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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation SEMNANI FAMILY FOUNDATION		A Employer identification number 74-2639794
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number 801-321-7725
	City or town, state, and ZIP code SALT LAKE CITY, UT 84102-1102		C If exemption application is pending, check here ☐
	City or town, state, and ZIP code SALT LAKE CITY, UT 84102-1102		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 40,659,449. (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	10,031,090.		N/A	
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	31,296.	31,296.		STATEMENT 1
4	Dividends and interest from securities	1,257,353.	1,257,353.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-3,066,738.			
b	Gross sales price for all assets on line 6a	34,155,104.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	-175,037.	-175,037.		STATEMENT 3
12	Total. Add lines 1 through 11	8,077,964.	1,113,612.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees STMT 4	132.	88.		44.
b	Accounting fees STMT 5	6,000.	4,020.		1,980.
c	Other professional fees STMT 6	120,493.	112,524.		7,969.
17	Interest				
18	Taxes STMT 7	21,605.	21,585.		20.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	3,429.	2,297.		1,132.
22	Printing and publications				
23	Other expenses STMT 8	99,129.	97,841.		1,287.
24	Total operating and administrative expenses. Add lines 13 through 23	250,788.	238,355.		12,432.
25	Contributions, gifts, grants paid	2,359,081.			2,359,081.
26	Total expenses and disbursements. Add lines 24 and 25	2,609,869.	238,355.		2,371,513.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	5,468,095.			
b	Net investment income (if negative, enter -0-)		875,257.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Operating and Administrative Expenses

05

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	51,194.	96,849.	96,849.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 10,757.			
	Less: allowance for doubtful accounts ▶		10,757.	10,757.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 11	43,434,991.	45,651,193.	40,531,843.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ CULTURAL RESOURCES)	20,000.	20,000.	20,000.	
16 Total assets (to be completed by all filers)	43,506,185.	45,778,799.	40,659,449.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,401,271.	2,401,271.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	41,104,914.	43,377,528.		
30 Total net assets or fund balances	43,506,185.	45,778,799.		
31 Total liabilities and net assets/fund balances	43,506,185.	45,778,799.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,506,185.
2 Enter amount from Part I, line 27a	2	5,468,095.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	232,937.
4 Add lines 1, 2, and 3	4	49,207,217.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	3,428,418.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	45,778,799.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 34,155,104.		37,221,842.	-3,066,738.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-3,066,738.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-3,066,738.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,909,762.	47,896,932.	.039872
2007	1,165,904.	40,910,451.	.028499
2006	495,889.	36,137,246.	.013722
2005	1,517,828.	31,599,550.	.048033
2004	1,584,310.	31,418,811.	.050426

2 Total of line 1, column (d)	2	.180552
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.036110
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	37,373,732.
5 Multiply line 4 by line 3	5	1,349,565.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,753.
7 Add lines 5 and 6	7	1,358,318.
8 Enter qualifying distributions from Part XII, line 4	8	2,371,513.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	8,753.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	8,753.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,753.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	7,294.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	17,294.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,541.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 8,541. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ UT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>KHOSROW SEMNI</u> Telephone no. ► <u>801-321-7725</u> Located at ► <u>630 EAST SOUTH TEMPLE, SALT LAKE CITY, UT</u> ZIP+4 ► <u>84102-1102</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☒

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KHOSROW SEMNANI	DIRECTOR			
630 EAST SOUTH TEMPLE				
SALT LAKE CITY, UT 84102	1.00	0.	0.	0.
SHIRIN KIA	DIRECTOR			
630 EAST SOUTH TEMPLE				
SALT LAKE CITY, UT 84102	0.50	0.	0.	0.
NOLAN KARRAS	DIRECTOR			
630 EAST SOUTH TEMPLE				
SALT LAKE CITY, UT 84102	0.50	0.	0.	0.
GHAZALEH SEMNANI	DIRECTOR			
630 EAST SOUTH TEMPLE				
SALT LAKE CITY, UT 84102	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	32,799,395.
b	Average of monthly cash balances	1b	5,112,723.
c	Fair market value of all other assets	1c	30,757.
d	Total (add lines 1a, b, and c)	1d	37,942,875.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	37,942,875.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	569,143.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	37,373,732.
6	Minimum investment return. Enter 5% of line 5	6	1,868,687.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,868,687.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	8,753.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,753.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,859,934.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,859,934.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,859,934.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,371,513.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,371,513.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	8,753.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,362,760.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,859,934.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			2,255,755.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 2,371,513.				
a Applied to 2008, but not more than line 2a			2,255,755.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				115,758.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				1,744,176.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
(2) Other assets

1a(1)	X
-------	---

1a(2)		X
-------	--	---

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

1b(1)	X
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1b(2)		X
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1b(3)		X
-------	--	---

1b(4)		X
-------	--	---

1b(5)		X
-------	--	---

1b(6)		X
-------	--	---

1c		X
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c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

Sign Here

**Paid
Preparer's
Use Only**

Preparer's
signature

Firm's name (or yours if self-employed),
address, and ZIP code

J.H. COHN LLP
21700 OXNARD ST, 7TH FLOOR
WOODLAND HILLS, CA 91367

Date 11

☐ Check if self-employed

Preparer's identifying number

EIN ►

Phone no. 818-205-2600

Form **990-PF** (2009)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

SEMNANI FAMILY FOUNDATION

Employer identification number

74-2639794

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

SEMNANI FAMILY FOUNDATION**74-2639794****Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	KHOSROW SEMNANI 630 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84102	\$ 10,031,090.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Employer identification number

74-2639794

[illegible]

Name of organization

Employer identification number

SEMNIANI FAMILY FOUNDATION

74-2639794

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

SEMNANI FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS 29936 - SEE ATTACHED	P	07/07/06	01/02/09
b	UBS 29937 - SEE ATTACHED	P	VARIOUS	VARIOUS
c	UBS 29937 - SEE ATTACHED	P	VARIOUS	VARIOUS
d	UBS 29938 - SEE ATTACHED	P	VARIOUS	VARIOUS
e	UBS 29938 - SEE ATTACHED	P	VARIOUS	VARIOUS
f	UBS 29939 - SEE ATTACHED	P	VARIOUS	VARIOUS
g	UBS 29939 - SEE ATTACHED	P	VARIOUS	VARIOUS
h	UBS 29941 - SEE ATTACHED	P	VARIOUS	VARIOUS
i	UBS 29941 - SEE ATTACHED	P	VARIOUS	VARIOUS
j	UBS 29942 - SEE ATTACHED	P	VARIOUS	VARIOUS
k	UBS 29942 - SEE ATTACHED	P	VARIOUS	VARIOUS
l	UBS 29943 - SEE ATTACHED	P	VARIOUS	VARIOUS
m	UBS 29944 - SEE ATTACHED	P	VARIOUS	VARIOUS
n	NT 32562 - SEE ATTACHED	P	VARIOUS	VARIOUS
o	NT 47530 - SEE ATTACHED	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 500,000.		500,000.	0.
b 8,053,561.		7,478,311.	575,250.
c 1,648,192.		2,059,647.	-411,455.
d 5,817,774.		5,573,594.	244,180.
e 1,761,928.		2,639,659.	-877,731.
f 1,554,234.		1,835,532.	-281,298.
g 2,995,040.		4,309,091.	-1,314,051.
h 107,577.		95,129.	12,448.
i 45,634.		50,620.	-4,986.
j 1,724,999.		1,500,904.	224,095.
k 3,239,656.		4,145,049.	-905,393.
l 365,018.		250,658.	114,360.
m 4,006,602.		4,416,725.	-410,123.
n 1,900,000.		1,796,872.	103,128.
o 118,661.		110,123.	8,538.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0.
b			575,250.
c			-411,455.
d			244,180.
e			-877,731.
f			-281,298.
g			-1,314,051.
h			12,448.
i			-4,986.
j			224,095.
k			-905,393.
l			114,360.
m			-410,123.
n			103,128.
o			8,538.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NT 47536 - SEE ATTACHED	P	VARIOUS	VARIOUS
b	NT 47537 - SEE ATTACHED	P	VARIOUS	VARIOUS
c	NT 47538 - SEE ATTACHED	P	VARIOUS	VARIOUS
d	LEVINE LEICHTMAN CAPITAL PARTNERS IV, LP	P	VARIOUS	VARIOUS
e	LEVINE LEICHTMAN CAPITAL PARTNERS IV, LP	P	VARIOUS	VARIOUS
f	UBS ACTIVIST PARTNERS LLC	P	VARIOUS	VARIOUS
g	UBS ACTIVIST PARTNERS LLC	P	VARIOUS	VARIOUS
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 22,989.		22,609.	380.
b 81,353.		74,083.	7,270.
c 185,215.		185,323.	-108.
d		724.	-724.
e 702.			702.
f		61,991.	-61,991.
g		115,198.	-115,198.
h 25,969.			25,969.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			380.
b			7,270.
c			-108.
d			-724.
e			702.
f			-61,991.
g			-115,198.
h			25,969.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-3,066,738.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
LEVINE LEICHTMAN CAPITAL PARTNERS IV, LP	22,121.
NT # 32562	2,275.
NT # 59289	9.
NT # 59290	68.
NT # 59292	7.
NT # 59293	10.
NT #47537	1,036.
UBS # 02279	4.
UBS ACTIVIST PARTNERS, L.L.C.	5,760.
UBS RENAISSANCE INSTITUTIONAL EQUITIES FUND II, L.L.C.	6.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	31,296.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
NT #32562	491,375.	23,936.	467,439.
NT #47519	19,401.	0.	19,401.
NT #47523	42,578.	0.	42,578.
NT #47530	6,457.	0.	6,457.
NT #47535	2,234.	0.	2,234.
NT #47536	4,904.	0.	4,904.
NT #47537	4,924.	0.	4,924.
NT #47538	302.	0.	302.
NT #47539	9,075.	0.	9,075.
NT #47541	2,495.	0.	2,495.
NT #47542	33.	0.	33.
NT #59289	18,651.	0.	18,651.
NT #59290	1,185.	0.	1,185.
NT #59291	6,416.	0.	6,416.
NT #59292	1,504.	0.	1,504.
NT #59293	64,618.	0.	64,618.
UBS # 29936	1.	0.	1.
UBS # 29937	79,278.	2,030.	77,248.
UBS # 29938	34,795.	3.	34,792.
UBS # 29939	127,715.	0.	127,715.
UBS # 29941	904.	0.	904.
UBS # 29942	92,935.	0.	92,935.
UBS # 29943	14,378.	0.	14,378.
UBS # 29944	25,363.	0.	25,363.
UBS # 29945	81.	0.	81.
UBS # 29946	227,036.	0.	227,036.

UBS ACTIVIST PARTNERS, LLC	4,684.	0.	4,684.
TOTAL TO FM 990-PF, PART I, LN 4	1,283,322.	25,969.	1,257,353.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS RENAISSANCE INSTITUTIONAL EQUITIES FUND II, L.L.C.	-171,326.	-171,326.	
UBS ACTIVIST PARTNERS, L.L.C.	-10,320.	-10,320.	
UBS NONDIVIDEND DISTRIBUTIONS	6,736.	6,736.	
NT NONDIVIDEND DISTRIBUTIONS	145.	145.	
NT 59290 FX CONTRACTS	-272.	-272.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-175,037.	-175,037.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	132.	88.		44.
TO FM 990-PF, PG 1, LN 16A	132.	88.		44.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,000.	4,020.		1,980.
TO FORM 990-PF, PG 1, LN 16B	6,000.	4,020.		1,980.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	96,343.	96,343.		0.
CONTRACT SERVICE	24,150.	16,181.		7,969.
TO FORM 990-PF, PG 1, LN 16C	120,493.	112,524.		7,969.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	19,851.	19,851.		0.
INCOME TAXES	1,694.	1,694.		0.
BUSINESS TAXES	60.	40.		20.
TO FORM 990-PF, PG 1, LN 18	21,605.	21,585.		20.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SUPPLIES	2,295.	1,537.		757.
INVESTMENT EXPENSES FROM PASSTHROUGH ENTITIES	95,227.	95,227.		0.
BANK FEES	1,299.	870.		429.
MISCELLANEOUS EXPENSE	308.	207.		101.
TO FORM 990-PF, PG 1, LN 23	99,129.	97,841.		1,287.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
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DESCRIPTION	AMOUNT
INCOME FROM INVESTMENTS REPORTED ON THE RETURN NOT ON BOOKS	232,937.
TOTAL TO FORM 990-PF, PART III, LINE 3	232,937.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	10
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DESCRIPTION	AMOUNT
PRIOR PERIOD ADJUSTMENT - ADDITIONAL LOSS	1,067,315.
OTHER ADJUSTMENT TO FUND BALANCE	2,361,103.
TOTAL TO FORM 990-PF, PART III, LINE 5	3,428,418.

FORM 990-PF	CORPORATE STOCK	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	45,651,193.	40,531,843.
TOTAL TO FORM 990-PF, PART II, LINE 10B	45,651,193.	40,531,843.

Statement

Semnani Foundation 74-2639794

Part XV #3a

Contributions Paid during Year 2009

Name	Address	If recipient is an individual, Show any relationship to any foundation manager or substantial contributor		Foundation status of recipient	Purpose of grant or contribution	Amount
Africa Orphanage	Avenue Lobo N 19b Commune de Ngava KINSHASA /RDC	N/A		Public	General Contribution	11,500 00
Alma Saboutian	8870 South Sate Street Sandy, UT 84070	N/A		Public	General Contribution	1,000 00
American Diabetes Association	1245 E Brckyard Rd suite 30 Salt Lake City, UT 84106	N/A		Public	General Contribution	500 00
American Foundation for Children W/AIDS	6211 Blue Greass Ave Harrisburg, PA 17112	N/A		Public	General Contribution	1,000 00
AVA Foundation	6266 S Meadow crest Rd Salt Lake City, UT 84124	N/A		Public	General Contribution	5,000 00
Ballet West	50 West 200 South Salt Lake City UT 84101	N/A		Public	General Contribution	250 00
Best Friends	5001 Angel Canyon Road Kanab UT 84741	N/A		Public	General Contribution	100 00
BYU	730 Tanner Building Provo UT 84604	N/A		Public	General Contribution	2,000 00
Catholic Medical Mission Board	10 West 17th Street New York NY 10011-5765	N/A		Public	General Contribution	5,000 00
Center for Strategic and International Studies	1800 K Street, NW Washington D C 84642	N/A		Public	General Contribution	5,000 00
Chili Open Classic	101 S Wasatch Dr Salt Lake City UT 84112	N/A		Public	General Contribution	3,000 00
Covenant House	461 Eighth Avenue New York, NY 10001-1810	N/A		Public	General Contribution	200 00
Deseret International Foundation	1282 Cambridge Ct Provo, UT 84604	N/A		Public	General Contribution	35,000 00
Doctors Without Borders	333 Seventh Avenue 2nd floor New York, NY 10001-5004	N/A		Public	General Contribution	1,000 00
Domes for the World	1374 Millstream Lane, Salt Lake City, UT 84106	N/A		Public	General Contribution	1,836 00
Faith Voices for the common good	111 Fairmount Ave Suite 504, Oakland CA 94611	N/A		Public	General Contribution	5,000 00
Fanba Zahra Moghimi	7245 S 700 E #1 Midville, UT 84047	N/A		Public	General Contribution	15,595 57
Farshid Towfighi Namin	2748 Campus Walk Avenue, 178 Durham, NC 27705	N/A		Public	General Contribution	4,000 00
George W Bush Foundation	P O Box 600610 Dallas, TX 75206	N/A		Public	General Contribution	500 00
Globus Relief Fund	1775 West 1500 South Salt Lake City, UT 84104	N/A		Public	General Contribution	1,000 00
High Road for Human Rights	438 E 200 S Salt Lake City, UT 84111	N/A		Public	General Contribution	2,700 00
Hope Arising	3604 East Leah Lane Gilbert, AZ 85234	N/A		Public	General Contribution	10,000 00
Iranian American Society	PO Box 4084 Palos Verdes Peninsula CA 90274	N/A		Public	General Contribution	600 00
Islamic Society of Greater SL	740 S 700 E Salt Lake City, UT 84102	N/A		Public	General Contribution	42,800 00
Junior League	526 East 300 South SLIC, UT 84102	N/A		Public	General Contribution	5,000 00
KSL Quarters for Christmas	P O Box 1160 Salt Lake City, UT 84110	N/A		Public	General Contribution	2,000 00
KUED	Box 27283 Salt Lake City, UT 84127-0283	N/A		Public	General Contribution	1,000 00

If recipient is an individual, Show
any relationship to any
foundation manager or
substantial contributor

Foundation
status of
recipient

Purpose of grant or
contribution

Amount

Address

Name

Lavoisier School	Avenue Fikis n 30, Quartier Ndanu Kinshasa/ Lumete DRC	N/A	Public	General Contribution	3,500 00
Lutheran Social Services	1800 S W Temple Suite 305 Salt Lake City UT 84115	N/A	Public	General Contribution	800 00
Maliheh Free Clinic	415 E 3900 S Salt Lake City, UT 84107	N/A	Public	General Contribution	108,300 00
March of Dimes	P O Box 2547 Decatur IL 62525-2547	N/A	Public	General Contribution	500 00
Mehr Cultural Society	4084 N Foothill Drive Provo, UT 84604	N/A	Public	General Contribution	300 00
Mentors International	13751 Wadsworth Park Drive Suite 120 Draper, UT 84020	N/A	Public	General Contribution	250 00
Midvale Family Health Clinic	7852 South Pioneer Street P O Box 123 Midvale UT 84047	N/A	Public	General Contribution	2,500 00
Miller Estates (Rent for Marieme Faye)	4929 South Lake Pines Drive Murray, UT 84107	N/A	Public	General Contribution	900 29
Moran Eye Center	65 Medical Drive Salt Lake City, UT 84132	N/A	Public	General Contribution	27,910 00
Mothers without Borders	125 East Main St #402 American Fork, UT 84003	N/A	Public	General Contribution	500 00
Muscular Dystrophy Association	4578 S Highland Drive, #300 SLC, UT 84117	N/A	Public	General Contribution	100 00
National Center for Shaken Baby Syndrom	2955 Harrison Blvd, Suite 102 Ogden, UT 84405	N/A	Public	General Contribution	500 00
Ngo Clinic	Avenue Balari n 112b Quartier Adoula Commune de Bandalungwa Kinshasa/RDC	N/A	Public	General Contribution	3,000 00
North Eastern Illinois University	5500 N Saint Louis Ave Chicago, IL 60625	N/A	Public	General Contribution	2,000 00
Ogden School Foundation	1950 Monroe Blvd Ogden, UT 84401	N/A	Public	General Contribution	5,000 00
Ouelessebououg Alliance	10 West 100 South Ste 605 Salt Lake City, UT 84101	N/A	Public	General Contribution	500 00
Breach Candy Hospital	Bhulabhi Dessi Marg, Breach Candy, Mumbai Maharashtra, India 400026	N/A	Public	General Contribution	1,444 10
Planned Parenthood	654 South 900 East Salt Lake City UT 84102	N/A	Public	General Contribution	500 00
Primary Children's Medical Center	P O Box 58249 Salt Lake City, UT 84158-0249	N/A	Public	General Contribution	15,000 00
Progress Through Business	61656 Bunker Hill Drive Washington MI 48094	N/A	Public	General Contribution	2,000 00
Rafikus	PO BOX 711723 Salt Lake City UT 84171	N/A	Public	General Contribution	1,000 00
Reading Tree	153 East 200 South #5 Farmington, UT 84025	N/A	Public	General Contribution	500 00
Rising Star Outreach	2410 Walker Lane Holladay, UT 84117	N/A	Public	General Contribution	14,200 00
Robert H & Katharine B Garff Foundation	405 S Main St. SLC, UT 84111	N/A	Public	General Contribution	1,500 00
Salt Lake City Mission	P O Box 142 Dept 10/4 Salt Lake City UT 84110-0142	N/A	Public	General Contribution	1,000 00
Salt Lake Community College	4600 South Redwood Road AD 050 Salt Lake City UT 84123	N/A	Public	General Contribution	4,390 00
SEE International	7200 Hollister Avenue, Unit A Santa Barbara, CA 93117	N/A	Public	General Contribution	500 00
Southern Utah University	Admin Bldg 312 351 West Center St Cedar City, UT 84720	N/A	Public	General Contribution	2,000 00
Special Olympics Utah	4 Trnad Center Suite 105 Salt Lake City, UT 84180	N/A	Public	General Contribution	100 00
Sutherland Institute	307 West 200 South Suite 5005 Salt Lake City UT 84101	N/A	Public	General Contribution	1,000 00

If recipient is an individual, Show
any relationship to any
foundation manager or
substantial contributor

Foundation
status of
recipient

Purpose of grant or
contribution

Amount

Name	Address			
Thavma Foundation	445 E 200 S Suite 140 Salt Lake City UT 84119	N/A	Public	General Contribution 100 00
Tides Center / Turning Time	P.O. Box 29907 San Francisco, CA 94129-0907	N/A	Public	General Contribution 10,000 00
University Hospital Foundation	540 Arapsee Suite 130 Salt Lake City, UT 84108-1252	N/A	Public	General Contribution 10,000 00
University Of Utah	PO Box 27283 Salt Lake City, UT 84127-0283	N/A	Public	General Contribution 26,200 00
Utah Food Bank	1025 South 700 West Salt Lake City, UT 84104	N/A	Public	General Contribution 7,500 00
Utah Islamic Center	PO BOX 0035 Sandy, UT 84091	N/A	Public	General Contribution 12,500 00
Utah Museum of Fine Arts	410 Campus center Drive SLC, UT 84112	N/A	Public	General Contribution 5,000 00
Utah Rivers Council	1055 East 2100 South Suite 207 SLC, UT 84106	N/A	Public	General Contribution 300 00
Utah Science Center	949 Mill Creek Way SLC, UT 84106	N/A	Public	General Contribution 25 00
Utah Student Pharmacists Alliance	200 S Central Campus Drive SLC, UT 84112	N/A	Public	General Contribution 150 00
Vanguard Charitable Endowment	P O Box 3075 Southeastern PA 19398	N/A	Public	General Contribution 1,900,000 00
Wasatch Homeless Healthcare	404 South 400 West SLC, UT 84101	N/A	Public	General Contribution 2,000 00
Washington TV	1805 Crystal Drive Suite 507 S Arlington VA 22202	N/A	Public	General Contribution 10,000 00
Waterford School	1480 East 9400 South Sandy, UT 84093	N/A	Public	General Contribution 10,000 00
West Ridge Academy	5500 Bagley Park Rd West Jordan UT 84088	N/A	Public	General Contribution 500 00
Women for Women International	733 15th Street N W Suite 310 Washington DC 20005	N/A	Public	General Contribution 100 00
YouthLINC	1140 E Brickyard Rd #76 SLC, UT 84106	N/A	Public	General Contribution 200 00
				2,359,081 96

Attachment
Semnani Family Foundation 74-263794
Schedule B. Part II

Date	Number of Share	Symbols	Name	Market Value
12/14/2009	2400.000	FXI.B	MFC ISHARES TR FTSE XINHUA HK CHINA	105,024.00
12/14/2009	1500.000	FXI.B	MFC ISHARES TR FTSE XINHUA HK CHINA	65,640.00
12/14/2009	3000.000	FXI.B	MFC ISHARES TR FTSE XINHUA HK CHINA	131,280.00
12/14/2009	11700.000	FXI.B	MFC ISHARES TR FTSE XINHUA HK CHINA	511,992.00
12/14/2009	20325.000	FXI.B	MFC ISHARES TR FTSE XINHUA HK CHINA	889,422.00
12/14/2009	2400.000	FXI.B	MFC ISHARES TR FTSE XINHUA HK CHINA	105,024.00
12/14/2009	75.000	FXI.B	MFC ISHARES TR FTSE XINHUA HK CHINA	3,282.00
12/14/2009	35100.000	FXI.B	MFC ISHARES TR FTSE XINHUA HK CHINA	1,535,976.00
12/14/2009	247.000	GS.N	GOLDMAN SACHS GROUP INC COM	41,073.21
12/14/2009	403.000	GS.N	GOLDMAN SACHS GROUP INC COM	66,991.45
12/14/2009	14.000	GS.N	GOLDMAN SACHS GROUP INC COM	2,391.84
12/14/2009	10.000	BRKaN	BERKSHIRE HATHAWAY INC DEL CL A	994,000.00
12/14/2009	80828.000	DFEVX.O	MFO DFA EMERGING MARKETS VALUE	2,504,849.80
12/14/2009	537.000	DFEVX.O	MFO DFA EMERGING MARKETS VALUE	16,650.62
12/14/2009	644.000	DFEVX.O	MFO DFA EMERGING MARKETS VALUE	19,960.97
12/14/2009	34626.000	DFEVX.O	MFO DFA EMERGING MARKETS VALUE	1,073,060.98
12/14/2009	42.000	DFEVX.O	MFO DFA EMERGING MARKETS VALUE	1,305.15
12/14/2009	63656.000	DFEVX.O	MFO DFA EMERGING MARKETS VALUE	1,963,165.84
			TOTAL	10,031,089.86



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UBS Financial Services Inc.

2009 Informational Tax Statement

C32L032327-X

2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Seller" provisions (Sec. 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Long-term Gain/Loss:	\$ 500,000.00	\$ 500,000.00	\$	\$	\$
Sub Total:	\$ 500,000.00	\$ 500,000.00	\$	\$	\$
Total:	\$ 500,000.00	\$ 500,000.00	\$	\$	\$

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NEW HAMPSHIRE HGRH ED LN SR A-1 REV TAXABLE BE/R/ 0.000 120132 DTD 062598	Trade	500,000.000		07/07/06	01/02/09	500,000.00	500,000.00			Y

Total Long-Term Gain/Loss

500,000.00

500,000.00



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UBS Financial Services Inc.

2009 Informational Tax Statement

C32L032341-X

2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase		Sale		Gains		Losses		Net
	Purchase Date	Purchase Price	Sale Date	Sale Amount	Gain/Loss	Gain/Loss	Gain/Loss	Gain/Loss	
Short-term Gain/Loss:									
Long-term Gain/Loss:									
Sub Total:									
Total:									

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	1,192,000		10/07/08	03/02/09	56,209.51	67,284.59	-11,075.08		
	Trade	1,066,000		10/07/08	04/22/09	45,780.22	60,172.29	-14,392.07		
	Trade	1,152,000		10/16/08	04/22/09	49,473.56	63,110.02	-13,636.46		
	Trade	1,098,000		11/05/08	04/22/09	47,154.48	61,188.80	-14,034.32		
ALCON INC	Trade	176,000		12/11/08	03/03/09	13,758.93	14,382.20	-603.27		
	Trade	604,000		12/11/08	05/28/09	84,064.51	49,288.45	14,776.06		
	Trade	310,000		12/11/08	06/17/09	33,571.63	25,297.05	8,274.58		
	Trade	491,000		12/11/08	09/29/09	68,744.47	40,067.27	28,677.20		
	Trade	129,000		12/11/08	10/07/09	17,934.08	10,526.84	7,407.24		
	Trade	79,000		02/27/09	10/07/09	10,982.99	6,687.02	4,295.87		
	Trade	144,000		02/27/09	10/12/09	20,485.82	12,189.00	8,296.82		

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UBS Financial Services Inc.

2009 Informational Tax Statement

C32L032342-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALCON INC	Trade	227,000		02/27/09	10/19/09	33,156.14	19,214.60	13,941.54		Y
AMER TOWER CORP CL A	Trade	424,000		05/06/09	10/07/09	15,654.57	13,197.17	2,457.40		Y
	Trade	1,295,000		05/06/09	10/12/09	48,720.14	40,307.39	8,412.75		Y
	Trade	2,161,000		05/06/09	10/23/09	84,644.19	67,261.99	17,382.20		Y
AON CORP	Trade	470,000		12/09/08	03/03/09	17,485.26	20,533.97	-3,048.71		Y
	Trade	2,722,000		12/09/08	04/15/09	106,937.01	118,922.27	-11,985.26		Y
BANCO SANTANDER S.A SPON ADR	Trade	5,518,000		08/03/09	09/29/09	89,888.11	79,892.36	9,995.75		Y
	Trade	2,791,000		08/03/09	10/07/09	45,185.12	40,409.49	4,775.63		Y
	Trade	4,745,000		08/03/09	10/08/09	77,495.71	68,700.48	8,795.23		Y
BANCO SANTANDER BRASIL ADS	Trade	2,110,000		10/07/09	10/12/09	27,619.19	27,549.00	70.19		Y
	Trade	3,722,000		10/07/09	10/23/09	49,724.64	48,595.92	1,128.72		Y
BAXTER INTL INC	Trade	1,313,000		07/02/09	09/29/09	74,632.28	69,743.15	4,889.13		Y
	Trade	794,000		07/02/09	10/07/09	45,655.81	42,175.22	3,480.59		Y
	Trade	133,000		07/02/09	10/12/09	7,676.37	7,064.61	611.76		Y
	Trade	367,000		07/21/09	10/12/09	21,182.18	19,690.25	1,491.93		Y
	Trade	841,000		07/21/09	10/23/09	46,379.95	45,121.25	1,258.70		Y
BHP BILLITON LTD SPON ADR	Trade	1,086,000		03/10/09	06/02/09	65,421.99	43,645.47	21,776.52		Y
	Trade	168,000		03/10/09	06/17/09	9,269.73	6,751.79	2,517.94		Y
	Trade	754,000		03/19/09	06/17/09	41,603.44	34,416.03	7,187.41		Y
	Trade	340,000		03/19/09	09/29/09	22,442.58	15,519.16	6,923.42		Y
	Trade	176,000		04/02/09	09/29/09	11,617.34	8,709.11	2,908.23		Y
	Trade	714,000		04/02/09	10/07/09	47,888.54	35,331.29	12,557.25		Y
	Trade	121,000		05/13/09	10/07/09	8,115.56	5,950.26	2,165.30		Y
	Trade	509,000		05/13/09	10/12/09	35,009.04	25,030.43	9,978.61		Y
	Trade	824,000		05/13/09	10/23/09	61,246.34	40,520.78	20,725.56		Y
BLACKROCK INC	Trade	1,010,000		03/03/08	03/03/09	93,983.00	192,042.61	-98,059.61		Y
	Trade	160,000		03/20/08	03/03/09	14,888.40	31,692.58	-16,804.18		Y
CANADIAN NATURAL RESOURCES LTD	Trade	1,572,000		05/04/09	09/29/09	104,853.16	79,599.32	25,253.84		Y
	Trade	692,000		05/04/09	10/07/09	46,060.06	35,039.90	11,020.16		Y
	Trade	127,000		07/31/09	10/07/09	8,453.22	7,636.75	816.47		Y

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UBS Financial Services Inc.

2009 Informational Tax Statement

C32L032343-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CANADIAN NATURAL RESOURCES LTD	Trade	552.000		07/31/09	10/12/09	39,926.51	33,192.81	6,733.70		Y
	Trade	105.000		07/31/09	10/20/09	7,679.63	6,313.85	1,365.78		Y
	Trade	680.000		07/31/09	10/23/09	49,706.72	40,889.69	8,817.03		Y
CAPITAL ONE FINCL CORP	Trade	3,194.000		04/16/09	05/11/09	88,709.47	53,249.09	35,460.38		Y
	Trade	668.000		05/07/09	05/11/09	18,552.89	18,013.22	539.67		Y
CATERPILLAR INC	Trade	1,240.000		09/15/09	09/29/09	64,258.24	64,059.64	198.60		Y
	Trade	741.000		09/15/09	10/07/09	38,413.49	38,280.80	132.69		Y
	Trade	470.000		09/15/09	10/12/09	24,910.62	24,280.67	629.95		Y
	Trade	759.000		09/15/09	10/23/09	44,347.23	39,210.70	5,136.53		Y
COLGATE PALMOLIVE CO	Trade	1,234.000		12/23/08	06/29/09	88,472.31	81,635.02	6,837.29		Y
	Trade	1,298.000		12/23/08	07/30/09	92,818.37	85,868.93	6,949.44		Y
	Trade	1,192.000		05/05/09	07/30/09	85,238.44	73,554.15	11,684.29		Y
COVANCE INC	Trade	280.000		06/30/08	01/28/09	10,257.18	24,234.11	-13,976.93		Y
	Trade	2,558.000		11/03/08	01/28/09	93,706.68	137,850.62	-44,143.94		Y
ECOLAB INC	Trade	1,290.000		11/13/08	08/24/09	54,794.44	43,732.94	11,061.50		Y
FPL GROUP INC	Trade	1,051.000		03/18/09	09/29/09	58,005.82	52,118.88	5,886.94		Y
	Trade	1,052.000		03/18/09	10/07/09	55,662.51	52,168.47	3,494.04		Y
	Trade	701.000		03/18/09	10/12/09	37,359.11	34,762.45	2,596.66		Y
	Trade	2.000		04/03/09	10/12/09	106.59	105.05	1.54		Y
	Trade	396.000		04/03/09	10/23/09	20,963.70	20,799.42	164.28		Y
	Trade	824.000		05/05/09	10/23/09	43,621.44	47,536.31	-3,914.87		Y
GENL ELECTRIC CO	Trade	12,012.000		03/12/09	05/08/09	170,718.56	111,306.80	59,411.76		Y
GOLDMAN SACHS GROUP INC	Trade	406.000		03/23/09	05/18/09	56,877.18	41,418.17	15,459.01		Y
	Trade	383.000		03/23/09	09/29/09	70,468.65	39,071.82	31,396.83		Y
	Trade	23.000		03/23/09	10/07/09	4,376.19	2,346.35	2,029.84		Y
	Trade	285.000		04/02/09	10/07/09	54,226.66	32,590.69	21,635.97		Y
	Trade	259.000		04/02/09	10/12/09	49,191.77	29,617.50	19,574.27		Y
	Trade	62.000		04/02/09	10/23/09	11,402.74	7,089.90	4,312.84		Y
	Trade	152.000		08/19/09	10/23/09	27,955.12	24,240.96	3,714.16		Y

2009 Informational Tax Statement

C32L032344-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HEWLETT PACKARD CO	Trade	1,238,000		03/10/09	09/11/09	56,985.40	33,038.88	23,946.52		Y
	Trade	862,000		03/10/09	09/14/09	30,193.18	17,866.99	12,326.19		Y
	Trade	1,470,000		03/27/09	09/14/09	67,045.27	48,631.86	18,413.41		Y
	Trade	1,348,000		04/02/09	09/14/09	61,480.97	46,340.33	15,140.64		Y
HSBC HOLDINGS PLC NEW GB SPON ADR	Trade	1,698,000		08/03/09	08/29/09	98,481.46	92,310.92	6,170.54		Y
	Trade	755,000		08/03/09	10/07/09	42,720.80	41,045.20	1,675.60		Y
	Trade	497,000		08/03/09	10/12/09	28,354.51	27,019.16	1,335.35		Y
	Trade	854,000		08/03/09	10/23/09	49,530.72	46,427.28	3,103.44		Y
INTL BUSINESS MACH	Trade	544,000		10/20/09	10/23/09	66,670.92	66,581.41	89.51		Y
ITC HOLDINGS CORP	Trade	1,111,000		04/03/09	09/29/09	51,472.08	47,462.36	4,009.72		Y
	Trade	941,000		04/03/09	10/07/09	41,913.41	40,199.90	1,713.51		Y
	Trade	552,000		04/03/09	10/12/09	24,854.54	23,581.66	1,272.88		Y
	Trade	62,000		04/30/09	10/12/09	2,791.63	2,678.98	112.65		Y
	Trade	1,084,000		04/30/09	10/23/09	48,366.83	46,838.88	1,527.95		Y
	Trade	1,944,000		10/16/08	03/02/09	93,731.96	122,856.72	-29,124.76		Y
JOHNSON & JOHNSON COM	Trade	90,000		11/05/08	03/02/09	4,339.44	5,425.14	-1,085.70		Y
	Trade	1,209,000		11/05/08	09/29/09	73,736.82	72,877.67	859.15		Y
	Trade	726,000		11/05/08	10/07/09	44,039.84	43,762.77	277.07		Y
	Trade	219,000		11/05/08	10/12/09	13,665.25	13,201.17	464.08		Y
	Trade	275,000		04/17/09	10/12/09	17,159.55	14,578.41	2,581.14		Y
	Trade	779,000		04/17/09	10/20/09	47,504.84	41,296.66	6,208.18		Y
	Trade	2,879,000		10/16/08	09/29/09	129,439.39	114,555.41	14,883.98		Y
	Trade	247,000		10/16/08	10/07/09	11,307.89	9,828.13	1,479.76		Y
	Trade	1,361,000		11/05/08	10/07/09	62,307.83	55,873.95	6,433.88		Y
JPMORGAN CHASE & CO	Trade	57,000		11/05/08	10/12/09	2,618.08	2,340.06	278.02		Y
	Trade	795,000		04/02/09	10/12/09	36,515.40	22,490.63	14,024.77		Y
	Trade	541,000		04/02/09	10/23/09	24,706.83	15,304.94	9,401.89		Y
	Trade	632,000		05/07/09	10/23/09	28,862.69	22,081.83	6,780.86		Y
	Trade	250,000		10/02/09	10/23/09	11,417.20	10,246.03	1,171.17		Y
	Trade	4,282,000		02/20/09	06/09/09	69,489.51	78,095.97	-8,606.46		Y
KANSAS CITY STHN NEW	Trade									
LOCKHEED MARTIN CORP	Trade	868,000		02/09/09	03/03/09	52,380.64	70,098.99	-17,718.35		Y



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LOCKHEED MARTIN CORP	Trade	989,000		02/09/09	10/05/09	74,195.54	79,870.85	-5,675.31		Y
	Trade	273,000		02/09/09	10/07/09	20,185.10	22,047.26	-1,862.16		Y
	Trade	380,000		07/21/09	10/07/09	28,096.47	28,801.99	-705.52		Y
	Trade	435,000		07/21/09	10/12/09	32,355.55	32,970.69	-615.14		Y
	Trade	761,000		07/21/09	10/21/09	53,240.40	57,679.77	-4,439.37		Y
MEDCO HEALTH SOLUTIONS INC	Trade	1,386,000		11/05/08	03/03/09	53,630.27	58,177.63	-4,547.36		Y
	Trade	102,000		11/05/08	05/07/09	4,650.63	4,281.47	369.16		Y
	Trade	1,456,000		11/07/08	05/07/09	66,385.49	59,300.70	7,084.79		Y
	Trade	1,112,000		11/25/08	05/07/09	50,701.00	44,481.22	6,219.78		Y
	Trade	580,000		10/21/09	10/23/09	33,430.34	33,023.87	406.47		Y
MONSANTO CO NEW	Trade	1,070,000		08/12/08	03/03/09	78,701.59	120,644.53	-41,942.94		Y
	Trade	594,000		01/07/09	03/03/09	43,690.41	51,341.50	-7,651.09		Y
	Trade	483,000		01/07/09	09/29/09	37,316.83	41,747.38	-4,430.55		Y
	Trade	381,000		01/07/09	10/07/09	28,232.32	32,931.16	-4,698.84		Y
	Trade	192,000		01/07/09	10/12/09	14,647.30	16,595.23	-1,947.93		Y
NORFOLK STN CORP	Trade	55,000		08/18/09	10/12/09	4,195.84	4,448.96	-253.12		Y
	Trade	425,000		08/18/09	10/23/09	32,001.67	34,378.34	-2,376.67		Y
	Trade	500,000		10/19/09	10/23/09	23,974.38	24,800.75	-826.37		Y
	Trade	334,000		10/22/09	10/23/09	16,014.89	16,005.55	9.34		Y
	Trade	2,624,000		10/27/08	09/29/09	55,446.31	43,398.34	12,047.97		Y
ORACLE CORP	Trade	2,070,000		10/27/08	10/07/09	42,479.65	34,235.73	8,243.92		Y
	Trade	2,518,000		10/27/08	10/12/09	52,283.66	41,645.20	10,638.46		Y
	Trade	1,194,000		04/28/09	10/12/09	24,792.17	23,609.20	1,182.97		Y
	Trade	2,448,000		11/24/08	04/22/09	118,934.00	133,359.45	-14,425.45		Y
	Trade	776,000		04/17/09	04/22/09	37,701.30	40,320.65	-2,619.35		Y
PEPSICO INC	Trade	1,260,000		03/19/09	06/02/09	56,156.12	40,828.66	15,327.46		Y
	Trade	1,139,000		03/19/09	09/29/09	51,868.95	36,907.81	14,961.14		Y
	Trade	851,000		03/19/09	10/07/09	39,289.65	27,575.55	11,714.10		Y
	Trade	27,000		04/02/09	10/07/09	1,246.56	940.45	306.11		Y
	Trade	554,000		04/02/09	10/12/09	26,746.43	19,296.60	7,449.83		Y
PETROLEO BRASILEIRO SA SPON ADR	Trade	831,000		04/02/09	10/22/09	41,442.56	28,944.89	12,497.67		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PHILIP MORRIS INTL INC	Trade	2,112,000		07/24/09	09/29/09	103,092.10	98,468.83	4,623.27		Y
	Trade	1,286,000		07/24/09	10/07/09	63,838.61	59,957.82	3,880.79		Y
	Trade	696,000		07/24/09	10/12/09	35,100.40	32,449.96	2,650.44		Y
	Trade	75,000		09/14/09	10/12/09	3,782.37	3,579.83	202.54		Y
	Trade	1,291,000		09/14/09	10/23/09	63,089.54	61,620.72	1,468.82		Y
	Trade	10,000		10/13/09	10/23/09	488.69	497.09	-8.40		Y
PLUM CREEK TIMBER CO INC REIT	Trade	1,465,000		08/10/09	09/29/09	45,709.16	48,934.22	-3,225.06		Y
	Trade	1,130,000		08/10/09	10/07/09	33,924.55	37,744.49	-3,819.94		Y
	Trade	882,000		08/10/09	10/12/09	28,507.71	29,460.74	-953.03		Y
	Trade	1,257,000		08/10/09	10/23/09	41,995.29	41,986.57	8.72		Y
POTASH CORP SASK INC CANADA	Trade	562,000		09/14/09	09/29/09	51,060.93	49,682.21	1,378.72		Y
	Trade	331,000		09/14/09	10/07/09	29,769.37	29,261.23	508.14		Y
	Trade	571,000		09/14/09	10/12/09	51,325.36	50,477.83	847.53		Y
PRAXAIR INC	Trade	974,000		07/31/09	09/29/09	78,993.06	75,975.31	3,017.75		Y
	Trade	565,000		07/31/09	10/07/09	45,533.70	44,071.92	1,461.78		Y
	Trade	354,000		07/31/09	10/12/09	29,239.64	27,613.20	1,626.44		Y
	Trade	591,000		07/31/09	10/23/09	49,814.10	46,100.01	3,714.09		Y
PROCTER & GAMBLE CO	Trade	148,000		04/17/09	10/07/09	8,430.23	7,647.78	782.45		Y
	Trade	509,000		04/17/09	10/12/09	29,263.53	26,302.17	2,961.36		Y
	Trade	837,000		04/17/09	10/23/09	48,569.86	43,251.31	5,318.55		Y
QUALCOMM INC	Trade	1,444,000		03/10/09	09/14/09	66,541.99	50,564.40	15,977.59		Y
	Trade	374,000		03/19/09	09/14/09	17,234.56	14,118.95	3,115.61		Y
	Trade	1,706,000		03/19/09	09/18/09	75,760.14	64,403.55	11,356.59		Y
	Trade	1,064,000		04/02/09	09/18/09	47,250.17	43,987.14	3,263.03		Y
RANGE RESOURCES CORP	Trade	1,282,000		03/10/09	09/29/09	63,974.64	49,115.86	14,858.78		Y
	Trade	48,000		03/10/09	10/07/09	2,414.94	1,838.97	575.97		Y
	Trade	883,000		03/27/09	10/07/09	44,424.79	37,677.17	6,747.62		Y
	Trade	351,000		03/27/09	10/12/09	18,701.67	14,976.99	3,724.68		Y
	Trade	265,000		04/03/09	10/12/09	14,119.50	11,811.92	2,307.58		Y
	Trade	909,000		04/03/09	10/23/09	52,147.99	40,517.13	11,630.86		Y
	Trade	207,000		10/21/09	10/23/09	11,875.28	12,058.10	-182.82		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SCHWAB CHARLES CORP NEW										
Trade	Trade	4,273.000		09/23/09	09/29/09	82,387.73	81,051.55	1,336.18		Y
Trade	Trade	2,338.000		09/23/09	10/07/09	44,800.77	44,347.89	452.88		Y
Trade	Trade	1,479.000		09/23/09	10/12/09	28,411.74	28,054.12	357.62		Y
Trade	Trade	2,536.000		09/23/09	10/23/09	46,001.85	48,103.61	-2,101.76		Y
SUNCOR ENERGY INC NEW										
Trade	Trade	238.000		05/05/09	10/07/09	8,228.04	6,626.02	1,602.02		Y
Trade	Trade	915.000		05/05/09	10/12/09	34,066.86	25,473.97	8,592.89		Y
Trade	Trade	461.000		05/05/09	10/23/09	17,356.20	12,834.43	4,521.77		Y
Trade	Trade	842.000		08/14/09	10/23/09	31,700.48	27,220.26	4,480.22		Y
TEEKAY CORP										
Trade	Trade	2,209.000		09/28/09	09/29/09	48,498.01	48,116.22	381.79		Y
Trade	Trade	1,546.000		09/28/09	10/07/09	36,356.34	33,674.82	2,681.52		Y
Trade	Trade	863.000		09/28/09	10/12/09	21,312.79	18,797.78	2,515.01		Y
Trade	Trade	1,312.000		09/28/09	10/23/09	32,353.08	28,577.85	3,775.23		Y
VORNADO REALTY TRUST										
Trade	Trade	1,104.000		04/22/09	08/27/09	63,759.66	50,924.10	12,835.56		Y
Trade	Trade	1,251.000		04/22/09	09/29/09	81,297.90	57,704.75	23,593.15		Y
Trade	Trade	85.000		04/22/09	10/07/09	5,247.98	3,920.79	1,327.19		Y
Trade	Trade	403.000		07/22/09	10/07/09	24,881.59	19,213.23	5,668.36		Y
Trade	Trade	26.000		Earnings	10/07/09	1,605.26	1,250.56	354.70		Y
Trade	Trade	397.000		07/22/09	10/12/09	25,091.74	18,927.17	6,164.57		Y
Trade	Trade	624.000		07/22/09	10/23/09	38,518.53	29,749.51	8,769.02		Y
Trade	Trade	17.000		Earnings	10/23/09	1,049.38	922.62	126.76		Y
WAL MART STORES INC										
Trade	Trade	1,378.000		10/20/08	03/03/09	66,764.96	73,921.57	-7,156.61		Y
Trade	Trade	2,102.000		10/20/08	09/14/09	105,801.66	112,759.90	-6,958.24		Y
Trade	Trade	1,196.000		04/17/09	09/14/09	60,199.23	60,678.10	-478.87		Y
Total Short-Term Gain/Loss						8,053,561.34	7,478,311.05	575,250.29		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMER TOWER CORP CL A										
Trade	Trade	615.000		06/13/06	03/12/09	17,456.61	17,193.00	263.61		Y
Trade	Trade	650.000		06/20/06	03/12/09	18,450.08	19,166.68	-716.60		Y
Trade	Trade	55.000		06/21/06	03/12/09	1,561.16	1,680.25	-119.09		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMER TOWER CORP CL A	Trade	3,840,000		07/05/06	03/12/09	108,997.40	122,853.89	-13,856.49		Y
	Trade	365,000		07/05/06	09/29/09	13,300.29	11,677.52	1,622.77		Y
	Trade	275,000		07/06/06	09/29/09	10,020.77	8,892.46	1,128.31		Y
	Trade	2,130,000		08/20/07	09/29/09	77,615.41	84,745.67	-7,130.26		Y
	Trade	947,000		12/17/07	09/29/09	34,507.89	38,564.87	-4,056.98		Y
	Trade	1,703,000		12/17/07	10/07/09	62,876.72	69,351.61	-6,474.89		Y
BLACKROCK INC	Trade	216,000		03/20/08	04/03/09	29,688.84	42,784.98	-13,096.14		Y
	Trade	222,000		03/20/08	05/26/09	33,751.54	43,973.45	-10,221.91		Y
	Trade	382,000		03/20/08	07/27/09	72,634.82	75,666.03	-3,031.21		Y
C H ROBINSON WORLDWIDE INC NEW	Trade	439,000		11/05/07	09/29/09	25,264.90	20,589.10	4,675.80		Y
	Trade	659,000		11/05/07	10/07/09	37,925.13	30,907.10	7,018.03		Y
	Trade	77,000		11/05/07	10/12/09	4,521.58	3,611.30	910.28		Y
	Trade	345,000		12/03/07	10/12/09	20,259.01	17,650.72	2,608.29		Y
	Trade	690,000		12/03/07	10/23/09	40,419.16	35,301.44	5,117.72		Y
COVANCE INC	Trade	2,035,000		01/25/07	01/28/09	74,547.73	123,780.71	-49,232.98		Y
	Trade	5,000		02/01/07	01/28/09	183.16	310.49	-127.33		Y
DENBURY RESOURCES INC NEW	Trade	9,100,000		02/04/08	03/04/09	119,903.65	247,823.03	-127,919.38		Y
ECOLAB INC	Trade	191,000		12/15/06	03/11/09	5,923.01	8,582.80	-2,659.79		Y
	Trade	770,000		03/02/07	03/11/09	23,878.11	31,954.85	-8,076.74		Y
	Trade	670,000		03/05/07	03/11/09	20,777.05	27,643.33	-6,866.28		Y
	Trade	249,000		09/20/07	03/11/09	7,721.62	11,251.94	-3,530.32		Y
	Trade	1,336,000		09/20/07	08/24/09	56,748.35	60,371.84	-3,623.49		Y
	Trade	690,000		10/25/07	08/24/09	29,308.65	32,583.04	-3,274.39		Y
ENBRIDGE INC	Trade	650,000		08/04/06	03/04/09	18,617.58	21,057.86	-2,440.28		Y
	Trade	210,000		10/27/06	03/04/09	6,014.91	6,898.65	-883.74		Y
	Trade	195,000		02/06/07	03/04/09	5,585.28	6,267.01	-681.73		Y
	Trade	205,000		09/21/07	03/04/09	5,871.70	7,404.52	-1,532.82		Y
	Trade	1,212,000		09/21/07	07/17/09	43,632.57	43,776.96	-144.39		Y
	Trade	1,528,000		09/21/07	09/29/09	58,148.98	55,190.75	2,958.23		Y
	Trade	1,036,000		09/21/07	10/07/09	40,593.16	37,419.91	3,173.25		Y
	Trade	299,000		09/21/07	10/12/09	12,180.20	10,799.76	1,380.44		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ENBRIDGE INC	Trade	359,000		10/26/07	10/12/09	14,624.39	14,570.80	53.59		Y
	Trade	986,000		10/26/07	10/23/09	39,793.93	40,018.98	-225.05		Y
EXPEDITORS INTL WASH INC	Trade	667,000		12/14/06	10/07/09	21,803.73	29,103.41	-7,299.68		Y
	Trade	46,000		12/14/06	10/12/09	1,560.74	2,007.13	-446.39		Y
	Trade	560,000		12/20/07	10/12/09	19,000.36	25,109.22	-6,108.86		Y
	Trade	600,000		01/02/08	10/12/09	20,357.53	26,631.30	-6,273.77		Y
	Trade	1,100,000		01/02/08	10/20/09	37,690.42	48,824.05	-11,133.63		Y
	Trade	99,000		02/15/08	10/20/09	3,392.14	4,027.00	-634.86		Y
KANSAS CITY STHN NEW	Trade	713,000		02/15/08	10/23/09	24,490.92	29,002.56	-4,511.64		Y
	Trade	40,000		11/13/06	03/04/09	601.23	1,135.07	-533.84		Y
	Trade	2,480,000		12/20/06	03/04/09	37,276.17	69,942.94	-32,666.77		Y
	Trade	240,000		03/01/07	03/04/09	3,607.37	7,676.71	-4,069.34		Y
	Trade	1,076,000		08/03/07	03/04/09	16,173.05	36,181.36	-20,008.31		Y
	Trade	824,000		08/03/07	06/09/09	13,372.10	27,707.66	-14,335.56		Y
PROCTER & GAMBLE CO	Trade	438,000		07/16/07	09/29/09	25,364.01	27,678.27	-2,314.26		Y
	Trade	655,000		08/17/07	09/29/09	37,930.20	42,578.41	-4,648.21		Y
	Trade	127,000		10/30/07	09/29/09	7,354.41	8,727.87	-1,373.46		Y
	Trade	608,000		10/30/07	10/07/09	34,632.31	41,783.83	-7,151.52		Y
SUNCOR ENERGY INC NEW	Trade	136,000		09/14/06	09/29/09	4,670.26	4,650.45	19.81		Y
	Trade	1,962,000		12/04/07	09/29/09	67,375.30	95,615.42	-28,240.12		Y
	Trade	1,114,000		12/04/07	10/07/09	38,512.77	54,289.29	-15,776.52		Y
SUNCOR INC **MERGER EFF: 07/2009**	Trade	1,306,000		09/14/06	06/17/09	39,721.48	44,658.02	-4,936.54		Y
Total Long-Term Gain/Loss						1,648,191.84	2,059,647.27	-411,455.43		

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UBS Financial Services Inc.

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Other Income Details - continued

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.
* Applicable to Tax-exempt Original Issue Discount information only

Activity	Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	Tax year	Acquisition premium	* Amortized	Amount
Program Fees and Related Services	MANAGED ACCOUNT FEE				09/29/09	2009			-439.83
	Sub Total: MANAGED ACCOUNT FEE								\$ 18,874.69
	Total Program Fees and Related Services								\$ 18,874.69

2009 Realized Gain/Loss Summary (includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount. Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	\$ 5,573,593.99	\$ 5,817,773.94	\$ 934,111.77	\$ -689,931.82	\$ 244,179.95
Long-term Gain/Loss:	2,639,659.37	1,761,928.03	50,953.09	-928,684.43	-877,731.34
Sub Total:	\$ 8,213,253.36	\$ 7,579,701.97	\$ 985,064.86	\$ -1,618,616.25	\$ -633,551.39
Total:	\$ 8,213,253.36	\$ 7,579,701.97	\$ 985,064.86	\$ -1,618,616.25	\$ -633,551.39



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UBS Financial Services Inc.

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Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ADOBE SYSTEMS INC (DELAWARE)	Trade	502.000		05/28/09	09/16/09	16,696.59	13,770.66	2,925.93		Y
	Trade	300.000		05/28/09	09/24/09	9,696.95	8,268.00	1,428.95		Y
	Trade	156.000		05/28/09	09/24/09	5,042.41	4,279.33	763.08		Y
	Trade	616.000		06/02/09	09/24/09	19,911.07	18,145.94	1,765.13		Y
	Trade	534.000		06/11/09	09/24/09	17,260.57	16,401.49	859.08		Y
	Trade	606.000		06/12/09	09/24/09	19,587.84	18,078.86	1,508.98		Y
	Trade	388.000		06/18/09	09/24/09	12,541.39	11,183.29	1,358.10		Y
	Trade	239.000		07/15/09	09/24/09	7,725.24	7,112.81	612.43		Y
	Trade	281.000		07/16/09	09/24/09	9,082.81	8,589.52	493.29		Y
	Trade	252.000		02/06/09	03/18/09	18,005.65	16,504.99	1,500.66		Y
AMAZON.COM INC	Trade	44.000		02/19/09	03/18/09	3,143.84	2,756.65	387.19		Y
	Trade	197.000		02/19/09	03/19/09	13,729.80	12,342.29	1,387.51		Y
	Trade	3.000		02/20/09	03/19/09	209.08	190.80	18.28		Y
	Trade	219.000		02/20/09	03/20/09	15,391.43	13,928.22	1,463.21		Y
	Trade	526.000		01/23/09	04/14/09	16,266.60	15,832.60	434.00		Y
AMER TOWER CORP CL A	Trade	1,446.000		08/13/09	09/24/09	65,919.56	56,472.81	9,446.75		Y
ANHEUSER BUSCH INBEV SPON ADR	Trade	5.000		10/14/08	06/11/09	701.42	549.98	151.44		Y
APPLE INC	Trade	127.000		10/21/08	06/11/09	17,815.96	11,851.82	5,964.14		Y
	Trade	73.000		10/22/08	06/11/09	10,240.57	6,829.85	3,410.82		Y
	Trade	50.000		10/22/08	06/12/09	6,865.36	4,677.98	2,187.38		Y
	Trade	58.000		10/22/08	07/01/09	8,352.13	5,426.46	2,925.67		Y
	Trade	195.000		10/22/08	09/24/09	35,874.40	18,244.12	17,630.28		Y
	Trade	176.000		03/04/09	09/24/09	32,378.94	16,151.12	16,227.82		Y
	Trade	310.000		03/11/09	09/24/09	57,031.09	28,788.09	28,243.00		Y
	Trade	60.000		03/12/09	09/24/09	11,038.28	5,697.76	5,340.52		Y
	Trade	66.000		07/30/09	09/24/09	12,142.10	10,832.69	1,309.41		Y
	Trade	139.000		09/01/09	09/24/09	25,572.01	23,055.12	2,516.89		Y
BHP BILLITON PLC NEW SPON ADR	Trade	376.000		03/19/09	08/12/09	19,596.38	15,497.25	4,099.13		Y
	Trade	156.000		03/20/09	08/12/09	8,130.42	6,438.93	1,691.49		Y
	Trade	192.000		03/20/09	09/24/09	10,331.89	7,924.84	2,407.05		Y
	Trade	293.000		03/23/09	09/24/09	15,766.89	12,902.23	2,864.66		Y
	Trade	486.000		03/24/09	09/24/09	26,152.59	20,432.75	5,719.84		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BHP BILLITON PLC NEW SPON ADR	Trade	162,000		03/25/09	09/24/09	8,717.53	6,673.09	2,044.44		Y
	Trade	390,000		03/26/09	09/24/09	20,986.65	17,002.79	3,983.86		Y
	Trade	361,000		03/27/09	09/24/09	19,426.10	14,659.45	4,766.65		Y
BLACKROCK INC	Trade	83,000		09/08/08	01/21/09	8,288.16	18,122.51	-9,834.35		Y
	Trade	115,000		09/09/08	01/21/09	11,483.59	25,265.42	-13,781.83		Y
BUFFALO WILD WINGS INC	Trade	170,000		03/18/09	06/10/09	5,956.66	6,145.50	-188.84		Y
	Trade	43,000		03/25/09	06/10/09	1,506.68	1,558.82	-52.14		Y
CAPITAL ONE FINCL CORP	Trade	651,000		04/24/09	05/20/09	15,095.13	11,385.53	3,709.60		Y
	Trade	299,000		04/24/09	05/21/09	6,917.75	5,229.30	1,688.45		Y
	Trade	423,000		05/07/09	05/21/09	9,786.66	11,472.78	-1,686.12		Y
CBS CORP NEW CL B	Trade	2,743,000		08/07/09	09/24/09	31,874.76	28,870.62	3,004.14		Y
	Trade	1,570,000		09/18/09	09/24/09	18,244.03	19,803.35	-1,559.32		Y
	Trade	2,468,000		09/21/09	09/24/09	28,679.15	30,756.46	-2,077.31		Y
CDN NATL RAILWAY CO FOREIGN STOCK	Trade	260,000		03/18/08	03/04/09	8,460.20	12,756.04	-4,295.84		Y
	Trade	226,000		03/19/08	03/04/09	7,353.86	11,154.32	-3,800.46		Y
	Trade	277,000		04/01/08	03/04/09	9,013.36	13,724.69	-4,711.33		Y
CELGENE CORP	Trade	294,000		02/05/09	04/30/09	12,462.31	16,128.16	-3,665.85		Y
	Trade	100,000		02/06/09	04/30/09	4,238.88	5,534.19	-1,295.31		Y
	Trade	52,000		02/06/09	04/30/09	2,219.19	2,877.78	-658.59		Y
	Trade	260,000		02/20/09	04/30/09	11,095.97	13,979.84	-2,883.87		Y
	Trade	141,000		02/23/09	04/30/09	6,017.43	7,472.14	-1,454.71		Y
	Trade	148,000		02/23/09	09/24/09	7,980.35	7,843.10	137.25		Y
	Trade	229,000		03/12/09	09/24/09	12,347.98	10,717.61	1,630.37		Y
	Trade	496,000		03/26/09	09/24/09	26,744.97	22,671.42	4,073.55		Y
	Trade	332,000		03/26/09	09/24/09	17,901.87	15,126.78	2,775.09		Y
CHEESECAKE FACTORY INC	Trade	318,000		07/23/09	09/24/09	17,146.98	17,693.77	-546.79		Y
	Trade	509,000		03/18/09	06/10/09	8,409.33	6,071.15	2,338.18		Y
	Trade	183,000		03/25/09	06/10/09	3,023.39	2,054.67	968.72		Y
CHIPOTLE MEXICAN GRILL INC CL A	Trade	308,000		04/24/09	09/24/09	28,463.85	25,287.26	3,176.59		Y
	Trade	25,000		05/26/09	09/24/09	2,310.38	1,984.93	325.45		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CITRIX SYSTEMS INC										
Trade	Trade	352,000		11/24/08	03/13/09	8,079.48	8,974.42	-894.94		Y
Trade	Trade	238,000		11/24/08	04/29/09	6,153.04	6,067.93	85.11		Y
Trade	Trade	215,000		11/24/08	04/29/09	5,510.07	5,481.53	28.54		Y
Trade	Trade	67,000		11/25/08	04/29/09	1,717.09	1,700.85	16.24		Y
Trade	Trade	325,000		11/25/08	04/29/09	8,290.14	8,250.39	39.75		Y
Trade	Trade	109,000		11/25/08	04/29/09	2,791.81	2,767.05	24.76		Y
Trade	Trade	238,000		12/02/08	04/29/09	6,095.88	5,830.02	265.86		Y
Trade	Trade	460,000		12/02/08	05/27/09	14,226.47	11,268.11	2,958.36		Y
Trade	Trade	378,000		12/05/08	05/27/09	11,690.44	9,487.50	2,202.94		Y
Trade	Trade	411,000		12/05/08	09/02/09	14,292.07	10,315.77	3,976.30		Y
Trade	Trade	84,000		12/05/08	09/24/09	3,165.88	2,108.33	1,057.55		Y
Trade	Trade	1,265,000		01/22/09	09/24/09	47,676.62	29,015.81	18,660.81		Y
Trade	Trade	98,000		07/10/09	09/24/09	3,693.52	3,144.61	548.91		Y
Trade	Trade	108,000		07/15/09	09/24/09	4,070.42	3,600.96	469.46		Y
Trade	Trade	130,000		07/23/09	09/24/09	4,899.57	4,694.30	205.27		Y
Trade	Trade	511,000		07/23/09	09/24/09	19,259.09	17,848.00	1,411.09		Y
CITY NATIONAL CORP										
Trade	Trade	222,000		09/22/08	02/17/09	6,729.45	13,469.85	-6,740.40		Y
Trade	Trade	221,000		09/22/08	03/04/09	5,633.94	13,409.18	-7,775.24		Y
Trade	Trade	94,000		11/25/08	03/04/09	2,396.34	3,771.83	-1,375.49		Y
Trade	Trade	316,000		11/25/08	09/24/09	12,057.81	12,679.78	-621.97		Y
Trade	Trade	223,000		11/26/08	09/24/09	8,509.15	9,248.26	-739.11		Y
Trade	Trade	186,000		11/26/08	09/24/09	7,097.32	7,750.23	-652.91		Y
Trade	Trade	352,000		02/24/09	09/24/09	13,431.48	11,196.31	2,235.17		Y
Trade	Trade	385,000		03/16/09	09/24/09	14,690.68	11,700.34	2,990.34		Y
Trade	Trade	193,000		06/17/09	09/24/09	7,364.42	6,775.84	588.58		Y
Trade	Trade	123,000		06/29/09	09/24/09	4,693.39	4,446.28	247.11		Y
Trade	Trade	247,000		06/30/09	09/24/09	9,424.93	8,874.78	550.15		Y
Trade	Trade	55,000		09/01/09	09/24/09	2,098.67	2,095.91	2.76		Y
Trade	Trade	260,000		02/04/09	09/24/09	14,937.00	11,204.23	3,732.77		Y
COSTCO WHOLESALE CORP										
Trade	Trade	495,000		12/08/08	09/24/09	15,443.90	8,863.02	6,580.88		Y
Trade	Trade	538,000		12/08/08	09/24/09	16,785.49	9,422.10	7,363.39		Y
Trade	Trade	491,000		12/17/08	09/24/09	15,319.10	8,916.02	6,403.08		Y
Trade	Trade	1,636,000		01/23/09	09/24/09	51,042.87	31,771.77	19,271.10		Y
Trade	Trade	1,004,000		04/30/09	09/24/09	31,324.60	25,182.63	6,141.97		Y
Trade	Trade	697,000		06/12/09	09/24/09	21,746.26	16,070.73	5,675.53		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CROWN CASTLE INTL CORP	Trade	762,000		06/17/09	09/24/09	23,774.25	17,079.09	6,695.16		Y
	Trade	195,000		09/24/09	09/24/09	6,083.96	6,046.60	37.36		Y
CVB FINCL CORP	Trade	1,439,000		09/01/09	09/24/09	11,527.53	9,855.28	1,672.25		Y
	Trade	1,341,000		09/03/09	09/24/09	10,742.47	9,371.18	1,371.29		Y
DARDEN RESTAURANTS INC	Trade	172,000		03/18/09	04/14/09	6,368.54	6,137.06	231.48		
	Trade	54,000		03/25/09	04/14/09	1,999.43	1,833.79	165.64		
DIAMOND OFFSHORE DRILLING INC	Trade	23,000		01/08/09	02/13/09	1,467.54	1,486.11	-18.57		Y
	Trade	289,000		01/08/09	02/13/09	18,439.91	18,384.79	55.12		Y
	Trade	261,000		01/08/09	02/17/09	17,163.21	16,864.12	299.09		Y
ENERGY CONVERSION DEVICES INC	Trade	310,000		06/19/08	05/07/09	4,928.62	23,403.39	-18,474.77		Y
	Trade	225,000		08/20/08	05/07/09	3,577.23	17,164.01	-13,586.78		Y
	Trade	1,000		08/20/08	05/07/09	16.08	76.28	-60.20		Y
	Trade	176,000		10/14/08	05/07/09	2,829.72	8,254.19	-5,424.47		Y
	Trade	27,000		11/10/08	05/07/09	434.11	1,036.26	-602.15		Y
	Trade	285,000		12/17/08	05/07/09	4,582.23	7,745.16	-3,162.93		Y
FORD MOTOR CO COM NEW	Trade	319,000		01/08/09	05/07/09	5,128.88	8,706.34	-3,577.46		Y
	Trade	3,223,000		05/14/09	07/28/09	22,885.29	16,947.82	5,937.47		Y
	Trade	137,000		05/27/09	07/28/09	972.78	752.13	220.65		Y
	Trade	1,601,000		05/27/09	09/24/09	11,690.36	8,789.49	2,900.87		Y
GAFISA S. A. ADS	Trade	1,090,000		07/16/09	09/24/09	7,959.08	6,590.90	1,368.18		Y
	Trade	641,000		08/25/09	09/24/09	18,951.25	19,798.31	-847.06		Y
GENZYME CORP GEN DIV	Trade	649,000		08/25/09	09/24/09	19,187.77	19,628.42	-440.65		Y
	Trade	674,000		08/26/09	09/24/09	19,926.90	20,918.67	-991.77		Y
	Trade	551,000		08/27/09	09/24/09	16,290.39	16,885.28	-594.89		Y
	Trade	691,000		01/20/09	08/03/09	34,611.30	46,923.12	-12,311.82		Y
	Trade	302,000		01/21/09	08/03/09	15,126.79	20,834.80	-5,708.01		Y
	Trade	186,000		01/29/09	08/03/09	9,316.50	12,930.89	-3,614.39		Y
	Trade	9,000		01/29/09	08/12/09	452.54	625.69	-173.15		Y
	Trade	237,000		03/04/09	08/12/09	11,916.88	12,928.63	-1,011.75		Y
	Trade	157,000		03/12/09	08/12/09	7,894.31	8,672.24	-777.93		Y
	Trade	144,000		03/13/09	08/12/09	7,240.64	8,135.70	-895.06		Y



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GENZYME CORP GEN DIV	Trade	208.000		06/17/09	08/12/09	10,458.70	11,470.87	-1,012.17		Y
GILEAD SCIENCES INC	Trade	328.000		04/14/08	03/25/09	14,496.66	16,545.07	-2,048.41		Y
	Trade	47.000		04/14/08	03/25/09	2,033.57	2,370.79	-337.22		Y
	Trade	267.000		04/15/08	03/25/09	11,552.43	13,648.37	-2,095.94		Y
	Trade	21.000		04/16/08	03/25/09	908.62	1,083.93	-175.31		Y
	Trade	253.000		04/16/08	03/26/09	11,066.33	13,058.77	-1,992.44		Y
	Trade	47.000		07/18/08	03/26/09	2,055.80	2,406.87	-351.07		Y
	Trade	102.000		07/18/08	03/26/09	4,431.13	5,223.42	-792.29		Y
	Trade	426.000		10/10/08	03/26/09	18,506.49	15,566.42	2,940.07		Y
	Trade	347.000		10/10/08	04/22/09	16,289.22	12,679.69	3,609.53		Y
	Trade	238.000		11/24/08	04/22/09	11,172.43	10,121.07	1,051.36		Y
	Trade	134.000		11/24/08	09/24/09	6,154.82	5,698.42	456.40		Y
	Trade	1,081.000		01/20/09	09/24/09	49,651.97	51,657.96	-2,005.99		Y
GOLDMAN SACHS GROUP INC	Trade	266.000		10/10/08	01/20/09	17,758.85	22,291.31	-4,534.66		Y
	Trade	244.000		10/10/08	01/21/09	15,669.27	20,447.66	-4,778.39		Y
	Trade	208.000		10/10/08	01/21/09	13,379.17	17,430.80	-4,051.63		Y
	Trade	19.000		10/31/08	01/21/09	1,220.15	1,772.38	-552.23		Y
	Trade	131.000		10/31/08	01/22/09	9,161.36	12,220.07	-3,058.71		Y
	Trade	115.000		11/25/08	01/22/09	8,042.41	7,881.33	161.08		Y
	Trade	268.000		11/25/08	01/22/09	18,742.32	18,416.21	326.11		Y
	Trade	301.000		12/05/08	01/22/09	21,050.14	21,074.39	-24.25		Y
	Trade	152.000		03/18/09	06/10/09	22,331.50	15,376.76	6,954.74		Y
	Trade	11.000		03/18/09	06/10/09	1,616.10	1,141.32	474.78		Y
	Trade	125.000		03/18/09	07/28/09	20,071.53	12,969.51	7,102.02		Y
	Trade	49.000		03/19/09	07/28/09	7,868.04	4,936.17	2,931.87		Y
	Trade	165.000		03/19/09	07/28/09	26,494.42	17,039.58	9,454.84		Y
	Trade	111.000		03/19/09	09/24/09	20,336.98	11,181.94	9,155.04		Y
	Trade	149.000		03/19/09	09/24/09	27,299.20	15,158.47	12,140.73		Y
	Trade	151.000		03/19/09	09/24/09	27,665.63	15,575.17	12,090.46		Y
	Trade	194.000		07/09/09	09/24/09	35,543.92	27,728.48	7,815.44		Y
	Trade	112.000		07/09/09	09/24/09	20,520.20	16,193.17	4,327.03		Y
GOODYEAR TIRE & RUBBER CO	Trade	428.000		04/24/09	05/06/09	5,714.72	4,650.61	1,064.11		Y
	Trade	791.000		04/24/09	05/07/09	9,772.78	8,594.93	1,177.85		Y
GOOGLE INC CL A	Trade	42.000		01/28/09	04/16/09	16,281.04	14,763.00	1,518.04		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOOGLE INC CL A	Trade	4,000		01/28/09	06/11/09	1,717.24	1,406.00	311.24		Y
	Trade	36,000		01/29/09	06/11/09	15,455.12	12,337.27	3,117.85		Y
	Trade	15,000		01/29/09	06/12/09	6,349.35	5,140.53	1,208.82		Y
	Trade	3,000		02/05/09	06/12/09	1,269.87	1,061.02	208.85		Y
	Trade	28,000		02/05/09	07/01/09	11,893.25	9,902.83	1,990.42		Y
	Trade	10,000		02/05/09	09/24/09	4,978.20	3,536.73	1,441.47		Y
	Trade	44,000		02/06/09	09/24/09	21,904.06	15,950.13	5,953.93		Y
	Trade	41,000		02/06/09	09/24/09	20,410.60	15,168.36	5,242.24		Y
	Trade	45,000		02/09/09	09/24/09	22,401.88	16,935.29	5,466.59		Y
	Trade	94,000		03/11/09	09/24/09	46,795.04	29,516.00	17,279.04		Y
	Trade	18,000		03/12/09	09/24/09	8,960.75	5,728.87	3,231.88		Y
	Trade	878,000		01/23/09	02/05/09	15,810.14	16,014.11	-203.97		Y
HALLIBURTON CO (HOLDING COMPANY)	Trade	255,000		09/05/08	02/20/09	4,918.28	7,304.68	-2,386.40		Y
	Trade	980,000		09/08/08	02/20/09	19,015.61	29,669.51	-10,653.90		Y
	Trade	317,000		09/08/08	02/20/09	6,114.10	9,500.24	-3,386.14		Y
	Trade	884,000		09/08/08	02/23/09	17,195.29	26,492.77	-9,297.48		Y
	Trade	147,000		09/08/08	03/09/09	2,697.52	4,405.47	-1,707.95		Y
	Trade	537,000		09/19/08	03/09/09	9,854.21	14,995.62	-5,141.41		Y
	Trade	272,000		10/10/08	03/09/09	4,991.33	5,178.80	-187.47		Y
	Trade	681,000		10/10/08	03/10/09	13,175.85	12,966.04	209.81		Y
	Trade	220,000		10/21/08	03/10/09	4,256.51	4,561.06	-304.55		Y
	Trade	125,000		10/21/08	08/24/09	3,402.25	2,591.51	810.74		Y
	Trade	583,000		10/29/08	08/24/09	15,868.09	13,333.27	2,534.82		Y
	Trade	73,000		10/31/08	08/24/09	1,986.91	1,738.69	248.22		Y
	Trade	415,000		12/03/08	08/24/09	11,295.47	9,102.32	2,193.15		Y
	Trade	602,000		12/03/08	09/22/09	16,572.63	13,203.85	3,368.78		Y
	Trade	681,000		12/03/08	09/24/09	18,437.26	14,936.58	3,500.68		Y
ICICI BANK LTD SPON ADR	Trade	287,000		12/05/08	09/24/09	7,770.18	6,949.65	820.53		Y
	Trade	595,000		03/18/09	09/24/09	16,108.91	13,457.71	2,651.20		Y
	Trade	741,000		03/19/09	09/24/09	20,061.69	16,675.32	3,386.37		Y
	Trade	829,000		04/30/09	09/24/09	29,208.31	17,391.67	11,816.64		Y
	Trade	686,000		07/30/09	09/24/09	24,169.97	21,521.88	2,648.09		Y



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
INTUITIVE SURGICAL INC NEW	Trade	42,000		07/27/09	09/24/09	10,160.35	9,183.04	977.31		Y
	Trade	133,000		07/27/09	09/24/09	32,174.45	28,962.92	3,211.53		Y
	Trade	39,000		07/28/09	09/24/09	9,434.61	8,673.79	760.82		Y
	Trade	41,000		07/31/09	09/24/09	9,918.44	9,279.18	639.26		Y
	Trade	17,000		09/01/09	09/24/09	4,112.52	3,679.82	432.70		Y
	Trade	78,000		09/03/09	09/24/09	18,869.23	17,286.34	1,582.89		Y
	Trade	85,000		09/04/09	09/24/09	20,562.62	19,324.81	1,237.81		Y
	Trade	35,000		09/16/09	09/24/09	8,466.96	8,618.60	-151.64		Y
	Trade	53,000		09/18/09	09/24/09	12,821.40	13,090.58	-269.18		Y
ITAU UNIBANCO HLDG SA ADR	Trade	1,201,000		08/18/09	09/24/09	22,061.80	19,490.23	2,571.57		Y
	Trade	1,119,000		08/19/09	09/24/09	20,555.50	18,788.11	1,767.39		Y
	Trade	1,746,000		08/25/09	09/24/09	32,073.19	30,504.68	1,568.51		Y
	Trade	1,011,000		09/01/09	09/24/09	18,571.59	16,506.50	2,065.09		Y
	Trade	1,187,000		09/02/09	09/24/09	21,804.63	19,577.07	2,227.56		Y
J CREW GROUP INC	Trade	376,000		09/19/08	03/06/09	3,474.11	12,172.85	-8,698.74		Y
	Trade	652,000		10/15/08	03/06/09	6,024.25	13,048.80	-7,024.55		Y
	Trade	92,000		10/30/08	03/06/09	850.05	1,764.52	-914.47		Y
	Trade	279,000		10/30/08	03/09/09	2,656.96	5,351.11	-2,694.15		Y
	Trade	154,000		10/30/08	03/09/09	1,466.56	2,942.08	-1,475.52		Y
JPMORGAN CHASE & CO	Trade	1,390,000		03/18/08	01/20/09	27,239.67	58,219.59	-30,979.92		Y
	Trade	720,000		03/18/08	01/20/09	13,370.46	30,156.91	-16,786.45		Y
	Trade	445,000		03/18/08	01/22/09	9,594.19	18,638.65	-9,044.46		Y
	Trade	574,000		03/20/08	01/22/09	12,375.42	25,620.38	-13,244.96		Y
	Trade	707,000		03/20/08	02/17/09	15,928.62	31,556.80	-15,628.18		Y
	Trade	873,000		03/20/08	02/17/09	19,818.73	38,966.18	-19,147.45		Y
	Trade	270,000		03/20/08	02/20/09	5,338.87	12,051.40	-6,712.53		Y
	Trade	417,000		08/28/08	02/20/09	8,245.58	15,977.40	-7,731.82		Y
	Trade	662,000		02/26/09	09/24/09	29,507.63	15,609.96	13,897.67		Y
	Trade	851,000		02/26/09	09/24/09	37,932.01	20,447.15	17,484.86		Y
	Trade	1,110,000		02/27/09	09/24/09	49,476.53	25,647.33	23,829.20		Y
	Trade	525,000		03/16/09	09/24/09	23,401.06	13,117.91	10,283.15		Y
	Trade	858,000		03/17/09	09/24/09	38,244.02	21,023.06	17,220.96		Y
	Trade	206,000		04/07/09	09/24/09	9,182.13	5,660.90	3,521.23		Y
	Trade	6,000		04/23/09	09/24/09	267.44	193.44	74.00		Y
	Trade	387,000		06/15/09	09/24/09	17,249.93	13,253.28	3,996.65		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
JUNIPER NETWORKS INC	Trade	562.000		05/12/09	07/28/09	14,727.95	12,233.73	2,494.22		Y
	Trade	202.000		05/12/09	09/09/09	5,272.06	4,397.18	874.88		Y
	Trade	377.000		06/11/09	09/09/09	8,839.45	8,996.69	842.76		Y
	Trade	6.000		06/11/09	09/24/09	159.68	143.18	16.50		Y
	Trade	807.000		06/17/09	09/24/09	21,476.95	18,402.42	3,074.53		Y
	Trade	400.000		06/19/09	09/24/09	10,645.33	9,027.48	1,617.85		Y
	Trade	684.000		06/24/09	09/24/09	18,203.51	15,576.53	2,626.98		Y
	Trade	716.000		06/24/09	09/24/09	19,055.13	16,359.31	2,695.82		Y
	Trade	436.000		06/25/09	09/24/09	11,603.41	9,999.31	1,604.10		Y
	Trade	310.000		04/29/08	02/11/09	1,239.93	4,282.96	-3,043.03		Y
LIVE NATION ENTERTAINMENT INC	Trade	1,645.000		04/30/08	02/11/09	6,579.63	22,608.72	-16,029.09		Y
	Trade	211.000		05/01/08	02/11/09	843.95	3,023.38	-2,179.43		Y
	Trade	145.000		05/19/08	02/11/09	579.97	2,211.25	-1,631.28		Y
	Trade	114.000		05/20/08	02/11/09	455.97	1,734.62	-1,278.65		Y
	Trade	186.000		05/20/08	02/12/09	739.31	2,830.18	-2,090.87		Y
	Trade	422.000		05/21/08	02/12/09	1,677.35	6,304.60	-4,627.25		Y
MARTIN MARIETTA MATERIALS INC	Trade	105.000		12/05/08	03/03/09	7,558.13	9,030.00	-1,471.87		Y
	Trade	86.000		12/08/08	03/03/09	6,190.47	8,404.83	-2,214.36		Y
MASTERCARD INC CL A	Trade	114.000		10/14/08	09/24/09	24,596.58	20,186.12	4,410.46		Y
MONSANTO CO NEW	Trade	300.000		07/21/08	05/11/09	25,723.80	35,210.82	-9,487.02		Y
	Trade	402.000		07/30/08	05/11/09	34,469.89	48,737.07	-14,267.18		Y
	Trade	118.000		07/30/08	07/09/09	8,501.97	14,305.91	-5,803.94		Y
	Trade	246.000		08/20/08	07/09/09	17,724.46	28,961.85	-11,237.39		Y
	Trade	139.000		08/20/08	07/21/09	11,093.47	16,364.62	-5,271.15		Y
	Trade	85.000		08/21/08	07/21/09	6,783.78	10,065.58	-3,281.80		Y
	Trade	15.000		10/03/08	07/21/09	1,197.14	1,319.60	-122.46		Y
	Trade	114.000		10/03/08	07/31/09	9,696.53	10,028.96	-332.43		Y
	Trade	100.000		10/03/08	08/24/09	8,441.42	8,797.33	-355.91		Y
	Trade	16.000		10/03/08	09/24/09	1,231.84	1,407.57	-175.73		Y
NATL-OILWELLVARCO INC	Trade	145.000		10/06/08	09/24/09	11,163.57	10,931.62	231.95		Y
	Trade	311.000		10/07/08	09/24/09	23,943.92	23,701.47	242.45		Y
	Trade	1,292.000		08/03/09	09/24/09	53,824.11	48,990.57	4,833.54		Y
	Trade	180.000		09/17/09	09/24/09	7,498.71	7,865.23	-366.52		Y



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NATL-OILWELLVARCO INC	Trade	217,000		09/22/09	09/24/09	9,040.12	9,581.31	-541.19		Y
P F CHANGS CHINA BISTRO INC	Trade	180,000		03/18/09	06/11/09	5,786.18	4,256.42	1,529.76		Y
	Trade	79,000		03/19/09	06/11/09	2,539.49	1,862.37	677.12		Y
	Trade	79,000		03/25/09	06/11/09	2,539.49	1,819.65	719.84		Y
	Trade	59,000		04/24/09	06/11/09	1,896.58	2,006.00	-109.42		Y
PANERA BREAD COMP CL A	Trade	111,000		03/18/09	05/06/09	6,181.94	6,024.00	157.94		Y
	Trade	34,000		03/25/09	05/06/09	1,893.57	1,815.90	77.67		Y
PENSKE AUTOMOTIVE GROUP INC	Trade	510,000		07/28/09	09/24/09	8,504.03	9,600.65	-1,096.62		Y
	Trade	426,000		08/04/09	09/24/09	7,103.37	8,945.15	-1,841.78		Y
	Trade	291,000		09/23/09	09/24/09	4,852.30	5,118.17	-265.87		Y
PEOPLE'S UNITED FINANCIAL INC	Trade	1,112,000		09/22/08	04/20/09	18,119.46	21,847.91	-3,728.45		Y
	Trade	223,000		09/22/08	04/21/09	3,589.21	4,381.37	-792.16		Y
	Trade	333,000		10/10/08	04/21/09	5,359.66	5,286.14	73.52		Y
	Trade	811,000		10/10/08	04/21/09	12,894.65	12,874.06	20.59		Y
	Trade	210,000		11/26/08	04/21/09	3,338.93	3,914.46	-575.53		Y
	Trade	987,000		11/26/08	04/24/09	15,763.26	18,397.98	-2,634.72		Y
PETROLEO BRASILEIRO SA SPON ADR	Trade	505,000		08/20/08	04/16/09	17,638.69	26,279.04	-8,640.35		Y
	Trade	442,000		08/20/08	04/17/09	15,150.22	23,000.66	-7,850.44		Y
	Trade	610,000		10/14/08	09/24/09	26,815.52	19,799.56	7,015.96		Y
	Trade	843,000		01/29/09	09/24/09	37,058.17	22,097.39	14,960.78		Y
	Trade	354,000		02/04/09	09/24/09	15,561.79	9,601.19	5,960.60		Y
	Trade	364,000		02/09/09	09/24/09	16,001.39	11,180.33	4,821.06		Y
	Trade	554,000		02/24/09	09/24/09	24,353.77	14,950.47	9,403.30		Y
	Trade	826,000		05/06/09	09/24/09	36,310.85	32,566.37	3,744.48		Y
	Trade	75,000		06/16/09	09/24/09	3,296.99	3,185.15	111.84		Y
	Trade	326,000		07/08/09	09/24/09	14,330.92	11,388.48	2,942.44		Y
	Trade	501,000		07/30/09	09/24/09	22,023.89	20,539.25	1,484.64		Y
PNC FINANCIAL SERVICES GROUP	Trade	2,010,000		04/24/09	09/24/09	90,471.39	86,162.67	4,308.72		Y
	Trade	397,000		05/06/09	09/24/09	17,869.22	17,946.74	-77.52		Y
POLO RALPH LAUREN CORP	Trade	347,000		06/15/09	09/24/09	25,587.40	18,587.33	7,000.07		Y
	Trade	158,000		07/16/09	09/24/09	11,650.75	8,635.63	3,015.12		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
POLO RALPH LAUREN CORP										
Trade		431,000		07/28/09	09/24/09	31,781.47	26,506.54	5,274.93		Y
Trade		243,000		08/06/09	09/24/09	17,918.55	16,613.06	1,305.49		Y
Trade		41,000		09/01/09	09/24/09	3,023.29	2,658.30	364.99		Y
PRECISION CASTPARTS CORP										
Trade		261,000		03/16/09	09/24/09	26,196.50	14,794.16	11,402.34		Y
Trade		277,000		03/18/09	09/24/09	27,802.41	15,709.86	12,092.55		Y
Trade		69,000		03/23/09	09/24/09	6,925.51	4,268.48	2,657.03		Y
Trade		400,000		03/26/09	09/24/09	40,147.89	25,485.52	14,662.37		Y
Trade		52,000		04/24/09	09/24/09	5,219.23	3,762.80	1,456.43		Y
PRIDE INTL INC (DELA)										
Trade		798,000		03/26/09	07/13/09	18,318.65	15,585.10	2,733.55		Y
Trade		244,000		03/27/09	07/13/09	5,601.19	4,715.86	885.33		Y
Trade		224,000		03/27/09	09/17/09	6,770.76	4,053.54	2,717.22		Y
Trade		347,000		04/07/09	09/17/09	10,488.63	6,564.10	3,924.53		Y
Trade		489,000		04/30/09	09/17/09	14,780.81	10,646.36	4,134.45		Y
Trade		113,000		04/30/09	09/24/09	3,340.33	2,460.20	880.13		Y
Trade		720,000		04/30/09	09/24/09	21,283.52	15,198.46	6,085.06		Y
Trade		985,000		06/11/09	09/24/09	29,117.03	24,820.39	4,296.64		Y
Trade		967,000		08/06/09	09/24/09	28,584.94	23,494.28	5,090.66		Y
QUALCOMM INC										
Trade		1,166,000		12/05/08	09/24/09	52,144.62	37,073.90	15,070.72		Y
Trade		137,000		01/28/09	09/24/09	6,126.77	5,040.57	1,086.20		Y
Trade		548,000		02/05/09	09/24/09	24,507.08	19,143.01	5,364.07		Y
Trade		266,000		02/06/09	09/24/09	11,895.77	9,466.20	2,429.57		Y
Trade		194,000		02/09/09	09/24/09	8,675.86	7,109.50	1,566.36		Y
Trade		223,000		03/12/09	09/24/09	9,972.77	8,196.21	1,776.56		Y
Trade		348,000		04/07/09	09/24/09	15,562.89	13,794.72	1,768.17		Y
SCHWAB CHARLES CORP NEW										
Trade		887,000		02/06/09	05/14/09	15,170.67	12,616.16	2,554.51		Y
Trade		835,000		02/06/09	05/14/09	14,318.38	11,876.54	2,441.84		Y
Trade		127,000		02/09/09	05/14/09	2,172.13	1,829.99	342.14		Y
Trade		491,000		02/09/09	05/15/09	8,248.98	7,075.02	1,173.96		Y
Trade		388,000		02/19/09	05/15/09	6,518.54	5,049.08	1,469.46		Y
Trade		872,000		02/19/09	07/30/09	15,469.75	11,347.42	4,122.33		Y
Trade		867,000		02/20/09	07/30/09	15,286.72	11,170.08	4,116.64		Y
Trade		207,000		02/20/09	07/30/09	3,672.29	2,666.91	1,005.38		Y
Trade		488,000		02/24/09	07/30/09	8,353.91	6,181.34	2,172.57		Y
Trade		507,000		02/24/09	07/30/09	8,939.29	6,696.46	2,242.83		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SCHWAB CHARLES CORP NEW	Trade	1,145,000		02/26/09	07/30/09	20,438.53	15,255.41	5,183.12		Y
SEAHAWK DRILLING INC	Trade	14,000		03/27/09	08/27/09	330.89	258.54	72.35		Y
	Trade	23,000		04/07/09	08/27/09	543.60	444.01	99.59		Y
	Trade	40,000		04/30/09	08/27/09	945.40	888.73	56.67		Y
	Trade	47,000		04/30/09	08/27/09	1,110.84	1,012.47	98.37		Y
	Trade	68,000		06/11/09	08/27/09	1,607.17	1,737.20	-130.03		Y
	Trade	64,000		08/06/09	08/27/09	1,512.63	1,586.83	-74.20		Y
SIMON PPTY GROUP INC SBI	Trade	645,000		08/04/09	09/24/09	43,860.87	39,283.21	4,577.66		Y
	Trade	160,000		09/01/09	09/24/09	10,880.22	9,825.70	1,054.52		Y
	Trade	5,000		Earnings	09/24/09	340.01	332.36	7.65		Y
SOWSTN ENERGY CO	Trade	506,000		01/20/09	02/05/09	16,348.10	13,745.54	2,602.56		Y
	Trade	501,000		01/20/09	02/05/09	16,514.56	13,609.72	2,904.84		Y
	Trade	494,000		01/20/09	02/05/09	16,114.28	13,419.56	2,694.72		Y
	Trade	182,000		01/20/09	02/06/09	5,781.36	4,944.05	837.31		Y
	Trade	302,000		01/21/09	02/06/09	9,593.25	8,468.50	1,124.75		Y
	Trade	181,000		01/21/09	02/06/09	5,793.13	5,075.49	717.64		Y
	Trade	317,000		01/23/09	02/06/09	10,145.97	9,903.49	242.48		Y
ST JOE CO	Trade	175,000		02/25/08	02/06/09	3,962.98	6,761.23	-2,798.25		Y
	Trade	365,000		02/25/08	02/10/09	8,062.07	14,101.99	-6,039.92		Y
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	Trade	510,000		12/16/08	01/22/09	8,417.35	8,758.23	-340.88		Y
	Trade	526,000		12/18/08	01/22/09	8,681.42	9,063.93	-382.51		Y
STATE STREET CORP	Trade	101,000		04/09/09	07/15/09	4,837.60	3,566.10	1,271.50		Y
	Trade	491,000		04/09/09	07/15/09	23,517.46	16,279.25	7,238.21		Y
	Trade	133,000		04/09/09	07/20/09	6,397.32	4,695.95	1,701.37		Y
	Trade	250,000		04/09/09	07/27/09	12,275.78	8,826.98	3,448.80		Y
	Trade	104,000		04/09/09	07/27/09	5,106.73	3,762.01	1,344.72		Y
	Trade	291,000		04/09/09	09/24/09	15,008.81	10,526.40	4,482.41		Y
	Trade	436,000		04/22/09	09/24/09	22,487.43	15,474.99	7,012.44		Y
	Trade	195,000		05/14/09	09/24/09	10,057.45	7,484.59	2,572.86		Y
	Trade	234,000		05/15/09	09/24/09	12,068.94	9,103.98	2,964.96		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SUNTRUST BANKS INC	Trade	945,000		10/03/08	01/20/09	16,332.43	51,873.70	-35,541.27		Y
	Trade	124,000		10/10/08	01/20/09	2,143.09	4,280.96	-2,137.87		Y
	Trade	193,000		10/10/08	01/21/09	2,912.74	6,663.11	-3,750.37		Y
	Trade	280,000		10/16/08	01/21/09	4,225.74	11,879.45	-7,653.71		Y
	Trade	337,000		10/23/08	01/21/09	5,085.97	12,288.91	-7,202.94		Y
	Trade	450,000		10/23/08	01/21/09	6,924.74	16,409.52	-9,484.78		Y
	Trade	297,000		11/25/08	01/21/09	4,570.33	8,843.83	-4,273.50		Y
	Trade	232,000		12/05/08	01/21/09	3,570.09	7,376.83	-3,806.74		Y
	Trade	987,000		04/20/09	05/13/09	15,187.56	14,563.88	623.68		Y
	Trade	185,000		04/20/09	05/13/09	2,803.84	2,729.80	74.04		Y
	Trade	875,000		04/22/09	05/13/09	13,261.42	13,491.98	-230.56		Y
	Trade	262,000		04/22/09	05/13/09	4,109.70	4,039.88	69.82		Y
	Trade	891,000		04/22/09	05/13/09	13,976.13	14,205.21	-229.08		Y
	Trade	230,000		04/24/09	05/13/09	3,607.76	3,756.54	-148.78		Y
	Trade	738,000		04/24/09	05/14/09	11,522.54	12,053.61	-531.07		Y
	Trade	449,000		05/06/09	05/14/09	7,010.32	8,497.91	-1,487.59		Y
	Trade	674,000		05/06/09	05/15/09	10,591.10	12,756.33	-2,165.23		Y
	Trade	426,000		05/07/09	05/15/09	6,694.07	8,212.68	-1,518.61		Y
TAUBMAN CENTERS INC	Trade	690,000		05/04/09	09/09/09	22,522.40	16,892.24	5,630.16		Y
	Trade	21,000		05/04/09	09/17/09	767.16	514.11	253.05		Y
	Trade	359,000		08/04/09	09/17/09	13,114.72	10,411.22	2,703.50		Y
	Trade	300,000		08/04/09	09/24/09	10,328.16	8,700.18	1,627.98		Y
	Trade	260,000		09/01/09	09/24/09	8,951.08	7,907.56	1,043.52		Y
UNDER ARMOUR INC CLA	Trade	665,000		04/30/09	09/24/09	18,755.97	15,795.28	2,960.69		Y
	Trade	69,000		05/01/09	09/24/09	1,946.11	1,647.24	298.87		Y
	Trade	436,000		05/27/09	09/24/09	12,297.15	10,387.31	1,909.84		Y
US BANCORP DEL (NEW)	Trade	840,000		07/16/08	01/20/09	14,384.08	22,454.96	-8,070.88		Y
	Trade	394,000		07/17/08	01/20/09	6,746.82	11,164.86	-4,418.04		Y
	Trade	256,000		07/17/08	02/17/09	2,767.34	7,254.32	-4,486.98		Y
	Trade	356,000		07/21/08	02/17/09	3,848.34	10,008.19	-6,159.85		Y
	Trade	79,000		07/21/08	02/17/09	887.95	2,220.92	-1,332.97		Y
	Trade	366,000		07/23/08	02/17/09	4,113.81	11,285.61	-7,171.80		Y
	Trade	459,000		07/24/08	02/17/09	5,159.13	13,815.58	-8,656.45		Y
	Trade	506,000		08/13/08	02/17/09	5,687.40	15,214.96	-9,527.56		Y
	Trade	25,000		09/08/08	02/17/09	281.00	822.30	-541.30		Y
	Trade									Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
US BANCORP DEL (NEW)	Trade	648.000		09/08/08	03/05/09	6,059.87	21,313.89	-15,254.02		Y
	Trade	810.000		02/09/09	03/05/09	7,574.83	13,628.98	-6,054.15		Y
	Trade	594.000		02/26/09	03/05/09	5,554.88	8,751.88	-3,197.00		Y
	Trade	4.000		05/11/09	09/24/09	88.08	77.70	10.38		Y
	Trade	2,318.000		05/11/09	09/24/09	51,044.52	43,612.94	7,431.58		Y
	Trade	570.000		05/11/09	09/24/09	12,551.93	11,150.74	1,401.19		Y
	Trade	1,209.000		05/12/09	09/24/09	26,623.31	21,227.14	5,396.17		Y
	Trade	414.000		05/12/09	09/24/09	9,116.67	7,367.63	1,749.04		Y
	Trade	282.000		05/14/09	09/24/09	6,209.90	4,954.01	1,255.89		Y
	Trade	489.000		05/15/09	09/24/09	10,768.24	8,762.98	2,005.26		Y
	Trade	184.000		05/26/09	09/24/09	4,051.85	3,483.54	568.31		Y
	Trade	657.000		06/15/09	09/24/09	14,467.75	11,737.90	2,729.85		Y
	Trade	659.000		07/08/09	09/24/09	14,511.79	10,870.34	3,641.45		Y
VISA INC CLA	Trade	83.000		10/03/08	01/20/09	3,654.08	4,906.14	-1,252.06		Y
	Trade	222.000		10/03/08	01/20/09	9,513.86	13,122.44	-3,608.58		Y
	Trade	112.000		10/03/08	01/20/09	4,799.79	6,518.27	-1,718.48		Y
	Trade	212.000		10/03/08	03/20/09	11,284.69	12,338.15	-1,053.46		Y
	Trade	50.000		10/03/08	03/25/09	2,592.41	2,909.94	-317.53		Y
	Trade	285.000		10/07/08	03/25/09	14,776.76	14,781.72	-4.96		Y
	Trade	19.000		10/10/08	03/25/09	985.12	920.88	64.24		Y
	Trade	112.000		10/10/08	05/12/09	7,315.13	5,428.37	1,886.76		Y
	Trade	242.000		10/10/08	05/12/09	15,806.11	11,729.16	4,076.95		Y
	Trade	283.000		02/24/09	05/12/09	18,483.78	15,887.93	2,595.85		Y
	Trade	91.000		02/24/09	06/15/09	5,901.00	5,108.84	792.16		Y
	Trade	344.000		02/25/09	06/15/09	22,307.07	19,264.00	3,043.07		Y
	Trade	109.000		03/12/09	06/15/09	7,068.23	5,845.91	1,222.32		Y
WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade	503.000		05/06/09	09/24/09	14,060.75	12,993.40	1,067.35		Y
	Trade	229.000		05/06/09	09/24/09	6,401.42	5,903.62	497.80		Y
	Trade	709.000		05/06/09	09/24/09	19,819.23	17,881.48	1,937.75		Y
	Trade	694.000		05/12/09	09/24/09	19,399.92	16,864.69	2,535.23		Y
	Trade	728.000		05/15/09	09/24/09	20,350.35	17,549.24	2,801.11		Y
	Trade	553.000		05/21/09	09/24/09	15,458.44	12,774.30	2,684.14		Y
	Trade	161.000		07/15/09	09/24/09	4,500.56	3,793.98	706.58		Y
	Trade	510.000		07/16/09	09/24/09	14,256.43	12,576.86	1,679.57		Y
	Trade	241.000		07/28/09	09/24/09	6,736.86	6,361.87	374.99		Y
	Trade	241.000		07/31/09	09/24/09	6,736.86	6,166.97	569.89		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade	149,000		08/06/09	09/24/09	4,165.11	3,747.17	417.94		Y
	Trade	253,000		09/01/09	09/24/09	7,072.31	6,494.33	577.98		Y
	Trade	274,000		09/18/09	09/24/09	7,659.34	7,791.68	-132.34		Y
WELLS FARGO & CO NEW	Trade	239,000		02/22/08	02/20/09	2,400.24	7,297.08	-4,896.84		Y
	Trade	347,000		02/25/08	02/23/09	3,940.99	10,993.86	-7,052.87		Y
	Trade	327,000		02/28/08	02/23/09	3,713.85	10,027.88	-6,314.03		Y
	Trade	193,000		04/04/08	02/23/09	2,191.96	5,977.89	-3,785.93		Y
	Trade	200,000		04/08/08	02/23/09	2,271.47	6,004.16	-3,732.69		Y
	Trade	46,000		04/08/08	03/04/09	472.96	1,380.96	-908.00		Y
	Trade	248,000		04/15/08	03/04/09	2,549.90	6,820.20	-4,270.30		Y
	Trade	310,000		05/22/08	03/04/09	3,187.37	8,654.27	-5,466.90		Y
	Trade	215,000		05/22/08	03/04/09	2,210.60	6,036.25	-3,825.65		Y
	Trade	175,000		06/05/08	03/04/09	1,799.32	4,721.50	-2,922.18		Y
	Trade	144,000		06/09/08	03/04/09	1,480.59	3,705.42	-2,224.83		Y
	Trade	191,000		06/11/08	03/04/09	1,963.83	4,913.34	-2,949.51		Y
	Trade	379,000		02/28/09	03/04/09	3,896.82	5,629.32	-1,732.50		Y
	Trade	1,362,000		02/26/09	03/05/09	11,838.57	20,229.92	-8,391.35		Y
	Trade	660,000		05/14/09	09/24/09	18,786.94	16,820.89	1,966.05		Y
	Trade	671,000		05/14/09	09/24/09	19,100.06	17,053.33	2,046.73		Y
	Trade	709,000		05/15/09	09/24/09	20,181.73	17,722.09	2,459.64		Y
	Trade	697,000		05/15/09	09/24/09	19,840.15	17,652.22	2,187.93		Y
	Trade	645,000		05/26/09	09/24/09	18,359.97	16,595.91	1,764.06		Y
	Trade	1,185,000		06/17/09	09/24/09	33,731.10	27,525.77	6,205.33		Y
	Trade	2,113,000		07/08/09	09/24/09	60,146.69	47,875.30	12,271.39		Y
	Trade	484,000		07/30/09	09/24/09	13,777.09	12,148.21	1,628.88		Y
Total Short-Term Gain/Loss							5,573,593.99	244,179.95		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AECOM TECHNOLOGY CORP	Trade	497,000		05/19/08	09/24/09	13,411.99	15,551.13	-2,139.14		Y
APPLE INC	Trade	11,000		05/05/08	06/11/09	1,544.58	2,023.79	-479.21		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
APPLE INC	Trade	178,000		05/08/08	06/11/09	24,994.13	32,963.73	-7,969.60		Y
	Trade	44,000		05/08/08	06/11/09	6,172.46	8,148.34	-1,975.88		Y
ATHENAHEALTH INC	Trade	165,000		05/08/08	09/15/09	6,484.71	4,771.50	1,713.21		Y
	Trade	378,000		05/09/08	09/15/09	14,855.89	10,753.38	4,102.51		Y
	Trade	102,000		05/09/08	09/24/09	3,848.99	2,901.71	947.28		Y
	Trade	422,000		05/12/08	09/24/09	15,924.27	12,120.90	3,803.37		Y
BLACKROCK INC	Trade	7,000		10/26/07	01/20/09	744.02	1,375.22	-631.20		Y
	Trade	108,000		12/21/07	01/20/09	11,479.09	23,236.25	-11,757.16		Y
	Trade	22,000		12/21/07	01/21/09	2,196.86	4,733.31	-2,536.45		Y
	Trade	149,000		12/21/07	01/21/09	15,026.86	32,057.42	-17,030.56		Y
BLUE NILE INC	Trade	419,000		01/22/08	05/11/09	19,286.03	21,522.31	-2,236.28		Y
	Trade	158,000		02/13/08	05/11/09	7,272.53	7,036.61	235.92		Y
	Trade	12,000		02/13/08	05/12/09	537.94	534.43	3.51		Y
	Trade	390,000		02/13/08	07/10/09	14,489.17	17,368.85	-2,879.68		Y
CDN NATL RAILWAY CO FOREIGN STOCK	Trade	348,000		04/01/08	05/11/09	14,376.24	17,242.56	-2,866.32		Y
	Trade	200,000		04/01/08	07/21/09	9,156.26	9,909.52	-753.26		Y
	Trade	372,000		04/01/08	08/24/09	18,152.49	18,431.71	-279.22		Y
	Trade	38,000		04/23/08	08/24/09	1,854.29	1,936.98	-82.69		Y
	Trade	256,000		04/23/08	08/25/09	12,629.74	13,049.11	-419.37		Y
	Trade	310,000		04/24/08	08/25/09	15,293.83	16,231.97	-938.14		Y
	Trade	55,000		05/01/08	08/25/09	2,713.42	2,883.47	-170.05		Y
	Trade	495,000		05/08/08	08/25/09	24,420.79	26,985.57	-2,564.78		Y
	Trade	494,000		05/09/08	08/25/09	24,371.45	26,896.03	-2,524.58		Y
	Trade	132,000		05/02/07	02/03/09	6,500.18	10,103.32	-3,603.14		Y
CHIPOTLE MEXICAN GRILL INC CL A	Trade	113,000		06/22/07	02/03/09	5,564.55	9,125.17	-3,560.62		Y
	Trade	10,000		06/22/07	02/04/09	492.85	807.54	-314.69		Y
	Trade	43,000		06/22/07	02/04/09	2,168.60	3,472.41	-1,303.81		Y
	Trade	154,000		06/29/07	02/04/09	7,589.84	13,290.57	-5,700.73		Y
COSTCO WHOLESALE CORP	Trade	276,000		06/06/07	01/08/09	14,062.12	15,360.06	-1,297.94		Y
	Trade	31,000		06/11/07	01/08/09	1,579.44	1,727.66	-148.22		Y
	Trade	69,000		06/11/07	01/08/09	3,492.37	3,845.45	-353.08		Y
	Trade	344,000		06/11/07	01/08/09	17,391.40	19,171.50	-1,780.10		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1089-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
COSTCO WHOLESALE CORP	Trade	402,000		06/28/07	01/08/09	20,346.87	23,472.78	-3,125.91		Y
	Trade	36,000		06/29/07	01/08/09	1,822.11	2,112.62	-290.51		Y
	Trade	460,000		06/29/07	01/08/09	23,477.99	26,994.59	-3,516.60		Y
	Trade	73,000		07/18/07	01/08/09	3,725.85	4,549.40	-823.55		Y
	Trade	50,000		07/18/07	06/03/09	2,413.11	3,116.03	-702.92		Y
	Trade	274,000		07/26/07	06/03/09	13,223.86	16,412.93	-3,189.07		Y
	Trade	143,000		07/30/07	06/03/09	6,901.50	8,482.67	-1,581.17		Y
	Trade	342,000		07/30/07	06/17/09	15,953.88	20,287.23	-4,333.35		Y
	Trade	397,000		07/30/07	06/17/09	18,662.17	23,549.80	-4,887.63		Y
	Trade	7,000		09/10/07	06/17/09	329.06	402.04	-72.98		Y
	Trade	62,000		09/10/07	07/08/09	2,835.45	3,560.90	-725.45		Y
	Trade	25,000		09/13/07	07/08/09	1,143.33	1,484.50	-341.17		Y
	Trade	328,000		10/10/07	07/08/09	15,000.47	22,377.70	-7,377.23		Y
	Trade	10,000		10/12/07	07/08/09	457.33	678.23	-220.90		Y
	Trade	396,000		10/12/07	07/09/09	17,968.90	26,857.91	-8,889.01		Y
	Trade	23,000		10/12/07	07/23/09	1,120.71	1,559.93	-439.22		Y
	Trade	465,000		10/26/07	07/23/09	22,657.89	30,243.60	-7,585.71		Y
	Trade	214,000		10/26/07	07/24/09	10,488.49	13,918.56	-3,430.07		Y
	Trade	341,000		10/26/07	07/24/09	16,582.26	22,178.64	-5,596.38		Y
	Trade	20,000		10/26/07	09/03/09	1,088.84	1,300.80	-211.96		Y
	Trade	89,000		01/04/08	09/03/09	4,845.33	5,862.03	-1,016.70		Y
HEINEKEN N V ADR NETHERLANDS ADR	Trade	168,000		07/24/08	09/03/09	9,146.24	10,628.65	-1,482.41		Y
	Trade	142,000		07/24/08	09/21/09	8,304.10	8,983.74	-679.64		Y
	Trade	189,000		07/24/08	09/22/09	11,051.07	11,957.24	-906.17		Y
	Trade	155,000		07/24/08	09/24/09	8,904.75	9,806.20	-901.45		Y
JEFFERIES GROUP INC NEW	Trade	130,000		07/25/08	09/24/09	7,468.50	8,057.73	-589.23		Y
	Trade	3,982,000		02/23/07	05/06/09	61,688.35	100,045.76	-38,357.41		Y
	Trade	1,080,000		10/26/07	05/06/09	16,731.14	36,644.40	-19,913.26		Y
	Trade	708,000		01/28/08	05/06/09	10,968.19	21,009.12	-10,040.93		Y
JEFFERIES GROUP INC NEW	Trade	189,000		01/28/08	05/08/09	3,091.96	5,608.37	-2,516.41		Y
	Trade	1,531,000		06/21/06	09/24/09	38,200.22	41,260.45	-3,060.23		Y
	Trade	512,000		02/02/07	09/24/09	12,774.99	15,222.27	-2,447.28		Y
	Trade	200,000		03/30/07	09/24/09	4,990.23	5,839.60	-849.37		Y
	Trade	15,000		04/02/07	09/24/09	374.27	427.80	-53.53		Y
	Trade	110,000		07/25/07	09/24/09	2,744.63	3,085.43	-340.80		Y
	Trade	135,000		07/26/07	09/24/09	3,368.41	3,660.03	-291.62		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
JEFFERIES GROUP INC NEW										
Trade	Trade	500,000		07/26/07	09/24/09	12,475.58	13,481.80	-1,006.22		Y
Trade	Trade	405,000		08/31/07	09/24/09	10,105.22	10,468.36	-363.14		Y
Trade	Trade	616,000		10/17/07	09/24/09	15,369.91	15,705.60	-335.69		Y
Trade	Trade	835,000		10/26/07	09/24/09	20,834.22	20,918.25	-84.03		Y
Trade	Trade	370,000		11/20/07	09/24/09	9,231.93	8,705.32	526.61		Y
Trade	Trade	300,000		11/21/07	09/24/09	7,485.35	6,862.47	622.88		Y
Trade	Trade	140,000		11/26/07	09/24/09	3,493.16	3,280.09	213.07		Y
Trade	Trade	603,000		02/08/08	09/24/09	15,045.55	11,446.45	3,599.10		Y
Trade	Trade	335,000		02/14/08	09/24/09	8,358.64	6,150.63	2,208.01		Y
JPMORGAN CHASE & CO										
Trade	Trade	153,000		08/28/08	09/24/09	6,819.74	5,862.21	957.53		Y
Trade	Trade	583,000		09/08/08	09/24/09	25,986.32	23,933.55	2,052.77		Y
MASTERCARD INC CLA										
Trade	Trade	27,000		07/25/07	01/20/09	3,261.69	4,357.63	-1,095.94		Y
Trade	Trade	21,000		07/26/07	01/20/09	2,536.87	3,359.09	-822.22		Y
Trade	Trade	31,000		08/06/07	01/20/09	3,744.91	4,125.31	-380.40		Y
Trade	Trade	105,000		08/06/07	06/11/09	17,843.38	13,972.82	3,870.56		Y
Trade	Trade	408,000		08/06/07	06/11/09	69,441.03	54,294.40	15,146.63		Y
Trade	Trade	1,000		08/06/07	06/25/09	163.36	133.07	30.29		Y
Trade	Trade	332,000		10/26/07	06/25/09	54,235.22	52,161.62	2,073.60		Y
Trade	Trade	93,000		10/26/07	07/10/09	15,029.50	14,611.54	417.96		Y
Trade	Trade	19,000		01/03/08	07/10/09	3,070.54	3,979.16	-908.62		Y
Trade	Trade	149,000		01/03/08	09/03/09	30,346.24	31,205.00	-858.76		Y
Trade	Trade	10,000		01/03/08	09/24/09	2,157.59	2,094.30	63.29		Y
Trade	Trade	120,000		01/04/08	09/24/09	25,891.13	24,377.66	1,513.47		Y
Trade	Trade	83,000		01/07/08	09/24/09	17,908.03	16,509.53	1,398.50		Y
Trade	Trade	15,000		08/06/08	09/24/09	3,236.39	3,456.83	-220.44		Y
Trade	Trade	32,000		09/05/08	09/24/09	6,904.30	6,981.92	-77.62		Y
MCDONALDS CORP										
Trade	Trade	36,000		05/11/07	08/24/09	2,011.04	1,817.34	193.70		Y
Trade	Trade	265,000		05/14/07	08/24/09	14,803.53	13,402.80	1,400.73		Y
Trade	Trade	490,000		05/16/07	08/24/09	27,372.56	25,319.77	2,052.79		Y
Trade	Trade	57,000		05/18/07	08/24/09	3,184.15	2,962.89	221.26		Y
Trade	Trade	183,000		05/18/07	08/25/09	10,295.88	9,512.45	783.43		Y
Trade	Trade	152,000		07/26/07	08/25/09	8,551.77	7,750.66	801.11		Y
Trade	Trade	380,000		10/26/07	08/25/09	21,379.43	22,043.80	-664.37		Y
MONSANTO CO NEW										
Trade	Trade	151,000		10/26/07	05/11/09	12,947.64	14,304.58	-1,356.94		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PETROLEO BRASILEIRO SA SPON ADR	Trade	26,000		08/20/08	09/24/09	1,142.96	1,352.98	-210.02		Y
	Trade	125,000		09/19/08	09/24/09	5,494.98	5,806.03	-311.05		Y
RAYTHEON CO NEW	Trade	930,000		08/20/07	03/23/09	32,828.82	52,889.47	-20,060.65		Y
	Trade	446,000		08/21/07	03/23/09	15,743.71	25,713.06	-9,969.35		Y
	Trade	458,000		08/29/07	03/23/09	16,167.31	26,692.65	-10,525.34		Y
	Trade	439,000		08/30/07	03/23/09	15,496.61	26,580.13	-11,083.52		Y
	Trade	411,000		08/30/07	03/26/09	16,026.20	24,360.26	-8,334.06		Y
	Trade	10,000		08/30/07	03/26/09	389.93	605.47	-215.54		Y
	Trade	287,000		10/25/07	03/26/09	11,191.04	18,335.54	-7,144.50		Y
	Trade	392,000		10/25/07	04/20/09	16,189.96	25,043.66	-8,853.70		Y
	Trade	169,000		10/25/07	04/20/09	7,019.04	10,796.89	-3,777.85		Y
	Trade	5,000		10/26/07	04/20/09	207.66	319.70	-112.04		Y
	Trade	239,000		11/14/07	04/20/09	9,926.34	14,897.06	-4,970.72		Y
	Trade	114,000		11/14/07	04/21/09	4,677.61	7,105.71	-2,428.10		Y
	Trade	270,000		11/15/07	04/21/09	11,078.54	16,744.81	-5,666.27		Y
	Trade	242,000		11/15/07	04/21/09	10,007.82	15,008.31	-5,000.49		Y
	Trade	181,000		12/06/07	04/21/09	7,485.19	11,635.40	-4,150.21		Y
SAKS INC	Trade	566,000		10/26/06	03/18/09	1,062.09	10,976.44	-9,914.35		Y
	Trade	1,252,000		10/31/06	03/18/09	2,349.36	24,383.33	-22,033.97		Y
	Trade	659,000		11/02/06	03/18/09	1,236.61	12,847.34	-11,610.73		Y
	Trade	570,000		11/03/06	03/18/09	1,069.60	11,176.67	-10,107.07		Y
	Trade	1,242,000		11/06/06	03/18/09	2,330.60	24,733.93	-22,403.33		Y
	Trade	671,000		11/20/06	03/18/09	1,259.12	13,586.07	-12,326.95		Y
	Trade	674,000		11/20/06	03/19/09	1,132.11	13,646.82	-12,514.71		Y
	Trade	1,276,000		11/21/06	03/19/09	2,143.29	25,997.61	-23,854.32		Y
	Trade	1,614,000		12/06/06	03/19/09	2,711.02	27,825.20	-25,114.18		Y
	Trade	584,000		12/22/06	03/19/09	980.94	10,624.42	-9,643.48		Y
	Trade	1,930,000		10/26/07	03/19/09	3,241.80	36,357.73	-33,115.93		Y
ST JOE CO	Trade	623,000		04/19/06	01/22/09	15,411.19	35,953.33	-20,542.14		Y
	Trade	741,000		05/24/06	01/22/09	18,330.16	36,264.54	-17,934.38		Y
	Trade	83,000		05/24/06	02/03/09	1,933.27	4,062.02	-2,128.75		Y
	Trade	53,000		05/25/06	02/03/09	1,234.50	2,559.75	-1,325.25		Y
	Trade	158,000		06/09/06	02/03/09	3,680.21	7,107.52	-3,427.31		Y
	Trade	632,000		06/21/06	02/03/09	14,720.84	27,365.60	-12,644.76		Y
	Trade	305,000		06/21/06	02/05/09	6,833.82	13,206.50	-6,372.68		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ST JOE CO	Trade	337,000		07/10/06	02/05/09	7,550.81	16,349.79	-8,798.98		Y
	Trade	16,000		10/26/07	02/05/09	358.50	529.93	-171.43		Y
	Trade	519,000		10/26/07	02/06/09	11,753.05	17,189.59	-5,436.54		Y
VAIL RESORTS INC	Trade	477,000		03/07/07	05/11/09	12,884.10	25,524.32	-12,640.22		Y
	Trade	359,000		03/07/07	09/24/09	13,240.62	19,210.13	-5,969.51		Y
	Trade	250,000		03/30/07	09/24/09	9,220.49	13,548.58	-4,328.09		Y
	Trade	167,000		04/03/07	09/24/09	8,159.29	9,357.63	-3,198.34		Y
	Trade	35,000		04/09/07	09/24/09	1,290.87	1,941.54	-650.67		Y
	Trade	419,000		06/08/07	09/24/09	15,453.54	25,307.39	-9,853.85		Y
	Trade	79,000		07/19/07	09/24/09	2,913.67	4,913.15	-1,999.48		Y
	Trade	284,000		08/08/07	09/24/09	10,474.47	15,117.58	-4,643.11		Y
	Trade	53,000		09/11/07	09/24/09	1,954.74	2,940.28	-985.54		Y
	Trade	385,000		10/26/07	09/24/09	14,199.55	23,214.15	-9,014.60		Y
	Trade	455,000		06/21/06	01/22/09	7,262.39	15,124.20	-7,861.81		Y
WELLS FARGO & CO NEW	Trade	428,000		07/21/06	01/22/09	6,831.44	15,227.60	-8,396.16		Y
	Trade	181,000		03/30/07	01/22/09	2,889.00	6,287.00	-3,398.00		Y
	Trade	774,000		08/21/07	01/22/09	12,354.05	28,768.73	-16,414.68		Y
	Trade	219,000		08/21/07	02/17/09	3,231.65	8,139.99	-4,908.34		Y
	Trade	961,000		08/21/07	02/20/09	8,736.59	35,719.31	-26,982.72		Y
	Trade	356,000		08/21/07	02/20/09	3,838.09	13,232.13	-9,394.04		Y
	Trade	1,220,000		10/26/07	02/20/09	13,152.99	41,270.40	-28,117.41		Y
	Trade	192,000		01/22/08	02/20/09	2,069.98	5,101.08	-3,031.10		Y
	Trade	145,000		01/25/08	02/20/09	1,563.27	4,599.82	-3,036.55		Y
	Trade	745,000		01/25/08	02/20/09	7,481.92	23,633.56	-16,151.64		Y
	Trade	1,806,000		01/29/08	02/20/09	18,137.37	58,112.20	-39,974.83		Y
	Trade	257,000		02/08/08	02/20/09	2,581.01	7,773.14	-5,192.13		Y
	Trade	563,000		02/22/08	02/23/09	6,394.18	17,189.35	-10,795.17		Y
	Trade	217,000		09/28/07	07/30/09	10,885.33	34,580.92	-23,695.59		Y
WYNN RESORTS LTD	Trade	150,000		09/28/07	09/15/09	10,586.35	23,903.87	-13,317.52		Y
	Trade	339,000		09/28/07	09/24/09	23,564.97	54,022.73	-30,457.76		Y
	Trade	255,000		10/26/07	09/24/09	17,725.87	40,509.27	-22,783.40		Y
Total Long-Term Gain/Loss								-877,731.34		

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2009 Informational Tax Statement

C32G014714-X

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase		Sale		Gains		Losses		Net	
	Quantity	Face value	Original Cost Basis	Purchase date	Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Gain/Loss
Short-term Gain/Loss:										
Long-term Gain/Loss:										
Sub Total:										
Total:										

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity	Face value	Original Cost Basis	Purchase date	Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALCOA INC	Trade	1,905,000			11/14/08	02/17/09		13,360.07	21,519.45	-8,159.38		Y
ALLSTATE CORP	Trade	395,000			11/14/08	09/25/09		11,502.10	10,969.47	532.63		Y
ALTRIA GROUP INC	Trade	330,000			03/11/09	09/25/09		5,784.75	5,445.30	339.45		Y
	Trade	1,340,000			03/13/09	09/25/09		23,489.59	22,417.66	1,071.93		Y
ANNALY CAPITAL MANAGEMENT INC REIT	Trade	545,000			03/23/09	09/25/09		10,305.68	7,797.86	2,507.82		Y
	Trade	1,315,000			04/03/09	09/25/09		24,866.01	18,869.99	5,996.02		Y
BANK OF AMER CORP	Trade	1,720,000			12/10/08	02/04/09		8,820.45	28,346.46	-19,526.01		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BECTON DICKINSON & CO	Trade	235,000		05/26/09	09/25/09	16,097.09	15,759.34	337.75		Y
	Trade	700,000		05/29/09	09/25/09	47,948.76	46,998.07	950.69		Y
BOEING COMPANY	Trade	305,000		01/30/09	09/25/09	15,896.19	12,565.85	3,330.34		Y
	Trade	215,000		02/02/09	09/25/09	11,205.51	8,805.11	2,400.40		Y
CARDINAL HEALTH INC	Trade	390,000		02/20/09	08/24/09	13,789.46	14,030.68	-241.22		Y
	Trade	335,000		02/28/09	08/24/09	11,844.79	11,242.06	602.73		Y
	Trade	20,000		02/26/09	08/27/09	679.39	671.17	8.22		Y
	Trade	430,000		03/04/09	08/27/09	14,606.81	13,562.33	1,044.48		Y
	Trade	390,000		03/10/09	08/27/09	13,248.04	12,274.43	973.61		Y
	Trade	280,000		11/13/08	05/22/09	9,765.76	9,725.55	40.21		Y
CATERPILLAR INC										
CENTURYTEL INC	Cash in lieu	900								Y
	Trade	568,000		03/12/09	07/01/09	27.87	22.51	5.36		Y
	Trade	465,000		02/23/09	09/25/09	18,556.08	14,127.39	4,428.69		Y
	Trade	438,000		02/27/09	09/25/09	15,191.16	12,002.14	3,189.02		Y
	Trade	542,000		03/04/09	09/25/09	14,309.09	10,901.66	3,407.43		Y
DIAMOND OFFSHORE DRILLING INC	Trade	360,000		01/12/09	09/25/09	33,003.95	21,172.57	11,831.38		Y
	Trade	280,000		07/28/09	09/25/09	25,669.74	25,871.02	-201.28		Y
	Trade	70,000		07/29/09	09/25/09	6,417.43	6,348.85	68.58		Y
DOW CHEMICAL										
EDISON INTL	Trade	1,255,000		01/13/09	03/02/09	9,290.08	19,694.97	-10,404.89		Y
	Trade	470,000		03/04/09	09/25/09	16,097.08	11,689.32	4,407.76		Y
	Trade	475,000		03/10/09	09/25/09	16,268.33	11,806.03	4,462.30		Y
	Trade	380,000		03/17/09	09/25/09	13,014.66	10,719.00	2,295.66		Y
	Trade	530,000		03/20/09	09/25/09	18,152.03	15,121.70	3,030.33		Y
GENL ELECTRIC CO	Trade	125,000		09/17/08	04/14/09	1,552.11	2,932.50	-1,380.39		Y
	Trade	820,000		09/17/08	04/16/09	9,635.57	19,237.20	-9,601.63		Y
	Trade	290,000		09/18/08	04/16/09	3,407.70	6,475.21	-3,067.51		Y
	Trade	600,000		09/18/08	04/20/09	6,857.88	13,396.98	-6,539.10		Y
	Trade	460,000		09/22/08	04/20/09	5,257.71	12,355.78	-7,098.07		Y
	Trade	400,000		09/22/08	04/23/09	4,771.04	10,744.16	-5,973.12		Y
	Trade	945,000		09/24/08	04/23/09	11,271.57	22,671.12	-11,399.55		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HARRIS CORP DELA	Trade	395,000		01/21/09	09/25/09	14,594.87	16,284.31	-1,689.44		Y
	Trade	155,000		01/30/09	09/25/09	5,727.10	6,708.51	-981.41		Y
	Trade	140,000		02/02/09	09/25/09	5,172.87	6,077.50	-904.63		Y
	Trade	355,000		02/09/09	09/25/09	13,116.91	15,611.76	-2,494.85		Y
	Trade	395,000		02/18/09	09/25/09	14,594.87	16,327.17	-1,732.30		Y
HARRIS STRATEX NETWORKS INC-NAME CHANGE: 01/ 2010*	Trade	357,000		05/27/09	06/12/09	2,255.29	1,915.66	339.63		Y
HARTFORD FINCL SERVICES GROUP INC	Trade	400,000		05/15/08	03/04/09	1,738.19	27,720.20	-25,982.01		Y
	Trade	355,000		05/22/08	03/04/09	1,542.64	24,861.89	-23,319.25		Y
	Trade	365,000		05/29/08	03/04/09	1,586.10	26,220.72	-24,634.62		Y
	Trade	340,000		06/06/08	03/04/09	1,477.46	24,630.35	-23,152.89		Y
HOST HOTELS & RESORTS INC (REIT)	Trade	1,500,000		02/08/08	01/09/09	11,020.13	25,542.15	-14,522.02		Y
	Trade	30,000		02/08/08	01/20/09	182.31	510.84	-328.53		Y
	Trade	420,000		02/15/08	01/20/09	2,552.37	7,068.47	-4,516.10		Y
	Trade	1,000,000		02/20/08	01/20/09	6,077.06	16,492.30	-10,415.24		Y
	Trade	635,000		02/29/08	01/20/09	3,858.93	10,289.35	-6,430.42		Y
	Trade	1,160,000		02/29/08	01/27/09	6,769.96	18,759.75	-11,989.79		Y
	Trade	1,275,000		03/10/08	01/27/09	7,441.11	20,642.00	-13,200.89		Y
HUDSON CITY BANCORP INC	Trade	4,680,000		07/06/09	09/25/09	50,978.83	62,266.00	-1,287.17		Y
INTL BUSINESS MACH	Trade	145,000		04/02/09	09/25/09	17,441.60	14,395.48	3,046.12		Y
	Trade	115,000		04/07/09	09/25/09	13,832.99	11,435.76	2,397.23		Y
	Trade	175,000		04/15/09	09/25/09	21,050.21	17,248.44	3,801.77		Y
	Trade	131,000		04/23/09	09/25/09	15,757.58	13,219.52	2,538.06		Y
	Trade	25,000		04/27/09	09/25/09	3,007.17	2,501.02	506.15		Y
JOHNSON & JOHNSON COM	Trade	400,000		05/04/09	09/25/09	24,207.38	21,465.44	2,741.94		Y
	Trade	720,000		05/15/09	09/25/09	43,573.27	39,797.14	3,776.13		Y
LINCOLN NATL CORP IND	Trade	695,000		08/21/08	04/24/09	6,912.57	33,512.07	-26,599.50		Y
	Trade	620,000		09/18/08	04/24/09	6,166.61	26,958.84	-20,792.23		Y
	Trade	45,000		09/26/08	04/24/09	447.58	2,183.46	-1,735.88		Y
	Trade	235,000		09/26/08	05/04/09	2,666.88	11,402.51	-8,735.63		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LINCOLN NATL CORP IND	Trade	795,000		10/02/08	05/04/09	9,021.98	32,234.39	-23,212.41		Y
	Trade	2,195,000		12/10/08	05/04/09	24,909.75	34,595.83	-9,686.08		Y
	Trade	1,045,000		01/12/09	05/04/09	11,859.09	18,760.05	-6,900.96		Y
MCGRAW HILL COMPANIES INC	Trade	2,265,000		08/26/09	09/25/09	54,766.29	72,314.20	-17,547.91		Y
MEDTRONIC INC	Trade	435,000		02/18/09	09/25/09	16,016.29	14,972.96	1,043.33		Y
	Trade	355,000		02/20/09	09/25/09	13,070.76	12,136.10	934.66		Y
	Trade	330,000		02/25/09	09/25/09	12,150.29	11,285.51	864.78		Y
	Trade	515,000		02/26/09	09/25/09	18,961.81	16,910.80	2,051.01		Y
METLIFE INC	Trade	505,000		04/23/09	09/25/09	18,674.42	13,856.70	4,817.72		Y
	Trade	1,695,000		05/05/09	09/25/09	62,679.48	48,338.18	14,341.30		Y
MICROSOFT CORP	Trade	775,000		10/21/08	09/25/09	19,916.99	18,713.85	1,203.14		Y
	Trade	680,000		10/28/08	09/25/09	17,475.55	14,906.01	2,569.54		Y
	Trade	915,000		11/03/08	09/25/09	23,514.89	20,631.33	2,883.56		Y
	Trade	830,000		11/11/08	09/25/09	21,330.45	17,566.62	3,763.83		Y
NEW YORK CMNTY BANCORP	Trade	1,325,000		01/20/09	09/25/09	14,495.12	16,738.86	-2,243.74		Y
	Trade	1,200,000		01/27/09	09/25/09	13,127.66	14,395.80	-1,268.14		Y
	Trade	1,050,000		01/29/09	09/25/09	11,486.70	13,725.18	-2,238.48		Y
	Trade	800,000		02/03/09	09/25/09	8,751.77	10,456.56	-1,704.79		Y
	Trade	285,000		02/04/09	09/25/09	3,117.82	3,585.02	-467.20		Y
NORFOLK STHN CORP	Trade	435,000		03/19/09	09/25/09	19,535.35	13,849.83	5,685.52		Y
	Trade	340,000		03/24/09	09/25/09	15,269.01	11,693.69	3,575.32		Y
	Trade	425,000		03/30/09	09/25/09	19,086.26	14,340.69	4,745.57		Y
	Trade	340,000		04/03/09	09/25/09	15,269.01	12,598.94	2,670.07		Y
PNC FINANCIAL SERVICES GROUP	Trade	380,000		01/05/09	03/04/09	9,107.37	18,274.50	-9,167.13		Y
	Trade	325,000		01/12/09	03/04/09	7,789.20	15,576.50	-7,787.30		Y
	Trade	560,000		01/20/09	03/04/09	13,421.39	14,172.87	-751.48		Y
	Trade	10,000		01/20/09	03/11/09	251.52	253.09	-1.57		Y
	Trade	630,000		01/27/09	03/11/09	15,846.04	20,096.69	-4,250.65		Y
	Trade	140,000		01/27/09	03/13/09	3,842.22	4,465.93	-623.71		Y
	Trade	245,000		02/11/09	03/13/09	6,723.89	7,784.83	-1,060.94		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PNC FINANCIAL SERVICES GROUP	Trade	130,000		02/17/09	03/13/09	3,567.78	3,348.88	218.90		Y
	Trade	200,000		02/17/09	09/25/09	8,969.77	5,152.12	3,817.65		Y
	Trade	1,610,000		02/23/09	09/25/09	72,206.64	39,651.89	32,554.75		Y
SUPERVALU INC	Trade	805,000		02/06/09	09/25/09	12,324.23	15,824.37	-3,500.14		Y
	Trade	495,000		02/17/09	09/25/09	7,578.25	8,533.11	-954.86		Y
	Trade	905,000		02/24/09	09/25/09	13,855.19	15,883.66	-2,028.47		Y
	Trade	950,000		03/04/09	09/25/09	14,544.12	15,272.01	-727.89		Y
VALERO ENERGY CORP NEW	Trade	730,000		04/14/09	09/25/09	13,920.74	15,040.04	-1,119.30		Y
	Trade	630,000		04/16/09	09/25/09	12,013.79	13,610.52	-1,596.73		Y
	Trade	825,000		04/20/09	09/25/09	15,732.35	16,475.75	-743.40		Y
	Trade	182,000		04/23/09	09/25/09	3,470.65	3,796.14	-325.49		Y
	Trade	465,000		04/27/09	09/25/09	8,867.32	9,707.53	-840.21		Y
WELLS FARGO & CO NEW	Trade	565,000		07/16/08	01/12/09	13,899.20	14,066.81	-167.61		Y
	Trade	170,000		07/16/08	03/09/09	1,657.58	4,232.49	-2,574.91		Y
	Trade	395,000		07/16/08	03/12/09	5,207.53	9,834.32	-4,626.79		Y
	Trade	515,000		07/17/08	03/12/09	6,789.57	13,802.88	-7,013.31		Y
	Trade	870,000		07/17/08	03/12/09	11,469.75	23,953.62	-12,483.87		Y
						11,272.00	24,235.74	-12,963.74		Y
Total Short-Term Gain/Loss						1,554,233.88	1,835,531.98	-281,298.10		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALCOA INC	Trade	715,000		09/14/07	01/29/09	5,966.50	25,331.16	-19,364.66		Y
	Trade	525,000		09/20/07	01/29/09	4,380.99	19,594.73	-15,213.74		Y
	Trade	55,000		09/20/07	02/06/09	468.54	2,052.78	-1,584.24		Y
	Trade	670,000		09/24/07	02/06/09	5,707.70	24,790.60	-19,082.90		Y
	Trade	565,000		09/28/07	02/06/09	4,813.21	22,074.95	-17,261.74		Y
	Trade	10,000		10/02/07	02/06/09	85.19	390.00	-304.81		Y
	Trade	85,000		10/15/07	02/06/09	724.11	3,221.30	-2,497.19		Y
	Trade	240,000		10/25/07	02/06/09	2,044.55	9,240.00	-7,195.45		Y
						245.46	1,347.50	-1,102.04		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLSTATE CORP	Trade	515.000		04/19/06	09/25/09	14,996.41	28,165.35	-13,168.94		Y
	Trade	430.000		05/25/06	09/25/09	12,521.28	23,779.00	-11,257.72		Y
	Trade	510.000		06/21/06	09/25/09	14,850.82	27,264.60	-12,413.78		Y
	Trade	645.000		10/25/07	09/25/09	18,781.92	33,823.80	-15,041.88		Y
ALTRIA GROUP INC	Trade	385.000		04/19/06	09/25/09	6,748.88	6,177.88	571.00		Y
	Trade	340.000		05/25/06	09/25/09	5,960.05	5,669.03	291.02		Y
	Trade	350.000		06/21/06	09/25/09	6,135.34	5,858.53	276.81		Y
	Trade	315.000		04/25/07	09/25/09	5,521.81	6,759.68	-1,237.87		Y
	Trade	2,260.000		04/11/08	09/25/09	39,616.78	48,809.22	-9,192.44		Y
	Trade	1,030.000		04/16/08	09/25/09	18,055.43	21,961.25	-3,905.82		Y
AMEREN CORP	Trade	485.000		08/29/07	09/25/09	12,284.73	24,347.05	-12,062.32		Y
	Trade	425.000		09/13/07	09/25/09	10,764.97	21,929.79	-11,164.82		Y
	Trade	375.000		09/28/07	09/25/09	9,498.51	19,770.86	-10,272.35		Y
	Trade	90.000		10/01/07	09/25/09	2,279.64	4,778.50	-2,498.86		Y
	Trade	240.000		10/17/07	09/25/09	6,079.04	12,925.42	-6,846.38		Y
	Trade	235.000		10/18/07	09/25/09	5,952.40	12,677.87	-6,725.47		Y
	Trade	300.000		10/25/07	09/25/09	7,598.80	15,867.66	-8,268.86		Y
ANNALY CAPITAL MANAGEMENT INC REIT	Trade	1,590.000		06/30/08	09/25/09	30,066.13	24,866.65	5,199.48		Y
	Trade	1,515.000		07/08/08	09/25/09	28,647.91	22,757.57	5,890.34		Y
	Trade	1,665.000		07/15/08	09/25/09	31,484.34	24,263.55	7,220.79		Y
	Trade	1,510.000		07/17/08	09/25/09	28,553.37	21,888.36	6,665.01		Y
AT&T INC	Trade	250.000		04/19/06	01/12/09	6,519.61	6,412.50	107.11		Y
	Trade	35.000		04/19/06	02/06/09	910.46	897.75	12.71		Y
	Trade	745.000		04/19/06	09/25/09	20,099.58	19,109.25	990.33		Y
	Trade	1,020.000		05/25/06	09/25/09	27,518.89	26,142.60	1,376.29		Y
BANK OF AMER CORP	Trade	760.000		06/21/06	09/25/09	20,504.27	20,748.00	-243.73		Y
	Trade	580.000		04/19/06	01/28/09	4,311.29	26,743.80	-22,432.51		Y
	Trade	495.000		05/25/06	01/28/09	3,679.46	24,210.45	-20,530.99		Y
	Trade	570.000		06/21/06	01/28/09	4,236.96	27,006.60	-22,769.64		Y
	Trade	305.000		10/25/07	01/28/09	2,267.14	14,325.85	-12,058.71		Y
	Trade	430.000		10/25/07	02/04/09	2,205.11	20,197.10	-17,991.99		Y
BLACK & DECKER CORP	Trade	260.000		07/12/07	05/04/09	10,417.07	24,698.88	-14,281.81		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BLACK & DECKER CORP	Trade	295.000		07/31/07	05/04/09	11,819.37	25,590.22	-13,770.85		Y
	Trade	265.000		08/03/07	05/04/09	10,617.40	23,520.66	-12,903.26		Y
	Trade	230.000		08/14/07	05/04/09	9,215.10	20,243.08	-11,027.98		Y
	Trade	310.000		10/25/07	05/04/09	12,420.36	27,369.90	-14,949.54		Y
BOEING COMPANY	Trade	375.000		08/06/08	09/25/09	19,544.50	24,490.54	-4,946.04		Y
	Trade	335.000		08/08/08	09/25/09	17,459.75	22,950.38	-5,490.63		Y
	Trade	380.000		08/12/08	09/25/09	19,805.09	25,010.99	-5,205.90		Y
	Trade	405.000		08/14/08	09/25/09	21,108.06	26,402.88	-5,294.82		Y
CATERPILLAR INC	Trade	395.000		11/28/07	05/22/09	13,776.69	27,947.28	-14,170.59		Y
	Trade	350.000		12/03/07	05/22/09	12,207.19	25,338.81	-13,131.62		Y
	Trade	385.000		12/06/07	05/22/09	13,427.91	28,087.75	-14,659.84		Y
	Trade	345.000		12/11/07	05/22/09	12,032.81	25,752.90	-13,720.09		Y
CBS CORP NEW CL B	Trade	520.000		06/01/06	03/05/09	1,915.55	13,793.68	-11,878.13		Y
	Trade	10.000		06/08/06	03/05/09	36.84	268.02	-231.18		Y
	Trade	435.000		06/08/06	03/12/09	1,694.14	11,658.78	-9,964.64		Y
	Trade	1,265.000		06/27/06	03/12/09	4,926.64	33,436.86	-28,510.22		Y
	Trade	550.000		06/29/06	03/12/09	2,142.02	14,823.11	-12,681.09		Y
	Trade	170.000		06/30/06	03/12/09	662.08	4,623.92	-3,961.84		Y
	Trade	925.000		10/25/07	03/12/09	3,602.48	26,547.50	-22,945.02		Y
CHEVRON CORP	Trade	5.000		04/19/06	02/06/09	370.55	305.40	65.15		Y
	Trade	155.000		04/19/06	09/25/09	10,938.07	9,467.40	1,470.67		Y
	Trade	465.000		05/25/06	09/25/09	32,814.20	27,647.78	5,166.42		Y
	Trade	440.000		06/21/06	09/25/09	31,050.00	25,735.60	5,314.40		Y
CONOCOPHILLIPS	Trade	380.000		04/19/06	09/25/09	17,183.16	26,919.20	-9,736.04		Y
	Trade	475.000		05/25/06	09/25/09	21,478.95	29,925.00	-8,446.05		Y
	Trade	460.000		06/21/06	09/25/09	20,800.66	27,687.40	-6,886.74		Y
DIAMOND OFFSHORE DRILLING INC	Trade	220.000		12/20/07	09/25/09	20,169.08	29,013.91	-8,844.83		Y
	Trade	165.000		12/27/07	09/25/09	15,126.81	24,430.86	-9,304.05		Y
	Trade	205.000		01/02/08	09/25/09	18,793.92	28,676.06	-9,882.14		Y
	Trade	195.000		01/04/08	09/25/09	17,877.14	26,365.54	-8,488.40		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DONNELLEY (R.R.) & SONS CO	Trade	415,000		04/19/06	09/25/09	8,619.33	13,695.00	-5,075.67		Y
	Trade	85,000		04/27/06	09/25/09	1,765.40	2,811.32	-1,045.92		Y
	Trade	115,000		05/05/06	09/25/09	2,388.49	3,871.33	-1,482.84		Y
	Trade	55,000		05/16/06	09/25/09	1,142.32	1,832.00	-689.68		Y
	Trade	25,000		05/17/06	09/25/09	519.24	830.70	-311.46		Y
	Trade	720,000		05/25/06	09/25/09	14,954.01	23,040.00	-8,085.99		Y
	Trade	135,000		06/07/06	09/25/09	2,803.88	4,231.80	-1,427.92		Y
	Trade	100,000		06/09/06	09/25/09	2,076.95	3,081.85	-1,004.90		Y
	Trade	950,000		06/21/06	09/25/09	19,730.99	28,623.50	-8,892.51		Y
	Trade	515,000		10/25/07	09/25/09	10,696.27	19,050.62	-8,354.35		Y
DOW CHEMICAL	Trade	670,000		04/19/06	02/02/09	7,816.24	27,195.30	-19,379.06		Y
	Trade	580,000		05/25/06	02/02/09	6,786.30	23,118.80	-16,352.50		Y
	Trade	75,000		05/25/06	02/11/09	748.26	2,989.50	-2,241.24		Y
	Trade	725,000		06/21/06	02/11/09	7,233.21	27,883.50	-20,650.29		Y
	Trade	10,000		02/05/07	02/11/09	99.77	417.68	-317.91		Y
	Trade	715,000		02/05/07	02/17/09	6,456.19	29,863.83	-23,407.64		Y
	Trade	480,000		02/14/07	02/17/09	4,334.23	20,646.00	-16,311.77		Y
	Trade	20,000		02/14/07	02/24/09	146.98	860.25	-713.27		Y
	Trade	505,000		03/08/07	02/24/09	3,711.32	21,570.22	-17,858.90		Y
	Trade	350,000		03/23/07	02/24/09	2,572.20	15,879.96	-13,307.76		Y
	Trade	5,000		03/26/07	02/24/09	36.75	235.50	-198.75		Y
	Trade	540,000		10/25/07	02/24/09	3,968.54	23,463.00	-19,494.46		Y
	Trade	465,000		10/25/07	03/02/09	3,442.14	20,204.25	-16,762.11		Y
	Trade	340,000		08/25/08	09/25/09	19,039.51	24,185.46	-5,145.95		Y
ENCANA CORP	Trade	290,000		09/02/08	09/25/09	16,239.58	20,549.31	-4,309.73		Y
	Trade	395,000		09/08/08	09/25/09	22,119.43	26,752.68	-4,633.25		Y
	Trade	375,000		09/15/08	09/25/09	20,999.46	24,933.75	-3,934.29		Y
	Trade	505,000		04/19/06	01/12/09	19,438.70	26,916.50	-7,477.80		Y
GLAXO SMITHKLINE PLC ADR	Trade	390,000		05/25/06	01/12/09	15,012.07	21,613.80	-6,601.73		Y
	Trade	30,000		05/25/06	02/06/09	1,111.04	1,662.60	-551.56		Y
	Trade	25,000		05/25/06	09/25/09	980.22	1,385.50	-405.28		Y
	Trade	470,000		06/21/06	09/25/09	18,428.23	25,854.70	-7,426.47		Y
	Trade	970,000		05/30/07	09/25/09	38,032.72	49,529.95	-11,497.23		Y
	Trade	515,000		06/06/07	09/25/09	20,192.63	26,252.54	-6,059.91		Y
	Trade	445,000		06/12/07	09/25/09	17,448.00	23,192.15	-5,744.15		Y
	Trade	390,000		05/25/06	01/12/09	15,012.07	21,613.80	-6,601.73		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GLAXO SMITHKLINE PLC ADR	Trade	455,000		06/18/07	09/25/09	17,840.09	23,892.60	-6,052.51		Y
	Trade	600,000		10/25/07	09/25/09	23,525.39	30,420.00	-6,894.61		Y
HALLIBURTON CO (HOLDING COMPANY)	Trade	705,000		01/07/08	07/27/09	16,237.78	26,464.15	-10,226.37		Y
	Trade	715,000		01/14/08	07/27/09	16,468.10	25,924.47	-9,456.37		Y
	Trade	845,000		01/23/08	07/27/09	19,462.30	25,873.14	-6,410.84		Y
	Trade	870,000		01/25/08	07/27/09	20,038.11	29,578.70	-9,540.59		Y
HOME DEPOT INC	Trade	975,000		12/18/07	09/25/09	26,256.07	25,387.83	868.24		Y
	Trade	1,180,000		01/15/08	09/25/09	31,776.58	29,951.11	1,825.47		Y
	Trade	695,000		01/18/08	09/25/09	18,715.87	18,327.01	388.86		Y
	Trade	205,000		01/23/08	09/25/09	5,520.51	5,951.15	-430.64		Y
	Trade	540,000		01/24/08	09/25/09	14,541.83	15,907.54	-1,365.71		Y
INTEL CORP	Trade	840,000		01/31/08	02/17/09	11,150.93	17,636.14	-6,485.21		Y
	Trade	725,000		01/31/08	02/18/09	9,751.19	15,221.67	-5,470.48		Y
	Trade	855,000		01/31/08	02/20/09	10,909.74	17,951.07	-7,041.33		Y
	Trade	330,000		02/04/08	02/20/09	4,210.77	7,048.47	-2,837.70		Y
	Trade	1,065,000		02/04/08	02/24/09	13,480.37	22,747.34	-9,266.97		Y
	Trade	1,040,000		02/04/08	02/26/09	13,600.94	22,213.36	-8,612.42		Y
JPMORGAN CHASE & CO	Trade	625,000		04/19/06	07/06/09	20,146.17	26,643.75	-6,497.58		Y
	Trade	615,000		05/25/06	07/06/09	19,823.83	26,309.70	-6,485.87		Y
	Trade	75,000		06/21/06	07/06/09	2,417.54	3,091.50	-673.96		Y
	Trade	595,000		06/21/06	07/07/09	19,426.84	24,525.90	-5,099.06		Y
	Trade	535,000		10/25/07	07/07/09	17,467.84	24,572.55	-7,104.71		Y
KIMBERLY CLARK CORP	Trade	370,000		05/25/06	09/25/09	21,318.85	22,175.14	-856.29		Y
	Trade	415,000		06/21/06	09/25/09	23,911.68	25,290.89	-1,379.21		Y
	Trade	325,000		10/25/07	09/25/09	18,726.02	22,665.50	-3,939.48		Y
KRAFT FOODS INC CL A	Trade	338,000		04/23/07	09/25/09	8,899.31	11,209.63	-2,310.32		Y
	Trade	730,000		05/02/07	09/25/09	19,220.40	24,468.07	-5,247.67		Y
	Trade	860,000		05/10/07	09/25/09	22,643.22	28,091.73	-5,448.51		Y
	Trade	20,000		05/15/07	09/25/09	526.59	651.60	-125.01		Y
	Trade	395,000		10/25/07	09/25/09	10,400.08	12,908.60	-2,508.52		Y
LINCOLN NATL CORP IND	Trade	80,000		04/19/06	03/04/09	510.15	4,583.62	-4,073.47		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LINCOLN NATL CORP IND	Trade	395,000		04/19/06	04/24/09	3,928.73	22,631.60	-18,702.87		Y
	Trade	460,000		05/25/06	04/24/09	4,575.23	25,723.20	-21,147.97		Y
	Trade	455,000		06/21/06	04/24/09	4,525.49	25,407.20	-20,881.71		Y
	Trade	365,000		10/25/07	04/24/09	3,630.34	23,479.61	-19,849.27		Y
MARATHON OIL CORP	Trade	460,000		05/25/06	09/25/09	14,738.02	17,542.58	-2,804.56		Y
	Trade	1,460,000		06/21/06	09/25/09	46,777.19	54,370.40	-7,593.21		Y
MATTTEL INC	Trade	1,605,000		04/19/06	09/25/09	28,857.16	27,606.00	1,251.16		Y
	Trade	1,555,000		05/25/06	09/25/09	27,958.18	25,553.16	2,405.02		Y
	Trade	1,545,000		06/21/06	09/25/09	27,778.39	25,554.30	2,224.09		Y
	Trade	705,000		10/25/07	09/25/09	12,675.57	14,632.06	-1,956.49		Y
OCCIDENTAL PETROLEUM CRP	Trade	105,000		04/19/06	02/06/09	5,890.74	5,389.13	501.61		Y
	Trade	230,000		04/19/06	03/19/09	13,839.34	11,804.75	2,034.59		Y
	Trade	460,000		05/25/06	03/19/09	27,678.69	22,483.51	5,195.18		Y
	Trade	130,000		05/25/06	03/24/09	7,652.04	6,354.04	1,298.00		Y
	Trade	145,000		06/21/06	03/24/09	8,534.97	6,840.38	1,694.59		Y
	Trade	175,000		06/21/06	03/27/09	10,187.95	8,255.63	1,932.32		Y
	Trade	250,000		06/21/06	04/03/09	15,090.21	11,793.75	3,296.46		Y
	Trade	1,070,000		04/19/06	01/12/09	18,581.40	26,557.40	-7,976.00		Y
PFIZER INC	Trade	250,000		04/24/06	01/12/09	4,341.45	6,192.35	-1,850.90		Y
	Trade	280,000		04/27/06	01/12/09	4,862.42	7,042.59	-2,180.17		Y
	Trade	65,000		05/01/06	01/12/09	1,128.78	1,645.07	-516.29		Y
	Trade	195,000		05/01/06	09/25/09	3,211.57	4,935.22	-1,723.65		Y
	Trade	280,000		05/03/06	09/25/09	4,611.48	7,122.33	-2,510.85		Y
	Trade	2,240,000		05/25/06	09/25/09	36,891.85	53,222.40	-16,330.55		Y
	Trade	2,370,000		06/21/06	09/25/09	39,032.90	54,154.50	-15,121.60		Y
	Trade	2,680,000		10/25/07	09/25/09	44,138.46	64,748.80	-20,610.34		Y
REYNOLDS AMERN INC (HOLDING CO)	Trade	135,000		04/19/06	09/25/09	5,979.00	7,128.83	-1,149.83		Y
	Trade	430,000		05/25/06	09/25/09	19,044.21	24,018.25	-4,974.04		Y
	Trade	460,000		06/21/06	09/25/09	20,372.87	25,870.40	-5,497.53		Y
	Trade	435,000		10/25/07	09/25/09	19,265.65	26,499.33	-7,233.68		Y
ROYAL DUTCH SHELL PLC CL A SPON ADR	Trade	310,000		09/06/07	09/25/09	17,824.54	24,977.07	-7,152.53		Y
	Trade	265,000		09/25/07	09/25/09	15,237.11	22,158.51	-6,921.40		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ROYAL DUTCH SHELL PLC CL A SPON ADR	Trade	310,000		10/02/07	09/25/09	17,824.54	25,782.82	-7,958.28		Y
	Trade	355,000		10/05/07	09/25/09	20,411.97	28,440.83	-8,028.86		Y
TOTAL S.A. FRANCE SPON ADR	Trade	345,000		12/13/07	09/25/09	20,226.83	28,676.75	-8,449.92		Y
	Trade	320,000		12/21/07	09/25/09	18,761.12	25,717.92	-6,956.80		Y
	Trade	295,000		01/03/08	09/25/09	17,295.40	25,087.98	-7,792.58		Y
	Trade	270,000		01/08/08	09/25/09	15,829.69	23,383.35	-7,553.66		Y
TRAVELERS COS INC/THE	Trade	640,000		04/19/06	09/25/09	30,444.02	27,507.20	2,936.82		Y
	Trade	555,000		05/25/06	09/25/09	26,400.67	24,259.05	2,141.62		Y
	Trade	565,000		06/21/06	09/25/09	26,876.36	25,086.00	1,790.36		Y
	Trade	440,000		10/25/07	09/25/09	20,930.26	22,720.24	-1,789.98		Y
VERIZON COMMUNICATIONS INC	Trade	805,000		04/19/06	09/25/09	24,093.03	25,267.68	-1,174.65		Y
	Trade	895,000		05/25/06	09/25/09	26,786.86	26,515.75	270.91		Y
	Trade	665,000		06/21/06	09/25/09	19,902.94	20,968.81	-1,065.87		Y
VF CORP	Trade	430,000		04/19/06	09/25/09	30,249.72	26,635.45	3,614.27		Y
	Trade	400,000		05/25/06	09/25/09	28,139.28	24,924.60	3,214.68		Y
	Trade	350,000		06/21/06	09/25/09	24,621.87	23,110.50	1,511.37		Y
	Trade	195,000		10/25/07	09/25/09	13,717.90	16,315.65	-2,597.75		Y
WASTE MGMT INC NEW	Trade	855,000		01/11/08	09/25/09	25,230.40	26,745.08	-1,514.68		Y
	Trade	750,000		01/24/08	09/25/09	22,131.93	22,498.80	-366.87		Y
	Trade	815,000		01/31/08	09/25/09	24,050.03	26,053.43	-2,003.40		Y
	Trade	690,000		02/07/08	09/25/09	20,361.38	22,346.20	-1,984.82		Y
WHIRLPOOL CORP	Trade	350,000		12/06/07	04/07/09	11,392.52	29,545.85	-18,153.33		Y
	Trade	180,000		12/13/07	04/07/09	5,859.01	14,981.26	-9,122.25		Y
	Trade	115,000		12/13/07	04/16/09	4,039.29	9,571.36	-5,532.07		Y
	Trade	265,000		12/19/07	04/16/09	9,307.94	21,407.76	-12,099.82		Y
	Trade	120,000		12/19/07	04/23/09	4,334.76	9,694.08	-5,359.32		Y
WINDSTREAM CORP	Trade	305,000		01/04/08	04/23/09	11,017.50	23,818.46	-12,800.96		Y
	Trade	423,000		04/19/06	09/25/09	4,111.45	4,816.51	-705.06		Y
	Trade	449,000		05/25/06	09/25/09	4,364.17	4,913.86	-549.69		Y
	Trade	466,000		06/21/06	09/25/09	4,529.40	5,048.90	-519.50		Y
Trade		300,000		08/04/06	09/25/09	2,915.92	3,915.18	-999.26		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WINDSTREAM CORP										
Trade	Trade	1,800,000		08/09/06	09/25/09	15,551.60	20,664.96	-5,113.36		Y
Trade	Trade	1,250,000		08/21/06	09/25/09	12,149.69	16,307.75	-4,158.06		Y
Trade	Trade	455,000		08/25/06	09/25/09	4,422.49	5,904.94	-1,482.45		Y
Trade	Trade	1,485,000		08/31/06	09/25/09	14,433.83	19,516.76	-5,082.93		Y
Trade	Trade	215,000		09/26/06	09/25/09	2,089.75	2,889.84	-800.09		Y
Trade	Trade	1,545,000		10/25/07	09/25/09	15,017.01	21,151.05	-6,134.04		Y
WYETH ***MERGER EFF: 10/09***										
Trade	Trade	610,000		11/05/07	02/18/09	26,123.65	29,113.71	-2,990.06		Y
Trade	Trade	515,000		11/09/07	02/18/09	22,055.21	23,304.63	-1,249.42		Y
Trade	Trade	135,000		11/14/07	02/18/09	5,781.46	6,373.53	-592.07		Y
Trade	Trade	385,000		11/14/07	02/19/09	16,533.26	18,176.35	-1,643.09		Y
Trade	Trade	160,000		11/14/07	02/23/09	6,699.05	7,553.81	-854.76		Y
Trade	Trade	145,000		11/19/07	02/23/09	6,071.01	6,789.10	-718.09		Y
Trade	Trade	325,000		11/19/07	02/25/09	13,559.64	15,216.96	-1,657.32		Y
XEROX CORP										
Trade	Trade	1,830,000		06/20/08	09/25/09	16,579.37	25,617.44	-9,038.07		Y
Trade	Trade	1,895,000		06/26/08	09/25/09	15,356.30	23,139.46	-7,783.16		Y
Trade	Trade	1,810,000		07/08/08	09/25/09	16,398.18	24,820.17	-8,421.99		Y
Trade	Trade	1,755,000		07/14/08	09/25/09	15,899.89	22,816.93	-6,917.04		Y
3M CO										
Trade	Trade	5,000		05/16/08	09/25/09	369.24	393.46	-24.22		Y
Trade	Trade	310,000		05/28/08	09/25/09	22,892.91	23,733.29	-840.38		Y
Trade	Trade	355,000		06/04/08	09/25/09	26,216.07	27,270.53	-1,054.46		Y
Trade	Trade	370,000		06/09/08	09/25/09	27,323.80	28,067.46	-743.66		Y
Total Long-Term Gain/Loss						2,995,040.16	4,309,091.40	-1,314,051.24		



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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 95,128.54	\$ 107,577.29	\$ 18,775.49	\$ -6,326.74	\$ 12,448.75
Long-term Gain/Loss:	50,620.11	45,634.44	3,867.84	-8,853.51	-4,985.67
Sub Total:	\$ 145,748.65	\$ 153,211.73	\$ 22,643.33	\$ -15,180.25	\$ 7,463.08
Total:	\$ 145,748.65	\$ 153,211.73	\$ 22,643.33	\$ -15,180.25	\$ 7,463.08

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AARONS INC	Trade	4,000		03/09/09	09/25/09	103.88	91.90	11.98		
	Trade	1,000		04/29/09	09/25/09	25.97	34.56	-8.59		
ABERCROMBIE & FITCH CO	Trade	6,000		02/25/09	06/02/09	191.41	134.75	56.66		Y
CL A	Trade	10,000		02/25/09	08/07/09	325.89	224.58	101.31		Y
	Trade	13,000		02/25/09	08/11/09	410.57	291.96	118.61		Y
	Trade	3,000		02/25/09	08/12/09	95.86	67.37	28.49		Y
	Trade	4,000		03/09/09	08/12/09	127.81	69.22	58.59		Y
	Trade	3,000		03/09/09	08/13/09	95.02	51.92	43.10		Y
	Trade	2,000		04/29/09	08/13/09	63.34	53.87	9.47		Y
	Trade	1,000		05/27/09	08/13/09	31.67	28.95	2.72		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACTUANT CORP NEW CL A										
Trade	Trade	4,000		12/04/08	09/25/09	61.92	70.26	-8.34		Y
Trade	Trade	5,000		01/16/09	09/25/09	77.40	85.28	-7.88		Y
Trade	Trade	2,000		01/26/09	09/25/09	30.96	33.04	-2.08		Y
Trade	Trade	2,000		02/12/09	09/25/09	30.96	30.75	.21		Y
Trade	Trade	5,000		04/13/09	09/25/09	77.40	58.25	19.15		Y
Trade	Trade	4,000		04/29/09	09/25/09	61.92	48.07	13.85		Y
Trade	Trade	14,000		06/24/09	09/25/09	216.71	173.29	43.42		Y
AIRGAS INC										
Trade	Trade	2,000		10/07/08	09/25/09	95.28	84.19	11.09		Y
Trade	Trade	1,000		12/19/08	09/25/09	47.64	37.07	10.57		Y
Trade	Trade	1,000		01/26/09	09/25/09	47.64	38.20	9.44		Y
Trade	Trade	2,000		03/09/09	09/25/09	95.28	55.16	40.12		Y
Trade	Trade	1,000		04/29/09	09/25/09	47.64	42.32	5.32		Y
ALLIANT TECHSYSTEMS INC										
Trade	Trade	1,000		09/23/08	07/06/09	79.51	98.91	-19.40		Y
Trade	Trade	1,000		10/02/08	07/06/09	79.51	90.31	-10.80		Y
Trade	Trade	2,000		10/15/08	07/06/09	159.02	168.26	-9.24		Y
Trade	Trade	3,000		12/09/08	07/06/09	238.54	246.90	-8.36		Y
AMSURG CORP NEW										
Trade	Trade	13,000		11/07/08	03/09/09	180.96	311.63	-130.67		Y
Trade	Trade	5,000		11/12/08	03/09/09	69.60	113.89	-44.29		Y
Trade	Trade	4,000		12/09/08	03/09/09	55.68	85.84	-30.16		Y
Trade	Trade	5,000		01/16/09	03/09/09	69.60	110.39	-40.79		Y
Trade	Trade	4,000		01/26/09	03/09/09	55.68	82.62	-26.94		Y
APOLLO INVESTMENT CORP										
Trade	Trade	6,000		08/06/09	09/25/09	57.72	45.52	12.20		Y
Trade	Trade	5,000		08/06/09	09/25/09	48.10	37.70	10.40		Y
Trade	Trade	35,000		08/07/09	09/25/09	336.69	317.34	19.35		Y
Trade	Trade	43,000		08/17/09	09/25/09	413.65	377.42	36.23		Y
Trade	Trade	33,000		09/15/09	09/25/09	317.45	326.90	-9.45		Y
Trade	Trade	2,000		Earnings	10/08/09	7.76	18.34	-10.58		Y
ARENA RESOURCES INC										
Trade	Trade	6,000		09/23/08	08/26/09	191.81	277.98	-86.17		Y
Trade	Trade	7,000		09/23/08	08/27/09	219.60	324.31	-104.71		Y
Trade	Trade	3,000		11/03/08	09/25/09	104.13	84.06	20.07		Y
Trade	Trade	2,000		11/19/08	09/25/09	69.42	43.60	25.82		Y
Trade	Trade	8,000		01/16/09	09/25/09	277.67	195.27	82.40		Y
Trade	Trade	3,000		02/23/09	09/25/09	104.13	57.34	46.79		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ARENA RESOURCES INC	Trade	2,000		03/09/09	09/25/09	69.42	46.46	22.96		Y
	Trade	8,000		03/30/09	09/25/09	277.67	205.95	71.72		Y
ASPEN INSURANCE HOLDINGS LTD ORD	Trade	1,000		06/25/08	04/30/09	24.29	25.00	-71		Y
	Trade	1,000		10/09/08	09/25/09	25.97	19.48	6.49		Y
	Trade	4,000		12/19/08	09/25/09	103.88	92.18	11.70		Y
	Trade	3,000		01/26/09	09/25/09	77.91	65.63	12.28		Y
	Trade	2,000		03/09/09	09/25/09	51.94	37.46	14.48		Y
	Trade	2,000		04/29/09	09/25/09	51.94	43.50	8.44		Y
	Trade	2,000		05/27/09	09/25/09	51.94	45.20	6.74		Y
	Trade	8,000		06/17/09	09/25/09	207.75	182.60	25.15		Y
ASSURED GUARANTY LTD	Trade	11,000		08/06/09	09/25/09	211.30	185.03	26.27		Y
	Trade	12,000		08/17/09	09/25/09	230.51	203.64	26.87		Y
	Trade	13,000		08/24/09	09/25/09	249.72	260.64	-10.92		Y
ATMOS ENERGY CORP	Trade	8,000		09/24/08	01/07/09	190.07	216.16	-26.09		Y
	Trade	10,000		09/24/08	03/09/09	203.56	270.20	-66.64		Y
	Trade	8,000		10/07/08	04/01/09	183.01	220.64	-37.63		Y
	Trade	8,000		10/27/08	04/01/09	183.01	176.51	6.50		Y
AUTOLIV INC \$0.20	Trade	10,000		05/04/09	09/25/09	342.59	260.00	82.59		Y
	Trade	3,000		05/06/09	09/25/09	102.78	75.90	26.88		Y
	Trade	4,000		05/15/09	09/25/09	137.04	93.62	43.42		Y
	Trade	6,000		05/22/09	09/25/09	205.55	152.59	52.96		Y
	Trade	9,000		05/28/09	09/25/09	308.33	233.29	75.04		Y
BANCORPSOUTH INC	Trade	34,000		05/21/09	09/25/09	800.68	745.03	55.65		Y
	Trade	4,000		05/27/09	09/25/09	94.20	87.37	6.83		Y
	Trade	10,000		06/17/09	09/25/09	235.49	210.84	24.65		Y
	Trade	9,000		08/20/09	09/25/09	211.94	210.69	1.25		Y
BELDEN INC NEW	Trade	7,000		10/02/08	04/13/09	100.51	195.98	-95.47		Y
	Trade	8,000		11/03/08	04/13/09	114.86	177.30	-62.44		Y
	Trade	4,000		12/04/08	04/13/09	57.43	73.45	-16.02		Y
	Trade	5,000		01/16/09	04/13/09	71.79	70.40	1.39		Y
BJ'S WHSL CLUB INC	Trade	4,000		09/04/09	09/25/09	142.68	137.16	5.52		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BJ'S WHSL CLUB INC										
Trade	Trade	12,000		09/08/09	09/25/09	428.03	414.55	13.48		Y
Trade	Trade	3,000		09/10/09	09/25/09	107.01	104.61	2.40		Y
Trade	Trade	2,000		09/11/09	09/25/09	71.34	70.02	1.32		Y
Trade	Trade	1,000		09/14/09	09/25/09	35.67	35.30	.37		Y
Trade	Trade	3,000		09/15/09	09/25/09	107.01	106.64	.37		Y
BUCYRUS INTL INC NEW										
Trade	Trade	10,000		11/07/08	07/31/09	297.02	226.15	70.87		Y
Trade	Trade	1,000		12/09/08	07/31/09	29.70	17.67	12.03		Y
Trade	Trade	6,000		12/17/08	07/31/09	178.21	130.91	47.30		Y
Trade	Trade	1,000		12/17/08	08/27/09	30.57	21.82	8.75		Y
Trade	Trade	3,000		01/16/09	08/27/09	91.70	53.64	38.06		Y
Trade	Trade	4,000		01/26/09	08/27/09	122.27	63.64	58.63		Y
Trade	Trade	1,000		02/12/09	09/25/09	34.23	15.18	19.05		Y
Trade	Trade	5,000		02/25/09	09/25/09	171.15	63.80	107.35		Y
Trade	Trade	9,000		03/09/09	09/25/09	308.06	107.34	200.72		Y
Trade	Trade	6,000		04/07/09	09/25/09	205.37	103.33	102.04		Y
Trade	Trade	3,000		04/13/09	09/25/09	102.69	54.81	47.88		Y
Trade	Trade	5,000		04/29/09	09/25/09	171.15	104.43	66.72		Y
Trade	Trade	2,000		05/27/09	09/25/09	68.46	54.71	13.75		Y
CACI INTL INC CL A										
Trade	Trade	14,000		10/07/08	04/09/09	502.71	660.64	-157.93		Y
Trade	Trade	14,000		10/07/08	09/25/09	656.86	660.64	-3.78		Y
Trade	Trade	2,000		10/09/08	09/25/09	93.84	87.30	6.54		Y
Trade	Trade	4,000		10/27/08	09/25/09	187.67	159.57	28.10		Y
Trade	Trade	1,000		03/09/09	09/25/09	46.92	39.74	7.18		Y
Trade	Trade	1,000		04/29/09	09/25/09	46.92	37.29	9.63		Y
Trade	Trade	5,000		09/03/09	09/25/09	234.59	226.90	7.69		Y
CHIMERA INVT CORP										
Trade	Trade	253,000		05/29/09	09/25/09	991.73	860.35	131.38		Y
Trade	Trade	125,000		06/22/09	09/25/09	489.99	398.75	91.24		Y
CLIFFS NAT RESOURCES INC										
Trade	Trade	3,000		12/03/08	04/29/09	63.54	58.58	4.96		Y
Trade	Trade	8,000		12/03/08	05/14/09	174.07	156.20	17.87		Y
Trade	Trade	5,000		12/05/08	05/14/09	108.79	95.40	13.39		Y
Trade	Trade	4,000		12/19/08	05/14/09	87.03	106.60	-19.57		Y
Trade	Trade	2,000		01/16/09	05/14/09	43.52	44.47	-.95		Y
Trade	Trade	15,000		03/26/09	07/31/09	412.11	276.16	135.95		Y
Trade	Trade	6,000		04/07/09	07/31/09	164.85	114.14	50.71		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CLIFFS NAT RESOURCES INC										
Trade	Trade	2,000		04/07/09	08/27/09	51.76	38.05	13.71		Y
Trade	Trade	1,000		04/13/09	08/27/09	25.88	19.99	5.89		Y
Trade	Trade	5,000		04/15/09	08/27/09	129.39	93.01	36.38		Y
Trade	Trade	14,000		06/24/09	08/27/09	362.30	333.82	28.48		Y
COEUR D'ALENE MINES CORP										
Trade	Trade	17,000		09/08/09	09/25/09	310.58	323.06	-12.48		Y
CONCHO RESOURCES INC										
Trade	Trade	1,000		09/12/08	08/10/09	34.18	27.27	6.91		Y
Trade	Trade	9,000		10/02/08	08/10/09	307.59	217.76	89.83		Y
Trade	Trade	14,000		10/02/08	09/25/09	505.94	338.74	167.20		Y
Trade	Trade	1,000		11/19/08	09/25/09	36.14	20.47	15.67		Y
Trade	Trade	2,000		01/26/09	09/25/09	72.28	45.41	26.87		Y
Trade	Trade	9,000		03/24/09	09/25/09	325.25	236.28	88.97		Y
Trade	Trade	7,000		04/29/09	09/25/09	252.97	187.72	65.25		Y
CULLEN FROST BANKERS INC										
Trade	Trade	2,000		10/24/08	09/25/09	99.34	100.98	-1.64		Y
Trade	Trade	2,000		01/30/09	09/25/09	99.34	88.54	10.80		Y
Trade	Trade	1,000		02/04/09	09/25/09	49.67	43.14	6.53		Y
Trade	Trade	3,000		04/29/09	09/25/09	149.01	145.39	3.62		Y
DANVERS BANCORP INC										
Trade	Trade	6,000		02/09/09	09/25/09	80.58	77.90	2.68		Y
Trade	Trade	3,000		02/23/09	09/25/09	40.29	37.35	2.94		Y
Trade	Trade	6,000		03/09/09	09/25/09	80.58	74.47	6.11		Y
Trade	Trade	7,000		05/04/09	09/25/09	94.01	102.80	-8.79		Y
Trade	Trade	17,000		07/06/09	09/25/09	228.30	230.60	-2.30		Y
EQT CORP										
Trade	Trade	1,000		10/20/08	02/18/09	32.77	28.25	4.52		Y
Trade	Trade	6,000		10/20/08	05/13/09	214.25	169.51	44.74		Y
Trade	Trade	17,000		10/20/08	05/20/09	642.26	480.28	161.98		Y
Trade	Trade	14,000		10/20/08	06/08/09	504.55	395.53	109.02		Y
Trade	Trade	7,000		11/14/08	06/08/09	252.28	211.46	40.82		Y
Trade	Trade	3,000		11/19/08	06/08/09	108.12	85.23	22.89		Y
Trade	Trade	2,000		11/26/08	06/08/09	72.08	62.86	9.22		Y
Trade	Trade	4,000		03/09/09	06/08/09	144.16	117.13	27.03		Y
Trade	Trade	4,000		03/17/09	06/08/09	144.16	127.82	16.34		Y
Trade	Trade	5,000		04/29/09	06/08/09	180.20	179.98	.22		Y
FACTSET RESH SYSTEMS INC										
Trade	Trade	1,000		11/03/08	04/30/09	53.92	39.27	14.65		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FACTSET RESH SYSTEMS INC	Trade	3,000		11/03/08	05/13/09	163.03	117.82	45.21		Y
	Trade	4,000		11/03/08	05/13/09	220.75	157.09	63.66		Y
	Trade	4,000		11/03/08	08/14/09	222.45	157.09	65.36		Y
	Trade	8,000		11/03/08	09/14/09	481.75	314.17	167.58		Y
	Trade	6,000		11/03/08	09/25/09	390.23	235.63	154.60		Y
	Trade	2,000		11/05/08	09/25/09	130.08	79.69	50.39		Y
	Trade	1,000		11/14/08	09/25/09	65.04	37.38	27.66		Y
	Trade	1,000		01/16/09	09/25/09	65.04	43.05	21.99		Y
	Trade	2,000		03/09/09	09/25/09	130.08	71.91	58.17		Y
	Trade	4,000		06/22/09	09/25/09	260.15	193.48	66.67		Y
FBR CAPITAL MARKETS CORP	Trade	66,000		06/16/09	09/25/09	370.25	308.87	61.38		Y
FIFTH STREET FIN CORP	Trade	2,000		08/12/09	09/25/09	21.48	20.95	.53		Y
	Trade	2,000		08/13/09	09/25/09	21.48	20.79	.69		Y
	Trade	18,000		08/13/09	09/25/09	193.31	190.94	2.37		Y
FIRST CASH FINCL SERVICES	Trade	3,000		03/09/09	09/25/09	51.60	34.73	16.87		Y
	Trade	1,000		04/29/09	09/25/09	17.20	16.95	.25		Y
FIRST HORIZON NATL CORP	Trade	44,000		02/23/09	07/14/09	529.12	373.82	155.30		Y
	Trade	5,000		03/09/09	07/14/09	60.13	40.85	19.28		Y
	Trade	2,000		04/29/09	07/14/09	24.05	23.10	.95		Y
FIRSTMERIT CORPORATION	Trade	21,000		06/04/09	09/25/09	377.15	371.82	5.33		Y
	Trade	16,000		06/25/09	09/25/09	287.35	272.42	14.93		Y
FORWARD AIR CORP	Trade	6,000		09/23/08	05/01/09	103.06	175.52	-72.46		Y
	Trade	2,000		12/05/08	05/01/09	34.35	46.74	-12.39		Y
	Trade	2,000		12/19/08	05/01/09	34.35	43.28	-8.93		Y
	Trade	1,000		12/19/08	05/04/09	18.06	21.64	-3.58		Y
	Trade	2,000		01/16/09	05/04/09	36.11	39.54	-3.43		Y
	Trade	3,000		03/03/09	05/04/09	54.17	45.15	9.02		Y
FOSTER WHEELER AG	Trade	10,000		12/03/08	07/28/09	223.85	229.65	-5.80		Y
	Trade	1,000		12/09/08	07/28/09	22.39	24.74	-2.35		Y
	Trade	5,000		01/16/09	07/28/09	111.93	118.58	-6.65		Y
	Trade	3,000		01/26/09	07/28/09	67.16	71.20	-4.04		Y



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FOSTER WHEELER AG	Trade	3,000		02/13/09	07/28/09	67.16	68.09	-93		Y
	Trade	3,000		02/25/09	07/29/09	66.13	42.94	23.19		Y
	Trade	7,000		03/09/09	07/29/09	154.31	113.24	41.07		Y
	Trade	9,000		03/30/09	07/29/09	198.39	154.24	44.15		Y
GALLAGHER ARTHUR J & CO	Trade	1,000		10/22/08	02/18/09	17.50	24.17	-6.67		Y
	Trade	24,000		10/22/08	04/22/09	435.41	580.00	-144.59		Y
	Trade	11,000		10/22/08	08/07/09	258.10	265.83	-7.73		Y
	Trade	9,000		10/22/08	08/13/09	216.42	217.50	-1.08		Y
	Trade	5,000		10/27/08	08/13/09	120.23	112.68	7.55		Y
	Trade	4,000		03/09/09	08/13/09	96.18	61.76	34.42		Y
GENL CABLE CORP	Trade	4,000		10/15/08	09/25/09	154.52	69.85	84.67		Y
	Trade	7,000		10/24/08	09/25/09	270.40	101.52	168.88		Y
	Trade	10,000		10/28/08	09/25/09	386.29	151.33	234.96		Y
	Trade	2,000		04/29/09	09/25/09	77.26	49.18	28.08		Y
GRAFTECH INTL LTD	Trade	9,000		07/31/09	09/25/09	129.15	120.87	8.28		Y
	Trade	3,000		07/31/09	09/25/09	43.05	40.78	2.27		Y
	Trade	15,000		08/03/09	09/25/09	215.24	223.56	-8.32		Y
	Trade	2,000		08/03/09	09/25/09	28.70	29.14	-44		Y
	Trade	34,000		08/07/09	09/25/09	487.88	518.75	-30.87		Y
	Trade	1,000		11/18/08	04/30/09	16.19	13.57	2.62		Y
HANESBRANDS INC	Trade	15,000		11/18/08	08/05/09	298.23	203.55	94.68		Y
	Trade	16,000		11/18/08	08/10/09	331.74	217.12	114.62		Y
	Trade	25,000		11/18/08	09/25/09	531.23	339.26	191.97		Y
	Trade	8,000		12/04/08	09/25/09	170.00	102.02	67.98		Y
	Trade	13,000		12/19/08	09/25/09	276.24	173.17	103.07		Y
	Trade	5,000		01/18/09	09/25/09	106.25	57.39	48.86		Y
	Trade	5,000		01/30/09	09/25/09	106.25	45.10	61.15		Y
	Trade	7,000		03/09/09	09/25/09	148.75	43.45	105.30		Y
	Trade	3,000		04/29/09	09/25/09	63.75	44.98	18.77		Y
	Trade	23,000		06/15/09	09/25/09	942.75	835.42	107.33		Y
HANOVER INSURANCE GROUP INC (THE)	Trade	23,000		06/17/09	09/25/09	942.75	842.53	100.22		Y
HCC INSURANCE HLDGS INC	Trade	2,000		12/19/08	09/25/09	55.00	51.43	3.57		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HCC INSURANCE HLDGS INC	Trade	2,000		04/29/09	09/25/09	55.00	48.83	6.17		Y
	Trade	2,000		05/27/09	09/25/09	55.00	49.52	5.48		Y
	Trade	7,000		06/24/09	09/25/09	192.49	172.06	20.43		Y
HEALTH CARE SVCS GROUP INC	Trade	1,000		09/23/08	08/11/09	17.41	19.90	-2.49		Y
HEALTHSPRING INC	Trade	5,000		04/01/08	03/11/09	31.70	72.80	-41.10		Y
	Trade	6,000		08/04/08	03/11/09	38.04	118.58	-80.54		Y
	Trade	1,000		09/23/08	03/11/09	6.34	21.05	-14.71		Y
HEXCEL CORP NEW	Trade	26,000		08/26/09	09/25/09	301.07	292.68	8.39		Y
HILLENBRAND INC	Trade	24,000		03/03/09	07/06/09	399.64	404.78	-5.14		Y
	Trade	12,000		03/12/09	07/06/09	199.82	197.27	2.55		Y
	Trade	10,000		03/23/09	07/06/09	166.52	162.35	4.17		Y
	Trade	2,000		04/29/09	07/06/09	33.30	35.43	-2.13		Y
INTL SPEEDWAY CORP CL A	Trade	46,000		07/06/09	09/25/09	1,267.26	1,153.99	113.27		Y
INVENTIV HEALTH INC	Trade	2,000		05/07/08	03/09/09	13.51	65.54	-52.03		Y
	Trade	5,000		07/18/08	03/09/09	33.77	121.44	-87.67		Y
	Trade	5,000		08/25/08	03/09/09	33.77	108.50	-74.73		Y
	Trade	8,000		08/25/08	03/10/09	54.53	173.60	-119.07		Y
	Trade	3,000		09/23/08	03/10/09	20.45	52.70	-32.25		Y
	Trade	1,000		10/10/08	03/10/09	6.82	10.72	-3.90		Y
IPC HOLDINGS LTD **MERGER 09/08/2009**	Exchange	2,000		12/09/08	09/04/09	62.50	57.61	4.89		Y
	Exchange	1,000		04/16/09	09/04/09	31.25	26.51	4.74		Y
	Exchange	2,000		04/29/09	09/04/09	62.50	50.84	11.66		Y
	Exchange	4,000		06/04/09	09/04/09	124.99	108.57	16.42		Y
ISHARES TRUST RUSSELL 2000 VALUE INDEX FD	Trade	18,000		10/07/08	01/16/09	813.87	1,023.41	-209.54		Y
	Trade	10,000		10/31/08	01/16/09	452.15	529.28	-77.13		Y
	Trade	16,000		12/19/08	01/16/09	723.44	788.13	-64.69		Y
	Trade	16,000		12/19/08	01/26/09	694.73	788.13	-93.40		Y
	Trade	19,000		12/19/08	01/27/09	829.74	935.90	-106.16		Y
	Trade	13,000		03/09/09	04/01/09	519.53	415.74	103.79		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ISHARES TRUST RUSSELL 2000 VALUE INDEX FD	Trade	1,000		03/09/09	04/30/09	46.91	31.98	14.93		Y
	Trade	12,000		03/09/09	05/14/09	548.52	383.76	164.76		Y
	Trade	21,000		03/09/09	06/16/09	976.89	671.58	305.31		Y
	Trade	4,000		03/09/09	06/24/09	182.33	127.92	54.41		Y
	Trade	44,000		04/15/09	06/24/09	2,005.61	1,886.17	119.44		Y
	Trade	2,000		04/29/09	06/24/09	91.16	92.04	-88		Y
	Trade	15,000		05/27/09	06/24/09	683.73	691.87	-8.14		Y
	Trade	36,000		07/22/09	09/25/09	2,025.30	1,737.53	287.77		Y
	Trade	49,000		08/04/09	09/25/09	2,756.67	2,606.27	150.40		Y
	Trade	11,000		06/24/09	09/25/09	512.91	355.56	157.35		Y
JONES LANG LASALLE INC	Trade	7,000		07/31/09	09/25/09	326.40	264.24	62.16		Y
	Trade	2,000		11/07/08	09/25/09	66.56	62.34	4.22		Y
	Trade	1,000		12/19/08	09/25/09	33.28	32.35	.93		Y
	Trade	2,000		01/16/09	09/25/09	66.56	56.32	10.24		Y
	Trade	1,000		01/26/09	09/25/09	33.28	28.70	4.58		Y
	Trade	3,000		01/30/09	09/25/09	99.84	83.04	16.80		Y
	Trade	2,000		03/09/09	09/25/09	66.56	46.84	19.72		Y
	Trade	3,000		04/13/09	09/25/09	99.84	84.28	15.56		Y
	Trade	1,000		04/29/09	09/25/09	33.28	31.74	1.54		Y
	Trade	62,000		05/19/09	09/25/09	520.79	391.85	128.94		Y
KEY ENERGY SERVICES INC	Trade	22,000		05/27/09	09/25/09	184.79	134.81	49.98		Y
	Trade	14,000		02/04/09	09/25/09	524.00	509.19	14.81		Y
	Trade	2,000		03/09/09	09/25/09	74.86	56.56	18.30		Y
	Trade	2,000		04/07/09	09/25/09	74.86	67.86	7.00		Y
	Trade	15,000		05/04/09	09/25/09	561.43	571.02	-9.59		Y
	Trade	6,000		05/07/08	04/16/09	128.54	124.76	3.78		Y
	Trade	2,000		12/11/08	09/25/09	95.28	91.35	3.93		Y
	Trade	10,000		03/03/09	09/25/09	476.39	282.87	193.52		Y
	Trade	1,000		05/27/09	09/25/09	47.64	41.59	6.05		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
LANDSTAR SYSTEMS INC	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	1,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
LHC GROUP INC	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
LINDSAY CORP	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y
	Trade	1,000		02/04/09	04/29/09	40.72	28.04	12.68		Y
	Trade	5,000		02/04/09	08/21/09	224.99	140.22	84.77		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LINDSAY CORP										
Trade	Trade	6,000		02/04/09	09/25/09	254.57	188.27	86.30		Y
Trade	Trade	3,000		02/09/09	09/25/09	127.29	90.31	36.98		Y
Trade	Trade	6,000		03/03/09	09/25/09	254.57	138.21	116.36		Y
Trade	Trade	2,000		03/09/09	09/25/09	84.86	45.76	39.10		Y
Trade	Trade	3,000		03/17/09	09/25/09	127.29	73.49	53.80		Y
LOUISIANA PACIFIC CORP										
Trade	Trade	27,000		08/26/09	09/25/09	189.26	202.71	-13.45		
MEDNAX INC										
Trade	Trade	4,000		10/06/08	09/25/09	211.95	186.40	45.55		Y
Trade	Trade	4,000		10/07/08	09/25/09	211.95	182.27	49.68		Y
Trade	Trade	2,000		10/27/08	09/25/09	105.98	70.10	35.88		Y
MFA FINANCIAL INC										
Trade	Trade	47,000		11/05/08	09/25/09	369.88	273.26	96.62		Y
Trade	Trade	12,000		12/04/08	09/25/09	94.44	70.95	23.49		Y
Trade	Trade	3,000		12/19/08	09/25/09	23.61	17.84	5.77		Y
Trade	Trade	8,000		01/16/09	09/25/09	62.96	48.40	14.56		Y
Trade	Trade	9,000		01/26/09	09/25/09	70.83	53.66	17.17		Y
Trade	Trade	1,000		02/04/09	09/25/09	7.87	5.75	2.12		Y
Trade	Trade	12,000		04/29/09	09/25/09	94.44	70.32	24.12		Y
MICROS SYSTEMS INC										
Trade	Trade	3,000		10/15/08	09/25/09	87.90	60.84	27.06		Y
Trade	Trade	6,000		10/24/08	09/25/09	175.80	112.39	63.41		Y
Trade	Trade	5,000		10/27/08	09/25/09	146.50	90.55	55.95		Y
Trade	Trade	3,000		01/26/09	09/25/09	87.90	44.77	43.13		Y
Trade	Trade	5,000		02/12/09	09/25/09	146.50	83.46	63.04		Y
Trade	Trade	4,000		03/09/09	09/25/09	117.20	58.45	58.75		Y
Trade	Trade	1,000		04/29/09	09/25/09	29.30	21.78	7.52		Y
MICROSEMI CORP										
Trade	Trade	1,000		09/23/08	08/21/09	13.42	25.42	-12.00		Y
Trade	Trade	9,000		12/12/08	09/25/09	141.66	121.43	20.23		Y
Trade	Trade	6,000		01/26/09	09/25/09	94.44	50.45	43.99		Y
Trade	Trade	40,000		02/13/09	09/25/09	629.58	427.48	202.10		Y
Trade	Trade	3,000		04/29/09	09/25/09	47.22	41.45	5.77		Y
NICE SYSTEMS LTD SPON ADR										
Trade	Trade	39,000		10/22/08	09/25/09	1,217.94	833.78	384.16		Y
Trade	Trade	4,000		11/14/08	09/25/09	124.92	84.90	40.02		Y
Trade	Trade	1,000		12/09/08	09/25/09	31.23	22.13	9.10		Y
Trade	Trade	6,000		12/19/08	09/25/09	187.38	136.99	50.39		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NICE SYSTEMS LTD SPON										
ADR	Trade	1,000		01/16/09	09/25/09	31.23	21.54	9.69		Y
	Trade	2,000		01/26/09	09/25/09	62.46	39.98	22.48		Y
	Trade	5,000		03/09/09	09/25/09	156.15	104.98	51.17		Y
	Trade	2,000		04/30/09	09/25/09	62.46	51.41	11.05		Y
NORDSON CORP										
	Trade	1,000		01/16/09	09/25/09	55.73	31.54	24.19		Y
	Trade	2,000		01/26/09	09/25/09	111.46	64.57	46.89		Y
	Trade	2,000		03/09/09	09/25/09	111.46	41.47	69.99		Y
	Trade	1,000		04/29/09	09/25/09	55.73	36.39	19.34		Y
OIL STATES INTL INC										
	Trade	5,000		08/25/08	02/23/09	67.90	270.14	-202.24		Y
	Trade	1,000		09/12/08	02/23/09	13.58	42.11	-28.53		Y
	Trade	1,000		09/23/08	02/23/09	13.58	38.90	-25.32		Y
	Trade	1,000		01/16/09	02/23/09	13.58	20.09	-6.51		Y
	Trade	19,000		05/21/09	09/25/09	613.49	444.54	168.95		Y
	Trade	5,000		05/27/09	09/25/09	161.45	124.46	36.99		Y
	Trade	11,000		07/30/09	09/25/09	355.18	285.92	69.26		Y
OWENS & MINOR INC NEW										
	Trade	6,000		11/07/08	03/03/09	185.78	248.86	-63.08		Y
OWENS ILLINOIS INC NEW										
	Trade	54,000		10/24/08	03/09/09	542.84	1,000.18	-457.34		Y
	Trade	7,000		11/05/08	03/09/09	70.37	167.55	-97.18		Y
	Trade	2,000		12/09/08	03/09/09	20.11	40.43	-20.32		Y
	Trade	5,000		01/26/09	03/09/09	50.26	108.51	-58.25		Y
PACKAGING CORP OF AMERICA										
	Trade	33,000		07/10/09	09/25/09	635.89	526.65	109.24		Y
	Trade	16,000		07/20/09	09/25/09	308.31	287.86	20.45		Y
PACTIV CORP										
	Trade	9,000		03/11/08	02/12/09	179.81	222.16	-42.35		Y
	Trade	23,000		03/11/08	03/09/09	254.60	567.73	-313.13		Y
	Trade	5,000		03/24/08	03/09/09	55.35	136.14	-80.79		Y
	Trade	3,000		03/25/08	03/09/09	33.21	81.60	-48.39		Y
	Trade	1,000		07/17/08	07/10/09	20.66	21.15	-.49		Y
	Trade	2,000		07/17/08	07/15/09	43.77	42.30	1.47		Y
	Trade	1,000		09/23/08	07/15/09	21.88	25.06	-3.18		Y
	Trade	4,000		10/06/08	07/15/09	87.53	94.16	-6.63		Y
	Trade	7,000		10/08/08	07/15/09	153.18	160.16	-6.98		Y
	Trade	1,000		10/09/08	07/15/09	21.88	24.90	-3.02		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PACTIV CORP										
Trade	Trade	7,000		10/27/08	07/15/09	153.18	174.40	-21.22		Y
Trade	Trade	1,000		10/27/08	07/20/09	23.27	24.91	-1.64		Y
Trade	Trade	15,000		03/18/09	07/20/09	349.00	199.50	149.50		Y
Trade	Trade	11,000		04/01/09	07/20/09	255.93	161.80	94.13		Y
Trade	Trade	3,000		04/07/09	07/20/09	69.80	48.42	21.38		Y
Trade	Trade	9,000		04/08/09	07/20/09	209.40	142.82	66.58		Y
PATTERSON COMPANIES INC										
Trade	Trade	1,000		11/18/08	04/30/09	20.52	21.55	-1.03		Y
Trade	Trade	20,000		11/18/08	08/18/09	499.46	431.07	68.39		Y
Trade	Trade	24,000		11/18/08	09/25/09	653.50	517.28	136.22		Y
Trade	Trade	9,000		11/19/08	09/25/09	245.06	188.77	56.29		Y
Trade	Trade	8,000		11/26/08	09/25/09	217.83	147.17	70.66		Y
Trade	Trade	8,000		12/04/08	09/25/09	217.83	143.28	74.55		Y
Trade	Trade	7,000		12/09/08	09/25/09	190.60	127.44	63.16		Y
Trade	Trade	2,000		12/19/08	09/25/09	54.46	37.08	17.38		Y
Trade	Trade	1,000		01/26/09	09/25/09	27.23	18.47	8.76		Y
Trade	Trade	2,000		03/09/09	09/25/09	54.46	33.11	21.35		Y
Trade	Trade	4,000		03/17/09	09/25/09	108.92	70.26	38.66		Y
Trade	Trade	4,000		04/29/09	09/25/09	108.92	79.87	29.05		Y
PATTERSON-UTI ENERGY INC										
Trade	Trade	1,000		04/13/09	04/30/09	13.43	11.68	1.75		Y
Trade	Trade	21,000		04/13/09	07/31/09	287.77	245.24	42.53		Y
Trade	Trade	15,000		04/13/09	08/27/09	202.85	175.17	27.68		Y
Trade	Trade	43,000		04/13/09	09/25/09	607.57	502.15	105.42		Y
Trade	Trade	8,000		04/15/09	09/25/09	113.04	96.28	16.76		Y
Trade	Trade	2,000		04/29/09	09/25/09	28.26	27.56	.70		Y
PETROQUEST ENERGY INC										
Trade	Trade	15,000		08/05/09	09/25/09	86.25	62.60	23.65		Y
Trade	Trade	44,000		08/11/09	09/25/09	252.99	200.60	52.39		Y
PHILLIPS VAN HEUSEN										
Trade	Trade	4,000		10/27/08	09/25/09	166.80	91.99	74.81		Y
Trade	Trade	3,000		11/05/08	09/25/09	125.10	71.75	53.35		Y
Trade	Trade	6,000		11/26/08	09/25/09	250.19	99.79	150.40		Y
Trade	Trade	1,000		12/04/08	09/25/09	41.70	18.71	22.99		Y
Trade	Trade	7,000		12/09/08	09/25/09	291.89	141.69	150.20		Y
Trade	Trade	7,000		01/16/09	09/25/09	291.89	131.93	159.96		Y
Trade	Trade	5,000		03/09/09	09/25/09	208.49	73.50	134.99		Y
Trade	Trade	1,000		04/29/09	09/25/09	41.70	29.28	12.42		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PRIVATEBANCORP INC	Trade	20,000		05/13/09	09/25/09	472.38	409.94	62.44		Y
	Trade	14,000		05/15/09	09/25/09	330.67	268.42	62.25		Y
	Trade	4,000		05/19/09	09/25/09	94.48	80.11	14.37		Y
PROSPERITY BANCSHARES INC	Trade	7,000		10/08/08	09/25/09	243.73	225.51	18.22		Y
	Trade	4,000		10/09/08	09/25/09	139.28	120.80	18.48		Y
	Trade	9,000		10/27/08	09/25/09	313.37	272.86	40.51		Y
	Trade	2,000		11/18/08	09/25/09	69.64	60.70	8.94		Y
	Trade	4,000		12/19/08	09/25/09	139.28	109.40	29.88		Y
	Trade	16,000		01/26/09	09/25/09	557.10	391.44	165.66		Y
	Trade	4,000		03/24/09	09/25/09	139.28	108.59	30.69		Y
QLOGIC CORP	Trade	6,000		04/29/09	09/25/09	208.91	173.30	35.61		Y
	Trade	64,000		07/21/09	09/25/09	1,106.53	895.33	211.20		Y
	Trade	17,000		08/18/09	09/25/09	293.92	238.43	55.49		Y
RALCORP HOLDINGS INC NEW	Trade	15,000		09/14/09	09/25/09	259.34	259.49	-.15		Y
	Trade	3,000		07/08/08	05/27/09	170.10	146.98	23.12		Y
	Trade	3,000		07/13/08	05/27/09	170.10	162.51	7.59		Y
	Trade	2,000		03/09/09	05/27/09	113.40	109.13	4.27		Y
RAYMOND JAMES FINANCIAL CORP	Trade	1,000		03/18/09	05/27/09	56.70	55.89	.81		Y
	Trade	2,000		06/12/08	03/09/09	23.91	59.20	-35.29		Y
	Trade	16,000		07/31/08	03/09/09	191.29	471.35	-280.06		Y
	Trade	3,000		09/12/08	03/09/09	35.87	93.68	-57.81		Y
	Trade	2,000		09/23/08	03/09/09	23.91	62.57	-38.66		Y
REGAL ENTERTAINMENT GROUP CL A	Trade	3,000		10/15/08	03/09/09	35.87	69.46	-33.59		Y
	Trade	7,000		08/18/09	09/25/09	86.87	81.17	5.70		Y
	Trade	13,000		08/19/09	09/25/09	161.32	155.57	5.75		Y
	Trade	3,000		08/19/09	09/25/09	37.23	35.85	1.38		Y
	Trade	10,000		08/20/09	09/25/09	124.10	122.38	1.72		Y
	Trade	1,000		08/20/09	09/25/09	12.41	12.25	.16		Y
	Trade	7,000		08/21/09	09/25/09	86.87	87.47	-.60		Y
	Trade	3,000		08/27/09	09/25/09	37.23	37.04	.19		Y
	Trade	2,000		08/28/09	09/25/09	24.82	24.77	.05		Y
	Trade	12,000		08/31/09	09/25/09	148.91	152.66	-3.75		Y
	Trade	4,000		08/31/09	09/25/09	49.64	50.71	-1.07		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
REGAL ENTERTAINMENT GROUP CL A	Trade	1,000		09/01/09	09/25/09	12.41	12.54	-13		Y
	Trade	3,000		09/02/09	09/25/09	37.23	37.74	-51		Y
REGIS CORP MINN	Trade	8,000		08/05/09	09/25/09	124.08	111.35	12.73		Y
	Trade	5,000		08/08/09	09/25/09	77.55	69.71	7.84		Y
	Trade	3,000		08/06/09	09/25/09	46.53	41.72	4.81		Y
	Trade	7,000		08/07/09	09/25/09	108.57	103.25	5.32		Y
	Trade	3,000		08/07/09	09/25/09	46.53	44.13	2.40		Y
	Trade	3,000		08/10/09	09/25/09	46.53	44.28	2.25		Y
	Trade	9,000		08/10/09	09/25/09	139.59	132.57	7.02		Y
	Trade	16,000		08/14/09	09/25/09	248.15	232.94	15.21		Y
	Trade	14,000		09/14/09	09/25/09	217.13	224.05	-6.92		Y
	Trade	38,000		08/13/09	09/25/09	198.35	201.10	-2.75		Y
RF MICRO DEVICES INC	Trade	47,000		08/24/09	09/25/09	245.33	239.85	5.48		Y
	Trade	51,000		09/15/09	09/25/09	266.21	284.98	-18.77		Y
	Trade	15,000		03/30/09	09/25/09	357.29	224.18	133.11		Y
ROBBINS & MYERS INC	Trade	12,000		04/15/09	09/25/09	285.83	210.30	75.53		Y
	Trade	2,000		04/29/09	09/25/09	47.64	37.72	9.92		Y
	Trade	8,000		05/07/09	09/25/09	190.55	164.96	25.59		Y
	Trade	1,000		05/27/09	09/25/09	23.82	19.77	4.05		Y
	Trade	1,000		05/05/08	02/18/09	15.46	38.53	-23.07		Y
ROFIN-SINAR TECHNOLOGIES INC	Trade	4,000		04/15/09	09/09/09	88.08	74.19	13.89		Y
	Trade	1,000		04/30/09	09/09/09	22.02	22.05	-.03		Y
	Trade	1,000		04/30/09	09/10/09	21.69	22.05	-.36		Y
SCOTTS MIRACLE-GRO CO CL A	Trade	2,000		03/09/09	09/25/09	84.38	53.68	30.70		Y
SENSIENT TECHNOLOGIES CORP	Trade	1,000		07/31/09	09/25/09	27.31	25.21	2.10		Y
	Trade	5,000		07/31/09	09/25/09	136.55	126.52	10.03		Y
	Trade	2,000		08/03/09	09/25/09	54.62	50.70	3.92		Y
	Trade	3,000		08/03/09	09/25/09	81.93	75.69	6.24		Y
	Trade	9,000		09/02/09	09/25/09	245.78	237.26	8.52		Y
SILGAN HOLDINGS INC	Trade	1,000		09/23/08	05/13/09	44.97	52.26	-7.29		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SILGAN HOLDINGS INC	Trade	1,000		10/06/08	05/13/09	44.97	49.20	-4.23		Y
	Trade	1,000		10/06/08	05/27/09	44.34	49.20	-4.86		Y
	Trade	4,000		10/08/08	05/27/09	177.36	179.64	-2.28		Y
	Trade	2,000		10/09/08	05/27/09	88.68	90.28	-1.60		Y
	Trade	2,000		10/27/08	05/27/09	88.68	83.63	5.05		Y
	Trade	2,000		03/09/09	05/27/09	88.68	94.93	-6.25		Y
SKYWORKS SOLUTIONS INC	Trade	17,000		08/13/09	09/25/09	221.50	193.02	28.48		Y
	Trade	34,000		08/24/09	09/25/09	443.01	414.73	28.28		Y
SMITH A O CORP	Trade	2,000		01/16/09	09/25/09	73.98	63.39	10.59		Y
	Trade	3,000		01/30/09	09/25/09	110.97	83.50	27.47		Y
	Trade	5,000		04/13/09	09/25/09	184.94	140.48	44.46		Y
	Trade	1,000		04/29/09	09/25/09	36.99	30.99	6.00		Y
SMUCKER J M CO NEW	Trade	16,000		11/07/08	02/04/09	722.33	654.86	87.47		Y
	Trade	11,000		11/07/08	02/12/09	471.68	450.22	21.46		Y
	Trade	6,000		11/14/08	02/12/09	257.28	237.38	19.90		Y
SONIC CORP	Trade	1,000		08/25/08	02/18/09	9.07	14.83	-5.76		Y
	Trade	17,000		08/25/08	03/09/09	104.50	252.12	-147.62		Y
	Trade	25,000		08/26/08	03/09/09	153.67	349.45	-195.78		Y
	Trade	1,000		09/23/08	03/09/09	6.15	15.79	-9.64		Y
	Trade	9,000		10/10/08	03/09/09	55.32	102.05	-46.73		Y
	Trade	8,000		10/24/08	03/09/09	49.18	75.24	-26.06		Y
	Trade	5,000		12/09/08	03/09/09	30.73	51.85	-21.12		Y
SRA INTL INC CLA	Trade	53,000		08/13/08	04/16/09	749.82	1,241.30	-491.48		Y
	Trade	1,000		08/13/08	04/30/09	15.38	23.42	-8.04		Y
	Trade	4,000		08/13/08	05/14/09	65.91	93.68	-27.77		Y
	Trade	5,000		10/07/08	09/25/09	109.00	101.62	7.38		Y
	Trade	5,000		10/09/08	09/25/09	109.00	93.12	15.88		Y
	Trade	3,000		10/27/08	09/25/09	65.40	50.81	14.59		Y
	Trade	15,000		02/18/09	09/25/09	326.99	206.95	120.04		Y
	Trade	3,000		03/09/09	09/25/09	65.40	34.41	30.99		Y
	Trade	4,000		04/29/09	09/25/09	87.20	61.20	26.00		Y
STEEL DYNAMICS INC	Trade	30,000		12/04/08	07/31/09	494.13	223.92	270.21		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
STEEL DYNAMICS INC										
Trade	Trade	1,000		12/04/08	08/18/09	16.23	7.46	8.77		Y
Trade	Trade	9,000		12/05/08	08/18/09	146.07	72.57	73.50		Y
Trade	Trade	3,000		01/16/09	08/18/09	48.69	32.90	15.79		Y
Trade	Trade	1,000		01/26/09	08/18/09	16.23	10.79	5.44		Y
Trade	Trade	6,000		01/26/09	08/19/09	96.04	64.76	31.28		Y
Trade	Trade	8,000		04/07/09	08/19/09	128.06	76.39	51.67		Y
Trade	Trade	1,000		04/13/09	08/19/09	16.01	10.54	5.47		Y
Trade	Trade	4,000		04/29/09	08/19/09	64.03	46.42	17.61		Y
Trade	Trade	4,000		05/27/09	08/19/09	64.03	58.83	5.20		Y
SYNERSE HOLDINGS INC										
Trade	Trade	1,000		09/24/08	04/30/09	13.16	16.44	-3.28		Y
Trade	Trade	25,000		10/06/08	09/25/09	444.49	404.64	39.85		Y
Trade	Trade	5,000		10/09/08	09/25/09	88.90	86.04	2.86		Y
Trade	Trade	14,000		12/19/08	09/25/09	248.91	139.79	109.12		Y
Trade	Trade	4,000		04/29/09	09/25/09	71.12	52.23	18.89		Y
Trade	Trade	2,000		05/27/09	09/25/09	35.56	29.56	6.00		Y
TEAM INC										
Trade	Trade	5,000		03/24/08	01/21/09	111.61	158.26	-46.65		Y
Trade	Trade	3,000		11/07/08	01/21/09	66.97	76.00	-9.03		Y
Trade	Trade	1,000		11/26/08	01/21/09	22.32	26.02	-3.70		Y
TELEFLEX INC										
Trade	Trade	2,000		12/05/08	09/25/09	95.04	92.23	2.81		Y
Trade	Trade	1,000		12/09/08	09/25/09	47.52	46.23	1.29		Y
Trade	Trade	1,000		01/16/09	09/25/09	47.52	50.99	-3.47		Y
Trade	Trade	2,000		03/09/09	09/25/09	95.04	85.83	9.21		Y
Trade	Trade	3,000		03/26/09	09/25/09	142.56	116.67	25.89		Y
Trade	Trade	3,000		04/29/09	09/25/09	142.56	130.95	11.61		Y
Trade	Trade	2,000		05/19/09	09/25/09	95.04	88.88	6.16		Y
Trade	Trade	1,000		05/27/09	09/25/09	47.52	44.13	3.39		Y
Trade	Trade	4,000		07/31/09	09/25/09	190.07	192.63	-2.56		Y
THOMPSON CREEK METALS CO INC										
Trade	Trade	12,000		08/24/09	09/25/09	137.39	175.71	-38.32		Y
Trade	Trade	18,000		08/26/09	09/25/09	206.09	228.60	-22.51		Y
Trade	Trade	16,000		08/28/09	09/25/09	183.19	191.67	-8.48		Y
TRANSDIGM GROUP INC										
Trade	Trade	4,000		07/21/09	09/25/09	194.15	152.43	41.72		Y
Trade	Trade	3,000		07/21/09	09/25/09	145.62	113.81	31.81		Y
Trade	Trade	2,000		07/22/09	09/25/09	97.08	76.15	20.93		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TRANSDIGM GROUP INC	Trade	3,000		07/23/09	09/25/09	145.62	114.79	30.83		Y
	Trade	6,000		08/05/09	09/25/09	291.23	268.15	23.08		Y
TREEHOUSE FOODS INC	Trade	9,000		03/17/08	02/12/09	221.03	204.08	16.95		Y
	Trade	1,000		09/23/08	05/27/09	26.91	29.25	-2.34		Y
	Trade	2,000		03/09/09	05/27/09	53.81	53.05	.76		Y
TRIQUINT SEMICONDUCTOR INC	Trade	28,000		08/13/09	09/25/09	210.83	192.79	18.04		Y
	Trade	29,000		08/24/09	09/25/09	218.36	214.54	3.82		Y
	Trade	29,000		09/14/09	09/25/09	218.36	230.30	-11.94		Y
TYLER TECHNOLOGIES INC	Trade	11,000		10/27/08	09/25/09	182.49	128.44	54.05		Y
	Trade	1,000		04/29/09	09/25/09	16.59	17.10	-.51		Y
UNIT CORP	Trade	7,000		08/07/09	08/27/09	265.75	263.83	1.92		Y
	Trade	25,000		08/07/09	09/25/09	1,002.47	942.23	60.24		Y
UNTD BANKSHARES INC PARKERSBURG WEST VIRG	Trade	5,000		06/12/08	03/23/09	83.45	133.13	-49.68		Y
	Trade	3,000		07/17/08	03/23/09	50.07	69.70	-19.63		Y
	Trade	7,000		08/04/08	03/23/09	116.82	181.10	-64.28		Y
	Trade	2,000		10/27/08	03/23/09	33.38	53.70	-20.32		Y
URS CORP NEW	Trade	4,000		03/09/09	03/23/09	66.76	56.88	9.88		Y
URS CORP NEW	Trade	5,000		07/08/08	05/20/09	241.68	183.29	58.39		Y
	Trade	1,000		10/10/08	05/20/09	48.34	21.31	27.03		Y
	Trade	4,000		10/27/08	05/20/09	193.34	84.26	109.08		Y
	Trade	1,000		04/29/09	05/20/09	48.34	43.70	4.64		Y
VALIDUS HOLDINGS LTD	Trade	1,000		12/09/08	09/25/09	24.60	47.50	-22.90		Y
	Trade	1,000		04/29/09	09/25/09	24.60	47.50	-22.90		Y
	Trade	7,000		06/04/09	09/25/09	172.20	92.60	79.60		Y
VALMONT INDUSTRIES INC	Trade	2,000		08/05/09	09/25/09	165.77	148.84	16.93		Y
	Trade	1,000		08/06/09	09/25/09	82.89	78.13	4.76		Y
VANTAGE DRILLING CO ORD	Trade	139,000		08/27/09	09/25/09	243.24	215.45	27.79		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VARIAN SEMICONDUCTOR EQUIPMENT ASSOC INC	Trade	5,000		11/05/08	09/25/09	159.25	110.53	48.72		Y
	Trade	2,000		12/04/08	09/25/09	63.70	35.90	27.80		Y
	Trade	5,000		12/19/08	09/25/09	159.25	101.56	57.69		Y
	Trade	4,000		01/16/09	09/25/09	127.40	75.97	51.43		Y
	Trade	4,000		02/12/09	09/25/09	127.40	80.74	46.66		Y
	Trade	7,000		04/13/09	09/25/09	222.94	155.54	67.40		Y
	Trade	1,000		04/29/09	09/25/09	31.85	23.73	8.12		Y
VECTREN CORP	Trade	8,000		09/24/08	01/07/09	199.76	219.94	-20.18		Y
	Trade	7,000		09/24/08	03/09/09	128.87	192.45	-63.58		Y
	Trade	7,000		09/24/08	04/15/09	140.91	192.45	-51.54		Y
	Trade	3,000		10/06/08	04/15/09	60.39	73.20	-12.81		Y
	Trade	7,000		10/27/08	04/15/09	140.91	154.88	-13.97		Y
	Trade									Y
WADDELL & REED FINANCIAL INC CL A	Trade	3,000		06/12/08	05/13/09	66.33	107.00	-40.67		Y
	Trade	5,000		08/20/08	07/20/09	123.81	149.95	-26.14		Y
	Trade	9,000		10/02/08	07/20/09	222.86	210.38	12.48		Y
	Trade	4,000		10/02/08	08/28/09	106.73	93.50	13.23		Y
	Trade	8,000		10/10/08	08/28/09	213.47	117.46	96.01		Y
	Trade	4,000		10/10/08	09/25/09	110.20	58.73	51.47		Y
	Trade	1,000		10/15/08	09/25/09	27.55	16.03	11.52		Y
	Trade	2,000		11/18/08	09/25/09	55.10	21.01	34.09		Y
	Trade	18,000		12/19/08	09/25/09	495.88	247.13	248.75		Y
	Trade	7,000		03/09/09	09/25/09	192.84	83.74	109.10		Y
	Trade									Y
	Trade									Y
WALTER ENERGY INC	Trade	13,000		03/27/09	07/31/09	621.99	280.66	341.33		Y
	Trade	4,000		03/27/09	08/27/09	218.31	86.36	131.95		Y
	Trade	7,000		03/27/09	09/25/09	406.90	151.13	255.77		Y
	Trade	6,000		03/30/09	09/25/09	348.77	118.20	230.57		Y
	Trade	1,000		04/29/09	09/25/09	58.13	24.49	33.64		Y
	Trade	1,000		04/30/09	09/25/09	58.13	23.34	34.79		Y
WALTER INV MGMT CORP	Trade	7,000		03/27/09	05/14/09	94.38	73.62	20.76		Y
	Trade	1,000		03/30/09	05/14/09	13.48	16.80	-3.32		Y
	Trade	2,000		Earnings	05/14/09	26.97	27.60	-63		Y
WASHINGTON FEDERAL INC	Trade	31,000		05/13/09	09/25/09	510.87	391.04	119.83		Y
	Trade	18,000		05/28/09	09/25/09	296.63	229.95	66.68		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WASHINGTON FEDERAL INC	Trade	15,000		06/22/09	09/25/09	247.19	194.47	52.72		Y
WASTE CONNECTIONS INC	Trade	4,000		10/07/08	09/25/09	113.64	134.78	-21.14		Y
	Trade	4,000		03/09/09	09/25/09	113.64	85.12	28.52		Y
	Trade	4,000		04/29/09	09/25/09	113.64	105.31	8.33		Y
	Trade	9,000		05/04/09	09/25/09	255.68	228.77	26.91		Y
	Trade	6,000		06/11/09	09/25/09	170.45	160.76	9.69		Y
WATSON WYATT WORLDWIDE INC-MERGER 01/2010*	Trade	1,000		10/07/08	09/25/09	43.82	43.86	-.04		Y
CL A	Trade	3,000		02/18/09	09/25/09	131.46	150.92	-19.46		Y
	Trade	1,000		03/09/09	09/25/09	43.82	47.74	-3.92		Y
	Trade	1,000		04/29/09	09/25/09	43.82	53.56	-9.74		Y
WENDYS ARBYS GROUP INC	Trade	1,000		02/18/09	09/25/09	5.00	5.15	-.15		Y
	Trade	2,000		04/29/09	09/25/09	10.00	10.98	-.98		Y
	Trade	56,000		08/14/09	09/25/09	279.99	299.20	-19.21		Y
WEST PHARMACEUTICAL SERVICES INC	Trade	1,000		03/10/09	04/30/09	32.99	29.28	3.71		Y
	Trade	3,000		03/10/09	08/10/09	116.74	87.84	28.90		Y
	Trade	8,000		03/11/09	08/10/09	311.31	237.70	73.61		Y
	Trade	17,000		03/11/09	09/25/09	676.24	505.10	171.14		Y
	Trade	3,000		03/12/09	09/25/09	119.34	90.23	29.11		Y
	Trade	1,000		04/29/09	09/25/09	39.78	33.60	6.18		Y
WESTAR ENERGY INC	Trade	11,000		09/24/08	01/07/09	220.08	252.07	-31.99		Y
	Trade	10,000		09/24/08	03/09/09	151.26	229.15	-77.89		Y
	Trade	2,000		09/24/08	03/27/09	35.00	45.83	-10.83		Y
	Trade	6,000		10/06/08	03/27/09	105.01	130.32	-25.31		Y
	Trade	12,000		10/27/08	03/27/09	210.01	212.22	-2.21		Y
WILEY JOHN & SONS INC CL A	Trade	18,000		11/28/08	09/25/09	608.38	611.24	-2.86		Y
	Trade	2,000		01/28/09	09/25/09	67.60	72.81	-5.21		Y
	Trade	2,000		03/09/09	09/25/09	67.60	56.60	11.00		Y
WOLVERINE WORLD WIDE INC	Trade	9,000		08/28/09	09/25/09	223.37	218.45	4.92		Y
	Trade	7,000		08/31/09	09/25/09	173.73	172.68	1.05		Y
	Trade	4,000		09/01/09	09/25/09	99.28	99.12	.16		Y
	Trade	1,000		09/01/09	09/25/09	24.82	24.75	.07		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WOLVERINE WORLD WIDE INC	Trade	4,000		09/02/09	09/25/09	99.28	99.23	.05		Y
ZOLL MEDICAL CORP	Trade	23,000		07/08/09	09/25/09	485.98	403.45	82.53		Y
	Trade	10,000		07/09/09	09/25/09	211.29	172.81	38.48		Y
Total Short-Term Gain/Loss						107,577.29	95,128.54	12,448.75		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AARONS INC	Trade	28,000		12/15/06	01/16/09	752.88	792.47	-39.59		Y
	Trade	1,000		12/15/06	04/30/09	34.78	28.30	6.48		Y
	Trade	6,000		12/15/06	06/03/09	199.13	169.81	29.32		Y
	Trade	18,000		12/15/06	09/25/09	493.42	537.75	-44.33		Y
	Trade	5,000		07/27/07	09/25/09	129.85	122.32	7.53		Y
ACTUANT CORP NEW CL A	Trade	2,000		12/15/06	09/25/09	30.96	52.48	-21.52		Y
	Trade	5,000		02/07/08	09/25/09	77.40	137.30	-59.90		Y
	Trade	2,000		03/25/08	09/25/09	30.96	63.02	-32.06		Y
	Trade	7,000		05/05/08	09/25/09	108.36	241.58	-133.22		Y
	Trade	8,000		07/25/08	09/25/09	123.84	245.75	-121.91		Y
AIRGAS INC	Trade	1,000		06/05/07	04/30/09	43.88	42.48	1.40		Y
	Trade	5,000		06/05/07	08/06/09	224.02	212.39	11.63		Y
	Trade	9,000		06/05/07	09/25/09	428.75	382.30	46.45		Y
	Trade	10,000		06/14/07	09/25/09	476.39	422.02	54.37		Y
	Trade	5,000		08/22/07	09/25/09	238.19	223.26	14.93		Y
	Trade	5,000		03/18/08	09/25/09	238.19	219.47	18.72		Y
	Trade	1,000		09/23/08	09/25/09	47.64	50.47	-2.83		Y
ALLIANT TECHSYSTEMS INC	Trade	5,000		12/15/06	07/06/09	397.56	388.68	8.88		Y
	Trade	5,000		05/04/07	07/06/09	397.56	484.60	-87.04		Y
ARENA RESOURCES INC	Trade	4,000		09/23/08	09/25/09	138.84	185.32	-46.48		Y



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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ASPEN INSURANCE HOLDINGS LTD ORD										
Trade	Trade	18,000		06/25/08	08/10/09	438.12	450.07	-11.95		Y
Trade	Trade	6,000		06/25/08	09/25/09	155.81	150.02	5.79		Y
Trade	Trade	7,000		07/17/08	09/25/09	181.78	162.44	19.34		Y
CONCHO RESOURCES INC										
Trade	Trade	5,000		12/17/07	08/10/09	170.88	96.62	74.26		Y
CULLEN FROST BANKERS INC										
Trade	Trade	1,000		09/21/07	04/30/09	48.30	49.73	-1.43		Y
Trade	Trade	4,000		09/21/07	06/16/09	185.71	198.93	-13.22		Y
Trade	Trade	12,000		09/21/07	09/25/09	596.02	596.79	-.77		Y
Trade	Trade	10,000		10/24/07	09/25/09	496.69	498.91	-2.22		Y
Trade	Trade	4,000		07/17/08	09/25/09	198.67	203.81	-5.14		Y
FIRST CASH FINCL SERVICES										
Trade	Trade	2,000		12/15/06	02/18/09	32.11	43.38	-11.27		Y
Trade	Trade	1,000		12/15/06	04/30/09	16.80	21.69	-4.89		Y
Trade	Trade	16,000		12/15/06	09/25/09	275.19	347.02	-71.83		Y
Trade	Trade	10,000		05/04/07	09/25/09	172.00	229.46	-57.46		Y
Trade	Trade	5,000		06/29/07	09/25/09	86.00	116.75	-30.75		Y
Trade	Trade	5,000		08/22/07	09/25/09	86.00	111.94	-25.94		Y
Trade	Trade	10,000		11/09/07	09/25/09	172.00	168.05	3.95		Y
Trade	Trade	5,000		12/21/07	09/25/09	86.00	74.94	11.06		Y
Trade	Trade	25,000		01/30/08	09/25/09	429.99	250.70	179.29		Y
Trade	Trade	10,000		02/13/08	09/25/09	172.00	93.82	78.18		Y
FORWARD AIR CORP										
Trade	Trade	11,000		03/07/07	04/15/09	175.41	342.27	-166.86		Y
Trade	Trade	7,000		03/07/07	05/01/09	120.23	217.81	-97.58		Y
Trade	Trade	5,000		05/04/07	05/01/09	85.88	153.70	-67.82		Y
Trade	Trade	5,000		06/29/07	05/01/09	85.88	170.70	-84.82		Y
GENL CABLE CORP										
Trade	Trade	1,000		02/14/07	02/24/09	15.46	51.09	-35.63		Y
Trade	Trade	2,000		02/14/07	07/31/09	77.38	102.18	-24.80		Y
Trade	Trade	5,000		05/04/07	07/31/09	193.45	318.12	-124.67		Y
Trade	Trade	5,000		06/27/08	07/31/09	193.45	300.42	-106.97		Y
Trade	Trade	1,000		06/27/08	09/25/09	38.63	60.08	-21.45		Y
Trade	Trade	4,000		09/16/08	09/25/09	154.52	140.74	13.78		Y
Trade	Trade	2,000		09/23/08	09/25/09	77.26	73.85	3.41		Y
HCC INSURANCE HLDGS INC										
Trade	Trade	12,000		06/30/06	09/14/09	325.72	357.60	-31.88		Y
Trade	Trade	2,000		06/30/06	09/25/09	55.00	59.60	-4.60		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HCC INSURANCE HLDGS INC	Trade	5,000		05/04/07	09/25/09	137.50	153.92	-16.42		Y
	Trade	15,000		09/12/07	09/25/09	412.49	408.27	4.22		Y
	Trade	10,000		12/27/07	09/25/09	274.99	289.15	-14.16		Y
	Trade	5,000		01/14/08	09/25/09	137.50	142.43	-4.93		Y
	Trade	5,000		03/24/08	09/25/09	137.50	113.81	23.69		Y
	Trade	9,000		06/27/08	09/25/09	247.49	194.54	52.95		Y
	Trade	6,000		07/17/08	09/25/09	165.00	121.87	43.13		Y
	Trade	6,000		08/04/08	09/25/09	165.00	138.63	26.37		Y
	Trade	2,000		09/23/08	09/25/09	55.00	52.72	2.28		Y
	Trade	1,000		12/15/06	02/18/09	15.75	18.38	-2.63		Y
HEALTH CARE SVCS GROUP INC	Trade	23,000		12/15/06	07/06/09	408.87	422.80	-13.93		Y
	Trade	7,000		12/15/06	08/06/09	123.79	128.68	-4.89		Y
	Trade	4,000		12/15/06	08/07/09	71.44	73.53	-2.09		Y
	Trade	2,000		12/15/06	08/10/09	34.99	36.77	-1.78		Y
	Trade	2,000		07/02/07	08/11/09	35.13	36.71	-1.58		Y
	Trade	6,000		07/02/07	08/11/09	104.45	110.12	-5.67		Y
	Trade	8,000		01/22/08	08/11/09	139.32	183.16	-43.84		Y
	Trade	2,000		01/22/08	08/11/09	34.82	45.79	-10.97		Y
	Trade	2,000		03/13/07	02/18/09	29.96	43.60	-13.64		Y
	Trade	43,000		03/13/07	03/10/09	248.29	937.29	-689.00		Y
HEALTHSPRING INC	Trade	3,000		06/21/07	03/10/09	17.32	55.50	-38.18		Y
	Trade	7,000		06/21/07	03/11/09	44.38	129.50	-85.12		Y
	Trade	10,000		07/02/07	03/11/09	63.41	190.36	-126.95		Y
	Trade	5,000		07/27/07	03/11/09	31.70	89.13	-57.43		Y
	Trade	1,000		01/22/08	02/18/09	10.47	33.66	-23.19		Y
	Trade	24,000		01/22/08	03/09/09	162.12	807.77	-645.65		Y
	Trade	5,000		02/15/08	03/09/09	33.77	152.33	-118.56		Y
	Trade	14,000		09/21/07	08/28/09	450.92	400.44	50.48		Y
	Trade	9,000		01/22/08	08/28/09	289.88	229.95	59.93		Y
	Exchange	21,000		01/22/08	09/04/09	656.22	536.54	119.68		Y
IPC HOLDINGS LTD **MERGER 09/08/2009**	Trade	12,000		05/01/08	09/25/09	399.35	643.37	-244.02		Y
	Trade	3,000		08/25/08	09/25/09	99.84	159.84	-60.00		Y
KAYDON CORP	Trade	12,000		05/01/08	09/25/09	399.35	643.37	-244.02		Y
	Trade	3,000		08/25/08	09/25/09	99.84	159.84	-60.00		Y



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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LHC GROUP INC	Trade	6,000		06/20/06	03/03/09	112.38	123.96	-11.58		Y
	Trade	5,000		05/04/07	03/03/09	93.65	135.36	-41.71		Y
	Trade	1,000		06/21/07	03/03/09	18.73	25.93	-7.20		Y
	Trade	9,000		06/21/07	04/16/09	192.81	233.37	-40.56		Y
	Trade	5,000		07/02/07	04/16/09	107.11	130.05	-22.94		Y
	Trade	5,000		07/27/07	04/16/09	107.11	124.46	-17.35		
LINCOLN ELEC HOLDINGS NEW	Trade	1,000		09/18/07	02/18/09	41.18	74.15	-32.97		Y
	Trade	11,000		09/18/07	09/25/09	524.02	815.61	-291.59		Y
	Trade	5,000		12/18/07	09/25/09	238.19	345.04	-106.85		Y
	Trade	5,000		03/17/08	09/25/09	238.19	322.91	-84.72		Y
MEDNAX INC	Trade	1,000		07/25/06	02/18/09	37.03	43.62	-6.59		Y
	Trade	18,000		07/25/06	03/09/09	462.85	785.21	-322.36		Y
	Trade	6,000		05/04/07	03/09/09	154.28	352.55	-198.27		Y
	Trade	4,000		05/04/07	09/25/09	211.95	235.04	-23.09		Y
	Trade	3,000		05/12/08	09/25/09	158.97	176.41	-17.44		Y
	Trade	2,000		05/20/08	09/25/09	105.98	110.42	-4.44		Y
	Trade	4,000		05/30/08	09/25/09	211.95	213.21	-1.26		Y
	Trade	2,000		06/27/08	09/25/09	105.98	100.87	5.11		Y
	Trade	2,000		09/23/08	09/25/09	105.98	104.20	1.78		Y
MFA FINANCIAL INC	Trade	137,000		09/24/08	09/25/09	1,078.16	878.10	200.06		Y
MICROS SYSTEMS INC	Trade	1,000		12/15/06	04/30/09	21.87	25.74	-3.87		Y
	Trade	10,000		05/04/07	09/25/09	292.99	272.75	20.24		
	Trade	2,000		05/05/08	09/25/09	58.60	56.89	1.71		Y
	Trade	7,000		05/08/08	09/25/09	205.09	214.37	-9.28		Y
	Trade	4,000		06/10/08	09/25/09	117.20	125.22	-8.02		Y
MICROSEMI CORP	Trade	1,000		01/30/07	04/30/09	13.77	18.11	-4.34		Y
	Trade	14,000		01/30/07	08/21/09	187.83	253.54	-65.71		Y
	Trade	5,000		05/04/07	08/21/09	67.08	113.93	-46.85		Y
	Trade	5,000		06/29/07	08/21/09	67.08	119.65	-52.57		Y
	Trade	10,000		11/26/07	08/21/09	134.16	217.73	-83.57		Y
	Trade	10,000		01/02/08	08/21/09	134.16	219.36	-85.20		Y
	Trade	5,000		03/11/08	08/21/09	67.08	107.18	-40.10		Y
	Trade	5,000		03/17/08	08/21/09	67.08	101.59	-34.51		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MICROSEMI CORP	Trade	1,000		07/14/08	08/21/09	13.42	23.45	-10.03		Y
NORDSON CORP	Trade	2,000		01/03/07	09/25/09	111.46	101.00	10.46		Y
	Trade	5,000		05/04/07	09/25/09	278.64	229.82	48.82		Y
OIL STATES INTL INC	Trade	3,000		05/04/07	02/23/09	40.74	109.70	-68.96		Y
	Trade	5,000		06/25/07	02/23/09	67.90	206.66	-138.76		Y
	Trade	5,000		07/27/07	02/23/09	67.90	212.03	-144.13		Y
OWENS & MINOR INC NEW	Trade	1,000		03/15/07	03/03/09	30.96	34.24	-3.28		Y
	Trade	5,000		05/04/07	03/03/09	154.81	178.92	-24.11		Y
PACTIV CORP	Trade	7,000		03/25/08	07/10/09	144.61	190.41	-45.80		Y
	Trade	14,000		05/01/08	07/10/09	289.23	341.26	-52.03		Y
PHILLIPS VAN HEUSEN	Trade	20,000		01/14/08	08/05/09	696.05	659.35	36.70		Y
	Trade	4,000		01/14/08	08/10/09	138.49	131.87	6.62		Y
	Trade	9,000		03/24/08	08/10/09	311.59	331.49	-19.90		Y
	Trade	1,000		03/24/08	09/25/09	41.70	36.83	4.87		Y
	Trade	1,000		06/12/08	09/25/09	41.70	41.61	.09		Y
	Trade	2,000		06/27/08	09/25/09	83.40	73.27	10.13		Y
	Trade	7,000		06/30/08	09/25/09	291.89	263.37	28.52		Y
PROSPERITY BANCSHARES INC	Trade	1,000		10/24/07	04/30/09	28.62	29.78	-1.16		Y
	Trade	3,000		10/24/07	07/20/09	93.52	89.33	4.19		Y
	Trade	5,000		02/22/08	07/20/09	155.87	132.50	23.37		Y
	Trade	5,000		07/17/08	07/20/09	155.87	139.72	16.15		Y
	Trade	5,000		07/17/08	09/25/09	174.09	139.72	34.37		Y
RALCORP HOLDINGS INC NEW	Trade	3,000		02/06/07	02/04/09	175.42	162.67	12.75		Y
	Trade	1,000		02/06/07	04/29/09	55.93	54.22	1.71		Y
	Trade	2,000		02/06/07	05/13/09	122.59	108.45	14.14		Y
	Trade	5,000		06/21/07	05/13/09	306.48	270.92	35.56		Y
	Trade	4,000		06/21/07	05/19/09	239.41	216.73	22.68		Y
	Trade	1,000		06/21/07	05/27/09	56.70	54.18	2.52		Y
ROFIN-SINAR TECHNOLOGIES INC	Trade	2,000		05/05/08	08/26/09	50.14	77.05	-26.91		Y
	Trade	5,000		05/05/08	08/27/09	121.27	192.63	-71.36		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ROFIN-SINAR TECHNOLOGIES INC	Trade	2,000		06/02/08	08/28/09	46.80	69.74	-22.94		Y
	Trade	1,000		06/02/08	08/28/09	23.54	34.87	-11.33		Y
	Trade	1,000		06/02/08	08/31/09	22.71	34.87	-12.16		Y
	Trade	1,000		06/02/08	09/01/09	21.98	34.87	-12.89		Y
	Trade	1,000		06/12/08	09/04/09	21.98	33.77	-11.79		Y
	Trade	4,000		07/14/08	09/08/09	88.27	117.61	-29.34		Y
	Trade	1,000		07/14/08	09/09/09	22.02	29.40	-7.38		Y
SCOTTS MIRACLE-GRO CO CL A	Trade	21,000		12/15/06	01/02/09	631.81	1,078.51	-446.70		Y
	Trade	4,000		12/15/06	02/04/09	138.83	205.43	-66.60		Y
	Trade	1,000		12/15/06	04/30/09	34.29	51.36	-17.07		Y
	Trade	4,000		12/15/06	09/25/09	168.76	205.43	-36.67		Y
	Trade	5,000		07/02/07	09/25/09	210.94	216.73	-5.79		Y
	Trade	8,000		07/25/08	09/25/09	337.51	168.28	169.23		Y
	Trade	17,000		08/05/08	09/25/09	717.21	396.29	320.92		Y
	Trade	2,000		08/12/08	09/25/09	84.38	50.03	34.35		Y
	Trade	3,000		09/23/08	09/25/09	126.57	74.31	52.26		Y
	Trade	14,000		01/22/08	02/12/09	703.32	655.12	48.20		Y
SILGAN HOLDINGS INC	Trade	11,000		01/22/08	04/16/09	570.12	514.74	55.38		Y
	Trade	5,000		02/22/08	04/16/09	259.15	237.10	22.05		Y
	Trade	1,000		03/25/08	04/16/09	51.83	48.75	3.08		Y
	Trade	2,000		03/25/08	05/13/09	89.94	97.50	-7.56		Y
SMITH A O CORP	Trade	19,000		08/27/08	09/25/09	702.79	783.50	-80.71		Y
	Trade	4,000		09/12/08	09/25/09	147.96	174.09	-26.13		Y
SRA INTL INC CL A	Trade	8,000		08/13/08	09/25/09	174.40	187.37	-12.97		Y
	Trade	8,000		09/23/08	09/25/09	174.40	183.51	-9.11		Y
SYBASE INC	Trade	6,000		05/23/07	02/18/09	168.60	139.99	28.61		Y
	Trade	1,000		05/23/07	04/30/09	34.26	23.33	10.93		Y
	Trade	6,000		05/23/07	08/07/09	212.77	139.99	72.78		Y
	Trade	42,000		05/23/07	09/25/09	1,544.30	979.90	564.40		Y
	Trade	5,000		06/15/07	09/25/09	183.84	120.08	63.76		Y
	Trade	5,000		06/21/07	09/25/09	183.84	120.48	63.36		Y
	Trade	10,000		07/02/07	09/25/09	367.69	240.11	127.58		Y
	Trade	5,000		08/22/07	09/25/09	183.84	114.70	69.14		Y

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Long-Term Gain/Loss - continued

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Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SYNIVERSE HOLDINGS INC										
Trade	Trade	57,000		09/24/08	09/25/09	1,013.43	936.85	76.58		Y
TEAM INC										
Trade	Trade	4,000		12/15/06	01/16/09	95.52	64.00	31.52		Y
Trade	Trade	1,000		12/15/06	01/21/09	22.32	16.00	6.32		Y
TELEFLEX INC										
Trade	Trade	1,000		03/17/08	04/30/09	43.91	48.80	-4.89		Y
Trade	Trade	3,000		03/17/08	09/25/09	142.56	146.40	-3.84		Y
Trade	Trade	4,000		05/01/08	09/25/09	190.07	227.15	-37.08		Y
Trade	Trade	4,000		06/10/08	09/25/09	190.07	233.85	-43.78		Y
TREEHOUSE FOODS INC										
Trade	Trade	2,000		03/17/08	04/29/09	53.99	45.35	8.64		Y
Trade	Trade	12,000		03/17/08	05/27/09	322.89	272.11	50.78		Y
TYLER TECHNOLOGIES INC										
Trade	Trade	2,000		12/15/06	02/18/09	25.32	29.14	-3.82		Y
Trade	Trade	3,000		12/15/06	03/09/09	36.64	43.71	-7.07		Y
Trade	Trade	2,000		06/15/07	03/09/09	24.43	24.76	-33		Y
Trade	Trade	1,000		06/15/07	04/30/09	18.95	12.38	4.57		Y
Trade	Trade	7,000		06/15/07	08/11/09	102.33	86.66	15.67		Y
Trade	Trade	10,000		07/02/07	08/11/09	146.19	123.15	23.04		Y
Trade	Trade	5,000		07/02/07	09/25/09	82.95	61.57	21.38		Y
Trade	Trade	5,000		08/02/07	09/25/09	82.95	62.16	20.79		Y
Trade	Trade	30,000		10/15/07	09/25/09	497.69	432.02	65.67		Y
Trade	Trade	5,000		11/09/07	09/25/09	82.95	76.83	6.12		Y
Trade	Trade	5,000		12/26/07	09/25/09	82.95	69.64	13.31		Y
Trade	Trade	4,000		07/09/08	09/25/09	66.36	58.07	8.29		Y
Trade	Trade	1,000		09/23/08	09/25/09	16.59	15.56	1.03		Y
UNTD BANKSHARES INC PARKERSBURG WEST VIRG										
Trade	Trade	1,000		12/12/07	02/18/09	16.92	31.52	-14.60		Y
Trade	Trade	16,000		12/12/07	03/23/09	267.03	504.26	-237.23		Y
Trade	Trade	5,000		12/26/07	03/23/09	83.45	151.31	-67.86		Y
Trade	Trade	5,000		03/17/08	03/23/09	83.45	126.24	-42.79		Y
UNTD FIRE & CASUALTY CO										
Trade	Trade	1,000		12/15/06	02/18/09	19.64	36.26	-16.62		Y
Trade	Trade	8,000		12/15/06	04/29/09	152.47	290.12	-137.65		Y
Trade	Trade	5,000		12/15/06	04/30/09	94.58	181.32	-86.74		Y
Trade	Trade	5,000		06/29/07	04/30/09	94.58	177.10	-82.52		Y
Trade	Trade	5,000		07/02/07	04/30/09	94.58	181.64	-87.06		Y

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This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
URS CORP NEW	Trade	1,000		07/02/07	03/09/09	35.22	48.79	-13.57		Y
	Trade	4,000		07/02/07	04/09/09	167.79	195.14	-27.35		Y
	Trade	5,000		01/14/08	04/09/09	209.74	221.65	-11.91		Y
	Trade	4,000		03/18/08	04/09/09	167.79	137.07	30.72		Y
	Trade	1,000		03/18/08	04/30/09	44.26	34.27	9.99		Y
	Trade	5,000		03/24/08	05/13/09	213.03	168.76	44.27		Y
	Trade	1,000		04/01/08	05/13/09	42.61	33.42	9.19		Y
	Trade	2,000		04/01/08	05/20/09	96.67	68.84	29.83		Y
VALIDUS HOLDINGS LTD	Trade	20,000		01/22/08	09/25/09	491.99	498.72	-6.73		Y
	Trade	2,000		04/17/08	09/25/09	63.70	61.32	2.38		Y
	Trade	4,000		06/03/08	09/25/09	127.40	151.30	-23.90		Y
	Trade	5,000		06/10/08	09/25/09	159.25	182.58	-23.33		Y
	Trade	5,000		06/30/08	09/25/09	159.25	178.84	-19.59		Y
	Trade	5,000		07/14/08	09/25/09	159.25	153.61	5.64		Y
	Trade	1,000		07/17/08	09/25/09	31.85	31.12	.73		Y
	Trade	9,000		07/25/08	09/25/09	286.64	247.94	38.70		Y
WADDELL & REED FINANCIAL INC CL A	Trade	11,000		08/02/07	04/15/09	219.86	276.45	-56.59		Y
	Trade	9,000		08/02/07	04/17/09	186.94	226.18	-39.24		Y
	Trade	5,000		08/28/07	04/17/09	103.86	119.71	-15.85		Y
	Trade	8,000		03/18/08	04/17/09	166.17	255.21	-89.04		Y
	Trade	1,000		03/18/08	04/29/09	22.10	31.90	-9.80		Y
	Trade	1,000		03/18/08	05/13/09	22.11	31.90	-9.79		Y
	Trade	5,000		05/01/08	05/13/09	110.55	173.64	-63.09		Y
	Trade	3,000		06/12/08	07/20/09	74.29	107.00	-32.71		Y
	Trade	3,000		07/14/08	07/20/09	74.29	93.45	-19.16		Y
	Trade	2,000		07/17/08	07/20/09	49.52	63.74	-14.22		Y
	Trade	27,000		09/14/06	09/25/09	767.05	644.45	122.60		Y
	Trade	5,000		01/22/08	09/25/09	142.05	147.87	-5.82		Y
WASTE CONNECTIONS INC	Trade	5,000		02/21/08	09/25/09	142.05	153.99	-11.94		Y
	Trade	5,000		03/24/08	09/25/09	142.05	158.32	-16.27		Y
	Trade	8,000		05/07/08	09/25/09	227.27	249.05	-21.78		Y
	Trade	6,000		09/24/08	09/25/09	170.45	199.79	-29.34		Y
	Trade									Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WATSON WYATT WORLDWIDE INC*MERGER 01/2010* CL A	Trade	25,000		01/28/08	09/25/09	1,095.47	1,184.09	-88.62		Y
	Trade	5,000		06/27/08	09/25/09	219.09	269.28	-50.19		Y
	Trade	2,000		07/08/08	09/25/09	87.64	102.79	-15.15		Y
	Trade	3,000		08/14/08	09/25/09	131.46	175.22	-43.76		Y
	Trade	1,000		09/23/08	09/25/09	43.82	51.71	-7.89		Y
WENDYS ARBYS GROUP INC	Trade	25,000		11/26/07	03/09/09	97.65	214.12	-116.47		Y
	Trade	1,000		11/26/07	04/30/09	5.36	8.56	-3.20		Y
	Trade	30,000		11/26/07	09/25/09	150.00	256.94	-106.94		Y
	Trade	20,000		01/02/08	09/25/09	100.00	172.50	-72.50		Y
	Trade	40,000		09/23/08	09/25/09	200.00	207.82	-7.82		Y
Total Long-Term Gain/Loss						45,634.44	50,620.11	-4,985.67		



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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Seller" provisions (Sec. 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 1,500,904.26	\$ 1,724,998.96	\$ 336,209.68	\$ -112,114.98	\$ 224,094.70
Long-term Gain/Loss:	4,145,049.11	3,239,655.65	125,187.60	-1,030,581.06	-905,393.46
Sub Total:	\$ 5,645,953.37	\$ 4,964,654.61	\$ 461,397.28	\$ -1,142,696.04	\$ -681,298.76
Total:	\$ 5,645,953.37	\$ 4,964,654.61	\$ 461,397.28	\$ -1,142,696.04	\$ -681,298.76

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AEGON NV ADR N Y SHS NETHERLANDS ADR	Trade Trade	1,892,000 4,350,000		10/24/08 02/20/09	10/01/09 10/01/09	15,873.47 36,495.56	8,342.58 17,869.80	7,530.89 18,625.76		
BARRICK GOLD CORP	Trade Trade	447,000 903,000		04/09/09 08/18/09	10/01/09 10/01/09	17,025.79 34,394.38	12,880.80 30,235.24	4,144.99 4,159.14		Y Y
CARREFOUR SA ADR	Trade	2,388,000		09/25/09	10/01/09	21,348.17	21,632.89	-284.72		Y
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	Trade	1,901,000		10/28/08	10/01/09	26,366.19	17,673.79	8,692.40		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GEMALTO NV *LIQUIDATION EFF: 11/09* ADR	Trade	1,045,000		03/11/09	04/21/09	16,053.60	12,014.99	4,038.61		Y
	Trade	226,000		03/12/09	04/21/09	3,471.88	2,633.74	838.14		Y
HACHIJUNI BANK LTD ADR	Trade	185,000		06/19/08	03/23/09	10,917.93	12,116.80	-1,198.87		Y
IMPALA PLATINUM HLDG LTD SPON ADR	Trade	564,000		03/12/09	10/01/09	12,608.06	7,691.21	4,916.85		Y
KAO CORP REPSTG 10 SHS COM SPON ADR	Trade	342,000		04/23/09	10/01/09	86,012.80	69,059.24	16,953.56		Y
	Trade	106,000		05/18/09	10/01/09	26,658.94	22,027.07	4,631.87		Y
KINROSS GOLD CORP NEW	Trade	2,059,000		07/07/09	10/01/09	44,946.81	38,080.79	6,866.02		Y
	Trade	1,155,000		07/09/09	10/01/09	25,213.00	20,741.49	4,471.51		Y
	Trade	960,000		07/10/09	10/01/09	20,956.26	16,972.61	3,983.65		Y
L M ERICSSON TELEFON CO SEK 10 NEW 2002 ADR	Trade	2,614,000		03/19/08	02/02/09	20,325.04	22,791.47	-2,466.43		Y
LIHIR GOLD LTD SPON ADR	Trade	8,000		07/29/08	05/20/09	183.85	221.84	-37.99		Y
	Trade	811,000		07/29/08	05/29/09	21,056.17	22,488.62	-1,432.45		Y
LONMIN PLC NEW SPON ADR	Trade	305,000		08/04/08	04/03/09	6,826.38	13,640.67	-6,814.29		Y
	Trade	70,000		09/16/08	04/03/09	1,566.71	3,414.68	-1,847.97		Y
	Trade	416,000		09/23/08	04/03/09	9,310.73	19,711.70	-10,400.97		Y
NEWCREST MINING LTD SPON AUSTRALIA ADR	Trade	922,000		08/10/09	10/01/09	26,184.12	23,155.48	3,028.64		Y
NEXEN INC	Trade	1,275,000		01/29/09	10/01/09	28,916.25	19,193.09	9,723.16		Y
NINTENDO LTD ADR NEW JAPAN ADR	Trade	604,000		08/03/09	10/01/09	19,001.35	20,505.62	-1,504.27		Y
	Trade	623,000		08/20/09	10/01/09	19,599.07	20,166.07	-567.00		Y
	Trade	719,000		08/27/09	10/01/09	22,619.16	23,355.64	-736.48		Y
NIPPON TELEG & TEL CORP SPON ADR	Trade	958,000		04/14/09	10/01/09	21,861.00	18,717.21	3,143.79		Y



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NOKIA CORP SPONS ADR	Trade	1,151,000		02/02/09	04/23/09	17,185.59	13,909.14	3,276.45		Y
FINLAND ADR	Trade	2,296,000		02/02/09	10/01/09	33,107.47	27,745.78	5,361.69		Y
	Trade	1,098,000		02/19/09	10/01/09	15,832.75	11,698.64	4,134.11		Y
	Trade	1,066,000		07/20/09	10/01/09	15,371.32	13,973.77	1,397.55		Y
NOVARTIS AG SPON ADR	Trade	1,585,000		02/13/09	10/01/09	79,073.61	67,995.07	11,078.54		Y
	Trade	699,000		04/09/09	10/01/09	34,872.21	25,888.95	9,183.26		Y
	Trade	620,000		05/08/09	10/01/09	30,931.00	23,590.01	7,340.99		Y
PETRO-CANADA COM STOCK**MEREGR EFF: 07/2009**	Trade	600,000		02/12/08	02/02/09	12,947.74	26,565.66	-13,617.92		Y
PROMISE CO LTD UNSPON ADR	Trade	1,585,000		08/28/08	06/15/09	10,511.68	17,948.20	-7,436.52		Y
	Trade	741,000		08/28/08	08/17/09	3,502.53	8,498.16	-4,995.63		Y
ROHM CO LTD ADR	Trade	315,000		01/09/09	07/01/09	11,220.61	8,055.90	3,164.71		Y
	Trade	1,069,000		01/09/09	10/01/09	36,590.06	27,338.93	9,251.13		Y
SANOFI-AVENTIS SA SPON ADR	Trade	742,000		07/01/09	10/01/09	27,208.44	22,405.58	4,802.86		Y
SEVEN & I HLDGS CO LTD ADR	Trade	145,000		12/24/08	10/01/09	6,974.07	9,141.16	-2,167.09		Y
	Trade	299,000		01/07/09	10/01/09	14,381.02	17,646.29	-3,265.27		Y
	Trade	428,000		01/13/09	10/01/09	20,585.54	25,713.51	-5,127.97		Y
	Trade	486,000		02/18/09	10/01/09	23,375.17	24,478.31	-1,103.14		Y
	Trade	943,000		05/13/09	10/01/09	45,355.53	46,047.82	-692.29		Y
	Trade	241,000		08/20/09	10/01/09	11,591.39	11,289.89	301.50		Y
SHISEIDO CO LTD SPONS ADR JAPAN	Trade	1,101,000		12/18/08	10/01/09	18,881.66	23,238.48	-4,356.82		Y
	Trade	800,000		03/31/09	10/01/09	13,719.65	11,829.04	1,890.61		Y
	Trade	534,000		04/01/09	10/01/09	9,157.86	7,785.19	1,372.67		Y
SIEMENS A G SPON ADR	Trade	420,000		10/24/08	10/01/09	38,462.61	21,168.84	17,293.77		Y
SILVER STD RES INC	Trade	500,000		06/10/08	03/23/09	8,063.10	13,636.50	-5,573.40		Y
	Trade	320,000		06/11/08	03/23/09	5,160.38	8,946.34	-3,785.96		Y
	Trade	72,000		06/12/08	03/23/09	1,161.09	2,007.09	-846.00		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SK TELECOM CO LTD ADR	Trade	1,035,000		04/13/09	10/01/09	18,070.63	16,776.94	1,293.69		Y
	Trade	1,006,000		04/14/09	10/01/09	17,564.31	16,162.50	1,401.81		Y
	Trade	2,743,000		05/20/09	10/01/09	47,891.55	43,759.35	4,132.20		Y
	Trade	638,000		08/20/09	10/01/09	11,139.19	10,076.76	1,062.43		Y
SOCIETE GENERALE FRANCE SPONS ADR ADR	Trade	1,964,000		10/20/08	10/01/09	31,128.60	22,236.41	8,892.19		Y
	Trade	2,038,000		01/16/09	10/01/09	32,301.46	17,242.30	15,059.16		Y
STORA ENSO OYJ REPSTG SER R SHS SPON ADR	Trade	478,000		06/02/09	09/15/09	3,448.39	2,984.87	463.52		Y
	Trade	257,000		06/02/09	09/16/09	1,890.67	1,604.84	285.83		Y
	Trade	1,621,000		06/03/09	09/16/09	11,925.23	10,148.92	1,776.31		Y
SUNCOR ENERGY INC NEW	Trade	537,000		01/28/09	08/20/09	17,107.14	10,970.27	6,136.87		Y
	Trade	622,000		01/28/09	10/01/09	21,713.46	12,706.71	9,006.75		Y
SUNCOR INC **MERGER EFF: 07/2009**	Trade	401,000		07/23/08	07/15/09	11,747.91	21,582.50	-9,834.59		Y
TDK CORP ADR JAPAN ADR	Trade	273,000		07/03/08	01/16/09	10,263.92	16,678.80	-6,414.88		Y
	Trade	126,000		07/03/08	07/01/09	5,910.22	7,697.91	-1,787.69		Y
	Trade	31,000		07/29/08	07/01/09	1,454.10	1,891.84	-437.74		Y
	Trade	174,000		10/22/08	08/03/09	9,201.70	6,045.21	3,156.49		Y
	Trade	447,000		10/22/08	08/24/09	25,778.89	15,529.94	10,248.95		Y
	Trade	92,000		10/22/08	10/01/09	5,078.27	3,196.32	1,881.95		Y
	Trade	613,000		12/12/08	10/01/09	33,836.72	20,401.38	13,435.34		Y
TECHNIP SA NEW SPON ADR	Trade	145,000		09/08/08	05/07/09	6,524.52	10,340.37	-3,815.85		Y
	Trade	201,000		09/08/08	07/24/09	11,976.72	14,333.89	-2,357.17		Y
	Trade	322,000		11/20/08	07/24/09	19,186.58	7,734.34	11,452.24		Y
	Trade	676,000		11/20/08	10/01/09	42,661.26	16,237.32	26,423.94		Y
TNT N V SPON ADR	Trade	987,000		03/31/09	10/01/09	26,062.05	16,374.96	9,687.09		Y
UNITED UTILITIES GROUP ADR	Trade	2,052,000		12/17/08	10/01/09	30,120.94	37,328.55	-7,207.61		Y
VODAFONE GROUP PLC NEW SPON ADR	Trade	1,922,000		10/27/08	10/01/09	43,762.81	30,637.83	13,124.98		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WOLTERS KLUWER NV	Trade	1,927,000		02/02/09	10/01/09	41,101.85	34,391.36	6,710.49		Y
SPONSORED ADR	Trade	2,116,000		05/12/09	10/01/09	45,133.12	40,154.49	4,978.63		Y
NETHERLANDS ADR										
Total Short-Term Gain/Loss						1,724,998.96	1,500,904.26	224,094.70		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AEGON NV ADR N Y SHS	Trade	724,000		06/13/06	10/01/09	6,074.20	11,119.84	-5,045.64		Y
NETHERLANDS ADR	Trade	755,000		06/20/06	10/01/09	6,334.29	12,259.61	-5,925.32		Y
ALCATEL-LUCENT SPON ADR	Trade	1,889,000		03/28/07	10/01/09	8,273.61	22,513.29	-14,239.68		Y
	Trade	1,948,000		04/02/07	10/01/09	8,532.02	23,021.46	-14,489.44		Y
	Trade	1,334,000		05/02/07	10/01/09	5,842.77	17,805.97	-11,963.20		Y
	Trade	5,548,000		07/25/07	10/01/09	24,299.61	75,444.48	-51,144.87		Y
	Trade	2,075,000		09/14/07	10/01/09	9,088.27	18,776.88	-9,688.61		Y
	Trade	3,115,000		09/19/07	10/01/09	13,643.35	27,912.89	-14,269.54		Y
ALUMINA LTD SPON ADR	Trade	594,000		04/21/06	10/01/09	3,730.22	13,235.69	-9,505.47		Y
	Trade	1,415,000		05/26/06	10/01/09	8,885.97	29,910.98	-21,025.01		Y
	Trade	1,720,000		06/21/06	10/01/09	10,801.32	32,264.28	-21,462.96		Y
	Trade	835,000		11/20/07	10/01/09	5,243.66	19,634.02	-14,390.36		Y
ANGLOGOLD ASHANTI LTD NEW SPON ADR	Trade	12,000		10/23/08	02/19/09	370.93	478.95	-108.02		Y
	Trade	392,000		10/03/07	02/19/09	12,117.08	17,120.99	-5,003.91		Y
	Trade	433,000		10/03/07	03/11/09	13,720.83	18,911.71	-5,190.88		Y
	Trade	159,000		02/13/08	03/11/09	5,038.36	5,508.01	-469.65		Y
	Trade	421,000		02/13/08	03/13/09	14,378.29	14,584.11	-205.82		Y
	Trade	34,000		03/04/08	03/13/09	1,161.19	1,241.28	-80.09		Y
	Trade	271,000		03/04/08	09/08/09	11,670.64	9,893.75	1,776.89		Y
	Trade	1,274,000		06/23/08	10/01/09	51,506.49	31,359.01	20,147.48		Y
	Trade	291,000		03/04/08	10/01/09	11,764.83	10,623.92	1,140.91		Y
	Trade	893,000		03/19/08	10/01/09	36,103.06	29,926.84	6,176.22		Y
BARRICK GOLD CORP	Trade	406,000		11/14/06	10/01/09	15,464.14	11,850.45	3,613.69		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BARRICK GOLD CORP	Trade	612,000		11/15/06	10/01/09	23,310.48	17,850.33	5,460.15		Y
	Trade	321,000		03/20/08	10/01/09	12,226.58	13,821.71	-1,595.13		Y
	Trade	827,000		04/30/08	10/01/09	31,499.62	31,570.06	-70.44		Y
	Trade	879,000		09/16/08	10/01/09	33,480.25	24,331.69	9,148.56		Y
BP PLC SPON ADR	Trade	743,000		02/23/07	10/01/09	39,348.27	46,886.57	-7,538.30		Y
	Trade	667,000		02/26/07	10/01/09	35,323.41	42,840.54	-7,517.13		Y
	Trade	712,000		06/04/07	10/01/09	37,706.55	48,525.01	-10,818.46		Y
CAMECO CORP CANADA	Trade	682,000		08/11/08	10/01/09	19,020.49	21,709.56	-2,689.07		Y
	Trade	968,000		09/10/08	10/01/09	26,996.82	23,474.00	3,522.82		Y
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 COM (ELETROBRAS)	Trade	235,000		03/05/07	04/23/09	2,968.44	2,345.30	623.14		Y
	Trade	176,000		03/05/07	04/24/09	2,243.18	1,756.48	486.70		Y
	Trade	86,000		06/12/07	04/24/09	1,096.10	1,132.88	-36.78		Y
	Trade	435,000		06/12/07	10/01/09	6,698.83	5,730.26	968.57		Y
	Trade	900,000		08/06/07	10/01/09	13,859.64	11,480.58	2,379.06		Y
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	Trade	225,000		01/23/07	10/01/09	3,120.67	2,434.41	686.26		Y
	Trade	620,000		01/24/07	10/01/09	8,599.18	6,852.61	1,746.57		Y
	Trade	998,000		06/06/07	10/01/09	13,841.90	12,550.25	1,291.65		Y
	Trade	943,000		12/18/07	10/01/09	13,079.07	12,040.98	1,038.09		Y
DAIWA HOUSE IND LTD ADR JAPAN ADR	Trade	63,000		11/08/07	03/19/09	4,993.04	7,716.79	-2,723.75		Y
	Trade	126,000		11/08/07	04/09/09	10,787.32	15,433.59	-4,646.27		Y
	Trade	11,000		11/08/07	06/16/09	1,118.92	1,347.38	-228.46		Y
	Trade	116,000		01/04/08	06/16/09	11,799.55	14,850.33	-3,050.78		Y
	Trade	62,000		01/04/08	10/01/09	6,339.34	7,937.25	-1,597.91		Y
	Trade	154,000		02/13/08	10/01/09	15,746.09	16,162.79	-416.70		Y
	Trade	205,000		03/11/08	10/01/09	20,960.71	19,705.99	1,254.72		Y
	Trade	145,000		09/02/08	10/01/09	14,825.87	13,621.34	1,204.53		Y
FUJI FILM HOLDINGS CORP ADR	Trade	113,000		04/19/06	10/01/09	3,299.52	3,847.57	-548.05		Y
	Trade	1,680,000		05/24/06	10/01/09	49,054.74	54,915.84	-5,861.10		Y
	Trade	1,540,000		06/20/06	10/01/09	44,966.84	50,543.11	-5,576.27		Y
	Trade	541,000		03/04/08	10/01/09	15,796.79	19,824.57	-4,027.78		Y
GOLD FIELDS LTD SPON ADR	Trade	598,000		02/08/07	01/30/09	6,413.27	10,022.24	-3,608.97		Y



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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1095-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOLD FIELDS LTD SPON ADR	Trade	602,000		03/05/07	01/30/09	6,456.17	9,887.55	-3,431.38		Y
	Trade	124,000		05/10/07	01/30/09	1,329.84	2,192.52	-862.68		Y
	Trade	454,000		05/10/07	03/06/09	5,044.91	8,027.45	-2,982.54		Y
	Trade	143,000		05/10/07	03/12/09	1,756.33	2,528.47	-772.14		Y
	Trade	1,408,000		05/22/07	03/12/09	17,293.09	24,434.43	-7,141.34		Y
	Trade	284,000		11/12/07	03/12/09	3,488.10	5,137.93	-1,649.83		Y
	Trade	566,000		11/12/07	03/18/09	6,947.66	10,239.68	-3,292.02		Y
	Trade	197,000		02/01/08	03/18/09	2,418.18	2,871.00	-452.82		Y
	Trade	1,646,000		02/01/08	10/01/09	22,582.54	23,988.15	-1,405.61		Y
	Trade	1,805,000		02/05/08	10/01/09	24,763.96	24,544.03	219.93		Y
	Trade	72,000		02/06/08	10/01/09	987.81	1,001.20	-13.39		Y
	Trade	764,000		04/08/08	10/01/09	10,481.81	10,623.50	-141.69		Y
HACHIJUNI BANK LTD ADR	Trade	2,133,000		05/22/08	10/01/09	29,264.01	30,273.88	-1,009.87		Y
	Trade	173,000		06/19/08	10/01/09	9,428.26	11,330.84	-1,902.58		Y
IMPALA PLATINUM HLDG LTD SPON ADR	Trade	394,000		07/15/08	10/01/09	21,472.44	23,836.33	-2,363.89		Y
	Trade	445,000		09/02/08	09/11/09	10,979.37	11,294.23	-314.86		Y
	Trade	329,000		09/02/08	10/01/09	7,354.70	8,350.12	-995.42		Y
IVANHOE MINES LTD	Trade	851,000		09/05/08	10/01/09	19,023.87	20,904.39	-1,880.52		Y
	Trade	1,209,000		06/20/06	09/17/09	15,006.20	7,262.10	7,744.10		Y
	Trade	1,680,000		06/20/06	10/01/09	21,234.65	10,091.26	11,143.39		Y
	Trade	803,000		01/10/08	10/01/09	10,149.66	8,516.78	1,632.88		Y
	Trade	31,000		01/10/08	10/01/09	391.83	329.59	62.24		Y
KIRIN HOLDINGS LTD SPON ADR	Trade	900,000		03/19/08	10/01/09	11,375.71	9,089.10	2,286.61		Y
	Trade	1,440,000		05/26/06	04/01/09	15,210.92	23,743.58	-8,532.66		Y
	Trade	621,000		05/26/06	04/23/09	6,986.13	10,239.42	-3,253.29		Y
	Trade	3,155,000		06/21/06	04/23/09	35,493.15	46,702.20	-11,209.05		Y
KOREA ELECTRIC POWER CRP SPONSORED ADR SHS	Trade	1,601,000		11/15/06	04/23/09	18,010.95	21,228.14	-3,217.19		Y
	Trade	1,035,000		04/19/06	10/01/09	15,617.75	24,105.36	-8,487.61		Y
	Trade	1,250,000		05/24/06	10/01/09	18,862.01	24,925.00	-6,062.99		Y
	Trade	1,460,000		06/20/06	10/01/09	22,030.83	26,921.23	-4,890.40		Y
	Trade	475,000		10/11/08	10/01/09	7,167.57	9,234.71	-2,067.14		Y
KOREA ELECTRIC POWER CRP SPONSORED ADR SHS	Trade	1,308,000		03/17/08	10/01/09	19,737.21	18,313.57	1,423.64		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
KT CORP SPON ADR	Trade	1,070,000		04/19/06	04/13/09	15,547.88	24,545.80	-8,997.92		Y
	Trade	794,000		04/19/06	04/14/09	11,467.52	18,214.36	-6,746.84		Y
	Trade	552,000		05/24/06	04/14/09	7,972.39	12,014.89	-4,042.50		Y
	Trade	2,003,000		05/24/06	05/20/09	28,942.20	43,597.50	-14,655.30		Y
	Trade	111,000		06/20/06	05/20/09	1,603.89	2,271.17	-667.28		Y
	Trade	1,714,000		06/20/06	10/01/09	29,548.60	35,070.15	-5,521.55		Y
	Trade	268,000		01/23/08	10/01/09	4,620.20	6,445.40	-1,825.20		Y
	Trade	573,000		05/16/08	10/01/09	9,878.26	13,088.38	-3,211.12		Y
L M ERICSSON TELEFON CO SEK 10 NEW 2002 ADR	Trade	54,000		11/20/07	02/02/09	419.87	683.98	-264.11		Y
	Trade	2,424,000		11/21/07	02/02/09	18,847.70	28,803.06	-9,955.36		Y
LIHIR GOLD LTD SPON ADR	Trade	629,000		06/21/06	05/20/09	14,455.56	12,848.71	1,606.85		Y
	Trade	207,000		04/29/08	05/20/09	4,757.24	5,788.49	-1,031.25		Y
LONMIN PLC NEW SPON ADR	Trade	313,000		06/21/06	03/11/09	5,527.17	15,082.47	-9,555.30		Y
	Trade	125,000		09/26/07	03/11/09	2,207.33	8,254.30	-6,046.97		Y
	Trade	23,000		09/26/07	04/03/09	514.78	1,518.79	-1,004.01		Y
MAGNA INTL INC CL A SUB VTG CANADA ORD	Trade	337,000		04/22/08	10/01/09	14,288.43	24,234.24	-9,945.81		Y
	Trade	374,000		06/05/08	10/01/09	15,857.19	26,000.33	-10,143.14		Y
	Trade	338,000		06/23/08	10/01/09	14,330.83	22,057.10	-7,726.27		Y
	Trade	633,000		07/15/08	10/01/09	26,838.51	34,837.91	-7,999.40		Y
MITSUI SUMITOMO UNSPONS ADR	Trade	1,170,000		11/09/07	10/01/09	15,958.39	21,216.88	-5,258.49		Y
	Trade	210,000		11/12/07	10/01/09	2,864.33	3,754.01	-889.68		Y
	Trade	1,218,000		11/21/07	10/01/09	16,613.09	22,449.57	-5,836.48		Y
	Trade	1,464,000		12/05/07	10/01/09	19,968.44	26,459.87	-6,491.43		Y
NEWCREST MINING LTD SPON AUSTRALIA ADR	Trade	233,000		06/27/06	01/27/09	5,126.64	3,450.80	1,675.84		Y
	Trade	263,000		09/12/06	01/27/09	5,786.73	4,048.91	1,737.82		Y
	Trade	817,000		09/12/06	10/01/09	23,202.20	12,577.80	10,624.40		Y
	Trade	729,000		08/13/08	10/01/09	20,703.07	15,404.79	5,298.28		Y
NEWMONT MINING CORP (HOLDING CO)	Trade	560,000		05/23/07	02/06/09	23,011.56	22,094.02	917.54		Y
	Trade	231,000		05/23/07	02/27/09	9,775.05	9,113.78	661.27		Y
	Trade	69,000		05/31/07	02/27/09	2,919.82	2,775.32	144.50		Y
	Trade	258,000		05/31/07	07/07/09	10,220.09	10,377.30	-157.21		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NEWMONT MINING CORP (HOLDING CO)	Trade	857,000		05/31/07	07/10/09	32,273.18	34,470.34	-2,197.16		Y
	Trade	74,000		06/05/07	07/10/09	2,786.72	3,054.08	-267.36		Y
	Trade	975,000		06/05/07	10/01/09	43,045.14	40,239.52	2,805.62		Y
NEXEN INC	Trade	99,000		09/24/07	06/02/09	2,528.90	2,995.20	-466.30		Y
	Trade	775,000		10/02/07	06/02/09	19,796.94	23,587.44	-3,790.50		Y
	Trade	23,000		10/02/07	10/01/09	521.63	700.01	-178.38		Y
	Trade	817,000		10/10/07	10/01/09	18,529.08	25,118.26	-6,589.18		Y
	Trade	531,000		08/07/08	10/01/09	12,042.77	16,020.00	-3,977.23		Y
NIPPON TELEG & TEL CORP SPON ADR	Trade	880,000		06/20/06	10/01/09	20,081.08	20,540.26	-459.18		Y
	Trade	503,000		05/15/07	10/01/09	11,478.16	12,215.25	-737.09		Y
	Trade	1,505,000		05/31/07	10/01/09	34,343.22	35,171.40	-828.18		Y
	Trade	1,277,000		06/18/07	10/01/09	29,140.39	29,015.99	124.40		Y
	Trade	1,134,000		10/01/07	10/01/09	25,877.21	26,507.14	-629.93		Y
NOVAGOLD RESOURCES INC NEW	Trade	689,000		01/11/07	03/20/09	1,676.26	10,913.42	-9,237.16		Y
	Trade	370,000		02/12/07	03/20/09	900.17	6,091.83	-5,191.66		Y
	Trade	240,000		02/16/07	03/20/09	583.89	3,959.45	-3,375.56		Y
	Trade	1,069,000		03/10/08	03/20/09	2,600.75	10,877.18	-8,276.43		Y
PANASONIC CORP SPON ADR	Trade	1,272,000		07/27/07	10/01/09	18,214.57	23,054.49	-4,839.92		Y
	Trade	1,288,000		08/17/07	10/01/09	18,443.68	22,788.58	-4,344.90		Y
	Trade	1,496,000		09/12/07	10/01/09	21,422.17	26,179.70	-4,757.53		Y
PETRO-CANADA COM STOCK**MERGR EFF: 07/2009**	Trade	226,000		12/05/07	02/02/09	4,876.98	10,986.76	-6,109.78		Y
	Trade	460,000		01/17/08	02/02/09	9,926.60	22,219.33	-12,292.73		Y
PROMISE CO LTD UNSPON ADR	Trade	271,000		05/26/06	03/16/09	1,771.84	8,366.61	-6,594.77		Y
	Trade	1,110,000		06/21/06	03/16/09	7,257.35	31,585.83	-24,328.48		Y
	Trade	5,000		06/21/06	05/08/09	33.13	142.28	-109.15		Y
	Trade	495,000		07/10/06	05/08/09	3,279.83	12,512.61	-9,232.78		Y
	Trade	740,000		09/21/06	05/08/09	4,903.18	14,894.79	-9,991.61		Y
	Trade	160,000		09/22/06	05/08/09	1,060.15	3,150.14	-2,089.99		Y
	Trade	426,000		11/09/06	05/08/09	2,822.64	7,385.78	-4,563.14		Y
	Trade	520,000		11/09/06	06/15/09	3,492.70	9,015.50	-5,522.80		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ROYAL DUTCH SHELL PLC ADS REPTG 2 CL B ORD SHS SPON ADR	Trade	263.000		04/19/06	10/01/09	14,677.65	18,988.31	-4,310.66		Y
	Trade	620.000		05/24/06	10/01/09	34,601.31	41,795.44	-7,194.13		Y
	Trade	615.000		06/20/06	10/01/09	34,322.27	40,257.90	-5,935.63		Y
	Trade	256.000		02/27/07	10/01/09	14,286.99	17,210.62	-2,923.63		Y
	Trade	277.000		02/14/08	10/01/09	15,458.97	19,484.71	-4,025.74		Y
SANOFI-AVENTIS SA SPON ADR	Trade	693.000		09/25/07	01/20/09	21,611.56	29,506.28	-7,894.72		Y
	Trade	167.000		09/25/07	10/01/09	6,123.73	7,110.46	-986.73		Y
	Trade	869.000		10/03/07	10/01/09	31,865.41	36,933.20	-5,067.79		Y
	Trade	417.000		04/08/08	10/01/09	15,291.00	16,007.38	-716.38		Y
	Trade	368.000		04/14/08	10/01/09	13,494.21	14,031.14	-536.93		Y
	Trade	878.000		05/21/08	10/01/09	32,195.43	32,783.64	-588.21		Y
	Trade	473.000		08/01/08	10/01/09	17,344.46	16,757.78	586.68		Y
SEGA SAMMY HLDG INC SPON ADR	Trade	2,520.000		12/21/06	10/01/09	7,983.41	16,489.12	-8,505.71		Y
	Trade	935.000		12/22/06	10/01/09	2,962.10	6,087.41	-3,125.31		Y
	Trade	3,529.000		03/05/07	10/01/09	11,179.94	21,853.69	-10,673.75		Y
	Trade	2,482.000		05/15/07	10/01/09	7,863.02	11,913.60	-4,050.58		Y
	Trade	6,169.000		01/07/08	10/01/09	19,543.50	19,945.61	-402.11		Y
SEKISUI HOUSE LTD SPON ADR	Trade	55.000		05/26/06	02/03/09	470.32	784.53	-314.21		Y
	Trade	920.000		06/21/06	02/03/09	7,867.25	12,262.31	-4,395.06		Y
	Trade	1,055.000		06/21/06	04/09/09	8,763.97	14,061.67	-5,297.70		Y
	Trade	52.000		09/06/07	04/09/09	431.97	630.17	-198.20		Y
	Trade	443.000		09/06/07	05/20/09	4,191.24	5,368.58	-1,177.34		Y
	Trade	713.000		09/13/07	05/20/09	6,745.73	8,349.87	-1,604.14		Y
	Trade	615.000		09/13/07	10/01/09	5,249.75	7,202.20	-1,952.45		Y
	Trade	985.000		10/04/07	10/01/09	8,408.14	12,115.11	-3,706.97		Y
	Trade	1,150.000		10/09/07	10/01/09	9,816.61	13,920.29	-4,103.68		Y
	Trade	3,111.000		03/07/08	10/01/09	26,556.06	27,784.03	-1,227.97		Y
SHISEIDO CO LTD SPONS ADR JAPAN	Trade	50.000		04/21/06	10/01/09	857.48	1,044.03	-186.55		Y
	Trade	1,635.000		05/26/06	10/01/09	28,039.53	30,110.16	-2,070.63		Y
	Trade	1,465.000		06/21/06	10/01/09	25,124.10	26,133.70	-1,009.60		Y
SIEMENS A G SPON ADR	Trade	663.000		09/29/08	10/01/09	60,715.98	61,938.59	-1,222.61		Y
SK TELECOM CO LTD ADR	Trade	1,028.000		02/14/08	10/01/09	17,948.42	23,245.34	-5,296.92		Y

Continued on page 25

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Account Number Y7 29942 38
Your Financial Advisor or Contact
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UBS Financial Services Inc.

2009 Informational Tax Statement

C32G014797-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SK TELECOM CO LTD ADR	Trade	630,000		04/23/08	10/01/09	10,999.52	13,834.80	-2,835.28		Y
	Trade	532,000		04/29/08	10/01/09	9,288.48	11,822.16	-2,533.68		Y
STORA ENSO OYJ REPSTG	Trade	1,220,000		04/21/06	08/06/09	7,783.76	19,263.07	-11,479.31		Y
SER R SHS SPON ADR	Trade	930,000		05/26/06	08/06/09	5,933.53	13,319.74	-7,386.21		Y
	Trade	2,185,000		05/26/06	08/07/09	13,760.77	31,294.23	-17,533.46		Y
	Trade	1,656,000		06/21/06	08/07/09	10,429.22	22,185.43	-11,756.21		Y
	Trade	1,414,000		06/21/06	08/19/09	9,325.09	18,943.36	-9,618.27		Y
	Trade	2,088,000		06/06/08	08/19/09	13,770.00	25,820.83	-12,050.83		Y
	Trade	692,000		06/06/08	09/15/09	4,992.24	8,557.48	-3,565.24		Y
SUMITOMO TRUST & BANKING SPON ADR	Trade	3,720,000		01/17/08	10/01/09	19,041.82	23,904.72	-4,862.90		Y
	Trade	3,572,000		03/07/08	10/01/09	18,284.24	23,025.47	-4,741.23		Y
	Trade	1,382,000		09/17/08	10/01/09	7,074.14	8,305.27	-1,231.13		Y
SUNCOR ENERGY INC NEW	Trade	125,000		07/23/08	08/20/09	3,982.11	6,727.71	-2,745.60		Y
SUNCOR INC ***MERGER EFF: 07/2009**	Trade	26,000		05/24/06	03/12/09	628.13	981.76	-353.63		Y
	Trade	670,000		06/20/08	03/12/09	16,186.50	22,857.05	-6,670.55		Y
	Trade	17,000		01/17/08	03/12/09	410.70	774.75	-364.05		Y
	Trade	157,000		01/17/08	07/15/09	4,599.55	7,155.00	-2,555.45		Y
SWISSCOM AG SPON ADR	Trade	586,000		04/21/06	10/01/09	21,031.00	19,800.53	1,230.47		Y
	Trade	1,240,000		05/26/06	10/01/09	44,502.45	40,468.52	4,033.93		Y
	Trade	1,215,000		06/21/06	10/01/09	43,605.23	38,971.00	4,634.23		Y
TDK CORP ADR JAPAN ADR	Trade	308,000		07/29/08	08/03/09	16,288.07	18,796.32	-2,508.25		Y
TECHNIP SA NEW SPON ADR	Trade	386,000		08/07/06	02/09/09	14,458.24	20,843.42	-6,385.18		Y
	Trade	70,000		01/30/08	02/09/09	2,621.96	4,566.71	-1,944.75		Y
	Trade	65,000		01/30/08	05/07/09	2,924.79	4,240.52	-1,315.73		Y
TELECOM ITALIA SPA NEW REPSTG 10 SAVINGS SHS SPON ADR	Trade	2,105,000		04/21/06	10/01/09	25,196.20	55,740.40	-30,544.20		Y
	Trade	2,305,000		05/26/06	10/01/09	27,590.14	57,269.34	-29,679.20		Y
	Trade	1,895,000		06/21/06	10/01/09	22,682.57	48,162.56	-25,479.99		Y
	Trade	2,532,000		05/06/08	10/01/09	30,307.26	41,488.59	-11,181.33		Y

Account Number Y7 29942 38
Your Financial Advisor or Contact
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650-496-7320/888-771-8949

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UBS Financial Services Inc.

2009 Informational Tax Statement

C32G014788-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TOMKINS PLC SPONSORED ADR UNTD KINGDOM ADR	Trade	472.000		04/21/06	07/02/09	4,550.43	11,305.58	-6,755.15		Y
	Trade	725.000		05/26/06	07/02/09	6,989.54	15,591.13	-8,601.59		Y
	Trade	666.000		06/21/06	07/02/09	6,420.74	13,808.71	-7,387.97		Y
	Trade	324.000		06/21/06	10/01/09	3,845.78	6,717.75	-2,871.97		Y
	Trade	1,645.000		09/08/06	10/01/09	19,525.64	30,338.41	-10,812.77		Y
TOPPAN PRINTING LTD ADR	Trade	625.000		04/21/06	10/01/09	29,061.75	41,104.56	-12,042.81		Y
	Trade	590.000		05/26/06	10/01/09	27,434.29	37,988.33	-10,554.04		Y
	Trade	1,298.000		12/06/06	10/01/09	60,355.45	69,777.62	-9,422.17		Y
UNITED UTILITIES GROUP ADR	Trade	709.000		04/21/06	10/01/09	10,407.29	22,761.81	-12,354.52		Y
	Trade	1,205.000		06/21/06	10/01/09	17,687.98	37,356.09	-19,668.11		Y
VODAFONE GROUP PLC NEW SPON ADR	Trade	178.000		05/26/06	10/01/09	4,052.96	4,535.37	-482.41		Y
	Trade	1,655.000		06/20/06	10/01/09	37,683.38	39,625.21	-1,941.83		Y
	Trade	825.000		08/03/06	10/01/09	18,784.77	18,050.51	734.26		Y
	Trade	1,036.000		09/24/06	10/01/09	23,589.11	23,166.20	422.91		Y
WACOAL HOLDINGS CORP ADR	Trade	203.000		04/24/06	10/01/09	12,758.22	13,656.32	-898.10		Y
	Trade	180.000		07/27/06	10/01/09	11,312.71	11,443.34	-130.63		Y
	Trade	255.000		07/28/06	10/01/09	16,026.34	16,410.78	-384.44		Y
	Trade	122.000		05/10/07	10/01/09	7,667.50	7,707.53	-40.03		Y
	Trade	145.000		06/01/07	10/01/09	9,113.02	9,277.61	-164.59		Y
	Trade	323.000		11/07/07	10/01/09	20,300.03	19,530.23	769.80		Y
Total Long-Term Gain/Loss						3,239,655.65	4,145,049.11	-905,393.46		

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UBS Financial Services Inc.

2009 Informational Tax Statement

C32L032353-X

Other Income Details - continued

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.
* Applicable to Tax-exempt Original Issue Discount Information only

Activity	Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	Tax year	acquisition premium	* Amortized	Amount
Loan Interest	LATE PAYMENT INTEREST				02/22/09	2009			781.26
					02/22/09	2009			-781.26
	Sub Total: LATE PAYMENT INTEREST								\$ 0.00
	Total Loan Interest								\$ 0

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchaser" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Long-term Gain/Loss:	\$ 250,658.00	\$ 365,017.68	\$ 114,359.68	\$	\$ 114,359.68
Sub Total:	\$ 250,658.00	\$ 365,017.68	\$ 114,359.68	\$	\$ 114,359.68
Total:	\$ 250,658.00	\$ 365,017.68	\$ 114,359.68	\$	\$ 114,359.68

Account Number Y7 29943 38
Your Financial Advisor or Contact
JUANG/GANNON/ANDERSON
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Page 4 of 4 UBS Financial Services Inc.

2009 Informational Tax Statement

C32L032354-X

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FORTESCUE METALS GROUP LTD	Trade	250,000.000		12/20/06	01/08/09	365,017.68	250,658.00	114,359.68	1	Y
Total Long-Term Gain/Loss						365,017.68	250,658.00	114,359.68		

2009 Informational Tax Statement

C32L032358-X

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 4,416,725.17	\$ 4,006,602.47	\$ 454,514.95	\$ -864,637.65	\$ -410,122.70
Sub Total:	\$ 4,416,725.17	\$ 4,006,602.47	\$ 454,514.95	\$ -864,637.65	\$ -410,122.70
Total:	\$ 4,416,725.17	\$ 4,006,602.47	\$ 454,514.95	\$ -864,637.65	\$ -410,122.70

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ISHARES TRUST RUSSELL 1000 GROWTH INDEX FUND	Trade	9,005,000		08/14/09	09/21/09	420,400.38	394,287.35	26,113.03		Y
POWERSHARES QQQ TRUST SER 1	Trade	10,100,000		08/14/09	09/21/09	429,385.21	397,488.70	31,896.51		
PROSHARES TRUST	Trade	19,400,000		11/05/08	08/14/09	591,545.57	659,317.71	-67,772.04		Y
PROSHARES ULTRA S&P 500	Trade	20,600,000		11/13/08	08/14/09	628,136.13	521,506.14	106,629.99		Y
	Trade	29,400,000		11/13/08	08/27/09	938,420.13	744,285.45	194,134.68		Y
	Trade	8,530,000		07/09/09	08/27/09	272,269.52	206,433.60	65,835.92		Y
RYDEX 2X S&P 500 ETF	Trade	10,000,000		09/10/08	04/03/09	184,721.39	486,775.39	-302,054.00		Y
	Trade	8,000,000		09/26/08	04/03/09	147,777.11	361,375.25	-213,598.14		Y



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UBS Financial Services Inc.

2009 Informational Tax Statement

C32L032359-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
RYDEX 2X S&P 500 ETF	Trade	2,000.000		09/29/08	04/03/09	36,944.28	87,433.21	-50,488.93		Y
	Trade	10,000.000		09/29/08	07/09/09	206,441.50	437,166.04	-230,724.54		Y
TECHNOLOGY SECTOR SPDR	Trade	7,240.000		04/03/09	09/21/09	150,561.15	120,656.33	29,904.82		Y
TRUST SHS										
Total Short-Term Gain/Loss						4,006,502.47	4,416,725.17	-410,122.70		

2009 Tax Information Statement

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Ref: MSC

Recipient's Name and Address
SEMINANI FOUNDATION
LARRY SHELTON
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

Account Number: 26-32562
Recipient's Tax ID number: XX-XXX9794

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date		Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax	
			Acquired	Date of Sale				Withheld	
Short Term Sales									
74793.0100	693390700	PIMCO FUNDS TOTAL RETURN FUND INSTL CLASS	05/06/2009	12/16/2009	1,900,000.00	1,796,872.17	103,127.83		0.00

Total Short Term Sales

1,900,000.00

1,796,872.17

103,127.83

0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 5 of 8
Ref: MSC

Recipient's Name and Address
SEMINANI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

Account Number: 26-47530
Recipient's Tax ID number: XX-XXX9794

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
1100.0000	594918104	MICROSOFT CORP COM	10/08/2009	10/23/2009	31,579.08	28,819.65	2,759.43	0.00
100.0000	26875P101	EOG RES INC	10/16/2009	11/25/2009	8,955.72	9,300.50	-344.78	0.00
145.0000	26875P101	EOG RES INC	10/08/2009	12/01/2009	12,857.44	12,890.50	-33.06	0.00
155.0000	26875P101	EOG RES INC	10/08/2009	12/04/2009	13,747.33	13,779.50	-32.17	0.00
700.0000	594918104	MICROSOFT CORP COM	10/08/2009	12/16/2009	21,170.39	18,070.71	3,099.68	0.00
50.0000	655044105	NOBLE ENERGY INC COM	10/16/2009	12/18/2009	3,577.14	3,671.25	-94.11	0.00
200.0000	755111507	RAYTHEON CO NEW	10/08/2009	12/28/2009	10,529.17	9,116.58	1,412.59	0.00
1000.0000	20030N200	COMCAST CORP	10/12/2009	12/30/2009	16,244.38	14,474.40	1,769.98	0.00
Total Short Term Sales					118,660.65	110,123.09	8,537.56	0.00

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2009 Tax Information Statement

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Ref: MSC

Account Number: 26-47536
Recipient's Tax ID number: XX-XXX9794
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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
400.0000	22160K105	COSTCO WHSL CORP NEW	09/30/2009	11/02/2009	22,978.04	22,599.60	378.44	0.00
3400	25490A101	DIRECTV COM CL A COM CL A	09/30/2009	12/22/2009	11.31	9.17	2.14	0.00
Total Short Term Sales						22,989.35	22,608.77	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

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Ref: MSC

Account Number: 26-47537
Recipient's Tax ID number: XX-XXX9794
Recipient's Name and Address
SEMNANI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
719.0000	039380100	ARCH COAL INC	10/08/2009	10/19/2009	18,088.78	16,882.33	1,206.45	0.00
173.0000	20854P109	CONSOL ENERGY INC COM	10/08/2009	10/19/2009	8,879.15	8,704.26	174.89	0.00
13000.0000	369300AK4	GENERAL CABLE CORP DEL NEW SR NT CONV 1% DUE 10-15-2012 REG	10/27/2009	10/28/2009	12,302.93	11,212.99	1,089.94	0.00
125.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	10/14/2009	11/09/2009	6,348.84	5,668.75	680.09	0.00
.2500	226406106	CRESUD SPONS ADR EACH CNV INTO 1 ORD ARS 1'B	10/08/2009	12/14/2009	2.71	3.14	-0.43	0.00
360.0000	46579N103	IVANHOE MINES LTD COM	10/08/2009	12/14/2009	5,093.25	4,609.80	483.45	0.00
342.0000	807066105	SCHOLASTIC CORP	10/08/2009	12/18/2009	9,667.06	8,731.94	935.12	0.00
725.0000	807066105	SCHOLASTIC CORP	10/08/2009	12/22/2009	20,970.01	18,269.58	2,700.43	0.00
Total Short Term Sales					81,352.73	74,082.79	7,269.94	0.00

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2009 Tax Information Statement

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Account Number: 26-47538
Recipient's Tax ID number: XX-XXX9794
Recipient's Name and Address
SEMNANI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
119.0000	077454106	BELDEN INC COM	10/02/2009	10/07/2009	2,883.66	2,706.06	177.60	0.00
26.0000	077454106	BELDEN INC COM	10/02/2009	10/07/2009	632.33	591.24	41.09	0.00
31.0000	077454106	BELDEN INC COM	10/02/2009	10/07/2009	748.63	704.94	43.69	0.00
64.0000	077454106	BELDEN INC COM	10/02/2009	10/07/2009	1,550.58	1,455.36	95.22	0.00
103.0000	13054D109	CALIFORNIA PIZZA KITCHEN INC	10/02/2009	10/07/2009	1,451.13	1,507.88	-56.75	0.00
31.0000	891777104	TOWER GROUP INC COM	10/02/2009	10/07/2009	741.13	745.55	-4.42	0.00
130.0000	891777104	TOWER GROUP INC COM	10/02/2009	10/07/2009	3,130.96	3,126.50	4.46	0.00
49.0000	891777104	TOWER GROUP INC COM	10/02/2009	10/07/2009	1,173.51	1,178.45	-4.94	0.00
20.0000	G0464B107	ARGO GROUP INTERNATIONAL HOLDINGS COM STK	10/02/2009	10/07/2009	685.28	675.80	9.48	0.00
101.0000	G0464B107	ARGO GROUP INTERNATIONAL HOLDINGS COM STK	10/02/2009	10/07/2009	3,450.40	3,412.79	37.61	0.00
176.0000	13054D109	CALIFORNIA PIZZA KITCHEN INC	10/02/2009	10/08/2009	2,632.01	2,576.57	55.44	0.00
115.0000	13054D109	CALIFORNIA PIZZA KITCHEN INC	10/02/2009	10/08/2009	1,751.93	1,883.55	-68.38	0.00
86.0000	13054D109	CALIFORNIA PIZZA KITCHEN INC	10/02/2009	10/08/2009	1,288.52	1,259.01	29.51	0.00
29.0000	G0464B107	ARGO GROUP INTERNATIONAL HOLDINGS COM STK	10/02/2009	10/08/2009	992.61	979.91	12.70	0.00
96.0000	278856109	ECLIPSYS CORP	10/02/2009	10/12/2009	1,978.50	1,828.80	149.70	0.00

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2009 Tax Information Statement

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Account Number: 26-47538
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Recipient's Name and Address
SEMNANI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
24.0000	278856109	ECLIPSYS CORP	10/02/2009	10/13/2009	494.20	457.20	37.00	0.00
100.0000	382410405	GOODRICH PETE CORP NEW	10/02/2009	10/14/2009	2,927.09	2,519.00	408.09	0.00
31.0000	205944101	CON-WAY INC COM STK	10/02/2009	10/15/2009	1,157.82	1,137.32	20.50	0.00
157.0000	205944101	CON-WAY INC COM STK	10/02/2009	10/15/2009	5,905.02	5,760.00	145.02	0.00
229.0000	205944101	CON-WAY INC COM STK	10/02/2009	10/15/2009	8,694.72	8,401.53	293.19	0.00
63.0000	205944101	CON-WAY INC COM STK	10/02/2009	10/15/2009	2,377.63	2,311.34	66.29	0.00
58.0000	679580100	OLD DOMINION FGHT LINE INC COM	10/02/2009	10/15/2009	1,739.09	1,728.98	10.11	0.00
354.0000	679580100	OLD DOMINION FGHT LINE INC COM	10/02/2009	10/15/2009	10,626.80	10,552.74	74.06	0.00
78.0000	679580100	OLD DOMINION FGHT LINE INC COM	10/02/2009	10/15/2009	2,340.20	2,325.18	15.02	0.00
300.0000	742962103	PRIVATEBANCORP INC COM	10/02/2009	10/19/2009	6,479.35	7,014.00	-534.65	0.00
117.0000	278856109	ECLIPSYS CORP	10/02/2009	10/21/2009	2,370.16	2,228.85	141.31	0.00
142.0000	73172K104	POLYCOM INC	10/02/2009	10/21/2009	3,114.42	3,689.59	-575.17	0.00
96.0000	73172K104	POLYCOM INC	10/02/2009	10/21/2009	2,140.74	2,494.37	-353.63	0.00
64.0000	73172K104	POLYCOM INC	10/02/2009	10/21/2009	1,374.64	1,662.91	-288.27	0.00
128.0000	73172K104	POLYCOM INC	10/02/2009	10/21/2009	2,836.40	3,325.82	-489.42	0.00
120.0000	382410405	GOODRICH PETE CORP NEW	10/02/2009	10/30/2009	3,100.49	3,022.80	77.69	0.00
11.0000	091935502	BLACKBOARD INC COM	10/02/2009	11/10/2009	454.12	395.34	58.78	0.00
59.0000	091935502	BLACKBOARD INC COM	10/02/2009	11/10/2009	2,438.84	2,120.46	318.38	0.00
114.0000	369300108	GENERAL CABLE CORP DEL NEW COM	10/02/2009	11/10/2009	3,727.69	4,188.36	-460.67	0.00
56.0000	369300108	GENERAL CABLE CORP DEL NEW COM	10/02/2009	11/10/2009	1,852.55	2,057.44	-204.89	0.00

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Recipient's Name and Address
SEM NANI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
61.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW	10/02/2009	11/10/2009	1,286.15	1,344.44	-58.29	0.00
40.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW	10/02/2009	11/10/2009	859.92	881.60	-21.68	0.00
42.0000	532791100	LINCARE HOLDINGS INC	10/02/2009	11/10/2009	1,410.64	1,283.52	127.12	0.00
275.0000	71714F104	PHARMERICA CORP COM STK	10/02/2009	11/10/2009	4,078.14	4,826.25	-748.11	0.00
32.0000	727493108	PLANTRONICS INC NEW	10/02/2009	11/10/2009	824.40	810.56	13.84	0.00
12.0000	83568G104	SONOSITE INC	10/02/2009	11/10/2009	299.36	308.40	-9.04	0.00
63.0000	891777104	TOWER GROUP INC COM	10/02/2009	11/10/2009	1,515.52	1,515.15	0.37	0.00
17.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW	10/02/2009	11/11/2009	368.05	374.68	-6.63	0.00
18.0000	532791100	LINCARE HOLDINGS INC	10/02/2009	11/11/2009	608.66	550.08	58.58	0.00
33.0000	727493108	PLANTRONICS INC NEW	10/02/2009	11/11/2009	853.54	835.89	17.65	0.00
35.0000	727493108	PLANTRONICS INC NEW	10/02/2009	11/12/2009	908.47	886.55	21.92	0.00
42.0000	88162G103	TETRA TECH INC NEW	10/02/2009	11/12/2009	1,078.35	1,103.34	-24.99	0.00
125.0000	88162G103	TETRA TECH INC NEW	10/02/2009	11/12/2009	3,177.99	3,283.75	-105.76	0.00
103.0000	88162G103	TETRA TECH INC NEW	10/02/2009	11/12/2009	2,576.89	2,705.81	-128.92	0.00
63.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW	10/02/2009	11/16/2009	1,336.01	1,388.52	-52.51	0.00
12.0000	71714F104	PHARMERICA CORP COM STK	10/02/2009	11/16/2009	184.25	210.60	-26.35	0.00
58.0000	83568G104	SONOSITE INC	10/02/2009	11/16/2009	1,449.58	1,490.60	-41.02	0.00
60.0000	091935502	BLACKBOARD INC COM	10/02/2009	11/17/2009	2,503.08	2,156.40	346.68	0.00

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Recipient's Name and Address
SEMNAI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
354.0000	320517105	FIRST HORIZON NATIONAL CORP COM	10/02/2009	11/17/2009	4,322.04	4,560.72	-238.68	0.00
94.0000	320517105	FIRST HORIZON NATIONAL CORP COM	10/02/2009	11/17/2009	1,158.48	1,211.04	-52.56	0.00
118.0000	320517105	FIRST HORIZON NATIONAL CORP COM	10/02/2009	11/17/2009	1,451.86	1,520.24	-68.38	0.00
118.0000	320517105	FIRST HORIZON NATIONAL CORP COM	10/02/2009	11/17/2009	1,436.28	1,520.24	-83.96	0.00
236.0000	320517105	FIRST HORIZON NATIONAL CORP COM	10/02/2009	11/17/2009	2,887.78	3,040.49	-152.71	0.00
67.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW NEW	10/02/2009	11/17/2009	1,409.54	1,476.68	-67.14	0.00
69.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW NEW	10/02/2009	11/17/2009	1,444.42	1,520.76	-76.34	0.00
55.0000	71714F104	PHARMERICA CORP COM STK	10/02/2009	11/17/2009	827.58	965.25	-137.67	0.00
104.0000	71714F104	PHARMERICA CORP COM STK	10/02/2009	11/17/2009	1,565.07	1,825.20	-260.13	0.00
90.0000	727493108	PLANTRONICS INC NEW	10/02/2009	11/17/2009	2,389.88	2,279.70	110.18	0.00
32.0000	891777104	TOWER GROUP INC COM	10/02/2009	11/17/2009	790.85	769.60	21.25	0.00
78.0000	891777104	TOWER GROUP INC COM	10/02/2009	11/17/2009	1,925.98	1,875.90	50.08	0.00
60.0000	390607109	GREAT LAKES DREDGE & DOCK CORP NEW COM COM	10/02/2009	11/18/2009	394.80	396.60	-1.80	0.00
59.0000	390607109	GREAT LAKES DREDGE & DOCK CORP NEW COM COM	10/02/2009	11/18/2009	387.03	389.99	-2.96	0.00
23.0000	71714F104	PHARMERICA CORP COM STK	10/02/2009	11/18/2009	346.37	403.65	-57.28	0.00
31.0000	390607109	GREAT LAKES DREDGE & DOCK CORP NEW COM COM	10/02/2009	11/19/2009	195.35	204.91	-9.56	0.00

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Account Number: 26-47538
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Recipient's Name and Address
SEMNANI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withholding
251.0000	390607109	GREAT LAKES DREDGE & DOCK CORP NEW COM	10/02/2009	11/19/2009	1,563.20	1,659.11	-95.91	0.00
91.0000	71714F104	PHARMERICA CORP COM STK	10/02/2009	11/19/2009	1,374.60	1,597.05	-222.45	0.00
173.0000	390607109	GREAT LAKES DREDGE & DOCK CORP NEW COM	10/02/2009	11/20/2009	1,079.07	1,143.53	-64.46	0.00
49.0000	390607109	GREAT LAKES DREDGE & DOCK CORP NEW COM	10/02/2009	11/23/2009	307.53	323.89	-16.36	0.00
11.0000	390607109	GREAT LAKES DREDGE & DOCK CORP NEW COM	10/02/2009	11/24/2009	67.68	72.71	-5.03	0.00
58.0000	091935502	BLACKBOARD INC COM	10/02/2009	11/25/2009	2,462.08	2,084.52	377.56	0.00
12.0000	091935502	BLACKBOARD INC COM	10/02/2009	11/25/2009	509.68	431.28	78.40	0.00
7.0000	390607109	GREAT LAKES DREDGE & DOCK CORP NEW COM	10/02/2009	11/25/2009	43.17	46.27	-3.10	0.00
51.0000	091935502	BLACKBOARD INC COM	10/02/2009	12/09/2009	2,221.34	1,832.94	388.40	0.00
69.0000	091935502	BLACKBOARD INC COM	10/02/2009	12/09/2009	3,006.35	2,479.86	526.49	0.00
54.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW NEW	10/02/2009	12/09/2009	1,075.20	1,190.16	-114.96	0.00
128.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW NEW	10/02/2009	12/09/2009	2,539.03	2,821.12	-282.09	0.00
69.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW NEW	10/02/2009	12/10/2009	1,332.87	1,520.76	-187.89	0.00
12.0000	46626E205	J2 GLOBAL COMMUNICATIONS INC COM NEW NEW	10/02/2009	12/10/2009	233.69	264.48	-30.79	0.00

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2009 Tax Information Statement

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SEMNANI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withholding
132.0000	957638109	WESTERN ALLIANCE BANCORPORATION COM	10/02/2009	12/11/2009	464.01	790.06	-326.05	0.00
74.0000	957638109	WESTERN ALLIANCE BANCORPORATION COM	10/02/2009	12/11/2009	263.72	442.91	-179.19	0.00
90.0000	830566105	SKECHERS U S A INC CL A	10/02/2009	12/14/2009	2,455.02	1,540.14	914.88	0.00
364.0000	957638109	WESTERN ALLIANCE BANCORPORATION COM	10/02/2009	12/14/2009	1,271.52	2,178.65	-907.13	0.00
117.0000	957638109	WESTERN ALLIANCE BANCORPORATION COM	10/02/2009	12/14/2009	416.66	700.28	-283.62	0.00
96.0000	957638109	WESTERN ALLIANCE BANCORPORATION COM	10/02/2009	12/14/2009	337.07	574.59	-237.52	0.00
42.0000	830566105	SKECHERS U S A INC CL A	10/02/2009	12/15/2009	1,181.19	718.73	462.46	0.00
77.0000	957638109	WESTERN ALLIANCE BANCORPORATION COM	10/02/2009	12/15/2009	276.34	460.87	-184.53	0.00
83.0000	205944101	CON-WAY INC COM STK	11/10/2009	12/17/2009	2,757.64	2,609.94	147.70	0.00
12.0000	205944101	CON-WAY INC COM STK	11/10/2009	12/17/2009	399.91	371.25	28.66	0.00
105.0000	205944101	CON-WAY INC COM STK	11/10/2009	12/17/2009	3,493.21	3,278.41	213.80	0.00
30.0000	679580100	OLD DOMINION FGHT LINE INC COM	12/09/2009	12/17/2009	864.40	921.08	-56.68	0.00
121.0000	679580100	OLD DOMINION FGHT LINE INC COM	12/09/2009	12/17/2009	3,511.03	3,653.77	-142.74	0.00
89.0000	679580100	OLD DOMINION FGHT LINE INC COM	12/09/2009	12/17/2009	2,588.08	2,754.10	-166.02	0.00
50.0000	532791100	LINCARE HOLDINGS INC	10/02/2009	12/22/2009	1,884.21	1,528.00	356.21	0.00
3.0000	830566105	SKECHERS U S A INC CL A	10/02/2009	12/23/2009	85.58	51.34	34.24	0.00

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Recipient's Name and Address
SEMNANI FOUNDATION
SUITE 240
46 WEST BROADWAY
SALT LAKE CITY, UT 84101

Account Number: 26-47538
Recipient's Tax ID number: XX-XXX9794

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
62.0000	830566105	SKECHERS U S A INC CL A	10/02/2009	12/23/2009	1,766.72	1,060.99	705.73	0.00
15.0000	532791100	LINCARE HOLDINGS INC	10/02/2009	12/24/2009	569.24	458.40	110.84	0.00
5.0000	532791100	LINCARE HOLDINGS INC	10/02/2009	12/24/2009	190.07	152.80	37.27	0.00
109.0000	830566105	SKECHERS U S A INC CL A	10/02/2009	12/24/2009	3,128.11	1,865.28	1,262.83	0.00
Total Short Term Sales						185,323.02	-108.34	0.00

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