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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Department of the Treasury
 Internal Revenue Service (77)

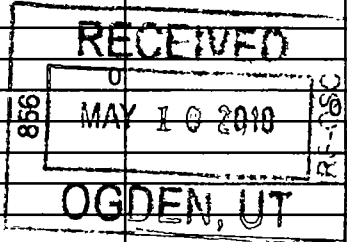
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation The Lawrence P. Frank Foundation		A Employer identification number 95-6942139
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) (818) 360-5460
	City or town, state, and ZIP code Northridge CA 91326		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 4,101,907	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	95,125	95,125		
	4 Dividends and interest from securities	54,976	54,976		
	5 a Gross rents		0		
	b Net rental income or (loss) <u>-580</u>				
	6 a Net gain or (loss) from sale of assets not on line 10	-426,390			
	b Gross sales price for all assets on line 6a <u>2,693,918</u>				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0				
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)	-580	-580	0		
12 Total. Add lines 1 through 11	-276,869	149,521	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	0	0	0	0
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	0	0	0	0
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	2,604	2,604	0	0
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	17,175	17,175	0	0
	24 Total operating and administrative expenses. Add lines 13 through 23	19,779	19,779	0	0
	25 Contributions, gifts, grants paid	245,000			245,000
26 Total expenses and disbursements. Add lines 24 and 25	264,779	19,779	0	245,000	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-541,648				
b Net investment income (if negative, enter -0-)		129,742			
c Adjusted net income (if negative, enter -0-)			0		



16P

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		5,542	7,576	7,576
	2	Savings and temporary cash investments				
	3	Accounts receivable	0			
		Less: allowance for doubtful accounts	0	0	0	0
	4	Pledges receivable	0			
		Less: allowance for doubtful accounts	0	0	0	0
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		0	0	0
	7	Other notes and loans receivable (attach schedule)	0			
		Less: allowance for doubtful accounts	0	0	0	0
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments—U S and state government obligations (attach schedule)	169,460	37,810	37,810	
	b	Investments—corporate stock (attach schedule)	4,344,298	3,934,602	4,036,017	
	c	Investments—corporate bonds (attach schedule)	0	0	0	
	11	Investments—land, buildings, and equipment basis	0			
	Less: accumulated depreciation (attach schedule)	0	0	0		
12	Investments—mortgage loans					
13	Investments—other (attach schedule)	24,985	20,504	20,504		
14	Land, buildings, and equipment basis	0				
	Less: accumulated depreciation (attach schedule)	0	0	0		
15	Other assets (describe)	0	0	0		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,544,285	4,000,492	4,101,907		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0		
	21	Mortgages and other notes payable (attach schedule)	0	0		
	22	Other liabilities (describe See Attached Statement)	-3	-2,148		
	23	Total liabilities (add lines 17 through 22)	-3	-2,148		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds	4,544,288	4,002,640			
30	Total net assets or fund balances (see page 17 of the instructions)	4,544,288	4,002,640			
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,544,285	4,000,492			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,544,288
2	Enter amount from Part I, line 27a	2	-541,648
3	Other increases not included in line 2 (itemize)	3	0
4	Add lines 1, 2, and 3	4	4,002,640
5	Decreases not included in line 2 (itemize)	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,002,640

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 0	0	0	0	
b 0	0	0	0	
c 0	0	0	0	
d 0	0	0	0	
e 0	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a 0	0	0	0	
b 0	0	0	0	
c 0	0	0	0	
d 0	0	0	0	
e 0	0	0	0	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	-426,390	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3	-33,775	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	223,500	3,165,285	0.070610
2007	294,000	5,124,491	0.057372
2006	256,000	4,876,897	0.052492
2005	237,000	4,422,814	0.053586
2004	200,000	4,447,577	0.044968
2 Total of line 1, column (d)			0.279028
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.055806
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			2,787,802
5 Multiply line 4 by line 3			155,576
6 Enter 1% of net investment income (1% of Part I, line 27b)			0
7 Add lines 5 and 6			155,576
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			0

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1	a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	2,595
	c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	2,595
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	2,595
6	Credits/Payments		
	a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	4,752
	b Exempt foreign organizations—tax withheld at source	6b	
	c Tax paid with application for extension of time to file (Form 8868)	6c	0
	d Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	4,752
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,157
11	Enter the amount of line 10 to be Credited to 2010 estimated tax Refunded	11	0

Part VII-A Statements Regarding Activities

		Yes	No
1	a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		
	b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
	c Did the foundation file Form 1120-POL for this year?		
	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____		
	e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4	a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
	b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8	a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> CA		
	b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ Donald Hoffman Telephone no ▶			
Located at ▶ 11537 Seminole Circle Northridge CA ZIP+4 ▶ 91326				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			<input type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No **6b** X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Donald Hoffman 11537 Seminole Circle Northridge CA 91326	Director 5.00	0	0	0
Aubrey Grey 1647 Elmsford Place Westlake Village CA 91361	Director 5.00	0	0	0
	00	0	0	0
	.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
.....		0
.....		0
.....		0
.....		0
.....		0
Total number of others receiving over \$50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount	
1		
2		
All other program-related investments See page 24 of the instructions		
3	0	
Total. Add lines 1 through 3 ▶		0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,691,395
b	Average of monthly cash balances	1b	136,273
c	Fair market value of all other assets (see page 24 of the instructions)	1c	2,588
d	Total (add lines 1a, b, and c)	1d	2,830,256
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,830,256
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	42,454
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,787,802
6	Minimum investment return. Enter 5% of line 5	6	139,390

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	139,390
2a	Tax on investment income for 2009 from Part VI, line 5	2a	2,595
b	Income tax for 2009. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,595
3	Distributable amount before adjustments Subtract line 2c from line 1	3	136,795
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	136,795
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	136,795

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	245,000
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	245,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	245,000

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				136,795
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only			0	
b	Total for prior years 20____, 20____, 20____		0		
3	Excess distributions carryover, if any, to 2009.				
a	From 2004		0		
b	From 2005		17,832		
c	From 2006		14,684		
d	From 2007		42,501		
e	From 2008		69,985		
f	Total of lines 3a through e	145,002			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 245,000				
a	Applied to 2008, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d	Applied to 2009 distributable amount				136,795
e	Remaining amount distributed out of corpus	108,205			
5	Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	253,207			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e	Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9	Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	253,207			
10	Analysis of line 9				
a	Excess from 2005		17,832		
b	Excess from 2006		14,684		
c	Excess from 2007		42,501		
d	Excess from 2008		69,985		
e	Excess from 2009		108,205		

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0	0	0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0	0	0	0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0	0	0	0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
-
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
-
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
-
- a** The name, address, and telephone number of the person to whom applications should be addressed.
-
- b** The form in which applications should be submitted and information and materials they should include
-
- c** Any submission deadlines
-
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Landon Pediatric Foundation 3400 Loma Vista #1 Ventura CA 93003			Support Pediatric medical service	2,000
New Horizons 15725 Parthenia St North Hills CA 91343			Provide Funds for Developmentally	10,000
Ventura County Rescue Mission 234 East Sixth ST Oxnard CA 93031			Aid to Homeless	15,000
Lighthouse Rescue Mission Alliance 150 South Hayes Oxnard CA 93031			Aid to Homeless	15,000
Habitat for Humanity of Ventura County 167 Lambert St Oxnard CA 93031			Fund low income housing	10,000
Westminster Free Clinic 1000 East Janss Rd Thousand Oaks CA 91360			Fund Health solutions to Poverty Level Families	10,000
Boy Scouts of America 401 East 37th ST PO Box 7338 Long Beach CA 90801			Support Scouting Movement	5,000
Center Theatre Group 10880 Wilshire Blvd #2240 Los Angeles CA 90024			Promote Legitimate Theatre Activities	5,000
City of Commerce-Scholarship Program 2535 Commerce Way Commerce CA 90040			Provide Scholarships for High School Students	5,000
FELASS - East LA Sheriffs Youth League 5019 East 3rd St Los Angeles CA 90022			Support Youth activities	5,000
Music Center-Education Division 135 No Grand Ave Los Angeles CA 90012			Promote Music Education	5,000
Rick Rackers- Operation School Bell 386 Roswell Ave Long Beach CA 90814			Provide School Uniforms for Children	5,000
Upward Bound House 1104 Washington Ave Santa Monica CA 90403			Aid to Low Income Families	5,000
YMCA Santa Monica 1332 Sixth St Santa Monica CA 90401			Support the Activities of the YMCA	4,000
Valley Trauma Center 7116 Sophia Ave Van Nuys CA 91406			Provide funds for Rape Response Team	10,000
Children of the Night 14530 Sylvan St Van Nuys CA 91411			Aid to Homeless Children	20,000
Total . . . See Attached Statement			▶ 3a	245,000
b Approved for future payment				
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
Total			▶ 3b	0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name Haven Hills <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 7114 Owensmouth			
City Chatsworth	State CA	Zip code 91311	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Provide Support to Battered Womens Shelter			Amount 20,000

Name LA Search Dogs <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 3224 Mt Curve Road			
City Altadena	State CA	Zip code 91001	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Support work of Sheriff Search And Rescue Dogs			Amount 1,000

Name Good Shepard Center <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 2561 West Venice Blvd			
City Los Angeles	State CA	Zip code 90019	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Provide Support to Battered Womens Shelter			Amount 2,500

Name Art in Education Aids Council <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 22148 Sherman Way #207			
City Canoga Park	State CA	Zip code 91303	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Support Education of the Arts			Amount 2,500

Name Hands for Hope <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street PO Box 812198			
City Los Angeles	State CA	Zip code 90081	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Hope for Poverty Level Families			Amount 2,000

Name The Music Foundation <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 18745 Hatteras St #48			
City Tarzana	State CA	Zip code 91356	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Support Music for Youths			Amount 2,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name Senior Concerns <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 401 Hodencamp Road			
City Thousand Oaks	State CA	Zip code 91360	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Support Dissemination of senior information			Amount 1,000

Name Valley Village <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 20830 Sherman Way			
City Winnetka	State CA	Zip code 91306	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Aid to Programs for the Developmentally Disabled			Amount 1,000

Name Center for Independent & Family Counseling <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 5445 Laurel Canyon Blvd			
City North Hollywood	State CA	Zip code 91607	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Support Counseling services			Amount 1,000

Name City of Hope <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 1055 Wilshire Blvd			
City Los Angeles	State CA	Zip code 90017	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Promote Medical research			Amount 30,000

Name Brandeis Bardin Institute <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 1101 Peppertree Lane			
City Brandeis	State CA	Zip code 93064	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Support Jewish Traditions and Teachings			Amount 20,000

Name MEND <input type="checkbox"/> Person <input type="checkbox"/> Business			
Street 13460 Van Nuys Blvd			
City Pacoima	State CA	Zip code 91331	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution Aid to Poverty Level Families			Amount 10,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name
Jewish Vocational Services Person Business

Street
6505 Wilshire Blvd #200

City Los Angeles State CA Zip code 90048 Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
Support Vocational services Amount 1,000

Name
Family Rescue Center Person Business

Street
22103 Vanowen St

City Canoga Park State CA Zip code 91303 Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
Aid to allevciate domestic violence Amount 15,000

Name
Conejo Valley Youth Orchestra Person Business

Street
14709 Marymount St

City Moorpark State CA Zip code 93021 Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
Support Youth Orchestra Amount 5,000

Name Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution Amount 0

Name Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution Amount 0

Name Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution Amount 0

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss
									Gross Price	Other Sales	Cost	Other Basis (Enter one field only)	
		Long Term CG Distributions							2,693,918	0	3,120,308	-426,390	
		Short Term CG Distributions							0	0	0	0	
Totals													
Securities													
Other sales													
1	X	FHLMC PL 4-1-38		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	8,147		8,147		
2	X	FHLMC PL 6-1-33		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	2,882		2,882		
3	X	FHLMC PL 6-1-22		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	7,660		7,660		
4	X	FHR 3150		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	12,867		12,867		
5	X	FHR 3322		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	45,000		45,214		
6	X	FNMA PL 725948 10-1-34		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	2,696		2,696		
7	X	FNMA PL 784184 8-1-34		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	13,802		13,802		
8	X	FNMA PL 357952 8-1-35		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	7,410		7,410		
9	X	FNR 2004-75 9-25-34		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	42,935		43,643		
10	X	FNR 2005-123 3-25-35		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	34,061		34,061		
11	X	FNR 2006-23A 8-25-34		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	50,000		50,568		
12	X	FNR 2007-22 3-25-37		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	957		957		
13	X	GNMA PL 004342		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	7,332		7,332		
14	X	GNMA PL 004367		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	4,915		4,915		
15	X	GNMA PL 004396		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	2,772		2,772		
16	X	GNMA PL 004446		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	2,060		2,060		
17	X	GNMA PL 004518		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	132		132		
18	X	GNMA PL 004511		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	407		407		
19	X	GNMA PL 003864		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	13,751		13,751		
20	X	GNMA PL 003863		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	15,156		15,156		
21	X	GNMA PL 004016		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	27,141		27,141		
22	X	UBS YLD OPTMZ NOTE		Lawrence Frank Foundation		8/25/2008	P	2/27/2009	43,137		43,137		
23	X	BC RTN OPTMZ SEC S & P 50		Lawrence Frank Foundation		5/27/2008	P	6/4/2009	68,060		100,000		
24	X	FNMA CALLABLE 3-14-16		Lawrence Frank Foundation		1/23/2007	P	4/9/2009	85,000		83,941		
25	X	FNMA CALLABLE 7-2-18		Lawrence Frank Foundation		9/10/2004	P	4/2/2009	25,000		24,970		
26	X	FNMA CALLABLE 6-24-18		Lawrence Frank Foundation		5/4/2005	P	4/27/2009	50,000		50,742		
27	X	MORGAN STANLEY FUND		Lawrence Frank Foundation		12/16/1997	P	12/10/2009	19,817		26,841		
28	X	MORGAN STANLEY FUND		Lawrence Frank Foundation		12/15/1998	P	12/10/2009	40,184		54,428		
29	X	MORGAN STANLEY FUND		Lawrence Frank Foundation		12/16/1999	P	12/10/2009	65,259		88,390		
30	X	MORGAN STANLEY FUND		Lawrence Frank Foundation		12/15/2000	P	12/10/2009	124,383		168,471		
31	X	MORGAN STANLEY FUND		Lawrence Frank Foundation		12/14/2001	P	12/10/2009	9,252		12,531		
32	X	MORGAN STANLEY FUND		Lawrence Frank Foundation		10/7/1997	P	12/10/2009	82,332		111,488		
33	X	UBS YLD OPTMZ NOTE CHEV		Lawrence Frank Foundation		4/24/2008	P	4/30/2009	282,190		282,190		
34	X	UBS YLD NOTE-HARFORD FIN		Lawrence Frank Foundation		4/24/2008	P	4/30/2009	89,350		89,350		
35	X	UBS YLD NOTE-AT & T		Lawrence Frank Foundation		2/25/2008	P	2/27/2009	71,000		71,000		
36	X	UBS 100% PPN S & P 500		Lawrence Frank Foundation		5/27/2008	P	5/29/2009	100,000		100,000		
37	X	GNMA PL 004557		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	279		279		
38	X	GNMA PL 004577		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	152		152		
39	X	GNMA PL 004592		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	66		66		
40	X	GNMA PL 615493		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	10,643		10,643		
41	X	GNMA PL 003544		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	7,990		7,990		
42	X	GNMA PL 597337		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	6,880		6,880		
43	X	GNMA PL 606838		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	1,236		1,236		
44	X	GNMA PL 003400		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	2,419		2,419		
45	X	GNMA PL 622104		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	1,608		1,608		
46	X	GNMA PL 646889		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	2,945		2,945		
47	X	GNMA PL 003722		Lawrence Frank Foundation		12/31/2009	P	12/31/2009	12,646		12,646		
48	X	USB IXIS CORE ACCOUNT		Lawrence Frank Foundation		2/28/2007	P	12/31/2009	566,597		674,343		
49	X	USB NEWTON ACCOUNT		Lawrence Frank Foundation		1/2/2009	P	12/31/2009	147,958		180,227		
50	X	USB NJF ALL CAP VALUE A/C		Lawrence Frank Foundation		8/2/2008	P	12/31/2009	303,069		369,831		

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost, Other Basis and Expenses		Net Gain or Loss
										Cost	Other Basis (Enter one field only)	
51	X	USB MARISCO ALL CAP		Lawrence Frank Foundation		7/15/2008	P	12/31/2009	172,383	237,975		
52	X	ATEL Capital Equipment Fund		Lawrence Frank Foundation		12/31/2009	P	12/31/2009		16		
		Amount										
		Long Term CG Distributions							2,693,918	3,120,308		-426,390
		Short Term CG Distributions							0	0		0
		Totals										
		Securities										
		Other sales										

Line 11 (990-PF) - Other Income

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	Atel Partnership K-1	-580	-580	
2			0	
3			0	
4			0	
5			0	
6			0	
7			0	
8			0	
9			0	
10			0	
		-580	-580	0

Line 18 (990-PF) - Taxes

		2,604	2,604	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Income Tax				
2	Income Tax Form 990PF	2,594	2,594		
3	State Income Tax form 199	10	10		
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization. See attached statement	0	0	0	0
2	Bank charges				
3	Postage	107	107		
4	Brokerage Fees	16,458	16,458		
5	Professional Fees	610	610		
6					
7					
8					
9					
10					
		17,175	17,175		0

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		4,344,298	3,934,602	3,506,688	4,036,017
		Book Value	Book Value	FMV	FMV
		End of Year	End of Year	End of Year	End of Year
		4,344,298	3,934,602	3,506,688	4,036,017
		Num. Shares/ Face Value			
	Description				
1	Various Per list attached				
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					

Part II, Line 13 (990-PF) - Investments - Other

			24,985	20,504	20,504
	Item or Category	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1	ATEL Capital Holdings Equip Fund X	AT COST	24,985	20,504	20,504
2					
3					
4					
5					
6					
7					
8					
9					
10					

Part II, Line 22 (990-PF) - Other Liabilities

	Description	Beginning Balance	Ending Balance
1	Federal Income Tax Payable	-13	-2,148
2	State Income Tax Payable	10	-2,158
3			10
4			
5			
6			
7			
8			
9			
10			

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals		Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses	
						Amount	0									
	Long Term CG Distributions						0									
	Short Term CG Distributions						0									
1	FHLMC PL 4-1-38		P	12/31/2009	12/31/2009		8,147	0	0	8,147	0	0	0	0	0	-426,390
2	FHLMC PL 6-1-33		P	12/31/2009	12/31/2009		2,882	0	0	2,882	0	0	0	0	0	0
3	FHLMC PL 6-1-22		P	12/31/2009	12/31/2009		7,660	0	0	7,660	0	0	0	0	0	0
4	FHR 3150		P	12/31/2009	12/31/2009		12,867	0	0	12,867	0	0	0	0	0	0
5	FHR 3322		P	12/31/2009	12/31/2009		45,000	0	0	45,214	-214	0	0	0	0	-214
6	FNMA PL 725948 10-1-34		P	12/31/2009	12/31/2009		2,696	0	0	2,696	0	0	0	0	0	0
7	FNMA PL 784184 8-1-34		P	12/31/2009	12/31/2009		13,802	0	0	13,802	0	0	0	0	0	0
8	FNMA PL 357952 8-1-35		P	12/31/2009	12/31/2009		7,410	0	0	7,410	0	0	0	0	0	0
9	FNR 2004-75 9-25-34		P	12/31/2009	12/31/2009		42,935	0	0	43,643	-708	0	0	0	0	-708
10	FNR 2005-123 3-25-35		P	12/31/2009	12/31/2009		34,061	0	0	34,061	0	0	0	0	0	0
11	FNR 2006-23A 8-25-34		P	12/31/2009	12/31/2009		50,000	0	0	50,568	-568	0	0	0	0	-568
12	FNR 2007-22 3-25-37		P	12/31/2009	12/31/2009		957	0	0	957	0	0	0	0	0	0
13	GNMA PL 004342		P	12/31/2009	12/31/2009		7,332	0	0	7,332	0	0	0	0	0	0
14	GNMA PL 004367		P	12/31/2009	12/31/2009		4,915	0	0	4,915	0	0	0	0	0	0
15	GNMA PL 004396		P	12/31/2009	12/31/2009		2,772	0	0	2,772	0	0	0	0	0	0
16	GNMA PL 004446		P	12/31/2009	12/31/2009		2,060	0	0	2,060	0	0	0	0	0	0
17	GNMA PL 004518		P	12/31/2009	12/31/2009		132	0	0	132	0	0	0	0	0	0
18	GNMA PL 004511		P	12/31/2009	12/31/2009		407	0	0	407	0	0	0	0	0	0
19	GNMA PL 003864		P	12/31/2009	12/31/2009		13,751	0	0	13,751	0	0	0	0	0	0
20	GNMA PL 003863		P	12/31/2009	12/31/2009		15,156	0	0	15,156	0	0	0	0	0	0
21	GNMA PL 004016		P	12/31/2009	12/31/2009		27,141	0	0	27,141	0	0	0	0	0	0
22	UBS YLD OPTMZ NOTE		P	8/25/2008	2/27/2009		43,137	0	0	43,137	0	0	0	0	0	0
23	BC RTN OPTMZ SEC S & P 500		P	5/27/2008	6/4/2009		68,060	0	0	100,000	-31,940	0	0	0	0	-31,940
24	FNMA CALLABLE 3-14-16		P	1/23/2007	4/9/2009		85,000	0	0	83,941	1,059	0	0	0	0	1,059
25	FNMA CALLABLE 7-2-18		P	9/10/2004	4/2/2009		25,000	0	0	24,970	30	0	0	0	0	30
26	FNMA CALLABLE 6-24-18		P	5/4/2005	4/27/2009		50,000	0	0	50,742	-742	0	0	0	0	-742
27	MORGAN STANLEY FUND		P	12/16/1997	12/10/2009		19,817	0	0	26,841	-7,024	0	0	0	0	-7,024
28	MORGAN STANLEY FUND		P	12/15/1998	12/10/2009		40,184	0	0	54,428	-14,244	0	0	0	0	-14,244
29	MORGAN STANLEY FUND		P	12/16/1999	12/10/2009		65,259	0	0	88,390	-23,131	0	0	0	0	-23,131
30	MORGAN STANLEY FUND		P	12/15/2000	12/10/2009		124,383	0	0	168,471	-44,088	0	0	0	0	-44,088
31	MORGAN STANLEY FUND		P	12/14/2001	12/10/2009		9,252	0	0	12,531	-3,279	0	0	0	0	-3,279
32	MORGAN STANLEY FUND		P	10/7/1997	12/10/2009		82,332	0	0	111,488	-29,156	0	0	0	0	-29,156
33	UBS YLD OPTMZ NOTE CHEVRON		P	4/24/2008	4/30/2009		282,190	0	0	282,190	0	0	0	0	0	0
34	UBS YLD NOTE-HARFORD FINANCIAL		P	4/24/2008	4/30/2009		89,350	0	0	89,350	0	0	0	0	0	0
35	UBS YLD NOTE-AT & T		P	2/25/2008	2/27/2009		71,000	0	0	71,000	0	0	0	0	0	0
36	UBS 100% PPN S & P 500		P	5/27/2008	5/29/2009		100,000	0	0	100,000	0	0	0	0	0	0
37	GNMA PL 004557		P	12/31/2009	12/31/2009		279	0	0	279	0	0	0	0	0	0
38	GNMA PL 004577		P	12/31/2009	12/31/2009		152	0	0	152	0	0	0	0	0	0
39	GNMA PL 004592		P	12/31/2009	12/31/2009		66	0	0	66	0	0	0	0	0	0
40	GNMA PL 615493		P	12/31/2009	12/31/2009		10,643	0	0	10,643	0	0	0	0	0	0
41	GNMA PL 003544		P	12/31/2009	12/31/2009		7,990	0	0	7,990	0	0	0	0	0	0
42	GNMA PL 597337		P	12/31/2009	12/31/2009		6,880	0	0	6,880	0	0	0	0	0	0
43	GNMA PL 606838		P	12/31/2009	12/31/2009		1,236	0	0	1,236	0	0	0	0	0	0
44	GNMA PL 003400		P	12/31/2009	12/31/2009		2,419	0	0	2,419	0	0	0	0	0	0
45	GNMA PL 622104		P	12/31/2009	12/31/2009		1,608	0	0	1,608	0	0	0	0	0	0
46	GNMA PL 646889		P	12/31/2009	12/31/2009		2,945	0	0	2,945	0	0	0	0	0	0
47	GNMA PL 003722		P	12/31/2009	12/31/2009		12,646	0	0	12,646	0	0	0	0	0	0
48	USB IXIS CORE ACCOUNT		P	2/28/2007	12/31/2009		566,597	0	0	674,343	-107,746	0	0	0	0	-107,746
49	USB NEWTON ACCOUNT		P	1/2/2009	12/31/2009		147,958	0	0	180,227	-32,269	0	0	0	0	-32,269
50	USB NJF ALL CAP VALUE AVC		P	8/2/2008	12/31/2009		303,069	0	0	369,831	-66,762	0	0	0	0	-66,762
51	USB MARISCO ALL CAP		P	7/15/2008	12/31/2009		172,383	0	0	237,975	-65,592	0	0	0	0	-65,592
52	A TEL Capital Equipment Fund		P	12/31/2009	12/31/2009		0	0	16	16	-16	0	0	0	0	-16

Part

	0	0	0	0
	Compensation	Benefits	Expense Account	Explanation
1				
2				
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