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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

HAROLD SIMMONS FOUNDATION, INC.

A Employer identification number

75-2222091

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

5430 LBJ FREEWAY

1700

B Telephone number (see page 10 of the instructions)

(972) 233-2134

City or town, state, and ZIP code

DALLAS, TX 75240-2697

C If exemption application is
pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method ☐ Cash ☒ Accrual

of year (from Part II, col (c), line

☐ Other (specify) _____

16) \$ 23,569,619.

(Part I, column (d) must be on cash basis)

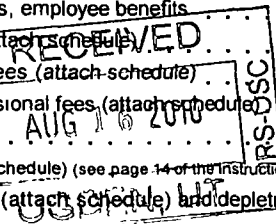
Part I Analysis of Revenue and Expenses (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see page 11 of the instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	* 10,531,250.		Column C	
2 Check ☐ if the foundation is not required to attach Sch. B.			Not Required	
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	402,600.	92,598.		ATCH 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-5,611,214.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	5,322,636.	92,598.		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	4,578.	0.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 3.	9,144.	0.		
24 Total operating and administrative expenses. Add lines 13 through 23	13,722.	0.		
25 Contributions, gifts, grants paid ATCH 8.	7,970,887.		ATCH 9	12,793,066.
26 Total expenses and disbursements. Add lines 24 and 25	7,984,609.	0.		12,793,066.
27 Subtract line 26 from line 12	-2,661,973.			
a Excess of revenue over expenses and disbursements		92,598.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

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* See Sch B, Part I



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		66,372.	183,943.	183,943.
	2	Savings and temporary cash investments				
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		2,996.	418.	418.
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 4		13,858,997.	15,870,258.	15,870,258.	
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule)					
15	Other assets (describe ATCH 5)		1,326,174.	7,515,000.	7,515,000.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		15,254,539.	23,569,619.	23,569,619.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable		6,581,052.	7,939,909.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		6,581,052.	7,939,909.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		8,673,487.	15,629,710.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see page 17 of the instructions)		8,673,487.	15,629,710.	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		15,254,539.	23,569,619.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,673,487.
2	Enter amount from Part I, line 27a	2	-2,661,973.
3	Other increases not included in line 2 (itemize) ATTACHMENT 6	3	9,618,196.
4	Add lines 1, 2, and 3	4	15,629,710.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,629,710.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-5,301,212.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8. }			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	13,736,014.	25,468,600.	0.539331
2007	17,686,870.	30,552,354.	0.578904
2006	9,606,839.	22,711,540.	0.422994
2005	8,002,904.	19,322,124.	0.414183
2004	4,211,265.	14,268,126.	0.295152
2 Total of line 1, column (d)			2 2.250564
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.450113
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 12,918,378.
5 Multiply line 4 by line 3			5 5,814,730.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 926.
7 Add lines 5 and 6			7 5,815,656.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 12,793,066.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	926.
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	926.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	926.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 5,418.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 9,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	14,418.
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	13,492.
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☒ 13,492. Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ TX,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ PATTY BRINDA Telephone no ☐ (972) 233-1700			
Located at ☐ 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX		ZIP + 4 ☐ 75240-2697		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ N/A			
and enter the amount of tax-exempt interest received or accrued during the year ☐ 15				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No		
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHMENT 11		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE	N/A	0.	0.	0.

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
	N/A	0.
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A ----- ----- -----	
2 ----- ----- -----	
3 ----- ----- -----	
4 ----- ----- -----	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE N/A	
2		
All other program-related investments See page 24 of the instructions		
3	NONE	
Total. Add lines 1 through 3		0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	13,017,836.
b	Average of monthly cash balances	1b	97,269.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	13,115,105.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,115,105.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	196,727.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,918,378.
6	Minimum investment return. Enter 5% of line 5	6	645,919.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	645,919.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	926.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	926.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	644,993.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	644,993.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	644,993.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	12,793,066.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,793,066.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	926.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	12,792,140.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				644,993.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20 07, 20 06, 20 05		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	3,516,724.			
b From 2005	7,045,106.			
c From 2006	8,479,324.			
d From 2007	16,490,760.			
e From 2008	12,472,740.			
f Total of lines 3a through e	48,004,654.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 12,793,066.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		0.		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	0.			
d Applied to 2009 distributable amount				644,993.
e Remaining amount distributed out of corpus	12,148,073.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	60,152,727.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	3,516,724.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	56,636,003.			
10 Analysis of line 9				
a Excess from 2005	7,045,106.			
b Excess from 2006	8,479,324.			
c Excess from 2007	16,490,760.			
d Excess from 2008	12,472,740.			
e Excess from 2009	12,148,073.			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 7

b The form in which applications should be submitted and information and materials they should include

NOT SET FORM-CONSIDERED ON A CASE-BY-CASE BASIS

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

MUST BE A SECTION 501(C) ORGANIZATION

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 9				12,793,066.
Total. ► 3a				12,793,066.
b Approved for future payment SEE ATTACHMENT 10				50,578,750.
Total. ► 3b				50,578,750.

Form **990-PF** (2009)

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, 990-EZ, or 990-PF.

2009

Name of the organization

HAROLD SIMMONS FOUNDATION, INC.

Employer identification number

75-2222091

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization HAROLD SIMMONS FOUNDATION, INC.

Employer identification number
75-2222091**Part II** Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	375,000 COMMON STOCK SHARES OF TITANIUM METALS CORPORATION	\$ 3,765,000.	12/10/2009
2	125,000 COMMON STOCK SHARES OF TITANIUM METALS CORPORATION	\$ 1,016,250.	07/23/2009
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization HAROLD SIMMONS FOUNDATION, INC.

Employer identification number

75-2222091**Part II** Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>375,000 COMMON STOCK SHARES OF</u> <u>TITANIUM METALS CORPORATION</u>	\$ <u>9,515,000.</u>	<u>12/10/2009</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>2</u>	<u>125,000 COMMON STOCK SHARES OF</u> <u>TITANIUM METALS CORPORATION</u>	\$ <u>1,016,250.</u>	<u>07/23/2009</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

SCHEDULE D
(Form 1041)Department of the Treasury
Internal Revenue Service**Capital Gains and Losses**▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust

HAROLD SIMMONS FOUNDATION, INC.

Employer identification number

75-2222091

Note: Form 5227 filers need to complete *only* Parts I and II**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b

1b

2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824

2

3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts

34 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss
Carryover Worksheet**4**5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13,
column (3) on the back ▶**5****Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b

6b

-5,301,212.

7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824

7

8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts

8

9 Capital gain distributions

9

10 Gain from Form 4797, Part I

1011 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss
Carryover Worksheet**11**12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a,
column (3) on the back ▶**12**

-5,301,212.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part.**

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		
14 Net long-term gain or (loss):			
a Total for year	14a		-5,301,212.
b Unrecaptured section 1250 gain (see line 18 of the wrkst)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		-5,301,212.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	()
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,300	24		
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23.	25		
26 Subtract line 25 from line 24.	26		
27 Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31. ☐ No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (15)		30	
31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		31	
32 Add lines 30 and 31		32	
33 Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)		34	

Schedule D (Form 1041) 2009

Employer identification number

75-2222091

[illegible]

-5,301,212.

9F1222 3 000

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
VALHI DIVIDEND INCOME	402,600.	92,598.
TOTAL	<u>402,600.</u>	<u>92,598.</u>

ATTACHMENT 2FORM 990PF, PART I - TAXESDESCRIPTIONREVENUE
AND
EXPENSES
PER BOOKS

TAX ON INVESTMENT INCOME

4,578.

TOTALS

4,578.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ADMINISTRATIVE FEES	9,144.	0.
TOTALS	9,144.	0.

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 4

<u>DESCRIPTION</u>	<u>ENDING</u>	<u>ENDING</u>
	<u>BOOK VALUE</u>	<u>FMV</u>
VALHI, INC. COMMON STOCK	14,060,805.	14,060,805.
TITANIUM METALS CORPORATION	1,809,453.	1,809,453.
TOTALS	<u>15,870,258.</u>	<u>15,870,258.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
REFUNDABLE DONATIONS	7,515,000.	7,515,000.
TOTALS	<u>7,515,000.</u>	<u>7,515,000.</u>

ATTACHMENT 6FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN	9,618,196.
TOTAL	<u>9,618,196.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

LISA SIMMONS EPSTEIN
5430 LBJ FREEWAY, SUITE 1700
DALLAS, TX 75240
972 233 1700

Harold Simmons Foundation, Inc.
Form 990-PF
Year ended 12/31/2009

75-2222091

Part I - line 25
Contributions, gifts, grants paid

<u>Name and address</u>	<u>Class of Activity or Purpose</u>	<u>Type</u>	<u>Date</u>	<u>Amount</u>
various	Art and Culture	Cash	12/31/2009	98,218
various	Civic	Cash	12/31/2009	2,507,317
various	Education	Cash	12/31/2009	302,574
various	Health and Medical	Cash	12/31/2009	221,242
various	Religious	Cash	12/31/2009	1,555
various	Social	Cash	12/31/2009	1,594,482
various	Youth	Cash	12/31/2009	<u>233,528</u>
Total Cash Donations				4,958,916

<u>Name and address</u>	<u>Class of Activity or Purpose</u>	<u>Type</u>	<u>Date</u>	<u>Amount</u>
various	Civic	Noncash	12/31/2009	2,006,250
various	Health and Medical	Noncash	12/31/2009	504,441
various	Youth	Noncash	12/31/2009	<u>501,280</u>
Total Noncash Donations				<u>3,011,971</u>
Total line 25				<u><u>7,970,887</u></u>

Harold Simmons Foundation, Inc.
Form 990-PF
Year ended 12/31/2009

75-2222091

Schedule of Payments Summary

Fiscal Year 2009

Cash Contributions - 2009

Art & Culture	\$394,000
Civic	120,000
Education	374,861
Health & Medical	3,364,000
Religious	36,000
Social Welfare	1,068,497
Youth	<u>666,527</u>

Total Cash Contributions Made 6,023,885.00

Noncash Contributions - 2009

Civic	2,006,250
Health & Medical	4,269,441
Youth	<u>501,280</u>

Total Noncash Contributions 6,776,971

Total Contributions Made (see page 37) \$12,800,856

Less: Goods Received (7,790)

Total Contributions Made For 2009 \$12,793,066

Schedule of Appropriations and Payments, by Program Area Fiscal Year 2009

12/31/2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
ARTS & CULTURE						
Children's Chorus of Greater Dallas 400 N. St. Paul, Ste 510 Dallas, TX 75201 <i>Operating expenses for 2008-09</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
Dallas Black Dance Theatre, Inc. 4301 S. Fitzhugh Avenue P.O. Box 131290 75313-1290 Dallas, TX 75210 <i>Three-year pledge for operating expenses and transition to new building</i> \$600,000.00 2008	501c(3)	\$400,000.00	\$0.00	\$0.00	\$200,000.00	\$200,000.00
Dallas Holocaust Museum 211 N. Record Street, Suite 100 Dallas, TX 75202-3361 <i>An Evening to Honor Bill Lively Hope for Humanity dinner</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Dallas Museum of Art 1717 North Harwood Dallas, TX 75201 <i>Five-year pledge for the Center for Creative Connections initiative</i> \$500,000.00 2008	501c(3)	\$400,000.00	\$0.00	\$0.00	\$100,000.00	\$300,000.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Dallas Museum of Art 1717 North Harwood Dallas, TX 75201 2009-2010 membership \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
The Dallas Opera The Margot and Bill Winspear Opera House 2403 Flora Street, Suite 500 Dallas, TX 75201 2008-09 Annual Campaign/Educational Series \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
Dallas Theater Center 2400 Flora Street Dallas, TX 75201 Project Discovery outreach and educational program \$7,500.00 2009	501c(3)	\$0.00	\$7,500.00	\$0.00	\$7,500.00	\$0.00
La Reunion TX 4848 Lemmon Avenue PMB 100-117 Dallas, TX 75219 Jobs provided to low-income students in collaboration with Student Conservation Association - clean up of artists' residency space in Oak Cliff \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Museum of Nature and Science P.O. Box 151469 3535 Grand Avenue Dallas, TX 75315 <i>Operating expenses for 2009-2010</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Music Academy of the West 1070 Fairway Road Santa Barbara, CA 93108-2899 <i>Scholarship program</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
The Nest Foundation 5482 Wilshire Blvd. Ste 147 Los Angeles, CA 90036-4218 <i>Finishing funds for documentary entitled Playground, to raise awareness and educate the public of child prostitution in the US</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Punch Family Foundation P. O. Box 710656 Dallas, TX 75371 <i>+ Annual benefit recital providing scholarships for young musicians</i> \$1,000.00 2009	501c(3)	\$0.00	\$1,000.00	\$0.00	\$1,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Shakespeare Dallas Sammons Center For the Arts 3630 Harry Hines Boulevard, 3rd Floor Dallas, TX 75219 <i>Emergency grant for replacement of wireless microphone system due to replacement of wireless microphone system due to federal mandates on broadcast frequencies</i>	501c(3)	\$0.00	\$8,000.00	\$0.00	\$8,000.00	\$0.00
\$8,000.00 2009						
Southern Methodist University P.O. Box 750100 Dallas, TX 75275 <i>Meadows at the Winspear event to raise funds for student scholarships at the Meadows School of the Arts</i> \$1,500.00 2009	501c(3)	\$0.00	\$1,500.00	\$0.00	\$1,500.00	\$0.00
Texas Winds Musical Outreach 7038 Hunters Ridge Dr. Dallas, TX 75248 <i>Meet the Instruments educational program in Head Start child development centers</i> \$3,500.00 2009	501c(3)	\$0.00	\$3,500.00	\$0.00	\$3,500.00	\$0.00
The Women's Museum P.O. Box 150381 3800 Parry Avenue, 75226 Dallas, TX 75206 <i>Operating expenses</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
The Writer's Garret P.O. Box 140530 Dallas, TX 75214-0530 <i>Operating expenses for organization that promotes reading and writing to people of all ages, communities and ethnicities</i> \$7,500.00 2009	501c(3)	\$0.00	\$7,500.00	\$0.00	\$7,500.00	\$0.00
Total ARTS & CULTURE (17 items)						
CIVIC						
The Center for Nonprofit Management 2902 Floyd Street Dallas, TX 75204-5910 <i>Year two of North Texas Community Wealth Initiative to guide nonprofits in creating revenue-generating projects</i> \$25,000.00 2009	501c(3)	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
The Dallas Arboretum and Botanical Society 8617 Garland Road Dallas, TX 75218 <i>Five-year pledge for Children's Exploration Garden</i> \$5,000,000.00 2009	501c(3)	\$0.00	\$5,000,000.00	\$0.00	\$990,000.00	\$4,010,000.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Dallas Area Interfaith Sponsoring Committee 1104 Lupo Drive Dallas, TX 75207 <i>Operating expenses for organization that facilitates self-advocacy for citizens seeking social services</i>	501c(3)	\$0 00	\$10,000 00	\$0.00	\$10,000.00	\$0 00
\$10,000.00 2009						
Dallas Parks Foundation P. O. Box 131553 Dallas, TX 75313-1553 <i>Research pilot project utilizing new technology to survey trees</i>	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
\$10,000.00 2009						
Dallas Zoological Society 650 South R. L. Thornton Freeway Dallas, TX 75203-3013 <i>Four-year pledge for the African Savanna capital campaign</i>	501c(3)	\$3,200,000 00	\$0.00	\$0.00	\$1,016,250.00	\$2,183,750.00
\$5,000,000.00 2008						
Downwinders At Risk Education Fund P. O. Box 763844 Dallas, TX 75376 <i>Safe and Legal Air project</i>	501c(3)	\$0.00	\$10,000 00	\$0.00	\$10,000.00	\$0.00
\$10,000.00 2009						

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Friends of the Dallas Police 3232 McKinney Avenue, Suite 855 Dallas, TX 75204 <i>Awards banquet</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
League of Women Voters of Texas Education Fund 1212 Guadalupe St., Suite 107 Austin, TX 78701 <i>2009-2010 Voters Service program</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
National Women's Law Center 11 Dupont Circle, Suite 800 Washington, DC 20036 <i>Sponsorship of participant from Texas in the Progressive Leadership and Advocacy Network (PLAN) for women's causes</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
NPower Texas 14673 Midway Road, Ste 107 Addison, TX 75001 <i>+ Organization that works with nonprofits + Organization that works with nonprofits to update their technology to increase efficiency</i> \$15,000.00 2009	501c(3)	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Sand Springs Cemetery Association P. O. Box 704 Mineola, TX 75773 <i>Permanent Care fund</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Texas Applesseed 1609 Shoal Creek, Ste 201 Austin, TX 78701 <i>Operating expenses for public interest law center</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Dallas Trees and Parks Foundation/Texas Trees Foundation 2100 Ross Avenue, Suite 975 Dallas, TX 75201 <i>Salary of Urban & Community Outreach Forestry Manager to match funds from Texas Forest Service</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Total CIVIC (13 items)		\$3,200,000.00	\$5,120,000.00	\$0.00	\$2,126,250.00	\$6,193,750.00
<u>EDUCATION</u>						
Alba-Golden Junior-Senior High School Activity Fund 1373 County Road 2377 Alba, TX 75410 <i>Five-year pledge for scholarships</i> \$125,000.00 2006	501c(3)	\$50,000.00	\$0.00	\$0.00	\$25,000.00	\$25,000.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Athena Foundation 11203 Bushire Drive Dallas, TX 75229 <i>Summer program for continuing education for teachers</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
Austin College 900 North Grand Avenue Suite 6H Sherman, TX 75090-4400 <i>Posey Leadership Award and lecture</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
Barbara Bush Texas Fund for Family Literacy P.O. Box 131614 Houston, TX 77219 <i>A Celebration of Reading dinner (\$1,000 to Barbara Bush Foundation for Family Literacy and \$1,000 to Barbara Bush Fund for Family Literacy)</i> \$2,000.00 2009	501c(3)	\$0.00	\$2,000.00	\$0.00	\$2,000.00	\$0.00
Brown University Box 1976 Providence, RI 02912-1976 <i>Five-year pledge for Annual Fund (2006-07 to 2010-11)</i> \$100,000.00 2006	501c(3)	\$40,000.00	\$0.00	\$0.00	\$20,000.00	\$20,000.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
The Canterbury Episcopal School 1708 North Westmoreland Road DeSoto, TX 75115 <i>Tuition assistance program</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Communities in Schools Fort Worth 6707 Brentwood Stair Road Fort Worth, TX 76112 <i>Operating expenses</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Donors Choose 5462 Anita St. Dallas, TX 75206 <i>Matching funds for online fundraising site that provides learning materials to Dallas schools</i> \$10,000 00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Neighbors United for Quality Education / East Dallas Community School 924 Wayne Street Dallas, TX 75223 <i>Operating expenses</i> \$15,000.00 2009	501c(3)	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
Education Opportunities 8350 N. Central Expy, Ste 775 Dallas, TX 75206 <i>Academic scholarship for a student to attend college</i> \$3,000.00 2009	501c(3)	\$0.00	\$3,000 00	\$0.00	\$3,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Mi Escuela Preschool 4231 Maple Avenue P. O. Box 191487 Dallas, TX 75219-9998 <i>Scholarships for low income children to attend preschool</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Open Multilingual Preschool dba Open Door Preschool 4105 Junius Street Dallas, TX 75246 <i>Scholarships for low-income children to attend preschool for 2010</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Friends of Children with Down Syndrome / The Rise School 5923 Royal Lane Dallas, TX 75230 <i>Four-year pledge for capital campaign for school that provides education to children with Down Syndrome and other developmental problems</i> \$100,000.00 2008	501c(3)	\$75,000.00	\$0.00	\$0.00	\$25,000.00	\$50,000.00
June Shelton School and Evaluation Center 15720 Hillcrest Road Dallas, TX 75248 <i>Six-year pledge for scholarship funds</i> \$90,000.00 2005	501c(3)	\$15,000.00	\$0.00	\$0.00	\$15,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
June Shelton School and Evaluation Center 15720 Hillcrest Road Dallas, TX 75248 <i>Two-year grant for CAARTE (Center for Research, Treatment and Education) program in collaboration with UT Southwestern Medical Center and Center for Brain Health, for children with advanced ADHD</i> \$50,000.00 2009	501c(3)	\$0.00	\$50,000.00	\$0.00	\$25,000.00	\$25,000.00
St. Philip's School & Community Center 1600 Pennsylvania Avenue Dallas, TX 75215 <i>Costs associated with the expansion of Pre-K 4 level</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Teach For America 315 West 36th Street, 6th Fl. New York, NY 10018 <i>Launch of Dallas site for organization that uses alternative teacher recruitment and placement in under-resourced schools</i> \$50,000.00 2009	501c(3)	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
United Negro College Fund, Inc. 2800 Swiss Avenue Dallas, TX 75204 <i>Emergency Student Aid campaign</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
University of North Texas Foundation 1901 Main Street Dallas, TX 75201 <i>Three-year pledge for the Steven C. Poe Graduate Scholarships in Peace Studies</i> \$30,000.00 2007	501c(3)	\$10,000.00	\$0.00	\$0.00	\$10,000.00	\$0.00
University of North Texas Foundation 1901 Main Street Dallas, TX 75201 <i>Two-year pledge for general scholarship fund for Dallas Campus</i> \$100,000.00 2008	501c(3)	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Uplift Education 1750 Viceroy Drive Dallas, TX 75235 <i>Two-year pledge for land acquisition for Hampton Preparatory charter school campus in South Dallas</i> \$45,000.00 2009	501c(3)	\$0.00	\$45,000.00	\$0.00	\$20,000.00	\$25,000.00
Uplift Education 1750 Viceroy Drive Dallas, TX 75235 <i>Supplies for two science labs at Hampton School</i> \$2,361.00 2009	501c(3)	\$0.00	\$2,361.00	\$0.00	\$2,361.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Vickery Meadow Learning Center 6329 Ridgecrest Rd. Dallas, TX 75231 <i>Event benefiting literacy program for children and adults</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
The Zero to Five Funders Collaborative Fund of The Dallas Foundation The Dallas Foundation 900 Jackson Street, Ste 705 Dallas, TX 75202 <i>+ One-year pledge for early childhood/school readiness initiative in Bachman Lake area</i>	501c(3)	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
\$50,000.00 2009						
Total EDUCATION (24 items)		\$240,000.00	\$279,861.00	\$0.00	\$374,861.00	\$145,000.00
HEALTH & MEDICAL						
AIDS Arms, Inc. 219 Sunset Avenue, Suite 116-A Dallas, TX 75208 <i>Operating expenses</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
AIDS Interfaith Network, Inc. 501 North Stemmons, Suite 200 Dallas, TX 75207 <i>Sponsorship of The Great Gatsby dinner</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Alzheimer's Disease & Related Disorders Association dba Alzheimer's Association 4144 North Central Expressway Dallas, TX 75204 <i>Rita Hayworth gala</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
American Cancer Society 8900 Carpenter Freeway Dallas, TX 75247 <i>Cattle Baron's Ball for cancer research</i> \$7,500.00 2009	501c(3)	\$0.00	\$7,500.00	\$0.00	\$7,500.00	\$0.00
Open Arms, Inc. dba Bryan's House P.O. Box 35868 5940 Forest Park Road Dallas, TX 75235 <i>Medically Managed Childcare program</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Children's Medical Foundation of Texas Development Office 2777 Stemmons, Suite 1025 Dallas, TX 75207-2214 <i>Five-year pledge for hospital within Comprehensive Center for Heart Care</i> \$5,004,441.00 2004	501c(3)	\$1,000,000.00	\$0.00	\$4,441.00	\$1,004,441.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Dignitas International USA 2 Adelaide Street West Suite 200 Toronto, Ontario M5C 1L6 + <i>Three-year pledge for community-based model of health care for developing countries</i> \$75,000.00 2008	501c(3)	\$50,000.00	\$0.00	\$0.00	\$25,000.00	\$25,000.00
Foundation Fighting Blindness 11435 Cronhill Drive Owings Mills, MD 21117-2220 <i>Dining in the Dark Visionary Awards</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Foundation Fighting Blindness 11435 Cronhill Drive Owings Mills, MD 21117-2220 <i>2010 Dining in the Dark Visionary Awards</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Grand Prairie Wellness Center 1710 Small Street Grand Prairie, TX 75050 <i>Operating expenses for organization providing wellness services to disadvantaged or low-income people</i> \$15,000.00 2009	501c(3)	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Healing Hands Ministries P O. Box 741524 Dallas, TX 75374-1524 <i>Expansion of services for organization that operates a medical and dental clinic serving uninsured residents of Lake Highland community</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Hearing School of the Southwest 151 N. Moore Road Coppell, TX 75019 <i>Operating expenses</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
KidneyTexas, Inc. 6138 Berkshire Lane, Ste 10 Dallas, TX 75225 <i>Annual luncheon and fashion show</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Mental Health America of Greater Dallas 624 N. Good Latimer St. Ste 200 Dallas, TX 75204-1058 <i>Operating expenses</i> \$15,000.00 2009	501c(3)	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Parkland Foundation 2777 Stemmons Fwy, Ste 1700, LB 69 Dallas, TX 75207 <i>Five-year pledge for challenge grant for construction of new Women and Infants' Specialty Health Hospital</i> \$50,000,000.00 2008	501c(3)	\$48,750,000.00	\$0.00	\$0.00	\$6,265,000.00	\$42,485,000.00
Planned Parenthood of North Texas, Inc. 7424 Greenville Avenue Suite 206 Dallas, TX 75231 <i>Three-year pledge for Constituent Identification project</i> \$60,000.00 2007	501c(3)	\$20,000.00	\$0.00	\$0.00	\$20,000.00	\$0.00
Planned Parenthood of North Texas, Inc. 7424 Greenville Avenue Suite 206 Dallas, TX 75231 <i>2010 Awards luncheon</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Ronald McDonald House of Dallas 4707 Bengal Street Dallas, TX 75235 <i>The Trains at NorthPark</i> \$1,000.00 2009	501c(3)	\$0.00	\$1,000.00	\$0.00	\$1,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Santa Barbara Cottage Hospital Foundation P. O. Box 689 Santa Barbara, CA 93102-0689 <i>Four-year pledge of \$400,000 for building campaign</i> \$400,000 00 2006	501c(3)	\$100,000 00	\$0.00	\$0 00	\$100,000 00	\$0.00
Special Olympics Texas Greater Dallas - Area 10 400 South Zang Suite 926 Dallas, TX 75208 <i>40th Anniversary</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
SpiritHorse Therapeutic Riding Center 1960 Post Oak Road Corinth, TX 76210 <i>Operating expenses for therapeutic riding program for children with disabilities</i> \$25,000.00 2009	501c(3)	\$0 00	\$25,000.00	\$0.00	\$25,000 00	\$0.00
SpiritHorse Therapeutic Riding Center 1960 Post Oak Road Corinth, TX 76210 <i>Operating expenses for therapeutic horse riding program for children with disabilities</i> \$25,000.00 2009	501c(3)	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0 00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Texas Scottish Rite Hospital for Crippled Children 2222 Welborn St. Dallas, TX 75219 <i>Treasure Street</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Texas Scottish Rite Hospital for Crippled Children 2222 Welborn St. Dallas, TX 75219 <i>Dallas Association of Petroleum Landmen golf tournament</i> \$500.00 2009	501c(3)	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
Texas Voice Project for Parkinson Disease 500 N. Coit Road, Suite 2085 Richardson, TX 75080 <i>Operating expenses for organization providing voice and other treatments to Parkinson Disease patients</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
University of Texas M. D. Anderson Cancer Center 1020 Holcombe Blvd., Ste 1201 Houston, TX 77030 <i>A Conversation with a Living Legend Laura Bush</i> \$25,000.00 2009	501c(3)	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Total HEALTH & MEDICAL (26 items)		\$49,920,000.00	\$219,000.00	\$4,441.00	\$7,633,441.00	\$42,510,000.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
RELIGION						
Christian Ethics Today Foundation P O. Box 1165 Argyle, TX 76226 <i>Operating expenses</i> \$1,000.00 2009	501c(3)	\$0.00	\$1,000.00	\$0.00	\$1,000.00	\$0.00
Harmony Tabernacle P. O. Box 27 Lancaster, TX 75146 <i>+ Three-year pledge for capital campaign for church ministry in Lancaster, Texas</i> \$100,000.00 2008	501c(3)	\$65,000.00	\$0.00	\$0.00	\$35,000.00	\$30,000.00
Total RELIGION (2 items)		\$65,000.00	\$1,000.00	\$0.00	\$36,000.00	\$30,000.00
SOCIAL WELFARE						
Association For Independent Living 2826 Storey Lane Dallas, TX 75220 <i>Life skills training in a supported living environment for adults who are developmentally challenged</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Attitudes & Attire World Trade Center P.O. Box 421107 Dallas, TX 75342 <i>Outreach program assisting low-income women with training, counseling and clothing</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Brighter Tomorrows P.O. Box 532151 Grand Prairie, TX 75053 <i>Emergency funding for domestic violence shelter</i> \$20,000.00 2009	501c(3)	\$0 00	\$20,000 00	\$0.00	\$20,000 00	\$0.00
Camp Summit, Inc. 17210 Campbell Road Suite 180-W Dallas, TX 75252 <i>Camperships program for disabled youth and adults</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0 00
Cooperative for Assistance and Relief Everywhere, Inc. (CARE) 151 Ellis St. NE Atlanta, GA 30303 <i>Three-year pledge for water and sanitation education and hygiene promotion for schools in Vietnam</i> \$75,000.00 2007	501c(3)	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0 00
ChildCareGroup 8585 North Stemmons Fwy, Ste 500 Dallas, TX 75247-3839 <i>Web-based child care resource and referral service for parents, corporations and child care providers</i> \$20,000.00 2009	501c(3)	\$0 00	\$20,000.00	\$0.00	\$20,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Children at Risk	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
2900 Wesleyan, Suite 400 Houston, TX 77027 + Advocacy for victims of child and youth trafficking in Dallas Area \$10,000.00 2009						
Children at Risk	501c(3)	\$0.00	\$446.53	\$0.00	\$446.53	\$0.00
2900 Wesleyan, Suite 400 Houston, TX 77027 Luncheon for child trafficking awareness campaign \$446.53 2009						
Contact Crisis Line	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
P.O. Box 800742 Dallas, TX 75380-0742 Community Engagement program \$5,000.00 2009						
Pregnancy Resource Council dba Council for Life	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
4516 Lovers Lane PMB 103 Dallas, TX 75225-6993 Celebrating Life luncheon \$5,000.00 2009						
Dallas Furniture Bank	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
P.O. Box 815788 Dallas, TX 75381 Operating expenses \$10,000.00 2009						

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Dallas Women's Foundation 4300 MacArthur Avenue, Suite 255 Dallas, TX 75209 <i>Five-year pledge for capital campaign</i> \$500,000.00 2007	501c(3)	\$300,000.00	\$0.00	\$0.00	\$100,000.00	\$200,000.00
Educational First Steps 2804 Swiss Avenue Dallas, TX 75204 <i>Participation of a child care center in Four Steps to Excellence program</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Family Gateway 2910 Swiss Avenue Dallas, TX 75204 <i>Operating expenses for organization providing shelter and services for homeless families</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
The Family Place P.O. Box 7999 Dallas, TX 75209-9998 <i>Operating expenses for domestic violence shelter</i> \$25,000.00 2009	501c(3)	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Foundation Communities 3036 South First, Ste 200 Austin, TX 78704 <i>Two-year pledge for affordable housing utilizing green building strategies for low-income people</i> \$100,000.00 2008	501c(3)	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Foundation Communities 3036 South First, Ste 200 Austin, TX 78704 <i>Additional green improvements to affordable housing for low-income people</i> \$25,000.00 2009	501c(3)	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Foundation Communities 3036 South First, Ste 200 Austin, TX 78704 <i>Dallas community tax center program to provide free income tax preparation to low-income people</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Galaxy Counseling Center 1025 S. Jupiter Rd. Garland, TX 75042 <i>Sexual Abuse Treatment program</i> \$15,000.00 2009	501c(3)	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Homeward Bound P. O. Box 222194 Dallas, TX 75222 <i>Salary for full-time case manager</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Human Rights Initiative of North Texas 2801 Swiss Avenue Dallas, TX 75204-5925 <i>Five-year pledge for operating expenses</i> \$500,000.00 2007	501c(3)	\$300,000.00	\$0.00	\$0.00	\$100,000.00	\$200,000.00
Interfaith Housing Coalition P. O. Box 720206 Dallas, TX 75372 <i>Operating expenses for program providing transitional housing and support services to homeless families</i>	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
\$20,000.00 2009						
Junior League of Dallas, Inc. 8003 Inwood Road Dallas, TX 75209-3335 <i>Junior League Ball</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Special Care & Career Services dba LaunchAbility 4350 Sigma, Suite 100 Farmers Branch, TX 75244-4416 <i>Supported employment services and on-site job training for adults with cognitive disabilities</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Legacy Counseling Center 4054 McKinney Avenue, Suite 102 Dallas, TX 75204 <i>Mental health and substance abuse programs for people with HIV/AIDS</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
LifeLine Shelter for Families 123 West Main, Ste 300 Grand Prairie, TX 75050 <i>\$15,000 for emergency shelter and \$15,000 for part-time assistant caseworker salary</i> \$30,000.00 2009	501c(3)	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00
LifeNet Community Behavioral Healthcare 9708 Skillman Dallas, TX 75243 <i>Operating expenses for organization providing mental health services for children and adults</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Metro Dallas Homeless Alliance 1818 Corsicana Street Dallas, TX 75201 <i>Four-year pledge for the Campaign to End Chronic Homelessness</i> \$1,000,000.00 2009	501c(3)	\$0.00	\$1,000,000.00	\$0.00	\$250,000.00	\$750,000.00
National Council of Jewish Women, Greater Dallas Section, Inc. 6025 Royal Lane, Suite 219-9 Dallas, TX 75230 <i>Reaching New Heights non-event fundraiser</i> \$1,800.00 2009	501c(3)	\$0.00	\$1,800.00	\$0.00	\$1,800.00	\$0.00
New Beginning Center 218 N. Tenth Street Garland, TX 75040 <i>Operating expenses</i> \$15,000.00 2009	501c(3)	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
Amy's Friends/New Friends New Life P.O. Box 192378 Dallas, TX 75219 <i>WINGS luncheon for organization that supplies resources for women who want to leave sexually oriented businesses</i> \$1,250.00 2009	501c(3)	\$0.00	\$1,250.00	\$0.00	\$1,250.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Nexus, Inc. 8733 La Prada Drive Dallas, TX 75228 <i>Client financial aid for substance abuse treatment</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
North Dallas Shared Ministries, Inc. 2875 Merrell Road Dallas, TX 75229-4702 <i>Clothes Closet support for the disadvantaged</i> \$25,000.00 2009	501c(3)	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Phoenix Houses of Texas Inc. dba Phoenix House 2345 Reagan Street Dallas, TX 75219 <i>Award luncheon honoring Congressman Pete Sessions for substance abuse treatment program</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Promise House, Inc. 224 West Page Dallas, TX 75208 <i>Emergency youth shelter program</i> \$30,000.00 2009	501c(3)	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Salvation Army P.O. Box 36607 Dallas, TX 75235 <i>Annual Doing the Most Good luncheon</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Salvation Army P.O. Box 36607 Dallas, TX 75235 <i>Operating expenses</i> \$25,000.00 2009	501c(3)	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Shepherd's Center of North Dallas 6411 LBJ Freeway Dallas, TX 75240 <i>Operating expenses for organization providing learning activities and service opportunities for senior citizens</i> \$1,500.00 2009	501c(3)	\$0.00	\$1,500.00	\$0.00	\$1,500.00	\$0.00
First Presbyterian Church/The Stewpot 408 Park Avenue Dallas, TX 75201 <i>Representative Payee program</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Texas Muslim Women's Foundation P. O. Box 831419 Richardson, TX 75083-1419 <i>Annual event</i> \$1,000.00 2009	501c(3)	\$0.00	\$1,000.00	\$0.00	\$1,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Trinity River Mission 2060 Singleton Blvd. Ste 104 Dallas, TX 75212 <i>Operating expenses for community learning center for children, youth and families in West Dallas</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0 00	\$20,000.00	\$0.00
Victims Outreach 5327 N. Central Expressway, Suite 305 Dallas, TX 75205 <i>Operating expenses for organization providing support services for victims of violent crime</i> \$5,000.00 2009	501c(3)	\$0 00	\$5,000 00	\$0 00	\$5,000 00	\$0.00
Wednesday's Child Benefit Corporation 11882 Greenville Avenue, Suite 113 Dallas, TX 75243 <i>Operating expenses for organization providing resources to foster children</i> \$5,000 00 2009	501c(3)	\$0 00	\$5,000 00	\$0 00	\$5,000.00	\$0.00
Wesley-Rankin Community Center 3100 Crossman Avenue Dallas, TX 75212 <i>Operating expenses for organization that serves Hispanics in West Dallas with after school programming, summer camp, mentoring, college prepration, computer, general literacy programs and senior citizens day program</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0 00	\$5,000 00	\$0.00
Total SOCIAL WELFARE (44 items)		\$675,000.00	\$1,463,496 53	\$0.00	\$988,496 53	\$1,150,000.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
UNITED WAY						
United Way of Metropolitan Dallas, Inc. 1800 N. Lamar St. Dallas, TX 75202 <i>Match of Contran Corporation employees' gifts (\$78,394)</i> \$80,000.00 2009	501c(3)	\$0.00	\$80,000.00	\$0.00	\$80,000.00	\$0.00
Total UNITED WAY (1 item)						
		\$0.00	\$80,000.00	\$0.00	\$80,000.00	\$0.00
YOUTH						
Children's Center for Self-Esteem dba The ARKGroup 2215 Canada Dr. Dallas, TX 75212 <i>Operating expenses for organization that trains parents and teachers to raise childrens' self-esteem</i> \$20,000.00 2009	The 501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
AVANCE						
2816 Swiss Avenue Dallas, TX 75204 <i>Two-year pledge for Operations Manager staff position for organization providing outreach, support and education to low-income Hispanic families</i>	501c(3)	\$0.00	\$75,000.00	\$0.00	\$25,000.00	\$50,000.00
\$75,000.00 2009						

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Big Thought 2501 Oak Lawn Avenue Suite 550, LB 42 Dallas, TX 75219 <i>Three-year pledge for Thriving Minds arts learning initiative for at-risk youth</i> \$1,000,000.00 2007	501c(3)	\$333,000.00	\$0.00	\$0.00	\$333,000.00	\$0.00
Boy Scouts of America 8605 Harry Hines Boulevard P.O. Box 35726 Dallas, TX 75235-0726 <i>Friends of Scouting campaign</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
Exchange Club Center for the Prevention of Child Abuse of DFW dba Child Abuse Prevention Center 4210 Junius Street Dallas, TX 75246 <i>Operating expenses</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Communities in Schools Dallas 8700 N. Stemmons Fwy, Ste 125 Dallas, TX 75247-3729 <i>Mobile Services Team for 2009-2010</i> \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Crystal Charity Ball 30 Highland Park Village Suite 206 Dallas, TX 75205 <i>Baylor Health Care System Foundation and Interfaith Housing Coalition (60,250 shares TIE stock)</i> \$501,280.00 2009	501c(3)	\$0.00	\$501,280.00	\$0.00	\$501,280.00	\$0.00
Crystal Charity Ball 30 Highland Park Village Suite 206 Dallas, TX 75205 <i>Baylor Health Care System Foundation and Interfaith Housing Coalition</i> \$527.50 2009	501c(3)	\$0.00	\$527.50	\$0.00	\$527.50	\$0.00
Girl Scouts of Northeast Texas 6001 Summerside Drive Dallas, TX 75252 <i>Girl Scouts Direct program to bring girl scouting to at-risk elementary age girls in 40 Dallas area schools</i> \$10,000.00 2009	501c(3)	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Girls Incorporated of Metropolitan Dallas 2040 Empire Central Drive Dallas, TX 75235 <i>2009 operating expenses</i> \$25,000.00 2009	501c(3)	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Global Fund for Children 1101 Fourteenth Street, NW, Suite 420 Washington, DC 20005 + Operating expenses for grassroots organizations in Southeast Asia \$20,000.00 2009	501c(3)	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Greater Texas Community Partners 2801 Swiss Avenue Ste 110 Dallas, TX 75204 <i>The Reel Event providing support for abused and neglected children</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Hogar Infantil Texas, Inc. P.O. Box 1835 Granbury, TX 77303 <i>Operating expenses for residential facility serving homeless children in Mexico</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
Hogar Infantil Texas, Inc. P.O. Box 1835 Granbury, TX 77303 <i>2010 operating expenses for residential facility serving homeless children in Mexico</i> \$2,000.00 2009	501c(3)	\$0.00	\$2,000.00	\$0.00	\$2,000.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Junior Players Guild 4054 McKinney Avenue, Suite 104 Dallas, TX 75204 <i>Arts programs for at-risk youth</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500 00	\$0 00	\$2,500.00	\$0 00
Low Birth Weight Development Center 345 Calumet Avenue Dallas, TX 75211 <i>Therapeutic preschool program for at-risk low birth weight children</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000 00	\$0.00	\$5,000.00	\$0.00
Our Friends' Place Episcopal Girls Home 2501 Oak Lawn Avenue, Ste 500 Dallas, TX 75219-4046 <i>Operating expenses for therapeutic foster group home and transitional housing for neglected and abused girls</i> \$5,000.00 2009	501c(3)	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Prevent Child Abuse Texas 13740 Research Blvd. #R-4 Austin, TX 78750 <i>Sponsorship of annual Conference on the Prevention of Child Abuse</i> \$2,500.00 2009	501c(3)	\$0.00	\$2,500 00	\$0.00	\$2,500.00	\$0.00

Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Rainbow Days, Inc. 8150 N. Central Expressway, Ste 1600 Dallas, TX 75206 <i>Prevention programs for children, youth and parents at-risk for substance abuse</i> \$15,000 00 2009	501c(3)	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
Special Camps for Special Kids/Camp John Marc 2824 Swiss Avenue Dallas, TX 75204 <i>Live Oak campaign</i> \$1,000 00 2009	501c(3)	\$0.00	\$1,000.00	\$0.00	\$1,000.00	\$0.00
Texas Christian University TCU Box 297044 Fort Worth, TX 76129 <i>Three-year pledge for the Institute of Child Development Outreach Project</i> \$300,000.00 2007	501c(3)	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
Dallas Foundation/TexProtects Fund Meadows Foundation Executive Suites 2904 Floyd Street, Ste C2 Dallas, TX 75204-5910 <i>Three-year pledge for staff salary for organization that advocates for at-risk, neglected and abused children</i> \$150,000.00 2007	501c(3)	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Total YOUTH (22 items)		\$483,000.00	\$734,807.50	\$0.00	\$1,167,807.50	\$50,000.00
Grand Totals (149 items)		\$55,383,000.00	\$7,992,165.03	\$4,441.00	\$12,800,856.03 *Less 7,790	\$50,578,750.00
					\$12,793,066	

*Goods and Services Received

Harold Simmons Foundation, Inc.
Form 990-PF
Year ended 12/31/2009

75-2222091

Part XV - line 3b
Grants & Contributions Approved for Future Payment

<u>Name and address</u>	<u>Purpose</u>	<u>Amount</u>
Alba Golden Junior High School Activity Fund	Educational	\$25,000
Parkland Foundation	Health And Medical	42,485,000
June Shelton School and Evaluation Center	Education	25,000
Brown University	Education	20,000
Dallas Women's Foundation	Social Welfare	200,000
Human Rights Initiative of North Texas	Social Welfare	200,000
Dallas Black Dance Theatre	Arts & Culture	200,000
Dallas Museum of Art	Arts & Culture	300,000
Dallas Zoological Society	Civic	2,183,750
Dignitas International	Health And Medical	25,000
Harmony Tabernacle	Religion	30,000
The Rise School of Dallas	Education	50,000
AVANCE	Social Welfare	50,000
The Dallas Arboretum	Civic	4,010,000
Metro Dallas Homeless Alliance	Social Welfare	750,000
Uplift Education	Education	25,000
Total		<u><u>\$50,578,750</u></u>

Harold Simmons Foundation, Inc.
Form 990-PF
Year ended 12/31/2009

75-2222091

Part VIII - line 1
List of officers and directors

<u>Name and address</u>	<u>Title</u>		<u>Compensation</u>	<u>Employee Benefits</u>	<u>Expense Account</u>
Harold C. Simmons 5430 LBJ Freeway, Suite 1700 Dallas, Texas 75240	Chairman	*	\$0	\$0	\$0
Lisa Simmons Epstein 5430 LBJ Freeway, Suite 1700 Dallas, Texas 75240	President	*	0	0	0
Serena S Connelly 5430 LBJ Freeway, Suite 1700 Dallas, Texas 75240	Executive V.P	*	0	0	0
A. Andrew R Louis 5430 LBJ Freeway, Suite 1700 Dallas, Texas 75240	Secretary		0	0	0
William J. Lindquist 5430 LBJ Freeway, Suite 1700 Dallas, Texas 75240	Vice President		0	0	0
John A St Wrba 5430 LBJ Freeway, Suite 1700 Dallas, Texas 75240	Treasurer		0	0	0
Keith A Johnson 5430 LBJ Freeway, Suite 1700 Dallas, Texas 75240	Controller		0	0	0
J. Mark Hollingsworth 5430 LBJ Freeway, Suite 1700 Dallas, Texas 75240	Asst Sec.		0	0	0
Total			<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

* Director

**Application for Extension of Time To File an
Exempt Organization Return**

► **File a separate application for each return.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization HAROLD SIMMONS FOUNDATION, INC.	Employer identification number 75 2222091	
	Number, street, and room or suite no. If a P O box, see instructions 5430 LBJ FREEWAY, SUITE 1700		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions DALLAS, TX 75240-2697		

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- The books are in the care of ► **KEITH A. JOHNSON**

Telephone No. ► (**972**) **450-4248** FAX No. ► (**972**) **448-1444**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **8/16**, 20**10**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 20**09** or
 - ☐ tax year beginning _____, 20____, and ending _____, 20_____.

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 14,418
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 5418
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 9,000

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.