Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
LULU BRYAN RAMBAUD CHARITABLE TRUST
ATTN: BRUCE A. ATKINS, TRUSTEE

Number and street (or P O box number if mail is not delivered to street address) Room/suite
CHRIST CHURCH CATHEDRAL, 1117 TEXAS AVE

City or town, state, and ZIP code
HOUSTON, TX 77002

A Employer identification number
74-6033114

B Telephone number
713-651-9400

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation
☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,598,652.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,005.	1,005.		STATEMENT 1
	4	Dividends and interest from securities	39,297.	39,297.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-134,460.			
	b	Gross sales price for all assets on line 6a 1,102,102.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	43,666.	43,666.		STATEMENT 3
	12	**Total.** Add lines 1 through 11	-50,492.	83,968.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 4	1,500.	1,500.		0.
	c	Other professional fees STMT 5	12,980.	12,980.		0.
	17	Interest				
	18	Taxes STMT 6	18,426.	3,133.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 7	26.	26.		0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	32,932.	17,639.		0.
	25	Contributions, gifts, grants paid	68,000.			68,000.
	26	**Total expenses and disbursements.** Add lines 24 and 25	100,932.	17,639.		68,000.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-151,424.			
	b	**Net investment income** (if negative, enter -0-)		66,329.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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	Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	233,760.	106,858.	106,858.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	280,644.	252,397.	250,728.
	b Investments - corporate stock STMT 9	1,014,153.	820,954.	904,072.
	c Investments - corporate bonds STMT 10	0.	196,924.	202,925.
	11 Investments - land, buildings, and equipment: basis ▶ 266. Less accumulated depreciation ▶	266.	266.	3,071.
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15 Other assets (describe ▶)	0.	0.	130,998.
	16 **Total assets** (to be completed by all filers)	1,528,823.	1,377,399.	1,598,652.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	795,192.	795,192.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	733,631.	582,207.	
	30 **Total net assets or fund balances**	1,528,823.	1,377,399.	
	31 **Total liabilities and net assets/fund balances**	1,528,823.	1,377,399.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,528,823.
2	Enter amount from Part I, line 27a	2	-151,424.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,377,399.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,377,399.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,102,102.		1,236,562.	-134,460.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				-134,460.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-134,460.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	71,000.	1,509,240.	.047044
2007	74,000.	1,473,479.	.050221
2006	67,800.	1,414,672.	.047926
2005	65,000.	1,391,587.	.046709
2004	40,900.	1,377,613.	.029689

2	**Total** of line 1, column (d)	2	.221589
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044318
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,454,419.
5	Multiply line 4 by line 3	5	64,457.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	663.
7	Add lines 5 and 6	7	65,120.
8	Enter qualifying distributions from Part XII, line 4	8	68,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

LULU BRYAN RAMBAUD CHARITABLE TRUST
Form 990-PF (2009) ATTN: BRUCE A. ATKINS, TRUSTEE 74-6033114

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	663.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	663.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	663.
6 Credits/Payments:				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	8,300.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	8,300.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	7,637.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶		7,637. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CHRIST CHURCH CATHEDRAL Telephone no. ▶ (713)222-2593

Located at ▶ 1117 TEXAS AVENUE, HOUSTON TX ZIP+4 ▶ 77002

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☒

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 0.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A 5b

Organizations relying on a current notice regarding disaster assistance check here ▶☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRUCE A. ATKINS 1117 TEXAS AVE HOUSTON, TX 77002	TRUSTEE 0.00	0.	0.	0.
PHILLIPPA E. GARD 1117 TEXAS AVE HOUSTON, TX 77002	TRUSTEE 0.00	0.	0.	0.
ANTONIA S. DAY 1117 TEXAS AVE HOUSTON, TX 77002	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2009)

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,250,041.
b	Average of monthly cash balances	1b	170,309.
c	Fair market value of all other assets	1c	134,069.
d	**Total** (add lines 1a, b, and c)	1d	1,554,419.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,554,419.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) STMT 11	4	100,000.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,454,419.
6	**Minimum investment return.** Enter 5% of line 5	6	72,721.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	72,721.
2a	Tax on investment income for 2009 from Part VI, line 5 2a 663.		
b	Income tax for 2009. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	663.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	72,058.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	72,058.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	72,058.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	68,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	68,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	663.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	67,337.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				72,058.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			63,134.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 68,000.				
a Applied to 2008, but not more than line 2a			63,134.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				4,866.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				67,192.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

INDIVIDUALS ARE REFERRED BY SHELTERING ARMS, HOUSTON, TX ON BASIS OF NEED. GRANTS ARE BY SPECIFIC PROPOSAL SENT TO ONE OF THE TRUSTEES.

b The form in which applications should be submitted and information and materials they should include:

NO APPLICATION FORM

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

USED FOR THE BENEFIT OF ELDERLY INDIGENT PEOPLE OF HOUSTON, HARRIS COUNTY, TX.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CATHEDRAL HEALTH OUTREACH AND MINISTRIES, INC 1117 TEXAS HOUSTON, TX 77002	N/A	501(C)(3)	ASSIST ELDERLY INDIGENT PEOPLE	50,000.
HOUSTON COMPASS 1117 TEXAS HOUSTON, TX 77002	N/A	501(C)(3)	ASSIST ELDERLY INDIGENT PEOPLE	5,000.
NEW HOPE HOUSING, INC. 1117 TEXAS HOUSTON, TX 77002	N/A	501(C)(3)	ASSIST ELDERLY INDIGENT PEOPLE	5,000.
NORTHWEST ASSISTANCE MINISTRIES 15555 KUYKENDAHL HOUSTON, TX 77090	N/A	501(C)(3)	ASSIST ELDERLY INDIGENT PEOPLE	3,000.
ST. JAMES HOUSE 5800 WEST BAKER RD BAYTON, TX 77520	N/A	501(C)(3)	ASSIST ELDERLY INDIGENT PEOPLE	5,000.
Total			▶ 3a	68,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,005.	
4 Dividends and interest from securities			14	39,297.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			15	43,666.	
8 Gain or (loss) from sales of assets other than inventory			18	-134,460.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		-50,492.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 -50,492.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete ~~Declaration of preparer~~ (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | 11/12/10 Date | ▶ Treasurer Title

Paid Preparer's Use Only

Preparer's signature ▶ Carol Haney	Date 11/10/10	Check if self-employed ▶ ☐	Preparer's identifying number P00141299
Firm's name (or yours if self-employed), address, and ZIP code ▶ GAUS & HANEY, A PROFESSIONAL CORPORATION 5851 SAN FELIPE, SUITE 895 HOUSTON, TX 77057			EIN ▶ 59-3785693 Phone no. 713-952-4336

LULU BRYAN RAMBAUD CHARITABLE TRUST
ATTN: BRUCE A. ATKINS, TRUSTEE

CONTINUATION FOR 990-PF, PART IV
74-6033114 PAGE 1 OF 2

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY #277011242	P	VARIOUS	VARIOUS
b	MORGAN STANLEY #277011244	P	VARIOUS	VARIOUS
c	MORGAN STANLEY #277011244	P	VARIOUS	VARIOUS
d	MORGAN STANLEY #277011245	P	VARIOUS	VARIOUS
e	MORGAN STANLEY #277011245	P	VARIOUS	VARIOUS
f	MORGAN STANLEY #277011243	P	VARIOUS	VARIOUS
g	MORGAN STANLEY #277011243	P	VARIOUS	VARIOUS
h	MORGAN STANLEY #277011246	P	VARIOUS	VARIOUS
i	MORGAN STANLEY #277011246	P	VARIOUS	VARIOUS
j	UBS FINANCIAL SERVICES #53022	P	VARIOUS	VARIOUS
k	UBS FINANCIAL SERVICES #53022	P	VARIOUS	VARIOUS
l	UBS FINANCIAL SERVICES #53023	P	VARIOUS	VARIOUS
m	UBS FINANCIAL SERVICES #53023	P	VARIOUS	VARIOUS
n	UBS FINANCIAL SERVICES #53024	P	VARIOUS	VARIOUS
o	UBS FINANCIAL SERVICES #53024	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	235,565.		232,156.	3,409.
b	3,493.		2,867.	626.
c	3,999.		4,592.	-593.
d	70,638.		66,402.	4,236.
e	83,830.		80,438.	3,392.
f	551.		288.	263.
g	6,753.		8,369.	-1,616.
h	39,821.		40,775.	-954.
i	42,977.		70,091.	-27,114.
j	35,911.		56,120.	-20,209.
k	21,896.		26,324.	-4,428.
l	25,228.		53,284.	-28,056.
m	35,919.		74,006.	-38,087.
n	232,870.		227,895.	4,975.
o	237,725.		257,713.	-19,988.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				3,409.
b				626.
c				-593.
d				4,236.
e				3,392.
f				263.
g				-1,616.
h				-954.
i				-27,114.
j				-20,209.
k				-4,428.
l				-28,056.
m				-38,087.
n				4,975.
o				-19,988.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS FINANCIAL SERVICES #53025	P	VARIOUS	VARIOUS
b	UBS FINANCIAL SERVICES #53026	P	VARIOUS	VARIOUS
c	UBS FINANCIAL SERVICES #53026	P	VARIOUS	VARIOUS
d	UBS FINANCIAL SERVICES #53024	P	VARIOUS	VARIOUS
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,134.		4,817.	-1,683.
b	218.		2,310.	-2,092.
c	2,238.		8,779.	-6,541.
d	19,336.		19,336.	0.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-1,683.
b				-2,092.
c				-6,541.
d				0.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	-134,460.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

2009 Realized Gain/Loss Summary *(Includes sale proceeds not reported on Form 1099-B)*

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss	$ 53,284 40	$ 25,228 35	$ 93 84	$ -28 149 89	$ -28 056 05
Long-term Gain/Loss*	74,005 77	35,918 67	2,586 72	-40,673 82	-38,087 10
Sub Total*	$ 127,290.17	$ 61,147 02	$ 2,680 56	$ -68,823 71	$ -66,143 15
Total	$ 127,290 17	$ 61,147 02	$ 2,680 56	$ -68,823.71	$ -66,143 15

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALCOA INC	Trade	120 000		11/14/08	02/06/09	1 022 27	1,355 56	333 29		Y
	Trade	30 000		11/14/08	02/17/09	210 39	338 89	-128 50		Y
	Trade	130 000		12/03/08	02/17/09	911 71	1,211 41	-299 70		Y
BANK OF AMER CORP	Trade	35 000		05/12/08	01/28/09	260 16	1 308 65	-1 048 49		Y
	Trade	40 000		05/12/08	02/04/09	205 13	1 495 60	-1,290 47		Y
	Trade	135 000		12/03/08	02/04/09	692 30	2 000 50	-1,308 20		Y
CBS CORP NEW CL B	Trade	60 000		05/12/08	03/05/09	221 02	1,480 50	-1,259 48		Y
	Trade	80 000		12/03/08	03/05/09	294 70	579 08	-284 38		Y
	Trade	270 000		12/03/08	03/12/09	1,051 53	1 954 40	-902 87		Y

Continued on page 9

Short-Term Gain/Loss - continued

This section includes securities held for less than one year and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
DOW CHEMICAL	*Trade*	20 000		05/12/08	02/24/09	146 98	835 50	-688 52		Y
	Trade	130 000		12/03/08	03/02/09	962 32	2 459 41	-1 497 09		Y
	Trade	10 000		01/13/09	03/02/09	74 02	156 93	-82 91		Y
GENL ELECTRIC CO	*Trade*	70 000		09/17/08	04/16/09	822 54	1,642 20	-819 66		Y
	Trade	10 000		09/18/08	04/16/09	117 51	223 28	-105 77		Y
	Trade	60 000		09/18/08	04/20/09	685 79	1,339 70	-653 91		Y
	Trade	25 000		09/22/08	04/20/09	285 74	671 51	-385 77		Y
HARTFORD FINCL SERVICES GROUP INC	*Trade*	30 000		05/15/08	02/25/09	211 77	2,079 02	-1 867 25		Y
	Trade	30 000		05/22/08	02/25/09	211 77	2 101 01	-1 889 24		Y
	Trade	25 000		05/29/08	02/25/09	176 48	1,795 94	-1,619 46		Y
	Trade	25 000		06/06/08	02/25/09	176 48	1,811 06	-1,634 58		Y
	Trade	430 000		12/03/08	02/25/09	3,035 39	2,949 97	85 42		Y
	Trade	130 000		12/03/08	03/04/09	564 91	891 85	-326 94		Y
HOST HOTELS & RESORTS INC (REIT)	*Trade*	100 000		02/08/08	01/05/09	750 55	1,702 81	-952 26		Y
	Trade	10 000		02/08/08	01/09/09	73 47	170 28	-96 81		Y
	Trade	25 000		02/15/08	01/09/09	183 67	420 74	-237 07		Y
	Trade	80 000		02/20/08	01/09/09	587 74	1,319 38	-731 64		Y
	Trade	80 000		02/29/08	01/09/09	587 74	1,293 78	-706 04		Y
	Trade	50 000		02/29/08	01/20/09	303 85	808 61	-504 76		Y
	Trade	95 000		03/10/08	01/20/09	577 32	1,538 03	-960 71		Y
	Trade	30 000		12/03/08	01/20/09	182 31	218 06	-35 75		Y
	Trade	200 000		12/03/08	01/27/09	1,167 23	1,453 70	-286 47		Y
PNC FINANCIAL SERVICES GROUP	*Trade*	30 000		01/05/09	03/04/09	719 00	1 442 72	-723 72		Y
	Trade	30 000		01/12/09	03/04/09	719 00	1,437 83	-718 83		Y
	Trade	40 000		01/20/09	03/04/09	958 67	1,012 35	-53 68		Y
	Trade	5 000		01/20/09	03/11/09	125 76	126 54	- 78		Y
	Trade	50 000		01/27/09	03/11/09	1,257 62	1,594 98	-337 36		Y
	Trade	10 000		01/27/09	03/13/09	274 44	319 00	-44 56		Y
	Trade	25 000		02/11/09	03/13/09	686 11	794 37	-108 26		Y
	Trade	5 000		02/17/09	03/13/09	137 22	128 80	8 42		Y
WELLS FARGO & CO NEW	*Trade*	25 000		07/16/08	01/12/09	615 00	622 43	-7 43		Y
	Trade	15 000		07/16/08	03/09/09	146 25	373 46	-227 21		Y
	Trade	45 000		07/16/08	03/12/09	593 26	1,120 37	-527 11		Y

Continued on page 10

Short-Term Gain/Loss - continued

This section includes securities held for less than one year and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
WELLS FARGO & CO NEW	*Trade*	40 000		07/17/08	03/12/09	527 35	1 072 07	-544 72		Y
	Trade	65 000		07/17/08	03/12/09	856 94	1,789 64	-932 70		Y
	Trade	65 000		07/21/08	03/12/09	856 94	1,842 48	-985 54		Y
Total Short-Term Gain/Loss						25,228 35	53,284 40	-28,056 05		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
ALCOA INC	*Trade*	65 000		09/14/07	01/20/09	563 82	2,302 83	-1,739 01		Y
	Trade	20 000		09/20/07	01/20/09	173 48	746 47	-572 99		Y
	Trade	30 000		09/20/07	01/29/09	250 34	1,119 70	-869 36		Y
	Trade	60 000		09/24/07	01/29/09	500 68	2 220 05	-1,719 37		Y
	Trade	50 000		09/28/07	01/29/09	417 24	1,953 54	-1,536 30		Y
	Trade	10 000		10/15/07	02/06/09	85 19	378 98	-293 79		Y
AT&T INC	*Trade*	15 000		12/09/05	01/12/09	391 17	374 10	17 07		Y
	Trade	5 000		12/09/05	02/06/09	130 06	124 70	5 36		Y
BANK OF AMER CORP	*Trade*	135 000		11/28/05	01/28/09	1,003 49	6 299 77	-5,296 28		Y
	Trade	10 000		11/28/05	01/28/09	74 33	466 80	-392 47		Y
CBS CORP NEW CL B	*Trade*	55 000		06/01/06	02/27/09	247 65	1 458 95	-1,211 30		Y
	Trade	65 000		06/08/06	02/27/09	292 68	1 742 12	-1 449 44		Y
	Trade	75 000		06/27/06	02/27/09	337 71	1,982 42	-1,644 71		Y
	Trade	55 000		06/29/06	02/27/09	247 65	1,482 31	-1,234 66		Y
	Trade	10 000		06/30/06	02/27/09	45 03	272 00	-226 97		Y
	Trade	10 000		06/30/06	03/05/09	36 84	272 00	-235 16		Y
DOW CHEMICAL	*Trade*	110 000		11/28/05	02/02/09	1 283 26	5 014 90	-3,731 64		Y
	Trade	50 000		11/28/05	02/11/09	498 84	2 279 50	-1 780 66		Y
	Trade	15 000		02/05/07	02/11/09	149 65	626 51	-476 86		Y
	Trade	75 000		02/05/07	02/17/09	677 22	3 132 57	-2,455 35		Y
	Trade	25 000		02/14/07	02/17/09	225 74	1,075 31	-849 57		Y
	Trade	20 000		02/14/07	02/24/09	146 98	860 25	-713 27		Y
	Trade	45 000		03/08/07	02/24/09	330 71	1 922 10	-1,591 39		Y

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UBS Financial Services Inc.

2009 Consolidated Form 1099

P16L091625-X1

Long-Term Gain/Loss - continued

This section includes securities held for more than one year and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
DOW CHEMICAL	*Trade*	30 000		03/23/07	02/24/09	220 47	1 361 14	-1,140 67		Y
GLAXO SMITHKLINE PLC ADR	*Trade*	80 000		11/28/05	01/12/09	3,079 40	3,952 00	-872 60		Y
	Trade	5 000		11/28/05	02/06/09	185 17	247 00	-61 83		Y
INTEL CORP	*Trade*	35 000		01/31/08	02/17/09	464 62	734 84	-270 22		Y
	Trade	45 000		01/31/08	02/18/09	605 24	944 79	-339 55		Y
	Trade	95 000		01/31/08	02/20/09	1,212 19	1 994 56	-782 37		Y
	Trade	5 000		02/04/08	02/20/09	63 80	106 80	-43 00		Y
	Trade	85 000		02/04/08	02/24/09	1,075 89	1 815 52	-739 63		Y
	Trade	85 000		02/04/08	02/26/09	1,111 61	1,815 52	-703 91		Y
LINCOLN NATL CORP IND	*Trade*	20 000		11/28/05	03/04/09	127 53	1,037 04	-909 51		Y
OCCIDENTAL PETROLEUM CRP	*Trade*	10 000		11/28/05	02/06/09	561 02	389 17	171 85		Y
	Trade	60 000		11/28/05	03/19/09	3,610 25	2,335 04	1,275 21		Y
	Trade	20 000		11/28/05	03/24/09	1,177 23	778 35	398 88		Y
	Trade	15 000		11/28/05	03/27/09	873 25	583 76	289 49		Y
	Trade	20 000		11/28/05	04/03/09	1,207 21	778 35	428 86		Y
PFIZER INC	*Trade*	140 000		11/28/05	01/12/09	2,431 21	3,024 00	-592 79		Y
WHIRLPOOL CORP	*Trade*	25 000		12/06/07	04/07/09	813 75	2,110 42	-1,296 67		Y
	Trade	15 000		12/13/07	04/07/09	488 25	1,248 44	-760 19		Y
	Trade	10 000		12/13/07	04/16/09	351 24	832 29	-481 05		Y
	Trade	20 000		12/19/07	04/16/09	702 49	1,615 68	-913 19		Y
WYETH ***MERGER EFF 10/09***	*Trade*	45 000		11/05/07	02/18/09	1 927 15	2 147 73	-220 58		Y
	Trade	40 000		11/09/07	02/18/09	1,713 02	1,810 07	-97 05		Y
	Trade	5 000		11/14/07	02/18/09	214 13	236 06	-21 93		Y
	Trade	35 000		11/14/07	02/19/09	1,503 02	1,652 40	-149 38		Y
	Trade	15 000		11/14/07	02/23/09	628 03	708 17	-80 14		Y
	Trade	10 000		11/19/07	02/23/09	418 69	468 21	-49 52		Y
	Trade	25 000		11/19/07	02/25/09	1 043 05	1,170 54	-127 49		Y
Total Long-Term Gain/Loss						**35,918 67**	**74,005 77**	**-38,087 10**		

REALIZED GAIN/(LOSS) SUMMARY

This Gain and Loss Summary is provided for informational purposes only It is important that you consult with a professional tax advisor regarding your individual tax situation, as Morgan Stanley Smith Barney LLC ("Morgan Stanley Smith Barney") cannot provide you with tax advice Although Morgan Stanley Smith Barney is providing this information as an additional service, we do not guarantee that it is sufficient for tax reporting purposes, and are not liable for your reliance upon or use of this information The Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form), has not been supplied to the IRS and should not be filed with your taxes

If cost basis information is not available, gain or (loss) will not be reflected on this Summary For securities not purchased through Morgan Stanley Smith Barney, including securities purchased elsewhere and later transferred to Morgan Stanley Smith Barney, cost basis information may not be provided To correct any information supplied by Morgan Stanley Smith Barney, please inform your Financial Advisor To add cost basis information, you may either inform your Financial Advisor or input the data directly yourself through our client-only Web site, ClientServ Morgan Stanley Smith Barney will adjust cost basis as appropriate in accordance with tax laws However, Morgan Stanley Smith Barney does not adjust for all events and you should contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return

Short Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
BANCO SANTANDER BRASIL SA ADS	BSBR	61 000	10/16/2009	10/28/2009	$738 62	$845 47	$(106 85)	
BANCO SANTANDER BRASIL SA ADS	BSBR	68 000	10/16/2009	11/04/2009	$845 47	$942 50	$(97 03)	
BANK OF NEW YORK MELLON CORP	BK	45 000	04/14/2009	11/16/2009	$1,250 90	$1,407 09 E	$(156 19)	
BANK OF NEW YORK MELLON CORP	BK	33 000	04/21/2009	11/16/2009	$917 33	$906 98 E	$10 35	
COSTCO WHOLESALE CORP NEW	COST	5 000	12/03/2008	09/30/2009	$279 99	$254 05 E	$25 94	
COSTCO WHOLESALE CORP NEW	COST	20 000	05/07/2009	09/30/2009	$1,119 97	$922 20	$197 77	
CVS CAREMARK CORP	CVS	6 000	12/03/2008	09/28/2009	$211 68	$155 88 E	$55 80	
CVS CAREMARK CORP	CVS	27 000	12/03/2008	10/02/2009	$956 33	$701 46 E	$254 87	
CVS CAREMARK CORP	CVS	92 000	12/03/2008	10/05/2009	$3,197 35	$2 390 16 E	$807 19	
GENZYME CORP	GENZ	10 000	01/29/2009	08/03/2009	$501 30	$697 12 E	$(195 82)	
GENZYME CORP	GENZ	9 000	01/30/2009	08/03/2009	$451 17	$622 02 E	$(170 85)	
GENZYME CORP	GENZ	3 000	05/07/2009	08/03/2009	$150 39	$175 29	$(24 90)	
GOLDMAN SACHS GRP INC	GS	1 000	11/25/2008	11/10/2009	$176 26	$70 47 E	$105 79	
GOLDMAN SACHS GRP INC	GS	5 000	12/03/2008	12/03/2009	$834 48	$343 50 E	$490 98	
INTEL CORP	INTC	73 000	07/16/2009	10/16/2009	$1 489 33	$1,337 35	$151 98	
INTEL CORP	INTC	53 000	07/16/2009	10/29/2009	$1 025 08	$970 96	$54 12	
INTEL CORP	INTC	40 000	07/17/2009	10/29/2009	$773 64	$736 40	$37 24	
INTEL CORP	INTC	82 000	07/17/2009	10/29/2009	$1,585 97	$1,535 86	$50 11	
INTEL CORP	INTC	83 000	07/20/2009	10/29/2009	$1,605 31	$1,566 21	$39 10	
JOHNSON & JOHNSON	JNJ	97 000	11/07/2008	05/07/2009	$5,313 71	$5,770 46 E	$(456 75)	
JOHNSON & JOHNSON	JNJ	10 000	12/03/2008	05/07/2009	$547 80	$569 90 E	$(22 10)	
JPMORGAN CHASE & CO	JPM	38 000	03/13/2009	05/11/2009	$1,421 11	$892 18 E	$528 93	
JPMORGAN CHASE & CO	JPM	16 000	03/13/2009	11/10/2009	$700 83	$375 66 E	$325 17	
JPMORGAN CHASE & CO	JPM	40 000	03/19/2009	11/10/2009	$1,752 07	$1,006 29 E	$745 78	
JPMORGAN CHASE & CO	JPM	10 000	03/19/2009	12/03/2009	$422 66	$251 57 E	$171 09	

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REALIZED GAIN/(LOSS) SUMMARY (continued)

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)	- ADDITIONAL INFORMATION
JPMORGAN CHASE & CO	JPM	65 000	03/23/2009	12/03/2009	$2,747 27	$1,795 49 E	$951 78	
JUNIPER NETWORKS	JNPR	12 000	06/30/2009	11/11/2009	$303 50	$283 01	$20 49	
JUNIPER NETWORKS	JNPR	51 000	06/30/2009	11/11/2009	$1 302 70	$1 202 78	$99 92	
JUNIPER NETWORKS	JNPR	31 000	07/06/2009	11/11/2009	$784 05	$732 94	$51 11	
JUNIPER NETWORKS	JNPR	29 000	07/08/2009	11/11/2009	$733 46	$656 44	$77 02	
LOCKHEED MARTIN CORP	LMT	24 000	09/19/2008	08/25/2009	$1,775 95	$2,661 72 E	$(885 77)	
LOCKHEED MARTIN CORP	LMT	10 000	12/03/2008	08/25/2009	$739 98	$746 20 E	$(6 22)	
LOWES COMPANIES INC	LOW	135 000	12/03/2008	10/01/2009	$2,775 98	$2,799 70 E	$(23 72)	
MONSANTO CO/NEW	MON	12 000	10/09/2008	10/06/2009	$905 21	$960 14 E	$(54 93)	
MONSANTO CO/NEW	MON	6 000	12/03/2008	10/06/2009	$452 61	$454 92 E	$(2 31)	
MONSANTO CO/NEW	MON	4 000	12/03/2008	10/07/2009	$296 04	$303 28 E	$(7 24)	
MONSANTO CO/NEW	MON	18 000	01/28/2009	10/07/2009	$1,332 17	$1,434 81 E	$(102 64)	
NRG ENERGY INC	NRG	63 000	07/10/2009	12/30/2009	$1,507 75	$1,470 60	$37 15	
POTASH CP OF SASKATCHEWAN INC	POT	2 000	02/10/2009	05/07/2009	$184 91	$175 41 E	$9 50	
POTASH CP OF SASKATCHEWAN INC	POT	2 000	02/10/2009	07/31/2009	$186 40	$175 41 E	$10 99	
POTASH CP OF SASKATCHEWAN INC	POT	12 000	02/10/2009	08/03/2009	$1,152 35	$1,052 47 E	$99 88	
POTASH CP OF SASKATCHEWAN INC	POT	6 000	03/09/2009	08/03/2009	$576 17	$413 41 E	$162 76	
ROCHE HOLDINGS ADR	RHHBY	39 000	03/12/2009	05/07/2009	$1 231 20	$1,183 44 E	$47 76	
ROCHE HOLDINGS ADR	RHHBY	22 000	03/12/2009	05/07/2009	$694 52	$669 28 E	$25 24	
ROCHE HOLDINGS ADR	RHHBY	59 000	03/20/2009	05/07/2009	$1 862 58	$1,910 93 E	$(48 35)	
SCHERING PLOUGH CORP	SGP	44 000	12/03/2008	05/07/2009	$1,024 38	$693 31 E	$331 07	
SCHERING PLOUGH CORP	SGP	24 000	12/03/2008	05/07/2009	$558 75	$381 53 E	$177 22	
SCHERING PLOUGH CORP	SGP	90 000	12/09/2008	05/07/2009	$2 095 33	$1 439 69 E	$655 64	
SCHERING PLOUGH CORP	SGP	7 000	12/10/2008	05/07/2009	$162 97	$109 55 E	$53 42	
TAIWAN SMCNDCTR MFG CO LTD ADR	TSM	0 000	04/17/2009	08/14/2009	$3 91	$0 00	$3 91	Cash in Lieu
TAIWAN SMCNDCTR MFG CO LTD ADR	TSM	100 000	04/17/2009	10/27/2009	$990 82	$960 07 E	$30 75	
TAIWAN SMCNDCTR MFG CO LTD ADR	TSM	51 000	04/17/2009	10/28/2009	$492 70	$489 64 E	$3 06	
TAIWAN SMCNDCTR MFG CO LTD ADR	TSM	124 000	05/07/2009	10/28/2009	$1,197 93	$1,267 88	$(69 95)	
U S BANCORP COM NEW	USB	39 000	12/03/2008	12/03/2009	$916 47	$996 97 E	$(80 50)	
UNITED STS STL CP (NEW)	X	29 000	04/17/2009	06/12/2009	$1,168 94	$850 31 E	$318 63	
UNITED STS STL CP (NEW)	X	19 000	05/07/2009	06/12/2009	$765 85	$558 98	$206 87	
WAL MART STORES INC	WMT	54 000	10/03/2008	05/07/2009	$2,712 94	$3,242 41 E	$(529 47)	
WAL MART STORES INC	WMT	7 000	10/16/2008	05/07/2009	$351 68	$375 90 E	$(24 22)	
WAL MART STORES INC	WMT	32 000	10/16/2008	05/11/2009	$1,627 67	$1 718 38 E	$(90 71)	
WAL MART STORES INC	WMT	44 000	10/16/2008	09/08/2009	$2,262 26	$2 362 77 E	$(100 51)	
WAL MART STORES INC	WMT	24 000	10/17/2008	09/09/2009	$1,224 67	$1,299 26 E	$(74 59)	
WAL MART STORES INC	WMT	10 000	12/03/2008	09/09/2009	$510 28	$536 40 E	$(26 12)	
WAL MART STORES INC	WMT	13 000	02/19/2009	09/09/2009	$663 36	$650 79 E	$12 57	
XTO ENERGY INC	XTO	32 000	05/11/2009	11/09/2009	$1,425 98	$1,368 00	$57 98	
YUM BRANDS INC	YUM	15 000	12/03/2008	11/02/2009	$500 34	$400 95 E	$99 39	

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REALIZED GAIN/(LOSS) SUMMARY (continued)

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
YUM BRANDS INC	YUM	5 000	05/07/2009	11/02/2009	$166 78	$169 85	$(3 07)	
Total Short Term					**$70,637.56**	66402.05	**$4,235.51**	

Long Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)
APPLE INC	AAPL	7 000	04/25/2008	06/12/2009	$959 04	$1,180 83 E	$(221 79)
APPLE INC	AAPL	11 000	04/25/2008	07/22/2009	$1,731 34	$1 855 58 E	$(124 24)
APPLE INC	AAPL	11 000	04/29/2008	07/22/2009	$1,731 34	$1,878 77 E	$(147 43)
APPLE INC	AAPL	8 000	05/02/2008	07/22/2009	$1,259 15	$1,441 30 E	$(182 15)
APPLE INC	AAPL	4 000	05/12/2008	07/22/2009	$629 58	$754 92 E	$(125 34)
APPLE INC	AAPL	1 000	05/12/2008	12/16/2009	$196 17	$188 73 E	$7 44
APPLE INC	AAPL	9 000	06/17/2008	12/16/2009	$1,765 50	$1,615 39 E	$150 11
COSTCO WHOLESALE CORP NEW	COST	14 000	03/26/2008	09/28/2009	$791 98	$929 30 E	$(137 32)
COSTCO WHOLESALE CORP NEW	COST	10 000	04/24/2008	09/28/2009	$565 70	$702 67 E	$(136 97)
COSTCO WHOLESALE CORP NEW	COST	3 000	04/25/2008	09/28/2009	$169 71	$213 18 E	$(43 47)
COSTCO WHOLESALE CORP NEW	COST	12 000	07/23/2008	09/28/2009	$678 84	$758 28 E	$(79 44)
COSTCO WHOLESALE CORP NEW	COST	22 000	07/23/2008	09/30/2009	$1,231 97	$1,390 18 E	$(158 21)
COSTCO WHOLESALE CORP NEW	COST	10 000	07/28/2008	09/30/2009	$559 99	$611 43 E	$(51 44)
COSTCO WHOLESALE CORP NEW	COST	14 000	07/30/2008	09/30/2009	$783 98	$879 42 E	$(95 44)
COSTCO WHOLESALE CORP NEW	COST	6 000	07/30/2008	09/30/2009	$335 99	$376 37 E	$(40 38)
COSTCO WHOLESALE CORP NEW	COST	12 000	08/06/2008	09/30/2009	$671 98	$787 97 E	$(115 99)
CVS CAREMARK CORP	CVS	56 000	05/25/2007	05/07/2009	$1,779 07	$2,169 42 E	$(390 35)
CVS CAREMARK CORP	CVS	31 000	05/25/2007	09/18/2009	$1,119 15	$1,200 93 E	$(81 78)
CVS CAREMARK CORP	CVS	35 000	05/25/2007	09/23/2009	$1,273 25	$1,355 89 E	$(82 64)
CVS CAREMARK CORP	CVS	32 000	05/25/2007	09/24/2009	$1,129 61	$1,239 67 E	$(110 06)
CVS CAREMARK CORP	CVS	17 000	01/23/2008	09/24/2009	$600 11	$629 02 E	$(28 91)
CVS CAREMARK CORP	CVS	2 000	01/23/2008	09/28/2009	$70 56	$74 00 E	$(3 44)
CVS CAREMARK CORP	CVS	15 000	05/12/2008	09/28/2009	$529 21	$617 94 E	$(88 73)
GOLDMAN SACHS GRP INC	GS	2 000	04/24/2008	05/07/2009	$265 49	$377 27 E	$(111 78)
GOLDMAN SACHS GRP INC	GS	4 000	04/24/2008	06/01/2009	$584 61	$754 54 E	$(169 93)
GOLDMAN SACHS GRP INC	GS	4 000	05/12/2008	06/01/2009	$584 61	$770 36 E	$(185 75)
GOLDMAN SACHS GRP INC	GS	1 000	05/12/2008	11/10/2009	$176 26	$192 59 E	$(16 33)
GOLDMAN SACHS GRP INC	GS	22 000	09/19/2008	11/10/2009	$3,877 67	$2,915 51 E	$962 16
GOLDMAN SACHS GRP INC	GS	11 000	11/25/2008	12/03/2009	$1,835 86	$775 16 E	$1,060 70
LOCKHEED MARTIN CORP	LMT	7 000	11/29/2005	05/07/2009	$554 10	$426 30 E	$127 80
LOCKHEED MARTIN CORP	LMT	11 000	11/29/2005	07/17/2009	$889 04	$669 90 E	$219 14
LOCKHEED MARTIN CORP	LMT	18 000	11/29/2005	07/21/2009	$1 364 13	$1 096 20 E	$267 93

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REALIZED GAIN/(LOSS) SUMMARY (continued)

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)
LOCKHEED MARTIN CORP	LMT	2.000	08/10/2006	07/21/2009	$151 53	$163 00 E	$(11 47)
LOCKHEED MARTIN CORP	LMT	1 000	08/10/2006	07/21/2009	$75 79	$81 50 E	$(5 71)
LOCKHEED MARTIN CORP	LMT	9 000	09/01/2006	07/21/2009	$681 86	$752 70 E	$(70 84)
LOCKHEED MARTIN CORP	LMT	13 000	09/01/2006	08/06/2009	$980 52	$1,087 23 E	$(106 71)
LOCKHEED MARTIN CORP	LMT	14 000	09/08/2006	08/06/2009	$1,055 94	$1,171 86 E	$(115 92)
LOCKHEED MARTIN CORP	LMT	6 000	09/08/2006	08/25/2009	$443 99	$502 23 E	$(58 24)
LOCKHEED MARTIN CORP	LMT	4 000	10/02/2007	08/25/2009	$295 99	$439 34 E	$(143 35)
LOCKHEED MARTIN CORP	LMT	10 000	05/12/2008	08/25/2009	$739 98	$1,068 30 E	$(328 32)
LOWES COMPANIES INC	LOW	34 000	11/29/2005	05/07/2009	$690 86	$1 147 84 E	$(456 98)
LOWES COMPANIES INC	LOW	87 000	11/29/2005	08/19/2009	$1,750 61	$2,937 12 E	$(1,186 51)
LOWES COMPANIES INC	LOW	45 000	11/29/2005	09/15/2009	$951 84	$1 519 20 E	$(567 36)
LOWES COMPANIES INC	LOW	39 000	11/29/2005	09/17/2009	$840 69	$1,316 64 E	$(475 95)
LOWES COMPANIES INC	LOW	22 000	11/29/2005	10/01/2009	$452 38	$742 72 E	$(290 34)
MASTERCARD INC CL A	MA	3 000	02/28/2007	06/10/2009	$521 81	$309 93 E	$211 88
MASTERCARD INC CL A	MA	10 000	03/13/2007	06/10/2009	$1,739 36	$1,029 76 E	$709 60
MASTERCARD INC CL A	MA	2 000	08/14/2007	06/10/2009	$347 87	$266 56 E	$81 31
MASTERCARD INC CL A	MA	5 000	08/14/2007	07/06/2009	$830 01	$666 41 E	$163 60
MASTERCARD INC CL A	MA	6 000	08/14/2007	07/31/2009	$1 179 29	$799 69 E	$379 60
MASTERCARD INC CL A	MA	10 000	10/02/2007	07/31/2009	$1,965 48	$1,542 03 E	$423 45
MC DONALDS CORP	MCD	4 000	05/14/2007	05/07/2009	$213 27	$202 73 E	$10 54
MC DONALDS CORP	MCD	40 000	05/15/2007	05/07/2009	$2,132 74	$2,055 58 E	$77 16
MC DONALDS CORP	MCD	8 000	05/16/2007	05/07/2009	$426 55	$411 01 E	$15 54
MC DONALDS CORP	MCD	29 000	05/16/2007	07/31/2009	$1 595 81	$1,489 90 E	$105 91
MC DONALDS CORP	MCD	19 000	05/18/2007	07/31/2009	$1,045 53	$987 63 E	$57 90
MC DONALDS CORP	MCD	7 000	05/21/2007	07/31/2009	$385 20	$364 50 E	$20 70
MC DONALDS CORP	MCD	11 000	05/21/2007	09/11/2009	$597 65	$572 79 E	$24 86
MC DONALDS CORP	MCD	21 000	05/21/2007	09/14/2009	$1,139 40	$1 093 50 E	$45 90
MC DONALDS CORP	MCD	9 000	05/22/2007	09/14/2009	$488 32	$472 70 E	$15 62
MONSANTO CO/NEW	MON	1 000	08/22/2006	05/07/2009	$85 28	$47 01 E	$38 27
MONSANTO CO/NEW	MON	4 000	08/22/2006	05/12/2009	$357 42	$188 05 E	$169 37
MONSANTO CO/NEW	MON	17 000	08/24/2006	05/12/2009	$1,519 03	$798 71 E	$720 32
MONSANTO CO/NEW	MON	1 000	08/24/2006	05/19/2009	$89 95	$46 98 E	$42 97
MONSANTO CO/NEW	MON	19 000	09/01/2006	05/19/2009	$1,709 12	$908 18 E	$800 94
MONSANTO CO/NEW	MON	19 000	09/06/2006	07/06/2009	$1,387 03	$907 54 E	$479 49
MONSANTO CO/NEW	MON	7 000	10/13/2006	07/06/2009	$511 01	$320 61 E	$190 40
MONSANTO CO/NEW	MON	24 000	10/13/2006	07/21/2009	$1,942 96	$1,099 22 E	$843 74
MONSANTO CO/NEW	MON	7 000	10/13/2006	07/31/2009	$596 25	$320 61 E	$275 64
MONSANTO CO/NEW	MON	4 000	05/12/2008	07/31/2009	$340 72	$487 59 E	$(146 87)
MONSANTO CO/NEW	MON	6 000	05/12/2008	10/06/2009	$452 61	$731 39 E	$(278 78)
NORFOLK SOUTHERN CORP	NSC	3 000	02/01/2008	05/07/2009	$110 21	$165 93 E	$(55 72)
NORFOLK SOUTHERN CORP	NSC	7 000	02/01/2008	05/12/2009	$252 49	$387 18 E	$(134 69)
U S BANCORP COM NEW	USB	24 000	08/18/2008	12/03/2009	$563 98	$736 19 E	$(172 21)

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REALIZED GAIN/(LOSS) SUMMARY (continued)

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)
U S BANCORP COM NEW	USB	39 000	09/03/2008	12/03/2009	$916 47	$1 267 81 E	$(351 34)
UNION PACIFIC CORP	UNP	5 000	01/19/2006	05/07/2009	$254 19	$208 94 E	$45 25
UNION PACIFIC CORP	UNP	2 000	01/25/2006	05/07/2009	$101 68	$86 64 E	$15 04
UNION PACIFIC CORP	UNP	4 000	01/25/2006	05/12/2009	$191 04	$173 28 E	$17 76
VISA INC CL A	V	34 000	04/30/2008	06/22/2009	$2 125 59	$2 830 63 E	$(705 04)
VISA INC CL A	V	3 000	05/12/2008	06/22/2009	$187 55	$244 95 E	$(57 40)
VISA INC CL A	V	2 000	05/12/2008	07/31/2009	$131 97	$163 30 E	$(31 33)
VISA INC CL A	V	10 000	06/26/2008	07/31/2009	$659 84	$790 46 E	$(130 62)
VISA INC CL A	V	12 000	06/27/2008	07/31/2009	$791 80	$969 13 E	$(177 33)
VISA INC CL A	V	4 000	07/30/2008	07/31/2009	$263 93	$311 29 E	$(47 36)
VISA INC CL A	V	7 000	07/30/2008	10/06/2009	$477 48	$544 75 E	$(67 27)
VISA INC CL A	V	1 000	07/30/2008	12/16/2009	$86 53	$77 82 E	$8 71
VISA INC CL A	V	17 000	10/08/2008	12/16/2009	$1,470 95	$905 88 E	$565 07
VISA INC CL A	V	15 000	10/08/2008	12/21/2009	$1,306 04	$799 30 E	$506 74
YUM BRANDS INC	YUM	39 000	11/29/2005	07/16/2009	$1,311 58	$956 02 E	$355 56
YUM BRANDS INC	YUM	16 000	11/29/2005	07/20/2009	$538 44	$392 21 E	$146 23
YUM BRANDS INC	YUM	48 000	11/29/2005	07/30/2009	$1,696 09	$1,176 64 E	$519 45
YUM BRANDS INC	YUM	25 000	11/29/2005	09/16/2009	$841 28	$612 83 E	$228 45
YUM BRANDS INC	YUM	51 000	11/29/2005	10/16/2009	$1,786 13	$1,250 18 E	$535 95
YUM BRANDS INC	YUM	48 000	11/29/2005	10/28/2009	$1,626 83	$1,176 64 E	$450 19
YUM BRANDS INC	YUM	25 000	11/29/2005	10/30/2009	$829 80	$612 83 E	$216 97
YUM BRANDS INC	YUM	37 000	11/29/2005	11/02/2009	$1,234 17	$906 99 E	$327 18
YUM BRANDS INC	YUM	20 000	05/12/2008	11/02/2009	$667 12	$810 80 E	$(143 68)
Total Long Term					$83,829 82	80437.93	$3,391.89
Total Short And Long Term					$154,467 38	146839.98	$7,627 40

The "Total Cost" for Unit Trusts, Mortgage-Backed Securities, and Zero Coupon Bonds have been adjusted to reflect any partial return of principal or capital that may have been paid to you, or accreted interest earned, since your purchase date. In the event that the accumulated total return of pnncipal or capital is greater than the provided original costs, the adjusted cost will be "0.00".

E *This transaction was executed elsewhere and the securities were later transferred to your Morgan Stanley Smith Barney LLC account. The trade history for this transaction was provided to Morgan Stanley Smith Barney LLC by your prior financial institution*

P16L091611-X1

2009 Realized Gain/Loss Summary *(Includes sale proceeds not reported on Form 1099-B)*

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	*Sale*	*Gains*	*Losses*	*Net Gain/Loss*
Short-term Gain/Loss	$ 56,120 28	$ 35,911 49	$ 1,552 10	$ -21,760 89	$ -20,208 79
Long-term Gain/Loss	26,324 13	21,896 33	818 63	5,246 43	-4,427 80
Sub Total:	**$ 82,444.41**	**$ 57,807 82**	**$ 2,370 73**	**$ -27,007.32**	**$ -24,636.59**
Total:	**$ 82,444 41**	**$ 57,807 82**	**$ 2,370 73**	**$ -27,007 32**	**$ -24,636 59**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
ABB LTD SPON ADR	*Trade*	47 000		12/18/08	02/18/09	572 22	691 16	-118 94		Y
	Trade	48 000		12/29/08	02/18/09	584 40	702 52	-118 12		Y
AMAZON COM INC	*Trade*	21 000		01/08/09	01/30/09	1 233 25	1,191 65	41 60		Y
DEERE AND CO	*Trade*	19 000		12/09/08	03/10/09	512 96	733 93	-220 97		Y
	Trade	18 000		12/10/08	03/10/09	485 96	672 33	-186 37		Y
DEVON ENERGY CORP NEW	*Trade*	10 000		10/22/08	02/24/09	464 00	708 68	-244 68		Y
GENENTECH INC **MERGER	*Tender*	10 000		05/12/08	03/26/09	950 00	686 65	263 35		Y
EFF 3/2009**	*Tender*	30 000		12/03/08	03/26/09	2,850 00	2,250 45	599 55		Y

Continued on page 8

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
GOLDMAN SACHS GROUP INC	*Trade*	4 000		04/24/08	04/13/09	513 96	754 54	-240 58		Y
HESS CORP	*Trade*	19 000		03/19/08	02/18/09	982 84	1,769 08	-786 24		Y
	Trade	5 000		05/12/08	02/18/09	258 64	579 10	-320 46		Y
	Trade	7 000		05/20/08	02/18/09	362 10	934 57	-572 47		Y
	Trade	28 000		05/20/08	02/24/09	1,464 33	3 738 30	-2,273 97		Y
	Trade	4 000		05/21/08	02/24/09	209 19	531 25	322 06		Y
	Trade	5 000		12/03/08	02/24/09	261 49	211 15	50 34		Y
ORACLE CORP	*Trade*	49 000		10/30/08	02/02/09	821 59	905 70	-84 11		Y
	Trade	31 000		10/30/08	03/05/09	461 13	572 99	-111 86		Y
	Trade	43 000		10/31/08	03/05/09	639 64	790 48	-150 84		Y
	Trade	5 000		12/03/08	03/05/09	74 38	80 10	-5 72		Y
SCHERING PLOUGH CORP **MERGER EFF 11/09**	*Trade*	40 000		12/02/08	04/21/09	885 25	636 38	248 87		Y
	Trade	8 000		12/03/08	04/21/09	177 05	127 18	49 87		Y
	Trade	52 000		12/03/08	04/22/09	1 125 17	826 65	298 52		Y
SCHLUMBERGER LTD NETHERLANDS ANTILLES	*Trade*	6 000		10/09/08	02/17/09	235 38	363 30	-127 92		Y
	Trade	28 000		10/09/08	02/17/09	1,083 46	1,695 40	-611 94		Y
	Trade	18 000		10/13/08	02/17/09	696 51	1,167 38	-470 87		Y
	Trade	5 000		12/03/08	02/17/09	193 47	207 60	-14 13		Y
TARGET CORP	*Trade*	29 000		08/21/08	02/02/09	872 14	1,461 09	-588 95		Y
	Trade	9 000		08/21/08	02/24/09	256 07	453 44	-197 37		Y
	Trade	30 000		08/26/08	02/24/09	853 57	1,562 02	-708 45		Y
	Trade	1 000		08/27/08	02/24/09	28 45	52 00	-23 55		Y
	Trade	4 000		08/27/08	03/03/09	103 76	208 00	-104 24		Y
	Trade	82 000		10/16/08	03/03/09	2,127 02	3,097 66	-970 64		Y
	Trade	5 000		12/03/08	03/03/09	129 70	166 95	-37 25		Y
UNITEDHEALTH GROUP INC	*Trade*	22 000		01/28/09	03/04/09	395 99	658 80	-262 81		Y
	Trade	13 000		02/02/09	03/04/09	234 00	379 00	-145 00		Y
	Trade	11 000		02/02/09	03/05/09	179 42	320 69	-141 27		Y
	Trade	22 000		02/04/09	03/05/09	358 84	648 13	-289 29		Y
	Trade	27 000		02/06/09	03/05/09	440 39	780 12	-339 73		Y
	Trade	36 000		02/18/09	03/05/09	628 21	1 013 97	-385 76		Y
	Trade	8 000		02/18/09	03/05/09	130 49	225 33	-94 84		Y

Continued on page 9

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UNITEDHEALTH GROUP INC	*Trade*	46 000		02/19/09	03/05/09	802 72	1,318 73	-516 01		Y
US BANCORP DEL (NEW)	*Trade*	19 000		07/21/08	01/20/09	328 43	522 48	-194 05		Y
	Trade	25 000		07/23/08	01/20/09	432 15	754 17	-322 02		Y
	Trade	10 000		07/28/08	01/20/09	172 86	285 75	-112 89		Y
	Trade	14 000		07/30/08	01/20/09	242 00	428 71	-186 71		Y
	Trade	29 000		07/30/08	01/20/09	501 29	898 22	-396 93		Y
	Trade	6 000		07/30/08	01/22/09	84 55	183 73	-99 18		Y
	Trade	27 000		08/05/08	01/22/09	380 48	834 96	-454 48		Y
	Trade	12 000		08/18/08	01/22/09	169 10	368 10	-199 00		Y
	Trade	1 000		08/18/08	02/17/09	11 04	30 67	-19 63		Y
	Trade	63 000		08/18/08	02/18/09	660 36	1,932 51	-1 272 15		Y
WALT DISNEY CO (HOLDING CO) DISNEY COM	*Trade*	24 000		12/08/08	02/05/09	446 24	618 27	-172 03		Y
	Trade	4 000		12/08/08	02/18/09	70 55	103 05	-32 50		Y
	Trade	31 000		12/09/08	02/18/09	546 74	733 93	-187 19		Y
WELLS FARGO & CO NEW	*Trade*	9 000		05/12/08	01/20/09	146 34	268 73	-122 39		Y
	Trade	118 000		07/21/08	01/20/09	1,918 62	3,280 38	-1,361 76		Y
	Trade	52 000		07/21/08	01/21/09	778 68	1,437 73	-659 05		Y
	Trade	17 000		07/21/08	01/21/09	254 57	472 60	-218 03		Y
	Trade	56 000		07/21/08	02/17/09	814 37	1,548.33	-733 96		Y
	Trade	60 000		11/06/08	02/17/09	872 54	1,707 14	-834 60		Y
	Trade	33 000		11/06/08	02/19/09	409 18	938 93	-529 75		Y
	Trade	66 000		11/06/08	02/20/09	648 85	1,877 86	-1 229 01		Y
	Trade	20 000		12/03/08	02/20/09	196 62	532 39	-335 77		Y
	Trade	19 000		12/03/08	02/20/09	186 79	487 19	-300 40		Y
Total Short-Term Gain/Loss						**35,911 49**	**56,120 28**	**-20,208.79**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GENENTECH INC **MERGER EFF 3/2009**	*Tender*	145 000		11/29/05	03/26/09	13 775 00	13 913 56	-138 56		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOLDMAN SACHS GROUP INC	Trade	8 000		08/23/06	01/20/09	521 02	1,232 30	-711 28		Y
	Trade	3 000		09/07/07	01/20/09	195 38	542 65	-347 27		Y
	Trade	7 000		09/24/07	01/20/09	455 89	1 494 11	-1,038 22		Y
	Trade	5 000		10/03/07	04/13/09	642 46	1 143 33	-500 87		Y
LOCKHEED MARTIN CORP	Trade	17 000		11/29/05	03/13/09	1 041 86	1,035 30	6 56		Y
	Trade	25 000		11/29/05	04/08/09	1,806 86	1 522 50	284 36		Y
LOWES COMPANIES INC	Trade	90 000		11/29/05	02/24/09	1,383 25	3,038 40	-1,655 15		Y
MONSANTO CO NEW	Trade	6 000		08/07/06	02/24/09	465 36	273 67	191 69		Y
	Trade	11 000		08/22/06	02/24/09	853 15	517 13	336 02		Y
NORFOLK STHN CORP	Trade	16 000		02/01/08	03/10/09	443 02	884 98	-441 96		Y
SCHLUMBERGER LTD NETHERLANDS ANTILLES	Trade	7 000		09/07/07	02/17/09	274 62	684 41	-409 79		Y
UNION PACIFIC CORP	Trade	1 000		01/19/06	02/25/09	38 46	41 79	-3 33		Y
Total Long-Term Gain/Loss						**21,896 33**	**26,324 13**	**-4,427.80**		

REALIZED GAIN/(LOSS) SUMMARY

This Gain and Loss Summary is provided for informational purposes only It is important that you consult with a professional tax advisor regarding your individual tax situation, as Morgan Stanley Smith Barney LLC ("Morgan Stanley Smith Barney") cannot provide you with tax advice Although Morgan Stanley Smith Barney is providing this information as an additional service, we do not guarantee that it is sufficient for tax reporting purposes, and are not liable for your reliance upon or use of this information The Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form), has not been supplied to the IRS and should not be filed with your taxes

If cost basis information is not available, gain or (loss) will not be reflected on this Summary For securities not purchased through Morgan Stanley Smith Barney, including securities purchased elsewhere and later transferred to Morgan Stanley Smith Barney, cost basis information may not be provided To correct any information supplied by Morgan Stanley Smith Barney, please inform your Financial Advisor To add cost basis information, you may either inform your Financial Advisor or input the data directly yourself through our client-only Web site, ClientServ Morgan Stanley Smith Barney will adjust cost basis as appropriate in accordance with tax laws However, Morgan Stanley Smith Barney does not adjust for all events and you should contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return

Short Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
BOEING CO	BA	5 000	08/06/2008	05/13/2009	$215 14	$326 54 E	$(111 40)	
BOEING CO	BA	5 000	01/30/2009	10/30/2009	$241 60	$206 00 E	$35 60	
CARDINAL HEALTH INC	CAH	30 000	02/20/2009	08/24/2009	$1,063 31	$1 079 28 E	$(15 97)	
CARDINAL HEALTH INC	CAH	30 000	02/26/2009	08/24/2009	$1,063 31	$1 006 75 E	$56 56	
CARDINAL HEALTH INC	CAH	35 000	03/04/2009	08/24/2009	$1,240 53	$1 103 91 E	$136 62	
CARDINAL HEALTH INC	CAH	30 000	03/10/2009	08/24/2009	$1,063 31	$944 19 E	$119 12	
CARDINAL HEALTH INC	CAH	15 000	04/09/2009	08/24/2009	$531 65	$492 37 E	$39 28	
CATERPILLAR INC	CAT	20 000	11/13/2008	05/27/2009	$702 21	$694 68 E	$7 53	
CENTURYTEL INC	CTL	0 400	02/23/2009	07/01/2009	$12 06	$10 05	$2 01	
ENCANA CORP	ECA	10 000	08/25/2008	05/13/2009	$513 48	$711 34 E	$(197 86)	
ENCANA CORP	ECA	20 000	08/27/2009	11/13/2009	$1,122 01	$1 053 20	$68 81	
GENERAL ELECTRIC CO	GE	40 000	09/22/2008	05/13/2009	$517 27	$1,074 42 E	$(557 15)	
GENERAL ELECTRIC CO	GE	70 000	09/24/2008	05/13/2009	$905 21	$1,679 34 E	$(774 13)	
HALLIBURTON CO	HAL	75 000	12/03/2008	07/27/2009	$1,707 56	$1,124 14 E	$583 42	
HARRIS STRATEX NTWKS INC A	HSTX	0 000	05/27/2009	05/27/2009	$0 11	$0 00	$0 11	Cash in Lieu
HARRIS STRATEX NTWKS INC A	HSTX	36 000	05/27/2009	06/11/2009	$237 74	$192 24	$45 50	
LINCOLN NTL CORP IND	LNC	60 000	08/21/2008	05/13/2009	$870 10	$2,893 13 E	$(2,023 03)	
LINCOLN NTL CORP IND	LNC	50 000	09/18/2008	05/13/2009	$725 08	$2,174 10 E	$(1,449 02)	
LINCOLN NTL CORP IND	LNC	20 000	09/26/2008	05/13/2009	$290 03	$970 43 E	$(680 40)	
LINCOLN NTL CORP IND	LNC	35 000	10/02/2008	05/13/2009	$507 56	$1,419 12 E	$(911 56)	
LINCOLN NTL CORP IND	LNC	115 000	12/03/2008	05/13/2009	$1 667 69	$1 407 65 E	$260 04	
LINCOLN NTL CORP IND	LNC	115 000	12/10/2008	05/13/2009	$1,667 69	$1,812 54 E	$(144 85)	
LINCOLN NTL CORP IND	LNC	90 000	01/12/2009	05/13/2009	$1,305 15	$1,615 70 E	$(310 55)	
NORFOLK SOUTHERN CORP	NSC	35 000	03/19/2009	11/11/2009	$1,824 30	$1,114 35 E	$709 95	
NORFOLK SOUTHERN CORP	NSC	25 000	03/24/2009	11/11/2009	$1 303 07	$859 83 E	$443 24	

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REALIZED GAIN/(LOSS) SUMMARY (continued)

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
NORFOLK SOUTHERN CORP	NSC	35 000	03/30/2009	11/11/2009	$1 824 30	$1,181 00 E	$643 30	
NORFOLK SOUTHERN CORP	NSC	30 000	04/03/2009	11/11/2009	$1,563 68	$1 111 67 E	$452 01	
NORFOLK SOUTHERN CORP	NSC	15 000	05/13/2009	11/11/2009	$781 84	$526 50	$255 34	
PNC FINL SVCS GP	PNC	25 000	02/17/2009	05/13/2009	$1,060 32	$644 02 E	$416 30	
PNC FINL SVCS GP	PNC	5 000	02/23/2009	05/13/2009	$212 06	$123 14 E	$88 92	
PNC FINL SVCS GP	PNC	115 000	02/23/2009	10/07/2009	$5,274 22	$2,832 28 E	$2 441 94	
PNC FINL SVCS GP	PNC	30 000	08/27/2009	10/07/2009	$1,375 88	$1,268 40	$107 48	
SUPERVALU INC	SVU	15 000	02/06/2009	05/13/2009	$236 24	$294 86 E	$(58 62)	
SUPERVALU INC	SVU	50 000	02/06/2009	11/25/2009	$737 54	$982 88 E	$(245 34)	
SUPERVALU INC	SVU	40 000	02/17/2009	11/25/2009	$590 03	$689 54 E	$(99 51)	
SUPERVALU INC	SVU	75 000	02/24/2009	11/25/2009	$1,106 30	$1,316 33 E	$(210 03)	
SUPERVALU INC	SVU	75 000	03/04/2009	11/25/2009	$1,106 30	$1,205 69 E	$(99 39)	
SUPERVALU INC	SVU	70 000	04/09/2009	11/25/2009	$1,032 55	$976 89 E	$55 66	
SUPERVALU INC	SVU	100 000	08/27/2009	11/25/2009	$1,475 07	$1,494 00	$(18 93)	
SUPERVALU INC	SVU	10 000	10/27/2009	11/25/2009	$147 51	$162 40	$(14 89)	
Total Short Term					**$39,821 01**	40774.90	**$(953.89)**	

Long Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)
AT&T INC	T	5 000	12/09/2005	05/13/2009	$126 14	$124 70 E	$1 44
BLACK & DECKER CORP	BDK	25 000	07/12/2007	05/13/2009	$778 33	$2,374 89 E	$(1,596 56)
BLACK & DECKER CORP	BDK	25 000	07/31/2007	05/13/2009	$778 33	$2,168 66 E	$(1,390 33)
BLACK & DECKER CORP	BDK	25 000	08/03/2007	05/13/2009	$778 33	$2 218 93 E	$(1,440 60)
BLACK & DECKER CORP	BDK	20 000	08/14/2007	05/13/2009	$622 66	$1,760 27 E	$(1 137 61)
BLACK & DECKER CORP	BDK	30 000	05/12/2008	05/13/2009	$933 99	$2,013 15 E	$(1,079 16)
BOEING CO	BA	25 000	08/06/2008	10/28/2009	$1,190 68	$1,632 70 E	$(442 02)
BOEING CO	BA	25 000	08/08/2008	10/28/2009	$1,190 68	$1,712 72 E	$(522 04)
BOEING CO	BA	30 000	08/12/2008	10/28/2009	$1,428 81	$1,974 55 E	$(545 74)
BOEING CO	BA	30 000	08/14/2008	10/28/2009	$1,428 81	$1,955 77 E	$(526 96)
CATERPILLAR INC	CAT	30 000	11/28/2007	05/27/2009	$1,053 32	$2,122 58 E	$(1,069 26)
CATERPILLAR INC	CAT	30 000	12/03/2007	05/27/2009	$1,053 32	$2,171 90 E	$(1,118 58)
CATERPILLAR INC	CAT	25 000	12/06/2007	05/27/2009	$877 77	$1,823 88 E	$(946 11)
CATERPILLAR INC	CAT	30 000	12/11/2007	05/27/2009	$1,053 32	$2,239 38 E	$(1,186 06)
CHEVRON CORP	CVX	10 000	11/28/2005	05/13/2009	$681 38	$572 90 E	$108 48
DIAMOND OFFSHORE DRILLING INC	DO	15 000	12/20/2007	05/13/2009	$1 110 42	$1 978 22 E	$(867 80)
DIAMOND OFFSHORE DRILLING INC	DO	15 000	12/27/2007	05/13/2009	$1,110 42	$2,220 99 E	$(1,110 57)
DONNELLEY R R & SONS CO	RRD	30 000	01/25/2006	08/27/2009	$546 28	$952 19 E	$(405 91)

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REALIZED GAIN/(LOSS) SUMMARY (continued)

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)
DONNELLEY R R & SONS CO	RRD	20 000	03/30/2006	08/27/2009	$364 19	$654 64 E	$(290 45)
ENCANA CORP	ECA	15.000	08/25/2008	11/13/2009	$841 51	$1,067 00 E	$(225 49)
ENCANA CORP	ECA	25 000	09/02/2008	11/13/2009	$1,402.52	$1,771 49 E	$(368 97)
ENCANA CORP	ECA	30 000	09/08/2008	11/13/2009	$1,683 02	$2,031 85 E	$(348 83)
ENCANA CORP	ECA	25 000	09/15/2008	11/13/2009	$1,402 52	$1,662 25 E	$(259 73)
HALLIBURTON CO	HAL	55 000	01/07/2008	05/13/2009	$1,208 98	$2,064 58 E	$(855 60)
HALLIBURTON CO	HAL	25 000	01/14/2008	05/13/2009	$549 54	$906 45 E	$(356 91)
HALLIBURTON CO	HAL	25 000	01/14/2008	07/27/2009	$569 19	$906 45 E	$(337 26)
HALLIBURTON CO	HAL	60 000	01/23/2008	07/27/2009	$1 366 05	$1,837 15 E	$(471 10)
HALLIBURTON CO	HAL	60 000	01/25/2008	07/27/2009	$1,366 05	$2,039 91 E	$(673 86)
HOME DEPOT INC	HD	40 000	12/18/2007	05/13/2009	$999 69	$1,041 55 E	$(41 86)
HOME DEPOT INC	HD	30 000	01/15/2008	05/13/2009	$749 76	$761 47 E	$(11 71)
JPMORGAN CHASE & CO	JPM	25 000	11/28/2005	05/13/2009	$853 02	$973 75 E	$(120 73)
JPMORGAN CHASE & CO	JPM	145 000	11/28/2005	07/06/2009	$4,642 13	$5 647 75 E	$(1 005 62)
LINCOLN NTL CORP IND	LNC	105 000	11/28/2005	05/13/2009	$1,522 67	$5,444 46 E	$(3,921 79)
MARATHON OIL CO	MRO	5 000	11/28/2005	05/13/2009	$145 39	$145 00 E	$0 39
MATTEL INC	MAT	35 000	12/12/2005	05/13/2009	$495 30	$588 18 E	$(92 88)
TOTAL FINA ELF SA	TOT	5 000	12/13/2007	05/13/2009	$276 69	$415 61 E	$(138 92)
TRAVELERS COMPANIES INC COM	TRV	25 000	11/28/2005	05/13/2009	$977 47	$1,164 00 E	$(186 53)
V F CORPORATION	VFC	10 000	11/28/2005	05/13/2009	$542 48	$560 46 E	$(17 98)
VERIZON COMMUNICATIONS	VZ	30 000	11/28/2005	05/13/2009	$893 67	$924 13 E	$(30 46)
WASTE MGMT INC (DELA)	WMI	35 000	01/11/2008	05/13/2009	$933 42	$1,094 83 E	$(161 41)
WHIRLPOOL CORP	WHR	10 000	12/19/2007	05/13/2009	$409 59	$807 84 E	$(398 25)
WHIRLPOOL CORP	WHR	25 000	01/04/2008	05/13/2009	$1,023 97	$1,952 33 E	$(928 36)
XEROX CORP	XRX	115 000	06/20/2008	08/27/2009	$1,015 65	$1 609 84 E	$(594 19)
Total Long Term					**$42,977 46**	70 091.35	**$(27,113 89)**
Total Short And Long Term					**$82,798.47**	110 866,25	**$(28,067 78)**

The "Total Cost" for Unit Trusts, Mortgage-Backed Securities, and Zero Coupon Bonds have been adjusted to reflect any partial return of principal or capital that may have been paid to you, or accreted interest earned, since your purchase date. In the event that the accumulated total return of principal or capital is greater than the *provided original costs, the adjusted cost will be "0.00".*

E This transaction was executed elsewhere and the securities were later transferred to your Morgan Stanley Smith Barney LLC account. The trade history for this transaction was provided to Morgan Stanley Smith Barney LLC by your prior financial institution

2009 Realized Gain/Loss Summary *(Includes sale proceeds not reported on Form 1099-B)*

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (Including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

		Purchase		*Sale*		*Gains*		*Losses*		*Net Gain/Loss*
Short-term Gain/Loss	$	227,894 60	$	232,869 90	$	5,580 30	$	-605 00	$	4,975 30
Long-term Gain/Loss		257,713 10		237,724 81		2,258 34		-22,246 63		-19 988 29
Sub Total·	$	**485,607 70**	$	**470,594 71**	$	**7,838 64**	$	**-22,851 63**	$	**-15,012.99**
Total:	$	**485,607 70**	$	**470,594 71**	$	**7,838 64**	$	**-22,851 63**	$	**-15,012.99**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
FHLMC PL G04877 06 0000 DUE 10/01/38 FACTOR 0 746058600000	Trade	6,000 000		10/29/08	04/23/09	5,799 90	5 589 05	210 85		
FNMA PL 735580 05 0000 DUE 06/01/35 FACTOR 0 546645060000	Trade	34,000 000		05/16/08	04/23/09	22 800 67	21,864 51	936 16		
FNMA PL 889579 06 0000	Trade	22,000 000		10/14/08	04/23/09	19,759 66	18,983 41	776 25		
DUE 05/01/38	Trade	24,000 000		10/20/08	04/23/09	21,555 99	20,942 25	613 74		
FACTOR 0 641299190000	Trade	39,000 000		10/28/08	04/23/09	35,028 48	33,623 57	1,404 91		

Continued on page 10

Short-Term Gain/Loss - continued

This section includes securities held for less than one year and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
FNMA PL 190391 06 0000 DUE 09/01/38 FACTOR 0 651693710000	*Trade*	13,000 000		10/24/08	04/23/09	11 781 92	11,502 94	278 98		
FNMA PL 995069 06 0000 DUE 10/01/38 FACTOR 0.712157280000	*Trade*	32,000 000		11/05/08	04/23/09	30,609 68	29,847 62	762.06		
FNMA PL 988580 06 0000 DUE 08/01/38 FACTOR 0 624426510000	*Trade*	23,000 000		12/08/08	04/23/09	21 055 87	20,694 42	361 45		
PIMCO FIXED INCOME SHARES SERIES C PORTFOLIO	*Trade*	670 000		01/21/09	04/23/09	7,557 60	7,919 40	-361 80		Y
	Trade	486 000		04/13/09	04/23/09	5,482 08	5,448 06	34 02		Y
PIMCO FIXED INCOME SHARES SERIES M PORTFOLIO	*Trade*	74 000		11/07/08	04/23/09	633 44	648 24	-14 80		Y
	Trade	1,442 000		01/21/09	04/23/09	12,343 52	12,141 64	201 88		Y
	Trade	1,060 000		04/13/09	04/23/09	9 073 60	9,105 40	-31 80		Y
US TSY INFL PROT NOTE 02 375 % DUE 04/15/11 FACTOR 1 089060000000	*Trade*	27,000 000		03/25/09	04/23/09	29,387 49	29,584 09	-196 60		Y
Total Short-Term Gain/Loss						232,869 90	227,894 60	4,975 30		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
FHLMC PL G03432 05 5000 DUE 11/01/37 FACTOR 0 553394900000	*Trade*	47,000 000		01/15/08	04/23/09	38,186 03	37,452 79	733 24		
	Trade	4,000 000		01/23/08	04/23/09	3,249 87	3 203 19	46 68		

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Corrected 03/10/10

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PIMCO FIXED INCOME	*Trade*	6,220 000		11/29/05	04/23/09	70,161 60	72,525 20	-2,363 60		Y
SHARES SERIES C	*Trade*	155 000		06/05/06	04/23/09	1,748 40	1,754 60	-6 20		Y
PORTFOLIO	*Trade*	230 000		02/06/07	04/23/09	2,594 40	2,619 70	-25 30		Y
PIMCO FIXED INCOME	*Trade*	7,130 000		11/29/05	04/23/09	61,032 80	79,570 80	-18,538 00		Y
SHARES SERIES M	*Trade*	165 000		06/05/06	04/23/09	1,412 40	1 791 90	-379 50		Y
PORTFOLIO	*Trade*	240 000		01/09/08	04/23/09	2 054 40	2,786 40	-732 00		Y
US TSY INFL PROT NOTE	*Trade*	20,000 000		11/28/05	04/23/09	24,880 05	25,082 08	-202 03		Y
03 000 % DUE 07/15/12	*Trade*	1,000 000		10/30/06	04/23/09	1,244 00	1,212 12	31 88		Y
FACTOR 1.202240000000	*Trade*	1,000 000		09/11/07	04/23/09	1 244 00	1,230 35	13 65		Y
US TSY INFL PROT NOTE	*Trade*	27,000 000		01/17/07	03/25/09	29,916 86	28,483 97	1,432 89		Y
00.875 % DUE 04/15/10										
FACTOR 1 141030000000										
Total Long-Term Gain/Loss						237,724.81	257,713.10	-19,988.29		

REALIZED GAIN/(LOSS) SUMMARY

This Gain and Loss Summary is provided for informational purposes only It is important that you consult with a professional tax advisor regarding your individual tax situation, as Morgan Stanley Smith Barney LLC ("Morgan Stanley Smith Barney") cannot provide you with tax advice Although Morgan Stanley Smith Barney is providing this information as an additional service, we do not guarantee that it is sufficient for tax reporting purposes, and are not liable for your reliance upon or use of this information The Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form), has not been supplied to the IRS and should not be filed with your taxes

If cost basis information is not available, gain or (loss) will not be reflected on this Summary For securities not purchased through Morgan Stanley Smith Barney, including securities purchased elsewhere and later transferred to Morgan Stanley Smith Barney, cost basis information may not be provided To correct any information supplied by Morgan Stanley Smith Barney, please inform your Financial Advisor To add cost basis information, you may either inform your Financial Advisor or input the data directly yourself through our client-only Web site, ClientServ Morgan Stanley Smith Barney will adjust cost basis as appropriate in accordance with tax laws However, Morgan Stanley Smith Barney does not adjust for all events and you should contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return

Short Term

DESCRIPTION		QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)
CREDIT SUISSE FB	6 1/8 11-15-11	5,000 000	06/11/2009	11/18/2009		$5,216 20	$228 65
					$5,444 85	$5 179 64	$265 21
FNMA POOL 924724	5 1/2 6-01-22	84 480	06/26/2009	08/25/2009	$84 48	$88 59	$(4 11)
FNMA POOL 924724	5 1/2 6-01-22	84 920	06/26/2009	09/25/2009	$84 92	$89 05	$(4 13)
FNMA POOL 924724	5 1/2 6-01-22	85 360	06/26/2009	10/25/2009	$85 36	$89 51	$(4 15)
FNMA POOL 924724	5 1/2 6-01-22	24,000 000	06/26/2009	10/27/2009	$19,843 36	$19,588 00	$255 36
FNMA POOL 929400	5 000 5-01-23	945 230	06/26/2009	08/25/2009	$945 23	$981 56	$(38 33)
FNMA POOL 929400	5 000 5-01-23	445 510	06/26/2009	09/25/2009	$445 51	$462 63	$(17 12)
FNMA POOL 929400	5 000 5-01-23	45,000 000	06/26/2009	10/23/2009	$36,755 53	$36,307 56	$447 97
FNMA POOL 929400	5 000 5-01-23	784 080	06/26/2009	10/25/2009	$784 08	$814 22	$(30 14)
FNMA POOL 933892	6 000 4-01-23	129 910	06/26/2009	08/25/2009	$129 91	$137 91	$(8 00)
FNMA POOL 933892	6 000 4-01-23	130 570	06/26/2009	09/25/2009	$130 57	$138 61	$(8 04)
FNMA POOL 933892	6 000 4-01-23	1,483 890	06/26/2009	10/25/2009	$1,483 89	$1,575 24	$(91 35)
FNMA POOL 933892	6 000 4-01-23	37,000 000	06/26/2009	10/29/2009	$33,610 80	$33,326 29	$284 51
GOLDMAN SACHS	6 150 4-01-18	4,000 000	06/11/2009	08/11/2009	$4,203 64	$3,838 20	$365 44
KRAFT FOODS INC	6 1/8 8-23-18	5,000 000	06/11/2009	08/11/2009		$5,051 55	$305 65
					$5,357 20	$5 050 86	$306 34
NEWS AMERICA INC	6 200 12-15-34	7,000 000	07/09/2009	07/14/2009	$6,130 46	$6,103 51	$26 95
TIME WARNER INC	6 1/2 11-15-36	6,000 000	06/24/2009	07/14/2009	$5,311 20	$5,412 60	$(101 40)
US TSY BOND	4 3/8 2-15-38	2,000 000	06/04/2009	07/09/2009	$2 037 41	$1,946 65	$90 76
US TSY BOND	6 7/8 8-15-25	3,000 000	06/04/2009	07/09/2009		$3,830 99	$146 46
					$3,977 45	$3,827 15	$150 30
US TSY BOND	6 7/8 8-15-25	5,000 000	06/04/2009	08/25/2009		$6,384 98	$209 92
					$6,594 90	$6 371 25	$223 65

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REALIZED GAIN/(LOSS) SUMMARY (continued)

DESCRIPTION		QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	TOTAL COST ORIGINAL/ ADJUSTED	REALIZED GAIN/(LOSS)
US TSY BOND	6 7/8 8-15-25	2 000 000	06/04/2009	09/30/2009	$2 691 87	$2,553 99 $2,546 29	$137 88 $145 58
US TSY BOND	6 7/8 8-15-25	2,000 000	06/04/2009	10/30/2009	$2,650 23	$2,553 99 $2 544 22	$96 24 $106 01
US TSY BOND	6 7/8 8-15-25	2,000 000	06/04/2009	11/25/2009	$2,673 74	$2,553 99 $2 542 40	$119 75 $131 34
US TSY BOND	6 7/8 8-15-25	4,000 000	06/29/2009	11/25/2009	$5 347 49	$5,255 64 $5,232 44	$91 85 $115 05
US TSY BOND	6 7/8 8-15-25	1,000 000	07/14/2009	11/25/2009	$1,333 75	$1,315 36 $1,310 16	$18 39 $23 59
US TSY BOND	6 7/8 8-15-25	5,000 000	07/14/2009	11/25/2009	$6,668 73	$6,581 07 $6,555 02	$87 66 $113 71
US TSY NOTE	3 1/8 9-30-13	5,000 000	06/05/2009	10/02/2009	$5 287 37	$5 190 45 $5 176 11	$76 92 $91 26
US TSY NOTE	3 1/8 11-30-09	12,000 000	06/05/2009	11/25/2009	$12,000 00	$12,168 31 $12,000 00	($168 31) $0 00
US TSY NOTE	3 1/8 11-30-09	11 000 000	06/30/2009	11/25/2009	$11,000 00	$11,130 23 $11,000 00	($130 23) $0 00
US TSY NOTE	3 3/8 6-30-13	5,000 000	06/05/2009	07/16/2009	$5,265 02	$5,259 39 $5,252 31	$5 63 $12 71
US TSY NOTE	3 3/8 6-30-13	7,000 000	06/05/2009	08/06/2009	$7,294 46	$7,363 15 $7,347 61	($68 69) $(53 15)
US TSY NOTE	3 3/8 6-30-13	5,000 000	06/05/2009	08/13/2009	$5,227 33	$5,259 39 $5,246 95	($32.06) $(19 62)
US TSY NOTE	3 3/8 6-30-13	4,000 000	06/05/2009	09/03/2009	$4 237 80	$4,207 51 $4,194 62	$30 29 $43 18
US TSY NOTE	3 3/8 6-30-13	7,000 000	06/05/2009	11/17/2009	$7,450 87	$7,363 15 $7,323 72	$87 72 $127 15
US TSY NOTE	3 3/8 6-30-13	5,000 000	06/05/2009	11/24/2009	$5,327 13	$5,259 39 $5 229 55	$67 74 $97 58
US TSY NOTE	3 5/8 8-15-19	4,000 000	10/26/2009	11/25/2009	$4,097 01	$4,045 48 $4,045 14	$51 53 $51 87
US TSY NOTE	3 7/8 5-15-18	2,000 000	06/05/2009	07/16/2009	$2,060 30	$2,044 62 $2,044 12	$15 68 $16 18
US TSY NOTE	3 7/8 5-15-18	5,000 000	06/05/2009	09/11/2009	$5,246 07	$5,111 54 $5,108 55	$134 53 $137 52
US TSY NOTE	3 7/8 5-15-18	6,000 000	06/05/2009	11/16/2009	$6,284 74	$6,133 85 $6,128 02	$150 89 $156 72
Total Short Term					**$235,564 66**		**$3,408.40**

2009 Year End Summary

UBS

UBS Financial Services Inc
4400 POST OAK PARKWAY
SUITE 1700
HOUSTON TX 77027-3441

ANP70046184251209X15 PJ 0

Account name: LULU BRYAN RAMBAUD TRUST
U/W/D 05-18-1946
Account number: PJ,53025 WC

Your Financial Advisor:
CALLAWAY,WILLIAM
Phone 713-940-2800/800-538-6436

LULU BRYAN RAMBAUD TRUST
U/W/D 05-18-1946
C/O CHRIST CHURCH CATHEDRAL
MGR -OAK RIDGE ALL CAP GROWTH
1117 TEXAS AVENUE
HOUSTON TX 77002-3113

Summary of gains and losses

	Amount ($)
Short term	-1,682 53

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See important information about your statement* on the last page of this statement for more information

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AFLAC INC	FIFO	30 000	Dec 08, 08	Jan 26, 09	613 29	1,340 41	-727 12		
CENTL EUROPEAN DISTR CORP	FIFO	10 000	Jul 08, 08	Mar 03, 09	68 83	709 71	-640 88		
	FIFO	3 000	Jul 23, 08	Mar 03, 09	20 65	207 35	-186 70		
	FIFO	5 000	Aug 20, 08	Mar 03, 09	34 41	323 02	-288 61		
	FIFO	3 000	Dec 03, 08	Mar 03, 09	20 65	58 08	-37 43		

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
GENENTECH INC **MERGER EFF 3/2009**	FIFO	21 000	Dec 08, 08	Mar 26, 09	1,995 00	1,616 30		378 70	
KANSAS CITY STHN NEW	FIFO	28 000	Dec 08, 08	Mar 24, 09	381 19	561 68	-180 49		
Total					**$3,134.02**	**$4,816.55**	**-$2,061.23**	**$378.70**	**-$1,682.53**
Net capital gains/losses:									**-$1,682.53**

End of statement for account number PJ 53025 WC

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
CISCO SYS INC	12/08/08	12/18/09	37 000	$856 07	$633 61	$222 46	A
DENBURY RESOURCES INC NEW	06/12/08	07/09/09	18 000	238 07	599 73	(361 66)	A
	06/25/08	07/09/09	9 000	119 03	315 80	(196 77)	A
GAMESTOP CORP CL A NEW	02/27/07	07/16/09	22 000	464 76	574 52	(109 76)	A
	02/28/07	07/16/09	6 000	126 75	155 15	(28 40)	A
HENRY SCHEIN INC	11/05/08	12/21/09	24 000	1,247 66	1 027 69	219 97	A
KROGER CO	12/08/08	12/18/09	47 000	947 03	1 285 39	(338 36)	A
Long-Term This Period				**$3,050 76**	**$2,946.69**	**$104 07**	
Long-Term Year to Date				**$3,999 37**	**$4,591 89**	**$(592 52)**	

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
DENBURY RESOURCES INC NEW	12/08/08	07/09/09	16 000	211 62	138 39	73 23	A
GAMESTOP CORP CL A NEW	12/03/08	07/16/09	3 000	63 38	57 45	5 93	A
HENRY SCHEIN INC	08/26/09	12/21/09	4 000	207 94	210 08	(2 14)	
	10/27/09	12/21/09	2 000	103 97	110 06	(6 09)	
KROGER CO	08/26/09	12/18/09	2 000	40 30	43 66	(3 36)	
	10/27/09	12/18/09	1 000	20 15	23 58	(3 43)	
SOUTHWESTERN ENERGY COMPANY	12/08/08	07/31/09	30 000	1,241 49	847 75	393 74	A
XTO ENERGY INC	12/08/08	07/01/09	13 000	497 57	444 62	52 95	A
	12/08/08	07/17/09	29 000	1,106 71	991 83	114 88	A
Short-Term This Period				**$372.36**	**$387 38**	**$(15 02)**	
Short-Term Year to Date				**$3,493 13**	**$2,867.42**	**$625 71**	
Net Realized Gain/(Loss) This Period				**$3,423 12**	**$3,334 07**	**$89 05**	
Net Realized Gain/(Loss) Year to Date				**$7,492 50**	**$7,459 31**	**$33 19**	

Gain / loss information is provided for informational purposes only and should not be used for tax preparation Please refer to the gain / loss section of the disclosures for important information about gain / loss reporting

See the end of holdings for definitions of all lettered and numbered footnotes ,

2009 Year End Summary

UBS

UBS Financial Services Inc.
4400 POST OAK PARKWAY
SUITE 1700
HOUSTON TX 77027-3441

ANP7004618427 1209 X15 PJ 0

Account name: LULU BRYAN RAMBAUD TRUST
U/W/D 05-18-1946
Account number: PJ 53026 WC

Your Financial Advisor:
CALLAWAY WILLIAM
Phone 713-940-2800/800-538-6436

LULU BRYAN RAMBAUD TRUST
U/W/D 05-18-1946
C/O CHRIST CHURCH CATHEDRAL
MGR -DELAWARE INT'L EQUITY
1117 TEXAS AVENUE
HOUSTON TX 77002-3113

Summary of gains and losses

	Amount ($)
Short term	-2,102 14
Long term	-6,541 50
Total	**$-8,643.64**

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement. *To calculate gains and losses, we liquidate the oldest security lot first. This is known as* the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, *has been adjusted for mandatory amortization of bond* premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
LLOYDS BANKING GROUP PLC									
SPON ADR	FIFO	7 000	May 12, 08	Mar 23, 09	24 10	181 18	-157 08		
	FIFO	9 000	May 12, 08	Mar 23, 09	30 99	302 40	-271 41		

continued next page

UBS

Account name: LULU BRYAN RAMBAUD TRUST
Account number: PJ 53026 WC

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	UFO	0 451	May 12, 08	Jan 22, 09	1 57	11 67	-10 10		
ROYAL BANK SCOTLAND SPON ADR	FIFO	7 000	Jun 11, 08	Feb 09, 09	51 78	662 39	-610 61		
	FIFO	6 000	Jun 19, 08	Feb 09, 09	44 39	611 97	-567 58		
	FIFO	4 000	Sep 15, 08	Feb 09, 09	29 59	331 31	-301 72		
	FIFO	5 000	Sep 16, 08	Feb 09, 09	36 99	220 63	-183 64		
Total					**$219.41**	**$2,321.55**	**-$2,102.14**		**-$2,102.14**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
LLOYDS BANKING GROUP PLC SPON ADR	FIFO	8 000	Nov 28, 05	Mar 23, 09	27 54	872 05	-844 51		
	FIFO	2 000	Jan 24, 06	Mar 23, 09	6 89	258 82	-251 93		
	FIFO	2 000	Jan 26 06	Mar 23, 09	6 89	266 36	-259 47		
	FIFO	4 000	Jun 20, 06	Mar 23, 09	13 77	528 28	-514 51		
	FIFO	4 000	Sep 21, 06	Mar 23, 09	13 77	608 00	-594 23		
	FIFO	2 000	Nov 15, 06	Mar 23, 09	6 89	408 01	-401 12		
	FIFO	2 000	Jan 29, 07	Mar 23, 09	6 89	315 00	-308 11		
	FIFO	5 000	Jul 06, 07	Mar 23, 09	17 21	721 94	-704 73		
	FIFO	78 000	Dec 08, 05	Mar 23, 09	268 55	2,663 64	-2,395 09		
	FIFO	8 000	Jan 29, 07	Mar 23 09	27 54	371 28	-343 74		
NIPPON TELEG & TEL CORP SPON ADR	FIFO	5 000	Dec 08, 05	Feb 13, 09	113 49	111 19		2 30	
	FIFO	10 000	Dec 08, 05	Feb 09, 09	229 01	222 38		6 63	
RWE AG ADR	FIFO	5 000	Dec 08, 05	Feb 02, 09	384 61	349 25		35 36	
TELEFONICA S A SPON ADR	FIFO	5 000	Dec 08, 05	Apr 22, 09	292 97	222 25		70 72	
	FIFO	5 000	Dec 08, 05	Mar 18, 09	295 97	222 25		73 72	
TOTAL S A FRANCE SPON ADR	FIFO	10 000	Dec 08, 05	Feb 04, 09	525 75	638 54	-112 79		[1]
Total					**$2,237.74**	**$8,779.24**	**-$6,730.23**	**$188.73**	**-$6,541.50**
Net capital gains/losses[1]									**-$8,643.64**

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc.

SECURITY ACTIVITY

CORPORATE ACTIONS

Date	Activity Type	Description	Comments	Quantity
12/15	Stock Dividend	KAO CORP SPONS ADR		126 000

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
BANCO SANTANDER S A	12/08/05	07/16/09	43 000	$544 42	$547 25	$(2 83) A	
BAYER AG SPON ADR	12/08/05	05/07/09	4 000	202 11	162 98	39 13 A	
BNP PARIBAS SP ADR REPSTG	12/08/05	08/21/09	13 000	529 48	518 70	10 78 A	
	12/08/05	09/28/09	7 000	289 00	279 30	9 70 A	
	01/30/06	09/28/09	6 000	247 72	264 13	(16 41) A	
FORTIS NL SPONS ADR NEW	12/08/05	05/07/09	87 000	239 24	2,286 09	(2 046 85) A	
	01/29/07	05/07/09	8 000	22 00	282 90	(260 90) A	
NATL AUST BK LTD SPNS ADR	12/08/05	10/16/09	17 000	496 37	406 27	90 10 A	
	12/08/05	11/06/09	25 000	652 43	597 45	54 98 A	
	12/08/05	12/01/09	20 000	520 28	477 96	42 32 A	
NIPPON TELEGRAPH&TELEPHONE ADS	12/08/05	11/13/09	28 000	579 20	622 65	(43 45) A	
TAIWAN SMCNDCTR MFG CO LTD ADR	12/14/07	08/14/09		0 45	0 00	0 45	Cash in Lieu
TELEFONICA SA ADR	12/08/05	05/07/09	4 000	236 49	177 80	58 69 A	
	12/08/05	10/19/09	5 000	424 73	222 25	202 48 A	
TOYOTA MOTOR CP ADR NEW	12/08/05	05/07/09	6 000	486 28	582 59	(96 31) A	
UNILEVER PLC (NEW) ADS	12/08/05	10/30/09	32 000	972 88	707 47	265 41 A	
UTD OVERSEAS BK LTD SPON ADR	10/04/06	12/14/09	11 000	310 19	233 52	76 67 A	
Long-Term This Period				**$830.47**	**$711.48**	**$118.99**	
Long-Term Year to Date				**$6,753.27**	**$8,369.31**	**$(1,616.04)**	

SHORT-TERM

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
BANCO SANTANDER S A	11/06/09	11/06/09		26 82	21 71	5 11 1	Sale of Foreign Rights
BNP PARIBAS SP ADR REPSTG	11/09/09	11/09/09		12 54	0 00	12 54 1	Sale of Foreign Rights
FORTIS NL SPONS ADR NEW	05/12/08	05/07/09	10 000	27 50	265 50	(238 00) A	

CONTINUED

REALIZED GAIN/(LOSS) DETAIL

SHORT-TERM (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ING GROEP ADR RTS 121109 NTF	11/30/09	12/24/09	139 000	326 42	0 00	326 42 2	
SOCIETE GENERALE SP ADR	11/09/09	11/09/09		157 31	0 00	157 31 1	Sale of Foreign Rights
Short-Term This Period				**$326.42**	**$0.00**	**$326.42**	
Short-Term Year to Date				**$550.59**	**$287.21**	**$263 38**	
Net Realized Gain/(Loss) This Period				**$1,156.89**	**$711.48**	**$445.41**	
Net Realized Gain/(Loss) Year to Date				**$7,303.86**	**$8,656.52**	**$(1,352.66)**	

Gain / loss information is provided for informational purposes only and should not be used for tax preparation Please refer to the gain / loss section of the disclosures for important information about gain / loss reporting

See the end of holdings for definitions of all lettered and numbered footnotes

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3 month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization LULU BRYAN RAMBAUD CHARITABLE TRUST ATTN: BRUCE A. ATKINS, TRUSTEE	**Employer identification number** 74-6033114
	Number, street, and room or suite no. If a P O box, see instructions CHRIST CHURCH CATHEDRAL, 1117 TEXAS AVENUE	
	City, town or post office, state, and ZIP code For a foreign address, see instructions HOUSTON, TX 77002	

Check type of return to be filed (file a separate application for each return)

☐ Form 990
☐ Form 990-BL
☐ Form 990-EZ
☒ Form 990-PF
☐ Form 990-T (corporation)
☐ Form 990-T (sec 401(a) or 408(a) trust)
☐ Form 990-T (trust other than above)
☐ Form 1041 A
☐ Form 4720
☐ Form 5227
☐ Form 6069
☐ Form 8870

- The books are in the care of ▶ CHRIST CHURCH CATHEDRAL 1117 TEXAS AVENUE, HOUSTON TX - 77002
  Telephone No. ▶ (713)222-2593 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐. If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2010 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ ☒ calendar year 2009 or
▶ ☐ tax year beginning ______, and ending ______

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 1,900.
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 8,300.
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879 EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.** Form **8868** (Rev. 4-2009)

923831
05-26-09

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print

File by the extended due date for filing the return See instructions

Name of Exempt Organization
LULU BRYAN RAMBAUD CHARITABLE TRUST
ATTN: BRUCE A. ATKINS, TRUSTEE

Employer identification number 74-6033114

Number, street, and room or suite no. If a P O box, see instructions
C/O GAUS & HANEY CPA'S; 5851 SAN FELIPE #895

For IRS use only

City, town or post office, state, and ZIP code For a foreign address, see instructions
HOUSTON, TX 77057

Check type of return to be filed (File a separate application for each return)

☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec 401(a) or 408(a) trust) ☐ Form 1041 A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ CHRIST CHURCH CATHEDRAL 1117 TEXAS AVENUE, HOUSTON TX - 77002

Telephone No.▶ (713)222-2593 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐. If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010.

5 For calendar year 2009 , or other tax year beginning ______ , and ending ______

6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension
ADDITIONAL INFORMATION IS STILL NEEDED TO COMPLETE THE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 1,900.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 8,300.
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ CPA Date ▶ 8-12-10

Form **8868** (Rev. 4-2009)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MORGAN STANLEY #2770011241	960.
UBS FINANCIAL SERVICES #53031	27.
MORGAN STANLEY #2770011245	9.
MORGAN STANLEY #2770011246	5.
MORGAN STANLEY #2770011244	3.
MORGAN STANLEY #2770011243	1.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,005.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN STANLEY #277011242	11,511.	0.	11,511.
MORGAN STANLEY #277011244	389.	0.	389.
MORGAN STANLEY #277011245	2,836.	0.	2,836.
MORGAN STANLEY #277011243	2,786.	0.	2,786.
MORGAN STANLEY #277011246	9,736.	0.	9,736.
UBS FINANCIAL SERVICES #53022	1,927.	0.	1,927.
UBS FINANCIAL SERVICES #53023	4,947.	0.	4,947.
UBS FINANCIAL SERVICES #53024	12,689.	0.	12,689.
UBS FINANCIAL SERVICES #53025	213.	0.	213.
UBS FINANCIAL SERVICES #53026	1,232.	0.	1,232.
LESS PURCHASED INTEREST	-5,844.	0.	-5,844.
LESS AMORTIZATION	-3,125.	0.	-3,125.
TOTAL TO FM 990-PF, PART I, LN 4	39,297.	0.	39,297.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTIES	43,666.	43,666.	
TOTAL TO FORM 990-PF, PART I, LINE 11	43,666.	43,666.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES-TAX PREPARATION	1,500.	1,500.		0.
TO FORM 990-PF, PG 1, LN 16B	1,500.	1,500.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	12,638.	12,638.		0.
INVESTMENT EXPENSE	342.	342.		0.
TO FORM 990-PF, PG 1, LN 16C	12,980.	12,980.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REAL ESTATE TAXES	2,522.	2,522.		0.
FOREIGN INCOME TAXES	611.	611.		0.
FEDERAL EXCISE TAX	15,293.	0.		0.
TO FORM 990-PF, PG 1, LN 18	18,426.	3,133.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	26.	26.		0.
TO FORM 990-PF, PG 1, LN 23	26.	26.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT OBLIGATIONS	X		252,397.	250,728.
TOTAL U.S. GOVERNMENT OBLIGATIONS			252,397.	250,728.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			252,397.	250,728.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	820,954.	904,072.
TOTAL TO FORM 990-PF, PART II, LINE 10B	820,954.	904,072.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	196,924.	202,925.
TOTAL TO FORM 990-PF, PART II, LINE 10C	196,924.	202,925.

FORM 990-PF CASH DEEMED CHARITABLE EXPLANATION STATEMENT STATEMENT 11
PART X, LINE 4

AN AMOUNT GREATER THAN 1.5% OF LINE 3 ($23,316) IS NEEDED FOR CHARITABLE ACTIVITIES INCLUDING REQUIRED CHARITABLE DONATIONS WHICH FOR 2009 WERE $68,000.