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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE CONNIE BURWELL WHITE AND
WILLIAM W. WHITE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
3700 E. ALAMEDA AVENUE, SUITE 300

City or town, state, and ZIP code
DENVER, CO 80209

A Employer identification number
74-2346047

B Telephone number (see page 10 of the instructions)
(303) 733-0132

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 5,725,863.

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,231,637.			
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	36,519.	36,519.		ATCH 1
	4 Dividends and interest from securities	146,575.	146,575.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-118,328.			
	b Gross sales price for all assets on line 6a 6,485,975.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	21,141.	13,909.		ATCH 3
	12 **Total.** Add lines 1 through 11	2,317,544.	197,003.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	22,000.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,750.	4,750.	0.	0.
	c Other professional fees (attach schedule)				
	17 Interest ATTACHMENT 4	17.	17.		
	18 Taxes (attach schedule) (see page 14 of the instructions) **	1,553.	1,553.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	39,516.	39,516.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	67,836.	45,836.	0.	0.
	25 Contributions, gifts, grants paid	223,490.			223,490.
	26 **Total expenses and disbursements.** Add lines 24 and 25	291,326.	45,836.	0.	223,490.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	2,026,218.			
	b **Net investment income** (if negative, enter -0-)		151,167.		
	c **Adjusted net income** (if negative, enter -0-)			-0-	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	242,005.	1,052,816.	1,052,816.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 7	3,652,199.	4,867,606.	4,673,047.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,894,204.	5,920,422.	5,725,863.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	3,894,204.	5,920,422.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	3,894,204.	5,920,422.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,894,204.	5,920,422.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,894,204.
2 Enter amount from Part I, line 27a	2	2,026,218.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	5,920,422.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,920,422.

Form **990-PF** (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | -118,328.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8. . . . | 3 |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008			
2007			
2006			
2005			
2004			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	3,023.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	3,023.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3,023.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 2,208.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	2,208.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	815.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 0. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CO,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* ATTACHMENT 8	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOHN R. MORAN, JR. Telephone no. ▶ 303-282-0074

Located at ▶ 3700 EAST ALAMEDA, SUITE 300 DENVER, CO ZIP + 4 ▶ 80209

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		22,000.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0.	0.	0.

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . . ▶ NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,599,466.
b	Average of monthly cash balances	1b	545,488.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	4,144,954.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,144,954.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	62,174.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,082,780.
6	**Minimum investment return.** Enter 5% of line 5	6	204,139.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	204,139.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,023.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,023.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	201,116.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	201,116.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	201,116.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	223,490.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	223,490.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	223,490.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				201,116.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			10,935.	
b Total for prior years 20 07 ,20 06 ,20 05				
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005 0.				
c From 2006 0.				
d From 2007 0.				
e From 2008 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 223,490.				
a Applied to 2008, but not more than line 2a			10,935.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				201,116.
e Remaining amount distributed out of corpus	11,439.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	11,439.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	11,439.			
10 Analysis of line 9:				
a Excess from 2005 0.				
b Excess from 2006 0.				
c Excess from 2007 0.				
d Excess from 2008 0.				
e Excess from 2009 11,439.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE PART VII-A LINE 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 10				
Total . . . . . . ▶ **3a**				223,490.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	36,519.	
4 Dividends and interest from securities			14	146,575.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-118,328.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b ROYALTY INCOME			15	4,371.	
c FEDERAL TAX REFUND			41	3,602.	
d SETTLEMENT PROCEEDS			41	9,538.	
e RETURN OF CAPITAL			18	3,630.	
12 Subtotal. Add columns (b), (d), and (e)				85,907.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 85,907.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions.			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ [signature] 5/12/2010 ▶ President / Trustee
Signature of officer or trustee Date Title

Paid Preparer's Use Only

Preparer's signature ▶ [signature] CPA	Date 5.12.10	Check if self-employed ▶ ☐	Preparer's identifying number (See Signature on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code ▶ HEIN & ASSOCIATES LLP 717 17TH STREET, 16TH FLOOR DENVER, CO 80202		EIN ▶ 84-0749233	Phone no. 303-298-9600

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization	Employer identification number
THE CONNIE BURWELL WHITE AND WILLIAM W. WHITE FOUNDATION	74-2346047

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: THE CONNIE BURWELL WHITE AND WILLIAM W. WHITE FOUNDATION

Employer identification number: 74-2346047

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF CONNIE B. WHITE 3700 EAST ALAMEDA, SUITE 300 DENVER, CO 80209	$ 2,231,637.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

SCHEDULE D (Form 1041).

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust THE CONNIE BURWELL WHITE AND WILLIAM W. WHITE FOUNDATION

Employer identification number 74-2346047

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	555,348.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	555,348.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a						

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-673,676.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-673,676.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II Caution: *Read the instructions* **before** *completing this part.*		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 **Net short-term gain or (loss)**	13			555,348.
14 **Net long-term gain or (loss):**				
a Total for year	14a			-673,676.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c 28% rate gain	14c			
15 **Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-118,328.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 (3,000)

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17		
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18				
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19				
20	Add lines 18 and 19	20				
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21				
22	Subtract line 21 from line 20. If zero or less, enter -0-			22		
23	Subtract line 22 from line 17. If zero or less, enter -0-			23		
24	Enter the **smaller** of the amount on line 17 or $2,300			24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25		
26	Subtract line 25 from line 24			26		
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28		
29	Subtract line 28 from line 27			29		
30	Multiply line 29 by 15% (.15)					30
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31
32	Add lines 30 and 31					32
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE CONNIE BURWELL WHITE AND

Employer identification number: 74-2346047

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a AEGON N.V ORD AMER REG	02/01/2008	01/07/2009	13,858.	30,341.	-16,483.
AEGON N.V ORD AMER REG	06/25/2008	01/07/2009	13,020.	25,626.	-12,606.
AEGON N.V ORD AMER REG	05/27/2008	01/07/2009	838.	1,834.	-996.
AEGON N.V ORD AMER REG	10/14/2008	01/07/2009	1,538.	2,260.	-722.
AMERICAN INTERNATIONAL GROUP INC NEW	08/22/2008	08/06/2009	1,163.	20,294.	-19,131.
AMERICAN INTERNATIONAL GROUP INC NEW	12/02/2008	08/06/2009	1,163.	1,650.	-487.
AMERICAN INTERNATIONAL GROUP INC NEW	07/02/2009	08/06/2009	465.	416.	49.
AMERICAN INTERNATIONAL GROUP INC NEW	07/02/2009	08/06/2009	1,861.	1,596.	265.
APPLE INC	10/20/2008	03/05/2009	44,541.	48,904.	-4,363.
APPLE INC	12/17/2008	03/05/2009	44,541.	44,586.	-45.
APPLE INC	05/08/2009	06/16/2009	41,168.	38,733.	2,435.
APPLE INC	05/15/2009	06/16/2009	41,168.	37,352.	3,816.
AT&T INC	04/03/2009	11/02/2009	25,135.	27,325.	-2,190.
BANK OF AMERICA CORP	08/27/2008	05/07/2009	14,330.	30,059.	-15,729.
BANK OF AMERICA CORP	08/27/2008	05/07/2009	14,330.	29,968.	-15,638.
BANK OF AMERICA CORP	12/02/2008	05/11/2009	13,277.	12,850.	427.
BANK OF AMERICA CORP	12/02/2008	05/11/2009	13,277.	12,850.	427.
BANK OF AMERICA CORP	12/12/2008	07/31/2009	14,150.	14,805.	-655.
BANK OF AMERICA CORP	01/15/2009	07/31/2009	28,299.	16,483.	11,816.
BANK OF AMERICA CORP	01/15/2009	08/20/2009	49,963.	24,724.	25,239.
BANK OF AMERICA CORP	06/23/2009	10/19/2009	51,402.	37,524.	13,878.
BANK OF AMERICA CORP	07/17/2009	10/19/2009	34,268.	26,272.	7,996.
BOEING CO	12/02/2008	06/08/2009	52,018.	39,880.	12,138.
BOEING CO	12/02/2008	06/08/2009	52,018.	39,880.	12,138.
BOEING CO	12/02/2008	[illegible]	46,779.	39,880.	6,899.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

SCHEDULE D-1
(Form 1041).

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE CONNIE BURWELL WHITE AND

Employer identification number: 74-2346047

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a BOEING CO	02/09/2009	06/22/2009	46,779.	43,413.	3,366.
BOEING CO	02/09/2009	08/24/2009	46,633.	43,413.	3,220.
BOEING CO	05/07/2009	08/24/2009	46,633.	43,586.	3,047.
BOEING CO	06/29/2009	08/27/2009	103,114.	86,429.	16,685.
CAMPBELL SOUP CO	05/11/2009	11/12/2009	32,999.	27,447.	5,552.
CAMPBELL SOUP CO	08/24/2009	11/12/2009	32,999.	31,539.	1,460.
CATERPILLAR INC	01/05/2009	04/22/2009	34,346.	47,288.	-12,942.
CATERPILLAR INC	01/26/2009	04/22/2009	34,346.	34,345.	1.
CATERPILLAR INC	01/29/2009	05/04/2009	38,120.	32,383.	5,737.
CATERPILLAR INC	04/13/2009	05/04/2009	38,120.	33,740.	4,380.
CATERPILLAR INC	04/13/2009	05/05/2009	38,527.	33,740.	4,787.
CATERPILLAR INC	04/20/2009	05/05/2009	38,527.	31,005.	7,522.
CATERPILLAR INC	05/14/2009	07/15/2009	32,941.	36,597.	-3,656.
CATERPILLAR INC	05/15/2009	07/15/2009	32,941.	36,978.	-4,037.
CATERPILLAR INC.	06/18/2009	07/15/2009	32,941.	34,696.	-1,755.
CATERPILLAR INC.	07/07/2009	07/15/2009	32,941.	30,824.	2,117.
CHINA YUCHAI INTL LTD	03/31/2009	09/01/2009	16,841.	9,889.	6,952.
CHINA YUCHAI INTL LTD	06/23/2009	09/01/2009	8,421.	7,163.	1,258.
CHINA YUCHAI INTL LTD	06/23/2009	10/21/2009	11,519.	7,163.	4,356.
CHINA YUCHAI INTL LTD	07/23/2009	10/21/2009	23,038.	17,485.	5,553.
CHINA YUCHAI INTL LTD	07/30/2009	10/21/2009	23,038.	17,176.	5,862.
CHINA YUCHAI INTL LTD	07/30/2009	10/22/2009	22,039.	17,176.	4,863.
CHINA YUCHAI INTL LTD	09/10/2009	10/22/2009	33,058.	28,393.	4,665.
CHUBB CORPORATION	05/21/2009	07/15/2009	40,240.	38,760.	1,480.
CHUBB CORPORATION	05/28/2009	07/15/2009	40,240.	39,674.	566.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE CONNIE BURWELL WHITE AND

Employer identification number: 74-2346047

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a CHUBB CORPORATION	06/16/2009	07/15/2009	40,240.	40,590.	-350.
COBIZ FINANCIAL INC	03/03/2009	05/13/2009	11,907.	9,751.	2,156.
COBIZ FINANCIAL INC	04/09/2009	05/13/2009	11,907.	11,871.	36.
COSTCO WHOLESALE CORP	06/25/2009	11/10/2009	59,211.	46,755.	12,456.
COSTCO WHOLESALE CORP	07/23/2009	11/10/2009	59,211.	49,359.	9,852.
COVIDIEN PLC	05/08/2009	06/11/2009	35,655.	35,149.	506.
COVIDIEN PLC	05/15/2009	06/11/2009	35,655.	36,789.	-1,134.
COVIDIEN PLC	06/29/2009	08/17/2009	38,789.	37,300.	1,489.
COVIDIEN PLC	07/23/2009	08/17/2009	38,789.	36,775.	2,014.
DENBURY RESOURCES INC	01/29/2009	03/05/2009	12,970.	12,952.	18.
DEVELOPERS DIVERSIFIED RLTY CORP COM	08/05/2009	09/14/2009	16,555.	13,993.	2,562.
DODGE & COX INTL STOCK (MF)	12/22/2008	02/12/2009	26.	28.	-2.
DODGE & COX INTL STOCK (MF)	12/22/2008	02/12/2009	651.	701.	-50.
DODGE & COX INTL STOCK (MF)	12/22/2008	02/12/2009	402.	433.	-31.
DRYSHIPS INC	06/05/2009	12/17/2009	11,878.	14,610.	-2,732.
DRYSHIPS INC	09/10/2009	12/17/2009	17,817.	19,629.	-1,812.
FLOWSERVE CORPORATION	02/09/2009	05/20/2009	70,937.	57,940.	12,997.
FLOWSERVE CORPORATION	02/24/2009	05/20/2009	35,469.	24,082.	11,387.
FLOWSERVE CORPORATION	02/24/2009	06/05/2009	40,463.	24,082.	16,381.
FLOWSERVE CORPORATION	04/02/2009	06/05/2009	80,926.	61,212.	19,714.
FLOWSERVE CORPORATION	05/12/2009	06/05/2009	40,463.	34,392.	6,071.
FLOWSERVE CORPORATION	06/23/2009	07/21/2009	68,524.	71,221.	-2,697.
FLOWSERVE CORPORATION	06/30/2009	07/21/2009	68,524.	70,612.	-2,088.
FLOWSERVE CORPORATION	07/08/2009	07/21/2009	68,524.	62,737.	5,787.
FOREST OIL CORP	08/18/2008	05/18/2009	19,052.	51,811.	-32,759.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2009**

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SCHEDULE D-1
(Form 1041).

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust
THE CONNIE BURWELL WHITE AND

Employer identification number
74-2346047

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a					
FOREST OIL CORP	08/18/2008	05/19/2009	19,052.	52,026.	-32,974.
FOREST OIL CORP	10/01/2008	05/19/2009	19,052.	46,201.	-27,149.
FOREST OIL CORP	10/08/2008	05/19/2009	19,052.	32,558.	-13,506.
FOREST OIL CORP	11/10/2008	05/19/2009	19,052.	24,951.	-5,899.
FOREST OIL CORP	12/15/2008	05/19/2009	19,052.	18,310.	742.
FOREST OIL CORP	01/15/2009	05/19/2009	38,104.	29,005.	9,099.
FOREST OIL CORP	02/09/2009	05/19/2009	38,104.	34,674.	3,430.
FOREST OIL CORP	04/22/2009	05/19/2009	19,052.	16,619.	2,433.
FOREST OIL CORP	04/23/2009	05/19/2009	19,052.	16,406.	2,646.
FOREST OIL CORP	08/18/2009	11/16/2009	20,274.	16,406.	3,868.
FOREST OIL CORP	08/19/2009	11/16/2009	20,274.	16,862.	3,412.
FOREST OIL CORP	08/24/2009	11/16/2009	20,274.	17,462.	2,812.
FOREST OIL CORP	08/24/2009	11/17/2009	39,075.	35,578.	3,497.
FOREST OIL CORP	08/28/2009	11/17/2009	19,538.	16,351.	3,187.
FOREST OIL CORP	08/28/2009	11/18/2009	19,030.	16,351.	2,679.
FOREST OIL CORP	09/15/2009	11/18/2009	38,061.	38,441.	-380.
FOREST OIL CORP	09/15/2009	11/19/2009	35,814.	38,415.	-2,601.
FOREST OIL CORP	10/13/2009	11/19/2009	17,907.	21,782.	-3,875.
FOREST OIL CORP	10/13/2009	11/20/2009	17,509.	21,782.	-4,273.
FOREST OIL CORP	11/05/2009	11/20/2009	35,019.	41,470.	-6,451.
FUEL SYSTEMS SOLUTIONS INC	03/11/2009	04/03/2009	28,478.	24,585.	3,893.
GANNETT CO INC	12/04/2008	08/28/2009	17,625.	18,342.	-717.
GANNETT CO INC	12/10/2008	08/28/2009	8,813.	8,617.	196.
GANNETT CO INC	12/10/2008	09/29/2009	11,373.	8,617.	2,756.
GANNETT CO INC	12/10/2008	09/29/2009	22,747.	15,197.	7,550.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

SCHEDULE D-1 (Form 1041).

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE CONNIE BURWELL WHITE AND

Employer identification number 74-2346047

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a GANNETT CO INC	12/29/2008	09/29/2009	11,373.	7,578.	3,795.
GANNETT CO INC	12/29/2008	10/05/2009	12,044.	7,578.	4,466.
GANNETT CO INC	01/05/2009	10/05/2009	24,088.	18,393.	5,695.
GANNETT CO INC	01/23/2009	10/06/2009	38,599.	20,715.	17,884.
GANNETT CO INC	01/23/2009	10/07/2009	24,937.	13,810.	11,127.
GANNETT CO INC	02/24/2009	10/07/2009	12,469.	4,202.	8,267.
GANNETT CO INC	02/24/2009	10/08/2009	39,434.	12,606.	26,828.
GANNETT CO INC	02/24/2009	10/13/2009	12,881.	4,202.	8,679.
GANNETT CO INC	06/10/2009	10/13/2009	12,881.	4,452.	8,429.
GANNETT CO INC	06/10/2009	10/15/2009	25,801.	8,904.	16,897.
GARMIN LTD	12/09/2008	08/05/2009	65,650.	39,988.	25,662.
GENERAL ELECTRIC CO	09/30/2008	09/08/2009	14,308.	24,710.	-10,402.
GENERAL ELECTRIC CO	10/02/2008	09/08/2009	14,308.	22,784.	-8,476.
GENERAL ELECTRIC CO	10/14/2008	09/08/2009	14,308.	20,361.	-6,053.
GENERAL ELECTRIC CO	12/17/2008	09/11/2009	29,272.	35,579.	-6,307.
GENERAL ELECTRIC CO	01/06/2009	09/11/2009	14,636.	17,075.	-2,439.
GENERAL ELECTRIC CO	01/06/2009	09/16/2009	16,625.	17,075.	-450.
GENERAL ELECTRIC CO	01/26/2009	09/16/2009	49,875.	37,527.	12,348.
GENERAL ELECTRIC CO	02/05/2009	09/17/2009	33,907.	22,300.	11,607.
GENERAL ELECTRIC CO	03/10/2009	09/18/2009	32,394.	18,094.	14,300.
GENERAL ELECTRIC CO	05/13/2009	10/12/2009	16,127.	13,167.	2,960.
GENERAL ELECTRIC CO	07/23/2009	10/12/2009	32,254.	24,279.	7,975.
GENERAL ELECTRIC CO.	05/12/2009	10/01/2009	31,879.	28,009.	3,870.
GENERAL ELECTRIC CO.	05/13/2009	10/01/2009	15,939.	13,167.	2,772.
GERON CORP	02/20/2008	01/26/2009	40,140.	25,653.	14,487.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE CONNIE BURWELL WHITE AND

Employer identification number: 74-2346047

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a GERON CORP	02/29/2008	01/29/2009	34,822.	25,653.	9,169.
GERON CORP	03/03/2008	01/30/2009	38,246.	23,953.	14,293.
GERON CORP	05/14/2008	02/02/2009	38,580.	20,272.	18,308.
GERON CORP	05/14/2008	02/04/2009	37,217.	20,272.	16,945.
GERON CORP	06/23/2008	02/05/2009	37,462.	19,763.	17,699.
GERON CORP	06/23/2008	02/06/2009	39,264.	19,763.	19,501.
GERON CORP	06/24/2008	03/06/2009	18,202.	19,166.	-964.
GERON CORP	06/24/2008	03/05/2009	19,492.	19,166.	326.
GERON CORP	08/27/2009	12/16/2009	16,597.	21,627.	-5,030.
GERON CORP	09/10/2009	12/16/2009	11,065.	15,066.	-4,001.
GERON CORP	09/10/2009	12/30/2009	5,512.	7,533.	-2,021.
GERON CORP	09/16/2009	12/30/2009	22,050.	30,040.	-7,990.
GERON CORP	10/06/2009	12/31/2009	10,947.	13,623.	-2,676.
GERON CORP	10/19/2009	12/31/2009	16,420.	21,056.	-4,636.
HARVEST ENERGY TRUST-UNITS CRT	03/13/2009	12/31/2009	28,436.	10,429.	18,007.
HARVEST ENERGY TRUST-UNITS CRT	08/24/2009	12/31/2009	104,266.	67,082.	37,184.
HARVEST ENERGY TRUST-UNITS CRT	10/19/2009	12/31/2009	28,436.	21,887.	6,549.
HERCULES TECHNOLOGY GROWTH	06/11/2008	05/14/2009	8,254.	10,137.	-1,883.
HERCULES TECHNOLOGY GROWTH	06/11/2008	05/15/2009	8,213.	10,138.	-1,925.
HERCULES TECHNOLOGY GROWTH	07/09/2008	05/15/2009	16,425.	17,714.	-1,289.
HERCULES TECHNOLOGY GROWTH	07/09/2008	05/18/2009	24,603.	25,978.	-1,375.
HERCULES TECHNOLOGY GROWTH	07/09/2008	05/20/2009	23,974.	25,978.	-2,004.
HERCULES TECHNOLOGY GROWTH	07/25/2008	05/21/2009	15,414.	20,137.	-4,723.
HERCULES TECHNOLOGY GROWTH	10/07/2008	05/21/2009	7,707.	9,179.	-1,472.
HERCULES TECHNOLOGY GROWTH	12/08/2008	06/08/2009	16,197.	12,040.	4,157.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

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SCHEDULE D-1 (Form 1041).

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE CONNIE BURWELL WHITE AND

Employer identification number: 74-2346047

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a					
HERCULES TECHNOLOGY GROWTH	12/02/2008	06/08/2009	8,099.	6,020.	2,079.
HERCULES TECHNOLOGY GROWTH	12/02/2008	08/24/2009	18,738.	12,040.	6,698.
HERCULES TECHNOLOGY GROWTH	12/02/2008	08/24/2009	18,738.	12,040.	6,698.
HERCULES TECHNOLOGY GROWTH	12/02/2008	10/12/2009	10,261.	6,020.	4,241.
HERCULES TECHNOLOGY GROWTH	02/04/2009	10/12/2009	20,522.	13,222.	7,300.
INTEL CORP	12/02/2008	08/20/2009	36,948.	25,120.	11,828.
INTEL CORP	04/03/2009	08/20/2009	18,474.	15,687.	2,787.
INTEL CORP	04/03/2009	08/28/2009	20,024.	15,687.	4,337.
INTEL CORP	05/08/2009	08/28/2009	20,024.	15,687.	4,337.
INTUITIVE SURGICAL INC	09/30/2008	02/23/2009	9,989.	24,099.	-14,110.
INTUITIVE SURGICAL INC	10/17/2008	02/23/2009	9,989.	19,034.	-9,045.
INTUITIVE SURGICAL INC	01/05/2009	02/23/2009	9,989.	13,098.	-3,109.
JANUS CAPITAL GROUP INC	01/26/2009	03/27/2009	20,229.	16,518.	3,711.
JOHN HANCOCK PFD INCOME III	03/09/2009	06/17/2009	23,950.	12,382.	11,568.
JOHN HANCOCK PFD INCOME III	03/09/2009	06/17/2009	11,975.	6,191.	5,784.
JOHN HANCOCK PFD INCOME III	03/09/2009	06/17/2009	23,950.	12,382.	11,568.
JOHN HANCOCK PFD INCOME III	03/13/2009	08/21/2009	26,521.	14,932.	11,589.
JOHN HANCOCK PFD INCOME III	03/13/2009	08/21/2009	13,261.	7,466.	5,795.
JOHN HANCOCK PFD INCOME III	03/13/2009	08/21/2009	26,521.	14,932.	11,589.
JOY GLOBAL INC	09/12/2008	06/05/2009	39,929.	51,889.	-11,960.
JOY GLOBAL INC	11/10/2008	06/05/2009	39,929.	28,229.	11,700.
LHC GROUP INC	06/18/2009	07/17/2009	24,024.	22,041.	1,983.
MASSMUTUAL CORPORATE INVESTORS	12/15/2008	06/08/2009	48,223.	39,087.	9,136.
MASSMUTUAL CORPORATE INVESTORS	03/10/2009	06/08/2009	48,223.	33,622.	14,601.
OCH-ZIFF CAPITAL MANAGEMENT-A	12/05/2008	03/06/2009	11,052.	9,626.	1,426.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE CONNIE BURWELL WHITE AND

Employer identification number: 74-2346047

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a ORIENT EXPRESS HOTELS LTD - A	03/06/2009	06/29/2009	16,634.	5,950.	10,684.
ORIENT EXPRESS HOTELS LTD - A	04/09/2009	06/29/2009	8,317.	5,627.	2,690.
ORIENT EXPRESS HOTELS LTD - A	04/09/2009	08/10/2009	10,093.	5,627.	4,466.
ORIENT EXPRESS HOTELS LTD - A	06/11/2009	08/10/2009	20,185.	18,102.	2,083.
PEABODY ENERGY CORP	01/13/2009	03/23/2009	28,379.	25,631.	2,748.
PEABODY ENERGY CORP	02/06/2009	03/23/2009	28,379.	29,355.	-976.
PEABODY ENERGY CORP	03/04/2009	03/23/2009	28,379.	24,218.	4,161.
PEABODY ENERGY CORP	04/07/2009	05/04/2009	31,030.	26,932.	4,098.
PEABODY ENERGY CORP	04/16/2009	05/06/2009	32,361.	25,983.	6,378.
PEABODY ENERGY CORP	04/20/2009	05/06/2009	32,361.	24,733.	7,628.
PEABODY ENERGY CORP	06/17/2009	07/15/2009	30,989.	31,276.	-287.
PROLOGIS	05/20/2009	08/07/2009	30,702.	25,655.	5,047.
PROLOGIS	07/30/2009	08/07/2009	20,468.	18,074.	2,394.
RIVERBED TECHNOLOGY INC	03/24/2008	01/07/2009	20,829.	34,148.	-13,319.
STEEL DYNAMICS INC	06/18/2009	07/15/2009	15,033.	15,626.	-593.
STEEL DYNAMICS INC	06/19/2009	07/15/2009	15,033.	15,940.	-907.
TETRA TECH INC	03/11/2009	05/15/2009	23,188.	21,680.	1,508.
TETRA TECHNOLOGIES INC DEL	03/20/2009	05/18/2009	20,208.	10,791.	9,417.
THE BLACKSTONE GROUP LP	10/14/2009	12/17/2009	26,163.	34,774.	-8,611.
THE BLACKSTONE GROUP LP	10/21/2009	12/17/2009	13,081.	16,391.	-3,310.
TRI-CONTINENTAL CORPORATION	12/02/2008	07/30/2009	20,247.	19,975.	272.
TRI-CONTINENTAL CORPORATION	12/12/2008	07/30/2009	10,123.	9,958.	165.
TRI-CONTINENTAL CORPORATION	12/12/2008	07/31/2009	10,231.	9,958.	273.
TRI-CONTINENTAL CORPORATION	12/17/2008	07/31/2009	20,463.	19,941.	522.
TYCO INTERNATIONAL LTD	03/11/2009	04/28/2009	22,664.	19,016.	3,648.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2009**

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: THE CONNIE BURWELL WHITE AND

Employer identification number: 74-2346047

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a					
U S BANCORP NEW	01/27/2009	03/13/2009	28,431.	27,728.	703.
U S BANCORP NEW	02/04/2009	03/13/2009	14,216.	14,877.	-661.
U S BANCORP NEW	02/12/2009	03/24/2009	29,829.	26,461.	3,368.
U S BANCORP NEW	02/20/2009	03/24/2009	14,915.	9,989.	4,926.
U S BANCORP NEW	02/20/2009	03/31/2009	28,915.	19,977.	8,938.
U S BANCORP NEW	02/20/2009	04/02/2009	30,603.	19,977.	10,626.
USG CORP COMMON NEW	06/29/2009	07/21/2009	24,116.	20,424.	3,692.
VALERO ENERGY	10/17/2008	01/29/2009	24,680.	18,549.	6,131.
VALERO ENERGY	03/06/2009	05/13/2009	20,754.	17,004.	3,750.
VALERO ENERGY	06/18/2009	07/17/2009	17,235.	17,894.	-659.
VALERO ENERGY	06/24/2009	07/17/2009	17,235.	16,729.	506.
WELLS FARGO & COMPANY	07/25/2008	07/09/2009	9,130.	29,480.	-20,350.
WELLS FARGO & COMPANY	07/25/2008	07/09/2009	9,130.	29,299.	-20,169.
WELLS FARGO & COMPANY	01/05/2009	07/09/2009	4,884.	6,357.	-1,473.
WELLS FARGO & COMPANY	01/15/2009	07/09/2009	22,929.	20,980.	1,949.
WELLS FARGO & COMPANY	02/24/2009	07/09/2009	22,929.	13,195.	9,734.
WELLS FARGO & COMPANY	04/22/2009	08/10/2009	56,059.	40,105.	15,954.
WELLS FARGO & COMPANY	04/23/2009	08/13/2009	54,707.	37,792.	16,915.
XEROX CORPORATION	04/22/2008	04/15/2009	15,847.	42,657.	-26,810.
XEROX CORPORATION	12/02/2008	04/15/2009	5,282.	6,370.	-1,088.
XEROX CORPORATION	12/02/2008	04/15/2009	5,282.	6,370.	-1,088.
WELLS FARGO CASH IN LIEU	04/23/2008	01/31/2009	11.	0.	11.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . 555,348.

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | **Employer identification number**

Part II **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a AEGON N-V ORD AMER REG	11/19/2007	01/07/2009	13,858.	35,668.	-21,810.
DODGE & COX INTL STOCK (MF)	02/26/2007	02/12/2009	4,222.	10,012.	-5,790.
DODGE & COX INTL STOCK (MF)	03/21/2007	02/12/2009	4,241.	10,012.	-5,771.
DODGE & COX INTL STOCK (MF)	12/28/2007	02/12/2009	506.	1,199.	-693.
ELAN PLC ADR	08/29/2008	12/17/2009	12,683.	27,484.	-14,801.
GARMIN LTD	02/14/2008	08/05/2009	16,412.	33,085.	-16,673.
GARMIN LTD	03/06/2008	08/05/2009	16,412.	28,582.	-12,170.
GARMIN LTD	04/21/2008	08/05/2009	32,825.	45,599.	-12,774.
GENERAL MOTORS ACCEPTANCE CORP	05/10/2005	12/18/2009	29,847.	28,800.	1,047.
GENERAL MOTORS ACCEPTANCE CORP	05/16/2005	12/18/2009	49,746.	47,875.	1,871.
GENERAL MOTORS ACCEPTANCE CORP	08/18/2005	12/18/2009	4,975.	5,081.	-106.
GENERAL MOTORS ACCEPTANCE CORP	08/18/2005	12/18/2009	39,796.	40,650.	-854.
GENERAL MOTORS ACCEPTANCE CORP	03/02/2006	08/17/2009	25,000.	22,500.	2,500.
GERON CORP	11/14/2006	01/13/2009	4,724.	8,869.	-4,145.
GERON CORP	11/14/2006	01/13/2009	472.	887.	-415.
GERON CORP	11/14/2006	01/13/2009	4,724.	8,840.	-4,116.
GERON CORP	11/14/2006	01/13/2009	472.	883.	-411.
GERON CORP	11/14/2006	01/13/2009	354.	666.	-312.
GERON CORP	11/14/2006	01/13/2009	354.	666.	-312.
GERON CORP	11/14/2006	01/13/2009	19.	35.	-16.
GERON CORP	11/14/2006	01/13/2009	175.	322.	-147.
GERON CORP	11/14/2006	01/13/2009	472.	882.	-410.
GERON CORP	11/14/2006	01/13/2009	945.	1,761.	-816.
GERON CORP	11/14/2006	01/13/2009	85.	167.	-82.
GERON CORP	11/14/2006	01/13/2009	472.	881.	-409.

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side | **Employer identification number**

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a GERON CORP	11/14/2006	01/13/2009	38.	70.	-32.
GERON CORP	11/14/2006	01/13/2009	392.	722.	-330.
GERON CORP	11/14/2006	01/13/2009	472.	881.	-409.
GERON CORP	05/16/2007	01/13/2009	9,448.	16,505.	-7,057.
GERON CORP	05/16/2007	01/23/2009	7,553.	8,464.	-911.
GERON CORP	05/16/2007	01/23/2009	7,553.	8,393.	-840.
GERON CORP	06/12/2007	01/23/2009	7,553.	7,936.	-383.
GERON CORP	08/10/2007	01/23/2009	15,105.	12,701.	2,404.
HARVEST ENERGY TRUST-UNITS CRT	10/09/2006	12/31/2009	9,479.	27,044.	-17,565.
HARVEST ENERGY TRUST-UNITS CRT	10/10/2006	12/31/2009	6,635.	18,665.	-12,030.
HARVEST ENERGY TRUST-UNITS CRT	10/10/2006	12/31/2009	2,844.	7,955.	-5,111.
HARVEST ENERGY TRUST-UNITS CRT	08/20/2008	12/31/2009	18,958.	40,263.	-21,305.
HARVEST ENERGY TRUST-UNITS CRT	10/06/2008	12/31/2009	18,958.	23,392.	-4,434.
HARVEST ENERGY TRUST-UNITS CRT	12/02/2008	12/31/2009	9,479.	9,350.	129.
HARVEST ENERGY TRUST-UNITS CRT	12/02/2008	12/31/2009	9,479.	9,350.	129.
HERCULES TECHNOLOGY GROWTH	05/13/2008	05/14/2009	16,509.	20,893.	-4,384.
JOHN HANCOCK PFD INCOME III	07/06/2004	12/17/2009	28,838.	44,140.	-15,302.
JOHN HANCOCK PFD INCOME III	09/01/2004	12/17/2009	14,419.	24,254.	-9,835.
JOHN HANCOCK PFD INCOME III	12/29/2004	12/18/2009	28,997.	45,304.	-16,307.
JOHN HANCOCK PFD INCOME III	12/21/2005	12/18/2009	14,498.	20,093.	-5,595.
MARINER ENERGY INC	08/04/2008	12/17/2009	11,358.	25,632.	-14,274.
MARINER ENERGY INC	08/11/2008	12/17/2009	11,358.	27,142.	-15,784.
MARINER ENERGY INC	08/20/2008	12/17/2009	11,358.	29,119.	-17,761.
MARINER ENERGY INC	08/20/2008	12/18/2009	11,520.	29,119.	-17,599.
MARINER ENERGY INC	08/27/2008	12/18/2009	23,041.	56,741.	-33,700.

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a MARINER ENERGY INC	09/16/2008	12/30/2009	45,471.	89,659.	-44,188.
MARINER ENERGY INC	09/17/2008	12/30/2009	11,368.	22,601.	-11,233.
MASSMUTUAL CORPORATE INVESTORS	11/27/2007	05/18/2009	43,388.	59,194.	-15,806.
WELLS FARGO & COMPANY	11/21/2007	05/07/2009	15,157.	116,827.	-101,670.
WELLS FARGO & COMPANY	11/23/2007	05/07/2009	10,111.	82,130.	-72,019.
WELLS FARGO & COMPANY	04/18/2008	05/07/2009	124.	682.	-558.
WELLS FARGO & COMPANY	04/18/2008	07/09/2009	13,583.	82,464.	-68,881.
WELLS FARGO & COMPANY	04/23/2008	07/09/2009	9,130.	52,550.	-43,420.

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -673,676.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		AEGON N.V ORD AMER REG					02/01/2008	01/07/2009
13,858.		30,341.					-16,483.	
		AEGON N.V ORD AMER REG					06/25/2008	01/07/2009
13,020.		25,626.					-12,606.	
		AEGON N.V ORD AMER REG					05/27/2008	01/07/2009
838.		1,834.					-996.	
		AEGON N.V ORD AMER REG					10/14/2008	01/07/2009
1,538.		2,260.					-722.	
		AEGON N-V ORD AMER REG					11/19/2007	01/07/2009
13,858.		35,668.					-21,810.	
		AMERICAN INTERNATIONAL GROUP INC NEW					08/22/2008	08/06/2009
1,163.		20,294.					-19,131.	
		AMERICAN INTERNATIONAL GROUP INC NEW					12/02/2008	08/06/2009
1,163.		1,650.					-487.	
		AMERICAN INTERNATIONAL GROUP INC NEW					07/02/2009	08/06/2009
465.		416.					49.	
		AMERICAN INTERNATIONAL GROUP INC NEW					07/02/2009	08/06/2009
1,861.		1,596.					265.	
		APPLE INC					10/20/2008	03/05/2009
44,541.		48,904.					-4,363.	
		APPLE INC					12/17/2008	03/05/2009
44,541.		44,586.					-45.	
		APPLE INC					05/08/2009	06/16/2009
41,168.		38,733.					2,435.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		APPLE INC					05/15/2009	06/16/2009
41,168.		37,352.					3,816.	
		AT&T INC					04/03/2009	11/02/2009
25,135.		27,325.					-2,190.	
		BANK OF AMERICA CORP					08/27/2008	05/07/2009
14,330.		30,059.					-15,729.	
		BANK OF AMERICA CORP					08/27/2008	05/07/2009
14,330.		29,968.					-15,638.	
		BANK OF AMERICA CORP					12/02/2008	05/11/2009
13,277.		12,850.					427.	
		BANK OF AMERICA CORP					12/02/2008	05/11/2009
13,277.		12,850.					427.	
		BANK OF AMERICA CORP					12/12/2008	07/31/2009
14,150.		14,805.					-655.	
		BANK OF AMERICA CORP					01/15/2009	07/31/2009
28,299.		16,483.					11,816.	
		BANK OF AMERICA CORP					01/15/2009	08/20/2009
49,963.		24,724.					25,239.	
		BANK OF AMERICA CORP					06/23/2009	10/19/2009
51,402.		37,524.					13,878.	
		BANK OF AMERICA CORP					07/17/2009	10/19/2009
34,268.		26,272.					7,996.	
		BOEING CO					12/02/2008	06/08/2009
52,018.		39,880.					12,138.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		BOEING CO					12/02/2008	06/08/2009
52,018.		39,880.					12,138.	
		BOEING CO					12/02/2008	06/22/2009
46,779.		39,880.					6,899.	
		BOEING CO					02/09/2009	06/22/2009
46,779.		43,413.					3,366.	
		BOEING CO					02/09/2009	08/24/2009
46,633.		43,413.					3,220.	
		BOEING CO					05/07/2009	08/24/2009
46,633.		43,586.					3,047.	
		BOEING CO					06/29/2009	08/27/2009
103,114.		86,429.					16,685.	
		CAMPBELL SOUP CO					05/11/2009	11/12/2009
32,999.		27,447.					5,552.	
		CAMPBELL SOUP CO					08/24/2009	11/12/2009
32,999.		31,539.					1,460.	
		CATERPILLAR INC					01/05/2009	04/22/2009
34,346.		47,288.					-12,942.	
		CATERPILLAR INC					01/26/2009	04/22/2009
34,346.		34,345.					1.	
		CATERPILLAR INC					01/29/2009	05/04/2009
38,120.		32,383.					5,737.	
		CATERPILLAR INC					04/13/2009	05/04/2009
38,120.		33,740.					4,380.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		CATERPILLAR INC					04/13/2009	05/05/2009
38,527.		33,740.					4,787.	
		CATERPILLAR INC					04/20/2009	05/05/2009
38,527.		31,005.					7,522.	
		CATERPILLAR INC					05/14/2009	07/15/2009
32,941.		36,597.					-3,656.	
		CATERPILLAR INC					05/15/2009	07/15/2009
32,941.		36,978.					-4,037.	
		CATERPILLAR INC.					06/18/2009	07/15/2009
32,941.		34,696.					-1,755.	
		CATERPILLAR INC.					07/07/2009	07/15/2009
32,941.		30,824.					2,117.	
		CHINA YUCHAI INTL LTD					03/31/2009	09/01/2009
16,841.		9,889.					6,952.	
		CHINA YUCHAI INTL LTD					06/23/2009	09/01/2009
8,421.		7,163.					1,258.	
		CHINA YUCHAI INTL LTD					06/23/2009	10/21/2009
11,519.		7,163.					4,356.	
		CHINA YUCHAI INTL LTD					07/23/2009	10/21/2009
23,038.		17,485.					5,553.	
		CHINA YUCHAI INTL LTD					07/30/2009	10/21/2009
23,038.		17,176.					5,862.	
		CHINA YUCHAI INTL LTD					07/30/2009	10/22/2009
22,039.		17,176.					4,863.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		CHINA YUCHAI INTL LTD					09/10/2009	10/22/2009
33,058.		28,393.					4,665.	
		CHUBB CORPORATION					05/21/2009	07/15/2009
40,240.		38,760.					1,480.	
		CHUBB CORPORATION					05/22/2009	07/15/2009
40,240.		39,674.					566.	
		CHUBB CORPORATION					06/16/2009	07/15/2009
40,240.		40,590.					-350.	
		COBIZ FINANCIAL INC					03/03/2009	05/13/2009
11,907.		9,751.					2,156.	
		COBIZ FINANCIAL INC					04/09/2009	05/13/2009
11,907.		11,871.					36.	
		COSTCO WHOLESALE CORP					06/25/2009	11/10/2009
59,211.		46,755.					12,456.	
		COSTCO WHOLESALE CORP					07/23/2009	11/10/2009
59,211.		49,359.					9,852.	
		COVIDIEN PLC					05/08/2009	06/11/2009
35,655.		35,149.					506.	
		COVIDIEN PLC					05/15/2009	06/11/2009
35,655.		36,789.					-1,134.	
		COVIDIEN PLC					06/29/2009	08/17/2009
38,789.		37,300.					1,489.	
		COVIDIEN PLC					07/23/2009	08/17/2009
38,789.		36,775.					2,014.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		DENBURY RESOURCES INC					01/29/2009	03/05/2009
12,970.		12,952.					18.	
		DEVELOPERS DIVERSIFIED RLTY CORP COM					08/05/2009	09/14/2009
16,555.		13,993.					2,562.	
		DODGE & COX INTL STOCK (MF)					12/22/2008	02/12/2009
26.		28.					-2.	
		DODGE & COX INTL STOCK (MF)					12/22/2008	02/12/2009
651.		701.					-50.	
		DODGE & COX INTL STOCK (MF)					12/22/2008	02/12/2009
402.		433.					-31.	
		DODGE & COX INTL STOCK (MF)					02/26/2007	02/12/2009
4,222.		10,012.					-5,790.	
		DODGE & COX INTL STOCK (MF)					03/21/2007	02/12/2009
4,241.		10,012.					-5,771.	
		DODGE & COX INTL STOCK (MF)					12/28/2007	02/12/2009
506.		1,199.					-693.	
		DRYSHIPS INC					06/05/2009	12/17/2009
11,878.		14,610.					-2,732.	
		DRYSHIPS INC					09/10/2009	12/17/2009
17,817.		19,629.					-1,812.	
		ELAN PLC ADR					08/29/2008	12/17/2009
12,683.		27,484.					-14,801.	
		FLOWSERVE CORPORATION					02/09/2009	05/20/2009
70,937.		57,940.					12,997.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		FLOWSERVE CORPORATION					02/24/2009	05/20/2009
35,469.		24,082.					11,387.	
		FLOWSERVE CORPORATION					02/24/2009	06/05/2009
40,463.		24,082.					16,381.	
		FLOWSERVE CORPORATION					04/02/2009	06/05/2009
80,926.		61,212.					19,714.	
		FLOWSERVE CORPORATION					05/12/2009	06/05/2009
40,463.		34,392.					6,071.	
		FLOWSERVE CORPORATION					06/23/2009	07/21/2009
68,524.		71,221.					-2,697.	
		FLOWSERVE CORPORATION					06/30/2009	07/21/2009
68,524.		70,612.					-2,088.	
		FLOWSERVE CORPORATION					07/08/2009	07/21/2009
68,524.		62,737.					5,787.	
		FOREST OIL CORP					08/18/2008	05/19/2009
19,052.		51,811.					-32,759.	
		FOREST OIL CORP					08/18/2008	05/19/2009
19,052.		52,026.					-32,974.	
		FOREST OIL CORP					10/01/2008	05/19/2009
19,052.		46,201.					-27,149.	
		FOREST OIL CORP					10/08/2008	05/19/2009
19,052.		32,558.					-13,506.	
		FOREST OIL CORP					11/10/2008	05/19/2009
19,052.		24,951.					-5,899.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		FOREST OIL CORP					12/15/2008	05/19/2009
19,052.		18,310.					742.	
		FOREST OIL CORP					01/15/2009	05/19/2009
38,104.		29,005.					9,099.	
		FOREST OIL CORP					02/09/2009	05/19/2009
38,104.		34,674.					3,430.	
		FOREST OIL CORP					04/22/2009	05/19/2009
19,052.		16,619.					2,433.	
		FOREST OIL CORP					04/23/2009	05/19/2009
19,052.		16,406.					2,646.	
		FOREST OIL CORP					08/18/2009	11/16/2009
20,274.		16,406.					3,868.	
		FOREST OIL CORP					08/19/2009	11/16/2009
20,274.		16,862.					3,412.	
		FOREST OIL CORP					08/24/2009	11/16/2009
20,274.		17,462.					2,812.	
		FOREST OIL CORP					08/24/2009	11/17/2009
39,075.		35,578.					3,497.	
		FOREST OIL CORP					08/28/2009	11/17/2009
19,538.		16,351.					3,187.	
		FOREST OIL CORP					08/28/2009	11/18/2009
19,030.		16,351.					2,679.	
		FOREST OIL CORP					09/15/2009	11/18/2009
38,061.		38,441.					-380.	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		FOREST OIL CORP					09/15/2009	11/19/2009
35,814.		38,415.					-2,601.	
		FOREST OIL CORP					10/13/2009	11/19/2009
17,907.		21,782.					-3,875.	
		FOREST OIL CORP					10/13/2009	11/20/2009
17,509.		21,782.					-4,273.	
		FOREST OIL CORP					11/05/2009	11/20/2009
35,019.		41,470.					-6,451.	
		FUEL SYSTEMS SOLUTIONS INC					03/11/2009	04/03/2009
28,478.		24,585.					3,893.	
		GANNETT CO INC					12/04/2008	08/28/2009
17,625.		18,342.					-717.	
		GANNETT CO INC					12/10/2008	08/28/2009
8,813.		8,617.					196.	
		GANNETT CO INC					12/10/2008	09/29/2009
11,373.		8,617.					2,756.	
		GANNETT CO INC					12/12/2008	09/29/2009
22,747.		15,197.					7,550.	
		GANNETT CO INC					12/29/2008	09/29/2009
11,373.		7,578.					3,795.	
		GANNETT CO INC					12/29/2008	10/05/2009
12,044.		7,578.					4,466.	
		GANNETT CO INC					01/05/2009	10/05/2009
24,088.		18,393.					5,695.	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		GANNETT CO INC					01/23/2009	10/06/2009
38,599.		20,715.					17,884.	
		GANNETT CO INC					01/23/2009	10/07/2009
24,937.		13,810.					11,127.	
		GANNETT CO INC					02/24/2009	10/07/2009
12,469.		4,202.					8,267.	
		GANNETT CO INC					02/24/2009	10/08/2009
39,434.		12,606.					26,828.	
		GANNETT CO INC					02/24/2009	10/13/2009
12,881.		4,202.					8,679.	
		GANNETT CO INC					06/10/2009	10/13/2009
12,881.		4,452.					8,429.	
		GANNETT CO INC					06/10/2009	10/15/2009
25,801.		8,904.					16,897.	
		GARMIN LTD					12/09/2008	08/05/2009
65,650.		39,988.					25,662.	
		GARMIN LTD					02/14/2008	08/05/2009
16,412.		33,085.					-16,673.	
		GARMIN LTD					03/06/2008	08/05/2009
16,412.		28,582.					-12,170.	
		GARMIN LTD					04/21/2008	08/05/2009
32,825.		45,599.					-12,774.	
		GENERAL ELECTRIC CO					09/30/2008	09/08/2009
14,308.		24,710.					-10,402.	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		GENERAL ELECTRIC CO					10/02/2008	09/08/2009
14,308.		22,784.					-8,476.	
		GENERAL ELECTRIC CO					10/14/2008	09/08/2009
14,308.		20,361.					-6,053.	
		GENERAL ELECTRIC CO					12/17/2008	09/11/2009
29,272.		35,579.					-6,307.	
		GENERAL ELECTRIC CO					01/06/2009	09/11/2009
14,636.		17,075.					-2,439.	
		GENERAL ELECTRIC CO					01/06/2009	09/16/2009
16,625.		17,075.					-450.	
		GENERAL ELECTRIC CO					01/26/2009	09/16/2009
49,875.		37,527.					12,348.	
		GENERAL ELECTRIC CO					02/05/2009	09/17/2009
33,907.		22,300.					11,607.	
		GENERAL ELECTRIC CO					03/10/2009	09/18/2009
32,394.		18,094.					14,300.	
		GENERAL ELECTRIC CO					05/13/2009	10/12/2009
16,127.		13,167.					2,960.	
		GENERAL ELECTRIC CO					07/23/2009	10/12/2009
32,254.		24,279.					7,975.	
		GENERAL ELECTRIC CO.					05/12/2009	10/01/2009
31,879.		28,009.					3,870.	
		GENERAL ELECTRIC CO.					05/13/2009	10/01/2009
15,939.		13,167.					2,772.	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		GENERAL MOTORS ACCEPTANCE CORP					05/10/2005	12/18/2009
29,847.		28,800.					1,047.	
		GENERAL MOTORS ACCEPTANCE CORP					05/16/2005	12/18/2009
49,746.		47,875.					1,871.	
		GENERAL MOTORS ACCEPTANCE CORP					08/18/2005	12/18/2009
4,975.		5,081.					-106.	
		GENERAL MOTORS ACCEPTANCE CORP					08/18/2005	12/18/2009
39,796.		40,650.					-854.	
		GENERAL MOTORS ACCEPTANCE CORP					03/02/2006	08/17/2009
25,000.		22,500.					2,500.	
		GERON CORP					02/29/2008	01/26/2009
40,140.		25,653.					14,487.	
		GERON CORP					02/29/2008	01/29/2009
34,822.		25,653.					9,169.	
		GERON CORP					03/03/2008	01/30/2009
38,246.		23,953.					14,293.	
		GERON CORP					05/14/2008	02/02/2009
38,580.		20,272.					18,308.	
		GERON CORP					05/14/2008	02/04/2009
37,217.		20,272.					16,945.	
		GERON CORP					06/23/2008	02/05/2009
37,462.		19,763.					17,699.	
		GERON CORP					06/23/2008	02/06/2009
39,264.		19,763.					19,501.	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		GERON CORP					06/24/2008	03/06/2009
18,202.		19,166.					-964.	
		GERON CORP					06/24/2008	03/05/2009
19,492.		19,166.					326.	
		GERON CORP					08/27/2009	12/16/2009
16,597.		21,627.					-5,030.	
		GERON CORP					09/10/2009	12/16/2009
11,065.		15,066.					-4,001.	
		GERON CORP					09/10/2009	12/30/2009
5,512.		7,533.					-2,021.	
		GERON CORP					09/16/2009	12/30/2009
22,050.		30,040.					-7,990.	
		GERON CORP					10/06/2009	12/31/2009
10,947.		13,623.					-2,676.	
		GERON CORP					10/19/2009	12/31/2009
16,420.		21,056.					-4,636.	
		GERON CORP					11/14/2006	01/13/2009
4,724.		8,869.					-4,145.	
		GERON CORP					11/14/2006	01/13/2009
472.		887.					-415.	
		GERON CORP					11/14/2006	01/13/2009
4,724.		8,840.					-4,116.	
		GERON CORP					11/14/2006	01/13/2009
472.		883.					-411.	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		GERON CORP					11/14/2006	01/13/2009
354.		666.					-312.	
		GERON CORP					11/14/2006	01/13/2009
354.		666.					-312.	
		GERON CORP					11/14/2006	01/13/2009
19.		35.					-16.	
		GERON CORP					11/14/2006	01/13/2009
175.		322.					-147.	
		GERON CORP					11/14/2006	01/13/2009
472.		882.					-410.	
		GERON CORP					11/14/2006	01/13/2009
945.		1,761.					-816.	
		GERON CORP					11/14/2006	01/13/2009
85.		167.					-82.	
		GERON CORP					11/14/2006	01/13/2009
472.		881.					-409.	
		GERON CORP					11/14/2006	01/13/2009
38.		70.					-32.	
		GERON CORP					11/14/2006	01/13/2009
392.		722.					-330.	
		GERON CORP					11/14/2006	01/13/2009
472.		881.					-409.	
		GERON CORP					05/16/2007	01/13/2009
9,448.		16,505.					-7,057.	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		GERON CORP					05/16/2007	01/23/2009
7,553.		8,464.					-911.	
		GERON CORP					05/16/2007	01/23/2009
7,553.		8,393.					-840.	
		GERON CORP					06/12/2007	01/23/2009
7,553.		7,936.					-383.	
		GERON CORP					08/10/2007	01/23/2009
15,105.		12,701.					2,404.	
		HARVEST ENERGY TRUST-UNITS CRT					03/13/2009	12/31/2009
28,436.		10,429.					18,007.	
		HARVEST ENERGY TRUST-UNITS CRT					08/24/2009	12/31/2009
104,266.		67,082.					37,184.	
		HARVEST ENERGY TRUST-UNITS CRT					10/19/2009	12/31/2009
28,436.		21,887.					6,549.	
		HARVEST ENERGY TRUST-UNITS CRT					10/09/2006	12/31/2009
9,479.		27,044.					-17,565.	
		HARVEST ENERGY TRUST-UNITS CRT					10/10/2006	12/31/2009
6,635.		18,665.					-12,030.	
		HARVEST ENERGY TRUST-UNITS CRT					10/10/2006	12/31/2009
2,844.		7,955.					-5,111.	
		HARVEST ENERGY TRUST-UNITS CRT					08/20/2008	12/31/2009
18,958.		40,263.					-21,305.	
		HARVEST ENERGY TRUST-UNITS CRT					10/06/2008	12/31/2009
18,958.		23,392.					-4,434.	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		HARVEST ENERGY TRUST-UNITS CRT					12/02/2008	12/31/2009
9,479.		9,350.					129.	
		HARVEST ENERGY TRUST-UNITS CRT					12/02/2008	12/31/2009
9,479.		9,350.					129.	
		HERCULES TECHNOLOGY GROWTH					06/11/2008	05/14/2009
8,254.		10,137.					-1,883.	
		HERCULES TECHNOLOGY GROWTH					06/11/2008	05/15/2009
8,213.		10,138.					-1,925.	
		HERCULES TECHNOLOGY GROWTH					07/09/2008	05/15/2009
16,425.		17,714.					-1,289.	
		HERCULES TECHNOLOGY GROWTH					07/09/2008	05/18/2009
24,603.		25,978.					-1,375.	
		HERCULES TECHNOLOGY GROWTH					07/09/2008	05/20/2009
23,974.		25,978.					-2,004.	
		HERCULES TECHNOLOGY GROWTH					07/25/2008	05/21/2009
15,414.		20,137.					-4,723.	
		HERCULES TECHNOLOGY GROWTH					10/07/2008	05/21/2009
7,707.		9,179.					-1,472.	
		HERCULES TECHNOLOGY GROWTH					12/02/2008	06/08/2009
16,197.		12,040.					4,157.	
		HERCULES TECHNOLOGY GROWTH					12/02/2008	06/08/2009
8,099.		6,020.					2,079.	
		HERCULES TECHNOLOGY GROWTH					12/02/2008	08/24/2009
18,738.		12,040.					6,698.	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		HERCULES TECHNOLOGY GROWTH					12/02/2008	08/24/2009
18,738.		12,040.					6,698.	
		HERCULES TECHNOLOGY GROWTH					12/02/2008	10/12/2009
10,261.		6,020.					4,241.	
		HERCULES TECHNOLOGY GROWTH					02/04/2009	10/12/2009
20,522.		13,222.					7,300.	
		HERCULES TECHNOLOGY GROWTH					05/13/2008	05/14/2009
16,509.		20,893.					-4,384.	
		INTEL CORP					12/02/2008	08/20/2009
36,948.		25,120.					11,828.	
		INTEL CORP					04/03/2009	08/20/2009
18,474.		15,687.					2,787.	
		INTEL CORP					04/03/2009	08/28/2009
20,024.		15,687.					4,337.	
		INTEL CORP					05/08/2009	08/28/2009
20,024.		15,687.					4,337.	
		INTUITIVE SURGICAL INC					09/30/2008	02/23/2009
9,989.		24,099.					-14,110.	
		INTUITIVE SURGICAL INC					10/17/2008	02/23/2009
9,989.		19,034.					-9,045.	
		INTUITIVE SURGICAL INC					01/05/2009	02/23/2009
9,989.		13,098.					-3,109.	
		JANUS CAPITAL GROUP INC					01/26/2009	03/27/2009
20,229.		16,518.					3,711.	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		JOHN HANCOCK PFD INCOME III					03/09/2009	06/17/2009
23,950.		12,382.					11,568.	
		JOHN HANCOCK PFD INCOME III					03/09/2009	06/17/2009
11,975.		6,191.					5,784.	
		JOHN HANCOCK PFD INCOME III					03/09/2009	06/17/2009
23,950.		12,382.					11,568.	
		JOHN HANCOCK PFD INCOME III					03/13/2009	08/21/2009
26,521.		14,932.					11,589.	
		JOHN HANCOCK PFD INCOME III					03/13/2009	08/21/2009
13,261.		7,466.					5,795.	
		JOHN HANCOCK PFD INCOME III					03/13/2009	08/21/2009
26,521.		14,932.					11,589.	
		JOHN HANCOCK PFD INCOME III					07/06/2004	12/17/2009
28,838.		44,140.					-15,302.	
		JOHN HANCOCK PFD INCOME III					09/01/2004	12/17/2009
14,419.		24,254.					-9,835.	
		JOHN HANCOCK PFD INCOME III					12/29/2004	12/18/2009
28,997.		45,304.					-16,307.	
		JOHN HANCOCK PFD INCOME III					12/21/2005	12/18/2009
14,498.		20,093.					-5,595.	
		JOY GLOBAL INC					09/12/2008	06/05/2009
39,929.		51,889.					-11,960.	
		JOY GLOBAL INC					11/10/2008	06/05/2009
39,929.		28,229.					11,700.	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		LHC GROUP INC					06/18/2009	07/17/2009
24,024.		22,041.					1,983.	
		MARINER ENERGY INC					08/04/2008	12/17/2009
11,358.		25,632.					-14,274.	
		MARINER ENERGY INC					08/11/2008	12/17/2009
11,358.		27,142.					-15,784.	
		MARINER ENERGY INC					08/20/2008	12/17/2009
11,358.		29,119.					-17,761.	
		MARINER ENERGY INC					08/20/2008	12/18/2009
11,520.		29,119.					-17,599.	
		MARINER ENERGY INC					08/27/2008	12/18/2009
23,041.		56,741.					-33,700.	
		MARINER ENERGY INC					09/16/2008	12/30/2009
45,471.		89,659.					-44,188.	
		MARINER ENERGY INC					09/17/2008	12/30/2009
11,368.		22,601.					-11,233.	
		MASSMUTUAL CORPORATE INVESTORS					12/15/2008	06/08/2009
48,223.		39,087.					9,136.	
		MASSMUTUAL CORPORATE INVESTORS					03/10/2009	06/08/2009
48,223.		33,622.					14,601.	
		MASSMUTUAL CORPORATE INVESTORS					11/27/2007	05/18/2009
43,388.		59,194.					-15,806.	
		OCH-ZIFF CAPITAL MANAGEMENT-A					12/05/2008	03/06/2009
11,052.		9,626.					1,426.	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		ORIENT EXPRESS HOTELS LTD - A					03/06/2009	06/29/2009
16,634.		5,950.					10,684.	
		ORIENT EXPRESS HOTELS LTD - A					04/09/2009	06/29/2009
8,317.		5,627.					2,690.	
		ORIENT EXPRESS HOTELS LTD - A					04/09/2009	08/10/2009
10,093.		5,627.					4,466.	
		ORIENT EXPRESS HOTELS LTD - A					06/11/2009	08/10/2009
20,185.		18,102.					2,083.	
		PEABODY ENERGY CORP					01/13/2009	03/23/2009
28,379.		25,631.					2,748.	
		PEABODY ENERGY CORP					02/06/2009	03/23/2009
28,379.		29,355.					-976.	
		PEABODY ENERGY CORP					03/04/2009	03/23/2009
28,379.		24,218.					4,161.	
		PEABODY ENERGY CORP					04/07/2009	05/04/2009
31,030.		26,932.					4,098.	
		PEABODY ENERGY CORP					04/16/2009	05/06/2009
32,361.		25,983.					6,378.	
		PEABODY ENERGY CORP					04/20/2009	05/06/2009
32,361.		24,733.					7,628.	
		PEABODY ENERGY CORP					06/17/2009	07/15/2009
30,989.		31,276.					-287.	
		PROLOGIS					05/20/2009	08/07/2009
30,702.		25,655.					5,047.	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PROLOGIS					07/30/2009	08/07/2009
20,468.		18,074.					2,394.	
		RIVERBED TECHNOLOGY INC					03/24/2008	01/07/2009
20,829.		34,148.					-13,319.	
		STEEL DYNAMICS INC					06/18/2009	07/15/2009
15,033.		15,626.					-593.	
		STEEL DYNAMICS INC					06/19/2009	07/15/2009
15,033.		15,940.					-907.	
		TETRA TECH INC					03/11/2009	05/15/2009
23,188.		21,680.					1,508.	
		TETRA TECHNOLOGIES INC DEL					03/20/2009	05/18/2009
20,208.		10,791.					9,417.	
		THE BLACKSTONE GROUP LP					10/14/2009	12/17/2009
26,163.		34,774.					-8,611.	
		THE BLACKSTONE GROUP LP					10/21/2009	12/17/2009
13,081.		16,391.					-3,310.	
		TRI-CONTINENTAL CORPORATION					12/02/2008	07/30/2009
20,247.		19,975.					272.	
		TRI-CONTINENTAL CORPORATION					12/12/2008	07/30/2009
10,123.		9,958.					165.	
		TRI-CONTINENTAL CORPORATION					12/12/2008	07/31/2009
10,231.		9,958.					273.	
		TRI-CONTINENTAL CORPORATION					12/17/2008	07/31/2009
20,463.		19,941.					522.	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TYCO INTERNATIONAL LTD					03/11/2009	04/22/2009
22,664.		19,016.					3,648.	
		U S BANCORP NEW					01/27/2009	03/13/2009
28,431.		27,728.					703.	
		U S BANCORP NEW					02/04/2009	03/13/2009
14,216.		14,877.					-661.	
		U S BANCORP NEW					02/12/2009	03/24/2009
29,829.		26,461.					3,368.	
		U S BANCORP NEW					02/20/2009	03/24/2009
14,915.		9,989.					4,926.	
		U S BANCORP NEW					02/20/2009	03/31/2009
28,915.		19,977.					8,938.	
		U S BANCORP NEW					02/20/2009	04/02/2009
30,603.		19,977.					10,626.	
		USG CORP COMMON NEW					06/29/2009	07/21/2009
24,116.		20,424.					3,692.	
		VALERO ENERGY					10/17/2008	01/29/2009
24,680.		18,549.					6,131.	
		VALERO ENERGY					03/06/2009	05/13/2009
20,754.		17,004.					3,750.	
		VALERO ENERGY					06/18/2009	07/17/2009
17,235.		17,894.					-659.	
		VALERO ENERGY					06/24/2009	07/17/2009
17,235.		16,729.					506.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		WELLS FARGO & COMPANY					07/25/2008	07/09/2009
9,130.		29,480.					-20,350.	
		WELLS FARGO & COMPANY					07/25/2008	07/09/2009
9,130.		29,299.					-20,169.	
		WELLS FARGO & COMPANY					01/05/2009	07/09/2009
4,884.		6,357.					-1,473.	
		WELLS FARGO & COMPANY					01/15/2009	07/09/2009
22,929.		20,980.					1,949.	
		WELLS FARGO & COMPANY					02/24/2009	07/09/2009
22,929.		13,195.					9,734.	
		WELLS FARGO & COMPANY					04/22/2009	08/10/2009
56,059.		40,105.					15,954.	
		WELLS FARGO & COMPANY					04/23/2009	08/13/2009
54,707.		37,792.					16,915.	
		WELLS FARGO & COMPANY					11/21/2007	05/07/2009
15,157.		116,827.					-101,670.	
		WELLS FARGO & COMPANY					11/23/2007	05/07/2009
10,111.		82,130.					-72,019.	
		WELLS FARGO & COMPANY					04/18/2008	05/07/2009
124.		682.					-558.	
		WELLS FARGO & COMPANY					04/18/2008	07/09/2009
13,583.		82,464.					-68,881.	
		WELLS FARGO & COMPANY					04/23/2008	07/09/2009
9,130.		52,550.					-43,420.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		XEROX CORPORATION					04/22/2008	04/15/2009
15,847.		42,657.					-26,810.	
		XEROX CORPORATION					12/02/2008	04/15/2009
5,282.		6,370.					-1,088.	
		XEROX CORPORATION					12/02/2008	04/15/2009
5,282.		6,370.					-1,088.	
		WELLS FARGO CASH IN LIEU					04/23/2008	01/31/2009
11.		0.					11.	
TOTAL GAIN(LOSS)							-118,328.	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GENERAL MOTORS	32,341.	32,341.
GUARANTY BANK	34.	34.
ACCRUED INTEREST - GENERAL MOTORS	4,144.	4,144.
TOTAL	36,519.	36,519.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BATHGATE CAPITAL	146,114.	146,114.
DIVIDENDS - MONEY MARKET	461.	461.
TOTAL	146,575.	146,575.

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
TYCO SETTLEMENT CHECK	9,538.	9,538.
FEDERAL TAX REFUND	3,602.	0.
ROYALTY INCOME	4,371.	4,371.
RETURN OF CAPITAL	3,630.	0.
TOTALS	21,141.	13,909.

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MARGIN INTEREST		17.	17.
	TOTALS	17.	17.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	1,553.	1,553.
TOTALS	1,553.	1,553.

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK CHARGES	118.	118.
MISCELLANEOUS	2,385.	2,385.
MANAGEMENT FEES	37,013.	37,013.
TOTALS	39,516.	39,516.

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 7

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
INVESTMENT IN SECURITIES	4,867,606.	4,673,047.
TOTALS	4,867,606.	4,673,047.

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS ATTACHMENT 8

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
ESTATE OF CONNIE B. WHITE 3700 EAST ALAMEDA, SUITE 300 DENVER, CO 80209	VARIOUS	2,231,637.
TOTAL CONTRIBUTION AMOUNTS		2,231,637.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION
JOHN R. MORAN 3700 E. ALAMEDA AVENUE, SUITE 300 DENVER, CO 80209	PRESIDENT	10,000.
BETH E. HOLCOMBE 3700 E. ALAMEDA AVENUE, SUITE 300 DENVER, CO 80209	SECRETARY/TREASURER	12,000.
	GRAND TOTALS	22,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SWEET BRIAR COLLEGE BOX G SWEET BRIAR, VA 24595	PUBLIC	EDUCATIONAL	5,000
BOYS & GIRLS CLUB OF DENVER 2017 W. 9TH AVENUE DENVER, CO 80204	PUBLIC	EDUCATIONAL	2,000
ROCKY MOUNTAIN PBS 1089 BANNOCK STREET DENVER, CO 80204	PUBLIC	EDUCATIONAL	2,000
JUNIOR LEAGUE OF DENVER FOUNDATION 6300 E. YALE AVENUE DENVER, CO 80222	PUBLIC	EDUCATIONAL	10,000.
FOOD BANK OF THE ROCKIES 10700 EAST 45TH AVENUE DENVER, CO 80239-2906	PUBLIC	GENERAL OPERATING FUND	5,000
DENVER ART MUSEUM 100 W 14TH AVE PKWY DENVER, CO 80204	PUBLIC	EDUCATIONAL	25,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF NORTH CAROLINA P O. BOX 309 CHAPEL HILL, NC 27514-0309	PUBLIC	EDUCATIONAL	5,000
ANCHOR CENTER FOR BLIND CHILDREN 2550 ROSLYN STREET DENVER, CO 80238	PUBLIC	EDUCATIONAL	10,000.
DOMINICAN SISTERS OF HOPE 320 POWELL AVENUE NEWBURGH, NY 12550	PUBLIC	GENERAL OPERATING FUND	2,000.
SALVATION ARMY P O. BOX 269 ALEXANDRIA, VA 22314	PUBLIC	GENERAL OPERATING FUND	5,000
COLORADO COUNCIL FOR ECONOMIC EDUCATION 3443 S GALENA STREET SUITE 190 DENVER, CO 80231	PUBLIC	EDUCATIONAL	10,490
CENTER FOR WOMEN'S HEALTH RESEARCH 12635 E. MONTVIEW BLVD. AURORA, CO 80045	PUBLIC	GENERAL OPERATING FUND	50,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF COLORADO - SCHOOL OF JOURNALISM BOX 287 BOULDER, CO 80309	PUBLIC	EDUCATIONAL	27,000
MENTAL HEALTH ASSOCIATION OF COLORADO 1385 S. COLORADO BLVD., STE. 610 DENVER, CO 80222	PUBLIC	GENERAL OPERATING FUND	25,000
THE GATHERING PLACE 1535 HIGH STREET DENVER, CO 80218	PUBLIC	EDUCATION	5,000.
ANTI-DEFAMATION LEAGUE 1120 LINCOLN STREET DENVER, CO 80203-2140	PUBLIC	EDUCATION	5,000
FATHER WOODY'S HAVEN OF HOPE 1101 WEST 7TH AVENUE DENVER, CO 80204-4438	PUBLIC	EDUCATION	10,000
MAYO CLINIC 200 FIRST STREET SW ROCHESTER, MN 55905	PUBLIC	EDUCATION	5,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SAFEHOUSE DENVER 1649 DOWNING STREET DENVER, CO 80218	PUBLIC	EDUCATION	5,000
WOMEN'S FOUNDATION OF COLORADO 1901 EAST ASBURY AVENUE DENVER, CO 80208	PUBLIC	EDUCATION	5,000
THE LUNG CONNECTION 23405 E 5TH PL #103 AURORA, CO 80018	PUBLIC	EDUCATION	5,000
		TOTAL CONTRIBUTIONS PAID	223,490