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Extension Attached

Form **990-PF**
**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply. ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

|                                                                                                                                                                                                                                                  |                                                                                     |                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Use the IRS label. Otherwise, print or type. See Specific Instructions                                                                                                                                                                           | Robert Glenn Rapp Foundation<br>5400 W. Grand Blvd. #545<br>Oklahoma City, OK 73112 |                                                                                                                                                                                                                                                          | A Employer identification number<br>73-0616840                                                                                                                                                                                    |
|                                                                                                                                                                                                                                                  |                                                                                     |                                                                                                                                                                                                                                                          | B Telephone number (see the instructions)<br>(405) 524-1116                                                                                                                                                                       |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |                                                                                     | C If exemption application is pending, check here <input type="checkbox"/><br>D 1 Foreign organizations, check here <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |                                                                                                                                                                                                                                   |
| I Fair market value of all assets at end of year (from Part II, column (c), line 16)<br>\$ 22,243,779.                                                                                                                                           |                                                                                     | J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.)                                                           | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/><br>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).) |                                                                                | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| SCANNED NOV 24 2010<br>ADMINISTRATIVE EXPENSES                                                                                                                          | 1 Contributions, gifts, grants, etc. received (att sch)                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 3 Interest on savings and temporary cash investments                           | 176,944.                           | 176,944.                  | 176,944.                |                                                             |
|                                                                                                                                                                         | 4 Dividends and interest from securities                                       | 150,055.                           | 150,055.                  | 150,055.                |                                                             |
|                                                                                                                                                                         | 5a Gross rents                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | b Net rental income or (loss)                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 6a Net gain/(loss) from sale of assets not on line 10                          | -388,193.                          |                           |                         |                                                             |
|                                                                                                                                                                         | b Gross sales price for all assets on line 6a 6,162,893.                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 7 Capital gain net income (from Part IV, line 2)                               |                                    | 0.                        |                         |                                                             |
|                                                                                                                                                                         | 8 Net short-term capital gain                                                  |                                    |                           | 0.                      |                                                             |
|                                                                                                                                                                         | 9 Income modifications                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 10a Gross sales less returns and allowances                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | b Less Cost of goods sold                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | c Gross profit/(loss) (att sch)                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 11 Other income (attach schedule) See Statement 1                              | 1,429,352.                         | 1,429,352.                | 1,429,352.              |                                                             |
|                                                                                                                                                                         | 12 Total. Add lines 1 through 11                                               | 1,368,158.                         | 1,756,351.                | 1,756,351.              |                                                             |
|                                                                                                                                                                         | 13 Compensation of officers, directors, trustees, etc                          | 72,000.                            | 18,000.                   |                         | 54,000.                                                     |
|                                                                                                                                                                         | 14 Other employee salaries and wages                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 15 Pension plans, employee benefits                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 16a Legal fees (attach schedule)                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | b Accounting fees (attach sch) See St 2                                        | 63,003.                            | 56,703.                   |                         | 6,300.                                                      |
|                                                                                                                                                                         | c Other prof fees (attach sch)                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 17 Interest                                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 18 Taxes (attach schedule) (see instr) See Stm 3                               | 171,155.                           | 148,232.                  |                         |                                                             |
|                                                                                                                                                                         | 19 Depreciation (attach sch) and depletion                                     | 218.                               |                           |                         |                                                             |
|                                                                                                                                                                         | 20 Occupancy                                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 21 Travel, conferences, and meetings                                           |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                                            |                                                                                |                                    |                           |                         |                                                             |
| 23 Other expenses (attach schedule) See Statement 4                                                                                                                     | 122,014.                                                                       | 122,014.                           |                           |                         |                                                             |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                                                 | 428,390.                                                                       | 344,949.                           |                           | 60,300.                 |                                                             |
| 25 Contributions, gifts, grants paid Part XV                                                                                                                            | 986,250.                                                                       |                                    |                           | 986,250.                |                                                             |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                                                | 1,414,640.                                                                     | 344,949.                           | 0.                        | 1,046,550.              |                                                             |
| 27 Subtract line 26 from line 12:                                                                                                                                       |                                                                                |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                                     | -46,482.                                                                       |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                                        |                                                                                | 1,411,402.                         |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                                          |                                                                                |                                    | 1,756,351.                |                         |                                                             |

9

| Part II Balance Sheets  |                                                                                                    | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)          |                                                    | Beginning of year     | End of year |             |
|-------------------------|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|-----------------------|-------------|-------------|
|                         |                                                                                                    | (a) Book Value                                                                                                              | (b) Book Value                                     | (c) Fair Market Value |             |             |
| ASSETS                  | 1                                                                                                  | Cash — non-interest-bearing                                                                                                 |                                                    | 60,785.               | 135,514.    | 135,514.    |
|                         | 2                                                                                                  | Savings and temporary cash investments                                                                                      |                                                    | 1,472,308.            | 1,455,754.  | 1,460,329.  |
|                         | 3                                                                                                  | Accounts receivable                                                                                                         | 288,133.                                           |                       |             |             |
|                         |                                                                                                    | Less: allowance for doubtful accounts                                                                                       |                                                    | 170,318.              | 288,133.    | 265,643.    |
|                         | 4                                                                                                  | Pledges receivable                                                                                                          |                                                    |                       |             |             |
|                         |                                                                                                    | Less: allowance for doubtful accounts                                                                                       |                                                    |                       |             |             |
|                         | 5                                                                                                  | Grants receivable                                                                                                           |                                                    |                       |             |             |
|                         | 6                                                                                                  | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions) |                                                    |                       |             |             |
|                         | 7                                                                                                  | Other notes and loans receivable (attach sch)                                                                               |                                                    |                       |             |             |
|                         |                                                                                                    | Less: allowance for doubtful accounts                                                                                       |                                                    |                       |             |             |
|                         | 8                                                                                                  | Inventories for sale or use                                                                                                 |                                                    |                       |             |             |
|                         | 9                                                                                                  | Prepaid expenses and deferred charges                                                                                       |                                                    | 7,077.                | 45,579.     | 22,490.     |
|                         | 10a                                                                                                | Investments — U.S. and state government obligations (attach schedule)                                                       |                                                    | 5,245,747.            | 4,357,102.  | 4,501,546.  |
|                         | b                                                                                                  | Investments — corporate stock (attach schedule)                                                                             |                                                    | 7,040,868.            | 7,718,254.  | 8,322,439.  |
|                         | c                                                                                                  | Investments — corporate bonds (attach schedule)                                                                             |                                                    |                       |             |             |
|                         | LIABILITIES                                                                                        | 11                                                                                                                          | Investments — land, buildings, and equipment basis | 6,049.                |             |             |
|                         |                                                                                                    | Less: accumulated depreciation (attach schedule)                                                                            | See Stmt 5                                         | 6,029.                | 1,734.      | 20.         |
| 12                      |                                                                                                    | Investments — mortgage loans                                                                                                |                                                    |                       |             |             |
| 13                      |                                                                                                    | Investments — other (attach schedule)                                                                                       |                                                    |                       |             |             |
| 14                      |                                                                                                    | Land, buildings, and equipment basis                                                                                        |                                                    |                       |             |             |
|                         |                                                                                                    | Less: accumulated depreciation (attach schedule)                                                                            |                                                    |                       |             |             |
| 15                      |                                                                                                    | Other assets (describe) See Statement 6                                                                                     |                                                    | 1,888.                | 1,888.      | 7,535,818.  |
| 16                      |                                                                                                    | Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)                                   |                                                    | 14,000,725.           | 14,002,244. | 22,243,779. |
| 17                      |                                                                                                    | Accounts payable and accrued expenses                                                                                       |                                                    |                       |             |             |
| 18                      |                                                                                                    | Grants payable                                                                                                              |                                                    |                       |             |             |
| FUND ASSETS OR BALANCES | 19                                                                                                 | Deferred revenue                                                                                                            |                                                    |                       |             |             |
|                         | 20                                                                                                 | Loans from officers, directors, trustees, & other disqualified persons                                                      |                                                    |                       |             |             |
|                         | 21                                                                                                 | Mortgages and other notes payable (attach schedule)                                                                         |                                                    |                       |             |             |
|                         | 22                                                                                                 | Other liabilities (describe)                                                                                                |                                                    |                       |             |             |
|                         | 23                                                                                                 | Total liabilities (add lines 17 through 22)                                                                                 |                                                    | 0.                    | 0.          |             |
| FUND ASSETS OR BALANCES | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. |                                                                                                                             |                                                    |                       |             |             |
|                         | 24                                                                                                 | Unrestricted                                                                                                                |                                                    |                       |             |             |
|                         | 25                                                                                                 | Temporarily restricted                                                                                                      |                                                    |                       |             |             |
|                         | 26                                                                                                 | Permanently restricted                                                                                                      |                                                    |                       |             |             |
|                         | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.              |                                                                                                                             |                                                    | X                     |             |             |
|                         | 27                                                                                                 | Capital stock, trust principal, or current funds                                                                            |                                                    | 842,663.              | 842,663.    |             |
|                         | 28                                                                                                 | Paid-in or capital surplus, or land, building, and equipment fund                                                           |                                                    |                       |             |             |
|                         | 29                                                                                                 | Retained earnings, accumulated income, endowment, or other funds                                                            |                                                    | 13,158,062.           | 13,159,581. |             |
|                         | 30                                                                                                 | Total net assets or fund balances (see the instructions)                                                                    |                                                    | 14,000,725.           | 14,002,244. |             |
|                         | 31                                                                                                 | Total liabilities and net assets/fund balances (see the instructions)                                                       |                                                    | 14,000,725.           | 14,002,244. |             |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                            |   |             |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 | Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 14,000,725. |
| 2 | Enter amount from Part I, line 27a                                                                                                                         | 2 | -46,482.    |
| 3 | Other increases not included in line 2 (itemize) See Statement 7                                                                                           | 3 | 48,001.     |
| 4 | Add lines 1, 2, and 3                                                                                                                                      | 4 | 14,002,244. |
| 5 | Decreases not included in line 2 (itemize)                                                                                                                 | 5 |             |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30                                                      | 6 | 14,002,244. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) |  | (b) How acquired<br>P — Purchase<br>D — Donation | (c) Date acquired<br>(month, day, year) | (d) Date sold<br>(month, day, year) |
|------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------|-----------------------------------------|-------------------------------------|
| <b>1 a</b> See Statement 8                                                                                                               |  |                                                  |                                         |                                     |
| <b>b</b>                                                                                                                                 |  |                                                  |                                         |                                     |
| <b>c</b>                                                                                                                                 |  |                                                  |                                         |                                     |
| <b>d</b>                                                                                                                                 |  |                                                  |                                         |                                     |
| <b>e</b>                                                                                                                                 |  |                                                  |                                         |                                     |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a</b>              |                                            |                                                 |                                              |
| <b>b</b>              |                                            |                                                 |                                              |
| <b>c</b>              |                                            |                                                 |                                              |
| <b>d</b>              |                                            |                                                 |                                              |
| <b>e</b>              |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                     | (i) Gains (Column (h)<br>gain minus column (k), but not less<br>than -0-) or Losses (from column (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) Fair Market Value<br>as of 12/31/69                                                     | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any |                                                                                                       |
| <b>a</b>                                                                                    |                                      |                                                     |                                                                                                       |
| <b>b</b>                                                                                    |                                      |                                                     |                                                                                                       |
| <b>c</b>                                                                                    |                                      |                                                     |                                                                                                       |
| <b>d</b>                                                                                    |                                      |                                                     |                                                                                                       |
| <b>e</b>                                                                                    |                                      |                                                     |                                                                                                       |

|                                                                                                                                                                                                                                                         |  |          |           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-----------|
| <b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">[ If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7 ]</span>                                                                          |  | <b>2</b> | -388,193. |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br><br>If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0-<br>in Part I, line 8 <span style="float: right;">[ ]</span> |  | <b>3</b> | -340,167. |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year<br>beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of<br>noncharitable-use assets | (d)<br>Distribution ratio<br>(column (b) divided by column (c)) |
|-------------------------------------------------------------------------|------------------------------------------|-------------------------------------------------|-----------------------------------------------------------------|
| 2008                                                                    | 1,010,823.                               | 21,480,306.                                     | 0.047058                                                        |
| 2007                                                                    | 935,074.                                 | 21,355,028.                                     | 0.043787                                                        |
| 2006                                                                    | 740,223.                                 | 19,642,824.                                     | 0.037684                                                        |
| 2005                                                                    | 735,477.                                 | 16,359,021.                                     | 0.044958                                                        |
| 2004                                                                    | 590,188.                                 | 14,385,074.                                     | 0.041028                                                        |

|                                                                                                                                                                                       |          |             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------|
| <b>2</b> Total of line 1, column (d)                                                                                                                                                  | <b>2</b> | 0.214515    |
| <b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | 0.042903    |
| <b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5                                                                                                 | <b>4</b> | 20,787,717. |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                    | <b>5</b> | 891,855.    |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | <b>6</b> | 14,114.     |
| <b>7</b> Add lines 5 and 6                                                                                                                                                            | <b>7</b> | 905,969.    |
| <b>8</b> Enter qualifying distributions from Part XII, line 4                                                                                                                         | <b>8</b> | 1,046,550.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

|                                                                                                                                                                                                                                    |    |         |         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|---------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.) |    |         |         |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                       |    | 1       | 14,114. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)                                                                                                        |    |         |         |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                         |    | 2       | 0.      |
| 3 Add lines 1 and 2                                                                                                                                                                                                                |    | 3       | 14,114. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                       |    | 4       | 0.      |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                          |    | 5       | 14,114. |
| 6 Credits/Payments:                                                                                                                                                                                                                |    |         |         |
| a 2009 estimated tax pmts and 2008 overpayment credited to 2009                                                                                                                                                                    | 6a | 24,500. |         |
| b Exempt foreign organizations – tax withheld at source                                                                                                                                                                            | 6b |         |         |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                              | 6c | 8,000.  |         |
| d Backup withholding erroneously withheld                                                                                                                                                                                          | 6d |         |         |
| 7 Total credits and payments Add lines 6a through 6d                                                                                                                                                                               | 7  | 32,500. |         |
| 8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                      | 8  | 3.      |         |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                     | 9  | 0.      |         |
| 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                        | 10 | 18,383. |         |
| 11 Enter the amount of line 10 to be Credited to 2010 estimated tax 0. Refunded                                                                                                                                                    | 11 | 18,383. |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                     | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                            |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?<br><i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                              |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation \$ 0. (2) On foundation managers \$ 0.                                                                                                                                                                                |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.                                                                                                                                                                                                        |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br><i>If 'Yes,' attach a detailed description of the activities</i>                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>                                                                                                                 |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                     |     | X  |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                  | N/A |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br><i>If 'Yes,' attach the statement required by General Instruction T</i>                                                                                                                                                                         |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>                                                                                                                                                                                                        | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see the instructions)<br>OK                                                                                                                                                                                                                                    |     |    |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>                                                                                                                                | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>                                                                                       |     | X  |
| 10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>                                                                                                                                                                                                        |     | X  |

BAA

Form 990-PF (2009)

**Part VII-A Statements Regarding Activities Continued**

|    |                                                                                                                                                                                                          |     |   |   |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)                  | 11  |   | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                    | 12  |   | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <u>N/A</u>                                                        | 13  | X |   |
| 14 | The books are in care of <u>Robert Glenn Rapp Foundation</u> Telephone no <u>(405) 524-1116</u><br>Located at <u>5400 W. Grand Blvd., Ste 545 Oklahoma City OK</u> ZIP + 4 <u>73112</u>                  |     |   |   |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> | N/A |   |   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----|
| 1 a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                           | 1 b                                                                 | N/A |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                                                        | 1 c                                                                 | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                  |                                                                     |     |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)                                                                                                                                                                                   | 2 b                                                                 | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>                                                                                                                                                                                                                                                                                                                                               |                                                                     |     |
| 3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) | 3 b                                                                 | N/A |
| 4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                              | 4 a                                                                 | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                              | 4 b                                                                 | X   |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Attachment Detail    | Trustee                                                  | 72,000.                                   | 0.                                                                    | 0.                                    |
|                      | 0                                                        |                                           |                                                                       |                                       |
|                      |                                                          |                                           |                                                                       |                                       |
|                      |                                                          |                                           |                                                                       |                                       |
|                      |                                                          |                                           |                                                                       |                                       |
|                      |                                                          |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| None                                                          |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| None                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
| 2     |          |
| 3     |          |
| 4     |          |

**Part IX-B** Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 N/A                                                                                                            |        |
| 2                                                                                                                |        |
| All other program-related investments See instructions                                                           |        |
| 3                                                                                                                |        |
| Total. Add lines 1 through 3                                                                                     | 0.     |

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                            |     |             |
|---|------------------------------------------------------------------------------------------------------------|-----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |     |             |
| a | Average monthly fair market value of securities                                                            | 1 a | 11,157,187. |
| b | Average of monthly cash balances                                                                           | 1 b | 2,223,289.  |
| c | Fair market value of all other assets (see instructions)                                                   | 1 c | 7,723,805.  |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                      | 1 d | 21,104,281. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1 e | 0.          |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2   | 0.          |
| 3 | Subtract line 2 from line 1d                                                                               | 3   | 21,104,281. |
| 4 | Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)   | 4   | 316,564.    |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5   | 20,787,717. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                       | 6   | 1,039,386.  |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                         |     |            |
|----|---------------------------------------------------------------------------------------------------------|-----|------------|
| 1  | Minimum investment return from Part X, line 6                                                           | 1   | 1,039,386. |
| 2a | Tax on investment income for 2009 from Part VI, line 5                                                  | 2 a | 14,114.    |
| b  | Income tax for 2009 (This does not include the tax from Part VI)                                        | 2 b |            |
| c  | Add lines 2a and 2b                                                                                     | 2 c | 14,114.    |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1                                    | 3   | 1,025,272. |
| 4  | Recoveries of amounts treated as qualifying distributions                                               | 4   |            |
| 5  | Add lines 3 and 4                                                                                       | 5   | 1,025,272. |
| 6  | Deduction from distributable amount (see instructions)                                                  | 6   |            |
| 7  | <b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7   | 1,025,272. |

**Part XII** Qualifying Distributions (see instructions)

|   |                                                                                                                                                     |     |            |
|---|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                           |     |            |
| a | Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26                                                                       | 1 a | 1,046,550. |
| b | Program-related investments — total from Part IX-B                                                                                                  | 1 b |            |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                           | 2   |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the                                                                                 |     |            |
| a | Suitability test (prior IRS approval required)                                                                                                      | 3 a |            |
| b | Cash distribution test (attach the required schedule)                                                                                               | 3 b |            |
| 4 | <b>Qualifying distributions</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                     | 4   | 1,046,550. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5   | 14,114.    |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                               | 6   | 1,032,436. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2009 from Part XI, line 7                                                                                                                       |               |                            |             | 1,025,272.  |
| 2 Undistributed income, if any, as of the end of 2009                                                                                                                      |               |                            |             |             |
| a Enter amount for 2008 only                                                                                                                                               |               |                            | 969,670.    |             |
| b Total for prior years: 20 __, 20 __, 20 __                                                                                                                               |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2009                                                                                                                          |               |                            |             |             |
| a From 2004                                                                                                                                                                |               |                            |             |             |
| b From 2005                                                                                                                                                                |               |                            |             |             |
| c From 2006                                                                                                                                                                |               |                            |             |             |
| d From 2007                                                                                                                                                                |               |                            |             |             |
| e From 2008                                                                                                                                                                |               |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| 4 Qualifying distributions for 2009 from Part XII, line 4: \$ 1,046,550.                                                                                                   |               |                            |             |             |
| a Applied to 2008, but not more than line 2a                                                                                                                               |               |                            | 969,670.    |             |
| b Applied to undistributed income of prior years (Election required — see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required — see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2009 distributable amount                                                                                                                                     |               |                            |             | 76,880.     |
| e Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )                                        | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 0.            |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b Taxable amount — see instructions                                                                                                          |               | 0.                         |             |             |
| e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount — see instructions                                                                           |               |                            | 0.          |             |
| f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010                                                                |               |                            |             | 948,392.    |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)                                                                              | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2005                                                                                                                                                         |               |                            |             |             |
| b Excess from 2006                                                                                                                                                         |               |                            |             |             |
| c Excess from 2007                                                                                                                                                         |               |                            |             |             |
| d Excess from 2008                                                                                                                                                         |               |                            |             |             |
| e Excess from 2009                                                                                                                                                         |               |                            |             |             |

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**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                            | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount             |
|------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------------------|
| Name and address (home or business)                  |                                                                                                           |                                |                                  |                    |
| <b>a</b> Paid during the year<br>Attachment V        |                                                                                                           |                                |                                  | 986,250.           |
| <b>Total</b>                                         |                                                                                                           |                                |                                  | <b>3a</b> 986,250. |
| <b>b</b> Approved for future payment<br>Attachment V |                                                                                                           |                                |                                  | 439,000.           |
| <b>Total</b>                                         |                                                                                                           |                                |                                  | <b>3b</b> 439,000. |





ROBERT GLENN RAPP FOUNDATION  
990-PF, YEAR ENDED DECEMBER 31, 2009  
I D #73-0616840

ATTACHMENT I

PART I - LINE 11 - OTHER INCOME

|                                   |           |           |
|-----------------------------------|-----------|-----------|
| OIL & GAS ORRI & Roy Inc          |           |           |
| Miscellaneous income (LOE Credit) | 1,404,343 | 1,404,343 |
| Lease Bonus                       | 25,009    | 25,009    |
| TOTAL OTHER INCOME                | 1,429,352 | 1,429,352 |

PART I - LINE 16b - ACCOUNTING FEES

|                                |        |        |
|--------------------------------|--------|--------|
| ACCOUNTING SERVICES            | 60,000 | 54,000 |
| RETURN PREP & PLANNING & LEGAL | 3,003  | 2,703  |
| TOTAL ACCOUNTING FEES          | 63,003 | 56,703 |

PART I LINE 18 - TAXES

|                                 |        |        |
|---------------------------------|--------|--------|
| GROSS PRODUCTION TAX            | 69,028 | 69,028 |
| Excise tax on Investment Income | 22,923 | 0      |
| ADVALOREM TAX                   | 79,204 | 79,204 |

TOTAL TAXES

|         |         |
|---------|---------|
| 171,155 | 148,232 |
|---------|---------|

PART I - LINE 23 - OTHER EXPENSE

|                        |         |         |
|------------------------|---------|---------|
| Bank Charges           | 249     | 249     |
| Foreign Tax            | 5,909   | 5,909   |
| Advisory Fees          | 97,523  | 97,523  |
| Miscellaneous          | 915     | 915     |
| Insurance              |         |         |
| Oil & Gas Roy Expenses | 0       | 0       |
| TOTAL OTHER EXPENSE    | 104,596 | 104,596 |

ATTACHMENT II

|                     | BEGINNING<br>BALANCE | BEGIN<br>ACCUM<br>DEPL | BAL (NBV) | DEPL        | RESERVE<br>BLS | CURRENT<br>PROD | 2006<br>DEPL |
|---------------------|----------------------|------------------------|-----------|-------------|----------------|-----------------|--------------|
| ORRI                |                      |                        |           |             |                |                 |              |
| CORNELL UNIT        | 322,356              | 322,356                | 0         | 0           | 1,020          | 50,155          | 0            |
| PRODUCING ROYALTIES |                      |                        |           |             |                |                 |              |
| MISC - TEXAS        | 32,066               | 32,066                 | 0         | 0           |                |                 |              |
| MISC - ILLINOIS     | 189                  | 189                    | 0         | 0           |                |                 |              |
| MISC - OKLAHOMA     | 8,067                | 8,067                  | 0         | 0           |                |                 |              |
| TOTALS              | 362,678              | 362,678                | 0         | 0           |                | Depletion       | 0            |
| Depreciation        |                      |                        |           |             |                |                 |              |
| Computer Hrdwr 8/08 | 1,383                |                        |           | 10yr SL 4mo |                |                 | 46           |
| Computer            | 2,601                | 2,601                  | 0         | 10yr SL     |                | Depr            | 0            |
| Computer Eq         | 862                  | 688                    | 174       | 10yr SL     |                | Depr            | 86           |
| Computer Pntr Etc   | 855                  | 460                    | 395       | 10yr SL     |                | Depr            | 86           |
|                     | 5,701                | 3,749                  | 569       |             |                |                 | 218          |

Allocation of Expenses

|                           |                     | Alloc<br>% | Investmnt     | Charity       | Total         |
|---------------------------|---------------------|------------|---------------|---------------|---------------|
| <b>Trustee Fees</b>       |                     |            |               |               |               |
| James H Milligan          |                     |            |               |               | 12,000        |
|                           | Charity Invest      | 90%<br>10% | 1,200         | 10800         |               |
| Lois D Milligan           |                     |            |               |               | 12,000        |
|                           | Charity Invest      | 75%<br>25% | 3,000         | 9000          |               |
| Jilene Boghetich          |                     |            |               |               | 12,000        |
|                           | Charity Invest      | 70%<br>30% | 3,600         | 8400          |               |
| <b>Mike Milligan</b>      |                     |            |               |               |               |
|                           | Charity Invest      | 50%<br>50% | 6,000         | 6000          | 12,000        |
|                           | Charity Investments | 90%<br>10% | 1,200         | 10800         | 12,000        |
| Tony Boghetich            |                     |            |               |               | 12,000        |
|                           | Charity Investments | 75%<br>25% | 3,000         | 9000          |               |
| <b>Total Trustee Fees</b> |                     |            | <u>18,000</u> | <u>54,000</u> | <u>72,000</u> |

Accounting Services

|                  |                |            |        |      |        |
|------------------|----------------|------------|--------|------|--------|
| Overhead charges |                |            |        |      | 60,000 |
|                  | Charity Invest | 10%<br>90% | 54,000 | 6000 |        |

|                           |         |     |       |      |       |
|---------------------------|---------|-----|-------|------|-------|
| Tax Preparation, Etc      | Charity | 10% |       |      | 3,003 |
|                           | Invest  | 90% | 2703  | 300  |       |
| <hr/>                     |         |     |       |      |       |
| Total Accounting Services |         |     | 56703 | 6300 | 63003 |
|                           | <hr/>   |     |       |      |       |

ROBERT GLENN RAPP FOUNDATION  
 990-PF, YEAR ENDED DECEMBER 31, 2009  
 I.D #73-0616840

Attachment V.

Part XVI.

GRANTS AND CONTRIBUTIONS PAID OR APPROVED FOR FUTURE PAYMENT  
 3a PAID DURING THE YEAR-LINE 3a

|    |                                                          |                                    |          |
|----|----------------------------------------------------------|------------------------------------|----------|
| 1  | <b>Okla City Philharmonic Orch</b><br>Oklahoma City, OK  | Support Youth Concerts             | 2,009    |
| 2  | <b>Bishop McGuiness HS</b><br>Oklahoma City, OK          | Capital Improvements               | 35,000   |
| 3  | <b>Mt. Saint Mary HS</b><br>Oklahoma City, OK            | Campaign New Century               | 1,250 x  |
| 4  | <b>Boys &amp; Girls Club</b><br>Oklahoma City, OK        | Support Campaign for Kids          | 25,000 x |
| 5  | <b>Univ of Oklahoma</b><br>Norman, OK                    | Future                             | 25,000 x |
| 6  | <b>Oklahoma Med Research</b><br>Oklahoma City, OK        | Capital Improvements               | 1,550    |
| 7  | <b>St Anthony Hosp Fnd</b><br>Oklahoma City, OK          | Education Center                   | 50,000   |
| 8  | <b>Heritage Hall</b><br>Oklahoma City, OK                | Capital Improvements<br>Additional | 550 x    |
| 9  | <b>California Space &amp; Science Cntr</b><br>California | Teacher Training                   | 60,000 x |
| 10 | <b>Jewish Fed of OKC</b><br>Oklahoma City, OK            | Misc                               | 500      |
| 11 | <b>Speck Homes</b><br>Oklahoma City, OK                  | Furniture for dorms                | 15,000   |
| 12 | <b>North Central College</b><br>Oklahoma City, OK        | Misc                               | 500      |
| 13 | <b>Duke Univ</b><br>Chapel Hill NC                       | Misc                               | 500      |
| 14 | <b>Cumberland College</b><br>Oklahoma City, OK           | Workshop Scholarships              | 58,000 x |
| 15 | <b>Neighbor for Neighbor</b><br>OKC OK                   | Community Support                  | 1,000    |
| 16 | <b>Ally's House</b><br>Oklahoma City, OK                 | Oncology Children's Hosp           | 25,000   |
| 17 | <b>Canterbury Coral</b><br>OKC, OK                       | Musical Supplies                   | 5,000    |
| 18 | <b>Center for Family Love</b><br>OKC OK                  | Training & Expan                   | 25,000   |
| 19 | <b>Eagle Ridge Inst</b><br>Oklahoma City, OK             | Training Supplies                  | 5,000    |
| 20 | <b>Life Change Academy</b><br>Oklahoma City, OK          | Educational Eq & Supplies          | 10,000   |

|    |                                                        |                                                |         |
|----|--------------------------------------------------------|------------------------------------------------|---------|
| 21 | <b>Mayflower Church</b><br>Oklahoma City, OK           | Pipe Organ<br>Future 10-44000                  | 52,000  |
| 22 | <b>Oklahoma State Univ</b><br>Stillwater, OK           | Spears School of Business<br>Future (10-25000) | 25,000  |
| 23 | <b>Payne Education Center</b><br>Oklahoma City, OK     | Endowment Development<br>Future                | 10,000  |
| 24 | <b>Regional Food Bank OK</b><br>Oklahoma City, OK      | Food & Kids Prog Expansion<br>Future           | 88,000  |
| 25 | <b>Possibilities</b><br>Oklahoma City, OK              | Training Materials<br>Future                   | 5,000   |
| 26 | <b>St Eugene's Cath Church</b><br>Oklahoma City, OK    | School Support<br>Future                       | 25,000  |
| 27 | <b>Team Ministry</b><br>Pearl Harbor, HI               | Training Support<br>Future                     | 5,000   |
| 28 | <b>Jim Thorpe Association</b><br>Oklahoma City, OK     | Training & Educational<br>Future               | 17,000  |
| 29 | <b>Center of Psychotherapy</b><br>Oklahoma City, OK    | Expansion Rent<br>Future                       | 15,000  |
| 30 | <b>Birth Choice</b><br>Oklahoma City, OK               | Speech Language Lab<br>Future                  | 64,750  |
| 31 | <b>Children's Center</b><br>Oklahoma City, OK          | Outreach Program<br>Future                     | *       |
| 33 | <b>Greater OK Disabled Sports</b><br>Oklahoma City, OK | Repave barn floor<br>Future                    | 8,000   |
| 34 | <b>Harn Homestead</b><br>Oklahoma City, OK             | Renovate Bathrooms #2<br>Future                | *       |
| 35 | <b>McCall Chapel School</b><br>Oklahoma City, OK       | Scholarships<br>Future                         | 5,000   |
| 36 | <b>Shiloh</b><br>Oklahoma City, OK                     | Summer Camp Underprivileged<br>Future          | *       |
| 37 | <b>Univ of Central OK</b><br>Edmond, OK                | Scholarships Upperlevel<br>Future              | *       |
| 38 |                                                        |                                                |         |
| 39 | <b>Rosary Home School Assoc</b><br>Oklahoma City, OK   | General<br>Future                              | 1,000   |
| 40 | <b>Civic Ctr Foundation</b><br>Oklahoma City, OK       | Children's Choir<br>Future                     | *       |
| 41 | <b>SMU</b><br>Dallas, Texas                            | Scholarship<br>Future                          | 103,000 |
| 42 | <b>Youth Services</b><br>Oklahoma City, OK             | Capital<br>Future                              |         |
| 43 | <b>Oklahoma Christian Univ</b><br>Oklahoma City, OK    | Science Facility<br>Future                     | 75,000  |
| 44 | <b>Friends of the Capitol</b><br>Oklahoma City, OK     | Improvements Bldg<br>Future                    |         |
| 45 |                                                        |                                                |         |

|    |                                                      |                             |         |
|----|------------------------------------------------------|-----------------------------|---------|
| 46 | Komen OKC Race<br>Oklahoma City, OK                  | Cancer Research             | 2,900   |
| 47 | Putnam City North<br>Oklahoma City, OK               | Band & Pom Sq               | 3,000   |
| 48 | Oklahoma City Univ                                   | Scholorships Etc.           | 30,000  |
| 49 | Associated Catholic Charities<br>Oklahoma City, OK   | Various                     | 2,750   |
| 50 | All Saints Catholic Schools<br>Oklahoma City, OK     | School Expansion            | 50,000  |
| 51 | Christian<br>Oklahoma City, OK                       |                             |         |
| 52 | Christian Heritage Academy<br>Oklahoma City, OK      | Improve Sports Complex      | 25,000  |
| 53 |                                                      | Percussion Equipment        |         |
| 54 | Hearths for Hearing<br>Oklahoma City, OK             | Endowment OKC Community Fnd | 10,000  |
| 55 | Mercy Health Center Fnd<br>Oklahoma City, OK         | Neonatal Unit Equipment     | 10,000  |
| 56 | Rose State College Fnd<br>Oklahoma City, OK          | Leadership Recruitment      | 10,000  |
| 57 | Sisters of Benedict<br>Oklahoma City, OK             | Personnel for programs      |         |
| 58 | St. Philip Neri Catholic School<br>Oklahoma City, OK | A/V Equipment               |         |
| 59 | St. Francis of Assisi Church<br>Oklahoma City, OK    | Preschool Addition          |         |
|    | Total Line 3a.                                       | Future                      |         |
|    |                                                      |                             | 986,250 |
|    |                                                      |                             | 0       |

APPROVED FOR FUTURE PAYMENT-Line 3b

|                                  |         | Total  | 2010   | 2011   | > 2    |
|----------------------------------|---------|--------|--------|--------|--------|
| <b>Oklahoma Philharmonic</b>     |         |        |        |        |        |
| Youth Concerts                   | 2,010   | 25,000 | 25,000 |        |        |
|                                  | 2,011   | 25,000 |        | 25,000 |        |
|                                  | 2,012   | 25,000 |        |        | 25,000 |
| <b>Mayflower Church</b>          |         |        |        |        |        |
| Pipe Organ                       |         |        |        |        |        |
|                                  | 12/2010 | 44,000 | 44,000 |        |        |
| <b>Payne Education Center</b>    |         |        |        |        |        |
| Edowment development             | 2,010   | 10,000 | 10,000 |        |        |
|                                  | 2,011   | 10,000 |        | 10,000 |        |
| <b>Children's Center</b>         |         |        |        |        |        |
|                                  |         |        |        |        |        |
| <b>Bishop McGuiness HS</b>       |         |        |        |        |        |
| Capital Improvements             |         |        |        |        |        |
|                                  |         |        | 0      |        |        |
| <b>Oklahoma State University</b> |         |        |        |        |        |
| Spears School of Business        | 12/2010 | 25,000 | 25,000 |        |        |
|                                  |         |        |        |        |        |
| <b>Birth Choice</b>              |         |        |        |        |        |
| Rental Space Expansion           | 12/2009 |        |        |        | x      |
|                                  |         |        |        |        |        |
| <b>Christian Heritage Center</b> |         |        |        |        |        |
| Sports Complex Expansion         | 12/2009 |        |        |        |        |
|                                  |         |        |        |        |        |
| <b>Oklahoma Food Bank</b>        |         |        |        |        |        |
|                                  | 12/2009 |        |        |        |        |
|                                  |         |        |        |        |        |
| <b>Boy &amp; Girls Club</b>      |         |        |        |        |        |
|                                  | 12/2009 |        |        |        |        |
|                                  |         |        |        |        |        |
| <b>Oklahoma Christian Univ</b>   |         |        |        |        |        |
| Science Facility                 | 12/2009 | 25,000 | 25,000 |        |        |
|                                  | 12/2010 |        |        |        |        |
|                                  | 12/2011 | 25,000 |        | 25,000 |        |
| <b>SMU</b>                       |         |        |        |        |        |
| Endow Professor Award            |         |        |        |        |        |

|                                          |         |         |         |         |
|------------------------------------------|---------|---------|---------|---------|
| St Eugene<br>Education Center            | 12/2010 | 100,000 | 100,000 |         |
|                                          | 12/2011 | 50,000  |         | 50,000  |
| UCO<br>Scholarships Upper Div            | 2,010   | 25,000  | 25,000  |         |
|                                          | 2,011   | 25,000  |         | 25,000  |
|                                          | 2,012   | 25,000  |         | 25,000  |
| St. Anthony Hospital<br>Education Center |         |         |         |         |
|                                          |         |         |         |         |
| Total Line 3b. - Future Payments         |         | 439,000 | 254,000 | 135,000 |
|                                          |         |         |         | 50,000  |

ROBERT GLENN RAPP FOUNDATION  
990-PF, YEAR ENDED DECEMBER 31, 2009  
I.D. #73-0616840

Attm VI.

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PART VIII - page 6

| (a) Name and Address                   | (b) Title & avg<br>hrs/week | (c) | (d) | (e) Comp-<br>enstn |
|----------------------------------------|-----------------------------|-----|-----|--------------------|
| James H. Milligan<br>Oklahoma City, OK | Trustee<br>Est 5            | 0   | 0   | 12,000             |
| Lois D. Milligan<br>Oklahoma City, OK  | Trustee<br>Est 20           | 0   | 0   | 12,000             |
| Jilene Boghetich<br>Oklahoma City, OK  | Trustee<br>Est 5            | 0   | 0   | 12,000             |
| Merry Knowles<br>Oklahoma City, OK     | Trustee<br>Est 5            | 0   | 0   | 12,000             |
| Michael Milligan<br>Oklahoma City, OK  | Trustee<br>Est 5            | 0   | 0   | 12,000             |
| Tony Boghetich<br>Oklahoma City, OK    | Trustee<br>Est 5            | 0   | 0   | 12,000             |
|                                        |                             |     |     | <u>72,000</u>      |

## Account

Page 1 of 5

## Schedule of Realized Gains and Losses

| Account Number | Asset Sold                 | Cusip     | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost     | Real G/L |
|----------------|----------------------------|-----------|-----------|---------------|--------------|------------|--------|-----------|--------------------|----------|----------|
| 10892701       | Fannie Mae 6% 8/25/2017    | 31405SN59 | 734.13    |               |              | 03/25/2009 | 2009   |           | 734.13             | 746.07   | -11.94   |
| 10892701       | Fannie Mae 6% 1/1/2022     | 31406RX67 | 100.42    |               |              | 03/25/2009 | 2009   |           | 100.42             | 102.06   | -1.64    |
| 10892701       | Fannie Mae 6.5% 6/1/2036   | 31409JKL3 | 522.86    |               |              | 03/25/2009 | 2009   |           | 522.86             | 530.97   | -8.11    |
| 10892701       | Fannie Mae 6% 8/1/2036     | 31410DSL5 | 4,772.37  |               |              | 03/25/2009 | 2009   |           | 4,772.37           | 4,774.81 | -2.44    |
| 10892701       | Fannie Mae 5.5% 8/01/2031  | 31410QWXS | 153.3     |               |              | 03/25/2009 | 2009   |           | 153.3              | 154.06   | -0.76    |
| 10892701       | Fannie Mae 6.5% 8/1/2036   | 31410RJD2 | 7,831.51  |               |              | 03/25/2009 | 2009   |           | 7,831.51           | 7,957.50 | -125.99  |
| 10892701       | Fannie Mae 6% 9/01/2021    | 31410TZY0 | 1,634.10  |               |              | 03/25/2009 | 2009   |           | 1,634.10           | 1,665.53 | -31.43   |
| 10892701       | Fannie Mae 5.5% 2/01/2037  | 31411LB86 | 667.46    |               |              | 03/25/2009 | 2009   |           | 667.46             | 661.11   | 6.35     |
| 10892701       | Fannie Mae 6.5% 3/14/2E3H7 | 31412E3H7 | 1,292.49  |               |              | 03/25/2009 | 2009   |           | 1,292.49           | 1,306.49 | -14      |
| 10892701       | Fannie Mae 4.5% 6/01/2037  | 31416H6Z3 | 507.82    |               |              | 03/25/2009 | 2009   |           | 507.82             | 514.49   | -6.67    |
| 10892701       | FHLB 6% 2/1/2037           | 3128M4KK8 | 813.92    |               |              | 04/15/2009 | 2009   |           | 813.92             | 819.68   | -5.76    |
| 10892701       | FHLB 6% 8/1/2021           | 3128PEK49 | 1,060.53  |               |              | 04/15/2009 | 2009   |           | 1,060.53           | 1,073.28 | -12.75   |
| 10892701       | FHLB 5.5% 4/1/2020         | 31297ZEN8 | 237       |               |              | 04/15/2009 | 2009   |           | 237                | 240.74   | -3.74    |
| 10892701       | Ginnie Mae 6% 9/15/2032    | 362008B82 | 97.89     |               |              | 04/15/2009 | 2009   |           | 97.89              | 102.03   | -4.14    |
| 10892701       | Fannie Mae 4.5% 12/25/2038 | 31416H6Z3 | 583.04    |               |              | 04/27/2009 | 2009   |           | 583.04             | 590.69   | -7.65    |
| 10892701       | Fannie Mae 6% 8/25/2017    | 31405SN59 | 700.3     |               |              | 04/27/2009 | 2009   |           | 700.3              | 711.69   | -11.39   |
| 10892701       | Fannie Mae 6% 1/1/2022     | 31406RX67 | 100.8     |               |              | 04/27/2009 | 2009   |           | 100.8              | 102.45   | -1.65    |
| 10892701       | Fannie Mae 6.5% 6/1/2036   | 31409JKL3 | 573.53    |               |              | 04/27/2009 | 2009   |           | 573.53             | 582.43   | -8.9     |
| 10892701       | Fannie Mae 6% 8/1/2036     | 31410DSL5 | 3,314.18  |               |              | 04/27/2009 | 2009   |           | 3,314.18           | 3,315.87 | -1.69    |
| 10892701       | Fannie Mae 5.5% 8/01/2031  | 31410QWXS | 114.75    |               |              | 04/27/2009 | 2009   |           | 114.75             | 115.32   | -0.57    |
| 10892701       | Fannie Mae 6.5% 8/1/2036   | 31410RJD2 | 87.37     |               |              | 04/27/2009 | 2009   |           | 87.37              | 88.78    | -1.41    |
| 10892701       | Fannie Mae 6% 9/01/2021    | 31410TZY0 | 3,044.65  |               |              | 04/27/2009 | 2009   |           | 3,044.65           | 3,103.22 | -58.57   |
| 10892701       | Fannie Mae 5.5% 2/01/2037  | 31411LB86 | 2,455.01  |               |              | 04/27/2009 | 2009   |           | 2,455.01           | 2,431.67 | 23.34    |
| 10892701       | Fannie Mae 6.5% 6/01/2037  | 31412E3H7 | 1,401.34  |               |              | 04/27/2009 | 2009   |           | 1,401.34           | 1,416.52 | -15.18   |
| 10892701       | Fannie Mae 6% 11/1/2036    | 31371M2H9 | 2,747.58  |               |              | 04/27/2009 | 2009   |           | 2,747.58           | 2,771.18 | -23.6    |
| 10892701       | FHLB 6% 2/1/2037           | 3128M4KK8 | 1,000.62  |               |              | 05/15/2009 | 2009   |           | 1,000.62           | 1,007.70 | -7.08    |
| 10892701       | FHLB 6% 8/1/2021           | 3128PEK49 | 4,044.16  |               |              | 05/15/2009 | 2009   |           | 4,044.16           | 4,092.79 | -48.63   |
| 10892701       | FHLB 5.5% 4/1/2020         | 31297ZEN8 | 239.19    |               |              | 05/15/2009 | 2009   |           | 239.19             | 242.97   | -3.78    |
| 10892701       | Ginnie Mae 6% 9/15/2032    | 362008B82 | 113.92    |               |              | 05/15/2009 | 2009   |           | 113.92             | 118.73   | -4.81    |
| 10892701       | Fannie Mae 4.5% 12/25/2038 | 31416H6Z3 | 975.3     |               |              | 05/26/2009 | 2009   |           | 975.3              | 988.1    | -12.8    |
| 10892701       | Fannie Mae 6% 8/25/2017    | 31405SN59 | 6,190.89  |               |              | 05/26/2009 | 2009   |           | 6,190.89           | 6,291.58 | -100.69  |
| 10892701       | Fannie Mae 6% 1/1/2022     | 31406RX67 | 101.41    |               |              | 05/26/2009 | 2009   |           | 101.41             | 103.07   | -1.66    |
| 10892701       | Fannie Mae 6.5% 6/1/2036   | 31409JKL3 | 685.75    |               |              | 05/26/2009 | 2009   |           | 685.75             | 696.39   | -10.64   |
| 10892701       | Fannie Mae 6% 8/1/2036     | 31410DSL5 | 2,485.75  |               |              | 05/26/2009 | 2009   |           | 2,485.75           | 2,487.02 | -1.27    |
| 10892701       | Fannie Mae 5.5% 8/01/2031  | 31410QWXS | 115.37    |               |              | 05/26/2009 | 2009   |           | 115.37             | 115.94   | -0.57    |
| 10892701       | Fannie Mae 6.5% 8/1/2036   | 31410RJD2 | 5,244.34  |               |              | 05/26/2009 | 2009   |           | 5,244.34           | 5,328.71 | -84.37   |
| 10892701       | Fannie Mae 6% 9/01/2021    | 31410TZY0 | 1,753.75  |               |              | 05/26/2009 | 2009   |           | 1,753.75           | 1,787.49 | -33.74   |
| 10892701       | Fannie Mae 5.5% 2/01/2037  | 31411LB86 | 1,921.48  |               |              | 05/26/2009 | 2009   |           | 1,921.48           | 1,903.21 | 18.27    |
| 10892701       | Fannie Mae 6.5% 6/01/2037  | 31412E3H7 | 40.49     |               |              | 05/26/2009 | 2009   |           | 40.49              | 40.93    | -0.44    |
| 10892701       | Fannie Mae 6% 11/1/2036    | 31371M2H9 | 2,033.08  |               |              | 05/26/2009 | 2009   |           | 2,033.08           | 2,050.54 | -17.46   |
| 10892701       | FHLB 5.5% 4/1/2020         | 31297ZEN8 | 233.72    |               |              | 06/15/2009 | 2009   |           | 233.72             | 237.41   | -3.69    |
| 10892701       | FHLB 6% 8/1/2021           | 3128PEK49 | 1,662.72  |               |              | 06/15/2009 | 2009   |           | 1,662.72           | 1,682.71 | -19.99   |
| 10892701       | Ginnie Mae 6% 9/15/2032    | 362008B82 | 99.07     |               |              | 06/15/2009 | 2009   |           | 99.07              | 103.26   | -4.19    |
| 10892701       | FHLB 6% 2/1/2037           | 3128M4KK8 | 673.37    |               |              | 06/15/2009 | 2009   |           | 673.37             | 678.13   | -4.76    |
| 10892701       | Fannie Mae 6% 11/1/2036    | 31371M2H9 | 1,805.46  |               |              | 06/25/2009 | 2009   |           | 1,805.46           | 1,820.97 | -15.51   |
| 10892701       | Fannie Mae 6% 8/25/2017    | 31405SN59 | 7,131.60  |               |              | 06/25/2009 | 2009   |           | 7,131.60           | 7,247.60 | -116     |
| 10892701       | Fannie Mae 6% 1/1/2022     | 31406RX67 | 102.02    |               |              | 06/25/2009 | 2009   |           | 102.02             | 103.69   | -1.67    |
| 10892701       | Fannie Mae 6.5% 6/1/2036   | 31409JKL3 | 537.9     |               |              | 06/25/2009 | 2009   |           | 537.9              | 546.25   | -8.35    |
| 10892701       | Fannie Mae 6% 8/1/2036     | 31410DSL5 | 3,934.72  |               |              | 06/25/2009 | 2009   |           | 3,934.72           | 3,936.73 | -2.01    |

## Schedule of Realized Gains and Losses

| Account Number | Asset Sold                         | Cusip     | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost      | Real G/L |
|----------------|------------------------------------|-----------|-----------|---------------|--------------|------------|--------|-----------|--------------------|-----------|----------|
| 10892701       | Fannie Mae 5.5%<br>8/1/2021        | 31410QWXS | 112.47    |               |              | 06/25/2009 | 2009   |           | 112.47             | 113.03    | -0.56    |
| 10892701       | Fannie Mae 6.5% 8/1/2036 31410RJD2 |           | 2,425.62  |               |              | 06/25/2009 | 2009   |           | 2,425.62           | 2,464.64  | -39.02   |
| 10892701       | Fannie Mae 6% 9/01/2021 31410TZY0  |           | 732.52    |               |              | 06/25/2009 | 2009   |           | 732.52             | 746.61    | -14.09   |
| 10892701       | Fannie Mae 5.5%<br>2/01/2017       | 31411LB86 | 2,320.18  |               |              | 06/25/2009 | 2009   |           | 2,320.18           | 2,298.12  | 22.06    |
| 10892701       | Fannie Mae 6.5%<br>6/01/2017       | 31412E3H7 | 38.96     |               |              | 06/25/2009 | 2009   |           | 38.96              | 39.38     | -0.42    |
| 10892701       | Fannie Mae 4.5%<br>12/25/2018      | 31416H6Z3 | 1,132.23  |               |              | 06/25/2009 | 2009   |           | 1,132.23           | 1,147.09  | -14.86   |
| 10892701       | FHLB 6% 2/1/2037                   | 3128M4KK8 | 904.44    |               |              | 07/15/2009 | 2009   |           | 904.44             | 910.83    | -6.39    |
| 10892701       | FHLB 6% 8/1/2021                   | 3128PEK49 | 3,486.61  |               |              | 07/15/2009 | 2009   |           | 3,486.61           | 3,528.54  | -41.93   |
| 10892701       | FHLB 5.5% 4/1/2020                 | 31297ZEN8 | 259.31    |               |              | 07/15/2009 | 2009   |           | 259.31             | 263.4     | -4.09    |
| 10892701       | Ginnie Mae 6% 9/15/2032 362008B82  |           | 114.71    |               |              | 07/15/2009 | 2009   |           | 114.71             | 119.56    | -4.85    |
| 10892701       | FCA 5% 3/1/2038                    | 3128L7PL5 | 655.55    |               | PURCHASE     | 07/15/2009 | 2009   |           | 655.55             | 668.66    | -13.11   |
| 10892701       | Fannie Mae 4.5%<br>12/25/2018      | 31416H6Z3 | 827.93    |               |              | 07/27/2009 | 2009   |           | 827.93             | 838.8     | -10.87   |
| 10892701       | Fannie Mae 6% 8/25/2017 31405SN59  |           | 529.49    |               |              | 07/27/2009 | 2009   |           | 529.49             | 538.1     | -8.61    |
| 10892701       | Fannie Mae 6% 1/1/2022 31406RX67   |           | 2,018.76  |               |              | 07/27/2009 | 2009   |           | 2,018.76           | 2,051.80  | -33.04   |
| 10892701       | Fannie Mae 6.5% 6/1/2036 31409JL3  |           | 728.33    |               |              | 07/27/2009 | 2009   |           | 728.33             | 739.63    | -11.3    |
| 10892701       | Fannie Mae 6% 8/1/2036 31410DSL5   |           | 3,429.58  |               |              | 07/27/2009 | 2009   |           | 3,429.58           | 3,431.33  | -1.75    |
| 10892701       | Fannie Mae 5.5%<br>8/01/2017       | 31410QWXS | 117.43    |               |              | 07/27/2009 | 2009   |           | 117.43             | 118.01    | -0.58    |
| 10892701       | Fannie Mae 6.5% 8/1/2036 31410RJD2 |           | 84.77     |               |              | 07/27/2009 | 2009   |           | 84.77              | 86.13     | -1.36    |
| 10892701       | Fannie Mae 6% 9/01/2021 31410TZY0  |           | 1,848.07  |               |              | 07/27/2009 | 2009   |           | 1,848.07           | 1,883.62  | -35.55   |
| 10892701       | Fannie Mae 5.5%<br>2/01/2017       | 31411LB86 | 2,131.75  |               |              | 07/27/2009 | 2009   |           | 2,131.75           | 2,111.49  | 20.26    |
| 10892701       | Fannie Mae 6.5%<br>6/01/2017       | 31412E3H7 | 41.45     |               |              | 07/27/2009 | 2009   |           | 41.45              | 41.9      | -0.45    |
| 10892701       | Fannie Mae 6% 11/1/2036 31371M2H9  |           | 1,849.90  |               |              | 07/27/2009 | 2009   |           | 1,849.90           | 1,865.79  | -15.89   |
| 10892701       | FCA 5% 3/1/2038                    | 3128L7PL5 | 881.38    |               |              | 08/17/2009 | 2009   |           | 881.38             | 899.01    | -17.63   |
| 10892701       | FHLB 6% 2/1/2037                   | 3128M4KK8 | 698.31    |               |              | 08/17/2009 | 2009   |           | 698.31             | 703.25    | -4.94    |
| 10892701       | FHLB 6% 8/1/2021                   | 3128PEK49 | 3,564.46  |               |              | 08/17/2009 | 2009   |           | 3,564.46           | 3,607.32  | -42.86   |
| 10892701       | FHLB 5.5% 4/1/2020                 | 31297ZEN8 | 236.15    |               |              | 08/17/2009 | 2009   |           | 236.15             | 239.88    | -3.73    |
| 10892701       | Ginnie Mae 6% 9/15/2032 362008B82  |           | 101.1     |               |              | 08/17/2009 | 2009   |           | 101.1              | 105.37    | -4.27    |
| 10892701       | Fannie Mae 6% 11/1/2036 31371M2H9  |           | 1,521.87  |               | PURCHASE     | 08/25/2009 | 2009   |           | 1,521.87           | 1,534.94  | -13.07   |
| 10892701       | Fannie Mae 6% 8/25/2017 31405SN59  |           | 532.41    |               | PURCHASE     | 08/25/2009 | 2009   |           | 532.41             | 541.07    | -8.66    |
| 10892701       | Fannie Mae 6% 1/1/2022 31406RX67   |           | 94.64     |               | PURCHASE     | 08/25/2009 | 2009   |           | 94.64              | 96.19     | -1.55    |
| 10892701       | Fannie Mae 6.5% 6/1/2036 31409JL3  |           | 812.86    |               | PURCHASE     | 08/25/2009 | 2009   |           | 812.86             | 825.48    | -12.62   |
| 10892701       | Fannie Mae 6% 8/1/2036 31410DSL5   |           | 4,682.59  |               | PURCHASE     | 08/25/2009 | 2009   |           | 4,682.59           | 4,684.98  | -2.39    |
| 10892701       | Fannie Mae 5.5%<br>8/01/2017       | 31410QWXS | 716.61    |               | PURCHASE     | 08/25/2009 | 2009   |           | 716.61             | 720.17    | -3.56    |
| 10892701       | Fannie Mae 6.5% 8/1/2036 31410RJD2 |           | 3,792.64  |               | PURCHASE     | 08/25/2009 | 2009   |           | 3,792.64           | 3,853.65  | -61.01   |
| 10892701       | Fannie Mae 6% 9/01/2021 31410TZY0  |           | 2,146.25  |               | PURCHASE     | 08/25/2009 | 2009   |           | 2,146.25           | 2,187.54  | -41.29   |
| 10892701       | Fannie Mae 5.5%<br>2/01/2017       | 31411LB86 | 1,825.67  |               | PURCHASE     | 08/25/2009 | 2009   |           | 1,825.67           | 1,808.31  | 17.36    |
| 10892701       | Fannie Mae 6.5%<br>6/01/2017       | 31412E3H7 | 1,660.48  |               | PURCHASE     | 08/25/2009 | 2009   |           | 1,660.48           | 1,678.47  | -17.99   |
| 10892701       | Fannie Mae 4.5%<br>12/25/2018      | 31416H6Z3 | 167.12    |               | PURCHASE     | 08/25/2009 | 2009   |           | 167.12             | 169.31    | -2.19    |
| 10892701       | HSBC Finance 6.75% Due 5/15/2011   | 40429CAA0 | 50,000.00 |               |              | 08/26/2009 | 2009   |           | 52,607.50          | 51,642.29 | 965.21   |
| 10892701       | FCA 5% 3/1/2038                    | 3128L7PL5 | 221.89    |               |              | 09/15/2009 | 2009   |           | 221.89             | 226.33    | -4.44    |
| 10892701       | Ginnie Mae 6% 9/15/2032 362008B82  |           | 93.67     |               |              | 09/15/2009 | 2009   |           | 93.67              | 97.63     | -3.96    |
| 10892701       | FHLB 6% 8/1/2021                   | 3128PEK49 | 2,406.62  |               |              | 09/15/2009 | 2009   |           | 2,406.62           | 2,435.56  | -28.94   |
| 10892701       | FHLB 6% 2/1/2037                   | 3128M4KK8 | 590.56    |               |              | 09/15/2009 | 2009   |           | 590.56             | 594.74    | -4.18    |
| 10892701       | FHLB 5.5% 4/1/2020                 | 31297ZEN8 | 242.5     |               |              | 09/15/2009 | 2009   |           | 242.5              | 246.33    | -3.83    |
| 10892701       | FNMA 5% 7/15/2023                  | 3128MBZM2 | 1,029.09  |               |              | 09/15/2009 | 2009   |           | 1,029.09           | 1,071.86  | -42.77   |
| 10892701       | Fannie Mae 6% 11/1/2036 31371M2H9  |           | 803.61    |               | PURCHASE     | 09/25/2009 | 2009   |           | 803.61             | 810.51    | -6.9     |
| 10892701       | Fannie Mae 6% 8/25/2017 31405SN59  |           | 535.35    |               |              | 09/25/2009 | 2009   |           | 535.35             | 544.06    | -8.71    |
| 10892701       | Fannie Mae 6% 1/1/2022 31406RX67   |           | 95.06     |               |              | 09/25/2009 | 2009   |           | 95.06              | 96.62     | -1.56    |
| 10892701       | Fannie Mae 6.5% 6/1/2036 31409JL3  |           | 798.14    |               |              | 09/25/2009 | 2009   |           | 798.14             | 810.53    | -12.39   |

## Schedule of Realized Gains and Losses

| Account Number | Asset Sold               | Cusip     | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost     | Real G/L |
|----------------|--------------------------|-----------|-----------|---------------|--------------|------------|--------|-----------|--------------------|----------|----------|
| 10892701       | Fannie Mae 6% 8/1/2036   | 31410D5L5 | 2,736.77  |               |              | 09/25/2009 | 2009   |           | 2,736.77           | 2,738.17 | -1.4     |
| 10892701       | Fannie Mae 5 5%          | 31410QWXS | 139.02    |               |              | 09/25/2009 | 2009   |           | 139.02             | 139.71   | -0.69    |
| 10892701       | Fannie Mae 6 5% 8/1/2036 | 31410RJD2 | 82.11     |               |              | 09/25/2009 | 2009   |           | 82.11              | 83.43    | -1.32    |
| 10892701       | Fannie Mae 6% 9/01/2021  | 31410TZY0 | 1,515.56  |               |              | 09/25/2009 | 2009   |           | 1,515.56           | 1,544.71 | -29.15   |
| 10892701       | Fannie Mae 5 5%          | 31411LB86 | 695.66    |               |              | 09/25/2009 | 2009   |           | 695.66             | 689.05   | 6.61     |
| 10892701       | Fannie Mae 6 5%          | 31412E3H7 | 40.63     |               |              | 09/25/2009 | 2009   |           | 40.63              | 41.07    | -0.44    |
| 10892701       | Fannie Mae 4 5%          | 31416H6Z3 | 66.41     |               |              | 09/25/2009 | 2009   |           | 66.41              | 67.28    | -0.87    |
| 10892701       | FCA 5% 3/1/2038          | 3128L7PL5 | 337.68    |               |              | 10/15/2009 | 2009   |           | 337.68             | 344.43   | -6.75    |
| 10892701       | FHLB 6% 2/1/2037         | 3128M4KK8 | 565.3     |               |              | 10/15/2009 | 2009   |           | 565.3              | 569.3    | -4       |
| 10892701       | FNMA 5% 7/15/2023        | 3128MBZM2 | 1,141.81  |               |              | 10/15/2009 | 2009   |           | 1,141.81           | 1,189.27 | -47.46   |
| 10892701       | FHLB 6% 8/1/2021         | 3128PEK49 | 2,687.61  |               |              | 10/15/2009 | 2009   |           | 2,687.61           | 2,719.93 | -32.32   |
| 10892701       | FHLB 5 5% 4/1/2020       | 31297ZEN8 | 2,184.06  |               |              | 10/15/2009 | 2009   |           | 2,184.06           | 2,218.54 | -34.48   |
| 10892701       | Ginnie Mae 6% 9/15/2032  | 362008B82 | 109.05    |               |              | 10/15/2009 | 2009   |           | 109.05             | 113.66   | -4.61    |
| 10892701       | Fannie Mae 4 5%          | 31416H6Z3 | 365.67    |               |              | 10/26/2009 | 2009   |           | 365.67             | 370.47   | -4.8     |
| 10892701       | Fannie Mae 6% 8/25/2017  | 31405SN59 | 2,660.63  |               |              | 10/26/2009 | 2009   |           | 2,660.63           | 2,703.91 | -43.28   |
| 10892701       | Fannie Mae 6% 1/1/2022   | 31406RX67 | 95.49     |               |              | 10/26/2009 | 2009   |           | 95.49              | 97.05    | -1.56    |
| 10892701       | Fannie Mae 6 5% 6/1/2036 | 31409JKL3 | 367.04    |               |              | 10/26/2009 | 2009   |           | 367.04             | 372.74   | -5.7     |
| 10892701       | Fannie Mae 6% 8/1/2036   | 31410D5L5 | 2,335.14  |               |              | 10/26/2009 | 2009   |           | 2,335.14           | 2,336.33 | -1.19    |
| 10892701       | Fannie Mae 5 5%          | 31410QWXS | 99.6      |               |              | 10/26/2009 | 2009   |           | 99.6               | 100.09   | -0.49    |
| 10892701       | Fannie Mae 6 5% 8/1/2036 | 31410RJD2 | 105.41    |               |              | 10/26/2009 | 2009   |           | 105.41             | 107.11   | -1.7     |
| 10892701       | Fannie Mae 6% 9/01/2021  | 31410TZY0 | 3,195.06  |               |              | 10/26/2009 | 2009   |           | 3,195.06           | 3,256.52 | -61.46   |
| 10892701       | Fannie Mae 5 5%          | 31411LB86 | 2,754.18  |               |              | 10/26/2009 | 2009   |           | 2,754.18           | 2,728.00 | 26.18    |
| 10892701       | Fannie Mae 6 5%          | 31412E3H7 | 1,760.48  |               |              | 10/26/2009 | 2009   |           | 1,760.48           | 1,779.55 | -19.07   |
| 10892701       | Fannie Mae 6% 11/1/2036  | 31371M2H9 | 1,215.54  |               | PURCHASE     | 10/26/2009 | 2009   |           | 1,215.54           | 1,225.98 | -10.44   |
| 10892701       | FCA 5% 3/1/2038          | 3128L7PL5 | 228.12    |               |              | 11/16/2009 | 2009   |           | 228.12             | 232.68   | -4.56    |
| 10892701       | FHLB 6% 2/1/2037         | 3128M4KK8 | 579.97    |               |              | 11/16/2009 | 2009   |           | 579.97             | 584.07   | -4.1     |
| 10892701       | FNMA 5% 7/15/2023        | 3128MBZM2 | 1,292.43  |               |              | 11/16/2009 | 2009   |           | 1,292.43           | 1,346.15 | -53.72   |
| 10892701       | FHLB 6% 8/1/2021         | 3128PEK49 | 940.7     |               |              | 11/16/2009 | 2009   |           | 940.7              | 952.01   | -11.31   |
| 10892701       | FHLB 5 5% 4/1/2020       | 31297ZEN8 | 233.08    |               |              | 11/16/2009 | 2009   |           | 233.08             | 236.76   | -3.68    |
| 10892701       | Ginnie Mae 6% 9/15/2032  | 362008B82 | 7,437.29  |               |              | 11/16/2009 | 2009   |           | 7,437.29           | 7,751.64 | -314.35  |
| 10892701       | Fannie Mae 6% 8/25/2017  | 31405SN59 | 476.95    |               |              | 11/25/2009 | 2009   |           | 476.95             | 484.71   | -7.76    |
| 10892701       | Fannie Mae 6% 1/1/2022   | 31406RX67 | 94.45     |               |              | 11/25/2009 | 2009   |           | 94.45              | 96       | -1.55    |
| 10892701       | Fannie Mae 6% 6/1/2036   | 31409JKL3 | 452.25    |               |              | 11/25/2009 | 2009   |           | 452.25             | 459.27   | -7.02    |
| 10892701       | Fannie Mae 6% 8/1/2036   | 31410D5L5 | 956.01    |               |              | 11/25/2009 | 2009   |           | 956.01             | 956.5    | -0.49    |
| 10892701       | Fannie Mae 5 5%          | 31410QWXS | 100.1     |               |              | 11/25/2009 | 2009   |           | 100.1              | 100.6    | -0.5     |
| 10892701       | Fannie Mae 6 5% 8/1/2036 | 31410RJD2 | 106.42    |               |              | 11/25/2009 | 2009   |           | 106.42             | 108.13   | -1.71    |
| 10892701       | Fannie Mae 6% 9/01/2021  | 31410TZY0 | 1,045.41  |               |              | 11/25/2009 | 2009   |           | 1,045.41           | 1,065.52 | -20.11   |
| 10892701       | Fannie Mae 5 5%          | 31411LB86 | 94.58     |               |              | 11/25/2009 | 2009   |           | 94.58              | 93.68    | 0.9      |
| 10892701       | Fannie Mae 6 5%          | 31412E3H7 | 3,138.99  |               |              | 11/25/2009 | 2009   |           | 3,138.99           | 3,173.00 | -34.01   |
| 10892701       | Fannie Mae 4 5%          | 31416H6Z3 | 273.15    |               |              | 11/25/2009 | 2009   |           | 273.15             | 276.74   | -3.59    |
| 10892701       | Fannie Mae 6% 11/1/2036  | 31371M2H9 | 1,321.83  |               | PURCHASE     | 11/25/2009 | 2009   |           | 1,321.83           | 1,333.19 | -11.36   |
| 10892701       | FCA 5% 3/1/2038          | 3128L7PL5 | 440.61    |               |              | 12/15/2009 | 2009   |           | 440.61             | 449.42   | -8.81    |
| 10892701       | FNMA 5% 7/15/2023        | 3128MBZM2 | 1,449.73  |               |              | 12/15/2009 | 2009   |           | 1,449.73           | 1,509.98 | -60.25   |
| 10892701       | Ginnie Mae 6% 9/15/2032  | 362008B82 | 61.33     |               |              | 12/15/2009 | 2009   |           | 61.33              | 63.92    | -2.59    |
| 10892701       | FHLB 6% 8/1/2021         | 3128PEK49 | 1,468.90  |               |              | 12/15/2009 | 2009   |           | 1,468.90           | 1,486.56 | -17.66   |
| 10892701       | FHLB 6% 2/1/2037         | 3128M4KK8 | 592.12    |               |              | 12/15/2009 | 2009   |           | 592.12             | 596.31   | -4.19    |
| 10892701       | FHLB 5 5% 4/1/2020       | 31297ZEN8 | 257.27    |               |              | 12/15/2009 | 2009   |           | 257.27             | 261.33   | -4.06    |
| 10892701       | Fannie Mae 4 5%          | 31416H6Z3 | 299.54    |               |              | 12/28/2009 | 2009   |           | 299.54             | 303.47   | -3.93    |
| 10892701       | Fannie Mae 6% 8/25/2017  | 31405SN59 | 479.58    |               |              | 12/28/2009 | 2009   |           | 479.58             | 487.38   | -7.8     |

## Schedule of Realized Gains and Losses

| Account Number           | Asset Sold                | Cusip       | Shares/PV  | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost              | Real G/L         |
|--------------------------|---------------------------|-------------|------------|---------------|--------------|------------|--------|-----------|--------------------|-------------------|------------------|
| 10892701                 | Fannie Mae 6% 1/1/2022    | 31406R6X67  | 96.58      |               |              | 12/28/2009 | 2009   |           | 96.58              | 98.16             | -1.58            |
| 10892701                 | Fannie Mae 6 5% 6/1/2036  | 31409JKL3   | 828.08     |               |              | 12/28/2009 | 2009   |           | 828.08             | 840.93            | -12.85           |
| 10892701                 | Fannie Mae 6% 8/1/2036    | 31410DSLS   | 1,930.52   |               |              | 12/28/2009 | 2009   |           | 1,930.52           | 1,931.51          | -0.99            |
| 10892701                 | Fannie Mae 5 5% 8/1/2037  | 31410QWXS   | 3,361.33   |               |              | 12/28/2009 | 2009   |           | 3,361.33           | 3,378.02          | -16.69           |
| 10892701                 | Fannie Mae 6 5% 8/1/2036  | 31410RJD2   | 147.87     |               |              | 12/28/2009 | 2009   |           | 147.87             | 150.25            | -2.38            |
| 10892701                 | Fannie Mae 6% 9/01/2021   | 31410TZY0   | 280.84     |               |              | 12/28/2009 | 2009   |           | 280.84             | 286.24            | -5.4             |
| 10892701                 | Fannie Mae 5 5% 7/01/2037 | 31411LB86   | 1,120.99   |               |              | 12/28/2009 | 2009   |           | 1,120.99           | 1,110.33          | 10.66            |
| 10892701                 | Fannie Mae 6 5% 8/01/2037 | 31412E3H7   | 1,205.94   |               |              | 12/28/2009 | 2009   |           | 1,205.94           | 1,219.00          | -13.06           |
| 10892701                 | Fannie Mae 6% 11/1/2036   | 31371M2H9   | 836.11     |               | PURCHASE     | 12/28/2009 | 2009   |           | 836.11             | 843.29            | -7.18            |
| <b>Total:</b>            |                           |             |            |               |              |            |        |           | <b>918,780.38</b>  | <b>920,575.85</b> | <b>-1,795.47</b> |
|                          |                           |             |            |               |              |            |        |           | <b>918,780.38</b>  | <b>920,575.85</b> | <b>-1,795.47</b> |
| <b>Gain/Loss Summary</b> |                           |             |            |               |              |            |        |           |                    |                   |                  |
| Short Term Gain          | 0                         |             |            |               |              |            |        |           |                    |                   |                  |
| Short Term Losses        | 0                         |             |            |               |              |            |        |           |                    |                   |                  |
| Net Short Term Gain/Loss | 0                         |             |            |               |              |            |        |           |                    |                   |                  |
| Long Term Gain           | 0                         |             |            |               |              |            |        |           |                    |                   |                  |
| Long Term Losses         | 0                         |             |            |               |              |            |        |           |                    |                   |                  |
| Net Long Term Gain/Loss  | 0                         |             |            |               |              |            |        |           |                    |                   |                  |
| Uncategorized Gain       | 18,939.40                 |             |            |               |              |            |        |           |                    |                   |                  |
| Uncategorized Losses     | -20,734.87                |             |            |               |              |            |        |           |                    |                   |                  |
| Loss Carry Forward       | 0                         |             |            |               |              |            |        |           |                    |                   |                  |
|                          |                           | Long Term   | 0          |               |              |            |        |           |                    |                   |                  |
|                          |                           | Short Term  | 0          |               |              |            |        |           |                    |                   |                  |
|                          |                           | Last Update | 01/01/1900 |               |              |            |        |           |                    |                   |                  |

## Schedule of Realized Gains and Losses

| Account Number                        | Asset Sold | Cusip | Shares/PV | Schedule D   |               | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost      | Real G/L  |
|---------------------------------------|------------|-------|-----------|--------------|---------------|------------|--------|-----------|--------------------|-----------|-----------|
|                                       |            |       |           | How Acquired | Date Acquired |            |        |           |                    |           |           |
| Uncategorized                         |            |       |           |              |               |            |        |           |                    |           |           |
| 10892703 Alcoa Inc Com Stk            |            |       | 129       | 13817101     |               | 01/08/2009 | 2009   |           | 1,455 11           | 4,130 22  | -2,675.11 |
| 10892703 Alcoa Inc Com Stk            |            |       | 77        | 13817101     |               | 01/12/2009 | 2009   |           | 761 52             | 2,465 32  | -1,703 80 |
| 10892703 Alcoa Inc Com Stk            |            |       | 66        | 13817101     |               | 01/21/2009 | 2009   |           | 533 93             | 2,113 14  | -1,579 21 |
| 10892703 Lennar Corp - CI A           |            |       | 100       | 526057104    |               | 01/23/2009 | 2009   |           | 640 99             | 804 78    | -163.79   |
| 10892703 Alcoa Inc Com Stk            |            |       | 82        | 13817101     |               | 01/23/2009 | 2009   |           | 650 25             | 2,625 41  | -1,975 16 |
| 10892703 Dean Foods Co                |            |       | 38        | 242370104    |               | 01/23/2009 | 2009   |           | 716 67             | 881 36    | -164 69   |
| 10892703 Alcoa Inc Com Stk            |            |       | 88        | 13817101     |               | 01/26/2009 | 2009   |           | 747 99             | 2,817 51  | -2,069.52 |
| 10892703 Valero Energy Corp           | 91913Y100  |       | 20        |              |               | 01/29/2009 | 2009   |           | 495 39             | 735 19    | -239 8    |
| 10892703 ConocoPhillips               | 20825C104  |       | 27        |              |               | 02/02/2009 | 2009   |           | 1,241 18           | 1,885 14  | -643 96   |
| 10892703 General Electric             | 369604103  |       | 46        |              |               | 02/02/2009 | 2009   |           | 533 59             | 1,669 37  | -1,135 78 |
| 10892703 ConocoPhillips               | 20825C104  |       | 37        |              |               | 02/05/2009 | 2009   |           | 1,678 68           | 2,583 35  | -904 67   |
| 10892703 Sony Corp-Sponsored ADR      | 835699307  |       | 50        |              |               | 02/10/2009 | 2009   |           | 961 49             | 2,251 05  | -1,289 56 |
| 10892703 Ameren Corporation           | 23608102   |       | 16        |              |               | 02/12/2009 | 2009   |           | 506 23             | 857 53    | -351 3    |
| 10892703 Dean Foods Co                | 242370104  |       | 38        |              |               | 02/23/2009 | 2009   |           | 733 39             | 845 21    | -111 82   |
| 10892703 Kimberly Clark Corp          | 494368103  |       | 17        |              |               | 02/26/2009 | 2009   |           | 808 17             | 1,003 52  | -195.35   |
| 10892703 Ameren Corporation           | 23608102   |       | 21        |              |               | 02/26/2009 | 2009   |           | 518 48             | 991 15    | -472 67   |
| 10892703 Sony Corp-Sponsored ADR      | 835699307  |       | 90        |              |               | 03/03/2009 | 2009   |           | 1,570 49           | 3,346 73  | -1,776 24 |
| 10892703 Ameren Corporation           | 23608102   |       | 110       |              |               | 03/05/2009 | 2009   |           | 2,240 68           | 5,191 72  | -2,951 04 |
| 10892703 Ameren Corporation           | 23608102   |       | 98        |              |               | 03/12/2009 | 2009   |           | 1,923 72           | 4,625 35  | -2,701 63 |
| 10892703 Sony Corp-Sponsored ADR      | 835699307  |       | 96        |              |               | 03/12/2009 | 2009   |           | 1,726 07           | 3,569 85  | -1,843 78 |
| 10892703 Sony Corp-Sponsored ADR      | 835699307  |       | 24        |              |               | 03/17/2009 | 2009   |           | 473 99             | 892 46    | -418 47   |
| 10892703 Ameren Corporation           | 23608102   |       | 65        |              |               | 03/18/2009 | 2009   |           | 1,350 04           | 3,067 83  | -1,717 79 |
| 10892703 ConocoPhillips               | 20825C104  |       | 104       |              |               | 03/18/2009 | 2009   |           | 3,793 89           | 6,537 72  | -2,743 83 |
| 10892703 Western Digital Corp         | 958102105  |       | 103       |              |               | 03/20/2009 | 2009   |           | 1,790 12           | 1,486 29  | 303 83    |
| 10892703 Ameren Corporation           | 23608102   |       | 69        |              |               | 03/20/2009 | 2009   |           | 1,549 73           | 3,256 62  | -1,706 89 |
| 10892703 Anadarko Petroleum Corp      | 32511107   |       | 21        |              |               | 03/20/2009 | 2009   |           | 880 94             | 750 28    | 130 66    |
| 10892703 Watson Pharmaceuticals Inc   | 942683103  |       | 316       |              |               | 04/03/2009 | 2009   |           | 9,277 70           | 8,556 86  | 720 84    |
| 10892703 Sara Lee Corp                | 803111103  |       | 126       |              |               | 04/06/2009 | 2009   |           | 1,053 35           | 1,561 14  | -507 79   |
| 10892703 Kraft Foods Inc              | 50075N104  |       | 51        |              |               | 04/07/2009 | 2009   |           | 1,143 39           | 1,565 68  | -422.29   |
| 10892703 ConocoPhillips               | 20825C104  |       | 31        |              |               | 04/09/2009 | 2009   |           | 1,250 50           | 1,948 74  | -698.24   |
| 10892703 Sony Corp-Sponsored ADR      | 835699307  |       | 38        |              |               | 04/09/2009 | 2009   |           | 894 51             | 1,413 06  | -518.55   |
| 10892703 Invesco Ltd                  | G4918T108  |       | 71        |              |               | 04/13/2009 | 2009   |           | 1,185 66           | 950 2     | 235 46    |
| 10892703 Time Warner Cable Inc        | 88732J207  |       | 0 1272    |              |               | 04/13/2009 | 2009   |           | 0 26               | 5 96      | -5 7      |
| 10892703 Sara Lee Corp                | 88732J207  |       | 76        | 803111103    |               | 04/14/2009 | 2009   |           | 633 06             | 941 64    | -308 58   |
| 10892703 Anadarko Petroleum Corp      | 32511107   |       | 16        |              |               | 04/14/2009 | 2009   |           | 699 66             | 571 64    | 128 02    |
| 10892703 Time Warner Cable Inc        | 88732J207  |       | 280       |              |               | 04/15/2009 | 2009   |           | 7,887 39           | 13,111 04 | -5,223 65 |
| 10892703 Tenet Healthcare Corporation | 88033G100  |       | 539       |              |               | 04/16/2009 | 2009   |           | 776 13             | 2,584 13  | -1,808 00 |
| 10892703 American Electric Power      | 25537101   |       | 63        |              |               | 04/17/2009 | 2009   |           | 1,707 25           | 2,310 41  | -603 16   |
| 10892703 ConocoPhillips               | 20825C104  |       | 21        |              |               | 04/24/2009 | 2009   |           | 863 7              | 1,320 12  | -456 42   |
| 10892703 Tenet Healthcare Corporation | 88033G100  |       | 1,939 00  |              |               | 04/27/2009 | 2009   |           | 4,149 35           | 8,602 74  | -4,653 39 |
| 10892703 American Express Company     | 25816109   |       | 36        |              |               | 04/27/2009 | 2009   |           | 862 17             | 1,242 03  | -379 86   |
| 10892703 Interpublic Group of Cos Inc | 460690100  |       | 109       |              |               | 04/28/2009 | 2009   |           | 636 54             | 994 33    | -357 79   |
| 10892703 Tenet Healthcare Corporation | 88033G100  |       | 249       |              |               | 04/30/2009 | 2009   |           | 552 76             | 1,130 42  | -577 66   |
| 10892703 American Express Company     | 25816109   |       | 29        |              |               | 05/05/2009 | 2009   |           | 776 31             | 1,000 52  | -224 21   |
| 10892703 Anadarko Petroleum Corp      | 32511107   |       | 19        |              |               | 05/05/2009 | 2009   |           | 857 25             | 678 82    | 178 43    |
| 10892703 Mattel Inc                   | 577081102  |       | 156       |              |               | 05/05/2009 | 2009   |           | 2,438 21           | 2,564 69  | -126 48   |
| 10892703 Kraft Foods Inc              | 50075N104  |       | 83        |              |               | 05/05/2009 | 2009   |           | 2,120 59           | 2,548 07  | -427 48   |
| 10892703 Tenet Healthcare Corporation | 88033G100  |       | 208       |              |               | 05/12/2009 | 2009   |           | 511 66             | 944 29    | -432 63   |
| 10892703 Gap Inc                      | 364760108  |       | 98        |              |               | 05/15/2009 | 2009   |           | 1,470 94           | 1,583 91  | -112 97   |
| 10892703 Pfizer Inc                   | 717081103  |       | 76        |              |               | 05/18/2009 | 2009   |           | 1,149 09           | 1,740 85  | -591 76   |

## Schedule of Realized Gains and Losses

| Account Number                              | Asset Sold | Cusip | Shares/PV | Schedule D    |              | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost      | Real G/L  |
|---------------------------------------------|------------|-------|-----------|---------------|--------------|------------|--------|-----------|--------------------|-----------|-----------|
|                                             |            |       |           | Date Acquired | How Acquired |            |        |           |                    |           |           |
| 10892703 Tenet Healthcare Corporation       | 88033G100  |       | 114       |               |              | 05/21/2009 | 2009   |           | 377.33             | 517.54    | -140.21   |
| 10892703 Valero Energy Corp                 | 91913Y100  |       | 42        |               |              | 05/22/2009 | 2009   |           | 863.49             | 1,383.11  | -519.62   |
| 10892703 Sony Corp-Sponsored ADR            | 835699307  |       | 397       |               |              | 05/22/2009 | 2009   |           | 10,250.27          | 14,762.81 | -4,512.54 |
| 10892703 Hewlett Packard                    | 428236103  |       | 73        |               |              | 05/22/2009 | 2009   |           | 2,482.66           | 2,632.57  | -149.91   |
| 10892703 Tenet Healthcare Corporation       | 88033G100  |       | 538       |               |              | 05/27/2009 | 2009   |           | 1,791.49           | 2,442.43  | -650.94   |
| 10892703 Hewlett Packard                    | 428236103  |       | 34        |               |              | 05/29/2009 | 2009   |           | 1,170.58           | 1,226.13  | -55.55    |
| 10892703 ConocoPhillips                     | 20825C104  |       | 56        |               |              | 06/02/2009 | 2009   |           | 2,693.53           | 3,520.31  | -826.78   |
| 10892703 US Steel Corp                      | 912909108  |       | 21        |               |              | 06/02/2009 | 2009   |           | 767.74             | 608.76    | 158.98    |
| 10892703 ConocoPhillips                     | 20825C104  |       | 231       |               |              | 06/04/2009 | 2009   |           | 10,537.94          | 14,521.29 | -3,983.35 |
| 10892703 Gap Inc                            | 364760108  |       | 33        |               |              | 06/04/2009 | 2009   |           | 555.37             | 533.36    | 22.01     |
| 10892703 LSI Corporation                    | 502161102  |       | 153       |               |              | 06/05/2009 | 2009   |           | 644.11             | 879.62    | -235.51   |
| 10892703 General Electric                   | 369604103  |       | 187       |               |              | 06/11/2009 | 2009   |           | 2,520.69           | 4,987.32  | -2,466.63 |
| 10892703 Invesco Ltd                        | G491BT108  |       | 54        |               |              | 06/11/2009 | 2009   |           | 982.77             | 722.69    | 260.08    |
| 10892703 Time Warner Inc                    | 887317303  |       | 60        |               |              | 06/11/2009 | 2009   |           | 1,592.35           | 1,974.17  | -381.82   |
| 10892703 ConocoPhillips                     | 20825C104  |       | 22        |               |              | 06/12/2009 | 2009   |           | 970.39             | 1,382.98  | -412.59   |
| 10892703 General Electric                   | 369604103  |       | 105       |               |              | 06/12/2009 | 2009   |           | 1,400.66           | 2,800.37  | -1,399.71 |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 23        |               |              | 06/16/2009 | 2009   |           | 572.45             | 429.27    | 143.18    |
| 10892703 LSI Corporation                    | 502161102  |       | 550       |               |              | 06/18/2009 | 2009   |           | 2,419.93           | 3,162.03  | -742.1    |
| 10892703 ConocoPhillips                     | 20825C104  |       | 222       |               |              | 06/24/2009 | 2009   |           | 9,374.81           | 13,955.53 | -4,580.72 |
| 10892703 Invesco Ltd                        | G491BT108  |       | 25        |               |              | 07/02/2009 | 2009   |           | 430.99             | 334.58    | 96.41     |
| 10892703 LSI Corporation                    | 502161102  |       | 4,657.00  |               |              | 07/09/2009 | 2009   |           | 21,281.94          | 26,773.73 | -5,491.79 |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 19        |               |              | 07/14/2009 | 2009   |           | 526.48             | 364.96    | 161.52    |
| 10892703 Interpublic Group of Cos Inc       | 460690100  |       | 141       |               |              | 07/15/2009 | 2009   |           | 724.72             | 1,286.25  | -561.53   |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 34        |               |              | 07/15/2009 | 2009   |           | 972.03             | 653.08    | 318.95    |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 13        |               |              | 07/17/2009 | 2009   |           | 382.84             | 249.71    | 133.13    |
| 10892703 Init Business Machines Corporation | 459200101  |       | 31        |               |              | 07/20/2009 | 2009   |           | 3,609.24           | 2,733.72  | 875.52    |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 22        |               |              | 07/20/2009 | 2009   |           | 654.7              | 422.58    | 232.12    |
| 10892703 Time Warner Inc                    | 887317303  |       | 36        |               |              | 07/20/2009 | 2009   |           | 976.65             | 1,184.50  | -207.85   |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 18        |               |              | 07/20/2009 | 2009   |           | 538.73             | 345.75    | 192.98    |
| 10892703 Mattel Inc                         | 577081102  |       | 49        |               |              | 07/20/2009 | 2009   |           | 887.86             | 805.33    | 82.53     |
| 10892703 Kraft Foods Inc                    | 50075N104  |       | 47        |               |              | 07/20/2009 | 2009   |           | 1,291.53           | 1,442.89  | -151.36   |
| 10892703 Invesco Ltd                        | G491BT108  |       | 44        |               |              | 07/20/2009 | 2009   |           | 805.18             | 588.86    | 216.32    |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 11        |               |              | 07/21/2009 | 2009   |           | 320.86             | 211.29    | 109.57    |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 28        |               |              | 07/22/2009 | 2009   |           | 856.78             | 537.84    | 318.94    |
| 10892703 Flextronics International Ltd      | Y2573F102  |       | 222       |               |              | 07/24/2009 | 2009   |           | 1,187.67           | 1,644.15  | -456.48   |
| 10892703 Invesco Ltd                        | G491BT108  |       | 77        |               |              | 07/24/2009 | 2009   |           | 1,462.96           | 1,030.50  | 432.46    |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 34        |               |              | 07/24/2009 | 2009   |           | 1,049.89           | 653.09    | 396.8     |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 18        |               |              | 07/27/2009 | 2009   |           | 573.29             | 345.75    | 227.54    |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 21        |               |              | 07/28/2009 | 2009   |           | 664.42             | 403.38    | 261.04    |
| 10892703 Lennar Corp - CI A                 | 526057104  |       | 81        |               |              | 07/28/2009 | 2009   |           | 911.23             | 660       | 251.23    |
| 10892703 Invesco Ltd                        | G491BT108  |       | 312       |               |              | 07/28/2009 | 2009   |           | 5,965.29           | 4,175.53  | 1,789.76  |
| 10892703 KLA-Tencor Corp                    | 482480100  |       | 33        |               |              | 07/30/2009 | 2009   |           | 1,018.35           | 633.88    | 384.47    |
| 10892703 The Estee Lauder Companies Inc     | 518439104  |       | 45        |               |              | 07/30/2009 | 2009   |           | 1,664.06           | 1,217.41  | 446.65    |
| 10892703 MeadWestvaco Corp                  | 583334107  |       | 39        |               |              | 07/30/2009 | 2009   |           | 762.82             | 819.07    | -56.25    |
| 10892703 Lennar Corp - CI A                 | 526057104  |       | 115       |               |              | 07/30/2009 | 2009   |           | 1,346.62           | 937.04    | 409.58    |
| 10892703 Kraft Foods Inc                    | 50075N104  |       | 15        |               |              | 08/03/2009 | 2009   |           | 421.94             | 460.5     | -38.56    |
| 10892703 Watson Pharmaceuticals Inc         | 942683103  |       | 1         |               |              | 08/03/2009 | 2009   |           | 34.68              | 27.08     | 7.6       |
| 10892703 Tenet Healthcare Corporation       | 88033G100  |       | 127       |               |              | 08/04/2009 | 2009   |           | 549.9              | 576.56    | -26.66    |
| 10892703 MeadWestvaco Corp                  | 583334107  |       | 49        |               |              | 08/04/2009 | 2009   |           | 1,011.33           | 1,029.09  | -17.76    |
| 10892703 Tenet Healthcare Corporation       | 88033G100  |       | 324       |               |              | 08/04/2009 | 2009   |           | 1,321.89           | 1,470.91  | -149.02   |

## Schedule of Realized Gains and Losses

| Account Number                               | Asset Sold | Cusip | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost      | Real G/L  |
|----------------------------------------------|------------|-------|-----------|---------------|--------------|------------|--------|-----------|--------------------|-----------|-----------|
| 10892703 ChevronTexaco Corp                  |            |       | 62        | 166764100     |              | 08/07/2009 | 2009   |           | 4,327.49           | 4,233.45  | 94.04     |
| 10892703 The Estee Lauder Companies Inc      |            |       | 21        | 518439104     |              | 08/07/2009 | 2009   |           | 783.91             | 568.12    | 215.79    |
| 10892703 Lennar Corp - CI A                  |            |       | 111       | 526057104     |              | 08/07/2009 | 2009   |           | 1,487.36           | 904.44    | 582.92    |
| 10892703 The Travelers Companies Inc         |            |       | 31        | 8 94E+113     |              | 08/13/2009 | 2009   |           | 1,474.32           | 1,355.52  | 118.8     |
| 10892703 KLA-Tencor Corp                     |            |       | 38        | 482480100     |              | 08/13/2009 | 2009   |           | 1,185.57           | 729.92    | 455.65    |
| 10892703 The Estee Lauder Companies Inc      |            |       | 26        | 518439104     |              | 08/14/2009 | 2009   |           | 944.56             | 703.39    | 241.17    |
| 10892703 KLA-Tencor Corp                     |            |       | 34        | 482480100     |              | 08/14/2009 | 2009   |           | 1,043.43           | 653.08    | 390.35    |
| 10892703 Dell Inc                            | 24702R101  |       | 97        |               |              | 08/20/2009 | 2009   |           | 1,389.01           | 1,660.53  | -271.52   |
| 10892703 Lennar Corp - CI A                  |            |       | 115       | 526057104     |              | 08/20/2009 | 2009   |           | 1,618.01           | 937.04    | 680.97    |
| 10892703 Lennar Corp - CI A                  |            |       | 38        | 526057104     |              | 08/24/2009 | 2009   |           | 558.59             | 309.63    | 248.96    |
| 10892703 MeadWestvaco Corp                   |            |       | 42        | 583334107     |              | 08/24/2009 | 2009   |           | 924.82             | 882.07    | 42.75     |
| 10892703 US Steel Corp                       |            |       | 21        | 912909108     |              | 08/25/2009 | 2009   |           | 944.56             | 619.16    | 325.4     |
| 10892703 American Express Company            |            |       | 39        | 25816109      |              | 08/25/2009 | 2009   |           | 1,286.97           | 1,345.53  | -58.56    |
| 10892703 KLA-Tencor Corp                     |            |       | 134       | 482480100     |              | 08/26/2009 | 2009   |           | 4,245.01           | 2,573.92  | 1,671.09  |
| 10892703 KLA-Tencor Corp                     |            |       | 43        | 482480100     |              | 08/28/2009 | 2009   |           | 1,367.79           | 825.96    | 541.83    |
| 10892703 KLA-Tencor Corp                     |            |       | 40        | 482480100     |              | 08/28/2009 | 2009   |           | 1,299.17           | 768.34    | 530.83    |
| 10892703 Hewlett Packard                     |            |       | 33        | 428236103     |              | 09/02/2009 | 2009   |           | 1,477.70           | 1,206.19  | 271.51    |
| 10892703 CA Inc                              | 12673P105  |       | 68        |               |              | 09/02/2009 | 2009   |           | 1,380.36           | 1,190.96  | 189.4     |
| 10892703 Hewlett Packard                     |            |       | 15        | 428236103     |              | 09/02/2009 | 2009   |           | 663.58             | 548.27    | 115.31    |
| 10892703 KLA-Tencor Corp                     |            |       | 188       | 482480100     |              | 09/04/2009 | 2009   |           | 5,908.69           | 3,611.17  | 2,297.52  |
| 10892703 Motorola                            |            |       | 319       | 620076109     |              | 09/14/2009 | 2009   |           | 2,797.85           | 3,497.76  | -699.91   |
| 10892703 Anadarko Petroleum Corp             |            |       | 21        | 32511107      |              | 09/17/2009 | 2009   |           | 1,376.51           | 750.28    | 626.23    |
| 10892703 Lennar Corp - CI A                  |            |       | 144       | 526057104     |              | 09/17/2009 | 2009   |           | 2,517.06           | 1,173.33  | 1,343.73  |
| 10892703 ChevronTexaco Corp                  |            |       | 48        | 166764100     |              | 09/17/2009 | 2009   |           | 3,477.51           | 3,277.51  | 200       |
| 10892703 MeadWestvaco Corp                   |            |       | 40        | 583334107     |              | 09/22/2009 | 2009   |           | 917.98             | 840.07    | 77.91     |
| 10892703 Anadarko Petroleum Corp             |            |       | 10        | 32511107      |              | 09/22/2009 | 2009   |           | 635.68             | 357.28    | 278.4     |
| 10892703 American Electric Power             |            |       | 14        | 25537101      |              | 09/24/2009 | 2009   |           | 436.09             | 513.42    | -77.33    |
| 10892703 Anadarko Petroleum Corp             |            |       | 10        | 32511107      |              | 09/28/2009 | 2009   |           | 627.98             | 357.28    | 270.7     |
| 10892703 Flextronics International Ltd       | Y2573F102  |       | 31        |               |              | 09/29/2009 | 2009   |           | 219.78             | 229.59    | -9.81     |
| 10892703 MeadWestvaco Corp                   |            |       | 50        | 583334107     |              | 10/09/2009 | 2009   |           | 1,200.47           | 1,050.09  | 150.38    |
| 10892703 The Estee Lauder Companies Inc      |            |       | 20        | 518439104     |              | 10/09/2009 | 2009   |           | 787.78             | 541.07    | 246.71    |
| 10892703 Lazard Ltd                          | G54050102  |       | 59        |               |              | 10/15/2009 | 2009   |           | 2,415.99           | 1,653.87  | 762.12    |
| 10892703 Anadarko Petroleum Corp             |            |       | 11        | 32511107      |              | 10/15/2009 | 2009   |           | 736.43             | 393       | 343.43    |
| 10892703 The Estee Lauder Companies Inc      |            |       | 10        | 518439104     |              | 10/16/2009 | 2009   |           | 414.69             | 270.53    | 144.16    |
| 10892703 The Estee Lauder Companies Inc      |            |       | 22        | 518439104     |              | 10/19/2009 | 2009   |           | 920.24             | 595.18    | 325.06    |
| 10892703 ChevronTexaco Corp                  |            |       | 41        | 166764100     |              | 10/19/2009 | 2009   |           | 3,185.21           | 2,799.54  | 385.67    |
| 10892703 The Estee Lauder Companies Inc      |            |       | 36        | 518439104     |              | 10/22/2009 | 2009   |           | 1,544.72           | 973.92    | 570.8     |
| 10892703 Lazard Ltd                          | G54050102  |       | 30        |               |              | 10/22/2009 | 2009   |           | 1,205.37           | 840.95    | 364.42    |
| 10892703 The Estee Lauder Companies Inc      |            |       | 16        | 518439104     |              | 10/26/2009 | 2009   |           | 671.98             | 432.86    | 239.12    |
| 10892703 Lazard Ltd                          | G54050102  |       | 17        |               |              | 10/26/2009 | 2009   |           | 681.51             | 476.54    | 204.97    |
| 10892703 The Estee Lauder Companies Inc      |            |       | 3         | 518439104     |              | 10/28/2009 | 2009   |           | 121.16             | 81.16     | 40        |
| 10892703 Interpublic Group of Cos Inc        |            |       | 1,259.00  | 460690100     |              | 10/29/2009 | 2009   |           | 7,856.97           | 11,485.03 | -3,628.06 |
| 10892703 The Estee Lauder Companies Inc      |            |       | 284       | 518439104     |              | 11/02/2009 | 2009   |           | 12,558.42          | 7,683.18  | 4,875.24  |
| 10892703 Kimberly Clark Corp                 |            |       | 20        | 494368103     |              | 11/05/2009 | 2009   |           | 1,266.97           | 1,180.61  | 86.36     |
| 10892703 Int'l Business Machines Corporation |            |       | 15        | 459200101     |              | 11/11/2009 | 2009   |           | 1,889.80           | 1,322.77  | 567.03    |
| 10892703 Flextronics International Ltd       | Y2573F102  |       | 106       |               |              | 11/13/2009 | 2009   |           | 749.5              | 785.05    | -35.55    |
| 10892703 Tenet Healthcare Corporation        | 88033G100  |       | 274       |               |              | 11/17/2009 | 2009   |           | 1,482.41           | 1,243.92  | 238.49    |

## Schedule of Realized Gains and Losses

| Schedule D                            |            |           |            |               |              |            |        |           |                    |            | Real       |
|---------------------------------------|------------|-----------|------------|---------------|--------------|------------|--------|-----------|--------------------|------------|------------|
| Account Number                        | Asset Sold | Cusip     | Shares/PV  | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost       | G/L        |
| 10892703 Sara Lee Corp                |            | 803111103 | 76         |               |              | 11/23/2009 | 2009   |           | 949.98             | 910.54     | 39.44      |
| 10892703 H & R Block, Inc.            |            | 93671105  | 76         |               |              | 11/23/2009 | 2009   |           | 1,571.64           | 1,122.02   | 449.62     |
| 10892703 Hewlett Packard              |            | 428236103 | 33         |               |              | 11/30/2009 | 2009   |           | 1,618.94           | 1,206.19   | 412.75     |
| 10892703 Kimberly Clark Corp          |            | 494368103 | 20         |               |              | 12/04/2009 | 2009   |           | 1,330.17           | 1,180.61   | 149.56     |
| 10892703 Hewlett Packard              |            | 428236103 | 15         |               |              | 12/07/2009 | 2009   |           | 746.08             | 548.27     | 197.81     |
| 10892703 AOL, Inc.                    | 00184X105  |           | 92         |               |              | 12/11/2009 | 2009   |           | 2,170.22           | 2,163.83   | 6.39       |
| 10892703 Tenet Healthcare Corporation | 88033G100  |           | 162        |               |              | 12/15/2009 | 2009   |           | 827.82             | 735.45     | 92.37      |
| 10892703 Lazard Ltd                   | G54050102  |           | 39         |               |              | 12/15/2009 | 2009   |           | 1,413.71           | 1,093.23   | 320.48     |
| 10892703 Sara Lee Corp                |            | 803111103 | 61         |               |              | 12/15/2009 | 2009   |           | 750.28             | 730.83     | 19.45      |
| 10892703 Bristol Myers Squibb         |            | 110122108 | 48         |               |              | 12/15/2009 | 2009   |           | 1,222.05           | 1,028.03   | 194.02     |
| 10892703 Mattel, Inc.                 |            | 577081102 | 37         |               |              | 12/15/2009 | 2009   |           | 741.46             | 609.09     | 132.37     |
| 10892703 Watson Pharmaceuticals, Inc. |            | 942683103 | 27         |               |              | 12/18/2009 | 2009   |           | 1,026.24           | 731.12     | 295.12     |
| 10892703 Lazard Ltd                   | G54050102  |           | 22         |               |              | 12/18/2009 | 2009   |           | 830.7              | 616.7      | 214        |
| 10892703 Kimberly Clark Corp          |            | 494368103 | 26         |               |              | 12/18/2009 | 2009   |           | 1,655.12           | 1,534.79   | 120.33     |
| 10892703 Tenet Healthcare Corporation | 88033G100  |           | 230        |               |              | 12/22/2009 | 2009   |           | 1,209.77           | 1,044.16   | 165.61     |
| 10892703 Gap, Inc.                    |            | 364760108 | 129        |               |              | 12/22/2009 | 2009   |           | 2,681.84           | 2,081.70   | 600.14     |
| 10892703 Lazard Ltd                   | G54050102  |           | 301        |               |              | 12/28/2009 | 2009   |           | 10,784.55          | 8,437.53   | 2,347.02   |
|                                       |            |           |            |               |              |            |        | Total:    | 297,893.12         | 344,123.26 | -46,230.14 |
| Gain/Loss Summary                     |            |           |            |               |              |            |        |           |                    |            |            |
| Short Term Gain                       |            |           | 0          |               |              |            |        |           |                    |            |            |
| Short Term Losses                     |            |           | 0          |               |              |            |        |           |                    |            |            |
| Net Short Term Gain/Loss              |            |           | 0          |               |              |            |        |           |                    |            |            |
| Long Term Gain                        |            |           | 0          |               |              |            |        |           |                    |            |            |
| Long Term Gain Distribution           |            |           | 0          |               |              |            |        |           |                    |            |            |
| Long Term Losses                      |            |           | 0          |               |              |            |        |           |                    |            |            |
| Net Long Term Gain/Loss               |            |           | 0          |               |              |            |        |           |                    |            |            |
| Uncategorized Gain                    |            |           | 36,738.31  |               |              |            |        |           |                    |            |            |
| Uncategorized Losses                  |            |           | -82,968.45 |               |              |            |        |           |                    |            |            |

## Schedule of Realized Gains and Losses

| Schedule D               |                             |           |           |               |              |            |        |           |                    |            |            |
|--------------------------|-----------------------------|-----------|-----------|---------------|--------------|------------|--------|-----------|--------------------|------------|------------|
| Account Number           | Asset Sold                  | Cusip     | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost       | Real G/L   |
| Uncategorized            |                             |           |           |               |              |            |        |           |                    |            |            |
| 10892704                 | Hexcel Corp                 | 428291108 | 890       |               | PURCHASE     | 01/15/2009 | 2009   |           | 5,676 11           | 17,729 37  | -12,053 26 |
| 10892704                 | Goodrich Petroleum Corp     | 382410405 | 220       |               |              | 01/26/2009 | 2009   |           | 6,375 71           | 7,181 80   | -806 09    |
| 10892704                 | Webster Financial Corp      | 947890109 | 560       |               |              | 01/30/2009 | 2009   |           | 2,338 37           | 10,450 43  | -8,112 06  |
| 10892704                 | Citizens First Bancorp Inc  | 17461R106 | 260       |               |              | 02/17/2009 | 2009   |           | 250 99             | 4,872 57   | -4,621 58  |
| 10892704                 | John Bean Technologies Corp | 477839104 | 49        |               |              | 02/17/2009 | 2009   |           | 496 36             | 644 35     | -147 99    |
| 10892704                 | Flowers Foods Inc           | 343498101 | 690       |               |              | 04/14/2009 | 2009   |           | 15,933 96          | 13,607 09  | 2,326 87   |
| 10892704                 | American Railcar Industries | 02916P103 | 600       |               | PURCHASE     | 05/01/2009 | 2009   |           | 5,317 00           | 17,294 60  | -11,977 60 |
| 10892704                 | Unitrin Inc                 | 913275103 | 370       |               | PURCHASE     | 05/04/2009 | 2009   |           | 6,199 52           | 9,787 10   | -3,587 58  |
| 10892704                 | Walter Inv Mgmt             | 93317W102 | 0 2158    |               | PURCHASE     | 06/11/2009 | 2009   |           | 1 87               | 1          | 0 87       |
| 10892704                 | Colfax Corp                 | 194014106 | 300       |               | PURCHASE     | 06/11/2009 | 2009   |           | 2,726 41           | 7,496 90   | -4,770 49  |
| 10892704                 | Colfax Corp                 | 194014106 | 140       |               |              | 06/12/2009 | 2009   |           | 1,238 25           | 3,498 56   | -2,260 31  |
| 10892704                 | Petrohawk Energy Corp       | 716495106 | 270       |               | PURCHASE     | 07/06/2009 | 2009   |           | 5,200 49           | 3,935 33   | 1,265 16   |
| 10892704                 | Walter Energy, Inc.         | 93317Q105 | 120       |               | PURCHASE     | 07/09/2009 | 2009   |           | 4,524 34           | 3,397 66   | 1,126 68   |
| 10892704                 | Terex Corporation           | 880779103 | 430       |               |              | 07/23/2009 | 2009   |           | 5,670 35           | 18,716 94  | -13,046 59 |
| 10892704                 | The Bnnk's Co               | 109696104 | 320       |               | PURCHASE     | 08/12/2009 | 2009   |           | 8,524 71           | 21,053 94  | -12,529 23 |
| 10892704                 | Ralcorp Holdings            | 751028101 | 210       |               |              | 09/02/2009 | 2009   |           | 13,273 02          | 11,439 05  | 1,833 97   |
| 10892704                 | Tesco Corp                  | 88157K101 | 300       |               |              | 09/08/2009 | 2009   |           | 2,396 45           | 7,564 05   | -5,167 60  |
| 10892704                 | Tesco Corp                  | 88157K101 | 40        |               |              | 09/09/2009 | 2009   |           | 327 56             | 1,008 54   | -680 98    |
| 10892704                 | McDermott International Inc | 580037109 | 360       |               |              | 09/14/2009 | 2009   |           | 9,591 48           | 5,614 23   | 3,977 25   |
| 10892704                 | FirstMerit Corp             | 337915102 | 0 8936    |               | PURCHASE     | 09/23/2009 | 2009   |           | 16 21              | 16 51      | -0 3       |
| 10892704                 | Exco Resources Inc          | 269279402 | 240       |               |              | 09/25/2009 | 2009   |           | 4,355 53           | 4,002 00   | 353 53     |
| 10892704                 | FMC Technologies Inc        | 30249U101 | 230       |               | PURCHASE     | 10/07/2009 | 2009   |           | 12,248 08          | 7,089 36   | 5,158 72   |
| 10892704                 | PrivateBancorp Inc          | 742962103 | 250       |               |              | 10/28/2009 | 2009   |           | 2,340 44           | 9,129 33   | -6,788 89  |
| 10892704                 | Joy Global Inc              | 481165108 | 110       |               | PURCHASE     | 11/02/2009 | 2009   |           | 5,675 84           | 4,048 66   | 1,627 18   |
| 10892704                 | Bucyrus Intl                | 118759109 | 30        |               | PURCHASE     | 11/02/2009 | 2009   |           | 1,373 23           | 695 66     | 677 57     |
| 10892704                 | IMS Health Inc              | 449934108 | 570       |               |              | 11/27/2009 | 2009   |           | 12,178 70          | 7,564 41   | 4,614 29   |
| 10892704                 | Petrohawk Energy Corp       | 716495106 | 460       |               | PURCHASE     | 12/29/2009 | 2009   |           | 11,226 33          | 6,704 63   | 4,521 70   |
| Total:                   |                             |           |           |               |              |            |        |           | 145,477.31         | 204,544.07 | -59,066.76 |
|                          |                             |           |           |               |              |            |        |           | 145,477.31         | 204,544.07 | -59,066.76 |
| Gain/Loss Summary        |                             |           |           |               |              |            |        |           |                    |            |            |
| Short Term Gain 0        |                             |           |           |               |              |            |        |           |                    |            |            |
| Short Term 0             |                             |           |           |               |              |            |        |           |                    |            |            |
| Net Short 0              |                             |           |           |               |              |            |        |           |                    |            |            |
| Long Term Gain 0         |                             |           |           |               |              |            |        |           |                    |            |            |
| Long Term Gain 0         |                             |           |           |               |              |            |        |           |                    |            |            |
| Long Term 0              |                             |           |           |               |              |            |        |           |                    |            |            |
| Net Long 0               |                             |           |           |               |              |            |        |           |                    |            |            |
| Uncategorized 27,483 79  |                             |           |           |               |              |            |        |           |                    |            |            |
| Uncategorized -86,550 55 |                             |           |           |               |              |            |        |           |                    |            |            |

Schedule of Realized Gains and Losses

| Account Number                  | Asset Sold               | Cusip     | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost     | Real G/L |
|---------------------------------|--------------------------|-----------|-----------|---------------|--------------|------------|--------|-----------|--------------------|----------|----------|
| 10892706                        | <b>Uncategorized</b>     |           |           |               |              |            |        |           |                    |          |          |
|                                 | T Rowe Price Intl Int Bd | 77956H104 | 668 896   |               |              | 05/04/2009 | 2009   |           | 6,000.00           | 6,896.14 | -896.14  |
|                                 |                          |           |           |               |              |            |        |           | 6,000.00           | 6,896.14 | -896.14  |
| <b>Total.</b>                   |                          |           |           |               |              |            |        |           | 6,000.00           | 6,896.14 | -896.14  |
| <b>Gain/Loss Summary</b>        |                          |           |           |               |              |            |        |           |                    |          |          |
| Short Term Gain                 | 0                        |           |           |               |              |            |        |           |                    |          |          |
| Short Term Losses               | 0                        |           |           |               |              |            |        |           |                    |          |          |
| <b>Net Short Term Gain/Loss</b> | 0                        |           |           |               |              |            |        |           |                    |          |          |
| Long Term Gain                  | 0                        |           |           |               |              |            |        |           |                    |          |          |
| Long Term Gain Distribution     | 0                        |           |           |               |              |            |        |           |                    |          |          |
| Long Term Losses                | 0                        |           |           |               |              |            |        |           |                    |          |          |
| <b>Net Long Term Gain/Loss</b>  | 0                        |           |           |               |              |            |        |           |                    |          |          |
| Uncategorized Gain              | 0                        |           |           |               |              |            |        |           |                    |          |          |
| Uncategorized Losses            | -896.14                  |           |           |               |              |            |        |           |                    |          |          |

## Schedule of Realized Gains and Losses

| Account Number | Asset Sold             | Cusip     | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost      | Real G/L  |
|----------------|------------------------|-----------|-----------|---------------|--------------|------------|--------|-----------|--------------------|-----------|-----------|
| 10892705       | <b>Uncategorized</b>   |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Vodafone Group PLC     | 92857W209 | 90        |               | PURCHASE     | 01/09/2009 | 2009   |           | 1,882.02           | 2,369.00  | -486.98   |
| 10892705       | <b>New</b>             |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Prudential             | 74435K204 | 55        |               | PURCHASE     | 01/13/2009 | 2009   |           | 589.74             | 759.01    | -169.27   |
| 10892705       | HSBC Holdings PLC ADR  | 404280406 | 66        |               | PURCHASE     | 01/14/2009 | 2009   |           | 2,761.14           | 5,934.72  | -3,173.58 |
| 10892705       | Alliant SE - ADR       | 18805101  | 100       |               | PURCHASE     | 01/15/2009 | 2009   |           | 830.48             | 1,407.05  | -576.57   |
| 10892705       | Alliant SE - ADR       | 18805101  | 210       |               | PURCHASE     | 01/16/2009 | 2009   |           | 1,812.72           | 2,954.80  | -1,142.08 |
| 10892705       | Banco Santander        | 05964H105 | 665       |               | PURCHASE     | 01/20/2009 | 2009   |           | 4,633.69           | 11,047.25 | -6,413.56 |
| 10892705       | Nestle SA ADR          | 641069406 | 35        |               | PURCHASE     | 02/13/2009 | 2009   |           | 1,129.80           | 1,209.54  | -79.74    |
| 10892705       | Prudential             | 74435K204 | 350       |               | PURCHASE     | 02/25/2009 | 2009   |           | 2,735.37           | 4,477.25  | -1,741.88 |
| 10892705       | ENI SPA - Sponsored    | 26874R108 | 75        |               | PURCHASE     | 03/06/2009 | 2009   |           | 2,380.57           | 4,462.30  | -2,081.73 |
| 10892705       | <b>ADR</b>             |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Diageo PLC - Spon ADR  | 25243Q205 | 75        |               | PURCHASE     | 03/19/2009 | 2009   |           | 3,222.98           | 5,332.43  | -2,109.45 |
| 10892705       | Group Danone           | 399449107 | 425       |               | PURCHASE     | 03/19/2009 | 2009   |           | 3,899.50           | 6,755.06  | -2,855.56 |
| 10892705       | Novartis AG            | 66987V109 | 50        |               | PURCHASE     | 03/19/2009 | 2009   |           | 1,897.50           | 2,644.78  | -747.28   |
| 10892705       | Royal Dutch Shell PLC- | 780259206 | 25        |               | PURCHASE     | 03/19/2009 | 2009   |           | 1,158.70           | 1,835.94  | -677.24   |
| 10892705       | <b>ADR A</b>           |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Royal Dutch Shell PLC- | 780259206 | 50        |               | PURCHASE     | 03/20/2009 | 2009   |           | 2,261.92           | 3,671.87  | -1,409.95 |
| 10892705       | <b>ADR A</b>           |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | E on Ag                | 268780103 | 190       |               | PURCHASE     | 03/24/2009 | 2009   |           | 5,393.53           | 9,268.83  | -3,875.30 |
| 10892705       | Ericsson Limited       | 294821608 | 225       |               | PURCHASE     | 03/24/2009 | 2009   |           | 1,824.96           | 2,642.18  | -817.22   |
| 10892705       | Diageo PLC - Spon ADR  | 25243Q205 | 87        |               | PURCHASE     | 03/25/2009 | 2009   |           | 3,828.55           | 6,185.61  | -2,357.06 |
| 10892705       | BNP Paribas- ADR       | 05565A202 | 85        |               | PURCHASE     | 04/09/2009 | 2009   |           | 1,979.74           | 1,669.19  | 310.55    |
| 10892705       | Ericsson Limited       | 294821608 | 105       |               | PURCHASE     | 04/15/2009 | 2009   |           | 943.46             | 1,233.02  | -289.56   |
| 10892705       | Novartis AG            | 66987V109 | 185       |               | PURCHASE     | 04/20/2009 | 2009   |           | 6,616.59           | 9,785.67  | -3,169.08 |
| 10892705       | <b>New</b>             |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Vodafone Group PLC     | 92857W209 | 200       |               | PURCHASE     | 04/23/2009 | 2009   |           | 3,591.66           | 5,010.16  | -1,418.50 |
| 10892705       | Roche Holdings Limited | 771195104 | 125       |               | PURCHASE     | 04/24/2009 | 2009   |           | 3,783.93           | 5,181.82  | -1,397.89 |
| 10892705       | Telus Corp             | 87971M202 | 75        |               | PURCHASE     | 04/24/2009 | 2009   |           | 1,779.09           | 3,121.35  | -1,342.26 |
| 10892705       | Vodafone Group PLC     | 92857W209 | 95        |               | PURCHASE     | 04/24/2009 | 2009   |           | 1,702.19           | 2,379.83  | -677.64   |
| 10892705       | <b>New</b>             |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Ericsson Limited       | 294821608 | 215       |               | PURCHASE     | 04/30/2009 | 2009   |           | 1,895.80           | 2,524.75  | -628.95   |
| 10892705       | Roche Holdings Limited | 771195104 | 145       |               | PURCHASE     | 04/30/2009 | 2009   |           | 4,582.40           | 6,010.91  | -1,428.51 |
| 10892705       | Royal Dutch Shell PLC- | 780259206 | 85        |               | PURCHASE     | 04/30/2009 | 2009   |           | 3,913.74           | 6,242.18  | -2,328.44 |
| 10892705       | <b>ADR A</b>           |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Bae Systems PLC        | 05523R107 | 145       |               | PURCHASE     | 05/01/2009 | 2009   |           | 3,096.22           | 4,815.36  | -1,719.14 |
| 10892705       | Imperial Tobacco Group | 453142101 | 90        |               | PURCHASE     | 05/01/2009 | 2009   |           | 4,056.36           | 5,890.69  | -1,834.33 |
| 10892705       | Telus Corp             | 87971M202 | 100       |               | PURCHASE     | 05/05/2009 | 2009   |           | 2,410.93           | 4,161.79  | -1,750.86 |
| 10892705       | Unilever PLC           | 904767704 | 170       |               | PURCHASE     | 05/05/2009 | 2009   |           | 3,335.36           | 4,626.82  | -1,291.46 |
| 10892705       | Adidas AG              | 00687A107 | 230       |               | PURCHASE     | 05/06/2009 | 2009   |           | 3,748.23           | 5,629.99  | -1,881.76 |
| 10892705       | British Tobacco        | 110448107 | 45        |               | PURCHASE     | 05/06/2009 | 2009   |           | 2,186.51           | 2,767.47  | -580.96   |
| 10892705       | GDF SUEZ Sponsored     | 361608105 | 85        |               | PURCHASE     | 05/06/2009 | 2009   |           | 2,971.80           | 3,933.80  | -962      |
| 10892705       | <b>ADR</b>             |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Telus Corp.            | 87971M202 | 25        |               | PURCHASE     | 05/06/2009 | 2009   |           | 616.45             | 1,040.45  | -424      |
| 10892705       | Novartis AG            | 66987V109 | 105       |               | PURCHASE     | 05/12/2009 | 2009   |           | 4,068.29           | 5,554.03  | -1,485.74 |
| 10892705       | Mobile TeleSystems     | 607409109 | 55        |               | PURCHASE     | 05/13/2009 | 2009   |           | 1,819.53           | 1,933.54  | -114.01   |
| 10892705       | Barclays PLC-Spons ADR | 674E+207  | 70        |               | PURCHASE     | 05/14/2009 | 2009   |           | 1,085.36           | 782.04    | 303.32    |
| 10892705       | Prudential             | 74435K204 | 185       |               | PURCHASE     | 05/21/2009 | 2009   |           | 2,563.29           | 2,211.39  | 351.9     |
| 10892705       | Total Fina Elf SA      | 892E+113  | 25        |               | PURCHASE     | 06/17/2009 | 2009   |           | 1,352.66           | 1,680.57  | -327.91   |
| 10892705       | Bae Systems PLC        | 05523R107 | 180       |               | PURCHASE     | 06/29/2009 | 2009   |           | 4,067.06           | 5,977.68  | -1,910.62 |
| 10892705       | E on Ag                | 268780103 | 70        |               | PURCHASE     | 07/09/2009 | 2009   |           | 2,283.94           | 3,414.83  | -1,130.89 |
| 10892705       | GDF SUEZ Sponsored     | 361608105 | 60        |               | PURCHASE     | 07/09/2009 | 2009   |           | 2,063.21           | 2,776.80  | -713.59   |
| 10892705       | <b>ADR</b>             |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Telus Corp             | 87971M202 | 100       |               | PURCHASE     | 07/14/2009 | 2009   |           | 2,524.29           | 4,161.79  | -1,637.50 |
| 10892705       | Telus Corp             | 87971M202 | 85        |               | PURCHASE     | 07/15/2009 | 2009   |           | 2,195.34           | 3,537.53  | -1,342.19 |
| 10892705       | Nokia Corp - Sponsored | 654902204 | 470       |               | PURCHASE     | 07/16/2009 | 2009   |           | 6,305.35           | 7,745.65  | -1,440.30 |
| 10892705       | <b>ADR A</b>           |           |           |               |              |            |        |           |                    |           |           |
| 10892705       | Reckitt Benckiser ADR  | 756255105 | 400       |               | PURCHASE     | 08/04/2009 | 2009   |           | 3,844.42           | 3,159.05  | 685.37    |
| 10892705       | Reckitt Benckiser ADR  | 756255105 | 20        |               | PURCHASE     | 08/05/2009 | 2009   |           | 188.61             | 157.95    | 30.66     |
| 10892705       | Nestle SA ADR          | 641069406 | 65        |               | PURCHASE     | 08/11/2009 | 2009   |           | 2,631.75           | 2,246.29  | 385.46    |

## Schedule of Realized Gains and Losses

| Account Number | Asset Sold                            | Cusip     | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost              | Real G/L          |
|----------------|---------------------------------------|-----------|-----------|---------------|--------------|------------|--------|-----------|--------------------|-------------------|-------------------|
| 10892705       | Taiwan Semiconductor Manufacturing Co | 874039100 | 0.9       |               | PURCHASE     | 08/24/2009 | 2009   |           | 9.64               | 9.94              | -0.3              |
| 10892705       | Roche Holdings Limited                | 771195104 | 65        |               | PURCHASE     | 09/08/2009 | 2009   |           | 2,557.15           | 2,694.55          | -137.4            |
| 10892705       | E on Ag                               | 268780103 | 80        |               | PURCHASE     | 09/18/2009 | 2009   |           | 3,388.53           | 3,902.67          | -514.14           |
| 10892705       | GDF SUEZ Sponsored                    | 361608105 | 75        |               | PURCHASE     | 09/18/2009 | 2009   |           | 3,397.36           | 3,471.01          | -73.65            |
| 10892705       | ADR Vodafone Group PLC                | 92857W209 | 90        |               | PURCHASE     | 09/29/2009 | 2009   |           | 2,031.57           | 2,254.57          | -223              |
| 10892705       | New Nokia Corp - Sponsored            | 654902204 | 320       |               | PURCHASE     | 09/29/2009 | 2009   |           | 4,709.53           | 5,273.64          | -564.11           |
| 10892705       | ADR A Singapore                       | 82929R304 | 115       |               | PURCHASE     | 09/30/2009 | 2009   |           | 2,618.62           | 2,176.67          | 441.95            |
| 10892705       | Telecommunications E on Ag            | 268780103 | 134       |               | PURCHASE     | 10/05/2009 | 2009   |           | 5,428.56           | 6,536.96          | -1,108.40         |
| 10892705       | Heineken                              | 423012202 | 180       |               | PURCHASE     | 10/14/2009 | 2009   |           | 3,942.61           | 3,273.40          | 669.21            |
| 10892705       | Deutsche Bank AG                      | D18190898 | 80        |               | PURCHASE     | 10/20/2009 | 2009   |           | 6,643.20           | 4,911.40          | 1,731.80          |
| 10892705       | GDF SUEZ Sponsored                    | 361608105 | 122       |               | PURCHASE     | 10/22/2009 | 2009   |           | 5,459.76           | 5,646.17          | -186.41           |
| 10892705       | ADR Rectitt Benckiser ADR             | 756255105 | 535       |               | PURCHASE     | 10/27/2009 | 2009   |           | 5,290.21           | 4,225.24          | 1,064.97          |
| 10892705       | Alliant SE -ADR                       | 18805101  | 465       |               | PURCHASE     | 11/05/2009 | 2009   |           | 5,363.50           | 4,850.83          | 512.67            |
| 10892705       | Ericsson Limited                      | 294821608 | 400       |               | PURCHASE     | 11/06/2009 | 2009   |           | 4,099.17           | 4,697.20          | -598.03           |
| 10892705       | Ericsson Limited                      | 294821608 | 25        |               | PURCHASE     | 11/09/2009 | 2009   |           | 262.65             | 293.57            | -30.92            |
| 10892705       | Singapore Telecommunications          | 82929R304 | 215       |               | PURCHASE     | 11/09/2009 | 2009   |           | 4,482.65           | 4,069.42          | 413.23            |
| 10892705       | Alliant SE -ADR                       | 18805101  | 150       |               | PURCHASE     | 11/11/2009 | 2009   |           | 1,863.43           | 1,564.79          | 298.64            |
| 10892705       | Alliant SE -ADR                       | 18805101  | 100       |               | PURCHASE     | 11/12/2009 | 2009   |           | 1,232.90           | 1,043.19          | 189.71            |
| 10892705       | British Tobacco                       | 110448107 | 60        |               | PURCHASE     | 11/16/2009 | 2009   |           | 3,990.56           | 3,689.97          | 300.59            |
| 10892705       | GlaxoSmithKline PLC - ADR             | 37733W105 | 80        |               | PURCHASE     | 11/16/2009 | 2009   |           | 3,342.32           | 3,674.30          | -331.98           |
| 10892705       | Zurich Financial                      | 98982M107 | 100       |               | PURCHASE     | 11/17/2009 | 2009   |           | 2,294.16           | 2,281.61          | 12.55             |
| 10892705       | Zurich Financial                      | 98982M107 | 330       |               | PURCHASE     | 11/18/2009 | 2009   |           | 7,560.10           | 7,529.30          | 30.8              |
| 10892705       | Unilever PLC                          | 904767704 | 165       |               | PURCHASE     | 11/19/2009 | 2009   |           | 4,881.73           | 4,490.74          | 390.99            |
| 10892705       | Alliant SE -ADR                       | 18805101  | 230       |               | PURCHASE     | 12/03/2009 | 2009   |           | 2,915.38           | 2,399.34          | 516.04            |
| 10892705       | Prudential                            | 74435K204 | 475       |               | PURCHASE     | 12/04/2009 | 2009   |           | 9,959.07           | 5,677.90          | 4,281.17          |
| 10892705       | Anheuser Busch InBev                  | 03524A108 | 5         |               | PURCHASE     | 12/11/2009 | 2009   |           | 260.47             | 226.96            | 33.51             |
| 10892705       | Prudential                            | 74435K204 | 90        |               | PURCHASE     | 12/14/2009 | 2009   |           | 1,793.37           | 1,075.81          | 717.56            |
| 10892705       | Zurich Financial                      | 98982M107 | 100       |               | PURCHASE     | 12/14/2009 | 2009   |           | 2,119.61           | 2,281.60          | -161.99           |
| <b>Total:</b>  |                                       |           |           |               |              |            |        |           | <b>234,344.54</b>  | <b>288,544.76</b> | <b>-54,200.22</b> |
|                |                                       |           |           |               |              |            |        |           | <b>234,344.54</b>  | <b>288,544.76</b> | <b>-54,200.22</b> |

## Gain/Loss Summary

|                 |            |
|-----------------|------------|
| Short Term Gain | 0          |
| Short Term      | 0          |
| Net Short Term  | 0          |
| Long Term Gain  | 0          |
| Long Term       | 0          |
| Net Long Term   | 0          |
| Uncategorized   | 13,672.65  |
| Uncategorized   | -67,872.87 |

## Schedule of Realized Gains and Losses

| Schedule D                            |            |       |           |               |              |            |        |           |                    |           |
|---------------------------------------|------------|-------|-----------|---------------|--------------|------------|--------|-----------|--------------------|-----------|
| Account Number                        | Asset Sold | Cusip | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost      |
| Uncategorized                         |            |       |           |               |              |            |        |           |                    |           |
| 10892702 Schwab (Charles) Corp        |            |       | 100       |               |              | 01/02/2009 | 2009   |           | 1,576.20           | 2,417.61  |
| 10892702 Schwab (Charles) Corp        |            |       | 100       |               |              | 01/02/2009 | 2009   |           | 1,581.17           | 2,417.61  |
| 10892702 Schwab (Charles) Corp        |            |       | 200       |               |              | 01/02/2009 | 2009   |           | 3,152.22           | 4,835.21  |
| 10892702 Schwab (Charles) Corp        |            |       | 200       |               |              | 01/07/2009 | 2009   |           | 3,186.94           | 4,835.21  |
| 10892702 Monsanto Co                  | 61166W101  |       | 100       |               |              | 01/05/2009 | 2009   |           | 8,458.04           | 8,403.11  |
| 10892702 Amphenol Corp - CL A         |            |       | 200       |               |              | 01/15/2009 | 2009   |           | 4,754.23           | 8,862.46  |
| 10892702 Amphenol Corp - CL A         |            |       | 200       |               |              | 01/15/2009 | 2009   |           | 4,738.57           | 8,862.46  |
| 10892702 NASDAQ Stock Market Inc      |            |       | 90        |               |              | 01/21/2009 | 2009   |           | 1,825.83           | 2,108.82  |
| 10892702 Halliburton Co               |            |       | 190       |               |              | 01/21/2009 | 2009   |           | 3,292.97           | 7,107.53  |
| 10892702 Western Union Co             |            |       | 200       |               |              | 01/21/2009 | 2009   |           | 2,704.42           | 4,962.74  |
| 10892702 Corning Inc                  |            |       | 600       |               |              | 01/21/2009 | 2009   |           | 5,478.02           | 15,151.50 |
| 10892702 Western Union Co             |            |       | 100       |               |              | 01/21/2009 | 2009   |           | 1,354.51           | 2,481.37  |
| 10892702 Schlumberger Ltd             |            |       | 200       |               |              | 01/21/2009 | 2009   |           | 7,504.97           | 13,257.06 |
| 10892702 Corning Inc                  |            |       | 100       |               |              | 01/21/2009 | 2009   |           | 905.65             | 2,525.25  |
| 10892702 National Oilwell Varco Inc   |            |       | 130       |               |              | 01/21/2009 | 2009   |           | 3,120.46           | 3,455.18  |
| 10892702 Western Union Co             |            |       | 200       |               | PURCHASE     | 01/21/2009 | 2009   |           | 4,962.73           | 2,251.13  |
| 10892702 AFLAC Inc                    |            |       | 90        |               | PURCHASE     | 01/21/2009 | 2009   |           | 3,202.12           | 4,145.45  |
| 10892702 MEMC Electronic Materials    |            |       | 220       |               |              | 01/22/2009 | 2009   |           | 2,678.39           | 4,180.81  |
| 10892702 AFLAC Inc                    |            |       | 100       |               |              | 01/22/2009 | 2009   |           | 2,842.54           | 4,606.06  |
| 10892702 MEMC Electronic Materials    |            |       | 100       |               |              | 01/22/2009 | 2009   |           | 1,220.99           | 1,900.37  |
| 10892702 AFLAC Inc                    |            |       | 140       |               |              | 01/22/2009 | 2009   |           | 3,897.18           | 6,448.48  |
| 10892702 Jacobs Engineering Group Inc |            |       | 100       |               |              | 01/27/2009 | 2009   |           | 4,043.10           | 7,485.28  |
| 10892702 Sunoco Inc                   | 86764P109  |       | 200       |               |              | 02/02/2009 | 2009   |           | 8,711.57           | 7,202.61  |
| 10892702 Sunoco Inc                   | 86764P109  |       | 100       |               |              | 02/02/2009 | 2009   |           | 4,342.11           | 3,601.30  |
| 10892702 AFLAC Inc                    |            |       | 200       |               |              | 02/03/2009 | 2009   |           | 4,936.21           | 9,212.12  |
| 10892702 CF Industries Holdings Inc   |            |       | 70        |               |              | 02/05/2009 | 2009   |           | 1,622.37           | 3,224.24  |
| 10892702 Abbott Laboratories          |            |       | 130       |               |              | 02/26/2009 | 2009   |           | 8,228.33           | 7,557.45  |
| 10892702 Baxter International Inc     |            |       | 170       |               |              | 02/27/2009 | 2009   |           | 8,115.58           | 9,710.95  |
| 10892702 Express Scripts Inc          |            |       | 100       |               |              | 02/27/2009 | 2009   |           | 5,185.48           | 5,502.75  |
| 10892702 Constellation Brands Inc     | 21036P108  |       | 60        |               | PURCHASE     | 02/27/2009 | 2009   |           | 3,085.33           | 4,455.13  |
| 10892702 CF Industries Holdings Inc   |            |       | 620       |               |              | 03/11/2009 | 2009   |           | 8,012.09           | 10,875.48 |
| 10892702 Express Scripts Inc          |            |       | 70        |               |              | 03/13/2009 | 2009   |           | 4,791.87           | 4,069.39  |
| 10892702 Thermo Fisher Scientific Inc |            |       | 140       |               |              | 03/23/2009 | 2009   |           | 6,560.71           | 10,395.30 |
| 10892702 Procter & Gamble             |            |       | 110       |               |              | 03/23/2009 | 2009   |           | 3,769.91           | 6,232.07  |
| 10892702 Procter & Gamble             |            |       | 210       |               |              | 03/23/2009 | 2009   |           | 9,844.85           | 12,982.17 |
| 10892702 General Dynamics Corp        |            |       | 190       |               |              | 03/23/2009 | 2009   |           | 7,377.52           | 12,493.36 |
| 10892702 Danaher Corp                 |            |       | 80        |               |              | 03/23/2009 | 2009   |           | 4,407.70           | 5,251.73  |
| 10892702 PepsiCo                      |            |       | 10        |               |              | 03/23/2009 | 2009   |           | 502.95             | 640.36    |
| 10892702 Cameron International Corp   | 13342B105  |       | 100       |               |              | 04/13/2009 | 2009   |           | 2,404.32           | 2,464.49  |
| 10892702 AFLAC Inc                    |            |       | 100       |               |              | 04/13/2009 | 2009   |           | 2,444.26           | 1,764.96  |
| 10892702 AFLAC Inc                    |            |       | 200       |               |              | 04/13/2009 | 2009   |           | 4,871.13           | 3,529.91  |
| 10892702 National Oilwell Varco Inc   |            |       | 100       |               |              | 04/13/2009 | 2009   |           | 3,421.85           | 2,884.61  |
| 10892702 Western Union Co             |            |       | 300       |               |              | 04/13/2009 | 2009   |           | 4,275.39           | 6,932.30  |
| 10892702 Mosaic Co                    | 61945A107  |       | 100       |               |              | 04/16/2009 | 2009   |           | 4,376.54           | 4,387.95  |
| 10892702 AFLAC Inc                    |            |       | 190       |               |              | 04/16/2009 | 2009   |           | 5,135.35           | 3,353.42  |
| 10892702 Google Inc                   | 38259P508  |       | 25        |               |              | 04/16/2009 | 2009   |           | 9,804.49           | 7,473.78  |
| 10892702 Apple Computer Inc           |            |       | 100       |               |              | 04/16/2009 | 2009   |           | 12,250.67          | 9,668.13  |
| 10892702 Deere & Co                   |            |       | 100       |               |              | 04/16/2009 | 2009   |           | 3,989.32           | 4,043.05  |
| 10892702 Gamestop Corp                | 36467W109  |       | 100       |               |              | 04/16/2009 | 2009   |           | 3,054.10           | 2,232.49  |
| 10892702 Halliburton Co               |            |       | 200       |               |              | 04/16/2009 | 2009   |           | 3,721.44           | 6,940.01  |
| 10892702 Intel                        |            |       | 400       |               | PURCHASE     | 04/16/2009 | 2009   |           | 6,313.15           | 8,089.50  |
| 10892702 Western Union Co             |            |       | 200       |               |              | 04/21/2009 | 2009   |           | 3,236.15           | 4,621.54  |

## Schedule of Realized Gains and Losses

| Account Number                      | Asset Sold | Cusip     | Shares/PV | Date Acquired | Schedule D |            | Tax Yr. | Time Held | Net Sales Proceeds | Cost      | Real G/L  |
|-------------------------------------|------------|-----------|-----------|---------------|------------|------------|---------|-----------|--------------------|-----------|-----------|
|                                     |            |           |           |               | How        | Trade Date |         |           |                    |           |           |
| 10892702 Western Union Co           |            | 959802109 | 80        |               |            | 04/21/2009 | 2009    |           | 1,295.76           | 1,848.61  | -552.85   |
| 10892702 Alterra Corporation        |            | 214411100 | 300       |               |            | 04/22/2009 | 2009    |           | 4,931.45           | 5,700.59  | -769.14   |
| 10892702 Alterra Corporation        |            | 214411100 | 200       |               |            | 04/22/2009 | 2009    |           | 3,320.05           | 3,800.40  | -480.35   |
| 10892702 Gamestop Corp              |            |           | 200       |               |            | 04/23/2009 | 2009    |           | 6,048.50           | 4,464.98  | 1,583.52  |
| 10892702 US Steel Corp              | 36467W109  | 912909108 | 100       |               |            | 05/06/2009 | 2009    |           | 3,143.16           | 2,462.73  | 680.43    |
| 10892702 US Steel Corp              |            | 912909108 | 120       |               |            | 05/06/2009 | 2009    |           | 3,772.10           | 2,955.28  | 816.82    |
| 10892702 MEMC Electronic Materials  |            | 552715104 | 550       |               |            | 05/06/2009 | 2009    |           | 10,137.28          | 10,418.97 | -281.69   |
| 10892702 Gamestop Corp              |            |           | 200       |               |            | 05/26/2009 | 2009    |           | 4,676.85           | 4,464.98  | 211.87    |
| 10892702 Noble Corp                 | 36467W109  |           | 400       |               |            | 05/27/2009 | 2009    |           | 12,552.63          | 10,480.18 | 2,072.45  |
| 10892702 Noble Corp                 | H5833N103  |           | 10        |               |            | 05/27/2009 | 2009    |           | 314.59             | 262       | 52.59     |
| 10892702 Gamestop Corp              | H5833N103  |           | 100       |               |            | 06/02/2009 | 2009    |           | 2,446.29           | 2,232.49  | 213.8     |
| 10892702 Gamestop Corp              | 36467W109  |           | 100       |               |            | 06/02/2009 | 2009    |           | 2,419.49           | 2,232.48  | 187.01    |
| 10892702 Boeing CO                  |            | 97023105  | 100       |               |            | 06/24/2009 | 2009    |           | 4,117.15           | 5,203.54  | -1,086.39 |
| 10892702 Deere & Co                 |            | 244199105 | 200       |               |            | 06/24/2009 | 2009    |           | 7,959.87           | 8,086.10  | -126.23   |
| 10892702 National Oilwell Varco Inc |            | 637071101 | 100       |               |            | 07/09/2009 | 2009    |           | 3,037.40           | 2,884.61  | 152.79    |
| 10892702 National Oilwell Varco Inc |            | 637071101 | 230       |               |            | 07/09/2009 | 2009    |           | 6,995.33           | 6,634.60  | 360.73    |
| 10892702 Alterra Corporation        |            | 214411100 | 300       |               |            | 07/15/2009 | 2009    |           | 5,167.12           | 5,700.59  | -533.47   |
| 10892702 Alterra Corporation        |            | 214411100 | 210       |               |            | 07/15/2009 | 2009    |           | 3,605.98           | 3,990.42  | -384.44   |
| 10892702 Mosaic Co                  | 61945A107  |           | 120       |               |            | 07/17/2009 | 2009    |           | 5,935.17           | 5,265.55  | 669.62    |
| 10892702 Microsoft Corp             |            | 594918104 | 400       |               |            | 07/24/2009 | 2009    |           | 9,424.07           | 10,601.62 | -1,177.55 |
| 10892702 Becton Dickinson & Co      |            | 75887109  | 100       |               |            | 07/30/2009 | 2009    |           | 6,784.78           | 6,703.63  | 81.15     |
| 10892702 Becton Dickinson & Co      |            | 75887109  | 100       |               |            | 07/30/2009 | 2009    |           | 6,748.59           | 6,703.63  | 44.96     |
| 10892702 Hansen Natural Corp        |            | 411310105 | 200       |               |            | 08/07/2009 | 2009    |           | 7,270.99           | 7,009.23  | 261.76    |
| 10892702 Hansen Natural Corp        |            | 411310105 | 100       |               |            | 08/20/2009 | 2009    |           | 3,287.05           | 3,504.61  | -217.56   |
| 10892702 Hansen Natural Corp        |            | 411310105 | 100       |               |            | 08/20/2009 | 2009    |           | 3,293.78           | 3,504.62  | -210.84   |
| 10892702 Intel                      |            | 458140100 | 700       |               |            | 08/28/2009 | 2009    |           | 14,253.31          | 13,437.18 | 816.13    |
| 10892702 Hansen Natural Corp        |            | 411310105 | 60        |               |            | 09/04/2009 | 2009    |           | 1,916.13           | 2,102.77  | -186.64   |
| 10892702 Hansen Natural Corp        |            | 411310105 | 100       |               |            | 09/04/2009 | 2009    |           | 3,193.17           | 3,504.61  | -311.44   |
| 10892702 Halliburton Co             |            | 406216101 | 500       |               |            | 09/08/2009 | 2009    |           | 12,524.02          | 15,498.39 | -2,974.37 |
| 10892702 Cameron International Corp | 13342B105  |           | 300       |               |            | 09/08/2009 | 2009    |           | 11,063.32          | 7,770.13  | 3,293.19  |
| 10892702 Occidental Petroleum Corp  |            | 674599105 | 100       |               |            | 09/08/2009 | 2009    |           | 7,449.40           | 5,866.13  | 1,583.27  |
| 10892702 Halliburton Co             |            | 406216101 | 488       |               |            | 09/10/2009 | 2009    |           | 12,424.84          | 15,126.43 | -2,701.59 |
| 10892702 Emerson Electric           |            | 291011104 | 300       |               |            | 09/15/2009 | 2009    |           | 12,021.65          | 9,857.48  | 2,164.17  |
| 10892702 Emerson Electric           |            | 291011104 | 100       |               |            | 09/15/2009 | 2009    |           | 4,012.00           | 3,285.82  | 726.18    |
| 10892702 Boeing CO                  |            | 97023105  | 190       |               |            | 09/16/2009 | 2009    |           | 9,922.22           | 9,886.74  | 35.48     |
| 10892702 EMC Corp/Mass              |            | 268648102 | 200       |               |            | 09/16/2009 | 2009    |           | 3,429.53           | 2,450.98  | 978.55    |
| 10892702 EMC Corp/Mass              |            | 268648102 | 600       |               |            | 09/16/2009 | 2009    |           | 10,252.89          | 7,352.95  | 2,899.94  |
| 10892702 AFLAC Inc                  |            | 1055102   | 200       |               |            | 09/16/2009 | 2009    |           | 8,575.45           | 6,541.11  | 2,034.34  |
| 10892702 AFLAC Inc                  |            | 1055102   | 100       |               |            | 09/16/2009 | 2009    |           | 4,291.84           | 3,270.56  | 1,021.28  |
| 10892702 Apple Computer Inc         |            | 37833100  | 100       |               |            | 09/16/2009 | 2009    |           | 11,883.23          | 11,307.17 | 576.06    |
| 10892702 Monsanto Co                | 61166W101  |           | 150       |               |            | 09/16/2009 | 2009    |           | 3,214.97           | 2,628.66  | 586.31    |
| 10892702 Emerson Electric           |            | 291011104 | 80        |               |            | 09/16/2009 | 2009    |           | 11,995.46          | 11,057.65 | 937.81    |
| 10892702 Nike Inc - CL B            |            | 654106103 | 210       |               |            | 09/16/2009 | 2009    |           | 20,269.35          | 11,307.18 | 8,962.17  |
| 10892702 Apple Computer Inc         |            | 37833100  | 100       |               |            | 10/19/2009 | 2009    |           | 12,366.40          | 14,133.15 | -1,766.75 |
| 10892702 Apollo Group, Inc          |            | 37604105  | 200       |               |            | 10/28/2009 | 2009    |           | 7,765.06           | 5,180.09  | 2,584.97  |
| 10892702 Cameron International Corp | 13342B105  |           | 200       |               |            | 11/04/2009 | 2009    |           | 8,086.91           | 5,866.13  | 2,220.78  |
| 10892702 Occidental Petroleum Corp  |            | 674599105 | 100       |               |            | 11/09/2009 | 2009    |           | 9,147.54           | 10,215.85 | -1,068.31 |
| 10892702 CVS Corp                   |            | 126650100 | 300       |               |            | 11/20/2009 | 2009    |           | 6,291.25           | 6,810.56  | -519.31   |
| 10892702 CVS Corp                   |            | 126650100 | 300       |               |            | 11/20/2009 | 2009    |           | 9,463.55           | 10,215.85 | -752.3    |
| 10892702 DirectTV Com               | 25490A101  |           | 300       |               |            | 11/24/2009 | 2009    |           | 9,600.62           | 7,959.22  | 1,641.40  |
| 10892702 TJX Cos Inc                |            | 872540109 | 200       |               |            | 12/03/2009 | 2009    |           | 7,338.93           | 6,426.10  | 912.83    |
| 10892702 TJX Cos Inc                |            | 872540109 | 100       |               |            | 12/03/2009 | 2009    |           | 3,737.97           | 3,213.05  | 524.92    |
| 10892702 NASDAQ Stock Market Inc    |            | 631103108 | 200       |               |            | 12/10/2009 | 2009    |           | 3,909.83           | 4,624.50  | -714.67   |

PURCHASE

## Schedule of Realized Gains and Losses

| Schedule D                       |            |           |           |               |              |            |        |           |                    |            |            |
|----------------------------------|------------|-----------|-----------|---------------|--------------|------------|--------|-----------|--------------------|------------|------------|
| Account Number                   | Asset Sold | Cusip     | Shares/PV | Date Acquired | How Acquired | Trade Date | Tax Yr | Time Held | Net Sales Proceeds | Cost       | Real G/L   |
| 10892702 NASDAQ Stock Market Inc |            | 631103108 | 200       |               |              | 12/10/2009 | 2009   |           | 3,911.47           | 4,624.49   | -713.02    |
| 10892702 NASDAQ Stock Market Inc |            | 631103108 | 200       |               |              | 12/11/2009 | 2009   |           | 3,923.83           | 4,624.50   | -700.67    |
| 10892702 NASDAQ Stock Market Inc |            | 631103108 | 10        |               |              | 12/14/2009 | 2009   |           | 197.51             | 231.22     | -33.71     |
|                                  |            |           |           |               |              |            |        |           | 628,372.87         | 673,404.81 | -45,031.94 |
|                                  |            |           |           |               |              |            | Total: |           | 628,372.87         | 673,404.81 | -45,031.94 |
| Gain/Loss Summary                |            |           |           |               |              |            |        |           |                    |            |            |
| Short Term Gain                  |            |           | 0         |               |              |            |        |           |                    |            |            |
| Short Term Losses                |            |           | 0         |               |              |            |        |           |                    |            |            |
| Net Short Term Gain/Loss         |            |           | 0         |               |              |            |        |           |                    |            |            |
| Long Term Gain                   |            |           | 0         |               |              |            |        |           |                    |            |            |
| Long Term Gain Distribution      |            |           | 0         |               |              |            |        |           |                    |            |            |
| Long Term Losses                 |            |           | 0         |               |              |            |        |           |                    |            |            |
| Net Long Term Gain/Loss          |            |           | 0         |               |              |            |        |           |                    |            |            |
| Uncategorized Gain               |            |           |           |               |              |            |        |           | 62,009.59          |            |            |
| Uncategorized Losses             |            |           |           |               |              |            |        |           | -107,041.53        |            |            |

Robert Glenn Rapp Foundation

73-0616840

**Statement 1**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

|                         | (a)<br>Revenue<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| Other Investment Income | \$ 1,429,352.               | \$ 1,429,352.                   | \$ 1,429,352.                 |
| Total                   | <u>\$ 1,429,352.</u>        | <u>\$ 1,429,352.</u>            | <u>\$ 1,429,352.</u>          |

**Statement 2**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

|                   | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|-------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Schedule Attached | \$ 63,003.                   | \$ 56,703.                      |                               | \$ 6,300.                     |
| Total             | <u>\$ 63,003.</u>            | <u>\$ 56,703.</u>               | <u>\$ 0.</u>                  | <u>\$ 6,300.</u>              |

**Statement 3**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

|                       | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|-----------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Attm I.<br>Excise Tax | \$ 148,232.<br>22,923.       | \$ 148,232.                     |                               |                               |
| Total                 | <u>\$ 171,155.</u>           | <u>\$ 148,232.</u>              | <u>\$ 0.</u>                  | <u>\$ 0.</u>                  |

**Statement 4**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

|                                  | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Computer exp                     | \$ 98.                       | \$ 98.                          |                               |                               |
| Other - Attachment I             | 104,596.                     | 104,596.                        |                               |                               |
| Rounding                         | -2.                          | -2.                             |                               |                               |
| Transportation & Operating Costs | 17,322.                      | 17,322.                         |                               |                               |
| Total                            | <u>\$ 122,014.</u>           | <u>\$ 122,014.</u>              | <u>\$ 0.</u>                  | <u>\$ 0.</u>                  |

**Statement 5**  
**Form 990-PF, Part II, Line 11**  
**Investments - Land, Buildings, and Equipment**

| Category                | Basis            | Accum.<br>Deprec. | Book<br>Value | Fair Market<br>Value |
|-------------------------|------------------|-------------------|---------------|----------------------|
| Machinery and Equipment | \$ 6,049.        | \$ 6,029.         | \$ 20.        | \$ 0.                |
| Total                   | <u>\$ 6,049.</u> | <u>\$ 6,029.</u>  | <u>\$ 20.</u> | <u>\$ 0.</u>         |

**Statement 6**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

|                         | Book Value       | Fair Market<br>Value |
|-------------------------|------------------|----------------------|
| Accum Depletion         | \$ -362,677.     |                      |
| Non-producing           |                  | \$ 1,888.            |
| Non-producing Oil & Gas | 1,888.           |                      |
| Oil & Gas Prod Roy Int  | 362,677.         |                      |
| Oil & Gas Prod Royalty  |                  | 7,533,930.           |
| Total                   | <u>\$ 1,888.</u> | <u>\$ 7,535,818.</u> |

**Statement 7**  
**Form 990-PF, Part III, Line 3**  
**Other Increases**

|                                      |                   |
|--------------------------------------|-------------------|
| Prior year Excise & Depr adjustments | \$ 48,001.        |
| Total                                | <u>\$ 48,001.</u> |

**Statement 8**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

| Item | (a) Description                     | (b) How<br>Acquired | (c) Date<br>Acquired | (d) Date<br>Sold |
|------|-------------------------------------|---------------------|----------------------|------------------|
| 1    | Var Orleans Capital                 | Purchased           | Various              | 12/28/2009       |
| 2    | Var TCW Investments                 | Purchased           | Various              | Various          |
| 3    | Var Keeley Investments              | Purchased           | Various              | Various          |
| 4    | Var TRowe Price Investments         | Purchased           | Various              | Various          |
| 5    | var Lazard Investments              | Purchased           | Various              | Various          |
| 6    | Var Sawgrass                        | Purchased           | Various              | Various          |
| 7    | Var RBC Daine Rauscher 301-97412    | Purchased           | Various              | Various          |
| 8    | Var RBC Daine Rauscher 301-97416    | Purchased           | Various              | Various          |
| 9    | Var RBC Daine Rauscher 301-97417    | Purchased           | Various              | Various          |
| 10   | Var RBC Daine Rauscher 301-97419    | Purchased           | Various              | Various          |
| 11   | Var RBC Daine Rauscher 309-61248    | Purchased           | Various              | Various          |
| 12   | Var Capital Gains/Losses Adjustment | Purchased           | Various              | Various          |

  

| Item | (e)<br>Gross<br>Sales | (f)<br>Deprec.<br>Allowed | (g)<br>Cost<br>Basis | (h)<br>Gain<br>(Loss) | (i)<br>FMV<br>12/31/69 | (j)<br>Adj. Bas.<br>12/31/69 | (k)<br>Excess<br>(i) - (j) | (l)<br>Gain<br>(Loss) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 1    | 918,780.              |                           | 920,576.             | -1,796.               |                        |                              |                            | \$ -1,796.            |

Robert Glenn Rapp Foundation

73-0616840

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

| Item | (e)<br>Gross<br>Sales | (f)<br>Deprec.<br>Allowed | (g)<br>Cost<br>Basis | (h)<br>Gain<br>(Loss) | (i)<br>FMV<br>12/31/69 | (j)<br>Adj. Bas.<br>12/31/69 | (k)<br>Excess<br>(i) - (j) | (l)<br>Gain<br>(Loss) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 2    | 297,893.              |                           | 344,123.             | -46,230.              |                        |                              |                            | \$ -46,230.           |
| 3    | 145,477.              |                           | 204,544.             | -59,067.              |                        |                              |                            | -59,067.              |
| 4    | 6,000.                |                           | 6,896.               | -896.                 |                        |                              |                            | -896.                 |
| 5    | 234,345.              |                           | 288,545.             | -54,200.              |                        |                              |                            | -54,200.              |
| 6    | 628,373.              |                           | 673,405.             | -45,032.              |                        |                              |                            | -45,032.              |
| 7    | 393,228.              |                           | 519,395.             | -126,167.             |                        |                              |                            | -126,167.             |
| 8    | 345,988.              |                           | 355,441.             | -9,453.               |                        |                              |                            | -9,453.               |
| 9    | 1311039.              |                           | 1371038.             | -59,999.              |                        |                              |                            | -59,999.              |
| 10   | 1877000.              |                           | 1864991.             | 12,009.               |                        |                              |                            | 12,009.               |
| 11   | 645.                  |                           | 2,132.               | -1,487.               |                        |                              |                            | -1,487.               |
| 12   | 4,125.                |                           | 0.                   | 4,125.                |                        |                              |                            | 4,125.                |
|      |                       |                           |                      |                       |                        |                              | Total                      | <u>\$-388,193.</u>    |

**Statement 9**  
**Form 990-PF, Part XV, Line 2a-d**  
**Application Submission Information**

Name of Grant Program:

Name: Robert Glenn Rapp Foundation

Care Of: Michael J. Milligan

Street Address: 5400 W. Grand Blvd., Ste 545

City, State, Zip Code: Oklahoma City, OK 73112

Telephone: (405) 525-8331

Form and Content: The foundation has an official application form that must be completed. Applications are available by calling or writing the foundation.

Submission Deadlines: August 31 of each year.

Restrictions on Awards: Educational purpose is required. No contributions for operating expenses.

Robert Glenn Rapp Foundation

73-0616840

**Net Investment Income / Adj. Net Income**  
**Other investment income**

|                |    |                   |
|----------------|----|-------------------|
| Oil & Gas ORRI | \$ | 1,404,343.        |
| Lease bonus'   |    | 25,009.           |
| Total          | \$ | <u>1,429,352.</u> |

**Operating & Administrative Exp. (990-PF)**  
**Compensation of officers, etc. (see Screen 38)[O]**

|                |    |                |
|----------------|----|----------------|
| Sched Attached | \$ | 72,000.        |
| Total          | \$ | <u>72,000.</u> |

**Balance Sheet**  
**Prepaid expenses and deferred charges**

|                        |    |                |
|------------------------|----|----------------|
| Prepaid Fed Excise Tax | \$ | 45,577.        |
| Rounding               |    | 2.             |
| Total                  | \$ | <u>45,579.</u> |

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

|                                                                                            |                                                                                          |                                |
|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------|
| <b>Type or print</b><br><br>File by the due date for filing your return. See instructions. | Name of Exempt Organization                                                              | Employer identification number |
|                                                                                            | Robert Glenn Rapp Foundation                                                             | 73-0616840                     |
|                                                                                            | Number, street, and room or suite number. If a P.O. box, see instructions.               |                                |
|                                                                                            | 5400 W. Grand Blvd. #545                                                                 |                                |
|                                                                                            | City, town or post office, state, and ZIP code. For a foreign address, see instructions. |                                |
|                                                                                            | Oklahoma City, OK 73112                                                                  |                                |

**Check type of return to be filed** (file a separate application for each return)

- |                                                 |                                                                      |                                    |
|-------------------------------------------------|----------------------------------------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                    | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)         | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                                 | <input type="checkbox"/> Form 8870 |

- The books are in the care of ► Robert Glenn Rapp Foundation

Telephone No. ► (405) 524-1116 FAX No. ► (405) 524-1251

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above.
- The extension is for the organization's return for
- ☒ calendar year 20 09 or
  - ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

|                                                                                                                                                                                                                               |           |    |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|---------|
| <b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                    | <b>3a</b> | \$ | 32,500. |
| <b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.                                               | <b>3b</b> | \$ | 24,500. |
| <b>c Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | <b>3c</b> | \$ | 8,000.  |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

|                                                                                             |                                                                                                                                                                                                                                                                  |                                                                      |
|---------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|
| Type or print<br><br>File by the extended due date for filing the return. See instructions. | Name of Exempt Organization                                                                                                                                                                                                                                      | Employer identification number<br><br>73-0616840<br>For IRS use only |
|                                                                                             | Robert Glenn Rapp Foundation                                                                                                                                                                                                                                     |                                                                      |
|                                                                                             | Number, street, and room or suite number. If a P.O. box, see instructions<br>WAUL AND ASSOCIATES<br>3000 UNITED FOUNDERS BLVD STE 136<br>City, town or post office, state, and ZIP code. For a foreign address, see instructions<br>OKLAHOMA CITY, OK 73112-4294 |                                                                      |

**Check type of return to be filed** (File a separate application for each return)

- |                                      |                                                                      |                                      |                                    |
|--------------------------------------|----------------------------------------------------------------------|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990    | <input checked="" type="checkbox"/> Form 990-PF                      | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720   | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above)         | <input type="checkbox"/> Form 5227   |                                    |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in care of Robert Glenn Rapp Foundation  
Telephone No. (405) 524-1116 FAX No. (405) 524-1251
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 11/15, 2010.
- For calendar year 2009, or other tax year beginning       , 20  , and ending       , 20  .
- If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- State in detail why you need the extension Taxpayer respectfully requests additional time to gather information necessary to file a complete and accurate tax return.

|                                                                                                                                                                                                                                            |              |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|---------|
| <b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                 | <b>8a</b> \$ | 31,200. |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | <b>8b</b> \$ | 31,200. |
| <b>c Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instrs.                    | <b>8c</b> \$ | 0.      |

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature [Signature] Title CAA Date 8/15/10