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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label.
Otherwise, print or type.
See Specific Instructions.

Name of foundation

THE SHAW (U.S.) FOUNDATION

A Employer identification number

99-0291105

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

C/O DELOITTE TAX LLP

1132 BISHOP STREET

1200

B Telephone number (see page 10 of the instructions)

(808) 543-0700

City or town, state, and ZIP code

HONOLULU, HI 96813-2870

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ☐ Accounting method ☐ Cash ☒ Accrual

16) \$ 24,315,198. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants etc received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B.				
3 Interest on savings and temporary cash investments	192,640.	192,640.		
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	1,211,013.	1,211,013.		ATCH 1
12 Total. Add lines 1 through 11	1,403,653.	1,403,653.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) [2]	4,615.	0.	0.	4,615.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 4 of the instructions) **	14,037.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) [4]	2,196.			2,196.
24 Total operating and administrative expenses. Add lines 13 through 23	20,848.	0.	0.	6,811.
25 Contributions, gifts, grants paid	19,131,250.			19,131,250.
26 Total expenses and disbursements. Add lines 24 and 25	19,152,098.	0.	0.	19,138,061.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-17,748,445.			
b Net investment income (if negative, enter -0-)		1,403,653.		
c Adjusted net income (if negative, enter -0-)			-0-	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		25,358,973.	8,312,319.	8,312,319.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule) ATCH 5		1,000.	1,000.	16,000,000.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ ATCH 6)		150,324.	12,879.	12,879.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		25,510,297.	8,326,198.	24,325,198.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ ATCH 7)		3,083,989.	3,648,335.	
23	Total liabilities (add lines 17 through 22)		3,083,989.	3,648,335.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		22,426,308.	4,677,863.	ATCH 8
30	Total net assets or fund balances (see page 17 of the instructions)		22,426,308.	4,677,863.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		25,510,297.	8,326,198.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	22,426,308.
2	Enter amount from Part I, line 27a	2	-17,748,445.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,677,863.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,677,863.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	6,052,760.	42,553,539.	0.142239
2007	3,222,949.	41,879,348.	0.076958
2006	1,926,119.	31,769,901.	0.060627
2005	1,347,330.	25,951,276.	0.051918
2004	1,275,641.	25,284,374.	0.050452
2 Total of line 1, column (d)			0.382194
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.076439
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			32,680,564.
5 Multiply line 4 by line 3			2,498,070.
6 Enter 1% of net investment income (1% of Part I, line 27b)			14,037.
7 Add lines 5 and 6			2,512,107.
8 Enter qualifying distributions from Part XII, line 4			19,138,061.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	14,037.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	14,037.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	14,037.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	15,000.
b	Exempt foreign organizations-tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	15,000.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	963.
11	Enter the amount of line 10 to be Credited to 2010 estimated tax 963. Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		N/A
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) DELAWARE, HAWAII		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="text"/> N/A				
14	The books are in care of <input type="text"/> COLLIERS MONROE FRIEDLANDER MGMT Telephone no <input type="text"/> 808-521-2611			
	Located at <input type="text"/> 220 S. KING ST #1800 HONOLULU HI ZIP + 4 <input type="text"/> 96813			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> N/A			
	and enter the amount of tax-exempt interest received or accrued during the year <input type="text"/> 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="text"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="text"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐ Yes ☒ No **N/A**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **ATTACHMENT 12** ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHMENT 10 & 11		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	17,165,359.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	16,012,879.
d	Total (add lines 1a, b, and c)	1d	33,178,238.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	33,178,238.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	497,674.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,680,564.
6	Minimum investment return. Enter 5% of line 5	6	1,634,028.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,634,028.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	14,037.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	14,037.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,619,991.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,619,991.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,619,991.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	19,138,061.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	19,138,061.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	14,037.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	19,124,024.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,619,991.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004	34,238.			
b From 2005	62,860.			
c From 2006	367,002.			
d From 2007	1,176,974.			
e From 2008	4,253,801.			
f Total of lines 3a through e	5,894,875.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 19,138,061.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				1,619,991.
e Remaining amount distributed out of corpus	17,518,070.			
5 Excess distributions carryover applied to 2009 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	23,412,945.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	34,238.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	23,378,707.			
10 Analysis of line 9				
a Excess from 2005	62,860.			
b Excess from 2006	367,002.			
c Excess from 2007	1,176,974.			
d Excess from 2008	4,253,801.			
e Excess from 2009	17,518,070.			

Form 990-PF (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 9				
Total. ► 3a				19,131,250.
b Approved for future payment				
Total. ► 3b				

Form 990-PF (2009)


1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

[illegible]

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
	Signature of officer or trustee ✓ ✓ JEREMIAH RAJAKULENDRAN		Date ✓ APR 26, 2010 Title ✓ TREASURER	
Paid Preparer's Use Only	Preparer's signature ✓ 	Date APR 21 2010	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (See Signature on page 30 of the instructions) P00131363
	Firm's name (or yours if self-employed), address, and ZIP code DELOITTE TAX LLP 1132 BISHOP STREET, SUITE 1200 HONOLULU, HI 96813+2870	EIN 86-1065772	Phone no 808-543-0700	

Form 990-PF (2009)

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER DIVIDEND INCOME	1,211,013.	1,211,013.
TOTALS	1,211,013.	1,211,013.

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	4,615.			4,615.
TOTALS	<u>4,615.</u>	<u>0.</u>	<u>0.</u>	<u>4,615.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAX	14,037.
TOTALS	<u>14,037.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
SECRETARIAL FEE	2,098.
BANK CHARGES	32.
SUNDRY EXPENSES	66.

TOTALS

2,196.

CHARITABLE PURPOSES
2,098.
32.
66.

2,196.

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ATTACHMENT 5</u>	
	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u> <u>ENDING FMV</u>
SHAW FDN PROPERTIES CORP	1,000.	1,000. 16,000,000.
TOTALS	<u>1,000.</u>	<u>1,000.</u> <u>16,000,000.</u>

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INTEREST RECEIVABLE	148,779.	12,012.	12,012.
EXCISE TAX	1,545.	867.	867.
TOTALS	<u>150,324.</u>	<u>12,879.</u>	<u>12,879.</u>

THE SHAW (U.S.) FOUNDATION

99-0291105

FORM 990PF, PART II - OTHER LIABILITIES

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO SHAW FOUND PROP CORP	3,083,989.	3,648,335.
TOTALS	<u>3,083,989.</u>	<u>3,648,335.</u>

FORM 990PF, PART II - OTHER FUNDSATTACHMENT 8

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DONATION FUND	22,426,308.	4,677,863.
TOTALS	<u>22,426,308.</u>	<u>4,677,863.</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CHINA UNIVERSITY OF MINING & TECHNOLOGY (BEIJING) CHINA SEE ATTACHMENT 9A	NONE EDUCATION ORGANIZATION	OPERATING FUNDS	903,226
NANJING UNIVERSITY CHINA SEE ATTACHMENT 9B	NONE EDUCATION ORGANIZATION	OPERATING FUNDS	387,097.
HUNAN UNIVERSITY OF SCIENCE & TECHNOLOGY CHINA SEE ATTACHMENT 9C	NONE EDUCATION ORGANIZATION	OPERATING FUNDS	645,161.
CHONGQING NORMAL UNIVERSITY CHINA SEE ATTACHMENT 9D	NONE EDUCATION ORGANIZATION	OPERATING FUNDS	645,161.
CHINA JILIANJ UNIVERSITY CHINA SEE ATTACHMENT 9E	NONE EDUCATION ORGANIZATION	OPERATING FUNDS	645,161
MINISTRY OF EDUCATION OF THE PRC CHINA SEE ATTACHMENT 9F	NONE GOVERNMENT AGENCY	RECONSTRUCTION OF 40 PUBLIC ELEMENTARY AND MIDDLE SCHOOLS DESTROYED BY THE EARTHQUAKE IN THE SICHUAN PROVINCE, CHINA	12,905,444.
THE SHAW PRIZE FOUNDATION LIMITED CHINA SEE EXPENDITURE RESPONSIBILITY - ATTACHMENT 12	NONE PRIVATE FOUNDATION	THE SHAW PRIZE AWARD	3,000,000

TOTAL CONTRIBUTIONS PAID

19,131,250.

公 证 书

中华人民共和国北京市求是公证处

AFFIDAVIT

Qiaojianrong

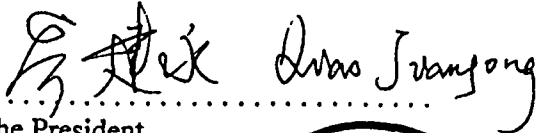
....., being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in 1909 year
and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animalspurposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not.


.....
The President
China University of Mining & Technology (Beijing)



Subscribed and sworn to before me

this 30th day of Dec., 2009.

Notary Public

My commission expires:

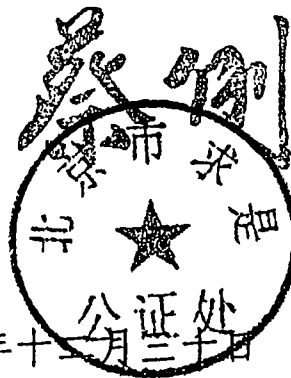
公 证 书

(2009)京求是外经证字第 0210 号

兹证明中国矿业大学（北京）的法定代表人乔建永（男，一九六二年二月十日出生）于二〇〇九年十二月三十日来到我处，在我的面前，在前面的英文文件上签名，该英文文件上所盖的中国矿业大学（北京）之印鉴属实。

中华人民共和国北京市求是公证处

公证员



二〇〇九年十二月三十日

XV56606987

NOTARIAL CERTIFICATE

(Translation)

(2009) J. Q. S. W. J. Z. Zi, No. 0210

This is to certify that the Legal Representative of China University of Mining & Technology (Beijing), Qiao Jianyong (male, born on Feb. 10, 1962) came to my office, in my presence, affixed his signature on the English Affidavit attached hereto on Dec. 30, 2009, and the Seal of China University of Mining & Technology (Beijing) affixed on this English Affidavit is found to be authentic.

Notary: Cai Li

Beijing Qiu Shi Notary Public Office

The People's Republic of China

Dec. 30, 2009

XV 56606988

ATTACHMENT 9A

公 证 书

(2009)京求是外经证字第 0211 号

兹证明前面 (2009) 京求是外经证字第 0210 号公证书的译文与原文内容相符。

中华人民共和国北京市求是公证处

公证员



二〇〇九年十二月三十日

XV56606989

ATTACHMENT 9A

NOTARIAL CERTIFICATE

(Translation)

(2009) J. Q. S. W. J. Z. Zi, No. 0211

This is to certify that the translation of notarial certificate (2009) J. Q. S. W. J. Z. Zi, No. 0210 attached hereto is in conformity with the original.

Notary: Cai Li

Beijing Qiu Shi Notary Public Office

The People's Republic of China

Dec. 30, 2009

XV56606990

ATTACHMENT 9A

公 证 书

中华人民共和国北京市东方公证处

AFFIDAVIT

.....*Shi Jianjun*....., being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in ...*1902*....., and is operated exclusively for (check applicable boxes):

- () charitable
- () religious
- () scientific
- () literary
- (X) educational
- () fostering national or international amateur sports competition, or
- () prevention of cruelty to children or animals

purposes under the laws of China.

3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,
- (a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
 - (b) to attempt to influence legislation, by propaganda or otherwise.
9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.
10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:
-

11. The grantee organisation (check one):

- (X) is a public school, or
() is a private school,

that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

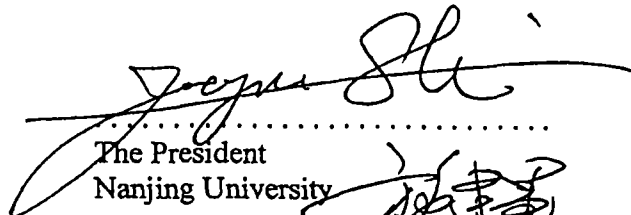
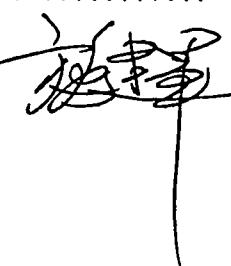
- (a) The school's charter, bylaws, or other governing instruction, or a resolution of its governing body, included a statement that the school has a racially non-discriminatory policy as to students;
- (b) The school includes a statement of its racially non-discriminatory policy as to students in its brochures and catalogues dealing with student admissions, programs, and scholarships, and in its advertising to prospective students;
- (c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;
- (d) The school is able to demonstrate that all of its programs and facilities are operated

[Handwritten signature]

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not.


.....
The President
Nanjing University 

Subscribed and sworn to before me

this 21 day of 01, 2009

Notary Public
My commission expires:



公 证 书

(2010)京东方港(澳)证字第13号

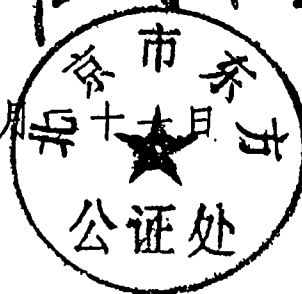
兹证明施建军(男,一九五五年八月十日出生,公民身份号码:320106195508101234)于二〇一〇年一月二十一日在对外经济贸易大学,在我的面前,在前面的外文文件上签名。

中华人民共和国北京市东方公证处

公 证 员

官月梅

二〇一〇年一月



XV56807798

NOTARIAL CERTIFICATE

(Translation)

(2010) J.D.F.G(A.) Z.Zi, No.13

This is to certify that Shi Jianjun (male, born on August 10, 1955, ID Card No.: 320106195508101234) came to University of International Business and Economics on January 21, 2010 and affixed his signature in my presence on the Foreign Language Document attached hereto.

Notary: Guan Yuemei
Beijing Dongfang Notary Public Office
The People's Republic of China
January 21, 2010

XV56807797

ATTACHMENT 9B

公 证 书

中华人民共和国湖南省湘潭市公证处

AFFIDAVIT

..... Liu zhi'an, being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in ... 1956, and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animalspurposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,
- (a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
 - (b) to attempt to influence legislation, by propaganda or otherwise.
9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.
10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:

.....

11. The grantee organisation (check one):

- (X) is a public school, or
- () is a private school,

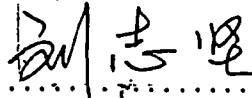
that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

- (a) The school's charter, bylaws, or other governing instruction, or a resolution of its governing body, included a statement that the school has a racially non-discriminatory policy as to students;
- (b) The school includes a statement of its racially non-discriminatory policy as to students in its brochures and catalogues dealing with student admissions, programs, and scholarships, and in its advertising to prospective students;
- (c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;
- (d) The school is able to demonstrate that all of its programs and facilities are operated

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not.



.....
The President
Hunan University of Science & Technology

Subscribed and sworn to before me

this day of, 2009.

Notary Public

My commission expires:

公 证 书

[2010]潭证内民字第 0044 号

兹证明湖南科技大学的法定代表人的委托代理人刘志坚（男，一九五六年二月五日出生，现住湖南省湘潭市雨湖区城正街 408 号东 17 栋 501 号）于二 0 一 0 年一月二十一日来到我处，在我的面前，在前面的《声明书》上签名。

中华人民共和国湖南省湘潭市公证处

公证员：

乐琳琳

二 0 一 0 年 一 月 二 十 一 日



XV34639647

ATTACHMENT 9C

NOTARIAL CERTIFICATE

(Translation)

[2010]XTNMZi, No.0044

This is to certify that Liu Zhijian (male, born on February 5, 1956, now residing at Room 501, East Building 17, No. 408 Chengzheng Street, Yuhu District, Xiangtan City, Hunan Province) came to my office as the entrusted agent of the legal representative of Hunan University of Science and Technology on January 21, 2010 and affixed his signature in my presence on the Affidavit attached hereto.

Notary: Le Linlin

Xiangtan Notary Public Office

Hunan Province

The People's Republic of China

January 21, 2010

XV34639646

公 证 书

中华人民共和国重庆市沙坪坝公证处

AFFIDAVIT

Zhouzeyang....., being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in 1954....., and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animalspurposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,

(a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or

(b) to attempt to influence legislation, by propaganda or otherwise.

9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.

10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:

.....

11. The grantee organisation (check one):

(X) is a public school, or

() is a private school,

that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

(a) The school's charter, bylaws, or other governing instruction, or a resolution of its governing body, included a statement that the school has a racially non-discriminatory policy as to students;

(b) The school includes a statement of its racially non-discriminatory policy as to students in its brochures and catalogues dealing with student admissions, programs, and scholarships, and in its advertising to prospective students;


(c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;

(d) The school is able to demonstrate that all of its programs and facilities are operated

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not.


zhao zeyang
.....
The President
Chongqing Normal University

Subscribed and sworn to before me

this day of, 2009.

Notary Public

My commission expires:

公 证 书

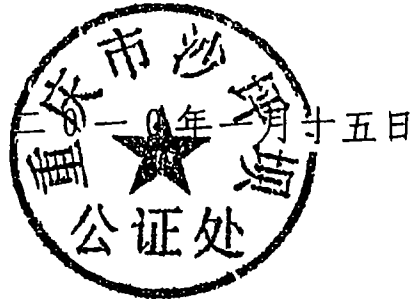
(2010)渝沙证字第 363 号

兹证明重庆师范大学校长周泽扬 (男, 一九五八年七月五日出生) 于二〇一〇年一月十四日在其办公室, 在我和赵楷的面前, 在前面的《AFFIDAVIT》上签名。

中华人民共和国重庆市沙坪坝公证处

公证员

张永林



XV 55335636

ATTACHMENT 9D

NOTARIAL CERTIFICATE

(Translation)

(2010) YSZ ZI. No.363

This is to certify that the President of Chongqing Normal University Zhou Zeyang (male, born on July 5, 1958) in his office on January 14, 2010, and affixed his signature in presence of Zhao Kai and me on the AFFIDAVIT.

Notary: Zhang Yonglin

Shapingba Notary Public Office

Chongqing City

The People's Republic of China

January 15, 2010

XW55335635

ATTACHMENT 9D

公 证 书

中华人民共和国浙江省杭州市国立公证处

AFFIDAVIT

...Lin Jian zhong....., being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in May 1978....., and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animals

purposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,
 - (a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
 - (b) to attempt to influence legislation, by propaganda or otherwise.
9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.
10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:
.....

11. The grantee organisation (check one):

- (X) is a public school, or
() is a private school,

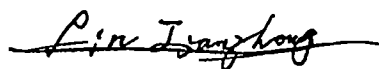
that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

- (a) The school's charter, bylaws, or other governing instruction, or a resolution of its governing body, included a statement that the school has a racially non-discriminatory policy as to students;
- (b) The school includes a statement of its racially non-discriminatory policy as to students in its brochures and catalogues dealing with student admissions, programs, and scholarships, and in its advertising to prospective students;
- (c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;
- (d) The school is able to demonstrate that all of its programs and facilities are operated

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not.



.....
The President
China Jiliang University

Subscribed and sworn to before me

this day of, 2009.

Notary Public

My commission expires:

公 证 书

(2010)杭证字第 419 号

兹证明中国计量学院的校长林建忠（男，一九五八年六月一日出生）于二〇一〇年一月十二日来到我处，在我的面前，在前面的《AFFIDAVIT》（英文本）上签名。

中华人民共和国浙江省杭州市国立公证处

公证员

程 健



NOTARIAL CERTIFICATE

(Translation)

(2010)HZ. Zi, No. 419

This is to certify that Lin Jianzhong (male, born on June 1, 1958), the president of China Jiliang University, came to my office on January 12, 2010, and affixed his signature in my presence, on the AFFIDAVIT attached hereto.

Notary: Cheng Jian (Signature)

Hangzhou National Notary Public Office

Zhejiang Province (Seal)

The People's Republic of China

January 12, 2010

中 华 人 民 共 和 国 教 育 部

MINISTRY OF EDUCATION OF THE PEOPLE'S REPUBLIC OF CHINA

THE SHAW (U.S) FOUNDATION:

This is certify that we have received 100 million HK dollar donation in all from your company in 2009, The donation will be used to rebuild the 40 elementary schools & middle schools destroyed in the earthquake in May 12, 2008.

Office for Hong Kong, Macao and Taiwan
Affairs Ministry of Education
December 21, 2009



The Shaw Disaster Relief List

No.	Province	City	School	Project	GFA (m ²)	Subsidy (HK\$)
1	Shanxi	Hanzhong	Yang County, Huangnan Town Center Primary School	Shaw Teaching Building	2600	2, 400, 000
2		Hanzhong	Hantai District, Huang Jia Tang Primary School	Shaw Teaching Building	2668	2, 400, 000
3		Hanzhong	Liuba County Senior High School	Shaw Teaching Building	3360	2, 600, 000
4		Hanzhong	Xixiang County, Bei Hou Street Primary School	Shaw Teaching Building	2800	2, 600, 000
5		Hanzhong	Nanzheng County, Hong Miao Town Middle School	Shaw Teaching Building	2640	2, 400, 000
6		Baoji	Fengxiang County, Fanjia Village Center Primary School	Shaw Teaching Building	2600	2, 400, 000
7		Baoji	Fufeng County, Tai Bai Middle School	Shaw Teaching Building	3200	2, 600, 000
8		Baoji	Mei County, Ying Tou Middle School	Shaw Laboratory Building	3000	2, 600, 000
9		Baoji	Long County, Dongfeng Town Center Primary School	Shaw Teaching Building	2600	2, 400, 000
10		Baoji	Qishan County, Fengming Town, Xiao Zi Ling Middle School	Shaw Laboratory Building	3000	2, 600, 000
11	Gansu	Longnan	Liangdang County, Yang Dian Nine-year School	Shaw Teaching Building	2947	2, 400, 000
12		Longnan	Li County, Chengguan Town, Dong Guan Primary School	Shaw Teaching Building	2930	2, 400, 000
13		Longnan	Hui County NO.5 Middle School	Shaw Teaching Building	2852	2, 400, 000
14		Tianshui	Gangu County, Pan An Primary School	Shaw Teaching Building	4800	2, 700, 000
15		Tianshui	Qinzhou District, Gong Yuan Primary School	Shaw Teaching Building	3010	2, 400, 000
16		Tianshui	Maiji District, Ma Pao Quan Middle School	Shaw Teaching Building	3000	2, 400, 000
17		Tianshui	Qin An County, Xing Guo No.2 Primary School	Shaw Teaching Building	3052	2, 600, 000
18		Tianshui	Qingshui County No.3 Middle School	Shaw Teaching Building	3370	2, 600, 000
19		Tianshui	Zhangjiachuan County, Xin Jian Primary School	Shaw Teaching Building	2976	2, 400, 000
20		Tianshui	Wushan County, Luo Men Middle School	Shaw Teaching Building	4500	2, 700, 000

No.	Province	City	School	Project	GFA (m ²)	Subsidy (HK\$)
21	Sichuan	Aba	Jinchuan City, Dong Fang Hong Primary School	Shaw Teaching Building	3400	2,600,000
22		Aba	Jin Chuan Middle School	Shaw Laboratory Building	2800	2,400,000
23		Deyang	Guanghan County, Xiangyang Town, Guang Xing Primary School	Shaw Teaching Building	2500	2,400,000
24		Deyang	Jingyang District, Jin Shan Jie School	Shaw Teaching Building	2800	2,400,000
25		Deyang	Jingyang District, Tianyuan Town Center Primary School	Shaw Teaching Building	2598	2,400,000
26		Deyang	Zhongjiang County, Wanfu Town Middle School	Shaw Teaching Building	3000	2,600,000
27		Deyang	Luojiang County, Lue Ping Middle School	Shaw Teaching Building	2200	2,400,000
28		Mianyang	Fucheng District, Nan Jie Primary School	Shaw Teaching Building	3800	2,600,000
29		Mianyang	Mianyang No. 8 Middle School	Shaw Teaching Building	6152	2,600,000
30		Mianyang	Santai County, Lu Xi Town Middle School	Shaw Teaching Building	3000	2,600,000
31		Mianyang	Yanting County, Cheng Guan Middle School	Shaw Teaching Building	2500	2,400,000
32		Mianyang	Zi Tong Ren He Middle School	Shaw Teaching Building	2800	2,400,000
33		Mianyang	Youxian District, Yube Town Center Primary School	Shaw Teaching Building	3015	2,600,000
34		Mianyang	Youxian District, Liujia Town Center Primary School	Shaw Teaching Building	3100	2,600,000
35		Guangyuan	Shu Ren Middle School	Shaw Teaching Building	4148	2,800,000
36		Guangyuan	No. 821 Middle School	Shaw Teaching Building	3660	2,600,000
37		Guangyuan	Lizhou District, Da Shi Primary School	Shaw Teaching Building	2800	2,400,000
38		Guangyuan	Cang Xi County, Qi Ping Middle School	Shaw Teaching Building	2800	2,400,000
39		Guangyuan	Wangcang County, Dong Fan Middle School	Shaw Teaching Building	2100	2,400,000
40		Guangyuan	Chaotian District, Liang He Kou Primary School	Shaw Teaching Building	2740	2,400,000
	total					100,000,000

THE SHAW (U.S.) FOUNDATION
SHAW FOUNDATION PROPERTIES CORPORATION

DIRECTORS

<u>NAMES</u>	<u>ADDRESS</u>
Ms. Mona Fong	Lot 230, Ah Kung Wan Clearwater Bay Road Kowloon, Hong Kong
Ms. Choy Meage	Lot 230, Ah Kung Wan Clearwater Bay Road Kowloon, Hong Kong
Ms. Choy Venus	Flat H, 47/F, Block 2 Chung Wa Road East Point City Tseung Kwan O Kowloon, Hong Kong
Ms. Li Kit Yee Jenny	6B Twin Bay Villas Clearwater Bay Road Kowloon, Hong Kong

The above officers and directors are unpaid, part-time volunteers. As such, they do not receive any compensation, contributions to employee benefit plans, or expense account allowances.

THE SHAW (U.S.) FOUNDATION
SHAW FOUNDATION PROPERTIES CORPORATION

OFFICERS

<u>NAMES</u>	<u>DESIGNATION</u>	<u>ADDRESS</u>
Ms. Mona Fong	President	Lot 230, Ah Kung Wan Clearwater Bay Road Kowloon, Hong Kong
Ms. Choy Meage	Vice President	Lot 230, Ah Kung Wan Clearwater Bay Road Kowloon, Hong Kong
Mr. Roger Epstein Cades Schutte LLP	Secretary	1000 Bishop Street Honolulu, HI 96813
Mr. Jerry Rajakulendran	Treasurer	Lot 220, Clearwater Bay Road Kowloon, Hong Kong

The above officers and directors are unpaid, part-time volunteers. As such, they do not receive any compensation, contributions to employee benefit plans, or expense account allowances.

THE SHAW (U.S.) FOUNDATION

c/o Lot 220, Clear Water Bay Road, Kowloon, Hong Kong. Tel : (852) 2335 5111
Fax : (852) 2358 0526 - General (852) 2719 5768 - Accounts

E.I.N.: 99-0291105

Attachment to Form 990-PF
Part VII-B, Question 5c on page 6

Expenditure Responsibility Statement For the year 2009

Pursuant to IRC Regulation section 53.4945-5(d)(2), The Shaw (U.S.) Foundation provides the following information:

- (i) Grantee: The Shaw Prize Foundation Limited
Lot 220, Clear Water Bay Road
Kowloon, Hong Kong
- (ii) Amount of Grant: October 6, 2009 US\$3,000,000 (support for Prize award)
- (iii) Purpose of Grant: Specific support for Grantee's award of The Shaw Prize in 2009 which consists of 3 Prizes, namely, Astronomy, Life Science and Medicine, and Mathematical Sciences, each amounting to US\$1,000,000.
- (iv) and (vi) Reports: In June 2009, The Shaw Prize Foundation Limited provided Grantor with copies of press release documents showing details of their forthcoming award presentation and The Shaw Laureates for 2009. In October, they submitted a report on the annual award presentation of The Shaw Prize held on October 7, 2009. Enclosed with the report was a brochure published for the ceremony.
- (v) Diversions: To the knowledge of the Grantor, no funds have been diverted to any activity other than the activity for which the grant was originally made.
- (vii) Verification: The Grantor has no reason to doubt the accuracy or reliability of the report from the Grantee; therefore, no independent verification of the report was made.