

## See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



### Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury Internal Revenue Service Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements For calendar year 2009, or tax year beginning , 2009, and ending Initial Return of a former public charity G Check all that apply Initial return Final return Amended return Address change Name change Employer identification number Use the SHEP-ROCK, INC IRS label. 82-0371391 Otherwise, C/O GORDON ROCK В Telephone number (see the instructions) print 5820 ESAT MERCER WAY 206-232-6874 or type. See Specific MERCER ISLAND, WA 98040 C If exemption application is pending, check here Instructions D 1 Foreign organizations, check here Check type of organization: |X| Section 501(c)(3) exempt private foundation 2 Foreign organizations meeting the 85% test, check here and attach computation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation If private foundation status was terminated Fair market value of all assets at end of year J Accounting method X Cash under section 507(b)(1)(A), check here . (from Part II, column (c), line 16) Other (specify) If the foundation is in a 60-month termination 1,921,696. (Part I, column (d) must be on cash basis ) under section 507(b)(1)(B), check here. . Part I Analysis of Revenue and (a) Revenue and (b) Net investment (c) Adjusted net (d) Disbursements Expenses (The total of amounts in expenses per books income income for charitable columns (b), (c), and (d) may not necespurposes sarily equal the amounts in column (a) (cash basis only) (see the instructions).) 100 Contributions, gifts, grants, etc, received (att sch) Ck X if the foundn is not req to att Sch B Interest on savings and temporary cash investments 2,453 2,453 2,453 Dividends and interest from securities 5a Gross rents **b** Net rental income or (loss). 6a Net gain/(loss) from sale of assets not on line 10 **b** Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2). Net short-term capital gain Income modifications 10a Gross sales less returns and allowances. b Less Cost of goods sold. c Gross profit/(loss) (att sch). 11 Other income (attach schedule) Total. Add lines 1 through 11 12 2,453 13 2.500. Compensation of officers, directors, trustees, etc. Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees (attach schedule) ADMINISTRATIVE **b** Accounting fees (attach sch) 950 950. SEE ST 1 950 c Other prof fees (attach seh) SEE ST 250. 250 250. 17 Interest RECEIVED Taxes (attach schedule) see instr.)

Deprecuation (estrach sch) and deptet on APR 2 6 2010 18 SO S Occupancy 692 692 692 Travel, conferences, and meetings
Printing and publications DEN, U 21 22 EXPENSES Other expenses (attach schedule) Total operating and administrative expenses. Add lines 13 through 23 24 4,392 4,392 4,392 25 Contributions, gifts, grants paid PART XV 70,854 70,854 Total expenses and disbursements. Add lines 24 and 25. 75,246 0 4,392 75,246. 27 Subtract line 26 from line 12: a Excess of revenue over expenses -72,693 and disbursements 2,453. b Net investment income (if negative, enter -0-)

0.



| Part        | II    | Balance Sheets                                 | Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year        |                                       | t year                |
|-------------|-------|--|---|--------------------------|---------------------------------------|-----------------------|
| - 436       |       |  | (See instructions)  | (a) Book Value           | (b) Book Value                        | (c) Fair Market Value |
|             |       | Cash – non-interest                            | , i   |                          | · · · · · · · · · · · · · · · · · · · |                       |
|             |       |  | ary cash investments  | 666,664.                 | 543,816.                              | 543,816.              |
|             | 3     | Accounts receivable                            |   |                          | <u></u>                               |                       |
|             |       | Less: allowance for                            | doubtful accounts   |                          |                                       |                       |
|             | 4     | Pledges receivable                             |   |                          |                                       |                       |
|             | _     | Less: allowance for                            | doubtful accounts   |                          |                                       |                       |
|             | 5     | Grants receivable                              |   |                          |                                       |                       |
|             | 6     | disqualified persons (atta                     | cers, directors, trustees, and other ch schedule) (see the instructions)                        |                          | ·······                               |                       |
| Δ           | 7     | Other notes and loans rec                      | · · ·   |                          |                                       |                       |
| ŝ           | _     | Less allowance for                             |   |                          | <u> </u>                              |                       |
| S           | _     | Inventories for sale                           | · · · · · · · · · · · · · · · · · · ·   |                          |                                       |                       |
| ASSETS      |       | Prepaid expenses a                             | •   |                          |                                       |                       |
| S           |       | obligations (attach s                          | · •   |                          |                                       |                       |
|             |       |  | stock (attach schedule) STATEMENT 3   | 814,087.                 | 882,310.                              | 709,370.              |
|             | (     | : Investments — corporate                      | bonds (attach schedule)   |                          |                                       |                       |
|             | 11    | Investments — land, equipment: basis.          | buildings, and  |                          | · ·                                   |                       |
|             |       | Less: accumulated deprec (attach schedule) .   | elation . •   |                          |                                       | 668,510.              |
|             | 12    | Investments - morte                            | gage loans  |                          |                                       |                       |
|             | 13    | Investments - other                            | r (attach schedule)   |                          |                                       |                       |
|             | 14    | Land, buildings, and e                         | equipment. basis . •668,510.  |                          |                                       |                       |
|             |       | Less: accumulated deprec<br>(attach schedule)  | nation<br>SEE STMT 4 ►280,040.  | 407,024.                 | 388,470.                              |                       |
|             |       | Other assets (descri                           | be) completed by all filers — o, see page 1, item I)  | 1 007 775                | 1 014 506                             | 1 021 606             |
| 1           | 17    |  | nd accrued expenses   | 1,887,775.               | 1,814,596.                            | 1,921,696.            |
| Ţ           | 18    |  | id accided expenses   |                          |                                       |                       |
| A<br>B      |       | Deferred revenue                               | · ···   |                          |                                       |                       |
| 1           | 20    |  | tors, trustees, & other disqualified persons.   |                          |                                       |                       |
| L           | 21    |  | s payable (attach schedule)   |                          |                                       | <u> </u>              |
| T           | 22    |  | · · · · · · · · · · · · · · · · · · ·   |                          |                                       |                       |
| E           |       |  |   |                          |                                       |                       |
| S           | 23    |  | lines 17 through 22) .  | 0.                       | 0.                                    | ·                     |
|             |       | Foundations that fo<br>and complete lines      | llow SFAS 117, check here.<br>24 through 26 and lines 30 and 31.                                |                          |                                       |                       |
| N F U N     | 24    | Unrestricted                                   |   |                          |                                       |                       |
| ĪΝ          | 25    | Temporarily restricted                         |   |                          |                                       |                       |
| Ð           | 26    | Permanently restrict                           |   |                          |                                       |                       |
| A B A L A N |       | Foundations that do<br>and complete lines      | o not follow SFAS 117, check here X<br>27 through 31.   |                          |                                       |                       |
| E L<br>T A  | 27    | Capital stock, trust p                         | orincipal, or current funds   |                          |                                       |                       |
| ŚÑ          | 28    | Paid-in or capital surplus,                    | or land, building, and equipment fund.  |                          |                                       | '                     |
| O E         | 29    | Retained earnings, accumi                      | ulated income, endowment, or other funds  | 1,887,775.               | 1,814,596.                            |                       |
| RS          | 30    |  | fund balances (see the instructions)  | 1,887,775.               | 1,814,596.                            |                       |
|             | 31    | Total liabilities and<br>(see the instructions | net assets/fund balances  | 1,887,775.               | 1,814,596.                            |                       |
| Part        |       | <b>Analysis of Chan</b>                        | ges in Net Assets or Fund Balance   | es                       |                                       |                       |
| 1           | Total | net assets or fund ba                          | alances at beginning of year – Part II, colui<br>d on prior year's return)                      | mn (a), line 30 (must aç | ree with                              | 1,887,775.            |
|             |       | r amount from Part I,                          |   |                          | 2                                     | -72,693.              |
|             |       | increases not included in li                   |   | • •                      | 3                                     |                       |
|             |       | lines 1, 2, and 3                              |   |                          |                                       | 1,815,082.            |
|             |       | ases not included in line 2 (                  | (itemize) ► SEE STATEMENT   | 5                        | 5                                     | 486.                  |
| 6           | Total | net assets or fund ba                          | alances at end of year (line 4 minus line 5)  |                          | ne 30 . <b>6</b>                      | 1,814,596.            |

| 2-story brick warehouse, or common stock, 200 shares MLC Company)  1 a N/A  b c c d e (e) Gross sales price (f) Depreciation allowed (or allowable)  (g) Cost or other haus (g) Description of the foundation on 12/31/59 (g) Excess of sale  (h) Gam or (test) (g) Disarction on 12/31/59  Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/59 (g) Excess of column (f) a b C Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/59 (g) Excess of column (f) a b C Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/59 (g) Excess of column (h) a b C Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/59 (g) Excess of column (h) a b C Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/59 (g) Excess of column (h) a in the foundation (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) gain naise column (h), if any (h) Gams (Column (h) (h) Gams (C | (a) List and describ   | Losses for Tax on Investment<br>be the kind(s) of property sold (e.g.,          | real estate.                   | (b) How acquired             | (C) Date acquired          | (d) Date sold           |
|--|--|---|--------------------------------|------------------------------|----------------------------|-------------------------|
| b c d d e (e) Gross sales price (f) Depreciation allowed (or allowable) (p) Cost or other basis (b) Cain or (loss) (c) plus (f) minus (g) a b b c c d d d d d d d d d d d d d d d d  | 2-stóry brick warehou  | use, or common stock, 200 shares N  | ILC Company)                   | P — Purchase<br>D — Donation | (month, day, year)         | (month, day, year)      |
| c d e (e) Gross sales price (f) Depresation allowed (g) Cost or other base plus expense of sale (e) plus (f) minus (g)  a  | 1a N/A   |   |                                |                              |                            |                         |
| e (e) Gross sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale (e) plus (f) mirus (g)  a  |  |   |                                | <del> </del>                 |                            |                         |
| e (e) Gross sales price (f) Depreciation allowed (g) Cost or other basis (e) plus (f) minus (g)  a  b  c  d  d  e  Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (g) Fair Market Value as of 12/31/69 (g) Fair Value (g) Fair  |  |   |                                |                              |                            |                         |
| (e) Gross sales price  (f) Depreciation allowed (g) Cost or other basis plus expense of sale  (f) Carllowable)  (g) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (g) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (g) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (g) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (g) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (g) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (g) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (l) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (l) Cost or other basis plus expense of sale  (h) Carn or (loss) (e) plus (f) minus (g)  (l) Cost or Other basis plus expense of sale  (l) Cost or Other basis plus  | <del></del>  |   |                                |                              | · -                        |                         |
| a  b c d d e Complete only for assets showing gain in column (ft) and owned by the foundation on 12/31/69 (i) Fair Market Value (ii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted basis as of 12/31/69 (iii) Fair Market Value (iii) Adjusted lass of 12/31/69 (iii) Fair Market Value (iii) Adjusted fair M |  | (f) Depreciation allowed  | (a) Cost or other ba           | SIS                          | (h) Gain or                | (loss)                  |
| b c d d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (f) Gains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69 (f) Gains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69 (f) Gains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69 (f) Gains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69 (f) Gains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69 (f) Gains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69 (f) Gains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69 (f) Gains (Column (h) gain minus column (h) and owned by the foundation in foundation for the column for asset on 12/31/69 (f) Gains (Column (h) gain minus column for asset on 12/31/69 (f) Gains (Column (h) gain minus column for asset on 12/31/69 (f) Gains (Column (h) gain minus column for asset on 12/31/69 (f) Gains (Column (h) gain minus column for asset on 12/31/69 (f) Gains (Column (h) gain minus column for asset on 12/31/69 (f) Gains (Column (h) gain minus column for asset on 12/31/69 (f) Gains (Column (h) gain minus column for asset on 12/31/69 (f) Gains (Column for asset for 12/31/69 (f) Gains (Column for for 12/31/69 (f) Gains (Column for for 12/31/69 (f) Gains (Column for for for asset from for for asset for for for asset for for for asset for   |  |   |                                |                              |                            |                         |
| Complete only for assets showing gain in column (ft) and owned by the foundation on 12/31/69  (i) Fair Market Value  | a  |   |                                |                              |                            |                         |
| de Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (f) Fair Market Value   |  |   |                                |                              | <del></del>                |                         |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  (h) Fair Market Value os of 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation on 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation on 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation on 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation in 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation in 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation in 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation in 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation in 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation in 12/31/69  (h) Excess of column (h) gain minus column (h) that downed by the foundation in 12/21/69 and that less than 4-3-yer Losse (from column (h) than 4-3-yer Losse (from c |  |   | ·                              |                              |                            |                         |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  (f) Fair Market Value   |  |   |                                |                              |                            |                         |
| (i) Fair Market Value as of 12/31/69  (ii) Fair Market Value as of 12/31/69  (iii) Over column (i) if any  gain minus column (iii) but not less than -0-) or Losses (from column (iii))  a b c d e 2 Capital gain net income or (net capital loss).  If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 3 Net short-term capital gain or (loss) as defined in sections 12/2(5) and (iii).  If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 7  Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  If Yes, the foundation does not qualify under section 4940(e). Do not complete this part.  If Yes No  If Yes No  Adjusted qualifying distributions  Net value of noncharitable-use assets  Column (iv) divided by column (c)  2008  2007  2006  2007  2006  2007  2006  2007  2006  2007  2006  3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If fine 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | <del></del>  | wing gain in column (h) and owned   | by the foundation on 12/31/    | 69                           | (I) Cause (Cole            | umn (h)                 |
| c c d d e 2 Capital gain net income or (net capital loss). — [if gain, also enter in Part I, line 7] 2 2 Capital gain net income or (net capital loss). — [if (loss), enter -0 - in Part I, line 7] 2 3 Net short-term capital gain or (loss) as defined in sections 122(5) and (6).  Part I, line 8   | (i) Fair Market Value  | (j) Adjusted basis  | (k) Excess of column           | ) ()                         | gain minus column (l       | k), but not less        |
| c d d e 2 Capital gain net income or (net capital loss). — [if gain, also enter in Part I, line 7]— 3 Net short-term capital gain or (loss) as defined in sections 122(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 7]— 3 Net short-term capital gain or (loss) as defined in sections 122(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- 3    Part V   Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income   | a  |   |                                |                              |                            |                         |
| d e 2 Capital gain net income or (net capital loss). — If gain, also enter in Part I, line 7 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).  If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0. In Part I, line 8.  Part V   Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?   X Yes   No If Yes; the foundation does not qualify under section 4940(e). Do not complete this part.   N/A  1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.  (a)  Base period years of tax year beginning in)  Adjusted qualifying distributions  Calendar year (of tax year beginning in)  Adjusted qualifying distributions  Calendar year (of tax year base)  Adjusted qualifying distributions  Adjusted qualifying distributions  Adjusted qualifying distributions  Adjusted qualifying distributions  Adjusted private the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  Multiply line 4 by line 3  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI. line 1b, and complete that part using a 1% tax rate. See the  | <del></del>  |   |                                |                              |                            |                         |
| 2 Capital gain net income or (net capital loss). — If gain, also enter in Part I, line 7   | <del></del>  | <del></del>   |                                |                              |                            |                         |
| 2 Capital gain net income or (net capital loss).    If gain, also enter in Part I, line 7    3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).    If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0    Japart V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?    Yes the foundation does not qualify under section 4940(e). Do not complete this part.    N/A  1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.  (a)  Capital gain and the foundation does not qualify under section 4940(e). Do not complete this part.    N/A  Adjusted qualifying distributions    Calendar year (or lax year beginning in)  Adjusted qualifying distributions    Calendar year (or lax year beginning in)  Adjusted qualifying distributions    Calendar year (or lax year beginning in)  Adjusted qualifying distributions    Calendar year (or lax year beginning in)  Adjusted qualifying distributions    Calendar year (or lax year beginning in)  Adjusted qualifying distributions    Calendar year (or lax year beginning in)  Adjusted qualifying distribution    Column (b) divided by column (c))  2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and compl |  |   |                                |                              |                            |                         |
| 3 Net short-term capital gain or (loss) as defined in sections 122(5) and (6).  If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- In Part I, line 8  Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No (Yes; the foundation does not qualify under section 4940(e). Do not complete this part. N/A  1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.  (a) Bases produces a produce of tax year beginning in)  Adjusted qualifying distributions  (b) Adjusted qualifying distributions  (c)  Revealed of time 1, column (d)  3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  | 2. Capital dain net income or /p   | et capital loss) If gain, also  | enter in Part I, line 7        |                              |                            |                         |
| If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0  |  | _ ii (ioss), eii  |                                | 2                            |                            | <u> </u>                |
| In Part I, line 8 Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? X Yes No  If Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.  N/A  1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.  (a)  (b)  (c)  (c)  Net value of  Distribution ratio  (column (b) divided by column (c))  2008  2007  2008  2005  2005  2004  2 Total of line 1, column (d)  2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  | 3 Net short-term capital gain or   | (loss) as defined in sections 1222(5  | 5) and (6).                    |                              |                            |                         |
| Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? X Yes No fit Yes, the foundation does not qualify under section 4940(e). Do not complete this part. N/A  1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.  (a)  Base period years Cabendar year (of tax year beginning in)  2008 2007 2008 2007 2006 2005 2004  2 Total of line 1, column (d) 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | If gain, also enter in Part I, lir                                       | ne 8, column (c) (see the instruction   | s). If (loss), enter -0-       | .   4                        |                            |                         |
| For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  | · · · · · · · · · · · · · · · · · · ·                                    | r Section 4940(e) for Reduced   | Tax on Net Investme            |                              |                            |                         |
| Base period years Calendar year (or lax year beginning in)  Adjusted qualifying distributions  Net value of noncharitable-use assets  Coolumn (b) divided by column (c))  2008 2007 2006 2005 2004  2 Total of line 1, column (d)  Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  Multiply line 4 by line 3  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If fine 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | Was the foundation liable for the self 'Yes,' the foundation does not qu | ection 4942 tax on the distributable a<br>alify under section 4940(e). Do not o | complete this part.            | N/A                          |                            | ☐ No                    |
| 2008 2007 2006 2005 2004  2 Total of line 1, column (d) 2 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  4 Multiply line 4 by line 3  5 Multiply line 4 by ne 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  | (a) Base period years Calendar year (or tax year                         | (b)   | (c)<br>Net value of            |                              | <b>(d)</b><br>Distribution | ratio<br>by column (c)) |
| 2005 2004  2 Total of line 1, column (d) 2 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  | 2008   |   |                                |                              |                            |                         |
| 2005 2004  2 Total of line 1, column (d) 2 Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  Multiply line 4 by line 3  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  |  |   |                                |                              |                            |                         |
| 2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  7 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  | 2006   |   |                                |                              |                            |                         |
| 2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  |  |   |                                |                              |                            |                         |
| Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | 2004   |   | _                              |                              | <del> </del>               |                         |
| Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | 2 Total of line 1. column (d)  |   |                                | ,                            |                            |                         |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  7 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | 3 Average distribution ratio for t                                       | the 5-year base period– divide the to   | otal on line 2 by 5, or by the |                              |                            | · · · · · ·             |
| 5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  7 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | ·  |   | •                              |                              |                            |                         |
| 7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  |  |   |                                | 5                            |                            |                         |
| 8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | 6 Enter 1% of net investment in  | ncome (1% of Part I, line 27b)  |                                | 6                            |                            |                         |
| If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | 7 Add lines 5 and 6  |   |                                | 7                            |                            |                         |
| If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the   | 8 Enter qualifying distributions t                                       | from Part XII, line 4   |                                | 8                            |                            |                         |
| FAIL VEIDSUUCIONS  | If line 8 is equal to or greater Part VI instructions                    | than line 7, check the box in Part V  | I, line 1b, and complete tha   | it part using a              | 1% tax rate. See           | e the                   |

| Form 990-PF (2009) SHEP-F   | ROCK, INC 82-  | -037139      | 1 _           | F        | Page <b>4</b>                                    |
|---|--|--------------|---------------|----------|--|
| Part VI Excise Tax B  | ased on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - se   | e the instr  | uctions)      |          |  |
| 1 à Exempt operating foundations de   | lescribed in section 4940(d)(2), check here .   and enter 'N/A' on line 1.   |              |               |          |  |
| Date of ruling or determination   | letter: (attach copy of letter if necessary - see instr.)  |              |               |          |  |
| <b>b</b> Domestic foundations that  | at meet the section 4940(e) requirements in Part V,  | 1            |               |          | 49.  |
| check here ► 🔛 and e  | enter 1% of Part I, line 27b   |              |               |          |  |
| c All other domestic foundations of   | enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)   |              |               |          |  |
| 2 Tax under section 511 (d  | domestic section 4947(a)(1) trusts and taxable   | _            |               |          |  |
| foundations only. Others  |  | 2            |               |          | 0.   |
| 3 Add lines 1 and 2   |  | 3            |               |          | 49.  |
| 4 Subtitle A (income) tax (   | domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).  | 4            |               |          | 0.   |
| 5 Tax based on investmen  | nt income. Subtract line 4 from line 3. If zero or less, enter -0  | 5            |               |          | 49.  |
| 6 Credits/Payments:   |  |              |               |          |  |
| a 2009 estimated tax pmts and 20  | 008 overpayment credited to 2009   | _            |               |          |  |
| <b>b</b> Exempt foreign organization  | tions – tax withheld at source 6b  | <b>↓</b>     |               |          |  |
| •   | n for extension of time to file (Form 8868)  | <b>.</b>     |               |          |  |
| - '   | neously withheld   | -}           |               |          |  |
| 7 Total credits and paymer  |  | 7            |               |          | 0.   |
|   | derpayment of estimated tax. Check here if Form 2220 is attached .   | 8            |               |          |  |
|   | and 8 is more than line 7, enter <b>amount owed</b>  | 9            |               |          | <u>49.</u>                                       |
|   | than the total of lines 5 and 8, enter the <b>amount overpaid</b>  | 10           |               |          |  |
| 11 Enter the amount of line 10 to b   |  | 11           |               |          |  |
| Part VII-A Statements R   | Regarding Activities   |              | <del></del> - |          | T  |
| 1a During the tax year, did t   | the foundation attempt to influence any national, state, or local legislation or did it  |              |               | Yes      | +  |
| participate or intervene ii   | n any political campaign?  |              | 1a            | <b> </b> | <u> </u>   |
| <b>b</b> Did it spend more than \$ (see the instructions for a                    | 6100 during the year (either directly or indirectly) for political purposes definition)?   |              | 1 b           |          | X  |
|   | <b>1a</b> or <b>1b,</b> attach a detailed description of the activities and copies of any materials produced in the connection with the activities.                                  | ublished     |               |          |  |
|   | orm 1120-POL for this year?  |              | 1c            |          | X  |
|   | ) of tax on political expenditures (section 4955) imposed during the year:   |              |               |          |  |
| (1) On the foundation   | ► \$ (2) On foundation managers ► \$   | 0            |               |          |  |
|   | t (if any) paid by the foundation during the year for political expenditure tax imposed  | on           |               | l        |  |
| foundation managers   | ►\$0.  |              |               | ļ        | <del>                                     </del> |
| <u> </u>  | ged in any activities that have not previously been reported to the IRS?.  | •••          | 2             |          | X  |
| •   | d description of the activities.   |              |               |          |  |
| 3 Has the foundation made   | e any changes, not previously reported to the IRS, in its governing instrument, article<br>ws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i> | <b>3</b> S   | . 3           |          | X  |
| · · · · · · · · · · · · · · · · · · ·   | unrelated business gross income of \$1,000 or more during the year?  |              |               |          | X  |
|   | return on <b>Form 990-T</b> for this year?   |              | 4a<br>4b      | N        | /A   |
|   | ation, dissolution, or substantial contraction during the year?  |              | 5             |          | X  |
|   | ment required by General Instruction T   |              | -             |          |  |
|   | section 508(e) (relating to sections 4941 through 4945) satisfied either.  |              | } }           |          | 1  |
| By language in the gov  | •  |              |               | ĺ        |  |
|   |  |              |               | l        |  |
|   | it effectively amends the governing instrument so that no mandatory directions that of an interest on the governing instrument?  | conflict     | . 6           | X        |  |
|   | \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV   |              | 7             | Х        |  |
|   | the foundation reports or with which it is registered (see the instructions)   |              |               | İ        |  |
| N/A   |  |              |               | ļ        |  |
| <b>b</b> If the answer is 'Yes' to line 7, i<br>(or designate) of each state as r | has the foundation furnished a copy of Form 990-PF to the Attorney General required by General Instruction G? If 'No,' attach explanation  |              | 8Ь            | Х        |  |
| 9 Is the foundation claiming  | g status as a private operating foundation within the meaning of section 4942(j)(3) of   | or 4942(j)(5 | )             |          |  |
| for calendar year 2009 or   | the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' comple   | te Part XII  | 9             |          | X  |
| 10 Did any persons become<br>and addresses.                                       | substantial contributors during the tax year? If 'Yes,' attach a schedule listing their  | names        | 10            | L        | X  |
| BAA   |  | -            | orm <b>99</b> | 0-PF     |  |

|     | n 990-PF (2009) SHEP-ROCK, INC  | 82-037139                             | L_  | F        | age <b>5</b> |
|-----|---|---------------------------------------|-----|----------|--------------|
| Par | t VII-A Statements Regarding Activities Continued   | · · · · · · · · · · · · · · · · · · · |     |          |              |
| 11` | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)   |                                       | 11_ |          | х            |
| 12  | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?   |                                       | 12  |          | x            |
| 13  | Did the foundation comply with the public inspection requirements for its annual returns and exemption. Website address   | •                                     | 13  | X        |              |
| 14  | The books are in care of ► GORDON ROCK  Located at ► 5820 EAST MERCER WAY MERCER INSLAND WA  ZIP + 4 ►  Section 4947(a)(1) propagation to charitable trusts filing Form 990 PE in liquid form 1041 — Check here   | e no. ► 206-23                        | 2-6 | 874      |              |
| 15  | Occupit 4547 (a)(1) Horiexempt charitable trusts ming 1 of 11 5504 1 11 Hea of 1 of 11 1041 — Office Niele  |                                       | N/A | <u>-</u> | $\Box$       |
|     | and enter the amount of tax-exempt interest received or accrued during the year   | ▶ 15                                  |     |          | N/A          |
| Par | t VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   |                                       |     |          |              |
|     | File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.   |                                       |     | Yes      | No           |
| 1 a | a During the year did the foundation (either directly or indirectly)  |                                       |     |          |              |
|     | (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  | Yes X No                              |     |          |              |
|     | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  | Yes X No                              |     |          |              |
|     | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  | Yes X No                              |     |          |              |
|     | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  | Yes X No                              |     |          | .            |
|     | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?   | Yes X No                              |     |          |              |
|     | (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)  | Yes X No                              |     |          |              |
| t   | b If any answer is 'Yes' to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in<br>Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructi   | ons)? <u>.</u>                        | 1 b | N        | /A           |
|     | Organizations relying on a current notice regarding disaster assistance check here  | ► 📗                                   |     |          |              |
| C   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?   |                                       | 1c  |          | X            |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  |                                       |     |          |              |
| a   | a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?   | Yes X No                              |     |          |              |
|     | If 'Yes,' list the years ► 20 , 20 , 20   | ,                                     |     | İ        |              |
| Ł   | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942   | (a)(2)                                |     |          |              |
|     | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942)  | (a)(2) to                             |     | 3.7      | /3           |
| _   | all years listed, answer 'No' and attach statement — see the instructions.)   | haa                                   | 2b  | - IN     | /A           |
| •   | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years $\ge 20$ , | nere.                                 |     |          | . 1          |
| _   |   |                                       | ľ   |          |              |
| 3 a | a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  | Yes X No                              |     |          |              |
| t   | of If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approve by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to  | d                                     |     |          |              |
|     | determine if the foundation had excess business holdings in 2009.)  | [                                     | 3Ь  | N        | /A_          |
| 4 a | a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   |                                       | 4a  |          | Х            |
| t   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax years beginning in 2002.  | -                                     | 46  |          |              |
| DAA | the tax year beginning in 2009?   |                                       | 4b  |          | <u>X</u>     |

Form **990-PF** (2009)

| Form 990-PF (2009) SHEP-ROCK, INC  | <del></del>  |   | 82-03  | 71391                     | Page 6         |
|--|--|---|--|---------------------------|----------------|
| Part VII-B Statements Regarding Activit  | ies for Which Forn   | n 4720 May Be Req                                   | uired (continued)  |                           |                |
| 5à During the year did the foundation pay or incu  | ir any amount to:  |   |  |                           |                |
| (1) Carry on propaganda, or otherwise attempt  | ot to influence legislation                                    | on (section 4945(e))?                               | Yes X  | No                        |                |
| (2) Influence the outcome of any specific pub<br>on, directly or indirectly, any voter registra  | lic election (see section ation drive? .                       | n 4955); or to carry                                | Yes X  | No                        |                |
| (3) Provide a grant to an individual for travel,   |  |   | Yes X  | No                        |                |
| (4) Provide a grant to an organization other the in section 509(a)(1), (2), or (3), or section   | han a charitable, etc, o<br>4940(d)(2)? (see instr             | rganization described uctions)                      | Yes X  | No                        |                |
| (5) Provide for any purpose other than religio<br>educational purposes, or for the prevention  | us, charitable, scientific<br>n of cruelty to children         | c, literary, or or animals?                         | Yes X  | No                        |                |
| b If any answer is 'Yes' to 5a(1)-(5), did any of described in Regulations section 53.4945 or in (see instructions)?                               | the transactions fail to<br>a current notice regar             | qualify under the excep<br>ding disaster assistance | tions  | 5 <b>b</b>                | N/A            |
| Organizations relying on a current notice rega   | rding disaster assistan  | ce check here                                       | . ▶□   |                           |                |
| c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon If 'Yes,' attach the statement required by Region 1. | sibility for the grant?  |   | N/A Yes  | No                        |                |
| 6a Did the foundation, during the year, receive as on a personal benefit contract?   | • • •  |   | ∐Yes X   | No                        |                |
| <b>b</b> Did the foundation, during the year, pay prem<br>If 'Yes' to 6b, file Form 8870   | iums, directly or indirec                                      | tly, on a personal bene                             | fit contract?  | _6ь                       | X .            |
| 7a At any time during the tax year, was the found  | dation a party to a proh                                       | iibited tax shelter transa                          | ction? Yes X   | No                        |                |
| <b>b</b> If yes, did the foundation receive any proceed  | s or have any net incor  | me attributable to the tra                          | ansaction? .   | . 7b                      | N/A            |
| Part VIII Information About Officers, D  | irectors, Trustees,  | Foundation Manag                                    | gers, Highly Paid E  | mployees,                 |                |
| and Contractors  |  |   |  |                           |                |
| 1 List all officers, directors, trustees, foundation   |  |   |  | 1455                      |                |
| (a) Name and address   | (b) Title and average<br>hours per week<br>devoted to position | (c) Compensation<br>(If not paid, enter -0-)        | (d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation | (e) Expense a other allow |                |
| SHIRLEY ROCK   | SECRETARY/DI   | 0.  | 0.   |                           | 0.             |
| 5820 EAST MERCER WAY   | 0  |   |  |                           |                |
| MERCER ISLAND, WA 98040  |  |   |  |                           |                |
| GORDON ROCK  | PRESIDENT/DI   | 0.  | 0.   |                           | 0.             |
| 5820 EAST MERCER WAY<br>MERCER ISLAND, WA 98040  | 0  |   |  |                           |                |
| CHRIS VILLANI  | TREASURER/DI   | 0.  | 0.   | <del> </del>              | 0.             |
| PO BOX 3707 MC 13-08   | 0  | "   | 0.   |                           | 0.             |
| SEATTLE, WA 98124-2207   |  |   |  |                           |                |
| VIRGINIA RINALDI   | ASS SEC/DIR  | 2,500.  | 0.   |                           | 0.             |
| 2450 BLUEBERRY CIRCLE  | 0  | 2,300.  | 0.   |                           | 0.             |
| HAYDEN, ID 83835   |  |   |  |                           |                |
| 2 Compensation of five highest-paid employee   | s (other than those inc  | luded on line 1— see ir                             | structions). If none, e  | nter 'NONE.'              | <del></del>    |
| (a) Name and address of each employee paid more than \$50,000  | (b) Title and average hours per week devoted to position       | (c) Compensation                                    | (d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation | (e) Expense a other allow |                |
| NONE   |  |   |  |                           |                |
|  |  |   |  |                           |                |
|  |  |   |  |                           |                |
|  |  |   |  |                           | <del></del>    |
|  |  |   |  |                           |                |
|  |  |   |  |                           |                |
| Total number of other employees paid over \$50,000   | ) TEE 403061 (   |   |  | Form <b>990-P</b>         | 0<br>PF (2009) |

| Form <b>990-PF</b> (2009) SHEP-ROCK, INC 82-0  | 371391 Page <b>7</b>      |
|--|---------------------------|
| Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid and Contractors (continued)  | l Employees,              |
| 3 Five highest-paid independent contractors for professional services — (see instructions). If none, enter 'NONE.'   |                           |
| (a) Name and address of each person paid more than \$50,000 (b) Type of service  | (c) Compensation          |
| NONE   | (c) compensation          |
|  |                           |
|  |                           |
|  |                           |
|  |                           |
|  |                           |
| Total number of others receiving over \$50,000 for professional services.  | <b>&gt;</b> 0             |
| Part IX-A Summary of Direct Charitable Activities  |                           |
|  | <del>-</del>              |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses                  |
| 1 PROVIDED HANGER & COMMUNICATIONS CENTER FOR SEARCH & RESCUE AIRCRAFT AND CIVIL AIR PATROL AIRCRAFT AND ADMINISTRATION SPACE FOR NORTHERN WING OF CIVIL AIR PATROL.   | 26,854.                   |
| 2  |                           |
| 3  | _                         |
|  |                           |
| 4  | -<br>-                    |
| Part IX-B Summary of Program-Related Investments (see instructions)  |                           |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.  | Amount                    |
| 1 <u>N/A</u>   | _                         |
| 2  | _                         |
|  | -                         |
| All other program-related investments See instructions.  3   | _                         |
|  | -                         |
| Total. Add lines 1 through 3   | Form <b>990-PF</b> (2009) |
| was .  | rom <b>330-PF</b> (2009)  |

| Form 990-PF (2009) SHEP-ROCK, INC   | 82-037139       | 91 Page <b>8</b>         |
|---|-----------------|--------------------------|
| Part X Minimum Investment Return (All domestic foundations must complete this pair see instructions.)   | t. Foreign for  |                          |
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes a Average monthly fair market value of securities                                       |                 |                          |
|   | . <u>1a</u>     | 595,113.                 |
| <b>b</b> Average of monthly cash balances   | 1b              | 648,402.                 |
| c Fair market value of all other assets (see instructions)  | 1c              | 1 040 545                |
| d Total (add lines 1a, b, and c)  | 1 d             | 1,243,515.               |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c   |                 |                          |
| (attach detailed explanation)   | 0.              | 0                        |
| 3 Subtract line 2 from line 1d  | 2 3             | 0.<br>1,243,515.         |
|   | -3              | 1,243,315.               |
| 4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)   | 4               | 18,653.                  |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4  | 5               | 1,224,862.               |
| 6 Minimum investment return. Enter 5% of line 5   | 6               | 61,243.                  |
| Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private (  |                 |                          |
| and certain foreign organizations check here ► and do not complete th   |                 |                          |
| 1 Minimum investment return from Part X, line 6   | 1               | 61,243.                  |
| 2a Tax on investment income for 2009 from Part VI, line 5 2a  | 49.             |                          |
| b Income tax for 2009 (This does not include the tax from Part VI.) . 2b  |                 |                          |
| c Add lines 2a and 2b   | 2c              | 49.                      |
| 3 Distributable amount before adjustments. Subtract line 2c from line 1   | 3               | 61,194.                  |
| 4 Recoveries of amounts treated as qualifying distributions   | . 4             |                          |
| <b>5</b> Add lines 3 and 4  | 5               | 61,194.                  |
| 6 Deduction from distributable amount (see instructions)  | . 6             |                          |
| 7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1   | . 7             | 61,194.                  |
| Part XII Qualifying Distributions (see instructions)  |                 |                          |
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc. purposes  |                 |                          |
| <ul> <li>Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes</li> <li>Expenses, contributions, gifts, etc – total from Part I, column (d), line 26.</li> </ul> | 1a              | 75,246.                  |
| <b>b</b> Program-related investments — total from Part IX-B   | 1 b             |                          |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes .  | 2               |                          |
| 3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required).   |                 |                          |
|   | 3a              |                          |
| <b>b</b> Cash distribution test (attach the required schedule)  | . 3b            | <del></del>              |
| 4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4   | - 4             | 75,246.                  |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.<br>Enter 1% of Part I, line 27b (see instructions)   | 5               |                          |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4  | 6               | 75,246.                  |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating qualifies for the section 4940(e) reduction of tax in those years                               | whether the fou | ındatıon                 |
| BAA   |                 | orm <b>990-PF</b> (2009) |

# Part XIII Undistributed Income (see instructions)

| Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . 0.  Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) . 0.  Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . 45, 634.  |  |  | (a)<br>Corpus | (b)<br>Years prior to 2008 | <b>(c)</b><br>2008 | <b>(d)</b><br>2009 |
|---|--|--|---------------|----------------------------|--------------------|--------------------|
| 2 Undistributed mome, if any, as of the ent of 2000:  a Enter amount for 2008 only), b Total for prory years: 20  |  | r 2009 from Part XI,                       |               |                            |                    | 61 104             |
| a Enter amount for 2008 only b Total for pror years: 20   |  | os of the end of 2009:                     |               |                            |                    | 61,194.            |
| b Total for pmor years: 20 _ 20 _ 20 _ 3  Excess distributions carryover, if any, to 2009:  a From 2004 b From 2005   | • • •  |  |               |                            | 0                  | ;                  |
| 3 Eures distributions carryover, if any, to 2009: a From 2004 b From 2005 c From 2007 10, 352. e From 2007 1 Total of lines 3a through e 4 Cualifying distributions for 2009 from Part XIII, line 4: ► ♀ 75, 246. a Applied to 2008, but not more than line 2a b Applied to 2008, but not more than line 2a c Applied to 2008, but not more than line 2a c Applied to 2009 distributibles amount (Election required — see instructions) c Treated as distributions out of corpus (Election required — see instructions) c Treated as distributions out of corpus (Election required — see instructions) c Treated as distributions out of corpus (Election required — see instructions) c Treated as distributions out of corpus (Election required — see instructions) c Treated as distributions out of corpus (Election required — see instructions) c Erneaming amount distributed out of corpus (If an amount appears in column (a)) c Enter the net total of each column as indicated below: a Corpus A flow in the 2b c Enter the armount of prior years' undistributed income (a) c Enter the amount of prior years' undistributed income (a) c Enter the amount of prior years' undistributed income (a) c Enter the amount of prior years' undistributed income (b) c Enter the amount of prior years' undistributed income (a) c Enter the amount of prior years' undistributed income (b) c Enter the amount of prior years' undistributed income (b) c Enter the amount of prior years' undistributed income (b) c Enter the amount of prior years' undistributed income (b) c Enter the amount of prior years' undistributed income (b) c Enter the amount of prior years' undistributed income (b) c Enter the amount of prior years' undistributed out of prior years' undistributed income (b) c Enter the amount of prior years' undistributed out of year of years' undistributed out of year of years' undistributed out of yea |  | -  |               | 0.                         |                    | <u> </u>           |
| a From 2005 b From 2005 c From 2006 d From 2007 10,352. e From 2008 15,778.  1 Total of lines 3a through e 4 Qualifying distributions for 2009 from Part XII, line 4: P\$ 75,246. a Applied to 2008, but not more than line 2a b Applied to 2008, but not more than line 2a b Applied to 2008, but not more than line 2a b Applied to 2009 distributible amount e Remaining amount distributed out of corpus (Election required — see instructions) d Applied to 2009 distributible amount e Remaining amount distributed out of corpus (I en amount appears in column (d), the same amount must be shown in column (a)  6 Enter the net total of each column as indicated below: a Corpus, Add lines 3t, 4c, and 4e. Subtract line 5 b Pror years' undistributed income. Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed ed income for which a notice of deficiency has been issued, or on which the section 4940(c)) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount — see instructions  1 Undistributed income for 2008. Subtract lines 4d and 5 from line 1 This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(0)(3) (see instructions) 0.  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) 0.  9 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) 0. 45, 634.   |  | ,  |               |                            |                    |                    |
| CFrom 2005  |  | , ,,                                       |               |                            |                    | •                  |
| d From 2007   | <b>b</b> From 2005   | 5,452.                                     |               |                            |                    |                    |
| e From 2008   | <b>c</b> From 2006 .                                       |  |               |                            |                    |                    |
| 1 Total of lines 3 at brough e 4 Qualifying distributions for 2009 from Part XII, line 4; ▶ \$ 75,246.  a Applied to 2008, but not more than line 2a b Applied to undistributed income of prior years (Election required — see instructions)  c Treated as distributions out of corpus (Election required — see instructions)  d Applied to 2009 distributable amount  e Remaining amount distributed out of corpus (If an amount appears in column (d), the same amount must be shown in column (a))  Enter the net total of each column as indicated below:  a Corpus. Add line 3; 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b.  Enter the net mount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 494(2a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount — see instructions  f Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4a from line 2a. Taxable amount — see instructions  g Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  g Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  g Excess from 2005  Excess from 2005  Excess from 2006  | <b>d</b> From 2007 .                                       | 10,352.                                    |               |                            |                    |                    |
| 4 Qualifying distributions for 2009 from Part XII, line 4; F \$ 75, 246. Applied to 2008, but not more than line 2a   | <b>e</b> From 2008   | 15,778.                                    |               |                            |                    | **                 |
| XII, line 4:  \$ 75, 246. a Applied to 2008, but not more than line 2a b Applied to undistributed more of prior years (Election required — see instructions) c Treated as distributions out of corpus (Election required — see instructions) d Applied to 2009 distributable amount e Remaining amount distributed ort forcipus Exess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) to  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which be notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount — see instructions e Undistributed income for 2008. Subtract lines 4d and 5 from line 17 This amount must be distribution out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess from 2005  5, 452. b Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2006  | f Total of lines 3a through                                | he   | 31,582.       |                            |                    |                    |
| a Applied to 2008, but not more than line 2a  b Applied to undistributed income of prior years (Election required — see instructions)  c Treated as distributions out of corpus (Election required — see instructions)  d Applied to 2009 distributable amount  e Remaining amount distributed out of corpus  file an amount appears in column (a). the same amount must be shown in column (a).  Enter the net total of each column as indicated below:  a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5  b Prior years' undistributed income. Subtract line 4b from line 2b.  c Enter the amount of prior years' undistribute di income for which a notice of deficiency has been rissued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6b from line 6b. Taxable amount — see instructions  e Undistributed income for 2008. Subtract lines 4d and 5 from line 17 his amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to salisty requirements imposed by section 1706(f)(10° or 4942(g)(3)) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover from 2004. Total papeled on line 5 or line 7 (see instructions)  9 Excess distributions carryover from 2004. Subtract lines 7 and 8 from line 6a.  10 Analysis of line 9.  a Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005  c Excess from 2005   |  |  |               | •                          |                    | =                  |
| b Applied to undistributed income of prior years (Election required — see instructions)  c Treated as distributions out of corpus (Election required — see instructions)  d Applied to 2009 distributable amount e Remaining amount distributed out of corpus  Excess distributions carryover applied to 2009 (if an amount appears in column (a), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3i, 4c, and 4e. Subtract line 5  b Prior years' undistributed income. Subtract line 4b from line 2b.  C Enter the amount of prior years' undistributed income for which it is escition 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount — see instructions e Indistributed income for 2008. Subtract lines 4 and 5 from line 1 This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to salisty requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover from 2004. Subtract lines 6 and 170(b)(1)(F) or 4942(g)(3)  Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.  10 Analysis of line 9.  Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2005  b Excess from 2006   | · · · · · · · · · · · · · · · · · · ·                      |  |               | •                          |                    | •                  |
| (Election required — see instructions)  c Treated as distributions out of corpus (Election required — see instructions)  d Applied to 2009 distributable amount e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2009 (If an amount appears in column (a), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount — see instructions e Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9. a Excess from 2005  | a Applied to 2008, but not                                 | t more than line 2a                        |               |                            | 0.                 |                    |
| (Election required — see instructions) d Applied to 2009 distributable amount  • Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2009 . (If an amount appears in column (g) , the same amount must be shown in column (a) )  6 Enter the net total of each column as indicated below:  a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b.  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(g) tax has been previously assessed d  d Subtract line 6c from line 6b. Taxable amount — see instructions  f Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract line 9.  a Excess from 2005  5 5, 452.  b Excess from 2006  b Excess from 2006  c Analysis of line 9.  a Excess from 2006  b Excess from 2006  c Analysis of line 9.  a Excess from 2006  c Analysis of line 9.  a Excess from 2006  c Analysis of line 9.  a Excess from 2006  c Analysis of line 9.  a Excess from 2006  c Analysis of line 9.  a Excess from 2006  c Analysis of line 9.  a Excess from 2006   | <b>b</b> Applied to undistributed (Election required — see | I income of prior years<br>e instructions) |               | 0.                         | •                  |                    |
| d Applied to 2009 distributable amount e Remaining amount distributed out of corpus  Excess distributions carryover applied to 2009  (If an amount appears in column (d), the same amount must be shown in column (a))  Enter the net total of each column as indicated below:  a Corpus. Add lines 31, 4c, and 4e. Subtract line 5  b Prior years' undistributed income. Subtract line 4b from line 2b.  C Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount — see instructions  e Undistributed income for 2009. Subtract lines 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4ad and 5 from line 1 This amount must be distributed in 2010  Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(h(1)(F) or 4942(g)(3) (see instructions)  Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  45, 634.   | c Treated as distributions                                 | out of corpus                              | 0             |                            |                    |                    |
| e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2009. ((If an amount appears in column (d), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5  b Prior years' undistributed income. Subtract line 4b from line 2b.  C Enter the amount of prior years' undistributed encome for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount – see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover from 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9. a Excess from 2005  5, 452. b Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  Excess from 2005  |  | · i  | U.            |                            |                    | 61 104             |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (a), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6e from line 6b. Taxable amount — see instructions e Indistributed income for 2008. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 f Undistributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9. a Excess from 2005 b Excess from 2005 b Excess from 2005 b Excess from 2005 b Excess from 2005 b Excess from 2005 b Excess from 2006   |  | T T  | 14 052        |                            |                    | 01,194.            |
| (If an amount appears in column (d), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below:  a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5  b Prior years' undistributed income. Subtract line 4b from line 2b.  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been instead, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount — see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(f) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9.  a Excess from 2005  b Excess from 2005  5, 452.  b Excess from 2005  b Excess from 2005  b Excess from 2005  contact line 5 and 45, 634.   | •  | ' h  |               | •                          |                    |                    |
| 6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount — see instructions e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b(1)(f) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract line 9. a Excess from 2005 5, 452. b Excess from 2006  5 45, 634.  | (If an amount appears i                                    | n column (d), the                          | <u> </u>      |                            |                    |                    |
| indicated below: a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount — see instructions e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisty requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9. a Excess from 2005 5, 452. b Excess from 2006   | same amount must be s                                      | shown in column (a) )                      |               |                            |                    |                    |
| indicated below: a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount — see instructions e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisty requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9. a Excess from 2005 5, 452. b Excess from 2006   | 6 Enter the not total of as                                | och column ac                              |               | •                          |                    |                    |
| b Prior years' undistributed income. Subtract line 4b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount — see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 9.  a Excess from 2005  b Excess from 2006   |  | ich column as                              |               |                            |                    |                    |
| line 4b from line 2b.  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount – see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9. a Excess from 2005  | a Corpus. Add lines 3f, 4c, and 4                          | 4e. Subtract line 5 .                      | 45,634.       |                            |                    |                    |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount — see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9. a Excess from 2005 b Excess from 2005 c Excess from 2005 b Excess from 2006   | <b>b</b> Prior years' undistribute                         | ed income. Subtract                        |               |                            | -                  | •                  |
| has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount – see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9. a Excess from 2005 b Excess from 2006   |  |  |               | <u> </u>                   |                    | -                  |
| has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount – see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9. a Excess from 2005 b Excess from 2006   | ed income for which a r                                    | or years undistribut-                      |               |                            |                    |                    |
| d Subtract line 6c from line 6b. Taxable amount — see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to salisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9.  a Excess from 2005  b Excess from 2006   | has been issued, or on                                     | which the section                          |               | 0                          |                    |                    |
| amount – see instructions  e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions.  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions).  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.  10 Analysis of line 9.  a Excess from 2005 5, 452. b Excess from 2006  |  | 1  |               |                            |                    |                    |
| Inne 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions).  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.  10 Analysis of line 9.  a Excess from 2005 5, 452. b Excess from 2006   |  |  |               | 0.                         |                    |                    |
| Inne 2a. Taxable amount — see instructions  f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions).  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.  10 Analysis of line 9.  a Excess from 2005 5, 452. b Excess from 2006   | a Undistributed income for 2008                            | Subtract line As from                      |               |                            |                    | -                  |
| 4d and 5 from line 1 This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9.  a Excess from 2005   |  |  |               | •                          | 0.                 |                    |
| 4d and 5 from line 1 This amount must be distributed in 2010  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9.  a Excess from 2005   |  |  |               | . "                        |                    | · · · <del>-</del> |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions).  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 45, 634.  10 Analysis of line 9.  a Excess from 2005 b Excess from 2006  |  |  |               |                            |                    |                    |
| corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  45, 634.  10 Analysis of line 9.  a Excess from 2005 b Excess from 2006  | distributed in 2010  | •  |               |                            |                    | 0.                 |
| by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions).  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  45, 634.  10 Analysis of line 9.  a Excess from 2005 b Excess from 2006  | 7 Amounts treated as dist                                  | tributions out of                          |               |                            |                    |                    |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions).  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 45, 634.  10 Analysis of line 9. a Excess from 2005 . 5, 452. b Excess from 2006  | by section 170(b)(1)(F)                                    | or 4942(g)(3)                              |               |                            |                    |                    |
| applied on line 5 or line 7 (see instructions).  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 45, 634.  10 Analysis of line 9. a Excess from 2005 . 5, 452. b Excess from 2006   | (see instructions)   |  | 0.            |                            |                    |                    |
| 10 Analysis of line 9.  a Excess from 2005 .  |  |  | 0.            |                            |                    |                    |
| 10 Analysis of line 9.  a Excess from 2005 .  | 9 Excess distributions ca                                  | erryover to 2010.                          | AE 624        |                            |                    |                    |
| <b>a</b> Excess from 2005 . 5, 452 . <b>b</b> Excess from 2006  |  | rom ime oa                                 | 45, 634.      |                            |                    |                    |
| <b>b</b> Excess from 2006   | - I  | 5 452                                      |               |                            |                    |                    |
|   |  | 5, 352.                                    |               |                            |                    |                    |
|   |  | 10.352                                     |               | ļ                          |                    |                    |
| <b>d</b> Excess from 2008 15,778.   |  |  |               |                            |                    | ļ                  |
| e Excess from 2009 14,052.  |  |  |               |                            |                    | ;<br>              |

| Form 990-PF (2009) SHEP-ROCK, INC   |                                       |   |   | 82-0371391                                    | L Page <b>10</b>                 |
|---|---------------------------------------|---|---|---|----------------------------------|
| Part XIV   Private Operating Foundatio  |                                       |   |   |   | N/A                              |
| 1 a If the foundation has received a ruling or d is effective for 2009, enter the date of the i   | uling .                               |   |   | _ · ►   |                                  |
| <b>b</b> Check box to indicate whether the foundation   |                                       | erating foundation of                       |   | 4942(j)(3) or                                 | 4942(j)(5)                       |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum   | Tax year                              |   | Prior 3 years                               |   |                                  |
| investment return from Part X for each year listed  | (a) 2009                              | <b>(b)</b> 2008                             | (c) 2007                                    | (d) 2006                                      | (e) Total                        |
| <b>b</b> 85% of line 2a   |                                       |   |   | ļ   |                                  |
| c Qualifying distributions from Part XII,<br>line 4 for each year listed  |                                       |   |   |   |                                  |
| d Amounts included in line 2c not used directly for active conduct of exempt activities   |                                       |   |   |   |                                  |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c   |                                       |   |   |   |                                  |
| 3 Complete 3a, b, or c for the alternative test relied upon:  |                                       |   |   |   |                                  |
| a 'Assets' alternative test — enter:  |                                       |   |   |   |                                  |
| (1) Value of all assets.  |                                       |   |   |   |                                  |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i)   |                                       |   |   |   |                                  |
| b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed  |                                       |   |   |   |                                  |
| c 'Support' alternative test — enter.   |                                       |   |   |   |                                  |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)                           |                                       |   |   |   |                                  |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)  |                                       |   |   |   |                                  |
| (3) Largest amount of support from an exempt organization   |                                       |   |   |   |                                  |
| (4) Gross investment income .   |                                       |   |   |   |                                  |
| Part XV Supplementary Information ( assets at any time during the   | Complete this<br>year – see in        | part only if the structions.)               | organization ha                             | ad \$5,000 or mor                             | e in                             |
| Information Regarding Foundation Manage     List any managers of the foundation who had been close of any tax year (but only if they have SEE STATEMENT 6                   | ave contributed mo                    | ore than 2% of the<br>than \$5,000). (See   | total contributions r<br>section 507(d)(2)) | eceived by the found                          | lation before the                |
| <b>b</b> List any managers of the foundation who or<br>a partnership or other entity) of which the f<br>NONE  | wn 10% or more o<br>oundation has a 1 | f the stock of a cor<br>0% or greater inter | poration (or an equalest.                   | ally large portion of t                       | he ownership of                  |
| 2 Information Regarding Contribution, Grant<br>Check here ► X if the foundation only makes<br>requests for funds. If the foundation makes<br>complete items 2a, b, c, and d | akes contributions                    | to preselected cha                          | ritable organization:                       | s and does not accep<br>anizations under othe | ot unsolicited<br>er conditions, |
| a The name, address, and telephone number   | of the person to v                    | vhom applications s                         | should be addressed                         | d:  |                                  |
|   |                                       |   |   |   |                                  |
| <b>b</b> The form in which applications should be so  | ubmitted and infor                    | mation and materia                          | ls they should inclu                        | de <sup>.</sup>                               |                                  |
| c Any submission deadlines  |                                       |   |   |   |                                  |
|   |                                       | <del></del>                                 |   |   |                                  |
| d Any restrictions or limitations on awards, su   | uch as by geograph                    | hical areas, charita                        | ble fields, kinds of i                      | nstitutions, or other t                       | factors <sup>.</sup>             |
|   |                                       |   |   |   |                                  |

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of | Purpose of grant or contribution | Amount  |
|--|---|----------------------|----------------------------------|---------|
| Name and address (home or business)  | substantial contributor   | recipient            | CONTINUOUS                       |         |
| Name and address (home or business)  a Paid during the year  SEE STATEMENT 7 | substantial contributor   | recipient            | CONTINUENT                       |         |
|  |   |                      |                                  |         |
|  |   |                      |                                  |         |
|  |   | ļ                    | 1                                |         |
|  | <u> </u>  | 1                    | ► 3a                             | 70,854. |
| <b>b</b> Approved for future payment   |   |                      |                                  |         |
| Total  |   |                      | ► 3b                             |         |

| Part XVI-A | Analysi | s of Income | e-Producing | <b>Activities</b> |
|------------|---------|-------------|-------------|-------------------|
|------------|---------|-------------|-------------|-------------------|

| Enter                    | gross amounts unless otherwise indicated.  | Unrelate   | d business income                       | Excluded   | d by section 512, 513, or 514 |  |
|--------------------------|--|--|---|--|-------------------------------|--|
|                          |  | (a)<br>Business                                  | <b>(b)</b><br>Amount                    | (c)<br>Exclu-                                    | (d)<br>Amount                 | (e)<br>Related or exempt               |
| 1                        | Program service revenue  | code   |   | sion   |                               | function income (see the instructions) |
| a                        |  |  |   | <del> </del>                                     |                               | ·                                      |
|                          |  |  |   | <del> </del>                                     |                               |  |
|                          |  | <del> </del>                                     |   |  |                               | <del></del>                            |
| d<br>e                   |  | <del>   </del>                                   |   |  |                               | <del></del>                            |
| f                        |  |  |   |  |                               |  |
| g                        | Fees and contracts from government agencies  |  |   |  |                               |  |
| 2                        | Membership dues and assessments  |  |   |  |                               |  |
|                          | Interest on savings and temporary cash investments   | ļ  |   |  |                               | 2,453.                                 |
|                          | Dividends and interest from securities   |  |   |  |                               |  |
|                          | Net rental income or (loss) from real estate:  | <b> </b>   |   | <u> </u>   |                               |  |
|                          | Debt-financed property   |  |   | -  |                               |  |
|                          | Not debt-financed property  Net rental income or (loss) from personal property   | <b></b>  |   |  |                               |  |
|                          | Other investment income  | <del>                                     </del> | <del> </del>                            | <del>                                     </del> |                               |  |
|                          | Gain or (loss) from sales of assets other than inventory   | -  |   | <del>                                     </del> |                               |  |
|                          | Net income or (loss) from special events   |  |   | <u> </u>   |                               |  |
|                          | Gross profit or (loss) from sales of inventory   |  |   | 1  |                               |  |
|                          | Other revenue:   |  | • | 1  |                               |  |
| а                        |  |  |   |  |                               |  |
| b                        |  |  |   |  |                               |  |
| C                        |  |  |   |  |                               |  |
| d                        | · · · · · · · · · · · · · · · · · · ·  |  |   | ļ  |                               |  |
|                          |  |  |   |  |                               |  |
| e                        |  | <del>                                     </del> |   | <b></b>  |                               |  |
| 12                       | Subtotal. Add columns (b), (d), and (e)  |  |   |  |                               | 2,453.                                 |
| 12<br>13                 | Total. Add line 12, columns (b), (d), and (e).   | · · · · · · · · · · · · · · · · · · ·            |   |  | 13                            | 2,453.<br>2,453.                       |
| 12<br>13<br>(See         | Total. Add line 12, columns (b), (d), and (e) worksheet in the instructions for line 13 to verify to   |  |   |  |                               |  |
| 12<br>13<br>(See         | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of  XVI-B Relationship of Activities to the   | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e) worksheet in the instructions for line 13 to verify (  XVI-B Relationship of Activities to the  No. Explain below how each activity for which i  | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of  XVI-B Relationship of Activities to the   | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the explain below how each activity for which is accomplishment of the foundation's exemption.      | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |
| 12<br>13<br>(See<br>Part | Total. Add line 12, columns (b), (d), and (e). worksheet in the instructions for line 13 to verify of XVI-B  Relationship of Activities to the  No. Explain below how each activity for which is accomplishment of the foundation's exemption. | Accomplis  |   |  | ses                           | 2,453.                                 |

| Pa   | ių XVII  | _Informatio   | n Regarding Tra<br>ganizations                            | ansfers To and T   | ransactio                             | ns and  | d Relationsh                             | nips With No                       | ncharitable                           | !          |           |
|--|--|---|---|--|---------------------------------------|---|--|------------------------------------|---------------------------------------|------------|-----------|
|  |  |   | <del></del>   |  |                                       |   |  |                                    |                                       | Yes        | No        |
| 1  | describe   | organization dire<br>ed in section 501<br>to political orga | l(c) of the Code (ot                                      | ngage in any of the f<br>her than section 501                    | ollowing with<br>(c)(3) organi        | n any ot<br>izations)                           | her organization<br>or in section        | on<br>527,                         |                                       |            |           |
|  | a Transfers from the reporting foundation to a noncharitable exempt organization of:  (1) Cash |   |   |  |                                       |   |  |                                    |                                       |            |           |
|  |  |   |   |  |                                       |   |  | 1a(1)                              |                                       | _X         |           |
|  | (2) Other assets   |   |   |  |                                       |   |  | . 1a (2)                           |                                       | X          |           |
|  | <b>b</b> Other tr  | ansactions:   |   |  |                                       |   |  |                                    |                                       |            |           |
|  | (1) Sale   | es of assets to a   | noncharitable exe   | mpt organization   |                                       |   |  |                                    | 1b(1)                                 |            | X         |
|  | (2) Purchases of assets from a noncharitable exempt organization                               |   |   |  |                                       |   |  | 1 b (2)                            |                                       | Х          |           |
|  | (3) Rental of facilities, equipment, or other assets   |   |   |  |                                       |   |  |                                    | 1 b (3)                               |            | Х         |
|  | (4) Reimbursement arrangements   |   |   |  |                                       |   |  |                                    | . 1b (4)                              |            | Х         |
| (5) Loans or loan guarantees   |  |   |   |  |                                       |   | 1b (5)                                   |                                    | Х                                     |            |           |
| (6) Performance of services or membership or fundraising solicitations |  |   |   |  |                                       |   | 1b(6)                                    |                                    | Х                                     |            |           |
|  | <b>c</b> Sharing   | of facilities, equ  | uipment, mailing lis                                      | ts, other assets, or p   | aid employe                           | es  |  |                                    | 1c                                    |            | X         |
|  | the goo<br>any trar  | ds. other assets  | . or services given                                       | complete the following the reporting found to the column (d) the | idation. If the                       | e founda<br>e goods                             | ation received l<br>, other assets,      | ess than fair m<br>or services rec | arket value in<br>eived.              |            |           |
|  | Line no.   | (c) Name of noncharitable                                   |   |  | anization                             | (d) Description of transfers, transactions, and |  |                                    | and sharing arran                     | gement     | <u>.s</u> |
| N/   | /A   |   |   | <del></del>  |                                       |   |  | <del></del>                        |                                       |            |           |
|  |  |   |   |  |                                       |   |  |                                    | ····                                  |            |           |
|  |  |   |   | <del> </del>   |                                       |   |  |                                    | <del></del>                           |            |           |
| _  |  |   |   | <del></del>  |                                       |   |  |                                    |                                       |            |           |
|  |  |   |   |  |                                       |   | <del></del>                              |                                    |                                       |            |           |
| _  | +  |   |   |  |                                       |   |  |                                    |                                       |            |           |
|  |  |   |   |  |                                       |   |  |                                    |                                       |            |           |
|  |  |   |   |  |                                       |   |  |                                    |                                       |            |           |
|  |  |   |   |  |                                       | •   |  |                                    |                                       |            |           |
| _  |  |   |   |  |                                       |   | •  |                                    |                                       |            |           |
|  |  |   |   | <del></del> .  |                                       |   |  |                                    | · · ·                                 |            |           |
|  |  |   |   |  | -                                     |   |  |                                    |                                       |            |           |
|  | 1  |   |   |  | -                                     |   |  |                                    |                                       |            |           |
|  |  |   |   |  |                                       |   | -  |                                    |                                       |            |           |
| _  |  |   |   | <del></del>  |                                       |   | . –                                      |                                    |                                       |            |           |
| -  | describe   | ed in section 50°   | y or indirectly affiliation of the Code (of the Code)     | ated with, or related the than section 501                       | to, one or m<br>(c)(3)) or in         | ore tax-<br>section                             | exempt organii<br>527? .                 | zations                            | Yes                                   | X          | No        |
| _  | <del></del>  | ) Name of organ   |   | (b) Type of o  | rnanization                           |   | 10                                       | :) Description of                  | f relationshin                        |            |           |
| N  | /A   | y Harne or organ  | 112ation  | (b) Type or e  | ngamzation .                          |   |  | y Description of                   | · · · · · · · · · · · · · · · · · · · |            |           |
|  |  |   |   |  |                                       |   |  |                                    |                                       |            |           |
|  |  |   | -   |  |                                       |   |  |                                    |                                       |            |           |
|  |  |   |   |  |                                       |   |  |                                    |                                       |            |           |
|  |  |   |   |  |                                       |   |  |                                    |                                       |            |           |
|  | Under penalticomplete Dec  | es of perjury, I declare<br>claration of preparer (         | e that I have examined thi<br>other than taxpayer or fide | s return, including accompa<br>uciary) is based on all inform    | inying schedules<br>mation of which p | and state<br>preparer h                         | ments, and to the be<br>as any knowledge | est of my knowledge                | and belief, it is true                | e, correc  | t, and    |
| SIGN   | ► (1) <   14/22/10 > Kroseich  |   |   |  |                                       |   | 8LDB14~                                  |                                    |                                       |            |           |
|  | Signature of officer or trustee Date Title   |   |   |  |                                       |   |  |                                    |                                       |            |           |
| 1  |  |   | 1/1/  | 0  | _                                     | Date  |  | Check of                           | Preparer's Identify                   | ng num     | ber       |
| HERE   | Paid s   | reparer's Ignature .T.                                      | AMES A CARLS  | Zu C   |                                       | Α.  | /15/10                                   | I self.                            | (See Signature in N/A                 | ote ilisti | · 3)      |
| R  | Pre-<br>parer's F  | <del></del>   | AMES A CARLS  |  |                                       | 1 4   | / 13/ 10                                 | employed ► X EIN ► N/A             |                                       |            |           |
|  | Use y  | ours if self-   | <del></del>   | AY, SUITE 200  |                                       |   |  | CIN - IN/E                         | <u> </u>                              |            |           |
|  | a a  | mployed), ddress, and IP code  E                            | DMONDS, WA 9  |  |                                       |   |  | Phone no                           | (425) 778                             | -630       | )3        |
| BA   |  | . code  |   |  |                                       |   |  | I - Horie Ho                       | Form <b>99</b>                        |            |           |
|  |  |   |   |  |                                       |   |  |                                    |                                       | 1          | /         |

#### FEDERAL STATEMENTS SHEP-ROCKING 2009 C/O GORDON ROCK 4/15/10 10:01AM **STATEMENT 1** FORM 990-PF, PART I, LINE 16B **ACCOUNTING FEES** (B) NET INVESTMENT (A) (C) (D) **EXPENSES** ADJUSTED CHARITABLE PER BOOKS INCOME NET INCOME PURPOSES 950<u>.</u> TAX RETURN PREPARATION . 950. TOTAL 950. 950. \$ 950. **STATEMENT 2** FORM 990-PF, PART I, LINE 16C OTHER PROFESSIONAL FEES (A) (B) NET (C) (D) **EXPENSES** INVESTMENT ADJUSTED CHARITABLE PER BOOKS INCOME NET INCOME PURPOSES 250. OTHER CONSULTING 250 <u>250.</u> \$ <u>250.</u> \$ 0. TOTAL <u> 250.</u> \$ 250. STATEMENT 3 FORM 990-PF, PART II, LINE 10B **INVESTMENTS - CORPORATE STOCKS** VALUATION BOOK FAIR MARKET CORPORATE STOCKS METHOD VALUE VALUE BURST.COM 290,000 SHARES COST 88,666. \$ 29,000. 500 BAXTER INTL 18,375. COST 29,340. MICROSOFT-1000 SHARES COST 29,883. 30,480. PHO PHARMACEUTICAL-70000 SHARES COST 19,600. 241,200. SPDR GOLD TRUST 536,550. COST 435,963. 68,223. 882,310. \$ 64,400. 709,370. PRO PHARMACEUTICALS-230000 SHARES COST TOTAL \$ **STATEMENT 4** FORM 990-PF, PART II, LINE 14 LAND, BUILDINGS, AND EQUIPMENT

ACCUM.

DEPREC.

8,104. \$

271,936.

280,040. \$

BASIS

TOTAL \$

8,104. \$

660,406.

668,510. \$

CATEGORY

MACHINERY AND EQUIPMENT

BUILDINGS

BOOK

VALUE

388<u>,470.</u>

388,470.

0. \$

FAIR MARKET

VALUE

0.

0.

<u>0.</u>

# 2009 FEDERAL STATEMENTS SHEP-ROCK,INC C/O GORDON ROCK

PAGE 2

2-037,1391

10:01AM

STATEMENT 5

FORM 990-PF, PART III, LINE 5 OTHER DECREASES

2008 EXCISE TAX .

4/15/10

TOTAL \$ 486.

STATEMENT 6
FORM 990-PF, PART XV, LINE 1A
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

SHIRLEY ROCK GORDON ROCK

## STATEMENT 7 FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS  | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT   | <i>I</i>      | TNUOMA  |
|---|-----------------------|---------------------------|---|---------------|---------|
| CIVIL AIR PATROL/IDAHO SAR<br>PO BOX 15190<br>BOISE, ID 83715                     | NONE                  | 501C3                     | BUILDING OCCUPANCY AND DEPRECIATION COSTS TO SUPPOR OPERATIONS. | \$<br>RT      | 26,854. |
| RECREATION AVIATION<br>FOUNDATION<br>1711WEST COLLEGE STREET<br>BOZEMAN, MT 59715 | NONE                  | 501C3                     | SUPPORT   |               | 15,000. |
| CORAZON<br>PO BOX 2669<br>LAGUNA HILLS, CA 92654                                  | NONE                  | 501C3                     | SUPPORT   |               | 15,000. |
| CIVIL AIR PATROL/EAA #103<br>121 MUNICIPAL DR<br>NAMPA, ID 83687                  | NONE                  | 501C3                     | SUPPORT   |               | 2,500.  |
| WARM HEARTS FOUNDATION<br>7292 ELDRED<br>ROCKFORD, MI 49341                       | NONE                  | 501C3                     | SUPPORT   |               | 5,000.  |
| UTAH BACK COUNTRY PILOTS<br>1887 SOUTH REDWOOD RD<br>WOODS CROSS, UT 84087        | NONE                  | 501C3                     | SUPPORT   |               | 4,000.  |
| IDAHO AVIATION HALL OF FAME<br>PO BOX 1987<br>BOISE, ID 83701                     | NONE                  | 501C3                     |   |               | 2,500.  |
|   |                       |                           | TO  | ral <u>\$</u> | 70,854. |

| 1 •  |       |  |  |
|--|-------|--|--|
| 2009 FEDERAL SUPPORTING DETAIL SHEP ROCK INC. C/O GORDON ROCK                    |       |  | PAGE 1<br>32-037/391                           |
| 4/15/10  |       |  | 10.01AM  |
| OPERATING & ADMINISTRATIVE EXP. (990-PF) OCCUPANCY                               |       |  |  |
| TELEPHONE  | TOTAL | \$   | 692 <u>.</u><br>692.                           |
| GRANTS AND ALLOCATIONS (990/990-PF) CASH AMOUNT GIVEN CIVIL AIR PATROL/IDAHO SAR |       |  |  |
| BUILDING UTILITIES RENT  | TOTAL | 1  | 2,284.<br>4,767.<br>1,249.<br>8,554.<br>6,854. |
|  |       | <u>.                                      </u> | <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>     |
|  | -     |  |  |
|  |       |  |  |
|  |       |  |  |
|  |       |  |  |
|  |       |  |  |
|  |       |  |  |
|  |       |  |  |
|  |       |  |  |
|  |       |  |  |
|  |       |  |  |
|  |       |  |  |