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## **Return of Private Foundation**

# or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation undation may be able to use a copy of this return to satisfy state reporting re

OMB No 1545-0052

		<del></del>	be able to use a copy of this	<del></del>	ang requirements.	<del></del>
For	calen	dar year 2009, or tax year beginning		, and ending		
G C	heck	all that apply: Initial return	Initial return of a fo	rmer public charity	Final return	
		Amended return	Address chan	ge	Name change	
Use	the l	RS Name of foundation			A Employer identification	number
	abel.	1				
Oth	erwis	se, THE NICK TRAINA FOUND	ATION		94-3296757	
	print	Number and street (or P O box number if mail is not de	livered to street address)	Room/suite	<b>B</b> Telephone number	
	r type	EZUU UCIAVIA DIRBBI			<u>415-771-53</u>	35
	Spec ructio				C If exemption application is p	ending, check here
11100	- UCLIV	""SAN FRANCISCO, CA 94	109		D 1. Foreign organizations	s, check here
H C	heck	type of organization: X Section 501(c)(3) ex	empt private foundation		<ol><li>Foreign organizations me check here and attach co</li></ol>	eting the 85% test, mputation
	Se	ction 4947(a)(1) nonexempt charitable trust	Other taxable private founda	ition	E If private foundation sta	tus was terminated
1 Fa	ıır ma	rket value of all assets at end of year   J   Accountil	ng method: X Cash	Accruat	under section 507(b)(1)	
(fr	om F		her (specify)		F If the foundation is in a	60-month termination
_▶	\$	2,782,089. (Part I, colu	mn (d) must be on cash t	oasis)	under section 507(b)(1)	
Pa	<u>ırt l</u>	Analysis of Revenue and Expenses	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements
		The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	expenses per books	income	income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received	29,151.			
	2	Check In the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary Cash investments	35.	35.		STATEMENT 1
	4	Dividends and interest from securities	96,418.	96,418.		STATEMENT 2
	5a	Gross rents				
	ь	Net rental income or (loss)				
6)	6a	Net gain or (loss) from sale of assets not on line 10	0.			
Revenue	Ь	Gross sales price for all assets on line 6a 332,000.				
eve	7	Capital gain net income (from Part IV, line 2)		0.		
Œ	8	Net short-term capital gain				
	9	Income modifications				
	10a					
		Less Cost of goods sord V				
	C	Gross prolit or (loss) Other income MAY 2, 1, 2010				
	11	Other Throme MAY 2 1 2010	41,768.	0.		STATEMENT 3
	12	Total: Add lines 1 through 11	167,372.	96,453.	41,768.	
	13	Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14	Other employee salartes land, wages				
s	15	Pension plans, employee benefits				
an an	16a	Legal fees				
per	b	Accounting fees STMT 4	6,292.	0.	0.	6,292.
ũ	C	Other professional fees				<del> </del>
i.	17	Interest				
tra	18	Taxes STMT 5	3,089.	0.	666.	2,423.
inis	19	Depreciation and depletion	333.	0.	333.	
Ē	20	Occupancy				
Ă	21	Travel, conferences, and meetings				
$^{Z}$ $_{\mathbb{S}}$ $2010$ Operating and Administrative Expense	22	Printing and publications				
<u> </u>	23	Other expenses STMT 6	10,160.	0.	0.	10,160.
رة 1	24	Total operating and administrative				
ട്ട്		expenses. Add lines 13 through 23	19,874.	0.	999.	18,875.
7 7	25	Contributions, gifts, grants paid	156,000.			156,000.
⋛	26	Total expenses and disbursements.		•		4.7.4.055
<u>₹</u> _	_	Add lines 24 and 25	175,874.	0.	999.	174,875.
	27	Subtract line 26 from line 12:	0.500			1
Z	a	Excess of revenue over expenses and disbursements	-8,502.	06 453		ļ
	D	Net investment income (if negative, enter -0-)		96,453.	10 760	<del></del>
SEANNED MAY	01	Adjusted net income (if negative, enter -0-)	And Alpaina and the India of	No.	40,769.	Form <b>990-PF</b> (2009)
C02-0	2-10	LHA For Privacy Act and Paperwork Reduction	ACT MOTICE, SEE THE INSTRUCT	แบแจ.		rum <b>330-FF</b> (2003)

Decreases not included in line 2 (itemize) ►
 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30

923511 02-02-10

2 Enter amount from Part I, line 27a

Add lines 1, 2, and 3

3 Other increases not included in line 2 (itemize)

Form **990-PF** (2009)

-8,502.

THE NICK TRAINA FOUNDATION

	art jy Capital Gains	and cosses for rax on in	1462(1116111						
	(a) List and desc 2-story brick wa	ribe the kind(s) of property sold (e.g arehouse; or common stock, 200 shs	., real estate, s. MLC Co.)		( <b>b)</b> Ho	ow acquired Purchase Donation	(c) Date a (mo., d		(d) Date sold (mo., day, yr.)
-	<del></del>	DERAL FARM CREDI		C COME	<u> </u>	P	01/2	3/00	12/03/09
	<del></del>					P			
		EDERAL HOME LOAN			-		08/1		09/15/09
		NANCING CORP FED				P	02/2		11/30/09
d	80000 UNITS FI	NANCING CORP CPN	FICO	STRIPS		P	11/3	0/07	11/02/09
е	14000 UNITS ST	RIPS-TINT-US TRE	ASURY			P	08/0	2/02	11/16/09
	(e) Gross sales price	(f) Depreciation allowed (or allowable)		it or other basis xpense of sale				ain or (loss (f) minus (	
_	40,000.			40,00	0				0.
_ <u>a</u>				153,00					0.
<u>b</u>			<del> </del>						
				45,00					0.
_d	80,000.			80,00					0.
е	14,000.			14,00	0.				0.
_	Complete only for assets showing	ng gain in column (h) and owned by	the foundation	on 12/31/69			I) Gains (C	ol. (h) gain	minus
_		(j) Adjusted basis	1	cess of col. (I)			il. (k), but r	not less that	n -0-) <b>or</b>
	(i) F.M.V. as of 12/31/69	as of 12/31/69		col. (j), if any			Losses	(from col. (	h))
-									
_8						<del> </del>			0.
_b									0.
C	·								0.
d									0.
e									0.
					$\overline{}$				
2	Capital gain net income or (net ca	If gain, also enter apital loss) If (loss), enter -0	r in Part I, line I- in Part I, line	7 7	<u>}</u>	2			0.
9	Not short-term capital gain or (los	ss) as defined in sections 1222(5) ar	nd (6):		1				
J	If gain, also enter in Part I, line 8,		iiu (0).		)				
	If (loss), enter -0- in Part I, line 8				Pl	3		N/A	
	Part V Qualification U	Inder Section 4940(e) for	Reduced	Tax on Net	Inve	stment in	come		<del></del>
if:	section 4940(d)(2) applies, leave t as the foundation liable for the sec Yes," the foundation does not qua	ction 4942 tax on the distributable an	nount of any yo	ear in the base per irt.	rıod?				Yes X No
1	Enter the appropriate amount in	each column for each year; see instr	uctions before	making any entri	es.				
	(a) Base period years Calendar year (or tax year beginni	(b) Adjusted qualifying dis	stributions	Net value of no	(c) oncharit	table-use asset	s	Distrit (col. (b) div	(d) bution ratio vided by col. (c))
_	2008		22,545.		2	730,35			.081508
_			2,637.			511,96			.064745
	2007								
_	2006		4,101.			420,79			.092573
_	2005		20,000.			990,41			.110530
_	2004	99	3,024.		2,	118,30	7.	<del></del>	.468782
2	Total of line 1, column (d)						2		.818138
		5-year base period - divide the total	on line 2 by 5	or hy the number	of vea	rs	<u> </u>		· · · · · · · · · · · · · · · · · · ·
3	the foundation has been in existe		on mic 2 by 0,	or by the number	or you	13	3		.163628
4	Enter the net value of noncharital	ble-use assets for 2009 from Part X,	line 5				4_		2,822,563.
5	Multiply line 4 by line 3						5		461,850.
6	Enter 1% of net investment incor						6		965.
		me (1% of Part I, line 27b)							
7	Add lines 5 and 6	me (1% of Part I, line 27b)					7		462.815.
	Add lines 5 and 6						7		462,815.
	Enter qualifying distributions from		e 1b, and com	plete that part usin	ng a 1%	o tax rate.	7		462,815. 174,875.

orm	990-PF (2009) THE NICK TRAINA FOUNDATION		94-	3296	<u>757</u>	F	age 4
	rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e	, or 4	948	- see ir	ıstru	ction	s)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.	)					
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)						
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%	þ	1			1,9	<u> 29.</u>
	of Part I, line 27b						
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)						
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	<u> </u>			<u>0.</u>
-	Add lines 1 and 2		3	<u> </u>		1,9	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	<u> </u>			<u>0.</u>
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	ļ <u>.</u>		1,9	<u> 29.</u>
6	Credits/Payments:						
8	2009 estimated tax payments and 2008 overpayment credited to 2009 6a 2,	300.	4				
b	Exempt foreign organizations - tax withheld at source 6b						
C	Tax paid with application for extension of time to file (Form 8868)		-				
d	Backup withholding erroneously withheld 6d						
	Total credits and payments. Add lines 6a through 6d		7_	<del> </del>		2,8	
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here if Form 2220 is attached		8	<del> </del>			<u>21.</u>
	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	<del> </del>			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	<u> </u>		8	50.
	Enter the amount of line 10 to be: Credited to 2010 estimated tax	led 🚩	11	<u> </u>			0.
	rt VII-A Statements Regarding Activities					V	NI.
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or i	ntervene	e in			Yes	
	any political campaign?				1a		X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for defin				1b		<u>X</u>
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials p	ublishe	ed or				
	distributed by the foundation in connection with the activities.				4.		v
	Did the foundation file Form 1120-POL for this year?				1c		<u> </u>
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	^					
	(1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$	0.	-				
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation	UII					
	managers. ▶ \$				2		X
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?						
_	If "Yes," attach a detailed description of the activities.	ration	or				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporate to the language of the order of the changes of the order of the changes of the order of	nauon,	Ui		3		X
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes				4a		X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		N	I/A	4b		
	of "Yes," has it filed a tax return on Form 990-T for this year?			• / 11	5		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T				<u> </u>		
_	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:					:	
O	By language in the governing instrument, or						i
	<ul> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with</li> </ul>	the stat	e law				
	remain in the governing instrument?		• •		6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year?				7	X	
•	If "Yes," complete Part II, col. (c), and Part XV.						
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)						
00	CA						
h	of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate	:)					
	of each state as required by General Instruction G? If "No," attach explanation	-			8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5)	) for cal	endar				
•	year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV				9		X
10	Did now nersons become substantial contributors during the tay year? If you a stock a school is listing that names and address				10		X

11 At any time during the year, and the foundation, directly or indirectly, own a controlled entity within the meaning of section \$12(h)(13)? If Yes, attach schedule (see instructions)  11	_	990-PF (2009) THE NICK TRAINA FOUNDATION  rt VII-A   Statements Regarding Activities (continued)	<u>94-3296</u>	757	F	Page 5
ssetions 12(b)(13)? II 'ves', attach schedule (see instructions)  Dot the foundation acquire a direct or indirect interest in any applicable insurance contract before  August 17, 2008?  Dot the foundation acquire a direct or indirect interest in any applicable insurance contract before  August 17, 2008?  Dot the foundation comply with the public inspection requirements for its annual returns and exemption application?  Website address   NICKTRATNAROUNDATTON, ORG  If the books are in care of birding in the public inspection for exemption application?  In books are in care of birding in the public inspection for exemption application?  In books are in care of birding in the public inspection for public inspection for a public inspection for a public inspection application?  In books are in care of birding in the public inspection for public inspection for inspection for public inspection for		<del></del>				
12 Did the foundation acquive a direct or indirect interest in any applicable insurance contract before August 17, 2008?   37 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?   38 Website address   NICKTRATIAROUNDATION ORG   18 The books are interest or a UONES   SCHILLER & CO   LLP   Telephone inc.   415-771-5335     18 Located at   507 POLK STREET   SUITE 300   SAN FRANCISCO   CA   ZIP+4   94102     5 Section 4947(19) in nonexempt faintable trusts filing from 990-Pr in live of from 1041-0 Check here and eater the amount of tax-assimal interest respend or accuracy during the year   Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   The Form 4720 If any item is checked in the "Yes" column, unless an exception applies.   15	11			,,	ĺ	v
August 17, 2008?  3 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  3 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  4 The books are in care of ▶ JONES, SCHILLER & CO, LLP Telephone ino. ▶ 415-771-5335  1 The books are in care of ▶ JONES, SCHILLER & CO, LLP Telephone ino. ▶ 415-771-5335  1 Section 4947(a)(1) nonexempt charitable trusts filing from 990-PF in lieu of Form 1041-Check here and enter the amount of tax-exempt interest received or account during the year.  Port VII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 During the year did the foundation (lettle directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or lacitities to (or accept them from) a disqualified person?  (4) Pay compensation (to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of the acts discribed in a period after termination of government survey, if irrimination within 90 days).  1 If any answer is "Yes" to 14(1)-(6), did any of the acts fail to qu	12			<del>  ''  </del>	+	
13	12	• • • • • • • • • • • • • • • • • • • •		12	1	v
Website address ► NICKTRAINAFOUNDATION_ORG  If The books are in care of ► JONES, SCHILLER & CO, LLP Telephone no. ► 415-771-5335  Located at ► 507 POLK STREET, SUITE 300, SAN FRANCISCO, CA 2P-4 ► 94102  15 Section 4947(a)(1) nonexempt charitable trusts filing form 990-Pf in lieu of Form 141-Check here and under the amount of tax-example interest received or accrued during the year  and enter the amount of 12x-example interest received or accrued during the year  Port VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 If any item is checked in the "Yes" column, unless an exception applies.  1 buring the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualited person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  a disqualited person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualited person?  (4) Pay compensation to, or pay or remburse the expenses of, a disqualited person?  (5) Transfer any income or assets to a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the section of th	12			<del></del>	v	
In the books are in care of   JONES, SCHILLER & CO, LLP   Telephone no.   415-771-5335	10				A	
Located at \$ 5.07 POLK STREET, SULTE 3.00, SAN FRANCISCO, CA ZIP+4 \$94.102      Section 4947(a(1) nonexempt charable trusts filing form 990-PF in lieu of Form 1041 - Chack here and other the amount of tax-exempt interest received or accused during the year	1.4		115-77	1 _ 5 3	35	
15 Section 4947(a)(1) nonexempt charitable trusts thing Form 990-PF in leu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year   N/A	14				33	
and enter the amount of tax-exempt interest received or accrued during the year  Pert VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly);  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnsh goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is 'Yes' to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53-44(16)-3 or in a current nitions regarding dissater assistance (see page 20 of the instructions)?  Organizations relying on a current notice regarding dissater assistance (see page 20 of the instructions)?  Ves X No  It have soon failure to distribute moome (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?  If Yes, its the years is listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement -	46		ZIP+4 <b>&gt;34</b>	102		
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1	19		l ar l	BT /	/ <b>&gt;</b>	ш
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the floundation agreed to make a grant to or to employ the official for a period after termination of governments service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491(d)-3 or in a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?  If Yes, list the years a pay to the tax year beginning in 2009?  If Yes, list the years beginning in 2009?  If Yes, list the years beginning a prior year beginning and the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (it applying section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (it applying section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (it applying section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (it applying section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?	Dэ		15 ]	14/	<u> </u>	
1a During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for a person different available for a person of property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a person different availation of a person of a current notice reparding disaster assistance check here  c) Did the foundation engage in a prior year in any of the acts feat to qualify under the excepted acts, that were not corrected before the first day of the tax year beginning in 2009?  1) Taxes on failure to distribute microme (see page 20 of the instructions)?  2) Taxes on failure to distribute microme (see page 20 of the search and a section 4942(a)(0) or 4942(a)(				1	/00	No
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during the year?  b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)  10 A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  2	٠	The provisions of social 4542(a)(a) are soing applied to any of the years noted in East not the years noted.		1	ł	
during the year?  b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)  10 A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  2	22	Did the foundation hold more than a 2% direct or indirect interest in any husiness enterprise at any time			i	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?  4b X	Ja		es X No		J	
May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?  4b X	h	• •				
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)  As Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  By Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?  Ab X	U	- · · · · ·				
Form 4720, to determine if the foundation had excess business holdings in 2009)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?  4b X					- 1	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?  4b X				3h		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?  4b X		•	TA\ 12	$\vdash$		Y
had not been removed from jeopardy before the first day of the tax year beginning in 2009?  4b X			a that	74	+	
	0		; u <b>la</b> l	4		v
TA TANT ELE MINIM		nad not been removed it our jeopardy before the mot day of the tax year beginning in 2005.			DE "	

Form 990-PF (2009) THE NICK TRAINA FOUNDATI	ON		94-32967	57 Page 6
Part VII-B   Statements Regarding Activities for Which I	Form 4720 May Be F	Required (contin	ued)	
Part VII-B   Statements Regarding Activities for Which I  5a During the year did the foundation pay or incur any amount to:  (1) Carry on propaganda, or otherwise attempt to influence legislation (section (2) Influence the outcome of any specific public election (see section 4955); of any voter registration drive?  (3) Provide a grant to an individual for travel, study, or other similar purposes (4) Provide a grant to an organization other than a charitable, etc., organization 509(a)(1), (2), or (3), or section 4940(d)(2)?  (5) Provide for any purpose other than religious, charitable, scientific, literary, the prevention of cruelty to children or animals?  b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify unit section 53.4945 or in a current notice regarding disaster assistance (see instru Organizations relying on a current notice regarding disaster assistance check he if the answer is "Yes" to question 5a(4), does the foundation claim exemption for	Form 4720 May Be F in 4945(e))? or to carry on, directly or indirectly or described in section or educational purposes, or f der the exceptions described in actions)? here	ectly,  Ye  Ye  Ye  Ye  Ye  Ye  Ye  Regulations	wed)  S X No  S X No  S X No  S X No	ib Fage 6
expenditure responsibility for the grant?		//A 🔲 Ye	s No	
If "Yes," attach the statement required by Regulations section 53 494		.,		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to a personal benefit contract?	pay premiums on	Ye	s X No	N
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	personal benefit contract?		<del>  '</del>	Sb X
If "Yes" to 6b, file Form 8870.  7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?		s X No	
b If yes, did the foundation receive any proceeds or have any net income attribut				7b
Part VIII Information About Officers, Directors, Trust Paid Employees, and Contractors		nagers, Highly		
1 List all officers, directors, trustees, foundation managers and their	compensation.	· · · · · · · · · · · · · · · · · · ·		<del></del>
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deterred compensation	(e) Expense account, other allowances
	PRESIDENT/DIR	ECTOR		
2280 OCTAVIA STREET				
SAN FRANCISCO, CA 94109	2.00	0.	0.	0.
BEATRIX SEIDENBERG	SECRETARY/DIR	ECTOR		
2280 OCTAVIA STREET				•
SAN FRANCISCO, CA 94109	2.00	0.	0.	<u> </u>
CECILY WATERMAN	CFO/DIRECTOR			
2280 OCTAVIA STREET	1 200			0
SAN FRANCISCO, CA 94109	2.00	0.	0.	0.
2 Compensation of five highest-paid employees (other than those inc	cluded on line 1). If none,	enter "NONE."		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
		<u> </u>		
Total number of other employees paid over \$50,000		<del></del>		0

Form 990-PF (2009) THE NICK TRAINA FOUNDATION	94-	3296757 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundate Paid Employees, and Contractors (continued)	ion Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter	"NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	4	
Table laurabas of atheres accounts are \$50,000 for restaurable accounts.	1	▶ 0
Total number of others receiving over \$50,000 for professional services  Part IX-A   Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statisti number of organizations and other beneficiaries served, conferences convened, research papers produced.	cal information such as the iced, etc.	Expenses
1N/A		
2		
3		
4		
Part IX-B   Summary of Program-Related Investments  Describe the two largest program-related investments made by the foundation during the tax year on the summary of Program-Related investments and the summary of Program-Related Investments.	nes 1 and 2.	Amount
1 <u>N/A</u>		
2		
All other program-related investments. See instructions.  3		
Total. Add lines 1 through 3		0.
Total Too mile Tunedan e		<u></u>

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P	art X Minimum Investment Return (All domestic foundations n	nust co	mplete this part. Foreign four	ndations, s	ee instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable	le, etc., p	ourposes;		<del></del>
a	Average monthly fair market value of securities			1a	2,695,709.
b	Average of monthly cash balances			1b	167,332.
C	Fair market value of all other assets			1c	2,505.
d	Total (add lines 1a, b, and c)			1d	2,865,546.
	Reduction claimed for blockage or other factors reported on lines 1a and				
	1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	2,865,546.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount,	, see ins	tructions)	4	42,983.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and or	n Part V,	line 4	5	2,822,563.
6_	Minimum investment return. Enter 5% of line 5			6	141,128.
P	Distributable Amount (see instructions) (Section 4942(j)(3) are foreign organizations check here  and do not complete this part.		private operating foundations ar	d certain	
1	Minimum investment return from Part X, line 6			1	141,128.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,929.		<del>, </del>
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
C	Add lines 2a and 2b			2c	1,929.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	139,199.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4		·	5	139,199.
6	Deduction from distributable amount (see instructions)			6	0.
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part	XIII, line	9 1	7	139,199.
P	art XII Qualifying Distributions (see instructions)				
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., pur	poses:			
8	Expenses, contributions, gifts, etc total from Part I, column (d), line 26			1a	174,875.
þ	Program-related investments - total from Part IX-B			1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitat	ble, etc.,	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:				
a	Suitability test (prior IRS approval required)			3a	
b	Cash distribution test (attach the required schedule)			3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, at		XIII, line 4	4	174,875.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net inve	estment			
	income. Enter 1% of Part I, line 27b			5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4			6	174,875.
	Note: The amount on line 6 will be used in Part V. column (h), in subsequent years w	when cal	culation whether the foundation i	nualifies for	the section

Form **990-PF** (2009)

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI,				
line 7		·		139,199.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only		·	0.	
<b>b</b> Total for prior years:				
,,		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004 888,841.				
b From 2005 120,878.				
c From 2006 104,244.				
d From 2007 39,508.				
e From 2008 88,650.				
f Total of lines 3a through e	1,242,121.		<del></del>	
4 Qualifying distributions for 2009 from				
Part XII, line 4: ► \$ 174,875.			_	
a Applied to 2008, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior		_		
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	_			
(Election required - see instructions)	0.			122 122
d Applied to 2009 distributable amount	05.656			139,199.
e Remaining amount distributed out of corpus	35,676.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	!	!		
8 Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,277,797.		<u> </u>	
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable	······································	· · · · · · · · · · · · · · · · · · ·		
amount - see instructions		0.		]
e Undistributed income for 2008. Subtract line				
4a from line 2a. Taxable amount - see instr.	!		0.	]
f Undistributed income for 2009. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2010				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004				
not applied on line 5 or line 7	888,841.			
9 Excess distributions carryover to 2010.				
Subtract lines 7 and 8 from line 6a	388,956.			
10 Analysis of line 9:				
a Excess from 2005 120,878.				
b Excess from 2006 104, 244.				
c Excess from 2007 39,508.				
d Excess from 2008 88,650.				
e Excess from 2009 35,676.			<u>L.</u>	5 000 DE (000)

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Part XIV Private Operating For	<b>oundations</b> (see in	structions and Part VII	-A, question 9)	N/A	
1 a If the foundation has received a ruling of	determination letter tha	t it is a private operating			
foundation, and the ruling is effective for	2009, enter the date of	the ruling	<b>&gt;</b>		
b Check box to indicate whether the found	ation is a private operatii	ng foundation described i	n section	4942(j)(3) or 494	42(1)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of		i			
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
alternative test relied upon:  a "Assets" alternative test - enter:					
(1) Value of all assets		}	}		
(2) Value of assets qualifying					
under section 4942(i)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public					·····
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from				† <del></del>	
an exempt organization		ì			
(4) Gross investment income					<del></del>
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundation	n had \$5,000 or mo	re in assets
at any time during t				**************************************	
1 Information Regarding Foundatio	n Managers:	<del></del>		<del></del>	
a List any managers of the foundation who	<del>-</del>	than 2% of the total cont	ributions received by the	e foundation before the clos	e of any tax
year (but only if they have contributed m			,		
NONE					
b List any managers of the foundation who	p own 10% or more of th	ne stock of a corporation (	or an equally large port	on of the ownership of a pa	rtnership or
other entity) of which the foundation has			tor an equally range per		
NONE					
2 Information Regarding Contributi	on, Grant, Gift, Loan	Scholarship, etc., Pr	ograms:		
Check here ► X if the foundation o				not accept unsolicited requi	ests for funds. If
the foundation makes gifts, grants, etc.	•	•	•	·	
a The name, address, and telephone numi	per of the person to who	m applications should be	addressed:	<del></del>	·
abb. ess; and tolephone hami	on the person to will	approactions officials bu			
b The form in which applications should b	e submitted and informa	tion and materials they sh	nould include:		
upplications strough		and materials may be	· · <del>· · · · · · · · · · · · · · · ·</del>		
c Any submission deadlines:					· · · · · · · · · · · · · · · · · · ·
d Any restrictions or limitations on awards	, such as by geographic	al areas, charitable fields,	kinds of institutions, or	other factors;	

3 Grants and Contributions Paid During the Year or Approved for Future Payment							
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount			
Name and address (home or business)	or substantial contributor	recipient					
a Paid during the year  .	or substantial contributor	recipient					
SEE STATEMENT 9 Total			▶ 3a	156,000.			
Total  b Approved for future payment  NONE  Total			► 3a	156,000.			

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Part XVI-A Analysis of Income-Produ	cing Activiti	es			
Enter groce amounts unless atherwise indicated	Linrelated	business income	Excluded t	by section 512, 513, or 514	
Enter gross amounts unless otherwise indicated.  1 Program service revenue:	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	(e) Related or exempt function income
	Code	·		41,768.	
a ROYALTIES-PUBLICATIONS	<del>   </del>		<del>-   -</del>	41,700.	<del></del>
D	<del> </del>				
·	<del> </del>				·
d		<del></del>			
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash			:		
investments			14	35.	
4 Dividends and interest from securities			14	35. 96,418.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal	<del> </del>		<del></del>		<del></del>
property			<del></del>		
7 Other investment income					<del></del>
8 Gain or (loss) from sales of assets other	i !		1 1		
than inventory	<b></b>				<del></del>
9 Net income or (loss) from special events	ļ\				
10 Gross profit or (loss) from sales of inventory	<u> </u>				
11 Other revenue:	]				
a	L		$\bot$		
b					
C					
d	}				
e					
12 Subtotal. Add columns (b), (d), and (e)		0	).	138,221.	0
13 Total. Add line 12, columns (b), (d), and (e)				13	138,221
(See worksheet in line 13 instructions to verify calculations.)				<del></del>	
Part XVI-B Relationship of Activities	to the Asset	malichment of	Evennt D	urnosos	
Relationship of Activities	to the Accor	inplishinent of	Exempt P	urposes	
Line No. Explain below how each activity for which inco				d importantly to the accomp	olishment of
the foundation's exempt purposes (other than	by providing fund	is for such purposes).			
1 THE OBJECTIVES OF THE					<b>₹</b> Т
ORGANIZATIONS INVOLVED	IN THE	DIAGNOSIS,	RESEA	RCH, EDUCATI	ON, AND/OR
FAMILY SUPPORT OF:			<del></del>		
WINTE DEPONE			<del></del>		
MANIC-DEPRESSION		<del></del> -		<del></del>	<del></del>
SUICIDE PREVENTION				····	
CHILDREN IN JEOPARDY-O				······································	<del></del>
MUSIC: ASSIST STRUGGLI		IANS IN TH	IE AREA	S OF	
HEALTH AND MENTAL ILLN	ESS				
					<del></del>
ROYALTIES SPECIFICALLY	PERTAIN	TO THE CH	IARITAE	LE PURPOSES	FOR WHICH
THE FOUNDATION WAS FOR	MED.				
		<del> </del>		<del></del>	<del></del>

			NICK TRAINA FOUR			96757		ge <b>13</b>
P	art X			and Transactions a	nd Relationships With Nonc	haritable		
_	0.44	Exempt Organ	<del></del>			- 1	Voc	No
1			irectly engage in any of the following				165	No
			:)(3) organizations) or in section 5 lation to a noncharitable exempt o	- · · · · · · · · · · · · · · · · · · ·	izations?		'	
•	1 11a115 (1) (	. •	ation to a nonchantable exempt o	organization of:		10(1)		х
	٠.	ther assets				1a(1)		X
,		transactions:				1a(2)		A
١		ales of assets to a noncharita	shle evemnt organization			15(1)		Х
			oncharitable exempt organization			1b(2)		X
		lental of facilities, equipment,	· -			1b(3)		X
		leimbursement arrangements				1b(4)		X
		oans or loan guarantees	,			1b(5)		Х
	_		embership or fundraising solicitati	ions		1b(6)		Х
c			ailing lists, other assets, or paid er			1c		Х
C	1 If the	answer to any of the above is	"Yes," complete the following sch	nedule. Column (b) should alv	vays show the fair market value of the good	ds, other asse	ets,	
	or ser	vices given by the reporting f	ioundation. If the foundation recei	ved less than fair market valu	e in any transaction or sharing arrangemen	nt, show in		
	colun	in (d) the value of the goods,	other assets, or services received					
(a)	Line no	(b) Amount involved	(c) Name of noncharitab	le exempt organization	(d) Description of transfers, transactions,	and sharing arra	angeme	ents
			N/A	···				
					<u> </u>			
				<del></del>				
		\	<u></u>					
					<del></del>			
_			<del>                                     </del>					
		<del>-</del>			<u> </u>			
		· · · · · · · · · · · · · · · · · · ·	<del>                                     </del>					
			<u> </u>					
						·		
					<del> </del>			
					<del></del>			
2	n ic the	foundation directly or indirec	ctly affiliated with, or related to, on	ne or more tay-eyempt organi	zations described			
۷.			er than section 501(c)(3)) or in se		zations accombod	Yes	X	No
1		s," complete the following sch	• • • • • • • • • • • • • • • • • • • •	0.000 OE7 .		103		
	<u> </u>	(a) Name of org		(b) Type of organization	(c) Description of relati	onship		
		N/A	· · · · · · · · · · · · · · · · · · ·					
		<u>-</u>						
			have examined this return, including acciding that taxparer or lighting (axparer) is based of		nents, and to the best of my knowledge and belief, has any knowledge	it is true, correc	ct,	
	and 00		The state of the s					
ø	<b>b</b> -	Lewy \	sy round	5-17-10	PRESIDENT/DIRECT	OR		
Sign Here	S	gnature of officer or trustee		Date	Title	Code alice		-b-r-
<del>1</del> LE	· 0	Preparer's	1.11 01		colf	parer's identify	ing nur	nber
ซั	Paid parer's	signature / /	WEL DORL					
	Paid pare	Firm's name (or yours JONE	ES, SCHILLER & (	COMPANY, LLP	EIN ►			

JONES, SCHILLER & COMPANY, LLP 507 POLK STREET, SUITE 300 SAN FRANCISCO, CA 94102

Form **990-PF** (2009)

415-771-5335

Phone no.

Firm's name (or yours if self-employed), address, and ZIP code

### Schedule B (Form 990, 990-EZ, or 990-PE)

Department of the Treasury Internal Revenue Service

Name of the organization

### **Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009** 

**Employer identification number** 

94-3296757 THE NICK TRAINA FOUNDATION Organization type (check one) Filers of Section: 501(c)( ) (enter number) organization Form 990 or 990-EZ 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization 501(c)(3) exempt private foundation Form 990-PF 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions **General Rule** X For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II Special Rules For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. **\$** Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify

that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

### THE NICK TRAINA FOUNDATION

94-3296757

Part I	Contributors (see instructions)		
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	DOUGLASS W.SMITH  260 GREEN ST.  SAN FRANCISCO, CA 94133	\$ 5,000.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
2	JANKLOW & NESBIT ASSOCIATES  445 PARK AVENUE  NEW YORK, NY 10022	\$\$\$	Person X Payroli Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		<b>\$</b>	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II of there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II of there is a noncash contribution)

					RM 990-PF PA Description			990-PF
Asset umber	Date	Mathod/	Life	Line		Basis	Accumulated	Current year
	placed in service			Line No.	Cost or other basis	reduction	depreciation/amortization	Current year deduction
1	ORGANIZ	ATION	COSTS 60M	142	2 476		2 476	
2	02 <sub>0</sub> 6 <sub>9</sub> WEBSITE			43 IT	3,476.	<u> </u>	3,476.	
	08150	<u>ol</u>	36M	43	12,135.		12,135.	
3	WEBSITE	DEVE	LOPMEN	IT	1 000			
	07120 * TOTAL	7SL 990-1		16 DEI	1,000. PR & AMORT		500.	33:
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AND TEM	PORARY C	ASH :	INVESTMENTS	STATEMENT	1
				AMOUNT	
			•		35.
E 3, CO	LUMN A		:		35.
D INTER	EST FROM	SECI	URITIES	STATEMENT	2
GROSS	AMOUNT			COLUMN (A AMOUNT	.)
	59. 96,359.		0.	96,3	59. 59.
	96,418.		0.	96,4	18.
OTHER I	NCOME			STATEMENT	
:					
	41,	768.	0	. 41,7	68
TE 11	41,	768.	0	41,7	68.
CCOUNTI	NG FEES			STATEMENT	4
(A) PENSES BOOKS					
6,292.		0	•	0. 6,2	92.
6,292.					
	GROSS OTHER II	GROSS AMOUNT  GROSS AMOUNT  96,359.  96,418.  OTHER INCOME  (A)  REVENUE PER BOOKS  41,7  CCOUNTING FEES  (A) (B) PENSES NET INVI	TE 3, COLUMN A  TO INTEREST FROM SECT  CA GROSS AMOUNT  59. 96,359.  96,418.  OTHER INCOME  (A) REVENUE PER BOOKS  41,768.  GE 11 41,768.  CCOUNTING FEES  (A) PENSES NET INVEST- BOOKS MENT INCOME  6,292. 0	CAPITAL GAINS GROSS AMOUNT DIVIDENDS  59. 0. 96,359. 0. 96,418. 0.  OTHER INCOME  (A) (B) REVENUE NET INVEST- PER BOOKS MENT INCOME  41,768. 0  CCOUNTING FEES  (A) (B) RESS NET INVEST- ADJUSTED NET INCOME BOOKS MENT INCOME  6,292. 0.	AMOUNT  TE 3, COLUMN A  TO INTEREST FROM SECURITIES  GROSS AMOUNT  CAPITAL GAINS  96,359.  0.  96,359.  0.  96,418.  OTHER INCOME  (A)  REVENUE  PER BOOKS  MENT INCOME  (B)  (C)  A1,768.  0.  41,768.  0.  41,7  CCCOUNTING FEES  STATEMENT  (A)  (B)  (C)  A1,768.  0.  41,7  CCCOUNTING FEES  STATEMENT  (A)  (B)  (C)  A1,7  CCCOUNTING FEES  STATEMENT  (C)  CCOUNTING FEES  STATEMENT  (A)  (B)  (C)  (C)  (D)  CENSES  STATEMENT  (A)  (C)  CCOUNTING FEES  STATEMENT  (A)  (B)  (C)  (C)  (D)  COUNTING FEES

FORM 990-PF	TAXES				STATEMENT		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE MENT INC		(C) ADJUSTEI NET INCOM		(D) CHARITAI PURPOSI	
FOREIGN TAXES FEDERAL TAX EXPENSE STATE TAX EXPENSE	666. 2,413. 10.		0. 0. 0.	60	56. 0. 0.	2,4	0. 13. 10.
TO FORM 990-PF, PG 1, LN 18	3,089.		0.	66	56.	2,4	23.
FORM 990-PF	OTHER E	XPENSES			ST	'ATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE MENT INC		(C) ADJUSTEI NET INCOM		(D) CHARITAI PURPOSI	
MISCELLANEOUS TELEPHONE INSURANCE BANK CHARGE	75. 952. 9,121. 12.		0. 0. 0.		0. 0. 0.	9! 9,1	75. 52. 21.
TO FORM 990-PF, PG 1, LN 23	10,160.		0.		0.	10,10	50.
FORM 990-PF U.S. AND S	STATE/CITY G	OVERNMENT	OBL	IGATIONS	SI	ATEMENT	7
DESCRIPTION	U.S GOV'	-	вос	OK VALUE	FA	AIR MARKE' VALUE	r
SMITH BARNEY CITIGROUP	x			2,496,246.	96,246. 2,726,3		91.
TOTAL U.S. GOVERNMENT OBLIGAT	rions			2,496,246.		2,726,3	91.
TOTAL STATE AND MUNICIPAL GOV	VERNMENT OBL	IGATIONS					_
TOTAL TO FORM 990-PF, PART I	I, LINE 10A	·		2,496,246.		2,726,3	91.

FORM 990-PF DEPRECIATION OF ASS	ETS NOT HELD FOR	INVESTMENT	STATEMENT 8
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
ORGANIZATION COSTS WEBSITE DEVELOPMENT WEBSITE DEVELOPMENT	3,476. 12,135. 1,000.	3,476. 12,135. 833.	0. 0. 167.
TOTAL TO FM 990-PF, PART II, LN 1	16,611.	16,444.	167.
	AND CONTRIBUTION DURING THE YEAR	S	STATEMENT 9
RECIPIENT NAME AND ADDRESS	RECIPIENT RELA AND PURPOSE O		PIENT ATUS AMOUNT
AT THE CROSSROADS 333 VALENCIA STREET, #320 SAN FRANCISCO, CA 94103	CHARITABLE	CHARIT ORGANI	· ·
CHILD & ADOLESCENT BIPOLAR FOUNDATION 820 DAVIS STREET, #520 EVANSTON, IL 60201	CHARITABLE	CHARI'I ORGANI	· · · · · · · · · · · · · · · · · · ·
HOMELESS PRENATAL PROGRAM 2500 18TH STREET SAN FRANCISCO, CA 94110	CHARITABLE	CHARIT ORGANI	•
HOMELESS YOUTH ALLIANCE 1696 HAIGHT STREET SAN FRANCISCO, CA 94117	CHARITABLE	CHARIT ORGANI	•
HUCKLEBERRY YOUTH PROGRAM 3310 GEARY BLVD SAN FRANCISCO, CA 94118	CHARITABLE	CHARI' ORG <b>AN</b> I	
KIDS IN CRISIS ONE SALEM STREET COS COB, CT 06807	CHARITABLE	CHARI' ORGAN	•

THE NICK TRAINA FOUNDATION		9	4-3296757
LARKIN STREET YOUTH CENTER 701 SUTTER STREET, SUITE 2 SAN FRANCISCO, CA 94109	CHARITABLE	CHARITABLE ORGANIZATN	20,000.
SAN FRANCISCO CHILD ABUSE PREVENTION CENTER 1757 WALLER STREET SAN FRANCISCO , CA 94117	CHARITABLE	CHARITABLE ORGANIZATN	20,000.
SAN FRANCISCO COMMUNITY CLINIC 1550 BRYANT STREET, #450 SAN FRANCISCO, CA 94103	CHARITABLE	CHARITABLE ORGANIZATN	1,000.
SAN FRANCISCO SUICIDE PREVENTION PO BOX 191350 SAN FRANCISCO, CA 94119	CHARITABLE	CHARITABLE ORGANIZATN	10,000.
ST MARY'S MEDICAL CENTER 450 STANYAN STREET SAN FRANCISCO, CA 94117	CHARITABLE	CHARITABLE ORGANIZATN	35,000.
THE CADUCEUS SOCIETY 1900 PINE STREET ABILENE, TX 79601	CHARITABLE	CHARITABLE ORGANIZATN	1,000.
TOTAL TO FORM 990-PF, PART XV, LI	:NE 3A		156,000.