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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning,

and ending

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

<b>Use the IRS label.</b> <b>Otherwise, print or type.</b> <b>See Specific Instructions.</b>	<b>Name of foundation</b> <b>DUIM FAMILY FOUNDATION</b> <b>Number and street (or P O box number if mail is not delivered to street address)</b> <b>8518 146TH PLACE SE</b> <b>Room/suite</b> <b>City or town, state, and ZIP code</b> <b>NEWCASTLE, WA 98059</b>		<b>A Employer identification number</b> <b>91-1872763</b> <b>B Telephone number</b> <b>425 687-6972</b>			
			<b>C If exemption application is pending, check here ►</b> <b>D 1. Foreign organizations, check here ►</b> <b>2. Foreign organizations meeting the 85% test, check here and attach computation ►</b> <b>E If private foundation status was terminated under section 507(b)(1)(A), check here ►</b> <b>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►</b>			
	<b>H Check type of organization:</b> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation					
	<b>I Fair market value of all assets at end of year (from Part II, col. (c), line 16)</b> <b>► \$ 1,965,864.</b>	<b>J Accounting method:</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____				
<b>Revenue</b>	<b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>		<b>(a) Revenue and expenses per books</b> <b>75,549.</b>	<b>(b) Net investment income</b> <b>14,504.</b> <b>14,504.</b> <b>40,075.</b> <b>40,075.</b>	<b>(c) Adjusted net income</b> <b>N/A</b>	<b>(d) Disbursements for charitable purposes (cash basis only)</b> <b>STATEMENT 1</b> <b>STATEMENT 2</b>
	<b>1 Contributions, gifts, grants, etc., received</b> <b>2 Check ► if the foundation is not required to attach Sch B</b> <b>3 Interest on savings and temporary cash investments</b> <b>4 Dividends and interest from securities</b> <b>5a Gross rents</b> <b>b Net rental income or (loss)</b>					
	<b>6a Net gain or (loss) from sale of assets not on line 10</b> <b>Gross sales price for all assets on line 6a</b> <b>908,888.</b>			<b>&lt;63,540.&gt;</b>		
	<b>7 Capital gain net income (from Part IV, line 2)</b> <b>8 Net short-term capital gain</b> <b>9 Income modifications</b> <b>10a Gross sales less returns and allowances</b> <b>b Less Cost of goods sold</b> <b>c Gross profit or (loss)</b>				<b>0.</b>	
	<b>11 Other income</b> <b>12 Total. Add lines 1 through 11</b>		<b>66,588.</b>	<b>54,579.</b>		
	<b>13 Compensation of officers, directors, trustees, etc</b> <b>14 Other employee salaries and wages</b> <b>15 Pension plans, employee benefits</b>		<b>0.</b>	<b>0.</b>	<b>0.</b>	
	<b>16a Legal fees</b> <b>STMT 3</b> <b>324.</b> <b>324.</b> <b>b Accounting fees</b> <b>STMT 4</b> <b>3,000.</b> <b>3,000.</b> <b>c Other professional fees</b> <b>STMT 5</b> <b>8,901.</b> <b>8,901.</b>					
	<b>17 Interest</b> <b>18 Taxes</b> <b>STMT 6</b> <b>889.</b> <b>889.</b> <b>19 Depreciation and depletion</b> <b>20 Occupancy</b> <b>21 Travel, conferences, and meetings</b> <b>22 Printing and publications</b>					
	<b>23 Other expenses</b> <b>STMT 7</b> <b>35.</b> <b>35.</b> <b>24 Total operating and administrative expenses, Add lines 13 through 23</b> <b>13,149.</b> <b>13,149.</b> <b>25 Contributions, gifts, grants paid</b> <b>294,500.</b> <b>294,500.</b>					
	<b>26 Total expenses and disbursements, Add lines 24 and 25</b> <b>307,649.</b> <b>13,149.</b> <b>27 Subtract line 26 from line 12:</b>					
<b>a Excess of revenue over expenses and disbursements</b> <b>b Net investment income (if negative, enter -0-)</b> <b>c Adjusted net income (if negative, enter -0-)</b>			<b>&lt;241,061.&gt;</b>	<b>41,430.</b>	<b>N/A</b>	

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		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		434,510.	156,024.	156,024.
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 8	298,985.	455,852.	452,950.
	c Investments - corporate bonds	STMT 9	249,681.	349,806.	353,031.
	11 Investments - land, buildings, and equipment basis ►				
Less accumulated depreciation ►					
12 Investments - mortgage loans					
13 Investments - other	STMT 10	1,242,031.	1,022,464.	1,003,859.	
14 Land, buildings, and equipment basis ►					
Less accumulated depreciation ►					
15 Other assets (describe ► )					
16 Total assets (to be completed by all filers)		2,225,207.	1,984,146.	1,965,864.	
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
22 Other liabilities (describe ► )					
23 Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds		0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds		2,225,207.	1,984,146.	
30 Total net assets or fund balances		2,225,207.	1,984,146.		
31 Total liabilities and net assets/fund balances		2,225,207.	1,984,146.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 2,225,207.
2 Enter amount from Part I, line 27a	2 <241,061.>
3 Other increases not included in line 2 (itemize) ►	3 0.
4 Add lines 1, 2, and 3	4 1,984,146.
5 Decreases not included in line 2 (itemize) ►	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 1,984,146.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT			P	VARIOUS	VARIOUS
b SEE ATTACHED STATEMENT			P	VARIOUS	VARIOUS
c STOCK TAX BASIS ADJ			P	VARIOUS	VARIOUS
d CAPITAL GAINS DIVIDENDS					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 28,200.		17,577.	10,623.		
b 879,454.		971,030.	<91,576.>		
c		<16,179.>	16,179.		
d 1,234.			1,234.		
e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			10,623.		
b			<91,576.>		
c			16,179.		
d			1,234.		
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	<63,540.>	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	189,644.	2,143,359.	.088480
2007	79,500.	2,523,933.	.031498
2006	111,100.	2,224,013.	.049955
2005	135,227.	1,944,441.	.069545
2004	91,096.	1,803,780.	.050503

2 Total of line 1, column (d)

2 .289981

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .057996

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5

4 1,773,031.

5 Multiply line 4 by line 3

5 102,829.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 414.

7 Add lines 5 and 6

7 103,243.

8 Enter qualifying distributions from Part XII, line 4

8 294,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	1	414.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3 Add lines 1 and 2	3	414.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	414.
6 Credits/Payments:		
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	414.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ►	11	
	Refunded ►	

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1a	X
1c Did the foundation file Form 1120-POL for this year?	1b	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.	1c	X
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.	2	X
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	3	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	4a	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4b	
b If "Yes," has it filed a tax return on Form 990-T for this year?	5	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	N/A	
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►	8b	X
WA	9	X
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	10	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		

**Part VII-A | Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) 11   X

12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12   X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► **N/A** 13   X

14 The books are in care of ► **GARY T DUIM** Telephone no. ► **425 687-6972**  
Located at ► **8518 146TH PLACE SE, NEWCASTLE, WA** ZIP+4 ► **98059**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► **15** N/A

**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  X  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  Yes  X  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  X  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  X  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  X  No

(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  Yes  X  No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A 1b   X  
Organizations relying on a current notice regarding disaster assistance check here ► 1c   X

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? N/A 2b   X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(l)(5)):

a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?  Yes  X  No  
If "Yes," list the years ► N/A 3a   X

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A 3b   X

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► N/A 4a   X

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes  X  No  
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A 4b   X

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? N/A 4a   X

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? N/A 4b   X

## Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► <input type="checkbox"/>	5b	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	<input checked="" type="checkbox"/>
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A	

## Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

## 1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

## 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services



0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,524,237.
b	Average of monthly cash balances	1b	275,794.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,800,031.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,800,031.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	27,000.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,773,031.
6	Minimum investment return. Enter 5% of line 5	6	88,652.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	88,652.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	414.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	414.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	88,238.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	88,238.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	88,238.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	1a	294,500.
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the:	3b	
a	Suitability test (prior IRS approval required)	4	294,500.
b	Cash distribution test (attach the required schedule)	5	414.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	6	294,086.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b		
6	Adjusted qualifying distributions. Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part.XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				88,238.
2 Undistributed income, if any, as of the end of 2008			0.	
a Enter amount for 2008 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005	3,896.			
c From 2006	5,230.			
d From 2007				
e From 2008	84,188.			
f Total of lines 3a through e	93,314.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 294,500.			0.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				88,238.
e Remaining amount distributed out of corpus	206,262.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	299,576.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	299,576.			
10 Analysis of line 9:				
a Excess from 2005	3,896.			
b Excess from 2006	5,230.			
c Excess from 2007				
d Excess from 2008	84,188.			
e Excess from 2009	206,262.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the Foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ►   
**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**GARY T DUIM**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number of the person to whom applications should be addressed:

**b. The form in which applications should be submitted and information and materials they should include:**

#### • Any submission deadlines:

**d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

## Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 12				
Total			► 3a	294,500.
b Approved for future payment				
NONE				
Total			► 3b	0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		(e) Related or exempt function income
		(a) Business code	(b) Amount	
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities				
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory				
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a				
b				
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e)			0.	<8,961.>
13 Total. Add line 12, columns (b), (d), and (e)				0.
			13	<8,961.>

(See worksheet in line 13 instructions to verify calculations.)

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

**a Transfers from the reporting foundation to a noncharitable exempt organization of:**

- (1) Cash
- (2) Other assets

**b Other transactions:**

**(1) Sales of assets to a noncharitable exempt organization**

**(2) Purchases of assets from a noncharitable exempt organization**

**(3) Rental of facilities, equipment, or other assets**

#### **(4) Reimbursement arrangements**

**(5) Loans or loan guarantees**

**(6) Performance of services or membership or fundraising solicitations**

**c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

[Sign Here](#)

► Larry S. Signature of officer or trustee

5/2/10  
Date

DIRECTOR

Date \_\_\_\_\_ Title \_\_\_\_\_

Sign Here Signature or name of preparer		Date	File No.
Paid Preparer's Use Only	Preparer's signature ► <i>Donald J. Miller</i>	Date, <i>9/23/10</i>	Check if self- employed ► <input type="checkbox"/>
Firm's name (or yours if self-employed), address, and ZIP code	PERRY, SHELTON, WALKER & ASSOC., P.L.L.C. 13555 SE 36TH ST, SUITE 260 BELLEVUE, WA 98006-1485		EIN ►
			Phone no. <b>425 562 6899</b>

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

**2009****Name of the organization****Employer identification number****DUIM FAMILY FOUNDATION****91-1872763****Organization type (check one):****Filers of:****Section:**

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation**Check if your organization is covered by the General Rule or a Special Rule.****Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

**DUIM FAMILY FOUNDATION**

Employer identification number

**91-1872763****Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	<b>DUIM CHARITABLE LEAD TRUST</b>  <b>8518 146TH PLACE SE</b>  <b>NEWCASTLE, WA 98059</b>	\$ <b>75,549.</b>	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II if there is a noncash contribution.)</small>

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
SCHWAB	14,504.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	14,504.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
SCHWAB	41,309.	1,234.	40,075.
TOTAL TO FM 990-PF, PART I, LN 4	41,309.	1,234.	40,075.

## FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LANE POWELL	324.	324.		0.
TO FM 990-PF, PG 1, LN 16A	324.	324.		0.

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PERRY, SHELTON, WALKER & ASSOCIATES, PLLC	3,000.	3,000.		0.
TO FORM 990-PF, PG 1, LN 16B	3,000.	3,000.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ALLISON SPIELMAN ADVISORS LLC	8,901.	8,901.		0.
TO FORM 990-PF, PG 1, LN 16C	8,901.	8,901.		0.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INCOME TAXES	889.	889.		0.
TO FORM 990-PF, PG 1, LN 18	889.	889.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WA STATE REGISTRATION FEES	35.	35.		0.
TO FORM 990-PF, PG 1, LN 23	35.	35.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
STOCKS	455,852.	452,950.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	455,852.	452,950.	

DUIM FAMILY FOUNDATION

91-1872763

FORM 990-PF

CORPORATE BONDS

STATEMENT 9

DESCRIPTION

FAIR MARKET  
BOOK VALUE VALUE

BONDS

349,806. 353,031.

TOTAL TO FORM 990-PF, PART II, LINE 10C

349,806. 353,031.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION

VALUATION  
METHOD BOOK VALUE FAIR MARKET  
VALUE

CERTIFICATE OF DEPOSIT

COST 99,775. 97,000.

MUTUAL FUNDS

COST 922,689. 906,859.

TOTAL TO FORM 990-PF, PART II, LINE 13

1,022,464. 1,003,859.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
GARY T DUIM 8518 146TH PL SE NEWCASTLE, WA 98059	PRESIDENT 4.00	0.	0.	0.
LINDA K DUIM 8518 146TH PL SE NEWCASTLE, WA 98059	VICE PRESIDENT 0.00	0.	0.	0.
DOUGLAS A DUIM 8518 146TH PL SE NEWCASTLE, WA 98059	SECRETARY/TREASURER 0.00	0.	0.	0.
RAE ANN DUIM 8518 146TH PL SE NEWCASTLE, WA 98059	DIRECTOR 0.00	0.	0.	0.
DEANNA K DUIM 8518 146TH PL SE NEWCASTLE, WA 98059	DIRECTOR 0.00	0.	0.	0.
DUANE M DUIM 8518 146TH PL SE NEWCASTLE, WA 98059	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 12

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
CHRISTIAN RADIO FOR RUSSIA	RELIEF	N/A	40,000.
MEDICAL TEAMS INTERNATIONAL	RELIEF	N/A	200,000.
VISION HOUSE	RELIEF	N/A	20,000.
VINE MAPLE	RELIEF	N/A	3,000.
COVENANT WOMEN	RELIEF	N/A	1,500.
OVERLAKE HOSPITAL	RELIEF	N/A	25,000.
NETWORK MINISTRIES PUGET SOUND	RELIEF	N/A	5,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			<u>294,500.</u>

**Realized Gains and Losses**  
Fiscal Year Ending 12/31/2009

Duin Family Foundation

**Realized Gains and Losses**

<u>Description</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Proceeds</u>	<u>Net Short Term Cost</u>	<u>Total Cost</u>	<u>Short Term Gains</u>	<u>Long Term Gains</u>	<u>Total Gains</u>
Applied Materials Inc	07/13/2001	08/13/2009	634,000	8,693.54		14,897.25		-6,203.71	-6,203.71
Applied Materials Inc	08/07/2001	08/13/2009	428,000	5,868.83		10,008.77		-4,139.94	-4,139.94
			1,062,000	14,562.37		24,906.02		-10,343.65	-10,343.65
Artio International Equity	01/07/2002	08/13/2009	16,917	465.56		331.39		134.17	134.17
Artio International Equity	05/17/2002	08/13/2009	2,192,021	60,324.42		50,000.00		10,324.42	10,324.42
Artio International Equity	12/27/2002	08/13/2009	35,744	983.67		695.22		288.45	288.45
Artio International Equity	06/09/2003	08/13/2009	693,481	19,084.60		15,000.00		4,084.60	4,084.60
Artio International Equity	12/29/2003	08/13/2009	36,478	1,003.87		953.16		50.71	50.71
Artio International Equity	12/29/2004	08/13/2009	22,805	627.59		715.15		-87.56	-87.56
Artio International Equity	12/29/2004	08/13/2009	32,134	884.33		1,007.73		-123.40	-123.40
Artio International Equity	12/29/2004	08/13/2009	42,617	1,172.82		1,336.47		-163.65	-163.65
			3,072,197	84,546.86		70,039.12		14,507.74	14,507.74
Capital Income Builder	12/22/2004	08/13/2009	2,216,312	100,000.00		117,132.09		-17,132.09	-17,132.09
Cisco Systems Inc	01/11/2001	08/13/2009	555,000	11,867.12		19,801.83		-7,934.71	-7,934.71
Cisco Systems Inc	07/13/2001	08/13/2009	530,000	11,332.57		10,015.15		1,317.42	1,317.42
			1,085,000	23,199.69		29,816.98		-6,617.29	-6,617.29
Dodge & Cox Stock Fund	12/09/2005	08/13/2009	655,887	57,307.37		91,600.00		-34,292.63	-34,292.63
Fed Hm Ln Mtg	08/23/2007	07/15/2009	50,000,000	50,000.00		49,877.06		122.94	122.94
07/15/2017 5.25%									
First Eagle SoGen Global A	10/01/2003	08/13/2009	1,183,634	43,983.84		37,166.10		6,817.74	6,817.74
First Eagle SoGen Global A	12/03/2003	08/13/2009	1,654	61.46		53.26		8.20	8.20
First Eagle SoGen Global A	12/03/2003	08/13/2009	24,804	921.72		798.95		122.77	122.77
First Eagle SoGen Global A	12/03/2003	08/13/2009	29,398	1,092.43		946.91		145.52	145.52
First Eagle SoGen Global A	12/09/2004	08/13/2009	5,940	220.73		223.11		-2.38	-2.38
First Eagle SoGen Global A	12/09/2004	08/13/2009	14,190	527.30		532.98		-5.68	-5.68
			1,259,620	46,807.48		39,721.31		7,086.17	7,086.17
Growth Fund Of America	06/09/2003	08/13/2009	715,990	17,763.71		15,000.00		2,763.71	2,763.71

**Realized Gains and Losses**  
Fiscal Year Ending 12/31/2009

Dunn Family Foundation

**Realized Gains and Losses**

<u>Description</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Net Proceeds</u>	<u>Short Term Cost</u>	<u>Total Cost</u>	<u>Short Term Gains</u>	<u>Long Term Gains</u>	<u>Total Gains</u>
Growth Fund Of America	12/12/2003	08/13/2009	0.600	14.89		14.18		0.71	0.71
Growth Fund Of America	12/10/2004	08/13/2009	2.759	68.45		73.02		-4.57	-4.57
Growth Fund Of America	12/08/2005	08/13/2009	1,610.825	39,964.57		50,000.00		-10,035.43	-10,035.43
Growth Fund Of America	10/20/2006	08/13/2009	1,778.532	44,125.38		58,442.55		-14,317.17	-14,317.17
			<u>4,108.706</u>	<u>101,937.00</u>		<u>123,529.75</u>		<u>-21,592.75</u>	<u>-21,592.75</u>
Intel Corp	12/15/1997	08/13/2009	600 000	11,319.35		5,409.37		5,909.98	5,909.98
Janus Orion Fund	10/19/2006	08/13/2009	7,294.497	62,805.61		67,911.77		-5,106.16	-5,106.16
Janus Orion Fund	12/15/2006	08/13/2009	16.781	144.48		167.14		-22.66	-22.66
Janus Orion Fund	12/14/2007	08/13/2009	24.240	208.71		308.09		-99.38	-99.38
Janus Orion Fund	12/12/2008	08/13/2009	72.469	623.96		437.71		186.25	186.25
Janus Orion Fund	06/24/2009	08/13/2009	14.060	121.06		105.73		15.33	15.33
			<u>7,422.047</u>	<u>63,903.82</u>		<u>543.44</u>		<u>5,228.20</u>	<u>5,228.20</u>
Matthews Japan Fund	01/17/2006	08/13/2009	641.368	6,920.37		12,000.00		-5,079.63	-5,079.63
Matthews Japan Fund	12/06/2007	08/13/2009	0.271	2.92		4.25		-1.33	-1.33
Matthews Japan Fund	12/06/2007	08/13/2009	36.962	398.82		580.68		-181.86	-181.86
Matthews Japan Fund	12/10/2008	08/13/2009	15.371	165.85		149.41		16.44	16.44
			<u>693.972</u>	<u>7,487.96</u>		<u>149.41</u>		<u>5,262.82</u>	<u>5,262.82</u>
Matthews Pacific Tiger	01/17/2006	08/13/2009	2,267.990	38,397.06		45,700.00		-7,302.94	-7,302.94
Matthews Pacific Tiger	12/06/2006	08/13/2009	8.591	145.45		199.58		-54.13	-54.13
Matthews Pacific Tiger	12/06/2006	08/13/2009	22.426	379.67		520.96		-141.29	-141.29
Matthews Pacific Tiger	12/06/2006	08/13/2009	45.936	777.70		1,067.09		-289.39	-289.39
Matthews Pacific Tiger	02/06/2007	08/13/2009	1,573.499	26,639.34		38,000.00		-11,360.66	-11,360.66
Matthews Pacific Tiger	12/06/2007	08/13/2009	8.767	148.43		251.17		-102.74	-102.74
Matthews Pacific Tiger	12/06/2007	08/13/2009	43.065	729.09		1,233.80		-504.71	-504.71
Matthews Pacific Tiger	12/06/2007	08/13/2009	487.023	8,245.30		13,953.22		-5,707.92	-5,707.92
Matthews Pacific Tiger	12/10/2008	08/13/2009	38.233	647.28		398.39		248.89	248.89
Matthews Pacific Tiger	12/10/2008	08/13/2009	127.247	2,154.29		1,325.91		828.38	828.38
Matthews Pacific Tiger	12/10/2008	08/13/2009	1,432.842	24,258.02		14,930.21		9,327.81	9,327.81
			<u>6,055.619</u>	<u>102,521.63</u>		<u>16,654.51</u>		<u>10,405.08</u>	<u>10,405.08</u>
						<u>117,580.33</u>		<u>-25,463.78</u>	<u>-25,463.78</u>
									<u>-15,058.70</u>

**Realized Gains and Losses**  
Fiscal Year Ending 12/31/2009

Duim Family Foundation

## Realized Gains and Losses

<u>Description</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Proceeds</u>	<u>Net Short Term Cost</u>	<u>Total Cost</u>	<u>Short Term Gains</u>	<u>Long Term Gains</u>	<u>Total Gains</u>
Merrill Lynch Var 02/13/2003 8.125%	01/25/2008	02/13/2009	50,000.000	50,000.00		50,025.00		-25.00	-25.00
Microsoft Corp	12/15/1997	08/13/2009	2,000 000	47,051.84		34,031.25		13,020.59	13,020.59
Oakmark International Fd	03/26/2003	08/13/2009	1,370.379	20,665.31		16,389.73		4,275.58	4,275.58
Oakmark International Fd	06/09/2003	08/13/2009	681 663	10,279.48		10,000.00		279.48	279.48
Oakmark International Fd	11/20/2003	08/13/2009	23 992	361 80		399.22		-37.42	-37.42
Oakmark International Fd	12/09/2004	08/13/2009	16 145	243 47		330.97		-87.50	-87.50
Oakmark International Fd	12/09/2004	08/13/2009	79 030	1,191.77		1,620.12		-428.35	-428.35
			2,171 209	32,741.83		28,740.04			
							28,740.04		
Schwab Value Advantage	05/15/2006	10/27/2009	35,000 000	35,000.00		35,000.00		0.00	0.00
Schwab Value Advantage	06/15/2006	10/27/2009	306 290	306.29		306.29		0.00	0.00
Schwab Value Advantage	07/17/2006	10/27/2009	147 490	147.49		147.49		0.00	0.00
Schwab Value Advantage	08/15/2006	10/27/2009	138 080	138.08		138.08		0.00	0.00
Schwab Value Advantage	09/15/2006	10/27/2009	149.230	149.23		149.23		0.00	0.00
Schwab Value Advantage	10/16/2006	10/27/2009	150 110	150.11		150.11		0.00	0.00
Schwab Value Advantage	11/15/2006	10/27/2009	145 650	145.65		145.65		0.00	0.00
Schwab Value Advantage	12/29/2006	10/27/2009	224.360	224.36		224.36		0.00	0.00
Schwab Value Advantage	01/16/2007	10/27/2009	78.250	78.25		78.25		0.00	0.00
Schwab Value Advantage	02/15/2007	10/27/2009	146 950	146.95		146.95		0.00	0.00
Schwab Value Advantage	03/15/2007	10/27/2009	137.650	137.65		137.65		0.00	0.00
Schwab Value Advantage	04/16/2007	10/27/2009	157.790	157.79		157.79		0.00	0.00
Schwab Value Advantage	05/15/2007	10/27/2009	143.350	143.35		143.35		0.00	0.00
Schwab Value Advantage	06/15/2007	10/27/2009	153.800	153.80		153.80		0.00	0.00
Schwab Value Advantage	07/16/2007	10/27/2009	154.720	154.72		154.72		0.00	0.00
Schwab Value Advantage	08/15/2007	10/27/2009	150.820	150.82		150.82		0.00	0.00
Schwab Value Advantage	09/17/2007	10/27/2009	170 040	170.04		170.04		0.00	0.00
Schwab Value Advantage	10/15/2007	10/27/2009	144 930	144.93		144.93		0.00	0.00
Schwab Value Advantage	11/15/2007	10/27/2009	154 590	154.59		154.59		0.00	0.00
Schwab Value Advantage	12/31/2007	10/27/2009	222 650	222.65		222.65		0.00	0.00
Schwab Value Advantage	01/15/2008	10/27/2009	71.570	71.57		71.57		0.00	0.00
Schwab Value Advantage	02/15/2008	10/27/2009	130 440	130.44		130.44		0.00	0.00
Schwab Value Advantage	03/17/2008	10/27/2009	110.090	110.09		110.09		0.00	0.00
Schwab Value Advantage	04/15/2008	10/27/2009	87.210	87.21		87.21		0.00	0.00

**Realized Gains and Losses**  
Fiscal Year Ending 12/31/2009

## Dunn Family Foundation

## Realized Gains and Losses

<u>Description</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Net Proceeds</u>	<u>Short Term Cost</u>	<u>Total Cost</u>	<u>Short Term Gains</u>	<u>Long Term Gains</u>	<u>Total Gains</u>
Schwab Value Advantage	05/15/2008	10/27/2009	79,420	79,42	79.42	79.42	0.00	0.00	0.00
Schwab Value Advantage	06/16/2008	10/27/2009	79,210	79,21	79.21	79.21	0.00	0.00	0.00
Schwab Value Advantage	07/15/2008	10/27/2009	69,820	69,82	69.82	69.82	0.00	0.00	0.00
Schwab Value Advantage	08/15/2008	10/27/2009	74,000	74,00	74.00	74.00	0.00	0.00	0.00
Schwab Value Advantage	09/15/2008	10/27/2009	75,730	75,73	75.73	75.73	0.00	0.00	0.00
Schwab Value Advantage	10/15/2008	10/27/2009	70,900	70,90	70.90	70.90	0.00	0.00	0.00
Schwab Value Advantage	11/17/2008	10/27/2009	66,390	66,39	66.39	66.39	0.00	0.00	0.00
Schwab Value Advantage	12/31/2008	10/27/2009	70,770	70,77	70.77	70.77	0.00	0.00	0.00
Schwab Value Advantage	01/15/2009	10/27/2009	18,780	18,78	18.78	18.78	0.00	0.00	0.00
Schwab Value Advantage	02/17/2009	10/27/2009	28,160	28,16	28.16	28.16	0.00	0.00	0.00
Schwab Value Advantage	03/16/2009	10/27/2009	14,380	14,38	14.38	14.38	0.00	0.00	0.00
Schwab Value Advantage	04/15/2009	10/27/2009	10,420	10,42	10.42	10.42	0.00	0.00	0.00
Schwab Value Advantage	05/15/2009	10/27/2009	9,120	9,12	9.12	9.12	0.00	0.00	0.00
Schwab Value Advantage	06/15/2009	10/27/2009	6,580	6,58	6.58	6.58	0.00	0.00	0.00
Schwab Value Advantage	07/15/2009	10/27/2009	3,290	3,29	3.29	3.29	0.00	0.00	0.00
Schwab Value Advantage	08/17/2009	10/27/2009	0,680	0,68	0.68	0.68	0.00	0.00	0.00
Schwab Value Advantage	09/15/2009	10/27/2009	0,290	0,29	0.29	0.29	0.00	0.00	0.00
Schwab Value Advantage	10/15/2009	10/27/2009	0,300	0,30	0.30	0.30	0.00	0.00	0.00
			39,154,300	39,154,30	229,16	39,154,30	0.00	0.00	0.00
Wasatch Core Growth Fund	12/31/2002	08/13/2009	604,157	15,043.51	15,019.35	15,019.35	24.16	24.16	0.00
Wasatch Core Growth Fund	12/31/2002	08/13/2009	1,398,935	34,833.49	37,333.59	37,333.59	-2,490.10	-2,490.10	0.00
			2,003,092	49,877.00	52,342.94	52,342.94	-2,465.94	-2,465.94	0.00
Wasatch Small Cap Value	12/31/2002	08/13/2009	9,631,679	25,235.00	33,036.66	33,036.66	-7,801.66	-7,801.66	0.00
Short Term Gains			28,199.62	17,576.52	17,576.52	17,576.52	10,623.10	10,623.10	0.00
Long Term Gains			879,453.88	971,030.48	971,030.48	971,030.48	-91,576.60	-91,576.60	0.00
Total (Sales)			907,653.50	938,607.00	10,623.10	10,623.10	-91,576.60	-91,576.60	-80,953.50

**Realized Gains and Losses**  
 Fiscal Year Ending 12/31/2009

Duim Family Foundation

**Capital Gain Distributions**

<u>Description</u>	<u>Pay Date</u>	<u>Total Cost</u>	<u>Long Term Gains</u>	<u>Total Gains</u>
PIMCO Foreign Bond	12/09/2009		390.37	390.37
PIMCO Mortgage-Backed S	12/09/2009		345.30	345.30
PIMCO Total Return Instl	12/09/2009		498.19	498.19
Total (Distributions)		1,233.86		1,233.86
<b>Total Gains</b>		<b>10,623.10</b>	<b>-90,342.74</b>	<b>-79,719.64</b>

*This information has been prepared by Allison Spielman Advisors from sources believed to be reliable but Allison Spielman Advisors does not make any representations as to its accuracy or completeness. This is for informational purposes only.*