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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>THE CALDWELL-FISHER CHARITABLE FOUNDATION</b>		A Employer identification number <b>77-0527966</b>		
	Number and street (or P O box number if mail is not delivered to street address) <b>3620 CLAY STREET</b>		B Telephone number <b>650-233-9000</b>		
City or town, state, and ZIP code <b>SAN FRANCISCO, CA 94118</b>		C If exemption application is pending, check here ► <input type="checkbox"/> D 1. Foreign organizations, check here ► <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>			
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <b>\$ 2,664,494.</b> (Part I, column (d) must be on cash basis.)				
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		<b>1,800,346.</b>		<b>N/A</b>	
2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments		<b>23,496.</b>	<b>23,496.</b>		<b>STATEMENT 2</b>
3 Dividends and interest from securities		<b>4,262.</b>	<b>4,262.</b>		<b>STATEMENT 3</b>
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a	<b>2,204,842.</b>	<b>6,136.</b>			<b>STATEMENT 1</b>
7 Capital gain net income (from Part IV, line 2)			<b>1,804,148.</b>		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit/(loss)					
11 Other income	<b>MAY 21 2010</b>				
12 Total. Add lines 1 through 11		<b>1,834,240.</b>	<b>1,831,906.</b>		
13 Compensation of officers, directors, trustees, etc.		<b>0.</b>	<b>0.</b>		<b>0.</b>
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	<b>STMT 4</b>	<b>9,325.</b>	<b>0.</b>		<b>0.</b>
c Other professional fees					
17 Interest					
18 Taxes	<b>STMT 5</b>	<b>8,000.</b>	<b>0.</b>		<b>0.</b>
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	<b>STMT 6</b>	<b>580.</b>	<b>180.</b>		<b>0.</b>
24 Total operating and administrative expenses. Add lines 13 through 23		<b>17,905.</b>	<b>180.</b>		<b>0.</b>
25 Contributions, gifts, grants paid		<b>1,319,381.</b>			<b>1,319,381.</b>
26 Total expenses and disbursements. Add lines 24 and 25		<b>1,337,286.</b>	<b>180.</b>		<b>1,319,381.</b>
27 Subtract line 26 from line 12:		<b>496,954.</b>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			<b>1,831,726.</b>		
c Adjusted net income (if negative, enter -0-)				<b>N/A</b>	

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		1,130,467.	2,035,910.	2,035,910.
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations	STMT 7			
	b Investments - corporate stock		1,382,068.	983,708.	622,151.
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ►)	STATEMENT 8	16,562.	6,433.	6,433.
	16 Total assets (to be completed by all filers)		2,529,097.	3,026,051.	2,664,494.
<b>Liabilities</b>	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)		0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds		0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds		2,529,097.	3,026,051.	
	30 Total net assets or fund balances		2,529,097.	3,026,051.	
	31 Total liabilities and net assets/fund balances		2,529,097.	3,026,051.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 2,529,097.
2	Enter amount from Part I, line 27a	2 496,954.
3	Other increases not included in line 2 (itemize) ►	3 0.
4	Add lines 1, 2, and 3	4 3,026,051.
5	Decreases not included in line 2 (itemize) ►	5 0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 3,026,051.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	<b>2,000 SHS BAIDU INC SPON ADR RPTING</b>	<b>P</b>	<b>09/12/00</b>	<b>12/10/09</b>
<b>b</b>	<b>3,000 SHS BAIDU INC SPON ADR RPTING</b>	<b>P</b>	<b>09/12/00</b>	<b>07/16/09</b>
<b>c</b>	<b>200,000 SHS MERRILL LYNCH &amp; CO. INC</b>	<b>P</b>	<b>06/13/07</b>	<b>02/17/09</b>
<b>d</b>	<b>200,000 SHS WACHOVIA CORP GLOBAL SR NOTES</b>	<b>P</b>	<b>06/13/07</b>	<b>02/17/09</b>
<b>e</b>				
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>	<b>854,112.</b>		<b>2,083.</b>	<b>852,029.</b>
<b>b</b>	<b>950,730.</b>		<b>3,125.</b>	<b>947,605.</b>
<b>c</b>	<b>200,000.</b>		<b>200,000.</b>	<b>0.</b>
<b>d</b>	<b>200,000.</b>		<b>195,486.</b>	<b>4,514.</b>
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				<b>852,029.</b>
<b>b</b>				<b>947,605.</b>
<b>c</b>				<b>0.</b>
<b>d</b>				<b>4,514.</b>
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	<b>1,804,148.</b>
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	<b>1,208,731.</b>	<b>2,180,762.</b>	<b>.554270</b>
2007	<b>1,055,926.</b>	<b>1,925,267.</b>	<b>.548457</b>
2006	<b>704,271.</b>	<b>911,798.</b>	<b>.772398</b>
2005	<b>378,469.</b>	<b>523,096.</b>	<b>.723517</b>
2004	<b>89,929.</b>	<b>416,720.</b>	<b>.215802</b>

2 Total of line 1, column (d)

**2** **2.814444**

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

**3** **.562889**

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5

**4** **2,065,851.**

5 Multiply line 4 by line 3

**5** **1,162,845.**

6 Enter 1% of net investment income (1% of Part I, line 27b)

**6** **18,317.**

7 Add lines 5 and 6

**7** **1,181,162.**

8 Enter qualifying distributions from Part XII, line 4

**8** **1,319,381.**

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

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## Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2009 estimated tax payments and 2008 overpayment credited to 2009

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

6a 13,377.

6b

6c

6d

7 Total credits and payments. Add lines 6a through 6d

8 Enter any **penalty** for underpayment of estimated tax. Check here  if Form 2220 is attached9 Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ►

Refunded ►

11

7 13,377.

8

9 4,940.

10

11

## Part VII-A | Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes  No 1a b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  
*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*1b 

c Did the foundation file Form 1120-POL for this year?

1c 

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

1c 

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2 *If "Yes," attach a detailed description of the activities.*3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*3 

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a 

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b 

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 *If "Yes," attach the statement required by General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

N/A

6 

• By language in the governing instrument, or

7 

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year?

8b *If "Yes," complete Part II, col. (c), and Part XV.*

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

9 CA  
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*10 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*9 10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*10

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## Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<input type="checkbox"/> 11	<input checked="" type="checkbox"/> X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	<input type="checkbox"/> 12	<input checked="" type="checkbox"/> X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	<input type="checkbox"/> 13	<input checked="" type="checkbox"/> X
14	The books are in care of ► <b>JOHN FISHER</b> Located at ► <b>3620 CLAY STREET, SAN FRANCISCO, CA</b>	Telephone no. ► <b>650-233-9000</b> ZIP+4 ► <b>94118</b>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► <b>15</b>	<b>N/A</b>

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<b>N/A</b> ► <b>      </b>	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c <input checked="" type="checkbox"/> X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
	If "Yes," list the years ► <b>      ,       ,       ,       ,       </b>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		<b>N/A</b>
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► <b>      ,       ,       ,       ,       </b>		2b
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)		<b>N/A</b>
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		3b
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4a <input checked="" type="checkbox"/> X
			4b <input checked="" type="checkbox"/> X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes  No

Yes  No

Yes  No

Yes  No

Yes  No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations

section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

►

5b

Organizations relying on a current notice regarding disaster assistance check here  
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

Yes  No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JENNIFER CALDWELL 3620 CLAY STREET SAN FRANCISCO, CA 94118	PRESIDENT/VICE PRESIDENT			
JOHN FISHER 3620 CLAY STREET SAN FRANCISCO, CA 94118	1.00	0.	0.	0.
	CEO/SECRETARY			
	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

**Total number of others receiving over \$50,000 for professional services**

►

**0****Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	<b>N/A</b>	
2		
3		
4		

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	<b>N/A</b>	
2		
3		

All other program-related investments. See instructions.

3

**Total.** Add lines 1 through 3

►

**0.**

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a Average monthly fair market value of securities	1a 630,047.
b Average of monthly cash balances	1b 1,467,264.
c Fair market value of all other assets	1c
d <b>Total</b> (add lines 1a, b, and c)	1d 2,097,311.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2 Acquisition indebtedness applicable to line 1 assets	2 0.
3 Subtract line 2 from line 1d	3 2,097,311.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 31,460.
5 <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5 2,065,851.
6 <b>Minimum investment return.</b> Enter 5% of line 5	6 103,293.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1 103,293.
2a Tax on investment income for 2009 from Part VI, line 5	2a 18,317.
b Income tax for 2009. (This does not include the tax from Part VI.)	2b
c Add lines 2a and 2b	2c 18,317.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3 84,976.
4 Recoveries of amounts treated as qualifying distributions	4 0.
5 Add lines 3 and 4	5 84,976.
6 Deduction from distributable amount (see instructions)	6 0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 84,976.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 1,319,381.
b Program-related investments - total from Part IX-B	1b 0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3 Amounts set aside for specific charitable projects that satisfy the:	
a Suitability test (prior IRS approval required)	3a
b Cash distribution test (attach the required schedule)	3b
4 <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 1,319,381.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 18,317.
6 <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6 1,301,064.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				84,976.
2 Undistributed income, if any, as of the end of 2008			0.	
a Enter amount for 2008 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	71,805.			
b From 2005	362,138.			
c From 2006	687,389.			
d From 2007	1,002,731.			
e From 2008	1,120,405.			
f Total of lines 3a through e	3,244,468.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,319,381.			0.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				84,976.
e Remaining amount distributed out of corpus	1,234,405.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	4,478,873.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5			0.	
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.	
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	71,805.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	4,407,068.			
10 Analysis of line 9:				
a Excess from 2005	362,138.			
b Excess from 2006	687,389.			
c Excess from 2007	1,002,731.			
d Excess from 2008	1,120,405.			
e Excess from 2009	1,234,405.			



## Part XV | Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE ATTACHED STATEMENT A	N/A			1,319,381.
<b>Total</b>				► 3a 1,319,381.
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>				► 3b 0.

THE CALDWELL-FISHER CHARITABLE FOUNDATION

## Form 990-PF (2009)

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## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	23,496.	
4 Dividends and interest from securities			14	4,262.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					6,136.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		27,758.	6,136.
13 Total. Add line 12, columns (b), (d), and (e)				13	33,894.

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
N/A	

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

**b** Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

3/31/10

Date

Sign Here

Dat

19/10

Check if

Preparer's identifying number  
**P00479631**

Preparer's Use Only <b>Paid</b>	Preparer's signature 	Date  	Check if self- employed  <input type="checkbox"/>	Preparer's identifying number  <b>P00428631</b>
	Firm's name (or yours if self-employed), address, and ZIP code 	FRANK, RIMERMAN & CO. LLP 1801 PAGE MILL ROAD PALO ALTO, CA 94304		
	EIN  			Phone no. (650) 845-8100

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF.

**2009****Name of the organization****THE CALDWELL-FISHER CHARITABLE  
FOUNDATION****Employer identification number****77-0527966****Organization type (check one):****Filers of:****Section:**

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation**Check if your organization is covered by the General Rule or a Special Rule.****Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

## Name of organization

THE CALDWELL-FISHER CHARITABLE  
FOUNDATION

## Employer identification number

77-0527966

## Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOHN FISHER & JENNIFER CALDWELL 3620 CLAY STREET SAN FRANCISCO, CA 94118	\$ 852,916.	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
2	JOHN FISHER & JENNIFER CALDWELL 3620 CLAY STREET SAN FRANCISCO, CA 94118	\$ 947,430.	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution.)

Name of organization

THE CALDWELL-FISHER CHARITABLE  
FOUNDATION

Employer identification number

77-0527966

## Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	2,000 SHS BAIDU INC	\$ 852,916.	12/09/09
2	3,000 SHS BAIDU INC	\$ 947,430.	07/15/09
	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	(b) Description of noncash property given	\$	
	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
2,000 SHS BAIDU INC SPON ADR RPTING		PURCHASED	09/12/00	12/10/09
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
854,112.	852,916.	0.	0.	1,196.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
3,000 SHS BAIDU INC SPON ADR RPTING		PURCHASED	09/12/00	07/16/09
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
950,730.	947,430.	0.	0.	3,300.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
200,000 SHS MERRILL LYNCH & CO. INC		PURCHASED	06/13/07	02/17/09
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
200,000.	202,874.	0.	0.	-2,874.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
			PURCHASED	06/13/07
200,000 SHS WACHOVIA CORP GLOBAL SR NOTES				

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
200,000.	195,486.	0.	0.	4,514.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	6,136.

FORM 990-PF	INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS	STATEMENT	2
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SOURCE	AMOUNT
SMITH BARNEY - ACCRUED INTEREST	6,433.
SMITH BARNEY - INTEREST	17,063.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	23,496.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	3
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
SMITH BARNEY - DIVIDENDS	4,262.	0.	4,262.
TOTAL TO FM 990-PF, PART I, LN 4	4,262.	0.	4,262.

## THE CALDWELL-FISHER CHARITABLE FOUNDATION

77-0527966

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,325.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	9,325.	0.		0.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	8,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	8,000.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEES	180.	180.		0.
MISCELLANEOUS EXPENSE	400.	0.		0.
TO FORM 990-PF, PG 1, LN 23	580.	180.		0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED STATEMENT	983,708.	622,151.
TOTAL TO FORM 990-PF, PART II, LINE 10B	983,708.	622,151.

STATEMENT(S) 4, 5, 6, 7

**Caldwell-Fisher Charitable Foundation****EIN: 77-0527966****Corporate Stock Values****Tax Year 2009**

<b>Stocks</b>		<b>Beginning of Year Book Value</b>	<b>End of Year Book Value</b>	<b>End of Year FMV</b>
10,000	SHS Cisco Sys Inc	530,000	530,000	239,400
3,000	SHS United Online Inc	48,140	48,140	21,570
200,000	SHS Merrill Lynch & CO Inc	202,874	-	-
200,000	SHS Wachovia Corp Global SR Notes	195,486	-	-
200,000	SHS CIT Group Inc Global Notes	194,518	-	-
200,000	SHS Bell South Cap FDG Corp	211,050	211,050	201,596
1,217	SHS CIT Group Inc	-	1,659	33,601
14,148	SHS CIT Group Funding Company	-	19,285	13,193
21,223	SHS CIT Group Funding Company	-	28,929	19,711
21,223	SHS CIT Group Funding Company	-	28,929	18,995
35,371	SHS CIT Group Funding Company	-	48,214	31,126
49,520	SHS CIT Group Funding Company	-	67,501	42,959
3,000	SHS Baidu Inc Spon Adr Rptng	-	-	-
2,000	SHS Baidu Inc Spon Adr Rptng	-	-	-
	<b>Total Stocks</b>	<b>1,382,068</b>	<b>983,708</b>	<b>622,151</b>
	<b>Name change and split</b>			
	<b>Total Stocks, Net</b>	<b>1,382,068</b>	<b>983,708</b>	<b>622,151</b>
<b>Total</b>	<b>Total Stocks, Govn't Security &amp; CD</b>	<b>1,382,068</b>	<b>983,708</b>	<b>622,151</b>

FORM 990-PF	OTHER ASSETS	STATEMENT	8
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	16,562.	6,433.	6,433.
TO FORM 990-PF, PART II, LINE 15	16,562.	6,433.	6,433.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	9
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## NAME OF MANAGER

JENNIFER CALDWELL  
JOHN FISHER

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

## NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JOHN FISHER  
3620 CLAY STREET  
SAN FRANCISCO, CA 94118

## TELEPHONE NUMBER

650-233-9000

## FORM AND CONTENT OF APPLICATIONS

APPLICATION SHOULD BE IN WRITING AND THE PURPOSE OF THE FUNDING SHOULD BE  
STATED CLEARLY IN THE MATERIALS

## ANY SUBMISSION DEADLINES

NO DEADLINE

## RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

**Caldwell-Fisher Charitable Foundation****EIN: 77-0527966****List of Contributions****Tax Year 2009**

Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Leukemia & Lymphoma Society (Ann Poletti) 1390 Market St., #1200, SF, CA 94102	Public Charity	Health	1/14/2009	\$100
Common Sense Media 650 Townsend St., Suite 375, SF, CA 94103	Public Charity	Education	1/26/2009	\$5,000
The Commonwealth Club (Bill Draper's dinner) 595 Market St , FL 2, SF, CA 94105	Public Charity	Welfare	1/26/2009	\$800
Edgewood Fair 1801 Vicente St , SF, CA 94116	Public Charity	Education	1/26/2009	\$1,000
Harvard Unitarian Universalist Church PO Box 217, 9 Ayer Rd., Harvard, MA 01451	Public Charity	Welfare	2/6/2009	\$5,000
Friends of Harvard Water Polo Murr Center, 65 N Harvard St., Boston, MA 02163	Public Charity	Education	2/13/2009	\$1,000
Phillips Exeter Academy 20 Mai St., Exeter, NH 03833	Public Charity	Education	2/13/2009	\$10,000
Water Education Foundation (Pat Brown film) 3974 Washington St , SF, CA 94118	Public Charity	Education	3/5/2009	\$200
VGC Foundation PO Box 1815, Edgartown, MA 02539	Public Charity	Education	3/18/2009	\$500
Hope to Action 3620 Clay St , San Francisco, CA 94118	Public Charity	Environmental	3/17/2009	\$10,000
KDBS Bayou Meets the Bay Auction 7070 California Street, SF, CA 94121	Public Charity	Education	3/30/2009	\$9,400
Hope to Action 3620 Clay St , San Francisco, CA 94118	Public Charity	Environmental	3/31/2009	\$10,000
KDBS (Warren Hashagen plaque donation) c/o Jennifer Gridley, 2611 Filbert St , SF CA 94123	Public Charity	Education	4/7/2009	\$200

Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Lone Mountain's Children Center c/o Jacque Gnillo, The Presidio, 1806 Belles St., SF, CA 94129	Public Charity	Education	4/27/2009	\$25,000
California Police Youth Charities PO Box 417261, Sacramento, CA 95841	Public Charity	Welfare	4/29/2009	\$50
Do Something 24-32 Union Sq East, 4th Floor, NY NY 10003	Public Charity	Welfare	4/29/2009	\$5,000
Hope to Action 3620 Clay St., San Francisco, CA 94025	Public Charity	Environment	5/14/2009	\$10,000
San Francisco Ballet 455 Franklin St , SF, CA 94102	Public Charity	The Arts	5/22/2009	\$2,181
CA Academy of Sciences 55 Music Concourse Dr , San Francisco, CA 94118	Public Charity	Education	6/9/2009	\$250,000
Harvard University 124 Mt. Auburn St , Cambridge, MA 02138	Public Charity	Education	6/9/2009	\$200,000
SF Ballet - Capital Campaign 455 Franklin St , SF, CA 94102	Public Charity	Arts/Education	6/9/2009	\$50,000
Sarah Lawrence College 1 Mead Way, Bronxville, NY 10708	Public Charity	Education	6/9/2009	\$10,000
Golden Gate National Parks Conservancy Bldg 201, Fort Mason, SF 94123	Public Charity	Environment	6/19/2009	\$1,100
Exploratorium 3401 Lyon St , SF, CA 94123	Public Charity	Education	6/29/2009	\$10,000
Central Asia Institute (c/o Philip Wharton) 46 Circle Ave , Larchmont, NY 10538	Public Charity	Education	7/17/2009	\$2,000
Pan-Mass Challenge (Ben Howe, Team AGC) 125 High St., Boston, MA 02110	Public Charity	Health	7/17/2009	\$1,000
Hope to Action 3620 Clay St , San Francisco, CA 94025	Public Charity	Environment	7/28/2009	\$5,000
American Cancer Society c/o Janette Rutherford, 2882 Sand Hill Rd , Menlo Park,-CA	Public Charity	Health	8/26/2009	\$1,000

Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
San Francisco Ballet - final pledge 455 Franklin St , SF, CA 94102	Public Charity	Arts	9/3/2009	\$50,000
Hope to Action 3620 Clay St , San Francisco, CA 94025	Public Charity	Environment	9/17/2009	\$5,000
KDBS (2009-2010 Annual Giving) 7070 California St., SF, CA 94121	Public Charity	Education	9/22/2009	\$30,000
KQED 2601 Mariposa St , SF, CA 94110	Public Charity	Education	9/22/2009	\$6,000
California Police Youth Charities PO Box 417261, Sacramento, CA 95841	Public Charity	Welfare	9/22/2009	\$50
The J. David Gladstone Institutes 1650 Owens St , SF, CA 94158	Public Charity	Health	9/25/2009	\$5,000
Hope to Action 3620 Clay St., San Francisco, CA 94025	Public Charity	Environment	10/15/2009	\$5,000
Ronald McDonald House (Jennie Greenstein) 520 Sand Hill Rd , Palo Alto, CA 94304	Public Charity	Health	10/20/2009	\$1,000
Boys & Girls Clubs of SF 55 Hawthorne St., Ste 600, SF, CA 94105	Public Charity	Welfare	10/20/2009	\$250
Biz World Foundation 444 De Haro St , Ste 203, SF, CA 94107	Public Charity	Education	10/29/2009	\$5,000
CA Academy of Sciences 55 Music Concourse Dr , San Francisco, CA 94118	Public Charity	Education	10/30/2009	\$6,150
Marin Agricultural Land Trust PO Box 809, Pt Reyes Sta, CA 94956	Public Charity	Environment	11/13/2009	\$5,000
The Boathouse Foundation PO Box 2340, Edgartown, MA 02539	Public Charity	Welfare	11/13/2009	\$300
Friends of Harvard Water Polo Murr Center, 65 N Harvard St., Boston, MA 02163	Public Charity	Education	11/13/2009	\$1,000
George Jackson Academy 104 St Marks Place, NY, NY 10009	Public Charity	Education	11/16/2009	\$10,000

Name and address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Hope to Action 3620 Clay St., San Francisco, CA 94025	Public Charity	Environment	11/19/2009	\$5,000
Oliver Stratton (Oxfam Trailwalker 2009) 5A, 26 Magazine Gap Rd , Hong Kong	Public Charity	Welfare	11/20/2009	\$1,000
The Nature Conservancy 201 Mission St., 4th Flr, SF, CA 94105	Public Charity	Environment	11/24/2009	\$25,000
Walnut Hill School for the Arts 12 Highland St., Natick, CA	Public Charity	Education	11/24/2009	\$1,000
GG National Parks Conservance Bldg 201, Fort Mason, SF, CA 94123	Public Charity	Environment	11/24/2009	\$1,000
Compass Community Services 49 Powell St , SF, CA 94102	Public Charity	Welfare	11/24/2009	\$100
Phillips Exeter Academy 20 Main St , Exeter, NH 03833	Public Charity	Education	11/24/2009	\$25,000
CA Academy of Sciences 55 Music Concourse Dr , San Francisco, CA 94118	Public Charity	Education	12/15/2009	\$25,000
Common Sense Media 650 Townsend St., Suite 375, SF, CA 94103	Public Charity	Education	12/15/2009	\$50,000
KDBS 7070 California St., SF, CA 94121	Public Charity	Education	12/15/2009	\$100,000
Martha's Vineyard Hospital PO Box 1477, Oak Bluffs, MA 02557	Public Charity	Health	12/15/2009	\$20,000
Phillips Exeter Academy 20 Main St., Exeter, NH 03833	Public Charity	Education	12/15/2009	\$200,000
NAACP c/o David Smith 1095 Sixth Ave., Ste 24D, NY, NY 10036	Public Charity	Education	12/17/2009	\$50,000
American Red Cross Bay Area 85 Second Street, 8th Flr, SF, CA 94105	Public Charity	Welfare	12/17/2009	\$1,000
Center for Media Change PO Box 61164, Palo Alto, CA 94306	Public Charity	Education	12/18/2009	\$60,000
<b>TOTAL</b>				<b>\$1,319,381</b>