Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: TRAVERS FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): 2950 MERCED STREET — Room/suite: 109

City or town, state, and ZIP code: SAN LEANDRO, CA 94577

A Employer identification number: 68-6095780

B Telephone number: 510-483-1288

C If exemption application is pending, check here [ ]

D 1. Foreign organizations, check here [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 24036002.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	119.	119.	119.	STATEMENT 1
	4 Dividends and interest from securities	1085860.	1085860.	1085860.	STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-546272.			
	b Gross sales price for all assets on line 6a 2329258.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	539707.	1085979.	1085979.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	350000.	350000.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	1775.	1775.	0.	0.
	c Other professional fees				
	17 Interest	35327.	35327.	0.	0.
	18 Taxes STMT 4	11752.	11752.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	110274.	110230.	0.	44.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	509128.	509084.	0.	44.
	25 Contributions, gifts, grants paid	1113073.			1113073.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1622201.	509084.	0.	1113117.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-1082494.			
	b **Net investment income** (if negative, enter -0-)		576895.		
	c **Adjusted net income** (if negative, enter -0-)			1085979.	

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Part II Balance Sheets

	Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	8759.	8759.	8759.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 7	24587151.	23699359.	23699359.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	437996.	327884.	327884.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	25033906.	24036002.	24036002.
Liabilities	17 Accounts payable and accrued expenses	850000.	1200000.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 9)	1693530.	2653752.	
	23 **Total liabilities** (add lines 17 through 22)	2543530.	3853752.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	22490376.	20182250.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	22490376.	20182250.	
	31 **Total liabilities and net assets/fund balances**	25033906.	24036002.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	22490376.
2	Enter amount from Part I, line 27a	2	-1082494.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	21407882.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	1225632.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	20182250.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED STATEMENT	P		
b	SEE ATTACHED STATEMENT	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1591310.		1339181.	252129.
b	737948.		1536349.	-798401.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				252129.
b				-798401.
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-546272.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	252129.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	2255049.	22560067.	.099958
2007	1633580.	47933197.	.034080
2006	823597.	47805005.	.017228
2005	0.	985.	.000000
2004			

2 Total of line 1, column (d)	2	.151266
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.037817
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	23354350.
5 Multiply line 4 by line 3	5	883191.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5769.
7 Add lines 5 and 6	7	888960.
8 Enter qualifying distributions from Part XII, line 4	8	1113117.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			1	5769.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	5769.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	5769.
6 Credits/Payments.				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	10500.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	10500.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4731.
11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶		4731. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CHARLES N TRAVERS Telephone no ▶510-483-1288

Located at ▶2950 MERCED STREET # 109, SAN LEANDRO, CA ZIP+4 ▶94577

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		350000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2009)

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a Average monthly fair market value of securities		1a	23700000.
b Average of monthly cash balances		1b	10000.
c Fair market value of all other assets		1c	
d **Total** (add lines 1a, b, and c)		1d	23710000.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	23710000.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	355650.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	23354350.
6 **Minimum investment return.** Enter 5% of line 5		6	1167718.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1 Minimum investment return from Part X, line 6		1	1167718.
2a Tax on investment income for 2009 from Part VI, line 5	2a 5769.		
b Income tax for 2009 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	5769.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	1161949.
4 Recoveries of amounts treated as qualifying distributions		4	0.
5 Add lines 3 and 4		5	1161949.
6 Deduction from distributable amount (see instructions)		6	0.
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	1161949.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1113117.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1113117.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	5769.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1107348.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1161949.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			1113073.	
b Total for prior years ______, ______, ______		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 1113117.				
a Applied to 2008, but not more than line 2a			1113073.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				44.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				1161905.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

CHARLES N TRAVERS, 510-483-1288
2950 MERCED STREET # 109, SAN LEANDRO, CA 94577

b The form in which applications should be submitted and information and materials they should include

WRITTEN FORM PURPOSE OF FUNDS BRIEF HISTORY OF ORGANIZATION

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
COMMONWEALTH CLUB OF CALIFORNIA SAN FRANCISCO, CA IFORNIA	NONE	EXEMPT	GENERAL PURPOSE	132500.
MARIN ROWING ASSOCIATION SAN RAFAEL, CA IFORNIA	NONE	EXEMPT	GENERAL PURPOSE	20000.
SILICON VALLEY SPCA SAN JOSE, CA IFORNIA	NONE	EXEMPT	GENERAL PURPOSE	200000.
UNIVERSITY OF CALIFORNIA BERKELEY, CA IFORNIA	NONE	EXEMPT	GENERAL PURPOSE	760573.
Total			▶ 3a	1113073.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					119.
4 Dividends and interest from securities					1085860.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					-546272.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		0.	539707.

13 Total Add line 12, columns (b), (d), and (e) 13 539707.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Charles Travers | 5-11-10 | Trustee

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	John Harper	Date 4/30/10	Check if self-employed ▶ [X]	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code	JOHN HARPER, CPA 2099 MT. DIABLO BLVD., STE. 201 WALNUT CREEK, CA 94596		EIN ▶ Phone no 925-295-3024	

Form 990-PF (2009)

923622
02-02-10

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
LCGI MORTGAGE FUND LLC	118.
WELLS FARGO	1.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	119.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
TD AMERITRADE	1085860.	0.	1085860.
TOTAL TO FM 990-PF, PART I, LN 4	1085860.	0.	1085860.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	1775.	1775.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	1775.	1775.	0.	0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX BOARD	160.	160.	0.	0.
INTERNAL REVENUE SERVICE	11592.	11592.	0.	0.
TO FORM 990-PF, PG 1, LN 18	11752.	11752.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROOF OF PUBLICATION	44.	0.	0.	44.
LCGI MORTGAGE FUND LLC	110230.	110230.	0.	0.
TO FORM 990-PF, PG 1, LN 23	110274.	110230.	0.	44.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
UNREALIZED DECREASE IN MARKETABLE SECURITIES	1225632.
TOTAL TO FORM 990-PF, PART III, LINE 5	1225632.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	23699359.	23699359.
TOTAL TO FORM 990-PF, PART II, LINE 10B	23699359.	23699359.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LCGI MORTGAGE LLC	COST	327884.	327884.
TOTAL TO FORM 990-PF, PART II, LINE 13		327884.	327884.

FORM 990-PF — OTHER LIABILITIES — STATEMENT 9

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
TD AMERITRADE MARGIN LOAN	1693530.	2653752.
TOTAL TO FORM 990-PF, PART II, LINE 22	1693530.	2653752.

FORM 990-PF — PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS — STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHARLES N TRAVERS 2950 MERCED STREET SAN LEANDRO, CA 94577	DIRECTOR 40.00	50000.	0.	0.
ELIZABETH TRAVERS 2950 MERCED STREET SAN LEANDRO, CA 94577	DIRECTOR 10.00	50000.	0.	0.
TODD TRAVERS 2950 MERCED STREET SAN LEANDRO, CA 94577	DIRECTOR 10.00	50000.	0.	0.
GAYLE TRAVERS 2950 MERCED STREET SAN LEANDRO, CA 94577	DIRECTOR 10.00	50000.	0.	0.
NANCY LUCAS 2950 MERCED STREET SAN LEANDRO, CA 94577	DIRECTOR 10.00	50000.	0.	0.
LARRY LUCAS 2950 MERCED STREET SAN LEANDRO, CA 94577	DIRECTOR 10.00	50000.	0.	0.
TARN SUBLETT 11026 SE 31ST STREET BELLEVUE, WA 98004	DIRECTOR 40.00	50000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		350000.	0.	0.

Travers Family Foundation
April 26, 2010

ST Gain	530,309 43	LT Gain	4,222 71	
ST Loss	-282,403 25	LT Loss	(798,300 69)	
ST Net	247,906 18	Lt Net	(794,077 98)	(546,171 80)
ST Sales	1,591,310 12	LT Sales	737,947 51	2,329,257 63
ST Cost	1,343,403 94	LT Cost	1,532,025 49	2,875,429 43
				(546,171 80)

Description	Trans type	Qty	Open date	Adj cost per shr	ST Adj cost	LT Adj cost	Close date	Adj proceeds per share	ST ADJ	LT ADJ	ST Adj gain($)	STAdj loss($)	adj gain	LT adj loss
AXP Jul 09 Put 42 50 (ABZSV)	Buy to Close	1,000	12/15/2008	18.47	18,465 50		6/29/2009	22 90	22,902 37		4,436.87			
AXP Oct 09 Put 32 50 (ABZVT)	Expire Short	1,000.	9/25/2009	0.00	0.00		10/19/2009	1 03	1,034 47		1,034 47			
AMERICAN CAPITAL LTD (ACAS)	Cash in Lieu	0	8/8/2009		0.00		8/8/2009		1 76		1 76			
AEP Mar 09 Call 30 00 (AEPCF)	Expire Short	1,000	2/24/2009	0.00	0 00		3/23/2009	0 73	734 49		734 49			
AAR CORP (AIR)	Sell	1,000	6/3/2008	21 28		21,275 08	7/15/2009	16 99		16,991 56				(4,283 52)
AIR Feb 09 Call 20 00 (AIRBD)	Expire Short	1,000	1/23/2009	0.00	0 00		2/23/2009	0 48	484 49		484 49			
AIR Aug 09 Call 20 00 (AIRHD)	Expire Short	2,000	7/23/2009	0.00	0 00		8/24/2009	0 29	576 98		576 98			
AIR Oct 09 Call 20 00 (AIRJD)	Buy to Close	1,000.	9/17/2009	2 62	2,617.50		10/12/2009	0 39	388.49			(2,229 01)		
AIR Oct 09 Call 20 00 (AIRJD)	Buy to Close	1,000	9/17/2009	2 53	2,530 00		10/12/2009	0 39	388.48			(2,141 52)		
AIR Oct 09 Call 22 50 (AIRJX)	Buy to Close	1,900	10/5/2009	0 55	1,040 25		10/12/2009	0 49	927.72			(112 53)		
AIR Nov 09 Call 22 50 (AIRKX)	Expire Short	1,900	10/12/2009	0 00	0 00		11/23/2009	1 38	2,618.68		2,618.68			
AIR Feb 09 Put 17 50 (AIRNW)	Buy to Close	400	10/14/2008	0 90	359 00		2/17/2009	4 17	1,668.99		1,309.99			
AIR Feb 09 Put 17 50 (AIRNW)	Buy to Close	3,600	10/15/2008	0.90	3,231 00		2/17/2009	4 68	16,846 40		13,615.40			
AIR Mar 09 Put 17 50 (AIROW)	Buy to Close	4,000	2/17/2009	2 78	11,110.00		2/24/2009	1 83	7,321 95			(3,788 05)		
AIR Apr 09 Put 17 50 (AIRPW)	Buy to Close	1,100	2/24/2009	4.51	4,958 25		3/26/2009	3 01	3,311 53			(1,646 72)		
AIR Aug 09 Put 17.50 (AIRTW)	Expire Short	1,100	3/26/2009	0.00	0.00		8/24/2009	4 89	5,373.71		5,373 71			
AIR Nov 09 Put 20 00 (AIRWD)	Buy to Close	2,000	10/28/2009	1.00	1,995.00		11/20/2009	0 89	1,776 95			(218 05)		
AIR Dec 09 Put 20 00 (AIRXD)	Expire Short	2,000	11/20/2009	0.00	0 00		12/21/2009	1 53	3,056 92		3,056 92			
AMER EXPRESS CO (AXP)	Sell	1,000	4/1/2009	19.62	19,616 63		11/23/2009	41.51	41,510 93		21,894 30			
AXP Apr 09 Call 16.00 (AXPDN)	Buy to Close	1,000	4/8/2009	4 06	4,055 50		4/14/2009	0 38	384 49			(3,671 01)		
AXP May 09 Call 17.50 (AXPEQ)	Buy to Close	1,000.	4/14/2009	6 49	6,485.50		4/24/2009	3 58	3,582.40			(2,903 10)		
AXP Jul 09 Call 19 00 (AXPGO)	Buy to Close	1,000	4/24/2009	5 27	5,267 50		6/29/2009	6 15	6,152.34		884 84			
AXP Jan 16 2010 20.0 Call	Buy to Close	1,000	6/29/2009	21 62	21,615 50		11/23/2009	5 83	5,834 34			(15,781 16)		
GENERAL CABLE CORP (BGC)	Assign Call	400	9/4/2008	57 00		22,801 83	11/17/2009	40 13		16,050 38				(6,751 45)
GENERAL CABLE CORP (BGC)	Assign Call	600	9/4/2008	57 00		34,202 75	11/20/2009	40 14		24,085 07				(10,117 68)
GCN May 09 Call 35 00 (BGCEG)	Buy to Close	1,000	5/5/2009	2 51	2,507.50		5/11/2009	1 53	1,534 46			(973 04)		
GCN Aug 09 Call 40 00 (BGCHH)	Expire Short	1,000	5/11/2009	0 00	0 00		8/24/2009	3 98	3,984 39		3,984 39			
GCN Sep 09 Call 40 00 (BGCIH)	Buy to Close	1,000	9/10/2009	0 57	567 50		9/17/2009	1 13	1,134 47		566 97			
GCN Oct 09 Call 40 00 (BGCJH)	Buy to Close	1,000	9/17/2009	0 54	537 50		10/15/2009	2.19	2,194 44		1,656 94			
GCN Nov 09 Call 40 00 (BGCKH)	Expire Short	1,000	10/15/2009	0 00	0 00		11/23/2009	2 66	2,664 43		2,664 43			
BGC Feb 09 Call 20 00 (BGVBD)	Expire Short	1,000	2/4/2009	0 00	0 00		2/23/2009	0 48	484 49		484 49			
BGC Apr 09 Call 22 50 (BGVDX)	Buy to Close	1,000	4/2/2009	2 02	2,017 50		4/14/2009	1.23	1,234 49			(783 01)		
BGC May 09 Call 22 50 (BGVEX)	Buy to Close	1,000	4/14/2009	12 01	12,005 50		5/4/2009	3 14	3,144 41			(8,861 09)		
BGC Aug 09 Call 25 00 (BGVHE)	Buy to Close	1,000	5/4/2009	14 45	14,447 50		7/17/2009	11 28	11,282 20			(3,165 30)		
BGC Nov 09 Put 30 00 (BGVWF)	Expire Short	1,000	10/28/2009	0 00	0 00		11/23/2009	1 08	1,084 47		1,084 47			
BUCYRUS INTL INC (BUCY)	Assign Call	400	11/24/2008	28 53	11,410 65		11/17/2009	39 02	15,606 40		4,195 75			
BUCYRUS INTL INC (BUCY)	Assign Call	600	11/24/2008	28 53	17,115 98		11/20/2009	39 03	23,419 09		6,303 11			

Description	Trans type	Qty	Open date	Adj cost per shr	ST Adj cost	LT Adj cost	Close date	Adj proceeds per share	ST ADJ	LT ADJ	ST Adj gain($)	STAdj loss($)	adj gain	LT adj loss
BAC Jun 09 Call 13 00 (BYOFM)	Buy to Close	1,500	6/11/2009	0 53	791 25		6/15/2009	0 42	625 73			(165 52)		
BAC Jul 09 Call 14 00 (BYOGN)	Expire Short	1,500	6/15/2009	0 00	0 00		7/20/2009	0 74	1,105 72		1,105 72			
BAC Oct 09 Call 18 00 (BYOJR)	Buy to Close	1,500.	9/18/2009	0 61	919 25		10/15/2009	0 79	1,180 71		261 46			
BAC Nov 09 Call 19 00 (BYOKA)	Expire Short	1,500	10/15/2009	0 00	0 00		11/23/2009	0 76	1,143 72		1,143 72			
BAC Nov 09 Call 16 00 (BYOKP)	Buy to Close	1,500	11/9/2009	0 39	581 25		11/19/2009	0 34	505.73			(75 52)		
BAC Nov 09 Call 17 50 (BYOKZ)	Expire Short	1,500.	10/19/2009	0.00	0 00		11/23/2009	0 70	1,045.72		1,045 72			
BAC Dec 09 Call 17 00 (BYOLQ)	Expire Short	1,500	11/19/2009	0 00	0.00		12/21/2009	0 45	670 73		670 73			
BA Oct 09 Call 55 00 (BZDJC)	Expire Short	1,000.	9/17/2009	0 00	0.00		10/19/2009	1 08	1,084.47		1,084 47			
CATERPILLAR INC (CAT)	Assign Call	1,000.	10/17/2008	44 68		44,679 52	10/21/2009	48.90		48,902 23			4,222 71	
CAT Aug 09 Call 50 00 (CATHJ)	Expire Short	1,000	8/7/2009	0 00	0.00		8/24/2009	1.00	1,004 47		1,004.47			
CAT Oct 09 Call 55 00 (CATJK)	Expire Short	1,000	9/17/2009	0 00	0.00		10/19/2009	1 48	1,484 46		1,484 46			
CAT Nov 09 Call 60 00 (CATKL)	Expire Short	1,000.	10/19/2009	0 00	0.00		11/23/2009	1 60	1,604 45		1,604 45			
CAT Jan 09 Put 70 00 (CATMN)	Buy to Close	400	10/13/2008	30 05	12,019 00		1/16/2009	25.33	10,132 94			(1,886.06)		
C Mar 09 Call 2 50 (CCY)	Buy to Close	1,000.	2/24/2009	0 27	265.50		3/20/2009	0.46	464 49		198 99			
C Apr 09 Call 3 00 (CDV)	Buy to Close	1,000.	3/20/2009	1 06	1,055 50		4/14/2009	0.39	392 49			(663 01)		
C May 09 Call 4 00 (CEW)	Buy to Close	1,000	4/14/2009	0 20	197.50		5/11/2009	0 77	772 47		574.97			
CHK Sep 09 Call 23 00 (CHKIT)	Buy to Close	1,200	9/8/2009	5 83	7,001.00		9/16/2009	0.74	882 97			(6,118 03)		
CHK Oct 09 Put 25 00 (CHKVE)	Expire Short	1,200.	9/18/2009	0 00	0.00		10/19/2009	0.69	822 97		822 97			
CHK Nov 09 Put 24 00 (CHKWX)	Buy to Close	1,200.	11/10/2009	0 70	837.00		11/19/2009	0.39	462 98			(374 02)		
CHK Dec 09 Put 24 00 (CHKXX)	Expire Short	1,200	11/19/2009	0 00	0.00		12/21/2009	1.52	1,818.95		1,818 95			
C Sep 09 Call 5 00 (CIP)	Expire Short	1,000.	5/11/2009	0 00	0.00		9/21/2009	0.38	384 48		384 48			
C Oct 09 Call 5 00 (CJP)	Buy to Close	2,000	9/29/2009	0 04	75 00		10/15/2009	0.16	316 99		241 99			
C Nov 09 Call 5 00 (CKP)	Expire Short	2,000	10/15/2009	0 00	0.00		11/23/2009	0.21	416 98		416 98			
CENTERPOINT ENERGY INC (CNP)	Assign Call	2,000	1/1/2005	12 99		25,979 99	10/16/2009	12.88		25,757 32				(222 67)
CNP Sep 09 Call 12 50 (CNPIV)	Buy to Close.	2,000	9/11/2009	0 21	415 00		9/17/2009	0.14	276 99			(138 01)		
CNP Feb 09 Put 17 50 (CNPNW)	Buy to Close	2,000	10/30/2008	5.08	10,154.25		1/22/2009	6.09	12,184 93		2,030 68			
CNP Feb 09 Put 17 50 (CNPNW)	Buy to Close	1,500	10/30/2008	5.04	7,560.00		1/22/2009	6.09	9,138 70		1,578 70			
CNP Nov 09 Put 12.50 (CNPWV)	Expire Short	2,000	10/13/2009	0 00	0.00		11/23/2009	0 29	576 98		576 98			
CNP Nov 09 Put 12 50 (CNPWV)	Expire Short	2,000	11/3/2009	0.00	0.00		11/23/2009	0.39	776 97		776 97			
CAT Aug 09 Call 38 00 (CXJHL)	Buy to Close	1,000	7/20/2009	9 47	9,465.50		8/13/2009	1 28	1,284 46			(8,181 04)		
DSX Mar 09 Call 15 00 (DSXCC)	Expire Short	1,000	2/24/2009	0 00	0.00		3/23/2009	0 43	434 49		434 49			
DSX Mar 09 Call 12 50 (DSXCV)	Buy to Close.	1,000	3/4/2009	0 81	807.50		3/16/2009	0.83	834.49		26 99			
DSX Apr 09 Call 12 50 (DSXDV)	Buy to Close.	1,000	3/16/2009	1 65	1,647 50		4/16/2009	1 58	1,584.49			(63.01)		
DSX May 09 Call 12 50 (DSXEV)	Buy to Close	1,000	4/16/2009	4 86	4,857.50		5/5/2009	2 28	2,284.44			(2,573 06)		
DSX Jun 09 Call 12 50 (DSXFV)	Buy to Close	1,000	5/5/2009	3 58	3,577.50		6/11/2009	5.03	5,034.37		1,456.87			
DSX Jul 09 Call 12 50 (DSXGV)	Buy to Close	1,000.	6/11/2009	1 80	1,797 50		6/29/2009	3.75	3,754.40		1,956 90			
DSX Nov 09 Call 15 00 (DSXKC)	Buy to Close	1,000.	10/6/2009	1 80	1,797 50		11/17/2009	0.38	384 48			(1,413 02)		
DSX Dec 09 Call 15 00 (DSXLC)	Buy to Close	1,000	6/29/2009	0 38	377 50		12/14/2009	2 02	2,024 44		1,646.94			
DSX Dec 09 Call 15 00 (DSXLC)	Buy to Close	1,000	11/17/2009	0.38	377 50		12/14/2009	2 07	2,074 44		1,696 94			
DSX Jan 09 Put 10 00 (DSXMB)	Expire Short	1,000	12/18/2008	0 00	0 00		1/20/2009	0 43	434 49		434.49			
DSX Apr 09 Put 12 50 (DSXPV)	Expire Short	1,000	3/20/2009	0 00	0 00		4/20/2009	1 38	1,384 49		1,384 49			
EP Jan 09 Put 14 00 (EPMP)	Buy to Close	700	10/15/2008	6 07	4,248 25		1/16/2009	5 34	3,739.73			(508 52)		
EP Nov 09 Put 10.00 (EPWB)	Buy to Close	1,500	10/26/2009	0 42	626 25		11/20/2009	0 34	505 73			(120 52)		
EP Dec 09 Put 10 00 (EPXB)	Buy to Close	1,500	11/20/2009	0 34	506 25		12/15/2009	0 66	985.72		479 47			
WFC Sep 09 Call 29 00 (FHUIC)	Buy to Close	400	8/21/2009	0 76	303 00		9/16/2009	0 89	355 39		52 39			
WFC Sep 09 Call 29 00 (FHUIC)	Buy to Close	1,600	8/21/2009	0 67	1,072 00		9/16/2009	0 89	1,421 56		349 56			
WFC Oct 09 Call 30 00 (FHUJD)	Buy to Close	2,000	9/16/2009	0 54	1,075 00		10/13/2009	1 12	2,239 93		1,164 93			
WFC Oct 09 Call 30 00 (FHUJD)	Buy to Close	2,000	9/17/2009	0 54	1,075 00		10/13/2009	1 14	2,276 94		1,201 94			

Description	Trans type	Qty	Open date	Adj cost per shr	ST Adj cost	LT Adj cost	Close date	Adj proceeds per share	ST ADJ	LT ADJ	ST Adj gain($)	STAdj loss($)	adj gain	LT adj loss
WFC Nov 09 Call 31 00 (FHUKE)	Expire Short	4,000	10/13/2009	0 00	0 00		11/23/2009	1 22	4,881 87		4,881 87			
WFC Feb 09 Put 30 00 (FHUND)	Buy to Close	3,300	1/9/2009	14 36	47,387 75		1/22/2009	6 12	20,204 13			(27,183 62)		
WFC Feb 09 Put 31 00 (FHUNE)	Buy to Close	2,000	1/6/2009	15 36	30,723 00		1/22/2009	4 59	9,184 94			(21,538 06)		
WFC Jul 09 Put 30 00 (FHUSD)	Buy to Close	3,300	1/22/2009	5 61	18,512 75		6/30/2009	15 04	49,639 97		31,127 22			
WFC Jul 09 Put 31 00 (FHUSE)	Buy to Close	2,000	1/22/2009	6 58	13,155 00		6/29/2009	15.84	31,684 82		18,529 82			
WFC Aug 09 Put 30 00 (FHUTD)	Buy to Close	3,300	6/30/2009	5 26	17,349 75		7/17/2009	5 89	19,444.74		2,094 99			
WFC Oct 09 Put 30 00 (FHUVD)	Buy to Close	3,300.	7/17/2009	0 69	2,268.75		10/12/2009	5.88	19,403.75		17,135 00			
WFC Nov 09 Put 30.00 (FHUWD)	Buy to Close	3,300.	10/12/2009	3.33	10,980 75		11/6/2009	1.82	6,006 09			(4,974 66)		
WFC Dec 09 Put 30 00 (FHUXD)	Buy to Close	3,300.	11/6/2009	4 16	13,719.75		12/14/2009	3.71	12,242 93			(1,476 82)		
FMD Mar 09 Put 5 00 (FMDOA)	Buy to Close	700	12/19/2008	4 12	2,883 25		3/10/2009	3.84	2,689 74			(193 51)		
FMD Sep 09 Put 5 00 (FMDUA)	Buy to Close	700.	3/10/2009	2.41	1,685 25		9/18/2009	4 14	2,899 73		1,214.48			
GENERAL ELECTRIC CO (GE)	Assign Call	2,000.	1/1/2005	34 02		68,039 99	9/16/2009	15.24		30,465 20				(37,554 79)
GENERAL ELECTRIC CO (GE)	Assign Call	2,000.	1/1/2005	34.02		68,040 00	12/22/2009	14 99		29,985 22				(38,054 78)
GE Jan 09 Call 30 00 (GEAD)	Expire Long	2,000	6/12/2008	2.00	4,003.00		1/20/2009	0 00				(4,003 00)		
GE Jan 09 Call 32 50 (GEAZ)	Expire Long	2,000.	4/11/2008	2.86	5,723 00		1/20/2009	0 00				(5,723 00)		
GE Jan 09 Call 32 50 (GEAZ)	Expire Long	2,000	5/21/2008	1.86	3,723 00		1/20/2009	0 00				(3,723 00)		
GE Mar 09 Put 30 00 (GEOD)	Buy to Close	1,700	12/15/2008	19 48	33,111.75		2/18/2009	13.70	23,286 86			(9,824 89)		
GE Jun 09 Put 30 00 (GERD)	Buy to Close	4,300	11/17/2008	16.16	69,477.25		5/20/2009	14.86	63,879 38			(5,597 87)		
GE Jun 09 Put 35 00 (GERG)	Buy to Close	2,300.	11/20/2008	21.02	48,340.25		5/20/2009	21 84	50,237 46		1,897 21			
GE May 09 Call 15 00 (GEWEH)	Expire Short	2,000	5/8/2009	0 00	0.00		5/18/2009	0 14	276 99		276.99			
GE Aug 09 Call 12 00 (GEWHA)	Buy to Close	2,000	7/22/2009	2.23	4,463.00		8/13/2009	0 34	676.98			(3,786 02)		
GE Aug 09 Call 15 00 (GEWHH)	Expire Short	2,000.	8/7/2009	0 00	0.00		8/24/2009	0 35	696 98		696 98			
GE Aug 09 Call 14 00 (GEWHN)	Buy to Close	2,000.	8/4/2009	0.12	243 00		8/21/2009	0 34	676.98		433 98			
GE Oct 09 Call 18 00 (GEWJO)	Expire Short	2,000	9/22/2009	0.00	0.00		10/19/2009	0 39	776.97		776 97			
GE Dec 09 Call 17 00 (GEWLR)	Expire Short	2,000	11/16/2009	0.00	0.00		12/21/2009	0 29	576.98		576 98			
GE Mar 09 Put 25 00 (GEWOY)	Buy to Close	2,000	12/23/2008	14.48	28,955.00		2/18/2009	9 27	18,544 89			(10,410 11)		
GE Sep 09 Put 16 00 (GEWUQ)	Expire Short	2,000.	9/17/2009	0 00	0.00		9/21/2009	0 09	176 99		176 99			
GE Oct 09 Put 15 00 (GEWVH)	Expire Short	2,000	10/2/2009	0 00	0.00		10/19/2009	0 49	976 97		976 97			
GE Oct 09 Put 16 00 (GEWVQ)	Expire Short	2,000	9/18/2009	0 00	0.00		10/19/2009	0.59	1,176 96		1,176 96			
GE Nov 09 Put 15 00 (GEWWH)	Expire Short	3,000.	10/23/2009	0.00	0.00		11/23/2009	0 40	1,199 46		1,199 46			
GE Jan 16 2010 30 0 Put	Buy to Close	1,700.	2/18/2009	14.32	24,339 75		11/30/2009	19.66	33,418 06		9,078 31			
GE Jan 16 2010 30 0 Put	Buy to Close	4,300	5/20/2009	14 32	61,565 25		11/30/2009	16 28	70,004 94		8,439 69			
GE Jan 16 2010 35 0 Put	Buy to Close	2,300	5/20/2009	19 30	44,384.25		11/30/2009	21 08	48,480 50		4,096 25			
GE Jan 16 2010 25 0 Put	Buy to Close	2,000	2/18/2009	9 29	18,582.25		11/30/2009	14.81	29,616 83		11,034.58			
GS Jul 09 Call 150 00 (GPYGJ)	Buy to Close	500.	6/29/2009	2.99	1,496 75		7/14/2009	4.53	2,263 19		766 44			
GS Aug 09 Call 160 00 (GPYHL)	Buy to Close	500.	7/14/2009	2.88	1,441.75		8/21/2009	3 97	1,986.19		544.44			
GS Sep 09 Call 165 00 (GPYIM)	Buy to Close	500	8/21/2009	12.63	6,316 75		9/15/2009	4 76	2,381 18			(3,935 57)		
GS Oct 09 Put 165 00 (GPYVM)	Expire Short	500	10/2/2009	0 00	0 00		10/19/2009	2 16	1,078 22		1,078 22			
GS Nov 09 Put 170 00 (GPYWN)	Expire Short	1,000	10/29/2009	0.00	0 00		11/23/2009	4 08	4,084 39		4,084.39			
GOLDMAN SACHS GRP INC (GS)	Assign Call	100	12/22/2008	118.41	11,840 58		10/16/2009	157 78	15,778 44		3,937 86			
GOLDMAN SACHS GRP INC (GS)	Assign Call	400	12/30/2008	76 15	30,459 64		10/16/2009	157 78	63,113.77		32,654 13			
GS Feb 09 Call 100 00 (GSBT)	Expire Short	500	2/13/2009	0 00	0 00		2/23/2009	2 23	1,113.24		1,113.24			
GS Mar 09 Call 100 00 (GSCT)	Expire Short	500	2/26/2009	0 00	0 00		3/23/2009	4 08	2,038 23		2,038 23			
GS Apr 09 Call 120 00 (GSDD)	Buy to Close	500	4/1/2009	6 42	3,211 75		4/14/2009	3 18	1,588 24			(1,623 51)		
GS May 09 Call 125 00 (GSEE)	Buy to Close	500	4/14/2009	11 73	5,863 75		5/8/2009	8 94	4,471 13			(1,392 62)		
GS Jul 09 Call 135 00 (GSGG)	Buy to Close	500	5/8/2009	12 05	6,023 75		7/2/2009	12 02	6,008 09			(15 66)		
TEX Aug 09 Call 18 00 (HAGHR)	Expire Short	1,000	8/7/2009	0 00	0 00		8/24/2009	0 33	334 49		334 49			
TEX Oct 09 Call 20 00 (HAGJD)	Buy to Close	500	9/17/2009	1 86	928 75		10/12/2009	1 13	563 23			(365 52)		

Description	Trans type	Qty	Open date	Adj cost per shr	ST Adj cost	LT Adj cost	Close date	Adj proceeds per share	ST ADJ	LT ADJ	ST Adj gain($)	STAdj loss($)	adj gain	LT adj loss
TEX Oct 09 Call 21 00 (HAGJE)	Buy to Close	500	9/29/2009	0 89	443 75		10/12/2009	1 08	538 23		94 48			
TEX Nov 09 Call 21 00 (HAGKE)	Buy to Close	1,000	10/12/2009	0 69	687 50		11/19/2009	2 04	2,041 44		1,353 94			
TEX Dec 09 Call 22 00 (HAGLH)	Expire Short	1,000	11/19/2009	0 00	0 00		12/21/2009	1 16	1,164 46		1,164 46			
TEX Nov 09 Put 21 00 (HAGWE)	Buy to Close	1,000	10/27/2009	0 88	877 50		11/20/2009	0.73	734 48			(143 02)		
TEX Dec 09 Put 20 00 (HAGXD)	Buy to Close	1,000	11/20/2009	1 62	1,617 50		12/15/2009	1.20	1,204 46			(413.04)		
BUCY Jun 09 Call 30 00 (HBUFF)	Expire Short	500	5/26/2009	0.00	0 00		6/22/2009	0 48	238 24		238.24			
BUCY Aug 09 Call 32 00 (HBUHV)	Buy to Close	1,000	8/4/2009	0 73	725.50		8/19/2009	1 33	1,334.46		608.96			
BUCY Sep 09 Call 33 00 (HBUIW)	Buy to Close	1,000	8/19/2009	2 77	2,767 50		9/17/2009	1.74	1,742 45			(1,025 05)		
BUCY Oct 09 Call 34 00 (HBUJX)	Buy to Close	1,000	9/17/2009	3 39	3,387 50		10/12/2009	3.14	3,144 41			(243 09)		
HOME DEPOT INC (HD)	Sell	1,000	8/15/2006	34 93		34,932 57	6/29/2009	23.99		23,991 38				(10,941 19)
HOME DEPOT INC (HD)	Sell	500.	8/15/2006	34 93		17,466 28	12/1/2009	28 20		14,097 64				(3,368 64)
HOME DEPOT INC (HD)	Sell	500	8/16/2006	34 97		17,486 28	12/1/2009	28 20		14,097 64				(3,388 64)
HOME DEPOT INC (HD)	Sell	1,000.	11/27/2007	28,54		28,535.39	12/1/2009	28.20		28,195 27				(340 12)
HD Apr 09 Call 25 00 (HDDE)	Buy to Close	1,000	3/25/2009	0 77	767 50		4/14/2009	0.53	534.49			(233.01)		
HD May 09 Call 25 00 (HDEE)	Buy to Close	1,000	4/14/2009	0.46	457.50		5/11/2009	1.48	1,484.46		1,026 96			
HD Feb 09 Put 27 50 (HDNY)	Buy to Close	4,000.	12/15/2008	5 61	22,438 00		1/22/2009	4.84	19,369 89			(3,068 11)		
HD Mar 09 Put 27 50 (HDOY)	Buy to Close	4,000	1/22/2009	8 19	32,767.50		2/18/2009	6.04	24,169 86			(8,597 64)		
BUCY Nov 09 Put 48 00 (HIKWB)	Expire Short	1,000	11/6/2009	0 00	0.00		11/23/2009	1 23	1,234.46		1,234 46			
CHK Jul 09 Put 45 00 (HKWSI)	Buy to Close	800.	12/15/2008	25 57	20,454 00		6/29/2009	27 79	22,233 87		1,779 87			
WY Oct 09 Put 33 00 (HYWVJ)	Expire Short	1,000	10/2/2009	0,00	0.00		10/19/2009	0 58	584.48		584 48			
WY Nov 09 Put 35 00 (HYWWL)	Expire Short	1,000.	11/6/2009	0 00	0.00		11/23/2009	0 43	434.48		434 48			
AIG Oct 09 Call 48 00 (IKGJV)	Expire Short	300.	9/21/2009	0 00	0.00		10/19/2009	5.67	1,699 70		1,699 70			
AIG Nov 09 Call 40 00 (IKGKN)	Expire Short	300.	11/5/2009	0,00	0.00		11/23/2009	2 47	739.73		739 73			
AIG Oct 09 Put 45 00 (IKGVS)	Buy to Close	300	9/22/2009	2,15	644.25		10/12/2009	5 47	1,639 70		995 45			
AIG Nov 09 Put 44 00 (IKGWR)	Buy to Close	100	10/12/2009	8 21	821 25		11/17/2009	5.56	555,57			(265.68)		
AIG Nov 09 Put 44 00 (IKGWR)	Buy to Close	200	10/12/2009	8 21	1,642 00		11/17/2009	5 56	1,111.13			(530.87)		
AIG Dec 09 Put 43 00 (IKGXQ)	Buy to Close	300	11/17/2009	14 02	4,207 25		12/15/2009	8 37	2,511.42			(1,695 83)		
ITW Oct 09 Call 45 00 (ITWJI)	Buy to Close	1,000.	10/6/2009	0,93	927.50		10/12/2009	0.38	384 48			(543.02)		
ITW Nov 09 Call 45 00 (ITWKI)	Buy to Close	1,000.	10/12/2009	4.79	4,787.50		11/19/2009	2 06	2,064.44			(2,723 06)		
ITW Nov 09 Call 50 00 (ITWKJ)	Expire Short	1,000.	10/20/2009	0.00	0.00		11/23/2009	0 78	784.47		784.47			
ITW Nov 09 Put 45 00 (ITWWI)	Expire Short	1,000	10/28/2009	0.00	0.00		11/23/2009	0.83	834.47		834 47			
HD Jun 09 Call 26 00 (JGLFM)	Expire Short	1,000	5/11/2009	0.00	0.00		6/22/2009	0.75	754.48		754 48			
HD Aug 09 Call 26 00 (JGLHM)	Buy to Close	2,000.	7/23/2009	0 80	1,595.00		8/19/2009	0 54	1,076 97			(518 03)		
HD Sep 09 Call 28 00 (JGLIB)	Buy to Close	2,000	8/21/2009	0.35	695.00		9/17/2009	0 40	796.97		101 97			
HD Sep 09 Call 26 00 (JGLIM)	Buy to Close	2,000.	8/19/2009	1.47	2,943.00		9/15/2009	1 21	2,416 93			(526 07)		
HD Oct 09 Call 29 00 (JGLJC)	Expire Short	2,000.	9/17/2009	0.00	0.00		10/19/2009	0.50	996 97		996.97			
HD Aug 09 Put 27 50 (JGLTY)	Buy to Close	4,000.	2/18/2009	1 32	5,278.00		8/17/2009	8.78	35,121 80		29,843 80			
HD Sep 09 Put 27 00 (JGLUA)	Expire Short	4,000	8/17/2009	0.00	0.00		9/21/2009	1 47	5,889 84		5,889 84			
HD Oct 09 Put 26 00 (JGLVM)	Expire Short	2,000	10/2/2009	0 00	0 00		10/19/2009	0 59	1,176 96		1,176 96			
HD Nov 09 Put 26 00 (JGLWM)	Expire Short	2,000	10/20/2009	0.00	0 00		11/23/2009	0 46	916 97		916 97			
MFZ Jan 16 2010 45 0 Put	Buy to Close	2,000	12/18/2008	14 30	28,603 00		11/30/2009	28 45	56,904 68		28,301 68			
3M COMPANY (MMM)	Sell	500	5/20/2009	54 50	27,248 31		12/1/2009	77 89	38,945 99		11,697 68			
3M COMPANY (MMM)	Assign Call	500	5/20/2009	54 50	27,248 31		12/18/2009	78 88	39,441.22		12,192 91			
MMM Jun 09 Call 60 00 (MMMFL)	Expire Short	500	5/26/2009	0 00	0 00		6/22/2009	0 73	363 24		363 24			
MMM Aug 09 Call 70 00 (MMMHN)	Buy to Close	500	7/23/2009	1 22	611 75		8/19/2009	0 93	463 23			(148 52)		
MMM Oct 09 Call 75 00 (MMMJO)	Buy to Close	500	8/19/2009	1 60	798 75		10/15/2009	1 34	671 23			(127 52)		
MMM Nov 09 Call 75 00 (MMMKO)	Buy to Close	500	10/15/2009	3 40	1,701 75		11/17/2009	3 02	1,508 21			(193 54)		
MOT Jun 09 Call 6 00 (MOTFI)	Buy to Close	1,000	5/26/2009	0 55	547 50		6/15/2009	0 28	284 49			(263 01)		

Description	Trans type	Qty	Open date	Adj cost per shr	ST Adj cost	LT Adj cost	Close date	Adj proceeds per share	ST ADJ	LT ADJ	ST Adj gain($)	STAdj loss($)	adj gain	LT adj loss
MOT Oct 09 Call 7 00 (MOTJJ)	Buy to Close	1,000	6/15/2009	1 21	1,207 50		10/2/2009	0 59	594 48			(613 02)		
MOT Nov 09 Call 7 00 (MOTKJ)	Buy to Close	1,000	10/2/2009	1 45	1,445 50		11/19/2009	1 38	1,384 46			(61 04)		
MOT Nov 09 Call 9 00 (MOTKL)	Expire Short	2,000	11/17/2009	0 00	0 00		11/23/2009	0 13	256 99		256 99			
MORGAN STANLEY (MS)	Sell	1,000	12/17/2007	52 40		52,395 19	8/5/2009	31 24		31,241 19				(21,154 00)
MS Mar 09 Call 20 00 (MSCD)	Buy to Close	200	3/4/2009	5 87	1,174 00		3/16/2009	1.38	276 90			(897 10)		
MS Mar 09 Call 20 00 (MSCD)	Buy to Close	800	3/4/2009	5.83	4,664.00		3/16/2009	1.38	1,107.59			(3,556 41)		
MS Mar 09 Call 21 00 (MSCT)	Expire Short	2,000	3/20/2009	0 00	0.00		3/23/2009	0 34	676 99		676 99			
MS Apr 09 Call 21 00 (MSDT)	Buy to Close	1,000	3/16/2009	2 60	2,595.50		4/8/2009	6 12	6,116 46		3,520 96			
MS May 09 Call 22 00 (MSEV)	Buy to Close	1,000	4/8/2009	5 52	5,515 50		5/5/2009	3 34	3,342 48			(2,173 02)		
MS Jun 09 Call 30 00 (MSFF)	Expire Short	1,000	5/22/2009	0.00	0.00		6/22/2009	1.23	1,234 46		1,234 46			
MS Jul 09 Call 29 00 (MSGC)	Expire Short	1,000.	7/13/2009	0 00	0.00		7/20/2009	0 33	334 49		334.49			
MS Jul 09 Call 23 00 (MSGZ)	Buy to Close	1,000.	5/5/2009	6 13	6,125.50		6/30/2009	5.86	5,862 34			(263.16)		
MS Oct 09 Call 31.00 (MSJH)	Buy to Close	500.	9/17/2009	1.12	558.75		10/12/2009	1.14	569 24		10 49			
MS Oct 09 Call 31 00 (MSJH)	Buy to Close	1,500	9/17/2009	1.12	1,680.00		10/12/2009	1.14	1,707.70		27 70			
MS Oct 09 Call 24 00 (MSJY)	Buy to Close	1,000	6/30/2009	7.36	7,355.50		9/29/2009	6.15	6,152 34			(1,203 16)		
MS Nov 09 Call 32 00 (MSKJ)	Buy to Close	2,000.	10/12/2009	1.71	3,423 00		11/17/2009	1 68	3,351.90			(71 10)		
MS Dec 09 Call 33 00 (MSLA)	Expire Short	2,000.	11/17/2009	0 00	0.00		12/21/2009	1.87	3,744 90		3,744.90			
MS Aug 09 Put 29 00 (MSTC)	Buy to Close.	1,000.	8/13/2009	0 52	515.50		8/19/2009	0 48	484.48			(31.02)		
MS Sep 09 Put 29 00 (MSUC)	Buy to Close	1,000.	8/19/2009	0 29	285.50		9/16/2009	1 59	1,592.45		1,306 95			
MS Oct 09 Put 29 00 (MSVC)	Expire Short	1,000.	9/16/2009	0 00	0.00		10/19/2009	1.21	1,212 46		1,212 46			
MS Nov 09 Put 32 00 (MSWJ)	Expire Short	2,000.	10/29/2009	0.00	0 00		11/23/2009	0.89	1,776 95		1,776 95			
OXPS Jun 09 Call 20 00 (QYBFD)	Expire Short	2,000	6/5/2009	0.00	0.00		6/22/2009	0.29	576 98		576 98			
RRI Jan 09 Call 20 00 (RRIAD)	Expire Short	1,500.	1/16/2008	0.00	0 00		1/20/2009	4.80	7,203 63		7,203 63			
TEREX CORP (TEX)	Sell	1,000	10/27/2008	20 03	20,027 09		7/20/2009	13.99	13,991 64			(6,035 45)		
USB Sep 09 Call 22 50 (UEEIX)	Buy to Close	2,000.	8/21/2009	0.55	1,103.00		9/17/2009	0.93	1,864.95		761 95			
USB Oct 09 Call 23 00 (UEEJW)	Buy to Close.	2,000.	9/17/2009	0 76	1,523.00		10/15/2009	0 93	1,864.95		341 95			
USB Nov 09 Call 24 00 (UEEKA)	Expire Short	2,000	10/15/2009	0.00	0.00		11/23/2009	0 89	1,784 95		1,784 95			
USB Nov 09 Call 24 00 (UEEKA)	Expire Short	2,000.	10/19/2009	0.00	0.00		11/23/2009	0 79	1,576 95		1,576 95			
USB Nov 09 Call 24 00 (UEEKA)	Expire Short	2,000	11/16/2009	0.00	0 00		11/23/2009	0 25	496.98		496 98			
USB Nov 09 Call 26 00 (UEEKB)	Expire Short	2,000.	10/21/2009	0 00	0.00		11/23/2009	0.59	1,176 96		1,176 96			
USB Sep 09 Put 30 00 (UEEUF)	Buy to Close	500	1/22/2009	7 94	3,968.75		8/27/2009	15.23	7,616 20		3,647.45			
USB Nov 09 Put 24 00 (UEEWA)	Buy to Close.	2,000	10/23/2009	0.87	1,743.00		11/20/2009	0 54	1,076 97			(666 03)		
USB Dec 09 Put 24 00 (UEEXA)	Buy to Close	2,000.	11/20/2009	1 17	2,335 00		12/15/2009	1 31	2,624 93		289 93			
UNH Mar 09 Call 20 00 (UHBCD)	Buy to Close	1,000.	3/3/2009	2 04	2,035.50		3/16/2009	0.78	784.49			(1,251 01)		
UNH Apr 09 Call 21 00 (UHBDU)	Buy to Close.	1,000.	3/16/2009	3 05	3,045.50		4/8/2009	2 26	2,262.48			(783 02)		
UNH May 09 Call 22 00 (UHBEM)	Buy to Close	1,000.	4/8/2009	2 85	2,847 50		5/5/2009	3.08	3,082.48		234 98			
UNH Jun 09 Call 25 00 (UHBFE)	Buy to Close	2,000.	6/18/2009	1.15	2,295 00		6/19/2009	0 49	976.97			(1,318 03)		
UNH Sep 09 Call 24 00 (UHBIO)	Buy to Close.	1,000	5/5/2009	4 78	4,777 50		8/24/2009	3 37	3,374 41			(1,403 09)		
UNITEDHEALTH GRP INC (UNH)	Sell	300	5/15/2008	32 50		9,751 46	7/7/2009	25 99		7,797 40				(1,954 06)
UNITEDHEALTH GRP INC (UNH)	Sell	700	6/17/2008	32 42		22,694 71	7/7/2009	25.99		18,193 93				(4,500 78)
UNITEDHEALTH GRP INC (UNH)	Sell	2,500	6/17/2008	32 44		81,090 59	12/10/2009	29 89		74,715 07				(6,375 52)
UNH Jan 09 Call 26 00 (UNHAA)	Expire Short	1,000	12/19/2008	0.00	0 00		1/20/2009	1 31	1,312 49		1,312 49			
UNH Jan 09 Call 30.00 (UNHAF)	Expire Long	2,000	6/19/2008	2 51	5,023 00		1/20/2009	0 00				(5,023 00)		
UNH Jan 09 Call 28 00 (UNHAT)	Expire Short	1,000	12/19/2008	0 00	0 00		1/20/2009	1 13	1,134 49		1,134 49			
UNH Feb 09 Call 31 00 (UNHBR)	Expire Short	1,000	1/28/2009	0 00	0 00		2/23/2009	0 83	834 49		834 49			
UNH Feb 09 Call 27 00 (UNHBS)	Buy to Close	1,000	1/22/2009	1 57	1,565 50		2/20/2009	1 18	1,184 49			(381 01)		
UNH Mar 09 Call 28 00 (UNHCT)	Expire Short	1,000	2/20/2009	0 00	0 00		3/23/2009	1 74	1,742 49		1,742 49			
UNH May 09 Call 30 00 (UNHEF)	Expire Short	1,000	5/8/2009	0 00	0 00		5/18/2009	0 38	384 48		384 48			

Description	Trans type	Qty	Open date	Adj cost per shr	ST Adj cost	LT Adj cost	Close date	Adj proceeds per share	ST ADJ	LT ADJ	ST Adj gain($)	STAdj loss($)	adj gain	LT adj loss
UNH Jul 09 Call 26.00 (UNHGA)	Expire Short	2,000	6/19/2009	0.00	0.00		7/20/2009	1.44	2,876.92		2,876.92			
UNH Aug 09 Call 27.00 (UNHHS)	Buy to Close	2,000	7/22/2009	1.17	2,343.00		8/19/2009	0.74	1,476.96			(866.04)		
UNH Sep 09 Call 28.00 (UNHIT)	Buy to Close	2,000	8/19/2009	0.80	1,603.00		9/15/2009	1.40	2,804.92		1,201.92			
UNH Oct 09 Call 29.00 (UNHJQ)	Expire Short	2,000	9/15/2009	0.00	0.00		10/19/2009	1.31	2,624.93		2,624.93			
UNH Oct 09 Call 31.00 (UNHJR)	Expire Short	2,000	9/17/2009	0.00	0.00		10/19/2009	0.99	1,976.94		1,976.94			
UNH Nov 09 Call 29.00 (UNHKQ)	Expire Short	1,500	11/4/2009	0.00	0.00		11/23/2009	0.79	1,180.71		1,180.71			
UNH Nov 09 Call 27.00 (UNHKS)	Buy to Close	1,500	11/2/2009	2.14	3,206.25		11/16/2009	0.75	1,120.72			(2,085.53)		
UNH Dec 09 Call 27.00 (UNHLS)	Buy to Close	1,500	11/16/2009	4.01	6,011.25		12/14/2009	2.69	4,030.64			(1,980.61)		
UNH Mar 09 Put 27.50 (UNHOY)	Buy to Close	2,000	11/17/2008	4.26	8,523.00		2/25/2009	8.54	17,084.90		8,561.90			
UNH Jun 09 Put 27.00 (UNHRS)	Buy to Close	2,000	2/25/2009	3.02	6,035.00		6/15/2009	5.14	10,284.94		4,249.94			
UNH Jul 09 Put 27.00 (UNHSS)	Buy to Close	2,000	6/15/2009	1.94	3,875.00		7/7/2009	3.50	6,996.81		3,121.81			
UNH Aug 09 Put 27.00 (UNHTS)	Expire Short	2,000	7/7/2009	0.00	0.00		8/24/2009	2.77	5,536.85		5,536.85			
UNH Sep 09 Put 27.00 (UNHUS)	Expire Short	2,000	9/8/2009	0.00	0.00		9/21/2009	0.49	976.97		976.97			
UNH Oct 09 Put 27.00 (UNHVS)	Buy to Close	2,000	9/22/2009	2.41	4,815.00		10/9/2009	1.09	2,176.94			(2,638.06)		
UNH Nov 09 Put 27.00 (UNHWS)	Expire Short	2,000	10/9/2009	0.00	0.00		11/23/2009	3.19	6,376.83		6,376.83			
US BANCORP (USB)	Sell	5,000	1/1/2005	28.89		144,450.02	10/12/2009	23.08		115,389.03				(29,060.99)
USB Mar 09 Call 15.00 (USBCC)	Expire Short	2,000	3/2/2009	0.00	0.00		3/23/2009	0.79	1,576.99		1,576.99			
USB May 09 Call 19.00 (USBEE)	Buy to Close	2,000	4/21/2009	1.33	2,655.00		5/8/2009	0.99	1,976.94			(678.06)		
USB Jun 09 Call 20.00 (USBFD)	Expire Short	2,000	5/8/2009	0.00	0.00		6/22/2009	1.82	3,636.90		3,636.90			
USB Aug 09 Call 20.00 (USBHD)	Buy to Close	2,000	7/22/2009	1.76	3,515.00		8/17/2009	0.49	976.97			(2,538.03)		
USB Aug 09 Call 22.00 (USBHV)	Buy to Close	2,000	8/4/2009	0.48	963.00		8/21/2009	0.34	676.98			(286.02)		
USB Dec 09 Call 21.00 (USBLU)	Buy to Close	2,000	8/17/2009	2.01	4,015.00		12/14/2009	2.54	5,076.86		1,061.86			
USB Jan 09 Put 30.00 (USBMF)	Buy to Close	2,000	11/19/2008	5.48	10,960.75		1/2/2009	6.49	12,976.92		2,016.17			
USB Feb 09 Put 30.00 (USBNF)	Buy to Close	2,000	1/2/2009	14.65	29,303.00		1/22/2009	5.77	11,536.93			(17,766.07)		
USB Oct 09 Put 22.00 (USBVV)	Expire Short	2,000	9/22/2009	0.00	0.00		10/19/2009	0.79	1,576.95		1,576.95			
USB Nov 09 Put 21.00 (USBWU)	Expire Short	2,000	10/13/2009	0.00	0.00		11/23/2009	0.54	1,076.97		1,076.97			
VLO Feb 09 Call 25.00 (VLBBY)	Expire Short	1,000	2/13/2009	0.00	0.00		2/23/2009	0.35	354.49		354.49			
VLO Mar 09 Call 20.00 (VLBCT)	Expire Short	1,000	3/4/2009	0.00	0.00		3/23/2009	0.53	534.49		534.49			
VLO Jun 09 Call 22.00 (VLBFV)	Expire Short	1,000	5/27/2009	0.00	0.00		6/22/2009	0.73	734.48		734.48			
VLO Oct 09 Call 21.00 (VLBJQ)	Expire Short	1,000	9/22/2009	0.00	0.00		10/19/2009	0.68	684.48		684.48			
VLO Oct 09 Call 20.00 (VLBJT)	Buy to Close	1,000	10/5/2009	0.27	267.50		10/16/2009	0.28	284.49		16.99			
VLO Nov 09 Call 21.00 (VLBKQ)	Expire Short	1,000	10/16/2009	0.00	0.00		11/23/2009	0.61	614.48		614.48			
WELLS FARGO & CO (WFC)	Cash In Lieu	0.109	1/1/2005	275.41		30.02	1/3/2009	27.71		3.02				(27.00)
WELLS FARGO & CO (WFC)	Sell by ID	1,000	7/20/2007	34.27		34,265.52	5/14/2009	27.32		27,321.29				(6,944.23)
WELLS FARGO & CO (WFC)	Sell	1,000	1/1/2005	275.39		275,388.93	9/15/2009	28.49		28,491.26				(246,897.67)
WELLS FARGO & CO (WFC)	Sell	1,188	1/1/2005	275.39		327,162.05	10/12/2009	30.25		35,931.32				(291,230.73)
WELLS FARGO & CO (WFC)	Sell	812	7/20/2007	34.27		27,823.59	10/12/2009	30.25		24,559.12				(3,264.47)
WFC Jan 09 Call 25.00 (WFCAE)	Expire Long	2,000	6/27/2008	2.51	5,023.00		1/20/2009	0.00				(5,023.00)		
WFC Jan 09 Call 27.50 (WFCAY)	Expire Short	2,000	9/23/2008	0.00	0.00		1/20/2009	9.25	18,492.39		18,492.39			
WFC Mar 09 Call 10.00 (WFCCB)	Buy to Close	1,000	3/6/2009	6.94	6,937.50		3/19/2009	1.08	1,084.49			(5,853.01)		
WFC Apr 09 Call 10.00 (WFCDB)	Buy to Close	1,000	3/19/2009	8.74	8,735.50		4/9/2009	7.21	7,214.45			(1,521.05)		
WFC Jul 09 Call 11.00 (WFCGL)	Buy to Close	1,000	4/9/2009	13.22	13,215.50		7/14/2009	8.72	8,722.27			(4,493.23)		
WFC Jan 09 Put 30.00 (WFCMF)	Buy to Close	3,300	11/19/2008	4.79	15,806.75		1/9/2009	5.89	19,442.13		3,635.38			
WFC Jan 09 Put 31.00 (WFCMM)	Buy to Close	2,000	10/13/2008	3.41	6,823.00		1/6/2009	5.69	11,384.93		4,561.93			
WFC Jun 09 Put 23.00 (WFCRI)	Expire Short	1,000	5/20/2009	0.00	0.00		6/22/2009	1.13	1,134.47		1,134.47			
WEYERHAEUSER CO (WY)	Sell	1,000	4/29/2008	65.01		65,014.00	7/6/2009	29.64		29,641.33				(35,372.67)
WEYERHAEUSER CO (WY)	Sell	1,000	4/29/2008	65.01		65,014.00	7/21/2009	32.49		32,491.16				(32,522.84)
WEYERHAEUSER CO (WY)	Assign Call	600	11/6/2008	43.67		26,200.64	12/28/2009	39.54		23,723.89				(2,476.75)

Description	Trans type	Qty	Open date	Adj cost per shr	ST Adj cost	LT Adj cost	Close date	Adj proceeds per share	ST ADJ	LT ADJ	ST Adj gain($)	STAdj loss($)	adj gain	LT adj loss
WEYERHAEUSER CO (WY)	Assign Call	400	11/6/2008	43 26		17,305 09	12/31/2009	39 52		15,809 59				(1,495 50)
WY Apr 09 Call 30 00 (WYDF)	Buy to Close	1,000	4/1/2009	2 14	2,137 50		4/14/2009	0 68	684 49			(1,453 01)		
WY May 09 Call 30 00 (WYEF)	Buy to Close	1,000	4/14/2009	6 61	6,607 50		5/5/2009	3 11	3,114 41			(3,493 09)		
WY Jun 09 Call 30 00 (WYFF)	Buy to Close	1,000	5/5/2009	4 82	4,815 50		5/20/2009	7 33	7,334 31		2,518 81			
WY Jul 09 Call 30 00 (WYGF)	Buy to Close	1,000	7/13/2009	0 64	637 50		7/16/2009	0 28	284 49			(353 01)		
WY Aug 09 Call 31 00 (WYHA)	Buy to Close	1,000	7/16/2009	6 19	6,185 50		8/13/2009	1 41	1,414 46			(4,771 04)		
XTO May 09 Put 45 00 (XJVQI)	Buy to Close	2,000	2/17/2009	11 22	22,443 00		4/24/2009	10 22	20,436 88			(2,006 12)		
XTO Aug 09 Put 45 00 (XJVTI)	Buy to Close	1,900	4/24/2009	5 68	10,792 75		8/18/2009	11 77	22,367 17		11,574 42			
XTO Aug 09 Put 45 00 (XJVTI)	Buy to Close	100	4/24/2009	5 69	569 00		8/18/2009	11 77	1,177 22		608 22			
XTO Nov 09 Put 45 00 (XJVWI)	Buy to Close	2,000	8/18/2009	1 61	3,215 00		11/17/2009	7 01	14,015 63		10,800 63			
XTO Dec 09 Put 45 00 (XJVXI)	Expire Short	2,000	11/17/2009	0 00	0 00		12/21/2009	2 64	5,276 86		5,276 86			
XTO Feb 09 Put 45 00 (XTONI)	Buy to Close	2,000	12/15/2008	11 74	23,475 00		1/21/2009	10 09	20,184 88			(3,290 12)		
XTO Mar 09 Put 45 00 (XTOOI)	Buy to Close	2,000	1/21/2009	9 38	18,767 50		2/17/2009	12 02	24,036 86		5,269 36			
Total:					**1,343,403.94**	**1,532,025.49**			**1,591,310.12**	**737,947.51**	**530,309.43**	**(282,403.25)**	**4,222.71**	**(798,300.69)**
						2,875,429 43				2,329,257 63				(546,171 80)

	Proceeds	Cost	Gain/Loss
Short Term	1,591,310	1,339,181	252129
Long Term	737948	1536,249	⟨798301⟩
	2,329,258	2,875,430	⟨546172⟩

PROOF OF PUBLICATION
(2015.5 C.C.P.)

NOTICE OF AVAILABILITY
OF ANNUAL REPORT
TRAVERS FAMILY FOUNDATION

STATE OF CALIFORNIA
County of Marin } ss

Proof of Publication of

APRIL 30

I am a citizen of the United States and a resident of the County aforesaid: I am over the age of eighteen years, and not a party to or interested in the above matter. I am the principal clerk of the printer of the MARIN INDEPENDENT JOURNAL, a newspaper of general circulation, printed and published daily in the County of Marin, and which newspaper has been adjudged a newspaper of general circulation by the Superior Court of the County of Marin, State of California, under date of FEBRUARY 7, 1955, CASE NUMBER 25568; that the notice, of which the annexed is a printed copy (set in type not smaller than nonpareil), has been published in each regular and entire issue of said newspaper and not in any supplement thereof on the following dates, to-wit:

0

all in the year 2010.

I certify (or declare) under penalty of perjury that the foregoing is true and correct.

Signature
Donna Lazarus

Dated this 30 day of APRIL 2010

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Legal Notice

NOTICE OF AVAILABILITY OF ANNUAL REPORT

Pursuant to Section 6104(d) of the Internal Revenue Code, notice is hereby given that the annual report for the calendar year 2009 of the TRAVERS FAMILY FOUNDATION, a private foundation is available at the foundation's principal office for inspection during regular business hours from 8:00 am to 12:00 Noon by any citizen who requests it within 180 days after the date of this publication. The foundations principal office is located at 2950 Merced St. Suite 109, San Leandro, CA 94577. The principal manager of the foundation is CHARLES TRAVERS.

NO.829 APRIL 30, 2010

PROOF OF PUBLICATION