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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2009

For calendar year 2009, or tax year beginning **January 1, 2010**, 2009, and ending **December 31**, 20 **09**

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation Cathay Bank Foundation		A Employer identification number 74-3052411
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) 626-279-3296
	City or town, state, and ZIP code Los Angeles, CA 90012		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 200	J Accounting method. <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	613,435			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	613,435				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	130			130
	23 Other expenses (attach schedule)	180			180
	24 Total operating and administrative expenses. Add lines 13 through 23	310			310
	25 Contributions, gifts, grants paid	727,530.98			727,530.98
26 Total expenses and disbursements. Add lines 24 and 25	727,840.98			727,840.98	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	(114,405.98)				
b Net investment income (if negative, enter -0-)		none			
c Adjusted net income (if negative, enter -0-)			none		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		127,605.98	200	200
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)			127,605.98	200	200
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable	13,000	0		
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)			13,000	0
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	114,605.98	200		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see page 17 of the instructions)			114,605.98	200	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)			127,605.98	200	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	114,605.98
2	Enter amount from Part I, line 27a	2	(114,405.98)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	200
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	200

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a none			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) } If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008			
2007			
2006			
2005			
2004			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	none	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		
6	Credits/Payments:			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
1c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
4b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ California		
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	✓	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.cathaybank.org</u>	13	✓	
14	The books are in care of ▶ <u>Georgia Lo</u> Telephone no. ▶ <u>626-279-3296</u> Located at ▶ <u>9650 Flair Drive, El Monte, CA</u> ZIP+4 ▶ <u>91731</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . 1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1c		✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.) 2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.</i>) 3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No
 - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here **5b**
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
** see attach **				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
none				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
none		
.....		
.....		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Annual Golf Tournament: to raise funds to support charitable worthy organizations. Beneficiaries for this year include (1) Asian American Senior Citizens Service Center, (2) Friends of the Chinatown Library, (3) International Institute of Los Angeles, (4) Irvine Chinese School, (5) Pacific Clinics, (6) Taiwanese American Heritage Week, and	
2 (7) Cathay Bank Foundation. Expenses incurred for this even was paid directly by Cathay Bank which was \$17,670 for this year.	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 none	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	none
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	
6	Minimum investment return. Enter 5% of line 5	6	

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2009 from Part VI, line 5	2a	
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	727,840.98
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	727,840.98
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	727,840.98

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e				
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ <u>727,840.98</u>				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

none

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:
David Nakagaki, Cathay Bank Foundation, 777 North Broadway, Los Angeles, CA 90012, tel: 626-279-3892

- b** The form in which applications should be submitted and information and materials they should include:
** see attach **

- c** Any submission deadlines:
March 1, August 1

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
** see attach **

Part XV **Supplementary Information (continued)**

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a <i>Paid during the year</i></p> <p>** see attach **</p>				<p>\$727,530.98</p>
<p>Total ▶ 3a</p>				<p>\$727,530.98</p>
<p>b <i>Approved for future payment</i></p>				
<p>Total ▶ 3b</p>				<p>none</p>

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Cathay Bank Foundation

Employer identification number

74 : 3052411

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Cathay Bank Foundation	Employer identification number 74 : 3052411
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Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Cathay Bank 777 North Broadway Los Angeles, CA 90012	\$ 510,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	FIS-Banking Solutions 2001 Summit Park Dr Orlando, FL 32810	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	Prime Income Asset Management 1800 Valley View Lane, Suite 300 Dallas, TX 75234	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
4	Raider Planning & Construction, Inc. 17560 Parthenia St Northridge, CA 91325	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
5	Pacific BMW 800 South Brand Blvd Glendale, CA 91204	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
6	Diamond Ridge Development 116 East Foothill Boulevard Glendora, CA 91741	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization Cathay Bank Foundation	Employer identification number 74 : 3052411
---	---

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	Belmont Fiber Inc and Greenwood Company ----- 1736 Chapin Road ----- Montebello, CA 90640 -----	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
	----- ----- ----- -----	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	----- ----- ----- -----	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
	----- ----- ----- -----	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
	----- ----- ----- -----	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
	----- ----- ----- -----	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
	----- ----- ----- -----	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization Cathay Bank Foundation	Employer identification number 74 : 3052411
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Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.
For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
.....	N/A
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
.....
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
.....
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
.....
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	

Cathay Bank Foundation
EIN: 74-3052411
2009 Form 990-PF Part I –
Question 23: Other Expenses

Description/Type	Amount (\$)
Tax Franchise Board	10.00
Attorney's General Registry of Charitable Trusts	150.00
Department of Justice	20.00
OTHER EXPENSES	<u>180.00</u>

Cathay Bank Foundation
EIN: 74-3052411
2009 Form 990PF – Part VII-A
Question 10: Substantial Contributors

Substantial Contributors	Amount
Cathay Bank 777 North Broadway Los Angeles, CA 90012	\$510,000

Cathay Bank Foundation
Statement of Financial Position as of December 31, 2009

BALANCE SHEET

	Amount	Total
<u>Assets</u>		
Cash and cash equivalent		\$ 200.00
Total Assets		<u>\$ 200.00</u>
<u>Liabilities</u>		
Accounts Payable		\$ -
		<u>\$ 200.00</u>
<u>Net Assets</u>		
Beginning Balance as of 1/1/2009	\$ 114,605.98	
Change in Net Assets	\$ (114,405.98)	\$ 200.00
Total Net Assets		<u>\$ 200.00</u>

STATEMENT OF ACTIVITIES

Support and Revenue

<i>Corporate Support</i>		
Cathay Bank		\$ 510,000.00
<i>Public Support</i>		
Cathay Bank Golf Tournament	\$ 103,235.00	
Other	\$ 200.00	\$ 103,435.00
Total Support and Revenues		<u>\$ 613,435.00</u>

Expenditures

Contributions, gifts, grants paid

AnewAmerica Community Corporation	\$5,000.00
APA Family Support Services	\$25,000.00
Asian American Civic Association	\$5,000.00
Asian American Senior Citizens Service Center	\$13,000.00
Asian Americans For Equality	\$10,000.00
Asian Pacific Community Fund	\$10,000.00
Asian Pacific Islander Small Business Program	\$5,000.00
Asian Rehabilitation Service Inc	\$3,430.98
Boston Chinatown Neighborhood Center Inc	\$3,500.00
Boys and Girls Club of San Gabriel	\$10,000.00
Break the Cycle	\$3,500.00
California Council on Economic Education	\$50,000.00
California Reinvestment Coalition	\$15,000.00
CB Foundation- Taiwan Flood Relief Fund	\$20,000.00
Center for Employment Opportunities	\$2,500.00
Centro Latino for Literacy	\$5,000.00
Charity Cultural Services Center	\$2,500.00
Chinatown Partnership LDC	\$10,000.00
Chinatown Service Center	\$80,000.00
Chinese Community Center	\$10,000.00
Chinese Information and Service Center	\$10,000.00
Chinese Newcomers Service Center	\$5,000.00
Chrysalis	\$3,300.00
Common Ground Community HDFC Inc	\$2,500.00
Community Financial Resource Center	\$20,000.00

Family Bridges Inc	\$10,000.00	
Food Bank for New York City	\$100,000.00	
Friends of the Chinatown Library	\$13,000.00	
Greater Dallas Asian American Chamber of Commerce Economic	\$5,000.00	
Henry Street Settlement	\$10,000.00	
International Institute of Los Angeles	\$13,000.00	
Junior Achievement of Southeast Texas	\$5,000.00	
Kwong Kow Chinese School Inc	\$2,500.00	
LAMP Community	\$5,000.00	
Latino Business Chamber of Greater Los Angeles	\$15,000.00	
Little Tokyo Service Center	\$5,000.00	
Mabuhay Alliance Inc	\$10,000.00	
New Jersey Citizen Action Education Fund	\$5,000.00	
OCA-GLA	\$10,000.00	
Opportunity Fund	\$10,000.00	
Pacific Clinics	\$13,000.00	
Partners in Community Building Inc	\$5,000.00	
San Diego Habitat for Humanity	\$5,000.00	
Shelter Partnership Inc	\$2,500.00	
South Coast Chinese Cultural Association	\$13,000.00	
Taiwanese American Heritage Week- LA Chapter	\$10,000.00	
Taiwanese American Heritage Week- Orange County Chapter	\$3,000.00	
The Greenlining Institute	\$15,000.00	
The Midnight Mission	\$3,300.00	
United Way of Greater Los Angeles	\$15,000.00	
VEDC	\$60,000.00	
Watts Century Latino Organization	\$25,000.00	
YMCA of Greater Seattle	\$5,000.00	
YMWCA of Seattle- King County - Snohomish County	\$5,000.00	\$727,530.98

Operating and administrative expenses

Printing and Publishing	\$	130.00	
Other expenses	\$	180.00	\$ 310.00
Total Operating Expenditures			<u>\$727,840.98</u>

Change in Net Assets			<u>\$ (114,405.98)</u>
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Cathay Bank Foundation

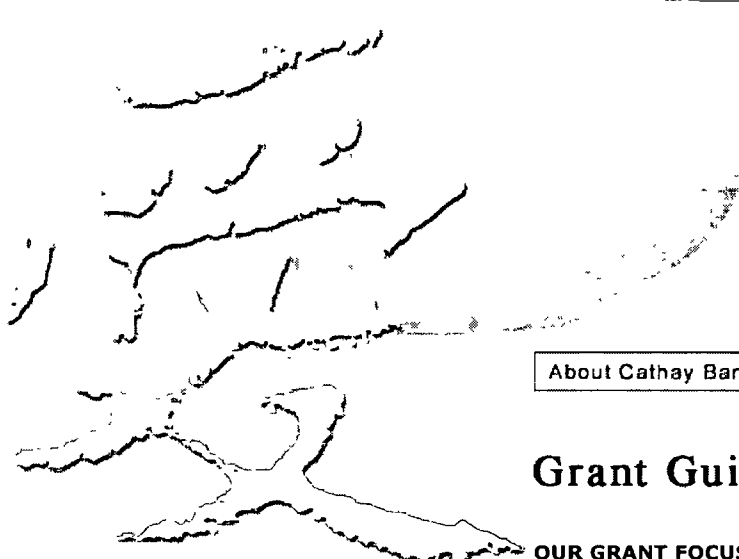
EIN: 74-3052411

2009 Form 990PF Part VIII –**Question 1: Information About Officers, Directors, Trustees, Foundation Manager, Highly Paid Employees, and Contractors**

(a) Name and Address	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowance
Peter Wu 777 North Broadway, Los Angeles, CA 90012	Chairman of the Board, President, and Chief Executive Officer	0.08	-0-	-0-
Irwin Wong 777 North Broadway Los Angeles, CA 90012	Director, Vice President, and Chief Financial Officer/Treasurer	0.08	-0-	-0-
Deborah Ching 777 North Broadway Los Angeles, CA 90012	Director	0.25	-0-	-0-
Alex Lee 777 North Broadway Los Angeles, CA 90012	Director and Vice President	0.08	-0-	-0-
Patrick Lee 777 North Broadway Los Angeles, CA 90012	Director	0.08	-0-	-0-
Dominic Li 777 North Broadway Los Angeles, CA 90012	Director and Vice President	0.08	-0-	-0-
Pin Tai 777 North Broadway Los Angeles, CA 90012	Director and Vice President	0.08	-0-	-0-
Wilson Tang 777 North Broadway Los Angeles, CA 90012	Director and Vice President	0.08	-0-	-0-
David Nakagaki 777 North Broadway Los Angeles, CA 90012	Director, Vice President, and Secretary	8.00	-0-	-0-

Cathay Bank Foundation EIN 74-3052411 2009 Form 990PF Part XV - Question 2b and 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs

Cathay Bank Foundation
 enhancing the growth and success of communities

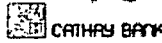


Health & Welfare

About Cathay Bank Foundation	Community	The Foundation
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Grant Guidelines

OUR GRANT FOCUS



Cathay Bank Foundation will consider grants from the following areas.

Community & Economic Development

- › Programs to preserve, rehabilitate and construct affordable housing in low and moderate-income areas;
- › Organizations that provide home-buyer counseling to families in the LMI communities;
- › Programs that support small business development, commercial revitalization, and job creation,
- › Programs tailored to assist LMI individuals in development of work and life skills, with a specific emphasis on work-entry programs, skills training, and employment retention;
- › Senior services, workforce development and services for individuals with disabilities

Education

- › Innovative programs that offer assistance to low-and moderate-income (LMI) youths to excel in school and prepare for higher education;
- › Financial literacy programs for youth and adults in LMI communities;
- › Effective mentoring programs for at-risk youths;
- › Student scholarship programs focused on finance related studies,
- › Literacy, math, parent engagement efforts and English as a Second Language (ESL);
- › Entrepreneurship education programs for underserved youth.

Civic & Community

- › Civic organizations that focus on development of neighborhoods and preservations of social issues,
- › Organizations that seek to enhance communications and

- › understanding of other communities with our communities;
- › Voter registration and education, community organizing and leadership development.

Health & Welfare

- › Homeless, crisis shelters and battered women shelters;
- › Programs that provide services to children and families at risk;
- › Soup kitchens;
- › Health prevention and education targeted to conditions such as diabetes, obesity, asthma; insurance enrollment of uninsured children and adults; mental health services; fitness and nutrition.

ELIGIBILITY CRITERIA

The Foundation will place strong emphasis on nonprofit organizations that are results oriented and market savvy. The organization's programs and services must:

- › Target the low- and moderate-income (LMI) population;
- › Display leadership and vision in the community,
- › Provide tangible benefits to the communities they serve,
- › Have an effective system to measure and report results with a demonstrated track record;
- › Have established strategic alliance with the community to face expected challenges;
- › Have 501c(3) tax-exempt status from the IRS;
- › Be equal opportunity employers;
- › Display fiscal and administrative stability

INELIGIBLE ORGANIZATIONS

Cathay Bank Foundation cannot support the following:

- › Organizations without 501c(3) status
- › Individuals, religious, fraternal and labor organizations
- › Organizations/programs that are not aligned with the Foundation's missions
- › Organizations that are located or benefit outside Cathay Bank's communities
- › Private foundations
- › Organizations with missions to influence or participate in political campaigns on behalf or against a candidate or initiative
- › Veterans and/or military organization
- › Organizations that channel grant funds to third parties
- › Service club activities
- › Operating funds for hospitals or other patient care facilities
- › Funding of travel and related expenses for any organization.

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Grant Application

Instructions: Make sure you have reviewed the Cathay Bank Foundation application process guidelines before completing this form. Please forward this application in electronic format together with any other electronic forms you may consider essential to support your Grant. This will facilitate our overall review and presentation process. All sections of the application must be completed. Remember to include all required attachments, and sign and date the application before your final submission. Please submit the application in triplicate.

ORGANIZATION INFORMATION

Name of Organization: _____

Federal Tax I.D. Number (EIN): _____

Address: _____

City: _____ State: _____ Zip: _____

Website: _____

Executive Director: _____

Telephone No.: _____ Fax No.: _____ Email: _____

Principle Contact Person: _____ Title: _____

Telephone No.: _____ Fax No.: _____ Email: _____

ORGANIZATION BACKGROUND

1) Type of organization (check all that apply(s)) 501c(3) CDFI CDC other, please specify: _____

2) Year of Establishment (e.g. 1982) _____

3) What geographic area(s) does your organization serve? _____

4) What target market does your organization serve? _____

5) Briefly provide an overview of your organization (attach separate sheet if necessary): _____

GRANT INFORMATION

6) Amount Requested: \$ _____ 7) Event/Project Title: _____

8) Description of target market reached and estimated number of people that will benefit from this event/project: _____

9) Areas of Funding (check all that apply):
 Education Health & Welfare Community & Economic development
 Cultural & Arts Civic & Community Others (please specify): _____

10) Briefly explain how funds requested will be used to serve the low- to moderate- income population and what percentage of that population will be served?(attach separate sheet if necessary). _____

11) Has the organization received a grant from Cathay Bank in the last three years? No Yes

If yes, during what year, what amounts, and for what purpose(s)?

Year	Purpose	Amount

12) Please list any Cathay Bank employees involved in your organization and their roles.

SUPPORTING DOCUMENTS

Please submit a copy of the following documents together with the completed application form:

- Cover letter, including mission of the organization, total amount requested and purpose of funds;
- Governance information;
- A list of all trustees or directors and officers, including names, addresses, and contact number;
- A copy of your tax exemption letter from the IRS indicating tax exempt 501c(3) status;
- Operating budget for the past two years;
- Audited financial statements (minimum 2 years);
- Itemized project costs related to the program;
- A description of the organization with a brief history, specific programs offered and program objectives, significant highlights of the organization, number of people and geographic areas served, and staff list and job titles; (This may also be included in electronic format)
- A list of major corporate and foundation contributors and amounts contributed for the past three years; and
- Marketing/Communications materials and Annual Report (if available) or brochures describing programs and services.

AUTHORIZATION

The undersigned, an authorized officer of the organization, does hereby certified that the information contained in this application is true and correct, and if the organization is awarded a grant, the grant will be used only for the purpose(s) outlined in the grant application letter and the grant may not be used for any other purpose(s) without prior written approval from Cathay Bank Foundation.

Authorized Officer (Signature)

Title

Date of Application

APPLICATION SUBMISSION SUMMARY

Please forward the following documents in electronic form as it will greatly assist us in preparing and presenting your application to the Foundation for their consideration.

- Cathay Bank Foundation Grant Application
- Any other electronic forms you may consider essential to support your Grant

Mail, in triplicate, the documents listed in the Supporting Documents section to:

Cathay Bank Foundation
9650 Flair Drive
Mail Stop EL-2-E
El Monte, CA 91731

No	Name of Recipient	Address	City, State and Zip	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution (to benefit)	Amount
1	AnewAmerica Community Corporation	1918 University Avenue	Berkeley, CA 94704	Thomas Wilson, Board Member	501(c)(3)	Micro Enterprise opportunities for low-to moderate income immigrants	\$5,000 00
2	APA Family Support Services	730 Commercial Street	San Francisco, CA 94108	Dominic Li, Advisory Board Member, Nicholas Chung, Treasurer	501(c)(3)	Promote healthy families & prevent child abuse & domestic violence in API communities	\$25,000 00
3	Asian American Civic Association	87 Tyler Street	Boston, MA 02111	N/A	501(c)(3)	Provide employability capacities needed to qualify for further education, job training, and employment opportunities	\$5,000 00
4	Asian American Senior Citizens Service Center	850 N Birch Street	Santa Ana, CA 92701	N/A	501(c)(3)	Social services to Asian American senior citizens	\$13,000 00
5	Asian Americans For Equality	108 Norfolk Street	New York, NY 10002	N/A	501(c)(3)	Economic Development for Asian Americans	\$10,000 00
6	Asian Pacific Community Fund	315 W 9th Street, Suite 301	Los Angeles, CA 90015	N/A	501(c)(3)	To address the diverse needs of APIs in southern California	\$10,000 00
7	Asian Pacific Islander Small Business Program	231 East Third Street	Los Angeles, CA 90013	N/A	501(c)(3)	Assist in the development of micro-businesses in L A	\$5,000 00
8	Asian Rehabilitation Services	1701 E Washington Boulevard	Los Angeles, CA 90012	N/A	501(c)(3)	Provides vocational rehabilitation services to the disabled	\$3,430 98
9	Boston Chinatown Neighborhood Center	885 Washington Street	Boston, MA 02111	N/A	501(c)(3)	Improve quality of life for Boston's Chinatown residents	\$3,500 00
10	Boys and Girls Clubs of West San Gabriel Valley	328 S Ramona Avenue	Monterey Park, CA 91754	Irwin Wong, Board Member	501(c)(3)	Provides youth of all backgrounds with life skills	\$10,000 00
11	Break the Cycle	5200 W Century Boulevard, Ste 300	Los Angeles, CA 90045	Jennifer Laforcade, Board Member	501(c)(3)	Prevention and intervention services to youth of domestic violence and dating violence	\$3,500 00
12	California Council on Economic Education	5500 University Parkway	San Bernardino, CA 92407	N/A	501(c)(3)		\$50,000 00
13	California Reinvestment Coalition	474 Valencia Street, Suite 110	San Francisco, CA 94103	N/A	501(c)(3)	Advocate economic development in low-income and minority communities	\$15,000 00
14	Tzu Chi Foundation / CB Foundation- Taiwan Flood Relief Fund	1100 S Valley Center Ave	San Dimas, CA 91773	N/A	501(c)(3)	Contributing to better social and community services, medical care, education and humanism in Hualien and around the world	\$20,000 00
15	Center for Employment Opportunities	32 Broadway, 15th Floor	New York, NY 10004	N/A	501(c)(3)	Help anyone with a recent criminal history who wants to work find a job and to stay connected to the labor force	\$2,500 00
16	Centro Latino For Literacy	1709 W 8th Street, Suite A	Los Angeles, CA 90017	N/A	501(c)(3)	Provides English literacy and writing skills to the Latino immigrant community	\$5,000 00
17	Charity Cultural Services Center	827 Stockton Street	San Francisco, CA 94108	Dominica Li, Advisory Board Member	501(c)(3)	Provides education and employment training to Asian immigrants	\$2,500 00
18	Chinatown Partnership LDC	60 St James Place	New York, NY 10038	N/A	501(c)(3)	Promote business & economic development in the Chinatown section of Manhattan	\$10,000 00
19	Chinatown Service Center	767 N Hill Street, Suite 400	Los Angeles, CA 90012	Patrick Lee, Board Member	501(c)(3)	Provides education, health and human services to Asian and other immigrant communities	\$80,000 00
20	Chinese Community Center	9800 Town Park	Houston, TX 77036	N/A	501(c)(3)	Enrich families with educational, cultural and social service programs	\$10,000 00
21	Chinese Information and Service Center	611 S Lane St	Seattle WA 98104	Martin Liang, Board Member	501(c)(3)	Providing information, referral, advocacy, social, and support services	\$10,000 00
22	Chinese Newcomers Service Center	777 Stockton Street	San Francisco, CA 94108	Eric Cheung, Board Member	501(c)(3)	Provide social services job training , counseling & ESL services	\$5,000 00
23	Chrysalis	1853 Lincoln Blvd	Santa Monica, CA 90404	N/A	501(c)(3)	Help homeless and economically disadvantaged individuals become self-sufficient through employment opportunities	\$3,300 00
24	Common Ground Community HDFC Inc	14 East 28th Street	New York, NY 10016	N/A	501(c)(3)	End homelessness through innovative programs that transforms peoples' lives	\$2,500 00

No.	Name of Recipient	Address	City, State and Zip	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution (to benefit)	Amount
25	Community Financial Resource Center	4060 S Figueroa Street	Los Angeles, CA 90037	Kim Bingham, Board Member	501(c)(3)	Create economic opportunities in business in disinvested areas of L A	\$20,000 00
26	Family Bridges Inc	168 11th Street	Oakland, CA 94607	Eric Cheung, Board Member	501(c)(3)	Provide social services to immigrants with limited English proficiency	\$10,000 00
27	Food Bank For New York City	39 Broadway, 10th Floor	New York, NY 10006	N/A	501(c)(3)	Provides EITC & VITA help to low-income residents of New York City	\$100,000 00
28	Friends of the Chinatown Library	639 N Hill Street	Los Angeles, CA 90012	N/A	501(c)(3)	Provides library services for Chinatown's youth for after-school & Saturday non school hours	\$13,000 00
29	Greater Dallas Asian American Chamber of Commerce Economic	1327 N Peak St	Dallas, TX 75204	N/A	501(c)(3)	Helping low and moderate income entrepreneurs start and grow their businesses with incubator space and assistance	\$5,000 00
30	Henry Street Settlement	265 Henry St	New York, NY 10002	N/A	501(c)(3)	Help build better lives for the inhabitants of Manhattan's Lower East Side	\$10,000 00
31	International Institute of Los Angeles	3485 Selig Place	Los Angeles, CA 90031	Wilson Tang, Board Member	501(c)(3)	Provides employment skills to newly arrived low-income immigrants	\$13,000 00
32	Junior Achievement of Southeast Texas	2115 E Governors Cir	Houston, TX 77092	N/A	501(c)(3)	Movement that seeks to educate and inspire young people to value free enterprise, business and economics to improve the quality of their lives	\$5,000 00
33	Kwong Kow Chinese School	90 Tyler Street	Boston, MA 02111	N/A	501(c)(3)	Disseminate Chinese language & culture to non-Chinese learners	\$2,500 00
34	LAMP Community	527 S Crocker Street	Los Angeles, CA 90013	N/A	501(c)(3)	Provide the resources and a safe, accessible environment in which homeless men and women living with chronic mental illness can work toward and gain maximum control of their lives	\$5,000 00
35	Latino Business Chamber of Greater Los Angeles	634 S Spring Street, Suite 818	Los Angeles, CA 90014	N/A	501(c)(3)	Provide valuable and permanent member services for the improvement success of business	\$15,000 00
36	Little Tokyo Service Center	213 East Third Street , G106	Los Angeles, CA 90013	N/A	501(c)(3)	Provides social services & promotes cultural diversity among ethnic communities	\$5,000 00
37	Mabuhay Alliance	9630 Black Mountain Road, Suite G	San Diego, CA 92126	N/A	501(c)(3)	Enhance quality of life for Filipino and other APIs in San Diego	\$10,000 00
38	Midnight Mission, The	601 S San Pedro Street	Los Angeles, CA 90014	N/A	501(c)(3)	To offer a bridge to self-sufficiency for homeless people through counseling, education, training and job placement	\$3,300 00
39	New Jersey Citizen Action Education Fund	744 Broad Street, Suite 2080	Newark, NJ 07601	N/A	501(c)(3)	Provide foreclosure prevention & homeownership education for first-time homebuyers	\$5,000 00
40	Opportunity Fund	111 W Saint John Street, Suite 800	San Jose, CA 95113	N/A	501(c)(3)	Help working families realize their dreams and move beyond worrying about how to live day to day	\$10,000 00
41	Organization of Chinese Americans-Greater L A	1145 Wilshire Boulevard, 1st Floor	Los Angeles, CA 90017	N/A	501(c)(3)	Advance the social economic , & political well being of Asian Americans in L A	\$10,000 00
42	Pacific Clinics	800 S Santa Anita Avenue	Arcadia, CA 91006	N/A	501(c)(3)	Provides mental behavioral healthcare services to individuals and families of all diverse backgrounds & cultures	\$13,000 00
43	Partners in Community Building Inc	3424 S State Building	Chicago, IL 60616	N/A	501(c)(3)	To improve the life styles of seniors, families, and individuals of low to moderate income with excellence in housing, financing and community education	\$5,000 00

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44	San Diego Habitat for Humanity	10222 San Diego Mission Road	San Diego, CA 92108-2135	N/A	501(c)(3)	To develop communities with people in need by building and renovating houses so that there are decent houses in decent communities	\$5,000 00
45	Shelter Partnership	523 W 6th Street, Suite 616	Los Angeles, CA 90014	N/A	501(c)(3)	Develop & maintain short-term and permanent housing for the homeless population throughout Los Angeles County	\$2,500 00
46	South Coast Chinese Cultural Association	9 Truman	Irvine, CA 92620	N/A	501(c)(3)	Provides an array of cultural services to the Chinese American community	\$13,000 00
47	Taiwan Center	3001 Walnut Grove Avenue #9	Rosemead, CA 91770	N/A	501(c)(3)	Promotes Taiwanese culture and heritage via charitable, literary & educational activities	\$10,000 00
48	Taiwanese Chamber of Commerce-Orange County Chapter	2091 W MacArthur Blvd, #115	Santa Ana, CA 92704	N/A	501(c)(3)	Promotes Taiwanese culture and heritage via charitable, literary & educational activities	\$3,000 00
49	The Greenlining Institute	1918 University Avenue, 2nd Floor	Berkeley, CA 94704	N/A	501(c)(3)	Advocates for low-income minority participation in civic and policy-making activities	\$15,000 00
50	United Way of Greater Los Angeles	1150 S Olive Street, Suite T500	Los Angeles, CA 90014	N/A	501(c)(3)	Fight poverty by providing affordable housing and healthcare, improving educational achievement and increasing financial stability	\$15,000 00
51	Valley Economic Development Center (VEDC)	5121 Van Nuys Boulevard, 3rd Floor	Van Nuys, CA 91403	Irwin Wong, Board Member	501(c)(3)	Create entrepreneurship & job opportunities for deserving residents	\$60,000 00
52	Watts Century Latino Organization	10360 Wilmington Avenue	Los Angeles, CA 90002	N/A	501(c)(3)	Community-based organization dedicated to supporting the development of healthy neighborhoods and self-sufficient families	\$25,000 00
53	YMCA of Greater Seattle	909 4th Ave	Seattle WA 98104	N/A	501(c)(3)	Provides critical housing and support to homeless youth living transitioning them into permanent housing and empowering them to gain the life skills they need to be successful and independent adults	\$5,000 00
54	YMCA of Seattle - King County - Snohomish County	909 4th Ave	Seattle WA 98104	N/A	501(c)(3)	Provides critical housing and support to homeless youth living transitioning them into permanent housing and empowering them to gain the life skills they need to be successful and independent adults	\$5,000 00

TOTAL:

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