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Form **990-PF**

# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service (77)

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.	Name of foundation <b>BERT L &amp; LULU M NEAL FOUNDATION</b>		A Employer identification number <b>87-6161267</b>
	Number and street (or P O box number if mail is not delivered to street address) Room/suite <b>WELLS FARGO BANK N A - P O BOX 53456, MAC 54101-22G</b>		B Telephone number (see page 10 of the instructions) <b>(800)352-3705</b>
	City or town, state, and ZIP code <b>PHOENIX AZ 85072</b>		C If exemption application is pending, check here <input type="checkbox"/>
	H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 205,757</b>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	0	0		
	4 Dividends and interest from securities	2,960	2,958		
	5 a Gross rents		0		
	b Net rental income or (loss)	0			
	6 a Net gain or (loss) from sale of assets not on line 10	-14,154			
	b Gross sales price for all assets on line 6a	44,573			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0				
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)	0	0			
12 Total. Add lines 1 through 11	-11,194	2,958	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	0	0	0	0
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	1,000	750	0	250
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	26	26	0	0
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,622	1,216	0	406
	24 Total operating and administrative expenses. Add lines 13 through 23	2,648	1,992	0	656
	25 Contributions, gifts, grants paid	8,000			8,000
26 Total expenses and disbursements Add lines 24 and 25	10,648	1,992	0	8,656	
27 Subtract line 26 from line 12	-21,842				
a Excess of revenue over expenses and disbursements		966			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			0		

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			0	
	2	Savings and temporary cash investments	4,846	6,034	6,034	
	3	Accounts receivable ▶	0			
		Less allowance for doubtful accounts ▶	0	0	0	
	4	Pledges receivable ▶	0			
		Less allowance for doubtful accounts ▶	0	0	0	
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0	
	7	Other notes and loans receivable (attach schedule) ▶	0			
		Less allowance for doubtful accounts ▶	0	0	0	
	8	Inventories for sale or use		0		
	9	Prepaid expenses and deferred charges		0		
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0	
	b	Investments—corporate stock (attach schedule)	195,294	169,453	166,537	
	c	Investments—corporate bonds (attach schedule)	27,602	31,427	33,186	
	Liabilities	11	Investments—land, buildings, and equipment basis ▶	0		
		Less accumulated depreciation (attach schedule) ▶	0	0	0	
12		Investments—mortgage loans				
13		Investments—other (attach schedule)	0	0	0	
14		Land, buildings, and equipment basis ▶	0			
		Less accumulated depreciation (attach schedule) ▶	0	0	0	
15		Other assets (describe ▶)	0	0	0	
16		Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	227,742	206,914	205,757	
17		Accounts payable and accrued expenses		0		
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue		0		
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0		
	21	Mortgages and other notes payable (attach schedule)	0	0		
	22	Other liabilities (describe ▶)	0	0		
	23	Total liabilities (add lines 17 through 22)	0	0		
Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ <input checked="" type="checkbox"/>	27	Capital stock, trust principal, or current funds	227,742	206,914	
		28	Paid-in or capital surplus, or land, bldg, and equipment fund			
		29	Retained earnings, accumulated income, endowment, or other funds			
		30	Total net assets or fund balances (see page 17 of the instructions)	227,742	206,914	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	227,742	206,914		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	227,742
2	Enter amount from Part I, line 27a	2	-21,842
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	1,016
4	Add lines 1, 2, and 3	4	206,916
5	Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	5	2
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	206,914

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>	0	0	0	
<b>b</b>	0	0	0	
<b>c</b>	0	0	0	
<b>d</b>	0	0	0	
<b>e</b>	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>	0	0	0	
<b>b</b>	0	0	0	
<b>c</b>	0	0	0	
<b>d</b>	0	0	0	
<b>e</b>	0	0	0	
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	-14,154	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 }		<b>3</b>	-442	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	12,323	227,763	0.054104
2007	12,206	270,878	0.045061
2006	11,680	253,878	0.046006
2005	12,335	243,493	0.050659
2004	14,704	240,482	0.061144
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.256974
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.051395
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			<b>4</b> 178,797
<b>5</b> Multiply line 4 by line 3			<b>5</b> 9,189
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 10
<b>7</b> Add lines 5 and 6			<b>7</b> 9,199
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			<b>8</b> 8,656

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1 a</b> Exempt operating foundations described in section 4940(d)(2). check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	19
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b> Add lines 1 and 2	<b>3</b>	19
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	19
<b>6</b> Credits/Payments		
<b>a</b> 2009 estimated tax payments and 2008 overpayment credited to 2009	<b>6a</b>	34
<b>b</b> Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	0
<b>d</b> Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d	<b>7</b>	34
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>9</b>	0
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<b>10</b>	15
<b>11</b> Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> 15 Refunded <input type="checkbox"/> <b>11</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file Form 1120-POL for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> AZ, UT		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>► N/A</b>	13	X	
14	The books are in care of <b>► WELLS FARGO BANK N A</b> Telephone no <b>► (800)352-3705</b> Located at <b>► P O BOX 53456, MAC 54101-22G PHOENIX AZ</b> ZIP+4 <b>► 85072</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year <b>► 15</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>►</b> <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>► 20</b> , <b>20</b> , <b>20</b> , <b>20</b>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions )	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>► 20</b> , <b>20</b> , <b>20</b> , <b>20</b>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009 )	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No  
If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

	<b>5b</b>	N/A
	<b>6b</b>	X
	<b>7b</b>	X

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

▶ 1

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0

Total number of others receiving over \$50,000 for professional services

1

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	0
<b>Total. Add lines 1 through 3</b>	<b>0</b>



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	176,689
<b>b</b>	Average of monthly cash balances	<b>1b</b>	4,831
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	181,520
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	181,520
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	2,723
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	178,797
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	8,940

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	8,940
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	19
<b>b</b>	Income tax for 2009 (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	19
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	8,921
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	500
<b>5</b>	Add lines 3 and 4	<b>5</b>	9,421
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	9,421

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	8,656
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	8,656
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	8,656

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				9,421
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only			849	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2009				
<b>a</b> From 2004	NONE			
<b>b</b> From 2005	NONE			
<b>c</b> From 2006	NONE			
<b>d</b> From 2007	NONE			
<b>e</b> From 2008	NONE			
<b>f</b> Total of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 8,656				
<b>a</b> Applied to 2008, but not more than line 2a			849	
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
<b>d</b> Applied to 2009 distributable amount				7,807
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
<b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
<b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				1,614
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2005	0			
<b>b</b> Excess from 2006	0			
<b>c</b> Excess from 2007	0			
<b>d</b> Excess from 2008	0			
<b>e</b> Excess from 2009	0			

**N/A**

- Form
- 990-PF**
- (2009)

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2009)

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b>	Program service revenue					
<b>a</b>	_____		0		0	0
<b>b</b>	_____		0		0	0
<b>c</b>	_____		0		0	0
<b>d</b>	_____		0		0	0
<b>e</b>	_____		0		0	0
<b>f</b>	_____		0		0	0
<b>g</b>	Fees and contracts from government agencies					
<b>2</b>	Membership dues and assessments					
<b>3</b>	Interest on savings and temporary cash investments					
<b>4</b>	Dividends and interest from securities			14	2,960	
<b>5</b>	Net rental income or (loss) from real estate					
<b>a</b>	Debt-financed property					
<b>b</b>	Not debt-financed property					
<b>6</b>	Net rental income or (loss) from personal property					
<b>7</b>	Other investment income					
<b>8</b>	Gain or (loss) from sales of assets other than inventory			18	-14,154	
<b>9</b>	Net income or (loss) from special events					
<b>10</b>	Gross profit or (loss) from sales of inventory					
<b>11</b>	Other revenue <b>a</b> _____		0		0	0
<b>b</b>	_____		0		0	0
<b>c</b>	_____		0		0	0
<b>d</b>	_____		0		0	0
<b>e</b>	_____		0		0	0
<b>12</b>	Subtotal. Add columns (b), (d), and (e)		0		-11,194	0
<b>13</b>	Total. Add line 12, columns (b), (d), and (e)				13	-11,194

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name

AMERICAN RED CROSS OF NORTHERN UTAH

☐

Person

☒

Business

Street

2955 HARRISON BLVD, SUITE 204

City

OGDEN

State

UT

Zip code

84403

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

1,000

Name

CATHOLIC COMMUNITY SERVICES

☐

Person

☒

Business

Street

2504 F AVENUE

City

OGDEN

State

UT

Zip code

84401

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500

Name

MCKAY-DEE HOSPITAL FOUNDATION

☐

Person

☒

Business

Street

4401 HARRISON BLVD

City

OGDEN

State

UT

Zip code

84403

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500

Name

OGDEN NATURE CENTER

☐

Person

☒

Business

Street

966 W 12TH STREET

City

OGDEN

State

UT

Zip code

84404

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500

Name

OGDEN SCHOOL FOUNDATION

☐

Person

☒

Business

Street

1950 MONROE BLVD

City

OGDEN

State

UT

Zip code

84401

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

1,500

Name

OGDEN SYMPHONY-BALLET ASSOCIATION

☐

Person

☒

Business

Street

638 26TH STREET

City

OGDEN

State

UT

Zip code

84401

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name

OGDEN WEBER APPLIED TECHNOLOGY COLLEGE FOUNDATION

☐ Person☒ Business

Street

200 N WASHINGTON BLVD

City

OGDEN

State

UT

Zip code

84404

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500

Name

PEERY'S EGYPTIAN THEATER

☐ Person☒ Business

Street

P O BOX 4

City

OGDEN

State

UT

Zip code

84402

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500

Name

TREEHOUSE CHILDREN'S MUSEUM

☐ Person☒ Business

Street

347 22ND STREET

City

OGDEN

State

UT

Zip code

84401

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500

Name

UNION STATION FOUNDATION

☐ Person☒ Business

Street

2501 WALL AVENUE

City

OGDEN

State

UT

Zip code

84401

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500

Name

WEBER SCHOOL DISTRICT FOUNDATION

☐ Person☒ Business

Street

5320 S ADAMS AVENUE

City

OGDEN

State

UT

Zip code

84405

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

1,000

Name

WEBER STATE UNIVERSITY

☐ Person☒ Business

Street

4018 UNIVERSITY CIRCLE

City

OGDEN

State

UT

Zip code

84408-4018

Foreign Country

Relationship

NONE

Foundation Status

EXEMPT

Purpose of grant/contribution

PUBLIC CHARITY

Amount

500



## Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals			Expense of Sale and Cost of Improvements	Net Gain or Loss
								Gross Sales		Cost, Other Basis and Expenses		
								Date Sold	Price			
1	X	WF ADV CAP GROWTH FD AD	949915631			8/22/2007		1/20/2009	464	932		
2	X	WF ADV SMALL CO VALUE-AD	94975P868			3/17/2009		7/6/2009	1,462	1,067		
3	X	WF ADV SMALL CO VALUE-AD	94975P868			12/26/2008		7/6/2009	174	157		
4	X	WF ADV SMALL CO VALUE-AD	94975P868			11/18/2008		7/6/2009	151	125		
5	X	WF ADV SMALL CO VALUE-AD	94975P868			10/16/2008		7/6/2009	952	1,018		
6	X	WF ADV SMALL CO VALUE-AD	94975P868			5/16/2008		7/6/2009	149	229		
7	X	WF ADV SMALL CO VALUE-AD	94975P868			12/19/2007		7/6/2009	770	1,217		
8	X	WF ADV SMALL CO VALUE-AD	94975P868			5/17/2004		7/6/2009	119	192		
9	X	WF ADV SMALL CO VALUE-AD	94975P868			12/16/2003		7/6/2009	34	56		
10	X	WF ADV SMALL CO VALUE-AD	94975P868			12/5/2003		7/6/2009	4,273	7,167		
11	X	WF ADV SMALL CO VALUE-AD	94975P868			12/5/2003		6/4/2009	1,178	1,762		
12	X	WF ADV SMALL CO VALUE-AD	94975P868			12/5/2003		4/16/2009	260	473		
13	X	WF ADV SMALL CO VALUE-AD	94975P868			12/3/2007		4/16/2009	740	1,428		
14	X	WF ADV SMALL CO VALUE-AD	94975P868			10/16/2007		4/16/2009	133	293		
15	X	WF ADV EQUITY VALUE FUN	94975J797			3/18/2008		9/17/2009	1,352	1,721		
16	X	WF ADV TOTAL RETURN BD-4	94975J599			6/4/2009		7/6/2009	893	882		
17	X	WF ADV TOTAL RETURN BD-4	94975J599			6/12/2007		3/17/2009	522	515		
18	X	WF ADV TOTAL RETURN BD-4	94975J599			12/26/2008		3/17/2009	505	506		
19	X	MAINSTAY LC GROWTH I #14	56062X641			3/18/2008		12/1/2009	1,221	1,301		
20	X	MAINSTAY LC GROWTH I #14	56062X641			3/18/2008		7/6/2009	853	1,125		
21	X	MFS VALUE FUND-W #2400	55273H445			3/18/2008		12/1/2009	1,148	1,342		
22	X	MFS VALUE FUND-W #2400	55273H445			3/18/2008		7/6/2009	355	494		
23	X	JANUS OVERSEAS FUND CL J	47103C480			1/20/2009		12/1/2009	266	150		
24	X	JANUS OVERSEAS FUND CL J	47103C480			7/7/2009		12/1/2009	190	148		
25	X	JANUS ADVISER INTL GRWTH	47102R454			1/20/2009		6/4/2009	1,065	702		
26	X	JANUS ADVISER INTL GRWTH	47102R454			1/20/2009		2/17/2009	446	428		
27	X	WF ADV GROWTH FUND ADN	949915698			9/11/2008		12/1/2009	1,252	1,233		
28	X	WF ADV GROWTH FUND ADN	949915698			9/11/2008		9/17/2009	394	412		
29	X	WF ADV GROWTH FUND ADN	949915698			9/11/2008		7/6/2009	469	590		
30	X	WF ADV GROWTH FUND ADN	949915698			9/11/2008		2/17/2009	609	883		
31	X	WF ADV CAP GROWTH FD AD	949915631			12/16/2005		12/1/2009	1,414	1,785		
32	X	WF ADV CAP GROWTH FD AD	949915631			12/16/2005		8/18/2009	150	213		
33	X	WF ADV EQUITY VALUE FUN	94975J797			3/18/2008		7/6/2009	195	312		
34	X	WF ADV TOTAL RETURN BD-4	94975J599			9/17/2009		12/1/2009	809	797		
35	X	WF ADV CAP GROWTH FD AD	949915631			8/22/2007		8/18/2009	1,158	1,815		
36	X	WF ADV CAP GROWTH FD AD	949915631			8/22/2007		7/6/2009	303	529		
37	X	HARBOR INTERNATIONAL FU	411511652			1/20/2009		9/17/2009	382	240		
38	X	HARBOR INTERNATIONAL FU	411511652			7/7/2009		9/17/2009	170	131		
39	X	HARBOR INTERNATIONAL FU	411511652			1/20/2009		6/4/2009	917	688		
40	X	GOLDMAN SACHS STRD INTL	38142V845			10/18/2004		12/1/2009	629	599		
41	X	GOLDMAN SACHS STRD INTL	38142V845			10/18/2004		1/20/2009	6,669	9,672		
42	X	GOLDMAN SACHS STRD INTL	38142V845			9/11/2008		1/20/2009	1,081	1,786		
43	X	GOLDMAN SACHS STRD INTL	38142V845			2/19/2008		1/20/2009	731	1,428		
44	X	GOLDMAN SACHS STRD INTL	38142V845			12/18/2007		1/20/2009	315	663		
45	X	FEDERATED TOTAL RETURN	31428Q507			9/17/2009		12/1/2009	471	465		
46	X	FEDERATED TOTAL RETURN	31428Q507			6/4/2009		7/6/2009	520	514		
47	X	EVERGREEN INTRINSIC VALU	30023D671			3/18/2008		12/1/2009	983	1,116		
48	X	EVERGREEN INTRINSIC VALU	30023D671			4/16/2008		12/1/2009	9	11		
49	X	EVERGREEN INTRINSIC VALU	30023D671			4/16/2008		7/6/2009	251	358		
Long Term CG Distributions									44,573	58,727		-14,154
Short Term CG Distributions									0	0		0
Amount									0	0		0

## Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Totals			Expense of Sale and Cost of Improvements	Depreciation	
										Gross Sales	Cost, Other Basis and Expenses				Net Gain or Loss
Long Term CG Distributions										Amount	0				
Short Term CG Distributions											0				
											0				
										Securities	44,573	58,727		-14,154	
										Other sales	0	0		0	
50	X	EVERGREEN INTRINSIC VALU	30023D671			5/16/2008		7/6/2009	127		190				
51	X	AIM SMALL CAP GROWTH FU	00141M770			12/5/2003		7/6/2009	3,936		5,372				
52	X	AIM SMALL CAP GROWTH FU	00141M770			5/16/2008		7/6/2009	107		165				
53	X	AIM SMALL CAP GROWTH FU	00141M770			12/19/2007		7/6/2009	673		1,051				
54	X	AIM SMALL CAP GROWTH FU	00141M770			12/19/2006		7/6/2009	174		279				

**Line 16c (990-PF) - Other Fees**

		1,000	750	0	250
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	PROFESSIONAL FEES	1,000	750		250
2					
3					
4					
5					
6					
7					
8					
9					
10					

**Line 18 (990-PF) - Taxes**

		26	26	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	26	26		
2					
3					
4					
5					
6					
7					
8					
9					
10					

**Line 23 (990-PF) - Other Expenses**

		1,622	1,216	0	406
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization See attached statement	0	0	0	0
2	WELLS FARGO AS TRUSTEE FEE	1,622	1,216		406
3					
4					
5					
6					
7					
8					
9					
10					

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	CORPORATE STOCK		195,294	169,453	134,862	166,537
2						
3						
4						
5						
6						
7						
8						
9						
10						

## Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	CORPORATE BONDS			27,602	31,427	27,449	33,186
2							
3							
4							
5							
6							
7							
8							
9							
10							

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

1	MF TIMING DIFFERENCE	1	9
2	FEDERAL EXCISE TAX REFUND	2	507
3	REDEPOSIT FROM CHARITABLE DISTRIBUTION	3	500
4	Total	4	1,016

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**Line 5 - Decreases not included in Part III, Line 2**

1	COST BASIS ADJUSTMENT	1	2
2	Total	2	2

---



## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount		Totals		44,573		58,727		-14,154		0		0		-14,154	
		Long Term CG Distributions		Short Term CG Distributions		0		0		0		0		0		0	
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses				
1	WF ADV CAP GROWTH FD ADMIN CL #3	949915631		8/22/2007	1/20/2009	464	0	932	-468			0	-468				
2	WF ADV SMALL CO VALUE-ADMIN #18194975P868			3/17/2009	7/6/2009	1,462	0	1,067	395			0	395				
3	WF ADV SMALL CO VALUE-ADMIN #18194975P868			12/26/2008	7/6/2009	174	0	157	17			0	17				
4	WF ADV SMALL CO VALUE-ADMIN #18194975P868			11/18/2008	7/6/2009	151	0	125	26			0	26				
5	WF ADV SMALL CO VALUE-ADMIN #18194975P868			10/16/2008	7/6/2009	952	0	1,018	-66			0	-66				
6	WF ADV SMALL CO VALUE-ADMIN #18194975P868			5/16/2008	7/6/2009	149	0	229	-80			0	-80				
7	WF ADV SMALL CO VALUE-ADMIN #18194975P868			12/19/2007	7/6/2009	770	0	1,217	-447			0	-447				
8	WF ADV SMALL CO VALUE-ADMIN #18194975P868			5/17/2004	7/6/2009	119	0	192	-73			0	-73				
9	WF ADV SMALL CO VALUE-ADMIN #18194975P868			12/16/2003	7/6/2009	34	0	56	-22			0	-22				
10	WF ADV SMALL CO VALUE-ADMIN #18194975P868			12/5/2003	7/6/2009	4,273	0	7,167	-2,894			0	-2,894				
11	WF ADV SMALL CO VALUE-ADMIN #18194975P868			12/5/2003	6/4/2009	1,178	0	1,762	-584			0	-584				
12	WF ADV SMALL CO VALUE-ADMIN #18194975P868			12/5/2003	4/16/2009	260	0	473	-213			0	-213				
13	WF ADV SMALL CO VALUE-ADMIN #18194975P868			12/3/2007	4/16/2009	740	0	1,428	-688			0	-688				
14	WF ADV SMALL CO VALUE-ADMIN #18194975P868			10/16/2007	4/16/2009	133	0	293	-160			0	-160				
15	WF ADV EQUITY VALUE FUND-ADM #1094975J797			3/18/2008	9/17/2009	1,352	0	1,721	-369			0	-369				
16	WF ADV TOTAL RETURN BD-ADMIN #9494975J599			6/4/2009	7/6/2009	893	0	882	11			0	11				
17	WF ADV TOTAL RETURN BD-ADMIN #9494975J599			6/12/2007	3/17/2009	522	0	515	7			0	7				
18	WF ADV TOTAL RETURN BD-ADMIN #9494975J599			12/26/2008	3/17/2009	505	0	506	-1			0	-1				
19	MAINSTAY LC GROWTH I #1483	56062X641		3/18/2008	12/1/2009	1,221	0	1,301	-80			0	-80				
20	MAINSTAY LC GROWTH I #1483	56062X641		3/18/2008	7/6/2009	853	0	1,125	-272			0	-272				
21	MFS VALUE FUND-W #2400	55273H445		3/18/2008	12/1/2009	1,148	0	1,342	-194			0	-194				
22	MFS VALUE FUND-W #2400	55273H445		3/18/2008	7/6/2009	355	0	494	-139			0	-139				
23	JANUS OVERSEAS FUND CL A #1254	47103C480		1/20/2009	12/1/2009	266	0	150	116			0	116				
24	JANUS OVERSEAS FUND CL A #1254	47103C480		7/7/2009	12/1/2009	190	0	148	42			0	42				
25	JANUS ADVISER INTL GRWTH FIC A #247102R454			1/20/2009	6/4/2009	1,065	0	702	363			0	363				
26	JANUS ADVISER INTL GRWTH FIC A #247102R454			1/20/2009	2/17/2009	446	0	428	18			0	18				
27	WF ADV GROWTH FUND ADM #3608	949915698		9/11/2008	12/1/2009	1,252	0	1,233	19			0	19				
28	WF ADV GROWTH FUND ADM #3608	949915698		9/11/2008	9/17/2009	394	0	412	-18			0	-18				
29	WF ADV GROWTH FUND ADM #3608	949915698		9/11/2008	7/6/2009	469	0	590	-121			0	-121				
30	WF ADV GROWTH FUND ADM #3608	949915698		9/11/2008	2/17/2009	609	0	883	-274			0	-274				
31	WF ADV CAP GROWTH FD ADMIN CL #3	949915631		12/16/2005	12/1/2009	1,414	0	1,785	-371			0	-371				
32	WF ADV CAP GROWTH FD ADMIN CL #3	949915631		12/16/2005	8/18/2009	150	0	213	-63			0	-63				
33	WF ADV EQUITY VALUE FUND-ADM #1094975J797			3/18/2008	7/6/2009	195	0	312	-117			0	-117				
34	WF ADV TOTAL RETURN BD-ADMIN #9494975J599			9/17/2009	12/1/2009	809	0	797	12			0	12				
35	WF ADV CAP GROWTH FD ADMIN CL #3	949915631		8/22/2007	8/18/2009	1,158	0	1,815	-657			0	-657				
36	WF ADV CAP GROWTH FD ADMIN CL #3	949915631		8/22/2007	7/6/2009	303	0	529	-226			0	-226				
37	HARBOR INTERNATIONAL FUND-ADMIN	411511652		1/20/2009	9/17/2009	382	0	240	142			0	142				
38	HARBOR INTERNATIONAL FUND-ADMIN	411511652		7/7/2009	9/17/2009	170	0	131	39			0	39				
39	HARBOR INTERNATIONAL FUND-ADMIN	411511652		1/20/2009	6/4/2009	917	0	688	229			0	229				
40	GOLDMAN SACHS STRD INTL EQ-I #86338142V845			10/18/2004	12/1/2009	629	0	599	30			0	30				
41	GOLDMAN SACHS STRD INTL EQ-I #86338142V845			10/18/2004	1/20/2009	6,669	0	9,672	-3,003			0	-3,003				
42	GOLDMAN SACHS STRD INTL EQ-I #86338142V845			9/11/2008	1/20/2009	1,081	0	1,786	-705			0	-705				
43	GOLDMAN SACHS STRD INTL EQ-I #86338142V845			2/19/2008	1/20/2009	731	0	1,428	-697			0	-697				
44	GOLDMAN SACHS STRD INTL EQ-I #86338142V845			12/18/2007	1/20/2009	315	0	663	-348			0	-348				
45	FEDERATED TOTAL RETURN BOND-IS #31428Q507			9/17/2009	12/1/2009	471	0	465	6			0	6				
46	FEDERATED TOTAL RETURN BOND-IS #31428Q507			6/4/2009	7/6/2009	520	0	514	6			0	6				
47	EVERGREEN INTRINSIC VALUE I FD #5 #30023D671			3/18/2008	12/1/2009	983	0	1,116	-133			0	-133				
48	EVERGREEN INTRINSIC VALUE I FD #5 #30023D671			4/16/2008	12/1/2009	9	0	11	-2			0	-2				
49	EVERGREEN INTRINSIC VALUE I FD #5 #30023D671			4/16/2008	7/6/2009	251	0	358	-107			0	-107				
50	EVERGREEN INTRINSIC VALUE I FD #5 #30023D671			5/16/2008	7/6/2009	127	0	190	-63			0	-63				
51	AIM SMALL CAP GROWTH FUND-A #15600141M770			12/5/2003	7/6/2009	3,936	0	5,372	-1,436			0	-1,436				
52	AIM SMALL CAP GROWTH FUND-A #15600141M770			5/16/2008	7/6/2009	107	0	165	-58			0	-58				
53	AIM SMALL CAP GROWTH FUND-A #15600141M770			12/19/2007	7/6/2009	673	0	1,051	-378			0	-378				
54	AIM SMALL CAP GROWTH FUND-A #15600141M770			12/19/2006	7/6/2009	174	0	279	-105			0	-105				

**Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers**

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours	Compensation
1	WELLS FARGO BANK N A		P O BOX 53456, MAC 54101-22G	PHOENIX	AZ	85072		TRUSTEE	4	1,622
2	RALPH W MITCHELL		4777 S GLASMAN WAY	OGDEN	UT	84403		CHAIR	1	250
3	MARY L BARKER		2683 NORTH 950 EAST	NORTH OGDEN	UT	84414		DIRECTOR	1	250
4	ORVILLE REX CHILD		541 EAST 3550 NORTH	NORTH OGDEN	UT	84414		DIRECTOR	1	250
5	JACK D LAMPROS		1802 WHISPERING OAKS DRIVE	OGDEN	UT	84403		DIRECTOR	1	250
6										
7										
8										
9										
10										

2,622



**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return	1	34
2 First quarter estimated tax payment	2	0
3 Second quarter estimated tax payment	3	0
4 Third quarter estimated tax payment	4	0
5 Fourth quarter estimated tax payment	5	0
6 Other payments	6	0
7 Total	7	34

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2008 that remained undistributed at the beginning of the 2009 tax year	1	849
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	849

**Part XV, Lines 1a-1b (990-PF) - Information Regarding Foundation Managers**

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List Managers who contributed more than 2%  
of the total contributions received by the foundation

1 NONE \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_  
4 \_\_\_\_\_  
5 \_\_\_\_\_  
6 \_\_\_\_\_  
7 \_\_\_\_\_  
8 \_\_\_\_\_  
9 \_\_\_\_\_  
10 \_\_\_\_\_

List Managers who own 10% or more of the stock  
of a corporation of which the foundation has a 10%  
or greater interest

NONE \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

STATEMENT OF ASSETS AND LIABILITIES  
AS OF DECEMBER 31, 2009BERT L & LULU M NEAL FOUNDATION  
ACCOUNT NUMBER 026690

## STATEMENT OF ASSETS AND LIABILITIES

PAR VALUE / SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	% OF CATEGORY TOTAL	ESTIMATED ANNUAL INCOME/ CURRENT YIELD	ACCRUED INCOME
<b>CASH</b>						
	PRINCIPAL CASH	11,551.73-	11,551.73-	0.00	5.61-	
	INCOME CASH	11,551.73	11,551.73	0.00	5.61	
	<b>TOTAL CASH</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>	<b>0.00</b>	
<b>CASH EQUIVALENTS</b>						
<b>MONEY MARKET FUNDS/CASH SWEEPS</b>						
6,034.38	WELLS FARGO ADVANTAGE CASH INVESTMENT FUND INSTITUTIONAL CLASS - #451	6,034.38 1.000	6,034.38 1.000	100.00 2.93	0.13	0.00
	<b>TOTAL MONEY MARKET FUNDS/CASH SWEEPS</b>	<b>6,034.38</b>	<b>6,034.38</b>	<b>100.00</b> 2.93	<b>0.13</b>	<b>0.00</b>
	<b>TOTAL CASH EQUIVALENTS</b>	<b>6,034.38</b>	<b>6,034.38</b>	<b>100.00</b> 2.93	<b>0.13</b>	<b>0.00</b>
<b>FIXED INCOME SECURITIES</b>						
<b>CORPORATE OBLIGATIONS</b>						
930.175	FEDERATED TOTAL RETURN BD-INST SERV. CLASS- I #288 CUSIP 31428Q507	9,604.86 10.326	10,111.00 10.870	30.47 4.91	460 4.55	0.00
654.674	METROPOLITAN WEST FDS TOTAL RETURN BD FD CLASS M #312 CUSIP 592905103	6,187.06 9.451	6,481.27 9.900	19.53 3.15	388 5.99	0.00
1,311.752	WELLS FARGO ADVANTAGE TOTAL RETURN BOND FUND ADMIN CLASS - #943 CUSIP 94975J599	15,635.43 11.920	16,593.66 12.650	50.00 8.06	766 4.62	0.00
	<b>TOTAL CORPORATE OBLIGATIONS</b>	<b>31,427.35</b>	<b>33,185.93</b>	<b>100.00</b> 16.13	<b>1,614</b> 4.86	<b>0.00</b>

STATEMENT OF ASSETS AND LIABILITIES  
AS OF DECEMBER 31, 2009BERT L & LULU M NEAL FOUNDATION  
ACCOUNT NUMBER 026690

## STATEMENT OF ASSETS AND LIABILITIES

PAR VALUE / SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	% OF TOTAL	ESTIMATED ANNUAL INCOME / CURRENT YIELD	ACCRUED INCOME
TOTAL FIXED INCOME SECURITIES						
		31,427.35	33,185.93	100.00	1.614	0.00
					4.86	
EQUITIES						
COMMON EQUITIES						
236.408	AIM SMALL CAP GROWTH FUND CLASS A #1564 CUSIP 00141M770	5,391.93 22.808	5,352.28 22.640	3.21 2.60	0	0.00
1,633.044	EVERGREEN INTRINSIC VALUE CLASS I #517 CUSIP 30023D671	17,243.36 10.559	15,448.60 9.460	9.28 7.51	85 0.55	0.00
168.279	GOLDMAN SACHS SMALL CAP VALUE FUND INSTITUTIONAL SHARES CUSIP 38142V209	4,317.81 25.659	5,543.11 32.940	3.33 2.69	46 0.83	0.00
774.136	GOLDMAN SACHS STRUCTURED INTERNATIONAL EQUITY FUND CLASS I #863 CUSIP 38142V845	7,251.13 9.367	7,741.36 10.000	4.65 3.76	192 2.48	0.00
113.441	HARBOR INTERNATIONAL FUND - ADMIN CLASS #2211 CUSIP 411511652	3,777.60 33.300	6,188.21 54.550	3.72 3.01	70 1.13	0.00
145.126	JANUS OVERSEAS FUND CLASS A SHARES #1254 CUSIP 47103C480	3,409.63 23.494	6,178.01 42.570	3.71 3.00	49 0.79	0.00
344.71	JP MORGAN SMALL CAP EQUITY FUND - SELECT SHARES #367 CUSIP 4812A1373	8,617.93 25.001	10,348.19 30.020	6.21 5.03	35 0.34	0.00
2,510.345	MAINSTAY LARGE CAP GROWTH I FUND #1483 CUSIP 56062X641	15,789.13 6.290	15,714.76 6.260	9.44 7.64	0 0.00	0.00



STATEMENT OF ASSETS AND LIABILITIES  
AS OF DECEMBER 31, 2009BERT L & LULU M NEAL FOUNDATION  
ACCOUNT NUMBER 026690

## STATEMENT OF ASSETS AND LIABILITIES

PAR VALUE / SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	% OF CATEGORY TOTAL	ESTIMATED ANNUAL INCOME/ CURRENT YIELD	ACCRUED INCOME
1,483.951	MFS VALUE FUND CLASS W #2400 CUSIP 55273H445	34,681.55 23.371	30,791.98 20.750	18.49 14.97	519 1.69	0.00
2,257.613	WELLS FARGO ADVANTAGE CAPITAL GROWTH FUND-ADMIN CLASS #3603 CUSIP 949915631	35,751.23 15.836	31,380.82 13.900	18.84 15.25	0 0.00	0.00
1,389.212	WELLS FARGO ADVANTAGE EQUITY VALUE FUND ADMIN CLASS - #1093 CUSIP 94975J797	18,204.21 13.104	15,795.34 11.370	9.48 7.68	200 1.27	0.00
606.742	WELLS FARGO ADVANTAGE GROWTH FUND - ADMIN CLASS #3608 CUSIP 949915698	15,017.30 24.751	16,054.39 26.460	9.64 7.80	0 0.00	0.00
TOTAL COMMON EQUITIES		169,452.81	166,537.05	100.00 80.94	1,196 0.72	0.00
TOTAL EQUITIES		169,452.81	166,537.05	100.00 80.94	1,196 0.72	0.00
TOTAL INVESTMENTS		206,914.54	205,757.36	100.00 100.00	2,818 1.37	0.00
TOTAL ACCRUALS		0.00	0.00			
TOTAL INVESTMENTS AND ACCRUALS		206,914.54	205,757.36		2,818 1.37	0.00
CHANGE IN ASSETS - THIS PERIOD						
UNREALIZED APPRECIATION/DEPRECIATION - END OF PERIOD		\$	0.00			
UNREALIZED APPRECIATION/DEPRECIATION - BEGINNING OF PERIOD		\$	0.00			
NET CHANGE THIS PERIOD		\$	0.00			