2009	2/20/2008	3,114.12	5,523.38	(2,409.26)
491.103253 SHARES OF CONOCOPHILLIPS	6/16/2009	6/26/2002	21,307.19	14,003.91	7,303.28
56.817539 SHARES OF CONOCOPHILLIPS	6/16/2009	7/20/2006	2,465.11	3,722.68	(1,257.57)
183.564357 SHARES OF CONOCOPHILLIPS	6/16/2009	7/25/2006	7,964.19	12,322.68	(4,358.49)
26.223479 SHARES OF CONOCOPHILLIPS	6/16/2009	2/20/2007	1,137.74	1,715.54	(577.80)
174.823197 SHARES OF CONOCOPHILLIPS	6/16/2009	1/30/2008	7,584.95	13,679.91	(6,094.96)
786 704384 SHARES OF CONOCOPHILLIPS	6/16/2009	5/13/2008	34,132.26	69,453.02	(35,320.76)
786.857143 SHARES OF CENTURYTEL INC	6/16/2009	2/20/2008	24,844.29	29,090.97	(4,246.68)
325 SHARES OF EXPRESS SCRIPTS	6/16/2009	5/13/2008	20,150.78	23,212.28	(3,061.50)
125 SHARES OF FLUOR CORP NEW	6/16/2009	3/25/2008	6,420.33	8,802.36	(2,382.03)
50 SHARES OF GOLDMAN SACHS GROUP INC	6/16/2009	11/13/2007	7,249.81	13,863.66	(6,613.85)
100 SHARES OF GRAINGER W W INC	6/16/2009	3/13/2007	8,140.79	7,622.00	518.79
78 21327 SHARES OF JP MORGAN CHASE & CO	6/16/2009	7/20/2006	2,632.42	3,356.13	(723.71)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
135 459716 SHARES OF JP MORGAN CHASE & CO	6/16/2009	12/26/2006	4,559.16	6,503.42	(1,944.26)
480 663507 SHARES OF JP MORGAN CHASE & CO	6/16/2009	11/13/2007	16,177.66	21,526.90	(5,349.24)
480 663507 SHARES OF JP MORGAN CHASE & CO	6/16/2009	1/30/2008	16,177.66	22,620.45	(6,442.79)
239 782245 SHARES OF KROGER CO	6/16/2009	3/23/2007	5,147.37	6,840.99	(1,693.62)
360 217755 SHARES OF KROGER CO	6/16/2009	5/31/2007	7,732.73	10,999.75	(3,267.02)
100 648755 SHARES OF JOHNSON & JOHNSON	6/16/2009	4/14/2008	5,522.53	6,652.18	(1,129.65)
524 351245 SHARES OF JOHNSON & JOHNSON	6/16/2009	5/13/2008	28,770.83	35,033.07	(6,262.24)
15 8 SHARES OF AGCO CORP COM	6/16/2009	2/20/2008	429.82	994.46	(564.64)
109 2 SHARES OF AGCO CORP COM	6/16/2009	2/29/2008	2,970.62	7,088.75	(4,118.13)
568 36 SHARES OF ALLSTATE CORP	6/16/2009	5/31/2007	13,555.83	34,948.97	(21,393.14)
43 72 SHARES OF ALLSTATE CORP	6/16/2009	1/30/2008	1,042.76	2,191.68	(1,148.92)
786.206897 SHARES OF WAL-MART STORES INC	6/16/2009	5/13/2008	37,971.09	44,946.35	(6,975.26)
250 SHARES OF VALERO ENERGY CORP	6/16/2009	3/13/2007	4,314.91	15,352.50	(11,037.59)
1000 SHARES OF US BANCORP	6/16/2009	5/13/2008	18,005.33	34,263.40	(16,258.07)
581.411765 SHARES OF TRAVELERS COS INC	6/16/2009	12/26/2006	24,692.39	31,254.83	(6,562.44)
93 588235 SHARES OF TRAVELERS COS INC	6/16/2009	2/20/2007	3,974.66	4,951.70	(977.04)
224.44 SHARES OF AGCO CORP COM	6/30/2009	2/20/2008	6,526.86	14,126.39	(7,599.53)
112 SHARES OF EXPRESS SCRIPTS	6/30/2009	5/13/2008	7,685.24	7,999.31	(314.07)
161.674967 SHARES OF JOHNSON & JOHNSON	6/30/2009	9/3/2002	9,177.52	8,605.60	571.92
13 108781 SHARES OF JOHNSON & JOHNSON	6/30/2009	6/24/2003	744.12	704.10	40.02
120 163827 SHARES OF JOHNSON & JOHNSON	6/30/2009	2/24/2004	6,821.13	6,523.42	297.71
40 089122 SHARES OF JOHNSON & JOHNSON	6/30/2009	3/2/2004	2,275.66	2,129.45	146.21
24.032766 SHARES OF JOHNSON & JOHNSON	6/30/2009	7/20/2006	1,364.23	1,474.65	(110.42)
28.402359 SHARES OF JOHNSON & JOHNSON	6/30/2009	3/23/2007	1,612.27	1,717.78	(105.51)
91.761468 SHARES OF JOHNSON & JOHNSON	6/30/2009	1/30/2008	5,268.86	5,769.94	(501.08)
240.327654 SHARES OF JOHNSON & JOHNSON	6/30/2009	3/25/2008	13,642.26	15,520.51	(1,878.25)
30 439056 SHARES OF JOHNSON & JOHNSON	6/30/2009	4/14/2008	1,727.88	2,011.81	(283.93)
960 SHARES OF SOUTHWEST AIRLINES	6/30/2009	2/20/2008	6,441.43	11,999.52	(5,558.09)
250 SHARES OF PFIZER INC	6/30/2009	2/20/2008	3,749.90	5,564.48	(1,814.58)
131 SHARES OF NORTHERN TRUST CORP	8/10/2009	6/17/2008	8,005.75	9,384.28	(1,378.53)
344.252199 SHARES OF VALERO ENERGY CORP	8/10/2009	3/13/2007	6,339.79	21,140.53	(14,800.74)
43.695015 SHARES OF VALERO ENERGY CORP	8/10/2009	1/30/2008	804.69	2,680.69	(1,876.00)
87.410618 SHARES OF EXXON MOBIL CORP	8/10/2009	12/28/2007	6,055.42	8,284.52	(2,229.10)
62.589382 SHARES OF EXXON MOBIL CORP	8/10/2009	2/20/2008	4,335.91	5,434.74	(1,098.83)
75 SHARES OF FLUOR CORP NEW	8/10/2009	3/25/2008	4,304.88	5,281.42	(976.54)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
175 SHARES OF VERIZON COMMUNICATIONS	9/14/2009	8/12/2008	5,424.86	6,077.26	(652.40)
50 71506 SHARES OF EXXON MOBIL CORP	9/14/2009	12/26/2006	3,548.47	3,842.86	(294.39)
196 673889 SHARES OF EXXON MOBIL CORP	9/14/2009	8/20/2007	13,761.02	16,534.85	(2,773.83)
131.115926 SHARES OF EXXON MOBIL CORP	9/14/2009	1/30/2008	9,174.01	11,176.32	(2,002.31)
68 526544 SHARES OF EXXON MOBIL CORP	9/14/2009	2/20/2008	4,794.71	5,950.28	(1,155.57)
152 968581 SHARES OF EXXON MOBIL CORP	9/14/2009	3/25/2008	10,703.01	13,024.79	(2,321.78)
675 SHARES OF AMERISOURCEBERGEN CORP	10/13/2009	2/20/2008	15,288.35	14,686.72	601.63
360.074756 SHARES OF EXXON MOBIL CORP	10/13/2009	4/13/2000	25,132.75	14,477.25	10,655.50
100 068255 SHARES OF EXXON MOBIL CORP	10/13/2009	12/26/2006	6,984.63	7,582.52	(597.89)
13 111593 SHARES OF EXXON MOBIL CORP	10/13/2009	2/20/2007	915.17	977.61	(62.44)
17 482124 SHARES OF EXXON MOBIL CORP	10/13/2009	3/13/2007	1,220.23	1,245.07	(24.84)
1441 SHARES OF PFIZER INC	10/13/2009	2/20/2008	24,309.04	32,073.63	(7,764.59)
40.166667 SHARES OF FLUOR CORP NEW	10/13/2009	3/25/2008	1,921.25	2,828.49	(907.24)
82.727273 SHARES OF GOLDMAN SACHS GROUP INC	10/13/2009	11/13/2007	15,360.40	19,009.47	(3,649.07)
37.272727 SHARES OF GOLDMAN SACHS GROUP INC	10/13/2009	11/13/2007	6,920.62	10,334.72	(3,414.10)
25 SHARES OF GRAINGER W W INC	10/13/2009	3/13/2007	2,341.43	1,905.50	435.93
98 327654 SHARES OF JOHNSON & JOHNSON	10/13/2009	10/23/2003	5,986.40	4,958.46	1,027.94
51 672346 SHARES OF JOHNSON & JOHNSON	10/13/2009	3/2/2004	3,145.92	2,744.73	401.19
1045 189574 SHARES OF JP MORGAN CHASE & CO	10/13/2009	1/9/2006	47,146.34	42,452.36	4,693.98
4 810426 SHARES OF JP MORGAN CHASE & CO	10/13/2009	7/20/2006	216.99	206.42	10.57
325 SHARES OF KROGER CO	10/13/2009	3/23/2007	7,367.56	9,272.25	(1,904.69)
300 SHARES OF LEGGETT & PLATT INC	10/13/2009	8/19/2008	5,747.85	6,139.32	(391.47)
175 SHARES OF GONGGOPHILLIPS	10/13/2009	6/26/2002	6,898.59	4,990.16	3,908.43
15 35 SHARES OF BUNGE LIMITED	10/13/2009	2/20/2008	987.04	1,688.92	(701.88)
1362.894609 SHARES OF AT&T INC	10/13/2009	12/26/2006	35,251.44	47,315.58	(12,064.14)
375 SHARES OF TRAVELERS COS INC	10/13/2009	2/20/2007	18,133.52	19,841.02	(1,707.50)
475 SHARES OF US BANCORP	10/13/2009	5/13/2008	10,777.47	16,275.12	(5,497.65)
375 SHARES OF VERIZON COMMUNICATIONS	10/13/2009	8/12/2008	10,825.97	13,022.70	(2,196.73)
175 SHARES OF DOMINION RESOURCES INC /VA	10/13/2009	9/3/2008	6,070.59	7,468.32	(1,397.73)
175 SHARES OF VERIZON COMMUNICATIONS	10/30/2009	8/12/2008	5,187.96	6,077.26	(889.30)
175 SHARES OF EXXON MOBIL CORP	11/10/2009	4/13/2000	12,697.44	7,036.09	5,661.35
112 SHARES OF BUNGE LIMITED	11/10/2009	10/17/2008	6,534.88	4,807.14	1,727.74
191 SHARES OF VERIZON COMMUNICATIONS	11/10/2009	8/12/2008	5,777.60	6,632.90	(855.30)
125 SHARES OF COMCAST CORP CL A	12/18/2009	12/8/2008	2,155.44	2,076.98	78.46
172 SHARES OF DOMINION RESOURCES INC /VA	12/18/2009	9/3/2008	6,707.32	7,340.29	(632.97)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
25 SHARES OF APPLE INC	6/16/2009	12/11/2007	3,429.91	4,889.74	(1,459.83)
775 SHARES OF AMERISOURCEBERGEN CORP	6/16/2009	2/20/2008	13,803.48	18,862.52	(3,059.04)
518.586207 SHARES OF ACCENTURE LTD CL A	6/16/2009	9/8/2007	16,402.87	21,149.97	(4,747.10)
422 551724 SHARES OF ACCENTURE LTD CL A	6/16/2009	12/11/2007	13,385.30	15,325.91	(1,960.61)
33 862069 SHARES OF ACCENTURE LTD CL A	6/16/2009	1/30/2008	1,071.06	1,158.39	(85.33)
1350 SHARES OF PEPSICO INC	6/16/2009	9/7/1995	70,876.55	28,754.99	42,121.56
1486 SHARES OF PFIZER INC	6/16/2009	2/20/2008	21,085.79	33,075.24	(11,989.45)
153.548387 SHARES OF MORGAN STANLEY	6/16/2009	6/28/2007	4,361.91	10,957.76	(6,595.85)
189.034483 SHARES OF MCDONALDS CORP	6/16/2009	12/26/2006	10,778.47	8,258.92	2,519.55
345.724138 SHARES OF MCDONALDS CORP	6/16/2009	8/20/2007	18,712.68	16,690.14	3,022.54
115.241379 SHARES OF MCDONALDS CORP	6/16/2009	1/30/2008	6,570.89	5,900.82	670.07
134.4 SHARES OF BUNGE LIMITED	6/16/2009	12/11/2007	8,337.41	16,489.52	(8,152.11)
19.2 SHARES OF BUNGE LIMITED	6/16/2009	1/30/2008	1,191.06	2,188.99	(997.93)
57.6 SHARES OF BUNGE LIMITED	6/16/2009	2/20/2008	3,573.18	6,337.58	(2,764.40)
406.840426 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	3/13/2006	27,881.12	23,611.11	4,270.01
361 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	8/28/2006	24,739.63	21,266.51	3,473.12
11.521276 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	2/20/2007	789.56	784.95	4.61
192.021277 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	12/11/2007	13,159.38	15,274.87	(2,115.49)
153.617021 SHARES OF COLGATE-PALMOLIVE CO	6/16/2009	1/30/2008	10,527.50	11,367.65	(840.15)
454.414083 SHARES OF DEVON ENERGY CORP	6/16/2009	12/26/2006	28,569.77	30,954.69	(2,384.92)
153.59375 SHARES OF DEVON ENERGY CORP	6/16/2009	12/11/2007	9,656.70	13,744.31	(4,087.61)
76 796875 SHARES OF DEVON ENERGY CORP	6/16/2009	1/30/2008	4,828.35	6,434.42	(1,606.07)
450 SHARES OF EMERSON ELECTRIC CO	6/16/2009	12/26/2006	15,017.19	19,822.50	(4,805.31)
294.592476 SHARES OF ENSCO INTERNATIONAL INC	6/16/2009	3/13/2007	11,314.80	15,296.92	(3,982.12)
150 SHARES OF FLUOR CORP NEW	6/16/2009	3/25/2008	7,704.40	10,562.84	(2,858.44)
25 SHARES OF GOLDMAN SACHS GROUP INC	6/16/2009	11/13/2007	3,624.90	6,931.82	(3,306.92)
508 350083 SHARES OF KROGER CO	6/16/2009	3/23/2007	10,912.67	14,503.23	(3,590.56)
316.649917 SHARES OF KROGER CO	6/16/2009	5/31/2007	6,797.48	9,669.35	(2,871.87)
211.921182 SHARES OF LOCKHEED MARTIN CORP	6/16/2009	12/26/2006	17,357.78	19,532.78	(2,175.00)
388.078818 SHARES OF LOCKHEED MARTIN CORP	6/16/2009	5/31/2007	31,786.29	38,194.21	(6,407.92)
1651.051724 SHARES OF SOUTHWEST AIRLINES	6/16/2009	2/20/2008	10,657.10	20,637.32	(9,980.22)
1908 682192 SHARES OF HEWLETT-PACKARD CO	6/16/2009	6/28/2005	70,443.63	45,312.12	25,131.51
84.473972 SHARES OF HEWLETT-PACKARD CO	6/16/2009	7/20/2006	3,117.68	2,752.16	365.52
414.690411 SHARES OF HEWLETT-PACKARD CO	6/16/2009	12/26/2006	15,304.96	16,836.43	(1,531.47)
49.916439 SHARES OF HEWLETT-PACKARD CO	6/16/2009	3/13/2007	1,842.26	2,007.14	(164.88)

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31,2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
42 236986 SHARES OF HEWLETT- PACKARD CO	6/16/2009	3/23/2007	1,558.84	1,707.22	(148.38)
211.2 SHARES OF AGCO CORP COM	6/16/2009	2/20/2008	5,745.38	13,293.05	(7,547.67)
96 SHARES OF AGCO CORP COM	6/16/2009	2/29/2008	2,611.54	6,231.87	(3,620.33)
499.2 SHARES OF ALLSTATE CORP	6/16/2009	5/31/2007	11,906.31	30,696.26	(18,789.95)
38.4 SHARES OF ALLSTATE CORP	6/16/2009	1/30/2008	915.87	1,924.99	(1,009.12)
300 SHARES OF WAL-MART STORES INC	6/16/2009	5/13/2008	14,488.96	17,150.58	(2,661.62)
522.064516 SHARES OF VALERO ENERGY CORP	6/16/2009	3/13/2007	9,010.65	32,059.98	(23,049.33)
38 387097 SHARES OF VALERO ENERGY CORP	6/16/2009	1/30/2008	662.55	2,355.05	(1,692.50)
725 SHARES OF AVNET INC	6/16/2009	5/31/2007	14,882.70	30,991.07	(16,108.37)
800 SHARES OF MICROSOFT CORP	8/10/2009	10/16/2002	18,683.43	20,243.96	(1,560.53)
50 SHARES OF WAL-MART STORES INC	8/10/2009	5/13/2008	2,459.43	2,858.43	(399.00)
498 793651 SHARES OF EMERSON ELECTRIC CO	8/10/2009	12/26/2006	17,781.44	21,971.86	(4,190.42)
19,206349 SHARES OF EMERSON ELECTRIC CO	8/10/2009	3/23/2007	684.68	844.31	(159.63)
384 SHARES OF EXPRESS SCRIPTS	8/10/2009	5/13/2008	26,060.30	27,426.20	(1,365.90)
300 SHARES OF HEWLETT-PACKARD CO	8/10/2009	6/28/2005	13,044.68	7,122.00	5,922.68
90 SHARES OF APPLE INC	9/14/2009	12/11/2007	15,520.13	17,603.06	(2,082.93)
3 SHARES OF HEWLETT-PACKARD CO	9/14/2009	6/28/2005	137.27	71.22	66.05
76.793068 SHARES OF EXXON MOBIL CORP	9/14/2009	12/28/2007	5,373.11	7,278.23	(1,905.12)
8.017335 SHARES OF EXXON MOBIL CORP	9/14/2009	1/30/2008	560.96	683.40	(122.44)
115 189599 SHARES OF EXXON MOBIL CORP	9/14/2009	2/20/2008	8,059.67	10,002.11	(1,942.44)
350 SHARES OF MICROSOFT CORP	9/14/2009	10/16/2002	8,736.09	8,856.73	(120.64)
210 SHARES OF APPLE INC	10/13/2009	12/11/2007	39,931.44	41,073.82	(1,142.38)
31 129516 SHARES OF AMERISOURCEBERGEN CORP	10/13/2009	2/20/2008	705.06	677.32	27.74
168 870484 SHARES OF AMERISOURCEBERGEN CORP	10/13/2009	8/12/2008	3,824.82	3,624.12	200.70
110.965517 SHARES OF WAL-MART STORES INC	10/13/2009	5/13/2008	5,563.44	6,343.74	(780.30)
132.468039 SHARES OF EXXON MOBIL CORP	10/13/2009	12/26/2006	9,246.10	10,037.56	(791.46)
11.518959 SHARES OF EXXON MOBIL CORP	10/13/2009	2/20/2007	804.01	858.85	(54.84)
172.784398 SHARES OF EXXON MOBIL CORP	10/13/2009	8/20/2007	12,060.13	14,526.39	(2,466.26)
107 172265 SHARES OF EXXON MOBIL CORP	10/13/2009	1/30/2008	7,480.48	9,135.36	(1,654.88)
134.387865 SHARES OF EXXON MOBIL CORP	10/13/2009	3/25/2008	9,380.10	11,442.70	(2,062.60)
225 SHARES OF PEPSICO INC	10/13/2009	9/7/1995	13,687.38	4,792.50	8,894.88
75 SHARES OF QUALCOMM INC	10/13/2009	8/22/2008	3,103.42	4,158.62	(1,055.20)
61.444444 SHARES OF FLUOR CORP NEW	10/13/2009	3/25/2008	2,939.01	4,326.85	(1,387.84)
68.490909 SHARES OF GOLDMAN SACHS GROUP INC	10/13/2009	11/13/2007	12,717.06	15,738.17	(3,021.11)
51.509091 SHARES OF GOLDMAN SACHS GROUP INC	10/13/2009	11/13/2007	9,563.96	14,282.08	(4,718.12)
75 SHARES OF GRAINGER W W INC	10/13/2009	3/13/2007	7,024.31	5,716.50	1,307.81
100 SHARES OF DEVON ENERGY CORP	10/13/2009	12/26/2006	6,953.38	6,812.00	141.38

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
FORM 990-PF, PAGE 3, PART IV - CAPITAL GAINS AND LOSSES
YEAR ENDED DECEMBER 31, 2009

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
162 382446 SHARES OF ENSCO INTERNATIONAL INC	10/13/2009	3/13/2007	7,544.57	8,431.82	(887.25)
115 203761 SHARES OF ENSCO INTERNATIONAL INC	10/13/2009	1/30/2008	5,352.56	5,876.55	(523.99)
7 136304 SHARES OF JOHNSON & JOHNSON	10/13/2009	7/20/2006	434.48	437.88	(3.40)
80.642202 SHARES OF JOHNSON & JOHNSON	10/13/2009	1/30/2008	4,909.67	5,070.77	(161.10)
211.205767 SHARES OF JOHNSON & JOHNSON	10/13/2009	3/25/2008	12,858.66	13,639.79	(781.13)
115 203145 SHARES OF JOHNSON & JOHNSON	10/13/2009	4/14/2008	7,013.81	7,614.14	(600.33)
460.812582 SHARES OF JOHNSON & JOHNSON	10/13/2009	5/13/2008	28,055.25	30,787.90	(2,732.65)
125 SHARES OF KROGER CO	10/13/2009	3/23/2007	2,833.67	3,566.25	(732.58)
75 SHARES OF ACCENTURE PLC CL A	10/13/2009	1/30/2008	2,920.42	2,561.25	359.17
172 588235 SHARES OF AMGEN INC	10/13/2009	8/22/2008	10,204.66	11,030.65	(825.99)
125 SHARES OF AVNET INC	10/13/2009	5/31/2007	3,306.91	5,343.28	(2,036.37)
325 SHARES OF COLGATE-PALMOLIVE CO	10/13/2009	3/13/2006	25,525.10	18,861.47	6,663.63
75 SHARES OF LOCKHEED MARTIN CORP	10/13/2009	12/26/2006	5,567.10	6,912.75	(1,345.65)
225 SHARES OF MCDONALDS CORP	10/13/2009	12/26/2006	12,810.92	9,830.25	2,980.67
1075 SHARES OF MICROSOFT CORP	10/13/2009	10/18/2002	27,658.28	27,202.83	455.45
64 SHARES OF ACCENTURE PLC CL A	11/10/2009	1/30/2008	2,517.40	2,185.60	331.80
50 SHARES OF MCDONALDS CORP	11/10/2009	12/26/2006	3,105.71	2,184.50	921.21
105 SHARES OF QUALCOMM INC	11/10/2009	8/22/2008	4,658.77	5,822.07	(1,163.30)
34 SHARES OF BUNGE LIMITED	11/10/2009	10/17/2008	1,983.80	1,459.31	524.49
130.318527 SHARES OF EXXON MOBIL CORP	11/10/2009	4/13/2000	9,455.50	5,239.62	4,215.88
15.358614 SHARES OF EXXON MOBIL CORP	11/10/2009	3/13/2007	1,114.37	1,093.85	20.52
250 SHARES OF WAL-MART STORES INC	11/10/2009	10/17/2008	13,045.84	13,484.95	(439.11)
375 SHARES OF EXXON MOBIL CORP	12/18/2009	4/13/2000	25,509.09	15,077.35	10,431.74
139 SHARES OF WAL-MART STORES INC	12/18/2009	10/17/2008	7,314.67	7,497.63	(182.96)
75 SHARES OF COLGATE-PALMOLIVE CO	12/18/2009	3/13/2006	6,128.91	4,352.65	1,776.26
1000 SHARES OF STANDARD & POORS MIDCAP DEP REC SPDRS	3/5/2009	2/14/2007	75,211.08	155,850.00	(80,638.92)
1000 SHARES OF STANDARD & POORS MIDCAP DEP REC SPDRS	3/5/2009	2/14/2007	75,211.07	155,850.00	(80,638.93)
** TOTAL LONG TERM CAPITAL GAIN (LOSS)			13,437,266.95	16,370,027.99	(2,932,761.04)

Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List

<p>Br. Bernard Ploeger President Chaminade University of Honolulu 3140 Waiialae Avenue Honolulu, HI 96816-1578</p>	<p>Support for the Castle Colleagues Pre-School Directors Management Training Program for Academic Year 2009-2010</p>	<p>70,000</p>
<p>Br. Bernard Ploeger President Chaminade University of Honolulu 3140 Waiialae Avenue Honolulu, HI 96816-1578</p>	<p>Sue Wesselkamper Memorial Scholarship Fund</p>	<p>5,000</p>
<p>Liz Chun Executive Director Good Beginnings Alliance 33 S. King Street, Suite 200 Honolulu, HI 96813</p>	<p>State of Hawaii Early Education Summit (Oct, 2009)</p>	<p>10,000</p>
<p>Good Beginnings Alliance (Maui) Liz Chun Executive Director Good Beginnings Alliance 33 S. King Street, Suite 200 Honolulu, HI 96813</p>	<p>Makawao School Supplemental Request</p>	<p>5,000</p>
<p>Stephanie McGencey Executive Director Grantmakers for Children, Youth & Families 8757 Georgia Avenue, Suite 540 Silver Spring, MD 20910</p>	<p>Program Support</p>	<p>3,000</p>
<p>Susan Maddox Executive Team Leader Friends of the Future P.O. Box 2655 Kamuela, HI 96743</p>	<p>Teacher Training Conference</p>	<p>5,000</p>

**Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List**

<p>Robert Whiting Head of School Holy Nativity School 5286 Kalaniana'ole Hwy. Honolulu, HI 96821-1883</p>	<p>Capital Campaign/ Pre-School Facility Planning</p>	<p>25,000</p>
<p>Louise King Lanzilotti Managing Director Honolulu Theatre for Youth 229 Queen Emma Sq Honolulu, HI 96813-2304</p>	<p>Program Support</p>	<p>10,000</p>
<p>Karen Jayne Executive Director Imua Family Services 95 Mahalani Steet, Suite 19A Wailuku, HI 96793</p>	<p>3rd year of program support</p>	<p>25,000</p>
<p>Pualani Colburn School Director Ka Hale O Na Keiki Preschool 45-3668 Honoka'a-Waipio Road Honoka'a, HI 96727</p>	<p>Capital Improvements to Pre- School</p>	<p>10,000</p>
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<p>Sister Joan of Arc Souza, OSF President Saint Francis School 2707 Pamoia Rd Honolulu, HI 96822</p>	<p>Planning Grant for Facilities Upgrade</p>	<p>25,000</p>
<p>David Gaudi, Jr. Principal Saint Mark Lutheran Church and School 45-725 Kamehameha Highway Kaneohe, HI 96744</p>	<p>Planning for Pre-School Center</p>	<p>25,000</p>

Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List

<p>Rev. Prof. Gregory Johnson Priest-in-Charge St. Timothy's Children's Center, Inc. 98-939 Moanalua Road Aiea, HI 96701</p>	<p>Planning for Renovation and Reopening of Pre-School in Aiea</p>	<p>25,000</p>
<p>Gaylord Kubota Director Alexander & Baldwin Sugar Museum P.O. Box 125 Puunene, Maui, HI 96784</p>	<p>Support for Education Coordinator and Exhibit Improvement Program</p>	<p>15,000</p>
<p>Bernard Ho President and CEO Damien Memorial School 1401 Houghtailing Street Honolulu, HI 96817-2797</p>	<p>Bleacher Replacement for School Gymnasium</p>	<p>10,000</p>
<p>Sandra Theunick Head of School St. Andrew's Priory School 224 Queen Emma Square Honolulu, HI 96813</p>	<p>Professional Training for School Administration</p>	<p>25,000</p>
<p>Joseph Schmidt Headmaster Seabury Hall 480 Olinda Road Makawao, HI 96768</p>	<p>Memorial Gift in Honor of John C. Baldwin</p>	<p>2,500</p>
<p>Caroline Killhour Managing Director/Programs Director Hui No'eau Visual Arts Center 2841 Baldwin Avenue Makawao, HI 96768</p>	<p>Memorial Gift in Honor of John C. Baldwin</p>	<p>2,500</p>

Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List

<p>Mike Morris Executive Director Maui Family YMCA 250 Kanaloa Avenue Kahului, HI 96732</p>	<p>Memorial Gift in Honor of John C. Baldwin</p>	<p>2,500</p>
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<p>Karen Fischer President and CEO Maui Arts & Cultural Center One Cameron Way Kahului, HI 96732-1137</p>	<p>Memorial Gift in Honor of John C. Baldwin</p>	<p>2,500</p>
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<p>Br. Bernard Ploeger President Chaminade University of Honolulu 3140 Waiialae Avenue Honolulu, HI 96816-1578</p>	<p>Early Education Student Scholarships</p>	<p>35,000</p>
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<p>Jan Harada Executive Director Palama Settlement 810 North Vineyard Blvd. Honolulu, HI 96817</p>	<p>Plans for Renovation of Facilities/ Facility Mapping</p>	<p>12,500</p>
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<p>Karen Green Managing Director Family Foundation Services Council on Foundations 2121 Crystal Drive, Suite 700 Arlington, VA 22202</p>	<p>Program Support</p>	<p>4,700</p>
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<p>Vicki Draeger Director of Early Childhood Programs Keiki O Ka Aina Family Learning Centers 3097 Kalihi Street Honolulu, HI 96819</p>	<p>Construction of Pre-School Playground</p>	<p>10,000</p>
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**Samuel N. & Mary Castle Foundation
 TIN: 99-6003321
 2009 Grants List**

<p>Steven Albert Executive Director Rainbow School 56-463 Kamehameha Hwy. Kahuku, HI 96731-2201</p>	<p>Construction of Playground at Kaneohe Pre-School Site</p>	<p>16,500</p>
<p>Gloria Lau Executive Director YWCA of Hawai'i Island 145 Ululani Street Hilo, HI 96720</p>	<p>Pre-School Expansion Project</p>	<p>25,000</p>
<p>Reverend James Tweedie Executive Director Mililani Presbyterian Church Pre-School 95-410 Kuahelani Avenue Mililani, HI 96789</p>	<p>Renovation of Pre-School Facility</p>	<p>25,000</p>
<p>Katy Chen Executive Director PATCH (People Attentive to Children) 650 Iwilei Road, Suite 205 Honolulu, HI 96817-5318</p>	<p>Tuition Assistance for Low- Income Pre-School Students</p>	<p>25,000</p>
<p>Karen Tiller Executive Director Hawaii Opera Theatre 848 Beretania Street, Suite 301 Honolulu, HI 96813</p>	<p>Education Programs for School Year 2009/2010 (to be final year of the foundation's support)</p>	<p>5,000</p>
<p>Karen Fischer President and CEO Maui Arts & Cultural Center One Cameron Way Kahului, HI 96732-1137</p>	<p>Early Childhood Creative Writing Project</p>	<p>10,000</p>

Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List

<p>Kelvin Taketa President Hawaii Community Foundation 1164 Bishop Street, Suite 800 Honolulu, HI 96813</p>	<p>Non-Profit Finance Fund Seminars: "Managing in Difficult Times"</p>	<p>5,446</p>
<p>Carolyn Moore Principal Doris Todd Memorial Christian School 519 Baldwin Avenue Paia, HI 96779</p>	<p>Memorial Gift in Honor of John C. Baldwin</p>	<p>2,500</p>
<p>Timothy E. Johns President & CEO Bishop Museum 1525 Bernice Street Honolulu, HI 96817-2704</p>	<p>New Planetarium Program for Children</p>	<p>15,000</p>
<p>Ann Bergman Principal Emmanuel Lutheran School 520 West One Street Kahului, HI 96732</p>	<p>Pre-School Construction</p>	<p>150,000</p>
<p>Reverend James Reid Senior Pastor</p>	<p>Early Education/Youth Center Building Renovation</p>	<p>50,000</p>
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<p>Friendship Bible Church (Friendship Christian Schools) 91-1130 Renton Road Ewa Beach, HI 96706</p>	<p>Pre-School and Kindergarten Expansion Project</p>	<p>25,000</p>

Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List

Rev. Sidney Sumida Grace Bible Church West Oahu P.O. Box 1616 Pearl City, HI 96782	Construction of Early Education Building	50,000
Robert Whiting Head of School Holy Nativity School 5286 Kalaniana'ole Hwy. Honolulu, HI 96821-1883	Construction of Early Childhood Education Center	125,000
Raymond Sanborn President Kama'aina Care, Inc. 156C Hamakua Drive Kailua, HI 96734	Capital Improvements Project	50,000
Daniel Moore Principal Kauai Christian Academy P.O. Box 1121 4000 Kilauea Road Kilauea, HI 96754	Pre-School Classroom Construction	25,000
Rick Bartosik Chairman of the Board Mililani Community Church 95-801 Kipapa Drive, Room 2 Mililani, HI 96789	Construction of Pre-School	50,000
George Evensen Principal Our Savior Lutheran School 98-1098 Moanalua Road Aiea, HI 96701	Construction of New Early Education Facility	75,000

Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List

<p>Rev. Prof. Gregory Johnson Priest-in-Charge St. Timothy's Children's Center, Inc. 98-939 Moanalua Road Aiea, HI 96701</p>	<p>Facility Renovation for the Re-Opening of Pre-School</p>	<p>50,000</p>
<p>Marci Whitman Director Aloha School 5-5344 Kuhio Highway P.O. Box 1408 Hanalei, HI 96714</p>	<p>Purchase of Equipment for NAEYC Accreditation</p>	<p>5,000</p>
<p>Susan Maddox Executive Team Leader Friends of the Future P.O. Box 2655 Kamuela, HI 96743</p>	<p>Early Education Program for Big Island Pre-School Teachers</p>	<p>8,000</p>
<p>Liz Chun Executive Director Good Beginnings Alliance 33 S. King Street, Suite 200 Honolulu, HI 96813</p>	<p>Operating Support</p>	<p>55,000</p>
<p>Phyllis Kunimura Director Kauai Independent Daycare Services, Inc. 1346 Inia Street Kapaa, HI 96746</p>	<p>"Time for Me" Program for At-Risk Children</p>	<p>10,000</p>
<p>Jan Hanohano Dill President Partners in Development 2040 Bachelot Street Honolulu, HI 96817</p>	<p>Teacher Training for Pre-School Teachers</p>	<p>10,000</p>

Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List

Donna Vuchinich Chief Executive Officer University of Hawaii Foundation 2444 Dole Street, Bachman Hall 105 Honolulu, HI 96822	Early Education Scholarships for Current or Prospective Pre-School Teachers	50,000
David Nakada Executvie Director Boys and Girls Club of Hawaii 1523 Kalakaua Avenue, Suite 202 Honolulu, HI 96826	Construction of Windward Clubhouse	50,000
John Howell President & CEO Easter Seals Society 710 Green Street Honolulu, HI 96813	Equipment and Furniture for the Service Center in West Oahu	25,000
Mark Sugimoto Superintendent Hanalani Schools 94-294 Anania Drive Mililani, HI 96789	Comprehensive Capital Campaign for Capital Improvements	50,000
Connie Starzynski Administrative Director Honolulu Waldorf School 350 Ulua Street Honolulu, HI 96821-2146	Renovations and Construction of Classrooms	50,000
Adrian Allan Headmaster Le Jardin Academy 917 Kalanianaole Hwy Kailua, HI 96734	Construction of Classrooms and Facilities (for Completion of Phase II)	50,000

**Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List**

Mike Morris Executive Director Maui Family YMCA 250 Kanaloa Avenue Kahului, HI 96732	Capital Campaign for Renovation and Expansion of Facilities	50,000
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Betty White Principal Sacred Hearts Academy 3253 Waialae Avenue Honolulu, HI 96816	Construction of Student Center	75,000
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Stella Wong Vice President of Programs Catholic Charities 250 Vineyard Street, Suite 1275 Honolulu, HI 96813-2495	Mary Tenney Castle Emergency Fund for Families with Young Children	25,000
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Bradford K. Smith President The Foundation Center 79 Fifth Avenue, 8th Floor New York, NY 10003-3076	Program Support	5,000
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Brent Copen Director, West Coast Nonprofit Finance Fund 760 Market Street Suite 333 San Francisco, CA 94102	Business Planning Services for Hawaii Pre-Schools	27,694
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Christine Richardson Executive Director North Kohala Community Resource Center 55-3393 Akoni Pule Hwy P.O. Box 519 Hawi, HI 96719	3rd year Operating Support	5,000
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Samuel N. & Mary Castle Foundation
TIN: 99-6003321
2009 Grants List

Donna Vuchinich
Chief Executive Officer
University of Hawaii Foundation
2444 Dole Street, Bachman Hall 105
Honolulu, HI 96822

Final Pledge Payment for PK-3
Director

27,500

Grand Total 2009:

1,722,840

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
AFLAC INC	125	3,943	5,781
AOL INC	89	1,651	2,072
AT&T INC	2,125	57,084	59,564
ABBOTT LABORATORIES	175	7,861	9,448
ADOBE SYSTEMS INC	175	4,969	6,437
ADVANCED MICRO DEVICES INC	1,075	7,918	10,406
ALABAMA POWER CO BONDS SER 1 5.65% 03/15/2035-2015	175,000	170,970	164,040
ALTRIA GROUP INC	350	6,864	6,871
AMAZON.COM INC	299	22,345	40,221
AMERISOURCEBERGEN CORP	894	19,258	23,307
AMGEN INC	597	30,636	33,772
ANADARKO PETROLEUM CORP	450	28,860	28,089
ANNALY CAPITAL MANAGEMENT INC	900	13,428	15,615
APACHE CORP	325	24,486	33,530
APACHE FINANCE CANADA COMPANY GUARNT 7.75% 12/15/2029	65,000	77,781	80,932
APPLE INC	213	39,162	44,886
ARCHER-DANIELS MIDLAND CO	678	19,543	21,228
ATMOS ENERGY CORP	100	2,480	2,940
AUTOMATIC DATA PROCESSING INC	76	2,618	3,254
AUTONATION INC	475	7,766	9,096
AVERY DENNISON CORP	375	13,755	13,684
AVNET INC	310	9,560	9,350
AVON PRODUCTS INC	125	3,189	3,938
BB&T CORPORATION	275	6,132	6,977
BP CAPITAL MARKETS PLC SR UNSECURED 5.25% 11/07/2013	80,000	79,948	87,138
BMC SOFTWARE INC	450	15,556	18,045
BANK OF AMERICA CORP	1,925	14,342	28,991
BANK OF AMERICA NA SUB NOTES 5.3% 03/15/2017	40,000	39,826	39,204
BANK OF NEW YORK MELLON CORP	400	10,931	11,188
BARD C R INC	159	13,602	12,386
BED BATH & BEYOND INC	125	4,586	4,826
BEMIS INC	100	2,976	2,965
BIG LOTS INC	75	1,644	2,174
BIOGEN IDEC INC	50	2,551	2,675
BLACKROCK INC	115	20,969	26,703
BRANDYWINE REALTY TRUST	600	4,116	6,840
BRINKER INT'L INC	100	1,580	1,492
BROADCOM CORPORATION	150	3,839	4,721

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
BROWN FORMAN CORP CL B	275	13,299	14,732
BURLINGTON NORTH SANTA FE PASS THRU CERTS SER 02-2 5.14% 01/15/2021 OFV 75000	64,971	67,082	55,068
BURLINGTON NORTHERN SANTA FE	150	14,700	14,793
C.H. ROBINSON WORLDWIDE INC	75	3,748	4,405
CIGNA CORP	175	3,789	6,172
CMS ENERGY CORP	450	5,441	7,047
CSX CORP	175	6,057	8,486
CA INC	375	6,394	8,423
CABOT CORP	250	5,184	6,558
CALPINE CORPORATION	200	2,238	2,200
CAMERON INTERNATIONAL CORP	625	20,538	26,125
CAPITAL ONE FINANCIAL CORP	200	7,469	7,668
CARDINAL HEALTH INC	125	3,992	4,030
CARLISLE COS INC	200	6,667	6,852
CATERPILLAR INC	100	5,713	5,699
CATERPILLAR FINANCIAL SERVICES NOTES 6.2% 09/30/2013	125,000	124,866	139,293
CHESAPEAKE ENERGY CORP	425	9,569	10,999
CHEVRON CORP	850	59,617	65,442
CHUBB CORP	150	6,378	7,377
CISCO SYSTEMS	1,900	36,454	45,486
CISCO SYSTEMS NOTES 5.5% 02/22/2016	105,000	104,520	115,280
CINTAS CORP	175	5,072	4,562
CITIGROUP INC	550	1,809	1,821
CITIGROUP FUNDING INC FDIC GUARANTEED 2.25% 12/10/2012	75,000	75,273	75,589
CLIFFS NATURAL RESOURCES	50	1,873	2,305
COCA COLA ENTERPRISES INC	1,364	23,877	28,917
COLGATE-PALMOLIVE CO	280	16,250	23,002
COMCAST CORP CL A	429	6,430	7,233
COMCAST CORP SR UNSECURED 5.85% 11/15/2015	100,000	100,321	109,883
COMPUTER SCIENCES CORP	400	17,682	23,012
CONOCOPHILLIPS	1,065	38,835	54,390
CON EDISON	75	2,991	3,407
CONSTELLATION BRANDS INC A	550	8,018	8,762
CONSTELLATION ENERGY GROUP	125	4,192	4,396
CONVERGYS CORP	550	5,759	5,913
DTE ENERGY COMPANY	625	26,113	27,244

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
DEVON ENERGY CORP	208	13,796	15,288
DIGITAL REALTY TRUST INC	75	3,619	3,771
DISNEY WALT CO	100	2,359	3,225
DISCOVER FINANCIAL SERVICES	125	1,913	1,839
DISH NETWORK CORP A	175	2,833	3,635
DIRECTV CL A	125	2,797	4,169
DOMINION RESOURCES INC SR NOTES SER F PUTABLE 8/15 5.25% 08/01/2033	125,000	119,994	130,238
DOVER CORP	50	2,021	2,081
DOW CHEMICAL CO	105	1,840	2,901
DR PEPPER SNAPPLE GROUP	225	5,740	6,368
DREYFUS/THE BOSTON COMPANY SMALL/MID CAP GROWTH FD	10,825	106,785	124,272
DUKE CAPITAL CORP SENIOR NOTES 8% 10/01/2019	115,000	134,516	134,587
DUKE ENERGY CORP	750	10,663	12,908
EMC CORP	650	9,789	11,356
ECOLAB INC	100	3,735	4,458
EDISON INTERNATIONAL	450	14,168	15,651
ENSCO PLC SPON ADR	325	11,168	12,981
EXXON MOBIL CORP	889	35,743	60,621
FEDERAL HOME LOAN MORTGAGE CORP POOL # C76358 5% 02/01/2033 OFV 745000	287,728	296,225	296,461
FEDERAL HOME LOAN MORTGAGE CORP POOL # A47971 5% 08/01/2035 OFV 381000	280,761	290,939	288,454
FEDERAL HOME LOAN MORTGAGE CORP POOL # G01857 5% 10/01/2033 OFV 390000	219,705	226,365	226,373
FEDERAL HOME LOAN MTGE CORP POOL # G05204 6% 01/01/2036 OFV 338000	271,492	287,272	290,157
FEDERAL HOME LOAN MTGE CORP POOL # J11065 4.5% 10/01/2024 OFV 264000	261,601	271,656	269,151
FEDERAL HOME LOAN MTGE CORP POOL # A37876 5% 09/01/2035 OFV 200000	133,163	139,093	136,812
FEDERAL NATIONAL MTGE ASSN NOTES 5.18% 03/27/2023-2013	100,000	101,810	96,750

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
FEDERAL NATIONAL MTGE ASSOC NOTES 2.5% 02/17/2012-2010	200,000	199,850	200,562
FEDERAL NATIONAL MTGE ASSOC NOTES 4% 04/22/2019-2010	100,000	100,000	99,313
FEDERAL NATIONAL MTGE ASSOC NOTES 5.5% 06/25/2024-2009	40,000	40,000	39,138
FEDERAL NATIONAL MORTGAGE ASSN POOL # 256022 5.5% 12/01/2035 OFV 358000	218,139	228,500	229,137
FEDERAL NATIONAL MTGE ASSN NOTES 5.5% 05/10/2027-2017	50,000	49,750	50,078
FEDERAL NATIONAL MTGE ASSN NOTES 2.875% 12/11/2013	30,000	30,556	30,600
FEDERAL NATIONAL MTGE ASSN NOTES 3.5% 08/25/2014-2010	70,000	70,000	70,503
FEDERAL NATIONAL MORTGAGE ASSN POOL # 735228 5.5% 02/01/2035 OFV 513000	243,010	255,872	255,413
FEDERAL NATIONAL MORTGAGE ASSN POOL # 735676 5% 07/01/2035 OFV 128000	72,729	74,638	74,869
FEDERAL NATIONAL MORTGAGE ASSN POOL # 796243 6% 11/01/2034 OFV 317000	111,053	117,265	118,445
FEDERAL NATIONAL MORTGAGE ASSN POOL # 826966 5.5% 06/01/2035 OFV 398000	220,123	230,166	231,221
FEDERAL NATIONAL MTGE ASSOC POOL # 834381 6% 08/01/2035 OFV 521000	225,128	237,722	239,550
FEDERAL NATIONAL MORTGAGE ASSN POOL # 844392 6.5% 11/01/2035 OFV 359000	160,586	172,429	172,429
FEDERAL NATIONAL MORTGAGE ASSN POOL # 888209 5.5% 05/01/2036 OFV 263000	175,463	182,755	184,310
FEDERAL NATIONAL MTGE ASSN POOL # 929225 5.5% 03/01/2023 OFV 227000	158,340	168,533	167,785
FEDERAL NATIONAL MTGE ASSOC POOL # 995112 5.5% 07/01/2036 OFV 230000	187,131	196,926	196,682
FEDEX CORPORATION	50	3,861	4,173

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
FIRST AMERICAN CORPORATION	125	3,125	4,139
FIRST HORIZON NATIONAL CORP	325	4,329	4,355
FIRSTENERGY CORP	75	2,945	3,484
FLUOR CORP NEW	96	3,770	4,324
FORD MOTOR COMPANY	1,700	14,093	17,000
FOREST LABS INC	150	3,477	4,817
FRANKLIN RES INC	125	10,527	13,169
FREEMONT MCMORAN COPPER & GOLD CLASS B	350	22,730	28,102
GATX CORP NOTES 8.75% 05/15/2014	50,000	49,875	56,226
GENERAL DYNAMICS CORP	250	14,367	17,043
GENERAL ELECTRIC CO	2,776	29,620	42,001
GENERAL ELECTRIC CO NOTES 5% 02/01/2013	155,000	154,420	163,984
GENERAL ELECTRIC CAPITAL CORP NOTES 5.72% 08/22/2011-2009	150,000	150,000	152,538
GEORGIA POWER COMPANY SR UNSECURED 4.9% 09/15/2013	50,000	50,646	52,859
GILEAD SCIENCES INC	56	2,750	2,423
GOLDMAN SACHS GROUP INC	248	47,219	41,872
GOLDMAN SACHS GROUP INC SUB NOTES 5.625% 01/15/2017	50,000	49,765	51,068
GOLDMAN SACHS MID CAP VALUE FD-I	5,394	122,224	157,337
GOLDMAN SACHS SMALL CAP VALUE FD-I	3,848	103,930	126,748
GOLDMAN SACHS LARGE CAP VALUE FD - INST	160,256	1,407,180	1,709,928
GOOGLE INC CL A	40	17,595	24,799
GRAINGER W W INC	100	7,622	9,683
HRPT PROPERTIES TRUST REITS	2,550	12,984	16,499
HALLIBURTON CO	150	4,674	4,514
HARBOR INTL FD	19,311	835,682	1,059,611
HARBOR INTERNATIONAL GROWTH FUND	223,619	1,933,228	2,475,459
HARRIS CORP SR UNSECURED 5% 10/01/2015	75,000	70,321	77,476
HARRIS CORP SR UNSECURED 5.95% 12/01/2017	50,000	49,826	53,018
HAWAIIAN ELECTRIC INDS INC	150	2,796	3,135
HEALTH NET INC	425	6,460	9,898
THE HERSHEY COMPANY	25	848	895
HERTZ GLOBAL HOLDINGS INC	1,000	11,217	11,920

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
HESS CORP	175	9,545	10,588
HILL-ROM HOLDINGS INC	150	2,282	3,599
HOME DEPOT INC	450	10,890	13,019
HONOLULU HAWAII CITY & CNTY BUILD AMERICA BONDS 6.25% 09/01/2031-2019	55,000	55,000	54,043
HOSPITALITY PROPERTIES TRUST	150	2,030	3,557
HOUSEHOLD FINANCE CORP NOTES 6.375% 10/15/2011	55,000	50,806	58,494
HUMANA INC	300	8,532	13,167
ILLINOIS TOOL WORKS INC	300	11,238	14,397
INGRAM MICRO INC CL A	575	9,814	10,034
INTL BK RECON & DEV SR UNSECURED 2.1% 06/15/2012-2010	150,000	149,925	149,802
INT'L BUSINESS MACHINES	353	34,947	46,208
ISHARES MSCI BRAZIL INDEX FUND	2,000	70,470	149,220
ISHARES FTSE XINHUA CHINA 25 INDEX FUND	4,000	109,508	169,040
ISHARES MSCI EMERGING MARKETS INDEX	102,000	2,945,174	4,233,000
ISHARES MSCI EAFE INDEX FUND	63,000	3,083,618	3,482,640
ISHARES RUSSELL 2000	7,900	481,433	493,276
SHARES RUSSELL 3000 INDEX	99,700	6,262,532	6,508,416
JDS UNIPHASE CORP	975	7,573	8,044
JP MORGAN CHASE & CO	1,463	58,560	60,963
JABIL CIRCUIT INC	200	2,940	3,474
PERKINS MID CAP VALUE FUND CL T	7,869	103,789	155,808
JANUS ENTERPRISE FUND CL T	3,444	125,114	161,756
JANUS FORTY FUND-I	51,910	1,259,447	1,662,692
JOHNSON & JOHNSON	1,057	56,192	68,081
JOHNSON & JOHNSON SR NOTES 5.15% 07/15/2018	100,000	99,547	107,564
JP MORGAN CHASE BANK SUBORDINATED 6% 10/01/2017	50,000	53,192	53,534
KLA-TENCOR CORP	50	1,785	1,808
KIMBERLY CLARK CORP	300	17,783	19,113
KIMBERLY CLARK CORP NOTES 6.125% 08/01/2017	50,000	49,849	55,429
KINDER MORGAN ENERGY PARTNERS LP NOTES 7.125% 03/15/2012	55,000	56,493	59,975
KROGER CO	502	13,347	10,306
ESTEE LAUDER COMPANIES INC CL A	175	8,346	8,463

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
LAZARD EMERGING MARKETS PORTFOLIO	105,758	2,124,063	1,904,698
LEGGETT & PLATT INC	283	5,791	5,773
LIBERTY MEDIA INTERACTIVE TRACKING STOCK	175	1,991	1,897
LIBERTY MEDIA CAPITAL TRACKING STOCK	100	2,326	2,388
LOCKHEED MARTIN CORP	105	9,678	7,912
LUBRIZOL CORP	250	13,726	18,238
MACY'S INC	238	2,417	3,989
MANAGERS AMG TIMESSQUARE MID CAP GROWTH FD-IN	13,506	126,412	160,445
MASTERCARD INC CLASS A	35	5,760	8,959
MCDONALDS CORP	189	8,257	11,801
MCGRAW HILL	75	2,228	2,513
MCKESSON CORP	136	6,087	8,500
MEADWESTVACO CORP	375	7,336	10,736
MEDCO HEALTH SOLUTIONS INC	300	16,018	19,173
MERRILL LYNCH & CO SR UNSECURED SER MTN FLT QTRLY .48194% 06/05/2012	115,000	115,000	111,564
MICROSOFT CORP	1,775	44,624	54,102
MICRON TECH INC	225	1,965	2,376
STANDARD & POORS MIDCAP DEP REC SPDRS	4,800	607,409	632,352
MIRANT CORP	250	3,911	3,818
MOLSON COORS BREWING CO B	75	3,250	3,387
MOODY'S CORPORATION	100	2,713	2,680
MORGAN STANLEY SR UNSECURED 5.75% 10/18/2016	90,000	89,825	93,380
MOTOROLA INC	1,225	10,843	9,506
MURPHY OIL CORP	425	23,760	23,035
NII HOLDINGS INC CL B	100	3,306	3,358
NRG ENERGY INC	225	6,458	5,312
NVR INC	15	9,885	10,661
NATIONAL RURAL UTILITIES COLLATERAL TRUST 10.375% 11/01/2018	55,000	54,580	72,879
NATIXIS VAUGHN NELSON SMALL CAP VAL- Y	5,607	103,053	125,985
NETAPP INC	150	4,920	5,154
NEUSTAR INC CLASS A	850	19,300	19,584

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

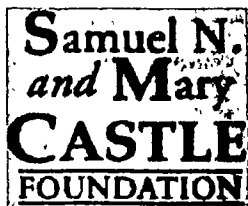
Description	Units	Book Value	Market Value
NEW VALLEY GENERATION IV TVA PASS THRU CERTS 4.687% 01/15/2022 OFV 225000	184,691	187,168	165,568
NEWFIELD EXPLORATION COMPANY	50	2,000	2,412
NEWS CORPORATION INC CL A	600	5,471	8,214
NORTHROP GRUMMAN CORP	275	15,002	15,359
NOVELLUS SYSTEMS INC	325	5,491	7,586
NSTAR	175	5,415	6,440
NUCOR CORP	150	6,964	6,998
OCCIDENTAL PETROLEUM CORP	300	25,138	24,405
OIL STATES INTERNATIONAL INC	225	8,730	8,840
OLD MUTUAL COPPER ROCK EMERGING GROWTH FD-I	14,402	106,434	126,598
ORACLE	350	7,399	8,586
P G & E CORPORATION COMMON	100	3,729	4,465
PNC FINANCIAL SERVICES GROUP	525	27,712	27,715
PNC FUNDING CORP FDIC GUARANTEED 2.3% 06/22/2012	75,000	74,912	76,268
PACIFIC CAPITAL NEW ASIA GROWTH FUND	33,246	382,544	457,471
PARTNERRE FINANCE COMPANY GUARNT 6.875% 06/01/2018	105,000	104,066	109,978
PATTERSON-UTI ENERGY INC	1,075	17,314	16,501
PEABODY ENERGY CORP	125	5,504	5,651
PEPSICO INC	225	4,793	13,680
PFIZER INC	3,525	54,355	64,112
PHARMACIA CORPORATION DEBENTURES 6.75% 12/15/2027	150,000	179,136	165,576
PINNACLE WEST CAP CORP	75	2,162	2,744
PITNEY BOWES INC NOTES SER MTN 5.25% 01/15/2037	50,000	47,734	50,988
POLO RALPH LAUREN CORP COMMON	50	2,603	4,049
PRES&FELLOWS OF HARVARD BONDS 6.3% 10/01/2037-2016	150,000	156,077	156,444
PUBLIC STORAGE INC COMMON	150	11,737	12,218
QWEST COMMUNICATIONS INTL	3,975	15,885	16,735
RAYMOND JAMES FINANCIAL CORP	125	2,124	2,971
RAYTHEON CO	525	23,548	27,048
REINSURANCE GROUP AMER INC	75	2,572	3,574
RELIANCE STEEL & ALUMINUM CO	75	3,165	3,242
RESOLUTION FUNDING CORP BONDS 8.875% 07/15/2020	250,000	368,973	328,505

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
REYNOLDS AMERICAN INC	225	10,241	11,918
ROWAN COMPANIES INC	375	7,423	8,490
SANDISK CORP	900	15,738	26,091
SCHWAB CHARLES CORP SR NOTES SER A 6.375% 09/01/2017	40,000	39,888	43,314
808626AG0 SCIENCE APPLICATIONS INTL CORP SR UNSECURED 5.5% 07/01/2033	125,000	115,669	110,323
SEALED AIR CORPORATION	100	2,181	2,186
SHERWIN-WILLIAMS CO	50	2,656	3,083
SIGMA - ALDRICH CORP	75	3,740	3,791
SMALL BUSINESS ADMIN BONDS US GOVT GUARANTEE 6.344% 08/10/2011 OFV 275000	13,733	13,733	14,428
SMITH INTERNATIONAL INC SENIOR NOTES 6% 06/15/2016	125,000	125,836	127,756
STARBUCKS CORP	925	19,085	21,331
SYMANTEC CORP	150	2,623	2,684
SYSCO CORP	842	20,558	23,525
TJX COMPANIES INC	750	26,466	27,413
TRW AUTOMOTIVE HOLDINGS CORP	225	5,543	5,373
TEMPLE INLAND INC	250	3,039	5,278
TENNESSEE VALLEY AUTH NOTES 5.5% 07/18/2017	80,000	81,884	87,200
TENNESSEE VALLEY AUTH NOTES 4.5% 04/01/2018	130,000	129,965	132,295
TEXAS INSTRUMENTS INC	875	18,162	22,803
THERMO FISHER SCIENTIFIC INC	75	3,404	3,577
3M CO	250	17,725	20,668
TIME WARNER INC	980	23,934	28,557
TIME WARNER CABLE INC	75	2,283	3,104
TIMKEN CO	325	7,870	7,706
TRAVELERS COS INC	362	17,671	18,049
UGI CORP NEW	175	4,384	4,233
US BANCORP	492	16,858	11,075
US BANK NA NOTES 6.3% 02/04/2014	100,000	105,491	110,805
UNITED PARCEL SERVICE CL B	150	8,507	8,606
HOUSING URBAN DEVELOPMENT US GOVT GUARANT 3.44% 08/01/2011	100,000	100,000	103,813

SAMUEL N. & MARY CASTLE FOUNDATION
TIN: 99-6003321
ATTACHMENT TO FORM 990-PF
PART II, BALANCE SHEET LINE 13 - "INVESTMENTS, OTHER"
YEAR ENDED DECEMBER 31, 2009

Description	Units	Book Value	Market Value
US TREASURY NOTES 4.75% 05/15/2014	220,000	220,309	242,068
US TREASURY NOTES 4.25% 11/15/2014	75,000	79,729	80,789
US TREASURY NOTES 4.25% 08/15/2015	135,000	140,906	144,492
US TREASURY NOTES 1.5% 07/15/2012	345,000	345,737	345,538
US TREASURY NOTES 2.625% 07/31/2014	139,000	138,962	139,695
UNITEDHEALTH GROUP INC	125	3,374	3,810
UNITRIN INC	475	6,610	10,474
UNUM GROUP	150	2,418	2,928
VENTAS INC	150	5,589	6,561
VIACOM INC CLASS B	175	4,715	5,203
VIRGIN MEDIA INC	800	12,801	13,464
WAL-MART STORES INC SR UNSECURED 6.5% 08/15/2037	100,000	100,988	113,798
WAL-MART STORES INC NOTES 3.2% 05/15/2014	50,000	49,994	50,918
WALGREEN CO	125	4,929	4,590
WELLPOINT INC	75	3,552	4,372
WELLS FARGO COMPANY	1,158	20,637	31,254
WELLS FARGO COMPANY SUB NOTES 5% 11/15/2014	125,000	129,208	129,128
WESTERN DIGITAL CORP	350	11,755	15,453
WESTERN UNION	125	2,082	2,356
WHIRLPOOL CORP	25	1,069	2,017
WHITING PETROLEUM CORPORATION	75	5,174	5,359
WILLIAMS COMPANIES INC	375	6,866	7,905
WYNDHAM WORLDWIDE CORP	325	3,744	6,555
COOPER INDUSTRIES PLC CL A	425	13,606	18,122
COVIDIEN PLC	225	7,912	10,775
HERBALIFE LTD	75	2,153	3,043
INVESCO LTD	350	6,098	8,222
MARVELL TECHNOLOGY GROUP LTD	676	7,198	14,027
PARTNERRE LTD	50	3,249	3,733
SEAGATE TECHNOLOGY	475	6,500	8,640
XL CAPITAL LTD CL A	925	16,702	16,955
NOBLE CORP	84	1,961	3,419
Total Portfolio		<u>34,136,375</u>	<u>38,328,140</u>



Grantmaking Policies

Regardless of priority status, there are certain policies with which applicant organizations must comply.



Mission & Core Values

Officers & Trustees

History of the Foundation

Henry and Dorothy Castle Memorial Fund

Message from the President

Message from the Executive Director

Grantmaking Priorities

Early Childhood Funders Collaborative Policy Statement

Grantmaking Policies

Request for Proposals

2008 Grantees

2009 Grantees

2010 Grantees

Submitting a Proposal

Post-Grant Evaluations

Grant Contracts

Financial Statements

HOME

- Organizations submitting proposals must be tax exempt, publicly supported and charitable as determined by the Internal Revenue Service. The Trustees will not review proposals from organizations that cannot confirm their 501 (c)(3) status.
- Grants are primarily awarded to organizations located within the state of Hawaii, for programs and projects benefiting the people of Hawaii.
- Programs or projects for which funds are requested must be in response to a documented community need, and not solely an organizational need.
- Grants may be awarded for innovative programs, demonstration projects and "start-up" funding. Program and project support does not generally exceed three years, and funding must be applied for on a yearly basis.
- Grants are awarded for partial funding of a program, as the Foundation rarely serves as the sole funder of an organization or project. Support rarely exceeds 50% of the program or project budget.
- Grants are generally not made to government funded entities, such as public and charter schools.
- Grants are generally not made to endowment funds.
- Grants are generally not made to third party regranting organizations.
- All grants are made directly to applicant organizations serving the public.
- An organization is generally not awarded more than one grant in any calendar year.
- Proposals may be hand-delivered by the deadline date or mailed to the Foundation offices. Mailed proposals must be

postmarked by the established deadline to be reviewed at the next meeting. The deadlines are February 1 for the April meeting, June 1 for the August/September meeting, and October 1 for the December meeting.

- If additional information is required because the original proposal is incomplete, the proposal may be deferred to the next meeting of the Trustees.
- All funded organizations are required to submit a final grant report within a year of the grant award date. This report should include a financial statement for the period in which grant monies were spent, and a brief narrative section describing the outcome of the funded program or project. Organizations not submitting such reports will be disqualified from future grants consideration. A project evaluation booklet is available from the Foundation office or on the Web site.
- Generally, organizations will not be eligible for program or project support beyond 3 years. Subsequent to a 3-year grant, an organization should not apply for additional support for at least one calendar year.
- Because of the often prohibitive cost of constructing new K-12 schools, the Foundation prefers to fund existing schools and encourages strategic use of existing space and structures.
- The Foundation prefers funding existing pre-schools, but will consider grants to start-up pre-schools which have demonstrated broad-based and sources of financial support and a solid business plan for sustainability.
- The Foundation does not fund scholarships for school tuition, conferences, student travel or special events.

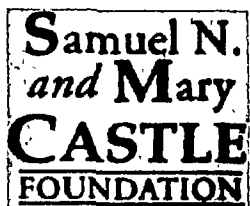
Additional Requirements for Start-Up Pre-Schools & Kindergartens

Capital Project Proposals

- Any organization asking for funds to renovate or alter leased facilities must possess a current lease for at least 5 years. Larger terms are preferred by the Trustees.
- There are additional policies and guidelines that apply to organizations seeking funds in connection with major capital campaigns.
- The Trustees have defined this category as including requests of \$50,000 or more for the construction, renovation or acquisition of land or buildings or major equipment and

furnishings. As only limited resources are available for capital requests, priority for funding goes to projects which are invited by Trustees or in which a Trustee is involved.

- Capital proposals of more than \$25,000 are considered by the Trustees at the December meeting only. Deadline for submission is October 1.
- Pledge commitments will generally be limited to three years.
- During the period a recipient is receiving pledge payments, the Trustees will generally not consider any other funding requests from that organization.
- The Foundation will generally not consider additional grants to an organization receiving a capital grant of \$50,000 or more.
- Organizations requesting support for capital projects should demonstrate substantial financial support from their board of directors and the community they serve.
- Colleges and universities must demonstrate that their capital request will advance early childhood education, care and well-being in Hawai'i.



Request for Proposals to Henry & Dorothy Castle Memorial Fund 2010

Mission & Core Values

Continuing Education for Hawaii's Pre-School Teachers: A Request for Mini-Proposals

Officers & Trustees

History of the
Foundation

Henry and Dorothy
Castle Memorial Fund

Message from the
President

Message from the
Executive Director

Grantmaking Priorities

Early Childhood
Funders Collaborative
Policy Statement

Grantmaking Policies

Request for Proposals

2008 Grantees

2009 Grantees

2010 Grantees

Submitting a Proposal

Post-Grant Evaluations

Grant Contracts

Financial Statements

HOME

Since 1894, the Henry and Dorothy Castle Memorial Fund has worked to develop a high quality early education experience for all children in Hawaii. This work must continue as we face unprecedented demand for well educated teachers as well pre-school directors. The Foundation, working with the W.K. Kellogg Foundation, seeks to have every child reading at or above grade level by grade 3. Over the next 8 years, we will collaborate with other public and private funders to reach this goal by helping to develop a superior pre-school experience for all Hawaii's families.

To assist schools in meeting the needs of teachers seeking to continue their education and thereby meet rising accreditation standards, the Foundation invites expedited applications for up to \$5,000 to be matched by a 20% contribution from the school and/or the teacher her/himself, to be applied to some or all of the following:

1. books and supplies;
2. transportation to attend classes;
3. release time for teachers attending classes;
4. cost of a substitute teacher;
5. needed technology to assist teacher learning.

Mini-grant funds cannot be used for salary incentives, collage tuition scholarships, general operations, or travel to conferences.

The Foundation provides scholarships through the University of Hawaii and Chaminade University.

Pre-Schools receiving a mini-grant in 2009 are not eligible for consideration in 2010.

Application Procedure:

Decisions will normally be rendered within 8 weeks.

Applications should be sent to the office of the Executive Director, Samuel N. & Mary Castle Foundation, 733 Bishop Street, Suite 1275, Honolulu, Hawaii 96813. The short application should be a 2-page letter describing the needs of the school, the number of

teachers needing assistance in the 2010 academic year, the schools accreditation status and its commitment to the professional development of its faculty and school director.

The application must be signed by the Chairman of the Board of Directors of the school and by the School Director. The school and/or teacher(s) impacted must agree to provide at least 20% match or more for the amount requested (up to \$5,000). For example, schools requesting \$5,000 should expect to contribute at least \$1,000 to the project.

The application should include proof of a 501(c) 3 tax-exempt status, a detailed budget describing funds devoted to professional development, and a brief statement of how the project will be evaluated. All successful grant applications will submit a brief final report describing how the funds were used no later than 12 months from the receipt of the grant. Any unused funds must be returned to the Foundation for regranting to other schools. Each school will determine its method of selecting teachers and directors for support, but it is expected that the school board will play an active role in the process in concert with the school director.

Due Dates:

All applications are due February 1, June 1, or October 1. Trustees will review applications in April, August, and December.

Please contact Al Castle, Executive Director, at (808) 522-1101 if a school director has questions.

Final Report Guidelines for 2009-2010 Mini-Grant:

Since the size of a pre-school's mini-grant is smaller than our Foundation's normal grants, please use a shortened final report. This will be due within 12 months of the receipt of this grant and should include a 2-3 page narrative to include the following elements:

1. Report on how the grant money was used and include a brief budget;
2. Confirm that your pre-school did match our grant at a 20% rate or more;
3. Include a brief description of the impact, if any, of the grant;
4. Give a brief description of how your school will sustain support for the critically important education of the teaching staff;
5. Although not required, a brief statement on how the Samuel N. & Mary Castle Foundation can be of help to your school and others in regard to continuing education will be helpful

to us;

6. As always, contact the executive director if you have questions.

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print	Name of Exempt Organization SAMUEL N. & MARY CASTLE FOUNDATION C/O BANK OF HAWAII	Employer identification number 99-6003321
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 3170, DEPT. 715	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. HONOLULU, HI 96802	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

BANK OF HAWAII

- The books are in the care of ▶ **130 MERCHANT STREET - HONOLULU, HI 96813**
Telephone No. ▶ **808-694-4540** FAX No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ▶ calendar year **2009** or
 ▶ tax year beginning _____, and ending _____.

2 If this tax year is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	8,570.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	17,587.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.