Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE NATHAN J. KLEIN FUND
Number and street (or P O box number if mail is not delivered to street address): 2211 NORFOLK, SUITE 1050
Room/suite
City or town, state, and ZIP code: HOUSTON, TX 77098

A Employer identification number: 74-6060543
B Telephone number (see page 10 of the instructions): (713) 533-4400
C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 6,591,869.
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

SCANNED NOV 1 8 2010

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc, received (attach schedule)				
2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	58,707.	58,707.		ATCH 1
5a	Gross rents	259,553.	259,553.		
b	Net rental income or (loss) 176,964.				
6a	Net gain or (loss) from sale of assets not on line 10	-171,788.			
b	Gross sales price for all assets on line 6a 774,741.				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	3.	3.		ATCH 2
12	**Total.** Add lines 1 through 11	146,475.	318,263.		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 3	12,729.	12,729.	0.	0.
c	Other professional fees (attach schedule) *	3,600.	3,600.		
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) **	3,099.	758.		
19	Depreciation (attach schedule) and depletion	38,502.	38,502.		
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 6	72,652.	28,563.		
24	**Total operating and administrative expenses.** Add lines 13 through 23	130,582.	84,152.	0.	0.
25	Contributions, gifts, grants paid	416,000.			416,000.
26	**Total expenses and disbursements.** Add lines 24 and 25	546,582.	84,152.	0.	416,000.
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	-400,107.			
b	**Net investment income** (if negative, enter -0-)		234,111.		
c	**Adjusted net income** (if negative, enter -0-)			-0-	

RECEIVED NOV 15 2010 OGDEN, UT

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. * ATCH 4 JSA ** ATCH 5 Form **990-PF** (2009)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	20,429.	54,430.	54,430.
	2 Savings and temporary cash investments	1,682,129.	1,455,173.	1,455,173.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less. allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges ATCH 7	48,084.	36,720.	36,720.
	10 a Investments - U S and state government obligations (attach schedule) **	445,913.	445,913.	1,035,270.
	b Investments - corporate stock (attach schedule) ATCH 9	1,322,867.	1,155,499.	1,136,588.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶ 1,981,578.			ATCH 10
	Less accumulated depreciation (attach schedule) ▶ 279,140.	1,740,940.	1,702,438.	2,832,686.
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ ATCH 11)	41,002.	41,002.	41,002.
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	5,301,364.	4,891,175.	6,591,869.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	10,000.	0.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 12)	0.	0.	
	23 **Total liabilities** (add lines 17 through 22)	10,000.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	5,291,364.	4,891,175.	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	5,291,364.	4,891,175.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	5,301,364.	4,891,175.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,291,364.
2	Enter amount from Part I, line 27a	2	-400,107.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,891,257.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 13	5	82.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,891,175.

**ATCH 8

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-171,788.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	442,000.	6,982,745.	0.063299
2007	387,365.	7,188,585.	0.053886
2006	536,500.	6,398,086.	0.083853
2005	206,000.	5,642,093.	0.036511
2004	297,500.	5,578,617.	0.053329

2	Total of line 1, column (d)	2	0.290878
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.058176
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	6,427,104.
5	Multiply line 4 by line 3	5	373,903.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,341.
7	Add lines 5 and 6	7	376,244.
8	Enter qualifying distributions from Part XII, line 4	8	416,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	2,341.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	2,341.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	2,341.
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 6,375.		
b	Exempt foreign organizations-tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	6,375.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	4,034.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 4,034. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ TX,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ EDWARD J. KLEIN Telephone no ▶ 713-533-4400

Located at ▶ 2211 NORFOLK, SUITE 1050 HOUSTON, TX ZIP + 4 ▶ 77098

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION HAS NO DIRECT CHARITABLE ACTIVITIES. IT ONLY DISBURSES CHARITABLE FUNDS TO OTHER TAX-EXEMPT ORGANIZATIONS SEE ANSWER TO PART XV QUESTION 2.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 THE FOUNDATION DOES NOT HAVE ANY PROGRAM-RELATED INVESTMENTS	0.
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,031,500.
b	Average of monthly cash balances	1b	1,660,793.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	2,832,686.
d	**Total** (add lines 1a, b, and c)	1d	6,524,979.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,524,979.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	97,875.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,427,104.
6	**Minimum investment return.** Enter 5% of line 5	6	321,355.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	321,355.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	2,341.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,341.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	319,014.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	319,014.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	319,014.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	416,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	416,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	2,341.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	413,659.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				319,014.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 07 ,20 06 ,20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004 ... 26,389.				
b From 2005 ... 0.				
c From 2006 ... 229,784.				
d From 2007 ... 35,646.				
e From 2008 ... 99,104.				
f Total of lines 3a through e	390,923.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 416,000.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				319,014.
e Remaining amount distributed out of corpus	96,986.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	487,909.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	26,389.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	461,520.			
10 Analysis of line 9				
a Excess from 2005 ... 0.				
b Excess from 2006 ... 229,784.				
c Excess from 2007 ... 35,646.				
d Excess from 2008 ... 99,104.				
e Excess from 2009 ... 96,986.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

ATTACHMENT 15

b The form in which applications should be submitted and information and materials they should include:

ATTACHMENT 16

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 17				
Total . . . . ▶ **3a**				416,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

JSA
9E1491 1 000
11654G 701W V 09-8.5 030122

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	58,707.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property			16	176,964.	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-171,788.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATTACHMENT 18				3.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				63,886.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 63,886.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions)
1	N/A - THE ORGANIZATION DID NOT HAVE ANY RELATED OR EXEMPT
2	FUNCTION INCOME.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
[signature]	11/11/10	TRUSTEE

Paid Preparer's Use Only

Preparer's signature	Jay A Epstein CPA	Date 11/10/10	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code	BDO USA, LLP 333 CLAY STREET, SUITE 4700 HOUSTON, TX 77002-4180	EIN	Phone no 713-659-6551

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print	Name of Exempt Organization THE NATHAN J. KLEIN FUND	**Employer identification number** 74-6060543
File by the extended due date for filing the return. See instructions.	Number, street, and room or suite no. If a P O. box, see instructions. 2211 NORFOLK, SUITE 1050	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. HOUSTON, TX 77098	

Check type of return to be filed (File a separate application for each return):

[ ] Form 990	[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 6069
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 4720	[ ] Form 8870
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ EDWARD J. KLEIN

Telephone No. ▶ 713 533-4400 FAX No. ▶ 713 533-4401

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . ▶ [ ] . If it is for part of the group, check this box . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15/2010 .

5 For calendar year 2009 , or other tax year beginning ______ , and ending ______ .

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension

TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER ALL THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 6,375.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 6,375.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS(Electronic Federal Tax Payment System) See instructions.	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Jay a Eastman CPA Title ▶ Date ▶ 8/10/2010

BDO USA, LLP
333 CLAY STREET, SUITE 4700
HOUSTON, TX 77002-4180

Form **8868** (Rev. 4-2009)

INTERNAL REVENUE SERVICE
W & I - FIELD ASSISTANCE
HOUSTON, TX 77002
AUG 12 2010
RECEIVED
45302

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (*e-file*). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Type or print	Name of Exempt Organization	Employer identification number
	THE NATHAN J. KLEIN FUND	74-6060543
File by the due date for filing your return See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 2211 NORFOLK, SUITE 1050	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. HOUSTON, TX 77098	

Check type of return to be filed (file a separate application for each return).

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

INTERNAL REVENUE SERVICE MAY 1 0 2010

- The books are in the care of ▶ EDWARD J. KLEIN

Telephone No. ▶ 713 533-4400 FAX No. ▶ 713 533-4401

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 2009 or
▶ [ ] tax year beginning ______, ______, and ending ______, ______.

2 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 6,375.
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 6,375.
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See Instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE NATHAN J. KLEIN FUND

Employer identification number: 74-6060543

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a PUBLICLY TRADED SECURITIES A/C 1283	VAR	VAR	62,916.	102,457.	-39,541.
PUBLICLY TRADED SECURITIES A/C 7826	VAR	VAR	33,223.	33,212.	11.
PUBLICLY TRADED SECURITIES A/C 1639	VAR	VAR	108,898.	133,615.	-24,717.
PUBLICLY TRADED SECURITIES A/C 7816	VAR	VAR	23,105.	27,681.	-4,576.
PUBLICLY TRADED SECURITIES A/C 1902	VAR	VAR	152,399.	141,160.	11,239.
PUBLICLY TRADED SECURITIES A/C 3897	VAR	VAR	65,406.	56,033.	9,373.
PUBLICLY TRADED SECURITIES A/C 048291	VAR	VAR	1,495.	1,404.	91.
PUBLICLY TRADED SECURITIES A/C 024970	VAR	VAR	20,463.	16,390.	4,073.
PUBLICLY TRADED SECURITIES A/C 012432	VAR	VAR	22,095.	17,147.	4,948.
PUBLICLY TRADED SECURITIES A/C 032751	VAR	VAR	9,020.	7,865.	1,155.
CAPITAL LOSS PER K-1 - NUSTAR ENERGY LP	VAR	VAR		31.	-31.
CAPITAL LOSS PER K-1 - ALLIANCEBERNSTEIN HOLDING	VAR	VAR		2.	-2.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . -37,977.

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a PUBLICLY TRADED SECURITIES A/C 1283	VAR	VAR	45,550.	106,397.	-60,847.
PUBLICLY TRADED SECURITIES A/C 7826	VAR	VAR	98,626.	130,572.	-31,946.
PUBLICLY TRADED SECURITIES A/C 1639	VAR	VAR	23,625.	30,067.	-6,442.
PUBLICLY TRADED SECURITIES A/C 7816	VAR	VAR	56,707.	77,923.	-21,216.
PUBLICLY TRADED SECURITIES A/C 1902	VAR	VAR	16,563.	19,623.	-3,060.
PUBLICLY TRADED SECURITIES A/C 3897	VAR	VAR	18,840.	27,468.	-8,628.
PUBLICLY TRADED SECURITIES A/C 048291	VAR	VAR	8,785.	9,700.	-915.
PUBLICLY TRADED SECURITIES A/C 032751	VAR	VAR	6,609.	7,782.	-1,173.
CAPITAL GAIN DISTRIBUTION-MERRILL LYNCH	VAR	VAR	93.		93.
CAPITAL GAIN DISTRIBUTION-BRINKER CAPITAL 1937-1902	VAR	VAR	13.		13.
CAPITAL GAIN DISTRIBUTION-BRINKER CAPITAL 6810-1639	VAR	VAR	3.		3.
CAPITAL GAIN DISTRIBUTION-BRINKER CAPITAL BV2-01243	VAR	VAR	12.		12.
ALLIANCE CAPITAL MGMT-LEGAL SETTLEMENT	VAR	5/13/2009	295.		295.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . -133,811.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PUBLICLY TRADED SECURITIES A/C 1283					VAR	VAR
62,916.		102,457.					-39,541.	
		PUBLICLY TRADED SECURITIES A/C 1283					VAR	VAR
45,550.		106,397.					-60,847.	
		PUBLICLY TRADED SECURITIES A/C 7826					VAR	VAR
33,223.		33,212.					11.	
		PUBLICLY TRADED SECURITIES A/C 7826					VAR	VAR
98,626.		130,572.					-31,946.	
		PUBLICLY TRADED SECURITIES A/C 1639					VAR	VAR
108,898.		133,615.					-24,717.	
		PUBLICLY TRADED SECURITIES A/C 1639					VAR	VAR
23,625.		30,067.					-6,442.	
		PUBLICLY TRADED SECURITIES A/C 7816					VAR	VAR
23,105.		27,681.					-4,576.	
		PUBLICLY TRADED SECURITIES A/C 7816					VAR	VAR
56,707.		77,923.					-21,216.	
		PUBLICLY TRADED SECURITIES A/C 1902					VAR	VAR
152,399.		141,160.					11,239.	
		PUBLICLY TRADED SECURITIES A/C 1902					VAR	VAR
16,563.		19,623.					-3,060.	
		PUBLICLY TRADED SECURITIES A/C 3897					VAR	VAR
65,406.		56,033.					9,373.	
		PUBLICLY TRADED SECURITIES A/C 3897					VAR	VAR
18,840.		27,468.					-8,628.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PUBLICLY TRADED SECURITIES A/C 048291					VAR	VAR
1,495.		1,404.					91.	
		PUBLICLY TRADED SECURITIES A/C 048291					VAR	VAR
8,785.		9,700.					-915.	
		PUBLICLY TRADED SECURITIES A/C 024970					VAR	VAR
20,463.		16,390.					4,073.	
		PUBLICLY TRADED SECURITIES A/C 012432					VAR	VAR
22,095.		17,147.					4,948.	
		PUBLICLY TRADED SECURITIES A/C 032751					VAR	VAR
9,020.		7,865.					1,155.	
		PUBLICLY TRADED SECURITIES A/C 032751					VAR	VAR
6,609.		7,782.					-1,173.	
		CAPITAL LOSS PER K-1 - NUSTAR ENERGY LP					VAR	VAR
		31.					-31.	
		CAPITAL LOSS PER K-1 - ALLIANCEBERNSTEIN					VAR	VAR
		2.					-2.	
		CAPITAL GAIN DISTRIBUTION-MERRILL LYNCH					VAR	VAR
93.							93.	
		CAPITAL GAIN DISTRIBUTION-BRINKER CAPITA					VAR	VAR
13.							13.	
		CAPITAL GAIN DISTRIBUTION-BRINKER CAPITA					VAR	VAR
3.							3.	
		CAPITAL GAIN DISTRIBUTION-BRINKER CAPITA					VAR	VAR
12.							12.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		ALLIANCE CAPITAL MGMT-LEGAL SETTLEMENT					VAR	5/13/2009
295.							295.	
TOTAL GAIN(LOSS)							-171,788.	

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 31, 2002

Period Ending December 31, 2009

48127826

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
01/09/09	02/19/08	Chesapeake Energy Corp	CHK	33.000	$43 96	$1,450.83	$16.82	$555.13	($895.70)
01/09/09	02/25/08	Chesapeake Energy Corp	CHK	14.000	45 16	632 19	16.82	235.51	(396.68)
01/09/09	02/29/08	Chesapeake Energy Corp	CHK	20.000	45.42	908.40	16.82	336.44	(571.96)
01/30/09	02/01/08	Amazon Com Inc	AMZN	22.000	74.15	1,631.30	58.90	1,295.81	(335.49)
01/30/09	02/28/08	Amazon Com Inc	AMZN	1.000	68.50	68.50	58 90	58.90	(9.60)
03/09/09	05/27/08	Genentech Inc New	DNA--Z	13.000	68.00	884.00	90.94	1,182.25	298.25
03/09/09	03/24/08	Schering Plough Corp	SGP--Z	13.000	20.53	266.91	20.94	272.27	5.36
04/23/09	07/31/08	Coach Inc	COH	38.000	25.70	976.60	22.16	842.16	(134.44)
04/27/09	08/07/08	Marriott Intl Inc New Cl A	MAR	19.000	27 33	519.23	20.99	398.79	(120.44)
05/05/09	08/07/08	Marriott Intl Inc New Cl A	MAR	47.000	27.33	1,284.41	24.14	1,134.75	(149.66)
05/07/09	08/07/08	Marriott Intl Inc New Cl A	MAR	63.000	27.33	1,721.65	23.28	1,466.38	(255 27)
05/07/09	10/08/08	Marriott Intl Inc New Cl A	MAR	57.000	19.57	1,115.74	23.28	1,326.73	210 99
06/03/09	05/07/09	Starwood Hotels & Resorts Wrldwd	HOT	42.000	20.41	857.17	24 67	1,036.12	178.95
06/22/09	12/22/08	Research In Motion Ltd	RIMM	4.000	41.95	167.79	68.15	272.61	104.82
06/26/09	03/19/09	International Business Machine	IBM	15.000	92 14	1,382 09	105.47	1,582.04	199.95
07/02/09	03/19/09	International Business Machine	IBM	9.000	92.14	829.25	102.68	924.14	94.89
07/02/09	04/21/09	International Business Machine	IBM	17.000	101.59	1,727.00	102 68	1,745.60	18.60
07/09/09	07/31/08	Coach Inc	COH	38.000	25.70	976.60	24.28	922.77	(53.83)
07/14/09	09/04/08	Dell Inc	DELL	75 000	20.15	1,511 25	11.98	898.75	(612.50)
07/24/09	07/24/08	Zimmer Hldgs Inc	ZMH	17.000	66.82	1,135 94	44.13	750.17	(385.77)
07/30/09	10/08/08	Marriott Intl Inc New Cl A	MAR	0.070	19.57	1.37	21.57	1.51	0.14
07/30/09	10/13/08	Marriott Intl Inc New Cl A	MAR	0.129	20.70	2.67	21.63	2.79	0.12
07/30/09	11/07/08	Marriott Intl Inc New Cl A	MAR	0.291	18.87	5.49	21.62	6.29	0.80
08/04/09	08/12/08	Weatherford International Ltd	WFT	60.000	36.93	2,215.65	19.01	1,140.45	(1,075.20)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 31, 2002

Period Ending December 31, 2009

48127826

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
08/07/09	12/22/08	Research In Motion Ltd	RIMM	10.000	41.95	419.48	77.97	779.69	360.21
08/11/09	05/07/09	Starwood Hotels & Resorts Wrldwd	HOT	48.000	20.41	979.63	27.31	1,310.90	331.27
09/03/09	10/08/08	Marriott Intl Inc New Cl A	MAR	0.072	19.44	1.40	22.36	1.61	0 21
09/03/09	10/13/08	Marriott Intl Inc New Cl A	MAR	0.132	20.68	2.73	22.42	2.96	0 23
09/03/09	11/07/08	Marriott Intl Inc New Cl A	MAR	0.299	18.80	5.62	22.34	6.68	1.06
09/09/09	03/23/09	ABB Ltd ADR Sponsored	ABB	87.000	14.07	1,223.83	20.05	1,744.57	520.74
09/25/09	12/22/08	Research In Motion Ltd	RIMM	29.000	41.95	1,216.48	69.98	2,029.41	812 93
09/29/09	10/13/08	Zimmer Hldgs Inc	ZMH	7.000	56.34	394.35	53.52	374.62	(19.73)
10/15/09	05/07/09	Starwood Hotels & Resorts Wrldwd	HOT	41.000	20.41	836.76	34.46	1,412.98	576.22
10/22/09	11/07/08	Coach Inc	COH	40.000	17.27	690.67	33.59	1,343.77	653.10
10/22/09	12/19/08	Zimmer Hldgs Inc	ZMH	26.000	40.15	1,043.80	54.65	1,420.83	377.03
10/30/09	10/31/08	Electronic Arts	ERTS	61.000	23.04	1,405.43	18.23	1,111.73	(293.70)
10/30/09	07/21/09	Mastercard Inc	MA	15.000	181.35	2,720 19	219.68	3,295.26	575.07
		TOTAL SHORT-TERM						**$33,223.37**	**$10.97**
		LONG-TERM							
01/09/09	02/28/06	Google Inc Cl A	GOOG	1.000	$372.45	$372.45	$316.38	$316.38	($56.07)
01/09/09	03/17/06	Google Inc Cl A	GOOG	4.000	340.15	1,360.59	316.38	1,265.53	(95.06)
01/09/09	07/27/06	Google Inc Cl A	GOOG	2.000	379.99	759.98	316.39	632.77	(127.21)
01/30/09	10/10/06	Genentech Inc New	DNA--Z	18.000	86.50	1,556.95	81.37	1,464.67	(92.28)
01/30/09	04/03/07	Genentech Inc New	DNA--Z	3.000	82.68	248.04	81.37	244.11	(3.93)
02/05/09	08/04/05	Thermo Electron Corp	TMO	18.000	31.08	559.47	38.02	684.31	124.84
02/05/09	08/17/05	Thermo Electron Corp	TMO	12.000	31.66	379.86	38.02	456.20	76.34
02/05/09	08/19/05	Thermo Electron Corp	TMO	6.000	31.63	189.78	38.02	228.10	38.32
02/05/09	10/06/05	Thermo Electron Corp	TMO	4.000	30.35	121.40	38.02	152.07	30.67
02/13/09	02/06/08	Schering Plough Corp	SGP--Z	69.000	20.40	1,407.60	19.43	1,340.81	(66.79)
02/18/09	12/11/07	Teva Pharmaceutical Inds	TEVA	8.000	45.42	363.36	46.38	371.07	7.71

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 31, 2002

Period Ending December 31, 2009

48127826

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
02/18/09	01/08/08	Teva Pharmaceutical Inds	TEVA	18.000	48.59	874.64	46.38	834.90	(39.74)
03/04/09	02/28/08	Amazon Com Inc	AMZN	28.000	68.50	1,917.91	63.04	1,765.14	(152.77)
03/09/09	04/03/07	Genentech Inc New	DNA--Z	11.000	82.68	909.48	90.94	1,000.37	90.89
03/09/09	01/08/08	Genentech Inc New	DNA--Z	10.000	68.19	681.90	90.94	909.43	227.53
03/09/09	02/06/08	Schering Plough Corp	SGP--Z	4.000	20.40	81.60	20.94	83.77	2.17
03/09/09	02/21/08	Schering Plough Corp	SGP--Z	77.000	21.59	1,662.19	20.94	1,612.66	(49.53)
03/09/09	03/03/08	Schering Plough Corp	SGP--Z	40.000	21.27	850.82	20.94	837.75	(13.07)
03/12/09	10/21/05	Suncor Energy Inc	SU---Z	16.000	24.83	397.33	24.24	387.85	(9.48)
03/12/09	11/07/05	Suncor Energy Inc	SU---Z	51.000	27.81	1,418.21	24.24	1,236.27	(181.94)
03/19/09	12/14/07	Hess Corp	HES	18.000	85.89	1,545 97	62.67	1,128.03	(417.94)
03/19/09	12/17/07	Hess Corp	HES	12.000	84.04	1,008.45	62.67	752.02	(256.43)
03/19/09	01/04/08	Hess Corp	HES	5.000	93.35	466.74	62.67	313.34	(153.40)
03/19/09	01/08/08	Teva Pharmaceutical Inds	TEVA	12.000	48.59	583.09	43.77	525.19	(57.90)
03/19/09	01/14/08	Teva Pharmaceutical Inds	TEVA	15.000	47.16	707.38	43.77	656.48	(50.90)
03/23/09	01/04/08	Hess Corp	HES	13.000	93.35	1,213.51	64.54	839.00	(374.51)
03/23/09	01/07/08	Hess Corp	HES	7.000	92.25	645.74	64.54	451.77	(193.97)
03/27/09	11/07/02	Microsoft Corp	MSFT	18.000	28.01	504.09	18.12	326.15	(177.94)
03/27/09	07/18/06	Target Corp	TGT	10.000	44.95	449.52	34.27	342.69	(106.83)
03/30/09	09/05/07	Gilead Sciences Inc	GILD	25.000	36.81	920.25	44.00	1,099.99	179.74
03/30/09	09/27/07	Gilead Sciences Inc	GILD	5.000	40.85	204.26	44.00	220.00	15.74
04/01/09	04/04/06	Research In Motion Ltd	RIMM	30.000	28.57	856.99	45.62	1,368.47	511.48
04/17/09	10/07/05	Fortune Brands Inc	FO	7.000	78.01	546.09	34.51	241.55	(304.54)
04/17/09	10/18/05	Fortune Brands Inc	FO	11.000	77.36	850.92	34.51	379.61	(471.31)
04/17/09	12/29/05	Fortune Brands Inc	FO	30.000	78.96	2,368.85	34.51	1,035.29	(1,333.56)
04/17/09	02/09/06	Fortune Brands Inc	FO	14.000	78.24	1,095.33	34.51	483.14	(612.19)
04/17/09	08/11/06	Fortune Brands Inc	FO	15.000	72.00	1,080.00	34.51	517.65	(562.35)
04/17/09	04/27/07	Fortune Brands Inc	FO	7.000	79.33	555.31	34.51	241.57	(313.74)
04/17/09	01/07/08	Fortune Brands Inc	FO	8.000	71.51	572.11	34.51	276.08	(296.03)
04/17/09	08/11/06	Google Inc Cl A	GOOG	4.000	371.26	1,485.04	394.68	1,578.72	93.68
04/21/09	11/03/04	Lowes Cos Inc	LOW	12.000	29.07	348.79	19.72	236.67	(112.12)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 31, 2002

Period Ending December 31, 2009

48127826

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
04/21/09	01/11/05	Lowes Cos Inc	LOW	39.000	29.00	1,130.97	19.72	769.18	(361.79)
04/23/09	03/24/08	Schering Plough Corp	SGP--Z	12.000	20.53	246.37	21.60	259.22	12.85
04/23/09	03/31/08	Schering Plough Corp	SGP--Z	87.000	14.25	1,239.75	21.60	1,879.31	639.56
04/24/09	04/09/03	Western Union Co	WU	15.000	15.63	234.47	17.16	257.40	22.93
04/24/09	04/21/05	Western Union Co	WU	15.000	17.05	255.81	17.16	257.39	1.58
04/24/09	04/22/05	Western Union Co	WU	25.000	17.28	431.96	17.16	428.99	(2.97)
04/24/09	06/10/05	Western Union Co	WU	36.000	18.43	663.56	17.16	617.74	(45.82)
04/29/09	07/27/07	Newell Rubbermaid Inc	NWL	50.000	26.59	1,329.50	8.48	424.24	(905.26)
04/29/09	07/30/07	Newell Rubbermaid Inc	NWL	21.000	26.47	555.87	8.48	178.18	(377.69)
04/29/09	08/13/07	Newell Rubbermaid Inc	NWL	23.000	26.68	613.64	8.48	195.15	(418.49)
04/30/09	04/23/08	Halliburton Company	HAL	7.000	47.79	334.53	19.80	138.63	(195.90)
04/30/09	04/24/08	Halliburton Company	HAL	58.000	46.92	2,721.44	19.80	1,148.68	(1,572.76)
05/01/09	01/11/05	Lowes Cos Inc	LOW	11.000	29.00	318.99	21.00	231.00	(87.99)
05/01/09	10/12/05	Lowes Cos Inc	LOW	28.000	30.38	850.64	21.00	588.01	(262.63)
05/12/09	11/07/05	Suncor Energy Inc	SU---Z	5.000	27.81	139.04	30.80	153.98	14.94
05/12/09	11/11/05	Suncor Energy Inc	SU---Z	38.000	26.08	991.00	30.80	1,170.23	179.23
05/12/09	12/08/05	Suncor Energy Inc	SU---Z	4.000	31.50	126.00	30.80	123.18	(2.82)
05/12/09	02/01/06	Suncor Energy Inc	SU---Z	12.000	40.17	482.03	30.80	369.55	(112.48)
05/18/09	05/03/07	Merck & Co Inc	MRK--Z	51.000	51.16	2,609.16	25.62	1,306.48	(1,302.68)
05/18/09	04/04/06	Research In Motion Ltd	RIMM	8.000	28.57	228.53	73.99	591.88	363.35
05/18/09	06/23/06	Research In Motion Ltd	RIMM	10.000	21.63	216.30	73.99	739.85	523.55
05/29/09	01/31/08	CME Group Inc	CME	1.000	616.77	616.77	318.72	318.72	(298.05)
05/29/09	02/05/08	CME Group Inc	CME	3.000	597.12	1,791 35	318.72	956.15	(835.20)
05/29/09	02/06/08	CME Group Inc	CME	1.000	522.43	522.43	318.72	318.72	(203.71)
05/29/09	05/02/05	Electronic Arts	ERTS	2.000	52.63	105.26	22.46	44.92	(60.34)
05/29/09	05/04/05	Electronic Arts	ERTS	19.000	49.03	931.56	22.46	426.75	(504.81)
05/29/09	05/25/05	Electronic Arts	ERTS	25.000	52.12	1,303.00	22.46	561.51	(741.49)
05/29/09	06/10/05	Electronic Arts	ERTS	10.000	53.10	530.96	22.46	224.60	(306.36)
05/29/09	09/21/05	Electronic Arts	ERTS	8.000	59.82	478.56	22.46	179.68	(298.88)
05/29/09	12/07/05	Electronic Arts	ERTS	6.000	56.93	341.55	22.46	134.76	(206.79)

Realized Gain/Loss Report

First Clearing
Account Summary
1/1/09 - 11/3/09

Nathan Klein Fund
Inception Date: July 31, 2002

Period Ending December 31, 2009

48127826

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
06/04/09	06/06/06	St Jude Medical Inc	STJ	16.000	33.48	535.62	40.07	641.18	105.56
06/04/09	06/16/06	St Jude Medical Inc	STJ	18.000	35.25	634.50	40.07	721.34	86.84
06/04/09	11/07/06	St Jude Medical Inc	STJ	25.000	34.58	864.57	40.07	1,001.86	137.29
06/04/09	08/10/06	Weatherford International Ltd	WFT	8.000	23.41	187.28	20.28	162.27	(25.01)
06/04/09	04/10/07	Weatherford International Ltd	WFT	60.000	23.83	1,429.50	20.28	1,217.03	(212.47)
06/04/09	05/11/07	Weatherford International Ltd	WFT	27.000	27.23	735.34	20.28	547.67	(187.67)
06/08/09	05/07/08	Precision Castparts Corp	PCP	9.000	127.80	1,150.19	87.22	785.02	(365.17)
06/08/09	05/08/08	Precision Castparts Corp	PCP	9.000	126.92	1,142.25	87.23	785.03	(357.22)
06/09/09	08/11/06	Google Inc Cl A	GOOG	1.000	371.26	371.26	437.70	437.70	66.44
06/09/09	01/31/07	Google Inc Cl A	GOOG	2.000	503.99	1,007.97	437.71	875.41	(132.56)
06/22/09	06/23/06	Research In Motion Ltd	RIMM	14 000	21.63	302.82	68.15	954.12	651.30
06/24/09	01/03/07	St Jude Medical Inc	STJ	15.000	36.91	553.65	40.45	606.72	53.07
06/24/09	10/23/07	St Jude Medical Inc	STJ	8.000	39.01	312.05	40.45	323.58	11.53
06/25/09	05/11/07	Weatherford International Ltd	WFT	23.000	27.24	626.41	19.31	444.24	(182.17)
06/25/09	09/28/07	Weatherford International Ltd	WFT	46.000	34.20	1,573.23	19 31	888.48	(684.75)
06/25/09	12/17/07	Weatherford International Ltd	WFT	37.000	31.82	1,177.38	19.31	714.65	(462.73)
07/01/09	11/07/02	Microsoft Corp	MSFT	40 000	28.01	1,120.20	24.10	964.05	(156.15)
07/14/09	12/19/07	Dell Inc	DELL	76.000	24.40	1,854.40	11.98	910.75	(943.65)
07/14/09	12/27/07	Dell Inc	DELL	78.000	24.75	1,930.50	11.98	934.70	(995.80)
07/14/09	01/22/08	Dell Inc	DELL	38.000	20.22	768.36	11.98	455.37	(312.99)
07/14/09	04/17/08	Dell Inc	DELL	84.000	19.06	1,601.04	11.98	1,006.60	(594.44)
07/21/09	03/07/08	Activision Blizzard Inc	ATVI	225.000	13.62	3,064.34	11.95	2,689.04	(375.30)
07/21/09	06/12/07	Baxter International Inc	BAX	1.000	55.87	55.87	53.72	53.72	(2.15)
07/21/09	06/18/07	Baxter International Inc	BAX	24.000	57.87	1,388.81	53.72	1,289.19	(99.62)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 31, 2002

Period Ending December 31, 2009

48127826

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/21/09	04/19/04	Linear Technology Corp	LLTC	8.000	38.83	310.64	24.70	197.63	(113.01)
07/21/09	07/08/04	Linear Technology Corp	LLTC	40.000	36.44	1,457.50	24.70	988.14	(469.36)
07/21/09	11/04/04	Linear Technology Corp	LLTC	40.000	38.12	1,524.68	24.70	988.14	(536.54)
07/21/09	05/10/05	Linear Technology Corp	LLTC	30.000	36.52	1,095.72	24.70	741.11	(354.61)
07/21/09	09/30/05	Linear Technology Corp	LLTC	31.000	37.77	1,170.90	24.70	765.81	(405.09)
07/21/09	03/19/08	Visa Inc Class A	V	28.000	58.84	1,647.60	66.98	1,875.40	227.80
07/24/09	10/06/05	Thermo Electron Corp	TMO	38.000	30.35	1,153.28	44.17	1,678.42	525.14
07/24/09	06/08/07	Thermo Electron Corp	TMO	4.000	52.94	211.76	44.17	176.68	(35.08)
07/24/09	06/27/08	Zimmer Hldgs Inc	ZMH	26.000	66.98	1,741.48	44.13	1,147.31	(594.17)
08/04/09	12/17/07	Weatherford International Ltd	WFT	13.000	31.82	413.67	19.01	247.10	(166.57)
08/04/09	01/31/08	Weatherford International Ltd	WFT	24.000	30 90	741.59	19.01	456.18	(285.41)
08/19/09	01/07/08	Hess Corp	HES	3.000	92.25	276.74	51.53	154.58	(122.16)
08/19/09	01/09/08	Hess Corp	HES	20.000	92.18	1,843.60	51.53	1,030 55	(813.05)
08/19/09	01/24/08	Hess Corp	HES	5.000	83.50	417.50	51.53	257.64	(159.86)
08/19/09	02/15/08	Hess Corp	HES	10.000	89.90	899.00	51.53	515.27	(383.73)
08/19/09	07/03/08	Hess Corp	HES	5.000	118.93	594.67	51.53	257.64	(337.03)
09/08/09	07/10/07	Amylin Pharmaceuticals Inc	AMLN	94.000	42.04	3,951.75	12.51	1,175.82	(2,775.93)
09/08/09	03/10/08	Amylin Pharmaceuticals Inc	AMLN	44.000	24.57	1,080.90	12.51	550.38	(530 52)
09/08/09	06/10/08	Amylin Pharmaceuticals Inc	AMLN	8.000	27.83	222.60	12.51	100.07	(122.53)
09/14/09	03/07/08	Activision Blizzard Inc	ATVI	25.000	13.62	340.48	11.86	296.51	(43.97)
09/14/09	04/11/08	Activision Blizzard Inc	ATVI	86.000	13.57	1,166.98	11.86	1,020.01	(146.97)
09/14/09	04/15/08	Activision Blizzard Inc	ATVI	46.000	13.56	623.98	11.86	545.59	(78.39)
09/14/09	07/15/08	Activision Blizzard Inc	ATVI	96.000	17.64	1,693.87	11.86	1,138.62	(555.25)
09/14/09	07/03/08	Hess Corp	HES	5.000	118.94	594.68	53.39	266.95	(327.73)
09/14/09	07/09/08	Hess Corp	HES	7.000	112.94	790.61	53.39	373.72	(416.89)
09/14/09	08/13/08	Hess Corp	HES	15.000	101.59	1,523.87	53.39	800.83	(723.04)
09/21/09	06/08/07	Thermo Electron Corp	TMO	6.000	52.94	317.64	45.64	273.85	(43.79)
09/21/09	12/21/07	Thermo Electron Corp	TMO	9.000	58.12	523.04	45.64	410.79	(112.25)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 31, 2002

Period Ending December 31, 2009

48127826

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
09/21/09	01/17/08	Thermo Electron Corp	TMO	30.000	54.41	1,632.18	45.64	1,369.30	(262.88)
09/21/09	01/23/08	Thermo Electron Corp	TMO	5.000	49.52	247.60	45.64	228.22	(19.38)
09/29/09	07/24/08	Zimmer Hldgs Inc	ZMH	9.000	66.82	601.38	53.52	481.67	(119.71)
09/29/09	09/23/08	Zimmer Hldgs Inc	ZMH	21.000	67.64	1,420.42	53.52	1,123.87	(296.55)
09/30/09	01/23/08	Thermo Electron Corp	TMO	2.000	49.52	99.04	43.59	87.18	(11.86)
09/30/09	06/27/08	Thermo Electron Corp	TMO	30.000	55.33	1,659.82	43.59	1,307.67	(352.15)
10/06/09	06/10/05	Western Union Co	WU	9.000	18.43	165.89	18.51	166.55	0.66
10/06/09	02/06/07	Western Union Co	WU	75.000	22.59	1,694.53	18.51	1,387.91	(306.62)
10/06/09	04/26/07	Western Union Co	WU	18.000	21.60	388.80	18.51	333.10	(55.70)
10/13/09	05/03/07	Merck & Co Inc	MRK--Z	19.000	51.16	972.04	32.86	624.32	(347.72)
10/13/09	05/10/07	Merck & Co Inc	MRK--Z	8.000	51.56	412.48	32.86	262.87	(149.61)
10/13/09	07/18/06	Target Corp	TGT	6.000	44.95	269.71	50.48	302.90	33.19
10/13/09	07/25/06	Target Corp	TGT	21.000	46.18	969.77	50.48	1,060.14	90.37
10/21/09	07/27/05	Schlumberger Ltd	SLB	16.000	42.31	677.02	69.76	1,116.15	439.13
10/22/09	07/31/08	Coach Inc	COH	64.000	25.70	1,644.80	33.59	2,150.04	505.24
10/22/09	10/13/08	Zimmer Hldgs Inc	ZMH	13.000	56.34	732.37	54.65	710.41	(21.96)
10/26/09	10/08/08	Marriott Intl Inc New Cl A	MAR	19.000	19.43	369.14	27.21	517.05	147.91
10/26/09	10/13/08	Marriott Intl Inc New Cl A	MAR	4.000	20.62	82.46	27.21	108.85	26.39
10/26/09	11/07/02	Microsoft Corp	MSFT	52.000	28.01	1,456.26	28.87	1,501.25	44.99
10/30/09	12/07/05	Electronic Arts	ERTS	16.000	56.93	910.80	18.22	291.59	(619.21)
10/30/09	12/14/06	Electronic Arts	ERTS	17.000	53.42	908.17	18.23	309.83	(598.34)
10/30/09	01/03/07	Electronic Arts	ERTS	15.000	51.69	775.39	18.23	273.38	(502.01)
10/30/09	01/11/08	Electronic Arts	ERTS	21.000	52.88	1,110.39	18.23	382.73	(727.66)
10/30/09	01/22/08	Electronic Arts	ERTS	38.000	46.66	1,773.07	18.23	692.55	(1,080.52)
10/30/09	01/31/08	Electronic Arts	ERTS	9.000	46.41	417.68	18.23	164.03	(253.65)
		TOTAL LONG-TERM						**$98,625.67**	**($31,946.80)**
		GRAND TOTAL						**$131,849.04**	**($31,935.83)**

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: June 20, 2005

Period Ending December 31, 2009

71677816

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
01/05/09	09/17/08	Bank Of America Corp	BAC	0.743	$23.69	$17.60	$13 98	$10.39	($7.21)
01/21/09	06/04/08	Grainger W W Inc	GWW	3.000	91.51	274.53	71.33	213 98	(60.55)
01/21/09	06/11/08	Grainger W W Inc	GWW	11.000	89.78	987.57	71.33	784.60	(202.97)
02/18/09	06/11/08	Grainger W W Inc	GWW	9 000	89.78	808.02	72.45	652.07	(155.95)
02/18/09	07/30/08	Grainger W W Inc	GWW	6.000	89.42	536.52	72.45	434.72	(101.80)
02/18/09	08/27/08	PG&E Corp	PCG	30.000	41.54	1,246.10	36.48	1,094 52	(151.58)
02/25/09	09/17/08	Bank Of America Corp	BAC	62.000	23.67	1,467.48	4.29	266.27	(1,201.21)
03/04/09	07/30/08	Grainger W W Inc	GWW	13.000	89.42	1,162.46	64.01	832 07	(330.39)
03/04/09	08/27/08	PG&E Corp	PCG	21.000	41.54	872.27	38.07	799.53	(72.74)
03/04/09	10/01/08	PG&E Corp	PCG	4.000	38.47	153.89	38.07	152.29	(1.60)
04/06/09	02/25/09	Altria Group Inc	MO	5.000	15.48	77.40	16.08	80.40	3.00
04/06/09	12/03/08	Kroger Company	KR	1.000	27.37	27.37	21.13	21.13	(6.24)
04/06/09	03/04/09	Pfizer Incorporated	PFE	3.000	11.94	35.82	13.64	40.92	5.10
04/06/09	10/01/08	PG&E Corp	PCG	1.000	38.47	38.47	36.67	36.67	(1.80)
04/15/09	06/04/08	Prudential Finl Inc	PRU	25.000	74.92	1,873.12	25.76	644.01	(1,229.11)
05/20/09	10/08/08	Sherwin Williams Co	SHW	10.000	53.20	531.95	55.05	550.52	18.57
05/20/09	11/12/08	Smucker J M Co New	SJM	27.000	40.05	1,081.40	40.22	1,085.98	4.58
05/20/09	11/20/08	Smucker J M Co New	SJM	17.000	39.83	677.06	40.22	683.76	6.70
06/02/09	08/07/08	PNC Bank Corp	PNC	29.000	71.42	2,071.18	41.96	1,216.70	(854.48)
06/02/09	08/13/08	PNC Bank Corp	PNC	26.000	70.08	1,821 99	41.96	1,090.83	(731.16)
06/24/09	09/24/08	Hewlett-Packard Company	HPQ	32.000	46.88	1,500.10	37.75	1,207.96	(292.14)
07/01/09	09/24/08	Hewlett-Packard Company	HPQ	13.000	46.88	609.42	39.52	513.78	(95.64)
07/01/09	10/29/08	Hewlett-Packard Company	HPQ	36.000	35.82	1,289.49	39.52	1,422.78	133.29
07/08/09	02/25/09	Altria Group Inc	MO	70.000	15.48	1,083 60	16.34	1,143.77	60.17
07/15/09	02/25/09	Altria Group Inc	MO	22.000	15.48	340.56	16.68	366.95	26.39
07/15/09	04/01/09	Altria Group Inc	MO	22.000	16.34	359.48	16.68	366.95	7 47
07/22/09	04/01/09	Altria Group Inc	MO	46.000	16 34	751 64	17.47	803.59	51 95
07/29/09	06/10/09	Western Union Co	WU	117.000	17.28	2,021.97	18.00	2,105.43	83.46
07/29/09	12/10/08	3M Company	MMM	18.000	57 36	1,032.42	69.15	1,244.63	212.21

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: June 20, 2005

Period Ending December 31, 2009

71677816

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
09/30/09	02/11/09	Omnicom Group	OMC	26.000	27.93	726.27	36.92	959.84	233.57
09/30/09	07/15/09	Smucker J M Co New	SJM	16.000	49.24	787.82	53.53	856.43	68.61
10/16/09	11/19/08	Devon Energy Corp New	DVN	20.000	70.80	1,416.04	71.06	1,421.26	5.22
		TOTAL SHORT-TERM						**$23,104.73**	**($4,576.28)**
		LONG-TERM							
01/07/09	06/23/05	Goldman Sachs Group Inc	GS	15.000	$103.05	$1,545.75	$85.43	$1,281.45	($264.30)
01/07/09	06/20/07	Procter & Gamble Co	PG	13.000	62.17	808.26	61.04	793.52	(14.74)
01/07/09	03/26/07	Royal Caribbean Cruises Ltd	RCL	14.000	42.80	599.20	13.54	189.59	(409 61)
01/07/09	04/18/07	Royal Caribbean Cruises Ltd	RCL	49.000	42.10	2,062.83	13.54	663.54	(1,399.29)
01/07/09	05/11/07	Royal Caribbean Cruises Ltd	RCL	19.000	42 69	811 16	13.54	257.29	(553.87)
01/27/09	11/15/06	CVS Corp	CVS	11.000	28.90	317.93	27.60	303.64	(14.29)
01/27/09	11/29/06	CVS Corp	CVS	29.000	27.86	807.97	27.60	800.51	(7.46)
02/11/09	10/01/03	Bank Of America Corp	BAC	50.000	39.10	1,954.75	5.93	296.34	(1,658.41)
02/11/09	04/02/04	Bank Of America Corp	BAC	40.000	40.40	1,616.00	5.93	237.08	(1,378.92)
02/11/09	06/01/04	Bank Of America Corp	BAC	8.000	41.31	330.44	5.93	47.42	(283.02)
02/11/09	05/11/07	Royal Caribbean Cruises Ltd	RCL	31.000	42.69	1,323.47	6.87	213.11	(1,110.36)
02/11/09	09/06/07	Royal Caribbean Cruises Ltd	RCL	59.000	37.86	2,233.97	6.87	405.61	(1,828.36)
02/25/09	06/01/04	Bank Of America Corp	BAC	22.000	41.31	908.71	4.29	94.48	(814.23)
02/25/09	06/23/05	Bank Of America Corp	BAC	69.000	47.15	3,253.35	4.29	296.33	(2,957.02)
02/25/09	08/04/05	Bank Of America Corp	BAC	25.000	43.72	1,093.00	4.29	107.37	(985.63)
02/25/09	09/26/07	International Business Machine	IBM	2.000	117.59	235.17	84.12	168.23	(66.94)
02/25/09	10/17/07	International Business Machine	IBM	5.000	116.81	584.06	84.12	420.59	(163.47)
03/04/09	10/17/07	International Business Machine	IBM	11.000	116.81	1,284.92	88.59	974.51	(310.41)
03/11/09	10/06/05	Oracle Corporation	ORCL	61.000	12.10	737.94	15.08	919.60	181.66
04/01/09	12/21/06	Chubb Corp	CB	5.000	53.08	265.42	42.14	210.69	(54.73)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: June 20, 2005

Period Ending December 31, 2009

71677816

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
04/01/09	01/10/07	Chubb Corp	CB	21.000	52.10	1,094.19	42.14	884.88	(209.31)
04/01/09	06/20/07	Procter & Gamble Co	PG	10.000	62.17	621.74	46.83	468.26	(153.48)
04/01/09	10/31/07	Procter & Gamble Co	PG	32.000	69.65	2,228.83	46.83	1,498.44	(730.39)
04/06/09	01/04/08	Accenture Ltd Shs Cl A	ACN--Z	4.000	35.00	139.98	27.95	111.79	(28.19)
04/06/09	06/23/05	Allstate Corp	ALL	2.000	59.78	119.56	20.41	40.81	(78.75)
04/06/09	11/29/06	CVS Corp	CVS	1.000	27.86	27.86	28.27	28.27	0.41
04/06/09	01/30/08	Dominion RES Inc Va New	D	1.000	43.28	43.28	30.10	30.10	(13.18)
04/06/09	02/27/08	FPL Group Inc	FPL	1.000	64.40	64.40	51.71	51.71	(12.69)
04/06/09	01/19/06	Johnson & Johnson	JNJ	1.000	62.49	62.49	51.85	51.85	(10.64)
04/06/09	06/23/05	Lockheed Martin Corp	LMT	1.000	64.86	64.86	68.25	68.25	3.39
04/06/09	06/23/05	Merck & Co Inc	MRK--Z	6.000	31.48	188.88	26.71	160.26	(28.62)
04/06/09	06/23/05	Metlife Inc	MET	2.000	44.85	89.70	24.98	49.95	(39.75)
04/06/09	08/29/07	Nestle S A REG ADR	NSRGY	3.000	42.66	127.98	34.23	102.68	(25.30)
04/06/09	06/23/05	Northrop Grumman Corp	NOC	4.000	55.84	223.36	44.75	178.98	(44.38)
04/06/09	06/23/05	Philip Morris Intl Inc	PM	6.000	35.49	212.91	36.51	219.05	6.14
04/06/09	05/02/07	Public Svc Enterprise Group Inc	PEG	2.000	43.65	87.29	30.52	61.03	(26.26)
04/08/09	01/26/06	Intel Corp	INTC	68.000	21.55	1,465.32	15.36	1,044.47	(420.85)
04/08/09	08/29/07	Nestle S A REG ADR	NSRGY	30.000	42 66	1,279.78	34.45	1,033.49	(246.29)
04/15/09	12/05/07	Prudential Finl Inc	PRU	31.000	96.38	2,987.66	25.76	798.56	(2,189.10)
04/15/09	12/12/07	Prudential Finl Inc	PRU	21.000	94.64	1,987.48	25.76	540.97	(1,446.51)
04/22/09	06/23/05	Lockheed Martin Corp	LMT	6.000	64.86	389.16	75.89	455.31	66 15
04/22/09	10/06/05	Oracle Corporation	ORCL	54.000	12.10	653.25	19.51	1,053.80	400.55
04/22/09	09/06/06	Oracle Corporation	ORCL	5.000	15.77	78.85	19.51	97.57	18.72
04/29/09	07/06/06	Nike Inc Class B	NKE	20.000	40.11	802.26	54.85	1,096.90	294.64
05/06/09	01/26/06	Intel Corp	INTC	51.000	21.55	1,098.99	16.07	819.55	(279.44)
05/06/09	09/13/06	Intel Corp	INTC	20.000	19.81	396.20	16.07	321.39	(74.81)
05/13/09	11/29/06	CVS Corp	CVS	25.000	27.86	696.53	32.06	801.50	104.97
05/13/09	06/23/05	Goldman Sachs Group Inc	GS	6.000	103.05	618.30	130.71	784.24	165.94
05/13/09	12/21/05	Goldman Sachs Group Inc	GS	4.000	127.30	509.18	130.71	522.83	13 65

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: June 20, 2005

Period Ending December 31, 2009

71677816

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
05/13/09	06/23/05	Total S A Petroles	TOT	14.000	57.98	811.76	55.19	772.67	(39.09)
06/10/09	07/06/06	Nike Inc Class B	NKE	3.000	40.11	120.34	57.70	173.10	52.76
06/10/09	08/16/06	Nike Inc Class B	NKE	50.000	38.69	1,934.30	57.70	2,884.92	950.62
07/08/09	12/04/03	Wyeth	WYE--Z	23.000	40.14	923.24	45.26	1,041.01	117.77
07/29/09	06/23/05	Merck & Co Inc	MRK--Z	33.000	31.48	1,038.84	29.88	986.01	(52.83)
07/29/09	08/26/05	Merck & Co Inc	MRK--Z	9.000	27.68	249.12	29.88	268.91	19.79
08/05/09	12/04/03	Wyeth	WYE--Z	9.000	40.14	361.27	46.70	420.32	59.05
08/05/09	01/09/04	Wyeth	WYE--Z	24.000	44.05	1,057.30	46.70	1,120.87	63.57
08/12/09	03/27/08	Omnicom Group	OMC	36.000	44.60	1,605.70	34.82	1,253.50	(352.20)
08/19/09	04/16/08	FPL Group Inc	FPL	20.000	66.37	1,327.32	57.03	1,140.62	(186.70)
08/19/09	04/30/08	FPL Group Inc	FPL	5.000	66.29	331.47	57.03	285.16	(46.31)
08/19/09	09/06/06	Oracle Corporation	ORCL	59.000	15.77	930.43	21.73	1,282.03	351.60
08/26/09	06/23/05	Northrop Grumman Corp	NOC	14.000	55.84	781.76	48.72	682.08	(99.68)
08/26/09	07/21/05	Northrop Grumman Corp	NOC	14.000	55.91	782.74	48.72	682.08	(100.66)
09/02/09	01/09/04	Wyeth	WYE--Z	41.000	44.05	1,806.21	47.58	1,950.97	144.76
09/02/09	03/21/07	Wyeth	WYE--Z	16.000	49.85	797.65	47.58	761.35	(36.30)
09/09/09	06/23/05	Allstate Corp	ALL	47.000	59.78	2,809.66	28.54	1,341.28	(1,468.38)
09/16/09	04/30/08	FPL Group Inc	FPL	22.000	66.29	1,458.47	55.06	1,211.29	(247.18)
09/16/09	08/20/08	The Walt Disney Company	DIS	47.000	31.83	1,495.99	28.34	1,331.89	(164.10)
09/30/09	03/27/08	Omnicom Group	OMC	6.000	44.60	267.62	36.92	221.50	(46.12)
09/30/09	04/09/08	Omnicom Group	OMC	40.000	44.45	1,778.04	36.92	1,476.68	(301.36)
09/30/09	05/21/08	Omnicom Group	OMC	26.000	49.07	1,275.78	36.92	959.84	(315.94)
09/30/09	06/23/05	Philip Morris Intl Inc	PM	17.000	35.48	603.24	48.04	816.67	213.43
10/07/09	03/21/07	Wyeth	WYE--Z	7.000	49.85	348.97	48.68	340.77	(8.20)
10/07/09	08/15/07	Wyeth	WYE--Z	40.000	45.68	1,827.33	48.68	1,947.27	119.94
10/07/09	08/16/07	Wyeth	WYE--Z	2.000	45.38	90.76	48.68	97.36	6.60
10/15/09	01/30/08	Dominion RES Inc Va New	D	32.000	43.28	1,385.06	34.38	1,100.08	(284.98)
10/15/09	02/27/08	Dominion RES Inc Va New	D	42.000	42.24	1,774.02	34.38	1,443.85	(330.17)
10/15/09	06/18/08	Dominion RES Inc Va New	D	38.000	46.65	1,772.72	34.38	1,306.34	(466.38)
10/15/09	12/21/05	Goldman Sachs Group Inc	GS	6.000	127.29	763.76	188.35	1,130.12	366.36

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: June 20, 2005

Period Ending December 31, 2009

71677816

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
10/21/09	02/15/07	Eaton Corporation	ETN	22.000	79.86	1,756.90	65.34	1,437.47	(319.43)
10/21/09	02/22/07	Eaton Corporation	ETN	1.000	82.28	82.28	65.34	65.34	(16.94)
10/28/09	08/26/05	Merck & Co Inc	MRK--Z	42.000	27.68	1,162.56	32.40	1,360.77	198.21
10/28/09	06/23/05	Total S A Petroles	TOT	22.000	57.98	1,275.61	61.61	1,355.50	79.89
		TOTAL LONG-TERM						**$56,707.41**	**($21,215.38)**
		GRAND TOTAL						**$79,812.14**	**($25,791.66)**

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
01/09/09	04/08/08	Alcon Inc Shs	ACL	2.000	$151.15	$302.30	$91.01	$182.02	($120.28)
01/09/09	09/26/08	Alcon Inc Shs	ACL	3.000	167.03	501.10	91.01	273.03	(228.07)
01/09/09	06/26/08	Deutsche Telekom AG	DT	21.000	16.41	344.59	14.35	301.39	(43.20)
01/12/09	06/10/08	ORIX Corp ADR Sponsored	IX	2.000	89.68	179.35	27.40	54.80	(124.55)
01/12/09	04/21/08	Royal DSM N V Spon ADR	RDSMY	64.000	13.35	854.40	6.65	425.79	(428.61)
01/12/09	05/27/08	Royal DSM N V Spon ADR	RDSMY	61.000	14.65	893.65	6.65	405.83	(487.82)
01/12/09	06/26/08	Royal DSM N V Spon ADR	RDSMY	83.000	14.95	1,240.85	6.65	552.19	(688.66)
01/12/09	02/11/08	Statoil ASA Sponsored ADR	STO	23.000	26 13	601.08	16.86	387.81	(213.27)
01/12/09	05/07/08	Statoil ASA Sponsored ADR	STO	6.000	38 21	229 23	16.86	101.17	(128.06)
01/12/09	07/21/08	Teck Corp B	TCK	18.000	40.90	736.27	5.35	96.36	(639.91)
01/22/09	02/11/08	Lloyds Tsb Group ADR Spon	LYG	0.234	80.81	18.91	2.69	0.63	(18.28)
01/22/09	04/21/08	Lloyds Tsb Group ADR Spon	LYG	0.181	69.39	12.56	2.71	0.49	(12.07)
01/22/09	05/27/08	Lloyds Tsb Group ADR Spon	LYG	0.081	56.54	4.58	2.72	0.22	(4.36)
01/27/09	02/04/08	Banco Santander S A	STD	20.000	17.73	354.64	7.49	149.82	(204.82)
01/27/09	04/08/08	Banco Santander S A	STD	15.000	20.84	312.58	7.49	112.37	(200.21)
01/27/09	11/24/08	Kao Corp Sponsored ADR	485537302	1.000	276.60	276.60	281.15	281.15	4.55
01/27/09	03/11/08	Rio Tinto PLC ADR	RTP	2.000	427.26	854.52	92.96	185.92	(668.60)
01/30/09	06/26/08	Royal Bank Scotland Group PLC Spons ADR 20 O	RBS	20.192	87.84	1,773.60	6.23	125.74	(1,647.86)
02/02/09	02/15/08	Nintendo Ltd ADR	NTDOY	2.000	58.45	116.90	35.95	71.90	(45.00)
02/06/09	11/24/08	Kao Corp Sponsored ADR	485537302	1.000	276.60	276.60	233.50	233.50	(43.10)
02/06/09	12/05/08	Kao Corp Sponsored ADR	485537302	1.000	299.94	299.94	233.51	233.51	(66.43)
02/06/09	02/15/08	Nintendo Ltd ADR	NTDOY	5.000	58.45	292 25	38.25	191.24	(101.01)
02/06/09	07/01/08	Novartis AG Spon ADR	NVS	22.000	54.72	1,203 74	41.99	923.83	(279.91)
02/13/09	02/15/08	BG PLC ADR Fnl Instllm	BRGYY	1.000	111 49	111.49	76.97	76.97	(34.52)
02/13/09	02/15/08	Icap PLC ADR	IAPLY	4.000	27.06	108.22	6.89	27.55	(80.67)
02/13/09	04/08/08	Icap PLC ADR	IAPLY	33.000	25.10	828.30	6.89	227.37	(600 93)
02/13/09	04/23/08	Icap PLC ADR	IAPLY	22 000	22.71	499.53	6.89	151.58	(347.95)
02/13/09	07/16/08	Icap PLC ADR	IAPLY	27.000	18.45	498.15	6.89	186.03	(312.12)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
02/13/09	07/01/08	Novartis AG Spon ADR	NVS	19.000	54.72	1,039.59	42.90	815.15	(224.44)
02/13/09	10/24/08	Ntt Docomo Inc ADR Spon	DCM	61.000	15.88	968.83	16.27	992.67	23.84
02/13/09	10/10/08	Roche Holdings Ltd ADR	RHHBY	4.000	33.59	134.34	32.12	128.49	(5.85)
02/23/09	10/13/08	Infineon Technologies AG ADR Sponsored	IFNNY	104.000	4.25	441.80	0.64	66.86	(374.94)
02/25/09	01/12/09	Michelin Compagnie Generale ADR	MGDDY	83.000	10.56	876.48	6.76	561.10	(315.38)
03/02/09	07/21/08	Amcor Limited ADR New	AMCRY	35.000	21.00	735.00	10.46	366.09	(368.91)
03/02/09	11/19/08	Amcor Limited ADR New	AMCRY	19.000	14.65	278 35	10.46	198.74	(79.61)
03/02/09	07/01/08	Arcelor Mittal	MT	16.000	91.90	1,470 37	17.76	284.17	(1,186.20)
03/02/09	09/12/08	Arcelor Mittal	MT	5.000	64 72	323.61	17.76	88.80	(234.81)
03/02/09	02/13/09	Arcelor Mittal	MT	12.000	25 81	309.67	17.76	213.13	(96.54)
03/02/09	08/12/08	Astra Zeneca PLC ADR	AZN	25.000	48.45	1,211.16	31.20	779.99	(431.17)
03/02/09	11/07/08	Astra Zeneca PLC ADR	AZN	10.000	42.56	425.57	31.20	312.00	(113.57)
03/02/09	11/24/08	Atlas Copco Ab ADR Spons A	ATLKY	56.000	7.20	403.20	6.43	360.07	(43.13)
03/02/09	02/13/09	Atlas Copco Ab ADR Spons A	ATLKY	53.000	7.91	419.23	6.43	340.79	(78.44)
03/02/09	11/19/08	Au Optronics Corp	AUO	102.000	5 57	568.18	6.68	681.74	113.56
03/02/09	01/09/09	Au Optronics Corp	AUO	56.000	7.78	435.52	6.68	374.29	(61.23)
03/02/09	02/13/09	Au Optronics Corp	AUO	21.000	8.08	169.66	6.68	140.36	(29.30)
03/02/09	11/19/08	Australia & New Zealand BKG	052528304	45.000	8.75	393.75	7.98	359.09	(34.66)
03/02/09	01/12/09	Australia & New Zealand BKG	052528304	29.000	10.50	304.50	7.98	231.42	(73.08)
03/02/09	10/24/08	BAE Systems PLC ADR	BAESY	10.000	20.18	201.81	20.14	201.39	(0.42)
03/02/09	06/17/08	Banco Itau Hldg Financiera	059602201	12.000	23.04	276.46	8.66	103.95	(172.51)
03/02/09	06/24/08	Banco Itau Hldg Financiera	059602201	25.000	21.14	528.46	8.66	216.55	(311.91)
03/02/09	07/16/08	Banco Itau Hldg Financiera	059602201	28 000	20.08	562.24	8 66	242.54	(319.70)
03/02/09	04/08/08	Banco Santander S A	STD	9.000	20.84	187.55	5.55	49.99	(137 56)
03/02/09	07/01/08	Banco Santander S A	STD	23.000	17.88	411.16	5.55	127.74	(283 42)
03/02/09	07/16/08	Banco Santander S A	STD	20 000	17.58	351.60	5.55	111.07	(240 53)
03/02/09	10/24/08	Banco Santander S A	STD	57 000	9.24	526.66	5.55	316.56	(210.10)
03/02/09	02/13/09	Banco Santander S A	STD	62.000	7.36	456.28	5.55	344.33	(111.95)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	07/28/08	Barclays PLC ADR	BCS	21.000	23.06	484 24	4.77	100.18	(384.06)
03/02/09	11/19/08	Barclays PLC ADR	BCS	48.000	7.90	379.04	4.77	228.98	(150.06)
03/02/09	01/30/09	Bce Inc New	BCE	20.000	20.24	404.75	18.66	373.21	(31.54)
03/02/09	02/25/09	Bce Inc New	BCE	19.000	20.00	379.98	18.66	354.54	(25.44)
03/02/09	10/06/08	BP Amoco Ads	BP	24.000	45.16	1,083.84	35.52	852.47	(231.37)
03/02/09	10/13/08	BP Amoco Ads	BP	8.000	44.11	352.84	35.52	284.16	(68.68)
03/02/09	01/12/09	BP Amoco Ads	BP	10.000	45.86	458.55	35.52	355.20	(103.35)
03/02/09	03/25/08	British Amern Tob PLC	BTI	15.000	73.69	1,105.32	48.49	727.34	(377.98)
03/02/09	05/07/08	British Amern Tob PLC	BTI	6.000	78.68	472.06	48.49	290.94	(181.12)
03/02/09	08/12/08	British Amern Tob PLC	BTI	8.000	70.49	563.91	48.49	387.92	(175.99)
03/02/09	10/24/08	British Amern Tob PLC	BTI	7.000	47.34	331.40	48.49	339.43	8.03
03/02/09	02/06/09	British Sky Broadcasting Grp ADR Sponsored	BSY	18.000	28.78	518.05	25.65	461.69	(56.36)
03/02/09	11/19/08	Canon Inc ADR	CAJ	14.000	28.07	392.96	23.95	335.29	(57 67)
03/02/09	02/25/09	Celesio AG ADR	CAKFY	89.000	4.20	373.80	3.81	339.08	(34.72)
03/02/09	02/06/09	Centrica PLC Spon ADR New 2004	CPYYY	16.000	16.20	259.20	14.76	236.16	(23.04)
03/02/09	02/13/09	Centrica PLC Spon ADR New 2004	CPYYY	41.000	16.70	684.70	14.76	605.16	(79.54)
03/02/09	02/25/09	Centrica PLC Spon ADR New 2004	CPYYY	58.000	15.70	910.60	14.76	856.07	(54.53)
03/02/09	10/13/08	China Pete & Chem Corp	SNP	7.000	72.43	507.01	47.70	333.90	(173.11)
03/02/09	12/16/08	Companhia Vale DO Rio Doce	VALE-Z	18 000	12.27	220.84	12.01	216.19	(4.65)
03/02/09	01/09/09	Companhia Vale DO Rio Doce	VALE-Z	19 000	14.06	267.12	12.01	228.20	(38.92)
03/02/09	01/27/09	Companhia Vale DO Rio Doce	VALE-Z	11.000	13.83	152.16	12.01	132.12	(20.04)
03/02/09	12/16/08	Compass Group PLC	CMPGY	88.000	5.20	457.60	4 27	375.75	(81.85)
03/02/09	12/17/08	Compass Group PLC	CMPGY	37.000	5.29	195.73	4.27	157.99	(37.74)
03/02/09	01/09/09	Compass Group PLC	CMPGY	44.000	5.04	221.76	4.27	187.88	(33.88)
03/02/09	11/07/08	CRH PLC ADR	CRH	21.000	21.08	442.73	19 30	405.29	(37.44)
03/02/09	11/24/08	CRH PLC ADR	CRH	12.000	20.97	251.66	19.30	231.60	(20.06)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	12/05/08	CRH PLC ADR	CRH	23.000	22.32	513.25	19.30	443.90	(69.35)
03/02/09	01/12/09	Credit Agricole S A ADR	CRARY	151.000	6.03	909.99	4.49	677.98	(232.01)
03/02/09	05/20/08	Credit Suisse	CS	10.000	53.79	537.85	22.65	226.51	(311.34)
03/02/09	06/17/08	Credit Suisse	CS	5.000	48.23	241.16	22.65	113.25	(127.91)
03/02/09	06/24/08	Credit Suisse	CS	10.000	45.40	453.95	22.65	226.51	(227.44)
03/02/09	07/01/08	Credit Suisse	CS	7.000	43.51	304.57	22.65	158.56	(146.01)
03/02/09	07/16/08	Credit Suisse	CS	6.000	39.84	239.02	22.65	135.90	(103.12)
03/02/09	03/12/08	Deutsche Bank AG	DB	5.000	113.36	566.81	24.62	123.10	(443.71)
03/02/09	04/21/08	Deutsche Bank AG	DB	7.000	121.00	846.99	24.62	172.34	(674.65)
03/02/09	07/21/08	Deutsche Bank AG	DB	7.000	91.10	637.68	24.62	172.34	(465.34)
03/02/09	06/26/08	Deutsche Telekom AG	DT	27.000	16.41	443.05	11.73	316.77	(126.28)
03/02/09	07/21/08	Deutsche Telekom AG	DT	36.000	17.56	632.13	11.73	422.36	(209.77)
03/02/09	10/24/08	Deutsche Telekom AG	DT	110.000	13.75	1,512.00	11.73	1,290.53	(221.47)
03/02/09	11/07/08	Deutsche Telekom AG	DT	7.000	14.86	104.02	11.73	82.12	(21.90)
03/02/09	10/06/08	Ericsson L M TEL Co	ERIC	145.000	7.52	1,090.42	7.67	1,112.72	22.30
03/02/09	11/19/08	Etablissements Delhaize Fresponsored ADR	DEG	7.000	56.86	398.01	57.42	401.94	3.93
03/02/09	02/25/09	Etablissements Delhaize Fresponsored ADR	DEG	8.000	58.81	470.48	57.42	459.35	(11.13)
03/02/09	11/19/08	European Aero Defense Space Unspon ADR	EADSY	16.000	14.10	225.60	13.92	222.71	(2.89)
03/02/09	02/25/09	France Telecom ADR	FTE	11.000	21.70	238.67	21.69	238.59	(0.08)
03/02/09	11/19/08	Fujitsu Ltd ADR 5 Com	359590304	36.000	22.36	804.83	15.85	570.59	(234.24)
03/02/09	06/26/08	Glaxo Smithkline PLC ADR	GSK	13.000	44.84	582.91	28.77	374.01	(208.90)
03/02/09	07/31/08	Glaxo Smithkline PLC ADR	GSK	31.000	46.61	1,445.02	28.77	891.86	(553.16)
03/02/09	08/12/08	Glaxo Smithkline PLC ADR	GSK	9.000	47.77	429.92	28.77	258.93	(170.99)
03/02/09	09/26/08	Glaxo Smithkline PLC ADR	GSK	7.000	45.09	315.63	28.77	201.39	(114.24)
03/02/09	10/24/08	Glaxo Smithkline PLC ADR	GSK	9.000	35.39	318.51	28.77	258.93	(59.58)
03/02/09	01/27/09	Hennes & Mauritz Ab ADR	HNNMY	55.000	7.86	432.30	7.13	392.14	(40.16)
03/02/09	09/03/08	Hitachi Ltd ADR 10 Com F	HIT	19.000	74.48	1,415.08	24.07	457.32	(957.76)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	02/06/09	Honda Motor Ltd	HMC	21.000	25.04	525.90	22.81	479.01	(46.89)
03/02/09	02/13/09	Honda Motor Ltd	HMC	13.000	24.20	314.64	22.81	296.53	(18.11)
03/02/09	10/15/08	HSBC Holdings PLC	HBC	12.000	70.45	845.36	27.87	334.44	(510.92)
03/02/09	10/24/08	HSBC Holdings PLC	HBC	20.000	55.07	1,101.45	27.87	557.39	(544.06)
03/02/09	12/05/08	Huaneng Pwr Intl Inc ADR Spons N Shs	HNP	17.000	25.26	429.34	24.96	424.31	(5.03)
03/02/09	12/16/08	Huaneng Pwr Intl Inc ADR Spons N Shs	HNP	10.000	26.07	260.65	24 96	249.60	(11.05)
03/02/09	12/31/08	Huaneng Pwr Intl Inc ADR Spons N Shs	HNP	4.000	29.14	116.57	24.96	99.84	(16.73)
03/02/09	01/30/09	Intesa Sanpaolo ADR	ISNPY	21.000	19.00	399.00	13.16	276.35	(122.65)
03/02/09	03/12/08	JSC Mmc Norilsk Nickel	NILSY	51.000	29.90	1,524.65	4.44	226.43	(1,298.22)
03/02/09	12/16/08	Kao Corp Sponsored ADR	485537302	2.000	291.00	582.00	188.36	376.71	(205.29)
03/02/09	12/31/08	Kao Corp Sponsored ADR	485537302	1.000	305.00	305.00	188.36	188.36	(116.64)
03/02/09	01/12/09	KB Financial Group Inc Sponsored ADR	KB	13.000	25.57	332.44	16.59	215.67	(116.77)
03/02/09	12/16/08	Kingfisher PLC Spon ADR PAR	495724403	112.000	4.11	459.92	3.25	363.99	(95.93)
03/02/09	12/31/08	Kingfisher PLC Spon ADR PAR	495724403	64.000	3 91	250.38	3.25	208.00	(42.38)
03/02/09	01/30/09	Koninklijke Philips Electrs	PHG	44.000	18.15	798.64	15.02	660.87	(137.77)
03/02/09	04/21/08	Lloyds Tsb Group ADR Spon	LYG	21.516	69 27	1,490.31	2.80	60.25	(1,430.06)
03/02/09	05/27/08	Lloyds Tsb Group ADR Spon	LYG	20.231	56.50	1,143.12	2.80	56.65	(1,086.47)
03/02/09	11/19/08	Lloyds Tsb Group ADR Spon	LYG	65.000	7.23	469.69	2.80	182.00	(287.69)
03/02/09	04/23/08	Mitsubishi Corp ADR Sponsrd	MSBHY	10.000	70.20	702.00	23.11	231.10	(470.90)
03/02/09	09/03/08	Mitsubishi Corp ADR Sponsrd	MSBHY	27.000	49.80	1,344.60	23.11	623 96	(720.64)
03/02/09	03/26/08	Mitsui & Co Ltd ADR	MITSY	2.000	423.29	846.58	173.32	346.64	(499.94)
03/02/09	09/03/08	Mitsui & Co Ltd ADR	MITSY	5.000	306.72	1,533.60	173.32	866.59	(667.01)
03/02/09	10/10/08	Mitsui & Co Ltd ADR	MITSY	3.000	195.38	586.14	173 32	519.95	(66.19)
03/02/09	01/09/09	Mitsui & Co Ltd ADR	MITSY	1.000	217.79	217.79	173.32	173.32	(44.47)
03/02/09	11/19/08	Munich RE Group ADR	MURGY	77.000	12.42	956.64	11.45	881.65	(74.99)
03/02/09	12/05/08	Munich RE Group ADR	MURGY	57.000	14.50	826.50	11.45	652.64	(173.86)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	12/16/08	Munich RE Group ADR	MURGY	61.000	16.30	994 30	11.45	698.44	(295.86)
03/02/09	01/12/09	Munich RE Group ADR	MURGY	17.000	15.51	263.67	11.45	194.65	(69.02)
03/02/09	11/07/08	Naspers Ltd Spon ADR N Shs	NPSNY	31.000	14.85	460.35	14.17	439.26	(21.09)
03/02/09	11/24/08	Naspers Ltd Spon ADR N Shs	NPSNY	11.000	14.90	163.90	14.17	155.87	(8.03)
03/02/09	12/17/08	Naspers Ltd Spon ADR N Shs	NPSNY	12.000	16.20	194.40	14.17	170.04	(24.36)
03/02/09	01/09/09	Naspers Ltd Spon ADR N Shs	NPSNY	13.000	16.18	210.34	14.17	184.21	(26.13)
03/02/09	02/25/09	National Australia Bank Ltd	NABZY	57.000	11.23	640.11	10.80	615.59	(24.52)
03/02/09	10/24/08	National Grid PLC	NGG	27.000	50.52	1,363.99	41.66	1,124.81	(239.18)
03/02/09	11/07/08	National Grid PLC	NGG	3.000	57.50	172.49	41.66	124.98	(47.51)
03/02/09	11/24/08	National Grid PLC	NGG	2.000	52.07	104.13	41.66	83.32	(20.81)
03/02/09	12/05/08	National Grid PLC	NGG	3.000	45.50	136.51	41.66	124.98	(11.53)
03/02/09	04/21/08	Nissan Mtrs Sponsored ADR	NSANY	51.000	17.66	900.53	5.84	297.84	(602.69)
03/02/09	06/26/08	Nissan Mtrs Sponsored ADR	NSANY	74.000	16.44	1,216.78	5.84	432.16	(784.62)
03/02/09	07/01/08	Novartis AG Spon ADR	NVS	4.000	54.72	218.86	35.17	140.66	(78.20)
03/02/09	07/16/08	Novartis AG Spon ADR	NVS	5.000	56.73	283.64	35.16	175.82	(107.82)
03/02/09	10/24/08	Ntt Docomo Inc ADR Spon	DCM	7.000	15.88	111.18	15.29	107.02	(4.16)
03/02/09	12/05/08	Ntt Docomo Inc ADR Spon	DCM	14.000	17.98	251.65	15.29	214.06	(37.59)
03/02/09	12/31/08	Ntt Docomo Inc ADR Spon	DCM	7.000	19.58	137.06	15.29	107.03	(30.03)
03/02/09	10/13/08	Petro-Cda	PCZ--Z	21.000	22.62	474.94	19.87	417.27	(57.67)
03/02/09	01/27/09	Randgold RES Ltd ADR	GOLD	18.000	43.70	786.61	44.97	809.45	22.84
03/02/09	02/13/09	Randgold RES Ltd ADR	GOLD	2.000	47.60	95.19	44.97	89.94	(5.25)
03/02/09	01/09/09	Reckitt Benckiser Group PLC ADR	RBGPY	130.000	8.55	1,111.50	7.36	956.79	(154.71)
03/02/09	01/27/09	Reckitt Benckiser Group PLC ADR	RBGPY	52.000	8.05	418.60	7.36	382.72	(35.88)
03/02/09	02/06/09	Reckitt Benckiser Group PLC ADR	RBGPY	77.000	8.23	633.71	7.36	566.71	(67.00)
03/02/09	10/10/08	Roche Holdings Ltd ADR	RHHBY	23.000	33.58	772.45	26.70	614.01	(158.44)
03/02/09	01/27/09	Sanofi-Synthelabo	SNY	29.000	29.73	862.16	25.66	744.25	(117.91)
03/02/09	02/13/09	Sanofi-Synthelabo	SNY	29.000	30.18	875.22	25.66	744.25	(130.97)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	12/17/08	Shanda Interactive Entmt Ltd Sponsored ADR	SNDA	16.000	30.16	482.50	31.09	497.43	14.93
03/02/09	01/12/09	Shinhan Finl Group Co Ltd	SHG	8.000	43.58	348.65	27.13	217.03	(131.62)
03/02/09	10/13/08	Societe Generale France Spon ADR	SCGLY	53.000	13 14	696.42	5.67	300.51	(395.91)
03/02/09	11/19/08	Solvay S A ADR Sponsored	SVYSY	5.000	74.22	371.10	52.60	262.99	(108.11)
03/02/09	10/06/08	Sony Corp ADR New	SNE	21.000	26.54	557.34	16.24	341.03	(216.31)
03/02/09	10/13/08	Sony Corp ADR New	SNE	16.000	24.24	387.81	16.24	259.84	(127.97)
03/02/09	05/07/08	Statoil ASA Sponsored ADR	STO	18 000	38.20	687.68	15.64	281.56	(406.12)
03/02/09	05/20/08	Statoil ASA Sponsored ADR	STO	44.000	42.25	1,859.04	15.64	688 26	(1,170.78)
03/02/09	06/04/08	Statoil ASA Sponsored ADR	STO	24.000	36.32	871.66	15.64	375 42	(496.24)
03/02/09	07/16/08	Statoil ASA Sponsored ADR	STO	14.000	31.80	445 20	15.64	218.99	(226 21)
03/02/09	08/12/08	Statoil ASA Sponsored ADR	STO	15.000	29.03	435 43	15.64	234 64	(200.79)
03/02/09	09/26/08	Statoil ASA Sponsored ADR	STO	17.000	25 47	432 98	15.64	265.92	(167.06)
03/02/09	02/13/09	Statoil ASA Sponsored ADR	STO	5.000	18.29	91.45	15.64	78.21	(13.24)
03/02/09	07/01/08	Sumitomo Mitsui Finl Group	86562M100	138.000	7.27	1,002.89	2.93	404.34	(598.55)
03/02/09	07/16/08	Sumitomo Mitsui Finl Group	86562M100	114.000	7.75	883.50	2.93	334.02	(549.48)
03/02/09	03/11/08	Syngenta AG ADR Sponsored	SYT	23.000	56.28	1,294.36	39.74	914.03	(380.33)
03/02/09	11/07/08	Syngenta AG ADR Sponsored	SYT	5.000	35.33	176.67	39.74	198.70	22.03
03/02/09	02/13/09	Syngenta AG ADR Sponsored	SYT	4.000	41.21	164.85	39.74	158.96	(5.89)
03/02/09	09/03/08	Telecom Italia S P A New Spons ADR ORD	TI	43.000	16.09	691.85	11.26	484.17	(207.68)
03/02/09	01/30/09	Telecom Italia S P A New Spons ADR ORD	TI	38.000	12.48	474.17	11.26	427.88	(46.29)
03/02/09	10/13/08	Unibanco-Uniao De Bancos Brzgdr	UBB--Z	6.000	73 38	440.26	49.41	296.45	(143.81)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	09/03/08	Volvo Aktiebolaget ADR B	VOLVY	61.000	11.15	680.15	3.88	236.67	(443.48)
03/02/09	12/16/08	Zurich Finl Svcs ADR	ZFSVY	31.000	20.83	645.64	12.95	401.51	(244.13)
		TOTAL SHORT-TERM						**$62,915.61**	**($39,541.83)**
		LONG-TERM							
01/09/09	11/05/07	British Amern Tob PLC	BTI	1 000	$74.80	$74.80	$55.89	$55.89	($18.91)
01/09/09	11/26/07	British Amern Tob PLC	BTI	7.000	76.54	535.80	55.90	391.27	(144.53)
01/09/09	11/24/06	Tesco PLC ADR Sponsored	881575302	23.000	23.46	539.65	16.45	378 34	(161.31)
01/09/09	08/06/07	Unilever PLC ADR Spon New	UL	12.000	31.07	372.80	23.66	283.93	(88.87)
01/12/09	07/07/06	BNP Paribas Spon ADR 1/4 Sh	BNPQY	16.000	49.15	786.40	22.99	367.83	(418.57)
01/12/09	07/14/06	BNP Paribas Spon ADR 1/4 Sh	BNPQY	1.000	46.05	46.05	22.99	22.99	(23.06)
01/12/09	05/23/07	ORIX Corp ADR Sponsored	IX	3.000	130.11	390 33	27.40	82.21	(308.12)
01/12/09	06/22/07	ORIX Corp ADR Sponsored	IX	11.000	126.58	1,392.40	27.40	301.42	(1,090.98)
01/12/09	07/30/07	ORIX Corp ADR Sponsored	IX	18.000	121.24	2,182.23	27.40	493.23	(1,689.00)
01/12/09	08/08/06	Sharp Corp ADR	819882200	65.000	17.30	1,124.50	8.95	581.74	(542.76)
01/12/09	08/31/06	Sharp Corp ADR	819882200	52.000	18.15	943.61	8.95	465.40	(478.21)
01/12/09	08/12/02	Societe Generale France Spon ADR	SCGLY	74.000	12.10	895.40	9.70	717.79	(177.61)
01/22/09	03/03/06	Lloyds Tsb Group ADR Spon	LYG	0.130	114.54	14.89	2.69	0.35	(14.54)
01/22/09	05/12/06	Lloyds Tsb Group ADR Spon	LYG	0.074	117.16	8.67	2.70	0.20	(8.47)
01/27/09	12/22/04	Nestle S A REG ADR	NSRGY	4.500	25.44	114.48	35.65	160.42	45.94
01/27/09	01/20/06	Nestle S A REG ADR	NSRGY	3.500	29.82	104.37	35.65	124.77	20.40
01/27/09	08/17/07	Rio Tinto PLC ADR	RTP	1.000	240.73	240.73	92.95	92.95	(147.78)
01/27/09	09/07/07	Rio Tinto PLC ADR	RTP	2.000	294.91	589.82	92.96	185.92	(403.90)
01/30/09	05/30/06	China Unicom Ltd ADR Sponsored	CHU	37.000	10.67	394.74	9.17	339.11	(55.63)
01/30/09	07/30/07	Koninklijke Ahold N V	AHONY	109.000	12.70	1,384.30	11.95	1,302.54	(81.76)
01/30/09	05/23/07	Nissan Mtrs Sponsored ADR	NSANY	45.000	22.14	996.40	5.98	269.17	(727.23)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
01/30/09	10/31/07	Royal Bank Scotland Group PLC Spons ADR 20 O	RBS	7.457	213.39	1,591.28	6.23	46.44	(1,544.84)
01/30/09	11/26/07	Royal Bank Scotland Group PLC Spons ADR 20 O	RBS	15.350	180.96	2,777.66	6.23	95.59	(2,682 07)
01/30/09	03/03/06	Toyota MTR Corp ADR	TM	4.000	105.59	422.37	63.48	253.92	(168.45)
01/30/09	05/15/06	Toyota MTR Corp ADR	TM	1.000	112.42	112.42	63.48	63.48	(48.94)
02/02/09	10/15/07	Nintendo Ltd ADR	NTDOY	10.000	75 86	758.60	35.95	359.49	(399.11)
02/02/09	10/31/07	Nintendo Ltd ADR	NTDOY	4.000	78.35	313.40	35.95	143.80	(169.60)
02/02/09	11/26/07	Nintendo Ltd ADR	NTDOY	9.000	72.40	651.60	35.95	323.55	(328.05)
02/06/09	11/21/07	Gdf Suez Spons ADR	GDFZY	6.000	69.93	419.56	39.05	234.29	(185.27)
02/06/09	06/22/07	Glaxo Smithkline PLC ADR	GSK	14.000	51.91	726.73	36.95	517.29	(209.44)
02/06/09	01/20/06	Nestle S A REG ADR	NSRGY	4 000	29.82	119.28	32.54	130.15	10.87
02/06/09	05/03/06	Nestle S A REG ADR	NSRGY	7.500	30.50	228.75	32.54	244.05	15.30
02/06/09	08/02/06	Nestle S A REG ADR	NSRGY	5.000	33.30	166.50	32.54	162.70	(3.80)
02/06/09	08/24/06	Nestle S A REG ADR	NSRGY	1.500	33.88	50.82	32.54	48.81	(2.01)
02/13/09	11/26/07	BG PLC ADR Fnl Instllm	BRGYY	1.000	101.10	101.10	76.96	76.96	(24.14)
02/13/09	11/26/07	British Amern Tob PLC	BTI	2.000	76.54	153.08	52.80	105.60	(47.48)
02/13/09	01/20/06	E.On AG	EONGY	21.000	35.71	749.91	29.28	614.87	(135.04)
02/13/09	04/24/06	E.On AG	EONGY	1.000	40.65	40.65	29.28	29.28	(11.37)
02/13/09	11/21/07	Gdf Suez Spons ADR	GDFZY	7.000	69.93	489.49	35.50	248.49	(241 00)
02/13/09	06/22/07	Glaxo Smithkline PLC ADR	GSK	5.000	51.91	259.54	34.99	174.97	(84.57)
02/13/09	01/10/08	Glaxo Smithkline PLC ADR	GSK	8.000	54.29	434.29	34.99	279.95	(154.34)
02/13/09	08/24/06	Nestle S A REG ADR	NSRGY	8.000	33.88	271.04	32.29	258.31	(12.73)
02/13/09	05/31/07	Petrobras Brasileiro	PBR	5.000	27.38	136.91	29.94	149.71	12.80
02/13/09	12/11/06	Telefonica SA	TEF	3.000	63.68	191.04	56.13	168.40	(22.64)
02/13/09	01/25/07	Telefonica SA	TEF	6.000	64.87	389.22	56.14	336 81	(52.41)
02/13/09	04/26/07	Total S A Petroles	TOT	7.000	73.72	516.04	52.78	369.45	(146.59)
02/25/09	08/01/02	Barclays PLC ADR	BCS	34.000	28.90	982.60	5.96	202 63	(779.97)
02/25/09	12/22/04	Barclays PLC ADR	BCS	20.000	44.53	890.60	5.96	119.20	(771.40)
02/25/09	01/20/06	Barclays PLC ADR	BCS	43.000	42.16	1,812.88	5.96	256.28	(1,556.60)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
02/25/09	11/07/06	Basf AG ADR New	055262505	23.000	43.93	1,010.47	26.77	615.61	(394.86)
02/25/09	06/22/07	BHP Billiton PLC	BBL	6.000	55.46	332.77	31.99	191.96	(140.81)
02/25/09	05/30/06	China Unicom Ltd ADR Sponsored	CHU	33.000	10.67	352.06	9.42	310.82	(41.24)
02/25/09	01/10/08	Companhia Vale DO Rio Doce	204412100	25.000	28.00	700.00	11.18	279.49	(420 51)
02/25/09	06/22/07	Royal Dutch Shell PLC Class A	RDS--A	2.000	78.82	157.64	45.99	91.97	(65 67)
02/25/09	07/13/07	Royal Dutch Shell PLC Class A	RDS--A	5.000	84.54	422.70	45.98	229.91	(192 79)
02/25/09	01/20/06	Sanofi-Synthelabo	SNY	16.000	46.02	736.32	27.81	444.97	(291.35)
03/02/09	01/20/06	Allianz Se ADR	AZSEY	37.000	15.15	560.55	6.34	234.72	(325.83)
03/02/09	02/21/07	Allianz Se ADR	AZSEY	237.000	20.52	4,862.55	6.34	1,503.47	(3,359.08)
03/02/09	10/09/06	Arcelor Mittal	MT	33.000	36.75	1,212.75	17.76	586.09	(626.66)
03/02/09	02/21/07	Banco Itau Hldg Financiera	059602201	46.000	14.64	673.44	8.66	398.46	(274.98)
03/02/09	02/26/07	Banco Itau Hldg Financiera	059602201	60.000	14.55	873.12	8.66	519.73	(353.39)
03/02/09	01/20/06	Barclays PLC ADR	BCS	1.000	42.16	42.16	4.77	4.77	(37.39)
03/02/09	08/25/06	Bayer A G ADR Sponsored	BAYRY	2.000	50.04	100.08	46.28	92.55	(7.53)
03/02/09	02/15/08	BG PLC ADR Fnl Instllm	BRGYY	5.000	111.49	557.43	68.27	341.34	(216.09)
03/02/09	06/22/07	BHP Billiton PLC	BBL	33.000	55.46	1,830.26	28.75	948.76	(881.50)
03/02/09	08/06/07	BHP Ltd	BHP	3.000	59.98	179.95	34.50	103.50	(76.45)
03/02/09	09/13/07	BHP Ltd	BHP	11.000	66.46	731.02	34.50	379.52	(351.50)
03/02/09	07/14/06	BNP Paribas Spon ADR 1/4 Sh	BNPQY	5.000	46.05	230.25	14.93	74.65	(155.60)
03/02/09	08/08/06	BNP Paribas Spon ADR 1/4 Sh	BNPQY	37.000	51.35	1,899.95	14.93	552.40	(1,347.55)
03/02/09	08/16/06	BNP Paribas Spon ADR 1/4 Sh	BNPQY	9.000	53.80	484.20	14.93	134.37	(349.83)
03/02/09	08/31/06	BNP Paribas Spon ADR 1/4 Sh	BNPQY	17.000	53.30	906.10	14.93	253.81	(652.29)
03/02/09	11/26/07	British Amern Tob PLC	BTI	2.000	76.55	153.09	48.49	96.97	(56.12)
03/02/09	12/06/07	British Amern Tob PLC	BTI	12.000	79.20	950.36	48.49	581.88	(368.48)
03/02/09	01/20/06	China Pete & Chem Corp	SNP	18.000	57.63	1,037.34	47.70	858.61	(178.73)
03/02/09	11/24/06	Companhia Vale DO Rio Doce	VALE-Z	18.000	13.53	243.54	12.01	216.19	(27.35)
03/02/09	08/17/07	Companhia Vale DO Rio Doce	VALE-Z	26.000	19.64	510.75	12.01	312.27	(198.48)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	01/10/08	Companhia Vale DO Rio Doce	204412100	37.000	28.00	1,036.00	10.51	388.86	(647.14)
03/02/09	04/11/06	Credit Suisse	CS	7.000	56.22	393.52	22.65	158.54	(234.98)
03/02/09	05/03/06	Credit Suisse	CS	19.000	60.95	1,158.00	22.65	430.36	(727.64)
03/02/09	09/21/06	Credit Suisse	CS	7.000	58.81	411.65	22.65	158.56	(253.09)
03/02/09	10/27/06	Credit Suisse	CS	14.000	60.89	852.45	22.65	317.11	(535.34)
03/02/09	11/09/06	Credit Suisse	CS	8.000	64.30	514.37	22.65	181.21	(333.16)
03/02/09	11/22/06	Credit Suisse	CS	7.000	65.93	461.49	22.65	158.56	(302.93)
03/02/09	03/05/07	Credit Suisse	CS	10.000	67.57	675.67	22.65	226.51	(449.16)
03/02/09	07/30/07	Deutsche Bank AG	DB	16.000	133.55	2,136.86	24.62	393.91	(1,742.95)
03/02/09	10/31/07	Deutsche Bank AG	DB	6.000	133.44	800.64	24.62	147.72	(652.92)
03/02/09	09/21/06	Eni S P A Spon ADR	E	7.000	58.68	410.74	36.82	257.73	(153.01)
03/02/09	10/18/06	Eni S P A Spon ADR	E	20.000	60.09	1,201.72	36.82	736.40	(465.32)
03/02/09	12/27/06	Eni S P A Spon ADR	E	13.000	67.18	873.34	36.82	478.66	(394.68)
03/02/09	04/24/06	E.On AG	EONGY	12.000	40.65	487.80	24.61	295.31	(192.49)
03/02/09	06/06/07	France Telecom ADR	FTE	41.000	29.03	1,190.31	21.69	889.28	(301.03)
03/02/09	11/21/07	Gdf Suez Spons ADR	GDFZY	10.000	69.93	699.27	29.65	296.49	(402.78)
03/02/09	01/10/08	Glaxo Smithkline PLC ADR	GSK	7.000	54.29	380.01	28.77	201.38	(178.63)
03/02/09	01/20/06	Honda Motor Ltd	HMC	6.000	27.63	165.78	22.81	136.85	(28.93)
03/02/09	05/12/06	Honda Motor Ltd	HMC	35.000	35.04	1,226.48	22.81	798.34	(428.14)
03/02/09	05/30/06	Honda Motor Ltd	HMC	11.000	33.20	365.20	22.81	250.91	(114.29)
03/02/09	09/28/06	Honda Motor Ltd	HMC	22.000	33.11	728.34	22.81	501.82	(226.52)
03/02/09	01/20/06	ING Groep N V Spon ADR	ING	87.000	33.63	2,925.80	4.17	363.13	(2,562.67)
03/02/09	03/03/06	ING Groep N V Spon ADR	ING	25.000	37.06	926.50	4.17	104.35	(822.15)
03/02/09	01/20/06	KB Financial Group Inc Sponsored ADR	KB	36.000	66.39	2,390.04	16.59	597.23	(1,792.81)
03/02/09	03/03/06	Lloyds Tsb Group ADR Spon	LYG	5.372	113.99	612 35	2.80	15.04	(597.31)
03/02/09	05/12/06	Lloyds Tsb Group ADR Spon	LYG	9.211	116 84	1,076.19	2.80	25.79	(1,050.40)
03/02/09	02/11/08	Lloyds Tsb Group ADR Spon	LYG	9.667	80 80	781.12	2.80	27.07	(754.05)
03/02/09	11/13/07	Mitsubishi Corp ADR Sponsrd	MSBHY	14 000	56.95	797.30	23.11	323.54	(473 76)
03/02/09	07/07/06	Nippon Teleg & TEL Corp	NTT	17 000	24.74	420.50	21.28	361.76	(58.74)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	08/31/06	Nippon Teleg & TEL Corp	NTT	45.000	25.25	1,136.10	21.28	957.59	(178.51)
03/02/09	05/23/07	Nissan Mtrs Sponsored ADR	NSANY	9.000	22.14	199.28	5.84	52.57	(146.71)
03/02/09	10/31/07	Nissan Mtrs Sponsored ADR	NSANY	49.000	23.01	1,127.62	5.84	286.16	(841.46)
03/02/09	12/11/07	Nissan Mtrs Sponsored ADR	NSANY	11.000	22.09	242.98	5.84	64.24	(178.74)
03/02/09	10/31/07	Oil Co Lukoil ADR Sponsored	LUKOY	20.000	92.00	1,840.00	30.21	604.19	(1,235.81)
03/02/09	05/31/07	Petrobras Brasileiro	PBR	6.000	27.38	164.30	26.23	157.37	(6.93)
03/02/09	02/11/08	Petro-Cda	PCZ--Z	34.000	44.49	1,512.66	19.87	675.57	(837.09)
03/02/09	07/13/07	Royal Dutch Shell PLC Class A	RDS--A	4 000	84.54	338.16	40.56	162.24	(175.92)
03/02/09	08/17/07	Royal Dutch Shell PLC Class A	RDS--A	3.000	72.74	218.22	40.56	121.68	(96.54)
03/02/09	08/31/07	Royal Dutch Shell PLC Class A	RDS--A	22.000	77.81	1,711.90	40.56	892.31	(819.59)
03/02/09	09/25/07	Royal Dutch Shell PLC Class A	RDS--A	26.000	82.57	2,146.82	40.56	1,054.55	(1,092.27)
03/02/09	10/23/07	Royal Dutch Shell PLC Class A	RDS--A	13 000	83.08	1,080.04	40.56	527.28	(552.76)
03/02/09	10/31/07	Royal Dutch Shell PLC Class A	RDS--A	18.000	87.52	1,575.28	40.56	730.07	(845.21)
03/02/09	02/11/08	Royal Dutch Shell PLC Class A	RDS--A	13.000	67.40	876.20	40.56	527.28	(348.92)
03/02/09	06/06/07	Rwe AG Sp ADR ORD Dm50	RWEOY	5.000	111.10	555.50	58.00	289.99	(265.51)
03/02/09	07/30/07	Rwe AG Sp ADR ORD Dm50	RWEOY	4.000	106.70	426.80	58.00	232.00	(194.80)
03/02/09	12/11/07	Rwe AG Sp ADR ORD Dm50	RWEOY	3.000	136.90	410.70	58.00	174.00	(236.70)
03/02/09	01/20/06	Sanofi-Synthelabo	SNY	12.000	46.02	552.24	25.66	307.96	(244.28)
03/02/09	01/25/06	Sanofi-Synthelabo	SNY	15.000	45.09	676.35	25.66	384.95	(291.40)
03/02/09	06/22/06	Sanofi-Synthelabo	SNY	4.000	46.91	187.64	25.66	102.65	(84.99)
03/02/09	07/14/06	Sanofi-Synthelabo	SNY	17 000	48.12	818.04	25.66	436 28	(381.76)
03/02/09	03/23/07	Sanofi-Synthelabo	SNY	18.000	43.49	782.82	25.66	461.95	(320.87)
03/02/09	08/31/06	Sharp Corp ADR	819882200	70 000	18.15	1,270.24	7.48	523.59	(746.65)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: January 12, 2006

Period Ending December 31, 2009

40191283

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/02/09	11/26/07	Sharp Corp ADR	819882200	46.000	16.49	758.54	7.48	344.08	(414.46)
03/02/09	08/12/02	Societe Generale France Spon ADR	SCGLY	50.000	12.10	605.00	5.67	283.49	(321.51)
03/02/09	12/22/04	Societe Generale France Spon ADR	SCGLY	30.000	20.00	600.00	5.67	170.10	(429.90)
03/02/09	08/31/07	Stora Enso Ab ADR Sponsored	SEOAY	55.000	17.96	988.03	3.86	212.30	(775.73)
03/02/09	10/31/07	Stora Enso Ab ADR Sponsored	SEOAY	72.000	18.40	1,324.44	3.86	277.91	(1,046.53)
03/02/09	05/23/07	Sumitomo Mitsui Finl Group	86562M100	75.000	9.90	742.50	2.93	219.74	(522.76)
03/02/09	01/10/08	Sumitomo Mitsui Finl Group	86562M100	99.000	7.87	779.18	2.93	290.07	(489.11)
03/02/09	11/24/06	Tesco PLC ADR Sponsored	881575302	8.000	23.46	187 71	13.58	108.63	(79.08)
03/02/09	02/08/07	Tesco PLC ADR Sponsored	881575302	8.000	25.43	203.45	13.58	108.64	(94.81)
03/02/09	08/06/07	Unilever PLC ADR Spon New	UL	1.000	31.07	31.07	18.77	18.77	(12.30)
03/02/09	01/03/08	Unilever PLC ADR Spon New	UL	22.000	37.79	831.38	18.77	413.02	(418.36)
03/02/09	05/12/06	United Microelectronics Corp	UMC	474.000	4 09	1,939.03	1.73	821.02	(1,118.01)
03/02/09	12/27/06	United Microelectronics Corp	UMC	198.000	4.13	816.77	1.73	342.96	(473.81)
		TOTAL LONG-TERM						**$45,550.35**	**($60,846.66)**
		GRAND TOTAL						**$108,465.96**	**($100,388.49)**

Realized Gain/Loss Report

First Clearing
Account Summary
1/1/09 - 11/3/09

Nathan Klein Fund
Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
01/06/09	01/05/09	Copa Holdings SA Cl A	CPA	22.000	$29.86	$656.87	$30.36	$667.94	$11.07
01/06/09	11/10/08	Laboratory Corp AMER Hldgs	LH	8.000	63.21	505.70	62 06	496.45	(9.25)
01/07/09	10/22/08	Continental Resources Inc	CLR	7.000	24.11	168.76	24 97	174.82	6.06
01/07/09	11/20/08	Continental Resources Inc	CLR	9 000	16.66	149.94	24.97	224.77	74.83
01/07/09	12/04/08	Continental Resources Inc	CLR	11 000	15.67	172.39	24.97	274.71	102.32
01/07/09	12/12/08	Devry Inc	DV	4 000	54.84	219.34	51.70	206.79	(12.55)
01/08/09	08/22/08	Perrigo Co	PRGO	20.000	36.47	729.33	28.47	569.43	(159.90)
01/12/09	07/30/08	Sigma Aldrich Corp	SIAL	4.000	61.14	244.57	37.66	150.62	(93.95)
01/13/09	09/17/08	Essex Ppty Tr	ESS	6.000	116.32	697.91	65.38	392.30	(305.61)
01/15/09	01/24/08	Douglas Emmett Inc	DEI	19.000	22.70	431.22	9.90	188.18	(243.04)
01/15/09	09/19/08	Keycorp New	KEY	33.000	15.00	495.00	6.09	200 94	(294.06)
01/15/09	09/19/08	New York Cmnty Bancorp Inc	NYB	22.000	19.58	430.82	11.79	259.39	(171.43)
01/16/09	10/03/08	Clorox Company	CLX	7 000	63.34	443.41	51 34	359.35	(84.06)
01/20/09	09/09/08	Jarden Corp	JAH	10 000	26.97	269.65	11 04	110.42	(159.23)
01/20/09	09/12/08	Jarden Corp	JAH	18.000	25.75	463.58	11.04	198.75	(264.83)
01/20/09	09/26/08	Jarden Corp	JAH	17.000	25.08	426.38	11.04	187.71	(238.67)
01/20/09	04/24/08	Kansas City Southern New	KSU	9.000	41.56	374.04	15.85	142.66	(231.38)
01/22/09	10/03/08	Clorox Company	CLX	10.000	63.35	633.45	50 55	505.50	(127.95)
01/22/09	04/24/08	Kansas City Southern New	KSU	4.000	41.56	166.24	16 45	65.81	(100.43)
01/22/09	04/28/08	Kansas City Southern New	KSU	7.000	44.10	308.73	16.45	115.18	(193.55)
01/22/09	08/20/08	Kansas City Southern New	KSU	9.000	48.97	440.73	16.45	148.09	(292.64)
01/22/09	11/06/08	Sherwin Williams Co	SHW	8.000	53.63	429.06	48.52	388.18	(40.88)
01/23/09	01/29/08	Alliance Data Sys Corp	ADS	6 000	40.42	242.52	40.07	240.43	(2.09)
01/23/09	07/15/08	Wabtec Corp	WAB	12 000	52.64	631.67	29.16	349.96	(281.71)
01/26/09	10/03/08	Clorox Company	CLX	1.000	63.34	63.34	48.29	48.29	(15.05)
01/26/09	10/06/08	Clorox Company	CLX	7.000	61.31	429.19	48.30	338.10	(91.09)
01/26/09	10/13/08	Clorox Company	CLX	4 000	57.66	230.63	48.30	193.20	(37.43)
01/26/09	10/16/08	Clorox Company	CLX	6 000	55.67	334.01	48.30	289.80	(44.21)
01/26/09	12/02/08	Cullen Frost Bankers Inc	CFR	12 000	48.82	585.83	40.42	485.00	(100.83)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
01/26/09	12/10/08	Cullen Frost Bankers Inc	CFR	4.000	48.68	194.72	40.42	161.67	(33.05)
01/26/09	12/12/08	Cullen Frost Bankers Inc	CFR	3.000	46.32	138.96	40.42	121.25	(17.71)
01/26/09	07/25/08	Dreamworks Animation Inc	DWA	14.000	31.74	444.42	22.44	314.16	(130.26)
01/26/09	08/20/08	Kansas City Southern New	KSU	2.000	48.97	97.94	16.91	33.81	(64.13)
01/26/09	01/07/09	Kansas City Southern New	KSU	17.000	22.32	379.44	16.90	287.38	(92.06)
01/29/09	03/28/08	Armstrong World Inds Inc New	AWI	7.000	34.58	242.07	17.06	119.41	(122.66)
01/29/09	06/26/08	Armstrong World Inds Inc New	AWI	15.000	30.03	450.44	17.06	255.87	(194.57)
01/29/09	03/18/08	Kirby Corp	KEX	21 000	48.34	1,015.08	24.95	523.98	(491.10)
01/29/09	07/24/08	Kirby Corp	KEX	12.000	49.39	592.65	24.95	299.42	(293.23)
01/29/09	01/06/09	Kirby Corp	KEX	10.000	30.74	307.42	24.95	249.52	(57.90)
01/29/09	08/15/08	Penn Virginia Corp	PVA	11 000	61.98	681.81	21.42	235.67	(446.14)
01/29/09	08/22/08	Penn Virginia Corp	PVA	3.000	63.19	189.56	21.42	64.27	(125.29)
01/29/09	09/04/08	Penn Virginia Corp	PVA	15.000	62.22	933.36	21.42	321.37	(611.99)
01/29/09	09/09/08	Penn Virginia Corp	PVA	8.000	52.92	423.34	21.43	171.40	(251.94)
01/29/09	01/09/09	Penn Virginia Corp	PVA	10.000	27.10	270.98	21.42	214.24	(56.74)
01/30/09	10/24/08	Flir Sys Inc	FLIR	12.000	28.21	338.56	25.32	303.83	(34.73)
02/02/09	01/06/09	Corn Prods Intl Inc	CPO	11.000	30.84	339.28	21.46	236.06	(103.22)
02/03/09	07/25/08	Dreamworks Animation Inc	DWA	11.000	31.74	349.18	21.28	234.11	(115.07)
02/03/09	08/22/08	Perrigo Co	PRGO	9.000	36.47	328.20	25.19	226.74	(101.46)
02/03/09	09/18/08	Perrigo Co	PRGO	6.000	37.65	225.89	25.19	151.16	(74.73)
02/03/09	09/26/08	Perrigo Co	PRGO	13 000	38.11	495.44	25.19	327.52	(167.92)
02/03/09	10/16/08	Perrigo Co	PRGO	5 000	32.84	164.20	25.19	125.97	(38.23)
02/03/09	11/06/08	Sherwin Williams Co	SHW	2.000	53.63	107.26	46.80	93.59	(13.67)
02/03/09	11/19/08	Sherwin Williams Co	SHW	3.000	54.63	163.88	46.80	140.39	(23 49)
02/04/09	09/09/08	Copart Inc	CPRT	7 000	44.68	312.78	24.79	173.55	(139 23)
02/04/09	09/15/08	Copart Inc	CPRT	2.000	44.00	88.00	24.79	49.58	(38.42)
02/05/09	07/24/08	Dollar Tree Inc	DLTR	9.000	37.04	333.32	35.90	323.07	(10.25)
02/05/09	10/24/08	Flir Sys Inc	FLIR	12.000	28.21	338.56	22 30	267 60	(70.96)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
02/05/09	10/30/08	Flir Sys Inc	FLIR	4.000	30.72	122.86	22.30	89.20	(33.66)
02/05/09	11/10/08	Laboratory Corp AMER Hldgs	LH	6.000	63.21	379.27	59.51	357.07	(22.20)
02/06/09	10/30/08	Flir Sys Inc	FLIR	3.000	30.72	92.15	22.54	67.62	(24.53)
02/06/09	11/11/08	Flir Sys Inc	FLIR	12.000	29.48	353.71	22.54	270.52	(83.19)
02/06/09	12/29/08	Flir Sys Inc	FLIR	12.000	28.00	336.03	22.54	270.52	(65.51)
02/06/09	11/19/08	Sherwin Williams Co	SHW	1.000	54.63	54.63	49.14	49.14	(5.49)
02/06/09	11/21/08	Sherwin Williams Co	SHW	5.000	50.49	252.45	49.14	245.72	(6.73)
02/09/09	07/08/08	Burger King Hldgs Inc	BKC	15.000	27.02	405.37	19.89	298.34	(107.03)
02/09/09	07/28/08	Hasbro Inc	HAS	12.000	38.24	458.88	25.28	303.40	(155.48)
02/09/09	07/31/08	Hasbro Inc	HAS	6.000	39.11	234.65	25.28	151.70	(82.95)
02/10/09	09/17/08	Essex Ppty Tr	ESS	3.000	116.32	348.95	61.31	183.92	(165.03)
02/10/09	09/18/08	Essex Ppty Tr	ESS	3.000	116.41	349.24	61.30	183.91	(165.33)
02/10/09	10/03/08	Essex Ppty Tr	ESS	3.000	113.26	339.77	61.30	183.91	(155.86)
02/12/09	09/15/08	Copart Inc	CPRT	7.000	44.00	308.00	25.42	177.95	(130.05)
02/12/09	09/18/08	Copart Inc	CPRT	8.000	43.87	350.94	25.42	203.37	(147.57)
02/12/09	09/26/08	Copart Inc	CPRT	10.000	39.37	393.66	25.42	254.22	(139.44)
02/12/09	07/31/08	Hasbro Inc	HAS	5.000	39.11	195.55	23.51	117.54	(78.01)
02/12/09	08/12/08	Hasbro Inc	HAS	11.000	40.93	450.19	23.51	258.61	(191.58)
02/12/09	09/09/08	Hasbro Inc	HAS	13.000	38.15	495.90	23.51	305.63	(190.27)
02/13/09	05/27/08	Harris Corp Del	HRS	7.000	63.30	443.10	42.74	299.21	(143.89)
02/17/09	07/24/08	Dollar Tree Inc	DLTR	12.000	37.04	444.43	34.38	412.55	(31.88)
02/17/09	08/08/08	FMC Technologies Inc	FTI	9.000	53.40	480.59	28.39	255.54	(225.05)
02/17/09	08/15/08	FMC Technologies Inc	FTI	5.000	51.52	257.58	28.39	141.97	(115.61)
02/18/09	07/25/08	Dreamworks Animation Inc	DWA	4.000	31.75	126.98	20.21	80.84	(46.14)
02/18/09	08/05/08	Dreamworks Animation Inc	DWA	17.000	29.99	509.83	20.21	343.58	(166.25)
02/18/09	08/19/08	Dreamworks Animation Inc	DWA	8.000	30.76	246.11	20.21	161.68	(84.43)
02/18/09	07/14/08	Integrys Energy Group Inc	TEG	7.000	52.06	364.41	39.84	278.86	(85.55)
02/19/09	08/19/08	Dreamworks Animation Inc	DWA	6.000	30.77	184.59	20.00	120.01	(64.58)
02/19/09	09/08/08	Dreamworks Animation Inc	DWA	14.000	31.25	437.50	20.00	280.05	(157.45)
02/19/09	09/29/08	Dreamworks Animation Inc	DWA	8.000	31.35	250.80	20.00	160.03	(90.77)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
02/20/09	05/07/08	Alliance Data Sys Corp	ADS	7.000	59.04	413.29	29.47	206.32	(206.97)
02/20/09	09/19/08	Keycorp New	KEY	2 000	15.00	30.00	5.18	10.35	(19.65)
02/20/09	07/15/08	Wabtec Corp	WAB	6.000	52.64	315.83	25.74	154.42	(161.41)
02/20/09	07/22/08	Wabtec Corp	WAB	5.000	56.77	283.84	25.74	128.68	(155.16)
02/23/09	07/14/08	Integrys Energy Group Inc	TEG	7.000	52.06	364.41	37.14	259.98	(104.43)
02/23/09	07/18/08	King Pharmaceuticals Inc	KG	24.000	11.49	275.70	8.08	193.91	(81.79)
02/24/09	03/26/08	Lincoln Elec Hldgs Inc	LECO	2.000	68.14	136.27	32.17	64.34	(71.93)
02/25/09	11/20/08	Centerpoint Energy Inc Com	CNP	19.000	11.63	220.92	10.99	208.81	(12.11)
02/25/09	06/19/08	FTI Consulting Inc	FCN	7.000	65.70	459.92	37.62	263.34	(196.58)
02/25/09	06/25/08	FTI Consulting Inc	FCN	2.000	67.14	134.27	37.62	75.24	(59.03)
02/26/09	02/03/09	Humana Inc	HUM	8.000	42.17	337.34	25.71	205.69	(131.65)
02/26/09	07/14/08	Integrys Energy Group Inc	TEG	8.000	52.06	416.46	27.72	221.78	(194.68)
02/27/09	02/03/09	Humana Inc	HUM	14.000	42.17	590.35	24.70	345.73	(244.62)
02/27/09	02/05/09	Humana Inc	HUM	5.000	44.10	220.50	24.70	123.48	(97.02)
02/27/09	02/18/09	Humana Inc	HUM	7.000	40.52	283.66	24.70	172.87	(110.79)
02/27/09	03/26/08	Lincoln Elec Hldgs Inc	LECO	5.000	68.13	340.66	30.68	153.38	(187.28)
02/27/09	04/11/08	Lincoln Elec Hldgs Inc	LECO	4.000	69.44	277.75	30.68	122.70	(155.05)
02/27/09	04/28/08	Lincoln Elec Hldgs Inc	LECO	5.000	75.77	378.87	30.67	153.37	(225.50)
02/27/09	12/18/08	Lincoln Elec Hldgs Inc	LECO	3.000	48.56	145.68	30.67	92.02	(53.66)
03/02/09	11/20/08	Centerpoint Energy Inc Com	CNP	28.000	11.63	325.57	10.03	280.74	(44.83)
03/03/09	01/29/09	Cabot Oil & Gas Corp	COG	16.000	29.09	465.51	19.93	318.90	(146.61)
03/03/09	01/09/09	Continental Airlines Inc	CAL	16.000	20.55	328.78	8.30	132.84	(195.94)
03/03/09	05/27/08	Harris Corp Del	HRS	6.000	63.30	379.80	33.59	201.51	(178.29)
03/04/09	01/29/09	Cabot Oil & Gas Corp	COG	14.000	29.09	407.32	21.08	295.18	(112.14)
03/04/09	12/12/08	Devry Inc	DV	7.000	54.84	383.85	46.42	324.92	(58.93)
03/04/09	12/17/08	Devry Inc	DV	4.000	55.92	223.68	46.42	185.67	(38.01)
03/04/09	07/14/08	Integrys Energy Group Inc	TEG	14 000	52.06	728.81	21.59	302.27	(426.54)
03/05/09	01/29/09	Cabot Oil & Gas Corp	COG	2 000	29.10	58.19	20.69	41.37	(16.82)
03/05/09	02/05/09	Cabot Oil & Gas Corp	COG	10.000	28.36	283.57	20.68	206.83	(76.74)
03/05/09	07/16/08	Integrys Energy Group Inc	TEG	8.000	51.20	409.56	20.63	165.03	(244.53)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/05/09	09/12/08	Integrys Energy Group Inc	TEG	6.000	53.30	319.81	20.63	123.78	(196.03)
03/05/09	07/18/08	King Pharmaceuticals Inc	KG	41.000	11.49	470.98	6.19	253.83	(217.15)
03/06/09	11/20/08	Centerpoint Energy Inc Com	CNP	25.000	11.63	290.69	9.03	225.85	(64.84)
03/06/09	11/21/08	Centerpoint Energy Inc Com	CNP	9.000	11.11	99.98	9.03	81.30	(18.68)
03/06/09	01/09/09	Continental Airlines Inc	CAL	9.000	20.55	184.94	7.43	66.87	(118.07)
03/06/09	01/14/09	Continental Airlines Inc	CAL	15.000	17.96	269.40	7.43	111.45	(157.95)
03/06/09	01/21/09	Continental Airlines Inc	CAL	10.000	16.65	166.50	7.43	74.30	(92.20)
03/06/09	01/29/09	Continental Airlines Inc	CAL	13.000	15.17	197.17	7.43	96.59	(100.58)
03/06/09	02/04/09	Continental Airlines Inc	CAL	3.000	13.52	40.55	7.43	22.29	(18.26)
03/06/09	07/22/08	Omnicare Inc	OCR	11.000	26.42	290.63	23.25	255.74	(34.89)
03/09/09	08/26/08	URS Corp New	URS	4.000	45.66	182.65	35.24	140.97	(41.68)
03/09/09	07/22/08	Wabtec Corp	WAB	2.000	56.77	113.53	23.62	47.24	(66.29)
03/09/09	08/05/08	Wabtec Corp	WAB	8.000	54.69	437.48	23.62	188.99	(248.49)
03/09/09	10/22/08	Wabtec Corp	WAB	8.000	44.50	355.98	23.62	188.99	(166.99)
03/10/09	05/27/08	Harris Corp Del	HRS	7.000	63.30	443.10	30.14	211.00	(232.10)
03/11/09	11/21/08	Centerpoint Energy Inc Com	CNP	14.000	11.11	155.52	9.33	130.60	(24.92)
03/11/09	11/24/08	Centerpoint Energy Inc Com	CNP	19.000	12.07	229.33	9.33	177.24	(52.09)
03/11/09	12/02/08	Centerpoint Energy Inc Com	CNP	23.000	12.13	278.99	9.33	214.56	(64.43)
03/12/09	12/02/08	Centerpoint Energy Inc Com	CNP	2.000	12.13	24.26	9.37	18.74	(5.52)
03/12/09	12/08/08	Centerpoint Energy Inc Com	CNP	24.000	12.86	308.64	9.37	224.82	(83.82)
03/12/09	12/16/08	Centerpoint Energy Inc Com	CNP	17.000	12.46	211.76	9.37	159.25	(52.51)
03/12/09	01/16/09	Centerpoint Energy Inc Com	CNP	13.000	12.84	166.92	9.37	121.78	(45.14)
03/12/09	07/24/08	Dollar Tree Inc	DLTR	5.000	37.04	185.18	40.61	203.05	17.87
03/13/09	11/13/08	Brown & Brown Inc	BRO	29.000	20.55	595.97	16.52	479.13	(116.84)
03/13/09	10/24/08	Family DLR Stores Inc	FDO	16.000	25.23	403.74	31.39	502.16	98.42
03/16/09	11/24/08	Brown & Brown Inc	BRO	20.000	18.09	361.76	16.81	336.20	(25.56)
03/16/09	12/31/08	Brown & Brown Inc	BRO	18.000	20.91	376.44	16.81	302.58	(73.86)
03/16/09	01/07/09	Brown & Brown Inc	BRO	11.000	21.25	233.75	16.81	184.91	(48.84)
03/16/09	10/13/08	Covanta Holding Corporation	CVA	11.000	19.97	219.66	13.62	149.85	(69.81)
03/16/09	12/17/08	Devry Inc	DV	2.000	55.92	111.84	41.71	83.42	(28.42)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/16/09	12/30/08	Devry Inc	DV	4.000	57.78	231.10	41.71	166.84	(64.26)
03/16/09	01/28/09	Devry Inc	DV	2.000	55.06	110.12	41.71	83.42	(26.70)
03/17/09	01/28/09	Devry Inc	DV	3.000	55.06	165.17	46.94	140.81	(24.36)
03/17/09	02/03/09	Devry Inc	DV	5.000	56.82	284.08	46.94	234.68	(49.40)
03/17/09	11/07/08	Saic Inc	SAI	15.000	17.81	267.13	17.41	261.19	(5.94)
03/18/09	01/06/09	Corn Prods Intl Inc	CPO	10.000	30.84	308.43	19.40	194.00	(114.43)
03/18/09	01/09/09	Corn Prods Intl Inc	CPO	3.000	28.58	85.73	19.40	58.20	(27.53)
03/18/09	12/03/08	Quanta Svcs Inc	PWR	26.000	15.31	398.11	21.55	560.22	162.11
03/18/09	12/04/08	Range RES Corp	RRC	10.000	34.93	349.29	40.88	408.77	59.48
03/19/09	05/27/08	Harris Corp Del	HRS	4.000	63.30	253.20	33.06	132.23	(120.97)
03/19/09	08/19/08	Harris Corp Del	HRS	4.000	52.52	210.08	33.06	132.24	(77.84)
03/19/09	05/23/08	Republic Svcs Inc	RSG	5.000	32.16	160.80	17.58	87.92	(72.88)
03/20/09	08/19/08	Harris Corp Del	HRS	2.000	52.52	105.04	30.71	61.42	(43.62)
03/20/09	10/30/08	Harris Corp Del	HRS	9.000	35.73	321.57	30.71	276.40	(45.17)
03/20/09	01/21/09	Harris Corp Del	HRS	4.000	40.78	163.12	30.71	122.84	(40.28)
03/23/09	11/07/08	Saic Inc	SAI	28.000	17.81	498.64	17.14	479.91	(18.73)
03/24/09	01/09/09	Corn Prods Intl Inc	CPO	9.000	28.58	257.20	20.99	188.90	(68.30)
03/24/09	01/20/09	Corn Prods Intl Inc	CPO	11.000	27.26	299.89	20.99	230.89	(69.00)
03/24/09	01/29/09	Corn Prods Intl Inc	CPO	9.000	24.55	220.96	20.99	188.91	(32.05)
03/24/09	06/03/08	H & R Block Inc	HRB	14.000	23.62	330.64	16.57	231.97	(98.67)
03/24/09	09/19/08	Hanover Ins Group Inc	THG	6.000	52.08	312.48	30.24	181.43	(131.05)
03/24/09	11/07/08	Saic Inc	SAI	10.000	17.81	178.08	17.62	176.20	(1.88)
03/24/09	11/12/08	Saic Inc	SAI	15.000	18.49	277.42	17.62	264.30	(13.12)
03/24/09	11/20/08	Saic Inc	SAI	11.000	17.99	197.89	17.62	193.82	(4.07)
03/24/09	12/01/08	Saic Inc	SAI	11.000	17.87	196.53	17.62	193.82	(2.71)
03/24/09	12/16/08	Saic Inc	SAI	11.000	18.92	208.12	17.62	193.82	(14.30)
03/25/09	08/15/08	Constellation Brands Inc	STZ	11.000	22.01	242.12	12.72	139.89	(102.23)
03/25/09	08/19/08	Constellation Brands Inc	STZ	11.000	21.04	231.44	12.72	139.89	(91.55)
03/26/09	12/18/08	Ralcorp Hldgs Inc New	RAH	8.000	59.10	472.76	53.15	425.22	(47.54)
03/26/09	01/15/09	Ralcorp Hldgs Inc New	RAH	3.000	56.88	170.64	53.15	159.46	(11.18)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/27/09	08/19/08	Constellation Brands Inc	STZ	13.000	21.04	273.52	11.97	155.64	(117.88)
03/27/09	09/04/08	Constellation Brands Inc	STZ	20.000	21.36	427.13	11.97	239.45	(187.68)
03/27/09	09/12/08	Constellation Brands Inc	STZ	24.000	22.44	538.49	11.97	287.33	(251.16)
03/27/09	11/10/08	Laboratory Corp AMER Hldgs	LH	1.000	63.21	63.21	58.79	58.79	(4.42)
03/27/09	11/12/08	Laboratory Corp AMER Hldgs	LH	5.000	62.73	313.65	58.78	293.92	(19.73)
03/27/09	11/17/08	Laboratory Corp AMER Hldgs	LH	3.000	63.73	191.19	58.78	176.35	(14.84)
03/27/09	12/16/08	Laboratory Corp AMER Hldgs	LH	6.000	61.83	370.99	58.79	352.71	(18.28)
03/27/09	02/23/09	Laboratory Corp AMER Hldgs	LH	4.000	61.42	245.68	58.79	235.14	(10.54)
03/27/09	02/09/09	Precision Castparts Corp	PCP	6.000	67.89	407.35	63.29	379.74	(27.61)
03/27/09	02/03/09	Wisconsin Energy Corp	WEC	11.000	45.20	497.25	40.58	446.41	(50.84)
03/30/09	09/08/08	Teleflex Inc	TFX	6.000	65.43	392.60	38.60	231.61	(160.99)
03/31/09	07/24/08	Dollar Tree Inc	DLTR	6.000	37.04	222.21	44.63	267.79	45.58
03/31/09	07/28/08	Dollar Tree Inc	DLTR	1.000	35.68	35.68	44.63	44.63	8.95
03/31/09	03/09/09	Netease Com Inc ADR Sponsored	NTES	14.000	21.09	295.22	26.96	377.38	82 16
03/31/09	02/09/09	Precision Castparts Corp	PCP	4.000	67.89	271.57	60.84	243.36	(28.21)
03/31/09	08/26/08	URS Corp New	URS	6.000	45.66	273.97	39.45	236.68	(37.29)
04/01/09	06/03/08	H & R Block Inc	HRB	18.000	23.62	425.11	17.89	322.04	(103.07)
04/01/09	01/27/09	Magna Intl Inc Cl A	MGA	7.000	29.51	206.54	26.05	182.33	(24.21)
04/02/09	01/23/09	Peoples United Financial	PBCT	15.000	17.01	255.08	18.12	271.79	16.71
04/02/09	12/03/08	Quanta Svcs Inc	PWR	13.000	15.31	199.05	23.37	303.75	104.70
04/02/09	12/30/08	Quanta Svcs Inc	PWR	2.000	18.86	37.72	23.37	46.73	9.01
04/02/09	02/03/09	Wisconsin Energy Corp	WEC	2.000	45.21	90.41	41.31	82.61	(7 80)
04/02/09	02/04/09	Wisconsin Energy Corp	WEC	6.000	45.63	273.77	41.31	247.84	(25.93)
04/06/09	02/10/09	Digital Rlty Tr Inc	DLR	8 000	34.35	274.78	36.96	295.67	20.89
04/06/09	01/27/09	Magna Intl Inc Cl A	MGA	7.000	29.51	206.55	32.10	224.69	18.14
04/07/09	03/09/09	Netease Com Inc ADR Sponsored	NTES	22.000	21.09	463.91	27.90	613.79	149.88
04/07/09	03/16/09	Netease Com Inc ADR Sponsored	NTES	12.000	23.42	281.03	27.90	334.80	53.77

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
04/07/09	09/30/08	O Reilly Automotive Inc	ORLY	11.000	26.31	289.44	35.84	394.23	104.79
04/08/09	06/03/08	H & R Block Inc	HRB	30.000	23.62	708.52	16.37	491.10	(217.42)
04/08/09	09/19/08	New York Cmnty Bancorp Inc	NYB	23.000	19.58	450.41	10.40	239.20	(211.21)
04/08/09	09/26/08	New York Cmnty Bancorp Inc	NYB	7.000	16.96	118.75	10.40	72.80	(45.95)
04/09/09	12/16/08	Forest Labs Inc	FRX	31.000	24.29	753.06	21.63	670.68	(82.38)
04/09/09	09/19/08	Keycorp New	KEY	29.000	15.00	435.00	7.30	211.81	(223.19)
04/09/09	09/23/08	Keycorp New	KEY	1.000	13.54	13.54	7.30	7.30	(6 24)
04/09/09	01/15/09	Ralcorp Hldgs Inc New	RAH	6.000	56.88	341.29	52.29	313.73	(27.56)
04/09/09	02/18/09	Ralcorp Hldgs Inc New	RAH	4.000	62.47	249.89	52.29	209.15	(40.74)
04/13/09	06/03/08	H & R Block Inc	HRB	3.000	23.62	70.85	15.46	46.39	(24.46)
04/13/09	06/10/08	H & R Block Inc	HRB	24.000	22.76	546.24	15.46	371.12	(175.12)
04/13/09	06/23/08	H & R Block Inc	HRB	3.000	20.85	62.55	15.46	46.39	(16.16)
04/13/09	02/09/09	Precision Castparts Corp	PCP	3.000	67.89	203.67	59.56	178.69	(24.98)
04/13/09	02/17/09	Precision Castparts Corp	PCP	6.000	64.83	389.00	59.56	357.38	(31.62)
04/13/09	02/18/09	Ralcorp Hldgs Inc New	RAH	2.000	62.48	124.95	53.20	106.40	(18.55)
04/13/09	02/23/09	Ralcorp Hldgs Inc New	RAH	5.000	60.24	301.19	53.20	265.99	(35.20)
04/13/09	03/13/09	Ralcorp Hldgs Inc New	RAH	4.000	55.32	221.26	53.20	212.79	(8.47)
04/13/09	06/12/08	UGI Corp New	UGI	17.000	26.89	457.15	22.57	383.73	(73.42)
04/14/09	03/05/09	Teradata Corp Del	TDC	15.000	16.26	243.89	15.31	229.69	(14.20)
04/15/09	02/20/09	Abercrombie & Fitch Co Cl A	ANF	10.000	23.08	230.82	22 80	228.02	(2.80)
04/15/09	07/08/08	Burger King Hldgs Inc	BKC	13.000	27.02	351.32	18.92	245.91	(105.41)
04/15/09	12/16/08	Forest Labs Inc	FRX	6.000	24.29	145.75	21.36	128.17	(17.58)
04/15/09	12/18/08	Forest Labs Inc	FRX	12.000	25.21	302.56	21.36	256.33	(46.23)
04/16/09	12/18/08	Forest Labs Inc	FRX	1.000	25.21	25.21	22.09	22.09	(3.12)
04/16/09	01/21/09	Forest Labs Inc	FRX	8.000	24.37	194.99	22.09	176.74	(18.25)
04/16/09	01/28/09	Forest Labs Inc	FRX	13.000	26.00	337.99	22.09	287.21	(50.78)
04/16/09	06/23/08	H & R Block Inc	HRB	19.000	20.85	396.13	16.25	308.74	(87.39)
04/16/09	08/04/08	H & R Block Inc	HRB	12.000	24.28	291.36	16.25	194.99	(96.37)
04/16/09	09/08/08	H & R Block Inc	HRB	8.000	24.58	196.61	16.25	130.00	(66.61)
04/16/09	03/24/09	MEMC Electr Matls Inc	WFR	22.000	16.14	355.07	15.53	341.66	(13.41)

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
04/17/09	07/08/08	Burger King Hldgs Inc	BKC	24.000	27.03	648.60	18.59	446.21	(202.39)
04/17/09	07/10/08	Burger King Hldgs Inc	BKC	2.000	26.05	52.10	18.59	37.18	(14.92)
04/17/09	02/04/09	Wisconsin Energy Corp	WEC	1.000	45.63	45.63	40.06	40.06	(5.57)
04/17/09	02/11/09	Wisconsin Energy Corp	WEC	8.000	43.13	345.07	40.05	320.42	(24.65)
04/17/09	02/20/09	Wisconsin Energy Corp	WEC	5.000	42.57	212.85	40.05	200.26	(12.59)
04/17/09	02/26/09	Wisconsin Energy Corp	WEC	7 000	41.76	292.29	40.05	280.37	(11.92)
04/20/09	05/07/08	Alliance Data Sys Corp	ADS	3.000	59.04	177.13	41.25	123.76	(53.37)
04/20/09	05/06/08	Ametek Inc New	AME	8.000	50.47	403.78	34.35	274.80	(128.98)
04/21/09	05/06/08	Ametek Inc New	AME	11.000	50.47	555.19	31.57	347.27	(207.92)
04/21/09	03/24/09	MEMC Electr Matls Inc	WFR	15.000	16.14	242.10	13.93	208.99	(33.11)
04/22/09	07/10/08	Burger King Hldgs Inc	BKC	16.000	26.05	416.79	17.72	283.46	(133.33)
04/22/09	07/23/08	Burger King Hldgs Inc	BKC	15.000	27.74	416.08	17.72	265.74	(150.34)
04/22/09	08/11/08	Burger King Hldgs Inc	BKC	9.000	29.99	269.88	17.72	159.44	(110.44)
04/22/09	03/03/09	Burger King Hldgs Inc	BKC	9.000	22.26	200.38	17.72	159.44	(40.94)
04/22/09	07/18/08	Cephalon Inc	CEPH	7.000	73.69	515.85	64.07	448.46	(67.39)
04/23/09	11/21/08	Commerce Bancshares Inc	CBSH	11.000	38.01	418.14	32.83	361.09	(57.05)
04/23/09	01/09/09	Commerce Bancshares Inc	CBSH	8.000	39.33	314.65	32.83	262.61	(52.04)
04/24/09	03/24/09	MEMC Electr Matls Inc	WFR	16.000	16.14	258.23	15.98	255.74	(2.49)
04/24/09	03/30/09	MEMC Electr Matls Inc	WFR	28.000	16.62	465.25	15.98	447.56	(17.69)
04/24/09	04/07/09	MEMC Electr Matls Inc	WFR	18.000	17.39	313.01	15.98	287.72	(25.29)
04/24/09	12/04/08	Range RES Corp	RRC	6.000	34.93	209.58	42.22	253.33	43.75
04/24/09	12/23/08	Range RES Corp	RRC	12.000	31.26	375.07	42.22	506.66	131.59
04/24/09	01/07/09	Range RES Corp	RRC	1.000	36.50	36.50	42.22	42.22	5.72
04/24/09	02/04/09	Sepracor Inc	SEPR-Z	26.000	16.11	418.93	13.34	346.72	(72.21)
04/27/09	06/12/08	UGI Corp New	UGI	12.000	26.89	322.69	22.96	275.54	(47.15)
04/28/09	06/16/08	Alberto Culver Co New	ACV	16.000	27.22	435.53	21.94	351.07	(84.46)
04/28/09	08/12/08	Alberto Culver Co New	ACV	11.000	27.39	301.29	21.94	241.36	(59.93)
04/28/09	12/16/08	Berkley W R Corporation	WRB	24.000	29.47	707.30	23.53	564.72	(142.58)
04/28/09	09/08/08	Teleflex Inc	TFX	9.000	65.43	588.89	42.51	382.63	(206.26)
04/28/09	09/10/08	Teleflex Inc	TFX	3.000	66.27	198.81	42.51	127.54	(71.27)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
04/29/09	01/07/09	Range RES Corp	RRC	10.000	36.50	365.01	42.44	424.43	59.42
04/29/09	01/14/09	Range RES Corp	RRC	4.000	34.50	138.00	42.44	169.77	31.77
04/29/09	01/21/09	Range RES Corp	RRC	8.000	33.19	265.54	42.44	339.54	74.00
04/29/09	09/10/08	Teleflex Inc	TFX	3.000	66.27	198.81	43.26	129.79	(69.02)
04/29/09	09/23/08	Teleflex Inc	TFX	5.000	66.48	332.38	43.26	216.30	(116.08)
04/29/09	11/05/08	Teleflex Inc	TFX	3.000	53.18	159.53	43.26	129.78	(29.75)
04/29/09	01/23/09	Teleflex Inc	TFX	6.000	50.58	303.50	43.26	259.57	(43.93)
04/29/09	01/30/09	Teleflex Inc	TFX	4.000	52.97	211.87	43.26	173.04	(38.83)
04/30/09	05/01/08	Itron Inc	ITRI	4.000	97.46	389.85	46.79	187.15	(202.70)
04/30/09	05/07/08	Itron Inc	ITRI	7.000	93.94	657.58	46.79	327.51	(330.07)
05/01/09	04/01/09	Silgan Holdings Inc	SLGN	8.000	51.59	412.70	46.71	373.71	(38.99)
05/01/09	03/12/09	Wendys/Arbys Group Inc	WEN	53.000	4.80	254.40	4.60	243.80	(10.60)
05/04/09	02/04/09	Sepracor Inc	SEPR-Z	12.000	16.11	193.35	13.62	163.40	(29.95)
05/04/09	02/12/09	Sepracor Inc	SEPR-Z	26.000	16.55	430.27	13.62	354.04	(76.23)
05/04/09	03/03/09	Sepracor Inc	SEPR-Z	19.000	14.17	269.19	13.62	258.72	(10.47)
05/04/09	03/09/09	Sepracor Inc	SEPR-Z	12.000	13.95	167.37	13.62	163.40	(3.97)
05/04/09	04/08/09	Sepracor Inc	SEPR-Z	18.000	14.79	266.16	13.62	245.10	(21.06)
05/04/09	11/06/08	Smucker J M Co New	SJM	9.000	40.40	363.60	41.07	369.62	6.02
05/05/09	04/30/09	Hologic Inc	HOLX	26.000	15.16	394.03	12.13	315.38	(78.65)
05/07/09	04/17/09	Exco Resources Inc	XCO	73.000	12.38	903.72	13.09	955.45	51.73
05/07/09	04/30/09	Hologic Inc	HOLX	33.000	15.16	500.12	12.11	399.75	(100.37)
05/07/09	05/04/09	Hologic Inc	HOLX	17.000	15.63	265.70	12.11	205.93	(59.77)
05/07/09	04/01/09	Silgan Holdings Inc	SLGN	8.000	51.59	412.70	45.71	365.71	(46.99)
05/07/09	04/07/09	Silgan Holdings Inc	SLGN	6.000	51.06	306.34	45.72	274.29	(32.05)
05/07/09	04/13/09	Silgan Holdings Inc	SLGN	5.000	50.74	253.72	45.71	228.57	(25.15)
05/07/09	03/12/09	Wendys/Arbys Group Inc	WEN	143.000	4.80	686.40	4.45	636.32	(50.08)
05/07/09	03/23/09	Wendys/Arbys Group Inc	WEN	25.000	5.35	133.68	4.45	111.25	(22.43)
05/07/09	03/27/09	Wendys/Arbys Group Inc	WEN	99.000	5.24	518.59	4.45	440.54	(78.05)
05/07/09	04/24/09	Wendys/Arbys Group Inc	WEN	37.000	5.46	201.90	4.45	164.65	(37.25)
05/08/09	03/20/09	HCC Ins Hldgs Inc	HCC	35.000	24.41	854.34	24.06	841.93	(12.41)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
05/08/09	03/24/09	HCC Ins Hldgs Inc	HCC	11.000	24.70	271.67	24.05	264.60	(7.07)
05/08/09	04/13/09	HCC Ins Hldgs Inc	HCC	9.000	27.15	244.33	24.05	216.49	(27.84)
05/08/09	09/26/08	New York Cmnty Bancorp Inc	NYB	23.000	16.97	390.20	11.14	256.15	(134.05)
05/08/09	10/07/08	New York Cmnty Bancorp Inc	NYB	15.000	15.23	228.49	11.14	167.06	(61.43)
05/08/09	10/21/08	New York Cmnty Bancorp Inc	NYB	8.000	13.59	108.69	11.14	89.10	(19.59)
05/08/09	11/19/08	New York Cmnty Bancorp Inc	NYB	16.000	13.38	214.05	11.14	178.20	(35.85)
05/08/09	02/06/09	New York Cmnty Bancorp Inc	NYB	18.000	12.54	225.76	11.14	200.47	(25.29)
05/11/09	03/11/09	Aeropostale	ARO	20.000	24.12	482.46	34.48	689.51	207.05
05/13/09	06/25/08	FTI Consulting Inc	FCN	3.000	67.13	201.40	48.64	145.91	(55.49)
05/14/09	03/11/09	Aeropostale	ARO	2.000	24.13	48.25	33.67	67.34	19.09
05/14/09	03/13/09	Aeropostale	ARO	5.000	24.71	123.57	33.67	168 36	44.79
05/14/09	05/14/08	Ametek Inc New	AME	6.000	51.15	306.87	30.12	180.70	(126.17)
05/14/09	03/27/09	Priceline Com Inc New	PCLN	6.000	82.09	492.56	99 77	598.59	106.03
05/14/09	04/17/09	Sandisk Corp	SNDK	24.000	14.97	359.24	12.95	310.82	(48.42)
05/15/09	10/08/08	DPL Inc	DPL	15.000	23.13	346.88	21.41	321.14	(25.74)
05/15/09	02/12/09	Iac/Interactivecorp PAR $.001	IACI	16.000	14.87	237.85	14.96	239.38	1.53
05/15/09	04/09/09	Mylan Labs Inc	MYL	13.000	14.16	184.08	12.92	168 00	(16.08)
05/15/09	11/03/08	Plains All Amern Pipeline LP Unit L P Int	PAA	6.000	41.13	√ 235.76	41 82	250.89	√ 15.13
05/18/09	03/13/09	Aeropostale	ARO	6.000	24.72	148.29	31.96	191.77	43.48
05/18/09	03/19/09	Aeropostale	ARO	1.000	25.58	25.58	31.96	31.96	6.38
05/19/09	06/10/08	NSTAR	NST	2.000	34.30	68.59	29.02	58.04	(10.55)
05/20/09	07/18/08	Cephalon Inc	CEPH	5.000	73.69	368.46	62.74	313.70	(54.76)
05/20/09	07/22/08	Cephalon Inc	CEPH	3.000	74.43	223.29	62.74	188.22	(35.07)
05/20/09	03/05/09	Cms Energy Corp	CMS	75.000	10.51	788.18	11.35	851.36	63.18
05/20/09	03/09/09	Cms Energy Corp	CMS	14.000	10.91	152.72	11.35	158.92	6.20
05/20/09	02/12/09	Iac/Interactivecorp PAR $ 001	IACI	15.000	14.87	222.99	15.59	233.87	10.88
05/21/09	02/13/09	Netapp Inc	NTAP	21.000	16.22	340.62	17.96	377.22	36.60

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
05/21/09	06/10/08	NSTAR	NST	8.000	34.30	274.36	29.19	233.52	(40.84)
05/21/09	06/12/08	NSTAR	NST	13.000	34.65	450.43	29.19	379.48	(70.95)
05/22/09	12/16/08	Berkley W R Corporation	WRB	6.000	29.47	176.83	22.30	133.78	(43.05)
05/22/09	12/30/08	Berkley W R Corporation	WRB	18.000	30.37	546.67	22.30	401.34	(145.33)
05/22/09	01/20/09	Berkley W R Corporation	WRB	6.000	28.35	170.11	22.30	133.78	(36.33)
05/26/09	07/22/08	Cephalon Inc	CEPH	3.000	74.43	223.30	58.27	174.81	(48.49)
05/26/09	08/04/08	Cephalon Inc	CEPH	1.000	73.52	73.52	58.27	58.27	(15.25)
05/27/09	08/04/08	Cephalon Inc	CEPH	6.000	73.52	441.11	55.89	335.36	(105.75)
05/27/09	08/06/08	Cephalon Inc	CEPH	5.000	73.85	369.24	55.89	279.47	(89.77)
05/27/09	03/13/09	Cephalon Inc	CEPH	4.000	65.21	260.82	55.90	223.58	(37.24)
05/27/09	03/09/09	Cms Energy Corp	CMS	4.000	10.91	43.63	11.13	44.51	0.88
05/27/09	03/18/09	Cms Energy Corp	CMS	23.000	11.99	275.66	11.13	255.99	(19.67)
05/27/09	04/02/09	Cms Energy Corp	CMS	26.000	12.18	316.60	11.13	289.38	(27.22)
05/28/09	10/08/08	DPL Inc	DPL	17.000	23.13	393.13	21.42	364.14	(28.99)
05/28/09	09/23/08	Keycorp New	KEY	30 000	13.54	406.20	4.79	143.70	(262.50)
05/28/09	10/06/08	Keycorp New	KEY	34.000	10.79	366.86	4.79	162.85	(204.01)
05/28/09	12/05/08	Keycorp New	KEY	16.000	8.13	130.08	4.79	76.64	(53.44)
05/28/09	05/12/09	Keycorp New	KEY	54.000	5.93	320.22	4.79	258.65	(61.57)
05/29/09	12/29/08	Brinks Co	BCO	12.000	25.01	300 08	26.32	315.80	15.72
05/29/09	10/24/08	Family DLR Stores Inc	FDO	8.000	25.23	201.87	29.75	238 01	36.14
05/29/09	04/29/09	Giant Interactive Group Inc ADR	GA	69.000	8.67	598.40	7.78	536.80	(61.60)
05/29/09	12/16/08	Nustar Energy LP Unit Com	NS	6.000	42.51	√ 238.08	54.49	326.92	√ 88.84
06/01/09	01/27/09	Magna Intl Inc Cl A	MGA	8 000	29.51	236.05	33.56	268.49	32.44
06/01/09	11/10/08	Martin Marietta Mtls Inc	MLM	7.000	84.98	594.88	84.14	588.96	(5.92)
06/01/09	11/20/08	Martin Marietta Mtls Inc	MLM	3.000	65.73	197.19	84.14	252.41	55.22
06/01/09	01/28/09	Martin Marietta Mtls Inc	MLM	2.000	85.33	170.66	84.14	168.28	(2.38)
06/02/09	11/03/08	Plains All Amern Pipeline LP Unit L P Int	PAA	4.000	41.13	√ 157.51	44.40	177.61	√ 20.10
06/03/09	01/16/09	Hewitt Assocs Inc	HEW	15.000	28.73	430.88	29.24	438.53	7.65

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
06/03/09	02/13/09	Netapp Inc	NTAP	12.000	16.22	194.64	18.50	222.03	27.39
06/05/09	04/07/09	Calpine Corp New	CPN	26.000	8.05	209.35	12.75	331.54	122.19
06/05/09	10/24/08	Family DLR Stores Inc	FDO	10.000	25.23	252.33	30.98	309.80	57.47
06/05/09	04/27/09	Hansen NAT Corp	HANS	11.000	40.25	442.75	32.83	361.08	(81.67)
06/05/09	01/16/09	Hewitt Assocs Inc	HEW	10.000	28.73	287.26	29.28	292.79	5.53
06/05/09	01/22/09	Hewitt Assocs Inc	HEW	1.000	27.26	27.26	29.28	29.28	2.02
06/08/09	12/16/08	Nustar Energy LP Unit Com	NS	5.000	42.51	√ 192.56	54.36	271.82	√ 79.26
06/09/09	10/08/08	DPL Inc	DPL	28.000	23.13	647.51	21.72	608.18	(39.33)
06/09/09	10/13/08	DPL Inc	DPL	14.000	22.88	320.28	21.72	304.09	(16.19)
06/09/09	01/22/09	Hewitt Assocs Inc	HEW	12.000	27 26	327.17	29.11	349.32	22.15
06/09/09	02/05/09	Hewitt Assocs Inc	HEW	7.000	29 75	208 26	29.11	203.77	(4.49)
06/09/09	02/09/09	Hewitt Assocs Inc	HEW	4.000	33.16	132.64	29.11	116.44	(16.20)
06/09/09	02/26/09	Hewitt Assocs Inc	HEW	9.000	28.44	255.98	29.11	261 99	6.01
06/09/09	07/22/08	Omnicare Inc	OCR	13.000	26.42	343.47	24.15	313.94	(29.53)
06/10/09	02/17/09	AECOM Technology Corp	ACM	18.000	23.25	418.46	29.96	539.29	120.83
06/10/09	02/05/09	BMC Software Inc	BMC	11.000	27.89	306.82	34.97	384.68	77.86
06/10/09	03/20/09	BMC Software Inc	BMC	3.000	31.19	93.56	34.97	104.91	11.35
06/10/09	05/01/09	Cameco Corp	CCJ	9.000	24.49	220.38	27.74	249.65	29.27
06/11/09	10/13/08	DPL Inc	DPL	1.000	22.88	22.88	22.18	22.18	(0.70)
06/11/09	10/16/08	DPL Inc	DPL	12.000	21.49	257.86	22.18	266.14	8.28
06/11/09	03/02/09	DPL Inc	DPL	15.000	20.20	302.95	22.18	332.68	29.73
06/11/09	12/16/08	Nustar Energy LP Unit Com	NS	5.000	42 51	√192.56	54.84	274.19	√ 81.63
06/11/09	07/22/08	Omnicare Inc	OCR	11.000	26.42	290.63	24.78	272.62	(18.01)
06/11/09	11/03/08	Plains All Amern Pipeline LP Unit L P Int	PAA	5.000	41.13	√196.63	43.29	216 44	√ 19.81
06/11/09	03/05/09	Teradata Corp Del	TDC	22.000	16.26	357.71	24.17	531.68	173.97
06/12/09	05/01/09	Cameco Corp	CCJ	11.000	24.49	269.36	27.31	300.44	31 08
06/12/09	10/24/08	Family DLR Stores Inc	FDO	3.000	25.23	75.70	29.02	87.06	11.36
06/12/09	11/04/08	Family DLR Stores Inc	FDO	5.000	26.56	132.82	29.02	145.11	12.29
06/12/09	01/23/09	Peoples United Financial	PBCT	11.000	17.00	187.05	15.56	171.17	(15.88)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
06/15/09	02/17/09	AECOM Technology Corp	ACM	8.000	23.25	185.98	29.58	236.66	50.68
06/15/09	02/23/09	AECOM Technology Corp	ACM	3.000	21.99	65.97	29.58	88.75	22.78
06/15/09	05/01/09	Cameco Corp	CCJ	20.000	24.49	489.74	25.56	511.22	21.48
06/15/09	11/04/08	Family DLR Stores Inc	FDO	7.000	26.57	185.96	28.46	199.19	13.23
06/15/09	11/07/08	Family DLR Stores Inc	FDO	4.000	26.10	104.39	28.46	113.83	9.44
06/15/09	04/27/09	Hansen NAT Corp	HANS	11.000	40.25	442.75	30.50	335.45	(107.30)
06/15/09	05/04/09	Hansen NAT Corp	HANS	6.000	41.29	247.71	30.50	182.97	(64.74)
06/15/09	03/05/09	Teradata Corp Del	TDC	12.000	16.26	195.12	23.48	281.78	86.66
06/15/09	03/12/09	Teradata Corp Del	TDC	1.000	15.80	15.80	23.48	23.48	7.68
06/15/09	04/14/09	Universal Health Svcs Inc	UHS	9.000	41.71	375.38	51.50	463.50	88.12
06/16/09	02/23/09	AECOM Technology Corp	ACM	4.000	21.99	87.96	29.82	119.26	31.30
06/16/09	02/27/09	AECOM Technology Corp	ACM	6.000	24.46	146.73	29.82	178.90	32.17
06/16/09	05/01/09	Cameco Corp	CCJ	2.000	24.49	48.97	25.26	50.51	1.54
06/16/09	05/05/09	Cameco Corp	CCJ	14.000	24.46	342.49	25.25	353.55	11.06
06/16/09	05/13/09	Cameco Corp	CCJ	8.000	25.72	205.78	25.25	202.03	(3.75)
06/16/09	06/03/09	CBS Corp New Cl B	CBS	40.000	8.72	348.70	7.78	311.35	(37.35)
06/16/09	07/22/08	Omnicare Inc	OCR	18.000	26.42	475.58	23.78	428.07	(47.51)
06/16/09	07/31/08	Omnicare Inc	OCR	9.000	29.26	263.33	23.78	214.04	(49.29)
06/17/09	08/15/08	FMC Technologies Inc	FTI	4.000	51.52	206.06	37.33	149.31	(56.75)
06/17/09	08/25/08	FMC Technologies Inc	FTI	5.000	51.59	257.96	37.33	186.63	(71.33)
06/17/09	05/04/09	Hansen NAT Corp	HANS	1.000	41.28	41.28	30.62	30.62	(10.66)
06/17/09	05/19/09	Hansen NAT Corp	HANS	12.000	41.37	496.40	30.62	367.42	(128.98)
06/17/09	05/22/09	Hansen NAT Corp	HANS	8.000	39.41	315.29	30.62	244.95	(70.34)
06/17/09	04/17/09	Millicom Intl Cellular S A	MICC	4.000	43.21	172.84	54.81	219.22	46.38
06/17/09	03/12/09	Teradata Corp Del	TDC	15.000	15.80	237.03	22.88	343.21	106.18
06/17/09	05/07/09	Teradata Corp Del	TDC	3.000	21.58	64.73	22.88	68.64	3.91
06/18/09	02/27/09	AECOM Technology Corp	ACM	5.000	24.46	122.28	29.35	146.76	24.48
06/18/09	03/06/09	AECOM Technology Corp	ACM	6.000	20.75	124.50	29.35	176.11	51.61
06/18/09	04/24/09	ENSCO Intl Inc	ESV--Z	12.000	32.56	390.74	37.94	455.24	64.50
06/18/09	04/27/09	Las Vegas Sands Corp	LVS	51.000	7.11	362.57	7.77	396.06	33.49

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
06/18/09	07/31/08	Omnicare Inc	OCR	13.000	29.26	380.36	25.07	325.91	(54.45)
06/18/09	02/27/09	Petrohawk Energy Corp	HK	24.000	17.31	415.43	23.42	562.15	146.72
06/19/09	05/27/09	Qwest Communications Intl Inc	Q	211.000	4.40	928.39	4.06	856.63	(71.76)
06/19/09	05/07/09	Teradata Corp Del	TDC	15.000	21.58	323.66	23.07	346.01	22.35
06/19/09	05/15/09	Teradata Corp Del	TDC	6.000	20.70	124.20	23.07	138.40	14.20
06/22/09	03/06/09	AECOM Technology Corp	ACM	4.000	20 75	83.00	29.35	117.40	34.40
06/22/09	03/25/09	AECOM Technology Corp	ACM	1.000	26 07	26.07	29.35	29.35	3.28
06/22/09	04/03/09	AECOM Technology Corp	ACM	9.000	27 80	250 20	29.35	264.14	13.94
06/22/09	04/28/09	AECOM Technology Corp	ACM	8.000	25.63	205.00	29.35	234.79	29.79
06/22/09	05/12/09	AECOM Technology Corp	ACM	8.000	29.98	239.83	29.35	234.79	(5.04)
06/23/09	03/17/09	Akamai Technologies Inc	AKAM	17.000	18.20	309.40	18.82	319.97	10.57
06/23/09	05/08/09	Concho Resources Inc	CXO	12.000	29.84	358.09	26.26	315.08	(43.01)
06/23/09	04/17/09	Sandisk Corp	SNDK	36 000	14.97	538.87	13.38	481.77	(57 10)
06/23/09	04/27/09	Sandisk Corp	SNDK	34.000	14.85	504.86	13 38	455.01	(49.85)
06/24/09	07/31/08	Omnicare Inc	OCR	15.000	29.26	438.87	24.23	363.45	(75.42)
06/24/09	09/09/08	Omnicare Inc	OCR	9.000	31.96	287.64	24.23	218.07	(69.57)
06/29/09	05/11/09	Bancorpsouth Inc	BXS	38 000	24.93	947.30	20.11	764.02	(183.28)
06/29/09	11/07/08	Family DLR Stores Inc	FDO	7.000	26.10	182.69	28.77	201.37	18.68
06/29/09	11/11/08	Family DLR Stores Inc	FDO	9.000	27.12	244.08	28.77	258.91	14.83
06/29/09	11/17/08	Family DLR Stores Inc	FDO	10.000	27.07	270.69	28.77	287.68	16.99
06/29/09	12/19/08	Family DLR Stores Inc	FDO	6.000	25.32	151.92	28.77	172.61	20.69
06/29/09	04/03/09	Novellus Systems Inc	NVLS	24.000	17.76	426.15	16.75	402.08	(24 07)
06/30/09	03/17/09	Akamai Technologies Inc	AKAM	13.000	18 20	236 59	19.49	253.31	16.72
07/02/09	03/18/09	First Horizon National Corp	FHN	0.143	10.28	1.47	11.68	1.67	0.20
07/02/09	03/23/09	First Horizon National Corp	FHN	0.081	10.49	0.85	11.73	0.95	0.10
07/02/09	03/30/09	First Horizon National Corp	FHN	0.073	10.14	0.74	11.64	0 85	0.11
07/02/09	04/06/09	First Horizon National Corp	FHN	0.067	10.90	0.73	11.79	0.79	0.06
07/02/09	04/30/09	First Horizon National Corp	FHN	0.048	11.88	0.57	11.88	0 57	0.00
07/06/09	02/20/09	Abercrombie & Fitch Co Cl A	ANF	12 000	23.08	276.98	23.95	287.44	10 46

Realized Gain/Loss Report

First Clearing
Account Summary
1/1/09 - 11/3/09

Nathan Klein Fund
Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/06/09	05/05/09	Alcatel Alstrom	ALU	186.000	2.71	504.06	2.23	414 78	(89.28)
07/06/09	06/03/09	CBS Corp New Cl B	CBS	69.000	8.72	601.51	6.06	418.13	(183 38)
07/06/09	06/09/09	CBS Corp New Cl B	CBS	47.000	8.42	395.60	6.06	284.81	(110.79)
07/06/09	03/23/09	Flowserve Corp	FLS	5.000	58.28	291.40	64.75	323.77	32.37
07/06/09	03/10/09	Leap Wireless Intl Inc New	LEAP	9.000	33.43	300.85	27.56	248.06	(52.79)
07/06/09	06/10/09	Whole Foods Mkt Inc	WFMI	30.000	20.68	620.35	17.49	524.80	(95.55)
		TOTAL SHORT-TERM						**$108,898.31**	($24,716.66)
		LONG-TERM							
01/06/09	09/26/06	Sigma Aldrich Corp	SIAL	7.000	$37.20	$260.42	$41 84	$292.89	$32.47
01/06/09	01/03/08	Sybase Inc	SY	26.000	25.59	665.31	25.56	664.55	(0.76)
01/07/09	07/27/07	Global Pmts Inc	GPN	16.000	36.96	591.37	34.05	544.75	(46.62)
01/09/09	09/26/06	Sigma Aldrich Corp	SIAL	3.000	37.20	111.61	38.57	115.71	4.10
01/09/09	06/05/07	Sigma Aldrich Corp	SIAL	7.000	42.94	300.58	38.57	269.98	(30.60)
01/12/09	11/13/07	Church & Dwight Inc	CHD	5.000	52.14	260 70	52.90	264.52	3.82
01/12/09	06/05/07	Sigma Aldrich Corp	SIAL	3.000	42.94	128.82	37.66	112.97	(15.85)
01/12/09	08/01/07	Sigma Aldrich Corp	SIAL	6.000	45.56	273.36	37.66	225.94	(47.42)
01/16/09	08/25/06	Lincoln Elec Hldgs Inc	LECO	3.000	55.17	165.51	45.12	135.35	(30.16)
01/16/09	11/03/06	Lincoln Elec Hldgs Inc	LECO	1.000	59.89	59.89	45.12	45.12	(14.77)
01/29/09	11/16/07	Armstrong World Inds Inc New	AWI	2 000	43.38	86.76	17.06	34.12	(52.64)
01/29/09	12/05/07	Armstrong World Inds Inc New	AWI	14 000	42.82	599.48	17.06	238.81	(360.67)
02/04/09	11/17/06	Brinks Co	BCO	9.000	30.32	272.84	31.02	279.15	6.31
02/04/09	12/18/06	Brinks Co	BCO	1.000	35.17	35.17	31.02	31.02	(4.15)
02/04/09	11/03/06	Lincoln Elec Hldgs Inc	LECO	6.000	59.89	359.31	43.20	259.22	(100.09)
02/10/09	01/29/08	Alliance Data Sys Corp	ADS	8.000	40.42	323.36	40.78	326.27	2.91
02/10/09	08/25/06	Covanta Holding Corporation	CVA	15.000	20.83	312.42	19.48	292.17	(20.25)
02/13/09	01/29/08	Alliance Data Sys Corp	ADS	3.000	40 42	121.26	36.30	108.89	(12.37)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
02/17/09	11/13/07	Church & Dwight Inc	CHD	8.000	52.14	417.11	50.47	403.73	(13.38)
02/17/09	11/19/07	Church & Dwight Inc	CHD	4.000	51.23	204.91	50.47	201.86	(3.05)
02/18/09	01/29/08	Alliance Data Sys Corp	ADS	6.000	40.42	242.52	31.10	186.57	(55.95)
02/18/09	02/11/08	Alliance Data Sys Corp	ADS	12.000	54.94	659.24	31.09	373.13	(286.11)
02/18/09	02/12/08	Alliance Data Sys Corp	ADS	3.000	55.54	166.63	31.09	93.28	(73.35)
02/19/09	09/13/06	Arch Capital Group Group	ACGL	5.000	61.93	309.64	58.31	291.56	(18.08)
02/19/09	09/18/06	Arch Capital Group Group	ACGL	1.000	61.88	61.88	58.31	58.31	(3.57)
02/20/09	02/12/08	Alliance Data Sys Corp	ADS	2.000	55.55	111.09	29.48	58.95	(52.14)
02/23/09	02/12/08	Alberto Culver Co New	ACV	15.000	27.65	414.68	22.51	337.70	(76.98)
02/23/09	08/25/06	Hanover Ins Group Inc	THG	6.000	42.24	253.44	34.79	208.71	(44.73)
02/23/09	11/06/06	Hanover Ins Group Inc	THG	1.000	45.90	45.90	34.79	34.79	(11.11)
02/24/09	01/24/08	Douglas Emmett Inc	DEI	18.000	22.70	408.52	7.71	138.80	(269.72)
02/24/09	11/03/06	Lincoln Elec Hldgs Inc	LECO	2.000	59.89	119.77	32.17	64.34	(55.43)
02/24/09	04/27/07	Lincoln Elec Hldgs Inc	LECO	5.000	63.60	317.99	32.17	160.84	(157.15)
02/25/09	11/16/07	FTI Consulting Inc	FCN	8.000	58.50	468.00	37.62	300.95	(167.05)
02/27/09	08/25/06	Covanta Holding Corporation	CVA	24.000	20.83	499.87	15.35	368.44	(131.43)
03/02/09	08/22/07	McAfee Inc	MFE	5.000	35.13	175.65	27.06	135.30	(40.35)
03/06/09	08/08/07	Republic Svcs Inc	RSG	15.000	31.15	467.25	16.31	244.70	(222.55)
03/09/09	11/06/06	Hanover Ins Group Inc	THG	8.000	45.90	367.18	29.32	234.55	(132.63)
03/09/09	11/13/06	Hanover Ins Group Inc	THG	4.000	48.22	192.88	29.32	117.28	(75.60)
03/10/09	08/25/06	Covanta Holding Corporation	CVA	10.000	20.83	208.28	13.09	130.89	(77.39)
03/10/09	01/23/07	Covanta Holding Corporation	CVA	9.000	23.60	212.39	13.09	117.81	(94.58)
03/10/09	08/08/07	Republic Svcs Inc	RSG	1.000	31.15	31.15	15.91	15.91	(15.24)
03/10/09	08/20/07	Republic Svcs Inc	RSG	10.000	29.77	297.69	15.91	159.09	(138.60)
03/11/09	08/20/07	Republic Svcs Inc	RSG	8.000	29.77	238.15	15.79	126.33	(111.82)
03/11/09	09/04/07	Republic Svcs Inc	RSG	18.000	31.31	563.58	15.79	284.25	(279.33)
03/11/09	09/18/07	Republic Svcs Inc	RSG	4.000	31.44	125.76	15.79	63.17	(62.59)
03/16/09	01/23/07	Covanta Holding Corporation	CVA	10.000	23.60	235.99	13.62	136.23	(99.76)
03/16/09	04/16/07	Covanta Holding Corporation	CVA	20.000	24.13	482.53	13.62	272.45	(210.08)
03/16/09	09/26/07	Covanta Holding Corporation	CVA	17.000	24.09	409.53	13.62	231.58	(177.95)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/17/09	01/03/08	Sybase Inc	SY	7.000	25.59	179.12	27.83	194.78	15.66
03/19/09	11/13/06	Hanover Ins Group Inc	THG	6.000	48.22	289.32	31.45	188.67	(100.65)
03/19/09	12/11/06	Hanover Ins Group Inc	THG	9.000	49.00	441.03	31.44	283.00	(158.03)
03/19/09	09/18/07	Republic Svcs Inc	RSG	12 000	31.44	377.28	17.58	211.01	(166.27)
03/19/09	09/27/07	Republic Svcs Inc	RSG	16 000	32.37	517.92	17.58	281.34	(236.58)
03/24/09	12/11/06	Hanover Ins Group Inc	THG	2.000	49.01	98.01	30.24	60.47	(37.54)
03/24/09	05/07/07	Hanover Ins Group Inc	THG	10.000	49.93	499.30	30.24	302.38	(196.92)
03/26/09	02/19/08	Alberto Culver Co New	ACV	12.000	27.36	328.31	21.58	258.98	(69.33)
03/27/09	02/07/08	Itron Inc	ITRI	2.000	74.25	148.50	48.71	97.42	(51.08)
03/27/09	02/22/08	Itron Inc	ITRI	6.000	86.61	519.65	48.71	292.25	(227.40)
03/27/09	03/11/08	Itron Inc	ITRI	3.000	90.12	270.35	48.71	146.13	(124.22)
03/27/09	03/17/08	Itron Inc	ITRI	4.000	87.47	349.89	48.71	194.83	(155.06)
04/02/09	02/19/08	Alberto Culver Co New	ACV	7.000	27.36	191.51	22.78	159.47	(32.04)
04/02/09	03/03/08	Alberto Culver Co New	ACV	3.000	27.25	81.75	22.78	68.35	(13.40)
04/02/09	01/03/08	Sybase Inc	SY	16.000	25.59	409.42	31.38	502.07	92.65
04/06/09	09/05/06	SBA Communications Corp	SBAC	10.000	25.42	254.20	25.19	251.90	(2.30)
04/14/09	12/10/07	NSTAR	NST	7.000	36.78	257.47	30.20	211.39	(46.08)
04/17/09	11/09/07	BMC Software Inc	BMC	8.000	33.14	265.13	32.57	260.53	(4.60)
04/17/09	01/03/08	Sybase Inc	SY	8.000	25.59	204.71	31.40	251.22	46.51
04/17/09	04/01/08	Synopsys Inc	SNPS	7.000	23.00	160.98	21.05	147.34	(13.64)
04/24/09	08/25/06	Roper Inds Inc New	ROP	9.000	45.37	408.33	44.73	402.55	(5.78)
04/27/09	07/27/07	Global Pmts Inc	GPN	4.000	36.96	147.84	30.98	123.90	(23.94)
04/27/09	09/07/07	Global Pmts Inc	GPN	4.000	39.23	156.92	30.98	123.91	(33.01)
04/28/09	03/03/08	Alberto Culver Co New	ACV	15.000	27.25	408.74	21.94	329.12	(79.62)
04/28/09	03/11/08	Alberto Culver Co New	ACV	10.000	26.95	269.46	21.94	219.42	(50.04)
04/30/09	03/17/08	Itron Inc	ITRI	1.000	87.47	87.47	46.78	46.78	(40.69)
05/01/09	12/18/06	Brinks Co	BCO	12.000	35.17	422.05	27.54	330.43	(91.62)
05/01/09	11/15/07	Brinks Co	BCO	1.000	33.41	33.41	27.54	27.54	(5.87)
05/05/09	01/03/08	Sybase Inc	SY	6.000	25.59	153.54	33.42	200.51	46.97
05/05/09	01/24/08	Sybase Inc	SY	3.000	26.19	78.56	33.42	100.26	21.70

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
05/05/09	04/01/08	Synopsys Inc	SNPS	11.000	23.00	252.97	21.63	237.88	(15.09)
05/06/09	12/10/07	NSTAR	NST	9.000	36.78	331.03	30.64	275.80	(55.23)
05/08/09	08/22/07	McAfee Inc	MFE	6.000	35.13	210 78	38.70	232.22	21.44
05/08/09	09/04/07	McAfee Inc	MFE	4.000	36.75	147.00	38.70	154.81	7.81
05/14/09	05/06/08	Ametek Inc New	AME	4.000	50.47	201.89	30.12	120.47	(81.42)
05/15/09	11/16/07	BMC Software Inc	BMC	14.000	32.59	456.26	34.46	482.44	26.18
05/15/09	09/07/07	Global Pmts Inc	GPN	8.000	39.23	313.84	31.49	251.95	(61.89)
05/15/09	09/18/07	Global Pmts Inc	GPN	2.000	40.86	81.72	31.50	62.99	(18.73)
05/19/09	12/10/07	NSTAR	NST	14.000	36.78	514.94	29.02	406.28	(108.66)
05/19/09	12/19/07	NSTAR	NST	10.000	36.27	362.70	29.02	290.20	(72.50)
05/19/09	01/08/08	NSTAR	NST	11.000	36.06	396.63	29.02	319.22	(77.41)
05/20/09	11/19/07	Church & Dwight Inc	CHD	6.000	51.23	307.36	52.30	313.82	6.46
05/21/09	11/15/07	Brinks Co	BCO	5.000	33.41	167.07	27.45	137.23	(29.84)
05/21/09	01/31/08	Brinks Co	BCO	9.000	32.95	296.56	27.45	247.02	(49.54)
05/21/09	02/26/07	Petsmart Inc	PETM	12.000	31.82	381.80	20.23	242.77	(139.03)
05/21/09	05/07/07	Petsmart Inc	PETM	2.000	33.91	67.82	20.23	40.46	(27.36)
05/21/09	04/01/08	Synopsys Inc	SNPS	20.000	23.00	459.96	19.42	388.43	(71.53)
05/22/09	09/18/06	Arch Capital Group Group	ACGL	7.000	61.88	433.14	57.09	399.65	(33.49)
05/22/09	09/28/06	Arch Capital Group Group	ACGL	1.000	63.00	63.00	57.09	57.09	(5.91)
05/26/09	09/28/06	Arch Capital Group Group	ACGL	3.000	63.00	189.00	56.77	170.32	(18.68)
05/26/09	10/06/06	Arch Capital Group Group	ACGL	4.000	65.17	260.68	56.77	227.09	(33.59)
05/26/09	11/19/07	Church & Dwight Inc	CHD	1.000	51.23	51.23	51.49	51.49	0.26
05/26/09	11/27/07	Church & Dwight Inc	CHD	5.000	54.62	273.09	51.49	257.46	(15.63)
05/29/09	04/08/08	Brinks Co	BCO	5.000	37.74	188.72	26.32	131.58	(57.14)
06/08/09	11/16/07	BMC Software Inc	BMC	3.000	32.59	97.77	34.84	104.52	6.75
06/08/09	11/26/07	BMC Software Inc	BMC	14.000	32.63	456.82	34.84	487.80	30.98
06/08/09	12/31/07	BMC Software Inc	BMC	7.000	35.81	250.66	34.84	243.90	(6.76)
06/10/09	12/31/07	BMC Software Inc	BMC	7.000	35.81	250.67	34.97	244.80	(5.87)
06/10/09	05/30/08	BMC Software Inc	BMC	5.000	40.37	201.83	34.97	174.86	(26.97)
06/12/09	01/24/08	Sybase Inc	SY	10 000	26.19	261.86	32.59	325.93	64.07

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: August 24, 2006

Period Ending December 31, 2009

68101639

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
06/30/09	01/24/08	Sybase Inc	SY	8.000	26.19	209.49	31.64	253.09	43.60
06/30/09	04/01/08	Synopsys Inc	SNPS	4.000	23.00	91.99	19.23	76.93	(15.06)
06/30/09	04/07/08	Synopsys Inc	SNPS	8.000	23.69	189.51	19.23	153.87	(35.64)
		TOTAL LONG-TERM						**$23,625.25**	**($6,442.08)**
		GRAND TOTAL						**$132,523.56**	($31,158.74)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: March 5, 2009

Period Ending December 31, 2009

37043897

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
03/10/09	11/07/08	Bayer A G ADR Sponsored	BAYRY	4.000	$55 54	$222.16	$46.92	$187.68	($34.48)
03/10/09	01/12/09	Bayer A G ADR Sponsored	BAYRY	9.000	59.64	536.73	46.92	422.28	(114.45)
03/10/09	10/01/08	BG PLC ADR Fnl Instllm	BRGYY	2.000	89.50	179 00	67.64	135.28	(43.72)
03/10/09	11/07/08	BG PLC ADR Fnl Instllm	BRGYY	5.000	77.95	389.75	67.64	338.20	(51.55)
03/10/09	11/24/08	BG PLC ADR Fnl Instllm	BRGYY	12.000	64.31	771.72	67.64	811.67	39.95
03/10/09	12/16/08	BG PLC ADR Fnl Instllm	BRGYY	8.000	73.74	589.93	67.64	541.12	(48.81)
03/10/09	07/16/08	BNP Paribas Spon ADR 1/4 Sh	BNPQY	9.000	43.65	392.85	16.53	148.77	(244.08)
03/10/09	10/24/08	BNP Paribas Spon ADR 1/4 Sh	BNPQY	5.000	35.80	179.00	16.53	82.65	(96.35)
03/10/09	11/19/08	European Aero Defense Space Unspon ADR	EADSY	18.000	14.10	253.80	13 10	235.79	(18.01)
03/10/09	01/12/09	European Aero Defense Space Unspon ADR	EADSY	14 000	17.77	248.78	13.10	183.40	(65.38)
03/10/09	03/11/08	E.On AG	EONGY	5.000	63.48	317.39	24.20	121.00	(196.39)
03/10/09	03/25/08	E.On AG	EONGY	11.000	61.80	679.80	24.20	266.20	(413.60)
03/10/09	07/16/08	E.On AG	EONGY	4.000	64.15	256.60	24.20	96.80	(159.80)
03/10/09	07/31/08	E.On AG	EONGY	5.000	63.85	319.25	24.20	121.00	(198.25)
03/10/09	12/05/08	E.On AG	EONGY	18.000	30.13	542.34	24.20	435.60	(106.74)
03/10/09	03/11/08	Gdf Suez Spons ADR	GDFZY	4.689	67.37	315.88	32.03	150.19	(165.69)
03/10/09	03/25/08	Gdf Suez Spons ADR	GDFZY	5.648	66.35	374.75	32.03	180.93	(193.82)
03/10/09	07/01/08	Gdf Suez Spons ADR	GDFZY	5.648	70.89	400.39	32.03	180.93	(219.46)
03/10/09	07/31/08	Gdf Suez Spons ADR	GDFZY	6.000	63.60	381.60	32.03	192.18	(189.42)
03/10/09	12/05/08	Gdf Suez Spons ADR	GDFZY	9.000	34.95	314.55	32.03	288.27	(26.28)
03/10/09	07/16/08	Novartis AG Spon ADR	NVS	12.000	56.73	680.74	34.41	412.96	(267.78)
03/10/09	03/11/08	Unilever PLC ADR Spon New	UL	16.000	32.08	513.27	17.61	281.76	(231.51)
03/10/09	04/08/08	Unilever PLC ADR Spon New	UL	13.000	33.82	439.65	17.61	228.93	(210.72)
03/10/09	09/26/08	Unilever PLC ADR Spon New	UL	21.000	28.14	590.94	17.61	369.81	(221.13)
03/10/09	12/16/08	Zurich Finl Svcs ADR	ZFSVY	11.000	20.83	229.10	11.65	128.15	(100.95)
03/10/09	12/31/08	Zurich Finl Svcs ADR	ZFSVY	23 000	21.85	502.55	11.65	267.94	(234.61)
03/10/09	01/09/09	Zurich Finl Svcs ADR	ZFSVY	11 000	21.50	236.50	11.65	128.15	(108.35)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: March 5, 2009

Period Ending December 31, 2009

37043897

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
03/12/09	03/10/09	Logitech Intrntional S A	LOGI	131.000	7.95	1,041.07	8.25	1,081.02	39.95
03/19/09	03/10/09	Asmld Holdings Nv NY	ASML	42.000	15.64	656.84	17.74	745.05	88.21
03/20/09	03/10/09	Luxottica Group S P A Sponsored ADR	LUX	99.000	13.29	1,315.40	13.91	1,377.51	62.11
04/02/09	03/10/09	Bank Yokohama Ltd Japan ADR	066011206	28.000	40.50	1,134.00	43.00	1,204.12	70.12
04/07/09	03/10/09	Publicis S A	PUBGY	34.000	25.05	851.70	27.41	931.88	80.18
04/16/09	03/10/09	Grupo Aeroportuario Del Sures Pon ADR Ser B	ASR	26.000	26.97	701.09	31.68	823.55	122.46
04/27/09	03/10/09	Companhia De Bebidas Das Americas	ABV	19.000	41.73	792 87	52.72	1,001.66	208.79
04/30/09	03/10/09	TNT N V Spon ADR	TNTTY	0.475	14.48	6.88	18.36	8.72	1.84
05/04/09	03/10/09	Dr Reddys Labs Ltd ADR	RDY	53.000	7.59	402.37	11.03	584.65	182.28
05/05/09	02/13/09	Taiwan Semiconductor MFG Co	TSM	70.000	8.38	586.60	11.03	772.12	185.52
05/06/09	03/10/09	Asmld Holdings Nv NY	ASML	50.000	15.64	781.95	20.96	1,048.24	266.29
05/12/09	04/15/09	Asmld Holdings Nv NY	ASML	0.576	19.41	11.18	19.17	11.04	(0.14)
05/12/09	03/10/09	Coca-Cola Hellenic Bottling	CCH	59.000	11.82	697.30	19.34	1,141.02	443.72
05/18/09	03/10/09	Nidec Corp Sponsored ADR	NJ	54.000	10.45	564.48	13.11	707.79	143.31
05/20/09	03/10/09	Dr Reddys Labs Ltd ADR	RDY	79.000	7.59	599.76	13.05	1,031.05	431.29
05/29/09	03/10/09	Nidec Corp Sponsored ADR	NJ	64.000	10.45	669.02	14.05	899.14	230.12
06/10/09	03/10/09	Coca-Cola Hellenic Bottling	CCH	58.000	11.82	685.48	20.89	1,211.42	525.94
06/16/09	03/10/09	Heineken N V ADR	HINKY	51.000	12.88	656.88	16.83	858.51	201.63
06/22/09	11/19/08	East Japan Railway Co ADR	EJPRY	28.000	13.09	366.45	10.03	280.82	(85.63)
06/22/09	02/25/09	East Japan Railway Co ADR	EJPRY	42.000	9.38	393.75	10.03	421.24	27.49
06/22/09	03/10/09	East Japan Railway Co ADR	EJPRY	17.000	8.95	152.15	10.03	170.50	18.35
06/24/09	03/10/09	Heineken N V ADR	HINKY	38.000	12.88	489.44	18.05	685.88	196.44
06/24/09	03/31/09	Seven & I Hldgs Co Ltd ADR	SVNDY	9.000	43.96	395.66	47.35	426.12	30.46
06/24/09	03/10/09	Shiseido Ltd ADR Sponsored	824841407	129.000	13.25	1,709.25	16.13	2,080.68	371.43
07/01/09	03/10/09	Diageo PLC Sponsored ADR	25243Q205	8.000	42.40	339.20	59.18	473.41	134.21
07/01/09	03/10/09	Mobile Telesystems Ojsc ADR Sponsored	MBT	18.000	27.74	499.32	37.92	682.49	183.17

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: March 5, 2009

Period Ending December 31, 2009

37043897

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/01/09	04/24/09	Ntt Docomo Inc ADR Spon	DCM	83.000	14.20	1,178.73	14.58	1,210.52	31.79
07/01/09	03/10/09	Turkcell Iletisim Hizmetlerisponsored ADR	TKC	83.000	11.77	977.11	14.14	1,173.79	196.68
07/02/09	05/13/09	Arm Hldgs PLC ADR Sponsored	ARMH	231.000	5.15	1,190.64	5.98	1,382.17	191.53
07/02/09	03/10/09	Heineken N V ADR	HINKY	96.000	12.88	1,236.48	19.20	1,842.80	606.32
07/07/09	03/10/09	Unilever Nv	UN	20.000	17.74	354.79	24.34	486.71	131.92
07/09/09	03/10/09	Ladbrokes PLC Spon ADR	LDBKY	338.000	2.50	845.00	2.81	949.41	104.41
07/10/09	03/10/09	Ultrapar Holdings Inc	UGP	39.000	24 90	971.29	30.18	1,177.05	205.76
07/10/09	03/27/09	Wolters Kluwer N V ADR Sponsored	977874205	49.000	15.77	772.55	17.30	847.67	75.12
07/17/09	03/10/09	Essilor Intl Sponsored ADR	ESLOY	29.000	17.08	495.32	25.16	729.72	234.40
07/17/09	04/16/09	Vivendi SA Sponsored ADR	VIVDY	30.000	25.64	769.29	24.54	736.06	(33 23)
07/17/09	06/18/09	Vivendi SA Sponsored ADR	VIVDY	23.000	25.39	583.94	24.54	564.32	(19.62)
07/20/09	03/10/09	United Overseas BK Ltd	911271302	47.000	11.17	524.99	21.32	1,002.27	477.28
07/21/09	10/06/08	Telefonica SA	TEF	9.000	66.35	597.15	69.83	628.47	31.32
07/21/09	10/13/08	Telefonica SA	TEF	3.000	61.68	185.04	69.83	209.49	24.45
07/21/09	01/30/09	Telefonica SA	TEF	11.000	53.47	588 21	69.83	768.13	179.92
07/21/09	03/10/09	Telefonica SA	TEF	12.000	53.68	644.16	69.83	837 96	193.80
07/22/09	03/10/09	Amdocs Ltd ORD	DOX	21.000	16.39	344.14	23.00	482.98	138.84
07/31/09	07/06/09	Anheuser Busch Inbev Sa/Nv Sponsored ADR	BUD	13.000	37.43	486.53	39.25	510.28	23.75
08/06/09	03/10/09	Suez Environnement Co S A ADR	SZEVY	44.000	6.70	294.80	9.32	409.86	115.06
08/06/09	03/10/09	Veolia Environnement	VE	25.000	20.19	504.75	32.23	805.73	300.98
08/14/09	02/13/09	Taiwan Semiconductor MFG Co	TSM	0.159	8.36	1.33	10.57	1.68	0.35
08/14/09	03/10/09	Taiwan Semiconductor MFG Co	TSM	0.364	8.24	3 00	10.55	3.84	0.84
08/14/09	07/08/09	Taiwan Semiconductor MFG Co	TSM	0.419	9.09	3.81	10.55	4.42	0 61
08/17/09	12/31/08	Teva Pharmaceutical Inds	TEVA	3.000	42.38	127.13	51.00	153.01	25.88
08/19/09	10/06/08	Nokia Corp Sponsored ADR	NOK	65.000	16.52	1,074.12	12.31	799.96	(274.16)
08/19/09	02/25/09	Nokia Corp Sponsored ADR	NOK	44.000	9.89	435.09	12.31	541.51	106.42

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: March 5, 2009

First Clearing
Account Summary
1/1/09 - 11/3/09

Period Ending December 31, 2009

37043897

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
08/19/09	03/10/09	Nokia Corp Sponsored ADR	NOK	31.000	9.62	298.10	12.31	381.52	83.42
08/19/09	01/12/09	UBS AG	UBS	60.000	14.26	855.60	15.53	931.87	76.27
08/19/09	03/10/09	UBS AG	UBS	56.000	8.16	456.85	15.53	869.75	412.90
08/19/09	04/21/09	UBS AG	UBS	58.000	11.74	681.18	15.53	900.81	219.63
08/20/09	03/10/09	Gold Fields Ltd New ADR Sponsored	GFI	82.000	10.47	858.23	11.93	978.36	120.13
08/20/09	03/20/09	Gold Fields Ltd New ADR Sponsored	GFI	38 000	12.54	476.59	11.93	453.39	(23.20)
08/21/09	03/10/09	Diageo PLC Sponsored ADR	25243Q205	8.000	42.40	339.20	64.10	512.78	173.58
08/24/09	03/10/09	East Japan Railway Co ADR	EJPRY	220.000	8.95	1,969.00	10.04	2,208.30	239.30
08/25/09	03/10/09	Check Point Software Tech	CHKP	26.000	20.88	542.78	28.48	740.55	197.77
08/26/09	03/18/09	Secom Ltd ADR	813113206	10.000	75.27	752.71	85.84	858.35	105.64
08/26/09	04/08/09	Secom Ltd ADR	813113206	11.000	76.83	845.10	85.84	944.19	99.09
09/08/09	02/13/09	Taiwan Semiconductor MFG Co	TSM	32 000	8.34	266.83	11.04	353.42	86.59
09/08/09	03/10/09	Taiwan Semiconductor MFG Co	TSM	26.000	8.23	213.90	11.04	287.15	73.25
09/09/09	03/10/09	Dr Reddys Labs Ltd ADR	RDY	66.000	7.59	501.07	16.29	1,075.10	574.03
09/09/09	03/10/09	Essilor Intl Sponsored ADR	ESLOY	36.000	17.08	614.88	26.62	958.29	343.41
09/22/09	03/10/09	Taiwan Semiconductor MFG Co	TSM	47.000	8.23	386.66	10.87	510.77	124.11
09/22/09	07/08/09	Taiwan Semiconductor MFG Co	TSM	84.000	9.09	763.45	10.87	912.86	149.41
09/22/09	03/10/09	Veolia Environnement	VE	41.000	20.19	827.79	39.50	1,619.66	791.87
09/25/09	06/17/09	Chunghwa Telecom Co Ltd Spons ADR New 0	CHT--Z	0 800	17.51	14.01	17.51	14.01	0.00
10/14/09	03/10/09	Fomento Economico Mexicano	FMX	44.000	21.12	929.24	43.25	1,903.13	973.89
10/20/09	03/10/09	CNOOC Ltd Sponsored ADR	CEO	5.000	88.44	442.20	158.40	791.99	349.79
10/22/09	03/10/09	Suez Environnement Co S A ADR	SZEVY	67.000	6.70	448.90	11.75	787.22	338.32
		TOTAL SHORT-TERM						**$64,850.55**	**$8,817.78**

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: March 5, 2009

Period Ending December 31, 2009

37043897

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		LONG-TERM							
03/10/09	11/07/06	Basf AG ADR New	055262505	2.000	$43.94	$87.87	$28.44	$56.88	($30.99)
03/10/09	12/27/06	Basf AG ADR New	055262505	12.000	48.83	585.93	28.44	341.27	(244 66)
03/10/09	08/25/06	Bayer A G ADR Sponsored	BAYRY	4.000	50.04	200.16	46.92	187.66	(12.50)
03/10/09	01/25/07	Bayer A G ADR Sponsored	BAYRY	6.000	55.99	335.94	46.92	281.52	(54.42)
03/10/09	03/21/07	Bayer A G ADR Sponsored	BAYRY	10.000	59.70	597.00	46.92	469.20	(127.80)
03/10/09	03/30/07	Bayer A G ADR Sponsored	BAYRY	9.000	63.96	575.66	46.92	422.28	(153.38)
03/10/09	04/27/07	Bayer A G ADR Sponsored	BAYRY	13.000	67.99	883 92	46.92	609.96	(273 96)
03/10/09	02/15/08	BG PLC ADR Fnl Instllm	BRGYY	6.000	111.49	668 92	67.64	405.83	(263 09)
03/10/09	08/31/06	BNP Paribas Spon ADR 1/4 Sh	BNPQY	1.000	53.30	53.30	16.52	16.52	(36.78)
03/10/09	03/23/07	BNP Paribas Spon ADR 1/4 Sh	BNPQY	20.000	52.95	1,059.00	16.53	330.60	(728.40)
03/10/09	05/16/07	BNP Paribas Spon ADR 1/4 Sh	BNPQY	12.000	63.10	757.20	16.53	198.36	(558.84)
03/10/09	06/14/07	BNP Paribas Spon ADR 1/4 Sh	BNPQY	6.000	60.25	361.50	16.53	99.18	(262.32)
03/10/09	04/24/06	E On AG	EONGY	4.000	40.65	162.60	24.20	96.79	(65.81)
03/10/09	02/04/08	E On AG	EONGY	33.000	63.85	2,107.09	24.20	798.59	(1,308.50)
03/10/09	02/25/08	E On AG	EONGY	13.000	63.55	826.15	24.20	314.60	(511.55)
03/10/09	11/21/07	Gdf Suez Spons ADR	GDFZY	1.729	69.95	120.94	32.04	55.39	(65.55)
03/10/09	01/03/08	Gdf Suez Spons ADR	GDFZY	14 283	73.55	1,050.47	32.03	457.51	(592.96)
03/10/09	04/26/07	Total S A Petroles	TOT	14 000	73.72	1,032.08	47.12	659.67	(372.41)
03/10/09	06/22/07	Total S A Petroles	TOT	9 000	78.66	707.94	47.12	424.08	(283.86)
03/10/09	01/03/08	Unilever PLC ADR Spon New	UL	23.000	37.79	869.17	17.61	405.02	(464.15)
03/13/09	05/15/06	Toyota MTR Corp ADR	TM	2.000	112 42	224.84	60.34	120.68	(104.16)
03/13/09	09/26/06	Toyota MTR Corp ADR	TM	5.000	107.80	538.99	60.34	301.69	(237.30)
03/18/09	09/26/06	Toyota MTR Corp ADR	TM	3.000	107.80	323 40	63.66	190.98	(132.42)
03/18/09	11/10/06	Toyota MTR Corp ADR	TM	3.000	121.89	365.67	63.66	190.98	(174.69)
07/07/09	08/24/06	Nestle S A REG ADR	NSRGY	0.500	33.88	16.94	38.16	19.08	2.14
07/07/09	09/28/06	Nestle S A REG ADR	NSRGY	15.500	35.14	544.67	38.16	591.46	46.79
07/16/09	01/25/07	Telefonica SA	TEF	7.000	64.87	454.09	69.32	485.24	31.15
07/16/09	09/14/07	Telefonica SA	TEF	10.000	78.33	783.29	69.32	693.21	(90.08)
07/21/09	09/14/07	Telefonica SA	TEF	3.000	78.33	234.99	69.83	209.48	(25.51)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: March 5, 2009

Period Ending December 31, 2009

37043897

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/21/09	10/23/07	Telefonica SA	TEF	8.000	95.90	767.19	69.83	558.64	(208.55)
07/21/09	03/11/08	Telefonica SA	TEF	7.000	84.97	594.80	69.83	488.81	(105.99)
08/03/09	05/11/07	Teva Pharmaceutical Inds	TEVA	12.000	38.96	467.51	53.55	642.54	175.03
08/03/09	06/29/07	Teva Pharmaceutical Inds	TEVA	10.000	41.06	410.60	53.54	535.44	124.84
08/03/09	07/31/07	Teva Pharmaceutical Inds	TEVA	8.000	42.26	338.06	53.54	428.35	90.29
08/03/09	10/12/07	Teva Pharmaceutical Inds	TEVA	1.000	45.07	45.07	53.54	53.54	8.47
08/17/09	10/12/07	Teva Pharmaceutical Inds	TEVA	24.000	45.07	1,081.71	51.00	1,224.05	142.34
08/17/09	05/07/08	Teva Pharmaceutical Inds	TEVA	9.000	45.32	407.88	51.00	459.02	51.14
08/20/09	09/28/06	Nestle S A REG ADR	NSRGY	10.000	35.14	351.40	40.33	403.28	51.88
08/20/09	06/22/07	Total S A Petroles	TOT	13.000	78.66	1,022.58	55.13	716.65	(305.93)
08/20/09	07/13/07	Total S A Petroles	TOT	9.000	87.16	784.44	55.13	496.15	(288.29)
08/20/09	08/17/07	Total S A Petroles	TOT	3.000	71.51	214.53	55.13	165.38	(49.15)
08/20/09	05/27/08	Total S A Petroles	TOT	16.000	87.45	1,399.15	55.13	882.04	(517.11)
08/20/09	06/26/08	Total S A Petroles	TOT	18.000	81.71	1,470.77	55.13	992.29	(478.48)
09/17/09	06/29/07	Vodafone Group Inc	VOD	24.000	33.72	809 30	23.28	558.71	(250.59)
09/17/09	09/07/07	Vodafone Group Inc	VOD	9.000	32.63	293.70	23.28	209.52	(84.18)
10/21/09	09/28/06	Nestle S A REG ADR	NSRGY	4.500	35.14	158.13	45.50	204.74	46.61
10/21/09	03/05/07	Nestle S A REG ADR	NSRGY	8.500	37.76	320.96	45.50	386.74	65.78
		TOTAL LONG-TERM						**$18,839.60**	**($8,627.90)**
		SECURITIES WITH NO COST							
07/10/09	07/09/09	Givaudan SA Rights	37636P911	128.000	$0.00	$0.00	$0.46	$59.16	$59.16

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: March 5, 2009

Period Ending December 31, 2009

37043897

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
10/30/09	08/21/09	Swedebank Ab-Adr Rights	SWD-RT	185.000	0.00	0.00	1.99	367 74	367.74
11/03/09	11/03/09	Societe Generale France Spon ADR Rights	SCG-RT	162.000	0.00	0.00	0.79	128.70	128.70
		TOTAL SECURITIES WITH NO COST						**$555.60**	**$555.60**
		GRAND TOTAL						**$84,245.75**	**$745.48**

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
07/14/09	02/20/09	Abercrombie & Fitch Co Cl A	ANF	3.000	$23.08	$69.25	$24.44	$73.33	$4.08
07/14/09	02/24/09	Abercrombie & Fitch Co Cl A	ANF	12.000	22 03	264.35	24.44	293.30	28.95
07/14/09	02/26/09	Abercrombie & Fitch Co Cl A	ANF	12.000	22.30	267.65	24.44	293.30	25.65
07/14/09	03/04/09	Abercrombie & Fitch Co Cl A	ANF	12.000	20.99	251.83	24.44	293.30	41.47
07/14/09	04/07/09	Abercrombie & Fitch Co Cl A	ANF	10.000	24.61	246.08	24.44	244.42	(1.66)
07/14/09	06/01/09	Abercrombie & Fitch Co Cl A	ANF	5.000	32.54	162.69	24.44	122.21	(40.48)
07/14/09	05/20/09	AES Corp	AES	98.000	9.10	892.06	11.55	1,132.26	240.20
07/14/09	05/28/09	AES Corp	AES	30.000	9.19	275.70	11.55	346.61	70.91
07/14/09	06/12/09	AES Corp	AES	22.000	10.51	231.22	11.55	254.18	22.96
07/14/09	03/19/09	Aeropostale	ARO	8.000	25.59	204.68	34.73	277.85	73.17
07/14/09	03/25/09	Aeropostale	ARO	9.000	26.45	238.05	34.73	312.58	74.53
07/14/09	04/09/09	Aeropostale	ARO	14 000	29.40	411.56	34.73	486.23	74.67
07/14/09	04/21/09	Aeropostale	ARO	7 000	30.16	211.09	34.73	243.12	32.03
07/14/09	07/06/09	Aeropostale	ARO	4.000	33.48	133.93	34.73	138.92	4.99
07/14/09	03/17/09	Akamai Technologies Inc	AKAM	6.000	18.20	109.20	19.03	114.18	4.98
07/14/09	03/19/09	Akamai Technologies Inc	AKAM	11.000	19.28	212.07	19.03	209.36	(2.71)
07/14/09	03/26/09	Akamai Technologies Inc	AKAM	11.000	19.99	219.93	19.03	209.36	(10.57)
07/14/09	03/27/09	Akamai Technologies Inc	AKAM	13.000	20.58	267.50	19.03	247.42	(20.08)
07/14/09	04/02/09	Akamai Technologies Inc	AKAM	15.000	20.87	313.11	19.03	285.49	(27.62)
07/14/09	05/22/09	Akamai Technologies Inc	AKAM	8 000	21.11	168.86	19.03	152.26	(16.60)
07/14/09	05/05/09	Alcatel Alstrom	ALU	43.000	2.71	116.53	2.18	93.86	(22.67)
07/14/09	05/07/09	Alcatel Alstrom	ALU	149.000	2.55	379.95	2.18	325.21	(54.74)
07/14/09	06/08/09	Alcatel Alstrom	ALU	87.000	2.67	232.24	2.18	189.89	(42.35)
07/14/09	06/11/09	Alcatel Alstrom	ALU	138.000	2.89	398.82	2.18	301.20	(97.62)
07/14/09	06/26/09	AllianceBernstein Holding	AB	63.000	19.68	√ 1,242.09	19.22	1,210.88	√ (31.21)
07/14/09	05/06/09	Alpha Natural Resources Inc	ANR	20.000	30.22	604.47	24.25	485.06	(119.41)
07/14/09	05/12/09	Alpha Natural Resources Inc	ANR	22.000	27.18	597.92	24.25	533.57	(64.35)
07/14/09	06/05/09	Alpha Natural Resources Inc	ANR	14.000	27.00	378.00	24.25	339.54	(38.46)
07/14/09	02/02/09	Ametek Inc New	AME	4.000	32.01	128.04	33.89	135.56	7.52

Realized Gain/Loss Report

First Clearing
Account Summary
1/1/09 - 11/3/09

Nathan Klein Fund
Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	06/16/09	Ametek Inc New	AME	9.000	33.96	305.67	33.89	305.00	(0.67)
07/14/09	06/23/09	Ametek Inc New	AME	8.000	32.67	261.32	33.89	271.11	9.79
07/14/09	04/29/09	Arch Capital Group Group	ACGL	5.000	58.76	293.79	59.49	297.44	3.65
07/14/09	06/26/09	Arch Capital Group Group	ACGL	4.000	58.43	233.73	59.49	237.95	4.22
07/14/09	06/19/09	Assured Guaranty Ltd	AGO	78.000	11.47	894.93	11.47	894.93	0.00
07/14/09	06/25/09	Assured Guaranty Ltd	AGO	22.000	12.02	264.43	11.47	252.42	(12.01)
07/14/09	05/21/09	Biovail Corp	BVF	72.000	12.34	888.28	13.55	975.58	87.30
07/14/09	05/22/09	Biovail Corp	BVF	19.000	13.01	247.13	13.55	257.44	10.31
07/14/09	06/04/09	Biovail Corp	BVF	28 000	11.78	329.73	13.55	379.39	49.66
07/14/09	06/11/09	Biovail Corp	BVF	17.000	12.88	218.91	13.55	230.34	11.43
07/14/09	06/29/09	Biovail Corp	BVF	22.000	13.50	296.93	13.55	298.09	1.16
07/14/09	04/07/09	Calpine Corp New	CPN	92.000	8.05	740.76	10.88	1,000.93	260.17
07/14/09	04/14/09	Calpine Corp New	CPN	33.000	9.15	301.94	10.88	359.03	57.09
07/14/09	04/24/09	Calpine Corp New	CPN	38.000	7.96	302.48	10.88	413.43	110.95
07/14/09	06/23/09	Church & Dwight Inc	CHD	6.000	54.04	324.26	54.29	325.73	1.47
07/14/09	06/02/09	Commercial Metals Co	CMC	52.000	17.89	930.05	15.44	802.86	(127.19)
07/14/09	06/10/09	Commercial Metals Co	CMC	20.000	18.39	367.80	15.44	308.79	(59.01)
07/14/09	05/08/09	Concho Resources Inc	CXO	19.000	29.84	566.98	27.63	524.98	(42.00)
07/14/09	05/21/09	Concho Resources Inc	CXO	10.000	29.20	292.03	27.63	276.31	(15.72)
07/14/09	05/29/09	Concho Resources Inc	CXO	8.000	31.60	252.80	27.63	221.04	(31.76)
07/14/09	06/17/09	Covance Inc	CVD	20.000	45.18	903.60	48.71	974.27	70.67
07/14/09	06/19/09	Covance Inc	CVD	7.000	49.51	346.56	48.71	341.00	(5.56)
07/14/09	06/29/09	Covance Inc	CVD	7.000	48.00	336.03	48.71	341.00	4.97
07/14/09	02/10/09	Digital Rlty Tr Inc	DLR	18.000	34.35	618.24	36.94	664.92	46.68
07/14/09	02/26/09	Digital Rlty Tr Inc	DLR	10.000	30.59	305.90	36.94	369.39	63.49
07/14/09	03/04/09	Digital Rlty Tr Inc	DLR	8.000	27.66	221.26	36.94	295.51	74.25
07/14/09	03/09/09	Digital Rlty Tr Inc	DLR	10.000	26.41	264.10	36.94	369.39	105.29
07/14/09	04/30/09	Digital Rlty Tr Inc	DLR	7.000	36.92	258.41	36.94	258.57	0.16
07/14/09	07/28/08	Dollar Tree Inc	DLTR	12.000	35.68	428.20	43.62	523.45	95.25
07/14/09	07/31/08	Dollar Tree Inc	DLTR	13.000	37.97	493.66	43.62	567.07	73.41

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	08/20/08	Dollar Tree Inc	DLTR	6.000	39.69	238.16	43.62	261.73	23.57
07/14/09	04/08/09	Dollar Tree Inc	DLTR	8.000	44.35	354.78	43.62	348.97	(5.81)
07/14/09	04/27/09	Dollar Tree Inc	DLTR	4.000	43.20	172.78	43.62	174.48	1.70
07/14/09	03/16/09	Douglas Emmett Inc	DEI	23.000	8.73	200.74	8.64	198.71	(2.03)
07/14/09	02/06/09	Electronic Arts	ERTS	32.000	19.20	614.38	21.24	679.79	65.41
07/14/09	02/10/09	Electronic Arts	ERTS	19.000	16.84	319.89	21 24	403.63	83.74
07/14/09	03/09/09	Electronic Arts	ERTS	14.000	15.90	222.57	21.24	297.41	74.84
07/14/09	03/12/09	Electronic Arts	ERTS	10.000	17.28	172.84	21.24	212.43	39.59
07/14/09	03/18/09	Electronic Arts	ERTS	15.000	18.06	270.87	21.24	318.65	47.78
07/14/09	04/24/09	ENSCO Intl Inc	ESV--Z	15.000	32.56	488.43	35.56	533.39	44.96
07/14/09	05/07/09	ENSCO Intl Inc	ESV--Z	16.000	31.17	498.69	35.56	568.94	70.25
07/14/09	06/02/09	ENSCO Intl Inc	ESV--Z	7.000	40.97	286.78	35.56	248.91	(37.87)
07/14/09	03/18/09	First Horizon National Corp	FHN	53.692	10.25	550.16	12.02	645.59	95.43
07/14/09	03/23/09	First Horizon National Corp	FHN	30.392	10.45	317.54	12.02	365.43	47.89
07/14/09	03/30/09	First Horizon National Corp	FHN	27.352	10.17	278.17	12.02	328.89	50.72
07/14/09	04/06/09	First Horizon National Corp	FHN	25.326	10.85	274.67	12.02	304.52	29.85
07/14/09	04/30/09	First Horizon National Corp	FHN	18.235	11.71	213.61	12.02	219.26	5.65
07/14/09	02/27/09	Fiserv Inc	FISV	25.000	32.75	818.72	46.50	1,162 57	343.85
07/14/09	03/03/09	Fiserv Inc	FISV	7.000	32.04	224.31	46.50	325.52	101.21
07/14/09	03/12/09	Fiserv Inc	FISV	8.000	32.38	259.03	46.50	372.02	112.99
07/14/09	05/04/09	Fiserv Inc	FISV	8.000	39.20	313.58	46.50	372.02	58.44
07/14/09	03/23/09	Flowserve Corp	FLS	4.000	58.28	233.12	63.61	254.44	21.32
07/14/09	03/25/09	Flowserve Corp	FLS	5.000	58.85	294.26	63.61	318.04	23.78
07/14/09	03/30/09	Flowserve Corp	FLS	6.000	54.75	328.51	63.61	381.65	53.14
07/14/09	04/21/09	Flowserve Corp	FLS	5.000	57.39	286.97	63.61	318.04	31.07
07/14/09	05/22/09	Flowserve Corp	FLS	4.000	69.57	278.26	63.61	254.44	(23.82)
07/14/09	08/25/08	FMC Technologies Inc	FTI	4.000	51.59	206.37	36.29	145.16	(61.21)
07/14/09	12/17/08	FMC Technologies Inc	FTI	11.000	25.02	275.20	36.29	399.20	124.00
07/14/09	01/28/09	FMC Technologies Inc	FTI	23.000	30.78	708.00	36.29	834.69	126.69
07/14/09	06/11/09	FMC Technologies Inc	FTI	6.000	41.71	250.24	36.29	217.75	(32.49)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	11/06/08	FTI Consulting Inc	FCN	5.000	38.96	194.81	51.84	259.19	64.38
07/14/09	04/29/09	FTI Consulting Inc	FCN	6.000	54.46	326.74	51.84	311.03	(15.71)
07/14/09	06/11/09	FTI Consulting Inc	FCN	5.000	54.01	270.05	51.84	259.19	(10.86)
07/14/09	06/22/09	Global Pmts Inc	GPN	9 000	37.50	337.47	37.73	339.59	2.12
07/14/09	02/12/09	Iac/Interactivecorp PAR $.001	IACI	29.000	14.87	431.11	16.25	471.36	40.25
07/14/09	02/23/09	Iac/Interactivecorp PAR $.001	IACI	15 000	15.03	225.44	16 25	243.80	18.36
07/14/09	03/03/09	Iac/Interactivecorp PAR $ 001	IACI	12 000	14.94	179.26	16.25	195.04	15.78
07/14/09	04/07/09	Iac/Interactivecorp PAR $.001	IACI	23.000	16.02	368.37	16.25	373.83	5.46
07/14/09	04/22/09	Intuitive Surgical Inc New	ISRG	4.000	137.90	551.60	150.32	601 26	49.66
07/14/09	05/26/09	Intuitive Surgical Inc New	ISRG	2.000	146.47	292.94	150.32	300.63	7.69
07/14/09	06/10/09	Intuitive Surgical Inc New	ISRG	2.000	157.68	315.36	150.32	300.63	(14.73)
07/14/09	03/30/09	Inverness Med Innovations Inc	IMA	17.000	27.30	464.18	32.30	549.08	84.90
07/14/09	05/27/09	Joy Global Inc	JOYG	18.000	33 35	600.23	32.38	582.86	(17.37)
07/14/09	06/03/09	Joy Global Inc	JOYG	18.000	35 82	644 70	32.38	582.86	(61.84)
07/14/09	07/18/08	King Pharmaceuticals Inc	KG	60.000	11 49	689.24	9.03	541.93	(147.31)
07/14/09	07/23/08	King Pharmaceuticals Inc	KG	38.000	11 52	437.66	9.03	343.21	(94.45)
07/14/09	12/18/08	King Pharmaceuticals Inc	KG	27.000	10.44	282.00	9.03	243.86	(38.14)
07/14/09	05/14/09	King Pharmaceuticals Inc	KG	26.000	8.93	232 14	9.03	234.83	2.69
07/14/09	06/11/09	King Pharmaceuticals Inc	KG	38.000	9.93	377.34	9.03	343.21	(34.13)
07/14/09	04/27/09	Las Vegas Sands Corp	LVS	36.000	7.11	255 93	7.13	256 81	0.88
07/14/09	04/30/09	Las Vegas Sands Corp	LVS	68.000	8.60	584.68	7.13	485.09	(99.59)
07/14/09	03/10/09	Leap Wireless Intl Inc New	LEAP	15.000	33.43	501.41	28.69	430.35	(71.06)
07/14/09	04/13/09	Leap Wireless Intl Inc New	LEAP	8 000	32.99	263.94	28 69	229.52	(34 42)
07/14/09	04/22/09	Leap Wireless Intl Inc New	LEAP	9.000	31.57	284.11	28.69	258.21	(25.90)
07/14/09	05/19/09	Life Technologies Corp	LIFE	24.000	37.74	905.66	40.08	962.00	56.34

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	05/21/09	Life Technologies Corp	LIFE	8.000	36.77	294.14	40.08	320.66	26.52
07/14/09	05/29/09	Life Technologies Corp	LIFE	8.000	37.95	303.58	40.08	320.66	17.08
07/14/09	06/09/09	Life Technologies Corp	LIFE	8.000	39.51	316.11	40.08	320.66	4.55
07/14/09	01/27/09	Magna Intl Inc Cl A	MGA	3.000	29.51	88.52	43.09	129.27	40.75
07/14/09	01/29/09	Magna Intl Inc Cl A	MGA	8.000	29.11	232.86	43.09	344.71	111.85
07/14/09	02/02/09	Magna Intl Inc Cl A	MGA	9.000	28.41	255.68	43.09	387.80	132.12
07/14/09	02/10/09	Magna Intl Inc Cl A	MGA	7.000	31.00	217.02	43.09	301.62	84.60
07/14/09	09/09/08	McAfee Inc	MFE	6.000	37.56	225.35	41.82	250.94	25.59
07/14/09	06/30/09	McAfee Inc	MFE	8.000	41.60	332.82	41.82	334.58	1.76
07/14/09	03/12/09	McDermott Intl Inc	MDR	38.000	13.96	530.47	17.68	671.92	141.45
07/14/09	03/19/09	McDermott Intl Inc	MDR	21.000	14.72	309 20	17.68	371.33	62.13
07/14/09	03/30/09	McDermott Intl Inc	MDR	21.000	12.97	272.33	17.68	371.33	99.00
07/14/09	05/05/09	McDermott Intl Inc	MDR	18.000	17.05	306.89	17.68	318.28	11.39
07/14/09	05/12/09	McDermott Intl Inc	MDR	14.000	19.05	266.67	17.68	247.55	(19.12)
07/14/09	06/11/09	McDermott Intl Inc	MDR	10.000	23.70	236.96	17.68	176.82	(60.14)
07/14/09	04/17/09	Millicom Intl Cellular S A	MICC	10.000	43.21	432.11	56.28	562.78	130.67
07/14/09	04/28/09	Millicom Intl Cellular S A	MICC	14.000	44.56	623.81	56.28	787.90	164.09
07/14/09	04/17/09	MSCI Inc Cl A	MXB	28.000	21.04	589.18	25.57	716.00	126.82
07/14/09	04/21/09	MSCI Inc Cl A	MXB	16.000	19.91	318.51	25.57	409.15	90.64
07/14/09	05/01/09	MSCI Inc Cl A	MXB	13.000	21.73	282.54	25.57	332.44	49.90
07/14/09	05/07/09	MSCI Inc Cl A	MXB	10.000	22.58	225.84	25.57	255.72	29.88
07/14/09	05/19/09	MSCI Inc Cl A	MXB	13.000	21.35	277.55	25.57	332.44	54.89
07/14/09	06/10/09	MSCI Inc Cl A	MXB	14.000	23.25	325.50	25.57	358.01	32.51
07/14/09	06/25/09	MSCI Inc Cl A	MXB	8.000	24.81	198.46	25.57	204.58	6.12
07/14/09	04/09/09	Mylan Labs Inc	MYL	27.000	14.16	382.32	12.24	330.55	(51.77)
07/14/09	04/15/09	Mylan Labs Inc	MYL	19.000	13.74	261.03	12.24	232.60	(28.43)
07/14/09	04/24/09	Mylan Labs Inc	MYL	22.000	14.28	314.15	12.24	269.33	(44.82)
07/14/09	06/12/09	Mylan Labs Inc	MYL	23.000	13.74	315.99	12.24	281.57	(34.42)
07/14/09	06/09/09	Ncr Corp New	NCR	78.000	12 82	999.98	11.39	888.59	(111.39)
07/14/09	06/15/09	Ncr Corp New	NCR	30.000	12.40	372.00	11.39	341.77	(30.23)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	07/01/09	Ncr Corp New	NCR	27.000	12.10	326.59	11.39	307.59	(19.00)
07/14/09	02/13/09	Netapp Inc	NTAP	4.000	16.22	64.88	19.91	79.62	14.74
07/14/09	02/26/09	Netapp Inc	NTAP	15.000	13.38	200.67	19.91	298.65	97.98
07/14/09	03/04/09	Netapp Inc	NTAP	16.000	13.69	219.03	19.91	318.56	99.53
07/14/09	03/13/09	Netapp Inc	NTAP	16.000	15.01	240.15	19.91	318.56	78.41
07/14/09	03/18/09	Netapp Inc	NTAP	17.000	15.63	265.70	19.91	338.47	72.77
07/14/09	06/22/09	Northeast Utils	NU	40.000	22.18	887.13	21.46	858.48	(28.65)
07/14/09	06/23/09	Northéast Utils	NU	13.000	21.58	280.53	21.46	279.00	(1.53)
07/14/09	07/06/09	Northeast Utils	NU	12.000	22.17	266.00	21.46	257.54	(8.46)
07/14/09	04/03/09	Novellus Systems Inc	NVLS	9.000	17.76	159.80	19.06	171.53	11.73
07/14/09	04/23/09	Novellus Systems Inc	NVLS	19.000	16.80	319.20	19.06	362.14	42.94
07/14/09	04/27/09	Novellus Systems Inc	NVLS	12.000	18 47	221.60	19.06	228.72	7.12
07/14/09	05/19/09	Novellus Systems Inc	NVLS	17.000	17.81	302.80	19.06	324.02	21.22
07/14/09	12/16/08	Nustar Energy LP Unit Com	NS	7.000	42.51	√ 269 59	52.68	368.76	√ 99.17
07/14/09	01/08/09	Nustar Energy LP Unit Com	NS	5.000	45.21	√ 205.05	52.68	263 39	√ 58.34
07/14/09	01/26/09	Nustar Energy LP Unit Com	NS	4.000	47.39	√ 173.57	52.68	210.71	√ 37.14
07/14/09	02/17/09	Nustar Energy LP Unit Com	NS	7.000	47.52	√ 313.64	52.68	368.75	√ 55.11
07/14/09	06/24/09	Nustar Energy LP Unit Com	NS	5.000	51.97	259.85	52.68	263.39	3.54
07/14/09	06/17/09	Nv Energy Inc	NVE	85.000	10.61	901.77	10.88	924.78	23.01
07/14/09	06/19/09	Nv Energy Inc	NVE	52.000	11.07	575.59	10.88	565.74	(9.85)
07/14/09	03/24/09	Nvr Inc	NVR	1 000	424.63	424.63	518.69	518.69	94.06
07/14/09	04/20/09	Nvr Inc	NVR	1.000	470.46	470.46	518 70	518.70	48.24
07/14/09	05/22/09	NYSE Euronext	NYX	23.000	27.36	629.38	25.49	586.25	(43.13)
07/14/09	09/30/08	O Reilly Automotive Inc	ORLY	25 000	26.31	657.82	39.89	997.27	339.45
07/14/09	10/02/08	O Reilly Automotive Inc	ORLY	17.000	26.16	444.67	39.89	678.15	233.48
07/14/09	10/03/08	O Reilly Automotive Inc	ORLY	5.000	26 48	132.39	39.89	199.45	67.06
07/14/09	12/01/08	O Reilly Automotive Inc	ORLY	9.000	25 16	226.43	39.89	359.02	132.59
07/14/09	06/10/09	On Semiconductor Corp	ONNN	107.000	6.92	740.24	7.07	756.79	16.55
07/14/09	06/26/09	On Semiconductor Corp	ONNN	34.000	6.80	231.10	7.07	240.48	9.38
07/14/09	06/30/09	On Semiconductor Corp	ONNN	37.000	6.76	250.10	7.07	261.70	11.60

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	05/29/09	Pan Amern Silver Corp	PAAS	31.000	23.30	722.36	18.11	561.52	(160.84)
07/14/09	06/10/09	Pan Amern Silver Corp	PAAS	25.000	22.07	551.85	18.11	452.83	(99.02)
07/14/09	01/23/09	Peoples United Financial	PBCT	9.000	17.01	153.05	15.45	139.07	(13.98)
07/14/09	02/20/09	Peoples United Financial	PBCT	18.000	16.14	290.57	15.45	278.16	(12.41)
07/14/09	04/17/09	Peoples United Financial	PBCT	36.000	16.21	583.56	15.45	556.32	(27.24)
07/14/09	04/23/09	Peoples United Financial	PBCT	17.000	15.84	269.24	15.45	262.71	(6.53)
07/14/09	02/27/09	Petrohawk Energy Corp	HK	8.000	17.31	138.48	20.27	162.18	23.70
07/14/09	03/04/09	Petrohawk Energy Corp	HK	14.000	16.64	232.92	20.27	283.81	50.89
07/14/09	03/06/09	Petrohawk Energy Corp	HK	16.000	16.38	262.00	20.27	324.35	62.35
07/14/09	03/10/09	Petrohawk Energy Corp	HK	14.000	16.45	230.33	20.27	283.81	53.48
07/14/09	08/12/08	Petsmart Inc	PETM	9.000	25.39	228.47	21.99	197.93	(30.54)
07/14/09	11/03/08	Plains All Amern Pipeline LP Unit L P Int	PAA	1.000	41 13	√ 40.13	44.98	44 98	√ 4.85
07/14/09	11/06/08	Plains All Amern Pipeline LP Unit L P Int	PAA	8.000	39.28	√ 300 22	44 97	359.76	√ 59.54
07/14/09	11/11/08	Plains All Amern Pipeline LP Unit L P Int	PAA	9.000	38.69	√ 338.22	44.97	404.74	√ 66.52
07/14/09	12/17/08	Plains All Amern Pipeline LP Unit L P Int	PAA	7.000	35.54	√ 241.81	44.97	314.79	√ 72.98
07/14/09	01/21/09	Plains All Amern Pipeline LP Unit L P Int	PAA	4.000	37.57	√ 144.28	44 97	179 88	√ 35.60
07/14/09	06/23/09	Plains All Amern Pipeline LP Unit L P Int	PAA	6.000	40.62	243.70	44.97	269.82	26.12
07/14/09	03/27/09	Priceline Com Inc New	PCLN	4.000	82.09	328.37	109.73	438.91	110.54
07/14/09	04/01/09	Priceline Com Inc New	PCLN	4.000	79.67	318.66	109.73	438.91	120.25
07/14/09	04/14/09	Priceline Com Inc New	PCLN	2.000	90.76	181.51	109.73	219.45	37.94
07/14/09	05/01/09	Priceline Com Inc New	PCLN	4.000	96.29	385.17	109.73	438.91	53.74
07/14/09	12/30/08	Quanta Svcs Inc	PWR	7.000	18.86	132.02	20.39	142.74	10.72
07/14/09	01/13/09	Quanta Svcs Inc	PWR	18.000	18.51	333.15	20.39	367.06	33.91
07/14/09	01/21/09	Quanta Svcs Inc	PWR	12.000	18.41	220.92	20.39	244.70	23.78

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	05/08/09	Quanta Svcs Inc	PWR	28.000	23.26	651.32	20.39	570.98	(80.34)
07/14/09	06/25/09	Sara Lee Corp	SLE	125.000	9.38	1,172.50	10.07	1,259.21	86.71
07/14/09	07/06/09	Sara Lee Corp	SLE	34.000	9.61	326.68	10.07	342.51	15.83
07/14/09	10/13/08	SBA Communications Corp	SBAC	21.000	15.88	333.54	23.98	503.65	170.11
07/14/09	11/06/08	Smucker J M Co New	SJM	7.000	40.40	282.80	48.58	340.05	57.25
07/14/09	11/13/08	Smucker J M Co New	SJM	6.000	38.61	231.63	48.58	291.47	59 84
07/14/09	05/27/09	Sunpower Corp Cl A	SPWRA	21.000	29.01	609.17	22.29	468.12	(141.05)
07/14/09	06/02/09	Sunpower Corp Cl A	SPWRA	11.000	29.49	324.36	22.29	245.21	(79.15)
07/14/09	06/11/09	Sunpower Corp Cl A	SPWRA	17.000	32.21	547.49	22.29	378.95	(168.54)
07/14/09	04/23/09	Suntrust Bks Inc	STI	40.000	14.48	579.09	15.91	636.48	57.39
07/14/09	05/01/09	Suntrust Bks Inc	STI	18.000	14.58	262.42	15.91	286 42	24.00
07/14/09	05/08/09	Suntrust Bks Inc	STI	13.000	19.44	252.66	15.91	206.86	(45.80)
07/14/09	06/02/09	Suntrust Bks Inc	STI	4.000	15.24	60.95	15.91	63.65	2.70
07/14/09	06/22/09	Suntrust Bks Inc	STI	21.000	15.93	334.49	15.91	334.16	(0.33)
07/14/09	08/22/08	Synopsys Inc	SNPS	13.000	21.26	276.36	18.69	242.99	(33.37)
07/14/09	06/18/09	UGI Corp New	UGI	6.000	25.28	151.67	25.10	150.61	(1.06)
07/14/09	04/14/09	Universal Health Svcs Inc	UHS	5.000	41.71	208.55	48.01	240.04	31.49
07/14/09	04/27/09	Universal Health Svcs Inc	UHS	6.000	45.31	271.85	48.01	288.05	16.20
07/14/09	05/18/09	Universal Health Svcs Inc	UHS	7.000	49.18	344.25	48.01	336.06	(8.19)
07/14/09	08/26/08	URS Corp New	URS	21.000	45.66	958.90	46.26	971.55	12.65
07/14/09	09/03/08	URS Corp New	URS	9.000	45.76	411.85	46.26	416.38	4.53
07/14/09	10/13/08	URS Corp New	URS	8.000	27.41	219.28	46.27	370.12	150.84
07/14/09	04/28/09	URS Corp New	URS	6.000	42.09	252.53	46.27	277.59	25.06
07/14/09	01/07/09	Western Digital Corp	WDC	38.000	13.55	514.90	26.12	992.64	477.74
07/14/09	02/18/09	Western Digital Corp	WDC	17.000	15.81	268.75	26.12	444.08	175.33
07/14/09	03/09/09	Western Digital Corp	WDC	15.000	15.25	228.75	26.12	391.83	163.08
07/14/09	04/24/09	Western Digital Corp	WDC	18.000	20.13	362.40	26.12	470.20	107.80
07/14/09	06/10/09	Whole Foods Mkt Inc	WFMI	14.000	20.68	289.49	20.25	283.52	(5.97)
07/14/09	06/12/09	Whole Foods Mkt Inc	WFMI	17.000	20.11	341.86	20.25	344.27	2.41
07/14/09	06/17/09	Whole Foods Mkt Inc	WFMI	19.000	18.70	355.38	20.25	384.78	29.40

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	06/10/09	Windstream Corp	WIN	111.000	8.44	936.84	7.95	882.64	(54.20)
07/14/09	06/16/09	Windstream Corp	WIN	33.000	8.42	277.86	7.95	262.41	(15.45)
07/14/09	06/19/09	Windstream Corp	WIN	33.000	8.38	276.50	7.95	262.41	(14.09)
07/14/09	06/23/09	Windstream Corp	WIN	27.000	8.46	228.42	7.95	214.70	(13.72)
07/14/09	05/07/09	Zions Bancorporation	ZION	38.000	17.30	657.39	11.59	440.51	(216.88)
07/14/09	05/08/09	Zions Bancorporation	ZION	18.000	17.88	321.82	11.59	208.67	(113.15)
07/14/09	05/28/09	Zions Bancorporation	ZION	14.000	13.60	190.36	11.59	162.30	(28.06)
07/14/09	06/12/09	Zions Bancorporation	ZION	15.000	14.70	220.50	11.59	173.89	(46.61)
07/22/09	07/14/09	Albemarle Corp	ALB	54.000	24.49	1,322.19	26.76	1,445.06	122.87
07/22/09	07/14/09	Barnes & Noble Inc	BKS	49.000	21.04	1,030.91	21.96	1,075.97	45.06
07/22/09	07/14/09	Capella Education Company	CPLA	24.000	58.33	1,399.92	62.61	1,502.54	102.62
07/22/09	07/14/09	Cms Energy Corp	CMS	126.000	12.12	1,526.87	12.28	1,547.58	20.71
07/22/09	07/14/09	Diebold Inc	DBD	68.000	25.70	1,747.58	26.62	1,810.35	62.77
07/22/09	07/14/09	Omnicare Inc	OCR	52.000	25.21	1,311.04	25.63	1,332.80	21.76
07/22/09	07/14/09	Tetra Technologies Inc Del	TTI	62.000	7.46	462.40	7.63	473.04	10.64
07/22/09	07/14/09	United NAT Foods Inc	UNFI	25.000	25.36	634.05	25.36	634.02	(0.03)
07/22/09	07/14/09	Wiley John & Sons Inc Cl A	JW—A	33.000	32.19	1,062.43	32.45	1,070.89	8.46
07/22/09	07/14/09	Wisconsin Energy Corp	WEC	31.000	40.98	1,270.29	41.74	1,294.08	23.79
07/22/09	07/14/09	Wright Med Group Inc	WMGI	96.000	14.05	1,349.25	13.79	1,323.89	(25.36)
07/22/09	07/14/09	Xilinx Inc	XLNX	81.000	19.91	1,612.55	20.44	1,655.68	43.13
07/31/09	07/14/09	Acxiom Corp	ACXM	83.000	8.09	671.30	9.65	800.92	129.62
07/31/09	07/14/09	Big Lots Inc	BIG	68.000	20.66	1,404.74	23.04	1,566.67	161.93
07/31/09	07/14/09	IntercontinentalExchange Inc	ICE	6.000	85.33	511.98	94.06	564.34	52.36
07/31/09	07/14/09	Jack In The Box Inc	JACK	69.000	22.74	1,568.78	21.10	1,455.86	(112.92)
07/31/09	07/14/09	Wilmington Trust Corp	WL	123.000	12.02	1,478.34	11.49	1,413.23	(65.11)
08/03/09	07/14/09	Alpha Natural Resources Inc	ANR	0.360	23.97	8 63	34.39	12.38	3.75
08/25/09	07/14/09	Affiliated Managers Group Inc	AMG	24.000	55.84	1,340 16	62.67	1,504.04	163.88
08/25/09	07/14/09	Allegheny Energy Inc	AYE	56.000	24.41	1,366.79	25.74	1,441.40	74.61

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
08/25/09	09/18/08	Alliance Data Sys Corp	ADS	5.000	55.36	276.80	56.44	282.19	5.39
08/25/09	03/16/09	Alliance Data Sys Corp	ADS	6.000	32.01	192.04	56.44	338.63	146.59
08/25/09	07/14/09	Alliance Data Sys Corp	ADS	18.000	37.99	683.82	56.44	1,015.89	332.07
08/25/09	07/14/09	Collective Brands Inc	PSS	99.000	14.40	1,425.25	14.04	1,389.92	(35.33)
08/25/09	07/14/09	Darling Intl Inc	DAR	230.000	6.49	1,491.94	7.16	1,646.75	154.81
08/25/09	07/14/09	Gen. Cable Corp	BGC	47.000	35.71	1,678.47	34.43	1,618.16	(60.31)
08/25/09	07/14/09	Hanesbrands Inc	HBI	71.000	13.93	988.96	21.09	1,497.35	508.39
08/25/09	07/14/09	Hill Rom Hldgs Inc	HRC	34.000	15.17	515.68	20.77	706.16	190.48
08/25/09	07/14/09	Hms Hldgs Corp	HMSY	36.000	40.41	1,454.67	38.86	1,398.92	(55.75)
08/25/09	07/14/09	Interdigital Inc PA	IDCC	47.000	24.73	1,162.22	21.61	1,015.64	(146.58)
08/25/09	05/22/09	NYSE Euronext	NYX	3.000	27.36	82.09	27.96	83.88	1.79
08/25/09	05/26/09	NYSE Euronext	NYX	7.000	28.68	200.75	27.96	195.71	(5.04)
08/25/09	05/28/09	NYSE Euronext	NYX	14.000	28.48	398.69	27.96	391.43	(7.26)
08/25/09	06/01/09	NYSE Euronext	NYX	14.000	31.38	439 26	27.96	391.43	(47.83)
08/25/09	07/14/09	Sapient Corp	SAPE	99.000	6.04	597.93	7.69	761.29	163.36
08/25/09	07/14/09	Tower Group Inc	TWGP	60.000	25.10	1,505.76	24.19	1,451.36	(54.40)
09/10/09	07/14/09	Amkor Technology Inc	AMKR	228.000	4.96	1,130.17	7.03	1,602.79	472.62
09/25/09	07/14/09	Alexion Pharmaceuticals Inc	ALXN	10.000	39.28	392.79	44.91	449.08	56.29
09/25/09	07/14/09	Amylin Pharmaceuticals Inc	AMLN	27.000	12.14	327.73	12.76	344.51	16.78
09/25/09	08/25/09	Domtar Corporation	UFS	52.000	31.80	1,653.60	34.52	1,794.99	141.39
09/25/09	08/25/09	Genworth Finl Inc Cl A	GNW	35.000	8.78	307.30	11.87	415.43	108.13
09/25/09	07/22/09	Illumina Inc	ILMN	18.000	35.56	640.07	40.66	731.86	91.79
09/25/09	07/14/09	Jabil Circuit Inc	JBL	60.000	7.19	431.27	11.87	712.18	280.91
09/25/09	08/25/09	Marshall & Ilsley Corp New	MI	207.000	6.89	1,426.23	8.12	1,680.79	254.56
09/25/09	07/14/09	Martek Biosciences Corp	MATK	17.000	21.25	361.23	23 62	401.52	40.29
09/25/09	08/25/09	MBIA Inc	MBI	128.000	5.65	723.20	7.38	944.61	221.41
09/25/09	07/14/09	Medicis Pharmaceutical Corp	MRX	27.000	15.13	408.51	21.09	569.41	160.90
09/25/09	07/14/09	Principal Financial Grp Inc	PFG	54.000	18.79	1,014.53	25.80	1,393.16	378.63
09/25/09	07/14/09	Smith A O Corp	AOS	47 000	34.01	1,598.34	37.55	1,764.80	166.46
09/25/09	07/22/09	Warnaco Group Inc Cl A New	WRC	36 000	34.96	1,258.41	42.48	1,529.24	270.83

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
10/29/09	07/14/09	Actuant Corp	ATU	40.000	10.98	439.04	16.27	650.78	211.74
10/29/09	09/25/09	Clearwire Corp New Cl A	CLWR	130.000	8.22	1,068.60	6.60	857.97	(210.63)
10/29/09	07/31/09	Fairchild Semiconductor Intl Cl A	FCS	147.000	8.83	1,298.01	7.85	1,153.92	(144.09)
10/29/09	07/14/09	Genesee & Wyo Inc	GWR	45.000	25.97	1,168.65	29 72	1,337.36	168.71
10/29/09	07/22/09	Illumina Inc	ILMN	34.000	35.56	1,209.03	32.82	1,115.85	(93.18)
10/29/09	07/22/09	Intuitive Surgical Inc New	ISRG	3.000	168.96	506.88	253.54	760.63	253.75
10/29/09	07/14/09	Jabil Circuit Inc	JBL	120.000	7.19	862.53	14.27	1,712 35	849 82
10/29/09	08/25/09	MBIA Inc	MBI	174.000	5.65	983.10	4.45	774.28	(208.82)
10/29/09	07/14/09	Nu Skin Enterprises Inc	NUS	20 000	15.43	308.58	23.68	473.58	165.00
10/29/09	07/22/09	Steel Dynamics Inc	STLD	98 000	16.39	1,606.18	13.95	1,367.06	(239.12)
		TOTAL SHORT-TERM						**$152,398.81**	$11,239.04
		LONG-TERM							
07/14/09	05/14/08	Ametek Inc New	AME	5.000	$51.14	$255.72	$33.89	$169.46	($86.26)
07/14/09	05/16/08	Ametek Inc New	AME	8.000	53 09	424.72	33.89	271.11	(153.61)
07/14/09	06/17/08	Ametek Inc New	AME	10.000	51.35	513 53	33.89	338.89	(174.64)
07/14/09	10/06/06	Arch Capital Group Group	ACGL	3.000	65.17	195.51	59.49	178.47	(17.04)
07/14/09	04/27/07	Arch Capital Group Group	ACGL	8.000	72.88	583 04	59.49	475.90	(107.14)
07/14/09	11/27/07	Church & Dwight Inc	CHD	4.000	54.62	218.47	54.29	217.15	(1.32)
07/14/09	12/18/07	Church & Dwight Inc	CHD	5.000	55.57	277.85	54.29	271.44	(6.41)
07/14/09	06/02/08	Church & Dwight Inc	CHD	8.000	56.97	455.79	54 29	434.31	(21.48)
07/14/09	01/24/08	Douglas Emmett Inc	DEI	25.000	22.70	567.39	8.64	215.99	(351.40)
07/14/09	03/26/08	Douglas Emmett Inc	DEI	11 000	22.36	246.01	8.64	95.04	(150.97)
07/14/09	03/27/08	Douglas Emmett Inc	DEI	22 000	22.71	499.63	8.64	190.07	(309.56)
07/14/09	04/28/08	Douglas Emmett Inc	DEI	11 000	23.82	262.01	8.64	95.04	(166.97)
07/14/09	07/08/08	FTI Consulting Inc	FCN	7 000	68.65	480.55	51.84	362.88	(117.67)
07/14/09	09/18/07	Global Pmts Inc	GPN	9.000	40.86	367.73	37.73	339.59	(28.14)
07/14/09	09/28/07	Global Pmts Inc	GPN	13.000	44.26	575 37	37.73	490.53	(84.84)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	04/02/08	Global Pmts Inc	GPN	6.000	43.11	258.68	37.73	226.40	(32.28)
07/14/09	09/04/07	McAfee Inc	MFE	10.000	36.75	367.50	41.82	418.22	50.72
07/14/09	09/10/07	McAfee Inc	MFE	15.000	36.16	542.40	41.82	627.34	84.94
07/14/09	10/04/07	McAfee Inc	MFE	13.000	37.21	483.77	41.82	543.70	59.93
07/14/09	10/31/07	McAfee Inc	MFE	9.000	40.84	367.56	41.82	376.41	8.85
07/14/09	11/20/07	McAfee Inc	MFE	7.000	37.02	259.14	41.82	292.76	33.62
07/14/09	05/07/07	Petsmart Inc	PETM	13.000	33.91	440.83	21.99	285.90	(154.93)
07/14/09	05/18/07	Petsmart Inc	PETM	16.000	34.09	545.44	21.99	351.87	(193.57)
07/14/09	11/27/07	Petsmart Inc	PETM	4.000	26.15	104.61	21.99	87.97	(16.64)
07/14/09	12/04/07	Petsmart Inc	PETM	19.000	27.78	527.82	21.99	417.85	(109.97)
07/14/09	04/02/08	Petsmart Inc	PETM	7.000	22.35	156.43	21.99	153.94	(2.49)
07/14/09	05/14/08	Petsmart Inc	PETM	11.000	24.48	269.27	21.99	241.91	(27.36)
07/14/09	08/25/06	Roper Inds Inc New	ROP	6.000	45.37	272.22	43.06	258.34	(13.88)
07/14/09	04/27/07	Roper Inds Inc New	ROP	6.000	57.15	342.90	43.06	258.36	(84.54)
07/14/09	04/07/08	Roper Inds Inc New	ROP	7.000	62.49	437.42	43.06	301.42	(136.00)
07/14/09	05/14/08	Roper Inds Inc New	ROP	4.000	63.24	252.96	43.06	172.24	(80.72)
07/14/09	06/06/08	Roper Inds Inc New	ROP	5.000	66.39	331.97	43.06	215.30	(116.67)
07/14/09	09/05/06	SBA Communications Corp	SBAC	15.000	25.42	381.30	23.98	359.75	(21.55)
07/14/09	09/12/06	SBA Communications Corp	SBAC	19.000	25.20	478.80	23.98	455.68	(23.12)
07/14/09	09/28/06	SBA Communications Corp	SBAC	22.000	24.79	545.38	23.98	527.63	(17.75)
07/14/09	10/12/06	SBA Communications Corp	SBAC	11.000	27.32	300.48	23.98	263.82	(36.66)
07/14/09	01/24/08	Sybase Inc	SY	3.000	26.19	78.56	31.01	93.04	14.48
07/14/09	01/31/08	Sybase Inc	SY	16.000	27.70	443.25	31.01	496.23	52.98
07/14/09	03/11/08	Sybase Inc	SY	13.000	25.25	328.25	31.01	403.18	74.93
07/14/09	03/28/08	Sybase Inc	SY	12.000	26.09	313.02	31.01	372.17	59.15
07/14/09	06/17/08	Sybase Inc	SY	11.000	32.94	362.39	31.01	341.16	(21.23)
07/14/09	04/07/08	Synopsys Inc	SNPS	13.000	23.69	307.96	18.69	242.98	(64.98)
07/14/09	05/15/08	Synopsys Inc	SNPS	19.000	24.81	471.32	18.69	355.14	(116.18)
07/14/09	05/23/08	Synopsys Inc	SNPS	19.000	25.00	475.00	18.69	355.14	(119.86)
07/14/09	06/02/08	Synopsys Inc	SNPS	17.000	25.97	441.49	18.69	317.76	(123.73)

First Clearing
Account Summary
1/1/09 - 11/3/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

19371902

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
07/14/09	06/12/08	UGI Corp New	UGI	46.000	26.89	1,236.98	25.10	1,154.68	(82.30)
07/14/09	06/23/08	UGI Corp New	UGI	15.000	28.29	424.37	25.10	376.53	(47.84)
07/14/09	06/25/08	UGI Corp New	UGI	18.000	27.82	500.73	25.10	451.83	(48.90)
08/25/09	05/07/08	Alliance Data Sys Corp	ADS	11.000	59.04	649.46	56.44	620.83	(28.63)
		TOTAL LONG-TERM						**$16,563.35**	**($3,059.63)**
		GRAND TOTAL						**$168,962.16**	$8,179.41

National Financial
Account Summary
11/04/09 - 12/31/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 31, 2002

Period Ending December 31, 2009

BV2-032751

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
11/16/09	06/24/09	Monsanto Co New	MON	33.000	$78.72	$2,597.69	$74.31	$2,452.24	($145.45)
11/16/09	07/02/09	Monsanto Co New	MON	22.000	72.22	1,588 80	74.31	1,634.82	46.02
12/02/09	06/08/09	Express Scripts Inc Cl A	ESRX	16.000	63.91	1,022.61	87.29	1,396.64	374.03
12/15/09	06/08/09	Express Scripts Inc Cl A	ESRX	19.000	63.91	1,214.34	88.49	1,681.33	466.99
12/17/09	06/08/09	Express Scripts Inc Cl A	ESRX	7.000	63.91	447.39	84.32	590.24	142.85
12/17/09	07/17/09	Express Scripts Inc Cl A	ESRX	15.000	66.25	993.70	84.32	1,264.81	271.11
		TOTAL SHORT-TERM						**$9,020.08**	**$1,155.55**
		LONG-TERM							
12/03/09	02/07/08	CME Group Inc	CME	1.000	$526.90	$526 90	$331.94	$331.94	($194.96)
12/03/09	02/20/08	CME Group Inc	CME	2.000	511.24	1,022.48	331.94	663.88	(358.60)
12/03/09	03/20/08	CME Group Inc	CME	2.000	457.81	915.62	331.94	663.88	(251.74)
12/03/09	10/13/08	Marriott Intl Inc New Cl A	MAR	0.105	20.67	2.17	25.71	2.70	0 53
12/03/09	11/07/08	Marriott Intl Inc New Cl A	MAR	0.269	18.74	5.04	25.50	6.86	1.82
12/08/09	10/26/07	Comcast Corp New Cl A	CMCSA	34 000	21.07	716.38	17.08	580.74	(135.64)
12/08/09	10/31/07	Comcast Corp New Cl A	CMCSA	42.000	20.97	880.74	17.08	717.39	(163.35)
12/08/09	01/22/08	Comcast Corp New Cl A	CMCSA	40.000	16 64	665.79	17.08	683.23	17.44
12/08/09	03/31/08	Comcast Corp New Cl A	CMCSA	36.000	19 23	692.41	17.08	614.91	(77.50)
12/23/09	10/12/05	Lowes Cos Inc	LOW	4.000	30.38	121.52	23.38	93.53	(27.99)
12/23/09	07/18/06	Lowes Cos Inc	LOW	21.000	27.73	582.33	23.38	491.02	(91.31)
12/23/09	11/22/06	Lowes Cos Inc	LOW	31.000	30.47	944.57	23.38	724.84	(219.73)
12/23/09	03/19/08	Visa Inc Class A	V	12 000	58.84	706.12	86.15	1,033.84	327.72
		TOTAL LONG-TERM						**$6,608.76**	**($1,173.31)**
		GRAND TOTAL						**$15,628.84**	**($17.76)**

National Financial
Account Summary
11/04/09 - 12/31/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: June 20, 2005

Period Ending December 31, 2009

BV2-048291

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
12/22/09	08/12/09	Macy's Inc	M	86.000	$16.33	$1,404.18	$17.38	$1,494.80	$90.62
		TOTAL SHORT-TERM						**$1,494.80**	**$90.62**
		LONG-TERM							
11/11/09	08/26/05	Merck & Company	MRK	16.000	$27.68	$442.88	$33.20	$531.19	$88.31
11/11/09	03/02/06	Merck & Company	MRK	27.000	35.16	949.20	33.20	896.39	(52.81)
11/11/09	08/29/07	Nestle S A REG ADR	NSRGY	4.333	42.66	184.85	47.60	206.26	21.41
11/11/09	09/05/07	Nestle S A REG ADR	NSRGY	25.666	42 90	1,101 11	47.60	1,221.70	120.59
11/11/09	07/21/05	Northrop Grumman Corp	NOC	17.000	55.91	950.47	54.65	929.00	(21.47)
11/11/09	01/25/08	State Str Corp	STT	25.000	80.09	2,002.31	42.56	1,064.08	(938.23)
11/11/09	01/30/08	State Str Corp	STT	6.000	81.00	485.99	42.56	255.38	(230.61)
12/02/09	11/29/06	CVS Corp	CVS	15.000	27.86	417.92	31.08	466.26	48.34
12/02/09	03/13/08	CVS Corp	CVS	31.000	39.62	1,228.21	31.08	963.60	(264.61)
12/02/09	06/23/05	Lockheed Martin Corp	LMT	10.000	64.86	648.60	77.99	779.85	131.25
12/16/09	03/02/06	Merck & Company	MRK	23.000	35.16	808.58	37.72	867.67	59.09
12/16/09	10/29/08	Merck & Company	MRK	16.000	30.01	480.15	37.72	603.59	123.44
		TOTAL LONG-TERM						**$8,784.97**	**($915.30)**
		GRAND TOTAL						**$10,279.77**	**($824.68)**

National Financial
Account Summary
11/04/09 - 12/31/09

Realized Gain/Loss Report

Nathan Klein Fund
Inception Date: March 5, 2009

Period Ending December 31, 2009

BV2-024970

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
11/10/09	06/17/09	Chunghwa Telecom Co Ltd Spons ADR New 0	CHT--Z	74.000	$17.51	$1,295.60	$17.91	$1,325.41	$29.81
11/13/09	03/10/09	Check Point Software Tech	CHKP	39.000	20.88	814.16	32.02	1,248.76	434.60
11/13/09	07/08/09	Check Point Software Tech	CHKP	13.000	22.28	289.64	32.02	416.26	126.62
11/13/09	03/10/09	Diageo PLC Sponsored ADR	25243Q205	12.000	42.40	508 80	67.03	804.41	295.61
11/19/09	07/29/09	Vivo Participacoes S A Spon ADR Pfd Ne	VIV	11.000	22.01	242.06	28.65	315.14	73.08
11/27/09	07/21/09	France Telecom ADR	FTE	98.000	23 29	2,282.67	26.08	2,555.98	273.31
11/30/09	03/10/09	Lottomatica Spa Sponsored ADR	LTTOY	121.000	17.30	2,093.30	20.04	2,425.16	331.86
12/08/09	05/28/09	Banco Santander S A	STD	76.000	10.39	789.57	17.16	1,303.99	514.42
12/10/09	03/10/09	Amdocs Ltd ORD	DOX	74.000	16.39	1,212.67	26.94	1,993.77	781.10
12/14/09	06/04/09	Accenture PLC Ireland - Class A	ACN	17.000	30.01	510.24	42.05	714.78	204.54
12/14/09	05/28/09	Banco Santander S A	STD	93.000	10.39	966.19	16.52	1,536.44	570.25
12/14/09	07/16/09	Banco Santander S A	STD	11 000	12.67	139.39	16.52	181.73	42.34
12/18/09	09/04/09	National BK Greece S A	NBG	299.000	6.42	1,919.67	4.73	1,413.90	(505.77)
12/18/09	10/22/09	National BK Greece S A	NBG	107.000	7.89	843.92	4.73	505.98	(337.94)
12/22/09	03/10/09	Suez Environnement Co S A ADR	SZEVY	134.000	6.70	897.80	11.45	1,534.26	636.46
12/22/09	07/29/09	Vivo Participacoes S A Spon ADR Pfd Ne	VIV	72.000	22.01	1,584.42	30.37	2,186.75	602.33
		TOTAL SHORT-TERM						**$20,462.72**	**$4,072.62**
		GRAND TOTAL						**$20,462.72**	**$4,072.62**

National Financial
Account Summary
11/04/09 - 12/31/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

BV2-012432

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
		SHORT-TERM							
11/20/09	07/14/09	Alpha Natural Resources Inc	ANR	22.000	$23.96	$527.19	$40.10	$882.17	$354 98
11/20/09	07/14/09	Cliffs Natural Resources Inc	CLF	10.000	21.50	214.95	43.02	430.18	215.23
11/20/09	04/14/09	Interpublic Grp Co Inc	IPG	116.000	5.20	603.20	6.83	792.26	189.06
11/20/09	04/17/09	Interpublic Grp Co Inc	IPG	50.000	5.43	271.45	6.83	341.49	70.04
11/20/09	04/22/09	Interpublic Grp Co Inc	IPG	52 000	5.27	273.98	6 83	355.15	81.17
11/20/09	04/29/09	Interpublic Grp Co Inc	IPG	41.000	6.16	252.51	6.83	280.02	27.51
11/20/09	07/14/09	Interpublic Grp Co Inc	IPG	31 000	5.05	156.46	6.83	211.72	55.26
11/20/09	07/22/09	KB Home	KBH	91.000	15.16	1,379.27	14.12	1,284.88	(94.39)
11/20/09	07/22/09	Kforce Inc	KFRC	62 000	8.74	541.84	13.49	836.35	294.51
11/20/09	07/22/09	MEMC Electr Matls Inc	WFR	73.000	20.10	1,467.21	12.08	881.81	(585.40)
11/20/09	07/14/09	Nu Skin Enterprises Inc	NUS	27.000	15.43	416.58	26.00	701.98	285.40
11/20/09	08/25/09	Regions Financial Corp New	RF	237.000	5.83	1,381.71	5.45	1,291.61	(90.10)
11/20/09	07/14/09	Tetra Technologies Inc Del	TTI	74.000	7.46	551.89	9.98	738.50	186.61
12/14/09	07/14/09	Alpha Natural Resources Inc	ANR	21.000	23.96	503.22	40.81	856.99	353.77
12/14/09	10/29/09	Alpha Natural Resources Inc	ANR	15.000	36 04	540.60	40.81	612.13	71.53
12/14/09	07/22/09	Atheros Communications Inc	ATHR	47.000	24 65	1,158.64	31.91	1,499.73	341.09
12/14/09	07/14/09	Cleco Corp New	CNL	55.000	22.23	1,222.38	26.95	1,482 21	259.83
12/14/09	08/25/09	Dillards Inc Cl A	DDS	121.000	11.71	1,416.91	18.39	2,225.13	808 22
12/14/09	07/22/09	Intuitive Surgical Inc New	ISRG	8.000	168.96	1,351.67	292.90	2,343.21	991.54
12/14/09	07/22/09	Kforce Inc	KFRC	113.000	8.74	987.54	13.89	1,569.52	581.98
12/14/09	07/14/09	Knight Capital Group Inc	NITE	61.000	17.08	1,041.72	14.28	871.05	(170.67)

National Financial
Account Summary
11/04/09 - 12/31/09

Realized Gain/Loss Report

Nathan Klein Fund

Inception Date: July 10, 2009

Period Ending December 31, 2009

BV2-012432

Sale Date	Purchase Date	Description	Ticker/ Cusip	Quantity	Unit Cost	Cost Basis	Sale Price	Proceeds	Gain/Loss
12/14/09	07/14/09	Nu Skin Enterprises Inc	NUS	57.000	15.43	879.46	28.04	1,598.23	718.77
12/31/09	07/14/09	Northwest Bancshares Inc MD Com	NWBI	0.750	8.87	6.65	11.15	8.36	1.71
		TOTAL SHORT-TERM						**$22,094.68**	**$4,947.65**
		GRAND TOTAL						**$22,094.68**	**$4,947.65**

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2009

Attachment Sequence No **67**

Name(s) shown on return: THE NATHAN J. KLEIN FUND

Identifying number: 74-6060543

Business or activity to which this form relates: 2447-2455 RICE

Part I Election To Expense Certain Property Under Section 179
Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount See the instructions for a higher limit for certain businesses	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2008 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2010 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property Instead, use Part V.*

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	38,502.

Part III MACRS Depreciation (Do not include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2009	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2009 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs.	M M	S/L	
			27 5 yrs	M M	S/L	
i Nonresidential real property			39 yrs	M M	S/L	
				M M	S/L	

Section C - Assets Placed in Service During 2009 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	M M	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instructions	22	38,502.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.)*

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No 24b If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (do **not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2009 tax year (see instructions)					
43 Amortization of costs that began before your 2009 tax year				43	
44 Total Add amounts in column (f) See the instructions for where to report				44	

2009

Description of Property

2447-2455 RICE

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
LAND	09/17/2002	480,000.	100 000												
BUILDING - 2447-55	09/19/2002	1,501,579.	100.000			1,501,579.	240,638.	279,140.	SL		39 000				38,502.
Less Retired Assets															
Subtotals		1,981,579.				1,501,579.	240,638.	279,140.							38,502.

Listed Property

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
Less Retired Assets															
Subtotals															
TOTALS		1,981,579				1,501,579	240,638.	279,140.							38,502.

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired

JSA
9X9024 1 000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MERRILL LYNCH	3,485.	3,485.
INTEREST INCOME - US TREASURY	35,188.	35,188.
FIDELITY INVESTMENTS	912.	912.
BRINKER CAPITAL SECURITIES	19,122.	19,122.
TOTAL	58,707.	58,707.

ØE7000 1 000

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE NATHAN J. KLEIN FUND	74-6060543

DESCRIPTION OF PROPERTY

2447-2455 RICE

☐ Yes ☐ No Did you actively participate in the operation of the activity during the tax year?

REAL RENTAL INCOME		259,553.	
OTHER INCOME			
TOTAL GROSS INCOME			259,553.
OTHER EXPENSES:			
SEE ATTACHMENT			
DEPRECIATION (SHOWN BELOW)	38,502.		
LESS: Beneficiary's Portion			
AMORTIZATION			
LESS: Beneficiary's Portion			
DEPLETION			
LESS: Beneficiary's Portion			
TOTAL EXPENSES			82,589.
TOTAL RENT OR ROYALTY INCOME (LOSS)			176,964.
Less Amount to			
Rent or Royalty			
Depreciation			
Depletion			
Investment Interest Expense			
Other Expenses			
Net Income (Loss) to Others			
Net Rent or Royalty Income (Loss)			176,964.
Deductible Rental Loss (if Applicable)			

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
SEE ATTACHMENT									
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER DEDUCTIONS

INSURANCE	5,813.
MANAGEMENT FEES	7,784.
REPAIRS	2,751.
TAXES	27,739.
	44,087.

RENT AND ROYALTY SUMMARY

PROPERTY	TOTAL INCOME	DEPLETION/ DEPRECIATION	OTHER EXPENSES	ALLOWABLE NET INCOME
2447-2455 RICE	259,553.	38,502.	44,087.	176,964.
TOTALS	259,553.	38,502.	44,087.	176,964.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SCH.K-1 -PLAINS ALL AM.PIPELINE - ORD.IN	24.	24.
SCH.K-1 -NUSTAR ENERGY L.P. - ORD.IN	-27.	-27.
SCH.K-1 -ALLIANCEBERNSTEIN - ORD.IN	6.	6.
TOTALS	3.	3.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	12,729.	12,729.		
TOTALS	12,729.	12,729.	0.	0.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER PROFESSIONAL FEES	3,600.	3,600.
TOTALS	3,600.	3,600.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAXES	2,341.	0.
FOREIGN TAXES WITHHELD FROM SECURITY DIVIDENDS	751.	751.
FOREIGN TAXES WITHHELD FROM K-1 NUSTAR ENERGY L.P.	7.	7.
TOTALS	3,099.	758.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RENT AND ROYALTY EXPENSES	44,087.	
OFFICE EXPENSE	886.	884.
COMMISSION EXPENSE	12,676.	12,676.
ADVISORY FEES-BRINKER CAPITAL	15,003.	15,003.
TOTALS	72,652.	28,563.

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

ATTACHMENT 7

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PREPAID INSURANCE	3,110.	3,110.
PREPAID COMMISSIONS	29,576.	29,576.
PREPAID FEDERAL TAX	4,034.	4,034.
TOTALS	36,720.	36,720.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 8

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
U.S. TREASURY NOTES/BONDS	445,913.	1,035,270.
US OBLIGATIONS TOTAL	445,913.	1,035,270.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 9

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
INVESTMENTS - BRINKER/MERRIL	1,155,499.	1,136,588.
TOTALS	1,155,499.	1,136,588.

ACCOUNT INVESTMENT OBJECTIVE

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR INDIVIDUAL INVESTOR ACCOUNT ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	29,646.68	29,646.68		**29,646.68**		

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ALLIANCEBERNSTEIN HIGH	1	N/A	N/A	N/A	8.4200	**8.42**	N/A	**1**	8.28
INCOME FUND CL A									
SYMBOL: AGDAX *Initial Purchase:N/A*		*.2750 Fractional Share*		*N/A*	8.4200	**2.31**	*N/A*	**1**	8.28
Fixed Income 100%									
BLACKROCK SHORT TERM	4,125	42,373	(2,443)	42,373.49	9.6800	**39,930.00**	(2,443.49)	**1,357**	3.39
BOND FD A									
SYMBOL: MDDUX *Initial Purchase:10/04/02*		*.3770 Fractional Share*		*N/A*	9.6800	**3.64**	*N/A*	**1**	3.39
Fixed Income 100%									
PIMCO TOTAL RETURN FD	3,865	41,940	(198)	41,940.60	10.8000	**41,742.00**	(198.60)	**1,867**	4.47
CL C									
SYMBOL: PTTCX *Initial Purchase:10/07/02*		*.8660 Fractional Share*		*N/A*	10.8000	**9.35**	*N/A*	**1**	4.47
Fixed Income 100%									
Subtotal (Fixed Income)						81,695.72			

YG 4/28/10

Brinker Capital

PORTFOLIO VALUE

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts.

All inquiries or complaints regarding your account or the activity therein should be directed to Brinker Capital Securities, Inc , 1055 Westlakes Dr , Ste 250, Berwyn, PA 19312, 800-333-4573

CASH AND CASH EQUIVALENTS 0.50%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND - CAPITAL RESERVES	FPRXX	759.91	$1.00	$759.91	$550.97	
CLASS	CASH					
7 DAY AVG NET YIELD .01%						
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Cash and Cash Equivalents				$759.91		

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Equity						
ABM INDS INC	ABM	55	$20 66	$1,136 30	$1,013.65	$29.70
Estimated Yield 2 61%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 02/01/10						
ACTUANT CORP CL A NEW	ATU	123	$18 53	$2,279.19	$2,008.59	$4.92
Estimated Yield 0 21%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
ACXIOM CORP	ACXM	106	$13.43	$1,423.58	$1,223 24	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
AEROPOSTALE	ARO	49	$34.05	$1,668.45	$1,543.50	
Dividend Option Cash	CASH					
Capital Gain Option Cash						

Account carried with National Financial Services LLC, Member NYSE, SIPC

Brinker Capital

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
AK STEEL HLDG CORP Estimated Yield 0 93% Dividend Option Cash Capital Gain Option Cash	AKS CASH	87	$21 35	$1,857.45	$1,740.00	$17.40
ALBEMARLE CORP Estimated Yield 1.37% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/01/10	ALB CASH	34	$36.37	$1,236.58	$1,147.50	$17.00
ALEXION PHARM INC. Dividend Option Cash Capital Gain Option Cash	ALXN CASH	24	$48 82	$1,171.68	$1,089.12	
AMKOR TECHS INC Dividend Option Cash Capital Gain Option Cash	AMKR CASH	189	$7.16	$1,353.24	$1,048.95	
AMYLIN PHARM INC Dividend Option Cash Capital Gain Option Cash	AMLN CASH	99	$14.19	$1,404.81	$1,411.74	
ATHEROS COMMUNICATIONS INC Dividend Option Cash Capital Gain Option Cash	ATHR CASH	35	$34.24	$1,198.40	$2,334.54	
BALL CORP Estimated Yield 0.77% Dividend Option Cash Capital Gain Option Cash	BLL CASH	29	$51.70	$1,499.30	$1,432.89	$11.60
BELDEN INC Estimated Yield 0.91% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 01/06/10	BDC CASH	84	$21.92	$1,841.28	$1,858.08	$16.80
BLACK HILLS CORP Estimated Yield 5.33% Dividend Option Cash Capital Gain Option Cash	BKH CASH	62	$26.63	$1,651.06	$1,461 34	$88.04
BROCADE COMMUNICATIONS SYS INC COM NEW Dividend Option Cash Capital Gain Option Cash	BRCD CASH	230	$7 63	$1,754.90	$1,630.70	

Statement Date: 12/01/2009 to 12/31/2009

Brinker Capital

Separate Account Manager: TURNER INVESTMENT PARTNERS INC.
Investment Discipline: QUANT SMID CORE

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
BRUKER CORPORATION	BRKR	134	$12.06	$1,616.04	$1,518.22	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
CARMAX INC	KMX	90	$24.25	$2,182.50	$1,789.20	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
CATALYST HEALTH SOLUTIONS INC	CHSI	57	$36.47	$2,078.79	$1,938.57	
COM	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
CELANESE CORP DEL COM SER A	CE	43	$32.10	$1,380.30	$1,279.68	$6.88
Estimated Yield 0.49%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
CENTERPOINT ENERGY INC	CNP	139	$14.51	$2,016.89	$1,844 53	$105 64
Estimated Yield 5 23%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
CENTRAL EUROPEAN DISTR CORP	CEDC	52	$28.41	$1,477.32	$1,449.76	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
CENTURYTEL INC	CTL	43	$36 21	$1,557.03	$1,530.37	$120.40
Estimated Yield 7 73%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
CLIFFS NAT RES INC COM	CLF	47	$46.09	$2,166.23	$2,070.82	$16.45
Estimated Yield 0.75%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
COMMERCIAL METALS CO	CMC	79	$15 65	$1,236 35	$1,256.10	$37 92
Estimated Yield 3 06%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/28/10						
COMMSCOPE INC	CTV	56	$26 53	$1,485 68	$1,407 28	
Dividend Option Cash	CASH					
Capital Gain Option Cash						

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
COMPUWARE CORP	CPWR	228	$7.23	$1,648.44	$1,582.32	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
CON WAY INC COM	CNW	55	$34.91	$1,920 05	$1,666.50	$22.00
Estimated Yield 1 14%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
COOPER COMPANIES INC	COO	44	$38.12	$1,677.28	unavailable	$2.64
Estimated Yield 0 15%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
COVENTRY HEALTH CARE INC	CVH	59	$24.29	$1,433.11	$1,330.45	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
CYBERSOURCE CORP	CYBS	124	$20.11	$2,493.64	$2,129.08	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
DEVRY INC	DV	39	$56.73	$2,212.47	unavailable	$7.80
Estimated Yield 0 35%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/07/10						
DIODES INC	DIOD	80	$20.41	$1,632.80	$1,403.20	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
EATON CORP	ETN	19	$63.62	$1,208.78	$1,214.10	$38.00
Estimated Yield 3.14%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
EATON VANCE CORP NON-VOTING	EV	48	$30 41	$1,459 68	$1,446.72	$30 72
Estimated Yield 2 10%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
ECHOSTAR CORP CL A	SATS	69	$20 14	$1,389.66	$1,340 67	
Dividend Option Cash	CASH					
Capital Gain Option Cash						

Account Number: BV2-012432
Account Name: TURNERQUAN

Statement Date: 12/01/2009 to 12/31/2009

Brinker Capital

Separate Account Manager: TURNER INVESTMENT PARTNERS INC.
Investment Discipline: QUANT SMID CORE

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
ENERGEN CORP	EGN	23	$46.80	$1,076.40	$1,000 50	$11.50
Estimated Yield 1.06%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
EQUINIX INC NEW	EQIX	17	$106 15	$1,804.55	$1,635.23	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
FIRST NIAGARA FINL GROUP INC NEW	FNFG	132	$13.91	$1,836.12	$1,741.08	$73.92
Estimated Yield 4.02%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
FOOT LOCKER INC	FL	161	$11 14	$1,793.54	unavailable	$96.60
Estimated Yield 5.38%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/29/10						
FULLER H B CO	FUL	86	$22 75	$1,956.50	$1,750.96	$23.39
Estimated Yield 1.19%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
FULTON FINL CORP PA	FULT	193	$8 72	$1,682 96	$1,663.66	$23.16
Estimated Yield 1.37%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/15/10						
GENWORTH FINL INC COM CL A	GNW	119	$11 35	$1,350.65	$1,281.63	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
GREENHILL & CO INC	GHL	16	$80 24	$1,283.84	$1,306.40	$28 80
Estimated Yield 2 24%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
GUESS? INC	GES	50	$42 30	$2,115.00	$1,852.50	$25 00
Estimated Yield 1.18%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: TURNER INVESTMENT PARTNERS INC.
Investment Discipline: QUANT SMID CORE

Brinker Capital

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
HANCOCK HOLDING CO	HBHC	52	$43.81	$2,278.12	$2,152.80	$49 92
Estimated Yield 2.19%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
HANOVER INS GROUP INC COM	THG	49	$44.43	$2,177.07	$2,039.87	$36.75
Estimated Yield 1.68%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
HAWAIIAN ELEC INDS	HE	82	$20.90	$1,713.80	unavailable	$101.68
Estimated Yield 5 93%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
HELIX ENERGY SOLUTIONS GROUP INC	HLX	124	$11.75	$1,457.00	$1,458.24	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
HELMERICH & PAYNE	HP	50	$39.88	$1,994.00	$1,877.50	$10.00
Estimated Yield 0.50%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 03/01/10						
HILL ROM HLDGS COM	HRC	76	$23.99	$1,823.24	$1,685.68	$31.16
Estimated Yield 1.70%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
INVERNESS MED INNOVATIONS INC	IMA	44	$41 51	$1,826.44	$1,850.20	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
ITT EDUCATIONAL SVCS INC	ESI	13	$95.96	$1,247.48	$1,182.74	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
JEFFERIES GROUP INC NEW	JEF	92	$23.73	$2,183.16	$1,242.85	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
JONES APPAREL GROUP	JNY	95	$16 06	$1,525.70	$1,610 25	$19.00
Estimated Yield 1 24%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						

Brinker Capital

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
LEGGETT & PLATT INC	LEG	76	$20.40	$1,550.40	unavailable	$79.04
Estimated Yield 5.09%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 01/15/10						
LKQ CORP	LKQX	81	$19.59	$1,586.79	$1,411.83	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
MARTEK BIOSCIENCES CORP	MATK	48	$18 95	$909.60	$835.20	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
MASSEY ENERGY CO	MEE	43	$42.01	$1,806.43	unavailable	$10.32
Estimated Yield 0.57%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
MATTEL INC	MAT	114	$19.98	$2,277.72	$2,218.44	$85.50
Estimated Yield 3.75%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
MEDICIS PHARMACEUTICAL CORP CL A	MRX	82	$27.05	$2,218.10	$1,934 38	$13.12
Estimated Yield 0.59%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 01/29/10						
MEMC ELECTRONICS MATERIALS INC	WFR	88	$13 62	$1,198 56	$1,059.52	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
MILLER HERMAN INC	MLHR	87	$15.99	$1,391.13	$1,321.53	$7.66
Estimated Yield 0.55%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/15/10						
MKS INSTRUMENTS INC	MKSI	74	$17 40	$1,287.60	$1,121.10	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
NAVISTAR INTL CORP	NAV	40	$38.65	$1,546 00	$1,320.40	
Dividend Option Cash	CASH					
Capital Gain Option Cash						

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
NICOR INC Estimated Yield 4 41% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 02/01/10	GAS CASH	36	$42.10	$1,515.60	$1,409.76	$66.96
NISOURCE INC Estimated Yield 5.98% Dividend Option Cash Capital Gain Option Cash	NI CASH	130	$15.38	$1,999.40	$1,852.50	$119.60
NORTHWEST BANCSHARES INC COM Dividend Option Cash Capital Gain Option Cash	NWBI CASH	186	$11 27	$2,096 22	unavailable	
PANERA BREAD COMPANY CL A Dividend Option Cash Capital Gain Option Cash	PNRA CASH	28	$66.94	$1,874.32	$1,762.88	
PLEXUS CORP Dividend Option Cash Capital Gain Option Cash	PLXS CASH	64	$28.48	$1,822.72	$1,738.24	
PROSPERITY BANCSHARES INC Estimated Yield 1.53% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/04/10	PRSP CASH	40	$40 47	$1,618.80	$1,593.20	$24.80
PSS WORLD MEDICAL INC Dividend Option Cash Capital Gain Option Cash	PSSI CASH	95	$22.60	$2,147.00	$1,838.25	
RENAISSANCERE HOLDINGS LTD COM STK USD1 Estimated Yield 1.80% Dividend Option Cash Capital Gain Option Cash	RNR CASH	30	$53.15	$1,594.50	$1,278.72	$28.80
ROBBINS & MYERS INC Estimated Yield 0.68% Dividend Option Cash Capital Gain Option Cash	RBN CASH	54	$23 52	$1,270.08	$1,241 46	$8.64
ROBERT HALF INTL INC Estimated Yield 1 79% Dividend Option Cash Capital Gain Option Cash	RHI CASH	78	$26.73	$2,084 94	$1,741.74	$37.44

Brinker Capital

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
SAPIENT CORP	SAPE	226	$8.27	$1,869.02	$1,663.36	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
SHAW GROUP INC	SHAW	46	$28.75	$1,322.50	$1,312.38	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
SKYWEST INC	SKYW	88	$16 92	$1,488.96	$1,294.48	$14.08
Estimated Yield 0 94%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/06/10						
SMUCKER J M CO NEW	SJM	35	$61.75	$2,161.25	$2,067.80	$49.00
Estimated Yield 2.26%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
TELEDYNE TECH INC	TDY	53	$38.36	$2,033.08	$1,776.56	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
TELETECH HOLDING INC	TTEC	100	$20.03	$2,003.00	$1,929.00	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
TRINITY INDS INC DEL FRMLY TEXAS	TRN	105	$17.44	$1,831.20	$1,981.35	$33.60
Estimated Yield 1.83%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/29/10						
UNIT CORP	UNT	64	$42.50	$2,720.00	$2,407.04	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
UNITED NATURAL FOODS INC	UNFI	59	$26 74	$1,577.66	$1,487 39	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
UNITRIN INC	UTR	99	$22 05	$2,182 95	$2,208.69	$79.20
Estimated Yield 3 62%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						

Brinker Capital

EQUITIES 93.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
VISHAY INTERTECH INC Dividend Option Cash Capital Gain Option Cash	VSH CASH	192	$8.35	$1,603.20	unavailable	
Total Equity				**$143,463.56**		**$1,762.55**
Total Equities				**$143,463.56**		**$1,762.55**
Total Securities				**$143,463.56**		**$1,762.55**

OTHER SECURITIES 5.59%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
BRANDYWINE RLTY TR SBI NEW Estimated Yield 5 26% Next Dividend Payable 01/20/10	BDN CASH	191	$11 40	$2,177 40	$1,875.62	$114 60
CHIMERA INVT CORP COM Estimated Yield 11.08% Next Dividend Payable 01/29/10	CIM CASH	412	$3.88	$1,598.56	$1,660.36	$177.16
DIGITAL RLTY TR INC COM Estimated Yield 3 58% Next Dividend Payable: 01/15/10	DLR CASH	30	$50 28	$1,508 40	$1,459.80	$54 00
KILROY REALTY CORP Estimated Yield 4 56% Next Dividend Payable: 01/15/10	KRC CASH	62	$30 67	$1,901.54	$1,868.06	$86 80
RAYONIER INC Estimated Yield 4.74%	RYN CASH	32	$42 16	$1,349.12	$1,271.68	$64.00
Total Other Securities				**$8,535.02**		**$496.56**

TOTAL PORTFOLIO VALUE	**$152,758.49**	**$2,259.11**

ACCOUNT ACTIVITY

TRADING

Account carried with National Financial Services LLC, Member NYSE, SIPC

Brinker Capital

DETAIL

PORTFOLIO VALUE

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts.

All inquiries or complaints regarding your account or the activity therein should be directed to Brinker Capital Securities, Inc., 1055 Westlakes Dr , Ste 250, Berwyn, PA 19312, 800-333-4573

CASH AND CASH EQUIVALENTS 5.15%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND - CAPITAL RESERVES CLASS	FPRXX CASH	11,006.34	$1.00	$11,006.34	$5,565.87	
7 DAY AVG NET YIELD .01%						
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Cash and Cash Equivalents				$11,006.34		

EQUITIES 94.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Equity						
ACCENTURE PLC CLS A USD0 0000225	ACN CASH	37	$41.50	$1,535.50	$2,216.16	$27 75
Estimated Yield 1.80%						
Dividend Option Cash						
Capital Gain Option Cash						
ALCATEL-LUCENT SPON ADR REP 1 EUR2 SER A	ALU CASH	393	$3 32	$1,304 76	$1,312.62	
Dividend Option Cash						
Capital Gain Option Cash						
ANHEUSER-BUSCH INBEV ADR EAH REP 1 ORD NPV	BUD CASH	57	$52.03	$2,965.71	$2,866.53	
Dividend Option Cash						
Capital Gain Option Cash						

YG 4/28/10

Brinker Capital

EQUITIES 94.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
ANTOFAGASTA ADR EACH REP 2 ORD GBP0.05(LVL1)(SPONS) Estimated Yield 0 56%	ANFGY CASH	81	$32 039	$2,595.16	$2,394.04	$14 63
ARCELORMITTAL NY REGISTRY SHARES Estimated Yield 1.67% Dividend Option Cash Capital Gain Option Cash	MT CASH	83	$45 75	$3,797.25	$3,259.41	$63.50
BAE SYSTEMS SPON ADR EA REP 4 ORD GBP.0.025(MGN JP) Estimated Yield 4 09%	BAESY CASH	130	$23.222	$3,018.86	$2,799.94	$123 46
BANCO SANTANDER BRASIL S A ADR REPSTG 1 UNIT Dividend Option Cash Capital Gain Option Cash	BSBR CASH	116	$13.94	$1,617.04	unavailable	
BANCO SANTANDER SA ADR EACH 1 REPR 1 ORD EUR0.50 Estimated Yield 4.28% Dividend Option Cash Capital Gain Option Cash	STD CASH	97	$16.44	$1,594.68	$4,792.10	$68.40
BARCLAYS ADR-EACH CV INTO 4 ORD STK GBP0.25(JPM) Estimated Yield 0.74% Dividend Option Cash Capital Gain Option Cash	BCS CASH	174	$17 60	$3,062.40	$3,433 02	$22.78
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644 Estimated Yield 1.01% Dividend Option Cash Capital Gain Option Cash	ABX CASH	53	$39.38	$2,087.14	$2,262.57	$21.20
BASF SE ADR-EACH REPR 1 ORD NPV LEVEL II Estimated Yield 3.01% Dividend Option Cash Capital Gain Option Cash	BASFY CASH	38	$62 756	$2,384.73	$2,294.02	$71.87
BHP BILLITON LIMITED ADR EACH REP 2 ORD NPV(MGT) Estimated Yield 2.14% Dividend Option Cash Capital Gain Option Cash	BHP CASH	44	$76 58	$3,369.52	$3,313 20	$72.16

YG 4/28/10

Brinker Capital

EQUITIES 94.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
BP PLC ADR (CNV INTO 6 ORD USD0.25 SHS) Estimated Yield 5.79% Dividend Option Cash Capital Gain Option Cash	BP CASH	66	$57.97	$3,826.02	$3,773.88	$221.76
BUNZL ADR EACH REPR 5 ORD GBP0.3214857 Estimated Yield 2 91% Dividend Option Cash Capital Gain Option Cash	BZLFY CASH	35	$54 501	$1,907.54	$1,792.07	$55.61
CAMECO CORP COM NPV ISIN #CA13321L1085 SEDOL #2166160 Dividend Option Cash Capital Gain Option Cash	CCJ CASH	116 266	$32.17	$3,740 28	$3,348.46	
CANON INC ADR EACH REP 1 ORD NPV(MGT) Estimated Yield 2.71% Dividend Option Cash Capital Gain Option Cash	CAJ CASH	76	$42.32	$3,216.32	$2,904.72	$87.46
CHANGYOU COM LTD SPON ADS REP 2 CL A SHS Dividend Option Cash Capital Gain Option Cash	CYOU CASH	104	$33.21	$3,453.84	$2,110.55	
CHINA MOBILE LTD SPON ADR REP 5 ORD HKD0.10 Estimated Yield 3.43% Dividend Option Cash Capital Gain Option Cash	CHL CASH	73	$46.43	$3,389.39	$3,421.51	$116.53
CNOOC LIMITED ADS EACH REP 100 ORD HKD0.02 Estimated Yield 2 98% Dividend Option Cash Capital Gain Option Cash	CEO CASH	10	$155.45	$1,554.50	$1,549 40	$46.45
CORUS ENTERTAINMENT INC CLASS B NON VTG NPV ISIN #CA2208741017 SEDOL #2484516 Dividend Option Cash Capital Gain Option Cash	CJR CASH	89	$18 80	$1,673.20	unavailable	

YG 4/28/10

Account Number: BV2-024970
Account Name: NB INTL

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: NEUBERGER BERMAN, LLC
Investment Discipline: INTERNATIONAL EQUITY

Brinker Capital

EQUITIES 94.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
CREDIT SUISSE GROUP AG SPN ADR-REP 1 ORD CHF0.40(REGD) Estimated Yield 0.18% Dividend Option Cash Capital Gain Option Cash	CS CASH	57	$49.16	$2,802.12	$2,987.37	$5.19
DEUTSCHE BOERSE AG UNSP ADR EACH REPR 0 10 ORD Estimated Yield 2.37%	DBOEY CASH	558	$8.32	$4,642.56	$4,681.62	$110 43
DIAGEO ADR EACH REPR 4 ORD GBX28.935185 Estimated Yield 3 26% Dividend Option Cash Capital Gain Option Cash	DEO CASH	40	$69.41	$2,776.40	$2,704.40	$90.59
EXPERIAN PLC ADR EACH REPR 1 ORD SHS Estimated Yield 1.78%	EXPGY CASH	474	$9.931	$4,707.29	$4,457.02	$84.14
FRESENIUS MEDICAL CARE AG & CO KGAA ADR EACH REPR 1 NPV Estimated Yield 1 05% Dividend Option Cash Capital Gain Option Cash	FMS CASH	79	$53.01	$4,187.79	$4,194.90	$44.18
GIVAUDAN AG ADR EACH REPR 0.5 ORD Estimated Yield 0.62%	GVDNY CASH	128	$16.10	$2,060.80	$2,028.80	$12.85
HSBC HLDGS ADR EACH REPR 5 ORD USD0.50 Estimated Yield 2.97% Dividend Option Cash Capital Gain Option Cash	HBC CASH	107	$57.09	$6,108.63	$6,314.07	$181.90
JUPITER TELECOMMUNICATIONS ADR EACH REPR 15 ORD Estimated Yield 0.53%	JUPIY CASH	65	$66.55	$4,325.75	$3,301.90	$23.02
KAO CORP SPONS ADR EACH REPR 1 COM NPV Estimated Yield 2 62%	KCRPY CASH	60	$23.363	$1,401.78	$1,476.58	$36.81
KDDI CORPORATION UNSP ADR EACH REPR 0 01 ORD Estimated Yield 2 45%	KDDIY CASH	69	$52 957	$3,654 03	$2,927 39	$89 57

YG 4/28/10

Account carried with National Financial Services LLC, Member NYSE, SIPC

Brinker Capital

EQUITIES 94.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
KONINKLIJKE AHOLD NV NEW ADR REP 1 EURO 25 Estimated Yield 1.34% Dividend Option Cash Capital Gain Option Cash	AHONY CASH	334	$13.286	$4,437.52	$4,502.65	$59.71
MTN GROUP LTD ADR EACH REPR 1 ORD ZAR0.0001(BNY) Estimated Yield 1.10%	MTNOY CASH	136	$16.011	$2,177.50	unavailable	$24.04
NESTLE SA SPON ADR EACH REPR 1 COM CHF0.10 REGD Estimated Yield 2.54%	NSRGY CASH	70	$48.561	$3,399.27	$3,309.53	$86.59
NINTENDO CO LTD ADR(8 CNV INTO 1 ORD)NPV Estimated Yield 4 72%	NTDOY CASH	97	$29.593	$2,870.52	$2,985.37	$135.53
NOBEL BIOCARE AG UNSP ADR EACH REPR 1/2 ORD Estimated Yield 0 83%	NBHGY CASH	173	$16.822	$2,910.21	$2,571 13	$24.25
NOVARTIS AG ADR-EACH REPR 1 CHF0.5(REGD) Estimated Yield 3.15% Dividend Option Cash Capital Gain Option Cash	NVS CASH	55	$54 43	$2,993 65	$3,058.00	$94.29
NOVO-NORDISK AS ADR-EACH CNV INTO 1 CLASS B DKK1 Estimated Yield 1.22% Dividend Option Cash Capital Gain Option Cash	NVO CASH	80.132	$63.85	$5,116.43	$5,346 41	$62.63
PETROLEO BRASILEIRO SA PETROBRAS ADS EACH REP 2 COM SH Estimated Yield 0.85% Dividend Option Cash Capital Gain Option Cash	PBR CASH	86	$47.68	$4,100.48	$4,410.08	$34.98
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA73755L1076 SEDOL #2696980 Estimated Yield 0 36% Dividend Option Cash Capital Gain Option Cash	POT CASH	21	$108.50	$2,278.50	$2,360 82	$8 40

YG 4/28/10

Account Number: BV2-024970
Account Name: NB INTL

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: NEUBERGER BERMAN, LLC
Investment Discipline: INTERNATIONAL EQUITY

Brinker Capital

EQUITIES 94.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
PUBLICIS GROUPE SA ADR EACH 1 REP 2 ORD EUR0.4 Estimated Yield 2 16% Dividend Option Cash Capital Gain Option Cash	PUBGY CASH	84	$20.445	$1,717.38	$1,616.79	$37.13
REED ELSEVIER ADR EACH ONE REPR 4 ORD GBP0.1444 Estimated Yield 3.96% Dividend Option Cash Capital Gain Option Cash	RUK CASH	85	$32.79	$2,787.15	$2,565.30	$110.45
ROCHE HOLDINGS AG SPN ADR EACH REP 0.25 GENUSSCHEI(BNY) Estimated Yield 1.56%	RHHBY CASH	87	$42.515	$3,698.81	$3,558.39	$57.87
SAGE GROUP ADR EACH REP 4 ORD GBP0.01 Estimated Yield 2.82%	SGPYY CASH	104	$14 211	$1,477.94	unavailable	$41.74
SAP AG SPON ADR EACH REP 1 ORD NPV Estimated Yield 1.07% Dividend Option Cash Capital Gain Option Cash	SAP CASH	66	$46 81	$3,089.46	$3,159.42	$33.07
SCHNEIDER ELECTRIC UNSPON ADR EA REPR 0.10 ORD SHS Estimated Yield 4.15%	SBGSY CASH	149	$11 733	$1,748.22	$1,630.51	$72.56
SEVEN & I HOLDINGS CO LTD UNSP ADR EACH REPR 2 ORD Estimated Yield 3.00%	SVNDY CASH	27	$40.754	$1,100.36	$1,211.71	$33.09
SGS SA UNSP ADR EACH REPR 0.01 ORD CHF1 Estimated Yield 2 02%	SGSOY CASH	336	$13.069	$4,391.18	$3,219.08	$88 78
SIEMENS AG ADR-EACH CNV INTO 1 ORD NPV Estimated Yield 1.67% Dividend Option Cash Capital Gain Option Cash	SI CASH	35	$91 70	$3,209.50	$3,451 70	$53 63

YG 4/28/10

Brinker Capital

EQUITIES 94.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
SMITH & NEPHEW ADR EACH REPR 5 USD0 20 Estimated Yield 1.32% Dividend Option Cash Capital Gain Option Cash	SNN CASH	73	$51.25	$3,741.25	$3,489.40	$49.57
SOCIEDAD QUIMICA Y MINERA DE CHILE ADR-EACH REPR 1 PRF SER B Estimated Yield 2.69% Dividend Option Cash Capital Gain Option Cash	SQM CASH	96	$37.57	$3,606.72	$3,626.88	$97.28
SOCIETE GENERALE SPON ADR-EACH 5 CNV INTO 1 ORD EUR1.25 Estimated Yield 2.18%	SCGLY CASH	162	$14.046	$2,275.45	$2,280.96	$49 73
SODEXO SPONS ADR EACH REPR 1 COM EUR4 Estimated Yield 2.84% Dividend Option Cash Capital Gain Option Cash	SDXAY CASH	54	$57.196	$3,088.58	$3,032.10	$87.97
STERLITE INDUSTRIES ADS EACH REPR 1 ORD INR2 Estimated Yield 0 32% Dividend Option Cash Capital Gain Option Cash	SLT CASH	197	$18.22	$3,589.34	$3,616.92	$11.48
SUN HUNG KAI PROPERTIES LTD SPND ADR EACH REPR 1 ORD HKD Estimated Yield 1.88%	SUHJY CASH	105	$14.999	$1,574.90	$1,556.63	$29 66
SWEDBANK AB ADR EACH REP 1 SER A SEK20(MGT)	SWDBY CASH	283	$9.944	$2,814.15	$2,696.71	
TALISMAN ENERGY INC COM NPV ISIN #CA87425E1034 SEDOL #2068299 Dividend Option Cash Capital Gain Option Cash	TLM CASH	175	$18.64	$3,262.00	$3,055.50	
TESCO ADR EACH REPR 3 ORD (JPM) Estimated Yield 2.69%	TSCDY CASH	175	$20.735	$3,628 63	$3,644.55	$97 62
TNT NV SPONS ADR EACH REP 1ORD NLG1 Estimated Yield 1.64% Dividend Option Cash Capital Gain Option Cash	TNTTY CASH	142	$30 847	$4,380 27	$4,118.85	$72.10

YG 4/28/10

Brinker Capital

EQUITIES 94.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
TOYOTA MOTOR CORP ADR-EACH REP 2 ORD NPV LVLIII(SPON) Estimated Yield 1.40% Dividend Option Cash Capital Gain Option Cash	TM CASH	36	$84 16	$3,029.76	$2,356.20	$42.62
ULTRAPAR PARTICIPACOES SA SPON ADR EACH REPR 1 PFD SHS LVL III Estimated Yield 1.83% Dividend Option Cash Capital Gain Option Cash	UGP CASH	115	$46.90	$5,393.50	$5,439.50	$99.13
UNILEVER NV EUR0 16(NEW YORK SHARES) Estimated Yield 3 36% Dividend Option Cash Capital Gain Option Cash	UN CASH	181	$32 33	$5,851.73	$5,576.61	$196.69
UNITED OVERSEAS BANK LTD SPRD ADR-EACH CNV INTO 2 ORD NPV Estimated Yield 2.95%	UOVEY CASH	128	$28 064	$3,592.19	$3,481.98	$105.98
VODAFONE GROUP SPON ADR REP 10 ORD USD0.11428571 Estimated Yield 5.21% Dividend Option Cash Capital Gain Option Cash	VOD CASH	313	$23.09	$7,227.17	$7,101.97	$377.11
WILLIS GROUP HOLDINGS COM STK USD0.000115 Dividend Option Cash Capital Gain Option Cash	WSH CASH	130	$26.38	$3,429.40	$3,529.50	
Total Equity				$202,750.11		$4,067.92
Total Equities				$202,750.11		$4,067.92
Total Securities				$202,750.11		$4,067.92
TOTAL PORTFOLIO VALUE				$213,756.45		$4,067.92

ACCOUNT ACTIVITY

YG 4/28/10

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: GOLDMAN SACHS ASSET MANAGEMENT, L.P
Investment Discipline: LARGE CAP GROWTH

Brinker Capital

PORTFOLIO VALUE

All inquiries or complaints regarding your account or the activity therein should be directed to Brinker Capital Securities, Inc., 1055 Westlakes Dr, Ste 250, Berwyn, PA 19312, 800-333-4573

CASH AND CASH EQUIVALENTS 2.52%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND - CAPITAL RESERVES CLASS	FPRXX CASH	8,076.23	$1 00	$8,076 23	$9,874.05	
7 DAY AVG NET YIELD .01%						
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Cash and Cash Equivalents				$8,076.23		

EQUITIES 97.48%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Equity						
ABB LTD ADR EACH REPR 1 CHF2 50(SPON)	ABB CASH	72	$19.10	$1,375.20	$1,321.92	$31.32
Estimated Yield 2.27%						
Dividend Option Cash						
Capital Gain Option Cash						
AMERICAN TOWER CORP	AMT CASH	216	$43.21	$9,333.36	$8,838.72	
Dividend Option Cash						
Capital Gain Option Cash						
APPLE INC	AAPL CASH	69	$210 732	$14,540 51	$13,793 79	
Dividend Option Cash						
Capital Gain Option Cash						
AVON PRODUCTS INC	AVP CASH	119	$31 50	$3,748.50	$4,075.75	$99.96
Estimated Yield 2.66%						
Dividend Option Cash						
Capital Gain Option Cash						
BARD C R INC	BCR CASH	34	$77.90	$2,648.60	$2,795.14	$23.12
Estimated Yield 0.87%						
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 02/05/10						

YG 4/28/10

Statement Date: 12/01/2009 to 12/31/2009

Brinker Capital

Separate Account Manager: GOLDMAN SACHS ASSET MANAGEMENT, L.P
Investment Discipline: LARGE CAP GROWTH

EQUITIES 97.48%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
BAXTER INTL INC	BAX	169	$58 68	$9,916.92	$7,527.90	$196.04
Estimated Yield 1.97%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/05/10						
BIOGEN IDEC INC	BIIB	111	$53.50	$5,938.50	$3,802 14	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
BROADCOM CORP CL A	BRCM	238	$31.47	$7,489.86	$6,949.60	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
BURLINGTON NORTHERN SANTA FE CORP	BNI	32	$98.62	$3,155.84	$3,145.60	$51.20
Estimated Yield 1.62%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/04/10						
CISCO SYS INC	CSCO	470	$23.94	$11,251.80	$10,998.00	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
CME GROUP INC	CME	21	$335.96	$7,055.16	$8,533.98	$96.60
Estimated Yield 1.36%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
COCA COLA CO	KO	101	$57.00	$5,757.00	$5,777.20	$165.64
Estimated Yield 2.87%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
COSTCO WHOLESALE CORP	COST	133	$59.17	$7,869.61	$6,230.64	$95.76
Estimated Yield 1.21%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
CROWN CASTLE INTL CORP	CCI	179	$39 04	$6,988.16	$6,567 51	
Dividend Option Cash	CASH					
Capital Gain Option Cash						

YG 4/28/10

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement Date: 12/01/2009 to 12/31/2009

Brinker Capital

Separate Account Manager: GOLDMAN SACHS ASSET MANAGEMENT, L.P
Investment Discipline: LARGE CAP GROWTH

EQUITIES 97.48%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
DANAHER CORP Estimated Yield 0.21% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/29/10	DHR CASH	46	$75.20	$3,459.20	$3,262.32	$7.36
EOG RESOURCES INC Estimated Yield 0.59% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 01/29/10	EOG CASH	17	$97.30	$1,654.10	$1,470.33	$9.86
EXPRESS SCRIPTS INC COM FORMERLY CL A Dividend Option Cash Capital Gain Option Cash	ESRX CASH	49	$86.42	$4,234 58	$9,094.80	
GILEAD SCIENCES INC Dividend Option Cash Capital Gain Option Cash	GILD CASH	126	$43.27	$5,452.02	$5,809 86	
GOOGLE INC CL A Dividend Option Cash Capital Gain Option Cash	GOOG CASH	8	$619.98	$4,959 84	$4,664.00	
HALLIBURTON CO HOLDING CO FRMLY HALLIBURTON CO Estimated Yield 1.19% Dividend Option Cash Capital Gain Option Cash	HAL CASH	206	$30 09	$6,198.54	$4,932.48	$74.16
JOHNSON & JOHNSON Estimated Yield 3 04% Dividend Option Cash Capital Gain Option Cash	JNJ CASH	184	$64.41	$11,851.44	$10,368.60	$360 64
JPMORGAN CHASE & CO Estimated Yield 0.48% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/31/10	JPM CASH	78	$41.67	$3,250.26	unavailable	$15.60
KRAFT FOODS INC CL A Estimated Yield 4 26% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/13/10	KFT CASH	84	$27 18	$2,283 12	$2,232.72	$97 44

YG 4/28/10

Account Number: BV2-032751
Account Name: GS LCG

Statement Date: 12/01/2009 to 12/31/2009

Brinker Capital

Separate Account Manager: GOLDMAN SACHS ASSET MANAGEMENT, L.P
Investment Discipline: LARGE CAP GROWTH

EQUITIES 97.48%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
LOWES COMPANIES	LOW	295	$23.39	$6,900.05	$7,655.31	$106 20
Estimated Yield 1.53%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable: 02/03/10						
MARRIOTT INTL INC CL A	MAR	110	$27 25	$2,997.50	$2,829.20	$38 50
Estimated Yield 1.28%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
MCDONALDS CORP	MCD	87	$62.44	$5,432.28	$5,502.75	$191.40
Estimated Yield 3.52%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
MERCK & CO INC NEW COM	MRK	138	$36.54	$5,042.52	$4,996.98	$209.76
Estimated Yield 4.16%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/08/10						
MICROSOFT CORP	MSFT	397	$30 48	$12,100 56	$11,675 77	$206.44
Estimated Yield 1.70%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable: 03/11/10						
MORGAN STANLEY	MS	146	$29.60	$4,321.60	$4,610.68	$29 20
Estimated Yield 0.67%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
NIKE INC CLASS B	NKE	60	$66.07	$3,964 20	$3,893.40	$64.80
Estimated Yield 1 63%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/04/10						
NORTHERN TR CORP	NTRS	88	$52.40	$4,611 20	$4,355.12	$98.56
Estimated Yield 2.13%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/04/10						

YG 4/28/10

Brinker Capital

EQUITIES 97.48%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
OCCIDENTAL PETROLEUM CORP	OXY	63	$81.35	$5,125.05	$3,070.02	$83.16
Estimated Yield 1.62%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 01/15/10						
ORACLE CORPORATION	ORCL	439	$24.53	$10,768.67	$9,693.12	$87.80
Estimated Yield 0.81%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 02/09/10						
PEOPLES UTD FINL INC	PBCT	169	$16.70	$2,822.30	$2,753.01	$103.09
Estimated Yield 3.65%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
PEPSICO INC	PEP	188	$60.80	$11,430.40	$10,390.74	$338 40
Estimated Yield 2.96%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/04/10						
PRAXAIR INC	PX	62	$80 31	$4,979.22	$5,085.86	$99.20
Estimated Yield 1 99%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
PROCTER & GAMBLE CO	PG	182	$60.63	$11,034 66	$11,347.70	$320.32
Estimated Yield 2.90%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
QUALCOMM INC	QCOM	232	$46 26	$10,732.32	$10,440 00	$157 76
Estimated Yield 1.47%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
SCHLUMBERGER LIMITED COM STK	SLB	133	$65.09	$8,656.97	$8,497.37	$111.72
USD0.01	CASH					
Estimated Yield 1 29%						
Dividend Option Cash						
Capital Gain Option Cash						

YG 4/28/10

Account Number: BV2-032751
Account Name: GS LCG

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: GOLDMAN SACHS ASSET MANAGEMENT, L.P
Investment Discipline: LARGE CAP GROWTH

Brinker Capital

EQUITIES 97.48%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
SCHWAB CHARLES CORP NEW	SCHW	335	$18 82	$6,304.70	$6,140.55	$80.40
Estimated Yield 1.27%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
ST JUDE MEDICAL INC	STJ	195	$36.78	$7,172.10	$7,158.45	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
STAPLES INC	SPLS	231	$24 59	$5,680.29	$5,386.92	$76.23
Estimated Yield 1 34%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 01/14/10						
STARWOOD HOTELS & RESORTS	HOT	61	$36.57	$2,230.77	$1,953 22	$12.20
WORLDWIDE INC COM	CASH					
Estimated Yield 0.54%						
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 01/14/10						
SUNCOR ENERGY COM NPV NEW ISIN	SU	121	$35.31	$4,272.51	$4,381.41	
#CA8672241079 SEDOL #B3NB1P2	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
TARGET CORP	TGT	162	$48.37	$7,835.94	$7,542.72	$110.16
Estimated Yield 1.40%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
TEVA PHARMACEUTICAL INDUSTRIES	TEVA	89	$56.18	$5,000.02	$4,698.31	$42.94
ADR-EACH CNV INTO 1 ORD ILS0.10	CASH					
Estimated Yield 0.85%						
Dividend Option Cash						
Capital Gain Option Cash						
THERMO FISHER SCIENTIFIC INC	TMO	86	$47.69	$4,101.34	$4,061.78	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
UNITED TECHNOLOGIES CORP	UTX	70	$69 41	$4,858 70	$4,706 80	$107.80
Estimated Yield 2.21%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						

YG 4/28/10

EQUITIES 97.48%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
VIACOM INC NEW CL B	VIAB	94	$29.73	$2,794.62	$2,786.16	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
VISA INC COM CL A	V	63	$87.46	$5,509.98	$6,075.00	$31.50
Estimated Yield 0.57%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
WESTERN UNION CO COM	WU	291	$18.85	$5,485.35	$5,368.95	$17.46
Estimated Yield 0 31%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Total Equity				$312,577.94		$4,049.70
Total Equities				$312,577.94		$4,049.70
Total Securities				$312,577.94		$4,049.70
TOTAL PORTFOLIO VALUE				$320,654.17		$4,049.70

ACCOUNT ACTIVITY

TRADING

Securities Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/01/09	CASH	YOU BOUGHT	PEPSICO INC @ 62.9995	21	($1,322.99)
12/07/09	CASH	YOU BOUGHT	BAXTER INTL INC CROSS TRADE EXEC ON MULT EXCHG DETAILS ON REQUEST AVERAGE PRICE TRADE DETAILS ON REQUEST @ 55.818017	14	($781.45)
12/09/09	CASH	YOU BOUGHT	COSTCO WHOLESALE CORP AVERAGE PRICE TRADE DETAILS ON REQUEST @ 59 325154	29	($1,720.43)

YG 4/28/10

Brinker Capital

PORTFOLIO VALUE

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

All inquiries or complaints regarding your account or the activity therein should be directed to Brinker Capital Securities, Inc , 1055 Westlakes Dr , Ste 250, Berwyn, PA 19312, 800-333-4573

CASH AND CASH EQUIVALENTS 1.74%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND - CAPITAL RESERVES CLASS 7 DAY AVG NET YIELD .01% Dividend Option Reinvest Capital Gain Option Reinvest	FPRXX CASH	4,984.97	$1.00	$4,984.97	$5,002.20	
Total Cash and Cash Equivalents				$4,984.97		

EQUITIES 98.26%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Equity						
ABBOTT LABORATORIES Estimated Yield 2.96% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable· 02/15/10	ABT CASH	116	$53.99	$6,262.84	$6,320.84	$185.60
ACCENTURE PLC CLS A USD0 0000225 Estimated Yield 1 80% Dividend Option Cash Capital Gain Option Cash	ACN CASH	196	$41 50	$8,134.00	$8,043 84	$147 00
ALLSTATE CORP Estimated Yield 2 66% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 01/05/10	ALL CASH	128	$30.04	$3,845 12	$3,636.48	$102 40

YG 4/28/10

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: BV2-048291
Account Name: MFS LCV

Statement Date: 12/01/2009 to 12/31/2009

Brinker Capital

Separate Account Manager: MFS INVESTMENT ADVISORS, INC
Investment Discipline: LARGE CAP VALUE

EQUITIES 98.26%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
AON CORP	AON	86	$38.34	$3,297.24	$3,330.78	$51.60
Estimated Yield 1.56%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
APACHE CORP	APA	70	$103.17	$7,221.90	$6,669.60	$42.00
Estimated Yield 0.58%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 02/22/10						
APOLLO GROUP INC CL A	APOL	29	$60 58	$1,756.82	$1,655 03	
Dividend Option Cash	CASH					
Capital Gain Option Cash						
AT&T INC COM	T	366	$28.03	$10,258.98	$9,860.04	$614.88
Estimated Yield 5.99%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 02/01/10						
BANK NEW YORK MELLON CORP	BK	269	$27.97	$7,523.93	$7,166.16	$96.84
Estimated Yield 1.28%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
BECTON DICKINSON CO	BDX	18	$78 86	$1,419.48	unavailable	$26.64
Estimated Yield 1.87%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/04/10						
CHEVRON CORP NEW	CVX	110	$76.99	$8,468.90	$8,584.40	$299.20
Estimated Yield 3.53%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
CHUBB CORP	CB	81	$49 18	$3,983.58	$4,061 34	$113 40
Estimated Yield 2.84%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/12/10						
CVS CAREMARK CORP	CVS	58	$32.21	$1,868 18	$3,225.04	$17.69
Estimated Yield 0 94%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						

YG 4/28/10

Brinker Capital

EQUITIES 98.26%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
DEVON ENERGY CORP NEW Estimated Yield 0.87% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/31/10	DVN CASH	37	$73.50	$2,719.50	$2,491.95	$23.68
DIAGEO ADR EACH REPR 4 ORD GBX28.935185 Estimated Yield 3 26% Dividend Option Cash Capital Gain Option Cash	DEO CASH	71	$69 41	$4,928.11	$4,800.31	$160.80
DISNEY WALT CO DEL (HOLDING COMPANY) Estimated Yield 1.08% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 01/19/10	DIS CASH	114	$32.25	$3,676.50	$3,445.08	$39.90
EATON CORP Estimated Yield 3.14% Dividend Option Cash Capital Gain Option Cash	ETN CASH	39	$63.62	$2,481.18	$2,492.10	$78.00
EXXON MOBIL CORP Estimated Yield 2.46% Dividend Option Cash Capital Gain Option Cash	XOM CASH	95	$68.19	$6,478.05	$7,131.65	$159.60
GENERAL MILLS INC Estimated Yield 2.76% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 02/01/10	GIS CASH	35	$70.81	$2,478.35	$2,380.00	$68.60
GOLDMAN SACHS GROUP INC Estimated Yield 0 82% Dividend Option Cash Capital Gain Option Cash	GS CASH	54	$168 84	$9,117.36	$9,161.64	$75.60
HASBRO INC Estimated Yield 2.49% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 02/16/10	HAS CASH	134	$32.06	$4,296.04	$3,973.10	$107.20

YG 4/28/10

Account Number: BV2-048291
Account Name: MFS LCV

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: MFS INVESTMENT ADVISORS, INC
Investment Discipline: LARGE CAP VALUE

Brinker Capital

EQUITIES 98.26%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
HESS CORP COM	HES	104	$60.50	$6,292.00	$6,027.84	$41.60
Estimated Yield 0.66%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/04/10						
INTEL CORP	INTC	266	$20.40	$5,426.40	$5,107.20	$148.96
Estimated Yield 2 74%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
INTL BUSINESS MACH	IBM	44	$130.90	$5,759.60	$5,559.40	$96.80
Estimated Yield 1 68%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
JOHNSON & JOHNSON	JNJ	101	$64.41	$6,505.41	$6,346.84	$197.96
Estimated Yield 3.04%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
JPMORGAN CHASE & CO	JPM	208	$41.67	$8,667.36	$8,837.92	$41.60
Estimated Yield 0 48%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/31/10						
KIMBERLY CLARK CORP	KMB	62	$63.71	$3,950 02	$4,090.14	$148 80
Estimated Yield 3.76%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable: 01/05/10						
KROGER CO	KR	222	$20.53	$4,557.66	$3,615.66	$84.36
Estimated Yield 1 85%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
LOCKHEED MARTIN CORP	LMT	174	$75.35	$13,110.90	$14,210.32	$438.48
Estimated Yield 3.34%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						

YG 4/28/10

Brinker Capital

EQUITIES 98.26%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
MEDTRONIC INC	MDT	145	$43.98	$6,377.10	$6,153.80	$118.90
Estimated Yield 1.86%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/29/10						
MERCK & CO INC NEW COM	MRK	59	$36.54	$2,155 86	$3,548 58	$89.68
Estimated Yield 4.16%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/08/10						
METLIFE INC COM	MET	229	$35 35	$8,095.15	$7,829 51	$169.46
Estimated Yield 2.09%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
NESTLE SA SPON ADR EACH REPR 1	NSRGY	132	$48.561	$6,410.05	$6,240.83	$163.28
COM CHF0 10 REGD	CASH					
Estimated Yield 2.54%						
NIKE INC CLASS B	NKE	48	$66.07	$3,171.36	$3,114.72	$51.84
Estimated Yield 1 63%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable: 01/04/10						
NORTHROP GRUMMAN CORP HOLDING CO	NOC	168	$55.85	$9,382 80	$9,206.40	$288.96
Estimated Yield 3 08%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
ORACLE CORPORATION	ORCL	253	$24.53	$6,206.09	$4,901.76	$50.60
Estimated Yield 0.81%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 02/09/10						
PEPSICO INC	PEP	60	$60.80	$3,648.00	$3,733.20	$108.00
Estimated Yield 2.96%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 01/04/10						

YG 4/28/10

Account Number: BV2-048291
Account Name: MFS LCV

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: MFS INVESTMENT ADVISORS, INC
Investment Discipline: LARGE CAP VALUE

Brinker Capital

EQUITIES 98.26%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
PFIZER INC	PFE	490	$18 19	$8,913.10	$8,903.30	$352.80
Estimated Yield 3.95%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 03/02/10						
PG & E CORP	PCG	132	$44.65	$5,893.80	$5,588 88	$221.76
Estimated Yield 3 76%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable. 01/15/10						
PHILIP MORRIS INTL INC COM	PM	222	$48.19	$10,698.18	$10,675.98	$515 04
Estimated Yield 4.81%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/11/10						
PPG INDUSTRIES	PPG	129	$58 54	$7,551.66	$7,666.47	$278.64
Estimated Yield 3.69%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
PRUDENTIAL FINL INC	PRU	59	$49 76	$2,935.84	$1,645.05	$41.30
Estimated Yield 1 40%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
PUBLIC SERVICE ENTERPRISE GROUP INC	PEG	162	$33.25	$5,386.50	$5,080.32	$215.46
	CASH					
Estimated Yield 4.00%						
Dividend Option Cash						
Capital Gain Option Cash						
SHERWIN WILLIAMS CO	SHW	48	$61.65	$2,959.20	$2,920.32	$68.16
Estimated Yield 2 30%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
STATE STREET CORP	STT	79	$43 54	$3,439.66	$3,262.70	$3.16
Estimated Yield 0.09%	CASH					
Dividend Option Cash						
Capital Gain Option Cash						
Next Dividend Payable 01/19/10						

YG 4/28/10

Statement Date: 12/01/2009 to 12/31/2009

Brinker Capital

Separate Account Manager: MFS INVESTMENT ADVISORS, INC
Investment Discipline: LARGE CAP VALUE

EQUITIES 98.26%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
TOTAL ADR EACH REP 1 ORD SHS EUR10 Estimated Yield 5 11% Dividend Option Cash Capital Gain Option Cash	TOT CASH	134	$64 04	$8,581.36	$8,333 46	$439.14
TRAVELERS COS INC COM Estimated Yield 2.64% Dividend Option Cash Capital Gain Option Cash	TRV CASH	83	$49 86	$4,138.38	$4,348.37	$109 56
UNITED TECHNOLOGIES CORP Estimated Yield 2.21% Dividend Option Cash Capital Gain Option Cash	UTX CASH	83	$69.41	$5,761.03	$5,580.92	$127.82
VODAFONE GROUP SPON ADR REP 10 ORD USD0.11428571 Estimated Yield 5.21% Dividend Option Cash Capital Gain Option Cash	VOD CASH	247	$23.09	$5,703.23	$5,604 43	$297.59
WELLS FARGO & CO NEW Estimated Yield 0 74% Dividend Option Cash Capital Gain Option Cash	WFC CASH	227	$26.99	$6,126.73	$4,822 88	$45.40
3M COMPANY Estimated Yield 2 46% Dividend Option Cash Capital Gain Option Cash	MMM CASH	35	$82.67	$2,893.45	$2,710 40	$71.40
Total Equity				$280,913.94		$7,437.14
Total Equities				$280,913.94		$7,437.14
Total Securities				$280,913.94		$7,437.14
TOTAL PORTFOLIO VALUE				$285,898.91		$7,437.14

ACCOUNT ACTIVITY

YG 4/28/10

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: BV3-014826
Account Name: ABS ASFIX

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: MUTUAL FUND/ETF
Investment Discipline: MANAGED ACCOUNT

Brinker Capital

All inquiries or complaints regarding your account or the activity therein should be directed to Brinker Capital Securities, Inc , 1055 Westlakes Dr., Ste 250, Berwyn, PA 19312, 800-333-4573

CASH AND CASH EQUIVALENTS 0.26%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND - CAPITAL RESERVES CLASS	FPRXX CASH	280.82	$1 00	$280.82	$280.82	
7 DAY AVG NET YIELD .01%						
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Cash and Cash Equivalents				**$280.82**		

MUTUAL FUNDS 99.74%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Equity						
ABSOLUTE STRATEGIES INSTITUTIONAL	ASFIX CASH	10,205.883	$10.45	$106,651.48	$107,023 19	$1,385 55
Estimated Yield 1 29%						
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Mutual Funds				**$106,651.48**		**$1,385.55**
Total Securities				**$106,651.48**		**$1,385.55**
TOTAL PORTFOLIO VALUE				**$106,932.30**		**$1,385.55**

ACCOUNT ACTIVITY

TRADING

YG 4/28/10

Account carried with National Financial Services LLC, Member NYSE, SIPC

INVESTMENTS - LAND, BUILDINGS, EQUIPMENT

ATTACHMENT 10

		FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
LAND	L	480,000			480,000				
BUILDING - 2447-55B	SL	1,501,579			1,501,579.	240,638	38,502.		279,140.
TOTALS		1,981,579.			1,981,579.	240,638.			279,140.

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 11

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ROYALTY INTEREST	41,002.	41,002.
TOTALS	41,002.	41,002.

FORM 990PF, PART II - OTHER LIABILITIES

ATTACHMENT 12

DESCRIPTION		ENDING BOOK VALUE
DEPOSITS		0.
	TOTALS	0.

ATTACHMENT 13

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
RENTAL INCOME- AGREE TO 1099 MISC		82.
	TOTAL	82.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
EDWARD KLEIN 2211 NORFOLK, SUITE 1050 HOUSTON, TX 77098	TRUSTEE 4.00	0.	0.	0.
MARTHA LOTTMAN 153 19TH NW CANTON, OH 44709	TRUSTEE 4.00	0.	0.	0.
SHIRLEY MARKEY 200 PATTERSON AVE. #202 SAN ANTONIO, TX 78209	TRUSTEE 2.00	0.	0.	0.
BEN KLEIN 12431 MOSSEYCUP HOUSTON, TX 77024	TRUSTEE 2.00	0.	0.	0.
GLEN ROSENBAUM 1001 FANNIN ST., SUITE 2300 HOUSTON, TX 77002	TRUSTEE 2.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

EDWARD J. KLEIN, TRUSTEE
2211 NORFOLK, SUITE 1050
HOUSTON, TX 77098
713-533-4400

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

LETTER REQUESTING FUNDS OR ORAL PRESENTATION. INFORMATION SHOULD BE SUPPLIED CONCERNING ORGANIZATION'S PURPOSE.

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CONGREGATION EMANU EL 1500 SUNSET BLVD HOUSTON, TX 77005	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG IN ITS CHARITABLE PURPOSE	8,000.
KLEIN LOTTMAN FAMILY FUND 153 19TH STREET NW CANTON, OH 44709	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG IN ITS CHARITABLE PURPOSE	20,000
JEWISH FEDERATION OF GREATER HOUSTON PO BOX 200568 HOUSTON, TX 77216	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG IN ITS CHARITABLE PURPOSE	115,000.
SAN ANTONIO AIDS FOUNDATION 818 E GRAYSON SAN ANTONIO, TX 78208	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG. IN ITS CHARITABLE PURPOSE	10,000
GOOD NEIGHBOR HEALTHCARE CENTER 190 HEIGHTS BLVD HOUSTON, TX 77007	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG. IN ITS CHARITABLE PURPOSE	20,000.
TEXAS A&M HILLEL FOUNDATION 800 GEORGE BUSH DRIVE COLLEGE STATION, TX 77840	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG. IN ITS CHARITABLE PURPOSE	22,500.

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JEWISH FAMILY SERVICES 4131 S. BRAESWOOD BLVD HOUSTON, TX 77225	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG. IN ITS CHARITABLE PURPOSE	167,680.
PLANNED PARENTHOOD OF SAN ANTONIO 104 BABCOCK ROAD SAN ANTONIO, TX 78201	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG. IN ITS CHARITABLE PURPOSE	10,000.
HOUSTON GRAND OPERA ASSOCIATION, INC 510 PRESTON 5TH FLOOR HOUSTON, TX 77002	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG. IN ITS CHARITABLE PURPOSE	7,500.
TEXAS HILLEL FOUNDATION 2105 SAN ANTONIO ST AUSTIN, TX 78705-5521	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG. IN ITS CHARITABLE PURPOSE	7,500.
SEVEN ACRES JEWISH SENIOR CARE SERVICES 6200N BRAESWOOD HOUSTON, TX 77074	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG. IN ITS CHARITABLE PURPOSE	450.
AMERICAN JEWISH COMMITTEE 3355 W ALABAMA, SUITE 710 HOUSTON, TX 77098	N/A - NONE EXEMPT PUBLIC CHARITY	TO ASSIST THE ORG IN ITS CHARITABLE PURPOSE	1,730.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 18

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
ORDINARY INCOME FROM PTSHP SCH K-1				3.	
TOTALS				3.	

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
ORDINARY INCOME FROM PTSHP SCH K-1				3.	
TOTALS				3.	