



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2009Open to Public
Inspection

A For the 2009 calendar year, or tax year beginning and ending

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization
THE ROSE FOUNDATION FOR COMMUNITIES AND THE ENVIRONMENT
 Doing Business As
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite
6008 COLLEGE AVENUE, SUITE 10
 City or town, state or country, and ZIP + 4
OAKLAND, CA 94618

D Employer identification number
94-3179772

E Telephone number
510-658-0702

G Gross receipts \$ **2,840,506.**

H(a) Is this a group return for affiliates? ☐ Yes ☒ No
H(b) Are all affiliates included? ☐ Yes ☒ No
 If "No," attach a list (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c) (3) (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ **WWW.ROSEFDN.ORG**

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: **1992** **M** State of legal domicile: **CA**

Part I Summary

1 Briefly describe the organization's mission or most significant activities: **TO ENCOURAGE ENVIRONMENTAL AND COMMUNITY STEWARDSHIP BY SUPPORTING CHARITABLE AND EDUCATIONAL**

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a) **3**

4 Number of independent voting members of the governing body (Part VI, line 1b) **4**

5 Total number of employees (Part V, line 2a) **5**

6 Total number of volunteers (estimate if necessary) **6**

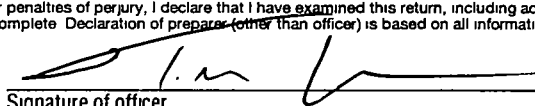
7a Total gross unrelated business revenue from Part VIII, column (A), line 34 **7a** **0.**

7b Net unrelated business taxable income from Form 990-T, line 34 **7b** **0.**

	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	4,668,602.	2,767,041.
9 Program service revenue (Part VIII, line 2g)		
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	91,542.	66,480.
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	13,715.	<949.>
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,773,859.	2,832,572.
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	3,608,767.	2,769,451.
14 Benefits paid to or for members (Part IX, column (A), line 4)		
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	391,603.	437,136.
16a Professional fundraising fees (Part IX, column (A), line 11e)		
b Total fundraising expenses (Part IX, column (D), line 25) ▶ 138,747.		
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	117,588.	130,028.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	4,117,958.	3,336,615.
19 Revenue less expenses. Subtract line 18 from line 12	655,901.	<504,043.>
20 Total assets (Part X, line 16)	Beginning of Current Year 4,626,900.	End of Year 3,742,733.
21 Total liabilities (Part X, line 26)	1,456,877.	1,062,387.
22 Net assets or fund balances. Subtract line 21 from line 20	3,170,023.	2,680,346.

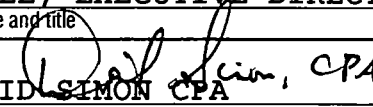
Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶  **Signature of officer** **11/12/10** **Date**

TIM LITTLE, EXECUTIVE DIRECTOR
 Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶  **DAVID SIMON, CPA** **Date** **11/12/10** **Check if self-employed** ☐ **Preparer's identifying number (see instructions)**

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ **HENRY C. LEVY & CO., CPAS, PROF. CORP.**
5940 COLLEGE AVENUE
OAKLAND, CA 94618

EIN ▶ **510-652-1000** **Phone no.** ▶ **510-652-1000**

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

932001 02-04-10

LHA For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2009)**SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION**

15 616

THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT

Form 990 (2009)

94-3179772 Page 2

Part III Statement of Program Service Accomplishments

- 1 Briefly describe the organization's mission: SEE SCHEDULE O FOR CONTINUATION
THE ROSE FOUNDATION SUPPORTS GRASSROOTS INITIATIVES TO INSPIRE
COMMUNITY ACTION TO PROTECT THE ENVIRONMENT, CONSUMERS AND PUBLIC
HEALTH. TO FULFILL THIS MISSION, WE: RAISE MONEY TO AWARD AS GRANTS TO
QUALIFIED ORGANIZATIONS; WORK DIRECTLY IN SCHOOLS AND IN THE
- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O
- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☒ Yes ☐ No
If "Yes," describe these changes on Schedule O
- 4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 2,640,188. including grants of \$ 2,506,881.) (Revenue \$ 2,567,518.)
COMMUNITY GRANTMAKING PROGRAM:
THE ROSE FOUNDATION IS DEDICATED TO THE PRINCIPLE THAT ENVIRONMENTAL
STEWARDSHIP, COMMUNITY REGENERATION AND A HEALTHY ECONOMY ARE
INEXTRICABLY LINKED TO ROBUST CIVIC PARTICIPATION. COMMUNITY
GRANTMAKING PROGRAMS ARE DESIGNED TO STRATEGICALLY SUPPORT PROJECTS AND
ORGANIZATIONS THAT ARE BUILDING LONG-TERM SOLUTIONS THAT RESPECT THE
ENVIRONMENTAL AND CONSTITUTIONAL RIGHTS OF CITIZENS AND COMMUNITIES.

4b (Code:) (Expenses \$ 334,480. including grants of \$ 262,570.) (Revenue \$ 303,240.)
NORTHERN CALIFORNIA ENVIRONMENTAL GRASSROOTS FUND:
THE NORTHERN CALIFORNIA ENVIRONMENTAL GRASSROOTS FUND IS A POOLED FUND
THAT HELPS BRIDGE THE GAP BETWEEN ORGANIZED PHILANTHROPY AND SMALL,
COMMUNITY-BASED ENVIRONMENTAL ORGANIZATIONS THAT MANY FOUNDATIONS HAVE
PROBLEMS REACHING. GRANT DECISIONS ARE ADVISED BY A FUNDING BOARD
COMPRISED OF ENVIRONMENTAL AND PHILANTHROPIC LEADERS. NUMEROUS PARTNER
FOUNDATIONS CONTRIBUTE TO THIS FUND. THE ROSE FOUNDATION SERVES AS
OVERALL TRUSTEE, AND PROVIDES STAFF AND ADMINISTRATIVE SUPPORT.

4c (Code:) (Expenses \$ 78,087. including grants of \$) (Revenue \$ 76,058.)
NEW VOICES ARE RISING PROJECT:
NEW VOICES ARE RISING STRIVES TO DEVELOP YOUNG LEADERS IN LOW-INCOME
COMMUNITIES AND COMMUNITIES OF COLOR IN ALAMEDA AND CONTRA COSTA
COUNTIES BY HELPING YOUNG PEOPLE GAIN THE SKILLS AND EXPERIENCE IN
CIVIC ENGAGEMENT REQUIRED TO TACKLE THE MANY PROBLEMS - ESPECIALLY
ENVIRONMENTAL HEALTH PROBLEMS THAT DISPROPORTIONATELY IMPACT THEIR
COMMUNITIES. IN SO DOING, THE PROJECT SEEKS TO INCREASE CIVIC
PARTICIPATION AND TO REDUCE POLLUTION - ESPECIALLY TOXIC AIR POLLUTION,
WHICH SEVERELY IMPACTS BOTH HUMAN HEALTH AND THE HEALTH OF THE SAN
FRANCISCO BAY.

4d Other program services. (Describe in Schedule O.)
(Expenses \$ 15,184. including grants of \$) (Revenue \$)

4e Total program service expenses \$ 3,067,939.

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Form 990 (2009)

94-3179772 Page **3**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>	X	
5 Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>		
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 Is the organization's answer to any of the following questions "Yes"? <i>If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	X	
• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i>		
• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i>		
• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i>		
• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i>		
• Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i>		
• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? <i>If "Yes," complete Schedule D, Part X.</i>		
12 Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i>	X	
12A Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional</i>	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Part I</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20 Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>		X

Form **990** 2009)

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Form 990 (2009)

94-3179772 Page 4

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21 X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22 X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		23 X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		24a X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		25a X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		25b X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		26 X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27 X	
28 Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	X
c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31	X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32	X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	X
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35	X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37	X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	38 X	

Note. All Form 990 filers are required to complete Schedule O.

Form 990 (2009)

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Form 990 (2009)

94-3179772 Page 5

Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable		
1a	29		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	7
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)	2b	X
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b	If "Yes," enter the name of the foreign country. ► See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	X
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	X
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	X
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	X
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	

Form 990 (2009)

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Form 990 (2009)

94-3179772 Page **6**

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body	8	
b Enter the number of voting members that are independent	6	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2 ☒	
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	☒
4 Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4	☒
5 Did the organization become aware during the year of a material diversion of the organization's assets?	5	☒
6 Does the organization have members or stockholders?	6	☒
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	☒
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	☒
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a ☒	
b Each committee with authority to act on behalf of the governing body?	8b ☒	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	☒

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Does the organization have local chapters, branches, or affiliates?	10a	☒
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	
11 Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11 ☒	
11A Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Does the organization have a written conflict of interest policy? If "No," go to line 13	12a ☒	
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b ☒	
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c ☒	
13 Does the organization have a written whistleblower policy?	13 ☒	
14 Does the organization have a written document retention and destruction policy?	14 ☒	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a ☒	
b Other officers or key employees of the organization	15b ☒	
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	☒
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **CA**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☒ Own website ☒ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **KARLA JAMES - 510-658-0702**
6008 COLLEGE AVENUE, SUITE 10, OAKLAND, CA 94618

Form **990** (2009)

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Form 990 (2009)

94-3179772 Page **8**

Part VII Section A. **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
1b Total								237,930.	0.	46,095.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **0**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. **NONE**

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **0**

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Form 990 (2009)

94-3179772 Page **9**

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e	672,417.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	2,094,624.				
	g Noncash contributions included in lines 1a-1f \$		12,783.				
	h Total. Add lines 1a-1f		2,767,041.				
	2 a	Business Code					
b							
c							
d							
e							
f All other program service revenue							
g Total. Add lines 2a-2f							
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			51,790.			51,790.
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6 a Gross Rents	(i) Real	(ii) Personal				
	b Less: rental expenses						
	c Rental income or (loss)						
	d Net rental income or (loss)						
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
	b Less: cost or other basis and sales expenses						
	c Gain or (loss)			14,690.			
	d Net gain or (loss)			14,690.			14,690.
	8 a Gross income from fundraising events (not including \$ of contributions reported on line 1c) See Part IV, line 18	a	6,985.				
	b Less: direct expenses	b	7,934.				
	c Net income or (loss) from fundraising events			<949.>			<949.>
	9 a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses	b					
	c Net income or (loss) from gaming activities						
	10 a Gross sales of inventory, less returns and allowances	a					
	b Less cost of goods sold	b					
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue			Business Code				
11 a							
b							
c							
d All other revenue							
e Total. Add lines 11a-11d							
12 Total revenue. See instructions.				2,832,572.	0.	0.	65,531.

932009
02-04-10

Form **990** (2009)

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	2,769,451.	2,769,451.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	284,024.	153,288.	54,220.	76,516.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	153,112.	82,779.	29,277.	41,056.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes				
11 Fees for services (non-employees)				
a Management				
b Legal	4,504.	1,738.	2,716.	50.
c Accounting	21,485.	8,292.	12,954.	239.
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other	14,428.	5,568.	8,699.	161.
12 Advertising and promotion				
13 Office expenses	29,693.	14,465.	2,814.	12,414.
14 Information technology				
15 Royalties				
16 Occupancy	21,314.	11,509.	4,050.	5,755.
17 Travel	18,052.	15,400.	2,498.	154.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	1,261.	1,009.	126.	126.
23 Insurance	2,429.		2,429.	
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a EQUIPMENT MAINTENANCE	7,111.	3,399.	1,856.	1,856.
b INVESTMENT FEES	6,559.		6,559.	
c DUES AND SUBSCRIPTIONS	2,943.	1,017.	1,506.	420.
d DOCUMENT PREPARATION	249.	24.	225.	
e				
f All other expenses				
25 Total functional expenses. Add lines 1 through 24f	3,336,615.	3,067,939.	129,929.	138,747.
26 Joint costs. Check here ☐ if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Form 990 (2009)

94-3179772 Page 11

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	98,568.	1	257,301.
	2 Savings and temporary cash investments	3,344,324.	2	2,008,680.
	3 Pledges and grants receivable, net	200,000.	3	383,202.
	4 Accounts receivable, net	1,132.	4	
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	200,000.	9	200,000.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	6,968.		
	10b Less: accumulated depreciation	1,544.	10c	5,424.
	11 Investments - publicly traded securities	576,830.	11	688,817.
	12 Investments - other securities. See Part IV, line 11	200,683.	12	197,309.
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	0.	15	2,000.
16 Total assets. Add lines 1 through 15 (must equal line 34)	4,626,900.	16	3,742,733.	
Liabilities	17 Accounts payable and accrued expenses	1,145,641.	17	129,434.
	18 Grants payable		18	630,003.
	19 Deferred revenue	291,080.	19	280,000.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities. Complete Part X of Schedule D	20,156.	25	22,950.
	26 Total liabilities. Add lines 17 through 25	1,456,877.	26	1,062,387.
	Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.		
27 Unrestricted net assets		1,015,064.	27	942,325.
28 Temporarily restricted net assets		2,133,462.	28	1,713,487.
29 Permanently restricted net assets		21,497.	29	24,534.
Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.				
30 Capital stock or trust principal, or current funds			30	
31 Paid-in or capital surplus, or land, building, or equipment fund			31	
32 Retained earnings, endowment, accumulated income, or other funds			32	
33 Total net assets or fund balances		3,170,023.	33	2,680,346.
34 Total liabilities and net assets/fund balances		4,626,900.	34	3,742,733.

Form 990 (2009)

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Form 990 (2009)

94-3179772 Page **12**

Part XI Financial Statements and Reporting

- 1** Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
- b** Were the organization's financial statements audited by an independent accountant?
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both:
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form **990** (2009)

THE ROSE FOUNDATION FOR COMMUNITIES

Schedule A (Form 990 or 990-EZ) 2009 **AND THE ENVIRONMENT**

94-3179772 Page 2

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	3271779.	3294922.	182,843.	4596558.	2767041.	14113143.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	3271779.	3294922.	182,843.	4596558.	2767041.	14113143.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						852,445.
6 Public support. Subtract line 5 from line 4						13260698.

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	3271779.	3294922.	182,843.	4596558.	2767041.	14113143.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	9,992.	207,561.	57,070.	91,542.	51,790.	417,955.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)		3,462.	1,682.			5,144.
11 Total support. Add lines 7 through 10						14536242.
12 Gross receipts from related activities, etc. (see instructions)					12	2,570.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	91.23 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	87.51 %
16a 33 1/3% support test - 2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☒		
b 33 1/3% support test - 2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
17a 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
b 10% -facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐		

Schedule A (Form 990 or 990-EZ) 2009

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)**Section A. Public Support**

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Schedule A (Form 990 or 990-EZ) 2009

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No 1545-0047

2009

Open to Public
Inspection

▶ **Complete if the organization is described below.**

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	THE ROSE FOUNDATION FOR COMMUNITIES AND THE ENVIRONMENT	Employer identification number	94-3179772
----------------------	--	--------------------------------	-------------------

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ▶ \$
- 3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$
- 4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2009

LHA

THE ROSE FOUNDATION FOR COMMUNITIES

Schedule C (Form 990 or 990-EZ) 2009

AND THE ENVIRONMENT

94-3179772 Page 2

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check ☐ if the filing organization belongs to an affiliated group.
B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		2,555.													
b Total lobbying expenditures to influence a legislative body (direct lobbying)		2,079.													
c Total lobbying expenditures (add lines 1a and 1b)		4,634.													
d Other exempt purpose expenditures		3,331,981.													
e Total exempt purpose expenditures (add lines 1c and 1d)		3,336,615.													
f Lobbying nontaxable amount. Enter the amount from the following table in both columns		316,831.													
<table border="1"> <tr> <td>If the amount on line 1e, column (a) or (b) is:</td> <td>The lobbying nontaxable amount is:</td> </tr> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000			
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)		79,208.													
h Subtract line 1g from line 1a. If zero or less, enter -0-		0.													
i Subtract line 1f from line 1c. If zero or less, enter -0-		0.													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?			☐ Yes ☐ No												

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying nontaxable amount	317,876.	146,706.	355,472.	316,831.	1,136,885.
b Lobbying ceiling amount (150% of line 2a, column(e))					1,705,328.
c Total lobbying expenditures	6,592.	3,196.	8,119.	4,634.	22,541.
d Grassroots nontaxable amount	79,469.	36,677.	88,868.	79,208.	284,222.
e Grassroots ceiling amount (150% of line 2d, column(e))					426,333.
f Grassroots lobbying expenditures				2,555.	2,555.

Schedule C (Form 990 or 990-EZ) 2009

THE ROSE FOUNDATION FOR COMMUNITIES

Schedule C (Form 990 or 990-EZ) 2009

AND THE ENVIRONMENT

94-3179772 Page 3

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities? If "Yes," describe in Part IV			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

Schedule C (Form 990 or 990-EZ) 2009

Schedule DS

(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
InspectionName of the organization **THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**Employer identification number
94-3179772**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	4	
2 Aggregate contributions to (during year)	7,336.	
3 Aggregate grants from (during year)	10,548.	
4 Aggregate value at end of year	33,067.	

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☒ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☒ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or pleasure) ☐ Preservation of an historically important land area

☐ Protection of natural habitat ☐ Preservation of a certified historic structure

☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$

(ii) Assets included in Form 990, Part X ▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ \$

b Assets included in Form 990, Part X ▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply).

- a ☐ Public exhibition
 b ☐ Scholarly research
 c ☐ Preservation for future generations
 d ☐ Loan or exchange programs
 e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	21,497.	33,016.			
b Contributions	1,336.	259.			
c Net investment earnings, gains, and losses	4,124.	<8,137.>			
d Grants or scholarships					
e Other expenditures for facilities and programs	2,423.	3,641.			
f Administrative expenses					
g End of year balance	24,534.	21,497.			

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment ☐ %
 b Permanent endowment ☒ 100.00 %
 c Term endowment ☐ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other		6,968.	1,544.	5,424.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				5,424.

Schedule D (Form 990) 2009

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Schedule D (Form 990) 2009

94-3179772 Page **3**

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
MUTUAL FUNDS	197,309.	END-OF-YEAR MARKET VALUE
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.)	197,309.	

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.)		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. (Column (b) must equal Form 990, Part X, col (B) line 15.)	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
Federal income taxes	
ACCRUED COMPENSATION	22,950.
Total. (Column (b) must equal Form 990, Part X, col (B) line 25.)	22,950.

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

932053
02-01-10

Schedule D (Form 990) 2009

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	2,832,572.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	3,336,615.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	<504,043.>
4	Net unrealized gains (losses) on investments	4	86,206.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	<71,840.>
9	Total adjustments (net) Add lines 4 through 8	9	14,366.
10	Excess or (deficit) for the year per audited financial statements Combine lines 3 and 9	10	<489,677.>

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	2,926,712.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	86,206.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	7,934.
e	Add lines 2a through 2d	2e	94,140.
3	Subtract line 2e from line 1	3	2,832,572.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	2,832,572.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	3,344,683.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	8,068.
e	Add lines 2a through 2d	2e	8,068.
3	Subtract line 2e from line 1	3	3,336,615.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	3,336,615.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information

PART XI, LINE 8: PRIOR YEAR UNREALIZED LOSS OF (\$71,840)

PART XII, LINE 2D: REVENUE NET OF EXPENSES RELATED TO FUNDRAISING EVENTS

OF \$7,934

PART XIII, LINE 2D: NET FUNDRAISING ACTIVITY OF \$7,934

PART XIII, LINE 2D: DEPRECIATION ADJUSTMENT OF \$133

PART XIII, LINE 2D: ROUNDING \$1

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

Name of the organization

Name of the organization	THE ROSE FOUNDATION FOR COMMUNITIES AND THE ENVIRONMENT
1. Name of the organization	THE ROSE FOUNDATION FOR COMMUNITIES AND THE ENVIRONMENT
2. Address	1000 15th St NW Washington, DC 20005
3. Telephone	(202) 462-1000
4. Fax	(202) 462-1000
5. E-mail	info@rosefoundation.org
6. Website	www.rosefoundation.org
7. Description of the organization's mission	The Rose Foundation for Communities and the Environment is a 501(c)(3) nonprofit organization that works to improve the lives of people in the Washington, DC area and around the world. We do this by providing financial support to organizations that work to improve the environment, promote sustainable development, and advance social justice.
8. Description of the organization's programs	The Rose Foundation for Communities and the Environment has several programs, including: - Environmental Stewardship: This program provides financial support to organizations that work to protect and improve the environment. - Sustainable Development: This program provides financial support to organizations that work to promote sustainable development. - Social Justice: This program provides financial support to organizations that work to advance social justice.
9. Description of the organization's impact	The Rose Foundation for Communities and the Environment has a significant impact on the lives of people in the Washington, DC area and around the world. We have provided financial support to over 100 organizations, and we have helped to improve the environment, promote sustainable development, and advance social justice.
10. Description of the organization's future plans	The Rose Foundation for Communities and the Environment has several future plans, including: - Expanding our reach: We plan to expand our reach by providing financial support to organizations in other parts of the world. - Developing new programs: We plan to develop new programs that address the needs of the communities we serve. - Increasing our impact: We plan to increase our impact by providing financial support to more organizations.

Employer identification number
94-3179772

Part I	General Information on Grants and Assistance
--------	--

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II	Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule 1:1 (Form 990) if additional space is needed

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash	(f) Method of valuation (book, FMV, appraisal, etc.)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
--	---------	-------------------------------	--------------------------	------------------------	--	--	------------------------------------

[illegible]

2 Enter total number of section 501(c)(3) and government organizations

2	Enter total number of section 501(c)(3) and government organizations	132.
3	Enter total number of other organizations	14.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2009

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
HIRE CONSULTANTS TO CONDUCT RESEARCH FOR THE INVESTOR ENVIRONMENTAL HEALTH NETWORK.	2	163,451.	0.		
COAST TO CREST TRAIL PROJECT ASSISTANCE, INCLUDING HIRING PROJECT MANAGER AND SUPERVISOR.	4	19,409.	0.		

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

SCHEDULE I, PART I, LINE 2: ALL GRANT APPLICANTS MUST PROVIDE A FULL

NARRATIVE DESCRIPTION OF THEIR PROPOSED PROJECT, DESCRIPTION OF STAFF

ASSIGNED TO THE PROJECT, PROOF OF NON-PROFIT STATUS, DETAILED FINANCIAL AND

ORGANIZATIONAL INFORMATION, AND THEIR EVALUATION PROCESS - INCLUDING

QUANTITATIVE BENCHMARKS AS APPROPRIATE. ALL GRANTS AWARDED BY THE ROSE

FOUNDATION ARE GOVERNED BY GRANT CONTRACTS. AMONG OTHER PROVISIONS, THESE

CONTRACTS REQUIRE THAT ALL GRANTEEES PROVIDE THE FOUNDATION WITH A NARRATIVE

AND FINANCIAL REPORT AT LEAST ANNUALLY UNTIL ALL GRANT FUNDS ARE EXHAUSTED,

AND GRANTEEES ARE REQUIRED TO OPEN THEIR BOOKS TO THE ROSE FOUNDATION AT ANY

Part IV Supplemental Information

TIME UPON THE FOUNDATION'S REQUEST. FOUNDATION STAFF ALSO MAINTAINS CONTACT WITH GRANTEEES THROUGHOUT THE YEAR BY TELEPHONE, EMAIL AND REGULAR MAIL. THROUGH THESE ACCOUNTABILITY MECHANISMS, THE ROSE FOUNDATION IS ABLE TO ENSURE THAT GRANT FUNDS ARE PROPERLY EXPENDED. IF, IN THE ROSE FOUNDATION'S SOLE JUDGMENT, GRANT FUNDS WERE NOT PROPERLY EXPENDED, UNDER OUR GRANT CONTRACTS, WE RETAIN THE RIGHT TO REQUIRE UP TO A FULL REFUND OF THE GRANT.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization **THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Employer identification number
94-3179772

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990,
Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| ☐ First-class or charter travel | ☐ Housing allowance or residence for personal use |
| ☐ Travel for companions | ☐ Payments for business use of personal residence |
| ☐ Tax indemnification and gross-up payments | ☐ Health or social club dues or initiation fees |
| ☐ Discretionary spending account | ☐ Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or
reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors,
trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's
CEO/Executive Director Check all that apply

- | | |
|---|---|
| ☒ Compensation committee | ☒ Written employment contract |
| ☐ Independent compensation consultant | ☒ Compensation survey or study |
| ☒ Form 990 of other organizations | ☒ Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing
organization or a related organization:

- a** Receive a severance payment or change-of-control payment? **4a** ☐ **X**
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? **4b** ☐ **X**
- c** Participate in, or receive payment from, an equity-based compensation arrangement? **4c** ☐ **X**
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the revenues of:

- a** The organization? **5a** ☐ **X**
- b** Any related organization? **5b** ☐ **X**
- If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the net earnings of:

- a** The organization? **6a** ☐ **X**
- b** Any related organization? **6b** ☐ **X**
- If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments
not described in lines 5 and 6? If "Yes," describe in Part III **7** ☐ **X**

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the
initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III **8** ☐ **X**

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in
Regulations section 53.4958-6(c)? **9** ☐

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2009

Page 2

Part II	Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed.
----------------	---

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

[illegible]

SCHEDULE L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions With Interested Persons

▶ Complete if the organization answered
"Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open To Public
Inspection

Name of the organization **THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Employer identification number
94-3179772

Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958

▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

▶ \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a.

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c) Original principal amount	(d) Balance due	(e) In default?		(f) Approved by board or committee?		(g) Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Total					▶ \$					

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount and type of assistance
ARLENE WONG	FUNDING BOARD MEMBER	STIPEND & TRAVEL R
BILL CENTER	FUNDING BOARD MEMBER	STIPEND & TRAVEL R
COMMUNITY WATER CENTER	FUNDING BOARD MEMBER	STIPEND 100.
LORI DELEON	FUNDING BOARD MEMBER	STIPEND & TRAVEL R
SANDRA JERABEK	FUNDING BOARD MEMBER	STIPEND & TRAVEL R
SUSANA DEANDA	FUNDING BOARD MEMBER	STIPEND & TRAVEL R

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990 or 990-EZ) 2009

SEE SCHEDULE O FOR SCHEDULE L CONTINUATIONS

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Employer identification number
94-3179772

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:
PROJECTS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:
COMMUNITY; ENGAGE IN LOCAL, STATE AND FEDERAL POLICY RESEARCH AND
ADVOCACY.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

ENVIRONMENTAL FIDUCIARY PROJECT:

THROUGH THE ENVIRONMENTAL FIDUCIARY PROJECT, THE ROSE FOUNDATION
ENCOURAGES TRUSTEES CHARGED WITH THE PRUDENT STEWARDSHIP OF INVESTMENT
PORTFOLIOS TO RECOGNIZE THE POSITIVE ROLE THAT ENVIRONMENTAL
PERFORMANCE CAN PLAY AS A CORPORATE VALUE DRIVER AND THE FINANCIAL
LIABILITY OF EXCESSIVE ENVIRONMENTAL RISK. THE GOAL OF THE PROJECT IS
TO ENCOURAGE LARGE INSTITUTIONAL SHAREHOLDERS TO SEEK BETTER
ENVIRONMENTAL PERFORMANCE FROM THEIR INVESTMENTS TO REDUCE PORTFOLIO
RISK AND INCREASE SHAREHOLDER VALUE. THIS PROJECT WAS DISCONTINUED
DURING 2009.

EXPENSES \$ 15184. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

FORM 990, PART VI, SECTION A, LINE 2: JILL RATNER, PRESIDENT, AND TIM
LITTLE, EXECUTIVE DIRECTOR, ARE MARRIED. THEY ARE THE CO-FOUNDERS OF THE
ROSE FOUNDATION, AND BRING TO THE FOUNDATION A COMBINED 60 YEARS OF
EXPERIENCE IN ENVIRONMENTAL POLICY AND NON-PROFIT MANAGEMENT. THEY HAVE
BEEN THE PRIMARY AGENTS IN BUILDING THE FOUNDATION'S GRANTMAKING AND POLICY
PROGRAMS, AND HAVE BEEN RECOGNIZED FOR THEIR ENVIRONMENTAL SERVICE AND

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2009

932211
02-03-10

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Employer identification number
94-3179772

ACCOMPLISHMENTS BY NUMEROUS ENTITIES INCLUDING STANFORD UNIVERSITY,
UNIVERSITY OF CALIFORNIA AT LOS ANGELES, THE CITY OF LOS ANGELES, THE SOUTH
COAST AIR QUALITY MANAGEMENT DISTRICT, AND THE CALIFORNIA COASTAL
COMMISSION, AS WELL AS NUMEROUS NON-PROFIT ORGANIZATIONS.

FORM 990, PART VI, SECTION B, LINE 11: THE BOARD OF DIRECTORS IS PROVIDED
A COPY OF THE FORM 990 BY E-MAIL PRIOR TO FILING. THE BOARD MEMBERS ARE
ENCOURAGED TO REVIEW THE FORM AND PROVIDE FEEDBACK.

FORM 990, PART VI, SECTION B, LINE 12C: THE AUDIT COMMITTEE SHALL ANNUALLY
REPORT TO THE BOARD ON ANY INSTANCES OF CONFLICT OF INTEREST DISCLOSURE AND
ANY ACTIONS TAKEN AS A RESULT. ENFORCEMENT OF THE CONFLICT OF INTEREST
POLICY IS MONITORED BY THE ROSE FOUNDATION'S COMPLIANCE OFFICER, WHO ALSO
CHAIRS THE FOUNDATION'S AUDIT COMMITTEE. THE AUDIT COMMITTEE CHAIR REPORTS
TO THE ROSE FOUNDATION BOARD ANNUALLY ON THE STATUS OF ALL INTERNAL
CONTROLS, INCLUDING IMPLEMENTATION OF CONFLICT OF INTEREST AND
WHISTLEBLOWER POLICIES.

FORM 990, PART VI, SECTION B, LINE 15: THE ROSE FOUNDATION COMPENSATION
POLICY FOR THE EXECUTIVE DIRECTOR OR ANY OTHER OFFICER REQUIRES THAT A
COMPREHENSIVE SURVEY OF SALARY COMPS IS PREPARED FOR, AND REVIEWED BY, THE
PERSONNEL COMMITTEE. ALL COMPENSATION ISSUES RELATED TO THE EXECUTIVE
DIRECTOR OR OTHER OFFICERS RECEIVE AN INTERESTED DIRECTOR LEVEL OF SCRUTINY
AND MUST BE APPROVED BY DISINTERESTED PERSONS ON THE BOARD. COMPENSATION
FOR OTHER TOP MANAGEMENT OR KEY EMPLOYEES IS DETERMINED BY THE EXECUTIVE
DIRECTOR, AND POLICY REQUIRES CONSIDERATION OF A COMPREHENSIVE SURVEY OF

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT

Employer identification number
94-3179772

APPLICABLE SALARY COMPS. DETAILED COMPENSATION RECORDS ARE MAINTAINED ON
ALL EMPLOYEES AND DIRECTORS, AND THESE RECORDS ARE KEPT FOR SEVERAL YEARS
AS DESCRIBED IN THE DOCUMENT RETENTION POLICY.

FORM 990, PART VI, SECTION C, LINE 19: AUDITS AND FORM 990 FILINGS ARE
AVAILABLE ON OUR WEBSITE. OUR FORM 990 IS ALSO AVAILABLE ON GUIDESTAR.ORG.
ALL OTHER GOVERNING DOCUMENTS AND POLICIES ARE AVAILABLE BY REQUEST.

FORM 990 PART XI, LINE 2C: THERE HAS BEEN NO CHANGE FROM THE PRIOR
YEAR.

SCH L, PART III, GRANTS OR ASSISTANCE BENEFITTING INTERESTED PERSONS:

(A) NAME OF PERSON: ARLENE WONG

(C) AMOUNT OF GRANT \$ 356.

(C) TYPE OF ASSISTANCE: STIPEND & TRAVEL REIMBURSEMENT

(A) NAME OF PERSON: BILL CENTER

(C) AMOUNT OF GRANT \$ 450.

(C) TYPE OF ASSISTANCE: STIPEND & TRAVEL REIMBURSEMENT

(A) NAME OF PERSON: LORI DELEON

(C) AMOUNT OF GRANT \$ 2527.

(C) TYPE OF ASSISTANCE: STIPEND & TRAVEL REIMBURSEMENT

(A) NAME OF PERSON: SANDRA JERABEK

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2009

932211
02-03-10

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

**THE ROSE FOUNDATION FOR COMMUNITIES
AND THE ENVIRONMENT**

Employer identification number
94-3179772

(C) AMOUNT OF GRANT \$ 808.

(C) TYPE OF ASSISTANCE: STIPEND & TRAVEL REIMBURSEMENT

(A) NAME OF PERSON: SUSANA DEANDA

(C) AMOUNT OF GRANT \$ 591.

(C) TYPE OF ASSISTANCE: STIPEND & TRAVEL REIMBURSEMENT

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization THE ROSE FOUNDATION FOR COMMUNITIES AND THE ENVIRONMENT	Employer identification number 94-3179772
	Number, street, and room or suite no. If a P O box, see instructions 6008 COLLEGE AVENUE, SUITE 10	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. OAKLAND, CA 94618	

Check type of return to be filed (File a separate application for each return)

- ☒ Form 990
 ☐ Form 990-EZ
 ☐ Form 990-T (sec 401(a) or 408(a) trust)
 ☐ Form 1041-A
 ☐ Form 5227
 ☐ Form 8870
☐ Form 990-BL
☐ Form 990-PF
☐ Form 990-T (trust other than above)
☐ Form 4720
☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

KARLA JAMES

- The books are in the care of **6008 COLLEGE AVENUE, SUITE 10 - OAKLAND, CA 94618**

Telephone No. **510-658-0702**

FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010**

5 For calendar year **2009**, or other tax year beginning , and ending

6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

THE ORGANIZATION REQUIRES ADDITIONAL TIME TO GATHER INFORMATION THAT IS REQUIRED FOR THE COMPLETION OF THE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$ N/A

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **CPA**

Date

Form 8868 (Rev. 4-2009)

The Rose Foundation for Communities and the Environment									
EIN 94-3179772									
2009 Form 990									
Attachment to 990 Page 9, Line 7. Realized Gain									
Account	Equity	Quantity	Shares Sold	Cost	FMV 12/31/2008	FMV 12/31/2009	Sales Price	Real Gain/(Loss)	Unreal Gain/(Loss)
Schwab-Anthor	Domini Social Equity	191			3,706 91	5,025 03			1,318 12
	Parnassus Equity	306	-		5,814 14	7,484 51			1,670 37
	Realized Gain/(Loss) Totals				9,521 05	12,509 54		-	2,988 49
Schwab-Meads	Domini Social Bond Fund	174			1,931 71	1,978 74			
	Parnassus Fixed Income	159			2,575 67	2,664 49			47 03
	Ariel Fund	95			2,233 29			(511 95)	88 82
	Green Century Bal Fund	238		700 00	2,383 26	3,762 87			679 61
	Portfolio 21	88		3,013 45	1,994 65	2,601 38			606 73
	Realized Gain/(Loss) Totals				11,118 58	11,007 48		(511 95)	1,422 19
Schwab-Rose	Hewlett-Packard Company	25		1,001 25	907 25	1,288			380 50
	Hewlett-Packard Company	20		1,048 80	725 80	1,030			304 40
	Parnassus Fixed Income	598		10,000 00		10,011 96			11 96
	Vanguard Inflation Protected Sec	814		10,049 95		10,211 56			161 61
	GE	50		774 00		756 50			(17 50)
	Google	3	3	1,335 84			1,361 37	25 53	
	Realized Gain/(Loss) Totals					23,297 97	1,361 37	25 53	840 97
Hamington	FED HM LN MTG 4 5% 5/13/13	50,000		50,000 00	50,158 55		50,000 00	(158 55)	
	FED HOME LN BK 5% 2/22/16	100,000		99,786 00	100,052 20		100,000 00	(52 20)	
	Fed Home LN BK 6% 8/14/14	100,000		100,000 00	102,530 70		100,000 00	(2,530 70)	
	Fed Home Loan Bank 5 355% 1/05/	100,000			100,044 30		100,000 00	(44 30)	
	FED HOME LN BK 3 3% 4/29/2011	50,000		49,685 00	50,065 55		50,000 00	(65 55)	
	Fed Home LN BK 5 75% 2/23/17	100,000		101,567 50	100,506 40		100,000 00	(506 40)	
	Fed Home LN BK 2% 12	10,000		10,000 00		9,986 47		(13 53)	
	Fed Home LN BK 3 5% 15	10,000		10,000 00		9,953 03		(46 97)	
	Fed Home LN BK 3 375% 14	10,000		10,000 00		10,007 70		7 70	
	FED Farm CR BK 2 35% 13	10,000		9,981 31			10,000 00	18 69	
	Fed Home LN BK 3% 16			9,982 40			10,000 00	17 60	
Corp Bonds									
Calvert 2% 10		40,000		40,000 00		40,000			-
Calvert 2% 12		50,000		50,000 00		50,000			-

Charles Schwab 4 95%14	20,000	20,256 10	21,145	888 64	
Medtronic Inc 4 5%14	25,000	25,086 13	26,583	1,497 32	
Medtronic Inc 4 5%14	25,000	25,086 12		939 48	
Sanford Univ 3 625%14	25,000	25,365 00	25,726	361 08	
Venzon Commun 4 35%13	25,000	25,281 53	26,235	953 65	
Venzon Commun 4 35%13	25,000	25,281 53		178 76	
Capmark Bank NA 2 4%11	100,000	100,000 00	101,216 00	1,216 00	from MYOB
Realized Gain/(Loss) Totals		386,320 12	320,852 65	4,863 89	2,660 72
		50,335 70			
AT&T	400		11,212 00	(188 00)	
Apple Computers	100		8,535 00	5,711 10	
Air Product & Chemical	100	5,312 85	8,106 00	2,793 15	
Angen Incorp	150	9,551 95	8,485 50	(1,066 45)	
Applied Materials	450	4,769 50	6,273 00	1,503 50	
Canada Natl RY CO	100	4,527 58	5,436 00	908 42	
Chubb Corp	200	8,135 75	9,836 00	1,700 25	
Consolidated Edison	250	9,126 73	11,357 50	2,230 77	
Corning Inc	600	9,356 89	11,586 00	2,229 11	
Devon Energy	200		14,700 00	1,558 00	
Ecolab Inc	175	5,652 53	7,801 50	2,148 97	
Emerson Electric	200		7,322 00	141 25	
Emerson Electric	200		7,322 00	1,198 00	
First Solar	40	5,212 95	5,416 00	203 05	
Fuel-Tech	350	3,888 88	2,859 50	(1,029 38)	
Google Inc Class A	35	11,362 95	21,699 30	10,336 35	
Granite Construction	200	6,822 35	6,732 00	(90 35)	
Heinz HJ Co	200	9,102 51	8,552 00	1,032 00	
Illinois Tool	200		7,010 00	1,139 24	
Illinois Tool Works Inc	200		7,010 00		
Intel Corp	650	9,491 80	13,260 00	2,588 00	
Intl Business Machines	100	9,032 65	13,090 00	3,768 20	
Itron Inc	100	5,281 95	6,757 00	4,057 35	
Johnson & Johnson	150		8,974 50	1,475 05	
Johnson & Johnson	150		8,974 50	370 60	
Johnson Controls	700	6,924 25	9,661 50	687 00	
Kon Philips Elec NV NEWF	400	6,412 55	11,776 00	10,693 74	
Medtronic	200	5,724 75	8,786 00	5,363 45	
Northwest Natural Gas	150			3,071 25	
Northwest Natural Gas	150	6,634 50	6,687 47	52 97	
Nucor Corp	150	5,632 15	6,756 00	121 50	
Oracle Corp	300	4,649 65	6,997 50	1,365 35	
Procter & Gamble	200		7,359 00	2,709 35	
		12,364 00	12,126 00	(238 00)	

Safeway	126	126	126		2,995 02		2,420 44	(574 58)		
Sasol	200	200		5,218 55		7,988 00			2,769 45	
St Jude	250	250		8,937 75		9,195 00			257 25	
Sunoco	100	100	100		4,346 00		2,782 13	(1,563 87)		
Sun Power Corp Class B	164	264 now		-	4,992 16	5,530 80			(1,349 72)	
Sun Power Corp Class B	100			1,888 36						
Sysco Corp	350			10,754 93	8,029 00	9,779 00			1,750 00	
Target Corp	150			6,598 15		7,255 50			657 35	
3M Company	100			4,811 77		8,267 00			3,455 23	
3M Company	50	50		2,405 88			3,512 95	1,107 07		
Realized Gain/(Loss) Totals				166,731 12	133,205 18	302,764 60	72,224 67	17,077 52	57,975 45	
Other Assets										
Currencyshares Euro	100			14,169 36		14,291 00			121 64	
Health Care Ppty	600				16,662 00	18,324 00			1,662 00	
SPDR Gold Trust	125	275 now		9,697 70	10,815 00	29,510 25			5,426 37	
SPDR Gold Trust	150			13,268 88						
Realized Gain/(Loss) Totals				27,438 24	27,477 00	62,125 25		-	7,210 01	
				194,169 36						
Mutal and Equity Funds										
CRA Qualified	3,878			40,302 74		41,227 45			924 71	
CRA Qualified Investment	3,784			40,000 00			40,302 74	302 74		
Parnassus Fixed Income	2,465			40,000 00		41,271 31			1,271 31	
Vanguard Inflation	3,796			45,000 00		47,638 72			2,638 72	
New Alternatives	349,845			20,000 00	10,893 80	14,882 41			3,988 61	
Portfolio 21 CL 1	287			9,704 91	6,467 18	8,548 32			2,081 14	
				165,302 74	17,361	153,568 21	40,302 74	302 74	10,904 49	
Total Harrington Equities				194,169 36	178,043 16			302 74	16,671 50	
				40,000 00						
TOTAL										
					Total Unrestricted			Realized	Unrealized	Total
					Temp			15,202 62	81,794 81	96,997 43
					Total Restricted			(511 95)	4,410 68	3,898 73
					Total			14,690 67	86,205 49	100,896 16
*Split					Check			14,690 67	86,205 49	100,896 16

The Rose Foundation for Communities and the Environment			
IN: 94-3179772			
2009 Form 990			
Attachment to Schedule I, Part II			
a) Name	Address	State	
A Jewish Voice for Peace, Inc.	1611 Telegraph Avenue, Suite 550	CA	
Acterra Action for a Sustainable Earth / San Francisco Watershed Council	3921 East Bayshore Road	CA	
Agape Foundation / Concerned Residents Initiative	9752 Bovill Drive	CA	
Alliance of Concerned Citizens of Novato	1299 Leafwood Heights	CA	
American Education Trust / Washington Report on Middle East Affairs	PO Box 53062	DC	
AquaAlliance	341 Flume Street	CA	
Arc Ecology	4634 Third Street	CA	
As You Sow Foundation	311 California Street, Suite 510	CA	
Asian Health Services / California Healthy Nail Salon Collaborative	818 Webster Street	CA	
Berkeley Food & Housing Project	2140 Dwight Way	CA	
Berkeley Partners for Parks	PO Box 13673	CA	
Bike Bakersfield	1708 Chester Avenue	CA	
Breast Cancer Fund	1388 Sutter Street, Suite 400	CA	
Butte Environmental Council	116 W. Second Street, Suite 3	CA	
California Conservation Corps	1719 24th Street	CA	
California Product Stewardship Council	PO Box 216381	CA	
California Public Interest Research Group, Inc.	1107 - 9th Street, Suite 601	CA	
California Sportfishing Protection Alliance	3536 Rainier Avenue	CA	
Center for Biological Diversity	PO Box 710	AZ	
Center for Constitutional Rights	666 Broadway, 7th Floor	NY	
Center for Digital Democracy	1718 Connecticut Ave NW, Suite 200	DC	
Center for Environmental Health	528 61st, Suite A	CA	
Center for Sierra Nevada Conservation	PO Box 603	CA	
Center on Race, Poverty and the Environment	47 Kearny Street, Suite 804	CA	
Central Coast Forest Watch	1015 Smith Grade	CA	
Chinese Progressive Association	1042 Grant Avenue, 5th Fl	CA	
Citizens Committee to Complete the Refuge	453 Tennessee Lane	CA	
Citizens Looking at Impacts of Mining-GV	PO Box 2096	CA	
Clean Water Fund	111 New Montgomery Street, Suite 600	CA	
Coastal Land Trust / Noyo Headlands Unified Design Group	PO Box 2553	CA	
CodePink Women for Peace	2010 Linden Ave	CA	
Communities For a Better Environment	1440 Broadway, Suite 701	CA	
Community Alliance (CARES)	18776 Country Hills Drive	CA	
Community Clean Water Institute	6741 Sebastopol Ave. Suite 140	CA	
Community Water Center	311 W. Murray Avenue	CA	

a) Name	Address	State
Compost Club	PO Box 664	CA
Conservation Action Fund for Education	540 Pacific Ave	CA
Consumer Federation of America	1620 I Street NW, Suite 200	DC
Consumer Watchdog	1750 Ocean Blvd. Suite 200	CA
Council for Responsible Genetics	5 Upton Road, Suite 3	MA
Dawn Institute	PO Box 32	CA
DG Communications	109 Concord Road	MA
Dry Creek Conservancy	PO Box 1311	CA
Dumna Cultural Resource Management	PO Box 246	CA
Earth Island Institute / Alliance for a Clean Waterfront	300 Broadway, Suite 28	CA
Earth Team	2525 10th Street, Unit B	CA
East Bay Community Law Center	2921 Adeline Street	CA
Ebbetts Pass Forest Watch	PO Box 2862	CA
Ecological Rights Foundation	867 Redwood Dr Ste B	CA
Ecology Center / Berkeley Community Gardening	P.O. Box 2801	CA
Education for Just Peace in the Middle East / US Campaign to End the Israeli Occupation	P. O. Box 21539	DC
Environment California Research & Policy Center	3435 Wilshire Blvd.	CA
Environmental Justice Coalition For Water	1201 Martin Luther King Jr. Way	CA
Environmental Law Foundation	1736 Franklin Street, 9th Floor	CA
Fishery Foundation of California	#330 8698 Elk Grove Boulevard, Suite 3	CA
Food & Water Watch	25 Stillman Street, Suite 200	CA
Foothill Collaborative for Sustainability	15410 El Mapache Lane	CA
Foothill Conservancy	PO Box 1255	CA
Fresno Metropolitan Ministry / San Joaquin Valley Cumulative Health Impact Project	1055 N. Van Ness Ave., Ste. H	CA
Friends of the Institute for Palestine Studies / Institute for Palestine Studies	3220 N Street, Suite 278	DC
Friends of the River Foundation	915 20th St	CA
Friends of the Swainson Hawk	915 L Street Suite C425	CA
Golden Gate University / Environmental Law and Justice Clinic	536 Mission Street	CA
Green Purchasing Institute	Preservation Park	CA
Green Sangha	202 Bungalow Avenue	CA
Green Street Project / Cyber Privacy Project	55 East Monroe Street, Suite 3300	IL
Greenaction / Greenaction for Health and Enviro. Justice	703 Market Street, Suite 501	CA
Hastings Group	1901 North Fort Myer Drive, Suite 1012-1014	VA
Identity Theft Resource Center	PO Box 26833	CA
If Americans Knew	6312 SW Capitol Hwy #163	OR
Institute for Conservation Advocacy, Research and Education	2945 Atlas Peak Road	CA
Klamath Forest Alliance	PO Box 836	CA
Lines Contemporary Ballet	26 - 7th Street, 5th Floor	CA
Living Lands Agrarian Network	PO Box 478	CA
MA'AT Youth Academy	1015 Nevin Avenue, Suite 101	CA

Zip	b) EIN	C) IRC Cod	d) Amount	h) Purpose
95425	87-0790553	3	5,000	Environmental Stewardship
95404	74-3166298	3	5,000	Environmental Stewardship
20006	52-0880625	3	25,000	Consumer Issues
90405-4938	95-3993720	3	30,000	Consumer Issues
02140	04-2780776	3	45,000	Consumer Issues
95934	94-2492486	3	4,500	Environmental Stewardship
01720	11-3642100		2,025	Public Health
95678-8311	68-0138336	3	30,000	Environmental Stewardship
93626	80-0373462	3	20,000	Environmental Stewardship
94133	94-2889684	3	26,850	Environmental Stewardship
94710	68-0347329	3	20,000	Environmental Stewardship
94703	94-3042565	3	30,000	Consumer Issues
95223	68-0466959	3	10,000	Environmental Stewardship
95542	68-0400522	3	25,000	Consumer Issues
94702	94-1703351	3	2,500	Environmental Stewardship
20009	42-1636592	3	200	Human Rights
90010	68-0531882	3	8,850	Environmental Stewardship
94612	20-2539559	3	27,500	Environmental Stewardship
94612	94-3164862	3	21,500	Environmental Stewardship
95624	94-2987019	3	46,000	Environmental Stewardship
94107	32-0160439	3	6,750	Environmental Stewardship
95370	65-1266037	3	2,500	Environmental Stewardship
95665	68-0205572	3	29,250	Environmental Stewardship
93728	94-2181848	3	5,000	Environmental Stewardship
20007-2829	52-1547226	3	148	Human Rights
95814	94-2400210	3	90,000	Environmental Stewardship
95814	68-0336989	3	3,000	Environmental Stewardship
94105-2968	94-1585735	3	20,000	Environmental Stewardship
94612	20-1030660		19,364	Public Health
94901	35-2317701	3	2,000	Environmental Stewardship
60603-5792	20-1384681	3	10,003	Consumer Issues
94103	43-2050242	3	57,500	Environmental Stewardship
22209	54-1609182		15,445	Public Health
92196	71-0910445	3	40,000	Consumer Issues
97239-1938	26-0224844	3	200	Human Rights
94558	94-3366465	3	3,000	Environmental Stewardship
95568	68-0204089	3	5,000	Environmental Stewardship
94103	94-2933309	3	500	Arts & Culture
95959	26-4045044		4,500	Environmental Stewardship
94801	41-2175437	3	22,500	Environmental Stewardship

a) Name	Address	State
Madera Oversight Coalition	PO Box 1282	CA
Mariposans for the Environment and Responsible Gov	P.O. Box 2121	CA
McCloud Local First Network	PO Box 297	CA
Middle East Children's Alliance	901 Parker St.	CA
Mothers Support Network / Organic Sacramento	4432 H Street	CA
Mount Shasta Bioregional Ecology Center	PO Box 1143	CA
Mountain Area Preservation Foundation	PO Box 807	CA
MyValleySprings.com	PO Box 1501	CA
National Indian Justice Center / California Indian Environmental Alliance	PO Box 2128	CA
Natural Equity Fund	114 Oak Knoll Drive	CA
No Wetlands Landfill Expansion	220 Saddlewood Drive	CA
Northern California Recycling Association	P.O. Box 22452	CA
Oakland Food Connection	4173 MacArthur Blvd Suite 10	CA
Oakland Museum of CA Foundation	1000 Oak Street	CA
OBUGS / City Slicker Farms	1625 - 16th Street	CA
Open Space Alliance	PO Box 8042	CA
OUSD School to Career Department	c/o Katie Noonan	CA
OWL Foundation / Sebastapol Water Information Group	964 Evergreen Court	CA
Pacifica Foundation / KPFA	1929 Martin Luther King Jr. Way	CA
Patient Privacy Rights Foundation	1006 Mopac Circle, Suite 102	TX
Paula Lane Action Network	PO Box 2903	CA
Pesticide Action Network	49 Powell Street Suite 500	CA
Pesticide Action Network North America / Campesinas Unidas Del valle de San Jo	PO Box 3081	CA
Pesticide Watch Education Fund	1107 - 9th Street, Suite 601	CA
Progress Unity Fund / A.N.S.W.E.R.	2489 Mission Street, Rm 26	CA
Project OLE	125 Excelsior Avenue	CA
Redwood Coast Watersheds Alliance / Coast Action Group	PO Box 215	CA
Redwood Region Audubon Society	PO Box 1054	CA
Regents of the University of CA / Samuelson Law Technology and Public Policy Cli	School of Law, UC Berkeley	CA
Revive the San Joaquin	2863 Everglade Avenue	CA
Rural Quality Foundation	PO Box 1346	CA
Sacred Spaces Project	PO Box 544	CA
San Bruno Mountain Watch Conservancy	PO Box 53	CA
San Francisco Baykeeper	785 Market Street, Suite 850	CA
San Francisco Parks Trust / Kids in Parks	314 Rutledge	CA
San Joaquin Raptor/Wildlife Rescue Center / San Joaquin Et Al	PO Box 722	CA
San Joaquin River Parkway and Conservation Trust	11605 Old Friant Road	CA
Santa Clara County Creeks Coalition	10880 Alba Road	CA
Sierra Club Foundation/ Lassen Forest Preservation	1540 Vilas Road	CA
Sierra Fund / Foothill Conservancy	PO Box 1255	CA

Zip	b) EIN	c) IRC Cod	d) Amount	h) Purpose
93614	26-0178083		100,000	Environmental Stewardship
95338	95-0095053	3	5,000	Environmental Stewardship
96057	73-1647006	3	2,500	Environmental Stewardship
94710	94-3074600	3	500	Human Rights
95819	91-1854970	3	4,000	Environmental Stewardship
96067	68-0233272	3	5,000	Environmental Stewardship
96160	68-0148964	3	5,000	Environmental Stewardship
95252	51-0615204	3	5,000	Environmental Stewardship
94702	68-0004000	3	4,500	Environmental Stewardship
95060	36-4567006	3	32,900	Environmental Stewardship
94945	20-1181869		33,848	Environmental Stewardship
94609	94-2906265	3	5,000	Environmental Stewardship
94619	20-3984263	3	5,000	Environmental Stewardship
94607	94-3094513	3	125	Arts & Culture
94607	94-3345794	3	4,620	Environmental Stewardship
95061	77-0491622	3	5,000	Environmental Stewardship
94706	94-6000385	3	1,600	Environmental Stewardship
95472	31-2192038	3	14,800	Environmental Stewardship
94704	94-1347046	3	1,000	Arts & Culture
78746	41-2131513	3	40,000	Consumer Issues
94953	73-1702426	3	3,000	Environmental Stewardship
94102	94-2949686	3	35,000	Environmental Stewardship
93258	94-2949686a	3	24,000	Environmental Stewardship
95814	95-4322048	3	22,000	Environmental Stewardship
94110	94-3382208	3	500	Human Rights
94112	94-3379867	3	1,500	Environmental Stewardship
95468	68-0207591	3	5,000	Environmental Stewardship
95502	23-7102685	3	1,000	Environmental Stewardship
94720-7200	94-6090626	3	20,000	Consumer Issues
93619	20-2682011	3	20,000	Environmental Stewardship
95959	94-3352465	3	4,000	Environmental Stewardship
94973	68-0467879		5,875	Environmental Stewardship
94005	94-3235791	3	20,000	Environmental Stewardship
94103	68-0120240	3	110,000	Environmental Stewardship
94110	23-7131784	3	3,000	Environmental Stewardship
95341	77-0048620	3	34,500	Environmental Stewardship
93750-9701	77-0196692	3	12,500	Environmental Stewardship
95005	71-1039016		3,699	Environmental Stewardship
95973	94-6069890b	3	5,000	Environmental Stewardship
95665	68-0485725	3	10,000	Environmental Stewardship

a) Name	Address	State
Sierra Nevada Alliance	Box 7989	CA
SLO Green Build (SLOGB)	PO Box 12043	CA
Slow Food USA	20 Jay Street, Suite M04	NY
Smith River Alliance	P.O. Box 2129	CA
Smith River Alliance / Tolowa Dunes Stewards	PO Box 1148	CA
SOL Communications / Battle Creek Alliance	30275 Hot Springs Road	CA
Solar Richmond	360 South 27th Street	CA
SOS Children's Villages USA	1200 G Street, NW, Suite 550	DC
Student Conservation Association	655 - 13th Street, Suite 100	CA
Suisun Marsh Natural History Association	1171 Kellogg Street	CA
The Bay Institute	695 De Long Avenue, Suite 100	CA
Tides Center / Community Grows	300 Page Street	CA
Tri-Valley Cares	2582 Old First Street	CA
Tuolumne River Trust	111 New Montgomery Street, Suite 205	CA
UCLA Foundation / UCLA School of Public Health	16 - 080 Center for Health Sciences	CA
United for Change in Tooleville	2377 East Alfred Avenue	CA
University of the Pacific	Department of Biological Sciences	CA
Utility Consumers' Action Network / Privacy Rights Clearinghouse	3100-5th Ave, Suite B	CA
Utility Reform Network	115 Sansome Street, Suite 900	CA
Valley Land Alliance	PO Box 102	CA
Ventana Wilderness Alliance	PO Box 506	CA
Waldo Holt San Joaquin Wildlife Conservancy	948 N. Edison Street	CA
Walk Oakland Bike Oakland	PO Box 10945	CA
Watershed Project	1327 South 46th Street	CA
West County Toxics Coalition	2720 Ivy Lane	CA
Wild Equity Institute	556 - 61st Street, Suite A	CA
Wilderness Reflections	PO Box 177	CA
World Privacy Forum	2044 San Elijo Avenue #402	CA
Yolo Basin Foundation	PO Box 943	CA
Yuba Historical Society	330 Ninth Street	CA
Number of 501c3 and Govt		132
Total Number of other organizations		14

Zip	b) EIN	C) IRC Cod	d) Amount	h) Purpose
96158	77-0343881	3	15,000	Environmental Stewardship
93406-2043	26-0702906	3	2,500	Environmental Stewardship
11201-8356	13-4100161	3	75	Environmental Stewardship
95531	94-2650838	3	10,000	Environmental Stewardship
95531	94-2650838	3	5,000	Environmental Stewardship
96011	95-4648687	3	5,000	Environmental Stewardship
94804	26-2428044	3	300	Environmental Stewardship
20005	13-6188433	3	500	Human Rights
94612	91-0880684	3	10,000	Environmental Stewardship
94585	94-2497041	3	2,500	Environmental Stewardship
94945	94-2717001	3	16,009	Environmental Stewardship
94102-5649	94-3213100b	3	3,000	Environmental Stewardship
94551	94-3101687	3	500	Environmental Stewardship
94105	94-2834151	3	20,000	Environmental Stewardship
90095-1772	95-2250801	3	1,000	Environmental Stewardship
93221	27-0694021		3,000	Environmental Stewardship
95211	94-1156266	3	30,400	Environmental Stewardship
92103	33-0002313	3	45,000	Consumer Issues
94104	23-7351081	3	45,000	Consumer Issues
95312	61-1496419	3	5,000	Environmental Stewardship
95061	77-0532467	3	4,000	Environmental Stewardship
95203	26-1268746	3	69,214	Environmental Stewardship
94610	20-8652475	3	4,500	Environmental Stewardship
94804	91-1767292	3	3,000	Environmental Stewardship
94531	94-3140083	3	1,000	Environmental Stewardship
94609	27-0984775	3	3,000	Environmental Stewardship
94973	41-2127845		291	Environmental Stewardship
92007	35-2241027	3	35,000	Consumer Issues
95617	68-0230311	3	6,525	Environmental Stewardship
95901	68-0411232	3	2,000	Environmental Stewardship
			2,561,822	

The Rose Foundation for Communities and the Environment			
EIN: 94-3179772			
2009 Form 990			
Attachment to Schedule I, Part III			
a) Type of Assistance	b) Number of recipients	C) Amount of cash Grant	
Hire consultants to conduct research for the Investor Environmental Health Network	2	163,451	
Coast to Crest Trail Project assistance, including hiring project manager and supervisor	4	19,409	
	Total	182,859	