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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
ROBERT AND CAROLE DALY FOUNDATION

A Employer identification number
95-6875322

Number and street (or P O box number if mail is not delivered to street address)
9460 WILSHIRE BLVD

Room/suite
600

B Telephone number
(310)888-3600

City or town, state, and ZIP code
BEVERLY HILLS, CA 90212

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 9,918.

J Accounting method. ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	250,000.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	42.	42.	42.	STATEMENT 1
	4 Dividends and interest from securities	11,808.	11,808.	11,808.	STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-44,759.			
	b Gross sales price for all assets on line 6a 702,266.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	217,091.	11,850.	11,850.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 3	1,046.	0.	1,046.	0.
	17 Interest				
	18 Taxes STMT 4	635.	0.	635.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	50.	0.	50.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,731.	0.	1,731.	0.
	25 Contributions, gifts, grants paid	954,596.			954,596.
	26 Total expenses and disbursements. Add lines 24 and 25	956,327.	0.	1,731.	954,596.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-739,236.			
	b Net investment income (if negative, enter -0-)		11,850.		
	c Adjusted net income (if negative, enter -0-)			10,119.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	4,592.	1,128.	1,128.
	2 Savings and temporary cash investments	304,019.	8,790.	8,790.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations	81,526.		
	b Investments - corporate stock	143,802.		
	c Investments - corporate bonds	172,621.		
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other	43,072.		
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	749,632.	9,918.	9,918.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	904,646.	904,646.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	-155,014.	-894,728.	
	30 **Total net assets or fund balances**	749,632.	9,918.	
	31 **Total liabilities and net assets/fund balances**	749,632.	9,918.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	749,632.
2	Enter amount from Part I, line 27a	2	-739,236.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	10,396.
5	Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	478.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,918.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEC FAIR FUND LITIGATION		VARIOUS	VARIOUS
b	CNB MANAGED 47905050 (SEE ATTACHED)		VARIOUS	VARIOUS
c	CNB MANAGED 47905050 (SEE ATTACHED)		VARIOUS	VARIOUS
d	CNB MANAGED 47905040 (SEE ATTACHED STMT)		VARIOUS	VARIOUS
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,446.			2,446.
b	505,182.		507,635.	-2,453.
c	96,325.		95,588.	737.
d	98,313.		143,802.	-45,489.
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				2,446.
b				-2,453.
c				737.
d				-45,489.
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-44,759.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	-2,453.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	800,072.	350,183.	2.284725
2007	1,057,307.	1,403,937.	.753101
2006	1,515,603.	2,690,474.	.563322
2005	1,559,069.	3,112,722.	.500870
2004	641,463.	4,000,916.	.160329

2	Total of line 1, column (d)	2	4.262347
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years ...	3	.852469
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	228,040.
5	Multiply line 4 by line 3	5	194,397.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	119.
7	Add lines 5 and 6	7	194,516.
8	Enter qualifying distributions from Part XII, line 4	8	954,596.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	119.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	119.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	119.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	119.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TAG PARTNERS Telephone no ▶ (310) 888-3600

Located at ▶ 9460 WILSHIRE BLVD., SUITE 600, BEVERLY HILLS, CA ZIP+4 ▶ 90212-2719

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT A. DALY 9460 WILSHIRE BLVD., SUITE 600 BEVERLY HILLS, CA 90212	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:			
a	Average monthly fair market value of securities		1a	120,820.
b	Average of monthly cash balances		1b	110,693.
c	Fair market value of all other assets		1c	
d	Total (add lines 1a, b, and c)		1d	231,513.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	231,513.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	3,473.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	228,040.
6	**Minimum investment return.** Enter 5% of line 5		6	11,402.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	11,402.
2a	Tax on investment income for 2009 from Part VI, line 5	2a 119.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	119.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	11,283.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	11,283.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	11,283.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	954,596.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	954,596.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	119.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	954,477.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				11,283.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004 445,335.				
b From 2005 1,410,117.				
c From 2006 1,384,613.				
d From 2007 988,916.				
e From 2008 783,559.				
f Total of lines 3a through e	5,012,540.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 954,596.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				11,283.
e Remaining amount distributed out of corpus	943,313.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,955,853.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	445,335.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	5,510,518.			
10 Analysis of line 9				
a Excess from 2005 1,410,117.				
b Excess from 2006 1,384,613.				
c Excess from 2007 988,916.				
d Excess from 2008 783,559.				
e Excess from 2009 943,313.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year (a) 2009	Prior 3 years (b) 2008	(c) 2007	(d) 2006	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ROBERT A. DALY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 *Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 6				
Total			▶ 3a	954,596.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					42.
4 Dividends and interest from securities					11,808.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					-44,759.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		0.	-32,909.
13 Total. Add line 12, columns (b), (d), and (e)				13	-32,909.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
13	TO AID IN THE SUPPORT OF WORTHY FOUNDATIONS, CHARITIES AND CAUSES.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | Date 11/11/10 | ▶ Title TRUSTEE

Paid Preparer's Use Only

Preparer's signature ▶	Date 11/8/10	Check if self-employed ▶ ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code ▶ RSM MCGLADREY, INC. 21700 OXNARD STREET, SUITE 1030 WOODLAND HILLS, CA 91367-3688		EIN ▶	
		Phone no (818) 961-3000	

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

ROBERT AND CAROLE DALY FOUNDATION

Employer identification number

95-6875322

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. ▶ $ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: ROBERT AND CAROLE DALY FOUNDATION

Employer identification number: 95-6875322

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ROBERT AND CAROLE DALY 9460 WILSHIRE BLVD., SUITE 600 BEVERLY HILLS, CA 90212	$ 250,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization: ROBERT AND CAROLE DALY FOUNDATION

Employer identification number: 95-6875322

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

ROBERT AND CAROLE DALY FOUNDATION

Employer identification number

95-6875322

Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE D

ACCT# 47905050 (DALY CHARITABLE FOUNDATION (FIXED)) YR END 12/31/09 TAXPAYER ID 95-6875322 TX O 6
TAX OFFICER# 6 NO TAX RESPONSIBILITY

DATE	SHR/PV	DESCRIPTION			ACQUIRED	SOLD/RECD	SALE PRICE	BASIS	NET S.T.	NET L.T.
5/ 8/09	30000.000	SOLD GOLDMAN SACHS GP INC 30,000 PV AT 100.57 %	1.625%	7/15/11	2/25/09	5/8/09	30171.00	29902.20	268.80	0.00
11/ 5/09	5000.000	SOLD US TREASURY NOTES 5,000 PV AT 105.2262 %	4.500%	2/28/11	9/11/08	11/4/09	5261.31	5267.19	0.00	-5.88
10/23/09	25000.000	SOLD FNMA 25,000 PV AT 105.6434 %	6.250%	2/01/11	4/18/08	10/22/09	26410.85	26657.50	0.00	-246.65
6/10/09	20000.000	SOLD AMER GEN FIN CORP MT 20,000 PV AT 61 %	5.375%	10/01/12	6/24/08	6/5/09	12200.00	18574.60	-6374.60	0.00
8/27/09	20000.000	SOLD BEAR STEARNS CO INC 20,000 PV AT 111.28 %	6.950%	8/10/12	2/25/09	8/26/09	22256.00	20663.40	1592.60	0.00
8/27/09	20000.000	SOLD BOEING COMPANY 20,000 PV AT 107.717 %	5.000%	3/15/14	3/24/09	8/26/09	21543.40	20507.40	1036.00	0.00
9/17/09	20000.000	SOLD CONOCOPHILLIPS 20,000 PV AT 105.94 %	4.750%	2/01/14	3/3/09	9/16/09	21188.00	20397.80	790.20	0.00
4/29/09	30000.000	SOLD MERRILL LYNCH MTN 30,000 PV AT 99.4 %	4.250%	2/08/10	6/27/08	4/28/09	29820.00	29586.00	234.00	0.00
6/ 9/09	20000.000	SOLD MSDW CO GLBL NT 20,000 PV AT 104.4 %	6.750%	4/15/11	12/6/07	6/8/09	20880.00	20990.60	0.00	-110.60
5/18/09	20000.000	SOLD LOS ANGELES CNTY CAL 20,000 PV AT 99.732 %	0.000%	6/30/09	8/29/08	5/13/09	19378.40	19378.40	0.00	0.00
4/24/09	305.890	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506			4/3/09	4/24/09	305.89	305.89	0.00	0.00
4/28/09	25000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 4/03/09 THROUGH 4/15/09			VARIOUS	4/28/09	25000.00	25000.00	0.00 0.00	0.00 0.00

S C H E D U L E D

ACCT# 47905050 (DALY CHARITABLE FOUNDATION (FIXED)) YR END 12/31/09 TAXPAYER ID 95-6875322 TX O 6
TAX OFFICER# 6 NO TAX RESPONSIBILITY

DATE	SHR/PV	DESCRIPTION	ACQUIRED	SOLD/RECD	SALE PRICE	BASIS	NET S.T.	NET L.T.
5/ 8/09	4684.100	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 4/15/09 THROUGH 4/30/09	VARIOUS	5/8/09	4684.10	4684.10	0.00	0.00
5/20/09	10000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 4/30/09 THROUGH 5/18/09	VARIOUS	5/20/09	10000.00	10000.00	0.00	0.00
5/27/09	10000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506	5/18/09	5/27/09	10000.00	10000.00	0.00	0.00
6/ 8/09	860.860	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 5/18/09 THROUGH 6/02/09	VARIOUS	6/8/09	860.86	860.86	0.00	0.00
6/11/09	10000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506	6/9/09	6/11/09	10000.00	10000.00	0.00	0.00
7/24/09	129.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506	6/9/09	7/24/09	129.00	129.00	0.00	0.00
7/29/09	2000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506	6/9/09	7/29/09	2000.00	2000.00	0.00	0.00
8/ 5/09	10000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 6/09/09 THROUGH 6/10/09	VARIOUS	8/5/09	10000.00	10000.00	0.00	0.00
8/27/09	100000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 6/10/09 THROUGH 8/27/09	VARIOUS	8/27/09	100000.00	100000.00	0.00	0.00
9/17/09	20000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 8/27/09 THROUGH 9/17/09	VARIOUS	9/17/09	20000.00	20000.00	0.00	0.00
10/23/09	25000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 9/17/09 THROUGH 10/23/09	VARIOUS	10/23/09	25000.00	25000.00	0.00	0.00
10/26/09	73.730	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506	10/23/09	10/26/09	73.73	73.73	0.00	0.00
11/ 4/09	4658.820	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 10/23/09 THROUGH 11/03/09	VARIOUS	11/4/09	4658.82	4658.82	0.00	0.00
11/ 9/09	17500.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506 ACQUIRED 11/05/09 THROUGH 11/06/09	VARIOUS	11/9/09	17500.00	17500.00	0.00	0.00

S C H E D U L E D

ACCT# 47905050 (DALY CHARITABLE FOUNDATION (FIXED)) YR END 12/31/09 TAXPAYER ID 95-6875322 TX O 6
TAX OFFICER# 6 NO TAX RESPONSIBILITY

DATE	SHR/PV	DESCRIPTION	ACQUIRED	SOLD/RECD	SALE PRICE	BASIS	NET S.T.	NET L.T.
12/ 9/09	48710.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506	11/6/09	12/9/09	48710.00	48710.00	0.00	0.00
12/22/09	30000.000	SOLD GOLDMAN SACHS FINL SQR TREAS FD#506	11/6/09	12/22/09	30000.00	30000.00	0.00	0.00
4/ 3/09	24557.770	SOLD GOLDMAN SACHS FINL SQRE TR OB-FS#468 ACQUIRED 3/30/09 THROUGH 4/02/09	VARIOUS	4/3/09	24557.77	24557.77	0.00	0.00
4/30/09	5106.880	SOLD GOLDMAN SACHS FINL SQRE TR OB-FS#468	4/29/09	4/30/09	5106.88	5106.88	0.00	0.00
12/15/09	37.710	SOLD GOLDMAN SACHS FINL SQRE TR OB-FS#468	12/14/09	12/15/09	37.71	37.71	0.00	0.00
6/11/09	8000.000	SOLD ABERDEEN ASIA-PACIFIC INCOME FD 8,000 SHARES AT $5.5116	5/29/03	6/8/09	43771.66	42672.00	0.00	1099.66
							-2453.00	736.53

CITY NATIONAL BANK
The way up.

REALIZED CAPITAL GAINS and LOSSES

This section details the realized capital gain or loss for assets sold and bonds matured or called. It also shows distributions paid by mutual funds that are characterized as capital gains. Because the federal cost basis used in these calculations can come from different sources and may require refinement, this information is preliminary.

TRAN DATE	ASSET ID	SHARES/ PAR VALUE	DESCRIPTION	COST BASIS ($)	PROCEEDS ($)	SHORT TERM REALIZED GAIN/LOSS ($)	LONG TERM REALIZED GAIN/LOSS ($)
01/29/09	BAC	300.00	BANK OF AMERICA CORP COM	-14,769.00	1,997.98		-12,771.02
01/29/09	BAX	200.00	BAXTER INTL INC COM	-11,186.00	11,526.93		340 93
01/29/09	FRP	5.00	FAIRPOINT COMMUNICATIONS INC COM	-51.44	13.39		-38.05
01/29/09	FE	300.00	FIRSTENERGY CORP COM	-19,212.00	15,300 90		-3,911.10
01/29/09	IBDRY	260.00	IBERDROLA SP ADR	-12,931.28	8,319.95		-4,611 33
01/29/09	LMT	200.00	LOCKHEED MARTIN CORP COM	-18,838 00	16,551.80		-2,286.20
01/29/09	MRO	300.00	MARATHON OIL CORP COM	-18,662.00	9,083.94		-9,578.06
01/29/09	MRK	300.00	MERCK & CO INC COM	-14,568.00	8,273.95		-6,294.05
01/29/09	NGG	200.00	NATIONAL GRID PLC SPONS ADR NEW	-8,585.03	9,019.94		434 91
01/29/09	UL	400.00	UNILEVER PLC SPONS ADR NEW	-12,484.00	8,899.95		-3,584.05
01/29/09	VZ	300.00	VERIZON COMMUNICATIONS COM	-12,514.79	9,323.94		-3,190.85
Totals for Period – 01/01/09 - 01/31/09				-$143,801.54	$98,312.67		-$45,488.87
Totals for the Calendar Year-to-Date							-$45,488.87

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST INCOME	42.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	42.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CITY NATIONAL BANK #5040	704.	0.	704.
CITY NATIONAL BANK #5050	11,104.	0.	11,104.
TOTAL TO FM 990-PF, PART I, LN 4	11,808.	0.	11,808.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE ACCOUNT CHARGES	1,046.	0.	1,046.	0.
TO FORM 990-PF, PG 1, LN 16C	1,046.	0.	1,046.	0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	37.	0.	37.	0.
P/Y FEDERAL TAXES	498.	0.	498.	0.
P/Y STATE TAXES	100.	0.	100.	0.
TO FORM 990-PF, PG 1, LN 18	635.	0.	635.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	50.	0.	50.	0.
TO FORM 990-PF, PG 1, LN 23	50.	0.	50.	0.

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 6

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN FILM INSTITUTE 2021 N. WESTERN AVENUE LOS ANGELES, CA 90027	NONE TO SUPPORT ART FORMS THAT IS WORTHY OF ITS PROUD PAST.	PUBLIC	291,000.
BOGART PEDIATRIC CANCER RESEARCH PROGRAM 5900 WILSHIRE BLVD., STE 2600 LOS ANGELES, CA 90036	NONE TO SUPPORT RESEARCH TO FIND CURES FOR DISEASES OF YOUNG CHILDREN.	PUBLIC	20,000.
CROSSROADS SCHOOL 1714 TWENTY-FIRST STREET SANTA MONICA, CA 90404	NONE TO SUPPORT STUDENTS WHO WOULD NOT OTHERWISE BE ABLE TO EXPERIENCE EDUCATION.	PUBLIC	55,920.
DONORS CHOOSE 213 WEST 35TH STREET, 2ND FLOOR EAST NEW YORK, NY 10001	NONE TO PROVIDE LEARNING TOOLS AND EQUIPMENT FOR BRONX HIGH SCHOOLS.	PUBLIC	15,000.
GEFFEN PLAYHOUSE 10886 LE CONTE AVENUE LOS ANGELES, CA 90024	NONE TO BRING EXCEPTIONAL THEATER AND EDUCATIONAL OUTREACH TO THE COMMUNITY.	PUBLIC	10,000.

GLOBAL GIVING 1023 15TH STREET, NW, 12TH FLOOR WASHINGTON, DC 20005	NONE TO HELP RAISE AWARENESS AND ALLAY FEARS ABOUT PEOPLE WITH DOWN SYNDROME.	PUBLIC	15,000.
IDYLLWILD ARTS 52500 TEMECULA ROAD, PO BOX 38 IDYLLWILD, CA 92549	NONE TO SUPPORT THE ARTS AND ART EDUCATION FOR YOUNG STUDENTS.	PUBLIC	5,000.
INTERNATIONAL WOMEN'S MEDIA FOUNDATION 1625 K STREET, NW, STE 1275 WASHINGTON, DC 20006	NONE TO CONTINUE TO STRENGTHEN THE ROLE OF WOMEN IN THE NEWS MEDIA WORLDWIDE.	PUBLIC	15,000.
LUPUS LA 9220 SUNSET BLVD., STE 200 LOS ANGELES, CA 90069	NONE TO DISCOVER NEW AND IMPROVED TREATMENTS FOR PATIENTS DIAGNOSED WITH LUPUS.	PUBLIC	25,000.
MALARIA NO MORE 432 PARK AVE SOUTH, 13TH FLOOR NEW YORK, NY 10016	NONE TO SUPPORT EFFORTS TO DISTRIBUTE NETS AND OTHER LIFE-SAVING INTERVENTIONS.	PUBLIC	25,000.
MOTION PICTURE & TELEVISION FUND 23388 MULHOLLAND DRIVE, #220 WOODLAND HILLS, CA 91364	NONE TO HELP PROVIDE VITAL SERVICES AND CHARITABLE ASSISTANCE TO FAMILIES.	PUBLIC	12,000.
NATIONAL BREAST CANCER COALITION FUND 1101 17TH STREET, NW, STE 1300 WASHINGTON, DC 20036	NONE TO SUPPORT AWARENESS AND ERADICATE BREST CANCER.	PUBLIC	5,000.
NATIONAL MULTIPLE SCLEROSIS SOCIETY 2440 S. SEPULVEDA BLVD., SUITE 115 LOS ANGELES, CA 90064	NONE TO GIVE THE MS COMMUNITY TREMENDOUS STRENGTH AND GIVE HOPE.	PUBLIC	10,000.
PROSTATE CANCER FOUNDATION 1250 FOURTH STREET SANTA MONICA, CA 90401	NONE TO SUPPORT THE DISCOVERY AND DEVELOPMENT OF BETTER TREATMENTS OF CANCER.	PUBLIC	10,000.

SAVE THE CHILDREN 54 WILTON ROAD WESTPORT, CT 06880	NONE TO MAKE A LASTING POSITIVE CHANGE IN THE LIVES OF DISADVANTAGED CHILDREN.	PUBLIC	221,176.
THE DAVID GEFFEN SCHOOL OF MEDICINE AT UCLA 10833 LE CONTE AVE. 12-138 CHS, BOX 951722 LOS ANGELES, CA	NONE TO BENEFIT THE DEPARTMENT OF NEUROSURGURY AND ASSIST DR. NEIL	PUBLIC	10,000.
THE HAMMER MUSEUM 10899 WILSHIRE BLVD. LOS ANGELES, CA 90024	NONE TO SUPPORT THE HAMMER'S ACCLAIMED EXHIBITIONS AND PUBLIC PROGRAMS.	PUBLIC	50,000.
THE KABBALAH CENTRE 1062 S. ROBERTSON BLVD. LOS ANGELES, CA 90035	NONE TO BROADEN THE SCOPE OF PUBLISHING, CLASSES, EVENTS AND COMMUNITY TOOLS.	PUBLIC	52,000.
THE MUSICARES FOUNDATION 3030 OLYMPIC BLVD. SANTA MONICA, CA 90404	NONE TO PROMOTE THE WELL-BEING OF MUSIC PEOPLE THROUGH FINANCIAL RESROURCES.	PUBLIC	7,500.
THE NANCY DAVIS FOUNDATION FOR MULTIPLE SCLEROSIS 1801 AVENUE OF THE STARS, STE 1400 LOS ANGELES, CA 90067	NONE TO SUPPORT THE RESEARCH DISCOVERIES, BRING RENEWED HOPE AND INSPIRE ALL.	PUBLIC	10,000.
THE RAPE FOUNDATION 1223 WILSHIRE BLVD., NO. 140 SANTA MONICA, CA 90403	NONE TO PROVIDE FREE SERVICES FOR SEXUAL ASSAULT VICTIMS INCLUDING COUNSELING.	PUBLIC	2,000.
THE SARAH MCLACHLAN FOUNDATION 1700-1140 WEST PENDER STREET VANCOUVER, CANADA V6E 4G1	NONE TO EXPAND MUSIC OUTREACH PROGRAMS AND TO CELEBRATE STUDENT ACHIEVEMENTS.	PUBLIC	25,000.
TIDES CENTER PO BOX 29907 SAN FRANCISCO, CA 94129	NONE TO SUPPORT THE CHILDREN'S ACTION NETWORK.	PUBLIC	6,000.

UCLA MEDICAL SERVICES - UROLOGY 10920 WILSHIRE BLVD., STE 1400 LOS ANGELES, CA 90024	NONE IN MEMORY OF HAROLD GABA, TO BENEFIT THE WORK OF DR. MATTHEW RETTIG.	PUBLIC	1,000.
UNITED FRIENDS OF THE CHILDREN 1055 WILSHIRE BLVD., STE 1955 LOS ANGELES, CA 90017	NONE TO PROVIDE ESSENTIAL SERVICES TO FOSTER YOUTH IN OUR COMMUNITY.	PUBLIC	25,000.
VINTAGE HOLLYWOOD 9200 SUNSET BLVD., PH 22 LOS ANGELES, CA 90069	NONE TO PROMOTE AND SUPPORT CHARITABLE ORGANIZATIONS TO IMPROVE HEALTH.	PUBLIC	10,000.
THE CAUCUS FOUNDATION 2829 NORTH GLENOAKS BOULEVARD, STE 103, PMB 137 BURBANK, CA 91504	NONE TO PROVIDE GRANTS FOR THE COMPLETION OF PROJECTS FOR DISADVANTAGED	PUBLIC	5,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA 1111 FRANKLIN STREET, 12TH FLOOR OAKLAND, CA 94607	NONE TO KEEP THE UNIVERSITY OF CALIFORNIA FREE FROM POLITICAL INFLUENCE.	PUBLIC	1,000.
SOCIETY OF SINGERS 15456 VENTURA BLVD. STE 304 SHERMAN OAKS, CA 91403	NONE TO BENEFIT THE HEALTH AND WELFARE OF PROFESSIONAL SINGERS WORLDWIDE.	PUBLIC	2,500.
MUSEUM OF THE MOVIE IMAGE 35 AVENUE AT 37 STREET ASTORIA, NY 11106	NONE TO SUPPORT EDUCATION AND ART OF THE PROGRAMS THROUGH WORKSHOPS AND	PUBLIC	5,000.
US FUND FOR UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	NONE PROVIDES CHILDREN WITH HEATH CARE, CLEAN WATER, NUTRITION, AND EDUCATION.	PUBLIC	7,500.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			954,596.

DECLARATION OF PUBLICATION

STATE OF CALIFORNIA

COUNTY OF LOS ANGELES

ATTN MR ADAM KARP

T A G PARTNERS LLC
CERTIFIED PUBLIC ACCOUNTANTS
9460 WILSHIRE BLVD STE 600
BEVERLY HILLS CA 90212-2719

AVAILABILITY OF ANNUAL RETURN
ROBERT AND CAROLE DALY FOUNDATION

NOTICE OF AVAILABILITY OF ANNUAL RETURN

Pursuant to Section 6104(d) of the Internal Revenue Code, notice is hereby given that the annual return for the year ending December 31, 2009 of the ROBERT AND CAROLE DALY FOUNDATION, a private foundation, is available for public inspection by any interested citizen who requests it at the foundation's principal office located at c/o T A G. Partners LLC, 9460 Wilshire Blvd., Suite 600, Beverly Hills, CA 90212, telephone (310) 888-3630, beginning on the date of this publication and for 180 days thereafter during normal business hours

The principal manager of the foundation is Robert A. Daly.

CN841065 FDN 1214 Jul 13, 2010

The undersigned says:

I am over the age of 18 years and a citizen of the United States. I am not a party to and have no interest in this matter. I am a principal clerk of the LOS ANGELES BULLETIN*, a newspaper of general circulation in the City of Los Angeles, the Judicial District of Los Angeles, the County of Los Angeles, and the State of California, as adjudicated in Los Angeles Superior Court Case No. BS014387. The notice, a printed copy of which appears hereon, was published on the following date(s): Jul 13, 2010

I declare under penalty of perjury that the foregoing is true and correct. Executed at Los Angeles, California on 07/13/10.

signature

Los Angeles Bulletin
P.O. Box 60859
Los Angeles, Ca 90060

Phone: (213) 346-0033
Fax: (213) 687-3886

Cust. Num.: 012532
Cust. Ref. Num.: FDN 1214

Control Num.: 841065

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print	Name of Exempt Organization	Employer identification number
File by the extended due date for filing the return See instructions	ROBERT AND CAROLE DALY FOUNDATION	95-6875322
	Number, street, and room or suite no. If a P.O. box, see instructions. 9460 WILSHIRE BLVD, NO. 600	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. BEVERLY HILLS, CA 90212	

Check type of return to be filed (File a separate application for each return):

[ ] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec. 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [X] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ TAG PARTNERS - 9460 WILSHIRE BLVD., SUITE 600 - BEVERLY HILLS, CA 90212-2719
  Telephone No. ▶ (310) 888-3600 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010.

5 For calendar year 2009, or other tax year beginning _____, and ending _____.

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS REQUIRED TO GATHER THE TAX INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$	143.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$	0.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$	143.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ _____ Title ▶ PROVIDENT FINANCIAL MANAGEMENT Date ▶ 7/26/10

A BUSINESS OF RSM MCGLADREY
21700 OXNARD ST., SUITE 1030
WOODLAND HILLS, CA 91367

923832
05-28-09