Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

CFP Foundation
11 Greenway Plaza #2600
Houston, TX 77046

A Employer identification number: 76-0537479

B Telephone number (see the instructions): 713-830-3400

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 16,859,399.

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► [X] if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments			N/A	
	4 Dividends and interest from securities	376,123.	376,123.		
	5a Gross rents				
	b Net rental income or (loss)				
R	6a Net gain/(loss) from sale of assets not on line 10	-2,251,604.			
E	b Gross sales price for all assets on line 6a 2,066,661.				
V	7 Capital gain net income (from Part IV, line 2)		0.		
E	8 Net short-term capital gain				
N	9 Income modifications				
U	10a Gross sales less returns and allowances				
E	b Less: Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	-1,875,481.	376,123.		
	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
A	16a Legal fees (attach schedule)				
D	b Accounting fees (attach sch) See St 1	1,600.	800.		800.
M	c Other prof fees (attach sch) See St 2	30,273.	30,273.		
OPERATING	17 Interest				
AND	18 Taxes (attach schedule)(see instr.) See Stm 3	5,449.	2,639.		
ADMINISTRATIVE	19 Depreciation (attach sch) and depletion				
EXPENSES	20 Occupancy	19,626.	3,925.		15,701.
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 4	159,482.	57,036.		102,446.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	216,430.	94,673.		118,947.
	25 Contributions, gifts, grants paid Part XV	804,000.			804,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,020,430.	94,673.		922,947.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-2,895,911.			
	b **Net investment income** (if negative, enter -0-)		281,450.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS			
1 Cash – non-interest-bearing			
2 Savings and temporary cash investments	272,073.	65,947.	65,947.
3 Accounts receivable ► 15,586.			
Less: allowance for doubtful accounts ►	20,775.	15,586.	15,586.
4 Pledges receivable ►			
Less: allowance for doubtful accounts ►			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
7 Other notes and loans receivable (attach sch) ►			
Less: allowance for doubtful accounts ►			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges	1,951.	3,549.	3,549.
10a Investments – U.S. and state government obligations (attach schedule)			
b Investments – corporate stock (attach schedule) Statement 5	13,188,078.	10,065,038.	9,099,525.
c Investments – corporate bonds (attach schedule) Statement 6		1,467,492.	1,504,494.
11 Investments – land, buildings, and equipment: basis ►			
Less: accumulated depreciation (attach schedule) ►			
12 Investments – mortgage loans			
13 Investments – other (attach schedule) Statement 7	6,786,225.	5,756,362.	6,170,298.
14 Land, buildings, and equipment: basis ►			
Less: accumulated depreciation (attach schedule) ►			
15 Other assets (describe ►)			
16 Total assets (to be completed by all filers – see instructions. Also, see page 1, item I)	20,269,102.	17,373,974.	16,859,399.
LIABILITIES			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, & other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ►)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES			
Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, building, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	20,269,102.	17,373,974.	
30 **Total net assets or fund balances** (see the instructions)	20,269,102.	17,373,974.	
31 **Total liabilities and net assets/fund balances** (see the instructions)	20,269,102.	17,373,974.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,269,102.
2 Enter amount from Part I, line 27a	2	-2,895,911.
3 Other increases not included in line 2 (itemize) ► See Statement 8	3	783.
4 Add lines 1, 2, and 3	4	17,373,974.
5 Decreases not included in line 2 (itemize) ►	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	17,373,974.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	Marketable Securitites	P	Various	Various
b	Capital Gain Distributions	P	Various	Various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,060,414.		4,318,265.	-2,257,851.
b	6,247.			6,247.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-2,257,851.
b				6,247.
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-2,251,604.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	1,015,247.	19,358,068.	0.052446
2007	923,681.	20,635,454.	0.044762
2006	605,437.	19,028,239.	0.031818
2005	481,210.	16,873,984.	0.028518
2004	443,708.	10,820,037.	0.041008

2	**Total** of line 1, column (d)	2	0.198552
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.039710
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	14,882,697.
5	Multiply line 4 by line 3	5	590,992.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,815.
7	Add lines 5 and 6	7	593,807.
8	Enter qualifying distributions from Part XII, line 4	8	922,947.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary - see instr.)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	2,815.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	2,815.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,815.
6	Credits/Payments:		
a	2009 estimated tax pmts and 2008 overpayment credited to 2009 — 6a 2,830.		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	2,830.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	0.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	15.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ► 15. **Refunded** ►	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see the instructions) ► TX			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *Continued*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address. ► None

14 The books are in care of ► Ann M. Sheptak Telephone no. ► 713-830-3400

Located at ► 11 Greenway Plaza, Ste 2600 Houston TX ZIP + 4 ► 77046

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here . N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b	N/A	
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If 'Yes' to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	N/A	

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Gary T. Crum 11 Greenway Plaza, Ste. 2600 Houston, TX 77046	Pres/Treasur 2.00	0.	0.	0.
Sylvie P. Crum 11 Greenway Plaza, Ste. 2600 Houston, TX 77046	Exec. VP 1.00	0.	0.	0.
Carol L. Drawe 11 Greenway Plaza, Ste. 2600 Houston, TX 77046	VP / Secreta 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Triformis, Inc. P O Box 1063 Houston, TX 77251	Administrative	110,473.
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ►	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a Average monthly fair market value of securities	1a	12,203,103.
b Average of monthly cash balances	1b	112,322.
c Fair market value of all other assets (see instructions)	1c	2,793,912.
d **Total** (add lines 1a, b, and c)	1d	15,109,337.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	15,109,337.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	226,640.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,882,697.
6 **Minimum investment return.** Enter 5% of line 5	6	744,135.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	744,135.
2a Tax on investment income for 2009 from Part VI, line 5	2a	2,815.		
b Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c Add lines 2a and 2b			2c	2,815.
3 Distributable amount before adjustments. Subtract line 2c from line 1			3	741,320.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	741,320.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	741,320.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	922,947.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	922,947.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,815.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	920,132.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				741,320.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			914,597.	
b Total for prior years. 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► $ 922,947.				
a Applied to 2008, but not more than line 2a			914,597.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				8,350.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				732,970.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

See Statement 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attachment 18115 Smith Houston, TX 77008	N/A	Public	General support	804,000.
Total ► **3a**				804,000.
b *Approved for future payment*				
Total ► **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	376,123.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-2,251,604.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-1,875,481.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 -1,875,481.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a (1)		X
(2) Other assets	1a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b (2)		X
(3) Rental of facilities, equipment, or other assets	1b (3)		X
(4) Reimbursement arrangements	1b (4)		X
(5) Loans or loan guarantees	1b (5)		X
(6) Performance of services or membership or fundraising solicitations	1b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

SIGN HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Gary L. Brown | 8/11/10 | ▶ President

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only			
Preparer's signature ▶ CPA	Date 8.5.10	Check if self-employed ▶ ☒	Preparer's Identifying number (See Signature in the instrs) N/A
Firm's name (or yours if self-employed), address, and ZIP code ▶ Blazek & Vetterling, 2900 Weslayan, Suite 200, Houston, TX 77027-5132		EIN ▶ N/A	Phone no ▶ (713) 439-5739

TEEA0503L 07/06/09

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Tax compliance	$ 1,600.	$ 800.		$ 800.
Total	$ 1,600.	$ 800.		$ 800.

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment management fees	$ 30,273.	$ 30,273.		
Total	$ 30,273.	$ 30,273.		$ 0.

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise tax	$ 2,810.			
Foreign tax	2,639.	$ 2,639.		
Total	$ 5,449.	$ 2,639.		$ 0.

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Administrative service fees	$ 110,473.	$ 11,047.		$ 99,426.
Bank fees	150.	150.		
Computer maintenance	2,147.	558.		1,589.
Insurance	2,493.	1,062.		1,431.
K-1 investment expenses	44,219.	44,219.		
Total	$ 159,482.	$ 57,036.		$ 102,446.

Statement 5
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
AIM Funds	Cost	$ 5,452,875.	$ 4,931,227.
Atlantic Trust Equities - see attachment	Cost	4,256,685.	3,812,820.
Atlantic Trust - cash equivalents	Cost	355,478.	355,478.
	Total	$ 10,065,038.	$ 9,099,525.

Statement 6
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

Corporate Bonds	Valuation Method	Book Value	Fair Market Value
Atlantic Trust Fixed Income	Cost	$ 1,467,492.	$ 1,504,494.
	Total	$ 1,467,492.	$ 1,504,494.

Statement 7
Form 990-PF, Part II, Line 13
Investments - Other

Other Investments	Valuation Method	Book Value	Fair Market Value
MBA Investment Fund, LLC-A-Growth	Cost	$ 1,945,945.	$ 2,086,680.
MBA Investment Fund, LLC-B-Value	Cost	957,277.	1,165,702.
MBA Investment Fund, LLC-C-Endowment	Cost	28,140.	28,375.
Arden Alt. Advisers SPC US Dollar Portf.	Cost	2,825,000.	2,889,541.
	Total	$ 5,756,362.	$ 6,170,298.

Statement 8
Form 990-PF, Part III, Line 3
Other Increases

Adjustment for accruals	$ 783.
Total	$ 783.

Statement 9
Form 990-PF, Part XV, Line 1a
Foundation Managers - 2% or More Contributors

Gary T. Crum
Sylvie P. Crum

ATLANTIC TRUST

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
CASH & EQUIVALENTS						
CASH						
INCOME CASH		0.00	0.00	0 00		
PRINCIPAL CASH		0 00	0 00	0 00		
TOTAL CASH		**$ 0.00**	**$ 0.00**	**$ 0.00**	**$ 0.00**	**0.00%**
CASH EQUIVALENTS						
AIM LIQUID ASSET MMKT CL I	355,478 06	355,478 06 1 00	355,478 06 1 00	0 00	624 00	0 18%
TOTAL CASH EQUIVALENTS		**$ 355,478.06**	**$ 355,478.06**	**$ 0.00**	**$ 624.00**	**0.18%**
TOTAL CASH & EQUIVALENTS		**$ 355,478.06**	**$ 355,478.06**	**$ 0.00**	**$ 624.00**	**0.18%**
FIXED INCOME						
FIXED INCOME MUTUAL FUNDS						
TAXABLE BOND FUNDS						
FRANKLIN HIGH INCOME FUND - AD	235,500	449,805 00 1 91	388,575 00 1 65	61,230 00	33,205 00	7 38%

PAGE 2 OF 25

ATLANTIC TRUST

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
TOTAL TAXABLE BOND FUNDS		**$ 449,805.00**	**$ 388,575.00**	**$ 61,230.00**	**$ 33,205.00**	**7.38%**
CORP & GOVT DAILY ACCRUAL FUNDS						
PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I #56	96,494 905	1,054,689 31 10.93	1,078,917.32 11 18	-24,228 01	63,061 00	5 98%
TOTAL CORP & GOVT DAILY ACCRUAL FUNDS		**$ 1,054,689.31**	**$ 1,078,917.32**	**$ -24,228.01**	**$ 63,061.00**	**5.98%**
TOTAL FIXED INCOME MUTUAL FUNDS		**$ 1,504,494.31**	**$ 1,467,492.32**	**$ 37,001.99**	**$ 96,266.00**	**6.40%**
TOTAL FIXED INCOME		**$ 1,504,494.31**	**$ 1,467,492.32**	**$ 37,001.99**	**$ 96,266.00**	**6.40%**
EQUITIES						
COMMON STOCK						
MATERIALS						
NALCO HLDG CO COM	885	22,576.35 25.51	15,307 74 17.30	7,268 61	123.00	0 55%
PRAXAIR INC COM	260	20,880 60 80.31	13,664.77 52.56	7,215.83	416 00	1 99%
TOTAL MATERIALS		**$ 43,456.95**	**$ 28,972.51**	**$ 14,484.44**	**$ 539.00**	**1.24%**
INDUSTRIALS						

ATLANTIC TRUST

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
DANAHER CORP COM	130	9,776 00 75.20	8,313 50 63.95	1,462 50	20 00	0 21%
GENERAL DYNAMICS CORP COM	200	13,634.00 68 17	12,460 35 62.30	1,173 65	304.00	2 23%
GENERAL ELEC CO COM	1,725	26,099 25 15 13	52,839 94 30.63	-26,740 69	690 00	2 64%
NORFOLK SOUTHN CORP COM	195	10,221 90 52.42	9,222 20 47 29	999.70	265 00	2 59%
UNITED TECHNOLOGIES CORP COM	290	20,128 90 69.41	15,960.65 55 04	4,168 25	446 00	2 22%
TOTAL INDUSTRIALS		**$ 79,860.05**	**$ 98,796.64**	**$ -18,936.59**	**$ 1,725.00**	**2.16%**
TELECOMMUNICATION SERVICES						
AT&T INC COM	275	7,708 25 28.03	7,078 31 25 74	629 94	462 00	5 99%
VERIZON COMMUNICATIONS INC COM	375	12,423.75 33.13	13,011 19 34.70	-587 44	712 00	5 73%
TOTAL TELECOMMUNICATION SERVICES		**$ 20,132.00**	**$ 20,089.50**	**$ 42.50**	**$ 1,174.00**	**5.83%**
CONSUMER DISCRETIONARY						

ATLANTIC TRUST

PRIVATE WEALTH MANAGEMENT

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
ADVANCE AUTO PTS INC COM	325	13,156 00 40 48	10,884 60 33 49	2,271.40	78 00	0 59%
COMCAST CORP CL A	870	14,668.20 16.86	15,680 05 18.02	-1,011 85	328 00	2 24%
DARDEN RESTAURANTS INC COM	270	9,468 90 35 07	6,221 58 23.04	3,247 32	270 00	2 85%
LIBERTY GLOBAL INC COM SER A	500	10,945.00 21 89	13,575 95 27 15	-2,630 95		
LOWES COS INC COM	395	9,239.05 23 39	10,948.57 27.72	-1,709 52	142 00	1 54%
NIKE INC CL B	185	12,222 95 66.07	7,800.69 42.17	4,422 26	199 00	1 63%
TARGET CORP COM	365	17,655.05 48 37	19,573 82 53.63	-1,918.77	248.00	1 41%
VF CORP COM	185	13,549.40 73 24	11,424 95 61 76	2,124 45	444.00	3 28%
TOTAL CONSUMER DISCRETIONARY		**$ 100,904.55**	**$ 96,110.21**	**$ 4,794.34**	**$ 1,709.00**	**1.70%**
CONSUMER STAPLES						
CVS CAREMARK CORP COM	600	19,326.00 32 21	21,738 53 36 23	-2,412 53	183 00	0 95%

ATLANTIC TRUST

PRIVATE WEALTH MANAGEMENT

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
HEINZ H J CO COM	565	24,159.40 42.76	20,105 38 35 59	4,054 02	949 00	3 93%
PEPSICO INC COM	445	27,056.00 60 80	29,201 84 65.62	-2,145 84	801 00	2 96%
PROCTER & GAMBLE CO COM	390	23,645 70 60 63	22,493 61 57 68	1,152 09	686.00	2 90%
WALGREEN CO COM	565	20,746.80 36 72	18,274 65 32 35	2,472 15	310 00	1 50%
TOTAL CONSUMER STAPLES		**$ 114,933.90**	**$ 111,814.01**	**$ 3,119.89**	**$ 2,929.00**	**2.55%**
ENERGY						
ANADARKO PETE CORP COM	240	14,980 80 62.42	14,060 11 58.58	920.69	86 00	0 58%
DEVON ENERGY CORP NEW COM	210	15,435.00 73.50	12,812 06 61 01	2,622 94	134 00	0 87%
PEABODY ENERGY CORP COM	225	10,172.25 45.21	7,551 54 33 56	2,620 71	63 00	0 62%
WILLIAMS COS INC COM	600	12,648.00 21 08	15,872 35 26 45	-3,224 35	264 00	2 09%
XTO ENERGY INC COM	327	15,215.31 46 53	12,194 44 37 29	3,020 87	163 00	1 07%

ATLANTIC TRUST

PRIVATE WEALTH MANAGEMENT

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
TOTAL ENERGY		**$ 68,451.36**	**$ 62,490.50**	**$ 5,960.86**	**$ 710.00**	**1.04%**
FINANCIALS						
BANK OF AMERICA CORP COM	1,200	18,072 00 15.06	31,278 55 26 07	-13,206 55	48 00	0 27%
BANK OF AMERICA CORPORATION COM EQUIVT SEC	333	4,968 36 14 92	4,995 00 15 00	-26 64		
BANK NEW YORK MELLON CORP COM	840	23,494 80 27.97	26,987.19 32.13	-3,492.39	302 00	1 29%
CME GROUP INC COM	33	11,086.68 335.96	7,007.81 212 36	4,078 87	151 00	1 37%
JPMORGAN CHASE & CO COM	475	19,793 25 41 67	17,915 80 37.72	1,877 45	95 00	0 48%
TD AMERITRADE HLDG CORP COM	510	9,883 80 19 38	10,162 82 19.93	-279.02		
VENTAS INC COM	235	10,278 90 43.74	6,298.94 26.80	3,979 96	481 00	4 69%
WELLS FARGO & CO NEW COM	788	21,268.12 26.99	20,518.14 26.04	749 98	157.00	0 74%
TOTAL FINANCIALS		**$ 118,845.91**	**$ 125,164.25**	**$ -6,318.34**	**$ 1,234.00**	**1.04%**
HEALTH CARE						

ATLANTIC TRUST

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
ABBOTT LABORATORIES COM	330	17,816 70 53.99	14,022 14 42.49	3,794 56	528.00	2 96%
AETNA INC NEW COM	575	18,227.50 31 70	19,727 07 34 31	-1,499 57	23 00	0.13%
EXPRESS SCRIPTS INC COM	263	22,728.46 86.42	11,822 27 44 95	10,906 19		
LABORATORY CORP AMER HLDGS COM NEW	145	10,851 80 74 84	7,577 62 52.26	3,274 18		
MEDTRONIC INC COM	179	7,872 42 43 98	7,622 36 42 58	250.06	146 00	1 86%
MERCK & CO INC NEW COM	317	11,583.18 36.54	7,100 15 22 40	4,483 03	481 00	4 16%
PFIZER INC COM	1,056	19,208.64 18 19	16,490 42 15 62	2,718.22	760 00	3 96%
STRYKER CORP COM	280	14,103 60 50.37	9,122 93 32 58	4,980 67	168 00	1 19%
UNITEDHEALTH GROUP INC COM	460	14,020 80 30 48	9,389.80 20.41	4,631 00	13 00	0 10%
TOTAL HEALTH CARE		**$ 136,413.10**	**$ 102,874.76**	**$ 33,538.34**	**$ 2,119.00**	**1.56%**

INFORMATION TECHNOLOGY

ATLANTIC TRUST

PRIVATE WEALTH MANAGEMENT

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
ACCENTURE PLC CLASS A ORDINARY SHARES	315	13,072.50 41 50	9,444 64 29 98	3,627 86	236 00	1 81%
CHECK POINT SOFTWARE TECH COM	160	5,420 80 33 88	4,801 44 30 01	619 36		
AUTOMATIC DATA PROCESSING INC COM	570	24,407 40 42.82	19,534.71 34 27	4,872 69	775 00	3.18%
CISCO SYS INC COM	885	21,186.90 23 94	17,802 15 20.12	3,384.75		
FIDELITY NATL INFORMATION SVCS INC COM	645	15,118 80 23 44	12,296.03 19 06	2,822 77	129.00	0 85%
FISERV INC COM	314	15,222.72 48.48	13,484 66 42 95	1,738 06		
HEWLETT PACKARD CO COM	435	22,406.85 51.51	14,561.41 33.48	7,845 44	139 00	0 62%
INTERNATIONAL BUSINESS MACHS CORP COM	126	16,493 40 130 90	12,242.71 97.16	4,250 69	277 00	1 68%
ORACLE CORP COM	985	24,162 05 24 53	12,379.06 12 57	11,782 99	197 00	0 82%
WESTERN UN CO COM	1,110	20,923.50 18 85	14,510 00 13 07	6,413 50	66 00	0 32%

ATLANTIC TRUST

PRIVATE WEALTH MANAGEMENT

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
TOTAL INFORMATION TECHNOLOGY		**$ 178,414.92**	**$ 131,056.81**	**$ 47,358.11**	**$ 1,819.00**	**1.02%**
UTILITIES						
EQT CORP COM	387	16,997 04 43 92	14,003.96 36 19	2,993 08	340 00	2 00%
EXELON CORP COM	190	9,285.30 48 87	9,087 77 47 83	197 53	399.00	4.30%
FPL GROUP INC COM	270	14,261.40 52 82	16,093 87 59.61	-1,832 47	510 00	3 58%
NORTHEAST UTILITIES COM	410	10,573.90 25 79	9,281 83 22.64	1,292 07	389 00	3 68%
PG&E CORP COM	280	12,502.00 44.65	11,411.95 40 76	1,090 05	470.00	3.76%
QUESTAR CORP COM	340	14,133.80 41 57	9,417.97 27 70	4,715 83	176 00	1 25%
TOTAL UTILITIES		**$ 77,753.44**	**$ 69,297.35**	**$ 8,456.09**	**$ 2,284.00**	**2.94%**
TOTAL COMMON STOCK		**$ 939,166.18**	**$ 846,666.54**	**$ 92,499.64**	**$ 16,242.00**	**1.73%**

EQUITY MUTUAL FUNDS
MID CAP EQUITY FUNDS

ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
CRM MID CAP VALUE FD INSTITUTIONAL	22,582 164	547,843 30 24 26	613,281 74 27 16	-65,438 44	2,664 00	0.49%
TOTAL MID CAP EQUITY FUNDS		**$ 547,843.30**	**$ 613,281.74**	**$ -65,438.44**	**$ 2,664.00**	**0.49%**
INTERNATIONAL EQUITY FUNDS						
FIRST EAGLE OVERSEAS FD I	39,917 865	787,180 30 19.72	918,843.07 23 02	-131,662 77	25,268 00	3 21%
HARBOR FD INTL FD INST	8,605 808	472,200.68 54.87	644,521 71 74 89	-172,321 03	6,067.00	1 28%
TOTAL INTERNATIONAL EQUITY FUNDS		**$ 1,259,380.98**	**$ 1,563,364.78**	**$ -303,983.80**	**$ 31,335.00**	**2.49%**
OTHER EQUITY FUNDS						
DELAWARE U S GROWTH FUND - INS	81,718 76	1,066,429.82 13 05	1,233,371 62 15 09	-166,941 80	735 00	0.07%
TOTAL OTHER EQUITY FUNDS		**$ 1,066,429.82**	**$ 1,233,371.62**	**$ -166,941.80**	**$ 735.00**	**0.07%**
TOTAL EQUITY MUTUAL FUNDS		**$ 2,873,654.10**	**$ 3,410,018.14**	**$ -536,364.04**	**$ 34,734.00**	**1.21%**
TOTAL EQUITIES		**$ 3,812,820.28**	**$ 4,256,684.68**	**$ -443,864.40**	**$ 50,976.00**	**1.34%**

ATLANTIC TRUST
PRIVATE WEALTH MANAGEMENT

CFP FOUNDATION - IMA

ACCOUNT NUMBER: 64-0040-00-2
STATEMENT PERIOD: 12/01/09 - 12/31/09

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME	CUR. YIELD
OTHER ASSETS						
MISCELLANEOUS						
ARDEN ALERNATIVE ADVISORS CL A SER 26	9,250	846,003 88 91 46	925,000 00 100.00	-78,996 12		
ARDEN ALTERNATIVE ADVISORS FUND	17,283 95	2,043,537.36 118 23	1,900,000 00 109.93	143,537 36		
TOTAL MISCELLANEOUS		**$ 2,889,541.24**	**$ 2,825,000.00**	**$ 64,541.24**	**$ 0.00**	**0.00%**
TOTAL OTHER ASSETS		**$ 2,889,541.24**	**$ 2,825,000.00**	**$ 64,541.24**	**$ 0.00**	**0.00%**
TOTAL MARKET VALUE		**$ 8,562,333.89**	**$ 8,904,655.06**	**$ -342,321.17**	**$ 147,866.00**	**1.73%**

CFP FOUNDATION 76-0537479

2009 Form 990 PF

Part XV - Grants and Contributions Paid During the Year 2009

Recipient	Purpose	Tax Status	Amount Paid 2009
AIDS Research Consortium of Houston 1407 Hawthorne P O Box 66306 Houston, TX 77266-6306	General Support	509 (a)(1)	$2,500
American Cancer Society, Inc. P O Box 572915 Houston, TX 77257-2915	General Support	509 (a)(1)	$7,500
American Cancer Society, Inc. P O Box 572915 Houston, TX 77257-2915	General Support	509 (a)(1)	$5,000
American Heritage Education Foundation 3501 W. Alabama Suite 200 Houston, TX 77027	General Support	509 (a)(1)	$5,000
Aspen Community Foundation 110 East Hallam St. Suite 126 Aspen, CO 81611	General Support	509 (a)(1)	$25,000
Bo's Place 10050 Buffalo Speedway Houston, TX 77054	General Support	509 (a)(1)	$10,000
Boys and Girls Harbor Inc. 710 N. Post Oak, Ste 555 P.O. Box 848 Houston, TX 77001-0848	General Support	509 (a)(1)	$5,000
Camp for All Foundation 10500 NW Freeway Suite 220 Houston, TX 77092	General Support	509 (a)(1)	$10,000

Part XV - Grants and Contributions Paid During the Year 2009

Recipient	Purpose	Tax Status	Amount Paid 2009
Childhood Cancer Family Alliance Inc. 8323 Southwest Freeway Suite 435 Houston, TX 77074	General Support	509 (a)(1)	$10,000
Casa De Esperanza De Los Ninos Incorporated P.O Box 66581 Houston, TX 77266-6581	General Support	509 (a)(1)	$20,000
Children's Museum, Inc. (The) of Houston 1500 Binz Houston, TX 77004-7112	General Support	509 (a)(1)	$5,000
Chinquapin School (The) 2615 E Wallisville Highlands, TX 77562-3199	General Support	509 (a)(1)	$25,000
Christian Community Service Center, Inc. P.O. Box 27924 Houston, TX 77227	General Support	509 (a)(1)	$5,000
Cristo Rey Jesuit College Preparatory School of Houston United States Conference of Catholic Bishops 6700 Mount Carmel Street Houston, TX 77087	General Support	509 (a)(1)	$20,000
Dress for Success Houston 3915 Dacoma, Suite A Houston, TX 77092	General Support	509 (a)(1)	$20,000
Episcopal High School 4650 Bissonnet Bellaire, TX 77401-3002	General Support	509 (a)(1)	$10,000

Part XV - Grants and Contributions Paid During the Year 2009

Recipient	Purpose	Tax Status	Amount Paid 2009
Episcopal High School 4650 Bissonnet Bellaire, TX 77401-3002	General Support	509 (a)(1)	$5,000
Ex Students Association of the University of Texas P.O.Box 7278 Austin, TX 78713-7278	General Support	509 (a)(2)	$34,000
Foundation for Tomorrow 3000 Trulley Houston, TX 77004	General Support	509 (a)(1)	$20,000
Houston Achievement Place 245 W 17th Houston, TX 77008	General Support	509 (a)(1)	$5,000
Houston Arboretum & Nature Center 4501 Woodway Drive Houston, TX 77024	General Support	509 (a)(1)	$10,000
Houston Hospice & Palliative Care System 1905 Holcombe Blvd Houston, TX 77030-4123	General Support	509 (a)(1)	$12,500
Houston Museum of Natural Science One Hermann Circle Drive Houston, TX 77030-1799	General Support	509 (a)(2)	$5,000
Houston Zoo, Inc. 1513 N. MacGregor Drive Houston, TX 77030	General Support	509 (a)(2)	$10,000
Kinkaid School Inc. (The) 201 Kinkaid School Drive Houston, TX 77024	General Support	509 (a)(1)	$5,000

Part XV - Grants and Contributions Paid During the Year 2009

Recipient	Purpose	Tax Status	Amount Paid 2009
KIPP, Inc. 10711 KIPP Way Houston, TX 77099	General Support	509 (a)(1)	$20,000
Monarch School Inc. (The) 1231 Wirt Road Houston, TX 77055	General Support	509 (a)(1)	$10,000
Museum of Fine Arts, Houston P O Box 6826 Houston, TX 77265-6826	General Support	509 (a)(1)	$5,000
Protestant Episcopal Church in the United States of America Palmer Memorial Episcopal Church 6221 Main Street Houston, TX 77030-1572	General Support	509 (a)(1)	$10,000
Positive Coaching Alliance 2425 West Loop South, Suite 865 Houston, TX 77027	General Support	509 (a)(1)	$5,000
Pro-Vision Ministries Inc 4590 Wilmington Street Houston, TX 77051	General Support	509 (a)(1)	$5,000
Small Steps Nurturing Center 1709 DePelchin Street Houston, TX 77007	General Support	509 (a)(1)	$20,000
Southern Methodist University P O. Box 750281 Dallas, TX 75275-0281	General Support	509 (a)(1)	$100,000
St. Francis Episcopal Day School 335 Piney Point Houston, TX 77024-6505	General Support	509 (a)(1)	$5,000

Part XV - Grants and Contributions Paid During the Year 2009

Recipient	Purpose	Tax Status	Amount Paid 2009
St. Francis Episcopal Day School 335 Piney Point Houston, TX 77024-6505	General Support	509 (a)(1)	$50,000
St. John's School Breakthrough Houston 2401 Claremont Lane Houston, TX 77019	General Support	509 (a)(1)	$10,000
St. John's School 2401 Claremont Lane Houston, TX 77019	General Support	509 (a)(1)	$100,000
St. John's School 2401 Claremont Lane Houston, TX 77019	General Support	509 (a)(1)	$15,000
Teach for America Inc. 4669 Southwest Fwy Suite 600 Houston, TX 77027	General Support	509 (a)(1)	$20,000
Theatre Under The Stars 800 Bagby Suite 200 Houston, TX 77002	General Support	509 (a)(1)	$5,000
Trees For Houston 4550 Post Oak Place Drive, Suite 310 Houston, TX 77027-3130	General Support	509 (a)(2)	$2,500
United Way of the Texas Gulf Coast United Way of Greater Houston P O Box 924507 Houston, TX 77292-4507	General Support	509 (a)(1)	$25,000

Part XV - Grants and Contributions Paid During the Year 2009

Recipient	Purpose	Tax Status	Amount Paid 2009
University of Texas M.D. Anderson Cancer Center (The) P O Box 4486 Houston, TX 77210-4486	General Support	Governmental Agency	$60,000
University of Texas -The Blanton Museum of Art The University of Texas at Austin 1 University Station D 1303 Austin, TX 78712	General Support	Governmental Agency	$10,000
W. Oscar Neuhaus Memorial Foundation (The) 4433 Bissonnet Bellaire, TX 77401-3233	General Support	509 (a)(1)	$15,000
YES Preparatory College School YES Prep Public Schools 6201 Bonhomme, Suite 168N Houston, TX 77036	General Support	509 (a)(1)	$20,000
CFP Foundation Grand Total			**$804,000**

None of the above named organizations has any relationship to a substantial contributor or to the foundation.