Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: NANCY KATAYAMA FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address): 221 E. WALNUT STREET — Room/suite: 220

City or town, state, and ZIP code: PASADENA, CA 91101

A Employer identification number: 95-4635060

B Telephone number: (626) 568-9195

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 1,261,584.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

Part I — Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	17.	17.		STATEMENT 1
	4 Dividends and interest from securities	16,947.	16,947.		STATEMENT 2
	5a Gross rents	6.	6.		STATEMENT 3
	b Net rental income or (loss) 6.				
	6a Net gain or (loss) from sale of assets not on line 10	2,287.			
	b Gross sales price for all assets on line 6a 91,724.				
	7 Capital gain net income (from Part IV, line 2)		2,287.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	39,998.	39,998.		STATEMENT 4
	12 **Total** Add lines 1 through 11	59,255.	59,255.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 5	6,154.	6,154.		0.
	c Other professional fees STMT 6	2,816.	2,816.		0.
	17 Interest	3,468.	3,468.		0.
	18 Taxes STMT 7	<3,001.>	<1,181.>		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 8	10,058.	10,058.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	19,495.	21,315.		0.
	25 Contributions, gifts, grants paid	55,000.			55,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	74,495.	21,315.		55,000.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	<15,240.>			
	b **Net investment income** (if negative, enter -0-)		37,940.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,518.	14,899.	14,899.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 9	100,354.	105,760.	116,594.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	1,173,937.	1,140,910.	1,130,091.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	1,276,809.	1,261,569.	1,261,584.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,276,809.	1,261,569.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	1,276,809.	1,261,569.	
	31 **Total liabilities and net assets/fund balances**	1,276,809.	1,261,569.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,276,809.
2 Enter amount from Part I, line 27a	2	<15,240.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,261,569.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,261,569.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	91,724.		89,437.	2,287.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				2,287.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 2,287.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	71,000.	1,444,930.	.049137
2007	80,000.	1,564,738.	.051127
2006	75,000.	1,474,051.	.050880
2005	72,000.	1,400,894.	.051396
2004	70,833.	1,304,273.	.054308

2	Total of line 1, column (d)	2	.256848
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051370
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,250,452.
5	Multiply line 4 by line 3	5	64,236.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	379.
7	Add lines 5 and 6	7	64,615.
8	Enter qualifying distributions from Part XII, line 4	8	55,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1			
Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	759.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	759.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	759.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b 4.		
c Tax paid with application for extension of time to file (Form 8868)	6c 1,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	1,004.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	245.
11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶	245. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ NANCY KATAYAMA Telephone no ▶ (626) 568-9195

Located at ▶ 221 E. WALNUT STREET, SUITE 220, PASADENA, CA ZIP+4 ▶ 91101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? [ ] Yes [X] No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? [ ] Yes [X] No

(3) Provide a grant to an individual for travel, study, or other similar purposes? [ ] Yes [X] No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? [ ] Yes [X] No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? [ ] Yes [X] No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ [ ]

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A [ ] Yes [ ] No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? [ ] Yes [X] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? [ ] Yes [X] No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY KATAYAMA 221 E. WALNUT STREET, SUITE 220 PASADENA, CA 91101	PRESIDENT 0.00	0.	0.	0.
RUSSELL KASPER 221 E. WALNUT STREET, SUITE 220 PASADENA, CA 91101	SECRETARY 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	82,726.
b	Average of monthly cash balances	1b	3,990.
c	Fair market value of all other assets	1c	1,182,778.
d	Total (add lines 1a, b, and c)	1d	1,269,494.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,269,494.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	19,042.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,250,452.
6	**Minimum investment return.** Enter 5% of line 5	6	62,523.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	62,523.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	759.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	759.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	61,764.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	61,764.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	61,764.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	55,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	55,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	55,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				61,764.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004 5,953.				
b From 2005 4,145.				
c From 2006 3,676.				
d From 2007 4,174.				
e From 2008				
f Total of lines 3a through e	17,948.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 55,000.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				55,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	6,764.			6,764.
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,184.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	11,184.			
10 Analysis of line 9				
a Excess from 2005 3,334.				
b Excess from 2006 3,676.				
c Excess from 2007 4,174.				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BARLOW FOUNDATION 2000 STADIUM WAY LOS ANGELES, CA 90026	NONE	PUBLIC CHARITY	GENERAL OPERATIONS SUPPORT	15,000.
FRIENDS OF THE LOS ANGELES PHILHARMONIC 151 SOUTH GRAND AVENUE LOS ANGELES, CA 90012	NONE	PUBLIC CHARITY	GENERAL OPERATIONS SUPPORT	5,000.
L.A. OPERA 135 NORTH GRAND AVENUE LOS ANGELES, CA 90012	NONE	PUBLIC CHARITY	GENERAL OPERATIONS SUPPORT	5,000.
SCRIPPS COLLEGE 1030 COLUMBIA AVENUE CLAREMONT, CA 91711	NONE	SCHOOL	EDUCATIONAL ADVANCEMENT - SCHOLARSHIPS	30,000.
Total			▶ 3a	55,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			01	17.	
4 Dividends and interest from securities			14	16,947.	
5 Net rental income or (loss) from real estate					
a Debt-financed property	523000		16	6.	
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	523000	1,021.	41	38,977.	
8 Gain or (loss) from sales of assets other than inventory	523000		18	2,287.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		1,021.		58,234.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 59,255.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Nancy Katayama 10/4/10 President

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature [signature]	Date 9/30/10	Check if self-employed ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code	WINDES & MCCLAUGHRY ACCT. CORP. P.O. BOX 87 LONG BEACH, CA. 90801-0087	EIN	
		Phone no	(562)435-1191

Form 990-PF (2009)

923622 02-02-10

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	UBS ACCT #24797 - SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
b	UBS ACCT #24797 - SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
c	SUNCOR ENERGY INC NEW	P	VARIOUS	VARIOUS
d	CAMPBELL STRATEGIC ALLOCATION FUND, L.P.	P	VARIOUS	VARIOUS
e	CAMPBELL STRATEGIC ALLOCATION FUND, L.P.	P	VARIOUS	VARIOUS
f	CAMPBELL STRATEGIC ALLOCATION FUND, L.P.	P	VARIOUS	VARIOUS
g	UBS PW EVENT & EQUITY FUND LLC	P	VARIOUS	VARIOUS
h	UBS PW EVENT & EQUITY FUND LLC	P	VARIOUS	VARIOUS
i	UBS PW EVENT & EQUITY FUND LLC	P	VARIOUS	VARIOUS
j	UBS PW EVENT & EQUITY FUND LLC	P	VARIOUS	VARIOUS
k	UBS PW EVENT & EQUITY FUND LLC	P	VARIOUS	VARIOUS
l	UBS PW PRIVATE EQUITY FUND III, LLC	P	VARIOUS	VARIOUS
m	UBS PW PRIVATE EQUITY FUND III, LLC	P	VARIOUS	VARIOUS
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	26,113.		30,855.	<4,742.>
b	36,748.		43,982.	<7,234.>
c	346.		1,440.	<1,094.>
d			8,024.	<8,024.>
e			599.	<599.>
f	87.			87.
g	6,765.			6,765.
h			4,283.	<4,283.>
i	26.			26.
j	46.			46.
k			254.	<254.>
l	654.			654.
m	20,939.			20,939.
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				<4,742.>
b				<7,234.>
c				<1,094.>
d				<8,024.>
e				<599.>
f				87.
g				6,765.
h				<4,283.>
i				26.
j				46.
k				<254.>
l				654.
m				20,939.
n				
o				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	2,287.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8		3	N/A

923591
04-24-09

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
FRANCHISE TAX BOARD	17.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	17.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CAMPBELL STRATEGIC ALLOCATION FUND, L.P.	476.	0.	476.
PAINE WEBBER - 10811	37.	0.	37.
PAINE WEBBER - 24797	2,149.	0.	2,149.
UBS PW EVENT & EQUITY FUND, LLC	10,367.	0.	10,367.
UBS PW PRIVATE EQUITY FUND III, LLC	3,918.	0.	3,918.
TOTAL TO FM 990-PF, PART I, LN 4	16,947.	0.	16,947.

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
UBS PW EVENT & EQUITY FUND, LLC	1	6.
TOTAL TO FORM 990-PF, PART I, LINE 5A		6.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UBS PW PRIVATE EQUITY FUND III, LLC	<158.>	<158.>	
UBS PW EVENT & EQUITY FUND, LLC	8,235.	8,235.	
CAMPBELL STRATEGIC ALLOCATION FUND, L.P.	<22,078.>	<22,078.>	
UBS PW PRIVATE EQUITY FUND III, LLC	31.	31.	

UBS PW EVENT & EQUITY FUND, LLC	52.	52.	
ANNUITY	53,915.	53,915.	
UBS PW EVENT & EQUITY FUND, LLC	1.	1.	
TOTAL TO FORM 990-PF, PART I, LINE 11	39,998.	39,998.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	6,154.	6,154.		0.
TO FORM 990-PF, PG 1, LN 16B	6,154.	6,154.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAINE WEBBER ACCOUNT FEES	2,816.	2,816.		0.
TO FORM 990-PF, PG 1, LN 16C	2,816.	2,816.		0.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE TAXES	<1,409.>	<1,409.>		0.
FOREIGN TAX PAID	228.	228.		0.
EXCISE TAX	<1,820.>	0.		0.
TO FORM 990-PF, PG 1, LN 18	<3,001.>	<1,181.>		0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PORTFOLIO DEDUCTIONS	10,058.	10,058.		0.
TO FORM 990-PF, PG 1, LN 23	10,058.	10,058.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PAINE WEBBER (SEE ATTACHED SCHEDULE)	105,760.	116,594.
TOTAL TO FORM 990-PF, PART II, LINE 10B	105,760.	116,594.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ANNUITY	COST	341,562.	367,282.
UBS PW EVENT & EQUITY FUND LLC	COST	347,298.	302,697.
CAMPBELL STRATEGIC ALLOCATION FUND, LP	COST	309,951.	301,554.
UBS PW PRIVATE EQUITY FUND III LLC	COST	142,099.	158,558.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,140,910.	1,130,091.

UBS

"Nancy Katayama Foundation, Inc. TIN #95-4635060"

Account name: NANCY KATAYAMA FOUNDATION INC
Account number: SS 24797 PM

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMER ELECTRIC POWER CO	FIFO	70.000	Jun 02, 09	Sep 01, 09	2,192 42	1,858.89		333.53	
CHINA MOBILE LTD SPON ADR	FIFO	40 000	Mar 19, 09	Jul 01, 09	2,037 98	1,713.71		324 27	
GAMESTOP CORP NEW (HOLDING CO) CL A	FIFO	114.000	Jun 17, 08	Mar 19, 09	2,878 49	5,357.87	-2,479 38		
GENZYME CORP GEN DIV	FIFO	40 000	Jun 02, 09	Oct 01, 09	2,220 70	2,454 31	-233.61		
NEW YORK CMNTY BANCORP	FIFO	210 000	Jan 26, 09	Nov 09, 09	2,297.13	2,573 90	-276 77		
QUALCOMM INC	FIFO	40.000	Jul 01, 09	Nov 23, 09	1,816.33	1,820 30	-3.97		
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	65 000	Feb 01, 08	Jan 26, 09	2,665.45	5,014.00	-2,348.55		
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	FIFO	311 000	Mar 19, 09	Oct 01, 09	3,315 25	2,710.79		604 46	
	FIFO	0 549	Mar 19, 09	Aug 14, 09	5 80	4 79		1 01	
TOTAL S.A FRANCE SPON ADR	FIFO	35 000	Jan 26, 09	Mar 11, 09	1,667.04	1,759.47	-92 43		
WISC ENERGY CORP	FIFO	50 000	Mar 19, 09	Jun 02, 09	2,025.41	1,985 35		40.06	
3M CO	FIFO	49.000	Aug 28, 08	Jun 02, 09	2,928 78	3,541.98	-613 20		
GATEWAY FUND CLASS A	FIFO	0 719	Dec 31, 08	Oct 15, 09	17 85	17 38		0.47	
	FIFO	1 795	Earnings	Oct 15, 09	44 55	41.96		2 59	
Total					**$26,113.18** (1)	**$30,854.70** (2)	**-$6,047.91**	**$1,306.39**	**-$4,741.52**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AIR PROD & CHEMICAL INC	FIFO	49 000	Mar 12, 08	Jul 01, 09	3,209 29	4,398 42	-1,189.13		
APPLE INC	FIFO	33 000	Mar 02, 07	Jan 26, 09	2,928 40	2,856 48		71 92	
AT&T INC	FIFO	90 000	Feb 27, 08	Sep 01, 09	2,274 47	3,194 87	-920.40		

continued next page

"Nancy Katayama
Foundation, Inc.
TIN #95-4635060"

Account name: NANCY KATAYAMA FOUNDATION INC
Account number: SS 24797 PM

Your Financial Advisor:
COLEMAN WEALTH CONSULTING GRP
213-972-1511/800-443-5203

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
BERKSHIRE HATHAWAY INC CL B	FIFO	1 000	Jun 04, 04	Jan 26, 09	2,833 98	2,984 00	-150.02		
	FIFO	1 000	Mar 05, 07	Jan 26, 09	2,833 98	3,554 00	-720 02		
CVS CAREMARK CORP	FIFO	18 000	Oct 04, 05	Nov 09, 09	548 83	519.19		29 64	
	FIFO	80 000	Feb 27, 08	Nov 09, 09	2,439 23	3,217 60	-778.37		
ENTERGY CORP NEW	FIFO	33 000	Mar 02, 07	Mar 19, 09	2,210 34	3,234.00	-1,023 66		
ITT CORP	FIFO	55.000	Mar 02, 07	Jun 02, 09	2,395 64	3,215 85	-820 21		
	FIFO	10 000	Feb 27, 08	Jun 02, 09	435 57	590.20	-154 63		
LOCKHEED MARTIN CORP	FIFO	33 000	Mar 02, 07	Mar 19, 09	2,249 96	3,204.63	-954 67		
UNION PACIFIC CORP	FIFO	57.000	Nov 07, 08	Nov 23, 09	3,758 74	3,420.24		338 50	
WAL MART STORES INC	FIFO	19 000	Jul 25, 02	Jul 01, 09	920 18	900.60		19 58	
	FIFO	5 000	Jan 09, 03	Jul 01, 09	242 15	259 31	-17 16		
	FIFO	17 000	Jan 25, 05	Jul 01, 09	823 32	906 10	-82.78		
	FIFO	10 000	Feb 27, 08	Jul 01, 09	484 31	513.90	-29 59		
POWERSHARES WATER RESOURCES PORTFOLIO	FIFO	174 000	Mar 02, 07	Oct 15, 09	2,901 20	3,206 82	-305 62		
	FIFO	30 000	Feb 27, 08	Oct 15, 09	500 21	607 20	-106 99		
GATEWAY FUND CLASS A	FIFO	1 013	Jun 26, 08	Oct 15, 09	25 14	28 22	-3 08		
	FIFO	1 049	Sep 26, 08	Oct 15, 09	26 04	29 16	-3 12		
	FIFO	94 964	Jun 03, 08	Oct 15, 09	2,357 00	2,725 47	-368 47		
	FIFO	14 487	Jun 03, 08	Aug 03, 09	350 00	415 78	-65 78		
Total					$36,747.98 (1)	$43,982.04 (2)	-$7,693.70	$459.64	-$7,234.06
Net capital gains/losses:									-$11,975.58

Nancy Katayama Foundation
Investments - Corporate Stock
December 31, 2009

FEIN 95-4635060
FORM 990-PF PART II
STATEMENT 9

ISSUER	COST BASIS	FMV BASIS
UBS Account # 24797 - See detail attached	105,760	116,594
TOTAL INVESTMENTS - CORPORATE STOCK	$105,760	$116,594

Your Financial Advisor:
COLEMAN WEALTH CONSULTING GRP
213-972-1511/800-443-5203

Your assets

"Nancy Katayama
Foundation, Inc.
TIN #95-4635060"

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	8,488 70	64,475 52	1.00	0 01%	Nov 23 to Dec 23	31

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
APPLE INC								
Symbol. AAPL Exchange· OTC	Nov 23, 09	10 000	204 638	2,046 38	210 732	2,107 32	60.94	ST
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange· NYSE								
EAI $167 Current yield· 4 45%	Oct 1, 09	50 000	44 076	2,203.81	46 940	2,347 00	143 19	ST
	Dec 22, 09	30 000	46 037	1,381 11	46 940	1,408 20	27 09	ST
Security total		80.000		3,584 92		3,755 20	170.28	
AVON PRODUCTS INC								
Symbol· AVP Exchange· NYSE								
EAI $101 Current yield. 2 67%	Nov 9, 09	80 000	33 617	2,689 40	31 500	2,520 00	-169 40	ST
	Dec 22, 09	40 000	31 817	1,272 68	31 500	1,260 00	-12 68	ST
Security total		120 000		3,962 08		3,780 00	-182 08	
BANK OF AMER CORP								
Symbol· BAC Exchange NYSE								
EAI $10 Current yield 0 28%	Nov 9, 09	140 000	15 510	2,171 40	15 060	2,108 40	-63 00	ST
	Dec 22, 09	100 000	15 378	1,537 85	15 060	1,506 00	-31.85	ST
Security total		240 000		3,709 25		3,614 40	-94.85	
CISCO SYSTEMS INC								
Symbol. CSCO Exchange. OTC	Nov 23, 09	70 000	23 789	1,665 23	23.940	1,675 80	10 57	ST
	Dec 22, 09	60 000	23 720	1,423 20	23 940	1,436 40	13 20	ST

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		130.000		3,088 43		3,112 20	23.77	
COLGATE PALMOLIVE CO								
Symbol CL Exchange· NYSE								
EAI· $104 Current yield 2 15%	Jan 9, 08	29 000	80 898	2,346.06	82 150	2,382.35	36 29	LT
	Feb 27, 08	20 000	77 490	1,549 80	82.150	1,643 00	93.20	LT
	Dec 22, 09	10.000	83 057	830.57	82.150	821.50	-9.07	ST
Security total		59 000		4,726.43		4,846.85	120.42	
FREEPORT-MCMORAN COPPER & GOLD INC								
Symbol· FCX Exchange. NYSE								
EAI $30 Current yield 0 75%	Dec 4, 09	40 000	79 206	3,168 25	80 290	3,211.60	43.35	ST
	Dec 22, 09	10 000	78 360	783 60	80 290	802.90	19.30	ST
Security total		50 000		3,951 85		4,014 50	62.65	
GENL ELECTRIC CO								
Symbol. GE Exchange. NYSE								
EAI $97 Current yield 2 64%	Dec 11, 97	8 000	24 187	193 50	15 130	121.04	-72.46	LT
	Feb 2, 01	5 000	46 600	233 00	15 130	75 65	-157 35	LT
	Oct 15, 02	45 000	25 977	1,168 97	15 130	680 85	-488 12	LT
	Nov 15, 02	35 000	23.707	829.76	15 130	529.55	-300 21	LT
	Mar 2, 07	20 000	35 030	700 60	15 130	302.60	-398 00	LT
	Feb 27, 08	30.000	34 210	1,026 30	15 130	453 90	-572 40	LT
	Dec 22, 09	100 000	15.499	1,549.99	15 130	1,513.00	-36.99	ST
Security total		243.000		5,702.12		3,676.59	-2,025 53	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI. $46 Current yield 0 83%	Oct 8, 08	23 000	116 788	2,686 15	168 840	3,883 32	1,197.17	LT
	Dec 8, 08	10 000	76.680	766 80	168 840	1,688 40	921 60	LT
Security total		33 000		3,452 95		5,571.72	2,118.77	
GOOGLE INC CL A								
Symbol· GOOG Exchange OTC	Mar 12, 07	12 000	451 690	5,420 28	619 980	7,439 76	2,019.48	LT
	Jul 20, 07	1 000	512 649	512 65	619 980	619.98	107.33	LT
Security total		13 000		5,932 93		8,059.74	2,126 81	

continued next page

"Nancy Katayama
Foundation, Inc.
TIN #95-4635060"

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HESS CORP								
Symbol· HES Exchange· NYSE								
EAI $28 Current yield 0 66%	Jul 1, 09	50 000	52 869	2,643 47	60 500	3,025.00	381.53	ST
	Dec 22, 09	20 000	58 917	1,178 34	60 500	1,210 00	31 66	ST
Security total		70 000		3,821 81		4,235 00	413 19	
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE								
EAI $22 Current yield 0 61%	Sep 1, 09	50 000	44 009	2,200 50	51.510	2,575.50	375 00	ST
	Dec 22, 09	20 000	52.460	1,049 20	51 510	1,030 20	-19 00	ST
Security total		70.000		3,249 70		3,605 70	356 00	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol. HBC Exchange NYSE								
EAI. $102 Current yield 2 98%	Sep 1, 09	40 000	52 285	2,091 42	57 090	2,283 60	192 18	ST
	Dec 22, 09	20 000	56 230	1,124.60	57 090	1,141.80	17 20	ST
Security total		60 000		3,216 02		3,425 40	209.38	
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI $95 Current yield 1 69%	Apr 19, 99	21 000	82 927	1,741 48	130 900	2,748.90	1,007 42	LT
	Jul 7, 05	12 000	75 779	909 36	130 900	1,570.80	661 44	LT
	Dec 22, 09	10 000	129.727	1,297 27	130 900	1,309.00	11 73	ST
Security total		43 000		3,948 11		5,628 70	1,680 59	
MCDONALDS CORP								
Symbol· MCD Exchange NYSE								
EAI $143 Current yield 3 52%	Mar 2, 07	45 000	44 330	1,994.85	62 440	2,809 80	814 95	LT
	Dec 22, 09	20 000	62 910	1,258.20	62 440	1,248.80	-9 40	ST
Security total		65 000		3,253 05		4,058.60	805 55	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $91 Current yield 1 71%	May 8, 02	45 000	26 771	1,204 72	30 480	1,371 60	166 88	LT
	Mar 2, 07	40 000	27 980	1,119 20	30 480	1,219 20	100 00	LT
	Feb 27, 08	40 000	28 420	1,136 80	30 480	1,219 20	82 40	LT
	Dec 22, 09	50 000	30 817	1,540 85	30 480	1,524 00	-16 85	ST

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		175.000		5,001.57		5,334 00	332.43	
MONSANTO CO NEW								
Symbol· MON Exchange NYSE								
EAI· $42 Current yield 1 28%	Jan 26, 09	30.000	78 411	2,352.34	81 750	2,452.50	100 16	ST
	Dec 22, 09	10 000	81.200	812.00	81 750	817.50	5.50	ST
Security total		40 000		3,164 34		3,270 00	105 66	
PEPSICO INC								
Symbol: PEP Exchange: NYSE								
EAI $131 Current yield. 2 95%	Feb 10, 03	43 000	39.250	1,687 75	60 800	2,614 40	926 65	LT
	Feb 27, 08	10 000	71.520	715.20	60 800	608.00	-107.20	LT
	Dec 22, 09	20 000	60 740	1,214.80	60 800	1,216.00	1.20	ST
Security total		73 000		3,617.75		4,438 40	820 65	
PMC - SIERRA INC								
Symbol· PMCS Exchange· OTC	Oct 1, 09	360 000	9.203	3,313.13	8 660	3,117 60	-195 53	ST
	Dec 22, 09	180 000	8 437	1,518 66	8 660	1,558.80	40 14	ST
Security total		540.000		4,831.79		4,676.40	-155.39	
PROCTER & GAMBLE CO								
Symbol: PG Exchange NYSE								
EAI $116 Current yield 2 90%	Sep 26, 01	26 000	35.605	925 73 [1]	60 630	1,576 38	650 65	LT
	Mar 2, 07	10 000	63 490	634.90	60 630	606.30	-28.60	LT
	Feb 27, 08	10.000	67.230	672.30	60 630	606.30	-66 00	LT
	Dec 22, 09	20 000	61 310	1,226 20	60 630	1,212 60	-13 60	ST
Security total		66 000		3,459 13		4,001 58	542 45	
SUNCOR ENERGY INC NEW								
Symbol SU Exchange NYSE	Dec 22, 09	40 000	34.037	1,361 48	35 310	1,412.40	50 92	ST
		129 000	—This information was unavailable—		35 310	4,554.99		
Security total		169 000		1,361 48		5,967.39	50 92	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol. TEVA Exchange OTC								
EAI $41 Current yield 0 86%	Aug 28, 08	65 000	47.510	3,088.15	56 180	3,651 70	563.55	LT
	Dec 22, 09	20.000	55 730	1,114 60	56 180	1,123.60	9 00	ST
Security total		85 000		4,202.75		4,775 30	572 55	

continued next page

Account name: NANCY KATAYAMA FOUNDATION INC
Account number: SS 24797 PM

Your Financial Advisor:
COLEMAN WEALTH CONSULTING GRP
213-972-1511/800-443-5203

"Nancy Katayama
Foundation, Inc.
TIN #95-4635060"

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange: NYSE	Aug 24, 07	73 000	52 267	3,815 50	47 690	3,481 37	-334 13	LT
	Dec 22, 09	30.000	48 637	1,459 11	47 690	1,430 70	-28 41	ST
Security total		103 000		5,274 61		4,912 07	-362 54	
TOTAL S.A FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI $292 Current yield. 4 34%	Jan 26, 09	85 000	50 270	4,273 01	64 040	5,443 40	1,170 39	ST
	Dec 22, 09	20 000	63 547	1,270.94	64 040	1,280 80	9 86	ST
Security total		105 000		5,543.95		6,724 20	1,180 25	
TRAVELERS COS INC/THE								
Symbol TRV Exchange: NYSE								
EAI $119 Current yield 2 65%	Jun 2, 09	60 000	42 993	2,579 60	49 860	2,991 60	412 00	ST
	Dec 22, 09	30 000	48 787	1,463 61	49 860	1,495.80	32 19	ST
Security total		90 000		4,043 21		4,487 40	444 19	
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI $49 Current yield 1 09%	Jul 1, 09	100 000	23.788	2,378 88	32 250	3,225 00	846 12	ST
	Dec 22, 09	40 000	32 420	1,296 80	32 250	1,290 00	-6 80	ST
Security total		140 000		3,675 68		4,515 00	839 32	
Total				$101,822.29		$116,593.66	$10,216.38	

Total estimated annual income: $1,826

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	Cash and money balances	64,475.52	35.61%	64,475.52		
Equities	* Common stock	116,593.66	64.39%	101,822.29	1,826.00	10,216.38
Total		$181,069.18	100.00%	$166,297.81	$1,826.00	$10,216.38

* Missing cost basis information

104,571.17

Nancy Katayama Foundation
Other Investments
December 31, 2009

FEIN 95-4635060
FORM 990-PF PART II
STATEMENT 10

ISSUER	COST BASIS	FMV BASIS
UBS Account # 10811 - See detail attached	1,140,910	1,130,091
TOTAL OTHER INVESTMENTS	1,140,910	1,130,091

"Nancy Katayama
Foundation, Inc.
TIN #95-4635060"

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
UBS CASHFUND INC	5,386 33	36 62 ✓	1 00	0 01%	Nov 20 to Dec 22	33

Alternative strategies

Private equity funds

Holding	Units	Est Value per unit ($)	Est value total ($)	Issuer est value per unit ($)	Issuer est value total ($)	Distributions to date ($)	Original unit size ($)
UBS PRIVATE EQUITY FUND III LLC NET COMMITMENT AMOUNT	250,000 000	--------- Not Priced --------		-------- Not Priced ---------		N/A	
UBS PRIVATE EQ FD III LLC LAST UNAUDITED ESTIMATED FAIR VALUE	158,558 000	1 000	158,558 00			N/A	
Total			$158,558.00				

Assets held outside UBS Financial Services Inc.

These assets are held outside UBS Financial Services Inc and are included in your statement as a service to you Information about these assets, including their value, is provided by the issuer and UBS Financial Services Inc does not guarantee the accuracy of the information and is not responsible for it The value shown is not necessarily the value you would receive from the issuer if you sold the assets These assets are not covered by SIPC

Hedge funds

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share ($)	Value ($)	Unrealized gain or loss ($)	Holding period
UBS EVENT FUND LLC AS OF NOVEMBER 30, 2009	Nov 27, 01			240,000 00	1 000	302,697 00	62,697 00	LT

Your assets › Alternative strategies (continued)

Other investments

The values of managed fund positions are as of the latest available date, which may not be the same as the statement closing date

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share ($)	Value ($)	Unrealized gain or loss ($)	Holding period
CAMPBELL STRATEGIC ALLOCATION FUND AS OF 12/29/09	Oct 28, 02	125.381	2,199 719	275,803 08 [1]	2,405 100	301,553 84	25,750 76	LT

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Other

Assets held outside UBS Financial Services Inc.

These assets are held outside UBS Financial Services Inc and are included in your statement as a service to you Information about these assets, including their value, is provided by the issuer and UBS Financial Services Inc does not guarantee the accuracy of the information and is not responsible for it The value shown is not necessarily the value you would receive from the issuer if you sold the assets These assets are not covered by SIPC

Annuities

Holding	Issue date	Contract number	Death benefit ($)	Rate	Amount ($)	Value ($)
AXA EQUITABLE LIFE INS COMPANY ACCUMULATOR PLUS 02 GION 40 3%7YM 9 2%8YM 50 4% AS OF 12/30/2009	Apr 08, 03	303617892	289,185 54	Variable	427,974 000	367,281 88

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	Cash and money balances	36.62		36.62		
Alternative strategies	Private equity funds	158,558 00				
	Hedge funds	302,697 00		240,000 00		62,697 00
	Other investments	301,553 84		275,803 08		25,750 76
	Total alternative strategies	762,808.84	67.50%	515,803.08		88,447.76
Other	Annuities	367,281.88	32.50%			
Total		**$1,130,127.34**	**100.00%**	**$515,839.70**		**$88,447.76**

Form 8868 (Rev April 2009)
Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545-1709

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ▶ [X]
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990 T Instead you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization	Employer identification number
	NANCY KATAYAMA FOUNDATION, INC.	95-4635060
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 221 E. WALNUT STREET, NO. 220	
	City, town or post office, state, and ZIP code For a foreign address, see instructions PASADENA, CA 91101	

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990 T (sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990 PF	[ ] Form 1041 A	[ ] Form 8870

- The books are in the care of ▶ NANCY KATAYAMA
221 E. WALNUT STREET, SUITE 220 - PASADENA, CA 91101
Telephone No ▶ (626) 568-9195 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2010 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [X] calendar year 2009 or
▶ [ ] tax year beginning ______ , and ending ______

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990 BL 990 PF, 990 T, 4720, or 6069, enter the tentative tax less any nonrefundable credits See instructions	3a	$ 1,000.
b	If this application is for Form 990-PF or 990 T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 0.
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 1,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453 EO and Form 8879 EO for payment instructions

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions** Form 8868 (Rev 4-2009)

923831
05-26-09

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box .... ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print File by the extended due date for filing the return See instructions	Name of Exempt Organization NANCY KATAYAMA FOUNDATION, INC.	Employer identification number 95-4635060
	Number, street, and room or suite no. If a P O. box, see instructions 221 E. WALNUT STREET, NO. 220	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions PASADENA, CA 91101	

Check type of return to be filed (File a separate application for each return).

[ ] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec. 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [X] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ NANCY KATAYAMA
221 E. WALNUT STREET, SUITE 220 - PASADENA, CA 91101
Telephone No. ▶ (626) 568-9195 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010.

5 For calendar year 2009 , or other tax year beginning ______ , and ending ______

6 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990 PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 1,000.
b	If this application is for Form 990 PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 1,000.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ [signature] Title ▶ CPA Date ▶ 8/4/10

Form 8868 (Rev. 4-2009)

923832
05-26-09