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OMB No 1545-0052

2009

## **50rm** 990-PF

Department of the Treasury

#### Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust **Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Internal Revenue Service For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009 Initial return Initial return of a former public charity Final return A mended return Name change Address change A Employer identification number Name of foundation Use the IRS THE CALDWELL FOUNDATION label. Otherwise, B Telephone number (see page 10 of the instructions) Number and street (or P.O. box number if mail is not delivered to street address). Room/ print (903) 592-8191 or type. See Specific **C** If exemption application is pending, check here City or town, state, and ZIP code Instructions. TYLER, TX 75712 **D 1.** Foreign organizations, check here Section 501(c)(3) exempt private foundation **H** Check type of organization 2. Foreign organizations meeting the 85% test, check here and attach computation Section 4947(a)(1) nonexempt charitable trust  $\Gamma$  Other taxable private foundation E If private foundation status was terminated J Accounting method I Fair market value of all assets at end under section 507(b)(1)(A), check here of year (from Part II, col. (c), Other (specify) F If the foundation is in a 60-month termination line 16) \$ 92,457,965 (Part I, column (d) must be on cash basis.) under section 507(b)(1)(B), check here (d) Disbursements Part I Analysis of Revenue and Expenses (The (a) Revenue and (b) Net investment (c) Adjusted net for charitable total of amounts in columns (b), (c), and (d) may not expenses per income ıncome necessarily equal the amounts in column (a) (see page 11 of purposes books (cash basis only) the instructions)) 1,820 1 Contributions, gifts, grants, etc , received (attach schedule) 2 Check F if the foundation is **not** required to attach Sch B 4.725 4.725 4.72 3 Interest on savings and temporary cash investments 1.690.079 Dividends and interest from securities. . 1.690.079 1.690.079 Gross rents . . . . . . . . . . . Net rental income or (loss) \_ h 匆 -2.556.185 Net gain or (loss) from sale of assets not on line 10 Revenu Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2) . Net short-term capital gain . . . 214,813 Income modifications . . 987.280 10a Gross sales less returns and allowances 374.779 Less Cost of goods sold . . . Gross profit or (loss) (attach schedule) . . . 勼 612,501 612,501 囫 2,542,669 2,542,669 Other income (attach schedule) 955,688 11 2.295.609 2,650,492 5,064,787 Total. Add lines 1 through 11 12 Compensation of officers, directors, trustees, etc 469,650 258,058 258,058 211,592 13 2,598,116 81,418 81,418 2,516,698 14 Other employee salaries and wages Operating and Administrative Expenses 876,296 15 Pension plans, employee benefits . 926,477 50.181 50.181 囫 78,988 48,098 48,098 30,890 Legal fees (attach schedule). 16a 93 27,500 23,031 4,469 Accounting fees (attach schedule). 23.03 45 439,869 421,258 421,258 18,611 Other professional fees (attach schedule) 17 匑 120,811 85.811 85.811 18 Taxes (attach schedule) (see page 14 of the instructions) 悃 823.645 21.113 21.113 Depreciation (attach schedule) and depletion 642,280 38,697 38.697 603,583 20 30,784 8,238 8,238 22,546 21 Travel, conferences, and meetings. 4,887 4,887 22 Printing and publications . . 552,374 Other expenses (attach schedule). 匆 1,485,434 48,937 933,060 23 Total operating and administrative expenses. 7.648.441 1.084.840 1.968.963 4.841.946 Add lines 13 through 23 . . . . . 5.000 25 Contributions, gifts, grants paid. . . 7,653,441 1,084,840 1,968,963 4,846,946 26 Total expenses and disbursements. Add lines 24 and 25 27 Subtract line 26 from line 12 -5.357.832 Excess of revenue over expenses and disbursements 1,565,652 Net investment income (if negative, enter -0-) b

Adjusted net income (if negative, enter -0-)

3.095.824

_	rt II	Balance Sheets should be for and of war amounts in the description column	Beginning of year	End o	f year
		should be for end-or-year amounts only (see instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash—non-interest-bearing		, , , , , , , , , , , , , , , , , , ,	
	2	Savings and temporary cash investments	3,122,736	3,948,032	3,948,032
	3	Accounts receivable			
		Less allowance for doubtful accounts 🕨			
	4	Pledges receivable 🟲			
		Less allowance for doubtful accounts -			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see page 15 of the			
		ınstructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less allowance for doubtful accounts 🕨			
Assets	8	Inventories for sale or use	194,062	160,453	160,453
Š	9	Prepaid expenses and deferred charges		,	<u> </u>
٩	10a	Investments—U S and state government obligations (attach schedule)			
		Investments—corporate stock (attach schedule)			
		Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis			
	**	Less accumulated depreciation (attach schedule)			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)		73,913,536	77,751,196
		Land, buildings, and equipment basis •	75,155,172	73,913,330	77,731,130
	14	Less accumulated depreciation (attach schedule) 14,210,450	8,524,551	7,759,136	7,759,137
				<del>                                     </del>	
	15	Other assets (describe	232,166	127,140	2,631,372
	16	Total assets (to be completed by all filers—see the	91,274,262	05.045.073	02.457.065
_		Instructions Also, see page 1, item I)	, , , , , , , , , , , , , , , , , , ,	85,915,872	92,457,965
	17	Accounts payable and accrued expenses			
	18	Grants payable			
ě	19	Deferred revenue			
틒	20	Loans from officers, directors, trustees, and other disqualified persons			
Liabiliti	21	Mortgages and other notes payable (attach schedule)		<b>185</b> 1 020	
	22	Other liabilities (describe)	1,378	820	
	23	Total liabilities (add lines 17 through 22)	1,378	820	
		Foundations that follow SFAS 117, check here	,		
		and complete lines 24 through 26 and lines 30 and 31.			
φ	24	Unrestricted			
Balances	25	Temporarily restricted			
<u>8</u>	26	Permanently restricted			
<u> </u>	20	Foundations that do not follow SFAS 117, check here			
Fund		and complete lines 27 through 31.			
or F	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
Assets	29	Retained earnings, accumulated income, endowment, or other funds	91,272,884	85,915,052	
Ą	30	Total net assets or fund balances (see page 17 of the	31,272,001	03,513,032	
Š	30	Instructions)	91,272,884	85,915,052	
Z	31	Total liabilities and net assets/fund balances (see page 17 of	31,272,001	03,313,032	
	31	the instructions)	91,274,262	85,915,872	
=		_	31,271,202	03,313,072	
Pa	rt II	Analysis of Changes in Net Assets or Fund Balances			
1		Total net assets or fund balances at beginning of year—Part II, column		- 1 1	
		with end-of-year figure reported on prior year's return)			91,272,884
2		Enter amount from Part I, line 27a			-5,357,832
3		Other increases not included in line 2 (itemize) 🟲			
4		Add lines 1, 2, and 3		4	85,915,052
5		Decreases not included in line 2 (itemize)		5	
6		Total net assets or fund balances at end of year (line 4 minus line 5)—	Part II, column (b), l		85,915,052
				For	m <b>990-PF</b> (2009)

	e the kınd(s) of property sold (e g , re ehouse, or common stock, 200 shs M		( <b>b)</b> How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Addıtıonal Data	a Table				
b					
с					
d					
е					
(e) Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	1 1	or other basis pense of sale		or (loss) ) mınus (g)
<b>a</b> See Additional Data	a Table				
b					
С					
d					
е					
Complete only for assets	s showing gain in column (h) and owne	· •			(h) gain minus
(i) F M V as of 12/31/6	as of 12/31/69	, , ,	ess of col (ı) ol (j), ıf any		less than -0-) <b>or</b> om col (h))
<b>a</b> See Additional Data	a Table				
b					
C					
d					
е					
2 Capital gain net inc		If gain, also enter i If (loss), enter -0-		2	-2,448,96
3 Net short-term cap	ر Ital gain or (loss) as defined in section	ns 1222(5) and (6)	, ,	2	2,110,50
If gain, also enter u	n Part I, line 8, column (c) (see pages	13 and 17 of the ir	nstructions)	1	
If (loss), enter -0-				}  ,	214,81
	, leave this part blank the section 4942 tax on the distributa not qualify under section 4940(e)  Do			eriod?	┌ Yes ┌ No
1 Enter the appropriate am	nount in each column for each year, se	ee page 18 of the in	structions before	makıng any entrıes	
(a) Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	(c) Net value of nonchar	ıtable-use assets	<b>(d)</b> Distributio (col (b) divided	n ratio
2008	5,300,346		86,359,130		0 06138
2007	4,684,710		97,378,157		0 04811
2006	6,951,248		89,239,994		0 07789
2005	5,005,042		83,834,805		0 05970
2004	4,312,959		78,244,447		0 05512
2 Total of line 1, colu	mn (d)			2	0 3022
	n ratio for the 5-year base period—div s the foundation has been in existence			3	0 0604
4 Enter the net value	of noncharitable-use assets for 2009	ofrom Part X, line 5	[	4	75,723,12
5 Multiply line 4 by li	ne 3			5	4,576,70
<b>6</b> Enter 1% of net inv	estment income (1% of Part I, line 2	7b)		6	15,65
7 Add lines 5 and 6.				7	4,592,36
				1	
8 Enter qualifying dis	tributions from Part XII, line 4			8	4,907,37

Form	990-PF (2009)			age <b>4</b>
Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the inst	ructio	ns)	
1a	Exempt operating foundations described in section 4940(d)(2), check here 🟲 🦵 and enter "N/A" on line 1			
	Date of ruling or determination letter (attach copy of letter if necessary–see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check		1	5,657
	here ► 🔽 and enter 1% of Part I, line 27b			
C	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
3	Add lines 1 and 2		1	5,657
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0		1	5,657
6	Credits/Payments			
а	2009 estimated tax payments and 2008 overpayment credited to 2009 6a 81,151			
b	Exempt foreign organizations—tax withheld at source 6b			
С	Tax paid with application for extension of time to file (Form 8868)  6c			
d	Backup withholding erroneously withheld 6d			
7	Total credits and payments Add lines 6a through 6d		8	1,151
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here 🔽 If Form 2220 is attached. 8			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid • 10		6	5,494
11	Enter the amount of line 10 to be Credited to 2010 estimated tax 65,494 Refunded 11			
	t VII-A Statements Regarding Activities	Ι		
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did		Yes	No
	It participate or intervene in any political campaign?	1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of			N
	the instructions for definition)?	1b		No
	If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials			
_	published or distributed by the foundation in connection with the activities.	1.		Na
C C	Did the foundation file <b>Form 1120-POL</b> for this year?	1c		No
d	(1) On the foundation $\blacktriangleright$ \$ (2) On foundation managers $\blacktriangleright$ \$			
_	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed			
	on foundation managers > \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
-	If "Yes," attach a detailed description of the activities.	-		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles			
•	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
ь	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
_	If "Yes," attach the statement required by General Instruction T.	Ť		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions			
	that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the			
	ınstructions) ▶ <u>TX</u>			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney			
	General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3)			
	or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on			
	page 27)? If "Yes," complete Part XIV	9	Yes	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

11 A tany time during the year, and the foundation (activities for Which Form 4720 May Be Required  File Form 4720 if any items checked in the "Yes" column, unless an exception applies.  12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 20087  Website addresc ₱ www.caldwiligo.org  14 The books are in care of ₱ OUIJAN VITNSO N Telephone no ₱ (903) 592-6191  15 Section 4947 (9)(3) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041—Check here before the amount of tax-exempt interest received or accrued during the year. ▶ 15  Port VITO Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (activate directly or indirectly)  (1) Engage in the sale or exchange, or lessing of property with a disqualified person? Yes File (2) Provided Provid	Form	990-PF (2009)		Р	age <b>5</b>
meaning of section 512(b)(13)** If "Yes," attach schedule (see page 20 of the instructions).  12 Did the foundation acquire a direct interest in any applicable insurance contract before August 17, 2008*  Website address ** www.caldwellzoo.org**  14 The books are in care of **PO_UIJAN VINSON** Located at ** PPO_BXX220 **TISE**  15 Section 4947(a)(1) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041—Check here.  21P 44 **P57512**  15 Section 4947(a)(1) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041—Check here.  31 and enter the amount of tax-exempt interest received or accrued during the year.  16 Section 4947(a)(1) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041—Check here.  31 and enter the amount of tax-exempt interest received or accrued during the year.  32 Section 4947(a)(1) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041—Check here.  33 Farth VIT-B3 **Statements Regarding Activitities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  10 During the year did the foundation (either facety) or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend more to, or otherwise eatend credit to (or accept it from)  a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation for or pay or reminimus the exempters of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the foundation agreed to make a grant to or to employ the official for a period after the foundation agreed to make a grant to or to employ the official for a period after the foundation agreed to make a grant to or to employ the official for a period after the first down of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regard	Pai	t VII-A Statements Regarding Activities (continued)			
13 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 20087   13   14   15   15   15   15   15   15   15	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
Website address Pwww.caldwellzoo.org  13 The books are in care of PO_UIJAN VINSON  Located at ₱ F0_BOX 4280 TYLER TX  Located at ₱ F0_BOX 4280 TYLER TX  21P+4 ₱ 725712  15 Section 4947 (a)(1) nonexempt charibable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ □ and enter the amount of fax-exempt interest received or accrued during the year ▶ □ 15  Part VII=B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any Item is checked in the "Yes" column, unless an exception applies.  10 During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		No
Nebsite address	12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
Telephone no ▶ (903) 592-8191  Located at ▶ 100 KX 4280 TYLER TX  ZIP+4 ▶ 75712  15 Section 4947 (a)(1) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041 - Check here	13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Section 4947(a)(1) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.   15     15     2		Website address ▶www caldwellzoo org			
Section 4947(a)(1) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.   15     15     2	14	The beaks are in care of McOUIIAN VINSON  Talanhana no McOUIIAN VINSON	1592-	.8191	
and enter the amount of tax-exempt interest received or accrued during the year.   15  Part VII-9   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.   Ves   No    1a   During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   No    (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)   a disqualified person?   Yes   No    (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   Yes   No    (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   Yes   No    (5) Transfer any income or assets to a disqualified person (or make any of either available   for the benefit or use of a disqualified person)?   Yes   No    (6) Agree to pay money or property to a government official? (Exception. Check "No"   if the foundation agreed to make a grant to or to employ the official for a period   after termination of government service, if termination of disaster assistance (see page 20 of the instructions)?   1b   No   No   Organizations relying on a current notice regarding disaster assistance (see page 20 of the instructions)?   1b   No   No   Organizations relying on a current notice regarding disaster assistance (see page 20 of the instructions)?   1c   No   No   No   No   No   No   No   N			, 3,72	0171	
and enter the amount of fax-exempt interest received or accrued during the year.   15    Part VIT-B1 Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   No    (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)   a disqualified person?   Yes   No    (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   Yes   No    (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   Yes   No    (5) Transfer any income or assets to a disqualified person (or make any of either available   for the benefit or use of a disqualified person)?   Yes   No    (6) Agree to pay money or property to a government official? (Exception, Check "No"   if the foundation agreed to make a grant to or to employ the official for a period   after termination of government service, if terminating within 90 days   Yes   No    b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance check here.   Propriet of the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?   No   No    2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(3) and 40 the end of tax year 2009, and the foundation in section 4942(3) (5)    b Are there any years listed in 26 for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income (If applying section 4942(a)					
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the yeard dith fe foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   No    (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?   Yes   No    (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   Yes   No    (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   Yes   No    (5) Transfer any income or assests to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?   Yes   No    (6) Agree to pay money or property to a government official? (Exception. Check "No"   if the foundation agreed to make a grant to or to employ the official for a pendod after termination of governments service, if terminating within 90 days ).   Yes   No    b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?   1b   No    Organizations relying on a current notice regarding disaster assistance (see page 20 of the instructions)?   1c   No    Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))   a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?   1   Yes   No   No   No   No   No   No   No   N	15			1	▶ ┌
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?					
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  (6) Agree to pay money or property to a government within 90 days).  (7 Yes  No  (8) If any answer is "Yes To 1a(1)"-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?  (9) Did the foundation engage in a prory year in any of the acts discincted in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?  2) Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(1)(1)(1)(1)  a) At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?  1) Tyes, "inst the years * 20	Pai		T		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	1a				
a disqualified person?.		(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?					
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
for the benefit or use of a disqualified person)?		(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes \( \subseteq \text{No} \)			
(6) Agree to pay money or property to a government official? (Exception. Check "No"  if the foundation agreed to make a grant to or to employ the official for a period  after termination of government service, if terminating within 90 days)					
if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days )		for the benefit or use of a disqualified person)? $ extstyle  ex$			
after termination of government service, if terminating within 90 days )		(6) A gree to pay money or property to a government official? (Exception. Check "No"			
If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		ıf the foundatıon agreed to make a grant to or to employ the official for a period			
Section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		after termination of government service, if terminating within 90 days ) <b>Yes</b> 🔽 <b>No</b>			
Organizations relying on a current notice regarding disaster assistance check here	b	If any answer is "Yes" to $1a(1)-(6)$ , did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
that were not corrected before the first day of the tax year beginning in 2009?		O rganizations relying on a current notice regarding disaster assistance check here			
Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))  At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts,			
operating foundation defined in section 4942(j)(3) or 4942(j)(5))  a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?		that were not corrected before the first day of the tax year beginning in 2009?	1c		No
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
and 6e, Part XIII) for tax year(s) beginning before 2009?		operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
If "Yes," list the years ▶ 20, 20, 20, 20  b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	а	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d			
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)  (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)  to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions)		and 6e, Part XIII) for tax year(s) beginning before 2009?			
(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)		If "Yes," list the years ▶ 20, 20, 20, 20			
to all years listed, answer "No" and attach statement—see page 20 of the instructions)	b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)			
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  ▶ 20, 20, 20, 20  3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)			
Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions )	2b		No
Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		<b>▶</b> 20 , 20 , 20 , 20			
enterprise at any time during the year?	3a				
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)					
or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	ь				
by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)					
the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine  If the foundation had excess business holdings in 2009.)					
If the foundation had excess business holdings in 2009.)					
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a No			3b		No
	<b>4</b> a				
big the loan action make any investment in a prior year (but after becember 51, 1909) that could jeoparaize its			<b> </b>		
charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b No	U				No

	1990-PF (2009)									<u> </u>	age <b>o</b>
	rt VII-B Statements Rega				ich Form 4720	May	Be Required (conti	nued)	<u> </u>	I	Г
5a	During the year did the foundation		•				= =				
			attempt to influence legislation (section 4945(e))?  Tyes V No  infic public election (see section 4955), or to carry								
		-									
	on, directly or indirectly, any voter registration drive?										
	(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes V No										
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)   Yes   No										
						struc	tions)   <b>Yes</b>	∕ No			
	(5) Provide for any purpose other		- '	•				_			
	educational purposes, or for th	-	· ·								
Ь	If any answer is "Yes" to 5a(1)-(5								_		
	Regulations section 53 4945 or in						_	_ `	5b		No
	Organizations relying on a current						▶∫				
С	If the answer is "Yes" to question				•			_			
	tax because it maintained expenditure responsibility for the grant? Tyes 🔽 No							No			
	If "Yes," attach the statement requi	red by	Regulations section	n 53.	4945–5(d).						
6a	Did the foundation, during the year	r, rece	eive any funds, dire	ectly	or indirectly, to pay						
	premiums on a personal benefit co										
b	Did the foundation, during the year	r, pay	premiums, directly	y or II	ndırectly, on a perso	onal b	penefit contract?		6b		No
	If "Yes" to 6b, file Form 8870.										
7a	At any time during the tax year, wa	as the	e foundation a party	y to a	prohibited tax shel	ter tr	ansaction? <b>TYes</b> F	√ No			
b	If yes, did the foundation receive a	any pr	roceeds or have an	ıy net	: income attributable	e to t	he transaction?		7b		No
Da	Information About	Offi	cers, Directors	s, Tr	ustees, Founda	atior	n Managers, Highly	Paid	Emp	loye	es,
	and Contractors										
_1_	List all officers, directors, trustees						_	ns).			
	(a) Name and address		Title, and average ours per week	٠,	c) Compensation f not paid, enter		(d) Contributions to mployee benefit plans		xpens		•
	(4)		oted to position	\	-0-)		deferred compensation	ot	her all	owanc	es
See	Additional Data Table										
	Compensation of five highest-paid	omnle	ovecs (athor than	those	included on line 1	500	nage 22 of the instruction				
2	If none, enter "NONE."	empic	byees (other than	LIIOSE	: included on line 1-	-566	page 25 of the instruction	nis).			
	•		/L) T.H				(d) Contributions to				
(a)	Name and address of each employ	ee	(b) Title, and aver hours per week		(c) Compensation	on .	employee benefit	1	xpens		•
	paid more than \$50,000		devoted to positi		(5) 5 5 111 5 11 5 11 5		plans and deferred compensation	ot	her all	owanc	es
	CUNZE	-	DUC CURATOR		6.0	,644	7,172				
			10 00		00	,044	7,172				
	3OX 4785 ER,TX 75712										
	BOGGS 🕏		GARAGE MGR		61	,553	8,898				
	30 X 4 7 8 5		10 00		01	,555	0,050				
	ER,TX 75712										
	CARTER 15	- P	BLDG MAINT SUP	·/	66	,375	10,835				
	30X 4785		10 00	•		,5,5	10,033				
	ER,TX 75712										
	TUCKER 🕏		OIR VISITOR SVC		66	,969	8,770				
	30X 4785		10 00			,	,,,,,				
	ER,TX 75712										
	1ADDOX 🕏	A	ASST DIRECTOR		92	,019	11,812				
	3OX 4785		0 0 0			-	,				
	ER,TX 75712										
	I number of other employees paid ov	ver \$5	50,000								5

	nformation About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employ	ees,
Part VIII	Ind Contractors (continued)	,

221 N GLENWOOD TYLER, TX 75702  GRANTHAM MAYO VANOTTERLOO & CO INVESTMENT MGMT  40 ROWES WHARF BOSTON, MA 02110  THOMPSON & KNIGHT  PO BOX 4345 HOUSTON, TX 772104346  HOUSTON, TX 772104346  TRADEWINDS NWQ GLOBAL INVESTORS LLC  1NVESTMENT MGMT  90,36 249 CENTURY PARK EAST 16TH FLOOR LAS ANGELES, CA 90067  TWEEDY RROWNE CO LLC  1NVESTMENT MGMT  184,21 350 PARK AVE 9TH FLOOR NEW YORK, NY 10022  Total number of others receiving over \$50,000 for professional services.  PARTIX-A Summary of Direct Charitable Activities  List the foundation's bur larged direct charitable activities during the lax year include relevant statistical information such as the number of organization and other hereficiens excoveraged, insertions appears produced, etc.  1THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL 200 AND OTHER EDUCATIONAL EXHIBITS  2  3  4  PARTIX-B Summary of Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions	3 Five highest-paid independent contractors for professional ser	vices (see page 23 of the instructions). If none,	enter "NONE".
221 N GLENWOOD TYLER, TX 75702 GRANTHAM MAYO VANOTTERLOO & CO THOMPSON & KNIGHT  PO 80 X 4.3 4.6 HOUSTON, TX 772104346 TRADEWINDS NWQ GLOBAL INVESTORS LLC TRADEWINDS NWQ GLOBAL INVESTORS LLC LAS ANGELES, CA 90067 TWEEDY BROWNE CO LLC SSO PARK AVE 9TH FLOOR NEW YORK, NY 10022 Total number of others receiving over \$50,000 for professional services.  PARTEX-A Summary of Direct Charitable Activities List the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of aginavation and direct heritericines coveried, insertices hapsers produced, etc.  1THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL 200 AND OTHER EDUCATIONAL EXHIBITS  2  APPOREAS SUMMARY OF Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions	(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
TYLER, TX 75702  GRANTHAM MAYO VANOTTERLOO & CO  INVESTMENT MGMT  54,98  40 ROWES WHARF BOSTON, MA 02110  THOMPSON & KNIGHT  LEGAL  78,6:  TRADEWINDS NWQ GLOBAL INVESTORS LLC  INVESTMENT MGMT  90,98  TRADEWINDS NWQ GLOBAL INVESTORS LLC  INVESTMENT MGMT  90,98  TWEEDY BROWNE CO LLC  SINVESTMENT MGMT  184,2:  TWEEDY BROWNE CO LLC  INVESTMENT MGMT  184,2:  TOTAL INVESTMENT MGMT  TOTAL INVESTMENT MGMT	GLENWOOD ANIMAL HOSPITAL	VETERINARY	142,092
### ADDITION OF THE PROGRAM STATE AND ADDITION OF THE PROGRAM STATE AND EDUCATIONAL EXHIBITS  ### ADDITIONAL EXHIBITS  #### ADDITIONAL EXHIBITS  #### ADDITIONAL EXHIBITS  #### ADDITIONAL EXHIBITS  ###################################			
BOSTON, MA 02110 THOMPSON 8 KNIGHT LEGAL 78,61 PO BOX 4346 HOUSTON, TX 772104346 TRADEWINDS NWQ GLOBAL INVESTORS LLC 2049 CENTURY PARK EAST 16TH FLOOR LAS ANGELES, CA 90067 LWEEDY BROWNE CO LLC 350 PARK AVE 9TH FLOOR NEW YORK, NY 10022 Total number of others receiving over \$50,000 for professional services.  Part IX-A Summary of Direct Charitable Activities ust the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of organizations and other beneficiances convened, research papers produced, etc  1THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL ZOO AND OTHER EDUCATIONAL EXHIBITS  2 Part IX-B Summary of Program-Related Investments (see page 23 of the instructions) Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions	GRANTHAM MAYO VANOTTERLOO & CO	INVESTMENT MGMT	54,987
PO BOX 4346 HOUSTON, TX 772104346 TRADEWINDS NWQ GLOBAL INVESTORS LLC 2049 CENTURY PARK EAST 16TH FLOOR LAS ANGELES, CA 90067 TWEEDY BROWNE CO LLC 350 PARK AVE 9TH FLOOR NEW YORK, NY 10022 Total number of others receiving over \$50,000 for professional services			
HOUSTON,TX 772104346 TRADEWINDS NWQ GLOBAL INVESTORS LLC 1NVESTMENT MGMT 90,30 2049 CENTURY PARK EAST 16TH FLOOR LAS ANGELES, CA 90067 TWEEDY BROWNE CO LLC 350 PARK AVE 9TH FLOOR NEW 170 RK, NY 10022 Total number of others receiving over \$50,000 for professional services	THOMPSON & KNIGHT	LEGAL	78,676
2049 CENTURY PARK EAST 16TH FLOOR LAS ANGELES, CA 90067 TWEEDY BROWNE CO LIC 350 PARK AVE 9TH FLOOR NEW YORK, NY 10022  Total number of others receiving over \$50,000 for professional services.  Part IX-A Summary of Direct Charitable Activities  List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiares served, conferences convened, research papers profused, rick  11HE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL ZOO AND OTHER EDUCATIONAL EXHIBITS  2  13  4  Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions  3			
LAS ANGELES, CA 90067  TWEEDY BROWNE CO LLC  350 PARK AVE 9TH FLOOR NEW YORK, NY 10022  Total number of others receiving over \$50,000 for professional services.  PARTIX-A Summary of Direct Charitable Activities  Let the foundation's four largest direct charitable activities during the tax year licitude relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.  1THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL ZOO AND OTHER EDUCATIONAL EXHIBITS  2  3  4  PARTIX-B Summary of Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  1  Amount  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions  3	TRADEWINDS NWQ GLOBAL INVESTORS LLC	INVESTMENT MGMT	90,360
350 PARK AVE 9TH FLOOR NEW YORK, NY 10022  Total number of others receiving over \$50,000 for professional services			
Total number of others receiving over \$50,000 for professional services.  PartIX-A Summary of Direct Charitable Activities  List the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc.  1THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL ZOO AND OTHER EDUCATIONAL EXHIBITS  2  3  4  Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  1  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions  3	TWEEDY BROWNE CO LLC	INVESTMENT MGMT	184,273
Dart IX-A   Summary of Direct Charitable Activities			
Dart IX-A   Summary of Direct Charitable Activities	Total number of others receiving over \$50,000 for professional ser	vices	•
List the foundation's four largest direct chantable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc.  1 THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL ZOO AND OTHER EDUCATIONAL EXHIBITS  2  3  4  Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  1  All other program-related investments. See page 24 of the instructions  3  All other program-related investments. See page 24 of the instructions.			
and other beneficianes served, conferences convened, research papers produced, etc.  1 THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL ZOO AND OTHER EDUCATIONAL EXHIBITS  2  Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions  3	<u> </u>		T _
Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  All other program-related investments See page 24 of the instructions  3  All other program-related investments See page 24 of the instructions  3			Expenses
Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  All other program-related investments See page 24 of the instructions  3	EDUCATIONAL EXHIBITS		_
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  A mount  A mount  All other program-related investments See page 24 of the instructions  3	3		-
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  All other program-related investments See page 24 of the instructions  3	4		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  All other program-related investments See page 24 of the instructions  3			-
2  All other program-related investments See page 24 of the instructions 3			
All other program-related investments See page 24 of the instructions  3		during the tax year on lines 1 and 2	Amount
All other program-related investments See page 24 of the instructions  3	1		-
All other program-related investments See page 24 of the instructions  3			_
All other program-related investments See page 24 of the instructions  3	2		
3			-
3			-
	All other program-related investments See page 24 of the instru	uctions	
Total Add lines 1 through 3	3		_
Total Add lines 1 through 3			-
	Total Add lines 1 through 2	<u>.</u>	<u> </u>

	(====)	! !	-
Part X	Minimum Investment Return (All domestic found	ations must complete this part. Foreign foundations,	
	see page 24 of the instructions.)		

	see page 24 or the instructions.)		
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc ,		
	purposes		
а	A verage monthly fair market value of securities	1a	68,512,499
b	A verage of monthly cash balances	1b	3,777,546
C	Fair market value of all other assets (see page 24 of the instructions)	1c	4,586,224
d	<b>Total</b> (add lines 1a, b, and c)	1d	76,876,269
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	76,876,269
4	Cash deemed held for charitable activities $$ Enter 1 $$ 1/2% of line 3 (for greater amount, see page 25		
	of the instructions)	4	1,153,144
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	75,723,125
6	Minimum investment return. Enter 5% of line 5	6	3,786,156
Pa	<b>Distributable Amount</b> (see page 25 of the instructions) (Section 4942(j)(3) and (j)(		
	foundations and certain foreign organizations check here <b>F I</b> and do not complete t		art.) T
1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2009 from Part VI, line 5		
Ь	Income tax for 2009 (This does not include the tax from Part VI) 2b	_	
_ C	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	
Par	Qualifying Distributions (see page 25 of the instructions)		
1	A mounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	4,846,946
b	Program-related investments—total from Part IX-B	1b	
2	A mounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc ,		
	purposes	2	60,428
3	A mounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	За	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,907,374
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	15,657
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,891,717
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whetl	ner the	

Corpus   Years pnor to 2008   2009		ondistributed income (see page	(a)	(b)	(c)	(d)
2 Undistributed income, if any, as of the end of 2008 a Enter amount for 2008 only. b Total for prior years 20, 20, 20 5 Excess distributions carryover, if any, to 2009 a From 2004. b From 2005. c From 2005. d From 2007. e From 2008. f Total of lines 3a through e.  7 Qualifying distributions for 2009 from Part XII, line 4 ▶ s a Applied to 2008, but not more than line 28 b Applied to 2008, but not more than line 29 b Applied to 2009, but not more than line 29 c Applied to undistributed income of prior years (Election required—see page 26 of the instructions) c Treated as distributions out of corpus (Election required—see page 26 of the instructions) c Treated as distributions out of corpus Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a)) f Enter the net total of each columns as indicated below: a Corpus Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has indicated below: a Sorpus Add lines 3f, 4c, and 4e. Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has indicated below: a Sorpus Add lines 3f, 4c, and 4e. Subtract line 4b from line 2b. c The subtract line 6c from line 6b. Texable amount—see page 27 of the instructions. e Undistributed income for 2009. Subtract lines 4a from line 2a. Texable amount—see page 27 of the instructions.  8 Excess form line 1. This amount must be destributed in 2010. 5 Excess form 2005. c Subtract lines 7 and 8 from line 6a. 5 Analysis of line 9 5 Excess form 2005. b Excess from 2005.			Corpus	Years prior to 2008	2008	2009
a Enter amount for 2008 only						
b Total for prior years 20 20 20 3 Excess distributions carryover, if any, to 2009 a From 2004	2	Undistributed income, if any, as of the end of 2008				
3 Excess distributions carryover, if any, to 2009 a From 2004. b From 2005. c From 2006. d From 2007. e From 2008. 7 Total of lines 3 a through e. 4 Qualifying distributions for 2009 from Part XII, line 4 b \$ a Applied to 2008, but not more than line 2 a b Applied to 2008, but not more than line 2 a d Applied to 2008, but not more of prior years (Election required—see page 26 of the instructions) c Treated as distributions out of corpus (Election required—see page 26 of the instructions). d Applied to 2009 distributable amount. e Remaining amount distributed out of corpus f Excess distributions carryover applied to 2009 (If an amount appears in column (a), the same amount must be shown in column (a). 6 Enter the net total of each column as indicated below: 1 a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions. e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions. d Indistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.  9 Value of the instruction of the section	а	Enter amount for 2008 only				
a From 2004	b	Total for prior years 20 , 20 , 20				
b From 2005	3	Excess distributions carryover, if any, to 2009				
c From 2006	а	From 2004				
d From 2007. e From 2008. f Total of lines 3a through e.  4 Qualifying distributions for 2009 from Part XII, line 4 P \$ a Applied to 2008, but not more than line 2a b Applied to Undistributed income of prior years (Election required—see page 25 of the instructions) C Treated as distributions out of corpus (Election required—see page 25 of the instructions). d Applied to 2009 distributable amount. e Remaining amount distributed out of corpus E Excess distributions carryover applied to 2009 (If an amount appears in column (a), the same amount must be shown in column (a). E Enter the net total of each column as indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b. Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 942(a) tax has been previously assessed. d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions. Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions. Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions to the section of 942(a) tax has been previously assessed.  J Undistributed income for 9009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions to the section of 942(a) tax has been previously assessed.  J Undistributed income for 9008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions to the section of 942(a) tax has been previously assessed.  A mounts that and 5 from line 6 from line 6a.  B Excess form 2005 to the line 4 from line 6a.  B Excess from 2005 to the line 4 from line 6a.  D Analysis of line 9  E Excess from 2005 to the line section 2005 to the lines 4 from line 6a.  D Analysis of line 9  E Excess from 2005 to the lines 4 from line 6a.	b	From 2005				
e From 2008	C	From 2006				
f Total of lines 3a through e.  4 Qualifying distributions for 2009 from Part XII, line 4	d	From 2007				
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ s A Applied to 2008, but not more than line 2a b Applied to undistributed income of pror years (Election required—see page 26 of the instructions) c Treated as distributions out of corpus (Election required—see page 26 of the instructions). d Applied to 2009 distributable amount. e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2009 (If an amount appears in column (a), the same amount must be shown in column (a). 6 Enter the net total of each column as indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions. e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions. f Undistributed income for 2009 Subtract line 4a from line 2 a Taxable amount—see page 27 of the instructions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) r 4942(g)(3) (see page 27 of the instructions). 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions). 9 Excess distributions carryover for 2010. Subtract lines 7 and 8 from line 6a. 10 Analysis of line 9 Excess from 2005. b Excess from 2005. b Excess from 2005. b Excess from 2005.	е	From 2008				
xII, line 4 ▶ \$ a Applied to 2008, but not more than line 2a b Applied to undistributed income of pror years (Election required—see page 26 of the instructions) c Treated as distributions out of corpus (Election required—see page 26 of the instructions). d Applied to 2009 distributable amount. e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).) 6 Enter the net total of each column as indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. d Subtract line 6c from line 6b I Taxable amount—see page 27 of the instructions. e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions. f Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions out of corpus to satisfy requirements imposed by section 170 (b)(1)(F) or 4942(q)(3) (see page 27 of the instructions).  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170 (b)(1)(F) or 4942(q)(3) (see page 27 of the instructions).  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).  9 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).  10 Analysis of line 9 Excess from 2005.  b Excess from 2005. b Excess from 2005. b Excess from 2005. b Excess from 2005. b Excess from 2005. b Excess from 2005. b Excess from 2005. b Excess from 2005.	f	<b>Total</b> of lines 3a through e				
a Applied to 2008, but not more than line 2a  b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)  c Treated as distributions out of corpus (Election required—see page 26 of the instructions).  d Applied to 2009 distributable amount.  e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2009 (If an amount appears in column (a), the same amount must be shown in column (a).)  Enter the net total of each column as indicated below:  a Corpus Add lines 3f, 4c, and 4e Subtract line 5  b Prior years' undistributed income Subtract line 4b from line 2b.  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.  d Subtract line 6b Taxable amount—see page 27 of the instructions.  e Undistributed income for 2008 Subtract line 4 a from line 2a Taxable amount—see page 27 of the instructions.  f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in come for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).  Subtract lines 7 and 8 from line 6a.  Subtract lines 7 and 8 from line 6a.  Landaysis of line 9  Excess from 2005.  Excess from 2005.  Excess from 2006.	4	Qualifying distributions for 2009 from Part				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions) c Treated as distributions out of corpus (Election required—see page 26 of the instructions). d Applied to 2009 distributable amount. e Remaining amount distributed out of corpus  Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)  6 Enter the net total of each column as indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. d Subtract line 66 from line 6b Taxable amount—see page 27 of the instructions. e Undistributed income for 2009 Subtract line 4a from line 2 Taxable amount—see page 27 of the instructions. f Undistributed income for 2009 Subtract line 4a from line 2 Taxable amount—see page 27 of the instructions. f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).  9 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).  9 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).  10 Analysis of line 9  Excess from 2005. b Excess from 2006. b Excess from 2006. b Excess from 2006.		XII, line 4 🕨 \$				
(Election required—see page 26 of the instructions)  c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	а	Applied to 2008, but not more than line 2a				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions), . d Applied to 2009 distributable amount e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).) 6 Enter the net total of each column as indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6 from line 6 b Taxable amount—see page 27 of the instructions . e Undistributed income for 2008 Subtract line 4 a from line 2 a Taxable amount—see page 27 of the instructions . f Undistributed income for 2009 Subtract lines 4 and 4a fform line 1 This amount must be distributed in 2010 . 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) .  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) .  9 Excess distributions carryover for 2010. Subtract lines 7 and 8 from line 6 a. 10 Analysis of line 9 Excess from 2005 . b Excess from 2006 .	b	11				
required—see page 26 of the instructions)						
d Applied to 2009 distributable amount. e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)  6 Enter the net total of each column as indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b. c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . e Undistributed income for 2008 Subtract line 4a from line 2 a Taxable amount—see page 27 of the instructions . f Undistributed income for 2009 Subtract lines 4a dad 35 from line 1 This amount must be distributed and 2010 . 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(a)(3) (see page 27 of the instructions) .  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) .  9 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) .  8 Excess from 2005 .	С	. ,				
e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2009 (If an amount appears in column (a), the same amount must be shown in column (a).)  6 Enter the net total of each column as indicated below:  a Corpus Add lines 3f, 4c, and 4e Subtract line 5  b Prior years' undistributed income Subtract line 4b from line 2b	-					
S Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)  Enter the net total of each column as indicated below:  a Corpus Add lines 3f, 4c, and 4e Subtract line 5  b Prior years' undistributed income Subtract line 4b from line 2b.  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.  d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions.  e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.  f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.  10 Analysis of line 9  Excess from 2005.  b Excess from 2006.		• •				
(If an amount appears in column (d), the same amount must be shown in column (a).)  6 Enter the net total of each column as indicated below:  a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b.  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.  d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions .  e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions .  f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in come for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 .  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 17(0)(1)(1) or 4942(g)(3) (see page 27 of the instructions) .  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) .  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a .  10 Analysis of line 9  Excess from 2005						
same amount must be shown in column (a).)  6 Enter the net total of each column as indicated below:  a Corpus Add lines 3f, 4c, and 4e Subtract line 5  b Prior years' undistributed income Subtract line 4b from line 2b.  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.  d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions .  e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions .  f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 .  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) .  8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) .  9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a .  10 Analysis of line 9  a Excess from 2005 .  b Excess from 2006 .	5					
indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b		` ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b	6					
b Prior years' undistributed income Subtract line 4b from line 2b	а					
Iline 4b from line 2b						
income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed						
been issued, or on which the section 4942(a) tax has been previously assessed	C					
tax has been previously assessed		•				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions						
amount—see page 27 of the instructions  e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions	a	·				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions	u					
4a from line 2a Taxable amount—see page 27 of the instructions	e	· -				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010	_					
Innes 4d and 5 from line 1 This amount must be distributed in 2010		of the instructions				
be distributed in 2010	f					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)						
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	-			+		
section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	,					
of the instructions)						
applied on line 5 or line 7 (see page 27 of the instructions)						
Instructions)	8	Excess distributions carryover from 2004 not				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  a Excess from 2005 b Excess from 2006						
Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  a Excess from 2005  b Excess from 2006						
10 Analysis of line 9 a Excess from 2005 b Excess from 2006	9					
<b>a</b> Excess from 2005 <b>b</b> Excess from 2006	10					
<b>b</b> Excess from 2006		,				
	_					
d Excess from 2008						
e Excess from 2009						

	art XIV Private Operating Four	ndations (see pa	ige 27 of the inst	tructions and Par	t VII-A, question	9)
1a	If the foundation has received a ruling or d foundation, and the ruling is effective for 2					1998-07-01
Ь	Check box to indicate whether the organize	•	<del>-</del>		n 🔽 4942(j)(3) o	r <b>Г</b> 4942(j)(5)
2a	Enter the lesser of the adjusted net	Tax year		Prior 3 years		(e) Total
	income from Part I or the minimum investment return from Part X for each	(a) 2009	<b>(b)</b> 2008	(c) 2007	<b>(d)</b> 2006	(e) Iotai
	year listed	3,095,824	4,317,957	4,493,785	4,315,522	16,223,088
b	85% of line 2a	2,631,450	3,670,263	3,819,717	3,668,194	13,789,624
C	Qualifying distributions from Part XII, line 4 for each year listed	4,907,374	5,300,346	4,684,710	7,052,584	21,945,014
d	Amounts included in line 2c not used directly for active conduct of exempt activities	5,000	32,000	94,548	1,986,522	2,118,070
	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	4,902,374	5,268,346	4,590,162	5,066,062	19,826,944
3	Complete 3a, b, or c for the alternative test relied upon					
а	"Assets" alternative test—enter					
_	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3					
	of minimum investment return shown in Part X, line 6 for each year listed.	2,524,104	2,878,638	3,245,939	2,974,667	11,623,348
С	"Support" alternative test—enter					
	(1) Total support other than gross					
	investment income (interest,					
	dividends, rents, payments on securities loans (section					
	512(a)(5)), or royalties)					
	(2) Support from general public					
	and 5 or more exempt					
	organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support					
	from an exempt organization  (4) Gross investment income					
Рa	rt XV Supplementary Information	n (Complete th	is part only if	the organization	n had \$5 000 c	or more in
	assets at any time during				<i>45</i> /555 C	
1	Information Regarding Foundation Manage					
а	List any managers of the foundation who had before the close of any tax year (but only i					dation
	List any managers of the foundation who ov	vn 10% or more of t	the stock of a corpo	oration (or an equall	y large portion of th	ne
	ownership of a partnership or other entity)					
	Information Regarding Contribution, Grant	., Gift, Loan, Schola	rship, etc., Progran	ns:		
	Check here 📂 if the foundation only ma	ces contributions to	nreselected charit	ahle organizations	and does not accer	nt .
	unsolicited requests for funds If the found under other conditions, complete items 2a	atıon makes gıfts, g	rants, etc (see pa	ge 28 of the instruc	tions) to individual	s or organizations
a	The name, address, and telephone number	of the person to wh	nom applications sh	nould be addressed		
b	The form in which applications should be s	ubmitted and inforn	nation and material	s they should inclu	de	
c	Any submission deadlines					
d	Any restrictions or limitations on awards,	such as by geograp	hıcal areas, charıta	able fields, kinds of	institutions, or othe	er

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation show any relationship to Purpose of grant or status of A mount any foundation manager contribution Name and address (home or business) recipient or substantial contributor a Paid during the year NATIONAL ELEPHANT CENTER PUBLIC CONSERVATION UNRELATED 5,000 5555 W ZOO BLVD WICHITA, KS 67212 5,000 **b** Approved for future payment 

Enter gross amounts unless otherwise indicated  (a) (b) (c) (d) (d) (d) (Exclusion code Amount (See page 2.8 of the instructions (See page 2.8 of the instructions)  a ADMISSION INCOME (See worker)  b (c) (d) (d) (Exclusion code Amount (See page 2.8 of the instructions)  1,393,08  b (d) (e) (f) (f) (f) (f) (f) (f) (f) (f) (f) (f	Form 990-I	PF (2009) -A Analysis of Income-Produc	cina Activitie	S			Page <b>12</b>
a AMMISSION INCOME  b			Unrelated bu	Isiness income	<u>'</u>		Related or exempt
## Comparison of	<b>1</b> Program	n service revenue					(See page 28 of the instructions )
d d e							1,393,085
d e e grees and contracts from government agencies green de motoriste from session motoriste from session motoriste from session motoriste from session motoriste from securities							
g Fees and contracts from government agencies  2 Membership dues and assessments	d						
g Fees and contracts from government agencies 2 Membership dues and assessments							
3 Interest on savings and temporary cash investments 4 Dividends and interest from securities							
4 Dividends and interest from securities		•				4.725	
5 Net rental income or (loss) from real estate a Debt-financed property b Not debt-financed property c Net rental income or (loss) from personal property 7 Other investment income 15 955,688 8 Gain or (loss) from sales of assets other than inventory 9 Net income or (loss) from sales of inventory						, , , , , , , , , , , , , , , , , , ,	
b Not debt-financed property			1		1	1,030,073	
6 Net rental income or (loss) from personal property 7 Other investment income	<b>a</b> Debt-	financed property					
7 Other investment income							
8 Gain or (loss) from sales of assets other than inventory 9 Net income or (loss) from special events 10 Gross profit or (loss) from sales of inventory. 11 Other revenue aOTHER MISC INCOME 12 Subtotal Add columns (b), (d), and (e). 13 Total. Add columns (b), (d), and (e). 14 See worksheet in line 13 instructions on page 28 to verify calculations )  Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes  Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)  8 LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS 11D MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO 11C SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS 11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS					15	955,688	
10 Gross profit or (loss) from sales of inventory.  11 Other revenue aOTHER MISC INCOME  b FISH FEEDER INCOME  c AVIARY SEED STICK INCOME  d ANIMAL SALES  e  12 Subtotal Add columns (b), (d), and (e).  13 Total. Add line 12, columns (b), (d), and (e).  15 Subtotal Add line 12, columns (b), (d), and (e).  16 See worksheet in line 13 instructions on page 28 to verify calculations )  17 Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes  Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)  8 LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS  11D MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  11C SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS					18	-2,448,962	-107,223
11 Other revenue aoTHER MISC INCOME b FISH FEEDER INCOME c AVIARY SEED STICK INCOME d ANIMAL SALES e 12 Subtotal Add columns (b), (d), and (e). See worksheet in line 13 instructions on page 28 to verify calculations)  Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes  Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)  8 LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS  11D MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  11C SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS  11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS					2	612 501	
b FISH FEEDER INCOME c AVIARY SEED STICK INCOME d ANIMAL SALES e  12 Subtotal Add columns (b), (d), and (e). See worksheet in line 13 instructions on page 28 to verify calculations )  Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes  Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)  8 LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS  11D MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  11C SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11B SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS		· · ·			3	612,501	75,196
d ANIMAL SALES e  12 Subtotal Add columns (b), (d), and (e).  13 Total. Add line 12, columns (b), (d), and (e).  14 Subtotal Add line 12, columns (b), (d), and (e).  15 See worksheet in line 13 instructions on page 28 to verify calculations )  16 Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes  17 Line No.  18 Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)  18 LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS  10 MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  11 SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11 SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS  11 SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS							19,330
Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See by Son Disposals of Non-Inventory Assets Used In Zoo Operations  Loss on Disposals of Non-Inventory Assets Used In Zoo Operations  MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS							63,840
12 Subtotal Add columns (b), (d), and (e)							35,530
(See worksheet in line 13 instructions on page 28 to verify calculations)  Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes  Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)  LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS  MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS						814,031	1,479,758
Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes  Line No.  Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)  LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS  MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS					1	13	2,293,789
Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)  8 LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS  11D MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  11C SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11B SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS					Exempt Purpos		
B LOSS ON DISPOSALS OF NON-INVENTORY ASSETS USED IN ZOO OPERATIONS  11D MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  11C SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11B SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS	Line No.	Explain below how each activity for whethe accomplishment of the organization	nich income is re	ported in column	(e) of Part XVI-A c	ontributed importa	
MISC INCOME FROM STROLLER RENTAL, EDUCATIONAL CLASSES AND OTHER INCOME RELATED TO ZOO  SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS  SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS			NTORY ASSETS	USED IN ZOO C	DEPATIONS		
11C SALES OF FEED FOR FISH AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS 11B SALES OF FEED FOR BIRDS AND ANIMALS TO ZOO VISITORS/NORMAL IN COURSE OF ZOO OPERATIONS 11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS						ME RELATED TO	 ZOO
11A SALES OF ANIMALS TO OTHER ZOOS/NORMAL IN COURSE OF ZOO OPERATIONS	11C		•				
·	11B			·		F ZOO OPERATIO	NS
TA PEE FOR CALDWELL 200 ADMISSION	11A		•	OURSE OF ZOO	OPERATIONS		
	1A	FEE FOR CALDWELL 200 ADMISSION	V				

Part	XVII	Information Re Noncharitable					ransa	ctions	and Rela	tionships With			<u> </u>
<b>1</b> Did t	he organı	zation directly or indirectly	-				er organ	ızatıon des	scribed in section	on		Yes	No
501(	c) of the	Code (other than section !	501(c)(3)	organizati	ons) or in s	ection 527, re	latıng to	political o	rganizations?				
<b>a</b> Trai	nsfers fr	om the reporting foun	idation to	a nonc	harıtable	exempt org	anızat	ion of					
(1)	Cash.										. 1a(1)		No
		assets									1a(2)		No
	er trans												
	(1) Sales of assets to a noncharitable exempt organization								. 1b(1)		No		
	(2) Purchases of assets from a noncharitable exempt organization									No			
		of facilities, equipmer											No
		ırsement arrangemen	•								<u> </u>		No
		or loan guarantees.											No
		ance of services or m											No
		acilities, equipment, r		-	_								No
		er to any of the above										luo	110
of th	ne goods	s, other assets, or ser action or sharing arra	rvices gi	ven by t	he report	ıng foundatı	on Ift	the found	lation receiv	ved less than fair m	narket value		
(a) Line	No	(b) Amount involved	(c) Name	e of nonch	haritable ex	empt organiza	ition	(d) De	scription of tra	nsfers, transactions, an	d sharing arra	ingemei	nts
des	crıbed ıı	lation directly or indir n section 501(c) of th mplete the following s (a) Name of organization	ie Code ( chedule		nan sectio	•	)) or in	section				<sub>es</sub> F	, No
					-								
					-								
	the be	penalties of perjury, st of my knowledge a on all information of ***** gnature of officer or tr	nd belief which pro	, it is tru	ue, correc	t, and comp		Declarati	on of prepai				
<u>.</u> .	<u>,                                    </u>	Jaca. o o o o o o o o o								r 1100	In		
Sign Here	id rer's Only	Preparer's Ginny Signature	y Ragland	d				Da	ite	Check if self- employed ▶	Preparer' identifyin number (s Signature 30 of the i	g see on pa	
	Paid Preparer's Use Only	Firm's name (or you if self-employed),	7	Henry	& Peters	PC				EIN F	I		
		address, and ZIP co	ode	3310	So Broady	vay Suite 1	00			Phone no (903) 597-6311			
				Tvler.	TX 7570	1				1	, 557 051	_	

#### **Additional Data**

**Software ID:** 09000047

**Software Version:** 

**EIN:** 75-6004080

Name: THE CALDWELL FOUNDATION

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
OTHER CURRENCY GAINS/LOSSES	Р	2009-01-01	2009-12-31
LT FOREIGN CURRENCY CONTRACTS-SEE SCHED	Р	2008-01-01	2009-12-31
ST FOREIGN CURRENCY CONTRACTS-SEE SCHED	Р	2009-01-01	2009-12-31
LONG TERM SALES-SEE SCHEDULE	Р	2008-01-01	2009-12-31
SHORT TERM SALES-SEE SCHEDULE	Р	2009-01-01	2009-12-31
DFA-ALLOCATED SHORT TERM GAINS	Р	2009-01-01	2009-12-31
DFA-ALLOCATED LONG TERM GAINS	Р	2007-01-01	2009-12-31
GMO-ALLOCATED LONG TERM GAINS	Р	2007-01-01	2009-12-31

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,228			13,228
6,640,000		6,186,467	453,533
11,347,130		11,293,362	53,768
10,386,204		13,537,509	-3,151,305
4,299,411		4,158,103	141,308
6,509			6,509
19,951			19,951
14,046			14,046

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets shown	(I) Gains (Col (h) gain minus			
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	( <b>k)</b> Excess of col (ı) over col (j), ıf any	col (k), but not less than -0-) <b>or</b> Losses (from col (h))	
			13,228	
			453,533	
			53,768	
			-3,151,305	
			141,308	
			6,509	
			19,951	
			14,046	

## Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE H MORGAN PHD	BOARD MEMBER	15,000		
4701 FOUNTAINGATE DR NORMAN,OK 73072	3 00			
PEYTON M LAKE	BOARD MEMBER	15,000		
PO BOX 179 TYLER,TX 75710	3 00			
HS MCARTHUR	EXEC V-PRES	100,000	8,732	
PO BOX 4280 TYLER,TX 75712	40 00			
EH CALDWELL	Exec DIRECTOR	141,150	13,525	
PO BOX 4785 TYLER,TX 75712	40 00			
MS MCARTHUR	Chairman	198,500	11,857	
PO BOX 4280 TYLER,TX 75712	40 00			

#### **TY 2009 Accounting Fees Schedule**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING/AUDITING FEES	27,500	23,031	23,031	4,469

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491136016622

### **TY 2009 Contractor Compensation Explanation**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Contractor	Explanation
TWEEDY BROWNE CO LLC	
TRADEWINDS NWQ GLOBAL INVESTORS LLC	
THOMPSON & KNIGHT	
GRANTHAM MAYO VANOTTERLOO & CO	
GLENWOOD ANIMAL HOSPITAL	

Adjusted Net

Cost of Goods

Included

**n:** 2009v1.7

2,540

21,725

6,917

9,415

4,405

187,821

11,232

6,228

4,130

2,655

9,200

8,350

16,391

16,134

5,105

8,320

5,251

3,447

6,613

8,490

49,827

370,617

37,651

2,971

4,855

14,795

3,906

8,025

3,679

7,096

8,009

8,556

8.240

7,950

3,333

3,920

3,341

298,442

15,179

7,925

7,437

8,289

7,912

2,745

10,780

15,097

17,778

180,000

135,104

46,000

51,000

45,000

224,162

1,516,515

15,835

216,000

110,000

4,927

3,500

4,818

5,281

255,030

39,860

664,832

3,260

21,284

30,210

686,628

325,356

201,184

322,837

15,341

21,100

46,098

2,960

321,865

20,193

174,033

35,431

2,822

27,515

11,500

19,138

58,479

59,309

653,633

651,170

50,162

302,913

41,008

369,334

33,796

247,322

29,343

3,134,180

136,277

2,545,023

5,556

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5,552 91

655 91

371

482

310 91

986

1,253

4,644

4,572

729 91

2,496

1,575

1,149

4,041

5,424

11,627

92,655

9,726

1,188

2,428

5,619

1,534

4,548

2,085

6,702

3,527

5,418

6,043

5,963

2,501 91

2,940

1,789

5,186

3,396

6,662

7,599

7,647

2,653

10,421

7,801

9,186

31,000

99,722

23,767

26,350

23,250

115,809

783,534

8,182

37,200

18,945

2,628

1,896

1,792

2,992

148,767

25,246

144,045

3,029

9,223

28,055

446,309

72,301

44,707

71,741

10,355

16,001

36,495

2,146

77,784

9,759

126,174

29,230

1,583

24,535

4,198

10,063

34,991

35,480

448,587

385,072

48,251

291,400

39,610

136,934

15,989

120,161

26,155

1,522,816

133,388

2,466,628

101,967

r Other	Prior
cic	Denre

Date Cost o Acquired

Software ID
Software Version

**Description of** 

PRAIRIE CHICKEN

TEXAS REPTILE ROOF

HOLDING/QUARANTINE WATERFOWL BREEDING

HI TEMP DISHWASHER

LARGE ANIMAL SCALES

EGG INCUBATOR

**ENTRANCE** 

7639

VIN5642

MAMMALS

**SCANNER** 

**UPGRADE** 

**PROJECT** 

**BOARD** 

9405-VET OZONE SYSTEM-

MOWER

GATE OPENERS-SVC

JD GATOR - GROUNDS

FORD TRK-PURCH VIN

FORD TRK-SECURITY

X-RAY FILM PROCESSOR

N AMER REPTILE A/C

TICKET/MEMBERSHIP

SERVER - VIS SVCS

MAIN ENTRY/PLAZA

**EDUCATION HUTS** 

CONCESSION MENU

CARDELL MONITOR

PENGUIN EXHB VIEWING BINOCULARS

EXMARK LZR Z RIDING

SECURITY CAMERAS

WATER LEVEL CONTROL

JD GATOR W/CAB-BLDG

JD 4X2 GATOR-GARAGE

ZOO ADMIN COPIER

MOWER-84" ROTARY

TABLE/CHAIRS-PARTY

UPPER BOX CULVERT

TRACTOR - SECURITY

COPIER -VIS SVCS

COLOR - PRINTER

CHILDREN'S ZOO

GIFT SHOP #2

LEMUR EXHBT

FLAMINGO BLD

LEMUR EXHIBIT

DESGN/ARCH/ENG MAIN ENTRY - SITE

**PUMPHOUSE** 

MAIN ENTRY

GATE/PANELS

MAIN ENTRY

BUS PARKING

**GREENHOUSE** 

HEALTH

ZONE A - ANIMAL

ZONE A - IRRIGATION

ZONE D - LANDSCAPE

HORTICULTURE BLDG

MAMMAL HOLDING

FLAMINGO HOLDING

PRAIRIE CHICKEN EXHIBIT

WALL OF REPTILE

RESTROOM - ZONE C

PARKING LOT-ZONE C

FENCING - ZONE C

PERIMETER FENCE

ZOO ADMIN BLDG

GENERAL BLDG &

3043 W GENTRY

3037 W GENTRY

PURCHASING BLDG

ADM OFFICE BLDG

PERIMETER FENCE

MAINTENANCE GARAGE

PERIMETER FENCE

**ELEPHANT CRUSH** 

MAINTENANCE BLDG

AFRICAN THEME PHASE

AFRICAN THEME AREA

AFRICAN THEME PHASE

NATIVE TX EXHIBIT

PARKING LOT

PARKING LOT

**IMPROVEMEN** 3025 W GENTRY

DUMP TRUCK

TRACTOR

**BACKHOE** 

**EXHIBIT** 

ZONE D - FENCING

ZONE D - LOWER

PARKING

SIDEWALKS **BOX CULVERT** 

RESTROOM

SVCS

MAIN ENTRY - VISITOR

WASH STATION - TRASH

BUS PARKING HANDICAP

BUS PARKING FENCING

**BLDG** 

**BUS PARKING TICKET** 

LEMUR EXHBT-FILTRATN

LEMUR EXHBT-LEMUR

AVIARY-WILDBIRD

WALKABOUT **HEATER** 

**DELL SERVER** 

CART

COPIER

REPTILE HEATER

ROOM

PORTABLE POS-

COMPUTER

**GREENHOUSE** 

CONC FREEZER

JD 4X2 GATOR -

SOL UPGRADE

CONC #3 A/C

REPLACEMENT CONC #3 POS

ANIMAL

(COMM)

**EXHIBIT** 

<b>Software ID:</b>

EIN:	7

2009-04-16

2009-03-06

2009-09-03

2009-04-09

2009-03-19

2008-12-31

2008-05-01

2008-07-31

2008-05-08

2008-05-22

2008-03-27

2008-03-27

2007-07-31

2007-07-31

2007-12-01

2007-06-28

2007-06-21

2007-04-26

2007-02-14

2007-01-18

2006-08-01

2006-06-01

2006-05-01

2006-12-07

2006-06-23

2006-04-01

2006-03-09

2006-02-28

2006-02-28

2006-02-28

2005-11-30

2005-10-31

2005-05-01

2005-03-31

2005-03-01

2005-03-01

2005-03-01

2005-08-01

2005-07-31

2006-01-01

2005-06-01

2004-06-01

2004-02-28

2004-02-28

2004-02-28

2003-10-31

2003-10-31

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2003-10-31

2003-10-31

2003-10-31

2003-09-01

2003-08-15

2003-06-15

2003-04-24

2003-03-01

2002-08-01

2002-06-01

2002-06-01

2002-06-01

2002-06-01

2002-06-01

2002-04-01

2002-04-01

2002-04-01

2002-03-01

2001-05-17

2001-01-10

2001-10-01

2001-10-01

2001-10-01

2001-10-01

2000-09-07

2000-10-31

2000-01-31

1997-07-01

1994-07-01

1993-07-31

1993-07-31

1990-02-01

1989-05-01

1997-05-27

1996-12-16

1995-10-31

1994-07-01

1993-04-30 1992-10-31

1991-05-24

1992-07-01

1989-09-11

1988-07-01

1988-07-01

TY 2009 Deprecia	ation Schedule
	Name:

- 75-6004080 09000047

**Computation Method** 

Rate /

Life (# of years)

10 0000

10 0000

3 0000

5 0000

3 0000

31 5000

10 0000

7 0000

5 0000

5 0000

7 0000

5 0000

5 0000

5 0000

7 0000

5 0000

5 0000

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3 0000

3 0000

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30 0000

15 0000

10 0000

10 0000

15 0000

10 0000

31 5000

3 64 %

3 64 %

3 64 %

3 64 %

3 18 %

13 0000

13 0000

14 4300

39 0000

31 5000

31 5000

22 4000

31 5000

22 4000

22 4000

22 4000

**Current Year's** 

Depreciation

Expense

169

1,629

576

1,255

1,101

6,261

1,123

890

826

531

1,314

1,670

3,278

3,227

729

1,664

1,050

689

2,204

2,830

4,983

37,062

3,765

594

971

2,113

558

1,605

736

394

1,144

1,711

1,648

1,590

667

784

477

29,844

1,518

1,132

775

691

264

92

359

1,510

1,778

6,000

19,301

4,600

5,100

4,500

22,416

151,652

1,583

7,200

3,667

493

350

321

528

25,503

3,986

22,161

233

1,419

2,158

68,663

10,845

6,706

10,761

1,534

2,110

4,610

296

10,729

1,346

17,403

3,543

2,/51

365

696

2,126

2,156

23,766

22,487 1,911

11,513

1,398

9,470

1,073

7,852

1,594

99,510

2,892

78,395

**Net Investment** 

- THE CALDWELL FOUNDATION
- - Years' epreciation

TY 2009 Employee Compensation Explanation						
Name:	THE CALDWELL FOUNDATION					
EIN:	75-6004080					
Software ID:	0900047					

DLN: 93491136016622

Software Version: 2009v1.7

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Employee	Explanation
LG KUNZE	
RD BOGGS	
TG CARTER	
MD TUCKER	
LS MADDOX	

DLN: 93491136016622

Note: To capture the full content of this document, please select landscape mode (11"  $\times$  8.5") when printing.

#### TY 2009 Gain/Loss from Sale of Other Assets Schedule

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
CHILDREN'S GARDEN	2009-12	Purchase				107,140	Cost		-107,140	1
AFRICAN THEME PHASE 1	1988-07	Purchase	2009-12			5,236	Cost		-83	5,153
RHINO/CHEETAH/CAPE HUNTNG	1988-09	Purchase	2009-12			7,568	Cost			7,568
REPTILE HOUSE & AQUARIUM	1984-06	Purchase	2009-12			10,612	Cost			10,612
COLOR - PRINTER	2004-02	Purchase	2009-12			2,745	Cost			2,745
LAZBOY HIGHBACK CHAIR	1990-06	Purchase	2009-12			500	Cost			500

#### **TY 2009 General Explanation Attachment**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

ldentifier	Return Reference	Explanation
		REASON FOR AMENDMENT THE 2009 990-PF IS BEING AMENDED TO MOVE \$884,123 OF EXPENSES FROM COLUMN D TO COLUMN C ON PAGE 1 TO COMPLY WITH THE SPECIAL RULE FOR PRIVATE OPERATING FOUNDATIONS THESE EXPENSES ARE RELATED TO CHARITABLE ACTIVITIES THAT GENERATED INCOME AND WERE ORIGINALLY REPORTED IN COLUMN D IN ERROR ALL RELATED SCHEDULES HAVE BEEN UPDATED PART V, PAGE 3 & PART XIV, PAGE 10 HAVE ALSO BEEN CORRECTED FOR THIS SAME ADJUSTMENT FOR PRIOR YEARS QUALIFYING DISTRIBUTIONS HAVE BEEN REDUCED AS FOLLOWS 2004 -795,8062005 -835,1622006 -875,3632007 -820,5722008 -798,159PART X, LINE 1C, PAGE 8 HAS BEEN REDUCED BY \$120,000 BECAUSE INVESTMENT LAND WITH FMV OF \$240,000 WAS CONVERTED TO EXEMPT CHARITABLE PURPOSES IN THE SUMMER OF 2009 ALL RELATED SCHEDULES HAVE BEEN UPDATED NONE OF THE ABOVE CHANGES HAVE AFFECTED THE 2009 EXCISE TAX DUE

#### TY 2009 Land, Etc. Schedule

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	1,749,949		1,749,949	1,749,949
Buildings	19,255,805	13,364,547	5,891,258	5,891,258
Furniture and Fixtures	875,890	777,421	98,469	98,469
Auto./Transportation Equip.	87,942	68,482	19,460	19,461

#### TY 2009 Legal Fees Schedule

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	78,988	48,098	48,098	30,890

#### **TY 2009 Other Assets Schedule**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Net Intangible Assets	20,000	20,000	2,724,432
CONSTRUCTION IN PROGRESS	212,166	107,140	107,140

#### **TY 2009 Other Expenses Schedule**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
X-EXPENSES ALLOC TO CHARITABLE INCOME			884,123	-884,123
VEHICLE EXPENSE	46,218			46,218
SUPPLIES	133,648	9,072	9,072	124,576
PUBLIC RELATIONS	89			89
OTHER EXPENSES	150,845	8,455	8,455	142,390
OTHER EMPLOYEE EXPENSES	75,799	14,386	14,386	61,413
MAINTENANCE & REPAIR	257,323	9,422	9,422	247,901
CONTRACT LABOR	354,769			354,769
COMMUNICATIONS	45,439	7,602	7,602	37,837
ANIMAL EXPENSE	421,304			421,304

#### DLN: 93491136016622

#### **TY 2009 Other Income Schedule**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER MISC INCOME	75,196		75,196
O ther Investment Income	955,688	955,688	955,688
FISH FEEDER INCOME	19,330		19,330
AVIARY SEED STICK INCOME	63,840		63,840
ANIMAL SALES	35,530		35,530
ADMISSION INCOME	1,393,085		1,393,085

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#### **TY 2009 Other Liabilities Schedule**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Description	Beginning of Year - Book Value	End of Year - Book Value
Rounding	1	
SALES TAX PAYABLE	1,377	820

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#### **TY 2009 Other Professional Fees Schedule**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	389,943	389,943	389,943	0
CONSULTING FEES	49,926	31,315	31,315	18,611

#### **TY 2009 Sales Of Inventory Schedule**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Category	Gross Sales	Cost of Goods Sold	Net (Gross Sales Minus Cost of Goods Sold)
GIFT SHOP SALES	987,280	374,779	612,501

#### **TY 2009 Taxes Schedule**

Name: THE CALDWELL FOUNDATION

**EIN:** 75-6004080

**Software ID:** 09000047

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	35,000			
AD VALOREM TAXES	85,811	85,811	85,811	

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
MASTERCARD INC CL A	150	04/24/09	06/05/09	25,115	25,391	(276)
STATE STREET CORP	450	11/04/08	01/21/09	7,278	20,673	(13,395)
STATE STREET CORP	950	10/14/08	01/21/09	15,365	53,486	(38,121)
STATE STREET CORP	600	10/13/08	01/21/09	9,704	26,799	(17,095)
RESEARCH IN MOTION LTD COM	400	04/02/09	08/13/09	28,748	22,247	6,501
DOLBY LABORATORIES INC CL A	250	06/25/09	11/09/09	10,649	9,194	1,455
AMAZON COM INC COM	450	09/18/08	02/02/09	26,737	33,624	(6,887)
INVESCO LTD SHS	1,300	10/14/08	03/03/09	13,004	23,032	(10,028)
NOVO NORDISK A S ADR	700	09/08/08	02/05/09	36,448	37,280	(832)
EXPEDITORS INTL WASH INC COM	650	04/30/09	09/28/09	22,901	22,680	221
EXPEDITORS INTL WASH INC COM	600	04/02/09	09/02/09	19,202	18,001	1,201
EXPEDITORS INTL WASH INC COM	700	04/22/09	09/28/09	24,662	24,109	553
STATE STREET CORP	200	05/19/09	11/09/09	8,650	8,630	19
EXPEDITORS INTL WASH INC COM	650	04/06/09	09/28/09	22,901	19,721	3,179
EXPEDITORS INTL WASH INC COM	225	04/02/09	09/28/09	7,927	6,750	1,177
COGNIZANT TECH SOLUTIONS CL A	425	05/05/09	11/09/09	18,742	11,534	7,208
RESEARCH IN MOTION LTD COM	250	02/02/09	08/13/09	17,968	14,193	3,774
INTUITIVE SURGICAL INC	25	10/14/08	05/15/09	3,775	5,612	(1,837)
AMAZON COM INC COM	125	09/19/08	04/22/09	10,146	10,209	(63)
AMAZON COM INC COM	175	09/18/08	04/22/09	14,204	13,076	1,128
AMAZON COM INC COM	150	09/19/08	04/23/09	11,993	12,251	(258)
HOLOGIC INC COM	1,630	05/27/08	01/08/09	18,764	38,455	(19,690)
HOLOGIC INC COM	1,170	05/27/08	01/09/09	12,496	27,602	(15,107)
INTUITIVE SURGICAL INC	1,176	09/30/08	05/15/09	26,427	42,007	(15,580)
HOLOGIC INC COM	600	05/22/08	01/08/09	6,907	13,768	(6,861)
MASTERCARD INC CL A	250	10/14/08	06/05/09	41,859	44,448	(2,589)
MASTERCARD INC CL A	175	10/13/08	06/05/09	29,301	28,000	1,301
RESEARCH IN MOTION LTD COM	25	10/14/08	06/18/09	1,922	28,600 1,677	245
AUTOMATIC DATA PROCESSING INC	150	05/27/08	02/02/09	5,415	6,321	(906)
	1,750	05/22/08	02/02/09	63,180	74,666	(11,486)
AUTOMATIC DATA PROCESSING INC	500				· ·	(1,913)
ALLERGAN INC COM		10/03/08	06/17/09	22,282 30,757	24,194	
RESEARCH IN MOTION LTD COM	400	09/19/08	06/18/09		41,602	(10,846)
RESEARCH IN MOTION LTD COM	475 350	09/18/08	06/18/09	36,524	43,678	(7,154) 8,377
AMAZON COM INC COM		10/14/08	07/17/09	30,015	21,638	
INVESCOLED SHS	1,160 790	05/28/08	03/02/09	12,360	31,385	(19,024)
INVESCO LTD SHS		05/28/08	03/03/09	7,902	21,374	(13,472)
INVESCO LTD SHS	1,975	05/22/08	03/02/09	21,044	53,671	(32,627)
INTUITIVE SURGICAL INC	75 100	10/14/08	08/05/09	17,577	16,837	740
APPLE INC	100	01/15/09	11/09/09	20,044	8,135	11,909
AMAZON COM INC COM	250	09/19/08	07/17/09	21,439	20,418	1,021
RESEARCH IN MOTION LTD COM	325	10/14/08	08/13/09	23,358	21,807	1,551
ALLERGAN INC COM	150	06/02/08	04/06/09	7,176	8,531	(1,355)
INTUITIVE SURGICAL INC	25	10/29/08	09/11/09	6,047	4,289	1,758
ALLERGAN INC COM	1,150	05/22/08	04/06/09	55,016	62,390	(7,374)
PHARMACEUTICAL PROD DEV INC	1,200	12/18/08	11/02/09	25,732	32,998	(7,267)
INTUITIVE SURGICAL INC	125	10/21/08	09/11/09	30,235	23,336	6,899
VISA INC COM CL A	50	10/30/08	10/02/09	3,385	2,662	722
VISA INC COM CL A	750	10/14/08	10/02/09	50,772	44,417	6,354
AUTOMATIC DATA PROCESSING INC	1,660	05/27/08	05/19/09	59,520	69,955	(10,435)
COMCAST CORP CL A SPECIAL NEW	20	08/19/09	12/21/09	332	279	53
COMCAST CORP CL A SPECIAL NEW	529	08/19/09	12/21/09	8,778	7,377	1,401
AGILENT TECHNOLOGIES	782	12/19/08	08/27/09	19,891	12,885	7,005
AGILENT TECHNOLOGIES	1,523	12/12/08	08/27/09	38,739	26,277	12,462
AGILENT TECHNOLOGIES	1,201	12/11/08	08/27/09	30,549	21,718	8,831
UNITED STS STL CP (NEW)	1,222	09/18/08	06/29/09	45,568	109,937	(64,369)
AGILENT TECHNOLOGIES	945	12/19/08	09/30/09	26,345	15,571	10,774
AGILENT TECHNOLOGIES	875	12/19/08	10/06/09	23,914	14,418	9,496
AGILENT TECHNOLOGIES	745	12/19/08	10/08/09	20,526	12,276	8,250
AGILENT TECHNOLOGIES	485	12/19/08	10/12/09	13,356	7,992	5,365
AGILENT TECHNOLOGIES	817	12/19/08	10/14/09	22,508	13,462	9,046
AGILENT TECHNOLOGIES	343	12/19/08	10/19/09	9,411	5,652	3,759
AGILENT TECHNOLOGIES	742	12/19/08	10/21/09	20,108	12,226	7,881
ANGLOGOLD ASHANTI LIMITED	628	04/11/08	03/06/09	19,408	23,205	(3,798)
GOLDEN MINERALS CO	-	11/23/09	11/23/09	4	-	4
IAMGOLD CORPORATION	-	02/26/09	02/26/09	0	-	0

VIND PARTICIP SA ARR PED NEW	Name TIM PARTICIPACOES S A	Quantity	Date Acquired 05/14/09	Date Sold 05/14/09	Proceeds 5	Total Cost	Realized 5
GOLDEN MINERALS CO 3 11/2509 11/2509 0 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		_				_	
OREZONE GOLD CORP		- 3				-	
PORTLAND GENERAL ELEC CO 788 02/1809 03/0809 12,201 13,749 11,459 19PORTLAND GENERAL ELEC CO 1,443 02/1709 03/0809 17,224 5,276 1,948 1,949 040/AMTEST CORP NEW 1,199 06/1809 06/1209 07,224 5,276 1,948 040/AMTEST CORP NEW 1,199 06/1809 06/1209 12,1802 20,051 1,130 02/1809 03/1809 11,136 12,150 11,136 11,138 11,136 11,136 11,136 11,138 11,136 11,136 11,138 11,136 11,136 11,138 11,136 11,136 11,136 11,136 11,138 11,136 11,136 11,136 11,138 11,136 11,136 11,138 11,136 11,136 11,138 11,136 11,136 11,138 11,136 11						_ _	
PORTLAND GENERAL ELEC CO						_	, ,
FREEDROTT MCMORAN CPAGLO 178 0022500 0031909 7,224 5,276 1,948 ADVANTEST CORP NEW 1.159 0519009 061209 21.882 20.051 1,1830 ADVANTEST CORP NEW 1.159 0519009 061209 21.882 20.051 1,1830 ADVANTEST CORP NEW 1.159 0519009 061209 21.882 20.051 1,1830 ADVANTEST CORP NEW 1.159 0519009 061209 21.882 20.051 1,1830 ADVANTEST CORP NEW 1.159 0519009 061209 21.882 20.051 1,1830 ADVANTEST CORP NEW 1.159 0519009 061209 22.8484 17,014 7,634 6617 7,633 ALUMINA LTD 0612009 076807 071009 0718009 0718009 0718009 0718009 11,102 11,1466 0384) AUMINA LTD 0612009 0718009 0718009 11,102 11,1466 0384) 0718009 0718009 0718009 11,102 11,1466 0384) 0718009 0718009 0718009 11,102 11,1466 0384) 0718009 0718009 0718009 0718009 11,102 11,1466 0384) 0718009 0718009 0718009 0718009 0718009 11,102 11,1466 0384) 0718009 071					,	,	
ADVANTEST CORP NEW 451 05/2009 06/12/09 8,515 7,785 730 ADVANTEST CORP NEW 1,159 06/19/09 06/12/09 21,882 20,505 1,830 ALUMINA LTD 3,903 02/18/09 03/18/09 11,13/6 12,150 (1,013) FREEPORT MCMORAN CP&GLD 574 02/25/09 03/18/09 11,13/6 12,150 (1,013) FREEPORT MCMORAN CP&GLD 574 02/25/09 03/18/09 11,10/2 14,648 (1,146) AUMINA LTD 13,881 02/65/09 03/18/09 11,10/2 14,648 (1,146) AREZONE GOLD CORP 13,895 02/77/09 04/08/09 3,383 5,558 (2,195) SMITHFIELD FOODS INC (VA) 340 08/18/09 10/02/09 4,327 4,010 317 GOLDEN MINERALS CO 884 03/25/09 05/18/09 1,10/4 - 1,10/4 ANGLOGOLD ASHANTI LIMITED 1,091 07/10/09 09/04/09 02,46/1 17,724 4,737 FRESORO PETROLEUM CORP 81 12/02/08 09/04/09 02,46/1 17,724 4,737 FRESORO PETROLEUM CORP NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/08 01/06/09 24/2 318 (76) CON PACIFIC FRY LTD NEW 45 10/24/09 02/06/09 05/06/09 43/312 27/762 15/500 CFRICE DEPOT 15/349 02/06/09 05/06/09 36/000 35/596 CFRICE DEPOT 15/349 02/06/09 05/06/09 05/06/09 43/312 27/762 15/500 CFRICE DEPOT 15/349 02/06/09 05/06/09 05/06/09 43/312 27/762 15/500 CFRICE DEPOT 15/349 02/06/09 05/06/09 05/06/09 43/312 27/762 15/500 CFRICE DEPOT 15/349 02/06/09 05/06/09 05/06/09 05/06/09 05/06/09 05/06/09					· ·		
ADVANTEST CORP NEW 1.159							
ALUMINA LTD PREEPORT MCMORAN CPAGLD 574 Q-27509 Q-30/2609 Q-24 648 GENL CABLE CORP 1 000 10-15-12 81,000 Q-24/09 10/28/09 10/28/09 11,002 11,102 11,103 11,104 11,105 11,106 Q-27509 Q-31/8/09 11,106 Q-31/8/09						,	
FREEPORT MCMORAN CPAGLD 574 02/25/09 03/26/09 24 46,41 17,014 7,634 CBNL CABLE CORP 1 000 10-15-12 81,000 09/24/09 10/26/09 76,657 69,117 7,539 ALUMINA LTD 3,891 02/66/09 03/18/09 11,102 11,466 (364) OREZONE COLD CORP 13,895 02/27/09 04/08/09 3,363 3,555 8, 2,695 MTHFIELD FOODS INC (VA) 340 08/18/09 10/02/09 4,327 4,010 317 GOLDEN MIRREALS CO 884 03/25/09 09/14/09 4,227 4,010 317 GOLDEN MIRREALS CO 884 03/25/09 09/14/09 4,247 4,010 317 GOLDEN MIRREALS CO 884 03/25/09 09/14/09 4,64.10 37,249 9,161 ANCLOGOLD ASHANTI LIMITED 1,091 07/10/09 09/04/09 46,410 37,249 9,161 ANCLOGOLD ASHANTI LIMITED 528 07/08/09 09/04/09 22,461 41,7724 4,737 TESORO PETROLEUM CP 81 12/02/08 02/04/09 1,434 670 764 DELTA PETROLEUM CP 81 12/02/08 02/04/09 1,434 670 764 DELTA PETROLEUM CP 81 10/24/08 01/08/09 242 318 766 DELTA PETROLEUM CP 81 10/24/08 01/08/09 242 318 766 DELTA PETROLEUM CP 81 10/24/08 01/08/09 242 318 766 DELTA PETROLEUM CORP NEW 45 03/27/09 08/11/09 200 - 200 SPRCR TY/87 TIMD 001 12-15-10 58,000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CORP 13/25-15-11 58,000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CORP 13/25-15-11 58,000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CORP CORP AD SPRCR TY/87 TIMD 001 12-15-10 08/000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CORP CORP AD SPRCR TY/87 TIMD 001 12-15-10 08/000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CROPA SPRCR TY/87 TIMD 001 12-15-10 08/000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CROPA SPRCR TY/87 TIMD 001 12-15-10 08/000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CROPA SPRCR TY/87 TIMD 001 12-15-10 08/000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CROPA SPRCR TY/87 TIMD 001 12-15-10 08/000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CROPA SPRCR TY/87 TIMD 001 12-15-10 08/000 09/17/09 12/02/09 36,000 35,595 405 DEPTROLEUM CROPA SPRCR TY/87 TIMD 001 12-15-10 09/17/09 12/02/09 37,664 13/31 11/33 11/33 11/33 09/17/09 12/02/09 12/03/09 12/03/09 12/03/09 12/03/09 12/03/09 12/03/09 12/03/09 12/03/09 12/03/09 12/03/09 12/03/09 12/03/09 12/							
GENIL CABLE CORP 1 000 10-15-12					,	,	
ALUMINA LTD  3,881 020609 03/18/08 11,102 11,466 (384) OREZONE GOLD CORP  13,885 02/27/09 04/08/09 3,363 5,558 (2,195) SMITHFIELD FOODS INC (VA)  340 08/18/09 10/02/08 4,327 4,010 317 COLDEN MINRRALS CO  844 03/25/09 05/19/09 4,327 4,010 317 COLDEN MINRRALS CO  845 03/25/09 05/19/09 4,327 4,010 317 COLDEN MINRRALS CO  846 03/25/09 05/19/09 4,327 4,010 317 COLDEN MINRRALS CO  847 03/25/09 05/19/09 4,440 37,249 9,161 COLDEN MINRRALS CO  848 03/25/09 09/04/09 4,441 37,249 9,161 COLDEN MINRRALS CO  849 12/02/08 09/04/09 22,461 37,249 9,161 COLDEN MINRRALS CO  841 12/02/08 09/04/09 22,461 37,249 9,161 COLDEN MINRRALS CO  841 12/02/08 09/04/09 22,461 37,249 9,161 COLDEN MINRRALS CO  841 12/02/08 09/04/09 22,461 37,249 9,161 COLDEN MINRRALS CO  841 12/02/08 09/04/09 22,461 37,249 9,161 COLDEN MINRRALS CO  841 12/02/08 09/04/09 22,461 37,249 9,161 COLDEN MINRRALS CO  841 12/02/08 09/04/09 2,444 670 7,844 COLDEN MINRRALS CO  842 11/02/08 09/04/09 1,434 670 7,844 COLDEN MINRRALS CO  843 12/02/09 09/04/09 1,434 670 7,844 COLDEN MINRRALS CO  844 14,534 4,534 4,534 COLDEN MINRRALS CO  845 12/02/09 38,000 35,595 405 COFFICE DEPOT 15,349 02/05/09 05/06/09 43,312 27,762 15,556 COFFICE DEPOT 15,349 02/05/09 05/06/09 43,312 27,762 15,556 COFFICE DEPOT MINRRALS CO  846 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  847 14/04/09 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 18,417 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654 19,237 CORDEN MINRRALS CO  849 02/25/09 05/01/09 37,654							
OREZONE GOLD CORP   13,895   02,27/09   04,08/09   3,363   5,558   2,195   SMITHFIELD FOODS INC (VA)   340   08,18/09   010/20/09   4,327   4,010   317   GOLDEN MINERALS CO   884   03/25/09   05/19/09   1,104   -   1,104   -   1,104   ANGLOGOLD ASHANTI LIMITED   528   07/08/09   09/04/09   22,461   17,724   4,737   TESONO PETROLEUM CORP   81   12/02/08   02/04/09   2,2461   17,724   4,737   TESONO PETROLEUM CORP NEW   45   10/24/08   07/08/09   1,434   670   764   DELTA PETROLEUM CORP NEW   45   10/24/08   07/08/09   1,434   670   764   DELTA PETROLEUM CORP NEW   45   03/27/09   08/11/09   1,858   14,534   4,524   TLY CREDENO EC CORP 3 1/2 5-15-11   - 05/27/09   08/11/09   200   3,000   35,555   405   OSPRICE TYSTS TND 000 12-15-10   36,000   09/17/09   12/02/09   36,000   35,555   405   OSPRICE TYSTS TND 000 12-15-10   15,349   11/06/08   02/06/09   12,480   7,221   5,259   OSPRICE TYSTS TND 000 12-15-10   649   02/25/09   06/01/09   37,654   7,221   5,259   OSPRICE DEPOT   15,349   02/05/09   06/01/09   37,654   7,221   5,259   OSPRICE DEPOT   15,494   02/25/09   06/01/09   37,654   7,221   5,259   OSPRICE DEPOT   15,494   02/25/09   06/01/09   37,654   7,221   5,259   OSPRICE DEPOT   15,494   02/25/09   06/01/09   37,654   7,221   5,259   OSPRICE DEPOT   16,404   03/25/09   06/01/09   37,654   1,434		,			,	,	
SMITHFIELD FOODS INC (VA) 340 08/18/09 100/20/98 4,327 4,010 317 COLDEN MINERALS CO 884 03/25/09 05/19/09 05/19/09 1,104 - 1,104 ANGLOGOLD ASHANTI LIMITED 1,091 07/10/09 09/04/09 46,410 37,249 9,161 ANGLOGOLD ASHANTI LIMITED 528 07/08/09 09/04/09 22,461 37,249 9,161 ANGLOGOLD ASHANTI LIMITED 528 07/08/09 09/04/09 22,461 07/72/4 4,737 TESORO PETROLEUM COR 81 12/02/08 02/04/09 1,434 670 764 177.724 4,737 TESORO PETROLEUM COR NEW 45 10/24/08 02/04/09 1,434 670 764 177.724 1,737 CEORD PETROLEUM COR NEW 45 00/24/08 01/05/09 222 318 (76) CDN PAC/IFIC RY LTD NEW 45 00/24/08 01/05/09 08/11/09 200 - 200 SPECR TYS 78 TND 000 12-15-10 38,000 08/11/09 08/11/09 200 - 200 SPECR TYS 78 TND 000 12-15-10 38,000 08/11/09 12/02/09 38,000 35,595 405 0FFICE DEPOT 53,499 02/05/09 05/05/09 43,312 27,762 15,590 OFFICE DEPOT 54,000 CL 1,829 11/08/08 02/05/09 43,312 27,762 15,590 SAMMON GOLD INC 1,829 11/08/08 02/05/09 43,312 27,762 15,590 SAMMON GOLD INC 78 CR AS 12 C							• /
GOLDEN MINERALS CO 884 03/25/09 05/19/09 1,104 37,249 9,161 ANGLOGOLD ASHANTI LIMITED 1.981 07/10/19 09/04/09 46,41 17,724 4,737 TESORO PETROLEUM CP 81 1/20/20/8 02/04/09 1,134 670 764 DELTA PETROLEUM CORP NEW 45 10/24/08 01/06/09 1,248 670 764 DELTA PETROLEUM CORP NEW 45 10/24/08 01/06/09 1,248 670 764 DELTA PETROLEUM CORP NEW 45 05/27/09 06/10/09 18,858 14,534 4,324 LTX CREDENCE CORP 3 1/2 5-15-11 - 05/27/09 06/10/09 38,858 14,534 4,324 LTX CREDENCE CORP 3 1/2 5-15-11 - 05/27/09 06/10/09 38,000 35/09/20 20 SPRCR TY97S TND 001 2-15-10 36,000 09/17/09 12/02/09 36,000 35/09/20 40,500 SPRCR TY97S TND 001 2-15-10 15,349 02/05/09 05/05/09 36,000 37,656 40,500 SPRCR TY97S TND 001 2-15-10 15,349 02/05/09 05/05/09 43,312 27,762 15,850 GAMMON GOLD INC 1,829 11/06/08 02/06/09 12,480 7,221 5,259 STREEPORT MCMORAN CP&GLD 649 02/25/09 06/01/09 37,654 19,237 18,417 KOREA ELECTRIC POWER CORP ADS 914 08/14/09 11/23/09 12,910 11,836 1,074 SHAW GROUP INCORPORATED 762 10/02/08 01/12/09 20,120 11,836 1,074 SHAW GROUP INCORPORATED 762 10/02/08 01/12/09 20,120 11,836 1,074 ALGOLD LTD ADR 531 10/27/08 03/02/09 28,545 27,225 1,320 LIHIR GOLD LTD ADR 531 10/27/08 03/02/09 28,545 27,225 1,320 LIHIR GOLD LTD ADR 860 10/27/08 02/23/09 19,910 8,797 11,113 FREEPORT MCMORAN CP&GLD 1,474 11/10/08 03/02/09 28,545 27,225 1,320 LIHIR GOLD LTD ADR 860 10/27/08 03/31/09 59,813 14,43 14,34 18,384 DELTA PETROLEUM CORP NEW 422 111/40/8 03/31/09 59,813 14,43 18,384 DELTA PETROLEUM CORP NEW 450 10/27/08 03/31/09 59,14 14,43 18,344 DELTA PETROLEUM CORP NEW 450 10/05/09 06/06/09 16,245 12,472 13,3227 INTERPUBLIC GRP 4 1/4 3-15-23 18,000 01/05/09 06/06/09 42,418 31,490 10,928 INTERPUBLIC GRP 4 1/4 3-15-23 18,000 01/05/09 06/06/09 42,418 31,490 10,928 INTERPUBLIC GRP 4 1/4 3-15-23 18,000 01/05/09 06/06/09 42,418 31,490 10,928 INTERPUBLIC GRP 4 1/4 3-15-23 18,000 01/05/09 06/06/09 42,418 31,490 10,928 INTERPUBLIC GRP 4 1/4 3-15-23 18,000 01/05/09 06/06/09 42,418 31,490 10,928 INTERPUBLIC GRP 4 1/4 3-15-23 18,000 01/05/09 06/06/09 42,418 31,490 10,928 INTER						,	
ANGLOGOLD ASHANTI LIMITED  1.981 07/10/09 09/04/09 24,8410 37,249 3,161 ANGLOGOLD ASHANTI LIMITED  528 07/08/09 09/04/09 24,841 17,724 4,737 TESORO PETROLEUM CP  81 12/02/08 02/04/09 1,434 670 764 DELTA PETROLEUM CORP NEW 45 102/09 06/10/09 18,858 14,534 (324 LTX CREDENCE CORP 3 1/2 5-15-11 - 05/27/09 06/10/09 18,858 14,534 4,324 LTX CREDENCE CORP 3 1/2 5-15-10 36,000 09/17/09 12/02/09 36,000 35,555 405 SPRCR TYSTST STND 000 12-15-10 36,000 09/17/09 12/02/09 36,000 35,555 405 OFFICE DEPOT 15,349 02/05/09 05/06/09 143,312 27,762 15,550 GAMMON GOLD INC 1,229 11/06/08 02/06/09 12,460 7,221 5,259 FREEPORT MCMORAN CPAGLD 649 02/25/09 06/01/09 37,854 19,237 18,417 KOREA ELECTRIC POWER CORP ADS 914 08/14/09 11/23/09 12,910 19,237 18,417 KOREA ELECTRIC POWER CORP ADS 914 08/14/09 11/23/09 12,910 11,836 1,074 SHAW GROUP INCORPORATED 762 10/02/08 01/12/09 20,120 18,453 1,667 ALCOA INC 1,521 02/25/09 06/10/09 18,496 10,558 7,508 NABORS INDS INC 940 5-15-11 33,000 11/11/08 03/02/09 28,545 27,225 1,320 LIHIR GOLD LITD ADR 865 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 07/28/09 9,273 9,633 (359) LIHIR GOLD LITD ADR 860 03/31/09 03/31/09 03/31/09 03/31/09 12/20 13/31/09 13/31/	, ,						
ANGLOGOLD ASHANTI LIMITED  528  O708009  0904409  12.461  17.724  4737  TESORO PETROLEUM COP  81  1202008  020409  14.44  670  764  DELTA PETROLEUM CORP NEW  45  1002408  010809  242  318  (76)  CDN PACIFIC RY LTD NEW  451  0327709  08/11/09  200						37.249	
TESORO PETROLEUM CORP NEW					· ·		
DELTA PETROLEUM CORP NEW							
CDN PACIFIC RY LTD NEW							
LTX CREDENCE CORP 3 1/2 5-15-11 Sproof Type 17/20 908/11/09 SPRCR TYPS 78 TND 000 12-15-10 36.000 OP17/09 SPRCR TYPS 78 TND 000 12-15-10 36.000 SPRCR TYPS 78 TND 000 12-15-10 36.000 SPRCR TYPS 78 TND 000 12-15-10 37.654 SPRCR TYPS 78 TND 000 11-25-50 SPRC TYPS 7							, ,
SPRCR TY878 TND 000 12-15-10   36,000   09/17/09   12/02/09   36,000   35,595   405   CFFICE DEPOT   15,349   02/05/09   05/05/09   43,312   27,762   15,550   GAMMON GOLD INC   1,829   11/06/08   02/05/09   05/05/09   43,312   27,762   15,550   FREEPORT MCMORAN CP&GLD   649   02/25/09   06/01/09   37,654   19,237   18,417   17,554   19,237   18,418   18,466   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   7,908   18,460   10,588   18,46					,	,	
OFFICE DEPOT         15,349         02,05/109         05/06/09         43,312         27,762         15,550           GAMMON GOLD INC         1,829         11/06/08         02/06/09         12,480         7,221         5,259           FREEPORT MCMORAN CP8GLD         649         02/25/09         06/01/09         13,7654         19,237         18,417           KOREA ELECTRIC POWER CORP ADS         914         08/14/09         11/23/09         12,910         11,836         1,074           SHAW GROUP INCORPORATED         762         10/02/08         01/12/09         20,120         18,481         1,667           ALCOA INC         1,621         02/25/09         06/10/09         18,496         10,588         7,908           ALGOA INC         40,515-11         33,000         11/11/08         03/02/09         28,545         27,225         1,320           LIHIR GOLD LTD ADR         531         10/27/08         02/13/09         12,067         5,431         6,636           HEHA CTRIC NEMBORA CP&GLD         1,474         11/10/08         03/19/09         9,273         9,533         (359)           HIHIR GOLD LTD ADR         20         1,474         11/10/08         03/19/09         59,818         41,434         41,434		36.000				35.595	
GAMMON GOLD INC					,	,	
RREEPORT MCMORAN CPGGLD							
KOREA ELECTRIC POWER CORP ADS   914   08/14/09   11/23/09   12,910   11,836   1,074   SHAW GROUP INCORPORATED   762   10/02/08   01/12/09   20,120   18,453   1,667   ALCOA INC   1,621   02/25/09   06/10/09   18,496   10,558   7,908   NABORS INDS IND 940 5-15-11   33,000   11/11/108   03/02/09   28,545   27,225   1,320   LIHIR GOLD LTD ADR   531   10/27/08   02/18/09   12,067   5,431   6,368   HEALTH NET INC A   665   03/31/09   07/28/09   9,273   9,633   (359)   LIHIR GOLD LTD ADR   860   10/27/08   02/23/09   19,910   8,797   11,113   FREEPORT MCMORAN CP&GLD   1,474   11/10/08   03/31/09   59,818   41,434   18,384   DELTA PETROLEUM CORP NEW   242   11/14/08   03/31/09   292   1,394   (1,102)   PNM RESOURCES INC (HLDG CO)   3,107   04/24/09   09/14/09   37,951   24,724   13,227   INTERPUBLIC GRP 4 1/4 3-15-23   18,000   01/05/09   06/05/09   42,418   31,490   10,928   INTERPUBLIC GRP 4 1/4 3-15-23   18,000   01/05/09   06/05/09   42,418   31,490   10,928   INTERPUBLIC GRP TA 1/4 3-15-23   18,000   01/05/09   06/05/09   45,43   15,477   (10,935)   DELTA PETROLEUM CORP NEW   450   01/27/08   03/31/09   54,543   15,477   (10,935)   DELTA PETROLEUM CORP NEW   450   01/27/08   03/31/09   54,543   15,477   (10,935)   DELTA PETROLEUM CORP NEW   450   01/27/08   03/31/09   54,543   15,477   (10,935)   DELTA PETROLEUM CORP NEW   450   01/27/08   03/31/09   54,543   15,477   (10,935)   DELTA PETROLEUM CORP NEW   450   01/27/08   03/31/09   54,546   29,611   34,975   FREEPORT MCMORAN CP&GLD   999   02/25/09   08/03/09   64,586   29,611   34,975   FREEPORT MCMORAN CP&GLD   999   02/25/09   08/03/09   64,586   29,611   34,975   FREEPORT MCMORAN CP&GLD   590   09/11/08   01/22/09   20,037   17,383   15,346   OREZONE RESOURCES INC   15,900   09/11/08   02/26/09   20,037   17,383   15,346   OREZONE RESOURCES INC   15,900   09/11/08   03/26/09   20,682   18,763   19,195   DELTA PETROLEUM CP   1,405   08/04/08   03/26/09   20,682   18,763   19,195   DELTA PETROLEUM CP   1,637   08/04/08   03/26/09   20,682   18,763   19,195					,	,	
SHAW GROUP INCORPORATED   762   100/2/08   011/2/09   20,120   18,453   1,667   ALCOA INC   1,621   02/25/09   08/10/09   18,496   10,588   7,908   NABORS INDS INC 940 5-15-11   33,000   11/11/108   03/02/09   28,545   27,225   1,320   LIHIR GOLD LTD ADR   531   10/27/08   02/18/09   12,067   5,431   6,636   665   03/31/09   07/28/09   9,273   9,633   (359)   LIHIR GOLD LTD ADR   860   10/27/08   02/23/09   19,910   8,797   11,113   FREEPORT MCMORAN CP&GLD   1,474   11/10/08   03/31/09   59,818   41,434   18,384   16,102   10,114   11/10/108   03/31/09   292   1,394   (1,102)   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,102   1,104   1,1					· ·		
ALCOA INC         1,621         02/25/09         06/10/09         18,496         10,588         7,908           NABORS INDS INC 940 5-15-11         33,000         11/11/08         03/02/09         28,545         27,225         1,320           LIHIR GOLD LTD ADR         651         10/27/08         02/18/09         92,273         9,633         (559)           HEALTH NET INC A         665         03/31/09         07/28/09         9,273         9,633         (359)           LIHIR GOLD LTD ADR         860         10/27/08         02/23/09         19,910         8,797         11,113           FREEPORT MCMORAN CP&GLD         1,474         11/10/08         03/31/09         59,818         41,434         18,384           DELTA PETROLEUM CORP NEW         242         11/14/08         03/31/09         37,951         24,724         13,227           INTERPUBLIC GRP 4 1/4 3-15-23         47,000         01/05/09         06/05/09         42,418         31,490         10,928           INTERPUBLIC GRP 4 1/4 3-15-23         18,000         01/05/09         06/08/09         4,543         15,477         (10,935)           DELTA PETROLEUM CORP NEW         455         08/04/08         01/06/09         4,543         15,477         (10,935)							
NABORS INDS INC 940 5-15-11   33,000						,	
LIHIR GOLD LTD ADR         531         10/27/08         02/18/09         12,067         5,431         6,635           HEALTH NET INC A         685         03/31/09         07/28/09         9,973         9,633         (359)           LIHIR GOLD LTD ADR         860         10/27/08         02/23/09         19,910         8,797         11,113           FREEPORT MCMORAN CP8GLD         1,474         11/10/08         03/31/09         59,818         41,434         18,384           DELTA PETROLEUM CORP NEW         242         11/14/08         03/31/09         37,951         24,724         13,227           INTERPUBLIC GRP 4 1/4 3-15-23         47,000         01/05/09         06/05/09         42,418         31,490         10,928           INTERPUBLIC GRP 4 1/4 3-15-23         18,000         01/05/09         06/08/09         4,543         15,477         (10,935)           DELTA PETROLEUM CORP NEW         450         10/27/08         03/31/09         543         3,200         (2,657)           KINROSS GOLD CORP NEW         450         10/24/08         03/31/09         75         678         43           DELTA PETROLEUM CORP NEW         1,510         10/24/08         03/31/09         1,822         10,673         (8,851) <tr< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr<>							
HEALTH NET INC A   665   03/31/09   07/28/09   9,273   9,633   (359)   LIHIR GOLD LTD ADR   860   10/27/08   02/23/09   19,910   8,797   11,113   FREEPORT MCMORAN CP&GLD   1,474   11/10/08   03/31/09   59,818   41,434   18,384   DELTA PETROLEUM CORP NEW   242   11/14/08   03/31/09   292   1,394   (1,102)   PNM RESOURCES INC (HLDG CO)   3,107   04/24/09   09/14/09   37,951   24,724   13,227   INTERPUBLIC GRP 4 1/4 3-15-23   47,000   01/05/09   06/05/09   42,418   31,490   10,928   INTERPUBLIC GRP 4 1/4 3-15-23   18,000   01/05/09   06/08/09   45,448   31,490   10,928   INTERPUBLIC GRP 4 1/4 3-15-23   18,000   01/05/09   06/08/09   45,448   15,477   (10,935)   DELTA PETROLEUM CORP NEW   845   08/04/08   01/06/09   4,543   15,477   (10,935)   DELTA PETROLEUM CORP NEW   450   10/27/08   03/31/09   543   3,200   (2,657)   KINROSS GOLD CORP NEW   38   07/30/08   01/02/09   721   678   43   43   43   43   43   43   43   4					,		
LIHIR GOLD LTD ADR         860         10/27/08         02/23/09         19,910         8,797         11,113           FREEPORT MCMORAN CP&GLD         1,474         11/10/08         03/19/09         292         1,394         18,384           DELTA PETROLEUM CORP NEW         242         11/14/08         03/31/09         292         1,394         (1,102)           PNM RESOURCES INC (HLDG CO)         3,107         04/24/09         09/14/09         37,951         24,724         13,227           INTERPUBLIC GRP 4 1/4 3-15-23         18,000         01/05/09         06/05/09         16,245         12,060         4,185           DELTA PETROLEUM CORP NEW         450         10/27/08         03/31/09         543         3,200         (2,657)           KINROSS GOLD CORP NEW         450         10/27/08         03/31/09         721         678         43           DELTA PETROLEUM CORP NEW         450         10/24/08         03/31/09         721         678         43           SLINROSS GOLD CORP NEW         38         07/30/08         01/02/09         721         678         43           SLINROSS GOLD CORP NEW         367         07/23/08         01/02/09         6,967         7,596         (629)           OREZONE					· ·		
FREEPORT MCMORAN CP&GLD         1,474         11/10/08         03/19/09         59,818         41,434         18,384           DELTA PETROLEUM CORP NEW         242         11/14/08         03/31/09         292         1,394         (1,102)           PNM RESOURCES INC (HLDG CO)         3,107         04/24/09         99/14/09         37,951         24,724         13,227           INTERPUBLIC GRP 4 1/4 3-15-23         47,000         01/05/09         06/05/09         42,418         31,490         10,928           INTERPUBLIC GRP 4 1/4 3-15-23         18,000         01/05/09         06/08/09         16,245         12,060         4,185           DELTA PETROLEUM CORP NEW         845         08/04/08         01/06/09         4,543         15,477         (10,935)           DELTA PETROLEUM CORP NEW         450         10/27/08         03/31/09         543         3,200         (2,657)           KINROSS GOLD CORP NEW         38         07/30/08         01/02/09         721         678         43           DELTA PETROLEUM CORP NEW         1,510         10/24/08         03/31/09         1,822         10,673         (8,851)           FREPORT MCMORAN CP&GLD         999         02/25/09         08/03/09         64,556         29,611         34,9						,	
DELTA PETROLEUM CORP NEW   242   11/14/08   03/31/09   292   1,394   (1,102)						,	
PNM RESOURCES INC (HLDG CO)   3,107   04/24/09   09/14/09   37,951   24,724   13,227   INTERPUBLIC GRP 4 1/4 3-15-23   47,000   01/05/09   06/05/09   42,418   31,490   10,928   10,727   10,7							
INTERPUBLIC GRP 4 1/4 3-15-23							
INTERPUBLIC GRP 4 1/4 3-15-23   18,000   01/05/09   06/08/09   16,245   12,060   4,185     DELTA PETROLEUM CORP NEW   845   08/04/08   01/06/09   4,543   15,477   (10,935)     DELTA PETROLEUM CORP NEW   450   10/27/08   03/31/09   543   3,200   (2,657)     KINROSS GOLD CORP NEW   38   07/30/08   01/02/09   721   678   43     DELTA PETROLEUM CORP NEW   1,510   10/24/08   03/31/09   1,822   10,673   (8,851)     FREEPORT MCMORAN CP8GLD   999   02/25/09   08/03/09   64,586   29,611   34,975     KINROSS GOLD CORP NEW   367   07/23/08   01/02/09   6,967   7,596   (629)     OREZONE RESOURCES INC   24,844   09/11/08   02/26/09   2,037   17,383   (15,346)     OREZONE RESOURCES INC   15,900   09/11/08   02/26/09   25,131   11,125   14,005     TESORO PETROLEUM CP   1,405   08/04/08   03/26/09   20,682   18,763   1,919     SHAW GROUP INCORPORATED   457   10/02/08   03/26/09   20,030   17,068   2,962     PIONEER NATURAL RESOURCES CO   731   11/06/08   03/26/09   20,030   17,068   2,962     PIONEER NATURAL RESOURCES CO   731   11/06/08   05/07/09   20,030   17,068   2,962     VIACOM INC NEW CLASS B   1,020   02/04/09   08/12/09   25,290   15,872   9,419     MATTSON TECH INC   2,995   07/01/08   01/08/09   33,315   48,566   (15,450)     MAGOLD CORPORATION   4,221   02/27/09   09/08/09   58,793   32,544   26,249     MATTSON TECH INC   3,669   07/01/08   01/12/09   4,827   17,876   (13,049)     IAMGOLD CORPORATION   1,271   02/27/09   09/05/09   4,207   4,065   143     KINROSS GOLD CORP NEW   1,420   07/30/08   02/23/09   4,207   4,065   143     KINROSS GOLD CORP NEW   1,420   07/30/08   02/23/09   26,320   25,350   970     TESORO PETROLEUM CP   1,152   06/27/08   01/21/09   17,559   21,721   (4,162)	,						
DELTA PETROLEUM CORP NEW         845         08/04/08         01/06/09         4,543         15,477         (10,935)           DELTA PETROLEUM CORP NEW         450         10/27/08         03/31/09         543         3,200         (2,657)           KINROSS GOLD CORP NEW         38         07/30/08         01/02/09         721         678         43           DELTA PETROLEUM CORP NEW         1,510         10/24/08         03/31/09         1,822         10,673         (8,851)           FREEPORT MCMORAN CP&GLD         999         02/25/09         08/03/09         64,586         29,611         34,975           KINROSS GOLD CORP NEW         367         07/23/08         01/02/09         6,967         7,596         (629)           OREZONE RESOURCES INC         24,844         09/11/08         02/26/09         2,037         17,383         (15,346)           OREZONE RESOURCES INC         15,900         09/11/08         02/26/09         25,131         11,125         14,005           TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919		,			,		
DELTA PETROLEUM CORP NEW         450         10/27/08         03/31/09         543         3,200         (2,657)           KINROSS GOLD CORP NEW         38         07/30/08         01/02/09         721         678         43           DELTA PETROLEUM CORP NEW         1,510         10/24/08         03/31/09         1,822         10,673         (8,851)           FREEPORT MCMORAN CP&GLD         999         02/25/09         08/03/09         64,586         29,611         34,975           KINROSS GOLD CORP NEW         367         07/23/08         01/02/09         6,967         7,596         (629)           OREZONE RESOURCES INC         24,844         09/11/08         02/26/09         2,037         17,383         (15,346)           OREZONE RESOURCES INC         15,900         09/11/08         02/26/09         25,131         11,125         14,005           TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919           SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         20,030         17,068         2,962           <	DELTA PETROLEUM CORP NEW		08/04/08	01/06/09	,	,	
DELTA PETROLEUM CORP NEW         1,510         10/24/08         03/31/09         1,822         10,673         (8,851)           FREEPORT MCMORAN CP&GLD         999         02/25/09         08/03/09         64,586         29,611         34,975           KINROSS GOLD CORP NEW         367         07/23/08         01/02/09         6,967         7,596         (629)           OREZONE RESOURCES INC         24,844         09/11/08         02/26/09         2,037         17,383         (15,346)           OREZONE RESOURCES INC         15,900         09/11/08         02/26/09         25,131         11,125         14,005           TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919           SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         13,669         11,067         2,602           PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419	DELTA PETROLEUM CORP NEW	450	10/27/08	03/31/09			
FREEPORT MCMORAN CP&GLD         999         02/25/09         08/03/09         64,586         29,611         34,975           KINROSS GOLD CORP NEW         367         07/23/08         01/02/09         6,967         7,596         (629)           OREZONE RESOURCES INC         24,844         09/11/08         02/26/09         2,037         17,383         (15,346)           OREZONE RESOURCES INC         15,900         09/11/08         02/26/09         25,131         11,125         14,005           TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919           SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         13,669         11,067         2,602           PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419      <	KINROSS GOLD CORP NEW	38		01/02/09			
FREEPORT MCMORAN CP&GLD         999         02/25/09         08/03/09         64,586         29,611         34,975           KINROSS GOLD CORP NEW         367         07/23/08         01/02/09         6,967         7,596         (629)           OREZONE RESOURCES INC         24,844         09/11/08         02/26/09         2,037         17,383         (15,346)           OREZONE RESOURCES INC         15,900         09/11/08         02/26/09         25,131         11,125         14,005           TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919           SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         13,669         11,067         2,602           PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419      <	DELTA PETROLEUM CORP NEW	1,510	10/24/08	03/31/09	1,822	10,673	(8,851)
KINROSS GOLD CORP NEW         367         07/23/08         01/02/09         6,967         7,596         (629)           OREZONE RESOURCES INC         24,844         09/11/08         02/26/09         2,037         17,383         (15,346)           OREZONE RESOURCES INC         15,900         09/11/08         02/26/09         25,131         11,125         14,005           TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919           SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         13,669         11,067         2,602           PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419           MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)	FREEPORT MCMORAN CP&GLD	999	02/25/09	08/03/09	64,586	29,611	34,975
OREZONE RESOURCES INC         15,900         09/11/08         02/26/09         25,131         11,125         14,005           TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919           SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         13,669         11,067         2,602           PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419           MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)           WHOLE FOODS MARKETS INC         2,744         08/26/08         03/05/09         33,115         48,566         (15,450)           IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249	KINROSS GOLD CORP NEW	367	07/23/08	01/02/09	6,967	7,596	
TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919           SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         13,669         11,067         2,602           PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419           MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)           WHOLE FOODS MARKETS INC         2,744         08/26/08         03/05/09         33,115         48,566         (15,450)           IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249           MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)	OREZONE RESOURCES INC	24,844	09/11/08	02/26/09	2,037	17,383	(15,346)
TESORO PETROLEUM CP         1,405         08/04/08         01/21/09         21,415         20,913         502           MOSAIC COMPANY (THE)         434         10/02/08         03/26/09         20,682         18,763         1,919           SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         13,669         11,067         2,602           PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419           MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)           WHOLE FOODS MARKETS INC         2,744         08/26/08         03/05/09         33,115         48,566         (15,450)           IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249           MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)	OREZONE RESOURCES INC	15,900	09/11/08	02/26/09	25,131	11,125	14,005
SHAW GROUP INCORPORATED         457         10/02/08         03/26/09         13,669         11,067         2,602           PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419           MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)           WHOLE FOODS MARKETS INC         2,744         08/26/08         03/05/09         33,115         48,566         (15,450)           IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249           MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)           IAMGOLD CORPORATION         1,271         02/27/09         09/15/09         19,160         9,799         9,361           KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143	TESORO PETROLEUM CP	1,405	08/04/08	01/21/09	21,415	20,913	
PIONEER NATURAL RESOURCES CO         731         11/06/08         05/07/09         20,030         17,068         2,962           TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419           MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)           WHOLE FOODS MARKETS INC         2,744         08/26/08         03/05/09         33,115         48,566         (15,450)           IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249           MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)           IAMGOLD CORPORATION         1,271         02/27/09         09/15/09         19,160         9,799         9,361           KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143           KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970	MOSAIC COMPANY (THE)	434	10/02/08	03/26/09	20,682	18,763	1,919
TESORO PETROLEUM CP         1,637         08/04/08         02/04/09         28,982         24,366         4,616           VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419           MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)           WHOLE FOODS MARKETS INC         2,744         08/26/08         03/05/09         33,115         48,566         (15,450)           IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249           MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)           IAMGOLD CORPORATION         1,271         02/27/09         09/15/09         19,160         9,799         9,361           KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143           KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970           TESORO PETROLEUM CP         1,152         06/27/08         01/21/09         17,559         21,721         (4,162)           <	SHAW GROUP INCORPORATED	457	10/02/08	03/26/09	13,669	11,067	2,602
VIACOM INC NEW CLASS B         1,020         02/04/09         08/12/09         25,290         15,872         9,419           MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)           WHOLE FOODS MARKETS INC         2,744         08/26/08         03/05/09         33,115         48,566         (15,450)           IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249           MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)           IAMGOLD CORPORATION         1,271         02/27/09         09/15/09         19,160         9,799         9,361           KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143           KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970           TESORO PETROLEUM CP         1,152         06/27/08         01/21/09         17,559         21,721         (4,162)           LIHIR GOLD LTD ADR         253         07/24/08         02/18/09         5,750         6,824         (1,003)	PIONEER NATURAL RESOURCES CO	731	11/06/08	05/07/09	20,030	17,068	2,962
MATTSON TECH INC         2,995         07/01/08         01/08/09         3,936         14,632         (10,695)           WHOLE FOODS MARKETS INC         2,744         08/26/08         03/05/09         33,115         48,566         (15,450)           IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249           MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)           IAMGOLD CORPORATION         1,271         02/27/09         09/15/09         19,160         9,799         9,361           KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143           KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970           TESORO PETROLEUM CP         1,152         06/27/08         01/21/09         17,559         21,721         (4,162)           LIHIR GOLD LTD ADR         253         07/24/08         02/18/09         5,750         6,824         (1,074)           WHOLE FOODS MARKETS INC         1,348         08/26/08         03/24/09         22,855         23,858         (1,003)	TESORO PETROLEUM CP	1,637	08/04/08	02/04/09	28,982	24,366	4,616
WHOLE FOODS MARKETS INC       2,744       08/26/08       03/05/09       33,115       48,566       (15,450)         IAMGOLD CORPORATION       4,221       02/27/09       09/08/09       58,793       32,544       26,249         MATTSON TECH INC       3,659       07/01/08       01/12/09       4,827       17,876       (13,049)         IAMGOLD CORPORATION       1,271       02/27/09       09/15/09       19,160       9,799       9,361         KINROSS GOLD CORP NEW       227       08/01/08       02/23/09       4,207       4,065       143         KINROSS GOLD CORP NEW       1,420       07/30/08       02/23/09       26,320       25,350       970         TESORO PETROLEUM CP       1,152       06/27/08       01/21/09       17,559       21,721       (4,162)         LIHIR GOLD LTD ADR       253       07/24/08       02/18/09       5,750       6,824       (1,074)         WHOLE FOODS MARKETS INC       1,348       08/26/08       03/24/09       22,855       23,858       (1,003)	VIACOM INC NEW CLASS B	1,020	02/04/09	08/12/09	25,290	15,872	9,419
IAMGOLD CORPORATION         4,221         02/27/09         09/08/09         58,793         32,544         26,249           MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)           IAMGOLD CORPORATION         1,271         02/27/09         09/15/09         19,160         9,799         9,361           KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143           KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970           TESORO PETROLEUM CP         1,152         06/27/08         01/21/09         17,559         21,721         (4,162)           LIHIR GOLD LTD ADR         253         07/24/08         02/18/09         5,750         6,824         (1,074)           WHOLE FOODS MARKETS INC         1,348         08/26/08         03/24/09         22,855         23,858         (1,003)	MATTSON TECH INC	2,995	07/01/08	01/08/09	3,936	14,632	(10,695)
MATTSON TECH INC         3,659         07/01/08         01/12/09         4,827         17,876         (13,049)           IAMGOLD CORPORATION         1,271         02/27/09         09/15/09         19,160         9,799         9,361           KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143           KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970           TESORO PETROLEUM CP         1,152         06/27/08         01/21/09         17,559         21,721         (4,162)           LIHIR GOLD LTD ADR         253         07/24/08         02/18/09         5,750         6,824         (1,074)           WHOLE FOODS MARKETS INC         1,348         08/26/08         03/24/09         22,855         23,858         (1,003)	WHOLE FOODS MARKETS INC	2,744	08/26/08	03/05/09	33,115	48,566	(15,450)
IAMGOLD CORPORATION         1,271         02/27/09         09/15/09         19,160         9,799         9,361           KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143           KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970           TESORO PETROLEUM CP         1,152         06/27/08         01/21/09         17,559         21,721         (4,162)           LIHIR GOLD LTD ADR         253         07/24/08         02/18/09         5,750         6,824         (1,074)           WHOLE FOODS MARKETS INC         1,348         08/26/08         03/24/09         22,855         23,858         (1,003)	IAMGOLD CORPORATION	4,221	02/27/09	09/08/09	58,793	32,544	26,249
KINROSS GOLD CORP NEW         227         08/01/08         02/23/09         4,207         4,065         143           KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970           TESORO PETROLEUM CP         1,152         06/27/08         01/21/09         17,559         21,721         (4,162)           LIHIR GOLD LTD ADR         253         07/24/08         02/18/09         5,750         6,824         (1,074)           WHOLE FOODS MARKETS INC         1,348         08/26/08         03/24/09         22,855         23,858         (1,003)	MATTSON TECH INC	3,659	07/01/08	01/12/09	4,827	17,876	(13,049)
KINROSS GOLD CORP NEW         1,420         07/30/08         02/23/09         26,320         25,350         970           TESORO PETROLEUM CP         1,152         06/27/08         01/21/09         17,559         21,721         (4,162)           LIHIR GOLD LTD ADR         253         07/24/08         02/18/09         5,750         6,824         (1,074)           WHOLE FOODS MARKETS INC         1,348         08/26/08         03/24/09         22,855         23,858         (1,003)	IAMGOLD CORPORATION	1,271	02/27/09	09/15/09	19,160	9,799	9,361
TESORO PETROLEUM CP     1,152     06/27/08     01/21/09     17,559     21,721     (4,162)       LIHIR GOLD LTD ADR     253     07/24/08     02/18/09     5,750     6,824     (1,074)       WHOLE FOODS MARKETS INC     1,348     08/26/08     03/24/09     22,855     23,858     (1,003)	KINROSS GOLD CORP NEW	227	08/01/08	02/23/09	4,207	4,065	143
LIHIR GOLD LTD ADR 253 07/24/08 02/18/09 5,750 6,824 (1,074) WHOLE FOODS MARKETS INC 1,348 08/26/08 03/24/09 22,855 23,858 (1,003)	KINROSS GOLD CORP NEW	1,420	07/30/08	02/23/09	26,320	25,350	970
WHOLE FOODS MARKETS INC 1,348 08/26/08 03/24/09 22,855 23,858 (1,003)	TESORO PETROLEUM CP		06/27/08	01/21/09			(4,162)
	LIHIR GOLD LTD ADR	253	07/24/08	02/18/09	· ·	6,824	(1,074)
OAMMON OOLD ING	WHOLE FOODS MARKETS INC		08/26/08	03/24/09			
	GAMMON GOLD INC	3,196	11/12/08	06/11/09	26,312	11,593	14,719
WHOLE FOODS MARKETS INC 1,308 08/06/08 03/05/09 15,785 24,881 (9,096)							
CAMECO CORP 131 09/02/08 04/02/09 2,361 3,697 (1,336)	CAMECO CORP	131	09/02/08	04/02/09	2,361	3,697	(1,336)

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
NEWMONT MINING CORP (NEW)	4,666	07/24/08	02/25/09	190,332	228,579	(38,247)
GAMMON GOLD INC	160	11/06/08	06/11/09	1,317	632	686
HUMANA INC	955	07/01/08	02/04/09	40,433	38,105	2,328
ZIMMER HLDGS INC	486	01/27/09	09/04/09	23,848	20,210	3,639
IAMGOLD CORPORATION	3,401	02/27/09	10/06/09	49,374	26,222	23,152
ICICI BANK LTD	624	09/15/08	04/27/09	11,766	16,394	(4,628)
TESORO PETROLEUM CP	380	06/11/08	01/21/09	5,792	8,545	(2,753)
ICICI BANK LTD	1,541	09/29/08	05/18/09	45,242	33,373	11,869
ARCH COAL INC	1,077	02/25/09	10/21/09	26,821	15,388	11,433
VIACOM INC NEW CLASS B	378	10/07/08	06/03/09	8,565	8,736	(170)
CAMECO CORP	663	09/02/08	04/30/09	15,036	18,712	(3,677)
VIACOM INC NEW CLASS B	622	10/06/08	06/03/09	14,094	14,080	15
CONSOLIDATED ENERGY INC	472	10/02/08	06/02/09	19,911	18,158	1,752
ICICI BANK LTD	489	09/15/08	05/18/09	14,356	12,847	1,510
CAMECO CORP	889	07/29/08	04/02/09	16,024	31,688	(15,664)
A G C O CORP	3	10/02/08	06/09/09	88	108	(21)
VIVO PARTICIP SA ADR PFD NEW	1,624	09/12/08	05/20/09	31,721	30,501	1,220
A G C O CORP	281	10/02/08	06/10/09	8,398	10,152	(1,755)
ESCROW APEX SILVR 2 7/8 3-15-24	86,000	03/25/09	12/03/09	15	<u>-</u>	15
WHOLE FOODS MARKETS INC	336	11/06/08	07/23/09	8,066	3,511	4,554
VIACOM INC NEW CLASS B	220	11/10/08	07/28/09	5,267	4,066	1,201
TATA MOTORS LTD	2,393	07/31/08	04/24/09	18,042	22,282	(4,240)
WHOLE FOODS MARKETS INC	408	11/11/08	08/05/09	11,818	3,891	7,927
WHOLE FOODS MARKETS INC	1,111	11/11/08	08/06/09	31,044	10,594	20,449
DOMTAR CORPORATION NEW	390	12/16/08	09/14/09	14,191	8,265	5,926
WHOLE FOODS MARKETS INC	1,089	11/06/08	08/05/09	31,543	11,380	20,163
VIACOM INC NEW CLASS B	854	11/10/08	08/12/09	21,174	15,784	5,391
TATA MOTORS LTD	1,631	07/11/08	04/16/09	12,402	15,180	(2,778)
ARCH COAL INC	57	09/03/08	06/10/09	1,081	2,455	(1,374)
TATA MOTORS LTD	1,729	07/11/08	04/24/09	13,036	16,092	(3,056)
TATA MOTORS LTD	22	08/04/08	05/20/09	208	205	3
GOLD FIELDS LTD SP ADR	2,550	05/14/08	03/02/09	23,723	34,642	(10,918)
TATA MOTORS LTD	3,863	08/01/08	05/20/09	36,468	36,043	425
TATA MOTORS LTD	591	07/31/08	05/20/09	5,579	5,503	76
VIACOM INC NEW CLASS B	1,106	10/07/08	07/28/09	26,478	25,560	919
GOLD FIELDS LTD SP ADR	173	04/08/08	02/04/09	1,802	2,406	(604)
OREZONE RESOURCES INC	5,441	04/30/08	02/26/09	446	6,847	(6,401)
OREZONE RESOURCES INC	3,483	04/30/08	02/26/09	5,504	4,382	1,122
GOLD FIELDS LTD SP ADR	124	04/07/08	02/04/09	1,291	1,718	(427)
OFFICE DEPOT	2,051	07/07/08	05/06/09	5,788	22,092	(16,304)
MAGNA INTL A COM	428	10/03/08	08/05/09	21,066	17,525	3,541
ARCH COAL INC	4,332 911	11/19/08 07/03/08	09/22/09 05/06/09	100,450	60,521 9,833	39,930
OFFICE DEPOT TATA MOTORS LTD	3,003		09/22/09	2,571 40,026	,	(7,262)
OREZONE RESOURCES INC	14,103	11/14/08 04/16/08	02/26/09	40,026 1,156	12,240 19,827	27,786 (18,671)
OREZONE RESOURCES INC	9,026	04/16/08	02/26/09	14,266	12,690	1,576
ARCH COAL INC	9,020 852	07/24/08	06/10/09	16,152	43,525	(27,373)
DOMTAR CORPORATION NEW	520	12/16/08	11/09/09	26,340	11,020	15,320
GOLD FIELDS LTD SP ADR	542	04/08/08	03/02/09	5,042	7,537	(2,494)
SMITHFIELD FOODS INC (VA)	1,942	11/05/08	10/01/09	24,854	21,856	2,998
SMITHFIELD FOODS INC (VA)	4,803	11/05/08	10/02/09	61,127	54,054	7,072
WHOLE FOODS MARKETS INC	1,410	08/26/08	07/23/09	33,847	24,955	8,892
PIONEER NATURAL RESOURCES CO	619	11/06/08	10/06/09	23,209	14,453	8,756
ARCH COAL INC	780	11/19/08	10/21/09	19,425	10,897	8,528
A G C O CORP	463	06/26/08	06/09/09	13,506	23,644	(10,139)
LIHIR GOLD LTD ADR	1,792	10/27/08	10/14/09	53,283	18,330	34,953
LIHIR GOLD LTD ADR	1,580	10/27/08	10/15/09	47,065	16,161	30,903
COEUR D'ALENE 1 1/4 1-15-24	42,000	07/22/08	07/14/09	38,246	33,837	4,409
COEUR D'ALENE 1 1/4 1-15-24	11,000	07/22/08	07/16/09	10,038	8,862	1,175
OMNICARE INC 3 1/4 12-15-35	88,000	04/18/08	04/14/09	59,620	60,500	(880)
COEUR D'ALENE 1 1/4 1-15-24	7,000	07/22/08	07/20/09	6,388	5,639	748
OMNICARE INC 3 1/4 12-15-35	103,000	04/18/08	04/17/09	72,293	70,813	1,481
SCHIBSTED ASA	2,335	01/16/09	06/26/09	5,102	5,208	(106)
HSBC HLDGS ORD USD0 50 (UK)	155	08/20/08	01/30/09	1,237	2,455	(1,218)
SCHIBSTED ASA	7,845	09/29/08	06/26/09	17,142	30,460	(13,318)
	,,5.10	35,25,30	22,20,00	.,,,,,	30, 100	(,)

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
HONDA MOTOR CO NPV	2,000	11/20/08	03/05/09	46,243	40,919	5,324
HSBC HLDGS ORD USD0 50 (UK)	163	08/20/08	01/30/09	1,301	2,577	(1,276)
HEINEKEN NV EUR1 60	720	01/13/09	09/02/09	30,042	22,580	7,462
KOREA EXCHANGE BK KSWN5000	5,135	11/20/08	07/23/09	44,381	18,178	26,203
HEINEKEN NV EUR1 60	3,030	12/03/08	09/02/09	126,427	76,428	50,000
SIGNET JEWELERS LTD ORD	225	09/11/08	06/26/09	4,534	4,310	224
GENERAL ELEC CO COM	2,485	04/17/08	03/03/09	17,533	79,962	(62,429)
		SHORT TERM	_	4,299,411	4,158,103	141,308
VANGUARD TOTAL BD MKT IDX FD	72,324	VARIOUS	09/15/09	750,000	727,202	22,798
VANGUARD TOTAL BD MKT IDX FD	9,579	10/27/06	11/10/09	100,000	95,510	4,490
VANGUARD TOTAL BD MKT IDX FD	48,788	10/11/06	01/15/09	500,000	483,491	16,509
DOUBLE BLACK DIAMOND	4,123	04/01/07	04/01/09	500,000	481,860	18,140
DOUBLE BLACK DIAMOND	3,880	04/01/07	07/01/09	500,000	453,442	46,558
DFA EMERGING MARKETS VALUE	2,580	12/19/06	05/20/09	59,970	80,469	(20,499)
DFA EMERGING MARKETS SMALL CAP	2,488	12/15/06	03/13/09	22,000	45,587	(23,587)
DFA EMERGING MKTS PORT	1,379	12/19/06	03/13/09	20,600	35,122	(14,522)
DFA INTL SMALL CAP VALUE PORT	1,352	12/15/06	03/13/09	12,000	29,894	(17,894)
DFA INTL SMALL COMPANY PORT	1,411	12/19/06	03/13/09	12,000	26,949	(14,949)
DFA EMERGING MARKETS SMALL CAP	3,909	12/15/06	07/07/09	54,970	71,621	(16,651)
DFA U.S. SMALL CAP VALUE PORT	3,927	01/03/07	01/02/09	59,000	116,298	(57,298)
DFA US MICRO CAP PORT	9,434	VARIOUS	01/02/09	79,500	152,936	(73,436)
DFA EMERGING MARKETS SMALL CAP	3,640	12/15/06	11/09/09	67,500	66,699	801
DFA EMERGING MARKETS VALUE	2,031	12/19/06	11/09/09	63,000	63,345	(345)
DFA EMERGING MKTS PORT	1,226	12/19/06	11/09/09	33,000	31,211	1,789
DFA INTL SMALL CAP VALUE PORT	1,990	12/15/06	11/09/09	31,000	43,993	(12,993)
DFA INTL SMALL COMPANY PORT	2,193	12/19/06	11/09/09	32,000	41,893	(9,893)
DFA U S SMALL CAP VALUE PORT	2,003	01/03/07	11/09/09	37,500	59,318	(21,818)
DODGE & COX GLOBAL STOCK FUND	31,566	05/06/08	11/10/09	250,000	317,059	(67,059)
NORTHERN TR CORP COM	300	10/14/08	10/21/09	16,195	19,301	(3,106)
NORTHERN TR CORP COM	500 700	10/13/08	10/21/09	26,991 30,745	30,591	(3,600)
ALLERGAN INC COM ALLERGAN INC COM	700 200	06/02/08 06/02/08	06/16/09 06/17/09	30,745 8,913	39,809 11,374	(9,065) (2,461)
PEPSICO INC COM	150	10/13/08	11/09/09	9,280	9,160	121
SCHWAB CHARLES CORP NEW COM	500	10/13/08	11/09/09	8,850	10,470	(1,621)
VARIAN MED SYS INC COM	300	09/30/08	11/09/09	13,374	17,131	(3,758)
NOVO NORDISK A S ADR	150	09/08/08	11/09/09	9,847	7,989	1,859
NESTLE SA SPON ADR REPSTG REG	200	08/08/08	11/09/09	9,510	8,890	620
BARD C R INC	150	08/01/08	11/09/09	11,895	14,100	(2,205)
QUALCOMM INC	200	07/24/08	11/09/09	8,892	10,771	(1,879)
WESTERN UN CO COM	450	07/11/08	11/09/09	8,577	10,807	(2,231)
ADOBE SYS INC DEL COM	250	05/28/08	11/09/09	9,115	10,671	(1,556)
CELGENE CORP	175	05/27/08	11/09/09	9,387	10,315	(928)
CISCO SYS INC COM	400	05/27/08	11/09/09	9,540	10,156	(616)
GOOGLE INC CL A	38	05/22/08	11/09/09	21,305	20,884	421
CELGENE CORP	325	05/22/08	11/09/09	17,433	19,027	(1,595)
CISCO SYS INC COM	75	05/22/08	11/09/09	1,789	1,933	(144)
CVS CAREMARK CORP	350	05/22/08	11/09/09	10,664	14,979	(4,315)
GEN PROBE INC NEW	200	05/22/08	11/09/09	8,214	11,280	(3,066)
GENZYME CORP COM	300	05/22/08	11/09/09	15,627	20,654	(5,027)
MONSANTO CO NEW COM	150	05/22/08	11/09/09	10,390	18,321	(7,931)
SEI INVESTMENT CO COM	800	05/22/08	11/09/09	14,648	19,177	(4,529)
STRYKER CORP	200	05/22/08	11/09/09	9,640	12,631	(2,991)
GENZYME CORP COM	220	05/22/08	11/13/09	11,262	15,146	(3,884)
CVS CAREMARK CORP	1,000	05/22/08	12/15/09	31,869	42,798	(10,929)
CVS CAREMARK CORP	775	05/28/08	12/21/09	24,943	33,082	(8,139)
CVS CAREMARK CORP	425	05/22/08	12/21/09	13,679	18,189	(4,511)
GMO MULTI STRATEGY FD OFFSHORE	114,542	04/30/04	02/27/09	112,824	139,271	(26,447)
GMO TR BENCHMARK FREE ALLOC FD	12,824	03/22/04	05/29/09	250,000	310,722	(60,722)
GMO TR BENCHMARK FREE ALLOC FD	24,878	03/22/04	05/29/09	485,000	602,800	(117,800)
GMO TR BENCHMARK FREE ALLOC FD	14,068	03/22/04	09/30/09	300,000	340,877	(40,877)
EOG RESOURCES INC	560	11/12/08	11/16/09	50,548	42,836	7,712
EOG RESOURCES INC	476	11/12/08	11/24/09	41,947	36,411	5,536
EOG RESOURCES INC	278	11/12/08	11/25/09	24,613	21,265	3,348

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
ILL TOOL WORKS INC	2,753	02/29/08	04/15/09	86,037	136,300	(50,263)
ILL TOOL WORKS INC	344	02/28/08	04/15/09	10,751	17,099	(6,349)
ANGLOGOLD ASHANTI LIMITED	129	04/14/08	06/02/09	5,426	4,792	634
ANGLOGOLD ASHANTI LIMITED	874 487	04/11/08 04/14/08	06/02/09	36,762	32,295 18,092	4,467
ANGLOGOLD ASHANTI LIMITED		04/14/08	09/11/09	21,009		2,917
ANGLOGOLD ASHANTI LIMITED	250 223		09/14/09	10,750 9,632	9,287	1,463
ANGLOGOLD ASHANTI LIMITED		04/14/08	09/15/09	,	8,284	1,348
LORILLARD INC	452	10/18/07	03/25/09	29,343	32,075	(2,732)
LORILLARD INC	84	10/17/07	03/25/09	5,453	5,943	(490)
LORILLARD INC	1,179	11/09/07	07/06/09	82,412	77,273	5,140
LORILLARD INC	66	10/19/07	07/06/09	4,613	4,619	(6)
LORILLARD INC	331	10/18/07	07/06/09	23,137	23,489	(352)
AMGEN INC	609	05/23/07	03/18/09	30,658	33,179	(2,522)
COMCAST CORP CL A SPECIAL NEW	1,832	11/26/07	10/06/09	26,903	35,050	(8,147)
COMCAST CORP CL A SPECIAL NEW	1,420	11/26/07	12/16/09	23,693	27,168	(3,474)
COMCAST CORP CL A SPECIAL NEW	1,582	11/26/07	12/21/09	26,252	30,267	(4,015)
UNITED STS STL CP (NEW)	162	09/27/06	06/29/09	6,041	9,269	(3,228)
UNITED STS STL CP (NEW)	351	09/26/06	06/29/09	13,089	19,811	(6,722)
UNITED STS STL CP (NEW)	226	09/25/06	06/29/09	8,427	12,256	(3,828)
COMCAST CORP CL A SPECIAL NEW	761	02/03/06	10/05/09	11,064	13,679	(2,615)
COMCAST CORP CL A SPECIAL NEW	1,992	02/02/06	10/05/09	28,961	35,873	(6,912)
COMCAST CORP CL A SPECIAL NEW	1,198	02/03/06	10/06/09	17,593	21,534	(3,941)
NOBLE ENERGY INC	468	02/04/05	03/18/09	23,498	13,907	9,591
NOBLE ENERGY INC	459	02/03/05	03/18/09	23,046	13,715	9,331
ALTRIA GROUP INC	5,015	10/01/04	01/30/09	84,731	55,319	29,413
LOCKHEED MARTIN CORP	143	10/01/04	03/18/09	9,423	7,987	1,436
VERIZON COMMUNICATIONS	1,321	10/01/04	03/18/09	39,817	50,553	(10,736)
MICROSOFT CORP	1,565	06/27/05	12/16/09	47,208	39,431	7,777
MICROSOFT CORP	1,338	02/02/05	10/23/09	37,915	35,308	2,607
MICROSOFT CORP	1,715	02/02/05	10/26/09	49,586	45,256	4,330
MICROSOFT CORP	2,323	02/02/05	11/05/09	66,351	61,301	5,051
MICROSOFT CORP	597	02/02/05	12/16/09	18,008	15,754	2,254
WELLS FARGO & CO NEW	1,213	10/01/04	08/24/09	34,192	36,460	(2,269)
WELLS FARGO & CO NEW	892	10/01/04	08/25/09	24,686	26,812	(2,126)
JPMORGAN CHASE & CO	1,241	10/01/04	08/31/09	53,891	49,922	3,970
KRAFT FOODS INC CL A	1,492	10/01/04	09/14/09	38,941	24,551	14,391
KRAFT FOODS INC CL A	1,978	10/01/04	09/15/09	51,594	32,548	19,046
RAYTHEON CO (NEW)	252	10/01/04	12/28/09	13,261	9,495	3,766
MAGNA INTL A COM	48	07/14/08	07/15/09	2,113	2,659	(545)
MAGNA INTL A COM	633	07/11/08	07/15/09	27,871	33,911	(6,039)
COEUR D'ALENE 1 1/4 1-15-24	21,000	07/22/08	07/28/09	19,110	16,918	2,192
DOMTAR CORPORATION NEW	386	09/02/08	09/14/09	14,045	24,666	(10,621)
CONSOLIDATED ENERGY INC	331	10/02/08	10/20/09	16,930	12,734	4,196
ARCH COAL INC	455	09/03/08	09/22/09	10,551	19,596	(9,046)
MAGNA INTL A COM	292	07/14/08	08/05/09	14,372	16,174	(1,802)
HEALTH NET INC A	1,115	07/03/08	07/28/09	15,549	25,254	(9,705)
KOREA ELECTRIC POWER CORP ADS	2,555	10/29/08	11/23/09	36,088	20,862	15,226
HEALTH NET INC A	2,133	06/30/08	07/28/09	29,745	51,735	(21,991)
HEALTH NET INC A	70	06/24/08	07/28/09	976	1,832	(856)
HEALTH NET INC A	306	06/20/08	07/28/09	4,267	8,095	(3,828)
HEALTH NET INC A	837	06/19/08	07/28/09	11,672	22,022	(10,350)
MAGNA INTL A COM	186	06/03/08	07/15/09	8,190	13,042	(4,853)
TATA MOTORS LTD	219	08/04/08	09/22/09	2,919	2,037	882
KINROSS GOLD CORP NEW	242	08/07/08	10/06/09	5,421	4,058	1,364
KINROSS GOLD CORP NEW	626	08/04/08	10/06/09	14,023	11,203	2,821
KINROSS GOLD CORP NEW	1,293	08/01/08	10/06/09	28,965	23,152	5,813
USEC INC	2,266	01/10/08	03/25/09	11,330	18,517	(7,187)
USECINC	1,540	01/10/08	03/26/09	7,700	12,584	(4,884)
ALUMINA LTD	1,200	12/20/07	03/18/09	3,424	25,492	(22,068)
HEALTH NET INC A	920	04/30/08	07/28/09	12,829	26,976	(14,147)
ALUMINA LTD	1,821	12/19/07	03/18/09	5,196	38,564	(33,368)
HEALTH NET INC A	410	04/28/08	07/28/09	5,717	11,924	(6,206)
SMITHFIELD FOODS INC (VA)	832	06/30/08	10/01/09	10,648	16,480	(5,832)
DOMTAR CORPORATION NEW	103	06/12/08	09/14/09	3,748	7,682	(3,934)
DOMTAR CORPORATION NEW	174	06/11/08	09/14/09	6,331	12,785	(6,454)
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OREZONE RESOURCES INC 3,984 11/307 02/2609 5,539 4,555 1,253 OREZONE RESOURCES INC 3,984 11/307 02/2609 5,539 4,555 1,253 OREZONE RESOURCES INC 4,141 11/2007 02/2609 5,539 4,555 1,253 OREZONE RESOURCES INC 4,141 11/2007 02/2609 4,189 3,259 5,659 6,660 OREZONE RESOURCES INC 4,563 11/1607 02/2609 4,189 3,259 5,659 6,660 OREZONE RESOURCES INC 4,563 11/1607 02/2609 4,189 3,259 5,659 6,660 OREZONE RESOURCES INC 4,563 11/1607 02/2609 4,189 3,259 5,657 6,676 6,670 1,700	Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
OREZONE RESOURCES INC	OREZONE RESOURCES INC		11/23/07	02/26/09	473	7,164	
OREZONE RESOURCES INC	OREZONE RESOURCES INC	3,694	11/23/07			4,585	1,253
OREZONE RESOURCES INC  4.363 11/1607 07/2208 11/1607 07/2208 11/1607 07/2208 11/1607 07/2208 11/1607 07/2208 11/1607 07/2208 11/1607 07/2208 11/1609 17/172 17/2208 11/1609 17/172 17/2208 17/	OREZONE RESOURCES INC	,	11/20/07	02/26/09		5,141	(4,801)
OREZONE RESOURCES INC							
USEC INC CRESUID SA ADR 397 0772308 1110909 5,527 5,578 16,111 ALBANY INTL CORP 2143-15-26 125,000 121/1707 1410909 7,1172 124,960 (63,789) CRESUID SA ADR 200 07/1508 1110909 8,952 8,861 271 2723 2,700 233 CRESUID SA ADR 643 07/1508 1110909 8,952 8,861 271 ELUIS CORP 648 03/1008 07/1508 1110909 9,970 9,672 19,970 SCHOLASTIC CP 10,040 07/2808 120/2009 9,988 16,1123 MACNA INTL A COM 1548 03/1008 07/1508 121/1109 128,966 26,1366 2,2860 DOMITAR CORPORATION NEW 134 04/2808 04/1108 04/1108 04/1109 04/2808 04/1109 15,973 04/2808 04/1109 15,973 04/2808 04/1109 15,973 04/2808 04/1109 16,987 05/2808 16,1123 0		,					
CRESIDIS AA DR ALBANY INTL CORP 2 1/4 3-15-26  QRESUD SA ADR ADRA SA DR ADRA	OREZONE RESOURCES INC	4,363	11/16/07	02/26/09	,	5,291	,
ALBANY INTL CORP 2 143-15-26   125.000   121/1070   04.00609   71,172   124.960   (63,789) CRESUD SA ADR   043   0771508   111/0509   8.952   8.681   271   124.000   272   2723   2.700   23   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   23   20   20	USEC INC				,		
CRESIUD SA ADR         200         07/15/08         11/05/09         2,723         2,700         23           CRESIUD SA ADR         643         07/15/08         11/09/09         8,852         8,681         271           TELUS CORP         648         04/11/08         08/13/09         19,370         28,782         (9,412)           MAGNAI NITL A COM         548         03/10/08         07/15/09         1         03/14/20         8         8         6         11/20	CRESUD SA ADR		07/23/08			5,578	(51)
CRESUD SA ADR         643         O7/15/08         11/09/09         8,952         8,681         277           TEULS CORP         648         O4/11/08         09/13/09         24,129         39,482         (15,553)           CRESUD SA ADR         -         07/23/08         12/11/09         28,996         26,136         2,890           SCHOLASTIC CP         1,040         07/28/08         12/11/09         1,898         1,742         12,890           DOMTAR CORPORATION NEW         134         04/28/08         09/14/09         1,868         1,742         126           SIVCS CO         476         07/24/08         12/11/09         1,868         1,742         126           SIVCS CO         476         07/17/08         09/14/09         1,688         1,742         126           SIVCS CO         476         04/17/08         09/14/09         7,168         14,491         (7,232)           COLD FIELDS STOR         238         03/17/08         09/14/09         7,141         9,942         (2,623)           TEULIS CORP         91         03/14/08         09/12/09         2,720         3,629         (1,109)           DOMTAR CORPORATION NEW         206         07/27/07         01/02/09	ALBANY INTL CORP 2 1/4 3-15-26					,	(53,788)
TELUS CORP MAGNA INTLA COM					,		
MAGNAI NITLA COM						· ·	
CRESUD SA ADR - 07/23/08 12/02/09 9 9 28,966 28,136 2,860 DOMTAR CORPORATION NEW 134 04/28/06 09/44/09 4,876 9,988 (5,112) SCHOLASTIC CP 67 07/24/08 02/44/09 1,868 1,742 126 BJ SVCS CO 476 01/17/08 06/09/09 7,373 10,580 (3,207) DOMTAR CORPORATION NEW 197 04/23/08 09/44/09 7,168 1,742 126 BJ SVCS CO 476 01/17/08 06/09/09 7,373 10,580 (3,207) COLD FIELDS LTD SP ADR 2,148 05/14/08 09/44/09 7,168 14,491 (7,323) GOLD FIELDS LTD SP ADR 2,148 05/14/08 09/41/09 7,168 14,491 (7,323) GOLD FIELDS CORP 609 03/14/08 08/13/09 18,100 25,626 (7,527) TELUS CORP 609 03/14/08 08/13/09 18,100 25,626 (7,527) TELUS CORP 91 03/14/08 08/13/09 12,720 3,229 (1,109) DOMTAR CORPORATION NEW 266 04/11/08 09/14/09 7,466 16,245 (8,749) AXCELIS TECHNOLOGIES 4,771 11/15/07 04/28/09 2,283 26,695 (18,122) AXCELIS TECHNOLOGIES 3,261 11/05/07 04/28/09 2,283 26,695 (18,122) AXCELIS TECHNOLOGIES 3,261 11/05/07 04/28/09 1,550 1,528 (6,205) WARREN RESOURCES INC 639 05/02/08 10/56/09 1,533 7,828 (6,205) AXCELIS TECHNOLOGIES 3,072 11/02/07 04/28/09 1,550 1,280 (11,719) WARREN RESOURCES INC 18/33 04/29/08 10/56/09 1,470 1,280 (1,752) WARREN RESOURCES INC 18/33 04/29/08 10/56/09 1,470 1,280 (1,752) WARREN RESOURCES INC 18/33 04/29/08 10/56/09 1,470 1,280 (1,752) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 4,803 9,988 (5,185) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 4,803 9,988 (5,185) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 1,470 1,280 (1,752) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 1,470 1,280 (1,752) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 1,470 1,280 (1,752) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 1,470 1,280 (1,752) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 1,470 1,280 (1,752) DOMTAR CORPORATION NEW 347 03/						,	
SCHOLASTIC CP 1,040 07/28/08 12/11/09 28/996 28.13/8 2.860 DOMTAR CORPORATION NEW 134 04/28/08 09/14/09 1.8/88 1.742 12/8 15/95 SCHOLASTIC CP 67 07/24/08 12/11/09 1.8/88 1.742 12/8 15/95 SCHOLASTIC CP 67 07/24/08 12/11/09 1.8/88 1.742 12/8 15/95 SCHOLASTIC CP 47/8 11/11/09 1.8/88 1.742 12/8 15/95 SCHOLASTIC CP 47/8 11/11/09 14/10/95 11/95 1							
DOMTAR CORPORATION NEW   194							
SCHOLASTIC CP 67 07/24/08 12/11/09 1,888 1,742 126 DI SVCS CO DOMTAR CORPORATION NEW 197 04/23/08 06/14/09 7,188 14,491 (7,323) DOMTAR CORPORATION NEW 197 04/23/08 06/14/09 7,188 14,491 (7,323) COLD FIELDS LTD SP ADR 2.148 05/14/08 08/14/09 7,188 14,491 (7,323) TELUS CORP 238 03/17/08 08/13/09 7,114 9,942 (2,228) TELUS CORP 609 03/14/08 08/13/09 7,114 9,942 (2,228) DOMTAR CORPORATION NEW 266 06/13/09 08/14/09 18,100 25/626 (7,527) TELUS CORP 91 03/14/08 08/13/09 2,720 3,829 (1,109) DOMTAR CORPORATION NEW 266 04/11/08 08/14/09 7,496 16,245 (8,749) ALLIED WASTE INDS 4 1/4 4-15-34 28,000 07/27/07 01/02/09 23,270 24,788 (1,529) AXCELIS TECHNOLOGIES 4,71 11/15/07 04/28/09 2,283 20,695 (1,9412) AXCELIS TECHNOLOGIES 3,261 11/05/07 04/28/09 2,283 20,695 (1,9412) AXCELIS TECHNOLOGIES 3,361 11/05/07 04/28/09 2,283 20,695 (1,9412) AXCELIS TECHNOLOGIES 3,361 11/05/07 04/28/09 2,283 20,695 (1,9412) AXCELIS TECHNOLOGIES 3,371 11/05/07 04/28/09 1,590 13,280 (11,719) WARREN RESOURCES INC 639 05/02/09 10/28/09 1,590 13,280 (1,778) AXCELIS TECHNOLOGIES 3,072 11/02/07 04/28/09 1,590 1,590 13,280 (1,778) AXCELIS TECHNOLOGIES 3,072 11/02/07 04/28/09 1,590						,	
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GOLD FIELDS LTD SP ADR					,		
TELLIS CORP 609 03/14/08 08/13/09 18,100 25,656 (7,527) TELLIS CORP 609 03/14/08 08/13/09 18,100 25,656 (7,527) TELLIS CORP 91 03/14/08 08/13/09 17,496 16,245 (7,527) TELLIS CORP 91 03/14/08 08/13/09 2,720 3,829 (1,109) DOMTAR CORPORATION NEW 206 04/11/08 09/14/09 7,496 16,245 (8,749) ALLIED WASTE INDS 4 1/4 4-15-34 26,000 07/27/07 01/02/09 2,283 20,895 (15,142) AXCELIS TECHNOLOGIES 4,771 11/15/07 04/28/09 1,560 13,260 (11,719) WARREN RESOURCES INC 639 05/02/08 10/28/09 1,650 13,260 (11,719) WARREN RESOURCES INC 639 05/02/08 10/28/09 1,623 7,628 (6,205) AXCELIS TECHNOLOGIES 3,072 11/02/07 04/28/09 1,623 7,628 (6,205) WARREN RESOURCES INC 18,73 04/28/08 10/28/09 1,470 12,658 (11,188) DOMTAR CORPORATION NEW 132 03/18/08 09/14/09 4,803 9,968 (5,165) WARREN RESOURCES INC 18,73 04/28/08 10/28/09 4,757 22,766 (18,029) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 12,626 26,070 (13,443) BJ SVCS CO 19,688 12/05/07 06/09/09 3,484 47,582 (17,098) ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/03/09 46,156 47,688 (1,531) TYSON FOODS INC CL 4 472 09/27/07 03/03/09 46,156 47,688 (1,531) WANNED RIDDS 4 1/4 4-15-34 50,000 07/27/07 03/03/09 45,813 47,688 (1,551) ANAHOE MINES LTD 1,159 04/02/08 11/28/09 17,764 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 03/12/09 11/09/09 15,421 11,000 3,164 DTE ENERGY COMPANY 395 0							
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TELLIS CORP					,		
DOMTAR CORPORATION NEW   26   04/11/08   09/14/09   7,496   16.245   (8.749)   (1.528)   AXCELIS TECHNOLOGIES   4,771   11/15/07   04/28/09   23,277   24,798   (1.528)   AXCELIS TECHNOLOGIES   3,261   11/05/07   04/28/09   1,580   13,280   (11,719)   04/28/09   1,580   13,280   (11,719)   04/28/09   1,580   13,280   (11,719)   04/28/09   1,580   13,280   (11,719)   04/28/09   1,580   13,280   (11,719)   04/28/09   1,580   13,280   (11,719)   04/28/09   1,580   13,280   (11,719)   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,188)   05/24/07   04/28/09   1,470   12,658   (11,189)   05/24/09   1,470   12,658   (11,189)   05/24/09   1,470   12,658   (11,189)   05/24/09   1,470   12,658   (11,189)   05/24/09   1,470   12,658   (11,189)   05/24/09   1,470   12,658   (11,189)   04/28/09   1,470   12,658   (11,189)   04/28/09   1,470   12,658   (11,189)   04/28/09   1,470   12,658   (11,189)   04/28/09   1,470   12,658   (11,189)   04/28/09   1,470   12,658   (11,189)   04/28/09   1,470   14,64   1,600   1,670   1,6						· ·	
ALLIED WASTE INDS 4 1/4 4-15-34					,	,	
AXCELIS TECHNOLOGIES 3,261 11/15/07 04/28/09 1,260 13,280 (11,719)  AXCELIS TECHNOLOGIES 3,261 11/05/07 04/28/09 1,560 13,280 (11,719)  WARREN RESOURCES INC 639 05/02/08 10/26/09 1,623 7,828 (6,205)  AXCELIS TECHNOLOGIES 3,072 11/02/07 04/28/09 1,470 12,658 (11,188)  DOMTAR CORPORATION NEW 132 03/18/08 09/14/09 4,803 9,968 (5,165)  WARREN RESOURCES INC 1,873 04/29/08 10/26/09 4,757 22,786 (18,029)  DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 4,757 22,786 (18,029)  DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 12,626 26,070 (13,443)  BJ SVCS CO 1,968 12/05/07 06/09/09 30,484 47,582 (17,098)  ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/03/09 45,156 47,688 (1,531)  TYSON FOODS INC CL A 472 09/27/07 03/03/09 45,156 47,688 (1,531)  ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,531)  ALLIED WASTE INDS 5 1/4 4-4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875)  ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875)  ALLIED WASTE INDS 6 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875)  ALLIED WASTE INDS 6 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875)  ALLIED WASTE INDS 6 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875)  ALLIED WASTE INDS 6 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875)  ALLIED WASTE INDS 6 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875)  VANHOE MINES LTD 1,159 04/02/08 11/25/09 14,764 11,600 3,164  DTE ENERGY COMPANY 395 03/12/08 11/25/09 14,764 11,600 3,164  DTE ENERGY COMPANY 395 03/12/08 11/25/09 14,764 11,600 (580)  SCHOLASTIC CP 1,510 04/04/08 12/11/09 15,942 11,600 (580)  SCHOLASTIC CP 3,500 04/04/08 12/11/09 16,982 11,592 (1,609)  GOLD FIELDS LTD SP ADR 42 05/407 02/04/09 34,777 573 82 (2,567)  ARCH COAL INC 735 08/31/07 06/10/09 15,942 11,943 (7,734)  ALAMO GROUP INC 399 05/14/09 4,963 5,703 (7,394)  PEABODY ENERGY CORP 31/2 5-15-10 - 07/26/07 05/26/09 26,159 7,186 18,973  PEABODY ENERGY CORP 557 07/26/07 06/10/09 19,646 21,726 (2,080)  REPUBLIC SERVICES INC 1,433 10/30/07 10/2						,	
AXCELIS TECHNOLOGIES 3,281 11,05,07 04/28/09 1,500 13,280 (11,719) WARREN RESOURCES INC 639 05/02/08 10/28/09 1,623 7,828 (6,205) AXCELIS TECHNOLOGIES 3,072 11/02/07 04/28/09 1,470 12,658 (11,188) DOMTAR CORPORATION NEW 132 03/18/08 09/14/09 4,803 9,968 (5,165) WARREN RESOURCES INC 1,873 04/29/08 10/26/09 4,803 9,968 (5,165) WARREN RESOURCES INC 1,873 04/29/08 10/26/09 4,803 9,968 (5,165) WARREN RESOURCES INC 1,873 04/29/08 10/26/09 4,803 9,968 (5,165) DOMTAR CORPORATION NEW 347 03/17/08 09/14/09 12,626 26,070 (13,443) BJ SVCS CO 1,968 12/05/07 06/09/09 30,484 47,582 (17,098) ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/03/09 46,156 47,688 (1,531) TYSON FOODS INC CL A 472 09/27/07 05/06/09 5,772 8,491 (2,719) ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/03/09 5,772 8,491 (2,719) ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/09/09 46,156 47,688 (1,857) CGOLD FIELDS LTD SP ADR 1,001 05/18/07 01/02/09 9,784 17,189 (7,405) IVANHOE MINES LTD 1,159 04/02/08 11/25/09 14,764 11,600 3,164 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 16,001 (580) SCHOLASTIC CP 1,510 04/04/08 12/11/09 42,100 45,218 (3,118) KOREA ELECTRIC POWER CORP ADS 1,266 03/11/08 11/20/09 8,974 17,189 (7,405) GOLD FIELDS LTD SP ADR 42 05/24/07 02/04/09 437 731 (294) KOREA ELECTRIC POWER CORP ADS 567 03/11/08 11/20/09 8,009 8,741 (732) GOLD FIELDS LTD SP ADR 42 05/24/07 02/04/09 437 731 (294) ALAMO GROUP INC 735 08/31/107 06/10/09 13,943 2,1768 (7,834) ALAMO GROUP INC 735 08/31/107 06/10/09 13,943 2,1768 (7,834) ALAMO GROUP INC 735 08/31/107 06/10/09 13,941 2,1768 (7,834) ALAMO GROUP INC 1,560 12/19/07 10/23/09 34,771 57,338 (22,567) ARCH COAL INC 1,560 12/19/07 10/23/09 34,711 57,338 (22,567) ARCH COAL INC 1,560 12/19/07 10/23/09 34,751 57,338 (22,567) ARCH COAL INC 1,560 12/19/07 10/23/09 34,751 57,338 (22,567) ARCH COAL INC 1,560 12/19/07 10/23/09 34,751 57,338 (22,567) ARCH COAL INC 1,560 12/19/07 10/23/09 34,751 36,490 5,673 (7,394) CREDENCE SYS EXCH 3 1/25-15-10 0 0/26/07 05/26/09 2,560 44,205 114,971 (70,766) CREDENCE SYS EXC							
WARREN RESOURCES INC   639   050/20/8   10/26/09   1,623   7,828   (6,205)   A/CELIS TECHNOLOGIES   3,072   11/02/07   04/28/09   1,470   12,658   (11,188)   DOMTAR CORPORATION NEW   132   03/18/08   09/14/09   4,803   9,968   (5,165)   WARREN RESOURCES INC   1,873   04/29/08   10/26/09   4,757   22,766   (18,029)   DOMTAR CORPORATION NEW   347   03/17/08   09/14/09   4,803   9,968   (5,165)   WARREN RESOURCES INC   1,873   04/29/08   10/26/09   4,757   22,766   (18,029)   DOMTAR CORPORATION NEW   347   03/17/08   09/14/09   30,464   47,582   (17,098)   4,111   4,115-34   50,000   07/27/07   03/03/09   46,156   47,688   (1,531)   TYSON FOODS INC CL A   472   09/27/07   05/06/09   5,772   8,491   (2,719)   ALLIED WASTE INDS 4 1/4 4-15-34   50,000   07/27/07   03/09/09   45,813   47,688   (1,575)   GOLD FIELDS LTD SP ADR   1,001   05/18/07   01/02/09   45,813   47,688   (1,875)   GOLD FIELDS LTD SP ADR   1,001   05/18/07   01/02/09   47,764   11,600   3,164   DTE ENERGY COMPANY   395   03/12/08   11/25/09   14,764   11,600   3,164   DTE ENERGY COMPANY   395   03/12/08   11/09/09   16,521   16,001   (580)   SCHOLASTIC CP   1,510   04/04/08   12/11/09   42,100   45,218   (3,118)   KOREA ELECTRIC POWER CORP ADS   1,206   03/11/08   11/20/09   8,09   8,741   (732)   GOLD FIELDS LTD SP ADR   42   05/24/07   02/04/09   437   731   (294/4)   KOREA ELECTRIC POWER CORP ADS   567   03/11/08   11/23/09   8,09   8,741   (732)   GOLD FIELDS LTD SP ADR   3,39   05/18/07   06/10/09   34,771   57,338   (2,567)   ARCH COAL INC   735   08/31/07   06/10/09   34,771   57,338   (2,567)   ARCH COAL INC   735   08/31/07   06/10/09   34,771   57,338   (2,567)   ARCH COAL INC   735   08/31/07   06/10/09   34,771   57,338   (2,567)   ARCH COAL INC   735   08/31/07   06/10/09   34,771   57,338   (2,567)   ARCH COAL INC   735   08/31/07   06/10/09   34,771   57,338   (2,567)   ARCH COAL INC   735   08/31/07   06/10/09   34,771   57,338   (2,567)   ARCH COAL INC   735   08/31/07   06/10/09   34,771   57,338   (2,567)   ARCH COAL INC   735							
AXCELIS TECHNOLOGIES   3,072							
DOMTAR CORPORATION NEW   132   03/18/08   09/14/09   4,803   9,968   (5,165)   WARREN RESOURCES INC   1,873   04/29/08   10/26/09   4,757   22,786   (18,029)   00/14/09   12,626   26,070   (13,443)   05/14/09   05/14/09   12,626   26,070   (13,443)   05/14/09						,	* ' '
WARREN RESOURCES INC   1,873   04/29/08   10/26/09   4,757   22,786   (18,029)   DOMTAR CORPORATION NEW   347   03/17/08   09/14/09   30,484   47,582   (17,098)   31,505   (17,098)   31,505   (17,098)   31,505   (17,098)   31,404   47,582   (17,098)   31,404   47,582   (17,098)   31,404   47,582   (17,098)   31,404   47,582   (17,098)   31,404   47,582   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,404   31,505   (17,098)   31,505							
DOMTAR CORPORATION NEW   347   0317/08   09/14/09   12,626   26,070   (13,443)   BJ SVCS CO   1,968   12/05/07   06/09/09   30,484   47,582   (17,098)   ALLIED WASTE INDS 4 1/4 4-15-34   50,000   07/27/07   03/03/09   46,156   47,688   (1,531)   TYSON FOODS INC CL A   472   09/27/07   03/06/09   45,813   47,688   (1,531)   TYSON FOODS INC CL A   472   09/27/07   03/09/09   45,813   47,688   (1,875)   GOLD FIELDS LTD SP ADR   1,001   05/18/07   01/02/09   9,784   17,189   (7,405)   IVANHOE MINES LTD   1,159   04/02/08   11/25/09   14,764   11,600   3,164   DTE ENERGY COMPANY   395   03/12/08   11/09/09   15,421   16,001   (580)   SCHOLASTIC OP   1,510   04/04/08   12/11/09   42,100   45,218   (3,118)   KOREA ELECTRIC POWER CORP ADS   1,206   03/11/08   11/20/09   437   731   (294)   KOREA ELECTRIC POWER CORP ADS   567   03/11/08   11/23/09   8,009   8,741   (732)   (732)   (732)   (733)   (734)   (732)   (734)					,		* ' '
BJ SVCS CO         1,968         12/05/07         06/09/09         30,484         47,582         (17,098)           ALLIED WASTE INDS 4 1/4 4-15-34         50,000         07/27/07         03/03/09         46,156         47,688         (1,531)           TYSON FOODS INC CL A         472         09/27/07         05/06/09         5,772         8,491         (2,719)           ALLIED WASTE INDS 4 1/4 4-15-34         50,000         07/27/07         03/09/09         45,813         47,688         (1,875)           GOLD FIELDS LTD SP ADR         1,001         05/18/07         01/02/09         14,764         11,600         3,164           DTE ENERGY COMPANY         395         03/12/08         11/09/09         15,421         16,001         (580)           SCHOLASTIC CP         1,510         04/04/08         12/11/09         42,100         45,218         (3,118)           KOREA ELECTRIC POWER CORP ADS         1,206         03/11/08         11/22/09         16,982         18,592         (1,609)           GOLD FIELDS LTD SP ADR         42         05/24/07         02/04/09         437         731         (294)           KOREA ELECTRIC POWER CORP ADS         567         03/11/08         11/12/09         8,09         8,741         (732)						· ·	, , ,
ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/03/09 46,156 47,688 (1,531) TYSON FOODS INC CL A 472 09/27/07 05/06/09 5,772 8,491 (2,719) ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875) GOLD FIELDS LTD SP ADR 1,001 05/18/07 01/02/09 9,784 17,189 (7,405) IVANHOE MINES LTD 1,159 04/02/08 11/25/09 14,764 11,600 3,164 DTE ENERGY COMPANY 395 03/12/08 11/25/09 15,421 16,001 (580) SCHOLASTIC CP 1,510 04/04/08 12/11/09 42,100 45,218 (3,118) KOREA ELECTRIC POWER CORP ADS 1,206 03/11/08 11/20/09 16,882 18,592 (1,609) GOLD FIELDS LTD SP ADR 42 05/24/07 02/04/09 437 731 (294) KOREA ELECTRIC POWER CORP ADS 567 03/11/08 11/23/09 8,009 8,741 (732) GOLD FIELDS LTD SP ADR 42 05/24/07 02/04/09 34,771 57,338 (22,567) ARCH COAL INC 735 08/31/07 06/10/09 13,934 21,768 (7,834) ALAMO GROUP INC 309 02/26/08 12/24/09 4,963 5,703 (739) CREDENCE SYS CORP 3 1/2 5-15-10 131,000 07/26/07 05/26/09 44,205 114,971 (70,766) CREDENCE SYS CORP 3 1/2 5-15-10 131,000 07/26/07 05/26/09 44,205 114,971 (70,766) CREDENCE SYS CORP 3 1/2 5-15-10 131,000 07/26/07 05/26/09 42,163 36,490 5,673 PEABODY ENERGY CORP 557 07/26/07 06/10/09 19,646 21,726 (2,080) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (984) GOLD RESERVE INC CL A 884 10/22/07 10/06/09 1,150 5,598 (4,448) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (984) GOLD RESERVE INC CL A 884 10/22/07 10/06/09 1,150 5,598 (4,448) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (984) GOLD RESERVE INC CL A 884 10/22/07 10/06/09 1,150 5,598 (4,448) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 14,784 14,414 370 DOMTAR CORPORATION NEW 1 03/09/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 10/06/09 3,719 22,358 (18,639) ALAM							
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ALLIED WASTE INDS 4 1/4 4-15-34 50,000 07/27/07 03/09/09 45,813 47,688 (1,875) GOLD FIELDS LTD SP ADR 1,001 05/18/07 01/02/09 9,784 17,189 (7,405) 1/AF04 11,1600 3,184 DTE ENERGY COMPANY 395 03/12/08 11/09/09 15,421 16,001 (580) SCHOLASTIC CP 1,510 04/04/08 12/11/09 42,100 45,218 (3,118) KOREA ELECTRIC POWER CORP ADS 1,206 03/11/08 11/20/09 16,982 18,592 (1,609) GOLD FIELDS LTD SP ADR 42 05/24/07 02/04/09 437 731 (294) KOREA ELECTRIC POWER CORP ADS 567 03/11/08 11/23/09 8,009 8,741 (732) GOLD FIELDS LTD SP ADR 42 05/24/07 02/04/09 34,771 57,338 (22,567) ARCH COAL INC 735 08/31/07 06/10/09 13,934 21,768 (7,834) ALAMO GROUP INC 309 02/26/08 12/24/09 4,963 5,703 (739) CREDENCE SYS EXCH 3 1/2 5-15-10 131,000 07/26/07 05/26/09 44,205 114,971 (70,766) CREDENCE SYS EXCH 3 1/2 5-15-10 131,000 07/26/07 05/26/09 44,205 114,971 (70,766) CREDENCE SYS EXCH 3 1/2 5-15-10 131,000 07/26/07 05/26/09 44,205 114,971 (70,766) GOLD RESERVE INC A 322 02/15/07 10/02/09 19,646 21,726 (2,080) REPUBLIC SERVICES INC 432 02/15/07 10/02/09 19,646 21,726 (2,080) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (964) GOLD RESERVE INC CL A 884 10/22/07 10/06/09 1,366 12,282 (1,516) GOLD RESERVE INC CL A 884 10/22/07 10/06/09 1,366 1,438 (132) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 38,731 39,695 (964) GOLD RESERVE INC CL A 884 10/22/07 10/06/09 1,366 1,438 (132) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 38,731 39,695 (964) GOLD RESERVE INC CL A 884 10/22/07 10/06/09 1,366 1,438 (132) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 14,784 14,414 370 DOMTAR CORPORATION NEW 1 03/09/07 06/10/09 1,366 1,438 (132) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 11,150 5,588 (4,448) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 3,							
GOLD FIELDS LTD SP ADR 1,001 05/18/07 01/02/09 9,784 17,189 (7,405) IVANHOE MINES LTD 1,159 04/02/08 11/25/09 14,764 11,600 3,164 DTE ENERGY COMPANY 395 03/12/08 11/109/09 15,421 16,001 (580) SCHOLASTIC CP 1,510 04/04/08 12/11/09 42,100 45,218 (3,118) KOREA ELECTRIC POWER CORP ADS 1,206 03/11/08 11/20/09 16,982 18,592 (1,609) GOLD FIELDS LTD SP ADR 42 05/24/07 02/04/09 437 731 (294) KOREA ELECTRIC POWER CORP ADS 567 03/11/08 11/23/09 8,009 8,741 (732) GOLD FIELDS LTD SP ADR 3,339 05/18/07 02/04/09 34,771 57,338 (22,567) ARCH COAL INC 735 08/31/07 06/10/09 13,934 21,768 (7,834) ALAMO GROUP INC 309 02/26/08 12/24/09 4,963 5,703 (739) CREDENCE SYS EXCH 3 1/2 5-15-10 131,000 07/26/07 05/26/09 44,205 114,971 (70,766) CREDENCE SYS EXCH 3 1/2 5-15-10 131,000 07/26/07 05/26/09 42,163 36,490 5,673 PEABODY ENERGY CORP 557 07/26/07 06/10/09 19,646 21,726 (2,080) REPUBLIC SERVICES INC 1,560 12/19/07 10/23/09 42,163 36,490 5,673 PEABODY ENERGY CORP 557 07/26/07 06/10/09 19,646 21,726 (2,080) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (964) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (964) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 11,150 5,598 (4,448) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 11,150 5,598 (4,448) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 11,150 5,598 (4,448) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 11,366 12,282 (1,516) GOLD RESERVE INC CL A 884 10/22/07 10/06/09 1,306 1,438 (132) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 14,784 114,414 370 DOMTAR CORPORATION NEW 1 03/09/07 10/23/09 11,756 2,881 (1,066) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 12/24/09 1,815 2,881 (1,066) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 3,719 22,358 (18,639) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 3,719 22,358 (18,639					,	,	
NANHOE MINES LTD					·		* ' '
DTE ENERGY COMPANY         395         03/12/08         11/09/09         15,421         16,001         (580)           SCHOLASTIC CP         1,510         04/04/08         12/11/09         42,100         45,218         (3,118)           KOREA ELECTRIC POWER CORP ADS         1,206         03/11/08         11/20/09         16,982         18,592         (1,609)           GOLD FIELDS LTD SP ADR         42         05/24/07         02/04/09         437         731         (294)           KOREA ELECTRIC POWER CORP ADS         567         03/11/08         11/23/09         8,009         8,741         (732)           GOLD FIELDS LTD SP ADR         3,339         05/18/07         02/04/09         34,771         57,338         (22,567)           ARCH COAL INC         735         08/31/07         06/10/09         13,934         21,768         (7,834)           ALAMO GROUP INC         309         02/26/08         12/24/09         4,963         5,703         (739)           CREDENCE SYS CORP 3 1/2 5-15-10         131,000         07/26/07         05/26/09         44,205         114,971         (70,766)           CREDENCE SYS EXCH 3 1/2 5-15-10         131,000         07/26/07         05/26/09         26,159         7,186         18,973     <					,		
SCHOLASTIC CP         1,510         04/04/08         12/11/09         42,100         45,218         (3,118)           KOREA ELECTRIC POWER CORP ADS         1,206         03/11/08         11/20/09         16,982         18,592         (1,609)           GOLD FIELDS LTD SP ADR         42         05/24/07         02/04/09         437         731         (294)           KOREA ELECTRIC POWER CORP ADS         567         03/11/08         11/23/09         8,009         8,741         (732)           GOLD FIELDS LTD SP ADR         3,339         05/18/07         02/04/09         34,771         57,338         (22,567)           ARCH COAL INC         735         08/31/07         06/10/09         13,934         21,768         (7,834)           ALAMO GROUP INC         309         02/26/08         12/24/09         4,963         5,703         (739)           CREDENCE SYS CORP 3 1/2 5-15-10         -         07/26/07         05/26/09         44,205         114,971         (70,766)           CREDENCE SYS EXCH 3 1/2 5-15-10         1,560         12/19/07         10/23/09         42,163         36,490         56,73           REPUBLIC SERVICES INC         1,560         12/19/07         10/23/09         42,163         36,490         56,73 <td></td> <td></td> <td></td> <td></td> <td></td> <td>,</td> <td></td>						,	
KOREA ELECTRIC POWER CORP ADS         1,206         03/11/08         11/20/09         16,982         18,592         (1,609)           GOLD FIELDS LTD SP ADR         42         05/24/07         02/04/09         437         731         (294)           KOREA ELECTRIC POWER CORP ADS         567         03/11/08         11/23/09         8,009         8,741         (732)           GOLD FIELDS LTD SP ADR         3,339         05/18/07         02/04/09         34,771         57,338         (22,567)           ARCH COAL INC         735         08/31/07         06/10/09         13,934         21,768         (7,834)           ALAMO GROUP INC         309         02/26/08         12/24/09         4,963         5,703         (739)           CREDENCE SYS CORP 3 1/2 5-15-10         -         07/26/07         05/26/09         44,205         114,971         (70,766)           CREDENCE SYS CORP 3 1/2 5-15-10         131,000         07/26/07         05/26/09         26,159         7,186         18,973           REPUBLIC SERVICES INC         1,560         12/19/07         10/23/09         42,163         36,490         5,673           PEABODY ENRGY CORP         557         07/26/07         06/10/09         19,646         21,726         (2,080)							, ,
GOLD FIELDS LTD SP ADR  42 05/24/07 02/04/09 437 731 (294) KOREA ELECTRIC POWER CORP ADS 567 03/11/08 11/23/09 8,009 8,741 (732) GOLD FIELDS LTD SP ADR 3,339 05/18/07 02/04/09 34,771 57,338 (22,567) ARCH COAL INC 735 08/31/07 06/10/09 13,934 21,768 (7,834) ALAMO GROUP INC 309 02/26/08 12/24/09 4,963 5,703 (739) CREDENCE SYS CORP 3 1/2 5-15-10 - 07/26/07 05/26/09 44,205 114,971 (70,766) CREDENCE SYS EXCH 3 1/2 5-15-10 131,000 07/26/07 05/26/09 26,159 7,186 18,973 REPUBLIC SERVICES INC 1,560 12/19/07 10/23/09 42,163 36,490 5,673 PEABODY ENERGY CORP 557 07/26/07 06/10/09 19,646 21,726 (2,080) REPUBLIC SERVICES INC 432 02/15/07 01/02/09 10,766 12,282 (1,516) GOLD RESERVE INC CL A 1,175 10/22/07 10/06/09 1,150 5,598 (4,448) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (964) GOLD RESERVE INC CL A 884 10/22/07 10/16/09 787 4,211 (3,425) TECH DATA CORP 40 04/04/07 06/01/09 1,306 1,438 (132) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 11,764 14,414 370 DOMTAR CORPORATION NEW 1 03/09/07 10/23/09 11,765 2,881 (1,066) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 12/24/09 10,521 16,849 (6,328) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 2,746 19,997 (17,251) REPUBLIC SERVICES INC 128 07/31/06 01/02/09 3,190 2,857 333 DOMTAR CORPORATION NEW 306 03/09/07 09/14/09 11,134 27,380 (16,246) WARREN RESOURCES INC 2,125 03/14/07 10/26/09 5,397 21,569 (16,172)		,			,		
KOREA ELECTRIC POWER CORP ADS         567         03/11/08         11/23/09         8,009         8,741         (732)           GOLD FIELDS LTD SP ADR         3,339         05/18/07         02/04/09         34,771         57,338         (22,567)           ARCH COAL INC         735         08/16/07         06/10/09         13,934         21,768         (7,834)           ALAMO GROUP INC         309         02/26/08         12/24/09         4,963         5,703         (739)           CREDENCE SYS CORP 3 1/2 5-15-10         -         07/26/07         05/26/09         26,159         7,186         18,973           REPUBLIC SERVICES INC         1,560         12/19/07         10/23/09         42,163         36,490         5,673           REPUBLIC SERVICES INC         1,560         12/19/07         10/23/09         42,163         36,490         5,673           REPUBLIC SERVICES INC         432         02/15/07         01/02/09         19,646         21,726         (2,080)           REPUBLIC SERVICES INC         432         02/15/07         01/02/09         1,756         12,282         (1,516)           GOLD RESERVE INC CL A         1,433         10/30/07         10/06/09         1,560         12,282         (1,516)						· ·	* ' '
ARCH COAL INC 735 08/31/07 06/10/09 13,934 21,768 (7,834) ALAMO GROUP INC 309 02/26/08 12/24/09 4,963 5,703 (739) CREDENCE SYS CORP 3 1/2 5-15-10 - 07/26/07 05/26/09 44,205 114,971 (70,766) CREDENCE SYS EXCH 3 1/2 5-15-10 131,000 07/26/07 05/26/09 26,159 7,186 18,973 REPUBLIC SERVICES INC 1,560 12/19/07 10/23/09 42,163 36,490 5,673 PEABODY ENERGY CORP 557 07/26/07 06/10/09 19,646 21,726 (2,080) REPUBLIC SERVICES INC 432 02/15/07 01/02/09 10,766 12,282 (1,516) GOLD RESERVE INC CL A 1,175 10/22/07 10/06/09 1,150 5,598 (4,448) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (964) GOLD RESERVE INC CL A 884 10/22/07 10/16/09 787 4,211 (3,425) TECH DATA CORP 40 04/04/07 06/01/09 11,306 1,438 (132) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 11,306 1,438 (132) DOMTAR CORPORATION NEW 1 03/09/07 06/10/09 11,815 2,881 (1,066) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 1,815 2,881 (1,066) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 12/24/09 1,815 2,881 (1,066) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 2,746 19,997 (17,251) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 3,190 2,857 333 DOMTAR CORPORATION NEW 306 03/09/07 09/14/09 11,134 27,380 (16,246) WARREN RESOURCES INC 2,125 03/14/07 10/26/09 5,397 21,569 (16,172)	KOREA ELECTRIC POWER CORP ADS	567	03/11/08	11/23/09	8,009	8,741	
ALAMO GROUP INC  309 02/26/08 12/24/09 4,963 5,703 (739) CREDENCE SYS CORP 3 1/2 5-15-10 - 07/26/07 05/26/09 44,205 114,971 (70,766) CREDENCE SYS EXCH 3 1/2 5-15-10 131,000 07/26/07 05/26/09 26,159 7,186 18,973 REPUBLIC SERVICES INC 1,560 12/19/07 10/23/09 42,163 36,490 5,673 REPUBLIC SERVICES INC 1,560 12/15/07 06/10/09 19,646 21,726 (2,080) REPUBLIC SERVICES INC 432 02/15/07 01/02/09 10,766 12,282 (1,516) GOLD RESERVE INC CL A 1,175 10/22/07 10/06/09 1,150 5,598 (4,448) REPUBLIC SERVICES INC 1,433 10/30/07 10/23/09 38,731 39,695 (964) GOLD RESERVE INC CL A 884 10/22/07 10/16/09 787 4,211 (3,425) TECH DATA CORP 40 04/04/07 06/01/09 1,306 1,438 (132) REPUBLIC SERVICES INC 547 08/09/07 10/23/09 14,784 14,414 370 DOMTAR CORPORATION NEW 1 03/09/07 06/10/09 11 52 (42) ALAMO GROUP INC 113 08/02/07 12/24/09 1,815 2,881 (1,066) GOLD RESERVE INC CL A 3,800 05/15/07 10/06/09 3,719 22,358 (18,639) ALAMO GROUP INC 655 07/31/07 12/24/09 10,521 16,849 (6,328) GOLD RESERVE INC CL A 2,806 05/09/07 10/06/09 3,190 2,857 333 DOMTAR CORPORATION NEW 306 03/09/07 09/14/09 11,134 27,380 (16,246) WARREN RESOURCES INC 2,125 03/14/07 10/26/09 5,397 21,569 (16,172)	GOLD FIELDS LTD SP ADR	3,339	05/18/07	02/04/09	34,771	57,338	(22,567)
CREDENCE SYS CORP 3 1/2 5-15-10	ARCH COAL INC	735	08/31/07	06/10/09	13,934	21,768	(7,834)
CREDENCE SYS CORP 3 1/2 5-15-10  CREDENCE SYS EXCH 3 1/2 5-15-10  131,000  07/26/07  05/26/09  26,159  7,186  18,973  REPUBLIC SERVICES INC  1,560  12/19/07  10/23/09  42,163  36,490  5,673  PEABODY ENERGY CORP  557  07/26/07  06/10/09  19,646  21,726  (2,080)  REPUBLIC SERVICES INC  432  02/15/07  01/02/09  10,766  12,282  (1,516)  GOLD RESERVE INC CL A  1,175  10/22/07  10/06/09  1,150  5,598  (4,448)  REPUBLIC SERVICES INC  1,433  10/30/07  10/23/09  38,731  39,695  (964)  GOLD RESERVE INC CL A  884  10/22/07  10/16/09  787  4,211  (3,425)  TECH DATA CORP  40  04/04/07  06/01/09  1,306  1,438  (132)  REPUBLIC SERVICES INC  547  08/09/07  10/23/09  14,784  14,414  370  DOMTAR CORPORATION NEW  1  08/09/07  10/23/09  18,155  2,881  (1,066)  GOLD RESERVE INC CL A  3,800  05/15/07  10/06/09  3,719  22,358  (18,639)  ALAMO GROUP INC  655  07/31/07  12/24/09  10,521  16,849  (6,328)  DOMTAR CORPORATION NEW  306  05/09/07  10/06/09  3,190  2,857  333  DOMTAR CORPORATION NEW  306  03/09/07  09/14/09  11,134  27,380  (16,246)  WARREN RESOURCES INC  2,125  03/14/07  10/26/09  5,397  21,569  (16,172)	ALAMO GROUP INC	309	02/26/08	12/24/09	4,963	5,703	(739)
REPUBLIC SERVICES INC         1,560         12/19/07         10/23/09         42,163         36,490         5,673           PEABODY ENERGY CORP         557         07/26/07         06/10/09         19,646         21,726         (2,080)           REPUBLIC SERVICES INC         432         02/15/07         01/02/09         10,766         12,282         (1,516)           GOLD RESERVE INC CL A         1,175         10/22/07         10/06/09         1,150         5,598         (4,448)           REPUBLIC SERVICES INC         1,433         10/30/07         10/23/09         38,731         39,695         (964)           GOLD RESERVE INC CL A         884         10/22/07         10/16/09         787         4,211         (3,425)           TECH DATA CORP         40         04/04/07         06/01/09         1,306         1,438         (132)           REPUBLIC SERVICES INC         547         08/09/07         10/23/09         14,784         14,414         370           DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO	CREDENCE SYS CORP 3 1/2 5-15-10	-	07/26/07	05/26/09			
PEABODY ENERGY CORP         557         07/26/07         06/10/09         19,646         21,726         (2,080)           REPUBLIC SERVICES INC         432         02/15/07         01/02/09         10,766         12,282         (1,516)           GOLD RESERVE INC CL A         1,175         10/22/07         10/06/09         1,150         5,598         (4,448)           REPUBLIC SERVICES INC         1,433         10/30/07         10/23/09         38,731         39,695         (964)           GOLD RESERVE INC CL A         884         10/22/07         10/16/09         787         4,211         (3,425)           TECH DATA CORP         40         04/04/07         06/01/09         1,306         1,438         (132)           REPUBLIC SERVICES INC         547         08/09/07         10/23/09         14,784         14,414         370           DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         11         52         (42)           ALAMO GROUP INC         113         08/02/07         12/24/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           GOLD RESERVE INC CL A </td <td>CREDENCE SYS EXCH 3 1/2 5-15-10</td> <td>131,000</td> <td>07/26/07</td> <td>05/26/09</td> <td>26,159</td> <td>7,186</td> <td>18,973</td>	CREDENCE SYS EXCH 3 1/2 5-15-10	131,000	07/26/07	05/26/09	26,159	7,186	18,973
REPUBLIC SERVICES INC         432         02/15/07         01/02/09         10,766         12,282         (1,516)           GOLD RESERVE INC CL A         1,175         10/22/07         10/06/09         1,150         5,598         (4,448)           REPUBLIC SERVICES INC         1,433         10/30/07         10/23/09         38,731         39,695         (964)           GOLD RESERVE INC CL A         884         10/22/07         10/16/09         787         4,211         (3,425)           TECH DATA CORP         40         04/04/07         06/01/09         1,306         1,438         (132)           REPUBLIC SERVICES INC         547         08/09/07         10/23/09         14,784         14,414         370           DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC	REPUBLIC SERVICES INC	1,560	12/19/07	10/23/09	42,163	36,490	5,673
GOLD RESERVE INC CL A         1,175         10/22/07         10/06/09         1,150         5,598         (4,448)           REPUBLIC SERVICES INC         1,433         10/30/07         10/23/09         38,731         39,695         (964)           GOLD RESERVE INC CL A         884         10/22/07         10/16/09         787         4,211         (3,425)           TECH DATA CORP         40         04/04/07         06/01/09         1,306         1,438         (132)           REPUBLIC SERVICES INC         547         08/09/07         10/23/09         14,784         14,414         370           DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         11         52         (42)           ALAMO GROUP INC         113         08/02/07         12/24/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC <td>PEABODY ENERGY CORP</td> <td>557</td> <td>07/26/07</td> <td>06/10/09</td> <td>19,646</td> <td>21,726</td> <td>(2,080)</td>	PEABODY ENERGY CORP	557	07/26/07	06/10/09	19,646	21,726	(2,080)
REPUBLIC SERVICES INC         1,433         10/30/07         10/23/09         38,731         39,695         (964)           GOLD RESERVE INC CL A         884         10/22/07         10/16/09         787         4,211         (3,425)           TECH DATA CORP         40         04/04/07         06/01/09         1,306         1,438         (132)           REPUBLIC SERVICES INC         547         08/09/07         10/23/09         14,784         14,414         370           DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         11         52         (42)           ALAMO GROUP INC         113         08/02/07         12/24/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW	REPUBLIC SERVICES INC	432	02/15/07	01/02/09	10,766	12,282	(1,516)
GOLD RESERVE INC CL A         884         10/22/07         10/16/09         787         4,211         (3,425)           TECH DATA CORP         40         04/04/07         06/01/09         1,306         1,438         (132)           REPUBLIC SERVICES INC         547         08/09/07         10/23/09         14,784         14,414         370           DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         11         52         (42)           ALAMO GROUP INC         113         08/02/07         12/24/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC	GOLD RESERVE INC CL A	1,175	10/22/07	10/06/09	1,150	5,598	(4,448)
TECH DATA CORP         40         04/04/07         06/01/09         1,306         1,438         (132)           REPUBLIC SERVICES INC         547         08/09/07         10/23/09         14,784         14,414         370           DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         11         52         (42)           ALAMO GROUP INC         113         08/02/07         12/24/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC         2,125         03/14/07         10/26/09         5,397         21,569         (16,172)	REPUBLIC SERVICES INC	1,433	10/30/07	10/23/09	38,731	39,695	(964)
REPUBLIC SERVICES INC         547         08/09/07         10/23/09         14,784         14,414         370           DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         11         52         (42)           ALAMO GROUP INC         113         08/02/07         12/24/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC         2,125         03/14/07         10/26/09         5,397         21,569         (16,172)	GOLD RESERVE INC CL A	884	10/22/07	10/16/09	787	4,211	(3,425)
DOMTAR CORPORATION NEW         1         03/09/07         06/10/09         11         52         (42)           ALAMO GROUP INC         113         08/02/07         12/24/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC         2,125         03/14/07         10/26/09         5,397         21,569         (16,172)				06/01/09		1,438	
ALAMO GROUP INC         113         08/02/07         12/24/09         1,815         2,881         (1,066)           GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC         2,125         03/14/07         10/26/09         5,397         21,569         (16,172)	REPUBLIC SERVICES INC	547			14,784	· ·	370
GOLD RESERVE INC CL A         3,800         05/15/07         10/06/09         3,719         22,358         (18,639)           ALAMO GROUP INC         655         07/31/07         12/24/09         10,521         16,849         (6,328)           GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC         2,125         03/14/07         10/26/09         5,397         21,569         (16,172)							
ALAMO GROUP INC     655     07/31/07     12/24/09     10,521     16,849     (6,328)       GOLD RESERVE INC CL A     2,806     05/09/07     10/06/09     2,746     19,997     (17,251)       REPUBLIC SERVICES INC     128     07/31/06     01/02/09     3,190     2,857     333       DOMTAR CORPORATION NEW     306     03/09/07     09/14/09     11,134     27,380     (16,246)       WARREN RESOURCES INC     2,125     03/14/07     10/26/09     5,397     21,569     (16,172)							
GOLD RESERVE INC CL A         2,806         05/09/07         10/06/09         2,746         19,997         (17,251)           REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC         2,125         03/14/07         10/26/09         5,397         21,569         (16,172)							
REPUBLIC SERVICES INC         128         07/31/06         01/02/09         3,190         2,857         333           DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC         2,125         03/14/07         10/26/09         5,397         21,569         (16,172)							
DOMTAR CORPORATION NEW         306         03/09/07         09/14/09         11,134         27,380         (16,246)           WARREN RESOURCES INC         2,125         03/14/07         10/26/09         5,397         21,569         (16,172)							
WARREN RESOURCES INC 2,125 03/14/07 10/26/09 5,397 21,569 (16,172)							
בוחוא שטבט בוט ADK 484 06/15/06 02/18/09 10,999 9,544 1,455							
	LINIK GOLD LTD ADK	484	06/15/06	02/18/09	10,999	9,544	1,455

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
REPUBLIC SERVICES INC	602	02/16/07	10/23/09	16,271	17,416	(1,145)
APEX SILVER MINES LTD	642	05/02/06	01/07/09	465	11,039	(10,573)
REPUBLIC SERVICES INC	377	02/15/07	10/23/09	10,189	10,718	(529)
TECH DATA CORP	366	07/26/06	04/06/09	8,433	13,323	(4,890)
APEX SILVER MINES LTD	389	04/26/06	01/07/09	282	8,076	(7,794)
APEX SILVER MINES LTD	452	05/15/06	02/04/09	21	7,622	(7,601)
APEX SILVER MINES LTD	2,134	05/12/06	02/04/09	99	36,715	(36,616)
APEX SILVER MINES LTD	559	05/11/06	02/04/09	26	9,695	(9,669)
WARREN RESOURCES INC	181	01/29/07	10/26/09	460	1,986	(1,526)
WARREN RESOURCES INC	375	01/26/07	10/26/09	952	4,123	(3,170)
WARREN RESOURCES INC	629	01/25/07	10/26/09	1,598	6,892	(5,294)
APEX SILVER MINES LTD	588	05/05/06	02/04/09	27	10,183	(10,156)
WARREN RESOURCES INC	172	01/24/07	10/26/09	437	1,890	(1,453)
APEX SILVER MINES LTD	2,936	05/02/06	02/04/09	137	50,482	(50,345)
SCHOLASTIC CP	113	06/22/06	04/01/09	1,737	2,938	(1,201)
SCHOLASTIC CP	749	06/20/06	04/01/09	11,516	19,332	(7,816)
IVANHOE MINES LTD	1,650	05/30/06	03/27/09	9,608	11,473	(1,865)
TECH DATA CORP	292	07/27/06	06/01/09	9,535	10,702	(1,167)
TECH DATA CORP	528	07/26/06	06/01/09	17,242	19,220	(1,978)
SCHOLASTIC CP	312	06/22/06	05/04/09	6,150	8,113	(1,963)
APEX SILVER MINES LTD	4,619	01/20/06	01/07/09	3,347	70,837	(67,490)
IVANHOE MINES LTD	575	06/22/06	07/10/09	4,678	3,627	1,050
TYSON FOODS INC CL A	645	03/29/06	05/06/09	7,888	8,812	(924)
IVANHOE MINES LTD	744	05/30/06	07/10/09	6,052	5,173	879
IVANHOE MINES LTD	1,109	06/22/06	11/23/09	14,162	6,996	7,166
IVANHOE MINES LTD	184	06/22/06	11/25/09	2,344	1,161	1,183
SCHOLASTIC CP	28	06/22/06 09/20/05	12/11/09	781	728	53
TECH DATA CORP	106		04/06/09	2,442	3,814	(1,372)
A G C O CORP	612	10/27/05	06/09/09	17,852 310	9,108	8,744
APEX SILVER MINES 2 7/8 3-15-24 APEX SILVER MINES 2 7/8 3-15-24	2,000 1,000	06/28/05 06/08/05	03/25/09 03/25/09	155	1,320 683	(1,010) (528)
APEX SILVER MINES 2 7/8 3-15-24 APEX SILVER MINES 2 7/8 3-15-24	60,000	06/01/05	03/25/09	9,288	40,950	(31,662)
APEX SILVER MINES 2 7/8 3-15-24  APEX SILVER MINES 2 7/8 3-15-24	23,000	05/25/05	03/25/09	3,560	14,001	(10,441)
LIHIR GOLD LTD ADR	23,000	02/10/05	01/06/09	49,559	20,669	28,889
LIHIR GOLD LTD ADR	1,198	02/09/05	01/06/09	23,979	9,615	14,364
LIHIR GOLD LTD ADR	232	02/11/05	01/23/09	4,566	1,990	2,576
LIHIR GOLD LTD ADR	444	02/10/05	01/23/09	8,739	3,706	5,033
LIHIR GOLD LTD ADR	758	02/11/05	02/18/09	17,226	6,503	10,723
OREZONE RESOURCES INC	118	12/02/04	02/26/09	10	132	(122)
OREZONE RESOURCES INC	76	12/02/04	02/26/09	120	85	35
OREZONE RESOURCES INC	110	12/01/04	02/26/09	9	127	(118)
OREZONE RESOURCES INC	71	12/01/04	02/26/09	112	82	30
OREZONE RESOURCES INC	632	11/29/04	02/26/09	52	693	(642)
OREZONE RESOURCES INC	404	11/29/04	02/26/09	639	444	195
OREZONE RESOURCES INC	500	11/23/04	02/26/09	41	610	(569)
OREZONE RESOURCES INC	320	11/23/04	02/26/09	506	390	116
OREZONE RESOURCES INC	118	11/10/04	02/26/09	10	149	(139)
OREZONE RESOURCES INC	76	11/10/04	02/26/09	120	95	24
OREZONE RESOURCES INC	5,185	11/01/04	02/26/09	425	6,376	(5,951)
OREZONE RESOURCES INC	3,319	11/01/04	02/26/09	5,245	4,081	1,164
KOREA ELECTRIC POWER CORP ADS	3,383	10/05/04	11/20/09	47,638	36,320	11,317
AMERICAN EXPRESS CO COM	4,975	03/03/08	03/09/09	53,105	209,865	(156,760)
LINDE AG NPV	770	10/27/08	12/01/09	96,117	51,228	44,888
AMERICAN EXPRESS CO COM	3,400	01/11/08	03/09/09	36,293	148,079	(111,786)
HSBC HLDGS ORD USD0 50 (UK)	132	11/21/07	01/30/09	1,054	2,222	(1,168)
TAKATA CORPORATION NPV	3,700	12/20/07	03/05/09	23,330	104,408	(81,078)
TAKATA CORPORATION NPV	700	11/21/07	03/05/09	4,414	19,722	(15,309)
INDEPENDENT NEWS & MEDIA ORD	65,725	11/23/07	03/13/09	13,832	214,609	(200,777)
TAKATA CORPORATION NPV	3,300	11/07/07	03/05/09	20,807	113,305	(92,498)
FURSYS KRW1000	200	01/11/08	05/14/09	3,911	4,745	(835)
HSBC HLDGS ORD USD0 50 (UK)	125	08/15/07	01/30/09	998	2,201	(1,203)
BANCO POPOLARE SOC COOPERATIVA	8,775	08/28/07	03/16/09	25,305	219,704	(194,398)
KOREA EXCHANGE BK KSWN5000	470	11/02/07	07/20/09	4,010	7,431	(3,421)
KOREA EXCHANGE BK KSWN5000	860	01/03/08	10/15/09	11,019	12,894	(1,874)
HSBC HLDGS ORD USD0 50 (UK)	6,025	03/27/07	01/23/09	42,117	105,481	(63,364)

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
FURSYS KRW1000	455	01/11/08	11/16/09	10,745	10,795	(51)
HSBC HLDGS ORD USD0 50 (UK)	6,920	03/27/07	01/30/09	55,233	121,150	(65,917)
FURSYS KRW1000	1,570	01/11/08	11/24/09	37,817	37,250	567
KOREA EXCHANGE BK KSWN5000	4,155	11/02/07	10/06/09	49,706	65,696	(15,991)
KOREA EXCHANGE BK KSWN5000	2,405	11/02/07	10/15/09	30,816	38,026	(7,210)
MEDIASET EURO 52	7,150	03/16/07	04/22/09	37,122	77,014	(39,892)
HOME DEPOT INC COM	1,295	10/25/06	03/04/09	24,539	47,278	(22,740)
MEDIASET EURO 52	13,220	09/14/06	04/22/09	68,637	142,104	(73,467)
MEDIASET EURO 52	20,220	06/16/06	04/22/09	104,980	224,696	(119,716)
WAL MART STORES INC COM	2,360	03/29/06	03/03/09	112,788	113,241	(454)
ARNOLDO MONDADORI EDITORE SPA	22,415	10/25/05	09/09/09	101,924	210,337	(108,412)
TELEGRAAF MEDIA GROEP CVA	3,800	05/16/03	01/08/09	61,940	63,703	(1,763)
TELEGRAAF MEDIA GROEP CVA	235	02/26/03	01/08/09	3,830	3,526	304
NESTLE SA CHF0 1 (REGD)	2,120	02/07/03	04/15/09	72,165	41,698	30,467
PUBLIGROUPE AG CHF1 REGD	0	02/07/03	05/18/09	18	36	(18)
CARGOTEC ORD NPV ORD B	4,105	03/12/03	07/15/09	68,390	44,631	23,759
ARNOLDO MONDADORI EDITORE SPA	10,200	02/11/03	09/09/09	46,381	59,617	(13,236)
HEINEKEN HOLDING EUR1 6	1,820	02/07/03	09/09/09	66,719	37,836	28,882
GRUPO CONTL SAB COM SER I	24,825	03/05/03	10/07/09	51,102	30,919	20,183
COCA-COLA FEMSA SAB DE CV ADR	2,235	02/07/03	10/12/09	113,589	40,853	72,737
AXEL SPRINGER AG	830	03/14/03	12/18/09	91,204	37,634	53,570
AXEL SPRINGER AG	745	03/14/03	12/22/09	83,215	33,780	49,435
HEINEKEN HOLDING EUR1 6	2,680	02/07/03	12/01/09	112,272	55,715	56,557
COCA-COLA FEMSA SAB DE CV ADR	1,795	02/07/03	12/02/09	104,275	32,810	71,465
3M CO	490	11/19/08	12/02/09	38,314	29,740	8,574
3M CO	815	10/10/08	11/11/09	63,818	42,639	21,179
HSBC HLDGS ORD USD0 50 (UK)	138	11/21/07	01/30/09	1,101	2,333	(1,231)
INDEPENDENT NEWS & MEDIA ORD	18,010	10/15/07	01/28/09	7,966	71,790	(63,824)
INDEPENDENT NEWS & MEDIA ORD	8,970	09/25/07	01/28/09	3,967	36,669	(32,702)
INDEPENDENT NEWS & MEDIA ORD	22,185	09/20/07	01/28/09	9,813	96,609	(86,797)
US BANCORP DEL COM NEW	1,995	10/12/07	03/05/09	18,529	65,476	(46,947)
HSBC HLDGS ORD USD0 50 (UK)	131	08/15/07	01/30/09	1,046	2,310	(1,265)
UST INC COM	1,180	07/10/07	01/06/09	82,010	61,795	20,215
HSBC HLDGS ORD USD0 50 (UK)	1,545	07/11/07	01/23/09	10,800	28,659	(17,859)
HSBC HLDGS ORD USD0 50 (UK)	2,145	07/11/07	01/30/09	17,120	39,789	(22,670)
US BANCORP DEL COM NEW	5,850	07/18/07	03/05/09	54,332	188,076	(133,744)
SIGNET JEWELERS LTD ORD	3,411	11/02/07	06/26/09	68,812	127,302	(58,490)
HSBC HLDGS ORD USD0 50 (UK)	9,900	05/08/07	01/23/09	69,205	185,830	(116,625)
KOREA EXCHANGE BK KSWN5000	7,985	11/02/07	07/23/09	69,012	126,254	(57,242)
TELEFONICA SA EUR1	3,855	05/14/07	02/03/09	70,306	87,072	(16,766)
GENERAL ELEC CO COM	1,570	06/05/07	03/03/09	11,077	58,895	(47,818)
ARNOLDO MONDADORI EDITORE SPA	2,380	07/11/07	04/15/09	8,310	23,756	(15,446)
ARNOLDO MONDADORI EDITORE SPA	5,880	06/22/07	04/15/09	20,531	56,173	(35,642)
GENERAL ELEC CO COM	3,325	05/07/07	03/03/09	23,459	123,976	(100,517)
ARNOLDO MONDADORI EDITORE SPA	16,810	05/08/07	04/15/09	58,696	184,130	(125,434)
KIMBERLY CLARK DE MEXICO SAB	16,975	07/18/07	06/26/09	67,364	75,499	(8,135)
REYNOLDS AMERN INC	1,305	05/07/07	04/29/09	53,905	83,868	(29,963)
UNILEVER NV CVA EURO 16	1,360	08/01/07	08/06/09	38,673	40,556	(1,883)
TELEFONICA SA EUR1	2,655	05/14/07	07/13/09	58,509	59,968	(1,458)
KONE CORPORATION NPV ORD B	2,905	11/07/08	12/29/09	124,962	61,225	63,737
	•	LONG TERM	_	10,386,204	13,537,509	(3,151,305)
		GRAND TOTAL	=	14,685,616	17,695,612	(3,009,997)

## THE CALDWELL FOUNDATION 75-6004080 SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES

2009

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
BRITISH POUND STERLING	US DOLLAR	01/06/09	01/07/09	0	0	_
BRITISH POUND STERLING		01/06/09	01/07/09	0	0	_
EURO CURRENCY UNIT	U S DOLLAR	01/06/09	01/07/09	0	0	(0)
EURO CURRENCY UNIT	U S DOLLAR	01/06/09	01/07/09	9	10	(0)
MEXICAN NEW PESO	U S DOLLAR	01/06/09	01/07/09	0	0	-
SWISS FRANC	U S DOLLAR	01/06/09	01/07/09	1	1	(0)
SWISS FRANC	U S DOLLAR	01/06/09	01/07/09	1	1	(0)
US DOLLAR	JAPANESE YEN	12/03/08	01/09/09	61,863	60,087	1,776
US DOLLAR	JAPANESE YEN	10/14/08	01/09/09	144,100	128,981	15,119
	U S DOLLAR	01/09/09	01/12/09	1,582	1,614	(31)
EURO CURRENCY UNIT	U S DOLLAR	01/12/09	01/13/09	3,469	3,828	(359)
EURO CURRENCY UNIT	U S DOLLAR	01/08/09	01/14/09	65,720	63,172	2,548
US DOLLAR	EURO CURRENCY UNIT	01/13/09	01/16/09	22,709	22,593	116
US DOLLAR	NORWEGIAN KRONE	01/16/09	01/21/09	24,974	25,091	(118)
BRITISH POUND STERLING	U S DOLLAR	01/22/09	01/23/09	. 9	10	` (1)
US DOLLAR	SOUTH KOREAN WON	01/21/09	01/23/09	87,950	89,000	(1,049)
BRITISH POUND STERLING	U S DOLLAR	01/27/09	01/28/09	1,370	1,400	(30)
BRITISH POUND STERLING	U S DOLLAR	01/23/09	01/29/09	42,437	44,090	(1,653)
BRITISH POUND STERLING	U S DOLLAR	01/23/09	01/29/09	80,614	83,861	(3,247)
US DOLLAR	EURO CURRENCY UNIT	01/27/09	01/30/09	152,693	157,423	(4,730)
EURO CURRENCY UNIT	U S DOLLAR	01/28/09	02/03/09	21,605	21,058	547
EURO CURRENCY UNIT	U S DOLLAR	02/04/09	02/05/09	1	1	-
EURO CURRENCY UNIT	U S DOLLAR	02/04/09	02/05/09	0	0	=
NORWEGIAN KRONE	U S DOLLAR	02/04/09	02/05/09	1	1	0
BRITISH POUND STERLING	U S DOLLAR	01/30/09	02/05/09	58,717	59,039	(322)
BRITISH POUND STERLING	US DOLLAR	01/30/09	02/05/09	20,637	20,750	(113)
EURO CURRENCY UNIT	U S DOLLAR	02/03/09	02/09/09	70,211	69,677	534
US DOLLAR	SWISS FRANC	02/06/09	02/11/09	55,499	55,427	72
US DOLLAR	MEXICAN NEW PESO	11/11/08	02/11/09	93,365	102,799	(9,435)
US DOLLAR	MEXICAN NEW PESO	11/11/08	02/11/09	-	-	-
BRITISH POUND STERLING	U S DOLLAR	02/17/09	02/18/09	1,793	1,823	(30)
US DOLLAR	JAPANESE YEN	02/18/09	02/20/09	261,578	263,059	(1,481)
US DOLLAR	JAPANESE YEN	12/03/08	02/20/09	31,861	32,348	(487)
US DOLLAR	JAPANESE YEN	12/03/08	02/20/09	-	-	-
US DOLLAR	EURO CURRENCY UNIT	11/20/08	02/26/09	113,945	112,163	1,783
SWISS FRANC	U S DOLLAR	03/02/09	03/03/09	7,386	7,476	(91)
SWISS FRANC	U S DOLLAR	03/02/09	03/03/09	1,455	1,473	(18)
EURO CURRENCY UNIT	U S DOLLAR	03/04/09	03/05/09	0	0	-
EURO CURRENCY UNIT	U S DOLLAR	03/06/09	03/09/09	4,959	4,918	41
EURO CURRENCY UNIT	U S DOLLAR	03/06/09	03/09/09	1,720	1,706	14
JAPANESE YEN	U S DOLLAR	03/06/09	03/09/09	543	540	2
JAPANESE YEN	U S DOLLAR	03/06/09	03/09/09	209	208	1
		03/10/09	03/11/09	1,374	1,374	(1)
JAPANESE YEN	U S DOLLAR	03/05/09	03/11/09	48,637	48,477	161
JAPANESE YEN	U S DOLLAR	03/05/09	03/11/09	46,326	46,173	153
U S DOLLAR	MEXICAN NEW PESO	03/10/09	03/12/09	75,144	73,094	2,050
U S DOLLAR	EURO CURRENCY UNIT	03/09/09	03/12/09	33,403	32,880	523
SWISS FRANC EURO CURRENCY UNIT	U S DOLLAR	03/17/09	03/18/09	1,236	1,237	(1)
	U S DOLLAR	03/18/09	03/19/09	2,386	2,390	(5)
EURO CURRENCY UNIT	U S DOLLAR	03/13/09	03/19/09	13,848	14,463	(615)
EURO CURRENCY UNIT U S DOLLAR	U S DOLLAR EURO CURRENCY UNIT	03/16/09	03/20/09	25,287 26,373	26,246 26,534	(959) (161)
U S DOLLAR U S DOLLAR	EURO CURRENCY UNIT	03/19/09	03/23/09 03/26/09	26,373 180,400	26,534 179,875	(161) 526
U S DOLLAR U S DOLLAR	CANADIAN DOLLAR	03/24/09 09/30/08	03/26/09	80,718	94,332	
EURO CURRENCY UNIT	U S DOLLAR	03/30/08	03/27/09	136	94,332 137	(13,614)
LONG CORNEINCT OINT	0 0 DOLLAR	03/30/08	03/31/08	130	13/	(1)

### THE CALDWELL FOUNDATION 75-6004080

### SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES 2009

US DOLLAR	PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	соѕт	GAIN/(LOSS)
JAPANESEYEN	US DOLLAR	EURO CURRENCY UNIT	03/27/09	03/31/09	23,718	23,751	(32)
EURO CURRENCY UNIT   U.S. DOLLAR   040309   040609   0	SOUTH KOREAN WON	U S DOLLAR	03/31/09	04/01/09	801	801	(0)
MEXICAN NEWFESO	JAPANESE YEN	U S DOLLAR	04/01/09	04/02/09	2,643	2,692	(49)
SOUTH KOREAN WON US DOLLAR 04/06/09 04/08/09 3,441 (32) BRITISH POUND STERLING US DOLLAR 04/07/09 04/08/09 1,833 1,842 (8) BRITISH POUND STERLING US DOLLAR 04/07/09 04/08/09 1,833 1,842 (8) BRITISH POUND STERLING US DOLLAR 04/07/09 04/08/09 1,833 1,842 (8) BRITISH POUND STERLING US DOLLAR 04/18/09 04/18/09 2,55,341 1,862 2,48 BRITISH POUND STERLING US DOLLAR 04/18/09 04/18/09 1,887 1,862 2,48 BRITISH POUND STERLING US DOLLAR 04/18/09 04/18/09 1,777 1,783 (6) SOUTH KOREAN WON US DOLLAR 04/18/09 04/18/09 1,031 1,035 (4) US DOLLAR 04/18/09 04/18/09 1,186 11,190 (3) BRITISH POUND STERLING 04/18/09 04/18/09 1,186 11,190 (3) US DOLLAR 04/18/09 04/18/09 1,186 1,190 (3) US DOLLAR 04/18/09 04/18/09 04/18/09 5,189 5,242 (10.3) US DOLLAR 04/18/09 04/18/09 04/18/09 7,286 1,700 (3) US DOLLAR 04/18/09 04/18/09 04/18/09 7,286 1,700 (3) US DOLLAR 04/18/09 04/18/09 04/18/09 1,186 1,190 (4) US DOLLAR 04/18/09 04/18/09 04/18/09 04/18/09 1,186 1,190 (4) US DOLLAR 04/18/09 04/18/09 04/18/09 04/18/09 04/18/09 1,186 1,190 (4) US DOLLAR 04	EURO CURRENCY UNIT	U S DOLLAR	04/03/09	04/06/09	0	0	-
BRITISH POUND STERLING US DOLLAR 04/07/09 04/08/09 1.833 1.842 (8) BRITISH POUND STERLING US DOLLAR 04/07/09 04/08/09 1.833 1.842 (8) BRITISH POUND STERLING US DOLLAR 04/08/09 04/13/09 255.341 250.408 4.833 BRITISH POUND STERLING US DOLLAR 04/13/09 04/13/09 1.837 1.862 24 SOUTH KOREAN WON US DOLLAR 04/13/09 04/13/09 1.777 1.783 (6) SOUTH KOREAN WON US DOLLAR 04/13/09 04/13/09 1.777 1.783 (6) SOUTH KOREAN WON US DOLLAR 04/13/09 04/13/09 1.18.136 117,888 237 SWISS FRANC US DOLLAR 04/13/09 04/13/09 1.18.136 117,888 237 SWISS FRANC US DOLLAR 04/13/09 04/13/09 1.18.136 117,888 237 SWISS FRANC US DOLLAR 04/15/09 04/13/09 1.18.136 117,888 237 SWISS FRANC US DOLLAR 04/15/09 04/13/09 1.18.136 117,888 237 SWISS FRANC 04/15/09 04/13/09 1.18.136 117,888 237 SWISS FRANC US DOLLAR 04/15/09 04/13/09 1.18.136 117,888 237 SWISS FRANC 04/15/09 04/13/09 04/13/09 1.18.136 117,888 254 237 SWISS FRANC 04/15/09 04/13/09 04/13/09 1.18.136 117,888 254 237 SWISS FRANC 04/15/09 04/13/09 04/13/09 250.09 250.49 250.99							33
BRITISH POUND STERLING US DOLLAR MEXICAN NEW PESO 040/09/09 04/09/09 255,341 526,049 4,933 8RITISH POUND STERLING US DOLLAR 04/15/09 04/15/09 1,887 1,862 24 1,933 8RITISH POUND STERLING US DOLLAR 04/15/09 04/15/09 1,777 1,783 (6) 50/14 KOREAN WON US DOLLAR 04/15/09 04/15/09 1,178 1							(120)
US DOLLAR  MEXICAN NEWPESO 04/0809 04/13/09 255,341 250.408 4,332  SOUTH KOREAN WON US DOLLAR 04/14/09 04/15/09 1,887 1,982 24  SOUTH KOREAN WON US DOLLAR 04/15/09 04/15/09 1,887 1,982 24  SOUTH KOREAN WON US DOLLAR 04/15/09 04/15/09 1,187 1,773 (6)  SOUTH KOREAN WON US DOLLAR 04/15/09 04/15/09 1,188 1,190 (3)  US DOLLAR 04/15/09 04/15/09 1,188 1,190 (3)  SWISS FRANC US DOLLAR 04/15/09 04/17/09 1,188 1,190 (3)  BRITISH POUND STERLING 04/15/09 04/21/09 87,654 85,831 1,824  SWISS FRANC US DOLLAR 04/15/09 04/21/09 87,654 85,831 1,824  US DOLLAR 04/15/09 04/21/09 97,2361 85,831 1,824  US DOLLAR 04/15/09 04/21/09 97,654 85,831 1,824  US DOLLAR 04/15/09 04/21/09 97,2361 85,831 1,824  US DOLLAR 04/21/09 04/21/09 1,835 5,267 79  US DOLLAR 04/21/09 04/21/09 04/21/09 6,837 6,817 20  US DOLLAR 04/21/09 04/22/09 04/27/09 6,837 6,817 20  US DOLLAR 04/21/09 04/22/09 04/28/09 27,166 27,427 (241)  US DOLLAR 04/21/09 04/22/09 04/28/09 27,166 27,427 (241)  US DOLLAR 04/21/09 04/22/09 04/28/09 27,166 27,427 (241)  US DOLLAR 04/21/09 04/22/09 04/28/09 12/4/5 (27/16 (20/22)  US DOLLAR 04/21/09 04/22/09 04/28/09 12/4/5 (27/16 (20/22)  US DOLLAR 04/21/09 04/28/09 12/4/5 (27/16 (20/22)  US DOLLAR 04/21/09 04/28/09 12/4/5 (27/16 (20/22)  US DOLLAR 04/21/09 04/28/09 12/4/5 (25/16 (20/22)  US DOL							
RRTITISH POUND STERLING US DOLLAR OV11509 OV1160REAN WON US DOLLAR OV1509 OV1160P SOUTH KOREAN WON US DOLLAR OV1509 OV1160P US DOLLAR US							
SOUTH KOREAN WON US DOLLAR 04/15/09 04/16/09 1,277 1,783 (6) SOUTH KOREAN WON US DOLLAR 04/15/09 04/16/09 1,031 1,035 (4) US DOLLAR US DOLLAR 04/16/09 04/16/09 1,186 1,178,88 2,37 SWSS FRANC US DOLLAR 04/16/09 04/17/09 1,186 1,190 (3) US DOLLAR BRITISH POUND STERLING 04/16/09 04/21/09 5,139 5,242 (103) EURO CURRENCY UNIT US DOLLAR 04/16/09 04/21/09 87,654 85,831 1,824 WINDS FRANC US DOLLAR 04/16/09 04/21/09 87,654 05,831 1,824 WINDS FRANC US DOLLAR 04/16/09 04/21/09 72,361 70,705 1,656 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 26,029 25,499 571 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 6,837 6,817 20 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 6,837 6,817 20 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 6,837 6,817 20 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 6,837 6,817 20 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 6,837 6,817 20 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 6,837 6,817 20 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 15,335 5,2657 79 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 15,335 5,2657 79 EURO CURRENCY UNIT US DOLLAR 04/21/09 04/21/09 15,335 5,2657 79 EURO CURRENCY UNIT US DOLLAR EURO CURRENCY UNIT 03/10/09 04/21/09 11,475 116,613 4,862 US DOLLAR EURO CURRENCY UNIT 01/26/09 04/21/09 185,745 177,800 7,945 US DOLLAR EURO CURRENCY UNIT 01/26/09 04/29/09 132,675 175,509 2,846 US DOLLAR EURO CURRENCY UNIT 01/26/09 04/29/09 238,815 235,969 2,846 US DOLLAR EURO CURRENCY UNIT 01/26/09 04/29/09 132,675 175,509 2,846 US DOLLAR EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 236,619 240,440 (4,020) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 236,619 240,440 (4,020) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 236,619 240,440 (4,020) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 236,619 240,666 23,856 210 EURO CURRENCY UNIT US DOLLAR 05/30/30/9 05/04/09 27,771 9,804 (34) 15/30/30/9 15/30/30/9 13,937 (1,5) 15/30/30/9 14,937 (1,5) 15/30/30/9 15/30/30/9 14,937 (1,5) 15/30/30/9 14,937							
SOUTH KOREAN WON   U.S. DOLLAR							
US DOLLAR  US DOLLAR  BRITISH POUND STERLING  04/16/09  04/16/09  04/16/09  118.136  117.888  237  US DOLLAR  BRITISH POUND STERLING  04/16/09  04/21/09  51.39  5.242  (103)  US DOLLAR  US DOLLAR  04/16/09  04/21/09  72.361  70.705  1.656  EURO CURRENCY UNIT  US DOLLAR  04/16/09  04/21/09  72.361  70.705  1.656  EURO CURRENCY UNIT  US DOLLAR  04/22/09  04/23/09  4.676  4.680  (4)  US DOLLAR  04/21/09  04/27/09  6.837  6.817  20  EURO CURRENCY UNIT  US DOLLAR  04/21/09  04/22/09  04/28/09  27.166  27.427  (241)  EURO CURRENCY UNIT  US DOLLAR  04/22/09  04/28/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  US DOLLAR  04/22/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  US DOLLAR  04/22/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  96  US DOLLAR  EURO CURRENCY UNIT  02/27/09  04/28/09  18.080  17.985  97  18.680  19.680  19.786  19.880  19.886  19.880  17.980  19.880						•	
SWISS FRANC   U.S. DOLLAR   0.4/16/09   0.4/17/09   1.186   1.190   (3)							
US DOLLAR         BRITISH POUND STERLING         04/16/09         04/21/09         5139         5242         (102)           EURO CURRENCY UNIT         U S DOLLAR         04/15/09         04/21/09         87,654         85,831         1,824           SWISS FRANC         U S DOLLAR         04/15/09         04/21/09         72,361         70,705         1,656           EURO CURRENCY UNIT         U S DOLLAR         04/22/09         04/23/09         4,676         4,680         (4)           EURO CURRENCY UNIT         U S DOLLAR         04/24/09         04/27/09         6,837         6,817         20           EURO CURRENCY UNIT         U S DOLLAR         04/24/09         04/27/09         5,335         5,257         79           EURO CURRENCY UNIT         U S DOLLAR         04/27/09         04/28/09         27,186         27,427         (241)           EURO CURRENCY UNIT         U S DOLLAR         04/27/09         04/29/09         18,080         17,995         96           U S DOLLAR         EURO CURRENCY UNIT         02/27/09         04/29/09         18,080         17,995         96           U S DOLLAR         EURO CURRENCY UNIT         02/27/09         04/29/09         12,475         116,613         4,862							
EURO CURRENCY UNIT							
SMISS FRANC							
BURD CURRENCY UNIT   US DOLLAR   04/22/09   04/23/09   26,029   25,459   571							
US DOLLAR         SWISS FRANC         04/21/09         04/24/09         26,029         25,459         571           EURO CURRENCY UNIT         US DOLLAR         04/24/09         04/27/09         6,837         6,817         20           EURO CURRENCY UNIT         US DOLLAR         04/24/09         04/27/09         5,335         5,257         79           EURO CURRENCY UNIT         US DOLLAR         04/27/09         04/28/09         27,166         27,427         (241)           EURO CURRENCY UNIT         US DOLLAR         04/27/09         04/28/09         210,714         212,716         (2,002)           US DOLLAR         BRITISH POUND STERLING         04/29/09         18,080         17,985         96           US DOLLAR         EURO CURRENCY UNIT         03/1009         04/29/09         180,000         17,985         96           US DOLLAR         EURO CURRENCY UNIT         04/29/09         185,745         117,805         96           US DOLLAR         EURO CURRENCY UNIT         01/26/09         04/29/09         238,815         235,969         2,846           US DOLLAR         EURO CURRENCY UNIT         01/2008         04/29/09         132,675         125,490         7,185           US DOLLAR         04/2009					· ·		
EURO CURRENCY UNIT         U S DOLLAR         04/24/09         04/27/09         6,837         6,817         20           EURO CURRENCY UNIT         U S DOLLAR         04/27/09         04/27/09         5,335         5,257         79           EURO CURRENCY UNIT         U S DOLLAR         04/27/09         04/28/09         27,186         27,427         (241)           EURO CURRENCY UNIT         U S DOLLAR         04/22/09         04/28/09         210,714         212,716         (2,002)           U S DOLLAR         BRITISH POUND STERLING         04/28/09         210,714         212,716         (2,002)           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         04/29/09         18,080         17,985         96           U S DOLLAR         EURO CURRENCY UNIT         01/26/09         04/29/09         185,755         177,800         7,945           U S DOLLAR         EURO CURRENCY UNIT         11/26/09         04/29/09         132,675         125,490         7,185           U S DOLLAR         EURO CURRENCY UNIT         11/26/09         04/29/09         132,675         125,490         7,185           U S DOLLAR         04/30/09         05/04/09         16,934         16,980         (46)           U S DOLLAR							
EURO CURRENCY UNIT         U S DOLLAR         04/24/09         04/27/09         5,335         5,257         79           EURO CURRENCY UNIT         U S DOLLAR         04/27/09         04/28/09         27,186         27,427         (241)           U S DOLLAR         BRITISH POUND STERLING         04/22/09         04/28/09         18,080         17,985         96           U S DOLLAR         BRITISH POUND STERLING         04/24/09         04/29/09         18,080         17,985         96           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         04/29/09         185,745         117,600         7,945           U S DOLLAR         EURO CURRENCY UNIT         01/26/09         04/29/09         238,815         235,969         2,846           U S DOLLAR         EURO CURRENCY UNIT         11/20/08         04/29/09         238,815         235,969         7,945           U S DOLLAR         JAPANESE YEN         04/27/09         04/30/09         236,419         240,440         (4,020)           EURO CURRENCY UNIT         U S DOLLAR         04/30/09         05/04/09         2,399         2,807         (8)           EURO CURRENCY UNIT         U S DOLLAR         04/30/09         05/04/09         4,966         4,908         (12)							
EURO CURRENCY UNIT US DOLLAR 04/27/09 04/28/09 27,186 27,427 (241) EURO CURRENCY UNIT US DOLLAR 04/22/09 04/28/09 12,0714 212,716 (2,002) US DOLLAR BRITISH POUND STERLING 04/24/09 04/29/09 18,080 17,985 96 05 05 05 05 05 05 05 05 05 05 05 05 05					,		
US DOLLAR   BRITISH POUND STERLING   04/22/09   04/28/09   18,080   17,985   96   US DOLLAR   EURO CURRENCY UNIT   03/10/09   04/29/09   18,080   17,985   96   US DOLLAR   EURO CURRENCY UNIT   03/10/09   04/29/09   18,080   17,985   116,613   4,862   US DOLLAR   EURO CURRENCY UNIT   02/27/09   04/29/09   185,745   116,613   4,862   US DOLLAR   EURO CURRENCY UNIT   02/27/09   04/29/09   238,815   235,969   2,846   US DOLLAR   EURO CURRENCY UNIT   11/20/08   04/29/09   132,675   125,490   7,185   US DOLLAR   EURO CURRENCY UNIT   11/20/08   04/29/09   132,675   125,490   7,185   US DOLLAR							
U S DOLLAR         BRITISH POUND STERLING         04/224/09         18,080         17,985         96           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         04/29/09         12,475         116,613         4,862           U S DOLLAR         EURO CURRENCY UNIT         02/27/09         04/29/09         185,745         177,800         7,945           U S DOLLAR         EURO CURRENCY UNIT         01/26/09         04/29/09         132,675         125,490         7,185           U S DOLLAR         EURO CURRENCY UNIT         01/26/09         04/29/09         132,675         125,490         7,185           U S DOLLAR         JAPANESE YEN         04/27/09         04/30/09         16,934         16,980         (46)           EURO CURRENCY UNIT         U S DOLLAR         04/30/09         05/04/09         1,6934         16,980         (49           EURO CURRENCY UNIT         U S DOLLAR         04/30/09         05/04/09         9,771         9,804         (34           U S DOLLAR         04/30/09         05/06/09         9,771         9,804         (34           U S DOLLAR         04/30/09         05/06/09         9,771         9,804         (34           U S DOLLAR         05/05/09         05/06/09							
US DOLLAR EURO CURRENCY UNIT 03/10/09 04/29/09 121,475 116,613 4,862 US DOLLAR EURO CURRENCY UNIT 02/27/09 04/29/09 185,745 177,800 7,945 US DOLLAR EURO CURRENCY UNIT 01/26/09 04/29/09 135,745 177,800 7,945 US DOLLAR EURO CURRENCY UNIT 01/26/09 04/29/09 132,675 125,490 7,185 US DOLLAR EURO CURRENCY UNIT 11/20/08 04/29/09 132,675 125,490 7,185 US DOLLAR UJAPANESE YEN 04/27/09 04/30/09 236,419 240,440 (4,020) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 2,799 2,807 (8) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 2,799 2,807 (8) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 9,771 9,804 (34) US DOLLAR 04/30/09 05/04/09 9,771 9,804 (34) US DOLLAR 04/30/09 05/04/09 9,771 9,804 (34) US DOLLAR EURO CURRENCY UNIT US DOLLAR 04/30/09 05/06/09 7,583 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 7,583 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 7,583 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 1,487 1,440 47 MEXICAN NEW PESO US DOLLAR 05/05/09 05/06/09 1,487 1,440 47 MEXICAN NEW PESO US DOLLAR 05/05/09 05/06/09 10,837 10,812 25 US DOLLAR US DOLLAR 05/05/09 05/06/09 10,837 10,812 25 US DOLLAR US DOLLAR 05/05/09 05/06/09 10,837 10,812 25 US DOLLAR 05/05/09 05/06/09 05/06/09 10,837 10,812 25 US DOLLAR 05/05/09 05/05/09 2,766 2,764 (256) 2,764 (256) 2,764 (256) 2,764 (256) 2,764 (256) 2,764 (256) 2,764 (256) 2,764 (256) 2,7							• • • •
US DOLLAR EURO CURRENCY UNIT 02/27/09 04/29/09 185,745 177,800 7,945 US DOLLAR EURO CURRENCY UNIT 01/26/09 04/29/09 238,815 235,969 2,846 US DOLLAR EURO CURRENCY UNIT 11/20/08 04/29/09 132,675 125,490 7,185 US DOLLAR JAPANESE YEN 04/27/09 04/30/09 236,419 240,440 (4,020) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 2,799 2,807 (8) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 2,799 2,807 (8) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 4,896 4,908 (12) SWISS FRANC US DOLLAR 04/30/09 05/04/09 4,896 4,908 (12) SWISS FRANC US DOLLAR 04/30/09 05/04/09 4,896 4,908 (12) SWISS FRANC US DOLLAR 04/30/09 05/04/09 2,799 2,807 (8) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 4,896 4,908 (12) SWISS FRANC US DOLLAR 04/30/09 05/04/09 2,799 2,807 (8) EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 2,706 23,856 210 20 CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 7,783 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 7,583 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 7,583 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0							
US DOLLAR EURO CURRENCY UNIT 01/26/09 04/29/09 238,815 235,969 2,846 US DOLLAR EURO CURRENCY UNIT 11/20/08 04/29/09 132,675 125,490 7,185 US DOLLAR JAPANESE YEN 04/27/09 04/30/09 236,419 240,440 (4,020) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 16,934 16,980 (46) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 2,799 2,807 (8) EURO CURRENCY UNIT US DOLLAR 04/30/09 05/04/09 4,886 4,908 (12) SWISS FRANC US DOLLAR 04/30/09 05/04/09 9,771 9,804 (34) US DOLLAR 04/30/09 05/04/09 9,771 9,804 (34) US DOLLAR 04/30/09 05/04/09 9,771 9,804 (34) US DOLLAR 05/05/09 05/05/09 24,066 23,856 210 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 7,583 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 7,583 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 7,583 7,378 205 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/06/09 1,487 1,440 47 MEXICAN NEW PESO US DOLLAR 05/05/09 05/06/09 10,837 10,812 25 US DOLLAR JAPANESE YEN 05/01/09 05/08/09 10,837 10,812 25 US DOLLAR JAPANESE YEN 05/01/09 05/08/09 104,923 104,395 528 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/08/09 104,923 104,395 528 EURO CURRENCY UNIT US DOLLAR 05/05/09 05/11/09 11,664 11,517 147 MEXICAN NEW PESO US DOLLAR 05/05/09 05/11/09 11,664 11,517 147 MEXICAN NEW PESO US DOLLAR 05/08/09 05/11/09 11,664 11,517 147 MEXICAN NEW PESO US DOLLAR 05/08/09 05/11/09 2,727 2,697 30 BRITISH POUND STERLING US DOLLAR 05/18/09 05/11/09 2,727 2,697 30 BRITISH POUND STERLING US DOLLAR 05/18/09 05/14/09 3,342 3,357 (15) US DOLLAR EURO CURRENCY UNIT US DOLLAR 05/18/09 05/14/09 149,474 140,110 9,363 EURO CURRENCY UNIT US DOLLAR 05/18/09 05/18/09 27,209 27,464 (256) 05/18/09 05/18/09 3,391 3,393 (23) EURO CURRENCY UNIT US DOLLAR 05/18/09 05/18/09 3,391 3,393 (23) EURO CURRENCY UNIT US DOLLAR 05/18/09 05/18/09 3,911 3,934 (23) EURO CURRENCY UNIT US DOLLAR 05/18/09 05/20/09 8,032 8,017 15 US DOLLAR EURO CURRENCY UNIT US DOLLAR 05/18/09 05/20/09 8,032 8,017 15 US DOLLAR EURO CURRENCY UNIT US DOLLAR 05/18/09 05/20/09 198,297 195,753 2,544 EURO CURRENCY UNIT US DOLLAR 05/18/09 05/20/09 188,							
U S DOLLAR         EURO CURRENCY UNIT         11/20/08         04/29/09         132,675         125,490         7,185           U S DOLLAR         JAPANESE YEN         04/27/09         04/30/09         236,419         240,440         (4,020)           EURO CURRENCY UNIT         U S DOLLAR         04/30/09         05/04/09         2,799         2,807         (8)           EURO CURRENCY UNIT         U S DOLLAR         04/30/09         05/04/09         4,896         4,908         (12)           SWISS FRANC         U S DOLLAR         04/30/09         05/04/09         9,771         9,804         (34)           U S DOLLAR         EURO CURRENCY UNIT         U S DOLLAR         04/30/09         05/05/09         9,771         9,804         (34)           U S DOLLAR         EURO CURRENCY UNIT         U S DOLLAR         05/05/09         05/06/09         7,583         7,378         205           EURO CURRENCY UNIT         U S DOLLAR         05/05/09         05/06/09         7,583         7,378         205           EURO CURRENCY UNIT         U S DOLLAR         05/05/09         05/06/09         1,487         1,440         47           MEXICAN NEW PESO         U S DOLLAR         05/07/09         05/08/09         10,4923         104,395							
US DOLLAR US DOLLAR US DOLLAR US DOLLAR O4/30/09 05/04/09 16,934 16,930 (46) 16,930 (46) 16,934 16,930 (46) 16,930 16,934 16,930 (46) 16,930 16,934 16,930 16,930 16,931 16,931 16,930 16,931 16,930 16,931 16,930 16,931 16,931 16,930 16,931 16,931 16,930 16,931 16,931 16,931 16,930 16,931 16,931 16,931 16,931 16,931 16,931 16,931 16,930 16,931 1							
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U S DOLLAR         EURO CURRENCY UNIT         04/30/09         05/05/09         24,066         23,856         210           EURO CURRENCY UNIT         U S DOLLAR         05/05/09         05/06/09         7,583         7,378         205           EURO CURRENCY UNIT         U S DOLLAR         05/05/09         05/06/09         1,487         1,440         47           MEXICAN NEW PESO         U S DOLLAR         05/05/09         05/06/09         0         0         0           MEXICAN NEW PESO         U S DOLLAR         05/07/09         05/08/09         10,837         10,812         25           U S DOLLAR         05/07/09         05/08/09         104,923         104,395         528           EURO CURRENCY UNIT         U S DOLLAR         05/08/09         05/11/09         11,664         11,517         147           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         3,698         3,655         42           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         2,165         2,154         11           EURO CURRENCY UNIT         U S DOLLAR         05/08/09         05/11/09         3,342         3,357         (15)           U S DOLLAR         05/13/09							
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MEXICAN NEW PESO         U S DOLLAR         05/07/09         05/08/09         10,837         10,812         25           U S DOLLAR         JAPANESE YEN         05/01/09         05/08/09         104,923         104,395         528           EURO CURRENCY UNIT         U S DOLLAR         05/08/09         05/11/09         11,664         11,517         147           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         3,698         3,655         42           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         2,727         2,697         30           BRITISH POUND STERLING         U S DOLLAR         05/11/09         05/12/09         2,165         2,154         11           EURO CURRENCY UNIT         U S DOLLAR         05/13/09         05/14/09         3,342         3,357         (15)           U S DOLLAR         EURO CURRENCY UNIT         05/12/09         05/14/09         561,808         564,227         (2,419)           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         05/14/09         149,474         140,110         9,363           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/18/09         3,911         3,934         (23)							
U S DOLLAR         JAPANESE YEN         05/01/09         05/08/09         104,923         104,395         528           EURO CURRENCY UNIT         U S DOLLAR         05/08/09         05/11/09         11,664         11,517         147           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         3,698         3,655         42           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         2,727         2,697         30           BRITISH POUND STERLING         U S DOLLAR         05/11/09         05/12/09         2,165         2,154         11           EURO CURRENCY UNIT         U S DOLLAR         05/13/09         05/14/09         3,342         3,357         (15)           U S DOLLAR         EURO CURRENCY UNIT         05/12/09         05/14/09         561,808         564,227         (2,419)           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         05/14/09         149,474         140,110         9,363           EURO CURRENCY UNIT         U S DOLLAR         05/15/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         198,297         195,753         2,544      <							
EURO CURRENCY UNIT         U S DOLLAR         05/08/09         05/11/09         11,664         11,517         147           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         3,698         3,655         42           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         2,727         2,697         30           BRITISH POUND STERLING         U S DOLLAR         05/11/09         05/12/09         2,165         2,154         11           EURO CURRENCY UNIT         U S DOLLAR         05/13/09         05/14/09         3,342         3,357         (15)           U S DOLLAR         EURO CURRENCY UNIT         05/12/09         05/14/09         561,808         564,227         (2,419)           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         05/14/09         149,474         140,110         9,363           EURO CURRENCY UNIT         U S DOLLAR         05/15/09         05/18/09         27,209         27,464         (256)           SOUTH KOREAN WON         U S DOLLAR         05/14/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15					,		
MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         3,698         3,655         42           MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         2,727         2,697         30           BRITISH POUND STERLING         U S DOLLAR         05/11/09         05/12/09         2,165         2,154         11           EURO CURRENCY UNIT         U S DOLLAR         05/13/09         05/14/09         3,342         3,357         (15)           U S DOLLAR         EURO CURRENCY UNIT         05/12/09         05/14/09         561,808         564,227         (2,419)           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         05/14/09         149,474         140,110         9,363           EURO CURRENCY UNIT         U S DOLLAR         05/15/09         05/18/09         27,209         27,464         (256)           SOUTH KOREAN WON         U S DOLLAR         05/14/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15           U S DOLLAR         05/26/09         05/27/09         1,873         1,864         9           EURO CURRENCY UNIT					44,004	44 - 4-	
MEXICAN NEW PESO         U S DOLLAR         05/08/09         05/11/09         2,727         2,697         30           BRITISH POUND STERLING         U S DOLLAR         05/11/09         05/12/09         2,165         2,154         11           EURO CURRENCY UNIT         U S DOLLAR         05/13/09         05/14/09         3,342         3,357         (15)           U S DOLLAR         EURO CURRENCY UNIT         05/12/09         05/14/09         561,808         564,227         (2,419)           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         05/14/09         149,474         140,110         9,363           EURO CURRENCY UNIT         U S DOLLAR         05/15/09         05/18/09         27,209         27,464         (256)           SOUTH KOREAN WON         U S DOLLAR         05/14/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15           U S DOLLAR         EURO CURRENCY UNIT         05/20/09         05/22/09         198,297         195,753         2,544           BRITISH POUND STERLING         05/26/09         05/27/09         8,492         8,486         6           EURO C							
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EURO CURRENCY UNIT         U S DOLLAR         05/13/09         05/14/09         3,342         3,357         (15)           U S DOLLAR         EURO CURRENCY UNIT         05/12/09         05/14/09         561,808         564,227         (2,419)           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         05/14/09         149,474         140,110         9,363           EURO CURRENCY UNIT         U S DOLLAR         05/15/09         05/18/09         27,209         27,464         (256)           SOUTH KOREAN WON         U S DOLLAR         05/14/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15           U S DOLLAR         EURO CURRENCY UNIT         05/20/09         05/22/09         198,297         195,753         2,544           BRITISH POUND STERLING         U S DOLLAR         05/26/09         05/27/09         1,873         1,864         9           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556     <							
U S DOLLAR         EURO CURRENCY UNIT         05/12/09         05/14/09         561,808         564,227         (2,419)           U S DOLLAR         EURO CURRENCY UNIT         03/10/09         05/14/09         149,474         140,110         9,363           EURO CURRENCY UNIT         U S DOLLAR         05/15/09         05/18/09         27,209         27,464         (256)           SOUTH KOREAN WON         U S DOLLAR         05/14/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15           U S DOLLAR         EURO CURRENCY UNIT         05/20/09         05/22/09         198,297         195,753         2,544           BRITISH POUND STERLING         U S DOLLAR         05/26/09         05/27/09         1,873         1,864         9           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         8,492         8,486         6           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>							
U S DOLLAR         EURO CURRENCY UNIT         03/10/09         05/14/09         149,474         140,110         9,363           EURO CURRENCY UNIT         U S DOLLAR         05/15/09         05/18/09         27,209         27,464         (256)           SOUTH KOREAN WON         U S DOLLAR         05/14/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15           U S DOLLAR         EURO CURRENCY UNIT         05/20/09         05/22/09         198,297         195,753         2,544           BRITISH POUND STERLING         U S DOLLAR         05/26/09         05/27/09         1,873         1,864         9           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         8,492         8,486         6           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556           U S DOLLAR         EURO CURRENCY UNIT         05/27/09         05/29/09         366,097         359,830         6,267							
EURO CURRENCY UNIT         U S DOLLAR         05/15/09         05/18/09         27,209         27,464         (256)           SOUTH KOREAN WON         U S DOLLAR         05/14/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15           U S DOLLAR         EURO CURRENCY UNIT         05/20/09         05/22/09         198,297         195,753         2,544           BRITISH POUND STERLING         U S DOLLAR         05/26/09         05/27/09         1,873         1,864         9           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         8,492         8,486         6           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556           U S DOLLAR         EURO CURRENCY UNIT         05/27/09         05/29/09         366,097         359,830         6,267							
SOUTH KOREAN WON         U S DOLLAR         05/14/09         05/18/09         3,911         3,934         (23)           EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15           U S DOLLAR         EURO CURRENCY UNIT         05/20/09         05/22/09         198,297         195,753         2,544           BRITISH POUND STERLING         U S DOLLAR         05/26/09         05/27/09         1,873         1,864         9           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         8,492         8,486         6           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556           U S DOLLAR         EURO CURRENCY UNIT         05/27/09         05/29/09         366,097         359,830         6,267							
EURO CURRENCY UNIT         U S DOLLAR         05/19/09         05/20/09         8,032         8,017         15           U S DOLLAR         EURO CURRENCY UNIT         05/20/09         05/22/09         198,297         195,753         2,544           BRITISH POUND STERLING         U S DOLLAR         05/26/09         05/27/09         1,873         1,864         9           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         8,492         8,486         6           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556           U S DOLLAR         EURO CURRENCY UNIT         05/27/09         05/29/09         366,097         359,830         6,267							, ,
U S DOLLAR         EURO CURRENCY UNIT         05/20/09         05/22/09         198,297         195,753         2,544           BRITISH POUND STERLING         U S DOLLAR         05/26/09         05/27/09         1,873         1,864         9           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         8,492         8,486         6           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556           U S DOLLAR         EURO CURRENCY UNIT         05/27/09         05/29/09         366,097         359,830         6,267							
BRITISH POUND STERLING       U S DOLLAR       05/26/09       05/27/09       1,873       1,864       9         EURO CURRENCY UNIT       U S DOLLAR       05/26/09       05/27/09       8,492       8,486       6         EURO CURRENCY UNIT       U S DOLLAR       05/26/09       05/27/09       3,364       3,362       3         U S DOLLAR       BRITISH POUND STERLING       05/21/09       05/27/09       52,784       52,228       556         U S DOLLAR       EURO CURRENCY UNIT       05/27/09       05/29/09       366,097       359,830       6,267							
EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         8,492         8,486         6           EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556           U S DOLLAR         EURO CURRENCY UNIT         05/27/09         05/29/09         366,097         359,830         6,267							
EURO CURRENCY UNIT         U S DOLLAR         05/26/09         05/27/09         3,364         3,362         3           U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556           U S DOLLAR         EURO CURRENCY UNIT         05/27/09         05/29/09         366,097         359,830         6,267					· ·		
U S DOLLAR         BRITISH POUND STERLING         05/21/09         05/27/09         52,784         52,228         556           U S DOLLAR         EURO CURRENCY UNIT         05/27/09         05/29/09         366,097         359,830         6,267							
U S DOLLAR EURO CURRENCY UNIT 05/27/09 05/29/09 366,097 359,830 6,267							
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### THE CALDWELL FOUNDATION 75-6004080

### SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES 2009

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	соѕт	GAIN/(LOSS)
US DOLLAR	MEXICAN NEW PESO	06/03/09	06/05/09	164,367	163,407	960
US DOLLAR	SOUTH KOREAN WON	06/03/09	06/05/09	126,136	126,779	(643)
BRITISH POUND STERLING	U S DOLLAR	06/09/09	06/10/09	1,581	1,559	22
SWISS FRANC	U S DOLLAR	06/11/09	06/12/09	18	18	0
EURO CURRENCY UNIT	U S DOLLAR	06/19/09	06/22/09	1,886	1,884	2
EURO CURRENCY UNIT	U S DOLLAR	06/19/09	06/22/09	5,939	5,932	7
EURO CURRENCY UNIT	U S DOLLAR	06/19/09	06/22/09	4,963	4,957	6
BRITISH POUND STERLING		06/22/09	06/23/09	6,680	6,677	2
JAPANESE YEN	U S DOLLAR	06/25/09	06/26/09	1,404	1,420	(16)
JAPANESE YEN	U S DOLLAR	06/25/09	06/26/09	401	406	(5)
JAPANESE YEN	U S DOLLAR	06/29/09	06/30/09	2,140	2,149	(8)
BRITISH POUND STERLING		06/26/09	07/02/09	73,390	73,190	200
MEXICAN NEW PESO	U S DOLLAR	06/26/09	07/02/09	67,262	67,912	(650)
NORWEGIAN KRONE BRITISH POUND STERLING	U S DOLLAR	06/26/09 07/06/09	07/02/09 07/07/09	22,222 995	22,382 991	(160)
MEXICAN NEW PESO	U S DOLLAR	07/06/09	07/07/09	1,548	1,559	4 (10)
U S DOLLAR	BRITISH POUND STERLING		07/07/09	247,920	251,389	(3,469)
BRITISH POUND STERLING		07/10/09	07/07/09	1,967	1,976	(9)
SOUTH KOREAN WON	U S DOLLAR	07/13/09	07/13/09	794	817	(23)
EURO CURRENCY UNIT	U S DOLLAR	07/13/09	07/17/09	58,597	59,187	(589)
EURO CURRENCY UNIT	U S DOLLAR	07/15/09	07/11/09	68,436	69,012	(575)
SOUTH KOREAN WON	U S DOLLAR	07/20/09	07/21/09	3,998	4,017	(19)
US DOLLAR	EURO CURRENCY UNIT	07/22/09	07/23/09	227,496	226,993	503
SOUTH KOREAN WON	U S DOLLAR	07/28/09	07/29/09	114,028	113,808	220
US DOLLAR	EURO CURRENCY UNIT	07/28/09	07/30/09	544,777	547,779	(3,002)
US DOLLAR	SWISS FRANC	12/10/08	08/06/09	146,566	132,283	14,283
EURO CURRENCY UNIT	U S DOLLAR	08/06/09	08/12/09	38,610	38,067	542
US DOLLAR	BRITISH POUND STERLING	08/11/09	08/13/09	37,133	36,925	208
US DOLLAR	BRITISH POUND STERLING	02/27/09	08/13/09	49,779	43,014	6,765
SOUTH KOREAN WON	US DOLLAR	08/13/09	08/14/09	765	774	(9)
US DOLLAR	SWISS FRANC	08/12/09	08/17/09	64,773	65,111	(338)
US DOLLAR	SWISS FRANC	08/13/09	08/18/09	39,648	39,911	(263)
US DOLLAR	SWISS FRANC	04/27/09	08/19/09	56,757	52,345	4,412
US DOLLAR	SWISS FRANC	02/27/09	08/19/09	84,424	77,493	6,931
US DOLLAR	EURO CURRENCY UNIT	08/17/09	08/20/09	20,629	20,424	205
JAPANESE YEN	U S DOLLAR	08/25/09	08/26/09	411	408	4
U S DOLLAR	EURO CURRENCY UNIT	08/26/09	08/28/09	199,104	197,074	2,029
U S DOLLAR	SWISS FRANC	08/26/09	08/28/09	104,010	102,538	1,472
JAPANESE YEN	U S DOLLAR	08/31/09	09/01/09	2,801	2,791	11
EURO CURRENCY UNIT	U S DOLLAR	09/03/09	09/04/09	5,787	5,786	1
EURO CURRENCY UNIT	U S DOLLAR	09/03/09	09/04/09	1,135	1,135	0
U S DOLLAR EURO CURRENCY UNIT	BRITISH POUND STERLING U.S. DOLLAR	09/02/09	09/04/09 09/08/09	66,800 156,865	65,982 157,546	817
JAPANESE YEN	U S DOLLAR	09/08/09	09/09/09	1,106	1,099	(681) 7
BRITISH POUND STERLING		09/09/09	09/10/09	1,430	1,433	(3)
US DOLLAR	EURO CURRENCY UNIT	09/08/09	09/10/09	356,998	355,564	1,434
EURO CURRENCY UNIT	U S DOLLAR	09/09/09	09/15/09	147,893	148,804	(910)
EURO CURRENCY UNIT	U S DOLLAR	09/09/09	09/15/09	66,551	66,943	(391)
BRITISH POUND STERLING		09/21/09	09/22/09	5,127	5,156	(28)
BRITISH POUND STERLING		09/21/09	09/22/09	2,447	2,461	(14)
EURO CURRENCY UNIT	U S DOLLAR	09/23/09	09/24/09	3,859	3,593	265
U S DOLLAR	EURO CURRENCY UNIT	09/28/09	09/30/09	100,110	100,164	(55)
EURO CURRENCY UNIT	U S DOLLAR	09/30/09	10/01/09	8,294	7,797	496
SOUTH KOREAN WON	U S DOLLAR	10/06/09	10/08/09	49,587	49,838	(251)
US DOLLAR	BRITISH POUND STERLING		10/09/09	272,824	273,046	(223)
US DOLLAR	NORWEGIAN KRONE	10/07/09	10/09/09	79,655	78,619	1,036

## THE CALDWELL FOUNDATION 75-6004080 SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES

2009

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
US DOLLAR	SWISS FRANC	10/07/09	10/09/09	172,762	171,868	894
US DOLLAR	NORWEGIAN KRONE	02/24/09	10/09/09	49,727	41,001	8,725
BRITISH POUND STERLING	U S DOLLAR	10/09/09	10/13/09	1,930	1,929	1
US DOLLAR	BRITISH POUND STERLING		10/13/09	70,672	70,911	(239)
MEXICAN NEW PESO	U S DOLLAR	10/08/09	10/13/09	51,702	52,155	(453)
MEXICAN NEW PESO	U S DOLLAR	10/12/09	10/14/09	1,549	1,538	11
SOUTH KOREAN WON	U S DOLLAR	10/15/09	10/19/09	41,516	41,273	243
BRITISH POUND STERLING		10/20/09	10/21/09	6,099	6,116	(17)
BRITISH POUND STERLING		10/20/09	10/21/09	3,413	3,422	(9)
U S DOLLAR	MEXICAN NEW PESO	10/26/09	10/28/09	5,432	5,532	(100)
US DOLLAR US DOLLAR	EURO CURRENCY UNIT SWISS FRANC	10/30/09 11/04/09	11/03/09 11/09/09	73,135	73,372	(237) 790
BRITISH POUND STERLING		11/04/09	11/10/09	77,299 764	76,508 852	(89)
EURO CURRENCY UNIT	U S DOLLAR	11/09/09	11/10/09	3,079	2,736	343
U S DOLLAR		11/06/09	11/10/09	227,023	225,455	1,568
EURO CURRENCY UNIT	U S DOLLAR	11/12/09	11/13/09	2,038	2,056	(18)
SOUTH KOREAN WON	U S DOLLAR	11/16/09	11/18/09	10,747	10,761	(14)
EURO CURRENCY UNIT	U S DOLLAR	11/19/09	11/20/09	9,007	9,080	(72)
EURO CURRENCY UNIT	U S DOLLAR	11/19/09	11/20/09	4,130	4,163	(33)
US DOLLAR	SWISS FRANC	11/17/09	11/20/09	5,545	5,560	(16)
SOUTH KOREAN WON	U S DOLLAR	11/24/09	11/27/09	37,817	37,864	(47)
US DOLLAR	SWISS FRANC	11/24/09	11/27/09	215,470	213,970	1,500
JAPANESE YEN	U S DOLLAR	11/27/09	11/30/09	445	441	3
EURO CURRENCY UNIT	U S DOLLAR	12/01/09	12/04/09	96,196	95,397	799
US DOLLAR	JAPANESE YEN	12/01/09	12/04/09	65,155	67,667	(2,513)
JAPANESE YEN	U S DOLLAR	12/04/09	12/07/09	1,722	1,737	(15)
EURO CURRENCY UNIT	U S DOLLAR	12/01/09	12/07/09	112,365	111,432	933
MEXICAN NEW PESO	U S DOLLAR	12/07/09	12/08/09	1,611	1,618	(8)
BRITISH POUND STERLING	U S DOLLAR	12/08/09	12/09/09	1,408	1,423	(15)
JAPANESE YEN	U S DOLLAR	12/10/09	12/11/09	1,139	1,140	(1)
MEXICAN NEW PESO	U S DOLLAR U S DOLLAR	12/10/09 12/10/09	12/11/09 12/11/09	1,497	1,539	(43)
MEXICAN NEW PESO JAPANESE YEN	U S DOLLAR	12/11/09	12/11/09	1,172 1,262	1,206 1,285	(33) (24)
	U S DOLLAR	12/17/09	12/14/09	3,977	4,037	(60)
EURO CURRENCY UNIT	U S DOLLAR	12/17/09	12/18/09	3,220	3,273	(54)
EURO CURRENCY UNIT	U S DOLLAR	12/17/09	12/18/09	2,244	2,281	(37)
SOUTH KOREAN WON	U S DOLLAR	12/16/09	12/18/09	100,000	116,154	(16,154)
US DOLLAR	SOUTH KOREAN WON	12/16/09	12/18/09	116,154	117,153	(999)
US DOLLAR	BRITISH POUND STERLING		12/18/09	72,876	73,608	(732)
EURO CURRENCY UNIT	U S DOLLAR	12/18/09	12/23/09	91,415	90,917	`498 <sup>°</sup>
US DOLLAR	BRITISH POUND STERLING	12/21/09	12/24/09	154,601	155,800	(1,199)
EURO CURRENCY UNIT	U S DOLLAR	12/22/09	12/28/09	83,355	83,946	(591)
US DOLLAR	BRITISH POUND STERLING	12/23/09	12/30/09	74,827	74,614	213
EURO CURRENCY UNIT	U S DOLLAR	12/29/09	01/06/10	-	-	-
U S DOLLAR	MEXICAN NEW PESO	10/27/09	04/05/10	-	-	-
		SHORT TERM		11,347,130	11,293,362	53,768
JAPANESE YEN	U S DOLLAR	12/28/07	01/09/09	170,000	205,964	(35,964)
SOUTH KOREAN WON	U S DOLLAR	01/15/08	01/23/09	130,000	87,950	42,050
MEXICAN NEW PESO	U S DOLLAR	02/04/08	02/11/09	120,000	93,365	26,635
MEXICAN NEW PESO	U S DOLLAR	02/04/08	02/11/09	120,000	-	-
JAPANESE YEN	U S DOLLAR	02/13/08	02/20/09	260,000	293,439	(33,439)
JAPANESE YEN	U S DOLLAR	02/13/08	02/20/09			
EURO CURRENCY UNIT	U S DOLLAR	02/20/08	02/26/09	130,000	113,945	16,055
MEXICAN NEW PESO	U S DOLLAR	03/04/08	03/12/09	100,000	75,144	24,856

# THE CALDWELL FOUNDATION 75-6004080 SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES 2009

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
MEXICAN NEW PESO	US DOLLAR	03/04/08	03/12/09	=	_	_
CANADIAN DOLLAR	US DOLLAR	03/18/08	03/27/09	100,000	80,718	19,282
MEXICAN NEW PESO	US DOLLAR	03/31/08	04/13/09	300,000	255,341	44,659
MEXICAN NEW PESO	US DOLLAR	03/31/08	04/13/09	-	· -	- -
EURO CURRENCY UNIT	US DOLLAR	04/21/08	04/29/09	800,000	678,710	121,290
JAPANESE YEN	US DOLLAR	04/21/08	04/30/09	230,000	236,419	(6,419)
JAPANESE YEN	US DOLLAR	04/21/08	04/30/09	-	-	-
EURO CURRENCY UNIT	US DOLLAR	05/06/08	05/14/09	800,000	711,282	88,718
EURO CURRENCY UNIT	US DOLLAR	05/21/08	05/29/09	400,000	366,097	33,903
MEXICAN NEW PESO	US DOLLAR	05/27/08	06/05/09	200,000	164,367	35,633
MEXICAN NEW PESO	US DOLLAR	05/27/08	06/05/09	-	-	-
SOUTH KOREAN WON	US DOLLAR	05/27/08	06/05/09	150,000	126,136	23,864
EURO CURRENCY UNIT	US DOLLAR	07/15/08	07/23/09	250,000	227,496	22,504
EURO CURRENCY UNIT	US DOLLAR	07/22/08	07/30/09	600,000	544,777	55,223
SWISS FRANC	US DOLLAR	07/29/08	08/06/09	150,000	146,566	3,434
BRITISH POUND STERLING	US DOLLAR	08/05/08	08/13/09	100,000	86,912	13,088
SWISS FRANC	US DOLLAR	08/08/08	08/19/09	140,000	141,181	(1,181)
EURO CURRENCY UNIT	US DOLLAR	08/20/08	08/28/09	200,000	199,104	896
SWISS FRANC	US DOLLAR	08/20/08	08/28/09	100,000	104,010	(4,010)
EURO CURRENCY UNIT	US DOLLAR	09/02/08	09/10/09	350,000	356,998	(6,998)
EURO CURRENCY UNIT	US DOLLAR	09/22/08	09/30/09	100,000	100,110	(110)
BRITISH POUND STERLING	US DOLLAR	10/01/08	10/09/09	300,000	272,824	27,176
NORWEGIAN KRONE	US DOLLAR	10/01/08	10/09/09	120,000	129,381	(9,381)
SWISS FRANC	US DOLLAR	10/01/08	10/09/09	160,000	172,762	(12,762)
SWISS FRANC	US DOLLAR	11/20/08	11/27/09	180,000	215,470	(35,470)
SOUTH KOREAN WON	U S DOLLAR	12/10/08	12/18/09	-	-	-
		LONG TERM	LONG TERM		6,186,467	453,533
		GRAND TOTA	GRAND TOTAL		17,479,828	507,302