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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>ARTHUR L FUNK AND JOAN A. FUNK CHARITABLE FOUNDATION</b>	A Employer identification number <b>74-2587362</b>	
	Number and street (or P O box number if mail is not delivered to street address) <b>7056 E MAIN STREET</b>	Room/suite	B Telephone number (see page 10 of the instructions) <b>602-300-0834</b>
	City or town, state, and ZIP code <b>SCOTTSDALE AZ 85251</b>	C If exemption application is pending, check here ► <input type="checkbox"/>	
		D 1. Foreign organizations, check here ► <input type="checkbox"/>	
		2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>	
		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	(Part I, column (d) must be on cash basis)	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ <b>791,893</b>			

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	47	47		
4 Dividends and interest from securities	39,038	39,038		
5 a Gross rents		0		
b Net rental income or (loss) 0				
6 a Net gain or (loss) from sale of assets not on line 10	3,870			
b Gross sales price for all assets on line 6a 25,672				
7 Capital gain net income (from Part IV, line 2)		3,870		
8 Net short-term capital gain			0	
9 Income modifications				
10 a Gross sales less returns and allowances 0				
b Less Cost of goods sold 0				
c Gross profit or (loss) (attach schedule) 0				
11 Other income (attach schedule)	0	0	0	
12 Total. Add lines 1 through 11	42,955	42,955	0	
<b>Revenue</b>				
13 Compensation of officers, directors, trustees, etc	6,000			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule) 0	0	0	0	0
b Accounting fees (attach schedule) 14,500	14,500	7,300	0	7,200
c Other professional fees (attach schedule) 1,060	1,060	1,060	0	0
17 Interest 1,047	1,047	1,047	0	0
18 Taxes (attach schedule) (see page 14 of the instructions) 0	0	0	0	0
19 Depreciation (attach schedule) and depletion 0	0	0	0	0
20 Occupancy OGDEN, UT				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) 249	249	249	0	0
24 Total operating and administrative expenses. Add lines 13 through 23	22,856	9,656	0	7,200
25 Contributions, gifts, grants paid 40,000	40,000			40,000
26 Total expenses and disbursements. Add lines 24 and 25	62,856	9,656	0	47,200
<b>Operating and Administrative Expenses</b>				
27 Subtract line 26 from line 12	-19,901			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-) 33,299	33,299			
c Adjusted net income (if negative, enter -0-) 0	0			

LJ

<b>Part II</b> <b>Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	<b>Beginning of year</b>	<b>End of year</b>	
			<b>(a) Book Value</b>	<b>(b) Book Value</b>	<b>(c) Fair Market Value</b>
<b>Assets</b>	1	Cash—non-interest-bearing	9,873	9,124	
	2	Savings and temporary cash investments	27,903	17,970	
	3	Accounts receivable ►	0		
		Less allowance for doubtful accounts ►	0	0	0
	4	Pledges receivable ►	0		
		Less allowance for doubtful accounts ►	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ►	0		
		Less allowance for doubtful accounts ►	0	0	0
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10	a Investments—U.S. and state government obligations (attach schedule)	0	0	0
		b Investments—corporate stock (attach schedule)	936,369	928,150	791,893
		c Investments—corporate bonds (attach schedule)	0	0	0
<b>Liabilities</b>	11	Investments—land, buildings, and equipment basis ►	0		
		Less accumulated depreciation (attach schedule) ►	0	0	0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ►	0		
		Less accumulated depreciation (attach schedule) ►	0	0	0
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		974,145	955,244
					791,893
	17	Accounts payable and accrued expenses	3,500	4,500	
<b>Net Assets or Fund Balances</b>	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ►)	0	0	
	23	Total liabilities (add lines 17 through 22)	3,500	4,500	
		Foundations that follow SFAS 117, check here ► <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	970,645	950,744	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	970,645	950,744	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	974,145	955,244	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	970,645
2	Enter amount from Part I, line 27a	2	-19,901
3	Other increases not included in line 2 (itemize) ►	3	0
4	Add lines 1, 2, and 3	4	950,744
5	Decreases not included in line 2 (itemize) ►	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	950,744

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	300 ss GlaxoSmithKline PLC	P	11/26/2003	12/16/2009
<b>b</b>	320 ss JPMorgan Chase & Co	P	3/28/2000	12/16/2009
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 12,731	0	13,980	-1,249	
<b>b</b> 12,941	0	7,822	5,119	
<b>c</b> 0	0	0	0	
<b>d</b> 0	0	0	0	
<b>e</b> 0	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
<b>a</b> 0	0	0	-1,249	
<b>b</b> 0	0	0	5,119	
<b>c</b> 0	0	0	0	
<b>d</b> 0	0	0	0	
<b>e</b> 0	0	0	0	
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	3,870
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	47,350	946,529	0.050025
2007			0.000000
2006			0.000000
2005			0.000000
2004			0.000000

2 Total of line 1, column (d) 2 0.050025

3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by  
the number of years the foundation has been in existence if less than 5 years 3 0.050025

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 4 0

5 Multiply line 4 by line 3 5 0

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 0

7 Add lines 5 and 6 7 0

8 Enter qualifying distributions from Part XII, line 4 8 0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See  
the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1  
 Date of ruling or determination letter \_\_\_\_\_ (attach copy of letter if necessary—see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-

6 Credits/Payments

a 2009 estimated tax payments and 2008 overpayment credited to 2009  
 b Exempt foreign organizations—tax withheld at source  
 c Tax paid with application for extension of time to file (Form 8868)  
 d Backup withholding erroneously withheld

7 Total credits and payments Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax Check here  if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2010 estimated tax ► 0 Refunded ► 0

6a	445
6b	
6c	0
6d	

7	445
8	1
9	222
10	0
11	0

**Part VII-A Statements Regarding Activities**

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  
 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?  
*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*  
 c Did the foundation file Form 1120-POL for this year?  
 d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  
 (1) On the foundation ► \$ \_\_\_\_\_ (2) On foundation managers ► \$ \_\_\_\_\_  
 e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ \_\_\_\_\_

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  
*If "Yes," attach a detailed description of the activities*

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  
 b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
*If "Yes," attach the statement required by General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*

8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► AZ  
 b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b	N/A	
5		X
6	X	
7	X	
8b		
9		X
10		X

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) 11

12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► **NONE** 13

14 The books are in care of ► **JAMES D. TROW CPA** Telephone no ► **(602) 300-0834**

Located at ► **15830 N. 60TH ST. SCOTTSDALE AZ**

ZIP+4 ► **85254**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► **15** ▶ □

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? □ Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? □ Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? □ Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? □ Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? □ Yes  No

(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) □ Yes  No

b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here ► □

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1c N/A

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? □ Yes  No

If "Yes," list the years ► **20\_\_\_\_\_ , 20\_\_\_\_\_ , 20\_\_\_\_\_ , 20\_\_\_\_\_**

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions) 2b N/A

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► **20\_\_\_\_\_ , 20\_\_\_\_\_ , 20\_\_\_\_\_ , 20\_\_\_\_\_**

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? □ Yes  No

b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 3b N/A

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		5b	N/A
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>		6b	X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY K DOSS 7056 E MAIN ST SCOTTSDALE AZ 85251	PRES & DIR 00	0	0	0
SYDNEY J KINSELLA 1134 FIRST ST CORONADO CA 92118	VICE PRES 2 00	6,000	0	0
JOSEPH WORISCHECK 7056 E MAIN ST SCOTTSDALE AZ 85251	SEC & DIR 00	0	0	0
JAMES D TROW CPA 15830 N 60TH ST SCOTTSDALE AZ 85254	TREAS & DIR 2 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).  
If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
.....				
.....				
.....				
.....				
.....				

Total number of other employees paid over \$50,000

►

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0
		0
		0

Total number of others receiving over \$50,000 for professional services



0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE - THE FOUNDATION IS ENGAGED ONLY IN MAKING GRANTS TO OTHER EXEMPT ORGANIZATIONS

2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	
2	

All other program-related investments. See page 24 of the instructions

3	
Total. Add lines 1 through 3	► 0

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	688,944
b	Average of monthly cash balances	1b	37,252
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	726,196
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	726,196
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	10,893
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	715,303
6	Minimum investment return. Enter 5% of line 5	6	35,765

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6	1	35,765
2a	Tax on investment income for 2009 from Part VI, line 5	2a	666
b	Income tax for 2009 (This does not include the tax from Part VI )	2b	
c	Add lines 2a and 2b	2c	666
3	Distributable amount before adjustments Subtract line 2c from line 1	3	35,099
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	35,099
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	35,099

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	1a	47,200
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1b	0
b	Program-related investments—total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the	3b	0
a	Suitability test (prior IRS approval required)	4	47,200
b	Cash distribution test (attach the required schedule)	5	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	6	47,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)		
6	Adjusted qualifying distributions. Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see page 26 of the instructions)**

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				35,099
2 Undistributed income, if any, as of the end of 2009			0	
a Enter amount for 2008 only		0		
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004	0			
b From 2005	0			
c From 2006	10,592			
d From 2007	10,455			
e From 2008	560			
f Total of lines 3a through e	21,607			
4 Qualifying distributions for 2009 from Part XII, line 4 ► \$ 47,200			0	
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount			35,099	
e Remaining amount distributed out of corpus	12,101			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:	33,708			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0		
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions		0		
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010		0		0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	33,708			
10 Analysis of line 9				
a Excess from 2005	0			
b Excess from 2006	10,592			
c Excess from 2007	10,455			
d Excess from 2008	560			
e Excess from 2009	12,101			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)**N/A**

1 a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling				►		
b	Check box to indicate whether the foundation is a private operating foundation described in section					<input type="checkbox"/> 4942(j)(3) or	<input type="checkbox"/> 4942(j)(5)
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed						
b	85% of line 2a						
c	Qualifying distributions from Part XII, line 4 for each year listed						
d	Amounts included in line 2c not used directly for active conduct of exempt activities						
e	Qualifying distributions made directly for active conduct of exempt activities						
	Subtract line 2d from line 2c						
3	Complete 3a, b, or c for the alternative test relied upon						
a	"Assets" alternative test—enter						
(1)	Value of all assets						0
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)						0
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed						0
c	"Support" alternative test—enter						
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						0
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)						0
(3)	Largest amount of support from an exempt organization						0
(4)	Gross investment income						0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**NONE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**NONE****2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>Name and address (home or business)</b>				
<b>a Paid during the year</b>				
SCRIPPS HEALTH FOUNDATION PO BOX 2669 LA JOLLA CA 92038		PUBLIC	GENERAL SUPPORT	25,000
UNIVERSITY OF ARIZONA FOUNDATION 1111 N CHERRY AVE TUCSON AZ 85721		PUBLIC	SCHOLARSHIP FOR GRAD SCH OF BUS	7,335
UC SAN DIEGO FOUNDATION 9500 GILMAN DR LA JOLLA CA 92093		PUBLIC	BIRCH AQUARIUM	2,000
NAU FOUNDATION PO BOX 4086 FLAGSTAFF AZ 86011		PUBLIC	GENERAL SUPPORT	2,335
CHILDREN'S HOSPITAL FOUNDATION 3020 CHILDREN'S WAY SAN DIEGO CA 92123		PUBLIC	GENERAL SUPPORT	1,665
PHOENIX CHILDREN'S HOSPITAL FOUNDATION 2929 E CAMELBACK RD STE 122 PHOENIX AZ 850		PUBLIC	GENERAL SUPPORT	1,665
				0
				0
				0
				0
				0
				0
				0
				0
				0
<b>Total</b>			► 3a	40,000
<b>b Approved for future payment</b>				
				0
				0
				0
				0
				0
				0
				0
				0
				0
<b>Total</b>			► 3b	0

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1 Program service revenue</b>					
a	0		0	0	0
b	0		0	0	0
c	0		0	0	0
d	0		0	0	0
e	0		0	0	0
f	0		0	0	0
<b>g Fees and contracts from government agencies</b>					
<b>2 Membership dues and assessments</b>					
<b>3 Interest on savings and temporary cash investments</b>			14	47	
<b>4 Dividends and interest from securities</b>			14	39,038	
<b>5 Net rental income or (loss) from real estate</b>					
a Debt-financed property					
b Not debt-financed property					
<b>6 Net rental income or (loss) from personal property</b>					
<b>7 Other investment income</b>					
<b>8 Gain or (loss) from sales of assets other than inventory</b>			14	3,870	
<b>9 Net income or (loss) from special events</b>					
<b>10 Gross profit or (loss) from sales of inventory</b>					
<b>11 Other revenue a</b>	0		0	0	0
b	0		0	0	0
c	0		0	0	0
d	0		0	0	0
e	0		0	0	0
<b>12 Subtotal Add columns (b), (d), and (e)</b>	0		42,955	0	
<b>13 Total Add line 12, columns (b), (d), and (e)</b>	13		42,955		
(See worksheet in line 13 instructions on page 28 to verify calculations )					

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

**Signature of officer or trustee**

5/11/2010

Date \_\_\_\_\_

**TREASURER**

## Title

**Sign Here**

Preparer's  
signature

Date \_\_\_\_\_

Check if  
self-employed ►

Preparer's identifying  
number (see Signature on  
page 30 of the instructions)

**Firm's name (or yours if self-employed), address and ZIP code**

FIN

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### Phone no.

**Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory**

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Date Acquired	Date Sold	Gross Sales Price	Cost	Cost or Other Basis (Enter one field only)		Expense of Sale and Cost of Improvements	Depreciation	
											Cost or Other Basis (Enter one field only)				
											Gross Sales	Cost	Donated Value		
1	X	300 ss GlaxoSmithKline PLC	37733W105			11/26/2003	P	12/16/2009	12,731	13,980					
2	X	320 ss JPMorgan Chase &Co	46625H100			3/28/2000	P	12/16/2009	12,941	7,822					
3	-														
4															
5															
6															
7															
8															
9															
10															
Long Term CG Distributions		Amount													
Short Term CG Distributions															
Totals															
Securities		25,672		21,802										3,870	
Other sales		0												0	

**Line 16b (990-PF) - Accounting Fees**

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	AMES D TROW CPA	14,500	7,300	0	7,200
2					
3					
4					
5					
6					
7					
8					
9					
10					

**Line 16c (990-PF) - Other Fees**

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	AMERICAN ASSET MANAGEMENT	910	910	0	
2	MERRIL LYNCH	150	150	0	
3					
4					
5					
6					
7					
8					
9					
10					

**Line 18 (990-PF) - Taxes**

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax				
4	US TREASURY EXCISE TAX	1,000	1,000		
5	FOREIGN TAXES	47	47		
6					
7					
8					
9					
10					

1,047

0

1,047

0

0

0

**Line 23 (990-PF) - Other Expenses**

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization See attached statement	0	0	0	0
2	Fund Raising				
3	BANK SERVICE CHARGES	249	249	249	
4					
5					
6					
7					
8					
9					
10					

**Part II, Line 10b (990-PF) - Investments - Corporate Stock**

	Description	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	SEE STATEMENT		936,369	928,150	656,760	791,893
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						

**ARTHUR L. FUNK AND JOAN A. FUNK CHARITABLE FOUNDATION**  
**A STATEMENT ATTACHED TO AND MADE A PART OF FORM 990PF 2009**

**PART II - BALANCE SHEETS**

	<u>DATE ACQUIRED</u>	<u>NO. OF SHARES</u>	<u>BOOK VALUE BEGINNING</u>	<u>BOOK VALUE END</u>	<u>FAIR VALUE</u>
<b>LINE 10a - INVESTMENTS - U.S. OBLIGATIONS</b>					
<u>DESCRIPTION</u>					
<b>TOTAL</b>					
<b>LINE 10b - INVESTMENTS - CORPORATE STOCKS</b>					
<u>CORPORATE STOCK &amp; OPTIONS</u>					
ABB Ltd ADR	9/24/2009	200	-	4,081	3,820
Advent Claymore Global Conv Secs & Inc Fd	5/24/2007	1,500	28,657	28,657	12,255
AIM Global Health Care	7/11/2000	1,115	35,823	35,823	28,476
American States Water Co	6/28/2002	100	2,685	2,685	3,541
Aqua America Inc	3/22/2007	400	9,095	9,095	7,004
AT&T Inc New	6/25/2007	100	3,946	3,946	2,803
AT&T Inc New	9/25/2007	100	4,269	4,269	2,803
Bank of America	11/25/2003	800	30,417	30,417	12,048
BHP Billiton Ltd ADR	6/22/2007	100	6,008	6,008	7,658
Blackrock Global Equity Income Tr	3/27/2007	3,000	57,735	57,721	31,225
Blackrock Intl Growth & Income Tr	5/24/2007	3,000	57,227	57,227	34,680
Bre Property Inc	3/25/1999	200	4,451	4,451	6,616
Canadian National Railway Co	12/1/2005	200	8,020	8,020	10,872
CBS Corp New	11/25/2003	200	6,046	6,046	2,814
Chevron Corp	4/25/2000	770	25,945	25,945	59,282
Chevron Corp	11/25/2003	230	8,694	8,694	17,708
China Mobile H K ADR	12/9/2005	100	2,425	2,425	4,643
Colgate Palmolive Co	11/25/2003	400	21,324	21,324	32,860
Coca Cola Copany	9/19/2008	100	5,295	5,295	5,700
Consolidated Edison Inc	2/23/2004	200	8,724	8,724	9,086
Du Pont E I De Nemour & Co	7/26/2000	100	4,481	4,481	3,367
Eaton Vance Tax-Managed Global Diversified	2/22/2007	3,000	58,324	58,324	36,990
E I Du Pont De Nemours	7/11/2000	1,000	47,142	47,142	33,670
FPL Group, Inc	6/19/2009	100	-	5,724	5,282
General Electric Co	11/25/2003	1,000	29,415	29,415	15,130
General Motors Corp 7 25% PINES	10/5/2001	1,000	25,578	25,578	5,200
GlaxoSmithKline PLC SP ADR	11/26/2003	300	13,980	-	-
Goldcorp New	10/22/2004	100	1,381	1,381	3,934
H J Heinz Co	4/4/2000	1,000	33,360	33,360	42,760
Halliburton Company	3/26/2007	1,000	31,699	31,699	30,090
Hewlett Packard Co	5/2/2000	1,000	54,881	54,881	51,510
Ishares Silver Trust Index Fd	9/24/2009	100	-	1,615	1,654
ING Global Equity Prem Opport Fd	3/28/2005	1,000	20,000	20,000	12,170
Intel Corp	6/28/2002	1,000	34,109	34,109	20,400
International Paper Co	11/25/2003	1,000	34,468	34,468	26,780
J P Morgan Chase & Co	3/28/2000	1,000	24,445	24,445	41,670
J P Morgan Chase & Co	3/28/2000	320	7,822	-	-
Johnson & Johnson	11/25/2003	400	20,586	20,586	25,764
Johnson & Johnson	10/22/2004	100	5,758	5,758	6,441
National Fuel Gas Co	10/22/2004	100	2,846	2,846	5,000
Newmont Mining Corp	10/22/2004	100	4,633	4,633	4,731
Nuveen Equity Prem Opport Fund	1/26/2005	2,015	40,283	40,283	26,598
Pfizer Inc	11/25/2003	400	13,653	13,653	7,276
Piedmont Natural Gas	5/6/1999	400	6,463	6,463	10,700
Powershares Clean Energy	6/2/2008	200	4,427	4,427	2,200
Powershares Clean Energy	9/24/2009	200	-	2,176	2,200
Powershares Water Resources	6/2/2008	200	4,514	4,514	3,372
Procter & Gamble Co	11/25/2003	390	13,682	13,682	23,646
Progress Energy Inc	12/20/2004	100	4,575	4,575	4,101
Southern Company	10/22/2004	200	6,336	6,336	6,664
Viacom Inc New Cl A	11/25/2003	200	9,889	9,889	6,300
Wells Fargo, Inc IX 5 625%	9/1/2004	200	4,760	4,760	4,206
Xerox Corp	8/10/2000	1,000	26,355	26,355	8,460
Zimmer Holdings, Inc	11/25/2003	300	19,739	19,739	17,733
<b>TOTAL</b>		<b>936,370</b>	<b>928,150</b>	<b>791,893</b>	

**Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income**

		Amount		Long Term CG Distributions		Short Term CG Distributions		Totals		25,672		21,802		3,870		0		0		3,870	
				How Acquired	Date Acquired	Date Sold	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses						
	Kind(s) of Property Sold	CUSIP #																			
1	300 ss GlaxoSmithKline PLC	37733W105	P	11/26/2003	12/16/2009	12.731		0	13,980	-1,249		0		0	-1,249						
2	320 ss JPMorgan Chase & Co	46625H100	P	3/28/2000	12/16/2009	12.941		0	7,822	5,119		0		0	5,119						
3						0		0	0	0		0		0	0						
4						0		0	0	0		0		0	0						
5						0		0	0	0		0		0	0						
6						0		0	0	0		0		0	0						
7						0		0	0	0		0		0	0						
8						0		0	0	0		0		0	0						
9						0		0	0	0		0		0	0						
10						0		0	0	0		0		0	0						

**Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers**

<b>Part</b>	<b>Compensation</b>	<b>Benefits</b>	<b>Expense Account</b>	<b>Explanation</b>
1	0	0	0	
2	6,000	0	0	
3	0	0	0	
4	0	0	0	
5				
6				
7				
8				
9				
10				

ARTHUR L. FUNK AND JOAN A. FUNK CHARITABLE FOUNDATION  
 A STATEMENT ATTACHED TO AND MADE A PART OF FORM 990SF 2009

PART X MINIMUM INVESTMENT RETURN

<u>DESCRIPTION</u>	<u>2008 DEC</u>	<u>2009 JAN</u>	<u>FEB</u>	<u>MAR</u>	<u>APR</u>	<u>MAY</u>	<u>JUN</u>	<u>JUL</u>	<u>AUG</u>	<u>SEP</u>	<u>OCT</u>	<u>NOV</u>	<u>DEC</u>	<u>AVE</u>
<u>Line 1a - Average monthly fair market value of securities</u>														
C Schwab														
Fixed income	94,792	83,272	77,463	83,365	81,891	9,998	9,998	10,000	97,143	95,763	102,614	98,538	104,928	108,150
Equities	8,800	13,068	10,006	10,724	12,944	13,588	13,552	13,958	14,780	19,633	17,995	19,269	20,248	20,248
Other														92,649
Merrill Lynch														
Fixed income	3,270	3,240	2,560	2,980	2,160	2,450	2,625	2,150	3,150	3,300	2,950	4,400	5,200	3,111
Equities	406,374	363,794	314,477	340,666	375,675	401,547	398,902	440,148	460,523	474,256	476,089	500,053	475,901	417,877
Mutual funds	143,532	141,627	122,650	128,729	142,783	154,977	159,309	172,367	174,771	180,751	173,190	183,345	182,395	158,494
Total securities	656,768	605,001	527,156	566,474	629,451	667,368	676,093	725,766	748,987	760,553	768,762	811,995	791,894	688,944
<u>Line 1b - Average of monthly cash balances</u>														
Wells Fargo Bank A/C														
Chas Schwab cash & money funds	9,873	4,858	4,343	1,578	778	10,006	9,235	6,969	6,200	3,931	2,912	2,393	22,124	6,554
Merrill Lynch cash & money funds	18,643	18,622	18,768	19,376	9,422	9,567	4,468	14,497	14,643	7,401	7,490	7,635	8,245	12,214
Total cash	37,776	34,452	38,051	39,379	31,087	33,917	31,254	40,794	43,007	36,734	37,532	40,197	40,095	37,252