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# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2009

Department of the Treasury  
Internal Revenue Service

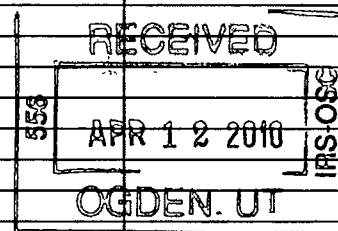
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>THE HENRY MAYO NEWHALL FOUNDATION</b>		A Employer identification number <b>94-6073084</b>
	C/O KRAMER, BLUM AND ASSOCIATES, INC.		B Telephone number <b>(415) 981-2966</b>
	Number and street (or P O box number if mail is not delivered to street address) <b>57 POST STREET</b>	Room/suite <b>510</b>	
	City or town, state, and ZIP code <b>SAN FRANCISCO, CA 94104</b>		
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>33,917,630.</b>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		500,860.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		124.	124.		STATEMENT 1
4 Dividends and interest from securities		929,761.	929,761.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		327,228.			
b Gross sales price for all assets on line 6a <b>19,781,347.</b>					
7 Capital gain net income (from Part IV, line 2)			327,228.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,757,973.	1,257,113.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		49,430.	40,323.		9,107.
c Other professional fees STMT 4		224,538.	130,570.		93,968.
17 Interest					
18 Taxes STMT 5		583.	583.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		9,824.	0.		9,824.
22 Printing and publications					
23 Other expenses STMT 6		5,665.	0.		5,665.
24 Total operating and administrative expenses. Add lines 13 through 23		290,040.	171,476.		118,564.
25 Contributions, gifts, grants paid		1,554,750.			1,554,750.
26 Total expenses and disbursements. Add lines 24 and 25		1,844,790.	171,476.		1,673,314.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-86,817.			
b Net investment income (if negative, enter -0-)			1,085,637.		
c Adjusted net income (if negative, enter -0-)				N/A	



**THE HENRY MAYO NEWHALL FOUNDATION**  
**C/O KRAMER, BLUM AND ASSOCIATES, INC.**

94-6073084

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		2,558,817.	431,738.	431,738.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		2,781,448.	2,461,207.	2,489,400.
	b	Investments - corporate stock STMT 8		12,710,511.	8,586,072.	10,443,274.
	c	Investments - corporate bonds STMT 9		6,248,685.	6,691,961.	7,064,286.
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 10		6,322,115.	12,235,182.	13,488,932.	
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		30,621,576.	30,406,160.	33,917,630.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31				
	24	Unrestricted		30,621,576.	30,406,160.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		30,621,576.	30,406,160.	
	31	Total liabilities and net assets/fund balances		30,621,576.	30,406,160.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,621,576.
2	Enter amount from Part I, line 27a	2	-86,817.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	30,534,759.
5	Decreases not included in line 2 (itemize) ▶ <b>UNREALIZED LOSS</b>	5	128,599.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	30,406,160.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHARLES SCHWAB (SEE STATEMENT)	D	VARIOUS	VARIOUS
b CHARLES SCHWAB (SEE STATEMENT)	P	VARIOUS	VARIOUS
c CHARLES SCHWAB (SEE STATEMENT)	P	VARIOUS	VARIOUS
d CHARLES SCHWAB CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	VARIOUS
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,603,428.		10,928,177.	675,251.
b 4,401,552.		4,076,865.	324,687.
c 3,758,640.		4,449,077.	-690,437.
d 17,727.			17,727.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			675,251.
b			324,687.
c			-690,437.
d			17,727.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	327,228.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	968,708.	13,450,604.	.072020
2007	699,757.	13,892,714.	.050369
2006	522,798.	12,739,857.	.041036
2005	586,230.	12,119,304.	.048372
2004	642,585.	12,034,234.	.053396

2 Total of line 1, column (d)	2	.265193
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053039
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	30,736,464.
5 Multiply line 4 by line 3	5	1,630,231.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,856.
7 Add lines 5 and 6	7	1,641,087.
8 Enter qualifying distributions from Part XII, line 4	8	1,673,314.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	10,856.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	10,856.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	10,856.
6 Credits/Payments.			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	19,721.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	19,721.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,865.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 8,865. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.NEWHALLFOUNDATION.ORG	13	X	
14	The books are in care of ► KRAMER, BLUM AND ASSOCIATES, INC. Telephone no. ► (415) 981-2966 Located at ► 57 POST STREET, SAN FRANCISCO, CA ZIP+4 ► 94104			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATLANTIC TRUST PRIVATE WEALTH MANAGEMENT - 4675 MAC ARTHUR COURT, SUITE 1150, NEWPORT KRAMER, BLUM AND ASSOCIATIONS	INVESTMENT MANAGEMENT	130,570.
57 POST STREET, SAN FRANCISCO, CA 94104	FINANCIAL MANAGEMENT	93,968.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	27,058,414.
b	Average of monthly cash balances	1b	4,146,118.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	31,204,532.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	31,204,532.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	468,068.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,736,464.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	1,536,823.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,536,823.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	10,856.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	10,856.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,525,967.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,525,967.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,525,967.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,673,314.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,673,314.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	10,856.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	1,662,458.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,525,967.
2 Undistributed income, if any, as of the end of 2009			0.	
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007	190,386.			
e From 2008	302,128.			
f Total of lines 3a through e	492,514.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,673,314.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,525,967.
e Remaining amount distributed out of corpus	147,347.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	639,861.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	639,861.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007	190,386.			
d Excess from 2008	302,128.			
e Excess from 2009	147,347.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test - enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

**SEE STATEMENT 12**

- b The form in which applications should be submitted and information and materials they should include.

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> <b>SEE ATTACHED STATEMENT B</b>	NONE	PUBLIC CHARITY	GENERAL FUND	1,554,750.
<b>Total</b>			<b>▶ 3a</b>	1,554,750.
<b>b</b> <i>Approved for future payment</i> <b>SEE ATTACHED STATEMENT B</b>	NONE	PUBLIC CHARITY	GENERAL FUND	154,000.
<b>Total</b>			<b>▶ 3b</b>	154,000.





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

Name of the organization

THE HENRY MAYO NEWHALL FOUNDATION  
C/O KRAMER, BLUM AND ASSOCIATES, INC.

Employer identification number

94-6073084

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)( ) (enter number) organization

☐

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

## Name of organization

THE HENRY MAYO NEWHALL FOUNDATION  
C/O KRAMER, BLUM AND ASSOCIATES, INC.

## Employer identification number

94-6073084

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	LEILA NEWHALL CHARITABLE REMAINDER TRUST C/O WELLS FARGO BANK N.A., P.O. BOX 63954 SAN FRANCISCO, CA 94163	\$ 11,011.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	LEILA NEWHALL CHARITABLE REMAINDER TRUST C/O WELLS FARGO BANK N.A., P.O. BOX 63954 SAN FRANCISCO, CA 94163	\$ 489,849.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Name of organization

Employer identification number

THE HENRY MAYO NEWHALL FOUNDATION  
C/O KRAMER, BLUM AND ASSOCIATES, INC.

94-6073084

**Part II    Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	42,882 SHARES OF TEMPLETON GLOBAL BOND FUND	\$ 483,709.	01/05/09
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
CITY NATIONAL BANK	124.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	124.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ACCRUED CORPORATE INTEREST	-35,470.	0.	-35,470.
BOND AMORTIZATION	31,347.	0.	31,347.
CORPORATE BONDS	336,803.	0.	336,803.
GOVERNMENT OBLIGATION INTEREST	55,924.	0.	55,924.
MONEY FUNDS DIVIDENDS	6,134.	0.	6,134.
MUNICIPAL BOND INTEREST	29,486.	0.	29,486.
STOCK DIVIDENDS	480,260.	0.	480,260.
TREASURY BONDS	25,277.	0.	25,277.
TOTAL TO FM 990-PF, PART I, LN 4	929,761.	0.	929,761.

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FORM 990-PF ACCOUNTING FEES STATEMENT 3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	49,430.	40,323.		9,107.
TO FORM 990-PF, PG 1, LN 16B	49,430.	40,323.		9,107.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	130,570.	130,570.		0.
MANAGEMENT FEES	93,968.	0.		93,968.
TO FORM 990-PF, PG 1, LN 16C	224,538.	130,570.		93,968.

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FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	583.	583.		0.
TO FORM 990-PF, PG 1, LN 18	583.	583.		0.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	87.	0.		87.
FILING FEES	235.	0.		235.
OFFICE EXPENSES	2,877.	0.		2,877.
INSURANCE	2,466.	0.		2,466.
TO FORM 990-PF, PG 1, LN 23	5,665.	0.		5,665.

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FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
400,000 US TREAS NOTE 4.25% 8/15/15	X		431,647.	428,250.
50,000 US TREAS NOTE 4.25% 11/15/14	X		48,798.	53,867.
150,000 US TREAS NOTE 5.0% 8/11	X		161,941.	159,891.
125,000 US TREAS NOTE 4.25% 11/15/13	X		126,583.	135,039.
125,000 US TREAS NOTE 4% 11/15/12	X		124,922.	133,555.
150,000 UST INFL IDX 1.25% 4/15/14	X		156,787.	158,387.
200,000 UST INFL IDX 1.625% 1/15/18	X		192,213.	211,306.
250,000 FEDERAL HOME LN 6.5% 8/14/09	X		0.	0.
150,000 FEDERAL HOME LN 4.375% 9/17/10	X		151,029.	154,252.
300,000 FEDERAL HOME LN 4.375% 7/17/15	X		320,696.	319,230.
150,000 FEDERAL HOME LN 4.75% 3/12/10	X		149,758.	151,314.
75,000 FEDERAL NATL MTG 3.875% 2/15/10	X		74,383.	75,325.
500,000 FEDERAL NATL MTG 4.625% 6/1/10	X		522,450.	508,984.
150,000 MAINE ST 5% 5/15/17		X	0.	0.
250,000 MASSACHUSETTS ST 5% 11/1/24		X	0.	0.
250,000 RICHARDSON TX 5.25% 2/15/16		X	0.	0.
250,000 WI ST GO 5% 5/1/14		X	0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,461,207.	2,489,400.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,461,207.	2,489,400.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
2,915 AT&T INC	75,473.	81,707.
3,445 ABBOTT LAB	211,263.	185,996.
4,000 ACCENTURE LTD	128,840.	166,000.
3,540 ADVANCE AUTO PARTS INC	131,935.	143,299.
5,880 AETNA INC	214,653.	186,396.
1,520 ALLERGAN INC	0.	0.
7,000 ANADARKO PETROLEUM CORP	0.	0.
2,570 ANADARKO PETROLEUM CORP	151,011.	160,419.

3,560 APPLE COMPUTER INC	318,039.	750,206.
2370 AVON PRODUCTS	0.	0.
15,750 BANK OF AMERICA	251,231.	237,195.
8,892 BANK OF NY MELLON	251,230.	248,709.
600 BARD C R INCORPORATED	0.	0.
450 BEST BUY INC	0.	0.
1,000 BOEING CO	0.	0.
6,350 CVS CAREMARK INC	171,196.	204,534.
6,000 CARNIVAL CORP	0.	0.
1,950 CHESAPEAKE ENERGY CORP	0.	0.
7,000 CHEVRON CORP	0.	0.
1,685 CHECK PT SOFTWARE TECH F	50,553.	57,088.
9,250 CISCO SYSTEMS INC	153,920.	221,445.
385 CME GROUP INC	79,088.	129,345.
3,000 COLGATE PALMOLIVE CO	0.	0.
9,420 COMCAST CORP	157,183.	158,821.
10,000 CORNING INC	0.	0.
1,405 DANAHER CORP	80,712.	105,656.
1,234 DARDEN RESTAURANTS INC	34,795.	43,276.
1,540 DEERE & CO	0.	0.
670 DEVON ENERGY CO	53,676.	49,245.
3,970 EQT CORP	153,259.	174,362.
6,000 DISNEY WALT CO	0.	0.
6,000 DU PONT E	0.	0.
15,000 EMC CORP	0.	0.
3,000 EBAY INC	0.	0.
2,660 EMERSON ELECTRIC CO	0.	0.
2,025 EXELON CORPORATION	101,577.	98,962.
1,800 EXPRESS SCRIPTS INC	23,425.	155,556.
7,000 EXXON MOBIL CORP	0.	0.
5,000 FPL GROUP INC	251,950.	264,100.
7,722 FIDELITY NATL INFO SVCS	132,860.	181,004.
3,120 FISERV INC.	150,620.	151,258.
3,140 GENERAL DYNAMICS CORP	175,400.	214,054.
17,455 GENERAL ELECTRIC CO	264,165.	264,094.
1,300 GOLDMAN SACH GROUP INC	0.	0.
350 GOOGLE INC	0.	0.
8,000 HALLIBURTON CO	0.	0.
4,000 HARSCO CORP	0.	0.
3,480 HEINZ CO	128,108.	148,805.
8,060 HEWLETT PACKARD COMPANY	298,182.	415,171.
17,160 INTEL COPR	0.	0.
2,730 IBM	228,010.	357,357.
630 INTERCONTINENTAL EXCHANGE	0.	0.
3,000 JACOBS ENG GROUP	0.	0.
5,260 JOHNSON & JOHNSON	0.	0.
3,940 JP MORGAN	135,567.	164,180.
1,810 KAYNE ANDERSON	0.	0.
1,385 LAB CP OF AMERICA	101,871.	103,653.
5,295 LIBERTY GLOBAL INC	120,771.	115,908.
1,740 LOWES COMPANIES INC	44,442.	40,699.
1,885 MEDTRONIC INC	81,531.	82,902.
3,359 MERCK & CO INC.	88,405.	122,738.
2,200 MEMC ELECTRONIC MATERIALS	0.	0.

11,000 MICROSOFT CORP	0.	0.
2,280 MONSANTO CO	0.	0.
3,750 NESTLE A REG	0.	0.
1,835 NIKE INC	108,427.	121,238.
1,870 NORFOLK SOUTHERN CORP	74,112.	98,025.
4,310 NORTHEAST UTILITIES	98,309.	111,155.
800 OCCIDENTAL PETE CORP	46,315.	65,080.
7,630 ORACLE CORP	141,684.	187,164.
2,395 PG&E CORP	98,106.	106,937.
2,500 PEABODY ENERGY CORP	57,825.	113,025.
3,870 PEPSICO INCORPATED	209,328.	235,296.
11,160 PFIZER INC	58,473.	203,000.
2,790 PRAXAIR INC	226,823.	224,065.
4,075 PROCTOR & GAMBLE	245,233.	247,067.
5,000 QUEST DIAGNOSTIC INC	0.	0.
3,600 QUESTAR CORP	137,128.	149,652.
810 ROCKWELL COLLINS INC	0.	0.
2,695 SCHERING PLOUGH	0.	0.
2,000 SCHLUMBERGER LTD	81,263.	130,180.
2,860 SOUTHWESTERN ENERGY CO	65,912.	137,852.
2,310 STRYKER CORPORATION	136,921.	116,355.
3,735 TARGET CORPORATION	128,559.	180,662.
7,000 TEXAS INSTRUMENT INC	0.	0.
3,790 CHARLES SCHWAB CORP	0.	0.
2,570 THERMO FISHER SCIENTIFIC	0.	0.
3,000 TRAVELERS COMPANIES INC	0.	0.
5,460 TD AMERITRADE HOLDING	107,458.	105,815.
2,955 UNITED TECHNOLOGIES	151,798.	205,107.
4,885 UNITED HEALTH GROUP INC	116,027.	148,895.
2,005 VF CORP	146,344.	146,846.
11,900 VERIZON COMMUNICATIONS	415,486.	394,247.
7,000 VODAFONE GROUP	0.	0.
607 WALMART	0.	0.
4,800 WALGREEN COMPANY	137,184.	176,256.
7,320 WELLS FARGO & CO	176,023.	197,567.
11,565 WESTERN UNION COMPANY	220,209.	218,000.
4,570 WILLIAMS COMPANIES	69,701.	96,336.
7,000 WYETH	0.	0.
3,080 XTO ENERGY INC	136,483.	143,312.
8,000 YUM BRANDS INC	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,586,072.	10,443,274.

FORM 990-PF

CORPORATE BONDS

STATEMENT

9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
200,000 ABBOT LABS 5.6% 11/30/17	211,071.	218,610.
100,000 AMERICAN GEN FIN 5.375% 10/18/11	0.	0.
250,000 AMERICAN INTL GROUP 5.375% 10/18/11	189,625.	250,938.
250,000 ASTRAZENECA PLC 5.4% 9/15/12	0.	0.
200,000 BANK OF AMERICA CORP 5.875%	0.	0.
250,000 BANK AMERICA CORP 7.125%	258,123.	267,460.
300,000 BARCLAYS BK PLC 0% 1/11/11	0.	0.
250,000 BERKSHIRE HEALTH FIN 5.4% 5/15/18	268,165.	260,511.
250,000 BP CAPITAL MARKET 5.25% 11/7/13	264,974.	272,389.
200,000 CATERPILLAR INC 5.7% 8/15/16	208,849.	215,199.
300,000 CHEVRON CORP 3.95% 3/3/14	310,309.	315,446.
100,000 CITIGROUP 6%	109,103.	105,600.
300,000 EI DU PONT DE NEMOURS 5% 7/15/13	312,325.	324,972.
175,000 GE CAPITAL 6.125% 2/22/11	188,585.	184,787.
250,000 GLAXOSMITHKLINE 4.375% 4/15/09	0.	0.
100,000 GOLDMAN SACHS 0% 5/7/13	44,230.	69,747.
150,000 GOLDMAN SACHS 5.125% 1/15/15	145,090.	157,654.
500,000 GOLDMAN SACHS 0% 5/7/13	249,100.	380,976.
75,000 HOUSEHOLD FIN 6.375%	81,450.	82,139.
25,000 HOUSEHOLD FIN 7%	0.	0.
250,000 IBM CORP 7.5% 6/15/13	282,865.	290,487.
100,000 IBM CORP 5.375%	0.	0.
300,000 JOHN DEERE CAP CORP 2.875% 6/19/12	310,354.	309,736.
100,000 JP MORGAN CHASE 4.65% 6/1/14	98,494.	105,555.
200,000 JP MORGAN CHASE 2.125% 6/22/12	202,704.	202,902.
100,000 LEHMAN BROS HLDG 0% 2/11/10	4,750.	16,000.
500,000 MERRILL LYNCH 0% 11/30/10	0.	0.
250,000 NORTHERN TRUST CORP 5.5% 8/15/13	264,088.	271,269.
125,000 ORACLE 5.25% 1/15/16	122,888.	134,999.
300,000 PFIZER INC 4.45% 3/15/12	313,846.	317,621.
200,000 PITNEY BOWES 4.625% 10/1/12	201,242.	212,107.
300,000 REGIONS BANK 3.25% 12/9/11	311,926.	310,973.
250,000 SOWSTN BELL TEL 7% 7/1/15	258,695.	286,166.
250,000 TARGET 5.125% 1/15/13	0.	0.
200,000 TEXTRON INC 6.5% 6/1/12	0.	0.
300,000 UNITED TECH CORP 5.375% 12/15/17	312,163.	321,434.
100,000 VODAPHONE 7.75% 2/15/10	113,484.	100,748.
250,000 WALMART STORES 4.125% 7/1/10	257,525.	254,915.
250,000 WALT DISNEY CO 4.7% 12/1/12	254,465.	269,989.
100,000 BAACT 2007 5.59% 11/15/14	102,685.	106,901.
200,000 BOIT 2004 3.94% 4/15/12	0.	0.
75,000 CHASE ISSUANCE 4.65% 12/17/12	74,547.	77,080.
125,000 CHASE 2007 4.96% 9/15/12	126,900.	128,490.
200,000 HMNT 2006 5.1% 6/15/12	0.	0.
50,000 MBNAS 2005 4.5% 1/15/13	49,994.	51,106.
100,000 PG&E EGY RECOVERY 5.03% 3/25/14	75,569.	78,896.
150,000 PSE&G TRANS FNDG 6.45% 3/15/13	111,778.	110,484.
TOTAL TO FORM 990-PF, PART II, LINE 10C	6,691,961.	7,064,286.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
29,859.180 DREYFUS EMERG MKT DEBT	COST	402,553.	396,829.
44,997.316 TEMPLETON GLOBAL BOND	COST	508,696.	571,016.
61,238.224 BOSTON TRUST SMALL CAP	COST	600,922.	656,474.
13,531.324 CRM MID CAP VALUE FUND	COST	301,660.	328,270.
20,894.45 ELL INTERNATIONAL	COST	0.	0.
57,753.170 HARBOR INTL INSTITUTIONAL	COST	3,031,808.	3,168,916.
69,698.716 LAZARD EMERGING MARKETS	COST	1,171,873.	1,255,274.
28,544.244 MANAGERS AMG TIMES SQUARE MID CAP	COST	300,075.	339,106.
52,593.715 MATTHEWS ASIAN GROWTH & INCOME FUND	COST	787,633.	829,403.
174,573.474 PIMCO COMMODITY REAL	COST	1,233,631.	1,445,468.
2,000 GEN ELECT CAP 6.625%	COST	44,520.	50,080.
22,500 ISHARE MSCI EMRG MKT	COST	2,294,703.	2,295,437.
7,000 ISHARE TRUST S&P 500	COST	570,274.	782,670.
9,000 MID CAP SPDR TRUST	COST	853,290.	1,185,660.
2,310 PLUM CREEK TIMBER CO	COST	65,031.	87,226.
2,220 VENTAS INC	COST	68,513.	97,103.
1,170 ISHARES S&P MIDCAP 400	COST	0.	0.
ISHARE TR MSCI EAFE	COST	0.	0.
2,500 ISHARE TR NASDAQ BIO	COST	0.	0.
4,700 ISHARE TR RUSSELL 2000	COST	0.	0.
5,000 ISHARE TR S&P GLOBAL FINANCIAL	COST	0.	0.
8,000 ISHARE TR S&P GLOBAL HEALTHCARE	COST	0.	0.
8,330 ISHARE TR S&P MIDCAP	COST	0.	0.
6,000 ISHARE TR S&P SMALLCAP	COST	0.	0.
15,000 POWERSHS DB COMMDTY INDX	COST	0.	0.
1,000 PROSHS ULTRASHORT RUSSLL - GROWTH	COST	0.	0.
1,000 PROSHS ULTRASHORT RUSSLL - VALUE	COST	0.	0.
3,000 SECTOR SPDR MATERIALS	COST	0.	0.
10,000 SECTOR SPDR UTIL SELECT	COST	0.	0.
3,500 VANGUARD REIT	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		12,235,182.	13,488,932.



FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARION HILL 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	VICE PRESIDENT / DIRECTOR 0.00	0.	0.	0.
JANE NEWHALL 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	DIRECTOR 0.00	0.	0.	0.
PRUDENCE J. NOON 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	DIRECTOR 0.00	0.	0.	0.
ROGER B. NEWHALL 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	PRESIDENT / DIRECTOR 0.00	0.	0.	0.
GEORGE NEWHALL 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	DIRECTOR 0.00	0.	0.	0.
ANTHONY NEWHALL 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	TREASURER / DIRECTOR 0.00	0.	0.	0.
DAVID S. NEWHALL 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	SECRETARY / DIRECTOR 0.00	0.	0.	0.
DONNA CHESEBROUGH 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	DIRECTOR 0.00	0.	0.	0.
NATASHA HUNT 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	DIRECTOR 0.00	0.	0.	0.
SCOTT DUNHAM 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	DIRECTOR 0.00	0.	0.	0.
ANGELICA C. SIMMONS 57 POST STREET, SUITE 510 SAN FRANCISCO, CA 94104	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

## NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THE HENRY MAYO NEWHALL FOUNDATION, C/O KRAMER, BLUM AND ASSOCIATES, INC.  
57 POST STREET  
SAN FRANCISCO, CA 94104

## TELEPHONE NUMBER

(415) 986-2966

## FORM AND CONTENT OF APPLICATIONS

PROPOSALS SHOULD INCLUDE A DESCRIPTION OF THE CHARITY, THE AMOUNT OF THE REQUEST, AND A WRITTEN DESCRIPTION OF THE PROJECT WITH BUDGET. THE CHARITY'S ANNUAL RETURN AND TAX EXEMPT PAPERWORK SHOULD BE INCLUDED WITH THE REQUEST

## ANY SUBMISSION DEADLINES

NONE

## RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

# Realized Gain and Loss Report

Holdings - Realized Gain and Loss As Of 01/01/2009 - 12/31/2009

THE HENRY MAYO NEWHALL FOUNDATION #94-6073084

## ATTACHMENT A

Shares	Description	Date Purchased	Realized Date	Cost	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
5,000 00	ISHARES S&P GLBL FINL SEC IND FD	D 12/19/2008	02/23/2009	171,250 50	111,863 42	(59,387 08)	-
500,000 00	MERRILL LYNCH & CO - 0 000 11/30/2010	D 12/19/2008	04/07/2009	495,809 70	449,975 00	(45,834 70)	-
20,894 45	E I I INTERNATIONAL PPTY FD	D 12/19/2008	02/23/2009	220,436 00	175,231 71	(45,204 29)	-
3,500 00	VANGUARD REIT ETF	D 12/19/2008	03/20/2009	129,220 00	84,620 57	(44,599 43)	-
1,000 00	ULTRASHT RUSSELL1000 GRWTH	D 12/19/2008	03/20/2009	115,750 00	83,477 78	(32,272 22)	-
10,000 00	UTILITIES SELECT SECTOR SPDR	D 12/19/2008	02/24/2009	285,800 00	259,289 59	(26,510 41)	-
6,000 00	CARNIVAL CORP	D 12/19/2008	01/28/2009	145,200 00	118,937 38	(26,262 62)	-
8,000 00	ISHARES S&P GLBL HLTHCARE SEC FD	D 12/19/2008	02/23/2009	350,080 00	327,651 61	(22,428 39)	-
3,750 00	NESTLE S A ADR	D 12/19/2008	03/20/2009	138,016 00	119,690 37	(18,325 63)	-
3,000 00	COLGATE PALMOLIVE	D 12/19/2008	02/23/2009	195,000 00	176,824 45	(18,175 55)	-
4,280 00	GENERAL ELECTRIC	D 12/19/2008	05/07/2009	70,620 00	59,481 52	(11,138 48)	-
3,000 00	MATERIALS SELECT SECTOR SPDR	D 12/19/2008	02/23/2009	68,340 00	58,820 72	(9,519 28)	-
3,000 00	JACOBS ENGINEERING GRP INC	D 12/19/2008	01/28/2009	137,970 00	128,645 32	(9,324 68)	-
6,000 00	DUPONT	D 12/19/2008	01/28/2009	153,600 00	145,493 23	(8,106 77)	-
250,000 00	GLAXOSMITHKLINE CAP INC - 4 375 04/15/2014	D 12/19/2008	04/06/2009	258,466 61	250,647 50	(7,819 11)	-
3,000 00	TRAVELERS COS INC/THE	D 12/19/2008	01/28/2009	128,520 00	122,630 36	(5,889 64)	-
3,000 00	EBAY INC	D 12/19/2008	01/28/2009	43,350 00	37,640 83	(5,709 17)	-
8,000 00	YUM! BRANDS INC	D 12/19/2008	01/28/2009	243,120 00	239,360 90	(3,759 10)	-
6,000 00	WALT DISNEY CO	D 12/19/2008	01/28/2009	134,580 00	132,061 11	(2,518 89)	-
2,500 00	ISHARES NASDAQ BIOTECH IN FD	D 12/19/2008	02/23/2009	174,675 00	172,428 08	(2,246 92)	-
5,000 00	QUEST DIAGNOSTICS -	D 12/19/2008	02/23/2009	250,800 00	249,489 65	(1,310 35)	-
300,000 00	BARCLAYS BANK PLC - 0 000 01/19/2011	D 12/19/2008	04/06/2009	278,099 74	277,205 00	(894 74)	-
260 00	GENERAL DYNAMICS COR	D 12/19/2008	05/07/2009	14,523 60	14,010 06	(513 54)	-
1,130 00	PEPSICO	D 12/19/2008	06/08/2009	61,121 70	60,743 48	(378 22)	-
7,000 00	VODAFONE GROUP PLC-SP ADR	D 12/19/2008	01/28/2009	134,470 00	134,390 29	(79 71)	-
250,000 00	FHLB - 6 500 08/14/2009	D 12/19/2008	08/14/2009	250,000 00	250,000 00	-	-
930 00	UNITED TECHNOLOGIES	D 12/19/2008	05/07/2009	47,774 10	47,875 81	101 71	-
160 00	BANK OF NEW YORK MELLON CORP	D 12/19/2008	05/07/2009	4,204 80	4,757 39	552 59	-
925 00	PROCTER&GAMBLE	D 12/19/2008	12/08/2009	55,666 50	57,039 84	1,373 34	-
870 00	BANK OF NEW YORK MELLON CORP	D 12/19/2008	06/08/2009	22,863 60	24,603 58	1,739 98	-
250,000 00	ASTRAZENECA PLC - 5 400 09/15/2012	D 12/19/2008	04/06/2009	262,324 90	264,280 00	1 955 10	-
7,000 00	TEXAS INSTRUMENTS	D 12/19/2008	01/28/2009	105,560 00	107,860 44	2,300 44	-
250,000 00	TARGET CORP - 5 125 01/15/2013	D 12/19/2008	04/06/2009	253,226 66	257,553 00	4,326 34	-
15,000 00	POWERSHARES DB COMMODITY IND FD	D 12/19/2008	05/05/2009	307,500 00	312,283 02	4,783 02	-
4,000 00	HARSCO CORP	D 12/19/2008	01/28/2009	106,880 00	112,590 41	5,710 41	-
6,290 00	INTEL CORP	D 12/19/2008	05/07/2009	90,827 60	97,800 32	6,972 72	-
2,000 00	MONSANTO CO	D 12/19/2008	02/24/2009	143,260 00	150,577 50	7,317 50	-
1,300 00	GOLDMAN SACHS GRP	D 12/19/2008	01/28/2009	104,949 00	112,696 25	7,747 25	-
15,000 00	EMC CORP	D 12/19/2008	01/28/2009	164,100 00	172,494 58	8,394 58	-
1,650 00	CVS CAREMARK CORPORATION	D 12/19/2008	11/23/2009	44,484 00	53,027 64	8,543 64	-
470 00	INTL BUSINESS MACHINES CORP	D 12/19/2008	05/07/2009	39,254 40	48,303 48	9,049 08	-
5,000 00	JOHNSON & JOHNSON	D 12/19/2008	09/29/2009	294,200 00	305,185 14	10,985 14	-
7,000 00	ANADARKO PETE CORP -	D 12/19/2008	01/28/2009	260,120 00	271,598 62	11,478 62	-
8,710 00	INTEL CORP	D 12/19/2008	06/08/2009	125,772 40	137,875 51	12,103 11	-
8,000 00	HALLIBURTON	D 12/19/2008	01/28/2009	140,080 00	154,310 98	14,230 98	-
1,265 00	TARGET CORP	D 12/19/2008	12/08/2009	43,541 30	58,005 00	14,463 70	-
7,000 00	CHEVRON CORP	D 12/19/2008	01/28/2009	495,950 00	511,519 48	15,569 48	-
1,000 00	ULTRASHT RUSSELL1000 VL PROSHRS	D 12/19/2008	03/20/2009	117,260 00	134,844 19	17,584 19	-
1,115 00	UNITED TECHNOLOGIES	D 12/19/2008	11/24/2009	57,277 55	75,875 99	18,598 44	-
10,000 00	CORNING INC -	D 12/19/2008	01/28/2009	87,900 00	107,525 44	19,625 44	-
7,000 00	EXXON MOBIL CORP	D 12/19/2008	01/28/2009	525,140 00	549,194 67	24,054 67	-
1,555 00	ABBOTT LAB	D 12/19/2008	09/29/2009	50,086 55	76,622 12	26,535 57	-
3,895 00	MICROSOFT CORP	D 12/19/2008	10/20/2009	74,472 40	102,284 75	27,812 35	-
5,750 00	CISCO SYSTEMS INC	D 12/19/2008	09/22/2009	95,680 00	136,224 52	40,544 52	-
7,105 00	MICROSOFT CORP	D 12/19/2008	09/22/2009	135,847 60	180,325 52	44,477 92	-
4,700 00	ISHARES RUSSELL 2000 GRTH FD	D 12/19/2008	11/05/2009	236,645 00	291,759 55	55,114 55	-
7,000 00	WYETH	D 12/19/2008	10/16/2009	260,190 00	352,765 70	92,575 70	-

# Realized Gain and Loss Report

Holdings - Realized Gain and Loss As Of 01/01/2009 - 12/31/2009

THE HENRY MAYO NEWHALL FOUNDATION #94-6073084

## ATTACHMENT A

Shares	Description	Date Purchased	Realized Date	Cost	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
22,000 00	ISHARES MSCI EAFE INDEX FUND	D 12/19/2008	11/05/2009	953,920 00	1,206,239 84	252,319 84	-
22,500 00	ISHARES MSCI EMERGING MKT IN FD	D 12/19/2008	11/05/2009	572,400 00	886,918 25	314,518 25	-
2,000 00	URS CORP	P 06/08/2009	11/24/2009	103,246 75	85,315 45	(17,931 30)	-
1,810 00	KAYNE ANDERSN MLP INV CO	P 10/14/2008	01/23/2009	40,769 43	27,856 75	(12,912 68)	-
607 00	WAL-MART STORES	P 10/03/2008	09/17/2009	36,282 48	30,407 96	(5,874 52)	-
1,470 00	RAYTHEON COMPANY	P 05/07/2009	09/17/2009	69,698 71	67,438 79	(2,259 92)	-
870 00	LOCKHEED MARTIN CORP -	P 05/07/2009	12/08/2009	69,222 67	67,225 44	(1,997 23)	-
260 00	JOHNSON & JOHNSON	P 10/03/2008	09/29/2009	17,288 42	15,869 63	(1,418 79)	-
589 49	E I I INTERNATIONAL PPTY FD	P 12/29/2008	02/23/2009	6,201 47	4,943 79	(1,257 68)	-
860 00	EXELON CORP	P 09/29/2009	12/17/2009	43,115 76	42,608 15	(507 61)	-
4,160 00	ISHARES BARCLAYS 1-3 YR CRD BND -	P 10/30/2009	11/04/2009	433,476 86	433,105 37	(371 49)	-
6,000 00	ISHARES S&P SMLCAP 600 FD	P 10/14/2008	08/12/2009	300,596 95	300,283 33	(313 62)	-
50 25	E I I INTERNATIONAL PPTY FD	P 12/29/2008	02/23/2009	528 63	421 43	(107 20)	-
660 00	ISHARES BARCLAYS 1-3 YR CRD BND -	P 10/30/2009	11/04/2009	68,766 17	68,713 83	(52 34)	-
2,170 00	WAL-MART STORES	P 05/07/2009	09/17/2009	108,725 95	108,707 21	(18 74)	-
125,000 00	BANKAMER CORP SBNT - 5 875 02/15/2009	P 04/03/2008	02/15/2009	125,009 89	125,000 00	(9 89)	-
0 28	MERCK & CO INC NEW COM	P 01/01/1900	11/06/2009	12 64	9 02	-	(3 62)
2,100 00	CISCO SYSTEMS INC	P 05/08/1997	09/22/2009	14,402 50	49,751 56	-	35,349 06
100,000 00	IBM CORP - 5 375 02/01/2009	P 04/23/2001	02/01/2009	100,000 00	100,000 00	-	-
550 00	BANK OF AMERICA CORP	P 03/08/2002	05/07/2009	18,634 79	7,215 32	-	(11,419 47)
500 00	EMERSON ELECTRIC	P 04/10/2002	05/08/2009	14,524 50	18,246 64	-	3,722 14
75,000 00	BANKAMER CORP SBNT - 5 875 02/15/2009	P 04/07/2003	02/15/2009	75,004 22	75,000 00	-	(4 22)
2,465 72	SCHERING PLOUGH	P 09/24/2004	11/03/2009	57,484 69	61,162 50	-	3,677 81
25,000 00	HOUSEHOLD FIN CO - 7 000 05/15/2012	P 11/02/2004	01/15/2009	26,891 19	26,100 00	-	(791 19)
100,000 00	AMER GENL FIN - 5 375 09/01/2009	P 11/02/2004	09/01/2009	100,000 00	100,000 00	-	-
670 00	UNITED TECHNOLOGIES	P 04/21/2005	05/07/2009	33,606 05	34,491 18	-	885 13
920 00	PROCTER&GAMBLE	P 09/29/2005	12/08/2009	52,843 23	56,731 53	-	3,888 30
110 00	CVS CAREMARK CORPORATION	P 09/30/2005	05/07/2009	3,293 78	3,507 52	-	213 74
995 00	CVS CAREMARK CORPORATION	P 09/30/2005	11/23/2009	29,793 71	31,977 27	-	2,183 56
1,400 00	CVS CAREMARK CORPORATION	P 09/30/2005	11/23/2009	41,920 80	44,993 14	-	3,072 34
1,000 00	BOEING -	P 02/09/2006	01/28/2009	72,075 95	42,830 81	-	(29,245 14)
1,170 00	ISHARES S&P MIDCAP 400/GROWTH	P 03/23/2006	08/12/2009	92,771 58	81,210 36	-	(11,561 22)
140 00	PRAXAIR INC	P 10/18/2006	05/08/2009	8,312 91	10,244 16	-	1,931 25
650 00	PRAXAIR INC	P 10/18/2006	05/08/2009	38,595 67	47,562 20	-	8,966 53
635 00	CVS CAREMARK CORPORATION	P 10/24/2006	05/07/2009	19,974 96	20,248 06	-	273 10
865 00	CVS CAREMARK CORPORATION	P 10/24/2006	05/07/2009	27,209 99	27,582 00	-	372 01
220 00	PEPSICO	P 11/01/2006	06/08/2009	13,977 82	11,826 17	-	(2,151 65)
21,350 60	PSE&G TRANSITION FNDG LLC 2001-1 - 6 450 03/15/2	P 11/14/2006	09/15/2009	21,998 56	21,350 60	-	(647 96)
22,290 33	PSE&G TRANSITION FNDG LLC 2001-1 - 6 450 03/15/2	P 11/14/2006	12/15/2009	22,922 65	22,290 33	-	(632 32)
840 00	EXELON CORP	P 12/01/2006	12/17/2009	51,482 80	41,617 26	-	(9,865 54)
700 00	EMERSON ELECTRIC	P 12/07/2006	05/08/2009	30,116 95	25,545 30	-	(4,571 65)
600 00	PEPSICO	P 02/28/2007	06/08/2009	37,916 21	32,253 18	-	(5,663 03)
60 00	DANAHER CORP -	P 03/01/2007	12/08/2009	4,292 76	4,271 96	-	(20 80)
90 00	HEWLETT-PACKARD CO	P 03/07/2007	05/07/2009	3,597 17	3,109 91	-	(487 26)
1,400 00	HEWLETT-PACKARD CO	P 03/07/2007	05/07/2009	55,955 92	48,376 31	-	(7,579 61)
110 00	HEWLETT-PACKARD CO	P 03/09/2007	05/07/2009	4,396 75	3,801 00	-	(595 75)
910 00	DANAHER CORP -	P 03/09/2007	12/08/2009	64,657 17	64,791 33	-	134 16
80 00	PRAXAIR INC	P 03/14/2007	05/08/2009	4,856 43	5,853 81	-	997 38
550 00	PRAXAIR INC	P 03/14/2007	05/08/2009	33,387 93	40,244 94	-	6,857 01
7,034 36	PG&E ENERGY RECOVERY FDG LLC - 5 030 03/25/2014	P 04/11/2007	06/25/2009	7,030 95	7,034 36	-	3 41
8,837 03	PG&E ENERGY RECOVERY FDG LLC - 5 030 03/25/2014	P 04/11/2007	09/25/2009	8,832 94	8,837 03	-	4 09
8,507 77	PG&E ENERGY RECOVERY FDG LLC - 5 030 03/25/2014	P 04/11/2007	12/25/2009	8,504 03	8,507 77	-	3 74
240 00	INTERCONTINENTALEXCHANGE INC	P 05/07/2007	02/24/2009	32,985 26	12,951 47	-	(20,033 79)
390 00	INTERCONTINENTALEXCHANGE INC	P 05/09/2007	02/24/2009	52,708 12	21,046 15	-	(31,661 97)
1,020 00	GENERAL DYNAMICS COR	P 06/20/2007	05/07/2009	81,966 85	54,962 54	-	(27,004 31)
1,490 00	CHESAPEAKE ENERGY CORP	P 06/27/2007	03/20/2009	52,138 05	27,304 70	-	(24,833 35)
460 00	CHESAPEAKE ENERGY CORP	P 07/03/2007	03/20/2009	16,158 19	8,429 64	-	(7,728 55)

# Realized Gain and Loss Report

Holdings - Realized Gain and Loss As Of 01/01/2009 - 12/31/2009

THE HENRY MAYO NEWHALL FOUNDATION #94-6073084

ATTACHMENT A

Shares	Description	Date Purchased	Realized Date	Cost	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
720 00	GENERAL DYNAMICS COR	P 07/06/2007	05/07/2009	57,491 80	38,797 08	-	(18,694 72)
820 00	DEERE	P 07/23/2007	02/24/2009	52,390 02	22,457 37	-	(29,932 65)
280 00	MONSANTO CO	P 07/30/2007	02/24/2009	18,149 46	21,080 85	-	2,931 39
810 00	ROCKWELL COLLINS	P 07/30/2007	03/20/2009	57,323 13	25,296 11	-	(32,027 02)
2,120 00	SCHWAB CHARLES CORP -	P 07/30/2007	05/07/2009	42,684 26	38,111 61	-	(4,572 65)
720 00	DEERE	P 08/02/2007	02/24/2009	42,930 05	19,718 66	-	(23,211 39)
600 00	BARD (C R ) -	P 08/02/2007	04/30/2009	48,186 95	43,011 50	-	(5,175 45)
1,670 00	SCHWAB CHARLES CORP -	P 09/04/2007	05/07/2009	33,241 61	30,021 88	-	(3,219 73)
530 00	EMERSON ELECTRIC	P 09/04/2007	05/08/2009	26,148 70	19,341 44	-	(6,807 26)
150 00	GOOGLE INC-CL A	P 09/04/2007	10/27/2009	78,554 30	81,859 42	-	3,305 12
110 00	GOOGLE INC-CL A	P 09/05/2007	10/27/2009	58,032 30	60,030 25	-	1,997 95
80 00	GOOGLE INC-CL A	P 09/14/2007	10/27/2009	42,324 38	43,658 36	-	1,333 98
940 00	MEMC ELECTRONIC MAT -	P 09/19/2007	02/24/2009	55,623 45	12,695 50	-	(42,927 95)
10 00	GOOGLE INC-CL A	P 09/19/2007	10/27/2009	5,406 31	5,457 30	-	50 99
1,260 00	MEMC ELECTRONIC MAT -	P 10/11/2007	02/24/2009	81,467 19	17,017 38	-	(64,449 81)
290 00	UNITED TECHNOLOGIES	P 10/18/2007	05/07/2009	22,552 99	14,929 02	-	(7,623 97)
430 00	UNITED TECHNOLOGIES	P 10/18/2007	05/07/2009	33,440 63	22,136 13	-	(11,304 50)
390 00	UNITED TECHNOLOGIES	P 10/29/2007	05/07/2009	29,529 67	20,076 96	-	(9,452 71)
930 00	EMERSON ELECTRIC	P 10/31/2007	05/08/2009	48,312 38	33,938 76	-	(14,373 62)
2,370 00	AVON PRODUCTS INC	P 11/29/2007	02/23/2009	97,598 49	42,525 91	-	(55,072 58)
1,650 00	THERMO FISHER SCIENTIFIC INC	P 11/29/2007	05/07/2009	94,734 31	60,366 20	-	(34,368 11)
1,370 00	CISCO SYSTEMS INC	P 11/29/2007	09/22/2009	38,416 53	32,456 97	-	(5,959 56)
580 00	CVS CAREMARK CORPORATION	P 12/05/2007	05/07/2009	22,617 36	18,494 29	-	(4,123 07)
570 00	CVS CAREMARK CORPORATION	P 12/07/2007	05/07/2009	22,870 03	18,175 42	-	(4,694 61)
890 00	ALLERGAN INC -	P 12/27/2007	02/23/2009	57,672 07	35,684 53	-	(21,987 54)
850 00	CVS CAREMARK CORPORATION	P 12/27/2007	05/07/2009	33,746 71	27,103 70	-	(6,643 01)
630 00	ALLERGAN INC -	P 01/03/2008	02/23/2009	39,830 86	25,259 84	-	(14,571 02)
920 00	THERMO FISHER SCIENTIFIC INC	P 01/03/2008	05/07/2009	52,027 03	33,658 73	-	(18,368 30)
550 00	BANK OF AMERICA CORP	P 01/23/2008	05/07/2009	21,989 00	7,215 32	-	(14,773 68)
455 00	TARGET CORP	P 01/23/2008	12/08/2009	24,770 75	20,863 46	-	(3,907 29)
475 00	TARGET CORP	P 01/23/2008	12/08/2009	25,859 57	21,780 54	-	(4,079 03)
600 00	BANK OF NEW YORK MELLON CORP	P 01/24/2008	05/07/2009	27,370 31	17,840 19	-	(9,530 12)
630 00	PEPSICO	P 01/28/2008	06/08/2009	43,866 13	33,865 84	-	(10,000 29)
120 00	PEPSICO	P 01/28/2008	06/08/2009	8,355 45	6,450 64	-	(1,904 81)
420 00	INTL BUSINESS MACHINES CORP	P 01/29/2008	05/07/2009	44,479 80	43,164 81	-	(1,314 99)
450 00	BEST BUY CO INC	P 01/29/2008	06/08/2009	21,620 08	17,280 50	-	(4,339 58)
1,560 00	LIBERTY GLOBAL INC-A	P 01/29/2008	06/08/2009	61,304 38	21,020 86	-	(40,283 52)
550 00	TARGET CORP	P 01/29/2008	12/08/2009	29,011 73	25,219 57	-	(3,792 16)
470 00	BANK OF AMERICA CORP	P 01/30/2008	05/07/2009	19,803 48	6,165 82	-	(13,637 66)
600 00	BANK OF NEW YORK MELLON CORP	P 01/30/2008	05/07/2009	27,351 71	17,840 19	-	(9,511 52)
2,160 00	INTEL CORP	P 01/30/2008	05/07/2009	44,622 59	33,584 85	-	(11,037 74)
85 00	INTL BUSINESS MACHINES CORP	P 01/30/2008	05/07/2009	8,942 56	8,735 74	-	(206 82)
245 00	INTL BUSINESS MACHINES CORP	P 01/30/2008	05/07/2009	25,775 60	25,179 47	-	(596 13)
470 00	INTL BUSINESS MACHINES CORP	P 01/30/2008	05/07/2009	49,447 07	48,303 48	-	(1,143 59)
50 00	PROCTER&GAMBLE	P 02/04/2008	06/08/2009	3,346 30	2,634 50	-	(711 80)
130 00	PROCTER&GAMBLE	P 02/04/2008	06/08/2009	8,700 38	6,849 69	-	(1,850 69)
740 00	PROCTER&GAMBLE	P 02/04/2008	06/08/2009	49,525 23	38,990 53	-	(10,534 70)
280 00	PROCTER&GAMBLE	P 02/04/2008	12/08/2009	18,739 28	17,266 12	-	(1,473 16)
250,000 00	VI ST GO(MBIA) - 5 000 05/01/2014	P 04/03/2008	04/08/2009	269,626 80	279,120 00	-	9,493 20
250,000 00	RICHARDSON TX GO(MBIA) - 5 250 02/15/2016	P 04/03/2008	06/18/2009	270,113 64	274,637 50	-	4,523 86
200,000 00	TEXTRON INC - 6 500 06/01/2012	P 04/03/2008	10/13/2009	211,468 90	208,000 00	-	(3,468 90)
200,000 00	HMNT 2006-1 A - 5 100 06/15/2012	P 05/20/2008	09/15/2009	201,846 19	200,000 00	-	(1,846 19)
200,000 00	BOIT 2004-A6 A6 - 3 940 04/15/2012	P 05/28/2008	08/17/2009	200,600 45	200,000 00	-	(600 45)
0 75	TAIWAN-SEMICONCTR-SP	P 05/07/2009	08/19/2009	7 70	7 71	0 01	-
35 00	DANAHER CORP -	P 01/28/2009	12/08/2009	2,010 62	2,491 97	481 35	-
635 00	PFIZER	P 10/16/2009	12/22/2009	11,214 10	11,779 07	564 97	-
1,590 00	GILEAD SCIENCES INC	P 05/07/2009	11/09/2009	72,331 69	73,639 38	1,307 69	-

# Realized Gain and Loss Report

Holdings - Realized Gain and Loss As Of 01/01/2009 - 12/31/2009

THE HENRY MAYO NEWHALL FOUNDATION #94-6073084

## ATTACHMENT A

Shares	Description	Date Purchased	Realized Date	Cost	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
1,850 00	VF CORP	P 01/28/2009	05/07/2009	107,680 62	109,460 13	1,779 51	-
1,680 00	FLUOR CORP	P 05/07/2009	12/17/2009	70,295 11	72,462 70	2,167 59	-
4,325 00	PFIZER	P 10/19/2009	12/22/2009	77,988 70	80,227 53	2,238 83	-
1,460 00	EMERSON ELECTRIC	P 01/28/2009	05/08/2009	51,004 41	53,280 21	2,275 80	-
6,381 00	TAIWAN-SEMICONCTR-SP	P 05/07/2009	12/08/2009	65,533 25	68,910 45	3,377 20	-
3,990 00	SYMANTEC CORP	P 05/07/2009	09/17/2009	59,775 16	63,559 69	3,784 53	-
1,330 00	WELLS FARGO & CO	P 01/28/2009	05/07/2009	27,267 90	32,761 40	5,493 50	-
500 00	BHP BILLITON LTD-SP ADR	P 06/02/2009	12/08/2009	30,037 45	36,266 11	6,228 66	-
515 00	MSCI INC	P 01/28/2009	11/11/2009	9,276 03	16,601 67	7,325 64	-
6,390 00	METAVANTE TECHNOLOGIES	P 04/03/2009	05/07/2009	148,422 06	156,499 21	8,077 15	-
4,785 00	AT&T INC	P 01/28/2009	12/08/2009	123,889 21	132,538 84	8,649 63	-
2,620 00	MC DONALDS	P 05/07/2009	09/17/2009	139,104 75	147,760 49	8,655 74	-
1,750 00	EATON VANCE CORP	P 01/28/2009	05/07/2009	35,623 03	47,193 28	11,570 25	-
150,000 00	MAINE ST GO - 5 000 05/15/2017	P 10/10/2008	06/18/2009	155,862 25	167,535 50	11,673 25	-
1,200 00	MSCI INC	P 05/07/2009	11/11/2009	26,988 31	38,683 49	11,695 18	-
1,610 00	KOHL'S CORP	P 05/07/2009	12/08/2009	71,685 35	86,898 22	15,212 87	-
1,470 00	AMGEN INC	P 05/07/2009	10/08/2009	70,493 10	87,147 61	16,654 51	-
2,880 00	BAXTER INTL INC	P 05/07/2009	09/17/2009	142,873 65	161,713 00	18,839 35	-
1,385 00	MSCI INC	P 01/28/2009	12/04/2009	24,946 22	44,211 71	19,265 49	-
5,780 00	INTUIT INC	P 05/07/2009	09/17/2009	137,590 29	160,308 51	22,718 22	-
250,000 00	R-MASACHUSETTS ST(AMBAC)16 - 5 000 11/01/2024	P 10/10/2008	06/18/2009	261,263 82	284,547 50	23,283 68	-
3,310 00	ONEOK INC NEW COM	P 01/28/2009	11/20/2009	101,450 85	128,571 97	27,121 12	-
2,850 00	WESTERN DIGITAL CORP	P 05/07/2009	09/29/2009	66,983 10	104,098 87	37,115 77	-
8,330 00	ISHARES TR-S&P MC400 FD	P 10/14/2008	08/12/2009	501,221 72	543,776 13	42,554 41	-
8,440 00	BANK OF AMERICA CORP	P 01/28/2009	05/07/2009	61,114 55	110,722 41	49,607 86	-
Total				19,454,119 37	19,763,620 17	999,938 00	(690,437.20)
D Short Term Transactions				10,928,177 21	11,603,428 46	675,251 25	
P Short Term Transactions				4,076,865 14	4,401,551 89	324,686 75	
P Long Term Transactions				4,449,077 02	3,758,639 82		(690,437 20)
Total				19,454,119 37	19,763,620 17	999,938 00	(690,437 20)

2009 Grant Payments  
THE HENRY MAYO NEWHALL FOUNDATION #94-6073084  
ATTACHMENT B

			GRANT PAYMENTS	UNPAID GRANTS
GRANTEE	LOCATION	PURPOSE	YTD	12/31/2009
<b>Santa Clarita Valley</b>				
Boys & Girls Club of SCV	Santa Clarita	For support to sustain core programs	20,000	10,000
California Institute of the Arts	Valencia	For the Community Arts Partnership programs for SCV youth	15,000	
Carousel Ranch	Agua Dulce	For operating support and scholarships	25,000	
College of the Canyons Foundation	Santa Clarita	For demonstration children's garden program at two campuses (matching grant)	12,250	
Samuel Dixon Family Health Centers	Valencia	For start-up funding to establish a second physician position and serve more patients	80,000	
Domestic Violence Center of SCV	Newhall	For operating support	0	20,000
ESCAPE Theatre	Canyon Country	For the afterschool musical theatre program	10,000	
Fillmore High School	Fillmore	For scholarships to college-bound graduates	16,000	
Foundation for Children's Dental Health	Santa Clarita	For operating support	15,000	
Hart Union High School District	Santa Clarita	For scholarships to college-bound graduates	120,000	
International Documentary Association	Los Angeles	For <i>Escape from Death Valley</i> documentary (matching grant) Rescind	0	-12,500
Junior Statesmen Foundation	San Mateo	For scholarships to Santa Clarita Valley high school students for civics education programs (Yr 2 matching grant)	25,000	
LetmeSail	Newhall	For core social, recreational and educational programs for teens and adults with disabilities	20,000	
Natural History Museum of LA County	Los Angeles	For W S Hart Museum education outreach programs	10,000	
Newhall School District	Valencia	For new science laboratory at Peachland Elementary School	10,000	
Piru Elementary School	Piru	For expanded art instruction programs in K-6 grades	22,500	
San Cayetano Elementary School	Fillmore	For Student Art Program	6,000	
SCV Education Foundation	Santa Clarita	For Annual Literacy & Arts Festival (matching grant)	5,000	
SCV Film Festival	Newhall	For after-school and summer programs for student filmmakers (matching grant)	5,000	
SCV Food Pantry	Newhall	For supplemental food distribution programs	25,000	
SCV Historical Society	Newhall	For restoration, repair and painting of Saugus Train Station	50,000	
SCV Youth Orchestra Foundation	Santa Clarita	For the purchase of musical scores for orchestral lending library (matching grant)	0	9,000
Saugus Union School District	Santa Clarita	For after-school educational enrichment programs	18,000	
Santa Clarita Valley Youth Project	Santa Clarita	For Peer Mentoring Program (matching grant)	20,000	
Southern California Horseshoe Pitchers Association	Santa Clarita	For horseshoe courts in Rioux Memorial Park	5,000	
California 4-H Foundation	Davis	For the capacity building demonstration project in Merced and Santa Barbara Counties (matching grant)	37,500	37,500
Community Partners in Caring	Santa Maria	For the elderly transportation program	7,500	
Food Bank of Santa Barbara County	Santa Maria	For the Santa Maria warehouse operations	25,000	
Firebaugh High School	Firebaugh	For scholarships to college-bound graduates	16,000	
Marian Medical Center Foundation	Santa Maria	For interim Neonatal Intensive Care Unit	225,000	
Santa Maria Future Farmers of America	Santa Maria	For core support to prepare agricultural literate students for college or workforce	2,000	
Santa Maria Joint Union HSD	Santa Maria	For scholarships to college-bound graduates	48,000	
Santa Maria Organization of Transportation Helpers	Santa Maria	For the Senior Dial A Ride service for frail and disabled seniors	5,000	
Santa Maria Philharmonic Society	Santa Maria	For general support	20,000	

2009 Grant Payments  
THE HENRY MAYO NEWHALL FOUNDATION #94-6073084  
**ATTACHMENT B**

Santa Maria Valley Discovery Museum	Santa Maria	For the "Campaign to Sustain"	0	15,000
Santa Maria Valley YMCA	Santa Maria	For the KinderCare program for underserved children	20,000	20,000
Transitions-Mental Health Association	Santa Maria	For the Growing Grounds Farm program	15,000	
West Hills Community College Foundation	Coalinga	For Ag student scholarships, academic enrichment and faculty development programs	15,000	
A Home Away from Homelessness	San Francisco	For programs for children living in homeless and domestic violence shelters and transitional housing	20,000	20,000
Aim High	San Francisco	For academic enrichment programs for low-income middle school students	25,000	
Alvarado Elementary School PTA	San Francisco	For the hands-on science education program	10,500	
The Bar Association of San Francisco	San Francisco	For the Volunteer Legal Services Program	10,000	
Beyond Hunger	San Rafael	For programs for individuals with eating disorders	10,000	
California Academy of Sciences	San Francisco	For Teacher Institute on Science and Sustainability	200,000	
Carecen	San Francisco	For dental clinic services (matching grant)	0	10,000
Community Music Center	San Francisco	For music instruction and performance training for children and teen youth	15,000	
Creativity Explored	San Francisco	For a challenge grant to increase donor contributions (matching grant)	0	10,000
Curry Senior Center	San Francisco	For the recruitment, training and support of volunteers and interns	25,000	
Environmental Traveling Companions	San Francisco	For accessible outdoor programming for youth and young adults with physical and developmental disabilities	15,000	
The Foundation Center	San Francisco	For operating support of San Francisco regional resource center for grantmakers and grantseekers	5,000	
Good Samaritan Family Resource Center	San Francisco	For partial support of the family services clinical supervisor position	25,000	
Indochinese Housing Development Corp	San Francisco	For the Children, Youth and Family Program	7,500	
Marshall Elementary School PTA	San Francisco	For <i>Science Alive</i> education program	10,000	
Mechanics' Institute	San Francisco	For lobby restoration project (matching grant)	21,000	
Mission Learning Center	San Francisco	For the afterschool reading programs for Mission District children (matching grant)	0	15,000
Mission Science Workshop	San Francisco	For classroom science enrichment workshops (matching grant)	10,000	
PRBO Conservation Science	San Francisco	For Alcatraz Island Seabird Education and Awareness program (matching grant)	20,000	
San Francisco Botanical Garden Society	San Francisco	For Youth Education Program	10,000	
San Francisco Food Bank	San Francisco	For Healthy Children Pantry program	25,000	
San Francisco Green Schoolyard Alliance	San Francisco	For Garden Coordinator Challenge Project	20,000	
San Francisco Maritime National Park Association	San Francisco	For Age of Sail scholarships (matching grant)	15,000	
San Francisco Senior Center	San Francisco	For operating support of Aquatic Park and Downtown senior center programs	20,000	
Streetside Stories	San Francisco	For Storytelling Exchange literacy workshops	15,000	
Sunset Cooperative Nursery School	San Francisco	For capital campaign to rebuild school	10,000	
Swords to Plowshares	San Francisco	For the Frontline Drop-In Center	20,000	
Women's Community Clinic	San Francisco	For free health care for women and girls	20,000	
<b>TOTAL GRANTS</b>			<b>1,554,750</b>	<b>154,000</b>