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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

Ed & Mary Von Tobel Foundation

Number and street (or P O box number if mail is not delivered to street address)

1912 S. Maryland Parkway

Room/suite

City or town, state, and ZIP code

Las Vegas NV 89104

A Employer identification number

88-6003410

B Telephone number (see instructions)

(702) 733-7144

C If exempt application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85%
test, check here and attach computation ☐E If private foundation status was
terminated under section
507(b)(1)(A), check here ☐F If the foundation is in a 60-month
termination under section
507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of
year (from Part II, col (c), line 16)

▶ \$ 531,334

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses(The total of amounts in columns (b),
(c), & (d) may not necessarily equal the
amounts in column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)R
E
V
E
N
U
E

- 1 Contributions, gifts, grants, etc., received
(attach schedule)
- 2 Check ☒ if the foundation is **not** required
to attach Sch B
- 3 Interest on savings and temp cash investments
- 4 Dividends and interest from securities
- 5 a Gross rents
- b Net rental
income or (loss)
- 6 a Net gain/(loss) from sale of assets not on line 10
- b Gross sales price for
all assets on line 6a 174,536
- 7 Capital gain net income (from Part IV, line 2)
- 8 Net short-term capital gain
- 9 Income modifications
- 10 a Gross sales less
rets. & allowances 0
- b Less: Cost of
goods sold
- c Gross profit or (loss) (attach schedule)
- 11 Other income (attach schedule)
- 12 Total. Add lines 1 through 11

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- 13 Compensation of officers,
directors, trustees, etc.
- 14 Other employee salaries and wages
- 15 Pension plans, employee benefits
- 16 a Legal fees (attach schedule)
- b Accounting fees (attach schedule) #1
- c Other professional fees (attach schedule) #2
- 17 Interest
- 18 Taxes (attach schedule) (see instructions) #3
- 19 Depreciation (attach sch) and depletion
- 20 Occupancy
- 21 Travel, conferences, and meetings
- 22 Printing and publications
- 23 Other expenses (attach schedule) #4
- 24 Total operating and administrative
expenses. Add lines 13 through 23
- 25 Contributions, gifts, grants paid
- 26 Total exp. & disbursements. Add lines 24 and 25

- 27 Subtract line 26 from line 12.
- a Excess of revenue over expenses
and disbursements
- b Net investment income (if neg., enter -0-)
- c Adjusted net income (if neg., enter -0-)

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions.

Form **990-PF** (2009)

09 990PF1

TWF 33155

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20P

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	Cash -- non-interest-bearing	18,064	5,741	5,741
	2	Savings and temporary cash investments	37,758	15,453	15,453
	3	Accounts receivable ▶			
		Less allowance for doubtful accts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and bills receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments -- U.S. and state govt obligations (attach sch) #5	50,192	51,437	35,629
	b	Investments -- corporate stock (attach schedule) #6	446,641	422,102	421,442
	c	Investments -- corporate bonds (attach schedule) #7	31,532	34,085	53,069
	11	Investments -- land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments -- mortgage loans				
13	Investments -- other (attach schedule)				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers -- see the instructions. Also, see page 1, item I)	584,187	528,818	531,334	
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
FUND BALANCES	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, ck. here ▶ ☒				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	584,187	528,818	
30	Total net assets or fund balances (see the instructions)	584,187	528,818		
31	Total liabilities and net assets/fund balances (see the inst)	584,187	528,818		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year -- Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	584,187
2	Enter amount from Part I, line 27a	2	-55,369
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	528,818
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) -- Part II, column (b), line 30	6	528,818

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See attachment #8				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-38,038
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	-11,751

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

☒ Yes ☐ No

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beg. in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008			
2007			
2006			
2005			
2004			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period -- divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	176
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2		3	176
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	176
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	206	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	206	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	30	
11 Enter amount of line 10 to be Credited to 2010 estimated tax 30 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the yr? If "Yes," complete Part II, col (c), & Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ▶ NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ See attachment #9 Telephone no ▶ Located at ▶ ZIP+4 ▶			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -- Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? N/A ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ▶ 20 __, 20 __, 20 __, 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement -- see the instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __, 20 __, 20 __, 20 __		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here	☐ Yes ☒ No	5b		X
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	N/A ☐ Yes ☐ No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	☐ Yes ☒ No	6b		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see the instructions).**

(a) Name and address	(b) Title, and avg hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred comp	(e) Expense account, other allowances
See attachment #10				

2 Compensation of five highest-paid employees (other than those included on line 1 -- see the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and avg hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See the instructions	
3	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	467,180
b	Average of monthly cash balances	1b	21,730
c	Fair market value of all other assets (see the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	488,910
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	488,910
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see the instructions)	4	7,334
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	481,576
6	Minimum investment return. Enter 5% of line 5	6	24,079

Part XI Distributable Amount (see the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	24,079
2a	Tax on investment income for 2009 from Part VI, line 5	2a	176
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	176
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	23,903
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	23,903
6	Deduction from distributable amount (see the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	23,903

Part XII Qualifying Distributions (see the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	25,100
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	25,100
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	25,100

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				23,903
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004	5,117			
b From 2005	4,474			
c From 2006	208			
d From 2007				
e From 2008				
f Total of lines 3a through e	9,799			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 25,100				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required -- see the instructions)				
c Treated as distributions out of corpus (Election required -- see the instructions)				
d Applied to 2009 distributable amount				25,100
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	1,197			1,197
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	8,602			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount -- see the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount -- see the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see the instructions)	5,117			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	3,485			
10 Analysis of line 9				
a Excess from 2005	4,474			
b Excess from 2006	208			
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	1,197			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling N/A

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5) ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct exempt act					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test -- enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test -- enter 2/3 of min. investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test -- enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year -- see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See attachment #11				
Total			3a	25,100
b Approved for future payment				
Total			3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

S I G N H E R E U s e O n l y	Signature of officer or trustee <i>Margaret A. Cornell</i>		Date <i>5/17/10</i>	Title <i>Secretary</i>	
	Paid Preparer's Use Only	Preparer's signature <i>Randall L Scott</i>	Date <i>5/15/10</i>	Check if self-employed ☐	Preparer's identifying number (see Signature in the instructions) <i>P00703745</i>
	Firm's name (or yours if self-employed), address, and ZIP code	RANDALL L SCOTT CPA LLC 2620 REGATTA DR STE 102 LAS VEGAS, NV 89128		EIN	Phone no
				(702) 553-1041	

SCHEDULE OF ACCOUNTING FEES

Attachment 1: page 1 - 990-PF Page 1, Part I, Line 16b

Open to Public Inspection	For calendar year 2009, or tax period beginning , and ending .			
Name of Organization Ed & Mary Von Tobel Foundation			Employer Identification Number 88-6003410	
Accounting Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charity
Accounting fees	1,965	983	982	
Total	1,965	983	982	

SCHEDULE OF OTHER PROFESSIONAL FEES

Attachment 2: page 1 - 990-PF Page 1, Part I, Line 16c

Open to Public Inspection	For calendar year 2009, or tax period beginning , and ending			
Name of Organization Ed & Mary Von Tobel Foundation			Employer Identification Number 88-6003410	
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charity
Consulting & Advisory Services	6,894	6,894		
Total	6,894	6,894		

SCHEDULE OF TAXES PAID

Attachment 3: page 1 - 990- PF Page 1, Part I, Line 18

Open to Public Inspection	For calendar year 2009, or tax period beginning , and ending			
Name of Organization Ed & Mary Von Tobel Foundation			Employer Identification Number 88-6003410	
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charity
Foreign Taxes Paid	346	346		
Total	346	346		

OTHER EXPENSES SCHEDULE

Attachment 4: page 1 990-PF Page 1, Part I, Line 23

Open to Public Inspection	For calendar year 2009, or tax period beginning , and ending .			
Name of Organization Ed & Mary Von Tobel Foundation	Employer Identification Number 88-6003410			
Description of Activity	Revenue and Expenses	Net Investment Income	Adjusted Net Income	Disbursements for Charity
Bank charges	57	28	29	
Licenses	25	13	12	
Total	82	41	41	

SCHEDULE OF INVESTMENTS - GOVERNMENT OBLIGATIONS

Attachment 5: page 1 - 990-PF Page 2, Part II, line 10a

Open to Public Inspection	For calendar year 2009, or tax period beginning , and ending .			
Name of Organization Ed & Mary Von Tobel Foundation			Employer Identification Number 88-6003410	
Description	Cost	FMV at end of year	Book Value	Fair Market Value
U S Government Obligations			51,437	35,629
State and Municipal Government Obligations				
Total U S and State Government Obligations			51,437	35,629

SCHEDULE OF INVESTMENTS - CORPORATE STOCKS

Attachment 6: page 1 - 990-PF Page 2, Part II, Line 10b

Open to Public Inspection	For calendar year 2009, or tax period beginning , and ending .	
Name of Organization Ed & Mary Von Tobel Foundation		Employer Identification Number 88-6003410

Description of Property	Cost	FMV at Year End	Book Value	Fair Market Value
Smith Barney Acct 23732 (See Attached)			52,668	55,110
Smith Barney Acct 23733 (See Attached)			83,698	97,610
Smith Barney Acct 23734 (See Attached)			99,872	89,928
Smith Barney Acct 23735 (See Attached)			91,027	90,893
Smith Barney Acct 23737 (See Attached)			94,837	87,901
Total			422,102	421,442

SCHEDULE OF INVESTMENTS - CORPORATE BONDS

Attachment 7: page 1 - 990-PF Page 2, Part II, Line 10c

Open to Public Inspection	For calendar year 2009, or tax period beginning	, and ending
Name of Organization Ed & Mary Von Tobel Foundation		Employer Identification Number 88-6003410

Description of Property	Cost	FMV at Year End	Book Value	Fair Market Value
Smith Barney Acct 23735			34,085	53,069
Total			34,085	53,069

PART IV CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Attachment 8: page 1 - 990-PF Page 3, Part IV, line 1

Open to Public Inspection		For calendar year 2009 or tax period beginning , and ending		
Name of Organization Ed & Mary Von Tobel Foundation			Employer Identification Number 88-6003410	
	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1	Smith Barney Acct 23732 (See Attached)	P	01-01-2009	12-31-2009
2	Smith Barney Acct 23732 (See Attached)	P	01-01-2008	12-31-2009
3	Smith Barney Acct 23733 (See Attached)	P	01-01-2009	12-31-2009
4	Smith Barney Acct 23733 (See Attached)	P	01-01-2008	12-31-2009
5	Smith Barney Acct 23734 (See Attached)	P	01-01-2009	12-31-2009
6	Smith Barney Acct 23734 (See Attached)	P	01-01-2008	12-31-2009
7	Smith Barney Acct 23735 (See Attached)	P	01-01-2009	12-31-2009
8	Smith Barney Acct 23735 (See Attached)	P	01-01-2008	12-31-2009
9	Smith Barney Acct 23737 (See Attached)	P	01-01-2009	12-31-2009
10	Smith Barney Acct 23737 (See Attached)	P	01-01-2008	12-31-2009
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1	4,925		5,102	-177
2	8,515		13,057	-4,542
3	64,225		68,292	-4,067
4	19,431		25,252	-5,821
5	12,712		14,502	-1,790
6	18,251		23,682	-5,431
7	6,099		6,220	-121
8	15,757		15,429	328
9	10,211		15,807	-5,596
10	14,410		25,231	-10,821
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess if col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
1				-177
2				-4,542
3				-4,067
4				-5,821
5				-1,790
6				-5,431
7				-121
8				328
9				-5,596
10				-10,821

BOOKS ARE IN CARE OF

Attachment 9 - 990-PF Page 5, Part VII-A, Line 14

Open to Public Inspection	For calendar year 2009, or tax period beginning , and ending
---------------------------	--

Name of Organization Ed & Mary Von Tobel Foundation	Employer Identification Number 88-6003410
--	--

Part VII-A - Line 14

Individual Name Margaret Carnell
or
Business Name

Street Address 1912 S. Maryland Parkway

U S Address

Zip code 89104 City Las Vegas State NV

or

Foreign Address

City _____

Province or State _____

Country _____

Postal code _____

Phone Number (702) 733-7144

Fax Number _____

CURRENT OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

Attachment 10: page 1 - 990-PF Page 6, Part VIII

Open to Public Inspection	For calendar year 2009, or tax period beginning , and ending			
Name of Organization Ed & Mary Von Tobel Foundation			Employer Identification Number 88-6003410	
(A) Name and Address	(B) Title and Average Hrs per Week	(C) Compensation (If not paid, enter 0)	(D) Cont to Employee Ben Plans & Def Comp	(E) Expense Account & Other Allowances
William Von Tobel 5 Isleworth Dr Henderson, NV 89052	President	0	0	0
Sharon Schmitt 1932 Glenview Drive Las Vegas, NV 89134	Vice President	0	0	0
Margaret Carnell 3004 Via Venezia Henderson, NV 89052	Secretary/Tre	0	0	0
Julie Roach 1912 S. Maryland Parkway Las Vegas, NV 89104	Director	0	0	0

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Attachment 11: page 1 990-PF Page 11, Part XV Line 3a

Open to Public Inspection		For calendar year 2009, or tax period beginning , and ending		
Name of Organization				Employer Identification Number
Ed & Mary Von Tobel Foundation				88-6003410
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
UNLV Lied Library	None	Public	Education	
Las Vegas, NV				500
St Viator's School	None	Public	Education	
Las Vegas, NV				1,000
St Joseph's School	None	Public	Education	
Las Vegas, NV				1,000
St Francis de Sales School	None	Public	Education	
Las Vegas, NV				1,000
St Elizabeth Ann Seton	None	Public	Education	
Las Vegas, NV				1,000
St Christopher School	None	Public	Education	
Las Vegas, NV				1,000
St Anne's School	None	Public	Education	
Las Vegas, NV				1,000
Sisters of the Holy Family	None	Public	Religious	
Las Vegas, NV				500
Servants of the Paraclete	None	Public	Religious	
Las Vegas, NV				200
Salvation Army	None	Public	Homeless/Poor	
Las Vegas, NV				1,000
Our Lady of Las Vegas	None	Public	Education	
Las Vegas, NV				1,000
Newman Center	None	Public	Medical Research	
Las Vegas, NV				1,000
New Vista Ranch	None	Public	Education	
Las Vegas, NV				500
Las Vegas Rescue Mission	None	Public	Homeless/Poor	
Las Vegas, NV				500
Insight Center for the Blind	None	Public	Disability	
Las Vegas, NV				2,400
				13,600

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Attachment 11: page 2 990-PF Page 11, Part XV Line 3a

Open to Pu
Inspection

For calendar year 2009, or tax period beginning

, and ending

Name of Organization

Employer Identification Number

Ed & Mary Von Tobel Foundation

88-6003410

[illegible]

Ref: 00000454 00014069

ED & MARY VON TOBEL

Account Number 482-23734-13 067

Details of Earnings 2009 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
180005500	WELLS FARGO & CO NEW	\$ 3.90	\$ 3.90					
180005600	WYETH	39.00	39.00					
Totals		\$ 2,190.22	\$ 2,183.28					

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	3	AMERICAN ELECTRIC POWER CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/09	10/21/09	\$ 94.15	\$ 78.43		\$ 14.72
125000050	8 17 6 3	BANK NEW YORK MELLON CORP	07/15/08 09/11/08 10/03/08 04/08/09	05/14/09	222.22 472.22 166.66 83.33	279.53 653.94 197.59 82.38	(57.31) (181.72) (30.93)	95
125000060	16	BEST BUY INC	04/01/08	03/19/09	528.76	689.92	(161.16)	
125000070	3	BEST BUY INC	04/01/08	03/27/09	112.36	129.35	(17.00)	
125000080	4 11 6 21	BEST BUY INC	06/18/08 07/02/08 08/20/08 11/12/08	04/08/09	157.41 432.87 236.11 826.39	171.86 439.85 263.28 474.33	(14.45) (6.98) (27.17)	352.06
125000100	19	CAMPBELL SOUP CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	10/13/09	616.14	547.34		68.80
125000130	5	CHEVRON CORP	04/01/08	03/27/09	343.07	430.35	(87.28)	
125000140	100 11	CITIGROUP INC	04/01/08 09/19/08	07/13/09	541.90 59.61	2,335.99 226.82	(1,794.09) (167.21)	



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref. 00000454 00014070

ED & MARY VON TOBEL

Account Number 482-23734-13 067

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000200	1	INTERNATIONAL PAPER CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/09	10/29/09	\$ 22.87	\$ 7.12		\$ 15.75
125000230	70	JUNIPER NETWORKS INC	04/22/08	04/08/09	1,222.83	1,730.68	(507.83)	
125000240	42	KOHL'S CORP	04/01/08	03/11/09	1,559.78	1,922.76	(362.98)	
	5		10/31/08		185.69	170.91		14.78
125000320	1	NIKE INC CL B Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/11/09	09/18/09	55.33	42.34		12.99
125000330	6	NIKE INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/11/09	12/17/09	383.46	254.06		129.40
125000390	4	PNC FINANCIAL SERVICES GROUP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/28/09	09/21/09	180.97	188.01		12.96
125000410	2	PARKER-HANNIFIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/09	11/17/09	112.58	73.00		39.58
125000440	.0975	PFIZER INC MERGER 10/15/09 983024100000	10/16/09	10/16/09	1.74	1.72		.02
125000450	19	ST JUDE MEDICAL INC	04/01/08	02/24/09	702.13	827.26	(125.13)	
125000480	5.3334	TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/11/09	12/09/09	164.93	96.68		68.25
	9		04/08/09		278.31	196.60		81.71
125000490	.5259	TIME WARNER CABLE INC .064 CASH IN LIEU OF .80959 .0183 RECORD 03/12/09 PAY 03/27/09 1281 FROM. 887317105000 (SPINOFF) .0732	04/07/08	03/30/09	14.39	22.85	(8.46)	
			04/24/08		1.75	2.86	(1.11)	
			07/02/08		50	80	(30)	
			10/31/08		3.50	3.81	(.31)	
			03/11/09		2.01	1.74		.27
125000500	3164	TIME WARNER CABLE INC	07/02/08	05/28/09	10.12	13.76	(3.64)	
	2.2147		10/31/08		70.83	65.98		4.85
	1.2656		03/11/09		40.47	30.11		10.36



2009 YEAR END SUMMARY

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000454 00014071

ED & MARY VON TOBEL

Account Number 482-23734-13 067

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000530	7	VALERO ENERGY CORP-NEW	03/12/09	09/16/09	\$ 132.98	\$ 119.58		\$ 13.40
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/08/09		18.99	20.14	(1.15)	
125000550	10	WELLS FARGO & CO NEW	02/24/09	05/14/09	244.52	130.77		113.75
125000560	5	WELLS FARGO & CO NEW	02/24/09	05/28/09	116.67	65.38		51.29
125000570	39	WELLS FARGO & CO NEW	02/24/09	07/01/09	949.69	510.00		439.69
	21	Morgan Stanley Sml	03/12/09		511.37	256.07		255.30
	3	th Barney LLC acted as yo ur agent in this transa	04/08/09		73.05	44.36		28.69
125000580	15	WYETH	04/01/08	01/23/09	656.39	635.63		20.76
125000590	2	WYETH	04/08/09	10/16/09	100.52	84.80		15.72
		TAXABLE/MERGER: \$17.66 PER/SHR OF PFIZER INCLUDED IN PROCEEDS						
Total					\$ 12,711.57	\$ 14,501.73	(\$ 3,556.21)	\$ 1,766.05

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	3	AT&T INC	04/01/08	04/08/09	\$ 75.96	\$ 117.44	(\$ 41.48)	
125000020	26	AMERICAN ELECTRIC POWER CO INC	07/07/08	10/21/09	815.95	1,025.81	(209.86)	
	21	MorganStanley SmithBarney LLC	08/20/08		659.04	812.31	(153.27)	
	21	acted as your agent in this transaction	09/09/08		659.04	811.75	(152.71)	
125000030	1	BAKER HUGHES INC	04/01/08	04/08/09	29.52	70.10	(40.58)	
125000040	6	BANK OF AMERICA CORP	04/01/08	04/08/09	42.47	239.98	(197.51)	
125000050	33	BANK NEW YORK MELLON CORP	04/01/08	05/14/09	916.06	1,438.71	(522.65)	
125000080	6	BEST BUY INC	04/01/08	04/08/09	236.11	258.72	(22.61)	



Ref: 00000454 00014072

ED & MARY VON TOBEL

Account Number 482-23734-13 067

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000090	16	CAMPBELL SOUP CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/01/08	09/16/09	\$ 515.47	\$ 546.53	(\$ 31.06)	
125000100	21	CAMPBELL SOUP CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/01/08	10/13/09	680.99	717.31	(36.32)	
125000110	2	CATERPILLAR INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/01/08	09/04/09	90.77	158.55	(67.78)	
125000120	4	CATERPILLAR INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/01/08	11/20/09	229.65	317.11	(87.26)	
125000150	1	CONOCOPHILLIPS	04/01/08	04/08/09	39.20	77.18	(37.98)	
125000160	23	FOREST LABORATORIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/01/08	07/13/09	539.72	931.50	(391.78)	
125000170	1	FREPORT MCMORAN COPPER & GOLD CL B	04/01/08	04/08/09	40.50	96.51	(56.01)	
125000180	15	INTEL CORP	04/01/08	04/08/09	228.21	326.98	(98.77)	
125000190	3	INTEL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/01/08	07/13/09	48.29	65.40	(17.11)	
125000200	35	INTERNATIONAL PAPER CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/01/08	10/29/09	800.29	978.16	(177.87)	
125000210	11	JPMORGAN CHASE & CO	04/01/08	05/28/09	387.70	503.74	(116.04)	
125000220	2	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/01/08	11/12/09	87.31	91.59	(4.28)	
125000250	2	MARATHON OIL CORP	04/01/08	04/08/09	56.57	90.76	(34.19)	

2009 YEAR END SUMMARY



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000454 00014073

ED & MARY VON TOBEL

Account Number 482-23734-13 067

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000260	2	METLIFE INC	04/01/08	04/08/09	\$ 49.08	\$ 123.96	(\$ 74.88)	
125000270	2	METLIFE INC	04/01/08	05/28/09	59.65	123.96	(64.31)	
125000280	7	MORGAN STANLEY	04/01/08	04/02/09	165.00	334.74	(169.74)	
125000290	2	MORGAN STANLEY	04/01/08	04/08/09	44.78	95.64	(50.85)	
125000300	37	MOTOROLA INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	09/15/09	332.99	349.65	(16.66)	
125000310	46	MOTOROLA INC DE Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/01/08	12/09/09	385.88	434.69	(48.81)	
125000340	13	ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	06/03/09	264.16	263.36		.80
125000350	12	ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	07/13/09	243.95	243.10		.85
125000360	9	ORACLE CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/01/08	12/17/09	207.36	182.33		25.03
125000370	3	PNC FINANCIAL SERVICES GROUP	04/01/08	04/08/09	93.90	204.78	(110.88)	
125000380	15	PNC FINANCIAL SERVICES GROUP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	07/17/09	580.53	1,023.90	(443.37)	
125000390	17	PNC FINANCIAL SERVICES GROUP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	09/21/09	769.12	1,160.42	(391.30)	
125000400	12	PARKER-HANNIFIN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	09/04/09	577.53	868.08	(290.55)	

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ED & MARY VON TOBEL

Account Number 482-23734-13 067

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000410	22	PARKER-HANNIFIN CORP	04/01/08	11/17/09	\$ 1,238.43	\$ 1,591.48	(\$ 353.05)	
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/03/08		168.88	154.18		14.70
125000420	2	PFIZER INC	04/01/08	04/08/09	26.89	42.32	(15.43)	
125000430	3	PFIZER INC	04/01/08	07/13/09	43.01	63.47	(20.46)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000440	2425	PFIZER INC	04/01/08	10/16/09	4 33	5.12	(79)	
		MERGER 10/15/09 983024100000						
125000460	4	ST JUDE MEDICAL INC	04/01/08	06/03/09	161.44	174.16	(12.72)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000470	7	ST JUDE MEDICAL INC	04/01/08	07/13/09	272.60	304.78	(32.18)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000480	38.3333	TIME WARNER INC	04/07/08	12/09/09	1,185.40	1,268.98	(83.58)	
	4.6667	MorganStanley SmithBarney LLC	04/24/08		144.31	158.60	(14.29)	
	1.3333	acted as your agent	07/02/08		41.23	44.20	(2.97)	
	9.3333	In this transaction.	10/31/08		288.62	211.83		76.79
125000500	9.096	TIME WARNER CABLE INC	04/07/08	05/28/09	290.90	395.26	(104.36)	
	1.1073		04/24/08		35.41	49.40	(13.99)	
125000510	6	TRAVELERS COMPANIES INC	10/29/08	11/12/09	322.25	241.18		81.07
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000520	3	V F CORP	04/01/08	09/16/09	215.87	236.90	(21.03)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000530	36	VALERO ENERGY CORP-NEW	05/22/08	09/16/09	683.88	1,800.77	(1,116.89)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000540	2	VERIZON COMMUNICATIONS	04/01/08	04/08/09	63.13	74.92	(11.79)	

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ED & MARY VON TOBEL

Account Number 482-23734-13 067

Details of Long Term Gain (Loss) 2009 --continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000590	42	WYETH TAXABLE/MERGER- \$17.66 PER/SHR OF PFIZER INCLUDED IN PROCEEDS	04/01/08	10/16/09	\$ 2,110.87	\$ 1,779.76		\$ 331.11
Total					* \$ 18,250.71	\$ 23,682.06	(\$ 5,861.70)	\$ 530.35

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		\$ 364.41
220000300	07/17/09	CONSULTING & ADVISORY SERVICES FROM 07/01/09 TO 09/30/09		386.37
Total				\$ 1,519.56

Reference number	Date	Description	Referral number	Amount
220000200	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		\$ 328.94
220000400	10/16/09	CONSULTING & ADVISORY SERVICES FROM 10/01/09 TO 12/31/09		439.84

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ED & MARY VON TOBEL

Account Number 482-23735-12 067

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000010	399.253	PIA MBS BOND FUND CONFIRM #007055353	04/01/08	01/28/09	\$ 3,940.63	\$ 4,112.31 \$ 4,112.31	(\$ 171.68) (\$ 171.68)	\$ 0.00 \$ 0.00
125000050	2,000	U S TREASURY NOTES SER E-2011 DTD 02/28/2006 DUE 02/28/2011 RATE 4.500 YIELD .708MTY	04/01/08	01/21/09	2,157.96	2,148.13 2,107.78	9.83 50.18	0.00 50.18
Total					¥ \$ 6,098.59	\$ 6,260.44 \$ 6,220.09	(\$ 161.85) (\$ 121.50)	\$ 0.00 \$ 50.18

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000020	501.934	PIA MBS BOND FUND CONFIRM #007058034	04/01/08	05/05/09	\$ 4,994.24	\$ 5,169.92 \$ 5,169.92	(\$ 175.68) (\$ 175.68)	\$ 0.00 \$ 0.00
125000030	5,000	FEDERAL HOME LOAN MTG CORP NOTES GLOBAL BOOK/ENTRY DTD 9/22/00 DUE 09/15/2010 RATE 6.875	04/01/08	07/23/09	5,350.53	5,509.38 5,241.50	(158.85) 109.03	0.00 109.03
125000040	5,000	GLAXOSMITHKLINE CAP DTD 05/13/2008 DUE 05/15/2013 RATE 4.850 YTM 2.316	05/07/08	12/14/09	5,412.80	5,026.00 5,018.15	386.80 394.65	0.00 394.65
Total					¥ \$ 15,757.57	\$ 15,705.30 \$ 15,429.57	\$ 52.27 \$ 328.00	\$ 0.00 \$ 503.68



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ED & MARY VON TOBEL

Account Number 482-23737-10 067

Details of Earnings 2009 continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160004700	*** TOTAL S.A SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 24.58				
160005000	*** ZURICH FINCL SVCS SPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		12.22				
Totals			\$ 338.86				

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	150	AEGON N V-ADR AMER REG-ADR	04/01/08	02/12/09	\$ 784.78	\$ 2,284.97	(\$ 1,520.19)	
125000020	35	AKZO NOBEL N.V-ADR-EUR	04/01/08	03/27/09	1,365.17	2,768.45	(1,403.28)	
125000030	70	ANGLO AMERICAN PLC ADR	08/14/08	05/28/09	933.71	1,904.71	(971.00)	18.95
	50		01/07/09		666.93	647.98		40.57
125000040	70	AXA S.A.SPONS ADR SALE OF RTS ON 70,0000 SHS RECORD 11/13/09 PAY 12/04/09	11/13/09	11/13/09	40.58	.01		
125000060	35	BNP PARIBAS SPON ADR SALE OF RTS ON 35,0000 SHS RECORD 11/03/09 PAY 11/03/09	11/02/09	11/02/09	33.77	.01		33.76
125000070	50	BT GROUP PLC ADR-USD	04/01/08	02/20/09	620.29	2,209.64	(1,589.35)	
125000090	.7724	BRASIL TELECOM S A SPONSORED ADR REPSTG PFD SHS MERGER 11/16/09 105530109001	11/24/09	11/24/09	24.47	23.46		1.01
125000100	6205	BRASIL TELECOM SA ADR MERGER 11/16/09 105530109001	11/24/09	11/24/09	9.93	9.94	(.01)	



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ED & MARY VON TOBEL

Account Number 482-23737-10 067

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000120	75	CRH PLC ADR-USD SALE OF RTS ON 75 0000 SHS	03/16/09	03/16/09	\$ 125.58	\$.01		\$ 125.57
125000150	40	CARNIVAL PLC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	12/09/09	1,342.28	1,110.43		231.85
125000160	105	CHINA UNICOM HONG KONG LTD SPONSORED ADR Morgan Stanley Sml th Barney LLC acted as yo	03/27/09	07/10/09	1,435.77	1,180.29		255.48
125000170	60	COMMERZBANK A G SPONSORED ADR	04/01/08	01/09/09	378.27	1,985.13	(1,606.86)	
125000180	50	DBS GROUP HLDG LTD SP ADR SALE OF RTS ON 50.0000 SHS RECORD 01/29/09 PAY 02/05/09	01/29/09	01/29/09	195.17	01		195.16
125000190	140	DANSKE BAN A/S SPONS ADR	10/16/08	02/26/09	460.70	1,293.38	(832.68)	
125000200	45	INFOSYS TECHNOLOGIE SP ADR	04/01/08	02/18/09	1,127.84	1,652.54	(524.70)	
125000220	40	LAFARGE SPONS ADR NEW LAFARGE COPPEE SALE OF RTS ON 40 0000 SHS RECORD 04/27/09 PAY 05/04/09	04/27/09	04/27/09	65.14	.01		65.13
125000240	60	MARKS & SPENCER GROUP PLC SPONSORED ADR	12/22/08	04/30/09	596.66	392.60		204.06
125000250	20	NIPPON TEL & TEL SPON ADR	04/01/08	02/09/09	459.71	441.26		18.45
125000290	90	NIPPON YUSEN KABUSHIKI KAISHA-JPY SPONS ADR MorganStanley SmithBarney LLC acted as your agent	11/19/08	10/02/09	675.43	858.69	(183.26)	
	20		12/17/08		150.09	243.80	(93.71)	
125000300	85	NOKIA CORP SPONSORED ADR	06/17/08	01/28/09	1,098.08	2,179.41	(1,081.33)	
125000310	45	NORSK HYDRO A S SPONS ADR	04/01/08	03/10/09	141.64	644.25	(502.61)	
	105		05/06/08		330.49	1,699.74	(1,369.25)	
125000320	50	REXAM PLC SPONS ADR -NEW-	08/05/09	08/05/09	118.08	01		118.07
125000330	20	SALE OF RTS ON 50 0000 SHS RECORD 08/05/09 PAY 08/26/09 RICOH CO LTD ADR-NEW	04/01/08	01/16/09	1,249.67	1,700.00	(450.39)	
Total					\$ 14,410.17	\$ 25,230.73	(\$ 12,128.62)	\$ 1,308.06



MorganStanley SmithBarney

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ED & MARY VON TOBEL

Account Number 482-23737-10 067

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	5	BASF SE COMMON STOCK MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/01/08	11/12/09	\$ 292.83	\$ 340.58	(\$ 47.75)	
125000080	50	BANCO SANTANDER S A MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/01/08	10/02/09	763.72	1,019.45	(255.73)	
125000110	17	BRASIL TELECOM PARTICIPACO SA SPONSORED ADR	04/03/08	11/24/09	1,017.03	1,303.16	(286.13)	
125000130	49	CRH PLC ADR-USD	04/01/08	05/11/09	1,159.38	1,884.82	(725.44)	
125000140	26	CRH PLC ADR-USD	04/01/08	05/12/09	629.79	1,000.11	(370.32)	
125000210	55	ING GROEP NV SPONS ADR	04/01/08	04/14/09	470.38	2,114.24	(1,643.86)	
125000230	20	LAFARGE SPONS ADR NEW LAFARGE COPPEE MorganStanley SmithBarney LLC acted as your agent	04/01/08	11/13/09	428.08	875.41	(447.33)	
125000260	16	NIPPON TEL & TEL SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	09/14/09	379.00	353.01		25.99
125000270	30	NIPPON TEL & TEL SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	09/15/09	696.43	661.90		34.53
125000280	19	NIPPON TEL & TEL SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	09/16/09	437.03	419.20		17.83
125000340	5	ROLLS ROYCE GROUP PLC SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	07/24/08	11/12/09	192.54	182.41		10.13

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ED & MARY VON TOBEL

Account Number 482-23737-10 067

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000350	5	TNT N V SPONSORED ADR-USD CASH IN LIEU OF .50000 RECORD 04/13/09 PAY 04/28/09	04/01/08	04/28/09	\$ 9.21	\$ 18.16	(\$ 8.95)	
125000360	5	TELEFONICA S.A. SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/02/08	08/03/09	378.16	403.69	(30.53)	
125000370	15	TELENOR ASA ADR REPSTG MorganStanley SmithBarney LLC acted as your agent	04/01/08	11/05/09	596.89	884.94	(288.05)	
125000380	110	VALEO SPONS ADR -USD-	04/01/08	04/23/09	1,023.36	2,064.79	(1,041.43)	
125000390	75	VODAFONE GROUP PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	04/01/08	11/25/09	1,737.15	2,275.65	(538.50)	
Total					\$ 10,210.98	\$ 15,806.52	(\$ 5,684.02)	\$ 88.48

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ED & MARY VON TOBEL

Account Number 482-23732-15 067

Details of Earnings 2009 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
1600022000	TECHNE CORP	\$ 28 31	\$ 28 31					
1600023000	TELEFLEX INC	59 84	59 84					
1600024000	JOHN WILEY & SONS INC CL A	28 08						
1600025000	WORLD FUEL SVCS CORP	11 83	11 83					
Totals		\$ 880.25	\$ 602.03					

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
1600000100	ARES CAPITAL CORP A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 23.10					
Totals		\$ 23.10					

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
1250000020	38	CERADYNE INC-CALIF	04/01/08	03/06/09	\$ 552.29	\$ 1,322.78	(\$ 770.49)	
1250000030	11	CHURCH & DWIGHT CO INC	04/01/08	03/11/09	\$ 20 83	603 29	(88 46)	
1250000080	21	MATTHEWS INTL CORP CL A	12/09/08	11/05/09	779 88	858 56	(78.68)	
MorganStanley SmithBarney LLC acted as your agent in this transaction.								



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ED & MARY VON TOBEL

Account Number 482-23732-15 067

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000090	18	NATCO GROUP INC CLASS A	09/03/08	08/24/09	\$ 8'9 86	\$ 883 53	(\$ 63 57)	
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/09/08		215 78	219 18	(3 40)	
125000100	33	NATCO GROUP INC CLASS A	03/13/09	11/04/09	1,513.23	608 12		905.11
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000130	12	TELEFLEX INC	04/01/08	03/11/09	523 42	000 84	(77 42)	
Total					\$ 4,925 39	\$ 5,102 30	(\$ 1,082 02)	\$ 605 11

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	79	ARES CAPITAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/08	11/25/09	\$ 941.52	\$ 778.57		\$ 162 95
125000040	26	DONALDSON CO INC	04/01/08	05/13/09	938.28	1 073 02	(234 74)	
125000050	44	EXTERRAN HOLDINGS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/01/08	08/24/09	786 47	2,824 80	(2,038.33)	
125000070	42	MEDNAX INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/01/08	09/01/09	1,719 71	2,880 18	(1,169 47)	
125000080	38	MINE SAFETY APPLIANCE CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/01/08	09/11/09	1,091 90	1,546 50	(554 51)	



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ED & MARY VON TOBEL

Account Number 482-23732-15 067

Details of Long Term Gain (Loss) 2009 -continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	16	NATCO GROUP INC CLASS A MorganStanley SmithBarney LLC acted as your agent	09/09/08	11/04/09	\$ 733.68	\$ 701.38		\$ 32.30
		in this transaction						
125000110	78	NEUSTAR INC CL A	04/01/08	05/08/09	1,504.17	2,028.00	(523.83)	
125000120	48	RPM INTL INC (DE) MorganStanley SmithBarney LLC acted as your agent	06/20/08	12/21/09	959.52	1,175.18	(215.66)	
		in this transaction						
Total					\$ 8,515.34	\$ 13,068.63	(\$ 4,738.54)	\$ 185.25

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount
2200000100	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		\$ 216.15
2200000300	07/17/09	CONSULTING & ADVISORY SERVICES FROM 07/01/08 TO 09/30/09		234.37
Total				\$ 811.78

Reference number	Date	Description	Referral number	Amount
2200000200	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/08 TO 06/30/09		\$ 198.82
2200000400	10/16/09	CONSULTING & ADVISORY SERVICES FROM 10/01/09 TO 12/31/09		264.44

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ED & MARY VON TOBEL
Account Number 482-23733-14 067

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	Loss	Gain
125000010	16	COVIDIEN LTD	05/09/08	04/02/09	\$ 528.99	\$ 782.55	(\$ 252.56)	
125000020	11		07/09/08		364.37	527.47	(163.10)	
125000030	22	COVIDIEN PLC DUBLIN-USD	05/14/08	07/23/09	786.90	789.78	(2.88)	
125000030	2	TRANSOCEAN LTD SWITZERLAND NEW	05/27/09	10/13/09	175.75	152.86		22.89
125000040	35	ASML HLDG NV NEW YORK REG CGMI AND/OR ITS AFFILIATES	08/07/09	10/20/09	1,028.18	900.16		128.02
125000050	53	ABB LTD SPONS ADR	03/26/08	05/13/09	767.71	759.52		8.19
125000060	4257	AOL INC	05/04/09	12/10/09	9.84	7.44		2.40
	3015	CASH IN LIEU OF 72727 RECORD 11/27/09 PAY 12/09/09 FROM 887317303000 (MERGER)	05/13/09		6.97	5.27		1.70
125000070	1,7561	AOL INC	05/04/09	12/28/09	42.05	30.70		11.35
	1,2439		05/13/09		29.78	21.75		8.03
125000080	24	ADOBE SYSTEMS INC (DE)	04/02/08	01/13/09	531.18	877.90	(346.72)	
	6		09/18/08		132.80	229.03	(96.83)	
125000090	40	AETNA INC NEW	01/08/09	07/21/09	984.70	1,176.62	(191.92)	
	12		01/28/09		295.41	408.95	(111.54)	
125000100	5	AMAZON COM INC	12/04/08	03/27/09	355.84	245.00		110.84
125000110	5	AMAZON COM INC CGMI AND/OR ITS AFFILIATES	12/04/08	09/02/09	391.49	745.00		146.49
125000140	6	AUGEN INC	06/29/09	11/25/09	341.99	318.12		23.87
125000150	13	CGMI AND/OR ITS AFFILIATES						
	13	APOLLO GROUP INC CL A	01/15/09	03/23/09	1,012.98	1,140.62	(127.64)	
125000160	13	APOLLO GROUP INC CL A CGMI AND/OR ITS AFFILIATES	05/14/09	06/07/09	791.29	815.09	(23.80)	
125000210	18	BHP BILLITON LTD SPONS ADR	02/16/09	04/09/09	818.64	799.75		18.89
125000220	14	BHP BILLITON LTD SPONS ADR	07/22/09	10/20/09	948.20	678.08		118.21

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Account Number 482-23733-14 067

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000230	18	BOEING CO TRADE AS OF 07/07/09	06/01/09	07/07/09	\$ 639.12	\$ 764.07	(\$ 124.95)	
125000240	21	BRISTOL MYERS SQUIBB CO	11/14/08	07/20/09	413.84	418.52	(4.68)	
125000250	9	BRISTOL MYERS SQUIBB CO	11/14/08	07/21/09	180.19	179.36		83
	25	TRADE AS OF 07/21/09	02/25/09		500.52	519.78	(19.26)	
125000260	14	BROADCOM CORP CL A	05/28/09	10/12/09	421.91	349.95		71.96
		CGMI AND/OR ITS AFFILIATES						
125000270	3	CME GROUP INC CLASS A	05/28/09	07/22/09	832.32	958.85	(126.53)	
		CGMI AND/OR ITS AFFILIATES						
125000280	1	CNOOC LTD SPONS ADR	04/14/09	08/17/09	122.49	112.65		9.84
125000290	7	CNOOC LTD SPONS ADR	04/14/09	06/29/09	882.67	788.55		94.12
125000300	13	CVS CAREMARK CORP	05/04/09	11/11/09	390.10	412.84	(22.74)	
	12		05/06/09		360.09	387.86	(27.77)	
	13		07/24/09		390.10	442.75	(52.65)	
125000310	40	CA INC	03/03/09	05/28/09	688.26	673.06		15.20
125000320	21	CANADIAN NATL RAILWAY CO	04/09/09	05/13/09	804.18	840.51	(36.33)	
125000330	8	CELGENE CORP CGMI AND/OR ITS AFFILIATES	05/28/09	07/20/09	373.02	334.96		38.06
125000340	11	CELGENE CORP CGMI AND/OR ITS AFFILIATES	05/28/09	09/18/09	582.78	460.58		122.18
125000350	18	CHUBB CORP	09/22/08	05/04/09	608.57	1031.25	(332.68)	
	4	FULL PRICE IS 38.81085000	09/24/08		155.24	201.10	(45.86)	
125000410	2	COLGATE PALMOLIVE CO	10/07/08	07/20/09	147.13	147.73	(.60)	
125000420	13	COSTCO WHOLESALE CORP NEW	07/30/08	04/20/09	579.44	820.04	(240.60)	
	3		08/07/08		133.72	198.28	(64.56)	
	3		10/07/08		133.71	179.84	(46.13)	
125000430	8	CUMMINS INC	04/23/09	05/14/09	239.79	249.75	(9.96)	
125000440	7	CUMMINS INC	04/23/09	09/21/09	311.23	278.54		32.69
125000460	2	DEERE & CO	08/11/08	05/14/09	81.67	129.70	(48.03)	
125000470	7	DEERE & CO	08/13/08	05/22/09	304.11	453.94	(149.83)	
	11		03/23/09		477.88	376.45		101.43
125000480	12	EMERSON ELECTRIC CO	04/09/09	08/04/09	420.03	382.45		37.58
125000510	17	EXXON MOBIL CORP	10/31/08	04/13/09	1,158.24	1,283.55	(125.31)	
	4		11/13/08		272.53	287.93	(15.40)	
	4		11/21/08		272.53	285.29	(12.76)	



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Account Number 482-23733-14 067

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000530	8	FREEMONT MCMORAN COPPER & GOLD	02/05/09	04/07/09	\$ 330.64	\$ 228.81		\$ 101.83
		CL B						
125000540	8	FREEMONT MCMORAN COPPER & GOLD	02/05/09	05/13/09	374.09	228.81		145.28
		CL B						
TRADE AS OF 05/13/09								
125000550	12	FREEMONT MCMORAN COPPER & GOLD	02/05/09	07/07/09	549.89	343.21		206.68
	10	CL B	02/06/09		458.24	298.51		159.73
	4		05/01/09		183.29	171.85		11.44
125000560	14	GENENTECH INC	04/02/08	03/12/09	1,316.27	1,127.70		188.57
	2		11/13/08		188.04	161.98		26.06
125000570	15	GENERAL MILLS INC	03/27/09	07/21/09	881.82	703.47		118.35
	8		05/13/09		470.31	427.12		43.19
125000580	5	GENZYME CORP	05/19/08	03/03/09	258.30	342.60	(84.36)	
125000590	16	GENZYME CORP	05/19/08	05/01/09	849.31	1,096.52	(247.21)	
125000600	17	GILEAD SCIENCES INC	04/02/08	02/05/09	878.44	887.03	(8.59)	
125000610	7	GILEAD SCIENCES INC	04/02/08	02/13/09	354.63	365.24	(10.61)	
125000640	3	GOLDMAN SACHS GROUP INC	03/13/09	07/09/09	412.88	290.93		121.95
125000650	4	GOLDMAN SACHS GROUP INC	04/02/09	11/02/09	664.59	387.80		276.69
	4		04/30/09		168.15	456.02		208.57
	1					128.10		38.05
125000660	10	HESS CORP	06/02/09	06/17/09	535.85	670.48	(134.63)	
125000670	20	HEWLETT PACKARD CO	06/06/08	02/24/09	580.17	957.78	(377.62)	
TRADE AS OF 02/24/09								
125000680	13	HEWLETT PACKARD CO	06/06/08	05/08/09	434.76	622.56	(187.80)	
125000690	24	HONEYWELL INTL INC	09/14/09	12/14/09	991.22	920.26		61.96
125000700	21	INTEL CORP	12/08/08	01/23/09	273.98	300.69	(26.71)	
125000710	25	INTEL CORP	12/08/08	08/07/09	462.73	357.37		104.76
CGMI AND/OR ITS AFFILIATES								
125000740	13	JOHNSON & JOHNSON	01/02/08	01/06/09	767.17	853.87	(86.50)	
125000750	7	JOHNSON & JOHNSON	09/18/08	05/27/09	382.76	491.53	(108.77)	
125000760	40	JUNIPER NETWORKS INC	11/20/08	04/07/09	529.51	557.87	(28.36)	
	22		12/06/08		345.23	398.87	(53.64)	
125000770	10	KOHL'S CORP	10/22/08	03/13/09	381.65	411.19	(29.54)	
125000780	9	KOHL'S CORP	10/23/08	03/30/09	376.40	260.01		70.46
								72.30



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Account Number 482-23733-14 067

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000790	3	KOHL'S CORP	10/30/08	05/04/09	\$ 132.28	\$ 98.34		\$ 32.94
	12		11/21/08		529.13	306.78		222.35
125000800	17	KROGER CO	10/21/08	08/03/09	383.80	448.10	(64.30)	
125000810	15	KROGER CO	10/21/08	06/12/09	323.24	395.38	(72.14)	
	13		11/06/08		280.14	354.31	(74.17)	
125000820	4	LOCKHEED MARTIN CORP	04/02/08	02/06/09	317.34	403.47	(86.13)	
125000840	2	LOCKHEED MARTIN CORP	09/05/08	08/24/09	149.38	229.90	(80.54)	
	2		09/17/08		149.37	220.78	(71.39)	
125000850	1	LOCKHEED MARTIN CORP	09/17/08	09/10/09	73.34	110.38	(37.04)	
	5		01/23/09		366.71	405.75	(39.04)	
	2		05/14/09		148.89	162.32	(15.63)	
125000860	10	LOWES COMPANIES INC	12/04/08	03/05/09	224.82	273.44		1.38
125000880	17	MARSH & MCLENNAN COS INC	07/10/08	02/04/09	322.80	497.22	(174.62)	
125000890	11	MARSH & MCLENNAN COS INC	07/10/08	02/05/09	214.16	321.73	(107.57)	
125000900	9	MARSH & MCLENNAN COS INC	07/10/08	04/23/09	179.73	263.23	(83.50)	
	10		09/10/08		199.69	324.55	(124.86)	
125000910	5	MCDONALD'S CORP	04/02/08	02/05/09	288.75	284.30		4.45
125000920	5	MCDONALD'S CORP	04/02/08	03/13/09	261.27	284.30	(23.03)	
	1		07/09/08		52.25	59.08	(6.83)	
125000930	7	MCDONALD'S CORP	07/09/08	03/24/09	378.37	413.55	(35.18)	
		FULL PRICE IS 54.05385000						
125000940	18	MEDTRONIC INC	04/02/08	01/06/09	568.83	892.03	(325.40)	
	1		09/10/08		31.48	54.05	(22.57)	
125000950	28	METLIFE INC	12/03/08	01/14/09	705.56	796.66	(91.10)	
125000980	3	NORTHERN TRUST CORP	09/24/08	09/18/09	175.33	223.42	(48.09)	
		CGMI AND/OR ITS AFFILIATES						
125001020	14	PNC FINANCIAL SERVICES GROUP	12/03/08	01/20/09	338.42	728.30	(389.88)	
125001030	8	J.C. PENNEY CO INC	09/11/09	12/04/09	223.60	252.38	(28.78)	
	24	J.C. PENNEY CO INC	03/11/09	02/11/09	571.31	522.43		50.32
	7		09/19/09		190.88	237.66	(47.00)	
125001050	2	PEPSICO INC	04/02/08	02/27/09	96.85	143.28	(46.41)	
125001070	11	PHILIP MORRIS INTL INC	07/15/08	04/13/09	409.43	584.80	(175.43)	
125001080	6	PHILIP MORRIS INTL INC	07/15/08	05/19/09	253.37	319.02	(65.65)	
	1		09/09/08		42.23	55.34	(13.11)	
125001090	11	PHILIP MORRIS INTL INC	09/08/08	07/20/09	478.02	608.69	(130.67)	

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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001100	5	POTASH CORP SASK INC.	12/16/08	05/04/09	\$ 480.34	\$ 360.92		\$ 99.42
125001110	5		02/04/09		480.33	366.95		83.37
125001120	15	PROCTER & GAMBLE CO	04/02/08	03/24/09	709.56	1 059.25	(340.69)	
125001130	3	QUALCOMM INC	06/12/08	05/08/09	126.28	147.34	(21.06)	
	6		01/31/08		252.57	335.41	(82.84)	
125001140	1	QUALCOMM INC	07/31/08	05/14/09	40.22	55.90	(15.68)	
	7		09/24/08		281.53	314.89	(33.36)	
125001150	1		12/16/08		40.22	35.48		4.74
125001160	10	QUALCOMM INC	12/16/08	10/09/09	408.18	354.80		54.38
	9	CGMI AND/OR ITS AFFILIATES	01/15/09		368.26	313.78		54.48
	10		03/05/09		409.18	347.72		61.46
125001170	7		04/16/09		286.42	286.24		18
125001180	7	RAYTHEON COMPANY NEW	04/02/08	02/09/09	333.17	464.44	(131.27)	
125001190	13	RAYTHEON COMPANY NEW	04/02/08	03/13/09	446.57	862.54	(415.97)	
	3		09/11/08		103.05	177.79	(74.74)	
125001200	8	SCHLUMBERGER LTD	11/24/08	07/07/09	400.82	361.97		38.85
125001210	14	STAPLES INC	05/14/08	02/27/09	224.38	332.47	(108.09)	
125001220	10	STAPLES INC	05/14/08	04/29/09	212.11	237.48	(25.37)	
	47	SYMANTEC CORP	05/06/09	08/05/09	712.03	816.65	(104.62)	
		CGMI AND/OR ITS AFFILIATES						
		TRADE AS OF 06/05/09						
125001230	11	TARGET CORP	03/13/09	05/13/09	445.54	326.91		118.63
125001240	13	TARGET CORP	03/13/09	05/28/09	506.26	386.35		119.91
	13		03/25/09		506.27	419.28		86.99
125001250	13	3M COMPANY	10/24/08	07/09/09	698.45	781.59	(82.13)	
125001260	9	TIME WARNER INC	05/04/09	09/18/09	264.39	217.97		46.42
125001270	3	UNITED PARCEL SERVICE CL B	11/26/08	07/21/09	155.62	170.70	(15.08)	
125001280	10	UNITED STATES STEEL CORP NEW	03/04/09	09/03/09	420.86	310.06		110.78
125001290	3	UNITED STATES STEEL CORP NEW	03/04/09	09/11/09	140.44	93.03		47.41
	9	TRADE AS OF 09/11/09	06/10/09		468.15	364.53		83.62
	10		07/20/09		286.59	364.31	(107.73)	
125001300	7	UNITED TECHNOLOGIES CORP	10/14/08	02/27/09	175.69	164.03		11.66
125001310	6	VERIZON COMMUNICATIONS	10/27/08	09/01/09	497.77	497.41		36
	17		11/12/08		352.10	416.54	(64.44)	
125001320	7	WAL-MART STORES INC.	09/12/08	04/02/09				

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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001350	32	WALGREEN CO NEW	04/20/09	12/18/09	\$ 1,170.26	\$ 951.14		\$ 219.12
	6		04/28/09		219.42	186.12		33.30
125001360	38	WELLS FARGO & CO NEW	12/08/08	01/15/09	783.37	1,212.95	(429.58)	
125001370	32	WELLS FARGO & CO NEW	10/12/09	12/15/09	833.31	962.98	(129.67)	
	8		11/11/09		208.33	229.83	(21.50)	
125001380	17	WYETH	11/17/08	04/14/09	715.55	567.65		147.90
		FULL PRICE IS 42.09218000						
125001390	5	XTO ENERGY INC	09/16/08	06/29/09	190.51	242.36	(51.85)	
	4		05/19/09		152.41	169.35	(16.94)	
125001400	17	YUM BRANDS INC	06/15/08	09/11/09	568.17	585.33	(17.16)	
	6		07/07/09		200.59	206.91	(6.38)	
Total					\$ 64,224.61	\$ 68,292.15	(\$ 9,026.83)	\$ 4,969.29

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	7	TRANSOCEAN LTD SWITZERLAND NEW	04/17/08	10/13/09	\$ 615.14	\$ 1,061.60	(\$ 446.46)	
125000120	11	AMERICAN TOWER CORP-CLASS A	04/02/08	05/05/09	324.84	444.70	(119.86)	
125000130	10	AMERICAN TOWER CORP-CLASS A	04/02/08	09/25/09	352.74	404.27	(41.53)	
	7		04/18/08		253.92	287.68	(33.76)	
	10		09/09/08		382.74	404.24	(41.50)	
	9		09/10/08		326.45	346.13	(19.68)	
125000170	2	APPLE INC	04/02/08	05/13/09	239.06	255.90	(56.74)	
125000180	9	BAXTER INTL INC	04/02/08	04/13/09	449.64	541.43	(91.79)	
125000190	12	BAXTER INTL INC	04/02/08	06/02/09	577.70	721.91	(144.21)	
125000200	13	BECTON DICKINSON & CO	04/02/08	05/12/09	823.26	1,114.88	(291.62)	
125000060	22	CISCO SYS INC	04/02/08	06/29/09	415.38	553.27	(137.89)	
		CGMI AND/OR ITS AFFILIATES						
125000370	13	COCA-COLA CO	04/02/08	04/30/09	559.62	760.48	(229.86)	



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Account Number 482-23733-14 067

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000380	17	COCA-COLA CO	04/02/08	07/24/09	\$ 831.94	\$ 1,032.39	(\$ 200.45)	
	8		04/07/08		301.50	483.29	(91.79)	
125000390	5	COLGATE PALMOLIVE CO	04/02/08	05/19/09	320.57	300.60	(70.03)	
125000400	8	COLGATE PALMOLIVE CO	04/02/08	06/25/09	549.86	824.95	(55.09)	
125000410	10	COLGATE PALMOLIVE CO	04/02/08	07/20/09	735.67	781.19	(45.52)	
125000450	7	DANAHER CORP DE	04/02/08	07/20/09	440.83	551.58	(110.75)	
125000460	1	DEERE & CO	04/02/08	05/14/09	40.84	80.43	(39.59)	
125000490	4	EXELON CORP	04/02/08	04/30/09	81.87	185.93	(104.06)	
125000500	17	EXELON CORP	04/02/08	05/06/09	186.87	335.96	(149.09)	
125000520	18	FPL GROUP INC	04/02/08	04/15/09	807.12	1,427.83	(620.71)	
125000620	10	GILEAD SCIENCES INC	04/02/08	08/21/09	955.48	1,256.61	(301.13)	
		CGMI AND/OR ITS AFFILIATES			460.94	521.78	(60.84)	
125000630	4	GILEAD SCIENCES INC	04/02/08	12/16/09	173.19	208.71	(35.52)	
125000720	2	COMI AND/OR ITS AFFILIATES	04/15/08	11/25/09	254.77	232.12		22.65
125000730	4	INTL BUSINESS MACHINES CORP	04/15/08	12/16/09	514.93	464.25		50.68
125000750	5	JOHNSON & JOHNSON	04/02/08	05/27/09	273.40	328.34	(54.94)	
125000830	5	LOCKHEED MARTIN CORP	04/02/08	07/20/09	404.46	504.34	(99.88)	
125000840	3	LOCKHEED MARTIN CORP	04/02/08	08/24/09	224.04	302.61	(78.57)	
125000870	11	LOWES COMPANIES INC	12/04/08	12/31/09	258.22	245.78		12.44
125000960	10	MICROSOFT CORP	04/02/08	11/04/09	282.60	291.58	(8.78)	
		CGMI AND/OR ITS AFFILIATES						
125000970	8	MONSANTO CO NEW	04/02/08	10/30/09	548.32	889.15	(340.83)	
	2		09/09/08		137.08	198.24	(61.16)	
125000980	5	NORTHERN TRUST CORP	04/02/08	04/23/09	272.50	355.00	(83.01)	
125000990	9	NORTHERN TRUST CORP	04/02/08	08/18/09	525.98	640.08	(114.09)	
		CGMI AND/OR ITS AFFILIATES						
125001000	3	OCCIDENTAL PETROLEUM CORP-DEL	04/02/08	07/24/09	215.56	226.11	(10.55)	
125001010	24	ORACLE CORP	04/02/08	08/07/09	516.58	483.64		22.94
		CGMI AND/OR ITS AFFILIATES						
125001060	9	PEPSICO INC	04/02/08	04/30/09	450.26	644.67	(188.41)	
125001170	13	SCHLUMBERGER LTD	04/02/08	07/07/09	65.33	1,177.14	(525.81)	
	5		07/01/08		250.5*	536.64	(286.13)	



MorganStanley
SmithBarney

Reserved
Client Statement
2009 Year End Summary

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Ref 00000454 00014084

ED & MARY VON TOBEL

Account Number 482-23733-14 067

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001200	0	STAPLES INC	05/14/08	08/17/09	\$ 183.11	\$ 213.73	(\$ 30.62)	
		CGMI AND/OR ITS AFFILIATES						
125001210	4	STAPLES INC	05/14/08	07/30/09	84.15	94.59	(10.44)	
	18	CGMI AND/OR ITS AFFILIATES	08/12/08		378.70	445.57	(66.87)	
125001330	5	WAL-MART STORES INC	08/12/08	07/20/09	241.73	297.56	(55.83)	
	4		08/24/08		193.38	229.50	(36.12)	
125001340	6	WAL-MART STORES INC	08/24/08	09/11/09	304.37	344.25	(39.88)	
	8		08/27/08		456.58	513.51	(56.93)	
	3		07/09/08		152.19	174.73	(22.54)	
125001390	8	XTO ENERGY INC	04/02/08	06/29/09	342.01	561.39	(219.38)	
Total					\$ 19,430.81	\$ 25,252.22	(\$ 5,821.41)	\$ 108.71

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/08 TO 03/31/08		\$ 378.22
220000300	07/17/09	CONSULTING & ADVISORY SERVICES FROM 07/01/08 TO 09/30/09		479.27
Total				\$ 1,609.46

Reference number	Date	Description	Referral number	Amount
220000200	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		\$ 365.44
220000400	10/18/09	CONSULTING & ADVISORY SERVICES FROM 10/01/09 TO 12/31/09		457.53

