



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0057

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

RAVI AND NAINA PATEL FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

6501 TRUXTUN AVENUE

City or town, state, and ZIP code

BAKERSFIELD, CA 93309

A Employer identification number

77-0490360

B Telephone number (see page 10 of the instructions)

(661) 322-2206

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A) check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col (c), line 16) ☐ \$ 8,630,623.J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____
(Part I, column (d) must be on cash basis)**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants etc. received (attach schedule)	1,625,603.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	52,150.	52,150.		
4 Dividends and interest from securities	45,972.	45,972.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	264,054.			
b Gross sales price for all assets on line 6a 2,141,974.				
7 Capital gain net income (from Part IV, line 2)		264,054.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	42,115.	42,115.		ATCH 2
12 Total. Add lines 1 through 11	2,029,894.	404,291.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 11 of the instructions) *	4,492.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 4	460.	302.		
24 Total operating and administrative expenses. Add lines 13 through 23	4,952.	302.		
25 Contributions, gifts, grants paid	297,200.			297,200
26 Total expenses and disbursements. Add lines 24 and 25	302,152.	302.		297,200
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	1,727,742.			
b Net investment income (if negative, enter -0-)		403,989.		
c Adjusted net income (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 3

Form 990-PF (2009) 6

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			5,837,206.	7,052,332.	7,052,332
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)					
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10 a Investments - U S and state government obligations (attach schedule)					
	b Investments - corporate stock (attach schedule)					
	c Investments - corporate bonds (attach schedule)					
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶					
	12 Investments - mortgage loans					
	13 Investments - other (attach schedule) ATCH 5			938,402.	1,451,018.	1,578,291
	14 Land, buildings and equipment basis Less accumulated depreciation (attach schedule) ▶					
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)			6,775,608.	8,503,350.	8,630,623	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)						
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg, and equipment fund					
29 Retained earnings accumulated income, endowment, or other funds			6,775,608.	8,503,350.		
30 Total net assets or fund balances (see page 17 of the instructions)			6,775,608.	8,503,350.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)			6,775,608.	8,503,350.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,775,608
2 Enter amount from Part I, line 27a	2	1,727,742
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	8,503,350
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,503,350

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	264,054.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 }			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	285,500.	6,272,527.	0.045516
2007	50,000.	4,102,834.	0.012187
2006	67,000.	2,234,640.	0.029982
2005	62,500.	1,011,102.	0.061814
2004	58,000.	123,176.	0.470871
2 Total of line 1, column (d)			2 0.620370
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.124074
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 7,043,716.
5 Multiply line 4 by line 3			5 873,942.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,040.
7 Add lines 5 and 6			7 877,982.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 297,200.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	8,080.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	8,080.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,080.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 3,300.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 7,200.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	10,500.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	3.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	2,417.
11 Enter the amount of line 10 to be Credited to 2010 estimated tax 2,417. Refunded		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) CA,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> PRADIP SHAH	Telephone no	<input type="checkbox"/> 661-322-2206	
	Located at <input type="checkbox"/> 6501 TRUXTON AVENUE BAKERSFIELD, CA	ZIP + 4	<input type="checkbox"/> 93309	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	15		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
ATTACHMENT 6		0.	0.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,575,016
b	Average of monthly cash balances	1b	5,575,965
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	7,150,981
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	7,150,981
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	107,265
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,043,716
6	Minimum investment return. Enter 5% of line 5	6	352,186

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	352,186
2a	Tax on investment income for 2009 from Part VI, line 5	2a	8,080
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	8,080
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	344,106
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	344,106
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	344,106

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	297,200
b	Program-related investments - total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	297,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	297,200

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				344,106.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004 51,863.				
b From 2005 12,443.				
c From 2006 0.				
d From 2007 0.				
e From 2008 0.				
f Total of lines 3a through e	64,306.			
4 Qualifying distributions for 2009 from Part XII, line 4 ► \$ 297,200.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				297,200.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	46,906.			46,906.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	17,400.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	4,957.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	12,443.			
10 Analysis of line 9				
a Excess from 2005 12,443.				
b Excess from 2006 0.				
c Excess from 2007 0.				
d Excess from 2008 0.				
e Excess from 2009 0.				

b. Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(5)

- (4) Gross investment income

[illegible]

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 8				
Total			▶ 3a	297,200.
b Approved for future payment				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

X Glenn | 7/14/10 | Trustee/President
Signature of officer or trustee Date Title

Sign Here

Only

Preparer's
signature

Date _____

☐ Check if self-employed

Preparer's identifying
number (See Signature on
page 30 of the instructions)
P00192870

Firm's name (or yours if self-employed), address, and ZIP code

CBIZ MMH, LLC
5060 CALIFORNIA AVE., SUITE 800
BAKERSFIELD, CA

EIN ▶ 33-0737981

Phone no 661-325-7500

Schedule of Contributors

OMB No 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF.

2009

Name of the organization

RAVI AND NAINA PATEL FOUNDATION

Employer identification number

77-0490360

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization RAVI AND NAINA PATEL FOUNDATION

Employer identification number

77-0490360

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	SUSAN L. DILLBECK 1109 W. UNIVERSITY AVENUE CHAMPAIGN, IL 61821	\$ 6,565.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	RAVI PATEL, M.D. INC. DBA CBCC 6501 TRUXTUN AVENUE BAKERSFIELD, CA 93309	\$ 1,600,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	ARUN KENI, M.D. TTEE 6125 SAN BENITO CT. BAKERSFIELD, CA 93306	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
4	PRAKASH NAIK 6501 TRUXTUN AVENUE BAKERSFIELD, CA 93309	\$ 14,038.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,641,974.		CHARLES SCHWAB #8998 1,377,920.				P	VARIOUS 264,054.	06/23/2009
500,000.		AIG PPN ASIAN CURR 500,000.				P	02/05/2008	06/18/2009
TOTAL GAIN (LOSS)							<u>264,054.</u>	

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INVESTMENT INCOME	42,115.	42,115.
TOTALS	<u>42,115.</u>	<u>42,115.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
STATE TAXES	835.
FEDERAL TAXES	3,657.
TOTALS	<u>4,492.</u>

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
LICENSES & PERMITS	150.	
INVESTMENT FEES	302.	302.
	8.	
TOTALS	460.	302.

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CHARLES SCHWAB	950,962.	1,059,957.
MERRILL LYNCH	500,056.	518,334.
TOTALS	<u>1,451,018.</u>	<u>1,578,291.</u>

Ravi and Naina Patel Foundation

77-0490360

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
Ravi Patel 6501 Truxtun Avenue Bakersfield, CA 93309	PRESIDENT	0.	0.	0.
Naina Patel 6501 Truxtun Avenue Bakersfield, CA 93309	VICE-PRESIDENT	0.	0.	0.
GRAND TOTALS		0.	0.	0.

RAVI AND NAINA PATEL FOUNDATION

77-0490360

ATTACHMENT 7

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

PRADIP SHAH
6501 TRUXTUN AVENUE
BAKERSFIELD, CA 93309
661-322-2206

ATTACHMENT 7

FORM SCOPE, PART A - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 2

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AMERICAN CANCER SOCIETY 1710 WEBSTEP STREET OAKLAND, CA 94612-3412	CHARITY	FOR ASSISTANCE TO HELP FAMILIES, FRIENDS, AND PEOPLE WITH CANCER WHO WISH TO IMPROVE THE QUALITY OF LIFE AND FIGHT FOR A CURE	100,000
BAKERSFIELD HOMELESS CENTER 1600 EAST TRUKTUN AVENUE BAKERSFIELD, CA 93309	CHARITY	FOR ASSISTANCE TO HELP THE HOMELESS AND THE POOR TO EQUIP THEM FOR A SELF-SUFFICIENT AND PRODUCTIVE FUTURE	12,000
CHINMAYA MISSION BAKERSFIELD 1723 COUNTRY BREEZE PLACE BAKERSFIELD, CA 93312	CHARITY	FOR ASSISTANCE TO PROVIDE TO INDIVIDUALS, FROM ANY BACKGROUND, THE WISDOM OF HINDU AND THE PRACTICAL MEANS FOR SPIRITUAL GROWTH AND HAPPINESS, ENABLING THEM TO BECOME POSITIVE CONTRIBUTORS TO SOCIETY	25,000
MARCH OF DIMES 4201 W SHAW AVENUE FRESNO, CA 93722	CHARITY	FOR ASSISTANCE TO IMPROVE THE HEALTH OF BABIES BY PREVENTING BIRTH DEFECTS, PREMATURE BIRTH, AND INFANT MORTALITY	2,500
BRAHMANANDA SARASWATI FOUNDATION 70 BROAD STREET NEW YORK, NY 10004	CHARITY	FOR ASSISTANCE TO PROMOTE WORLD PEACE	121,200
ALLIANCE AGAINST FAMILY VIOLENCE 6501 TRUKTUN AVENUE BAKERSFIELD, CA 93309	CHARITY	FOR ASSISTANCE IN FIGHTING AGAINST DOMESTIC VIOLENCE AND SEXUAL ASSAULT IN KERN COUNTY AND TO ASSIST THOSE WHO HAVE SUFFERED FROM THESE CRIMES RECLAIM THEIR LIVES	15,000

RAVI AND NAINA EATEL FOUNDATION

77-0490360

FORM 990E, PART IV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 2 CONT'D

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

MAHARISHI UNIVERSITY OF MANAGEMENT
FAIRFIELD, IA 52557

CHARITY

FOR ASSISTANCE IN THE CONSTRUCTION OF THE ARJUN
STUDENT CENTER

76,000

MAHARISHI VEDIC FOUNDATION
679 GEORGE HILL ROAD
PO BOX 601

CHARITY

FOR ASSISTANCE IN THE MAHARISHI YAGYA PROGRAM,
WHICH CONSISTS OF VEDIC PERFORMANCES FROM THE
ETERNAL TRADITION OF VEDIC WISDOM TO RESTORE
BALANCE BETWEEN THE INDIVIDUAL AND COSMIC
INTELLIGENCE, AND ALSO CONTRIBUTES TO ACHIEVING
WORLD PEACE

47,500

LANCASTER, MA 01523

TOTAL CONTRIBUTIONS PAID

123,500

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust

RAVI AND NAINA PATEL FOUNDATION

Employer identification number

77-0490360

Note: Form 5227 filers need to complete **only Parts I and II**

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day , yr)	(c) Date sold (mo , day , yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	264,054
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	(
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	264,054

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day , yr)	(c) Date sold (mo , day , yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	(
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part				
13	Net short-term gain or (loss)	13		264,054
14	Net long-term gain or (loss):			
a	Total for year	14a		
b	Unrecaptured section 1250 gain (see line 18 of the wrksh)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		264,054

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet** necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000
16	()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the worksheet on page 8 of the instructions if • Either line 14b, col (2) or line 14c, col (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2009

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2009

RAVI AND NAINA PATEL FOUNDATION

77-0490360

[illegible]

264,054

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

2009 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds, Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss)

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ICICI BANK LTD ADR FSPONSORED ADR 1 ADR REP 2: IBN	100 0000	02/18/09	06/23/09	\$2,782 77	\$1,475 45	\$1,307 32
ICICI BANK LTD ADR FSPONSORED ADR 1 ADR REP 2: IBN	100.0000	02/18/09	06/23/09	\$2,782 86	\$1,475 45	\$1,307 41
ICICI BANK LTD ADR FSPONSORED ADR 1 ADR REP 2: IBN	700 0000	02/18/09	06/23/09	\$19,479 24	\$10,328.13	\$9,151 11
ICICI BANK LTD ADR FSPONSORED ADR 1 ADR REP 2: IBN	1,100.0000	02/18/09	06/23/09	\$30,610.24	\$16,229 92	\$14,380 32
ICICI BANK LTD ADR FSPONSORED ADR 1 ADR REP 2: IBN	100 0000	02/20/09	06/23/09	\$2,782.81	\$1,340 30	\$1,442.51
ICICI BANK LTD ADR FSPONSORED ADR 1 ADR REP 2: IBN	2,900 0000	02/20/09	06/23/09	\$80,699 73	\$38,868 65	\$41,831 08
Security Subtotal				\$139,137.65	\$69,717.90	\$69,419.75
ISHARES TR S&P LATN AMERS&P LATIN AMERICA 40 IDX. ILF	2,000.0000	02/10/09	06/23/09	\$65,175 07	\$52,528.95	\$12,646 12
ISHARES TR S&P LATN AMERS&P LATIN AMERICA 40 IDX. ILF	461 0000	02/17/09	06/23/09	\$15,022 85	\$11,573 85	\$3,449 00
ISHARES TR S&P LATN AMERS&P LATIN AMERICA 40 IDX. ILF	1,039.0000	02/17/09	06/23/09	\$33,858 45	\$26,085 10	\$7,773 35



G000025540206

charlesSCHWAB

Schwab One® Account of
RAVI AND NAINA PATEL FOUNDATIOReport Period
January 1 - December 31,
2009
Account Number
4074-8998

2009 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ISHARES TR S&P LATN AMERS&P LATIN AMERICA 40 IDX ILF	2,000.0000	02/20/09	06/23/09	\$65,175.06	\$47,608.95	\$17,566.11
Security Subtotal				\$179,231.43	\$137,796.85	\$41,434.58
ISHARES TRUST INDEX FUNDTSE XINHUA HK CHINA 25: FXI	200.0000	02/09/09	06/23/09	\$7,113.09	\$5,651.79	\$1,461.30
ISHARES TRUST INDEX FUNDTSE XINHUA HK CHINA 25: FXI	400.0000	02/09/09	06/23/09	\$14,226.20	\$11,303.58	\$2,922.62
ISHARES TRUST INDEX FUNDTSE XINHUA HK CHINA 25: FXI	400.0000	02/09/09	06/23/09	\$14,226.20	\$11,303.58	\$2,922.62
ISHARES TRUST INDEX FUNDTSE XINHUA HK CHINA 25: FXI	1,500.0000	02/17/09	06/23/09	\$53,348.26	\$37,523.95	\$15,824.31
Security Subtotal				\$88,913.75	\$65,782.90	\$23,130.85
POWERSHS QQQ TRUST SER 1 QQQQ	100.0000	11/05/08	06/23/09	\$3,487.76	\$3,200.90	\$286.86
POWERSHS QQQ TRUST SER 1: QQQQ	900.0000	11/05/08	06/23/09	\$31,389.85	\$28,808.05	\$2,581.80
POWERSHS QQQ TRUST SER 1: QQQQ	89.0000	12/01/08	06/23/09	\$3,104.11	\$2,403.80	\$700.31
POWERSHS QQQ TRUST SER 1: QQQQ	100.0000	12/01/08	06/23/09	\$3,487.76	\$2,700.89	\$786.87
POWERSHS QQQ TRUST SER 1 QQQQ	100.0000	12/01/08	06/23/09	\$3,487.76	\$2,700.89	\$786.87

2009 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
POWERSHS QQQ TRUST SER 1: QQQQ	100.0000	12/01/08	06/23/09	\$3,487.76	\$2,700.89	\$786.87
POWERSHS QQQ TRUST SER 1: QQQQ	100.0000	12/01/08	06/23/09	\$3,487.76	\$2,700.89	\$786.87
POWERSHS QQQ TRUST SER 1: QQQQ	100.0000	12/01/08	06/23/09	\$3,487.76	\$2,700.90	\$786.86
POWERSHS QQQ TRUST SER 1: QQQQ	100.0000	12/01/08	06/23/09	\$3,487.76	\$2,700.90	\$786.86
POWERSHS QQQ TRUST SER 1: QQQQ	100.0000	12/01/08	06/23/09	\$3,487.76	\$2,700.90	\$786.86
POWERSHS QQQ TRUST SER 1: QQQQ	100.0000	12/01/08	06/23/09	\$3,487.76	\$2,700.90	\$786.86
POWERSHS QQQ TRUST SER 1: QQQQ	111.0000	12/01/08	06/23/09	\$3,871.41	\$2,997.99	\$873.42
POWERSHS QQQ TRUST SER 1: QQQQ	2.9178	01/02/09	06/23/09	\$101.77	\$86.92	\$14.85
POWERSHS QQQ TRUST SER 1: QQQQ	0.9178	01/14/09	06/23/09	\$32.00	\$26.21	\$5.79
POWERSHS QQQ TRUST SER 1: QQQQ	100.0000	01/14/09	06/23/09	\$3,487.76	\$2,855.89	\$631.87
POWERSHS QQQ TRUST SER 1: QQQQ	286.0822	01/14/09	06/23/09	\$9,977.86	\$8,170.21	\$1,807.65
POWERSHS QQQ TRUST SER 1: QQQQ	613.0000	01/14/09	06/23/09	\$21,379.98	\$17,506.64	\$3,873.34
POWERSHS QQQ TRUST SER 1: QQQQ	1,000.0000	01/20/09	06/23/09	\$34,877.61	\$28,308.95	\$6,568.66



charlesSCHWAB

G000025540306

Report Period
January 1 - December 31,
2009

Schwab One® Account of
RAVI AND NAINA PATEL FOUNDATIO

Account Number
4074-8998

2009 Year-End Schwab Gain/Loss Report

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method	
					Mutual Funds: Average	All Other Investments: First In First Out (FIFO)
POWERSHS QQQ TRUST SER 1: QQQQ	2,000 0000	01/28/09	06/23/09	\$69,755.23	Cost Basis	Realized Gain or (Loss)
Security Subtotal				\$209,367.42	\$176,181.67	\$33,185.75
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	10.0000	11/05/08	06/23/09	\$891.66	\$954.88	(\$63.22)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	90.0000	11/05/08	06/23/09	\$8,024.98	\$8,593.91	(\$568.93)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	200 0000	11/05/08	06/23/09	\$17,833.27	\$19,097.58	(\$1,264.31)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	200 0000	11/05/08	06/23/09	\$17,833.27	\$19,097.58	(\$1,264.31)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	10.0000	11/06/08	06/23/09	\$891.66	\$906.18	(\$14.52)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	30.0000	11/06/08	06/23/09	\$2,674.99	\$2,718.54	(\$43.55)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	100.0000	11/06/08	06/23/09	\$8,916.64	\$9,061.79	(\$145.15)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	100.0000	11/06/08	06/23/09	\$8,916.64	\$9,061.79	(\$145.15)

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)				Accounting Method Mutual Funds: Average All Other Investments First In First Out [FIFO]		
Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	260.0000	11/06/08	06/23/09	\$23,183.25	\$23,560.65	(\$377.40)
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	40.0000	11/12/08	06/23/09	\$3,566.65	\$3,434.24	\$132.41
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	100.0000	11/12/08	06/23/09	\$8,916.64	\$8,585.60	\$331.04
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	100.0000	11/12/08	06/23/09	\$8,916.64	\$8,585.60	\$331.04
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	240.0000	11/12/08	06/23/09	\$21,399.93	\$20,605.43	\$794.50
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	260.0000	11/12/08	06/23/09	\$23,183.25	\$22,322.55	\$860.70
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	260.0000	11/12/08	06/23/09	\$23,183.25	\$22,322.55	\$860.70
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	500.0000	11/12/08	06/23/09	\$44,583.18	\$42,927.98	\$1,655.20
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	40.0000	01/12/09	06/23/09	\$3,566.66	\$3,467.52	\$99.14
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118: SPY	460.0000	01/12/09	06/23/09	\$41,016.53	\$39,876.43	\$1,140.10



charles SCHWAB

G000025540406

Report Period
January 1 - December 31,
2009

Schwab One® Account of
RAVI AND NAINA PATEL FOUNDATIO

Account Number
4074-8998

2009 Year-End Schwab Gain/Loss Report

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)	Accounting Method Mutual Funds: Average All Other Investments First In First Out [FIFO]
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118 SPY	60.0000	01/14/09	06/23/09	\$5,349.98	\$5,048.87	\$301.11	
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118 SPY	200.0000	01/14/09	06/23/09	\$17,833.27	\$16,829.58	\$1,003.69	
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118 SPY	240.0000	01/14/09	06/23/09	\$21,397.53	\$20,195.50	\$1,202.03	
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118 SPY	500.0000	01/20/09	06/23/09	\$44,578.18	\$41,133.95	\$3,444.23	
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118 SPY	21.9884	02/03/09	06/23/09	\$1,960.41	\$1,798.35	\$162.06	
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118 SPY	2,000.0000	02/20/09	06/23/09	\$178,312.73	\$153,008.95	\$25,303.78	
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118 SPY	0.9884	03/06/09	06/23/09	\$88.10	\$66.34	\$21.76	
S P D R TRUST UNIT SR 1 EXPIRING 01/22/2118 SPY	653.0116	03/06/09	06/23/09	\$58,220.14	\$43,826.01	\$14,394.13	
Security Subtotal				\$595,239.43	\$547,088.35	\$48,151.08	
UNITED STATES OIL FUND: USO	200.0000	02/17/09	06/23/09	\$7,309.36	\$4,720.90	\$2,588.46	
UNITED STATES OIL FUND: USO	1,800.0000	02/17/09	06/23/09	\$65,784.28	\$42,488.05	\$23,296.23	

2009 Year-End Schwab Gain/Loss Report

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method	
					Mutual Funds	All Other Investments
					Average	First In First Out (FIFO)
					Cost Basis	Realized Gain or (Loss)
UNITED STATES OIL FUND: USO	2,000.0000	03/10/09	06/23/09	\$73,093.65	\$57,308.95	\$15,784.70
Security Subtotal				\$146,187.29	\$104,517.90	\$41,669.39
WELLS FARGO & CO NEW: WFC	1,102.0000	01/16/09	06/23/09	\$25,245.37	\$20,621.71	\$4,623.66
WELLS FARGO & CO NEW: WFC	1,898.0000	01/16/09	06/23/09	\$43,480.69	\$35,517.24	\$7,963.45
WELLS FARGO & CO NEW: WFC	1,500.0000	01/21/09	06/23/09	\$34,363.03	\$23,558.95	\$10,804.08
WELLS FARGO & CO NEW: WFC	100.0000	01/28/09	06/23/09	\$2,290.87	\$2,003.12	\$287.75
WELLS FARGO & CO NEW: WFC	100.0000	01/28/09	06/23/09	\$2,290.87	\$2,003.12	\$287.75
WELLS FARGO & CO NEW: WFC	100.0000	01/28/09	06/23/09	\$2,290.87	\$2,003.12	\$287.75
WELLS FARGO & CO NEW: WFC	100.0000	01/28/09	06/23/09	\$2,290.87	\$2,003.12	\$287.75
WELLS FARGO & CO NEW: WFC	100.0000	01/28/09	06/23/09	\$2,290.87	\$2,003.12	\$287.75
WELLS FARGO & CO NEW: WFC	100.0000	01/28/09	06/23/09	\$2,290.87	\$2,003.12	\$287.75
WELLS FARGO & CO NEW: WFC	200.0000	01/28/09	06/23/09	\$4,581.74	\$4,006.24	\$575.50
WELLS FARGO & CO NEW: WFC	200.0000	01/28/09	06/23/09	\$4,581.74	\$4,006.24	\$575.50
WELLS FARGO & CO NEW: WFC	200.0000	01/28/09	06/23/09	\$4,581.74	\$4,006.24	\$575.50



charlesSCHWAB

G000025540506

Report Period
January 1 - December 31,
2009

Schwab One® Account of
RAVI AND NAINA PATEL FOUNDATIO

Account Number
4074-8998

2009 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WELLS FARGO & CO NEW: WFC	200.0000	01/28/09	06/23/09	\$4,581.74	\$4,006.24	\$575.50
WELLS FARGO & CO NEW: WFC	200.0000	01/28/09	06/23/09	\$4,581.74	\$4,006.24	\$575.50
WELLS FARGO & CO NEW: WFC	300.0000	01/28/09	06/23/09	\$6,872.60	\$6,009.36	\$863.24
WELLS FARGO & CO NEW: WFC	300.0000	01/28/09	06/23/09	\$6,872.60	\$6,009.36	\$863.24
WELLS FARGO & CO NEW: WFC	300.0000	01/28/09	06/23/09	\$6,872.60	\$6,009.36	\$863.24
WELLS FARGO & CO NEW: WFC	300.0000	01/28/09	06/23/09	\$6,872.60	\$6,009.36	\$863.24
WELLS FARGO & CO NEW: WFC	400.0000	01/28/09	06/23/09	\$9,163.47	\$8,012.48	\$1,150.99
WELLS FARGO & CO NEW: WFC	500.0000	01/28/09	06/23/09	\$11,454.34	\$10,015.59	\$1,438.75
WELLS FARGO & CO NEW: WFC	500.0000	01/28/09	06/23/09	\$11,454.34	\$10,015.59	\$1,438.75
WELLS FARGO & CO NEW: WFC	500.0000	01/28/09	06/23/09	\$11,454.34	\$10,015.60	\$1,438.74
WELLS FARGO & CO NEW: WFC	500.0000	01/28/09	06/23/09	\$11,454.34	\$10,015.60	\$1,438.74
WELLS FARGO & CO NEW: WFC	501.0000	01/28/09	06/23/09	\$11,477.26	\$10,035.63	\$1,441.63
WELLS FARGO & CO NEW: WFC	1,799.0000	01/28/09	06/23/09	\$41,212.72	\$36,036.10	\$5,176.62
WELLS FARGO & CO NEW: WFC	75.6111	03/03/09	06/23/09	\$1,732.15	\$839.18	\$892.97

charles SCHWAB

Schwab One® Account of
RAVI AND NAINA PATEL FOUNDATIO

Account Number
4074-8998

Report Period
January 1 - December 31,
2009

2009 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: First In First Out (FIFO)

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WELLS FARGO & CO NEW: WFC	292.0000	03/03/09	06/23/09	\$6,689.34	\$3,240.82	\$3,448.52
WELLS FARGO & CO NEW: WFC	0.5704	06/02/09	06/23/09	\$13.03	\$14.13	(\$1.10)
WELLS FARGO & CO NEW: WFC	24.3889	06/02/09	06/23/09	\$558.72	\$604.25	(\$45.53)
Security Subtotal				\$283,897.46	\$234,630.23	\$49,267.23
Total Short-Term				\$1,641,974.43	\$1,335,715.80	\$306,258.63

Total Realized Gain or (Loss)

\$1,841,974.43

\$1,335,715.80

\$306,258.63

Additional Basis for United States Oil Fund: USO

- 42,204.00

\$264,054.63

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization RAVI AND NAINA PATEL FOUNDATION	Employer identification number 77-0490360
	Number, street, and room or suite no. If a P.O. box, see instructions 6501 TRUXTUN AVENUE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions BAKERSFIELD, CA 93309	

Check type of return to be filed (file a separate application for each return)

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 6069
<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 8870

- The books are in the care of ► PRADIP SHAH

Telephone No ► 661 322-2206 FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for

► ☒ calendar year 2009 or
► ☐ tax year beginning _____, and ending _____

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$ 10,500.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$ 3,300.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$ 7,200.

Caution If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2009)