Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE EDWARD AND HELEN OPPENHEIMER FOUNDATION

A Employer identification number: 76-0403101

Number and street (or P O box number if mail is not delivered to street address): 3435 WESTHEIMER

Room/suite: 1506

B Telephone number (see page 10 of the instructions): 713-220-9143

City or town, state, and ZIP code: HOUSTON, TX 77027

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 2,322,554

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	92,447	92,447	92,447	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		65,772		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	92,447	158,219	92,447	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ACCT FEES	150	150	150	
	24 **Total operating and administrative expenses.** Add lines 13 through 23	150	150	150	
	25 Contributions, gifts, grants paid				114,700
	26 **Total expenses and disbursements.** Add lines 24 and 25	150	150	150	114,700
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	92,297			
	b **Net investment income** (if negative, enter -0-)		158,069		
	c **Adjusted net income** (if negative, enter -0-)			92,297	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	595	595	595
2 Savings and temporary cash investments	529,534	254,199	254,199
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10 a Investments - U S and state government obligations (attach schedule)			
b Investments - corporate stock (attach schedule)	796,030	1,162,088	1,671,844
c Investments - corporate bonds (attach schedule)	543,005	495,651	395,916
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans			
13 Investments - other (attach schedule)			
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,869,164	1,912,533	2,322,554
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	1,869,164	1,912,533	
30 **Total net assets or fund balances** (see page 17 of the instructions)	1,869,164	1,912,533	
31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,869,164	1,912,533	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,869,164
2 Enter amount from Part I, line 27a	2	92,297
3 Other increases not included in line 2 (itemize) ▶ CAPITAL GAINS	3	65,772
4 Add lines 1, 2, and 3	4	2,027,233
5 Decreases not included in line 2 (itemize) ▶ CONTRIBUTIONS PAID 114,700	5	114,700
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,912,533

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	$ 25,000 BOND PACIFICORP	P	09172001	07152009
b	$ 25,000 BOND SEARS ROEBUCK	P	01222002	05012009
c	2,000 WYETH	P	09172001	10162009
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	25,000		24,996	4
b	25,000		25,202	-202
c	100,790		34,820	65,970
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				4
b				-202
c				65,970
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 65,772

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008			
2007			
2006	98,341	2,714,057	0.0362
2005	12,700	2,267,051	0.0056
2004	131,759	2,294,986	0.0574

2	Total of line 1, column (d)	2	0.0992
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0198
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,205,014
5	Multiply line 4 by line 3	5	43,659
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,581
7	Add lines 5 and 6	7	45,240
8	Enter qualifying distributions from Part XII, line 4	8	114,700

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [X] and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,581
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,581
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,581
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a		
b	Exempt foreign organizations-tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,581
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c	N	A
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ N/A (2) On foundation managers ▶ $ N/A			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ N/A			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N	A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NONE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	N	A
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ LEE HERMAN Telephone no ▶ 713-220-9143

Located at ▶ 700 LOUISIANA, STE # 2550 HOUSTON, TEXAS ZIP + 4 ▶ 77002

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	N	A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N	A
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	3b	N	A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE.	SEE ATTACHED SCHEDULE.	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE		

Total number of other employees paid over $50,000 ▶ NONE

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	NONE

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,846,131
b	Average of monthly cash balances	1b	392,462
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,238,593
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,238,593
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	33,579
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,205,014
6	**Minimum investment return.** Enter 5% of line 5	6	110,251

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	110,251
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,581		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,581
3	Distributable amount before adjustments Subtract line 2c from line 1			3	108,670
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	108,670
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	108,670

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	114,700
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	114,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,581
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	113,119

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				108,670
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20____,20____,20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004 . . . 57,742				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e	57,742			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 114,700				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	57,742			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				108,670
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	57,742			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

EDWARD OPPENHEIMER, JR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

EDWARD OPPENHEIMER, JR 3435 WESTEIMER # 1506 HOUSTON, TEXAS 77027

b The form in which applications should be submitted and information and materials they should include

COVER LETTER, DESCRIPTION OF ORGANIZATION AND NEED, AND 501 C 3 STATUS LETTER

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PREFERENCE TO GREATER HOUSTON, TEXAS AREA

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SCHEDULE				114,700
Total . . . . ▶ **3a**				114,700
b *Approved for future payment*				
NONE				
Total . . . . ▶ **3b**				NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	92,447	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	65,772	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				158,219	

13 Total. Add line 12, columns (b), (d), and (e) 13 158,219

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
	N/A

JSA
9E1492 1 000

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NONE		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Joe E. Herman, Secretary | 11/14/10 | Secretary

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature: Oleaber CPA | Date: 11/04/2010 | Check if self-employed ☐ | Preparer's identifying number (See Signature on page 30 of the instructions) 463-94-8404

Firm's name (or yours if self-employed), address, and ZIP code: ALAN CHARLES WEINER PC, 6200 SAVOY, SUITE # 530, HOUSTON, TX 77036 | EIN 76-0325033 | Phone no 713-782-5707

JSA
9E1493 1 000

THE EDWARD AND HELEN OPPENHEIMER FOUNDATION
EIN # 76-0403101
FORM: 990-PF
YEAR: 2009

PAGE 2, PART II - BALANCE SHEETS -
LINE 10a AND 10b - INVESTMENTS-

SEE ATTACHED UBS INVESTMENTS REPORTS:

THE EDWARD AND HELEN OPPENHEIMER FOUNDATION
EIN # 76-0403101
FORM: 990-PF
YEAR: 2009

PAGE 11, PART XV - SUPPLEMENTART INFORMATION:

3 GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR
OR APPROVED FOR FUTURE PAYMENT-

SEE ATTACHED UBS REPORT LISTING 2009 GRANTS AND CONTRIBUTIONS PAID.

UBS

UBS Financial Services Inc
5065 WESTHEIMER RD ,SUITE 1000
GALLERIA FINANCIAL CENTER
HOUSTON TX 77056-6668

APZ3000538315 1209 UG 1

2009 Year End Summary

Duplicate statement for the account of:
EDWARD & HELEN OPPENHEIMER
FOUNDATION
3435 WESTHEIMER, APT 1506
HOUSTON TX 77027-5363
Account number UG 06746 J4

LEE E HERMAN
HIRSCH & WESTHEIMER
700 LOUISIANA, 25TH FLOOR
HOUSTON TX 77002-2700

Your Financial Advisor:
R NELSON MURRAY
Phone 713-871-0901/800-437-0010

Summary of banking activity

	Year to date ($)
Checks	114,700 00

Summary of gains and losses

	Amount ($)
Long term	65,771 70

Checks

Check number	Date cleared	Description	Amount ($)
000963	Feb 2, 09	TEACH FOR AMERICA	2,000 00
000964	Jan 22, 09	HOUSTON PBS	500 00
000965	Jan 22, 09	HOUSTON SYMPHONY	2,000 00
000967	Jan 28, 09	THE WOMEN'S HOME	1,000 00
000968	Feb 3, 09	IMPRINT	2,500 00
000969	Feb 12, 09	BOND ST THEATRE COALITION	1,000 00
000970	Jan 28, 09	CRISIS INTERVENTION	500 00
000971	Feb 4, 09	MAGNIFICAT HOUSE	2,500 00
000972	Jan 22, 09	RICE UNIV	5,000 00
000973	Feb 9, 09	OBERLIN COLLEGE	500 00

Check number	Date cleared	Description	Amount ($)
000974	Jan 29, 09	INTERLOCHEN CTR FOR THE ART	1,000 00
000975	Jan 27, 09	NATURE DISCOVERY CTR	1,000 00
000976	Jan 30, 09	Payee Unrecorded Colorado College	1,500 00
000977	Mar 10, 09	SPRING BRANCH FFA ALUMNI AS	10,000 00
000978	Feb 20, 09	STRAKE JESUIT COLLEGE PREP	500 00
000979	Feb 17, 09	UNIV OF HOUSTON LAW CENTER	2,500 00
000980	Feb 10, 09	TAXES 4000 FOR CANCER	1,000 00
000981	Feb 17, 09	TEXAS RANGER ASSN FDN	500 00
000982	Feb 17, 09	CHINQUAPIN SCHOOL	2,500 00
000983	Feb 11, 09	HOUSTON FOOD BANK	5,000 00

continued next page

Checks (continued)

Check number	Date cleared	Description	Amount ($)
000984	Feb 12, 09	BE AN ANGEL FUND	2,500 00
000985	Mar 30, 09	CONGREGATION BETH ISRAEL	1,500 00
000986	Apr 27, 09	HOUSTON BAR FDN	2,000.00
000987	May 6, 09	THE 100 CLUB	1,000 00
000988	Apr 23, 09	HOUSTON FOOD BANK	1,000 00
000989	May 4, 09	TEXAS QUARTER HORSE ASSN	1,500 00
000990	May 27, 09	KATY PRAIRIE CONSERVANCY	1,000 00
000991	May 13, 09	HOUSTON CONGREGATION FOR RE	5,000 00
000992	May 6, 09	CAMP FOR ALL	1,000 00
000993	May 8, 09	MEDICAL BRIDGES	1,000 00
000994	Jun 8, 09	BPHA STREETLIGHTS	500 00
000995	Aug 24, 09	AMERICAN LETTERS & COMMENTA	1,000 00
000996	Jul 22, 09	BOOK AND AUTHOR HOUSTON PUB	1,000 00
000997	Jun 12, 09	INTERLOCHEN CENTER FOR THE	1,000 00
000998	Jun 17, 09	CHAT JEWISH COMM OF CTR	3,750 00
000999	Aug 11, 09	CONFERENCE OF SOUTHWEST FDN	350 00
001000	Jul 30, 09	LEUKEMIA & LYMPHOMA FDN	500 00
001001	Jul 27, 09	PRAKER WILLI ASSN	500 00
001002	Jul 30, 09	CY FAIR EDUCATIONAL FDN	1,500 00

Check number	Date cleared	Description	Amount ($)
001003	Jul 28, 09	THEATRE UNDER THE STARS	5,000 00
001004	Oct 1, 09	YOUNG TEXANS AGAINST CANCER	1,500 00
001005	Sep 21, 09	STRAKE JESUIT COLLEGE PREP	500 00
001006	Aug 7, 09	NORTHWESTERN UNIV	2,500 00
001007	Sep 28, 09	UNIV OF HOUSTON ATHLETICS D	3,000 00
001008	Sep 17, 09	ST ANNE CATHOLIC CH SCH	1,000 00
001009	Sep 23, 09	EMERY WEINER SCHOOL	3,600 00
001010	Oct 29, 09	STEPHEN F AUSTIN UNIV	1,000 00
001011	Dec 11, 09	RICE UNIV	9,500 00
001012	Dec 11, 09	HOUSTON FAMILY ART CENTER	1,000.00
001013	Dec 7, 09	NORTHWEST ASSISTANCE MINIST	2,000 00
001014	Dec 17, 09	KATY PRAIRIE CONSERVANCY	1,000 00
001015	Dec 29, 09	HOUSTON GYMNASTICS CLUB	2,000 00
001017	Dec 18, 09	OBERLIN COLLEGE	1,000 00
001018	Dec 16, 09	INPRINT MAG	5,000 00
001019	Dec 18, 09	NATURE DISCOVERY CTR	1,500 00
001020	Dec 24, 09	CARE HIGHWAY	500 00
001023	Dec 16, 09	AMERICAN RED CROSS GREATER	500 00
020743	Dec 22, 09	THE CENTER FDN	2,000 00
Total			**$114,700.00**
Total Checks			**$114,700.00**

Account name: EDWARD & HELEN OPPENHEIMER
Account number: UG 06746 J4

Your Financial Advisor:
R. NELSON MURRAY
713-871-0901/800-437-0010

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected. See *Important information about your statement* on the last two pages of this statement for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average interest rate	Interest period	Days in period
Cash	0 00	595 31				
RMA MONEY MKT. PORTFOLIO	521,137.14	529,534 21	1.00	1 30%	Nov 21 to Dec 23	33
Total	**$521,137.14**	**$530,129.52**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS								
Symbol: ABT Exchange: NYSE								
EAI: $720 Current yield: 2.70%	Sep 17, 01	500 000	45 248	22,624 18 [1]	53 370	26,685.00	4,060 82	LT
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*BUY / OUTPERFORM*			
	UBS INVESTMENT RESEARCH:				*BUY*			
	ARGUS FUNDAMENTAL				*BUY*			
AMER ELECTRIC POWER CO								
Symbol: AEP Exchange: NYSE								
EAI: $2,296 Current yield: 4.93%	Sep 17, 01	300.000	27 090	8,127.00 [1]	33.280	9,984 00	1,857.00	LT
	Oct 27, 03	700 000	28 800	20,382.25	33.280	23,296.00	2,913.75	LT
	Jul 20, 04	400 000	31.830	12,912.25	33.280	13,312 00	399.75	LT
Security total		1,400 000		41,421 50		46,592.00	5,170 50	
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / OUTPERFORM*			
	UBS INVESTMENT RESEARCH:				*BUY*			
	ARGUS FUNDAMENTAL				*BUY*			
AMER INTL GROUP INC								
Symbol: AIG Exchange: NYSE	Sep 17, 01	700 000	19.250	13,475 00 [1]	1.570	1,099.00	-12,376.00	LT

continued next page

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*RATINGS EXCEPTION +*			
	UBS INVESTMENT RESEARCH:				*NEUTRAL*			
	ARGUS FUNDAMENTAL				*HOLD*			
	STANDARD & POOR'S				*HOLD*			
AT&T INC Symbol: T Exchange: NYSE EAI. $4,236 Current yield: 5 75%	Sep 17, 01	2,583 000	11.660	30,118.76 [1]	28 500	73,615 50	43,496 74	LT
RESEARCH RECOMMENDATIONS.	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*BUY / OUTPERFORM*			
	UBS INVESTMENT RESEARCH.				*NEUTRAL RATINGS EXCEPTION $*			
	ARGUS FUNDAMENTAL				*BUY*			
	MATTERHORN GROUP				*HOLD*			
CAMPBELL SOUP CO Symbol: CPB Exchange: NYSE EAI: $3,000 Current yield: 3.33%	Sep 17, 01	3,000 000	14 500	43,500.00 [1]	30.010	90,030 00	46,530.00	LT
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING).*				*HOLD / MARKET PERFORM*			
	UBS INVESTMENT RESEARCH:				*NEUTRAL*			
	LA JOLLA ECONOMICS				*SELL*			
CITIGROUP INC Symbol: C Exchange: NYSE EAI: $1,659 Current yield: 9.54%	Jan 1, 99	2,400 000	2 097	5,033 55	6 710	16,104.00	11,070 45	LT
	Sep 17, 01	192.000	48.341	9,281.50 [1]	6.710	1,288.32	-7,993.18	LT
Security total		2,592.000		14,315.05		17,392.32	3,077.27	
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*RATINGS EXCEPTION +*			
	UBS INVESTMENT RESEARCH:				*NEUTRAL RATINGS EXCEPTION $*			
	ARGUS FUNDAMENTAL				*HOLD*			
	VALUENGINE, INC				*SELL*			
COLGATE PALMOLIVE CO Symbol: CL Exchange. NYSE EAI: $1,920 Current yield: 2 33%	Sep 17, 01	1,200.000	15.250	18,300 00 [1]	68 540	82,248 00	63,948 00	LT
RESEARCH RECOMMENDATIONS.	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*BUY / OUTPERFORM*			
	UBS INVESTMENT RESEARCH:				*BUY*			
	ARGUS FUNDAMENTAL				*BUY*			
	STANDARD & POOR'S				*BUY*			
CONS EDISON CO (HOLDING CO) Symbol: ED Exchange: NYSE EAI. $3,042 Current yield: 6.01%	Jan 8, 04	700 000	42 930	30,346.25	38.930	27,251.00	-3,095 25	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 4, 04	600 000	41.170	24,857.25	38.930	23,358 00	-1,499.25	LT
Secunty total		1,300.000		55,203.50		50,609.00	-4,594.50	
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / OUTPERFORM*			
	UBS INVESTMENT RESEARCH.				*NEUTRAL RATINGS EXCEPTION $*			
	ARGUS FUNDAMENTAL				*BUY*			
CORN PRODUCTS INTL INC Symbol CPO Exchange. NYSE EAI: $448 Current yield: 1 94%	Sep 17, 01	800 000	15.875	12,700.00[1]	28 850	23,080.00	10,380.00	LT
DOMINION RESOURCES INC VA (NEW) Symbol: D Exchange: NYSE EAI: $7,000 Current yield: 4.88%	Sep 17, 01	4,000.000	11 000	44,000.00[1]	35.840	143,360.00	99,360.00	LT
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / MARKET PERFORM*			
	UBS INVESTMENT RESEARCH·				*NEUTRAL*			
	ARGUS FUNDAMENTAL				*BUY*			
EL PASO CORP Symbol: EP Exchange: NYSE EAI· $100 Current yield 2.55%	Sep 17, 01	500.000	39 720	19,860 00[1]	7.830	3,915.00	-15,945.00	LT
RESEARCH RECOMMENDATIONS.	*UBS INVESTMENT RESEARCH:*				*BUY*			
	ARGUS FUNDAMENTAL				*BUY*			
GENL ELECTRIC CO Symbol: GE Exchange: NYSE EAI: $12,737 Current yield: 7.65%	Jan 1, 01	1,272 000	5.090	6,474 48[1]	16.200	20,606 40	14,131.92	LT
	Apr 10, 01	2,000.000	5 090	10,180 00[1]	16.200	32,400.00	22,220.00	LT
	Sep 17, 01	7,000.000	5.090	35,630.00[1]	16.200	113,400.00	77,770.00	LT
Secunty total		10,272.000		52,284.48		166,406.40	114,121.92	
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / MARKET PERFORM*			
	UBS INVESTMENT RESEARCH:				*NEUTRAL RATINGS EXCEPTION $*			
	ARGUS FUNDAMENTAL				*HOLD*			
	JEFFERSON RESEARCH				*BUY*			
GENL MILLS INC Symbol. GIS Exchange. NYSE EAI· $1,032 Current yield: 2.83%	Sep 17, 01	600 000	25.300	15,180.00[1]	60 750	36,450 00	21,270.00	LT

continued next page

Your assets ▸ Equities ▸ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
RESEARCH RECOMMENDATIONS	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING)*				*HOLD / MARKET PERFORM*			
	UBS INVESTMENT RESEARCH:				*BUY*			
	ARGUS FUNDAMENTAL				*BUY*			
INTEL CORP Symbol: INTC Exchange. OTC EAI· $448 Current yield: 3.82%	Sep 17, 01	800.000	24 750	19,800 00 [1]	14.660	11,728.00	-8,072.00	LT
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / MARKET PERFORM*			
	UBS INVESTMENT RESEARCH·				*BUY*			
	ARGUS FUNDAMENTAL				*BUY*			
	WALL STREET STRATEGIES				*HOLD*			
MERCK & CO Symbol: MRK Exchange: NYSE EAI: $304 Current yield: 5.00%	Sep 17, 01	200.000	79.149	15,829 93 [1]	30 400	6,080.00	-9,749 93	LT
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / UNDER PERFORM*			
	UBS INVESTMENT RESEARCH:				*BUY RATINGS EXCEPTION $*			
	MILLER TABAK				*HOLD*			
	NED DAVIS RESEARCH				*BUY*			
MICROSOFT CORP Symbol: MSFT Exchange: OTC EAI: $416 Current yield: 2 67%	Sep 17, 01	800 000	17.750	14,200.00 [1]	19.440	15,552 00	1,352.00	LT
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING)·*				*BUY / MARKET PERFORM*			
	UBS INVESTMENT RESEARCH:				*BUY*			
	TIER 1 RESEARCH				*BUY*			
	ARGUS FUNDAMENTAL				*BUY*			
PITNEY BOWES INC Symbol: PBI Exchange: NYSE EAI· $1,490 Current yield: 5.50%	Jan 1, 99	1,064 000	11.544	12,283.11	25.480	27,110.72	14,827.61	LT
PROGRESS ENERGY INC. Symbol: PGN Exchange: NYSE EAI. $4,216 Current yield. 6 22%	Sep 17, 01	500.000	36 450	18,225 00 [1]	39 850	19,925 00	1,700 00	LT
	May 28, 04	600.000	42.610	25,803 82	39 850	23,910 00	-1,893.82	LT
	Jan 19, 06	600.000	43 500	26,395 25	39 850	23,910.00	-2,485.25	LT
Security total		1,700.000		70,424 07		67,745 00	-2,679.07	
RESEARCH RECOMMENDATIONS:	*UBS INVESTMENT RESEARCH.*				*NEUTRAL*			
	ARGUS FUNDAMENTAL				*BUY*			

continued next page

Account name: EDWARD & HELEN OPPENHEIMER
Account number: UG 06746 J4

Your Financial Advisor:
R. NELSON MURRAY
713-871-0901/800-437-0010

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SEMPRA ENERGY								
Symbol: SRE Exchange: NYSE								
EAI: $2,100 Current yield: 3.28%	Sep 17, 01	1,500 000	20.030	30,045.00 [1]	42.630	63,945 00	33,900.00	LT
RESEARCH RECOMMENDATIONS:	*UBS INVESTMENT RESEARCH:*				*BUY*			
	ARGUS FUNDAMENTAL				*BUY*			
SOUTHERN CO								
Symbol: SO Exchange: NYSE								
EAI: $2,184 Current yield: 4 54%	Jan 14, 03	500.000	29.200	14,795.25	37.000	18,500.00	3,704.75	LT
	May 28, 04	800.000	28 990	23,426.69	37.000	29,600.00	6,173.31	LT
Security total		1,300.000		38,221.94		48,100.00	9,878.06	
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / MARKET PERFORM*			
	UBS INVESTMENT RESEARCH:				*NEUTRAL*			
	ARGUS FUNDAMENTAL				*BUY*			
TRAVELERS COS INC/THE								
Symbol: TRV Exchange: NYSE								
EAI. $341 Current yield. 2.66%	Jan 1, 99	91.000	2.593	235.97	45.200	4,113.20	3,877.23	LT
	Jan 1, 99	44 000	2 402	105.73	45.200	1,988.80	1,883.07	LT
	Jan 2, 99	91 000	2 593	235.97	45 200	4,113.20	3,877.23	LT
	Jan 2, 99	44 000	2.426	106.76	45.200	1,988.80	1,882.04	LT
	Sep 17, 01	9.000	41 923	377.31	45.200	406.80	29.49	LT
	Sep 17, 01	5.000	37.860	189.30	45.200	226.00	36.70	LT
Security total		284.000		1,251 04		12,836 80	11,585.76	
RESEARCH RECOMMENDATIONS.	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*BUY / OUTPERFORM*			
	UBS INVESTMENT RESEARCH:				*BUY*			
	SABRIENT SYSTEMS				*BUY*			
	BOYAR'S ALT VIEWPOINT				*BUY*			
WASH MUTUAL INC								
Symbol· WAMUQ Exchange: OTC								
EAI: $40 Current yield· 90.48%	Jan 14, 03	1,000 000	36.050	36,411.04	0.021	21 00	-36,390.04	LT
WEINGARTEN REALTY INVESTORS								
REIT								
Symbol: WRI Exchange: NYSE								
EAI: $9,450 Current yield: 10.15%	Sep 17, 01	4,500 000	18.406	82,830.00 [1]	20.690	93,105.00	10,275 00	LT

continued next page

UBS

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / MARKET PERFORM*			
	UBS INVESTMENT RESEARCH:				*NEUTRAL RATINGS EXCEPTION $*			
	GREEN STREET ADVISORS				*HOLD*			
	ARGUS FUNDAMENTAL				*BUY*			
WESTAR ENERGY INC								
Symbol: WR Exchange: NYSE								
EAI: $580 Current yield: 5 66%	Sep 17, 01	500 000	35.280	17,640.00[1]	20 510	10,255 00	-7,385.00	LT
RESEARCH RECOMMENDATIONS:	*UBS INVESTMENT RESEARCH:*				*NEUTRAL*			
	ARGUS FUNDAMENTAL				*BUY*			
	NEW CONSTRUCTS				*SELL*			
WYETH								
Symbol: WYE Exchange: NYSE								
EAI: $2,400 Current yield: 3 20%	Sep 17, 01	2,000 000	17.410	34,820 00[1]	37 510	75,020 00	40,200.00	LT
RESEARCH RECOMMENDATIONS:	*UBS WEALTH MANAGEMENT RESEARCH (ABSOLUTE/ RELATIVE RANKING):*				*HOLD / OUTPERFORM*			
	UBS INVESTMENT RESEARCH:				*BUY RATINGS EXCEPTION $*			
	ROCHDALE SECURITIES				*BUY*			
	ARGUS FUNDAMENTAL				*HOLD*			
XCEL ENERGY INC								
Symbol: XEL Exchange: NYSE								
EAI: $475 Current yield: 5 12%	Jan 15, 02	200.000	28.160	5,747.22	18.550	3,710.00	-2,037.22	LT
	Mar 21, 02	300 000	25 820	7,856.25	18.550	5,565.00	-2,291.25	LT
Security total		500.000		13,603.47		9,275.00	-4,328.47	
Total				**$770,342.07**		**$1,202,255.74**	**$431,913.67**	

Total estimated annual income: $62,634

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Your assets ▸ **Equities** (continued)

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments
Restricted security values are estimated for informational purposes. See *Important information about your statement* on the last two pages for additional information.

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
KINDER MORGAN ENERGY PARTNERS								
MLP								
Symbol: KMP Exchange: NYSE								
EAI: $2,040 Current yield: 8.92%	Aug 10, 05	300.000	51 250	15,375 00	45.750	13,725 00	-1,650.00	LT
	Aug 11, 05	200.000	50 680	10,313.32	45.750	9,150 00	-1,163.32	LT
Security total		500.000		25,688 32		22,875 00	-2,813 32	
RESEARCH RECOMMENDATIONS	*UBS INVESTMENT RESEARCH:*				*BUY RATINGS EXCEPTION $*			
	THESTREET COMRATINGS				*HOLD*			

Research recommendations

UBS Wealth Management Research is written by UBS Global Management & Business Banking, and UBS Investment Bank Both UBS research providers, which are separate and independent, employ their own ratings systems and methodologies, and may publish research views that are inconsistent with each other For more information about each research source and ratings definitions, please go to UBS Online Services or ask your Financial Advisor. Independent third-party research on certain companies covered by UBS Research is available to customers of UBS in the United States at no cost. Customers can access this research at www ubs com/independentresearch or can call 1-877-208-5700 to request that a copy of this research be sent to them. Research ratings are as of the end of the statement period Where UBS has dropped coverage on a company, ratings are as of the date coverage was dropped Independent research will continue to be available for 18 months after UBS dropped coverage Please consult the independent research website for the most current rating information

$ Indicates that the rating for this stock may have been placed Under Review by the Wealth Management Research or Investment Research analyst, or may have an exception to the core rating bands by Investment Research

+ Indicates that the recommendation has been suspended due to either a restriction or pending review by the analyst.

UBS

Your assets (continued)

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SEARS ROEBUCK ACCEP CORP GLOBAL RATE 06.250% MATURES 05/01/09 ACCRUED INTEREST $260.41 CUSIP 812404BF7 Moody: Ba2 S&P BB- EAI: $781 Current yield. 6.75%	Jan 22, 02	25,000.000	100 792	25,202.50	92.539	23,134.75	-2,067.75	LT
PACIFICORP 1ST MTGE M-W +10 RATE 07.000% MATURES 07/15/09 ACCRUED INTEREST $806.94 CUSIP 69512EGM1 Moody: A3 S&P: A- EAI: $1,750 Current yield: 6.94%	Sep 17, 01	25,000 000	99.984	24,996 00[1]	100 885	25,221 25	225.25	LT
TARGET CORP NTS RATE 06.350% MATURES 01/15/11 ACCRUED INTEREST $732.01 CUSIP 87612EAC0 Moody: A2 S&P: A+ EAI: $1,588 Current yield: 6.21%	Dec 17, 01	25,000.000	102.522	25,635.00	102.247	25,561.75	-73.25	LT
ALLSTATE CORP MW+25BP NTS RATE 06.125% MATURES 02/15/12 ACCRUED INTEREST $578.47 CUSIP 020002AN1 Moody: A2 S&P. A+ EAI. $1,531 Current yield. 6.26%	Mar 12, 02	25,000.000	99.872	24,973.25	97.898	24,474.50	-498.75	LT

continued next page

Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DISNEY WALT CO NEW NTS RATE 06.375% MATURES 03/01/12 ACCRUED INTEREST $531.25 CUSIP 25468PBX3 Moody: A2 S&P: A								
EAI: $1,594 Current yield: 5.98%	Mar 12, 02	25,000.000	99.929	24,987.50	106.549	26,637 25	1,649.75	LT
Total		**$125,000.000**		**$125,794.25**		**$125,029.50**	**-$764.75**	

Total accrued interest: $2,909.08

Total estimated annual income: $7,244

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PUTNAM MASTER INTERMED INCOME TRUST Symbol: PIM Exchange: NYSE								
EAI: $406 Current yield 13.35%	Sep 17, 01	751.000	8 037	6,036.25 [1]	4.050	3,041.55	-2,994.70	LT

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services.

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
PIONEER BD FD CLASS Y									
Trade date: Sep 17, 01	1,343.939	10.975	14,750 00	14,750.00 [1]	8.150	10,953.10	-3,796 90		LT
Trade date: Jan 8, 04	3,091.705	8.488	26,528.25	26,528.25	8 150	25,197.40	-1,330 85		LT
Total reinvested	331.501	8.717		2,889 86	8 150	2,701 73	-188.13		
EAI: $2,345 Current yield: 6 04%									
Security total	4,767.145		41,278.25	44,168.11		38,852.23	-5,315.88	-2,426.02	

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

UBS

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CITIGROUP CAPITAL VII 07.125% DUE 07/31/31 CALLABLE 12/28/07@$25.00 Symbol: CPRV Exchange. NYSE EAI: $1,781 Current yield: 11.02%	Sep 17, 01	1,000 000	25.000	25,000.00 [1]	16.160	16,160.00	-8,840.00	LT
GENERAL MOTORS 6.2500% CV SR DEBENTURES SR C DUE7/15/33 CALLABLE Symbol: GPM Exchange. NYSE EAI: $1,563 Current yield: 48.84%	Jun 26, 03	1,000 000	25 000	25,000.00	3.200	3,200.00	-21,800.00	LT
GENERAL MOTORS CORP 7.2500% SENIOR NOTES DUE 04/15/41 CALLABLE Symbol: GMW Exchange: NYSE EAI: $1,813 Current yield. 55.44%	Sep 17, 01	1,000 000	25 000	25,000.00 [1]	3.270	3,270.00	-21,730.00	LT
GENERAL MOTORS CORP 7.375% SENIOR NOTES DUE 10/1/51 CALLABLE Symbol: HGM Exchange. NYSE EAI: $1,844 Current yield: 59.48%	Oct 1, 01	1,000 000	25.000	25,000.00	3.100	3,100 00	-21,900.00	LT
GENERAL ELEC CAP CORP 6.10% PUBLIC INCOME NTS DUE 11/15/32 CALLABLE Symbol: GEC Exchange. NYSE EAI: $3,050 Current yield· 6 82%	Nov 1, 02	1,000.000	25 000	25,000.00	22 369	22,369 00	-2,631.00	LT
	Apr 16, 03	1,000 000	25.750	25,940.25	22 369	22,369.00	-3,571.25	LT
Security total		2,000 000		50,940.25		44,738.00	-6,202.25	
GENL ELEC CAPITAL 6 625% PUBLIC INCOME NOTES DUE 06/28/32 CALLABLE Symbol: GEA Exchange: NYSE EAI· $2,650 Current yield. 7.11%	Jun 14, 02	600.000	25.000	15,000.00	23.300	13,980.00	-1,020.00	LT
	Feb 2, 04	1,000 000	26 850	27,045.25	23.300	23,300.00	-3,745.25	LT
Security total		1,600 000		42,045 25		37,280.00	-4,765.25	

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Your assets › Fixed income › Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MERRILL LYNCH PFD CAP TR III ORIG 7 00% CALLABLE Symbol: MERPRD Exchange: NYSE EAI: $1,750 Current yield: 10 38% Original cost basis. $25,690 00	Sep 17, 01	1,000.000	25.690	25,690.00 [1]	16 860	16,860.00	-8,830.00	LT
METLIFE INC 5.875% SENIOR NOTES 11/21/33 CALLABLE Symbol: MLG Exchange. NYSE EAI: $2,204 Current yield: 6.68%	May 4, 04	1,000 000	23.550	23,555.25	22.000	22,000.00	-1,555.25	LT
	Jul 20, 04	500.000	23.980	12,165.25	22.000	11,000.00	-1,165.25	LT
Security total		1,500.000		35,720.50		33,000.00	-2,720 50	
ROYAL BK SCOTLAND GRP PLC 6 35% SER N CALL 6/30/10 SER N 6.35% PREFERRED SPON CLBL PAR VALUE - 25.00 USD Symbol: RBSPRN Exchange: NYSE EAI: $2,382 Current yield: 17.45%	Aug 15, 06	1,500.000	24.970	37,610.25	9.100	13,650.00	-23,960.25	LT
SATURNS-BAC 2001-6 7 2500% DUE 12/31/2026 CALLABLE 12/31/06@$25.00 Symbol: MJH Exchange: NYSE EAI: $1,812 Current yield: 10.12% Original cost basis: $25,000.00	Sep 17, 01	1,000 000	25 000	25,000.00 [1]	17.910	17,910.00	-7,090.00	LT
USB CAPITAL VI Symbol: USBPRE Exchange: NYSE EAI: $1,438 Current yield· 7 42%	Mar 3, 05	1,000.000	25.000	25,000 00	19.380	19,380.00	-5,620.00	LT
WELLS FARGO 5.6250% DUE 04/08/2034 CALLABLE 4/08/09@25 Symbol: JWF Exchange: NYSE EAI: $1,406 Current yield: 6.70%	Apr 2, 04	1,000.000	25.000	25,000 00	20.990	20,990.00	-4,010.00	LT
Total				**$367,006.25**		**$229,538.00**	**-$137,468.25**	

Total estimated annual income: $23,693

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**530,129.52**	**24.60%**	**530,129.52**		
Equities	Common stock	1,202,255 74		770,342.07	62,634.00	431,913.67
	Other equity investments	22,875.00		25,688.32	2,040.00	-2,813.32
	Total equities	**1,225,130.74**	**56.86%**	**796,030.39**	**64,674.00**	**429,100.35**
Fixed income	Corporate bonds and notes	125,029.50		125,794 25	7,244 00	-764.75
	Closed end mutual funds	3,041 55		6,036.25	406.00	-2,994.70
	Mutual funds	38,852.23		44,168.11	2,345.00	-5,315.88
	Preferred securities	229,538.00		367,006.25	23,693.00	-137,468.25
	Total accrued interest	2,909.08				
	Total fixed income	**399,370.36**	**18.54%**	**543,004.86**	**33,688.00**	**-146,543.58**
Total		**$2,154,630.62**	**100.00%**	**$1,869,164.77**	**$98,362.00**	**$282,556.77**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 1	Dividend	INTEL CORP PAID ON 800	112.00
	Dec 1	Dividend	PUTNAM MASTER INTERMED INCOME TRUST PAID ON 751	33 80
	Dec 1	Dividend	WYETH PAID ON 2000	600.00
	Dec 2	Dividend	PIONEER BD FD CLASS Y AS OF 11/28/08	204.29
	Dec 8	Dividend	SOUTHERN CO PAID ON 1300 AS OF 12/06/08	546 00
	Dec 9	Dividend	ANHEUSER BUSCH COS INC **MERGER EFF 11/2008** PAID ON 500	185.00
	Dec 10	Dividend	AMER ELECTRIC POWER CO PAID ON 1400	574 00
	Dec 11	Dividend	MICROSOFT CORP PAID ON 800	104 00
	Dec 12	Dividend	PITNEY BOWES INC PAID ON 1064	372.40
	Dec 15	Dividend	CONS EDISON CO (HOLDING CO) PAID ON 1300	760.50
	Dec 15	Dividend	WEINGARTEN REALTY INVESTORS REIT PAID ON 4500	2,362 50
	Dec 17	St Cap Gain	RMA MONEY MKT. PORTFOLIO AS OF 12/16/08	52.24
	Dec 22	Dividend	DOMINION RESOURCES INC VA (NEW) PAID ON 4000 AS OF 12/20/08	1,580 00
	Dec 24	Dividend	RMA MONEY MKT PORTFOLIO AS OF 12/23/08	616.15

continued next page

Account name: EDWARD & HELEN OPPENHEIMER
Account number: UG 06746 J4

Your Financial Advisor:
R NELSON MURRAY
713-871-0901/800-437-0010

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0 00	595 31				
RMA MONEY MKT PORTFOLIO	270,668 33	254,199 33	1 00	0 01%	Nov 23 to Dec 23	31
Total	**$270,668.33**	**$254,794.64**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI $1,600 Current yield 2 96%	Sep 17, 01	500 000	45 248	22,624 18 [1]	53 990	26,995 00	4,370 82	LT
	May 1, 09	500 000	41 518	20,962 77	53 990	26,995 00	6,032 23	ST
Security total		1,000 000		43,586 95		53,990 00	10,403 05	
AMER ELECTRIC POWER CO								
Symbol AEP Exchange. NYSE								
EAI $2,296 Current yield 4 71%	Sep 17, 01	300 000	27 090	8,127 00 [1]	34 790	10,437 00	2,310 00	LT
	Oct 27, 03	700 000	28 800	20,382 25	34 790	24,353 00	3,970 75	LT
	Jul 20, 04	400 000	31 830	12,912 25	34 790	13,916 00	1,003 75	LT
Security total		1,400 000		41,421 50		48,706 00	7,284 50	
AMERICAN INTL GROUP INC COM NEW								
Symbol AIG Exchange NYSE	Sep 17, 01	35 000	385 000	13,475 00 [1]	29 980	1,049 30	-12,425 70	LT
AT&T INC								
Symbol T Exchange NYSE								
EAI $4,339 Current yield 5 99%	Sep 17, 01	2,583 000	11 660	30,118 76 [1]	28 030	72,401 49	42,282 73	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CAMPBELL SOUP CO								
Symbol CPB Exchange NYSE								
EAI· $3,300 Current yield 3 25%	Sep 17, 01	3,000.000	14 500	43,500 00 1	33 800	101,400 00	57,900 00	LT
CATERPILLAR INC								
Symbol. CAT Exchange· NYSE								
EAI $1,680 Current yield 2 95%	May 1, 09	1,000 000	37 328	37,633 89	56 990	56,990 00	19,356 11	ST
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $2,720 Current yield: 3 53%	May 1, 09	500 000	66 428	33,483 64	76 990	38,495 00	5,011 36	ST
	Oct 27, 09	500 000	77 198	38,883.60	76 990	38,495 00	-388 60	ST
Security total		1,000 000		72,367 24		76,990 00	4,622.76	
CITIGROUP INC								
Symbol C Exchange NYSE	Jan 1, 99	2,400 000	2 097	5,033 55	3 310	7,944 00	2,910 45	LT
	Sep 17, 01	192 000	48 341	9,281 50 1	3 310	635 52	-8,645 98	LT
Security total		2,592 000		14,315 05		8,579.52	-5,735 53	
COLGATE PALMOLIVE CO								
Symbol CL Exchange. NYSE								
EAI $2,112 Current yield 2 14%	Sep 17, 01	1,200 000	15 250	18,300 00 1	82 150	98,580 00	80,280 00	LT
CONS EDISON CO (HOLDING CO)								
Symbol ED Exchange NYSE								
EAI $3,068 Current yield. 5 19%	Jan 8, 04	700 000	42 930	30,346 25	45 430	31,801 00	1,454 75	LT
	May 4, 04	600 000	41 170	24,857 25	45 430	27,258 00	2,400 75	LT
Security total		1,300 000		55,203 50		59,059 00	3,855 50	
CORN PRODUCTS INTL INC								
Symbol CPO Exchange NYSE								
EAI. $448 Current yield. 1 92%	Sep 17, 01	800 000	15 875	12,700 00 1	29 230	23,384 00	10,684 00	LT
DOMINION RESOURCES INC VA (NEW)								
Symbol· D Exchange NYSE								
EAI $7,000 Current yield. 4 50%	Sep 17, 01	4,000 000	11 000	44,000 00 1	38 920	155,680 00	111,680 00	LT
EL PASO CORP								
Symbol. EP Exchange· NYSE								
EAI. $20 Current yield 0 41%	Sep 17, 01	500 000	39 720	19,860 00 1	9 830	4,915 00	-14,945 00	LT

continued next page

Your Financial Advisor:
R NELSON MURRAY
713-871-0901/800-437-0010

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI $4,109 Current yield 2 64%	Jan 1, 01	1,272 000	5 090	6,474 48 [1]	15 130	19,245 36	12,770 88	LT
	Apr 10, 01	2,000 000	5 090	10,180 00 [1]	15 130	30,260 00	20,080 00	LT
	Sep 17, 01	7,000 000	5 090	35,630 00 [1]	15 130	105,910 00	70,280 00	LT
Security total		10,272 000		52,284 48		155,415 36	103,130 88	
GENL MILLS INC								
Symbol GIS Exchange NYSE								
EAI $1,176 Current yield 2 77%	Sep 17, 01	600 000	25 300	15,180 00 [1]	70 810	42,486 00	27,306 00	LT
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $1,568 Current yield 2 75%	Sep 17, 01	800 000	24 750	19,800 00 [1]	20 400	16,320 00	-3,480 00	LT
	Oct 27, 09	2,000 000	19 978	40,308 27	20 400	40,800 00	491 73	ST
Security total		2,800 000		60,108 27		57,120 00	-2,988 27	
KRAFT FOODS INC CL A								
Symbol. KFT Exchange NYSE								
EAI $3,480 Current yield 4 27%	May 1, 09	1,000 000	23 368	23,613 57	27 180	27,180 00	3,566 43	ST
	Oct 27, 09	2,000 000	26 698	53,781 23	27 180	54,360 00	578 77	ST
Security total		3,000 000		77,394 80		81,540 00	4,145 20	
MERCK & CO INC NEW COM								
Symbol MRK Exchange. NYSE								
EAI $1,824 Current yield 4 16%	Sep 17, 01	200 000	79 149	15,829 93 [1]	36 540	7,308 00	-8,521 93	LT
	May 1, 09	1,000 000	24 218	24,469 09	36 540	36,540 00	12,070 91	ST
Security total		1,200 000		40,299 02		43,848 00	3,548 98	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $416 Current yield 1 71%	Sep 17, 01	800 000	17 750	14,200 00 [1]	30 480	24,384 00	10,184 00	LT
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI $1,418 Current yield. 3 96%	Oct 16, 09	1,970 000	17 660	34,790 20	18 190	35,834 30	1,044 10	ST
PITNEY BOWES INC								
Symbol PBI Exchange NYSE								
EAI $1,532 Current yield 6 33%	Jan 1, 99	1,064 000	11 544	12,283 11	22 760	24,216 64	11,933 53	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PROGRESS ENERGY INC								
Symbol PGN Exchange. NYSE								
EAI: $4,216 Current yield· 6.05%	Sep 17, 01	500 000	36 450	18,225 00 [1]	41 010	20,505 00	2,280 00	LT
	May 28, 04	600 000	42.610	25,803 82	41 010	24,606 00	-1,197 82	LT
	Jan 19, 06	600 000	43 500	26,395.25	41 010	24,606 00	-1,789 25	LT
Security total		1,700 000		70,424 07		69,717 00	-707 07	
SEMPRA ENERGY								
Symbol SRE Exchange NYSE								
EAI $2,340 Current yield: 2 79%	Sep 17, 01	1,500 000	20 030	30,045 00 [1]	55 980	83,970 00	53,925 00	LT
SOUTHERN CO								
Symbol SO Exchange NYSE								
EAI $2,275 Current yield. 5 25%	Jan 14, 03	500 000	29 200	14,795.25	33.320	16,660 00	1,864 75	LT
	May 28, 04	800 000	28 990	23,426.69	33 320	26,656 00	3,229 31	LT
Security total		1,300 000		38,221 94		43,316 00	5,094 06	
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI· $1,993 Current yield. 2.65%	Jan 1, 99	91 000	2 593	235 97	49 860	4,537.26	4,301 29	LT
	Jan 1, 99	44 000	2 402	105 73	49 860	2,193 84	2,088 11	LT
	Jan 2, 99	91 000	2 593	235 97	49 860	4,537 26	4,301 29	LT
	Jan 2, 99	44 000	2 426	106 76	49 860	2,193 84	2,087 08	LT
	Sep 17, 01	9 000	41 923	377 31	49 860	448 74	71 43	LT
	Sep 17, 01	5 000	37 860	189.30	49.860	249 30	60 00	LT
	Oct 27, 09	1,226 000	51 566	63,604 98	49 860	61,128 36	-2,476 62	ST
Security total		1,510 000		64,856 02		75,288 60	10,432 58	
WASH MUTUAL INC								
Symbol WAMUQ Exchange OTC	Jan 14, 03	1,000 000	36 050	36,411 04	0.139	139 00	-36,272 04	LT
WEINGARTEN REALTY INVESTORS								
REIT								
Symbol WRI Exchange NYSE								
EAI: $4,500 Current yield 5.05%	Sep 17, 01	4,500 000	18 406	82,830.00 [1]	19.790	89,055.00	6,225 00	LT
WESTAR ENERGY INC								
Symbol· WR Exchange NYSE								
EAI: $600 Current yield 5 52%	Sep 17, 01	500 000	35 280	17,640 00 [1]	21.720	10,860 00	-6,780 00	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
XCEL ENERGY INC								
Symbol XEL Exchange NYSE								
EAI $1,960 Current yield 4 62%	Jan 15, 02	200 000	28 160	5,747 22	21 220	4,244 00	-1,503 22	LT
	Mar 21, 02	300 000	25 820	7,856 25	21 220	6,366 00	-1,490 25	LT
	Oct 27, 09	1,500 000	19 368	29,347 19	21 220	31,830 00	2,482 81	ST
Security total		2,000 000		42,950 66		42,440 00	-510 66	
Total				**$1,136,400.50**		**$1,641,354.21**	**$504,953.71**	

Total estimated annual income: $61,990

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments
Restricted security values are estimated for informational purposes See *Important information about your statement* at the end of this document for additional information

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
KINDER MORGAN ENERGY PARTNERS								
MLP								
Symbol KMP Exchange· NYSE								
EAI. $2,100 Current yield 6 89%	Aug 10, 05	300 000	51 250	15,375 00	60 980	18,294 00	2,919 00	LT
	Aug 11, 05	200 000	50 680	10,313 32	60 980	12,196 00	1,882 68	LT
Security total		500 000		25,688 32		30,490 00	4,801 68	

Your assets (continued)

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TARGET CORP NTS RATE 06 350% MATURES 01/15/11 ACCRUED INTEREST $732.01 CUSIP 87612EAC0 Moody. A2 S&P A+ EAI $1,588 Current yield 6 01%	Dec 17, 01	25,000 000	102 522	25,635 00	105 596	26,399 00	764 00	LT
ALLSTATE CORP MW+25BP NTS RATE 06 125% MATURES 02/15/12 ACCRUED INTEREST $578 47 CUSIP 020002AN1 Moody A3 S&P· A- EAI $1,531 Current yield 5 66%	Mar 12, 02	25,000 000	99 872	24,973 25	108 278	27,069 50	2,096 25	LT
DISNEY WALT CO NEW NTS RATE 06 375% MATURES 03/01/12 ACCRUED INTEREST $531 25 CUSIP 25468PBX3 Moody. A2 S&P· A EAI. $1,594 Current yield 5.84%	Mar 12, 02	25,000 000	99 929	24,987 50	109 231	27,307 75	2,320 25	LT
Total		**$75,000.000**		**$75,595.75**		**$80,776.25**	**$5,180.50**	

Total accrued interest: $1,841.73

Total estimated annual income: $4,713

Your assets › **Fixed income** (continued)

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PUTNAM MASTER INTERMED INCOME TRUST								
Symbol PIM Exchange NYSE								
EAI $478 Current yield 10 56%	Sep 17, 01	751 000	8 037	6,036 25 [1]	6 030	4,528 53	-1,507 72	LT

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
PIONEER BD FD CLASS Y									
Trade date Sep 17, 01	1,343 939	10 975	14,750 00	14,750 00 [1]	9 040	12,149.21	-2,600 79		LT
Trade date Jan 8, 04	3,091 705	8 488	26,528 25	26,528 25	9 040	27,949 01	1,420 76		LT
Total reinvested	666 119	8 608		5,733 96	9 040	6,021 72	287 76		
EAI $2,948 Current yield 6 39%									
Security total	5,101 763		41,278 25	47,012.21		46,119 93	-892 27	4,841 69	

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

UBS

Account name: EDWARD & HELEN OPPENHEIMER
Account number: UG 06746 J4

Your assets ▸ Fixed income (continued)

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CITIGROUP CAPITAL VII 07 125% DUE 07/31/31 CALLABLE 12/28/07@$25 00 Symbol CPRV Exchange NYSE EAI $1,781 Current yield. 8 53%	Sep 17, 01	1,000 000	25 000	25,000 00 [1]	20.890	20,890 00	-4,110 00	LT
GENERAL MOTORS 6 2500% CV SR DEBENTURES SRC DUE7/15/33 IN DEFAULT Symbol GPM Exchange NYSE	Jun 26, 03	1,000.000	25 000	25,000 00	5 800	5,800.00	-19,200 00	LT
GENERAL MOTORS CORP 7.2500% SENIOR NOTES DUE 04/15/41 IN DEFAULT Symbol GMW Exchange. NYSE	Sep 17, 01	1,000 000	25 000	25,000 00 [1]	5 150	5,150 00	-19,850 00	LT
GENERAL MOTORS CORP 7 375% SENIOR NOTES DUE 10/1/51 IN DEFAULT Symbol HGM Exchange NYSE	Oct 1, 01	1,000 000	25 000	25,000 00	5.150	5,150 00	-19,850 00	LT
GENERAL ELEC CAP CORP 6 10% PUBLIC INCOME NTS DUE 11/15/32 CALLABLE Symbol GEC Exchange NYSE EAI $3,050 Current yield 6 36%	Nov 1, 02	1,000.000	25 000	25,000 00	23 980	23,980.00	-1,020 00	LT
	Apr 16, 03	1,000 000	25 750	25,940.25	23 980	23,980 00	-1,960 25	LT
Security total		2,000 000		50,940 25		47,960 00	-2,980 25	
GENL ELEC CAPITAL 6 625% PUBLIC INCOME NOTES DUE 06/28/32 CALLABLE Symbol GEA Exchange NYSE EAI $2,650 Current yield 6 61%	Jun 14, 02	600 000	25 000	15,000 00	25.040	15,024.00	24 00	LT
	Feb 2, 04	1,000 000	26 850	27,045.25	25 040	25,040 00	-2,005 25	LT
Security total		1,600 000		42,045.25		40,064 00	-1,981 25	

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Account name: EDWARD & HELEN OPPENHEIMER
Account number: UG 06746 J4

Your Financial Advisor:
R NELSON MURRAY
713-871-0901/800-437-0010

Your assets › Fixed income › Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MERRILL LYNCH PFD CAP TR III ORIG 7 00% CALLABLE Symbol MERPRD Exchange NYSE EAI. $1,750 Current yield 8 52% Original cost basis $25,690.00	Sep 17, 01	1,000 000	25 690	25,690 00 [1]	20 550	20,550 00	-5,140 00	LT
METLIFE INC 5 875% SENIOR NOTES 11/21/33 CALLABLE Symbol MLG Exchange NYSE EAI $2,204 Current yield 5 93%	May 4, 04	1,000 000	23 550	23,555 25	24 790	24,790 00	1,234 75	LT
	Jul 20, 04	500 000	23 980	12,165 25	24 790	12,395 00	229 75	LT
Security total		1,500 000		35,720 50		37,185 00	1,464 50	
ROYAL BK SCOTLAND GRP PLC 6 35% SER N CALL 6/30/10 SER N 6 35% PREFERRED SPON CLBL PAR VALUE - 25 00 USD Symbol RBSPRN Exchange NYSE EAI $2,382 Current yield 15 35%	Aug 15, 06	1,500 000	24 970	37,610 25	10 347	15,520 50	-22,089 75	LT
SATURNS-BAC 2001-6 7 2500% DUE 12/31/2026 CALLABLE 12/31/06@$25 00 Symbol MJH Exchange NYSE EAI $1,812 Current yield 8 26% Original cost basis $25,000 00	Sep 17, 01	1,000 000	25 000	25,000 00 [1]	21 950	21,950 00	-3,050 00	LT
USB CAPITAL VI Symbol USBPRE Exchange NYSE EAI $1,438 Current yield 6 72%	Mar 3, 05	1,000 000	25 000	25,000 00	21 400	21,400 00	-3,600 00	LT
WELLS FARGO 5 6250% DUE 04/08/2034 CALLABLE 4/08/09@25 Symbol JWF Exchange NYSE EAI $1,406 Current yield 6 69%	Apr 2, 04	1,000 000	25 000	25,000 00	21 030	21,030 00	-3,970 00	LT
Total				**$367,006.25**		**$262,649.50**	**-$104,356.75**	

Total estimated annual income: $18,473

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**254,794.64**	**10.97%**	**254,794.64**		
Equities	Common stock	1,641,354 21		1,136,400 50	61,990 00	504,953 71
	Other equity investments	30,490 00		25,688 32	2,100 00	4,801.68
	Total equities	**1,671,844.21**	**71.98%**	**1,162,088.82**	**64,090.00**	**509,755.39**
Fixed income	Corporate bonds and notes	80,776 25		75,595 75	4,713 00	5,180 50
	Closed end mutual funds	4,528 53		6,036 25	478 00	-1,507 72
	Mutual funds	46,119 93		47,012 21	2,948 00	-892 27
	Preferred securities	262,649 50		367,006 25	18,473 00	-104,356 75
	Total accrued interest	1,841 73				
	Total fixed income	**395,915.94**	**17.05%**	**495,650.46**	**26,612.00**	**-101,576.24**
Total		**$2,322,554.79**	**100.00%**	**$1,912,533.92**	**$90,702.00**	**$408,179.15**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 1	Dividend	INTEL CORP PAID ON 2800	392 00
	Dec 1	Dividend	PFIZER INC PAID ON 1970	315 20
	Dec 1	Dividend	PIONEER BD FD CLASS Y AS OF 11/30/09	194 62
	Dec 1	Dividend	PUTNAM MASTER INTERMED INCOME TRUST PAID ON 751	39 80
	Dec 7	Dividend	SOUTHERN CO PAID ON 1300 AS OF 12/05/09	568 75
	Dec 10	Dividend	AMER ELECTRIC POWER CO PAID ON 1400	574 00
	Dec 10	Dividend	CHEVRON CORP PAID ON 1000	680 00
	Dec 10	Dividend	MICROSOFT CORP PAID ON 800	104 00
	Dec 14	Dividend	PITNEY BOWES INC PAID ON 1064 AS OF 12/12/09	383 04
	Dec 15	Dividend	CONS EDISON CO (HOLDING CO) PAID ON 1300	767 00
	Dec 15	Dividend	WEINGARTEN REALTY INVESTORS REIT PAID ON 4500	1,125 00
	Dec 17	St Cap Gain	RMA MONEY MKT PORTFOLIO AS OF 12/16/09	18 43
	Dec 21	Dividend	DOMINION RESOURCES INC VA (NEW) PAID ON 4000 AS OF 12/20/09	1,750 00
	Dec 24	Dividend	RMA MONEY MKT PORTFOLIO AS OF 12/23/09	2 20
	Dec 30	Dividend	MERRILL LYNCH PFD CAP TR III ORIG 7 00% CALLABLE PAID ON 1000	437 50

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THE EDWARD AND HELEN OPPENHEIMER FOUNDATION
EIN # 76-0403101
FORM: 990-PF
YEAR: 2009

PAGE 6, PART VIII OFFICERS, DIRECTORS

NOTE: ALL OFFICERS, DIRECTORS AND TRUSTEES RECEIVE NO COMPENSATION, CONTRIBUTIONS TO BENEFIT PLANS OR EXPENSE ACCOUNTS OR OTHER ALLOWANCES.

(a) NAME AND ADDRESS:	(b) TITLE & AVERAGE NUMBER OF HOURS PER WEEK
EDWARD OPPENHEIMER, JR 3435 WESTEIMER # 1506 HOUSTON, TEXAS 77027	CHAIRMAN OF THE BOARD PRESIDENT AND TRUSTEE NOMINAL AMOUNT OF TIME
GLORIA L HERMAN 2929 BUFFALO SPEEDWAY # 1912 HOUSTON, TEXAS 77098-1710	ADVISORY TRUSTEE NOMINAL AMOUNT OF TIME
CARL A HERMAN 4103 SOLWAY HOUSTON, TEXAS 77025	TRUSTEE NOMINAL AMOUNT OF TIME
PAUL F HERMAN 14023 DRY CREEK RANCH ROAD CYPRESS, TEXAS 77429	TRUSTEE NOMINAL AMOUNT OF TIME
LEE E HERMAN 13811 KIMBERLY LANE HOUSTON, TEXAS 77079	SECRETARY AND TREASURER TRUSTEE NOMINAL AMOUNT OF TIME