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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

**2009**

Department of the Treasury  
Internal Revenue Service

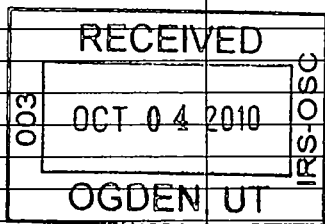
Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

<b>Use the IRS label</b>	Name of foundation <b>ROCKCARES FOUNDATION</b>	<b>A Employer identification number</b> 77-0539237
<b>Otherwise, print or type</b>	Number and street (or P.O. box number if mail is not delivered to street address) <b>P.O. BOX 741880</b>	<b>B Telephone number</b> (323) 933-3902
<b>See Specific Instructions</b>	Room/suite City or town, state, and ZIP code <b>LOS ANGELES, CA 90004</b>	<b>C</b> If exemption application is pending, check here <input type="checkbox"/> <b>D 1</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>1,900,990.</b> (Part I, column (d) must be on cash basis)		

<b>Part I</b>	<b>Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received			N/A	
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	15,579.	15,579.		STATEMENT 1
	<b>4</b> Dividends and interest from securities	27,634.	27,634.		STATEMENT 2
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	-175,643.			
	<b>b</b> Gross sales price for all assets on line 6a				
	<b>7</b> Capital gain net income (from Part IV, line 2)		0.		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss)					
<b>11</b> Other income	50.	50.		STATEMENT 3	
<b>12</b> Total. Add lines 1 through 11	-132,380.	43,263.			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0.	0.		0.
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees				
	<b>b</b> Accounting fees <b>STMT 4</b>	2,987.	0.		0.
	<b>c</b> Other professional fees				
	<b>17</b> Interest				
	<b>18</b> Taxes <b>STMT 5</b>	24,778.	0.		0.
	<b>19</b> Depreciation and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses <b>STMT 6</b>	28,421.	26,708.		0.
	<b>24</b> Total operating and administrative expenses. Add lines 13 through 23	56,186.	26,708.		0.
	<b>25</b> Contributions, gifts, grants paid	114,000.			114,000.
<b>26</b> Total expenses and disbursements. Add lines 24 and 25	170,186.	26,708.		114,000.	
<b>27</b> Subtract line 26 from line 12:					
<b>a</b> Excess of revenue over expenses and disbursements	-302,566.				
<b>b</b> Net investment income (if negative, enter -0-)		16,555.			
<b>c</b> Adjusted net income (if negative, enter -0-)			N/A		



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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		158,829.	77,354.	77,354.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations <b>STMT 8</b>		204,243.	188,340.	189,340.
	b	Investments - corporate stock <b>STMT 9</b>		1,551,820.	1,354,270.	1,528,162.
	c	Investments - corporate bonds <b>STMT 10</b>		105,710.	98,072.	106,134.
11	Investments - land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶ <b>3,567.</b>					
	Less accumulated depreciation <b>STMT 11</b> ▶ <b>3,567.</b>					
15	Other assets (describe ▶)					
16	<b>Total assets (to be completed by all filers)</b>		<b>2,020,602.</b>	<b>1,718,036.</b>	<b>1,900,990.</b>	
<b>Liabilities</b>	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	<b>Total liabilities (add lines 17 through 22)</b>		<b>0.</b>	<b>0.</b>		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>		and complete lines 24 through 26 and lines 30 and 31			
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		2,020,602.	1,718,036.	
30	<b>Total net assets or fund balances</b>		<b>2,020,602.</b>	<b>1,718,036.</b>		
31	<b>Total liabilities and net assets/fund balances</b>		<b>2,020,602.</b>	<b>1,718,036.</b>		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,020,602.
2	Enter amount from Part I, line 27a	2	-302,566.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,718,036.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,718,036.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERRILL LYNCH #808-12106 ST (SEE ATTACHED)	P	VARIOUS	VARIOUS
b MERRILL LYNCH #808-12106 LT (SEE ATTACHED)	P	VARIOUS	VARIOUS
c MERRILL LYNCH #808-02283 LT (SEE ATTACHED)	P	VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		45,907.	-45,907.
b		113,491.	-113,491.
c		16,245.	-16,245.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-45,907.
b			-113,491.
c			-16,245.
d			
e			

2 Capital gain net income or (net capital loss) <span style="font-size: 2em;">}</span> <span style="font-size: 2em;">{</span> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-175,643.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2008	30,000.	2,157,933.	.013902
2007	101,022.	2,580,373.	.039150
2006	42,500.	2,426,271.	.017517
2005	50,400.	2,280,744.	.022098
2004	14,644.	2,113,932.	.006927

2 Total of line 1, column (d)	2	.099594
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.019919
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,715,747.
5 Multiply line 4 by line 3	5	34,176.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	166.
7 Add lines 5 and 6	7	34,342.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	114,000.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	166.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	166.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	166.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,000.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,000.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	834.
11	Enter the amount of line 10 to be: <b>Credited to 2010 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input checked="" type="checkbox"/> <u>200.</u>	11	634.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6		X
7	X	
8a		
8b	X	
9		X
10		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>NONE</u>	13	X	
14 The books are in care of ► <u>RICHARD ROCABERTE</u> Telephone no. ► <u>(323) 933-3902</u> Located at ► <u>P.O. BOX 74367, LOS ANGELES, CA</u> ZIP+4 ► <u>90004</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ► <u>2007</u> , _____ , _____			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No  
 N/A 7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD J. ROCABERTE P.O. BOX 74367 LOS ANGELES, CA 900040367	CHAIRMAN OF THE BOARD,	PRE		
PAUL J. NORRIS JR. P.O. BOX 829 PASADENA, CA 91102	DIRECTOR, CFO			
ROSEMARY COLEMAN 1100 E. GREEN ST. PASADENA, CA 91102	DIRECTOR, SECRETARY			

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,630,631.
b	Average of monthly cash balances	1b	111,244.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,741,875.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,741,875.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	26,128.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,715,747.
6	Minimum investment return Enter 5% of line 5	6	85,787.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	85,787.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	166.
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	166.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	85,621.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	85,621.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	85,621.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	114,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	114,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	166.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	113,834.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				85,621.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			106,907.	
b Total for prior years:				
2007, _____, _____		79,399.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 114,000.				
a Applied to 2008, but not more than line 2a			106,907.	
b Applied to undistributed income of prior years (Election required - see instructions) *		7,093.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		72,306.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		79,399.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				85,621.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

\* SEE STATEMENT 12

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities.					
3 Subtract line 2d from line 2c					
Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**RICHARD J. ROCABERTE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

**SEE ATTACHED STATEMENT**

b The form in which applications should be submitted and information and materials they should include:

**SEE ATTACHED STATEMENT**

c Any submission deadlines:

**SEE ATTACHED STATEMENT**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**SEE ATTACHED STATEMENT**









FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MERRILL LYNCH 808-02106	15,557.
MERRILL LYNCH 808-02283	21.
SOVEREIGN BANK NEW ENGLAND	1.
<b>TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A</b>	<b>15,579.</b>

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH 808-02106	26,327.	0.	26,327.
MERRILL LYNCH 808-02283	1,307.	0.	1,307.
<b>TOTAL TO FM 990-PF, PART I, LN 4</b>	<b>27,634.</b>	<b>0.</b>	<b>27,634.</b>

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	50.	50.	
<b>TOTAL TO FORM 990-PF, PART I, LINE 11</b>	<b>50.</b>	<b>50.</b>	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BARTLETT, PRINGLE & WOLF, LLP	2,987.	0.		0.
<b>TO FORM 990-PF, PG 1, LN 16B</b>	<b>2,987.</b>	<b>0.</b>		<b>0.</b>

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FORM 4720 PENALTIES - US TREASURY	23,820.	0.			0.
FRANCHISE TAX BOARD	10.	0.			0.
EXCISE TAXES	948.	0.			0.
TO FORM 990-PF, PG 1, LN 18	24,778.	0.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTING/MANAGEMENT	26,558.	26,558.			0.
BANK SERVICE CHARGES- SOVEREIGN	180.	0.			0.
POSTAGE	190.	0.			0.
INSURANCE EXPENSE	1,108.	0.			0.
BUSINESS LICENSE FILING	235.	0.			0.
BANK FEE - MERRILL LYNCH	150.	150.			0.
TO FORM 990-PF, PG 1, LN 23	28,421.	26,708.			0.

## FOOTNOTES

STATEMENT 7

## REQUEST FOR ABATEMENT OF IRC SECTION 4942(B) TAX:

AT THE CLOSE OF THIS TAXABLE YEAR, ROCKCARES FOUNDATION HAD UNDISTRIBUTED INCOME FROM 2007 OF \$72,306. THIS WAS DUE TO A MISUNDERSTANDING ON THE PART OF THE PRESIDENT REGARDING THE FULL AMOUNT OF CHARITABLE DISTRIBUTIONS THAT WERE TO BE MADE IN 2009 IN ORDER TO COVER BOTH THE 2007 AND THE 2008 TAX YEARS. IT WAS NOT DUE TO WILLFUL NEGLECT. THE REMAINING \$72,306 OF UNDISTRIBUTED INCOME FROM 2007 HAS BEEN PAID IN 2010 WITHIN THE "CORRECTION PERIOD". ROCKCARES FOUNDATION IS REQUESTING ABATEMENT OF THE SECOND TIER TAXES UNDER THE PROVISIONS OF IRC SECTION 4961.

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**FORM 990-PF                      U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS                      STATEMENT      8**


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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT SECURITIES	X		188,340.	189,340.
TOTAL U.S. GOVERNMENT OBLIGATIONS			188,340.	189,340.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			188,340.	189,340.

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**FORM 990-PF    CORPORATE STOCK    STATEMENT      9**


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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES	1,271,905.	1,468,888.
MUTUAL FUNDS	82,365.	59,274.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,354,270.	1,528,162.

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**FORM 990-PF    CORPORATE BONDS    STATEMENT      10**


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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	98,072.	106,134.
TOTAL TO FORM 990-PF, PART II, LINE 10C	98,072.	106,134.

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**FORM 990-PF      DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT                      STATEMENT      11**


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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
MICROEDGE SOFTWARE	3,567.	3,567.	0.
TOTAL TO FM 990-PF, PART II, LN 14	3,567.	3,567.	0.

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FORM 990-PF

ELECTION UNDER REGULATIONS SECTION  
53.4942(A)-3(D)(2) TO APPLY  
EXCESS QUALIFYING DISTRIBUTIONS  
TO PRIOR YEAR'S UNDISTRIBUTED INCOME

STATEMENT 12

SECTION 4942(H)(2) ELECTION AS TO THE TREATMENT OF QUALIFYING  
DISTRIBUTIONS: PURSUANT TO IRC SEC. 4942(H)(2) AND REG.  
53.4942(A)-3(D)(2), THE FOUNDATION HEREBY ELECTS TO TREAT CURRENT YEAR  
QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING TAX  
YEAR'S UNDISTRIBUTED INCOME AS BEING MADE OUT OF 2007 IN THE AMOUNT OF  
\$7,093.

**ROCKCARES FOUNDATION**  
**EIN: 77-0539237**  
**ATTACHMENT TO 2009 FORM 990PF**

**Form 990PF, page 10, Part XV, "Supplementary information"**

**Line 2a: The name, address and telephone number of the person to whom applications should be addressed:**

Richard Rocaberte  
RockCares Foundation  
P.O. Box 741880  
Los Angeles, CA 90004  
(323) 933-3902

**Line 2b: The form in which applications should be submitted and information and materials they should include:**

An organization requesting a grant must submit ALL of the following:

- 1) Brief proposal describing the history of the organization, the purpose for which the grant money is requested, how the organization will meet its objectives and how the outcome will be measured.
- 2) List of Board of Directors, including biography and term of service for each member.
- 3) Current operating budget
- 4) Copy of the most recent audited financial statements
- 5) Copy of the IRS Section 501 (c) (3) determination letter along with a letter signed by an officer of the organization stating the IRS letter has not been modified nor the non-profit 501 (c) (3) status revoked since the letter issuance date.

**Line 2c: Any submission deadlines.**

None

**Line 2d: Any restrictions or limitations on awards such as geographical areas, charitable fields, kinds of institutions, or other factors.**

Grants are not made:

- 1) To individuals
- 2) To medical research

**ROCKCARES FOUNDATION**  
**EIN: 77-0539237**  
**ATTACHMENT TO 2009 FORM 990PF**  
**PAGE 2**

- 3) For propagandizing, influencing legislation and/or elections, promoting voter registration, for political candidates, political campaigns or organizations engaged in political activities.
- 4) For refugee or religious programs or consumer interests
- 5) For religious institutions limiting services to only one sect or denomination
- 6) For endowment funds

# EMA Fiscal Statement

THE ROCKCARES FOUNDATION

ROCKCARES FOUNDATION  
EIN: 77-0539237  
MERRILL LYNCH ACCOUNT #808-02283

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
3 007 5550	OLD MUTUAL ANALYTIC C	08/10/06	09/11/09	25 173 23	39 519 27	(14,346 04) LT
1 0000	OLD MUTUAL ANALYTIC C	09/08/06	09/11/09	8 36	13 20	(4 84) LT
306 0000	OLD MUTUAL ANALYTIC C	12/13/07	09/11/09	2 561 22	3 996 36	(1,435 14) LT
104 0000	OLD MUTUAL ANALYTIC C	12/20/07	09/11/09	870 48	1 324 96	(454 48) LT
1 0000	OLD MUTUAL ANALYTIC C	12/21/07	09/11/09	8 39	12 97	(4 58) LT
Total Long Term Loss						(16,245)

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Account to 808-02283



THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## FISCAL YEAR ACTIVITY

ROCKCARES FOUNDATION  
EIN 77-0539237  
MERRILL LYNCH ACCOUNT 808-02106

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
14 0000	AMGEN INC COM PV \$0.0001	03/26/07	01/12/09	791 37	805 93	(14 56) LT
33 0000	AMGEN INC COM PV \$0 0001	04/05/07	01/12/09	1,865 38	1,926 73	(61 35) LT
17 0000	AMGEN INC COM PV \$0 0001	04/05/07	01/16/09	969 36	992 57	(23 21) LT
7 0000	AMGEN INC COM PV \$0 0001	07/22/08	01/16/09	399 14	379 54	19.60 ST
9 0000	CSX CORP	03/24/08	01/12/09	291 81	506 61	(214 80) ST
53 0000	CSX CORP	07/30/08	01/12/09	1,718 44	3,578 03	(1,859 59) ST
63 0000	CSX CORP	10/30/08	01/12/09	2,042 68	2,849 80	(807.12) ST
1 0000	CELGENE CORP COM	02/07/08	01/06/09	51 01	57 65	(6 64) ST
40 0000	CELGENE CORP COM	02/08/08	01/06/09	2,040 45	2,315 23	(274 78) ST
66 0000	CELGENE CORP COM	10/09/08	01/06/09	3,366 75	3,842 91	(476 16) ST
36 0000	EXXON MOBIL CORP COM	11/18/08	01/12/09	2,763 74	2,676 01	87 73 ST
127 0000	F5 NETWORKS INC COM	02/26/08	01/08/09	2,982 85	2,926 58	56 27 ST
26 0000	HARTFORD FINL SVCS GROUP	03/26/07	01/26/09	400 94	2,486 01	(2,085 07) LT
49 0000	HARTFORD FINL SVCS GROUP	07/15/08	01/26/09	755 63	2,751 13	(1,995 50) ST
3 0000	INTERCONTINENTALEXCHANGE	11/04/08	01/06/09	190 13	270 10	(79 97) ST
10 0000	INTERCONTINENTALEXCHANGE	11/04/08	01/06/09	633 77	906 91	(273 14) ST
11 0000	INTERCONTINENTALEXCHANGE	11/04/08	01/06/09	697 15	994 38	(297 23) ST
18 0000	INTERCONTINENTALEXCHANGE	11/05/08	01/06/09	1,140 80	1,584 77	(443 97) ST
195 0000	KEYCORP NEW COM	07/15/08	01/26/09	1,532 01	1,711 77	(179 76) ST
94 0000	ELI LILLY & CO	01/25/07	01/26/09	3,596 28	4,986 01	(1,389 73) LT

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 Account No 808-02106



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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
12 0000	ELI LILLY & CO	07/22/08	01/26/09	459 10	564 59	(105 49) ST
37 0000	ELI LILLY & CO	08/27/08	01/26/09	1,415 56	1,728 84	(313 28) ST
150 0000	MACYS INC	06/30/06	01/09/09	1,543 84	5,487 70	(3,943 86) LT
163 0000	MENTOR CORP MINN	04/20/07	01/22/09	5,053 00	6,661 38	(1,608 38) LT
70 0000	MENTOR CORP MINN	12/28/07	01/22/09	2,170 00	2,761 23	(591 23) LT
79 0000	MICROSOFT CORP	11/24/08	01/23/09	1,381 05	1,617 29	(236 24) ST
126 0000	MICROSOFT CORP	11/24/08	01/23/09	2,236 39	2,579 49	(343 10) ST
95 0000	MICROSOFT CORP	11/24/08	01/23/09	1,686 17	1,942 62	(256 45) ST
144 0000	MICROSOFT CORP	11/25/08	01/23/09	2,555 89	2,926 80	(370 91) ST
89 0000	RED ROBIN GOURMET BURGRS	11/16/06	01/15/09	873 61	3,202 78	(2,329 17) LT
1 0000	RED ROBIN GOURMET BURGRS	05/01/07	01/15/09	9 82	40 04	(30 22) LT
81 0000	RITCHIE BROS AUCTIONEERS	03/29/07	01/08/09	1,709 33	1,560 38	148 95 LT
1 0000	RITCHIE BROS AUCTIONEERS	05/01/07	01/08/09	21 11	20 24	0 87 LT
2 0000	RITCHIE BROS AUCTIONEERS	05/01/07	01/09/09	41 45	40 51	0 94 LT
9 0000	STERICYCLE INC COM	11/14/08	01/09/09	455 65	524 00	(68 35) ST
4 0000	STERICYCLE INC COM	11/14/08	01/12/09	196 65	232 89	(36 24) ST
11 0000	STERICYCLE INC COM	11/17/08	01/12/09	540 81	649 86	(109 05) ST
8 0000	STERICYCLE INC COM	11/17/08	01/13/09	387 62	472 63	(85 01) ST
5 0000	STERICYCLE INC COM	11/18/08	01/13/09	242 26	305 23	(62 97) ST
5 0000	STERICYCLE INC COM	11/18/08	01/13/09	242 78	305 23	(62 45) ST
21 0000	STERICYCLE INC COM	11/18/08	01/13/09	968 13	1,281 97	(313 84) ST
2 0000	STERICYCLE INC COM	11/18/08	01/15/09	93 87	122 10	(28 23) ST
18 0000	STERICYCLE INC COM	11/21/08	01/15/09	844 90	972 34	(127 44) ST
70 0000	SVB FINL GROUP	05/15/08	01/20/09	1,485 94	3,509 14	(2,023 20) ST
36 0000	SVB FINL GROUP	06/16/08	01/20/09	764 21	1,838 35	(1,074 14) ST
16 0000	3M COMPANY	08/07/06	01/13/09	902 55	1,103 11	(200 56) LT
20 0000	3M COMPANY	10/12/07	01/13/09	1,128 19	1,879 29	(751 10) LT
9 0000	3M COMPANY	07/15/08	01/13/09	507 69	609 56	(101 87) ST
18 0000	3M COMPANY	07/22/08	01/13/09	1,015 39	1,262 51	(247 12) ST
169 0000	UNITED NAT FOODS INC	04/22/08	01/09/09	2,988 76	3,312 98	(324 22) ST
24 0000	UNITEDHEALTH GROUP INC	08/07/06	01/22/09	649 16	1,164 96	(515 80) LT
40 0000	UNITEDHEALTH GROUP INC	09/01/06	01/22/09	1,081 94	2,076 96	(995 02) LT
20 0000	UNITEDHEALTH GROUP INC	09/15/06	01/22/09	540 97	1,040 80	(499 83) LT
32 0000	UNITEDHEALTH GROUP INC	10/03/06	01/22/09	865 57	1,587 71	(722 14) LT
8 0000	UNITEDHEALTH GROUP INC	10/03/06	01/22/09	218 38	396 93	(180 55) LT
32 0000	UNITEDHEALTH GROUP INC	10/19/06	01/22/09	865 56	1,590 39	(724 83) LT
6 0000	UNITEDHEALTH GROUP INC	10/19/06	01/22/09	162 29	298 21	(135 92) LT
34 0000	UNITEDHEALTH GROUP INC	12/19/06	01/22/09	919 65	1,757 37	(837 72) LT
17 0000	UNITEDHEALTH GROUP INC	12/19/06	01/22/09	459 82	878 69	(418 87) LT
3 0000	UNITEDHEALTH GROUP INC	12/19/06	01/22/09	81 15	155 27	(74 12) LT

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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)	
18 0000	UNITEDHEALTH GROUP INC	12/19/08	01/22/09	488 87	931 67	(444 80)	LT
17.0000	WAL-MART STORES INC	01/23/08	01/08/09	868 56	848 60	19 96	ST
41 0000	WAL-MART STORES INC	01/23/08	01/08/09	2,094 76	2,014 28	80 48	ST
29 0000	WAL-MART STORES INC	01/23/08	01/08/09	1,481 87	1,430 90	50 77	ST
50 0000	WAL-MART STORES INC	01/24/08	01/08/09	2,554.59	2,465 64	88 95	ST
50 0000	WAL-MART STORES INC	01/31/08	01/08/09	2,554 59	2,531 92	22 67	ST
16 0000	WAL-MART STORES INC	09/08/08	01/08/09	817 47	987 06	(169 59)	ST
100 0000	WELLS FARGO & CO NEW DEL	11/06/08	01/15/09	2,051 24	2,981 97	(930 73)	ST
35 0000	WELLS FARGO & CO NEW DEL	11/07/08	01/15/09	717 93	963 77	(245 84)	ST
39 0000	WELLS FARGO & CO NEW DEL	11/11/08	01/15/09	800 00	1,116 11	(316 11)	ST
5,000 0000	JC PENNEY CORP IN 6 37% 38	05/10/07	02/04/09	3,062 49	4,986 09	(1,923 60)	LT
1,000 0000	JC PENNEY CORP IN 6 37% 36	07/10/08	02/04/09	612 51	829 31	(216 80)	ST
3 0000	A N S Y S INC COM	06/30/06	02/20/09	71 81	71 61	0 20	LT
9 0000	AMERICAN MED SYS HLDGS	11/07/07	02/20/09	101 20	120 68	(19 48)	LT
12 0000	AETNA INC NEW	06/30/06	02/05/09	391 72	473 77	(82 05)	LT
92 0000	AETNA INC NEW	08/07/06	02/05/09	3,003 27	3,068 34	(65 07)	LT
60 0000	AETNA INC NEW	09/01/06	02/05/09	1,958 65	2,204 24	(245 59)	LT
10 0000	AETNA INC NEW	09/15/06	02/05/09	326 44	400 40	(73 96)	LT
24 0000	AETNA INC NEW	08/09/07	02/05/09	783 46	1,156 62	(373 16)	LT
18 0000	ACTIVISION BLIZZARD INC	11/30/07	02/13/09	164 29	198 92	(34 63)	LT
38 0000	ACTIVISION BLIZZARD INC	12/05/07	02/13/09	346 84	504 79	(157 95)	LT
102 0000	ACTIVISION BLIZZARD INC	12/05/07	02/13/09	931 01	1,355 50	(424 49)	LT
108 0000	ACTIVISION BLIZZARD INC	10/13/08	02/13/09	985 77	1,418 13	(432 36)	ST
103 0000	ACTIVISION BLIZZARD INC	10/14/08	02/13/09	940 15	1,426 85	(486.70)	ST
35 0000	AMERON INTL CORP DEL	07/26/07	01/29/09	1,875 77	3,317 30	(1,441 53)	LT
35 0000	AMERON INTL CORP DEL	08/13/07	01/29/09	1,875 77	3,587 22	(1,711 45)	LT
93 0000	AMGEN INC COM PV \$0 0001	10/23/08	02/02/09	5,152 86	5,132 13	20 73	ST
7 0000	BUCYRUS INTL INC NEW	06/25/08	02/23/09	98 01	520 33	(422 32)	ST
10 0000	BUCYRUS INTL INC NEW	07/07/08	02/23/09	140 02	645 53	(505 51)	ST
6 0000	BUCYRUS INTL INC NEW	07/08/08	02/23/09	84 01	362 55	(278 54)	ST
18 0000	BUCYRUS INTL INC NEW	07/10/08	02/23/09	252 06	1,123 19	(871.13)	ST
17 0000	BUCYRUS INTL INC NEW	07/11/08	02/23/09	238 06	1,089 09	(851 03)	ST
1 0000	BIOMARIN PHARMACEUTICALS	11/14/08	02/20/09	11 75	177 37	(5 62)	ST
26 0000	BHP BILLITON LTD ADR	06/30/06	02/11/09	1,079 32	1,122.68	(43 36)	LT
2 0000	BALLY TECHNOLOGIES INC	06/26/08	02/20/09	39 10	70 80	(31 70)	ST
1 0000	CORE LAB N V COM	06/30/06	02/20/09	67 99	61 30	6 69	LT
1 0000	CASH AMERICAN INTL INC	06/30/06	02/20/09	15.26	32 22	(16 96)	LT
6 0000	CENTENE CORP	06/30/06	02/20/09	126 65	139 98	(13 33)	LT
3 0000	CUTERA INC	05/08/07	02/20/09	19 13	68 48	(49 35)	LT
38 0000	CF INDS HLDGS INC	04/13/07	02/17/09	1,966 14	1,711 06	255 08	LT

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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
1 0000	COVIDIEN LTD	08/05/08	02/23/09	35 32	52 39	(17 07) ST
5 0000	COHERENT INC CAL	06/30/06	02/20/09	79 33	167 10	(87 77) LT
65 0000	DOLBY LABORATORIES INC	06/30/06	02/20/09	1,924 69	1,495 00	429 69 LT
60 0000	DEERE CO	10/30/08	02/18/09	1,962 07	2,263 19	(301 12) ST
14 0000	DEERE CO	10/31/08	02/18/09	457 81	538 04	(80 23) ST
25 0000	DEERE CO	10/31/08	02/19/09	775 69	960 79	(185 10) ST
30 0000	DEERE CO	12/09/08	02/19/09	930 83	1,149 64	(218 81) ST
50 0000	DEERE CO	12/09/08	02/20/09	1,475 22	1,916 09	(440 87) ST
3 0000	ECLIPSYS CORPORATION	08/07/06	02/20/09	29 90	42 61	(12 71) LT
25 0000	EXXON MOBIL CORP COM	11/18/08	02/02/09	1,905 93	1,858 35	47 58 ST
58 0000	EXXON MOBIL CORP COM	11/19/08	02/02/09	4,421 78	4,383 94	37 84 ST
50 0000	EOG RESOURCES INC	11/04/08	02/17/09	2,897 44	4,179 54	(1,282 10) ST
18 0000	EOG RESOURCES INC	11/24/08	02/17/09	1,043 08	1,433 98	(390 90) ST
21 0000	ECOLAB INC	11/11/08	02/13/09	673 08	669 24	3 84 ST
54 0000	ECOLAB INC	11/13/08	02/13/09	1,730 80	1,758 66	(27 86) ST
53 0000	ECOLAB INC	11/13/08	02/13/09	1,695 86	1,726 11	(30 25) ST
29 0000	ECOLAB INC	01/15/09	02/13/09	927 93	1,015 16	(87 23) ST
63 0000	FACTSET RESH SYS INC	06/30/06	02/19/09	2,520 02	2,891 37	(371 35) LT
40 0000	GLAXOSMITHKLINE PLC ADR	06/30/06	02/05/09	1,478 23	2,238 80	(760 57) LT
4 0000	GEO GROUP INC	07/27/06	02/20/09	51 02	53 13	(2 11) LT
1 0000	GENOPTIX INC	01/13/09	02/20/09	33 05	34 57	(1 52) ST
3 0000	GENESCO INC	03/13/08	02/20/09	42 94	63 20	(20 26) ST
1 0000	HEXCEL CORP NEW COM	01/30/09	02/20/09	6 74	8 28	(1 54) ST
4 0000	HUNTSMAN CORP	11/24/08	02/20/09	9 52	24 67	(15 15) ST
59 0000	HEWLETT PACKARD CO DEL	11/20/08	02/19/09	1,862 78	1,970 02	(107 24) ST
6 0000	INTEGRATED SIL SOLUTNS	06/30/06	02/20/09	9 36	31 67	(22 31) LT
2 0000	ICON PLC SPONSORED ADR	10/27/06	02/20/09	46 71	35 52	11 19 LT
3 0000	INVESTM TECH GRP INC NEW	09/26/06	02/20/09	61 26	145 12	(83 86) LT
7 0000	INTGTD DEVICE TECH CALIF	06/30/06	02/20/09	33 52	94 92	(61 40) LT
2 0000	INTREPID POTASH INC	10/03/08	02/20/09	44 83	51 80	(6 97) ST
78 0000	KROGER CO	11/11/08	01/30/09	1,789 65	2,145 05	(355 40) ST
15 0000	KROGER CO	11/12/08	01/30/09	344 16	403 27	(59 11) ST
75 0000	KROGER CO	11/12/08	02/02/09	1,679 10	2,016 38	(337 28) ST
18 0000	KROGER CO	11/12/08	02/03/09	404 35	483 94	(79 59) ST
85 0000	KROGER CO	11/21/08	02/03/09	1,909 44	2,131 29	(221 85) ST
3 0000	LANDSTAR SYS INC COM	06/30/06	02/20/09	100 28	141 18	(40 90) LT
1 0000	LINCARE HLDGS INC	10/13/08	02/20/09	24 57	26 42	(1 85) ST
2 0000	LOWE'S COMPANIES INC	10/19/06	02/20/09	32 44	62 36	(29 92) LT
107 0000	LOWE'S COMPANIES INC	09/10/07	02/20/09	1,735 96	3,167 81	(1,431 85) LT
61 0000	LOWE'S COMPANIES INC	05/05/08	02/20/09	989 65	1,572 48	(582 83) ST

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THE ROCKCARES FOUNDATION



REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
38 0000	MYRIAD GENETICS INC	06/30/08	02/20/09	3,204 58	1,723 11	1,481 45 ST
2 0000	MONSTER WORLDWIDE INC	09/05/08	02/20/09	14 14	37 84	(23 70) ST
119 0000	MCMORAN EXPLORATION CO	08/04/08	02/13/09	834 51	3,262 39	(2,427 88) ST
121 0000	MCMORAN EXPLORATION CO	12/29/08	02/13/09	848 55	1,099 74	(251 19) ST
32 0000	MCDONALDS CORP COM	09/17/07	02/04/09	1,858 73	1,752 42	108 31 LT
4 0000	MCDONALDS CORP COM	10/19/07	02/04/09	232 33	227 06	5 27 LT
1 0000	NETFLIX COM INC	12/11/08	02/20/09	37 13	28 04	9 09 ST
9 0000	NETEZZA CORP	12/31/07	02/20/09	46 79	126 26	(79 47) LT
120 0000	NORSK HYDRO AS SPNRD ADR	02/22/08	02/05/09	450 13	1,716 11	(1,265 98) ST
13 0000	NORSK HYDRO AS SPNRD ADR	11/26/08	02/05/09	48 77	43 55	5 22 ST
20 0000	NOVELL INC	06/30/08	02/20/09	65 79	118 68	(52 89) ST
3 0000	OYO GEOSPACE CORP	12/26/06	02/20/09	31 10	157 83	(126 73) LT
62 0000	OCEANEERING INTL INC	06/30/06	02/13/09	2,070 43	2,723 66	(653 23) LT
19 0000	PRICE T ROWE GROUP INC	03/25/08	02/13/09	545 58	1,008 18	(462 60) ST
52 0000	PRICE T ROWE GROUP INC	03/26/08	02/13/09	1,493 19	2,724 09	(1,230 90) ST
9 0000	PRICE T ROWE GROUP INC	03/27/08	02/13/09	258 43	458 91	(200 48) ST
14 0000	PRICE T ROWE GROUP INC	04/01/08	02/13/09	402 02	750 70	(348 68) ST
24 0000	PRICE T ROWE GROUP INC	04/02/08	02/13/09	689 18	1,323 09	(633 91) ST
43 0000	QUALCOMM INC	01/24/08	02/03/09	1,469 02	1,719 44	(250 42) LT
3 0000	QUALITY SYSTEMS INC	07/31/06	02/20/09	117 61	101 26	16 35 LT
41 0000	QUALITY SYSTEMS INC	07/31/06	02/25/09	1,607 54	1,384 00	223 54 LT
10 0000	RADIANT SYSTEMS INC COM	10/30/07	02/20/09	26 05	157 74	(131 69) LT
4 0000	ROLLINS INC	06/30/06	02/20/09	63 21	52 93	10 28 LT
70 0000	SURMODICS INC	08/13/07	02/06/09	1,318 80	3,341 59	(2,022 79) LT
10 0000	SCHWAB CHARLES CORP NEW	11/14/07	01/29/09	139 92	242 01	(102 09) LT
88 0000	SCHWAB CHARLES CORP NEW	11/14/07	01/29/09	1,224 59	2,129 78	(905 19) LT
62 0000	SCHWAB CHARLES CORP NEW	11/14/07	01/29/09	862 78	1,493 39	(630 61) LT
33 0000	SCHWAB CHARLES CORP NEW	11/14/07	02/02/09	413 87	794 87	(381 00) LT
120 0000	SCHWAB CHARLES CORP NEW	11/15/07	02/02/09	1,504 99	2,858 23	(1,353 24) LT
70 0000	SCHWAB CHARLES CORP NEW	01/02/08	02/02/09	877 91	1,735 64	(857 73) LT
99 0000	SCHWAB CHARLES CORP NEW	04/18/08	02/02/09	1,241 62	2,163 33	(921 71) ST
70 0000	SCHWAB CHARLES CORP NEW	07/16/08	02/02/09	877 93	1,497 69	(619 76) ST
10 0000	SOUTHWESTERN ENERGY CO	02/12/08	02/05/09	326 98	309 23	17 75 ST
1 0000	TERRA INDUSTRIES INC COM	11/12/08	02/20/09	23 80	16 59	7 21 ST
13 0000	TEXAS INDUST INC	08/29/06	02/18/09	249 44	599 36	(349 92) LT
60 0000	TEXAS INDUST INC	09/19/06	02/18/09	1,151 26	2,965 19	(1,813 93) LT
1 0000	TEXAS INDUST INC	05/01/07	02/18/09	19 18	75 12	(55 94) LT
68 0000	TEXAS INDUST INC	12/08/08	02/18/09	1,304 78	2,370 35	(1,065 57) ST
2 0000	THORATEC CORP COM NEW	09/25/07	02/20/09	49 41	41 75	7 66 LT
15 0000	TIBCO SOFTWARE INC	09/19/07	02/20/09	72 14	110 78	(38 64) LT

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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
28 0000	TOYOTA MOTOR CORP ADR	02/07/08	02/11/09	1,875 24	3,071 77	(1,196 53) LT
17 0000	UNITEDHEALTH GROUP INC	12/19/06	02/11/09	480 94	879 93	(398 99) LT
5 0000	UNITEDHEALTH GROUP INC	12/20/06	02/11/09	141 46	266 39	(124 93) LT
12 0000	UNITEDHEALTH GROUP INC	12/20/06	02/11/09	339 49	639 36	(299 87) LT
26 0000	UNITEDHEALTH GROUP INC	01/12/07	02/11/09	735 58	1,432 76	(697 18) LT
39 0000	UNITEDHEALTH GROUP INC	05/01/07	02/11/09	1,103 36	2,053 35	(949 99) LT
12 0000	UNITEDHEALTH GROUP INC	07/26/07	02/11/09	339 49	607 72	(268 23) LT
6 0000	UNIVERSAL ELECTRONCS INC	08/03/07	02/20/09	86 41	183 10	(96 69) LT
2 0000	VITAL IMAGES INC IOWA	02/22/07	02/20/09	21 08	70 59	(49 51) LT
1 0000	VARIAN SEMICNDCTR EQ ASC	01/09/09	02/20/09	18 85	19 42	(0 57) ST
2 0000	WMS INDS INC COM	09/19/07	02/20/09	36 12	60 45	(24 33) LT
143 0000	WASTE CONNECTIONS INC	06/30/06	02/13/09	3,761 31	3,460 60	300 71 LT
21 0000	XTO ENERGY INC	01/09/08	02/19/09	683 13	1,149 39	(466 26) LT
13 0000	XTO ENERGY INC	08/20/08	02/19/09	422 88	640 26	(217 38) ST
46 0000	WAL-MART STORES INC	09/08/08	02/04/09	2,164 85	2,837 81	(672 96) ST
34 0000	WAL-MART STORES INC	09/09/08	02/04/09	1,600 10	2,097 52	(497 42) ST
21 0000	WAL-MART STORES INC	09/16/08	02/04/09	988 31	1,308 79	(320 48) ST
62 0000	WHITING PETROLEUM CORP	01/05/09	03/16/09	1,584 33	2,444 07	(859 74) ST
1,000 0000	ANHEUSER-BUSCH CO 6 45% 37	10/16/08	03/16/09	785 10	703 46	81 64 ST
1,000 0000	TIME WARNER INC 7 62% 2031	07/13/06	03/16/09	870 50	1,059 59	(189 09) LT
1,000 0000	CARDINAL HEALTH 5 85% 2017	03/20/07	03/16/09	861 25	1,006 66	(145 41) LT
1,000 0000	COMCAST CORP 6 50%JAN15 17	06/05/08	03/16/09	951 25	1,037 98	(86 73) ST
1,000 0000	ENERGY TRANSFER P 5 95% 15	06/18/08	03/16/09	871 25	978 19	(106 94) ST
1,000 0000	JP MORGAN CHASE 5 15% 2015	11/02/06	03/16/09	815 00	982 65	(167 65) LT
1,000 0000	MORGAN STANLEY 4 75% 2014	07/13/06	03/16/09	784 02	920 05	(136 03) LT
1,000 0000	ONEOK PARTNERS 6 15% 2016	12/08/06	03/16/09	873 70	1,025 33	(151 63) LT
1,000 0000	VODAFONE GROUP PL 6 15% 37	03/02/07	03/16/09	915 21	995 22	(80 01) LT
1,000 0000	SBC COMMUN INC 5 87% 2012	12/16/08	03/16/09	1,041 64	1,004 43	37 21 ST
1,000 0000	WELLS FARGO CO 4 95% 2013	06/10/08	03/17/09	846 61	992 03	(145 42) ST
1,000 0000	USD GOLDMAN SACHS 5 15% 14	06/05/08	03/16/09	905 50	990 72	(85 22) ST
2,000 0000	FEDERAL HOME LN MTG CORP	07/13/06	03/16/09	2,191 50	1,890 02	301 48 LT
1,000 0000	CATERPILLAR FIN SERV CRP	12/05/07	03/16/09	959 00	1,003 46	(44 46) LT
4,000 0000	U S TREASURY STRIP PRIN	10/19/07	03/16/09	2,847 80	2,554 97	292 83 LT
1,000 0000	U S TREASURY BOND	03/03/08	03/16/09	1,175 55	1,108 77	66 78 LT
2,000 0000	US TSY 4 375% FEB 15 2038	08/01/08	03/16/09	2,177 34	1,936 88	240 46 ST
1,000 0000	US TSY 3 875% MAY 15 2018	06/27/08	03/16/09	1,076 45	990 42	86 03 ST
1,000 0000	JOHN DEERE CAPITAL CORP	04/02/08	03/16/09	976 31	998 49	N/C
1,000 0000	AMERICAN EXPRESS	12/19/08	03/16/09	875 50	962 71	N/C
7,000 0000	FNMA P745355 05%2036 COR	03/27/07	03/16/09	5,458 39	5,170 97	N/C
13,000 0000	FNMA P905142 05 50%2037 COR	03/20/07	03/16/09	11,034 26	10,637 07	N/C

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THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
1,000 0000	FNMA P938195 05 50%2022 COR	07/09/07	03/16/09	766 19	726 74	N/C
2,000 0000	FNMA P898565 06 50%2036 COR	04/10/07	03/16/09	1,476 56	1,449 71	N/C
51 0000	ABBOTT LABS	10/03/08	03/20/09	2,425 22	3,017 00	(591 78) ST
20 0000	ABBOTT LABS	10/03/08	03/20/09	948 80	1,183 15	(234 35) ST
18 0000	ABBOTT LABS	10/06/08	03/20/09	855 96	1,007 02	(151 06) ST
5 0000	ABBOTT LABS	10/06/08	03/23/09	238 96	279 73	(40 77) ST
29 0000	ABBOTT LABS	10/16/08	03/23/09	1,386 02	1,552 66	(166 64) ST
7 0000	ABBOTT LABS	10/16/08	03/26/09	319 38	374 79	(55 41) ST
7 0000	ABBOTT LABS	10/23/08	03/26/09	319 38	381 22	(61 84) ST
51 0000	APOLLO GROUP INC CL A	11/20/08	03/09/09	3,433 82	3,451 35	(17 53) ST
25 0000	APOLLO GROUP INC CL A	11/26/08	03/09/09	1,683 24	1,899 46	(216 22) ST
7 0000	APOLLO GROUP INC CL A	01/15/09	03/09/09	471 31	583 07	(111 76) ST
3 0000	APOLLO GROUP INC CL A	01/15/09	03/09/09	202 00	258 41	(56 41) ST
2 0000	AMAZON COM INC COM	09/04/07	03/18/09	143 13	165 77	(22 64) LT
7 0000	AMAZON COM INC COM	11/13/07	03/18/09	500 95	556 01	(55 06) LT
4 0000	AMAZON COM INC COM	11/13/07	03/18/09	286 27	316 95	(30 68) LT
45 0000	AMGEN INC COM PV \$0 0001	10/23/08	03/04/09	2,253 04	2,483 30	(230 26) ST
10 0000	AMGEN INC COM PV \$0 0001	10/24/08	03/04/09	500 67	557 24	(56 57) ST
12 0000	AMGEN INC COM PV \$0 0001	10/24/08	03/04/09	600 82	650 30	(49 48) ST
62 0000	AMGEN INC COM PV \$0 0001	11/13/08	03/04/09	3,104 20	3,544 40	(440 20) ST
136 0000	CLIFFS NATURAL RESOURCES	02/23/09	03/13/09	1,782 71	2,903 72	(1,121 01) ST
16 0000	ENI S P A SPONSORED ADR	06/30/06	03/05/09	514 16	939 20	(425 04) LT
51 0000	FACTSET RESH SYS INC	06/30/06	03/19/09	1,930 88	2,340 64	(409 76) LT
10 0000	GENENTECH INC NEW	10/15/08	03/10/09	917 15	818 53	98 62 ST
16 0000	GENENTECH INC NEW	10/15/08	03/26/09	1,520 00	1,309 65	210 35 ST
20 0000	GENENTECH INC NEW	10/16/08	03/26/09	1,899 99	1,617 29	282 70 ST
14 0000	GENENTECH INC NEW	03/03/09	03/26/09	1,330 01	1,148 97	181 04 ST
30 0000	GENERAL MILLS	10/02/08	03/11/09	1,539 81	2,099 67	(559 86) ST
20 0000	GENERAL MILLS	10/02/08	03/19/09	982 03	1,399 79	(417 76) ST
11 0000	GENERAL MILLS	10/03/08	03/19/09	540 11	774 67	(234 56) ST
8 0000	GENERAL MILLS	10/08/08	03/19/09	392 81	544 91	(152 10) ST
9 0000	GENERAL MILLS	10/06/08	03/19/09	441 91	614 71	(172 80) ST
35 0000	GENERAL MILLS	11/13/08	03/19/09	1,718 56	2,266 05	(547 49) ST
31 0000	GENERAL MILLS	11/17/08	03/19/09	1,522 16	2,048 88	(526 72) ST
5 0000	GENZYME CORPORATION	07/08/08	03/19/09	287 57	375 98	(88 41) ST
14 0000	GENZYME CORPORATION	07/08/08	03/19/09	805 23	1,054 19	(248 96) ST
12 0000	GENZYME CORPORATION	07/09/08	03/19/09	690 18	912 69	(222 51) ST
10 0000	GENZYME CORPORATION	07/09/08	03/20/09	551 28	760 58	(209 30) ST
26 0000	GENZYME CORPORATION	07/09/08	03/20/09	1,433 35	1,979 69	(546 34) ST
5 0000	GENZYME CORPORATION	07/10/08	03/20/09	275 64	381 05	(105 41) ST

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THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
15 0000	GENZYME CORPORATION	07/23/08	03/20/09	826 93	1,141 11	(314 18) ST
8 0000	GENZYME CORPORATION	10/14/08	03/20/09	441 03	578 50	(137 47) ST
24 0000	GENZYME CORPORATION	02/02/09	03/20/09	1,323 10	1,668 54	(345 44) ST
9 0000	GENZYME CORPORATION	02/03/09	03/20/09	496 17	627 83	(131 66) ST
68 0000	HEWLETT PACKARD CO DEL	11/20/08	02/27/09	2,040 44	2,270 53	(230 09) ST
408 0000	INTGTD DEVICE TECH CALIF	06/30/06	03/13/09	2,029 12	5,532 49	(3,503 37) LT
1 0000	INTGTD DEVICE TECH CALIF	05/01/07	03/13/09	4 97	14 69	(9 72) LT
2 0000	INTGTD DEVICE TECH CALIF	05/02/07	03/13/09	9 96	30 22	(20 26) LT
121 0000	INTREPID POTASH INC	10/03/08	03/24/09	2,039 25	3,134 43	(1,095 18) ST
32 0000	LOCKHEED MARTIN CORP	01/22/09	03/04/09	2,101 18	2,676 86	(575 68) ST
12 0000	LOCKHEED MARTIN CORP	01/22/09	03/10/09	706 59	1,003 83	(297 24) ST
37 0000	LOCKHEED MARTIN CORP	01/23/09	03/10/09	2,178 66	3,046 96	(868 30) ST
45 0000	LOWE'S COMPANIES INC	05/05/08	02/27/09	710 62	1,160 03	(449 41) ST
15 0000	LOWE'S COMPANIES INC	05/05/08	02/27/09	238 64	386 68	(148 04) ST
108 0000	LOWE'S COMPANIES INC	06/11/08	02/27/09	1,705 50	2,511 70	(806 20) ST
87 0000	LOWE'S COMPANIES INC	07/15/08	02/27/09	1,373 88	1,608 35	(234 47) ST
20 0000	MONSANTO CO NEW DEL COM	08/15/07	03/20/09	1,648 32	1,298 02	350 30 LT
5 0000	MONSANTO CO NEW DEL COM	08/30/07	03/20/09	412 08	344 40	67 68 LT
29 0000	MCDONALDS CORP COM	10/19/07	03/16/09	1,517 62	1,646 26	(128 64) LT
20 0000	MCDONALDS CORP COM	10/22/07	03/16/09	1,046 63	1,117 65	(71 02) LT
20 0000	MCDONALDS CORP COM	11/12/07	03/16/09	1,046 63	1,178 77	(132 14) LT
35 0000	MCDONALDS CORP COM	11/12/07	03/16/09	1,831 62	2,061 70	(230 08) LT
5 0000	MCDONALDS CORP COM	11/12/07	03/20/09	273 21	294 53	(21 32) LT
14 0000	MCDONALDS CORP COM	09/15/08	03/20/09	765 00	901 26	(136 26) ST
56 0000	MYLAN INC	01/12/09	03/20/09	740 12	605 27	134 85 ST
387 0000	PFIZER INC DEL PV\$0 05	06/30/06	03/04/09	4,592 03	9,160 28	(4,568 25) LT
78 0000	ROLLINS INC	06/30/06	02/27/09	1,238 69	1,032 20	206 49 LT
122 0000	ROLLINS INC	06/30/06	03/26/09	2,029 57	1,614 48	415 09 LT
94 0000	SUNPWR CORP CL A	02/02/09	03/04/09	2,416 05	2,959 90	(543 85) ST
25 0000	XTO ENERGY INC	08/20/08	03/27/09	841 25	1,231 28	(390 03) ST
4,000 0000	CIT GROUP INC 5 40% 2016	04/19/07	04/27/09	1,880 00	3,881 80	(2,001 80) LT
1,000 0000	CIT GROUP INC 5 40% 2016	06/28/07	04/27/09	470 00	936 80	(466 80) LT
2,000 0000	FEDERAL HOME LN MTG CORP	07/13/06	03/27/09	2,199 04	1,890 02	309 02 LT
3,000 0000	FEDERAL HOME LN MTG CORP	07/13/06	03/30/09	3,312 05	2,835 03	477 02 LT
55 0000	ABBOTT LABS	10/23/08	04/15/09	2,336 11	2,995 32	(659 21) ST
40 0000	ABBOTT LABS	11/13/08	04/15/09	1,698 99	2,207 55	(508 56) ST
3 0000	AMAZON COM INC COM	11/13/07	04/24/09	254 60	237 71	16 89 LT
103 0000	ALPHA NATURAL RESOURCES	02/09/09	04/17/09	1,778 00	2,359 35	(581 35) ST
59 0000	ALPHA NATURAL RESOURCES	02/19/09	04/17/09	1,018 48	1,143 31	(124 83) ST
26 0000	AMERICAN PUB ED INC	02/12/09	04/06/09	1,193 14	1,066 50	126 64 ST

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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
4 0000	APPLE INC	08/10/06	04/21/09	486.79	256.94	229.85 LT
16 0000	BARD C R INC	02/02/09	04/23/09	1,141.61	1,375.43	(233.82) ST
16 0000	BARD C R INC	02/03/09	04/23/09	1,141.61	1,381.32	(239.71) ST
9 0000	BARD C R INC	02/04/09	04/23/09	642.15	781.71	(139.56) ST
70 0000	CENTENE CORP	06/30/06	04/06/09	1,359.27	1,633.13	(273.86) LT
41 0000	CELGENE CORP COM	02/19/09	04/02/09	1,559.51	2,198.69	(639.18) ST
54 0000	CELGENE CORP COM	02/20/09	04/02/09	2,053.99	2,910.35	(856.36) ST
4 0000	CELGENE CORP COM	02/20/09	04/02/09	156.62	215.59	(58.97) ST
6 0000	DOLBY LABORATORIES INC	06/30/06	04/13/09	206.15	138.01	68.14 LT
28 0000	DOLBY LABORATORIES INC	02/05/08	04/13/09	962.04	1,360.59	(398.55) LT
22 0000	DOLBY LABORATORIES INC	02/05/08	04/13/09	755.89	1,063.86	(307.97) LT
5 0000	DOLBY LABORATORIES INC	02/05/08	04/27/09	183.39	241.79	(58.40) LT
19 0000	DOLBY LABORATORIES INC	02/06/08	04/27/09	696.90	895.49	(198.59) LT
24 0000	DOLBY LABORATORIES INC	02/07/08	04/27/09	880.29	1,131.50	(251.21) LT
10 0000	DOLBY LABORATORIES INC	02/08/08	04/27/09	366.79	487.19	(120.40) LT
1 0000	DOLBY LABORATORIES INC	02/08/08	04/27/09	36.67	48.69	(12.02) LT
18 0000	DOLBY LABORATORIES INC	02/11/08	04/27/09	660.24	883.78	(223.54) LT
2 0000	DOLBY LABORATORIES INC	02/11/08	04/27/09	73.36	97.77	(24.41) LT
290 0000	ECLIPSYS CORPORATION	08/07/06	04/01/09	2,893.37	4,119.08	(1,225.71) LT
12 0000	GAMESTOP CORP NEW CL A	01/08/09	04/20/09	345.82	304.10	41.72 ST
40 0000	GAMESTOP CORP NEW CL A	01/08/09	04/21/09	1,148.60	1,013.68	134.92 ST
30 0000	GAMESTOP CORP NEW CL A	01/09/09	04/21/09	861.45	773.59	87.86 ST
9 0000	GAMESTOP CORP NEW CL A	01/09/09	04/21/09	258.44	235.46	22.98 ST
41 0000	GAMESTOP CORP NEW CL A	01/12/09	04/21/09	1,177.31	1,039.13	138.18 ST
76 0000	GENESCO INC	03/13/08	04/09/09	1,569.66	1,801.26	(31.60) LT
17 0000	JACOBS ENGN GRP INC DELA	11/26/08	04/09/09	743.57	699.12	44.45 ST
72 0000	JUNIPER NETWORKS INC	11/24/08	04/06/09	1,158.43	1,159.47	(1.04) ST
104 0000	JUNIPER NETWORKS INC	11/25/08	04/06/09	1,673.30	1,678.72	(5.42) ST
15 0000	JUNIPER NETWORKS INC	01/22/09	04/06/09	241.34	251.84	(10.50) ST
29 0000	JUNIPER NETWORKS INC	01/22/09	04/06/09	466.60	493.22	(26.62) ST
37 0000	JUNIPER NETWORKS INC	01/22/09	04/06/09	591.12	629.30	(38.18) ST
81 0000	LENDER PROCESSING SERV-	02/17/09	04/17/09	2,421.48	2,143.31	278.17 ST
72 0000	MYRIAD GENETICS INC	06/30/08	04/23/09	2,665.74	1,632.43	1,033.31 ST
224 0000	MORGAN STANLEY	03/18/09	04/27/09	4,856.28	5,235.71	(379.43) ST
22 0000	MONSANTO CO NEW DEL COM	08/30/07	04/03/09	1,845.91	1,515.39	330.52 LT
42 0000	MONSANTO CO NEW DEL COM	08/30/07	04/03/09	3,324.65	2,893.02	431.63 LT
12 0000	MCDONALDS CORP COM	09/15/08	04/13/09	680.15	772.51	(92.36) ST
52 0000	NETFLIX COM INC	12/11/08	03/27/09	2,106.39	1,458.37	648.02 ST
25 0000	NETFLIX COM INC	12/11/08	04/23/09	1,099.99	701.15	398.84 ST
5 0000	NETFLIX COM INC	12/19/08	04/23/09	220.01	140.05	79.96 ST

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THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
80 0000	NORTHN TRUST CORP	02/02/09	04/22/09	3,188 13	3,570 93	(382 80) ST
29 0000	NORTHN TRUST CORP	02/26/09	04/22/09	1,540 93	1,709 59	(168 66) ST
26 0000	NORTHN TRUST CORP	03/10/09	04/22/09	1,381 54	1,361 08	20 46 ST
512 0000	NOVELL INC	06/30/08	04/08/09	1,934 39	3,038 37	(1,103 98) ST
26 0000	OCEANEERING INTL INC	06/30/06	04/16/09	1,080 88	1,142 19	(61 31) LT
17 0000	OCEANEERING INTL INC	09/08/06	04/16/09	706 74	590 80	115 94 LT
62 0000	PENN NATL GAMING CORP	07/23/08	04/23/09	1,831 78	1,828 22	3 56 ST
12 0000	PRAXAIR INC	10/30/08	04/13/09	834 00	757 47	76 53 ST
25 0000	ROYAL DUTCH SHELL PLC	05/27/08	04/07/09	1,090 37	2,132 60	(1,042 23) ST
48 0000	SOUTHWESTERN ENERGY CO	02/12/08	04/13/09	1,505 62	1,484 33	21 29 LT
6 0000	SOUTHWESTERN ENERGY CO	04/30/08	04/13/09	188 20	251 37	(63 17) ST
16 0000	SOUTHWESTERN ENERGY CO	04/30/08	04/09/09	497 51	670 34	(172 83) ST
107 0000	TAIWAN S MANUFCTRING ADR	05/24/07	04/07/09	1,025 03	1,115 00	(89 97) LT
9 0000	TAIWAN S MANUFCTRING ADR	07/24/07	04/07/09	86 22	99 65	(13 43) LT
2 0000	APPLE INC	09/07/06	05/26/09	257 70	144 66	113 04 LT
240 0000	BANK OF AMERICA CORP	11/07/07	05/12/09	2,925 57	10,640 52	(7,714 95) ST
36 0000	BAXTER INTERNTL INC	02/02/09	04/30/09	1,765 77	2,115 65	(349 88) ST
54 0000	BAXTER INTERNTL INC	02/03/09	04/30/09	2,648 67	3,230 67	(582 00) ST
77 0000	BEST BUY CO INC	02/12/09	05/08/09	2,893 31	2,280 19	613 12 ST
70 0000	COPART INC COM	03/16/09	05/13/09	2,126 83	1,985 10	141 73 ST
37 0000	FREEPRT-MCMRAN CPR & GLD	03/06/09	05/08/09	1,897 49	1,237 08	660 41 ST
51 0000	FACTSET RESH SYS INC	06/30/06	05/06/09	2,770 05	2,340 64	429 41 LT
1 0000	FACTSET RESH SYS INC	05/01/07	05/06/09	54 32	61 70	(7 38) LT
20 0000	FTI CONSULTING INC COM	11/06/08	05/12/09	995 74	771 46	224 28 ST
49 0000	FIDELITY NATIONAL FINANC	01/12/09	05/07/09	767 22	820 50	(53 28) ST
6 0000	FIDELITY NATIONAL FINANC	01/12/09	05/07/09	93 94	100 71	(6 77) ST
8 0000	FIDELITY NATIONAL FINANC	01/13/09	05/07/09	125 26	134 97	(9 71) ST
4 0000	FIDELITY NATIONAL FINANC	01/13/09	05/07/09	62 64	69 10	(6 46) ST
41 0000	FIDELITY NATIONAL FINANC	01/13/09	05/07/09	635 11	708 37	(73 26) ST
36 0000	FIDELITY NATIONAL FINANC	01/14/09	05/07/09	557 66	606 30	(48 64) ST
62 0000	FIDELITY NATIONAL FINANC	01/15/09	05/07/09	960 41	1,044 60	(84 19) ST
1 0000	FIDELITY NATIONAL FINANC	01/15/09	05/07/09	15 50	16 61	(1 11) ST
16 0000	FIDELITY NATIONAL FINANC	01/15/09	05/08/09	244 13	265 85	(21 72) ST
52 0000	FIDELITY NATIONAL FINANC	02/04/09	05/08/09	793 43	899 45	(106 02) ST
18 0000	FIDELITY NATIONAL FINANC	02/05/09	05/08/09	274 64	321 16	(46 52) ST
33 0000	FIDELITY NATIONAL FINANC	03/18/09	05/08/09	503 53	636 54	(133 01) ST
33 0000	FIDELITY NATIONAL FINANC	03/19/09	05/08/09	503 53	647 23	(143 70) ST
108 0000	HEWLETT PACKARD CO DEL	11/20/08	05/20/09	3,767 70	3,606 15	161 55 ST
30 0000	HEWLETT PACKARD CO DEL	11/21/08	05/20/09	1,046 58	990 10	56 48 ST
28 0000	ITT EDUCATIONAL SVCS	02/02/09	05/08/09	2,588 23	3,547 17	(958 94) ST

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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
4 0000	ITT EDUCATIONAL SVCS	02/03/09	05/08/09	369 75	520 99	(151 24) ST
4 0000	ITT EDUCATIONAL SVCS	02/03/09	05/08/09	370 75	521 00	(150 25) ST
144 0000	INTEGRATED SIL SOLUTNS	06/30/06	05/05/09	327 91	760 17	(432 26) LT
172 0000	INTEGRATED SIL SOLUTNS	06/30/06	05/06/09	409 63	908 00	(498 37) LT
2 0000	INTEGRATED SIL SOLUTNS	05/01/07	05/06/09	4 76	11 00	(6 24) LT
1 0000	INTEGRATED SIL SOLUTNS	05/02/07	05/06/09	2 38	5 48	(3 10) LT
7 0000	INTEGRATED SIL SOLUTNS	07/15/08	05/06/09	16 68	35 19	(18 51) ST
59 0000	INTEL CORP	08/01/08	05/19/09	929 07	1,314 22	(385 15) ST
15 0000	JACOBS ENGN GRP INC DELA	11/26/08	04/28/09	619 31	616 88	2 43 ST
14 0000	JACOBS ENGN GRP INC DELA	11/28/08	04/28/09	578 02	617 34	(39 32) ST
2 0000	JACOBS ENGN GRP INC DELA	12/01/08	04/28/09	82 57	80 55	2 02 ST
47 0000	JACOBS ENGN GRP INC DELA	12/01/08	04/30/09	1,859 63	1,893 04	(33 41) ST
25 0000	JACOBS ENGN GRP INC DELA	12/08/08	04/30/09	989 17	1,213 99	(224 82) ST
7 0000	JACOBS ENGN GRP INC DELA	12/08/08	05/01/09	265 30	339 92	(74 62) ST
4 0000	JACOBS ENGN GRP INC DELA	12/12/08	05/01/09	151 61	177 68	(26 07) ST
19 0000	LANDSTAR SYS INC COM	06/30/06	05/12/09	689 36	894 14	(204 78) LT
2 0000	MYRIAD GENETICS INC	06/30/08	05/08/09	61 85	45 35	16 50 ST
28 0000	MYRIAD GENETICS INC	02/03/09	05/08/09	804 06	1,073 32	(269 26) ST
42 0000	MYRIAD GENETICS INC	02/03/09	05/11/09	1,351 12	1,733 84	(382 72) ST
6 0000	MYRIAD GENETICS INC	02/05/09	05/11/09	193 02	249 88	(56 86) ST
18 0000	MYRIAD GENETICS INC	02/05/09	05/12/09	593 39	749 65	(156 26) ST
4 0000	MCAFEE INC	08/01/08	05/01/09	161 31	145 25	16 06 ST
23 0000	MCAFEE INC	08/04/08	05/01/09	927 58	860 73	66 85 ST
8 0000	MCAFEE INC	08/04/08	05/01/09	322 64	299 18	23 46 ST
20 0000	MOSAIC CO	03/03/09	05/08/09	908 45	794 49	113 96 ST
31 0000	MOSAIC CO	03/03/09	05/21/09	1,648 55	1,231 46	417 09 ST
8 0000	MASTERCARD INC	08/17/07	05/01/09	1,359 93	1,092 99	266 94 LT
16 0000	MASTERCARD INC	09/19/07	05/01/09	2,719 87	2,398 00	321 87 LT
8 0000	MASTERCARD INC	09/19/07	05/19/09	1,348 82	1,199 00	149 82 LT
7 0000	MASTERCARD INC	11/09/07	05/19/09	1,180 21	1,354 20	(173 99) LT
23 0000	MCDONALDS CORP COM	09/15/08	04/30/09	1,237 36	1,480 67	(243 31) ST
77 0000	MCDONALDS CORP COM	10/01/08	04/30/09	4,142 47	4,899 39	(756 92) ST
52 0000	MYLAN INC	01/12/09	05/18/09	675 67	562 05	113 62 ST
59 0000	MYLAN INC	01/13/09	05/18/09	766 63	654 20	112 43 ST
93 0000	MYLAN INC	01/13/09	05/18/09	1,208 43	1,031 37	177 06 ST
24 0000	MYLAN INC	01/13/09	05/19/09	309 87	266 17	43 70 ST
59 0000	MYLAN INC	01/14/09	05/19/09	761 79	632 31	129 48 ST
93 0000	MYLAN INC	02/20/09	05/19/09	1,200 79	1,154 70	46 09 ST
14 0000	MYLAN INC	02/20/09	05/20/09	179 90	173 83	6 07 ST
52 0000	MYLAN INC	04/17/09	05/20/09	668 24	759 76	(91 52) ST

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THE ROCKCARES FOUNDATION



REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
53 0000	OCEANEERING INTL INC	09/08/06	05/21/09	2,465 68	1,841 91	623 77 LT
2 0000	OCEANEERING INTL INC	05/01/07	05/21/09	93 05	94 26	(1 21) LT
37 0000	ST JUDE MEDICAL INC	02/12/09	04/30/09	1,254 52	1,361 39	(106 87) ST
24 0000	ST JUDE MEDICAL INC	02/13/09	04/30/09	813 75	915 96	(102 21) ST
30 0000	ST JUDE MEDICAL INC	02/13/09	05/01/09	991 91	1,144 96	(153 05) ST
58 0000	ST JUDE MEDICAL INC	02/17/09	05/01/09	1,917 70	2,243 76	(326 06) ST
39 0000	UNIVERSAL ELECTRONCS INC	08/03/07	05/05/09	732 44	1,190 20	(457 76) LT
38 0000	WMS INDS INC COM	09/19/07	05/08/09	1,272 63	1,148 70	123 93 LT
9,000 0000	US TSY 2 250% MAY 31 2014	06/10/09	06/23/09	8,822 43	8,725 81	96 62 ST
30,000 0000	FNMA P745355 05%2036 COR	03/27/07	06/09/09	21,654 44	20,864 49	N/C
1,000 0000	FNMA PAA0712 05%2039 COR	04/29/09	06/09/09	770 99	793 04	N/C
6 0000	AMAZON COM INC COM	11/13/07	06/18/09	491 64	475 45	16 19 LT
11 0000	AMAZON COM INC COM	04/25/08	06/18/09	901 36	866 07	35 29 LT
5 0000	AMAZON COM INC COM	05/19/08	06/18/09	409 70	412 57	(2 87) LT
232 0000	AMER EXPRESS COMPANY	12/03/08	06/12/09	5,825 90	4,988 53	837 37 ST
13 0000	AMER EXPRESS COMPANY	03/18/09	06/12/09	326 45	170 81	155 64 ST
2 0000	APPLE INC	09/07/06	05/28/09	270 21	144 66	125 55 LT
12 0000	APPLE INC	09/07/06	06/18/09	1,642 10	867 99	774 11 LT
4 0000	AUTOZONE INC NEVADA COM	02/23/09	06/02/09	632 57	576 35	56 22 ST
3 0000	AUTOZONE INC NEVADA COM	02/23/09	06/24/09	448 80	432 26	16 54 ST
3 0000	AUTOZONE INC NEVADA COM	02/23/09	06/24/09	446 87	432 26	14 61 ST
24 0000	BEST BUY CO INC	02/12/09	06/02/09	906 70	710 70	196 00 ST
31 0000	BEST BUY CO INC	02/12/09	06/18/09	1,071 57	918 00	153 57 ST
83 0000	BOEING COMPANY	06/05/09	06/24/09	3,547 92	4,373 09	(825 17) ST
41 0000	CORE LAB N V COM	06/30/06	06/16/09	3,704 71	2,513 31	1,191 40 LT
35 0000	CF INDS HLDGS INC	04/13/07	06/23/09	2,489 72	1,575 99	913 73 LT
26 0000	CF INDS HLDGS INC	10/23/08	06/23/09	1,849 52	1,148 43	701 09 ST
29 0000	C H ROBINSON WORLDWIDE,	12/08/08	06/16/09	1,456 51	1,456 40	0 11 ST
2 0000	C H ROBINSON WORLDWIDE,	12/09/08	06/16/09	100 45	100 21	0 24 ST
27 0000	C H ROBINSON WORLDWIDE,	12/09/08	06/17/09	1,337 26	1,352 88	(15 62) ST
13 0000	C H ROBINSON WORLDWIDE,	12/09/08	06/17/09	645 35	651 40	(6 05) ST
25 0000	C H ROBINSON WORLDWIDE,	12/10/08	06/17/09	1,241 06	1,285 87	(44 81) ST
22 0000	C H ROBINSON WORLDWIDE,	04/22/09	06/17/09	1,092 14	1,169 87	(77 73) ST
60 0000	DARDEN RESTAURANTS INC	04/17/09	06/24/09	1,952 54	2,359 16	(406 62) ST
4 0000	DARDEN RESTAURANTS INC	04/17/09	06/25/09	131 30	157 28	(25 98) ST
35 0000	DARDEN RESTAURANTS INC	04/20/09	06/25/09	1,148 92	1,361 69	(212 77) ST
31 0000	DARDEN RESTAURANTS INC	04/23/09	06/25/09	1,017 62	1,234 14	(216 52) ST
30 0000	DARDEN RESTAURANTS INC	05/29/09	06/25/09	984 81	1,066 37	(81 56) ST
125 0000	FAMILY DOLLAR STORES	01/07/09	06/18/09	3,603 53	3,458 61	144 92 ST
30 0000	FAMILY DOLLAR STORES	01/15/09	06/18/09	864 85	848 90	15 95 ST

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THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
9 0000	FAMILY DOLLAR STORES	01/15/09	06/19/09	260 00	254 68	5 32 ST
41 0000	FAMILY DOLLAR STORES	03/05/09	06/19/09	1,184 47	1,241 40	(56 93) ST
24 0000	FREPRRT-MCMRAN CPR & GLD	03/06/09	06/18/09	1,217 50	802 43	415 07 ST
14 0000	GILEAD SCIENCES INC COM	11/27/07	06/02/09	602 12	614 50	(12 38) LT
27 0000	HESS CORP	03/10/09	06/02/09	1,685 38	1,562 54	122 84 ST
39 0000	HESS CORP	03/10/09	06/03/09	2,258 20	2,257 02	1 18 ST
40 0000	HESS CORP	03/19/09	06/03/09	2,316 11	2,577 35	(261 24) ST
14 0000	HANSEN NATURAL CORP	05/08/09	06/02/09	517 23	591 80	(74 57) ST
20 0000	HANSEN NATURAL CORP	05/08/09	06/05/09	653 08	845 44	(192 36) ST
47 0000	HANSEN NATURAL CORP	05/11/09	06/05/09	1,534 74	2,043 11	(508 37) ST
27 0000	HANSEN NATURAL CORP	05/12/09	06/05/09	881 67	1,175 23	(293 56) ST
21 0000	HANSEN NATURAL CORP	05/12/09	06/05/09	695 41	914 08	(218 67) ST
23 0000	ILLUMINA INC COM	02/04/09	06/02/09	872.02	769 67	102 35 ST
37 0000	ILLUMINA INC COM	02/04/09	06/16/09	1,384 86	1,238 18	146 68 ST
19 0000	ILLUMINA INC COM	02/04/09	06/16/09	698 69	635 82	62 87 ST
35 0000	ILLUMINA INC COM	02/04/09	06/17/09	1,273 68	1,171 25	102 43 ST
41 0000	ILLUMINA INC COM	02/04/09	06/18/09	1,485 73	1,372 05	113 68 ST
61 0000	INTEL CORP	08/01/08	06/04/09	979 72	1,358 77	(379 05) ST
40 0000	INTEL CORP	08/06/08	06/04/09	642 44	909 72	(267 28) ST
179 0000	INTEL CORP	09/02/08	06/04/09	2,874 93	4,130 43	(1,255 50) ST
12 0000	KOHL'S CORP WISC PV 1CT	03/19/09	06/02/09	565 79	491 53	74 26 ST
1 0000	MONSANTO CO NEW DEL COM	08/30/07	05/27/09	81 47	68 89	12 58 LT
3 0000	MONSANTO CO NEW DEL COM	11/09/07	05/27/09	244 42	291 90	(47 48) LT
17 0000	MONSANTO CO NEW DEL COM	11/09/07	05/27/09	1,385 05	1,654 21	(269 16) LT
13 0000	MONSANTO CO NEW DEL COM	01/07/08	05/27/09	1,059 16	1,568 81	(509 65) LT
12 0000	MONSANTO CO NEW DEL COM	01/07/08	06/08/09	988 89	1,448 15	(459 26) LT
6 0000	MONSANTO CO NEW DEL COM	01/17/08	06/08/09	494 44	600 76	(106 32) LT
1 0000	MONSANTO CO NEW DEL COM	01/17/08	06/24/09	76 54	100 13	(23 59) LT
27 0000	MONSANTO CO NEW DEL COM	09/05/08	06/24/09	2,066 69	2,819 43	(752 74) ST
12 0000	MONSANTO CO NEW DEL COM	09/30/08	06/24/09	918 53	1,191 79	(273 26) ST
27 0000	MEDCO HEALTH SOLUTIONS I	02/02/09	06/02/09	1,280 59	1,223 32	57 27 ST
4 0000	MEDCO HEALTH SOLUTIONS I	02/03/09	06/02/09	189 72	188 81	0 91 ST
55 0000	MOSAIC CO	03/03/09	06/08/09	2,836 29	2,184 87	651 42 ST
3 0000	MASTERCARD INC	11/09/07	06/02/09	506 96	580 38	(73 42) LT
10 0000	MASTERCARD INC	10/03/08	06/25/09	1,630 92	1,680 50	(49 58) ST
14 0000	MASTERCARD INC	03/18/09	06/25/09	2,283 29	2,236 16	47 13 ST
76 0000	MC GRAW HILL COMPANIES	04/30/09	06/18/09	2,292 71	2,298 28	(5 57) ST
2 0000	MC GRAW HILL COMPANIES	05/01/09	06/18/09	60 34	60 57	(0 23) ST
50 0000	MC GRAW HILL COMPANIES	05/01/09	06/19/09	1,500 25	1,514 37	(14 12) ST
139 0000	NEWS CORP CL B	06/30/06	06/05/09	1,608 77	2,814 75	(1,205 98) LT

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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
15 0000	NEWS CORP CL B	11/26/08	06/05/09	173 61	109 95	63 66 ST
46 0000	NORDSTROM INC	04/08/09	06/02/09	1,060 96	844 53	216 43 ST
33 0000	NORDSTROM INC	04/08/09	06/05/09	697 64	605 86	91 78 ST
60 0000	NORDSTROM INC	04/09/09	06/05/09	1,268 44	1,269 66	(1 22) ST
129 0000	NORDSTROM INC	04/17/09	06/05/09	2,727 16	2,815 39	(88 23) ST
21 0000	NORTHROP GRUMMAN CORP	03/26/07	06/10/09	998 10	1,582 59	(584 49) LT
4 0000	NORTHROP GRUMMAN CORP	04/05/07	06/10/09	190 12	300 00	(109 88) LT
11 0000	PRICELINE COM INC	01/06/09	06/18/09	1,205 61	853 65	351 96 ST
13 0000	PETROLEO BRAS VTG SPD ADR	10/11/06	06/05/09	563 19	269 93	293 26 LT
69 0000	RADIANT SYSTEMS INC COM	10/30/07	06/17/09	488 56	1,088 43	(599 87) LT
65 0000	RADIANT SYSTEMS INC COM	10/31/07	06/17/09	460 23	1,037 69	(577 46) LT
49 0000	RADIANT SYSTEMS INC COM	10/31/07	06/17/09	346 96	786 43	(439 47) LT
26 0000	S & T BANCORP INC	01/08/09	06/22/09	327 89	878 03	(550 14) ST
42 0000	S & T BANCORP INC	01/09/09	06/22/09	529 68	1,395 12	(865 44) ST
81 0000	STAPLES INC	06/30/06	06/10/09	1,669 06	2,000 69	(331 63) LT
272 0000	TIBCO SOFTWARE INC	09/19/07	06/19/09	1,714 69	2,008 94	(294 25) LT
56 0000	WELLS FARGO & CO NEW DEL	01/26/09	06/17/09	1,319 03	932 55	386 48 ST
63 0000	ABBOTT LABS	06/18/09	07/23/09	2,776 51	2,980 01	(203 50) ST
367 0000	ALTRIA GROUP INC	06/30/06	07/02/09	6,015 26	6,300 81	(285 55) LT
20 0000	ALTRIA GROUP INC	03/26/07	07/02/09	327 80	395 25	(67 45) LT
32 0000	ALTRIA GROUP INC	05/01/07	07/02/09	524 49	671 00	(146 51) LT
1 0000	ALTRIA GROUP INC	05/02/07	07/02/09	16 39	21 11	(4 72) LT
385 0000	ALTRIA GROUP INC	05/22/08	07/02/09	6,310 30	8,669 85	(2,359 55) LT
123 0000	ALTRIA GROUP INC	07/22/08	07/02/09	2,016 02	2,498 02	(482 00) ST
204 0000	ALTRIA GROUP INC	03/18/09	07/02/09	3,343 65	3,425 08	(81 43) ST
42 0000	AETNA INC NEW	08/09/07	07/02/09	1,034 29	2,024 09	(989 80) LT
92 0000	AETNA INC NEW	06/11/08	07/02/09	2,265 59	4,154 88	(1,889 29) LT
70 0000	AETNA INC NEW	07/15/08	07/02/09	1,723 81	2,449 83	(726 02) ST
49 0000	AETNA INC NEW	07/22/08	07/02/09	1,206 67	1,796 11	(589 44) ST
36 0000	AETNA INC NEW	03/18/09	07/02/09	886 55	871 87	14 68 ST
20 0000	AMER EXPRESS COMPANY	03/18/09	07/02/09	454 47	262 79	191 68 ST
188 0000	AMER EXPRESS COMPANY	04/30/09	07/02/09	4,272 04	4,840 40	(568 36) ST
57 0000	AMER EXPRESS COMPANY	05/07/09	07/02/09	1,295 25	1,521 52	(226 27) ST
9 0000	ANADARKO PETE CORP	06/30/06	07/02/09	384 66	424 09	(39 43) LT
129 0000	ANADARKO PETE CORP	03/26/07	07/02/09	5,513 48	5,400 59	112 89 LT
61 0000	ANADARKO PETE CORP	07/13/07	07/02/09	2,607 15	3,181 77	(574 62) LT
33 0000	ANADARKO PETE CORP	07/22/08	07/02/09	1,410 42	2,053 13	(642 71) ST
103 0000	ANADARKO PETE CORP	08/27/08	07/02/09	4,402 24	6,505 21	(2,102 97) ST
52 0000	ANADARKO PETE CORP	03/18/09	07/02/09	2,222 50	2,019 11	203 39 ST
10 0000	APACHE CORP	06/30/06	07/02/09	690 22	676 11	14 11 LT

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THE ROCKCARES FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Table with columns: Quantity, Security Description, Date of Acquisition, Date of Liquidation, Sale Price, Cost Basis, Gain or (Loss). Lists various securities like APACHE CORP, BANK OF AMERICA CORP, BHP BILLITON LTD, etc.

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**EMA** Fiscal Statement

THE ROCKCARES FOUNDATION

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
1 0000	DEVON ENERGY CORP NEW	05/01/07	07/02/09	52 18	73 18	(21 00) LT
34 0000	DEVON ENERGY CORP NEW	07/13/07	07/02/09	1,774 27	2,731 05	(956 78) LT
30 0000	DEVON ENERGY CORP NEW	07/22/08	07/02/09	1,565 53	2,929 97	(1,364 44) ST
86 0000	DEVON ENERGY CORP NEW	08/27/08	07/02/09	4,487 88	9,381 11	(4,893 23) ST
43 0000	DEVON ENERGY CORP NEW	03/18/09	07/02/09	2,243 95	1,975 07	268 88 ST
145 0000	DISNEY (WALT) CO COM STK	10/21/08	07/02/09	3,279 20	3,778 16	(498 96) ST
81 0000	DISNEY (WALT) CO COM STK	12/05/08	07/02/09	1,831 83	1,819 83	12 00 ST
60 0000	DISNEY (WALT) CO COM STK	12/09/08	07/02/09	1,356 92	1,437 05	(80 13) ST
43 0000	DISNEY (WALT) CO COM STK	03/18/09	07/02/09	972 46	760 42	212 04 ST
70 0000	EATON CORP	01/13/09	07/02/09	3,053 71	3,450 10	(396 39) ST
33 0000	EATON CORP	02/11/09	07/02/09	1,439 61	1,469 13	(29 52) ST
14 0000	EATON CORP	03/18/09	07/02/09	610 75	475 16	135 59 ST
15 0000	FREPRM-MCMRAN CPR & GLD	03/06/09	07/06/09	689 02	501 52	187 50 ST
40 0000	FREPRM-MCMRAN CPR & GLD	03/13/09	07/06/09	1,837 40	1,483 22	354 18 ST
3 0000	FREPRM-MCMRAN CPR & GLD	03/19/09	07/06/09	137 80	123 81	13 99 ST
22 0000	FREPRM-MCMRAN CPR & GLD	03/19/09	07/06/09	1,010 58	913 88	96 70 ST
46 0000	FLUOR CORP NEW DEL COM	03/13/09	07/08/09	2,109 62	1,813 04	296 58 ST
11 0000	FLOWSERVE CORP	04/02/09	07/28/09	818 89	676 46	142 43 ST
78 0000	FEDEX CORP DELAWARE COM	08/27/08	07/02/09	4,250 80	6,325 75	(2,074 95) ST
33 0000	FEDEX CORP DELAWARE COM	02/11/09	07/02/09	1,798 42	1,733 39	65 03 ST
16 0000	FEDEX CORP DELAWARE COM	03/18/09	07/02/09	871 97	679 97	192 00 ST
5 0000	FIRST SOLAR INC	04/04/08	07/01/09	776 00	1,358 95	(582 95) LT
8 0000	FIRST SOLAR INC	05/02/08	07/01/09	1,241 60	2,176 03	(934 43) LT
2 0000	FIRST SOLAR INC	07/07/08	07/01/09	310 40	513 49	(203 09) ST
2 0000	FIRST SOLAR INC	08/14/08	07/01/09	310 40	516 57	(206 17) ST
5 0000	FIRST SOLAR INC	08/14/08	07/06/09	728 63	1,291 44	(562 81) ST
9 0000	FIRST SOLAR INC	09/19/08	07/06/09	1,311 54	2,143 77	(832 23) ST
6 0000	FIRST SOLAR INC	10/23/08	07/06/09	874 36	767 83	106 53 ST
4 0000	FIRST SOLAR INC	01/06/09	07/27/09	687 95	620 41	67 54 ST
1 0000	FIRST SOLAR INC	04/30/09	07/27/09	171 99	188 36	(16 37) ST
68 0000	GOLDMAN SACHS GROUP INC	10/14/08	07/02/09	9,848 71	8,558 41	1,290 30 ST
20 0000	GOLDMAN SACHS GROUP INC	01/12/09	07/02/09	2,896 68	1,595 75	1,300 93 ST
35 0000	GOLDMAN SACHS GROUP INC	02/04/09	07/02/09	5,069 19	3,088.03	1,981 16 ST
77 0000	GENERAL ELECTRIC	09/15/06	07/02/09	891 90	2,692 70	(1,800 80) LT
90 0000	GENERAL ELECTRIC	10/03/06	07/02/09	1,042 48	3,225 19	(2,182 71) LT
64 0000	GENERAL ELECTRIC	11/30/06	07/02/09	741 32	2,269 82	(1,528 50) LT
65 0000	GENERAL ELECTRIC	12/04/06	07/02/09	752 90	2,301 59	(1,548 69) LT
3 0000	GENERAL ELECTRIC	05/01/07	07/02/09	34 74	111 30	(76 56) LT
90 0000	GENERAL ELECTRIC	07/15/08	07/02/09	1,042 49	2,428 09	(1,385 60) ST
24 0000	GENERAL ELECTRIC	07/22/08	07/02/09	277 99	677 31	(399 32) ST

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THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
159 0000	GENERAL ELECTRIC	12/02/08	07/02/09	1,841 74	2,695 26	(853 52) ST
139 0000	GENERAL ELECTRIC	01/26/09	07/02/09	1,610 07	1,741 95	(131.88) ST
106 0000	GENERAL ELECTRIC	02/11/09	07/02/09	1,227 83	1,265 21	(37.38) ST
114 0000	GENERAL ELECTRIC	03/18/09	07/02/09	1,320 50	1,154 81	165 69 ST
22 0000	GOODRICH CORPORATION	01/06/09	07/23/09	1,084 36	880 22	204 14 ST
14 0000	GOODRICH CORPORATION	01/06/09	07/23/09	690 04	568 39	121 65 ST
21 0000	GOODRICH CORPORATION	01/07/09	07/23/09	1,035 07	852 45	182 62 ST
6 0000	GOODRICH CORPORATION	01/08/09	07/23/09	295 73	243 46	52 27 ST
17 0000	GOODRICH CORPORATION	01/08/09	07/23/09	837 92	686 93	150 99 ST
16 0000	GOODRICH CORPORATION	01/09/09	07/23/09	788 63	643 83	144 80 ST
19 0000	GOODRICH CORPORATION	06/05/09	07/23/09	936 51	1,042 62	(106 11) ST
2 0000	GOODRICH CORPORATION	06/05/09	07/24/09	98 82	109 76	(10 94) ST
258 0000	HEXCEL CORP NEW COM	01/30/09	07/23/09	2,593 66	2,137 77	455 89 ST
22 0000	ICON PLC SPONSORED ADR	10/27/06	07/23/09	470 99	390 73	80 26 LT
134 0000	ICON PLC SPONSORED ADR	01/12/07	07/23/09	2,868 82	2,602 27	266 55 LT
47 0000	INVESTM TECH GRP INC NEW	09/26/06	07/06/09	908 17	2,273 70	(1,365 53) LT
22 0000	INVESTM TECH GRP INC NEW	09/27/06	07/06/09	425 11	1,027 50	(602 39) LT
48 0000	INVESTM TECH GRP INC NEW	09/27/06	07/14/09	917 09	2,241 83	(1,324 74) LT
28 0000	INVESTM TECH GRP INC NEW	11/07/06	07/14/09	534 97	1,156 12	(621 15) LT
1 0000	JPMORGAN CHASE & CO	09/29/08	07/02/09	33 03	42 83	(9 80) ST
201 0000	JPMORGAN CHASE & CO	10/06/08	07/02/09	6,641 33	8,765 55	(2,124 22) ST
97 0000	JPMORGAN CHASE & CO	12/16/08	07/02/09	3,205 02	3,100 33	104.69 ST
54 0000	JPMORGAN CHASE & CO	01/15/09	07/02/09	1,784 23	1,392 92	391.31 ST
50 0000	JPMORGAN CHASE & CO	03/18/09	07/02/09	1,652 08	1,272 96	379 12 ST
38 0000	KOHL'S CORP WISC PV 1CT	03/19/09	07/15/09	1,733 22	1,556 55	176 67 ST
79 0000	KOHL'S CORP WISC PV 1CT	03/20/09	07/15/09	3,603 28	3,205 93	397 35 ST
17 0000	KOHL'S CORP WISC PV 1CT	03/24/09	07/15/09	775 39	722 94	52 45 ST
55 0000	LOWE'S COMPANIES INC	07/15/08	07/02/09	1,019 80	1,016 78	3 02 ST
300 0000	LOWE'S COMPANIES INC	09/02/08	07/02/09	5,562 57	7,902 24	(2,339 67) ST
100 0000	LOWE'S COMPANIES INC	12/05/08	07/02/09	1,854 19	2,182 07	(327 88) ST
63 0000	LOWE'S COMPANIES INC	03/18/09	07/02/09	1,168 15	1,042 61	125 54 ST
239 0000	MICROSOFT CORP	02/06/09	07/02/09	5,561 03	4,704 36	856 67 ST
33 0000	MICROSOFT CORP	03/18/09	07/02/09	767 84	552 78	215 06 ST
213 0000	MICROSOFT CORP	06/16/09	07/24/09	4,978.21	5,067 10	(88 89) ST
165 0000	MICROSOFT CORP	06/17/09	07/24/09	3,856 36	3,887 59	(31 23) ST
150 0000	NIDEC CORPORATION ADR	06/30/06	07/02/09	2,323 58	2,701 50	(377 92) LT
2 0000	NIDEC CORPORATION ADR	02/28/07	07/02/09	30 99	32 79	(1 80) LT
94 0000	NETEZZA CORP	12/31/07	07/21/09	813 54	1,318.83	(505 29) LT
36 0000	NETEZZA CORP	12/31/07	07/21/09	311 57	503 92	(192 35) LT
57 0000	NEWMONT MINING CORP	12/05/08	07/02/09	2,341.48	1,644 75	696 73 ST

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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
41 0000	NEWMONT MINING CORP	01/07/09	07/02/09	1,684 22	1,507 63	176 59 ST
15 0000	NEWMONT MINING CORP	03/18/09	07/02/09	616 19	539 84	76 35 ST
49 0000	OCCIDENTAL PETE CORP CAL	10/26/06	07/02/09	3,084 85	2,356 55	728 30 LT
2 0000	OCCIDENTAL PETE CORP CAL	05/01/07	07/02/09	125 91	101 44	24 47 LT
27 0000	OCCIDENTAL PETE CORP CAL	07/13/07	07/02/09	1,699 81	1,688 64	11 17 LT
10 0000	OCCIDENTAL PETE CORP CAL	07/22/08	07/02/09	629 56	773 12	(143 56) ST
30 0000	OCCIDENTAL PETE CORP CAL	03/18/09	07/02/09	1,888 70	1,654 46	234 24 ST
93 0000	PETROHAWK ENERGY CORP	04/24/09	07/06/09	1,792 56	2,082 62	(290 06) ST
38 0000	PETROHAWK ENERGY CORP	04/27/09	07/06/09	732 45	873 07	(140 62) ST
29 0000	PETROHAWK ENERGY CORP	05/01/09	07/06/09	558 98	716 33	(157 35) ST
24 0000	PETROHAWK ENERGY CORP	05/01/09	07/07/09	460 12	592 83	(132 71) ST
20 0000	PETROHAWK ENERGY CORP	05/04/09	07/07/09	383 43	518 13	(134 70) ST
10 0000	PNC FINCL SERVICES GROUP	07/15/08	07/02/09	374 27	512 69	(138 42) ST
123 0000	PNC FINCL SERVICES GROUP	08/27/08	07/02/09	4,603 58	8,579 51	(3,975 93) ST
105 0000	PNC FINCL SERVICES GROUP	01/15/09	07/02/09	3,929 90	4,254 18	(324 28) ST
34 0000	PNC FINCL SERVICES GROUP	03/18/09	07/02/09	1,272 54	1,009 44	263 10 ST
178 0000	PHILIP MORRIS INTL INC	02/11/09	07/02/09	7,737 24	6,488 12	1,249.12 ST
24 0000	PHILIP MORRIS INTL INC	03/18/09	07/02/09	1,043 23	914 63	128 60 ST
22 0000	PRECISION CASTPARTS	01/21/09	07/15/09	1,662 57	1,332 06	330 51 ST
8 0000	PRECISION CASTPARTS	01/21/09	07/21/09	612 25	484 39	127 86 ST
13 0000	PRECISION CASTPARTS	01/22/09	07/21/09	994 91	780 00	214 91 ST
8 0000	QUALCOMM INC	01/24/08	07/22/09	384 38	319 90	64 48 LT
9 0000	QUALCOMM INC	01/24/08	07/22/09	432 44	359 58	72 86 LT
36 0000	RADIANT SYSTEMS INC COM	10/31/07	07/17/09	307 44	577 79	(270 35) LT
104 0000	RADIANT SYSTEMS INC COM	12/28/07	07/17/09	888 16	1,778 40	(890 24) LT
13 0000	RADIANT SYSTEMS INC COM	12/31/07	07/17/09	111 03	222 57	(111 54) LT
26 0000	RANGE RESOURCES CORP DEL	12/10/08	07/06/09	963 10	985 07	(21 97) ST
23 0000	RANGE RESOURCES CORP DEL	12/11/08	07/06/09	851 98	907 02	(55 06) ST
18 0000	RANGE RESOURCES CORP DEL	12/11/08	07/06/09	666 76	697 18	(30 42) ST
8 0000	RANGE RESOURCES CORP DEL	12/11/08	07/07/09	293 28	309 87	(16 59) ST
47 0000	RANGE RESOURCES CORP DEL	01/06/09	07/07/09	1,723 06	1,874 87	(151 81) ST
37 0000	ST JUDE MEDICAL INC	06/18/09	07/22/09	1,364 95	1,505 33	(140 38) ST
71 0000	STARWOOD HOTELS AND	06/08/09	07/08/09	1,328 51	1,801 23	(472 72) ST
46 0000	STARWOOD HOTELS AND	06/09/09	07/08/09	860.73	1,185 76	(325 03) ST
64 0000	STARWOOD HOTELS AND	06/09/09	07/08/09	1,196 94	1,649 77	(452 83) ST
20 0000	SOUTHWESTERN ENERGY CO	04/30/08	07/15/09	779 69	837 94	(58 25) LT
151 0000	STAPLES INC	06/30/06	07/02/09	2,887 45	3,729 71	(842 26) LT
20 0000	STAPLES INC	05/01/07	07/02/09	382 44	497 80	(115 36) LT
1 0000	STAPLES INC	05/02/07	07/02/09	19 12	25 11	(5 99) LT
280 0000	STAPLES INC	09/10/07	07/02/09	5,354 22	6,228 21	(873 99) LT

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THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)	
133 0000	STAPLES INC	05/05/08	07/02/09	2,543 25	3,076 01	(532 76)	LT
114 0000	STAPLES INC	08/27/08	07/02/09	2,179 93	2,707 35	(527 42)	ST
119 0000	STAPLES INC	03/18/09	07/02/09	2,275 56	1,967 06	308 50	ST
148 0000	SUNTRUST BKS INC COM	12/11/08	07/02/09	2,404 19	4,058 93	(1,654 74)	ST
22 0000	SUNTRUST BKS INC COM	03/18/09	07/02/09	357 39	296 08	61 31	ST
38 0000	UNITEDHEALTH GROUP INC	07/26/07	07/02/09	918.74	1,924 45	(1,005 71)	LT
65 0000	UNITEDHEALTH GROUP INC	03/03/08	07/02/09	1,571 52	2,972 00	(1,400 48)	LT
150 0000	UNITEDHEALTH GROUP INC	06/11/08	07/02/09	3,626 60	4,835 84	(1,209 24)	LT
69 0000	UNITEDHEALTH GROUP INC	06/11/08	07/02/09	1,668 24	2,216 13	(547 89)	LT
283 0000	UNITEDHEALTH GROUP INC	07/15/08	07/02/09	6,842 20	6,276 37	565 83	ST
85 0000	UNITEDHEALTH GROUP INC	03/18/09	07/02/09	2,055 09	1,878 47	176 62	ST
106 0000	US BANCORP (NEW)	10/12/07	07/02/09	1,851 00	3,499 53	(1,648 53)	LT
50 0000	US BANCORP (NEW)	07/15/08	07/02/09	873 11	1,183 00	(309 89)	ST
97 0000	US BANCORP (NEW)	08/27/08	07/02/09	1,693 84	2,955 63	(1,261 79)	ST
38 0000	US BANCORP (NEW)	03/18/09	07/02/09	663 57	553 58	109 99	ST
41 0000	UNITED TECHS CORP COM	01/09/07	07/02/09	2,073 02	2,570 18	(497 16)	LT
37 0000	UNITED TECHS CORP COM	01/25/07	07/02/09	1,870 77	2,460 40	(589 63)	LT
4 0000	UNITED TECHS CORP COM	05/01/07	07/02/09	202 24	270 88	(68 64)	LT
40 0000	UNITED TECHS CORP COM	10/12/07	07/02/09	2,022 46	3,196 05	(1,173 59)	LT
9 0000	UNITED TECHS CORP COM	07/15/08	07/02/09	455 05	545 24	(90 19)	ST
4 0000	UNITED TECHS CORP COM	07/22/08	07/02/09	202 24	258 92	(56 68)	ST
20 0000	UNITED TECHS CORP COM	03/18/09	07/02/09	1,011 25	821 56	189 69	ST
118 0000	VALERO ENERGY CORP NEW	05/28/08	07/02/09	1,935 46	5,775 14	(3,839 68)	LT
65 0000	VALERO ENERGY CORP NEW	07/15/08	07/02/09	1,066 15	2,153 39	(1,087 24)	ST
28 0000	VALERO ENERGY CORP NEW	03/18/09	07/02/09	459 27	511 51	(52.24)	ST
29 0000	VISA INC CL A SHRS	03/19/08	07/08/09	1,722 40	1,701 27	21 13	LT
85 0000	VISA INC CL A SHRS	03/19/08	07/15/09	5,230 65	4,986 51	244 14	LT
75 0000	WMS INDS INC COM	09/19/07	07/01/09	2,339 03	2,267 18	71 85	LT
91 0000	ZIMMER HOLDINGS INC COM	01/22/09	07/02/09	3,676 23	3,580 96	95 27	ST
23 0000	ZIMMER HOLDINGS INC COM	02/11/09	07/02/09	929 16	918 80	10 36	ST
16 0000	ZIMMER HOLDINGS INC COM	03/18/09	07/02/09	646 38	580.15	66 23	ST
359 0000	WELLS FARGO & CO NEW DEL	01/26/09	07/02/09	8,487 47	5,978.36	2,509 11	ST
71 0000	WELLS FARGO & CO NEW DEL	02/24/09	07/02/09	1,678 58	903 22	775 36	ST
67 0000	WELLS FARGO & CO NEW DEL	03/18/09	07/02/09	1,584 01	1,028 45	555 56	ST
56 0000	WELLS FARGO & CO NEW DEL	04/09/09	07/02/09	1,323 96	1,054 67	269 29	ST
127 0000	WELLS FARGO & CO NEW DEL	04/09/09	07/06/09	2,883 97	2,391 86	492 11	ST
93 0000	WELLS FARGO & CO NEW DEL	04/09/09	07/22/09	2,244 27	1,751 51	492.76	ST
46 0000	WYETH	04/16/07	07/02/09	2,066 53	2,608 22	(541 69)	LT
1 0000	WYETH	05/01/07	07/02/09	44 92	55 67	(10 75)	LT
51 0000	WYETH	08/09/07	07/02/09	2,291 16	2,534 08	(242 90)	LT

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**EMA** Fiscal Statement

THE ROCKCARES FOUNDATION

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
50 0000	ABBOTT LABS	06/18/09	08/18/09	2,214 78	2,365 09	(150 31) ST
63 0000	ABBOTT LABS	06/19/09	08/18/09	2,790 61	3,008 68	(218 07) ST
59 0000	AMERICAN MED SYS HLDGS	11/07/07	07/30/09	900 41	791 14	109 27 LT
70 0000	AMERICAN MED SYS HLDGS	12/26/07	07/30/09	1,068 30	1,040 27	28 03 LT
10 0000	APPLE INC	09/07/06	08/19/09	1,641 66	723 32	918 34 LT
83 0000	BAXTER INTERNL INC	06/18/09	08/07/09	4,589 20	4,154 52	434 68 ST
134 0000	CISCO SYSTEMS INC COM	03/04/09	08/18/09	2,800 75	2,049 04	751 71 ST
62 0000	COLGATE PALMOLIVE	07/06/09	07/30/09	4,488 01	4,589 62	(101 61) ST
28 0000	COLGATE PALMOLIVE	07/06/09	08/03/09	2,010 59	2,072 74	(62 15) ST
45 0000	COLGATE PALMOLIVE	07/07/09	08/03/09	3,231 33	3,315 59	(84 26) ST
23 0000	CORNING INC	03/19/09	08/04/09	386 07	298 87	87 20 ST
77 0000	CORNING INC	03/19/09	08/04/09	1,292 52	991 73	300 79 ST
47 0000	CORNING INC	03/19/09	08/04/09	784 12	605 34	178 78 ST
66 0000	CORNING INC	03/19/09	08/18/09	1,034 17	850 07	184 10 ST
71 0000	CORNING INC	05/04/09	08/18/09	1,112 52	1,042 57	69 95 ST
15 0000	EXPRESS SCRIPTS INC COM	06/02/09	08/20/09	1,014 85	964 12	50 73 ST
21 0000	FTI CONSULTING INC COM	11/06/08	08/04/09	1,076 29	810 05	266 24 ST
23 0000	FTI CONSULTING INC COM	12/31/08	08/04/09	1,178 80	1,015 84	162 96 ST
54 0000	FTI CONSULTING INC COM	12/31/08	08/06/09	2,392 84	2,385 02	7 82 ST
25 0000	FIRST SOLAR INC	04/30/09	07/31/09	3,905 00	4,709 16	(804 16) ST
8 0000	GILEAD SCIENCES INC COM	11/27/07	08/04/09	383 28	351 15	32 13 LT
9 0000	GILEAD SCIENCES INC COM	11/27/07	08/04/09	431 19	394 15	37 04 LT
16 0000	GILEAD SCIENCES INC COM	11/28/07	08/04/09	766 57	725 70	40 87 LT
57 0000	GILEAD SCIENCES INC COM	10/09/08	08/04/09	2,730 89	2,377 84	353 05 ST
85 0000	KONINKL PHIL E NY SH NEW	06/30/06	07/30/09	1,889 52	2,650 30	(760 78) LT
20 0000	LIFE TECHNOLOGIES CORP	04/30/09	07/29/09	918 32	747 32	171 00 ST
37 0000	NOVARTIS ADR	06/30/06	07/30/09	1,653 11	1,995 78	(342 67) LT
93 0000	PERRIGO CO	03/24/09	08/14/09	2,431 67	2,146 66	285 01 ST
16 0000	PRECISION CASTPARTS	01/22/09	08/18/09	1,335 72	960 02	375 70 ST
14 0000	PRECISION CASTPARTS	05/19/09	08/18/09	1,168 76	1,149 70	19 06 ST
6 0000	PRECISION CASTPARTS	05/20/09	08/18/09	500 89	497 75	3 14 ST
11 0000	PRECISION CASTPARTS	06/05/09	08/18/09	918 32	971 50	(53 18) ST
7 0000	PRECISION CASTPARTS	06/05/09	08/19/09	581 87	618 24	(36 37) ST
39 0000	ST JUDE MEDICAL INC	06/18/09	07/30/09	1,469 91	1,586 70	(116 79) ST
2 0000	ST JUDE MEDICAL INC	06/18/09	08/07/09	75 91	81 37	(5 46) ST
51 0000	ST JUDE MEDICAL INC	06/19/09	08/07/09	1,935 93	2,069 79	(133 86) ST
35 0000	ST JUDE MEDICAL INC	06/23/09	08/07/09	1,328 58	1,434 31	(105 73) ST
15 0000	SCHLUMBERGER LTD	05/07/09	08/19/09	791 12	827 48	(36 36) ST
42 0000	SCHLUMBERGER LTD	05/08/09	08/19/09	2,215 13	2,345 16	(130 03) ST
16 0000	SCHLUMBERGER LTD	06/12/09	08/19/09	843 87	957 25	(113 38) ST

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THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
36 0000	URS CORP NEW COM	04/30/09	07/29/09	1,784 16	1,591 56	192 60 ST
22 0000	URS CORP NEW COM	04/30/09	08/18/09	1,046 69	972 62	74 07 ST
10 0000	URS CORP NEW COM	05/01/09	08/18/09	475 77	439 66	36 11 ST
20 0000	URS CORP NEW COM	05/01/09	08/19/09	929 98	879 33	50 65 ST
17 0000	URS CORP NEW COM	05/14/09	08/19/09	790 50	785 67	4 83 ST
15 0000	URS CORP NEW COM	05/14/09	08/20/09	687 69	693 25	(5 58) ST
2,000 0000	CARDINAL HEALTH 5 85% 2017	03/20/07	09/25/09	2,105 00	2,012 72	92 28 LT
1,000 0000	CARDINAL HEALTH 5 85% 2017	06/28/07	09/25/09	1,052 50	966 63	85 87 LT
41 0000	ALLSTATE CORP DEL COM	12/22/08	09/22/09	1,240 62	1,224 79	15 83 ST
44 0000	AMEDISYS INC	02/24/09	09/15/09	1,730 87	2,034 15	(303 28) ST
29 0000	AMEDISYS INC	04/09/09	09/15/09	1,140 80	929 85	210 95 ST
8 0000	AMEDISYS INC	09/03/09	09/15/09	314 70	267 78	46 92 ST
8 0000	AMEDISYS INC	09/03/09	09/22/09	362 39	267 78	94 61 ST
16 0000	A N S Y S INC COM	06/30/06	09/22/09	611 82	381 97	229 85 LT
38 0000	AMAZON COM INC COM	05/19/08	09/03/09	2,977 66	3,135 60	(157 94) LT
11 0000	AMAZON COM INC COM	09/11/08	09/03/09	861 95	863 76	(1 81) ST
29 0000	AMERICAN MED SYS HLDGS	12/26/07	09/22/09	481 96	430 96	51 00 LT
28 0000	AMGEN INC COM PV \$0 0001	07/08/09	09/23/09	1,692 59	1,686 18	6 41 ST
48 0000	AMGEN INC COM PV \$0 0001	07/08/09	09/23/09	2,907 86	2,890 60	17 26 ST
5 0000	APPLE INC	09/07/06	09/18/09	928 78	361 66	567 12 LT
19 0000	AUTOZONE INC NEVADA COM	02/23/09	08/28/09	2,815 91	2,737 71	78 20 ST
12 0000	AUTOZONE INC NEVADA COM	03/03/09	08/28/09	1,778 47	1,823 27	(44 80) ST
23 0000	BJS RESTAURANTS INC	02/13/09	09/22/09	356 03	275 29	80 74 ST
18 0000	BIOMARIN PHARMACEUTICALS	11/14/08	09/22/09	320 75	312 71	8 04 ST
39 0000	BALLY TECHNOLOGIES INC	06/26/08	09/03/09	1,539 88	1,380 77	159 11 LT
53 0000	BALLY TECHNOLOGIES INC	06/26/08	09/18/09	2,023 46	1,876 44	147 02 LT
2 0000	BALLY TECHNOLOGIES INC	06/26/08	09/22/09	76 33	70 81	5 52 LT
10 0000	BALLY TECHNOLOGIES INC	10/20/08	09/22/09	381 69	219 84	161 85 ST
4 0000	BIO RAD LABS CL A	06/10/08	09/22/09	375 83	350 92	24 91 LT
14 0000	COINSTAR INC	04/28/09	09/22/09	460 72	446 21	14 51 ST
22 0000	CASH AMERICAN INTL INC	06/30/06	09/22/09	664 82	708 84	(44 02) LT
13 0000	CENTENE CORP	02/08/08	09/22/09	246 21	272 44	(26 23) LT
35 0000	COMPELLENT TECHNOLOGIES	03/02/09	09/22/09	556 13	448 88	107 25 ST
199 0000	CAREFUSION CORP SHS	09/02/09	09/02/09	3,669 52	N/A	3,669 52 ST
13 0000	CORNING INC	05/04/09	08/31/09	195 58	190 89	4 69 ST
129 0000	CORNING INC	05/04/09	08/31/09	1,947 12	1,894 27	52 85 ST
136 0000	CORNING INC	06/05/09	08/31/09	2,052 79	2,142 31	(89 52) ST
17 0000	DTS INC	03/30/09	09/22/09	454 05	416 33	37 72 ST
15 0000	DUFF AND PHELPS CORP NEW	05/13/09	09/22/09	283 94	233 70	50 24 ST
71 0000	DU PONT E I DE NEMOURS	07/02/09	09/22/09	2,388 37	1,776 81	611 56 ST

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THE ROCKCARES FOUNDATION



**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
22 0000	EMS TECHNOLOGIES INC	02/26/09	09/22/09	445 70	439 08	6 62 ST
29 0000	ECLIPSYS CORPORATION	08/07/08	09/22/09	586 07	411 91	174 16 LT
10 0000	FORMFACTOR INC	07/06/09	09/22/09	247 29	195 04	52 25 ST
161 0000	GAP INC DELAWARE	07/02/09	09/22/09	3,627 31	2,464 15	1,163 16 ST
23 0000	GRAFTECH INTL LTD	05/05/09	09/22/09	355 11	227 68	127 43 ST
22 0000	GEO GROUP INC	07/27/06	09/22/09	449 88	292 22	157 66 LT
13 0000	GENOPTIX INC	01/13/09	09/22/09	443 28	449 53	(6 25) ST
26 0000	GENESCO INC	03/13/08	09/22/09	610 98	547 80	63 18 LT
10 0000	GILEAD SCIENCES INC COM	10/09/08	09/11/09	459 65	417 17	42 48 ST
11 0000	GILEAD SCIENCES INC COM	10/09/08	09/11/09	505 62	457 50	48 12 ST
35 0000	GILEAD SCIENCES INC COM	10/17/08	09/11/09	1,608 80	1,524 60	84 20 ST
54 0000	GILEAD SCIENCES INC COM	06/18/09	09/11/09	2,482 16	2,509 33	(27 17) ST
10 0000	HERBALIFE LTD	02/12/09	09/22/09	323 89	206 07	117 82 ST
98 0000	HUNTSMAN CORP	11/24/08	09/22/09	935 87	604 42	331 45 ST
10 0000	ICON PLC SPONSORED ADR	01/12/07	09/22/09	239 89	194 20	45 69 LT
13 0000	INFORMATICA CORP CA	03/20/09	09/22/09	292 75	176 60	116 15 ST
22 0000	INTL BUSINESS MACHINES	01/18/08	08/28/09	2,615 27	2,300 00	315 27 LT
5 0000	INTL BUSINESS MACHINES	05/01/08	08/28/09	594 38	615 95	(21 57) LT
18 0000	INTERCONTINENTALEXCHANGE	05/18/09	09/10/09	1,578 01	1,812 73	(234 72) ST
8 0000	KONINKL PHIL E NY SH NEW	06/30/06	09/21/09	200 26	249 45	(49 19) LT
38 0000	KONINKL PHIL E NY SH NEW	02/06/08	09/21/09	951 25	1,479 92	(528 67) LT
14 0000	KONINKL PHIL E NY SH NEW	11/26/08	09/21/09	350 47	232 64	117 83 ST
11 0000	LANDSTAR SYS INC COM	06/30/06	09/22/09	419 19	517 66	(98 47) LT
43 0000	LIFE TECHNOLOGIES CORP	04/30/09	08/31/09	1,914 02	1,606 74	307 28 ST
5 0000	LIFE TECHNOLOGIES CORP	04/30/09	08/31/09	222 51	186 83	35 68 ST
27 0000	LIFE TECHNOLOGIES CORP	04/30/09	09/01/09	1,208 24	1,008 88	199 36 ST
10 0000	LIFE TECHNOLOGIES CORP	04/30/09	09/01/09	447 33	373 67	73 66 ST
44 0000	LIFE TECHNOLOGIES CORP	06/25/09	09/01/09	1,968 29	1,816 22	152 07 ST
73 0000	LINCARE HLDGS INC	10/13/08	09/09/09	1,864 87	1,928 99	(64 12) ST
9 0000	LINCARE HLDGS INC	10/13/08	09/22/09	300 68	237 82	62 86 ST
12 0000	LUFKIN INDS INC	02/12/09	09/22/09	629 98	455 50	174 48 ST
16 0000	MONSTER WORLDWIDE INC	09/05/08	09/22/09	304 47	302 74	1 73 LT
6 0000	MCAFEE INC	08/04/08	09/11/09	252 53	224 39	28 14 LT
4 0000	MCAFEE INC	08/04/08	09/11/09	168 67	149 60	19 07 LT
28 0000	MCAFEE INC	08/05/08	09/11/09	1,180 72	1,054 54	126 18 LT
17 0000	MCAFEE INC	08/06/08	09/11/09	716 87	657 65	59 22 LT
9 0000	MCAFEE INC	08/06/08	09/14/09	375 98	348 17	27 81 LT
20 0000	MCAFEE INC	08/07/08	09/14/09	835 36	772 39	62 97 LT
1 0000	MCAFEE INC	08/07/08	09/15/09	41 22	38 62	2 60 LT
8 0000	MCAFEE INC	08/07/08	09/15/09	329 77	310 58	19 19 LT

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THE ROCKCARES FOUNDATION

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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
4 0000	MCAFFEE INC	03/26/09	09/15/09	164 89	135 40	29 49 ST
46 0000	MCAFFEE INC	03/26/09	09/15/09	1,896 39	1,557 11	339 28 ST
13 0000	MEDASSETS INC	04/30/09	09/22/09	299 64	214 18	85 46 ST
150 0000	MICROSOFT CORP	06/17/09	09/11/09	3,747 20	3,534 19	213 01 ST
186 0000	MICROSOFT CORP	07/15/09	09/11/09	4,646 53	4,425 27	221 26 ST
341 0000	MOTOROLA INC COM	07/02/09	09/22/09	2,983 84	2,133 70	850 14 ST
11 0000	NORTHWEST PIPE CO	02/13/09	09/22/09	375 20	362 31	12 89 ST
7 0000	NETFLIX COM INC	12/19/08	09/22/09	332 14	196 07	136 07 ST
30 0000	NETEZZA CORP	12/31/07	09/22/09	349 19	419 93	(70 74) LT
40 0000	NOVELL INC	06/30/08	09/22/09	189 59	237 38	(47 79) LT
13 0000	NOVELL INC	07/21/08	09/22/09	61 62	76 09	(14 47) LT
233 0000	NOVELL INC	07/21/08	09/24/09	1,069 46	1,363 89	(294 43) LT
12 0000	OYO GEOSPACE CORP	12/26/06	09/22/09	335 99	631 35	(295 36) LT
64 0000	ON SEMICONDUCTOR CRP COM	04/29/09	09/22/09	535 66	341 47	194 19 ST
18 0000	OMNITURE INC	06/19/09	09/22/09	388 97	221 46	167 51 ST
69 0000	ORACLE CORP \$0 01 DEL	01/14/08	09/03/09	1,480 24	1,509 61	(29 37) LT
32 0000	ORACLE CORP \$0 01 DEL	01/14/08	09/03/09	686 50	695 73	(9 23) LT
51 0000	ORACLE CORP \$0 01 DEL	01/16/08	09/03/09	1,094 10	1,127 51	(33 41) LT
53 0000	PENN NATL GAMING CORP	07/23/08	09/08/09	1,434 02	1,562 85	(128 83) LT
23 0000	PENN NATL GAMING CORP	07/23/08	09/08/09	622 31	691 80	(69 49) LT
1 0000	PENN NATL GAMING CORP	02/20/09	09/08/09	27 06	18 69	8 37 ST
9 0000	PSYCHIATRIC SOLUTIONS IN	07/16/09	09/22/09	264 32	215 66	48 66 ST
245 0000	PFIZER INC DEL PV\$0 05	06/30/06	09/14/09	3,994 69	5,799 16	(1,804 47) LT
69 0000	PFIZER INC DEL PV\$0 05	01/25/07	09/14/09	1,125 04	1,832 04	(707 00) LT
7 0000	PFIZER INC DEL PV\$0 05	03/26/07	09/14/09	114 13	178 79	(64 66) LT
10 0000	QUALITY SYSTEMS INC	07/31/06	09/22/09	640 58	337 56	303 02 LT
15 0000	REDWOOD TRUST INC	06/17/09	09/22/09	257 39	216 45	40 94 ST
16 0000	RITCHIE BROS AUCTIONEERS	03/13/09	09/22/09	397 42	252 79	144 63 ST
22 0000	ROVI CORP	02/18/09	09/22/09	701 34	324 15	377 19 ST
6 0000	REGAL BELOIT CORP WIS	05/19/09	09/22/09	289 01	236 69	52 32 ST
43 0000	SHUFFLE MASTER INC	03/13/09	09/22/09	386 13	89 71	296 42 ST
17 0000	SOTHEBY'S (DELAWARE)	06/23/09	09/22/09	286 61	221 39	65 22 ST
24 0000	SOUTHWESTERN ENERGY CO	05/01/08	08/31/09	884 86	980 54	(95 68) LT
24 0000	SOUTHWESTERN ENERGY CO	09/17/08	08/31/09	884 86	750 36	134 50 ST
17 0000	TERRA INDUSTRIES INC COM	11/12/08	09/22/09	614 19	282 10	332 09 ST
62 0000	TERADYNE INC	06/24/09	09/22/09	579 06	408 75	170 31 ST
30 0000	THORATEC CORP COM NEW	09/25/07	09/22/09	916 47	626 36	290 11 LT
53 0000	TIBCO SOFTWARE INC	09/19/07	09/22/09	484 93	391 45	93 48 LT
22 0000	TRUE RELIGION APPAREL IN	04/14/09	09/22/09	586 94	307 62	279 32 ST
27 0000	UNIVERSAL ELECTRONCS INC	08/03/07	09/22/09	551 86	823 99	(272 13) LT

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REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
22 0000	VARIAN SEMICNDCTR EQ ASC	01/09/09	09/22/09	731 48	427 29	304 19 ST
15 0000	WASTE CONNECTIONS INC	06/30/06	09/22/09	438 73	363 00	75 73 LT
383 0000	XEROX CORP	07/02/09	09/22/09	3,555 17	2,491 07	1,064 10 ST
4 0000	WHITING PETROLEUM CORP	08/06/09	09/22/09	231 15	194 11	37 04 ST
3,000 0000	WELLS FARGO CO 4 95% 2013	06/10/08	10/08/09	3,118 59	2,976 09	142 50 LT
2,000 0000	US TSY 3 875% MAY 15 2018	06/27/08	09/29/09	2,097 88	1,980 87	117 01 LT
1,000 0000	US TSY 3 875% MAY 15 2018	09/16/08	09/29/09	1,048 94	1,025 56	23 38 LT
41 0000	AMERICAN DAIRY INC	10/15/09	10/22/09	1,225 41	1,278 87	(53 46) ST
70 0000	AMGEN INC COM PV \$0 0001	07/08/09	10/23/09	3,936 42	4,215 46	(279 04) ST
29 0000	AMGEN INC COM PV \$0 0001	07/28/09	10/23/09	1,630 81	1,803 79	(172 98) ST
33 0000	ANALOG DEVICES INC COM	07/07/09	10/06/09	886 02	793 61	92 41 ST
102 0000	ANALOG DEVICES INC COM	07/07/09	10/08/09	2,691 33	2,452 98	238 35 ST
5 0000	APPLE INC	09/07/06	10/06/09	945 22	361 66	583 56 LT
44 0000	BRITISH AMN TOBACO SPADR	06/30/06	09/29/09	2,781 82	2,222 00	559 82 LT
18 0000	FLUOR CORP NEW DEL COM	03/13/09	10/02/09	863 92	709 46	154 46 ST
71 0000	FLUOR CORP NEW DEL COM	04/02/09	10/02/09	3,407 69	2,697 94	709 75 ST
25 0000	FOMENTO ECNMCO MEX SPADR	06/30/06	10/22/09	1,112 93	700 75	412 18 LT
594 0000	GAP INC DELAWARE	07/02/09	10/07/09	12,925 40	9,091 35	3,834 05 ST
9 0000	GOLDMAN SACHS GROUP INC	02/04/09	10/15/09	1,688 68	794 07	894 61 ST
1 0000	GOLDMAN SACHS GROUP INC	02/09/09	10/15/09	187 63	96 77	90 86 ST
2 0000	GOOGLE INC CL A	06/30/06	10/22/09	1,098 20	830 40	267 80 LT
11 0000	INTL BUSINESS MACHINES	05/01/08	10/08/09	1,344 38	1,355 10	(10 72) LT
19 0000	INTL BUSINESS MACHINES	05/01/08	10/21/09	2,331 56	2,340 65	(9 09) LT
42 0000	INTL BUSINESS MACHINES	01/21/09	10/21/09	5,153 97	3,744 50	1,409 47 ST
10 0000	MEDCO HEALTH SOLUTIONS I	02/03/09	10/13/09	554 28	472 05	82 23 ST
41 0000	NATIONAL GRID PLC SP ADR	06/30/06	10/15/09	1,920 51	2,223 02	(302 51) LT
3 0000	NATIONAL GRID PLC SP ADR	05/01/07	10/15/09	140 52	233 25	(92 73) LT
5 0000	NATIONAL GRID PLC SP ADR	11/26/08	10/15/09	234 22	249 30	(15 08) ST
70 0000	NETEZZA CORP	12/31/07	10/05/09	735 23	979 85	(244 62) LT
13 0000	NETEZZA CORP	02/01/08	10/05/09	136 54	114 24	22 30 LT
87 0000	OMNITURE INC	06/19/09	10/07/09	1,863 48	1,070 40	793 08 ST
103 0000	OMNITURE INC	06/24/09	10/07/09	2,206 21	1,273 04	933 17 ST
21 0000	ORACLE CORP \$0 01 DEL	01/16/08	10/08/09	435 31	464 28	(28 97) LT
57 0000	ORACLE CORP \$0 01 DEL	01/17/08	10/08/09	1,181 56	1,231 46	(49 90) LT
42 0000	ORACLE CORP \$0 01 DEL	01/17/08	10/08/09	870 63	909 95	(39 32) LT
121 0000	ORACLE CORP \$0 01 DEL	01/17/08	10/15/09	2,570 26	2,621 56	(51 30) LT
6 0000	ORACLE CORP \$0 01 DEL	05/19/08	10/15/09	127 44	135 00	(7 56) LT
16 0000	PRAXAIR INC	10/30/08	10/08/09	1,302 27	1,009 96	292 31 ST
79 0000	QUALCOMM INC	01/24/08	10/02/09	3,302 63	3,156 39	146 24 LT
88 0000	QUALCOMM INC	01/24/08	10/22/09	3,588 45	3,515 99	72 46 LT

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THE ROCKCARES FOUNDATION

**EMA** Fiscal Statement

**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
24 0000	QUALCOMM INC	05/15/08	10/22/09	978 67	1,079 16	(100 49) LT
5 0000	QUALCOMM INC	05/16/08	10/22/09	203 89	228 89	(25 00) LT
27 0000	ROSS STORES INC COM	05/21/09	10/15/09	1,224 97	1,037 85	187 12 ST
55 0000	UNION PACIFIC CORP	06/24/09	10/08/09	3,270 81	2,791 69	479 12 ST
26 0000	UNION PACIFIC CORP	06/24/09	10/23/09	1,499 76	1,319 72	180 04 ST
44 0000	UNION PACIFIC CORP	06/25/09	10/23/09	2,538 06	2,330 45	207 61 ST
24 0000	UNION PACIFIC CORP	07/29/09	10/23/09	1,384 39	1,342 66	41 73 ST
25 0000	UNION PACIFIC CORP	07/30/09	10/23/09	1,442 08	1,441 54	0 54 ST
24 0000	WPP PLC ADR	06/30/06	10/27/09	1,094 72	1,451 52	(356 80) LT
231 0000	WOLSELEY PLC ADR	06/30/06	10/01/09	550 15	4,675 26	(4,125 11) LT
1 0000	WOLSELEY PLC ADR	05/01/07	10/01/09	2 38	22 33	(19 95) LT
25 0000	WOLSELEY PLC ADR	11/26/08	10/01/09	59 54	50 21	9 33 ST
433 0000	WOLSELEY PLC ADR	06/05/09	10/01/09	1,031 25	774 11	257 14 ST
8 0000	WOLSELEY PLC ADR	06/05/09	10/01/09	19 51	14 31	5 20 ST
84 0000	WYETH	08/09/07	10/16/09	4 208 23	4,173 77	34 46 LT
72 0000	WYETH	09/19/07	10/16/09	3,602 05	3,299 36	302 69 LT
63 0000	WYETH	07/22/08	10/16/09	3,164 93	2,935 80	229 13 LT
48 0000	WYETH	03/18/09	10/16/09	2,407 21	2,047 64	359 57 ST
29 0000	ABB LTD SPON ADR	04/05/07	10/29/09	565 77	520 35	45 42 LT
17 0000	AXA -SPONS ADR	06/30/06	10/29/09	442 49	556 58	(114 09) LT
25 0000	ALLIANZ SE SPD ADR	05/15/07	10/29/09	302 24	550 38	(248 14) LT
28 0000	BANCO B VIZ ARGT SA ADR	06/30/06	10/29/09	522 18	568 10	(45 92) LT
5 0000	BAYER AG SP ADR	03/18/08	10/29/09	357 74	393 52	(35 78) LT
5 0000	BRITISH AMN TOBACO SPADR	06/30/06	10/29/09	321 79	252 50	69 29 LT
22 0000	BARCLAYS PLC ADR	06/30/06	10/29/09	486 62	1,009 36	(522 74) LT
5 0000	BG GROUP PLC SPON ADR	06/30/06	10/29/09	451 98	335 00	116 98 LT
15 0000	BNP PARIBAS SPONSORD ADR	06/30/06	10/29/09	601 48	719 24	(117 76) LT
7 0000	BHP BILLITON LTD ADR	10/16/08	10/29/09	482 35	223 75	258 60 LT
3 0000	BHP BILLITON LTD ADR	11/13/08	10/29/09	206 73	103 00	103 73 ST
58 0000	BRISTOL-MYERS SQUIBB CO	07/02/09	11/20/09	1,419 22	1,148 05	271 17 ST
89 0000	CARDINAL HEALTH INC OHIO	07/02/09	11/20/09	2,831 06	2,662 18	168 88 ST
12 0000	CANON INC ADR REP5SH	06/30/06	10/29/09	462 46	588 47	(126 01) LT
16 0000	CHEVRON CORP	06/30/06	10/29/09	1,244 92	1,001 28	243 64 LT
23 0000	CONOCOPHILLIPS	06/30/06	10/29/09	1,172 96	1,514 40	(341 44) LT
93 0000	COMPELLENT TECHNOLOGIES	03/02/09	11/03/09	1,818 17	1,192 77	625 40 ST
11 0000	DBS GROUP HLDGS SPN ADR	04/23/07	10/29/09	414 24	599 56	(185 32) LT
169 0000	E M C CORPORATION MASS	09/11/09	11/17/09	2,872 73	2,831 10	41 63 ST
9 0000	ENI S P A SPONSORED ADR	06/30/06	10/29/09	469 69	528 30	(58 61) LT
17 0000	ENI S P A SPONSORED ADR	06/30/06	11/05/09	871 43	997 90	(126 47) LT
78 0000	EMS TECHNOLOGIES INC	02/26/09	11/05/09	1,012 91	1,556 75	(543 84) ST

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THE ROCKCARES FOUNDATION



**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
94 0000	EMS TECHNOLOGIES INC	04/09/09	11/05/09	1,220 70	1,663 63	(442 93) ST
54 0000	EMS TECHNOLOGIES INC	05/13/09	11/05/09	701 28	959 82	(258 56) ST
16 0000	E ON AG ADR	06/30/06	10/29/09	634 38	613 92	20 46 LT
17 0000	FOMENTO ECNMCO MEX SPADR	06/30/06	11/20/09	733 11	476 51	256 60 LT
1 0000	F5 NETWORKS INC COM	10/22/09	11/17/09	50 02	47 04	2 98 ST
5 0000	F5 NETWORKS INC COM	10/22/09	11/17/09	247 67	235 22	12 45 ST
21 0000	F5 NETWORKS INC COM	11/09/09	11/17/09	1,040 22	998 34	41 88 ST
7 0000	GLAXOSMITHKLINE PLC ADR	06/30/06	10/29/09	290 28	391 79	(101 51) LT
15 0000	HSBC HLDG PLC SP ADR	06/30/06	10/29/09	850 92	1,328 55	(477 63) LT
11 0000	HONDA MOTOR ADR NEW	06/30/06	10/29/09	355 73	349 76	5 97 LT
5 0000	IMPERIAL TOB GRP SPS ADR	09/28/09	10/29/09	298 74	288 13	10 61 ST
28 0000	ING GP NV SPSP ADR	06/30/06	10/29/09	380 51	1,097 60	(717 09) LT
27 0000	JUNIPER NETWORKS INC	07/16/09	11/17/09	697 84	675 02	22 82 ST
181 0000	JUNIPER NETWORKS INC	07/16/09	11/17/09	4,676 34	4,525 17	151 17 ST
58 0000	JUNIPER NETWORKS INC	07/24/09	11/17/09	1,498 50	1,511 75	(13 25) ST
4 0000	KOMATSU NEW NEW SPNSDADR	10/09/07	10/29/09	313 59	542 00	(228 41) LT
22 0000	KIMBERLY CLARK	07/02/09	11/20/09	1,424 46	1,168 73	255 73 ST
14 0000	LVMH MOET HENNESSY ADR	02/06/09	10/29/09	294 97	179 27	115 70 ST
25 0000	LAFARGE ADR NEW	06/30/06	10/29/09	538 73	743 03	(204 30) LT
38 0000	LOWE'S COMPANIES INC	10/07/09	11/20/09	812 79	769 18	43 61 ST
25 0000	MARKS AND SPENCER GP ADR	06/08/09	10/29/09	281 24	227 18	54 06 ST
15 0000	mitsubishi CP SPNSRD ADR	06/30/06	10/29/09	641 23	607 50	33 73 LT
63 0000	MITSUBISHI UFJ FINL GRP	06/30/06	10/29/09	344 60	878 85	(534.25) ST
72 0000	MERCK AND CO INC SHS	07/02/09	11/20/09	2,632 25	1,972 79	659 46 ST
81 0000	MATTEL INC COM	07/02/09	11/20/09	1,620 77	1,274 92	345 85 ST
2 0000	MITSUBISHI ESTATE ADR	06/30/06	10/29/09	299 99	428 99	(129 00) LT
15 0000	NIDEC CORPORATION ADR	02/28/07	10/29/09	323 69	245 93	77 76 LT
10 0000	NOVARTIS ADR	06/30/06	10/29/09	523 98	539 40	(15 42) LT
42 0000	NOMURA HLDGS INC ADR	12/11/06	10/29/09	302 39	747 46	(445 07) LT
18 0000	NESTLE S A REP RG SH ADR	06/30/06	10/29/09	846 87	564 22	282 65 LT
24 0000	NOKIA CORP SPON ADR	06/30/06	10/29/09	314 87	489 60	(174 73) LT
24 0000	NORTHROP GRUMMAN CORP	04/05/07	11/20/09	1,321 16	1,800 01	(478 85) LT
7 0000	PETROLEO BRAS VTG SPD ADR	10/11/06	10/29/09	338 23	145 35	192 88 LT
124 0000	PFIZER INC	03/26/07	10/29/09	2,151 40	3,167 28	(1,015 88) LT
3 0000	PFIZER INC	05/01/07	10/29/09	52 05	79 62	(27.57) LT
3 0000	PFIZER INC	05/02/07	10/29/09	52 05	80 13	(28 08) LT
128 0000	PFIZER INC	07/15/08	10/29/09	2,220 80	2,269 32	(48 52) LT
36 0000	PFIZER INC	07/22/08	10/29/09	624 61	680 20	(35 59) LT
38 0000	PFIZER INC	07/22/08	11/20/09	699 28	696 89	2 39 LT
28 0000	PFIZER INC	03/18/09	11/20/09	515 26	395 89	119 37 ST

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THE ROCKCARES FOUNDATION

# EMA Fiscal Statement

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
22 0000	PRUDENTIAL PLC ADR	11/18/08	10/29/09	421 28	193 25	228 03 ST
28 0000	QUEST DIAGNOSTICS INC	07/02/09	11/20/09	1,627 87	1,556 59	71 28 ST
2 0000	RIO TINTO PLC SPNSRD ADR	10/17/07	10/29/09	370 87	662 74	(291 87) LT
8 0000	ROYAL DUTCH SHELL PLC	05/27/08	10/29/09	491 10	682 43	(191 33) LT
14 0000	REED ELSEVIER N V	06/30/06	10/29/09	335 85	487 36	(151 51) LT
12 0000	ROCHE HLDG LTD SPN ADR	06/30/06	10/29/09	485 02	495 00	(9 98) LT
59 0000	ROSS STORES INC COM	05/21/09	10/28/09	2,626 15	2,267 90	358 25 ST
3 0000	ROSS STORES INC COM	05/22/09	10/28/09	133 53	117 15	16 38 ST
30 0000	ROSS STORES INC COM	05/22/09	11/09/09	1,389 61	1,171 58	218.03 ST
2 0000	ROSS STORES INC COM	08/31/09	11/09/09	92 65	93 10	(0 45) ST
39 0000	ROSS STORES INC COM	08/31/09	11/10/09	1,805 53	1,815 45	(9 92) ST
91 0000	SAFEWAY INC NEW	07/02/09	10/29/09	2,053 95	1,799 78	254 17 ST
10 0000	SAP AG SHS	06/30/06	10/29/09	468 28	526 30	(58 02) LT
8 0000	SIEMENS AG ADR	06/30/06	10/29/09	766 22	696 32	69 90 LT
17 0000	SIEMENS AG ADR	06/30/06	11/20/09	1,618 20	1,479 68	138 52 LT
12 0000	SANOFI AVENTIS SPON ADR	06/30/06	10/29/09	454 54	584 28	(129 74) LT
10 0000	SONY CORP ADR NEW	08/17/06	10/29/09	304 89	449 96	(145 07) LT
142 0000	STARBUCKS CORP	08/03/09	11/10/09	2,989 20	2,575 42	413 78 ST
15 0000	TOTAL S A SP ADR	06/30/06	10/29/09	933 72	983 05	(49 33) LT
32 0000	TESCO PLC SPNRD ADR	06/30/06	10/29/09	648 30	601 60	46 70 LT
6 0000	TEVA PHARMACTCL INDS ADR	06/30/08	10/29/09	302 99	272 81	30 18 LT
61 0000	TRUE RELIGION APPAREL IN	04/14/09	11/04/09	1,318 46	852 96	465 50 ST
77 0000	TRUE RELIGION APPAREL IN	04/21/09	11/04/09	1,664 30	1,130 98	533 32 ST
86 0000	TRUE RELIGION APPAREL IN	04/28/09	11/04/09	1,858 83	1,528 13	330 70 ST
30 0000	TRAVELERS COS INC	12/22/08	10/29/09	1,542 86	1,267 82	275 04 ST
7 0000	TELEFONICA SA SPAIN ADR	02/21/08	10/29/09	599 18	593 62	5 56 LT
4 0000	TOYOTA MOTOR CORP ADR	02/07/08	10/29/09	319 99	438 82	(118 83) LT
32 0000	VODAFONE GROUP PLC NEW	06/30/06	10/29/09	735 02	778 63	(43 61) LT
18 0000	VALE SA	06/30/06	10/29/09	480 04	216 27	263 77 LT
19 0000	ZURICH FINL SVCS SPN ADR	06/30/06	10/29/09	445 53	409 45	36 08 LT
7 0000	WPP PLC ADR	06/30/06	10/29/09	315 20	423 36	(108 16) LT
13 0000	WPP PLC ADR	06/30/06	11/19/09	618 70	786 24	(167 54) LT
50 0000	WHOLE FOODS MKT INC COM	08/18/09	11/05/09	1,392 11	1,370 07	22 04 ST
16 0000	WHOLE FOODS MKT INC COM	08/18/09	11/05/09	435 28	438 43	(3 15) ST
52 0000	WHOLE FOODS MKT INC COM	08/19/09	11/05/09	1,414 68	1,441 62	(26 94) ST
36 0000	WHOLE FOODS MKT INC COM	08/20/09	11/05/09	979 39	1,001 63	(22 24) ST
25 0000	WHOLE FOODS MKT INC COM	08/31/09	11/05/09	680 14	719 53	(39 39) ST
12 0000	WHOLE FOODS MKT INC COM	09/01/09	11/05/09	326 47	342 74	(16 27) ST
32 0000	WHOLE FOODS MKT INC COM	09/01/09	11/06/09	880 73	913 98	(33 25) ST
15 0000	WOLTERS KLUWR NV SPN ADR	06/30/06	10/29/09	342 74	354 00	(11 26) LT

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**REALIZED CAPITAL GAIN AND LOSS SUMMARY**

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
25 0000	WASTE MANAGEMENT INC NEW	07/02/09	11/20/09	809 47	692.38	117.09 ST
34 0000	WELLS FARGO & CO NEW DEL	04/09/09	11/05/09	909 55	640 35	269.20 ST
248 0000	WELLS FARGO & CO NEW DEL	05/08/09	11/05/09	6,580 92	6,440 40	140.52 ST
10,000 0000	FNMA P985720 05 50%2038 COR	08/10/09	12/08/09	7,840.25	7,622.69	N/C
1,000 0000	FNMA P985720 05 50%2038 COR	08/26/09	12/08/09	784 03	788.94	N/C
1,000 0000	FNMA P922039 05 50%2037 COR	11/08/07	12/08/09	644 85	597 38	N/C
1,000 0000	FNMA P922039 05 50%2037 COR	12/10/07	12/08/09	644 98	600 68	N/C
21,000 0000	FNMA P905142 05 50%2037 COR	03/20/07	12/08/09	14,876 39	13,908 75	N/C
43,000 0000	FNMA P899509 05 50%2037 COR	06/18/07	12/08/09	30,021.12	27,143 89	N/C
1,000 0000	FNMA P899509 05 50%2037 COR	08/10/07	12/08/09	698 17	636 58	N/C
8,000 0000	FNMA P938289 05 50%2037 COR	02/26/09	12/08/09	4,054 77	3,916.49	N/C
6,000 0000	FNMA P938289 05 50%2037 COR	05/08/09	12/08/09	4,054 78	3,954 04	N/C
1,000 0000	FNMA P938523 05 50%2037 COR	08/29/07	12/08/09	743 19	684 41	N/C
2,000 0000	FNMA P959514 05 50%2037 COR	02/28/08	12/08/09	1,454 22	1,369 34	N/C
1 0000	ING GROEP ADS RTS	12/01/09	12/23/09	2 34	N/A	N/C
7 0000	AMAZON COM INC COM	09/11/08	11/25/09	928 86	549 66	379.20 LT
18 0000	AMAZON COM INC COM	09/11/08	12/03/09	2,265 74	1,256 39	1,009 35 LT
54 0000	CELGENE CORP COM	07/23/09	12/17/09	2,821 06	2,955 66	(134 60) ST
55 0000	CELGENE CORP COM	07/23/09	12/17/09	2,805 44	3,010 41	(204 97) ST
83 0000	HUNTSMAN CORP	11/24/08	12/09/09	679 78	388 56	291 22 LT
83 0000	JPMORGAN CHASE & CO	04/09/09	12/17/09	3,415 90	2,567 17	848 73 ST
47 0000	KOHL'S CORP WISC PV 1CT	10/08/09	11/30/09	2,504 84	2,802 55	(297 71) ST
72 0000	KOHL'S CORP WISC PV 1CT	10/08/09	12/08/09	3,925 59	4,293 28	(367 69) ST
71 0000	NETFLIX COM INC	12/19/08	12/17/09	3,866 64	1,988 79	1,877 85 ST
13 0000	PRAXAIR INC	10/30/08	12/23/09	1,033 81	820 60	213.21 LT
42 0000	PRAXAIR INC	12/04/08	12/23/09	3,340 02	2,226 51	1,113 51 LT
11 0000	PRAXAIR INC	05/19/09	12/23/09	874 77	804 47	70 30 ST
5 0000	PRAXAIR INC	05/19/09	12/23/09	397 70	365 68	32 02 ST
27 0000	PRAXAIR INC	07/23/09	12/23/09	2,147 57	2,057 97	89 60 ST
248 0000	TERADYNE INC	06/24/09	12/09/09	2,369 62	1,621 85	747 77 ST
24 0000	XTO ENERGY INC	08/20/08	12/15/09	1,151 29	1,182 04	(30 75) LT
28 0000	XTO ENERGY INC	08/21/08	12/15/09	1,343 17	1,439 43	(96 26) LT
28 0000	XTO ENERGY INC	09/11/08	12/15/09	1,343 17	1,345 37	(2 20) LT
27 0000	XTO ENERGY INC	11/24/08	12/15/09	1,295 19	861 68	433 51 LT
12 0000	XTO ENERGY INC	11/24/08	12/15/09	575 65	401 50	174 15 LT
29 0000	XTO ENERGY INC	05/06/09	12/15/09	1,391 13	1,222 62	168 51 ST

Total Short Term Loss (45,907)  
 Total Long Term Loss (113,491)

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## Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

<b>Type or print</b>	Name of Exempt Organization <b>ROCKCARES FOUNDATION</b>	Employer identification number <b>77-0539237</b>
File by the due date for filing your return See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. <b>P.O. BOX 741880</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>LOS ANGELES, CA 90004</b>	

Check type of return to be filed (file a separate application for each return):

- |   |   |                                    |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                 | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                              | <input type="checkbox"/> Form 8870 |

**RICHARD ROCABERTE**

- The books are in the care of ▶ **P.O. BOX 74367, LOS ANGELES, CA - 90004**  
Telephone No. ▶ **(323) 933-3902** FAX No. ▶ \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension will cover.

**1** I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
▶  calendar year **2009** or  
▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**2** If this tax year is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>402.</b>
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>52.</b>
<b>c</b> <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>350.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

<b>Part II Additional (Not Automatic) 3-Month Extension of Time.</b> Only file the original (no copies needed).		
Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization <b>ROCKCARES FOUNDATION</b>	Employer identification number <b>77-0539237</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>P.O. BOX 741880</b>	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>LOS ANGELES, CA 90004</b>	

Check type of return to be filed (File a separate application for each return):

- Form 990     Form 990-EZ     Form 990-T (sec 401(a) or 408(a) trust)     Form 1041-A     Form 5227     Form 8870
- Form 990-BL     Form 990-PF     Form 990-T (trust other than above)     Form 4720     Form 6069

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**RICHARD ROCABERTE**

• The books are in the care of  **P.O. BOX 74367, LOS ANGELES, CA - 90004**  
Telephone No.  **(323) 933-3902**      FAX No.

• If the organization does not have an office or place of business in the United States, check this box   
• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010**
- 5 For calendar year **2009**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.
- 6 If this tax year is for less than 12 months, check reason:  Initial return     Final return     Change in accounting period
- 7 State in detail why you need the extension

**ADDITIONAL TIME IS NEEDED TO GATHER INFORMATION IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN.**

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	166.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	1,000.
c	<b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Eileen Sheridan      Title  CPA      Date  8/10/10