Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2009**

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: VICTORIA S & BRADLEY L GEIST FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) / Room/suite: P.O. BOX 3170, DEPT 715

City or town, state, and ZIP code: HONOLULU, HI 96802-3170

A Employer identification number: 99-0163400

B Telephone number: (808) 694-4525

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 36,912,435.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | 1,931. | 1,931. | | STATEMENT 1 |
| | 4 Dividends and interest from securities | 979,684. | 979,684. | | STATEMENT 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -157,032. | | | |
| | b Gross sales price for all assets on line 6a 12,560,658. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 824,583. | 981,615. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 182,466. | 136,849. | | 45,617. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees STMT 3 | 2,500. | 750. | | 1,750. |
| | c Other professional fees | | | | |
| | 17 Interest | | | | |
| | 18 Taxes | | | | |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | 3,331. | 0. | | 3,331. |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 4 | 154,500. | 0. | | 154,500. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 342,797. | 137,599. | | 205,198. |
| | 25 Contributions, gifts, grants paid | 1,636,101. | | | 1,636,101. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 1,978,898. | 137,599. | | 1,841,299. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | -1,154,315. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 844,016. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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09050423 791519 115024150 2009.03030 VICTORIA S & BRADLEY L GEIS 11502401

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 424,666. | 841,606. | 841,606. |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S and state government obligations | | | |
| | b Investments - corporate stock | | | |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 5 | 28,398,242. | 26,883,501. | 36,070,829. |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers) | 28,822,908. | 27,725,107. | 36,912,435. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 28,822,908. | 27,725,107. | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 0. | 0. | |
| | 30 **Total net assets or fund balances** | 28,822,908. | 27,725,107. | |
| | 31 **Total liabilities and net assets/fund balances** | 28,822,908. | 27,725,107. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 28,822,908. |
| 2 Enter amount from Part I, line 27a | 2 | -1,154,315. |
| 3 Other increases not included in line 2 (itemize) ▶ RETURNED GRANTS | 3 | 56,514. |
| 4 Add lines 1, 2, and 3 | 4 | 27,725,107. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 27,725,107. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | STCG-SEE STATEMENT GLR-1 | P | VARIOUS | VARIOUS |
| b | LTCG-SEE STATEMENT GLR-1 | P | VARIOUS | VARIOUS |
| c | LITIGATION STLMT-TOTAL RENAL CARE HOLDINGS | P | VARIOUS | 02/17/09 |
| d | LITIGATION STLMT-TYCO INTERNATIONAL LTD | P | VARIOUS | 03/12/09 |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 5,240,708. | | 5,647,974. | -407,266. |
| b | 7,305,217. | | 7,069,666. | 235,551. |
| c | 16. | | | 16. |
| d | 14,717. | | 50. | 14,667. |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | -407,266. |
| b | | | | 235,551. |
| c | | | | 16. |
| d | | | | 14,667. |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 -157,032.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 3 N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2008 | 2,329,127. | 39,094,323. | .059577 |
| 2007 | 2,240,238. | 44,498,064. | .050345 |
| 2006 | 2,151,373. | 41,975,248. | .051253 |
| 2005 | 1,915,909. | 41,363,532. | .046319 |
| 2004 | 1,954,074. | 40,571,331. | .048164 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | .255658 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .051132 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 33,024,702. |
| 5 | Multiply line 4 by line 3 | 5 | 1,688,619. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 8,440. |
| 7 | Add lines 5 and 6 | 7 | 1,697,059. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 1,841,299. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions) | | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | | 1 | 8,440. |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 8,440. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 8,440. |
| 6 Credits/Payments. | | | | |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009 | 6a | 32,833. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments Add lines 6a through 6d | | | 7 | 32,833. |
| 8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached | | | 8 | |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 24,393. |
| 11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 24,393. **Refunded** ▶ | | | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | 1b | | X |
| *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ HI | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

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**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ BANK OF HAWAII Telephone no. ▶ (808) 694-4525

Located at ▶ 130 MERCHANT ST, HONOLULU, HI ZIP+4 ▶96813

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | 4b | | X |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | | |
| (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | | |
| (4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? | ☐ Yes ☒ No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53.4945-5(d).* | | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | X |
| *If "Yes" to 6b, file Form 8870.* | | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | | |
| **b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| BANK OF HAWAII<br>130 MERCHANT ST<br>HONOLULU, HI 96813 | CO-TRUSTEE<br>1.00 | 182,466. | 0. | 0. |
| GARY S. MORIMOTO<br>130 MERCHANT ST<br>HONOLULU, HI 96813 | CO-TRUSTEE<br>0.40 | 0. | 0. | 0. |
| CHARMAN J. AKINA<br>130 MERCHANT ST<br>HONOLULU, HI 96813 | CO-TRUSTEE<br>0.40 | 0. | 0. | 0. |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes | | |
| a | Average monthly fair market value of securities | 1a | 32,568,319. |
| b | Average of monthly cash balances | 1b | 959,297. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 33,527,616. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 33,527,616. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 502,914. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 33,024,702. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 1,651,235. |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,651,235. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 — 2a: 8,440. | | |
| b | Income tax for 2009. (This does not include the tax from Part VI.) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 8,440. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 1,642,795. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 56,514. |
| 5 | Add lines 3 and 4 | 5 | 1,699,309. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 1,699,309. |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 1,841,299. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,841,299. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5 | 8,440. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 1,832,859. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 1,699,309. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 1,461,740. | |
| b Total for prior years ___, ___, ___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2009: | | | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 | | | | |
| d From 2007 | | | | |
| e From 2008 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 1,841,299. | | | | |
| a Applied to 2008, but not more than line 2a | | | 1,461,740. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2009 distributable amount | | | | 379,559. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount - see instr | | | 0. | |
| f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 | | | | 1,319,750. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 | | | | |
| b Excess from 2006 | | | | |
| c Excess from 2007 | | | | |
| d Excess from 2008 | | | | |
| e Excess from 2009 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT POLICY-1

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT POLICY-1

c Any submission deadlines:

SEE STATEMENT POLICY-1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT POLICY-1

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid dunng the year* | | | | |
| SEE STATEMENT GRANTS-1 | | | | 1,264,101. |
| SEE STATEMENT SCHOL-1 | | | | 372,000. |
| Total | | | ▶ 3a | 1,636,101. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| Total | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 1,931. | |
| 4 Dividends and interest from securities | | | 14 | 979,684. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -157,032. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 824,583. | 0. |

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 824,583.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of. | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

BANK OF HAWAII, Trustee

By [signature] MAY 11 2010

Signature of officer or trustee Date Title

**Paid Preparer's Use Only**

| Preparer's signature | Date | Check if self-employed ☐ | Preparer's identifying number |
|---|---|---|---|
| Firm's name (or yours if self-employed), address, and ZIP code | | EIN | |
| | | Phone no. | |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | AMOUNT |
|---|---|
| BANK OF HAWAII ACCT #115024150 | 1,931. |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 1,931. |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|---|---|---|
| BANK OF HAWAII ACCT #115024150 | 979,684. | 0. | 979,684. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 979,684. | 0. | 979,684. |

FORM 990-PF ACCOUNTING FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| TAX PREPARATION FEE | 2,500. | 750. | | 1,750. |
| TO FORM 990-PF, PG 1, LN 16B | 2,500. | 750. | | 1,750. |

FORM 990-PF OTHER EXPENSES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| GRANTS ADMINISTRATION FEE | 154,500. | 0. | | 154,500. |
| TO FORM 990-PF, PG 1, LN 23 | 154,500. | 0. | | 154,500. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 5

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| SEE STATEMENT INV-1 | COST | 26,883,501. | 36,070,829. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 26,883,501. | 36,070,829. |

**VICTORIA S. AND BRADLEY L. GEIST FOUNDATION** **99-0163400**
**FORM 990-PF, PART II, LINE 13**
**FOR YEAR ENDED 12/31/2009**

| Description | Units | Book Value | Market Value |
|---|---|---|---|
| APACHE FINANCE CANADA COMPANY GUARNT 7.75% 12/15/2029 | 125,000.000 | 145,362.50 | 155,638 |
| AUTOMATIC DATA PROCESSING INC | 3,000.000 | 35,500.47 | 128,460 |
| BECTON DICKINSON & CO | 1,500.000 | 102,142.80 | 118,290 |
| BOEING CO | 4,500.000 | 78,570.00 | 243,585 |
| CARNIVAL CORP | 8,000.000 | 180,400.00 | 253,520 |
| CISCO SYSTEMS | 26,000.000 | 176,813.33 | 622,440 |
| CISCO SYSTEMS NOTES 5.5% 02/22/2016 | 75,000.000 | 74,657.25 | 82,343 |
| CITIGROUP INC SUB NOTES 5.5% 02/15/2017 | 200,000.000 | 200,434.00 | 189,266 |
| COMCAST CORP SR UNSECURED 5.85% 11/15/2015 | 115,000.000 | 114,979.95 | 126,365 |
| CONOCOPHILLIPS | 9,190.000 | 79,045.00 | 469,333 |
| DOMINION RESOURCES INC SR NOTES SER F PUTABLE 8/15 5.25% 08/01/2033 | 200,000.000 | 192,355.50 | 208,380 |
| DUKE CAPITAL CORP SENIOR NOTES 8% 10/01/2019 | 100,000.000 | 115,885.00 | 117,032 |
| EXXON MOBIL CORP | 12,000.000 | 157,503.21 | 818,280 |
| FEDERAL HOME LOAN MTGE CORP NOTES 2.45% 06/29/2012-2010 | 225,000.000 | 225,618.75 | 226,757 |
| FEDERAL HOME LOAN MTGE CORP NOTES 3.625% 08/25/2014-2010 | 185,000.000 | 188,237.50 | 186,796 |
| FEDERAL NATIONAL MORTGAGE ASSN NOTES 5% 04/15/2015 | 185,000.000 | 186,766.75 | 203,326 |
| FEDERAL NATIONAL MTGE ASSOC NOTES 1.75% 03/08/2012-2010 | 200,000.000 | 201,000.00 | 200,562 |
| FEDERAL NATIONAL MTGE ASSOC NOTES 2.5% 10/22/2013-2010 | 200,000.000 | 201,200.00 | 199,312 |
| FEDERAL NATIONAL MTGE ASSN NOTES 5.5% 05/10/2027-2017 | 50,000.000 | 49,750.00 | 50,078 |
| FEDERAL NATIONAL MTGE ASSN NOTES 2.875% 12/11/2013 | 765,000.000 | 779,167.80 | 780,300 |
| FEDERAL NATIONAL MTGE ASSN NOTES 3.5% 08/25/2014-2010 | 40,000.000 | 40,000.00 | 40,288 |
| GENERAL ELECTRIC CO | 24,000.000 | 449,336.37 | 363,120 |
| GENERAL ELECTRIC CO NOTES 5% 02/01/2013 | 200,000.000 | 200,135.25 | 211,592 |
| GENERAL ELEC CAP CORP FDIC GUARANTEED 3% 12/09/2011 | 100,000.000 | 101,027.00 | 103,082 |
| GOLDMAN SACHS GROUP INC | 3,000.000 | 292,425.60 | 506,520 |
| GOLDMAN SACHS GROUP INC SUB NOTES 5.625% 01/15/2017 | 150,000.000 | 149,295.00 | 153,204 |
| GOLDMAN SACHS SMALL CAP VALUE FD-I | 12,806.081 | 378,735.53 | 421,832 |
| GOOGLE INC CL A | 950.000 | 425,862.25 | 588,981 |
| GRAINGER W W INC | 4,000.000 | 116,050.00 | 387,320 |
| HARBOR INTERNATIONAL GROWTH FUND | 78,451.053 | 581,567.31 | 868,453 |
| HARLEY-DAVIDSON | 7,000.000 | 31,311.87 | 176,400 |
| HARRIS CORP SR UNSECURED 5% 10/01/2015 | 60,000.000 | 56,336.10 | 61,981 |
| HARRIS CORP SR UNSECURED 5.95% 12/01/2017 | 100,000.000 | 99,652.00 | 106,036 |
| HONEYWELL INTERNATIONAL INC | 7,000.000 | 225,019.00 | 274,400 |
| HONOLULU HAWAII CITY & CNTY BUILD AMERICA BONDS 6.3% 09/01/2034-2019 | 100,000.000 | 100,000.00 | 98,414 |
| HORMEL FOODS CORP | 12,000.000 | 142,040.40 | 461,400 |
| HOUSEHOLD FINANCE CORP NOTES 6.375% 10/15/2011 | 65,000.000 | 60,043.75 | 69,129 |
| ILLINOIS TOOL WORKS INC | 9,000.000 | 72,871.87 | 431,910 |
| INTEL CORP | 12,000.000 | 246,803.95 | 244,800 |
| INTL BK RECON & DEV SR UNSECURED 2.1% 06/15/2012-2010 | 200,000.000 | 199,900.00 | 199,736 |
| INT'L BUSINESS MACHINES | 4,600.000 | 440,041.00 | 602,140 |
| INTL LEASE FINANCE NOTES SER MTNP FL QTLY 5.1525% 01/15/2010 | 25,000.000 | 24,913.50 | 24,935 |

| Description | Units | Book Value | Market Value |
|---|---|---|---|
| ISHARES FTSE XINHUA CHINA 25 INDEX FUND | 6,000.000 | 174,581.40 | 253,560 |
| ISHARES MSCI EMERGING MARKETS INDEX | 21,000.000 | 611,841.15 | 871,500 |
| JP MORGAN CHASE & CO | 5,000.000 | 200,762.00 | 208,350 |
| PERKINS MID CAP VALUE FUND CL T | 32,943.370 | 446,386.32 | 652,279 |
| JANUS FORTY FUND-I | 33,525.714 | 751,712.44 | 1,073,829 |
| JOHNSON & JOHNSON | 10,000.000 | 124,023.50 | 644,100 |
| JOHNSON & JOHNSON SR NOTES 5.15% 07/15/2018 | 125,000.000 | 124,433.75 | 134,455 |
| JOHNSON CONTROLS | 14,000.000 | 262,715.60 | 381,360 |
| JOY GLOBAL INC | 5,500.000 | 134,229.70 | 283,635 |
| KINDER MORGAN ENERGY PARTNERS LP NOTES 7.125% 03/15/2012 | 75,000.000 | 77,035.50 | 81,785 |
| KOHL'S CORP | 4,500.000 | 78,581.25 | 242,685 |
| ESTEE LAUDER COMPANIES INC NOTES 6% 01/15/2012 | 150,000.000 | 149,307.00 | 160,394 |
| LAZARD EMERGING MARKETS PORTFOLIO | 44,145.274 | 589,229.25 | 795,056 |
| NATIXIS LOOMIS SAYLES VALUE-Y | 104,098.593 | 1,256,842.28 | 1,755,102 |
| MANAGERS AMG TIMESSQUARE MID CAP GROWTH FD-IN | 40,691.760 | 395,930.82 | 483,418 |
| MASTERCARD INC CLASS A | 1,000.000 | 165,080.40 | 255,980 |
| MERCANTILE SAFE DEP & TR NOTES 5.7% 11/15/2011 | 200,000.000 | 199,620.00 | 206,260 |
| MERCK & CO INC | 9,000.000 | 209,061.53 | 328,860 |
| MERRILL LYNCH & CO SR UNSECURED SER MTN FLT QTRLY .48194% 06/05/2012 | 135,000.000 | 135,000.00 | 130,966 |
| MICROSOFT CORP | 24,000.000 | 63,375.00 | 731,520 |
| MORGAN STANLEY SR UNSECURED 5.75% 10/18/2016 | 125,000.000 | 124,756.25 | 129,695 |
| MURPHY OIL CORP | 10,000.000 | 164,833.25 | 542,000 |
| NATIONAL RURAL UTILITIES COLLATERAL TRUST 10.375% 11/01/2018 | 75,000.000 | 74,427.75 | 99,381 |
| NEW VALLEY GENERATION IV TVA PASS THRU CERTS 4.687% 01/15/2022 OFV 100000 | 82,084.870 | 75,500.84 | 73,586 |
| PNC FUNDING CORP FDIC GUARANTEED 2.3% 06/22/2012 | 75,000.000 | 74,912.25 | 76,268 |
| PACIFIC CAPITAL INTERNATIONAL STOCK FUND | 320,606.039 | 2,501,198.18 | 2,404,545 |
| PACIFIC CAPITAL SMALL CAP FUND | 26,544.362 | 327,557.07 | 302,340 |
| PACIFIC CAPITAL NEW ASIA GROWTH FUND | 28,616.069 | 506,769.43 | 393,757 |
| PARTNERRE FINANCE COMPANY GUARNT 6.875% 06/01/2018 | 150,000.000 | 148,665.00 | 157,112 |
| PEPSICO INC | 7,000.000 | 383,600.00 | 425,600 |
| PFIZER INC | 20,000.000 | 148,764.82 | 363,800 |
| PHARMACIA CORPORATION DEBENTURES 6.75% 12/15/2027 | 100,000.000 | 119,424.00 | 110,384 |
| PITNEY BOWES INC NOTES SER MTN 5.25% 01/15/2037 | 100,000.000 | 94,678.00 | 101,975 |
| PRES&FELLOWS OF HARVARD BONDS 6.3% 10/01/2037-2016 | 170,000.000 | 177,002.00 | 177,303 |
| T ROWE PRICE GROUP INC | 13,000.000 | 179,562.50 | 692,250 |
| PROCTER & GAMBLE CO | 8,000.000 | 453,280.00 | 485,040 |
| QUALCOMM INC | 8,500.000 | 446,686.90 | 393,210 |
| RESOLUTION FUNDING CORP BONDS 8.875% 07/15/2020 | 165,000.000 | 243,521.85 | 216,813 |
| RYDER SYSTEM INC NOTES SER MTN 5.85% 03/01/2014 | 125,000.000 | 125,507.50 | 130,885 |
| SPDR S&P 500 ETF TRUST | 10,000.000 | 863,194.85 | 1,114,400 |
| SCHWAB CHARLES CORP SR NOTES SER A 6.375% 09/01/2017 | 60,000.000 | 59,831.40 | 64,971 |
| SCIENCE APPLICATIONS INTL CORP SR UNSECURED 5.5% 07/01/2033 | 140,000.000 | 128,385.20 | 123,561 |

| Description | Units | Book Value | Market Value |
|---|---|---|---|
| CONSUMER DISCRETIONARY SELECT SECTOR SPDR | 15,000.000 | 426,300.00 | 446,550 |
| FINANCIAL SELECT SECTOR SPDR | 70,000.000 | 941,899.40 | 1,008,000 |
| SIGMA - ALDRICH CORP | 8,000.000 | 125,857.20 | 404,400 |
| SMALL BUSINESS ADMIN BONDS US GOVT GUARANTEE 6.344% 08/10/2011 OFV 200000 | 9,987.300 | 9,987.30 | 10,493 |
| SMITH INTERNATIONAL INC SENIOR NOTES 6% 06/15/2016 | 125,000.000 | 125,263.25 | 127,756 |
| SOUTHERN CO | 10,000.000 | 343,100.00 | 333,200 |
| TARGET CORP | 6,000.000 | 219,990.00 | 290,220 |
| US BANK NA NOTES 6.3% 02/04/2014 | 100,000.000 | 105,093.40 | 110,805 |
| HOUSING URBAN DEVELOPMENT US GOVT GUARANT 3.44% 08/01/2011 | 125,000.000 | 125,000.00 | 129,766 |
| US TREASURY BONDS 6.25% 08/15/2023 | 535,000.000 | 596,878.52 | 638,993 |
| US TREASURY NOTES 4.75% 05/15/2014 | 340,000.000 | 338,859.19 | 374,105 |
| US TREASURY NOTES 4.25% 11/15/2014 | 100,000.000 | 106,304.69 | 107,719 |
| US TREASURY NOTES 4.25% 08/15/2015 | 150,000.000 | 156,562.50 | 160,547 |
| US TREASURY NOTES 4.25% 11/15/2017 | 625,000.000 | 644,599.39 | 655,081 |
| US TREASURY NOTES N/B 3.125% 05/15/2019 | 195,000.000 | 192,318.75 | 184,671 |
| US TREASURY NOTES 1.5% 07/15/2012 | 405,000.000 | 404,556.64 | 405,632 |
| VERIZON COMMUNICATIONS | 14,000.000 | 355,040.60 | 463,820 |
| WAL-MART STORES INC SR UNSECURED 6.5% 08/15/2037 | 75,000.000 | 75,741.00 | 85,349 |
| WELLS FARGO COMPANY SR UNSECURED 5.625% 12/11/2017 | 100,000.000 | 99,584.00 | 104,016 |
| **TOTAL PORTFOLIO** | | **26,883,501.32** | **36,070,829.00** |

**VICTORIA S. AND BRADLEY L. GEIST FOUNDATION** **99-0163400**
**FORM 990-PF, PART IV, LINE 1**
**FOR YEAR ENDED 12/31/2009**

| Description | Sold | Acquired | Proceeds | Cost | Gain/Loss |
|---|---|---|---|---|---|
| **** SHORT TERM CAPITAL GAIN (LOSS)** | | | | | |
| 125000 UNITS OF FEDERAL HOME LOAN BANK BONDS 3.25% 01/14/2009 | 01/14/09 | 09/24/08 | 125,000.00 | 125,000.00 | 0.00 |
| 45000 UNITS OF FEDERAL HOME LN BANK BONDS 4.875% 11/18/2011 | 01/14/09 | 01/24/08 | 48,869.10 | 47,684.70 | 1,184.40 |
| 105000 UNITS OF BEAR STEARNS COS INC SR NOTES 5.5% 08/15/2011 | 01/15/09 | 04/29/08 | 107,971.50 | 105,884.10 | 2,087.40 |
| 35000 UNITS OF FEDERAL HOME LN BANK BONDS 4.875% 11/27/2013 | 01/23/09 | 02/13/08 | 38,024.35 | 37,043.30 | 981.05 |
| 730000 UNITS OF FEDERAL HOME LN BANK BONDS 4.875% 11/27/2013 | 01/23/09 | 05/12/08 | 793,079.30 | 767,224.16 | 25,855.14 |
| 400 SHARES OF SPDR S&P 500 ETF TRUST | 02/02/09 | 11/11/08 | 32,723.38 | 35,601.72 | -2,878.34 |
| 1000 SHARES OF SPDR S&P 500 ETF TRUST | 02/02/09 | 11/24/08 | 81,808.44 | 82,160.00 | -351.56 |
| 3600 SHARES OF SPDR S&P 500 ETF TRUST | 02/02/09 | 12/31/08 | 294,510.39 | 320,760.00 | -26,249.61 |
| 4500 SHARES OF UNION PACIFIC CORP | 02/23/09 | 08/06/08 | 171,322.14 | 368,625.88 | -197,303.74 |
| 2500 SHARES OF UNION PACIFIC CORP | 02/23/09 | 12/23/08 | 95,178.96 | 116,871.25 | -21,692.29 |
| 6000 SHARES OF QUEST DIAGNOSTICS INC | 03/03/09 | 07/28/08 | 271,372.48 | 318,953.40 | -47,580.92 |
| 5000 SHARES OF PNC FINANCIAL SERVICES GROUP | 03/05/09 | 08/06/08 | 102,428.93 | 364,642.50 | -262,213.57 |
| 1500 SHARES OF JP MORGAN CHASE & CO | 03/05/09 | 04/17/08 | 25,567.50 | 66,556.20 | -40,988.70 |
| 75000 UNITS OF WAL-MART STORES INC SR UNSECURED 6.5% 08/15/2037 | 04/03/09 | 07/15/08 | 76,363.50 | 75,741.00 | 622.50 |
| 75000 UNITS OF AMERICAN EXPRESS CREDIT SR UNSECURED 5% 12/02/2010 | 04/03/09 | 10/15/08 | 72,750.00 | 69,000.00 | 3,750.00 |
| 2500 SHARES OF BECTON DICKINSON & CO | 04/29/09 | 12/17/08 | 153,659.29 | 170,238.00 | -16,578.71 |
| 150000 UNITS OF MERRILL LYNCH & CO SR UNSECURED 1.29125% 05/08/2009 | 05/08/09 | 09/04/08 | 150,000.00 | 146,361.90 | 3,638.10 |
| 500 SHARES OF APPLE INC | 05/29/09 | 04/21/09 | 67,683.26 | 60,810.00 | 6,873.26 |
| 40462.428 SHARES OF HARBOR MID CAP GROWTH FD-INS | 06/04/09 | 08/08/08 | 227,398.85 | 333,410.40 | -106,011.55 |
| 19417.476 SHARES OF HARBOR MID CAP GROWTH FD-INS | 06/04/09 | 04/29/09 | 109,126.21 | 102,912.62 | 6,213.59 |
| 200 SHARES OF APPLE INC | 06/04/09 | 04/21/09 | 28,333.63 | 24,324.00 | 4,009.63 |
| 4000 SHARES OF SPDR S&P 500 ETF TRUST | 06/04/09 | 11/24/08 | 375,870.33 | 328,640.00 | 47,230.33 |
| 200000 UNITS OF MORGAN STANLEY FDIC GUARANTEED 1.95% 06/20/2012 | 06/04/09 | 01/14/09 | 200,076.00 | 199,916.00 | 160.00 |
| 4000 SHARES OF GAMESTOP CORP CL A | 06/24/09 | 04/30/09 | 86,844.96 | 121,117.20 | -34,272.24 |
| 3000 SHARES OF SPDR S&P 500 ETF TRUST | 06/24/09 | 11/24/08 | 269,993.05 | 246,480.00 | 23,513.05 |
| 4000 SHARES OF GAMESTOP CORP CL A | 07/02/09 | 04/30/09 | 83,792.24 | 121,117.20 | -37,324.96 |
| 275000 UNITS OF FEDERAL HOME LOAN MTGE CORP 4.125% 07/14/2011-2009 | 07/14/09 | 07/30/08 | 275,000.00 | 274,821.25 | 178.75 |
| 1500 SHARES OF SPDR S&P 500 ETF TRUST | 08/25/09 | 11/24/08 | 154,811.02 | 123,240.00 | 31,571.02 |
| 1000 SHARES OF MASTERCARD INC CLASS A | 09/29/09 | 02/25/09 | 207,286.97 | 165,080.40 | 42,206.57 |
| 1000 SHARES OF BECTON DICKINSON & CO | 10/21/09 | 12/17/08 | 67,531.86 | 68,095.20 | -563.34 |

**VICTORIA S. AND BRADLEY L. GEIST FOUNDATION** **99-0163400**
**FORM 990-PF, PART IV, LINE 1**
**FOR YEAR ENDED 12/31/2009**

| Description | Sold | Acquired | Proceeds | Cost | Gain/Loss |
|---|---|---|---|---|---|
| 100000 UNITS OF GENERAL ELEC CAP CORP FDIC GUARANTEED 3% 12/09/2011 | 11/04/09 | 12/12/08 | 103,448.20 | 101,027.00 | 2,421.20 |
| 4000 SHARES OF JOY GLOBAL INC | 12/08/09 | 04/29/09 | 203,543.17 | 97,621.60 | 105,921.57 |
| 2500 SHARES OF JOY GLOBAL INC | 12/15/09 | 04/29/09 | 139,339.42 | 61,013.50 | 78,325.92 |
| **** TOTAL SHORT TERM CAPITAL GAIN (LOSS)** | | | 5,240,708.43 | 5,647,974.48 | -407,266.05 |
| **** LONG TERM CAPITAL GAIN (LOSS)** | | | | | |
| 175000 UNITS OF FEDERAL NATIONAL MTGE ASSN NOTES 5.5% 07/09/2010-2009 | 01/09/09 | 06/27/07 | 175,000.00 | 174,945.31 | 54.69 |
| 280000 UNITS OF FEDERAL HOME LN BANK BONDS 4.875% 11/18/2011 | 01/14/09 | 11/27/06 | 304,074.40 | 280,985.60 | 23,088.80 |
| 100000 UNITS OF FEDERAL HOME LN BANK BONDS 4.875% 11/18/2011 | 01/14/09 | 02/22/07 | 108,598.00 | 99,510.00 | 9,088.00 |
| 125000 UNITS OF FEDERAL HOME LN BANK BONDS 4.875% 11/18/2011 | 01/14/09 | 06/06/07 | 135,747.50 | 123,261.75 | 12,485.75 |
| 3281.31 UNITS OF NEW VALLEY GENERATION IV TVA PASS THRU CERTS 4.687% 01/15/2022 | 01/15/09 | 07/05/06 | 3,281.31 | 3,018.12 | 263.19 |
| 3500 SHARES OF BANK OF AMERICA CORP | 01/15/09 | 01/24/96 | 28,454.84 | 56,693.15 | -28,238.31 |
| 3500 SHARES OF BANK OF AMERICA CORP | 01/16/09 | 01/24/96 | 24,886.26 | 56,693.14 | -31,806.88 |
| 2500 SHARES OF AFLAC INC | 01/26/09 | 06/18/02 | 54,109.20 | 79,967.75 | -25,858.55 |
| 200 SHARES OF ALLSTATE CORP | 01/26/09 | 01/15/08 | 5,097.87 | 10,367.70 | -5,269.83 |
| 13636.362 UNITS OF TOYOTA MOTOR CREDIT CORP. NOTES SER MTN 2.75% 08/06/2009 | 02/06/09 | 04/13/04 | 13,636.36 | 13,566.82 | 69.54 |
| 9090.908 UNITS OF TOYOTA MOTOR CREDIT CORP. NOTES SER MTN 2.75% 08/06/2009 | 02/06/09 | 07/16/04 | 9,090.91 | 8,978.18 | 112.73 |
| 5000 UNITS OF GENWORTH FINANCIAL INC NOTES 5.75% 06/15/2014 | 02/04/09 | 09/20/07 | 2,263.50 | 4,977.80 | -2,714.30 |
| 6000 UNITS OF GENWORTH FINANCIAL INC NOTES 5.75% 06/15/2014 | 02/04/09 | 09/20/07 | 2,652.00 | 5,973.36 | -3,321.36 |
| 189000 UNITS OF GENWORTH FINANCIAL INC NOTES 5.75% 06/15/2014 | 02/04/09 | 09/20/07 | 79,380.00 | 188,160.84 | -108,780.84 |
| 22727.5 UNITS OF FIFTH THIRD BANCORP NOTES SER BKNT 2.87% 08/10/2009 | 02/11/09 | 02/24/04 | 22,727.50 | 22,841.14 | -113.64 |
| 275000 UNITS OF FEDERAL HOME LOAN BANK BONDS 4.45% 02/12/2013-2008 | 02/12/09 | 01/29/08 | 275,000.00 | 275,000.00 | 0.00 |
| 23837.902 SHARES OF PACIFIC CAPITAL SMALL CAP FUND | 02/17/09 | 07/11/03 | 191,656.72 | 310,846.23 | -119,189.51 |
| 2500 SHARES OF AFLAC INC | 02/17/09 | 08/22/02 | 46,886.99 | 78,425.00 | -31,538.01 |
| 3000 SHARES OF ILLINOIS TOOL WORKS INC | 02/18/09 | 12/03/92 | 98,216.45 | 24,290.63 | 73,925.82 |

**VICTORIA S. AND BRADLEY L. GEIST FOUNDATION** **99-0163400**
**FORM 990-PF, PART IV, LINE 1**
**FOR YEAR ENDED 12/31/2009**

| Description | Sold | Acquired | Proceeds | Cost | Gain/Loss |
|---|---|---|---|---|---|
| 4000 SHARES OF BOEING CO | 02/23/09 | 12/03/92 | 146,199.18 | 69,840.00 | 76,359.18 |
| 2800 SHARES OF JP MORGAN CHASE & CO | 03/05/09 | 01/15/08 | 47,726.01 | 112,426.72 | -64,700.71 |
| 250000 UNITS OF FEDERAL HOME LOAN BANK BONDS 4.6% 01/14/2015-2010 | 03/18/09 | 02/25/08 | 254,406.25 | 249,625.00 | 4,781.25 |
| 45000 UNITS OF TEXTRON FINANCIAL CORP NOTES 5.125% 11/01/2010 | 03/17/09 | 10/29/07 | 30,379.50 | 44,955.45 | -14,575.95 |
| 4000 SHARES OF DEVON ENERGY CORP | 03/18/09 | 02/13/01 | 183,496.17 | 92,467.63 | 91,028.54 |
| 150000 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN NOTES 6.375% 06/15/2009 | 04/03/09 | 07/13/99 | 151,695.75 | 149,635.50 | 2,060.25 |
| 250000 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN NOTES 6.375% 06/15/2009 | 04/03/09 | 07/10/01 | 252,826.25 | 257,226.56 | -4,400.31 |
| 175000 UNITS OF US TREASURY NOTES 4.25% 11/15/2017 | 04/03/09 | 01/08/08 | 195,801.76 | 180,796.88 | 15,004.88 |
| 100000 UNITS OF TENNESSEE VALLEY AUTH NOTES 4.5% 04/01/2018 | 04/03/09 | 03/05/08 | 101,655.40 | 99,973.00 | 1,682.40 |
| 145000 UNITS OF US TREASURY BONDS 5.25% 11/15/2028 | 04/03/09 | 05/26/05 | 174,679.69 | 160,768.75 | 13,910.94 |
| 155000 UNITS OF US TREASURY BONDS 5.25% 11/15/2028 | 04/03/09 | 01/26/06 | 186,726.56 | 166,025.59 | 20,700.97 |
| 125000 UNITS OF GENERAL ELECTRIC CAPITAL CORP NOTES 5.72% 08/22/2011-2009 | 04/02/09 | 08/16/06 | 124,062.50 | 125,000.00 | -937.50 |
| 100000 UNITS OF GOLDMAN SACHS GROUP INC SUB NOTES 5.625% 01/15/2017 | 04/03/09 | 01/11/07 | 80,500.00 | 99,530.00 | -19,030.00 |
| 100000 UNITS OF ALABAMA POWER CO BONDS SER 1 5.65% 03/15/2035-2015 | 04/03/09 | 03/08/05 | 88,419.00 | 100,000.00 | -11,581.00 |
| 100000 UNITS OF PHARMACIA CORPORATION DEBENTURES 6.75% 12/15/2027 | 04/03/09 | 03/05/04 | 101,767.00 | 119,424.00 | -17,657.00 |
| 125000 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN NOTES 5.55% 03/29/2019-2008 | 04/13/09 | 03/25/08 | 125,000.00 | 124,726.57 | 273.43 |
| 15000 UNITS OF CIT GROUP CO OF CANADA COMPANY GUARNT 4.65% 07/01/2010 | 04/24/09 | 09/14/07 | 12,075.00 | 13,837.50 | -1,762.50 |
| 3500 SHARES OF PHILIP MORRIS INTERNATIONAL | 04/29/09 | 02/23/92 | 130,187.20 | 46,296.58 | 83,890.62 |
| 1500 SHARES OF GRAINGER W W INC | 04/29/09 | 12/03/92 | 125,561.77 | 43,518.75 | 82,043.02 |
| 9197.013 SHARES OF PACIFIC CAPITAL MID-CAP FUND | 05/08/09 | 05/31/07 | 59,688.61 | 121,124.66 | -61,436.05 |
| 1561.063 SHARES OF PACIFIC CAPITAL MID-CAP FUND | 05/08/09 | 12/28/07 | 10,131.30 | 16,188.22 | -6,056.92 |
| 4922.135 SHARES OF PACIFIC CAPITAL MID-CAP FUND | 05/08/09 | 12/28/07 | 31,944.66 | 51,042.54 | -19,097.88 |
| 18878.747 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND | 05/08/09 | 05/31/07 | 111,195.82 | 261,848.22 | -150,652.40 |
| 16332.521 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND | 05/08/09 | 12/28/07 | 96,198.54 | 221,142.33 | -124,943.79 |
| 50000 UNITS OF CIT GROUP CO OF CANADA COMPANY GUARNT 4.65% 07/01/2010 | 05/07/09 | 09/14/07 | 40,250.00 | 46,125.00 | -5,875.00 |
| 2000 SHARES OF JOHNSON & JOHNSON | 06/04/09 | 02/23/92 | 111,937.12 | 24,804.70 | 87,132.42 |

**VICTORIA S. AND BRADLEY L. GEIST FOUNDATION** **99-0163400**
**FORM 990-PF, PART IV, LINE 1**
**FOR YEAR ENDED 12/31/2009**

| Description | Sold | Acquired | Proceeds | Cost | Gain/Loss |
|---|---|---|---|---|---|
| 350000 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN NOTES 6.375% 06/15/2009 | 06/15/09 | 07/13/99 | 350,000.00 | 349,149.50 | 850.50 |
| 70000 UNITS OF CIT GROUP CO OF CANADA COMPANY GUARNT 4.65% 07/01/2010 | 06/12/09 | 09/14/07 | 63,000.00 | 64,575.00 | -1,575.00 |
| 100000 UNITS OF BOTTLING GROUP LLC COMPANY GUARNT 4.625% 11/15/2012 | 06/18/09 | 12/06/07 | 106,128.00 | 100,185.00 | 5,943.00 |
| 4000 SHARES OF PHILIP MORRIS INTERNATIONAL | 06/24/09 | 02/23/92 | 166,315.72 | 52,910.37 | 113,405.35 |
| 75000 UNITS OF STARBUCKS CORP SR UNSECURED 6.25% 08/15/2017 | 07/22/09 | 10/17/07 | 76,237.50 | 76,470.00 | -232.50 |
| 55000 UNITS OF STARBUCKS CORP SR UNSECURED 6.25% 08/15/2017 | 07/22/09 | 11/06/07 | 55,907.50 | 56,441.00 | -533.50 |
| 3000 SHARES OF SIGMA - ALDRICH CORP | 08/04/09 | 11/14/96 | 156,691.97 | 47,196.45 | 109,495.52 |
| 3000 SHARES OF MEDTRONIC INC | 08/04/09 | 11/29/95 | 107,475.23 | 40,387.50 | 67,087.73 |
| 13636.368 UNITS OF TOYOTA MOTOR CREDIT CORP. NOTES SER MTN 2.75% 08/06/2009 | 08/06/09 | 04/13/04 | 13,637.87 | 13,566.82 | 71.05 |
| 9090.912 UNITS OF TOYOTA MOTOR CREDIT CORP. NOTES SER MTN 2.75% 08/06/2009 | 08/06/09 | 07/16/04 | 9,091.91 | 8,978.18 | 113.73 |
| 22725 UNITS OF FIFTH THIRD BANCORP NOTES SER BKNT 2.87% 08/10/2009 | 08/10/09 | 02/24/04 | 22,725.00 | 22,838.62 | -113.62 |
| 1000 SHARES OF MEDTRONIC INC | 08/25/09 | 11/29/95 | 37,734.73 | 13,462.50 | 24,272.23 |
| 2000 SHARES OF MEDTRONIC INC | 08/25/09 | 01/24/96 | 75,469.45 | 26,675.00 | 48,794.45 |
| 100000 UNITS OF SBC COMMUNICATIONS INC NOTES 4.125% 09/15/2009 | 09/15/09 | 03/28/08 | 100,000.00 | 100,762.00 | -762.00 |
| 25000 UNITS OF SBC COMMUNICATIONS INC NOTES 4.125% 09/15/2009 | 09/15/09 | 03/28/08 | 25,000.00 | 25,190.50 | -190.50 |
| 70000 UNITS OF SBC COMMUNICATIONS INC NOTES 4.125% 09/15/2009 | 09/15/09 | 06/03/08 | 70,000.00 | 70,331.80 | -331.80 |
| 6000 SHARES OF GILEAD SCIENCES INC | 10/06/09 | 05/02/08 | 271,901.01 | 318,240.00 | -46,338.99 |
| 1000 SHARES OF GILEAD SCIENCES INC | 10/06/09 | 05/12/08 | 45,316.83 | 54,460.00 | -9,143.17 |
| 2000 SHARES OF MEDTRONIC INC | 10/21/09 | 01/24/96 | 73,920.09 | 26,675.00 | 47,245.09 |
| 4284 SHARES OF NORTHROP GRUMMAN CORP | 10/21/09 | 03/03/00 | 215,216.62 | 217,068.93 | -1,852.31 |
| 1500 SHARES OF BOEING CO | 10/21/09 | 12/03/92 | 76,423.18 | 26,190.00 | 50,233.18 |
| 1500 SHARES OF AUTOMATIC DATA PROCESSING INC | 10/21/09 | 12/03/92 | 61,063.42 | 17,750.24 | 43,313.18 |
| 200000 UNITS OF FEDERAL FARM CREDIT BANK BONDS 3.375% 11/18/2011-2009 | 11/18/09 | 11/13/08 | 200,000.00 | 200,000.00 | 0.00 |
| 200000 UNITS OF INTL LEASE FINANCE NOTES SER MTN FLT QTRLY 5.16875% 07/13/2012 | 11/18/09 | 07/26/06 | 154,000.00 | 200,000.00 | -46,000.00 |
| 3000 SHARES OF SIGMA - ALDRICH CORP | 12/16/09 | 11/14/96 | 159,271.90 | 47,196.45 | 112,075.45 |
| 3000 SHARES OF INTEL CORP | 12/17/09 | 09/13/07 | 57,418.52 | 76,112.10 | -18,693.58 |
| **** TOTAL LONG TERM CAPITAL GAIN (LOSS)** | | | 7,305,217.30 | 7,069,665.63 | 235,551.67 |

| Organization | Address | Project Title | Check Date | Grant Amount |
|---|---|---|---|---|
| Catholic Charities Hawai'i | 200 North Vineyard, Ste. 200 Honolulu, HI 96817 | Horizons Community Outreach Program (over 3 years) | 07/29/09 | 100,000.00 |
| Catholic Charities Hawai'i | 200 North Vineyard, Ste. 200 Honolulu, HI 96817 | Supporting Transitioning Foster Youth (over 3 years) final pmt | 02/10/09 | 97,902.00 |
| Chaminade University of Honolulu | 3140 Waialae Avenue Honolulu, HI 96816-1578 | Metabolic Regulation of Mast Cell Inflammatory Potential | 11/12/09 | 43,868.00 |
| Children's Alliance of Hawaii, Inc. | 1100 Alakea St., Suite 400 Honolulu, HI 96813 | Enhancements - Kauai | 11/19/09 | 15,900.00 |
| Children's Alliance of Hawaii, Inc. | 1100 Alakea St., Suite 400 Honolulu, HI 96813 | Enhancements | 11/19/09 | 30,000.00 |
| Effective Planning Innovative Communication, Inc. | 1130 N. Nimitz Hwy, #C-210 Honolulu,HI 96817 | E Makua Ana Youth Circle Program Expansion (4th request) | 07/29/09 | 100,000.00 |
| Effective Planning Innovative Communication, Inc. | 1130 N. Nimitz Hwy, #C-210 Honolulu, HI 96817 | Hawaii Permanency Project | 09/16/09 | 10,480.00 |
| Effective Planning Innovative Communication, Inc. | 1130 N. Nimitz Hwy, #C-210 Honolulu, HI 96817 | Jim Casey Youth Opportunities Initiative Planning Grant | 09/29/09 | 20,000.00 |
| Family Programs Hawai'i | 680 Ala Moana Blvd., #200 Honolulu, HI 96813 | Ma Ka Hana Ka 'Ike Program | 07/29/09 | 25,272.00 |
| Family Programs Hawai'i | 680 Ala Moana Blvd., #200 Honolulu, HI 96813 | Enhancements | 08/27/09 | 35,000.00 |
| Family Programs Hawai'i | 680 Ala Moana Blvd., #200 Honolulu, HI 96813 | Enhancements | 11/19/09 | 50,000.00 |
| Family Programs Hawai'i | 680 Ala Moana Blvd., #200 Honolulu, HI 96813 | Respite Program | 11/19/09 | 71,400.00 |
| Friends of the Children's Justice Center of East Hawaii Inc. | 1290 Kinoole St. Hilo, HI 96720 | Enhancements | 11/19/09 | 46,500.00 |
| Friends of the Children's Justice Center of Maui, Inc. | 1773-A Wili Pa Loop Wailuku, HI 96793 | Enhancements | 11/19/09 | 42,000.00 |
| Friends of the Children's Justice Center of West Hawaii | 77-6403 Nalani St. Kailua-Kona, HI 96740 | Enhancements | 11/19/09 | 27,000.00 |
| Hale Kipa, Inc. | 615 Piikoi St. Ste. 203 Honolulu, HI 96814 | Haloa Transitional Residence | 07/29/09 | 20,000.00 |

| | | | | |
|---|---|---|---|---|
| Hale Kipa, Inc. | 615 Piikoi St., Suite 203 Honolulu, HI 96814-3139 | Family Unification Program Housing Vouchers for Youth Transitioning from Foster Care (over 3 years) | 11/19/09 | 65,000.00 |
| Hawaii Community Foundation | 1164 Bishop St., Suite 800 Honolulu, HI 96813 | Assessment of Jim Casey Youth Opportunities Initiative | 02/09/09 | 20,000.00 |
| Hawaii Nature Center | 2131 Makiki Heights Drive Honolulu, HI 96822 | Camps for Children in Foster Care | 03/30/09 | 44,000.00 |
| It Takes an Ohana | 76 N King St. Ste 201 Honolulu, HI 96817 | Sustainability Exploration | 09/18/09 | 10,000.00 |
| Kapiolani Health Foundation | 55 Merchant St., 26th Flr. Honolulu, HI 96813 | Peer Mentoring & Survivors Offering Support Program | 12/23/09 | 35,000.00 |
| Ma Ka Hana Ka Ike Building Program | P. O. Box 968 Hana, HI 96713 | Hana School Building Program | 03/30/09 | 30,000.00 |
| Outreach for Grieving Youth Alliance | P.O. Box 11260 Honolulu, HI 96828 | Hawaii Foster Youth Coalition | 07/29/09 | 52,375.00 |
| Partners in Development | 2040 Bachelot Street Honolulu,HI 96817 | DHS:The Statewide 2009 Child and Family Services Review, Program Improvement Plan Kick-Off Conference | 08/25/09 | 11,600.00 |
| Partners in Development | 2040 Bachelot Street Honolulu, HI 96817 | Staff Development | 03/30/09 | 10,844.00 |
| Tripler Army Medical Center, Dept of Clinical Investigation | 1 Jarrett White Road, Bldg. 40 Honolulu, HI 96859-5000 | Effect of Polypropylene Mesh Implantation on Wound-Healing and Extracellular Matrix Remodeling in a Rat Model - Dept of Clinical Investigation | 11/12/09 | 50,000.00 |
| University of Hawaii - Office of Research Services | 2530 Dole St., Sakamaki D200 Honolulu, HI 96822 | Recombinant oligomeric antimicrobial peptides with enhanced activities-Dept. of MBB | 06/04/09 | 50,000.00 |
| University of Hawaii - Office of Research Services | 2530 Dole St., Sakamaki D200 Honolulu, HI 96822 | RSK2 modulates cell adhesion-CRCH | 06/04/09 | 50,000.00 |
| University of Hawaii - Office of Research Services | 2530 Dole St., Sakamaki D200 Honolulu, HI 96822 | The Role of Antibody in the Pathogenesis of Acute Rheumatic Fever - JABSOM | 11/12/09 | 49,960.00 |
| University of Hawaii - Office of Research Services | 2530 Dole St., Sakamaki D200 Honolulu, HI 96822 | Relaxin signaling at the maternal-fetal interface-JABSOM | 10/30/09 | 50,000.00 |
| | | | | 1,264,101.00 |

| Grantee | Grant Purpose | Grant Amount |
|---|---|---|
| For the Benefit of | | |
| **Brookhaven College**<br>Financial Aid Office<br>3939 Valley View Lane<br>Farmers Branch, TX 75244-4997 | | |
| Mason, Joy | Scholarship | $1,750.00 |
| | Subtotal: | $1,750.00 |
| **California St Univ-Bakersfield**<br>Financial Aid Office<br>9001 Stockdale Hwy.<br>Bakersfield, CA 93311-1099 | | |
| Mingo, Tessie | Scholarship | $5,500.00 |
| | Subtotal: | $5,500.00 |
| **Capitol School of Hairstyling & Esthetics**<br>Financial Aid Office<br>2819 S 125 Ave<br>Omaha, NE 68144 | | |
| Alfaro, Aja | Scholarship | $1,500.00 |
| | Subtotal: | $1,500.00 |
| **Chaminade Univ of Honolulu**<br>Financial Aid Office<br>3140 Waialae Ave, Freitas Hall Room 11<br>Honolulu, HI 96816 | | |
| Brown, Crystal | Scholarship | $3,000.00 |
| Chanhpheng, Jenny | Scholarship | $3,500.00 |
| Chun-Llanos, Malia | Scholarship | $5,500.00 |
| | Subtotal: | $12,000.00 |

**College of Lake County**
Financial Aid Office
19351 W Washington St.
Grayslake, IL 60030-1198

| | | |
|---|---|---|
| Jones, Sade | Scholarship | $4,000.00 |
| | Subtotal: | $4,000.00 |

**Concordia University-Irvine**
Financial Aid Office
1530 Concordia W
Irvine, CA 92612-3299

| | | |
|---|---|---|
| Barshaw, Jana | Scholarship | $4,000.00 |
| | Subtotal: | $4,000.00 |

**El Camino College**
Foundation Office
16007 Crenshaw Blvd.
Torrance, CA 90506-0001

| | | |
|---|---|---|
| Maldonado, Celestine | Scholarship | $3,500.00 |
| | Subtotal: | $3,500.00 |

**Hawaii Community College**
Financial Aid Office
200 W. Kawili St.
Hilo, HI 96720-4091

| | | |
|---|---|---|
| Akau, Jessip | Scholarship | $2,000.00 |
| Duyao, Mysha | Scholarship | $1,000.00 |
| Duyao, Myles | Scholarship | $2,000.00 |
| Espinoza, Melody | Scholarship | $2,000.00 |
| Hank, Ryan | Scholarship | $1,000.00 |
| Holton, Cherie | Scholarship | $1,250.00 |
| Lyman III, Harvey | Scholarship | $2,500.00 |
| Mackay, Ross | Scholarship | $2,000.00 |

| | | |
|---|---|---|
| Makaila, Taira | Scholarship | $2,000.00 |
| Mamuad, Nellieshy | Scholarship | $3,750.00 |
| Mariani, Savannah | Scholarship | $1,000.00 |
| Method, Christina | Scholarship | $2,500.00 |
| Paulo, Nohea | Scholarship | $2,000.00 |
| Revilla, Kaylynn | Scholarship | $1,000.00 |
| Smythe, Shaylene | Scholarship | $2,000.00 |
| Teixeira, Hapaki | Scholarship | $2,000.00 |
| Tonga, Sunshine | Scholarship | $1,000.00 |
| Tonga, Fane | Scholarship | $1,000.00 |
| Watson, Katnna | Scholarship | $2,000.00 |
| Yonemura, Joshua | Scholarship | $1,000.00 |
| | Subtotal: | $35,000.00 |

**Hawaii Pacific Univ - Nursing**
Financial Aid Office
1164 Bishop Street, #201
Honolulu, HI 96813

| | | |
|---|---|---|
| Lee, Mint | Scholarship | $4,500.00 |
| | Subtotal: | $4,500.00 |

**Hawaii Pacific University**
Financial Aid Office
1164 Bishop Street, #201
Honolulu, HI 96813

| | | |
|---|---|---|
| Lanoza, Blake | Scholarship | $3,000.00 |
| Payne, Lattasha | Scholarship | $3,000.00 |
| Torricer, Wendi | Scholarship | $2,250.00 |
| Yonekura, Kintaro | Scholarship | $3,500.00 |
| | Subtotal: | $11,750.00 |

**Heald College-Honolulu**
Financial Aid Office
1500 Kapiolani Boulevard
Honolulu, HI 96814

| | | |
|---|---|---|
| Hollins, Amanda | Scholarship | $2,000.00 |
| | Subtotal: | $2,000.00 |

**Honolulu Community College**
Financial Aid Office
874 Dillingham Blvd.
Honolulu, HI 96817

| | | |
|---|---|---|
| Gilliland, Adrian | Scholarship | $2,500.00 |
| Gouveia, Rocky | Scholarship | $1,000.00 |
| Hernandez, Joseph | Scholarship | $1,000.00 |
| Kodama, Stephanie | Scholarship | $1,000.00 |
| Lum, Tanisha | Scholarship | $1,250.00 |
| Powers, Ricardo | Scholarship | $1,000.00 |
| Richardson, Douglas | Scholarship | $1,000.00 |
| Riofta, Jonathan | Scholarship | $2,000.00 |
| Rodriguez, Ashlyn | Scholarship | $2,500.00 |
| Silva, Shawn | Scholarship | $2,000.00 |
| Sugahara, Roslyn | Scholarship | $2,000.00 |
| Thoene, James | Scholarship | $2,000.00 |
| | Subtotal: | $19,250.00 |

**Kapiolani Community College**
Financial Aid Office
4303 Diamond Head Road
Honolulu, HI 96816

| | | |
|---|---|---|
| Collins, Noel | Scholarship | $2,500.00 |
| Crews, Westley | Scholarship | $2,000.00 |

| | | |
|---|---|---|
| Francis, Cheyenne | Scholarship | $2,500.00 |
| Fujii, Brandy | Scholarship | $3,750.00 |
| Manaole, Troy | Scholarship | $2,000.00 |
| Nunn Khan, Arbab | Scholarship | $2,000.00 |
| Santos, Kanani | Scholarship | $1,000.00 |
| Segi, Kayla | Scholarship | $500.00 |
| Turano, Fita | Scholarship | $1,250.00 |
| | Subtotal: | $17,500.00 |

**Kauai Community College**
Financial Aid Office
3-1901 Kaumualii Hwy
Lihue, HI 96766-9591

| | | |
|---|---|---|
| Cachero, Whitney | Scholarship | $3,500.00 |
| Remigio, Jacqueline | Scholarship | $2,000.00 |
| Troche, Nadia | Scholarship | $2,000.00 |
| | Subtotal: | $7,500.00 |

**Leeward Community College**
Financial Aid Office
96-045 Ala Ike
Pearl City, HI 96782

| | | |
|---|---|---|
| Billianor-Pinero, Jazzelle | Scholarship | $1,000.00 |
| Billianor-Pinero, Jazzlyn | Scholarship | $2,000.00 |
| Botanes, Brad | Scholarship | $2,000.00 |
| Cambra-Orpilla, Dezandria | Scholarship | $2,000.00 |
| Cuarisma, Shsardache | Scholarship | $500.00 |
| Das, Krishna | Scholarship | $1,000.00 |
| Dickens, Cheyenne | Scholarship | $1,000.00 |
| Fonseca, Katreena | Scholarship | $1,500.00 |

| | | |
|---|---|---|
| Ganahl-Yates, Chloe | Scholarship | $500.00 |
| Gilman, Rachel | Scholarship | $1,000.00 |
| Goode, Darrellyn | Scholarship | $1,250.00 |
| Guzman, Rosesita | Scholarship | $2,000.00 |
| Ho-Santos, Raenell | Scholarship | $4,000.00 |
| Kaaihue, Bronson | Scholarship | $1,000.00 |
| Kalilikane, Susan | Scholarship | $1,250.00 |
| Katayama-Valdez, Erlynn | Scholarship | $2,000.00 |
| Kim, Jane | Scholarship | $1,000.00 |
| Kolish, Kendall | Scholarship | $1,000.00 |
| Lum, Kelan | Scholarship | $2,500.00 |
| Mahuka-Ridgeway, Conchita | Scholarship | $2,500.00 |
| Mina, Jarvis | Scholarship | $2,000.00 |
| Nagel, Haunani | Scholarship | $2,500.00 |
| Perez-Doi, Shayna | Scholarship | $3,000.00 |
| Silva, Genny | Scholarship | $1,000.00 |
| Soria, Kyra | Scholarship | $1,000.00 |
| Tanaka, Britney | Scholarship | $2,000.00 |
| | Subtotal: | $42,500.00 |

**Liberty University**
Financial Aid Office
1971 University Blvd
Lynchburg, VA 24506-2269

| | | |
|---|---|---|
| Thomas, Jennifer | Scholarship | $4,000.00 |
| | Subtotal: | $4,000.00 |

**Maui Community College**
Financial Aid Office
310 Ka'ahumanu Ave
Kahului, HI 96732

| | | |
|---|---|---|
| Aiwohi, Maile | Scholarship | $2,000.00 |
| Akamine, Jessica | Scholarship | $2,000.00 |
| Bruce, Alexandra | Scholarship | $2,000.00 |
| Donner, Carol-Lynn | Scholarship | $2,500.00 |
| Kaimimoku, Charles | Scholarship | $2,000.00 |
| Lee, Dereck | Scholarship | $1,000.00 |
| Moyer, Tiffanie | Scholarship | $2,500.00 |
| Sproat, Isaac | Scholarship | $2,000.00 |
| Tanaka, Tesla | Scholarship | $2,000.00 |
| | Subtotal: | $18,000.00 |

**MiraCosta College-Oceanside**
Financial Aid Office
1 Barnard Drive
Oceanside, CA 92056

| | | |
|---|---|---|
| Kahoohanohano, John | Scholarship | $3,000.00 |
| | Subtotal: | $3,000.00 |

**Northern Arizona University**
Financial Aid Office
Knoles Drive, Babbit Administrative Center
Flagstaff, AZ 86011-4132

| | | |
|---|---|---|
| Ramirez, Melanie Cristie | Scholarship | $4,000.00 |
| | Subtotal: | $4,000.00 |

**Oregon State University**
Financial Aid Office
Administrative Services A218
Corvallis, OR 97331

| | | |
|---|---|---|
| Felix, Emic | Scholarship | $4,000.00 |
| Naauao, Wahineaukai | Scholarship | $2,000.00 |
| | Subtotal: | $6,000.00 |

**San Diego State University**
Scholarship Office
5500 Campanile Dr
San Diego, CA 92182-0585

| | | |
|---|---|---|
| Robinson, Elizabeth | Scholarship | $5,500.00 |
| | Subtotal: | $5,500.00 |

**San Jose City College**
Financial Aid Office
2100 Moorpark Ave
San Jose, CA 95128-2798

| | | |
|---|---|---|
| Wong, Salvador | Scholarship | $1,750.00 |
| | Subtotal: | $1,750.00 |

**Trinity Western University**
Financial Aid Office
PO BOX 1409
Blaine, WA 98231-1409

| | | |
|---|---|---|
| Barshaw, Julie | Scholarship | $1,375.00 |
| | Subtotal: | $1,375.00 |

**Troy Univeristy**
Financial Aid Office
Univeristy Ave.
Troy, AL 36082

| | | |
|---|---|---|
| Rada, Daniel | Scholarship | $4,500.00 |
| | Subtotal: | $4,500.00 |

**Univ of California-Berkeley**
Financial Aid Office
201 Sprout Hall #1960
Berkeley, CA 94720

| | | |
|---|---|---|
| Weems, Francesca | Scholarship | $2,750.00 |
| | Subtotal: | $2,750.00 |

**Univ of Hawaii -- West Hawaii Campus**
Financial Aid Office
81-964 Haleki'i St.
Kealakekua, HI 96750

| | | |
|---|---|---|
| Baker-Anderson, Tyler | Scholarship | $625.00 |
| | Subtotal: | $625.00 |

**Univ of Hawaii -- West Oahu**
Financial Aid Office
96-043 Ala Ike Street
Pearl City, HI 96782

| | | |
|---|---|---|
| Carlson, Amber | Scholarship | $1,250.00 |
| Daniluck, Chelsea | Scholarship | $4,500.00 |
| Gamboa, Jacquelyn | Scholarship | $4,500.00 |
| Melendez-Makimoto, Magdalena | Scholarship | $4,000.00 |
| Peltier, Wendy | Scholarship | $4,500.00 |
| Tacub, Jamie Lee | Scholarship | $4,500.00 |
| | Subtotal: | $23,250.00 |

**Univ of Hawaii at Hilo**
Financial Aid Office
200 W Kawili Street
Hilo, HI 96720-4091

| | | |
|---|---|---|
| Afong, Jessica | Scholarship | $3,000.00 |
| Carvalho-Johnson, Genista | Scholarship | $4,000.00 |
| Clerk, Brenda | Scholarship | $3,000.00 |

| | | |
|---|---|---|
| Fonseca, Katreena | Scholarship | $1,500.00 |
| Fonseca, Kevin | Scholarship | $3,000.00 |
| Gamiao, Elizabeth-Elyse | Scholarship | $3,000.00 |
| Kahaleua, Bronson | Scholarship | $3,000.00 |
| Limkin, Keola | Scholarship | $3,000.00 |
| Lyman, Faren | Scholarship | $1,500.00 |
| Martinez, Danielle | Scholarship | $3,000.00 |
| Mehau, Jo-Lyn | Scholarship | $3,000.00 |
| Rudolfo, Layna-Allyson | Scholarship | $3,500.00 |
| Tautua, Chantell | Scholarship | $4,000.00 |
| Yorong, Keshia | Scholarship | $3,000.00 |
| | Subtotal: | $41,500.00 |

**Univ of Hawaii at Manoa**
Financial Aid Office
2600 Campus Road, Room 112
Honolulu, HI 96822

| | | |
|---|---|---|
| Adviento, Judy | Scholarship | $1,500.00 |
| Carvalho, Kimo | Scholarship | $2,500.00 |
| Fulks, Tania | Scholarship | $3,500.00 |
| Malufau, Malia | Scholarship | $4,500.00 |
| Mattos, Kaiser-Lee | Scholarship | $3,500.00 |
| Melendez-Makimoto, Victoria | Scholarship | $4,500.00 |
| Miner, Leslie Ann | Scholarship | $5,500.00 |
| Roos, Dominic | Scholarship | $3,000.00 |
| Straley, Jonathan | Scholarship | $3,000.00 |
| Tanaka, Yuki Lei | Scholarship | $2,750.00 |
| Yadao, Sharmae | Scholarship | $4,500.00 |

| | | |
|---|---|---|
| | Subtotal: | $38,750.00 |
| **Univ of Hawaii Med School**<br>Financial Aid Office<br>2600 Campus Road Rm 112<br>Honolulu, HI 96822 | | |
| Raymond, Sasha | Scholarship | $5,500.00 |
| Tumbaga, Gloria Marie F.K | Scholarship | $5,500.00 |
| | Subtotal: | $11,000.00 |
| **Univ of Nevada-Las Vegas**<br>Student Financial Services<br>4505 Maryland Parkway, Box 452026<br>Las Vegas, NV 89154-2026 | | |
| Dumlao, David | Scholarship | $4,500.00 |
| Gouveia, Nicholus | Scholarship | $4,000.00 |
| | Subtotal: | $8,500.00 |
| **Univ of Washington**<br>Student Fiscal Services Office<br>PO Box 249670<br>Seattle, WA 98195-5871 | | |
| Sanchez, Elijah | Scholarship | $8,000.00 |
| | Subtotal: | $8,000.00 |
| **Wayland Baptist University Hawaii**<br>Financial Aid Office<br>99-080 Kauhale St., Suite 14<br>Aiea, HI 96701 | | |
| Miller, Brittany | Scholarship | $3,000.00 |
| | Subtotal: | $3,000.00 |

| | | |
|---|---|---|
| **Weber State University**<br>Scholarship Office<br>1137 University Circle<br>Ogden, UT 84408-1137 | | |
| Stewart, Elizabeth | Scholarship | $5,500.00 |
| | Subtotal: | $5,500.00 |
| **Windward Community College**<br>Financial Aid Office<br>45-720 Keaahala Road<br>Kaneohe, HI 96744 | | |
| Carlson, Amber | Scholarship | $1,250.00 |
| Chong Wong, Crystal | Scholarship | $2,500.00 |
| Genovia, Brittany | Scholarship | $2,500.00 |
| Kea, Alyeska | Scholarship | $2,500.00 |
| | Subtotal: | $8,750.00 |
| | **Total Grants:** | **$372,000.00** |

# VICTORIA S. AND BRADLEY L. GEIST FOUNDATION

1164 Bishop Street • Suite 800 • Honolulu • Hawai`i • 96813 • (808) 566-5524

**Enhancements for Foster Children 2010**
**Request for Proposals**

*Due September 15, 2009*

**Background**
Victoria and Bradley Geist were single children who grew up in non-traditional families. They created their successes in life through their own personal efforts. The Geists were quiet philanthropists who gave anonymously and generously. During their lives, they planned to use the wealth they had accumulated to establish the Victoria S. and Bradley L. Geist Foundation.

The purpose of the Foundation's Enhancements for Foster Children program is to enhance the lives of foster children by providing items and services that allow them to enjoy a quality of life similar to that of their peers. The funds are offered in the belief that every child is special and that their growth should be nurtured and celebrated.

**Eligibility Requirements**
Tax-exempt Hawaii organizations are eligible to apply. This includes nonprofit organizations, 501(c)(3) organizations, religious organizations that are exempt from taxation, and units of government.

**Grant Range and Duration** Grants range from $5,000 to $50,000.

- Grantees may propose an administrative fee for administering these funds.
- The grant period is from January 1 through December 31, 2009.

**Grant Requirements**
The Foundation seeks to make grants to organizations to purchase enhancement items and services for the benefit of eligible children.

"Eligible child" means a person who:

- Is under 18 years of age, or is under 21 years of age and remains in the foster care system because he or she is attending high school; and
- Resides in Hawaii; and
- Is in one of the following categories:
  - Is in the foster custody of a Hawai`i state government agency; or
  - Is placed by a Hawai`i state government agency in therapeutic foster placement or in kinship, foster, respite, guardianship, permanent custody, or adoptive families.

"Enhancement items and services" means:

(1) Extracurricular activities (e.g., graduation or prom attire, field trips, athletic uniforms);
(2) Hobbies, sports, and cultural activities (e.g., hula lessons, soccer registration);
(3) Intersession activities (e.g., summer camp);

*Revised September 2009*

(4) Facilitation of transition into adulthood (e.g., driver's education, copy of birth certificate); or
(5) Other quality of life enhancements (e.g., books, toys).

The following restrictions apply:

(1) Each expenditure must respond to a specific request made by an eligible child's foster caregiver, social worker, therapist, school counselor, guardian ad litem, or similar professional service provider for a specific item or service that will enhance the child's quality of life.
(2) Payments must be made to vendors for the benefit of eligible children. Payments must not be made directly to children or their foster caregivers. Grantees may provide vendor gift cards to children or foster caregivers only in cases where a vendor declines to accept a grantee's check.
(3) Enhancements funds are not intended for basic living expenses such as housing, groceries, medical and dental care, and ordinary tuition expenses.
(4) Grantees may expend no more than $500 per eligible child during the grant period.
(5) Grantees must return all unexpended funds at the end of the grant period.
(6) Grantees must submit a final report by January 31, 2010. The Foundation may require interim reports. The Foundation does not accept proposals from organizations with overdue reports.

**Application requirements**

Submit one original of each item listed below. No copies are required.

(1) Proposal cover sheet (available at www.hawaiicommunityfoundation.org/privatefoundations).

(2) Proposal (Maximum 5 single-spaced pages. Minimum 12-point font.) Please use these headings:

- Organization: Describe your organization's mission and programs. Describe the geographic area and the number and age range of the eligible children you propose to serve.
- Enhancements: Describe the enhancement items and services you propose to fund. Describe any programs your organization currently offers that will be complemented by the Enhancements program.
- Outreach: Describe how your organization will market the availability of the Enhancements program to the community you propose to serve. Provide an estimate of the percentage of children served who will be clients of your organization and those who will be referrals from outside of your organization's client base.
- Staff: Describe the qualifications of the staff or volunteers who will oversee and coordinate the Enhancements program.
- Evaluation: Describe how your organization will evaluate the impact of the Enhancements program.

(3) Enhancements program budget, showing:
- Income, including source, amount, restrictions, and whether secured or unsecured; and
- Expenses, including proposed administration fee, if any.

(4) If applicable, interim expense report for Geist Foundation Enhancements for Foster Children 2009. Provide the unduplicated number of children served and the total amount expended in each category:
(1) Extracurricular school expenses (e.g., athletic uniforms, field trip fees)
(2) Social activity expenses (e.g., prom attire, graduation cap and gown)
(3) After-school activities and hobby expenses (e.g., hula lessons, soccer registration)
(4) Intersession school activities (e.g. summer camp)
(5) Other quality of life enhancements (e.g., birthday presents, books, toys)
(6) Facilitation of transition to adulthood (e.g., copy of birth certificate, driver's education)
(7) Administration fee.
Also provide the total, unduplicated number of children served and the total number of children served who are not clients of the agency.

(5) Organization's operating budget for the current year.

(6) Organization's balance sheet for the most recently completed fiscal year.

(7) Organization's income statement (or profit/loss statement) for the most recently completed fiscal year.

(8) List of governing board members, including their professional or community affiliation.

(9) IRS 501(c)(3) determination letter.

**The proposal is due September 15, 2009.**

Mail or deliver proposals to: Victoria S. and Bradley L. Geist Foundation
1164 Bishop St Ste 800
Honolulu HI 96813

For more information, contact Jeanne Hamilton via email at jhamilton@hcf-hawaii.org or call (808) 566-5537 or toll-free from neighbor islands (888) 731-3863 ext. 537.

The Foundation does not accept incomplete applications or applications from organizations with overdue reports.

*Revised September 2009*

# VICTORIA S. AND BRADLEY L. GEIST FOUNDATION

1164 Bishop Street • Suite 800 • Honolulu • Hawai'i • 96813 • (808) 566-5524

**Supporting Foster Children and their Caregivers**
**Request for Proposals**

**Background:**
Victoria and Bradley Geist were single children who grew up in non-traditional families. They created their successes in life through their own personal efforts. The Geists were quiet philanthropists who gave anonymously and generously. During their lives, they planned to use the wealth they had accumulated to establish the Victoria S. and Bradley L. Geist Foundation.

The Foundation wishes to support foster children and their caregivers. The Foundation recognizes that the appropriate resources and support for foster children and their caregivers contribute to healthier and happier lives. The Foundation offers this Request for Proposals to provide meaningful support for efforts that will result in supportive homes and experiences for Hawaii's foster children.

**Funding Priorities:**
Preference will be given to efforts that

- Support the recruitment, training and retention of quality foster parents;
- Support the continued relationships of foster children to their siblings;
- Address the unique needs of foster children or of their caregivers; or
- Empower foster children to participate in the decisions affecting their lives.

**Eligibility Requirements:**

- Tax-exempt Hawaii organizations are eligible to apply. This includes nonprofit organizations, 501(c)(3) organizations, religious organizations that are exempt from taxation, and units of government.
- More than 50 percent of the individuals served by the program must be:
  - Children in the foster custody of a Hawai'i state government agency;
  - Children placed by a Hawai'i state government agency in therapeutic foster placement or in kinship, foster, respite, guardianship, permanent custody, or adoptive families; or
  - Their caregivers.

**Grant Range, Duration, and Exclusions:**

- Grants usually range from $10,000 to $100,000 per year.
- Requests are considered in relationship to the size of the organization's operating budget.
- Unless the organization's clientele are solely legally recognized foster children and their caregivers, administrative fees are capped at 5% per grant award. The Foundation reserves the right to determine those costs that are administrative and subject to the limited percentage.

*Revised July 2008*

- Commitments for up to three years will be considered, contingent on the submission of satisfactory progress reports.
- Capital requests and endowments will not be funded.

**Proposal Review Criteria:**
The strongest proposals will be those that meet all or most of the following criteria:

***Integrity of the Proposed Program***
- Program is well-defined and likely to be implemented successfully,
- Organization demonstrates the ability to deliver the program,
- Program budget is adequate and program costs are reasonable and consistent with the narrative,

***Potential for Impact of the Program***
- Program has the means to measure benefits to the participants or the community,
- Program evaluation and ongoing improvement are clearly incorporated into program design,
- Program demonstrates the ability to leverage other resources (such as funds, in-kind or community partnerships).

**Proposal Package:** Include one set of the following information in the proposal:

A. **Proposal narrative** (6 pages maximum, double-spaced). Include the following information:
   1) Describe your organization.
      - What are the organization's mission and history, geographic reach, and volunteer and/or paid staff size?
      - What is the organization's experience in this field?
      - What are the staff capabilities to conduct the proposed work?
   2) Describe your targeted issue and program.
      - What is the problem or opportunity to be addressed?
      - Who will benefit? Include estimated numbers.
   3) Describe the activities required to implement this program.
      - What key activities will occur?
      - What is the projected timeline?
      - Why is this program design expected to be effective? Describe any research and best practices that influenced this program design and any modifications that have been made.
      - If this is a multi-year request and you expect the activities to be different in years 1, 2, and 3, describe your expectations for how the program will develop.
      - If a consultant will be used, submit the work plan and deliverables. Additional information may be requested.

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3) Describe the anticipated benefits to participants and/or the community.
   - How many unduplicated people will participate and for how long?
   - What changes do you expect among the program participants or the community?
   - How will you track participation and changes? How will you obtain feedback, make improvements and determine effectiveness?
4) Describe your funding plan.
   - Explain the project budget, including adjustments to be made if not all anticipated funding is received.
   - For what duration of time will funding be requested from the Foundation?
   - What are other prospective sources of funding once Foundation funding ceases?

**B. Additional documents**

- Supporting Foster Children and their Caregivers Proposal Cover Sheet, signed by the Executive Director and President of the Board.
- Project or program budget, detailing expenses and all sources of revenue (secured and unsecured) that will support the project or program. For multi-year requests, provide a cumulative budget and budgets for each year.
- Organization's annual operating budget for the current year
- Organization's balance sheet for the most recently completed fiscal year
- Organization's income statement (or profit/loss statement) for the most recently completed fiscal year
- Board of Directors list
- 501(c)(3) determination letter
- Fiscal sponsorship documents, if appropriate

*(Local units of national organizations must submit local unit financial information.)*

**Deadlines are posted at www.hawaiicommunityfoundation.org/privatefoundations.**

Mail or deliver proposals to: Victoria S. and Bradley L. Geist Foundation
1164 Bishop St Ste 800
Honolulu HI 96813

For more information, e-mail foundations@hcf-hawaii.org or call (808) 566-5524 or toll-free from neighbor islands (888) 731-3863 ext. 524.

The Foundation does not accept incomplete applications or applications from organizations with overdue reports.

*Revised July 2008*

# VICTORIA S. AND BRADLEY L. GEIST FOUNDATION

1164 Bishop Street • Suite 800 • Honolulu • Hawai'i • 96813 • (808) 566-5524

## Supporting Transitioning Foster Youth
## Request for Proposals

**Background:** Victoria and Bradley Geist were single children who grew up in non-traditional families. They created their successes in life through their own personal efforts. The Geists were quiet philanthropists who gave anonymously and generously. During their lives, they planned to use the wealth they had accumulated to establish the Victoria S. and Bradley L. Geist Foundation.

The Foundation recognizes that transitioning foster youth will succeed and give back to the community when they have positive and supportive peer and adult networks, opportunities to pursue employment and post-secondary education and training, and basic supports available in the community when needed. The Foundation offers this Request for Proposals to provide meaningful support for efforts to support Hawaii's transitioning foster youth.

**Funding Priorities:**
Preference will be given to efforts that seek youth input and engagement in initial and ongoing program development and work in one or more of the following areas:

- Effectively connect youth with opportunities and community resources for employment and post-secondary education and training.
- Support positive, lifelong adult connections.
- Support positive peer networks.
- Support youth efforts in community service and in advocacy efforts to improve the child welfare system.
- Support youth in meeting basic needs.

**Eligibility Requirements:**

- Tax-exempt Hawaii organizations are eligible to apply. This includes nonprofit organizations, 501(c)(3) organizations, religious organizations that are exempt from taxation, and units of government.
- Non-residential programs must preferentially and primarily (more than 50%) serve current foster youth or young adults up to the age of 24 who have aged out of the state child welfare or mental health systems.
- Funding for residential programs may only serve current foster youth or young adults up to the age of 24 who have aged out of the state child welfare or mental health systems. Youth who have been legally adopted or reunited with their birth families at the age of majority are not eligible for residential services funded under this grant program.

**Grant Range, Duration, and Exclusions:**

- Grants usually range from $10,000 to $100,000 per year.
- Requests are considered in relationship to the size of the organization's operating budget.

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- Unless the organization's clientele are solely legally recognized as current or former foster youth up to the age 24, administrative fees are capped at 5% per grant award. The Foundation reserves the right to determine those costs that are administrative and subject to the limited percentage.
- Commitments for up to three years will be considered, contingent on the submission of satisfactory progress reports.
- Capital requests and endowments will not be funded.

**Proposal Review Criteria:**
The strongest proposals will be those that meet all or most of the following criteria:

*Integrity of the Proposed Program*
- Program is well-defined and likely to be successfully implemented,
- Organization demonstrates the ability to deliver the program,
- Program budget is adequate and program costs are reasonable and consistent with the narrative,
- Program design includes youth input and will engage the targeted population.

*Potential for Impact of the Program*
- Program has the means to measure benefits to the participants or the community,
- Program evaluation and ongoing improvement are clearly incorporated into program design,
- Program demonstrates the ability to leverage other resources (such as funds, in-kind or community partnerships).

**Proposal Package:** Include one set of the following information in the proposal:

A. **Proposal narrative** (6 pages maximum, double-spaced). Include the following information:
   1) Describe your organization.
      - What are the organization's mission and history, geographic reach, and volunteer and/or paid staff size?
      - What is the organization's experience in this field?
      - What are the staff capabilities to conduct the proposed work?
   2) Describe your targeted issue and program.
      - What is the problem or opportunity to be addressed?
      - Who will benefit? Include estimated numbers.
   3) Describe the activities required to implement this program.
      - What key activities will occur?
      - What is the projected timeline?
      - Why is this program design expected to be effective? Describe any research and best practices that influenced this program design and any modifications that have been made.

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- If this is a multi-year request and you expect the activities to be different in years 1, 2, and 3, describe your expectations for how the program will develop.
- If a consultant will be used, submit the work plan and deliverables. Additional information may be requested.

4) Describe the anticipated benefits to participants and/or the community.
   - How many unduplicated people will participate and for how long?
   - What changes do you expect among the program participants or the community?
   - How will you track participation and changes? How will you obtain feedback, make improvements and determine effectiveness?
5) Describe your funding plan.
   - Explain the project budget, including adjustments to be made if not all anticipated funding is received.
   - For what duration of time will funding be requested from the Foundation?
   - What are other prospective sources of funding once Foundation funding ceases?

**B. Additional documents**

- Supporting Transitioning Foster Youth Proposal Cover Sheet, signed by the Executive Director and President of the Board.
- Project or program budget, detailing expenses and all sources of revenue (secured and unsecured) that will support the project or program. For multi-year requests, provide a cumulative budget and budgets for each year.
- Organization's annual operating budget for the current year
- Organization's balance sheet for the most recently completed fiscal year
- Organization's income statement (or profit/loss statement) for the most recently completed fiscal year
- Board of Directors list
- 501(c)(3) determination letter
- Fiscal sponsorship documents, if appropriate

*(Local units of national organizations must submit local unit financial information.)*

**Deadlines are posted at www.hawaiicommunityfoundation/privatefoundations.org**

Mail or deliver proposals to: Victoria S. and Bradley L. Geist Foundation
1164 Bishop St Ste 800
Honolulu HI 96813

For more information, e-mail foundations@hcf-hawaii.org or call (808) 566-5524 or toll-free from neighbor islands (888) 731-3863 ext. 524.

The Foundation does not accept incomplete applications or applications from organizations with overdue reports.

*Revised July 2008*

# VICTORIA S. AND BRADLEY L. GEIST FOUNDATION

1164 Bishop Street • Suite 800 • Honolulu • Hawai`i • 96813 • (808) 566-5524

**Capacity Building**
**Request for Proposals**

**Background:** Victoria and Bradley Geist were single children who grew up in non-traditional families. They created their successes in life through their own personal efforts. The Geists were quiet philanthropists who gave anonymously and generously. During their lives, they planned to use the wealth they had accumulated to establish the Victoria S. and Bradley L. Geist Foundation.

The Foundation wishes to support foster children, their caregivers, and transitioning foster youth. The Foundation recognizes that the strength and capacity of the nonprofit organizations and programs serving foster children, their families, and youth are key to the healthy development of foster children and transitioning youth. The Foundation offers this Request for Proposals to provide meaningful support that enables nonprofit organizations and programs to strengthen and grow their capacity to serve foster children, their caregivers and transitioning foster youth.

**Funding Priorities:**
The Foundation seeks to support projects that will increase the capacity of the organization, the program, or the system in the community to deliver quality services to the clientele described under Eligibility Requirements. Capacity building efforts may address

- Governance and leadership;
- Strategic relationships;
- Evaluation and impact;
- Resource Development;
- Internal operations and management;
- Program design, delivery and evaluation;
- Executive and key staff transitions; and
- Staff training.

**Eligibility Requirements:**

- Tax-exempt Hawaii organizations are eligible to apply. Organizations may be either 501(c)(3) or religious organizations. Units of government and public schools are not eligible under this Request for Proposals. Fiscal sponsorships are not permissible.
- The majority percentage of the organization's or program's clientele or project beneficiaries must be
    - Children in the foster custody of a Hawai`i state government agency;
    - Children placed by a Hawai`i state government agency in therapeutic foster placement or in kinship, foster, respite, guardianship, permanent custody, or adoptive families; or
    - Their caregivers, or

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  - Current foster youth or young adults between the ages of 16 and 24 who have aged out of the state child welfare or mental health systems.
- Organizations or programs must have completed an assessment of organizational or programmatic capacity.

**Grant Range, Duration and Exclusions:**
Grants generally range from $5,000 to $40,000 and may be multi-year commitments, based on submission of satisfactory progress reports. Grants will not be made for capital, endowments, re-granting activities or operating costs.

**Proposal Review Criteria:**
The strongest proposals will be those that meet all or most of the following criteria:

***Readiness to Build Capacity***
- Organization demonstrates awareness of its strengths and weaknesses.
- Planning and/or self-assessment have taken place prior to beginning project.
- Project is based on a well-considered strategy.
- Project timing is relevant for the organization's stage of development.
- Board supports and is engaged in the project. If the project is program-based, upper level management supports and is engaged in the project.
- Organization demonstrates an ability to evolve, learn and be responsive to change.

***Integrity of Proposed Project***
- Project is focused and well defined.
- Project identifies clear outcomes and measures of success.
- Appropriate stakeholders are involved in the project.
- Project budget is adequate, relates to the project narrative, and costs are reasonable.
- If a consultant is involved in the project, that person is qualified to undertake the project

***Potential for Impact of the Project***
- Project will build substantial new capacity within the organization, program, or system.
- Project positions the organization or system for greater impact in fulfilling its mission.
- Project must involve an evaluation/measurement component.

***Programming Excellence & Impact***
Organization's programs demonstrate excellence and impact in serving the children, youth and caregivers are involved in the state's child welfare or child and adolescent mental health systems.

***Financial Condition***
Organization's financial condition is stable, or the proposal explicitly addresses financial management

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**Proposal Package:** The proposal (one copy) should describe the following:

A. **Organizational Profile** *(not to exceed 1 page)*
   - Describe the history of the organization, including an overview of its programs.
   - Briefly describe the organization's place in the child welfare or mental health field and in this community.
   - How does the organization measure its impact upon the needs of its clients or community?

B. **Project Description** *(not to exceed 4 pages)*
   - Of all the things that you could do to strengthen your organization or program, why have you chosen to do this project now? (Reference both internal and external factors.)
   - What have you done internally to prepare for this project? For example, discussions with the management team, involved the appropriate stakeholders, consulted with the board, etc.
   - Who will provide leadership for this project, and why?
   - In addition to Geist grant resources, what other resources, both financial and non-financial will you need for this project? For instance, how much staff time, what types of information, etc.
   - The Foundation invests in capacity building to improve an organization's ability to accomplish its mission and benefit foster children, their caregivers and transitioning foster youth. We realize this impact may take time, and be difficult to measure. Beyond the project outcomes, how do you think this project will contribute to your organization's ability to accomplish its mission and to benefit foster children, their caregivers and transitioning foster youth? How will you measure the impact, and when do you think you will begin to see that impact?
   - If you plan to use a consultant, you must select the consultant and submit their work plan and statement of qualifications along with your proposal. Proposals that include a consultant, but do not include their work plan and statement of qualifications will not be reviewed. Suggested elements of a consultant work plan: www.hawaiicommunityfoundation.org/index.php?id=77
   - If the project includes hiring new staff, attach a job description and discuss how the position will be sustained beyond the grant period.

C. **Project Matrix** -- This is a key component of your proposal, and will be used in the final reporting process. Use the Project Matrix from the website under the Victoria S. and Bradley L Geist Foundation: Capacity Building.

**Additional documents**

- Supporting Capacity Building Proposal Cover Sheet, signed by the Executive Director and President of the Board.
- Project or program budget, detailing expenses and all sources of revenue (secured and unsecured) that will support the project or program. For multi-year requests, provide a cumulative budget and budgets for each year.
- Organization's annual operating budget for the current year

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- Organization's balance sheet for the most recently completed fiscal year
- Organization's income statement (or profit/loss statement) for the most recently completed fiscal year
- Board of Directors list
- 501(c)(3) determination letter

*(Local units of national organizations must submit local unit financial information.)*

**Deadlines are posted at www.hawaiicommunityfoundation.org/privatefoundations.**

Mail or deliver proposals to: Victoria S. and Bradley L. Geist Foundation
1164 Bishop St Ste 800
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# VICTORIA S. AND BRADLEY L. GEIST FOUNDATION

## Capacity Building Project Matrix

**Issue:** *Why is this effort needed?*

**Mission:** *How will this work improve capacity to benefit the foster care community?*

| Outcome<br>*What will be different?* | Activities<br>*What are you going to do?* | Timeframe<br>*When will you do this?* | Predicted Changes<br>*How will you know if the desired change is occurring?* | Actual Changes*<br>*What actually occurred?* |
|---|---|---|---|---|
| | | | | (to be completed for Year 1 progress/final and Year 2 final reports) |

*This column will be used as you implement your project. You will submit the matrix with "Actual Changes" information with progress and final reports.

# VICTORIA S. AND BRADLEY L. GEIST FOUNDATION

## Capacity Building Project Matrix (*sample 1)*

**Issue:** *Why is this effort needed?*
*Example:* Placement of children and youth with neurological challenges in therapeutic foster homes is increasing. Current interventions are not effective; foster parents become frustrated. This leads to more placements for youth and foster parent burn-out.

**Mission:** *How will this work improve capacity to benefit the foster care community?*
*Example:* By educating foster parents and staff in interventions for neurologically challenged youth and providing ongoing support, youth will be maintained in the least restrictive environment and foster parents will be retained.

| **Outcome**<br>*What will be different?* | **Activities**<br>*What are you going to do?* | **Timeframe**<br>*When will you do this?* | **Predicted Changes**<br>*How will you know if the desired change is occurring?* | **Actual Changes***<br>*What actually occurred?* |
|---|---|---|---|---|
| *Example:*<br>1. More children with neurological impairments will be maintained in foster homes and experience fewer placements.<br><br>2. Foster parents for children with neurological impairments will be retained | Year 1<br>° Train 7 additional foster parents on Hawaii Island.<br>° Provide intensive staff training, consultation and assistance in new interventions.<br>° Document and implement policies and procedures to provide ongoing support to foster families.<br><br>Year 2<br>° Train 7 additional foster parents statewide.<br>° Provide intensive staff training, consultation and assistance in new interventions. | Year 1<br>° 12 sessions during August – November.<br>° One week in Sept. and one week in November.<br>° Documentation will be completed in January. Implementation will occur by March.<br><br>Year 2<br>° 12 sessions during August – November.<br>° One week in Sept. and one week in November. | Year 1<br>1.a. 11 children (80%) with neurological impairments will remain in their current placements.<br><br>1.b. 8 (80%) staff members will have successfully completed training program<br><br>2. 10 foster parents (75%) will be retained.<br><br>Year 2<br>1.a. 16 children with neurological impairments (80%) will remain in their current placements.<br><br>1.b. All staff members will have successfully completed training program.<br><br>2. 16 foster parents (75%) will be retained. | (to be completed for Year 1 progress/final and Year 2 final reports) |

***This column will be used as you implement your project, you will submit the matrix with "Actual Changes" information with progress and final reports.**

*July 2008*

# VICTORIA S. AND BRADLEY L. GEIST FOUNDATION

## Capacity Building Project Matrix (*sample 2)*

**Issue:** *Why is this effort needed?*
*Example:* Changes in the state's youth services system require organizational transition to diversify programs and funding sources and to expand fund development capacity.

**Mission:** *How will this work improve capacity to benefit the foster care community?*
*Example:* Program and funding diversification will enable the organization to provide needed residential services to transitioning foster youth.

| Outcome<br>*What will be different?* | Activities<br>*What are you going to do?* | Timeframe<br>*When will you do this?* | Predicted Changes<br>*How will you know if the desired change is occurring?* | Actual Changes*<br>*What actually occurred?* |
|---|---|---|---|---|
| *Example:*<br>1. New funding sources will be secured.<br><br>2. The board and staff will develop and implement fund development strategies. | Year 1<br>° Contract with a grants consultant to identify and submit grants for two new sources of funding.<br>° Increase current contract with OYS.<br>° Engage the board members in fund development through their participation in training, annual campaign, and fundraising events.<br><br>Year 2<br>° Contract with a grants consultant to identify and submit grants for two new sources of funding.<br>° Institute annual campaign and hold two fundraising events. | Year 1<br>° Contract with consultant by November. Submit two applications to new funding sources by July.<br>° Executive Director will negotiate with OYS for contract beginning next July.<br>° Provide board training by December.<br>° Board committee completes planning for new annual campaign by the end of the first year.<br>° Board committee is formed to stage events.<br><br>Year 2<br>° Submit two applications to new funding sources by July.<br>° Conduct annual campaign October – December.<br>° Hold fundraising events in September and March. | Year 1<br>1.a. 4 potential new sources will be identified, and two proposals will be submitted.<br>1.b. A contract with OYS for two additional beds will be executed.<br><br>2.a. All board members participate in training.<br>2.b. Plan for annual campaign is finalized.<br>2.c. Events committee is formed.<br><br>Year 2<br><br>1.a. 2 new proposals are submitted, and 1 new funding source is secured.<br><br>2.a. Annual campaign raises $5,000, and a donor database is established.<br>2.b. Two fundraising events clear $4,500. | (to be completed for Year 1 progress/final and Year 2 final reports) |

***This column will be used as you implement your project. You will submit the matrix with "Actual Changes" information with progress and final reports.**

*July 2008*

# MEDICAL RESEARCH
# 2009 Requests for Proposals

HAWAI'I COMMUNITY FOUNDATION

Overview: The Hawai'i Community Foundation's (HCF) Medical Research (Med. Res.) program makes available approximately $1,000,000 annually to support basic and clinical research conducted in Hawai'i. These funds are awarded through two (2) grantmaking rounds that are conducted each year. Award recommendations are made by the Medical Research Advisory Committee (MRAC) that evaluated each proposal to ensure the research is using strong science and addresses the priorities of the program, as indicated below.

- Research demonstrates a foreseeable benefit to the people of Hawai'i.
- Research will lead to other funding sources. (The intent of the Med. Res. program is not to replace national funding.)
- Research demonstrates a collaborative effort in Hawai'i based research.
- Research proposal is submitted by a new and independent Principal Investigator (PI) in Hawai'i.

The Med. Res. program is supported through a mixture of funds that include; the George F. Straub Trust, the Victoria S. and Bradley L. Geist Foundation, and five (5) HCF component funds. The program relies on the income generated by these funds therefore the annual amount of support for grantmaking is subject to change.

The following table lists the priority areas, funding source, and grant range.

| Priority Area | Funding Source | Grant range |
|---|---|---|
| Basic Research (preference) – immunology, genetics, or the medicinal uses of Hawaiian plants. | Geist Foundation | up to $50,000 |
| Clinical/basic research – general | Straub Trust | up to $50,000 |
| Clinical/basic research – cancer, heart disease, or lung disease | HCF | up to $50,000 |
| Clinical/basic research – prevent blindness, with particular emphasis on macular degeneration | HCF | up to $50,000 |
| Clinical/basic research – type II diabetes (juvenile diabetes). | HCF | up to $50,000 |
| Clinical/basic research – Alzheimer's disease, mental or physical diseases related to old age. | HCF | up to $50,000 |
| Research infrastructure (exclusive to changes in the areas of Alzheimer's disease, Heart disease, or Type II (Juvenile) diabetes). This priority area is not a research project, per se, but is intended to improve Hawai'i's research organizational effectiveness. For example, support of organizational change, support new or existing research systems, or assist with inter-organizational collaborative efforts, etc. | HCF | up to $25,000 |

**Priority:**

The intent of the Med. Res. program is to support research proposals that are based on strong science and use proper protocols. Priority is then given to research projects that demonstrate any of the following areas listed below.

- A foreseeable benefit to the people of Hawai'i.
- Will lead to other funding sources.
- A collaborative effort in Hawai'i based research.
- The Principal Investigator (PI) is a new and independent researcher in Hawai'i.

<u>Award Period:</u>

- One-year grant requests – Researchers will have eighteen (18) months to complete their research project. No further extensions will be granted.
- Two-year grant requests – Those receiving a Two-Year grant award must follow the below schedule:
  - Year 1 – Researchers will have twelve (12) months to complete the Year 1 of their research and NO extensions will be granted. The Principal Investigator (PI) must submit a progress and financial report indicating the research objectives have been met and all of the funds have been utilized. **(Failure to meet the objectives or utilize the funds allocated for Year 1 may result in the loss of Year 2 funding.)**
  - Year 2 – Upon approval of the Year 1 reports, HCF will issue the Year 2 grant award. Researchers will have eighteen (18) months to complete the final phase of their research project. No further extensions will be granted.

**<u>Eligibility:</u>**

Failure to meet any of the eligibility criteria below will result in your proposal being disqualified.

- Must be a 501(c)(3) organization or a unit of government.
- The Principal Investigator (PI) must be based in and conducting their research in Hawai'i.
- The Principal Investigator (PI) must be at least equivalent to an Assistant Professor.
- The Principal Investigator (PI) must have no outstanding reports, either programmatic or financial, due to Hawai'i Community Foundation by the Request for Proposals (RFP) deadline date.
- Only one (1) grant proposal submission per Principal Investigator (PI) will be accepted. This includes being identified as a Co-PI.
- A Principal Investigator (PI) with a current grant may apply if the current grant is due to expire within three (3) months of the RFP deadline date.

<u>Proposal Resubmission:</u>

For those who submitted a research proposal that was denied within the last twelve (12) months, and was encouraged to resubmit a proposal, may resubmit their proposal for review provided they adhere to the elements below. Resubmitting a proposal DOES NOT guarantee your research proposal will be approved.

- In the "Medical Research Cover Sheet 2009", found in a later section of this RFP, you must indicate that your proposal is a resubmission and provide the HCF grant ID# of the denied proposal.
- The proposal resubmission must adhere to the elements of the "Proposal Package" indicated below.
- For the "Proposal Narrative" section, # 4 of the "Proposal Package" below, you must clearly identify the differences between the old and the resubmitted proposal. This may be done through either the use of track changes to the original proposal narrative, underlining any and all new additions within the proposal, or changes indicated in the margins, etc. Since the changes may increase the number of pages for this section, you are permitted to have a total of ten (10) pages for the proposal narrative section.
- It is strongly suggested to consider the comments of the reviewers when completing your proposal.

<u>PROPOSAL PACKAGE</u>

The proposal must contain all seven (7) elements listed below. HCF requires two (2) sets of the completed proposal package be submitted; one original and one copy. ALL INCOMPLETE PROPOSALS OR PROPOSALS THAT FAIL TO ADHERE TO THE SPECIFICATIONS BELOW WILL BE ELIMINATED FROM REVIEW/CONSIDERATION.

1) **HCF Medical Research Proposal Cover Sheet** (see attached) – Must be completed with the Principal Investigator's (PI) signature and an authorized signature from the submitting institution.
2) **Proposal Abstract** (maximum of one (1) page) – Short description of the technical study that also includes study title, institution, and Principal Investigator's name.
3) **Lay Summary** (maximum of one (1) page) – Short description of the study written for the general public that also includes study title, institution and Principal Investigator's name)
4) **Proposal Narrative** (maximum of eight (8) pages, single spaced, using Times New Roman font, and a font size of not less than 12) – The narrative must include:
   - Name(s) of Principal Investigator(s).

- o Description of project, including research hypotheses, objectives, methodology, preliminary data, applicability, etc.
- o Significance of project - how will the research benefit the people of Hawai'i, documentation of need, how it relates to the field of medicine, and how project findings will be disseminated.
- o **For Clinical Research - (The proposal narrative must also include the following)**
  - ➢ How will the patients be recruited?
  - ➢ Provide detail of the research procedures that will be used.
  - ➢ Provide detail on how the research will be analyzed.

5) **Bibliography** (maximum of two (2) pages) - Please only list papers in press. DO NOT submit actual papers or research documentations as part of your proposal.
6) **Budget** - Grant funds may be used for salaries, supplies and other expenses directly related to the proposed project. Funding may support Principal Investigator(s) salary however the parent institution must confirm the availability of the Principal Investigator's time. A limited amount of equipment essential to the proposed project may be funded provided sufficient justification is provided.
   - o Project budget - Detail the total cost of the project, identify amount requested from HCF and which expenses HCF's funds would cover, and list all the sources of funds to cover the total cost of the project including those that have been secured and are currently unsecured. The project budget may include travel expenses provided justification is sound and reasonable.
   - o Budget Justification - Please provide justification for all of the expenses attributed to the research that HCF is being requested to support. For salaries, please provide the formula for deriving at the budgeted amount (i.e. hourly rate x # of hrs. dedicated to the project)
   - o Indirect Costs - For grants to the University of Hawai'i that are awarded through the Office of Research Services, a flat rate of 5% of total direct costs will be allowed. For other institutions, indirect costs are allowed on a direct personnel costs basis only and are calculated as follows:
     - ➢ 40% of the project's direct personnel costs for studies with a total project budget below $10,000;
     - ➢ 30% of the project's direct personnel costs for studies with a total project budget of $10,001 - $20,000;
     - ➢ 20% of the project's direct personnel costs for studies with a total project budget of $20,001 and over
   - o Other funding sources - Include a list of pending requests for this and all other research projects of the principal and co-investigators: give name of agency, title of project, amount requested and period of support. Indicate whether application is for an identical or similar project. If so, include a statement as to where the proposed study fits with current or pending funded research and the plan to deal with funding of overlapping projects.
7) **Biographical Sketch** (maximum of four (4) pages) - Required for all Principal Investigator(s) and co-Principal Investigator(s) associated with the research. Please use the NIH BioSketch format. This format can be found at http://grants.nih.gov/grants/funding/phs398/biosketch.pdf

**Please note:** The Medical Research Advisory Committee (MRAC) that reviews all proposals consists of scientists from a variety of disciplines in both basic and clinical research. Therefore, it is likely that your proposal will not be reviewed by a researcher in your specific discipline so the writing should be tailored for a more general medical/scientific audience.

<u>Other materials required to be submitted with the proposal:</u>

- For research involving either human or animal subjects, the Principal Investigator (PI) must submit the IRB application cover page, for research being proposed, as documentation of a request for IRB approval (Please do not submit the entire application). Once approved for a grant award, the (PI) will have thirty (30) working days to receive IRB approval. If the (PI) fails to receive IRB approval within this time period, the grant will be terminated. No proposals shall be reviewed without the IRB documentation and no funds shall be released without documentation of IRB approval.
- If the organization is applying for the first time to Hawai'i Community Foundation or if there are any changes since last submitted, please submit the organization's IRS determination letter (not applicable to units of government)

Proposal Package Format:

- ☐ Use white 8 1/2" x 11" paper
- ☐ Margins are not less than 1" on all sides
- ☐ All pages, including attachments, must be single-sided & paginated
- ☐ The font is Times New Roman and text font size is not less than 12 pt.
- ☐ No binding, folders, staples
- ☐ No videos or CDs
- ☐ Appendices must have title page(s) and should be limited in length
- ☐ The study title must be consistent in all sections of the proposal

Final Reports: A Final Report consists of a financial report from the fiscal sponsor and a programmatic narrative report from the Principal Investigator (PI). The final report is required one month after the end date of the grant. Any Principal Investigator (PI) with an overdue final report will be disqualified and their proposal WILL NOT be reviewed.

Submission Deadline:
Any proposal postmarked after the submission deadline date will be disqualified. NO EXCEPTIONS!

- February 27, 2009
- August 14, 2009

Review Process Timetable:
Please DO NOT contact the Hawai'i Community Foundation regarding the status of your grant. Below are estimated timetables for the review process.

*February 27, 2009 RFP deadline:*

- February 27, 2009 – Request for Proposal (RFP) deadline
- Mid-May 2009 – Advisory Committee meeting is conducted and recommendations are made
- Beginning of June 2009 – PIs will be notified of the decision regarding their proposal through formal letters

*August 14, 2009 RFP deadline:*

- August 14, 2009 – Request for Proposal (RFP) deadline
- Late October 2009 – Advisory Committee meeting is conducted and recommendations are made
- Mid-November 2009 – PIs will be notified of the decision regarding their proposal through formal letters

FAILURE TO COMPLY WITH ANY OF THE PROPOSAL PROCEDURES WILL RESULT IN YOUR PROPOSAL NOT BEING CONSIDERED FOR FUNDING.

Applications and attachments must be postmarked or hand delivered on or before the deadline dates to:

**Hawai'i Community Foundation**
**1164 Bishop Street, Suite 800**
**Honolulu, HI 96813**
**Attn: Medical Research Program**

For more information, please contact Thomas Atou, Program Officer at the Hawai'i Community Foundation, at 566-5528 (O'ahu), toll-free from Neighbor Islands at 1-888-731-3863 or email tatou@hcf-hawaii.org

# MEDICAL RESEARCH
# 2009 COVER SHEET

HAWAII COMMUNITY FOUNDATION

Please complete, print, sign, and submit with your proposal.

**1. Organization submitting request** *(Must be a 501(c)3 tax-exempt organization or unit of government.)*
*(If this is a collaborative project, please submit names of collaborating agencies on a separate sheet.)*

Organization: ______________________

Address: ______________________

______________________ Phone: ______________

Website: ______________________ Fax: ______________

Name of Chief Staff: ______________________ Email: ______________

**2. Principal Investigator Information**

Name: ______________________

Organization: ______________________

Address: ______________________ Phone: ______________

Email: Fax:

**3. Request Contact Information** ***(if different from Principal Investigator)***

Name: ______________________ Title: ______________

Phone: ______________________ Fax: ______________

**4. Project Information**

Title:

Total Amount requested: $______________ Duration: ______(months)

☐ **If Resubmitted Proposal, please indicate the proposal number:**______________

**Please indicate what grantmaking program you are applying to:** ☑ Please check ONLY ONE (1) box.

**MEDICAL RESEARCH**
*(Please identify ONLY ONE of the areas below that best relates to your proposed research)*

- ☐ Clinical – general
- ☐ Clinical – cancer
- ☐ Clinical – Heart disease
- ☐ Clinical – Lung disease
- ☐ Clinical – juvenile diabetes
- ☐ Clinical – Alzheimer's Disease
- ☐ Clinical – prevention of blindness
- ☐ Clinical – – immunology, genetic research, or the medicinal use of Hawaiian plants
- ☐ Basic – general
- ☐ Basic – cancer
- ☐ Basic – heart disease
- ☐ Basic – lung disease
- ☐ Basic – juvenile diabetes
- ☐ Basic – Alzheimer's Disease
- ☐ Basic – prevention of blindness
- ☐ Basic – immunology, genetic research, or the medicinal use of Hawaiian plants
- ☐ Research infrastructure

**Two Signatures Required**

We understand the grant we are applying for is contingent on our organization remaining one which is described in Section 501 (c)(3) or the Internal Revenue Code and that the award of a grant by the Hawai'i Community Foundation is explicitly contingent on our acceptance of the "Grant Terms and Conditions" set forth in this RFP document. Our organization accepts and agrees to follow such conditions if it is awarded a grant by the Hawai'i Community Foundation.

| | |
|---|---|
| ______________________ | ______________________ |
| Principal Investigator *(or Chief Compensated Staff)* | Research Institution Director *(or Chief Volunteer)* |
| ______________________ | ______________________ |
| Print or Type Name and Title | Print or Type Name and Title |

***FOR HCF USE ONLY:***

PROPOSAL ID# : ________ OVER DUE REPORT? ________ GRANT HISTORY REPORT: ________

___COVER SHEET W/SIGNATURE ___BUDGET

___PROPOSAL ABSTRACT ___BIBLIOGRAPHY

___LAY SUMMARY ___BIOGRAPHICAL SKETCH

___PROPOSAL NARRATIVE ___RECEIPT OF PROPOSAL NOTIFICATION

# The VICTORIA S. AND BRADLEY L. GEIST FOUNDATION

Since 1995 more than $1.8 million has been awarded in college scholarships to students currently or formerly in the foster care system. As a result of financial assistance from the Geist Foundation, hundreds of students are able to attend vocational schools, community colleges, and public and private universities both here in Hawai'i and on the mainland United States.

You are eligible for a Geist Scholarship if your primary residence is in Hawaii and you plan to attend a two- or four- year college, university, or vocational school. *You must plan to enroll at least half-time* (equivalent of 6 or more credits per semester), and verification of foster care status within the State of Hawaii is required. Youth who have been legally adopted or reunited with their birth families before the age of eighteen are not eligible for support under this program.

**Applicants are strongly encouraged to submit applications and materials by** **June 1, 2009** to be eligible for a full-year scholarship (for enrollment in the Fall and Spring semesters).

- **Applications received by June 1st** – Fall & Spring semester scholarships available
- **Applications received by October 1st** - Spring semester scholarship available.

## NEW APPLICANTS

Applicants must submit the following items:

- Application Form
- Personal Statement—*Write a statement about yourself and answer the following questions:*
  - What are your reasons for attending college?
  - Why did you choose your course of study?
  - What career and college goals do you have?
- Most recent high school or college **transcript** *(not a report card)*
- Letter from case worker verifying foster care status in Hawaii.
  - This letter should document effective dates of applicant's foster care status in Hawaii.
  - The letter should state whether the applicant "aged out" of the foster care system. If not, include details of the applicant's status after leaving the foster care system – e.g. applicant was reunited with a family member, applicant was adopted, etc.

## RENEWAL APPLICANTS

To reapply for a scholarship, you must submit the following items:

- Application Form
- Progress Report Statement—*Write a statement about yourself and include what you have learned through pursuing your educational goals.* Note: renewing students are expected to demonstrate academic progress generally by maintaining a 2.0 grade point average (GPA). If your GPA falls below 2.0, please explain in your progress report.
- Most recent official college transcript issued by the registrar of your school (not a report card or an unofficial transcript downloaded from the internet)

**Mail all required materials to:**

Hawai'i Community Foundation
Attn: Geist Foundation Scholarship
1164 Bishop Street, Suite 800
Honolulu, HI 96813

For more information, call: (808) 566-5570 or 1-888-731-3863 (toll-free) or email: scholarships@hcf-hawaii.org.

***Should your contact information or enrollment status change after you submit your application, please notify HCF immediately.*

# VICTORIA S. AND BRADLEY L. GEIST FOUNDATION SCHOLARSHIP

ACADEMIC YEAR 2009-2010

Social Security Number: ______-______-______ Date of Birth: ____/____/____ ☐ Male ☐ Female

Name: ______________________________
First Middle Initial Last

**Have you previously applied to or been awarded a Geist scholarship?** ☐ **Yes** ☐ **No**

Permanent Hawai'i Address: ______________________________
Street Number City State Zip Code

Phone Number: ____________ Alternate Phone Number: ____________ Island: ____________

Temporary or mailing address (if different from permanent):

______________________________
Street Number (or P.O. Box) City State Zip Code

Email Address: ______________________________

Name of high school: ______________________________ Year of high school graduation: ________
Name City Island

School you plan to attend: ______________________________
Name State

Where do you plan to live: ☐ On campus ☐ Off campus ☐ Other (describe) ____________

Class year entering in school (check one): ☐ Freshman ☐ Sophomore ☐ Junior ☐ Senior ☐ Graduate

Intended major or area of study: ______________________ # of semesters completed: ________

Will you be registered as a full-time student? ☐ Yes ☐ No If no, explain ____________

Will you be attending for the full academic year? ☐ Yes ☐ No If no, which semesters will you be attending? ________

**Referral Agency:** ______________________________

Referred by: ______________________________
Contact Name Address City State Zip Code

______________ ______________ ______________
Phone Number Fax Number Email Address

**Certification**

I certify that all the information on this form is true and complete to the best of my knowledge. If asked by the Geist Foundation or the Hawai'i Community Foundation I agree to give documentation for information given on this form. I also authorize the Geist Foundation and the Hawai'i Community Foundation to release information regarding my scholarship award to my case worker, school and the media.

**Applicant Signature:** ______________________________ **Date:** ______________

*(Rev. 10/08)*