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Return of Private Foundation

2009

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation ROBERT AND RUTH HALPERIN FOUNDATION		A Employer identification number 94-3334424
	Number and street (or P O box number if mail is not delivered to street address) 18 CROW CANYON COURT	Room/suite 250	B Telephone number (650) 493-4623
	City or town, state, and ZIP code SAN RAMON, CA 94583		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 77,482,404.		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		27,195.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,635.	1,635.		STATEMENT 1
4 Dividends and interest from securities		911,770.	911,770.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<224,209.>			
b Gross sales price for all assets on line 6a	32,975,903.				
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,862,835.	1,862,835.		STATEMENT 3
12 Total. Add lines 1 through 11		2,579,226.	2,776,240.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	STMT 4	21,835.	10,918.		10,917.
c Other professional fees	STMT 5	257,995.	257,995.		0.
17 Interest					
18 Taxes	STMT 6	4,360.	4,360.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 7	855,848.	842,251.		13,597.
24 Total operating and administrative expenses. Add lines 13 through 23		1,140,038.	1,115,524.		24,514.
25 Contributions, gifts, grants paid		2,711,500.			2,711,500.
26 Total expenses and disbursements. Add lines 24 and 25		3,851,538.	1,115,524.		2,736,014.
27 Subtract line 26 from line 12:		<1,272,312.>			
a Excess of revenue over expenses and disbursements			1,660,716.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED NOV 19 2010 Revenue

Operating and Administrative Expenses

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			65,554.	58,513.	58,513.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 8	36,332,628.	34,353,649.	36,673,861.		
	c Investments - corporate bonds					
Liabilities	11 Investments - land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other STMT 9	42,626,781.	43,331,625.	40,750,030.		
	14 Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers)	79,024,963.	77,743,787.	77,482,404.		
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)	0.	0.			
Foundations that follow SFAS 117, check here ▶ ☐	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒	and complete lines 27 through 31.				
		27 Capital stock, trust principal, or current funds	0.	0.		
		28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
		29 Retained earnings, accumulated income, endowment, or other funds	79,024,963.	77,743,787.		
30 Total net assets or fund balances	79,024,963.	77,743,787.				
31 Total liabilities and net assets/fund balances	79,024,963.	77,743,787.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	79,024,963.
2 Enter amount from Part I, line 27a	2	<1,272,312.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	77,752,651.
5 Decreases not included in line 2 (itemize) ▶ ADJUSTMENT TO BOOK BASIS	5	8,864.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	77,743,787.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 32,975,903.		33,200,112.	<224,209.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<224,209.>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2	<224,209.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	3,217,884.	81,588,769.	.039440
2007	4,815,233.	90,282,852.	.053335
2006	3,907,492.	86,240,132.	.045309
2005	3,965,651.	62,839,771.	.063107
2004	2,521,865.	62,191,449.	.040550

2 Total of line 1, column (d)	2	.241741
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048348
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	67,873,530.
5 Multiply line 4 by line 3	5	3,281,549.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,607.
7 Add lines 5 and 6	7	3,298,156.
8 Enter qualifying distributions from Part XII, line 4	8	2,736,014.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	33,214.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	33,214.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	33,214.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	128,334.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	128,334.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	95,120.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>JEAN WALLACE</u> Telephone no. ► <u>707-769-1769</u> Located at ► <u>811 BLUEGRASS DRIVE, PETALUMA, CA</u> ZIP+4 ► <u>94954</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT HALPERIN	PRESIDENT			
ONE LOMBARD STREET, SUITE 305				
SAN FRANCISCO, CA 94111	1.00	0.	0.	0.
PHILIP W. HALPERIN	VP/TREASURER			
ONE LOMBARD STREET, SUITE 305				
SAN FRANCISCO, CA 94111	4.00	0.	0.	0.
PEGGY DOW	DIRECTOR			
ONE LOMBARD STREET, SUITE 305				
SAN FRANCISCO, CA 94111	1.00	0.	0.	0.
MARK HALPERIN	DIRECTOR			
ONE LOMBARD STREET, SUITE 305				
SAN FRANCISCO, CA 94111	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	33,658,689.
b	Average of monthly cash balances	1b	274,460.
c	Fair market value of all other assets	1c	34,973,988.
d	Total (add lines 1a, b, and c)	1d	68,907,137.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	68,907,137.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,033,607.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	67,873,530.
6	Minimum investment return. Enter 5% of line 5	6	3,393,677.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,393,677.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	33,214.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	33,214.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,360,463.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,360,463.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,360,463.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,736,014.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,736,014.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,736,014.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				3,360,463.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			2,595,000.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 2,736,014.				
a Applied to 2008, but not more than line 2a			2,595,000.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				141,014.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				3,219,449.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

N/A

- ▶

☐ 4942(i)(3) or ☐ 4942(i)(5)

- [illegible]

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ROBERT HALPERIN

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

ROBERT AND RUTH HALPERIN FOUNDATION

Employer identification number

94-3334424

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

ROBERT AND RUTH HALPERIN FOUNDATION

94-3334424

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ROBERT M. HALPERIN 80 RESERVOIR ROAD ATHERTON, CA 94027	\$ 27,195.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
GOLDMAN SACHS	1,635.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,635.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GOLDMAN SACHS	911,770.	0.	911,770.
TOTAL TO FM 990-PF, PART I, LN 4	911,770.	0.	911,770.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GOLDEN GATE CAPITAL INVESTMENT FUND II LP- INTEREST	12,651.	12,651.	
GOLDEN GATE CAPITAL INVESTMENT FUND II LP- LT CAPITAL GAIN	39,695.	39,695.	
BAIN CAPITAL FUND VII LP- INTEREST	18.	18.	
BAIN CAPITAL FUND VII LP- DIVIDENDS	5,548.	5,548.	
BAIN CAPITAL FUND VII LP- LT CAPITAL LOSS	<143,318.>	<143,318.>	
BAIN CAPITAL FUND VII LP- OTHER INCOME	6.	6.	
CCG INVESTMENT BVI LP- INTEREST	22,369.	22,369.	
CCG INVESTMENT BVI LP- DIVIDENDS	21,405.	21,405.	
CCG INVESTMENT BVI LP- LT CAPITAL GAIN	1,699,758.	1,699,758.	
GREYLOCK XI LP- INTEREST	5,253.	5,253.	
GREYLOCK XI LP- ST CAPITAL GAIN	18,542.	18,542.	
GREYLOCK XI LP- LT CAPITAL GAIN	230,009.	230,009.	
NEW ENTERPRISE ASSOC 10 LP- INTEREST	14,676.	14,676.	
NEW ENTERPRISE ASSOC 10 LP- OTHER INCOME	77.	77.	
NEW ENTERPRISE ASSOC 10 LP- ST CAPITAL LOSS	<63,735.>	<63,735.>	

NEW ENTERPRISE ASSOC 10 LP- LT CAPITAL LOSS	<155,218.>	<155,218.>
UNIVERSITY TECHNOLOGY VENTURES LP- INTEREST	1,084.	1,084.
UNIVERSITY TECHNOLOGY VENTURES LP- DIVIDENDS	165.	165.
UNIVERSITY TECHNOLOGY VENTURES LP- ST CAPITAL GAIN	1,147.	1,147.
UNIVERSITY TECHNOLOGY VENTURES LP- LT CAPITAL GAIN	32,793.	32,793.
UNIVERSITY TECHNOLOGY VENTURES LP- OTHER INCOME	67.	67.
UNIVERSITY TECHNOLOGY VENTURES- ORDINARY INCOME(LOSS)	<25.>	<25.>
CCG INVESTMENT FUND LP- INTEREST	212.	212.
CCG INVESTMENT FUND LP- LT CAPITAL LOSS	<114,692.>	<114,692.>
NORTHGATE PRIVATE EQUITY PARTNERS LP- ORDINARY INCOME	1,194.	1,194.
NORTHGATE PRIVATE EQUITY PARTNERS LP- RENTAL INCOME(LOSS)	<36.>	<36.>
NORTHGATE PRIVATE EQUITY PARTNERS LP- INTEREST	2,031.	2,031.
NORTHGATE PRIVATE EQUITY PARTNERS LP- DIVIDENDS	1,036.	1,036.
NORTHGATE PRIVATE EQUITY PARTNERS LP- ROYALTIES	102.	102.
NORTHGATE PRIVATE EQUITY PARTNERS LP- ST CAPITAL GAIN	36.	36.
NORTHGATE PRIVATE EQUITY PARTNERS LP- LT CAPTIAL LOSS	<5,034.>	<5,034.>
NORTHGATE PRIVATE EQUITY PARTNERS LP- OTHER INCOME	416.	416.
NORTHGATE VENTURE PARTNERS II LP- INTEREST	665.	665.
NORTHGATE VENTURE PARTNERS II LP- DIVIDENDS	234.	234.
NORTHGATE VENTURE PARTNERS II LP- ST CAPITAL GAIN	44.	44.
NORTHGATE VENTURE PARTNERS II LP- LT CAPITAL LOSS	<4,830.>	<4,830.>
NORTHGATE VENTURE PARTNERS II LP- OTHER INCOME (LOSS)	608.	608.
NORTHGATE VENTURE PARTNERS II LP- ORDINARY INCOME(LOSS)	<368.>	<368.>
BAIN CAPITAL FUND VIII LP- INTEREST	15.	15.
BAIN CAPITAL FUND VIII LP- DIVIDENDS	705.	705.
BAIN CAPITAL FUND VIII LP- ST CAPITAL GAIN	7,967.	7,967.
BAIN CAPITAL FUND VIII LP- LT CAPITAL LOSS	<36,681.>	<36,681.>
GOLDEN GATE CAPTIAL INVESTMENTS II (BVI) LP- INTEREST	5,847.	5,847.

GOLDEN GATE CAPTIAL INVESTMENTS II (BVI) LP- DIVIDENDS	1,755.	1,755.
GOLDEN GATE CAPTIAL INVESTMENTS II (BVI) LP- LT CAPITAL GAIN	67,618.	67,618.
GOLDEN GATE CAPITAL INVESTMENTS II-A ADJUNCT (BVI) LP - LT CAPITAL GAIN	290.	290.
CHENGWEI VENTURES FUND I LP- INTEREST	121.	121.
CHENGWEI VENTURES FUND I LP- LT CAPITAL GAIN	94,626.	94,626.
FLAG VENTURE PARTNERS IV LP- ORDINARY INCOME(LOSS)	<600.>	<600.>
FLAG VENTURE PARTNERS IV LP- INTEREST	4,086.	4,086.
FLAG VENTURE PARTNERS IV LP- DIVIDENDS	2,019.	2,019.
FLAG VENTURE PARTNERS IV LP- ST CAPTIAL LOSS	<79.>	<79.>
FLAG VENTURE PARTNERS IV LP- LT CAPITAL LOSS	<32,806.>	<32,806.>
FLAG VENTURE PARTNERS IV LP- OTHER INCOME	165.	165.
GOLDEN GATE CAPITAL OPPORTUNITY FUND LP - ORDINARY INCOME(LOSS)	<28,960.>	<28,960.>
GOLDEN GATE CAPITAL OPPORTUNITY FUND LP - RENTAL INCOME	815.	815.
GOLDEN GATE CAPITAL OPPORTUNITY FUND LP - INTEREST	68,604.	68,604.
GOLDEN GATE CAPITAL OPPORTUNITY FUND LP - DIVIDENDS	9,114.	9,114.
GOLDEN GATE CAPITAL OPPORTUNITY FUND LP - ST CAPITAL GAIN	20,517.	20,517.
NORTHGATE PRIVATE EQUITY PARTNERS LP- SECTION 1231 LOSS	<761.>	<761.>
WPC ENTREPRENEUR FUND II LP- INTEREST	13.	13.
WPC ENTREPRENEUR FUND II LP- DIVIDENDS	697.	697.
WPC ENTREPRENEUR FUND II LP- ST CAPITAL GAIN	1.	1.
WPC ENTREPRENEUR FUND II LP- LT CAPITAL LOSS	<8,586.>	<8,586.>
GOLDEN GATE CAPITAL INVESTMENTS II-A ADJUNCT (BVI) LP- INTEREST	5,480.	5,480.
GOLDMAN SACHS- MEZZANINE LLP	88,899.	88,899.
GOLDMAN SACHS- GLOBAL DYNAMICS	18,966.	18,966.
GOLDEN GATE CAPTIAL INVESTMENT FUND II-A LP- INTEREST	6,344.	6,344.
GOLDEN GATE CAPTIAL INVESTMENT FUND II-A LP- LT CAPITAL LOSS	<57,941.>	<57,941.>
TOTAL TO FORM 990-PF, PART I, LINE 11	1,862,835.	1,862,835.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAX PREPARATION & ADVISORY FEES	21,835.	10,918.		10,917.	
TO FORM 990-PF, PG 1, LN 16B	21,835.	10,918.		10,917.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	257,995.	257,995.		0.	
TO FORM 990-PF, PG 1, LN 16C	257,995.	257,995.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES PAID	4,360.	4,360.		0.	
TO FORM 990-PF, PG 1, LN 18	4,360.	4,360.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PARTNERSHIP INTEREST EXPENSES	270.	270.		0.	
PARTNERSHIP INVESTMENT EXPENSES	828,375.	828,375.		0.	
PARTNERSHIP CHARITY EXPENSE	8.	8.		0.	
OFFICE EXPENSE	27,195.	13,598.		13,597.	
TO FORM 990-PF, PG 1, LN 23	855,848.	842,251.		13,597.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
GOLDMAN SACHS - MONEY MARKET FUND	834,609.	834,609.	
GOLDMAN SACHS - SECURITIES	32,642,682.	35,515,564.	
28,241 SHS SEGWAY INC A-1 PREFERRED STOCK	411,895.	28,241.	
5,240 SHS SEGWAY INC A-3 PREFERRED STOCK	76,407.	5,240.	
20,993 SHS SEGWAY INC A-5 PREFERRED STOCK	306,109.	20,993.	
BARCLAY'S - MONEY MARKET FUND	0.	0.	
8,610 SHS NATURAL TANDEM	8,610.	195,877.	
CREDIT SUISSE - MONEY MARKET FUND	73,337.	73,337.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	34,353,649.	36,673,861.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BAIN CAPITAL FUND VII L.P.	COST	994,068.	1,060,013.
CCG INVESTMENTS FUND L.P.	COST	1,564,538.	2,062,841.
CHENGWEI VENTURES FUND I L.P.	COST	1,281,696.	1,810,042.
FLAG VENTURE PARTNERS IV L.P.	COST	1,293,782.	1,456,738.
NEW ENTERPRISE ASSOCIATES 10 L.P.	COST	5,971,906.	3,919,834.
WPC ENTREPRENEUR FUND II L.P.	COST	112,543.	121,665.
INFORMED DIAGNOSTICS	COST	2,762,500.	0.
CCG INVESTMENTS BVI L.P.	COST	949,319.	6,066,582.
GREYLOCK XI LP	COST	2,667,074.	2,367,590.
UNIVERSITY TECHNOLOGY VENTURES	COST	397,468.	403,602.
INFORMED DIAGNOSTICS - BRIDGE	COST	1,250,000.	0.
INFORMED DIAGNOSTICS INC C	COST	5,450,000.	0.
NORTHGATE PRIVATE EQUITY PARTNERS LP	COST	265,588.	358,599.
PICARRO INVESTMENT	COST	6,608,728.	2,120,982.
BAIN CAPITAL AIV (LOEWS) II LP	COST	71,148.	68,797.
BAIN CAPITAL FUND VIII LP	COST	2,861,574.	4,288,738.
CCG INVESTMENTS LLC	COST	7,362.	196,296.
GOLDEN GATE CAPTIAL INVESTMENTS II (BVI) LP	COST	1,951,902.	2,811,532.
GOLDEN GATE CAPITAL INVESTMENT FUND II LP	COST	1,300,775.	3,073,614.
GOLDEN GATE CAPITAL INVESTMENT FUND II-A LP	COST	1,273,066.	3,106,815.
NORTHGATE VENTURE PARTNERS II LP	COST	580,976.	727,299.
BAIN CAPITAL (TRU) VIII LP	COST	367,813.	467,126.
GOLDEN GATE CAPITAL INVESTMENTS II-A ADJUNCT (BVI) LP	COST	883,407.	1,399,431.

GOLDEN GATE CAPITAL INVESTMENTS II LLC	COST	73,221.	89,639.
BAIN CAPITAL (SQ) VIII LP	COST	103,806.	0.
GOLDEN GATE OPPORTUNITY FUND LP	COST	2,287,365.	2,772,255.
TOTAL TO FORM 990-PF, PART II, LINE 13		43,331,625.	40,750,030.

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT 10
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
EASTSIDE COLLEGE PREPARATORY SCHOOL 1041 MYRTLE ST. EAST PALO ALTO, CA 94303	NONE TO FUND GENERAL OPERATING EXPENSES	SECTION 501(C)3 ORG	34,000.
HARVARD BUSINESS SCHOOL SOLDIERS FIELD BOSTON, MA 02163	NONE ROBERT & RUTH HALPERIN FELLOWSHIP FUND	SECTION 501(C)3 ORG	500,000.
NEXT DOOR SOLUTIONS TO DOMESTIC VIOLENCE 234 GISH ROAD, SUITE #200 SAN JOSE, CA 95112	NONE TO FUND GENERAL OPERATING EXPENSES	SECTION 501(C)3 ORG	25,000.
SAN FRANCISCO CHILD ABUSE PREVENTION 1757 WALLER STREET SAN FRANCISCO, CA 94117	NONE TO FUND GENERAL OPERATING EXPENSES	SECTION 501(C)3 ORG	25,000.
SF CASA 100 BUSH STREET, SUITE 650 SAN FRANCISCO, CA 94104	NONE TO FUND GENERAL OPERATING EXPENSES	SECTION 501(C)3 ORG	25,000.
STANFORD UNIVERSITY 300 PASTEUR DRIVE STANFORD, CA 94305	NONE TO SUPPORT BUCKS/CARDINAL CLUB	SECTION 501(C)3 ORG	2,500.
STANFORD UNIVERSITY 300 PASTEUR DRIVE STANFORD, CA 94305	NONE THE ROBERT M. LEVISON, JR. SCHOLARSHIP FUND	SECTION 501(C)3 ORG	50,000.

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STANFORD UNIVERSITY 300 PASTEUR DRIVE STANFORD, CA 94305	NONE TO SUPPORT STANFORD HOSPITALS & CLINICS	SECTION 501(C)3 ORG	250,000.
STANFORD UNIVERSITY 300 PASTEUR DRIVE STANFORD, CA 94305	NONE TO SUPPORT SCHOOL OF EDUCATION K-12 INITIATIVE	SECTION 501(C)3 ORG	250,000.
STANFORD UNIVERSITY 300 PASTEUR DRIVE STANFORD, CA 94305	NONE HALPERIN FAMILY FUND FOR INTERNATIONAL INITIATIVE	SECTION 501(C)3 ORG	250,000.
STANFORD UNIVERSITY 300 PASTEUR DRIVE STANFORD, CA 94305	NONE TO SUPPORT THE CANTOR ARTS CENTER	SECTION 501(C)3 ORG	500,000.
TEACH FOR AMERICA 4300 HORTON STREET, SUITE 15 EAST PALO ALTO, CA 94608	NONE TO FUND GENERAL OPERATING EXPENSES	SECTION 501(C)3 ORG	40,000.
UNIVERSITY OF CHICAGO 5747 SOUTH ELLIS AVE CHICAGO, IL 60637	NONE ODYSSEY SCHOLARSHIP MATCH FUND	SECTION 501(C)3 ORG	760,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

2,711,500.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GOLDMAN SACHS- SEE STATMENT ATTACHED		P	VARIOUS	VARIOUS
b 4,793 SHS INNOPHOS		P	08/31/04	05/22/90
c 19,018 SHS DATA DOMAIN		P	VARIOUS	03/06/09
d 7,948 SHS NATURAL TANDEM		P	VARIOUS	04/15/09
e 3,444 SHS SOURCEFIRE		P	VARIOUS	05/21/09
f 33,327 SHS DATA DOMAIN		P	VARIOUS	07/17/09
g 5,424 SHS SOURCEFIRE		P	VARIOUS	09/09/09
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 31,237,330.		33,087,393.	<1,850,063.>
b 73,337.		11,201.	62,136.
c 225,848.		30,784.	195,064.
d 192,254.		11,568.	180,686.
e 38,744.		8,646.	30,098.
f 1,113,093.		36,904.	1,076,189.
g 95,297.		13,616.	81,681.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,850,063.>
b			62,136.
c			195,064.
d			180,686.
e			30,098.
f			1,076,189.
g			81,681.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<224,209.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
DF Dent: ACG 026-04749-8						
SPDR S&P 500 ETF TRUST SPDI	11/06/08	12/23/09	1600	\$ 179,243	\$ 144,701	\$ 34,543
SPDR S&P 500 ETF TRUST SPDI	10/24/08	12/23/09	750	84,020	65,971	18,049
SPDR S&P 500 ETF TRUST SPDI	12/11/08	12/23/09	190	21,285	17,017	4,268
SPDR S&P 500 ETF TRUST SPDI	12/12/08	12/23/09	100	11,203	8,787	2,416
SPDR S&P 500 ETF TRUST SPDI	12/15/08	12/23/09	85	9,522	7,422	2,100
SPDR S&P 500 ETF TRUST SPDI	12/16/08	12/23/09	155	17,364	13,952	3,412
SPDR S&P 500 ETF TRUST SPDI	12/16/08	12/23/09	145	16,244	13,056	3,188
SPDR S&P 500 ETF TRUST SPDI	12/16/08	12/23/09	255	28,567	22,962	5,605
SPDR S&P 500 ETF TRUST SPDI	12/17/08	12/23/09	140	15,684	12,814	2,870
SPDR S&P 500 ETF TRUST SPDI	12/17/08	12/23/09	150	16,804	13,729	3,075
SPDR S&P 500 ETF TRUST SPDI	12/17/08	12/23/09	190	21,285	17,390	3,895
SPDR S&P 500 ETF TRUST SPDI	12/19/08	12/23/09	120	13,443	10,682	2,761
SPDR S&P 500 ETF TRUST SPDI	12/19/08	12/23/09	120	13,443	10,682	2,761
SPDR S&P 500 ETF TRUST SPDI	12/22/08	12/23/09	50	5,601	4,338	1,264
SPDR S&P 500 ETF TRUST SPDI	12/22/08	12/23/09	80	8,962	6,940	2,022
SPDR S&P 500 ETF TRUST SPDI	12/15/08	12/23/09	170	19,045	14,847	4,198
SPDR S&P 500 ETF TRUST SPDI	12/17/08	12/23/09	260	29,127	23,797	5,330
SPDR S&P 500 ETF TRUST SPDI	10/17/08	12/23/09	750	84,020	72,139	11,881
SPDR S&P 500 ETF TRUST SPDI	09/04/08	12/23/09	2080	233,016	258,948	(25,931)
SPDR S&P 500 ETF TRUST SPDI	10/22/08	12/23/09	750	84,020	67,162	16,858
SPDR S&P 500 ETF TRUST SPDI	12/23/08	12/23/09	60	6,722	5,189	1,532
SPDR S&P 500 ETF TRUST SPDI	02/10/09	12/23/09	940	105,305	78,162	27,144
SPDR S&P 500 ETF TRUST SPDI	05/15/09	12/23/09	2200	246,460	194,920	51,540
SPDR S&P 500 ETF TRUST SPDI	12/23/08	12/23/09	120	13,443	10,378	3,065
SPDR S&P 500 ETF TRUST SPDI	05/13/09	12/23/09	2200	246,460	195,767	50,692
TOTAL				1,530,290	1,291,752	238,539

Snow: ACV 026-04748-0

SPDR S&P 500 ETF TRUST SPDI	04/14/09	12/23/09	7200	806,290	608,785	197,505
SPDR S&P 500 ETF TRUST SPDI	05/12/09	12/23/09	2700	302,359	245,303	57,055
SPDR S&P 500 ETF TRUST SPDI	05/11/09	12/23/09	2750	307,958	251,492	56,466
SPDR S&P 500 ETF TRUST SPDI	06/15/09	12/23/09	1100	123,183	102,233	20,950
Snow: ACV 026-04748-0				1,539,790	1,207,814	331,975

Investment Advisory 026-58648-7

ISHARES DOW JONES U S REA	12/04/08	12/22/09	4400	204,243	140,984	63,258
SPDR S&P 500 ETF TRUST SPDI	01/22/08	10/15/09	300	32,693	39,333	(6,640)
SPDR S&P 500 ETF TRUST SPDI	09/04/08	12/07/09	1920	213,055	239,028	(25,974)
SPDR S&P 500 ETF TRUST SPDI	01/22/08	12/07/09	2580	286,292	338,264	(51,971)
ISHARES MSCI EMERGING MKT	09/26/08	12/07/09	141	5,865	5,057	809
ISHARES MSCI EMERGING MKT	09/04/08	12/07/09	3400	141,435	124,746	16,689
ISHARES MSCI EMERGING MKT	09/25/08	12/07/09	8459	351,881	313,403	38,478
ISHARES TR-ISHARES MSCI EAI	09/04/08	10/15/09	8300	470,658	498,087	(27,429)
GOLDMAN SACHS HIGH YIELD I	12/12/07	12/22/09	28777	200,000	221,953	(21,953)
ISHARES RUSSELL 2000 INDEX	04/23/09	12/07/09	1700	102,698	78,936	23,763
SPDR S&P 500 ETF TRUST SPDI	07/02/09	12/22/09	1650	184,069	148,624	35,445
SPDR S&P 500 ETF TRUST SPDI	07/07/09	12/22/09	1400	156,180	123,905	32,275
SPDR S&P 500 ETF TRUST SPDI	07/21/09	12/22/09	1500	167,336	142,758	24,578
SPDR S&P 500 ETF TRUST SPDI	07/22/09	12/22/09	1570	175,145	150,056	25,088
THE FINANCIAL SELECT SECTO	07/23/08	01/14/09	8500	88,383	191,179	(102,796)
THE FINANCIAL SELECT SECTO	12/12/08	01/14/09	360	3,743	4,311	(568)
Investment Advisory 026-58648-7				2,783,677	2,760,626	23,052

Large Cap Concentrated Growth 026-66893-9

ACTIVISION BLIZZARD INC CMN	03/28/08	02/13/09	400	3,724	5,468	(1,743)
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GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
ACTVISION BLIZZARD INC CMN	04/14/08	07/21/09	902	10,726	12,195	(1,469)
ACTVISION BLIZZARD INC CMN	03/28/08	07/21/09	2458	29,229	33,599	(4,370)
ACTVISION BLIZZARD INC CMN	04/11/08	07/21/09	1420	16,886	19,317	(2,431)
ACTVISION BLIZZARD INC CMN	04/21/08	07/21/09	337	4,007	4,630	(622)
ACTVISION BLIZZARD INC CMN	04/21/08	08/11/09	1019	12,384	13,999	(1,614)
ACTVISION BLIZZARD INC CMN	05/20/08	08/11/09	520	6,320	8,429	(2,109)
AMERICAN TOWER CORPORAT	04/27/06	02/13/09	150	4,384	5,102	(717)
BAXTER INTERNATIONAL INC C	01/09/08	07/21/09	277	14,802	17,337	(2,535)
BAXTER INTERNATIONAL INC C	01/11/08	07/21/09	228	12,184	14,491	(2,308)
CISCO SYSTEMS, INC CMN	04/27/06	02/13/09	240	3,926	5,059	(1,133)
CME GROUP INC CMN CLASS A	01/31/08	05/29/09	13	4,122	8,067	(3,945)
CME GROUP INC CMN CLASS A	02/05/08	05/29/09	22	6,976	13,523	(6,547)
CME GROUP INC CMN CLASS A	02/07/08	05/29/09	10	3,171	5,171	(2,000)
CME GROUP INC CMN CLASS A	02/28/08	05/29/09	17	5,390	8,850	(3,459)
CME GROUP INC CMN CLASS A	02/06/08	05/29/09	14	4,439	7,316	(2,876)
CME GROUP INC CMN CLASS A	02/07/08	05/29/09	21	6,659	11,161	(4,502)
COACH INC CMN	10/17/07	04/24/09	393	8,829	16,916	(8,087)
COACH INC CMN	08/20/07	04/24/09	838	18,826	38,308	(19,483)
COACH INC CMN	08/27/07	04/24/09	393	8,829	16,935	(8,106)
COACH INC CMN	10/23/07	04/24/09	86	1,932	3,286	(1,354)
COACH INC CMN	10/23/07	07/09/09	131	3,143	5,006	(1,863)
COACH INC CMN	10/29/07	07/09/09	429	10,294	15,699	(5,405)
COACH INC CMN	12/06/07	07/09/09	231	5,543	8,436	(2,893)
DELL INC CMN	09/04/08	01/16/09	4750	47,396	95,964	(48,568)
ELECTRONIC ARTS CMN	05/01/06	05/01/09	40	792	2,220	(1,428)
ELECTRONIC ARTS CMN	04/27/06	05/01/09	281	5,563	16,034	(10,471)
ELECTRONIC ARTS CMN	12/14/06	05/01/09	155	3,069	8,282	(5,213)
ELECTRONIC ARTS CMN	04/25/07	05/01/09	340	6,731	17,841	(11,110)
ELECTRONIC ARTS CMN	05/08/07	05/01/09	27	535	1,399	(864)
GENENTECH INC CMN	01/08/08	01/30/09	71	5,762	4,859	902
GENENTECH INC CMN	08/17/07	01/30/09	84	6,817	6,110	707
GENENTECH INC CMN	12/06/07	01/30/09	131	10,631	8,732	1,898
GENENTECH INC CMN	02/07/08	03/09/09	70	6,299	4,876	1,423
GENENTECH INC CMN	01/08/08	03/09/09	239	21,506	16,358	5,148
GENENTECH INC CMN	05/20/08	03/09/09	100	8,998	6,917	2,081
GENENTECH INC CMN	35/27/08	03/09/09	300	26,995	20,478	6,517
GOOGLE, INC CMN CLASS A	04/25/07	01/09/09	46	14,572	22,053	(7,481)
GOOGLE, INC CMN CLASS A	08/17/07	01/09/09	9	2,851	4,483	(1,632)
GOOGLE, INC CMN CLASS A	01/31/07	01/09/09	4	1,267	1,993	(726)
GOOGLE, INC CMN CLASS A	05/08/07	01/09/09	25	7,920	11,631	(3,711)
GOOGLE, INC CMN CLASS A	08/17/07	04/24/09	67	25,623	33,374	(7,751)
GOOGLE, INC CMN CLASS A	08/17/07	06/09/09	64	27,814	31,880	(4,065)
GOOGLE, INC CMN CLASS A	12/06/07	06/09/09	30	13,038	21,383	(8,345)
GOOGLE, INC CMN CLASS A	02/07/08	06/09/09	10	4,346	5,020	(674)
GOOGLE, INC CMN CLASS A	01/31/08	06/09/09	21	9,127	11,574	(2,448)
HESS CORPORATION CMN	12/10/07	02/13/09	90	5,225	7,017	(1,793)
HESS CORPORATION CMN	12/10/07	02/19/09	422	22,802	32,904	(10,102)
HESS CORPORATION CMN	12/10/07	03/19/09	489	31,524	38,128	(6,603)
LOWES COMPANIES INC CMN	08/17/07	04/20/09	97	1,923	2,624	(701)
LOWES COMPANIES INC CMN	08/20/07	05/01/09	183	3,839	5,238	(1,399)
LOWES COMPANIES INC CMN	08/17/07	05/01/09	619	12,985	16,744	(3,759)
MARRIOTT INTERNATIONAL INC	01/16/09	04/24/09	780	16,898	13,799	3,099
MARRIOTT INTERNATIONAL INC	01/16/09	08/11/09	519	12,065	9,146	2,920
MERCK & CO INC CMN	10/21/08	06/05/09	2698	70,115	82,650	(12,535)
MICROSOFT CORPORATION CM	04/27/06	02/13/09	200	3,884	5,486	(1,602)
MICROSOFT CORPORATION CM	04/27/06	08/11/09	720	16,648	19,750	(3,101)
RESEARCH IN MOTION LIMITED	08/17/07	04/01/09	646	29,413	45,778	(16,365)
RESEARCH IN MOTION LIMITED	11/16/07	04/01/09	164	7,467	16,738	(9,271)
RESEARCH IN MOTION LIMITED	11/16/07	05/18/09	61	4,391	6,226	(1,835)
RESEARCH IN MOTION LIMITED	02/07/08	05/18/09	130	9,357	10,800	(1,443)
RESEARCH IN MOTION LIMITED	12/06/07	05/18/09	127	9,141	13,127	(3,985)
RESEARCH IN MOTION LIMITED	05/20/08	05/18/09	7	504	961	(457)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
RESEARCH IN MOTION LIMITED	05/20/08	08/07/09	18	1,404	2,470	(1,067)
RESEARCH IN MOTION LIMITED	12/22/08	08/07/09	289	22,539	12,102	10,438
ST JUDE MEDICAL INC CMN	12/22/08	06/24/09	757	30,481	24,438	6,043
SUNCOR ENERGY INC CMN	04/25/07	02/13/09	180	3,604	6,526	(2,922)
SUNCOR ENERGY INC CMN	04/25/07	03/12/09	148	3,614	5,366	(1,752)
SUNCOR ENERGY INC CMN	08/17/07	03/12/09	228	5,567	14,154	(8,586)
SUNCOR ENERGY INC CMN	05/08/07	03/12/09	324	7,911	12,031	(4,119)
SUNCOR ENERGY INC CMN	08/17/07	05/18/09	680	20,368	27,441	(7,073)
TARGET CORPORATION CMN	02/01/08	04/20/09	349	13,460	19,547	(6,087)
TARGET CORPORATION CMN	02/01/08	05/01/09	12	489	672	(183)
TARGET CORPORATION CMN	02/01/08	05/01/09	419	17,085	23,093	(6,008)
TEVA PHARMACEUTICAL IND LT	01/08/08	02/19/09	542	25,255	26,400	(1,145)
TEVA PHARMACEUTICAL IND LT	01/23/08	03/19/09	216	9,462	9,622	(161)
TEVA PHARMACEUTICAL IND LT	01/08/08	03/19/09	235	10,294	11,447	(1,153)
THERMO FISHER SCIENTIFIC IN	08/17/07	02/05/09	711	27,173	35,620	(8,446)
THERMO FISHER SCIENTIFIC IN	08/17/07	02/19/09	469	18,102	23,496	(5,394)
THERMO FISHER SCIENTIFIC IN	08/17/07	06/08/09	64	2,503	3,206	(703)
THERMO FISHER SCIENTIFIC IN	12/08/07	06/08/09	373	14,587	21,623	(7,036)
THERMO FISHER SCIENTIFIC IN	12/21/07	07/24/09	345	15,281	20,157	(4,876)
THERMO FISHER SCIENTIFIC IN	12/06/07	07/24/09	11	487	638	(150)
VIACOM INC CMN CLASS B	04/27/06	04/24/09	602	11,438	24,181	(12,743)
VIACOM INC CMN CLASS B	08/17/07	04/24/09	603	11,457	22,377	(10,920)
VIACOM INC CMN CLASS B	04/25/07	04/24/09	287	5,453	12,226	(6,773)
VIACOM INC CMN CLASS B	05/08/07	04/24/09	158	3,002	6,562	(3,560)
VIACOM INC CMN CLASS B	08/17/07	05/04/09	357	6,943	13,248	(6,306)
VIACOM INC CMN CLASS B	12/08/07	05/04/09	213	4,142	9,084	(4,942)
VIACOM INC. CMN CLASS B	02/07/08	05/04/09	160	3,111	6,243	(3,132)
VIACOM INC CMN CLASS B	05/20/08	05/04/09	150	2,917	5,871	(2,954)
VIACOM INC CMN CLASS B	10/24/08	05/04/09	821	15,966	14,479	1,487
WEATHERFORD INTERNATIONAL/	12/08/07	06/25/09	616	11,908	20,682	(8,774)
WEATHERFORD INTERNATIONAL/	09/14/07	06/25/09	511	9,878	16,351	(6,473)
WEATHERFORD INTERNATIONAL/	09/28/07	06/25/09	1092	21,109	37,296	(16,186)
WEATHERFORD INTERNATIONAL/	10/02/07	06/25/09	560	10,825	19,366	(8,541)
WEATHERFORD INTERNATIONAL/	02/07/08	06/25/09	240	4,639	7,099	(2,460)
WEATHERFORD INTERNATIONAL/	05/20/08	06/25/09	200	3,866	8,961	(5,095)
WEATHERFORD INTERNATIONAL/	08/12/08	06/25/09	785	15,175	29,096	(13,921)
WESTERN UNION COMPANY (TI	08/17/07	04/24/09	826	14,276	15,442	(1,166)
ZIMMER HLDGS INC CMN	10/08/08	07/24/09	605	26,607	35,235	(8,629)
ZIMMER HLDGS INC CMN	10/09/08	07/24/09	600	26,387	34,828	(8,441)
ZIMMER HLDGS INC CMN	12/19/08	07/24/09	356	15,656	14,225	1,432

Large Cap Concentrated Growth 026-66893-9	1,196,978	1,631,772	(434,794)
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Large Cap Strategic Value 026-56730-5

AIR PRODUCTS & CHEMICALS II	09/16/08	02/09/09	173	9,675	14,688	(5,013)
AIR PRODUCTS & CHEMICALS II	09/16/08	02/10/09	137	7,411	11,631	(4,221)
AIR PRODUCTS & CHEMICALS II	09/16/08	02/24/09	223	10,839	18,933	(8,093)
AIR PRODUCTS & CHEMICALS II	09/24/08	02/24/09	51	2,479	3,751	(1,272)
AIR PRODUCTS & CHEMICALS II	09/24/08	03/09/09	320	14,709	23,533	(8,824)
AIR PRODUCTS & CHEMICALS II	09/24/08	05/07/09	200	12,601	14,708	(2,107)
AIR PRODUCTS & CHEMICALS II	01/27/09	05/14/09	253	15,585	13,866	1,719
AIR PRODUCTS & CHEMICALS II	09/24/08	05/14/09	138	8,501	10,149	(1,648)
AMGEN INC CMN	05/27/08	04/13/09	427	20,345	18,368	1,977
AMGEN INC CMN	06/24/08	05/01/09	225	10,867	10,348	519
AMGEN INC CMN	07/15/08	05/01/09	10	483	521	(38)
AMGEN INC CMN	05/27/08	05/01/09	198	9,563	8,517	1,046
AMGEN INC CMN	07/14/08	05/01/09	93	4,492	4,743	(251)
AMGEN INC CMN	07/15/08	05/07/09	237	11,121	12,356	(1,235)
AMGEN INC. CMN	07/15/08	05/08/09	133	6,274	6,934	(660)
AMGEN INC. CMN	09/30/08	05/08/09	290	13,681	17,037	(3,356)
AT&T INC CMN	03/16/07	05/07/09	180	4,590	6,667	(2,076)
AT&T INC CMN	04/23/07	05/07/09	325	8,288	12,929	(4,641)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
AT&T INC CMN	04/25/07	05/07/09	536	13,669	21,129	(7,460)
AT&T INC CMN	04/25/07	07/28/09	315	8,045	12,417	(4,372)
AT&T INC CMN	03/03/09	07/28/09	1033	26,383	23,666	2,717
BANK OF AMERICA CORP CMN	08/07/06	01/22/09	463	2,651	24,168	(21,517)
BANK OF AMERICA CORP CMN	04/25/07	01/22/09	305	1,747	15,476	(13,729)
BANK OF AMERICA CORP CMN	09/18/06	01/22/09	158	893	8,093	(7,200)
BANK OF AMERICA CORP CMN	02/19/08	01/22/09	1150	6,586	49,523	(42,938)
BECTON DICKINSON & CO CMN	10/23/08	04/23/09	166	10,606	11,469	(863)
BOEING COMPANY CMN	07/25/08	07/14/09	454	18,311	28,774	(10,462)
CAMPBELL SOUP CO CMN	02/10/09	04/07/09	181	4,756	5,462	(706)
CAMPBELL SOUP CO CMN	02/10/09	04/08/09	917	24,049	27,670	(3,621)
CHUBB CORP CMN	10/28/08	06/05/09	531	21,810	24,014	(2,204)
CITIGROUP INC CMN	04/23/07	01/22/09	550	1,695	29,208	(27,513)
CITIGROUP INC CMN	05/04/07	01/22/09	200	616	10,826	(10,209)
CITIGROUP INC CMN	03/15/07	01/22/09	413	1,273	20,646	(19,373)
CITIGROUP INC. CMN	04/25/07	01/22/09	428	1,319	22,735	(21,416)
CITIGROUP INC CMN	04/22/08	01/22/09	1100	3,390	27,620	(24,229)
CITIGROUP INC CMN	04/30/08	01/22/09	400	1,233	10,142	(8,909)
CITIGROUP INC CMN	09/22/08	01/22/09	884	2,663	17,123	(14,460)
DEVON ENERGY CORPORATIOI	04/09/07	03/26/09	157	7,616	11,433	(3,817)
DEVON ENERGY CORPORATIOI	04/04/07	03/26/09	140	6,791	9,980	(3,188)
DEVON ENERGY CORPORATIOI	04/09/07	03/27/09	13	602	947	(345)
DEVON ENERGY CORPORATIOI	04/25/07	03/27/09	171	7,912	12,652	(4,740)
DEVON ENERGY CORPORATIOI	05/04/07	03/27/09	81	3,748	6,208	(2,460)
DOW CHEMICAL CO CMN	05/08/09	08/07/09	812	18,727	14,146	4,580
DOW CHEMICAL CO CMN	05/11/09	08/07/09	23	530	394	136
EOG RESOURCES INC CMN	03/19/08	05/12/09	139	9,974	15,744	(5,770)
EOG RESOURCES INC CMN	03/20/08	05/12/09	83	5,956	9,272	(3,317)
EOG RESOURCES INC CMN	03/20/08	06/04/09	35	2,567	3,910	(1,343)
EOG RESOURCES INC CMN	05/01/08	06/04/09	185	13,569	22,929	(9,360)
EOG RESOURCES INC CMN	06/19/08	06/04/09	36	2,641	4,808	(2,167)
EVEREST RE GROUP LTD CMN	01/27/06	04/16/09	62	4,622	6,120	(1,498)
EVEREST RE GROUP LTD CMN	08/07/06	04/16/09	93	6,933	8,574	(1,641)
EVEREST RE GROUP LTD CMN	02/14/07	04/17/09	81	5,913	7,848	(1,935)
EXXON MOBIL CORPORATION C	09/29/08	04/30/09	216	14,476	17,020	(2,544)
EXXON MOBIL CORPORATION C	10/01/08	05/08/09	244	17,246	18,587	(1,341)
EXXON MOBIL CORPORATION C	09/29/08	05/08/09	371	26,223	29,233	(3,011)
GENENTECH INC CMN	11/06/07	01/09/09	325	27,487	24,469	3,018
GENENTECH INC CMN	11/07/07	01/09/09	58	4,736	4,208	528
GENENTECH INC CMN	11/07/07	03/09/09	169	15,207	12,699	2,508
GENENTECH INC CMN	09/18/08	03/09/09	245	22,046	22,214	(168)
GENERAL ELECTRIC CO CMN	04/25/07	03/04/09	121	769	4,241	(3,472)
GENERAL ELECTRIC CO CMN	08/25/07	03/04/09	775	4,927	29,802	(24,875)
GENERAL ELECTRIC CO CMN	06/20/07	03/04/09	725	4,609	28,636	(24,027)
GENERAL ELECTRIC CO CMN	06/26/07	03/04/09	475	3,020	18,126	(15,106)
GENERAL ELECTRIC CO CMN	10/09/08	03/04/09	657	4,177	13,229	(9,052)
GOOGLE, INC CMN CLASS A	10/13/08	03/10/09	71	21,862	25,476	(3,614)
HESS CORPORATION CMN	08/24/07	01/26/09	45	2,772	2,655	117
HESS CORPORATION CMN	10/24/07	01/26/09	200	12,319	13,597	(1,278)
HESS CORPORATION CMN	10/29/07	01/26/09	125	7,699	8,960	(1,261)
HESS CORPORATION CMN	11/08/07	01/26/09	44	2,710	3,109	(399)
HESS CORPORATION CMN	11/08/07	02/03/09	81	4,465	5,724	(1,258)
HESS CORPORATION CMN	12/04/07	02/03/09	50	2,756	3,704	(947)
HESS CORPORATION CMN	03/03/08	02/03/09	75	4,134	7,096	(2,962)
HESS CORPORATION CMN	11/03/08	02/03/09	51	2,811	2,975	(163)
HEWLETT-PACKARD CO CMN	02/23/07	01/26/09	31	1,110	1,268	(158)
HEWLETT-PACKARD CO CMN	03/09/07	01/26/09	575	20,595	22,981	(2,386)
HEWLETT-PACKARD CO CMN	03/09/07	02/09/09	85	3,110	3,397	(287)
HEWLETT-PACKARD CO CMN	04/13/07	02/09/09	71	2,598	2,921	(323)
HEWLETT-PACKARD CO CMN	03/30/07	02/09/09	220	8,049	8,797	(748)
HEWLETT-PACKARD CO CMN	04/16/07	02/09/09	54	1,976	2,217	(241)
JOHNSON & JOHNSON CMN	08/16/07	07/09/09	328	18,538	20,110	(1,572)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
JOHNSON CONTROLS INC CMN	06/04/07	03/04/09	167	1,859	6,095	(4,236)
JOHNSON CONTROLS INC CMN	06/25/07	03/04/09	333	3,707	12,643	(8,936)
JOHNSON CONTROLS INC CMN	08/11/07	03/04/09	150	1,670	5,540	(3,870)
JOHNSON CONTROLS INC CMN	08/21/07	03/04/09	42	468	1,594	(1,127)
JOHNSON CONTROLS INC CMN	06/22/07	03/04/09	279	3,106	10,589	(7,483)
JOHNSON CONTROLS INC CMN	06/26/07	03/04/09	75	835	2,850	(2,015)
KRAFT FOODS INC CMN CLASE	04/07/09	06/02/09	786	21,214	17,668	3,547
LABORATORY CORPORATION C	02/08/08	06/05/09	178	10,930	13,927	(2,997)
LABORATORY CORPORATION C	02/08/08	06/08/09	22	1,342	1,721	(379)
LABORATORY CORPORATION C	02/11/08	06/08/09	125	7,627	9,872	(2,245)
LABORATORY CORPORATION C	02/25/08	06/08/09	24	1,464	1,901	(437)
LABORATORY CORPORATION C	02/25/08	07/20/09	301	20,111	23,841	(3,730)
LABORATORY CORPORATION C	07/01/08	07/20/09	61	4,076	4,204	(128)
LABORATORY CORPORATION C	07/01/08	07/21/09	214	14,432	14,747	(315)
M&T BANK CORPORATION CMN	08/08/07	08/05/09	100	5,990	10,882	(4,892)
M&T BANK CORPORATION CMN	07/26/07	08/05/09	146	8,746	15,244	(6,498)
M&T BANK CORPORATION CMN	05/07/08	08/05/09	203	12,160	18,660	(6,500)
MICROSOFT CORPORATION CM	10/03/08	04/07/09	1164	21,844	30,904	(9,059)
MICROSOFT CORPORATION CM	11/20/08	04/07/09	599	11,241	11,007	234
MICROSOFT CORPORATION CM	01/21/09	05/18/09	1300	26,565	24,638	1,926
MICROSOFT CORPORATION CM	11/20/08	05/18/09	371	7,581	6,818	764
MORGAN STANLEY CMN	12/29/08	04/07/09	683	16,357	9,829	6,528
NEWELL RUBBERMAID INC CM	06/03/05	02/11/09	59	455	1,326	(871)
NEWELL RUBBERMAID INC CM	06/08/05	02/11/09	194	1,497	4,416	(2,919)
NEWELL RUBBERMAID INC CM	06/10/05	02/11/09	59	455	1,354	(899)
NEWELL RUBBERMAID INC CM	06/06/05	02/11/09	200	1,544	4,482	(2,938)
NEWELL RUBBERMAID INC CM	06/09/05	02/11/09	270	2,084	6,163	(4,079)
NEWELL RUBBERMAID INC CM	07/07/05	02/11/09	28	216	663	(447)
NEWELL RUBBERMAID INC CM	10/05/05	02/11/09	99	764	2,240	(1,476)
NEWELL RUBBERMAID INC CM	08/07/06	02/11/09	536	4,137	14,317	(10,181)
NEWELL RUBBERMAID INC CM	10/06/05	02/11/09	260	2,007	5,825	(3,818)
NEWELL RUBBERMAID INC CM	10/04/05	02/11/09	214	1,652	4,847	(3,195)
NEWELL RUBBERMAID INC CM	10/07/05	02/11/09	320	2,470	7,192	(4,722)
NEWELL RUBBERMAID INC CM	09/04/07	02/12/09	330	2,540	8,615	(6,076)
NEWELL RUBBERMAID INC CM	09/05/07	02/12/09	50	385	1,297	(913)
NEWELL RUBBERMAID INC CM	08/07/06	02/12/09	17	131	454	(323)
NEWELL RUBBERMAID INC CM	04/25/07	02/12/09	316	2,432	9,758	(7,326)
NUCOR CORPORATION CMN	12/11/08	01/27/09	473	18,433	20,384	(1,951)
NUCOR CORPORATION CMN	12/10/08	01/27/09	121	4,715	5,237	(521)
PFIZER INC CMN	01/29/09	04/14/09	2871	38,264	43,429	(5,166)
PHILIP MORRIS INTL INC CMN	04/25/07	07/14/09	129	5,503	6,282	(779)
PHILIP MORRIS INTL INC CMN	08/07/06	07/14/09	240	10,238	10,099	138
PHILIP MORRIS INTL INC CMN	08/10/07	07/14/09	94	4,010	4,373	(363)
PROCTER & GAMBLE COMPANY	03/13/09	04/23/09	803	39,557	37,165	2,392
RANGE RESOURCES CORPOR	11/18/08	05/18/09	350	14,397	14,198	199
RANGE RESOURCES CORPOR	11/18/08	07/02/09	414	16,610	16,794	(183)
SMITH INTERNATIONAL INC CM	10/01/08	01/23/09	591	14,572	33,437	(18,865)
SMITH INTERNATIONAL INC CM	11/05/08	01/23/09	510	12,575	17,706	(5,131)
SPRINT NEXTEL CORPORATION	10/27/06	03/24/09	2120	7,905	40,477	(32,571)
SPRINT NEXTEL CORPORATION	11/07/08	03/25/09	1404	5,308	27,405	(22,096)
SPRINT NEXTEL CORPORATION	10/27/06	03/25/09	675	2,552	12,888	(10,335)
SPRINT NEXTEL CORPORATION	11/08/06	03/25/09	1159	4,382	22,674	(18,292)
STATE STREET CORPORATION	06/04/08	01/07/09	227	9,997	16,068	(6,070)
STATE STREET CORPORATION	06/04/08	01/08/09	348	14,795	24,632	(9,838)
SUNTRUST BANKS INC \$1 00 P	08/22/08	01/22/09	373	5,333	15,343	(10,010)
SUNTRUST BANKS INC \$1 00 P	09/22/08	01/22/09	547	7,821	29,277	(21,456)
SUPERVALU INC CMN	02/08/07	01/21/09	660	12,166	25,285	(13,119)
SUPERVALU INC CMN	01/12/07	01/21/09	467	8,609	16,414	(7,806)
SUPERVALU INC CMN	01/16/07	01/21/09	708	13,051	24,672	(11,621)
SUPERVALU INC CMN	02/07/07	01/21/09	157	2,894	6,027	(3,133)
SUPERVALU INC CMN	04/25/07	01/21/09	292	5,383	13,125	(7,743)
TD AMERITRADE HOLDING COR	04/02/08	04/23/09	1360	22,422	24,404	(1,983)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
TD AMERITRADE HOLDING COR	04/02/08	06/03/09	115	2,064	2,064	1
TD AMERITRADE HOLDING COR	11/18/08	06/03/09	1309	23,499	14,930	8,568
THE MOSAIC COMPANY CMN	02/09/09	05/11/09	177	7,949	8,021	(71)
THE MOSAIC COMPANY CMN	02/10/09	05/11/09	25	1,123	1,127	(4)
THE MOSAIC COMPANY CMN	02/10/09	05/12/09	251	11,347	11,314	33
THE MOSAIC COMPANY CMN	04/09/09	07/17/09	323	16,768	14,343	2,425
THE MOSAIC COMPANY CMN	02/10/09	07/17/09	87	4,516	3,922	595
THE MOSAIC COMPANY CMN	04/09/09	07/20/09	197	9,663	8,748	915
TIME WARNER CABLE INC CMN	02/28/07	03/27/09	1	22		22
TIME WARNER CABLE INC CMN	09/25/07	04/08/09	282	7,502	15,028	(7,526)
TIME WARNER CABLE INC CMN	02/19/08	04/08/09	54	1,437	2,676	(1,239)
TIME WARNER CABLE INC CMN	02/26/07	04/08/09	18	479	1,144	(665)
TIME WARNER CABLE INC CMN	03/16/07	04/08/09	25	665	1,447	(781)
TIME WARNER CABLE INC CMN	04/23/07	04/08/09	65	1,729	4,020	(2,290)
TIME WARNER CABLE INC CMN	04/25/07	04/08/09	51	1,357	3,167	(1,811)
TIME WARNER CABLE INC CMN	05/23/08	04/08/09	75	1,995	3,618	(1,623)
TIME WARNER INC CMN	01/07/05	03/13/09	355	2,904	6,718	(3,815)
TIME WARNER INC CMN	02/26/07	03/13/09	487	3,984	10,358	(6,375)
TIME WARNER INC CMN	08/07/06	03/13/09	1236	10,110	20,191	(10,081)
TIME WARNER INC CMN	10/09/06	03/13/09	622	5,088	11,704	(6,616)
TIME WARNER INC CMN	02/26/07	04/02/09	0	7		7
TIME WARNER INC CMN	09/25/07	05/27/09	203	4,785	8,230	(3,446)
TIME WARNER INC CMN	02/26/07	05/27/09	72	1,697	3,472	(1,775)
TIME WARNER INC CMN	03/16/07	05/27/09	100	2,357	4,390	(2,033)
TIME WARNER INC CMN	04/25/07	05/27/09	202	4,761	9,613	(4,852)
TIME WARNER INC CMN	04/23/07	05/27/09	258	6,081	12,200	(6,119)
TRANSOCEAN LTD CMN	02/20/09	06/29/09	244	18,446	14,284	4,162
UNILEVER N V NY SHS (NEW) F	08/22/07	03/17/09	189	3,513	5,605	(2,092)
UNILEVER N V NY SHS (NEW) F	08/23/07	03/17/09	1744	32,414	51,995	(19,581)
UNILEVER N V NY SHS (NEW) F	08/23/07	03/18/09	276	5,121	8,229	(3,108)
UNILEVER N V NY SHS (NEW) F	08/24/07	03/18/09	400	7,422	11,958	(4,536)
UNILEVER N V NY SHS (NEW) F	12/04/07	03/18/09	884	16,402	31,367	(14,965)
UNITED PARCEL SERVICE, INC	07/17/08	01/21/09	610	28,536	36,403	(7,867)
VIACOM INC CMN CLASS B	07/18/08	07/14/09	516	10,836	15,234	(4,397)
VIACOM INC CMN CLASS B	07/21/08	07/14/09	180	3,780	5,310	(1,530)
VIACOM INC CMN CLASS B	07/21/08	07/15/09	378	8,083	11,092	(3,009)
VISA INC CMN CLASS A	03/19/08	04/23/09	465	26,992	27,751	(759)
WAL MART STORES INC CMN	04/02/08	04/17/09	269	13,713	14,649	(936)
WAL MART STORES INC CMN	04/04/08	04/17/09	221	11,266	12,091	(825)
WASTE MANAGEMENT INC CMN	12/12/06	06/08/09	54	1,518	2,017	(499)
WASTE MANAGEMENT INC CMN	04/25/07	06/08/09	89	2,501	3,150	(649)
WASTE MANAGEMENT INC CMN	01/03/07	06/08/09	333	9,358	12,407	(3,049)
WASTE MANAGEMENT INC CMN	11/05/07	06/09/09	270	7,516	9,634	(2,118)
WASTE MANAGEMENT INC CMN	02/08/08	06/09/09	272	7,572	8,849	(1,277)
WASTE MANAGEMENT INC CMN	04/25/07	06/09/09	205	5,707	7,255	(1,548)
WILLIAMS COMPANIES INC (TH	10/06/06	01/21/09	524	6,982	12,349	(5,367)
WILLIAMS COMPANIES INC (TH	06/01/07	01/21/09	314	4,184	10,021	(5,838)
WILLIAMS COMPANIES INC (TH	04/25/07	01/21/09	438	5,836	13,083	(7,247)
WILLIAMS COMPANIES INC (TH	06/01/07	02/24/09	781	8,523	24,287	(15,764)
WILLIAMS COMPANIES INC (TH	10/21/08	02/24/09	984	11,021	19,490	(8,470)
WYETH CMN	01/18/07	05/08/09	524	23,071	27,095	(4,023)
ZIMMER HLDGS INC CMN	07/28/08	01/22/09	440	17,322	30,138	(12,816)
ZIMMER HLDGS INC CMN	07/28/08	06/25/09	80	3,464	5,480	(2,016)
ZIMMER HLDGS INC CMN	09/12/08	06/25/09	75	3,247	5,405	(2,158)
ZIMMER HLDGS INC CMN	09/15/08	06/25/09	254	10,998	17,818	(6,821)
Large Cap Strategic Value 026-56730-5				1,660,544	2,565,063	(904,519)

Fixed Income Advisory 026-56727-1

FHLMC 5 5% 08/23/2017 FA	01/03/08	04/30/09	600000	679,680	645,724	33,956
FHLMC SER REFERENC 4 1250	04/24/07	04/30/09	500000	522,750	497,203	25,547

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
FNMA 4 375000% 10/15/2015 AO	04/24/07	04/30/09	500000	538,650	487,033	51,617
GENERAL ELECTRIC CAPITAL C	06/11/08	04/29/09	350000	304,395	346,546	(42,151)
GS US MORTGAGES FUND CLA	07/31/07	01/06/09	959	8,834	9,371	(537)
GS US MORTGAGES FUND CLA	08/31/07	01/06/09	918	8,453	9,022	(569)
GS US MORTGAGES FUND CLA	10/31/07	01/06/09	939	8,651	9,308	(658)
GS US MORTGAGES FUND CLA	12/03/07	01/06/09	19960	183,832	200,000	(16,168)
GS US MORTGAGES FUND CLA	11/30/07	01/06/09	897	8,262	8,962	(700)
GS US MORTGAGES FUND CLA	03/31/05	01/06/09	708	6,499	6,978	(480)
GS US MORTGAGES FUND CLA	04/29/05	01/06/09	883	7,948	8,612	(664)
GS US MORTGAGES FUND CLA	05/31/05	01/06/09	835	7,687	8,354	(668)
GS US MORTGAGES FUND CLA	06/30/05	01/06/09	813	7,486	8,136	(650)
GS US MORTGAGES FUND CLA	08/31/05	01/06/09	802	7,388	8,021	(634)
GS US MORTGAGES FUND CLA	10/31/05	01/06/09	888	8,156	8,687	(531)
GS US MORTGAGES FUND CLA	07/29/05	01/06/09	807	7,431	8,028	(597)
GS US MORTGAGES FUND CLA	09/30/05	01/06/09	880	8,106	8,713	(607)
GS US MORTGAGES FUND CLA	11/30/05	01/06/09	947	8,723	9,301	(578)
GS US MORTGAGES FUND CLA	12/30/05	01/06/09	903	8,318	8,914	(596)
GS US MORTGAGES FUND CLA	02/28/06	01/06/09	864	7,954	8,524	(570)
GS US MORTGAGES FUND CLA	04/28/06	01/06/09	951	8,758	9,271	(514)
GS US MORTGAGES FUND CLA	01/31/06	01/06/09	848	7,814	8,366	(552)
GS US MORTGAGES FUND CLA	03/31/06	01/06/09	893	8,223	8,723	(500)
GS US MORTGAGES FUND CLA	05/31/06	01/06/09	952	8,770	9,227	(457)
GS US MORTGAGES FUND CLA	06/30/06	01/06/09	1014	9,340	9,807	(467)
GS US MORTGAGES FUND CLA	10/31/06	01/06/09	778	7,170	7,707	(537)
GS US MORTGAGES FUND CLA	02/28/07	01/06/09	715	6,582	7,090	(507)
GS US MORTGAGES FUND CLA	07/31/06	01/06/09	1002	9,229	9,790	(561)
GS US MORTGAGES FUND CLA	08/31/06	01/06/09	890	8,197	8,766	(570)
GS US MORTGAGES FUND CLA	09/29/06	01/06/09	754	6,948	7,461	(513)
GS US MORTGAGES FUND CLA	11/30/06	01/06/09	776	7,151	7,734	(582)
GS US MORTGAGES FUND CLA	12/29/06	01/06/09	850	7,831	8,409	(578)
GS US MORTGAGES FUND CLA	01/31/07	01/06/09	719	6,622	7,067	(446)
GS US MORTGAGES FUND CLA	03/30/07	01/06/09	714	6,580	7,080	(500)
GS US MORTGAGES FUND CLA	04/30/07	01/06/09	755	6,949	7,477	(528)
GS US MORTGAGES FUND CLA	04/24/07	01/06/09	20161	185,685	200,000	(14,315)
GS US MORTGAGES FUND CLA	05/07/07	01/06/09	25227	232,341	250,000	(17,659)
GS US MORTGAGES FUND CLA	05/31/07	01/06/09	924	8,510	9,064	(554)
GS US MORTGAGES FUND CLA	09/28/07	01/06/09	972	8,951	9,603	(651)
GS US MORTGAGES FUND CLA	03/02/05	01/06/09	169196	1,558,299	1,681,812	(123,513)
GS US MORTGAGES FUND CLA	06/29/07	01/06/09	951	8,757	9,261	(504)
GS US MORTGAGES FUND CLA	12/31/07	01/06/09	894	9,155	9,940	(785)
GS US MORTGAGES FUND CLA	07/31/08	01/06/09	1938	17,853	17,989	(136)
GS US MORTGAGES FUND CLA	04/30/08	01/06/09	1420	13,081	13,762	(682)
GS US MORTGAGES FUND CLA	06/30/08	01/06/09	1824	16,802	17,349	(547)
GS US MORTGAGES FUND CLA	08/29/08	01/06/09	1991	18,340	18,539	(199)
GS US MORTGAGES FUND CLA	09/30/08	01/06/09	1994	18,361	18,501	(140)
GS US MORTGAGES FUND CLA	12/15/08	01/06/09	489	4,505	4,397	108
GS US MORTGAGES FUND CLA	10/31/08	01/06/09	2007	18,482	17,920	562
GS US MORTGAGES FUND CLA	11/28/08	01/06/09	1788	16,467	16,002	465
GS US MORTGAGES FUND CLA	12/15/08	01/06/09	3147	28,981	28,288	692
GS US MORTGAGES FUND CLA	12/31/08	01/06/09	1356	12,489	12,395	95
GS US MORTGAGES FUND CLA	02/29/08	01/06/09	1062	9,785	10,475	(691)
GS US MORTGAGES FUND CLA	01/31/08	01/06/09	1071	9,861	10,793	(932)
GS US MORTGAGES FUND CLA	03/31/08	01/06/09	1085	9,990	10,457	(466)
GS US MORTGAGES FUND CLA	04/14/08	01/06/09	206812	1,902,893	2,000,000	(97,107)
GS US MORTGAGES FUND CLA	05/30/08	01/06/09	1765	16,251	16,975	(723)
TVA 6 79% 05/23/2012 MN	03/02/05	04/23/09	1000000	1,142,040	1,070,092	71,948

Fixed Income Advisory 026-56727-1	7,733,244	7,883,027	(149,783)
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Global Dynamic Core 021-12403-7

SPRINT NEXTEL CORPORATION	01/10/08	09/01/09	1700	6,111	21,856	(15,745)
SPRINT NEXTEL CORPORATION	11/08/06	09/01/09	2055	7,387	40,203	(32,816)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
SPRINT NEXTEL CORPORATION	08/13/07	09/01/09	875	3,145	17,102	(13,957)
SPRINT NEXTEL CORPORATION	04/24/08	09/01/09	3300	11,862	26,040	(14,178)
SPRINT NEXTEL CORPORATION	02/26/07	09/01/09	879	3,160	17,059	(13,899)
SPRINT NEXTEL CORPORATION	04/25/07	09/01/09	962	3,458	19,538	(16,080)
SPRINT NEXTEL CORPORATION	02/16/07	09/01/09	1582	5,687	30,077	(24,391)
COACH INC CMN	12/06/07	09/01/09	84	2,457	3,068	(611)
COACH INC CMN	07/30/08	09/01/09	756	22,110	19,687	2,423
COACH INC CMN	02/07/08	09/01/09	170	4,972	5,176	(204)
COACH INC CMN	02/08/08	09/01/09	470	13,745	14,362	(617)
COACH INC CMN	05/20/08	09/01/09	200	5,849	7,191	(1,342)
JPMORGAN CHASE & CO CMN	02/16/06	09/01/09	520	22,124	21,136	989
SPRINT NEXTEL CORPORATION	06/03/08	09/01/09	475	1,707	4,614	(2,906)
UNILEVER N V NY SHS (NEW) /	12/04/07	09/01/09	76	2,091	2,697	(606)
JOHNSON & JOHNSON CMN	12/17/07	09/01/09	475	28,516	32,137	(3,620)
JOHNSON & JOHNSON CMN	01/22/08	09/01/09	530	31,818	34,841	(3,023)
SPRINT NEXTEL CORPORATION	05/06/08	09/01/09	3250	11,682	28,168	(16,486)
SPRINT NEXTEL CORPORATION	08/12/08	09/01/09	1650	5,931	13,502	(7,571)
THERMO FISHER SCIENTIFIC IN	12/21/07	09/01/09	136	6,114	7,946	(1,832)
AMYLIN PHARMACEUTICALS, IN	12/06/07	09/01/09	281	3,545	10,926	(7,381)
AMYLIN PHARMACEUTICALS, IN	03/14/07	09/01/09	151	1,905	5,797	(3,892)
AMYLIN PHARMACEUTICALS, IN	05/08/07	09/01/09	112	1,413	4,603	(3,190)
AMYLIN PHARMACEUTICALS, IN	07/02/07	09/01/09	253	3,192	10,514	(7,322)
AMYLIN PHARMACEUTICALS, IN	11/30/07	09/01/09	288	3,633	11,011	(7,378)
AMERICAN TOWER CORPORAT	02/07/08	09/01/09	490	15,371	18,234	(2,864)
PEPSICO INC CMN	08/17/07	09/01/09	402	22,718	27,922	(5,204)
AMYLIN PHARMACEUTICALS, IN	04/25/07	09/01/09	195	2,460	8,129	(5,668)
WESTERN UNION COMPANY (TI	08/17/07	09/01/09	1691	30,208	31,613	(1,405)
PHILIP MORRIS INTL INC CMN	08/10/07	09/01/09	240	10,904	11,165	(262)
WESTERN UNION COMPANY (TI	10/12/07	09/01/09	1034	18,471	20,795	(2,323)
WESTERN UNION COMPANY (TI	09/25/07	09/01/09	1120	20,008	23,567	(3,560)
WESTERN UNION COMPANY (TI	12/06/07	09/01/09	1367	24,420	31,236	(6,816)
WESTERN UNION COMPANY (TI	02/07/08	09/01/09	188	3,358	4,139	(781)
INVESCO LTD CMN	12/14/07	09/01/09	485	9,757	13,672	(3,915)
VISA INC CMN CLASS A	03/19/08	09/01/09	138	9,793	8,236	1,557
VISA INC CMN CLASS A	03/19/08	09/01/09	844	59,891	50,370	9,521
CROWN CASTLE INTL CORP CC	08/17/07	09/01/09	1110	29,258	40,110	(10,852)
CROWN CASTLE INTL CORP CC	04/25/07	09/01/09	333	8,778	11,642	(2,864)
THERMO FISHER SCIENTIFIC IN	02/07/08	09/01/09	190	8,542	10,351	(1,809)
AMERICAN TOWER CORPORAT	05/20/08	09/01/09	136	4,266	6,123	(1,856)
INVESCO LTD CMN	07/21/08	09/01/09	124	2,495	2,904	(410)
PEPSICO INC CMN	04/25/07	09/01/09	173	9,777	11,584	(1,808)
THERMO FISHER SCIENTIFIC IN	05/20/08	09/01/09	125	5,620	7,353	(1,733)
THERMO FISHER SCIENTIFIC IN	06/27/08	09/01/09	696	31,290	38,571	(7,281)
AMERICAN TOWER CORPORAT	01/07/08	09/01/09	291	9,128	11,191	(2,063)
INVESCO LTD CMN	02/22/08	09/01/09	410	8,248	10,462	(2,214)
INVESCO LTD CMN	07/18/08	09/01/09	271	5,452	6,255	(803)
INVESCO LTD CMN	12/17/07	09/01/09	100	2,012	2,792	(780)
INVESCO LTD CMN	03/20/08	09/01/09	550	11,065	13,203	(2,139)
PEPSICO INC CMN	05/08/07	09/01/09	165	9,324	11,085	(1,760)
BOEING COMPANY CMN	07/25/08	09/01/09	308	15,008	19,394	(4,386)
AMYLIN PHARMACEUTICALS, IN	08/17/07	09/01/09	740	9,336	36,126	(26,790)
AMYLIN PHARMACEUTICALS, IN	11/02/07	09/01/09	199	2,511	8,564	(6,053)
AMYLIN PHARMACEUTICALS, IN	10/18/07	09/01/09	282	3,558	13,055	(9,498)
AMYLIN PHARMACEUTICALS, IN	02/09/07	09/01/09	43	542	1,771	(1,228)
AMYLIN PHARMACEUTICALS, IN	02/07/08	09/01/09	300	3,785	9,087	(5,302)
AMYLIN PHARMACEUTICALS, IN	03/11/08	09/01/09	530	6,687	12,867	(6,181)
AMYLIN PHARMACEUTICALS, IN	06/10/08	09/01/09	6	76	167	(91)
AMYLIN PHARMACEUTICALS, IN	05/20/08	09/01/09	200	2,523	6,341	(3,817)
WASTE MANAGEMENT INC CMN	02/08/08	09/01/09	353	10,562	11,484	(922)
QUALCOMM INC CMN	08/17/07	09/01/09	1040	47,553	39,228	8,325
EOG RESOURCES INC CMN	06/26/08	09/01/09	121	8,637	15,365	(6,728)
CROWN CASTLE INTL CORP CC	12/06/07	09/01/09	276	7,275	11,175	(3,900)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
EOG RESOURCES INC CMN	06/23/08	09/01/09	75	5,354	10,080	(4,727)
WYETH CMN	01/18/07	09/01/09	148	6,977	7,549	(573)
WYETH CMN	01/19/07	09/01/09	154	7,359	8,021	(662)
CROWN CASTLE INTL CORP CC	01/28/08	09/01/09	177	4,666	5,956	(1,291)
CROWN CASTLE INTL CORP CC	03/08/07	09/01/09	11	290	346	(56)
CROWN CASTLE INTL CORP CC	05/04/07	09/01/09	130	3,427	4,464	(1,038)
CROWN CASTLE INTL CORP CC	05/08/07	09/01/09	203	5,351	6,874	(1,523)
EOG RESOURCES INC CMN	06/19/08	09/01/09	123	8,780	16,426	(7,646)
EOG RESOURCES INC CMN	06/20/08	09/01/09	228	16,132	29,778	(13,646)
TECHNOLOGY SELECT INDEX 'S	04/24/07	09/01/09	4080	80,806	99,795	(18,989)
TECHNOLOGY SELECT INDEX 'S	08/16/07	09/01/09	4160	82,390	99,964	(17,574)
TECHNOLOGY SELECT INDEX 'S	02/15/07	09/01/09	10930	216,472	261,902	(45,431)
TECHNOLOGY SELECT INDEX 'S	10/17/06	09/01/09	11963	236,931	271,974	(35,043)
PRUDENTIAL FINANCIAL INC CA	07/31/07	09/01/09	175	8,468	16,003	(7,535)
PRUDENTIAL FINANCIAL INC CA	03/13/08	09/01/09	110	5,322	7,645	(2,322)
PRUDENTIAL FINANCIAL INC CA	04/24/08	09/01/09	48	2,323	3,592	(1,269)
PRUDENTIAL FINANCIAL INC CA	04/29/08	09/01/09	100	4,839	7,581	(2,743)
PRUDENTIAL FINANCIAL INC CA	04/25/07	09/01/09	50	2,419	4,751	(2,331)
PRUDENTIAL FINANCIAL INC CA	12/29/06	09/01/09	87	4,210	7,478	(3,269)
UNILEVER N V NY SHS (NEW) A	12/08/07	09/01/09	50	1,376	1,774	(398)
UNILEVER N V NY SHS (NEW) A	01/23/08	09/01/09	350	9,630	10,588	(957)
UNILEVER N V NY SHS (NEW) A	12/05/07	09/01/09	725	19,949	25,858	(5,909)
UNILEVER N V NY SHS (NEW) A	01/22/08	09/01/09	1390	38,247	44,395	(6,148)
UNILEVER N V NY SHS (NEW) A	02/11/08	09/01/09	250	6,879	7,663	(785)
DEVON ENERGY CORPORATIOI	01/29/08	09/01/09	125	7,555	10,498	(2,943)
DEVON ENERGY CORPORATIOI	05/04/07	09/01/09	169	10,214	12,953	(2,739)
DEVON ENERGY CORPORATIOI	05/11/07	09/01/09	150	9,066	11,255	(2,189)
DEVON ENERGY CORPORATIOI	05/18/07	09/01/09	175	10,577	13,603	(3,026)
VIACOM INC CMN CLASS B	07/21/08	09/01/09	161	3,954	4,750	(795)
TARGET CORPORATION CMN	02/01/08	09/01/09	319	14,929	17,581	(2,652)
TARGET CORPORATION CMN	02/05/08	09/01/09	192	8,986	10,345	(1,359)
TARGET CORPORATION CMN	02/04/08	09/01/09	222	10,390	12,112	(1,723)
TARGET CORPORATION CMN	02/07/08	09/01/09	80	3,744	4,138	(394)
TARGET CORPORATION CMN	02/08/08	09/01/09	270	12,636	14,212	(1,576)
TARGET CORPORATION CMN	08/21/08	09/01/09	574	26,863	28,845	(1,982)
TARGET CORPORATION CMN	03/13/08	09/01/09	278	13,011	13,892	(882)
TARGET CORPORATION CMN	03/25/08	09/01/09	310	14,508	16,566	(2,057)
TARGET CORPORATION CMN	05/20/08	09/01/09	175	8,190	9,489	(1,298)
BAXTER INTERNATIONAL INC C	01/11/08	09/01/09	250	14,059	15,917	(1,858)
BAXTER INTERNATIONAL INC C	01/23/08	09/01/09	410	23,056	24,683	(1,626)
ISHARES MSCI JAPAN INDEX FC	01/18/08	09/01/09	20267	207,067	252,612	(45,545)
ISHARES MSCI JAPAN INDEX FC	01/25/08	09/01/09	30220	308,756	377,862	(69,106)
ISHARES TR-ISHARES MSCI EAI	02/06/08	09/01/09	4755	247,271	334,575	(87,304)
ISHARES TR-ISHARES MSCI EAI	05/19/08	09/01/09	3800	197,609	299,248	(101,639)
BAXTER INTERNATIONAL INC C	01/16/08	09/01/09	350	19,682	22,567	(2,885)
BAXTER INTERNATIONAL INC C	04/25/07	09/01/09	310	17,433	17,632	(199)
BAXTER INTERNATIONAL INC C	01/11/08	09/01/09	448	25,193	28,474	(3,281)
BAXTER INTERNATIONAL INC C	01/24/08	09/01/09	238	13,384	14,847	(1,463)
BAXTER INTERNATIONAL INC C	02/07/08	09/01/09	170	9,560	10,222	(662)
WELLPOINT, INC CMN	06/05/08	09/01/09	250	13,016	14,150	(1,134)
BAXTER INTERNATIONAL INC C	03/10/08	09/01/09	286	16,083	16,503	(420)
BAXTER INTERNATIONAL INC C	05/20/08	09/01/09	100	5,624	6,156	(532)
OCCIDENTAL PETROLEUM COR	06/02/08	09/01/09	18	1,309	1,659	(350)
OCCIDENTAL PETROLEUM COR	06/11/08	09/01/09	153	11,126	14,076	(2,950)
OCCIDENTAL PETROLEUM COR	06/06/08	09/01/09	125	9,090	11,856	(2,767)
ISHARES TR-ISHARES MSCI EAI	12/03/07	09/01/09	7250	375,709	600,238	(224,529)
ISHARES TR-ISHARES MSCI EAI	02/06/08	09/01/09	2345	121,522	165,001	(43,478)
WELLPOINT, INC CMN	04/27/06	09/01/09	150	7,810	10,009	(2,199)
WELLPOINT, INC CMN	04/12/06	09/01/09	226	11,766	16,756	(4,989)
WELLPOINT, INC CMN	08/07/06	09/01/09	103	5,363	7,725	(2,362)
WELLPOINT, INC CMN	01/09/07	09/01/09	300	15,619	23,032	(7,413)
WELLPOINT, INC CMN	04/25/07	09/01/09	122	6,352	9,639	(3,287)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
SCHLUMBERGER LTD CMN	12/06/07	09/01/09	123	6,828	12,152	(5,324)
SCHLUMBERGER LTD CMN	08/17/07	09/01/09	760	42,189	66,930	(24,741)
SCHLUMBERGER LTD CMN	02/07/08	09/01/09	120	6,661	8,891	(2,229)
SCHLUMBERGER LTD CMN	05/20/08	09/01/09	75	4,163	7,889	(3,725)
SCHLUMBERGER LTD CMN	07/03/08	09/01/09	104	5,773	10,605	(4,832)
SCHLUMBERGER LTD CMN	08/12/08	09/01/09	385	21,372	35,944	(14,572)
PARTNERRE LTD BERMUDA CM	01/07/05	09/01/09	460	33,769	28,992	4,777
PARTNERRE LTD BERMUDA CM	04/25/07	09/01/09	64	4,698	4,706	(8)
SCHLUMBERGER LTD CMN	05/08/07	09/01/09	133	7,383	9,890	(2,507)
PARTNERRE LTD BERMUDA CM	08/07/06	09/01/09	138	10,131	8,549	1,582
P G & E CORPORATION CMN	08/30/07	09/01/09	540	21,815	23,927	(2,112)
ISHARES MSCI JAPAN INDEX FC	01/18/08	09/01/09	9668	98,251	120,504	(22,252)
THE TRAVELERS COMPANIES, I	10/25/07	09/01/09	125	6,223	6,526	(303)
THE TRAVELERS COMPANIES, I	11/19/07	09/01/09	225	11,201	11,587	(386)
THE TRAVELERS COMPANIES, I	10/24/07	09/01/09	420	20,908	21,516	(608)
THE TRAVELERS COMPANIES, I	10/29/07	09/01/09	275	13,690	14,574	(884)
ACTIVISION BLIZZARD INC CMN	05/20/08	09/01/09	30	345	486	(142)
ACTIVISION BLIZZARD INC CMN	07/11/08	09/01/09	358	4,113	5,720	(1,607)
ACTIVISION BLIZZARD INC CMN	07/14/08	09/01/09	2612	30,011	43,028	(13,018)
LOWES COMPANIES INC CMN	08/20/07	09/01/09	514	10,823	14,712	(3,889)
LOWES COMPANIES INC CMN	08/22/07	09/01/09	380	8,001	11,261	(3,260)
LOWES COMPANIES INC CMN	09/25/07	09/01/09	700	14,739	20,291	(5,551)
LOWES COMPANIES INC CMN	02/07/08	09/01/09	260	5,475	6,240	(765)
LOWES COMPANIES INC CMN	12/06/07	09/01/09	348	7,285	8,619	(1,333)
LOWES COMPANIES INC CMN	05/20/08	09/01/09	425	8,949	10,115	(1,166)
SLM CORPORATION CMN	03/11/08	09/01/09	160	1,373	2,840	(1,467)
SLM CORPORATION CMN	04/22/08	09/01/09	225	1,931	3,948	(2,017)
SLM CORPORATION CMN	05/27/08	09/01/09	625	5,363	14,695	(9,331)
SLM CORPORATION CMN	08/25/08	09/01/09	824	7,071	11,770	(4,699)
SLM CORPORATION CMN	05/23/08	09/01/09	208	1,785	4,920	(3,135)
SLM CORPORATION CMN	08/22/08	09/01/09	818	7,020	11,592	(4,572)
THE TRAVELERS COMPANIES, I	07/11/08	09/01/09	640	31,860	28,042	3,819
CHARLES SCHWAB CORPORAT	08/10/07	09/01/09	338	5,993	6,313	(320)
CHARLES SCHWAB CORPORAT	12/06/07	09/01/09	714	12,735	17,165	(4,430)
CHARLES SCHWAB CORPORAT	08/17/07	09/01/09	2930	52,258	57,941	(5,683)
ELECTRONIC ARTS CMN	12/06/07	09/01/09	340	6,141	18,610	(12,469)
ELECTRONIC ARTS CMN	08/17/07	09/01/09	1060	19,145	55,044	(35,899)
ELECTRONIC ARTS CMN	01/22/08	09/01/09	550	9,934	27,024	(17,090)
ELECTRONIC ARTS CMN	01/24/08	09/01/09	373	6,737	17,845	(11,108)
ELECTRONIC ARTS CMN	01/31/08	09/01/09	242	4,371	11,182	(6,812)
ELECTRONIC ARTS CMN	02/07/08	09/01/09	7	126	314	(187)
ELECTRONIC ARTS CMN	05/08/07	09/01/09	148	2,673	7,668	(4,995)
TEVA PHARMACEUTICAL IND L1	01/23/08	09/01/09	22	1,132	980	152
TEVA PHARMACEUTICAL IND L1	02/07/08	09/01/09	150	7,718	6,887	831
TEVA PHARMACEUTICAL IND L1	05/20/08	09/01/09	125	6,432	5,737	695
TEVA PHARMACEUTICAL IND L1	07/11/08	09/01/09	1033	53,154	43,979	9,175
FIRSTENERGY CORP CMN	01/07/05	09/01/09	404	18,253	15,590	2,663
FIRSTENERGY CORP CMN	03/26/07	09/01/09	188	8,494	12,430	(3,936)
FIRSTENERGY CORP CMN	08/07/06	09/01/09	120	5,422	6,713	(1,291)
FIRSTENERGY CORP CMN	03/27/07	09/01/09	30	1,355	1,991	(636)
FIRSTENERGY CORP CMN	03/29/07	09/01/09	180	8,584	12,653	(4,068)
FIRSTENERGY CORP CMN	03/23/07	09/01/09	48	2,169	3,165	(997)
EVEREST RE GROUP LTD CMN	04/22/08	09/01/09	175	14,773	16,121	(1,349)
EVEREST RE GROUP LTD CMN	04/24/08	09/01/09	125	10,552	11,461	(909)
EVEREST RE GROUP LTD CMN	02/14/07	09/01/09	119	10,045	11,530	(1,485)
EVEREST RE GROUP LTD CMN	04/25/07	09/01/09	64	5,403	6,640	(1,237)
HESS CORPORATION CMN	12/10/07	09/01/09	165	8,282	12,865	(4,583)
HESS CORPORATION CMN	01/09/08	09/01/09	228	11,444	20,966	(9,522)
HESS CORPORATION CMN	01/22/08	09/01/09	88	4,417	7,282	(2,865)
HESS CORPORATION CMN	01/28/08	09/01/09	244	12,247	21,768	(9,521)
CME GROUP INC CMN CLASS A	03/20/08	09/01/09	30	8,565	13,731	(5,166)
HESS CORPORATION CMN	01/25/08	09/01/09	137	6,876	12,437	(5,560)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
CME GROUP INC CMN CLASS A	02/28/08	09/01/09	10	2,855	5,206	(2,351)
CME GROUP INC CMN CLASS A	04/22/08	09/01/09	35	9,992	16,747	(6,754)
CME GROUP INC CMN CLASS A	08/27/08	09/01/09	145	41,396	47,295	(5,899)
TIME WARNER INC. CMN	09/25/07	09/01/09	340	9,379	13,785	(4,406)
ENTERGY CORPORATION CMN	11/15/05	09/01/09	186	14,736	12,714	2,022
ENTERGY CORPORATION CMN	04/11/06	09/01/09	50	3,961	3,437	524
ENTERGY CORPORATION CMN	01/07/05	09/01/09	352	27,887	22,933	4,955
ENTERGY CORPORATION CMN	02/15/06	09/01/09	323	25,590	22,534	3,056
ENTERGY CORPORATION CMN	08/07/06	09/01/09	369	29,234	28,479	755
AMERICAN TOWER CORPORAT	08/17/07	09/01/09	1390	43,602	53,646	(10,044)
AMERICAN TOWER CORPORAT	04/25/07	09/01/09	469	14,712	18,427	(3,715)
EQUINIX INC CMN	07/28/08	09/01/09	267	22,221	24,115	(1,894)
AMERICAN TOWER CORPORAT	04/27/06	09/01/09	586	18,382	19,930	(1,548)
AMERICAN TOWER CORPORAT	05/19/06	09/01/09	85	2,666	2,541	125
AMERICAN TOWER CORPORAT	06/08/06	09/01/09	155	4,862	4,670	192
AMERICAN TOWER CORPORAT	05/08/07	09/01/09	244	7,654	9,561	(1,907)
AMERICAN TOWER CORPORAT	12/06/07	09/01/09	674	21,142	28,375	(7,233)
EQUINIX INC CMN	07/25/08	09/01/09	751	62,503	70,830	(8,327)
EQUINIX INC CMN	08/04/08	09/01/09	342	28,463	26,825	1,638
HESS CORPORATION CMN	05/20/08	09/01/09	75	3,764	9,990	(6,226)
HESS CORPORATION CMN	02/07/08	09/01/09	150	7,529	12,413	(4,884)
HESS CORPORATION CMN	08/13/08	09/01/09	299	15,008	29,595	(14,588)
JOHNSON & JOHNSON CMN	08/16/07	09/01/09	422	25,334	25,874	(539)
PFIZER INC CMN	01/19/07	10/16/09	0	5		5
WYETH CMN	01/19/07	10/16/09	104	5,233	5,417	(183)
WYETH CMN	01/23/07	10/16/09	264	13,304	13,233	71
WYETH CMN	01/26/07	10/16/09	217	10,940	11,112	(172)
WYETH CMN	02/15/07	10/16/09	293	14,773	14,859	(87)
WYETH CMN	04/25/07	10/16/09	169	8,509	9,466	(957)
MICROSOFT CORPORATION CM	05/08/07	11/03/09	319	8,788	9,812	(1,024)
FIRSTENERGY CORP CMN	03/29/07	11/03/09	38	1,605	2,531	(926)
FIRSTENERGY CORP CMN	04/25/07	11/03/09	82	3,463	5,822	(2,359)
BOEING COMPANY CMN	09/03/08	11/03/09	39	1,870	2,570	(700)
BOEING COMPANY CMN	09/04/08	11/03/09	21	1,007	1,359	(352)
JPMORGAN CHASE & CO CMN	02/16/06	11/03/09	121	5,139	4,918	221
JPMORGAN CHASE & CO CMN	06/15/06	11/03/09	199	8,452	8,067	385
SLM CORPORATION CMN	08/25/08	11/03/09	160	1,546	2,286	(740)
WESTERN UNION COMPANY (T	02/07/08	11/03/09	320	5,905	7,045	(1,140)
QUALCOMM INC CMN	08/17/07	11/03/09	40	1,675	1,509	166
INVESCO LTD CMN	07/21/08	11/03/09	40	884	937	(52)
CROWN CASTLE INTL CORP CC	01/28/08	11/03/09	80	2,527	2,692	(165)
EXXON MOBIL CORPORATION C	10/01/08	11/03/09	20	1,434	1,524	(89)
COACH INC CMN	07/30/08	11/03/09	80	2,623	2,083	540
CISCO SYSTEMS, INC CMN	04/27/06	11/03/09	80	1,827	1,686	140
TIME WARNER INC CMN	09/25/07	11/03/09	80	2,398	3,243	(845)
CHARLES SCHWAB CORPORAT	12/08/07	11/03/09	120	2,064	2,885	(821)
PEPSICO INC CMN	08/17/07	11/03/09	80	4,797	5,557	(760)
VIACOM INC CMN CLASS B	10/15/08	11/03/09	80	2,293	1,479	813
ELECTRONIC ARTS CMN	02/07/08	11/03/09	180	3,199	8,064	(4,865)
MICROSOFT CORPORATION CM	08/17/07	11/03/09	1920	52,895	53,626	(731)
MICROSOFT CORPORATION CM	10/26/07	11/03/09	99	2,727	3,520	(793)
MICROSOFT CORPORATION CM	04/27/06	11/03/09	370	10,193	10,149	44
MICROSOFT CORPORATION CM	04/25/07	11/03/09	592	16,309	17,026	(717)
WASTE MANAGEMENT INC CMF	10/13/08	11/03/09	60	1,819	1,789	30
SUNCOR ENERGY INC CMN	12/08/07	11/03/09	628	20,511	31,972	(11,461)
SUNCOR ENERGY INC CMN	08/17/07	11/03/09	752	24,561	30,347	(5,785)
SUNCOR ENERGY INC CMN	01/25/08	11/03/09	282	9,211	12,896	(3,685)
SUNCOR ENERGY INC CMN	02/07/08	11/03/09	360	11,758	15,929	(4,171)
SUNCOR ENERGY INC CMN	05/20/08	11/03/09	150	4,899	10,839	(5,940)
SUNCOR ENERGY INC CMN	07/07/08	11/03/09	471	15,384	26,391	(11,007)
AMYLIN PHARMACEUTICALS, IN	06/10/08	11/03/09	400	4,833	11,114	(6,280)
HEWLETT-PACKARD CO CMN	04/16/07	11/03/09	22	1,046	903	143

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
HEWLETT-PACKARD CO CMN	04/17/07	11/03/09	38	1,807	1,555	252
SCHLUMBERGER LTD CMN	08/12/08	11/03/09	40	2,572	3,734	(1,163)
ENTERGY CORPORATION CMN	08/07/06	11/03/09	20	1,523	1,544	(21)
P G & E CORPORATION CMN	08/30/07	11/03/09	20	812	886	(74)
WESTERN UNION COMPANY (T	02/07/08	12/04/09	40	720	881	(160)
VISA INC CMN CLASS A	10/24/08	11/03/09	33	2,535	1,580	956
PEPSICO INC CMN	08/17/07	12/04/09	100	6,339	6,946	(607)
WELLPOINT, INC CMN	09/03/08	12/04/09	20	1,081	1,056	25
FIRSTENERGY CORP CMN	04/04/08	12/04/09	10	449	725	(276)
FIRSTENERGY CORP CMN	04/25/07	12/04/09	30	1,346	2,130	(784)
PHILIP MORRIS INTL INC CMN	08/20/07	12/04/09	19	952	887	65
PHILIP MORRIS INTL INC CMN	08/10/07	12/04/09	41	2,054	1,907	147
CHARLES SCHWAB CORPORAT	12/06/07	12/04/09	100	1,826	2,404	(578)
CISCO SYSTEMS, INC CMN	04/27/08	12/04/09	440	10,655	9,275	1,380
BECTON DICKINSON & CO CMN	11/03/08	12/04/09	20	1,549	1,377	172
QUALCOMM INC CMN	08/17/07	12/04/09	120	5,437	4,526	911
EXXON MOBIL CORPORATION C	10/01/08	12/04/09	29	2,180	2,209	(29)
EXXON MOBIL CORPORATION C	10/06/08	12/04/09	211	15,864	16,122	(258)
INVESCO LTD. CMN	07/21/08	12/04/09	60	1,321	1,405	(84)
TIME WARNER INC CMN	09/25/07	12/04/09	80	2,497	3,243	(746)
WASTE MANAGEMENT INC CMN	10/13/08	12/04/09	20	663	596	66
CROWN CASTLE INTL CORP CC	02/07/08	12/04/09	43	1,615	1,451	164
CROWN CASTLE INTL CORP CC	01/28/08	12/04/09	37	1,389	1,245	144
COACH INC CMN	07/30/08	12/04/09	20	738	521	217
JOHNSON & JOHNSON CMN	10/02/08	12/04/09	60	3,888	4,071	(182)
ENTERGY CORPORATION CMN	08/07/06	12/04/09	15	1,228	1,158	71
ENTERGY CORPORATION CMN	04/25/07	12/04/09	45	3,685	5,205	(1,520)
VIACOM INC CMN CLASS B	10/15/08	12/04/09	20	599	370	229
HEWLETT-PACKARD CO CMN	04/25/07	12/04/09	35	1,727	1,464	263
WAL MART STORES INC CMN	04/04/08	12/04/09	100	5,467	5,471	(4)
HEWLETT-PACKARD CO CMN	04/18/07	12/04/09	50	2,467	2,044	423
HEWLETT-PACKARD CO CMN	04/17/07	12/04/09	75	3,700	3,070	630
MICROSOFT CORPORATION CM	10/26/07	12/04/09	360	10,818	12,800	(1,982)
FRANKLIN RESOURCES INC CM	11/19/08	12/04/09	20	2,188	1,016	1,172
BOEING COMPANY CMN	09/04/08	12/04/09	60	3,295	3,883	(589)
P G & E CORPORATION CMN	08/30/07	12/04/09	60	2,632	2,659	(27)
JPMORGAN CHASE & CO CMN	08/07/06	12/04/09	29	1,219	1,313	(93)
JPMORGAN CHASE & CO CMN	08/15/06	12/04/09	111	4,667	4,499	168
AOL INC CMN	09/25/07	12/10/09	0	9		9
SCHERING-PLOUGH CORP CMN	11/03/09	11/03/09	60	1,691	1,691	-
MERCK & CO, INC CMN	09/01/09	11/05/09	0	13,846	13,846	0
SCHERING-PLOUGH CORP CMN	09/01/09	11/05/09	1320	36,897	36,897	-
THERMO FISHER SCIENTIFIC IN	05/18/09	09/01/09	148	6,654	5,154	1,499
THERMO FISHER SCIENTIFIC IN	05/04/09	09/01/09	829	37,269	30,794	6,475
AFLAC INCORPORATED CMN	05/08/09	09/01/09	600	23,458	21,692	1,765
EXPRESS SCRIPTS COMMON C	06/08/09	09/01/09	678	48,787	43,424	5,363
EXPRESS SCRIPTS COMMON C	07/29/09	09/01/09	175	12,592	12,507	86
EXPRESS SCRIPTS COMMON C	06/09/09	09/01/09	427	30,726	27,277	3,449
BANK OF AMERICA CORP CMN	04/13/09	09/01/09	1860	31,999	20,332	11,667
CB RICHARD ELLIS GROUP, INC	09/19/08	09/01/09	5874	65,291	86,638	(21,347)
DOW CHEMICAL CO CMN	05/11/09	09/01/09	1060	21,931	18,158	3,773
TJX COMPANIES INC (NEW) CMI	04/09/09	09/01/09	540	19,226	15,002	4,225
ST JUDE MEDICAL INC CMN	12/22/08	09/01/09	1008	38,450	32,541	5,910
ST JUDE MEDICAL INC CMN	07/22/09	09/01/09	464	17,699	17,161	539
JOHNSON & JOHNSON CMN	10/02/08	09/01/09	653	39,202	44,306	(5,104)
ST JUDE MEDICAL INC CMN	02/10/09	09/01/09	688	25,481	24,282	1,200
RANGE RESOURCES CORPOR/	11/18/08	09/01/09	27	1,292	1,095	197
RANGE RESOURCES CORPOR/	12/03/08	09/01/09	38	1,818	1,441	377
THERMO FISHER SCIENTIFIC IN	05/04/09	09/01/09	686	29,941	24,739	5,202
THERMO FISHER SCIENTIFIC IN	10/13/08	09/01/09	630	28,323	28,420	(97)
BIOGEN IDEC INC CMN	01/21/09	09/01/09	487	23,103	23,424	(321)
SPRINT NEXTEL CORPORATION	07/28/09	09/01/09	2392	8,598	10,955	(2,357)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
RANGE RESOURCES CORPOR/	11/25/08	09/01/09	358	17,035	13,830	3,205
RANGE RESOURCES CORPOR/	12/04/08	09/01/09	385	18,423	14,402	4,021
RANGE RESOURCES CORPOR/	12/31/08	09/01/09	294	14,068	10,134	3,935
VISA INC CMN CLASS A	10/24/08	09/01/09	358	25,404	17,137	8,267
TRANSOCEAN LTD CMN	02/20/09	09/01/09	140	10,575	8,196	2,379
BIOGEN IDEC INC CMN	03/10/09	09/01/09	484	23,944	22,173	1,770
BIOGEN IDEC INC CMN	08/03/09	09/01/09	177	8,756	8,519	237
BIOGEN IDEC INC CMN	08/04/09	09/01/09	52	2,572	2,519	53
BOEING COMPANY CMN	09/03/08	09/01/09	314	15,400	20,694	(5,294)
WASTE MANAGEMENT INC CMN	10/13/08	09/01/09	127	3,800	3,787	13
WEATHERFORD INTERNATION/	05/15/09	09/01/09	1423	28,223	25,533	2,690
MARRIOTT INTERNATIONAL, INC	01/16/09	09/01/09	242	5,602	4,247	1,356
MARRIOTT INTERNATIONAL, INC	02/27/09	09/01/09	916	21,206	12,752	8,454
TECHNOLOGY SELECT INDEX 'S	09/04/08	09/01/09	5200	102,988	112,944	(9,956)
MARRIOTT INTERNATIONAL, INC	01/21/09	09/01/09	915	21,183	15,408	5,774
WEATHERFORD INTERNATION/	06/29/09	09/01/09	177	3,511	3,538	(27)
STAPLES, INC CMN	04/23/09	09/01/09	740	15,983	15,528	455
TECHNOLOGY SELECT INDEX 'S	12/10/08	09/01/09	927	18,360	14,486	3,874
TECHNOLOGY SELECT INDEX 'S	12/12/08	09/01/09	1123	22,241	17,276	4,965
TECHNOLOGY SELECT INDEX 'S	12/18/08	09/01/09	850	16,835	13,186	3,649
TECHNOLOGY SELECT INDEX 'S	12/18/08	09/01/09	970	19,211	15,061	4,150
EOG RESOURCES INC CMN	10/29/08	09/01/09	181	12,920	13,316	(396)
EOG RESOURCES INC CMN	09/18/08	09/01/09	175	12,492	15,711	(3,220)
EOG RESOURCES INC CMN	07/02/09	09/01/09	19	1,356	1,229	127
MARRIOTT INTERNATIONAL, INC	03/12/09	09/01/09	667	15,441	10,031	5,411
TECHNOLOGY SELECT INDEX 'S	12/10/08	09/01/09	1187	23,509	18,536	4,973
TECHNOLOGY SELECT INDEX 'S	12/11/08	09/01/09	1090	21,588	16,884	4,704
PRUDENTIAL FINANCIAL INC CM	06/03/09	09/01/09	70	3,387	2,861	526
EMERSON ELECTRIC CO CMN	03/19/09	09/01/09	700	25,532	19,402	6,130
NIKE CLASS-B CMN CLASS B	01/22/09	09/01/09	460	25,165	21,022	4,143
AMERICAN ELECTRIC POWER II	04/07/09	09/01/09	300	9,390	7,860	1,531
GILEAD SCIENCES CMN	06/30/09	09/01/09	761	34,433	35,688	(1,256)
GILEAD SCIENCES CMN	07/24/09	09/01/09	39	1,765	1,874	(110)
STATE STREET CORPORATION	04/23/09	09/01/09	720	37,191	25,553	11,638
DEVON ENERGY CORPORATION	01/23/09	09/01/09	228	13,780	13,802	(22)
COMCAST CORPORATION CMN	03/30/09	09/01/09	1193	18,214	16,288	1,927
DEVON ENERGY CORPORATION	01/30/09	09/01/09	249	15,049	15,468	(419)
DEVON ENERGY CORPORATION	08/04/09	09/01/09	24	1,451	1,577	(126)
COMCAST CORPORATION CMN	03/03/09	09/01/09	1648	25,161	20,792	4,369
COMCAST CORPORATION CMN	04/08/09	09/01/09	1019	15,558	14,338	1,220
VIACOM INC. CMN CLASS B	10/15/08	09/01/09	579	14,221	10,707	3,513
RESEARCH IN MOTION LIMITED	02/20/09	09/01/09	358	26,629	14,450	12,179
RESEARCH IN MOTION LIMITED	12/22/08	09/01/09	244	18,149	10,217	7,932
HONEYWELL INTL INC CMN	09/18/08	09/01/09	753	27,357	32,778	(5,420)
HONEYWELL INTL INC CMN	02/12/09	09/01/09	367	13,334	11,491	1,842
DISH NETWORK CORPORATION	04/23/09	09/01/09	1888	30,543	24,969	5,575
PEOPLES UNITED FINANCIAL IN	08/05/09	09/01/09	2014	32,056	34,172	(2,117)
PEOPLES UNITED FINANCIAL IN	08/06/09	09/01/09	447	7,115	7,541	(426)
PEOPLES UNITED FINANCIAL IN	08/11/09	09/01/09	299	4,759	5,034	(275)
DISH NETWORK CORPORATION	05/19/09	09/01/09	652	10,548	11,252	(704)
ISHARES MSCI JAPAN INDEX FC	09/04/08	09/01/09	10400	106,256	114,816	(8,560)
ISHARES MSCI JAPAN INDEX FC	07/21/09	09/01/09	6300	64,367	60,073	4,294
ISHARES MSCI JAPAN INDEX FC	05/21/09	09/01/09	17580	179,614	161,328	18,286
ISHARES TR-ISHARES MSCI EAI	10/31/08	09/01/09	62000	3,224,147	2,772,721	451,426
ISHARES TR-ISHARES MSCI EAI	07/22/09	09/01/09	2100	109,205	101,174	8,031
ISHARES TR-ISHARES MSCI EAI	10/21/08	09/01/09	20200	1,050,448	907,111	143,336
ISHARES TR-ISHARES MSCI EAI	05/05/09	09/01/09	4500	234,011	197,191	36,820
ISHARES TR-ISHARES MSCI EAI	01/14/09	09/01/09	2330	121,166	93,349	27,817
ISHARES TR-ISHARES MSCI EAI	04/23/09	09/01/09	2450	127,406	99,582	27,823
BAXTER INTERNATIONAL INC C	06/05/09	09/01/09	354	19,907	16,834	3,073
BAXTER INTERNATIONAL INC C	03/30/09	09/01/09	664	37,340	33,522	3,819
ORACLE CORPORATION CMN	03/24/09	09/01/09	1745	38,302	31,463	6,839

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
ORACLE CORPORATION CMN	04/21/09	09/01/09	335	7,353	6,522	832
OCCIDENTAL PETROLEUM COR	02/28/09	09/01/09	270	19,634	14,282	5,352
OCCIDENTAL PETROLEUM COR	09/22/08	09/01/09	284	20,652	23,307	(2,656)
OCCIDENTAL PETROLEUM COR	03/27/09	09/01/09	30	2,182	1,742	440
BROADCOM CORP CL-A CMN C	06/09/09	09/01/09	2015	56,939	55,156	1,783
COSTCO WHOLESALE CORPOF	04/24/09	09/01/09	1022	51,735	48,644	3,091
BROADCOM CORP CL-A CMN C	07/24/09	09/01/09	805	22,747	21,223	1,524
COSTCO WHOLESALE CORPOF	04/30/09	09/01/09	278	14,073	13,433	640
UNITED STATES STEEL CORPO	08/07/09	09/01/09	640	27,528	28,751	(1,222)
WELLPOINT, INC CMN	09/03/08	09/01/09	9	469	475	(7)
BAYER AG CMN	09/01/09	11/03/09	30	2,080	1,793	287
REED ELSEVIER PLC CMN	09/01/09	11/03/09	47	362	344	17
AGL ENERGY LIMITED ORDINAF	09/01/09	11/03/09	6	77	71	6
CIE FINANCIERE RICHEMONT S.	09/01/09	11/03/09	6	167	161	7
BANK OF EAST ASIA CMN	09/01/09	11/03/09	800	2,787	2,694	93
ACTIVISION BLIZZARD INC CMN	05/18/09	09/01/09	2836	32,584	33,058	(474)
ACTIVISION BLIZZARD INC CMN	06/08/09	09/01/09	464	5,331	5,885	(554)
LOWES COMPANIES INC CMN	03/12/09	09/01/09	55	1,158	842	316
THE TRAVELERS COMPANIES, I	01/21/09	09/01/09	235	11,699	9,150	2,549
JOHNSON CONTROLS INC CMN	04/17/09	09/01/09	760	18,663	13,185	5,478
J C PENNEY CO INC (HLDNG C	05/07/09	09/01/09	500	15,051	15,646	(596)
MORGAN STANLEY CMN	12/29/08	09/01/09	368	10,306	5,296	5,010
MORGAN STANLEY CMN	12/30/08	09/01/09	631	17,671	9,306	8,364
MORGAN STANLEY CMN	06/25/09	09/01/09	1389	38,898	38,507	391
MORGAN STANLEY CMN	02/13/09	09/01/09	832	23,300	19,189	4,110
PROCTER & GAMBLE COMPAN\	02/11/09	09/01/09	888	47,372	45,630	1,742
PROCTER & GAMBLE COMPAN\	03/12/09	09/01/09	26	1,387	1,174	213
BECTON DICKINSON & CO CMN	10/24/08	09/01/09	115	7,910	7,714	196
PROCTER & GAMBLE COMPAN\	02/24/09	09/01/09	186	9,923	9,186	737
BECTON DICKINSON & CO CMN	10/23/08	09/01/09	98	6,741	6,771	(30)
BECTON DICKINSON & CO CMN	10/31/08	09/01/09	85	5,846	5,800	47
BECTON DICKINSON & CO CMN	11/03/08	09/01/09	2	138	138	(0)
FREEPORT-MCMORAN COPPEF	05/07/09	09/01/09	40	2,453	2,071	383
EVEREST RE GROUP LTD CMN	01/21/09	09/01/09	197	16,630	13,550	3,080
CME GROUP INC CMN CLASS A	03/17/09	09/01/09	12	3,426	2,487	939
CME GROUP INC CMN CLASS A	02/19/09	09/01/09	88	25,123	16,255	8,869
GENERAL MILLS INC CMN	12/15/08	09/01/09	77	4,565	4,642	(77)
GENERAL MILLS INC CMN	12/12/08	09/01/09	183	10,849	11,075	(226)
FRANKLIN RESOURCES INC CM	10/22/08	09/01/09	427	39,305	27,374	11,931
FRANKLIN RESOURCES INC CM	11/19/08	09/01/09	153	14,084	7,771	6,312
EQUINIX INC CMN	02/20/09	09/01/09	120	9,987	5,369	4,618
HESS CORPORATION CMN	11/03/08	09/01/09	279	14,004	16,273	(2,269)
HESS CORPORATION CMN	12/12/08	09/01/09	320	16,062	14,947	1,115
HESS CORPORATION CMN	12/23/08	09/01/09	229	11,494	11,022	472
HESS CORPORATION CMN	12/17/08	09/01/09	468	23,390	24,448	(1,058)
RTS/SWEDBANK AB EXP10/06/2	09/01/09	09/25/09	326	624	594	30
RTS/BNP PARIBAS EXP10/13/20	09/01/09	10/08/09	544	1,100		1,100
RTS/SOCIETE GENERALE EXP1	09/01/09	10/13/09	343	1,234		1,234
RTS/BANCO SANTANDER, S.A. f	10/16/09	10/26/09	4776	890		890
RIO TINTO LTD ORDINARY FULL	09/01/09	11/03/09	2	116	98	18
MERCK & CO, INC CMN	11/03/09	11/03/09	1	29		29
OIL SEARCH LTD (ORD) 10 TOE/	09/01/09	11/03/09	417	2,239	2,249	(10)
TOLL HOLDINGS LIMITED ORDIN	09/01/09	11/03/09	254	1,924	1,776	147
NATL SEMICONDUCTOR CORP	09/01/09	11/03/09	120	1,530	1,833	(303)
MEMC ELECTRONIC MATERIAL	09/01/09	11/03/09	80	999	1,267	(269)
HARTFORD FINANCIAL SRVCS I	09/01/09	11/03/09	20	509	440	69
AMPHENOL CORP CL-A (NEW) C	09/01/09	11/03/09	40	1,642	1,386	256
VERISIGN INC CMN	09/01/09	11/03/09	40	915	840	75
DEVON ENERGY CORPORATIOI	08/04/09	11/03/09	40	2,638	2,628	10
MARRIOTT INTERNATIONAL, INC	03/12/09	11/03/09	60	1,514	902	612
MONSANTO COMPANY CMN	09/01/09	11/03/09	20	1,358	1,651	(293)
NII HOLDINGS, INC CMN CLASS	09/01/09	11/03/09	20	555	474	81

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
SUNTRUST BANKS INC \$1 00 P/A	09/01/09	11/03/09	80	1,565	1,788	(223)
COMCAST CORPORATION CMN	05/27/09	11/03/09	180	2,598	2,596	2
INTERCONTINENTALEXCHANGE	09/01/09	11/03/09	20	2,015	1,863	152
COMCAST CORPORATION CMN	04/08/09	11/03/09	120	1,732	1,688	44
SEAGATE TECHNOLOGY CMN	09/01/09	11/03/09	160	2,252	2,193	59
WEATHERFORD INTERNATIONAL	06/29/09	11/03/09	220	3,903	4,397	(495)
INTEL CORPORATION CMN	09/01/09	11/03/09	20	368	401	(32)
ST JUDE MEDICAL INC CMN	07/22/09	11/03/09	20	690	740	(50)
SUNPOWER CORPORATION CM	09/01/09	11/03/09	120	3,028	2,955	73
SCHNEIDER ELECTRIC EUR8 00	09/01/09	11/03/09	3	314	275	40
NIKE CLASS-B CMN CLASS B	01/22/09	11/03/09	40	2,491	1,828	663
AMERICAN ELECTRIC POWER II	04/07/09	11/03/09	60	1,832	1,572	260
MAXIM INTEGRATED PRODUCT	09/01/09	11/03/09	60	1,007	1,115	(108)
TORCHMARK CORP CMN	09/01/09	11/03/09	80	3,236	3,306	(70)
INTUIT INC CMN	09/01/09	11/03/09	20	581	555	25
FORTUNE BRANDS, INC CMN	09/01/09	11/03/09	20	778	785	(8)
STAPLES, INC CMN	04/23/09	11/03/09	20	437	420	17
ROYAL CARIBBEAN CRUISES L	09/01/09	11/03/09	160	3,149	2,965	183
VALERO ENERGY CORPORATIC	09/01/09	11/03/09	20	355	370	(16)
AMEREN CORPORATION CMN	09/01/09	11/03/09	60	1,429	1,624	(195)
AMDOCS LIMITED ORDINARY SI	09/01/09	11/03/09	40	970	971	(1)
EOG RESOURCES INC CMN	07/02/09	11/03/09	20	1,658	1,293	364
ACCENTURE PLC CMN	09/01/09	11/03/09	240	9,105	7,977	1,128
CORNING INCORPORATED CMN	09/01/09	11/03/09	400	5,797	5,995	(198)
FLETCHER BUILDING LIMITED (I	09/01/09	11/03/09	86	503	458	45
TIFFANY & CO CMN	09/01/09	11/03/09	20	801	710	90
ADOBE SYSTEMS INC CMN	09/01/09	11/03/09	160	5,239	5,013	226
LOWES COMPANIES INC CMN	03/12/09	11/03/09	60	1,157	918	238
APPLIED MATERIALS INC CMN	09/01/09	11/03/09	480	5,714	6,289	(575)
ACTIVISION BLIZZARD INC CMN	06/08/09	11/03/09	260	2,719	3,298	(579)
SMITH INTERNATIONAL INC CMI	09/01/09	11/03/09	40	1,133	1,098	35
APPLE, INC CMN	01/15/09	11/03/09	260	48,921	21,078	27,843
TEXTRON INC DEL CMN	09/01/09	11/03/09	60	1,093	957	135
MOHAWK INDUSTRIES INC COM	09/01/09	11/03/09	60	2,508	2,956	(448)
CAPITAL ONE FINANCIAL CORP	09/01/09	11/03/09	20	741	723	18
AMB PROPERTY CORP CMN	09/01/09	11/03/09	40	880	880	0
METLIFE, INC CMN	09/01/09	11/03/09	20	665	719	(53)
YAHOO INC CMN	09/01/09	11/03/09	600	9,429	8,622	807
BB&T CORPORATION CMN	09/01/09	11/03/09	60	1,483	1,632	(149)
MORGAN STANLEY CMN	06/25/09	11/03/09	120	3,824	3,327	497
LINDE AG NPV	09/01/09	11/03/09	5	537	492	45
BRITISH LAND CO (ORD) CMN	09/01/09	11/03/09	122	919	946	(27)
PRUDENTIAL CORP (ORD) CMN	09/01/09	11/03/09	24	219	208	11
SUNOCO INC CMN	09/01/09	11/03/09	20	623	521	101
NVIDIA CORP CMN	09/01/09	11/03/09	40	473	575	(102)
BANK OF AMERICA CORP CMN	04/13/09	11/03/09	460	6,695	5,028	1,667
AUTODESK INC CMN	09/01/09	11/03/09	40	999	928	71
BEST BUY CO INC CMN SERIES	09/01/09	11/03/09	20	781	723	58
BOC HONG KONG (HOLDINGS) I	09/01/09	11/03/09	5000	11,590	10,145	1,445
VOLKSWAGEN AG N-VTG BR PF	09/01/09	11/03/09	2	196	187	9
KEPPEL CORPORATION LIMITEI	09/01/09	11/03/09	2000	11,455	10,716	739
AVIVA PC ORD GBP0 25	09/01/09	11/03/09	18	120	127	(7)
U S BANCORP CMN	09/01/09	11/03/09	120	2,820	2,636	184
MARATHON OIL CORPORATION	09/01/09	11/03/09	20	644	612	32
GENERAL MILLS INC CMN	12/15/08	11/03/09	40	2,602	2,412	190
BAKER HUGHES INC CMN	09/01/09	11/03/09	20	858	707	151
OCCIDENTAL PETROLEUM COR	03/27/09	11/03/09	220	17,418	12,773	4,645
LEUCADIA NATIONAL CORP CM	09/01/09	11/03/09	300	6,806	7,263	(457)
METRO AG NPV	09/01/09	11/03/09	17	963	893	71
ZURICH FINANCIAL SERVICES F	09/01/09	11/03/09	1	225	217	8
SIEMENS AG REG SHS NPV	09/01/09	11/03/09	91	8,224	7,582	641
DELL INC CMN	09/01/09	11/03/09	660	9,522	10,217	(695)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
UNITED STATES STEEL CORPO	08/07/09	11/03/09	60	2,112	2,695	(584)
PLAINS EXPL & PROD CO LP CM	09/01/09	11/03/09	120	3,162	3,180	(18)
LSI CORPORATION COMMON S	09/01/09	11/03/09	160	792	826	(33)
AGCO CORP CMN	09/01/09	11/03/09	20	571	616	(45)
ARCH COAL INC CMN	09/01/09	11/03/09	80	1,756	1,374	381
DISCOVER FINANCIAL SERVICE	09/01/09	11/03/09	60	841	793	48
NETAPP, INC CMN	09/01/09	11/03/09	140	3,873	3,153	720
EBAY INC CMN	09/01/09	11/03/09	280	6,261	6,173	88
BOSTON SCIENTIFIC CORP CO	09/01/09	11/03/09	100	800	1,140	(340)
MACERICH COMPANY CMN	09/01/09	11/03/09	120	3,581	3,245	336
STEEL DYNAMICS, INC CMN	09/01/09	11/03/09	220	3,007	3,585	(578)
COVENTRY HEALTH CARE INC	09/01/09	11/03/09	20	412	428	(16)
BIOGEN IDEC INC CMN	08/04/09	11/03/09	20	863	969	(106)
SUN MICROSYSTEMS, INC CMN	09/01/09	11/03/09	20	165	185	(20)
NEW WORLD DEVELOPMENT L	09/01/09	11/03/09	2000	4,229	4,055	174
CAPITALAND LTD CMN	09/01/09	11/03/09	2000	6,051	5,149	902
HARLEY-DAVIDSON INC CMN	09/01/09	11/03/09	100	2,462	2,367	96
J C PENNEY CO INC (HLDNG C	05/07/09	11/03/09	40	1,310	1,252	58
CELANESE CORPORATION CMN	09/01/09	11/03/09	20	547	497	50
HESS CORPORATION CMN	12/23/08	11/03/09	80	4,396	3,851	546
PEOPLES UNITED FINANCIAL IN	08/11/09	11/03/09	240	3,786	4,040	(254)
EMERSON ELECTRIC CO CMN	03/19/09	11/03/09	20	778	554	224
INTL BUSINESS MACHINES COF	09/01/09	11/03/09	400	48,194	46,972	1,223
XEROX CORPORATION CMN	09/01/09	11/03/09	80	597	686	(89)
MOTOROLA INC CMN	09/01/09	11/03/09	820	7,389	5,885	1,504
SANTOS LTD (ORD) ORDINARY	09/01/09	11/03/09	85	1,154	1,143	11
SVENSKA CELLULOSEA AB B SH	09/01/09	11/03/09	18	257	233	24
BROADCOM CORP CL-A CMN C	07/24/09	11/03/09	180	4,676	4,745	(70)
M&T BANK CORPORATION CMN	09/01/09	11/03/09	40	2,513	2,418	95
UNITEDHEALTH GROUP INCORI	09/01/09	11/03/09	20	534	553	(19)
TOTAL SA CMN CL B EUR10	09/01/09	11/03/09	25	1,515	1,404	111
KALI & SALZ AG (ORD) NPV	09/01/09	11/03/09	4	220	200	20
LLOYDS BANKING GROUP PLC	09/01/09	11/03/09	756	1,082	1,321	(239)
NORSK HYDRO ASA CMN	09/01/09	11/03/09	800	5,198	4,712	486
MARVELL TECHNOLOGY GROU	09/01/09	11/03/09	80	823	914	(91)
NASDAQ OMX GROUP, INC CMI	09/01/09	11/03/09	280	5,076	6,030	(955)
MOODYS CORP CMN	09/01/09	11/03/09	80	1,840	2,144	(304)
SALESFORCE COM, INC CMN	09/01/09	11/03/09	20	1,160	1,033	127
SUNCORP-METWAY LIMITED OF	09/01/09	11/03/09	123	940	812	128
DEXIA CMN CLASS	09/01/09	11/03/09	6	48	51	(2)
ABB LTD RG SHS CHF 2 5 VAL 3	09/01/09	11/03/09	67	1,259	1,250	9
DEUTSCHE POST AG CMN	09/01/09	11/03/09	69	1,166	1,138	28
GOOGLE, INC CMN CLASS A	08/03/09	11/03/09	65	34,704	29,455	5,249
FOREST OIL CORPORATION CM	09/01/09	11/03/09	260	5,107	4,077	1,031
REGIONS FINANCIAL CORPORA	09/01/09	11/03/09	100	479	572	(93)
GOOGLE, INC CMN CLASS A	09/01/09	11/03/09	15	8,009	6,896	1,113
YARA INTERNATIONAL ASA CMI	09/01/09	11/03/09	50	1,647	1,346	302
SYNGENTA CHF CH0011037469	09/01/09	11/03/09	4	953	930	22
STANDARD CHARTERED PLC C	09/01/09	11/03/09	8	197	181	16
INSURANCE AUSTRALIA GROU	09/01/09	11/03/09	824	2,814	2,485	329
AMERIPRISE FINANCIAL, INC CI	09/01/09	11/03/09	60	2,107	1,734	373
WESTFIELD GROUP ORDINARY	09/01/09	11/03/09	97	1,080	1,026	54
MAN GROUP PLC CMN	09/01/09	11/03/09	61	308	259	49
NYSE EURONEXT CMN	09/01/09	11/03/09	200	5,125	5,518	(394)
VOLKSWAGEN AKTIENGESSELLS	09/01/09	11/03/09	3	484	530	(46)
MUENCHENER RUECKVERS GE	09/01/09	11/03/09	20	3,149	2,918	231
DELHAIZE GROUP CMN	09/01/09	11/03/09	6	415	403	12
HENNES & MAURITZ AB SEK0 2	09/01/09	11/03/09	52	2,989	2,828	160
BANCO POPULAR EURO 50	09/01/09	11/03/09	172	1,515	1,798	(283)
THYSSENKRUPP AG CMN	09/01/09	11/03/09	44	1,419	1,430	(12)
MARKS AND SPENCER GROUP	09/01/09	11/03/09	98	537	546	(9)
ALSTOM CMN EUR 14	09/01/09	11/03/09	14	980	961	19

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
REPSOL YPF SA EUR1	09/01/09	11/03/09	97	2,613	2,360	253
WOODSIDE PETROLEUM LTD C	09/01/09	11/03/09	6	263	248	15
SWISSCOM AG RG SHS (NOM C	09/01/09	11/03/09	1	362	343	19
HANG SENG BANK (ORD) CMN	09/01/09	11/03/09	300	4,302	4,243	58
BASF SE NPV	09/01/09	11/03/09	3	161	154	6
MTR CORP LTD CMN CLASS A	09/01/09	11/03/09	500	1,720	1,711	9
GAS NATURAL SDG SA EUR1	09/01/09	11/03/09	48	976	984	(8)
RANDSTAD HOLDING N V NLGC	09/01/09	11/03/09	97	3,699	3,858	(159)
AMP LIMITED (AUD) ORDINARY	09/01/09	11/03/09	237	1,270	1,332	(63)
HSBC HLDGS PLC ORD USD0 5	09/01/09	11/03/09	221	2,467	2,346	121
OLD MUTUAL PLC ORD GBP0 1C	09/01/09	11/03/09	688	1,167	1,006	161
DEUTSCHE BANK REG SHS CMI	09/01/09	11/03/09	20	1,443	1,306	137
ORIGIN ENERGY LTD ORD CMN	09/01/09	11/03/09	23	332	303	28
ANGLO AMERICAN PLC CMN	09/01/09	11/03/09	124	4,620	3,929	691
NESTLE S.A. CMN	09/01/09	11/03/09	45	2,101	1,855	246
KINGFISHER PLC ORD CMN	09/01/09	11/03/09	36	132	122	10
WILMAR INTERNATIONAL LTD C	09/01/09	11/03/09	2000	8,803	8,948	(145)
GAM HOLDING LTD CMN	09/01/09	11/03/09	131	1,607	1,707	(100)
ELECTROLUX-B SHS (AKTIEBOL	09/01/09	11/03/09	27	649	548	102
NEWCREST MINING LIMITED OF	09/01/09	11/03/09	33	1,010	836	174
PEUGEOT SA EUR6 0	09/01/09	11/03/09	115	3,857	3,201	656
ACCOR EUR15 00	09/01/09	11/03/09	7	335	350	(14)
ALLIANZ SE NPV	09/01/09	11/03/09	34	3,929	3,809	119
SAMPO PLC CMN CLASS A	09/01/09	11/03/09	3	72	70	2
HAMMERSON ORD 25P CMN	09/01/09	11/03/09	500	3,221	3,214	7
ABERTIS INFRASTRUCTURAS	09/01/09	11/03/09	228	4,923	4,815	108
SWEDISH MATCH CO SEK2	09/01/09	11/03/09	228	4,823	4,373	450
TRANSURBAN GROUP ORDINA	09/01/09	11/03/09	1073	4,334	3,716	619
BNP PARIBAS EUR4 00	09/01/09	11/03/09	8	685	696	(11)
ASSA ABLOY B SHS SEK1	09/01/09	11/03/09	38	641	565	77
NOVARTIS AG SHS RG SHS (NO	09/01/09	11/03/09	5	262	231	31
BANCO BILBAO VIZCAYA ARGEI	09/01/09	11/03/09	20	351	348	3
NORDEA BANK AB SEK3 50	09/01/09	11/03/09	159	1,677	1,610	67
TRANSOCEAN LTD CMN	03/26/09	11/03/09	34	2,890	2,194	696
VESTA WIND SYSTEMS DKK1 0	09/01/09	11/03/09	2	140	147	(7)
XSTRATA PLC COM STK USD0 5	09/01/09	11/03/09	57	853	745	107
3I GROUP PLC CMN CLASS NE	09/01/09	11/03/09	36	150	179	(29)
IBERDROLA, S.A. CMN	09/01/09	11/03/09	561	5,094	5,114	(20)
TRANSOCEAN LTD CMN	02/20/09	11/03/09	6	510	351	159
BLUESCOPE STEEL LIMITED OF	09/01/09	11/03/09	673	1,807	1,731	76
VULCAN MATERIALS CO CMN	09/01/09	11/03/09	20	906	995	(89)
JOHNSON CONTROLS INC CMN	04/17/09	11/03/09	100	2,459	1,735	724
BAXTER INTERNATIONAL INC C	06/05/09	11/03/09	40	2,153	1,902	251
KLA-TENCOR CORPORATION CI	09/01/09	11/03/09	40	1,259	1,232	27
LAM RESEARCH CORP CMN	09/01/09	11/03/09	40	1,322	1,223	99
ORACLE CORPORATION CMN	04/21/09	11/03/09	40	831	779	52
THERMO FISHER SCIENTIFIC IN	05/18/09	11/03/09	80	3,526	2,786	740
AFLAC INCORPORATED CMN	05/08/09	11/03/09	20	827	723	104
KEYCORP CMN	09/01/09	11/03/09	60	322	387	(65)
PROLOGIS CMN	09/01/09	11/03/09	40	460	427	33
PRICE T ROWE GROUP INC CMI	09/01/09	11/03/09	120	5,846	5,313	533
LOCKHEED MARTIN CORPORAT	09/01/09	11/03/09	20	1,374	1,514	(139)
HONEYWELL INTL INC CMN	02/12/09	11/03/09	100	3,597	3,131	466
EQUINIX INC CMN	02/20/09	11/03/09	95	8,430	4,250	4,180
THE MOSAIC COMPANY CMN	09/01/09	11/03/09	20	962	990	(28)
SEARS HOLDINGS CORPORATI	09/01/09	11/03/09	60	4,050	3,771	279
CA, INC CMN	09/01/09	11/03/09	80	1,669	1,770	(101)
CANADIAN IMPERIAL BANK OF C	09/01/09	11/03/09	100	5,729	5,756	(27)
LVMH MOET-HENNESSY LOUIS	09/01/09	11/03/09	2	205	188	17
GPT GROUP (ORD) UNITS FULL	09/01/09	11/03/09	302	156	156	0
RWE AG CMN	09/01/09	11/03/09	21	1,849	1,883	(34)
ORICA LIMITED ORDINARY FUL	09/01/09	11/03/09	123	2,553	2,408	146

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
FOSTER'S GROUP LIMITED ORC	09/01/09	11/03/09	446	2,225	2,006	219
SUN LIFE FINANCIAL INC CMN	09/01/09	11/03/09	100	2,741	2,912	(171)
BRAMBLES LIMITED ORD CMN (	09/01/09	11/03/09	152	989	992	(3)
LEIGHTON HOLDINGS LIMITED (	09/01/09	11/03/09	32	1,016	1,017	(1)
CAMECO CORPORATION CMN	09/01/09	11/03/09	100	2,748	2,652	95
LEGAL & GENERAL GROUP ORI	09/01/09	11/03/09	524	636	643	(7)
COMPASS GROUP PLC CMN	09/01/09	11/03/09	55	353	295	58
LAND SECURITIES PLC CMN CI	09/01/09	11/03/09	33	352	333	19
WORLEY PARSONS LIMITED OF	09/01/09	11/03/09	43	1,003	1,056	(53)
LIHIR GOLD LIMITED 10 TOEA OI	09/01/09	11/03/09	188	541	442	99
MACQUARIE GROUP LIMITED O	09/01/09	11/03/09	9	390	391	(2)
STMICROELECTRONICS N V CA	09/01/09	11/03/09	466	3,748	4,052	(303)
MANULIFE FINANCIAL CORP CM	09/01/09	11/03/09	100	1,863	2,020	(157)
NEXEN INC CMN	09/01/09	11/03/09	100	2,178	1,940	238
MASCO CORPORATION CMN	09/01/09	11/03/09	20	239	277	(39)
MEDTRONIC INC CMN	09/01/09	11/03/09	20	718	761	(42)
BECTON DICKINSON & CO CMN	11/03/08	11/03/09	20	1,397	1,377	20
MARTIN MARIETTA MATERIALS,	09/01/09	11/03/09	40	3,205	3,470	(265)
L-3 COMMUNICATIONS HLDGS I	09/01/09	11/03/09	20	1,468	1,496	(28)
COGNIZANT TECHNOLOGY SOL	09/01/09	11/03/09	40	1,662	1,392	270
RANGE RESOURCES CORPOR	12/31/08	11/03/09	40	2,048	1,379	669
RED HAT, INC CMN	09/01/09	11/03/09	40	1,039	906	133
ALLIANCE DATA SYSTEMS COR	09/01/09	11/03/09	20	1,138	1,082	57
FEDEX CORP CMN	09/01/09	11/03/09	20	1,491	1,396	95
SIMON PROPERTY GROUP INC	09/01/09	11/03/09	20	1,374	1,234	140
ASML HOLDING NV CMN	09/01/09	12/04/09	46	1,481	1,244	238
CAMERON INTERNATIONAL COI	09/01/09	12/04/09	40	1,523	1,407	116
THE TRAVELERS COMPANIES, I	01/21/09	12/04/09	20	1,041	779	262
CME GROUP INC CMN CLASS A	03/17/09	12/04/09	20	6,653	4,145	2,508
NYSE EURONEXT CMN	09/01/09	12/04/09	20	502	552	(50)
JOY GLOBAL INC CMN	09/01/09	11/03/09	20	1,056	770	286
MCAFFEE, INC CMN	09/01/09	11/03/09	20	833	784	50
TERADATA CORPORATION CMN	09/01/09	11/03/09	20	568	525	43
VISA INC CMN CLASS A	04/24/09	11/03/09	27	2,074	1,621	453
MITSUI O S K LINES LTD CMN	09/01/09	11/04/09	1000	6,025	6,269	(244)
HITACHI CONSTRUCTION MACH	09/01/09	11/04/09	200	4,898	4,146	752
CANON CMN	09/01/09	11/04/09	100	3,777	3,871	(93)
UBE INDUSTRIES LTD CMN	09/01/09	11/04/09	1000	2,511	3,097	(586)
ASAHI BREWERIES CMN	09/01/09	11/04/09	100	1,783	1,733	49
YAMATO HOLDINGS CO , LTD C	09/01/09	11/04/09	600	8,853	9,851	(999)
SHOWA SHELL SEKIYU K K CM	09/01/09	11/04/09	400	3,917	4,220	(303)
KAWASAKI KISEN CMN	09/01/09	11/04/09	1000	3,691	4,333	(643)
AEON CMN	09/01/09	11/04/09	100	890	1,047	(157)
TOYO SEIKAN KAISHA LTD CMN	09/01/09	11/04/09	200	3,268	4,131	(863)
NIPPON YUSEN CMN	09/01/09	11/04/09	1000	3,742	4,333	(592)
PANASONIC CMN	09/01/09	11/04/09	100	1,393	1,609	(216)
KOMATSU LTD. CMN	09/01/09	11/04/09	200	4,036	3,641	395
SUMCO CORPORATION CMN	09/01/09	11/04/09	200	3,666	4,495	(828)
OJI PAPER CO LTD CMN	09/01/09	11/04/09	1000	4,434	4,789	(356)
JAPAN TOBACCO INC CMN	09/01/09	11/04/09	1	2,800	2,878	(78)
SEVEN & I HOLDINGS CO , LTD	09/01/09	11/04/09	100	2,224	2,402	(178)
ACOM CMN	09/01/09	11/04/09	180	3,217	3,962	(745)
SONY CORPORATION CMN	09/01/09	11/04/09	100	2,878	2,710	169
RTS/AXA EXP11/23/2009	09/01/09	11/18/09	1120	751		751
RTS/DNB NOR ASA EXP12/10/20	09/01/09	12/03/09	133	371		371
COOPER INDUSTRIES PLC CMN	09/01/09	12/04/09	60	2,688	1,960	728
AUTODESK INC CMN	09/01/09	12/04/09	20	483	464	19
GENERAL DYNAMICS CORP CA	09/01/09	12/04/09	20	1,354	1,175	179
MERCK & CO , INC. CMN	09/01/09	12/04/09	120	4,466	3,841	625
CORNING INCORPORATED CMN	09/01/09	12/04/09	260	4,741	3,897	844
KELLOGG COMPANY CMN	09/01/09	12/04/09	20	1,055	940	115
ORACLE CORPORATION CMN	04/21/09	12/04/09	280	6,376	5,451	925

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
MEDTRONIC INC CMN	09/01/09	12/04/09	40	1,734	1,521	212
SYSCO CORPORATION CMN	09/01/09	12/04/09	20	561	508	53
NUCOR CORPORATION CMN	09/01/09	12/04/09	20	881	882	(2)
UNITED TECHNOLOGIES CORP	09/01/09	12/04/09	20	1,365	1,185	180
MORGAN STANLEY CMN	06/25/09	12/04/09	60	1,837	1,663	173
CENTURYTEL, INC CMN	11/03/09	12/04/09	20	728	651	77
RANGE RESOURCES CORPORA	12/31/08	12/04/09	20	953	689	264
MARVELL TECHNOLOGY GROU	09/01/09	12/04/09	60	1,068	914	154
MOODY'S CORP CMN	09/01/09	12/04/09	160	3,950	4,288	(338)
CROWN HOLDINGS INC CMN	11/03/09	12/04/09	120	3,050	3,166	(117)
CA, INC CMN	09/01/09	12/04/09	40	896	885	11
HESS CORPORATION CMN	12/23/08	12/04/09	13	779	626	153
HESS CORPORATION CMN	05/12/09	12/04/09	47	2,815	2,995	(180)
DISH NETWORK CORPORATION	05/19/09	12/04/09	40	837	690	147
NIPPON TELEGRAPH & TELE CMN	09/01/09	12/04/09	100	4,324	4,441	(117)
JAPAN STEEL WORKS LTD CMN	09/01/09	12/04/09	1000	13,037	12,290	747
VISA INC CMN CLASS A	04/24/09	12/04/09	20	1,627	1,201	426
LEGAL & GENERAL GROUP ORI	09/01/09	12/04/09	1	1	1	0
NIPPON OIL CORPORATION CM	09/01/09	12/04/09	1000	4,319	5,828	(1,508)
TOKYO ELECTRIC POWER CMN	09/01/09	12/04/09	100	2,627	2,610	17
OLYMPUS CMN	09/01/09	12/04/09	100	3,276	2,763	513
TERUMO CORP CMN	09/01/09	12/04/09	100	5,892	5,252	640
MITSUBISHI CORP CMN	09/01/09	12/04/09	100	2,479	2,017	462
SUZUKI MOTOR CORP CMN	09/01/09	12/04/09	200	5,237	4,805	432
YAHOO JAPAN CMN	09/01/09	12/04/09	3	910	1,037	(127)
MITSUBISHI UFJ LEASE & FINAN	09/01/09	12/04/09	40	1,260	1,287	(27)
CHUGAI PHARMACEUTICAL LTD	09/01/09	12/04/09	100	1,915	2,029	(114)
SHINSEI BANK, LIMITED ORD CM	09/01/09	12/04/09	2000	2,519	3,355	(836)
DAIWA HOUSE INDUSTRY CO, I	09/01/09	12/04/09	1000	10,599	11,106	(507)
TOYOTA MOTOR CORPORATION	09/01/09	12/04/09	200	8,479	8,647	(168)
RSA INSURANCE GROUP PLC C	09/01/09	12/04/09	1418	2,720	2,908	(188)
SIEMENS AG REG SHS NPV	09/01/09	12/04/09	34	3,139	2,833	306
VEOLIA ENVIRONNEMENT CMN	09/01/09	12/04/09	3	100	102	(2)
DEUTSCHE POST AG CMN	09/01/09	12/04/09	18	355	297	58
PRUDENTIAL FINANCIAL INC CA	06/03/09	12/04/09	40	1,956	1,635	321
CARNIVAL CORPORATION CMN	09/01/09	12/04/09	20	659	580	79
REGIONS FINANCIAL CORPORA	09/01/09	12/04/09	40	223	229	(6)
SILVER WHEATON CORP CMN	11/03/09	12/04/09	100	1,661	1,337	324
ALFA LAVAL AB ORD CMN	09/01/09	12/04/09	77	1,060	846	213
NATIONAL GRID PLC CMN	09/01/09	12/04/09	1	11	10	1
SMITHS GROUP PLC CMN	09/01/09	12/04/09	75	1,199	993	206
TERADATA CORPORATION CMN	09/01/09	12/04/09	120	3,669	3,151	518
RTSILLOYDS BANKING GROUP	09/01/09	12/04/09	11649	3,347	4,997	(1,650)
GREAT WEST LIFE CO INC CMN	09/01/09	12/04/09	200	4,514	4,703	(189)
MANITOBA TELECOM SERVICE	09/01/09	12/04/09	100	3,167	3,009	159
ANGLO AMERICAN PLC CMN	09/01/09	12/04/09	85	3,644	2,693	951
IBERDROLA, S.A. CMN	09/01/09	12/04/09	242	2,309	2,206	103
COVIDIEN PLC CMN	09/01/09	12/04/09	40	1,905	1,570	335
INTEL CORPORATION CMN	09/01/09	12/04/09	280	5,684	5,610	74
AUTOMATIC DATA PROCESSING	09/01/09	12/04/09	40	1,750	1,520	230
BEST BUY CO INC CMN SERIES	09/01/09	12/04/09	20	885	723	162
NORTHROP GRUMMAN CORP C	09/01/09	12/04/09	40	2,239	1,955	283
COCA-COLA COMPANY (THE) C	09/01/09	12/04/09	100	5,755	4,872	883
SYMANTEC CORP CMN	09/01/09	12/04/09	80	1,441	1,206	236
J C PENNEY CO INC (HLDNG C)	05/07/09	12/04/09	40	1,156	1,252	(96)
NEWMONT MINING CORPORATI	09/01/09	12/04/09	40	2,118	1,614	504
WALGREEN CO CMN	09/01/09	12/04/09	20	762	682	80
GENZYME CORP CMN	09/01/09	12/04/09	20	1,010	1,107	(97)
WILLIAMS COMPANIES INC (TH	09/01/09	12/04/09	40	815	651	164
CERNER CORP CMN	11/03/09	12/04/09	40	3,078	3,054	25
INTL BUSINESS MACHINES COF	09/01/09	12/04/09	100	12,843	11,743	1,100
ELI LILLY & CO CMN	09/01/09	12/04/09	40	1,502	1,321	181

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
FIFTH THIRD BANCORP CMN	09/01/09	12/04/09	40	420	424	(4)
BRISTOL-MYERS SQUIBB COMF	09/01/09	12/04/09	80	2,022	1,744	278
GENERAL ELECTRIC CO CMN	09/01/09	12/04/09	380	6,185	5,140	1,045
HITACHI CAPITAL CMN	11/04/09	12/04/09	300	4,106	3,952	154
MITSUBISHI UFJ FINANCIAL GR	09/01/09	12/04/09	600	3,387	3,839	(452)
RESONA HOLDINGS CMN	09/01/09	12/04/09	100	1,148	1,370	(221)
JFE HOLDINGS CMN	09/01/09	12/04/09	100	3,644	3,462	182
ROCHE HOLDING AG GENUSSC	09/01/09	12/04/09	12	1,970	1,894	76
VOLKSWAGEN AKTIENGESSELLS	09/01/09	12/04/09	2	238	353	(115)
BMW-BAYERISCHE MOTOREN V	09/01/09	12/04/09	8	285	265	20
SUMITOMO MITSUI FIN GROUP,	09/01/09	12/04/09	200	6,621	8,624	(2,002)
DAIICHI SANKYO CO , LTD CMN	09/01/09	12/04/09	100	2,038	2,145	(107)
SEGA SAMMY HOLDINGS INC CI	09/01/09	12/04/09	300	3,858	4,015	(156)
SCHNEIDER ELECTRIC EUR8 00	09/01/09	12/04/09	15	1,692	1,373	319
LVMH MOET-HENNESSY LOUIS	09/01/09	12/04/09	28	2,799	2,443	356
VOLKSWAGEN AG N-VTG BR PF	09/01/09	12/04/09	5	457	467	(11)
ROGERS COMMUNICATIONS IN	09/01/09	12/04/09	100	3,162	2,733	429
MITSUMI SUMITOMO INSURANCE	09/01/09	12/04/09	100	2,733	2,886	(153)
CREDIT SUISSE GROUP RG SH	09/01/09	12/04/09	7	359	344	14
SCOTTISH AND SOUTHERN ENI	09/01/09	12/04/09	146	2,660	2,674	(14)
PORTUGAL TELECOM SGPS SA	09/01/09	12/04/09	82	1,018	853	165
SVENSKA HANDELSBANKEN 'A'	09/01/09	12/04/09	55	1,596	1,383	213
BAYER AG CMN	09/01/09	12/04/09	32	2,514	1,913	602
ASSA ABLOY B SHS SEK1	09/01/09	12/04/09	62	1,190	972	217
FRESENIUS MEDICAL CARE AG	09/01/09	12/04/09	10	539	436	103
BAE SYSTEMS PLC CMN	09/01/09	12/04/09	311	1,692	1,603	88
TNT NV EUR 0 48	09/01/09	12/04/09	48	1,444	1,166	278
BANK OF EAST ASIA CMN	09/01/09	12/04/09	1600	6,825	5,389	1,436
MITSUMI & CO LTD CMN	09/01/09	12/04/09	100	1,451	1,297	154
SMITH & NEPHEW PLC CMN	09/01/09	12/04/09	491	4,748	4,245	503
CARNIVAL PLC CMN	09/01/09	12/04/09	105	3,593	3,197	396
YAMANA GOLD INC CMN	09/01/09	12/04/09	300	3,887	2,781	1,105
J SAINSBURY PLC CMN	09/01/09	12/04/09	132	672	706	(34)
BANK OF YOKOHAMA CMN	09/01/09	12/04/09	1000	5,003	5,617	(614)
SHAW COMMUNICATIONS INC I	09/01/09	12/04/09	100	2,011	1,726	285
MAN SE NPV	09/01/09	12/04/09	12	943	889	54
CENTRICA PLC CMN	09/01/09	12/04/09	302	1,279	1,226	53
KINROSS GOLD CORP CMN	09/01/09	12/04/09	100	2,079	1,901	178
ELECTRICITE DE FRANCE CMN	09/01/09	12/04/09	20	1,171	1,030	141
NATIXIS EUR1 6 CMN	11/03/09	12/04/09	484	2,553	2,681	(128)
SHISEIDO CO , LTD CMN	11/04/09	12/04/09	100	2,088	1,859	229
SOLVAY NPV CMN	09/01/09	12/04/09	1	110	103	7
INVESTOR SER B FREE SHS SE	09/01/09	12/04/09	98	1,810	1,772	38
CHRISTIAN DIOR ORD EUR2 00	09/01/09	12/04/09	8	819	730	90
DEUTSCHE TELEKOM AG CMN	09/01/09	12/04/09	79	1,209	1,027	181
DIAGEO PLC ORD GBP0 28935	09/01/09	12/04/09	85	1,438	1,349	90
TRANSURBAN GROUP ORDINA	09/01/09	12/04/09	298	1,484	1,032	452
TYCO INTERNATIONAL LTD CM	09/01/09	12/04/09	20	717	630	87
ENEL SPA EUR 1 CMN	09/01/09	12/04/09	359	2,155	2,059	95
WESTFIELD GROUP ORDINARY	09/01/09	12/04/09	31	330	328	2
NESTLE S.A CMN	09/01/09	12/04/09	138	6,573	5,606	967
D R HORTON, INC CMN	11/03/09	12/04/09	280	2,836	3,129	(293)
APOLLO GROUP CLASS A COMI	09/01/09	12/04/09	20	1,098	1,283	(185)
YAHOO INC CMN	09/01/09	12/04/09	60	909	862	47
EXPRESS SCRIPTS COMMON C	07/29/09	12/04/09	20	1,738	1,429	308
LOCKHEED MARTIN CORPORAT	09/01/09	12/04/09	20	1,579	1,514	65
AMAZON COM INC CMN	09/01/09	12/04/09	20	2,848	1,605	1,243
COGNIZANT TECHNOLOGY SOL	09/01/09	12/04/09	80	3,617	2,784	832
WELLS FARGO & CO (NEW) CMI	05/08/09	12/04/09	140	3,806	3,638	169
EBAY INC CMN	09/01/09	12/04/09	80	1,912	1,764	148
COSTCO WHOLESALE CORPOF	04/30/09	12/04/09	60	3,557	2,899	658
HONEYWELL INTL INC CMN	02/12/09	12/04/09	20	803	626	177

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
AETNA INC CMN	09/01/09	12/04/09	20	579	559	20
BIOGEN IDEC INC CMN	08/04/09	12/04/09	20	950	969	(19)
LABORATORY CORPORATION C	11/03/09	12/04/09	60	4,361	4,194	167
VERIZON COMMUNICATIONS IN	09/01/09	12/04/09	160	5,246	4,883	363
FORD MOTOR COMPANY CMN	09/01/09	12/04/09	140	1,258	1,044	214
3M COMPANY CMN	09/01/09	12/04/09	60	4,670	4,245	425
CONOCOPHILLIPS CMN	09/01/09	12/04/09	20	1,037	893	144
COMCAST CORPORATION CMN	05/27/09	12/04/09	100	1,601	1,442	159
SALESFORCE COM, INC CMN	09/01/09	12/04/09	20	1,301	1,033	268
CELANESE CORPORATION CMN	09/01/09	12/04/09	40	1,284	995	290
AMERIPRISE FINANCIAL, INC CI	09/01/09	12/04/09	20	773	578	195
SPECTRA ENERGY CORP CMN	09/01/09	12/04/09	20	393	370	23
INGERSOLL-RAND PLC CMN	09/01/09	12/04/09	40	1,435	1,223	212
JACOBS ENGINEERING GRP CM	09/01/09	12/04/09	20	702	875	(173)
SHIZUOKA BANK LTD CMN	09/01/09	12/04/09	1000	9,915	10,436	(521)
ADVANTEST CORP CMN	09/01/09	12/04/09	200	4,769	5,097	(327)
SUMITOMO ELECTRIC INDUSTR	09/01/09	12/04/09	100	1,222	1,315	(93)
NOMURA HOLDINGS CMN	09/01/09	12/04/09	100	799	882	(83)
ASAHI BREWERIES CMN	09/01/09	12/04/09	100	1,815	1,733	82
MITSUMI CHEMICAL INC CMN	09/01/09	12/04/09	1000	2,655	3,785	(1,130)
MITSUBISHI MATERIALS CORP (	09/01/09	12/04/09	2000	5,106	6,021	(916)
NISSAN MOTOR CMN	09/01/09	12/04/09	100	827	713	114
KOMATSU LTD CMN	09/01/09	12/04/09	100	2,112	1,820	291
NTT DOCOMO CMN	09/01/09	12/04/09	1	1,518	1,533	(15)
BANK OF KYOTO LTD CMN	09/01/09	12/04/09	1000	9,026	9,695	(669)
AEON CMN	09/01/09	12/04/09	100	833	1,047	(214)
PANASONIC CMN	09/01/09	12/04/09	100	1,417	1,609	(192)
SUMITOMO REALTY & DEV CO L	09/01/09	12/04/09	1000	18,428	20,924	(2,497)
SOFTBANK CORP CMN	09/01/09	12/04/09	100	2,442	2,258	184
AEON CREDIT SERVICE CO LTD	11/04/09	12/04/09	300	3,077	3,213	(136)
RAKUTEN, INC CMN	09/01/09	12/04/09	1	768	626	142
MIZUHO FINANCIAL GROUP CMI	09/01/09	12/04/09	1200	2,300	2,903	(603)
T&D HOLDINGS CMN	09/01/09	12/04/09	50	1,202	1,503	(300)
SEVEN & I HOLDINGS CO , LTD	09/01/09	12/04/09	100	2,319	2,402	(83)
NEWCREST MINING LIMITED OF	09/01/09	12/04/09	31	1,073	786	288
BHP BILLITON LIMITED ORDINAI	09/01/09	12/04/09	42	1,581	1,334	247
YAMADA DENKI CMN	09/01/09	12/04/09	10	620	676	(56)
BRIDGESTONE CORP CMN	09/01/09	12/04/09	100	1,757	1,841	(84)
LEIGHTON HOLDINGS LIMITED (	09/01/09	12/04/09	23	764	731	33
TOKIO MARINE HOLDINGS CMN	09/01/09	12/04/09	100	3,014	3,024	(9)
BLUESCOPE STEEL LIMITED OF	09/01/09	12/04/09	303	787	780	7
CSL LIMITED ORDINARY FULLY	09/01/09	12/04/09	106	2,984	2,891	93
SUNCORP-METWAY LIMITED OF	09/01/09	12/04/09	175	1,413	1,155	257
SINGAPORE EXCHANGE LTD CI	09/01/09	12/04/09	1000	5,749	5,878	(129)
FAMILY DOLLAR STORES INC C	11/03/09	12/04/09	120	3,402	3,404	(2)
HEALTH CARE REIT INC (DEL) C	11/03/09	12/04/09	20	920	897	24
UNION PACIFIC CORP. CMN	09/01/09	12/04/09	20	1,304	1,194	110
COMPUTER SCIENCES CORP C	09/01/09	12/04/09	20	1,114	966	148
ADOBE SYSTEMS INC CMN	09/01/09	12/04/09	40	1,454	1,253	200
SOUTHWESTERN ENERGY CO	09/01/09	12/04/09	20	879	732	146
AVON PRODUCTS INC CMN	09/01/09	12/04/09	60	2,153	1,879	274
ST JUDE MEDICAL INC CMN	07/22/09	12/04/09	20	739	740	(1)
SUNTRUST BANKS INC \$1 00 PA	09/01/09	12/04/09	20	459	447	12
LOWES COMPANIES INC CMN	03/12/09	12/04/09	100	2,287	1,531	756
PROCTER & GAMBLE COMPANY	03/12/09	12/04/09	100	6,286	4,514	1,771
ANADARKO PETROLEUM CORP	09/01/09	12/04/09	20	1,221	1,062	159
NIKE CLASS-B CMN CLASS B	01/22/09	12/04/09	20	1,306	914	392
KLA-TENCOR CORPORATION CI	09/01/09	12/04/09	40	1,408	1,232	176
SCHLUMBERGER LTD CMN	03/13/09	12/04/09	40	2,532	1,580	951
HARRIS CORP CMN	09/01/09	12/04/09	20	915	684	231
EATON CORPORATION CMN	09/01/09	12/04/09	20	1,306	1,072	234
BOSTON SCIENTIFIC CORP CO	09/01/09	12/04/09	40	339	456	(117)

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
EASTMAN CHEM CO CMN	09/01/09	12/04/09	20	1,226	1,024	202
PAYCHEX, INC CMN	09/01/09	12/04/09	40	1,289	1,111	178
STARBUCKS CORP CMN	09/01/09	12/04/09	20	430	376	54
FREEPORT-MCMORAN COPPE	05/07/09	12/04/09	20	1,681	1,035	646
SL GREEN REALTY CORP CMN	09/01/09	12/04/09	20	954	676	278
BROADCOM CORP CL-A CMN C	07/24/09	12/04/09	60	1,843	1,582	261
SUNOCO INC CMN	09/01/09	12/04/09	100	2,598	2,607	(9)
NEWELL RUBBERMAID INC CM	11/03/09	12/04/09	220	3,331	3,109	223
GOOGLE, INC CMN CLASS A	09/01/09	12/04/09	20	11,846	9,194	2,652
JUNIPER NETWORKS, INC CMN	09/01/09	12/04/09	60	1,652	1,387	265
NAMCO BANDAI HOLDINGS CM	09/01/09	12/04/09	100	995	1,061	(66)
FLETCHER BUILDING LIMITED (I	09/01/09	12/04/09	1	6	5	0
INSURANCE AUSTRALIA GROU	09/01/09	12/04/09	456	1,579	1,375	204
BRAMBLES LIMITED ORD CMN (	09/01/09	12/04/09	13	75	85	(10)
WESFARMERS LIMITED ORDINA	09/01/09	12/04/09	51	1,334	1,111	223
AUSTRALIA & NEW ZEALAND BI	09/01/09	12/04/09	77	1,528	1,443	85
STOCKLAND TRUST GROUP (OI	09/01/09	12/04/09	82	279	259	20
NATIONAL AUSTRALIA BK -ORD	09/01/09	12/04/09	42	1,069	1,044	25
AMGEN INC CMN	09/01/09	12/04/09	60	3,418	3,561	(142)
ACCENTURE PLC CMN	09/01/09	12/04/09	40	1,691	1,329	361
ABBOTT LABORATORIES CMN	09/01/09	12/04/09	40	2,162	1,809	353
MC DONALDS CORP CMN	09/01/09	12/04/09	80	4,995	4,468	527
WEYERHAEUSER CO CMN	09/01/09	12/04/09	40	1,718	1,464	254
EMC CORPORATION MASS CMN	09/01/09	12/04/09	60	998	948	50
CELGENE CORPORATION CMN	09/01/09	12/04/09	20	1,137	1,034	103
DOW CHEMICAL CO CMN	05/11/09	12/04/09	40	1,138	685	452
KIMBERLY CLARK CORP CMN	09/01/09	12/04/09	20	1,326	1,190	136
COCA-COLA ENTERPRISES INC	09/01/09	12/04/09	60	1,193	1,196	(3)
AMERICAN ELECTRIC POWER II	04/07/09	12/04/09	140	4,738	3,668	1,071
STAPLES, INC CMN	04/23/09	12/04/09	80	1,924	1,679	245
TEXAS INSTRUMENTS INC CMN	09/01/09	12/04/09	40	1,068	983	85
THERMO FISHER SCIENTIFIC IN	05/18/09	12/04/09	60	2,899	2,090	810
APPLE, INC CMN	01/15/09	12/04/09	40	7,944	3,243	4,701
GILEAD SCIENCES CMN	07/24/09	12/04/09	20	928	961	(33)
AFLAC INCORPORATED CMN	05/08/09	12/04/09	40	1,847	1,446	401
PRAXAIR, INC CMN SERIES	09/01/09	12/04/09	20	1,669	1,518	151
NATIONAL OILWELL VARCO, INC	09/01/09	12/04/09	20	878	724	154
BOSTON PROPERTIES INC COM	09/01/09	12/04/09	20	1,408	1,174	235
NVIDIA CORP CMN	09/01/09	12/04/09	40	561	575	(15)
AMPHENOL CORP CL-A (NEW) (	09/01/09	12/04/09	60	2,697	2,079	618
AVALONBAY COMMUNITIES INC	09/01/09	12/04/09	20	1,522	1,261	261
UNITEDHEALTH GROUP INCORI	09/01/09	12/04/09	40	1,103	1,106	(2)
U S BANCORP CMN	09/01/09	12/04/09	60	1,411	1,318	93
DELL INC CMN	09/01/09	12/04/09	140	1,902	2,167	(266)
SUN MICROSYSTEMS, INC CMN	09/01/09	12/04/09	40	344	370	(26)
CHEVRON CORPORATION CMN	09/01/09	12/04/09	60	4,724	4,140	585
AIR LIQUIDE (ORD) EUR11	09/01/09	12/04/09	23	2,745	2,423	322
QBE INSURANCE GROUP LIMIT	09/01/09	12/04/09	14	282	270	12
WESTPAC BANKING CORP- AU	09/01/09	12/04/09	21	456	445	11
HANG SENG BANK (ORD) CMN	09/01/09	12/04/09	100	1,501	1,414	86
ORICA LIMITED ORDINARY FULL	09/01/09	12/04/09	39	871	763	108
KEPPEL CORPORATION LIMITED	09/01/09	12/04/09	1000	6,049	5,358	691
HONG KONG & CHINA GAS ORD	09/01/09	12/04/09	1000	2,378	2,239	140
RIO TINTO LTD ORDINARY FULL	09/01/09	12/04/09	23	1,496	1,124	372
WOODSIDE PETROLEUM LTD C	09/01/09	12/04/09	58	2,491	2,316	175
COMMONWEALTH BANK OF AU	09/01/09	12/04/09	86	4,201	3,393	808
WOOLWORTHS LTD ORDINARY	09/01/09	12/04/09	13	328	317	11
INCITEC PIVOT LIMITED ORDINA	09/01/09	12/04/09	195	554	503	50
AMP LIMITED (AUD) ORDINARY	09/01/09	12/04/09	317	1,767	1,782	(15)
CAPITALAND LTD CMN	09/01/09	12/04/09	1000	2,952	2,574	378
3I GROUP PLC CMN CLASS NE	09/01/09	12/04/09	127	551	631	(80)
LIHIR GOLD LIMITED 10 TOEA OI	09/01/09	12/04/09	343	1,108	806	302

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
MACQUARIE GROUP LIMITED O	09/01/09	12/04/09	18	788	783	5
LINDE AG NPV	09/01/09	12/04/09	4	494	393	101
SGS SA RG SHS(NOM CHF 20) V	09/01/09	12/04/09	3	3,788	3,738	50
SAIPEM EUR 1 CMN	09/01/09	12/04/09	16	519	419	100
PEARSON PLC (ORD) CMN	09/01/09	12/04/09	194	2,656	2,413	243
TELUS CORPORATION CLASS A	11/03/09	12/04/09	100	3,186	2,916	270
DEUTSCHE BANK REG SHS CMI	09/01/09	12/04/09	32	2,289	2,090	199
SYNGENTA CHF CH0011037469	09/01/09	12/04/09	3	834	698	136
RIO TINTO PLC ORD 10P (REG)	09/01/09	12/04/09	17	887	653	233
UNICREDIT SPA EUR 0 50	09/01/09	12/04/09	203	700	717	(17)
KALI & SALZ AG (ORD) NPV	09/01/09	12/04/09	3	192	150	42
MUENCHENER RUECKVERS GE	09/01/09	12/04/09	3	468	438	30
PPR SA EUR4 00	09/01/09	12/04/09	2	243	227	17
FUGRO NV CVA EUR0 20	09/01/09	12/04/09	20	1,146	1,052	93
DELHAIZE GROUP CMN	09/01/09	12/04/09	12	935	806	129
SKANSKA AB SER 'B' SEK10 FRI	09/01/09	12/04/09	78	1,320	1,106	215
FRESENIUS AG NON VTG PREF	09/01/09	12/04/09	3	205	161	44
AEGON N V CMN	09/01/09	12/04/09	1	7	7	(0)
UBS AG CMN VAL 1074 074	09/01/09	12/04/09	78	1,225	1,354	(129)
ABB LTD RG SHS CHF 2 5 VAL 3	09/01/09	12/04/09	41	752	765	(13)
METRO AG NPV	09/01/09	12/04/09	16	1,009	840	169
ZURICH FINANCIAL SERVICES F	09/01/09	12/04/09	8	1,734	1,736	(3)
FORTIS SA/NV CMN	09/01/09	12/04/09	10	43	42	1
GDF SUEZ CMN CLASS	09/01/09	12/04/09	53	2,268	2,189	79
KONINKLIJKE DSM N V CMN EU	09/01/09	12/04/09	27	1,370	966	404
RECKITT BENCKISER GROUP P	09/01/09	12/04/09	14	718	665	53
UNITED PARCEL SERVICE, INC	09/01/09	12/04/09	40	2,321	2,120	201
EVEREST RE GROUP LTD CMN	01/21/09	12/04/09	83	7,019	5,709	1,310
MONSANTO COMPANY CMN	09/01/09	12/04/09	20	1,683	1,651	32
MARATHON OIL CORPORATION	09/01/09	12/04/09	100	3,273	3,060	212
MEDCO HEALTH SOLUTIONS, IN	09/01/09	12/04/09	20	1,272	1,105	167
THE MOSAIC COMPANY CMN	09/01/09	12/04/09	20	1,244	990	253
ALPHA NATURAL RESOURCES,	09/01/09	12/04/09	20	778	656	122
TD AMERITRADE HOLDING COR	09/01/09	12/04/09	120	2,300	2,321	(21)
PEOPLES UNITED FINANCIAL IN	08/11/09	12/04/09	315	5,078	5,303	(225)
LIBERTY MEDIA HLDG CORP CA	09/01/09	12/04/09	20	217	190	27
HCP, INC CMN	09/01/09	12/04/09	20	657	543	114
DIRECTV CMN	09/01/09	12/04/09	140	4,516	3,446	1,069
GENERAL MILLS INC CMN	12/15/08	12/04/09	60	4,109	3,617	491
BAXTER INTERNATIONAL INC C	08/05/09	12/04/09	40	2,268	1,902	365
AMERICAN EXPRESS CO CMN	09/01/09	12/04/09	20	797	656	142
DEERE & COMPANY CMN	09/01/09	12/04/09	60	3,299	2,585	715
PFIZER INC. CMN	09/01/09	12/04/09	420	7,857	6,904	953
EMERSON ELECTRIC CO CMN	03/19/09	12/04/09	20	840	554	286
NORTHERN TRUST CORP CMN	09/01/09	12/04/09	40	1,967	2,290	(323)
E I DU PONT DE NEMOURS AN	09/01/09	12/04/09	80	2,729	2,522	207
MOTOROLA INC CMN	09/01/09	12/04/09	60	481	431	51
DANAHER CORPORATION CMN	09/01/09	12/04/09	20	1,449	1,215	235
OCCIDENTAL PETROLEUM COR	03/27/09	12/04/09	30	2,438	1,742	696
OCCIDENTAL PETROLEUM COR	06/25/09	12/04/09	10	813	651	161
NOVO-NORDISK A/S CMN SERII	09/01/09	12/04/09	9	599	550	49
LAFARGE SA (BR) EUR 4	09/01/09	12/04/09	3	251	246	5
INTESA SANPAOLO COMMON S	09/01/09	12/04/09	85	371	354	17
WPP PLC CMN	09/01/09	12/04/09	139	1,322	1,157	165
RWE AG CMN	09/01/09	12/04/09	31	2,903	2,779	124
TOTAL SA CMN CL B EUR10	09/01/09	12/04/09	100	6,303	5,617	685
WARTSILA EUR3 50	09/01/09	12/04/09	97	3,702	3,500	202
COMPAGNIE DE ST-GOBAIN EUI	09/01/09	12/04/09	1	56	43	13
LLOYDS BANKING GROUP PLC	09/01/09	12/04/09	918	816	1,077	(260)
SVENSKA CELLULOSA AB B SH	09/01/09	12/04/09	144	1,944	1,860	84
BNP PARIBAS EUR4 00	09/01/09	12/04/09	43	3,484	3,326	157
IMPERIAL TOBACCO GROUP PL	09/01/09	12/04/09	42	1,281	1,193	88

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
GAS NATURAL SDG SA EUR1	09/01/09	12/04/09	74	1,542	1,518	24
NORDEA BANK AB SEK3 50	09/01/09	12/04/09	178	1,878	1,802	76
KONINKLIJKE PHILIPS ELECTRC	09/01/09	12/04/09	64	1,843	1,379	464
ALLSTATE CORPORATION COM	09/01/09	12/04/09	40	1,143	1,149	(7)
FPL GROUP INC CMN	09/01/09	12/04/09	20	1,071	1,120	(49)
SUNCOR ENERGY INC CMN	09/01/09	12/04/09	100	3,739	3,077	661
A.KON KPN N V CMN	09/01/09	12/04/09	112	2,000	1,699	301
FRANCE TELECOM S.A. EUR 4 C	09/01/09	12/04/09	16	412	401	12
THYSSENKRUPP AG CMN	09/01/09	12/04/09	15	554	488	66
BG GROUP PLC CMN	09/01/09	12/04/09	18	285	262	23
TELIA SONERA AB CMN	09/01/09	12/04/09	202	1,469	1,292	177
BED BATH & BEYOND INC CMN	09/01/09	12/04/09	40	1,574	1,442	132
BURLINGTON NORTHERN SANT	09/01/09	12/04/09	20	1,974	1,650	324
CVS CAREMARK CORPORATION	09/01/09	12/04/09	80	2,490	2,973	(483)
STATE STREET CORPORATION	04/23/09	12/04/09	40	1,674	1,420	254
KUEHNE & NAGEL INTL AG NOV	09/01/09	12/04/09	6	595	471	124
ALLIANZ SE NPV	09/01/09	12/04/09	12	1,484	1,345	139
TORONTO DOMINION BANK CM	09/01/09	12/04/09	100	6,354	6,179	175
S K F AB 'B' SEK12 5 CMN CLA	09/01/09	12/04/09	43	699	646	54
DEUTSCHE BOERSE AG CMN -	09/01/09	12/04/09	10	812	752	61
EXPERIAN PLC CMN	09/01/09	12/04/09	122	1,175	1,022	153
ANHEUSER-BUSCH INBEV S.A.	09/01/09	12/04/09	23	1,197	966	231
HEINEKEN NV CMN	09/01/09	12/04/09	3	149	125	24
FORTUNE BRANDS, INC CMN	09/01/09	12/04/09	100	4,042	3,926	116
BB&T CORPORATION CMN	09/01/09	12/04/09	40	1,051	1,088	(37)
ARCH COAL INC CMN	09/01/09	12/04/09	140	2,836	2,405	431
SWEDBANK AB CMN CLASS A	09/01/09	12/04/09	3	31	25	5
BRITISH SKY BROADCASTING C	09/01/09	12/04/09	670	5,908	6,003	(94)
BARRICK GOLD CORPORATION	09/01/09	12/04/09	100	4,445	3,553	892
NIKO RESOURCES CMN	09/01/09	12/04/09	100	8,908	6,475	2,432
SHIRE PLC CMN	09/01/09	12/04/09	88	1,707	1,478	229
MARRIOTT INTERNATIONAL, INC	03/12/09	12/04/09	120	3,232	1,799	1,433
BANK OF AMERICA CORP CMN	05/01/09	12/04/09	140	2,240	1,230	1,010
KRAFT FOODS INC CMN CLASSE	09/01/09	12/04/09	40	1,060	1,127	(67)
BP P L C SPONSORED ADR CM	09/01/09	12/04/09	15	878	764	114
BANCO BILBAO VIZCAYA ARGEI	09/01/09	12/04/09	67	1,275	1,166	109
ENBRIDGE INC CMN	09/01/09	12/04/09	100	4,435	3,750	685
SANDVIK AB CMN	09/01/09	12/04/09	37	439	362	76
XSTRATA PLC COM STK USD0 5	09/01/09	12/04/09	29	513	379	134
THE PEPSI BOTTLING GROUP, IN	09/01/09	12/04/09	80	3,067	2,854	213
BANK OF AMERICA CORP CMN	04/13/09	12/04/09	140	2,240	1,530	709
NEW YORK COMMUNITY BANC	09/01/09	12/04/09	400	4,766	4,227	539
AT&T INC CMN	09/01/09	12/04/09	240	6,675	6,134	541
UNILEVER N V CMN UNILEVER	09/01/09	12/04/09	39	1,224	1,072	153
GOLDCORP INC CMN	09/01/09	12/04/09	100	4,369	3,690	680
ROLLS-ROYCE GROUP PLC CM	09/01/09	12/04/09	57	453	419	34
STANDARD LIFE PLC CMN	09/01/09	12/04/09	405	1,387	1,309	78
UNITED STATES STEEL CORPO	08/07/09	12/04/09	20	922	898	24
ALTRIA GROUP, INC CMN	09/01/09	12/04/09	20	385	363	22
LORILLARD, INC CMN	09/01/09	12/04/09	20	1,571	1,454	117
ELECTROLUX-B SHS (AKTIEBOL	09/01/09	12/04/09	8	191	162	29
VIVENDI SA ORD CMN EUR5 5	09/01/09	12/04/09	13	385	374	11
SOCIETE GENERALE EUR1 25	09/01/09	12/04/09	3	215	233	(17)
REPSOL YPF SA EUR1	09/01/09	12/04/09	77	2,125	1,873	252
BASF SE NPV	09/01/09	12/04/09	23	1,409	1,184	225
TELEFONICA SA ORD EUR1	09/01/09	12/04/09	202	5,837	5,033	804
HENNES & MAURITZ AB SEK0 2	09/01/09	12/04/09	36	2,057	1,958	99
DAIMLERCHRYSLER AG ORD NI	09/01/09	12/04/09	22	1,151	961	190
ASSICURAZIONI GENERALI-SOC	09/01/09	12/04/09	78	2,081	1,931	150
ATLAS COPCO AB SER A SEK5	09/01/09	12/04/09	51	730	622	109
SAP AG NPV	09/01/09	12/04/09	34	1,545	1,641	(96)
NOVARTIS AG SHS RG SHS (NO	09/01/09	12/04/09	78	4,282	3,606	676

GOLDMAN SACHS - GAIN/LOSS BY ACCOUNT

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss
ENERGIAS DE PORTUGAL SA O	09/01/09	12/04/09	313	1,418	1,336	82
BANCO POPULAR EURO 50	09/01/09	12/04/09	1	8	10	(2)
SANOFI-AVENTIS CMN	09/01/09	12/04/09	38	2,963	2,557	406
HSBC HLDGS PLC ORD USD0 5	09/01/09	12/04/09	247	2,893	2,622	271
FIAT SPA ORD SHS EUR5 0	09/01/09	12/04/09	33	503	380	123
COMPASS GROUP PLC CMN	09/01/09	12/04/09	42	297	225	72
BARCLAYS PLC ORD 25P CMN	09/01/09	12/04/09	29	142	177	(35)
ALSTOM CMN EUR 14	09/01/09	12/04/09	17	1,245	1,167	78
BT GROUP PLC CMN	09/01/09	12/04/09	71	161	159	2
ROYAL DUTCH SHELL PLC CMN	09/01/09	12/04/09	25	745	695	49
CAIRN ENERGY PLC CMN	09/01/09	12/04/09	129	6,551	5,123	1,429
KONINKLIJKE AHOLD N V CMN	09/01/09	12/04/09	140	1,919	1,671	248
E.ON AG CMN	09/01/09	12/04/09	55	2,237	2,219	18
WTS/MEDIOBANCA - BANCA DI	10/01/09	12/04/09	308	42	-	42
BANCO COMERCIAL PORTUGUI	09/01/09	12/04/09	2276	2,973	2,977	(4)
BANCO SANTANDER CENTRAL	09/01/09	12/04/09	305	5,284	4,581	703
ACCOR EUR15 00	09/01/09	12/04/09	14	769	700	70
SKANDINAVISKA ENSKILDA BAF	09/01/09	12/04/09	64	435	422	13
ERICSSON (LM) TELEFON- AKTI	09/01/09	12/04/09	178	1,668	1,703	(34)
UCB CAP NPV CMN	09/01/09	12/04/09	15	654	582	72
VINCI GTM CMN	09/01/09	12/04/09	3	171	160	12
VESTA WIND SYSTEMS DKK1 0	09/01/09	12/04/09	9	619	661	(43)
TULLOW OIL PLC CMN	09/01/09	12/04/09	169	3,471	2,908	562
MERCK KGAA CMN	09/01/09	12/04/09	34	3,208	3,058	150
DEXIA CMN CLASS	09/01/09	12/04/09	1	7	8	(1)
BILLITON PLC ORD 0 50	09/01/09	12/04/09	95	2,974	2,483	491
SABMILLER PLC USD0 10	09/01/09	12/04/09	52	1,522	1,203	318
RTS/K+S AKTIENGESELLSCHAF	09/01/09	12/07/09	81	300		300
RTS/ING GROEP N V EXP12/15/	09/01/09	12/09/09	1367	2,582	3,104	(522)
RTS/PERNOD RICARD EXP11/30	09/01/09	12/09/09	43	74		74
Global Dynamic Core 021-12403-7				14,014,663	14,494,815	(480,152)
PLA 026-66466-4						
GOLDMAN SACHS GROWTH & II	04/14/09	11/24/33	48907	380,989	625,037	(244,048)
GOLDMAN SACHS GROWTH & II	04/14/09	06/23/45	16811	129,402	200,000	(70,598)
GOLDMAN SACHS GROWTH & II	04/14/09	10/03/85	31323	244,009	400,000	(155,991)
GOLDMAN SACHS BALANCED :	04/14/09	12/11/07	2903	23,744	27,488	(3,744)
PLA 026-66466-4				778,144	1,252,525	(474,381)
Total Gain/Loss Goldman Sachs						
				31,237,330	33,087,393	(1,850,063)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).		
Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	ROBERT AND RUTH HALPERIN FOUNDATION	94-3334424
	Number, street, and room or suite no. If a P.O. box, see instructions.	For IRS use only
	18 CROW CANYON COURT, NO. 250	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	SAN RAMON, CA 94583	

Check type of return to be filed (File a separate application for each return):

- ☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec. 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JEAN WALLACE

- The books are in the care of **811 BLUEGRASS DRIVE - PETALUMA, CA 94954**
Telephone No. **707-769-1769** FAX No. _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010.**
- 5 For calendar year **2009**, or other tax year beginning _____, and ending _____.
- 6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension _____

ALL INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	59,200.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	128,334.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Thomas J. Wallace Title CPA Date 8-9-2010

Form 8868 (Rev. 4-2009)