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Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type See Specific Instructions	Name of foundation John W. Marshall and Jerry E. Marshall Foundation c/o Donald B. Atkins		A Employer identification number 76-0716481
	Number and street (or P O box number if mail is not delivered to street address) 1406 Terrace Drive		B Telephone number 918-748-8800
	City or town, state, and ZIP code Tulsa, OK 74104-4626		C If exemption application is pending, check here ☐
			D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,682,571. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	385,817.			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	18,926.	18,926.		Statement 1
	4 Dividends and interest from securities	22,790.	22,360.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<78,055.>			
	b Gross sales price for all assets on line 6a	2,014,940.			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	41,129.	41,129.	0.	Statement 3	
12 Total Add lines 1 through 11	390,607.	82,415.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees Stmt 4	21,608.	21,608.	0.	0.
	17 Interest	76.	0.	0.	0.
	18 Taxes Stmt 5	807.	0.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	22,491.	21,608.	0.	0.
	25 Contributions, gifts, grants paid	158,630.			158,630.
26 Total expenses and disbursements Add lines 24 and 25	181,121.	21,608.	0.	158,630.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	209,486.				
b Net investment income (if negative, enter -0-)		60,807.			
c Adjusted net income (if negative, enter -0-)			0.		

924
21

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	539,862.	133,401.	133,401.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 7	2,849,111.	3,424,623.	3,549,170.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	3,388,973.	3,558,024.	3,682,571.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,388,973.	3,558,024.	
30 Total net assets or fund balances	3,388,973.	3,558,024.		
31 Total liabilities and net assets/fund balances	3,388,973.	3,558,024.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,388,973.
2 Enter amount from Part I, line 27a	2	209,486.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,598,459.
5 Decreases not included in line 2 (itemize) ▶ See Statement 6	5	40,435.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,558,024.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UBS #36598-Schedule Attached	P		
b UBS #37519-Schedule Attached	P		
c UBS #37519-Schedule Attached	P		
d Capital Gains Dividends			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,076,897.		1,064,126.	12,771.
b 557,954.		502,552.	55,402.
c 380,086.		526,317.	<146,231.>
d 3.			3.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			12,771.
b			55,402.
c			<146,231.>
d			3.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<78,055.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	239,725.	2,955,653.	.081107
2007	332,079.	3,610,953.	.091964
2006	116,507.	3,319,179.	.035101
2005	0.	98,992.	.000000
2004	54,085.	1,835,796.	.029461

2 Total of line 1, column (d)	2	.237633
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047527
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,471,463.
5 Multiply line 4 by line 3	5	117,461.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	608.
7 Add lines 5 and 6	7	118,069.
8 Enter qualifying distributions from Part XII, line 4	8	158,630.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
 See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	608.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	608.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	608.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	600.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	600.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	8.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ OK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Atkins and Atkins Telephone no. ► 918-748-8800 Located at ► 1406 Terrace Drive, Tulsa, OK ZIP+4 ► 74104-4626			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John W. Marshall 8086 S. Yale Ave. #135 Tulsa, OK 74136	Trustee 0.00	0.	0.	0.
Jerry E. Marshall 8086 S. Yale Ave. #135 Tulsa, OK 74136	Trustee 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,378,672.
b	Average of monthly cash balances	1b	130,427.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,509,099.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,509,099.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	37,636.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,471,463.
6	Minimum investment return. Enter 5% of line 5	6	123,573.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	123,573.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	608.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	608.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	122,965.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	122,965.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	122,965.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	158,630.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	158,630.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	608.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	158,022.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				122,965.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				18,161.
e From 2008				92,952.
f Total of lines 3a through e	111,113.			
4 Qualifying distributions for 2009 from Part XII, line 4. ▶ \$	158,630.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				122,965.
e Remaining amount distributed out of corpus	35,665.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	146,778.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	146,778.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				18,161.
d Excess from 2008				92,952.
e Excess from 2009				35,665.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

See Statement 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
See Statement 9				
Total			▶ 3a	158,630.
b Approved for future payment				
None				
Total			▶ 3b	0.

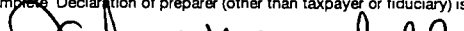
Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
	 Signature of officer or trustee		11-8-10 Date	Agent Title
Paid Preparer's Use Only	 Preparer's signature		11-8-10 Date	Check if self-employed ☐
	Firm's name (or yours if self-employed), address, and ZIP code Atkins and Atkins, P.C. 1406 Terrace Drive Tulsa, OK 74104-4626		EIN ☐ Phone no. 918-748-8800	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

John W. Marshall and Jerry E. Marshall
Foundation c/o Donald B. Atkins

Employer identification number

76-0716481

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

John W. Marshall and Jerry E. Marshall
Foundation c/o Donald B. Atkins

Employer identification number

76-0716481

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	John W. and Jerry E. Marshall 8086 So. Yale Ave #135 Tulsa, OK 74136-0000	\$ 385,817.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

John W. Marshall and Jerry E. Marshall
Foundation c/o Donald B. Atkins

Employer identification number

76-0716481

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	Securities - See Schedule "B"		
	Securities - See Schedule "B"	\$ 385,817.	12/23/09
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

SCHEDULE "B"
John W. and Jerry E. Marshall Foundation
Gifts Received
December 23, 2009

Marshall Foundation 2009									
Contributions to Foundation									
Transfer Date	Position	Original Purchase Date	Cost Basis	Market Value					
12/23/2009	Atria Group (1700)	8/26/2008	\$14,812.98	\$34,272.00					
		12/11/2008	\$15,058.50						
12/23/2009	AT&T (1,000)	2/18/2009	\$20,460.21	\$28,040.00					
		6/23/2009	\$2,715.63						
12/23/2009	ASML Holding (122)	8/17/2006 (15)	\$382.86	\$4,202.90					
		8/20/2007 (4)	\$105.57						
		3/4/2008 (12)	\$279.48						
		3/5/2008 (2)	\$47.74						
		3/7/2008 (1)	\$23.79						
		7/3/2008 (17)	\$406.78						
		3/6/2009 (57)	\$822.03						
		6/30/2009 (14)	\$301.01						
12/23/2009	Apache (200)	6/23/2009	\$14,356.00	\$20,900.00					
12/23/2009	Apple (32)	11/20/08 (14)	\$1,178.20	\$6,467.20					
		11/21/08 (8)	\$656.83						
		12/18/08 (10)	\$897.29						
12/23/2009	Amphenol (80)	11/24/06 (7)	\$241.82	\$3,700.80					
		8/20/07 (18)	\$612.26						
		8/21/07 (2)	\$67.99						
		10/16/08 (8)	\$205.39						
		10/22/08 (9)	\$229.48						
		3/9/09 (20)	\$464.00						
		6/26/09 (7)	\$222.69						
		7/2/09 (9)	\$289.69						

12/23/2009	General Dynamics (111)	4/8/09 (6)	\$262.65	\$7,570.20
		4/9/09 (4)	\$178.53	
		4/13/09 (4)	\$175.62	
		4/14/09 (3)	\$131.89	
		4/15/09 (3)	\$131.81	
		4/16/09 (2)	\$90.05	
		4/17/09 (4)	\$184.98	
		4/20/09 (4)	\$180.37	
		4/21/09 (5)	\$230.12	
		4/22/09 (3)	\$143.34	
		4/23/09 (6)	\$292.55	
		5/12/09 (4)	\$219.31	
		5/13/09 (11)	\$600.39	
		5/14/09 (4)	\$222.57	
		5/15/09 (5)	\$275.10	
		5/18/09 (4)	\$219.36	
		6/18/09 (5)	\$291.48	
		6/19/2009 (8)	\$470.94	
		6/22/09 (12)	\$691.82	
		10/8/09 (5)	\$326.12	
		10/09/09 (9)	\$592.95	
12/23/2009	NetApp (101)	6/1/09 (30)	\$613.87	\$3,418.85
		6/4/09 (10)	\$188.75	
		6/12/09 (18)	\$353.09	
		6/16/09 (13)	\$254.46	
		6/26/09 (13)	\$253.02	
		8/3/09 (10)	\$225.15	
		11/25/09 (7)	\$219.57	
12/23/2009	Hewlett Packard (126)	6/18/09 (33)	\$1,236.84	\$6,613.74
		6/22/09 (34)	\$1,286.22	
		7/7/09 (14)	\$519.94	
		7/8/09 (27)	\$1,001.52	
		7/9/09 (18)	\$670.46	

12/23/2009		JP Morgan (195)	5/12/09 (98)	\$3,459.67	\$8,104.20
			5/13/09 (27)	\$935.90	
			7/7/09 (24)	\$793.42	
			7/8/09 (26)	\$849.90	
			7/9/09 (20)	\$668.18	
12/23/2009		LVMH Moet Hennessy (106)	1/14/09 (18)	\$206.03	\$2,364.86
			1/15/09 (29)	\$313.79	
			1/16/09 (22)	\$244.26	
			6/30/09 (27)	\$412.56	
			8/18/09 (10)	\$179.79	
12/23/2009		Marvell Tech (210)	6/17/09 (114)	\$1,331.70	\$4,214.70
			7/8/09 (11)	\$123.85	
			7/9/09 (53)	\$613.99	
			7/10/09 (32)	\$373.65	
12/23/2009		Medco Health (42)	11/24/09 (42)	\$1,006.95	\$2,703.96
12/23/2009		Express Scripts (52)	10/10/08 (13)	\$691.85	\$4,556.76
			10/13/08 (7)	\$419.15	
			11/7/08 (6)	\$357.01	
			1/9/09 (10)	\$531.71	
			3/3/09 (10)	\$469.28	
			5/21/09 (4)	\$238.53	
			5/22/09 (2)	\$121.14	
12/23/2009		Broadcom (129)	2/5/09 (19)	\$329.26	\$4,068.66
			2/6/09 (40)	\$713.08	
			2/9/09 (19)	\$343.96	
			2/10/09 (36)	\$638.91	
			7/8/09 (15)	\$349.17	
12/23/2009		Pfizer (411)	3/20/09 (276)	\$3,779.30	\$7,636.38
			4/7/09 (135)	\$1,831.11	

12/23/2009	Ryder System (95)		11/5/08 (5)	\$197.51	\$4,115.40
			11/6/08 (3)	\$114.38	
			11/7/08 (1)	\$37.99	
			11/10/08 (4)	\$154.16	
			11/11/08 (3)	\$111.50	
			11/12/08 (2)	\$72.77	
			11/13/08 (4)	\$144.21	
			11/14/08 (6)	\$224.52	
			2/5/09 (4)	\$125.13	
			2/6/09 (16)	\$535.59	
			2/9/09 (11)	\$371.67	
			5/12/09 (4)	\$107.64	
			5/13/09 (21)	\$540.42	
			5/14/09 (11)	\$286.15	
12/23/2009	Solera Holdings (92)		6/4/08 (35)	\$927.50	\$3,381.92
			2/13/09 (18)	\$425.76	
			2/17/09 (7)	\$157.31	
			4/9/09 (8)	\$199.41	
			4/15/09 (13)	\$294.54	
			4/24/09 (11)	\$255.31	
12/23/2009	Southwestern Energy (64)		1/22/08 (35)	\$871.50	\$3,158.40
			1/29/09 (29)	\$941.28	
12/23/2009	Telenor (68)		1/14/09 (10)	\$201.80	\$2,849.20
			1/15/09 (11)	\$216.59	
			1/16/09 (19)	\$392.34	
			1/20/09 (2)	\$38.28	
			6/30/09 (16)	\$368.96	
			8/18/09 (10)	\$275.36	
12/23/2009	Union Pacific (200)		2/19/2009	\$7,941.04	\$13,160.00

12/23/2009	Viacom (145)	3/19/09 (8)	\$130 15	\$4,274.69
		3/20/09 (12)	\$196 78	
		3/23/09 (5)	\$88 20	
		3/24/09 (9)	\$164 90	
		3/25/09 (8)	\$146 12	
		3/26/09 (14)	\$255 26	
		3/27/09 (19)	\$337 74	
		3/30/09 (26)	\$444 89	
		3/31/09 (16)	\$279 58	
		4/2/09 (17)	\$326 22	
		4/3/09 (11)	\$214 74	
12/23/2009	WMS Industries (152)	9/28/07 (17)	\$563 38	\$5,856.56
		1/14/08 (4)	\$130 88	
		1/18/08 (5)	\$159 76	
		1/22/08 (24)	\$771 49	
		10/3/08 (16)	\$409 98	
		2/23/09 (26)	\$484 14	
		2/25/09 (5)	\$92 73	
		2/26/09 (8)	\$147 97	
		2/27/09 (9)	\$166 45	
		3/04/09 (9)	\$150 42	
		6/12/09 (11)	\$317 12	
		10/27/09 (12)	\$523 87	
		12/07/09 (6)	\$237 33	

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
Accrued Interest Paid - UBS 36598	<8,334.>
UBS DL 36598 56	26,546.
UBS DL 37519 56	714.
Total to Form 990-PF, Part I, line 3, Column A	18,926.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
UBS DL 36598 56	3,533.	0.	3,533.
UBS DL 37519 56	19,260.	3.	19,257.
Total to Form 990-PF, Part I, line 4	22,793.	3.	22,790.

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
UBS LLCs	41,129.	41,129.	0.
Total to Form 990-PF, Part I, line 11	41,129.	41,129.	0.

Form 990-PF Other Professional Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Fees	21,608.	21,608.	0.	0.
To Form 990-PF, Pg 1, line 16c	21,608.	21,608.	0.	0.

Form 990-PF	Taxes	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax	807.	0.	0.	0.
To Form 990-PF, Pg 1, ln 18	807.	0.	0.	0.

Form 990-PF	Other Decreases in Net Assets or Fund Balances	Statement	6
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Description	Amount
Adjustment in Value of Assets Received by Gift-FMV to Cost	40,435.
Total to Form 990-PF, Part III, line 5	40,435.

Form 990-PF	Other Investments	Statement	7
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Description	Valuation Method	Book Value	Fair Market Value
UBS Accts #36898 and #37519	COST	2,727,903.	2,852,450.
FRA Systems	COST	696,720.	696,720.
Total to Form 990-PF, Part II, line 13		3,424,623.	3,549,170.

Form 990-PF	Part XV - Line 1a List of Foundation Managers	Statement	8
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Name of Manager

John W. Marshall
Jerry E. Marshall

Form 990-PF

Grants and Contributions
Paid During the Year

Statement 9

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
All Star Therapy Group P.O. Box 121 Bartlesville, OK 74005-0000	None General Operations	Public	5,000.
Big Brothers and Sisters 3015 E. Skelly Dr. Tulsa, OK 74105-0000	None General Operations	Public	7,000.
McKinney Christian Academy 3601 Bois D'Arc Rd McKinney, TX 75701-0000	None General Operations	Public	5,800.
OK State University P.O. 1749 Stillwater, OK 74076-0000	None General Operations	Public	2,330.
OSU Foundation P.O. 1749 Stillwater, OK 74076-0000	None General Operations	Public	49,000.
Race for the Cure 2488 E 81st St. Suite 124 Tulsa, OK 74137-0000	None General Operations	Public	25,000.
Free & Strong America PAC 899H Airport Park Road Glen Burnie, MD 21081-0000	None General Operations	Public	2,500.
Salvation Army P.O. Box 397 Tulsa, OK 74103-0000	None General Operations	Public	25,000.

St. Jude's Hospital 501 St. Jude Place Memphis, TN 38105-0000	None General Operations	Public	10,000.
Tulsa Area Red Cross 6708 E. 69th St. Tulsa, OK 74133-0000	None General Operations	Public	5,000.
Tulsa Boys Home 2727 So 137 W Ave Sand Springs, OK 74063-0000	None General Operations	Public	10,000.
United Way of Lee County 7275 Concourse Drive Ft Meyers, FL 33908-0000	None General Operations	Public	10,000.
Humane Society of Tulsa 6232 E 60th Tulsa, OK 74135-0000	None General Operations	Public	1,000.
SPCA 2910 Mohawk Blvd Tulsa, OK 74110-0000	None General Operations	Public	1,000.

Total to Form 990-PF, Part XV, line 3a

158,630.

2009 Consolidated Form 1099

P25L075625-X1

2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 1,064,126.37	\$ 1,076,896.58	\$ 38,429.65	\$ -25,659.44	\$ 12,770.21
Sub Total:	\$ 1,064,126.37	\$ 1,076,896.58	\$ 38,429.65	\$ -25,659.44	\$ 12,770.21
Total:	\$ 1,064,126.37	\$ 1,076,896.58	\$ 38,429.65	\$ -25,659.44	\$ 12,770.21

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALCOA INC 06 750% 071518 DTD071508 FC011509	Trade	50,000.000		08/19/09	11/16/09	50,608.75	49,916.25	692.50		Y
ALTRIA GROUP INC 09 700% 111018 DTD111008 FC051009	Trade	40,000.000		08/24/09	12/11/09	48,754.75	49,234.85	-480.10		Y
AMGEN INC MW@ +15BP NTS 04 850% 111814 DTD111804 FC051805	Trade	25,000.000		12/22/08	09/14/09	26,857.75	25,712.25	1,145.50		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ANHEUSER BUSCH COS INC 05 050% 101516 DTD101403 FC041504 NTS MW +20BP	Trade	25,000 000		02/19/09	08/12/09	24,807 75	24,135 25	672 50		Y
AT&T INC CALL @MW+BP 05 500% 020118 DTD020108 FC080108	Trade	25,000 000		12/22/08	11/19/09	26,276 00	25,822 25	453.75		Y
AT&T INC NTS B/E 05 800% 021519 DTD020309 FC081509	Trade	50,000 000		02/19/09	08/10/09	52,879.25	50,536.75	2,342.50		Y
CALAMOS CV OPPORTUNITIES & INCOME FD (HIGH YIELD) COM SHS BENEFICIAL INT	Trade Trade	4,500 000 36 000		09/25/09 Earnings	10/23/09 11/10/09	54,275 55 385 56	53,251 96 427 24	1,023 59 -41 68		Y Y
CONOCOPHILLIPS NTS B/E 05.750% 020119 DTD020309 FC080109	Trade Trade	25,000 000 20,000 000		02/19/09 03/25/09	08/10/09 08/10/09	26,675 58 21,340 47	25,632 75 20,449 65	1,042 83 890.82		Y Y
DEVON ENERGY CORP NEW 05 625% 011514 DTD010909 FC071509 MW CALL@50 BPS	Trade	25,000 000		01/26/09	09/14/09	26,642.25	25,701 25	941 00		Y
DOW CHEMICAL CO NTS 08 550% 051519 DTD051309 FC111509 CALL@MW+50BP	Trade	25,000 000		08/21/09	11/10/09	28,554 25	27,419 25	1,135 00		Y
EXXON MOBIL CORP	Trade	800 000		03/06/09	03/16/09	53,704 10	50,759 80	2,944 30		Y
GENL ELECTRIC CO	Trade	5,000.000		03/06/09	03/16/09	48,687 61	35,838.24	12,849 37		Y
GENL ELEC CO 05.250% 120617 DTD120607 FC060608	Trade	40,000 000		03/25/09	08/10/09	40,418 75	38,611 25	1,807 50		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOLDMAN SACHS GROUP INC 06 250% 090117 DTD083007 FC030108 NTS B/E	Trade	25,000 000		02/19/09	08/10/09	26,244 00	24,224 00	2,020 00		Y
IBM CORP 08 375% 110119 DTD110189 FC050190 DEBS B/E	Trade	25,000 000		02/19/09	09/18/09	32,283 75	31,882 75	401 00		Y
IBM INTL GROUP MW@ 05 050% 102212 DTD102207 FC042208 T+15BP B/E	Trade	25,000 000		12/22/08	12/07/09	27,170 75	26,761 75	409 00		Y
ING GLOBAL EQUITY DIVID & PREM OPPORTUNITY FUND	Trade	3,000 000		11/20/09	12/17/09	37,067 99	36,771 86	296 13		Y
ISHARES TRUST S&P U S PFD STOCK INDEX FUND	Trade	1,200 000		09/25/09	12/23/09	43,902 41	43,781 66	120 75		Y
J P MORGAN CHASE & CO 05 750% 010213 DTD112502 FC070203 NTS	Trade	25,000 000		12/22/08	09/18/09	26,599 50	25,503 75	1,095 75		Y
PEPSICO INC 07 900% 110118 DTD102408 FC050109 CALL@MW +50BP	Trade	25,000 000		12/22/08	11/19/09	31,294 75	31,491 75	-197 00		Y
PROSHARES TRUST PROSHARES ULTRASHORT DOW 30	Trade	500 000		03/23/09	03/30/09	32,241 56	33,610 75	-1,369 19		Y
	Trade	200 000		03/23/09	05/21/09	9,902 36	13,444 30	-3,541 94		Y
	Trade	800 000		03/24/09	05/21/09	40,035 79	49,427 14	-9,391 35		Y
	Trade	1,000 000		05/13/09	07/29/09	41,145 69	51,783 87	-10,638 18		Y
PROSHARES TRUST PROSHARES ULTRA FINANCIALS	Trade	8,000 000		03/23/09	03/24/09	23,734 61	22,349 76	1,384 85		Y
PUTNAM MASTER INTERMED INCOME TRUST	Trade	7,000 000		09/25/09	10/20/09	42,865 14	42,560 66	304 48		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UNITED STATES NAT GAS FUND LP	Trade	3,500 000		05/22/09	05/29/09	52,467 16	49,396 88	3,070 28		Y
WAL MART STORES INC NTS 05 375% 040517 DTD040507 FC100507	Trade	25,000 000		02/19/09	11/19/09	27,205 75	26,998.25	207 50		Y
WYETH CALL@MMW+20BP B/E 05.500% 021516 DTD111405 FC021506	Trade	25,000 000		02/19/09	11/16/09	27,153 50	26,611 00	542 50		Y
YUMJ BRANDS INC 06 250% 031518 DTD101907 FC031508 CALL@MMW +25BP	Trade	25,000 000		02/19/09	05/13/09	24,713 50	24,077 25	636 25		Y
Total Short-Term Gain/Loss						1,076,896.58	1,064,126.37	12,770.21		

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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss	\$ 502,551.73 ✓	\$ 557,954.43 ✓	\$ 112,652.67	\$ -57,249.97	\$ 55,402.70
Long-term Gain/Loss	526,317.27 ✓	379,966.93 ✓	25,433.20	-171,783.54	-146,350.34
Unclassified Gain/Loss		119.42			
Sub Total:	\$ 1,028,869.00	\$ 937,921.36	\$ 138,085.87	\$ -229,033.51	\$ -90,947.64
Activity with Gain/Loss not calculated due to missing information	\$	\$ 119.42	\$	\$	\$
Total:	\$ 1,028,869.00	\$ 938,040.78	\$ 138,085.87	\$ -229,033.51	\$ -90,947.64

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABERCROMBIE & FITCH CO CL A	Trade	34 000		07/02/09	10/12/09	1,222.59	818.06	404.53		Y
ACE LTD	Trade	6 000		09/19/08	05/29/09	261.75	364.56	-102.81		Y
	Trade	3 000		09/19/08	06/01/09	133.73	182.28	-48.55		Y
	Trade	2 000		10/31/08	06/01/09	89.15	114.72	-25.57		Y
	Trade	3 000		10/30/08	06/02/09	135.68	169.30	-33.62		Y
	Trade	6 000		10/31/08	06/02/09	271.37	344.15	-72.78		Y
	Trade	5 000		08/11/08	06/03/09	226.77	272.76	-45.99		Y
	Trade	3 000		10/29/08	06/03/09	136.06	162.06	-26.00		Y

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Other Income Details Not Reported to the Internal Revenue Service

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.
* Applicable to Tax-exempt Original Issue Discount information only

Activity	Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	Tax year	* Amortized acquisition premium	Amount
Program Fees and Related Services	MANAGED ACCOUNT FEE				01/23/09	2009		5,245 62
					04/23/09	2009		4,602 93
					07/24/09	2009		5,458 93
					10/23/09	2009		6,300 36
Sub Total: MANAGED ACCOUNT FEE								\$ 21,607.84
Total Program Fees and Related Services								\$ 21,607.84

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Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

Net proceeds is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Shares exchanged (Line #1a)	Quantity/ Face value/ (Line #1a)	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/20/09	Sell	222		WYETH ***MERGER EFF 10/09***		983024100	9,353.38	00	00
06/05/09	Sell	2		ZIMMER HOLDINGS INC		989566P102	87.03	00	00
06/05/09	Sell	3		ZIMMER HOLDINGS INC		989566P102	130.54	00	00
06/05/09	Sell	7		ZIMMER HOLDINGS INC		989566P102	304.62	00	00
06/05/09	Sell	4		ZIMMER HOLDINGS INC		989566P102	174.06	00	00
06/05/09	Sell	4		ZIMMER HOLDINGS INC		989566P102	174.06	00	00
06/08/09	Sell	1		ZIMMER HOLDINGS INC		989566P102	42.88	00	00
06/08/09	Sell	10		ZIMMER HOLDINGS INC		989566P102	428.88	00	00
06/08/09	Sell	1		ZIMMER HOLDINGS INC		989566P102	42.88	00	00
06/08/09	Sell	8		ZIMMER HOLDINGS INC		989566P102	343.11	00	00
06/08/09	Sell	6		ZIMMER HOLDINGS INC		989566P102	257.33	00	00
06/09/09	Sell	1		ZIMMER HOLDINGS INC		989566P102	42.64	00	00
06/09/09	Sell	3		ZIMMER HOLDINGS INC		989566P102	127.95	00	00
06/09/09	Sell	2		ZIMMER HOLDINGS INC		989566P102	85.30	00	00
06/09/09	Sell	12		ZIMMER HOLDINGS INC		989566P102	511.84	00	00
06/10/09	Sell	19		ZIMMER HOLDINGS INC		989566P102	802.11	00	00
06/11/09	Sell	18		ZIMMER HOLDINGS INC		989566P102	765.35	00	00
05/19/09	Sell	12		ZOLL MEDICAL CORP		989922109	203.03	00	00
05/20/09	Sell	2		ZOLL MEDICAL CORP		989922109	33.88	00	00
05/20/09	Sell	6		ZOLL MEDICAL CORP		989922109	101.65	00	00
05/21/09	Sell	6		ZOLL MEDICAL CORP		989922109	98.28	00	00
05/22/09	Sell	2		ZOLL MEDICAL CORP		989922109	33.02	00	00
05/26/09	Sell	4		ZOLL MEDICAL CORP		989922109	66.74	00	00
05/26/09	Sell	7		ZOLL MEDICAL CORP		989922109	116.79	00	00
05/27/09	Sell	1		ZOLL MEDICAL CORP		989922109	16.69	00	00
05/27/09	Sell	1		ZOLL MEDICAL CORP		989922109	16.69	00	00
05/27/09	Sell	4		ZOLL MEDICAL CORP		989922109	66.78	00	00
05/28/09	Sell	13		ZOLL MEDICAL CORP		989922109	217.03	00	00
05/29/09	Sell	8		ZOLL MEDICAL CORP		989922109	135.18	00	00
05/29/09	Sell	10		ZOLL MEDICAL CORP		989922109	168.98	00	00
06/01/09	Sell	4		ZOLL MEDICAL CORP		989922109	69.44	00	00
06/01/09	Sell	7		ZOLL MEDICAL CORP		989922109	121.53	00	00
06/02/09	Sell	8		ZOLL MEDICAL CORP		989922109	137.55	00	00
Total							\$ 939,975.63	\$ 714.00	\$ 0.00

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Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
12/30/09	Sell	2	VMWARE INC CL A		928563402	85 17	00	00
12/30/09	Sell	13	VMWARE INC CL A		928563402	553.65	00	.00
12/30/09	Sell	3	VMWARE INC CL A		928563402	127 76	00	00
12/30/09	Sell	11	VMWARE INC CL A		928563402	468 47	00	00
12/30/09	Sell	5	VMWARE INC CL A		928563402	212 94	00	00
06/02/09	Sell	19	VODAFONE GROUP PLC NEW SPON ADR		92857W209	371 33	00	00
06/02/09	Sell	15	VODAFONE GROUP PLC NEW SPON ADR		92857W209	293.15	00	00
06/15/09	Sell	18	VODAFONE GROUP PLC NEW SPON ADR		92857W209	335 75	00	00
12/22/09	Sell	26	VODAFONE GROUP PLC NEW SPON ADR		92857W209	593 56	00	00
12/22/09	Sell	9	VODAFONE GROUP PLC NEW SPON ADR		92857W209	205 46	00	00
12/22/09	Sell	18	WAL MART STORES INC		931142103	966 26	00	00
01/09/09	Sell	9	WEBSENSE INC		947684106	135 44	00	00
01/12/09	Sell	14	WEBSENSE INC		947684106	202 43	00	00
01/13/09	Sell	2	WEBSENSE INC		947684106	28 13	00	00
01/13/09	Sell	6	WEBSENSE INC		947684106	84 40	00	00
01/13/09	Sell	1	WEBSENSE INC		947684106	14 06	00	00
01/14/09	Sell	9	WEBSENSE INC		947684106	121 58	00	00
01/14/09	Sell	6	WEBSENSE INC		947684106	81 05	00	00
01/15/09	Sell	10	WEBSENSE INC		947684106	134 36	00	00
01/16/09	Sell	8	WEBSENSE INC		947684106	109 36	00	00
10/28/09	Sell	25	WEBSENSE INC		947684106	423 52	00	00
10/29/09	Sell	6	WEBSENSE INC		947684106	100 62	00	.00
10/29/09	Sell	9	WEBSENSE INC		947684106	150 94	00	00
10/30/09	Sell	9	WEBSENSE INC		947684106	145 07	00	00
02/05/09	Sell	60	WELLS FARGO & CO NEW		949746101	989 38	00	00
12/30/09	Sell	152	WMS INDUSTRIES INC		929297109	6,085 16	00	00
04/07/09	Sell	58	WOLSELEY PLC ADR		97786P100	188 49	00	00
08/18/09	Sell	51	WOLSELEY PLC ADR		97786P100	116.19	00	00
08/18/09	Sell	89	WOLSELEY PLC ADR		97786P100	202.78	00	00
08/20/09	Sell	344	WOLSELEY PLC ADR		97786P100	791 86	00	.00
05/04/09	Redemption		WOLSELEY PLC ADR		97786P100	1,001 15	00	00

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACE LTD	Trade	6 000		10/30/08	06/03/09	272.12	338.60	-66.48		Y
	Trade	3.000		10/29/08	08/19/09	149.58	162.06	-12.48		Y
AGL RESOURCES INC	Trade	1 000		10/29/08	02/06/09	33.62	28.43	5.19		Y
	Trade	8 000		11/13/08	02/06/09	269.01	230.96	38.05		Y
AIM FLOATING RATE FUND CLASS A	Trade	17,985 612		01/13/09	12/29/09	131,649.70	100,000.00	31,649.70		Y
	Trade	963 154	Earnings		12/29/09	7,050.00	6,365.41	684.59		Y
AIRGAS INC	Trade	36 000		01/09/09	08/18/09	1,581.03	1,387.43	193.60		Y
AKZO NOBEL NV ADR	Trade	29 000		10/10/08	04/06/09	1,205.42	1,095.87	109.55		Y
	Trade	13 000		10/13/08	04/06/09	540.36	525.52	14.84		Y
	Trade	3 000		10/21/08	04/06/09	124.70	116.62	8.08		Y
	Trade	6 000		10/22/08	04/06/09	249.40	220.20	29.20		Y
	Trade	6 000		01/14/09	04/06/09	249.40	241.49	7.91		Y
	Trade	9 000		01/15/09	04/06/09	374.09	355.63	18.46		Y
	Trade	11 000		01/20/09	04/06/09	457.23	427.45	29.78		Y
ALLERGAN INC	Trade	3 000		07/17/08	04/07/09	142.77	158.69	-15.92		Y
	Trade	5 000		09/19/08	04/07/09	237.96	290.27	-52.31		Y
ALLIANZ SE ADR	Trade	19 000		07/09/08	04/16/09	179.27	332.23	-152.96		Y
	Trade	90 000		07/10/08	04/16/09	849.16	1,599.22	-750.06		Y
	Trade	14 000		07/11/08	04/16/09	132.09	242.63	-110.54		Y
	Trade	22 000		07/17/08	04/16/09	207.57	375.17	-167.60		Y
	Trade	67 000		07/21/08	04/16/09	632.15	1,198.52	-566.37		Y
	Trade	27 000		09/19/08	04/16/09	254.75	395.51	-140.76		Y
ALPHA BANK A E SPON ADR	Trade	329 000		04/11/08	04/06/09	619.17	2,671.35	-2,052.18		Y
	Trade	41 000		04/14/08	04/06/09	77.15	325.68	-248.53		Y
	Trade	7 000		04/15/08	04/06/09	13.16	55.81	-42.65		Y
	Trade	6 000		04/16/08	04/06/09	11.28	49.92	-38.64		Y
	Trade	15 000		04/17/08	04/06/09	28.22	126.09	-97.87		Y
	Trade	20 000		07/17/08	04/06/09	37.63	150.64	-113.01		Y
	Trade	24 000		07/17/08	04/07/09	42.82	180.77	-137.95		Y
	Trade	5 000		09/19/08	04/07/09	8.91	29.20	-20.29		Y
	Trade	28 000		09/22/08	04/07/09	49.96	165.57	-115.61		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALPHA BANK A E SPON ADR	Trade	6,000		09/19/08	04/08/09	11 10	35 04	-23.94		Y
	Trade	2,000		09/19/08	04/09/09	4 39	11 68	-7 29		Y
ALPHA NATURAL RESOURCES INC	Cash in lieu	.628		10/22/08	08/03/09	21 32	9 06	12.26		Y
AMERICAN CAMPUS COMMUNITIES INC REITS	Trade	3,000		07/07/08	01/29/09	66.28	83 50	-17.22		Y
AMGEN INC	Trade	7,000		09/10/08	08/19/09	420 39	434.45	-14.06		Y
	Trade	2,000		09/15/08	08/19/09	120.11	124 71	-4 60		Y
AMPHENOL CORP NEW CL A	Trade	20,000		03/09/09	12/30/09	928 64	464.00	464.64		Y
	Trade	7,000		06/26/09	12/30/09	325 02	222.69	102 33		Y
	Trade	9,000		07/02/09	12/30/09	417 89	289 06	128 83		Y
ANADARKO PETROLEUM CORP	Trade	14,000		11/10/08	05/12/09	635.55	530.79	104 76		Y
	Trade	18,000		12/18/08	05/12/09	817.13	667.60	149.53		Y
	Trade	7,000		02/05/09	05/12/09	317.77	286 71	31 06		Y
	Trade	14,000		02/06/09	05/12/09	635.55	578 14	57 41		Y
	Trade	20,000		12/18/08	05/13/09	885.56	741 78	143 78		Y
	Trade	35,000		12/18/08	10/08/09	2,369.92	1,298 11	1,071 81		Y
	Trade	21,000		11/05/08	10/09/09	1,416 11	743.56	672 55		Y
	Trade	24,000		11/06/08	10/09/09	1,618.40	810 02	808 38		Y
	Trade	5,000		11/07/08	10/09/09	337.17	176 99	160 18		Y
	Trade	6,000		11/11/08	10/09/09	404 59	222 30	182 29		Y
	Trade	19,000		11/12/08	10/09/09	1,281 23	684 57	596 66		Y
	Trade	30,000		12/18/08	10/09/09	2,023.01	1,112 67	910 34		Y
	Trade	2,000		11/06/08	10/12/09	137.13	67 50	69 63		Y
ANALOG DEVICES INC	Trade	5,000		09/19/08	02/26/09	96.30	137 90	-41 60		Y
ANGIODYNAMICS INC	Trade	3,000		10/09/08	02/03/09	41.05	40.07	.98		Y
	Trade	1,000		10/09/08	02/05/09	13 76	13 36	40		Y
	Trade	1,000		10/09/08	02/06/09	13.67	13 36	31		Y
	Trade	1,000		10/09/08	02/09/09	13.64	13 36	.28		Y
	Trade	15,000		10/09/08	02/23/09	184 02	200.35	-16 33		Y
	Trade	8,000		10/09/08	09/21/09	109 91	106 86	3.05		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ANGIODYNAMICS INC	Trade	4 000		10/10/08	09/21/09	54 95	47 82	7 13		Y
	Trade	13 000		10/13/08	09/21/09	178 61	167 74	10 87		Y
	Trade	22 000		10/10/08	09/22/09	296 78	262 99	33 79		Y
	Trade	13 000		01/23/09	09/22/09	175 37	155 27	20 10		Y
	Trade	1 000		01/22/09	10/29/09	15 32	11 89	3 43		Y
	Trade	8 000		07/23/09	10/29/09	122 65	94 53	28 12		Y
ANHEUSER-BUSCH INBEV SA ORD	Trade	7 000		01/14/09	10/20/09	350 39	171 44	178 95		Y
	Trade	15 000		01/14/09	11/09/09	738 04	367 38	370 66		Y
	Trade	15 000		01/16/09	11/09/09	738 04	344 36	393 68		Y
	Trade	15 000		01/15/09	11/10/09	722 88	334 39	388 49		Y
	Trade	15 000		01/16/09	11/10/09	722 88	344 36	378 52		Y
	Trade	20 000		01/15/09	11/11/09	951 09	445 85	505 24		Y
	Trade	1 000		01/15/09	11/12/09	47 26	22 29	24 97		Y
	Trade	200 000		06/23/09	12/30/09	20,783 46	14,356 00	6,427 46		Y
APPLE INC	Trade	2 000		02/05/09	06/18/09	273 81	193 03	80 78		Y
	Trade	23 000		03/20/09	06/18/09	3,148 88	2,357 48	791 40		Y
	Trade	2 000		12/18/08	06/19/09	276 09	179 46	96 63		Y
	Trade	7 000		02/05/09	06/19/09	966 33	675 61	290 72		Y
	Trade	5 000		12/18/08	08/19/09	822 21	448 65	373 56		Y
	Trade	5 000		12/18/08	10/08/09	949 62	448 65	500 97		Y
	Trade	16 000		12/18/08	10/09/09	3,040 03	1,435 67	1,604 36		Y
AT&T INC	Trade	38 000		08/12/08	03/30/09	951 13	1,206 26	-255 13		Y
	Trade	395 000		02/18/09	12/30/09	11,297 69	9,080 66	2,217 03		Y
	Trade	110 000		06/23/09	12/30/09	3,146 19	2,715 63	430 56		Y
AUTODESK INC	Trade	28 000		10/14/09	12/22/09	699 14	702 13	-2 99		Y
AVON PRODUCTS INC	Trade	23 000		05/27/09	12/22/09	731 38	608 45	122 93		Y
AXA ADR	Trade	3 000		07/17/08	04/06/09	40 83	87 85	-47 02		Y
	Trade	16 000		12/10/08	04/06/09	217 74	344 52	-126 78		Y
BAKER HUGHES INC	Trade	15 000		10/16/09	12/22/09	611 23	707 37	-96 14		Y
	Trade	4 000		10/19/09	12/22/09	162 99	188 20	-25 21		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BANCO BILBAO VIZCAYA	Trade	9,000		09/03/08	04/16/09	94.47	154.76	-60.29		Y
ARGENTARIA S A SPON	Trade	310,000		09/04/08	04/16/09	3,254.08	5,145.28	-1,891.20		Y
ADR	Trade	43,000		09/19/08	04/16/09	451.37	720.53	-269.16		Y
	Trade	5,000		09/04/08	04/29/09	53.45	82.99	-29.54		Y
BANK OF NEW YORK MELLON	Trade	13,000		10/01/08	08/19/09	371.42	421.41	-49.99		Y
CORP	Trade	7,000		10/02/08	08/19/09	199.99	222.26	-22.27		Y
BANK OF AMER CORP	Trade	69,000		10/09/09	12/22/09	1,059.82	1,203.44	-143.62		Y
BARCLAYS PLC ADR	Trade	20,000		03/04/08	01/21/09	63.90	731.02	-667.12		Y
	Trade	11,000		03/05/08	01/21/09	35.15	391.75	-356.60		Y
	Trade	3,000		03/07/08	01/21/09	9.59	103.18	-93.59		Y
	Trade	19,000		09/02/08	01/21/09	60.71	490.69	-429.98		Y
	Trade	26,000		09/19/08	01/21/09	83.07	772.54	-689.47		Y
BAYER A G SPON ADR	Trade	3,000		06/30/09	12/30/09	240.20	160.22	79.98		Y
	Trade	15,000		08/18/09	12/30/09	1,201.01	899.61	301.40		Y
BNP PARIBAS SA ADR	Trade	14,000		09/19/08	06/15/09	488.00	699.75	-211.75		Y
	Trade	5,000		09/19/08	08/18/09	193.84	249.91	-56.07		Y
	Trade	20,000		09/11/08	08/20/09	790.28	869.79	-79.51		Y
	Trade	6,000		09/19/08	08/20/09	237.08	299.89	-62.81		Y
BOSTON BEER CO INC CL A	Trade	3,000		02/05/09	05/07/09	86.57	82.64	3.93		Y
	Trade	3,000		02/06/09	05/07/09	86.57	85.38	1.19		Y
	Trade	1,000		02/09/09	05/07/09	28.85	28.38	.47		Y
	Trade	2,000		02/10/09	05/07/09	57.71	55.59	2.12		Y
	Trade	2,000		02/05/09	06/05/09	61.02	55.10	5.92		Y
	Trade	3,000		02/03/09	06/09/09	89.55	76.57	12.98		Y
	Trade	2,000		02/05/09	06/09/09	59.70	55.10	4.60		Y
	Trade	2,000		02/11/09	06/09/09	59.70	54.88	4.82		Y
	Trade	3,000		02/12/09	06/10/09	87.76	81.94	5.82		Y
	Trade	3,000		02/04/09	08/25/09	122.51	79.22	43.29		Y
	Trade	2,000		02/12/09	08/25/09	81.67	54.63	27.04		Y
	Trade	3,000		02/03/09	08/26/09	121.35	76.57	44.78		Y
	Trade	2,000		03/04/09	08/26/09	80.90	45.57	35.33		Y
	Trade	1,000		03/04/09	08/27/09	40.08	22.78	17.30		Y

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UBS Financial Services Inc.

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BOSTON BEER CO INC CL A	Trade	1 000		03/05/09	08/27/09	40.08	22.72	17.36		Y
	Trade	3 000		03/27/09	08/27/09	120.27	66.32	53.95		Y
	Trade	1 000		03/06/09	08/28/09	39.61	21.91	17.70		Y
	Trade	4 000		03/27/09	08/28/09	158.47	88.42	70.05		Y
	Trade	5 000		03/10/09	09/01/09	200.83	109.56	91.27		Y
	Trade	1 000		03/06/09	09/04/09	38.80	21.91	16.89		Y
	Trade	2 000		03/10/09	09/04/09	77.62	43.82	33.80		Y
	Trade	2 000		03/25/09	09/04/09	77.62	41.58	36.04		Y
	Trade	5 000		03/25/09	09/09/09	194.54	103.95	90.59		Y
	Trade	3 000		03/25/09	09/10/09	115.86	62.37	53.49		Y
BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR	Trade	7 000		03/05/08	01/14/09	172.63	315.59	-142.96		Y
	Trade	2 000		03/04/08	01/15/09	47.50	88.83	-41.33		Y
	Trade	12 000		03/05/08	01/15/09	285.02	541.01	-255.99		Y
	Trade	10 000		03/04/08	01/20/09	241.15	444.13	-202.98		Y
	Trade	12 000		07/17/08	07/14/09	379.53	435.49	-55.96		Y
	Trade	13 000		07/21/08	07/14/09	411.15	486.67	-75.52		Y
	Trade	19 000		09/19/08	07/14/09	600.93	625.41	-24.48		Y
	Trade	3 000		09/19/08	07/15/09	96.82	98.75	-1.93		Y
	Trade	7 000		10/10/08	08/25/09	251.74	181.10	70.64		Y
BROADCOM CORP CL A	Trade	17 000		07/08/09	08/20/09	466.99	395.72	71.27		Y
	Trade	30 000		07/08/09	09/02/09	830.52	698.33	132.19		Y
	Trade	29 000		07/08/09	09/03/09	799.86	675.05	124.81		Y
	Trade	36 000		02/09/09	12/30/09	1,144.41	651.72	492.69		Y
	Trade	32 000		07/08/09	12/30/09	1,017.25	744.89	272.36		Y
BUCYRUS INTL INC NEW	Trade	30 000		06/01/09	12/30/09	1,697.96	919.89	778.07		Y
	Trade	11 000		10/29/09	12/30/09	622.58	520.31	102.27		Y
CANADIAN PACIFIC RAILWAY LTD	Trade	10 000		08/20/09	09/14/09	488.34	466.89	21.45		Y
	Trade	22 000		08/20/09	09/15/09	1,090.72	1,027.15	63.57		Y
	Trade	5 000		08/17/09	12/17/09	263.17	227.29	35.88		Y
	Trade	15 000		08/18/09	12/17/09	789.50	693.92	95.58		Y
	Trade	7 000		08/20/09	12/17/09	368.43	326.82	41.61		Y
CANON INC ADR JAPAN SPON ADR	Trade	9 000		07/17/08	06/02/09	301.68	429.57	-127.89		Y
	Trade	2 000		08/21/08	06/02/09	67.03	92.13	-25.10		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CANON INC ADR JAPAN SPON ADR	Trade	4,000		08/22/08	06/02/09	134.07	183.62	-49.55		Y
	Trade	5,000		08/22/08	08/17/09	171.68	229.53	-57.85		Y
	Trade	21,000		08/22/08	08/18/09	727.70	964.01	-236.31		Y
	Trade	9,000		09/19/08	08/18/09	311.87	366.52	-54.65		Y
	Trade	10,000		09/19/08	08/20/09	374.44	407.25	-32.81		Y
CAPSTEAD MTG CORP NEW 2001 REITS	Trade	21,000		05/01/08	01/09/09	248.05	266.95	-18.90		Y
	Trade	13,000		04/25/08	01/12/09	147.20	159.37	-12.17		Y
	Trade	17,000		04/28/08	01/12/09	192.50	209.28	-16.78		Y
	Trade	18,000		04/30/08	01/12/09	203.82	223.74	-19.92		Y
	Trade	9,000		05/01/08	01/12/09	101.91	114.41	-12.50		Y
	Trade	14,000		04/29/08	01/13/09	159.37	171.36	-11.99		Y
	Trade	3,000		04/29/08	01/14/09	33.91	36.72	-2.81		Y
	Trade	3,000		04/29/08	01/15/09	32.35	36.72	-4.37		Y
CARNIVAL CORP NEW (PAIRED STOCK)	Trade	5,000		09/19/08	08/19/09	147.20	201.41	-54.21		Y
CDN NATL RAILWAY CO FOREIGN STOCK	Trade	21,000		04/17/08	01/16/09	732.16	1,061.34	-329.18		Y
	Trade	10,000		08/06/08	01/16/09	348.64	538.50	-189.86		Y
	Trade	21,000		09/19/08	01/16/09	732.16	1,125.98	-393.82		Y
	Trade	13,000		04/17/08	01/20/09	409.09	657.02	-247.93		Y
	Trade	4,000		07/17/08	05/15/09	156.09	200.38	-44.29		Y
CELANESE CORP NEW SER A	Trade	17,000		11/14/08	05/21/09	332.27	220.52	111.75		Y
	Trade	23,000		11/17/08	05/21/09	449.53	298.63	150.90		Y
	Trade	7,000		11/14/08	05/22/09	137.24	90.80	46.44		Y
	Trade	15,000		11/18/08	05/22/09	294.10	187.25	106.85		Y
	Trade	20,000		11/13/08	05/26/09	387.16	246.91	140.25		Y
	Trade	15,000		11/18/08	05/26/09	290.36	187.25	103.11		Y
	Trade	7,000		11/19/08	05/26/09	135.50	82.33	53.17		Y
	Trade	46,000		11/19/08	05/27/09	913.43	540.99	372.44		Y
	Trade	13,000		11/20/08	05/27/09	258.14	124.36	133.78		Y
	Trade	24,000		11/20/08	05/28/09	466.98	229.58	237.40		Y
CHEVRON CORP	Trade	5,000		06/02/09	08/19/09	341.11	346.72	-5.61		Y
CINEMARK HOLDINGS INC	Trade	8,000		05/29/08	04/06/09	76.57	115.44	-38.87		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CINEMARK HOLDINGS INC	Trade	16 000		05/30/08	04/06/09	153 15	233 03	-79 88		Y
	Trade	12 000		06/02/08	04/06/09	114 86	175 87	-61 01		Y
	Trade	18 000		10/03/08	06/09/09	192 60	256 04	-63 44		Y
	Trade	12 000		10/03/08	06/10/09	124 79	170 69	-45 90		Y
	Trade	16 000		10/03/08	07/06/09	174 05	227 59	-53 54		Y
CISCO SYSTEMS INC	Trade	25 000		02/06/09	08/19/09	531 82	419 56	112 26		Y
	Trade	75 000		02/06/09	10/08/09	1,777.79	1,258 69	519 10		Y
	Trade	177 000		02/05/09	10/09/09	4,232 58	2,894 27	1,338 31		Y
	Trade	75 000		02/06/09	10/09/09	1,793 46	1,258 69	534 77		Y
COGNIZANT TECH SOLUTIONS CRP	Trade	39 000		04/15/09	12/30/09	1,764 86	862 41	902 45		Y
COINSTAR INC	Trade	1 000		07/02/08	02/06/09	24 71	31 56	-6 85		Y
	Trade	4 000		07/03/08	02/06/09	98 88	127 15	-28 27		Y
	Trade	12 000		07/07/08	02/06/09	296 66	381 81	-85 15		Y
COMCAST CORP NEW CL A	Trade	11 000		09/19/08	08/19/09	160 58	229 08	-68 50		Y
CONCUR TECHNOLOGIES INC	Trade	14 000		02/06/09	12/30/09	602 72	323 62	279 10		Y
	Trade	11 000		02/27/09	12/30/09	473 57	231 54	242 03		Y
	Trade	12 000		03/24/09	12/30/09	516 62	240 28	276 34		Y
	Trade	7 000		10/28/09	12/30/09	301 36	260 43	40 93		Y
	Trade	6 000		11/25/09	12/30/09	258 31	228 23	30 08		Y
	Trade	8 000		12/07/09	12/30/09	344 41	303 67	40 74		Y
CONMED CORP	Trade	6 000		03/04/09	09/22/09	119 53	75 43	44 10		Y
	Trade	9 000		03/05/09	09/22/09	179 29	111 31	67 98		Y
CONSTELLATION BRANDS INC CL A	Trade	9 000		08/07/08	03/10/09	117 48	193 62	-76 14		Y
	Trade	18 000		08/08/08	03/10/09	234 97	402 42	-167 45		Y
	Trade	15 000		09/19/08	03/10/09	195 81	336 07	-140 26		Y
CONTINENTAL RESOURCES INC (OKLA)	Trade	17 000		03/23/09	12/30/09	745 94	435 34	310 60		Y
	Trade	10 000		03/27/09	12/30/09	438 79	226 28	212 51		Y
	Trade	7 000		04/06/09	12/30/09	307 15	165 95	141 20		Y
	Trade	7 000		11/23/09	12/30/09	307 15	265 13	42 02		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
COOPER COMPANIES INC NEW	Trade	5 000		10/14/08	02/26/09	114 80	118 62	-3 82		Y
	Trade	10 000		10/14/08	02/27/09	230 20	237 24	-7 04		Y
	Trade	1 000		10/15/08	02/27/09	23 01	23 32	- 31		Y
	Trade	4 000		10/14/08	02/27/09	91 99	94 90	-2 91		Y
	Trade	21 000		10/15/08	03/02/09	410 81	489 79	-78 98		Y
	Trade	7 000		05/19/09	07/13/09	170 09	195 64	-25 55		Y
	Trade	7 000		06/12/09	07/13/09	170 09	192 47	-22 38		Y
COVIDIEN PLC	Trade	10 000		02/06/09	08/19/09	399 87	397 14	2 73		Y
CULLEN FROST BANKERS INC	Trade	7 000		09/30/08	04/06/09	337 07	397 75	-60 68		Y
	Trade	3 000		11/18/08	04/21/09	143 91	152 42	-8 51		Y
DAKTRONICS INC	Trade	15 000		04/17/08	03/04/09	98 01	288 90	-190 89		Y
	Trade	38 000		04/17/08	03/05/09	231 66	731 88	-500 22		Y
DBS GROUP HLDGS LTD. SPON ADR	Trade	10 000		04/17/09	08/18/09	348 12	250 78	97 34		Y
	Trade	4 000		04/16/09	10/20/09	153 62	100 00	53 62		Y
	Trade	11 000		04/17/09	10/20/09	422 46	275 86	146 60		Y
	Trade	10 000		04/16/09	10/21/09	375 99	249 99	126 00		Y
DISCOVER FINANCIAL SERVICES	Trade	6 000		02/05/08	02/05/09	43 37	95 45	-52 08		Y
	Trade	24 000		02/07/08	02/05/09	173 49	385 84	-212 35		Y
	Trade	23 000		02/06/08	02/06/09	173 03	361 85	-188 82		Y
	Trade	17 000		02/08/08	02/06/09	127 89	269 50	-141 61		Y
	Trade	36 000		02/11/08	02/06/09	270 83	550 30	-279 47		Y
	Trade	15 000		08/07/08	02/06/09	112 84	216 93	-104 09		Y
	Trade	12 000		09/19/08	02/06/09	90 27	183 85	-93 58		Y
	Trade	24 000		08/07/08	02/09/09	188 98	347 09	-158 11		Y
	Trade	59 000		07/08/09	10/09/09	963 30	558 53	404 77		Y
	Trade	72 000		07/08/09	10/12/09	1 184 15	681 60	502 55		Y
EDWARDS LIFESCIENCES CORP	Trade	4 000		01/15/08	01/15/09	226 42	185 64	40 78		Y
	Trade	5 000		02/13/08	01/15/09	283 03	225 32	57 71		Y
	Trade	13 000		02/12/08	01/22/09	731 88	585 41	146 47		Y
	Trade	1 000		02/13/08	01/22/09	56 30	45 06	11 24		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ENTERTAINMENT PROPERTIES TR	Trade	6 000		07/07/08	05/07/09	126 93	293 75	-166 82		Y
	Trade	13 000		01/15/09	05/07/09	275 02	326 70	-51 68		Y
	Trade	1 000		01/15/09	09/28/09	34 26	25 13	9 13		Y
	Trade	11 000		04/06/09	09/28/09	376 89	197 99	178 90		Y
EXELON CORP	Trade	18 000		09/22/08	03/30/09	803 87	1 237 00	-433 13		Y
	Trade	6 000		05/12/09	12/22/09	296 33	306 26	-9 93		Y
	Trade	15 000		05/13/09	12/22/09	740 83	742 43	-1 60		Y
EXPRESS SCRIPTS INC	Trade	10 000		01/09/09	12/30/09	874 98	531 71	343 27		Y
	Trade	10 000		03/03/09	12/30/09	874 98	469 28	405 70		Y
	Trade	4 000		05/21/09	12/30/09	349 99	238 53	111 46		Y
	Trade	2 000		05/22/09	12/30/09	175 00	121 14	53 86		Y
FACTSET RESH SYSTEMS INC	Trade	10 000		09/22/08	01/23/09	404 18	535 64	-131 46		Y
	Trade	1 000		09/23/08	01/23/09	40 41	54 56	-14 15		Y
	Trade	2 000		09/22/08	01/26/09	81 71	107 13	-25 42		Y
FIFTH THIRD BANCORP	Trade	43 000		07/14/08	01/21/09	172 14	500 59	-328 45		Y
	Trade	3 000		07/14/08	01/22/09	8 56	34 92	-26 36		Y
	Trade	21 000		07/15/08	01/22/09	59 99	221 68	-161 69		Y
FIRSTENERGY CORP	Trade	15 000		09/10/09	12/22/09	706 23	698 34	7 89		Y
FOUNDATION COAL HOLDINGS INC **MERGER EFF. 07/2009**	Trade	9 000		10/22/08	06/02/09	282 16	140 85	141 31		Y
FRESH DEL MONTE PRODUCE INC	Trade	10 000		07/25/08	02/03/09	249 49	249 10	39		Y
	Trade	7 000		07/25/08	02/04/09	174 43	174 37	06		Y
	Trade	14 000		09/02/08	07/29/09	297 89	331 66	-33 77		Y
	Trade	8 000		09/25/08	07/29/09	170 22	197 32	-27 10		Y
	Trade	10 000		09/26/08	07/29/09	212 78	244 31	-31 53		Y
	Trade	8 000		09/16/08	09/14/09	189 94	172 67	17 27		Y
GENL DYNAMICS CORP	Trade	5 000		06/19/09	08/19/09	277 63	294 34	-16 71		Y
	Trade	18 000		10/09/09	12/22/09	1 227 56	1 185 90	41 66		Y
	Trade	3 000		06/18/09	12/30/09	206 09	174 89	31 20		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GENL DYNAMICS CORP	Trade	18 000		06/19/09	12/30/09	1,236 56	1,059 61	176 95		Y
	Trade	10 000		10/08/09	12/30/09	686 98	652 24	34 74		Y
	Trade	10 000		10/09/09	12/30/09	686 98	658 83	28 15		Y
GENL ELECTRIC CO	Trade	91 000		09/30/08	08/13/09	1,287 08	2,254.16	-967 08		Y
	Trade	41 000		10/01/08	08/13/09	579 89	962 75	-382 86		Y
	Trade	288 000		04/07/09	10/09/09	4,656 15	3,085 86	1,570 29		Y
GENZYME CORP GEN DIV	Trade	5 000		12/05/08	08/19/09	254 73	317 49	-62 76		Y
	Trade	10 000		12/04/08	10/27/09	524 05	620 45	-96 40		Y
	Trade	4 000		12/05/08	10/27/09	209 62	253 99	-44 37		Y
	Trade	13 000		03/03/09	10/27/09	681 27	680 76	51		Y
GULFMARK OFFSHORE INC	Trade	5 000		09/25/08	01/28/09	132 77	250 28	-117 51		Y
	Trade	5 000		09/23/08	01/29/09	126 70	249 26	-122 56		Y
	Trade	3 000		08/25/08	01/30/09	73 71	146 00	-72 29		Y
	Trade	4 000		09/24/08	01/30/09	98 28	198 17	-99 89		Y
HALLIBURTON CO (HOLDING COMPANY)	Trade	7 000		09/19/08	05/29/09	160 45	260 54	-100.09		Y
	Trade	126 000		11/06/08	10/19/09	3,897 05	2,298 63	1,598 42		Y
HARRIS CORP DELA	Trade	7,000		04/30/09	12/15/09	315 20	215 44	99 76		Y
HARRIS STRATEX NETWORKS INC*NAME CHANGE 01/ 2010*	Trade	5,000		05/27/09	06/16/09	30 08	26 83	3 25		Y
	Trade	9,000		05/27/09	06/17/09	53 87	48 29	5 58	1	Y
HAYNES INTL INC NEW	Trade	13 000		04/17/08	03/23/09	206 37	797 81	-591 44		Y
	Trade	6 000		05/09/08	03/23/09	95 24	359 65	-264 41		Y
	Trade	1 000		06/19/08	03/23/09	15 87	57 09	-41 22		Y
	Trade	4 000		06/20/08	03/23/09	63 49	230 12	-166 63		Y
	Trade	5,000		06/23/08	03/23/09	79 37	290 64	-211 27		Y
	Trade	7 000		06/24/08	03/23/09	111 12	411 24	-300 12		Y
HEIDRICK & STRUGGLES INTL	Trade	5 000		09/29/09	12/04/09	155 15	117 27	37 88		Y
	Trade	10 000		09/29/09	12/07/09	310 59	234 53	76 06		Y
	Trade	10,000		09/30/09	12/07/09	310 59	231 86	78 73		Y
	Trade	10 000		09/30/09	12/08/09	308 32	231 86	76 46		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HEIDRICK & STRUGGLES INTL	Trade	5,000		09/30/09	12/10/09	150 29	115 93	34 36		Y
	Trade	5,000		09/30/09	12/15/09	149 18	115 93	33 25		Y
	Trade	5,000		09/30/09	12/16/09	146 28	115 93	30 35		Y
	Trade	5,000		10/01/09	12/16/09	146 28	112 92	33 36		Y
	Trade	3,000		10/01/09	12/17/09	86 07	67 75	18 32		Y
HEWLETT PACKARD CO	Trade	18,000		09/09/08	02/05/09	632 48	837 82	-205 34		Y
	Trade	9,000		09/10/08	02/05/09	316 24	420 31	-104 07		Y
	Trade	9,000		09/11/08	02/05/09	316 24	420 72	-104 48		Y
	Trade	10,000		09/12/08	02/05/09	351 38	466 70	-115 32		Y
	Trade	2,000		09/16/08	02/05/09	70 27	92 67	-22 40		Y
	Trade	55,000		09/19/08	02/05/09	1,932 60	2,684 48	-751 88		Y
	Trade	48,000		08/07/08	02/06/09	1,725 98	2,188 79	-462 81		Y
	Trade	24,000		08/08/08	02/06/09	862 99	1,097 19	-234 20		Y
	Trade	31,000		08/11/08	02/06/09	1,114 69	1,423 61	-308 92		Y
	Trade	14,000		08/12/08	02/06/09	503 41	638 13	-134 72		Y
	Trade	17,000		08/14/08	02/06/09	611 28	772 43	-161 15		Y
	Trade	13,000		09/08/08	02/06/09	467 45	593 74	-126 29		Y
	Trade	10,000		09/15/08	02/06/09	359 58	458 40	-98 82		Y
	Trade	2,000		09/16/08	02/06/09	71 92	92 67	-20 75		Y
	Trade	15,000		06/19/09	08/19/09	657 35	573 60	83 75		Y
	Trade	64,000		06/19/09	10/27/09	3,034 29	2,447 37	586 92		Y
	Trade	26,000		06/22/09	10/27/09	1,232 67	983 58	249 09		Y
	Trade	19,000		06/22/09	12/22/09	996 01	718 77	277 24		Y
	Trade	45,000		06/22/09	12/30/09	2,369 63	1,702 35	667 28		Y
HEXCEL CORP NEW	Trade	29,000		08/06/08	06/03/09	339 87	550 01	-210 14		Y
HILL ROM HOLDINGS INC	Trade	10,000		02/10/09	06/29/09	162 16	126 36	35 80		Y
	Trade	5,000		02/10/09	09/15/09	114 97	63 18	51 79		Y
	Trade	16,000		02/06/09	09/16/09	368 01	199 22	168 79		Y
	Trade	34,000		02/06/09	09/21/09	756 36	423 34	333 02		Y
	Trade	16,000		02/09/09	09/21/09	355 93	197 62	158 31		Y
	Trade	4,000		02/09/09	09/22/09	89 35	49 40	39 95		Y

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HOME DEPOT INC NTS B/E 05 400% 030116 DTD032406 FC090106	Trade	40,000,000		04/09/09	12/24/09	42,202.00	37,120.00	5,082.00		Y
HONDA MOTOR CO ADR JAPAN ADR	Trade	15,000		07/17/08	07/14/09	388.98	493.19	-104.21		Y
	Trade	27,000		09/19/08	07/14/09	700.16	872.29	-172.13		Y
ICICI BANK LTD SPON ADR	Trade	35,000		05/11/09	10/19/09	1,448.60	764.19	684.41		Y
	Trade	31,000		05/11/09	10/20/09	1,271.04	676.85	594.19		Y
ILLINOIS TOOL WORKS INC	Trade	18,000		07/17/08	06/02/09	648.89	848.30	-199.41		Y
	Trade	14,000		09/19/08	06/02/09	504.69	688.73	-184.04		Y
	Trade	3,000		07/17/08	06/18/09	109.07	141.38	-32.31		Y
IMPERIAL TOBACCO GROUP PLC SPON ADR	Trade	4,000		01/15/09	09/15/09	231.96	220.16	11.80		Y
	Trade	8,000		01/16/09	09/15/09	463.92	456.61	7.31		Y
INDITEX SA	Trade	10,000		01/14/09	08/18/09	526.43	389.17	137.26		Y
	Trade	5,000		01/14/09	08/20/09	263.52	194.59	68.93		Y
	Trade	2,000		01/20/09	08/20/09	105.40	75.20	30.20		Y
ING GROEP N V NL SPON ADR	Trade	8,000		10/02/08	04/08/09	55.01	178.05	-123.04		Y
	Trade	51,000		10/21/08	04/08/09	350.76	636.58	-285.82		Y
	Trade	8,000		10/21/08	04/22/09	64.31	99.86	-35.55		Y
	Trade	64,000		10/22/08	04/22/09	514.56	722.09	-207.53		Y
	Trade	6,000		10/22/08	09/14/09	96.30	67.70	28.60		Y
	Trade	44,000		10/23/08	09/14/09	706.28	436.19	270.09		Y
INTEGRA LIFESCIENCES HLDG	Trade	6,000		03/31/09	09/21/09	210.90	148.60	62.30		Y
	Trade	13,000		04/01/09	09/21/09	456.95	311.19	145.76		Y
	Trade	6,000		05/07/09	09/21/09	210.90	143.60	67.30		Y
	Trade	3,000		05/06/09	09/22/09	104.31	71.54	32.77		Y
	Trade	6,000		05/07/09	09/22/09	208.63	143.60	65.03		Y
INTEL CORP	Trade	52,000		07/17/08	06/02/09	844.99	1,156.97	-311.98		Y
	Trade	2,000		09/19/08	06/02/09	32.49	38.50	-6.01		Y
	Trade	34,000		09/19/08	06/18/09	542.48	654.51	-112.03		Y
	Trade	90,000		12/18/08	07/09/09	1,450.42	1,326.22	124.20		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
INTERFACE INC CLASS A										
Trade	Trade	7 000		05/29/08	05/19/09	44 91	98.08	-53 17		Y
Trade	Trade	6 000		05/30/08	05/19/09	38 49	83.97	-45 48		Y
Trade	Trade	15,000		06/02/08	05/19/09	96 24	207.14	-110 90		Y
Trade	Trade	4,000		06/02/08	05/20/09	26.71	55 24	-28.53		Y
Trade	Trade	6 000		10/29/08	05/20/09	40.07	39 96	11		Y
INTERPUBLIC GROUP OF COS INC										
Trade	Trade	35,000		09/19/08	08/19/09	206 60	296 45	-89 85		Y
JOHNSON CONTROLS INC										
Trade	Trade	43 000		03/24/09	07/07/09	859 10	542.33	316.77		Y
Trade	Trade	11 000		03/23/09	07/08/09	217 85	134.05	83 80		Y
Trade	Trade	50 000		03/24/09	07/08/09	990 27	630 62	359.65		Y
Trade	Trade	48,000		03/23/09	07/09/09	961 19	584 97	376 22		Y
Trade	Trade	28 000		03/20/09	07/10/09	557 18	316 47	240 71		Y
Trade	Trade	22,000		03/23/09	07/10/09	437 78	268 11	169 67		Y
Trade	Trade	72 000		03/20/09	07/13/09	1,443 85	813 78	630 07		Y
Trade	Trade	59 000		03/20/09	07/14/09	1,187 87	666 85	521 02		Y
Trade	Trade	53,000		03/20/09	07/15/09	1,107 57	599 03	508 54		Y
JOHNSON & JOHNSON COM										
Trade	Trade	21,000		03/11/09	04/07/09	1,083.37	1,005 63	77 74		Y
Trade	Trade	28 000		03/12/09	04/07/09	1,444 49	1,368 10	76 39		Y
Trade	Trade	27,000		03/13/09	04/07/09	1,392.90	1,350 28	42 62		Y
Trade	Trade	24 000		03/11/09	04/08/09	1,234 71	1,149 29	85 42		Y
Trade	Trade	16,000		03/10/09	04/09/09	822.06	761.54	60.52		Y
Trade	Trade	20,000		03/11/09	04/09/09	1,027.58	957 74	69.84		Y
Trade	Trade	27 000		03/10/09	04/13/09	1,387 23	1,285 10	102 13		Y
JPMORGAN CHASE & CO										
Trade	Trade	16 000		05/12/09	08/19/09	662 11	564.84	97 27		Y
Trade	Trade	30 000		05/12/09	12/22/09	1,255 55	1,059.08	196 47		Y
Trade	Trade	71 000		05/12/09	12/30/09	2,945 71	2,506.50	439 21		Y
KAO CORP REPSTG 10 SHS COM SPON ADR										
Trade	Trade	1 000		03/19/08	01/14/09	287.45	288 00	-.55		Y
Trade	Trade	1 000		09/19/08	01/15/09	288 39	281 55	6 84		Y
KNIGHT TRANSPORTATION INC										
Trade	Trade	17 000		08/29/08	03/30/09	252 27	303 78	-51 51		Y
KOMATSU LTD SPON ADR NEW										
Trade	Trade	4,000		03/04/08	01/14/09	203 96	397 71	-193.75		Y
Trade	Trade	1,000		03/05/08	01/14/09	50.98	98.66	-47.68		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
KOMATSU LTD SPON ADR NEW	Trade	1 000		07/17/08	01/14/09	50 98	104.73	-53 75		Y
	Trade	4 000		09/19/08	01/15/09	194 78	291 46	-96 68		Y
LIFE TIME FITNESS INC	Trade	3 000		07/02/08	04/22/09	53 39	89 64	-36 25		Y
	Trade	8 000		09/30/08	04/22/09	142 39	248 23	-105 84		Y
	Trade	5 000		07/02/08	04/23/09	86 96	149 40	-62 44		Y
	Trade	13 000		07/03/08	04/23/09	226 10	385 36	-159 26		Y
	Trade	5 000		07/07/08	04/23/09	86 96	146 33	-59 37		Y
	Trade	4 000		10/20/08	07/24/09	109 46	78 93	30 53		Y
	Trade	2 000		02/06/09	07/24/09	54 72	23 63	31 09		Y
LKQ CORP NEW	Trade	5 000		10/30/08	03/05/09	65 06	54 00	11 06		Y
	Trade	15 000		10/31/08	03/05/09	195 21	167 44	27 77		Y
LVMH MOET HENNESSY LOUIS NEW ADR	Trade	11 000		06/30/09	12/30/09	246 61	168 08	78 53		Y
	Trade	40 000		08/18/09	12/30/09	896 77	719 03	177 74		Y
MACY'S INC	Trade	23 000		10/30/08	05/21/09	264 09	263 99	.10		Y
	Trade	39 000		10/31/08	05/21/09	447 81	472 90	-25 09		Y
	Trade	44 000		10/29/08	08/13/09	708 58	468 16	240 42		Y
	Trade	31 000		10/30/08	08/13/09	499 22	355 82	143 40		Y
	Trade	34 000		10/28/08	08/14/09	521 58	319 78	201 80		Y
	Trade	1 000		10/29/08	08/14/09	15 33	10 64	4 69		Y
	Trade	16 000		10/23/08	08/17/09	231 75	143 73	88 02		Y
	Trade	19 000		10/24/08	08/17/09	275 21	174 72	100 49		Y
	Trade	13 000		10/27/08	08/17/09	188 30	119 45	68 85		Y
	Trade	7 000		10/28/08	08/17/09	101 39	65 84	35 55		Y
	Trade	60 000		10/23/08	08/18/09	866 36	538 99	327 37		Y
	Trade	20 000		11/20/08	08/18/09	288 79	119 79	169 00		Y
	Trade	57 000		11/20/08	08/20/09	839 59	341 40	498 19		Y
MARVELL TECHNOLOGY GROUP LTD	Trade	80 000		06/17/09	09/02/09	1,194 52	934 53	259 99		Y
	Trade	95 000		06/17/09	09/03/09	1,424 04	1,109 75	314 29		Y
	Trade	55 000		06/17/09	12/22/09	1,100 11	642 49	457 62		Y
	Trade	84 000		06/17/09	12/30/09	1,744 63	981 25	763 38		Y
MEDICAL ACTION INDUSTRS	Trade	3 000		03/10/09	05/29/09	30 27	18 06	12 21		Y
	Trade	14 000		03/10/09	06/04/09	153 03	84 28	68 75		Y

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UBS Financial Services Inc.

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MEDICAL ACTION INDUSTRS	Trade	6 000		03/11/09	06/04/09	65 58	35 46	30.12		Y
	Trade	16 000		03/11/09	06/05/09	175 19	94 56	80 63		Y
	Trade	15 000		03/11/09	06/08/09	174 33	88 65	85 68		Y
	Trade	21 000		03/11/09	06/09/09	246 09	124 11	121 98		Y
MEDTRONIC INC	Trade	5 000		09/19/08	08/19/09	185 16	264 34	-79 18		Y
	Trade	10 000		10/30/08	08/19/09	370 34	399 26	-28 92		Y
	Trade	6 000		10/23/08	08/20/09	224 14	231 79	-7 65		Y
	Trade	3 000		10/24/08	08/20/09	112 07	113 50	-1 43		Y
	Trade	6 000		10/29/08	08/20/09	224 14	237 23	-13 09		Y
MERCK & CO INC NEW COM	Trade	24 000		10/09/09	12/22/09	902 61	779 65	122 96		Y
METLIFE INC	Trade	75 000		10/09/08	07/23/09	2,394 21	2,087 30	306 91		Y
MICROSOFT CORP	Trade	8 000		09/19/08	08/19/09	189 13	201 19	-12 06		Y
MITSUI SUMITOMO UNSPONS ADR	Trade	45 000		08/18/09	10/16/09	536 16	589 11	-52 95		Y
MONSANTO CO NEW	Trade	7 000		06/01/09	12/22/09	569 15	581 40	-12 25		Y
	Trade	2 000		06/18/09	12/22/09	162 61	164 40	-1 79		Y
MORGAN STANLEY	Trade	34 000		08/29/08	02/05/09	794 49	1,391 96	-597 47		Y
	Trade	14 000		09/19/08	02/05/09	327 14	396 72	-69 58		Y
	Trade	9 000		09/19/08	02/06/09	210 73	255 04	-44 31		Y
MOVADO GROUP INC	Trade	2 000		07/02/08	06/19/09	22 21	37 81	-15 60		Y
	Trade	3 000		07/07/08	06/19/09	33 32	57 07	-23 75		Y
	Trade	1 000		07/11/08	06/19/09	11 10	19 04	-7 94		Y
	Trade	1 000		07/02/08	06/22/09	10 99	18 91	-7 92		Y
MUENCHENER RUECKVER AG (GERMANY) ORD	Trade	12 000		04/06/09	08/18/09	1,677 35	1,578 16	99 19		Y
	Trade	8 000		04/16/09	08/18/09	1,118 24	1,071 01	47 23		Y
	Trade	1 000		04/06/09	08/20/09	141 48	131 51	9 97		Y
	Trade	3 000		04/08/09	08/20/09	424 44	388 85	35 59		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MWI VETERINARY SUPPLY INC	Trade	1 000		12/29/08	03/31/09	28 69	24 17	4 52		Y
	Trade	2 000		12/30/08	03/31/09	57 39	50 31	7 08		Y
	Trade	1 000		12/29/08	04/01/09	28 65	24 17	4 48		Y
	Trade	1 000		12/19/08	04/02/09	30 13	23 14	6 99		Y
	Trade	3 000		12/23/08	04/02/09	90 42	71 67	18 75		Y
	Trade	3 000		12/26/08	04/02/09	90 42	71 31	19 11		Y
	Trade	5 000		12/29/08	04/02/09	150 70	120 83	29 87		Y
	Trade	2 000		12/19/08	04/30/09	63 31	46 29	17 02		Y
	Trade	1 000		12/19/08	05/01/09	32 63	23 14	9 49		Y
	Trade	3 000		12/19/08	05/04/09	91 09	69 43	21 66		Y
	Trade	2 000		12/18/08	05/21/09	57 20	46 04	11 16		Y
	Trade	1 000		12/19/08	05/21/09	28 60	23 14	5 46		Y
	Trade	3 000		12/18/08	05/26/09	86 06	69 06	17 00		Y
	Trade	4 000		12/18/08	05/27/09	115 76	92 08	23 68		Y
	Trade	2 000		12/18/08	05/28/09	57 44	46 04	11 40		Y
NATL AUSTRALIA BANK LTD SPON ADR	Trade	77 000		04/11/08	01/28/09	943 24	2,083 10	-1,139.86		Y
	Trade	3 000		04/16/08	01/28/09	36 74	82 23	-45 49		Y
	Trade	24 000		07/17/08	01/28/09	293 99	653.82	-359 83		Y
	Trade	3 000		04/17/08	04/16/09	47 67	80 56	-32 89		Y
	Trade	13 000		09/19/08	04/16/09	206 58	252 59	-46.01		Y
	Trade	4 000		09/22/08	04/16/09	63 56	77 01	-13 45		Y
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	Trade	3 000		03/04/08	01/14/09	106 87	143 17	-36 30		Y
	Trade	15 000		03/05/08	01/14/09	534 37	705 99	-171 62		Y
	Trade	16 000		03/19/08	01/14/09	569 99	751 19	-181.20		Y
	Trade	2 000		09/19/08	01/14/09	71 24	90 08	-18 84		Y
	Trade	13 000		07/17/08	01/15/09	461 99	566 95	-104.96		Y
	Trade	19 000		09/19/08	01/15/09	675 21	855 74	-180 53		Y
NETAPP INC	Trade	94 000		04/17/08	03/20/09	1,402 66	2,060 48	-657 82		Y
	Trade	30 000		06/01/09	12/30/09	1,018 85	613 87	404 98		Y
	Trade	10 000		06/04/09	12/30/09	339 62	188 75	150 87		Y
	Trade	18 000		06/12/09	12/30/09	611 31	353.09	258 22		Y
	Trade	13 000		06/16/09	12/30/09	441 50	254 46	187.04		Y
	Trade	13 000		06/26/09	12/30/09	441 50	253.02	188 48		Y
	Trade	10 000		08/03/09	12/30/09	339 62	225 15	114 47		Y
	Trade	7 000		11/25/09	12/30/09	237 73	219.57	18 16		Y

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P25G012119-X16

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NEUSTAR INC CL A	Trade	4 000		05/29/08	02/06/09	62 28	91 11	-28.83		Y
	Trade	12 000		05/29/08	02/09/09	186.80	273.33	-86.53		Y
NEW WORLD DEVELOPMENT LTD SPON ADR (HONG KONG)	Trade	4 000		06/10/08	04/23/09	9 53	19 24	-9 71		Y
	Trade	74 000		06/11/08	04/23/09	176.48	348 39	-171 91		Y
	Trade	88 000		06/11/08	04/24/09	212 50	414 30	-201 80		Y
	Trade	103 000		06/11/08	04/28/09	240 73	484 92	-244 19		Y
NEWS CORP INC DELA CL A	Trade	20 000		06/13/08	03/19/09	124 00	358.24	-234.24		Y
	Trade	25 000		06/16/08	03/19/09	155 00	445 17	-290 17		Y
	Trade	8 000		06/10/08	03/20/09	48 97	138.67	-89.70		Y
	Trade	4 000		06/16/08	03/20/09	24 48	71 23	-46 75		Y
	Trade	27 000		06/17/08	03/20/09	165 28	472 52	-307 24		Y
	Trade	30 000		06/10/08	03/23/09	196 05	520 00	-323.95		Y
	Trade	34 000		06/11/08	03/23/09	222.19	568 36	-366.17		Y
	Trade	30 000		06/12/08	03/23/09	196 05	518 80	-322.75		Y
	Trade	9 000		06/18/08	03/23/09	58 81	155.04	-96 23		Y
	Trade	11 000		06/18/08	03/24/09	74 13	189 49	-115 36		Y
	Trade	22 000		07/17/08	03/24/09	148 28	319.11	-170.83		Y
	Trade	4 000		07/17/08	03/25/09	27 44	58 02	-30 58		Y
NINTENDO LTD ADR NEW JAPAN ADR	Trade	10 000		01/14/09	08/20/09	321.81	467 52	-145.71		Y
	Trade	17 000		01/15/09	08/20/09	547 08	792 68	-245.60		Y
	Trade	13 000		01/16/09	08/20/09	418 35	582 99	-164 64		Y
	Trade	48 000		12/01/08	08/21/09	1,524.62	1,842.02	-317 40		Y
	Trade	1 000		01/16/09	08/21/09	31.76	44 85	-13 09		Y
NISSAN MTR LTD SPONS ADR JAPAN ADR	Trade	44 000		05/21/09	12/22/09	739.18	518.79	220 39		Y
NOBEL BIOCARE ADR	Trade	5 000		08/18/09	10/06/09	77.25	71 58	5.67		Y
	Trade	17 000		08/18/09	10/07/09	265 99	243.38	22.61		Y
NOBLE CORP	Trade	18 000		07/09/09	12/22/09	742 30	537 39	204.91		Y
NOKIA CORP SPONS ADR FINLAND ADR	Trade	28 000		09/19/08	08/17/09	348 87	593 67	-244.80		Y
	Trade	27 000		04/08/09	08/17/09	336.41	356.80	-20 39		Y
	Trade	8 000		04/08/09	08/18/09	99 60	105 72	-6 12		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NOMURA HOLDINGS INC NEW SPON ADR	Trade	75 000		10/06/09	11/18/09	510 82	531.98	-21 16		Y
NORDSON CORP	Trade	11 000		01/09/09	08/21/09	586 42	366.11	220 31		Y
	Trade	3 000		01/13/09	08/21/09	159 93	98 21	61 72		Y
	Trade	2 000		01/12/09	09/04/09	107 00	64 79	42 21		Y
	Trade	3 000		01/13/09	09/04/09	160 50	98 21	62 29		Y
	Trade	3 000		01/12/09	09/08/09	161 24	97 18	64 06		Y
	Trade	5 000		01/12/09	09/22/09	288 02	161 96	126 06		Y
	Trade	1 000		01/12/09	09/23/09	57 05	32 39	24 66		Y
	Trade	4 000		01/14/09	09/23/09	228 22	127 75	100 47		Y
	Trade	2 000		01/14/09	09/24/09	111 55	63 88	47 67		Y
	Trade	2 000		02/27/09	09/24/09	111 55	50 25	61 30		Y
	Trade	5 000		02/27/09	10/12/09	280 29	125 62	154 67		Y
	Trade	2 000		02/27/09	10/13/09	110 63	50 25	60 38		Y
	Trade	3 000		03/02/09	10/13/09	165 95	69 09	96 86		Y
	Trade	5 000		03/02/09	10/14/09	282 93	115 14	167 79		Y
	Trade	3 000		03/02/09	10/15/09	170 80	69 09	101 71		Y
NOVARTIS AG SPON ADR	Trade	4 000		03/04/08	01/15/09	184 74	192 57	-7 83		Y
	Trade	4 000		03/05/08	01/15/09	184 74	193 84	-9 10		Y
	Trade	3 000		09/19/08	01/15/09	138 55	158 64	-20 09		Y
	Trade	7 000		03/04/08	01/16/09	330.37	336 99	-6 62		Y
	Trade	1 000		03/07/08	01/16/09	47 19	48 01	- 82		Y
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	Trade	24 000		03/19/08	01/16/09	412.68	364 21	48 47		Y
	Trade	19 000		07/17/08	01/16/09	326 71	294 51	32 20		Y
	Trade	22 000		09/19/08	01/16/09	378.29	331 74	46 55		Y
	Trade	13 000		09/19/08	01/20/09	225 41	196 03	29 38		Y
OCEANEERING INTL INC	Trade	3 000		09/29/08	01/28/09	105 18	154 73	-49 55		Y
	Trade	16 000		09/29/08	03/19/09	613 11	825 21	-212 10		Y
	Trade	12 000		09/29/08	04/30/09	557 70	618 91	-61 21		Y
	Trade	7 000		10/07/08	04/30/09	325 33	251 05	74 28		Y
	Trade	6 000		10/07/08	05/01/09	279 27	215 19	64 08		Y
OIL STATES INTL INC	Trade	9 000		09/23/08	01/28/09	173 70	356 79	-183 09		Y
	Trade	6 000		09/24/08	01/28/09	115 80	234 43	-118 63		Y

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UBS Financial Services Inc.

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
OLD DOMINION FREIGHT LINES INC	Trade	28,000		12/30/09	12/31/09	849.52	916.44	-66.92		Y
OMNITURE INC **MERGER EFF 11/09**	Trade	36,000		06/01/09	09/23/09	776.06	432.72	343.34		Y
	Trade	23,000		08/05/09	09/23/09	495.81	322.27	173.54		Y
	Trade	27,000		05/29/09	09/25/09	580.85	315.67	265.18		Y
	Trade	6,000		06/01/09	09/25/09	129.08	72.12	56.96		Y
ON SEMICONDUCTOR CORP	Trade	44,000		10/20/08	02/17/09	163.81	238.11	-74.30		Y
	Trade	36,000		10/21/08	02/17/09	134.02	188.87	-54.85		Y
ORTHOPIX INTL NV ORD	Trade	6,000		04/30/08	04/03/09	113.37	183.98	-70.61		Y
	Trade	1,000		06/05/08	04/03/09	18.89	33.58	-14.69		Y
	Trade	8,000		06/20/08	04/03/09	151.17	248.01	-96.84		Y
	Trade	5,000		04/28/08	04/06/09	95.36	145.99	-50.63		Y
	Trade	2,000		04/29/08	04/06/09	38.14	59.30	-21.16		Y
	Trade	3,000		04/30/08	04/06/09	57.21	91.99	-34.78		Y
	Trade	4,000		04/25/08	04/16/09	77.05	116.11	-39.06		Y
	Trade	3,000		04/28/08	04/16/09	57.78	87.59	-29.81		Y
	Trade	13,000		04/25/08	04/17/09	230.44	377.37	-146.93		Y
	Trade	11,000		07/08/08	04/17/09	194.99	275.63	-80.64		Y
	Trade	9,000		10/31/08	04/20/09	150.29	120.73	29.56		Y
	Trade	6,000		10/31/08	04/21/09	100.55	80.49	20.06		Y
	Trade	3,000		10/29/08	04/22/09	51.46	32.93	18.53		Y
	Trade	3,000		10/30/08	04/22/09	51.46	36.75	14.71		Y
	Trade	3,000		10/31/08	04/22/09	51.46	40.24	11.22		Y
	Trade	1,000		10/28/08	04/23/09	17.14	9.15	7.99		Y
	Trade	1,000		10/28/08	04/24/09	16.90	9.15	7.75		Y
PACCAR INC	Trade	5,000		10/08/09	12/22/09	182.99	187.36	-4.37		Y
	Trade	16,000		10/09/09	12/22/09	585.58	594.13	-8.55		Y
PACTIV CORP	Trade	56,000		04/01/09	04/23/09	1,173.54	828.79	344.75		Y
	Trade	9,000		04/01/09	04/24/09	196.37	133.20	63.17		Y
PALL CORP	Trade	22,000		10/27/08	08/27/09	679.90	505.11	174.79		Y
	Trade	3,000		10/28/08	08/27/09	92.71	68.25	24.46		Y
	Trade	10,000		10/28/08	08/28/09	306.78	227.50	79.28		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PALL CORP	Trade	16,000		03/30/09	08/28/09	490.86	324.16	166.70		Y
PENNEY J C CO INC (HLDG CO)	Trade	4,000		10/30/08	06/19/09	106.06	86.20	19.86		Y
	Trade	6,000		10/29/08	06/22/09	156.91	126.24	30.67		Y
	Trade	9,000		10/30/08	06/22/09	235.37	193.96	41.41		Y
	Trade	1,000		10/27/08	06/23/09	26.13	19.35	6.78		Y
	Trade	10,000		10/28/08	06/23/09	261.39	194.01	67.38		Y
	Trade	3,000		10/29/08	06/23/09	78.41	63.12	15.29		Y
	Trade	8,000		10/23/08	06/24/09	212.64	149.27	63.37		Y
	Trade	7,000		10/24/08	06/24/09	186.06	132.86	53.20		Y
	Trade	10,000		10/27/08	06/24/09	265.80	193.51	72.29		Y
PEPSICO INC	Trade	5,000		10/31/08	08/19/09	282.37	286.22	-3.85		Y
PETROLEUM GEO SERVICES ASA SPON ADR	Trade	44,000		08/18/09	12/17/09	479.64	314.30	165.34		Y
PETSMART INC	Trade	1,000		07/14/08	04/24/09	23.21	19.50	3.71		Y
	Trade	12,000		10/09/08	04/24/09	278.58	248.07	30.51		Y
PFIZER INC	Trade	30,000		03/20/09	08/19/09	489.66	410.79	78.87		Y
	Trade	61,000		03/20/09	12/22/09	1,139.02	835.28	303.74		Y
	Trade	153,000		03/20/09	12/30/09	2,823.15	2,095.04	728.11		Y
PRESTIGE BRANDS HOLDINGS INC	Trade	12,000		10/09/08	04/29/09	81.46	86.28	-4.82		Y
PROCTER & GAMBLE CO	Trade	10,000		07/09/09	08/19/09	528.41	524.44	3.97		Y
	Trade	10,000		10/08/09	12/22/09	613.68	575.76	37.92		Y
	Trade	16,000		10/09/09	12/22/09	981.89	919.44	62.45		Y
QBE INSURANCE GROUP LTD ORD	Trade	124,000		04/16/09	09/30/09	2,613.92	1,864.89	749.03		Y
	Trade	30,000		04/17/09	09/30/09	632.40	455.18	177.22		Y
REED ELSELVIER NV NEW REPSTG 2 ORD SHS SPON ADR	Trade	16,000		10/21/08	04/08/09	341.12	427.17	-86.05		Y
	Trade	24,000		10/22/08	04/08/09	511.67	600.90	-89.23		Y
	Trade	12,000		10/23/08	04/08/09	255.83	297.57	-41.74		Y
	Trade	22,000		10/23/08	04/09/09	451.96	545.55	-93.59		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
REED ELSERVIER NV NEW	Trade	7 000		01/14/09	04/09/09	143.80	167.01	-23.21		Y
REPSTG 2 ORD SHS SPON	Trade	10 000		01/15/09	04/09/09	205.44	237.04	-31.60		Y
ADR	Trade	13 000		01/20/09	04/09/09	267.07	313.97	-46.90		Y
	Trade	13 000		10/24/08	04/14/09	262.76	304.49	-41.73		Y
	Trade	19 000		12/16/08	04/14/09	384.04	423.11	-39.07		Y
	Trade	1 000		01/15/09	04/14/09	20.21	23.70	-3.49		Y
REGAL BELOIT CORP	Trade	5 000		08/06/08	06/02/09	208.22	219.17	-10.95		Y
REGIS CORP MINN	Trade	9 000		10/08/08	01/28/09	113.09	204.66	-91.57		Y
ROYAL DUTCH SHELL PLC CL A SPON ADR	Trade	13 000		09/15/09	12/22/09	777.42	755.03	22.39		Y
RTS ING GROEP N V EXP 12/11/09	Tender	2 000		02/25/09	12/18/09	3.85	2.17	1.68		Y
RYANAIR HOLDINGS PLC SPON ADR	Trade	3 000		06/10/08	01/15/09	87.97	86.91	1.06		Y
	Trade	20 000		06/12/08	01/15/09	586.51	585.22	1.29		Y
	Trade	4 000		06/13/08	01/15/09	117.29	125.49	-8.20		Y
	Trade	5 000		07/17/08	01/15/09	146.62	162.11	-15.49		Y
SCHEIN HENRY INC	Trade	17 000		12/02/08	08/27/09	897.91	582.88	315.03		Y
	Trade	8 000		12/10/08	08/27/09	422.54	263.85	158.69		Y
	Trade	3 000		12/10/08	08/28/09	158.94	98.94	60.00		Y
SEMPRA ENERGY	Trade	4 000		09/19/08	05/12/09	184.26	214.40	-30.14		Y
	Trade	3 000		10/09/08	09/02/09	148.17	121.36	26.81		Y
	Trade	4 000		10/08/08	09/03/09	195.95	155.56	40.39		Y
	Trade	2 000		10/09/08	09/03/09	97.97	80.91	17.06		Y
	Trade	4 000		10/13/08	09/03/09	195.95	158.80	37.15		Y
	Trade	10 000		10/08/08	09/08/09	492.29	388.90	103.39		Y
	Trade	5 000		10/15/08	09/09/09	243.70	193.67	50.03		Y
	Trade	4 000		10/10/08	09/10/09	193.51	144.63	48.88		Y
	Trade	4 000		10/15/08	09/10/09	193.51	154.94	38.57		Y
SIEMENS A G SPON ADR	Trade	11 000		06/03/09	08/20/09	890.75	828.19	62.56		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SOCIETE GENERALE FRANCE SPONS ADR ADR	Trade	105,000		09/22/08	09/04/09	1,528.61	2,105.84	-577.23		Y
	Trade	40,000		12/11/08	09/04/09	582.32	413.43	168.89		Y
	Trade	77,000		12/11/08	09/08/09	1,132.22	795.85	336.37		Y
	Trade	74,000		04/16/09	09/08/09	1,088.11	741.92	346.19		Y
SOLERA HOLDINGS INC	Trade	4,000		04/09/09	12/30/09	146.77	99.71	47.06		Y
	Trade	15,000		06/17/09	12/30/09	550.42	376.23	174.19		Y
	Trade	8,000		06/18/09	12/30/09	293.56	200.73	92.83		Y
	Trade	5,000		09/22/09	12/30/09	183.47	147.63	35.84		Y
SOWSTN ENERGY CO	Trade	8,000		09/23/09	12/30/09	293.56	239.80	53.76		Y
	Trade	29,000		01/29/09	12/30/09	1,410.23	941.28	468.95		Y
SPRINT NEXTEL CORP SERIES 1 COMMON STOCK	Trade	82,000		01/18/08	01/14/09	187.36	723.10	-535.74		Y
	Trade	25,000		01/22/08	01/14/09	57.12	219.37	-162.25		Y
	Trade	87,000		01/22/08	01/15/09	193.86	763.39	-569.53		Y
	Trade	102,000		01/23/08	01/15/09	227.29	858.75	-631.46		Y
	Trade	42,000		01/23/08	01/15/09	93.59	354.43	-260.84		Y
	Trade	60,000		01/23/08	01/16/09	135.25	506.33	-371.08		Y
	Trade	61,000		08/07/08	01/16/09	137.51	448.73	-311.22		Y
	Trade	42,000		08/08/08	01/16/09	94.67	349.25	-254.58		Y
	Trade	27,000		04/18/08	03/31/09	301.97	490.06	-188.09		Y
	Trade	12,000		06/16/08	03/31/09	134.20	219.69	-85.49		Y
STARBUCKS CORP	Trade	14,000		06/17/08	03/31/09	156.57	253.68	-97.11		Y
	Trade	44,000		04/21/08	04/01/09	489.89	792.04	-302.15		Y
	Trade	22,000		06/13/08	04/01/09	244.94	396.92	-151.98		Y
	Trade	1,000		06/17/08	04/01/09	11.12	18.12	-7.00		Y
	Trade	48,000		04/21/08	04/02/09	565.29	864.05	-298.76		Y
	Trade	34,000		06/10/08	04/02/09	400.41	606.71	-206.30		Y
	Trade	37,000		06/12/08	04/02/09	435.74	660.48	-224.74		Y
	Trade	26,000		04/23/08	04/03/09	301.41	462.66	-161.25		Y
	Trade	3,000		06/10/08	04/03/09	34.77	53.53	-18.76		Y
	Trade	31,000		06/11/08	04/03/09	359.38	548.45	-189.07		Y
	Trade	26,000		04/22/08	04/08/09	298.51	458.45	-159.94		Y
	Trade	56,000		04/24/08	04/08/09	642.94	890.47	-247.53		Y
	Trade	20,000		06/11/08	04/08/09	229.62	353.84	-124.22		Y
	Trade	23,000		07/17/08	04/08/09	264.06	332.17	-68.11		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
STARBUCKS CORP	Trade	29 000		10/31/08	04/08/09	332 95	372 53	-39 58		Y
	Trade	23 000		10/23/08	04/09/09	276 27	228 99	47 28		Y
	Trade	20 000		10/24/08	04/09/09	240 23	196 69	43 54		Y
	Trade	24 000		10/27/08	04/09/09	288 28	234 26	54 02		Y
	Trade	28 000		10/28/08	04/09/09	336 33	281 66	54 67		Y
	Trade	31 000		10/29/08	04/09/09	372 36	346 25	26 11		Y
	Trade	40 000		10/30/08	04/09/09	480 47	491 70	-11 23		Y
	Trade	3 000		10/31/08	04/09/09	36 03	38 54	-2 51		Y
STEEL DYNAMICS INC	Trade	63 000		10/21/08	03/27/09	593 20	659 50	-66 30		Y
	Trade	47 000		10/22/08	03/27/09	442 55	471 28	-28 73		Y
	Trade	14 000		11/12/08	03/27/09	131 82	113 56	18 26		Y
	Trade	11 000		11/13/08	03/27/09	103 58	83 80	19 78		Y
STEVEN MADDEN LTD	Trade	14 000		03/04/09	07/30/09	459 20	221 75	237 45		Y
	Trade	19 000		03/25/09	07/30/09	623 19	326 94	296 25		Y
SUMITOMO TRUST & BANKING SPON ADR	Trade	27 000		05/16/08	05/01/09	109 60	238 10	-128 50		Y
	Trade	82 000		05/19/08	05/01/09	332 89	713 33	-380 44		Y
	Trade	282 000		05/19/08	05/12/09	1 364 08	2 453 17	-1 089 09		Y
	Trade	43 000		07/17/08	05/12/09	207 99	306 43	-98 44		Y
	Trade	57 000		09/19/08	05/12/09	275 72	402 42	-126 70		Y
	Trade	46 000		12/11/08	05/12/09	222 51	227 24	-4 73		Y
	Trade	41 000		12/11/08	05/13/09	196 14	202 54	-6 40		Y
SUNOCO INC	Trade	13 000		07/02/08	01/08/09	560 30	541 27	19 03		Y
	Trade	13 000		07/02/08	01/09/09	552 26	541 27	10 99		Y
	Trade	14 000		07/07/08	01/12/09	581 52	561 51	20 01		Y
	Trade	4 000		07/03/08	01/13/09	168 49	158 69	9 80		Y
	Trade	9 000		07/07/08	01/13/09	379 12	360 97	18 15		Y
	Trade	9 000		07/03/08	01/14/09	364 17	357 05	7 12		Y
	Trade	7 000		07/17/08	01/14/09	283 24	255 10	28 14		Y
	Trade	9 000		07/17/08	01/15/09	352 96	327 99	24 97		Y
SUNTRUST BANKS INC	Trade	21 000		01/24/08	01/21/09	320 13	1 329 18	-1 009 05		Y
	Trade	30 000		01/25/08	01/21/09	457 33	1 891 33	-1 434 00		Y
	Trade	5 000		01/22/08	01/22/09	70 23	297 44	-227 21		Y
	Trade	1 000		01/23/08	01/22/09	14 04	57 19	-43 15		Y

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SUNTRUST BANKS INC	Trade	8,000		01/25/08	01/22/09	112.38	504.35	-391.97		Y
	Trade	21,000		06/12/08	01/22/09	295.02	930.98	-635.96		Y
	Trade	25,000		06/13/08	01/22/09	351.21	1,083.56	-732.35		Y
	Trade	3,000		06/13/08	02/05/09	31.12	130.03	-98.91		Y
	Trade	33,000		07/17/08	02/05/09	342.40	1,126.98	-784.58		Y
SVB FINANCIAL GROUP	Trade	14,000		02/03/09	04/09/09	243.51	282.89	-39.38		Y
	Trade	11,000		02/04/09	04/09/09	191.33	227.26	-35.93		Y
TELEKOM AUSTRIA AG SPON ADR	Trade	1,000		06/10/08	06/02/09	30.93	48.20	-17.27		Y
	Trade	10,000		06/11/08	06/02/09	309.39	472.22	-162.83		Y
	Trade	3,000		06/12/08	06/02/09	92.81	138.53	-45.72		Y
	Trade	1,000		06/13/08	06/02/09	30.93	45.96	-15.03		Y
	Trade	1,000		07/17/08	06/02/09	30.93	42.37	-11.44		Y
	Trade	5,000		08/25/08	08/18/09	151.07	210.99	-59.92		Y
	Trade	18,000		08/25/08	08/20/09	587.76	759.57	-171.81		Y
TELENOR ASA ADR	Trade	35,000		08/18/09	12/30/09	1,463.66	963.76	499.90		Y
THK CO LTD ADR	Trade	51,000		01/14/09	08/18/09	437.93	337.24	100.69		Y
	Trade	29,000		01/20/09	08/18/09	249.02	189.88	59.14		Y
	Trade	48,000		01/20/09	08/20/09	433.76	314.28	119.48		Y
TIME WARNER INC NEW	Trade	23,000		10/22/09	12/22/09	685.61	677.90	7.71	*	Y
TNT N V SPON ADR	Trade	19,000		07/17/08	05/18/09	348.86	702.84	-353.98		Y
	Trade	16,000		09/19/08	05/18/09	293.77	529.44	-235.67		Y
	Trade	12,000		01/14/09	05/18/09	220.33	233.46	-13.13		Y
	Trade	19,000		01/15/09	05/18/09	348.86	355.22	-6.36		Y
TORONTO DOMINION BK NEW CANADA	Trade	5,000		07/17/08	06/09/09	262.12	290.79	-28.67		Y
TOTAL S. A. FRANCE SPON ADR	Trade	16,000		09/19/08	09/14/09	973.71	1,039.72	-66.01		Y
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	Trade	2,000		07/17/08	05/20/09	153.96	179.65	-25.69		Y
	Trade	5,000		09/19/08	05/20/09	384.89	453.23	-68.34		Y

UBS Financial Services Inc.

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Account Number DL 37519 EJ
Your Financial Advisor or Contact

ANTHONY JEZEK
918-712-3100/800-950-2660

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TRANSCANADA CORP (HOLDING CO)	Trade	23,000		05/16/08	05/15/09	598.96	886.48	-287.52		Y
	Trade	3,000		05/19/08	05/15/09	78.12	116.58	-38.46		Y
	Trade	7,000		05/20/08	05/15/09	182.29	276.30	-94.01		Y
TREX CO INC	Trade	5,000		06/09/09	09/23/09	95.38	71.44	23.94		Y
	Trade	4,000		06/08/09	10/08/09	76.00	55.13	20.87		Y
	Trade	1,000		06/09/09	10/08/09	18.99	14.29	4.70		Y
	Trade	5,000		06/08/09	12/09/09	85.13	68.91	16.22		Y
	Trade	10,000		06/08/09	12/10/09	166.29	137.82	28.47		Y
	Trade	10,000		06/08/09	12/11/09	165.90	137.82	28.08		Y
	Trade	5,000		06/10/09	12/14/09	82.87	66.17	16.70		Y
	Trade	5,000		06/12/09	12/15/09	81.45	64.97	16.48		Y
	Trade	5,000		06/16/09	12/15/09	81.45	64.70	16.75		Y
	Trade	5,000		06/18/09	12/16/09	82.17	64.36	17.81		Y
	Trade	5,000		06/15/09	12/17/09	82.90	64.28	18.62		Y
	Trade	5,000		06/17/09	12/17/09	82.90	63.79	19.11		Y
	Trade	1,000		06/18/09	12/17/09	16.57	12.87	3.70		Y
	Trade	4,000		06/23/09	12/17/09	66.32	50.25	16.07		Y
	Trade	3,000		06/02/09	12/18/09	51.71	34.97	16.74		Y
	Trade	4,000		06/03/09	12/18/09	68.95	46.85	22.10		Y
	Trade	6,000		06/22/09	12/18/09	103.43	74.14	29.29		Y
	Trade	2,000		06/23/09	12/18/09	34.47	25.13	9.34		Y
	Trade	3,000		05/29/09	12/21/09	53.43	32.26	21.17		Y
	Trade	3,000		06/01/09	12/21/09	53.43	34.12	19.31		Y
TULLOW OIL PLC SPON ADR	Trade	2,000		08/22/08	08/20/09	355.23	299.42	55.81		Y
	Trade	1,000		09/19/08	08/20/09	177.61	151.12	26.49		Y
ULTRA PETROLEUM CORP (CANADA) ORD	Trade	5,000		09/19/08	08/19/09	231.83	303.90	-72.07		Y
UNION PACIFIC CORP	Trade	200,000		02/19/09	12/30/09	13,018.26	7,941.04	5,077.22		Y
UNITEDHEALTH GROUP INC	Trade	28,000		04/07/09	05/28/09	769.55	655.49	114.06		Y
	Trade	13,000		04/09/09	05/28/09	357.29	307.20	50.09		Y
	Trade	20,000		04/13/09	05/28/09	549.67	485.74	63.93		Y
	Trade	14,000		04/14/09	05/28/09	384.77	342.61	42.16		Y
	Trade	46,000		04/07/09	05/29/09	1,229.88	1,076.88	153.00		Y

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P25G012128-X16

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UNITEDHEALTH GROUP INC	Trade	17,000		04/08/09	05/29/09	454.52	390.89	63.63		Y
	Trade	18,000		04/08/09	06/01/09	488.60	413.88	74.72		Y
VALIDUS HOLDINGS LTD	Trade	24,000		09/02/08	01/13/09	574.06	582.00	-7.94		Y
	Trade	1,000		07/25/08	01/14/09	23.73	22.73	1.00		Y
	Trade	6,000		09/02/08	01/14/09	142.45	145.50	-3.05		Y
	Trade	7,000		07/25/08	02/03/09	161.59	159.09	2.50		Y
	Trade	5,000		07/25/08	02/04/09	113.08	113.64	-56		Y
	Trade	5,000		07/25/08	02/05/09	112.64	113.64	-1.00		Y
	Trade	9,000		07/25/08	03/30/09	225.17	204.55	20.62		Y
VALUECLICK INC	Trade	42,000		07/25/08	03/30/09	325.58	463.26	-137.68		Y
VANGUARD INDEX FUNDS										
VANGUARD LARGE CAP ETF	Trade	101,000		03/20/09	06/17/09	4,195.21	3,577.00	618.21		Y
VASCO DATA SECURITY INTL INC	Trade	8,000		03/13/08	02/24/09	40.18	95.02	-54.84		Y
	Trade	18,000		03/14/08	02/24/09	90.43	212.62	-122.19		Y
	Trade	1,000		03/14/08	02/25/09	5.11	11.81	-6.70		Y
VIACOM INC NEW CL B	Trade	9,000		04/02/09	12/22/09	268.28	172.71	95.57		Y
	Trade	18,000		04/03/09	12/22/09	536.56	351.39	185.17		Y
	Trade	2,000		03/24/09	12/30/09	59.80	36.64	23.16		Y
	Trade	39,000		04/02/09	12/30/09	1,166.19	748.39	417.80		Y
	Trade	11,000		04/03/09	12/30/09	328.92	214.74	114.18		Y
VMWARE INC CL A	Trade	4,000		09/19/08	01/06/09	97.56	117.98	-20.42		Y
	Trade	2,000		09/24/08	01/06/09	48.77	59.85	-11.08		Y
	Trade	4,000		10/30/08	01/06/09	97.56	121.91	-24.35		Y
	Trade	3,000		10/31/08	01/06/09	73.17	91.00	-17.83		Y
	Trade	6,000		11/03/08	01/06/09	146.34	187.58	-41.24		Y
	Trade	15,000		11/04/08	01/06/09	365.87	461.81	-95.94		Y
	Trade	4,000		09/19/08	08/19/09	122.30	117.98	4.32		Y
	Trade	1,000		09/25/08	08/19/09	30.57	29.10	1.47		Y
	Trade	9,000		09/22/08	08/20/09	277.07	260.02	17.05		Y
	Trade	1,000		09/25/08	08/20/09	30.78	29.10	1.68		Y
	Trade	9,000		09/26/08	08/20/09	277.07	260.58	16.49		Y

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P25G012129-X16

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VODAFONE GROUP PLC NEW SPON ADR	Trade	15 000		07/17/08	06/02/09	293 15	449 35	-156 20		Y
	Trade	19 000		08/22/08	06/02/09	371 33	491 98	-120 65		Y
	Trade	18 000		08/22/08	06/15/09	335 75	466.08	-130 33		Y
WAL MART STORES INC	Trade	18 000		09/04/09	12/22/09	966 26	930.79	35 47		Y
WMS INDUSTRIES INC	Trade	26 000		02/23/09	12/30/09	1,040 88	484 14	556 74		Y
	Trade	5 000		02/25/09	12/30/09	200 17	92 73	107 44		Y
	Trade	8 000		02/26/09	12/30/09	320 27	147 97	172 30		Y
	Trade	9 000		02/27/09	12/30/09	360 31	166 45	193.86		Y
	Trade	9 000		03/04/09	12/30/09	360 31	150 42	209 89		Y
	Trade	11 000		06/12/09	12/30/09	440 37	317 12	123 25		Y
	Trade	12 000		10/27/09	12/30/09	480 41	523 87	-43 46		Y
	Trade	6 000		12/07/09	12/30/09	240.20	237 33	2.87		Y
WOLSELEY PLC ADR	Trade	58 000		08/08/08	04/07/09	188 49	466 33	-277 84		Y
	Trade	89 000		08/22/08	08/18/09	202.78	697.61	-494 83		Y
	Trade	51 000		09/19/08	08/18/09	116 19	399 12	-282 93		Y
	Trade	37 000		09/19/08	08/20/09	85 17	289 55	-204 38		Y
ZIMMER HOLDINGS INC	Trade	2 000		08/04/08	06/05/09	87.03	138 75	-51 72		Y
	Trade	3 000		08/06/08	06/05/09	130 54	212 17	-81 63		Y
	Trade	7 000		08/07/08	06/05/09	304 62	491.70	-187.08		Y
	Trade	4 000		08/08/08	06/05/09	174 06	283 68	-109 62		Y
	Trade	4 000		08/11/08	06/05/09	174 06	287 46	-113 40		Y
	Trade	10 000		07/31/08	06/08/09	428 88	690 89	-262 01		Y
	Trade	6 000		08/01/08	06/08/09	257 33	413 86	-156 53		Y
	Trade	1 000		08/04/08	06/08/09	42 88	69 37	-26 49		Y
	Trade	8 000		09/19/08	06/08/09	343 11	544 10	-200.99		Y
	Trade	1 000		10/23/08	06/08/09	42 88	43 94	-1 06		Y
	Trade	1 000		10/23/08	06/09/09	42 64	43 94	-1 30		Y
	Trade	3 000		10/24/08	06/09/09	127.95	121 98	5 97		Y
	Trade	2 000		10/27/08	06/09/09	85 30	81 84	3 46		Y
	Trade	12 000		02/06/09	06/09/09	511 84	479 23	32 61		Y
	Trade	19 000		02/06/09	06/10/09	802 11	758 78	43 33		Y
	Trade	17 000		02/05/09	06/11/09	722 83	656 27	66 56		Y
	Trade	1 000		02/06/09	06/11/09	42 52	39 94	2 58		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ZOLL MEDICAL CORP	Trade	12 000		01/26/09	05/19/09	203.03	201.75	1.28		Y
	Trade	6 000		01/26/09	05/20/09	101.65	100.88	.77		Y
	Trade	2 000		01/27/09	05/20/09	33.88	33.47	.41		Y
	Trade	6 000		01/27/09	05/21/09	98.28	100.41	-2.13		Y
	Trade	2 000		01/27/09	05/22/09	33.02	33.47	-.45		Y
	Trade	4 000		01/23/09	05/26/09	66.74	65.84	.90		Y
	Trade	7 000		03/05/09	05/26/09	116.79	85.66	31.13		Y
	Trade	1 000		03/05/09	05/27/09	16.69	12.24	4.45		Y
	Trade	1 000		03/06/09	05/27/09	16.69	12.15	4.54		Y
	Trade	4 000		03/12/09	05/27/09	66.78	48.49	18.29		Y
	Trade	13 000		03/12/09	05/28/09	217.03	157.60	59.43		Y
	Trade	10 000		03/10/09	05/29/09	168.98	118.38	50.60		Y
	Trade	8 000		03/12/09	05/29/09	135.18	96.99	38.19		Y
	Trade	7 000		03/09/09	06/01/09	121.53	79.71	41.82		Y
	Trade	4 000		03/10/09	06/01/09	69.44	47.35	22.09		Y
	Trade	8 000		03/09/09	06/02/09	137.55	91.09	46.46		Y
Total Short-Term Gain/Loss							557,954.43	502,551.73	55,402.70	

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACE LTD	Trade	2 000		08/08/08	08/19/09	99.72	107.14	-7.42		Y
AFLAC INC	Trade	25 000		08/11/08	12/04/09	1,139.03	1,404.50	-265.47		Y
	Trade	5 000		09/19/08	12/04/09	227.80	319.35	-91.55		Y
	Trade	3 000		08/11/08	12/22/09	138.27	168.54	-30.27		Y
	Trade	13 000		08/12/08	12/22/09	599.19	724.26	-125.07		Y
ALLERGAN INC	Trade	13 000		06/27/06	04/07/09	618.71	678.80	-60.09		Y
	Trade	11 000		06/27/06	04/08/09	521.27	574.37	-53.10		Y
	Trade	8 000		06/27/06	04/09/09	381.49	417.72	-36.23		Y
	Trade	6 000		06/27/06	04/13/09	281.88	313.29	-31.41		Y
	Trade	10 000		06/27/06	04/14/09	462.87	522.15	-59.28		Y
	Trade	10 000		06/27/06	04/15/09	468.67	522.15	-53.48		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLERGAN INC	Trade	15 000		06/27/06	12/22/09	928.77	783.23	145.54		Y
ALTRIA GROUP INC	Trade	700 000		08/26/08	12/30/09	13,847.39	14,812.98	-965.59		Y
	Trade	1,000 000		12/11/08	12/30/09	19,781.99	15,058.50	4,723.49		Y
AMER ELECTRIC POWER CO	Trade	15,000		07/17/08	08/19/09	460.11	577.84	-117.73		Y
	Trade	31 000		06/27/06	12/22/09	1,089.09	1,048.33	40.76		Y
	Trade	1,000		07/17/08	12/22/09	35.12	38.52	-3.40		Y
AMERICAN CAMPUS COMMUNITIES INC REITS	Trade	7 000		01/16/08	01/29/09	154.66	191.69	-37.03		Y
	Trade	5 000		01/10/08	01/30/09	107.62	134.23	-26.61		Y
	Trade	9 000		01/11/08	01/30/09	193.72	242.25	-48.53		Y
	Trade	9 000		01/15/08	01/30/09	193.72	239.91	-46.19		Y
	Trade	7 000		01/16/08	01/30/09	150.67	191.69	-41.02		Y
	Trade	3 000		01/14/08	02/02/09	63.10	79.87	-16.77		Y
	Trade	11 000		01/15/08	02/02/09	231.40	293.22	-61.82		Y
	Trade	10 000		01/14/08	02/03/09	213.51	266.23	-52.72		Y
	Trade	7,000		01/14/08	02/04/09	150.95	186.36	-35.41		Y
AMPHENOL CORP NEW CL A	Trade	7 000		11/24/06	12/30/09	325.02	241.82	83.20		Y
	Trade	18 000		08/20/07	12/30/09	835.77	612.26	223.51		Y
	Trade	2,000		08/21/07	12/30/09	92.86	67.99	24.87		Y
	Trade	8 000		10/16/08	12/30/09	371.45	205.39	166.06		Y
	Trade	9,000		10/22/08	12/30/09	417.89	229.48	188.41		Y
ANALOG DEVICES INC	Trade	1 000		11/28/06	02/26/09	19.25	32.42	-13.17		Y
	Trade	16 000		11/28/06	03/19/09	324.30	518.68	-194.38		Y
	Trade	20,000		08/30/06	03/23/09	401.59	609.66	-208.07		Y
	Trade	15 000		08/31/06	03/23/09	301.19	457.76	-156.57		Y
	Trade	8 000		09/01/06	03/23/09	160.63	242.40	-81.77		Y
	Trade	11 000		11/28/06	03/23/09	220.87	356.59	-135.72		Y
	Trade	12,000		09/01/06	03/24/09	241.71	363.60	-121.89		Y
	Trade	14 000		09/05/06	03/24/09	282.00	422.86	-140.86		Y
	Trade	23 000		08/28/06	03/25/09	464.36	688.34	-223.98		Y
	Trade	25,000		08/29/06	03/25/09	504.74	751.53	-246.79		Y
	Trade	7 000		09/05/06	03/25/09	141.32	211.43	-70.11		Y
	Trade	27 000		08/23/06	03/26/09	542.86	751.74	-208.88		Y
	Trade	20 000		08/24/06	03/26/09	402.11	574.49	-172.38		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ANALOG DEVICES INC	Trade	20,000		08/25/06	03/26/09	402.11	574.73	-172.62		Y
	Trade	2,000		08/28/06	03/26/09	40.20	59.86	-19.66		Y
	Trade	14,000		08/22/06	03/27/09	280.02	386.89	-106.87		Y
	Trade	23,000		08/23/06	03/27/09	460.03	640.37	-180.34		Y
	Trade	14,000		08/22/06	03/30/09	271.16	386.89	-115.73		Y
	Trade	14,000		08/22/06	03/31/09	272.51	386.89	-114.38		Y
	Trade	3,000		08/22/06	04/01/09	57.05	82.91	-25.86		Y
	Trade	37,000		01/23/08	04/01/09	703.56	1,004.85	-301.29		Y
	Trade	6,000		10/23/08	10/28/09	93.85	68.79	25.06		Y
	Trade	4,000		10/24/08	10/28/09	62.56	44.32	18.24		Y
ANGIODYNAMICS INC	Trade	1,000		10/24/08	10/29/09	15.32	11.08	4.24		Y
	Trade	5,000		12/18/08	12/22/09	996.67	448.65	548.02		Y
	Trade	12,000		12/18/08	12/30/09	2,517.05	1,076.75	1,440.30		Y
APPLE INC	Trade	23,000		12/26/07	12/22/09	794.39	738.30	56.09		Y
	Trade	13,000		11/28/06	12/30/09	443.80	370.65	73.15		Y
	Trade	9,000		08/20/07	12/30/09	307.25	231.28	75.97		Y
	Trade	42,000		12/26/07	12/30/09	1,433.84	1,348.20	85.64		Y
AT&T INC	Trade	20,000		01/23/08	08/19/09	507.45	703.62	-196.17		Y
	Trade	9,000		01/23/08	12/22/09	248.84	316.63	-67.79		Y
	Trade	27,000		08/11/08	12/22/09	746.53	853.42	-106.89		Y
	Trade	220,000		06/27/06	12/30/09	6,292.38	6,017.00	275.38		Y
	Trade	76,000		08/07/08	12/30/09	2,173.73	2,305.19	-131.46		Y
	Trade	53,000		08/08/08	12/30/09	1,515.89	1,631.15	-115.26		Y
	Trade	3,000		08/11/08	12/30/09	85.80	94.82	-9.02		Y
	Trade	74,000		06/27/06	04/06/09	1,007.07	2,291.78	-1,284.71		Y
AXA ADR	Trade	12,000		06/29/06	04/06/09	163.31	389.26	-225.95		Y
	Trade	2,000		08/11/06	04/06/09	27.22	71.62	-44.40		Y
	Trade	19,000		03/04/08	04/06/09	258.57	621.14	-362.57		Y
	Trade	6,000		03/05/08	04/06/09	81.65	198.81	-117.16		Y
	Trade	2,000		03/07/08	04/06/09	27.22	63.51	-36.29		Y
BAKER HUGHES INC	Trade	3,000		03/18/08	08/19/09	111.50	206.89	-95.39		Y
	Trade	7,000		03/26/08	08/19/09	260.19	482.93	-222.74		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BAKER HUGHES INC	Trade	5,000		07/17/08	08/19/09	185.85	390.99	-205.14		Y
BANK OF EAST ASIA LTD	Trade	170,000		03/04/08	11/12/09	743.85	835.39	-91.54		Y
SPONS ADR HONG KONG	Trade	18,000		03/05/08	11/12/09	78.75	92.47	-13.72		Y
	Trade	2,000		03/07/08	11/12/09	8.74	10.85	-2.11		Y
	Trade	55,000		03/04/08	12/02/09	232.05	270.27	-38.22		Y
	Trade	55,000		03/04/08	12/03/09	233.16	270.27	-37.11		Y
	Trade	34,000		03/04/08	12/04/09	142.88	167.08	-24.20		Y
	Trade	16,000		03/10/08	12/04/09	67.23	78.36	-11.13		Y
	Trade	7,000		03/10/08	12/07/09	29.37	34.28	-4.91		Y
	Trade	38,000		07/17/08	12/07/09	159.49	170.48	-10.99		Y
	Trade	5,000		09/11/08	12/07/09	20.98	18.57	2.41		Y
	Trade	12,000		09/11/08	12/08/09	49.49	44.56	4.93		Y
	Trade	53,000		09/12/08	12/08/09	218.62	192.99	25.63		Y
	Trade	12,000		09/16/08	12/08/09	49.49	41.73	7.76		Y
	Trade	28,000		09/19/08	12/08/09	115.49	101.79	13.70		Y
	Trade	65,000		09/16/08	12/09/09	264.43	226.05	38.38		Y
BARCLAYS PLC ADR	Trade	116,000		06/27/06	01/21/09	370.63	5,037.88	-4,667.25		Y
	Trade	14,000		06/29/06	01/21/09	44.73	624.45	-579.72		Y
	Trade	19,000		08/11/06	01/21/09	60.71	918.84	-858.13		Y
	Trade	25,000		11/28/06	01/21/09	79.88	1,327.25	-1,247.37		Y
	Trade	5,000		11/21/07	01/21/09	15.98	204.48	-188.50		Y
BE AEROSPACE INC	Trade	20,000		03/19/08	10/15/09	419.63	667.85	-248.22		Y
	Trade	19,000		03/19/08	10/16/09	394.82	634.46	-239.64		Y
	Trade	11,000		03/19/08	11/17/09	230.36	367.32	-136.96		Y
	Trade	23,000		10/21/08	11/17/09	481.67	263.44	218.23		Y
BHP BILLITON LTD SPON ADR	Trade	26,000		09/10/08	11/06/09	1,764.45	1,501.84	262.61		Y
	Trade	3,000		09/19/08	11/06/09	203.59	187.70	15.89		Y
BP PLC SPON ADR	Trade	18,000		06/27/06	09/14/09	977.50	1,208.86	-231.36		Y
	Trade	8,000		03/07/07	09/14/09	434.45	486.08	-51.63		Y
	Trade	29,000		08/20/07	09/14/09	1,574.87	1,874.07	-299.20		Y
BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR	Trade	31,000		12/21/07	07/15/09	1,000.52	1,522.17	-521.65		Y
	Trade	9,000		12/27/07	07/15/09	290.47	445.26	-154.79		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR	Trade	5,000		12/21/07	08/18/09	173.75	245.51	-71.76		Y
	Trade	7,000		12/21/07	08/20/09	249.19	343.71	-94.52		Y
	Trade	13,000		03/04/08	08/20/09	462.79	577.37	-114.58		Y
	Trade	35,000		03/04/08	08/21/09	1,257.50	1,554.44	-296.94		Y
	Trade	1,000		03/04/08	08/24/09	35.98	44.41	-8.43		Y
	Trade	6,000		03/10/08	08/24/09	215.91	264.76	-48.85		Y
	Trade	2,000		05/19/08	08/24/09	71.96	84.51	-12.55		Y
	Trade	1,000		05/20/08	08/24/09	35.98	42.57	-6.59		Y
	Trade	6,000		05/19/08	08/25/09	215.77	253.53	-37.76		Y
	Trade	10,000		12/12/07	09/04/09	228.37	434.10	-205.73		Y
BRUSH ENGINEERED MATERIALS INC (HOLDING CO)	Trade	7,000		12/12/07	09/08/09	163.28	303.87	-140.59		Y
	Trade	5,000		12/24/07	09/08/09	116.63	205.70	-89.07		Y
	Trade	5,000		12/26/07	09/08/09	116.63	197.10	-80.47		Y
	Trade	5,000		01/23/08	08/19/09	408.91	391.49	17.42		Y
BURLINGTON NTHN SANTA FE CORP	Trade	8,000		01/14/08	12/30/09	788.37	631.36	157.01		Y
	Trade	13,000		01/23/08	12/30/09	1,281.11	1,017.88	263.23		Y
	Trade	7,000		11/28/06	08/20/09	262.11	360.42	-98.31		Y
CANON INC ADR JAPAN SPON ADR	Trade	10,000		11/28/06	10/15/09	390.36	514.89	-124.53		Y
	Trade	5,000		04/17/08	10/15/09	195.18	241.40	-46.22		Y
	Trade	10,000		06/29/06	11/09/09	374.30	472.39	-98.09		Y
	Trade	16,000		08/11/06	11/09/09	598.87	768.90	-170.03		Y
	Trade	7,000		04/17/08	11/09/09	262.00	337.96	-75.96		Y
	Trade	2,000		06/27/06	08/19/09	58.88	82.02	-23.14		Y
CARNIVAL CORP NEW (PAIRED STOCK)	Trade	18,000		11/28/06	08/19/09	529.95	881.28	-351.33		Y
	Trade	35,000		06/27/06	12/22/09	1,154.37	1,435.35	-280.98		Y
	Trade	28,000		07/26/07	05/15/09	1,092.64	1,488.29	-395.65		Y
CDN NATL RAILWAY CO FOREIGN STOCK	Trade	5,000		07/26/07	08/17/09	237.15	265.77	-28.62		Y
	Trade	1,000		07/26/07	08/18/09	47.97	53.15	-5.18		Y
	Trade	16,000		07/27/07	08/18/09	767.66	843.56	-75.90		Y
	Trade	8,000		07/30/07	08/18/09	383.83	424.55	-40.72		Y
	Trade	13,000		07/31/07	08/18/09	623.72	683.02	-59.30		Y
	Trade	22,000		04/17/08	08/18/09	1,055.53	1,111.88	-56.35		Y
	Trade	17,000		08/20/07	08/20/09	831.11	852.42	-21.31		Y
	Trade	2,000		06/27/06	08/19/09	58.88	82.02	-23.14		Y
	Trade	18,000		11/28/06	08/19/09	529.95	881.28	-351.33		Y
	Trade	35,000		06/27/06	12/22/09	1,154.37	1,435.35	-280.98		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CDN NATL RAILWAY CO FOREIGN STOCK	Trade	18 000		04/17/08	08/20/09	879 99	909 72	-29 73		Y
CHART INDUSTRIES INC	Trade	4 000		12/26/07	03/02/09	23 98	129 60	-105 62		Y
	Trade	4 000		01/26/07	03/04/09	24 13	61 34	-37 21		Y
	Trade	65 000		12/26/07	03/04/09	392 09	2,105 99	-1,713 90		Y
CHEVRON CORP	Trade	14 000		10/26/06	12/22/09	1,085 95	948 72	137 23		Y
CINEMARK HOLDINGS INC	Trade	31 000		12/06/07	07/06/09	337 22	516 39	-179 17		Y
	Trade	11 000		12/06/07	07/07/09	122 03	183 24	-61 21		Y
	Trade	6 000		12/06/07	07/09/09	63 60	99 95	-36 35		Y
	Trade	2 000		12/06/07	07/10/09	21 12	33 32	-12 20		Y
	Trade	1 000		12/05/07	07/13/09	10 68	16 55	-5 87		Y
	Trade	3 000		12/06/07	07/13/09	32 05	49 97	-17 92		Y
	Trade	9 000		12/05/07	07/14/09	99 48	148 97	-49 49		Y
	Trade	13 000		12/05/07	07/15/09	142 32	215 18	-72 86		Y
	Trade	5 000		12/05/07	07/16/09	54 77	82 76	-27 99		Y
	Trade	6 000		12/05/07	07/17/09	64 57	99 31	-34 74		Y
	Trade	2 000		12/05/07	12/03/09	26 25	33 10	-6 85		Y
	Trade	1 000		01/15/08	12/03/09	13 12	14 09	- 97		Y
	Trade	12 000		05/29/08	12/03/09	157 54	173 15	-15 61		Y
COACH INC	Trade	16 000		11/28/06	07/07/09	391 16	670 37	-279 21		Y
	Trade	66 000		11/28/06	07/08/09	1,590 54	2,765 29	-1,174 75		Y
	Trade	41 000		11/28/06	07/09/09	986 02	1,717 83	-731 81		Y
	Trade	13 000		11/17/06	07/10/09	307 07	541 77	-234 70		Y
	Trade	17 000		11/28/06	07/10/09	401 54	712 27	-310 73		Y
	Trade	7 000		11/17/06	07/13/09	165 22	291 72	-126 50		Y
	Trade	23 000		01/23/08	07/13/09	542 88	651 23	-108 35		Y
COGNIZANT TECH SOLUTIONS CRP	Trade	18 000		08/20/07	12/30/09	814 55	670 40	144 15		Y
	Trade	20 000		09/28/07	12/30/09	905 06	797 22	107 84		Y
	Trade	27 000		03/14/08	12/30/09	1,221 83	745 61	476 22		Y
COINSTAR INC	Trade	3 000		11/02/07	02/06/09	74 16	90 23	-16 07		Y
	Trade	6 000		11/05/07	02/06/09	148 33	177 64	-29 31		Y
	Trade	7 000		11/05/07	02/09/09	167 77	207 24	-39 47		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
COINSTAR INC	Trade	15,000		11/05/07	02/17/09	427.63	444.09	-16.46		Y
COMCAST CORP NEW CL A	Trade	35,000		09/17/07	08/19/09	510.96	870.45	-359.49		Y
	Trade	14,000		09/18/07	08/19/09	204.38	347.55	-143.17		Y
	Trade	5,000		09/18/07	12/22/09	85.85	124.12	-38.27		Y
	Trade	65,000		10/26/07	12/22/09	1,116.21	1,373.06	-256.85		Y
CONMED CORP	Trade	15,000		11/19/07	09/21/09	303.86	364.30	-60.44		Y
	Trade	15,000		12/26/07	09/21/09	303.86	361.80	-57.94		Y
	Trade	1,000		12/26/07	09/22/09	19.92	24.12	-4.20		Y
	Trade	12,000		01/22/08	09/22/09	239.05	264.50	-25.45		Y
	Trade	20,000		01/24/08	09/22/09	398.42	469.36	-70.94		Y
CONSTELLATION BRANDS INC CL A	Trade	6,000		12/21/06	03/10/09	78.32	167.80	-89.48		Y
	Trade	12,000		12/22/06	03/10/09	156.64	339.54	-182.90		Y
	Trade	18,000		12/21/06	03/11/09	232.97	503.41	-270.44		Y
	Trade	16,000		11/28/06	03/12/09	208.10	444.64	-236.54		Y
	Trade	11,000		12/21/06	03/12/09	143.07	307.64	-164.57		Y
	Trade	17,000		11/28/06	03/13/09	221.51	472.43	-250.92		Y
	Trade	7,000		11/28/06	03/16/09	93.75	194.53	-100.78		Y
	Trade	9,000		11/28/06	03/17/09	119.35	250.11	-130.76		Y
	Trade	13,000		10/06/06	03/18/09	172.94	361.06	-188.12		Y
	Trade	6,000		11/28/06	03/18/09	79.81	166.74	-86.93		Y
	Trade	9,000		10/06/06	03/19/09	117.93	249.97	-132.04		Y
	Trade	13,000		10/06/06	03/23/09	168.98	361.06	-192.08		Y
	Trade	8,000		10/12/06	03/23/09	103.98	221.19	-117.21		Y
	Trade	14,000		10/11/06	03/24/09	185.80	385.45	-199.65		Y
	Trade	7,000		10/12/06	03/24/09	92.90	193.54	-100.64		Y
	Trade	6,000		10/11/06	03/25/09	75.38	165.19	-89.81		Y
	Trade	13,000		10/25/06	03/25/09	163.35	357.81	-194.46		Y
	Trade	16,000		10/25/06	03/26/09	197.01	440.38	-243.37		Y
	Trade	10,000		10/25/06	03/27/09	120.52	275.24	-154.72		Y
	Trade	9,000		10/25/06	03/30/09	106.75	247.72	-140.97		Y
	Trade	9,000		10/24/06	03/31/09	107.19	247.69	-140.50		Y
	Trade	2,000		10/25/06	03/31/09	23.81	55.05	-31.24		Y
	Trade	13,000		10/24/06	04/01/09	153.69	357.78	-204.09		Y
	Trade	23,000		10/24/06	04/02/09	280.99	633.00	-352.01		Y
	Trade	2,000		02/20/07	04/02/09	24.43	48.11	-23.68		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CONSTELLATION BRANDS INC CL A	Trade	9 000		02/16/07	04/03/09	109.22	215.97	-106.75		Y
	Trade	6 000		02/20/07	04/03/09	72.81	144.34	-71.53		Y
	Trade	13 000		02/16/07	04/06/09	153.91	311.96	-158.05		Y
	Trade	5 000		02/16/07	04/07/09	58.71	119.98	-61.27		Y
	Trade	8 000		02/22/07	04/07/09	93.93	190.78	-96.85		Y
	Trade	4 000		02/23/07	04/07/09	46.97	95.49	-48.52		Y
CONTINENTAL RESOURCES INC (OKLA)	Trade	21 000		10/01/08	12/30/09	921.46	813.12	108.34		Y
	Trade	16 000		10/03/08	12/30/09	702.06	467.73	234.33		Y
	Trade	10 000		10/09/08	12/30/09	438.79	224.11	214.68		Y
COOPER COMPANIES INC NEW	Trade	11 000		01/02/08	03/02/09	215.18	418.49	-203.31		Y
	Trade	10 000		01/07/08	03/02/09	195.62	381.48	-185.86		Y
	Trade	20 000		01/02/08	10/05/09	574.40	760.90	-186.50		Y
COVIDIEN PLC	Trade	3 000		05/02/08	12/22/09	141.86	142.26	-.40		Y
	Trade	2 000		07/17/08	12/22/09	94.57	96.50	-1.93		Y
	Trade	15 000		08/07/08	12/22/09	709.33	778.69	-69.36		Y
	Trade	12 000		08/08/08	12/22/09	567.46	641.28	-73.82		Y
CRH PLC IRELAND SPON ADR	Trade	1 000		12/24/07	10/20/09	28.92	35.34	-6.42		Y
	Trade	11 000		12/28/07	10/20/09	318.26	388.71	-70.45		Y
	Trade	3 000		12/31/07	10/20/09	86.79	106.52	-19.73		Y
	Trade	14 000		12/27/07	10/21/09	408.59	493.07	-84.48		Y
	Trade	6 000		12/28/07	10/21/09	175.11	212.02	-36.91		Y
CULLEN FROST BANKERS INC	Trade	8 000		03/12/08	04/21/09	383.78	407.12	-23.34		Y
	Trade	5 000		03/12/08	08/27/09	248.78	254.45	-5.67		Y
	Trade	8 000		03/12/08	08/28/09	395.34	407.12	-11.78		Y
DAIMLER AG ORD	Trade	5 000		03/04/08	12/22/09	265.99	414.46	-148.47		Y
	Trade	14 000		03/05/08	12/22/09	744.78	1,170.45	-425.67		Y
DAKTRONICS INC	Trade	12 000		12/18/07	03/05/09	73.16	253.34	-180.18		Y
	Trade	77 000		12/26/07	03/05/09	469.42	1,886.50	-1,417.08		Y
DIGITAL REALTY TRUST INC REIT	Trade	11 000		01/14/08	08/05/09	472.47	382.87	89.60		Y
	Trade	9 000		01/11/08	08/25/09	385.31	312.75	72.56		Y

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ANTHONY JEZEK
918-712-3100/800-950-2660

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UBS Financial Services Inc.

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DIGITAL REALTY TRUST INC REIT	Trade	1 000		01/14/08	08/25/09	42 80	34.81	7 99		Y
DISCOVER FINANCIAL SERVICES	Trade	14,000		11/28/06	02/09/09	110 23	353 85	-243.62		Y
	Trade	14 000		08/11/06	08/13/09	176 64	300.77	-124.13		Y
	Trade	31 000		11/28/06	08/13/09	391.13	783.51	-392 38	1	Y
	Trade	21 000		06/27/06	08/14/09	260 19	417 69	-157 50		Y
	Trade	9,000		06/29/06	08/14/09	111 51	182 25	-70 74		Y
	Trade	10 000		08/11/06	08/14/09	123 90	214 83	-90.93	1	Y
	Trade	45 000		06/27/06	08/17/09	548 48	895.06	-346.58	1	Y
	Trade	30 000		06/27/06	08/18/09	371 80	596.71	-224.91	1	Y
	Trade	35 000		06/27/06	08/19/09	437.14	696 16	-259.02	1	Y
	Trade	41,000		06/27/06	10/08/09	698 44	815 50	-117 06	1	Y
	Trade	18 000		11/29/07	10/08/09	306 63	312 38	-5.75		Y
	Trade	5 000		01/30/08	10/08/09	85 17	82 82	2 35		Y
	Trade	16,000		02/04/08	10/08/09	272 56	262 78	9 78		Y
	Trade	11,000		11/20/07	10/09/09	179 59	178 36	1.23		Y
	Trade	82 000		01/23/08	10/09/09	1,338.83	1,132.68	206 15		Y
	Trade	10,000		01/28/08	10/09/09	163 27	154.11	9 16		Y
	Trade	16,000		02/04/08	10/09/09	261.23	262 78	-1 55		Y
	Trade	17 000		02/05/08	10/09/09	277 56	270.44	7 12		Y
E ON AG SPON ADR	Trade	7 000		05/02/08	12/22/09	284.54	458.75	-174.21		Y
	Trade	7 000		05/05/08	12/22/09	284 54	460 14	-175 60		Y
	Trade	1 000		06/10/08	12/22/09	40 64	69 57	-28.93		Y
	Trade	4 000		06/11/08	12/22/09	162 59	274 30	-111 71		Y
	Trade	1 000		06/12/08	12/22/09	40 64	66 80	-26 16		Y
ENTERTAINMENT PROPERTIES TR	Trade	3 000		01/14/08	09/17/09	103 30	137.88	-34.58		Y
	Trade	15 000		01/15/08	09/17/09	516 51	695 59	-179 08		Y
	Trade	4 000		01/17/08	09/17/09	137.73	186.85	-49.12		Y
	Trade	11 000		01/11/08	09/28/09	376.89	505 57	-128 68		Y
	Trade	3 000		01/14/08	09/28/09	102 79	137 88	-35.09		Y
	Trade	4,000		01/18/08	09/28/09	137 05	180 68	-43 63		Y
EOG RESOURCES INC	Trade	7,000		12/26/07	08/19/09	517 56	637 49	-119 93		Y
	Trade	3 000		07/17/08	08/19/09	221 81	307.30	-85 49		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
EQT CORP										
Trade	Trade	8 000		06/27/06	06/02/09	306 48	269 28	37 20		Y
Trade	Trade	8 000		06/27/06	10/20/09	360 95	269 28	91 67		Y
Trade	Trade	22 000		06/29/06	10/20/09	992 60	730 65	261 95		Y
Trade	Trade	2 000		10/06/08	10/20/09	90 23	52 18	38 05		Y
EQUITABLE RESOURCES INC										
Trade	Trade	5 000		06/27/06	01/28/09	188 50	168 30	20 20		Y
Trade	Trade	5 000		06/27/06	01/29/09	181 31	168 30	13 01		Y
NAME CHANGE 2008										
EXPRESS SCRIPTS INC										
Trade	Trade	13 000		10/10/08	12/30/09	1,137 47	691 85	445 62		Y
Trade	Trade	7 000		10/13/08	12/30/09	612 48	419 15	193 33		Y
Trade	Trade	6 000		11/07/08	12/30/09	524 99	357 01	167 98		Y
FACTSET RESH SYSTEMS INC										
Trade	Trade	1 000		12/18/07	01/26/09	40 85	59 07	-18 22		Y
Trade	Trade	1 000		12/18/07	08/27/09	55 78	59 07	-3 29		Y
Trade	Trade	9 000		12/19/07	08/27/09	502 05	515 31	-13 26		Y
Trade	Trade	18 000		12/19/07	08/28/09	1,006 72	1,030 62	-23 90		Y
FEDEX CORP										
Trade	Trade	10 000		11/28/06	08/19/09	656 27	1,162 53	-506 26		Y
Trade	Trade	3 000		10/25/06	08/20/09	197 47	345 52	-148 05		Y
Trade	Trade	5 000		11/28/06	08/20/09	329 13	581 27	-252 14		Y
Trade	Trade	10 000		10/25/06	10/08/09	778 21	1,151 74	-373 53		Y
Trade	Trade	27 000		06/27/06	10/09/09	2,091 72	3,105 00	-1,013 28		Y
Trade	Trade	12 000		06/27/06	12/22/09	1,007 72	1,380 00	-372 28		Y
FIFTH THIRD BANCORP										
Trade	Trade	18 000		11/28/06	01/22/09	51 42	705 78	-654 36		Y
Trade	Trade	61 000		11/28/06	01/23/09	169 94	2,391 81	-2,221 87		Y
Trade	Trade	40 000		08/11/06	01/26/09	111 66	1,558 80	-1,447 14		Y
Trade	Trade	1 000		11/28/06	01/26/09	2 78	39 21	-36 43		Y
Trade	Trade	28 000		06/27/06	01/27/09	75 84	1,024 52	-948 68		Y
Trade	Trade	2 000		08/11/06	01/27/09	5 41	77 94	-72 53		Y
Trade	Trade	25 000		06/27/06	01/29/09	77 65	914 75	-837 10		Y
Trade	Trade	14 000		06/27/06	01/30/09	38 64	512 26	-473 62		Y
Trade	Trade	20 000		06/27/06	02/02/09	42 61	731 80	-689 19		Y
Trade	Trade	18 000		06/27/06	02/03/09	35 19	658 62	-623 43		Y
Trade	Trade	22 000		06/27/06	02/04/09	38 19	804 98	-766 79		Y
Trade	Trade	44 000		06/27/06	02/05/09	72 94	1,609 96	-1,537 02		Y
Trade	Trade	117 000		06/27/06	02/06/09	277 07	4,281 03	-4,003 96		Y
Trade	Trade	12 000		06/27/06	02/09/09	36 12	439 08	-402 96		Y

UBS Financial Services Inc.
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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FIFTH THIRD BANCORP	Trade	17 000		06/29/06	02/09/09	51.17	620.08	-568.91		Y
FORTUNE BRANDS INC	Trade	10 000		01/05/07	08/19/09	388.11	848.93	-460.82		Y
FRESH DEL MONTE PRODUCE INC	Trade	21 000		07/25/08	07/29/09	446.83	523.12	-76.29		Y
	Trade	5 000		07/25/08	09/10/09	119.17	124.55	-5.38		Y
	Trade	20 000		07/25/08	09/11/09	471.94	498.21	-26.27		Y
	Trade	8 000		07/25/08	09/14/09	189.94	199.28	-9.34		Y
F5 NETWORKS INC	Trade	17 000		08/16/07	03/25/09	354.65	590.89	-236.24		Y
	Trade	12 000		08/16/07	06/29/09	421.72	417.10	4.62		Y
	Trade	10 000		08/16/07	12/14/09	514.30	347.58	166.72		Y
GDF SUEZ SPON ADR	Trade	16 000		11/21/07	01/14/09	674.00	1,116.66	-442.66		Y
	Trade	26 000		11/21/07	01/15/09	1,065.41	1,814.57	-749.16		Y
	Trade	23 000		11/21/07	01/16/09	956.39	1,605.20	-648.81		Y
	Trade	2 000		11/21/07	01/20/09	83.83	139.58	-55.75		Y
	Trade	1 000		11/21/07	01/21/09	39.50	69.79	-30.29		Y
GENL ELECTRIC CO	Trade	20 000		03/23/07	08/13/09	282.87	715.30	-432.43		Y
	Trade	43 000		03/26/07	08/13/09	608.18	1,537.43	-929.25		Y
	Trade	47 000		03/22/07	08/14/09	651.30	1,677.35	-1,026.05		Y
	Trade	36 000		03/26/07	08/14/09	498.86	1,287.15	-788.29		Y
	Trade	82 000		03/27/07	08/14/09	1,136.32	2,929.77	-1,793.45		Y
	Trade	35 000		03/22/07	08/17/09	467.08	1,249.09	-782.01		Y
	Trade	102 000		03/28/07	08/17/09	1,361.23	3,621.70	-2,260.47		Y
	Trade	66 000		03/29/07	08/17/09	880.79	2,345.84	-1,465.05		Y
	Trade	47 000		03/30/07	08/17/09	627.23	1,659.24	-1,032.01		Y
	Trade	38 000		03/30/07	08/18/09	514.82	1,341.51	-826.69		Y
	Trade	40 000		03/20/07	10/08/09	650.82	1,390.20	-739.38		Y
	Trade	88 000		03/21/07	10/08/09	1,431.80	3,092.63	-1,660.83		Y
	Trade	1 000		03/30/07	10/08/09	16.26	35.30	-19.04		Y
	Trade	6 000		06/18/08	10/08/09	97.62	169.38	-71.76		Y
	Trade	60 000		06/18/08	10/09/09	970.03	1,693.80	-723.77		Y
	Trade	11 000		07/17/08	10/09/09	177.84	308.98	-131.14		Y
GENZYME CORP GEN DIV	Trade	17 000		06/27/06	02/05/09	1,224.30	1,040.38	183.92		Y
	Trade	34 000		06/27/06	02/06/09	2,428.51	2,080.77	347.74		Y

Account Number DL 37519 EJ
Your Financial Advisor or Contact
ANTHONY JEZEK
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UBS Financial Services Inc.

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GENZYME CORP GEN DIV	Trade	18 000		06/27/06	10/27/09	943 30	1,101.58	-158 28		Y
	Trade	27 000		06/27/06	10/28/09	1,388 51	1,652 37	-263 86		Y
	Trade	9 000		06/29/06	10/28/09	462 83	550 38	-87 55		Y
	Trade	4 000		03/09/07	10/28/09	205 70	240 89	-35 19		Y
	Trade	23 000		03/08/07	10/29/09	1,192 20	1,363 65	-171 45		Y
	Trade	5 000		03/09/07	10/29/09	259 18	301 11	-41 93		Y
	Trade	6 000		03/12/07	10/29/09	311.01	360.41	-49 40		Y
	Trade	8 000		03/13/07	10/29/09	414 68	479 24	-64 56		Y
	Trade	19 000		12/14/07	05/29/09	435 50	721.58	-286.08		Y
	Trade	25 000		12/10/07	06/01/09	599 85	946 59	-346 74		Y
HALLIBURTON CO (HOLDING COMPANY)	Trade	30 000		12/14/07	06/01/09	719 83	1,139 34	-419.51		Y
	Trade	18 000		12/06/07	06/02/09	426 55	675 36	-248 81		Y
	Trade	1 000		12/07/07	06/02/09	23 69	37 51	-13 82		Y
	Trade	2 000		12/10/07	06/02/09	47 39	75 73	-28 34		Y
	Trade	18 000		12/04/07	10/16/09	550 00	650 69	-100 69		Y
	Trade	27 000		12/05/07	10/16/09	825 00	995 75	-170 75		Y
	Trade	7 000		12/07/07	10/16/09	213 89	262 56	-48 67		Y
	Trade	40 000		12/17/07	10/16/09	1,222.23	1,475 02	-252 79		Y
	Trade	13 000		01/23/08	10/16/09	397 22	399 27	-2 05		Y
	Trade	5 000		01/23/08	10/19/09	154 64	153 56	1 08		Y
HARRIS CORP DELA	Trade	10 000		06/27/06	12/14/09	454 49	383.84	70 65		Y
	Trade	18 000		06/29/06	12/14/09	818 08	714 77	103.31		Y
	Trade	2 000		11/28/06	12/14/09	90 89	83 46	7 43		Y
	Trade	21 000		06/27/06	12/15/09	945 59	806 06	139 53		Y
HAYNES INTL INC NEW	Trade	1 000		09/27/07	03/24/09	16 02	84 29	-68.27		Y
	Trade	8 000		09/28/07	03/24/09	128 18	682 03	-553 85		Y
	Trade	2 000		10/01/07	03/24/09	32 04	171 99	-139 95		Y
	Trade	9 000		10/24/07	03/24/09	144 20	757 65	-613 45		Y
	Trade	2 000		10/25/07	03/24/09	32 04	167 37	-135 33		Y
HENKEL AG & CO KGAA PFD SPON ADR	Trade	2 000		10/26/07	03/24/09	32 04	170.54	-138.50		Y
	Trade	24 000		11/21/07	12/22/09	1,243 16	1,365 57	-122 41		Y
HESS CORP	Trade	9 000		11/05/08	12/22/09	530.44	534 83	-4 39		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HESS CORP	Trade	6,000		11/10/08	12/22/09	353.63	371.61	-17.98		Y
HIBBETT SPORTS INC	Trade	36,000		12/18/07	03/24/09	670.58	725.94	-55.36		Y
	Trade	26,000		12/18/07	03/25/09	479.71	524.29	-44.58		Y
HONDA MOTOR CO ADR JAPAN ADR	Trade	90,000		06/27/06	07/14/09	2,333.86	2,765.70	-431.84		Y
	Trade	10,000		06/29/06	07/14/09	259.32	309.02	-49.70		Y
	Trade	8,000		08/11/06	07/14/09	207.45	266.32	-58.87		Y
	Trade	23,000		06/04/08	07/14/09	596.43	819.38	-222.95		Y
ILLINOIS TOOL WORKS INC	Trade	26,000		06/27/06	06/18/09	945.30	1,226.16	-280.86		Y
	Trade	37,000		06/27/06	06/19/09	1,325.94	1,744.92	-418.98		Y
	Trade	31,000		06/27/06	06/22/09	1,076.26	1,461.96	-385.70		Y
	Trade	15,000		06/27/06	08/19/09	617.24	707.40	-90.16		Y
	Trade	27,000		06/27/06	12/22/09	1,282.19	1,273.32	8.87		Y
INTEL CORP	Trade	28,000		01/16/08	06/18/09	446.75	559.50	-112.75		Y
	Trade	89,000		01/16/08	06/19/09	1,425.56	1,778.42	-352.86		Y
	Trade	14,000		01/23/08	06/19/09	224.24	269.89	-45.65		Y
	Trade	5,000		06/27/06	06/22/09	78.84	90.75	-11.91		Y
	Trade	6,000		06/29/06	06/22/09	94.60	113.22	-18.62		Y
	Trade	71,000		01/23/08	06/22/09	1,119.58	1,368.72	-249.14		Y
	Trade	196,000		06/27/06	07/07/09	3,212.92	3,557.40	-344.48		Y
	Trade	192,000		06/27/06	07/08/09	3,067.28	3,484.80	-417.52		Y
	Trade	20,000		07/26/06	07/08/09	319.51	351.53	-32.02		Y
	Trade	85,000		07/27/06	07/08/09	1,357.90	1,495.29	-137.39		Y
	Trade	8,000		07/31/06	07/08/09	127.80	144.36	-16.56		Y
	Trade	55,000		07/26/06	07/09/09	886.37	966.70	-80.33		Y
	Trade	83,000		08/11/06	07/09/09	1,337.61	1,448.35	-110.74		Y
INTERFACE INC CLASS A	Trade	2,000		09/04/07	05/20/09	13.35	36.27	-22.92		Y
	Trade	10,000		09/05/07	05/20/09	66.78	180.84	-114.06		Y
	Trade	1,000		09/06/07	05/20/09	6.67	18.14	-11.47		Y
	Trade	10,000		09/20/07	05/20/09	66.78	179.58	-112.80		Y
	Trade	11,000		09/20/07	05/26/09	71.63	197.53	-125.90		Y
	Trade	6,000		08/27/07	05/27/09	38.95	107.28	-68.33		Y
	Trade	1,000		09/20/07	05/27/09	6.48	17.96	-11.48		Y
	Trade	5,000		08/30/07	05/29/09	31.61	88.77	-57.16		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
INTERFACE INC CLASS A	Trade	2 000		08/31/07	05/29/09	12 64	35 75	-23 11		Y
	Trade	5 000		08/30/07	06/01/09	32 46	88 77	-56 31		Y
	Trade	2 000		09/10/07	06/01/09	12 98	35 31	-22 33		Y
	Trade	13 000		09/10/07	06/02/09	84 48	229 50	-145 02		Y
	Trade	1 000		09/07/07	06/03/09	6 25	17 65	-11 40		Y
	Trade	3 000		09/10/07	06/03/09	18 76	52 96	-34 20		Y
	Trade	23 000		09/07/07	06/04/09	145 71	405 99	-260 28		Y
	Trade	5 000		08/28/07	06/08/09	32 20	88 03	-55 83		Y
	Trade	2 000		09/07/07	06/08/09	12 88	35 30	-22 42		Y
	Trade	16 000		08/28/07	06/09/09	102 62	281 68	-179 06		Y
	Trade	5 000		08/28/07	06/10/09	30 66	88 03	-57 37		Y
	Trade	14 000		12/05/06	04/27/09	173 25	346 34	-173 09		Y
	Trade	4 000		07/10/06	04/28/09	50 72	98 03	-47 31		Y
INTERLINE BRANDS INC	Trade	6 000		12/05/06	04/28/09	76 08	148 43	-72 35		Y
	Trade	6 000		07/10/06	04/29/09	77 07	147 05	-69 98		Y
	Trade	12 000		10/11/06	04/29/09	154 15	293 98	-139 83		Y
	Trade	13 000		11/30/06	04/30/09	172 61	313 37	-140 76		Y
	Trade	5 000		11/30/06	11/18/09	85 68	120 53	-34 85		Y
	Trade	1 000		06/27/06	11/19/09	17 18	22 65	-5 47		Y
	Trade	15 000		07/05/06	11/19/09	257 89	360 81	-102 92		Y
	Trade	20 000		11/29/06	11/19/09	343 85	479 91	-136 06		Y
	Trade	2 000		11/30/06	11/19/09	34 38	48 21	-13 83		Y
	Trade	6 000		11/12/07	08/19/09	35 41	54 62	-19 21		Y
	Trade	44 000		12/06/07	08/19/09	259 73	397 05	-137 32		Y
	Trade	53 000		04/02/07	04/16/09	968 30	2 410 49	-1 442 19		Y
	Trade	24 000		06/27/06	08/19/09	1 347 08	1 641 12	-294 04		Y
ISHARES TRUST RUSSELL 2000 INDEX FUND	Trade	49 000		08/11/06	08/19/09	2 750 29	3 310 93	-560 64		Y
	Trade	147 000		01/23/08	08/19/09	8 250 89	9 814 06	-1 563 17		Y
	Trade	10 000		01/23/08	12/22/09	622 38	667 62	-45 24		Y
	Trade	94 000		01/23/08	12/22/09	5 139 78	6 317 04	-1 177 26		Y
ISHARES MSCI EAFE INDEX FUND	Trade	48 000		04/17/08	12/22/09	2 624 57	3 575 52	-950 95		Y
	Trade	8 000		07/31/07	10/15/09	227 97	279 28	-51 31		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
KANSAS CITY STHN NEW	Trade	1 000		08/20/07	10/15/09	28 49	31 87	-3 38		Y
	Trade	9 000		12/26/07	10/15/09	256 47	312 12	-55 65		Y
	Trade	7 000		08/10/06	11/17/09	204 63	175 33	29 30		Y
	Trade	1 000		11/28/06	11/17/09	29 22	26 39	2 83		Y
	Trade	6 000		08/20/07	11/17/09	175 40	191 25	-15 85		Y
	Trade	8 000		08/21/07	11/17/09	233 87	252 02	-18 15		Y
KAO CORP REPSTG 10 SHS COM SPON ADR	Trade	1 000		08/21/07	01/15/09	288 39	279 73	8 66		Y
	Trade	1 000		07/31/07	01/20/09	275 35	276 90	-1 55		Y
KOMATSU LTD SPON ADR NEW	Trade	6 000		12/21/07	01/15/09	292 17	607 75	-315 58		Y
	Trade	2 000		12/26/07	01/15/09	97 38	213 00	-115 62		Y
	Trade	11 000		12/21/07	01/16/09	512 87	1,114 22	-601 35		Y
	Trade	1 000		12/21/07	01/20/09	47 31	101 29	-53 98		Y
LIFE TIME FITNESS INC	Trade	5 000		04/14/08	07/24/09	136 83	144 85	-8 02		Y
	Trade	16 000		04/15/08	07/24/09	437 85	466 99	-29 14		Y
	Trade	1 000		04/16/08	07/24/09	27 36	30 84	-3 48		Y
	Trade	9 000		04/17/08	07/24/09	246 29	270 67	-24 38		Y
	Trade	14 000		07/07/08	07/24/09	383 13	409 73	-26 60		Y
MARATHON OIL CORP	Trade	2 000		02/29/08	08/19/09	61 47	106 56	-45 09		Y
	Trade	14 000		03/03/08	08/19/09	430 33	748 69	-318 36		Y
MASCO CORP	Trade	25 000		06/27/06	02/05/09	201 25	719 75	-518 50		Y
	Trade	58 000		06/27/06	02/06/09	475 71	1,669 82	-1,194 11		Y
	Trade	12 000		11/28/06	02/06/09	98 42	335 64	-237 22		Y
	Trade	34 000		11/28/06	02/09/09	275 69	950 98	-675 29		Y
	Trade	25 000		11/28/06	02/10/09	193 74	699 25	-505 51		Y
	Trade	28 000		11/28/06	02/11/09	208 32	783 16	-574 84		Y
	Trade	34 000		08/11/06	02/12/09	232 28	897 94	-665 66		Y
	Trade	56 000		11/28/06	02/12/09	382 59	1,566 32	-1,183 73		Y
	Trade	26 000		08/11/06	02/13/09	170 41	686 66	-516 25		Y
	Trade	22 000		08/11/06	02/17/09	131 66	581 02	-449 36		Y
MEDCO HEALTH SOLUTIONS INC	Trade	10 000		11/29/06	04/07/09	422 79	253 50	169 29		Y
	Trade	9 000		11/29/06	04/08/09	380 37	228 15	152 22		Y
	Trade	3 000		11/29/06	04/09/09	127 78	76 05	51 73		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MEDCO HEALTH SOLUTIONS INC	Trade	9 000		11/29/06	04/13/09	380.91	228.15	152.76		Y
	Trade	10 000		11/29/06	04/15/09	430.62	253.50	177.12		Y
	Trade	25 000		11/29/06	12/15/09	1,625.97	633.74	992.23		Y
	Trade	10 000		11/29/06	12/16/09	630.42	253.50	376.92		Y
	Trade	8 000		11/28/06	12/17/09	504.70	199.80	304.90		Y
	Trade	15 000		11/29/06	12/17/09	946.32	380.24	566.08		Y
	Trade	19 000		11/28/06	12/30/09	1,230.27	474.53	755.74		Y
MEDTRONIC INC	Trade	23 000		06/27/06	12/22/09	999.32	1,088.16	-88.84		Y
MICROSOFT CORP	Trade	64 000		03/11/08	03/30/09	1,117.57	1,845.28	-727.71		Y
	Trade	12 000		03/11/08	08/19/09	283.70	345.99	-62.29		Y
	Trade	56 000		03/11/08	12/22/09	1,726.60	1,614.62	111.98		Y
MITSUBISHI CORP SPONS ADR ADR	Trade	5 000		07/31/07	12/22/09	237.99	298.50	-60.51		Y
	Trade	2 000		08/21/07	12/22/09	95.19	103.20	-8.01		Y
	Trade	6 000		03/19/08	12/22/09	285.59	354.85	-69.26		Y
	Trade	3 000		07/17/08	12/22/09	142.79	179.81	-37.02		Y
	Trade	4 000		09/22/08	12/22/09	190.39	212.26	-21.87		Y
MITSUI SUMITOMO UNSPONS ADR	Trade	92 000		10/10/08	10/16/09	1,096.15	1,400.77	-304.62		Y
	Trade	55 000		10/14/08	10/16/09	655.31	906.43	-251.12		Y
	Trade	3 000		10/15/08	10/16/09	35.74	46.56	-10.82		Y
	Trade	19 000		10/10/08	10/19/09	232.71	289.29	-56.58		Y
MOBILE MINI INC	Trade	26 000		10/23/07	03/30/09	291.45	453.61	-162.16		Y
	Trade	5 000		10/23/07	06/12/09	73.22	87.23	-14.01		Y
	Trade	10 000		10/23/07	06/15/09	143.61	174.47	-30.86		Y
	Trade	44 000		10/23/07	06/19/09	639.08	767.65	-128.57		Y
	Trade	70 000		10/23/07	06/23/09	990.47	1,221.27	-230.80		Y
MORGAN STANLEY	Trade	92 000		06/27/06	02/06/09	2,154.23	4,633.57	-2,479.34	1	Y
	Trade	25 000		06/27/06	07/07/09	665.58	1,259.12	-593.54	1	Y
	Trade	27 000		06/27/06	07/08/09	689.87	1,359.85	-669.98	1	Y
	Trade	18 000		06/27/06	07/09/09	470.31	906.57	-436.26	1	Y
	Trade	28 000		06/27/06	12/22/09	824.01	1,410.22	-586.21	1	Y
MOVADO GROUP INC	Trade	4 000		12/15/06	06/22/09	44.01	103.69	-59.68		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MOVADO GROUP INC	Trade	3 000		12/26/06	06/22/09	33 00	83 05	-50 05		Y
	Trade	5 000		12/15/06	08/05/09	66 76	129 62	-62 86		Y
	Trade	5 000		12/15/06	08/06/09	65 15	129 62	-64 47		Y
	Trade	1 000		12/15/06	08/07/09	13 24	25 92	-12 68		Y
	Trade	4 000		12/18/06	08/07/09	52 99	101 64	-48 65		Y
	Trade	4 000		11/28/06	08/10/09	52 25	99 36	-47 11		Y
	Trade	6 000		12/18/06	08/10/09	78 37	152 45	-74 08		Y
	Trade	4 000		07/26/06	08/11/09	51 13	92 63	-41 50		Y
	Trade	6 000		11/28/06	08/11/09	76 71	149 04	-72 33		Y
	Trade	74 000		04/11/08	04/16/09	1,175 96	2,001 94	-825 98		Y
NATL AUSTRALIA BANK LTD SPON ADR	Trade	6 000		04/14/08	04/16/09	95 34	156 86	-61 52		Y
	Trade	4 000		04/15/08	04/16/09	63 56	106 39	-42 83		Y
	Trade	11 000		04/14/08	04/17/09	174 04	287 58	-113 54		Y
	Trade	10 000		07/31/07	01/15/09	355 37	387 20	-31 83		Y
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	Trade	20 000		08/20/07	01/15/09	710 75	821 84	-111 09		Y
	Trade	55 000		06/27/06	01/16/09	2,002 43	1,656 58	345 85		Y
	Trade	5 000		06/27/06	01/20/09	180 98	150 60	30 38		Y
	Trade	2 000		06/27/06	01/21/09	70 45	60 24	10 21		Y
	Trade	27 000		10/16/07	03/20/09	402 89	790 79	-387 90		Y
	Trade	59 000		10/17/07	03/20/09	880 39	1,723 62	-843 23		Y
NETAPP INC	Trade	9 000		10/18/07	03/20/09	134 30	266 85	-132 55		Y
	Trade	6 000		10/19/07	03/20/09	89 53	177 37	-87 84		Y
	Trade	6 000		10/22/07	03/20/09	89 53	179 62	-90 09		Y
	Trade	26 000		10/24/07	03/20/09	387 97	807 15	-419 18		Y
	Trade	12 000		10/25/07	03/20/09	179 06	372 69	-193 63		Y
	Trade	22 000		10/29/07	03/20/09	328 28	672 60	-344 32		Y
	Trade	10 000		03/09/07	06/29/09	222 99	297 43	-74 44		Y
	Trade	10 000		03/13/07	06/29/09	222 99	299 60	-76 61		Y
NEUSTAR INC CL A	Trade	14 000		03/09/07	06/30/09	310 20	416 40	-106 20		Y
	Trade	12 000		03/15/07	06/30/09	265 89	354 98	-89 09		Y
	Trade	8 000		07/31/07	06/30/09	177 25	233 04	-55 79		Y
	Trade	1 000		04/02/07	08/05/09	22 62	28 44	-5 82		Y
	Trade	4 000		07/31/07	08/05/09	90 50	116 52	-26 02		Y
	Trade	4 000		03/30/07	08/06/09	89 79	113 13	-23 34		Y
	Trade	10 000		03/09/07	06/29/09	222 99	297 43	-74 44		Y
	Trade	14 000		03/13/07	06/29/09	222 99	299 60	-76 61		Y

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UBS Financial Services Inc.

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NEUSTAR INC CL A	Trade	6,000		04/02/07	08/06/09	134.69	170.66	-35.97		Y
	Trade	14,000		03/30/07	09/02/09	322.35	395.95	-73.60		Y
	Trade	1,000		05/29/08	09/02/09	23.02	22.78	24		Y
	Trade	22,000		05/29/08	09/03/09	503.08	501.10	1.98		Y
NEWS CORP INC DELA CL A	Trade	35,000		11/28/06	03/25/09	240.21	710.85	-470.64		Y
	Trade	22,000		06/27/06	03/26/09	151.99	409.64	-257.65		Y
	Trade	13,000		06/29/06	03/26/09	89.81	247.16	-157.35		Y
	Trade	17,000		11/28/06	03/26/09	117.44	345.27	-227.83		Y
	Trade	44,000		06/27/06	03/27/09	305.70	819.28	-513.58		Y
	Trade	27,000		06/27/06	03/30/09	176.47	502.74	-326.27		Y
	Trade	66,000		06/27/06	03/31/09	438.67	1,228.92	-790.25		Y
	Trade	56,000		06/27/06	04/01/09	381.97	1,042.72	-660.75		Y
	Trade	19,000		06/27/06	04/02/09	140.58	353.78	-213.20		Y
	Trade	27,000		08/11/06	04/02/09	199.77	499.50	-299.73		Y
	Trade	5,000		08/11/06	04/03/09	38.08	92.50	-54.42		Y
NISOURCE INC	Trade	40,000		06/27/06	01/02/09	446.13	871.60	-425.47		Y
	Trade	23,000		06/27/06	01/05/09	257.31	501.17	-243.86		Y
	Trade	34,000		06/27/06	01/06/09	382.60	740.86	-358.26		Y
	Trade	6,000		06/27/06	01/07/09	65.55	130.74	-65.19		Y
	Trade	47,000		08/11/06	01/07/09	513.53	1,003.92	-490.39		Y
NOKIA CORP SPONS ADR FINLAND ADR	Trade	96,000		04/11/08	08/18/09	1,195.18	3,126.57	-1,931.39		Y
	Trade	20,000		04/14/08	08/18/09	249.00	648.04	-399.04		Y
	Trade	64,000		05/16/08	08/18/09	796.79	1,861.54	-1,064.75		Y
	Trade	14,000		07/17/08	08/18/09	174.30	382.11	-207.81		Y
	Trade	22,000		07/21/08	08/18/09	273.90	603.93	-330.03		Y
NOVARTIS AG SPON ADR	Trade	1,000		04/02/07	01/16/09	47.19	55.16	-7.97		Y
	Trade	5,000		04/02/07	08/17/09	222.69	275.78	-53.09		Y
	Trade	40,000		04/02/07	08/18/09	1,779.24	2,206.27	-427.03		Y
	Trade	55,000		04/02/07	08/20/09	2,474.40	3,033.62	-559.22		Y
	Trade	1,000		04/04/07	08/20/09	44.99	55.10	-10.11		Y
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	Trade	11,000		06/27/06	01/14/09	193.54	152.46	41.08		Y
	Trade	19,000		07/31/07	01/14/09	334.30	264.48	69.82		Y
	Trade	43,000		08/20/07	01/14/09	756.60	625.49	131.11		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NTT DOCOMO INC ECH	Trade	114 000		06/27/06	01/15/09	1,966 27	1,580 04	386 23		Y
REPSTG 1/200TH COM SHS	Trade	36 000		06/27/06	01/16/09	619 03	498 96	120 07		Y
SPON ADR										
NUANCE COMMUNICATIONS	Trade	25 000		04/25/07	12/14/09	379 50	408 05	-28 55		Y
INC	Trade	7 000		03/16/07	12/15/09	105 73	100 61	5 12		Y
	Trade	5 000		04/25/07	12/15/09	75 52	81 61	-6 09		Y
OIL STATES INTL INC	Trade	2 000		08/11/06	01/28/09	38 59	61 10	-22 51		Y
	Trade	7 000		08/11/06	01/29/09	131 87	213 85	-81 98		Y
	Trade	5 000		08/11/06	10/08/09	188 67	152 75	35 92		Y
	Trade	13 000		08/11/06	10/09/09	472 56	397 15	75 41		Y
	Trade	2 000		08/21/06	10/09/09	72 69	60 83	11 86		Y
	Trade	7 000		08/18/06	10/12/09	258 22	210 07	48 15		Y
	Trade	3 000		08/21/06	10/12/09	110 66	91 24	19 42		Y
	Trade	5 000		08/18/06	10/12/09	184 68	150 05	34 63		Y
	Trade	2 000		07/21/06	10/26/09	74 07	59 67	14 40		Y
	Trade	8 000		08/18/06	10/26/09	296 33	240 08	56 25		Y
	Trade	15 000		07/12/06	10/27/09	549 79	447 54	102 25		Y
OLD DOMINION FREIGHT	Trade	8 000		01/24/08	06/02/09	244 87	198 44	46 43		Y
LINES INC	Trade	8 000		01/18/08	12/31/09	242 72	172 27	70 45		Y
	Trade	23 000		01/22/08	12/31/09	697 82	506 84	190 98		Y
	Trade	1 000		01/24/08	12/31/09	30 34	24 81	5 53		Y
	Trade	12 000		10/10/08	12/31/09	364 08	261 44	102 64		Y
	Trade	16 000		10/13/08	12/31/09	485 44	414 03	71 41		Y
OMNICOM GROUP INC	Trade	6 000		06/27/06	08/19/09	211 46	263 43	-51 97		Y
	Trade	2 000		06/10/08	08/19/09	70 48	92 26	-21 78		Y
	Trade	6 000		06/11/08	08/19/09	211 46	276 26	-64 80		Y
	Trade	1 000		06/12/08	08/19/09	35 23	46 13	-10 90		Y
	Trade	15 000		06/27/06	10/19/09	578 68	658 58	-79 90		Y
	Trade	10 000		06/27/06	10/20/09	383 79	439 05	-55 26		Y
	Trade	25 000		06/27/06	10/21/09	918 63	1 097 63	-179 00		Y
	Trade	29 000		06/27/06	12/22/09	1 126 13	1 273 25	-147 12		Y
OPTIONSPRESS HOLDINGS	Trade	16 000		09/19/06	02/03/09	180 93	455 30	-274 37		Y
INC	Trade	10 000		09/19/06	02/04/09	114 96	284 56	-169 60		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
OPTIONSPRESS HOLDINGS INC	Trade	3 000		09/21/06	02/04/09	34.48	83.04	-48.56		Y
	Trade	5 000		09/21/06	02/05/09	56.93	138.41	-81.48		Y
ORTHOPIX INTL NV ORD	Trade	18 000		02/25/08	04/17/09	319.08	727.24	-408.16		Y
	Trade	9 000		02/27/08	04/17/09	159.53	344.77	-185.24		Y
	Trade	3 000		02/27/08	04/20/09	50.09	114.92	-64.83		Y
PACCAR INC	Trade	10 000		01/24/08	08/19/09	353.03	461.69	-108.66		Y
	Trade	2 000		01/24/08	12/22/09	73.19	92.34	-19.15		Y
	Trade	2 000		01/29/08	12/22/09	73.19	91.95	-18.76		Y
PEABODY ENERGY CORP	Trade	3 000		07/31/07	08/19/09	103.34	120.01	-16.67		Y
	Trade	6 000		08/08/07	08/19/09	206.70	249.00	-42.30		Y
	Trade	6 000		08/10/07	08/19/09	206.70	255.63	-48.93	1	Y
	Trade	8 000		07/25/07	10/27/09	339.14	315.45	23.69		Y
	Trade	15 000		07/31/07	10/27/09	635.89	599.43	36.46		Y
	Trade	7 000		07/31/07	10/27/09	296.75	280.02	16.73	1	Y
	Trade	5 000		07/25/07	10/28/09	201.18	197.15	4.03	1	Y
	Trade	5 000		08/01/07	10/28/09	201.18	195.41	5.77		Y
	Trade	9 000		07/26/07	10/29/09	372.80	350.44	22.36		Y
	Trade	5 000		07/30/07	10/29/09	207.11	194.79	12.32		Y
	Trade	8 000		08/01/07	10/29/09	331.37	312.65	18.72	1	Y
PENNANTPARK INVT CORP	Trade	5 000		07/23/07	01/22/09	17.56	71.43	-53.87		Y
	Trade	1 000		07/20/07	02/02/09	3.13	14.20	-11.07		Y
	Trade	5 000		07/23/07	02/02/09	15.67	71.43	-55.76		Y
	Trade	14 000		07/24/07	02/02/09	43.90	198.79	-154.89		Y
	Trade	3 000		07/24/07	02/05/09	8.94	42.60	-33.66		Y
	Trade	16 000		07/25/07	02/05/09	47.72	223.99	-176.27		Y
	Trade	35 000		07/31/07	02/05/09	104.40	471.45	-367.05		Y
	Trade	17 000		07/31/07	02/06/09	51.30	228.99	-177.69		Y
	Trade	2 000		07/31/07	02/09/09	5.99	26.94	-20.95		Y
	Trade	3 000		08/01/07	02/09/09	8.99	40.15	-31.16		Y
	Trade	10 000		08/08/07	02/09/09	30.00	134.24	-104.24		Y
	Trade	3 000		08/01/07	02/10/09	8.98	40.15	-31.17		Y
	Trade	11 000		08/03/07	02/10/09	32.94	146.85	-113.91		Y
	Trade	2 000		08/07/07	02/10/09	5.98	26.50	-20.52		Y
	Trade	16 000		08/07/07	02/11/09	44.41	211.98	-167.57		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PENNANTPARK INVT CORP	Trade	5 000		08/07/07	02/12/09	13.60	66.24	-52.64		Y
	Trade	10 000		08/06/07	02/13/09	26.65	132.33	-105.68		Y
	Trade	1 000		08/07/07	02/13/09	2.67	13.25	-10.58		Y
PENNEY J C CO INC (HLDG CO)	Trade	10 000		06/10/08	06/18/09	261.68	382.66	-120.98		Y
	Trade	14 000		06/10/08	06/19/09	371.23	535.73	-164.50		Y
	Trade	65 000		06/11/08	06/19/09	1,723.57	2,457.49	-733.92		Y
PEPSICO INC	Trade	7 000		10/31/08	12/22/09	424.60	400.71	23.89		Y
	Trade	14 000		11/05/08	12/22/09	849.21	799.52	49.69		Y
PETSMART INC	Trade	15 000		07/14/08	12/14/09	400.38	292.52	107.86		Y
PRESTIGE BRANDS HOLDINGS INC	Trade	14 000		01/09/08	04/29/09	95.04	101.19	-6.15		Y
PRINCIPAL FINANCIAL GROUP INC	Trade	3 000		12/05/07	10/08/09	84.38	192.94	-108.56		Y
	Trade	8 000		01/16/08	10/08/09	225.03	521.58	-296.55		Y
	Trade	9 000		01/17/08	10/08/09	253.16	572.64	-319.48		Y
	Trade	10 000		12/04/07	10/09/09	281.31	629.76	-348.45		Y
	Trade	11 000		01/17/08	10/09/09	309.44	699.90	-390.46		Y
	Trade	13 000		01/18/08	10/09/09	365.70	796.30	-430.60		Y
	Trade	12 000		01/23/08	10/09/09	337.57	681.42	-343.85		Y
	Trade	3 000		02/13/08	10/09/09	84.38	169.14	-84.76		Y
	Trade	5 000		02/14/08	10/09/09	140.65	285.19	-144.54		Y
	Trade	3 000		02/19/08	10/09/09	84.38	170.77	-86.39		Y
PRUDENTIAL PLC ADR UNITED KINGDOM	Trade	8 000		02/20/08	10/09/09	225.04	450.80	-225.76		Y
	Trade	26 000		08/20/07	12/22/09	521.28	686.53	-165.25		Y
QUEST SOFTWARE INC	Trade	15 000		04/18/08	12/22/09	300.74	423.60	-122.86		Y
	Trade	18 000		09/13/06	03/30/09	226.47	259.76	-33.29		Y
	Trade	15 000		09/13/06	08/05/09	222.35	216.46	5.89		Y
REGAL BELOIT CORP	Trade	7 000		09/14/06	08/05/09	103.76	100.80	2.96		Y
	Trade	9 000		06/29/06	03/30/09	269.90	389.21	-119.31		Y
	Trade	2 000		06/29/06	06/02/09	83.28	86.49	-3.21		Y
	Trade	17 000		06/27/06	12/08/09	845.65	711.79	133.86		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
REGIS CORP MINN	Trade	2 000		11/02/06	01/28/09	25 12	74 64	-49 52		Y
	Trade	7 000		11/02/06	01/29/09	83 10	261 25	-178 15		Y
	Trade	14 000		11/03/06	01/29/09	166 20	522 40	-356 20		Y
	Trade	10 000		11/28/06	01/29/09	118 71	372 30	-253 59		Y
	Trade	5 000		08/06/07	01/29/09	59 36	170 36	-111 00		Y
RIGHTNOW TECHNOLOGIES INC	Trade	3 000		08/24/07	02/25/09	23 01	41 94	-18 93		Y
	Trade	2 000		08/27/07	02/25/09	15 34	27 96	-12 62		Y
	Trade	4 000		08/23/07	02/26/09	31 99	55 89	-23 90		Y
	Trade	1 000		08/24/07	02/26/09	7 99	13 98	-5 99		Y
	Trade	5 000		08/16/07	02/27/09	40 31	66 32	-26 01		Y
	Trade	3 000		08/22/07	02/27/09	24 18	41 81	-17 63		Y
	Trade	4 000		08/23/07	02/27/09	32 25	55 89	-23 64		Y
	Trade	4 000		08/16/07	03/02/09	31 79	53 06	-21 27		Y
	Trade	10 000		07/31/07	03/03/09	75 81	131 60	-55 79		Y
	Trade	3 000		08/16/07	03/03/09	22 74	39 79	-17 05		Y
	Trade	9 000		08/07/06	03/04/09	67 30	115 28	-47 98		Y
	Trade	4 000		07/31/07	03/04/09	29 90	52 64	-22 74		Y
	Trade	1 000		08/07/06	03/05/09	7 12	12 81	-5 69		Y
	Trade	20 000		07/25/06	03/06/09	127 34	253 69	-126 35		Y
	Trade	1 000		08/09/06	03/06/09	6 36	12 23	-5 87		Y
	Trade	10 000		08/09/06	12/30/09	178 08	122 25	55 83		Y
ROCHE HLDG LTD SPONS ADR SWITZ ADR	Trade	18 000		07/31/07	12/22/09	766 42	801 00	-34 58		Y
	Trade	8 000		08/20/07	12/22/09	340 63	348 20	-7 57		Y
RYDER SYSTEM INC	Trade	10 000		11/05/08	12/22/09	431 78	395 02	36 76		Y
	Trade	4 000		11/06/08	12/22/09	172 71	152 51	20 20		Y
	Trade	7 000		11/10/08	12/22/09	302 25	269 77	32 48		Y
	Trade	5 000		11/05/08	12/30/09	211 09	197 51	13 58		Y
	Trade	4 000		11/06/08	12/30/09	168 87	152 51	16 36		Y
	Trade	3 000		11/07/08	12/30/09	126 65	113 96	12 69		Y
	Trade	4 000		11/10/08	12/30/09	168 87	154 16	14 71		Y
	Trade	17 000		11/14/08	12/30/09	717 72	636 14	81 58		Y
	Trade	6 000		06/29/06	05/12/09	276 39	269 50	6 89		Y
	Trade	4 000		08/11/06	05/12/09	184 26	188 20	-3 94		Y
SEMPRA ENERGY	Trade	7 000		06/27/06	05/13/09	316 13	306 95	9 18		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SEMPRA ENERGY	Trade	5 000		06/27/06	08/13/09	256 19	219 25	36 94		Y
	Trade	10 000		06/27/06	08/17/09	503 56	438 50	65 06		Y
	Trade	10 000		06/27/06	08/18/09	502 58	438 50	64 08		Y
	Trade	15 000		06/27/06	08/20/09	758 79	657 75	101 04		Y
	Trade	10 000		06/27/06	08/21/09	513 30	438 50	74 80		Y
	Trade	5 000		06/27/06	08/24/09	256 99	219 25	37 74		Y
	Trade	10 000		06/27/06	08/25/09	517 14	438 50	78 64		Y
	Trade	10 000		06/27/06	08/27/09	511 19	438 50	72 69		Y
	Trade	5 000		06/27/06	08/28/09	254 52	219 25	35 27		Y
	Trade	15 000		06/27/06	09/01/09	748 45	657 75	90 70		Y
	Trade	7 000		06/27/06	09/02/09	345 74	306 95	38 79		Y
SIEMENS A G SPON ADR	Trade	5 000		08/02/07	08/17/09	393 74	637 12	-243 38		Y
	Trade	25 000		08/02/07	08/18/09	1,975 09	3,185 61	-1,210 52		Y
	Trade	6 000		08/01/07	08/20/09	485 87	762 23	-276 36		Y
	Trade	1 000		08/02/07	08/20/09	80 98	127 42	-46 44		Y
SMITH MICRO SOFTWARE INC	Trade	10 000		08/20/07	08/20/09	809 78	1,201 12	-391 34		Y
	Trade	11 000		09/26/06	03/04/09	44 61	154 96	-110 35		Y
	Trade	4 000		09/26/06	03/05/09	16 18	56 35	-40 17		Y
	Trade	13 000		09/21/06	03/06/09	52 12	183 13	-131 01		Y
	Trade	17 000		09/22/06	03/06/09	68 16	238 96	-170 80		Y
	Trade	7 000		09/22/06	03/10/09	27 63	98 39	-70 76		Y
	Trade	6 000		09/22/06	03/11/09	23 41	84 34	-60 93		Y
	Trade	4 000		09/27/06	03/11/09	15 60	56 22	-40 62		Y
	Trade	10 000		09/25/06	03/12/09	43 87	139 74	-95 87		Y
	Trade	1 000		09/27/06	03/12/09	4 38	14 06	-9 68		Y
	Trade	7 000		02/01/07	03/12/09	30 71	94 27	-63 56		Y
	Trade	15 000		02/05/07	03/12/09	65 81	203 72	-137 91		Y
	Trade	8 000		07/31/07	03/12/09	35 09	111 28	-76 19		Y
	Trade	8 000		02/01/07	03/13/09	36 81	107 74	-70 93		Y
SOLERA HOLDINGS INC	Trade	9 000		02/06/07	03/13/09	41 41	120 85	-79 44		Y
	Trade	35 000		06/04/08	12/30/09	1,284 32	927 50	356 82		Y
SOWSTN ENERGY CO	Trade	35 000		01/22/08	12/30/09	1,702 01	871 50	830 51		Y
	Trade	64 000		11/28/06	12/22/09	7,139 75	8,897 28	-1,757 53		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SPRINT NEXTEL CORP SERIES 1 COMMON STOCK	Trade	43 000		06/29/06	08/19/09	162 76	849 44	-686 68		Y
	Trade	97 000		11/28/06	08/19/09	367 16	1,895.38	-1,528 22		Y
	Trade	80 000		11/28/06	11/04/09	235 77	1,563 20	-1,327 43		Y
	Trade	147 000		06/27/06	11/05/09	425 26	2,862.72	-2,437 46		Y
	Trade	23 000		11/28/06	11/05/09	66 53	449 42	-382 89		Y
	Trade	205 000		06/27/06	11/06/09	583 41	3,992 23	-3,408 82		Y
	Trade	190 000		06/27/06	11/10/09	619 70	3,700 12	-3,080 42		Y
	Trade	210 000		06/27/06	11/11/09	667 05	4,089.60	-3,422 55		Y
	Trade	18 000		06/27/06	11/12/09	55 11	350 54	-295 43		Y
	Trade	97 000		08/11/06	11/12/09	297 03	1,622 81	-1,325.78		Y
	Trade	10 000		08/11/06	11/13/09	31 23	167 30	-136 07		Y
	Trade	51 000		08/07/08	11/13/09	159 28	375 17	-215 89		Y
STEVEN MADDEN LTD	Trade	16 000		10/11/07	04/28/09	467 56	279 78	187 78		Y
	Trade	10 000		10/12/07	04/28/09	292.23	174 02	118 21		Y
	Trade	1 000		10/15/07	04/28/09	29 21	17.54	11 67		Y
	Trade	7 000		10/12/07	06/08/09	204 05	121 81	82 24		Y
	Trade	5 000		10/12/07	06/09/09	146 87	87 01	59 86		Y
	Trade	1 000		01/22/08	07/30/09	32 80	16.27	16.53		Y
	Trade	22 000		01/10/08	02/05/09	228.26	1,336 29	-1,108 03		Y
SUNTRUST BANKS INC	Trade	8 000		01/11/08	02/05/09	83 00	499 50	-416 50		Y
	Trade	7 000		01/14/08	02/05/09	72 62	428.91	-356.29		Y
	Trade	5 000		01/16/08	02/05/09	51.87	307.27	-255 40		Y
	Trade	16 000		01/17/08	02/05/09	166.01	967 81	-801 80		Y
	Trade	14 000		01/15/08	02/06/09	160 69	839.90	-679 21		Y
	Trade	3 000		01/17/08	02/06/09	34 43	181 47	-147 04		Y
	Trade	141 000		06/27/06	02/12/09	2,136 60	2,587 35	-450.75		Y
TESCO PLC SPONS ADR UNITED KINGDOM	Trade	26 000		06/29/06	02/12/09	393 98	474.19	-80.21		Y
	Trade	5 000		06/12/07	08/27/09	12 08	56 90	-44.82		Y
THE STREET COM INC	Trade	10 000		06/14/07	08/27/09	24.18	113.26	-89.08		Y
	Trade	4 000		06/08/07	08/28/09	10.04	45 03	-34.99		Y
	Trade	4 000		06/14/07	08/28/09	10.04	45 30	-35 26		Y
	Trade	8 000		06/15/07	08/28/09	20.09	90 20	-70.11		Y
	Trade	1 000		06/18/07	08/28/09	2 50	11 30	-8 80		Y
	Trade	3 000		06/19/07	08/28/09	7 53	33.69	-26.16		Y
	Trade	1 000		06/19/07	08/28/09	7 53	33.69	-26.16		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
THESTREET.COM INC	Trade	10,000		06/19/07	09/03/09	24 15	112.30	-88.15		Y
	Trade	3,000		05/22/07	09/04/09	7 23	33 68	-26.45		Y
	Trade	7,000		06/19/07	09/04/09	16 87	78.61	-61 74		Y
	Trade	32,000		05/22/07	09/10/09	78 87	359.26	-280.39		Y
	Trade	1,000		05/23/07	09/10/09	2 46	11.20	-8.74		Y
	Trade	2,000		05/29/07	09/10/09	4 92	22 44	-17.52		Y
	Trade	20,000		05/23/07	09/11/09	52 33	223 92	-171 59		Y
	Trade	10,000		05/24/07	09/11/09	26 16	110 08	-83 92		Y
	Trade	4,000		05/25/07	09/11/09	10 46	43.97	-33 51		Y
	Trade	20,000		07/31/07	09/11/09	52 33	223.60	-171.27		Y
	Trade	13,000		08/16/07	09/11/09	34 01	127 67	-93 66		Y
	Trade	18,000		08/17/07	09/11/09	47 10	183 23	-136 13		Y
	Trade	5,000		06/19/08	09/11/09	13 08	34.73	-21 65		Y
	Trade	15,000		06/19/08	09/14/09	40 00	104 18	-64 18		Y
	Trade	10,000		06/19/08	09/15/09	26 62	69 45	-42 83		Y
	Trade	15,000		06/19/08	09/16/09	40 60	104.18	-63 58		Y
	Trade	30,000		06/19/08	09/17/09	81 15	208.35	-127.20		Y
	Trade	55,000		06/19/08	09/18/09	148.91	381.98	-233 07		Y
	Trade	37,000		06/19/08	09/21/09	95.06	256 97	-161 91		Y
TNT N V SPON ADR	Trade	6,000		06/27/06	05/18/09	110 16	199 12	-88 96		Y
	Trade	1,000		06/29/06	05/18/09	18 35	35.00	-16 65		Y
	Trade	32,000		06/27/06	05/19/09	606.90	1,062.00	-455 10		Y
TORONTO DOMINION BK NEW CANADA	Trade	20,000		06/27/06	06/09/09	1,048 48	1,004 76	43 72		Y
	Trade	11,000		07/31/07	06/09/09	576.66	706 60	-129.94		Y
	Trade	21,000		08/20/07	06/09/09	1,100.90	1,344 41	-243.51		Y
	Trade	5,000		06/27/06	08/17/09	280 94	251 19	29 75		Y
	Trade	36,000		06/27/06	08/18/09	2,043 79	1,808 56	235 23		Y
TOTAL S A FRANCE SPON ADR	Trade	16,000		08/20/07	09/14/09	973 71	1,144 68	-170 97		Y
	Trade	1,000		07/17/08	09/14/09	60 85	74 63	-13.78		Y
	Trade	72,000		06/27/06	10/22/09	4,586.68	4,464 00	122 68		Y
	Trade	11,000		08/20/07	10/22/09	700 74	786.97	-86 23		Y
TOWER GROUP INC	Trade	9,000		04/24/07	01/09/09	249 25	292.69	-43.44		Y
	Trade	8,000		04/24/07	01/12/09	207 63	260 17	-52 54		Y
	Trade	2,000		04/24/07	01/13/09	50.57	65 04	-14 47		Y

Account Number DL 37519 EJ
Your Financial Advisor or Contact
ANTHONY JEZEK
918-712-3100/800-950-2660

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UBS Financial Services Inc.

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P25G012155-X16

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TOWER GROUP INC	Trade	3 000		06/22/07	01/13/09	75 86	97 54	-21 68		Y
	Trade	4 000		06/15/07	01/14/09	95 65	129 47	-33 82		Y
	Trade	4 000		06/22/07	01/14/09	95 65	130 05	-34 40		Y
	Trade	1 000		04/13/07	01/15/09	22 75	32 20	-9 45		Y
	Trade	2 000		06/14/07	01/15/09	45 51	63 63	-18 12		Y
	Trade	1 000		06/15/07	01/15/09	22 75	32 37	-9 62		Y
	Trade	2 000		06/18/07	01/15/09	45 51	64 45	-18 94		Y
	Trade	5 000		05/23/07	01/16/09	122 30	157 91	-35 61		Y
	Trade	4 000		05/31/07	01/16/09	97 84	126 74	-28 90		Y
	Trade	2 000		06/04/07	01/16/09	48 91	63 29	-14 38		Y
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	Trade	6 000		06/14/07	01/16/09	146 76	190 88	-44 12		Y
	Trade	12 000		07/27/07	05/20/09	923 73	1,467 07	-543 34		Y
	Trade	8 000		07/31/07	05/20/09	615 82	970 56	-354 74		Y
	Trade	11 000		08/20/07	05/20/09	846 75	1,246 83	-400 08		Y
	Trade	6 000		04/17/08	05/20/09	461 87	591 96	-130 09		Y
TULLOW OIL PLC SPON ADR	Trade	3 000		03/10/08	08/18/09	527 83	394 18	133 65		Y
	Trade	2 000		07/17/08	08/18/09	351 89	328 28	23 61		Y
	Trade	6 000		03/05/08	08/20/09	1,065 70	775 63	290 07		Y
	Trade	2 000		03/10/08	08/20/09	355 23	262 79	92 44		Y
ULTRA PETROLEUM CORP (CANADA) ORD	Trade	18 000		09/19/08	12/22/09	912 21	1,094 03	-181 82		Y
UNTD BANKSHARES INC PARKERSBURG WEST VIRG	Trade	9 000		01/28/08	05/06/09	238 88	268 13	-29 25		Y
	Trade	2 000		01/29/08	05/06/09	53 08	60 37	-7 29		Y
	Trade	12 000		01/28/08	05/07/09	301 60	357 50	-55 90		Y
	Trade	10 000		01/28/08	09/15/09	195 86	297 92	-102 06		Y
	Trade	11 000		01/28/08	09/16/09	221 93	327 71	-105 78		Y
VASCO DATA SECURITY INTL INC	Trade	10 000		11/28/06	02/25/09	51 19	110 60	-59 41		Y
	Trade	8 000		11/28/06	02/27/09	40 06	88 48	-48 42		Y
	Trade	3 000		08/11/06	03/09/09	12 08	26 01	-13 93		Y
	Trade	4 000		11/28/06	03/09/09	16 11	44 24	-28 13		Y
	Trade	6 000		08/11/06	03/10/09	25 03	52 02	-26 99		Y
	Trade	14 000		08/11/06	03/11/09	63 35	121 38	-58 03		Y
	Trade	7 000		08/11/06	03/12/09	30 75	60 69	-29 94		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VASCO DATA SECURITY INTL INC	Trade	12 000		08/11/06	03/13/09	55 53	104.04	-48.51		Y
	Trade	10 000		08/11/06	03/16/09	48 65	86 70	-38 05		Y
	Trade	8 000		08/11/06	03/17/09	38.48	69.36	-30 88		Y
VMWARE INC CL A	Trade	15 000		09/22/08	10/08/09	634 01	433 37	200 64		Y
	Trade	14 000		09/22/08	10/09/09	593 44	404 48	188.96		Y
	Trade	10 000		09/23/08	10/09/09	423 88	287.56	136 32		Y
	Trade	6 000		10/01/08	10/09/09	254 33	154 53	99 80		Y
	Trade	9 000		10/29/08	12/22/09	380 42	256 01	124 41		Y
	Trade	16 000		11/05/08	12/22/09	676.30	453.07	223.23		Y
	Trade	2 000		09/29/08	12/30/09	85.17	51.23	33 94		Y
	Trade	3 000		10/01/08	12/30/09	127.76	77.27	50 49		Y
	Trade	5 000		10/29/08	12/30/09	212 94	142 23	70.71		Y
	Trade	11 000		11/05/08	12/30/09	468 47	311.48	156 99		Y
	Trade	13 000		11/06/08	12/30/09	553 65	344 02	209 63		Y
VODAFONE GROUP PLC NEW SPON ADR	Trade	26 000		07/31/07	12/22/09	593.56	794.93	-201 37		Y
	Trade	9 000		04/17/08	12/22/09	205 46	272 52	-67.06		Y
WEBSense INC	Trade	9 000		03/07/07	01/09/09	135 44	202 68	-67 24		Y
	Trade	14 000		09/28/06	01/12/09	202 43	307 63	-105 20		Y
	Trade	6 000		06/29/06	01/13/09	84 40	122 58	-38 18		Y
	Trade	1 000		09/26/06	01/13/09	14 06	21.97	-7 91		Y
	Trade	2 000		09/27/06	01/13/09	28 13	42.59	-14 46		Y
	Trade	6 000		06/27/06	01/14/09	81 05	121 32	-40 27		Y
	Trade	9 000		06/29/06	01/15/09	121.58	183.86	-62 28		Y
	Trade	10 000		06/27/06	01/16/09	134 36	202.20	-67 84		Y
	Trade	8 000		06/27/06	01/16/09	109.36	161 76	-52 40		Y
	Trade	25 000		06/27/06	10/28/09	423.52	505 50	-81 98		Y
	Trade	9 000		06/27/06	10/29/09	150.94	181.98	-31 04		Y
	Trade	6 000		06/28/06	10/29/09	100 62	120 02	-19 40		Y
	Trade	9 000		06/28/06	10/30/09	145.07	180 02	-34 95		Y
WELLS FARGO & CO NEW	Trade	60 000		06/27/06	02/05/09	989 38	1,960 22	-970.84		Y
WMS INDUSTRIES INC	Trade	17 000		09/28/07	12/30/09	680.58	563 38	117 20		Y
	Trade	4 000		01/14/08	12/30/09	160 14	130 88	29.26		Y
	Trade	5 000		01/18/08	12/30/09	200.17	159.76	40 41		Y

Account Number DL 37519 EJ
Your Financial Advisor or Contact
ANTHONY JEZEK
918-712-3100/800-950-2660

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UBS Financial Services Inc.

2009 Consolidated Form 1099

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WMS INDUSTRIES INC	Trade	24 000		01/22/08	12/30/09	960 81	771 49	189 32		Y
	Trade	16 000		10/03/08	12/30/09	640 54	409 98	230 56		Y
WOLSELEY PLC ADR	Trade	81 000		07/26/07	08/20/09	186 46	1,806.28	-1,619 82		Y
	Trade	48 000		07/27/07	08/20/09	110 49	1,055 73	-945 24		Y
	Trade	34 000		07/31/07	08/20/09	78 27	753 94	-675 67		Y
	Trade	1 000		07/31/07	08/20/09	2 30	22 08	-19 78		Y
	Trade	1 000		08/01/07	08/20/09	2 30	21 46	-19 16		Y
	Trade	18 000		08/02/07	08/20/09	41 43	391 81	-350 38		Y
	Trade	42 000		08/20/07	08/20/09	96 68	865 13	-768 45		Y
	Trade	45 000		07/17/08	08/20/09	103 59	268 56	-164 97		Y
	Trade	37 000		08/08/08	08/20/09	85 17	297 49	-212 32		Y
WYETH ***MERGER EFF 10/09***	Trade	222 000		06/27/06	03/20/09	9,353 38	9,379 50	-26 12		Y
Total Long-Term Gain/Loss						379,966.93	526,317.27	-146,350.34		

Unclassified Gain/Loss

This section includes securities that cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided. This section may also include securities not reported on Form 1099-B, as well as securities classified as a percentage of both short-term and long-term holding periods, the "60/40 rule." Broad-Based index options may also be subject to Mark-to-Market rules. Please consult your tax advisor for proper tax treatment of these types of transactions.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BOSTON BEER CO INC CL A	Trade	4 000			06/09/09	119 42		-Not Calculated-	Not calculated	
Total Unclassified Gain/Loss						119.42				

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete **Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print	Name of Exempt Organization John W. Marshall and Jerry E. Marshall Foundation c/o Donald B. Atkins	Employer identification number 76-0716481
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 1406 Terrace Drive	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Tulsa, OK 74104-4626	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

Atkins and Atkins

- The books are in the care of ► **1406 Terrace Drive - Tulsa, OK 74104-4626**
Telephone No. ► **918-748-8800** FAX No. ► ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **August 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2009** or
► ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	600.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization John W. Marshall and Jerry E. Marshall Foundation c/o Donald B. Atkins	Employer identification number 76-0716481
	Number, street, and room or suite no. If a P.O. box, see instructions. 1406 Terrace Drive	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Tulsa, OK 74104-4626	

Check type of return to be filed (File a separate application for each return):

- ☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec. 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

Atkins and Atkins

- The books are in the care of **1406 Terrace Drive - Tulsa, OK 74104-4626**

Telephone No. **918-748-8800**

FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **November 15, 2010.**

5 For calendar year **2009**, or other tax year beginning , and ending

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

Additional time is needed to gather all the information necessary in order to file a complete and accurate return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	413.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	600.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **[Signature]** Title **Agent**

Date **08/18/10**

Form 8868 (Rev. 4-2009)