Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

THE LAWRENCE FOUNDATION
530 WILSHIRE BOULEVARD #207
SANTA MONICA, CA 90401-1427

A Employer identification number: 95-4804431

B Telephone number (see the instructions): 970-870-9456

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 4,046,382.

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► [X] if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	19.	19.	N/A	
	4 Dividends and interest from securities	71,458.	71,458.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-275,246.			
	b Gross sales price for all assets on line 6a 1,560,435.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	-203,769.	71,477.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	42,000.			42,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 1	6,975.			6,975.
	c Other prof fees (attach sch) SEE ST 2	1,950.			1,950.
	17 Interest				
	18 Taxes (attach schedule)(see instr.) SEE STM 3	4,043.	923.		3,120.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	3,499.			3,499.
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 4	26,413.	16,172.		10,241.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	84,880.	17,095.		67,785.
	25 Contributions, gifts, grants paid PART XV	156,000.			156,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	240,880.	17,095.		223,785.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-444,649.			
	b **Net investment income** (if negative, enter -0-)		54,382.		
	C **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	44,405.		
	2 Savings and temporary cash investments	596,023.	220,995.	220,995.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	3,720,684.	3,693,495.	3,825,387.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)	234.		
	16 **Total assets** (to be completed by all filers – see instructions Also, see page 1, item I)	4,361,346.	3,914,490.	4,046,382.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ► SEE STATEMENT 5)	2,580.	373.	
	23 **Total liabilities** (add lines 17 through 22)	2,580.	373.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ► [X]			
	24 Unrestricted	4,358,766.	3,914,117.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► [ ]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see the instructions)	4,358,766.	3,914,117.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	4,361,346.	3,914,490.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,358,766.
2	Enter amount from Part I, line 27a	2	-444,649.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	3,914,117.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,914,117.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE STATEMENT 6			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-275,246.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	-43,000.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	256,986.	3,684,174.	0.069754
2007	447,953.	5,155,704.	0.086885
2006	440,373.	4,907,431.	0.089736
2005	528,802.	4,657,752.	0.113532
2004	599,902.	4,740,659.	0.126544

2	**Total** of line 1, column (d)	2	0.486451
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.097290
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,204,888.
5	Multiply line 4 by line 3	5	311,804.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	544.
7	Add lines 5 and 6	7	312,348.
8	Enter qualifying distributions from Part XII, line 4	8	223,785.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: _ _ _ _ _ _ _ **(attach copy of letter if necessary - see instr.)** **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			1	1,088.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,088.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,088.
6 Credits/Payments:				
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	5,793.		
b Exempt foreign organizations – tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	5,793.
8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	0.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	4,705.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ►	4,705.	**Refunded** ►	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► CA			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A | Statements Regarding Activities *Continued*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► WWW.THELAWRENCEFOUNDATION.ORG

14 The books are in care of ► JEFF LAWRENCE Telephone no. ► 970-870-9456

Located at ► 530 WILSHIRE BLVD, #207 SANTA MONICA CA ZIP + 4 ► 90401-1427

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JEFFREY LAWRENCE 530 WILSHIRE BLVD., SUITE 207 SANTA MONICA, CA 90401	TRUSTEE 5.00	0.	0.	0.
DIANE TROTH 530 WILSHIRE BLVD., SUITE 207 SANTA MONICA, CA 90401	TRUSTEE 5.00	0.	0.	0.
LORI READ MITCHELL 530 WILSHIRE BLVD., SUITE 207 SANTA MONICA, CA 90401	EXEC. DIRECT 20.00	42,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1– see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a Average monthly fair market value of securities		1a	2,927,625.
b Average of monthly cash balances		1b	326,068.
c Fair market value of all other assets (see instructions)		1c	
d **Total** (add lines 1a, b, and c)		1d	3,253,693.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	3,253,693.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	48,805.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	3,204,888.
6 **Minimum investment return.** Enter 5% of line 5		6	160,244.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	160,244.
2a Tax on investment income for 2009 from Part VI, line 5	2a 1,088.		
b Income tax for 2009 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	1,088.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	159,156.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	159,156.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	159,156.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	223,785.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	223,785.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	223,785.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				159,156.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years: 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004 — 371,949.				
b From 2005 — 329,848.				
c From 2006 — 202,271.				
d From 2007 — 197,048.				
e From 2008 — 73,864.				
f **Total** of lines 3a through e	1,174,980.			
4 Qualifying distributions for 2009 from Part XII, line 4 ► $ 223,785.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				159,156.
e Remaining amount distributed out of corpus	64,629.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,239,609.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	371,949.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	867,660.			
10 Analysis of line 9				
a Excess from 2005 — 329,848.				
b Excess from 2006 — 202,271.				
c Excess from 2007 — 197,048.				
d Excess from 2008 — 73,864.				
e Excess from 2009 — 64,629.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE STATEMENT 7

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 9				
Total			► **3a**	156,000.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	19.	
4 Dividends and interest from securities			14	71,458.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-275,246.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-203,769.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 -203,769.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions)
N/A	

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	**1a (1)**		X
	(2) Other assets	**1a (2)**		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	**1b (1)**		X
	(2) Purchases of assets from a noncharitable exempt organization	**1b (2)**		X
	(3) Rental of facilities, equipment, or other assets	**1b (3)**		X
	(4) Reimbursement arrangements	**1b (4)**		X
	(5) Loans or loan guarantees	**1b (5)**		X
	(6) Performance of services or membership or fundraising solicitations	**1b (6)**		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

SIGN HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

► [signature] Signature of officer or trustee | 6/10/10 Date | ► Executive Director ~~TRUSTEE~~ Title

Paid Preparer's Use Only			
Preparer's signature ►	ANDREW M. LEVINSON [signature]	Date 6/4/10	Check if self-employed ► ☐ Preparer's Identifying number (See **Signature** in the instrs) P00012910
Firm's name (or yours if self-employed), address, and ZIP code ►	GISH SEIDEN LLP		EIN ► 95-1444411
	21700 OXNARD STREET SUITE 850		
	WOODLAND HILLS, CA 91367		Phone no ► 818-854-6100

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	$ 6,975.			$ 6,975.
TOTAL	$ 6,975.	$ 0.		$ 6,975.

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	$ 1,950.			$ 1,950.
TOTAL	$ 1,950.	$ 0.		$ 1,950.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	$ 923.	$ 923.		
PAYROLL TAXES	3,120.			$ 3,120.
TOTAL	$ 4,043.	$ 923.		$ 3,120.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	$ 995.			$ 995.
FINANCIAL MANAGEMENT FEES	16,009.	$ 16,009.		
GRANT MANAGEMENT	398.			398.
INSURANCE	1,694.			1,694.
INTERNET EXPENSES	553.			553.
OFFICE EXPENSE	3,708.	163.		3,545.
REGISTRY OF CHARITABLE TRUSTS	35.			35.
TELEPHONE	2,664.			2,664.
WEBSITE MAINTENANCE	357.			357.
TOTAL	$ 26,413.	$ 16,172.		$ 10,241.

**STATEMENT 5
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES**

PAYROLL TAX PAYABLE	$ 303.
CREDIT CARD PAYABLE	70.
TOTAL	$ 373.

**STATEMENT 6
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	CREDIT SUISSE 01 ST - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
2	CREDIT SUISSE 01 LT - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
3	CREDIT SUISSE 30 ST - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
4	CREDIT SUISSE 30 LT - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
5	CREDIT SUISSE 96 ST - CAP GAIN DISTR	PURCHASED	VARIOUS	VARIOUS
6	CREDIT SUISSE 96 LT - CAP GAIN DISTR	PURCHASED	VARIOUS	VARIOUS
7	CREDIT SUISSE 96 LT - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
8	CREDIT SUISSE 39 ST - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
9	CREDIT SUISSE 39 LT - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
10	CREDIT SUISSE 47 ST - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
11	CREDIT SUISSE 47 LT - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
12	LB OFFSHORE LONG/SHORT FUND II	PURCHASED	7/01/2008	8/07/2009
13	LB OFFSHORE DIVERSIFIED ARB FUND	PURCHASED	1/07/2008	8/07/2009
14	CREDIT SUISSE 21 ST - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
15	CREDIT SUISSE 21 ST - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS
16	CREDIT SUISSE 21 LT - SEE SCHEDULE	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	9,399.		5,432.	3,967.				$ 3,967.
2	224,299.		244,081.	-19,782.				-19,782.
3	17,822.		21,060.	-3,238.				-3,238.
4	71,365.		97,706.	-26,341.				-26,341.
5	5,556.		0.	5,556.				5,556.
6	7,344.		0.	7,344.				7,344.
7	582,107.		613,804.	-31,697.				-31,697.
8	660.		1,230.	-570.				-570.
9	35,412.		57,426.	-22,014.				-22,014.
10	21,279.		25,229.	-3,950.				-3,950.
11	18,340.		26,612.	-8,272.				-8,272.
12	220,886.		244,064.	-23,178.				-23,178.
13	124,199.		124,199.	0.				0.
14	1,054.		10,311.	-9,257.				-9,257.
15	62,140.		97,648.	-35,508.				-35,508.
16	158,573.		266,879.	-108,306.				-108,306.
							TOTAL	$-275,246.

STATEMENT 7
FORM 990-PF, PART XV, LINE 1A
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

JEFFREY LAWRENCE
DIANE TROTH

STATEMENT 8
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	ALL GRANT PROGRAMS
NAME:	THE LAWRENCE FOUNDATION
CARE OF:	LORI MITCHELL, EXECUTIVE DIRECTOR
STREET ADDRESS:	530 WILSHIRE BLVD, #207
CITY, STATE, ZIP CODE:	SANTA MONICA, CA 90401-1427
TELEPHONE:	970-870-9456
FORM AND CONTENT:	SEE GUIDELINES FROM WEBSITE
SUBMISSION DEADLINES:	SEE GUIDELINES FROM WEBSITE
RESTRICTIONS ON AWARDS:	SEE GUIDELINES FROM WEBSITE

STATEMENT 9
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
BRIGHT BEGINNINGS, INC. 128 M ST, NW WASHINGTON, DC 20001	NONE	501C3	FOR CHILDBIRTH AND FAMILY RESOURCE CENTER	$ 5,000.
CARE USA 151 ELLIS ST NE ATLANTA, GA 30303	NONE	501C3	FOR FIGHTING GLOBAL POVERTY	15,000.
CHILDREN'S BUREAU OF SOUTHERN CALIFORNIA 1910 MAGNOLIA AVE LOS ANGELES, CA 90007	NONE	501C3	FOR CHILD ABUSE PREVENTION, PARENTING EDUCATION	5,000.
CHILDREN'S ORGAN TRANSPLANT ASSOCIATION 2501 WEST COTA DRIVE BLOOMINGTON, IN 47403	NONE	501C3	TO ASSIST PARENTS OF CHILDREN WHO REQUIRE ORGAN TRANSPLANTS	5,000.
ENVIRONMENTAL LAW ALLIANCE WORLDWIDE 1877 GARDEN AVE EUGENE, OR 97403	NONE	501C3	TO HELP COMMUNITIES PROTECT ENVIRONMENT THROUGH THE LAW	5,000.

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
FRIENDS OF THE EARTH 1100 15TH STREET NW, 11TH FLOOR WASHINGTON, DC 20005	NONE	501C3	FOR GRASSROOTS ENVIRONMENTAL NETWORK	$ 5,000.
OCEAN CONSERVANCY 1300 19TH ST NW, 8TH FLR WASHINGTON, DC 20036	NONE	501C3	TO PRESERVE EARTH'S OCEANS	5,000.
ORANGUTAN FOUNDATION INTERNATIONAL 824 S. WELLESLEY AVE. LOS ANGELES, CA 90049	NONE	501C3	TO PROTECT ANIMAL AND RAINFOREST HABITAT	15,000.
PACIFIC ENVIRONMENT 251 KEARNY ST, SECOND FLOOR SAN FRANCISCO, CA 94108	NONE	501C3	TO PROMOTE ENVIRONMENTAL ACTIVISM	5,000.
TURTLE ISLAND RESTORATION NETWORK P.O. BOX 370 FOREST KNOLLS, CA 94933	NONE	501C3	TO RESTORE MARINE SPECIES HABITAT	5,000.
FEEDING AMERICA 35 EAST WACKER DR, STE 2000 CHICAGO, IL 60601	NONE	501C3	FOR DOMESTIC HUNGER RELIEF	5,000.
LIVING WITH WOLVES P.O. BOX 896 SUN VALLEY, ID 83353	NONE	501C3	TO EDUCATE PUBLIC ABOUT WOLVES	5,000.
PROJECT ON GOVERNMENT OVERSIGHT 1100 G STREET NW, STE 900 WASHINGTON, DC 20005	NONE	501C3	TO PROMOTE GOOD GOVERNMENT	5,000.
WETLANDS INITIATIVE 53 W. JACKSON BLVD, STE 1015 CHICAGO, IL 60604	NONE	501C3	FOR RESTORATION OF WETLANDS	1,000.
AMERICAN FARMLAND TRUST 1200 18TH STREET NW, SUITE 800 WASHINGTON, DC 20036	NONE	501C3	TO PROTECT THE NATION'S FARM AND RANCH LAND	1,000.
BIG BROTHERS BIG SISTERS CAPITAL REGION 1500 NORTH 2ND STREET HARRISBURG, PA 17102	NONE	501C3	FOR MENTORING CHILDREN AND SUPPORTING FAMILIES	2,500.

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
CITY HELP 2301 BELLEVUE AVE LOS ANGELES, CA 90026	NONE	501C3	TO PROVIDE HEALTHCARE AND HOUSING FOR HOMELESS INDIVIDUALS	$ 2,500.
CONRAD FOUNDATION 1008 GENERAL KENNEDY ST, SUITE C SAN FRANCISCO, CA 94129	NONE	501C3	TO PROMOTE EDUCATION IN SCIENCE AND TECHNOLOGY	5,000.
DEFENDERS OF WILDLIFE 1130 17TH STREET NW WASHINGTON, DC 20036	NONE	501C3	TO SUPPORT WILDLIFE CONSERVATION	5,000.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE, 2ND FLOOR NEW YORK, NY 10001	NONE	501C3	TO PROVIDE EMERGENCY MEDICAL CARE TO PEOPLE IN CRISIS	10,000.
ECO-CYCLE 5030 PEARL ST. BOULDER, CO 80301	NONE	501C3	TO PROMOTE ZERO WASTE COMMUNITIES	5,000.
ECOTRUST 721 NW 9TH AVENUE, SUITE 200 PORTLAND, OR 97209	NONE	501C3	TO SUPPORT ECONOMISTS WHO ARE INVOLVED IN ENVIRONMENTAL AND SOCIAL JUSTICE ISSUES	10,000.
ENDANGERED SPECIES COALITION P.O. BOX 65195 WASHINGTON, DC 20035	NONE	501C3	TO PROTECT NATION'S WILDLIFE AND WILD PLACES	2,500.
GREEN CORPS 369 BROADWAY, SUITE 200 SAN FRANCISCO, CA 94133	NONE	501C3	TO SUPPORT SCHOOL FOR ENVIRONMENTAL ORGANIZERS	5,000.
OCEANA, INC. 1350 CONNECTICUT AVE NW, 5TH FLOOR WASHINGTON, DC 20036	NONE	501C3	TO SUPPORT OCEAN CONSERVATION	5,000.
ROTARY FIRST HARVEST 3200 1ST AVE S, SUITE 106 SEATTLE, WA 98134	NONE	501C3	TO SUPPORT DISTRIBUTION OF SURPLUS FOOD TO PEOPLE IN NEED	5,000.

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
SECOND CHANCE WILDLIFE REHAB CENTER 2730 COLFAX AVE PUEBLO, CO 81003	NONE	501C3	TO PROVIDE A WILDLIFE REHABILITATION FACILTIY	$ 2,500.
THREE HOTS AND A COT 1516 CHATTANOOGA ST LEEDS, AL 35094	NONE	501C3	TO SUPPORT HOMELESS VETERANS	2,500.
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	NONE	501C3	TO SUPPORT CONSERVATION OF WILDLIFE AND WILD PLACES	1,500.
WORLD WILDLIFE FUND 1250 TWENTY-FOURTH STREET, NW WASHINGTON, DC 20090	NONE	501C3	TO SUPPORT CONSERVATION OF NATURAL AREAS AND WILD POPULATIONS OF PLANTS AND ANIMALS	5,000.
			TOTAL	$ 156,000.

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	270.71	0.00	270.71	0.00
Money Market	0.00	15.09	0.00	15.09
Total Dividends, Interest, Income and Expenses	$270.71	$15.09	$270.71	$15.09

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends						
HARSCO CORP	01/16/09	02/17/09	205.000	0.195000	39.98	Cash
WGL HOLDINGS INC COM	01/09/09	02/01/09	250.000	0.355000	88.75	Cash
Total Cash Not Yet Received					$128 73	

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness. Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
01/06/09	02/09/07	SELL	CALAMOS ASSET MGMT INC CL A	CLMS	55.000	1,503.17	451.28	-1,051.89
01/06/09	02/12/07	SELL	CALAMOS ASSET MGMT INC CL A	CLMS	30.000	820.66	246.16	-574.50
01/26/09	12/10/04	SELL	HOVNANIAN ENTERPRISES INC	HOV	120.000	5,466.00	199.20	-5,266.80
01/26/09	06/17/05	SELL	HOVNANIAN ENTERPRISES INC	HOV	5.000	331.86	8.30	-323.56
01/26/09	04/17/07	SELL	HOVNANIAN ENTERPRISES INC	HOV	90.000	2,189.27	149.40	-2,039.87
Total Long Term						$10,310.96	$1,054.34	-$9,256.62
Total Short Term and Long Term						$10,310.96	$1,054.34	-$9,256 62

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is ~~authorized to~~ give tax advice.

JANUARY 2009

Cash Not Yet Received (continued)

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends (continued)						
TIMKEN CO COM	02/20/09	03/03/09	225.000	0.180000	40.50	Cash
Total Cash Not Yet Received					$268.13	

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness. Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

February 2009
NO MORE 2009 ACTIVITY

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/ Loss
Short Term								
02/24/09	02/09/09	SELL	CORE LABORATORIES NV	CLB	85.000	5,696.41	6,164.27	467.86
02/24/09	12/02/08	SELL	AARON RENTS INC COM (FORMERLY CL B)	RNT	35.000	848.05	857.36	9.31
02/24/09	01/13/09	SELL	ARRIS GROUP INC COM	ARRS	175.000	1,314.20	1,060.56	-253.64
02/24/09	09/24/08	SELL	CARMAX INC COM	KMX	560.000	8,161.91	4,995.76	-3,166.15
02/24/09	12/02/08	SELL	CASH AMER INTL INC	CSH	15.000	364.95	226.55	-138.40
02/24/09	12/15/08	SELL	CASH AMER INTL INC	CSH	30.000	754.07	453.10	-300.97
02/24/09	03/10/08	SELL	CHECKPOINT SYS INC	CKP	10.000	241.73	77.40	-164.33
02/24/09	03/26/08	SELL	DELPHI FINL GROUP INC CL A	DFG	10.000	289.70	108.72	-180.98
02/24/09	01/13/09	SELL	DELPHI FINL GROUP INC CL A	DFG	95.000	1,574.47	1,032.84	-541.63
02/24/09	08/20/08	SELL	DIGITAL RIVER INC COM	DRIV	160.000	6,757.28	3,684.65	-3,072.63
02/24/09	05/12/08	SELL	EAST WEST BANCORP INC COM	EWBC	330.000	4,554.29	2,300.23	-2,254.06
02/24/09	03/06/08	SELL	HEALTHWAYS INC COM	HWAY	65.000	2,345.38	695.55	-1,649.83
02/24/09	03/26/08	SELL	HEALTHWAYS INC COM	HWAY	5.000	168.60	53.50	-115.10
02/24/09	04/21/08	SELL	LIFE TIME FITNESS INC COM	LTM	195.000	5,627.58	1,894.64	-3,732.94
02/24/09	09/04/08	SELL	MEDNAX INC COM	MD	140.000	7,850.82	4,893.98	-2,956.84
02/24/09	12/02/08	SELL	PACIFIC CAP BANCORP NEW COM	PCBC	50.000	704.40	330.05	-374.35
02/24/09	04/21/08	SELL	SRA INTL INC CL A COM	SRX	275.000	7,155.40	3,631.65	-3,523.75
02/24/09	06/13/08	SELL	ST MARY LAND & EXPLORATION COMPANY	SM	80.000	4,689.90	1,070.41	-3,619.49

Schedule of Realized Gains and Losses Current Period (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/ Loss
Short Term (continued)								
02/24/09	12/02/08	SELL	ST MARY LAND & EXPLORATION COMPANY	SM	120.000	2,237.94	1,605.61	-632.33
02/24/09	03/26/08	SELL	SCHOOL SPECIALTY INC	SCHS	25.000	797.75	347.60	-450.15
02/24/09	10/29/08	SELL	THE SCOTTS MIRACLE GRO CO HLDG CO	SMG	85.000	2,959.70	2,364.85	-594.85
02/24/09	12/02/08	SELL	STATE AUTO FINANCIAL CORP	STFC	20.000	451.20	351.92	-99.28
02/24/09	01/27/09	SELL	STUDENT LOAN CORP	STU	40.000	1,996.81	1,898.00	-98.81
02/24/09	12/02/08	SELL	SWIFT ENERGY CO	SFY	90.000	1,745.46	786.23	-959.23
02/24/09	12/02/08	SELL	TELEDYNE TECHNOLOGIES INC COM	TDY	45.000	1,724.34	1,041.39	-682.95
02/24/09	05/23/08	SELL	TORO CO	TTC	170.000	6,694.72	3,765.77	-2,928.95
02/24/09	12/12/08	SELL	TRUSTMARK CORP	TRMK	225.000	4,270.09	3,843.18	-426.91
02/24/09	10/29/08	SELL	URS CORP NEW COM	URS	62.000	2,530.93	1,951.76	-579.17
02/24/09	12/09/08	SELL	UNITED BANKSHARES INC W VA	UBSI	185.000	6,012.50	2,777.44	-3,235.06
02/24/09	10/22/08	SELL	WGL HOLDINGS INC COM	WGL	230.000	6,464.68	7,245.50	780.82
02/24/09	12/02/08	SELL	WGL HOLDINGS INC COM	WGL	20.000	663.19	630.04	-33.15
Total Short Term						$97,648.45	$62,140.51	-$35,507.94
Long Term								
02/23/09	03/24/06	SELL	STERLING FINANCIAL CORP	STSA	8.000	231.84	10.48	-221.36
02/23/09	03/27/06	SELL	STERLING FINANCIAL CORP	STSA	63.000	1,826.95	82.53	-1,744.42
02/23/09	03/28/06	SELL	STERLING FINANCIAL CORP	STSA	22.000	637.93	28.82	-609.11
02/23/09	03/29/06	SELL	STERLING FINANCIAL CORP	STSA	17.000	492.84	22.27	-470.57
02/23/09	10/24/06	SELL	STERLING FINANCIAL CORP	STSA	35.000	1,185.38	45.85	-1,139.53
02/23/09	04/02/07	SELL	STERLING FINANCIAL CORP	STSA	45.000	1,389.88	58.95	-1,330.93
02/24/09	03/21/07	SELL	AAR CORP	AIR	125.000	3,689.66	1,869.72	-1,819.94
02/24/09	10/26/07	SELL	AAR CORP	AIR	115.000	3,615.91	1,720.14	-1,895.77
02/24/09	06/23/06	SELL	AARON RENTS INC COM (FORMERLY CL B)	RNT	75.000	1,914.46	1,837.20	-77.26

Schedule of Realized Gains and Losses Current Period (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/ Loss
Long Term (continued)								
02/24/09	06/26/06	SELL	AARON RENTS INC COM (FORMERLY CL B)	RNT	60.000	1,549.50	1,469.76	-79.74
02/24/09	06/27/06	SELL	AARON RENTS INC COM (FORMERLY CL B)	RNT	10.000	259.93	244.96	-14.97
02/24/09	03/02/07	SELL	AARON RENTS INC COM (FORMERLY CL B)	RNT	45.000	1,217.80	1,102.32	-115.48
02/24/09	08/23/07	SELL	AMEDISYS INC COM	AMED	115.000	4,322.88	5,212.72	889.84
02/24/09	12/10/04	SELL	AMERIGROUP CORP COM	AGP	95.000	3,479.38	2,706.06	-773.32
02/24/09	06/17/05	SELL	AMERIGROUP CORP COM	AGP	20.000	782.80	569.70	-213.10
02/24/09	09/26/05	SELL	AMERIGROUP CORP COM	AGP	60.000	2,054.90	1,709.09	-345.81
02/24/09	02/16/06	SELL	AMERIGROUP CORP COM	AGP	25.000	532.67	712.11	179.44
02/24/09	09/27/07	SELL	ARRIS GROUP INC COM	ARRS	290.000	3,597.06	1,757.52	-1,839.54
02/24/09	12/11/07	SELL	ARRIS GROUP INC COM	ARRS	240.000	2,446.16	1,454.50	-991.66
02/24/09	12/10/04	SELL	ASTORIA FINL CORP COM	AF	187.000	5,011.60	1,120.28	-3,891.32
02/24/09	04/04/05	SELL	ASTORIA FINL CORP COM	AF	85.000	2,129.79	509.22	-1,620.57
02/24/09	06/17/05	SELL	ASTORIA FINL CORP COM	AF	35.000	986.94	209.68	-777.26
02/24/09	12/10/04	SELL	BRINKER INTL INC COM	EAT	179.552	4,061.22	1,980.60	-2,080.62
02/24/09	06/17/05	SELL	BRINKER INTL INC COM	EAT	22.448	633.75	247.62	-386.13
02/24/09	03/30/05	SELL	BUCYRUS INTL INC NEW COM	BUCY	74.000	926.13	940.57	14.44
02/24/09	06/17/05	SELL	BUCYRUS INTL INC NEW COM	BUCY	30.000	390.10	381.31	-8.79
02/24/09	09/22/05	SELL	BUCYRUS INTL INC NEW COM	BUCY	147.000	2,199.73	1,868.43	-331.30
02/24/09	09/23/05	SELL	BUCYRUS INTL INC NEW COM	BUCY	33.000	490.19	419.44	-70.75
02/24/09	12/10/04	SELL	CABOT OIL AND GAS CORP COM	COG	65.000	949.87	1,326.46	376.59
02/24/09	06/17/05	SELL	CABOT OIL AND GAS CORP COM	COG	250.000	4,494.15	5,101.75	607.60
02/24/09	01/29/07	SELL	CASH AMER INTL INC	CSH	45.000	1,843.03	679.64	-1,163.39
02/24/09	02/27/07	SELL	CASH AMER INTL INC	CSH	85.000	3,462.97	1,283.77	-2,179.20
02/24/09	12/10/04	SELL	CHECKPOINT SYS INC	CKP	100.000	1,782.00	774.02	-1,007.98
02/24/09	01/10/05	SELL	CHECKPOINT SYS INC	CKP	96.000	1,593.36	743.06	-850.30
02/24/09	01/11/05	SELL	CHECKPOINT SYS INC	CKP	9.000	147.01	69.66	-77.35
02/24/09	06/17/05	SELL	CHECKPOINT SYS INC	CKP	45.000	805.05	348.31	-456.74

Schedule of Realized Gains and Losses Current Period (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/ Loss
Long Term (continued)								
02/24/09	07/25/06	SELL	CHECKPOINT SYS INC	CKP	5.000	105.53	38.70	-66.83
02/24/09	12/10/04	SELL	COVANCE INC	CVD	115.000	4,464.33	4,512.82	48.49
02/24/09	06/17/05	SELL	COVANCE INC	CVD	25.000	1,162.44	981.05	-181.39
02/24/09	12/10/04	SELL	DELPHI FINL GROUP INC CL A	DFG	105.000	3,220.00	1,141.56	-2,078.44
02/24/09	06/17/05	SELL	DELPHI FINL GROUP INC CL A	DFG	45.000	1,343.42	489.24	-854.18
02/24/09	12/10/04	SELL	EATON VANCE CORP COM NON VTG	EV	180.000	4,446.90	2,842.22	-1,604.68
02/24/09	06/17/05	SELL	EATON VANCE CORP COM NON VTG	EV	85.000	2,027.25	1,342.16	-685.09
02/24/09	12/10/04	SELL	FLIR SYSTEMS INC	FLIR	345.000	4,760.00	7,094.58	2,334.58
02/24/09	06/17/05	SELL	FLIR SYSTEMS INC	FLIR	90.000	1,319.65	1,850.76	531.11
02/24/09	12/10/04	SELL	GLOBAL PMTS INC COM	GPN	130.000	3,559.72	4,166.71	606.99
02/24/09	06/17/05	SELL	GLOBAL PMTS INC COM	GPN	40.000	1,400.40	1,282.06	-118.34
02/24/09	04/02/07	SELL	GLOBAL PMTS INC COM	GPN	75.000	2,656.87	2,403.87	-253.00
02/24/09	09/27/07	SELL	GRANITE CONSTR INC COM	GVA	125.000	6,676.19	3,894.25	-2,781.94
02/24/09	06/24/05	SELL	HARSCO CORP	HSC	205.000	5,637.49	4,268.20	-1,369.29
02/24/09	03/28/05	SELL	HEADWATERS INC COM	HW	95.000	3,081.67	224.28	-2,857.39
02/24/09	06/17/05	SELL	HEADWATERS INC COM	HW	25.000	868.50	59.02	-809.48
02/24/09	05/09/06	SELL	HEADWATERS INC COM	HW	60.000	2,039.18	141.65	-1,897.53
02/24/09	07/25/06	SELL	HEADWATERS INC COM	HW	60.000	1,272.43	141.64	-1,130.79
02/24/09	12/10/04	SELL	HEALTHWAYS INC COM	HWAY	65.000	2,190.50	695.55	-1,494.95
02/24/09	01/28/05	SELL	HEALTHWAYS INC COM	HWAY	40.000	1,207.15	428.03	-779.12
02/24/09	01/31/05	SELL	HEALTHWAYS INC COM	HWAY	45.000	1,389.13	481.54	-907.59
02/24/09	06/17/05	SELL	HEALTHWAYS INC COM	HWAY	10.000	419.90	107.01	-312.89
02/24/09	05/17/06	SELL	HEXCEL CORP NEW COM	HXL	260.000	5,608.22	1,599.52	-4,008.70
02/24/09	07/21/06	SELL	HEXCEL CORP NEW COM	HXL	30.000	443.41	184.56	-258.85
02/24/09	07/27/06	SELL	HEXCEL CORP NEW COM	HXL	135.000	1,902.68	830.52	-1,072.16
02/24/09	04/02/07	SELL	HEXCEL CORP NEW COM	HXL	10.000	199.78	61.52	-138.26
02/24/09	12/01/06	SELL	ITRON INC COM	ITRI	64.000	3,056.33	2,989.44	-66.89
02/24/09	12/04/06	SELL	ITRON INC COM	ITRI	66.000	3,208.94	3,082.86	-126.08
02/24/09	12/10/04	SELL	JEFFERIES GROUP INC	JEF	200.000	3,916.00	2,068.32	-1,847.68

Schedule of Realized Gains and Losses Current Period (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/ Loss
Long Term (continued)								
02/24/09	01/17/05	SELL	JEFFERIES GROUP INC	JEF	110.000	2,122.13	1,137.58	-984.55
02/24/09	12/10/04	SELL	MERITAGE HOME CORP	MTH	35.000	1,820.87	359.87	-1,461.00
02/24/09	06/17/05	SELL	MERITAGE HOME CORP	MTH	10.000	829.30	102.82	-726.48
02/24/09	07/25/06	SELL	MERITAGE HOME CORP	MTH	70.000	2,600.56	719.74	-1,880.82
02/24/09	12/10/04	SELL	MOOG INC CLASS A	MOGA	82.000	2,340.28	2,068.24	-272.04
02/24/09	01/04/05	SELL	MOOG INC CLASS A	MOGA	60.000	1,714.35	1,513.34	-201.01
02/24/09	06/17/05	SELL	MOOG INC CLASS A	MOGA	30.000	979.13	756.67	-222.46
02/24/09	12/10/04	SELL	ONEOK INC NEW COM	OKE	145.000	3,959.53	3,294.20	-665.33
02/24/09	06/17/05	SELL	ONEOK INC NEW COM	OKE	25.000	787.50	567.97	-219.53
02/24/09	10/18/05	SELL	PACIFIC CAP BANCORP NEW COM	PCBC	3.000	98.13	19.80	-78.33
02/24/09	10/19/05	SELL	PACIFIC CAP BANCORP NEW COM	PCBC	9.000	293.62	59.41	-234.21
02/24/09	10/20/05	SELL	PACIFIC CAP BANCORP NEW COM	PCBC	44.000	1,484.67	290.43	-1,194.24
02/24/09	10/21/05	SELL	PACIFIC CAP BANCORP NEW COM	PCBC	90.000	3,071.67	594.07	-2,477.60
02/24/09	10/24/05	SELL	PACIFIC CAP BANCORP NEW COM	PCBC	17.000	583.51	112.21	-471.30
02/24/09	10/24/06	SELL	PACIFIC CAP BANCORP NEW COM	PCBC	30.000	835.38	198.02	-637.36
02/24/09	04/02/07	SELL	PACIFIC CAP BANCORP NEW COM	PCBC	35.000	1,108.05	231.03	-877.02
02/24/09	12/10/04	SELL	PHARMACEUTICAL PROD DEV INC COM	PPDI	220.000	4,371.40	5,596.35	1,224.95
02/24/09	01/17/05	SELL	PHARMACEUTICAL PROD DEV INC COM	PPDI	100.000	2,347.28	2,543.79	196.51
02/24/09	12/10/04	SELL	PHILLIPS VAN HEUSEN	PVH	140.000	3,579.28	2,384.76	-1,194.52
02/24/09	06/17/05	SELL	PHILLIPS VAN HEUSEN	PVH	45.000	1,462.95	766.53	-696.42
02/24/09	12/10/04	SELL	PROTECTIVE LIFE CORP	PL	45.000	1,871.55	197.17	-1,674.38
02/24/09	06/17/05	SELL	PROTECTIVE LIFE CORP	PL	10.000	420.80	43.82	-376.98
02/24/09	07/25/06	SELL	PROTECTIVE LIFE CORP	PL	55.000	2,541.58	240.99	-2,300.59
02/24/09	04/02/07	SELL	PROTECTIVE LIFE CORP	PL	35.000	1,545.67	153.35	-1,392.32
02/24/09	12/10/04	SELL	RAYMOND JAMES FINL INC COM	RJF	172.500	3,463.58	2,810.37	-653.21
02/24/09	06/17/05	SELL	RAYMOND JAMES FINL INC COM	RJF	97.500	1,831.65	1,588.47	-243.18
02/24/09	05/09/06	SELL	RAYMOND JAMES FINL INC COM	RJF	20.000	626.89	325.84	-301.05
02/24/09	04/02/07	SELL	RAYMOND JAMES FINL INC COM	RJF	50.000	1,482.37	814.60	-667.77

Schedule of Realized Gains and Losses Current Period (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
02/24/09	12/10/04	SELL	REPUBLIC SVCS INC COM	RSG	148.000	2,944.87	3,368.60	423.73
02/24/09	06/17/05	SELL	REPUBLIC SVCS INC COM	RSG	11.250	205.00	256.06	51.06
02/24/09	05/09/06	SELL	REPUBLIC SVCS INC COM	RSG	87.750	2,646.12	1,997.26	-648.86
02/24/09	12/10/04	SELL	SANMINA SCI CORP COM	SANM	310.000	2,566.80	88.41	-2,478.39
02/24/09	06/16/05	SELL	SANMINA SCI CORP COM	SANM	620.000	3,451.54	176.82	-3,274.72
02/24/09	06/17/05	SELL	SANMINA SCI CORP COM	SANM	180.000	1,013.40	51.33	-962.07
02/24/09	12/10/04	SELL	SCHOOL SPECIALTY INC	SCHS	35.000	1,333.85	486.64	-847.21
02/24/09	01/25/05	SELL	SCHOOL SPECIALTY INC	SCHS	70.000	2,642.40	973.28	-1,669.12
02/24/09	06/17/05	SELL	THE SCOTTS MIRACLE GRO CO HLDG CO	SMG	20.000	717.20	556.43	-160.77
02/24/09	10/24/06	SELL	THE SCOTTS MIRACLE GRO CO HLDG CO	SMG	45.000	2,055.84	1,251.97	-803.87
02/24/09	04/02/07	SELL	THE SCOTTS MIRACLE GRO CO HLDG CO	SMG	25.000	1,104.23	695.54	-408.69
02/24/09	12/10/04	SELL	SNAP ON TOOLS CORP COMMON STOCK	SNA	85.000	2,823.70	2,103.33	-720.37
02/24/09	06/17/05	SELL	SNAP ON TOOLS CORP COMMON STOCK	SNA	45.000	1,560.50	1,113.53	-446.97
02/24/09	12/10/04	SELL	SONIC CORP	SONC	92.000	1,786.64	827.15	-959.49
02/24/09	06/17/05	SELL	SONIC CORP	SONC	45.000	939.30	404.59	-534.71
02/24/09	03/02/07	SELL	SONIC CORP	SONC	130.000	2,782.17	1,168.80	-1,613.37
02/24/09	11/27/06	SELL	STATE AUTO FINANCIAL CORP	STFC	13.000	424.08	228.76	-195.32
02/24/09	11/28/06	SELL	STATE AUTO FINANCIAL CORP	STFC	42.000	1,374.51	739.06	-635.45
02/24/09	11/29/06	SELL	STATE AUTO FINANCIAL CORP	STFC	39.000	1,298.91	686.27	-612.64
02/24/09	11/30/06	SELL	STATE AUTO FINANCIAL CORP	STFC	33.000	1,096.78	580.69	-516.09

Schedule of Realized Gains and Losses Current Period (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
02/24/09	12/01/06	SELL	STATE AUTO FINANCIAL CORP	STFC	18.000	584.62	316.74	-267.88
02/24/09	12/04/06	SELL	STATE AUTO FINANCIAL CORP	STFC	17.000	565.94	299.14	-266.80
02/24/09	12/05/06	SELL	STATE AUTO FINANCIAL CORP	STFC	28.000	944.05	492.71	-451.34
02/24/09	12/10/04	SELL	STUDENT LOAN CORP	STU	5.000	889.95	237.25	-652.70
02/24/09	12/28/04	SELL	STUDENT LOAN CORP	STU	15.000	2,681.25	711.75	-1,969.50
02/24/09	06/17/05	SELL	STUDENT LOAN CORP	STU	5.000	1,100.00	237.25	-862.75
02/24/09	03/13/07	SELL	STUDENT LOAN CORP	STU	9.000	1,677.92	427.05	-1,250.87
02/24/09	12/10/04	SELL	SWIFT ENERGY CO	SFY	150.000	4,264.50	1,310.39	-2,954.11
02/24/09	04/26/07	SELL	TELEDYNE TECHNOLOGIES INC COM	TDY	105.000	4,739.22	2,429.91	-2,309.31
02/24/09	10/10/05	SELL	TIMKEN CO COM	TKR	19.000	536.46	234.28	-302.18
02/24/09	10/11/05	SELL	TIMKEN CO COM	TKR	156.000	4,457.90	1,923.53	-2,534.37
02/24/09	04/02/07	SELL	TIMKEN CO COM	TKR	50.000	1,535.69	616.51	-919.18
02/24/09	02/14/06	SELL	URS CORP NEW COM	URS	42.000	1,712.41	1,322.17	-390.24
02/24/09	02/15/06	SELL	URS CORP NEW COM	URS	4.000	163.61	125.92	-37.69
02/24/09	03/16/06	SELL	URS CORP NEW COM	URS	40.000	1,625.83	1,259.21	-366.62
02/24/09	04/02/07	SELL	URS CORP NEW COM	URS	30.000	1,265.54	944.41	-321.13
02/24/09	09/20/05	SELL	UNITED FIRE & CAS CO COM	UFCS	110.000	4,344.31	1,983.59	-2,360.72
02/24/09	12/10/04	SELL	VALSPAR CORP	VAL	75.000	1,822.87	1,308.06	-514.81
02/24/09	06/17/05	SELL	VALSPAR CORP	VAL	40.000	968.00	697.63	-270.37
02/24/09	10/18/07	SELL	VALSPAR CORP	VAL	75.000	1,948.25	1,308.06	-640.19
02/24/09	12/10/04	SELL	WATSCO INC CL A RECLASSIFIED AS COM	WSO	70.000	2,254.44	2,298.46	44.02
02/24/09	06/17/05	SELL	WATSCO INC CL A RECLASSIFIED AS COM	WSO	25.000	1,136.17	820.88	-315.29
Total Long Term						$266,879.28	$158,573.02	-$108,306.26
Total Short Term and Long Term						$364,527.73	$220,713.53	-$143,814.20

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.

FEBRUARY 2009

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

Brokerage Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends						
BJ SVCS CO COM	12/15/09	01/13/10	210.000	0.050000	10.50	Cash
GANNETT COMPANY INC	12/11/09	01/04/10	220.000	0.040000	8.80	Cash
Total Cash Not Yet Received					$19.30	

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness. Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
07/24/09	12/26/08	SELL	FACET BIOTECH CORP COM	FACT	80.000	1,229.60	660.01	-569.59
Total Short Term						$1,229.60	$660.01	-$569.59
Long Term								
01/16/09	06/08/07	SELL	PALM INC NEW COM ISIN#US6966431057	PALM	80.000	1,420.80	618.46	-802.34
01/20/09	06/08/07	SELL	PRECISION CASTPARTS CORP	PCP	20.000	2,306.20	1,145.60	-1,160.60
02/20/09	06/08/07	SELL	MYRIAD GENETICS INC	MYGN	20.000	737.00	1,691.18	954.18
03/06/09	06/08/07	SELL	MYRIAD GENETICS INC	MYGN	10.000	368.50	772.75	404.25
03/09/09	06/08/07	SELL	HUMAN GENOME SCIENCES INC COMMON	HGSI	350.000	3,524.50	297.55	-3,226.95
03/09/09	09/24/07	SELL	HUMAN GENOME SCIENCES INC COMMON	HGSI	140.000	1,445.12	119.02	-1,326.10
04/14/09	06/08/07	SELL	SIRIUS XM RADIO INC COM	SIRI	1,840.000	4,256.00	647.93	-3,608.07
05/05/09	06/08/07	SELL	BJ SVCS CO COM	BJS	50.000	1,434.50	755.00	-679.50
05/12/09	06/08/07	SELL	HELMERICH & PAYNE INC	HP	40.000	1,314.40	1,300.80	-13.60
06/01/09	06/08/07	SELL	OSI PHARMACEUTICALS INC COM	OSIP	40.000	1,427.60	1,213.63	-213.97
06/04/09	06/08/07	SELL	PRECISION CASTPARTS CORP	PCP	20.000	2,306.20	1,693.80	-612.40
06/15/09	06/08/07	SELL	GOODRICH CORP	GR	50.000	2,829.50	2,552.00	-277.50
06/18/09	06/08/07	SELL	BJ SVCS CO COM	BJS	100.000	2,869.00	1,411.03	-1,457.97

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
07/15/09	06/08/07	SELL	XOMA LTD SHS ISIN#BMG9825R1079	XOMA	530.000	1,727.80	401.72	-1,326.08
07/24/09	06/08/07	SELL	XOMA LTD SHS ISIN#BMG9825R1079	XOMA	520.000	1,695.20	399.89	-1,295.31
07/24/09	06/08/07	SELL	MYRIAD PHARMACEUTICALS INC	MYRX	70.000	163.83	336.00	172.17
08/03/09	06/08/07	SELL	BROOKS AUTOMATION INC NEW COM	BRKS	350.000	6,223.00	2,117.50	-4,105.50
08/28/09	06/08/07	TNDG	MEDAREX INC CASH MER EFF 9/2/09 1 OLD=	583916101	500.000	7,380.00	8,000.00	620.00
09/29/09	06/08/07	SELL	LODGENET INTERACTIVE CORP COM	LNET	150.000	4,871.44	1,084.59	-3,786.85
11/09/09	06/08/07	ZMEC	CENTENNIAL COMMUNICATIONS CASH	CYCL	550.000	5,347.98	4,675.00	-672.98
11/09/09	02/22/08	ZMEC	CENTENNIAL COMMUNICATIONS CASH	CYCL	260.000	1,410.93	2,210.00	799.07
11/27/09	06/08/07	TNDG	OPENTV CORP SHS A ISIN#VGG675431016	OPTV	650.000	1,449.50	1,007.50	-442.00
11/27/09	05/07/08	TNDG	OPENTV CORP SHS A ISIN#VGG675431016	OPTV	620.000	917.54	961.00	43.46
Total Long Term						$57,426.54	$35,411.95	-$22,014.59
Total Short Term and Long Term						$58,656.14	$36,071.96	-$22,584.18

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting

Income and Expense Summary (continued)

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Distributions				
Long-Term Capital Gain Distributions	7,342.55	0.00	7,342.55	0.00
Short-Term Capital Gain Distributions	5,556.43	0.00	5,556.43	0.00
Total Distributions	$12,898.98	$0.00	$12,898.98	$0.00

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
05/12/09	02/18/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	27,667.526	284,698.84	268,098.33	-16,600.51
05/12/09	03/01/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	92.010	947.70	891.58	-56.12
05/12/09	04/01/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	155.508	1,603.29	1,506.87	-96.42
05/12/09	05/03/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	166.720	1,702.21	1,615.52	-86.69
05/12/09	06/01/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	141.974	1,446.72	1,375.73	-70.99
05/12/09	07/01/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	139.739	1,423.94	1,354.07	-69.87
05/12/09	08/02/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	172.156	1,759.43	1,668.19	-91.24
05/12/09	09/01/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	143.593	1,477.57	1,391.42	-86.15
05/12/09	10/01/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	111.332	1,143.38	1,078.81	-64.57
05/12/09	11/01/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	140.122	1,443.26	1,357.78	-85.48
05/12/09	12/01/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	158.669	1,627.94	1,537.50	-90.44
05/12/09	12/14/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	387.723	3,958.65	3,757.04	-201.61
05/12/09	12/14/04	SELL	PIMCO LOW DURATION CLASS A	PTLAX	266.130	2,717.19	2,578.80	-138.39
05/12/09	01/03/05	SELL	PIMCO LOW DURATION CLASS A	PTLAX	204.403	2,084.91	1,980.67	-104.24
05/12/09	02/01/05	SELL	PIMCO LOW DURATION CLASS A	PTLAX	159.269	1,619.77	1,543.32	-76.45
05/12/09	03/01/05	SELL	PIMCO LOW DURATION CLASS A	PTLAX	126.309	1,280.77	1,223.93	-56.84
05/12/09	04/01/05	SELL	PIMCO LOW DURATION CLASS A	PTLAX	194.531	1,966.71	1,885.01	-81.70

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
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Brokerage
Account Statement

Statement Period: 10/01/2009 - 12/31/2009

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquistion Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/ Loss
Long Term (continued)								
05/12/09	05/02/05	SELL	PIMCO LOW DURATION CLASS A	PTLAX	227.129	2,305.36	2,200.88	-104.48
05/12/09	06/01/05	SELL	PIMCO LOW DURATION CLASS A	PTLAX	227.331	2,309.68	2,202.84	-106.84
05/12/09	06/16/05	SELL	PIMCO LOW DURATION CLASS A	PTLAX	29,190.826	296,286.89	282,859.08	-13,427.81
Total Long Term						$613,804.21	$582,107.37	-$31,696.84
Total Short Term and Long Term						$613,804.21	$582,107.37	-$31,696.84

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Transactions by Type of Activity

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
Securities Bought and Sold							
10/02/09	REINVEST CASH INCOME	PIMCO LOW DURATION CLASS A SHRS PURCH. AT $10.21000 FOR ACCRUAL PERIOD ENDING 09/30/09	175.554			-1,792.41	USD
11/03/09	REINVEST CASH INCOME	PIMCO LOW DURATION CLASS A SHRS PURCH. AT $10.28000 FOR ACCRUAL PERIOD ENDING 10/30/09	149.435			-1,536.19	USD
11/04/09	REINVEST CASH INCOME	DREYFUS TOTAL RETURN ADVANTAGE FUND CLASS A 54457.9250 SHRS SHRS PURCH. AT $13.47000 RD 10/30 PD 11/02/09	416.419			-5,609.17	USD

banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	653.52	0.00	2,340.98	0.00
Money Market	0.01	0.00	0.85	5.07
Expenses				
Withholding Taxes	-35.17	0.00	-192.89	0.00
Fees(Foreign Securities)	-2.94	0.00	-28.73	0.00
Total Dividends, Interest, Income and Expenses	$615.42	$0.00	$2,120.21	$5.07
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	636.28	0.00
Short - Term Capital Gain Distributions	0.00	0.00	28.20	0.00
Other Distributions	38.35	0.00	55.35	0.00
Total Distributions	$38.35	$0.00	$719.83	$0.00

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/ Loss
Short Term								
01/05/09	05/22/08	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	2.000	215.30	77.60	-137.70
01/05/09	06/05/08	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	1.000	102.95	38.80	-64.15
01/05/09	06/16/08	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	1.000	105.10	38.80	-66.30
01/06/09	01/24/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	27.000	1,347.97	853.80	-494.17
01/06/09	01/29/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	11.000	544.87	347.84	-197.03
01/14/09	06/17/08	SELL	ISHARES INC MSCI SINGAPORE INDEX FD	EWS	16.000	205.45	103.06	-102.39

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term (continued)								
01/22/09	02/20/08	SELL	SOUTHERN COPPER CORP DEL COM	PCU	7.000	253.72	100.87	-152.85
01/27/09	06/27/08	SELL	GRUPO TELEVISA SA DE CV SPON ADR REPSTG	TV	10.000	237.80	150.20	-87.60
01/27/09	07/16/08	SELL	GRUPO TELEVISA SA DE CV SPON ADR REPSTG	TV	1.000	22.44	15.02	-7.42
01/27/09	05/12/08	SELL	ISHARES INC MSCI SOUTH AFRICA	EZA	2.000	134.00	68.93	-65.07
01/27/09	06/12/08	SELL	ISHARES INC MSCI SOUTH AFRICA	EZA	11.000	636.56	379.14	-257.42
01/29/09	02/14/08	SELL	FOMENTO ECONOMICO MEX SA B DE C V NEW	FMX	2.000	82.32	56.56	-25.76
01/29/09	02/25/08	SELL	FOMENTO ECONOMICO MEX SA B DE C V NEW	FMX	2.000	85.99	56.56	-29.43
01/30/09	06/13/08	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	4.000	219.35	158.70	-60.65
02/03/09	02/20/08	SELL	ANGLO AMERN PLC ADR NEW	AAUKY	13.000	397.66	120.40	-277.26
02/03/09	04/07/08	SELL	ANGLO AMERN PLC ADR NEW	AAUKY	1.000	32.93	9.26	-23.67
02/03/09	04/22/08	SELL	ANGLO AMERN PLC ADR NEW	AAUKY	6.000	205.26	55.57	-149.69
02/03/09	05/12/08	SELL	ANGLO AMERN PLC ADR NEW	AAUKY	3.000	99.29	27.78	-71.51
02/03/09	05/29/08	SELL	GAFISA S A SPONS ADR REPSTG 2 COM SHS	GFA	4.000	171.24	42.88	-128.36
02/03/09	06/23/08	SELL	GAFISA S A SPONS ADR REPSTG 2 COM SHS	GFA	4.000	147.05	42.88	-104.17
02/04/09	10/15/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	9.000	351.52	383.25	31.73
02/05/09	05/12/08	SELL	ANGLO AMERN PLC ADR NEW	AAUKY	4.000	132.39	41.16	-91.23
02/05/09	07/08/08	SELL	ANGLO AMERN PLC ADR NEW	AAUKY	16.000	471.18	164.64	-306.54
02/06/09	06/17/08	SELL	ISHARES INC MSCI SINGAPORE INDEX FD	EWS	21.000	269.65	138.81	-130.84

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/06/09	01/07/09	SELL	SK TELECOM LTD SPONSORED ADR	SKM	17.000	292.04	277.62	-14 42
02/06/09	02/27/08	SELL	YANZHOU COAL MINING CO LTD SPONSORED ADR	YZC	35.000	615.71	268.20	-347.51
02/06/09	06/12/08	SELL	YANZHOU COAL MINING CO LTD SPONSORED ADR	YZC	10.000	199.41	76.63	-122.78
02/06/09	08/20/08	SELL	YANZHOU COAL MINING CO LTD SPONSORED ADR	YZC	14 000	212 90	107.28	-105 62
02/06/09	11/07/08	SELL	YANZHOU COAL MINING CO LTD SPONSORED ADR	YZC	5 000	25 85	38 31	12 46
02/09/09	11/07/08	SELL	CHUNGHWA TELECOM CO LTD SPONS ADR C/A	17133Q304	5 000	74 80	75.45	0.65
02/09/09	07/16/08	SELL	GRUPO TELEVISA SA DE CV SPON ADR REPSTG	TV	10.000	224.41	142.80	-81.61
02/11/09	06/02/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	7.000	458 50	214.76	-243.74
02/12/09	06/02/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	1 000	65.50	30.15	-35.35
02/12/09	07/11/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	2.000	102.44	60.29	-42.15
02/12/09	11/07/08	SELL	TATA MTRS LTD SPON ADR.	TTM	4.000	17.64	14.97	-2.67
02/24/09	12/02/08	SELL	BANCOLOMBIA S A SPONSORED ADR REPSTG	CIB	7 000	142.80	117.21	-25.59
02/24/09	05/07/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	21.000	1,104.77	530.46	-574.31
02/27/09	10/09/08	SELL	BANCO SANTANDER CHILE NEW SPON ADR	SAN	8.000	250.13	283.12	32.99
03/02/09	09/26/08	SELL	VIVO PARTICIPACOES S A SPON ADR	VIV	16 000	70.56	240.48	169.92
03/18/09	11/26/08	SELL	COMPANIA DE MINAS BUENAVENTURA S A	BVN	9.000	148.19	181 62	33.43
03/18/09	12/01/08	SELL	COMPANIA DE MINAS BUENAVENTURA S A	BVN	7.000	103.24	141.26	38.02
03/20/09	12/01/08	SELL	COMPANIA DE MINAS BUENAVENTURA S A	BVN	5.000	73 75	112 96	39.21
03/20/09	12/02/08	SELL	COMPANIA DE MINAS BUENAVENTURA S A	BVN	2.000	30.06	45.18	15.12
03/20/09	12/04/08	SELL	COMPANIA DE MINAS BUENAVENTURA S A	BVN	2.000	30.01	45.19	15.18
03/23/09	07/11/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	7.000	358.54	212.03	-146 51
03/23/09	07/15/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	5.000	249.92	151.45	-98.47

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/23/09	08/11/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	7.000	453.10	212.03	-241.07
03/23/09	11/07/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	10.000	332.90	302 90	-30.00
03/23/09	06/23/08	SELL	GAFISA S A SPONS ADR REPSTG 2 COM SHS	GFA	4.000	147.04	38 49	-108.55
03/23/09	07/10/08	SELL	GAFISA S A SPONS ADR REPSTG 2 COM SHS	GFA	5.000	150.50	48 11	-102 39
03/23/09	12/30/08	SELL	GAFISA S A SPONS ADR REPSTG 2 COM SHS	GFA	14.000	117.68	134.72	17.04
03/25/09	Please Provide	*****	CHUNGHWA TELECOM CO LTD SPONS ADR C/A	17133Q304	12.000	Please Provide	Please Provide	5.49
03/25/09	Please Provide	*****	CHUNGHWA TELECOM CO LTD SPONS ADR C/A	17133Q304	16.000	Please Provide	Please Provide	7.32
03/25/09	11/07/08	CLEU	CHUNGHWA TELECOM CO LTD SPONS ADR NEW	CHT	0.389	6.97	7.39	0 42
03/25/09	05/07/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	12.000	631.29	348.62	-282.67
03/25/09	05/09/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	9.000	456.00	261.47	-194.53
03/25/09	06/24/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	5.000	221.66	145 26	-76 40
03/27/09	12/09/08	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	7.000	170.28	258.72	88.44
03/27/09	12/12/08	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	1.000	27.00	36.96	9.96
03/27/09	12/04/08	SELL	COMPANIA DE MINAS BUENAVENTURA S A	BVN	4.000	60.03	92.18	32.15
04/03/09	06/24/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	7.000	310.33	215.04	-95.29
04/03/09	06/27/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	2.000	86.07	61.44	-24.63
04/07/09	11/14/08	SELL	CREDICORP LTD COM ISIN#BMG2519Y1084	BAP	4.000	163.31	200.00	36 69
04/07/09	11/18/08	SELL	CREDICORP LTD COM ISIN#BMG2519Y1084	BAP	1.000	40.22	50.00	9.78

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/07/09	11/18/08	SELL	CREDICORP LTD COM ISIN#BMG2519Y1084	BAP	2 000	78.02	100 00	21 98
04/09/09	11/07/08	SELL	MORGAN STANLEY INDIA INVT FD INC	IIF	15.000	226.20	204.00	-22.20
04/13/09	10/24/08	SELL	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	1.000	195.95	196.70	0.75
04/13/09	11/12/08	SELL	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	1.000	189.68	196 70	7 02
04/14/09	06/12/08	SELL	ISHARES INC MSCI SOUTH AFRICA	EZA	1.000	57 87	39 50	-18 37
04/14/09	08/14/08	SELL	ISHARES INC MSCI SOUTH AFRICA	EZA	19 000	1,034 03	750 50	-283.53
04/17/09	07/08/08	SELL	ISHARES INC MCSI TURKEY INDEX FD	TUR	4.000	178.80	114.08	-64 72
04/29/09	11/07/08	SELL	ISHARES INC MSCI HONG KONG INDEX FD	EWH	31.000	335.73	359.91	24.18
04/29/09	11/28/08	SELL	ISHARES INC MSCI HONG KONG INDEX FD	EWH	6.000	61.48	69.66	8.18
05/01/09	06/27/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	4.000	118.60	39.24	-79.36
05/06/09	12/10/08	SELL	ISHARES INC MSCI TAIWAN INDEX FD	EWT	29.000	234.55	313 55	79.00
05/07/09	03/19/09	SELL	ISHARES INC MSCI HONG KONG INDEX FD	EWH	30 000	296.34	382.26	85.92
05/08/09	07/08/08	SELL	ISHARES INC MCSI TURKEY INDEX FD	TUR	6.000	268.19	198 00	-70.19
05/08/09	07/15/08	SELL	ISHARES INC MCSI TURKEY INDEX FD	TUR	6.000	274.91	198.00	-76.91
05/08/09	03/19/09	SELL	ISHARES INC MSCI HONG KONG INDEX FD	EWH	2.000	19.76	25.86	6.10
05/08/09	03/26/09	SELL	ISHARES INC MSCI HONG KONG INDEX FD	EWH	32.000	340.14	413.76	73.62
05/08/09	01/30/09	SELL	KOREA FUND INC COM NEW	KF	10.000	219.97	281 00	61 03
05/19/09	09/24/08	SELL	CNOOC LTD SPONSORED ADR	CEO	3.000	381.89	399.63	17.74
05/19/09	09/25/08	SELL	CNOOC LTD SPONSORED ADR	CEO	2.000	261.24	266.42	5 18
05/20/09	06/02/08	SELL	STERLITE INDS INDIA LTD ADS	SLT	13.000	282.28	149.38	-132.90
05/28/09	06/27/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	11.000	326.15	146.85	-179.30
05/28/09	07/17/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	7.000	191.15	93.45	-97.70

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/17/09	07/17/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	4.000	109.23	44.40	-64.83
06/17/09	08/07/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	11.000	272.04	122.10	-149.94
06/25/09	10/09/08	SELL	BANCO SANTANDER CHILE NEW SPON ADR	SAN	4.000	125.06	180.08	55.02
06/25/09	10/22/08	SELL	BANCO SANTANDER CHILE NEW SPON ADR	SAN	5.000	144.00	225.10	81.10
07/06/09	09/26/08	SELL	CNOOC LTD SPONSORED ADR	CEO	2.000	250.99	232.41	-18.58
08/07/09	08/07/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	4 000	98.93	57.80	-41.13
08/07/09	11/18/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	9.000	86.30	130.05	43.75
08/25/09	12/09/08	SELL	PETROCHINA CO LTD SPONS ADR	PTR	2.000	175.90	224.06	48.16
08/25/09	12/10/08	SELL	PETROCHINA CO LTD SPONS ADR	PTR	3.000	278.39	336.09	57.70
09/16/09	03/27/09	CLEU	AU OPTRONICS CORP SPON ADR	AUO	0.230	2.00	2.24	0.24
09/22/09	11/18/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	4 000	38.35	76.36	38.01
09/22/09	12/03/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	1.000	8.55	19.09	10.54
09/22/09	02/17/09	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	4.000	22.23	76.37	54.14
09/25/09	11/07/08	CLEU	CHUNGHWA TELECOM CO LTD SPONS ADR NEW	CHT	0.400	6.51	6.94	0.43
09/29/09	04/16/09	SELL	ISHARES INC MSCI SINGAPORE INDEX FD	EWS	53.000	386.36	567.10	180.74
10/05/09	10/09/08	SELL	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	3.000	123.36	256.71	133.35
10/08/09	11/14/08	SELL	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	1 000	184 35	415.04	230 69
10/14/09	10/22/08	SELL	BANCO SANTANDER CHILE NEW SPON ADR	SAN	1.000	28.80	55.58	26.78

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/14/09	12/09/08	SELL	BANCO SANTANDER CHILE NEW SPON ADR	SAN	10.000	333.87	555.84	221.97
10/14/09	02/27/09	SELL	ISHARES INC MSCI MALAYSIA FREE INDEX	EWM	74.000	519.45	805 21	285.76
10/14/09	03/03/09	SELL	PERUSAHAAN PERSEROAN PERSERO P.T.	TLK	19.000	392.68	701 39	308 71
10/23/09	12/30/08	SELL	CNOOC LTD SPONSORED ADR	CEO	1.000	93.55	164.11	70.56
11/04/09	11/07/08	SELL	GRUPO TELEVISA SA DE CV SPON ADR REPSTG	TV	11 000	177.21	219 12	41 91
11/17/09	Please Provide	*****	BRASIL TELECOM PARTICIPACOES STK	105530109	10 000	Please Provide	Please Provide	329 09
11/17/09	Please Provide	*****	BRASIL TELECOM PARTICIPACOES STK	105530109	1.000	Please Provide	Please Provide	29.10
12/02/09	10/28/09	SELL	GAFISA S A SPONS ADR REPSTG 2 COM SHS	GFA	11 000	315.57	369.94	54.37
12/03/09	12/09/08	SELL	BANCO SANTANDER CHILE NEW SPON ADR	SAN	2.000	66.77	121.44	54.67
12/03/09	01/27/09	SELL	BANCO SANTANDER CHILE NEW SPON ADR	SAN	6.000	209.28	364.32	155.04
12/04/09	05/19/09	SELL	CENTRAL EUROPEAN & RUSSIA FD INC	CEE	19.000	442 70	635.57	192.87
12/07/09	12/17/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	2.000	65.91	123.32	57.41
Total Short Term						**$25,228.81**	**$21,279.49**	~~-$3,578.32~~ -3949.32
							ADJUSTED TO PRODUCE CORRECT REALIZED LOSS	
Long Term								
01/02/09	07/26/06	SELL	MOBILE TELESYSTEMS OJSC SPONSORED ADR	MBT	5 000	146 80	140 46	-6.34
01/02/09	07/26/06	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	11.000	101.97	86.68	-15.29
01/02/09	08/08/07	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	23.000	507.03	181.24	-325.79
01/05/09	02/23/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	2.000	87.32	32.76	-54.56
01/05/09	03/22/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	17.000	685.10	278.46	-406.64
01/05/09	04/13/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	2.000	84.80	32.76	-52.04
01/06/09	04/13/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	1 000	42.40	18 01	-24.39
01/06/09	06/04/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	11.000	422 95	198 11	-224.84
01/06/09	06/14/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	2.000	80.10	36.02	-44.08

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
01/06/09	09/06/07	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	5.000	250.15	158.11	-92.04
01/07/09	10/30/07	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	4.000	523.76	196.05	-327.71
01/16/09	04/05/06	SELL	UNIBANCO UNIAO C/A EFF 4/3/091 OLD=	90458E107	1.000	78 58	57.36	-21.22
01/22/09	10/30/07	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	1 000	130 94	38 51	-92 43
01/22/09	11/30/07	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	8.000	871.25	308.08	-563.17
01/22/09	12/04/07	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	5.000	527.38	192.55	-334.83
01/22/09	12/13/07	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	4.000	459 86	154 04	-305.82
01/22/09	12/27/07	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	3.000	343.20	115 53	-227 67
01/22/09	05/14/07	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	5.288	53.67	38.87	-14 80
01/22/09	05/22/07	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	50 712	529.20	372.73	-156.47
01/22/09	06/15/07	SELL	TATA MTRS LTD SPON ADR.	TTM	2.000	32.29	8 36	-23.93
01/22/09	10/29/07	SELL	TATA MTRS LTD SPON ADR.	TTM	29.000	597.62	121 22	-476.40
01/26/09	09/21/06	SELL	PETROLEO BRASILEIRO SA SPONSORED ADR	PBR A	9.000	160.01	187 03	27.02
01/30/09	11/17/05	SELL	COMPANHIA VALE DO RIO DOCE ADR N/C EFF	204412209	7.000	75.95	100.67	24.72
01/30/09	02/16/06	SELL	COMPANHIA VALE DO RIO DOCE ADR N/C EFF	204412209	3.000	36.08	43.15	7.07
02/03/09	11/01/07	SELL	ANGLO AMERN PLC ADR NEW	AAUKY	10.000	328.86	92.62	-236.24
02/06/09	05/11/07	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	6.000	285 24	300 78	15 54
02/06/09	05/17/07	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	2.000	95.36	100.26	4 90

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
02/09/09	05/08/06	SELL	CHUNGHWA TELECOM CO LTD SPONS ADR C/A	17133Q304	10.740	235 21	162 08	-73.13
02/09/09	06/27/06	SELL	CHUNGHWA TELECOM CO LTD SPONS ADR C/A	17133Q304	13.260	241 15	200.11	-41.04
02/09/09	08/29/06	SELL	CHUNGHWA TELECOM CO LTD SPONS ADR C/A	17133Q304	21.000	359.41	316.92	-42.49
02/09/09	06/06/07	SELL	CHUNGHWA TELECOM CO LTD SPONS ADR C/A	17133Q304	14 000	261 29	211 28	-50 01
02/12/09	10/29/07	SELL	TATA MTRS LTD SPON ADR.	TTM	19 000	391.54	71 09	-320.45
02/12/09	12/04/07	SELL	TATA MTRS LTD SPON ADR.	TTM	7 000	131 12	26 19	-104 93
02/24/09	01/29/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	4.000	198.13	101.04	-97.09
03/13/09	03/09/07	SELL	MOBILE TELESYSTEMS OJSC SPONSORED ADR	MBT	3.000	153.90	85.23	-68.67
04/03/09	04/05/06	CLEU	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	0.752	10.27	9.60	-0.67
04/06/09	04/05/06	SELL	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	3.000	41.00	36.87	-4.13
04/09/09	03/03/08	SELL	MORGAN STANLEY INDIA INVT FD INC	IIF	28 000	1,168.40	380.80	-787.60
04/09/09	04/08/08	SELL	MORGAN STANLEY INDIA INVT FD INC	IIF	10 000	388.90	136.00	-252.90
04/13/09	08/08/07	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	15.000	330 67	143 40	-187.27
05/01/09	08/08/07	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	12.000	264 54	117.72	-146 82
05/01/09	04/23/08	SELL	OPEN JT STK CO VIMPEL COMMUNICATION	VIP	5.000	148.05	49.05	-99.00
05/08/09	12/04/07	SELL	KOREA FUND INC COM NEW	KF	6.000	1,655.31	168 60	-1,486.71
05/14/09	09/21/06	SELL	PETROLEO BRASILEIRO SA SPONSORED ADR	PBR A	8.000	142 22	242.96	100.74
05/19/09	04/07/08	CLEU	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	0.250	3.86	3.27	-0 59
05/20/09	11/01/07	SELL	STERLITE INDS INDIA LTD ADS	SLT	10.000	249 99	114 91	-135 08
05/20/09	02/29/08	SELL	STERLITE INDS INDIA LTD ADS	SLT	3.000	63.18	34.47	-28.71
06/11/09	02/20/08	SELL	SOUTHERN COPPER CORP DEL COM	PCU	1.000	36.25	24.97	-11.28
06/11/09	03/06/08	SELL	SOUTHERN COPPER CORP DEL COM	PCU	8 000	298.93	199.80	-99.13

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/15/09	01/16/08	SELL	DESARROLLADORA HOMEX S A DE C V	HXM	7.000	347.89	192.78	-155.11
06/17/09	06/14/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	1.000	40.05	20.89	-19.16
06/17/09	06/18/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	7 000	288.75	146.23	-142 52
06/17/09	07/12/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	1 000	44.75	20 89	-23.86
06/17/09	07/13/07	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	4.000	182 40	83 56	-98.84
08/07/09	03/09/07	SELL	MOBILE TELESYSTEMS OJSC SPONSORED ADR	MBT	5.000	256.50	215.35	-41.15
08/14/09	03/06/08	SELL	SOUTHERN COPPER CORP DEL COM	PCU	4.000	149.47	109.36	-40 11
08/14/09	05/22/07	CLEU	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	0.325	3.37	3.53	0.16
09/04/09	04/05/06	CLEU	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	0.800	9.94	13.21	3.27
09/17/09	03/09/07	SELL	MOBILE TELESYSTEMS OJSC SPONSORED ADR	MBT	2.000	102.60	96 40	-6.20
09/17/09	01/22/08	SELL	MOBILE TELESYSTEMS OJSC SPONSORED ADR	MBT	2.000	171.27	96.40	-74.87
09/18/09	05/09/08	SELL	TURKCELL ILETISIM HIZMETLERI A S SPONS	TKC	11.000	196.20	186.10	-10.10
09/18/09	05/16/08	SELL	TURKCELL ILETISIM HIZMETLERI A S SPONS	TKC	7.000	135.84	118.42	-17.42
09/25/09	06/13/08	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	9.000	493.55	597.69	104.14
09/30/09	08/14/08	SELL	ISHARES INC MSCI SOUTH AFRICA	EZA	4.000	217.69	218.32	0.63
09/30/09	09/18/08	SELL	ISHARES INC MSCI SOUTH AFRICA	EZA	1.000	45.68	54.58	8.90
10/01/09	06/13/08	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	6.000	329 03	381.96	52 93
10/02/09	02/25/08	SELL	FOMENTO ECONOMICO MEX S A B DE C V NEW	FMX	5 000	214.98	224.25	9.27

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/15/09	06/17/08	SELL	SASOL LTD SPONSORED ADR	SSL	5.000	297.78	207.11	-90.67
10/20/09	05/17/07	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	2.000	95.35	99 67	4.32
10/20/09	07/12/07	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	18.000	1,039.31	897.01	-142 30
10/21/09	07/12/07	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	3.000	173.22	148 80	-24 42
10/21/09	07/24/07	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	7.000	407.80	347 21	-60 59
10/21/09	11/01/07	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	1 000	100.76	49 60	-51 16
10/21/09	01/29/08	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	1.000	76.03	49.60	-26.43
10/23/09	10/14/08	SELL	CNOOC LTD SPONSORED ADR	CEO	4.000	366.05	656.46	290.41
10/28/09	09/25/08	SELL	BANCO BRADESCO S A SPONSORED ADR	BBD	4 000	66.46	77.48	11.02
10/28/09	10/02/08	SELL	BANCO BRADESCO S A SPONSORED ADR	BBD	5.000	74.62	96.85	22.23
10/28/09	04/07/08	SELL	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	2.000	30.88	31.30	0.42
11/04/09	07/16/08	SELL	GRUPO TELEVISA SA DE CV SPON ADR REPSTG	TV	2.000	44.88	39.84	-5.04
11/06/09	04/03/08	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	2.000	162 68	95 34	-67.34
11/06/09	04/04/08	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	6.000	489 01	286 03	-202.98
11/06/09	05/09/08	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	2.000	166.24	95.34	-70.90
11/06/09	06/13/08	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	1.000	68.64	47.68	-20.96
11/06/09	05/16/08	SELL	TURKCELL ILETISIM HIZMETLERI A S SPONS	TKC	7.000	135.84	117.00	-18.84
11/06/09	06/23/08	SELL	TURKCELL ILETISIM HIZMETLERI A S SPONS	TKC	11.000	175.02	183.85	8.83
11/06/09	07/01/08	SELL	TURKCELL ILETISIM HIZMETLERI A S SPONS	TKC	10.000	146.02	167.14	21.12
11/17/09	Please Provide	*****	BRASIL TELECOM PARTICIPACOES STK	105530109	12.000	Please Provide	Please Provide	-100.08
11/23/09	10/02/08	SELL	BANCO BRADESCO S A SPONSORED ADR	BBD	12.000	179.08	251.19	72.11
11/23/09	10/09/08	SELL	BANCO BRADESCO S A SPONSORED ADR	BBD	1.000	11.39	20.93	9.54

CREDIT SUISSE

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Brokerage
Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/09	06/27/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	10.000	430 37	449.92	19.55
12/02/09	07/07/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	4.000	169.96	179.97	10.01
12/04/09	07/07/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	11.000	467.40	498.74	31.34
12/04/09	07/30/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	4 000	183.43	181.36	-2.07
12/07/09	11/07/08	SELL	BARCLAYS BK PLC IPATH ETNS LKD MSCI	INP	3.000	99.87	184.98	85.11
12/07/09	06/13/08	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	12.000	823.64	567.37	-256.27
12/08/09	06/13/08	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	7.000	383.87	544.17	160.30
12/14/09	07/30/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	20.000	917.15	873.40	-43.75
12/14/09	09/18/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	4.000	130.68	174 68	44 00
12/15/09	09/18/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	6 000	196.02	260 05	64.03
12/15/09	09/24/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	2.000	71.64	86.68	15.04
12/15/09	09/25/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	8.000	298.75	346.73	47.98
12/15/09	09/30/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	1.000	33.09	43.34	10.25
12/15/09	10/07/08	SELL	ISHARES TR FTSE XINHUA HK CHINA 25	FXI	6.000	174.31	260.05	85.74
12/17/09	06/13/08	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	6.000	329.03	442.34	113.31
12/17/09	07/10/08	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	1.000	54.33	73.72	19.39
Total Long Term						$26,611.75	$18,339.63	~~-$8,372.20~~ - 8272.12

ADJUSTED TO PRODUCE CORRECT REALIZED LOSS

Portfolio Holdings *(continued)*

automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise Pershing 's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	992.08	0.00	6,338.82	0.00
Money Market	0.27	0.00	6 46	9.78
Expenses				
Withholding Taxes	-57.97	0.00	-588.10	0 00
Fees(Foreign Securities)	-48.08	0.00	-177.51	0.00
Total Dividends, Interest, Income and Expenses	**$886.30**	**$0.00**	**$5,579.67**	**$9.78**
Distributions				
Long - Term Capital Gain Distributions	0 00	0 00	140 62	0 00
Other Distributions	0 00	0.00	0.00	215.68
Total Distributions	**$0.00**	**$0.00**	**$140.62**	**$215.68**

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/16/09	06/27/08	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	20.000	1,207.97	751.94	-456.03
02/02/09	03/20/08	SELL	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	150.000	1,339.31	1,166.32	-172.99
02/02/09	02/12/08	SELL	PETRO CDA COM SHS STOCK MERGER EFF	71644E102	77.000	3,409.92	1,661.63	-1,748.29
03/19/09	05/28/08	SELL	HACHIJUNI BK LTD ADR	HACBY	10 000	665.79	606.15	-59.64
03/19/09	07/08/08	SELL	SILVER STD RES INC COM	SSRI	56.000	1,511 40	881.40	-630 00

Statement Period: 12/01/2009 - 12/31/2009

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/23/09	05/28/08	SELL	HACHIJUNI BK LTD ADR	HACBY	2.000	133.16	118 03	-15.13
04/03/09	08/01/08	SELL	LONMIN PUB LTD CO SPONSORED ADR NEW	LNMIY	19.000	880 63	425 25	-455.38
04/03/09	09/23/08	SELL	LONMIN PUB LTD CO SPONSORED ADR NEW	LNMIY	5.000	238.60	111.91	-126.69
04/03/09	09/25/08	SELL	LONMIN PUB LTD CO SPONSORED ADR NEW	LNMIY	25.000	1,217.91	559.54	-658.37
04/23/09	02/02/09	SELL	NOKIA CORP SPONSORED ADR	NOK	72 000	870.08	1,075.06	204.98
06/11/09	08/11/08	SELL	PROMISE CO LTD ADR	PMSEY	43.000	508.42	282.61	-225.81
06/12/09	08/11/08	SELL	PROMISE CO LTD ADR	PMSEY	81.000	957.71	533 04	-424.67
07/15/09	07/23/08	SELL	SUNCOR ENERGY INC STOCK MERGER EFF	867229106	27.000	1,453 19	791.03	-662.16
07/15/09	01/29/09	SELL	SUNCOR ENERGY INC STOCK MERGER EFF	867229106	6 000	120.83	175.78	54 95
07/24/09	07/28/08	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	20.000	1,588.32	1,193.01	-395.31
07/24/09	11/20/08	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	10.000	239 38	596.50	357.12
08/20/09	01/29/09	SELL	SUNCOR ENERGY INC NEW COM	SU	43.000	865.96	1,368.47	502.51
08/21/09	10/21/08	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	6 000	208.12	340 45	132.33
08/24/09	10/21/08	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	10 000	346.87	576.72	229.85
10/01/09	01/29/09	SELL	SUNCOR ENERGY INC NEW COM	SU	41.000	825.68	1,373.67	547.99
10/02/09	10/17/08	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	86.000	1,038.88	1,321.23	282.35
12/02/09	02/17/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	34.000	1,432.58	1,912.71	480.13
Total Short Term						**$21,060.71**	**$17,822.45**	**-$3,238.26**

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
01/21/09	08/15/07	SELL	SANOFI AVENTIS SPONS ADR	SNY	52 000	2,051.92	1,610 47	-441.45
01/27/09	07/12/06	SELL	NEWCREST MINING LTD ADR	NCMGY	36 000	561.43	792.10	230.67
01/30/09	08/15/07	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	9.000	131.67	96.52	-35.15
01/30/09	01/28/08	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	84.000	1,235.60	900.87	-334.73
02/02/09	11/21/07	SELL	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	194 000	2,305.20	1,508 45	-796 75
02/02/09	01/18/08	SELL	PETRO CDA COM SHS STOCK MERGER EFF	71644E102	10.000	483 99	215 80	-268 19
02/03/09	08/17/07	SELL	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	55.000	741 63	470 33	-271.30
02/06/09	06/25/07	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	10.000	391.36	410 92	19 56
02/06/09	08/06/07	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	15.000	604.14	616 39	12.25
02/09/09	10/17/06	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	17.000	954.45	637 69	-316.76
02/17/09	10/15/07	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	29.000	1,063 72	911.25	-152.47
02/27/09	08/06/07	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	20.000	805.52	846.33	40.81
02/27/09	08/15/07	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	2 000	79.92	84.63	4.71
03/05/09	12/20/07	SELL	LIHIR GOLD LIMITED ADR	LIHR	26.000	711.97	510.48	-201 49
03/05/09	07/07/06	SELL	PROMISE CO LTD ADR	PMSEY	34.000	873.48	218.52	-654.96
03/05/09	09/14/06	SELL	PROMISE CO LTD ADR	PMSEY	35.000	764.59	224.95	-539.64
03/05/09	09/15/06	SELL	PROMISE CO LTD ADR	PMSEY	1.000	21.90	6.43	-15.47
03/06/09	09/15/06	SELL	PROMISE CO LTD ADR	PMSEY	18.000	394 16	117.72	-276.44
03/09/09	01/28/08	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	48.000	706 06	527.14	-178 92
03/11/09	10/15/07	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	33.000	1,210.44	1,045.70	-164.74
03/11/09	09/26/07	SELL	LONMIN PUB LTD CO SPONSORED ADR NEW	LNMIY	31.000	2,047.06	547.42	-1,499.64
03/12/09	01/28/08	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	78.000	1,147.34	958.00	-189.34

Statement Period: 12/01/2009 - 12/31/2009

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/12/09	02/05/08	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	29.000	393.92	356.18	-37.74
03/12/09	03/24/06	SELL	KT CORP SPON ADR	KTC	4.000	82.43	51.35	-31 08
03/12/09	03/27/06	SELL	KT CORP SPON ADR	KTC	55 000	1,140.79	706.12	-434.67
03/12/09	03/28/06	SELL	KT CORP SPON ADR	KTC	10.000	208.65	128.39	-80.26
03/12/09	02/16/05	SELL	SUNCOR ENERGY INC STOCK MERGER EFF	867229106	22 000	424 36	531 50	107 14
03/12/09	01/17/08	SELL	SUNCOR ENERGY INC STOCK MERGER EFF	867229106	32.000	1,458.35	773.09	-685.26
03/13/09	10/15/07	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	2.000	73.36	68.38	-4.98
03/13/09	02/12/08	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	28.000	974.40	957.34	-17.06
03/18/09	02/05/08	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	51.000	692.75	625.81	-66.94
03/19/09	10/19/07	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	2.000	244 72	158 51	-86.21
03/19/09	11/19/07	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	6 000	723 89	475 53	-248 36
03/19/09	01/04/07	SELL	NOVAGOLD RES INC COM NEW	NG	82.000	1,309 84	213 92	-1,095 92
03/19/09	03/05/07	SELL	NOVAGOLD RES INC COM NEW	NG	25.000	402.85	65.22	-337.63
03/19/09	03/06/07	SELL	NOVAGOLD RES INC COM NEW	NG	41.000	671.36	106.96	-564.40
03/19/09	08/15/07	SELL	NOVAGOLD RES INC COM NEW	NG	53 000	773.80	138.27	-635.53
03/31/09	08/05/05	SELL	KIRIN HLDGS CO LTD SPONSORED ADR	KNBWY	10.000	92.85	105.96	13.11
03/31/09	11/08/06	SELL	KIRIN HLDGS CO LTD SPONSORED ADR	KNBWY	120.000	1,594.31	1,271.48	-322.83
04/03/09	09/26/07	SELL	LONMIN PUB LTD CO SPONSORED ADR NEW	LNMIY	6.000	396.21	134.29	-261.92
04/09/09	11/19/07	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	4.000	482.60	342.47	-140.13

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
04/09/09	11/20/07	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	4.000	475.41	342.46	-132 95
04/09/09	08/17/07	SELL	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	52.000	701.18	431 98	-269.20
04/09/09	10/10/07	SELL	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	35.000	425.60	290.76	-134 84
04/14/09	03/28/06	SELL	KT CORP SPON ADR	KTC	52.000	1,084.97	759.18	-325.79
04/14/09	08/15/06	SELL	KT CORP SPON ADR	KTC	75.000	1,650.75	1,094.96	-555 79
04/22/09	11/08/06	SELL	KIRIN HLDGS CO LTD SPONSORED ADR	KNBWY	113 000	1,501 30	1,238.99	-262 31
04/22/09	08/17/07	SELL	KIRIN HLDGS CO LTD SPONSORED ADR	KNBWY	42.000	552 84	460 51	-92 33
04/23/09	08/17/07	SELL	ELECTROBRAS CENTRAIS ELECTRICIAS ADR	EBR	49.000	566 67	618.97	52.30
04/23/09	08/17/07	SELL	KIRIN HLDGS CO LTD SPONSORED ADR	KNBWY	182.000	2,395 63	2,047.52	-348.11
05/07/09	10/17/06	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	3.000	168.43	134.99	-33.44
05/07/09	01/30/08	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	16 000	1,040.99	719.97	-321.02
05/08/09	09/15/06	SELL	PROMISE CO LTD ADR	PMSEY	23.000	503 66	152.40	-351 26
05/08/09	11/01/06	SELL	PROMISE CO LTD ADR	PMSEY	80.000	1,494.40	530.09	-964.31
05/08/09	11/02/06	SELL	PROMISE CO LTD ADR	PMSEY	8.000	149.90	53.01	-96.89
05/19/09	10/10/07	SELL	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	75.000	912.01	701 29	-210.72
05/20/09	08/15/06	SELL	KT CORP SPON ADR	KTC	13.000	286.13	187.49	-98.64
05/20/09	10/15/07	SELL	KT CORP SPON ADR	KTC	78.000	1,879.21	1,124.96	-754.25
05/20/09	01/17/08	SELL	KT CORP SPON ADR	KTC	51.000	1,220.54	735.56	-484.98
05/20/09	12/20/07	SELL	LIHIR GOLD LIMITED ADR	LIHR	16.000	438.14	367.72	-70 42
05/20/09	05/15/08	SELL	LIHIR GOLD LIMITED ADR	LIHR	27.000	734.79	620.52	-114.27
05/29/09	05/15/08	SELL	LIHIR GOLD LIMITED ADR	LIHR	53.000	1,442.37	1,365.33	-77.04
06/02/09	09/28/07	SELL	NEXEN INC COM SHS	NXY	9.000	274.33	231.40	-42.93
06/02/09	10/04/07	SELL	NEXEN INC COM SHS	NXY	46 000	1,398 32	1,182 71	-215 61
06/11/09	11/02/06	SELL	PROMISE CO LTD ADR	PMSEY	57 000	1,068 02	374 63	-693 39
06/15/09	11/20/07	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	6 000	713.12	604.98	-108.14

Statement Period: 12/01/2009 - 12/31/2009

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/02/09	02/18/05	SELL	TOMKINS PLC ADS REPSTG 4 ORD SHS	TKS	6.000	130.42	57.85	-72 57
07/02/09	09/07/06	SELL	TOMKINS PLC ADS REPSTG 4 ORD SHS	TKS	190.000	3,456 04	1,831.79	-1,624.25
07/07/09	08/15/07	SELL	NEWMONT MINING CORP, (HLDG CO)	NEM	16.000	639 36	633 82	-5 54
07/09/09	02/13/07	SELL	CURRENCYSHARES JAPANESE YEN TR	FXY	18 000	1,488.24	1,923.65	435 41
07/15/09	01/17/08	SELL	SUNCOR ENERGY INC STOCK MERGER EFF	867229106	4.000	182.29	117.19	-65 10
07/21/09	05/10/05	SELL	FUJIFILM HLDGS CORP ADR 2 ORD	FUJIY	18.000	633 62	545.35	-88.27
07/24/09	01/30/08	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	3 000	195.18	178 95	-16 23
07/31/09	06/27/08	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	10 000	603.98	520.84	-83.14
08/03/09	06/27/08	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	30.000	1,811.95	1,586 54	-225.41
08/06/09	10/19/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	110.000	1,423.71	698.17	-725.54
08/06/09	10/19/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	41.000	530.65	261.59	-269.06
08/06/09	11/18/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	191.000	2,471.81	1,218.64	-1,253.17
08/19/09	08/15/07	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	30.000	1,198.80	1,178.58	-20.22
08/19/09	11/18/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	14.000	181.18	92.33	-88.85
08/19/09	11/21/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	125 000	1,610.45	824.37	-786.08
08/19/09	08/17/07	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	83.000	1,331.43	547.39	-784.04
08/20/09	08/15/07	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	29 000	1,158.84	1,154.13	-4.71
08/21/09	06/27/08	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	14.000	845.58	794.39	-51.19

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
09/08/09	02/12/08	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	16 000	556.80	694.58	137.78
09/11/09	08/19/08	SELL	IMPALA PLTUM HLD LTD SPONSORED ADR	IMPUY	31 000	835 76	764.88	-70.88
09/15/09	08/17/07	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	200.000	3,208.26	1,442.88	-1,765 38
10/07/09	09/07/06	SELL	TOMKINS PLC ADS REPSTG 4 ORD SHS	TKS	9 000	163.71	102.39	-61.32
10/07/09	09/26/07	SELL	TOMKINS PLC ADS REPSTG 4 ORD SHS	TKS	120 000	2,147.08	1,365 13	-781 95
10/20/09	11/09/06	SELL	BARRICK GOLD CORP COM	ABX	11.000	323.51	416 85	93.34
10/20/09	01/10/07	SELL	BARRICK GOLD CORP COM	ABX	16.000	464 43	606.33	141.90
10/26/09	05/23/06	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	91.000	639.71	1,166.21	526.50
10/26/09	01/14/08	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	18 000	190.25	230 68	40 43
10/27/09	02/26/07	SELL	BP PLC SPONS ADR	BP	27.000	1,737.33	1,565.10	-172.23
10/28/09	08/15/07	SELL	SANOFI AVENTIS SPONS ADR	SNY	39.000	1,538.94	1,499.73	-39 21
10/29/09	08/15/07	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	66 000	2,637.36	2,806.72	169.36
10/29/09	09/29/08	SELL	SIEMENS A G SPONSORED ADR	SI	13.000	1,197.03	1,243.90	46 87
11/06/09	03/14/08	SELL	MAGNA INTERNATIONAL INC CLASS A	MGA	21.000	1,462.99	1,057 92	-405.07
11/18/09	01/10/07	SELL	BARRICK GOLD CORP COM	ABX	32 000	928 86	1,402 85	473 99
11/18/09	08/15/07	SELL	BARRICK GOLD CORP COM	ABX	5 000	162.30	219.19	56 89
11/24/09	06/17/08	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	46.000	1,368.12	1,041.44	-326.68
12/07/09	01/17/08	SELL	KT CORP SPON ADR	KTC	75 000	1,794.91	1,253.94	-540.97
12/08/09	01/17/08	SELL	KT CORP SPON ADR	KTC	6.000	143 59	99.60	-43.99
12/08/09	05/13/08	SELL	KT CORP SPON ADR	KTC	83.000	1,803.68	1,377.87	-425.81
Total Long Term						**$97,705.85**	**$71,365.02**	**-$26,340.83**

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
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[redacted]

Brokerage
Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
10/13/09	04/27/09	SELL	PEABODY ENERGY CORP COM	BTU	45.000	1,163.93	1,850.40	686.47
11/16/09	04/27/09	SELL	PEABODY ENERGY CORP COM	BTU	165.000	4,267.72	7,548.75	3,281.03
Total Short Term						**$5,431.65**	**$9,399.15**	**$3,967.50**
Long Term								
02/02/09	05/22/03	SELL	ABBOTT LABS COM	ABT	110.000	3,975.19	6,105.00	2,129 81
02/02/09	08/01/03	SELL	ABBOTT LABS COM	ABT	90 000	3,300.21	4,995 00	1,694.79
02/02/09	10/23/03	SELL	ABBOTT LABS COM	ABT	50.000	2,003 51	2,775.00	771.49
02/02/09	02/13/04	SELL	ABBOTT LABS COM	ABT	109.000	4,744.49	6,049.50	1,305 01
03/11/09	03/01/07	SELL	CIENA CORP COM NEW	CIEN	700.000	19,980.77	4,872.70	-15,108 07
03/11/09	03/05/07	SELL	CIENA CORP COM NEW	CIEN	350 000	9,110.46	2,436 35	-6,674.11
03/13/09	07/01/03	SELL	PPG INDUSTRIES INC	PPG	100.000	4,972.92	3,281.00	-1,691.92
03/13/09	08/26/03	SELL	PPG INDUSTRIES INC	PPG	40.000	2,194 80	1,312.40	-882.40
03/13/09	10/23/03	SELL	PPG INDUSTRIES INC	PPG	50.000	2,774.50	1,640.50	-1,134 00
03/13/09	02/17/04	SELL	PPG INDUSTRIES INC	PPG	28 000	1,656.20	918.68	-737.52
03/19/09	02/17/04	SELL	PPG INDUSTRIES INC	PPG	62.000	3,667 30	2,179.31	-1,487.99
03/19/09	06/16/05	SELL	PPG INDUSTRIES INC	PPG	55 000	3,675.65	1,933.26	-1,742.39
03/19/09	08/14/07	SELL	PPG INDUSTRIES INC	PPG	100.000	7,279 00	3,515.02	-3,763.98
03/23/09	03/17/04	SELL	PRAXAIR INC	PX	230 000	8,246.86	15,270.53	7,023.67
04/14/09	05/22/03	SELL	TARGET CORP	TGT	120.000	3,933.30	4,812.00	878.70
04/14/09	08/01/03	SELL	TARGET CORP	TGT	80.000	3,057.60	3,208.00	150.40
04/14/09	10/23/03	SELL	TARGET CORP	TGT	70.000	2,762.50	2,807.00	44.50
04/14/09	02/17/04	SELL	TARGET CORP	TGT	50.000	2,097.50	2,005.00	-92.50
04/17/09	05/22/03	SELL	BANK OF AMERICA COM	BAC	72.000	1,839.21	792 00	-1,047.21
04/17/09	10/23/03	SELL	BANK OF AMERICA COM	BAC	204 000	5,953.30	2,244 00	-3,709.30
04/17/09	06/16/05	SELL	BANK OF AMERICA COM	BAC	209.000	9,699.69	2,299 00	-7,400.69
04/17/09	01/20/06	SELL	BANK OF AMERICA COM	BAC	55.000	2,435 40	605.00	-1,830.40
04/17/09	08/14/07	SELL	BANK OF AMERICA COM	BAC	45.000	2,153.70	495.00	-1,658.70
04/17/09	03/03/08	SELL	POLO RALPH LAUREN CORP CL A	RL	310 000	19,185.59	15,655.00	-3,530.59
04/22/09	03/03/08	SELL	POLO RALPH LAUREN CORP CL A	RL	40 000	2,475.56	2,172.24	-303.32
04/22/09	03/13/08	SELL	POLO RALPH LAUREN CORP CL A	RL	175.000	9,874 95	9,503.55	-371.40

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/04/09	01/17/08	SELL	WEATHERFORD INTL LTD REG	WFT	430.000	13,119.10	7,740.90	-5,378 20
05/06/09	10/19/04	SELL	PEABODY ENERGY CORP COM	BTU	225.000	3,243.95	7,481.25	4,237.30
06/03/09	05/12/06	SELL	AETNA US HEALTHCARE INC COM	AET	310.000	12,369 00	7,905 00	-4,464.00
06/04/09	05/22/03	SELL	EXXON MOBIL CORP COM	XOM	85.000	3,031.92	6,176 95	3,145.03
06/04/09	08/01/03	SELL	EXXON MOBIL CORP COM	XOM	85.000	3,023.45	6,176.95	3,153.50
06/25/09	05/22/03	SELL	HOSPIRA INC COM	HSP	11.000	300.96	422.73	121.77
06/25/09	08/01/03	SELL	HOSPIRA INC COM	HSP	9.000	246.24	345 87	99.63
06/25/09	10/23/03	SELL	HOSPIRA INC COM	HSP	5.000	136.80	192.15	55.35
06/25/09	02/13/04	SELL	HOSPIRA INC COM	HSP	12.000	328.17	461.15	132.98
06/25/09	10/05/04	SELL	HOSPIRA INC COM	HSP	153.000	4,581.85	5,879.72	1,297.87
06/26/09	08/01/03	SELL	COLGATE PALMOLIVE CO	CL	80.000	4,340.00	5,720.00	1,380.00
06/26/09	10/23/03	SELL	COLGATE PALMOLIVE CO	CL	60.000	3,240.00	4,290.00	1,050.00
06/26/09	02/13/04	SELL	COLGATE PALMOLIVE CO	CL	40.000	2,206 80	2,860 00	653.20
07/28/09	05/22/03	SELL	COMCAST CORP NEW CL A SPL	CMCSK	240.000	4,683.85	3,360.00	-1,323.85
07/28/09	08/01/03	SELL	COMCAST CORP NEW CL A SPL	CMCSK	150.000	2,943 00	2,100.00	-843.00
07/28/09	10/23/03	SELL	COMCAST CORP NEW CL A SPL	CMCSK	135 000	2,735.10	1,890 00	-845.10
07/28/09	02/13/04	SELL	COMCAST CORP NEW CL A SPL	CMCSK	337.500	6,522.75	4,725.00	-1,797.75
07/28/09	06/16/05	SELL	COMCAST CORP NEW CL A SPL	CMCSK	247 500	5,029.20	3,465.00	-1,564.20
09/29/09	03/16/04	SELL	AGILENT TECHNOLOGIES INC COM	A	400.000	11,329.41	11,160.00	-169.41
09/29/09	02/27/07	SELL	APPLE INC COM	AAPL	120.000	10,389.17	22,268.45	11,879.28
10/13/09	10/19/04	SELL	PEABODY ENERGY CORP COM	BTU	125.000	1,802.19	5,140 00	3,337.81
11/09/09	05/22/03	SELL	UNITED TECHNOLOGIES CORP DEL COM	UTX	140 000	4,602.15	9,324.00	4,721.85
11/09/09	10/23/03	SELL	UNITED TECHNOLOGIES CORP DEL COM	UTX	20.000	826.13	1,332 00	505.87
Total Long Term						**$244,081.35**	**$224,299.16**	**-$19,782.19**

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized This Period
Short-Term Gain/Loss	514.36	-3,578 32	9,203.15
Long-Term Gain/Loss	403.96	-8,372.20	10,570 58
Net Gain/Loss	**918.32**	**-11,950.52**	**19,773.73**

This summary excludes transactions where cost basis information is not available.

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover short transactions ("Borrowing Costs").

Customer Service Information

Your Relationship Manager: 01X	Contact Information	Customer Service Information
YOSHIMURA/WEBSTER PRIVATE BANKING USA 2121 AVE OF THE STARS STE 3300 LOS ANGELES CA 90067-5060	**Telephone Number:** (800) 237-5022 **Fax Number:** (310) 282-8053	**Web Site:** www credit-suisse com

Portfolio Manager: NEWGATE CAPITAL MANAGEMENT LLC

Portfolio Investment Style: INTERNATIONAL EQUITY EMERGING

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
Cash Balance				0.00	207.41				
Money Market									
PRIME CASH SERIES									
503.580	12/01/09	0000128249	12/31/09	1,941.77	503.58	0.00	0.85	0.01%	0.01%
DREYFUS TAX EXEMPT INV SH									
	12/01/09	0000005474	04/03/09	0.00	0.00	0.00	5 07	0.00%	0.00%
Total Money Market				**$1,941.77**	**$503.58**	**$0.00**	**$5.92**		
Total Cash, Money Funds, and FDIC Deposits				**$1,941.77**	**$710.99**	**$0.00**	**$5.92**		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 74.00% of Portfolio								
Common Stocks								
CREDICORP LTD COM ISIN#BMG2519Y1084								
Dividend Option· Cash								
Security Identifier: BAP								
1.000	11/18/08	39.0100	39.01	77.0200	77 02	38.01	1.50	1.94%
1 000	12/15/08	43 0800	43 08	77 0200	77.02	33 94	1 50	1 94%
2.000	01/28/09	44 1000	88 20	77.0200	154 04	65 84	3.00	1.94%
5.000	02/03/09	40.0080	200.04	77.0200	385 10	185 06	7.50	1.94%
4.000	02/09/09	40 0100	160.04	77.0200	308 08	148 04	6.00	1.94%
13.000	**Total**		**$530.37**		**$1,001.26**	**$470.89**	**$19.50**	
AU OPTRONICS CORP SPON ADR								
Dividend Option: Cash								
Security Identifier: AUO								
42 000	03/27/09	8.6890	364.95	11.9900	503 58	138.63	1 85	0 36%
50.000	12/02/09	10.9380	546.90	11.9900	599.50	52.60	2 21	0.36%
92.000	**Total**		**$911.85**		**$1,103.08**	**$191.23**	**$4.06**	
3AMERICA MOVIL SAB DE C V SPONSORED ADR								
REPSTG SER L SHS ISIN#US02364W1053								
Dividend Option: Cash								
Security Identifier AMX								
7.000	03/30/07	47.7700	334 39	46.9800	328.86	-5.53	3.18	0.96%
9 000	07/25/07	61 7620	555 86	46 9800	422 82	-133.04	4 08	0 96%
3.000	08/23/07	58.7230	176.17	46.9800	140 94	-35.23	1.36	0.96%
6 000	10/17/07	67 2600	403 56	46 9800	281.88	-121.68	2 72	0.96%
7.000	10/25/07	63.8940	447.26	46.9800	328 86	-118.40	3.18	0 96%
9 000	11/12/07	55.9670	503.70	46.9800	422.82	-80.88	4.08	0.96%
2 000	02/20/08	60.5500	121 10	46.9800	93.96	-27.14	0 91	0.96%
1.000	04/08/08	64 4100	64 41	46.9800	46 98	-17.43	0.45	0.96%
6.000	06/20/08	53.3450	320.07	46.9800	281.88	-38.19	2.73	0.96%
50.000	**Total**		**$2,926.52**		**$2,349.00**	**-$577.52**	**$22.69**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ANGLOGOLD ASHANTI LTD SPONORED ADR								
ISIN#US0351282068								
Dividend Option: Cash								
Security Identifier: AU								
10.000	12/12/08	27.0000	270.00	40.1800	401.80	131.80	1.26	0.31%
2 000	12/17/08	26.9900	53.98	40.1800	80 36	26 38	0.25	0 31%
3.000	02/02/09	27.8700	83 61	40.1800	120.54	36.93	0.38	0.31%
7.000	02/05/09	25.7570	180.30	40.1800	281.26	100 96	0.89	0.31%
22.000	**Total**		**$587.89**		**$883.96**	**$296.07**	**$2.78**	
BAIDU COM INC SPONS ADR REPSTG ORD SHS								
CL A								
Dividend Option Cash								
Security Identifier BIDU								
1.000	11/19/08	115.6900	115.69	411.2300	411.23	295.54		
1.000	02/06/09	124.5800	124.58	411.2300	411.23	286.65		
1.000	02/17/09	122.9300	122.93	411 2300	411.23	288.30		
3.000	**Total**		**$363.20**		**$1,233.69**	**$870.49**	**$0.00**	
3BANCO BRADESCO S A SPONSORED ADR								
REPSTG PFD SHS NEW 2004								
Dividend Option: Cash								
Security Identifier BBD								
6 000	10/09/08	11.3870	68 32	21 8700	131 22	62 90	0 59	0.44%
20.000	10/21/08	11.1310	222 62	21 8700	437 40	214.78	1.96	0.44%
14.000	12/02/09	22 1400	309 96	21 8700	306 18	-3.78	1.36	0.44%
40.000	**Total**		**$600.90**		**$874.80**	**$273.90**	**$3.91**	
BRASIL FOODS S A SPONSORED ADR								
Dividend Option: Cash								
Security Identifier BRFS								
11.000	07/22/09	41.7800	459.58	52.3700	576.07	116.49		
4.000	10/05/09	51.7600	207.04	52.3700	209.48	2 44		
6 000	11/19/09	47.5130	285.08	52.3700	314.22	29.14		
21.000	**Total**		**$951.70**		**$1,099.77**	**$148.07**	**$0.00**	
BRASIL TELECOM S A SPONSORED ADR REPSTG								
PFD SHS ISIN#US10553M1018								
Dividend Option. Cash								
Security Identifier. BTM								
0 647	11/17/09	31.6190	20 47	29.1300	18.86	-1.61		
15 160	11/17/09	31.6150	479.29	29.1300	441 62	-37.67		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BRASIL TELECOM S A SPONSORED ADR REPSTG *(continued)*								
18.192	11/17/09	31.6150	575.15	29.1300	529 94	-45 21		
34.000	**Total**		**$1,074.91**		**$990.42**	**-$84.49**	**$0.00**	
BRASIL TELECOM S A SPONSORED ADR REPSTG COM SHS ISIN#US10553M2008 Dividend Option. Cash								
Security Identifier BTM C								
0 079	11/17/09	16.7720	1.33	15.9900	1.27	-0.06		
8.600	11/17/09	16.7250	143.84	15 9900	137.52	-6 32		
10.320	11/17/09	16.7250	172.61	15 9900	165 02	-7 59		
19.000	**Total**		**$317.78**		**$303.81**	**-$13.97**	**$0.00**	
CNOOC LTD SPONSORED ADR ISIN#US1261321095 Dividend Option: Cash								
Security Identifier: CEO								
11.000	12/30/08	93 5500	1,029.05	155 4500	1,709.95	680.90	25.54	1.49%
1.000	03/05/09	79.5900	79.59	155.4500	155 45	75.86	2.32	1.49%
12.000	**Total**		**$1,108.64**		**$1,865.40**	**$756.76**	**$27.86**	
3CHINA LIFE INS CO LTD SPONS ADR REPSTG H SHS ISIN#US16939P1066 Dividend Option. Cash								
Security Identifier: LFC								
16.000	07/10/08	54.3310	869.29	73 3500	1,173.60	304 31	6.96	0.59%
12.000	07/14/08	53.7280	644.74	73.3500	880.20	235.46	5.22	0.59%
10.000	07/24/08	57.7060	577.06	73.3500	733.50	156 44	4.35	0.59%
2.000	09/10/08	54.7350	109.47	73 3500	146.70	37 23	0.87	0.59%
8 000	09/18/08	50 6390	405.11	73.3500	586.80	181.69	3 48	0.59%
2.000	10/08/08	50 2400	100.48	73 3500	146.70	46.22	0.87	0.59%
14.000	01/14/09	41.5870	582.22	73.3500	1,026.90	444.68	6 09	0 59%
1.000	03/06/09	40 9000	40.90	73 3500	73.35	32 45	0 44	0.59%
65.000	**Total**		**$3,329.27**		**$4,767.75**	**$1,438.48**	**$28.28**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3CHINA MOBILE LTD SPON ADR S A								
ISIN#US16941M099								
Dividend Option: Cash								
Security Identifier: CHL								
8.000	09/24/08	51.6430	413.14	46.4300	371.44	-41.70	12.77	3.43%
1.000	11/07/08	42.9100	42.91	46 4300	46.43	3.52	1.60	3.43%
2.000	01/14/09	45.0400	90.08	46 4300	92.86	2 78	3.19	3.43%
6.000	01/29/09	45.0970	270 58	46.4300	278.58	8.00	9.58	3 43%
2.000	03/05/09	42 6900	85.38	46.4300	92.86	7.48	3.19	3 43%
7.000	04/13/09	45.6490	319.54	46.4300	325.01	5.47	11 17	3.43%
26.000	**Total**		**$1,221.63**		**$1,207.18**	**-$14.45**	**$41.50**	
CHINA PETE & CHEM CORP SPONSORED ADR								
REPSTG H SHS								
Dividend Option: Cash								
Security Identifier: SNP								
6.000	03/25/09	60.6570	363.94	88 0700	528.42	164.48	12.41	2.34%
5.000	07/07/09	75 8840	379 42	88 0700	440.35	60.93	10.34	2.34%
5 000	08/25/09	91.7400	458.70	88.0700	440.35	-18.35	10.35	2.34%
16.000	**Total**		**$1,202.06**		**$1,409.12**	**$207.06**	**$33.10**	
CHINA UNICOM HONG KONG LTD ADR								
ISIN#US16945R1041								
Dividend Option: Cash								
Security Identifier: CHU								
39 000	11/06/09	13 8700	540.93	13.1100	511 29	-29 64	10 29	2.01%
47.000	12/07/09	13 1400	617.58	13.1100	616.17	-1.41	12.39	2.01%
86.000	**Total**		**$1,158.51**		**$1,127.46**	**-$31.05**	**$22.68**	
5CHUNGHWA TELECOM CO LTD SPONS ADR NEW								
2009 ISIN#US17133Q4038								
Dividend Option: Cash								
Security Identifier: CHT								
10 198	11/07/08	16 2810	166.04	18.5700	189.38	23.34	19 60	10.34%
14.702	12/12/08	N/A	Please Provide	18 5700	273.01	N/A	28.25	10 34%
23.100	03/30/09	16.1360	372.75	18.5700	428.97	56.22	44.40	10.34%
48.000	**Total**		**$538.79**		**$891.36**	**$79.56**	**$92.25**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3COMPANHIA ENERGETICA DE MINAS GERAIS ADR								
ISIN#US2044096012								
Dividend Option: Cash								
Security Identifier: CIG								
0 250	04/07/08	15 4400	3 86	18.0600	4 52	0 66	0 20	4.41%
8.750	08/11/08	17.3270	151.61	18 0600	158 03	6 42	6.98	4.41%
10.000	10/09/08	11.7010	117.01	18.0600	180.60	63.59	7.98	4 41%
17.500	10/14/08	13.1180	229 56	18 0600	316.05	86.49	13.96	4.41%
21.250	10/15/08	11.7020	248.66	18.0600	383.77	135.11	16.95	4.41%
22.500	01/16/09	11.4870	258.46	18 0600	406 35	147 89	17.94	4.41%
7.500	01/26/09	11.0310	82.73	18.0600	135.45	52.72	5 98	4.41%
1 250	01/27/09	10 9760	13 72	18 0600	22.57	8 85	0 99	4.41%
89.000	**Total**		**$1,105.61**		**$1,607.34**	**$501.73**	**$70.98**	
3COMPANHIA DE BEBIDAS DAS AMERS AMBEV								
SPONSORED ADR REPSTG PFD SHS								
Dividend Option: Cash								
Security Identifier ABV								
3 000	10/09/08	41.1200	123.36	101.0900	303.27	179.91	5.43	1.79%
4.000	10/14/08	47.6680	190.67	101 0900	404.36	213.69	7.24	1.79%
1 000	10/15/08	44 6000	44 60	101 0900	101 09	56.49	1.81	1.79%
3.000	11/07/08	47.2700	141.81	101.0900	303.27	161.46	5.43	1.79%
2.000	11/19/08	43.1900	86.38	101.0900	202.18	115 80	3.62	1.79%
13.000	**Total**		**$586.82**		**$1,314.17**	**$727.35**	**$23.53**	
3DESARROLLADORA HOMEX S A DE C V								
SPON ADR								
Dividend Option: Cash								
Security Identifier: HXM								
6 000	02/14/08	56.1750	337.05	33.6200	201.72	-135.33		
2.000	06/16/08	67.2500	134 50	33 6200	67.24	-67.26		
8.000	**Total**		**$471.55**		**$268.96**	**-$202.59**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
EMPRESA NACIONAL DE ELECTRIC SA CHILE								
ADR REPSTG 30 SHS								
Dividend Option: Cash								
Security Identifier: EOC								
12.000	10/14/09	47.4830	569 80	50.2700	603.24	33.44	12.23	2.02%
9.000	12/03/09	48.7690	438.92	50.2700	452.43	13.51	9.18	2.02%
21.000	**Total**		**$1,008.72**		**$1,055.67**	**$46.95**	**$21.41**	
³FOMENTO ECONOMICO MEX S A B DE C V NEW								
ISIN#US3444191064 SPON ADR REP UNIT1								
SER B SH & 2 SER D B SHS & 2 SER D L SHS								
Dividend Option: Cash								
Security Identifier: FMX								
7.000	02/25/08	42.9960	300 97	47.8800	335 16	34.19	2.54	0.75%
3 000	06/16/08	44.0300	132.09	47.8800	143.64	11.55	1.09	0 75%
11.000	09/24/08	39.7460	437.21	47.8800	526.68	89.47	4.00	0.75%
2.000	11/07/08	27.8500	55 70	47.8800	95 76	40.06	0.73	0.75%
23.000	**Total**		**$925.97**		**$1,101.24**	**$175.27**	**$8.36**	
³GAZPROM O A O SPON ADR REG S								
RESTRICTION LIFTED ISIN#US3682872078								
Dividend Option: Cash								
Security Identifier: OGZPY								
3 000	07/13/07	45 6000	136 80	24 4000	73.20	-63.60	0 09	0.12%
6 000	08/08/07	44.1500	264.90	24.4000	146 40	-118.50	0.19	0.12%
5 000	11/28/07	51.4500	257.25	24.4000	122 00	-135 25	0 16	0.12%
3.000	12/03/07	55.2170	165.65	24.4000	73.20	-92.45	0.09	0.12%
6 000	12/05/07	56.6000	339.60	24.4000	146.40	-193.20	0.19	0.12%
4 000	12/10/07	58.9000	235.60	24.4000	97.60	-138.00	0.13	0.12%
2.000	12/18/07	56.8000	113 60	24 4000	48.80	-64.80	0.06	0.12%
17.000	01/31/08	48.0790	817.35	24.4000	414.80	-402 55	0.53	0 12%
5.000	03/14/08	51.9200	259 60	24.4000	122.00	-137 60	0.16	0.12%
2.000	05/27/08	60 2000	120.40	24.4000	48.80	-71.60	0.06	0.12%
5.000	06/10/08	56.9500	284.75	24.4000	122.00	-162.75	0.16	0.12%
16.000	09/09/08	32.0300	512.48	24.4000	390 40	-122 08	0.50	0 12%
13.000	09/24/08	34 3000	445.90	24.4000	317 20	-128.70	0.41	0.12%
9.000	10/09/08	19.8930	179.04	24.4000	219.60	40.56	0.28	0.12%
7.000	02/17/09	12.6500	88 55	24.4000	170 80	82.25	0.21	0.12%
103.000	**Total**		**$4,221.47**		**$2,513.20**	**-$1,708.27**	**$3.22**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3GERDAU S A SPONSORED ADR								
Dividend Option: Cash								
Security Identifier: GGB								
18.000	10/18/06	7 4310	133.75	17 0300	306.54	172 79	0.31	0.10%
6 000	09/06/07	12.0770	72 46	17 0300	102 18	29.72	0 10	0.10%
12.000	02/26/08	15.5730	186.88	17.0300	204 36	17.48	0.20	0.10%
16.000	05/27/08	24.3440	389 50	17.0300	272 48	-117.02	0.27	0.10%
11.000	08/21/08	18.5150	203.66	17.0300	187.33	-16.33	0.19	0.10%
31.000	10/21/08	6.3950	198.25	17.0300	527.93	329.68	0.53	0.10%
94.000	**Total**		**$1,184.50**		**$1,600.82**	**$416.32**	**$1.60**	
GOLD FIELDS LTD NEW SPONS ADR								
Dividend Option: Cash								
Security Identifier: GFI								
18.000	12/15/08	9.6290	173 33	13.1100	235.98	62.65	2.37	1.00%
8 000	12/17/08	9.6290	77.03	13 1100	104.88	27.85	1.05	1.00%
15.000	12/18/08	8.4890	127.34	13.1100	196.65	69.31	1.98	1.00%
21.000	01/15/09	7.6590	160.84	13.1100	275.31	114.47	2 77	1.00%
62.000	**Total**		**$538.54**		**$812.82**	**$274.28**	**$8.17**	
GRUPO TELEVISA SA DE CV SPON ADR REPSTG								
ORD PARTN CTF ISIN#US40049J2069								
Dividend Option· Cash								
Security Identifier: TV								
3 000	11/07/08	16.1100	48.33	20.7600	62 28	13 95	3 50	5 61%
3.000	11/13/08	14.7900	44.37	20.7600	62 28	17.91	3.50	5.61%
12.000	11/18/08	13 9770	167.72	20.7600	249.12	81.40	13.99	5.61%
18.000	**Total**		**$260.42**		**$373.68**	**$113.26**	**$20.99**	
HUANENG PWR INTL INC SPONSORED ADR SER N								
SHS								
Dividend Option: Cash								
Security Identifier: HNP								
13.000	11/24/08	21.9820	285.76	22.4000	291 20	5.44	7.35	2.52%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HUANENG PWR INTL INC SPONSORED ADR SER N *(continued)*								
7 000	12/01/08	23.4290	164 00	22 4000	156 80	-7.20	3 96	2.52%
4 000	12/03/08	25.9130	103.65	22.4000	89.60	-14.05	2.26	2 52%
7.000	12/04/08	24.8960	174.27	22.4000	156 80	-17.47	3.96	2.52%
2 000	12/08/08	28.3300	56.66	22.4000	44.80	-11.86	1.13	2.52%
2 000	12/30/08	28.2800	56.56	22.4000	44.80	-11.76	1.13	2.52%
18.000	05/22/09	25.8990	466.19	22.4000	403.20	-62.99	10.18	2.52%
15 000	06/11/09	29.6500	444.75	22.4000	336.00	-108.75	8.48	2.52%
68.000	**Total**		**$1,751.84**		**$1,523.20**	**-$228.64**	**$38.45**	
³ICICI BK LTD ADR ISIN#US45104G1040								
Dividend Option. Cash								
Security Identifier: IBN								
18.000	09/18/08	23.9050	430.29	37.7100	678.78	248.49	17.53	2.58%
20.000	10/01/08	24.0950	481.90	37.7100	754.20	272.30	19.47	2.58%
9.000	03/05/09	10.1400	91.26	37.7100	339.39	248.13	8 76	2.58%
47.000	**Total**		**$1,003.45**		**$1,772.37**	**$768.92**	**$45.76**	
INFOSYS TECHNOLOGIES LTD SPON ADR REPSTG								
1 EQUITY SH								
Dividend Option: Cash								
Security Identifier INFY								
16.000	03/20/09	26.0590	416.94	55.2700	884.32	467.38	7.31	0.82%
6 000	12/07/09	51.7070	310.24	55.2700	331 62	21.38	2.74	0.82%
22.000	**Total**		**$727.18**		**$1,215.94**	**$488.76**	**$10.05**	
JSC MMC NORILSK NICKEL SPONSORED ADR								
ISIN#US46626D1081								
Dividend Option: Cash								
Security Identifier: NILSY								
28.000	08/10/09	10.7100	299.88	13.7000	383.60	83.72	20.37	5.31%
26.000	12/02/09	14.3800	373.88	13.7000	356.20	-17.68	18.91	5.31%
54.000	**Total**		**$673.76**		**$739.80**	**$66.04**	**$39.28**	
3 5KB FINL GROUP INC SPONSORED ADR REPSTG								
1 COM SH ISIN#US48241A1051								
Dividend Option: Cash								
Security Identifier KB								
1.000	04/10/08	64.2100	64.21	50.8500	50.85	-13.36		
4 000	04/16/08	67 4780	269.91	50 8500	203 40	-66 51		
4.000	04/23/08	65.6500	262.60	50.8500	203 40	-59 20		
2.000	10/13/08	41.0050	82.01	50.8500	101.70	19.69		
13.000	02/03/09	25.9890	337.86	50.8500	661.05	323.19		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KB FINL GROUP INC SPONSORED ADR REPSTG *(continued)*								
15 000	03/31/09	24.2730	364.09	50.8500	762.75	398.66		
3 000	09/08/09	N/A	Please Provide	50.8500	152.55	N/A		
42.000	**Total**		**$1,380.68**		**$2,135.70**	**$602.47**	**$0.00**	
LAS VEGAS SANDS CORP COM								
Dividend Option: Cash								
Security Identifier: LVS								
35.000	12/04/09	16.1290	564.53	14.9400	522 90	-41.63		
37.000	12/10/09	15.2900	565.73	14.9400	552.78	-12.95		
72.000	**Total**		**$1,130.26**		**$1,075.68**	**-$54.58**	**$0.00**	
MELCO CROWN ENTMT LTD ADR								
ISIN#US5854641009								
Dividend Option· Cash								
Security Identifier: MPEL								
71.000	09/28/09	7.2800	516.88	3.3700	239.27	-277 61		
83.000	10/01/09	6.8500	568 55	3.3700	279.71	-288.84		
81.000	10/13/09	6.9400	562.13	3.3700	272.97	-289.16		
105.000	10/20/09	5.9600	625 80	3 3700	353.85	-271.95		
113.000	11/09/09	4.9900	563.87	3.3700	380.81	-183.06		
145.000	12/17/09	3 6500	529.25	3.3700	488.65	-40.60		
598.000	**Total**		**$3,366.48**		**$2,015.26**	**-$1,351.22**	**$0.00**	
[3]MOBILE TELESYSTEMS OJSC SPONSORED ADR								
ISIN#US6074091090								
Dividend Option. Cash								
Security Identifier: MBT								
3.000	01/22/08	85.6330	256.90	48.8900	146 67	-110 23	8.31	5.66%
6.000	03/19/08	77.4770	464 86	48.8900	293.34	-171.52	16.62	5.66%
4.000	08/07/08	72.5430	290.17	48 8900	195 56	-94 61	11.08	5 66%
2.000	11/07/08	41.8900	83.78	48.8900	97.78	14.00	5.54	5.66%
2.000	11/18/08	27.2000	54.40	48 8900	97.78	43.38	5.54	5.66%
1 000	12/03/08	27.0300	27 03	48.8900	48.89	21.86	2.77	5.66%
9.000	02/03/09	18.4890	166.40	48.8900	440.01	273.61	24.93	5.66%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MOBILE TELESYSTEMS OJSC SPONSORED ADR *(continued)*								
1.000	12/02/09	51.1700	51 17	48 8900	48.89	-2.28	2.78	5 66%
28.000	**Total**		**$1,394.71**		**$1,368.92**	**-$25.79**	**$77.57**	
3LUKOIL CO SPOND ADR SHS								
ISIN#US6778621044								
Dividend Option: Cash								
Security Identifier LUKOY								
9.000	08/05/08	79.5000	715.50	56 2000	505 80	-209 70	12.38	2 44%
12.000	08/22/08	73 0390	876.47	56.2000	674.40	-202 07	16.51	2.44%
1.000	08/26/08	69 3500	69.35	56 2000	56 20	-13 15	1 38	2 44%
6.000	09/09/08	61.0000	366.00	56 2000	337.20	-28.80	8 25	2.44%
4 000	10/09/08	42 6500	170.60	56.2000	224.80	54.20	5 50	2.44%
2 000	11/07/08	39.4500	78.90	56.2000	112.40	33.50	2 75	2.44%
15 000	02/17/09	31.6300	474.45	56 2000	843.00	368.55	20.64	2.44%
3.000	03/05/09	32.1300	96.39	56.2000	168 60	72.21	4.13	2.44%
52.000	**Total**		**$2,847.66**		**$2,922.40**	**$74.74**	**$71.54**	
OPEN JT STK CO VIMPEL COMMUNICATION								
SPONSORED ADR								
Dividend Option: Cash								
Security Identifier: VIP								
12.000	02/17/09	5.5580	66.70	18.5900	223.08	156.38	3.02	1.35%
10.000	03/13/09	5.7590	57.59	18.5900	185.90	128.31	2.52	1.35%
6 000	12/02/09	19 9400	119 64	18 5900	111 54	-8.10	1 51	1.35%
28.000	**Total**		**$243.93**		**$520.52**	**$276.59**	**$7.05**	
3POSCO SPON ADR								
Dividend Option: Cash								
Security Identifier: PKX								
4.000	06/21/07	124.7930	499.17	131.1000	524.40	25.23	5.20	0.99%
8.000	04/10/08	122.4590	979.67	131.1000	1,048.80	69.13	10.40	0.99%
4 000	04/24/08	123.4250	493.70	131.1000	524.40	30.70	5.20	0 99%
6.000	04/29/08	120.6520	723.91	131.1000	786.60	62 69	7.80	0.99%
3 000	06/12/08	131.6970	395.09	131 1000	393 30	-1.79	3.90	0 99%
2.000	02/17/09	57.4500	114.90	131.1000	262.20	147.30	2.59	0.99%
27.000	**Total**		**$3,206.44**		**$3,539.70**	**$333.26**	**$35.09**	
PETROCHINA CO LTD SPONS ADR								
ISIN#US71646E1001								
Dividend Option: Cash								
Security Identifier: PTR								
2.000	12/11/08	94.6200	189.24	118.9600	237.92	48 68	7.13	2.99%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
PETROCHINA CO LTD SPONS ADR *(continued)*								
4.000	04/08/09	83.5730	334.29	118.9600	475.84	141.55	14.27	2.99%
6.000	**Total**		**$523.53**		**$713.76**	**$190.23**	**$21.40**	
3PETROLEO BRASILEIRO SA SPONSORED ADR								
Dividend Option: Cash								
Security Identifier: PBR A								
1.000	09/21/06	17 7800	17 78	42 3900	42 39	24.61	0 36	0 83%
8 000	09/26/06	17.7910	142.33	42.3900	339.12	196.79	2.85	0.83%
20.000	10/06/06	18.0710	361.41	42.3900	847.80	486.39	7.12	0.83%
12.000	11/07/06	20 6750	248.10	42.3900	508.68	260 58	4.27	0.83%
12.000	12/08/06	22.1150	265.38	42.3900	508.68	243.30	4.27	0.83%
20 000	12/12/06	21.8840	437 68	42.3900	847.80	410.12	7.12	0.83%
5.000	07/10/08	49.1200	245.60	42.3900	211.95	-33.65	1.78	0.83%
6 000	09/18/08	31.8300	190.98	42.3900	254.34	63.36	2.14	0.83%
19.000	10/15/08	22.3000	423.70	42.3900	805.41	381.71	6.76	0 83%
2.000	01/16/09	20.8700	41.74	42.3900	84.78	43.04	0.70	0.83%
105.000	**Total**		**$2,374.70**		**$4,450.95**	**$2,076.25**	**$37.37**	
PETROLEO BRASILEIRO SA PETROBRAS								
SPONSORED ADR								
Dividend Option Cash								
Security Identifier: PBR								
6 000	11/19/09	50 9200	305.52	47.6800	286.08	-19 44	2 13	0.74%
PHILIPPINE LONG DISTANCE TEL CO ADR								
ISIN#US7182526043 RPSTG 1 SH COM								
Dividend Option: Cash								
Security Identifier: PHI								
8.000	11/07/08	41.0400	328.32	56.6700	453.36	125.04	20.64	4.55%
4.000	12/05/08	47.6100	190.44	56.6700	226 68	36.24	10.32	4.55%
9 000	06/15/09	51 7930	466 14	56 6700	510 03	43.89	23.23	4.55%
21.000	**Total**		**$984.90**		**$1,190.07**	**$205.17**	**$54.19**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SK TELECOM LTD SPONSORED ADR								
ISIN#US78440P1084								
Dividend Option: Cash								
Security Identifier: SKM								
4.000	01/07/09	17.1780	68.71	16.2600	65.04	-3.67	2.36	3.62%
9.000	01/14/09	16.6690	150.02	16.2600	146.34	-3 68	5.30	3.62%
24 000	01/27/09	17.3200	415.68	16.2600	390.24	-25.44	14.14	3.62%
29.000	05/21/09	15.9690	463.11	16.2600	471.54	8.43	17.09	3.62%
66.000	**Total**		**$1,097.52**		**$1,073.16**	**-$24.36**	**$38.89**	
3SASOL LTD SPONSORED ADR								
Dividend Option: Cash								
Security Identifier: SSL								
6 000	06/17/08	59 5570	357.34	39.9400	239.64	-117 70	6.51	2.71%
1 000	12/10/08	30.2000	30.20	39.9400	39 94	9.74	1.08	2.71%
10.000	12/11/08	30.6050	306.05	39.9400	399 40	93.35	10.85	2.71%
8.000	12/15/08	28.6250	229.00	39.9400	319.52	90.52	8.68	2.71%
1 000	01/02/09	32.3200	32.32	39.9400	39.94	7.62	1.08	2.71%
26.000	**Total**		**$954.91**		**$1,038.44**	**$83.53**	**$28.20**	
3SHINHAN FINL GROUP CO LTD SPONSORED ADR								
Dividend Option: Cash								
Security Identifier: SHG								
5 000	12/27/07	114.4000	572.00	74.2800	371.40	-200.60		
5.000	01/02/08	110.9840	554.92	74.2800	371 40	-183.52		
1 000	01/14/08	98.6700	98.67	74.2800	74 28	-24.39		
3 000	04/10/08	112.2530	336.76	74.2800	222.84	-113.92		
3.000	04/18/08	112.9900	338.97	74 2800	222.84	-116.13		
4.000	06/02/08	98.9280	395.71	74.2800	297.12	-98.59		
2.000	06/12/08	87.0300	174.06	74.2800	148.56	-25.50		
1.000	11/07/08	53.4900	53.49	74.2800	74.28	20.79		
8 000	03/05/09	26.7000	213.60	74.2800	594 24	380.64		
32.000	**Total**		**$2,738.18**		**$2,376.96**	**-$361.22**	**$0.00**	
3SILICONWARE PRECISION INDS LTD								
SPONSORED ADR SPIL ISIN#US8270848646								
Dividend Option: Cash								
Security Identifier: SPIL								
25 100	10/08/07	9 9770	250 42	7 0100	175 95	-74 47	5 03	2 85%
10.100	04/11/08	8.5760	86.62	7.0100	70.80	-15.82	2.02	2.85%
80 800	07/18/08	7.8220	632 00	7 0100	566 41	-65 59	16.18	2 85%
20.000	09/25/08	6.4910	129.82	7.0100	140 20	10.38	4.00	2.85%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SILICONWARE PRECISION INDS LTD *(continued)*								
128.000	10/08/09	7.0900	907 52	7 0100	897.28	-10.24	25.63	2.85%
264.000	**Total**		**$2,006.38**		**$1,850.64**	**-$155.74**	**$52.86**	
3SOUTHERN COPPER CORP DEL COM								
Dividend Option· Cash								
Security Identifier PCU								
3 000	03/06/08	37.3670	112 10	32 9100	98 73	-13 37	2 16	2.18%
10 000	10/21/08	12.2410	122 41	32.9100	329.10	206.69	7.20	2.18%
7.000	11/04/09	33.3060	233.14	32.9100	230.37	-2.77	5.04	2.18%
20.000	**Total**		**$467.65**		**$658.20**	**$190.55**	**$14.40**	
3TAIWAN SEMICONDUCTOR MFG CO SPONSORED								
ADR ISIN#US8740391003								
Dividend Option: Cash								
Security Identifier: TSM								
20.780	05/22/07	10 3840	215.77	11.4400	237.72	21.95	7.56	3.18%
104.520	08/20/08	10.2490	1,071.20	11.4400	1,195.71	124.51	38.04	3.18%
29.145	08/22/08	10.2190	297.83	11.4400	333.42	35.59	10.61	3.18%
18.090	09/25/08	9.4630	171.18	11.4400	206 95	35 77	6.58	3.18%
7.035	11/07/08	7.6020	53.48	11.4400	80 48	27.00	2 56	3.18%
30.150	03/05/09	8.1580	245.97	11.4400	344.92	98.95	10.97	3.18%
56.280	03/26/09	9.1930	517.40	11.4400	643.84	126.44	20.49	3.18%
266.000	**Total**		**$2,572.83**		**$3,043.04**	**$470.21**	**$96.81**	
3TEVA PHARMACEUTICAL INDUSTRIES LTD ADR								
ISIN#US8816242098								
Dividend Option: Cash								
Security Identifier: TEVA								
1 000	10/15/08	39.0600	39.06	56.1800	56.18	17.12	0.48	0.85%
2 000	11/07/08	42.5800	85.16	56.1800	112.36	27.20	0.96	0 85%
1.000	12/11/08	43.4300	43.43	56.1800	56.18	12.75	0.48	0.85%
4.000	01/06/09	41.9100	167.64	56.1800	224.72	57.08	1.93	0.85%
6.000	01/13/09	41.9800	251.88	56.1800	337.08	85.20	2.89	0.85%
5 000	01/22/09	42 4800	212 40	56 1800	280 90	68.50	2.41	0.85%

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR *(continued)*								
2.000	03/05/09	43 4400	86.88	56.1800	112 36	25 48	0 96	0.85%
3.000	03/20/09	44.2570	132.77	56.1800	168.54	35.77	1.45	0.85%
8.000	05/08/09	44 3860	355.09	56.1800	449.44	94.35	3.86	0.85%
5.000	09/17/09	51.1060	255.53	56.1800	280.90	25.37	2.43	0 85%
37.000	**Total**		**$1,629.84**		**$2,078.66**	**$448.82**	**$17.85**	
3TURKCELL ILETISIM HIZMETLERI A S SPONS ADR ISIN#US9001112047 Dividend Option· Cash								
Security Identifier: TKC								
1 000	07/01/08	14 6000	14.60	17 4900	17.49	2.89	0.79	4.51%
31.000	08/12/08	17 6570	547 37	17.4900	542 19	-5 18	24.46	4.51%
10.000	09/24/08	16.0310	160.31	17.4900	174.90	14.59	7.89	4.51%
11.000	10/09/08	13.1560	144.72	17.4900	192.39	47.67	8.68	4.51%
12.000	03/05/09	11.2900	135 48	17.4900	209.88	74.40	9.46	4.51%
65.000	**Total**		**$1,002.48**		**$1,136.85**	**$134.37**	**$51.28**	
VALE SA ADR ISIN#US91912E1055 Dividend Option: Cash								
Security Identifier: VALE								
25.000	02/16/06	12.0280	300.69	29.0300	725.75	425.06	5.62	0.77%
28.000	03/17/06	11.2030	313.67	29.0300	812.84	499.17	6.29	0.77%
20 000	04/07/06	12 1760	243.51	29.0300	580 60	337 09	4.50	0 77%
8.000	09/12/06	10.1750	81.40	29.0300	232.24	150.84	1.80	0.77%
16 000	09/21/06	10 1950	163 12	29.0300	464.48	301.36	3.60	0 77%
1.000	04/04/08	36.4800	36.48	29.0300	29.03	-7.45	0.22	0.77%
11.000	07/17/08	29.2650	321 91	29 0300	319.33	-2.58	2.47	0 77%
11 000	08/28/08	26.6550	293.20	29.0300	319.33	26.13	2.47	0.77%
2.000	09/18/08	19.4700	38.94	29.0300	58.06	19.12	0.45	0.77%
9.000	12/09/08	10.8780	97.90	29.0300	261.27	163.37	2.03	0.77%
131.000	**Total**		**$1,890.82**		**$3,802.93**	**$1,912.11**	**$29.45**	
3VIVO PARTICIPACOES S A SPON ADR REPSTG PFD NEW ISIN#US92855S2005 Dividend Option: Cash								
Security Identifier: VIV								
9.000	09/26/08	4.4100	39 69	31.0000	279.00	239.31	3.61	1.29%
15.000	10/23/08	8.8150	132.23	31.0000	465.00	332.77	6.01	1.29%
8.000	11/19/08	9.8000	78.40	31.0000	248.00	169 60	3.21	1 29%
32.000	**Total**		**$250.32**		**$992.00**	**$741.68**	**$12.83**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WYNN RESORTS LTD COM								
Dividend Option. Cash								
Security Identifier: WYNN								
7 000	09/30/09	70.7630	495.34	58.2300	407.61	-87.73		
8 000	10/08/09	69 9360	559 49	58 2300	465 84	-93 65		
10.000	10/20/09	63 7260	637.26	58.2300	582.30	-54.96		
25.000	**Total**		**$1,692.09**		**$1,455.75**	**-$236.34**	**$0.00**	
YANZHOU COAL MINING CO LTD SPONSORED ADR								
REPSTG H SHS								
Dividend Option: Cash								
Security Identifier: YZC								
36.000	10/21/09	15.5200	558 71	21.8300	785.88	227.17	18.95	2.41%
33.000	10/23/09	16.0840	530.77	21.8300	720.39	189.62	17.37	2.41%
24.000	12/15/09	21 5570	517.36	21.8300	523.92	6.56	12.64	2.41%
93.000	**Total**		**$1,606.84**		**$2,030.19**	**$423.35**	**$48.96**	
Total Common Stocks			**$66,952.52**		**$78,763.13**	**$11,385.05**	**$1,288.28**	
Preferred Stocks *(Listed by expiration date)*								
ITAU UNIBANCO HLDG SA NS SPONSORED ADR								
REPSTG 500 PFD ISIN#US4655621062								
Dividend Option. Cash								
Security Identifier: ITUB								
20.374	04/05/06	12.4220	253.09	22.8400	465.33	212.24	1 39	0.29%
37.951	02/26/07	14.7580	560 07	22.8400	866.79	306.72	2.58	0.29%
15.125	08/17/07	13 5910	205.56	22.8400	345.46	139.90	1.03	0.29%
6.325	04/04/08	20.5070	129.71	22.8400	144.47	14 76	0 43	0 29%
6.875	05/29/08	21.3860	147 03	22.8400	157.02	9.99	0.47	0.29%
6.325	06/27/08	20.0550	126.85	22.8400	144.47	17.62	0 43	0.29%
18 975	07/17/08	20 2260	383.80	22.8400	433.40	49.60	1.29	0.29%
8 800	07/22/08	19 5910	172 40	22.8400	200 99	28 59	0 60	0 29%
12.650	08/11/08	19.1100	241.75	22.8400	288.93	47.18	0 86	0.29%
12 100	12/09/08	10 9610	132.63	22.8400	276.36	143.73	0.82	0.29%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Preferred Stocks *(continued)*								
ITAU UNIBANCO HLDG SA NS SPONSORED ADR *(continued)*								
16.500	12/17/08	11 5470	190 52	22.8400	376 86	186 34	1.12	0.29%
162.000	**Total**		**$2,543.41**		**$3,700.08**	**$1,156.67**	**$11.02**	
Total Preferred Stocks			**$2,543.41**		**$3,700.08**	**$1,156.67**	**$11.02**	
Total Equities			**$69,495.93**		**$82,463.21**	**$12,541.72**	**$1,299.30**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 1.00% of Portfolio								
Mutual Funds								
KOREA FUND INC COM NEW								
Closed End Fund								
Security Identifier KF								
Dividend Option· Cash, Capital Gains Option· Cash								
6.000	01/30/09	21.9970	131.98	35.5500	213.30	81.32		
4.000	02/17/09	19.9500	79.80	35 5500	142.20	62.40		
7 000	03/16/09	19 7640	138 35	35.5500	248 85	110 50		
7.000	04/06/09	24.9340	174.54	35.5500	248.85	74.31		
24.000	**Total**		**$524.67**		**$853.20**	**$328.53**	**$0.00**	
Total Mutual Funds			**$524.67**		**$853.20**	**$328.53**	**$0.00**	
Total Mutual Funds			**$524.67**		**$853.20**	**$328.53**	**$0.00**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 24.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH ETNS LKD MSCI								
INDIA TOTAL RETURN INDEX MAT 2036								
Security Identifier INP								
Dividend Option: Cash; Capital Gains Option: Cash								
4.000	12/17/08	32 9530	131.81	64.0600	256.24	124.43		
22.000	04/24/09	37.1200	816.63	64.0600	1,409.32	592.69		
7 000	05/08/09	39.4800	276.36	64.0600	448.42	172.06		
9.000	06/10/09	52.5000	472.50	64.0600	576.54	104.04		
42.000	**Total**		**$1,697.30**		**$2,690.52**	**$993.22**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
GLOBAL X FDS GLOBAL X CHINA FINLS								
ETF								
Security Identifier: CHIX								
Dividend Option. Cash, Capital Gains Option: Cash								
73 000	12/14/09	15.1400	1,105.22	14.2885	1,043.06	-62 16		
63.000	12/16/09	14.6000	919.83	14.2885	900.18	-19.65		
136.000	**Total**		**$2,025.05**		**$1,943.24**	**-$81.81**	**$0.00**	
GLOBAL X FDS GLOBAL X CHINA								
INDUSTRIALS ETF								
Security Identifier: CHII								
Dividend Option: Cash; Capital Gains Option: Cash								
34.000	12/02/09	16.4000	557.59	15.4700	525.98	-31.61		
33 000	12/04/09	16.4200	541.85	15.4700	510.51	-31.34		
37.000	12/08/09	16 1350	596.99	15 4700	572 39	-24.60		
104.000	**Total**		**$1,696.43**		**$1,608.88**	**-$87.55**	**$0.00**	
3ISHARES INC MCSI TURKEY INDEX FD								
Security Identifier TUR								
Dividend Option: Cash; Capital Gains Option: Cash								
7.000	07/15/08	45.8170	320.72	53.9000	377.30	56.58	3.65	0.96%
1.000	08/08/08	54 3500	54 35	53.9000	53.90	-0.45	0.52	0.96%
1.000	11/07/08	27.7800	27.78	53.9000	53 90	26.12	0.52	0.96%
4.000	04/02/09	25.9700	103.88	53.9000	215.60	111 72	2 09	0 96%
8.000	09/24/09	49.7900	398.32	53.9000	431.20	32 88	4.18	0.96%
12.000	11/06/09	49.2940	591.53	53.9000	646.80	55.27	6.27	0.96%
33.000	**Total**		**$1,496.58**		**$1,778.70**	**$282.12**	**$17.23**	
ISHARES INC MSCI TAIWAN INDEX FD								
Security Identifier: EWT								
Dividend Option: Cash; Capital Gains Option: Cash								
11.000	12/10/08	8.0880	88.97	12 9700	142.67	53.70	4.58	3.20%
46 000	12/12/08	7 9280	364 69	12.9700	596.62	231.93	19 14	3.20%
50.000	01/07/09	7.6080	380.41	12 9700	648.50	268.09	20.81	3.20%
44.000	02/06/09	7.3790	324.69	12.9700	570.68	245.99	18.31	3.20%
76.000	04/29/09	9.6000	729.58	12 9700	985.72	256.14	31.63	3.20%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES INC MSCI TAIWAN INDEX FD *(continued)*								
36 000	06/01/09	11.3780	409.61	12.9700	466 92	57.31	14 98	3.20%
66.000	10/14/09	12 6500	834.90	12.9700	856.02	21.12	27.47	3.20%
329.000	**Total**		**$3,132.85**		**$4,267.13**	**$1,134.28**	**$136.92**	
[3]ISHARES INC MSCI SOUTH KOREA INDEX FD								
Security Identifier EWY								
Dividend Option· Cash; Capital Gains Option: Cash								
14.000	06/12/08	55.0390	770.54	47.6400	666.96	-103.58	3.20	0.47%
16 000	07/23/08	51.1960	819 14	47.6400	762 24	-56.90	3.66	0.47%
7.000	07/24/08	51 6800	361.76	47 6400	333.48	-28 28	1 60	0.47%
27.000	08/12/08	48.9920	1,322 79	47.6400	1,286 28	-36.51	6 17	0 47%
1.000	11/07/08	27.4900	27.49	47.6400	47.64	20.15	0 23	0.47%
13.000	11/18/08	23.5680	306.38	47.6400	619.32	312.94	2 97	0.47%
12.000	12/01/08	22.3180	267.81	47.6400	571.68	303.87	2.74	0.47%
15 000	02/17/09	24.6170	369.26	47.6400	714.60	345.34	3.43	0.47%
7.000	03/05/09	21.4800	150.36	47.6400	333.48	183.12	1.60	0.47%
11.000	04/23/09	32.7570	360.33	47.6400	524.04	163 71	2.50	0.47%
123.000	**Total**		**$4,755.86**		**$5,859.72**	**$1,103.86**	**$28.10**	
[3]ISHARES INC MSCI SOUTH AFRICA								
INDEX FD								
Security Identifier EZA								
Dividend Option: Cash; Capital Gains Option. Cash								
12.000	09/18/08	45.6760	548.11	55.9700	671.64	123.53	26.08	3.88%
7.000	11/07/08	32.5000	227.50	55.9700	391.79	164.29	15.21	3.88%
10.000	11/13/08	31.0000	310 00	55.9700	559.70	249.70	21.73	3.88%
11 000	12/01/08	32.2300	354.53	55.9700	615.67	261.14	23 90	3 88%
3 000	12/04/08	32.8000	98.40	55.9700	167.91	69.51	6.52	3.88%
12.000	01/14/09	32.6670	392.00	55.9700	671.64	279.64	26.08	3.88%
14.000	03/05/09	28.9000	404.60	55.9700	783.58	378.98	30.42	3.88%
19.000	03/27/09	36.5500	694.45	55.9700	1,063.43	368.98	41.29	3.88%
88.000	**Total**		**$3,029.59**		**$4,925.36**	**$1,895.77**	**$191.23**	
[3]ISHARES TR FTSE XINHUA HK CHINA 25								
INDEX FD								
Security Identifier FXI								
Dividend Option: Cash; Capital Gains Option: Cash								
10.000	10/07/08	29.0520	290.52	42.2600	422.60	132.08	4.44	1.05%
4.000	10/09/08	28.0300	112.12	42.2600	169.04	56 92	1.78	1.05%
8 000	10/16/08	27 8060	222 45	42 2600	338 08	115 63	3 55	1.05%
44.000	10/24/08	21.9330	965.06	42 2600	1,859.44	894.38	19.54	1.05%
11.000	11/07/08	24.9600	274.56	42.2600	464 86	190.30	4.89	1.05%

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CREDIT SUISSE

CREDIT SUISSE SECURITIE
2121 Ave
Los Angeles,

3rokerage
Account Statement

ETFs +
MUTUAL FUNDS ~~524.67~~ +
20,100.25+
20,624.92*

..itement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR FTSE XINHUA HK CHINA 25 *(continued)*								
16 000	02/17/09	25.1180	401 88	42.2600	676 16	274.28	7.11	1.05%
93.000	**Total**		**$2,266.59**		**$3,930.18**	**$1,663.59**	**$41.31**	
Total Exchange-Traded Products			**$20,100.25**		**$27,003.73**	**$6,903.48**	**$414.79**	
Total Exchange-Traded Products			**$20,100.25**		**$27,003.73**	**$6,903.48**	**$414.79**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$90,831.84**	**$111,031.13**	**$19,773.73**	**$0.00**	**$1,720.01**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis

5 Unrealized gains and losses are not reported for securities for which cost basis or market value is not available

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing 's currency conversion rate will not exceed the highest interbank conversion rate identified from customary

[redacted]

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover short transactions ("Borrowing Costs").

Customer Service Information

Your Relationship Manager: 01X	Contact Information	Customer Service Information
YOSHIMURA/WEBSTER PRIVATE BANKING USA 2121 AVE OF THE STARS STE 3300 LOS ANGELES CA 90067-5060	**Telephone Number:** (800) 237-5022 **Fax Number:** (310) 282-8053	**Web Site:** www.credit-suisse.com

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
Money Market						
81,642.640	PRIME CASH SERIES	81,640.82	81,642.64	0.00	37.51	0.01%
	DREYFUS TAX EXEMPT INV SH	0.00	0.00	0.00	315.06	0.00%
	DREYFUS CASH MNGT INVESTOR SH	0.00	0.00	0.00	0.04	0.00%
Total Money Market		$81,640.82	$81,642.64	$0.00	$352.61	
Total Cash, Money Funds, and FDIC Deposits		$81,640.82	$81,642.64	$0.00	$352.61	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$81,642.64	$0.00	$352.61

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositones) will

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized This Period
Short-Term Gain/Loss	0.00	-569.59	20,740.19
Long-Term Gain/Loss	0.00	-22,014.59	-94,887.38
Net Gain/Loss	**0.00**	**-22,584.18**	**-74,147.19**

This summary excludes transactions where cost basis information is not available.

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover short transactions ("Borrowing Costs").

Customer Service Information

Your Relationship Manager: 01X	Contact Information	Customer Service Information
YOSHIMURA/WEBSTER PRIVATE BANKING USA 2121 AVE OF THE STARS STE 3300 LOS ANGELES CA 90067-5060	**Telephone Number:** (800) 237-5022 **Fax Number:** (310) 282-8053	**Web Site:** www.credit-suisse.com
Portfolio Manager: PINNACLE ASSOCIATES LTD		**Portfolio Investment Style:** SMALL TO MID CAPITALIZATION

As you requested, copies of this statement have been sent to:
PINNACLE ASSOCIATES LTD.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 3.00% of Portfolio									
Cash Balance				1,406 98	0 00				
Money Market									
PRIME CASH SERIES									
13,040.070	12/01/09	0000128188	12/31/09	12,943.54	13,040.07	0 00	4.38	0.01%	0.01%
DREYFUS TAX EXEMPT INV SH									
	12/01/09	0000005473	04/02/09	0.00	0.00	0 00	22.44	0.00%	0.00%
Total Money Market				**$12,943.54**	**$13,040.07**	**$0.00**	**$26.82**		
Total Cash, Money Funds, and FDIC Deposits				**$14,350.52**	**$13,040.07**	**$0.00**	**$26.82**		

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CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

Brokerage Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 97.00% of Portfolio								
Common Stocks								
[3]LAZARD LTD SHS A								
ISIN#BMG540501027								
Dividend Option: Cash								
Security Identifier: LAZ								
100.000	05/13/08	35.9780	3,597.80	37.9700	3,797.00	199.20	50 00	1 31%
50 000	05/19/08	37.1860	1,859.30	37.9700	1,898.50	39.20	25.00	1.31%
150.000	**Total**		**$5,457.10**		**$5,695.50**	**$238.40**	**$75.00**	
ORIENT EXPRESS HOTELS LTD CL A SHS								
ISIN#BMG677431071								
Dividend Option: Cash								
Security Identifier: OEH								
200 000	07/29/09	8.9990	1,799 88	10.1400	2,028.00	228.12		
120.000	08/13/09	10.6860	1,282.34	10.1400	1,216.80	-65 54		
110.000	11/17/09	8.9700	986.72	10 1400	1,115.40	128.68		
430.000	**Total**		**$4,068.94**		**$4,360.20**	**$291.26**	**$0.00**	
ROYAL CARIBBEAN CRUISES LTD								
ISIN#LR0008862868								
Dividend Option: Cash								
Security Identifier: RCL								
210.000	01/06/09	14 9950	3,148.94	25.2800	5,308.80	2,159.86		
[3]AMR CORP DELAWARE COMMON STOCK								
Dividend Option: Cash								
Security Identifier: AMR								
450.000	04/07/08	9.9600	4,481.94	7.7300	3,478.50	-1,003.44		
[3]ARRIS GROUP INC COM								
Dividend Option. Cash								
Security Identifier: ARRS								
420 000	06/08/07	8.8490	3,716.75	11.4300	4,800 60	1,083 85		
[3]ARROW ELECTRS INC								
Dividend Option. Cash								
Security Identifier: ARW								

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ARROW ELECTRS INC *(continued)*								
130.000	06/08/07	40.1900	5,224.70	29.6100	3,849.30	-1,375.40		
[5]ASCENT MEDIA CORP COM SER A								
Dividend Option: Cash								
Security Identifier: ASCMA								
17.000	10/29/08	N/A	Please Provide	25.5300	434 01	N/A		
[3]ATMEL CORP								
Dividend Option: Cash								
Security Identifier: ATML								
950.000	06/08/07	5.5700	5,291.50	4.6100	4,379.50	-912.00		
450.000	11/23/09	4.0100	1,804 46	4.6100	2,074.50	270.04		
1,400.000	**Total**		**$7,095.96**		**$6,454.00**	**-$641.96**	**$0.00**	
[3]AVNET INC								
Dividend Option: Cash								
Security Identifier: AVT								
150 000	06/08/07	42.1600	6,324.00	30.1600	4,524.00	-1,800.00		
[3]BJ SVCS CO COM								
Dividend Option: Cash								
Security Identifier: BJS								
50 000	06/08/07	28.6900	1,434.50	18.6000	930.00	-504.50	10.00	1.07%
90.000	03/19/08	24.7940	2,231.45	18.6000	1,674 00	-557.45	18.00	1 07%
70 000	06/11/08	31.4830	2,203.80	18.6000	1,302.00	-901.80	14.00	1.07%
210.000	**Total**		**$5,869.75**		**$3,906.00**	**-$1,963.75**	**$42.00**	
[3]BARRETT BILL CORP COM								
Dividend Option: Cash								
Security Identifier: BBG								
60.000	06/25/08	57.3360	3,440 16	31.1100	1,866.60	-1,573.56		
30.000	07/15/08	49.0950	1,472.85	31.1100	933.30	-539.55		
90.000	**Total**		**$4,913.01**		**$2,799.90**	**-$2,113.11**	**$0.00**	
BELO CORP COM SER A								
Dividend Option: Cash								
Security Identifier: BLC								
120.000	12/10/08	1.8290	219.50	5.4400	652.80	433 30		
340 000	04/15/09	0.9890	336.19	5.4400	1,849.60	1,513.41		
460.000	**Total**		**$555.69**		**$2,502.40**	**$1,946.71**	**$0.00**	

Ø BASIS. WAS SPUN OFF FROM DISCOVERY HOLDING CO in 2008. CREDIT SUISSE ASSIGNED ALL OF THE COST BASIS TO DISCOVERY COMMUNICATIONS. SEE PAGE 6 OF THIS STATEMENT.

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

[redacted]

Brokerage
Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]BUCYRUS INTL INC NEW COM								
Dividend Option: Cash								
Security Identifier: BUCY								
100.000	06/08/07	34.5100	3,451.00	56 3700	5,637.00	2,186.00	10 00	0.17%
60.000	08/28/07	31.4470	1,886.84	56.3700	3,382.20	1,495 36	6.00	0.17%
60 000	04/27/09	20.0980	1,205.88	56.3700	3,382.20	2,176.32	6.00	0.17%
220.000	**Total**		**$6,543.72**		**$12,401.40**	**$5,857.68**	**$22.00**	
[3]CAE INC								
Dividend Option: Cash								
Security Identifier: CAE								
650 000	06/08/07	12.9000	8,385.00	8.2300	5,349 50	-3,035 50		
[3]CABLEVISION SYS CORP (NEW) NY GROUP CL A COM								
Dividend Option: Cash								
Security Identifier: CVC								
350.000	06/08/07	35.8200	12,537.00	25.8200	9,037 00	-3,500.00	140.00	1 54%
[3]CAMECO CORP COM ISIN#CA13321L1085								
Dividend Option· Cash								
Security Identifier: CCJ								
450.000	06/08/07	51.8600	23,337.00	32.1700	14,476.50	-8,860.50		
[3]CINCINNATI BELL INC NEW COM								
Dividend Option: Cash								
Security Identifier: CBB								
1,350.000	06/08/07	5.9200	7,992.00	3.4500	4,657 50	-3,334.50		
[3]COGNEX CORP								
Dividend Option· Cash								
Security Identifier: CGNX								
150 000	06/08/07	22.8900	3,433.50	17.7200	2,658.00	-775 50	30.00	1.12%
90.000	01/02/08	19.9650	1,796.88	17.7200	1,594 80	-202 08	18.00	1.12%
240.000	**Total**		**$5,230.38**		**$4,252.80**	**-$977.58**	**$48.00**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3CROWN MEDIA HLDGS INC CL A								
Dividend Option: Cash								
Security Identifier: CRWN								
250.000	06/08/07	7.2000	1,800.00	1.4500	362.50	-1,437.50		
3CUMMINS ENGINE INC								
Dividend Option: Cash								
Security Identifier: CMI								
200 000	06/08/07	46.8450	9,369.00	45.8600	9,172.00	-197.00	140.00	1.52%
3DIEBOLD INC								
Dividend Option: Cash								
Security Identifier: DBD								
110.000	10/13/08	26 5250	2,917.77	28 4500	3,129.50	211.73	114.40	3.65%
3DISCOVERY COMMUNICATIONS INC								
NEW COM SER A								
Dividend Option: Cash								
Security Identifier: DISCA								
130.000	06/08/07	23.4800	3,052.40	30.6700	3,987.10	934.70		
40.000	07/10/08	20 6320	825 29	30 6700	1,226 80	401.51		
170.000	**Total**		**$3,877.69**		**$5,213.90**	**$1,336.21**	**$0.00**	
3DISCOVERY COMMUNICATIONS INC								
COM SER C COM NEW								
Dividend Option: Cash								
Security Identifier: DISCK								
170.000	06/08/07	23.4800	3,991.60	26.5200	4,508.40	516.80		
3FLUSHING FINL CORP								
Dividend Option: Cash								
Security Identifier: FFIC								
250.000	06/08/07	16.4000	4,100.00	11.2600	2,815.00	-1,285.00	130.00	4 61%
GANNETT COMPANY INC								
Dividend Option: Cash								
Security Identifier: GCI								
220 000	09/02/09	8.0100	1,762.11	14.8500	3,267.00	1,504.89	35.20	1.07%
3GENCORP INC								
Dividend Option: Cash								
Security Identifier: GY								
350 000	06/08/07	13 1300	4,595.50	7.0000	2,450.00	-2,145.50	42.00	1.71%

Σ = $7,044.00 – WAS "DISCOVERY HOLDING CO" on LEHMAN 12/31/07 UNREALIZED GAIN SCHED, WITH COST BASIS OF $7,043.19

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]GILEAD SCIENCES INC								
Dividend Option: Cash								
Security Identifier: GILD								
200 000	06/08/07	39.6550	7,931.00	43.2800	8,656.00	725.00		
[3]GOODRICH CORP								
Dividend Option: Cash								
Security Identifier GR								
150 000	06/08/07	56.5900	8,488.50	64.2500	9,637.50	1,149.00	162.00	1 68%
GOODRICH PETE CORP COM NEW								
Dividend Option Cash								
Security Identifier GDP								
70.000	05/05/09	24.9940	1,749.58	24.3500	1,704.50	-45.08		
50.000	05/13/09	25.5820	1,279.09	24.3500	1,217.50	-61.59		
90.000	11/18/09	22.8590	2,057 31	24.3500	2,191.50	134.19		
210.000	**Total**		**$5,085.98**		**$5,113.50**	**$27.52**	**$0.00**	
[3]HARMONIC INC COM								
Dividend Option: Cash								
Security Identifier: HLIT								
700.000	06/08/07	8 7300	6,111 00	6.3300	4,431 00	-1,680 00		
110.000	11/01/07	11.7740	1,295.15	6.3300	696.30	-598.85		
110.000	12/11/07	10.5710	1,162.86	6.3300	696.30	-466.56		
920.000	**Total**		**$8,569.01**		**$5,823.60**	**-$2,745.41**	**$0.00**	
[3]HEIDRICK & STRUGGLES INTL INC COM								
Dividend Option: Cash								
Security Identifier: HSII								
100.000	06/08/07	47.0200	4,702.00	31.2400	3,124 00	-1,578 00	52 00	1.66%
[3]HELMERICH &PAYNE INC								
Dividend Option: Cash								
Security Identifier HP								
210.000	06/08/07	32.8600	6,900 60	39.8800	8,374 80	1,474.20	42.00	0 50%

[redacted]

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]HEXCEL CORP NEW COM								
Dividend Option: Cash								
Security Identifier: HXL								
200.000	06/08/07	22.0600	4,412.00	12.9800	2,596.00	-1,816.00		
70.000	09/07/07	20.9850	1,468 93	12.9800	908.60	-560.33		
60 000	12/07/07	26.9860	1,619.14	12.9800	778.80	-840.34		
330.000	**Total**		**$7,500.07**		**$4,283.40**	**-$3,216.67**	**$0.00**	
IAC INTERACTIVECORP COM PAR								
Dividend Option: Cash								
Security Identifier: IACI								
50.000	04/28/09	16.0700	803.49	20.4800	1,024.00	220.51		
70.000	06/18/09	16.2280	1,135.96	20 4800	1,433 60	297.64		
120.000	**Total**		**$1,939.45**		**$2,457.60**	**$518.15**	**$0.00**	
[3]INTERMEC INC								
Dividend Option: Cash								
Security Identifier: IN								
100 000	06/08/07	23.2900	2,329 00	12.8600	1,286.00	-1,043.00		
110.000	10/15/07	24.8680	2,735.43	12.8600	1,414.60	-1,320.83		
80.000	08/07/08	18.9670	1,517.34	12.8600	1,028.80	-488.54		
290.000	**Total**		**$6,581.77**		**$3,729.40**	**-$2,852.37**	**$0.00**	
[3]ION GEOPHYSICAL CORP COM								
Dividend Option: Cash								
Security Identifier: IO								
250 000	06/08/07	15.8500	3,962.50	5.9200	1,480.00	-2,482.50		
90.000	06/20/07	16.7660	1,508.94	5.9200	532 80	-976 14		
150.000	08/16/07	12.4290	1,864.35	5 9200	888.00	-976.35		
490.000	**Total**		**$7,335.79**		**$2,900.80**	**-$4,434.99**	**$0.00**	
[3]ISIS PHARMACEUTICALS INC								
Dividend Option: Cash								
Security Identifier: ISIS								
300.000	07/10/07	11.8850	3,565.53	11.1000	3,330.00	-235.53		
60.000	10/03/07	15.7640	945.85	11.1000	666.00	-279 85		
360.000	**Total**		**$4,511.38**		**$3,996.00**	**-$515.38**	**$0.00**	
KANSAS CITY SOUTHERN COM NEW								
Dividend Option: Cash								
Security Identifier: KSU								
70 000	01/20/09	15.9770	1,118.37	33.2900	2,330.30	1,211.93		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KANSAS CITY SOUTHERN COM NEW *(continued)*								
80.000	03/06/09	13.2600	1,060.77	33.2900	2,663.20	1,602.43		
150.000	**Total**		**$2,179.14**		**$4,993.50**	**$2,814.36**	**$0.00**	
[3]KB HOME COM								
Dividend Option: Cash								
Security Identifier: KBH								
130 000	01/10/08	18 5270	2,408.57	13.6800	1,778.40	-630.17	32 50	1.82%
110 000	10/06/08	17.9030	1,969.35	13 6800	1,504.80	-464 55	27 50	1.82%
240.000	**Total**		**$4,377.92**		**$3,283.20**	**-$1,094.72**	**$60.00**	
[3]L 1 IDENTITY SOLUTIONS INC COM								
Dividend Option: Cash								
Security Identifier: ID								
200.000	06/08/07	20.1400	4,028.00	7 4900	1,498.00	-2,530.00		
80 000	01/20/09	6.3780	510.27	7.4900	599.20	88.93		
280.000	**Total**		**$4,538.27**		**$2,097.20**	**-$2,441.07**	**$0.00**	
LSI CORP COM								
Dividend Option: Cash								
Security Identifier: LSI								
400 000	05/08/09	4.1490	1,659.60	6.0100	2,404.00	744 40		
300.000	05/15/09	3 8600	1,157.88	6 0100	1,803.00	645.12		
700.000	**Total**		**$2,817.48**		**$4,207.00**	**$1,389.52**	**$0.00**	
[3]LAM RESEARCH CORP								
Dividend Option Cash								
Security Identifier: LRCX								
200.000	06/08/07	51.8900	10,378.00	39.2100	7,842.00	-2,536.00		
LAS VEGAS SANDS CORP COM								
Dividend Option· Cash								
Security Identifier: LVS								
310.000	04/08/09	3.9200	1,215.17	14.9400	4,631.40	3,416.23		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LAS VEGAS SANDS CORP COM *(continued)*								
120.000	04/20/09	4.7100	565.20	14.9400	1,792.80	1,227.60		
430.000	**Total**		**$1,780.37**		**$6,424.20**	**$4,643.83**	**$0.00**	
LIBERTY MEDIA HLDG CORP CAP COM SER A								
Dividend Option: Cash								
Security Identifier: LCAPA								
80.000	04/14/09	10.0280	802.21	23.8800	1,910.40	1,108.19		
[3]**LIN TV CORP CL A**								
Dividend Option: Cash								
Security Identifier: TVL								
420.000	06/08/07	19.1860	8,058.31	4.4600	1,873.20	-6,185.11		
90 000	04/11/08	9.7890	880.98	4.4600	401.40	-479.58		
510.000	**Total**		**$8,939.29**		**$2,274.60**	**-$6,664.69**	**$0.00**	
[3]**LODGENET INTERACTIVE CORP COM**								
Dividend Option: Cash								
Security Identifier: LNET								
50.000	06/08/07	32 4760	1,623.81	5.5300	276.50	-1,347.31		
60.000	10/12/07	27.9560	1,677.38	5.5300	331 80	-1,345.58		
150.000	05/02/08	6.4960	974 45	5.5300	829.50	-144.95		
260.000	**Total**		**$4,275.64**		**$1,437.80**	**-$2,837.84**	**$0.00**	
MARSHALL & ILSLEY CORP NEW COM								
Dividend Option: Cash								
Security Identifier: MI								
270.000	09/17/09	8.3800	2,262.55	5.4500	1,471.50	-791 05	10 80	0.73%
[3]**MEADWESTVACO CORP COM**								
Dividend Option: Cash								
Security Identifier: MWV								
150.000	06/08/07	34.7800	5,217.00	28.6300	4,294.50	-922.50	138.00	3.21%
40.000	01/14/08	28.3350	1,133.40	28.6300	1,145.20	11 80	36 80	3 21%
190.000	**Total**		**$6,350.40**		**$5,439.70**	**-$910.70**	**$174.80**	
[3]**MOSAIC CO COM**								
Dividend Option: Cash								
Security Identifier: MOS								
130.000	06/08/07	35.5600	4,622.80	59.7300	7,764.90	3,142.10	26.00	0 33%
[3]**MYRIAD GENETICS INC**								
Dividend Option: Cash								
Security Identifier: MYGN								
280.000	06/08/07	17.8400	4,995.17	26.1000	7,308.00	2,312.83		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MYRIAD GENETICS INC *(continued)*								
30.000	07/29/09	27.9000	836.99	26.1000	783 00	-53.99		
310.000	**Total**		**$5,832.16**		**$8,091.00**	**$2,258.84**	**$0.00**	
3NEW YORK TIMES CO CLASS A								
Dividend Option: Cash								
Security Identifier: NYT								
210.000	01/24/08	14.9820	3,146.12	12.3600	2,595 60	-550.52		
3NOVELLUS SYSTEM INC								
Dividend Option: Cash								
Security Identifier: NVLS								
250.000	06/08/07	29.1500	7,287.50	23.3400	5,835.00	-1,452.50		
3OSI PHARMACEUTICALS INC COM								
Dividend Option: Cash								
Security Identifier: OSIP								
110.000	06/08/07	35 6900	3,925 90	31.0300	3,413.30	-512.60		
30 000	01/07/08	44.5000	1,335.00	31.0300	930.90	-404.10		
140.000	**Total**		**$5,260.90**		**$4,344.20**	**-$916.70**	**$0.00**	
ONYX PHARMACEUTICALS INC COM								
Dividend Option: Cash								
Security Identifier: ONXX								
70.000	06/01/09	23.9990	1,679 91	29.3400	2,053.80	373 89		
60.000	06/05/09	25 3490	1,520.96	29.3400	1,760 40	239.44		
130.000	**Total**		**$3,200.87**		**$3,814.20**	**$613.33**	**$0.00**	
3PDL BIOPHARMA INC COM								
Dividend Option: Cash								
Security Identifier: PDLI								
400.000	06/08/07	24.7700	9,908.00	6.8600	2,744.00	-7,164.00	400.00	14.57%
3PALL CORP								
Dividend Option: Cash								
Security Identifier: PLL								
250.000	06/08/07	45.5200	11,380.00	36.2000	9,050 00	-2,330.00	145.00	1.60%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3PALM INC NEW COM ISIN#US6966431057								
Dividend Option: Cash								
Security Identifier: PALM								
70.000	06/08/07	17.7600	1,243 20	10.0400	702.80	-540.40		
280.000	10/29/07	9.4940	2,658 26	10.0400	2,811.20	152.94		
350.000	**Total**		**$3,901.46**		**$3,514.00**	**-$387.46**	**$0.00**	
3PRECISION CASTPARTS CORP								
Dividend Option: Cash								
Security Identifier: PCP								
110.000	06/08/07	115.3100	12,684.10	110.3500	12,138 50	-545.60	13 20	0.10%
3RTI INTL METALS INC COM								
Dividend Option: Cash								
Security Identifier: RTI								
100.000	06/08/07	85.2900	8,529 00	25.1700	2,517.00	-6,012.00		
70.000	05/02/08	43.2700	3,028.87	25.1700	1,761.90	-1,266.97		
60.000	09/11/09	21.3480	1,280.90	25.1700	1,510.20	229.30		
230.000	**Total**		**$12,838.77**		**$5,789.10**	**-$7,049.67**	**$0.00**	
3RF MICRO DEVICES INC COM								
Dividend Option: Cash								
Security Identifier: RFMD								
650 000	06/08/07	6.3000	4,095 00	4.7700	3,100.50	-994.50		
150.000	09/21/07	6.2500	937 50	4.7700	715.50	-222.00		
800.000	**Total**		**$5,032.50**		**$3,816.00**	**-$1,216.50**	**$0.00**	
RAYMOND JAMES FINL INC COM								
Dividend Option: Cash								
Security Identifier: RJF								
70.000	11/11/08	17.2060	1,204.45	23.7700	1,663.90	459.45	30.80	1.85%
70.000	01/12/09	16.9700	1,187.93	23.7700	1,663.90	475.97	30 80	1.85%
40.000	06/15/09	17.4680	698.72	23.7700	950.80	252.08	17.60	1.85%
180.000	**Total**		**$3,091.10**		**$4,278.60**	**$1,187.50**	**$79.20**	
3REGENERON PHARMACEUTICALS INC								
Dividend Option: Cash								
Security Identifier: REGN								
250.000	06/08/07	18 8600	4,715.00	24.1800	6,045.00	1,330.00		
40 000	08/29/07	18 2690	730.74	24.1800	967 20	236.46		
290.000	**Total**		**$5,445.74**		**$7,012.20**	**$1,566.46**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3ROBERT HALF INTL INC								
Dividend Option: Cash								
Security Identifier: RHI								
200.000	06/08/07	35.5200	7,104 00	26.7300	5,346.00	-1,758 00	96.00	1.79%
3ROWAN COS INC								
Dividend Option: Cash								
Security Identifier: RDC								
100.000	06/08/07	38.1100	3,811.00	22.6400	2,264.00	-1,547 00		
40.000	10/02/07	37 0900	1,483.58	22.6400	905.60	-577.98		
140.000	**Total**		**$5,294.58**		**$3,169.60**	**-$2,124.98**	**$0.00**	
3SAKS INC COM NEW								
Dividend Option: Cash								
Security Identifier: SKS								
170.000	04/10/08	12.3870	2,105 72	6.5600	1,115.20	-990.52		
170.000	05/19/08	14 0540	2,389.20	6.5600	1,115 20	-1,274.00		
120.000	06/17/08	12.5000	1,500.00	6.5600	787.20	-712 80		
460.000	**Total**		**$5,994.92**		**$3,017.60**	**-$2,977.32**	**$0.00**	
3SEACHANGE INTL INC COM								
Dividend Option: Cash								
Security Identifier: SEAC								
330.000	01/03/08	7.5840	2,502.66	6.5700	2,168.10	-334.56		
150.000	09/15/08	7.9790	1,196.88	6.5700	985.50	-211.38		
180.000	12/10/09	5.7860	1,041.43	6.5700	1,182.60	141.17		
660.000	**Total**		**$4,740.97**		**$4,336.20**	**-$404.77**	**$0.00**	
SEATTLE GENETICS INC COM								
Dividend Option: Cash								
Security Identifier: SGEN								
350.000	03/09/09	7.2780	2,547.44	10.1600	3,556.00	1,008.56		

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3SINCLAIR BROADCAST GROUP INC CL A								
Dividend Option: Cash								
Security Identifier: SBGI								
250.000	06/08/07	14.9000	3,725.00	4.0300	1,007.50	-2,717.50		
100.000	03/28/08	9.1990	919.85	4.0300	403.00	-516.85		
350.000	**Total**		**$4,644.85**		**$1,410.50**	**-$3,234.35**	**$0.00**	
3STILLWATER MINING CO COMMON STOCK								
Dividend Option: Cash								
Security Identifier: SWC								
400.000	06/08/07	11.8860	4,754.35	9.4800	3,792 00	-962.35		
210.000	11/12/07	10 0050	2,101.06	9 4800	1,990.80	-110.26		
610.000	**Total**		**$6,855.41**		**$5,782.80**	**-$1,072.61**	**$0.00**	
3SUNOCO INC COM								
Dividend Option: Cash								
Security Identifier: SUN								
100.000	06/08/07	79 0900	7,909 00	26.1000	2,610.00	-5,299 00	120.00	4 59%
70.000	05/02/08	48.3190	3,382.33	26.1000	1,827 00	-1,555.33	84.00	4.59%
170.000	**Total**		**$11,291.33**		**$4,437.00**	**-$6,854.33**	**$204.00**	
3TW TELECOM INC COM								
Dividend Option: Cash								
Security Identifier: TWTC								
250.000	06/08/07	19.4100	4,852.50	17.1400	4,285.00	-567.50		
180.000	08/13/08	15 2790	2,750.15	17.1400	3,085.20	335.05		
430.000	**Total**		**$7,602.65**		**$7,370.20**	**-$232.45**	**$0.00**	
TRIMBLE NAV LTD								
Dividend Option: Cash								
Security Identifier: TRMB								
130.000	11/11/08	20.1980	2,625.74	25 2000	3,276.00	650 26		
50 000	06/18/09	20.1300	1,006.48	25.2000	1,260.00	253.52		
60 000	11/25/09	23.4500	1,406 98	25.2000	1,512.00	105 02		
240.000	**Total**		**$5,039.20**		**$6,048.00**	**$1,008.80**	**$0.00**	
3TRIQUINT SEMICONDUCTOR INC								
Dividend Option: Cash								
Security Identifier: TQNT								
900.000	01/08/07	5.0960	4,586.60	6.0000	5,400.00	813.40		
3UNIFI INC								
Dividend Option: Cash								
Security Identifier: UFI								

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
UNIFI INC *(continued)*								
550.000	06/08/07	2.8600	1,573.00	3.8800	2,134.00	561.00		
3UNITED STATES CELLULAR CORP COM								
Dividend Option· Cash								
Security Identifier: USM								
120 000	06/08/07	85.5700	10,268.40	42.4100	5,089.20	-5,179 20		
3UNITED STS STL CORP NEW COM								
Dividend Option: Cash								
Security Identifier: X								
20.000	06/08/07	125 0900	2,501.80	55.1200	1,102 40	-1,399.40	4.00	0 36%
3VALSPAR CORP								
Dividend Option: Cash								
Security Identifier: VAL								
250 000	06/08/07	27.8700	6,967.50	27.1400	6,785.00	-182.50	160 00	2 35%
3VISHAY INTERTECHNOLOGY INC								
Dividend Option: Cash								
Security Identifier: VSH								
500.000	06/08/07	17.5800	8,790.00	8.3500	4,175 00	-4,615.00		
WADDELL & REED FINL INC CL A								
Dividend Option: Cash								
Security Identifier: WDR								
210.000	11/11/08	11.0010	2,310.25	30.5400	6,413.40	4,103.15	159 60	2.48%
3WHIRLPOOL CORP								
Dividend Option. Cash								
Security Identifier: WHR								
50 000	06/08/07	110.0600	5,503.00	80.6600	4,033.00	-1,470.00	86 00	2.13%
Total Common Stocks			~~$462,790.29~~		$389,077.11	-$74,147.19	$2,663.20	
Total Equities			$462,790.29		$389,077.11	-$74,147.19	$2,663.20	

[redacted]

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized This Period
Short-Term Gain/Loss	0.00	0.00	41,326.40
Long-Term Gain/Loss	0.00	-31,696.84	6,995.30
Net Gain/Loss	**0.00**	**-31,696.84**	**48,321.70**

This summary excludes transactions where cost basis information is not available.

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover-short transactions ("Borrowing Costs").

Customer Service Information

Your Relationship Manager: 01X	Contact Information	Customer Service Information
YOSHIMURA/WEBSTER PRIVATE BANKING USA 2121 AVE OF THE STARS STE 3300 LOS ANGELES CA 90067-5060	**Telephone Number:** (800) 237-5022 **Fax Number:** (310) 282-8053	**Web Site:** www.credit-suisse.com

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 0.00% of Portfolio									
Money Market									
DREYFUS CASH MNGT INVESTOR SH									
	10/01/09	0000027564	08/17/09	0.00	0.00	0 00	0 16	0.00%	0 00%
US TREASURY CASH RESERVE INSTL									
	10/01/09	0000009805	05/20/09	0.00	0.00	0.00	0.16	0.01%	0.01%
Total Money Market				**$0.00**	**$0.00**	**$0.00**	**$0.32**		
Total Cash, Money Funds, and FDIC Deposits				**$0.00**	**$0.00**	**$0.00**	**$0.32**		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 100.00% of Portfolio								
Mutual Funds								
DREYFUS TOTAL RETURN ADVANTAGE								
FUND CLASS A								
Open End Fund								
Security Identifier: DTRAX								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
44,367.378	05/13/09	13.1200	582,100.00	13.0700	579,881 63	-2,218.37	15,617.31	2.69%
9,840 304	08/12/09	13.1500	129,400.00	13.0700	128,612.77	-787.23	3,463.79	2.69%
2,034.318	Reinvestments to Date	13.1510	26,753.95	13.0700	26,588 54	-165 41	716.08	2 69%
56,242.000	**Total**		**$738,253.95**		**$735,082.94**	**-$3,171.01**	**$19,797.18**	
3PIMCO LOW DURATION CLASS A								
Open End Fund								
Security Identifier: PTLAX								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
2,655.479	06/16/05	10.1500	26,953.11	10.2900	27,324.88	371.77	885.51	3.24%
253 189	07/01/05	10 1500	2,569.87	10.2900	2,605.32	35.45	84.43	3.24%
321 871	08/01/05	10.1000	3,250.90	10.2900	3,312 05	61 15	107 33	3.24%
345.440	09/01/05	10.1400	3,502 76	10.2900	3,554.57	51.81	115.19	3.24%
371 209	10/03/05	10.0700	3,738.07	10.2900	3,819 74	81.67	123.79	3.24%
361.143	11/01/05	10.0200	3,618.65	10.2900	3,716.16	97.51	120.43	3.24%
386.651	12/01/05	10.0100	3,870 38	10.2900	3,978.64	108 26	128.93	3.24%
493 781	12/15/05	9.9900	4,932.87	10.2900	5,081.01	148.14	164.66	3.24%
451 815	01/03/06	9.9900	4,513.63	10.2900	4,649 18	135 55	150 66	3.24%
413 305	02/01/06	9.9800	4,124.78	10.2900	4,252.91	128.13	137.82	3.24%
404.526	03/01/06	9.9600	4,029.08	10.2900	4,162 57	133 49	134.90	3.24%
456.820	04/03/06	9.9000	4,522.52	10.2900	4,700.68	178.16	152.33	3 24%
394.243	05/01/06	9.9100	3,906.95	10.2900	4,056.76	149.81	131.47	3.24%
417.293	06/01/06	9 8800	4,122.85	10.2900	4,293 94	171.09	139 15	3.24%
442.155	07/03/06	9.8300	4,346.38	10.2900	4,549.78	203 40	147.44	3 24%
403 176	08/01/06	9.8900	3,987 41	10.2900	4,148.68	161.27	134 45	3.24%
423.390	09/01/06	9.9400	4,208.50	10.2900	4,356.68	148.18	141.19	3.24%
436.609	10/02/06	9.9500	4,344.26	10.2900	4,492.70	148.44	145 59	3.24%
442.724	11/01/06	9.9600	4,409.53	10.2900	4,555 63	146.10	147.63	3.24%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
PIMCO LOW DURATION CLASS A *(continued)*								
441.664	12/01/06	9 9900	4,412.22	10.2900	4,544.72	132.50	147.28	3.24%
16.085	12/14/06	9.9600	160.21	10.2900	165.52	5.31	5.36	3.24%
488.927	01/02/07	9.9100	4,845.27	10.2900	5,031.06	185.79	163.04	3.24%
464.394	01/02/07	9.8700	4,583.57	10.2900	4,778.61	195.04	154.86	3.24%
432.003	03/01/07	9.9400	4,294.11	10.2900	4,445.31	151.20	144.06	3.24%
472.342	04/02/07	9.9500	4,699.80	10.2900	4,860.40	160.60	157.51	3.24%
392.550	05/01/07	9.9200	3,894.10	10.2900	4,039 34	145.24	130.90	3.24%
415.756	06/01/07	9.8100	4,078.57	10 2900	4,278 13	199.56	138.64	3.24%
437.466	07/02/07	9.8000	4,287.17	10.2900	4,501 52	214.35	145.88	3.24%
408.422	08/01/07	9.8700	4,031.13	10.2900	4,202 66	171.53	136.19	3.24%
419.444	09/04/07	9.9100	4,156.69	10.2900	4,316 08	159.39	139.87	3 24%
248.835	10/01/07	10.0400	2,498.30	10 2900	2,560.51	62.21	82.98	3 24%
289.520	11/01/07	10.0600	2,912 57	10.2900	2,979.16	66.59	96.54	3.24%
282.072	12/03/07	10.1800	2,871.49	10.2900	2,902.52	31 03	94.06	3.24%
553.688	12/13/07	10.0800	5,581.18	10.2900	5,697.45	116.27	184.64	3 24%
277.438	01/02/08	10.1100	2,804.90	10.2900	2,854.84	49.94	92 52	3.24%
257.372	02/01/08	10.2900	2,648.36	10.2900	2,648.36	0.00	85.82	3.24%
250.324	03/03/08	10 3100	2,580 84	10.2900	2,575 84	-5.00	83.47	3 24%
235.852	04/01/08	10.1400	2,391.54	10.2900	2,426.92	35.38	78 65	3.24%
232.115	05/01/08	10.1300	2,351.32	10.2900	2,388.47	37.15	77.40	3.24%
246.032	06/02/08	10.1000	2,484.92	10.2900	2,531.67	46.75	82.04	3 24%
280 275	07/01/08	10 0000	2,802.75	10.2900	2,884.03	81.28	93 46	3.24%
232.194	08/01/08	9.9300	2,305 69	10.2900	2,389.28	83.59	77.43	3.24%
243.334	09/02/08	9.9400	2,418.74	10.2900	2,503.90	85.16	81.14	3.24%
267.131	10/01/08	9.5200	2,543.09	10.2900	2,748.78	205.69	89.08	3.24%
38,704.104	02/27/09	9.2600	358,400.00	10.2900	398,265.23	39,865.23	12,906.46	3.24%
12,862.823	08/12/09	10.0600	129,400.00	10.2900	132,358 45	2,958.45	4,289.30	3.24%
4,552 973	Reinvestments to Date	9.5450	43,456.99	10.2900	46,850.09	3,393.10	1,518.26	3.24%
74,279.954	**Total**		**$712,848.02**		**$764,340.73**	**$51,492.71**	**$24,769.76**	
Total Mutual Funds			**$1,451,101.97**		**$1,499,423.67**	**$48,321.70**	**$44,566.94**	
Total Mutual Funds			**$1,451,101.97**		**$1,499,423.67**	**$48,321.70**	**$44,566.94**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$1,451,101.97**	**$1,499,423.67**	**$48,321.70**	**$0.00**	**$44,567.26**

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized This Period
Short-Term Gain/Loss	480.13	-3,238.26	7,301.09
Long-Term Gain/Loss	-1,010.77	-26,340 83	-26,656 96
Net Gain/Loss	**-530.64**	**-29,579.09**	**-19,355.87**

This summary excludes transactions where cost basis information is not available.

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover short transactions ("Borrowing Costs")

Customer Service Information

Your Relationship Manager: 01X	Contact Information	Customer Service Information
YOSHIMURA/WEBSTER PRIVATE BANKING USA 2121 AVE OF THE STARS STE 3300 LOS ANGELES CA 90067-5060	**Telephone Number:** (800) 237-5022 **Fax Number:** (310) 282-8053	**Web Site:** www.credit-suisse.com
Portfolio Manager: TRADEWINDS GLOBAL INVESTORS, LLC		**Portfolio Investment Style:** INTERNATIONAL EQUITY VALUE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 8.00% of Portfolio									
Cash Balance				1,134 36	0.00				
Money Market									
PRIME CASH SERIES									
23,665.590	12/01/09	0000127972	12/31/09	18,386.16	23,665 59	0.00	6.46	0.01%	0 01%
DREYFUS NY MUNI CASH INV SH									
	12/01/09	0000001957	03/27/09	0.00	0.00	0.00	9 78	0 00%	0.00%
Total Money Market				**$18,386.16**	**$23,665.59**	**$0.00**	**$16.24**		
Total Cash, Money Funds, and FDIC Deposits				**$19,520.52**	**$23,665.59**	**$0.00**	**$16.24**		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 92.00% of Portfolio								
Common Stocks								
AXIS CAPITAL HLDGS LTD SHS								
ISIN#BMG0692U1099								
Dividend Option: Cash								
Security Identifier AXS								
95 000	10/22/09	30 5260	2,899 98	28 4100	2,698 95	-201 03	79 80	2 95%
3UBS AG SHS NEW								
ISIN#CH0024899483								
Dividend Option: Cash								
Security Identifier UBS								
12.350	01/18/08	38.9580	481 13	15.5100	191 55	-289 58		
88.200	01/22/08	37.1990	3,280.93	15.5100	1,367 98	-1,912.95		
30 450	02/29/08	31.1820	949 49	15.5100	472.28	-477.21		
42.000	06/19/08	20.1500	846.30	15.5100	651 42	-194.88		
173.000	**Total**		**$5,557.85**		**$2,683.23**	**-$2,874.62**	**$0.00**	
3AEGON N V ORD AMER REG								
Dividend Option: Cash								
Security Identifier: AEG								
100.000	02/17/05	13.1870	1,318.67	6.4100	641.00	-677.67	81.33	12.68%
105.000	10/24/08	4.4090	462.99	6 4100	673.05	210.06	85 39	12.68%
203.000	11/14/08	4.9400	1,002.74	6.4100	1,301.23	298.49	165 10	12.68%
408.000	**Total**		**$2,784.40**		**$2,615.28**	**-$169.12**	**$331.82**	
3ALCATEL LUCENT SPON ADR								
Dividend Option: Cash								
Security Identifier: ALU								
412.000	07/20/07	13.7370	5,659 60	3.3200	1,367 84	-4,291.76		
424 000	08/15/07	10.8900	4,617.36	3.3200	1,407.68	-3,209 68		
519 000	09/24/07	9.0180	4,680.13	3.3200	1,723.08	-2,957.05		
1,355.000	**Total**		**$14,957.09**		**$4,498.60**	**-$10,458.49**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3ALUMINA LTD SPONSORED ADR								
Dividend Option. Cash								
Security Identifier: AWC								
144.000	02/18/05	18.8540	2,715.00	6 5500	943.20	-1,771.80	109.09	11.56%
45.000	08/03/06	19.6310	883.41	6.5500	294.75	-588 66	34.09	11.56%
136.000	08/17/07	18 7080	2,544.34	6.5500	890 80	-1,653.54	103.04	11.56%
325.000	**Total**		**$6,142.75**		**$2,128.75**	**-$4,014.00**	**$246.22**	
3ANGLOGOLD ASHANTI LTD SPONORED ADR								
ISIN#US0351282068								
Dividend Option: Cash								
Security Identifier: AU								
24.000	02/12/08	34.8000	835.19	40.1800	964.32	129.13	3.04	0.31%
58.000	03/17/08	34.0490	1,974.82	40.1800	2,330.44	355.62	7.34	0 31%
82.000	07/10/08	24.6150	2,018.40	40.1800	3,294.76	1,276.36	10.37	0.31%
164.000	**Total**		**$4,828.41**		**$6,589.52**	**$1,761.11**	**$20.75**	
3BP PLC SPONS ADR								
Dividend Option· Cash								
Security Identifier: BP								
4 000	02/26/07	64 3450	257 38	57.9700	231.88	-25 50	13 44	5.79%
77.000	06/01/07	67.4850	5,196.37	57.9700	4,463.69	-732.68	258 72	5.79%
39 000	08/15/07	64.8900	2,530.71	57.9700	2,260 83	-269.88	131.04	5.79%
120.000	**Total**		**$7,984.46**		**$6,956.40**	**-$1,028.06**	**$403.20**	
3BARRICK GOLD CORP COM								
ISIN#CA0679011084								
Dividend Option· Cash								
Security Identifier: ABX								
62.000	08/15/07	32.4600	2,012.52	39.3800	2,441.56	429.04		
22.000	03/20/08	43.0580	947.28	39.3800	866.36	-80.92		
56.000	04/29/08	37.5740	2,104.12	39 3800	2,205.28	101.16		
22.000	04/09/09	28.8160	633.95	39.3800	866.36	232.41		
62.000	08/18/09	33 4730	2,075.34	39 3800	2,441.56	366.22		
36 000	12/17/09	38.4820	1,385.35	39.3800	1,417 68	32.33		
260.000	**Total**		**$9,158.56**		**$10,238.80**	**$1,080.24**	**$0.00**	
3CAMECO CORP COM ISIN#CA13321L1085								
Dividend Option: Cash								
Security Identifier: CCJ								
46.000	08/07/08	32.8680	1,511.94	32.1700	1,479 82	-32.12		

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CAMECO CORP COM ISIN#CA13321L1085 *(continued)*								
68.000	09/11/08	23 8860	1,624 27	32.1700	2,187.56	563.29		
114.000	**Total**		**$3,136.21**		**$3,667.38**	**$531.17**	**$0.00**	
CAREFOUR SA ADR								
Dividend Option: Cash								
Security Identifier: CRERY								
157.000	09/25/09	9.0590	1,422.26	9 6300	1,511 91	89 65	34 93	2.31%
161.000	10/05/09	8.7390	1,406.90	9.6300	1,550.43	143.53	35 82	2.31%
143.000	10/22/09	9.1590	1,309.69	9 6300	1,377 09	67.40	31.82	2.31%
170 000	10/28/09	8 8010	1,496.14	9.6300	1,637.10	140.96	37.82	2.31%
631.000	**Total**		**$5,634.99**		**$6,076.53**	**$441.54**	**$140.39**	
[3]ELECTROBRAS CENTRAIS ELECTRICIAS ADR								
ISIN#US15234Q2075								
Dividend Option: Cash								
Security Identifier: EBR								
84.000	08/17/07	11.5650	971.44	21.0900	1,771.56	800.12		
[3]DAI NIPPON PRTG LTD JAPAN SPON ADR								
ISIN#US2338063066								
Dividend Option: Cash								
Security Identifier: DNPLY								
206 000	06/19/06	15 7190	3,238 12	12.6860	2,613 32	-624.80	53.40	2 04%
[3]DAIWA HOUSE IND LTD ADR								
Dividend Option: Cash								
Security Identifier: DWAHY								
8 000	11/20/07	118.8540	950.83	106.7730	854.18	-96.65	18.39	2.15%
15 000	02/13/08	104.9530	1,574 30	106.7730	1,601.60	27.30	34.49	2.15%
5.000	03/11/08	96.1260	480.63	106.7730	533.87	53.24	11.50	2.15%
11.000	09/04/08	90 4760	995.24	106.7730	1,174.50	179.26	25 29	2 15%
39.000	**Total**		**$4,001.00**		**$4,164.15**	**$163.15**	**$89.67**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
EMBRAER EMPRESA BRASILEIRA DE AERONAUTICA S A SPONSORED ADR REPSTG COM SHS								
Dividend Option. Cash								
Security Identifier: ERJ								
63.000	10/30/09	22.0550	1,389.47	22.1100	1,392.93	3.46		
72.000	11/03/09	20.1450	1,450.42	22.1100	1,591.92	141.50		
135.000	**Total**		**$2,839.89**		**$2,984.85**	**$144.96**	**$0.00**	
3FUJIFILM HLDGS CORP ADR 2 ORD ISIN#US35958N1072								
Dividend Option: Cash								
Security Identifier: FUJIY								
180.000	05/10/05	35.2010	6,336.18	29.9690	5,394.42	-941.76	37.25	0.69%
8.000	12/13/05	33.0490	264 39	29.9690	239.75	-24.64	1 66	0.69%
60.000	08/15/07	43.5900	2,615 40	29.9690	1,798.14	-817.26	12 41	0.69%
248.000	**Total**		**$9,215.97**		**$7,432.31**	**-$1,783.66**	**$51.32**	
3GOLD FIELDS LTD NEW SPONS ADR								
Dividend Option· Cash								
Security Identifier: GFI								
241.000	02/05/08	13.5830	3,273.57	13.1100	3,159.51	-114.06	31.77	1.00%
52 000	04/07/08	13.8530	720.38	13.1100	681.72	-38.66	6.86	1.00%
50 000	05/12/08	14.0140	700 72	13 1100	655.50	-45 22	6 59	1.00%
76.000	05/13/08	13.8010	1,048.88	13.1100	996.36	-52.52	10.02	1.00%
419.000	**Total**		**$5,743.55**		**$5,493.09**	**-$250.46**	**$55.24**	
3HACHIJUNI BK LTD ADR								
Dividend Option: Cash								
Security Identifier. HACBY								
8.000	05/28/08	66.5780	532.62	58 0050	464.04	-68.58	3.65	0.78%
5.000	05/29/08	67.2100	336.05	58.0050	290.03	-46.02	2.28	0.78%
15.000	07/17/08	63.1050	946.58	58.0050	870.08	-76.50	6.85	0.78%
9 000	07/18/08	62.9000	566.10	58.0050	522.05	-44 05	4.11	0.78%
14.000	10/05/09	53.3460	746.84	58.0050	812.06	65 22	6.40	0.78%
51.000	**Total**		**$3,128.19**		**$2,958.26**	**-$169.93**	**$23.29**	
3IMPALA PLTUM HLD LTD SPONSORED ADR REPSTEG 1/4 SH								
Dividend Option· Cash								
Security Identifier: IMPUY								
19 000	08/19/08	26.9600	512.24	27.5660	523 75	11.51	6.73	1.28%
62.000	09/09/08	24.6510	1,528.35	27.5660	1,709 09	180.74	21 95	1.28%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
IMPALA PLTUM HLD LTD SPONSORED ADR *(continued)*								
31.000	03/12/09	13.5290	419.41	27.5660	854.55	435.14	10.97	1.28%
112.000	**Total**		**$2,460.00**		**$3,087.39**	**$627.39**	**$39.65**	
3IVANHOE MINES LTD ISIN#CA46579N1033								
Dividend Option· Cash								
Security Identifier: IVN								
169.000	01/14/08	10 5700	1,786 28	14.6100	2,469 09	682.81		
KAO CORP SPONSORED ADR REPSTG								
10 SHS COM								
Dividend Option: Cash								
Security Identifier: KCRPY								
50 000	04/22/09	19.5600	978.00	23.3630	1,168.15	190.15	28.29	2.42%
170.000	04/23/09	20.1930	3,432.77	23.3630	3,971.71	538.94	96.19	2.42%
80 000	05/20/09	21.2910	1,703.31	23 3630	1,869.04	165.73	45.27	2.42%
300.000	**Total**		**$6,114.08**		**$7,008.90**	**$894.82**	**$169.75**	
KINROSS GOLD CORP COM NO PAR								
ISIN#CA4969024047								
Dividend Option: Cash								
Security Identifier KGC								
136 000	07/07/09	18.4950	2,515 29	18.4810	2,513 42	-1.87	13.60	0.54%
70.000	08/19/09	18 3920	1,287.42	18.4810	1,293.67	6 25	7.00	0.54%
80.000	08/20/09	18.6950	1,495 60	18 4810	1,478 48	-17 12	8.00	0.54%
101.000	10/30/09	18.2640	1,844.64	18.4810	1,866.60	21.96	10.10	0.54%
387.000	**Total**		**$7,142.95**		**$7,152.17**	**$9.22**	**$38.70**	
3KOREA ELEC PWR CO SPONSORED ADR RPSTG								
ISIN#US5006311063 1/2 SHS								
Dividend Option: Cash								
Security Identifier: KEP								
55 000	06/07/04	9.0570	498 15	14.5400	799 70	301.55		
189 000	02/17/05	14.1420	2,672.91	14.5400	2,748.06	75.15		
35.000	09/21/06	19.8560	694.97	14.5400	508 90	-186 07		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KOREA ELEC PWR CO SPONSORED ADR RPSTG *(continued)*								
79.000	08/15/07	21 9300	1,732.47	14.5400	1,148.66	-583.81		
358.000	**Total**		**$5,598.50**		**$5,205.32**	**-$393.18**	**$0.00**	
[3]MAGNA INTERNATIONAL INC CLASS A								
ISIN#CA5592224011								
Dividend Option· Cash								
Security Identifier MGA								
2.000	03/14/08	69.6650	139.33	50 5800	101.16	-38 17		
65.000	04/29/08	76.5970	4,978.80	50.5800	3,287.70	-1,691.10		
17 000	07/10/08	56 3490	957 94	50.5800	859 86	-98.08		
84.000	**Total**		**$6,076.07**		**$4,248.72**	**-$1,827.35**	**$0.00**	
[3]MITSUI SUMITOMO INS GRP HLDGS INC ADR								
ISIN#US60684V1089								
Dividend Option: Cash								
Security Identifier: MSIGY								
120.000	10/15/07	20.5470	2,465.67	12.7020	1,524.24	-941.43	27.52	1.80%
198 000	12/03/07	18.1720	3,598.03	12.7020	2,515.00	-1,083 03	45.42	1.80%
318.000	**Total**		**$6,063.70**		**$4,039.24**	**-$2,024.46**	**$72.94**	
[3]NEWCREST MINING LTD ADR								
Dividend Option· Cash								
Security Identifier. NCMGY								
35.000	07/12/06	15.5950	545.84	31.7740	1,112.09	566.25	4.23	0.38%
68.000	08/12/08	20 7870	1,413 51	31.7740	2,160.63	747.12	8.22	0.38%
20.000	08/07/09	25.1890	503.78	31.7740	635.48	131.70	2.42	0.38%
37 000	08/10/09	25 1140	929 23	31.7740	1,175.64	246.41	4.47	0.38%
160.000	**Total**		**$3,392.36**		**$5,083.84**	**$1,691.48**	**$19.34**	
[3]NEXEN INC COM SHS								
ISIN#CA65334H1029								
Dividend Option: Cash								
Security Identifier: NXY								
60.000	10/04/07	30.3980	1,823.90	23.9300	1,435.80	-388.10		
32.000	08/05/08	30.2360	967.55	23.9300	765 76	-201.79		
82.000	01/29/09	15.0530	1,234.38	23.9300	1,962.26	727.88		
69 000	10/28/09	21 9550	1,514.92	23 9300	1,651.17	136.25		
243.000	**Total**		**$5,540.75**		**$5,814.99**	**$274.24**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NINTENDO LTD ADR								
Dividend Option: Cash								
Security Identifier: NTDOY								
39.000	08/03/09	33.9500	1,324.04	29.5930	1,154 13	-169 91	48.84	4.23%
41 000	08/20/09	32 3690	1,327 14	29 5930	1,213 31	-113 83	51 35	4 23%
48.000	08/27/09	32.4840	1,559.21	29.5930	1,420.46	-138.75	60.11	4.23%
128.000	**Total**		**$4,210.39**		**$3,787.90**	**-$422.49**	**$160.30**	
3NIPPON TELEG & TELEPHONE CORP								
SPONSORED ADR								
Dividend Option· Cash								
Security Identifier: NTT								
159.000	08/15/07	21.4390	3,408.83	19.7400	3,138.66	-270.17	91.27	2.90%
95.000	09/26/07	22.3800	2,126 09	19.7400	1,875.30	-250.79	54.53	2.90%
77.000	09/27/07	22.9310	1,765 68	19 7400	1,519.98	-245.70	44.20	2.90%
85.000	04/14/09	19.3420	1,644 04	19 7400	1,677.90	33.86	48.80	2.90%
416.000	**Total**		**$8,944.64**		**$8,211.84**	**-$732.80**	**$238.80**	
NOKIA CORP SPONSORED ADR								
Dividend Option: Cash								
Security Identifier: NOK								
159 000	02/02/09	12.0840	1,921.42	12.8500	2,043.15	121.73	62.49	3.05%
66.000	02/19/09	10.6550	703 20	12 8500	848 10	144.90	25 94	3 05%
65.000	07/20/09	13.1090	852.06	12.8500	835.25	-16.81	25.55	3.05%
129.000	11/18/09	13 9500	1,799.61	12.8500	1,657.65	-141 96	50 69	3.05%
419.000	**Total**		**$5,276.29**		**$5,384.15**	**$107.86**	**$164.67**	
NOVARTIS AG SPONSORED ADR								
Dividend Option. Cash								
Security Identifier: NVS								
69.000	02/17/09	42.1350	2,907.30	54.4300	3,755.67	848.37	100.31	2.67%
49.000	04/14/09	36.8920	1,807 71	54 4300	2,667 07	859.36	71 23	2.67%
35.000	05/08/09	38.0480	1,331.69	54.4300	1,905 05	573.36	50.88	2.67%
153.000	**Total**		**$6,046.70**		**$8,327.79**	**$2,281.09**	**$222.42**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3PANASONIC CORP ADR								
ISIN#US69832A2050								
Dividend Option: Cash								
Security Identifier: PC								
94.000	08/15/07	17.2500	1,621.50	14.3500	1,348.90	-272 60	11.55	0.85%
134.000	08/16/07	17.3080	2,319.33	14.3500	1,922.90	-396.43	16.47	0.85%
228.000	**Total**		**$3,940.83**		**$3,271.80**	**-$669.03**	**$28.02**	
REXAM PLC SPONSORED ADR NEW								
Dividend Option· Cash								
Security Identifier: REXMY								
60 000	11/18/09	24.3720	1,462.29	23.4640	1,407.84	-54.45	100 49	7.13%
ROHM CO LTD ADR								
Dividend Option: Cash								
Security Identifier ROHCY								
45.000	01/16/09	24.8550	1,118.49	32.5470	1,464.62	346.13	27.17	1.85%
39.000	01/30/09	25.3150	987.30	32.5470	1,269 33	282.03	23 55	1.85%
84.000	**Total**		**$2,105.79**		**$2,733.95**	**$628.16**	**$50.72**	
3ROYAL DUTCH SHELL PLC SPONSORED ADR								
RESPTG B SHS								
Dividend Option: Cash								
Security Identifier: RDS B								
3.000	12/16/05	64.4030	193.21	58.1300	174.39	-18.82	10.08	5.78%
34.000	03/03/06	64.7080	2,200.08	58.1300	1,976.42	-223.66	114 24	5.78%
24.000	02/27/07	67.2290	1,613.50	58.1300	1,395.12	-218 38	80.64	5.78%
48 000	08/15/07	73.6900	3,537.12	58.1300	2,790 24	-746.88	161.28	5.78%
27.000	02/13/08	69.7940	1,884.45	58.1300	1,569.51	-314.94	90.72	5.78%
136.000	**Total**		**$9,428.36**		**$7,905.68**	**-$1,522.68**	**$456.96**	
3SK TELECOM LTD SPONSORED ADR								
ISIN#US78440P1084								
Dividend Option: Cash								
Security Identifier SKM								
66.000	02/13/08	22.5630	1,489.18	16.2600	1,073.16	-416.02	38.89	3.62%
44.000	04/24/08	22.1020	972.49	16 2600	715 44	-257.05	25.93	3.62%
25.000	06/09/08	21.2150	530.38	16.2600	406.50	-123.88	14 73	3.62%
21.000	06/10/08	21.2750	446.78	16.2600	341 46	-105.32	12.38	3.62%
114 000	04/14/09	16.2730	1,855 17	16.2600	1,853.64	-1 53	67 18	3.62%
177 000	05/20/09	15.9110	2,816.19	16 2600	2,878.02	61.83	104 31	3.62%
40.000	08/19/09	15.7300	629.19	16.2600	650.40	21.21	23.57	3.62%
487.000	**Total**		**$8,739.38**		**$7,918.62**	**-$820.76**	**$286.99**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3SANOFI AVENTIS SPONS ADR								
ISIN#US80105N1054								
Dividend Option. Cash								
Security Identifier: SNY								
34.000	08/15/07	39 4600	1,341 64	39.2700	1,335 18	-6 46	37.99	2.84%
22.000	04/08/08	38 4200	845 23	39 2700	863.94	18.71	24.58	2.84%
21.000	04/14/08	38.1280	800.69	39.2700	824.67	23.98	23.46	2.84%
60.000	05/16/08	37.1830	2,230.98	39 2700	2,356.20	125.22	67.04	2.84%
31.000	08/01/08	35.4290	1,098.29	39.2700	1,217.37	119.08	34 64	2.84%
49.000	07/01/09	30.1960	1,479.61	39.2700	1,924.23	444.62	54.75	2.84%
217.000	**Total**		**$7,796.44**		**$8,521.59**	**$725.15**	**$242.46**	
3SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM								
SEGA SAMMY HLDGS INC ISIN#US8157941027								
Dividend Option: Cash								
Security Identifier SGAMY								
1,556.000	11/19/07	3.3200	5,166.08	3.0500	4,745.80	-420.28	181.44	3.82%
3SEKISUI HOUSE LTD SPONSORED ADR								
Dividend Option· Cash								
Security Identifier: SKHSY								
35.000	10/10/07	12.1600	425.60	9.0120	315 42	-110 18	6 54	2.07%
216.000	10/11/07	12.1300	2,620.08	9.0120	1,946 59	-673 49	40.36	2.07%
128 000	03/06/08	9 1990	1,177.51	9 0120	1,153 54	-23.97	23 92	2 07%
379.000	**Total**		**$4,223.19**		**$3,415.55**	**-$807.64**	**$70.82**	
SEVEN & I HLDGS CO LTD								
ISIN#US81783H1059								
Dividend Option. Cash								
Security Identifier: SVNDY								
9.000	12/24/08	63 0420	567.38	41.4000	372.60	-194.78	9.83	2.63%
20 000	01/07/09	59 0180	1,180.35	41 4000	828.00	-352.35	21.85	2.63%
35 000	01/08/09	59.1690	2,070.92	41.4000	1,449.00	-621.92	38 24	2.63%
24.000	02/18/09	50.3670	1,208 81	41 4000	993.60	-215.21	26.22	2.63%
14.000	04/13/09	42.7810	598.93	41 4000	579.60	-19.33	15.30	2.63%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SEVEN & I HLDGS CO LTD *(continued)*								
34 000	06/04/09	47.3900	1,611 26	41.4000	1,407.60	-203 66	37.15	2.63%
31.000	08/20/09	46 8460	1,452.23	41.4000	1,283.40	-168.83	33 87	2 63%
167.000	**Total**		**$8,689.88**		**$6,913.80**	**-$1,776.08**	**$182.46**	
3SHISEIDO LTD SPON ADR								
Dividend Option: Cash								
Security Identifier SSDOY								
215 000	02/18/05	13.9460	2,998 41	19.1310	4,113 17	1,114.76	98 20	2.38%
83 000	12/03/08	17.5230	1,454.41	19.1310	1,587.87	133 46	37 91	2.38%
47.000	03/31/09	14 7860	694.96	19 1310	899 16	204.20	21 47	2 38%
345.000	**Total**		**$5,147.78**		**$6,600.20**	**$1,452.42**	**$157.58**	
3SIEMENS A G SPONSORED ADR								
ISIN#US8261975010								
Dividend Option: Cash								
Security Identifier: SI								
31.000	09/29/08	92.0790	2,854.46	91.7000	2,842.70	-11.76		
28.000	10/24/08	50.3710	1,410.40	91.7000	2,567.60	1,157.20		
59.000	**Total**		**$4,264.86**		**$5,410.30**	**$1,145.44**	**$0.00**	
3SOCIETE GENERALE FRANCE SPON ADR								
Dividend Option: Cash								
Security Identifier SCGLY								
45.000	10/17/08	12.0800	543.60	14.0460	632.07	88 47	12 92	2.04%
20.000	11/24/08	7.0320	140.63	14.0460	280.92	140.29	5.74	2.04%
112.000	01/16/09	8.4600	947.56	14.0460	1,573.15	625.59	32.17	2.04%
177.000	**Total**		**$1,631.79**		**$2,486.14**	**$854.35**	**$50.83**	
3SUMITOMO TR & BKG LTD SPONSORED ADR								
Dividend Option: Cash								
Security Identifier: STBUY								
511.000	01/16/08	6 3970	3,268 66	4.8660	2,486 53	-782.13	30.25	1.21%
3SWISSCOM SPON ADR								
Dividend Option: Cash								
Security Identifier: SCMWY								
6 000	02/18/05	39.4900	236.94	38.2680	229.61	-7.33	8.34	3.63%
92.000	01/27/06	31.2700	2,876.88	38.2680	3,520 66	643.78	127.88	3.63%
98 000	08/17/07	33.8600	3,318.28	38.2680	3,750.26	431.98	136.22	3.63%
196.000	**Total**		**$6,432.10**		**$7,500.53**	**$1,068.43**	**$272.44**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3TDK CORP AMERICAN DEP SHS								
ISIN#US8723514084								
Dividend Option. Cash								
Security Identifier: TTDKY								
13 000	10/21/08	34 6860	450.92	60 6910	788.98	338.06	10.95	1.38%
34.000	12/12/08	33.2810	1,131.56	60.6910	2,063.50	931.94	28.65	1.38%
47.000	**Total**		**$1,582.48**		**$2,852.48**	**$1,270.00**	**$39.60**	
TECHNIP SPONS ADR ISIN#US8785462099								
Dividend Option. Cash								
Security Identifier TKPPY								
44.000	11/20/08	23 9380	1,053.28	70.8760	3,118 54	2,065.26	53.94	1 72%
3TELECOM ITALIA S P A NEW SPON ADR REPSTG								
SVGS SHS ISIN#US87927Y2019								
Dividend Option. Cash								
Security Identifier: TI A								
16.000	02/18/05	31 7320	507.71	11.0000	176.00	-331.71	11.12	6.31%
59.000	06/02/05	26.5410	1,565.90	11.0000	649.00	-916.90	41.01	6.31%
46.000	09/14/05	27.2990	1,255.76	11.0000	506 00	-749.76	31.97	6.31%
40.000	09/14/05	27.2990	1,091.96	11.0000	440.00	-651.96	27.80	6.31%
100 000	11/17/05	23.1120	2,311.18	11.0000	1,100.00	-1,211.18	69.51	6.31%
60.000	11/17/05	23.1120	1,386 71	11 0000	660.00	-726.71	41.71	6 31%
59 000	11/18/05	23.2410	1,371.19	11.0000	649 00	-722 19	41.01	6.31%
81 000	05/09/06	26.8040	2,171.12	11.0000	891.00	-1,280.12	56 30	6.31%
104.000	08/17/07	20 3490	2,116.33	11.0000	1,144.00	-972.33	72.30	6.31%
565.000	**Total**		**$13,777.86**		**$6,215.00**	**-$7,562.86**	**$392.73**	
3TOPPAN PRTG LTD ADR								
Dividend Option· Cash								
Security Identifier: TONPY								
35 000	05/11/07	52.6450	1,842.58	40.3350	1,411.73	-430.85	37.22	2.63%
64 000	05/14/07	52.9830	3,390.88	40.3350	2,581.44	-809 44	68.06	2.63%
99.000	**Total**		**$5,233.46**		**$3,993.17**	**-$1,240.29**	**$105.28**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3UNITED UTILS GROUP PLC SPON ADR								
Dividend Option. Cash								
Security Identifier: UUGRY								
146.000	10/17/08	22.0150	3,214.16	16.0100	2,337.46	-876 70	156.88	6.71%
70.000	12/10/08	17.5780	1,230.45	16 0100	1,120.70	-109.75	75 22	6.71%
36.000	12/11/08	17 7350	638 47	16.0100	576.36	-62.11	38.68	6.71%
252.000	**Total**		**$5,083.08**		**$4,034.52**	**-$1,048.56**	**$270.78**	
3VODAFONE GROUP PLC SPON ADR NEW								
ISIN#US92857W2098								
Dividend Option. Cash								
Security Identifier: VOD								
62.000	06/17/08	29.7420	1,843 98	23.0900	1,431.58	-412 40	80.05	5.59%
72.000	07/22/08	25.9190	1,866.17	23.0900	1,662.48	-203.69	92.96	5 59%
77 000	09/29/08	21.3370	1,642.98	23.0900	1,777.93	134.95	99.41	5.59%
105.000	10/28/08	15.9410	1,673 76	23.0900	2,424.45	750.69	135.56	5.59%
316.000	**Total**		**$7,026.89**		**$7,296.44**	**$269.55**	**$407.98**	
3WACOAL HLDGS CORP ADR								
ISIN#US9300042051								
Dividend Option: Cash								
Security Identifier: WACLY								
19.000	10/17/07	59.2230	1,125 24	54.9500	1,044.05	-81.19	22.48	2.15%
15 000	10/18/07	58.7190	880.79	54.9500	824.25	-56.54	17.74	2.15%
10.000	10/19/07	58.8590	588.59	54.9500	549.50	-39 09	11.83	2.15%
21 000	10/22/07	58.7990	1,234.78	54 9500	1,153.95	-80.83	24.84	2 15%
65.000	**Total**		**$3,829.40**		**$3,571.75**	**-$257.65**	**$76.89**	
WOLTERS KLUWER N V SPON ADR								
Dividend Option Cash								
Security Identifier: WTKWY								
65.000	02/24/09	16.2860	1,058.58	21.9520	1,426 88	368.30	46.31	3.24%
72.000	04/29/09	16 6040	1,195.46	21 9520	1,580.55	385.09	51.30	3.24%
63.000	06/03/09	19.4220	1,223.58	21.9520	1,382.98	159.40	44 89	3.24%
88.000	06/12/09	17.6730	1,555.19	21.9520	1,931.77	376.58	62.70	3.24%
288.000	**Total**		**$5,032.81**		**$6,322.18**	**$1,289.37**	**$205.20**	
Total Common Stocks			**$275,792.26**		**$254,114.73**	**-$21,677.53**	**$6,285.53**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Preferred Stocks *(Listed by expiration date)*								
3CENTRAIS ELECTRICAS BRASILEIRAS SA SPON								
ADR REPSTG 50 PFD CL B								
Dividend Option: Cash								
Security Identifier: EBR B								
139.000	12/19/07	12 7820	1,776 72	18.7000	2,599 30	822.58		
19.000	10/10/08	8.4770	161.06	18.7000	355.30	194.24		
140.000	10/10/08	9.3800	1,313.16	18.7000	2,618.00	1,304.84		
298.000	**Total**		**$3,250.94**		**$5,572.60**	**$2,321.66**	**$0.00**	
Total Preferred Stocks			**$3,250.94**		**$5,572.60**	**$2,321.66**	**$0.00**	
Total Equities			**$279,043.20**		**$259,687.33**	**-$19,355.87**	**$6,285.53**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$302,708.79**	**$283,352.92**	**-$19,355.87**	**$0.00**	**$6,301.77**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested You will not receive confirmation of these reinvestments However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised

[redacted]

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized This Period
Short-Term Gain/Loss	0 00	3,967.50	25,917.60
Long-Term Gain/Loss	0.00	-19,782.19	31,038.11
Net Gain/Loss	**0.00**	**-15,814.69**	**56,955.71**

This summary excludes transactions where cost basis information is not available.

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover short transactions ("Borrowing Costs").

Customer Service Information

Your Relationship Manager: 01X	Contact Information	Customer Service Information
YOSHIMURA/WEBSTER PRIVATE BANKING USA 2121 AVE OF THE STARS STE 3300 LOS ANGELES CA 90067-5060	**Telephone Number:** (800) 237-5022 **Fax Number:** (310) 282-8053	**Web Site:** www.credit-suisse.com

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 7.00% of Portfolio									
Money Market									
PRIME CASH SERIES									
60,701.270	12/01/09	0000127348	12/31/09	101,311.96	60,701.27	0.00	46.63	0.01%	0.01%
DREYFUS TAX EXEMPT INV SH									
	12/01/09	0000005529	03/16/09	0.00	0.00	0.00	48 24	0.00%	0.00%
Total Money Market				**$101,311.96**	**$60,701.27**	**$0.00**	**$94.87**		
Total Cash, Money Funds, and FDIC Deposits				**$101,311.96**	**$60,701.27**	**$0.00**	**$94.87**		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 93.00% of Portfolio								
Common Stocks								
3SEAGATE TECHNOLOGY SHS								
ISIN#KYG7945J1040								
Dividend Option. Cash								
Security Identifier: STX								
850.000	03/10/06	23.9860	20,388.07	18 2000	15,470 00	-4,918 07		
450.000	06/12/06	22.1070	9,947.96	18.2000	8,190.00	-1,757.96		
1,300.000	**Total**		**$30,336.03**		**$23,660.00**	**-$6,676.03**	**$0.00**	
WEATHERFORD INTL LTD REG								
ISIN#CH0038838394								
Dividend Option. Cash								
Security Identifier: WFT								
370.000	01/17/08	30.5100	11,288 52	17 9300	6,634.10	-4,654.42		
450 000	02/03/09	10.5000	4,725:00	17 9300	8,068 50	3,343.50		
820.000	**Total**		**$16,013.52**		**$14,702.60**	**-$1,310.92**	**$0.00**	
TRANSOCEAN LTD ZUG NAMEN AKT								
ISIN#CH0048265513								
Dividend Option· Cash								
Security Identifier: RIG								
105.000	10/28/09	83.5230	8,769.89	82.7500	8,688.75	-81.14		
55 000	11/19/09	86.9100	4,780.05	82.7500	4,551 25	-228.80		
6.000	12/04/09	82.8670	497.20	82.7500	496 50	-0.70		
55 000	12/08/09	82.5400	4,539.70	82 7500	4,551 25	11 55		
221.000	**Total**		**$18,586.84**		**$18,287.75**	**-$299.09**	**$0.00**	
3ABBOTT LABS COM								
Dividend Option Cash								
Security Identifier: ABT								
16.000	02/13/04	43.5280	696 44	53 9900	863 84	167.40	25.60	2.96%
85.000	06/16/05	49.0800	4,171.80	53.9900	4,589.15	417.35	136 00	2.96%
140 000	07/26/07	51.4690	7,205.61	53.9900	7,558.60	352.99	224 00	2 96%
175 000	04/15/09	42 5000	7,437.50	53.9900	9,448 25	2,010.75	280.00	2.96%
416.000	**Total**		**$19,511.35**		**$22,459.84**	**$2,948.49**	**$665.60**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3AETNA US HEALTHCARE INC COM								
Dividend Option: Cash								
Security Identifier: AET								
240.000	05/12/06	39.9000	9,576.00	31.7000	7,608.00	-1,968.00	9 60	0.12%
70.000	08/14/07	47.4300	3,320.10	31.7000	2,219.00	-1,101.10	2.80	0.12%
310.000	**Total**		**$12,896.10**		**$9,827.00**	**-$3,069.10**	**$12.40**	
3AFFILIATED MANAGERS GROUP INC COM								
Dividend Option: Cash								
Security Identifier: AMG								
70 000	05/23/03	32.2430	2,257.00	67.3500	4,714.50	2,457.50		
105.000	10/23/03	45.6000	4,788.00	67.3500	7,071.75	2,283.75		
115.000	06/16/05	66 6800	7,668 20	67.3500	7,745.25	77 05		
45.000	08/14/07	106.0700	4,773 15	67.3500	3,030.75	-1,742.40		
335.000	**Total**		**$19,486.35**		**$22,562.25**	**$3,075.90**	**$0.00**	
3AGILENT TECHNOLOGIES INC COM								
Dividend Option: Cash								
Security Identifier: A								
150.000	03/16/04	28.3240	4,248.53	31.0700	4,660.50	411.97		
180.000	06/16/05	23.2520	4,185.32	31.0700	5,592.60	1,407.28		
70.000	08/14/07	36.6100	2,562.70	31.0700	2,174.90	-387 80		
400.000	**Total**		**$10,996.55**		**$12,428.00**	**$1,431.45**	**$0.00**	
AMGEN INC COM								
Dividend Option: Cash								
Security Identifier: AMGN								
160.000	10/22/09	56.7800	9,084.78	56.5700	9,051 20	-33.58		
160.000	10/23/09	56.2500	9,000.00	56.5700	9,051.20	51.20		
320.000	**Total**		**$18,084.78**		**$18,102.40**	**$17.62**	**$0.00**	
APACHE CORP								
Dividend Option: Cash								
Security Identifier: APA								
230.000	07/08/09	67.3730	15,495.70	103.1700	23,729.10	8,233.40	138 00	0.58%
3APPLE INC COM								
Dividend Option: Cash								
Security Identifier: AAPL								
110.000	02/27/07	86.5760	9,523.40	210.8600	23,194.60	13,671 20		
35 000	08/14/07	124.8000	4,368 00	210 8600	7,380.10	3,012.10		
145.000	**Total**		**$13,891.40**		**$30,574.70**	**$16,683.30**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3BANK OF NEW YORK MELLON CORP COM								
Dividend Option: Cash								
Security Identifier: BK								
89.243	05/22/03	28 6430	2,556.20	27.9700	2,496.13	-60.07	32.13	1.28%
75.472	09/10/03	31.9590	2,412.00	27.9700	2,110.95	-301.05	27.17	1.28%
94 340	10/23/03	31.9690	3,016.00	27.9700	2,638.69	-377.31	33.96	1.28%
141.510	02/13/04	34.4500	4,875 00	27.9700	3,958 03	-916.97	50 94	1.28%
259.435	06/16/05	30.9590	8,031.93	27.9700	7,256.40	-775.53	93.40	1.28%
660.000	**Total**		**$20,891.13**		**$18,460.20**	**-$2,430.93**	**$237.60**	
BERKSHIRE HATHAWAY HLDG CO CL B COM								
Dividend Option: Cash								
Security Identifier: BRK B								
5 000	03/05/09	2,370.0000	11,850.00	3,286 0000	16,430 00	4,580 00		
3 000	10/28/09	3,275.9770	9,827.93	3,286.0000	9,858.00	30 07		
8.000	**Total**		**$21,677.93**		**$26,288.00**	**$4,610.07**	**$0.00**	
3CISCO SYSTEMS INC								
Dividend Option: Cash								
Security Identifier: CSCO								
185 000	09/10/03	20 8200	3,851.70	23 9400	4,428 90	577.20		
150.000	10/23/03	20.1500	3,022.50	23.9400	3,591.00	568.50		
200.000	02/13/04	24.0800	4,816.00	23.9400	4,788 00	-28.00		
465.000	06/16/05	19.4260	9,033.09	23 9400	11,132 10	2,099.01		
1,000.000	**Total**		**$20,723.29**		**$23,940.00**	**$3,216.71**	**$0.00**	
3CITIGROUP INC COM								
Dividend Option: Cash								
Security Identifier: C								
120.000	05/22/03	38.7820	4,653.85	3.3100	397.20	-4,256.65		
60 000	08/26/03	43 3400	2,600 40	3 3100	198 60	-2,401.80		
40.000	10/23/03	47 4000	1,896.00	3.3100	132.40	-1,763.60		
120.000	02/13/04	49.4500	5,934.00	3.3100	397 20	-5,536.80		
135.000	06/16/05	47.4700	6,408.45	3.3100	446.85	-5,961.60		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CITIGROUP INC COM *(continued)*								
100 000	05/17/06	48.7370	4,873.65	3.3100	331.00	-4,542.65		
40.000	08/14/07	45.7000	1,828.00	3 3100	132.40	-1,695.60		
615.000	**Total**		**$28,194.35**		**$2,035.65**	**-$26,158.70**	**$0.00**	
COCA COLA COMPANY								
Dividend Option: Cash								
Security Identifier: KO								
355 000	08/31/09	48 6000	17,253.00	57.0000	20,235.00	2,982.00	582.20	2.87%
3COLGATE PALMOLIVE CO								
Dividend Option: Cash								
Security Identifier: CL								
60 000	02/13/04	55.1700	3,310.20	82.1500	4,929.00	1,618 80	105.60	2.14%
160 000	06/16/05	49.0100	7,841.60	82.1500	13,144 00	5,302 40	281.60	2.14%
220.000	**Total**		**$11,151.80**		**$18,073.00**	**$6,921.20**	**$387.20**	
COMCAST CORP CL A								
Dividend Option: Cash								
Security Identifier: CMCSA								
1,135 000	06/12/09	14.1770	16,091.00	16 8600	19,136.10	3,045 10	306.45	1.60%
600 000	10/14/09	15.3280	9,196.80	16.8600	10,116.00	919 20	162.00	1.60%
1,735.000	**Total**		**$25,287.80**		**$29,252.10**	**$3,964.30**	**$468.45**	
3COVANCE INC								
Dividend Option: Cash								
Security Identifier: CVD								
300.000	09/19/05	49.9500	14,985.00	54.5700	16,371.00	1,386.00		
50.000	01/24/08	84.9300	4,246.50	54.5700	2,728.50	-1,518.00		
350.000	**Total**		**$19,231.50**		**$19,099.50**	**-$132.00**	**$0.00**	
3EXXON MOBIL CORP COM								
Dividend Option: Cash								
Security Identifier: XOM								
5.000	08/01/03	35.5700	177.85	68.1900	340 95	163.10	8 40	2.46%
60 000	10/23/03	37.1000	2,226.00	68.1900	4,091.40	1,865.40	100.80	2.46%
120.000	02/17/04	42.2500	5,070.00	68.1900	8,182 80	3,112.80	201.60	2.46%
35 000	06/16/05	59.9900	2,099.65	68.1900	2,386.65	287.00	58.80	2.46%
30.000	08/14/07	83.2400	2,497.20	68.1900	2,045.70	-451.50	50.40	2.46%
250.000	**Total**		**$12,070.70**		**$17,047.50**	**$4,976.80**	**$420.00**	
3FLUOR CORP NEW COM								
Dividend Option: Cash								
Security Identifier: FLR								

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
FLUOR CORP NEW COM *(continued)*								
520.000	12/18/07	66.7530	34,711.53	45.0400	23,420 80	-11,290 73	260.00	1.11%
3GENERAL ELECTRIC CO COM								
Dividend Option: Cash								
Security Identifier: GE								
270.000	08/01/03	28.4400	7,678.80	15.1300	4,085.10	-3,593.70	108.00	2.64%
100 000	10/23/03	28.3300	2,833.00	15.1300	1,513.00	-1,320 00	40.00	2.64%
130 000	02/13/04	32.7700	4,260.10	15.1300	1,966.90	-2,293 20	52.00	2 64%
25.000	03/12/04	30 7400	768.50	15 1300	378.25	-390 25	10 00	2.64%
100.000	06/16/05	36.1300	3,613.00	15.1300	1,513.00	-2,100.00	40.00	2.64%
225.000	05/11/06	34.2740	7,711.58	15.1300	3,404.25	-4,307.33	90.00	2.64%
100.000	08/14/07	37.7400	3,774.00	15 1300	1,513 00	-2,261.00	40.00	2.64%
950.000	**Total**		**$30,638.98**		**$14,373.50**	**-$16,265.48**	**$380.00**	
GILEAD SCIENCES INC								
Dividend Option: Cash								
Security Identifier: GILD								
340 000	05/15/09	43.6200	14,830 80	43 2800	14,715.20	-115.60		
180.000	10/30/09	42.6500	7,677.00	43 2800	7,790 40	113.40		
520.000	**Total**		**$22,507.80**		**$22,505.60**	**-$2.20**	**$0.00**	
3GOOGLE INC CL A								
Dividend Option: Cash								
Security Identifier: GOOG								
40 000	02/01/08	515.3820	20,615.28	619.9800	24,799 20	4,183.92		
30.000	03/04/08	446.5970	13,397.91	619.9800	18,599.40	5,201.49		
70.000	**Total**		**$34,013.19**		**$43,398.60**	**$9,385.41**	**$0.00**	
HOLLY CORP PAR								
Dividend Option: Cash								
Security Identifier: HOC								
365.000	12/11/09	25.0000	9,125.00	25.6300	9,354.95	229.95	219.00	2.34%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]HOSPIRA INC COM								
Dividend Option: Cash								
Security Identifier: HSP								
360.000	10/05/04	29.9470	10,780.84	51.0000	18,360.00	7,579 16		
30.000	06/16/05	38.9800	1,169.40	51.0000	1,530.00	360.60		
180.000	08/14/07	38.6600	6,958 80	51.0000	9,180.00	2,221.20		
570.000	**Total**		**$18,909.04**		**$29,070.00**	**$10,160.96**	**$0.00**	
LEAR CORP COM NEW								
Dividend Option: Cash								
Security Identifier: LEA								
78.000	11/25/09	63.7840	4,975.19	67.6400	5,275.92	300.73		
70.000	11/30/09	63.0000	4,410.00	67.6400	4,734.80	324.80		
148.000	**Total**		**$9,385.19**		**$10,010.72**	**$625.53**	**$0.00**	
[3]MCGRAW HILL COMPANIES INC								
Dividend Option: Cash								
Security Identifier: MHP								
365 000	10/11/04	39.8480	14,544 47	33.5100	12,231.15	-2,313.32	328 50	2.68%
135 000	06/16/05	42.4200	5,726 70	33.5100	4,523.85	-1,202.85	121.50	2.68%
100 000	08/14/07	52.1400	5,214 00	33.5100	3,351 00	-1,863 00	90 00	2 68%
600.000	**Total**		**$25,485.17**		**$20,106.00**	**-$5,379.17**	**$540.00**	
[3]MICROSOFT CORP COM								
Dividend Option: Cash								
Security Identifier MSFT								
100 000	05/22/03	24.1990	2,419 92	30.4900	3,049.00	629.08	52.00	1.70%
100 000	08/01/03	26.3300	2,633.00	30.4900	3,049.00	416 00	52.00	1.70%
60.000	10/23/03	28 9400	1,736 40	30.4900	1,829.40	93.00	31 20	1.70%
40.000	12/30/03	27.4650	1,098.60	30.4900	1,219.60	121.00	20.80	1.70%
225.000	02/17/04	26.7100	6,009.75	30.4900	6,860.25	850.50	117 00	1.70%
275.000	06/16/05	25.0090	6,877.48	30.4900	8,384.75	1,507.27	143.00	1.70%
200 000	08/14/07	28.4000	5,680 00	30.4900	6,098.00	418 00	104.00	1 70%
1,000.000	**Total**		**$26,455.15**		**$30,490.00**	**$4,034.85**	**$520.00**	
PEPSICO INC								
Dividend Option: Cash								
Security Identifier: PEP								
295.000	11/24/09	62.2200	18,354 90	60.8000	17,936.00	-418.90	531 00	2.96%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3PRAXAIR INC								
Dividend Option· Cash								
Security Identifier: PX								
120.000	03/17/04	35 8560	4,302.71	80.3100	9,637.20	5,334 49	192.00	1.99%
75.000	01/24/08	77.2150	5,791 13	80.3100	6,023 25	232 12	120.00	1.99%
195.000	**Total**		**$10,093.84**		**$15,660.45**	**$5,566.61**	**$312.00**	
3PROCTER & GAMBLE CO COM								
Dividend Option: Cash								
Security Identifier: PG								
450.000	03/08/07	62.2090	27,993.94	60.6300	27,283.50	-710.44	792 00	2 90%
3QUALCOMM INC								
Dividend Option: Cash								
Security Identifier: QCOM								
525 000	06/13/06	42.7520	22,445.02	46.2600	24,286.50	1,841.48	357.00	1.46%
75.000	08/14/07	37.9500	2,846.25	46.2600	3,469 50	623.25	51.00	1.46%
600.000	**Total**		**$25,291.27**		**$27,756.00**	**$2,464.73**	**$408.00**	
3QUEST DIAGNOSTICS INC COM								
Dividend Option: Cash								
Security Identifier: DGX								
475 000	08/05/05	47.0880	22,366.89	60.3800	28,680.50	6,313.61	190.00	0.66%
50 000	08/14/07	54.4000	2,720.00	60.3800	3,019.00	299 00	20.00	0.66%
525.000	**Total**		**$25,086.89**		**$31,699.50**	**$6,612.61**	**$210.00**	
3SPX CORPORATION								
Dividend Option: Cash								
Security Identifier: SPW								
360 000	01/11/08	95.4590	34,365.08	54 7000	19,692.00	-14,673.08	360.00	1 82%
3SCHLUMBERGER LTD COM ISIN#AN8068571086								
Dividend Option: Cash								
Security Identifier: SLB								
110.000	08/01/03	22.5270	2,478.00	65.0900	7,159.90	4,681 90	92.40	1.29%
100.000	10/23/03	23.6800	2,368.00	65.0900	6,509.00	4,141 00	84 00	1.29%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SCHLUMBERGER LTD COM ISIN#AN8068571086 *(continued)*								
100 000	06/16/05	37.7350	3,773.50	65.0900	6,509.00	2,735.50	84.00	1.29%
310.000	**Total**		**$8,619.50**		**$20,177.90**	**$11,558.40**	**$260.40**	
SUNCOR ENERGY INC NEW COM								
ISIN#CA8672241079								
Dividend Option: Cash								
Security Identifier: SU								
300.000	04/12/05	19.3900	5,816.95	35.3100	10,593.00	4,776.05		
[3]TARGET CORP								
Dividend Option: Cash								
Security Identifier: TGT								
80.000	02/17/04	41 9500	3,356.00	48.3700	3,869 60	513.60	54.40	1.40%
100.000	08/14/07	60.1600	6,016.00	48.3700	4,837.00	-1,179.00	68.00	1.40%
180.000	**Total**		**$9,372.00**		**$8,706.60**	**-$665.40**	**$122.40**	
[3]TEVA PHARMACEUTICAL INDUSTRIES LTD ADR								
ISIN#US8816242098								
Dividend Option: Cash								
Security Identifier: TEVA								
220 000	09/25/03	28.6750	6,308 44	56.1800	12,359.60	6,051 16	106.15	0 85%
80.000	10/23/03	28.0250	2,242.00	56 1800	4,494.40	2,252.40	38.60	0.85%
100.000	02/17/04	33.5000	3,350.00	56.1800	5,618.00	2,268.00	48.25	0.85%
200.000	09/23/04	25.7330	5,146 64	56.1800	11,236.00	6,089.36	96.50	0.85%
100 000	06/16/05	32.7100	3,271.00	56.1800	5,618.00	2,347.00	48.25	0.85%
50.000	08/14/07	43.0800	2,154.00	56.1800	2,809.00	655.00	24.12	0.85%
150.000	01/24/08	44.7600	6,714.00	56.1800	8,427.00	1,713.00	72.36	0.85%
900.000	**Total**		**$29,186.08**		**$50,562.00**	**$21,375.92**	**$434.23**	
[3]3M CO COM								
Dividend Option: Cash								
Security Identifier: MMM								
80.000	05/22/03	61.7980	4,943 85	82.6700	6,613 60	1,669 75	163.20	2.46%
30.000	09/10/03	68 6050	2,058.15	82.6700	2,480.10	421.95	61.20	2.46%
30.000	10/23/03	75.6300	2,268.90	82 6700	2,480.10	211.20	61 20	2 46%
60.000	03/12/04	75 3500	4,521.00	82.6700	4,960.20	439 20	122.40	2.46%
100 000	06/16/05	76.5700	7,657.00	82.6700	8,267.00	610.00	204 00	2 46%
25 000	05/17/06	84.8370	2,120 93	82.6700	2,066.75	-54.18	51.00	2.46%
50.000	08/14/07	85.9000	4,295.00	82.6700	4,133 50	-161 50	102 00	2 46%
375.000	**Total**		**$27,864.83**		**$31,001.25**	**$3,136.42**	**$765.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3UNITED TECHNOLOGIES CORP DEL COM								
Dividend Option: Cash								
Security Identifier: UTX								
100.000	10/23/03	41.3070	4,130.67	69.4100	6,941.00	2,810.33	154.00	2.21%
120.000	03/12/04	42 9500	5,154.00	69.4100	8,329.20	3,175.20	184.80	2.21%
50.000	06/16/05	52.5500	2,627.50	69.4100	3,470.50	843.00	77.00	2.21%
270.000	**Total**		**$11,912.17**		**$18,740.70**	**$6,828.53**	**$415.80**	
VALERO ENERGY CORPORATION								
Dividend Option: Cash								
Security Identifier: VLO								
1,125.000	12/08/09	16.1980	18,223.08	16.7500	18,843.75	620.67	675 00	3.58%
Total Common Stocks			**$815,191.70**		**$872,147.41**	**$56,955.71**	**$10,116.28**	
Total Equities			**$815,191.70**		**$872,147.41**	**$56,955.71**	**$10,116.28**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$875,892.97**	**$932,848.68**	**$56,955.71**	**$0.00**	**$10,211.15**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized This Period
Short-Term Gain/Loss	0.00	0 00	45,377.33
Net Gain/Loss	**0.00**	**0.00**	**45,377.33**

This summary excludes transactions where cost basis information is not available.

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover short transactions ("Borrowing Costs").

Customer Service Information

Your Relationship Manager: 01X	Contact Information	Customer Service Information
YOSHIMURA/WEBSTER PRIVATE BANKING USA 2121 AVE OF THE STARS STE 3300 LOS ANGELES CA 90067-5060	**Telephone Number:** (800) 237-5022 **Fax Number:** (310) 282-8053	**Web Site:** www.credit-suisse.com

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Money Market									
PRIME CASH SERIES									
5,250.520	12/01/09	0000132143	12/31/09	5,250.46	5,250.52	0.00	0.07	0.01%	0 01%
CSAM INSTL GOVT FUND CLASS C									
	12/01/09	0000000444	02/27/09	0 00	0.00	0.00	142.18	0.08%	0 08%
Total Money Market				**$5,250.46**	**$5,250.52**	**$0.00**	**$142.25**		
Total Cash, Money Funds, and FDIC Deposits				**$5,250.46**	**$5,250.52**	**$0.00**	**$142.25**		

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
2121 Avenue of the Stars
Suite 3300
Los Angeles, CA 90067-5014
(800) 237-5022

Brokerage
Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 98.00% of Portfolio								
Mutual Funds								
EATON VANCE FLOATING RATE FUND								
CLASS C								
Open End Fund								
Security Identifier: ECBLX								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
24,024.024	02/24/09	6.6600	160,000.00	8.5300	204,924.92	44,924.92	7,928.90	3.86%
640.606	Reinvestments to Date	7.8240	5,011.96	8.5300	5,464.37	452.41	211.43	3.86%
24,664.630	**Total**		**$165,011.96**		**$210,389.29**	**$45,377.33**	**$8,140.33**	
Total Mutual Funds			**$165,011.96**		**$210,389.29**	**$45,377.33**	**$8,140.33**	
Total Mutual Funds			**$165,011.96**		**$210,389.29**	**$45,377.33**	**$8,140.33**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$170,262.48**	**$215,639.81**	**$45,377.33**	**$0.00**	**$8,282.58**

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A summary of this information is available to you promptly upon your written request directed to your introducing firm In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

[redacted]

Detail of Assets Not Held in Your Brokerage Account

Description	Investment Date	Investment Amount	Redemption Amount	Estimated Value	Valuation Date
Alternative Investments					
Hedge Funds					
NB Offshore Diversified Arbitrag e Fund Ltd.					
Security Identifier: 194	07/01/08	375,000.00	0.00	325,509.00	09/30/09
	Total	**$375,000.00**	**$0.00**	**$325,509.00**	
Strategic Commodities Fund Ltd.					
Security Identifier: 204	02/01/05	175,000.00	0.00	142,123.00	10/31/09
	Total	**$175,000.00**	**$0.00**	**$142,123.00**	
LB Offshore Long/Short Fund (SPV), Ltd.					
Security Identifier: 230	07/01/09	5,936.00	0.00	5,613 00	11/30/09
	Total	**$5,936.00**	**$0.00**	**$5,613.00**	
LB Offshore Diversified Arbitra ge (SPV), Ltd.					
Security Identifier: 233	07/01/09	16,051 00	0.00	11,098.00	11/30/09
	Total	**$16,051.00**	**$0.00**	**$11,098.00**	
Total Hedge Funds		**$571,987.00**	**$0.00**	**$484,343.00**	

	Estimated Value
Total Alternative Investments	**$484,343.00**

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization THE LAWRENCE FOUNDATION	**Employer identification number** 95-4804431
File by the due date for filing your return See instructions	Number, street, and room or suite number If a P O box, see instructions 530 WILSHIRE BOULEVARD #207	
	City, town or post office, state, and ZIP code For a foreign address, see instructions SANTA MONICA, CA 90401-1427	

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (section 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ► JEFF LAWRENCE

Telephone No ► 970-870-9456 FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ► [X]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ► [ ] . If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for

► [X] calendar year 20 09 or

► [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$ 1,088.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 5,793.
c Balance Due. Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions