



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions

Name of foundation

ALEXANDER F. VICTOR FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

P.O. BOX LAW

City or town, state, and ZIP code

MONTEREY, CA 93942

A Employer identification number

94-6121837

B Telephone number

831-649-1100

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method: ☒ Cash ☐ Accrual

(from Part II, col (c), line 16)

☐ Other (specify)

\$ 1,589,754. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

N/A

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

75.

75.

STATEMENT 1

4 Dividends and interest from securities

18,248.

18,248.

STATEMENT 2

5a Gross rents

87,579.

87,579.

STATEMENT 3

b Net rental income or (loss)

81,661.

STATEMENT 4

6a Net gain or (loss) from sale of assets not on line 10

2,219.

b Gross sales price for all assets on line 6a

15,560.

7 Capital gain net income (from Part IV, line 2)

2,219.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

1,465.

1,465.

STATEMENT 5

12 Total. Add lines 1 through 11

109,586.

109,586.

13 Compensation of officers, directors, trustees, etc

21,412.

19,271.

2,141.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 6

2,745.

0.

2,745.

c Other professional fees

STMT 7

1,027.

1,027.

0.

17 Interest

18 Taxes

STMT 8

3,110.

1,803.

35.

19 Depreciation and depletion

770.

770.

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 9

3,366.

3,346.

0.

24 Total operating and administrative

expenses Add lines 13 through 23

32,430.

26,217.

4,921.

25 Contributions, gifts, grants, etc.

81,500.

81,500.

26 Total expenses and disbursements.

Add lines 24 and 25

113,930.

26,217.

86,421.

27 Subtract line 26 from line 12:

-4,344.

83,369.

N/A

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		5,914.		
	2	Savings and temporary cash investments		22,490.	36,741.	36,741.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 10	205,809.	207,031.	201,232.	
	c	Investments - corporate bonds				
Liabilities	11	Investments - land, buildings, and equipment, basis ▶	110,043.			
		Less accumulated depreciation STMT 11 ▶	35,136.	75,677.	74,907.	1,100,000.
	12	Investments - mortgage loans				
	13	Investments - other STMT 12	243,186.	230,053.	251,781.	
	14	Land, buildings, and equipment, basis ▶				
		Less accumulated depreciation ▶				
	15	Other assets (describe ▶)				
	16	Total assets (to be completed by all filers)	553,076.	548,732.	1,589,754.	
	17	Accounts payable and accrued expenses				
	18	Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>	27	Capital stock, trust principal, or current funds	0.	0.	
		28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
		29	Retained earnings, accumulated income, endowment, or other funds	553,076.	548,732.	
		30	Total net assets or fund balances	553,076.	548,732.	
	31	Total liabilities and net assets/fund balances	553,076.	548,732.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	553,076.
2	Enter amount from Part I, line 27a	2	-4,344.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	548,732.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	548,732.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a POWERSHS EXCH TRAD	P	12/05/08	05/19/09
b AMERICAN CAPITAL LTD-CIL	P	09/11/07	08/07/09
c CAPITAL GAINS DIVIDENDS	P	VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,362.		13,341.	2,021.
b 1.			1.
c 197.			197.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,021.
b			1.
c			197.
d			
e			

2 Capital gain net income or (net capital loss) —	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	2,219.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	83,710.	1,632,217.	.051286
2007	80,871.	1,674,043.	.048309
2006	83,699.	1,612,302.	.051913
2005	90,071.	1,541,819.	.058419
2004	97,412.	1,394,019.	.069879

2 Total of line 1, column (d)	2	.279806
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055961
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,547,026.
5 Multiply line 4 by line 3	5	86,573.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	834.
7 Add lines 5 and 6	7	87,407.
8 Enter qualifying distributions from Part XII, line 4	8	86,421.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,667.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	1,667.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,667.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	2,108.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,108.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	441.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> 441. Refunded <input type="checkbox"/> 0.	11		0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► GEORGE R WALKER Telephone no. ► 831-649-1100
 Located at ► 215 W FRANKLIN, MONTEREY, CA ZIP+4 ► 93940

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____ , _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GEORGE R WALKER	CHIEF EXECUTIVE OFFICER			
P.O. BOX LAW				
MONTEREY, CA 93942	2.00	18,000.	0.	0.
DOROTHY A JOHNSON	SECRETARY			
215 W FRANKLIN STREET				
MONTEREY, CA 93940	1.00	3,412.	0.	0.
PATRICIA A WALKER	CFO			
P.O BOX LAW				
MONTEREY, CA 93942	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	386,889.
b	Average of monthly cash balances	1b	83,696.
c	Fair market value of all other assets	1c	1,100,000.
d	Total (add lines 1a, b, and c)	1d	1,570,585.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,570,585.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	23,559.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,547,026.
6	Minimum investment return. Enter 5% of line 5	6	77,351.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	77,351.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,667.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,667.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	75,684.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	75,684.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	75,684.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	86,421.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	86,421.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	86,421.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				75,684.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	28,911.			
b From 2005	14,535.			
c From 2006	7,162.			
d From 2007	131.			
e From 2008	4,247.			
f Total of lines 3a through e	54,986.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	86,421.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				75,684.
e Remaining amount distributed out of corpus	10,737.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	65,723.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	28,911.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	36,812.			
10 Analysis of line 9:				
a Excess from 2005	14,535.			
b Excess from 2006	7,162.			
c Excess from 2007	131.			
d Excess from 2008	4,247.			
e Excess from 2009	10,737.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

2009.04040 ALEXANDER F. VICTOR FOUNDAT 30317141

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 14				81,500.
b Approved for future payment				
NONE				0.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	75.	
4	Dividends and interest from securities			14	18,248.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property			16	81,661.	
6	Net rental income or (loss) from personal property					
7	Other investment income			14	1,465.	
8	Gain or (loss) from sales of assets other than inventory			18	2,219.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)		0.		103,668.	0.
13	Total. Add line 12, columns (b), (d), and (e)				103,668.	0.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MONTEREY COUNTY BANK	47.
MORGAN STANLEY SMITH BARNEY	28.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	75.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CHARLES SCHWAB	5,266.	0.	5,266.
MORGAN STANLEY SMITH BARNEY	12,982.	0.	12,982.
TOTAL TO FM 990-PF, PART I, LN 4	18,248.	0.	18,248.

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
COMMERCIAL REAL ESTATE	1	87,579.
TOTAL TO FORM 990-PF, PART I, LINE 5A		87,579.

FORM 990-PF RENTAL EXPENSES STATEMENT 4

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
DEPRECIATION		770.	
PROPERTY TAXES		1,803.	
INSURANCE		3,345.	
- SUBTOTAL -	1		5,918.
TOTAL RENTAL EXPENSES			5,918.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			81,661.

FORM 990-PF	OTHER INCOME	STATEMENT	5
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTIES	1,465.	1,465.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,465.	1,465.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	6
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	2,745.	0.		2,745.
TO FORM 990-PF, PG 1, LN 16B	2,745.	0.		2,745.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	7
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	1,027.	1,027.		0.
TO FORM 990-PF, PG 1, LN 16C	1,027.	1,027.		0.

FORM 990-PF	TAXES	STATEMENT	8
-------------	-------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX BOARD	10.	0.		10.
DEPARTMENT OF JUSTICE	25.	0.		25.
U.S. TREASURY	1,272.	0.		0.
PROPERTY TAXES	1,803.	1,803.		0.
TO FORM 990-PF, PG 1, LN 18	3,110.	1,803.		35.

FORM 990-PF	OTHER EXPENSES			STATEMENT	9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MISCELLANEOUS	1.	1.		0.	
FILING FEES	20.	0.		0.	
INSURANCE	3,345.	3,345.		0.	
TO FORM 990-PF, PG 1, LN 23	3,366.	3,346.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
ALLIED CAP CORP NEW	7,940.	1,083.		
AMERICAN CAP STRATEGIES	7,576.	649.		
COHEN & STEERS INTL REALTY FUND	29,988.	42,279.		
ING PRIME RATE TRUST	17,597.	14,851.		
ISHARES S&P US PREF STOCK INDEX	36,899.	37,985.		
LEUCADIA NATIONAL CORP	6,747.	3,568.		
MACQUIRE INFRASTRUC LLC	3,695.	1,228.		
MERGER FUNDS	12,000.	12,946.		
MS INCOME SECURITIES	21,310.	22,654.		
PIMCO ALL ASSET FUND INST CLASS	16,029.	15,286.		
RYDEX HEDGED EQUITY FUND INV	14,500.	12,206.		
TEMPLETON EMRG MKTS	11,376.	14,410.		
TEMPLETON GLOBAL INCM FUND	21,374.	22,087.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	207,031.	201,232.		

FORM 990-PF	DEPRECIATION OF ASSETS HELD FOR INVESTMENT		STATEMENT	11
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	
BUILDINGS	30,000.	30,000.	0.	
ROOF-COTTAGE	11,426.	3,406.	8,020.	
ROOF-TEA ROSE	18,617.	1,730.	16,887.	
LAND	50,000.	0.	50,000.	
TOTAL TO FM 990-PF, PART II, LN 11	110,043.	35,136.	74,907.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	12
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
B P PRUDHOE BAY RLTY TR	FMV	4,799.	4,140.
CAPITALSOURCE INC	FMV	6,624.	1,588.
CROSS TIMBERS ROYALTY TR	FMV	5,048.	3,372.
GREAT NORTHERN IRON ORE PPTY	FMV	5,566.	4,700.
ISHARES ASIA REAL ESTATE INDEX FD	FMV	19,574.	22,268.
BARCAP ETN-IPATH INDIA INDEX	FMV	9,395.	14,093.
ISHARES INTL REAL ESTATE INDEX FD	FMV	18,983.	24,437.
ISHARES INTL SMALL CAP FD	FMV	16,718.	19,242.
ISHARES MSCI EAFE INDEX	FMV	16,125.	19,901.
ISHARES MSCI EMRG MKTS	FMV	9,842.	13,280.
ISHARES MSCI PAC EX JAPN	FMV	25,622.	27,304.
AMEX S&P 500 INDEX FUND	FMV	24,686.	31,307.
ISHARES S&P MIDCAP 400 INDEX FUND	FMV	7,939.	11,224.
ISHARES TR S&P SMALLCAP 600 INDX FUND	FMV	5,129.	6,840.
ISHARES TRUSR INDEX FUND-CHINA	FMV	8,765.	9,297.
MESABI TRUST	FMV	5,079.	2,560.
NORTHERN EURO OIL ROYALTY TR	FMV	4,754.	3,850.
PERMIAN BASIN ROYALTY TR	FMV	4,123.	2,527.
PLUM CREEK TIMBER	FMV	3,199.	3,776.
POWERSHARES LISTED PROVATE EQ FUND	FMV	11,391.	15,002.
SABINE ROYALTY TRUST	FMV	7,477.	7,173.
SAN JUAN BASIN ROYALTY TRUST	FMV	4,898.	2,586.
WILLIAMS COAL SEAM ROYALTY TR	FMV	4,317.	1,314.
TOTAL TO FORM 990-PF, PART II, LINE 13		230,053.	251,781.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

GEORGE R WALKER
P.O. BOX LAW
MONTEREY, CA 93942

TELEPHONE NUMBER

NAME OF GRANT PROGRAM

831-649-1100

ALEXANDER F VICTOR FOUNDATION

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS SHOULD BE SUBMITTED ON THE LETTERHEAD OF THE APPLICANT. THE APPLICATIONS SHOULD INCLUDE THE ORGANIZATION'S TAX ID NUMBER AND EXEMPTION INFORMATION, THE GENERAL PURPOSE AND ACTIVITIES OF THE APPLICANT, THE NEED FOR THE FUNDS AND THE NAMES OF THE MEMBERS OF THE BOARD OF DIRECTORS.

ANY SUBMISSION DEADLINES

NOVEMBER 15TH OF EVERY YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

GIFTS ARE ONLY MADE TO CHARITABLE ORGANIZATIONS WITH EXEMPT STATUS FROM FEDERAL AND STATE GOVERNMENTS.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 14

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
A.W.I.A. P.O.BOX 942 CARMEL VALLEY, CA 93924	NONE GENERAL	EXEMPT	1,000.
ALLIANCE ON AGING, 2200 GARDEN ROAD MONTEREY, CA 93940	NONE GENERAL	EXEMPT	2,000.
AMERICAN FIELD SERVICE 3439 7TH AVENUE CARMEL, CA 93923	NONE GENERAL	EXEMPT	500.
BEACON HOUSE P.O.BOX 301 PACIFIC GROVE, CA 93950	NONE GENERAL	EXEMPT	1,000.
BOY SCOUTS OF AMERICA P.O.BOX 1967 SALINAS, CA 93902	NONE GENERAL	EXEMPT	2,000.
BOYS & GIRLS CLUB OF MONTEREY COUNTY P.O.BOX 97 SEASIDE, CA 93955	NONE GENERAL	EXEMPT	1,000.
CARMEL BACH FESTIVAL P.O.BOX 575 CARMEL, CA 93921	NONE GENERAL	EXEMPT	1,000.
CARMEL FOUNDATION P.O.BOX 1050 CARMEL, CA 93921	NONE GENERAL	EXEMPT	2,000.

ALEXANDER F. VICTOR FOUNDATION

94-6121837

CARMEL HOST LIONS CLUB P.O.BOX 3113 CARMEL, CA 93921	NONE GENERAL	EXEMPT	500.
CARMEL MUSIC SOCIETY P.O.BOX 1144 CARMEL, CA 93921	NONE GENERAL	EXEMPT	1,000.
CARMEL PUBLIC LIBRARY FOUNDATION P.O.BOX 2042 CARMEL, CA 93921	NONE GENERAL	EXEMPT	500.
COMMUNITY FOUNDATION FOR MONTEREY COUNTY 2354 GARDEN ROAD MONTEREY, CA 93940	NONE MARTIN HURD FUND	EXEMPT	2,000.
COMMUNITY FOUNDATION FOR MONTEREY COUNTY 2354 GARDEN ROAD MONTEREY, CA 93940	NONE GENERAL	EXEMPT	1,000.
COMMUNITY HUMAN SERVICES 544 PEARL STREET MONTEREY, CA 93940	NONE GENERAL	EXEMPT	1,000.
FRIENDS OF THE VETERANS HOME OF CA P.O.BOX 789 PACIFIC GROVE, CA 93950	NONE GENERAL	EXEMPT	500.
GATEWAY CENTER OF MONTEREY COUNTY 850 CONGRESS AVENUE PACIFIC GROVE, CA 93950	NONE GENERAL	EXEMPT	1,000.
GIRL SCOUTS MONTEREY BAY COUNCIL 10550 MERRITT STREET CASTROVILLE, CA 95012	NONE GENERAL	EXEMPT	1,000.
JOSEPHINE KERNS MEMORIAL FOR EDUCATION P.O.BOX 2261 MONTEREY, CA 93942	NONE GENERAL	EXEMPT	2,000.

LEGAL SERVICES FOR SENIORS 915 HILBY AVENUE, SUITE 2 SEASIDE, CA 93955	NONE GENERAL	EXEMPT	2,000.
LIFEWATER INTERNATIONAL P.O.BOX 3131 SAN LUIS OBISPO, CA 93403	NONE GENERAL	EXEMPT	1,000.
MEALS ON WHEELS OF THE MONTEREY PENINSULA 700 JEWELL AVENUE PACIFIC GROVE, CA 93950	NONE GENERAL	EXEMPT	1,000.
MONTEREY COLLEGE OF LAW 404 WEST FRANKLIN STREET MONTEREY, CA 93940	NONE GENERAL	EXEMPT	2,000.
MONTEREY COUNTY SYMPHONY ASSN. P.O.BOX 3965 CARMEL, CA 93921	NONE GENERAL	EXEMPT	1,000.
PACIFIC LEGAL FOUNDATION 2151 RIVER PLAZA DRIVE, STE 305 SACRAMENTO, CA 958333881	NONE GENERAL	EXEMPT	2,000.
PACIFIC REPERTORY THEATRE P.O.BOX 222035 CARMEL, CA 93922	NONE GENERAL	EXEMPT	2,500.
PLANNED PARENTHOOD OF MONTEREY COUNTY 1900 GARDEN ROAD, #230 MONTEREY, CA 93940	NONE GENERAL	EXEMPT	500.
POPULATION ACTION INTERNATIONAL 1300-19TH STREET,NW, SECOND FLOOR WASHINGTON, DC 20036	NONE GENERAL	EXEMPT	1,000.
ROTARY FOUNDATION 14280 COLLECTIONS CENTER DRIVE CHICAGO, IL 60693	NONE GENERAL	EXEMPT	1,000.

ROTARY INTERNATIONAL ONE ROTARY CENTER, 1560 SHERMAN AVENUE EVANSTON, IL 60201	NONE GENERAL	EXEMPT	1,000.
SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS P.O.BOX 3058 MONTEREY, CA 93942	NONE GENERAL	EXEMPT	1,000.
STEVENSON SCHOOL 3152 FOREST LAKE ROAD PEBBLE BEACH, CA 93953	NONE FACULTY ENRICHMENT PROGRAM	EXEMPT	15,000.
STEVENSON SCHOOL 3152 FOREST LAKE ROAD PEBBLE BEACH, CA 93953	NONE KEITH SCHULAR ENDOWMENT	EXEMPT	1,500.
STEVENSON SCHOOL 3152 FOREST LAKE ROAD PEBBLE BEACH, CA 93953	NONE GENERAL	EXEMPT	15,000.
THE OHIO STATE UNIVERSITY COLLEGE OF NURSING 1585 NEIL AVENUE COLUMBUS, OH 43210	NONE GENERAL	EXEMPT	3,000.
THE SALVATION ARMY P.O.BOX 1884 MONTEREY, CA 93940	NONE GENERAL	EXEMPT	2,500.
VISITING NURSES ASSOCIATION 40 RAGSDALE DRIVE, SUITE 120 MONTEREY, CA 93940	NONE GENERAL	EXEMPT	1,500.
VOLUNTEER CENTER 857 MUNRAS AVENUE MONTEREY, CA 93940	NONE GENERAL	EXEMPT	1,000.
YMCA OF MONTEREY PENINSULA 600 CAMINO EL ESTERO MONTEREY, CA 93940	NONE GENERAL	EXEMPT	2,500.

ALEXANDER F. VICTOR FOUNDATION

94-6121837

YOUNG AMERICA'S 110 ELDEN STREET HERNDON, VA 22070	NONE GENERAL	EXEMPT	1,000.
YOUTH MUSIC MONTEREY 2511 GARDEN ROAD, SUITE C-175 MONTEREY, CA 93940	NONE GENERAL	EXEMPT	500.
YWCA OF THE MONTEREY PENINSULA P.O.BOX 1249 SEASIDE, CA 93955	NONE GENERAL	EXEMPT	1,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			81,500.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	ALEXANDER F. VICTOR FOUNDATION	94-6121837
	Number, street, and room or suite no. If a P.O. box, see Instructions. P.O. BOX LAW	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MONTEREY, CA 93942	

Check type of return to be filed (File a separate application for each return):

- | | | | | | |
|--------------------------------------|---|---|--------------------------------------|------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 5227 | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-BL | <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 4720 | <input type="checkbox"/> Form 6069 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

GEORGE R WALKER

- The books are in the care of ☒ **215 W FRANKLIN - MONTEREY, CA 93940**

Telephone No. ☒ **831-649-1100**

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010.**

5 For calendar year **2009**, or other tax year beginning _____, and ending _____

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

**TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER
INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	1,554.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	2,108.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒ **Marsha J. Lubow** Title ☒ **CPA for**

Date ☒ **8/12/10**

Form 8868 (Rev 4-2009)