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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning _____, and ending _____

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. Name of foundation: **Swogger Foundation**

See Specific Instructions. Number and street (or P O box number if mail is not delivered to street address) Room/suite: **PO Box 8009**

City or town, state, and ZIP code: **Topeka, KS 66608-0009**

A Employer identification number: **75-4320138**

B Telephone number: **(785) 232-6062**

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16): **\$ 825,726.** (Part I, column (d) must be on cash basis)

J Accounting method: Cash Accrual
 Other (specify) _____

C If exemption application is pending, check here

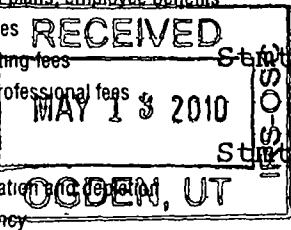
D 1. Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		2,472.	2,472.		Statement 1
4 Dividends and interest from securities		11,455.	11,455.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-81,051.			
b Gross sales price for all assets on line 6a		192,816.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		873.	873.		Statement 3
12 Total. Add lines 1 through 11		-66,251.	14,800.		
13 Compensation of officers, directors, trustees, etc		2,514.	2,514.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees		1,585.	0.		0.
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes		-733.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		26.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		3,392.	2,514.		0.
25 Contributions, gifts, grants paid		34,000.			34,000.
26 Total expenses and disbursements. Add lines 24 and 25		37,392.	2,514.		34,000.
27 Subtract line 26 from line 12		-103,643.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			12,286.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		8,374.	10,785.	10,785.
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations Stmt 8		66,370.	26,426.	26,153.
	b	Investments - corporate stock Stmt 9		74,932.	40,893.	38,947.
	c	Investments - corporate bonds Stmt 10		0.	35,005.	35,000.
11	Investments - land, buildings, and equipment basis					
	Less accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other Stmt 11		630,731.	563,422.	714,828.	
14	Land, buildings, and equipment basis					
	Less accumulated depreciation					
15	Other assets (describe Statement 12)		9.	13.	13.	
16	Total assets (to be completed by all filers)		780,416.	676,544.	825,726.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
27	Capital stock, trust principal, or current funds		1,060,428.	1,060,428.		
28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		-280,012.	-383,884.		
30	Total net assets or fund balances		780,416.	676,544.		
31	Total liabilities and net assets/fund balances		780,416.	676,544.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	780,416.
2	Enter amount from Part I, line 27a	2	-103,643.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	676,773.
5	Decreases not included in line 2 (itemize) See Statement 7	5	229.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	676,544.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	See Attached Statements			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e	192,816.	273,867.	-81,051.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e			-81,051.	
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-81,051.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	43,768.	867,583.	.050448
2007	50,000.	1,055,341.	.047378
2006	45,774.	929,773.	.049231
2005	34,000.	878,307.	.038711
2004	35,000.	830,518.	.042142
2	Total of line 1, column (d)		.227910
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		.045582
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5		716,856.
5	Multiply line 4 by line 3		32,676.
6	Enter 1% of net investment income (1% of Part I, line 27b)		123.
7	Add lines 5 and 6		32,799.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		34,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	123.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	123.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-	5	123.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	240.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	240.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	117.
11	Enter the amount of line 10 to be Credited to 2010 estimated tax 117. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a	X	
4b	X	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of Robert S. Maxwell Telephone no (785) 232-6062 Located at Kaw Valley Bank, 1110 N. Kansas, Topeka, KS ZIP+4 66608
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propagandá, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No **6b**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No **7b**

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Kaw Valley Bank 1110 N. Kansas Avenue Topeka, KS 66608	Trustee 0.25	2,514.	0.	0.
L. Glenn Swogger, Jr., MD 5815 S.W. Turnberry Court Topeka, KS 66608	Comm Director 0.25	0.	0.	0.
Sylvia Swogger Sheldon 900 El Rado Street Coral Gables, FL 33134	Comm Director 0.25	0.	0.	0.
Marian P. Swogger 53 1/2 Lyme Road Apt 5 Hanover, NH 03755	Comm Director 0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 Only activity of trust is investment of assets	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 Only activity of trust is investment of assets	
	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	705,602.
b	Average of monthly cash balances	1b	22,171.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	727,773.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	727,773.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,917.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	716,856.
6	Minimum investment return Enter 5% of line 5	6	35,843.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	35,843.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	123.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	162.
c	Add lines 2a and 2b	2c	285.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	35,558.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	35,558.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	35,558.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	34,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	34,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	123.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	33,877.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				35,558.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				775.
d From 2007				
e From 2008				1,222.
f Total of lines 3a through e		1,997.		
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$				34,000.
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				34,000.
e Remaining amount distributed out of corpus		0.		
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))		1,558.		1,558.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	439.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	439.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008		439.		
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
Robert S. Maxwell, Kaw Valley Bank, 785-232-6062
1110 N. Kansas Avenue, Topeka, KS 66608

b The form in which applications should be submitted and information and materials they should include
No stated restrictions at this time

c Any submission deadlines
No deadlines at this time

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
No stated restrictions at this time

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> Topeka & Shawnee County Public Library Foundation 1515 SW 10th Avenue Topeka, KS 66604	None	Public charity	Support of the collections, programs, and services	667.
Baker University 618 8th Street Baldwin, KS 66006	None	Public charity	Educational scholarships	32,000.
Cottey College 1000 W. Austin Nevada, MO 64772	None	Public charity	Promotion of liberal arts and sciences education for	667.
The Upper Valley Haven 713 Hartford Avenue White River Junction, VT 05001	None	Public charity	Support of the homeless with shelter, food & clothing	666.
Total				▶ 3a 34,000.
<i>b Approved for future payment</i>				
None				
Total				▶ 3b 0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
a 401 shs iShares Inc MSCI UK Index Fd	P	07/05/06	06/09/09
b 250 shs iShares Inc MSCI Emerging Mkts Index Fd	P	11/30/05	06/09/09
c 190 shs iShares Inc MSCI Emerging Mkts Index Fd	P	05/23/07	12/09/09
d 173 shs iShares Inc MSCI EAFE Index Fd	P	11/30/05	06/09/09
e 214 shs Sector Spider Trust Shs	P	Various	12/09/09
f 110 shs Health Care Select Sector Spdr	P	05/27/03	06/09/09
g 225 shs Consumer Sector Spdr Trust	P	Various	12/09/09
h 480 shs Energy Sector Spdr Trust	P	Various	12/09/09
i 54 shs Industrial Sector Spdr Trust	P	05/27/09	06/09/09
j 620 shs Industrial Sector Spdr Trust	P	Various	12/09/09
k 132 shs Utilities Sector Spdr Trust	P	06/09/04	12/09/09
l 2,033 shs Financial Sector Spdr Trust	P	05/21/03	12/09/09
m GNMA Pool 275112 7.8% - ROP	P	02/22/00	Various
n 227 shs iShares Inc MSCI Canada Index Fd	P	06/09/09	12/09/09
o 50,000 par FHLMC 4% 10/10/13	P	04/14/08	01/10/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,369.		8,194.	-2,825.
b 8,173.		8,085.	88.
c 7,638.		8,102.	-464.
d 8,136.		10,715.	-2,579.
e 6,809.		6,599.	210.
f 2,705.		3,427.	-722.
g 6,401.		7,695.	-1,294.
h 25,972.		19,517.	6,455.
i 1,215.		1,653.	-438.
j 16,946.		19,315.	-2,369.
k 3,987.		3,110.	877.
l 28,695.		47,959.	-19,264.
m 77.		84.	-7.
n 5,693.		5,368.	325.
o 50,000.		50,002.	-2.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-2,825.
b			88.
c			-464.
d			-2,579.
e			210.
f			-722.
g			-1,294.
h			6,455.
i			-438.
j			-2,369.
k			877.
l			-19,264.
m			-7.
n			325.
o			-2.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 if (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 15,000 par FHLB 4.25% 06/11/13	P	06/12/08	06/11/09
b 3,000 shs Worldcom, Inc.	P	01/01/06	12/31/09
c 120 shs Worldcom Inc GA New MCI Group	P	01/01/06	12/31/09
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,000.		14,958.	42.
b		56,786.	-56,786.
c		2,298.	-2,298.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			42.
b			-56,786.
c			-2,298.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-81,051.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF · Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
FHLB 3.75% 1/29/16	469.
FHLB 4.25%	319.
FHLMC Mtn Call 4%	500.
GNMA Pool #275112 7.80%	93.
Kaw Valley Bank MMA	188.
Merrill Lynch CAP 6.450%	903.
	<hr/>
Total to Form 990-PF, Part I, line 3, Column A	2,472.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
AT&T Corp	38.	0.	38.
Claymore EFT BNY BRIC	494.	0.	494.
Consumer Sector SPDR	639.	0.	639.
Consumer Staples Sector SPDR	1,388.	0.	1,388.
Energy Sector SPDR	861.	0.	861.
Financial Sector SPDR	907.	0.	907.
Health Care Select Sector SPDR	1,112.	0.	1,112.
I Shares Tr MSCI Emerging Mkts	799.	0.	799.
I Shares Trust MSCI Canada	25.	0.	25.
I Shares Trust MSCI EAFE	1,024.	0.	1,024.
Industrial Sector SPDR	819.	0.	819.
Sector SPDR Trust - Materials	203.	0.	203.
Stifel Nicolaus MMF	1.	0.	1.
Technology Sector SPDR	1,502.	0.	1,502.
UBS Financial Cashfund	15.	0.	15.
Utilities Sector SPDR	485.	0.	485.
Westar Energy Inc	1,143.	0.	1,143.
	<hr/>	<hr/>	<hr/>
Total to Fm 990-PF, Part I, ln 4	11,455.	0.	11,455.

Form 990-PF .	Other Income		Statement	3
Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	
Cedar Fair, L.P. - UBIT	-834.	-834.		
Cedar Fair, L.P. - investment income 14	929.	929.		
Cedar Fair, L.P. - ordinary loss 41	-929.	-929.		
Energy Transfer Partners, L.P. - UBIT	-514.	-514.		
Energy Transfer Partners, L.P. - investment income 14	128.	128.		
Plains All American Pipeline, L.P. - UBIT	2,341.	2,341.		
Plains All American Pipeline, L.P. - investment income 14	14.	14.		
NuStar Energy L.P. - UBIT	73.	73.		
NuStar Energy L.P. - investment income 14	66.	66.		
NuStar Energy L.P. - capital and 1231 transactions 18	-378.	-378.		
NuStar Energy L.P. - ordinary loss 41	-22.	-22.		
Plains All American Pipeline, L.P. - ordinary loss 41	-1.	-1.		
Total to Form 990-PF, Part I, line 11	873.	873.		

Form 990-PF	Accounting Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Wendling Noe Nelson & Johnson (Tax Preparation)	1,585.	0.		0.	
To Form 990-PF, Pg 1, ln 16b	1,585.	0.		0.	

Form 990-PF Taxes Statement 5

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Form 990-PF Excise - Refund	-728.	0.		0.
Foreign taxes withheld	228.	0.		0.
Foreign taxes withheld - Plains All American Pipeline, L.P.	53.	0.		0.
Foreign taxes withheld - Nustar Energy	221.	0.		0.
KS Taxes - Refund	-36.	0.		0.
Form 990-T - Refund	-471.	0.		0.
<u>To Form 990-PF, Pg 1, ln 18</u>	<u>-733.</u>	<u>0.</u>		<u>0.</u>

Form 990-PF Other Expenses Statement 6

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Postage	26.	0.		0.
<u>To Form 990-PF, Pg 1, ln 23</u>	<u>26.</u>	<u>0.</u>		<u>0.</u>

Form 990-PF Other Decreases in Net Assets or Fund Balances Statement 7

Description	Amount
Cedar Fair, L.P. - nondeductible expenses	129.
NuStar Energy FKA Valero, L.P. - nondeductible expenses	20.
Energy Transfer Partners, L.P. - nondeductible expenses	71.
Prior period adjustment	9.
<u>Total to Form 990-PF, Part III, line 5</u>	<u>229.</u>

Form 990-PF . U.S. and State/City Government Obligations Statement 8

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
GNMA Pool #275112	X		1,326.	1,364.
FHLB 3.75% 1/29/16	X		25,100.	24,789.
Total U.S. Government Obligations			26,426.	26,153.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			26,426.	26,153.

Form 990-PF Corporate Stock Statement 9

Description	Book Value	Fair Market Value
AT&T	1,848.	645.
Merrill Lynch Cap 6.450%	14,000.	10,718.
Westar Energy Inc	25,045.	27,584.
Total to Form 990-PF, Part II, line 10b	40,893.	38,947.

Form 990-PF Corporate Bonds Statement 10

Description	Book Value	Fair Market Value
Morgan Stanley Dean Witter 5% 12/22/24	35,005.	35,000.
Total to Form 990-PF, Part II, line 10c	35,005.	35,000.

Form 990-PF Other Investments Statement 11

Description	Valuation Method	Book Value	Fair Market Value
Sector Spdr Trust - Materials	FMV	6,646.	7,720.
Health Care Select Sector Spdr	FMV	62,594.	64,253.
Consumers Staples Sector Spdr	FMV	50,806.	53,655.
Consumer Sector Spdr Trust	FMV	39,306.	41,231.
Energy Sector Spdr Trust	FMV	25,365.	30,044.
Financial Sector Spdr Trust	FMV	46,404.	30,010.
Industrial Sector Spdr	FMV	24,288.	22,427.
Technology Sector Spdr	FMV	143,958.	150,306.
Utilities Sector Spdr	FMV	8,729.	8,903.
Cedar Fair, L.P.	FMV	9,606.	12,551.
Energy Transfer Partners LP	FMV	12,329.	84,723.
I Shares TR MSCI Emerging Markets	FMV	34,148.	46,397.
I Shares Trust MSCI EAFE Fd	FMV	39,118.	36,208.
NuStar Energy FKA Valero, LP	FMV	14,732.	40,160.
Plains All American Pipeline LP	FMV	13,296.	48,833.
Claymore EFT BNY Bric	FMV	32,097.	37,407.
Total to Form 990-PF, Part II, line 13		563,422.	714,828.

Form 990-PF Other Assets Statement 12

Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
Dividends Receivable	9.	13.	13.
To Form 990-PF, Part II, line 15	9.	13.	13.