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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No. 1545-0052

**2009**

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation

SURGICAL SCIENCE FDN 000015872100

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

C/O US BANK NA, PO BOX 7900

City or town, state, and ZIP code

MADISON, WI 53707-7900

A Employer identification number

93-0846339

B Telephone number (see page 10 of the instructions)

(608) 252-4335

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 19,912,503.  
J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B.				
3 Interest on savings and temporary cash investments	2,128.	888.		STMT 1
4 Dividends and interest from securities	532,515.	523,773.		STMT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-463,553.			
b Gross sales price for all assets on line 6a	5,618,824.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	71,090.	524,661.		
13 Compensation of officers, directors, trustees, etc.	44,960.	40,464.		4,496.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	750.			750.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	10,059.	4,980.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	55,769.	45,444.	NONE	5,246.
25 Contributions, gifts, grants paid	1,116,432.			1,116,432.
26 Total expenses and disbursements. Add lines 24 and 25	1,172,201.	45,444.	NONE	1,121,678.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-1,101,111.			
b Net investment income (if negative, enter -0-)		479,217.		
c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .	4,358.		
	2	Savings and temporary cash investments . . . . .	84,897.	332,652.	332,652.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U.S. and state government obligations (attach schedule) STMT 5	1,488,460.	989,000.	1,061,532.
	b	Investments - corporate stock (attach schedule) . . . . .			
	c	Investments - corporate bonds (attach schedule) STMT 6	1,585,653.	1,472,183.	1,647,346.
	11	Investments - land, buildings, and equipment, basis Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) STMT 8	18,545,020.	17,813,555.	16,870,973.
	14	Land, buildings, and equipment, basis Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	21,708,388.	20,607,390.	19,912,503.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22) . . . . .				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .	21,708,388.	20,607,390.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	21,708,388.	20,607,390.	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	21,708,388.	20,607,390.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	21,708,388.
2	Enter amount from Part I, line 27a . . . . .	2	-1,101,111.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	113.
4	Add lines 1, 2, and 3 . . . . .	4	20,607,390.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	20,607,390.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE PART IV DETAIL</b>					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) . . . . .			2	-663,600.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . .					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,235,461.	22,571,860.	0.05473456773
2007	984,826.	26,661,680.	0.03693788238
2006	1,380,381.	25,186,531.	0.05480631692
2005	1,101,798.	23,557,190.	0.04677119809
2004	1,077,523.	22,565,835.	0.04775019404
<b>2 Total of line 1, column (d) . . . . .</b>			2 0.24100015916
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .</b>			3 0.04820003183
<b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .</b>			4 18,149,939.
<b>5 Multiply line 4 by line 3 . . . . .</b>			5 874,828.
<b>6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .</b>			6 4,792.
<b>7 Add lines 5 and 6 . . . . .</b>			7 879,620.
<b>8 Enter qualifying distributions from Part XII, line 4 . . . . .</b> If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 1,121,678.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	4,792.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	4,792.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	4,792.
6 Credits/Payments.			
a 2009 estimated tax payments and 2008 overpayment credited to 2009 . . . . .	6a	7,400.	
b Exempt foreign organizations-tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	7,400.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	2,608.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 2,608. Refunded <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	1b	X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year? . . . . .	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers. <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2	X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5	X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV . . . . .	7	X
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> STMT 10		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	10	X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		Y
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>US BANK NA</u> Telephone no. <u>(608) 252-4335</u>			
Located at <u>1 S PINCKNEY STREET, MADISON, WI</u> ZIP + 4 <u>53703</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b**

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		44,960.	-0-	-0-

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total number of other employees paid over \$50,000** ☐ **NONE**

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	17,662,251.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	764,083.
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	18,426,334.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	18,426,334.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	276,395.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	18,149,939.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	907,497.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	907,497.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	4,792.
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	4,792.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	902,705.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	902,705.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	902,705.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	1,121,678.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,121,678.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	4,792.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	1,116,886.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7 . . . . .				902,705.
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only . . . . .			1,116,432.	-
<b>b</b> Total for prior years 20 07, 20 , 20 . . . . .		NONE		
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004 . . . . .	NONE			
<b>b</b> From 2005 . . . . .	NONE			
<b>c</b> From 2006 . . . . .	NONE			
<b>d</b> From 2007 . . . . .	NONE			
<b>e</b> From 2008 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,121,678.				
<b>a</b> Applied to 2008, but not more than line 2a . . .			1,116,432.	
<b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	NONE			
<b>d</b> Applied to 2009 distributable amount . . . . .				5,246.
<b>e</b> Remaining amount distributed out of corpus . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2009 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	NONE			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .				
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010. . . . .				897,459.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2005 . . . . .	NONE			
<b>b</b> Excess from 2006 . . . . .	NONE			
<b>c</b> Excess from 2007 . . . . .	NONE			
<b>d</b> Excess from 2008 . . . . .	NONE			
<b>e</b> Excess from 2009 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>  SEE STATEMENT 14				
<b>Total . . . . . ▶ 3a</b>				1,116,432.
<b>b Approved for future payment</b>				
<b>Total . . . . . ▶ 3b</b>				

Form 990-PF (2009)





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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				7,301.	
50,000.00		10989.011 AMER CENT NEW OPPORTU II CL IN PROPERTY TYPE: SECURITIES 104,835.00				10/10/2007 -54,835.00	03/25/2009
216,450.00		35309.98 AMER CENT NEW OPPORTU II CL INV PROPERTY TYPE: SECURITIES 314,965.00				09/20/2007 -98,515.00	09/28/2009
35,558.00		5800.72 AMER CENT NEW OPPORTU II CL INV PROPERTY TYPE: SECURITIES 51,742.00				09/20/2007 -16,184.00	09/28/2009
114,923.00		18747.712 AMER CENT NEW OPPORTU II CL IN PROPERTY TYPE: SECURITIES 170,165.00				10/10/2007 -55,242.00	09/28/2009
20,063.00		50000. AMERICAN GENL FIN 5.750% 9/15 PROPERTY TYPE: SECURITIES 49,098.00				06/26/2007 -29,035.00	02/27/2009
14,594.00		25000. AMERICAN GENL FIN 5.750% 9/15 PROPERTY TYPE: SECURITIES 10,392.00				06/26/2007 4,202.00	09/09/2009
75,000.00		75000. BOEING CAP CORP 5.400% 11/30 PROPERTY TYPE: SECURITIES 75,776.00				09/25/2006 -776.00	11/30/2009
196,708.00		11618.9 EATON VANCE LARGE CAP VAL I PROPERTY TYPE: SECURITIES 150,000.00				11/21/2008 46,708.00	12/30/2009
131,139.00		7745.933 EATON VANCE LARGE CAP VAL I PROPERTY TYPE: SECURITIES 100,000.00				11/21/2008 31,139.00	12/30/2009
622,909.00		36793.184 EATON VANCE LARGE CAP VAL I PROPERTY TYPE: SECURITIES 475,000.00				11/21/2008 147,909.00	12/30/2009

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis	Gain or (loss)	
20,000.00		20000. F H L B DEB PROPERTY TYPE: SECURITIES 20,576.00		4.750% 4/24		03/10/2008 -576.00	04/24/2009
41,667.00		41666.67 F H L B DEB PROPERTY TYPE: SECURITIES 41,667.00		5.000% 2/		01/29/2008	02/13/2009
13,889.00		13888.89 F H L B DEB PROPERTY TYPE: SECURITIES 13,889.00		5.000% 2/		01/29/2008	02/13/2009
33,333.00		33333.33 F H L B DEB PROPERTY TYPE: SECURITIES 33,333.00		5.000% 2/		01/29/2008	03/30/2009
11,111.00		11111.11 F H L B DEB PROPERTY TYPE: SECURITIES 11,111.00		5.000% 2/		01/29/2008	03/30/2009
100,000.00		100000. F H L B DEB PROPERTY TYPE: SECURITIES 100,000.00		4.050% 2/1		01/29/2008	02/19/2009
25,000.00		25000. F H L B DEB PROPERTY TYPE: SECURITIES 25,000.00		4.050% 2/19		01/29/2008	02/19/2009
52,313.00		50000. F N M A M T N PROPERTY TYPE: SECURITIES 50,232.00		4.750% 12/15		12/05/2006 2,081.00	11/09/2009
40,000.00		1912.046 FIDELITY AD INTL DISCOVERY CL A PROPERTY TYPE: SECURITIES 87,189.00				10/10/2007 -47,189.00	03/25/2009
592.00		75.034 FIRST AMER REAL ESTATE SECS FD CL PROPERTY TYPE: SECURITIES 1,156.00				12/29/2008 -564.00	03/27/2009
21,681.00		2747.862 FIRST AMER REAL ESTATE SECS FD PROPERTY TYPE: SECURITIES 53,039.00				12/27/2007 -31,358.00	03/27/2009



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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
3,604.00		456.743 FIRST AMER REAL ESTATE SECS FD C PROPERTY TYPE: SECURITIES 7,039.00					12/29/2008 -3,435.00	03/27/2009
131,974.00		16726.697 FIRST AMER REAL ESTATE SECS FD PROPERTY TYPE: SECURITIES 322,858.00					12/27/2007 -190884.00	03/27/2009
35,962.00		4557.885 FIRST AMER REAL ESTATE SECS FD PROPERTY TYPE: SECURITIES 49,858.00					12/22/2008 -13,896.00	03/27/2009
2,984.00		221.044 FIRST AMER REAL ESTATE SECS FD C PROPERTY TYPE: SECURITIES 1,751.00					06/26/2009 1,233.00	09/28/2009
490.00		36.313 FIRST AMER REAL ESTATE SECS FD CL PROPERTY TYPE: SECURITIES 288.00					06/26/2009 202.00	09/28/2009
6.00		.404 FIRST AMER REAL ESTATE SECS FD CL Y PROPERTY TYPE: SECURITIES 5.00					12/29/2009 1.00	12/30/2009
36.00		2.456 FIRST AMER REAL ESTATE SECS FD CL PROPERTY TYPE: SECURITIES 33.00					12/29/2009 3.00	12/30/2009
75,014.00		2727.769 AMERICAN GRW FD OF AMER F2 PROPERTY TYPE: SECURITIES 50,000.00					11/21/2008 25,014.00	12/30/2009
375,068.00		13638.843 AMERICAN GRW FD OF AMER F2 PROPERTY TYPE: SECURITIES 250,000.00					11/21/2008 125,068.00	12/30/2009
25,000.00		25000. HOUSEHOLD FIN CORP 4.125% 11/16 PROPERTY TYPE: SECURITIES 10,598.00					05/19/2008 14,402.00	11/16/2009
75,000.00		75000. HOUSEHOLD FIN CORP 4.125% 11/16 PROPERTY TYPE: SECURITIES 75,098.00					08/26/2008 -98.00	11/16/2009

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
29,750.00		50000. MERRILL LYNCH & CO PROPERTY TYPE: SECURITIES 49,637.00		6.050% 5/16			06/26/2007 -19,887.00	02/24/2009
14,875.00		25000. MERRILL LYNCH & CO PROPERTY TYPE: SECURITIES 24,818.00		6.050% 5/16			06/26/2007 -9,943.00	02/24/2009
25,000.00		25000. NATL RURAL UTIL PROPERTY TYPE: SECURITIES 10,748.00		5.750% 8/28			08/31/2006 14,252.00	08/28/2009
50,000.00		50000. NATL RURAL UTIL PROPERTY TYPE: SECURITIES 50,783.00		5.750% 8/28			08/31/2006 -783.00	08/28/2009
25,000.00		25000. NATL RURAL UTIL PROPERTY TYPE: SECURITIES 25,352.00		5.750% 8/28			09/07/2006 -352.00	08/28/2009
20,000.00		735.024 NEUBERGER BERMAN GENESIS INSTL F PROPERTY TYPE: SECURITIES 36,685.00					06/25/2008 -16,685.00	03/25/2009
70,250.00		1837.56 NEUBERGER BERMAN GENESIS INSTL F PROPERTY TYPE: SECURITIES 50,000.00					03/25/2009 20,250.00	12/30/2009
102,585.00		2683.363 NEUBERGER BERMAN GENESIS INSTL PROPERTY TYPE: SECURITIES 75,000.00					11/21/2008 27,585.00	12/30/2009
13,000.00		1269.531 PIMCO TOTAL RETURN FUND PROPERTY TYPE: SECURITIES 13,355.00					10/31/2005 -355.00	05/05/2009
15,719.00		25000. PRUDENTIAL FIN INC PROPERTY TYPE: SECURITIES 25,219.00		6.100% 6/15			06/26/2007 -9,500.00	03/10/2009
47,156.00		75000. PRUDENTIAL FIN INC PROPERTY TYPE: SECURITIES 75,656.00		6.100% 6/15			06/26/2007 -28,500.00	03/10/2009

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
25,000.00		25000. ROYAL BK SCOTLAND GP 6.400% 4/01 PROPERTY TYPE: SECURITIES 25,488.00					09/18/2007 -488.00	04/01/2009
521,190.00		5000. SPDR S&P 500 ETF TRUST PROPERTY TYPE: SECURITIES 772,572.00					10/10/2007 -251382.00	09/25/2009
67,730.00		650. SPDR S&P 500 ETF TRUST PROPERTY TYPE: SECURITIES 100,524.00					10/10/2007 -32,794.00	09/25/2009
145,865.00		1400. SPDR S&P 500 ETF TRUST PROPERTY TYPE: SECURITIES 214,044.00					09/20/2007 -68,179.00	09/25/2009
33,895.00		3339.437 LAUDUS INTL MARKETMASTERS FD SE PROPERTY TYPE: SECURITIES 67,023.00					06/25/2008 -33,128.00	03/25/2009
22,011.00		2168.545 LAUDUS INTL MARKETMASTERS FD SE PROPERTY TYPE: SECURITIES 42,330.00					01/31/2008 -20,319.00	03/25/2009
27,687.00		2727.769 LAUDUS INTL MARKETMASTERS FD SE PROPERTY TYPE: SECURITIES 50,000.00					08/05/2008 -22,313.00	03/25/2009
25,999.00		2561.475 LAUDUS INTL MARKETMASTERS FD SE PROPERTY TYPE: SECURITIES 50,000.00					01/31/2008 -24,001.00	03/25/2009
206,326.00		20327.728 LAUDUS INTL MARKETMASTERS FD S PROPERTY TYPE: SECURITIES 407,978.00					06/25/2008 -201652.00	03/25/2009
133,983.00		13200.307 LAUDUS INTL MARKETMASTERS FD S PROPERTY TYPE: SECURITIES 257,670.00					01/31/2008 -123687.00	03/25/2009
139,184.00		4771.472 SCOUT INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 95,000.00					03/25/2009 44,184.00	12/30/2009

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Kind of Property		Description				P u r D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis		Gain or (loss)	
80,580.00		2762.431 SCOUT INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 55,000.00					03/25/2009 25,580.00	12/30/2009
505,457.00		17327.976 SCOUT INTERNATIONAL FUND PROPERTY TYPE: SECURITIES 345,000.00					03/25/2009 160,457.00	12/30/2009
25,000.00		25000. TOYOTA MOTOR CREDIT PROPERTY TYPE: SECURITIES 10,583.00		4.000%	6/25		06/26/2008 14,417.00	06/25/2009
50,000.00		50000. TOYOTA MOTOR CREDIT PROPERTY TYPE: SECURITIES 50,000.00		4.000%	6/25		06/26/2008	06/25/2009
25,000.00		25000. TOYOTA MOTOR CREDIT PROPERTY TYPE: SECURITIES 25,000.00		4.000%	6/25		06/26/2008	06/25/2009
29,172.00		25000. U S TREASURY NT PROPERTY TYPE: SECURITIES 25,041.00		5.125%	5/15		06/28/2007 4,131.00	04/29/2009
83,736.00		75000. U S TREASURY NT PROPERTY TYPE: SECURITIES 75,123.00		5.125%	5/15		06/28/2007 8,613.00	08/05/2009
16,947.00		15000. U S TREASURY NT PROPERTY TYPE: SECURITIES 16,688.00		5.125%	5/15		10/01/2008 259.00	09/22/2009
11,298.00		10000. U S TREASURY NT PROPERTY TYPE: SECURITIES 10,021.00		5.125%	5/15		06/28/2007 1,277.00	09/22/2009
17,004.00		15000. U S TREASURY NT PROPERTY TYPE: SECURITIES 15,032.00		5.125%	5/15		06/28/2007 1,972.00	10/15/2009
11,327.00		10000. U S TREASURY NT PROPERTY TYPE: SECURITIES 11,307.00		5.125%	5/15		06/28/2007 20.00	12/15/2009

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
54,500.00		50000. U S TREASURY NT PROPERTY TYPE: SECURITIES 49,932.00		4.625% 7/31			07/31/2007	07/20/2009
							4,568.00	
17,131.00		15000. U S TREASURY NT PROPERTY TYPE: SECURITIES 15,372.00		4.750% 8/15			10/22/2007	04/29/2009
							1,759.00	
10,975.00		10000. U S TREASURY NT PROPERTY TYPE: SECURITIES 10,248.00		4.750% 8/15			10/22/2007	06/30/2009
							727.00	
10,831.00		10000. U S TREASURY NT PROPERTY TYPE: SECURITIES 10,152.00		3.625% 12/31			01/03/2008	03/31/2009
							679.00	
5,202.00		5000. U S TREASURY NT PROPERTY TYPE: SECURITIES 4,947.00		2.750% 2/28/			07/10/2008	05/06/2009
							255.00	
20,809.00		20000. U S TREASURY NT PROPERTY TYPE: SECURITIES 20,275.00		2.750% 2/28			03/04/2008	05/06/2009
							534.00	
26,978.00		25000. U S TREASURY NT PROPERTY TYPE: SECURITIES 24,828.00		3.875% 5/15			07/02/2008	03/03/2009
							2,150.00	
26,064.00		25000. U S TREASURY NT PROPERTY TYPE: SECURITIES 21,020.00		3.875% 5/15			07/02/2008	12/04/2009
							5,044.00	
10,197.00		10000. U S TREASURY NT PROPERTY TYPE: SECURITIES 10,077.00		2.000% 9/30			10/28/2008	03/31/2009
							120.00	
15,277.00		15000. U S TREASURY NT PROPERTY TYPE: SECURITIES 15,115.00		2.000% 9/30			10/28/2008	04/29/2009
							162.00	
25,463.00		25000. U S TREASURY NT PROPERTY TYPE: SECURITIES 25,192.00		2.000% 9/30			10/28/2008	05/26/2009
							271.00	

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Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis	Gain or (loss)	
10,679.00		10000. U S TREASURY NT PROPERTY TYPE: SECURITIES 10,883.00		3.750% 11/15		12/08/2008 -204.00	03/03/2009
10,793.00		10000. U S TREASURY NT PROPERTY TYPE: SECURITIES 10,905.00		3.750% 11/15		03/31/2009 -112.00	04/16/2009
5,308.00		5000. U S TREASURY NT PROPERTY TYPE: SECURITIES 5,441.00		3.750% 11/15/		12/08/2008 -133.00	04/29/2009
25,193.00		25000. U S TREASURY NT PROPERTY TYPE: SECURITIES 25,156.00		1.250% 11/30		12/08/2008 37.00	03/31/2009
32,823.00		35000. U S TREASURY NT PROPERTY TYPE: SECURITIES 35,202.00		2.750% 2/15		03/31/2009 -2,379.00	06/30/2009
25,030.00		25000. U S TREASURY NT PROPERTY TYPE: SECURITIES 24,983.00		0.875% 2/28		03/03/2009 47.00	05/13/2009
63,283.00		65000. U S TREASURY NT PROPERTY TYPE: SECURITIES 63,776.00		3.125% 5/15		08/05/2009 -493.00	12/04/2009
33,745.00		35000. U S TREASURY NT PROPERTY TYPE: SECURITIES 33,550.00		3.125% 5/15		08/05/2009 195.00	12/15/2009
376.00		1. SECURITIES LITIGATION PROCEEDS FROM A PROPERTY TYPE: SECURITIES				376.00	06/24/2009
94.00		1. SECURITIES LITIGATION PROCEEDS FROM F PROPERTY TYPE: SECURITIES				94.00	08/12/2009
34.00		. SECURITIES LITIGATION PROCEEDS FROM FR PROPERTY TYPE: SECURITIES				34.00	10/13/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
189.00		. SECURITIES LITIGATION PROCEEDS FROM UN PROPERTY TYPE: SECURITIES					189.00	12/22/2009
755.00		. SECURITIES LITIGATION PROCEEDS FROM UN PROPERTY TYPE: SECURITIES					755.00	12/22/2009
TOTAL GAIN(LOSS) .....							----- -663,600. =====	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
SURG SCIENCE REV TR	2,128.	888.
	-----	-----
TOTAL	2,128.	888.
	=====	=====



FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
SURG SCIENCE REV TR	532,515.	523,773.
	-----	-----
TOTAL	532,515.	523,773.
	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

REVENUE  
AND  
EXPENSES  
PER BOOKS

DESCRIPTION

-----

CHARITABLE  
PURPOSES

-----

TAX PREP FEES

750.

750.

TOTALS

750.

750.

=====

=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
EXCISE TAX PAYMENTS	5,079.	
FOREIGN TAXES PAID	4,980.	4,980.
	-----	-----
TOTALS	10,059.	4,980.
	=====	=====

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	----
FHLB DEB 4.375 9/17/10	97,534.	102,688.
FHLB DEB 5.25 6/11/10	119,194.	127,774.
FNMA MTN 3.625 2/12/13	25,168.	26,219.
FNMA MTN 4.75 12/15/10	75,347.	77,954.
FNMA MTN 5.375 6/12/17	290,807.	338,169.
US TREAS NT .750 11/30/11	39,902.	39,739.
US TREAS NT .875 2/28/11	9,993.	10,024.
US TREAS NT .875 3/31/11	10,002.	10,018.
US TREAS NT 1.375 11/15/12	65,137.	64,533.
US TREAS NT 2.125 11/30/14	24,871.	24,408.
US TREAS NT 2.375 9/30/14	14,998.	14,873.
US TREAS NT 2.750 2/28/13	15,007.	15,455.
US TREAS NT 3.125 5/15/19	33,890.	33,146.
US TREAS NT 3.875 5/15/18	75,067.	76,154.
US TREAS NT 5.125 5/15/16	92,083.	100,378.
	-----	-----
TOTALS	989,000.	1,061,532.
	=====	=====

## FORM 990PF, PART II - CORPORATE BONDS

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
ANHEUSER BUSCH CO 5.75 4/01/10	61,771.	75,942.
BANK ONE CORP 5.25 1/30/13	25,791.	26,511.
ABBT LABS 5.60 5/15/11	25,375.	26,516.
ALLSTATE LIFE GL 5.375 4/30/13	61,840.	80,060.
AMER EXP BK FSB 5.555 10/17/12	76,017.	80,207.
BB&T CORP 5.70 4/30/14	24,939.	27,061.
BERKSHIRE HATH 4.0 4/15/12	99,803.	99,557.
CATERP FIN SERV 5.05 12/01/10	99,824.	104,036.
CISCO SYS INC 5.50 2/22/16	73,110.	82,343.
ELI LILLY & CO 5.20 3/15/17	94,253.	95,294.
GEN DYNAMICS CO 4.25 5/15/13	52,114.	52,713.
GLAXOSMITHKLINE 4.80 5/15/13	61,357.	80,509.
GLAXOSMITHKLINE 5.65 5/15/18	25,332.	26,965.
HONEYWELL INTL 5.0 2/15/19	56,620.	56,951.
IBM INTL GROUP 5.05 10/22/12	26,080.	27,081.
JOHN DEERE CAP 4.95 12/17/12	61,771.	80,432.
MERRILL LYN & CO 6.05 5/16/16	10,506.	25,225.
MORGAN STANLEY 5.375 10/15/15	58,175.	77,494.
NUCOR CORP 5.0 12/01/12	27,000.	27,286.
PEPSICO INC 5.0 6/01/18	60,051.	77,867.
PITNEY BOWES INC 4.75 1/15/16	25,747.	26,000.
PRAZAIR INC 3.25 9/15/15	25,324.	24,939.
SBC COMM 5.30 11/15/10	25,892.	25,989.
TARGET CORP 5.875 7/15/16	74,783.	82,505.
UNITED PARCEL 4.5 1/15/13	102,542.	106,320.
WELLS FARGO CO 3.75 10/1/14	25,006.	24,926.
GOLDMAN SACH GROUP 4.5 6/15/10	61,195.	76,351.
BP CAPITAL PLC 3.625 5/8/14	25,003.	25,575.
TOTAL CAPITAL 3.125 10/2/15	24,962.	24,691.

SURGICAL SCIENCE FDN 000015872100

93-0846339

FORM 990PF, PART II - CORPORATE BONDS

=====

DESCRIPTION

-----

ENDING  
BOOK VALUE

-----

ENDING  
FMV

---

TOTALS

-----  
1,472,183.  
=====

-----  
1,647,346.  
=====

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION	COST/ FMV	C OR F	ENDING	
			BOOK VALUE	FMV
AMERICAN EUROPACIFIC GRTH F2	C		265,000.	272,614.
AMERICAN GROWTH FD OF AMER 5	C		1,594,169.	1,473,979.
BARCLAYS IPATH DJ AIG COMMODIT	C		409,608.	416,261.
AMERICAN EUROPACIFIC GRTH R4	C		1,069,922.	1,123,923.
AMERICAN GRW FD OF AMER F2	C		450,000.	450,000.
DODGE & COX STOCK FUND	C		912,687.	875,896.
FIDELITY AD INTL DISCOVERY	C		539,043.	353,659.
FIRST AMER EQUITY INCOME	C		955,000.	955,000.
GOLDMAN SACHS M/C VALUE	C		300,000.	206,831.
ISHARES MSCI EMERGING MKTS	C		159,383.	174,300.
MIDCAP SPDR TRUST SER 1	C		1,225,819.	1,004,518.
NEUBERGER BERMAN GENESIS INSTL	C		872,535.	844,358.
ROWE T PRICE MID-CAP VALUE	C		794,600.	753,958.
SPDR TRUST SERIES 1	C		3,089,060.	2,501,271.
T ROWE PRICE BLUE CHIP GROWTH	C		1,344,175.	1,206,623.
PIMCO TOTAL RETURN FUND	C		2,921,166.	3,306,169.
CONSUMER STAPLES SPDR	C		191,388.	231,613.
SCOUT INTENATIONAL FUND	C		720,000.	720,000.
TOTALS			17,813,555.	16,870,973.

=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
BASIS ADJ - MARKET DISCOUNT	113.
	-----
TOTAL	113.
	=====



-----  
STATE(S) WHERE THE FOUNDATION IS REGISTERED  
=====

WI

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

## OFFICER NAME:

US BANK NA

## ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

## TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION ..... 44,960.

## OFFICER NAME:

CRAIG KENT MD

## ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

## TITLE:

CHAIRMAN

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

## OFFICER NAME:

MICHAEL BENTZ MD

## ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

## TITLE:

VICE CHAIRMAN

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

## OFFICER NAME:

NILOO EDWARDS MD

## ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

## TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

## OFFICER NAME:

DENNIS LUND MD

## ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

## TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

## OFFICER NAME:

HANS SOLLINGER

## ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

## TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

## OFFICER NAME:

STEVEN NAKADA MD

## ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

## TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

## OFFICER NAME:

JIM POSSIN

## ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

## TITLE:

PUBLIC MEMBER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

## =====

OFFICER NAME:

JOHN RASKE

ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

TITLE:

PUBLIC MEMBER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

THOMAS ZDEBLICK

ADDRESS:

PO BOX 7900

MADISON, WI 53707-7900

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

TOTAL COMPENSATION:

44,960.

=====

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

## RECIPIENT NAME:

UW FDN DEPT OF SURGERY

## ADDRESS:

600 HIGHLAND AVE

MADISON, WI 53792

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

RESEARCH &amp; DEVELOPMENT

## FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 1,116,432.

TOTAL GRANTS PAID:

1,116,432.

=====

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**.
  - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of Exempt Organization		Employer identification number
	SURGICAL SCIENCE FDN 000015872100		93-0846339
	Number, street, and room or suite no. If a P.O. box, see instructions.		
	C/O US BANK NA, PO BOX 7900		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	MADISON, WI 53707-7900		

**Check type of return to be filed** (file a separate application for each return):

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 6069
<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 8870

- The books are in the care of ▶ US BANK NA

Telephone No. ▶ (608) 252-4335 FAX No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/16, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ ☒ calendar year 2009 or  
 ▶ ☐ tax year beginning \_\_\_\_\_, \_\_\_\_\_, and ending \_\_\_\_\_, \_\_\_\_\_.

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b> \$ 4,792.
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b> \$ 7,400.
<b>c</b> <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b> \$

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)