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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No. 1545-0052

2009

Department of the Treasury
Internal Revenue Service

(77)

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply:

☐ Initial return☐ Initial Return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

G Carl Ball Family Foundation

A Employer identification number

83-0366015

Number/street (or P.O. box no. if mail is not delivered to street add)

Room/suite

622 Town Road--Attn N Kaskovich

B Telephone number (see the instructions)

630-588-3221

City or town, state, and ZIP code

WEST CHICAGO IL 60185-

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85%
test, check here and attach computation ☐E If private foundation status was
terminated under section
507(b)(1)(A), check here ☐F If the foundation is in a 60-month
termination under section
507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of
year (from Part II, col. (c), line 16)

▶ \$ 43,177,845.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), & (d) may not necessarily equal the amounts in column (a) (see instr.))

(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc. received (attach schedule)	963,919.			
	2	Check ☐ If the foundation is not required to attach Sch. B				
	3	Interest on savings and temp. cash investments				
	4	Dividends and interest from securities	1,309,348.	1,309,348.		
	5a	Gross rents				
	b	(Net rental income or loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	96,970.			
	b	Gross sales price for all assets on line 6a	61,508.			
	7	Capital gain net income (from Part IV, line 2)		96,970.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less rtns. & allowances				
b	Less: Cost of goods sold					
c	Gross profit or (loss) (attach schedule)					
11	Other income (attach schedule)					
12	Total. Add lines 1 through 11	2,370,237.	1,406,318.			
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,000.			3,000.
	c	Other professional fees (attach schedule)	38,891.	38,866.		25.
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	44,773.	14,979.		
	19	Depreciation (attach sch.) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	Total operating and administrative expenses. Add lines 13 through 23	86,664.	53,845.		3,025.
	25	Contributions, gifts, grants paid	47,000.			47,000.
26	Total exp. & disbursements. Add lines 24 and 25	133,664.	53,845.		50,025.	
27	Subtract line 26 from line 12.					
a	Excess of revenue over expenses and disbursements	2,236,573.				
b	Net investment income (if neg., enter -0-)		1,352,473.			
c	Adjusted net income (if neg., enter -0-)					

For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See inst.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	4,355,131.	1,211,142.	1,211,142.
	3	Accounts receivable			
		Less: allowance for doubtful accts.			
	4	Pledges receivable			
		Less: allowance for doubtful accts.			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state govt. obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)	22,260,742.	26,858,689.	25,456,644.
	c	Investments - corporate bonds (attach schedule)	15,924,766.	16,862,826.	16,510,059.
	Liabilities	11	Investments - land buildings and equipment - basis (attach schedule)		
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land buildings and equipment - basis Less: accumulated depreciation (attach schedule)			
15		Other assets (describe)			
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	42,540,639.	44,932,657.	43,177,845.
17		Accounts payable and accrued expenses			
18		Grants payable			
19		Deferred revenue			
20		Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)			
	24	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	25	Unrestricted			
	26	Temporarily restricted			
	27	Permanently restricted			
	28	Foundations that do not follow SFAS 117, ck. here ☒ and complete lines 27 through 31.			
	29	Capital stock, trust principal, or current funds			
	30	Paid-in or capital surplus, or land, bldg., and equipment fund			
Net Assets or Fund Balances	31	Retained earnings, accumulated income, endowment, or other funds	42,540,639.	44,932,657.	
	32	Total net assets or fund balances (see the instructions)	42,540,639.	44,932,657.	
	33	Total liabilities and net assets/fund balances (see the instructions)	42,540,639.	44,932,657.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	42,540,639.
2	Enter amount from Part I, line 27a	2	2,236,573.
3	Other increases not included in line 2 (itemize) NON DIVIDEND DISTR	3	155,445.
4	Add lines 1, 2, and 3	4	44,932,657.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	44,932,657.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE ATTACHED SCHEDULE			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	96,970.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	(25.)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beg. in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,210,125.	21,463,816.	0.0564
2007	90,616.	12,729,940.	0.0071
2006	105,884.	9,302,319.	0.0114
2005	1,010,221.	4,655,317.	0.2170
2004	26,760.	5,172,859.	0.0052

2 Total of line 1, column (d)	2	0.2971
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0594
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	36,860,871.
5 Multiply line 4 by line 3	5	2,189,536.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,525.
7 Add lines 5 and 6	7	2,203,061.
8 Enter qualifying distributions from Part XII, line 4	8	50,025.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ & enter "N/A" on line 1. ... Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b. ...		1	27,049.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) ...			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	27,049.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	27,049.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	28,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	28,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	951.	
11 Enter the amount of line 10 to be credited to 2010 estimated tax	11	951.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year? <i>N/A</i>		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV in instructions)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ NONE	13	X	
14	The books are in care of ▶ Mr Nick Kaskovich Located at ▶ 622 Town Road IL WEST CHICAGO	Telephone no ▶ 630-588-3221 ZIP+4 ▶ 60185-		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ▶ 20 __, 20 __, 20 __, 20 __ N/A	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see the instructions.)		2b X
c If the provisions of section 4942(a)(2) are being applied (any of the years listed in 2a, list the years here. ▶ 20 __, 20 __, 20 __, 20 __ N/A		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see the instructions)? ☒ N/A

Organizations relying on a current notice regarding disaster assistance check here ☐ N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☒ N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred comp.	(e) Expense account, other allowances
Anna C Ball	Pres/Secr			
Address on page 1	1	0	0	0
Susannah P Ball	VP/Treas			
Address on page 1	1	0	0	0
Jane Mann	Director			
Address on page 1	1	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see the instructions).
If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NO COMPENSATION IS PAID				

Total number of other employees paid over \$50,000

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	34,029,392.
b Average of monthly cash balances	1b	3,392,812.
c Fair market value of all other assets (see the instructions)	1c	
d Total (add lines 1a, b, and c)	1d	37,422,204.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	37,422,204.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see the instructions)	4	561,333.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,860,871.
6 Minimum investment return. Enter 5% of line 5	6	1,843,044.

Part XI Distributable Amount (see the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1 Minimum investment return from Part X, line 6	1	1,843,044.
2 a Tax on investment income for 2009 from Part VI, line 5	2a	27,049.
b Income tax for 2009 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	27,049.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	1,815,995.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	1,815,995.
6 Deduction from distributable amount (see the instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,815,995.

Part XII Qualifying Distributions (see the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc -total from Part I, column (d), line 26	1a	50,025.
b Program-related investments-total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	50,025.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see the instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	50,025.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,815,995.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior yrs. 20 , 20 , 20				
3 Excess distrib. carryover, if any, to 2009:				
a From 2004				
b From 2005	485,924.			
c From 2006	105,884.			
d From 2007	90,616.			
e From 2008	163,758.			
f Total of lines 3a through e	846,182.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 50,025.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see the instr.)				
c Treated as distributions out of corpus (Election required - see the instructions)				
d Applied to 2009 distributable amount				50,025.
e Remaining amt. distributed out of corpus				
5 Excess distrib. carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in col. (a).)	846,182.			846,182.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, & 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see the instructions				
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see the instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				919,788.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling **NOT APPLICABLE**

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see the instructions.)

- 1 Information Regarding Foundation Managers:
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

G CARL BALL--DECEASED

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

NOT APPLICABLE

- b The form in which applications should be submitted and information and materials they should include.

NOT APPLICABLE

- c Any submission deadlines:

NOT APPLICABLE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NOT APPLICABLE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENT				
Total				47,000
b Approved for future payment NONE				
Total				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions)
		(a) Business code	(b) Amount	(c) Excl. code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	1,309,348.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental inc. or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	96,970.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				1,406,318.	
13	Total. Add line 12, columns (b), (d), and (e)					1,406,318.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule of Contributors

OMB No. 1545-0047

2009

▶ Attach to Form 990, 990-EZ, and 990-PF.

Name of the organization

G Carl Ball Family Foundation

Employer identification number

83-0366015

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule. (Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.)

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on Form 990, Part VIII, line 1h or 2% of the amount on Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. (If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year) ▶ \$ _____

Caution. Organization that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must answer "No" on Part IV, line 2 of their Form 990, or check the box in the heading of their Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

These instructions will be issued separately.

Name of organization

G Carl Ball Family Foundation

Employer identification number

83-0366015

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>1</u>	ESTATE OF G CARL BALL 800 ROOSEVELT ROAD GLEN ELLYN IL 60137-	\$ <u>963,919.</u>	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
—		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

G Carl Ball Family Foundation

83-0366015

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	WHITEHALL REAL ESTATE FUND	\$ <u>320,593.</u>	<u>04/01/2009</u>
<u>1</u>	GOLDMAN SACHS ASIA OPPORTUNITIES FUND	\$ <u>72,287.</u>	<u>04/01/2009</u>
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

G CARL BALL FAMILY FOUNDATION
FEIN #83-0366015

ASSET DETAIL
FOR THE YEAR ENDED DECEMBER 31, 2009

	End of Year Book Value	End of Year Fair Market Value	Beg of Year Book Value
SAVINGS AND TEMPORARY CASH INVESTMENTS			
Schwab cash reserves fund	1,161,504	1,161,504	4,355,131
Goldman Sachs bank deposit fund	49,638	49,638	-
TOTAL TEMPORARY CASH INVEST	1,211,142	1,211,142	4,355,131
INVESTMENTS--CORPORATE STOCK			
American Funds Europacific Fund	2,218,608	2,529,927	2,177,334
American Funds Growth Fund	1,589,887	1,659,128	1,460,120
Dodge & Cox Stock Fund	1,761,242	1,630,164	1,572,795
Dodge & Cox International Stock Fund	2,717,517	2,413,559	2,684,932
DWS Dreman Small Cap Fund	501,655	454,695	443,143
DWS RREEF Real Estate Fund	2,030,467	1,787,166	1,713,654
Lazard Emerging Markets	1,569,163	1,347,765	1,535,263
PIMCO Commodity Fund	2,356,149	1,801,483	1,806,491
Vanguard 500 Index Fund	3,708,843	3,314,293	3,324,382
Kayne Anderson MLP Inv't	1,062,310	1,177,463	928,791
Tortoise EGY Infrastruct	1,016,896	1,172,518	959,667
Cohen & Steers Intl	1,295,093	1,115,236	1,093,200
DFA Emerging Markets	1,431,903	1,567,459	1,381,312
Frontegra Ironbridge	789,364	724,319	787,151
Vanguard Small Cap	459,403	449,432	392,507
Whitehall Real Estate	356,338	273,174	-
Goldman Sachs Asia Opportunities	93,851	52,237	-
Aurora Fund	1,900,000	1,986,626	-
TOTAL CORPORATE STOCK	26,858,689	25,456,644	22,260,742
INVESTMENTS--CORPORATE BONDS			
Dodge & Cox Income Fund	4,296,082	4,489,487	4,022,864
PIMCO Foreign Bond Fund	1,380,804	1,345,181	1,281,811
PIMCO High Yield Fund	1,905,037	1,779,193	1,769,397
PIMCO Real Return Fund	3,934,554	3,382,116	3,735,523
Vanguard Total Bond Market	4,045,767	4,199,713	3,874,450
T Rowe Pnce Intl Bond Fund	1,300,582	1,314,369	1,240,721
TOTAL--CORPORATE BONDS	16,862,826	16,510,059	15,924,766
TOTAL ASSETS	44,932,657	43,177,845	42,540,639

G. CARL BALL FAMILY FOUNDATION
CAPITAL GAINS
YEAR ENDED DECEMBER 31, 2009

SECURITY	HOW ACQUIRED	DATE SOLD	DATE ACQUIRED	# SHS SOLD	PROCEEDS	BASIS	GAIN(LOSS)	LONG TERM	SHORT TERM
MUTUAL FUNDS									
OSW RREEF REAL ESTATE	D	10/1/2009	VARIOUS	4,869	60,100	118,352	(58,252)	(58,252)	
OTHER SECURITIES									
SEAGATE TECHNOLOGY	D	9/23/2009	VARIOUS	200	20	-	20	20	
CLASS ACTION SETTLEMENTS									
BRISTOL MYERS SQUIBB		3/2/2009			22		22	22	
AT&T CORPORATION		3/4/2009			77		77	77	
TYCO INTERNATIONAL LIMITED		4/1/2009			354		354	354	
SPRINT PCS GROUP		4/3/2009			32		32	32	
EL PASO CORP		4/14/2009			140		140	140	
MOTOROLA INC		6/2/2009			162		162	162	
NEWMONT MINING CORP		6/4/2009			15		15	15	
AIG INC		6/18/2009			375		375	375	
SYMBOL TECHNOLOGY		7/8/2009			31		31	31	
CARDINAL HEALTH		9/21/2009			56		56	56	
FED HOME LOAN MORTGAGE		8/21/2009			21		21	21	
CARDINAL HEALTH		9/24/2009			4		4	4	
MCKESSON HBO INC		10/20/2009			16		16	16	
CHIRON CORP		10/28/2009			83		83	83	
CAPITAL GAINS DISTRIBUTIONS									
SCHWAB ACCOUNT 6114-9624							51,087	51,087	
WHITEHALL REAL ESTATE FUND							59,052	59,052	
GS SPEC OP ASIA FUND							43,675	43,700	(25)
TOTALS					61,508		96,970	96,995	(25)

**G CARL BALL FAMILY FOUNDATION
FEIN #83-0366015**

PART XV, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT	RELATION	FOUND STATUS	PURPOSE	AMOUNT
MORTON ARBORETUM GLEN ELLYN, IL	N/A	PUBLIC CHARITY	SUPPORT OPERATIONS	20,000
WESTERN FOLKLIFE CENTER ELKO, NV	N/A	PUBLIC CHARITY	SUPPORT OPERATIONS	10,000
LIMESTONE COLLEGE GAFFNEY, SC	N/A	PUBLIC CHARITY	SUPPORT OPERATIONS	5,500
THOMAS JEFFERSON SCHOOL ST. LOUIS, MO	N/A	PUBLIC CHARITY	SUPPORT OPERATIONS	5,000
DUPAGE SR CITIZEN COUNCIL GLEN ELLYN, IL	N/A	PUBLIC CHARITY	SUPPORT OPERATIONS	3,000
GLEN ELLYN HISTORICAL SOCIETY GLEN ELLYN, IL	N/A	PUBLIC CHARITY	SUPPORT OPERATIONS	1,500
GLEN ELLYN 4TH OF JULY COMMITTEE GLEN ELLYN, IL	N/A	PUBLIC CHARITY	SUPPORT OPERATIONS	1,000
GLEN ELLYN VOLUNTEER FIRE DEPT GLEN ELLYN, IL	N/A	PUBLIC CHARITY	SUPPORT OPERATIONS	1,000
			TOTAL	47,000